

Combinatorics on Words formalized  
Basics

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```
theory Arithmetical-Hints
  imports Main
begin
```

## 0.1 Arithmetical hints

In this section we give some specific auxiliary lemmas on natural numbers.

```
lemma zero-diff-eq:  $i \leq j \implies (0::nat) = j - i \implies j = i$ 
  by simp
```

```
lemma zero-less-diff':  $i < j \implies j - i \neq (0::nat)$ 
  by simp
```

```
lemma nat-prod-le:  $m \neq (0 :: nat) \implies m*n \leq k \implies n \leq k$ 
  using le-trans[of n m*n k] by auto
```

```
lemma get-div:  $(p :: nat) < a \implies m = (m * a + p) \text{ div } a$ 
  by simp
```

```
lemma get-mod:  $(p :: nat) < a \implies p = (m * a + p) \text{ mod } a$ 
  by simp
```

```
lemma plus-one-between:  $(a :: nat) < b \implies \neg b < a + 1$ 
  by auto
```

```
lemma quotient-smaller:  $k \neq (0 :: nat) \implies b \leq k * b$ 
  by simp
```

```
lemma mult-cancel-le:  $b \neq 0 \implies a*b \leq c*b \implies a \leq (c::nat)$ 
  by simp
```

```
lemma add-lessD2:  $k + m < (n::nat) \implies m < n$ 
  unfolding add commute[of k] using add-lessD1.
```

**lemma** *mod-offset*: **assumes**  $M \neq (0 :: \text{nat})$   
**obtains**  $k$  **where**  $n \bmod M = (l + k) \bmod M$   
**proof**–  
**have**  $(l + (M - l \bmod M)) \bmod M = 0$   
**using** *mod-add-left-eq*[*of*  $l \ M \ (M - l \bmod M)$ , *unfolded le-add-diff-inverse*[*OF mod-le-divisor*[*OF assms*[*unfolded neq0-conv*], *of*  $l$ ] *mod-self*, *symmetric*].  
**from** *mod-add-left-eq*[*of*  $(l + (M - l \bmod M)) \ M \ n$ , *symmetric*, *unfolded this add commute*[*of*  $0$ ] *add.comm-neutral*]  
**have**  $((l + (M - l \bmod M)) + n) \bmod M = n \bmod M$ .  
**from** *that*[*OF this*[*unfolded add.assoc*, *symmetric*]]  
**show** *thesis*.  
**qed**

**lemma** **assumes**  $q \neq (0 :: \text{nat})$  **shows**  $p \leq p + q - \text{gcd } p \ q$   
**using** *gcd-le2-nat*[*OF*  $\langle q \neq 0 \rangle$ , *of*  $p$ ]  
**by** *linarith*

**lemma** *less-mult-one*: **assumes**  $(m-1)*k < k$  **obtains**  $m = 0 \mid m = (1 :: \text{nat})$   
**using** *assms* **by** *fastforce*

**lemmas** *gcd-le2-pos* = *gcd-le2-nat*[*folded zero-order*(4)] **and**  
*gcd-le1-pos* = *gcd-le1-nat*[*folded zero-order*(4)]

**lemma** *ge1-pos-conv*:  $1 \leq k \iff 0 < (k :: \text{nat})$   
**by** *linarith*

**lemma** *per-lemma-len-le*: **assumes**  $le: p + q - \text{gcd } p \ q \leq (n :: \text{nat})$  **and**  $0 < q$   
**shows**  $p \leq n$   
**using** *le unfolding add-diff-assoc*[*OF gcd-le2-pos*[*OF*  $\langle 0 < q \rangle$ ], *symmetric*] **by**  
*(rule add-leD1)*

**lemma** *Suc-less-iff-Suc-le*:  $\text{Suc } n < k \iff \text{Suc } n \leq k - 1$   
**by** *auto*

**lemma** *nat-induct-pair*:  $P \ 0 \ 0 \implies (\bigwedge m \ n. P \ m \ n \implies P \ m \ (\text{Suc } n)) \implies (\bigwedge m \ n. P \ m \ n \implies P \ (\text{Suc } m) \ n) \implies P \ m \ n$   
**by** (*induction m arbitrary: n*) (*metis nat-induct, simp*)

**lemma** *One-less-Two-le-iff*:  $1 < k \iff 2 \leq (k :: \text{nat})$   
**by** *fastforce*

**lemma** *at-least2-Suc*: **assumes**  $2 \leq k$   
**obtains**  $k'$  **where**  $k = \text{Suc}(\text{Suc } k')$   
**using** *Suc3-eq-add-3 less-eqE*[*OF assms*] **by** *auto*

**lemma** *at-least3-Suc*: **assumes**  $3 \leq k$   
**obtains**  $k'$  **where**  $k = \text{Suc}(\text{Suc}(\text{Suc } k'))$   
**using** *Suc3-eq-add-3 less-eqE*[*OF assms*] **by** *auto*

**lemmas** *not0-SucE*[*elim*] = *not0-implies-Suc*[*THEN exE*]

**lemma** *le1-SucE*: **assumes**  $1 \leq n$

**obtains**  $k$  **where**  $n = \text{Suc } k$  **using** *Suc-le-D*[*OF assms*[*unfolded One-nat-def*]]  
**by** *blast*

**lemma** *Suc-minus*:  $k \neq 0 \implies \text{Suc } (k - 1) = k$   
**by** *simp*

**lemma** *Suc-minus'*:  $1 \leq k \implies \text{Suc}(k - 1) = k$   
**by** *simp*

**lemmas** *Suc-minus-pos* = *Suc-diff-1*

**lemma** *Suc-minus2*:  $2 \leq k \implies \text{Suc } (\text{Suc}(k - 2)) = k$   
**by** *auto*

**lemma** *Suc-leE*: **assumes**  $\text{Suc } k \leq n$  **obtains**  $m$  **where**  $n = \text{Suc } m$  **and**  $k \leq m$   
**using** *Suc-le-D* *assms* **by** *blast*

**lemma** *two-three-add-le-mult*:  $2 \leq (l::\text{nat}) \implies 3 \leq k \implies k + l + 1 \leq k * l$   
**unfolding** *numeral-nat*  
**by** (*elim Suc-leE*) *simp*

**lemma** *almost-equal-equal*: **assumes**  $(a::\text{nat}) \neq 0$  **and**  $b \neq 0$  **and** *eq*:  $k*(a+b) + a = m*(a+b) + b$   
**shows**  $k = m$  **and**  $a = b$

**proof**–

**show**  $k = m$

**proof** (*rule linorder-cases*[*of k m*])

**assume**  $k < m$

**from** *add-le-mono1*[*OF mult-le-mono1*[*OF Suc-leI*[*OF this*]]]

**have**  $(\text{Suc } k)*(a + b) + b \leq m*(a+b) + b$ .

**hence** *False*

**using**  $\langle b \neq 0 \rangle$  **unfolding** *mult-Suc* *eq*[*symmetric*] **by** *force*

**thus** *?thesis* **by** *blast*

**next**

**assume**  $m < k$

**from** *add-le-mono1*[*OF mult-le-mono1*[*OF Suc-leI*[*OF this*]]]

**have**  $(\text{Suc } m)*(a + b) + a \leq k*(a+b) + a$ .

**hence** *False*

**using**  $\langle a \neq 0 \rangle$  **unfolding** *mult-Suc* *eq* **by** *force*

**thus** *?thesis* **by** *blast*

**qed** (*simp*)

**thus**  $a = b$

**using** *eq* **by** *auto*

**qed**



**lemma** *crossproduct-le*: **assumes**  $(a::nat) \leq b$  **and**  $c \leq d$   
**shows**  $a*d + b*c \leq a*c + b*d$

**proof**–

**have**  $b * c \leq b * d + a * c$   
**using** *assms* **by** (*simp add: trans-le-add1*)  
**note** *mult-le-mono[OF assms]*  
**have**  $a * (d - c) \leq b * (d - c)$   
**using** *mult-le-mono1[OF <a ≤ b>]*.  
**hence**  $a * d - a * c \leq b * d - b * c$   
**using** *diff-mult-distrib2* **by** *metis*  
**hence**  $a * d \leq b * d - b * c + a * c$   
**using** *le-diff-conv* **by** *blast*  
**hence**  $a * d \leq (b * d + a * c) - b * c$   
**by** (*simp add: <c ≤ d>*)  
**hence**  $a * d + b * c \leq (b * d + a * c) - b * c + b * c$   
**by** *simp*  
**thus** *?thesis*  
**using**  $\langle b * c \leq b * d + a * c \rangle$  **by** *force*  
**qed**

**lemma** (**in** *linorder*) *le-less-cases*:  $(a \leq b \implies P) \implies (b < a \implies P) \implies P$   
**by** (*metis local.not-less*)

**end**

**theory** *Reverse-Symmetry*  
**imports** *Main*  
**begin**

# Chapter 1

## Reverse symmetry

This theory deals with a mechanism which produces new facts on lists from already known facts by the reverse symmetry of lists, induced by the mapping *rev*. It constructs the rule attribute “reversed” which produces the symmetrical fact using so-called reversal rules, which are rewriting rules that may be applied to obtain the symmetrical fact. An example of such a reversal rule is the already existing  $rev\ ys\ @\ rev\ xs = rev\ (xs\ @\ ys)$ . Some additional reversal rules are given in this theory.

The symmetrical fact 'A[reversed]' is constructed from the fact 'A' in the following manner: 1. each schematic variable *xs* of type 'a list' is instantiated by *rev xs*; 2. constant Nil is substituted by *rev []*; 3. each quantification of 'a list' type variable  $\bigwedge xs. P\ xs$  is substituted by (logically equivalent) quantification  $\bigwedge xs. P\ (rev\ xs)$ , similarly for  $\forall, \exists$  and  $\exists!$  quantifiers; each bounded quantification of 'a list' type variable  $\forall xs \in A. P\ xs$  is substituted by (logically equivalent) quantification  $\forall xs \in rev\ A. P\ (rev\ xs)$ , similarly for bounded  $\exists$  quantifier; 4. simultaneous rewrites according to the current list of reversal rules are performed; 5. final correctional rewrites related to reversion of (#) are performed.

List of reversal rules is maintained by declaration attribute “reversal\_rule” with standard “add” and “del” options.

See examples at the end of the file.

### 1.1 Quantifications and maps

**lemma** *all-surj-conv*: **assumes** *surj f* **shows**  $(\bigwedge x. PROP\ P\ (f\ x)) \equiv (\bigwedge y. PROP\ P\ y)$

**proof**

**fix** *y* **assume**  $\bigwedge x. PROP\ P\ (f\ x)$

**then have**  $PROP\ P\ (f\ (inv\ f\ y))$ .

**then show**  $PROP\ P\ y$  **unfolding** *surj-f-inv-f*[*OF assms*].

**qed**

**lemma** *All-surj-conv*: **assumes** *surj f* **shows**  $(\forall x. P (f x)) \longleftrightarrow (\forall y. P y)$   
**proof** (*intro iffI allI*)  
  **fix** *y* **assume**  $\forall x. P (f x)$   
  **then have**  $P (f (inv f y))$ ..  
  **then show**  $P y$  **unfolding** *surj-f-inv-f*[*OF assms*].  
**qed** *simp*

**lemma** *Ex-surj-conv*: **assumes** *surj f* **shows**  $(\exists x. P (f x)) \longleftrightarrow (\exists y. P y)$   
**proof**  
  **assume**  $\exists x. P (f x)$   
  **then obtain** *x* **where**  $P (f x)$ ..  
  **then show**  $\exists x. P x$ ..  
**next**  
  **assume**  $\exists y. P y$   
  **then obtain** *y* **where**  $P y$ ..  
  **then have**  $P (f (inv f y))$  **unfolding** *surj-f-inv-f*[*OF assms*].  
  **then show**  $\exists x. P (f x)$ ..  
**qed**

**lemma** *Ex1-bij-conv*: **assumes** *bij f* **shows**  $(\exists!x. P (f x)) \longleftrightarrow (\exists!y. P y)$   
**proof**  
  **have** *imp*:  $\exists!y. Q y$  **if** *bij*: *bij g* **and** *ex1*:  $\exists!x. Q (g x)$  **for** *g Q*  
  **proof** –  
  **from** *ex1E*[*OF ex1, rule-format*]  
  **obtain** *x* **where** *ex*:  $Q (g x)$  **and** *uniq*:  $\bigwedge x'. Q (g x') \implies x' = x$  **by** *blast*  
  {  
    **fix** *y* **assume**  $Q y$   
    **then have**  $Q (g (inv g y))$  **unfolding** *surj-f-inv-f*[*OF bij-is-surj*[*OF bij*]].  
    **from** *uniq*[*OF this*] **have**  $x = inv g y$ ..  
    **then have**  $y = g x$  **unfolding** *bij-inv-eq-iff*[*OF bij*].  
  }  
  **with** *ex* **show**  $\exists!y. Q y$ ..  
**qed**  
  **show**  $\exists!x. P (f x) \implies \exists!y. P y$  **using** *imp*[*OF assms*].  
  **assume**  $\exists!y. P y$   
  **then have**  $\exists!y. P (f (inv f y))$  **unfolding** *surj-f-inv-f*[*OF bij-is-surj*[*OF assms*]].  
  **from** *imp*[*OF bij-imp-bij-inv*[*OF assms*] *this*]  
  **show**  $\exists!x. P (f x)$ .  
**qed**

**lemma** *Ball-inj-conv*: **assumes** *inj f* **shows**  $(\forall y \in f^{-1} A. P (inv f y)) \longleftrightarrow (\forall x \in A. P x)$   
  **using** *ball-simps(9)*[*of f A*  $\lambda y. P (inv f y)$ ] **unfolding** *inv-f-f*[*OF assms*].

**lemma** *Bex-inj-conv*: **assumes** *inj f* **shows**  $(\exists y \in f^{-1} A. P (inv f y)) \longleftrightarrow (\exists x \in A. P x)$   
  **using** *bex-simps(7)*[*of f A*  $\lambda y. P (inv f y)$ ] **unfolding** *inv-f-f*[*OF assms*].

### 1.1.1 Quantifications and reverse

**lemma** *rev-involution'*:  $rev \circ rev = id$   
**by** *auto*

**lemma** *rev-inv*:  $inv\ rev = rev$   
**using** *inv-unique-comp*[*OF rev-involution' rev-involution'*].

## 1.2 Attributes

**context**  
**begin**

### 1.2.1 Cons reversion

**definition** *snocs* ::  $'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list$   
**where** *snocs*  $xs\ ys = xs @ ys$

### 1.2.2 Final corrections

**lemma** *snocs-snocs*:  $snocs\ (snocs\ xs\ (y\ \# \ ys))\ zs = snocs\ xs\ (y\ \# \ snocs\ ys\ zs)$   
**unfolding** *snocs-def* **by** *simp*

**lemma** *snocs-Nil*:  $snocs\ []\ xs = xs$   
**unfolding** *snocs-def* **by** *simp*

**lemma** *snocs-is-append*:  $snocs\ xs\ ys = xs @ ys$   
**unfolding** *snocs-def*..

**private lemmas** *final-correct1* =  
*snocs-snocs*

**private lemmas** *final-correct2* =  
*snocs-Nil*

**private lemmas** *final-correct3* =  
*snocs-is-append*

### 1.2.3 Declaration attribute *reversal-rule*

**ML** <  
*structure* *Reversal-Rules* =  
  *Named-Thms*(  
    *val* *name* = @{*binding reversal-rule*}  
    *val* *description* = *Rules performing reverse-symmetry transformation on theorems on lists*  
  )  
>

**attribute-setup** *reversal-rule* =

```

⟨Attrib.add-del
  (Thm.declaration-attribute Reversal-Rules.add-thm)
  (Thm.declaration-attribute Reversal-Rules.del-thm)⟩
maintaining a list of reversal rules

```

## 1.2.4 Tracing attribute

```

ML ⟨
  val reversed-trace = Config.declare-bool (reversed-trace, here) (K false);
  val enable-tracing = Config.put-generic reversed-trace true
  val tracing-attr = Thm.declaration-attribute (K enable-tracing)
  val tracing-parser : attribute context-parser = Scan.lift (Scan.succeed tracing-attr)
⟩

```

**attribute-setup** *reversed-trace = tracing-parser reversed trace configuration*

## 1.2.5 Rule attribute *reversed*

```

private lemma rev-Nil: rev [] ≡ []
  by simp

```

```

private lemma map-Nil: map f [] ≡ []
  by simp

```

```

private lemma image-empty: f ‘ Set.empty ≡ Set.empty
  by simp

```

```

definition COMP :: ('b ⇒ prop) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ prop (infixl ⟨oo⟩ 55)
  where F oo g ≡ (λx. F (g x))

```

```

lemma COMP-assoc: F oo (f o g) ≡ (F oo f) oo g
  unfolding COMP-def o-def.

```

```

private lemma image-comp-image: (‘) f o (‘) g ≡ (‘) (f o g)
  unfolding comp-def image-comp.

```

```

private lemma rev-involution: rev o rev ≡ id
  unfolding comp-def rev-rev-ident id-def.

```

```

private lemma map-involution: assumes f o f ≡ id shows (map f) o (map f)
  ≡ id
  unfolding map-comp-map ⟨f o f ≡ id⟩ List.map.id.

```

```

private lemma image-involution: assumes f o f ≡ id shows (image f) o (image
f) ≡ id
  unfolding image-comp-image ⟨f o f ≡ id⟩ image-id.

```

```

private lemma rev-map-comm: rev o map f ≡ map f o rev
  unfolding comp-def rev-map.

```

**private lemma involut-comm-comp:** **assumes**  $f \circ f \equiv id$  **and**  $g \circ g \equiv id$  **and**  $f \circ g \equiv g \circ f$   
**shows**  $(f \circ g) \circ (f \circ g) \equiv id$   
**by** (*simp add: comp-assoc comp-assoc[symmetric] assms*)

**private lemma rev-map-involution:** **assumes**  $g \circ g \equiv id$   
**shows**  $(rev \circ map\ g) \circ (rev \circ map\ g) \equiv id$   
**using** *involut-comm-comp[OF rev-involution map-involution[OF  $\langle g \circ g \equiv id \rangle$  rev-map-comm]*.

**private lemma prop-abs-subst:** **assumes**  $f \circ f \equiv id$  **shows**  $(\lambda x. F (f\ x)) \circ f \equiv (\lambda x. F\ x)$   
**unfolding** *COMP-def o-apply[symmetric]* **unfolding**  $\langle f \circ f \equiv id \rangle$  *id-def*.

**private lemma prop-abs-subst-comm:** **assumes**  $f \circ f \equiv id$  **and**  $g \circ g \equiv id$  **and**  $f \circ g \equiv g \circ f$   
**shows**  $(\lambda x. F (f (g\ x))) \circ (f \circ g) \equiv (\lambda x. F\ x)$   
**unfolding**  $\langle f \circ g \equiv g \circ f \rangle$  **unfolding** *COMP-assoc*  
**unfolding** *prop-abs-subst[OF  $\langle g \circ g \equiv id \rangle$ , of  $\lambda x. F (f\ x)$  prop-abs-subst[OF  $\langle f \circ f \equiv id \rangle$ ]*.

**private lemma prop-abs-subst-rev-map:** **assumes**  $g \circ g \equiv id$   
**shows**  $(\lambda x. F (rev (map\ g\ x))) \circ (rev \circ map\ g) \equiv (\lambda x. F\ x)$   
**using** *prop-abs-subst-comm[OF rev-involution map-involution[OF  $\langle g \circ g \equiv id \rangle$  rev-map-comm]*.

**private lemma obj-abs-subst:** **assumes**  $f \circ f \equiv id$  **shows**  $(\lambda x. F (f\ x)) \circ f \equiv (\lambda x. F\ x)$   
**unfolding** *comp-def* **unfolding** *o-apply[of f, symmetric]*  $\langle f \circ f \equiv id \rangle$  *id-def*.

**private lemma obj-abs-subst-comm:** **assumes**  $f \circ f \equiv id$  **and**  $g \circ g \equiv id$  **and**  $f \circ g \equiv g \circ f$   
**shows**  $(\lambda x. F (f (g\ x))) \circ (f \circ g) \equiv (\lambda x. F\ x)$   
**unfolding**  $\langle f \circ g \equiv g \circ f \rangle$  **unfolding** *comp-assoc[symmetric]*  
**unfolding** *obj-abs-subst[OF  $\langle g \circ g \equiv id \rangle$ , of  $\lambda x. F (f\ x)$  obj-abs-subst[OF  $\langle f \circ f \equiv id \rangle$ ]*.

**private lemma obj-abs-subst-rev-map:** **assumes**  $g \circ g \equiv id$   
**shows**  $(\lambda x. F (rev (map\ g\ x))) \circ (rev \circ map\ g) \equiv (\lambda x. F\ x)$   
**using** *obj-abs-subst-comm[OF rev-involution map-involution[OF  $\langle g \circ g \equiv id \rangle$  rev-map-comm]*.

**ML**  $\langle$

*local*

*fun* *comp-const*  $T = \text{Const}(\mathbf{const-name} \langle \text{comp} \rangle, (T \dashrightarrow T) \dashrightarrow (T \dashrightarrow T) \dashrightarrow T \dashrightarrow T)$

*fun* *rev-const*  $T = \text{Const}(\mathbf{const-name} \langle \text{rev} \rangle, T \dashrightarrow T)$

*fun* *map-const*  $S\ T = \text{Const}(\mathbf{const-name} \langle \text{map} \rangle, (S \dashrightarrow S) \dashrightarrow T \dashrightarrow T)$

```

fun image-const S T = Const(const-name <image>, (S --> S) --> T -->
T)

```

```

val rev-Nil-thm = @{thm rev-Nil}
val map-Nil-thm = @{thm map-Nil}
val image-empty-thm = @{thm image-empty}
val rev-involut-thm = @{thm rev-involution}
val map-involut-thm = @{thm map-involution}
val image-involut-thm = @{thm image-involution}
val rev-map-comm-thm = @{thm rev-map-comm}
val involut-comm-comp-thm = @{thm involut-comm-comp}
fun abs-subst-thm T =
  if T = propT then @{thm prop-abs-subst} else @{thm obj-abs-subst}
fun abs-subst-rev-map-thm T =
  if T = propT then @{thm prop-abs-subst-rev-map} else @{thm obj-abs-subst-rev-map}
```

```

fun comp T f gs = fold (fn f => fn g =>
  (comp-const T $ f $ g)) gs f
fun app ctxt gs ct = fold-rev (fn g => fn ct' =>
  Thm.apply (Thm.ctrm-of ctxt g) ct') gs ct
```

*in*

```

fun subst ctxt T ct =
  let
    fun tr (T as Type(type-name <list>, [S])) = [rev-const T] @ (
      case tr S of
        [] => []
      | (g :: gs') => [map-const S T $ comp S g gs']
      | tr (T as Type(type-name <set>, [S])) = (
        case tr S of
          [] => []
        | (g :: gs') => [image-const S T $ comp S g gs']
        | tr - = []
      )
    in app ctxt (tr T) ct end
```

```

fun abs-cv T U ct =
  let
    fun tr-eq (T as Type(type-name <list>, [S])) =
      rev-involut-thm :: (
        case tr-eq S of
          [] => []
        | [f-eq] => [f-eq RS map-involut-thm]
        | [f-eq, g-eq] =>
          [(f-eq, g-eq, rev-map-comm-thm] MRS involut-comm-comp-thm) RS
        map-involut-thm)
      | tr-eq (T as Type(type-name <set>, [S])) = (
        case tr-eq S of
          [] => []
        | [f-eq] => [f-eq RS image-involut-thm]
```

```

      | [f-eq, g-eq] =>
        [(f-eq, g-eq, rev-map-comm-thm) MRS involut-comm-comp-thm) RS
image-involut-thm])
      | tr-eq - = []
in case tr-eq T of
  [] => Thm.reflexive ct
  | [f-inv] =>
    [Thm.reflexive ct, Thm.symmetric (f-inv RS abs-subst-thm U)] MRS transi-
tive-thm
  | [f-inv, g-inv] =>
    [Thm.reflexive ct, Thm.symmetric (g-inv RS abs-subst-rev-map-thm U)] MRS
transitive-thm
end;

```

```

fun Nil-cv ctxt T ct =
  ((Conv.try-conv o Conv.arg-conv o Conv.rewr-conv) map-Nil-thm
  then-conv (Conv.try-conv o Conv.rewr-conv) rev-Nil-thm) (subst ctxt T ct)
  |> Thm.symmetric

```

```

fun empty-cv ctxt T ct =
  (Conv.try-conv o Conv.rewr-conv) image-empty-thm (subst ctxt T ct)
  |> Thm.symmetric

```

end

```

fun initiate-cv ctxt ct =
  case Thm.term-of ct of
    - $ - => Conv.comb-conv (initiate-cv ctxt) ct
  | Abs(-, T, b) => (Conv.abs-conv (initiate-cv o snd) ctxt then-conv abs-cv T
(fastype-of b)) ct
  | Const(const-name <Nil>, T) => Nil-cv ctxt T ct
  | Const(const-name <bot>, T as Type(type-name <set>, -)) => empty-cv ctxt T
ct
  | - => Thm.reflexive ct
  >

```

ML <

```

fun trace-rule-prems-proof ctxt rule goals rule-prems rule' =
  if not (Config.get ctxt reversed-trace) then () else
  let
    val ctxt-prems = Raw-Simplifier.prems-of ctxt
    val np = Thm.nprems-of rule
    val np' = Thm.nprems-of rule'
    val pretty-term = Syntax.pretty-term ctxt
    val pretty-thm = pretty-term o Thm.prop-of
    val success = rule-prems |> List.all is-some
    val sendback = Active.make-markup Markup.sendbackN
    {implicit = false, properties = [Markup.padding-command]}
  end

```



```

val - = [
  [
    Trying to use conditional reverse rule: |> Pretty.para,
    rule |> pretty-thm
  ] |> Pretty.fbreaks |> Pretty.block,
  [(if null ctxt-prems
    then No context premises.
    else Context premises:
  ) |> Pretty.para
] @ (
  ctxt-prems |> map (Pretty.item o single o pretty-thm)
) |> Pretty.fbreaks |> Pretty.block,
( if success then [
  Successfully derived unconditional reverse rule: |> Pretty.para,
  rule' |> pretty-thm
] else [
  Unable to prove  $\wedge$  string-of-int np  $\wedge$  out of  $\wedge$  string-of-int np'  $\wedge$  rule
premisses:\n
  |> Pretty.para
] @ (
  (goals ~~ rule-prems) |> map-filter (
    fn (goal, NONE) => SOME ([
      lemma |> Pretty.str, Pretty.brk 1,
      goal |> pretty-term |> Pretty.quote, Pretty.fbrk,
      sorry |> Pretty.str
    ]) |> curry Pretty.blk 0 |> Pretty.mark sendback |> single |> Pretty.item)
  | - => NONE
)
)) |> Pretty.fbreaks |> Pretty.block
] |> Pretty.chunks |> Pretty.string-of |> tracing
in () end

fun full-resolve ctxt prem i =
  let
    val tac = resolve-tac ctxt [prem] THEN-ALL-NEW blast-tac ctxt
  in rule-by-tactic ctxt (tac i) end

fun prover method ss ctxt rule =
  let
    val ctxt-prems = Raw-Simplifier.premis-of ctxt
    val rule-prems' = Logic.strip-imp-prems (Thm.prop-of rule)
    val goals = rule-prems' |> map (fn prem =>
      Logic.list-implies (map Thm.prop-of ctxt-prems, prem));
    val ctxt' = ctxt |> put-simpset ss
    fun prove t = SOME (Goal.prove ctxt' [] [] t
      (fn {context = goal-ctxt, prems} => NO-CONTEXT-TACTIC goal-ctxt
        (method goal-ctxt prems)))
    handle ERROR - => NONE
    val ths = goals |> map prove
  end

```

```

val gen-ctxt-prems = map (Variable.gen-all ctxt) ctxt-prems
fun full-resolve1 prem = full-resolve ctxt prem 1
val rule-prems = ths |> (map o Option.map) (fold full-resolve1 gen-ctxt-prems)
val rule' = (fold o curry) (
  fn (SOME th, rule') => rule' |> full-resolve1 th
  | (NONE, rule') => Drule.rotate-prems 1 rule'
) rule-prems rule
val nprems = Thm.nprems-of rule'
val - = trace-rule-prems-proof ctxt rule goals rule-prems rule'
in if nprems = 0 then SOME rule' else NONE end

fun rewrite - - [] = Thm.reflexive
| rewrite method ctxt thms =
  let
    val p = prover method (simpset-of ctxt)
    val ctxt' = Raw-Simplifier.init-simpset thms ctxt
  in
    Raw-Simplifier.rewrite-cterm (true, true, true) p ctxt'
  end

fun rewrite-rule method ctxt = Conv.fconv-rule o rewrite method ctxt;

fun meta-reversal-rules ctxt extra =
  map (Local-Defs.meta-rewrite-rule ctxt) (extra @ Reversal-Rules.get ctxt)

fun reverse method extra-rules context th =
  let
    val ctxt = Context.proof-of context
    val final-correct1 = map (Local-Defs.meta-rewrite-rule ctxt) @ { thms final-correct1 }
    val final-correct2 = map (Local-Defs.meta-rewrite-rule ctxt) @ { thms final-correct2 }
    val final-correct3 = map (Local-Defs.meta-rewrite-rule ctxt) @ { thms final-correct3 }
    val rules = meta-reversal-rules ctxt extra-rules
    val cvars = Thm.add-vars th Vars.empty
    val cvars' = Vars.map ((subst ctxt o snd)) cvars
    val th-subst = Thm.instantiate (TVars.empty, cvars') th
    val ((-, [th-import]), ctxt') = Variable.import true [th-subst] ctxt
    val th-init = th-import |> Conv.fconv-rule (initiate-cv ctxt')
    val th-rev = th-init |> rewrite-rule method ctxt' rules
    val th-corr = th-rev
      |> Raw-Simplifier.rewrite-rule ctxt' final-correct1
      |> Raw-Simplifier.rewrite-rule ctxt' final-correct2
      |> Raw-Simplifier.rewrite-rule ctxt' final-correct3
    val th-export = th-corr |> singleton (Variable.export ctxt' ctxt)
  in
    Drule.zero-var-indexes th-export
  end

val default-method = SIMPLE-METHOD o CHANGED-PROP o auto-tac

```

```

val solve-arg = Args.$$$ solve

val extra-rules-parser = Scan.optional (Scan.lift (Args.add -- Args.colon) |--
Attrib.thms) []

val solve-parser = Scan.lift (Scan.optional
  (solve-arg -- Args.colon |-- Method.parse >> (fst #> Method.evaluate))
  default-method
  )

val reversed = extra-rules-parser -- solve-parser
  >> (fn (ths, method) => Thm.rule-attribute [] (reverse method ths))
  >

attribute-setup reversed =
  ⟨reversed⟩
  Transforms the theorem on lists to reverse-symmetric version

end

```

## 1.3 Declaration of basic reversal rules

### 1.3.1 Pure

**lemma** *all-surj-conv'* [reversal-rule]: **assumes** *surj f* **shows**  $Pure.all (P \circ f) \equiv Pure.all P$   
**unfolding** *COMP-def* **using** *all-surj-conv*[*OF assms*].

### 1.3.2 HOL.HOL

**lemmas** [reversal-rule] = *rev-is-rev-conv inj-eq*

— *All*

**lemma** *All-surj-conv'* [reversal-rule]: **assumes** *surj f* **shows**  $All (P \circ f) = All P$   
**unfolding** *comp-def* **using** *All-surj-conv*[*OF assms*].

— *Ex*

**lemma** *Ex-surj-conv'* [reversal-rule]: **assumes** *surj f* **shows**  $Ex (P \circ f) \longleftrightarrow Ex P$   
**unfolding** *comp-def* **using** *Ex-surj-conv*[*OF assms*].

— *Ex1*

**lemma** *Ex1-bij-conv'* [reversal-rule]: **assumes** *bij f* **shows**  $Ex1 (P \circ f) \longleftrightarrow Ex1 P$   
**unfolding** *comp-def* **using** *Ex1-bij-conv*[*OF assms*].

— *If*

**lemma** *if-image* [reversal-rule]:  $(if P then f u else f v) = f (if P then u else v)$   
**by** *simp*

### 1.3.3 *HOL.Set*

**lemma** *collect-image*:  $\text{Collect } (P \circ f) = f \text{ - ' } (\text{Collect } P)$   
**by** *fastforce*

**lemma** *collect-image'* [*reversal-rule*]: **assumes**  $f \circ f = \text{id}$  **shows**  $\text{Collect } (P \circ f) = f \text{ - ' } (\text{Collect } P)$   
**unfolding** *collect-image*  
**unfolding** *bij-vimage-eq-inv-image*[*OF o-bij*[*OF assms assms*]]  
**unfolding** *inv-unique-comp*[*OF assms assms*].

— *Ball*

**lemma** *Ball-image* [*reversal-rule*]: **assumes**  $(g \circ f) \text{ - ' } A = A$  **shows**  $\text{Ball } (f \text{ - ' } A) (P \circ g) = \text{Ball } A P$   
**unfolding** *Ball-image-comp*[*symmetric*] *image-comp*  $\langle (g \circ f) \text{ - ' } A = A \rangle$ .

— *Bex*

**lemma** *Bex-image-comp*:  $\text{Bex } (f \text{ - ' } A) g = \text{Bex } A (g \circ f)$   
**by** *simp*

**lemma** *Bex-image* [*reversal-rule*]: **assumes**  $(g \circ f) \text{ - ' } A = A$  **shows**  $\text{Bex } (f \text{ - ' } A) (P \circ g) = \text{Bex } A P$   
**unfolding** *Bex-image-comp*[*symmetric*] *image-comp*  $\langle (g \circ f) \text{ - ' } A = A \rangle$ .

— *insert*

**lemma** *insert-image* [*reversal-rule*]:  $\text{insert } (f x) (f \text{ - ' } X) = f \text{ - ' } (\text{insert } x X)$   
**by** *blast*

— *List.member*

**lemmas** [*reversal-rule*] = *inj-image-mem-iff*

—  $(\subseteq)$

**lemmas** [*reversal-rule*] = *inj-image-subset-iff*

### 1.3.4 *HOL.List*

**lemmas** [*reversal-rule*] = *set-rev set-map*

—  $(\#)$

**lemma** *Cons-rev*:  $a \# \text{rev } u = \text{rev } (\text{snocs } u [a])$   
**unfolding** *snocs-def* **by** *simp*

**lemma** *Cons-map*:  $(f x) \# (\text{map } f xs) = \text{map } f (x \# xs)$   
**using** *list.simps(9)*[*symmetric*].

**lemmas** [*reversal-rule*] = *Cons-rev Cons-map*

— *hd*

**lemmas** [*reversal-rule*] = *hd-rev hd-map*

— *tl*  
**lemma** *tl-rev*:  $tl (rev\ xs) = rev (butlast\ xs)$   
**using** *butlast-rev*[*of rev xs, symmetric*] **unfolding** *rev-swap rev-rev-ident*.

**lemmas** [*reversal-rule*] = *tl-rev map-tl*[*symmetric*]

— *last*  
**lemmas** [*reversal-rule*] = *last-rev last-map*

— *butlast*  
**lemmas** [*reversal-rule*] = *butlast-rev map-butlast*[*symmetric*]

— *List.coset*  
**lemma** *coset-rev*:  $List.coset (rev\ xs) = List.coset\ xs$   
**by** *simp*

**lemma** *coset-map*: **assumes** *bij f* **shows**  $List.coset (map\ f\ xs) = f\ ' List.coset\ xs$   
**using** *bij-image-Comp1-eq*[*OF <bij f>, symmetric*] **unfolding** *coset-def set-map*.

**lemmas** [*reversal-rule*] = *coset-rev coset-map*

— (*@*)  
**lemmas** [*reversal-rule*] = *rev-append*[*symmetric*] *map-append*[*symmetric*]

— *concat*  
**lemma** *concat-rev-map-rev*:  $concat (rev (map\ rev\ xs)) = rev (concat\ xs)$   
**using** *rev-concat*[*symmetric*] **unfolding** *rev-map*.

**lemma** *concat-rev-map-rev'*:  $concat (rev (map (rev \circ f)\ xs)) = rev (concat (map\ f\ xs))$   
**unfolding** *map-comp-map*[*symmetric*] *o-apply* **using** *concat-rev-map-rev*.

**lemmas** [*reversal-rule*] = *concat-rev-map-rev concat-rev-map-rev'*

— *drop*  
**lemmas** [*reversal-rule*] = *drop-rev drop-map*

— *take*  
**lemmas** [*reversal-rule*] = *take-rev take-map*

— (!)  
**lemmas** [*reversal-rule*] = *rev-nth nth-map*

— *List.insert*  
**lemma** *list-insert-map* [*reversal-rule*]:  
**assumes** *inj f* **shows**  $List.insert (f\ x) (map\ f\ xs) = map\ f (List.insert\ x\ xs)$   
**unfolding** *List.insert-def set-map inj-image-mem-iff*[*OF <inj f>*] *Cons-map if-image..*

— *List.union*

**lemma** *list-union-map* [*reversal-rule*]:  
**assumes** *inj f* **shows**  $List.union (map f xs) (map f ys) = map f (List.union xs ys)$   
**proof** (*induction xs arbitrary: ys*)  
**case** (*Cons a xs*)  
**show** *?case* **using** *Cons.IH* **unfolding** *List.union-def Cons-map[symmetric] fold.simps(2) o-apply*  
**unfolding** *list-insert-map[OF ‹inj f›]*.  
**qed** (*simp add: List.union-def*)

— *length*

**lemmas** [*reversal-rule*] = *length-rev length-map*

— *rotate*

**lemmas** [*reversal-rule*] = *rotate-rev rotate-map*

— *lists*

**lemma** *rev-in-lists*:  $rev u \in lists A \longleftrightarrow u \in lists A$   
**by** *auto*

**lemma** *map-in-lists*:  $inj f \implies map f u \in lists (f ` A) \longleftrightarrow u \in lists A$   
**by** (*simp add: lists-image inj-image-mem-iff inj-mapI*)

**lemmas** [*reversal-rule*] = *rev-in-lists map-in-lists*

— *list-all*

**lemmas** [*reversal-rule*] = *list-all-rev*

— *list-ex*

**lemmas** [*reversal-rule*] = *list-ex-rev*

### 1.3.5 Reverse Symmetry

**lemma** *snocs-map* [*reversal-rule*]:  $snocs (map f u) [f a] = map f (snocs u [a])$   
**unfolding** *snocs-def* **by** *simp*

## 1.4

**lemma** *bij-rev*: *bij rev*  
**using** *o-bij[OF rev-involution' rev-involution']*.

**lemma** *bij-map*:  $bij f \implies bij (map f)$   
**using** *bij-betw-def inj-mapI lists-UNIV lists-image* **by** *metis*

**lemma** *surj-map*:  $surj f \implies surj (map f)$   
**using** *lists-UNIV lists-image* **by** *metis*

**lemma** *bij-image*:  $bij f \implies bij (image f)$   
**using** *bij-betw-Pow* **by** *force*

**lemma** *inj-image*:  $\text{inj } f \implies \text{inj } (\text{image } f)$   
**by** (*simp add: inj-on-image*)

**lemma** *surj-image*:  $\text{surj } f \implies \text{surj } (\text{image } f)$   
**using** *Pow-UNIV image-Pow-surj* **by** *metis*

**lemmas** [*simp*] =  
*bij-rev*  
*bij-is-inj*  
*bij-is-surj*  
*bij-comp*  
*inj-compose*  
*comp-surj*  
*bij-map*  
*inj-mapI*  
*surj-map*  
*bij-image*  
*inj-image*  
*surj-image*

## 1.5 Examples

**context**  
**begin**

### 1.5.1 Cons and append

**private lemma** *example-Cons-append*:  
**assumes**  $xs = [a, b]$  **and**  $ys = [b, a, b]$   
**shows**  $xs @ xs @ xs = a \# b \# a \# ys$   
**using** *assms* **by** *simp*

**thm**  
*example-Cons-append*  
*example-Cons-append[reversed]*  
*example-Cons-append[reversed, reversed]*

**thm**  
*not-Cons-self*  
*not-Cons-self[reversed]*

**thm**  
*neq-Nil-conv*  
*neq-Nil-conv[reversed]*

### 1.5.2 Induction rules

**thm**

*list-nonempty-induct*  
*list-nonempty-induct[reversed]* *list-nonempty-induct[reversed, where P= $\lambda x. P$  (rev x) for P, unfolded rev-rev-ident]*

**thm**

*list-induct2*  
*list-induct2[reversed]* *list-induct2[reversed, where P= $\lambda x y. P$  (rev x) (rev y) for P, unfolded rev-rev-ident]*

### 1.5.3 hd, tl, last, butlast

**thm**

*hd-append*  
*hd-append[reversed]*  
*last-append*

**thm**

*length-tl*  
*length-tl[reversed]*  
*length-butlast*

**thm**

*hd-Cons-tl*  
*hd-Cons-tl[reversed]*  
*append-butlast-last-id*  
*append-butlast-last-id[reversed]*

### 1.5.4 set

**thm**

*hd-in-set*  
*hd-in-set[reversed]*  
*last-in-set*

**thm**

*set-ConsD*  
*set-ConsD[reversed]*

**thm**

*split-list-first*  
*split-list-first[reversed]*

**thm**

*split-list-first-prop*  
*split-list-first-prop[reversed]*  
*split-list-first-prop[reversed, unfolded append-assoc append-Cons append-Nil]*  
*split-list-last-prop*

**thm**

*split-list-first-propE*



```
split-list-first-propE[reversed]
split-list-first-propE[reversed, unfolded append-assoc append-Cons append-Nil]
split-list-last-propE
```

### 1.5.5 rotate

```
private lemma rotate1-hd-tl: xs ≠ [] ⇒ rotate 1 xs = tl xs @ [hd xs]
  by (cases xs) simp-all
```

```
thm
  rotate1-hd-tl
  rotate1-hd-tl[reversed]
```

```
end
```

```
end
```

```
theory CoWBasic
  imports HOL-Library.Sublist Arithmetical-Hints Reverse-Symmetry HOL-Eisbach.Eisbach-Tools
begin
```

## Chapter 2

# Basics of Combinatorics on Words

Combinatorics on Words, as the name suggests, studies words, finite or infinite sequences of elements from a, usually finite, alphabet. The essential operation on finite words is the concatenation of two words, which is associative and noncommutative. This operation yields many simply formulated problems, often in terms of *equations on words*, that are mathematically challenging.

See for instance [1] for an introduction to Combinatorics on Words, and [?, 5, 6] as another reference for Combinatorics on Words. This theory deals exclusively with finite words and provides basic facts of the field which can be considered as folklore.

The most natural way to represent finite words is by the type '*a list*'. From an algebraic viewpoint, lists are free monoids. On the other hand, any free monoid is isomorphic to a monoid of lists of its generators. The algebraic point of view and the combinatorial point of view therefore overlap significantly in Combinatorics on Words.

### 2.1 Definitions and notations

First, we introduce elementary definitions and notations.

The concatenation ( $\circledast$ ) of two finite lists/words is the very basic operation in Combinatorics on Words, its notation is usually omitted. In this field, a common notation for this operation is  $\cdot$ , which we use and add here.

**notation** *append* (**infixr**  $\langle \cdot \rangle$  65)

**lemmas** *rassoc* = *append-assoc*

**lemmas** *lassoc* = *append-assoc*[*symmetric*]

We add a common notation for the length of a given word  $|w|$ .

**notation**

*length*  $\langle | \cdot | \rangle$  — note that it's bold |

**notation** (*latex output*)

*length*  $\langle | \cdot | \rangle$

**notation** *longest-common-prefix* (**infixr**  $\langle \wedge_p \rangle$  61) — provided by Sublist.thy

### 2.1.1 Empty and nonempty word

As the word of length zero  $[]$  or  $[]$  will be used often, we adopt its frequent notation  $\varepsilon$  in this formalization.

**notation** *Nil*  $\langle \varepsilon \rangle$

**named-theorems** *emp-simps*

**lemmas** [*emp-simps*] = *append-Nil2 append-Nil list.map(1) list.size(3)*

### 2.1.2 Prefix

The property of being a prefix shall be frequently used, and we give it yet another frequent shorthand notation. Analogously, we introduce shorthand notations for non-empty prefix and strict prefix, and continue with suffixes and factors.

**notation** *prefix* (**infixl**  $\langle \leq_p \rangle$  50)

**notation** (*latex output*) *prefix*  $\langle \leq_p \rangle$

**lemmas** *prefI* [*intro*] = *prefixI*

**lemma** *prefI* [*intro*]:  $p \cdot s = w \implies p \leq_p w$   
by *auto*

**lemma** *prefD*:  $u \leq_p v \implies \exists z. v = u \cdot z$   
**unfolding** *prefix-def*.

**definition** *prefix-comparable* :: *'a list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *bool* (**infixl**  $\langle \bowtie \rangle$  50)

**where** (*prefix-comparable*  $u\ v$ )  $\equiv u \leq_p v \vee v \leq_p u$

**lemma** *pref-compI1*:  $u \leq_p v \implies u \bowtie v$   
**unfolding** *prefix-comparable-def..*

**lemma** *pref-compI2*:  $v \leq_p u \implies u \bowtie v$   
**unfolding** *prefix-comparable-def..*

**lemma** *pref-compE* [*elim*]: **assumes**  $u \bowtie v$  **obtains**  $u \leq_p v \mid v \leq_p u$   
**using** *assms* **unfolding** *prefix-comparable-def..*

**lemma** *pref-compI* [*intro*]:  $u \leq_p v \vee v \leq_p u \implies u \bowtie v$

**unfolding** *prefix-comparable-def*  
**by** *simp*

**definition** *nonempty-prefix* (**infixl**  $\langle \leq_{np} \rangle$  50) **where** *nonempty-prefix-def*[*simp*]:  
 $u \leq_{np} v \equiv u \neq \varepsilon \wedge u \leq_p v$   
**notation** (*latex output*) *nonempty-prefix* ( $\langle \leq_{np} \rangle$  50)

**lemma** *npI*[*intro*]:  $u \neq \varepsilon \implies u \leq_p v \implies u \leq_{np} v$   
**by** *auto*

**lemma** *npI'*[*intro*]:  $u \neq \varepsilon \implies (\exists z. u \cdot z = v) \implies u \leq_{np} v$   
**by** *auto*

**lemma** *npD*:  $u \leq_{np} v \implies u \leq_p v$   
**by** *simp*

**lemma** *npD'*:  $u \leq_{np} v \implies u \neq \varepsilon$   
**by** *simp*

**notation** *strict-prefix* (**infixl**  $\langle <_p \rangle$  50)  
**notation** (*latex output*) *strict-prefix* ( $\langle <_p \rangle$ )  
**lemmas** [*simp*] = *strict-prefix-def*

**interpretation** *lcp*: *semilattice-order* ( $\wedge_p$ ) *prefix* *strict-prefix*  
**proof**

**fix** *a b c* :: 'a list  
**show**  $(a \wedge_p b) \wedge_p c = a \wedge_p b \wedge_p c$   
**by** (*rule prefix-order.antisym*)  
(*meson longest-common-prefix-max-prefix longest-common-prefix-prefix1 longest-common-prefix-prefix2*  
*prefix-order.trans*)  
**show**  $a \wedge_p b = b \wedge_p a$   
**by** (*simp add: longest-common-prefix-max-prefix longest-common-prefix-prefix1*  
*longest-common-prefix-prefix2 prefix-order.antisym*)  
**show**  $a \wedge_p a = a$   
**by** (*simp add: longest-common-prefix-max-prefix longest-common-prefix-prefix1*  
*prefix-order.eq-iff*)  
**show**  $a \leq_p b = (a = a \wedge_p b)$   
**by** (*metis longest-common-prefix-max-prefix longest-common-prefix-prefix1 longest-common-prefix-prefix2*  
*prefix-order.dual-order.eq-iff*)  
**thus**  $(a <_p b) = (a = a \wedge_p b \wedge a \neq b)$   
**by** *simp*  
**qed**

**lemmas** *sprefI* = *strict-prefixI*

**lemma** *sprefI1*[*intro*]:  $v = u \cdot z \implies z \neq \varepsilon \implies u <_p v$   
**by** *simp*

**lemma** *sprefI1*[*intro*]:  $u \cdot z = v \implies z \neq \varepsilon \implies u <_p v$   
**by** *force*

**lemma** *sprefI2*[*intro*]:  $u \leq_p v \implies |u| < |v| \implies u <_p v$   
**by** *force*

**lemma** *sprefD*:  $u <_p v \implies u \leq_p v \wedge u \neq v$   
**by** *auto*

**lemmas** *sprefD1*[*dest*] = *prefix-order.strict-implies-order* **and**  
*sprefD2* = *prefix-order.less-imp-neq*

**lemmas** *sprefE*[*elim?*] = *strict-prefixE*

**lemma** *spref-exE*[*elim?*]: **assumes**  $u <_p v$  **obtains**  $z$  **where**  $u \cdot z = v$  **and**  $z \neq \varepsilon$   
**using** *assms* **unfolding** *strict-prefix-def prefix-def* **by** *blast*

### 2.1.3 Suffix

**notation** *suffix* (**infixl**  $\langle \leq_s \rangle$  50)

**notation** (*latex output*) *suffix* ( $\langle \leq_s \rangle$ )

**lemma** *sufI*[*intro*]:  $p \cdot s = w \implies s \leq_s w$   
**by** (*auto simp add: suffix-def*)

**lemma** *sufD*[*elim*]:  $u \leq_s v \implies \exists z. z \cdot u = v$   
**by** (*auto simp add: suffix-def*)

**notation** *strict-suffix* (**infixl**  $\langle <_s \rangle$  50)

**notation** (*latex output*) *strict-suffix* ( $\langle <_s \rangle$ )

**lemmas** [*simp*] = *strict-suffix-def*

**lemmas** [*intro*] = *suffix-order.le-neq-trans*

**lemmas** *ssufI* = *suffix-order.le-neq-trans*

**lemma** *ssufI1*[*intro*]:  $u \cdot v = w \implies u \neq \varepsilon \implies v <_s w$   
**by** *blast*

**lemma** *ssufI2*[*intro*]:  $u \leq_s v \implies \text{length } u < \text{length } v \implies u <_s v$   
**by** *blast*

**lemma** *ssufE*:  $u <_s v \implies (u \leq_s v \implies u \neq v \implies \text{thesis}) \implies \text{thesis}$   
**by** *auto*

**lemma** *ssufI3*[*intro*]:  $u \cdot v = w \implies u \leq_{np} w \implies v <_s w$   
**unfolding** *nonempty-prefix-def* **by** *blast*

**lemma** *ssufD[elim]*:  $u <_s v \implies u \leq_s v \wedge u \neq v$   
**by** *auto*

**lemmas** *ssufD1[elim]* = *suffix-order.strict-implies-order* **and**  
*ssufD2[elim]* = *suffix-order.less-imp-neq*

**definition** *suffix-comparable* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool (**infixl**  $\langle \bowtie_s \rangle$  50)  
**where** (*suffix-comparable*  $u\ v$ )  $\longleftrightarrow$  (*rev*  $u$ )  $\bowtie$  (*rev*  $v$ )

**lemma** *suf-compI1[intro]*:  $u \leq_s v \implies u \bowtie_s v$   
**by** (*simp add: pref-compI suffix-comparable-def suffix-to-prefix*)

**lemma** *suf-compI2[intro]*:  $v \leq_s u \implies u \bowtie_s v$   
**by** (*simp add: pref-compI suffix-comparable-def suffix-to-prefix*)

**definition** *nonempty-suffix* (**infixl**  $\langle \leq_{ns} \rangle$  60) **where** *nonempty-suffix-def[simp]*:  
 $u \leq_{ns} v \equiv u \neq \varepsilon \wedge u \leq_s v$

**notation** (*latex output*) *nonempty-suffix* ( $\langle \leq_{ns} \rangle$  50)

**lemma** *nsI[intro]*:  $u \neq \varepsilon \implies u \leq_s v \implies u \leq_{ns} v$   
**by** *auto*

**lemma** *nsI'[intro]*:  $u \neq \varepsilon \implies (\exists z. z \cdot u = v) \implies u \leq_{ns} v$   
**by** *blast*

**lemma** *nsD*:  $u \leq_{ns} v \implies u \leq_s v$   
**by** *simp*

**lemma** *nsD'*:  $u \leq_{ns} v \implies u \neq \varepsilon$   
**by** *simp*

## 2.1.4 Factor

A *sublist* of some word is in Combinatorics of Words called a factor. We adopt a common shorthand notation for the property of being a factor, strict factor and nonempty factor (the latter we also define).

**notation** *sublist* (**infixl**  $\langle \leq_f \rangle$  50)

**notation** (*latex output*) *sublist* ( $\langle \leq_f \rangle$ )

**lemmas** *fac-def* = *sublist-def*

**notation** *strict-sublist* (**infixl**  $\langle <_f \rangle$  50)

**notation** (*latex output*) *strict-sublist* ( $\langle <_f \rangle$ )

**lemmas** *strict-factor-def[simp]* = *strict-sublist-def*

**definition** *nonempty-factor* (**infixl**  $\langle \leq_{nf} \rangle$  60) **where** *nonempty-factor-def[simp]*:  
 $u \leq_{nf} v \equiv u \neq \varepsilon \wedge (\exists p\ s. p \cdot u \cdot s = v)$

**notation** (*latex output*) *nonempty-factor* ( $\langle \leq_{nf} \rangle$ )

**lemmas** *facI* = *sublist-appendI*

**lemma** *facI'*:  $a \cdot u \cdot b = w \implies u \leq_f w$   
**by** *auto*

**lemma** *facE[elim]*: **assumes**  $u \leq_f v$   
**obtains**  $p\ s$  **where**  $v = p \cdot u \cdot s$   
**using** *assms unfolding fac-def*  
**by** *blast*

**lemma** *facE'[elim]*: **assumes**  $u \leq_f v$   
**obtains**  $p\ s$  **where**  $p \cdot u \cdot s = v$   
**using** *assms unfolding fac-def*  
**by** *blast*

## 2.2 Various elementary lemmas

**lemmas** *drop-all-iff* = *drop-eq-Nil* — backward compatibility with Isabelle 2021

**lemma** *exE2*:  $\exists x\ y. P\ x\ y \implies (\bigwedge x\ y. P\ x\ y \implies thesis) \implies thesis$   
**by** *auto*

**lemmas** *concat-morph* = *concat-append*

**lemmas** *cancel* = *same-append-eq* **and**  
*cancel-right* = *append-same-eq*

**lemmas** *disjI* = *verit-and-neg(3)*

**lemma** *rev-in-conv*:  $rev\ u \in A \longleftrightarrow u \in rev\ 'A$   
**by** *force*

**lemmas** *map-rev-involution* = *list.map-comp[of rev rev, unfolded rev-involution'*  
*list.map-id]*

**lemma** *map-rev-lists-rev*:  $map\ rev\ ' (lists\ (rev\ 'A)) = lists\ A$   
**unfolding** *lists-image[of rev] image-comp*  
**by** (*simp add: rev-involution'*)

**lemma** *inj-on-map-lists*: **assumes** *inj-on f A*  
**shows** *inj-on (map f) (lists A)*

**proof**

**fix**  $xs\ ys$

**assume**  $xs \in lists\ A$  **and**  $ys \in lists\ A$  **and**  $map\ f\ xs = map\ f\ ys$

**have**  $x = y$  **if**  $x \in set\ xs$  **and**  $y \in set\ ys$  **and**  $f\ x = f\ y$  **for**  $x\ y$

**using** *in-listsD[OF <xs ∈ lists A>, rule-format, OF <x ∈ set xs>]*

*in-listsD[OF <ys ∈ lists A>, rule-format, OF <y ∈ set ys>]*

*<inj-on f A>[unfolded inj-on-def, rule-format, OF - - <f x = f y>]* **by** *blast*

**from** *list.inj-map-strong*[*OF this*  $\langle \text{map } f \text{ } xs = \text{map } f \text{ } ys \rangle$ ]  
**show**  $xs = ys$ .  
**qed**

**lemma** *bij-lists*:  $\text{bij-betw } f \text{ } X \text{ } Y \implies \text{bij-betw } (\text{map } f) \text{ } (\text{lists } X) \text{ } (\text{lists } Y)$   
**unfolding** *bij-betw-def* **using** *inj-on-map-lists lists-image* **by** *metis*

**lemma** *concat-sing'*:  $\text{concat } [r] = r$   
**by** *simp*

**lemma** *concat-sing*: **assumes**  $s = [a]$  **shows**  $\text{concat } s = a$   
**using** *concat-sing'* **unfolding**  $\langle s = [a] \rangle$ .

**lemma** *rev-sing*:  $\text{rev } [x] = [x]$   
**by** *simp*

**lemma** *hd-word*:  $a\#ws = [a] \cdot ws$   
**by** *simp*

**lemma** *pref-singE*: **assumes**  $p \leq p [a]$  **obtains**  $p = \varepsilon \mid p = [a]$   
**using** *assms unfolding prefix-Cons* **by** *fastforce*

**lemma** *map-hd*:  $\text{map } f \text{ } (a\#v) = [f \text{ } a] \cdot (\text{map } f \text{ } v)$   
**by** *simp*

**lemma** *hd-tl*:  $w \neq \varepsilon \implies [\text{hd } w] \cdot \text{tl } w = w$   
**by** *simp*

**lemma** *hd-tlE*: **assumes**  $w \neq \varepsilon$   
**obtains**  $a \text{ } w'$  **where**  $w = a\#w'$   
**using** *exE2[OF assms[unfolded neq-Nil-conv]]*.

**lemma** *hd-tl-lenE*: **assumes**  $0 < |w|$   
**obtains**  $a \text{ } w'$  **where**  $w = a\#w'$   
**using** *exE2[OF assms[unfolded length-greater-0-conv neq-Nil-conv]]*.

**lemma** *hd-tl-longE*: **assumes**  $\text{Suc } 0 < |w|$   
**obtains**  $a \text{ } w'$  **where**  $w = a\#w'$  **and**  $w' \neq \varepsilon$  **and**  $\text{hd } w = a$  **and**  $\text{tl } w = w'$   
**proof-**  
**obtain**  $a \text{ } w'$  **where**  $w = a\#w'$   
**using** *hd-tl-lenE[OF Suc-lessD[OF assms]]*.  
**hence**  $w' \neq \varepsilon$  **and**  $\text{hd } w = a$  **and**  $\text{tl } w = w'$  **using** *assms* **by** *auto*  
**from** *that[OF  $\langle w = a\#w' \rangle$  this]* **show** *thesis*.  
**qed**

**lemma** *hd-pref*:  $w \neq \varepsilon \implies [\text{hd } w] \leq p \text{ } w$   
**using** *hd-tl*  
**by** *blast*



**lemma** *add-nth*: **assumes**  $n < |w|$  **shows**  $(take\ n\ w) \cdot [w!n] \leq_p w$   
**using** *take-is-prefix*[of *Suc n w*, *unfolded take-Suc-conv-app-nth*[*OF assms*]].

**lemma** *hd-pref'*: **assumes**  $w \neq \varepsilon$  **shows**  $[w!0] \leq_p w$   
**using** *hd-pref*[*OF <w ≠ ε>*, *folded hd-conv-nth*[*OF <w ≠ ε>*, *symmetric*]].

**lemma** *sub-lists-mono*:  $A \subseteq B \implies x \in lists\ A \implies x \in lists\ B$   
**by** *auto*

**lemma** *lists-hd-in-set*[*simp*]:  $us \neq \varepsilon \implies us \in lists\ Q \implies hd\ us \in Q$   
**by** *fastforce*

**lemma** *lists-last-in-set*[*simp*]:  $us \neq \varepsilon \implies us \in lists\ Q \implies last\ us \in Q$   
**by** *fastforce*

**lemma** *replicate-in-lists*:  $replicate\ k\ z \in lists\ \{z\}$   
**by** (*induction k*) *auto*

**lemma** *tl-in-lists*: **assumes**  $us \in lists\ A$  **shows**  $tl\ us \in lists\ A$   
**using** *suffix-lists*[*OF suffix-tl assms*].

**lemmas** *lists-butlast = tl-in-lists*[*reversed*]

**lemma** *long-list-tl*: **assumes**  $1 < |us|$  **shows**  $tl\ us \neq \varepsilon$   
**proof**  
**assume**  $tl\ us = \varepsilon$   
**from** *assms*  
**have**  $us \neq \varepsilon$  **and**  $|us| = Suc\ |tl\ us|$  **and**  $|us| \neq Suc\ 0$   
**by** *auto*  
**thus** *False*  
**using**  $\langle tl\ us = \varepsilon \rangle$  **by** *simp*

**qed**

**lemma** *tl-set*:  $x \in set\ (tl\ a) \implies x \in set\ a$   
**using** *list.sel(2)* *list.set-sel(2)* **by** *metis*

**lemma** *drop-take-inv*:  $n \leq |u| \implies drop\ n\ (take\ n\ u \cdot w) = w$   
**by** *simp*

**lemma** *split-list-long*: **assumes**  $1 < |us|$  **and**  $u \in set\ us$   
**obtains**  $xs\ ys$  **where**  $us = xs \cdot [u] \cdot ys$  **and**  $xs \cdot ys \neq \varepsilon$   
**proof**–  
**obtain**  $xs\ ys$  **where**  $us = xs \cdot [u] \cdot ys$   
**using** *split-list-first*[*OF <u ∈ set us>*] **by** *auto*  
**hence**  $xs \cdot ys \neq \varepsilon$   
**using**  $\langle 1 < |us| \rangle$  **by** *auto*  
**from** *that*[*OF <us = xs · [u] · ys>*] *this*  
**show** *thesis*.

**qed**

**lemma** *flatten-lists*:  $G \subseteq \text{lists } B \implies xs \in \text{lists } G \implies \text{concat } xs \in \text{lists } B$   
**by** (*induct xs, simp-all add: subset-iff*)

**lemma** *concat-map-sing-ident*:  $\text{concat } (\text{map } (\lambda x. [x]) xs) = xs$   
**by** *auto*

**lemma** *hd-concat-tl*: **assumes**  $ws \neq \varepsilon$  **shows**  $\text{hd } ws \cdot \text{concat } (\text{tl } ws) = \text{concat } ws$   
**using** *concat.simps(2)[of hd ws tl ws, unfolded list.collapse[OF <ws ≠ ε>], symmetric]*.

**lemma** *concat-butlast-last*: **assumes**  $ws \neq \varepsilon$  **shows**  $\text{concat } (\text{butlast } ws) \cdot \text{last } ws = \text{concat } ws$   
**using** *concat-morph[of butlast ws [last ws], unfolded concat-sing' append-butlast-last-id[OF <ws ≠ ε>], symmetric]*.

**lemma** *spref-butlast-pref*: **assumes**  $u \leq_p v$  **and**  $u \neq v$  **shows**  $u \leq_p \text{butlast } v$   
**using** *butlast-append prefixE[OF <u ≤<sub>p</sub> v>] <u ≠ v> append-Nil2 prefixI* **by** *metis*

**lemma** *last-concat*:  $xs \neq \varepsilon \implies \text{last } xs \neq \varepsilon \implies \text{last } (\text{concat } xs) = \text{last } (\text{last } xs)$   
**using** *concat-butlast-last last-appendR* **by** *metis*

**lemma** *concat-last-suf*:  $ws \neq \varepsilon \implies \text{last } ws \leq_s \text{concat } ws$   
**using** *concat-butlast-last* **by** *blast*

**lemma** *concat-hd-pref*:  $ws \neq \varepsilon \implies \text{hd } ws \leq_p \text{concat } ws$   
**using** *hd-concat-tl* **by** *blast*

**lemma** *set-nemp-concat-nemp*: **assumes**  $ws \neq \varepsilon$  **and**  $\varepsilon \notin \text{set } ws$  **shows**  $\text{concat } ws \neq \varepsilon$   
**using** *<ε ∉ set ws> last-in-set[OF <ws ≠ ε>] concat-butlast-last[OF <ws ≠ ε>]* **by** *fastforce*

**lemmas** *takedown = append-take-drop-id*

**lemma** *suf-drop-conv*:  $u \leq_s w \iff \text{drop } (|w| - |u|) w = u$   
**using** *suffix-take append-take-drop-id same-append-eq suffix-drop* **by** *metis*

**lemma** *comm-rev-iff*:  $\text{rev } u \cdot \text{rev } v = \text{rev } v \cdot \text{rev } u \iff u \cdot v = v \cdot u$   
**unfolding** *rev-append[symmetric] rev-is-rev-conv eq-ac(1)[of u · v]* **by** *blast*

**lemma** *rev-induct2*:  
 $\llbracket P \rrbracket []$ ;  
 $\bigwedge x xs. P (xs \cdot [x]) \llbracket \rrbracket$ ;  
 $\bigwedge y ys. P [] (ys \cdot [y])$ ;  
 $\bigwedge x xs y ys. P xs ys \implies P (xs \cdot [x]) (ys \cdot [y]) \rrbracket$   
 $\implies P xs ys$

**proof** (*induct xs arbitrary: ys rule: rev-induct*)  
**case** *Nil*

```

then show ?case
  using rev-induct[of P ε]
  by presburger
next
  case (snoc x xs)
  hence P xs ys' for ys'
    by simp
  then show ?case
    by (simp add: rev-induct snoc.prem(2) snoc.prem(4))
qed

```

```

lemma fin-bin: finite {x,y}
  by simp

```

```

lemma rev-rev-image-eq [reversal-rule]: rev ` rev ` X = X
  by (simp add: image-comp)

```

```

lemma last-take-conv-nth: assumes n < length xs shows last (take (Suc n) xs)
= xs!n
  unfolding take-Suc-conv-app-nth[OF assms] by simp

```

```

lemma inj-map-inv: inj-on f A  $\implies$  u  $\in$  lists A  $\implies$  u = map (the-inv-into A f)
(map f u)
  by (induct u, simp, simp add: the-inv-into-f-f)

```

```

lemma last-sing[simp]: last [c] = c
  by simp

```

```

lemma hd-hdE: (u = ε  $\implies$  thesis)  $\implies$  (u = [hd u]  $\implies$  thesis)  $\implies$  (u = [hd u,
hd (tl u)]  $\cdot$  tl (tl u)  $\implies$  thesis)  $\implies$  thesis
  using Cons-eq-appendI[of hd u [hd (tl u)] - tl u tl (tl u)] hd-tl[of u] hd-tl[of tl u]
hd-word
  by fastforce

```

```

lemma same-sing-pref: u  $\cdot$  [a]  $\leq_p$  v  $\implies$  u  $\cdot$  [b]  $\leq_p$  v  $\implies$  a = b
  using prefix-same-cases by fastforce

```

```

lemma compow-Suc: (f $\sim$ (Suc k)) w = f ((f $\sim$ k) w)
  by simp

```

```

lemma compow-Suc': (f $\sim$ (Suc k)) w = (f $\sim$ k) (f w)
  by (simp add: funpow-swap1)

```

### 2.2.1 General facts

```

lemma two-elem-sub: x  $\in$  A  $\implies$  y  $\in$  A  $\implies$  {x,y}  $\subseteq$  A
  by simp

```

```

thm fun.inj-map[THEN injD]

```

**lemma inj-comp:** **assumes**  $inj\ f :: 'a\ list \Rightarrow 'b\ list$  **shows**  $(g\ w = h\ w \longleftrightarrow (f \circ g)\ w = (f \circ h)\ w)$   
**by**  $(rule\ iffI, simp)$   $(use\ injD[OF\ assms])$  **in** *fastforce*

**lemma inj-comp-eq:** **assumes**  $inj\ f :: 'a\ list \Rightarrow 'b\ list$  **shows**  $(g = h \longleftrightarrow f \circ g = f \circ h)$   
**by**  $(rule, fast)$   $(use\ fun.inj-map[OF\ assms, unfolded\ inj-on-def])$  **in** *fast*

**lemma two-elem-cases[elim!]:** **assumes**  $u \in \{x, y\}$  **obtains**  $(fst)\ u = x \mid (snd)\ u = y$   
**using** *assms* **by** *blast*

**lemma two-elem-cases2[elim]:** **assumes**  $u \in \{x, y\}\ v \in \{x, y\}\ u \neq v$   
**shows**  $(u = x \Longrightarrow v = y \Longrightarrow thesis) \Longrightarrow (u = y \Longrightarrow v = x \Longrightarrow thesis) \Longrightarrow thesis$   
**using** *assms* **by** *blast*

**lemma two-elemP:**  $u \in \{x, y\} \Longrightarrow P\ x \Longrightarrow P\ y \Longrightarrow P\ u$   
**by** *blast*

**lemma pairs-extensional:**  $(\bigwedge\ r\ s.\ P\ r\ s \longleftrightarrow (\exists\ a\ b.\ Q\ a\ b \wedge r = fa\ a \wedge s = fb\ b))$   
 $\Longrightarrow \{(r, s).\ P\ r\ s\} = \{(fa\ a, fb\ b) \mid a\ b.\ Q\ a\ b\}$   
**by** *auto*

**lemma pairs-extensional':**  $(\bigwedge\ r\ s.\ P\ r\ s \longleftrightarrow (\exists\ t.\ Q\ t \wedge r = fa\ t \wedge s = fb\ t)) \Longrightarrow$   
 $\{(r, s).\ P\ r\ s\} = \{(fa\ t, fb\ t) \mid t.\ Q\ t\}$   
**by** *auto*

**lemma doubleton-subset-cases:** **assumes**  $A \subseteq \{x, y\}$   
**obtains**  $A = \{\}\ \mid A = \{x\}\ \mid A = \{y\}\ \mid A = \{x, y\}$   
**using** *assms* **by** *blast*

## 2.2.2 Map injective function

**lemma map-pref-conv [reversal-rule]:** **assumes**  $inj\ f$  **shows**  $map\ f\ u \leq_p\ map\ f\ v$   
 $\longleftrightarrow u \leq_p\ v$   
**using** *map-mono-prefix[of\ map\ f\ u\ map\ f\ v\ inv\ f]* *map-mono-prefix*  
**unfolding** *map-map\ inv-o-cancel[OF\ <inj\ f>]* *list.map-id..*

**lemma map-suf-conv [reversal-rule]:** **assumes**  $inj\ f$  **shows**  $map\ f\ u \leq_s\ map\ f\ v$   
 $\longleftrightarrow u \leq_s\ v$   
**using** *map-mono-suffix[of\ map\ f\ u\ map\ f\ v\ inv\ f]* *map-mono-suffix*  
**unfolding** *map-map\ inv-o-cancel[OF\ <inj\ f>]* *list.map-id..*

**lemma map-fac-conv [reversal-rule]:** **assumes**  $inj\ f$  **shows**  $map\ f\ u \leq_f\ map\ f\ v$   
 $\longleftrightarrow u \leq_f\ v$   
**using** *map-mono-sublist[of\ map\ f\ u\ map\ f\ v\ inv\ f]* *map-mono-sublist*  
**unfolding** *map-map\ inv-o-cancel[OF\ <inj\ f>]* *list.map-id..*

**lemma** *map-lcp-conv*: **assumes** *inj f* **shows**  $(\text{map } f \text{ } xs) \wedge_p (\text{map } f \text{ } ys) = \text{map } f (xs \wedge_p ys)$   
**proof** (*induct xs ys rule: list-induct2'*)  
  **case** ( $\lambda x \text{ } xs \text{ } y \text{ } ys$ )  
  **then show** *?case*  
  **proof** (*cases x = y*)  
    **assume**  $x = y$   
    **thus** *?case*  
    **using** *4.hyps* **by** *simp*  
  **next**  
  **assume**  $x \neq y$   
  **hence**  $f \text{ } x \neq f \text{ } y$   
  **using** *inj-eq[OF <inj f>]* **by** *simp*  
  **thus** *?case* **using**  $\langle x \neq y \rangle$  **by** *simp*  
**qed**  
**qed** *simp-all*

### 2.2.3 Orderings on lists: prefix, suffix, factor

**lemmas** *self-pref* = *prefix-order.refl* **and**  
  *pref-antisym* = *prefix-order.antisym* **and**  
  *pref-trans* = *prefix-order.trans* **and**  
  *spref-trans* = *prefix-order.less-trans* **and**  
  *suf-trans* = *suffix-order.trans* **and**  
  *fac-trans[intro]* = *sublist-order.order.trans*

### 2.2.4 On the empty word

**lemma** *nemp-elem-setI[intro]*:  $u \in S \implies u \neq \varepsilon \implies u \in S - \{\varepsilon\}$   
**by** *simp*

**lemma** *emp-concat-emp*:  $us \in \text{lists } (S - \{\varepsilon\}) \implies \text{concat } us = \varepsilon \implies us = \varepsilon$   
**using** *DiffD2* **by** *auto*

**lemma** *take-nemp*:  $w \neq \varepsilon \implies 0 < n \implies \text{take } n \text{ } w \neq \varepsilon$   
**by** *simp*

**lemma** *pref-nemp [intro]*:  $u \neq \varepsilon \implies u \cdot v \neq \varepsilon$   
**unfolding** *append-is-Nil-conv* **by** *simp*

**lemma** *suf-nemp [intro]*:  $v \neq \varepsilon \implies u \cdot v \neq \varepsilon$   
**unfolding** *append-is-Nil-conv* **by** *simp*

**lemma** *pref-of-emp*:  $u \cdot v = \varepsilon \implies u = \varepsilon$   
**using** *append-is-Nil-conv* **by** *simp*

**lemma** *suf-of-emp*:  $u \cdot v = \varepsilon \implies v = \varepsilon$   
**using** *append-is-Nil-conv* **by** *simp*

**lemma** *nemp-comm*:  $(u \neq \varepsilon \implies v \neq \varepsilon \implies u \neq v \implies u \cdot v = v \cdot u) \implies u \cdot v = v \cdot u$

**by** *force*

**lemma** *non-triv-comm* [*intro*]:  $(u \neq \varepsilon \implies v \neq \varepsilon \implies u \neq v \implies u \cdot v = v \cdot u) \implies u \cdot v = v \cdot u$

**by** *force*

**lemma** *split-list'*:  $a \in \text{set } ws \implies \exists p s. ws = p \cdot [a] \cdot s$

**using** *split-list* **by** *fastforce*

**lemma** *split-listE*: **assumes**  $a \in \text{set } w$

**obtains**  $p s$  **where**  $w = p \cdot [a] \cdot s$

**using** *exE2*[*OF split-list'*[*OF assms*]].

## 2.2.5 Counting letters

**declare** *count-list-rev* [*reversal-rule*]

**lemma** *count-list-map-conv* [*reversal-rule*]:

**assumes** *inj f* **shows** *count-list (map f ws) (f a) = count-list ws a*

**by** (*induction ws*) (*simp-all add: inj-eq*[*OF assms*])

## 2.2.6 Set inspection method

This section defines a simple method that splits a goal into subgoals by enumerating all possibilities for  $x$  in a premise such as  $x \in \{a, b, c\}$ . See the demonstrations below.

**method** *set-inspection* = (  
   (*unfold insert-iff*),  
   (*elim disjE emptyE*),  
   (*simp-all only: singleton-iff refl True-implies-equals*)  
 )

**lemma**  $u \in \{x, y\} \implies P u$

**apply**(*set-inspection*)

**oops**

**lemma**  $\bigwedge u. u \in \{x, y\} \implies u = x \vee u = y$

**by**(*set-inspection, simp-all*)

## 2.3 Length and its properties

**lemmas** *lenarg* = *arg-cong*[*of - - length*] **and**

*lenmorph* = *length-append*

**lemma** *lenarg-not*:  $|u| \neq |v| \implies u \neq v$

**using** *size-neq-size-imp-neq*.

**lemma** *len-less-neq*:  $|u| < |v| \implies u \neq v$   
**by** *blast*

**lemmas** *len-nemp-conv* = *length-greater-0-conv*

**lemma** *npos-len*:  $|u| \leq 0 \implies u = \varepsilon$   
**by** *simp*

**lemma** *nemp-pos-len*:  $w \neq \varepsilon \implies 0 < |w|$   
**by** *blast*

**lemma** *nemp-le-len*:  $r \neq \varepsilon \implies 1 \leq |r|$   
**by** (*simp add: leI*)

**lemma** *swap-len*:  $|u \cdot v| = |v \cdot u|$   
**by** *simp*

**lemma** *len-after-drop*:  $p + q \leq |w| \implies q \leq |\text{drop } p \ w|$   
**by** *simp*

**lemma** *short-take-append*:  $n \leq |u| \implies \text{take } n \ (u \cdot v) = \text{take } n \ u$   
**by** *simp*

**lemma** *sing-word*:  $|us| = 1 \implies [\text{hd } us] = us$   
**by** (*cases us*) *simp+*

**lemma** *sing-word-concat*: **assumes**  $|us| = 1$  **shows**  $[\text{concat } us] = us$   
**unfolding** *concat-sing*[*OF sing-word*[*OF*  $\langle |us| = 1 \rangle$ , *symmetric*]] **using** *sing-word*[*OF*  
 $\langle |us| = 1 \rangle$ ].

**lemma** *len-one-concat-in*:  $ws \in \text{lists } A \implies |ws| = 1 \implies \text{concat } ws \in A$   
**using** *Cons-in-lists-iff sing-word-concat* **by** *metis*

**lemma** *concat-nemp*:  $\text{concat } us \neq \varepsilon \implies us \neq \varepsilon$   
**using** *concat.simps(1)* **by** *blast*

**lemma** *sing-len*:  $|[a]| = 1$   
**by** *simp*

**lemmas** *pref-len* = *prefix-length-le* **and**  
*suf-len* = *suffix-length-le*

**lemmas** *spref-len* = *prefix-length-less* **and**  
*ssuf-len* = *suffix-length-less*

**lemma** *pref-len'*:  $|u| \leq |u \cdot z|$   
**by** *auto*

**lemma** *suf-len'*:  $|u| \leq |z \cdot u|$   
**by** *auto*

**lemma** *fac-len*:  $u \leq f v \implies |u| \leq |v|$   
**by** *auto*

**lemma** *fac-len'*:  $|w| \leq |u \cdot w \cdot v|$   
**by** *simp*

**lemma** *fac-len-eq*:  $u \leq f v \implies |u| = |v| \implies u = v$   
**unfolding** *fac-def* **using** *lenmorph npos-len* **by** *fastforce*

**thm** *length-take*

**lemma** *len-take1*:  $|take\ p\ w| \leq p$   
**by** *simp*

**lemma** *len-take2*:  $|take\ p\ w| \leq |w|$   
**by** *simp*

**lemma** *drop-len*:  $|u \cdot w| \leq |u \cdot v \cdot w|$   
**by** *simp*

**lemma** *drop-pref*:  $drop\ |u|\ (u \cdot w) = w$   
**by** *simp*

**lemma** *take-len*:  $p \leq |w| \implies |take\ p\ w| = p$   
**using** *min-absorb2*[*of p |w|*], *folded length-take*[*of p w*]].

**lemma** *conj-len*:  $p \cdot x = x \cdot s \implies |p| = |s|$   
**using** *lenmorph*[*of p x*] *lenmorph*[*of x s*] *add.commute* *add-left-imp-eq*  
**by** *auto*

**lemma** *take-nemp-len*:  $u \neq \varepsilon \implies r \neq \varepsilon \implies take\ |r|\ u \neq \varepsilon$   
**by** *simp*

**lemma** *nemp-len*:  $u \neq \varepsilon \implies |u| \neq 0$   
**by** *simp*

**lemma** *emp-len*:  $w = \varepsilon \implies |w| = 0$   
**by** *simp*

**lemma** *take-self*:  $take\ |w|\ w = w$   
**using** *take-all*[*of w |w|*], *OF order.refl*].

**lemma** *len-le-concat*:  $\varepsilon \notin\ set\ ws \implies |ws| \leq |concat\ ws|$   
**proof** (*induct ws*)  
**case** (*Cons a ws*)  
**hence**  $1 \leq |a|$



```

    using list.set-intros(1)[of a ws] nemp-le-len[of a] by blast
  then show ?case
    unfolding concat.simps(2) unfolding lenmorph hd-word[of a ws] sing-len
    using Cons.hyps Cons.premis by simp
qed simp

```

```

lemma eq-len-iff: assumes eq:  $x \cdot y = u \cdot v$  shows  $|x| \leq |u| \longleftrightarrow |v| \leq |y|$ 
  using lenarg[OF eq] unfolding lenmorph by auto

```

```

lemma eq-len-iff-less: assumes eq:  $x \cdot y = u \cdot v$  shows  $|x| < |u| \longleftrightarrow |v| < |y|$ 
  using lenarg[OF eq] unfolding lenmorph by auto

```

```

lemma Suc-len-nemp:  $|w| = \text{Suc } n \implies w \neq \varepsilon$ 
  by force

```

```

lemma same-suffix-nil: assumes  $z \cdot u \leq p \ u$  shows  $z = \varepsilon$ 
  using prefix-length-le[OF assms] unfolding lenmorph by simp

```

```

lemma count-list-gr-0-iff:  $0 < \text{count-list } u \ a \longleftrightarrow a \in \text{set } u$ 
  by (intro iffI, use count-notin[folded not-gr0, of a u] in blast) (induction u, auto)

```

```

lemma mid-fac-ex: assumes  $2 \leq |w|$ 
  shows  $w = [\text{hd } w] \cdot (\text{butlast } (\text{tl } w)) \cdot [\text{last } w]$ 
  using long-list-tl[OF <math>2 \leq |w|> [folded One-less-Two-le-iff]] append-butlast-last-id[of
  tl w] len-nemp-conv[of w]
  by (simp add: last-tl tl-Nil)

```

## 2.4 List inspection method

In this section we define a proof method, named `list_inspection`, which splits the goal into subgoals which enumerate possibilities on lists with fixed length and given alphabet. More specifically, it looks for a premise of the form such as  $|w| = 2 \wedge w \in \text{lists } \{x, y, z\}$  or  $|w| \leq 2 \wedge w \in \text{lists } \{x, y, z\}$  and substitutes the goal by the goals listing all possibilities for the word  $w$ , see demonstrations after the method definition.

```

context
begin

```

First, we define an elementary lemma used for unfolding the premise. Since it is very specific, we keep it private.

```

private lemma hd-tl-len-list-iff:  $|w| = \text{Suc } n \wedge w \in \text{lists } A \longleftrightarrow \text{hd } w \in A \wedge w = \text{hd } w \# \text{tl } w \wedge |\text{tl } w| = n \wedge \text{tl } w \in \text{lists } A$  (is ?L = ?R)

```

```

proof

```

```

  show ?L  $\implies$  ?R

```

```

  proof (elim conjE)

```

```

    assume  $|w| = \text{Suc } n$  and  $w \in \text{lists } A$ 

```

```

    note Suc-len-nemp[OF <math>|w| = \text{Suc } n<math>]

```

```

from lists-hd-in-set[OF  $\langle w \neq \varepsilon \rangle \langle w \in \text{lists } A \rangle$ ] list.collapse[OF  $\langle w \neq \varepsilon \rangle$ ]
tl-in-lists[OF  $\langle w \in \text{lists } A \rangle$ ]
show  $hd\ w \in A \wedge w = hd\ w \# tl\ w \wedge |tl\ w| = n \wedge tl\ w \in \text{lists } A$ 
using  $\langle |w| = Suc\ n \rangle$  by simp
qed
next
show  $?R \implies ?L$ 
using Cons-in-lists-iff[of  $hd\ w\ tl\ w$ ] length-Cons[of  $hd\ w\ tl\ w$ ] by presburger
qed

```

We define a list of lemmas used for the main unfolding step.

```

private lemmas len-list-word-dec =
  numeral-nat hd-tl-len-list-iff
  insert-iff empty-iff simp-thms length-0-conv

```

The method itself accepts an argument called ‘add’, which is supplied to the method simp\_all to solve some simple cases, and thus lower the number of produced goals on the fly.

```

method list-inspection = (
  ((match premises in len[thin]:  $|w| \leq k$  and list[thin]:  $w \in \text{lists } A$  for  $w\ k\ A$ 
 $\implies$ 
     $\langle insert\ conjI[OF\ len\ list] \rangle + \rangle$ ?,
    (unfold numeral-nat le-Suc-eq le-0-eq), — unfold numeral and e.g.  $k \leq (2::'a)$ 
    (unfold conj-ac(1)[of  $w \in \text{lists } A\ |w| \leq k$  for  $w\ A\ k$ ]
      conj-disj-distribR[where  $?R = w \in \text{lists } A$  for  $w\ A$ ])?,
    ((match premises in len[thin]:  $|w| = k$  and list[thin]:  $w \in \text{lists } A$  for  $w\ k\ A$ 
 $\implies$ 
       $\langle insert\ conjI[OF\ len\ list] \rangle + \rangle$ ?,
      — transform into the conjunction such as  $|w| = 2 \wedge w \in \text{lists } \{x, y, z\}$ 
      (unfold conj-ac(1)[of  $w \in \text{lists } A\ |w| = k$  for  $w\ A\ k$ ] len-list-word-dec), — unfold
w
      (elim disjE conjE), — split into all cases
      (simp-all only: singleton-iff lists.Nil list.sel refl True-implies-equals)?, — replace
w everywhere
      (simp-all only: empty-iff lists.Nil bool-simps)? — solve simple cases
    )
  )

```

## List inspection demonstrations

The required premise in the form of conjunction. First, inequality bound on the length, second, equality bound.

```

lemma  $|w| = 2 \wedge w \in \text{lists } \{x, y, z\} \implies P\ w$ 
apply(list-inspection)
oops

```

The required premise as 2 separate assumptions.

```

lemma  $|w| \leq 2 \implies w \in \text{lists } \{x, y, z\} \implies P\ w$ 
apply(list-inspection)

```

**oops**

**lemma**  $w \leq_p w \implies |w| \leq 2 \implies w \in \text{lists } \{a,b\} \implies \text{hd } w = a \implies w \neq \varepsilon \implies w = [a, b] \vee w = [a, a] \vee w = [a]$   
**by** *list-inspection*

**lemma**  $w \leq_p w \implies |w| = 2 \implies w \in \text{lists } \{a,b\} \implies \text{hd } w = a \implies w = [a, b] \vee w = [a, a]$   
**by** *list-inspection*

**lemma**  $w \leq_p w \implies |w| = 2 \wedge w \in \text{lists } \{a,b\} \implies \text{hd } w = a \implies w = [a, b] \vee w = [a, a]$   
**by** *list-inspection*

**lemma**  $w \leq_p w \implies w \in \text{lists } \{a,b\} \wedge |w| = 2 \implies \text{hd } w = a \implies w = [a, b] \vee w = [a, a]$   
**by** *list-inspection*

**end**

## 2.5 Prefix and prefix comparability properties

**lemmas** *pref-emp = prefix-bot.extremum-uniqueI*

**lemma** *triv-pref*:  $r \leq_p r \cdot s$   
**using** *prefI[OF refl]*.

**lemma** *triv-spref*:  $s \neq \varepsilon \implies r <_p r \cdot s$   
**by** *simp*

**lemma** *pref-cancel*:  $z \cdot u \leq_p z \cdot v \implies u \leq_p v$   
**by** *simp*

**lemma** *pref-cancel'*:  $u \leq_p v \implies z \cdot u \leq_p z \cdot v$   
**by** *simp*

**lemma** *spref-cancel*:  $z \cdot u <_p z \cdot v \implies u <_p v$   
**by** *simp*

**lemma** *spref-cancel'*:  $u <_p v \implies z \cdot u <_p z \cdot v$   
**by** *simp*

**lemmas** *pref-cancel-conv = same-prefix-prefix* **and**  
*suf-cancel-conv = same-suffix-suffix* — provided by *Sublist.thy*

**lemma** *pref-cancel-hd-conv*:  $a \# u \leq_p a \# v \iff u \leq_p v$   
**by** *simp*

**lemma** *sprel-cancel-conv*:  $z \cdot x <_p z \cdot y \longleftrightarrow x <_p y$   
**by** *auto*

**lemma** *sprel-snoc-iff* [*simp*]:  $u <_p v \cdot [a] \longleftrightarrow u \leq_p v$   
**by** (*auto simp only: strict-prefix-def prefl-snoc*) *simp*

**lemma** *sprel-two-lettersE*: **assumes**  $p <_p [a, b]$  **obtains**  $p = \varepsilon \mid p = [a]$   
**using** *assms prefl-singE* [*of p a thesis*]  
**unfolding** *hd-word* [*of a [b]*] *sprel-snoc-iff* **by** *fastforce*

**lemmas** *prel-ext* [*intro*] = *prel-prefix* — provided by *Sublist.thy*

**lemmas** *prel-extD* = *append-preflD* **and**  
*suf-extD* = *suffix-appendD*

**lemma** *sprel-extD*:  $x \cdot y <_p z \implies x <_p z$   
**using** *prel-order.le-less-trans* [*OF triv-prefl*].

**lemma** *sprel-ext*:  $r <_p u \implies r <_p u \cdot v$   
**by** *force*

**lemma** *prel-ext-nemp*:  $r \leq_p u \implies v \neq \varepsilon \implies r <_p u \cdot v$   
**by** *auto*

**lemma** *prel-take*:  $p \leq_p w \implies \text{take } |p| \ w = p$   
**unfolding** *prel-def* **by** *force*

**lemma** *prel-take-conv*:  $\text{take } (|r|) \ w = r \longleftrightarrow r \leq_p w$   
**using** *prel-take* [*of r w*] *take-is-prefl* [*of |r| w*] **by** *argo*

**lemma** *le-suf-drop*: **assumes**  $i \leq j$  **shows**  $\text{drop } j \ w \leq_s \text{drop } i \ w$   
**using** *suffl-drop* [*of j - i drop i w, unfolded drop-drop le-add-diff-inverse2*] [*OF <i <= j>*]].

**lemma** *sprel-take*:  $p <_p w \implies \text{take } |p| \ w = p$   
**by** (*elim sprefl-exE*) *force*

**lemma** *prel-same-len*:  $u \leq_p v \implies |u| = |v| \implies u = v$   
**by** (*fastforce elim: preflE*)

**lemma** *prel-same-len'*:  $u \cdot z \leq_p v \cdot w \implies |u| = |v| \implies u = v$   
**by** (*fastforce elim: preflE*)

**lemma** *prel-comp-eq*:  $u \bowtie v \implies |u| = |v| \implies u = v$   
**using** *prel-same-len* **by** *fastforce*

**lemma** *ruler-eq-len*:  $u \leq_p w \implies v \leq_p w \implies |u| = |v| \implies u = v$   
**by** (*fastforce simp add: prefl-def*)

**lemma** *pref-prod-eq*:  $u \leq_p v \cdot z \implies |u| = |v| \implies u = v$   
**by** (*fastforce simp add: prefix-def*)

**lemmas** *pref-comm-eq* = *pref-same-len*[*OF - swap-len*] **and**  
*pref-comm-eq'* = *pref-prod-eq*[*OF - swap-len, unfolded rassoc*]

**lemma** *pref-comm-eq-conv*:  $u \cdot v \leq_p v \cdot u \longleftrightarrow u \cdot v = v \cdot u$   
**using** *pref-comm-eq self-pref* **by** *metis*

**lemma** *add-nth-pref*: **assumes**  $u <_p w$  **shows**  $u \cdot [w!|u|] \leq_p w$   
**using** *add-nth*[*OF prefix-length-less*[*OF <u <\_p w*], *unfolded spref-take*[*OF <u <\_p w*]]].

**lemma** *index-pref*:  $|u| \leq |w| \implies (\forall i < |u|. u!i = w!i) \implies u \leq_p w$   
**using** *trans*[*OF sym*[*OF take-all*[*OF order-refl*]]] *nth-take-lemma*[*OF order-refl*],  
*of u w*]  
*take-is-prefix*[*of |u| w*] **by** *auto*

**lemma** *pref-index*: **assumes**  $u \leq_p w$   $i < |u|$  **shows**  $u!i = w!i$   
**using** *nth-take*[*OF <i < |u|*], *of w, unfolded pref-take*[*OF <u <\_p w*]].

**lemma** *pref-drop*:  $u \leq_p v \implies \text{drop } p \ u \leq_p \text{drop } p \ v$   
**using** *prefI*[*OF sym*[*OF drop-append*]] **unfolding** *prefix-def* **by** *blast*

### 2.5.1 Prefix comparability

**lemma** *pref-comp-sym*[*sym*]:  $u \bowtie v \implies v \bowtie u$   
**by** *blast*

**lemma** *not-pref-comp-sym*[*sym*]:  $\neg u \bowtie v \implies \neg v \bowtie u$   
**by** *blast*

**lemma** *pref-comp-sym-iff*:  $u \bowtie v \longleftrightarrow v \bowtie u$   
**by** *blast*

**lemmas** *ruler-le* = *prefix-length-prefix* **and**  
*ruler* = *prefix-same-cases* **and**  
*ruler'* = *prefix-same-cases*[*folded prefix-comparable-def*]

**lemma** *ruler-eq*:  $u \cdot x = v \cdot y \implies u \leq_p v \vee v \leq_p u$   
**by** (*metis prefI prefix-same-cases*)

**lemma** *ruler-eq'*:  $u \cdot x = v \cdot y \implies u \leq_p v \vee v <_p u$   
**using** *ruler-eq* *prefix-order.le-less* **by** *blast*

**lemmas** *ruler-eqE* = *ruler-eq*[*THEN disjE*] **and**  
*ruler-eqE'* = *ruler-eq'*[*THEN disjE*] **and**  
*ruler-pref* = *ruler*[*OF append-prefixD triv-pref*] **and**

$ruler'[THEN\ pref\ comp\ eq]$   
**lemmas**  $ruler\ prefE = ruler\ pref[THEN\ disjE]$

**lemma**  $ruler\ comp: u \leq_p v \implies u' \leq_p v' \implies v \bowtie v' \implies u \bowtie u'$   
**unfolding**  $prefix\ comparable\ def$   
**using**  $disjE[OF - ruler[OF\ pref\ trans] ruler[OF - pref\ trans]]$ .

**lemma**  $ruler\ pref': w \leq_p v \cdot z \implies w \leq_p v \vee v \leq_p w$   
**using**  $ruler\ by\ blast$

**lemma**  $ruler\ pref'': w \leq_p v \cdot z \implies w \bowtie v$   
**unfolding**  $prefix\ comparable\ def$  **using**  $ruler\ pref'$ .

**lemma**  $pref\ cancel\ right: assumes\ u \cdot z \leq_p v \cdot z\ shows\ u \leq_p v$   
**proof-**  
**have**  $|u| \leq |v|$   
**using**  $prefix\ length\ le[OF\ assms]\ by\ force$   
**from**  $ruler\ le[of\ u\ v \cdot z\ v, OF\ pref\ extD[OF\ assms] triv\ pref\ this]$   
**show**  $u \leq_p v$ .  
**qed**

**lemma**  $pref\ prod\ pref\ short: u \leq_p z \cdot w \implies v \leq_p w \implies |u| \leq |z \cdot v| \implies u \leq_p z \cdot v$   
**using**  $ruler\ le[OF - pref\ cancel']$ .

**lemma**  $pref\ prod\ pref: u \leq_p z \cdot w \implies u \leq_p w \implies u \leq_p z \cdot u$   
**using**  $pref\ prod\ pref\ short[OF - - suf\ len']$ .

**lemma**  $pref\ prod\ pref': assumes\ u \leq_p z \cdot u \cdot w\ shows\ u \leq_p z \cdot u$   
**using**  $pref\ prod\ pref[of\ u\ z\ u \cdot w, OF\ \langle u \leq_p z \cdot u \cdot w \rangle triv\ pref]$ .

**lemma**  $pref\ prod\ long: u \leq_p v \cdot w \implies |v| \leq |u| \implies v \leq_p u$   
**using**  $ruler\ le[OF\ triv\ pref]$ .

**lemmas**  $pref\ prod\ long\ ext = pref\ prod\ long[OF\ append\ prefixD]$

**lemma**  $pref\ prod\ long\ less: assumes\ u \leq_p v \cdot w\ and\ |v| < |u|\ shows\ v <_p u$   
**using**  $sprefI2[OF\ pref\ prod\ long[OF\ \langle u \leq_p v \cdot w \rangle less\ imp\ le[OF\ \langle |v| < |u| \rangle]]\ \langle |v| < |u| \rangle]$ .

**lemma**  $pref\ keeps\ per\ root: u \leq_p r \cdot u \implies v \leq_p u \implies v \leq_p r \cdot v$   
**using**  $pref\ prod\ pref[of\ v\ r\ u] pref\ trans[of\ v\ u\ r \cdot u]\ by\ blast$

**lemma**  $pref\ keeps\ per\ root': u <_p r \cdot u \implies v \leq_p u \implies v <_p r \cdot v$   
**using**  $pref\ keeps\ per\ root\ by\ auto$

**lemma**  $per\ root\ pref\ sing: w <_p r \cdot w \implies u \cdot [a] \leq_p w \implies u \cdot [a] \leq_p r \cdot u$   
**using**  $append\ assoc\ pref\ keeps\ per\ root'\ spref\ snoc\ iff\ by\ metis$

**lemma** *pref-prolong*:  $w \leq_p z \cdot r \implies r \leq_p s \implies w \leq_p z \cdot s$   
**using** *pref-trans*[*OF - pref-cancel*].

**lemma** *spref--pref-prolong*:  $w <_p z \cdot r \implies r \leq_p s \implies w <_p z \cdot s$   
**using** *prefix-order.less-le-trans*[*OF - pref-cancel*].

**lemma** *pref-spref-prolong*:  $w \leq_p z \cdot r \implies r <_p s \implies w <_p z \cdot s$   
**using** *prefix-order.le-less-trans*[*OF - spref-cancel*].

**lemma** *spref-spref-prolong*:  $w <_p z \cdot r \implies r <_p s \implies w <_p z \cdot s$   
**using** *prefix-order.less-trans*[*OF - spref-cancel*].

**lemmas** *pref-shorten* = *pref-trans*[*OF pref-cancel*]

**lemma** *pref-prolong'*:  $u \leq_p w \cdot z \implies v \cdot u \leq_p z \implies u \leq_p w \cdot v \cdot u$   
**using** *ruler-le*[*OF - pref-cancel' le-trans*[*OF suf-len' suf-len*]].

**lemma** *pref-prolong-per-root*:  $u \leq_p r \cdot s \implies s \leq_p r \cdot s \implies u \leq_p r \cdot u$   
**using** *pref-prolong*[*of u r s r \cdot s, THEN pref-prod-pref*].

**thm** *pref-compE*

**lemma** *pref-prolong-comp*:  $u \leq_p w \cdot z \implies v \cdot u \bowtie z \implies u \leq_p w \cdot v \cdot u$   
**using** *pref-prolong' pref-prolong* **by** (*elim pref-compE*)

**lemma** *pref-prod-le*[*intro*]:  $u \leq_p v \cdot w \implies |u| \leq |v| \implies u \leq_p v$   
**using** *ruler-le*[*OF - triv-pref*].

**lemma** *prod-pref-prod-le*:  $u \cdot v \leq_p x \cdot y \implies |u| \leq |x| \implies u \leq_p x$   
**using** *pref-prod-le*[*OF append-prefixD*].

**lemma** *pref-prod-less*:  $u \leq_p v \cdot w \implies |u| < |v| \implies u <_p v$   
**using** *pref-prod-le*[*OF - less-imp-le, THEN sprefI2*].

**lemma** *eq-le-pref*[*elim*]:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies x \leq_p u$   
**using** *pref-prod-le*[*OF prefI*].

**lemma** *eq-less-pref*:  $x \cdot y = u \cdot v \implies |x| < |u| \implies x <_p u$   
**using** *pref-prod-less*[*OF prefI*].

**lemma** *eq-less-suf*: **assumes**  $x \cdot y = u \cdot v$  **shows**  $|x| < |u| \implies v <_s y$   
**using** *eq-less-pref*[*reversed, folded strict-suffix-to-prefix, OF*  $\langle x \cdot y = u \cdot v \rangle$  [*symmetric*]]  
**unfolding** *eq-len-iff-less*[*OF*  $\langle x \cdot y = u \cdot v \rangle$ ].

**lemma** *pref-prod-cancel*: **assumes**  $u \leq_p p \cdot w \cdot q$  **and**  $|p| \leq |u|$  **and**  $|u| \leq |p \cdot w|$   
**obtains**  $r$  **where**  $p \cdot r = u$  **and**  $r \leq_p w$

**proof**–  
**obtain**  $r$  **where** [*symmetric*]:  $u = p \cdot r$  **using** *pref-prod-long*[*OF*  $\langle u \leq_p p \cdot w \cdot q \rangle$   
 $\langle |p| \leq |u| \rangle$ ].  
**moreover** **have**  $r \leq_p w$

**using** *pref-prod-le*[*OF*  $\langle u \leq_p p \cdot w \cdot q \rangle$  [*unfolded lassoc*]  $\langle |u| \leq |p \cdot w| \rangle$ ]  
**unfolding**  $\langle p \cdot r = u \rangle$  [*symmetric*] **by** *simp*  
**ultimately show** *thesis..*  
**qed**

**lemma** *pref-prod-cancel'*: **assumes**  $u \leq_p p \cdot w \cdot q$  **and**  $|p| < |u|$  **and**  $|u| \leq |p \cdot w|$   
**obtains**  $r$  **where**  $p \cdot r = u$  **and**  $r \leq_p w$  **and**  $r \neq \varepsilon$

**proof**–

**obtain**  $r$  **where**  $p \cdot r = u$  **and**  $r \leq_p w$   
**using** *pref-prod-cancel*[*OF*  $\langle u \leq_p p \cdot w \cdot q \rangle$  *less-imp-le*[*OF*  $\langle |p| < |u| \rangle$ ]  $\langle |u| \leq |p \cdot w| \rangle$ ].

**moreover have**  $r \neq \varepsilon$  **using**  $\langle p \cdot r = u \rangle$  *less-imp-neq*[*OF*  $\langle |p| < |u| \rangle$ ] **by**  
*fastforce*

**ultimately show** *thesis..*

**qed**

**lemma** *non-comp-parallel*:  $\neg u \bowtie v \longleftrightarrow u \parallel v$   
**unfolding** *prefix-comparable-def* *parallel-def* *de-Morgan-disj..*

**lemma** *comp-refl*:  $u \bowtie u$   
**unfolding** *prefix-comparable-def*  
**by** *simp*

**lemma** *incomp-cancel*:  $\neg p \cdot u \bowtie p \cdot v \Longrightarrow \neg u \bowtie v$   
**unfolding** *prefix-comparable-def*  
**by** *simp*

**lemma** *comm-ruler*:  $r \cdot s \leq_p w1 \Longrightarrow s \cdot r \leq_p w2 \Longrightarrow w1 \bowtie w2 \Longrightarrow r \cdot s = s \cdot r$   
**using** *pref-comp-eq*[*OF* *ruler-comp* *swap-len*].

**lemma** *comm-comp-eq*:  $r \cdot s \bowtie s \cdot r \Longrightarrow r \cdot s = s \cdot r$   
**using** *comm-ruler* **by** *blast*

**lemma** *pref-share-take*:  $u \leq_p v \Longrightarrow q \leq |u| \Longrightarrow \text{take } q \ u = \text{take } q \ v$   
**by** (*auto* *simp* *add*: *prefix-def*)

**lemma** *pref-prod-longer*:  $u \leq_p z \cdot w \Longrightarrow v \leq_p w \Longrightarrow |z \cdot v| \leq |u| \Longrightarrow z \cdot v \leq_p u$   
**using** *ruler-le*[*OF* *pref-cancel'*].

**lemma** *pref-comp-not-pref*:  $u \bowtie v \Longrightarrow \neg v \leq_p u \Longrightarrow u <_p v$   
**by** *auto*

**lemma** *pref-comp-not-spref*:  $u \bowtie v \Longrightarrow \neg u <_p v \Longrightarrow v \leq_p u$   
**using** *contrapos-np*[*OF* - *pref-comp-not-pref*].

**lemma** *hd-prod*:  $u \neq \varepsilon \Longrightarrow (u \cdot v)!0 = u!0$   
**by** (*cases*  $u$ ) (*blast*, *simp*)

**lemma** *distinct-first*: **assumes**  $w \neq \varepsilon$   $z \neq \varepsilon$   $w!0 \neq z!0$  **shows**  $w \cdot w' \neq z \cdot z'$



**using** *hd-prod*[of  $w w'$ ,  $OF \langle w \neq \varepsilon \rangle$ ] *hd-prod*[of  $z z'$ ,  $OF \langle z \neq \varepsilon \rangle$ ]  $\langle w!0 \neq z!0 \rangle$  **by**  
*auto*

**lemmas** *last-no-split* = *prefix-snoc*

**lemma** *last-no-split'*:  $u <_p w \implies w \leq_p u \cdot [a] \implies w = u \cdot [a]$   
**unfolding** *prefix-order.less-le-not-le last-no-split* **by** *blast*

**lemma** *comp-shorter*:  $v \bowtie w \implies |v| \leq |w| \implies v \leq_p w$   
**unfolding** *prefix-comparable-def*  
**by** (*auto simp add: prefix-def*)

**lemma** *comp-shorter-conv*:  $|u| \leq |v| \implies u \bowtie v \longleftrightarrow u \leq_p v$   
**using** *comp-shorter* **by** *auto*

**lemma** *pref-comp-len-trans*:  $w \leq_p v \implies u \bowtie v \implies |w| \leq |u| \implies w \leq_p u$   
**using** *ruler-le pref-trans* **by** (*elim pref-compE*)

**lemma** *comp-cancel*:  $z \cdot w1 \bowtie z \cdot w2 \longleftrightarrow w1 \bowtie w2$   
**unfolding** *prefix-comparable-def*  
**using** *pref-cancel* **by** *auto*

**lemma** *emp-pref*:  $\varepsilon \leq_p u$   
**by** *simp*

**lemma** *emp-spref*:  $u \neq \varepsilon \implies \varepsilon <_p u$   
**by** *simp*

**lemma** *long-pref*:  $u \leq_p v \implies |v| \leq |u| \implies u = v$   
**by** (*auto simp add: prefix-def*)

**lemma** *not-comp-ext*:  $\neg w1 \bowtie w2 \implies \neg w1 \cdot z \bowtie w2 \cdot z'$   
**using** *contrapos-nn[OF - ruler-comp[OF triv-pref triv-pref]]*.

**lemma** *mismatch-incopm*:  $|u| = |v| \implies x \neq y \implies \neg u \cdot [x] \bowtie v \cdot [y]$   
**by** (*auto simp add: prefix-def*)

**lemma** *comp-prefs-comp*:  $u \cdot z \bowtie v \cdot w \implies u \bowtie v$   
**using** *ruler-comp[OF triv-pref triv-pref]*.

**lemma** *comp-hd-eq*:  $u \bowtie v \implies u \neq \varepsilon \implies v \neq \varepsilon \implies hd\ u = hd\ v$   
**unfolding** *prefix-comparable-def*  
**by** (*auto simp add: prefix-def*)

**lemma** *pref-hd-eq'*:  $p \leq_p u \implies p \leq_p v \implies p \neq \varepsilon \implies hd\ u = hd\ v$   
**by** (*auto simp add: prefix-def*)

**lemma** *pref-hd-eq*:  $u \leq_p v \implies u \neq \varepsilon \implies hd\ u = hd\ v$   
**by** (*auto simp add: prefix-def*)

**lemma** *sing-pref-hd*:  $[a] \leq_p v \implies \text{hd } v = a$   
**by** (*auto simp add: prefix-def*)

**lemma** *suf-last-eq*:  $p \leq_s u \implies p \leq_s v \implies p \neq \varepsilon \implies \text{last } u = \text{last } v$   
**by** (*auto simp add: suffix-def*)

**lemma** *comp-hd-eq'*:  $u \cdot r \bowtie v \cdot s \implies u \neq \varepsilon \implies v \neq \varepsilon \implies \text{hd } u = \text{hd } v$   
**using** *comp-hd-eq*[*OF comp-prefs-comp*].

## 2.5.2 Minimal and maximal prefix with a given property

**lemma** *le-take-pref*: **assumes**  $k \leq n$  **shows**  $\text{take } k \text{ } ws \leq_p \text{take } n \text{ } ws$   
**using** *take-add*[*of k (n-k) ws, unfolded le-add-diff-inverse*[*OF <k ≤ n>*]]  
**by** *force*

**lemma** *min-pref*: **assumes**  $u \leq_p w$  **and**  $P u$   
**obtains**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and**  $\bigwedge y. y \leq_p w \implies P y \implies v \leq_p y$   
**using** *assms*

**proof**(*induction |u| arbitrary: u rule: less-induct*)

**case** (*less u'*)

**then show** *?case*

**proof** (*cases*  $\forall y. y \leq_p w \longrightarrow P y \longrightarrow u' \leq_p y$ , *blast*)

**assume**  $\neg (\forall y. y \leq_p w \longrightarrow P y \longrightarrow u' \leq_p y)$

**then obtain**  $x$  **where**  $x \leq_p w$  **and**  $P x$  **and**  $\neg u' \leq_p x$

**by** *blast*

**have**  $|x| < |u'|$

**using** *prefix-length-less*[*OF pref-comp-not-pref*[*OF ruler'*[*OF <x ≤ p w> <u' ≤ p w>*]*< ¬ u' ≤ p x>*]].

**from** *less.hyps*[*OF this - <x ≤ p w> <P x>*] **that**

**show** *thesis* **by** *blast*

**qed**

**qed**

**lemma** *min-pref'*: **assumes**  $u \leq_p w$  **and**  $P u$

**obtains**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and**  $\bigwedge y. y \leq_p v \implies P y \implies y = v$

**proof**–

**from** *min-pref*[*of - - P, OF assms*]

**obtain**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and** *min*:  $\bigwedge y. y \leq_p w \implies P y \implies v \leq_p y$

**by** *blast*

**have**  $y = v$  **if**  $y \leq_p v$  **and**  $P y$  **for**  $y$

**using** *min*[*OF pref-trans*[*OF <y ≤ p v> <v ≤ p w>*]*<P y>*]*<y ≤ p v>* **by** *force*

**from** *that*[*OF <v ≤ p w> <P v>*] *this*

**show** *thesis*.

**qed**

**lemma** *max-pref*: **assumes**  $u \leq_p w$  **and**  $P u$

**obtains**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and**  $\bigwedge y. y \leq_p w \implies P y \implies y \leq_p v$

```

using assms
proof(induction  $|w| - |u|$  arbitrary: u rule: less-induct)
  case (less u')
  then show ?case
  proof (cases  $\forall y. y \leq_p w \longrightarrow P y \longrightarrow y \leq_p u'$ , blast)
    assume  $\neg (\forall y. y \leq_p w \longrightarrow P y \longrightarrow y \leq_p u')$ 
    then obtain  $x$  where  $x \leq_p w$  and  $P x$  and  $\neg x \leq_p u'$  and  $u' \neq w$ 
    by blast
    from ruler'[OF  $\langle x \leq_p w \rangle \langle u' \leq_p w \rangle$ ]
    have  $|u'| < |x|$ 
    using comp-shorter[OF  $\langle x \bowtie u' \rangle \langle \neg x \leq_p u' \rangle$ ] by fastforce
    hence  $|w| - |x| < |w| - |u'|$ 
    using  $\langle x \leq_p w \rangle \langle u' \neq w \rangle$  diff-less-mono2 leI[THEN long-pref[OF  $\langle u' \leq_p w \rangle$ ]] by blast
    from less.hyps[OF this -  $\langle x \leq_p w \rangle \langle P x \rangle$ ] that
    show thesis by blast
  qed
qed

```

## 2.6 Suffix and suffix comparability properties

**lemmas** *suf-emp = suffix-bot.extremum-uniqueI*

**lemma** *triv-suf*:  $u \leq_s v \cdot u$   
**by** (*simp add: suffix-def*)

**lemma** *emp-ssuf*:  $u \neq \varepsilon \implies \varepsilon <_s u$   
**by** *simp*

**lemma** *suf-cancel*:  $u \cdot v \leq_s w \cdot v \implies u \leq_s w$   
**by** *simp*

**lemma** *suf-cancel'*:  $u \leq_s w \implies u \cdot v \leq_s w \cdot v$   
**by** *simp*

**lemma** *ssuf-cancel-conv*:  $x \cdot z <_s y \cdot z \iff x <_s y$   
**by** *auto*

Straightforward relations of suffix and prefix follow.

**lemmas** *suf-rev-pref-iff = suffix-to-prefix* — provided by *Sublist.thy*

**lemmas** *ssuf-rev-pref-iff = strict-suffix-to-prefix* — provided by *Sublist.thy*

**lemma** *pref-rev-suf-iff*:  $u \leq_p v \iff \text{rev } u \leq_s \text{rev } v$   
**using** *suffix-to-prefix*[*of rev u rev v*] **unfolding** *rev-rev-ident*  
**by** *blast*

**lemma** *spref-rev-suf-iff*:  $s <_p w \iff \text{rev } s <_s \text{rev } w$   
**using** *strict-suffix-to-prefix*[*of rev s rev w, unfolded rev-rev-ident, symmetric*].

**lemma** *nsuf-rev-pref-iff*:  $s \leq_{ns} w \longleftrightarrow \text{rev } s \leq_{np} \text{rev } w$   
**unfolding** *nonempty-prefix-def nonempty-suffix-def suffix-to-prefix*  
**by** *fast*

**lemma** *npref-rev-suf-iff*:  $s \leq_{np} w \longleftrightarrow \text{rev } s \leq_{ns} \text{rev } w$   
**unfolding** *nonempty-prefix-def nonempty-suffix-def pref-rev-suf-iff*  
**by** *fast*

**lemmas** [*reversal-rule*] =  
*suf-rev-pref-iff[symmetric]*  
*pref-rev-suf-iff[symmetric]*  
*nsuf-rev-pref-iff[symmetric]*  
*npref-rev-suf-iff[symmetric]*  
*ssuf-rev-pref-iff[symmetric]*  
*spref-rev-suf-iff[symmetric]*

**lemmas** *sufE = prefixE[reversed]* **and**  
*prefE = prefixE* **and**  
*ssuf-exE = spref-exE[reversed]*

**lemmas** *suf-prod-long-ext = pref-prod-long-ext[reversed]*

**lemmas** *suf-prolong-per-root = pref-prolong-per-root[reversed]*

**lemmas** *suf-ext = suffix-appendI* — provided by *Sublist.thy*

**lemmas** *ssuf-ext = spref-ext[reversed]* **and**  
*ssuf-extD = spref-extD[reversed]* **and**  
*suf-ext-nem = pref-ext-nemp[reversed]* **and**  
*suf-same-len = pref-same-len[reversed]* **and**  
*suf-take = pref-drop[reversed]* **and**  
*suf-share-take = pref-share-take[reversed]* **and**  
*long-suf = long-pref[reversed]* **and**  
*strict-suffixE' = strict-prefixE'[reversed]* **and**  
*ssuf-tl-suf = spref-butlast-pref[reversed]*

**lemma** *ssuf-Cons-iff [simp]*:  $u <_s a \# v \longleftrightarrow u \leq_s v$   
**by** (*auto simp only: strict-suffix-def suffix-Cons*) (*simp add: suffix-def*)

**lemma** *ssuf-induct [case-names ssuf]*:  
**assumes**  $\bigwedge u. (\bigwedge v. v <_s u \implies P v) \implies P u$   
**shows**  $P u$

**proof** (*induction u rule: list.induct[of  $\lambda u. \forall v. v \leq_s u \longrightarrow P v$ , rule-format, OF -*  
*- triv-suf]*,

*use assms suffix-bot.extremum-strict in blast*)

**qed** (*metis assms ssuf-Cons-iff suffix-Cons*)

## 2.6.1 Suffix comparability

**lemma** *eq-le-suf*[*elim*]: **assumes**  $x \cdot y = u \cdot v \mid x \leq |u|$  **shows**  $v \leq_s y$   
**using** *eq-le-pref*[*reversed*, *OF assms(1)*][*symmetric*]  
*lenarg*[*OF*  $\langle x \cdot y = u \cdot v \rangle$ , *unfolded lenmorph*]  $\langle |x| \leq |u| \rangle$  **by** *linarith*

**lemmas** *eq-le-suf'*[*elim*] = *eq-le-pref*[*reversed*]

**lemma** *eq-le-suf''*[*elim*]: **assumes**  $v \cdot u = y \cdot x \mid x \leq |u|$  **shows**  $x \leq_s u$   
**using** *eq-le-suf'*[*OF assms(1)*][*symmetric*] *assms(2)*.

**lemma** *pref-comp-rev-suf-comp*[*reversal-rule*]:  $(rev\ w) \bowtie_s (rev\ v) \longleftrightarrow w \bowtie v$   
**unfolding** *suffix-comparable-def* **by** *simp*

**lemma** *suf-comp-rev-pref-comp*[*reversal-rule*]:  $(rev\ w) \bowtie (rev\ v) \longleftrightarrow w \bowtie_s v$   
**unfolding** *suffix-comparable-def* **by** *simp*

**lemmas** *suf-ruler-le* = *suffix-length-suffix* — provided by *Sublist.thy*, same as *ruler\_le*[*reversed*]

**lemmas** *suf-ruler* = *suffix-same-cases* — provided by *Sublist.thy*, same as *ruler*[*reversed*]

**lemmas** *suf-ruler-eq-len* = *ruler-eq-len*[*reversed*] **and**  
*suf-ruler-comp* = *ruler-comp*[*reversed*] **and**  
*ruler-suf* = *ruler-pref*[*reversed*] **and**  
*ruler-suf'* = *ruler-pref'*[*reversed*] **and**  
*ruler-suf''* = *ruler-pref''*[*reversed*] **and**  
*suf-prod-le* = *pref-prod-le*[*reversed*] **and**  
*prod-suf-prod-le* = *prod-pref-prod-le*[*reversed*] **and**  
*suf-prod-eq* = *pref-prod-eq*[*reversed*] **and**  
*suf-prod-less* = *pref-prod-less*[*reversed*] **and**  
*suf-prod-cancel* = *pref-prod-cancel*[*reversed*] **and**  
*suf-prod-cancel'* = *pref-prod-cancel'*[*reversed*] **and**  
*suf-prod-suf-short* = *pref-prod-pref-short*[*reversed*] **and**  
*suf-prod-suf* = *pref-prod-pref*[*reversed*] **and**  
*suf-prod-suf'* = *pref-prod-pref'*[*reversed*, *unfolded rassoc*] **and**  
*suf-prolong* = *pref-prolong*[*reversed*] **and**  
*suf-prolong'* = *pref-prolong'*[*reversed*, *unfolded rassoc*] **and**  
*suf-prolong-comp* = *pref-prolong-comp*[*reversed*, *unfolded rassoc*] **and**  
*suf-prod-long* = *pref-prod-long*[*reversed*] **and**  
*suf-prod-long-less* = *pref-prod-long-less*[*reversed*] **and**  
*suf-prod-longer* = *pref-prod-longer*[*reversed*] **and**  
*suf-keeps-root* = *pref-keeps-per-root*[*reversed*] **and**  
*comm-suf-ruler* = *comm-ruler*[*reversed*]

**lemmas** *comp-sufs-comp* = *comp-prefs-comp*[*reversed*] **and**  
*suf-comp-not-suf* = *pref-comp-not-pref*[*reversed*] **and**  
*suf-comp-not-ssuf* = *pref-comp-not-spref*[*reversed*] **and**  
*suf-comp-cancel* = *comp-cancel*[*reversed*] **and**

$\text{suf-not-comp-ext} = \text{not-comp-ext}[\text{reversed}]$  **and**  
 $\text{mismatch-suf-incopm} = \text{mismatch-incopm}[\text{reversed}]$  **and**  
 $\text{suf-comp-sym}[\text{sym}] = \text{pref-comp-sym}[\text{reversed}]$  **and**  
 $\text{suf-comp-refl} = \text{comp-refl}[\text{reversed}]$

**lemma**  $\text{suf-comp-or}$ :  $u \bowtie_s v \iff u \leq_s v \vee v \leq_s u$   
**unfolding**  $\text{suffix-comparable-def}$   $\text{prefix-comparable-def}$   $\text{suf-rev-pref-iff..}$

**lemma**  $\text{comm-comp-eq-conv}$ :  $r \cdot s \bowtie s \cdot r \iff r \cdot s = s \cdot r$   
**using**  $\text{pref-comp-eq}[OF - \text{swap-len}]$   $\text{comp-refl}$  **by**  $\text{metis}$

**lemma**  $\text{comm-comp-eq-conv-suf}$ :  $r \cdot s \bowtie_s s \cdot r \iff r \cdot s = s \cdot r$   
**using**  $\text{pref-comp-eq}[\text{reversed}, OF - \text{swap-len}, \text{of } r \text{ } s]$   $\text{suf-comp-refl}[\text{of } r \cdot s]$  **by**  $\text{argo}$

**lemma**  $\text{suf-comp-last-eq}$ : **assumes**  $u \bowtie_s v$   $u \neq \varepsilon$   $v \neq \varepsilon$   
**shows**  $\text{last } u = \text{last } v$   
**using**  $\text{comp-hd-eq}[\text{reversed}, OF \text{ assms}]$  **unfolding**  $\text{hd-rev}$   $\text{hd-rev}$ .

**lemma**  $\text{suf-comp-last-eq'}$ :  $r \cdot u \bowtie_s s \cdot v \implies u \neq \varepsilon \implies v \neq \varepsilon \implies \text{last } u = \text{last } v$   
**using**  $\text{comp-sufs-comp}$   $\text{suf-comp-last-eq}$  **by**  $\text{blast}$

## 2.7 Left and Right Quotient

A useful function of left quotient is given. Note that the function is sometimes undefined.

**definition**  $\text{left-quotient}$ ::  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$   $(\langle (-^{-1}) \rangle (-) \rangle$  [75,74] 74)  
**where**  $\text{left-quotient } u \ v = \text{drop } |u| \ v$   
**notation** (*latex output*)  $\text{left-quotient}$   $(\langle -^{-1} \cdot - \rangle)$

Analogously, we define the right quotient.

**definition**  $\text{right-quotient}$  ::  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$   $(\langle (-) \rangle \langle^{-1} - \rangle)$  [76,77] 76)  
**where**  $\text{right-quotient } u \ v = \text{rev } ((\text{rev } v)^{-1} \rangle (\text{rev } u))$   
**notation** (*latex output*)  $\text{right-quotient}$   $(\langle - \cdot -^{-1} \rangle)$

**lemmas**  $\text{lq-def} = \text{left-quotient-def}$  **and**  
 $\text{rq-def} = \text{right-quotient-def}$

Priorities of these operations are as follows:

**lemma**  $u \langle^{-1} v \langle^{-1} w = (u \langle^{-1} v) \langle^{-1} w$   
**by**  $\text{simp}$

**lemma**  $u \langle^{-1} v \langle^{-1} w = u \langle^{-1} (v \langle^{-1} w)$   
**by**  $\text{simp}$

**lemma**  $u \langle^{-1} v \langle^{-1} w = u \langle^{-1} (v \langle^{-1} w)$   
**by**  $\text{simp}$

**lemma**  $r \cdot u^{-1} > w^{<-1} v \cdot s = r \cdot (u^{-1} > w^{<-1} v) \cdot s$   
**by** *simp*

**lemma** *rq-rev-lq[reversal-rule]*:  $(\text{rev } v)^{<-1} (\text{rev } u) = \text{rev } (u^{-1} > v)$   
**unfolding** *right-quotient-def*  
**by** *simp*

**lemma** *lq-rev-rq[reversal-rule]*:  $(\text{rev } v)^{-1} > \text{rev } u = \text{rev } (u^{<-1} v)$   
**unfolding** *right-quotient-def*  
**by** *simp*

### 2.7.1 Left Quotient

**lemma** *lqI*:  $u \cdot z = v \implies u^{-1} > v = z$   
**unfolding** *left-quotient-def*  
**by** *force*

**lemma** *lq-triv[simp]*:  $u^{-1} > (u \cdot z) = z$   
**using** *lqI[OF refl]*.

**lemma** *lq-triv'[simp]*:  $u \cdot u^{-1} > (u \cdot z) = u \cdot z$   
**by** *simp*

**lemma** *append-lq*: **assumes**  $u \cdot v \leq_p w$  **shows**  $(u \cdot v)^{-1} > w = v^{-1} > (u^{-1} > w)$   
**using** *lq-triv[of u v]* *lq-triv[of v]* *lq-triv[of u v -]* *assms[unfolded prefix-def]*  
**by** *force*

**lemma** *lq-self[simp]*:  $u^{-1} > u = \varepsilon$   
**unfolding** *left-quotient-def*  
**by** *simp*

**lemma** *lq-emp[simp]*:  $\varepsilon^{-1} > u = u$   
**unfolding** *left-quotient-def*  
**by** *simp*

**lemma** *lq-pref[simp]*:  $u \leq_p v \implies u \cdot (u^{-1} > v) = v$   
**unfolding** *left-quotient-def prefix-def*  
**by** *fastforce*

**lemma** *lq-pref-conv*:  $|u| \leq |v| \implies u \leq_p v \iff u \cdot u^{-1} > v = v$   
**using** *lq-pref* **by** *blast*

**lemma** *lq-len*:  $|u^{-1} > v| = |v| - |u|$   
**unfolding** *left-quotient-def* **using** *length-drop*.

**lemmas** *lcp-lq* = *lq-pref[OF longest-common-prefix-prefix1]* *lq-pref[OF longest-common-prefix-prefix2]*

**lemma** *lq-pref-cancel*:  $u \leq_p v \implies v \cdot r = u \cdot s \implies (u^{-1} > v) \cdot r = s$   
**unfolding** *prefix-def*

by force

**lemma** *lq-the*: **assumes**  $u \leq_p v$   
**shows**  $(\text{THE } z. u \cdot z = v) = (u^{-1} \triangleright v)$

**proof**–

**have**  $u \cdot z = v \implies z = (u^{-1} \triangleright v)$  **for**  $z$

**by** *fastforce*

**from** *the-equality*[of  $\lambda z. u \cdot z = v$ , *OF lq-pref this, OF assms*]

**show** *?thesis*.

**qed**

**lemma** *lq-same-len*:  $|u| = |v| \implies u^{-1} \triangleright v = \varepsilon$

**unfolding** *left-quotient-def* **by** *simp*

**lemma** *lq-assoc*:  $|u| \leq |v| \implies (u^{-1} \triangleright v)^{-1} \triangleright w = v^{-1} \triangleright (u \cdot w)$

**unfolding** *left-quotient-def* **using** *prefix-length-le* **by** *auto*

**lemma** *lq-assoc'*:  $(u \cdot w)^{-1} \triangleright v = w^{-1} \triangleright (u^{-1} \triangleright v)$

**unfolding** *left-quotient-def lenmorph*

**by** (*simp add: add commute*)

**lemma** *lq-reassoc*:  $u \leq_p v \implies (u^{-1} \triangleright v) \cdot w = u^{-1} \triangleright (v \cdot w)$

**unfolding** *prefix-def*

**by** *force*

**lemma** *lq-trans*:  $u \leq_p v \implies v \leq_p w \implies (u^{-1} \triangleright v) \cdot (v^{-1} \triangleright w) = u^{-1} \triangleright w$

**by** (*simp add: lq-reassoc*)

**lemma** *lq-rq-reassoc-suf*: **assumes**  $u \leq_p z$   $u \leq_s w$  **shows**  $w \cdot u^{-1} \triangleright z = w^{<-1} u \cdot z$

**using** *rassoc*[of  $w^{<-1} u$   $u$   $u^{-1} \triangleright z$ , *unfolded lq-pref*[*OF*  $\langle u \leq_p z \rangle$ ] *lq-pref*[*reversed*, *OF*  $\langle u \leq_s w \rangle$ ]].

**lemma** *lq-ne*:  $p \leq_p u \cdot p \implies u \neq \varepsilon \implies p^{-1} \triangleright (u \cdot p) \neq \varepsilon$

**using** *lq-pref*[of  $p$   $u \cdot p$ ] **by** *fastforce*

**lemma** *lq-spref*:  $u <_p v \implies u^{-1} \triangleright v \neq \varepsilon$

**using** *lq-pref* **by** (*auto simp add: prefix-def*)

**lemma** *lq-suf-suf*:  $r \leq_p s \implies (r^{-1} \triangleright s) \leq_s s$

**by** (*auto simp add: prefix-def*)

**lemma** *lq-short-len*:  $r \leq_p s \implies |r| + |r^{-1} \triangleright s| = |s|$

**by** (*auto simp add: prefix-def*)

**lemma** *pref-lq*:  $v \leq_p w \implies u^{-1} \triangleright v \leq_p u^{-1} \triangleright w$

**unfolding** *left-quotient-def prefix-def*

**using** *drop-append* **by** *blast*

**lemma** *spref-lq*:  $u \leq_p v \implies v <_p w \implies u^{-1} \triangleright v <_p u^{-1} \triangleright w$



by (auto simp add: prefix-def)

**lemma** *pref-gcd-lq*: **assumes**  $u \leq_p v$  **shows**  $(gcd\ |u|\ |u^{-1}\rangle v|) = gcd\ |u|\ |v|$   
**using** *gcd-add2*[of  $|u|\ |u^{-1}\rangle v|$ , *unfolded lq-short-len*[*OF assms*], *symmetric*].

**lemma** *conjug-lq*:  $x \cdot z = z \cdot y \implies y = z^{-1}\rangle(x \cdot z)$   
**by** *simp*

**lemma** *conjug-emp-emp*:  $p \leq_p u \cdot p \implies p^{-1}\rangle(u \cdot p) = \varepsilon \implies u = \varepsilon$   
**using** *lq-ne* **by** *blast*

**lemma** *hd-lq-conv-nth*: **assumes**  $u <_p v$  **shows**  $hd(u^{-1}\rangle v) = v!\ |u|$   
**using** *prefix-length-less*[*OF assms*, *THEN hd-drop-conv-nth*] **unfolding** *lq-def*.

**lemma** *concat-morph-lq*:  $us \leq_p ws \implies concat\ (us^{-1}\rangle ws) = (concat\ us)^{-1}\rangle(concat\ ws)$   
**by** (auto simp add: prefix-def)

**lemma** *pref-cancel-lq*: **assumes**  $u \leq_p x \cdot y$   
**shows**  $x^{-1}\rangle u \leq_p y$   
**using** *pref-lq*[*OF*  $\langle u \leq_p x \cdot y \rangle$ , *of*  $x$ , *unfolded lq-triv*].

**lemma** *pref-cancel-lq-ext*: **assumes**  $u \cdot v \leq_p x \cdot y$  **and**  $|x| \leq |u|$  **shows**  $x^{-1}\rangle u \cdot v \leq_p y$

**proof**–

**note** *pref-prod-long*[*OF append-prefixD*, *OF*  $\langle u \cdot v \leq_p x \cdot y \rangle$   $\langle |x| \leq |u| \rangle$ ]

**from** *pref-cancel-lq*[*OF*  $\langle u \cdot v \leq_p x \cdot y \rangle$ ]

**show**  $x^{-1}\rangle u \cdot v \leq_p y$

**unfolding** *lq-reassoc*[*OF*  $\langle x \leq_p u \rangle$ ] **using**  $\langle |x| \leq |u| \rangle$  **by** *force*

**qed**

**lemma** *pref-cancel-lq-ext'*: **assumes**  $u \cdot v \leq_p x \cdot y$  **and**  $|u| \leq |x|$  **shows**  $v \leq_p u^{-1}\rangle x \cdot y$

**using** *pref-lq*[*OF*  $\langle u \cdot v \leq_p x \cdot y \rangle$ , *of*  $u$ ]

**unfolding** *lq-triv* *lq-reassoc*[*OF* *pref-prod-le*[*OF* *append-prefixD*[*OF*  $\langle u \cdot v \leq_p x \cdot y \rangle$   $\langle |u| \leq |x| \rangle$ ]]].

**lemma** *empty-lq-eq*:  $r \leq_p z \implies r^{-1}\rangle z = \varepsilon \implies r = z$   
**unfolding** *prefix-def* **by** *force*

**lemma** *le-if-then-lq*:  $|u| \leq |v| \implies (if\ |v| \leq |u|\ then\ v^{-1}\rangle u\ else\ u^{-1}\rangle v) = u^{-1}\rangle v$   
**by** (*cases*  $|u| = |v|$ , *simp-all* add: *lq-same-len*)

**lemma** *append-comp-lq*:  $u \cdot v \bowtie w \implies v \bowtie u^{-1}\rangle w$

**proof** (*elim* *pref-compE*)

**assume**  $u \cdot v \leq_p w$

**from** *pref-drop*[*OF* *this*, *of*  $|u|$ , *unfolded drop-pref*]

**show**  $v \bowtie u^{-1} > w$   
**unfolding** *left-quotient-def* **by** (*rule pref-compI1*)  
**next**  
**assume**  $w \leq_p u \cdot v$   
**from** *pref-drop*[*OF this, of |u|, unfolded drop-pref*]  
**show**  $v \bowtie u^{-1} > w$   
**unfolding** *left-quotient-def* **by** (*rule pref-compI2*)  
**qed**

## 2.7.2 Right quotient

**lemmas**  $rqI = lqI[\textit{reversed}]$  **and**  
 $rq\textit{-triv}[simp] = lq\textit{-triv}[\textit{reversed}]$  **and**  
 $rq\textit{-triv}'[simp] = lq\textit{-triv}'[\textit{reversed}]$  **and**  
 $rq\textit{-self}[simp] = lq\textit{-self}[\textit{reversed}]$  **and**  
 $rq\textit{-emp}[simp] = lq\textit{-emp}[\textit{reversed}]$  **and**  
 $rq\textit{-suf}[simp] = lq\textit{-pref}[\textit{reversed}]$  **and**  
 $rq\textit{-ssuf} = lq\textit{-spref}[\textit{reversed}]$  **and**  
 $rq\textit{-reassoc} = lq\textit{-reassoc}[\textit{reversed}]$  **and**  
 $rq\textit{-len} = lq\textit{-len}[\textit{reversed}]$  **and**  
 $rq\textit{-trans} = lq\textit{-trans}[\textit{reversed}]$  **and**  
 $rq\textit{-lq-reassoc-suf} = lq\textit{-rq-reassoc-suf}[\textit{reversed}]$  **and**  
 $rq\textit{-ne} = lq\textit{-ne}[\textit{reversed}]$  **and**  
 $rq\textit{-suf-suf} = lq\textit{-suf-suf}[\textit{reversed}]$  **and**  
 $suf\textit{-rq} = pref\textit{-lq}[\textit{reversed}]$  **and**  
 $ssuf\textit{-rq} = spref\textit{-lq}[\textit{reversed}]$  **and**  
 $conjug\textit{-rq} = conjug\textit{-lq}[\textit{reversed}]$  **and**  
 $conjug\textit{-emp-emp}' = conjug\textit{-emp-emp}[\textit{reversed}]$  **and**  
 $rq\textit{-take} = lq\textit{-def}[\textit{reversed}]$  **and**  
 $empty\textit{-rq-eq} = empty\textit{-lq-eq}[\textit{reversed}]$  **and**  
 $append\textit{-rq} = append\textit{-lq}[\textit{reversed}]$  **and**  
 $rq\textit{-same-len} = lq\textit{-same-len}[\textit{reversed}]$  **and**  
 $rq\textit{-assoc} = lq\textit{-assoc}[\textit{reversed}]$  **and**  
 $rq\textit{-assoc}' = lq\textit{-assoc}'[\textit{reversed}]$  **and**  
 $le\textit{-if-then-rq} = le\textit{-if-then-lq}[\textit{reversed}]$  **and**  
 $append\textit{-comp-rq} = append\textit{-comp-lq}[\textit{reversed}]$

## 2.7.3 Left and right quotients combined

**lemma** *pref-lq-rq-id*:  $p \leq_p w \implies w^{<-1}(p^{-1} > w) = p$   
**unfolding** *prefix-def*  
**using** *rq-triv*[*of p p^{-1} > w*] **by force**

**lemmas** *suf-rq-lq-id* = *pref-lq-rq-id*[*reversed*]

**lemma** *rev-lq'*:  $r \leq_p s \implies rev(r^{-1} > s) = (rev\ s)^{<-1}(rev\ r)$   
**by** (*simp add: rq-rev-lq*)

**lemma** *pref-rq-suf-lq*:  $s \leq_s u \implies r \leq_p (u^{<-1} s) \implies s \leq_s (r^{-1} > u)$   
**using** *lq-reassoc*[*of r u^{<-1} s s*] *rq-suf*[*of s u*] *triv-suf*[*of s r^{-1} > u^{<-1} s*]

by *presburger*

lemmas *suf-lq-pref-rq = pref-rq-suf-lq[reversed]*

lemma  $w \cdot s = v \implies v^{<-1} s = w$  using *rqI*.

lemma *lq-rq-assoc*:  $s \leq s u \implies r \leq p (u^{<-1} s) \implies (r^{-1} > u)^{<-1} s = r^{-1} > (u^{<-1} s)$   
using *lq-reassoc*[of  $r u^{<-1} s s$ ] *rq-suf*[of  $s u$ ] *rqI*[of  $r^{-1} > u^{<-1} s s r^{-1} > u$ ]  
by *argo*

lemmas *rq-lq-assoc = lq-rq-assoc[reversed]*

lemma *lq-prod*:  $u \leq p v \cdot u \implies u \leq p w \implies u^{-1} > (v \cdot u) \cdot u^{-1} > w = u^{-1} > (v \cdot w)$   
using *lq-reassoc*[of  $u v \cdot u u^{-1} > w$ ] *lq-rq-reassoc-suf*[of  $u w v \cdot u$ , *unfolded rq-triv*[of  $v u$ ]]  
by (*simp add: suffix-def*)

lemmas *rq-prod = lq-prod[reversed]*

lemma *pref-suf-mid*: assumes  $p \cdot w \cdot s = p' \cdot v \cdot s'$  and  $p \leq p p'$  and  $s \leq s s'$   
shows  $v \leq f w$

proof–

have  $p \cdot w \cdot s = (p \cdot p^{-1} > p') \cdot v \cdot (s'^{<-1} s \cdot s)$

using  $\langle p \cdot w \cdot s = p' \cdot v \cdot s' \rangle$

unfolding *lq-pref*[*OF*  $\langle p \leq p p' \rangle$ ] *rq-suf*[*OF*  $\langle s \leq s s' \rangle$ ].

thus *?thesis*

by *simp*

qed

## 2.8 Equidivisibility

Equidivisibility is the following property: if

$$xy = uv,$$

then there exists a word  $t$  such that  $xt = u$  and  $ty = v$ , or  $ut = x$  and  $y = tv$ . For monoids over words, this property is equivalent to the freeness of the monoid. As the monoid of all words is free, we can prove that it is equidivisible. Related lemmas based on this property follow.

**thm** *append-eq-conv-conj*[*folded left-quotient-def*]

lemma *eqd*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies \exists t. x \cdot t = u \wedge t \cdot v = y$

by (*simp add: append-eq-conv-conj*)

lemma *eqdE*: assumes  $x \cdot y = u \cdot v$  and  $|x| \leq |u|$

obtains  $t$  where  $x \cdot t = u$  and  $t \cdot v = y$

using *eqd*[*OF assms*] by *blast*

lemma *eqd-lessE*: assumes  $x \cdot y = u \cdot v$  and  $|x| < |u|$

**obtains**  $t$  **where**  $x \cdot t = u$  **and**  $t \cdot v = y$  **and**  $t \neq \varepsilon$   
**using**  $\text{eqdE}[OF \text{assms}(1) \text{less-imp-le}[OF \text{assms}(2)]] \text{assms}(2)$   
**using**  $\text{append.right-neutral less-not-refl}$  **by**  $\text{metis}$

**lemma**  $\text{eqdE}'$ : **assumes**  $x \cdot y = u \cdot v$  **and**  $|v| \leq |y|$   
**obtains**  $t$  **where**  $x \cdot t = u$  **and**  $t \cdot v = y$   
**using**  $\text{eqdE}[OF \text{assms}(1)] \text{lenarg}[OF \text{assms}(1), \text{unfolded lenmorph}] \text{assms}(2)$   
**by**  $\text{auto}$

**thm**  $\text{long-pref}$

**lemma**  $\text{eqd-pref-suf-iff}$ : **assumes**  $x \cdot y = u \cdot v$  **shows**  $x \leq_p u \iff v \leq_s y$   
**by** ( $\text{rule linorder-le-cases}[of |x| |u|]$ ,  $\text{use eqd}[OF \text{assms}]$  **in**  $\text{blast}$ )  
*(use  $\text{eqd}[OF \text{assms}[\text{symmetric}]]$  **in**  $\text{fastforce}$ )*

**lemma**  $\text{eqd-spref-ssuf-iff}$ : **assumes**  $x \cdot y = u \cdot v$  **shows**  $x <_p u \iff v <_s y$   
**using**  $\text{eqd-pref-suf-iff}[OF \text{assms}] \text{assms}$  **by**  $\text{force}$

**lemma**  $\text{eqd-pref}$ :  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies x \cdot (x^{-1} > u) = u \wedge (x^{-1} > u) \cdot v = y$   
**using**  $\text{eqd lq-triv}$  **by**  $\text{blast}$

**lemma**  $\text{eqd-pref1}$ :  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies x \cdot (x^{-1} > u) = u$   
**using**  $\text{eqd-pref}$  **by**  $\text{blast}$

**lemma**  $\text{eqd-pref2}$ :  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies (x^{-1} > u) \cdot v = y$   
**using**  $\text{eqd-pref}$  **by**  $\text{blast}$

**lemma**  $\text{eqd-eq}$ : **assumes**  $x \cdot y = u \cdot v$   $|x| = |u|$  **shows**  $x = u$   $y = v$   
**using**  $\text{assms}$  **by**  $\text{simp-all}$

**lemma**  $\text{eqd-eq-suf}$ :  $x \cdot y = u \cdot v \implies |y| = |v| \implies x = u \wedge y = v$   
**by**  $\text{simp}$

**lemma**  $\text{eqd-comp}$ : **assumes**  $x \cdot y = u \cdot v$  **shows**  $x \bowtie u$   
**using**  $\text{le-cases}[of |x| |u| x \bowtie u]$   
 $\text{eqd-pref1}[of x y u v, \text{THEN prefI}[of x x^{-1} > u u], OF \text{assms}]$   
 $\text{eqd-pref1}[of u v x y, \text{THEN prefI}[of u u^{-1} > x x], OF \text{assms}[\text{symmetric}]]$  **by**  $\text{auto}$

— not equal to  $\text{eqd\_pref1}[\text{reversed}]$

**lemma**  $\text{eqd-suf1}$ :  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies (y^{<-1} v) \cdot v = y$   
**using**  $\text{eqd-pref2 rq-triv}$  **by**  $\text{blast}$

— not equal to  $\text{eqd\_pref2}[\text{reversed}]$

**lemma**  $\text{eqd-suf2}$ : **assumes**  $x \cdot y = u \cdot v$   $|x| \leq |u|$  **shows**  $x \cdot (y^{<-1} v) = u$   
**using**  $\text{rq-reassoc}[OF \text{sufI}[OF \text{eqd-suf1}[OF \langle x \cdot y = u \cdot v \rangle \langle |x| \leq |u| \rangle]]]$ ,  $\text{of } x$ ,  
 $\text{unfolded } \langle x \cdot y = u \cdot v \rangle \text{rq-triv}[of u v]$ .

— not equal to `eqd_pref[reversed]`

**lemma** *eqd-suf*: **assumes**  $x \cdot y = u \cdot v$  **and**  $|x| \leq |u|$   
**shows**  $(y^{<-1}v) \cdot v = y \wedge x \cdot (y^{<-1}v) = u$   
**using** *eqd-suf1*[*OF assms*] *eqd-suf2*[*OF assms*] **by** *blast*

**lemma** *eqd-exchange-aux*:

**assumes**  $u \cdot v = x \cdot y$  **and**  $u \cdot v' = x \cdot y'$  **and**  $u' \cdot v = x' \cdot y$  **and**  $|u| \leq |x|$   
**shows**  $u' \cdot v' = x' \cdot y'$   
**using** *eqd*[*OF*  $\langle u \cdot v = x \cdot y \rangle \langle |u| \leq |x| \rangle$ ] *eqd*[*OF*  $\langle u \cdot v' = x \cdot y' \rangle \langle |u| \leq |x| \rangle$ ]  $\langle u' \cdot v = x' \cdot y \rangle$  **by** *force*

**lemma** *eqd-exchange*:

**assumes**  $u \cdot v = x \cdot y$  **and**  $u \cdot v' = x \cdot y'$  **and**  $u' \cdot v = x' \cdot y$   
**shows**  $u' \cdot v' = x' \cdot y'$   
**using** *eqd-exchange-aux*[*OF assms*] *eqd-exchange-aux*[*OF assms*[*symmetric*], *symmetric*] **by** *force*

**hide-fact** *eqd-exchange-aux*

## 2.9 Longest common prefix

**lemmas** *lcp-simps* = *longest-common-prefix.simps* — provided by *Sublist.thy*

**lemmas** *lcp-sym* = *lcp commute*

— provided by *Sublist.thy*

**lemmas** *lcp-pref* = *longest-common-prefix-prefix1*

**lemmas** *lcp-pref'* = *longest-common-prefix-prefix2*

**lemmas** *pref-pref-lcp[intro]* = *longest-common-prefix-max-prefix*

**lemma** *lcp-pref-ext*:  $u \leq_p v \implies u \leq_p (u \cdot w) \wedge_p (v \cdot z)$

**using** *longest-common-prefix-max-prefix* *prefix-prefix* *triv-pref* **by** *metis*

**lemma** *pref-non-pref-lcp-pref*: **assumes**  $u \leq_p w$  **and**  $\neg u \leq_p z$  **shows**  $w \wedge_p z <_p u$

**proof**—

**note** *ruler'*[*OF*  $\langle u \leq_p w \rangle$  *lcp-pref*, of *z*, *unfolded prefix-comparable-def*]

**with** *pref-trans*[of  $u \wedge_p z$ , *OF - lcp-pref'*]  $\langle \neg u \leq_p z \rangle$

**show**  $w \wedge_p z <_p u$

**by** *auto*

**qed**

**lemmas** *lcp-take* = *pref-take*[*OF lcp-pref*] **and**

*lcp-take'* = *pref-take*[*OF lcp-pref'*]

**lemma** *lcp-take-eg*:  $\text{take} (|u \wedge_p v|) u = \text{take} (|u \wedge_p v|) v$

**unfolding** *lcp-take* *lcp-take'*..

**lemma** *lcp-pref-conv*:  $u \wedge_p v = u \iff u \leq_p v$

**unfolding** *prefix-order.eq-iff*[of  $u \wedge_p v u$ ]  
**using** *lcp-pref'*[of  $u v$ ]  
*lcp-pref*[of  $u v$ ] *longest-common-prefix-max-prefix*[OF *self-pref*[of  $u$ ], of  $v$ ]  
**by** *auto*

**lemma** *lcp-pref-conv'*:  $u \wedge_p v = v \iff v \leq_p u$   
**using** *lcp-pref-conv*[of  $v u$ , *unfolded lcp-sym*[of  $v$ ]].

**lemmas** *lcp-left-idemp*[*simp*] = *lcp-pref*[*folded lcp-pref-conv'*] **and**  
*lcp-right-idemp*[*simp*] = *lcp-pref'*[*folded lcp-pref-conv'*] **and**  
*lcp-left-idemp'*[*simp*] = *lcp-pref'*[*folded lcp-pref-conv'*] **and**  
*lcp-right-idemp'*[*simp*] = *lcp-pref*[*folded lcp-pref-conv'*]

**lemma** *lcp-per-root*:  $r \cdot s \wedge_p s \cdot r \leq_p r \cdot (r \cdot s \wedge_p s \cdot r)$   
**using** *pref-prod-pref*[OF *pref-prolong*[OF *lcp-pref triv-pref*] *lcp-pref'*].

**lemma** *lcp-per-root'*:  $r \cdot s \wedge_p s \cdot r \leq_p s \cdot (r \cdot s \wedge_p s \cdot r)$   
**using** *lcp-per-root*[of  $s r$ , *unfolded lcp-sym*[of  $s \cdot r$ ]].

**lemma** *pref-lcp-pref*:  $w \leq_p u \wedge_p v \implies w \leq_p u$   
**using** *lcp-pref pref-trans* **by** *blast*

**lemma** *pref-lcp-pref'*:  $w \leq_p u \wedge_p v \implies w \leq_p v$   
**using** *pref-lcp-pref*[of  $w v u$ , *unfolded lcp-sym*[of  $v u$ ]].

**lemmas** *lcp-self* = *lcp.idem*

**lemma** *lcp-eq-len*:  $|u| = |u \wedge_p v| \implies u = u \wedge_p v$   
**using** *long-pref*[OF *lcp-pref*, of  $u v$ ] **by** *auto*

**lemma** *lcp-len*:  $|u| \leq |u \wedge_p v| \implies u \leq_p v$   
**using** *long-pref*[OF *lcp-pref*, of  $u v$ ] **unfolding** *lcp-pref-conv*[*symmetric*].

**lemma** *lcp-len'*:  $\neg u \leq_p v \implies |u \wedge_p v| < |u|$   
**using** *not-le-imp-less*[OF *contrapos-nn*[OF - *lcp-len*]].

**lemma** *incomp-lcp-len*:  $\neg u \bowtie v \implies |u \wedge_p v| < \min |u| |v|$   
**using** *lcp-len'*[of  $u v$ ] *lcp-len'*[of  $v u$ ] **unfolding** *lcp-sym*[of  $v$ ] *min-less-iff-conj*  
**by** *blast*

**lemma** *lcp-ext-right-conv*:  $\neg r \bowtie r' \implies (r \cdot u) \wedge_p (r' \cdot v) = r \wedge_p r'$   
**unfolding** *prefix-comparable-def*  
**by** (*induct r r'* *rule: list-induct2'*) *simp-all*

**lemma** *lcp-ext-right* [*case-names comp non-comp*]: **obtains**  $r \bowtie r' \mid (r \cdot u) \wedge_p (r' \cdot v) = r \wedge_p r'$   
**using** *lcp-ext-right-conv* **by** *blast*

**lemma** *lcp-same-len*:  $|u| = |v| \implies u \neq v \implies u \cdot w \wedge_p v \cdot w' = u \wedge_p v$

**using** *pref-comp-eq* **by** (*cases rule: lcp-ext-right*) (*elim notE*)

**lemma** *lcp-mismatch*:  $|u \wedge_p v| < |u| \implies |u \wedge_p v| < |v| \implies u! |u \wedge_p v| \neq v! |u \wedge_p v|$   
**by** (*induct u v rule: list-induct2'*) *auto*

**lemma** *lcp-mismatch'*:  $\neg u \bowtie v \implies u! |u \wedge_p v| \neq v! |u \wedge_p v|$   
**using** *incomp-lcp-len lcp-mismatch unfolding min-less-iff-conj..*

**lemma** *lcp-mismatchE*: **assumes**  $\neg us \bowtie vs$   
**obtains**  $us' vs'$   
**where**  $(us \wedge_p vs) \cdot us' = us$  **and**  $(us \wedge_p vs) \cdot vs' = vs$  **and**  
 $us' \neq \varepsilon$  **and**  $vs' \neq \varepsilon$  **and**  $hd\ us' \neq hd\ vs'$   
**proof** –  
**obtain**  $us' vs'$  **where**  $us: (us \wedge_p vs) \cdot us' = us$  **and**  $vs: (us \wedge_p vs) \cdot vs' = vs$   
**using** *prefixE[OF lcp-pref prefixE[OF lcp-pref']]*  
**unfolding** *eq-commute[of x.y for x y]*.  
**with**  $\langle \neg us \bowtie vs \rangle$  **have**  $us' \neq \varepsilon$  **and**  $vs' \neq \varepsilon$   
**unfolding** *prefix-comparable-def lcp-pref-conv[symmetric] lcp-sym[of vs]*  
**by** *fastforce+*  
**hence**  $us! |us \wedge_p vs| = hd\ us'$  **and**  $vs! |us \wedge_p vs| = hd\ vs'$   
**using** *hd-lq-conv-nth[OF triv-spref, symmetric] unfolding lq-triv*  
**unfolding** *arg-cong[OF us[symmetric], of nth] arg-cong[OF vs[symmetric], of nth]*  
**by** *blast+*  
**from** *lcp-mismatch'[OF <math>\langle \neg us \bowtie vs \rangle</math>, unfolded this]*  
**have**  $hd\ us' \neq hd\ vs'$ .  
**from** *that[OF us vs <math>\langle us' \neq \varepsilon \rangle \langle vs' \neq \varepsilon \rangle</math> this]*  
**show** *thesis.*  
**qed**

**lemma** *lcp-mismatch-lq*: **assumes**  $\neg u \bowtie v$   
**shows**  
 $(u \wedge_p v)^{-1} > u \neq \varepsilon$  **and**  
 $(u \wedge_p v)^{-1} > v \neq \varepsilon$  **and**  
 $hd\ ((u \wedge_p v)^{-1} > u) \neq hd\ ((u \wedge_p v)^{-1} > v)$   
**proof**–  
**from** *lcp-mismatchE[OF assms]*  
**obtain**  $su sv$  **where**  $(u \wedge_p v) \cdot su = u$  **and**  
 $(u \wedge_p v) \cdot sv = v$  **and**  $su \neq \varepsilon$  **and**  $sv \neq \varepsilon$  **and**  $hd\ su \neq hd\ sv$ .  
**thus**  $(u \wedge_p v)^{-1} > u \neq \varepsilon$  **and**  $(u \wedge_p v)^{-1} > v \neq \varepsilon$  **and**  $hd\ ((u \wedge_p v)^{-1} > u) \neq hd\ ((u \wedge_p v)^{-1} > v)$   
**using** *lqI[OF <math>\langle (u \wedge\_p v) \cdot su = u \rangle</math> lqI[OF <math>\langle (u \wedge\_p v) \cdot sv = v \rangle</math>]* **by** *blast+*  
**qed**

**lemma** *lcp-ext-left*:  $(z \cdot u) \wedge_p (z \cdot v) = z \cdot (u \wedge_p v)$   
**by** (*induct z*) *auto*

**lemma** *lcp-first-letters*:  $u!0 \neq v!0 \implies u \wedge_p v = \varepsilon$

by (induct u v rule: list-induct2') auto

**lemma** *lcp-first-mismatch*:  $a \neq b \implies w \cdot [a] \cdot u \wedge_p w \cdot [b] \cdot v = w$   
 by (simp add: lcp-ext-left)

**lemma** *lcp-first-mismatch'*:  $a \neq b \implies u \cdot [a] \wedge_p u \cdot [b] = u$   
 using *lcp-first-mismatch*[of a b u  $\varepsilon$   $\varepsilon$ ] by simp

**lemma** *lcp-mismatch-eq-len*: **assumes**  $|u| = |v|$   $x \neq y$  **shows**  $u \cdot [x] \wedge_p v \cdot [y] = u \wedge_p v$   
 using *lcp-self* *lcp-first-mismatch'*[OF  $\langle x \neq y \rangle$ ] *lcp-same-len*[OF  $\langle |u| = |v| \rangle$ ]  
 by (cases  $u = v$ ) auto

**lemma** *lcp-first-mismatch-pref*: **assumes**  $p \cdot [a] \leq_p u$  **and**  $p \cdot [b] \leq_p v$  **and**  $a \neq b$   
**shows**  $u \wedge_p v = p$   
 using *assms*(1-2) *lcp-first-mismatch*[OF  $\langle a \neq b \rangle$ ]  
 unfolding *prefix-def* *assoc* by blast

**lemma** *lcp-append-monotone*:  $u \wedge_p x \leq_p (u \cdot v) \wedge_p (x \cdot y)$   
 by (simp add: lcp.mono)

**lemma** *lcp-distinct-hd*:  $hd\ u \neq hd\ v \implies u \wedge_p v = \varepsilon$   
 using *pref-hd-eq*[OF *lcp-pref* *lcp-pref'*] by blast

**lemma** *nemp-lcp-distinct-hd*: **assumes**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **and**  $u \wedge_p v = \varepsilon$   
**shows**  $hd\ u \neq hd\ v$   
**proof**  
 assume  $hd\ u = hd\ v$   
 from *lcp-ext-left*[of [hd u] tl u tl v,  
 unfolded *hd-tl*[OF  $\langle u \neq \varepsilon \rangle$ ] *hd-tl*[OF  $\langle v \neq \varepsilon \rangle$ , folded this]]  
 show False  
 using  $\langle u \wedge_p v = \varepsilon \rangle$  by simp  
**qed**

**lemma** *lcp-lenI*: **assumes**  $i < \min |u| |v|$  **and**  $take\ i\ u = take\ i\ v$  **and**  $u!i \neq v!i$   
**shows**  $i = |u \wedge_p v|$   
**proof**–  
 have  $u: take\ i\ u \cdot [u!i] \cdot drop\ (Suc\ i)\ u = u$   
 using  $\langle i < \min |u| |v| \rangle$  *id-take-nth-drop*[of i u] by simp  
 have  $v: take\ i\ u \cdot [v!i] \cdot drop\ (Suc\ i)\ v = v$   
 using  $\langle i < \min |u| |v| \rangle$   
 unfolding  $\langle take\ i\ u = take\ i\ v \rangle$  using *id-take-nth-drop*[of i v] by force  
 from *lcp-first-mismatch*[OF  $\langle u!i \neq v!i \rangle$ , of  $take\ i\ u\ drop\ (Suc\ i)\ u\ drop\ (Suc\ i)\ v$ , unfolded u v]  
 have  $u \wedge_p v = take\ i\ u$ .  
 thus ?thesis  
 using  $\langle i < \min |u| |v| \rangle$  by auto  
**qed**



**lemma** *lcp-prefs*:  $|u \cdot w \wedge_p v \cdot w'| < |u| \implies |u \cdot w \wedge_p v \cdot w'| < |v| \implies u \wedge_p v = u \cdot w \wedge_p v \cdot w'$

**by** (*induct u v rule: list-induct2'*) *auto*

**lemma** *lcp-extend-eq*: **assumes**  $u \leq_p v$  **and**  $u' \leq_p v'$  **and**

$|v \wedge_p v'| \leq |u|$  **and**  $|v \wedge_p v'| \leq |u'|$

**shows**  $u \wedge_p u' = v \wedge_p v'$

**proof**–

**consider**  $|v \wedge_p v'| = |u| \mid |v \wedge_p v'| = |u'| \mid |v \wedge_p v'| < |u| \wedge |v \wedge_p v'| < |u'|$

**using** *assms(3-4)* **by force**

**thus** *?thesis*

**proof** (*cases*)

**assume**  $|v \wedge_p v'| = |u|$

**from** *ruler-eq-len[OF longest-common-prefix-prefix1  $\langle u \leq_p v \rangle$  this]*

**have**  $u \leq_p u'$

**using** *prefix-length-prefix[OF longest-common-prefix-prefix2 assms(2,4)]* **by**

*blast*

**thus** *?thesis*

**unfolding**  $\langle v \wedge_p v' = u \rangle$  *lcp-pref-conv*.

**next**

**assume**  $|v \wedge_p v'| = |u'|$

**from** *ruler-eq-len[OF longest-common-prefix-prefix2  $\langle u' \leq_p v' \rangle$  this]*

**have**  $u' \leq_p u$

**using** *prefix-length-prefix[OF longest-common-prefix-prefix1 assms(1,3)]* **by**

*blast*

**thus** *?thesis*

**unfolding**  $\langle v \wedge_p v' = u' \rangle$  *lcp-pref-conv'*.

**next**

**assume**  $|v \wedge_p v'| < |u| \wedge |v \wedge_p v'| < |u'|$

**thus** *?thesis*

**using** *lcp-prefs[of u  $u^{-1} > v u' u'^{-1} > v'$ , unfolded lq-pref[OF  $\langle u \leq_p v \rangle$ ] lq-pref[OF  $\langle u' \leq_p v' \rangle$ ]]*

**by** *blast*

**qed**

**qed**

**lemma** *long-lcp-same*: **assumes**  $\neg (u \wedge_p v \leq_p w)$  **shows**  $u \wedge_p w = v \wedge_p w$

**proof**–

**have**  $v \wedge_p w \leq_p u$

**using** *ruler[OF lcp-pref' lcp-pref', of u v w] assms* **unfolding** *lcp-sym[of v]* **by**

*force*

**have**  $u \wedge_p w \leq_p v$

**using** *ruler[OF lcp-pref lcp-pref, of u v w] assms* **by force**

**show** *?thesis*

**unfolding** *prefix-order.eq-iff*

**using**  $\langle v \wedge_p w \leq_p u \rangle \langle u \wedge_p w \leq_p v \rangle$  **by force**

**qed**

**lemma** *long-lcp-sameE*: **obtains**  $u \wedge_p v \leq_p w \mid u \wedge_p w = v \wedge_p w$

using *long-lcp-same* by *blast*

**lemma** *ruler-spref-lcp*: **assumes**  $u \wedge_p w <_p v \wedge_p w$   
**shows**  $u \wedge_p v = u \wedge_p w$

**proof**–

**have**  $\neg v \wedge_p w \leq_p u$   
**using** *prefix-order.leD*[*of*  $v \wedge_p w u \wedge_p w$ ] *assms* **by** *force*  
**from** *long-lcp-same*[*OF this*]  
**show** *?thesis*  
**unfolding** *lcp-sym*[*of*  $u$ ].

**qed**

## 2.9.1 Longest common prefix and prefix comparability

**find-theorems** *name:ruler*

**lemma** *lexord-cancel-right*:  $(u \cdot z, v \cdot w) \in \text{lexord } r \implies \neg u \bowtie v \implies (u, v) \in \text{lexord } r$

**unfolding** *prefix-comparable-def*  
**by** (*induction rule: list-induct2'*) *auto*

**lemma** *lcp-rulersE*: **assumes**  $r \leq_p s$  **and**  $r' \leq_p s'$  **obtains**  $r \bowtie r' \mid s \wedge_p s' = r \wedge_p r'$

**by** (*cases rule: lcp-ext-right*[*of*  $r^{-1} > s r'^{-1} > s'$ ] (*assumption, simp only: assms lq-pref*))

**lemma** *lcp-rulers*:  $r \leq_p s \implies r' \leq_p s' \implies (r \bowtie r' \vee s \wedge_p s' = r \wedge_p r')$

**by** (*cases rule: lcp-ext-right*[*of*  $r^{-1} > s r'^{-1} > s'$ ], *blast*) (*meson lcp-rulersE*)

**lemma** *lcp-rulers'*:  $w \leq_p r \implies w' \leq_p s \implies \neg w \bowtie w' \implies (r \wedge_p s) = w \wedge_p w'$

**using** *lcp-rulers* **by** *blast*

**lemma** *lcp-ruler*:  $r \bowtie w1 \implies r \bowtie w2 \implies \neg w1 \bowtie w2 \implies r \leq_p w1 \wedge_p w2$

**unfolding** *prefix-comparable-def* **by** (*meson pref-pref-lcp pref-trans ruler*)

**lemma** *comp-monotone*:  $w \bowtie r \implies u \leq_p w \implies u \bowtie r$

**using** *pref-compI1*[*OF pref-trans*] *ruler'* **by** (*elim pref-compE*)

**lemma** *comp-monotone'*:  $w \bowtie r \implies w \wedge_p w' \bowtie r$

**using** *comp-monotone*[*OF - lcp-pref*].

**lemma** *double-ruler-aux*: **assumes**  $w \bowtie r$  **and**  $w' \bowtie r'$  **and**  $\neg r \bowtie r'$  **and**  $|w| \leq |w'|$

**shows**  $w \wedge_p w' = \text{take } |w| (r \wedge_p r')$

**proof**–

**have** *prefI*:  $w \wedge_p w' \leq_p r \wedge_p r'$

**using** *comp-monotone'*[*OF*  $\langle w' \bowtie r' \rangle$ ] *lcp-ruler*[*OF comp-monotone'*[*OF*  $\langle w \bowtie r \rangle$ ] -  $\langle \neg r \bowtie r' \rangle$ ]

**unfolding** *lcp-sym*[*of*  $w'$ ] **by** *simp*

**show** *?thesis*

**proof** (*cases*)  
**assume**  $w \bowtie w'$   
**hence**  $w \wedge_p w' = w$   
**using**  $\langle |w| \leq |w'| \rangle$   
**by** (*simp add: comp-shorter lcp.absorb1*)  
**show** *?thesis*  
**using** *pref-take[OF pref1, symmetric]* **unfolding**  $\langle w \wedge_p w' = w \rangle$ .  
**next**  
**assume**  $\neg w \bowtie w'$   
**hence** *pref2:  $r \wedge_p r' \leq_p w \wedge_p w'$*   
**using** *comp-monotone'[OF  $\langle w' \bowtie r' \rangle$ ][symmetric]] lcp-ruler[OF comp-monotone'[OF  $\langle w \bowtie r \rangle$ ][symmetric]] -  $\langle \neg w \bowtie w' \rangle$*   
**unfolding** *lcp-sym[of r']* **by** *simp*  
**hence**  $w \wedge_p w' = r \wedge_p r'$   
**using** *pref1 pref-antisym* **by** *blast*  
**then show** *?thesis*  
**using** *lcp-take len-take2 take-all-iff* **by** *metis*  
**qed**  
**qed**

**lemma** *double-ruler: assumes  $w \bowtie r$  and  $w' \bowtie r'$  and  $\neg r \bowtie r'$*   
**shows**  $w \wedge_p w' = \text{take}(\min |w| |w'|)(r \wedge_p r')$   
**by** (*cases |w| |w'| rule: le-cases*)  
*(use double-ruler-aux[OF assms] double-ruler-aux[OF assms(2,1) assms(3)][symmetric],*  
*unfolded lcp-sym[of r'] lcp-sym[of w']*  
**in** *linarith*)**+**

**hide-fact** *double-ruler-aux*

**lemmas** *pref-lcp-iff = lcp.bounded-iff*

**lemma** *pref-comp-ruler: assumes  $w \bowtie u \cdot [x]$  and  $w \bowtie v \cdot [y]$  and  $x \neq y$  and  $|u| = |v|$*   
**shows**  $w \leq_p u \wedge w \leq_p v$   
**using** *double-ruler[OF  $\langle w \bowtie u \cdot [x] \rangle \langle w \bowtie v \cdot [y] \rangle$  mismatch-incopm[OF  $\langle |u| = |v| \rangle \langle x \neq y \rangle$ ]]*  
*take-is-prefix lcp-self lcp-mismatch-eq-len[OF  $\langle |u| = |v| \rangle \langle x \neq y \rangle$ ]* **pref-lcp-iff** **by** *metis*

**lemma** *comp-per-partes:*

**shows**  $(u \bowtie w \wedge v \bowtie u^{-1} > w) \longleftrightarrow u \cdot v \bowtie w$

**proof**

**assume**  $u \cdot v \bowtie w$

**from** *comp-monotone[OF - triv-pref, OF this] append-comp-lq[OF this]*

**show**  $u \bowtie w \wedge v \bowtie u^{-1} > w$

**by** *blast*

**next**

**assume** *c2:  $u \bowtie w \wedge v \bowtie u^{-1} > w$*

**hence**  $u \cdot v \bowtie u \cdot u^{-1} > w$

**unfolding comp-cancel by blast**  
**show**  $u \cdot v \bowtie w$   
**by** (rule *pref-compE*[*OF conjunct1*[*OF c2*]], use  $\langle u \cdot v \bowtie u \cdot u^{-1} \cdot w \rangle$  **in**  
*force,blast*)  
**qed**

**lemmas** *scomp-per-partes* = *comp-per-partes*[*reversed*]

## 2.9.2 Longest common suffix

**definition** *longest-common-suffix* ( $\langle - \wedge_s - \rangle$  [61,62] 64)  
**where**

*longest-common-suffix*  $u \ v \equiv \text{rev } ( \text{rev } u \wedge_p \text{rev } v )$

**lemma** *lcs-lcp* [*reversal-rule*]:  $\text{rev } u \wedge_p \text{rev } v = \text{rev } ( u \wedge_s v )$   
**unfolding** *longest-common-suffix-def* *rev-rev-ident..*

**lemmas** *lcs-simp* = *lcp-simps*[*reversed*] **and**  
*lcs-sym* = *lcp-sym*[*reversed*] **and**  
*lcs-suf* = *lcp-pref*[*reversed*] **and**  
*lcs-suf'* = *lcp-pref'*[*reversed*] **and**  
*suf-suf-lcs* = *pref-pref-lcp*[*reversed*] **and**  
*suf-non-suf-lcs-suf* = *pref-non-pref-lcp-pref*[*reversed*] **and**  
*lcs-drop-eq* = *lcp-take-eq*[*reversed*] **and**  
*lcs-take* = *lcp-take*[*reversed*] **and**  
*lcs-take'* = *lcp-take'*[*reversed*] **and**  
*lcs-suf-conv* = *lcp-pref-conv*[*reversed*] **and**  
*lcs-suf-conv'* = *lcp-pref-conv'*[*reversed*] **and**  
*lcs-per-root* = *lcp-per-root*[*reversed*] **and**  
*lcs-per-root'* = *lcp-per-root'*[*reversed*] **and**  
*suf-lcs-suf* = *pref-lcp-pref*[*reversed*] **and**  
*suf-lcs-suf'* = *pref-lcp-pref'*[*reversed*] **and**  
*lcs-self*[*simp*] = *lcp-self*[*reversed*] **and**  
*lcs-eq-len* = *lcp-eq-len*[*reversed*] **and**  
*lcs-len* = *lcp-len*[*reversed*] **and**  
*lcs-len'* = *lcp-len'*[*reversed*] **and**  
*suf-incomp-lcs-len* = *incomp-lcp-len*[*reversed*] **and**  
*lcs-ext-left-conv* = *lcp-ext-right-conv*[*reversed*] **and**  
*lcs-ext-left* [*case-names comp non-comp*] = *lcp-ext-right*[*reversed*] **and**  
*lcs-same-len* = *lcp-same-len*[*reversed*] **and**  
*lcs-mismatch* = *lcp-mismatch*[*reversed*] **and**  
*lcs-mismatch'* = *lcp-mismatch'*[*reversed*] **and**  
*lcs-mismatchE* = *lcp-mismatchE*[*reversed*] **and**  
*lcs-mismatch-rq* = *lcp-mismatch-lq*[*reversed*] **and**  
*lcs-ext-right* = *lcp-ext-left*[*reversed*] **and**  
*lcs-first-mismatch* = *lcp-first-mismatch*[*reversed, unfolded rassoc*] **and**  
*lcs-first-mismatch'* = *lcp-first-mismatch'*[*reversed, unfolded rassoc*] **and**  
*lcs-mismatch-eq-len* = *lcp-mismatch-eq-len*[*reversed*] **and**  
*lcs-first-mismatch-suf* = *lcp-first-mismatch-pref*[*reversed*] **and**

$lcs\text{-rulers} = lcp\text{-rulers}[reversed]$  **and**  
 $lcs\text{-rulers}' = lcp\text{-rulers}'[reversed]$  **and**  
 $suf\text{-suf}\text{-lcs}' = lcp.\text{mono}[reversed]$  **and**  
 $lcs\text{-distinct}\text{-last} = lcp\text{-distinct}\text{-hd}[reversed]$  **and**  
 $lcs\text{-len}I = lcp\text{-len}I[reversed]$  **and**  
 $lcs\text{-sufs} = lcp\text{-prefs}[reversed]$

**lemmas**  $lcs\text{-ruler} = lcp\text{-ruler}[reversed]$  **and**  
 $suf\text{-comp}\text{-monotone} = comp\text{-monotone}[reversed]$  **and**  
 $suf\text{-comp}\text{-monotone}' = comp\text{-monotone}'[reversed]$  **and**  
 $double\text{-ruler}\text{-suf} = double\text{-ruler}[reversed]$  **and**  
 $suf\text{-lcs}\text{-iff} = pref\text{-lcp}\text{-iff}[reversed]$  **and**  
 $suf\text{-comp}\text{-ruler} = pref\text{-comp}\text{-ruler}[reversed]$

## 2.10 Mismatch

The first pair of letters on which two words/lists disagree

**function**  $mismatch\text{-pair} :: 'a\ list \Rightarrow 'a\ list \Rightarrow ('a \times 'a)$  **where**  
 $mismatch\text{-pair} \ \varepsilon \ v = (\varepsilon!0, v!0) \mid$   
 $mismatch\text{-pair} \ v \ \varepsilon = (v!0, \varepsilon!0) \mid$   
 $mismatch\text{-pair} \ (a\#u) \ (b\#v) = (if \ a=b \ then \ mismatch\text{-pair} \ u \ v \ else \ (a,b))$   
**using**  $shuffles.cases$  **by**  $blast+$

**termination**

**by**  $(relation\ measure \ (\lambda \ (t,s). \ length \ t), \ simp\text{-all})$

Alternatively, mismatch pair may be defined using the longest common prefix as follows.

**lemma**  $mismatch\text{-pair}\text{-lcp}: mismatch\text{-pair} \ u \ v = (u!|u \wedge_p v|, v!|u \wedge_p v|)$   
**by**  $(induction \ u \ v \ rule: \ mismatch\text{-pair}.induct) \ simp\text{-all}$

For incomparable words the pair is out of diagonal.

**lemma**  $incomp\text{-neg}: \neg \ u \ \bowtie \ v \implies (mismatch\text{-pair} \ u \ v) \notin Id$   
**unfolding**  $mismatch\text{-pair}\text{-lcp}$  **by**  $(simp \ add: \ lcp\text{-mismatch}')$

**lemma**  $mismatch\text{-ext}\text{-left}: \neg \ u \ \bowtie \ v \implies mismatch\text{-pair} \ u \ v = mismatch\text{-pair} \ (p \cdot u)$   
 $(p \cdot v)$

**unfolding**  $mismatch\text{-pair}\text{-lcp}$  **by**  $(simp \ add: \ lcp\text{-ext}\text{-left})$

**lemma**  $mismatch\text{-ext}\text{-right}: \text{assumes} \ \neg \ u \ \bowtie \ v$   
**shows**  $mismatch\text{-pair} \ u \ v = mismatch\text{-pair} \ (u \cdot z) \ (v \cdot w)$

**proof**–

**have**  $less1: |u \wedge_p v| < |u|$  **and**  $less2: |v \wedge_p u| < |v|$   
**using**  $lcp\text{-len}'[of \ u \ v] \ lcp\text{-len}'[of \ v \ u] \ assms$  **by**  $auto$

**show**  $?thesis$

**unfolding**  $mismatch\text{-pair}\text{-lcp}$  **unfolding**  $pref\text{-index}[OF \ triv\text{-pref} \ less1, \ of \ z]$   
 $pref\text{-index}[OF \ triv\text{-pref} \ less2, \ of \ w, \ unfolded \ lcp\text{-sym}[of \ v]]$   
**using**  $assms \ lcp\text{-ext}\text{-right}[of \ u \ v - z \ w]$  **by**  $metis$

qed

**lemma mismatchI:**  $\neg u \bowtie v \implies i < \min |u| |v| \implies \text{take } i \ u = \text{take } i \ v \implies u!i \neq v!i$   
 $\implies \text{mismatch-pair } u \ v = (u!i, v!i)$   
**unfolding** *mismatch-pair-lcp* **using** *lcp-lenI* **by** *blast*

For incomparable words, the mismatch letters work in a similar way as the lexicographic order

**lemma mismatch-lexord:** **assumes**  $\neg u \bowtie v$  **and** *mismatch-pair*  $u \ v \in r$   
**shows**  $(u, v) \in \text{lexord } r$   
**unfolding** *lexord-take-index-conv* *mismatch-pair-lcp*  
**using**  $\langle \text{mismatch-pair } u \ v \in r \rangle [\text{unfolded } \text{mismatch-pair-lcp}]$   
*incomp-lcp-len*[*OF* *assms(1)*] *lcp-take-eq* **by** *blast*

However, the equivalence requires  $r$  to be irreflexive. (Due to the definition of *lexord* which is designed for irreflexive relations.)

**lemma lexord-mismatch:** **assumes**  $\neg u \bowtie v$  **and** *irrefl*  $r$   
**shows**  $\text{mismatch-pair } u \ v \in r \longleftrightarrow (u, v) \in \text{lexord } r$   
**proof**  
**assume**  $(u, v) \in \text{lexord } r$   
**obtain**  $i$  **where**  $i < \min |u| |v|$  **and**  $\text{take } i \ u = \text{take } i \ v$  **and**  $(u!i, v!i) \in r$   
**using**  $\langle (u, v) \in \text{lexord } r \rangle [\text{unfolded } \text{lexord-take-index-conv}] \langle \neg u \bowtie v \rangle \text{pref-take-conv}$   
**by** *blast*  
**have**  $u!i \neq v!i$   
**using**  $\langle \text{irrefl } r \rangle [\text{unfolded } \text{irrefl-def}] \langle (u!i, v!i) \in r \rangle$  **by** *fastforce*  
**from**  $\langle (u!i, v!i) \in r \rangle [\text{folded } \text{mismatchI}[\text{OF } \langle \neg u \bowtie v \rangle \langle i < \min |u| |v| \rangle \langle \text{take } i \ u = \text{take } i \ v \rangle \langle u!i \neq v!i \rangle]]$   
**show**  $\text{mismatch-pair } u \ v \in r$ .  
**next**  
**from** *mismatch-lexord*[*OF*  $\langle \neg u \bowtie v \rangle$ ]  
**show**  $\text{mismatch-pair } u \ v \in r \implies (u, v) \in \text{lexord } r$ .  
qed

## 2.11 Factor properties

**lemmas** [*simp*] = *sublist-Cons-right*

**lemma** *rev-fac*[*reversal-rule*]:  $\text{rev } u \leq f \text{ rev } v \longleftrightarrow u \leq f v$   
**using** *Sublist.sublist-rev*.

**lemma** *fac-pref*:  $u \leq f v \equiv \exists p. p \cdot u \leq p v$   
**by** (*simp* *add*: *prefix-def* *fac-def*)

**lemma** *fac-pref-suf*:  $u \leq f v \implies \exists p. p \leq p v \wedge u \leq s p$   
**using** *sublist-altdef* **by** *blast*

**lemma** *pref-suf-fac*:  $r \leq p v \implies u \leq s r \implies u \leq f v$

**using** *sublist-altdef* **by** *blast*

**lemmas**

*fac-suf* = *fac-pref*[*reversed*] **and**  
*fac-suf-pref* = *fac-pref-suf*[*reversed*] **and**  
*suf-pref-fac* = *pref-suf-fac*[*reversed*]

**lemma** *suf-pref-eq*:  $s \leq s \ p \implies p \leq p \ s \implies p = s$   
**using** *sublist-order.order.eq-iff* **by** *blast*

**lemma** *fac-triv*:  $p \cdot x \cdot q = x \implies p = \varepsilon$   
**using** *long-pref[OF prefI suf-len']* **unfolding** *append-self-conv2* *rassoc*.

**lemma** *fac-triv'*:  $p \cdot x \cdot q = x \implies q = \varepsilon$   
**using** *fac-triv*[*reversed*] **unfolding** *rassoc*.

**lemmas**

*suf-fac* = *suffix-imp-sublist* **and**  
*pref-fac* = *prefix-imp-sublist*

**lemma** *fac-ConsE*: **assumes**  $u \leq f \ (a \# v)$   
**obtains**  $u \leq p \ (a \# v) \mid u \leq f \ v$   
**using** *assms* **unfolding** *sublist-Cons-right*  
**by** *blast*

**lemmas**

*fac-snocE* = *fac-ConsE*[*reversed*]

**lemma** *fac-elim-suf*: **assumes**  $f \leq f \ m \cdot s \ \neg \ f \leq f \ s$   
**shows**  $f \leq f \ m \cdot (\text{take } (|f| - 1) \ s)$   
**using** *assms*

**proof**(*induction s rule:rev-induct*)

**case** (*snoc s ss*)

**have**  $\neg \ f \leq f \ ss$

**using**  $\langle \neg \ f \leq f \ ss \cdot [s] \rangle$ [*unfolded sublist-append*] **by** *blast*

**show** *?case*

**proof**(*cases*)

**assume**  $f \leq f \ m \cdot ss$

**hence**  $f \leq f \ m \cdot \text{take } (|f| - 1) \ ss$

**using**  $\langle \neg \ f \leq f \ ss \rangle$  *snoc.IH* **by** *blast*

**then show** *?thesis*

**unfolding** *take-append lassoc* **using** *append-assoc sublist-append* **by** *metis*

**next**

**assume**  $\neg \ f \leq f \ m \cdot ss$

**hence**  $f \leq s \ m \cdot ss \cdot [s]$

**using** *snoc.prems(1)*[*unfolded lassoc sublist-snoc, unfolded rassoc*] **by** *blast*

**from** *suf-prod-le*[*OF this, THEN suffix-imp-sublist*]  $\langle \neg \ f \leq f \ ss \cdot [s] \rangle$

**have**  $|ss \cdot [s]| < |f|$

```

    by linarith
    from this Suc-less-iff-Suc-le length-append-singleton[of ss s]
    show ?thesis
    using snoc.prems(1) take-all-iff by metis
  qed
qed auto

lemmas fac-elim-pref = fac-elim-suf[reversed]

lemma fac-elim: assumes  $f \leq f p \cdot m \cdot s$  and  $\neg f \leq f p$  and  $\neg f \leq f s$ 
  shows  $f \leq f (\text{drop } (|p| - (|f| - 1)) p) \cdot m \cdot (\text{take } (|f| - 1) s)$ 
  using fac-elim-suf[OF fac-elim-pref[OF  $\langle f \leq f p \cdot m \cdot s \rangle$ , unfolded lassoc], unfolded rassoc, OF assms(2-3)].

lemma fac-ext-pref:  $u \leq f w \implies u \leq f p \cdot w$ 
  by (meson sublist-append)

lemma fac-ext-suf:  $u \leq f w \implies u \leq f w \cdot s$ 
  by (meson sublist-append)

lemma fac-ext:  $u \leq f w \implies u \leq f p \cdot w \cdot s$ 
  by (meson fac-ext-pref fac-ext-suf)

lemma fac-ext-hd:  $u \leq f w \implies u \leq f a \# w$ 
  by (metis sublist-Cons-right)

lemma card-switch-fac: assumes  $2 \leq \text{card } (\text{set } ws)$ 
  obtains  $c d$  where  $c \neq d$  and  $[c, d] \leq f ws$ 
  using assms
proof (induct ws, force)
  case (Cons a ws)
  then show ?case
  proof (cases)
    assume  $2 \leq \text{card } (\text{set } ws)$ 
    from Cons.hyps[OF - this] Cons.prems(1) fac-ext-hd
    show thesis by metis
  next
    assume  $\neg 2 \leq \text{card } (\text{set } ws)$ 
    have  $ws \neq \varepsilon$ 
    using  $\langle 2 \leq \text{card } (\text{set } (a \# ws)) \rangle$  by force
    hence  $a = \text{hd } ws \implies \text{set } (a \# ws) = \text{set } ws$ 
    using hd-Cons-tl[OF  $\langle ws \neq \varepsilon \rangle$ ] by force
    hence  $a \neq \text{hd } ws$ 
    using  $\langle 2 \leq \text{card } (\text{set } (a \# ws)) \rangle \langle \neg 2 \leq \text{card } (\text{set } ws) \rangle$  by force
    from Cons.prems(1)[OF this]
    show thesis
    using Cons-eq-appendI[OF - hd-tl[OF  $\langle ws \neq \varepsilon \rangle$ , symmetric]] sublist-append-rightI
  by blast
qed

```



qed

**lemma** *fac-overlap-len*: **assumes**  $u \leq_f x \cdot y \cdot z$  **and**  $|u| \leq |y|$

**shows**  $u \leq_f x \cdot y \vee u \leq_f y \cdot z$

**proof**–

**obtain**  $s\ p$  **where**  $eq: x \cdot y \cdot z = p \cdot u \cdot s$

**using**  $\langle u \leq_f x \cdot y \cdot z \rangle$  **unfolding** *fac-def* **by** *blast*

**show** *?thesis*

**proof** (*rule le-cases*)

**assume**  $|p| \leq |x|$

**from** *add-le-mono*[*OF this*  $\langle |u| \leq |y| \rangle$ ]

**have**  $|p \cdot u| \leq |x \cdot y|$

**unfolding** *lenmorph.*

**from** *eq-le-pref*[*OF eq*[*symmetric, unfolded lassoc*] *this*]

**have**  $u \leq_f x \cdot y$

**using** *fac-pref* **by** *blast*

**thus** *?thesis* **by** *blast*

**next**

**assume**  $|x| \leq |p|$

**from** *eqd*[*OF eq this*]

**show**  $u \leq_f x \cdot y \vee u \leq_f y \cdot z$

**unfolding** *fac-def* **by** *metis*

qed

qed

## 2.12 Power and its properties

Word powers are often investigated in Combinatorics on Words. We thus interpret words as *monoid-mult* and adopt a notation for the word power.

**primrec** *list-power* :: 'a list  $\Rightarrow$  nat  $\Rightarrow$  'a list (**infixr**  $\langle^{\textcircled{a}}\rangle$  80)

**where**

*pow-0*:  $u^{\textcircled{a}} 0 = \varepsilon$

| *pow-Suc*:  $u^{\textcircled{a}} \text{Suc } n = u \cdot u^{\textcircled{a}} n$

**term** *power.power*

**context**

**begin**

**interpretation** *monoid-mult*  $\varepsilon$  *append*

**rewrites** *power*  $u\ n = u^{\textcircled{a}} n$

**proof**–  
 show *class.monoid-mult*  $\varepsilon (\cdot)$   
 by (*unfold-locales*, *simp-all*)  
 show *power.power*  $\varepsilon (\cdot) u n = u^{\textcircled{a}} n$   
 unfolding *power.power-def list-power-def* by *blast*  
**qed**

— inherited power properties

**lemma** *emp-pow-emp[simp]*:  $r = \varepsilon \implies r^{\textcircled{a}} n = \varepsilon$   
 by *simp*

**lemma** *pow-pos:0 < k*  $\implies a^{\textcircled{a}} k = a \cdot a^{\textcircled{a}}(k-1)$   
 by (*simp add: power-eq-if*)

**lemma** *pow-pos':0 < k*  $\implies a^{\textcircled{a}} k = a^{\textcircled{a}}(k-1) \cdot a$   
 using *power-minus-mult* by *metis*

**lemma** *pow-diff: k < n*  $\implies a^{\textcircled{a}}(n - k) = a \cdot a^{\textcircled{a}}(n-k-1)$   
 by (*rule pow-pos*) *simp*

**lemma** *pow-diff': k < n*  $\implies a^{\textcircled{a}}(n - k) = a^{\textcircled{a}}(n-k-1) \cdot a$   
 by (*rule pow-pos'*) *simp*

**lemmas** *pow-zero = power.power-0* and  
*pow-one = power.Suc0-right* and  
*pow-1 = power-one-right* and  
*emp-pow[emp-simps] = power-one* and  
*pow-two[simp] = power2-eq-square* and  
*pow-Suc = power-Suc* and  
*pow-Suc' = power-Suc2* and  
*pow-comm = power-commutes* and  
*add-exps = power-add* and  
*pow-eq-if-list = power-eq-if* and  
*pow-mult = power-mult* and  
*comm-add-exp = power-commuting-commutes*

**lemma** *pow-rev-emp-conv[reversal-rule]*: *power.power* (*rev*  $\varepsilon$ )  $(\cdot) = (\textcircled{a})$   
 unfolding *power.power-def list-power-def* by *simp*

**lemma** *pow-rev-map-rev-emp-conv [reversal-rule]*: *power.power* (*rev* (*map rev*  $\varepsilon$ ))  
 $(\cdot) = (\textcircled{a})$   
 unfolding *power.power-def list-power-def* by *simp*  
**end**

**named-theorems** *exp-simps*

**lemmas** [*exp-simps*] = *pow-zero pow-one emp-pow*  
*numeral-nat less-eq-Suc-le neq0-conv pow-mult[symmetric]*

**named-theorems** *cow-simps*

**lemmas** [*cow-simps*] = *emp-simps exp-simps*

— more power properties

**lemma** *sing-Cons-to-pow*:  $[a, a] = [a]^{\textcircled{a}} \text{Suc} (\text{Suc } 0) a \# [a]^{\textcircled{a}} k = [a]^{\textcircled{a}} \text{Suc } k$   
**by** *simp-all*

**lemma** *zero-exp*:  $n = 0 \implies r^{\textcircled{a}} n = \varepsilon$   
**by** *simp*

**lemma** *nemp-pow*:  $t^{\textcircled{a}} m \neq \varepsilon \implies 0 < m$   
**using** *zero-exp* **by** *blast*

**lemma** *pow-nemp-pos*[*intro*]: **assumes**  $u = t^{\textcircled{a}} m u \neq \varepsilon$  **shows**  $0 < m$   
**using** *nemp-pow*[*OF*  $\langle u \neq \varepsilon \rangle$  [*unfolded*  $\langle u = t^{\textcircled{a}} m \rangle$ ]].

**lemma** *nemp-exp-pos*[*intro*]:  $w \neq \varepsilon \implies r^{\textcircled{a}} k = w \implies 0 < k$   
**using** *nemp-pow* **by** *blast*

**lemma** *nemp-exp-pos'*[*intro*]:  $w \neq \varepsilon \implies w = r^{\textcircled{a}} k \implies 0 < k$   
**using** *nemp-pow* **by** *blast*

**lemma** *nemp-pow-nemp*[*intro*]:  $t^{\textcircled{a}} m \neq \varepsilon \implies t \neq \varepsilon$   
**using** *emp-pow* **by** *auto*

**lemma** *sing-pow-nth*:  $i < m \implies ([a]^{\textcircled{a}} m) ! i = a$   
**by** (*induct* *i m* *rule: diff-induct*) *auto*

**lemma** *pow-is-concat-replicate*:  $u^{\textcircled{a}} n = \text{concat} (\text{replicate } n u)$   
**by** (*induct* *n*) *auto*

**lemma** *pow-slide*:  $u \cdot (v \cdot u)^{\textcircled{a}} n \cdot v = (u \cdot v)^{\textcircled{a}} (\text{Suc } n)$   
**by** (*induct* *n*) *simp+*

**lemma** *hd-pow*: **assumes**  $0 < n$  **shows**  $\text{hd}(u^{\textcircled{a}} n) = \text{hd } u$   
**unfolding** *pow-pos*[*OF*  $\langle 0 < n \rangle$ ] **using** *hd-append2* **by** (*cases*  $u = \varepsilon$ , *simp-all*)

**lemma** *pop-pow*:  $m \leq k \implies u^{\textcircled{a}} m \cdot u^{\textcircled{a}} (k-m) = u^{\textcircled{a}} k$   
**using** *le-add-diff-inverse* *add-exps* **by** *metis*

**lemma** *pop-pow-cancel*:  $u^{\textcircled{a}} k \cdot v = u^{\textcircled{a}} m \cdot w \implies m \leq k \implies u^{\textcircled{a}} (k-m) \cdot v = w$   
**using** *lassoc* *pop-pow*[*of*  $m k u$ ] *same-append-eq*[*of*  $u^{\textcircled{a}} m u^{\textcircled{a}} (k-m) \cdot v w$ , *unfolded* *lassoc*] **by** *argo*

**lemma** *pows-comm*:  $t^{\textcircled{a}} k \cdot t^{\textcircled{a}} m = t^{\textcircled{a}} m \cdot t^{\textcircled{a}} k$

**unfolding** *add-exps[symmetric]* *add.commute[of k]*..

**lemma** *comm-add-exps*: **assumes**  $r \cdot u = u \cdot r$  **shows**  $r^{\textcircled{m}} \cdot u^{\textcircled{k}} = u^{\textcircled{k}} \cdot r^{\textcircled{m}}$   
**using** *comm-add-exp[OF comm-add-exp[OF assms, symmetric], symmetric]*.

**lemma** *rev-pow*:  $\text{rev } (x^{\textcircled{m}}) = (\text{rev } x)^{\textcircled{m}}$   
**by** (*induct m, simp, simp add: pow-comm*)

**lemma** *pows-comp*:  $x^{\textcircled{i}} \bowtie x^{\textcircled{j}}$   
**unfolding** *prefix-comparable-def* **using** *ruler-eqE[OF pows-comm, of x i j]* **by**  
*blast*

**lemmas** *pows-suf-comp* = *pows-comp[reversed, folded rev-pow suffix-comparable-def]*

**lemmas** [*reversal-rule*] = *rev-pow[symmetric]*

**lemmas** *pow-eq-if-list'* = *pow-eq-if-list[reversed]* **and**  
*pop-pow-one'* = *pop-pos[reversed]* **and**  
*pop-pow'* = *pop-pow[reversed]* **and**  
*pop-pow-cancel'* = *pop-pow-cancel[reversed]*

**lemma** *pow-len*:  $|u^{\textcircled{k}}| = k * |u|$   
**by** (*induct k*) *simp+*

**lemma** *pow-set*:  $\text{set } (w^{\textcircled{\text{Suc } k}}) = \text{set } w$   
**by** (*induction k, simp-all*)

**lemma** *eq-pow-exp[simp]*: **assumes**  $u \neq \varepsilon$  **shows**  $u^{\textcircled{k}} = u^{\textcircled{m}} \longleftrightarrow k = m$   
**proof**  
**assume**  $k = m$  **thus**  $u^{\textcircled{k}} = u^{\textcircled{m}}$  **by** *simp*  
**next**  
**assume**  $u^{\textcircled{k}} = u^{\textcircled{m}}$   
**from** *lenarg[OF this, unfolded pow-len mult-cancel2]*  
**show**  $k = m$   
**using**  $\langle u \neq \varepsilon \rangle$  [*folded length-0-conv*] **by** *blast*  
**qed**

**lemma** *emp-pow-pos-emp* [*intro*]: **assumes**  $v^{\textcircled{j}} = \varepsilon$   $0 < j$  **shows**  $v = \varepsilon$   
**using** *pow-pos[OF <0 < j>, of v, unfolded <v^{\textcircled{j}} = \varepsilon>]* **by** *blast*

**lemma** *nemp-emp-pow*: **assumes**  $u \neq \varepsilon$  **shows**  $u^{\textcircled{m}} = \varepsilon \longleftrightarrow m = 0$   
**using** *eq-pow-exp[OF assms, of m 0, unfolded pow-zero]*.

**lemma** *nemp-pow-nemp-pos-conv*: **assumes**  $u \neq \varepsilon$  **shows**  $u^{\textcircled{m}} \neq \varepsilon \longleftrightarrow 0 < m$   
**unfolding** *nemp-emp-pow[OF assms]* **by** *blast*

**lemma** *nemp-Suc-pow-nemp*:  $u \neq \varepsilon \implies u^{\textcircled{\text{Suc } k}} \neq \varepsilon$   
**by** *simp*

**lemma nonzero-pow-emp:**  $0 < m \implies u^{\textcircled{m}} = \varepsilon \iff u = \varepsilon$   
**by** (cases  $u = \varepsilon$ , simp)  
 (use nemp-emp-pow[of  $u\ m$ ] **in** blast)

**lemma pow-eq-eq:**  
**assumes**  $u^{\textcircled{k}} = v^{\textcircled{k}}$  **and**  $0 < k$   
**shows**  $u = v$

**proof-**

**have**  $|u| = |v|$   
**using** lenarg[OF  $\langle u^{\textcircled{k}} = v^{\textcircled{k}} \rangle$ , unfolded pow-len]  $\langle 0 < k \rangle$  **by** simp  
**from** eqd-eq[of  $u\ u^{\textcircled{k-1}}\ v\ v^{\textcircled{k-1}}$ , OF - this]  
**show** ?thesis  
**using**  $\langle u^{\textcircled{k}} = v^{\textcircled{k}} \rangle$  **unfolding** pow-pos[OF  $\langle 0 < k \rangle$ ] **by** blast  
**qed**

**lemma Suc-pow-eq-eq[elim]:**  $u^{\textcircled{Suc\ k}} = v^{\textcircled{Suc\ k}} \implies u = v$   
**using** pow-eq-eq **by** blast

**lemma map-pow[simp]:**  $\text{map } f\ (r^{\textcircled{k}}) = (\text{map } f\ r)^{\textcircled{k}}$   
**by** (induct  $k$ , simp-all)

**lemmas** [reversal-rule] = map-pow[symmetric]

**lemma concat-pow[simp]:**  $\text{concat } (r^{\textcircled{k}}) = (\text{concat } r)^{\textcircled{k}}$   
**by** (induct  $k$ , simp-all)

**lemma concat-sing-pow[simp]:**  $\text{concat } ([a]^{\textcircled{k}}) = a^{\textcircled{k}}$   
**unfolding** concat-pow concat-sing'..

**lemma sing-pow-empty:**  $[a]^{\textcircled{n}} = \varepsilon \iff n = 0$   
**using** nemp-emp-pow[OF list.simps(3), of -  $\varepsilon$ ].

**lemma sing-pow-lists:**  $a \in A \implies [a]^{\textcircled{n}} \in \text{lists } A$   
**by** (induct  $n$ , auto)

**lemma long-pow:**  $r \neq \varepsilon \implies m \leq |r^{\textcircled{m}}|$   
**unfolding** pow-len[of  $r\ m$ ] **using** nemp-le-len[of  $r$ ] **by** simp

**lemma long-pow-exp':**  $r \neq \varepsilon \implies m < |r^{\textcircled{Suc\ m}}|$   
**using** Suc-le-lessD long-pow **by** blast

**lemma long-pow-expE:** **assumes**  $r \neq \varepsilon$  **obtains**  $n$  **where**  $m \leq |r^{\textcircled{Suc\ n}}|$   
**using** long-pow-exp'[OF  $\langle r \neq \varepsilon \rangle$ ] nat-less-le **by** blast

**lemma pref-pow-ext:**  $x \leq_p r^{\textcircled{k}} \implies x \leq_p r^{\textcircled{Suc\ k}}$   
**using** pref-trans[OF - prefI[OF pow-Suc'[symmetric]]].

**lemma pref-pow-ext':**  $u \leq_p r^{\textcircled{k}} \implies u \leq_p r \cdot r^{\textcircled{k}}$   
**using** pref-pow-ext[unfolded pow-Suc].

**lemma** *pref-pow-root-ext*:  $x \leq_p r^{\textcircled{a}}k \implies r \cdot x \leq_p r^{\textcircled{a}}\text{Suc } k$   
**by** *simp*

**lemma** *pref-prod-root*:  $u \leq_p r^{\textcircled{a}}k \implies u \leq_p r \cdot u$   
**using** *pref-pow-ext'*[*THEN* *pref-prod-pref*].

**lemma** *le-exps-pref*:  $k \leq l \implies r^{\textcircled{a}}k \leq_p r^{\textcircled{a}}l$   
**using** *leI pop-pow*[*of k l r*] **by** *blast*

**lemma** *pref-exp-le*: **assumes**  $u \neq \varepsilon$   $u^{\textcircled{a}}m \leq_p u^{\textcircled{a}}n$  **shows**  $m \leq n$   
**using** *mult-cancel-le*[*OF nemp-len*[*OF*  $\langle u \neq \varepsilon \rangle$ ], *of m n*]  
*prefix-length-le*[*OF*  $\langle u^{\textcircled{a}}m \leq_p u^{\textcircled{a}}n \rangle$ , *unfolded pow-len*[*of u m*] *pow-len*[*of u n*]]  
**by** *blast*

**lemma** *sing-exp-pref-iff*: **assumes**  $a \neq b$   
**shows**  $[a]^{\textcircled{a}}i \leq_p [a]^{\textcircled{a}}k \cdot [b] \cdot w \longleftrightarrow i \leq k$

**proof**

**assume**  $i \leq k$

**thus**  $[a]^{\textcircled{a}}i \leq_p [a]^{\textcircled{a}}k \cdot [b] \cdot w$

**using** *pref-ext*[*OF le-exps-pref*[*OF*  $\langle i \leq k \rangle$ ]] **by** *blast*

**next**

**have**  $\neg [a]^{\textcircled{a}}i \leq_p [a]^{\textcircled{a}}k \cdot [b] \cdot w$  **if**  $\neg i \leq k$

**proof** (*rule notI*)

**assume**  $[a]^{\textcircled{a}}i \leq_p [a]^{\textcircled{a}}k \cdot [b] \cdot w$

**hence**  $k < i$  **and**  $0 < i - k$  **using**  $\langle \neg i \leq k \rangle$  **by** *force+*

**from** *pop-pow*[*OF less-imp-le*, *OF this(1)*]

**have**  $[a]^{\textcircled{a}}k \cdot [a]^{\textcircled{a}}(i - k) = [a]^{\textcircled{a}}i$ .

**from**  $\langle [a]^{\textcircled{a}}i \leq_p [a]^{\textcircled{a}}k \cdot [b] \cdot w \rangle$  [*folded this, unfolded pref-cancel-conv*]

*pow-pos*[*OF*  $\langle 0 < i - k \rangle$ ]]

**show** *False*

**using**  $\langle a \neq b \rangle$  **by** *simp*

**qed**

**thus**  $[a]^{\textcircled{a}}i \leq_p [a]^{\textcircled{a}}k \cdot [b] \cdot w \implies i \leq k$

**by** *blast*

**qed**

**lemmas**

*suf-pow-ext* = *pref-pow-ext*[*reversed*] **and**

*suf-pow-ext'* = *pref-pow-ext'*[*reversed*] **and**

*suf-pow-root-ext* = *pref-pow-root-ext*[*reversed*] **and**

*suf-prod-root* = *pref-prod-root*[*reversed*] **and**

*suf-exps-pow* = *le-exps-pref*[*reversed*] **and**

*suf-exp-le* = *pref-exp-le*[*reversed*] **and**

*sing-exp-suf-iff* = *sing-exp-pref-iff*[*reversed*]

**lemma** *comm-common-power*: **assumes**  $r \cdot u = u \cdot r$  **shows**  $r^{\textcircled{a}}|u| = u^{\textcircled{a}}|r|$   
**using** *eqd-eq*[*OF comm-add-exps*[*OF*  $\langle r \cdot u = u \cdot r \rangle$ ], *of |u| |r|*]  
**unfolding** *pow-len* **by** *fastforce*

**lemma** *one-generated-list-power*:  $u \in \text{lists } \{x\} \implies \exists k. \text{concat } u = x^{\textcircled{k}}$   
**by** (*induction*  $u$  *rule*: *lists.induct*, *unfold* *concat.simps(1)*, *use* *pow-zero*[*of*  $x$ , *symmetric*]) **in** *fast*,  
*unfold* *concat.simps(2)*)  
*(use* *pow-Suc*[*symmetric*, *of*  $x$ ] *singletonD* **in** *metis*)

**lemma** *pow-lists*: **assumes**  $0 < k$  **shows**  $u^{\textcircled{k}} \in \text{lists } B \implies u \in \text{lists } B$   
**unfolding** *pow-Suc*[*of*  $u$   $k-1$ , *unfolded* *Suc-minus-pos*[*OF*  $\langle 0 < k \rangle$ ]] **by** *simp*

**lemma** *concat-morph-power*:  $xs \in \text{lists } B \implies xs = ts^{\textcircled{k}} \implies \text{concat } ts^{\textcircled{k}} = \text{concat } xs$   
**by** (*induct*  $k$  *arbitrary*:  $xs$   $ts$ ) *simp-all*

**lemma** *per-exp-pref*:  $u \leq_p r \cdot u \implies u \leq_p r^{\textcircled{k}} \cdot u$   
**proof** (*induct*  $k$ )  
**case** (*Suc*  $k$ ) **show** *?case*  
*unfolding* *pow-Suc* *rassoc*  
**using** *Suc.hyps* *Suc.prem*s *pref-prolong* **by** *blast*  
**qed** *simp*

**lemmas**  
*per-exp-suf* = *per-exp-pref*[*reversed*]

**lemma** *hd-sing-pow*:  $k \neq 0 \implies \text{hd } ([a]^{\textcircled{k}}) = a$   
**by** (*induction*  $k$ ) *simp+*

**lemma** *sing-pref-comp-mismatch*:  
**assumes**  $b \neq a$  **and**  $c \neq a$  **and**  $[a]^{\textcircled{k}} \cdot [b] \bowtie [a]^{\textcircled{l}} \cdot [c]$   
**shows**  $k = l \wedge b = c$   
**proof**  
**show**  $k = l$   
**using** *assms*  
**proof** (*induction*  $k$   $l$  *rule*: *diff-induct*)  
**show**  $b \neq a \implies c \neq a \implies [a]^{\textcircled{x}} \cdot [b] \bowtie [a]^{\textcircled{0}} \cdot [c] \implies x = 0$  **for**  $x$   
**by** (*rule* *ccontr*, *elim* *not0-SucE*) *fastforce*  
**qed** (*simp* *add:prefix-comparable-def*)  
**show**  $b = c$   
**using** *assms(3)* **unfolding**  $\langle k = l \rangle$  **by** *auto*  
**qed**

**lemma** *sing-pref-comp-lcp*: **assumes**  $r \neq s$  **and**  $a \neq b$  **and**  $a \neq c$   
**shows**  $[a]^{\textcircled{r}} \cdot [b] \cdot u \wedge_p [a]^{\textcircled{s}} \cdot [c] \cdot v = [a]^{\textcircled{(\min r s)}}$   
**proof**–  
**have**  $r \neq s \implies [a]^{\textcircled{r}} \cdot [b] \cdot u \wedge_p [a]^{\textcircled{s}} \cdot [c] \cdot v = [a]^{\textcircled{(\min r s)}}$   
**proof** (*rule* *diff-induct*[*of*  $\lambda r s. r \neq s \implies [a]^{\textcircled{r}} \cdot [b] \cdot u \wedge_p [a]^{\textcircled{s}} \cdot [c] \cdot v = [a]^{\textcircled{(\min r s)}}$ ])

**have**  $[a]^{\textcircled{a}} \text{Suc } (x - 1) \cdot [b] \cdot u \wedge_p [c] \cdot v = [a]^{\textcircled{a}} \text{min } x \ 0$  **if**  $x \neq 0$  **for**  $x$   
**unfolding** *pow-Suc min-0R exp-simps rassoc* **by** (*simp add: ‹a ≠ c›*)  
**thus**  $x \neq 0 \longrightarrow [a]^{\textcircled{a}} x \cdot [b] \cdot u \wedge_p [a]^{\textcircled{a}} 0 \cdot [c] \cdot v = [a]^{\textcircled{a}} \text{min } x \ 0$  **for**  $x$  **by**  
*force*  
**show**  $0 \neq \text{Suc } y \longrightarrow [a]^{\textcircled{a}} 0 \cdot [b] \cdot u \wedge_p [a]^{\textcircled{a}} \text{Suc } y \cdot [c] \cdot v = [a]^{\textcircled{a}} \text{min } 0$  (*Suc*  
*y*) **for**  $y$   
**unfolding** *pow-Suc min-0L exp-simps rassoc* **using**  $\langle a \neq b \rangle$  **by** *auto*  
**show**  $x \neq y \longrightarrow [a]^{\textcircled{a}} x \cdot [b] \cdot u \wedge_p [a]^{\textcircled{a}} y \cdot [c] \cdot v = [a]^{\textcircled{a}} \text{min } x \ y \implies$   
 $\text{Suc } x \neq \text{Suc } y \longrightarrow [a]^{\textcircled{a}} \text{Suc } x \cdot [b] \cdot u \wedge_p [a]^{\textcircled{a}} \text{Suc } y \cdot [c] \cdot v = [a]^{\textcircled{a}}$   
*min (Suc x) (Suc y)* **for**  $x \ y$   
**unfolding** *pow-Suc rassoc min-Suc-Suc* **by** *simp*  
**qed**  
**with** *assms*  
**show** *?thesis* **by** *blast*  
**qed**

**lemmas** *sing-suf-comp-mismatch = sing-pref-comp-mismatch[reversed]*

**lemma** *exp-pref-cancel*: **assumes**  $t^{\textcircled{a}} m \cdot y = t^{\textcircled{a}} k$  **shows**  $y = t^{\textcircled{a}} (k - m)$   
**using** *lqI[of t<sup>ⓐ</sup> m t<sup>ⓐ</sup> (k-m) t<sup>ⓐ</sup> k]* **unfolding** *lqI[OF ‹t<sup>ⓐ</sup> m · y = t<sup>ⓐ</sup> k›]*  
**using** *nat-le-linear[of m k]* *pop-pow[of m k t]* *diff-is-0-eq[of k m]* *append.right-neutral[of*  
*t<sup>ⓐ</sup> k]* *pow-zero[of t]*  
*pref-antisym[of t<sup>ⓐ</sup> m t<sup>ⓐ</sup> k, OF prefI[OF ‹t<sup>ⓐ</sup> m · y = t<sup>ⓐ</sup> k›] le-exps-pref[of k m t]]]*  
**by** *presburger*

**lemmas** *exp-suf-cancel = exp-pref-cancel[reversed]*

**lemma** *index-pow-mod*:  $i < |r^{\textcircled{a}} k| \implies (r^{\textcircled{a}} k)!i = r!(i \text{ mod } |r|)$   
**proof** (*induction k*)  
**have** *aux*:  $|r^{\textcircled{a}} (\text{Suc } l)| = |r^{\textcircled{a}} l| + |r|$  **for**  $l$   
**by** *simp*  
**have** *aux1*:  $|r^{\textcircled{a}} l| \leq i \implies i < |r^{\textcircled{a}} l| + |r| \implies i \text{ mod } |r| = i - |r^{\textcircled{a}} l|$  **for**  $l$   
**unfolding** *pow-len[of r l]* **using** *less-diff-conv2[of l \* |r| i |r|, unfolded add.commute[of*  
*|r| l \* |r|]]]*  
*get-mod[of i - l \* |r| |r| l]* *le-add-diff-inverse[of l \* |r| i]* **by** *argo*  
**case** (*Suc k*)  
**show** *?case*  
**unfolding** *aux sym[OF pow-Suc'[symmetric]] nth-append le-mod-geq*  
**using** *aux1[ OF - Suc.premis[unfolded aux]]*  
*Suc.IH pow-Suc'[symmetric] Suc.premis[unfolded aux] leI[of i |r<sup>ⓐ</sup> k]]* **by**  
*presburger*  
**qed** *auto*

**lemma** *sing-pow-len [simp]*:  $|[r]^{\textcircled{a}} l| = l$   
**by** (*induct l*) *auto*

**lemma** *take-sing-pow*:  $k \leq l \implies \text{take } k \ ([r]^{\textcircled{a}} l) = [r]^{\textcircled{a}} k$   
**proof** (*induct k*)  
**case** (*Suc k*)



**have**  $k < |[r]^{\textcircled{a}}l|$  **using** *Suc-le-lessD*[*OF*  $\langle \text{Suc } k \leq l \rangle$ ] **unfolding** *sing-pow-len*.  
**from** *take-Suc-conv-app-nth*[*OF* *this*]  
**show** *?case*  
**unfolding** *Suc.hyps*[*OF* *Suc-leD*[*OF*  $\langle \text{Suc } k \leq l \rangle$ ]] *pow-Suc'*  
**unfolding** *sing-pow-nth*[*OF* *Suc-le-lessD*[*OF*  $\langle \text{Suc } k \leq l \rangle$ ]].  
**qed** *simp*

**lemma** *concat-take-sing*: **assumes**  $k \leq l$  **shows**  $\text{concat } (\text{take } k \ ([r]^{\textcircled{a}}l)) = r^{\textcircled{a}}k$   
**unfolding** *take-sing-pow*[*OF*  $\langle k \leq l \rangle$ ] **using** *concat-sing-pow*.

**lemma** *unique-letter-word*: **assumes**  $\bigwedge c. c \in \text{set } w \implies c = a$  **shows**  $w = [a]^{\textcircled{a}}|w|$   
**using** *assms* **proof** (*induction* *w*)  
**case** (*Cons* *b w*)  
**have**  $[a]^{\textcircled{a}}|w| = w$  **using** *Cons.IH*[*OF* *Cons.prem*s[*OF* *list.set-intros*(2)]]..  
**then show**  $b \neq w = [a]^{\textcircled{a}}|b \# w|$   
**unfolding** *Cons.prem*s[*OF* *list.set-intros*(1)] **by** *auto*  
**qed** *simp*

**lemma** *card-set-le-1-imp-hd-pow*: **assumes**  $\text{card } (\text{set } u) \leq 1$  **shows**  $[\text{hd } u]^{\textcircled{a}}|u| = u$   
**proof** (*cases*  $u = \varepsilon$ )  
**assume**  $u \neq \varepsilon$   
**then have**  $\text{card } (\text{set } u) = 1$  **using**  $\langle \text{card } (\text{set } u) \leq 1 \rangle$   
**unfolding** *le-less* *less-one* *card-0-eq*[*OF* *finite-set*] *set-empty* **by** *blast*  
**then have**  $\text{set } u = \{\text{hd } u\}$  **using** *hd-in-set*[*OF*  $\langle u \neq \varepsilon \rangle$ ]  
**by** (*elim* *card-1-singletonE*) *simp*  
**then show**  $[\text{hd } u]^{\textcircled{a}}|u| = u$   
**by** (*intro* *unique-letter-word*[*symmetric*]) *blast*  
**qed** *simp*

**lemma** *unique-letter-wordE'*[*elim*]: **assumes**  $(\forall c. c \in \text{set } w \longrightarrow c = a)$  **obtains**  $k$  **where**  $w = [a]^{\textcircled{a}}k$   
**using** *unique-letter-word* *assms* **by** *metis*

**lemma** *unique-letter-wordE''*[*elim*]: **assumes**  $\text{set } w \subseteq \{a\}$  **obtains**  $k$  **where**  $w = [a]^{\textcircled{a}}k$   
**using** *assms* *unique-letter-word*[*of* *w* *a*] **by** *blast*

**lemma** *unique-letter-wordE*[*elim*]: **assumes**  $\text{set } w = \{a\}$  **obtains**  $k$  **where**  $w = [a]^{\textcircled{a}}\text{Suc } k$   
**proof**–  
**have**  $w \neq \varepsilon$  **using** *assms* **by** *force*  
**obtain**  $l$  **where**  $w = [a]^{\textcircled{a}}l$   
**using** *unique-letter-wordE''*[*of* *w* *a* *thesis*] *assms* **by** *force*  
**with**  $\langle w \neq \varepsilon \rangle$   
**have**  $l \neq 0$   
**by** *blast*  
**show** *thesis*  
**using** *that*[*of*  $l-1$ ] **unfolding**  $\langle w = [a]^{\textcircled{a}}l \rangle$  *Suc-minus*[*OF*  $\langle l \neq 0 \rangle$ ] **by** *blast*

qed

**lemma** *conjug-pow*:  $x \cdot z = z \cdot y \implies x^{\textcircled{k}} \cdot z = z \cdot y^{\textcircled{k}}$   
by (*induct k*) *fastforce+*

**lemma** *lq-conjug-pow*: **assumes**  $p \leq p$   $x \cdot p$  **shows**  $p^{-1} \triangleright (x^{\textcircled{k}} \cdot p) = (p^{-1} \triangleright (x \cdot p))^{\textcircled{k}}$   
**using** *lqI*[*OF sym*[*OF conjug-pow*[*of x p p<sup>-1</sup> >*( $x \cdot p$ )], *OF sym*[*OF lq-pref*[*OF <p*  
 $\leq p$   $x \cdot p$ ]], *of k*]]].

**lemmas** *rq-conjug-pow* = *lq-conjug-pow*[*reversed*]

**lemma** *pow-pref-root-one*: **assumes**  $0 < k$  **and**  $r \neq \varepsilon$  **and**  $r^{\textcircled{k}} \leq p$   $r$   
**shows**  $k = 1$   
**unfolding** *eq-pow-exp*[*OF <r*  $\neq \varepsilon$ ], *of k 1*, *symmetric*] *pow-1*  
**using**  $\langle r^{\textcircled{k}} \leq p$   $r \rangle$  *triv-pref*[*of r r<sup>Ⓢ</sup>(k-1)*, *folded pow-pos*[*OF <0*  $< k$ ]]] **by** *auto*

**lemma** *count-list-pow*: *count-list* ( $w^{\textcircled{k}}$ )  $a = k * (\text{count-list } w$   $a)$   
by (*induction k*, *simp*, *simp*)

**lemma** *comp-pows-pref*: **assumes**  $v \neq \varepsilon$  **and**  $(u \cdot v)^{\textcircled{k}} \cdot u \leq p$   $(u \cdot v)^{\textcircled{m}}$  **shows**  
 $k \leq m$   
**using** *pref-exp-le*[*OF - pref-extD*[*OF assms(2)*]] *assms(1)* **by** *blast*

**lemma** *comp-pows-pref'*: **assumes**  $v \neq \varepsilon$  **and**  $(u \cdot v)^{\textcircled{k}} \leq p$   $(u \cdot v)^{\textcircled{m}} \cdot u$  **shows**  
 $k \leq m$

**proof**(*rule ccontr*)  
**assume**  $\neg k \leq m$   
**hence** *Suc m*  $\leq k$  **by** *simp*  
**from** *le-exps-pref*[*OF this*, *unfolded pow-Suc*']  
**have**  $(u \cdot v)^{\textcircled{m}} \cdot (u \cdot v) \leq p$   $(u \cdot v)^{\textcircled{k}}$ .  
**from** *pref-trans*[*OF this assms(2)*]  $\langle v \neq \varepsilon \rangle$   
**show** *False* **by** *auto*

qed

**lemma** *comp-pows-not-pref*:  $\neg (u \cdot v)^{\textcircled{k}} \cdot u \leq p$   $(u \cdot v)^{\textcircled{m}} \implies m \leq k$   
by (*induction k m rule: diff-induct*) *auto*

**lemma** *comp-pows-spref*:  $u^{\textcircled{k}} < p$   $u^{\textcircled{m}} \implies k < m$   
by (*induction k m rule: diff-induct*) *auto*

**lemma** *comp-pows-spref-ext*:  $(u \cdot v)^{\textcircled{k}} \cdot u < p$   $(u \cdot v)^{\textcircled{m}} \implies k < m$   
by (*induction k m rule: diff-induct*) *auto*

**lemma** *comp-pows-pref-zero*:  $(u \cdot v)^{\textcircled{k}} < p$   $u \implies k = 0$   
by (*induct k*) *auto*

**lemma** *comp-pows-spref'*:  $(u \cdot v)^{\textcircled{k}} < p$   $(u \cdot v)^{\textcircled{m}} \cdot u \implies k < \text{Suc } m$   
by (*induction k m rule: diff-induct*, *simp-all add: comp-pows-pref-zero*)

**lemmas** *comp-pows-suf* = *comp-pows-pref*[reversed] **and**  
*comp-pows-suf'* = *comp-pows-pref'*[reversed] **and**  
*comp-pows-not-suf* = *comp-pows-not-pref*[reversed] **and**  
*comp-pows-ssuf* = *comp-pows-spref*[reversed] **and**  
*comp-pows-ssuf-ext* = *comp-pows-spref-ext*[reversed] **and**  
*comp-pows-suf-zero* = *comp-pows-pref-zero*[reversed] **and**  
*comp-pows-ssuf'* = *comp-pows-spref'*[reversed]

### 2.12.1 Comparison

**named-theorems** *shifts*

**lemma** *shift-pow*[*shifts*]:  $(u \cdot v)^{\textcircled{k}} \cdot u = u \cdot (v \cdot u)^{\textcircled{k}}$

**using** *conjug-pow*[*OF rassoc*].

**lemma**[*shifts*]:  $(u \cdot v)^{\textcircled{k}} \cdot u \cdot z = u \cdot (v \cdot u)^{\textcircled{k}} \cdot z$

**by** (*simp add: shift-pow*)

**lemma**[*shifts*]:  $u^{\textcircled{k}} \cdot u \cdot z = u \cdot u^{\textcircled{k}} \cdot z$

**by** (*simp add: conjug-pow*)

**lemma**[*shifts*]:  $r^{\textcircled{k}} \leq_p r \cdot r^{\textcircled{k}}$

**by** (*simp add: pow-comm*[*symmetric*])

**lemma** [*shifts*]:  $r^{\textcircled{k}} \leq_p r \cdot r^{\textcircled{k}} \cdot z$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc* **by** *blast*

**lemma** [*shifts*]:  $(r \cdot q)^{\textcircled{k}} \leq_p r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot z$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc* **by** *simp*

**lemma** [*shifts*]:  $(r \cdot q)^{\textcircled{k}} \leq_p r \cdot q \cdot (r \cdot q)^{\textcircled{k}}$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc* **by** *simp*

**lemma**[*shifts*]:  $r^{\textcircled{k}} \cdot u \leq_p r \cdot r^{\textcircled{k}} \cdot v \longleftrightarrow u \leq_p r \cdot v$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc pref-cancel-conv..*

**lemma**[*shifts*]:  $u \cdot u^{\textcircled{k}} \cdot z = u^{\textcircled{k}} \cdot w \longleftrightarrow u \cdot z = w$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc cancel..*

**lemma**[*shifts*]:  $(r \cdot q)^{\textcircled{k}} \cdot u \leq_p r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot v \longleftrightarrow u \leq_p r \cdot q \cdot v$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc pref-cancel-conv..*

**lemma**[*shifts*]:  $(r \cdot q)^{\textcircled{k}} \cdot u = r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot v \longleftrightarrow u = r \cdot q \cdot v$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc cancel..*

**lemma**[*shifts*]:  $r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot v = (r \cdot q)^{\textcircled{k}} \cdot u \longleftrightarrow r \cdot q \cdot v = u$

**unfolding** *lassoc pow-comm*[*symmetric*] **unfolding** *rassoc cancel..*

**lemma** *shifts-spec* [*shifts*]:  $(u^{\textcircled{k}} \cdot v)^{\textcircled{l}} \cdot u \cdot u^{\textcircled{k}} \cdot z = u^{\textcircled{k}} \cdot (v \cdot u^{\textcircled{k}})^{\textcircled{l}} \cdot u \cdot z$

**unfolding** *lassoc cancel-right* **unfolding** *rassoc pow-comm*[*symmetric*]

**unfolding** *lassoc cancel-right shift-pow..*

**lemmas** [*shifts*] = *shifts-spec*[*of r \cdot q, unfolded rassoc*] **for**  $r \ q$

**lemmas** [*shifts*] = *shifts-spec*[*of r \cdot q - - - \varepsilon, unfolded rassoc emp-simps*] **for**  $r \ q$

**lemmas** [*shifts*] = *shifts-spec*[*of r \cdot q - r \cdot q, unfolded rassoc*] **for**  $r \ q$

**lemmas** [*shifts*] = *shifts-spec*[*of r \cdot q - r \cdot q - \varepsilon, unfolded rassoc emp-simps*] **for**  $r \ q$

**lemma**[*shifts*]:  $(u \cdot (v \cdot u)^{\textcircled{k}})^{\textcircled{j}} \cdot (u \cdot v)^{\textcircled{k}} = (u \cdot v)^{\textcircled{k}} \cdot (u \cdot (u \cdot v)^{\textcircled{k}})^{\textcircled{j}}$

**by** (*metis shift-pow*)

**lemma**[*shifts*]:  $(u \cdot (v \cdot u)^{\textcircled{k}} \cdot z)^{\textcircled{j}} \cdot (u \cdot v)^{\textcircled{k}} = (u \cdot v)^{\textcircled{k}} \cdot (u \cdot z \cdot (u \cdot v)^{\textcircled{k}})^{\textcircled{j}}$

**by** (*simp add: conjug-pow*)

**lemmas**[*shifts*] = *pow-comm cancel rassoc pow-Suc pref-cancel-conv suf-cancel-conv*

*add-exps cancel-right numeral-nat pow-zero emp-simps*  
**lemmas**[shifts] = *less-eq-Suc-le*  
**lemmas**[shifts] = *neq0-conv*  
**lemma** *shifts-hd-hd* [shifts]:  $a\#b\#v = [a] \cdot b\#v$   
**using** *hd-word*.  
**lemmas** [shifts] = *shifts-hd-hd[of - -  $\varepsilon$ ]*  
**lemma**[shifts]:  $n \leq k \implies x^{\textcircled{n}}k = x^{\textcircled{n}}(n + (k - n))$   
**by** *simp*  
**lemma**[shifts]:  $n < k \implies x^{\textcircled{n}}k = x^{\textcircled{n}}(n + (k - n))$   
**by** *simp*  
**lemmas**[shifts] = *cancel cancel-right pref-cancel-conv suf-cancel-conv triv-pref*  
**lemmas**[shifts] = *pow-diff*  
  
**lemmas** *shifts-rev* = *shifts[reversed]*  
  
**lemmas** *shift-simps* = *shifts shifts[reversed]*  
  
**method** *comparison* = ((*simp only: shifts; fail*) | (*simp only: shifts-rev; fail*))

## 2.13 Rotation

**lemma** *rotate-root-self*:  $\text{rotate } |r| (r^{\textcircled{n}}k) = r^{\textcircled{n}}k$   
**proof** (*cases r =  $\varepsilon$* )  
**assume**  $r \neq \varepsilon$   
**show** *?thesis*  
**proof** (*cases k*)  
**fix** *pred*  
**assume**  $k: k = \text{Suc } \text{pred}$   
**show** *?thesis*  
**unfolding** *k pow-Suc rotate-append pow-comm..*  
**qed** *simp*  
**qed** *simp*  
  
**lemma** *rotate-pow-self*:  $\text{rotate } (l*|u|) (u^{\textcircled{n}}k) = u^{\textcircled{n}}k$   
**proof**(*induct l*)  
**case** (*Suc l*)  
**show** *?case*  
**unfolding** *mult-Suc rotate-rotate[symmetric] Suc.hyps*  
**using** *rotate-root-self*.  
**qed** *simp*  
  
**lemma** *rotate-pow-mod*:  $\text{rotate } n (u^{\textcircled{n}}k) = \text{rotate } (n \bmod |u|) (u^{\textcircled{n}}k)$   
**using** *rotate-rotate[of n mod |u| n div |u| \* |u| u<sup>Ⓢ</sup>k, symmetric]*  
**unfolding** *rotate-pow-self[of n div |u| u k] div-mult-mod-eq[of n |u|, unfolded*  
*add.commute[of n div |u| \* |u| n mod |u|]*.  
  
**lemma** *rotate-conj-pow*:  $\text{rotate } |u| ((u \cdot v)^{\textcircled{n}}k) = (v \cdot u)^{\textcircled{n}}k$   
**by** (*induct k, simp, simp add: rotate-append shift-pow*)

**lemma** *rotate-pow-comm*:  $\text{rotate } n (u^{\textcircled{k}}) = (\text{rotate } n u)^{\textcircled{k}}$   
**proof** (*cases*  $u = \varepsilon$ )  
  **assume**  $u \neq \varepsilon$   
  **show** *?thesis*  
    **unfolding** *rotate-drop-take*[*of*  $n$   $u$ ] *rotate-pow-mod*[*of*  $n$   $u$   $k$ ]  
    **using** *rotate-conj-pow*[*of* *take*  $(n \bmod |u|)$   $u$  *drop*  $(n \bmod |u|)$   $u$   $k$ , *unfolded*  
*append-take-drop-id*[*of*  $n \bmod |u|$   $u$ ]]  
    **unfolding** *mod-le-divisor*[*of*  $|u|$   $n$ , *THEN* *take-len*, *OF*  $\langle u \neq \varepsilon \rangle$  [*unfolded length-greater-0-conv*[*symmetric*]]].  
**qed** *simp*

**lemmas** *rotate-pow-comm-two* = *rotate-pow-comm*[*of* - - 2, *unfolded pow-two*]

**lemma** *rotate-back*:  $\text{rotate } (|u| - n \bmod |u|) (\text{rotate } n u) = u$   
**proof** (*cases*  $u = \varepsilon$ )  
  **assume**  $u \neq \varepsilon$   
  **show** *?thesis*  
    **unfolding** *rotate-conv-mod*[*of*  $n$   $u$ ] *rotate-rotate*[*of*  $|u| - n \bmod |u|$   $n \bmod |u|$   $u$ ]  
    *le-add-diff-inverse2*[*OF* *mod-le-divisor*, *OF* *nemp-pos-len*[*OF*  $\langle u \neq \varepsilon \rangle$ ]]  
    **by** *simp*  
**qed** *simp*

**lemma** *rotate-backE*: **obtains**  $m$  **where**  $\text{rotate } m (\text{rotate } n u) = u$   
  **using** *rotate-back* **by** *blast*

**lemma** *rotate-back'*: **assumes**  $\text{rotate } m w = \text{rotate } n w$   
  **shows**  $\text{rotate } (m - n) w = w$   
**proof** (*cases*)  
  **assume**  $n \leq m$   
  **from** *rotate-backE* **obtain**  $k$  **where**  $\text{rotate } k (\text{rotate } n w) = w$ .  
  **hence**  $nk$ :  $\text{rotate } n (\text{rotate } k w) = w$   
    **unfolding** *rotate-rotate* *add commute*[*of* -  $k$ ].  
  **have**  $mn$ :  $\text{rotate } m (\text{rotate } k w) = (\text{rotate } n (\text{rotate } k w))$   
    **unfolding** *rotate-rotate* *add commute*[*of* -  $k$ ] **unfolding** *rotate-rotate*[*symmetric*]  
*assms..*  
  **have**  $\text{rotate } (m - n) (\text{rotate } n (\text{rotate } k w)) = \text{rotate } m (\text{rotate } k w)$   
    **unfolding** *rotate-rotate* **using**  $\langle n \leq m \rangle$  **by** *simp*  
  **from** *this*[*unfolded mn nk*]  
  **show** *?thesis*.  
**qed** *simp*

**lemma** *rotate-class-rotate'*:  $(\exists n. \text{rotate } n w = u) \iff (\exists n. \text{rotate } n (\text{rotate } l w) = u)$

**proof**  
  **obtain**  $m$  **where** *rot-m*:  $\text{rotate } m (\text{rotate } l w) = w$  **using** *rotate-backE*.  
  **assume**  $\exists n. \text{rotate } n w = u$   
  **then obtain**  $n$  **where** *rot-n*:  $\text{rotate } n w = u$  **by** *blast*  
  **show**  $\exists n. \text{rotate } n (\text{rotate } l w) = u$   
    **using** *exI*[*of*  $\lambda x. \text{rotate } x (\text{rotate } l w) = u$   $n+m$ , *OF*

*rotate-rotate[symmetric, of n m rotate l w, unfolded rot-m rot-n]*].

**next**  
**show**  $\exists n. \text{rotate } n (\text{rotate } l w) = u \implies \exists n. \text{rotate } n w = u$   
**using** *rotate-rotate[symmetric]* **by** *blast*  
**qed**

**lemma** *rotate-class-rotate*:  $\{u . \exists n. \text{rotate } n w = u\} = \{u . \exists n. \text{rotate } n (\text{rotate } l w) = u\}$   
**using** *rotate-class-rotate'* **by** *blast*

**lemma** *rotate-comp-eq*:  $w \bowtie \text{rotate } n w \implies \text{rotate } n w = w$   
**using** *pref-same-len[OF - length-rotate[of n w]]* *pref-same-len[OF - length-rotate[of n w, symmetric], symmetric]*  
**by** *blast*

**corollary** *mismatch-iff-lexord*: **assumes**  $\text{rotate } n w \neq w$  **and** *irrefl r*  
**shows** *mismatch-pair*  $w (\text{rotate } n w) \in r \longleftrightarrow (w, \text{rotate } n w) \in \text{lexord } r$   
**proof-**  
**have**  $\neg w \bowtie \text{rotate } n w$   
**using** *rotate-comp-eq*  $\langle \text{rotate } n w \neq w \rangle$   
**unfolding** *prefix-comparable-def* **by** *blast*  
**from** *lexord-mismatch[OF this <irrefl r>]*  
**show** *?thesis*.  
**qed**

## 2.14 Lists of words and their concatenation

The helpful lemmas of this section deal with concatenation of a list of words *concat*. The main objective is to cover elementary facts needed to study factorizations of words.

**lemma** *concat-take-is-prefix*:  $\text{concat}(\text{take } n ws) \leq_p \text{concat } ws$   
**using** *concat-morph[of take n ws drop n ws, symmetric, unfolded append-take-drop-id[of n ws], THEN prefI]*.

**lemma** *concat-take-Suc*: **assumes**  $j < |ws|$  **shows**  $\text{concat}(\text{take } j ws) \cdot ws!j = \text{concat}(\text{take } (\text{Suc } j) ws)$   
**unfolding** *take-Suc-conv-app-nth[OF <j < |ws|>]*  
**using** *sym[OF concat-append[of (take j ws) [ws ! j], unfolded concat.simps(2)[of ws!j ε, unfolded concat.simps(1) append-Nil2]]]*.

**lemma** *pref-mod-list*: **assumes**  $u <_p \text{concat } ws$   
**obtains**  $j r$  **where**  $j < |ws|$  **and**  $r <_p ws!j$  **and**  $\text{concat } (\text{take } j ws) \cdot r = u$   
**proof-**  
**have**  $|ws| \neq 0$   
**using** *assms* **by** *auto*  
**then obtain**  $l$  **where**  $\text{Suc } l = |ws|$   
**using** *Suc-pred* **by** *blast*  
**let**  $?P = \lambda j. u <_p \text{concat}(\text{take } (\text{Suc } j) ws)$

**have**  $?P\ l$   
**using** *assms*  $\langle \text{Suc } l = |ws| \rangle$  **by** *auto*  
**define**  $j$  **where**  $j = (\text{LEAST } j. ?P\ j)$  — smallest  $j$  such that  $\text{concat } (\text{take } (\text{Suc } j)\ ws) <_p u$   
**have**  $u <_p \text{concat}(\text{take } (\text{Suc } j)\ ws)$   
**using** *LeastI*[*of*  $?P$ , *OF*  $\langle ?P\ l \rangle$ ] **unfolding** *sym*[*OF* *j-def*].  
**have**  $j < |ws|$   
**using** *Least-le*[*of*  $?P$ , *OF*  $\langle ?P\ l \rangle$ ]  $\langle \text{Suc } l = |ws| \rangle$  **unfolding** *sym*[*OF* *j-def*]  
**by** *auto*  
**have**  $\text{concat}(\text{take } j\ ws) \leq_p u$   
**using** *Least-le*[*of*  $?P\ (j - \text{Suc } 0)$ , *unfolded sym*[*OF* *j-def*]]  
*ruler*[*OF* *concat-take-is-prefix sprefDI*[*OF* *assms*], *of*  $j$ ]  
**by** (*cases*  $j = 0$ , *simp*) *force*  
**from** *prefixE*[*OF* *this*]  
**obtain**  $r$  **where**  $u = \text{concat}(\text{take } j\ ws) \cdot r$ .  
**from**  $\langle u <_p \text{concat } (\text{take } (\text{Suc } j)\ ws) \rangle$  [*unfolded this*]  
**have**  $r <_p ws!j$   
**unfolding** *concat-take-Suc*[*OF*  $\langle j < |ws| \rangle$ , *symmetric*] *spref-cancel-conv*.  
**show** *thesis*  
**using** *that*[*OF*  $\langle j < |ws| \rangle$   $\langle r <_p ws!j \rangle$   $\langle u = \text{concat}(\text{take } j\ ws) \cdot r \rangle$  [*symmetric*]].  
**qed**

**thm** *prefI*

**lemma** *pref-mod-pow*: **assumes**  $u \leq_p w^{\textcircled{a}}l$  **and**  $w \neq \varepsilon$   
**obtains**  $k\ z$  **where**  $k \leq l$  **and**  $z <_p w$  **and**  $w^{\textcircled{a}}k \cdot z = u$   
**proof** (*cases*  $u = w^{\textcircled{a}}l$ )  
**assume**  $u \neq w^{\textcircled{a}}l$   
**from** *sprefI*[*OF*  $\langle u \leq_p w^{\textcircled{a}}l \rangle$  *this*]  
**have**  $u <_p w^{\textcircled{a}}l$ .  
**have**  $w^{\textcircled{a}}l = \text{concat } ([w]^{\textcircled{a}}l)$   
**by** *simp*  
**from** *pref-mod-list*[*of*  $u$   $[w]^{\textcircled{a}}l$ , *unfolded sing-pow-len concat-sing-pow*, *OF*  $\langle u <_p w^{\textcircled{a}}l \rangle$ ]  
**obtain**  $j\ r$  **where**  $j < l$   $r <_p ([w]^{\textcircled{a}}l) ! j$   $\text{concat } (\text{take } j\ ([w]^{\textcircled{a}}l)) \cdot r = u$ .  
**hence**  $j \leq l$  **and**  $r <_p w$  **and**  $w^{\textcircled{a}}j \cdot r = u$   
**unfolding** *sing-pow-nth*[*OF*  $\langle j < l \rangle$ ] *concat-take-sing*[*OF* *less-imp-le*[*OF*  $\langle j < l \rangle$ ]] **by** *auto*  
**from** *that*[*OF* *this*]  
**show** *thesis*.  
**qed** (*use emp-spref assms in blast*)

**lemma** *pref-mod-pow'*: **assumes**  $u <_p w^{\textcircled{a}}l$   
**obtains**  $k\ z$  **where**  $k < l$  **and**  $z <_p w$  **and**  $w^{\textcircled{a}}k \cdot z = u$   
**proof**—  
**have**  $w \neq \varepsilon$  **using** *assms* **by** *force*  
**from** *pref-mod-pow*[*OF* *sprefDI*[*OF* *assms*] *this*]  
**obtain**  $k\ z$  **where**  $k \leq l$   $z <_p w$   $w^{\textcircled{a}}k \cdot z = u$ .  
**note** *spref-extD*[*OF*  $\langle u <_p w^{\textcircled{a}}l \rangle$ ] [*folded*  $\langle w^{\textcircled{a}}k \cdot z = u \rangle$ ]]

**have**  $k < l$   
**using** *comp-pows-spref*[*OF*  $\langle w^{\textcircled{a}} k <_p w^{\textcircled{a}} l \rangle$ ].  
**from** *that*[*OF* *this*  $\langle z <_p w \rangle \langle w^{\textcircled{a}} k \cdot z = u \rangle$ ]  
**show** *thesis*.  
**qed**

**lemma** *split-pow*: **assumes**  $u \cdot v = w^{\textcircled{a}} k \ 0 < k \ v \neq \varepsilon$   
**obtains**  $p \ s \ i \ j$  **where**  $w = p \cdot s \ s \neq \varepsilon \ u = (p \cdot s)^{\textcircled{a}} i \cdot p \ v = (s \cdot p)^{\textcircled{a}} j \cdot s \ k = i + j + 1$

**proof**–  
**have**  $u <_p w^{\textcircled{a}} k$   
**using** *assms*(1,3) **by** *blast*  
**from** *pref-mod-pow'*[*OF* *this*]  
**obtain**  $ku \ p$  **where**  $ku < k \ p <_p w \ w^{\textcircled{a}} ku \cdot p = u$ .  
**from** *spref-exE*[*OF* *this*(2)]  
**obtain**  $s$  **where**  $p \cdot s = w \ s \neq \varepsilon$ .  
**obtain**  $kv$  **where**  $k = \text{Suc}(ku + kv)$   
**using** *less-imp-Suc-add*[*OF*  $\langle ku < k \rangle$ ] **by** *blast*  
**from**  $\langle u \cdot v = w^{\textcircled{a}} k \rangle$  [*folded this*[*symmetric*]  $\langle p \cdot s = w \rangle \langle w^{\textcircled{a}} ku \cdot p = u \rangle$ , *unfolded rassoc pow-Suc*]  
**have**  $v = s \cdot w^{\textcircled{a}} kv$   
**unfolding** *shifts* **unfolding** *lassoc shift-pow*[*symmetric*] **unfolding** *rassoc cancel*  $\langle p \cdot s = w \rangle$ .  
**show** *thesis*  
**using** *that*[*OF*  $\langle p \cdot s = w \rangle$ [*symmetric*]  $\langle s \neq \varepsilon \rangle \langle w^{\textcircled{a}} ku \cdot p = u \rangle$  [*folded*  $\langle p \cdot s = w \rangle$ , *symmetric*]  
 $\langle v = s \cdot w^{\textcircled{a}} kv \rangle$  [*folded*  $\langle p \cdot s = w \rangle$ , *folded shift-pow*]  $\langle k = \text{Suc}(ku + kv) \rangle$  [*unfolded Suc-eq-plus1*]].  
**qed**

**lemma** *del-emp-concat*:  $\text{concat } us = \text{concat } (\text{filter } (\lambda x. x \neq \varepsilon) us)$   
**by** (*induct us*) *simp+*

**lemma** *lists-minus*:  $us \in \text{lists } (C - A) \implies us \in \text{lists } C$   
**by** *blast*

**lemma** *lists-minus'*:  $us \in \text{lists } C \implies (\text{filter } (\lambda x. x \neq \varepsilon) us) \in \text{lists } (C - \{\varepsilon\})$   
**by** (*simp add: in-lists-conv-set*)

**lemma** *pref-concat-pref*:  $us \leq_p ws \implies \text{concat } us \leq_p \text{concat } ws$



by (auto simp add: prefix-def)

**lemmas** *suf-concat-suf* = *pref-concat-pref*[reversed]

**lemma** *concat-mono-fac*:  $us \leq_f ws \implies \text{concat } us \leq_f \text{concat } ws$   
**using** *concat-morph facE facI'* **by** *metis*

**lemma** *ruler-concat-less*: **assumes**  $us \leq_p ws$  **and**  $vs \leq_p ws$  **and**  $|\text{concat } us| < |\text{concat } vs|$   
**shows**  $us <_p vs$   
**using** *ruler*[OF  $\langle us \leq_p ws \rangle \langle vs \leq_p ws \rangle$ ] *pref-concat-pref*[of  $vs$   $us$ , THEN *prefix-length-le*]  $\langle |\text{concat } us| < |\text{concat } vs| \rangle$   
**by** *force*

**lemma** *concat-take-mono-strict*: **assumes**  $\text{concat } (\text{take } i \text{ } ws) <_p \text{concat } (\text{take } j \text{ } ws)$   
**shows**  $\text{take } i \text{ } ws <_p \text{take } j \text{ } ws$   
**using** *ruler-concat-less*[OF - - *prefix-length-less*, OF *take-is-prefix take-is-prefix assms*].

**lemma** *take-pp-less*: **assumes**  $\text{take } k \text{ } ws <_p \text{take } n \text{ } ws$  **shows**  $k < n$   
**using** *conjunct2*[OF *spreFD*[OF *assms*]]  
*leI*[of  $k$   $n$ , THEN[2] *le-take-pref*[of  $n$   $k$   $ws$ , THEN[2] *pref-antisym*[of  $\text{take } k \text{ } ws$   $\text{take } n \text{ } ws$ ]], OF *conjunct1*[OF *spreFD*[OF *assms*]]]  
**by** *blast*

**lemma** *concat-pp-less*: **assumes**  $\text{concat } (\text{take } k \text{ } ws) <_p \text{concat } (\text{take } n \text{ } ws)$  **shows**  $k < n$   
**using** *le-take-pref*[of  $n$   $k$   $ws$ , THEN *pref-concat-pref*] *conjunct1*[OF *spreFD*[OF *assms*]]  
*conjunct2*[OF *spreFD*[OF *assms*]] *pref-antisym*[of  $\text{concat } (\text{take } k \text{ } ws)$   $\text{concat } (\text{take } n \text{ } ws)$ ]  
**by** *fastforce*

**lemma** *take-le-take*:  $j \leq k \implies \text{take } j \text{ } (\text{take } k \text{ } xs) = \text{take } j \text{ } xs$   
**proof** (*rule disjE*[OF *le-less-linear*, of  $k$   $|xs|$ ])  
**assume**  $j \leq k$  **and**  $k \leq |xs|$   
**show** *?thesis*  
**using** *pref-share-take*[OF *take-is-prefix*, of  $j$   $k$   $xs$ , *unfolded take-len*[OF  $\langle k \leq |xs| \rangle$ , OF  $\langle j \leq k \rangle$ ].  
**qed** *simp*

**lemma** *concat-interval*: **assumes**  $\text{concat } (\text{take } k \text{ } vs) = \text{concat } (\text{take } j \text{ } vs) \cdot s$  **shows**  $\text{concat } (\text{drop } j \text{ } (\text{take } k \text{ } vs)) = s$   
**proof** (*rule disjE*[OF *le-less-linear*, of  $k$   $j$ ])  
**note** *eq1* = *assms*[*folded arg-cong*[OF *takedrop*[of  $j$   $\text{take } k \text{ } vs$ ], of *concat*, *unfolded concat-morph*]]  
**assume**  $j < k$   
**from** *eq1*[*unfolded take-le-take*[OF *less-imp-le*[OF *this*]]]  
**show** *?thesis*

**unfolding cancel.**  
**next**  
**note**  $eq1 = assms[folded\ arg-cong[OF\ takedrop[of\ j\ take\ k\ vs],\ of\ concat,\ unfolded\ concat-morph]]$   
**assume**  $k \leq j$   
**from**  $pref-concat-pref[OF\ le-take-pref,\ OF\ this,\ of\ vs,\ unfolded\ assms]$   
**have**  $s = \varepsilon$   
**by force**  
**from**  $drop-all[OF\ le-trans[OF\ len-take1\ \langle k \leq j \rangle],\ of\ vs]$   
**have**  $concat\ (drop\ j\ (take\ k\ vs)) = \varepsilon$   
**using**  $concat.simps(1)$  **by force**  
**with**  $\langle s = \varepsilon \rangle$   
**show**  $?thesis$  **by blast**  
**qed**

**lemma bin-lists-count-zero': assumes**  $ws \in lists\ \{x,y\}$  **and**  $count-list\ ws\ y = 0$   
**shows**  $ws \in lists\ \{x\}$   
**using**  $assms$   
**proof**  $(induct\ ws)$   
**case**  $(Cons\ a\ ws)$   
**have**  $a \neq y$   
**using**  $\langle count-list\ (a\ \# \ ws)\ y = 0 \rangle\ count-list.simps(2)$  **by force**  
**hence**  $count-list\ ws\ y = 0$   
**using**  $\langle count-list\ (a\ \# \ ws)\ y = 0 \rangle\ count-list.simps(2)$  **by force**  
**from**  $Cons.hyps(3)[OF\ this]$   
**show**  $?case$   
**using**  $\langle a \in \{x,y\} \rangle\ \langle a \neq y \rangle$  **by auto**  
**qed simp**

**lemma bin-lists-count-zero: assumes**  $ws \in lists\ \{x,y\}$  **and**  $count-list\ ws\ x = 0$   
**shows**  $ws \in lists\ \{y\}$   
**using**  $assms$  **unfolding**  $insert-commute[of\ x\ y\ \{\}]$  **using**  $bin-lists-count-zero'$   
**by metis**

**lemma count-in: count-list ws a  $\neq 0 \implies a \in set\ ws$**   
**using**  $count-notin[of\ a\ ws]$  **by fast**

**lemma count-in-conv: count-list w a  $\neq 0 \iff a \in set\ w$**   
**by**  $(induct\ w,\ auto)$

**lemma two-in-set-concat-len: assumes**  $u \neq v$  **and**  $\{u,v\} \subseteq set\ ws$   
**shows**  $|u| + |v| \leq |concat\ ws|$   
**proof-**  
**let**  $?ws = filter\ (\lambda\ x.\ x \in \{u,v\})\ ws$   
**have**  $set:\ set\ ?ws = \{u,v\}$   
**using**  $\langle \{u,v\} \subseteq set\ ws \rangle$  **by auto**  
**have**  $|concat\ ?ws| \leq |concat\ ws|$   
**unfolding**  $length-concat$  **using**  $sum-list-filter-le-nat$  **by blast**  
**have**  $sum:\ sum\ (\lambda\ x.\ count-list\ ?ws\ x * |x|)\ \{u,v\} = (count-list\ ?ws\ u) * |u| +$

$(\text{count-list } ?ws \ v) * |v|$   
**using** *assms* **by** *simp*  
**have** *count-list ?ws u ≠ 0 and count-list ?ws v ≠ 0*  
**unfolding** *count-in-conv* **using** *assms* **by** *simp-all*  
**hence**  $|u| + |v| \leq |\text{concat } ?ws|$   
**unfolding** *length-concat sum-list-map-eq-sum-count set sum*  
**using** *add-le-mono quotient-smaller* **by** *presburger*  
**thus** *?thesis*  
**using**  $\langle |\text{concat } ?ws| \leq |\text{concat } ws| \rangle$  **by** *linarith*  
**qed**

## 2.15 Root

**definition** *root* ::  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  ( $\langle \cdot \in \cdot^* \rangle$  [51,51] 60)

**where**  $u \in r^* = (\exists k. r^{\textcircled{a}} k = u)$

**notation** (*latex output*) *root* ( $\langle \cdot \in \cdot^* \rangle$ )

**abbreviation** *not-root* ::  $[ 'a \text{ list}, 'a \text{ list}] \Rightarrow \text{bool}$  ( $\langle \cdot \notin \cdot^* \rangle$  [51,51] 60)

**where**  $u \notin r^* \equiv \neg (u \in r^*)$

Empty word has all roots, including the empty root.

**lemma** *emp-all-roots* [*simp*]:  $\varepsilon \in r^*$

**unfolding** *root-def* **using** *pow-0* **by** *blast*

**lemma** *emp-all-roots'* [*elim*]:  $u = \varepsilon \implies u \in r^*$

**using** *emp-all-roots* **by** *blast*

**lemma** *rootI*:  $r^{\textcircled{a}} k \in r^*$

**using** *root-def* **by** *auto*

**lemma** *self-root*:  $u \in u^*$

**using** *rootI* [*of u Suc 0*] **by** *simp*

**lemma** *rootE* [*elim*]: **assumes**  $u \in r^*$  **obtains**  $k$  **where**  $r^{\textcircled{a}} k = u$

**using** *assms root-def* **by** *blast*

**lemma** *root-exp*:  $x \in r^* \iff x = r^{\textcircled{a}} (|x| \text{ div } |r|)$

**proof** (*rule iffI, cases r = ε, force*)

**assume**  $x \in r^*$  **and**  $r \neq \varepsilon$

**then obtain**  $k$  **where**  $r^{\textcircled{a}} k = x$

**unfolding** *root-def* **by** *blast*

**from** *lenarg[OF this, unfolded pow-len]*

**have**  $k = |x| \text{ div } |r|$

**using** *nonzero-mult-div-cancel-right* [*OF nemp-len[OF r ≠ ε], of k*] **by** *auto*

**from**  $\langle r^{\textcircled{a}} k = x \rangle$  [*unfolded this, symmetric*]

**show**  $x = r^{\textcircled{a}} (|x| \text{ div } |r|)$ .

**qed** (*use root-def in metis*)

**lemma** *root-nemp-expE*: **assumes**  $w \in r^*$  **and**  $w \neq \varepsilon$

**obtains  $k$  where  $r^{\textcircled{k}} = w$   $0 < k$**   
**using *assms(1) assms(2) nemp-exp-pos root-exp* by *metis***

**lemma *root-rev-iff[reversal-rule]*:  $\text{rev } u \in \text{rev } t^* \longleftrightarrow u \in t^*$**   
**unfolding *root-def[reversed]* using *root-def..***

**lemma *per-root-pref*:  $w \neq \varepsilon \implies w \in r^* \implies r \leq_p w$**   
**using *root-nemp-expE pow-pos triv-pref* by *metis***

**lemmas *per-root-suf = per-root-pref[reversed]***

**lemma *per-exp-eq*:  $u \leq_p r \cdot u \implies |u| = k * |r| \implies u \in r^*$**   
**using *per-exp-pref[THEN pref-prod-eq]* unfolding *pow-len root-def* by *blast***

**lemma *take-root*: assumes  $0 < k$  shows  $r = \text{take } |r| (r^{\textcircled{k}})$**   
**unfolding *pow-pos[OF assms]* by *force***

**lemma *root-nemp*:  $u \neq \varepsilon \implies u \in r^* \implies r \neq \varepsilon$**   
**unfolding *root-def* using *emp-pow* by *auto***

**lemma *root-shorter*: assumes  $u \neq \varepsilon$   $u \in r^*$   $u \neq r$  shows  $|r| < |u|$**   
**proof (*rule not-le-imp-less*)**  
**from *root-nemp-expE[OF u ∈ r\* u ≠ ε]***  
**obtain  $k$  where  $r^{\textcircled{k}} = u$  and  $0 < k$ .**  
**from *take-root[OF 0 < k, of r, unfolded r<sup>Ⓢ</sup> k = u]***  
**show  $\neg |u| \leq |r|$**   
**using  $\langle u \neq r \rangle$  by *force***  
**qed**

**lemma *root-shorter-eq*:  $u \neq \varepsilon \implies u \in r^* \implies |r| \leq |u|$**   
**using *root-shorter le-eq-less-or-eq* by *auto***

**lemma *root-trans[trans]*:  $\llbracket v \in u^*; u \in t^* \rrbracket \implies v \in t^*$**   
**by (*metis root-def pow-mult*)**

**lemma *root-pow-root[intro]*:  $v \in u^* \implies v^{\textcircled{n}} \in u^*$**   
**using *rootI root-trans* by *blast***

**lemma *root-len*:  $u \in q^* \implies \exists k. |u| = k * |q|$**   
**unfolding *root-def* using *pow-len* by *auto***

**lemma *root-len-dvd*:  $u \in t^* \implies |t| \text{ dvd } |u|$**   
**using *root-len root-def* by *force***

**lemma *common-root-len-gcd*:  $u \in t^* \implies v \in t^* \implies |t| \text{ dvd } (\text{gcd } |u| |v|)$**   
**by (*simp add: root-len-dvd*)**

**lemma *add-root[simp]*:  $z \cdot w \in z^* \longleftrightarrow w \in z^*$**   
**proof**

```

assume  $w \in z^*$  thus  $z \cdot w \in z^*$ 
  unfolding root-def using pow-Suc by blast
next
assume  $z \cdot w \in z^*$  thus  $w \in z^*$ 
  unfolding root-def
  using exp-pref-cancel[of  $z$  1  $w$ , unfolded pow-1] by metis
qed

```

```

lemma add-roots[intro]:  $w \in z^* \implies w' \in z^* \implies w \cdot w' \in z^*$ 
  unfolding root-def using add-exps by blast

```

```

lemma concat-sing-list-pow:  $ws \in \text{lists } \{u\} \implies |ws| = k \implies \text{concat } ws = u^{\textcircled{k}}$ 
proof(induct k arbitrary: ws)
  case (Suc k)
  have  $ws \neq \varepsilon$ 
    using list.size(3) nat.distinct(2)[of  $k$ , folded  $\langle |ws| = \text{Suc } k \rangle$ ] by blast
  from hd-Cons-tl[OF this]
  have  $ws = \text{hd } ws \# \text{tl } ws$  and  $|\text{tl } ws| = k$ 
    using  $\langle |ws| = \text{Suc } k \rangle$  by simp+
  then show ?case
    unfolding pow-Suc hd-concat-tl[OF  $\langle ws \neq \varepsilon \rangle$ , symmetric]
    using Suc.hyps[OF tl-in-lists[OF  $\langle ws \in \text{lists } \{u\} \rangle$ ]  $\langle |\text{tl } ws| = k \rangle$ ]
    Nitpick.size-list-simp(2) lists-hd-in-set[of  $ws$   $\{u\}$ ]  $\langle ws \in \text{lists } \{u\} \rangle$  by blast
qed simp

```

```

lemma concat-sing-list-pow':  $ws \in \text{lists } \{u\} \implies \text{concat } ws = u^{\textcircled{|ws|}}$ 
  by (simp add: concat-sing-list-pow)

```

```

lemma root-pref-cancel[elim]: assumes  $x \cdot y \in t^*$  and  $x \in t^*$  shows  $y \in t^*$ 
proof-
  obtain  $n m$  where  $t^{\textcircled{m}} = x \cdot y$  and  $t^{\textcircled{n}} = x$ 
    using  $\langle x \cdot y \in t^* \rangle$ [unfolded root-def]  $\langle x \in t^* \rangle$ [unfolded root-def] by blast
  from exp-pref-cancel[of  $t$   $n$   $y$   $m$ , unfolded this]
  show  $y \in t^*$ 
    using rootI by auto
qed

```

```

lemma root-suf-cancel [elim]:  $u \cdot v \in r^* \implies v \in r^* \implies u \in r^*$ 
  using exp-suf-cancel[of  $u$   $r$ ] unfolding root-def by metis

```

## 2.16 Commutation

The solution of the easiest nontrivial word equation,  $x \cdot y = y \cdot x$ , is in fact already contained in *List.thy* as the fact  $xs \cdot ys = ys \cdot xs \implies \exists m n zs. \text{concat } (\text{replicate } m \text{ } zs) = xs \wedge \text{concat } (\text{replicate } n \text{ } zs) = ys$ .

```

theorem comm:  $x \cdot y = y \cdot x \iff (\exists t k m. x = t^{\textcircled{k}} \wedge y = t^{\textcircled{m}})$ 
  using comm-append-are-replicate[of  $x$   $y$ , folded pow-is-concat-replicate] pows-comm
by auto

```

**corollary comm-root:**  $x \cdot y = y \cdot x \iff (\exists t. x \in t^* \wedge y \in t^*)$   
**unfolding root-def comm by fast**

**lemma comm-rootI:**  $x \in t^* \implies y \in t^* \implies x \cdot y = y \cdot x$   
**using comm-root by blast**

**lemma commE[elim]:** **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t \ k \ m$  **where**  $x = t^{\textcircled{a}}k$  **and**  $y = t^{\textcircled{a}}m$  **and**  $t \neq \varepsilon$

**proof-**

**from** *assms[unfolded comm]*

**obtain**  $t \ k \ m$  **where**  $x = t^{\textcircled{a}}k$  **and**  $y = t^{\textcircled{a}}m$

**by** *blast*

**from** *that[OF this]*

**show** *thesis*

**proof** (*cases*  $x \neq \varepsilon \vee y \neq \varepsilon$ )

**assume**  $x \neq \varepsilon \vee y \neq \varepsilon$

**thus** *thesis*

**unfolding**  $\langle x = t^{\textcircled{a}}k \rangle \langle y = t^{\textcircled{a}}m \rangle$  **using**  $\langle t \neq \varepsilon \implies \textit{thesis} \rangle$

**by** *fastforce*

**next**

**assume**  $\neg (x \neq \varepsilon \vee y \neq \varepsilon)$

**hence**  $x = \varepsilon \ y = \varepsilon$

**by** *blast+*

**from** *that[of [undefined] 0 0, unfolded this]*

**show** *thesis*

**by** *simp*

**qed**

**qed**

**lemma comm-nemp-eqE:** **assumes**  $u \cdot v = v \cdot u \ u \neq \varepsilon \ v \neq \varepsilon$   
**obtains**  $k \ m$  **where**  $u^{\textcircled{a}}k = v^{\textcircled{a}}m \ 0 < k \ 0 < m$

**proof-**

**from** *commE[OF  $\langle u \cdot v = v \cdot u \rangle$ ]*

**obtain**  $t \ m \ k$  **where**  $u = t^{\textcircled{a}}k$  **and**  $v = t^{\textcircled{a}}m$ .

**hence**  $0 < m \ 0 < k$

**using**  $\langle u \neq \varepsilon \rangle \langle v \neq \varepsilon \rangle$  **by** *blast+*

**have**  $u^{\textcircled{a}}m = v^{\textcircled{a}}k$

**unfolding**  $\langle u = t^{\textcircled{a}}k \rangle \langle v = t^{\textcircled{a}}m \rangle$  *pow-mult[symmetric]*

**by** (*simp add: mult commute*)

**from** *that[OF this  $\langle 0 < m \rangle \langle 0 < k \rangle$ ]*

**show** *thesis*.

**qed**

**lemma comm-prod[intro]:** **assumes**  $r \cdot u = u \cdot r$  **and**  $r \cdot v = v \cdot r$   
**shows**  $r \cdot (u \cdot v) = (u \cdot v) \cdot r$

**unfolding** *lassoc*  $\langle r \cdot u = u \cdot r \rangle$  **unfolding** *rassoc*  $\langle r \cdot v = v \cdot r \rangle$ ..

**lemma LS-comm:**

**assumes**  $y^{\textcircled{a}} k \cdot x = z^{\textcircled{a}} l$   
**and**  $z \cdot y = y \cdot z$   
**shows**  $x \cdot y = y \cdot x$   
**proof** –  
**from**  $\langle z \cdot y = y \cdot z \rangle$   
**have**  $(y^{\textcircled{a}} k \cdot x) \cdot y = y \cdot (y^{\textcircled{a}} k \cdot x)$   
**unfolding**  $\langle y^{\textcircled{a}} k \cdot x = z^{\textcircled{a}} l \rangle$  **by** (*fact comm-add-exp*)  
**then show**  $x \cdot y = y \cdot x$   
**unfolding** *lassoc pow-comm[symmetric]* **unfolding** *rassoc cancel*.  
**qed**

## 2.17 Periods

Periodicity is probably the most studied property of words. It captures the fact that a word overlaps with itself. Another possible point of view is that the periodic word is a prefix of an (infinite) power of some nonempty word, which can be called its period word. Both these points of view are expressed by the following definition.

### 2.17.1 Periodic root

**lemma**  $u <_p r \cdot u \iff u \leq_p r \cdot u \wedge r \neq \varepsilon$   
**by** *simp*

**lemma** *per-rootI[intro]*:  $u \leq_p r \cdot u \implies r \neq \varepsilon \implies u <_p r \cdot u$   
**by** *simp*

**lemma** *per-rootI'[intro]*: **assumes**  $u \leq_p r^{\textcircled{a}} k$  **and**  $r \neq \varepsilon$  **shows**  $u <_p r \cdot u$   
**using** *per-rootI[OF pref-prod-pref[OF pref-pow-ext'[OF  $\langle u \leq_p r^{\textcircled{a}} k \rangle \langle u \leq_p r^{\textcircled{a}} k \rangle \langle r \neq \varepsilon \rangle]$* .

**lemma** *per-root-nemp[dest]*:  $u <_p r \cdot u \implies r \neq \varepsilon$   
**by** *simp*

Empty word is not a periodic root but it has all nonempty periodic roots.

Any nonempty word is its own periodic root.

**lemmas** *root-self = triv-spref*

”Short roots are prefixes”

**lemma**  $w <_p r \cdot u \implies |r| \leq |w| \implies r \leq_p w$   
**using** *pref-prod-long[OF sprefD1]*.

Periodic words are prefixes of the power of the root, which motivates the notation

**lemma** *pref-pow-ext-take*: **assumes**  $u \leq_p r^{\textcircled{a}} k$  **shows**  $u \leq_p \text{take } |r| \text{ } u \cdot r^{\textcircled{a}} k$   
**proof** (*rule le-cases[of |u| |r|]*)

**assume**  $|r| \leq |u|$   
**show**  $u \leq_p \text{take } |r| \ u \cdot r^{\textcircled{a}} \ k$   
**unfolding**  $\text{pref-take}[OF \ \text{pref-prod-long}[OF \ \text{pref-pow-ext}'[OF \ \langle u \leq_p r^{\textcircled{a}} \ k \rangle] \ \langle |r| \leq |u| \rangle]]$  **using**  $\text{pref-pow-ext}'[OF \ \langle u \leq_p r^{\textcircled{a}} \ k \rangle]$ .  
**qed simp**

**lemma** *pref-pow-take*: **assumes**  $u \leq_p r^{\textcircled{a}} \ k$  **shows**  $u \leq_p \text{take } |r| \ u \cdot u$   
**using**  $\text{pref-prod-pref}[of \ u \ \text{take } |r| \ u \ r^{\textcircled{a}} \ k, \ OF \ \text{pref-pow-ext-take} \ \langle u \leq_p r^{\textcircled{a}} \ k \rangle, \ OF \ \langle u \leq_p r^{\textcircled{a}} \ k \rangle]$ .

**lemma** *per-root-powE*: **assumes**  $u <_p r \cdot u$   
**obtains**  $k$  **where**  $u <_p r^{\textcircled{a}} \ k$  **and**  $0 < k$   
**using**  $\text{pref-prod-less}[OF \ \text{per-exp-pref}[OF \ \text{spreFD1}]$   
 $\text{long-pow-exp}'[OF \ \text{per-root-nemp}], \ OF \ \text{assms} \ \text{assms}]$  **by blast**

**thm** *per-rootI per-rootI'*

**lemma** *per-root-powE'*: **assumes**  $x <_p r \cdot x$   
**obtains**  $k$  **where**  $x <_p r^{\textcircled{a}} \ k$  **and**  $0 < k$   
**using**  $\text{per-root-powE}[OF \ \text{assms}] \ \text{spreFD1}$  **by metis**

**lemma** *per-root-modE' [elim]*: **assumes**  $u <_p r \cdot u$   
**obtains**  $p$  **where**  $p <_p r$  **and**  $r^{\textcircled{a}} (|u| \ \text{div } |r|) \cdot p = u$   
**proof-**

**have**  $r \neq \varepsilon$   
**using**  $\text{assms}$  **by blast**  
**obtain**  $m$  **where**  $u <_p r^{\textcircled{a}} \ m$   
**using**  $\text{per-root-powE}[OF \ \langle u <_p r \cdot u \rangle]$ .  
**from**  $\text{pref-mod-pow}[OF \ \text{spreFD1}[OF \ \text{this}] \ \text{per-root-nemp}[OF \ \text{assms}]$   
**obtain**  $k \ z$  **where**  $k \leq m$  **and**  $z <_p r$  **and**  $r^{\textcircled{a}} \ k \cdot z = u$ .  
**have**  $k = (|u| \ \text{div } |r|)$   
**using**  $\text{lenarg}[OF \ \langle r^{\textcircled{a}} \ k \cdot z = u \rangle, \ \text{unfolded} \ \text{lenmorph} \ \text{pow-len}]$   
 $\text{get-div}[OF \ \text{prefix-length-less}[OF \ \langle z <_p r \rangle]]$  **by metis**  
**thus** *?thesis*  
**using**  $\text{that} \ \langle r^{\textcircled{a}} \ k \cdot z = u \rangle \ \langle z <_p r \rangle$  **by blast**

**qed**

**lemma** *per-root-modE [elim]*: **assumes**  $u <_p r \cdot u$   
**obtains**  $n \ p \ s$  **where**  $p \cdot s = r$  **and**  $r^{\textcircled{a}} \ n \cdot p = u$  **and**  $s \neq \varepsilon$   
**using**  $\text{per-root-modE}'[OF \ \text{assms}] \ \text{spre-exE}$  **by metis**

**lemma** *nemp-per-root-conv*:  $r \neq \varepsilon \implies u <_p r \cdot u \iff u \leq_p r \cdot u$   
**by force**

**lemma** *root-ruler*: **assumes**  $w <_p u \cdot w \ v <_p u \cdot v$   
**shows**  $w \bowtie v$



**proof–**  
**obtain**  $k\ l$  **where**  $w <_p u^{\textcircled{a}}k\ v <_p u^{\textcircled{a}}l$   
**using** *assms per-root-powE* **by** *metis*  
**moreover have**  $u^{\textcircled{a}}k \bowtie u^{\textcircled{a}}l$   
**using** *conjug-pow eqd-comp* **by** *metis*  
**ultimately show** *?thesis*  
**by** (*rule ruler-comp[OF sprefD1 sprefD1]*)  
**qed**

**lemmas** *same-len-nemp-root-eq = root-ruler[THEN pref-comp-eq]*

**lemma** *per-root-add-exp*: **assumes**  $u <_p r \cdot u\ 0 < m$  **shows**  $u <_p r^{\textcircled{a}}m \cdot u$   
**using**  $\langle 0 < m \rangle$   
**proof** (*induct m*)  
**case** (*Suc m*)  
**then show** *?case*  
**unfolding** *pow-Suc rassoc*  
**using** *spref-trans[OF \langle u <\_p r \cdot u \rangle, of r \cdot r^{\textcircled{a}} m \cdot u] \langle u <\_p r \cdot u \rangle*  
**unfolding** *spref-cancel-conv* **by** (*cases m = 0*) *simp-all*  
**qed** *simp*

**theorem** *per-root-pow-conv*:  $x <_p r \cdot x \longleftrightarrow (\exists k. x \leq_p r^{\textcircled{a}}k) \wedge r \neq \varepsilon$   
**by** (*rule iffI*) (*use per-root-powE' per-root-nemp in metis, use per-rootI' in blast*)

**lemma** *per-root-exp'*: **assumes**  $x \leq_p r^{\textcircled{a}}k$  **shows**  $x \leq_p r^{\textcircled{a}}|x|$   
**proof**(*cases r = \varepsilon*)  
**assume**  $r \neq \varepsilon$   
**have**  $|x| \leq |r^{\textcircled{a}}|x||$   
**unfolding** *pow-len* **using** *nemp-le-len[OF \langle r \neq \varepsilon \rangle]* **by** *force*  
**with** *pref-ext[OF \langle x \leq\_p r^{\textcircled{a}}k \rangle, of r^{\textcircled{a}}|x|, unfolded pows-comm[of r k]]*  
**show** *?thesis*  
**by** *blast*  
**qed** (*use assms in force*)

**lemma** *per-root-exp*: **assumes**  $x <_p r \cdot x$  **shows**  $x \leq_p r^{\textcircled{a}}|x|$   
**proof–**  
**obtain**  $k$  **where**  $x \leq_p r^{\textcircled{a}}k$   
**using**  $\langle x <_p r \cdot x \rangle$  **unfolding** *per-root-pow-conv* **by** *blast*  
**from** *per-root-exp'[OF this]*  
**show**  $x \leq_p r^{\textcircled{a}}|x|$ .  
**qed**

**lemma** *per-root-drop-exp*:  $u <_p (r^{\textcircled{a}}m) \cdot u \implies u <_p r \cdot u$

**unfolding** *per-root-pow-conv* **unfolding** *pow-mult[symmetric]*  
**using** *emp-pow* **by** *blast*

**lemma** *per-root-exp-conv*:  $u <_p (r^{\textcircled{m}} \text{Suc } m) \cdot u \longleftrightarrow u <_p r \cdot u$   
**by** (*rule iffI*) (*use per-root-drop-exp in blast, use per-root-add-exp in blast*)

**lemma** *pref-drop-exp*: **assumes**  $x \leq_p z \cdot x^{\textcircled{m}}$  **shows**  $x \leq_p z \cdot x$   
**using** *assms pow-comm pref-prod-pref pref-prolong triv-pref* **by** *metis*

**lemma** *per-root-drop-exp'*:  $x \leq_p r^{\textcircled{m}}(\text{Suc } k) \cdot x^{\textcircled{m}} \implies x \leq_p r \cdot x$   
**using** *nemp-Suc-pow-nemp per-rootI per-root-drop-exp pref-drop-exp sprefD* **by**  
*metis*

**lemma** *per-drop-exp'*:  $0 < k \implies x \leq_p r^{\textcircled{k}} \cdot x \implies x \leq_p r \cdot x$   
**using** *nonzero-pow-emp per-rootI per-root-drop-exp sprefD* **by** *metis*

**lemmas** *per-drop-exp-rev = per-drop-exp'[reversed]*

**corollary** *comm-drop-exp*: **assumes**  $0 < m$  **and**  $u \cdot r^{\textcircled{m}} = r^{\textcircled{m}'} \cdot u$  **shows**  $r \cdot u = u \cdot r$

**proof**

**assume**  $r \neq \varepsilon \ u \neq \varepsilon$

**hence**  $m = m'$

**using** *lenarg[OF <u · r<sup>Ⓜ</sup> = r<sup>Ⓜ'</sup> · u>]* **unfolding** *lenmorph pow-len*

**by** *auto*

**have**  $u \cdot r \leq_p u \cdot r^{\textcircled{m}}$

**unfolding** *pow-pos[OF <0 < m>]* **by** *simp*

**have**  $u \cdot r \leq_p r^{\textcircled{m}'} \cdot u \cdot r$

**using** *pref-ext[of u · r r<sup>Ⓜ</sup> · u r, unfolded rassoc <m = m'>, OF <u · r ≤<sub>p</sub> u · r<sup>Ⓜ</sup>>[unfolded <u · r<sup>Ⓜ</sup> = r<sup>Ⓜ'</sup> · u>]]*.

**hence**  $u \cdot r \leq_p r \cdot (u \cdot r)$

**using** *per-root-drop-exp[of u · r r m'] <0 < m>[unfolded <m = m'>]* *per-drop-exp'*

**by** *blast*

**from** *comm-ruler[OF self-pref[of r · u], of r · u · r, OF this]*

**show**  $r \cdot u = u \cdot r$

**unfolding** *prefix-comparable-def*

**by** *force*

**qed**

**lemma** *comm-drop-exp'*: **assumes**  $u^{\textcircled{k}} \cdot v = v \cdot u^{\textcircled{k}'}$   $0 < k'$  **shows**  $u \cdot v = v \cdot u$   
**using** *comm-drop-exp[OF <0 < k'> assms(1)[symmetric]]*.

**lemma** *comm-drop-exps[elim]*: **assumes**  $u^{\textcircled{m}} \cdot v^{\textcircled{k}} = v^{\textcircled{k}} \cdot u^{\textcircled{m}}$  **and**  $0 < m$   
**and**  $0 < k$  **shows**  $u \cdot v = v \cdot u$

**using** *comm-drop-exp[OF <0 < k> <u<sup>Ⓜ</sup> · v<sup>Ⓚ</sup> = v<sup>Ⓚ</sup> · u<sup>Ⓜ</sup>>]* *comm-drop-exp[OF <0 < m>, of v u m]* **by** *blast*

**lemma** *comm-pow-roots*:

**assumes**  $0 < m$  **and**  $0 < k$

**shows**  $u^{\textcircled{m}} \cdot v^{\textcircled{k}} = v^{\textcircled{k}} \cdot u^{\textcircled{m}} \longleftrightarrow u \cdot v = v \cdot u$   
**by** (*rule, use comm-drop-exps*[*OF - assms*] **in** *blast*)  
*(use comm-add-exps in blast)*

**corollary** *pow-comm-comm*: **assumes**  $x^{\textcircled{j}} = y^{\textcircled{k}}$  **and**  $0 < j$  **shows**  $x \cdot y = y \cdot x$   
**using** *comm-drop-exp*[*OF*  $\langle 0 < j \rangle$ , *of*  $y \ x \ j$ , *unfolded*  $\langle x^{\textcircled{j}} = y^{\textcircled{k}} \rangle$ , *OF pow-comm*[*symmetric*]].

**lemma** *pow-comm-comm'*: **assumes** *comm*:  $u^{\textcircled{m}}(\text{Suc } k) = v^{\textcircled{m}}(\text{Suc } l)$  **shows**  $u \cdot v = v \cdot u$   
**using** *comm pow-comm-comm* **by** *blast*

**lemma** *comm-trans*: **assumes** *uv*:  $u \cdot v = v \cdot u$  **and** *vw*:  $w \cdot v = v \cdot w$  **and** *nemp*:  $v \neq \varepsilon$   
**shows**  $u \cdot w = w \cdot u$

**proof** –

**consider** (*u-emp*)  $u = \varepsilon$  | (*w-emp*)  $w = \varepsilon$  | (*nemp*)  $u \neq \varepsilon$  **and**  $w \neq \varepsilon$  **by** *blast*  
**then show** *?thesis* **proof** (*cases*)

**case** *nemp'*

**have** *eq*:  $u^{\textcircled{m}}(|v| * |w|) = w^{\textcircled{m}}(|v| * |u|)$

**unfolding** *pow-mult comm-common-power*[*OF uv*] *comm-common-power*[*OF vw*]

**unfolding** *pow-mult*[*symmetric*] *mult.commute*[*of |u|*].

**obtain**  $k \ l$  **where**  $k$ :  $|v| * |w| = \text{Suc } k$  **and**  $l$ :  $|v| * |u| = \text{Suc } l$

**using** *nemp nemp'* **unfolding** *length-0-conv*[*symmetric*]

**using** *not0-implies-Suc*[*OF no-zero-divisors*]

**by** *presburger*

**show** *?thesis*

**using** *pow-comm-comm'*[*OF eq*[*unfolded k l*]].

**qed** *simp+*

**qed**

**lemma** *root-comm-root*: **assumes**  $x \leq_p u \cdot x$  **and**  $v \cdot u = u \cdot v$  **and**  $u \neq \varepsilon$   
**shows**  $x \leq_p v \cdot x$

**using** *per-rootI*[*OF*  $\langle x \leq_p u \cdot x \rangle$   $\langle u \neq \varepsilon \rangle$ ] *per-exp-pref commE*[*OF*  $\langle v \cdot u = u \cdot v \rangle$ ]  
*per-drop-exp'*

*assms(1) assms(3) nemp-pow* **by** *metis*

**lemma** *drop-per-pref*: **assumes**  $w <_p u \cdot w$  **shows**  $\text{drop } |u| \ w \leq_p w$

**using** *pref-drop*[*OF sprefD1*[*OF*  $\langle w <_p u \cdot w \rangle$ ], *of*  $|u|$ , *unfolded drop-pref*[*of u w*]].

**lemma** *per-root-trans*[*intro*]: **assumes**  $w <_p u \cdot w$  **and**  $u \in t^*$  **shows**  $w <_p t \cdot w$

**using** *per-root-drop-exp rootE*[*OF*  $\langle u \in t^* \rangle$ ]  $\langle w <_p u \cdot w \rangle$  **by** *metis*

**lemma** *per-root-trans'*[*intro*]:  $w \leq_p u \cdot w \implies u \in r^* \implies u \neq \varepsilon \implies w \leq_p r \cdot w$

**using** *per-root-trans sprefD1 per-rootI* **by** *metis*

**lemmas** *per-root-trans-suf'*[*intro*] = *per-root-trans'*[*reversed*]

Note that  $\llbracket <_p w (u \cdot w); <_p u (t \cdot u) \rrbracket \implies <_p w (t \cdot w)$  does not hold.

**lemma** *per-root-same-prefix*:  $w <_p r \cdot w \implies w' \leq_p r \cdot w' \implies w \bowtie w'$   
**using** *root-ruler* **by** *auto*

**lemma** *take-after-drop*:  $|u| + q \leq |w| \implies w <_p u \cdot w \implies \text{take } q \text{ (drop } |u| \text{ } w) = \text{take } q \text{ } w$   
**using** *pref-share-take*[*OF drop-per-pref*[*of*  $w \ u$ ] *len-after-drop*[*of*  $|u| \ q \ w$ ]].

The following lemmas are a weak version of the Periodicity lemma

**lemma** *two-pers*:

**assumes**  $pu: w \leq_p u \cdot w$  **and**  $pv: w \leq_p v \cdot w$  **and**  $len: |u| + |v| \leq |w|$   
**shows**  $u \cdot v = v \cdot u$

**proof**–

**have**  $uv: w \leq_p (u \cdot v) \cdot w$  **using** *pref-prolong*[*OF pu pv*] **unfolding** *lassoc*.

**have**  $vu: w \leq_p (v \cdot u) \cdot w$  **using** *pref-prolong*[*OF pv pu*] **unfolding** *lassoc*.

**have**  $u \cdot v \leq_p w$  **using**  $len$  *pref-prod-long*[*OF uv*] **by** *simp*

**moreover** **have**  $v \cdot u \leq_p w$  **using**  $len$  *pref-prod-long*[*OF vu*] **by** *simp*

**ultimately** **show**  $u \cdot v = v \cdot u$  **by** (*rule pref-comp-eq*[*unfolded prefix-comparable-def*, *OF ruler swap-len*])

**qed**

**lemma** *two-pers-root*: **assumes**  $w <_p u \cdot w$  **and**  $w <_p v \cdot w$  **and**  $|u| + |v| \leq |w|$   
**shows**  $u \cdot v = v \cdot u$

**using** *two-pers*[*OF sprefD1*[*OF assms*(1)] *sprefD1*[*OF assms*(2)] *assms*(3)].

## 2.17.2 Maximal root-prefix

**lemma** *max-root-mismatch*: **assumes**  $u \cdot [a] <_p r \cdot u \cdot [a]$  **and**  $u \cdot [b] \leq_p w$  **and**  $a \neq b$

**shows**  $w \wedge_p r \cdot w = u$

**proof** (*rule lcp-first-mismatch-pref*[*OF*  $\langle u \cdot [b] \leq_p w \rangle$  -  $\langle a \neq b \rangle$ [*symmetric*]])

**have**  $u \cdot [a] \leq_p r \cdot u$

**using** *assms*(1)[*unfolded lassoc spref-snoc-iff*].

**thus**  $u \cdot [a] \leq_p r \cdot w$

**using** *append-prefixD*[*OF*  $\langle u \cdot [b] \leq_p w \rangle$ ] *pref-prolong* **by** *blast*

**qed**

**lemma** *max-pref-per-root*:  $u \wedge_p r \cdot u \leq_p r \cdot (u \wedge_p r \cdot u)$

**by** (*rule pref-prod-pref*[*of* - -  $u$ ]) *force+*

**lemma** *max-pref-pref*:

**assumes**  $r \neq \varepsilon$

**shows**  $u \wedge_p r \cdot u \leq_p r^\circledast |u \wedge_p r \cdot u|$

**proof**–

**have**  $u \wedge_p r \cdot u <_p r \cdot (u \wedge_p r \cdot u)$

**using** *assms max-pref-per-root* **by** *auto*

**from** *per-root-exp*[*OF this*]

**show** *?thesis*.

**qed**

**lemma** *max-pref-lcp-root-pow*: **assumes**  $r \neq \varepsilon$  **and**  $|u \wedge_p r \cdot u| \leq k$   
**shows**  $u \wedge_p r \cdot u = u \wedge_p r^{\textcircled{k}}$  (**is**  $?max = u \wedge_p r^{\textcircled{k}}$ )  
**proof** (*rule pref-antisym*)  
**from** *max-pref-pref*[*OF assms(1)*] *le-exps-pref*[*OF assms(2)*]  
**have**  $?max \leq_p r^{\textcircled{k}}$   
**using** *pref-trans* **by** *blast*  
**thus**  $?max \leq_p u \wedge_p r^{\textcircled{k}}$   
**by** *force*  
**show**  $u \wedge_p r^{\textcircled{k}} \leq_p ?max$   
**proof** (*rule lcp.boundedI*, *force*)  
**show**  $u \wedge_p r^{\textcircled{k}} \leq_p r \cdot u$   
**proof** (*rule pref-prolong*)  
**show**  $u \wedge_p r^{\textcircled{k}} \leq_p r \cdot (u \wedge_p r^{\textcircled{k}})$   
**using** *lcp.cobounded2* **by** (*rule pref-prod-root*[*of u \wedge\_p r^{\textcircled{k}}*])  
**show**  $u \wedge_p r^{\textcircled{k}} \leq_p u$   
**using** *lcp.cobounded1*.  
**qed**  
**qed**  
**qed**

**lemma** *max-pref-shorter-lcp*: **assumes**  $u \wedge_p r \cdot u <_p v \wedge_p r \cdot v$   
**shows**  $u \wedge_p v = u \wedge_p r \cdot u$   
**proof** (*cases*)  
**assume**  $r = \varepsilon$   
**then show** *?thesis*  
**using** *assms* **by** (*clarify*, *unfold emp-simps lcp.idem*) (*use lcp.absorb3 in blast*)  
**next**  
**let**  $?u = u \wedge_p r \cdot u$  **and**  $?v = v \wedge_p r \cdot v$   
**assume**  $r \neq \varepsilon$   
**from** *max-pref-lcp-root-pow*[*OF this*]  
**obtain**  $k$  **where**  $?u = u \wedge_p r^{\textcircled{k}}$  **and**  $?v = v \wedge_p r^{\textcircled{k}}$   
**using** *pref-len' suf-len'* **by** *meson*  
**from** *ruler-spref-lcp*[*OF assms*[*unfolded this*], *folded*  $\langle ?u = u \wedge_p r^{\textcircled{k}} \rangle$ ]  
**show**  $u \wedge_p v = u \wedge_p r \cdot u$ .  
**qed**

**find-theorems**  $?u \wedge_p ?r \cdot ?u$

### 2.17.3 Period - numeric

Definition of a period as the length of the periodic root is often offered as the basic one. From our point of view, it is secondary, and less convenient for reasoning.

**definition** *period* ::  $'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{bool}$   
**where** [*simp*]: *period*  $w \ n \equiv w <_p (\text{take } n \ w) \cdot w$

**lemma** *period-I'*:  $w \neq \varepsilon \implies 0 < n \implies w \leq_p (\text{take } n \ w) \cdot w \implies \text{period } w \ n$   
**unfolding** *period-def* **by** *fastforce*

**lemma** *periodI[intro]*:  $w \neq \varepsilon \implies w <_p r \cdot w \implies \text{period } w \ |r|$   
**by** (*elim period-I'[of - |r|, OF - nemp-pos-len]*)  
*(blast, use pref-pow-take per-root-powE' in metis)*

The numeric definition respects the following convention about empty words and empty periods.

**lemma** *emp-no-period*:  $\neg \text{period } \varepsilon \ n$   
**by** *simp*

**lemma**  $\neg \text{period } w \ 0$   
**by** *simp*

**lemma** *per-nemp*:  $\text{period } w \ n \implies w \neq \varepsilon$   
**by** *simp*

**lemma** *per-not-zero*:  $\text{period } w \ n \implies 0 < n$   
**by** *simp*

**lemma** *per-pref*:  $\text{period } w \ n \implies w \leq_p \text{take } n \ w \cdot w$   
**by** *simp*

A nonempty word has all "long" periods

**lemma** *all-long-pers*:  $\llbracket w \neq \varepsilon; |w| \leq n \rrbracket \implies \text{period } w \ n$   
**by** *simp*

**lemma** *len-is-per*:  $w \neq \varepsilon \implies \text{period } w \ |w|$   
**by** *simp*

The standard numeric definition of a period uses indeces.

**lemma** *period-indeces*: **assumes** *period*  $w \ n$  **and**  $i + n < |w|$  **shows**  $w!i = w!(i+n)$   
**proof**–

**have**  $w!i = (\text{take } n \ w \cdot w)! (n + i)$

**using** *nth-append-length-plus*[*of take n w w i, symmetric*]

**unfolding** *take-len*[*OF less-imp-le*[*OF add-lessD2*[*OF <i + n < |w|>*]]].

**also have**  $\dots = w! (i + n)$

**using** *pref-index*[*OF per-pref*[*OF <period w n>*] *<i + n < |w|>*, *symmetric*]

**unfolding** *add commute*[*of n i*].

**finally show** *?thesis*.

**qed**

**lemma** *indeces-period*:

**assumes**  $w \neq \varepsilon$  **and**  $0 < n$  **and** *forall*:  $\bigwedge i. i + n < |w| \implies w!i = w!(i+n)$

**shows** *period*  $w \ n$

**proof**–

```

have  $|w| \leq |take\ n\ w \cdot w|$ 
  by auto
{fix  $j$  assume  $j < |w|$ 
  have  $w ! j = (take\ n\ w \cdot w) ! j$ 
  proof (cases  $j < |take\ n\ w|$ )
    assume  $j < |take\ n\ w|$  show  $w ! j = (take\ n\ w \cdot w) ! j$ 
      using pref-index[OF take-is-prefix <j < |take n w>, symmetric]
      unfolding pref-index[OF triv-pref <j < |take n w>, of w].
    next
      assume  $\neg j < |take\ n\ w|$ 
      from leI[OF this] <j < |w>
      have  $|take\ n\ w| = n$ 
        by force
      hence  $j = (j - n) + n$  and  $(j - n) + n < |w|$ 
        using leI[OF <\neg j < |take n w>] <j < |w> by simp+
      hence  $w ! j = w ! (j - n)$ 
        using forall by simp
      from this[folded nth-append-length-plus[of take n w w j-n, unfolded <|take n w| = n>]]
      show  $w ! j = (take\ n\ w \cdot w) ! j$ 
        using <j = (j - n) + n> by simp
    qed}
with index-pref[OF <|w| \leq |take n w \cdot w|>
have  $w \leq_p take\ n\ w \cdot w$  by blast
thus ?thesis
  using assms by force
qed

```

In some cases, the numeric definition is more useful than the definition using the period root.

```

lemma period-rev: assumes period w p shows period (rev w) p
proof (rule indeces-period[of rev w p, OF - per-not-zero[OF assms]])
  show  $rev\ w \neq \varepsilon$ 
    using assms[unfolded period-def] by force
  next
    fix  $i$  assume  $i + p < |rev\ w|$ 
    from this[unfolded length-rev] add-lessD1
    have  $i < |w|$  and  $i + p < |w|$  by blast+
    have  $e: |w| - Suc\ (i + p) + p = |w| - Suc\ i$  using <i + p < |rev w> by simp
    have  $|w| - Suc\ (i + p) + p < |w|$ 
      using <i + p < |w> Suc-diff-Suc <i < |w>
      diff-less-Suc e less-irrefl-nat not-less-less-Suc-eq by metis
    from period-indeces[OF assms this] rev-nth[OF <i < |w>, folded e] rev-nth[OF <i + p < |w|>]
    show  $rev\ w ! i = rev\ w !(i+p)$  by presburger
  qed

```

```

lemma period-rev-conv [reversal-rule]: period (rev w) n \longleftrightarrow period w n
  using period-rev period-rev[of rev w] unfolding rev-rev-ident by (intro iffI)

```

**lemma** *period-fac*: **assumes** *period*  $(u \cdot w \cdot v)$   $p$  **and**  $w \neq \varepsilon$   
**shows** *period*  $w$   $p$   
**proof** (*rule indeces-period*)  
**show**  $0 < p$  **using** *per-not-zero*[*OF*  $\langle$ *period*  $(u \cdot w \cdot v)$   $p\rangle$ ].  
**fix**  $i$  **assume**  $i + p < |w|$   
**hence**  $|u| + i + p < |u \cdot w \cdot v|$   
**by** *simp*  
**from** *period-indeces*[*OF*  $\langle$ *period*  $(u \cdot w \cdot v)$   $p\rangle$  *this*]  
**have**  $(u \cdot w \cdot v)!(|u| + i) = (u \cdot w \cdot v)!(|u| + (i + p))$   
**by** (*simp add: add.assoc*)  
**thus**  $w!i = w!(i+p)$   
**using** *nth-append-length-plus*[*of*  $u \cdot w \cdot v$   $i$ , *unfolded lassoc*]  $\langle$  $i + p < |w|\rangle$  *add-lessD1*[*OF*  
 $\langle$  $i + p < |w|\rangle$ ]  
*nth-append*[*of*  $w$   $v$ ] **by** *auto*  
**qed** (*simp add:*  $\langle$  $w \neq \varepsilon\rangle$ )

**lemma** *period-fac'*: *period*  $v$   $p \implies u \leq_f v \implies u \neq \varepsilon \implies$  *period*  $u$   $p$   
**by** (*elim facE, hypsubst, rule period-fac*)

**lemma** *pow-per*[*intro*]: **assumes**  $y \neq \varepsilon$  **and**  $0 < k$  **shows** *period*  $(y^{\textcircled{k}})$   $|y|$   
**using** *period-I'*[*OF* - *nemp-pos-len*[*OF*  $\langle$  $y \neq \varepsilon\rangle$ ] *pref-pow-ext-take*, *OF* - *self-pref*]  
*assms* **by** *blast*

**lemma** *per-fac*: **assumes**  $w \neq \varepsilon$  **and**  $w \leq_f y^{\textcircled{k}}$  **shows** *period*  $w$   $|y|$   
**proof**–  
**have**  $y \neq \varepsilon$   
**using** *assms* **by** *force*  
**have**  $0 < k$   
**using** *assms nemp-exp-pos sublist-Nil-right* **by** *metis*  
**from** *pow-per*[*OF*  $\langle$  $y \neq \varepsilon\rangle$  *this*] *period-fac* *facE*[*OF*  $\langle$  $w \leq_f y^{\textcircled{k}}\rangle$ ]  $\langle$  $w \neq \varepsilon\rangle$   
**show** *period*  $w$   $|y|$  **by** *metis*  
**qed**

The numeric definition is equivalent to being prefix of a power.

**theorem** *period-pref*: *period*  $w$   $n \iff (\exists k r. w \leq_{np} r^{\textcircled{k}} \wedge |r| = n)$  (*is* -  $\iff$  ?*R*)  
**proof**(*cases*  $w = \varepsilon$ )  
**assume**  $w \neq \varepsilon$   
**show** *period*  $w$   $n \iff$  ?*R*  
**proof**  
**assume** *period*  $w$   $n$   
**consider** (*short*)  $|w| \leq n$  | (*long*)  $n < |w|$   
**by** *linarith*  
**then show** ?*R*  
**proof**(*cases*)  
**assume**  $|w| \leq n$   
**from** *le-add-diff-inverse*[*OF* *this*]  
**obtain**  $z$  **where**  $|w \cdot z| = n$   
**unfolding** *lenmorph* **using** *exE*[*OF* *Ex-list-of-length*[*of*  $n - |w|$ ]] **by** *metis*



```

thus ?R
  using pow-1 npI'[OF  $\langle w \neq \varepsilon \rangle$ ] by metis
next
  assume  $n < |w|$ 
  then show ?R
    unfolding nonempty-prefix-def
    using  $\langle w \neq \varepsilon \rangle$  take-len[OF less-imp-le[OF  $\langle n < |w| \rangle$ ]]
    per-root-powE[OF  $\langle$ period  $w$   $n \rangle$ [unfolded period-def]]
    sprefD1 by metis
  qed
next
  assume ?R
  then obtain  $k$   $r$  where  $w \leq_{np} r^{\otimes k}$  and  $n = |r|$  by blast
  have  $w \leq_p$  take  $n$   $w \cdot w$ 
    using pref-pow-take[OF npD[OF  $\langle w \leq_{np} r^{\otimes k} \rangle$ ], folded  $\langle n = |r| \rangle$ ].
  have  $n \neq 0$ 
    unfolding length-0-conv[of  $r$ , folded  $\langle n = |r| \rangle$ ] using  $\langle w \leq_{np} r^{\otimes k} \rangle$  by force
  hence take  $n$   $w \neq \varepsilon$ 
    unfolding  $\langle n = |r| \rangle$  using  $\langle w \neq \varepsilon \rangle$  by simp
  thus period  $w$   $n$ 
    unfolding period-def using  $\langle w \leq_p$  take  $n$   $w \cdot w \rangle$  by blast
  qed
qed simp

```

Two more characterizations of a period

**theorem** per-shift: **assumes**  $w \neq \varepsilon$   $0 < n$

**shows** period  $w$   $n \iff$  drop  $n$   $w \leq_p$   $w$

**proof**

**assume** period  $w$   $n$  **show** drop  $n$   $w \leq_p$   $w$

**using** drop-per-pref[OF  $\langle$ period  $w$   $n \rangle$ [unfolded period-def]]

append-take-drop-id[of  $n$   $w$ , unfolded append-eq-conv-conj] **by** argo

**next**

**assume** drop  $n$   $w \leq_p$   $w$

**show** period  $w$   $n$

**using** conjI[OF pref-cancel'[OF  $\langle$ drop  $n$   $w \leq_p$   $w \rangle$ , of take  $n$   $w$ ] take-nemp[OF  $\langle w \neq \varepsilon \rangle$   $\langle 0 < n \rangle$ ]]

**unfolding** append-take-drop-id **by** force

**qed**

**lemma** rotate-per-root: **assumes**  $w \neq \varepsilon$  **and**  $0 < n$  **and**  $w =$  rotate  $n$   $w$

**shows** period  $w$   $n$

**proof** (cases  $|w| \leq n$ )

**assume**  $|w| \leq n$

**from** all-long-pers[OF  $\langle w \neq \varepsilon \rangle$ , OF this]

**show** period  $w$   $n$ .

**next**

**assume** not:  $\neg |w| \leq n$

**have** drop  $(n \bmod |w|)$   $w \leq_p$   $w$

**using** prefI[OF rotate-drop-take[symmetric, of  $n$   $w$ ]]

**unfolding**  $\langle w = \text{rotate } n \ w \rangle$  [symmetric].  
**from**  $\text{per-shift}[OF \ \langle w \neq \varepsilon \rangle \ \langle 0 < n \rangle]$   $\text{this}[\text{unfolded mod-less}[OF \ \text{not}[\text{unfolded not-le}]]]$   
**show**  $\text{period } w \ n..$   
**qed**

### Various lemmas on periods

**lemma**  $\text{period-drop}$ : **assumes**  $\text{period } w \ p$  **and**  $p < |w|$   
**shows**  $\text{period } (\text{drop } p \ w) \ p$   
**using**  $\text{period-fac}[of \ \text{take } p \ w \ \text{drop } p \ w \ \varepsilon \ p]$   $\langle p < |w| \rangle$   $\langle \text{period } w \ p \rangle$   
**unfolding**  $\text{append-take-drop-id}$   $\text{drop-eq-Nil}$   $\text{not-le}$   $\text{append-Nil2}$  **by**  $\text{blast}$

**lemma**  $\text{ext-per-left}$ : **assumes**  $\text{period } w \ p$  **and**  $p \leq |w|$   
**shows**  $\text{period } (\text{take } p \ w \cdot w) \ p$   
**proof**–  
**have**  $f$ :  $\text{take } p \ (\text{take } p \ w \cdot w) = \text{take } p \ w$   
**using**  $\langle p \leq |w| \rangle$  **by**  $\text{simp}$   
**show**  $?thesis$   
**using**  $\langle \text{period } w \ p \rangle$   $\text{pref-cancel}'[of \ w \ \text{take } p \ w \cdot w \ \text{take } p \ w]$   
**unfolding**  $f$   $\text{period-def}$   
**by**  $\text{blast}$   
**qed**

**lemma**  $\text{ext-per-left-power}$ :  $\text{period } w \ p \implies p \leq |w| \implies \text{period } ((\text{take } p \ w)^{\textcircled{k}} \cdot w) \ p$   
**proof** ( $\text{induction } k$ )  
**case** ( $\text{Suc } k$ )  
**show**  $?case$   
**using**  $\text{ext-per-left}[OF \ \text{Suc.IH}[OF \ \langle \text{period } w \ p \rangle \ \langle p \leq |w| \rangle]]$   $\langle p \leq |w| \rangle$   
**unfolding**  $\text{pref-share-take}[OF \ \text{per-exp-pref}[OF \ \text{per-pref}[OF \ \langle \text{period } w \ p \rangle]]$   $\langle p \leq |w| \rangle$ ,  $\text{symmetric}$   
 $\text{lassoc pow-Suc[symmetric]}$  **by**  $\text{fastforce}$   
**qed**  $\text{auto}$

**lemma**  $\text{take-several-pers}$ : **assumes**  $\text{period } w \ n$  **and**  $m * n \leq |w|$   
**shows**  $(\text{take } n \ w)^{\textcircled{m}} = \text{take } (m * n) \ w$   
**proof** ( $\text{cases } m = 0$ )  
**assume**  $m \neq 0$   
**have**  $|(\text{take } n \ w)^{\textcircled{m}}| = m * n$   
**unfolding**  $\text{pow-len nat-prod-le}[OF \ \langle m \neq 0 \rangle \ \langle m * n \leq |w| \rangle]$ ,  $\text{THEN take-len}$  **by**  $\text{blast}$   
**have**  $(\text{take } n \ w)^{\textcircled{m}} \leq p \ w$   
**using**  $\langle \text{period } w \ n \rangle$  [unfolded  $\text{period-def}$ ]  
 $\text{ruler-le}[of \ \text{take } n \ w^{\textcircled{m}} \ \text{take } n \ w^{\textcircled{m}} \cdot w \ w, OF \ \text{triv-pref}]$   $\langle m * n \leq |w| \rangle$  [folded  
 $\langle |\text{take } n \ w^{\textcircled{m}}| = m * n \rangle$ ]  
 $\text{per-exp-pref sprefD}$  **by**  $\text{metis}$   
**show**  $?thesis$   
**using**  $\text{pref-take}[OF \ \langle \text{take } n \ w^{\textcircled{m}} \leq p \ w \rangle]$ ,  $\text{unfolded } \langle |\text{take } n \ w^{\textcircled{m}}| = m * n \rangle$ ,  
 $\text{symmetric}$ .

qed simp

**lemma** *per-div*: **assumes**  $n \text{ dvd } |w|$  **and** *period w n*  
**shows**  $(\text{take } n \ w)^\circ(|w| \text{ div } n) = w$   
**using** *take-several-pers*[*OF*  $\langle \text{period } w \ n \rangle \text{ div-times-less-eq-dividend}$ ] **unfolding**  
*dvd-div-mult-self*[*OF*  $\langle n \ \text{dvd } |w| \rangle$ ] *take-self*.

**lemma** *per-mult*: **assumes** *period w n* **and**  $0 < m$  **shows** *period w (m\*n)*

**proof** (*cases*  $m*n \leq |w|$ )  
**have**  $w \neq \varepsilon$  **using** *per-nemp*[*OF*  $\langle \text{period } w \ n \rangle$ ].  
**assume**  $\neg m * n \leq |w|$  **thus** *period w (m\*n)*  
**using** *all-long-pers*[*of*  $w \ m * n$ , *OF*  $\langle w \neq \varepsilon \rangle$ ] **by** *linarith*  
**next**  
**assume**  $m * n \leq |w|$   
**show** *period w (m\*n)*  
**using**  $\langle \text{period } w \ n \rangle$   
**unfolding** *period-def*  
**using** *per-root-add-exp*[*of*  $w \ \text{take } n \ w$ ]  $\langle 0 < m \rangle$   
*take-several-pers*[*OF*  $\langle \text{period } w \ n \rangle \langle m*n \leq |w| \rangle$ , *symmetric*]  
**by** *presburger*

qed

**theorem** *two-periods*:

**assumes** *period w p* *period w q*  $p + q \leq |w|$   
**shows** *period w (gcd p q)*  
**proof**–  
**obtain**  $t$  **where**  $\text{take } p \ w \in t^*$   $\text{take } q \ w \in t^*$   
**using** *two-pers-root*[*OF*  $\langle \text{period } w \ p \rangle$ ] [*unfolded* *period-def*]  $\langle \text{period } w \ q \rangle$  [*unfolded*  
*period-def*],  
*unfolded* *take-len*[*OF* *add-leD1*[*OF*  $\langle p + q \leq |w| \rangle$ ]] *take-len*[*OF* *add-leD2*[*OF*  
 $\langle p + q \leq |w| \rangle$ ]],  
*OF*  $\langle p + q \leq |w| \rangle$ , *unfolded* *comm-root*[*of*  $\text{take } p \ w \ \text{take } q \ w$ ] **by** *blast*  
**hence**  $w <_p t \cdot w$   
**using**  $\langle \text{period } w \ p \rangle$  *period-def* *per-root-trans* **by** *blast*  
**have** *period w |t|*  
**using** *periodI*[*OF* *per-nemp*[*OF*  $\langle \text{period } w \ p \rangle$ ]  $\langle w <_p t \cdot w \rangle$ ].  
**have**  $|t| \text{ dvd } (\text{gcd } p \ q)$   
**using** *gcd-nat.boundedI*[*OF* *root-len-dvd*[*OF*  $\langle \text{take } p \ w \in t^* \rangle$ ] *root-len-dvd*[*OF*  
 $\langle \text{take } q \ w \in t^* \rangle$ ]]  
*unfolded* *take-len*[*OF* *add-leD1*[*OF*  $\langle p + q \leq |w| \rangle$ ]] *take-len*[*OF* *add-leD2*[*OF*  
 $\langle p + q \leq |w| \rangle$ ]].  
**from** *dvd-div-eq-0-iff*[*OF* *this*]  
**have**  $0 < \text{gcd } p \ q \ \text{div } |t|$   
**using** *per-not-zero*[*OF*  $\langle \text{period } w \ p \rangle$ ] **unfolding** *gcd-nat.eq-neutr-iff* **by** *blast*  
**from** *per-mult*[*OF*  $\langle \text{period } w \ |t| \rangle$ ] *this*  
**show** *?thesis*  
**unfolding** *dvd-div-mult-self*[*OF*  $\langle |t| \ \text{dvd } (\text{gcd } p \ q) \rangle$ ].  
qed

**lemma** *index-mod-per-root*: **assumes**  $r \neq \varepsilon$  **and**  $i: \forall i < |w|. w!i = r!(i \bmod |r|)$   
**shows**  $w <_p r \cdot w$

**proof**–

**have**  $i < |w| \implies (r \cdot w)! i = r! (i \bmod |r|)$  **for**  $i$

**by** (*simp add: i mod-if nth-append*)

**hence**  $w \leq_p r \cdot w$

**using** *index-pref*[*of w r · w*]  $i$

**by** *simp*

**thus** *?thesis* **using**  $\langle r \neq \varepsilon \rangle$  **by** *auto*

**qed**

**lemma** *index-pref-pow-mod*:  $w \leq_p r^{\textcircled{k}} \implies i < |w| \implies w!i = r!(i \bmod |r|)$

**using** *index-pow-mod*[*of i r k*] *less-le-trans*[*of i |w| |r<sup>Ⓚ</sup>k*] *prefix-length-le*[*of w r<sup>Ⓚ</sup>k*] *pref-index*[*of w r<sup>Ⓚ</sup>k i*] **by** *argo*

**lemma** *index-per-root-mod*:  $w <_p r \cdot w \implies i < |w| \implies w!i = r!(i \bmod |r|)$

**using** *index-pref-pow-mod*[*of w r · i*] *per-root-powE'* **by** *metis*

**lemma** *root-divisor*: **assumes** *period w k* **and**  $k \text{ dvd } |w|$  **shows**  $w \in (\text{take } k \ w)^*$

**using** *rootI*[*of take k w (|w| div k)*] **unfolding**

*take-several-pers*[*OF*  $\langle \text{period } w \rangle$ , *of*  $|w| \text{ div } k$ , *unfolded dvd-div-mult-self*[*OF*  $\langle k \text{ dvd } |w| \rangle$ ] *take-self*, *OF*, *OF order-refl*].

**lemma** *per-pref'*: **assumes**  $u \neq \varepsilon$  **and** *period v k* **and**  $u \leq_p v$  **shows** *period u k*

**proof**–

{ **assume**  $k \leq |u|$

**have**  $\text{take } k \ v = \text{take } k \ u$

**using** *pref-share-take*[*OF*  $\langle u \leq_p v \rangle$   $\langle k \leq |u| \rangle$ ] **by** *auto*

**hence**  $\text{take } k \ v \neq \varepsilon$

**using**  $\langle \text{period } v \ k \rangle$  **by** *auto*

**hence**  $\text{take } k \ u \neq \varepsilon$

**by** (*simp add:*  $\langle \text{take } k \ v = \text{take } k \ u \rangle$ )

**have**  $u \leq_p \text{take } k \ u \cdot v$

**using**  $\langle \text{period } v \ k \rangle$

**unfolding** *period-def*  $\langle \text{take } k \ v = \text{take } k \ u \rangle$

**using** *pref-trans*[*OF*  $\langle u \leq_p v \rangle$ , *of*  $\text{take } k \ u \cdot v$ ]

**by** *blast*

**hence**  $u \leq_p \text{take } k \ u \cdot u$

**using**  $\langle u \leq_p v \rangle$  *pref-prod-pref* **by** *blast*

**hence** *?thesis*

**using**  $\langle \text{take } k \ u \neq \varepsilon \rangle$  *period-def* **by** *blast*

}

**thus** *?thesis*

**using**  $\langle u \neq \varepsilon \rangle$  *all-long-pers nat-le-linear* **by** *blast*

**qed**

## 2.17.4 Period: overview

```

notepad
begin
  fix w r::'a list
  fix n::nat
  assume w ≠ ε r ≠ ε 0 < n
  have ¬ w <ₚ ε · w
    by simp
  have ¬ ε <ₚ ε · ε
    by simp
  have ε <ₚ r · ε
    using ⟨r ≠ ε⟩ by blast
  have ¬ period w 0
    by simp
  have ¬ period ε 0
    by simp
  have ¬ period ε n
    by simp
end

```

## 2.17.5 Singleton and its power

```

primrec letter-pref-exp :: 'a list ⇒ 'a ⇒ nat where
  letter-pref-exp ε a = 0 |
  letter-pref-exp (b # xs) a = (if b ≠ a then 0 else Suc (letter-pref-exp xs a))

```

```

definition letter-suf-exp :: 'a list ⇒ 'a ⇒ nat where
  letter-suf-exp w a = letter-pref-exp (rev w) a

```

```

lemma concat-len-one: assumes |us| = 1 shows concat us = hd us
  using concat-sing[OF sing-word[OF ⟨|us| = 1⟩, symmetric]].

```

```

lemma sing-pow-hd-tl: c # w ∈ [a]* ⟷ c = a ∧ w ∈ [a]*

```

**proof**

```

  assume c = a ∧ w ∈ [a]*
  thus c # w ∈ [a]*
    unfolding hd-word[of - w] using add-root[of [c] w] by simp

```

**next**

```

  assume c # w ∈ [a]*
  then obtain k where [a]@k = c # w unfolding root-def by blast
  thus c = a ∧ w ∈ [a]*
  proof (cases 0 < k)
    assume [a]@k = c # w and 0 < k
    from eqd-eq[of [a], OF this(1)[unfolded hd-word[of - w] pow-pos[OF ⟨0 < k⟩]]]
    show ?thesis
      unfolding root-def by auto
  qed simp

```

**qed**

**qed**

**lemma** *pref-sing-pow*: **assumes**  $w \leq_p [a]^{\textcircled{m}}$  **shows**  $w = [a]^{\textcircled{m}}(|w|)$

**proof**–

**have**  $[a]^{\textcircled{m}} = [a]^{\textcircled{m}}(|w|) \cdot [a]^{\textcircled{m}}(m - |w|)$

**using** *pop-pow*[*OF prefix-length-le*[*OF assms, unfolded sing-pow-len*], *of*  $[a]$ , *symmetric*].

**show** *?thesis*

**using** *eqd-eq(1)*[*of*  $w \ w^{-1} > [a]^{\textcircled{m}} \ m \ [a]^{\textcircled{m}}(|w|) \ [a]^{\textcircled{m}}(m - |w|)$ ,  
*unfolded lq-pref*[*OF assms*] *sing-pow-len*,  
*OF*  $\langle [a]^{\textcircled{m}} \ m = [a]^{\textcircled{m}}(|w|) \cdot [a]^{\textcircled{m}}(m - |w|) \rangle$  *refl*].

**qed**

**lemma** *sing-pow-palindrom*: **assumes**  $w = [a]^{\textcircled{k}}$  **shows**  $\text{rev } w = w$

**using** *rev-pow*[*of*  $[a] \ |w|$ , *unfolded rev-sing*]

**unfolding** *pref-sing-pow*[*of*  $w \ a \ k$ , *unfolded assms*[*unfolded root-def, symmetric*],  
*OF self-pref, symmetric*].

**lemma** *suf-sing-power*: **assumes**  $w \leq_s [a]^{\textcircled{m}}$  **shows**  $w \in [a]^*$

**using** *sing-pow-palindrom*[*of*  $\text{rev } w \ a \ |\text{rev } w|$ , *unfolded rev-rev-ident*]

*pref-sing-pow*[*of*  $\text{rev } w \ a \ m$ , *OF*  $\langle w \leq_s [a]^{\textcircled{m}} \ m \rangle$  [*unfolded suffix-to-prefix rev-pow*  
*rev-rev-ident rev-sing*]]

*rootI*[*of*  $[a] \ |\text{rev } w|$ ] **by** *auto*

**lemma** *sing-fac-pow*: **assumes**  $w \in [a]^*$  **and**  $v \leq_f w$  **shows**  $v \in [a]^*$

**proof**–

**obtain**  $k$  **where**  $w = [a]^{\textcircled{k}}$  **using**  $\langle w \in [a]^* \rangle$  [*unfolded root-def*] **by** *blast*

**obtain**  $p$  **where**  $p \leq_p w$  **and**  $v \leq_s p$

**using** *fac-pref-suf*[*OF*  $\langle v \leq_f w \rangle$ ] **by** *blast*

**hence**  $v \leq_s [a]^{\textcircled{p}}$  [ $p$ ]

**using** *pref-sing-pow*[*OF*  $\langle p \leq_p w \rangle$  [*unfolded*  $\langle w = [a]^{\textcircled{k}} \rangle$ ]] **by** *argo*

**from** *suf-sing-power*[*OF this*]

**show** *?thesis*.

**qed**

**lemma** *sing-pow-fac'*: **assumes**  $a \neq b$  **and**  $w \in [a]^*$  **shows**  $\neg ([b] \leq_f w)$

**using** *sing-fac-pow*[*OF*  $\langle w \in [a]^* \rangle$ , *of*  $[b]$ ] **unfolding** *sing-pow-hd-tl*[*of*  $b \ \varepsilon$ ]

**using**  $\langle a \neq b \rangle$  **by** *auto*

**lemma** *all-set-sing-pow*:  $(\forall b. b \in \text{set } w \longrightarrow b = a) \longleftrightarrow w \in [a]^*$  (**is** *?All*  $\longleftrightarrow$  -)

**proof**

**assume** *?All*

**then show**  $w \in [a]^*$

**proof** (*induct w*)

**case** (*Cons c w*)

**then show** *?case*

**by** (*simp add: sing-pow-hd-tl*)

**qed** *simp*

**next**

**assume**  $w \in [a]^*$

**then show** *?All*

**proof** (*induct w*)  
**case** (*Cons c w*)  
**then show** *?case*  
**unfolding** *sing-pow-hd-tl* **by** *simp*  
**qed** *simp*  
**qed**

**lemma** *sing-fac-set*:  $[a] \leq_f x \implies a \in \text{set } x$   
**by** *fastforce*

**lemma** *set-sing-pow-hd* [*simp*]: **assumes**  $0 < k$  **shows**  $a \in \text{set } ([a]^{\textcircled{a}} k)$   
**using** *assms gr0-conv-Suc* **by** *force*

**lemma** *neq-set-not-root*:  $a \neq b \implies b \in \text{set } x \implies x \notin [a]^*$   
**using** *all-set-sing-pow* **by** *metis*

**lemma** *sing-pow-set-Suc*[*simp*]:  $\text{set } ([a]^{\textcircled{a}} \text{Suc } k) = \{a\}$   
**by** (*induct k, simp-all*)

**lemma** *sing-pow-set*[*simp*]: **assumes**  $0 < k$  **shows**  $\text{set } ([a]^{\textcircled{a}} k) = \{a\}$   
**using** *sing-pow-set-Suc*[*of - k - 1, unfolded Suc-minus-pos*[*OF assms*]].

**lemma** *sing-pow-set-sub*:  $\text{set } ([a]^{\textcircled{a}} k) \subseteq \{a\}$   
**by** (*induct k, simp-all*)

**lemma** *unique-letter-fac-expE*: **assumes**  $w \leq_f [a]^{\textcircled{a}} k$   
**obtains**  $m$  **where**  $w = [a]^{\textcircled{a}} m$   
**using** *unique-letter-wordE'*[*OF subset-trans*[*OF set-mono-sublist*[*OF assms*] *sing-pow-set-sub*]]  
**by** *blast*

**lemma** *neq-in-set-not-pow*:  $a \neq b \implies b \in \text{set } x \implies x \neq [a]^{\textcircled{a}} k$   
**by** (*cases*  $0 < k$ , *use sing-pow-set singleton-iff* **in** *metis*) *force*

**lemma** *sing-pow-card-set-Suc*: **assumes**  $c = [a]^{\textcircled{a}} \text{Suc } k$  **shows**  $\text{card}(\text{set } c) = 1$   
**proof**–

**have**  $\text{card } \{a\} = 1$  **by** *simp*  
**from** *this*[*folded sing-pow-set-Suc*[*of a k*]]  
**show**  $\text{card}(\text{set } c) = 1$   
**unfolding** *assms*.  
**qed**

**lemma** *sing-pow-card-set*: **assumes**  $k \neq 0$  **and**  $c = [a]^{\textcircled{a}} k$  **shows**  $\text{card}(\text{set } c) = 1$   
**using** *sing-pow-card-set-Suc*[*of c a k - 1, unfolded Suc-minus*[*OF <k ≠ 0>*], *OF <c = [a]^{\textcircled{a}} k>*].

**lemma** *sing-pow-set'*:  $u \in [a]^* \implies u \neq \varepsilon \implies \text{set } u = \{a\}$   
**unfolding** *all-set-sing-pow*[*symmetric*]  
**using** *lists-hd-in-set*[*of u*] *is-singletonI'*[*unfolded is-singleton-the-elem, of set u*]

$\text{singleton-iff}[of\ a\ the\ elem\ (set\ u)]$   
**by** *auto*

**lemma** *root-sing-set-iff*:  $u \in [a]^* \longleftrightarrow set\ u \subseteq \{a\}$   
**by** (*rule*, *use sing-pow-set'[of u a, folded set-empty2]* **in force**, *use all-set-sing-pow[of u a]* **in force**)

**lemma** *letter-pref-exp-hd*:  $u \neq \varepsilon \implies hd\ u = a \implies letter\text{-}pref\text{-}exp\ u\ a \neq 0$   
**by** (*induct u*, *auto*)

**lemma** *letter-pref-exp-pref*:  $[a]^\circ(letter\text{-}pref\text{-}exp\ w\ a) \leq_p w$   
**by**(*induct w*, *simp*, *simp*)

**lemma** *letter-pref-exp-Suc*:  $\neg [a]^\circ(Suc\ (letter\text{-}pref\text{-}exp\ w\ a)) \leq_p w$   
**by** (*induct w*, *simp-all add: prefix-def*)

**lemma** *takeWhile-letter-pref-exp*:  $takeWhile\ (\lambda x. x = a)\ w = [a]^\circ(letter\text{-}pref\text{-}exp\ w\ a)$   
**by** (*induct w*, *simp*, *simp*)

**lemma** *concat-takeWhile-sing*:  $concat\ (takeWhile\ (\lambda x. x = u)\ ws) = u^\circ | takeWhile\ (\lambda x. x = u)\ ws |$   
**unfolding** *takeWhile-letter-pref-exp concat-sing-pow sing-pow-len ..*

**lemma** *dropWhile-distinct*: **assumes**  $w \neq [a]^\circ(letter\text{-}pref\text{-}exp\ w\ a)$   
**shows**  $[a]^\circ(letter\text{-}pref\text{-}exp\ w\ a) \cdot [hd\ (dropWhile\ (\lambda x. x = a)\ w)] \leq_p w$   
**proof**–  
**have** *nemp*:  $dropWhile\ (\lambda x. x = a)\ w \neq \varepsilon$   
**using** *takeWhile-dropWhile-id[of  $\lambda x. x = a\ w$ , unfolded takeWhile-letter-pref-exp]*  
 $\langle w \neq [a]^\circ(letter\text{-}pref\text{-}exp\ w\ a) \rangle$   
**by force**  
**from** *takeWhile-dropWhile-id[of  $\lambda x. x = a\ w$ , unfolded takeWhile-letter-pref-exp]*  
**have**  $[a]^\circ(letter\text{-}pref\text{-}exp\ w\ a) \cdot [hd\ (dropWhile\ (\lambda x. x = a)\ w)] \cdot tl\ (dropWhile\ (\lambda x. x = a)\ w) = w$   
**unfolding** *hd-tl[OF nemp]*.  
**thus** *?thesis*  
**unfolding** *lassoc using triv-pref by blast*

**qed**

**lemma** *letter-pref-exp-mismatch*:  $u = [a]^\circ letter\text{-}pref\text{-}exp\ u\ a \cdot v \implies v \neq \varepsilon \implies hd\ v \neq a$   
**using** *hd-pref letter-pref-exp-Suc[unfolded pow-Suc'] same-prefix-prefix* **by** *metis*

**lemma** *takeWhile-sing-root*:  $takeWhile\ (\lambda x. x = a)\ w \in [a]^*$   
**unfolding** *all-set-sing-pow[symmetric]* **using** *set-takeWhileD[of -  $\lambda x. x = a\ w]$*   
**by** *blast*

**lemma** *takeWhile-sing-pow*:  $takeWhile\ (\lambda x. x = a)\ w = w \longleftrightarrow w = [a]^\circ | w |$



**by**(*induct w, auto*)

**lemma** *dropWhile-sing-pow*:  $\text{dropWhile } (\lambda x. x = a) w = \varepsilon \longleftrightarrow w = [a]^\text{@} | w |$   
**by**(*induct w, auto*)

**lemma** *nemp-takeWhile-hd*:  $us \neq \varepsilon \implies \text{hd } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \text{hd } us$   
**by** (*simp add: pref-hd-eq takeWhile-eq-Nil-iff takeWhile-is-prefix*)

**lemma** *nemp-takeWhile-last*:  $us \neq \varepsilon \implies \text{last } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \text{hd } us$   
**proof** (*induct us*)  
**case** (*Cons a us*)  
**then show** *?case*  
**by** (*simp add: takeWhile-eq-Nil-iff*) *blast*  
**qed** *simp*

**lemma** *card-set-decompose*: **assumes**  $1 < \text{card } (\text{set } us)$   
**shows**  $\text{takeWhile } (\lambda a. a = \text{hd } us) us \neq \varepsilon$  **and**  $\text{dropWhile } (\lambda a. a = \text{hd } us) us \neq \varepsilon$  **and**  
 $\text{set } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \{\text{hd } us\}$  **and**  
 $\text{last } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) \neq \text{hd}(\text{dropWhile } (\lambda a. a = \text{hd } us) us)$   
**proof**–  
**have**  $us \neq \varepsilon$   
**using** *assms by force*  
**thus**  $\text{takeWhile } (\lambda a. a = \text{hd } us) us \neq \varepsilon$   
**by** (*simp add: takeWhile-eq-Nil-iff*)  
**from** *sing-pow-set*[*OF takeWhile-sing-root this*]  
**show** *set*:  $\text{set } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \{\text{hd } us\}$ .  
**show** *dropWhile*:  $\text{dropWhile } (\lambda a. a = \text{hd } us) us \neq \varepsilon$   
**proof** (*rule notI*)  
**assume**  $\text{dropWhile } (\lambda a. a = \text{hd } us) us = \varepsilon$   
**from** *set*[*unfolded takeWhile-dropWhile-id*][*of* ( $\lambda a. a = \text{hd } us$ ) *us*, *unfolded this emp-simps*]  
**show** *False*  
**using** *assms by force*  
**qed**  
**from** *hd-dropWhile*[*OF this*]  
**show**  $\text{last } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) \neq \text{hd}(\text{dropWhile } (\lambda a. a = \text{hd } us) us)$   
**unfolding** *nemp-takeWhile-last*[*OF*  $\langle us \neq \varepsilon \rangle$ ] **by** *simp*  
**qed**

**lemma** *distinct-letter-in*: **assumes**  $w \notin [a]^*$   
**obtains**  $m b q$  **where**  $[a]^\text{@} m \cdot [b] \cdot q = w$  **and**  $b \neq a$   
**proof**–  
**have**  $\text{dropWhile } (\lambda x. x = a) w \neq \varepsilon$   
**unfolding** *dropWhile-sing-pow* **using** *assms rootI*[*of*  $[a] | w |$ ] **by** *auto*  
**hence** *eq*:  $\text{takeWhile } (\lambda x. x = a) w \cdot [\text{hd } (\text{dropWhile } (\lambda x. x = a) w)] \cdot \text{tl } (\text{dropWhile } (\lambda x. x = a) w) = w$

**by** *simp*  
**have** *root:takeWhile*  $(\lambda x. x = a) w \in [a]^*$   
**by** (*simp add: takeWhile-sing-root*)  
**have** *hd*  $(\text{dropWhile } (\lambda x. x = a) w) \neq a$   
**using** *hd-dropWhile*[*OF*  $\langle \text{dropWhile } (\lambda x. x = a) w \neq \varepsilon \rangle$ ].  
**from** *that*[*OF* - *this*]  
**show** *thesis*  
**using** *eq root unfolding root-def by metis*  
**qed**

**lemma** *distinct-letter-in-hd*: **assumes**  $w \notin [hd\ w]^*$   
**obtains**  $m\ b\ q$  **where**  $[hd\ w]^{\textcircled{m}} \cdot [b] \cdot q = w$  **and**  $b \neq hd\ w$  **and**  $m \neq 0$   
**proof**-  
**obtain**  $m\ b\ q$  **where** *a1*:  $[hd\ w]^{\textcircled{m}} \cdot [b] \cdot q = w$  **and** *a2*:  $b \neq hd\ w$   
**using** *distinct-letter-in*[*OF* *assms*].  
**have**  $m \neq 0$   
**proof** (*rule notI*)  
**assume**  $m = 0$   
**note** *a1*[*unfolded this pow-zero emp-simps, folded hd-word*]  
**thus** *False* **using** *a2* **by** *force*  
**qed**  
**from** *that*[*OF* *a1 a2 this*]  
**show** *thesis*.  
**qed**

**lemma** *distinct-letter-in-hd'*: **assumes**  $w \notin [hd\ w]^*$   
**obtains**  $m\ b\ q$  **where**  $[hd\ w]^{\textcircled{m}} \text{Suc } m \cdot [b] \cdot q = w$  **and**  $b \neq hd\ w$   
**using** *distinct-letter-in-hd*[*OF* *assms*] *Suc-minus* **by** *metis*

**lemma** *distinct-letter-in-suf*: **assumes**  $w \notin [a]^*$   
**obtains**  $m\ b$  **where**  $[b] \cdot [a]^{\textcircled{m}} \leq_s w$  **and**  $b \neq a$   
**using** *distinct-letter-in*[*reversed, unfolded rassoc, OF* *assms*]  
**unfolding** *suffix-def* **by** *metis*

**lemma** *sing-pow-exp*: **assumes**  $w \in [a]^*$  **shows**  $w = [a]^{\textcircled{|w|}} |w|$   
**proof**-  
**obtain**  $k$  **where**  $[a]^{\textcircled{k}} k = w$   
**using** *rootE*[*OF* *assms*].  
**from** *this*[*folded sing-pow-len*[*of a k, folded this, unfolded this*], *symmetric*]  
**show** *?thesis*.  
**qed**

**lemma** *sing-power'*: **assumes**  $w \in [a]^*$  **and**  $i < |w|$  **shows**  $w ! i = a$   
**using** *sing-pow-nth*[*OF*  $\langle i < |w| \rangle$ , *of a, folded sing-pow-exp*[*OF*  $\langle w \in [a]^* \rangle$ ]].

**lemma** *rev-sing-power*:  $x \in [a]^* \implies \text{rev } x = x$   
**unfolding** *root-def* **using** *rev-pow rev-singleton-conv* **by** *metis*

**lemma** *lcp-letter-power*:

**assumes**  $w \neq \varepsilon$  **and**  $w \in [a]^*$  **and**  $[a]^{\textcircled{m}} \cdot [b] \leq_p z$  **and**  $a \neq b$   
**shows**  $w \cdot z \wedge_p z \cdot w = [a]^{\textcircled{m}}$   
**proof**–  
**obtain**  $k z'$  **where**  $w = [a]^{\textcircled{k}} z = [a]^{\textcircled{m}} \cdot [b] \cdot z'$   $k \neq 0$   
**using**  $\langle w \in [a]^* \rangle \langle [a]^{\textcircled{m}} \cdot [b] \leq_p z \rangle \langle w \neq \varepsilon \rangle$  *nemp-pow*[of  $[a]$ ]  
**unfolding** *root-def*  
**by** (*auto simp add: prefix-def*)  
**hence** *eq1*:  $w \cdot z = [a]^{\textcircled{m}} \cdot ([a]^{\textcircled{k}} \cdot [b] \cdot z')$  **and** *eq2*:  $z \cdot w = [a]^{\textcircled{m}} \cdot ([b] \cdot z'$   
 $[a]^{\textcircled{k}})$   
**by** (*simp add: \langle w = [a]^{\textcircled{k}} \rangle \langle z = [a]^{\textcircled{m}} \cdot [b] \cdot z' \rangle* *pows-comm*) +  
**have** *hd* ( $[a]^{\textcircled{k}} \cdot [b] \cdot z'$ ) =  $a$   
**using** *hd-append2*[*OF*  $\langle w \neq \varepsilon \rangle$ , of  $[b] \cdot z'$ ,  
*unfolded*  $\langle w = (a \# \varepsilon)^{\textcircled{k}} \rangle$  *hd-sing-pow*[*OF*  $\langle k \neq 0 \rangle$ , of  $a$ ].  
**moreover have** *hd*( $[b] \cdot z' \cdot [a]^{\textcircled{k}}$ ) =  $b$   
**by** *simp*  
**ultimately have**  $[a]^{\textcircled{k}} \cdot [b] \cdot z' \wedge_p [b] \cdot z' \cdot [a]^{\textcircled{k}} = \varepsilon$   
**by** (*simp add: \langle a \neq b \rangle* *lcp-distinct-hd*)  
**thus** *?thesis* **using** *eq1 eq2 lcp-ext-left*[of  $[a]^{\textcircled{m}} [a]^{\textcircled{k}} \cdot [b] \cdot z' [b] \cdot z' \cdot [a]^{\textcircled{k}}$ ]  
**by** *simp*  
**qed**

**lemma per-one**: **assumes**  $w <_p [a] \cdot w$  **shows**  $w \in [a]^*$   
**proof**–  
**have**  $w <_p (a \# \varepsilon)^{\textcircled{n}} \implies 0 < n \implies w \in [a]^*$  **for**  $n$   
**using** *pref-sing-pow*[of  $w a$ ] *sprefD1 rootI*[of  $[a] |w|$ ] **by** *metis*  
**with** *rootI per-root-powE*[*OF* *assms*]  
**show** *?thesis*  
**by** *blast*  
**qed**

**lemma per-one'**:  $w \in [a]^* \implies w <_p [a] \cdot w$   
**using** *comm-root self-root triv-spref*[*OF* *not-Cons-self2*] **by** *blast*

**lemma per-sing-one**: **assumes**  $w \neq \varepsilon$   $w <_p [a] \cdot w$  **shows** *period w 1*  
**using** *periodI*[*OF*  $\langle w \neq \varepsilon \rangle \langle w <_p [a] \cdot w \rangle$ ] **unfolding** *sing-len*[of  $a$ ].

## 2.18 Border

A non-empty word  $x \neq w$  is a *border* of a word  $w$  if it is both its prefix and suffix. This elementary property captures how much the word  $w$  overlaps with itself, and it is in the obvious way related to a period of  $w$ . However, in many cases it is much easier to reason about borders than about periods.

**definition** *border* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool ( $\langle \cdot \leq b \rightarrow [51,51] 60 \rangle$ )  
**where** [*simp*]: *border*  $x w = (x \leq_p w \wedge x \leq_s w \wedge x \neq w \wedge x \neq \varepsilon)$

**definition** *bordered* :: 'a list  $\Rightarrow$  bool  
**where** [*simp*]: *bordered*  $w = (\exists b. b \leq w)$

**lemma** *borderI*[*intro*]:  $x \leq_p w \implies x \leq_s w \implies x \neq w \implies x \neq \varepsilon \implies x \leq_b w$   
**unfolding** *border-def* **by** *blast*

**lemma** *borderD-pref*:  $x \leq_b w \implies x \leq_p w$   
**unfolding** *border-def* **by** *blast*

**lemma** *borderD-spref*:  $x \leq_b w \implies x <_p w$   
**unfolding** *border-def* **by** *simp*

**lemma** *borderD-suf*:  $x \leq_b w \implies x \leq_s w$   
**unfolding** *border-def* **by** *blast*

**lemma** *borderD-ssuf*:  $x <_b w \implies x <_s w$   
**unfolding** *border-def* **by** *blast*

**lemma** *borderD-nemp*:  $x \leq_b w \implies x \neq \varepsilon$   
**using** *border-def* **by** *blast*

**lemma** *borderD-neq*:  $x \leq_b w \implies x \neq w$   
**unfolding** *border-def* **by** *blast*

**lemma** *borderedI*:  $u \leq_b w \implies \text{bordered } w$   
**unfolding** *bordered-def* **by** *fast*

**lemma** *border-lq-nemp*: **assumes**  $x \leq_b w$  **shows**  $x^{-1} > w \neq \varepsilon$   
**using** *assms borderD-spref lq-spref* **by** *blast*

**lemma** *border-rq-nemp*: **assumes**  $x \leq_b w$  **shows**  $w <^{-1} x \neq \varepsilon$   
**using** *assms borderD-ssuf rq-ssuf* **by** *blast*

**lemma** *border-trans*[*trans*]: **assumes**  $t \leq_b x$   $x \leq_b w$   
**shows**  $t \leq_b w$   
**using** *assms unfolding border-def*  
**using** *suffix-order.antisym pref-trans*[*of t x w*] *suf-trans*[*of t x w*] **by** *blast*

**lemma** *border-rev-conv*[*reversal-rule*]:  $\text{rev } x \leq_b \text{rev } w \iff x \leq_b w$   
**unfolding** *border-def*  
**using** *rev-is-Nil-conv*[*of x*] *rev-swap*[*of w*] *rev-swap*[*of x*]  
*suf-rev-pref-iff*[*of x w*] *pref-rev-suf-iff*[*of x w*]  
**by** *fastforce*

**lemma** *border-lq-comp*:  $x \leq_b w \implies (w <^{-1} x) \bowtie x$   
**unfolding** *border-def* **using** *rq-suf-suf ruler'* **by** *metis*

**lemmas** *border-lq-suf-comp* = *border-lq-comp*[*reversed*]

### 2.18.1 The shortest border

**lemma** *border-len*: **assumes**  $x \leq_b w$

**shows**  $1 < |w|$  **and**  $0 < |x|$  **and**  $|x| < |w|$   
**proof**–  
**show**  $|x| < |w|$   
**using** *assms prefix-length-less unfolding border-def strict-prefix-def*  
**by** *blast*  
**show**  $0 < |x|$   
**using** *assms unfolding border-def* **by** *blast*  
**thus**  $1 < |w|$   
**using** *assms*  $\langle |x| < |w| \rangle$  **unfolding** *border-def*  
**by** *linarith*  
**qed**

**lemma** *borders-compare*: **assumes**  $x \leq b w$  **and**  $x' \leq b w$  **and**  $|x'| < |x|$   
**shows**  $x' \leq b x$   
**using** *ruler-le[OF borderD-pref[OF  $\langle x' \leq b w \rangle$ ] borderD-pref[OF  $\langle x \leq b w \rangle$ ]*  
*less-imp-le-nat[OF  $\langle |x'| < |x| \rangle$ ]*  
*suf-ruler-le[OF borderD-suf[OF  $\langle x' \leq b w \rangle$ ] borderD-suf[OF  $\langle x \leq b w \rangle$ ] less-imp-le-nat[OF  $\langle |x'| < |x| \rangle$ ]]*  
*borderD-nemp[OF  $\langle x' \leq b w \rangle$ ]  $\langle |x'| < |x| \rangle$*   
*borderI* **by** *blast*

**lemma** *unbordered-border*:  
 $\text{bordered } w \implies \exists x. x \leq b w \wedge \neg \text{bordered } x$   
**proof** (*induction*  $|w|$  *arbitrary: w rule: less-induct*)  
**case** *less*  
**obtain**  $x'$  **where**  $x' \leq b w$   
**using** *bordered-def less.prem* **by** *blast*  
**show** *?case*  
**proof** (*cases bordered*  $x'$ )  
**assume**  $\neg \text{bordered } x'$   
**thus** *?case*  
**using**  $\langle x' \leq b w \rangle$  **by** *blast*  
**next**  
**assume** *bordered*  $x'$   
**from** *less.hyps*[*OF border-len*(3)](*OF*  $\langle x' \leq b w \rangle$ ) *this*  
**show** *?case*  
**using** *border-trans*[*of* -  $x' w$ ]  $\langle x' \leq b w \rangle$  **by** *blast*  
**qed**  
**qed**

**lemma** *unbordered-border-shortest*:  $x \leq b w \implies \neg \text{bordered } x \implies y \leq b w \implies |x| \leq |y|$   
**using** *bordered-def*[*of*  $x$ ] *borders-compare*[*of*  $x w y$ ] *not-le-imp-less*[*of*  $|x| |y|$ ] **by** *blast*

**lemma** *long-border-bordered*: **assumes** *long*:  $|w| < |x| + |x|$  **and** *border*:  $x \leq b w$   
**shows**  $(w^{<-1}x)^{-1} > x \leq b x$   
**proof**–  
**define**  $p$   $s$  **where**  $p = w^{<-1}x$  **and**  $s = x^{-1} > w$

**hence**  $eq: p \cdot x = x \cdot s$   
**using** *assms unfolding border-def using lq-pref[of x w] rq-suf[of x w]* **by** *simp*  
**have**  $|p| < |x|$   
**using** *lenarg[OF p-def] long unfolding rq-len by linarith*  
**have**  $px: p \cdot p^{-1} > x = x$  **and**  $sx: p^{-1} > x \cdot s = x$   
**using** *eqd-pref[OF eq less-imp-le, OF <|p| < |x|>]* **by** *blast+*  
**have**  $p^{-1} > x \neq \varepsilon$   
**using**  $\langle |p| < |x| \rangle px$  **by** *fastforce*  
**have**  $p \neq \varepsilon$   
**using** *border-rq-nemp[OF border] p-def*  
**by** *presburger*  
**have**  $p^{-1} > x \neq x$   
**using**  $\langle p \neq \varepsilon \rangle px$  **by** *force*  
**have**  $(p^{-1} > x) \leq b x$   
**unfolding** *border-def*  
**using** *eqd-pref[OF eq less-imp-le, OF <|p| < |x|>] <p^{-1} > x \neq \varepsilon <p^{-1} > x \neq x* **by**  
*blast*  
**thus** *?thesis*  
**unfolding** *p-def*.  
**qed**

**thm** *long-border-bordered[reversed]*

**lemma** *border-short-dec*: **assumes** *border: x ≤ b w* **and** *short: |x| + |x| ≤ |w|*  
**shows**  $x \cdot x^{-1} > (w^{<-1} x) \cdot x = w$   
**proof-**  
**have**  $eq: x \cdot x^{-1} > w = w^{<-1} x \cdot x$   
**using** *lq-pref[OF borderD-pref[OF border]] rq-suf[OF borderD-suf[OF border]]*  
**by** *simp*  
**have**  $|x| \leq |w^{<-1} x|$   
**using** *short unfolding rq-len by linarith*  
**from** *lq-pref[of x w, OF borderD-pref[OF border], folded conjunct2[OF eqd-pref[OF eq this]]]*  
**show** *?thesis*.  
**qed**

**lemma** *bordered-dec*: **assumes** *bordered w*  
**obtains**  $u v$  **where**  $u \cdot v \cdot u = w$  **and**  $u \neq \varepsilon$   
**proof-**  
**obtain**  $u$  **where**  $u \leq b w$  **and**  $\neg$  *bordered u*  
**using** *unbordered-border[OF assms]* **by** *blast*  
**have**  $|u| + |u| \leq |w|$   
**using** *long-border-bordered[OF - <u ≤ b w>] <\neg bordered u> bordered-def leI* **by**  
*blast*  
**from** *border-short-dec[OF <u ≤ b w> this, THEN that, OF borderD-nemp[OF <u ≤ b w>]]*  
**show** *thesis*.  
**qed**

**lemma** *emp-not-bordered*:  $\neg$  bordered  $\varepsilon$   
**by** *simp*

**lemma** *bordered-nemp*: bordered  $w \implies w \neq \varepsilon$   
**using** *emp-not-bordered* **by** *blast*

**lemma** *sing-not-bordered*:  $\neg$  bordered  $[a]$   
**using** *bordered-dec*[of  $[a]$  *False*] *append-eq-Cons-conv*[of - -  $a \ \varepsilon$ ] *suf-nemp* **by** *fast*

## 2.18.2 Relation to period and conjugation

**lemma** *border-conjug-eq*:  $x \leq b \ w \implies (w^{<-1}x) \cdot w = w \cdot (x^{-1}>w)$   
**using** *lq-rq-reassoc-suf*[*OF* *borderD-pref* *borderD-suf*, *symmetric*] **by** *blast*

**lemma** *border-per-root*:  $x \leq b \ w \implies w \leq p \ (w^{<-1}x) \cdot w$   
**using** *border-conjug-eq* **by** *blast*

**lemma** *per-root-border*: **assumes**  $|r| < |w|$  **and**  $r \neq \varepsilon$  **and**  $w \leq p \ r \cdot w$   
**shows**  $r^{-1}>w \leq b \ w$

**proof**

**have**  $|r| \leq |w|$  **and**  $r \leq p \ w$

**using** *less-imp-le*[*OF*  $\langle |r| < |w| \rangle$ ] *pref-prod-long*[*OF*  $\langle w \leq p \ r \cdot w \rangle$ ] **by** *blast+*

**show**  $r^{-1}>w \leq p \ w$

**using** *pref-lq*[*OF*  $\langle w \leq p \ r \cdot w \rangle$ , of  $r$ ] **unfolding** *lq-triv*.

**show**  $r^{-1}>w \leq s \ w$

**using**  $\langle r \leq p \ w \rangle$  **by** (*auto simp add: prefix-def*)

**show**  $r^{-1}>w \neq w$

**using**  $\langle r \leq p \ w \rangle$   $\langle r \neq \varepsilon \rangle$  **unfolding** *prefix-def* **by** *fastforce*

**show**  $r^{-1}>w \neq \varepsilon$

**using** *lq-pref*[*OF*  $\langle r \leq p \ w \rangle$ ]  $\langle |r| < |w| \rangle$  **by** *force*

**qed**

**lemma** *pref-suf-neq-per*: **assumes**  $x \leq p \ w$  **and**  $x \leq s \ w$  **and**  $x \neq w$  **shows** *period*  
 $w \ (|w| - |x|)$

**proof**–

**have**  $(w^{<-1}x) \cdot x = w$

**using** *rq-suf*[*OF*  $\langle x \leq s \ w \rangle$ ].

**have**  $x \cdot (x^{-1}>w) = w$

**using** *lq-pref*[*OF*  $\langle x \leq p \ w \rangle$ ].

**have** *take*:  $w^{<-1}x = \text{take} \ (|w| - |x|) \ w$

**using** *rq-take*.

**have** *nemp*:  $\text{take} \ (|w| - |x|) \ w \neq \varepsilon$

**using**  $\langle x \leq p \ w \rangle$   $\langle x \neq w \rangle$  **unfolding** *prefix-def* **by** *auto*

**have**  $w \leq p \ \text{take} \ (|w| - |x|) \ w \cdot w$

**using** *triv-pref*[of  $w \ x^{-1}>w$ ]

**unfolding** *lassoc*[of  $w^{<-1}x \ x \ x^{-1}>w$ , *unfolded*  $\langle x \cdot x^{-1}>w = w \rangle$   $\langle w^{<-1}x \cdot x = w \rangle$ , *symmetric*] *take*.

**thus** *period*  $w \ (|w| - |x|)$

**unfolding** *period-def* **using** *nemp* **by** *blast*

qed

**lemma** *border-per*:  $x \leq b w \implies \text{period } w (|w| - |x|)$   
**unfolding** *border-def* **using** *pref-suf-neq-per* **by** *blast*

**lemma** *per-border*: **assumes**  $n < |w|$  **and** *period*  $w n$   
**shows** *take*  $(|w| - n) w \leq b w$

**proof**–

**have** *eq*: *take*  $(|w| - n) w = \text{drop } n w$   
**using** *pref-take* $[OF \langle \text{period } w n \rangle [\text{unfolded}$   
*per-shift* $[OF \text{per-nemp}[OF \langle \text{period } w n \rangle] \text{per-not-zero}[OF \langle \text{period } w n \rangle]]]$ , *unfolded*  
*length-drop*].

**have** *take*  $(|w| - n) w \neq \varepsilon$

**using**  $\langle n < |w| \rangle$  *take-eq-Nil* **by** *fastforce*

**moreover** **have** *take*  $(|w| - n) w \neq w$

**using** *per-not-zero* $[OF \langle \text{period } w n \rangle]$   $\langle n < |w| \rangle$  **unfolding** *take-all-iff* $[of |w| - n$   
 $w]$  **by** *fastforce*

**ultimately** **show** *?thesis*

**unfolding** *border-def* **using** *take-is-prefix* $[of |w| - n w]$  *suffix-drop* $[of n w, \text{folded}$   
*eq]* **by** *blast*

qed

## 2.19 The longest border and the shortest period

### 2.19.1 The longest border

**definition** *max-borderP* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**

*max-borderP*  $u w = (u \leq p w \wedge u \leq s w \wedge (u = w \longrightarrow w = \varepsilon) \wedge (\forall v. v \leq b w$   
 $\longrightarrow v \leq p u))$

**lemma** *max-borderP-emp-emp*: *max-borderP*  $\varepsilon \varepsilon$

**unfolding** *max-borderP-def* **by** *simp*

**lemma** *max-borderP-exE*: **obtains**  $u$  **where** *max-borderP*  $u w$

**proof**–

**define**  $P$  **where**  $P = (\lambda x. x \leq p w \wedge x \leq s w \wedge (x = w \longrightarrow w = \varepsilon))$

**have**  $P \varepsilon$

**unfolding** *P-def* **by** *blast*

**obtain**  $v$  **where**  $v \leq p w$  **and**  $P v$  **and**  $(\bigwedge y. y \leq p w \implies P y \implies y \leq p w)$

**using** *max-pref* $[of \varepsilon w P \text{thesis}, OF \text{prefix-bot.extremum } \langle P \varepsilon \rangle]$  **by** *blast*

**hence** *max-borderP*  $v w$

**unfolding** *max-borderP-def* *border-def* *P-def* **by** *presburger*

**from** *that* $[OF \text{this}]$

**show** *thesis*.

qed

**lemma** *max-borderP-of-nemp*: *max-borderP*  $u \varepsilon \implies u = \varepsilon$

**by** (*metis* *max-borderP-def* *suffix-bot.extremum-unique*)



**lemma** *max-borderP-D-neq*:  $w \neq \varepsilon \implies \text{max-borderP } u \ w \implies u \neq w$   
**by** (*simp add: max-borderP-def*)

**lemma** *max-borderP-D-pref*:  $\text{max-borderP } u \ w \implies u \leq_p w$   
**by** (*simp add: max-borderP-def*)

**lemma** *max-borderP-D-suf*:  $\text{max-borderP } u \ w \implies u \leq_s w$   
**by** (*simp add: max-borderP-def*)

**lemma** *max-borderP-D-max*:  $\text{max-borderP } u \ w \implies v \leq_b w \implies v \leq_p u$   
**by** (*simp add: max-borderP-def*)

**lemma** *max-borderP-D-max'*:  $\text{max-borderP } u \ w \implies v \leq_b w \implies v \leq_s u$   
**unfolding** *max-borderP-def* **using** *borderD-suf suf-pref-eq suffix-same-cases* **by**  
*metis*

**lemma** *unbordered-max-border-emp*:  $\neg \text{bordered } w \implies \text{max-borderP } u \ w \implies u = \varepsilon$   
**unfolding** *max-borderP-def bordered-def border-def* **by** *blast*

**lemma** *bordered-max-border-nemp*:  $\text{bordered } w \implies \text{max-borderP } u \ w \implies u \neq \varepsilon$   
**unfolding** *max-borderP-def bordered-def border-def* **using** *prefix-Nil* **by** *blast*

**lemma** *max-borderP-border*:  $\text{max-borderP } u \ w \implies u \neq \varepsilon \implies u \leq_b w$   
**unfolding** *max-borderP-def border-def* **by** *blast*

**lemma** *max-borderP-rev*:  $\text{max-borderP } (\text{rev } u) \ (\text{rev } w) \implies \text{max-borderP } u \ w$   
**proof**–  
**assume**  $\text{max-borderP } (\text{rev } u) \ (\text{rev } w)$   
**from** *this*[*unfolded max-borderP-def rev-is-rev-conv, folded pref-rev-suf-iff suf-rev-pref-iff*]  
**have**  $u = w \longrightarrow w = \varepsilon$  **and**  $u \leq_p w$  **and**  $u \leq_s w$  **and** *allv*:  $v \leq_b \text{rev } w \implies v \leq_p \text{rev } u$  **for**  $v$   
**by** *blast+*  
**show**  $\text{max-borderP } u \ w$   
**proof** (*unfold max-borderP-def, intro conjI, simp-all only: <math>u \leq\_p w</math> <math>u \leq\_s w</math>*)  
**show**  $u = w \longrightarrow w = \varepsilon$  **by** *fact*  
**show**  $\forall v. v \leq_b w \longrightarrow v \leq_p u$   
**proof** (*rule allI, rule impI*)  
**fix**  $v$  **assume**  $v \leq_b w$   
**show**  $v \leq_p u$   
**using**  $\langle \text{max-borderP } (\text{rev } u) \ (\text{rev } w) \rangle \langle v \leq_b w \rangle$  *border-rev-conv max-borderP-D-max'*  
*pref-rev-suf-iff* **by** *metis*  
**qed**  
**qed**  
**qed**

**lemma** *max-borderP-rev-conv*:  $\text{max-borderP } (\text{rev } u) \ (\text{rev } w) \longleftrightarrow \text{max-borderP } u \ w$   
**using** *max-borderP-rev max-borderP-rev*[*of rev u rev w, unfolded rev-rev-ident*]  
**by** *blast*

**term** *arg-max*

**definition** *max-border* :: 'a list  $\Rightarrow$  'a list **where**  
*max-border* *w* = (THE *u*. (*max-borderP* *u w*))

**lemma** *max-border-unique*: **assumes** *max-borderP* *u w* *max-borderP* *v w*  
**shows**  $u = v$   
**using** *max-borderP-D-max*[OF  $\langle$ *max-borderP* *u w* $\rangle$ , OF *max-borderP-border*[OF  
 $\langle$ *max-borderP* *v w* $\rangle$ ]]  
*max-borderP-D-max*[OF  $\langle$ *max-borderP* *v w* $\rangle$ , OF *max-borderP-border*[OF  
 $\langle$ *max-borderP* *u w* $\rangle$ ]]  
**by** *force*

**lemma** *max-border-ex*: *max-borderP* (*max-border* *w*) *w*  
**proof** (*rule* *max-borderP-exE*[of *w*])  
**fix** *u* **assume** *max-borderP* *u w*  
**with** *max-border-unique*[OF *this*]  
**show** ?thesis  
**unfolding** *max-border-def*  
**by** (*elim* *theI*[of  $\lambda$  *x*. *max-borderP* *x w*]) *simp*  
**qed**

**lemma** *max-borderP-max-border*: *max-borderP* *u w*  $\implies$  *max-border* *w* = *u*  
**using** *max-border-unique*[OF *max-border-ex*].

**lemma** *max-border-len-rev*:  $|$ *max-border* *u* $|$  =  $|$ *max-border* (*rev* *u*) $|$   
**by** (*cases*  $u = \varepsilon$ , *simp*, *metis* *length-rev* *max-borderP-max-border* *max-borderP-rev-conv*  
*max-border-ex*)

**lemma** *max-border-border*: **assumes** *bordered* *w* **shows** *max-border* *w*  $\leq b$  *w*  
**using** *max-border-ex* *bordered-max-border-nemp*[OF *assms*, of *max-border* *w*]  
**unfolding** *max-borderP-def* *border-def* **by** *blast*

**theorem** *max-border-border'*: *max-border* *w*  $\neq \varepsilon \implies$  *max-border* *w*  $\leq b$  *w*  
**using** *max-borderP-border* *max-border-ex* **by** *blast*

**lemma** *max-border-sing-emp*: *max-border* [*a*] =  $\varepsilon$   
**using** *max-border-ex*[THEN *unbordered-max-border-emp*[OF *sing-not-bordered*]]  
**by** *fast*

**lemma** *max-border-suf*: *max-border* *w*  $\leq s$  *w*  
**using** *max-borderP-D-suf* *max-border-ex* **by** *auto*

**lemma** *max-border-nemp-neq*: *w*  $\neq \varepsilon \implies$  *max-border* *w*  $\neq w$   
**by** (*simp* *add*: *max-borderP-D-neq* *max-border-ex*)

**lemma** *max-borderI*: **assumes**  $u \neq w$  **and**  $u \leq p$  *w* **and**  $u \leq s$  *w* **and**  $\forall v. v \leq b$  *w*  
 $\longrightarrow v \leq p$  *u*

**shows**  $\text{max-border } w = u$   
**using**  $\text{assms max-border-ex}$   
**by** ( $\text{intro max-borderP-max-border}$ ,  $\text{unfold max-borderP-def border-def}$ ,  $\text{blast}$ )

**lemma**  $\text{max-border-less-len}$ : **assumes**  $w \neq \varepsilon$  **shows**  $|\text{max-border } w| < |w|$   
**using**  $\text{assms border-len}(\beta)$   $\text{leI list.size}(\beta)$   $\text{max-border-border' npos-len}$  **by**  $\text{metis}$

**theorem**  $\text{max-border-max-pref}$ : **assumes**  $u \leq b \ w$  **shows**  $u \leq_p \text{max-border } w$   
**using**  $\text{max-borderP-D-max}[OF \text{max-border-ex } \langle u \leq b \ w \rangle]$ .

**theorem**  $\text{max-border-max-suf}$ : **assumes**  $u \leq b \ w$  **shows**  $u \leq_s \text{max-border } w$   
**using**  $\text{max-borderP-D-max}'[OF \text{max-border-ex } \langle u \leq b \ w \rangle]$ .

**lemma**  $\text{bordered-max-bord-nemp-conv}[code]$ :  $\text{bordered } w \longleftrightarrow \text{max-border } w \neq \varepsilon$   
**using**  $\text{bordered-max-border-nemp max-border-ex unbordered-max-border-emp}$  **by**  $\text{blast}$

**lemma**  $\text{max-bord-take}$ :  $\text{max-border } w = \text{take } |\text{max-border } w| \ w$   
**proof** ( $\text{cases bordered } w$ )  
**assume**  $\text{bordered } w$   
**from**  $\text{borderD-pref}[OF \text{max-border-border}[OF \text{this}]]$   
**show**  $\text{max-border } w = \text{take } |\text{max-border } w| \ w$   
**by** ( $\text{simp add: pref-take}$ )  
**next**  
**assume**  $\neg \text{bordered } w$   
**hence**  $\text{max-border } w = \varepsilon$   
**using**  $\text{bordered-max-bord-nemp-conv}$  **by**  $\text{blast}$   
**thus**  $\text{max-border } w = \text{take } |\text{max-border } w| \ w$   
**by**  $\text{simp}$   
**qed**

## 2.19.2 The shortest period

**definition**  $\text{min-period-root} :: 'a \ \text{list} \Rightarrow 'a \ \text{list} \ (\langle \pi \rangle)$  **where**  
 $\text{min-period-root } w = \text{take } (\text{LEAST } n. \ \text{period } w \ n) \ w$

**definition**  $\text{min-period} :: 'a \ \text{list} \Rightarrow \text{nat}$  **where**  
 $\text{min-period } w = |\pi \ w|$

**lemma**  $\text{min-per-emp}[simp]$ :  $\pi \ \varepsilon = \varepsilon$   
**unfolding**  $\text{min-period-root-def}$  **by**  $\text{simp}$

**lemma**  $\text{min-per-zero}[simp]$ :  $\text{min-period } \varepsilon = 0$   
**by** ( $\text{simp add: min-period-def}$ )

**lemma**  $\text{min-per-per}$ :  $w \neq \varepsilon \Longrightarrow \text{period } w \ (\text{min-period } w)$   
**unfolding**  $\text{min-period-def}$   $\text{min-period-root-def}$   
**using**  $\text{len-is-per}$   $\text{LeastI-ex}$   $\text{period-def}$   $\text{periodI}$  **by**  $\text{metis}$

**lemma** *min-per-pos*:  $w \neq \varepsilon \implies 0 < \text{min-period } w$   
**using** *min-per-per* **by** *auto*

**lemma** *min-per-len*:  $\text{min-period } w \leq |w|$   
**unfolding** *min-period-def min-period-root-def* **using** *len-is-per Least-le* **by** *simp*

**lemmas** *min-per-root-len = min-per-len[unfolded min-period-def]*

**lemma** *min-per-sing*:  $\text{min-period } [a] = 1$   
**using** *min-per-pos[of [a]] min-per-len[of [a]]* **by** *simp*

**lemma** *min-per-root-per-root*: **assumes**  $w \neq \varepsilon$  **shows**  $w <_p (\pi w) \cdot w$   
**using** *LeastI-ex assms len-is-per period-def* **unfolding** *min-period-root-def* **by** *metis*

**lemma** *min-per-pref*:  $\pi w \leq_p w$   
**unfolding** *min-period-root-def* **using** *take-is-prefix* **by** *blast*

**lemma** *min-per-nemp*:  $w \neq \varepsilon \implies \pi w \neq \varepsilon$   
**using** *min-per-root-per-root* **by** *blast*

**lemma** *min-per-min*: **assumes**  $w <_p r \cdot w$  **shows**  $\pi w \leq_p r$   
**proof** (*cases*  $w = \varepsilon$ )  
**assume**  $w \neq \varepsilon$   
**have**  $\text{period } w \mid \pi w$   
**using**  $\langle w \neq \varepsilon \rangle$  *min-per-root-per-root periodI* **by** *blast*  
**have**  $\text{period } w \mid r$   
**using**  $\langle w \neq \varepsilon \rangle$  *assms periodI* **by** *blast*  
**from** *Least-le[of  $\lambda n. \text{period } w n$ , OF this]*  
**have**  $|\pi w| \leq |r|$   
**unfolding** *min-period-root-def* **using** *dual-order.trans len-take1* **by** *metis*  
**with** *pref-trans[OF min-per-pref sprefD1[OF  $\langle w <_p r \cdot w \rangle$ ]]*  
**show**  $\pi w \leq_p r$   
**using** *pref-prod-le* **by** *blast*  
**qed** *simp*

**lemma** *lq-min-per-pref*:  $\pi w^{-1} > w \leq_p w$   
**unfolding** *same-prefix-prefix[of  $\pi w - w$ , symmetric]* *lq-pref[OF min-per-pref]*  
**using** *sprefD1[OF min-per-root-per-root]*  
**by** (*cases*  $w = \varepsilon$ , *simp*)

**lemma** *max-bord-emp*:  $\text{max-border } \varepsilon = \varepsilon$   
**by** (*simp add: max-borderP-of-nemp max-border-ex*)

**theorem** *min-per-max-border*:  $\pi w \cdot \text{max-border } w = w$   
**proof** (*cases*  $w = \varepsilon$ )  
**assume**  $w \neq \varepsilon$   
**have**  $\text{max-border } w = (\pi w)^{-1} > w$   
**proof** (*intro max-borderI*)

```

show  $\pi w^{-1} > w \neq w$ 
using min-per-nemp[OF  $\langle w \neq \varepsilon \rangle$ ] lq-pref[OF min-per-pref] append-self-conv2
by metis
show  $\pi w^{-1} > w \leq_s w$ 
using lq-suf-suf[OF min-per-pref].
show  $\pi w^{-1} > w \leq_p w$ 
using lq-min-per-pref by blast
show  $\forall v. v \leq_b w \longrightarrow v \leq_p \pi w^{-1} > w$ 
proof (rule allI, rule impI)
fix v assume  $v \leq_b w$ 
have  $w <_p (w^{<-1} v) \cdot w$ 
using per-border  $\langle v \leq_b w \rangle$  border-per-root[OF  $\langle v \leq_b w \rangle$ ] border-rq-nemp[OF
 $\langle v \leq_b w \rangle$ ] by blast
from min-per-min[OF this]
have  $\pi w \leq_p w^{<-1} v$ .
from pref-rq-suf-lq[OF borderD-suf[OF  $\langle v \leq_b w \rangle$ ] this]
have  $v \leq_s \pi w^{-1} > w$ .
from suf-pref-eq[OF this] ruler[OF borderD-pref[OF  $\langle v \leq_b w \rangle$ ]  $\langle \pi w^{-1} > w$ 
 $\leq_p w \rangle$ ]
show  $v \leq_p \pi w^{-1} > w$ 
by blast
qed
qed
thus ?thesis
using lq-pref[OF min-per-pref, of w] by simp
qed (simp add: max-bord-emp)

```

```

lemma min-per-len-diff: min-period  $w = |w| - |\text{max-border } w|$ 
unfolding min-period-def using lenarg[OF min-per-max-border, unfolded len-
morph, of w] by linarith

```

```

lemma min-per-root-take [code]:  $\pi w = \text{take } (|w| - |\text{max-border } w|) w$ 
using cancel-right max-border-suf min-per-max-border suffix-take by metis

```

## 2.20 Primitive words

If a word  $w$  is not a non-trivial power of some other word, we say it is primitive.

```

definition primitive :: 'a list  $\Rightarrow$  bool
where primitive  $u = (\forall r k. r^{\textcircled{a}} k = u \longrightarrow k = 1)$ 

```

```

lemma emp-not-prim[simp]:  $\neg \text{primitive } \varepsilon$ 
unfolding primitive-def
by (metis pow-eq-if-list zero-neq-one)

```

```

lemma primI[intro]:  $(\bigwedge r k. r^{\textcircled{a}} k = u \Longrightarrow k = 1) \Longrightarrow \text{primitive } u$ 
by (simp add: primitive-def)

```

**lemma** *prim-nemp*: primitive  $u \implies u \neq \varepsilon$   
**by** *force*

**lemma** *prim-exp-one*: primitive  $u \implies r^{\textcircled{a}}k = u \implies k = 1$   
**using** *primitive-def* **by** *blast*

**lemma** *pow-nemp-imprim*[*intro*]:  $2 \leq k \implies \neg \text{primitive } (u^{\textcircled{a}}k)$   
**using** *prim-exp-one* **by** *fastforce*

**lemma** *pow-not-prim*:  $\neg \text{primitive } (u^{\textcircled{a}}\text{Suc}(\text{Suc } k))$   
**using** *prim-exp-one* **by** *fastforce*

**lemma** *pow-non-prim*:  $k \neq 1 \implies \neg \text{primitive } (w^{\textcircled{a}}k)$   
**using** *prim-exp-one*  
**by** *auto*

**lemma** *prim-exp-eq*: primitive  $u \implies r^{\textcircled{a}}k = u \implies u = r$   
**using** *prim-exp-one pow-1* **by** *blast*

**lemma** *prim-per-div*: **assumes** primitive  $v$  **and**  $n \neq 0$  **and**  $n \leq |v|$  **and** period  $v$   
( $\text{gcd } |v| \ n$ )  
**shows**  $n = |v|$   
**proof**–  
**have**  $\text{gcd } |v| \ n \ \text{dvd } |v|$   
**by** *simp*  
**from** *prim-exp-eq*[*OF*  $\langle \text{primitive } v \rangle$  *per-div*[*OF* *this*  $\langle \text{period } v \ (\text{gcd } |v| \ n) \rangle$ ]]  
**have**  $\text{gcd } |v| \ n = |v|$   
**using** *take-len*[*OF* *le-trans*[*OF* *gcd-le2-nat*[*OF*  $\langle n \neq 0 \rangle$   $\langle n \leq |v| \rangle$ , *of*  $|v|$ ]] **by**  
*presburger*  
**from** *gcd-le2-nat*[*OF*  $\langle n \neq 0 \rangle$ , *of*  $|v|$ , *unfolded this*]  $\langle n \leq |v| \rangle$   
**show**  $n = |v|$  **by** *force*  
**qed**

**lemma** *prim-triv-root*: primitive  $u \implies u \in t^* \implies t = u$   
**using** *prim-exp-eq* **unfolding** *root-def*  
**unfolding** *primitive-def* *root-def* **by** *fastforce*

**lemma** *prim-comm-root*[*elim*]: **assumes** primitive  $r$  **and**  $u \cdot r = r \cdot u$  **shows**  $u \in r^*$   
**using**  $\langle u \cdot r = r \cdot u \rangle$ [*unfolded comm*] *prim-exp-eq*[*OF*  $\langle \text{primitive } r \rangle$ ] *rootI* **by** *metis*

**lemma** *prim-comm-exp*[*elim*]: **assumes** primitive  $r$  **and**  $u \cdot r = r \cdot u$  **obtains**  $k$   
**where**  $r^{\textcircled{a}}k = u$   
**using** *rootE*[*OF* *prim-comm-root*[*OF* *assms*]].

**lemma** *pow-prim-root*: **assumes**  $w^{\textcircled{a}}k = r^{\textcircled{a}}n$  **and**  $0 < n$  primitive  $r$   
**shows**  $w \in r^*$   
**using** *pow-comm-comm*[*OF*  $\langle w^{\textcircled{a}}k = r^{\textcircled{a}}n \rangle$ [*symmetric*]  $\langle 0 < n \rangle$ ] *prim-comm-root*[*OF*  
 $\langle \text{primitive } r \rangle$ ]

by presburger

**lemma** *prim-root-drop-exp*[*elim*]: **assumes**  $u^{\textcircled{k}} \in r^*$  **and**  $0 < k$  **and** *primitive*  $r$   
**shows**  $u \in r^*$   
**using** *pow-comm-comm*[*of*  $u$   $k$   $r$ , *OF* -  $\langle 0 < k \rangle$ , *THEN* *prim-comm-root*[*OF*  
*\langle primitive r \rangle*]]  
 $\langle u^{\textcircled{k}} \in r^* \rangle$ [*unfolded root-def*] **unfolding** *root-def* **by** *metis*

**lemma** *prim-card-set*: **assumes** *primitive*  $u$  **and**  $|u| \neq 1$  **shows**  $1 < \text{card } (\text{set } u)$   
**using**  $\langle |u| \neq 1 \rangle$  *\langle primitive u \rangle* *pow-non-prim*[*OF*  $\langle |u| \neq 1 \rangle$ , *of* [*hd*  $u$ ]]  
**by** (*elim not-le-imp-less*[*OF* *contrapos-nn*] *card-set-le-1-imp-hd-pow*[*elim-format*])  
*simp*

**lemma** *comm-not-prim*: **assumes**  $u \neq \varepsilon$   $v \neq \varepsilon$   $u \cdot v = v \cdot u$  **shows**  $\neg$  *primitive*  
 $(u \cdot v)$

**proof**–

**obtain**  $t$   $k$   $m$  **where**  $u = t^{\textcircled{k}}$   $v = t^{\textcircled{m}}$   
**using**  $\langle u \cdot v = v \cdot u \rangle$ [*unfolded comm*] **by** *blast*  
**show** *?thesis* **using** *pow-non-prim*[*of*  $k+m$   $t$ ]  
**unfolding**  $\langle u = t^{\textcircled{k}} \rangle$   $\langle v = t^{\textcircled{m}} \rangle$  *add-exps*[*of*  $t$   $k$   $m$ ]  
**using** *nemp-pow*[*OF*  $\langle u \neq \varepsilon \rangle$ [*unfolded*  $\langle u = t^{\textcircled{k}} \rangle$ ]] *nemp-pow*[*OF*  $\langle v \neq \varepsilon \rangle$ [*unfolded*  
 $\langle v = t^{\textcircled{m}} \rangle$ ]]  
**by** *linarith*  
**qed**

**lemma** *prim-rotate-conv*: *primitive*  $w \longleftrightarrow$  *primitive* (*rotate*  $n$   $w$ )

**proof**

**assume** *primitive*  $w$  **show** *primitive* (*rotate*  $n$   $w$ )

**proof** (*rule primI*)

**fix**  $r$   $k$  **assume**  $r^{\textcircled{k}} = \text{rotate } n \ w$

**obtain**  $l$  **where**  $(\text{rotate } l \ r)^{\textcircled{k}} = w$

**using** *rotate-backE*[*of*  $n$   $w$ , *folded*  $\langle r^{\textcircled{k}} = \text{rotate } n \ w \rangle$ , *unfolded rotate-pow-comm*]

**by** *blast*

**from** *prim-exp-one*[*OF*  $\langle$  *primitive*  $w$   $\rangle$  *this*]

**show**  $k = 1$ .

**qed**

**next**

**assume** *primitive* (*rotate*  $n$   $w$ ) **show** *primitive*  $w$

**proof** (*rule primI*)

**fix**  $r$   $k$  **assume**  $r^{\textcircled{k}} = w$

**from** *prim-exp-one*[*OF*  $\langle$  *primitive* (*rotate*  $n$   $w$ )  $\rangle$ , *OF* *rotate-pow-comm*[*of*  $n$   $r$   $k$ ,  
*unfolded this, symmetric*]]

**show**  $k = 1$ .

**qed**

**qed**

**lemma** *non-prim*: **assumes**  $\neg$  *primitive*  $w$  **and**  $w \neq \varepsilon$

**obtains**  $r$   $k$  **where**  $r \neq \varepsilon$  **and**  $1 < k$  **and**  $r^{\textcircled{k}} = w$  **and**  $w \neq r$

**proof**–

**from**  $\langle \neg \text{primitive } w \rangle$  [unfolded primitive-def]  
**obtain**  $r\ k$  **where**  $k \neq 1$  **and**  $r^{\textcircled{a}}k = w$  **by** *blast*  
**have**  $r \neq \varepsilon$   
**using**  $\langle w \neq \varepsilon \rangle \langle r^{\textcircled{a}}k = w \rangle$  *emp-pow* **by** *blast*  
**have**  $k \neq 0$   
**using**  $\langle w \neq \varepsilon \rangle \langle r^{\textcircled{a}}k = w \rangle$  *pow-zero*[of  $r$ ] **by** *meson*  
**have**  $w \neq r$   
**using**  $\langle k \neq 1 \rangle$  [folded eq-pow-exp[*OF*  $\langle r \neq \varepsilon \rangle$ , of  $k\ 1$ , unfolded  $\langle r^{\textcircled{a}}k = w \rangle$ ]] **by**  
*simp*  
**show** *thesis*  
**using** *that*[*OF*  $\langle r \neq \varepsilon \rangle - \langle r^{\textcircled{a}}k = w \rangle \langle w \neq r \rangle \langle k \neq 0 \rangle \langle k \neq 1 \rangle$  *less-linear*] **by**  
*blast*  
**qed**

**lemma** *prim-no-rotate*: **assumes** *primitive*  $w$  **and**  $0 < n$  **and**  $n < |w|$   
**shows** *rotate*  $n\ w \neq w$   
**proof**  
**assume** *rotate*  $n\ w = w$   
**have**  $\text{take } n\ w \cdot \text{drop } n\ w = \text{drop } n\ w \cdot \text{take } n\ w$   
**using** *rotate-append*[of  $\text{take } n\ w\ \text{drop } n\ w$ ]  
**unfolding** *take-len*[*OF* *less-imp-le-nat*[*OF*  $\langle n < |w| \rangle$ ]] *append-take-drop-id*  
 $\langle \text{rotate } n\ w = w \rangle$ .  
**have**  $\text{take } n\ w \neq \varepsilon\ \text{drop } n\ w \neq \varepsilon$   
**using**  $\langle 0 < n \rangle \langle n < |w| \rangle$  **by** *auto+*  
**from**  $\langle \text{primitive } w \rangle$  **show** *False*  
**using** *comm-not-prim*[*OF*  $\langle \text{take } n\ w \neq \varepsilon \rangle \langle \text{drop } n\ w \neq \varepsilon \rangle \langle \text{take } n\ w \cdot \text{drop } n\ w = \text{drop } n\ w \cdot \text{take } n\ w \rangle$ , unfolded *append-take-drop-id*]  
**by** *simp*  
**qed**

**lemma** *no-rotate-prim*: **assumes**  $w \neq \varepsilon$  **and**  $\bigwedge n. 0 < n \implies n < |w| \implies \text{rotate } n\ w \neq w$   
**shows** *primitive*  $w$   
**proof** (*rule ccontr*)  
**assume**  $\neg \text{primitive } w$   
**from** *non-prim*[*OF* *this*  $\langle w \neq \varepsilon \rangle$ ]  
**obtain**  $r\ l$  **where**  $r \neq \varepsilon$  **and**  $1 < l$  **and**  $r^{\textcircled{a}}l = w$  **and**  $w \neq r$  **by** *blast*  
**have** *rotate*  $|r|\ w = w$   
**using** *rotate-root-self*[of  $r\ l$ , unfolded  $\langle r^{\textcircled{a}}l = w \rangle$ ].  
**moreover** **have**  $0 < |r|$   
**by** (*simp add:*  $\langle r \neq \varepsilon \rangle$ )  
**moreover** **have**  $|r| < |w|$   
**unfolding** *pow-len*[of  $r\ l$ , unfolded  $\langle r^{\textcircled{a}}l = w \rangle$ ] **using**  $\langle 1 < l \rangle \langle 0 < |r| \rangle$  **by**  
*auto*  
**ultimately** **show** *False*  
**using** *assms*(2) **by** *blast*  
**qed**

**corollary** *prim-iff-rotate*: **assumes**  $w \neq \varepsilon$  **shows**



*primitive*  $w \longleftrightarrow (\forall n. 0 < n \wedge n < |w| \longrightarrow \text{rotate } n \ w \neq w)$   
**using** *no-rotate-prim*[*OF*  $\langle w \neq \varepsilon \rangle$ ] *prim-no-rotate* **by** *blast*

**lemma** *prim-sing*: *primitive*  $[a]$   
**using** *prim-iff-rotate*[*of*  $[a]$ ] **by** *fastforce*

**lemma** *sing-pow-conv* [*simp*]:  $[u] = t^{\textcircled{0}}k \longleftrightarrow t = [u] \wedge k = 1$   
**using** *pow-non-prim pow-1 prim-sing* **by** *metis*

**lemma** *prim-rev-iff*[*reversal-rule*]: *primitive*  $(\text{rev } u) \longleftrightarrow \text{primitive } u$   
**unfolding** *primitive-def*[*reversed*] **using** *primitive-def..*

**lemma** *prim-map-prim*: *primitive*  $(\text{map } f \ ws) \Longrightarrow \text{primitive } ws$   
**unfolding** *primitive-def* **using** *map-pow* **by** *metis*

**lemma** *inj-map-prim*: **assumes** *inj-on*  $f \ A$  **and**  $u \in \text{lists } A$  **and**  
*primitive*  $u$   
**shows** *primitive*  $(\text{map } f \ u)$   
**using** *prim-map-prim*[*of the-inv-into*  $A \ f \ \text{map } f \ u$ , *folded inj-map-inv*[*OF assms(1-2)*],  
*OF assms(3)*].

**lemma** *prim-map-iff* [*reversal-rule*]:  
**assumes** *inj*  $f$  **shows** *primitive*  $(\text{map } f \ ws) = \text{primitive } (ws)$   
**using** *inj-map-prim*[*of*  $- \ UNIV$ , *unfolded lists-UNIV*, *OF*  $\langle \text{inj } f \rangle \ UNIV-I$ ]  
*prim-map-prim* **by** (*intro iffI*)

**lemma** *prim-concat-prim*: *primitive*  $(\text{concat } ws) \Longrightarrow \text{primitive } ws$   
**unfolding** *primitive-def* **using** *concat-pow* **by** *metis*

**lemma** *eq-append-not-prim*:  $x = y \Longrightarrow \neg \text{primitive } (x \cdot y)$   
**by** (*metis append-Nil2 comm-not-prim prim-nemp*)

## 2.21 Primitive root

Given a non-empty word  $w$  which is not primitive, it is natural to look for the shortest  $u$  such that  $w = u^k$ . Such a word is primitive, and it is the primitive root of  $w$ .

**definition** *primitive-root* :: 'a list  $\Rightarrow$  'a list  $\langle \rho \rangle$  **where**  
*primitive-root*  $x = (\text{if } x \neq \varepsilon \text{ then } (\text{THE } r. \text{primitive } r \wedge (\exists k. x = r^{\textcircled{0}}k)) \text{ else } \varepsilon)$

**definition** *primitive-root-exp* :: 'a list  $\Rightarrow$  nat  $\langle e_\rho \rangle$  **where**  
*primitive-root-exp*  $x = (\text{if } x \neq \varepsilon \text{ then } (\text{THE } k. x = (\rho \ x)^{\textcircled{0}}k) \text{ else } 0)$

**lemma** *primroot-emp*[*simp*]:  $\rho \ \varepsilon = \varepsilon$   
**unfolding** *primitive-root-def* **by** *simp*

**lemma** *comm-prim*: **assumes** *primitive r and primitive s and  $r \cdot s = s \cdot r$*   
**shows**  $r = s$   
**using**  $\langle r \cdot s = s \cdot r \rangle$  [unfolded comm] *assms* [unfolded primitive-def, rule-format] **by**  
*metis*

**lemma** *primroot-ex*: **assumes**  $x \neq \varepsilon$  **shows**  $\exists r k.$  *primitive  $r \wedge k \neq 0 \wedge x = r^{\textcircled{a}}k$*   
**using**  $\langle x \neq \varepsilon \rangle$   
**proof**(*induction*  $|x|$  *arbitrary: x rule: less-induct*)  
**case** *less*  
**then show**  $\exists r k.$  *primitive  $r \wedge k \neq 0 \wedge x = r^{\textcircled{a}}k$*   
**proof** (*cases primitive x*)  
**assume**  $\neg$  *primitive x*  
**from** *non-prim* [OF *this*  $\langle x \neq \varepsilon \rangle$ ]  
**obtain**  $r l$  **where**  $r \neq \varepsilon$  **and**  $1 < l$  **and**  $r^{\textcircled{a}}l = x$  **and**  $x \neq r$  **by** *blast*  
**from** *less.hyps* [OF *root-shorter* [OF  $\langle x \neq \varepsilon \rangle$  *rootI* [of  $r l$ , *unfolded*  $\langle r^{\textcircled{a}}l = x \rangle$ ]  $\langle x \neq r \rangle$ ]  $\langle r \neq \varepsilon \rangle$   
**obtain**  $k pr$  **where** *primitive  $pr k \neq 0 r = pr^{\textcircled{a}}k$*   
**by** *blast*  
**have**  $k * l \neq 0$   
**using**  $\langle 1 < l \rangle \langle k \neq 0 \rangle$  **by** *force*  
**have**  $x = pr^{\textcircled{a}}(k * l)$   
**using** *pow-mult* [of  $pr k l$ , *folded*  $\langle r = pr^{\textcircled{a}}k \rangle$ , *unfolded*  $\langle r^{\textcircled{a}}l = x \rangle$ , *symmetric*].  
**thus**  $\exists r k.$  *primitive  $r \wedge k \neq 0 \wedge x = r^{\textcircled{a}}k$*   
**using**  $\langle$ *primitive pr* $\rangle \langle k * l \neq 0 \rangle$  **by** *fast*  
**next**  
**assume** *primitive x*  
**have**  $x = x^{\textcircled{a}}\text{Suc } 0$   
**by** *simp*  
**thus**  $\exists r k.$  *primitive  $r \wedge k \neq 0 \wedge x = r^{\textcircled{a}}k$*   
**using**  $\langle$ *primitive x* $\rangle$  **by** *force*  
**qed**  
**qed**

**lemma** *primroot-exE*: **assumes**  $x \neq \varepsilon$   
**obtains**  $r k$  **where** *primitive  $r$  and  $0 < k$  and  $x = r^{\textcircled{a}}k$*   
**using** *assms primroot-ex* [OF  $\langle x \neq \varepsilon \rangle$ ] **by** *blast*

Uniqueness of the primitive root follows from the following lemma

**lemma** *primroot-unique*: **assumes**  $u \neq \varepsilon$  **and** *primitive  $r$  and  $u = r^{\textcircled{a}}k$*  **shows**  $u = r$   
**proof**–  
**have**  $0 < k$   
**using**  $\langle u \neq \varepsilon \rangle \langle u = r^{\textcircled{a}}k \rangle$  **by** *blast*  
**have**  $s = r$  **if** *primitive  $s$  and  $u = s^{\textcircled{a}}l$  for  $s l$*   
**proof**–  
**from** *pow-comm-comm* [OF  $\langle u = s^{\textcircled{a}}l \rangle$  [unfolded  $\langle u = r^{\textcircled{a}}k \rangle$ ]  $\langle 0 < k \rangle$ ]  
**obtain**  $t$  **where**  $s \in t^*$  **and**  $r \in t^*$   
**using** *comm-root* **by** *blast*  
**from** *prim-exp-eq* [OF  $\langle$ *primitive r* $\rangle$ , of  $t$ ] *prim-exp-eq* [OF  $\langle$ *primitive s* $\rangle$ , of  $t$ ]

**show**  $s = r$   
**using**  $\text{rootE}[OF \langle s \in t^* \rangle, \text{of } s=r]$   $\text{rootE}[OF \langle r \in t^* \rangle, \text{of } r = t]$  **by** *fastforce*  
**qed**  
**hence**  $\text{primitive } s \wedge (\exists k. u = s^{\textcircled{a}} k) \implies s = r$  **for**  $s$   
**by** *presburger*  
**from**  $\text{the-equality}[\text{of } \lambda r. \text{primitive } r \wedge (\exists k. u = r^{\textcircled{a}} k) r, OF - \text{this}]$   
**show**  $\varrho u = r$   
**using**  $\langle \text{primitive } r \rangle \langle u = r^{\textcircled{a}} k \rangle$  **unfolding**  $\text{primitive-root-def if-P}[OF \langle u \neq \varepsilon \rangle]$   
**by** *blast*  
**qed**

**lemma** *primroot-unique'*: **assumes**  $0 < k$  **primitive**  $r$  **and**  $u = r^{\textcircled{a}} k$  **shows**  $\varrho u = r$   
**using**  $\text{primroot-unique}[OF - \text{assms}(2,3)]$  **using**  $\text{prim-nemp}[OF \langle \text{primitive } r \rangle \langle 0 < k \rangle]$  **unfolding**  $\langle u = r^{\textcircled{a}} k \rangle$   
**using** *nonzero-pow-emp* **by** *blast*

**lemma** *prim-self-root[intro]*: **primitive**  $x \implies \varrho x = x$   
**using** *emp-not-prim primroot-unique pow-1* **by** *metis*

**lemma** *primroot-exp-unique*: **assumes**  $u \neq \varepsilon$  **and**  $(\varrho u)^{\textcircled{a}} k = u$  **shows**  $e_{\varrho} u = k$   
**unfolding**  $\text{primitive-root-exp-def if-P}[OF \langle u \neq \varepsilon \rangle]$   
**proof** (*rule the-equality*)  
**show**  $u = (\varrho u)^{\textcircled{a}} k$  **using**  $\langle (\varrho u)^{\textcircled{a}} k = u \rangle$  [*symmetric*].  
**have**  $\varrho u \neq \varepsilon$   
**using** *assms* **by** *force*  
**show**  $ka = k$  **if**  $u = \varrho u^{\textcircled{a}} ka$  **for**  $ka$   
**using**  $\text{eq-pow-exp}[OF \langle \varrho u \neq \varepsilon \rangle, \text{of } k ka, \text{folded } \langle u = (\varrho u)^{\textcircled{a}} k \rangle \text{ that}]$  **by** *blast*  
**qed**

**lemma** *primroot-prim[intro]*:  $x \neq \varepsilon \implies \text{primitive } (\varrho x)$   
**using** *primroot-unique primroot-ex* **by** *metis*

Existence and uniqueness of the primitive root justifies the function  $\varrho$ : it indeed yields the primitive root of a nonempty word.

**lemma** *primroot-is-root[simp]*:  $x \in (\varrho x)^*$   
**by** (*cases*  $x = \varepsilon$ , *force*, *unfold root-def*) (*use primroot-exE primroot-unique in metis*)

**lemma** *primroot-expE*: **obtains**  $k$  **where**  $(\varrho x)^{\textcircled{a}} k = x$  **and**  $0 < k$   
**proof** (*cases*  $x = \varepsilon$ )  
**assume**  $x \neq \varepsilon$   
**with**  $\text{primroot-is-root}[\text{unfolded root-def}]$  *that*  
**show** *thesis* **by** *fastforce*  
**qed** *auto*

**lemma** *primroot-exp-eq [simp]*:  $(\varrho u)^{\textcircled{a}} (e_{\varrho} u) = u$   
**using**  $\text{primroot-expE}[\text{of } u \varrho u^{\textcircled{a}} e_{\varrho} u = u]$  *primroot-exp-unique pow-0 primi-*

*tive-root-exp-def* **by** *metis*

**lemma** *primroot-exp-len*:

**shows**  $e_\rho w * |\rho w| = |w|$

**using** *lenarg[OF primroot-exp-eq]* **unfolding** *pow-len*.

**lemma** *primroot-exp-nemp* [*intro*]:  $u \neq \varepsilon \implies 0 < e_\rho u$

**using** *primroot-exp-eq nemp-pow* **by** *metis*

**lemma** *primroot-nemp*[*intro!*]:  $x \neq \varepsilon \implies \rho x \neq \varepsilon$

**using** *prim-nemp* **by** *blast*

**lemma** *primroot-idemp*[*simp*]:  $\rho(\rho x) = \rho x$

**by** (*cases*  $x = \varepsilon$ ) (*simp only: primroot-emp, use prim-self-root in blast*)

**lemma** *prim-primroot-conv*: **assumes**  $w \neq \varepsilon$  **shows** *primitive*  $w \longleftrightarrow \rho w = w$

**using** *assms prim-self-root primroot-prim[OF  $\langle w \neq \varepsilon \rangle$ ]* **by** *metis*

**lemma** *not-prim-primroot-expE*: **assumes**  $\neg$  *primitive*  $w$

**obtains**  $k$  **where**  $\rho w^{\textcircled{a}k} = w$  **and**  $2 \leq k$

**using** *primroot-exp-eq primroot-prim assms*

**proof** (*cases*  $w = \varepsilon$ )

**assume**  $w \neq \varepsilon$

**with** *primroot-exp-eq*[*of*  $w$ ]

**have**  $e_\rho w \neq 1$   $e_\rho w \neq 0$

**using** *pow-zero pow-1 primroot-prim[OF  $\langle w \neq \varepsilon \rangle$ ]*  $\langle \neg$  *primitive*  $w \rangle$  **by** *force+*

**with** *that*[*OF*  $\langle \rho w^{\textcircled{a}} e_\rho w = w \rangle$ ]

**show** *thesis* **by** *force*

**qed** *force*

**lemma** *not-prim-expE*: **assumes**  $\neg$  *primitive*  $x$  **and**  $x \neq \varepsilon$

**obtains**  $r$   $k$  **where** *primitive*  $r$  **and**  $2 \leq k$  **and**  $r^{\textcircled{a}k} = x$

**using** *not-prim-primroot-expE*[*OF*  $\langle \neg$  *primitive*  $x \rangle$ ]

*primroot-prim*[*OF*  $\langle x \neq \varepsilon \rangle$ ]  
**by** *metis*

**lemma** *primroot-of-root*: **assumes**  $u \neq \varepsilon$  **and**  $u \in q^*$  **shows**  $\rho q = \rho u$

**proof**–

**have**  $q \neq \varepsilon$

**using** *assms* **by** *force*

**from** *primroot-unique*[*OF*  $\langle u \neq \varepsilon \rangle$ ]

*primroot-prim*[*OF* *this*], *symmetric*]

*root-trans*[*OF*  $\langle u \in q^* \rangle$ ]

*primroot-is-root*[*of*  $q$ ]]

**show** *?thesis*

**unfolding** *root-def* **by** *blast*

**qed**

**lemma** *primroot-shorter-root*: **assumes**  $u \neq \varepsilon$  **and**  $u \in q^*$  **shows**  $|\varrho u| \leq |q|$   
**unfolding** *primroot-of-root*[*OF assms, symmetric*]  
**using** *root-nemp*[*OF assms*] *root-shorter-eq*[*of q, OF - primroot-is-root*] **by** *blast*

**lemma** *primroot-len-le*:  $u \neq \varepsilon \implies |\varrho u| \leq |u|$   
**using** *primroot-expE* *primroot-shorter-root*[*OF - self-root*] **by** *auto*

**lemma** *primroot-take*: **assumes**  $u \neq \varepsilon$  **shows**  $\varrho u = (\text{take } (|\varrho u|) u)$   
**proof**–  
**obtain**  $k$  **where**  $(\varrho u)^{\textcircled{k}} = u$  **and**  $0 < k$   
**using** *primroot-expE* **by** *blast*  
**show**  $\varrho u = (\text{take } (|\varrho u|) u)$   
**using** *take-root*[*of - (\varrho u), OF <0 < k>, unfolded <(\varrho u)^{\textcircled{k}} = u>*].  
**qed**

**lemma** *primroot-rotate-comm*: **assumes**  $w \neq \varepsilon$  **shows**  $\varrho (\text{rotate } n w) = \text{rotate } n (\varrho w)$   
**proof**–  
**obtain**  $l$  **where**  $(\varrho w)^{\textcircled{l}} = w$   
**using** *primroot-expE*.  
**hence**  $\text{rotate } n w \in (\text{rotate } n (\varrho w))^*$   
**using** *rotate-pow-comm root-def* **by** *metis*  
**have**  $\text{rotate } n w \neq \varepsilon$   
**using** *assms* **by** *auto*  
**have** *primitive*  $(\text{rotate } n (\varrho w))$   
**using** *assms prim-rotate-conv* **by** *blast*  
**show** *?thesis*  
**using** *primroot-unique*[*OF <rotate n w \neq \varepsilon> <primitive (rotate n (\varrho w))>*]  
*rootE*[*OF <rotate n w \in (rotate n (\varrho w))^\*>*] **by** *metis*  
**qed**

**lemma** *primroot-rotate*:  $\varrho w = r \iff \varrho (\text{rotate } (k^*|r|) w) = r$  (**is**  $?L \iff ?R$ )  
**proof**(*cases w = \varepsilon*)  
**case** *False*  
**show** *?thesis*  
**unfolding** *primroot-rotate-comm*[*OF <w \neq \varepsilon>, of k^\*|r|*]  
**using** *length-rotate*[*of k^\*|r| \varrho w*] *mod-mult-self2-is-0*[*of k |r|*]  
*rotate-id*[*of k^\*|r| \varrho w*]  
**by** *metis*  
**qed** (*simp add: rotate-is-Nil-conv*[*of k^\*|r| w*])

**lemma** *primrootI*[*intro*]: **assumes** *pow*:  $u = r^{\textcircled{k}}(\text{Suc } k)$  **and** *primitive r* **shows**  $\varrho u = r$   
**proof**–  
**have**  $u \neq \varepsilon$   
**using** *pow <primitive r> prim-nemp* **by** *auto*

**show**  $\varrho u = r$   
**using** *primroot-unique*[*OF*  $\langle u \neq \varepsilon \rangle \langle \text{primitive } r \rangle \langle u = r^{\textcircled{a}}(\text{Suc } k) \rangle$ ].  
**qed**

**lemma** *primroot-pref*:  $\varrho u \leq p u$   
**by** (*cases*  $u = \varepsilon$ , *use* *primroot-emp* **in** *blast*)  
(*simp add*: *per-root-pref*[*OF* - *primroot-is-root*])

**lemma** *short-primroot*: **assumes**  $u \neq \varepsilon \neg \text{primitive } u$  **shows**  $|\varrho u| < |u|$   
**using** *primroot-prim*[*OF*  $\langle u \neq \varepsilon \rangle$ ] *le-neq-implies-less* *pref-len* *primroot-pref*  
*long-pref* *assms* **by** *metis*

**lemma** *prim-primroot-cases*: **obtains**  $u = \varepsilon \mid \text{primitive } u \mid |\varrho u| < |u|$   
**using** *short-primroot* **by** *blast*

We also have the standard characterization of commutation for nonempty words.

**lemma** *comm-rootE*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t$  **where**  $x \in t^*$  **and**  $y \in t^*$  **and**  $t \neq \varepsilon$   
**using** *assms*[*unfolded comm-root*]  
**using** *emp-all-roots* *list.discI* *root-nemp* **by** *metis*

**theorem** *comm-primroots*: **assumes**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **shows**  $u \cdot v = v \cdot u \longleftrightarrow \varrho u = \varrho v$

**proof**

**assume**  $u \cdot v = v \cdot u$   
**from** *comm-rootE*[*OF* *this*]  
**obtain**  $t$  **where**  $u \in t^*$  **and**  $v \in t^*$ .  
**show**  $\varrho u = \varrho v$   
**using** *primroot-of-root*[*OF*  $\langle v \neq \varepsilon \rangle \langle v \in t^* \rangle$ , *unfolded primroot-of-root*[*OF*  $\langle u \neq \varepsilon \rangle \langle u \in t^* \rangle$ ]].

**next**

**assume**  $\varrho u = \varrho v$   
**from** *pows-comm*[*of*  $\varrho u e_{\varrho} u e_{\varrho} v$ ]  
**show**  $u \cdot v = v \cdot u$   
**unfolding** *primroot-exp-eq* **unfolding**  $\langle \varrho u = \varrho v \rangle$  *primroot-exp-eq*.  
**qed**

**lemma** *comm-primroots'*:  $u \neq \varepsilon \implies v \neq \varepsilon \implies u \cdot v = v \cdot u \implies \varrho u = \varrho v$   
**by** (*simp add*: *comm-primroots*)

**lemma** *same-primroots-comm*:  $\varrho x = \varrho y \implies x \cdot y = y \cdot x$   
**using** *comm-primroots* **by** *blast*

**lemma** *pow-primroot*: **assumes**  $x \neq \varepsilon$  **shows**  $\varrho (x^{\textcircled{a}} \text{Suc } k) = \varrho x$   
**using** *comm-primroots'*[*OF* *nemp-Suc-pow-nemp*, *OF* *assms* *assms*, *of*  $k$ , *folded* *pow-Suc'* *pow-Suc*] **by** *blast*

**lemma** *comm-primroot-exp*: **assumes**  $v \neq \varepsilon$  **and**  $u \cdot v = v \cdot u$

**obtains**  $n$  **where**  $(\varrho v)^{\textcircled{n}} = u$   
**proof**(*cases*)  
**assume**  $u = \varepsilon$  **thus thesis using that pow-0 by blast**  
**next**  
**assume**  $u \neq \varepsilon$  **thus thesis using that**[*OF primroot-expE*]  $\langle u \cdot v = v \cdot u \rangle$ [*unfolded comm-primroots* [*OF*  $\langle u \neq \varepsilon \rangle \langle v \neq \varepsilon \rangle$ ]] **by metis**  
**qed**

**lemma** *comm-primrootE*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t$  **where**  $x \in t^*$  **and**  $y \in t^*$  **and primitive**  $t$   
**using** *comm-primroots* *assms emp-all-roots prim-sing primroot-is-root prim-root-prim* **by metis**

**lemma** *primE*: **obtains**  $t$  **where primitive**  $t$   
**using** *comm-primrootE* **by metis**

**lemma** *comm-primrootE'*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t k m$  **where**  $x = t^{\textcircled{k}}$  **and**  $y = t^{\textcircled{m}}$  **and primitive**  $t$   
**using** *comm-primrootE* [*OF*  $\langle x \cdot y = y \cdot x \rangle$ , *unfolded root-def*] **by metis**

**lemma** *comm-nemp-pows-posE*: **assumes**  $x \cdot y = y \cdot x$  **and**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$   
**obtains**  $t k m$  **where**  $x = t^{\textcircled{k}}$  **and**  $y = t^{\textcircled{m}}$  **and**  $0 < k$  **and**  $0 < m$  **and primitive**  $t$   
**proof**–  
**from** *comm-primrootE* [*OF*  $\langle x \cdot y = y \cdot x \rangle$ , *unfolded root-def*]  
**obtain**  $t k m$  **where**  $t^{\textcircled{k}} = x$   $t^{\textcircled{m}} = y$  **primitive**  $t$   
**by metis**  
**note** *nemp-exp-pos* [*OF*  $\langle x \neq \varepsilon \rangle \langle t^{\textcircled{k}} = x \rangle$ ] *nemp-exp-pos* [*OF*  $\langle y \neq \varepsilon \rangle \langle t^{\textcircled{m}} = y \rangle$ ]  
**show thesis**  
**using that** [*OF*  $\langle t^{\textcircled{k}} = x \rangle$  [*symmetric*]  $\langle t^{\textcircled{m}} = y \rangle$  [*symmetric*]  $\langle 0 < k \rangle \langle 0 < m \rangle$   $\langle$ *primitive t* $\rangle$ ].  
**qed**

**lemma** *comm-primroot-conv*:  $u \cdot v = v \cdot u \longleftrightarrow u \cdot \varrho v = \varrho v \cdot u$   
**proof** (*cases*  $u = \varepsilon \vee v = \varepsilon$ )  
**assume**  $\neg (u = \varepsilon \vee v = \varepsilon)$   
**hence**  $u \neq \varepsilon$   $v \neq \varepsilon$   
**by blast+**  
**show ?thesis**  
**using** *comm-primroots* [*OF*  $\langle u \neq \varepsilon \rangle \langle v \neq \varepsilon \rangle$ , *folded comm-primroots* [*OF*  $\langle u \neq \varepsilon \rangle$  *primroot-nemp* [*OF*  $\langle v \neq \varepsilon \rangle$ ], *unfolded primroot-idemp*]].  
**qed force**

**lemma** *comm-primroot [simp, intro]*:  $u \cdot \varrho u = \varrho u \cdot u$   
**using** *comm-primroot-conv* **by blast**

**lemma** *comp-primroot-conv'*: **shows**  $u \cdot v = v \cdot u \longleftrightarrow \varrho u \cdot \varrho v = \varrho v \cdot \varrho u$   
**using** *comm-primroot-conv* [*of*  $u v$ ] *comm-primroot-conv* [*of*  $\varrho v u$ ]  
**unfolding** *eq-sym-conv* [*of*  $\varrho v \cdot u$ ] *eq-sym-conv* [*of*  $\varrho v \cdot \varrho u$ ] **by blast**

**lemma** *per-root-primroot*:  $w <_p r \cdot w \implies w <_p \varrho r \cdot w$   
**using** *per-root-trans*[*OF - primroot-is-root*].

**lemma** *primroot-per-root*:  $r \neq \varepsilon \implies r <_p \varrho r \cdot r$   
**by** *blast*

**lemma** *prim-comm-short-emp*: **assumes** *primitive p* **and**  $u \cdot p = p \cdot u$  **and**  $|u| < |p|$   
**shows**  $u = \varepsilon$   
**proof** (*rule ccontr*)  
**assume**  $u \neq \varepsilon$   
**from**  $\langle u \cdot p = p \cdot u \rangle$   
**have**  $\varrho u = \varrho p$   
**unfolding** *comm-primroots*[*OF*  $\langle u \neq \varepsilon \rangle$  *prim-nemp*, *OF*  $\langle$ *primitive p* $\rangle$ ].  
**have**  $\varrho u = p$   
**using** *prim-self-root*[*OF*  $\langle$ *primitive p* $\rangle$ , *folded*  $\langle \varrho u = \varrho p \rangle$ ].  
**from**  $\langle |u| < |p| \rangle$  [*folded this*]  
**show** *False*  
**using** *primroot-len-le*[*OF*  $\langle u \neq \varepsilon \rangle$ ] **by** *auto*  
**qed**

**lemma** *primroot-rev*[*reversal-rule*]: **shows**  $\varrho (\text{rev } u) = \text{rev } (\varrho u)$   
**proof** (*cases*  $u = \varepsilon$ )  
**assume**  $u \neq \varepsilon$   
**hence**  $\text{rev } u \neq \varepsilon$   
**by** *simp*  
**have** *primitive*  $(\text{rev } (\varrho u))$   
**using** *primroot-prim*[*OF*  $\langle u \neq \varepsilon \rangle$ ] **unfolding** *prim-rev-iff*.  
**have**  $\text{rev } u = (\text{rev } (\varrho u))^{\textcircled{e}}_{\varrho} u$   
**unfolding** *rev-pow*[*symmetric*] *primroot-exp-eq..*  
**from** *primroot-unique*[*OF*  $\langle \text{rev } u \neq \varepsilon \rangle$   $\langle$ *primitive*  $(\text{rev } (\varrho u))\rangle$ ] *this*  
**show** *?thesis*.  
**qed** *simp*

**lemmas** *primroot-suf* = *primroot-pref*[*reversed*]

**lemma** *per-le-prim-iff*:  
**assumes**  $u \leq_p p \cdot u$  **and**  $p \neq \varepsilon$  **and**  $2 * |p| \leq |u|$   
**shows** *primitive*  $u \iff u \cdot p \neq p \cdot u$   
**proof**  
**have**  $|p| < |u|$  **using**  $\langle 2 * |p| \leq |u| \rangle$   
*nemp-len*[*OF*  $\langle p \neq \varepsilon \rangle$ ] **by** *linarith*  
**with**  $\langle p \neq \varepsilon \rangle$   
**show** *primitive*  $u \implies u \cdot p \neq p \cdot u$   
**by** (*intro notI, elim notE*) (*rule prim-comm-short-emp*[*OF - sym*])  
**show**  $u \cdot p \neq p \cdot u \implies$  *primitive*  $u$   
**proof** (*elim swap*[*of - = -*], *elim not-prim-primroot-expE*)  
**fix**  $k$   $z$  **assume**  $2 \leq k$  **and**  $\text{eq: } z^{\textcircled{k}} = u$   
**from** *this*(1) *lenarg*[*OF this*(2)]  $\langle 2 * |p| \leq |u| \rangle$



**have**  $|z| + |p| \leq |u|$   
**by** (*elim at-least2-Suc*) (*simp only: pow-Suc lenmorph[of z]*)  
**with**  $\langle u \leq p \cdot w \rangle$  **have**  $z \cdot p = p \cdot z$   
**by** (*rule two-pers[rotated 1]*) (*simp flip: eq pow-comm*)  
**from** *comm-add-exp[OF this, of k]*  
**show**  $u \cdot p = p \cdot u$  **unfolding** *eq.*  
**qed**  
**qed**

**lemma** *per-root-mod-primE* [*elim*]: **assumes**  $u < p \cdot r \cdot u$   
**obtains**  $n \ p \ s$  **where**  $p \cdot s = \varrho \ r$  **and**  $(p \cdot s)^{\textcircled{n}} \cdot p = u$  **and**  $s \neq \varepsilon$   
**using** *per-root-modE[OF per-root-primroot[OF assms]] primroot-prim[OF per-root-nemp[OF assms]]*  
*emp-not-prim* **by** *metis*

### 2.21.1 Primitivity and the shortest period

**lemma** *min-per-primitive*: **assumes**  $w \neq \varepsilon$  **shows** *primitive*  $(\pi \ w)$

**proof**–  
**have**  $\varrho(\pi \ w) \neq \varepsilon$   
**using** *assms min-per-nemp primroot-nemp* **by** *blast*  
**obtain**  $k$  **where**  $\pi \ w = (\varrho(\pi \ w))^{\textcircled{k}}$   
**using** *primroot-expE* **by** *metis*  
**from** *rootI[of \varrho(\pi \ w) k, folded this]*  
**have**  $w < p(\varrho(\pi \ w)) \cdot w$   
**using** *min-per-root-per-root[OF assms, THEN per-root-trans]* **by** *presburger*  
**from** *pow-pref-root-one[OF - \langle \varrho(\pi \ w) \neq \varepsilon \rangle, of k, folded \langle \pi \ w = (\varrho(\pi \ w))^{\textcircled{k}} \rangle, OF - min-per-min[OF this]]*  
**have**  $k = 1$   
**using**  $\pi \ w = (\varrho(\pi \ w))^{\textcircled{k}}$  *min-per-nemp[OF \langle w \neq \varepsilon \rangle] pow-zero[of \varrho(\pi \ w)]*  
**by** *fastforce*  
**show** *primitive*  $(\pi \ w)$   
**using** *primroot-prim[OF \langle \varrho(\pi \ w) \neq \varepsilon \rangle, folded \langle \pi \ w = (\varrho(\pi \ w))^{\textcircled{k}} \rangle]* [*unfolded \langle k = 1 \rangle One-nat-def pow-one*].  
**qed**

**lemma** *min-per-short-primroot*: **assumes**  $w \neq \varepsilon$  **and**  $(\varrho \ w)^{\textcircled{k}} = w$  **and**  $k \neq 1$   
**shows**  $\pi \ w = \varrho \ w$

**proof**–  
**have**  $k \neq 0$   
**using** *assms pow-zero* **by** *blast*  
**with**  $\langle k \neq 1 \rangle$  **have**  $2 \leq k$   
**by** *fastforce*  
**have**  $w < p(\varrho \ w) \cdot w$   
**using** *assms(1) assms(2) per-root-drop-exp root-self* **by** *metis*  
**have**  $w < p(\pi \ w) \cdot w$   
**using** *assms(1) min-per-root-per-root* **by** *blast*  
**have**  $\pi \ w \leq p \ \varrho \ w$   
**using** *min-per-min[OF \langle w < p(\varrho \ w) \cdot w \rangle]*.

**from** *prefix-length-le*[*OF this*]  
**have**  $|\pi w| + |\varrho w| \leq |w|$   
**unfolding** *lenarg*[*OF*  $\langle (\varrho w)^{\textcircled{k}} = w \rangle$ , *unfolded pow-len, symmetric*] **using**  
*mult-le-mono1*[*OF*  $\langle 2 \leq k \rangle$ , *of*  $|\varrho w|$ ] **unfolding** *one-add-one*[*symmetric*]  
*distrib-right mult-1*  
**by** *simp*  
**from** *two-pers-root*[*OF*  $\langle w <_p (\pi w) \cdot w \rangle \langle w <_p (\varrho w) \cdot w \rangle$  *this*]  
**have**  $\pi w \cdot \varrho w = \varrho w \cdot \pi w$ .  
**from** *this*[*unfolded comm-primroots*[*OF per-root-nemp*[*OF*  $\langle w <_p (\pi w) \cdot w \rangle$ ]  
*per-root-nemp*[*OF*  $\langle w <_p (\varrho w) \cdot w \rangle$ ]]]  
**show**  $\pi w = \varrho w$   
**unfolding** *prim-self-root*[*of*  $\varrho w$ , *OF primroot-prim*[*OF*  $\langle w \neq \varepsilon \rangle$ ]]  
*prim-self-root*[*of*  $\pi w$ , *OF min-per-primitive*[*OF*  $\langle w \neq \varepsilon \rangle$ ]].  
**qed**

**lemma** *primitive-iff-per*: *primitive*  $w \longleftrightarrow w \neq \varepsilon \wedge (\pi w = w \vee \pi w \cdot w \neq w \cdot \pi w)$

**proof**

**assume** *primitive*  $w$   
**hence**  $w \neq \varepsilon$  **by** *fastforce*  
**show**  $w \neq \varepsilon \wedge (\pi w = w \vee \pi w \cdot w \neq w \cdot \pi w)$   
**proof** (*rule conjI*)  
**show**  $\pi w = w \vee \pi w \cdot w \neq w \cdot \pi w$   
**using** *comm-prim* [*OF min-per-primitive*[*OF*  $\langle w \neq \varepsilon \rangle$ ]  $\langle$ *primitive*  $w \rangle$ ]  
**by** (*intro verit-or-neg(1)*)

**qed fact**

**next**

**assume** *asm*:  $w \neq \varepsilon \wedge (\pi w = w \vee \pi w \cdot w \neq w \cdot \pi w)$   
**have**  $w \neq \varepsilon$  **and** *imp*:  $\pi w \cdot w = w \cdot \pi w \implies \pi w = w$   
**using** *asm* **by** *blast+*  
**obtain**  $k$  **where**  $(\varrho w)^{\textcircled{k}} = w$   $0 < k$   
**using** *primroot-expE*.

**show** *primitive*  $w$

**proof**–

**from** *imp*[*unfolded min-per-short-primroot*[*OF*  $\langle w \neq \varepsilon \rangle \langle (\varrho w)^{\textcircled{k}} = w \rangle$ ]]

**have**  $\varrho w = w$

**using** *pow-comm*[*symmetric, of*  $\varrho w k$ , *unfolded*  $\langle \varrho w^{\textcircled{k}} = w \rangle$ ]

$\langle \varrho w^{\textcircled{k}} = w \rangle$  *min-per-short-primroot*[*OF*  $\langle w \neq \varepsilon \rangle \langle \varrho w^{\textcircled{k}} = w \rangle$ ] *pow-1*  $\langle w$

$\neq \varepsilon \rangle$  **by** *metis*

**thus** *primitive*  $w$

**using** *prim-primroot-conv*[*OF*  $\langle w \neq \varepsilon \rangle$ ] **by** *simp*

**qed**

**qed**

## 2.22 Conjugation

Two words  $x$  and  $y$  are conjugated if one is a rotation of the other. Or, equivalently, there exists  $z$  such that

$$xz = zy.$$

**definition** *conjugate* (**infix**  $\langle \sim \rangle$  51)

**where**  $u \sim v \equiv \exists r s. r \cdot s = u \wedge s \cdot r = v$

**lemma** *conjugE* [*elim*]:

**assumes**  $u \sim v$

**obtains**  $r s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$

**using** *assms unfolding conjugate-def* **by** (*elim exE conjE*)

**lemma** *conjugE-nemp*[*elim*]:

**assumes**  $u \sim v$  **and**  $u \neq \varepsilon$

**obtains**  $r s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$  **and**  $s \neq \varepsilon$

**using** *assms unfolding conjugate-def*

**proof** (*cases*  $u = v$ )

**assume**  $u \neq v$

**obtain**  $r s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$  **using** *conjugE[OF  $\langle u \sim v \rangle$ ]*.

**hence**  $s \neq \varepsilon$  **using**  $\langle u \neq v \rangle$  **by** *force*

**thus** *thesis* **using** *that[OF  $\langle r \cdot s = u \rangle \langle s \cdot r = v \rangle$ ]* **by** *blast*

**qed** (*simp add: that[OF - -  $\langle u \neq \varepsilon \rangle$ ]*)

**lemma** *conjugE1* [*elim*]:

**assumes**  $u \sim v$

**obtains**  $r$  **where**  $u \cdot r = r \cdot v$

**proof** –

**obtain**  $r s$  **where**  $u: r \cdot s = u$  **and**  $v: s \cdot r = v$  **using** *assms..*

**have**  $u \cdot r = r \cdot v$  **unfolding**  $u$ [*symmetric*]  $v$ [*symmetric*] **using** *rassoc.*

**then show** *thesis* **by** *fact*

**qed**

**lemma** *conjug-rev-conv* [*reversal-rule*]:  $\text{rev } u \sim \text{rev } v \longleftrightarrow u \sim v$

**unfolding** *conjugate-def[reversed]* **using** *conjugate-def* **by** *blast*

**lemma** *conjug-rotate-iff*:  $u \sim v \longleftrightarrow (\exists n. v = \text{rotate } n u)$

**unfolding** *conjugate-def*

**using** *rotate-drop-take[of - u]* *takedrop[of - u]* *rotate-append*

**by** *metis*

**lemma** *rotate-conjug*:  $w \sim \text{rotate } n w$

**using** *conjug-rotate-iff* **by** *blast*

**lemma** *conjug-rotate-iff-le*:

**shows**  $u \sim v \longleftrightarrow (\exists n \leq |u| - 1. v = \text{rotate } n u)$

**proof**

**show**  $\exists n \leq |u| - 1 . v = \text{rotate } n \ u \implies u \sim v$   
**using** *conjug-rotate-iff* **by** *blast*  
**next**  
**assume**  $u \sim v$   
**thus**  $\exists n \leq |u| - 1 . v = \text{rotate } n \ u$   
**proof** (*cases*  $u = \varepsilon$ )  
**assume**  $u \neq \varepsilon$   
**obtain**  $r \ s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$  **and**  $s \neq \varepsilon$   
**using** *conjugE-nemp*[*OF*  $\langle u \sim v \rangle \langle u \neq \varepsilon \rangle$ ].  
**hence**  $v = \text{rotate } |r| \ u$   
**using** *rotate-append*[*of*  $r \ s$ ] **by** *argo*  
**moreover** **have**  $|r| \leq |u| - 1$   
**using** *lenarg*[*OF*  $\langle r \cdot s = u \rangle$ , *unfolded lenmorph*] *nemp-len*[*OF*  $\langle s \neq \varepsilon \rangle$ ] **by**  
*linarith*  
**ultimately** **show**  $\exists n \leq |u| - 1 . v = \text{rotate } n \ u$   
**by** *blast*  
**qed** *auto*  
**qed**

**lemma** *conjugI* [*intro*]:  $r \cdot s = u \implies s \cdot r = v \implies u \sim v$   
**unfolding** *conjugate-def* **by** (*intro exI conjI*)

**lemma** *conjugI'* [*intro!*]:  $r \cdot s \sim s \cdot r$   
**unfolding** *conjugate-def* **by** (*intro exI conjI*) *standard+*

**lemma** *conjug-refl*:  $u \sim u$   
**by** *standard+*

**lemma** *conjug-sym*[*sym*]:  $u \sim v \implies v \sim u$   
**by** (*elim conjugE*, *intro conjugI*) *assumption*

**lemma** *conjug-swap*:  $u \sim v \longleftrightarrow v \sim u$   
**by** *blast*

**lemma** *conjug-nemp-iff*:  $u \sim v \implies u = \varepsilon \longleftrightarrow v = \varepsilon$   
**by** (*elim conjugE1*, *intro iffI*) *simp+*

**lemma** *conjug-len*:  $u \sim v \implies |u| = |v|$   
**by** (*elim conjugE*, *hypsubst*, *rule swap-len*)

**lemma** *pow-conjug*:  
**assumes** *eq*:  $t^{\textcircled{i}} \cdot r \cdot u = t^{\textcircled{k}}$  **and**  $t \cdot r \cdot s = t$   
**shows**  $u \cdot t^{\textcircled{i}} \cdot r = (s \cdot r)^{\textcircled{k}}$   
**proof** –  
**have**  $t^{\textcircled{i}} \cdot r \cdot u \cdot t^{\textcircled{i}} \cdot r = t^{\textcircled{i}} \cdot t^{\textcircled{k}} \cdot r$  **unfolding** *eq*[*unfolded lassoc*] *lassoc*  
*append-same-eq pows-comm..*  
**also** **have**  $\dots = t^{\textcircled{i}} \cdot r \cdot (s \cdot r)^{\textcircled{k}}$  **unfolding** *conjug-pow*[*OF* *rassoc*, *symmetric*]  
*t..*  
**finally** **show**  $u \cdot t^{\textcircled{i}} \cdot r = (s \cdot r)^{\textcircled{k}}$  **unfolding** *same-append-eq*.

qed

**lemma** *conjug-set*: **assumes**  $u \sim v$  **shows**  $\text{set } u = \text{set } v$   
**using** *conjugE*[*OF*  $\langle u \sim v \rangle$ ] *set-append* *Un-commute* **by** *metis*

**lemma** *conjug-concat-conjug*:  $xs \sim ys \implies \text{concat } xs \sim \text{concat } ys$   
**unfolding** *conjugate-def* **using** *concat-morph* **by** *metis*

The solution of the equation

$$xz = zy$$

is given by the next lemma.

**lemma** *conjug-eqE* [*elim*, *consumes* 2]:  
**assumes**  $eq: x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**obtains**  $u v k$  **where**  $u \cdot v = x$  **and**  $v \cdot u = y$  **and**  $(u \cdot v)^{\textcircled{k}} \cdot u = z$  **and**  $v \neq \varepsilon$   
**proof** –  
**have**  $z \leq_p x \cdot z$  **using** *eq[symmetric]*..  
**from** *this* **and**  $\langle x \neq \varepsilon \rangle$  **have**  $z <_p x \cdot z$ ..  
**then obtain**  $k u v$  **where**  $x^{\textcircled{k}} \cdot u = z$  **and**  $x: u \cdot v = x$  **and**  $v \neq \varepsilon$ ..  
**have**  $z: (u \cdot v)^{\textcircled{k}} \cdot u = z$  **unfolding**  $x \langle x^{\textcircled{k}} \cdot u = z \rangle$ ..  
**have**  $z \cdot y = (u \cdot v) \cdot ((u \cdot v)^{\textcircled{k}} \cdot u)$  **unfolding**  $z$  **unfolding**  $x$  *eq*..  
**also have**  $\dots = (u \cdot v)^{\textcircled{k}} \cdot u \cdot (v \cdot u)$  **unfolding** *lassoc* *pow-comm[symmetric]*..  
**finally have**  $y: v \cdot u = y$  **unfolding**  $z$ [*symmetric*] *rassoc* *same-append-eq*..  
**from**  $x y z \langle v \neq \varepsilon \rangle$  **show** *thesis*..

qed

**theorem** *conjugation*: **assumes**  $x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**shows**  $\exists u v k. u \cdot v = x \wedge v \cdot u = y \wedge (u \cdot v)^{\textcircled{k}} \cdot u = z$   
**using** *assms* **by** *blast*

**lemma** *conjug-eq-primrootE'* [*elim*, *consumes* 2]:  
**assumes**  $eq: x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**obtains**  $r s i n$  **where**  
 $(r \cdot s)^{\textcircled{i}} = x$  **and**  
 $(s \cdot r)^{\textcircled{i}} = y$  **and**  
 $(r \cdot s)^{\textcircled{n}} \cdot r = z$  **and**  
 $s \neq \varepsilon$  **and**  $0 < i$  **and** *primitive*  $(r \cdot s)$   
**proof** –  
**obtain**  $i$  **where**  $(\varrho x)^{\textcircled{i}} = x$   $0 < i$   
**using** *primroot-expE* **by** *blast*  
**have**  $z <_p x \cdot z$  **using** *prefI*[*OF*  $\langle x \cdot z = z \cdot y \rangle$ [*symmetric*]]  $\langle x \neq \varepsilon \rangle$ ..  
**from** *per-root-primroot*[*OF this*]  
**have**  $z <_p (\varrho x) \cdot z$ .  
**from** *per-root-modE*[*OF this*]  
**obtain**  $n r s$  **where**  $r \cdot s = \varrho x$   $\varrho x^{\textcircled{n}} \cdot r = z$   $s \neq \varepsilon$ .  
**have**  $x: (r \cdot s)^{\textcircled{i}} = x$  **unfolding**  $\langle r \cdot s = \varrho x \rangle \langle (\varrho x)^{\textcircled{i}} = x \rangle$ ..  
**have**  $z: (r \cdot s)^{\textcircled{n}} \cdot r = z$  **unfolding**  $\langle r \cdot s = \varrho x \rangle$  **using**  $\langle (\varrho x)^{\textcircled{n}} \cdot r = z \rangle$ .  
**have**  $y$  [*symmetric*]:  $y = (s \cdot r)^{\textcircled{i}}$   
**using** *eq[symmetric]*, *folded*  $x z$ , *unfolded* *lassoc* *pows-comm[of - i]*, *unfolded* *rassoc* *cancel*,

*unfolded shift-pow cancel*].  
**from**  $\langle x \neq \varepsilon \rangle$  **have** *primitive*  $(r \cdot s)$  **unfolding**  $\langle r \cdot s = \varrho x \rangle$ ..  
**from** *that*[*OF*  $x y z \langle s \neq \varepsilon \rangle \langle 0 < i \rangle$  *this*]  
**show** *thesis*.  
**qed**

**lemma** *conjugI1* [*intro*]:  
**assumes** *eq*:  $u \cdot r = r \cdot v$   
**shows**  $u \sim v$   
**proof** (*cases*)  
**assume**  $u = \varepsilon$   
**have**  $v = \varepsilon$  **using** *eq* **unfolding**  $\langle u = \varepsilon \rangle$  **by** *simp*  
**show**  $u \sim v$  **unfolding**  $\langle u = \varepsilon \rangle \langle v = \varepsilon \rangle$  **using** *conjug-refl*.  
**next**  
**assume**  $u \neq \varepsilon$   
**show**  $u \sim v$  **using** *eq*  $\langle u \neq \varepsilon \rangle$  **by** (*cases rule: conjug-eqE, intro conjugI*)  
**qed**

**lemma** *pow-conjug-conjug-conv*: **assumes**  $0 < k$  **shows**  $u^{\textcircled{k}} \sim v^{\textcircled{k}} \longleftrightarrow u \sim v$   
**proof**  
**assume**  $u^{\textcircled{k}} \sim v^{\textcircled{k}}$   
**obtain**  $r s$  **where**  $r \cdot s = u^{\textcircled{k}}$  **and**  $s \cdot r = v^{\textcircled{k}}$   
**using** *conjugE*[*OF*  $\langle u^{\textcircled{k}} \sim v^{\textcircled{k}} \rangle$ ].  
**hence**  $v^{\textcircled{k}} = (\text{rotate } |r| \ u)^{\textcircled{k}}$   
**using** *rotate-append rotate-pow-comm* **by** *metis*  
**hence**  $v = \text{rotate } |r| \ u$   
**using** *pow-eq-eq*[*OF* -  $\langle 0 < k \rangle$ ] **by** *blast*  
**thus**  $u \sim v$   
**using** *rotate-conjug* **by** *blast*  
**next**  
**assume**  $u \sim v$   
**obtain**  $r s$  **where**  $u = r \cdot s$  **and**  $v = s \cdot r$   
**using** *conjugE*[*OF*  $\langle u \sim v \rangle$ ] **by** *metis*  
**have**  $u^{\textcircled{k}} \cdot r = r \cdot v^{\textcircled{k}}$   
**unfolding**  $\langle u = r \cdot s \rangle \langle v = s \cdot r \rangle$  *shift-pow*..  
**thus**  $u^{\textcircled{k}} \sim v^{\textcircled{k}}$   
**using** *conjugI1* **by** *blast*  
**qed**

**lemma** *conjug-trans* [*trans*]:  
**assumes** *uv*:  $u \sim v$  **and** *vw*:  $v \sim w$   
**shows**  $u \sim w$   
**using** *assms* **unfolding** *conjug-rotate-iff* **using** *rotate-rotate* **by** *blast*

**lemma** *conjug-trans'*: **assumes** *uv'*:  $u \cdot r = r \cdot v$  **and** *vw'*:  $v \cdot s = s \cdot w$  **shows**  $u \cdot (r \cdot s) = (r \cdot s) \cdot w$   
**proof** –  
**have**  $u \cdot (r \cdot s) = (r \cdot v) \cdot s$  **unfolding** *uv'*[*symmetric*] *rassoc*..  
**also have**  $\dots = r \cdot (s \cdot w)$  **unfolding** *vw'*[*symmetric*] *rassoc*..

**finally show**  $u \cdot (r \cdot s) = (r \cdot s) \cdot w$  **unfolding** *rassoc*.  
**qed**

Of course, conjugacy is an equivalence relation.

**lemma** *conjug-equiv*: *equivp* ( $\sim$ )

**by** (*simp add: conjug-refl conjug-sym conjug-trans equivpI reflpI sympI transpI*)

**lemma** *rotate-fac-pref*: **assumes**  $u \leq_f w$

**obtains**  $w'$  **where**  $w' \sim w$  **and**  $u \leq_p w'$

**proof**–

**from** *facE*[*OF*  $\langle u \leq_f w \rangle$ ]

**obtain**  $p$   $s$  **where**  $w = p \cdot u \cdot s$ .

**from** *that*[*OF* *conjugI'*[*of*  $u \cdot s$   $p$ , *unfolded rassoc*, *folded this*] *triv-pref*]

**show** *thesis*.

**qed**

**lemma** *rotate-into-pos-sq*: **assumes**  $s \cdot p \leq_f w \cdot w$  **and**  $|s| \leq |w|$  **and**  $|p| \leq |w|$

**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   $s \leq_s w'$

**proof**–

**obtain**  $pw$  **where**  $pw \cdot s \cdot p \leq_p w \cdot w$

**by** (*meson assms(1) fac-pref*)

**hence**  $pw \cdot s \leq_p w \cdot w$

**unfolding** *lassoc prefix-def* **by** *force*

**hence** *take*  $|pw \cdot s|$   $(w \cdot w) = pw \cdot s$

**using** *pref-take* **by** *blast*

**have**  $p \leq_p$  *drop*  $|pw \cdot s|$   $(w \cdot w)$

**using** *pref-drop*[*OF*  $\langle pw \cdot s \cdot p \leq_p w \cdot w \rangle$  [*unfolded lassoc*]] *drop-pref* **by** *metis*

**let**  $?w =$  *rotate*  $|pw \cdot s|$   $w$

**have**  $|?w| = |w|$  **by** *auto*

**have** *rotate*  $|pw \cdot s|$   $(w \cdot w) = ?w \cdot ?w$

**using** *rotate-pow-comm-two*.

**hence** *eq*:  $?w \cdot ?w = (\text{drop } |pw \cdot s| (w \cdot w)) \cdot \text{take } |pw \cdot s| (w \cdot w)$

**by** (*metis*  $\langle pw \cdot s \leq_p w \cdot w \rangle$  *append-take-drop-id pref-take rotate-append*)

**have**  $p \leq_p ?w$

**using** *pref-prod-le*[*OF* -  $\langle |p| \leq |w| \rangle$  [*folded*  $\langle |?w| = |w| \rangle$ ]]

*prefix-prefix*[*OF*  $\langle p \leq_p$  *drop*  $|pw \cdot s|$   $(w \cdot w) \rangle$ , *of* *take*  $|pw \cdot s|$   $(w \cdot w)$ , *folded eq*].

**have**  $s \leq_s ?w$

**using** *pref-prod-le*[*reversed*, *OF* -  $\langle |s| \leq |w| \rangle$  [*folded*  $\langle |?w| = |w| \rangle$ ], *of*  $?w$ ]

**unfolding** *eq*  $\langle \text{take } |pw \cdot s| (w \cdot w) = pw \cdot s \rangle$  *lassoc* **by** *blast*

**show** *thesis*  
**using** *that*[*OF rotate-conjug*  $\langle p \leq_p ?w \rangle \langle s \leq_s ?w \rangle$ ].  
**qed**

**lemma** *rotate-into-pref-sq*: **assumes**  $p \leq_f w \cdot w$  **and**  $|p| \leq |w|$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   
**using** *rotate-into-pos-sq*[*of*  $\varepsilon$ , *unfolded emp-simps*, *OF*  $\langle p \leq_f w \cdot w \rangle - \langle |p| \leq |w| \rangle$ ]  
**by** *auto*

**lemmas** *rotate-into-suf-sq* = *rotate-into-pref-sq*[*reversed*]

**lemma** *rotate-into-pos*: **assumes**  $s \cdot p \leq_f w$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   $s \leq_s w'$   
**proof**(*rule rotate-into-pos-sq*)  
**show**  $s \cdot p \leq_f w \cdot w$   
**using**  $\langle s \cdot p \leq_f w \rangle$  **by** *blast*  
**show**  $|s| \leq |w|$   
**using** *order.trans*[*OF pref-len'* *fac-len*[*OF*  $\langle s \cdot p \leq_f w \rangle$  ]].  
**show**  $|p| \leq |w|$   
**using** *order.trans*[*OF suf-len'* *fac-len*[*OF*  $\langle s \cdot p \leq_f w \rangle$ ]].  
**qed**

**lemma** *rotate-into-pos-conjug*: **assumes**  $w \sim v$  **and**  $s \cdot p \leq_f v$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   $s \leq_s w'$   
**using** *assms conjug-trans rotate-into-pos* **by** *metis*

**lemma** *nconjug-neq*:  $\neg u \sim v \implies u \neq v$   
**by** *blast*

**lemma** *prim-conjug*:  
**assumes** *prim*: *primitive*  $u$  **and** *conjug*:  $u \sim v$   
**shows** *primitive*  $v$   
**proof** –  
**have**  $v \neq \varepsilon$  **using** *prim-nemp*[*OF prim*] **unfolding** *conjug-nemp-iff*[*OF conjug*].  
**from** *conjug[symmetric]* **obtain**  $t$  **where**  $v \cdot t = t \cdot u$ .  
**from** *this*  $\langle v \neq \varepsilon \rangle$  **obtain**  $r$   $s$   $i$  **where**  
 $v: (r \cdot s)^{\textcircled{i}} = v$  **and**  $u: (s \cdot r)^{\textcircled{i}} = u$  **and** *prim'*: *primitive*  $(r \cdot s)$  **and**  $0 < i$ .  
**have**  $r \cdot s = v$  **using**  $v$  **unfolding** *prim-exp-one*[*OF prim*  $u$ ] *pow-1*.  
**show** *primitive*  $v$  **using** *prim'* **unfolding**  $\langle r \cdot s = v \rangle$ .  
**qed**

**lemma** *conjug-prim-iff*: **assumes**  $u \sim v$  **shows** *primitive*  $u = \text{primitive } v$   
**using** *prim-conjug*[*OF* -  $\langle u \sim v \rangle$ ] *prim-conjug*[*OF* - *conjug-sym*[*OF*  $\langle u \sim v \rangle$ ]].

**lemmas** *conjug-prim-iff'* = *conjug-prim-iff*[*OF conjugI'*]

**lemmas** *conjug-concat-prim-iff* = *conjug-concat-conjug*[*THEN* *conjug-prim-iff*]

**lemma** *conjug-eq-primrootE* [*elim*, *consumes 2*]:



**assumes**  $eq: x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**obtains**  $r s i n$  **where**  
 $(r \cdot s)^{\textcircled{i}} = x$  **and**  
 $(s \cdot r)^{\textcircled{i}} = y$  **and**  
 $(r \cdot s)^{\textcircled{n}} \cdot r = z$  **and**  
 $s \neq \varepsilon$  **and**  $0 < i$  **and**  $primitive (r \cdot s)$   
**and**  $primitive (s \cdot r)$   
**using**  $conjug\text{-}eq\text{-}primrootE'[OF\ assms]$   $conjug\text{-}prim\text{-}iff'$  **by**  $metis$

**lemma**  $conjug\text{-}primrootsE$ : **assumes**  $\varrho p \sim \varrho q$   
**obtains**  $r s k l$  **where**  $p = (r \cdot s)^{\textcircled{k}}$  **and**  $q = (s \cdot r)^{\textcircled{l}}$  **and**  $primitive (r \cdot s)$   
**proof**( $cases$ )  
**assume**  $p = \varepsilon \wedge q = \varepsilon$   
**obtain**  $w::'a\ list$  **where**  $primitive\ w$   
**by**  $blast$   
**from**  $that[of\ w\ \varepsilon\ 0\ 0, unfolded\ emp\text{-}simps]$   
**show**  $?thesis$   
**by** ( $simp\ add: \langle p = \varepsilon \wedge q = \varepsilon \rangle \langle primitive\ w \rangle$ )  
**next**  
**assume**  $\neg (p = \varepsilon \wedge q = \varepsilon)$   
**hence**  $primitive (\varrho p)$   
**using**  $assms\ conjug\text{-}prim\text{-}iff$  **by**  $auto$   
**from**  $conjugE[OF\ \langle \varrho p \sim \varrho q \rangle]$   
**obtain**  $r s$  **where**  
 $r \cdot s = \varrho p$  **and**  
 $s \cdot r = \varrho q$ .  
**from**  $that[of\ r\ s\ e_{\varrho}\ p\ e_{\varrho}\ q, unfolded\ this, OF\ -\ -\ \langle primitive (\varrho p) \rangle]$   
**show**  $?thesis$   
**using**  $primroot\text{-}exp\text{-}eq[symmetric]$   
**by**  $blast$   
**qed**

**lemma**  $root\text{-}conjug$ :  $u \leq p\ r \cdot u \implies u^{-1} \triangleright (r \cdot u) \sim r$   
**using**  $conjugI1\ conjug\text{-}sym\ lq\text{-}pref$  **by**  $metis$

**lemmas**  $conjug\text{-}prim\text{-}iff\text{-}pref = conjug\text{-}prim\text{-}iff[OF\ root\text{-}conjug]$

**lemma**  $conjug\text{-}primroot\text{-}word$ :  
**assumes**  $conjug: u \cdot t = t \cdot v$   
**shows**  $(\varrho u) \cdot t = t \cdot (\varrho v)$   
**proof** ( $cases\ u = \varepsilon$ )  
**assume**  $u \neq \varepsilon$   
**from**  $\langle u \cdot t = t \cdot v \rangle \langle u \neq \varepsilon \rangle$  **obtain**  $r s i n$  **where**  
 $u: (r \cdot s)^{\textcircled{i}} = u$  **and**  $v: (s \cdot r)^{\textcircled{i}} = v$  **and**  $prim: primitive (r \cdot s)$   
**and**  $(r \cdot s)^{\textcircled{n}} \cdot r = t$  **and**  $0 < i..$   
**have**  $rs: \varrho u = r \cdot s$  **and**  $sr: \varrho v = s \cdot r$   
**using**  $prim\text{-}conjug[OF\ prim\ conjugI']\ u\ v\ \langle 0 < i \rangle\ prim$   
 $primroot\text{-}unique'$  **by**  $meson+$

**show** ?thesis  
**unfolding**  $\langle (r \cdot s)^{\textcircled{n}} \cdot r = t \rangle$  [symmetric] rs sr  
**by** comparison  
**next**  
**assume**  $u = \varepsilon$   
**hence**  $v = \varepsilon$   
**using** assms **by** force  
**show** ?thesis  
**unfolding**  $\langle u = \varepsilon \rangle \langle v = \varepsilon \rangle$  **by** simp  
**qed**

**lemma** conjug-primroot:  
**assumes**  $u \sim v$   
**shows**  $\varrho u \sim \varrho v$   
**proof**(cases)  
**assume**  $u = \varepsilon$  **with**  $\langle u \sim v \rangle$  **show**  $\varrho u \sim \varrho v$   
**using** conjug-nemp-iff **by** blast  
**next**  
**assume**  $u \neq \varepsilon$   
**from**  $\langle u \sim v \rangle$  **obtain**  $t$  **where**  $u \cdot t = t \cdot v$ .  
**from** conjug-primroot-word[OF this]  
**show**  $\varrho u \sim \varrho v$   
**by** (simp add: conjugI1)  
**qed**

**lemma** conjug-primroots-nemp: **assumes**  $x \cdot y \neq y \cdot x$  **and**  $r \cdot s = \varrho(x \cdot y)$  **and**  
 $s \cdot r = \varrho(y \cdot x)$   
**shows**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$   
**proof**-  
**have**  $x \cdot y \neq \varepsilon$  **and**  $y \cdot x \neq \varepsilon$   
**using** assms(1) **by** force+  
**have**  $r \neq \varepsilon \wedge s \neq \varepsilon$   
**proof** (rule contrapos-mp[OF assms(1)])  
**assume**  $\neg(r \neq \varepsilon \wedge s \neq \varepsilon)$   
**hence**  $\varrho(x \cdot y) = \varrho(y \cdot x)$   
**using** assms(2-3) **by** force  
**with** comm-primroots[symmetric, OF  $\langle x \cdot y \neq \varepsilon \rangle \langle y \cdot x \neq \varepsilon \rangle$ ]  
**show**  $x \cdot y = y \cdot x$   
**using** eqd-eq[OF - swap-len] **by** meson  
**qed**  
**thus**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$   
**by** blast+  
**qed**

**lemma** conjugE-primrootsE[elim]: **assumes**  $x \cdot y \neq y \cdot x$   
**obtains**  $rs$  **where**  $r \cdot s = \varrho(x \cdot y)$  **and**  $s \cdot r = \varrho(y \cdot x)$  **and**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$   
**proof**-  
**have**  $\varrho(x \cdot y) \neq \varepsilon$   
**using** assms **by** force

**from** *conjugE-nemp*[*OF conjug-primroot*[*OF conjugI'*, of  $x y$ ] *this*] *conjug-primroots-nemp*[*OF assms*] *that*  
**show** *thesis*  
**by** *auto*  
**qed**

**lemma** *conjug-add-exp*:  $u \sim v \implies u^{\textcircled{k}} \sim v^{\textcircled{k}}$   
**by** (*elim conjugE1*, *intro conjugI1*, *rule conjug-pow*)

**lemma** *conjug-primroot-iff*:  
**assumes** *nemp*:  $u \neq \varepsilon$  **and** *len*:  $|u| = |v|$   
**shows**  $\varrho u \sim \varrho v \longleftrightarrow u \sim v$

**proof**

**show**  $u \sim v \implies \varrho u \sim \varrho v$  **using** *conjug-primroot*.

**assume** *conjug*:  $\varrho u \sim \varrho v$

**have**  $v \neq \varepsilon$  **using** *nemp-len*[*OF nemp*] **unfolding** *len length-0-conv*.

**with** *nemp* **obtain**  $k l$  **where** *roots*:  $(\varrho u)^{\textcircled{k}} = u$   $(\varrho v)^{\textcircled{l}} = v$

**using** *primroot-exp-eq* **by** *blast*

**have**  $|(\varrho u)^{\textcircled{k}}| = |(\varrho v)^{\textcircled{l}}|$  **using** *len unfolding roots*.

**then have**  $k = l$  **using** *primroot-nemp*[*OF <v ≠ ε>*]

**unfolding** *pow-len conjug-len*[*OF conjug*] **by** *simp*

**show**  $u \sim v$  **using** *conjug-add-exp*[*OF conjug*, of  $l$ ] **unfolding** *roots*[*unfolded <k = l>*].

**qed**

**lemma** *two-conjugs-aux*: **assumes**  $u \cdot v = x \cdot y$  **and**  $v \cdot u = y \cdot x$  **and**  $u \neq \varepsilon$  **and**  $u \neq x$  **and**  $|u| \leq |x|$

**obtains**  $r s k l m n$  **where**

$u = (s \cdot r)^{\textcircled{k}} \cdot s$  **and**  $v = (r \cdot s)^{\textcircled{l}} \cdot r$  **and**

$x = (s \cdot r)^{\textcircled{m}} \cdot s$  **and**  $y = (r \cdot s)^{\textcircled{n}} \cdot r$  **and**

*primitive*  $(r \cdot s)$  **and** *primitive*  $(s \cdot r)$

**proof**–

**have**  $|u| < |x|$

**using**  $\langle u \neq x \rangle$  *eqd-eq(1)*[*OF <u·v = x·y>*] *le-neq-implies-less*[*OF <|u| ≤ |x|>*] **by**

*blast*

**hence**  $x \neq \varepsilon$

**by** *force*

**from** *eqd-lessE*[*OF <u·v = x·y> <|u| < |x|>*]

**obtain**  $t$  **where**  $u \cdot t = x \cdot y = v \cdot t \neq \varepsilon$ .

**from**  $\langle v \cdot u = y \cdot x \rangle$  [*folded this(1–2)*]

**obtain** *exp* **where**  $y \cdot u = (\varrho t)^{\textcircled{exp}}$

**using** *comm-primroot-exp*[*OF <t ≠ ε>*, of  $y \cdot u$ ] **unfolding** *rassoc* **by** *metis*

**hence**  $0 < \text{exp}$

**using**  $\langle u \neq \varepsilon \rangle$  **by** *blast*

**from** *split-pow*[*OF <y · u = (ρ t)ᵀ exp> this <u ≠ ε>*]

**obtain**  $r s n k$  **where**  $u = (s \cdot r)^{\textcircled{k}} \cdot s$   $y = (r \cdot s)^{\textcircled{n}} \cdot r$   $r \cdot s = \varrho t$

**by** *metis*

**have** *primitive*  $(r \cdot s)$

**unfolding**  $\langle r \cdot s = \varrho \ t \rangle$  **using**  $\langle t \neq \varepsilon \rangle$  **by** *blast*  
**hence** *primitive*  $(s \cdot r)$   
**using** *conjug-prim-iff'* **by** *blast*  
**define**  $e$  **where**  $e = e_\varrho \ t$   
**have**  $t: t = (r \cdot s)^{\textcircled{e}}$   
**unfolding**  $\langle r \cdot s = \varrho \ t \rangle$  *e-def* **by** *simp*  
**have**  $eq1: t \cdot (r \cdot s)^{\textcircled{n}} \cdot r = (r \cdot s)^{\textcircled{e}} \cdot (e_\varrho \ t + n) \cdot r$   
**unfolding** *add-exps*  $\langle r \cdot s = \varrho \ t \rangle$  *primroot-exp-eq* *rassoc..*  
**have**  $eq2: ((s \cdot r)^{\textcircled{k}} \cdot s) \cdot t = (s \cdot r)^{\textcircled{k+e}} \cdot s$   
**unfolding**  $t$  **by** *comparison*  
**show** *thesis*  
**using** *that*[*OF*  $\langle u = (s \cdot r)^{\textcircled{k}} \cdot s \rangle - - \langle y = (r \cdot s)^{\textcircled{n}} \cdot r \rangle$  *primitive*  $(r \cdot s)$ ,  
*primitive*  $(s \cdot r)$ ,  
*folded*  $\langle u \cdot t = x \rangle \langle t \cdot y = v \rangle$ , *unfolded*  $\langle u = (s \cdot r)^{\textcircled{k}} \cdot s \rangle \langle y = (r \cdot s)^{\textcircled{n}} \cdot r \rangle$ , *OF*  $eq1 \ eq2$ ].  
**qed**

**lemma** *two-conjugs*: **assumes**  $u \cdot v = x \cdot y$  **and**  $v \cdot u = y \cdot x$  **and**  $u \neq \varepsilon$  **and**  $x \neq \varepsilon$   
**and**  $u \neq x$

**obtains**  $r \ s \ k \ l \ m \ n$  **where**  
 $u = (s \cdot r)^{\textcircled{k}} \cdot s$  **and**  $v = (r \cdot s)^{\textcircled{l}} \cdot r$  **and**  
 $x = (s \cdot r)^{\textcircled{m}} \cdot s$  **and**  $y = (r \cdot s)^{\textcircled{n}} \cdot r$  **and**  
*primitive*  $(r \cdot s)$  **and** *primitive*  $(s \cdot r)$   
**by** (*rule* *le-cases*[*of*  $|u| \ |x|$ ],  
*use* *two-conjugs-aux*[*OF* *assms*(1-3,5)] **in** *metis*)  
*(use* *two-conjugs-aux*[*OF* *assms*(1-2)[*symmetric*] *assms*(4) *assms*(5)[*symmetric*])  
**in** *metis*)

**lemma** *fac-pow-pref-conjug*:

**assumes**  $u \leq_f t^{\textcircled{k}}$   
**obtains**  $t'$  **where**  $t \sim t'$  **and**  $u \leq_p t'^{\textcircled{k}}$   
**proof** (*cases*  $t = \varepsilon$ )  
**assume**  $t \neq \varepsilon$   
**obtain**  $p \ q$  **where**  $eq: p \cdot u \cdot q = t^{\textcircled{k}}$  **using** *facE'*[*OF* *assms*].  
**obtain**  $i \ r$  **where**  $i \leq k$  **and**  $r <_p t$  **and**  $p: t^{\textcircled{i}} \cdot r = p$   
**using** *pref-mod-pow*[*OF* *prefI*[*OF*  $eq$ ]  $\langle t \neq \varepsilon \rangle$ ].  
**from**  $\langle r <_p t \rangle$  **obtain**  $s$  **where**  $t: r \cdot s = t..$   
**have**  $eq': t^{\textcircled{i}} \cdot r \cdot (u \cdot q) = t^{\textcircled{k}}$  **using**  $eq$  **unfolding** *lassoc*  $p$ .  
**have**  $u \leq_p (s \cdot r)^{\textcircled{k}}$  **using** *pow-conjug*[*OF*  $eq' \ t$ ] **unfolding** *rassoc..*  
**with** *conjugI'*[*of*  $r \ s$ ] **show** *thesis* **unfolding**  $t..$   
**qed** (*use* *assms* **in** *auto*)

**lemmas** *fac-pow-suf-conjug* = *fac-pow-pref-conjug*[*reversed*]

**lemma** *fac-pow-len-conjug*[*intro*]: **assumes**  $|u| = |v|$  **and**  $u \leq_f v^{\textcircled{k}}$  **shows**  $v \sim u$   
**proof**-

**obtain**  $t$  **where**  $v \sim t$  **and**  $u \leq_p t^{\textcircled{k}}$   
**using** *fac-pow-pref-conjug*[*OF*  $\langle u \leq_f v^{\textcircled{k}} \rangle$ ].  
**have**  $u = t$

**using** *pref-prod-eq*[*OF pref-prod-root*[*OF*  $\langle u \leq_p t^{\textcircled{k}} \rangle$ ] *conjug-len*[*OF*  $\langle v \sim t \rangle$ , *folded*  $\langle |u| = |v| \rangle$ ].  
**from**  $\langle v \sim t \rangle$  [*folded this*]  
**show**  $v \sim u$ .  
**qed**

**lemma** *conjug-fac-sq*:  
 $u \sim v \implies u \leq_f v \cdot v$   
**by** (*elim conjugE*, *unfold eq-commute*[*of*  $\cdot \cdot$ ]) (*intro facI'*, *simp*)

**lemma** *conjug-fac-pow-conv*: **assumes**  $|u| = |v|$  **and**  $2 \leq k$   
**shows**  $u \sim v \iff u \leq_f v^{\textcircled{k}}$

**proof**  
**assume**  $u \sim v$   
**have**  $f$ :  $v \cdot v \leq_f v^{\textcircled{k}}$   
**using**  $\langle 2 \leq k \rangle$  **unfolding** *pow-two*[*symmetric*] **using** *le-exps-pref* **by** *blast*  
**from** *fac-trans*[*OF conjug-fac-sq*[*OF*  $\langle u \sim v \rangle$ ] *this*]  
**show**  $u \leq_f v^{\textcircled{k}}$ .  
**next**  
**show**  $u \leq_f v^{\textcircled{k}} \implies u \sim v$   
**using** *fac-pow-len-conjug*[*OF*  $\langle |u| = |v| \rangle$ , *THEN conjug-sym*].  
**qed**

**lemma** *conjug-fac-Suc*: **assumes**  $t \sim v$   
**shows**  $t^{\textcircled{k}} \leq_f v^{\textcircled{k}} \text{Suc } k$   
**proof**–  
**obtain**  $r s$  **where**  $v = r \cdot s$  **and**  $t = s \cdot r$   
**using**  $\langle t \sim v \rangle$  **by** *blast*  
**show** *?thesis*  
**unfolding**  $\langle v = r \cdot s \rangle \langle t = s \cdot r \rangle$   
**unfolding** *pow-slide*[*of*  $r s k$ , *symmetric*]  
**by** *force*  
**qed**

**lemma** *fac-pow-conjug*: **assumes**  $u \leq_f v^{\textcircled{k}}$  **and**  $t \sim v$   
**shows**  $u \leq_f t^{\textcircled{k}} \text{Suc } k$   
**proof**–  
**obtain**  $r s$  **where**  $v = r \cdot s$  **and**  $t = s \cdot r$   
**using**  $\langle t \sim v \rangle$  **by** *blast*  
**have**  $s \cdot v^{\textcircled{k}} \cdot r = t^{\textcircled{k}} \text{Suc } k$   
**unfolding**  $\langle v = r \cdot s \rangle \langle t = s \cdot r \rangle$  *shift-pow pow-Suc rassoc..*  
**from** *facI*[*of*  $v^{\textcircled{k}} s r$ , *unfolded this*]  
**show**  $u \leq_f t^{\textcircled{k}} \text{Suc } k$   
**using**  $\langle u \leq_f v^{\textcircled{k}} \rangle$  **by** *blast*  
**qed**

**lemma** *border-conjug*:  $x \leq_b w \implies w^{\langle -1 \rangle} x \sim x^{\langle -1 \rangle} w$   
**using** *border-conjug-eq conjugI1* **by** *blast*

**lemma** *count-list-conjug*: **assumes**  $u \sim v$  **shows**  $\text{count-list } u \ a = \text{count-list } v \ a$   
**proof**–  
**from** *conjugE*[*OF*  $\langle u \sim v \rangle$ ]  
**obtain**  $r \ s$  **where**  $r \cdot s = u \ s \cdot r = v$ .  
**show**  $\text{count-list } u \ a = \text{count-list } v \ a$   
**unfolding**  $\langle r \cdot s = u \rangle$ [*symmetric*]  $\langle s \cdot r = v \rangle$ [*symmetric*] *count-list-append* **by**  
*presburger*  
**qed**

**lemma** *conjug-in-lists*:  $us \sim vs \implies vs \in \text{lists } A \implies us \in \text{lists } A$   
**unfolding** *conjugate-def* **by** *auto*

**lemma** *conjug-in-lists'*:  $us \sim vs \implies us \in \text{lists } A \implies vs \in \text{lists } A$   
**unfolding** *conjugate-def* **by** *auto*

**lemma** *conjug-in-lists-iff*:  $us \sim vs \implies us \in \text{lists } A \longleftrightarrow vs \in \text{lists } A$   
**unfolding** *conjugate-def* **by** *auto*

**lemma** *prim-conjug-unique*: **assumes** *primitive*  $(u \cdot v)$  **and**  $u \cdot v = r \cdot s$  **and**  $v \cdot u = s \cdot r$  **and**  $u \cdot v \neq v \cdot u$

**shows**  $u = r$  **and**  $v = s$

**proof**–

**have**  $u = r$  **if** *primitive*  $(u \cdot v)$  **and**  $u \cdot v = r \cdot s$  **and**  $v \cdot u = s \cdot r$  **and**  $u \cdot v \neq v \cdot u$  **and**  $|v| \leq |s|$  **for**  $u \ v \ r \ s :: 'a \ \text{list}$

**proof**–

**from** *eqdE*[*OF*  $\langle v \cdot u = s \cdot r \rangle \langle |v| \leq |s| \rangle$ ]

**obtain**  $t$  **where**  $v \cdot t = s \ t \cdot r = u$ .

**have**  $t \cdot (r \cdot v) = (r \cdot v) \cdot t$

**unfolding** *lassoc*  $\langle t \cdot r = u \rangle$  **unfolding** *rassoc*  $\langle v \cdot t = s \rangle$  **by** *fact*

**from** *comm-not-prim*[*OF* - - *this, unfolded lassoc*  $\langle t \cdot r = u \rangle$ ]

**have**  $t = \varepsilon$

**using**  $\langle \text{primitive } (u \cdot v) \rangle \langle u \cdot v \neq v \cdot u \rangle$  **by** *blast*

**thus**  $u = r$

**using**  $\langle t \cdot r = u \rangle$  **by** *force*

**qed**

**from** *this*[*OF* *assms*]

*this*[*OF*  $\langle \text{primitive } (u \cdot v) \rangle$ ][*unfolded*  $\langle u \cdot v = r \cdot s \rangle$ ] *assms*(2–3)[*symmetric*]  
*assms*(4)[*unfolded*  $\langle u \cdot v = r \cdot s \rangle \langle v \cdot u = s \cdot r \rangle$ ]

**show**  $u = r$

**by** *fastforce*

**thus**  $v = s$

**using**  $\langle u \cdot v = r \cdot s \rangle$  **by** *fast*

**qed**

**lemma** *prim-conjugE*[*elim, consumes* 3]: **assumes**  $(u \cdot v) \cdot z = z \cdot (v \cdot u)$  **and** *primitive*  $(u \cdot v)$  **and**  $v \neq \varepsilon$

**obtains**  $k$  **where**  $(u \cdot v)^{\textcircled{a}} k \cdot u = z$

**proof**–

**from** *conjug-eqE*[*OF* *assms*(1) *prim-nemp*[*OF* *assms*(2)]]  
**obtain**  $x \cdot y \cdot m$  **where**  $x \cdot y = u \cdot v$  **and**  $y \cdot x = v \cdot u$  **and**  $(x \cdot y)^{\textcircled{m}} \cdot x = z$  **and**  
 $y \neq \varepsilon$ .  
**from** *prim-conjug-unique*[*OF*  $\langle \text{primitive } (u \cdot v) \rangle \langle x \cdot y = u \cdot v \rangle$  [*symmetric*]  $\langle y \cdot x = v \cdot u \rangle$  [*symmetric*]]  
**consider**  $u \cdot v = v \cdot u \mid u = x \wedge v = y$  **by** *blast*  
**thus** *thesis*  
**proof** (*cases*)  
  **assume**  $u \cdot v = v \cdot u$   
  **from** *comm-not-prim*[*OF* -  $\langle v \neq \varepsilon \rangle$  *this*]  $\langle \text{primitive } (u \cdot v) \rangle$   
  **have**  $u = \varepsilon$  **by** *blast*  
  **from**  $\langle (u \cdot v) \cdot z = z \cdot (v \cdot u) \rangle$  [*symmetric*]  $\langle \text{primitive } (u \cdot v) \rangle$   
  **obtain**  $k$  **where**  $z = (u \cdot v)^{\textcircled{k}} \cdot u$   
  **unfolding**  $\langle u = \varepsilon \rangle$  *emp-simps* **by** *blast*  
  **from** *that*[*OF* *this* [*symmetric*]]  
  **show** *thesis*.  
**next**  
  **assume**  $u = x \wedge v = y$   
  **with**  $\langle (x \cdot y)^{\textcircled{m}} \cdot x = z \rangle$  *that*  
  **show** *thesis* **by** *blast*  
**qed**  
**qed**

**lemma** *prim-conjugE'*[*elim*, *consumes*  $\beta$ ]: **assumes**  $(r \cdot s) \cdot z = z \cdot (s \cdot r)$  **and**  
*primitive*  $(r \cdot s)$  **and**  $z \neq \varepsilon$   
**obtains**  $k$  **where**  $(r \cdot s)^{\textcircled{k}} \cdot r = z$   
**proof** (*cases*  $\langle s = \varepsilon \rangle$ )  
  **assume**  $s = \varepsilon$   
  **from** *assms*(1–2) [*unfolded this emp-simps*]  
  **have** *primitive*  $r$  **and**  $z \cdot r = r \cdot z$  **by** *force+*  
  **from** *prim-comm-exp*[*OF* *this*]  
  **obtain**  $k$  **where**  $z = r^{\textcircled{k}} \cdot 0 < k$   
  **using** *nemp-exp-pos*[*OF*  $\langle z \neq \varepsilon \rangle$ ] **by** *metis*  
  **have**  $r^{\textcircled{k-1}} \cdot r = z$   
  **unfolding** *pow-pos'*[*OF*  $\langle 0 < k \rangle$ , *of*  $r$ , *folded*  $\langle z = r^{\textcircled{k}} \rangle$ ..  
  **from** *that*[*unfolded*  $\langle s = \varepsilon \rangle$  *emp-simps*, *OF* *this*]  
  **show** *thesis*.  
**qed** (*use prim-conjugE*[*OF* *assms*(1–2)] **in** *blast*)

**lemma** *conjug-primroots-unique*: **assumes**  $x \cdot y \neq y \cdot x$  **and**  
 $r \cdot s = \varrho(x \cdot y)$  **and**  $s \cdot r = \varrho(y \cdot x)$  **and**  
 $r' \cdot s' = \varrho(x \cdot y)$  **and**  $s' \cdot r' = \varrho(y \cdot x)$   
**shows**  $r = r'$  **and**  $s = s'$   
**proof**–  
  **have**  $x \cdot y \neq \varepsilon$  **and**  $y \cdot x \neq \varepsilon$  **and**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **and**  $(x \cdot y) \cdot (y \cdot x) \neq (y \cdot x) \cdot (x \cdot y)$   
  **using**  $\langle x \cdot y \neq y \cdot x \rangle$  *eqd-eq*[*OF* - *swap-len*] **by** *blast+*  
  **show**  $r = r'$   
  **proof** (*rule prim-conjug-unique*(1))

```

from primroot-prim[OF  $\langle x \cdot y \neq \varepsilon \rangle$ , folded  $\langle r \cdot s = \varrho(x \cdot y) \rangle$ ]
show primitive  $(r \cdot s)$ .
from  $\langle r \cdot s = \varrho(x \cdot y) \rangle$ [folded  $\langle r' \cdot s' = \varrho(x \cdot y) \rangle$ ]  $\langle s \cdot r = \varrho(y \cdot x) \rangle$ [folded
 $\langle s' \cdot r' = \varrho(y \cdot x) \rangle$ ]
show  $r \cdot s = r' \cdot s'$  and  $s \cdot r = s' \cdot r'$ .
show  $r \cdot s \neq s \cdot r$ 
unfolding  $\langle r \cdot s = \varrho(x \cdot y) \rangle$   $\langle s \cdot r = \varrho(y \cdot x) \rangle$ 
using same-primroots-comm  $\langle (x \cdot y) \cdot (y \cdot x) \neq (y \cdot x) \cdot (x \cdot y) \rangle$  by blast
qed
thus  $s = s'$ 
using  $\langle r \cdot s = \varrho(x \cdot y) \rangle$ [folded  $\langle r' \cdot s' = \varrho(x \cdot y) \rangle$ ] by blast
qed

```

```

lemma prim-conjug-pref: assumes primitive  $(s \cdot r)$  and  $u \cdot r \cdot s \leq_p (s \cdot r)^{\textcircled{n}}$ 
and  $r \neq \varepsilon$ 
obtains  $n$  where  $(s \cdot r)^{\textcircled{n}} \cdot s = u$ 
proof-
have  $u \cdot r \cdot s \leq_p (s \cdot r \cdot u) \cdot r \cdot s$ 
using pref-prod-root[OF  $\langle u \cdot r \cdot s \leq_p (s \cdot r)^{\textcircled{n}} \rangle$ ] unfolding rassoc.
from pref-prod-eq[OF this, unfolded lenmorph]
have  $(s \cdot r) \cdot u = u \cdot (r \cdot s)$ 
unfolding rassoc by force
from prim-conjugE[OF this  $\langle \text{primitive}(s \cdot r) \rangle$   $\langle r \neq \varepsilon \rangle$ ]
show thesis
using that.
qed

```

```

lemma fac-per-conjug: assumes period  $w$   $n$  and  $v \leq_f w$  and  $|v| = n$ 
shows  $v \sim \text{take } n \ w$ 
proof-
have  $|\text{take } n \ w| = |v|$ 
using fac-len[OF  $\langle v \leq_f w \rangle$ ]  $\langle |v| = n \rangle$  take-len by blast
from per-root-powE'[OF  $\langle \text{period } w \ n \rangle$ [unfolded period-def]]
obtain  $k$  where  $w \leq_p \text{take } n \ w^{\textcircled{k}}$ .
from fac-pow-len-conjug[OF  $\langle |\text{take } n \ w| = |v| \rangle$ [symmetric], THEN conjug-sym]
fac-trans[OF  $\langle v \leq_f w \rangle$  pref-fac, OF this]
show ?thesis.
qed

```

```

lemma fac-pers-conjug: assumes period  $w$   $n$  and  $v \leq_f w$  and  $|v| = n$  and  $u \leq_f$ 
 $w$  and  $|u| = n$ 
shows  $v \sim u$ 
using conjug-trans[OF fac-per-conjug[OF  $\langle \text{period } w \ n \rangle$   $\langle v \leq_f w \rangle$   $\langle |v| = n \rangle$ ]
conjug-sym[OF fac-per-conjug[OF  $\langle \text{period } w \ n \rangle$   $\langle u \leq_f w \rangle$   $\langle |u| = n \rangle$ ]]].

```

```

lemma conjug-pow-powE: assumes  $w \sim r^{\textcircled{k}}$  obtains  $s$  where  $w = s^{\textcircled{k}}$ 
proof-
obtain  $u \ v$  where  $w = u \cdot v$  and  $v \cdot u = r^{\textcircled{k}}$ 
using assms by blast

```



**have**  $w = (v^{-1} \triangleright (r \cdot v))^{\textcircled{k}}$   
**unfolding**  $\langle w = u \cdot v \rangle$  *lq-conjug-pow*[*OF pref-prod-root*, *OF prefI*[*OF*  $\langle v \cdot u = r^{\textcircled{k}}$ ], *symmetric*]  $\langle v \cdot u = r^{\textcircled{k}}$ [*symmetric*]  
**by** *simp*  
**from** *that*[*OF this*]  
**show** *thesis*.  
**qed**

**lemma** *find-second-letter*: **assumes**  $a \neq b$  **and**  $\text{set } ws = \{a, b\}$   
**shows**  $\text{dropWhile } (\lambda c. c = a) \text{ } ws \neq \varepsilon$  **and**  $\text{hd } (\text{dropWhile } (\lambda c. c = a) \text{ } ws) = b$   
**proof** –  
**let**  $?a = (\lambda c. c = a)$

**define** *wsb* **where**  $\text{wsb} = \text{dropWhile } ?a \text{ } ws \cdot \text{takeWhile } ?a \text{ } ws$   
**have**  $\text{wsb} \sim ws$   
**unfolding** *wsb-def* **using** *takeWhile-dropWhile-id*[*of*  $?a \text{ } ws$ ] *conjugI'* **by** *blast*  
**hence**  $\text{set } \text{wsb} = \{a, b\}$   
**using**  $\langle \text{set } ws = \{a, b\} \rangle$  **by** (*simp add: conjug-set*)

**have**  $\text{takeWhile } ?a \text{ } ws \neq ws$   
**unfolding** *takeWhile-eq-all-conv* **using**  $\langle \text{set } ws = \{a, b\} \rangle$   $\langle a \neq b \rangle$  **by** *simp*  
**thus**  $\text{dropWhile } ?a \text{ } ws \neq \varepsilon$  **by** *simp*  
**from** *hd-dropWhile*[*OF this*] *set-dropWhileD*[*OF hd-in-set*[*OF this*], *unfolded*  $\langle \text{set } ws = \{a, b\} \rangle$ ]  
**show**  $\text{hd } (\text{dropWhile } ?a \text{ } ws) = b$   
**by** *blast*  
**qed**

**lemma** *fac-conjug-sq*:  
**assumes**  $u \sim v$  **and**  $|w| \leq |u|$  **and**  $w \leq_f u \cdot u$   
**shows**  $w \leq_f v \cdot v$   
**proof** –  
**have** *asm-le*:  $w \leq_f s \cdot r \cdot s \cdot r$   
**if**  $p \cdot w \cdot q = r \cdot s \cdot r \cdot s$  **and**  $|r| \leq |p|$  **for**  $w \ s \ r \ p \ q :: 'a \text{ list}$   
**proof** –  
**obtain**  $p'$  **where**  $r \cdot p' = p$   
**using**  $\langle p \cdot w \cdot q = r \cdot s \cdot r \cdot s \rangle$   $\langle |r| \leq |p| \rangle$  **unfolding** *rassoc* **by** (*rule eqdE*[*OF sym*])  
**show**  $w \leq_f s \cdot r \cdot s \cdot r$   
**using**  $\langle p \cdot w \cdot q = r \cdot s \cdot r \cdot s \rangle$   
**by** (*intro facI'*[*of*  $p' - q \cdot r$ ]) (*simp flip:*  $\langle r \cdot p' = p \rangle$ )  
**qed**  
**obtain**  $r \ s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$  **using**  $\langle u \sim v \rangle$ ..  
**obtain**  $p \ q$  **where**  $p \cdot w \cdot q = u \cdot u$  **using**  $\langle w \leq_f u \cdot u \rangle$ ..  
**from** *lenarg*[*OF this*]  $\langle |w| \leq |u| \rangle$   
**have**  $|r| \leq |p| \vee |s| \leq |q|$   
**unfolding**  $\langle r \cdot s = u \rangle$ [*symmetric*] *lenmorph* **by** *linarith*  
**then show**  $w \leq_f v \cdot v$   
**using**  $\langle p \cdot w \cdot q = u \cdot u \rangle$  **unfolding**  $\langle r \cdot s = u \rangle$ [*symmetric*]  $\langle s \cdot r = v \rangle$ [*symmetric*]

by (*elim disjE*) (*simp only: assm-le rassoc, simp only: assm-le[reversed] lassoc*)  
 qed

**lemma** *fac-conjug-sq-iff*:

assumes  $u \sim v$  shows  $|w| \leq |u| \implies w \leq f u \cdot u \longleftrightarrow w \leq f v \cdot v$   
 using *fac-conjug-sq*[*OF*  $\langle u \sim v \rangle$ ] *fac-conjug-sq*[*OF*  $\langle u \sim v \rangle$  [*symmetric*]]  
 unfolding *conjug-len*[*OF*  $\langle u \sim v \rangle$  [*symmetric*]]..

**lemma** *map-conjug*:

$u \sim v \implies \text{map } f u \sim \text{map } f v$   
 by (*elim conjugE, unfold eq-commute*[*of*  $\cdot \cdot$ ]) *auto*

**lemma** *map-conjug-iff* [*reversal-rule*]:

assumes *inj f* shows  $\text{map } f u \sim \text{map } f v \longleftrightarrow u \sim v$   
 using *map-conjug map-conjug*[*of* *map f u map f v inv f*]  
 unfolding *map-map inv-o-cancel*[*OF*  $\langle \text{inj } f \rangle$ ] *list.map-id* by (*intro iffI*)

**lemma** *card-conjug*: assumes  $w \neq \varepsilon$

shows  $\text{card } (\text{Collect } (\text{conjugate } w)) = |\varrho w|$

**proof**–

define *f* where  $f = (\lambda n. \text{rotate } n w)$

have  $\varrho w \neq \varepsilon$

by (*simp add: assms primroot-nemp*)

obtain *k* where  $(\varrho w)^{\textcircled{k}} = w$

using *primroot-expE*

by *blast*

have  $f \{0..<|\varrho w|\} = \{w'. w \sim w'\}$

unfolding *set-eq-iff*

unfolding *mem-Collect-eq conjug-rotate-iff image-iff*

unfolding *atLeast0LessThan*

unfolding *f-def*

using *lessThan-iff rotate-pow-mod*[*of*  $\varrho w k$ ] *mod-less-divisor*[*OF* *nemp-pos-len*[*OF*  $\langle \varrho w \neq \varepsilon \rangle$ ]]

unfolding  $\langle (\varrho w)^{\textcircled{k}} = w \rangle$

by *meson*

have *inj-on f*  $\{0..<|\varrho w|\}$

**proof** (*rule inj-onI*)

fix *x y*

assume  $x \in \{0..<|\varrho w|\}$   $y \in \{0..<|\varrho w|\}$   $f x = f y$

hence *roxy*:  $\text{rotate } x (\varrho w) = \text{rotate } y (\varrho w)$

unfolding *f-def*

by (*metis assms primroot-rotate-comm*)

show  $x = y$

using *prim-no-rotate*[*OF* *primroot-prim*[*OF*  $\langle w \neq \varepsilon \rangle$ ]] *rotate-back'*[*OF* *roxy*]  
*rotate-back'*[*OF* *roxy* [*symmetric*]]  $\langle x \in \{0..<|\varrho w|\} \rangle \langle y \in \{0..<|\varrho w|\} \rangle$

unfolding *atLeast0LessThan lessThan-iff*

using *bot-nat-0.not-eq-extremum less-imp-diff-less nat-le-linear zero-diff-eq* by

```

metis
qed
from card-image[OF this]
show ?thesis
  unfolding ⟨f ‘ {0..<|ρ w|} = {w'. w ~ w'}⟩
  unfolding atLeast0LessThan card-lessThan.
qed

lemma finite-Bex-conjug: assumes finite A
  shows finite {r. Bex A (conjugate r)}
  unfolding finite-Collect-bex[OF ⟨finite A⟩, of conjugate]
proof
  fix y
  assume y ∈ A
  show finite {r. r ~ y}
  proof(cases y = ε)
    case True
    then show ?thesis
      unfolding conjug-swap[of - y]
      by (metis (mono-tags, opaque-lifting) ⟨y ∈ A⟩ assms conjug-nemp-iff fi-
nite-subset mem-Collect-eq subset-eq)
    next
    case False
    then show ?thesis
      unfolding conjug-swap[of - y]
      by (simp add: card-conjug card-ge-0-finite primroot-nemp)
  qed
qed

```

### 2.22.1 Enumerating conjugates

**definition** *bounded-conjug*  
 where *bounded-conjug*  $w' w k \equiv (\exists n \leq k. w = \text{rotate } n w')$

**named-theorems** *bounded-conjug*

**lemma**[*bounded-conjug*]: *bounded-conjug*  $w' w 0 \longleftrightarrow w = w'$   
**unfolding** *bounded-conjug-def* **by** *auto*

**lemma**[*bounded-conjug*]: *bounded-conjug*  $w' w (\text{Suc } k) \longleftrightarrow \text{bounded-conjug } w' w k \vee w = \text{rotate } (\text{Suc } k) w'$   
**unfolding** *bounded-conjug-def* **using** *le-SucE le-imp-less-Suc le-less* **by** *metis*

**lemma**[*bounded-conjug*]:  $w' \sim w \longleftrightarrow \text{bounded-conjug } w w' (|w|-1)$   
**unfolding** *bounded-conjug-def conjug-swap*[of  $w'$ ] **using** *conjug-rotate-iff-le*.

**lemma**  $w \sim [a,b,c] \longleftrightarrow w = [a,b,c] \vee w = [b,c,a] \vee w = [c,a,b]$   
**by** (*simp add: bounded-conjug*)

## 2.22.2 General lemmas using conjugation

**lemma** *switch-fac*: **assumes**  $x \neq y$  **and**  $set\ ws = \{x,y\}$  **shows**  $[x,y] \leq_f ws \cdot ws$   
**proof**–  
**let**  $?y = (\lambda a. a = y)$  **and**  $?x = (\lambda a. a = x)$   
**have**  $ws \neq \varepsilon$   
**using**  $\langle set\ ws = \{x,y\} \rangle$  **by** *force*

**define**  $wsx$  **where**  $wsx = dropWhile\ ?y\ ws \cdot takeWhile\ ?y\ ws$   
**have**  $wsx \sim ws$   
**unfolding** *wsx-def* **using** *takeWhile-dropWhile-id*[*of*  $?y\ ws$ ] *conjugI'* **by** *blast*  
**have**  $set\ wsx = \{x,y\}$   
**unfolding** *wsx-def* **using**  $\langle set\ ws = \{x,y\} \rangle$  *conjugI'* *conjug-set* *takeWhile-dropWhile-id*  
**by** *metis*  
**from** *find-second-letter*[*OF*  $\langle x \neq y \rangle$  [*symmetric*]  $\langle set\ ws = \{x,y\} \rangle$  [*unfolded in-sert-commute*[*of*  $x$ ]]]  
**have**  $dropWhile\ (\lambda c. c = y)\ ws \neq \varepsilon$  **and**  $hd\ wsx = x$   
**unfolding** *wsx-def* **using** *hd-append* **by** *simp-all*  
**hence**  $takeWhile\ ?x\ wsx \neq \varepsilon$   
**unfolding** *wsx-def* *takeWhile-eq-Nil-iff* **by** *blast*  
**from** *root-nemp-expE*[*OF* *takeWhile-sing-root*[*of*  $x\ wsx$ ] *this*]  
**obtain**  $k$  **where** [*symmetric*]:  $[x]^{\textcircled{k}} = takeWhile\ ?x\ wsx$  **and**  $0 < k$ .  
**note** *find-second-letter*[*OF*  $\langle x \neq y \rangle$   $\langle set\ wsx = \{x,y\} \rangle$ ]  
**have**  $wsx = [x]^{\textcircled{k}}(k - 1) \cdot [x] \cdot [hd\ (dropWhile\ ?x\ wsx)] \cdot [tl\ (dropWhile\ ?x\ wsx)]$   
**unfolding** *lassoc* *pow-pos'*[*OF*  $\langle 0 < k \rangle$ , *symmetric*]  $\langle takeWhile\ ?x\ wsx = [x]^{\textcircled{k}}$  [*symmetric*]  
**unfolding** *rassoc* *hd-tl*[*OF*  $\langle dropWhile\ ?x\ wsx \neq \varepsilon \rangle$ ] *takeWhile-dropWhile-id..*  
**from** *this*[*unfolded*  $\langle hd\ (dropWhile\ ?x\ wsx) = y \rangle$ ]  
**have**  $[x,y] \leq_f wsx$  **by** (*auto* *simp* *add*: *fac-def*)  
**thus**  $[x,y] \leq_f ws \cdot ws$   
**using** *fac-trans*[*OF* - *conjug-fac-sq*[*OF*  $\langle wsx \sim ws \rangle$ ]] **by** *blast*

**qed**

**lemma** *imprim-ext-pref-comm*: **assumes**  $\neg primitive\ (u \cdot v)$  **and**  $\neg primitive\ (u \cdot v \cdot u)$

**shows**  $u \cdot v = v \cdot u$

**using**  $\langle \neg primitive\ (u \cdot v) \rangle$  **proof** (*elim* *not-prim-primroot-expE*)

**fix**  $z\ n$  **assume**  $z^{\textcircled{n}} = u \cdot v$  **and**  $2 \leq n$

**have**  $2 * |z| \leq |u \cdot v \cdot u|$

**by** (*simp* *add*: *pow-len*  $\langle 2 \leq n \rangle$  *trans-le-add1* *flip*:  $\langle z^{\textcircled{n}} = u \cdot v \rangle$  *rassoc*)

**moreover** **have**  $u \cdot v \cdot u \leq_p z \cdot u \cdot v \cdot u$

**by** (*intro* *pref-prod-root*[*of* - -  $n + n$ ]) (*simp* *add*:  $\langle z^{\textcircled{n}} = u \cdot v \rangle$  *add-exps*)

**ultimately** **have**  $(u \cdot v \cdot u) \cdot z = z \cdot u \cdot v \cdot u$

**using**  $\langle \neg primitive\ (u \cdot v \cdot u) \rangle$  *per-le-prim-iff*

**by** (*cases*  $z = \varepsilon$ ) *blast+*

**from** *comm-add-exp*[*OF* *this*[*symmetric*], *of*  $n$ ]

**show**  $u \cdot v = v \cdot u$

**unfolding**  $\langle z^{\textcircled{n}} = u \cdot v \rangle$  **by** *simp*

**qed**

**lemma** *imprim-ext-suf-comm*:

$\neg \text{primitive } (u \cdot v) \implies \neg \text{primitive } (u \cdot v \cdot v) \implies u \cdot v = v \cdot u$

**by** (*intro imprim-ext-pref-comm*[*symmetric*])

(*unfold conjug-prim-iff*[*OF conjugI'*, *of v*] *rassoc*)

**lemma** *prim-xyky*: **assumes**  $2 \leq k$  **and**  $\neg \text{primitive } ((x \cdot y)^{\textcircled{k}} \cdot y)$  **shows**  $x \cdot y = y \cdot x$

**proof**–

**have**  $k \neq 0$  **using**  $\langle 2 \leq k \rangle$  **by** *simp*

**have**  $(x \cdot y)^{\textcircled{k}} = (x \cdot y)^{\textcircled{k-1}} \cdot x \cdot y$

**unfolding** *rassoc pow-Suc'*[*symmetric*] *Suc-minus*[*OF*  $\langle k \neq 0 \rangle$ ].

**have**  $(x \cdot y)^{\textcircled{k}} \cdot y = ((x \cdot y)^{\textcircled{k-1}} \cdot x) \cdot y \cdot y$

**unfolding** *lassoc cancel-right* **unfolding** *rassoc pow-Suc'*[*symmetric*] *Suc-minus*[*OF*  $\langle k \neq 0 \rangle$ ].

**from** *imprim-ext-suf-comm*[*OF* -  $\langle \neg \text{primitive } ((x \cdot y)^{\textcircled{k}} \cdot y) \rangle$ ][*unfolded this*],

*unfolded rassoc pow-Suc'*[*symmetric*] *Suc-minus*[*OF*  $\langle k \neq 0 \rangle$ ], *OF pow-nemp-imprim*[*OF*  $\langle 2 \leq k \rangle$ ]

**show**  $x \cdot y = y \cdot x$

**unfolding**  $\langle (x \cdot y)^{\textcircled{k}} = (x \cdot y)^{\textcircled{k-1}} \cdot x \cdot y \rangle$  *shift-pow*

*pow-Suc'*[*of*  $x \cdot y$ , *unfolded rassoc*, *symmetric*] *pow-Suc*[*of*  $y \cdot x$ , *unfolded rassoc*, *symmetric*]

**using** *pow-eq-eq* **by** *blast*

**qed**

**lemma** *fac-pow-div*: **assumes**  $u \leq_f w^{\textcircled{l}}$  *primitive*  $w$

**shows**  $w^{\textcircled{(|u| \text{ div } |w|) - 1}} \leq_f u$

**proof**–

**obtain**  $w'$  **where**

$w \sim w'$  **and**

$u \leq_p w'^{\textcircled{l}}$

**using** *fac-pow-pref-conjug*[*OF*  $\langle u \leq_f w^{\textcircled{l}} \rangle$ ].

**note** *prim-nemp*[*OF*  $\langle \text{primitive } w \rangle$ ]

**hence**  $w' \neq \varepsilon$

**using** *conjug-nemp-iff*  $\langle w \sim w' \rangle$  **by** *blast*

**obtain**  $s$  **where**  $s <_p w'$  **and**  $w'^{\textcircled{(|u| \text{ div } |w'|)}} \cdot s = u$

**using** *per-root-modE'*[*OF per-rootI'*, *OF*  $\langle u \leq_p w'^{\textcircled{l}} \rangle$   $\langle w' \neq \varepsilon \rangle$ ].

**have**  $w^{\textcircled{(|u| \text{ div } |w|) - 1}} \leq_f w'^{\textcircled{(|u| \text{ div } |w'|)}}$

**unfolding** *conjug-len*[*OF*  $\langle w \sim w' \rangle$ ]

**using** *conjug-fac-Suc*[*OF*  $\langle w \sim w' \rangle$ ]

**by** (*cases*  $(|u| \text{ div } |w'|) = 0$ , *force*)

(*use Suc-minus in metis*)

**thus** *?thesis*

**using** *fac-ext-suf*[*of* -  $w'^{\textcircled{(|u| \text{ div } |w'|)}} s$ , *unfolded*  $\langle w'^{\textcircled{(|u| \text{ div } |w'|)}} \cdot s = u \rangle$ ]

**by** *presburger*

**qed**

## 2.23 Element of lists: a method for testing if a word is in lists A

**lemma** *append-in-lists*[*simp, intro*]:  $u \in \text{lists } A \implies v \in \text{lists } A \implies u \cdot v \in \text{lists } A$   
**by** *simp*

**lemma** *pref-in-lists*:  $u \leq_p v \implies v \in \text{lists } A \implies u \in \text{lists } A$   
**by** (*auto simp add: prefix-def*)

**lemmas** *suf-in-lists* = *pref-in-lists*[*reversed*]

**lemma** *fac-in-lists*:  $ws \in \text{lists } S \implies vs \leq_f ws \implies vs \in \text{lists } S$   
**by** *force*

**lemma** *lq-in-lists*:  $v \in \text{lists } A \implies u^{-1} > v \in \text{lists } A$   
**unfolding** *left-quotient-def* **using** *fac-in-lists*[*OF - sublist-drop*].

**lemmas** *rq-in-lists* = *lq-in-lists*[*reversed*]

**lemma** *take-in-lists*:  $w \in \text{lists } A \implies \text{take } j \ w \in \text{lists } A$   
**using** *pref-in-lists*[*OF take-is-prefix*].

**lemma** *drop-in-lists*:  $w \in \text{lists } A \implies \text{drop } j \ w \in \text{lists } A$   
**using** *suf-in-lists*[*OF suffix-drop*].

**lemma** *lcp-in-lists*:  $u \in \text{lists } A \implies u \wedge_p v \in \text{lists } A$   
**using** *pref-in-lists*[*OF lcp-pref*].

**lemma** *lcp-in-lists'*:  $v \in \text{lists } A \implies u \wedge_p v \in \text{lists } A$   
**using** *pref-in-lists*[*OF lcp-pref'*].

**lemma** *append-in-lists-dest*:  $u \cdot v \in \text{lists } A \implies u \in \text{lists } A$   
**by** *simp*

**lemma** *append-in-lists-dest'*:  $u \cdot v \in \text{lists } A \implies v \in \text{lists } A$   
**by** *simp*

**lemma** *pow-in-lists*:  $u \in \text{lists } A \implies u^{\textcircled{k}} \in \text{lists } A$   
**by** (*induct k*) *auto*

**lemma** *takeWhile-in-list*:  $u \in \text{lists } A \implies \text{takeWhile } P \ u \in \text{lists } A$   
**using** *take-in-lists*[*of u - |takeWhile P u|, folded takeWhile-eq-take*].

**lemma** *rev-in-lists*:  $u \in \text{lists } A \implies \text{rev } u \in \text{lists } A$   
**by** *auto*

**lemma** *append-in-lists-dest1*:  $u \cdot v = w \implies w \in \text{lists } A \implies u \in \text{lists } A$   
**by** *auto*

**lemma** *append-in-lists-dest2*:  $u \cdot v = w \implies w \in \text{lists } A \implies v \in \text{lists } A$   
**by** *auto*

**lemma** *pow-in-lists-dest1*:  $u \cdot v = w^{\textcircled{n}} \implies w \in \text{lists } A \implies u \in \text{lists } A$   
**using** *append-in-lists-dest pow-in-lists* **by** *metis*

**lemma** *pow-in-lists-dest1-sym*:  $w^{\textcircled{n}} = u \cdot v \implies w \in \text{lists } A \implies u \in \text{lists } A$   
**using** *append-in-lists-dest pow-in-lists* **by** *metis*

**lemma** *pow-in-lists-dest2*:  $u \cdot v = w^{\textcircled{n}} \implies w \in \text{lists } A \implies v \in \text{lists } A$   
**using** *append-in-lists-dest' pow-in-lists* **by** *metis*

**lemma** *pow-in-lists-dest2-sym*:  $w^{\textcircled{n}} = u \cdot v \implies w \in \text{lists } A \implies v \in \text{lists } A$   
**using** *append-in-lists-dest' pow-in-lists* **by** *metis*

**lemma** *per-in-lists*:  $w <_p r \cdot w \implies r \in \text{lists } A \implies w \in \text{lists } A$   
**using** *pow-in-lists[of r A] pref-in-lists per-root-pow-conv* **by** *metis*

**lemma** *nth-in-lists*:  $j < |w| \implies w \in \text{lists } A \implies w ! j \in A$   
**using** *in-lists-conv-set nth-mem* **by** *force*

**method** *inlists* =

(*insert method-facts, use nothing in* <  
 ((*elim suf-in-lists* | *elim pref-in-lists[elim-format]* | *rule lcp-in-lists* | *rule drop-in-lists*  
 |  
   *rule lq-in-lists* | *rule rq-in-lists* |  
   *rule take-in-lists* | *intro lq-in-lists* | *rule nth-in-lists* |  
   *rule append-in-lists* | *elim conjug-in-lists* | *rule pow-in-lists* | *rule takeWhile-in-list*  
 | *elim append-in-lists-dest1* | *elim append-in-lists-dest2*  
 | *elim pow-in-lists-dest2* | *elim pow-in-lists-dest2-sym*  
 | *elim pow-in-lists-dest1* | *elim pow-in-lists-dest1-sym*)  
 | (*simp* | *fact*)+>)

## 2.24 Reversed mappings

**definition** *rev-map* :: (*'a list*  $\Rightarrow$  *'b list*)  $\Rightarrow$  (*'a list*  $\Rightarrow$  *'b list*) **where**  
*rev-map* *f* = *rev*  $\circ$  *f*  $\circ$  *rev*

**lemma** *rev-map-idemp[simp]*: *rev-map* (*rev-map* *f*) = *f*  
**unfolding** *rev-map-def* **by** *auto*

**lemma** *rev-map-arg*: *rev-map* *f* *u* = *rev* (*f* (*rev* *u*))  
**by** (*simp add: rev-map-def*)

**lemma** *rev-map-arg'*: *rev* ((*rev-map* *f*) *w*) = *f* (*rev* *w*)  
**by** (*simp add: rev-map-def*)

**lemmas** *rev-map-arg-rev[reversal-rule]* = *rev-map-arg[reversed add: rev-rev-ident]*

**lemma** *rev-map-sing*:  $rev\text{-}map\ f\ [a] = rev\ (f\ [a])$   
**unfolding** *rev-map-def* **by** *simp*

**lemma** *rev-maps-eq-iff*[*simp*]:  $rev\text{-}map\ g = rev\text{-}map\ h \longleftrightarrow g = h$   
**using** *arg-cong*[*of rev-map g rev-map h rev-map, unfolded rev-map-idemp*] **by** *fast*

**lemma** *rev-map-funpow*[*reversal-rule*]:  $(rev\text{-}map\ (f::'a\ list \Rightarrow 'a\ list)) \text{~}^k = rev\text{-}map\ (f \text{~}^k)$   
**unfolding** *funpow.simps rev-map-def*  
**by**(*induct k, simp+*)

## 2.25 Overlapping powers, periods, prefixes and suffixes

**lemma** *pref-suf-overlapE*: **assumes**  $p \leq_p w$  **and**  $s \leq_s w$  **and**  $|w| \leq |p| + |s|$   
**obtains**  $p1\ u\ s1$  **where**  $p1 \cdot u \cdot s1 = w$  **and**  $p1 \cdot u = p$  **and**  $u \cdot s1 = s$

**proof**–

**define**  $u$  **where**  $u = (w^{<-1s})^{-1}p$

**have**  $u \leq_s p$

**unfolding** *u-def lq-def* **using** *suffix-drop*.

**obtain**  $p1\ s1$  **where**  $p1 \cdot u = p$  **and**  $p \cdot s1 = w$

**using** *suffixE*[*OF <u ≤s p>*] *prefixE*[*OF <p ≤p w>*] **by** *metis*

**note**  $<p \cdot s1 = w>$ [*folded <p1 · u = p>, unfolded rassoc*]

**have**  $|s1| \leq |s|$

**using**  $<|w| \leq |p| + |s|>$ [*folded <p · s1 = w>, unfolded lenmorph*] **by** *force*

**hence**  $s1 \leq_s s$

**using**  $<p \cdot s1 = w>$   $<s \leq_s w>$  *suf-prod-long* **by** *blast*

**from** *rq-lq-assoc*[*OF rq-suf-suf*[*OF <s ≤s w>*], *of s1*] *u-def*[*folded rqI*[*OF <p · s1 = w>*]]

**have**  $u = s^{<-1s1}$

**using** *suf-rq-lq-id*[*OF <s ≤s w>*]  $<s1 \leq_s s>$  **by** *presburger*

**hence**  $u \cdot s1 = s$

**using** *rq-suf*[*OF <s1 ≤s s>*] **by** *blast*

**from** *that*[*OF <p1 · u · s1 = w>*]  $<p1 \cdot u = p>$  *this*

**show** *thesis*.

**qed**

**lemma** *mid-sq*: **assumes**  $p \cdot x \cdot q = x \cdot x$  **shows**  $x \cdot p = p \cdot x$  **and**  $x \cdot q = q \cdot x$

**proof**–

**have**  $(x \cdot p) \cdot x \cdot q = (p \cdot x) \cdot q \cdot x$

**using** *assms* **by** *auto*

**from** *eqd-eq*[*OF this*]

**show**  $x \cdot p = p \cdot x$  **and**  $x \cdot q = q \cdot x$

**by** *simp+*

**qed**



**lemma** *mid-sq'*: **assumes**  $p \cdot x \cdot q = x \cdot x$  **shows**  $q \cdot p = x$  **and**  $p \cdot q = x$

**proof**–

**have**  $p \cdot q \cdot x = x \cdot x$   
**using** *assms*[*unfolded mid-sq(2)*][*OF assms*].  
**thus**  $p \cdot q = x$  **by** *auto*  
**from** *assms*[*folded this*] *this*  
**show**  $q \cdot p = x$  **by** *auto*

**qed**

**lemma** *mid-sq-pref*:  $p \cdot u \leq_p u \cdot u \implies p \cdot u = u \cdot p$

**using** *mid-sq(1)*[*symmetric*] **unfolding** *prefix-def rassoc* **by** *metis*

**lemmas** *mid-sq-suf* = *mid-sq-pref*[*reversed*]

**lemma** *mid-sq-pref-suf*: **assumes**  $p \cdot x \cdot q = x \cdot x$  **shows**  $p \leq_p x$  **and**  $p \leq_s x$  **and**  $q \leq_p x$  **and**  $q \leq_s x$

**using** *assms mid-sq'*[*OF assms*] **by** *blast+*

**lemma** *mid-pow*: **assumes**  $p \cdot x^{\textcircled{k}}(\text{Suc } l) \cdot q = x^{\textcircled{k}}$

**shows**  $x \cdot p = p \cdot x$  **and**  $x \cdot q = q \cdot x$

**proof**–

**have**  $x \cdot p \cdot x^{\textcircled{k}} l \cdot x \cdot q = x \cdot (p \cdot x^{\textcircled{k}} \text{Suc } l \cdot q)$   
**by** *comparison*  
**also have**  $\dots = (p \cdot x^{\textcircled{k}} \text{Suc } l \cdot q) \cdot x$   
**unfolding** *rassoc assms* **by** *comparison*  
**also have**  $\dots = p \cdot x \cdot x^{\textcircled{k}} l \cdot q \cdot x$  **by** *simp*  
**finally have** *eq*:  $x \cdot p \cdot x^{\textcircled{k}} l \cdot x \cdot q = p \cdot x \cdot x^{\textcircled{k}} l \cdot q \cdot x$ .

**have**  $(x \cdot p) \cdot x^{\textcircled{k}} l \cdot x \cdot q = (p \cdot x) \cdot x^{\textcircled{k}} l \cdot q \cdot x$

**using** *eq unfolding rassoc*.

**from** *eqd-comp*[*OF this*]

**show**  $x \cdot p = p \cdot x$

**using** *comm-ruler* **by** *blast*

**have**  $(x \cdot p \cdot x^{\textcircled{k}} l) \cdot (x \cdot q) = (x \cdot p \cdot x^{\textcircled{k}} l) \cdot (q \cdot x)$

**using** *eq unfolding lassoc*  $\langle x \cdot p = p \cdot x \rangle$ .

**from** *this*[*unfolded cancel*]

**show**  $x \cdot q = q \cdot x$ .

**qed**

**lemma** *root-suf-comm*: **assumes**  $x \leq_p r \cdot x$  **and**  $r \leq_s r \cdot x$  **shows**  $r \cdot x = x \cdot r$

**proof**–

**have**  $r \cdot x = x \cdot x^{-1} \triangleright (r \cdot x)$

**using** *lq-pref*[*OF*  $\langle x \leq_p r \cdot x \rangle$ , *symmetric*].

**from** *this* **and** *conj-len*[*OF this*]

**have**  $r = x^{-1} \triangleright (r \cdot x)$

**using** *lq-pref*[*OF*  $\langle x \leq_p r \cdot x \rangle$ ] *suf-ruler-eq-len*[*OF*  $\langle r \leq_s r \cdot x \rangle$ , *of*  $x^{-1} \triangleright (r \cdot x)$ ]

**by** *blast*

**from**  $\langle r \cdot x = x \cdot x^{-1} \rangle (r \cdot x)$  *[folded this]*  
**show**  $r \cdot x = x \cdot r$ .  
**qed**

**lemma** *pref-marker*: **assumes**  $w \leq_p v \cdot w$  **and**  $u \cdot v \leq_p w$   
**shows**  $u \cdot v = v \cdot u$   
**using** *append-prefixD*[*OF*  $\langle u \cdot v \leq_p w \rangle$ ] *comm-ruler*[*OF*  $\langle u \cdot v \leq_p w \rangle$ , *of*  $v \cdot w$ ,  
*unfolded same-prefix-prefix*]  
 $\langle w \leq_p v \cdot w \rangle$  **by** *blast*

**lemma** *pref-marker-ext*: **assumes**  $|x| \leq |y|$  **and**  $v \neq \varepsilon$  **and**  $y \cdot v \leq_p x \cdot v^{\textcircled{k}}$   
**obtains**  $n$  **where**  $y = x \cdot (\varrho v)^{\textcircled{n}}$

**proof**–  
**note** *pref-prod-long-ext*[*OF*  $\langle y \cdot v \leq_p x \cdot v^{\textcircled{k}} \rangle \langle |x| \leq |y| \rangle$ ]  
**have**  $x^{-1} \triangleright y \cdot v \leq_p v^{\textcircled{k}}$   
**using** *pref-cancel-lq-ext*[*OF*  $\langle y \cdot v \leq_p x \cdot v^{\textcircled{k}} \rangle \langle |x| \leq |y| \rangle$ ].  
**from** *pref-marker*[*OF* - *this*]  
**have**  $x^{-1} \triangleright y \cdot v = v \cdot x^{-1} \triangleright y$   
**unfolding** *pow-comm*[*symmetric*] **by** *blast*  
**then obtain**  $n$  **where**  $x^{-1} \triangleright y = (\varrho v)^{\textcircled{n}}$   
**using**  $\langle v \neq \varepsilon \rangle$   
**using** *comm-primroots* *pow-zero* *primroot-expE* **by** *metis*  
**hence**  $y = x \cdot (\varrho v)^{\textcircled{n}}$   
**using**  $\langle x \leq_p y \rangle$  **by** (*auto simp add: prefix-def*)  
**from** *that*[*OF* *this*] **show** *thesis*.

**qed**

**lemma** *pref-marker-sq*:  $p \cdot x \leq_p x \cdot x \implies p \cdot x = x \cdot p$   
**using** *pref-marker* *same-prefix-prefix* *triv-pref* **by** *metis*

**lemmas** *suf-marker-sq* = *pref-marker-sq*[*reversed*]

**lemma** *pref-marker-conjug*: **assumes**  $w \neq \varepsilon$  **and**  $w \cdot r \cdot s \leq_p s \cdot (r \cdot s)^{\textcircled{m}}$  **and**  
*primitive*  $(r \cdot s)$

**obtains**  $n$  **where**  $w = s \cdot (r \cdot s)^{\textcircled{n}}$

**proof**–

**have**  $(r \cdot w) \cdot r \cdot s \leq_p (r \cdot s)^{\textcircled{m}} \text{Suc } m$   
**using**  $\langle w \cdot r \cdot s \leq_p s \cdot (r \cdot s)^{\textcircled{m}} \rangle$  **by** *auto*  
**from** *pref-marker*[*OF* - *this*, *folded pow-comm*, *OF* *triv-pref*]  
**have**  $(r \cdot w) \cdot r \cdot s = (r \cdot s) \cdot r \cdot w$ .  
**from** *comm-primroots'*[*OF* - *prim-nemp*[*OF*  $\langle \text{primitive } (r \cdot s) \rangle$ ] *this*, *unfolded*  
*prim-self-root*[*OF*  $\langle \text{primitive } (r \cdot s) \rangle$ ]]  
**have**  $\varrho (r \cdot w) = r \cdot s$   
**using**  $\langle w \neq \varepsilon \rangle$  **by** *blast*  
**then obtain**  $n$  **where**  $r \cdot w = (r \cdot s)^{\textcircled{n}}$   $0 < n$   
**using**  $\langle w \neq \varepsilon \rangle$  *primroot-expE* **by** *metis*  
**thus** *thesis*  
**using** *pow-pos*[*OF*  $\langle 0 < n \rangle$ , *of*  $r \cdot s$ , *folded*  $\langle r \cdot w = (r \cdot s)^{\textcircled{n}} \rangle$ ,  
*unfolded rassoc cancel*] **that** **by** *force*

qed

lemmas *pref-marker-reversed* = *pref-marker*[*reversed*]

lemma *suf-marker-per-root*: **assumes**  $w \leq_p v \cdot w$  **and**  $p \cdot v \cdot u \leq_p w$

**shows**  $u \leq_p v \cdot u$

**proof**–

**have**  $p \cdot v = v \cdot p$

**using** *pref-marker*[*OF*  $\langle w \leq_p v \cdot w \rangle$ , *of p*]  $\langle p \cdot v \cdot u \leq_p w \rangle$  **by** (*auto simp add: prefix-def*)

**from** *pref-trans*[*OF*  $\langle p \cdot v \cdot u \leq_p w \rangle$ [*unfolded lassoc this, unfolded rassoc*]  $\langle w \leq_p v \cdot w \rangle$ ]

**have**  $p \cdot u \leq_p w$

**using** *pref-cancel* **by** *auto*

**from** *ruler-le*[*OF this*  $\langle p \cdot v \cdot u \leq_p w \rangle$ ]

**have**  $p \cdot u \leq_p p \cdot v \cdot u$

**by** *force*

**thus** *?thesis*

**unfolding** *pref-cancel-conv.*

qed

lemma *suf-marker-per-root'*: **assumes**  $w \leq_p v \cdot w$  **and**  $p \cdot v \cdot u \leq_p w$  **and**  $v \neq \varepsilon$

**shows**  $u \leq_p p \cdot u$

**proof**–

**have**  $p \cdot v = v \cdot p$

**using** *pref-marker*[*OF*  $\langle w \leq_p v \cdot w \rangle$ , *of p*]  $\langle p \cdot v \cdot u \leq_p w \rangle$  **by** (*fastforce simp add: prefix-def*)

**from** *root-comm-root*[*OF suf-marker-per-root*[*OF*  $\langle w \leq_p v \cdot w \rangle$   $\langle p \cdot v \cdot u \leq_p w \rangle$ ] *this*  $\langle v \neq \varepsilon \rangle$ ]

**show**  $u \leq_p p \cdot u$ .

qed

lemma *marker-fac-pref*: **assumes**  $u \leq_f r^{\textcircled{k}}$  **and**  $r \leq_p u$  **shows**  $u \leq_p r^{\textcircled{k}}$

**using** *assms*

**proof** (*cases*  $r = \varepsilon$ )

**assume**  $r \neq \varepsilon$

**have**  $|u| \leq |r^{\textcircled{k}}|$

**using**  $\langle u \leq_f r^{\textcircled{k}} \rangle$  **by** *force*

**obtain**  $u'$  **where**  $r \cdot u' = u$

**using**  $\langle r \leq_p u \rangle$  **by** (*auto simp add: prefix-def*)

**obtain**  $p$   $s$  **where**  $p \cdot u \cdot s = r^{\textcircled{k}}$

**using**  $\langle u \leq_f r^{\textcircled{k}} \rangle$  **by** *blast*

**from** *suf-marker-per-root*[*of*  $r^{\textcircled{k}}$   $r$   $u' \cdot s$ , *folded pow-comm, OF triv-pref*]

**have**  $u' \cdot s \leq_p r \cdot (u' \cdot s)$

**using**  $\langle p \cdot u \cdot s = r^{\textcircled{k}} \rangle$ [*folded*  $\langle r \cdot u' = u \rangle$ , *unfolded rassoc*] **by** *fastforce*

**hence**  $u' \cdot s \leq_p r^{\textcircled{k}} \cdot (u' \cdot s)$

**using** *per-exp-pref* **by** *blast*

**hence**  $u \leq_p (r^{\textcircled{k}} \cdot r) \cdot (u' \cdot s)$

**unfolding**  $\langle r \cdot u' = u \rangle$ [*symmetric*] *pow-Suc*[*symmetric*] *pow-Suc rassoc*

by (auto simp add: prefix-def)  
 thus  $u \leq_p r^{\textcircled{k}}$   
 unfolding rassoc using  $\langle |u| \leq |r^{\textcircled{k}}| \rangle$  by blast  
 qed simp

**lemma marker-fac-pref-len:** assumes  $u \leq_f r^{\textcircled{k}}$  and  $t \leq_p u$  and  $|t| = |r|$   
 shows  $u \leq_p t^{\textcircled{k}}$

**proof-**  
 have  $|u| \leq |r^{\textcircled{k}}|$   
 using  $\langle u \leq_f r^{\textcircled{k}} \rangle$  by force  
 hence  $|u| \leq |t^{\textcircled{k}}|$   
 unfolding pow-len  $\langle |t| = |r| \rangle$ .  
 have  $t \leq_f r^{\textcircled{k}}$   
 using assms by blast  
 hence  $t \sim r$   
 using  $\langle |t| = |r| \rangle$  by (simp add: conjug-sym fac-pow-len-conjug)  
 from fac-pow-conjug[OF  $\langle u \leq_f r^{\textcircled{k}} \rangle$  this]  
 have  $u \leq_p t^{\textcircled{k}} \text{Suc } k$   
 using marker-fac-pref[OF  $\langle t \leq_p u \rangle$ ] by blast  
 thus  $u \leq_p t^{\textcircled{k}}$   
 using  $\langle |u| \leq |t^{\textcircled{k}}| \rangle$  unfolding pow-Suc' by blast  
 qed

**lemma root-suf-comm':**  $x \leq_p r \cdot x \implies r \leq_s x \implies r \cdot x = x \cdot r$   
 using root-suf-comm suffix-appendI[of  $r \ x \ r$ ] by blast

**lemmas suf-root-pref-comm = root-suf-comm'[reversed]**

**lemma marker-pref-suf-fac:** assumes  $u \leq_p v$  and  $u \leq_s v$  and  $v \leq_f u^{\textcircled{k}}$   
 shows  $u \cdot v = v \cdot u$   
 using root-suf-comm'[OF pref-prod-root[OF marker-fac-pref[OF  $\langle v \leq_f u^{\textcircled{k}} \rangle \langle u \leq_p v \rangle]] \langle u \leq_s v \rangle$ .

**lemma pref-suf-per-fac-comm:**

assumes  $v \leq_p u \cdot v$  and  $v \leq_s v \cdot u$  and  $u \leq_f v^{\textcircled{k}}$  shows  $u \cdot v = v \cdot u$   
 using marker-pref-suf-fac[OF  $\langle u \leq_f v^{\textcircled{k}} \rangle$ ] root-suf-comm[OF  $\langle v \leq_p u \cdot v \rangle$  suf-ext] root-suf-comm[reversed, OF  $\langle v \leq_s v \cdot u \rangle$  pref-ext]  
 ruler-pref'[OF  $\langle v \leq_p u \cdot v \rangle$ ] ruler-suf'[OF  $\langle v \leq_s v \cdot u \rangle$ ] by argo

**lemma mid-long-pow:** assumes eq:  $y^{\textcircled{m}} = u \cdot x^{\textcircled{m}}(\text{Suc } k) \cdot v$  and  $|y| \leq |x^{\textcircled{k}}|$   
 shows  $(u \cdot v) \cdot y = y \cdot (u \cdot v)$  and  $(u \cdot x^{\textcircled{l}} \cdot v) \cdot y = y \cdot (u \cdot x^{\textcircled{l}} \cdot v)$  and  
 $(u^{-1} \triangleright (y \cdot u)) \cdot x = x \cdot (u^{-1} \triangleright (y \cdot u))$

**proof-**  
 have eq':  $x \cdot x \cdot v \cdot u = u^{-1} \triangleright (u \cdot x \cdot v) \cdot u$  by simp  
 let  $?y = u^{-1} \triangleright (y \cdot u)$   
 have  $u \leq_p y \cdot u$   
 using eq prefI pref-prod-root[of  $u \ y \ m$ , unfolded eq] by simp  
 hence  $?y \sim y$   
 using root-conjug by blast

**from** *conjug-len*[*OF this*]  
**have**  $|?y| \leq |x^{\textcircled{a}}k|$   
**using**  $\langle |y| \leq |x^{\textcircled{a}}k| \rangle$  **by** *simp*  
**from** *lq-conjug-pow*[*OF*  $\langle u \leq_p y \cdot u \rangle$ , *of m*]  
**have**  $?y^{\textcircled{a}}m = x^{\textcircled{a}}\text{Suc } k \cdot v \cdot u$   
**unfolding** *eq eq'* **by** *simp*  
**hence**  $x^{\textcircled{a}}\text{Suc } k \leq_p ?y \cdot x^{\textcircled{a}}\text{Suc } k$   
**using** *rassoc prefI pref-prod-root*[*of*  $x^{\textcircled{a}}\text{Suc } k ?y m$ ] **by** *blast*  
**have**  $x^{\textcircled{a}}\text{Suc } k \leq_p x \cdot x^{\textcircled{a}}\text{Suc } k$   
**using** *pref-pow-ext'* **by** *blast*  
**have** *com*:  $?y \cdot x = x \cdot ?y$   
**using**  $\langle |?y| \leq |x^{\textcircled{a}}k| \rangle$  *two-pers*[*OF*  $\langle x^{\textcircled{a}}\text{Suc } k \leq_p ?y \cdot x^{\textcircled{a}}\text{Suc } k \rangle \langle x^{\textcircled{a}}\text{Suc } k \leq_p x \cdot x^{\textcircled{a}}\text{Suc } k \rangle$ ]  
**unfolding** *pow-Suc' lenmorph* **by** *linarith*  
**thus**  $?y \cdot x = x \cdot ?y$   
**by** *blast*  
**have**  $?y \cdot x^{\textcircled{a}}\text{Suc } k = x^{\textcircled{a}}\text{Suc } k \cdot ?y$   
**using** *com comm-add-exp* **by** *metis*  
**from** *pow-comm*[*of*  $?y m$ , *unfolded*  $\langle ?y^{\textcircled{a}}m = x^{\textcircled{a}}(\text{Suc } k) \cdot v \cdot u \rangle$ , *unfolded lassoc this, unfolded rassoc*]  
**have**  $x^{\textcircled{a}}\text{Suc } k \cdot v \cdot u \cdot ?y = x^{\textcircled{a}}\text{Suc } k \cdot ?y \cdot v \cdot u$   
**hence**  $u \cdot ?y \cdot v \cdot u = u \cdot v \cdot u \cdot ?y$  **by** *simp*  
**thus**  $(u \cdot v) \cdot y = y \cdot (u \cdot v)$   
**unfolding** *lassoc lq-pref*[*OF*  $\langle u \leq_p y \cdot u \rangle$ ] **by** *fastforce*  
**have**  $u \cdot x^{\textcircled{a}}l \cdot v \cdot u \cdot ?y = u \cdot (?y \cdot x^{\textcircled{a}}l) \cdot v \cdot u$   
**unfolding** *comm-add-exp*[*OF* *com*[*symmetric*], *of l, symmetric*] *rassoc cancel*  
**using**  $\langle u \cdot ?y \cdot v \cdot u = u \cdot v \cdot u \cdot ?y \rangle$ [*unfolded cancel, symmetric*].  
**thus**  $(u \cdot x^{\textcircled{a}}l \cdot v) \cdot y = y \cdot (u \cdot x^{\textcircled{a}}l \cdot v)$   
**unfolding** *lq-pref*[*OF*  $\langle u \leq_p y \cdot u \rangle$ ] *lassoc* **by** *blast*  
**qed**

**lemma** *mid-pow-pref-suf'*: **assumes**  $s \cdot w^{\textcircled{a}}(\text{Suc } l) \cdot p \leq_f w^{\textcircled{a}}k$  **shows**  $p \leq_p w^{\textcircled{a}}k$  **and**  $s \leq_s w^{\textcircled{a}}k$

**proof**–

**obtain**  $v u$  **where** *dec*:  $v \cdot s \cdot w^{\textcircled{a}}(\text{Suc } l) \cdot p \cdot u = w^{\textcircled{a}}k$   
**using** *facE'*[*OF* *assms, unfolded rassoc*].  
**hence**  $(v \cdot s) \cdot w = w \cdot (v \cdot s)$  **and**  $w \cdot (p \cdot u) = (p \cdot u) \cdot w$   
**using** *mid-pow*[*of*  $v \cdot s w l p \cdot u k$ ] **unfolding** *rassoc* **by** *presburger+*  
**have**  $|p| \leq |w^{\textcircled{a}}k|$  **and**  $|s| \leq |w^{\textcircled{a}}k|$   
**using** *fac-len*[*OF* *assms*] **unfolding** *lenmorph* **by** *linarith+*

**from** *per-exp-pref*[*of*  $p \cdot u w k$ , *unfolded*  $\langle w \cdot (p \cdot u) = (p \cdot u) \cdot w \rangle$ , *OF triv-pref*]  
**have**  $p \leq_p w^{\textcircled{a}}k \cdot (p \cdot u)$   
**using** *prefix-order.trans*[*OF* *triv-pref*[*of*  $p u$ ]] **by** *blast*  
**thus**  $p \leq_p w^{\textcircled{a}}k$   
**using**  $\langle |p| \leq |w^{\textcircled{a}}k| \rangle$  *pref-prod-le* **by** *blast*

**from** *per-exp-suf*[*of*  $v \cdot s w k$ , *unfolded*  $\langle (v \cdot s) \cdot w = w \cdot (v \cdot s) \rangle$ , *OF triv-suf*]  
**have**  $s \leq_s (v \cdot s) \cdot w^{\textcircled{a}}k$

**using** *suffix-order.trans*[*OF* *triv-suf*[*of*  $s\ v$ ], *of*  $(v \cdot s) \cdot w^{\textcircled{k}}$ ] **by** *blast*  
**thus**  $s \leq s\ w^{\textcircled{k}}$   
**using**  $\langle |s| \leq |w^{\textcircled{k}}| \rangle$  *suf-prod-le* **by** *blast*  
**qed**

**lemma** *mid-pow-pref-suf*: **assumes**  $s \cdot w \cdot p \leq f\ w^{\textcircled{k}}$  **shows**  $p \leq p\ w^{\textcircled{k}}$  **and**  $s \leq s\ w^{\textcircled{k}}$   
**using** *mid-pow-pref-suf'*[*of*  $s\ w\ 0\ p\ k$ , *unfolded pow-one*, *OF assms*].

**lemma** *fac-marker-pref*:  $y \cdot x \leq f\ y^{\textcircled{k}} \implies x \leq p\ y \cdot x$   
**using** *mid-pow-pref-suf(1)*[*of*  $\varepsilon$ , *unfolded emp-simps*, *THEN pref-prod-root*].

**lemmas** *fac-marker-suf* = *fac-marker-pref*[*reversed*]

**lemma** *prim-overlap-sqE* [*consumes 2*]:  
**assumes** *prim*: *primitive*  $r$  **and** *eq*:  $p \cdot r \cdot q = r \cdot r$   
**obtains** (*pref-emp*)  $p = \varepsilon$  | (*suff-emp*)  $q = \varepsilon$   
**proof** (*cases*  $|p| = 0$ , *blast*)  
**assume**  $|p| \neq 0$  **and** *qemp*:  $q = \varepsilon \implies$  *thesis*  
**hence**  $|q| < |r|$   
**using** *lenarg*[*OF* *eq*] **unfolding** *lenmorph* **by** *linarith*  
**have**  $q = \varepsilon$   
**using** *prim-comm-short-emp*[*OF* *prim* *mid-sq(2)*][*OF* *eq*, *symmetric*]  $\langle |q| < |r| \rangle$ .  
**from** *qemp*[*OF* *this*]  
**show** *thesis*.  
**qed**

**lemma** *prim-overlap-sqE'* [*consumes 2*]:  
**assumes** *prim*: *primitive*  $r$  **and** *eq*:  $p \cdot r \cdot q = r \cdot r$   
**obtains** (*pref-emp*)  $p = \varepsilon$  | (*suff-emp*)  $p = r$   
**using** *append-Nil2* *eq* *mid-sq'(2)* *prim* *prim-overlap-sqE* **by** *metis*

**lemma** *prim-overlap-sq*:  
**assumes** *prim*: *primitive*  $r$  **and** *eq*:  $p \cdot r \cdot q = r \cdot r$   
**shows**  $p = \varepsilon \vee q = \varepsilon$   
**using** *prim-overlap-sqE*[*OF* *prim* *eq* *disjI1* *disjI2*].

**lemma** *prim-overlap-sq'*:  
**assumes** *prim*: *primitive*  $r$  **and** *pref*:  $p \cdot r \leq p\ r \cdot r$  **and** *len*:  $|p| < |r|$   
**shows**  $p = \varepsilon$   
**using** *mid-sq(1)*[*symmetric*, *THEN* *prim-comm-short-emp*[*OF* *prim* - *len* ]] *pref*  
**by** (*auto simp add: prefix-def*)

**lemma** *prim-overlap-pow*:  
**assumes** *prim*: *primitive*  $r$  **and** *pref*:  $u \cdot r \leq p\ r^{\textcircled{k}}$   
**obtains**  $i$  **where**  $u = r^{\textcircled{i}}$  **and**  $i < k$   
**proof**–  
**obtain**  $q$  **where** *eq*:  $u \cdot r^{\textcircled{i}}\ Suc\ 0 \cdot q = r^{\textcircled{k}}$   
**using** *pref* **by** (*auto simp add: prefix-def*)

**from** *mid-pow(1)*[*OF this, symmetric*]  
**have**  $u \cdot r = r \cdot u$ .  
**from** *prim-comm-exp*[*OF ⟨primitive r⟩ this*]  
**obtain**  $i$  **where**  $r^{\textcircled{a}}i = u$ .  
**hence**  $|r^{\textcircled{a}} \text{Suc } i| \leq |r^{\textcircled{a}} k|$   
**using** *pref* **by** (*auto simp add: prefix-def*)  
**from** *mult-cancel-le*[*OF nemp-len*[*OF prim-nemp*[*OF prim*]] *this*[*unfolded pow-len*]]  
**have**  $i < k$  **by** *auto*  
**from** *that*[*OF ⟨r<sup>ⓐ</sup>i = u*][*symmetric*] *this*]  
**show** *thesis*.  
**qed**

**lemma** *prim-overlap-pow'*:  
**assumes** *prim: primitive r* **and** *pref:  $u \cdot r \leq_p r^{\textcircled{a}}k$*  **and** *less:  $|u| < |r|$*   
**shows**  $u = \varepsilon$   
**proof**–  
**obtain**  $i$  **where**  $u = r^{\textcircled{a}}i$   
**using** *prim-overlap-pow*[*OF prim pref*] **by** *force*  
**from** *less*[*unfolded pow-len*[*of r i, folded this*]]  
**have**  $i = 0$  **by** *force*  
**from**  $\langle u = r^{\textcircled{a}}i \rangle$ [*unfolded this pow-zero*]  
**show**  $u = \varepsilon$ .  
**qed**

**lemma** *prim-sqs-overlap*:  
**assumes** *prim: primitive r* **and** *comp:  $u \cdot r \cdot r \bowtie v \cdot r \cdot r$*   
**and** *len-u:  $|u| < |v| + |r|$*  **and** *len-v:  $|v| < |u| + |r|$*   
**shows**  $u = v$   
**proof** (*cases rule: le-cases*)  
**have** *wlog-le:  $u = v$  if  $comp: u \cdot (r \cdot r) \bowtie v \cdot (r \cdot r)$*  **and** *len-v:  $|v| < |u| + |r|$*   
**and**  $|u| \leq |v|$  **for**  $u \ v$   
**proof** –  
**obtain**  $w$  **where**  $v: u \cdot w = v$   
**using** *comp-shorter*[*OF comp-prefs-comp*[*OF comp*]  $\langle |u| \leq |v| \rangle$ ] **by** (*auto simp*  
*add: prefix-def*)  
**have**  $|w| < |r|$  **using** *len-v unfolding v*[*symmetric*] **by** *simp*  
**have** *comp'*:  $r \cdot r \bowtie (w \cdot r) \cdot r$  **using** *comp unfolding v*[*symmetric*] *rassoc*  
*comp-cancel*.  
**moreover** **have**  $|w \cdot r| \leq |r \cdot r|$  **using** *less-imp-le-nat*[*OF ⟨|w| < |r|⟩*] **by** *simp*  
**ultimately** **have** *pref:  $w \cdot r \leq_p r \cdot r$*   
**by** (*rule pref-comp-len-trans*[*OF triv-pref*])  
**from** *this*  $\langle |w| < |r| \rangle$  **have**  $w = \varepsilon$  **by** (*rule prim-overlap-sq'*[*OF prim*])  
**show**  $u = v$  **using**  $v$  *unfolding*  $\langle w = \varepsilon \rangle$  *append-Nil2*.  
**qed**  
**show**  $|u| \leq |v| \implies u = v$  **using** *wlog-le*[*OF comp len-v*].  
**show**  $|v| \leq |u| \implies u = v$  **using** *wlog-le*[*OF comp*][*symmetric*] *len-u, symmetric*.  
**qed**

**lemma** *drop-pref-prim*: **assumes**  $\text{Suc } n < |w|$  **and**  $w \leq_p \text{drop } (\text{Suc } n) (w \cdot w)$

**and** *primitive w*  
**shows** *False*  
**using** *assms*  
**proof** (*cases w = ε*)  
**assume**  $w \neq \varepsilon$   
**obtain** *s* **where**  $\text{drop } (\text{Suc } n) (w \cdot w) = w \cdot s$   
**using**  $\text{prefD}[OF \langle w \leq_p \text{drop } (\text{Suc } n) (w \cdot w) \rangle]$  **by** *blast*  
**note**  $\text{takedown}[of \text{Suc } n \ w \cdot w, \text{unfolded } \text{this}]$   
**from**  $\langle \text{Suc } n < |w| \rangle \langle w \neq \varepsilon \rangle \text{prim-overlap-sqE}'[OF \langle \text{primitive } w \rangle \text{this}]$   
**show** *False* **by** *auto*  
**qed** *simp*

**lemma** *root-suf-conjug*: **assumes** *primitive (s · r)* **and**  $y \leq_p (s \cdot r) \cdot y$  **and**  $y \leq_s y \cdot (r \cdot s)$  **and**  $|s \cdot r| \leq |y|$

**obtains** *l* **where**  $y = (s \cdot r)^{\textcircled{}} l \cdot s$

**proof**–

**have**  $y \neq \varepsilon$   
**using**  $\text{assms}(1) \ \text{assms}(4)$  **by** *force*  
**have**  $r \cdot s \leq_s y$   
**using**  $\text{suf-prod-long}[OF \langle y \leq_s y \cdot (r \cdot s) \rangle \langle |s \cdot r| \leq |y| \rangle [\text{unfolded } \text{swap-len}]]$ .  
**have** *primitive (r · s)*  
**using**  $\text{prim-conjug}[OF \langle \text{primitive } (s \cdot r) \rangle \text{conjugI}']$ .  
**have**  $r \cdot y \leq_p (r \cdot s) \cdot (r \cdot y)$   
**using**  $\langle y \leq_p (s \cdot r) \cdot y \rangle$  **by** *auto*  
**from**  $\text{prim-comm-exp}[OF \langle \text{primitive } (r \cdot s) \rangle \text{root-suf-comm}'][OF \text{this } \text{suf-ext}[OF \langle r \cdot s \leq_s y \rangle, \text{symmetric}]]$   
**obtain** *k* **where**  $[\text{symmetric}]: (r \cdot s)^{\textcircled{}} k = r \cdot y$  **and**  $0 < k$   
**using**  $\langle y \neq \varepsilon \rangle$  **using**  $\text{nemp-exp-pos } \text{sufI } \text{suf-emp}$  **by** *metis*  
**hence**  $y = (s \cdot r)^{\textcircled{}} (k-1) \cdot s$   
**unfolding**  $\text{pow-pos}[of - \ r \cdot s, OF \langle 0 < k \rangle]$  *rassoc cancel shift-pow* **by** *blast*  
**from**  $\text{that}[OF \text{this}]$   
**show** *thesis*.  
**qed**

**lemma** *pref-suf-pows-comm*: **assumes**  $x \leq_p y^{\textcircled{}} (\text{Suc } k) \cdot x^{\textcircled{}} l$  **and**  $y \leq_s y^{\textcircled{}} m \cdot x^{\textcircled{}} (\text{Suc } n)$

**shows**  $x \cdot y = y \cdot x$

**using**  $\text{root-suf-comm}[OF \text{per-root-drop-exp}'[OF \text{assms}(1)] \ \text{per-root-drop-exp}'[\text{reversed}, OF \text{assms}(2)], \text{symmetric}]$ .

**lemma** *root-suf-pow-comm*: **assumes**  $x \leq_p r \cdot x$  **and**  $r \leq_s x^{\textcircled{}} (\text{Suc } k)$  **shows**  $r \cdot x = x \cdot r$

**using**  $\text{root-suf-comm}[OF \langle x \leq_p r \cdot x \rangle \text{suf-prod-root}[OF \langle r \leq_s x^{\textcircled{}} (\text{Suc } k) \rangle]]$ .

**lemma** *suf-pow-short-suf*:  $r \leq_s x^{\textcircled{}} k \implies |x| \leq |r| \implies x \leq_s r$

**using**  $\text{suf-prod-root}[THEN \ \text{suf-prod-long}]$ .

**thm** *suf-pow-short-suf*[*reversed*]



**lemma** *sq-short-per*: **assumes**  $|u| \leq |v|$  **and**  $v \cdot v \leq_p u \cdot (v \cdot v)$   
**shows**  $u \cdot v = v \cdot u$   
**using**  
*pref-marker*[of  $v \cdot v$ , OF  $\langle v \cdot v \leq_p u \cdot (v \cdot v) \rangle$   
*pref-prod-long*[OF *append-prefixD*[OF  $\langle v \cdot v \leq_p u \cdot (v \cdot v) \rangle$ ]  $\langle |u| \leq |v| \rangle$ ,  
*THEN pref-cancel'*], *symmetric*].

**lemma** *fac-marker*: **assumes**  $w \leq_p u \cdot w$  **and**  $u \cdot v \cdot u \leq_f w$   
**shows**  $u \cdot v = v \cdot u$

**proof**–  
**obtain**  $p$   $s$  **where**  $w = p \cdot u \cdot v \cdot u \cdot s$   
**using**  $\langle u \cdot v \cdot u \leq_f w \rangle$  [*unfolded fac-def*]  
**by** *auto*

**hence**  $p \cdot u \cdot v \cdot u = u \cdot p \cdot u \cdot v$   
**using** *pref-marker*[OF  $\langle w \leq_p u \cdot w \rangle$ , *unfolded*  $\langle w = p \cdot u \cdot v \cdot u \cdot s \rangle$ , of  $p \cdot u \cdot v$ ]  
**by** *force*

**thus**  $u \cdot v = v \cdot u$   
**using** *eqd-eq*[of  $p \cdot u \cdot v \cdot u \cdot u \cdot p \cdot u \cdot v$ , *unfolded rassoc*, OF - *swap-len*]  
**by** *presburger*

**qed**

**lemma**  $4 = \text{Suc}(\text{Suc}(\text{Suc}(\text{Suc } 0)))$   
**using** [[*simp-trace*]] **by** *simp*

**lemma** *xyxy-conj-yxy*: **assumes**  $x \cdot y \cdot x \cdot y \sim y \cdot x \cdot x \cdot y$   
**shows**  $x \cdot y = y \cdot x$

**proof**–  
**have** *four*:  $x^{\textcircled{4}} = x \cdot x \cdot x \cdot x$  **for**  $x :: 'a$  *list*  
**unfolding** *numeral-Bit0* **by** *simp*  
**from** *conjug-fac-sq*[OF *assms*[*symmetric*]]  
**have**  $y \cdot x \cdot x \cdot y \leq_f (x \cdot y)^{\textcircled{4}}$   
**unfolding** *four rassoc*.  
**from** *marker-fac-pref*[*reversed*,  
OF *this triv-suf*[of  $x \cdot y \cdot y \cdot x$ , *unfolded rassoc*]]  
**have**  $y \cdot x \cdot x \cdot y \leq_s (x \cdot y)^{\textcircled{4}}$ .  
**hence**  $y \cdot x \cdot x \cdot y \leq_s (x \cdot y \cdot x \cdot y) \cdot x \cdot y \cdot x \cdot y$   
**unfolding** *four rassoc*.  
**from** *suf-prod-eq*[OF *this*]  
**show**  $x \cdot y = y \cdot x$   
**by** *simp*

**qed**

**lemma** *per-glue*: **assumes** *period*  $u$   $n$  **and** *period*  $v$   $n$  **and**  $u \leq_p w$  **and**  $v \leq_s w$   
**and**

$$|w| + n \leq |u| + |v|$$

**shows** *period w n*  
**proof** (*rule indeces-period*)  
**show**  $w \neq \varepsilon$   
**using**  $\langle \text{period } u \ n \rangle \langle u \leq p \ w \rangle$  **by** *force*  
**show**  $0 < n$   
**using**  $\langle \text{period } u \ n \rangle$  *per-not-zero* **by** *metis*  
**fix**  $i$  **assume**  $i + n < |w|$   
**show**  $w ! i = w ! (i + n)$   
**proof** (*cases*)  
**assume**  $i + n < |u|$   
**hence**  $w ! i = u ! i$  **and**  $w ! (i+n) = u ! (i+n)$   
**using** *add-lessD1*  $\langle u \leq p \ w \rangle$  *pref-index* **by** *metis+*  
**thus**  $w ! i = w ! (i + n)$   
**unfolding**  $\langle w ! i = u ! i \rangle \langle w ! (i+n) = u ! (i+n) \rangle$   
**using** *period-indeces*[*OF*  $\langle \text{period } u \ n \rangle \langle i + n < |u| \rangle$ ] **by** *blast*  
**next**  
**assume**  $\neg i + n < |u|$   
**obtain**  $p$  **where**  $w = p \cdot v$   
**using**  $\langle v \leq s \ w \rangle$  **by** (*auto simp add: suffix-def*)  
**have**  $\neg i < |p|$   
**using**  $\langle \neg i + n < |u| \rangle \langle |w| + n \leq |u| + |v| \rangle$  **unfolding** *lenarg*[*OF*  $\langle w = p \cdot v \rangle$ , *unfolded lenmorph*]  
**by** *auto*  
**hence**  $w ! i = v ! (i - |p|)$  **and**  $w ! (i+n) = v ! ((i - |p|) + n)$   
**unfolding**  $\langle w = p \cdot v \rangle$  *nth-append* **by** *simp-all*  
**have**  $i - |p| + n < |v|$   
**using**  $\langle \neg i < |p| \rangle \langle i + n < |w| \rangle \langle w = p \cdot v \rangle$  **by** *auto*  
**from** *period-indeces*[*OF*  $\langle \text{period } v \ n \rangle$  *this*]  
**show**  $w ! i = w ! (i + n)$   
**unfolding**  $\langle w ! i = v ! (i - |p|) \rangle \langle w ! (i+n) = v ! ((i - |p|) + n) \rangle$ .  
**qed**  
**qed**

**lemma** *per-glue-facs*: **assumes**  $u \cdot z \leq f w^{\textcircled{k}}$  **and**  $z \cdot v \leq f w^{\textcircled{m}}$  **and**  $|w| \leq |z|$   
**obtains**  $l$  **where**  $u \cdot z \cdot v \leq f w^{\textcircled{l}}$   
**using** *assms*  
**proof** (*cases k = 0*)  
**assume**  $k \neq 0$   
**have**  $z \leq f w^{\textcircled{k}}$   
**using**  $\langle u \cdot z \leq f w^{\textcircled{k}} \rangle$  **by** *blast*  
**have**  $z \leq f w^{\textcircled{m}}$   
**using**  $\langle z \cdot v \leq f w^{\textcircled{m}} \rangle$  **by** *blast*  
**define**  $t$  **where**  $t = \text{take } |w| \ z$   
**have**  $|t| = |w|$  **and**  $t \leq p \ z$   
**unfolding** *t-def* **using**  $\langle |w| \leq |z| \rangle$  *take-is-prefix* **by** (*force,blast*)  
**hence**  $w \sim t$   
**using**  $\langle z \leq f w^{\textcircled{m}} \rangle$  **by** *blast*  
**from** *marker-fac-pref-len*[*OF*  $\langle z \cdot v \leq f (w)^{\textcircled{m}} \rangle - \langle |t| = |w| \rangle$  ]  
**have**  $z \cdot v \leq p \ t^{\textcircled{m}}$

**using**  $\langle t \leq p \ z \rangle$  **by force**  
**have**  $u \cdot z \leq f t^{\textcircled{a}} \text{Suc } k$   
**using**  $\text{fac-pow-conjug}[OF \langle u \cdot z \leq f w^{\textcircled{a}} k \rangle \langle w \sim t \rangle[\text{symmetric}]]$ .  
**with**  $\langle t \leq p \ z \rangle$   
**have**  $u \leq s t^{\textcircled{a}} \text{Suc } k$   
**using**  $\text{mid-pow-pref-suf}(2)[\text{of } u \ t \ t^{-1} > z \ \text{Suc } k] \ \text{lq-pref}$  **by metis**  
**have**  $(t^{\textcircled{a}} \text{Suc } k <^{-1} u) \cdot (u \cdot z \cdot v) \cdot (z \cdot v)^{-1} > (t^{\textcircled{a}} m) = t^{\textcircled{a}} \text{Suc } k \cdot t^{\textcircled{a}} m$   
**unfolding**  $\text{lassoc } \text{rq-suf}[OF \langle u \leq s t^{\textcircled{a}} \text{Suc } k \rangle]$  **unfolding**  $\text{rassoc cancel}$  **using**  
 $\text{lq-pref}[OF \langle z \cdot v \leq p \ t^{\textcircled{a}} m \rangle]$  **unfolding**  $\text{rassoc}$ .  
**from**  $\text{facI}[\text{of } u \cdot z \cdot v \ t^{\textcircled{a}} \text{Suc } k <^{-1} u \ (z \cdot v)^{-1} > (t^{\textcircled{a}} m), \text{ unfolded this, folded add-exps}]$   
**obtain**  $l$  **where**  $u \cdot z \cdot v \leq f t^{\textcircled{a}} l$   
**by metis**  
**from**  $\text{that}[OF \ \text{fac-pow-conjug}[OF \ \text{this } \langle w \sim t \rangle]]$   
**show**  $\text{thesis}$ .  
**qed simp**

**lemma**  $\text{per-fac-pow-fac}$ : **assumes**  $\text{period } w \ n$  **and**  $v \leq f w$  **and**  $|v| = n$   
**obtains**  $k$  **where**  $w \leq f v^{\textcircled{a}} k$

**proof**–  
**obtain**  $m$  **where**  $w \leq f (\text{take } n \ w)^{\textcircled{a}} m$   
**using**  $\text{per-root-powE}[OF \langle \text{period } w \ n \rangle[\text{unfolded } \text{period-def}]] \ \text{pref-fac } \text{sprefD1}$   
**by metis**  
**obtain**  $r \ s$  **where**  $r \cdot s = v$  **and**  $s \cdot r = \text{take } n \ w$   
**using**  $\text{fac-per-conjug}[OF \ \text{assms}, \ \text{THEN } \text{conjugE}]$ .  
**hence**  $r \cdot (\text{take } n \ w)^{\textcircled{a}} m \cdot s = v^{\textcircled{a}} \text{Suc } m$   
**by**  $(\text{metis } \text{pow-slide})$   
**from**  $\text{that}[OF \ \text{fac-trans}, \ OF \langle w \leq f (\text{take } n \ w)^{\textcircled{a}} m \rangle \ \text{sublist-appendI}[\text{of } (\text{take } n \ w)^{\textcircled{a}} m \ r \ s, \ \text{unfolded this}]]$   
**show**  $\text{thesis}$   
**by blast**  
**qed**

**lemma**  $\text{refine-per}$ : **assumes**  $\text{period } w \ n$  **and**  $v \leq f w$  **and**  $n \leq |v|$  **and**  $\text{period } v \ k$   
**and**  $k \ \text{dvd } n$

**shows**  $\text{period } w \ k$   
**proof**–  
**have**  $n \neq 0$   
**using**  $\langle \text{period } w \ n \rangle$  **by auto**  
**have**  $w \neq \varepsilon$   
**using**  $\langle \text{period } w \ n \rangle$  **by auto**  
**have**  $v \neq \varepsilon$   
**using**  $\langle \text{period } v \ k \rangle$  **by auto**  
**have**  $|\text{take } n \ w| = n$   
**using**  $\text{take-len}[OF \ \text{le-trans}[OF \langle n \leq |v| \rangle \ \text{fac-len}[OF \langle v \leq f w \rangle]]]$ .  
**have**  $|\text{take } n \ v| = n$   
**using**  $\text{take-len}[OF \langle n \leq |v| \rangle]$ .  
**have**  $\text{period } v \ n$   
**using**  $\text{period-fac}'[OF \langle \text{period } w \ n \rangle \langle v \leq f w \rangle \langle v \neq \varepsilon \rangle]$  **by blast**  
**have**  $\text{take } n \ v \leq f w$

**using**  $\langle v \leq_f w \rangle \langle n \leq |v| \rangle$  *sublist-order.dual-order.trans sublist-take* **by** *metis*  
**have** *period (take n v) k*  
**using**  $\langle \text{period } w \ n \rangle \langle \text{period } v \ k \rangle$  *per-not-zero per-pref' take-is-prefix take-nemp*  
**by** *metis*  
**have**  $k \leq n$   
**using**  $\langle k \ \text{dvd} \ n \rangle \langle n \neq 0 \rangle$  **by** *auto*  
**hence**  $\text{take } k \ (\text{take } n \ v) = \text{take } k \ v$   
**using** *take-le-take* **by** *blast*  
**hence**  $(\text{take } k \ v)^{\textcircled{n \ \text{div} \ k}} = \text{take } n \ v$   
**using** *per-div[OF -  $\langle \text{period } (take \ n \ v) \ k \rangle$ , unfolded  $\langle |take \ n \ v| = n \rangle$ , OF  $\langle k \ \text{dvd} \ n \rangle$ ]* **by** *presburger*  
**have**  $|take \ k \ v| = k$   
**using** *order.trans[OF  $\langle k \leq n \rangle \langle n \leq |v| \rangle$ , THEN take-len]*.  
**obtain**  $e$  **where**  $w \leq_f (\text{take } n \ v)^{\textcircled{e}}$   
**using** *per-fac-pow-fac[OF  $\langle \text{period } w \ n \rangle \langle \text{take } n \ v \leq_f w \rangle \langle |take \ n \ v| = n \rangle$ ]*.  
**from** *per-fac[OF  $\langle w \neq \varepsilon \rangle$  this[folded  $\langle (\text{take } k \ v)^{\textcircled{n \ \text{div} \ k}} = \text{take } n \ v \rangle$ , folded pow-mult]]*  
**show** *?thesis*  
**unfolding**  $\langle |take \ k \ v| = k \rangle$  **by** *blast*  
**qed**

**lemma** *xy-per-comp: assumes  $x \cdot y \leq_p q \cdot x \cdot y$*   
**and**  $q \neq \varepsilon$  **and**  $q \bowtie y$   
**shows**  $x \bowtie y$   
**proof**(*cases rule: pref-compE[OF  $\langle q \bowtie y \rangle$ ]*)  
**assume**  $q \leq_p y$   
**have**  $x \cdot q = q \cdot x$   
**using**  
*pref-cancel'[OF  $\langle q \leq_p y \rangle$ , of  $x$ , THEN pref-trans, OF  $\langle x \cdot y \leq_p q \cdot x \cdot y \rangle$ ]*  
**unfolding** *lassoc*  
**using** *ruler-eq-len[OF - triv-pref swap-len]*  
**by** *blast*  
**thus** *?thesis*  
**using** *assms(1) assms(2) pref-comp-sym root-comm-root ruler-pref'' same-prefix-prefix* **by** *metis*  
**next**  
**assume**  $y \leq_p q$   
**then show** *?thesis*  
**by** (*meson append-prefixD prefix-append ruler' assms*)  
**qed**

**lemma** *prim-xyxy:  $x \cdot y \neq y \cdot x \implies \text{primitive } (x \cdot y \cdot x \cdot y \cdot y)$*   
**proof** (*rule prim-conjug*)  
**show**  $y \cdot x \cdot y \cdot x \cdot y \sim x \cdot y \cdot x \cdot y \cdot y$   
**by** (*intro conjugI1 simp*)  
**show**  $x \cdot y \neq y \cdot x \implies \text{primitive } (y \cdot x \cdot y \cdot x \cdot y)$   
**by** (*intro iffD2[OF per-le-prim-iff[of -  $y \cdot x$ ]]*) *auto*  
**qed**

**lemma** *prim-min-per-suf-eq*: **assumes** *primitive x* **and**  $\pi x \leq_s x$  **shows**  $\pi x = x$   
**using** *assms(1) min-per-root-per-root*[*OF prim-nemp*[*OF*  $\langle$ *primitive x* $\rangle$ ], *unfolded*  
] *root-suf-comm*'[*OF* -  $\langle$  $\pi x \leq_s x$  $\rangle$ ]  
**unfolding** *primitive-iff-per* **by** *blast*

**lemma** *primroot-code*[*code*]:  $\rho x = (\text{if } x \neq \varepsilon \text{ then } (\text{if } \pi x \leq_s x \text{ then } \pi x \text{ else } x) \text{ else } \text{Code.abort } (\text{STR } \text{"Empty word has no primitive root."}) (\lambda\cdot. (\rho x)))$

**proof**(*cases*  $x = \varepsilon$ )

**assume**  $x \neq \varepsilon$

**thus** *?thesis*

**unfolding** *if-P*[*OF*  $\langle$  $x \neq \varepsilon$  $\rangle$ ]

**proof**(*cases*)

**assume**  $e_\rho x = 1$

**have** *primitive x*

**using** *primroot-exp-eq*[*of x, unfolded*  $\langle$  $e_\rho x = 1$  $\rangle$  *exp-simps*]

**unfolding** *prim-primroot-conv*[*OF*  $\langle$  $x \neq \varepsilon$  $\rangle$ ].

**from** *prim-min-per-suf-eq*[*OF this*] *prim-self-root*[*OF this*]

**show**  $\rho x = (\text{if } \pi x \leq_s x \text{ then } \pi x \text{ else } x)$

**by** *argo*

**next**

**assume**  $e_\rho x \neq 1$

**show**  $\rho x = (\text{if } \pi x \leq_s x \text{ then } \pi x \text{ else } x)$

**using** *primroot-suf*

**unfolding** *min-per-short-primroot*[*OF*  $\langle$  $x \neq \varepsilon$  $\rangle$  *primroot-exp-eq*  $\langle$  $e_\rho x \neq 1$  $\rangle$ ]

**by** *auto*

**qed**

**qed** (*simp add: primitive-root-def*)

**lemma** *per-lemma-pref-suf*: **assumes**  $w <_p p \cdot w$  **and**  $w <_s w \cdot q$  **and**

*fw: |p| + |q| ≤ |w|*

**obtains**  $r s k l m$  **where**  $p = (r \cdot s)^{\textcircled{k}}$  **and**  $q = (s \cdot r)^{\textcircled{l}}$  **and**  $w = (r \cdot s)^{\textcircled{m}} \cdot r$   
**and** *primitive (r.s)*

**proof**–

**let**  $?q = (w \cdot q)^{<-1} w$

**have**  $w <_p ?q \cdot w$

**using** *ssufD1*[*OF*  $\langle$  $w <_s w \cdot q$  $\rangle$ ] *rq-suf*[*symmetric, THEN per-rootI*[*OF prefI*  
*rq-ssuf*[*OF*  $\langle$  $w <_s w \cdot q$  $\rangle$ ]]]

**by** *argo*

**have**  $q \sim ?q$

**by** (*meson assms(2) conjugI1 conjug-sym rq-suf suffix-order.less-imp-le*)

**have** *nemps'*:  $p \neq \varepsilon$   $?q \neq \varepsilon$

**using** *assms(1)*  $\langle$  $w <_p ?q \cdot w$  $\rangle$  **by** *fastforce+*

**from** *two-pers*[*OF sprefD1*[*OF*  $\langle$  $w <_p p \cdot w$  $\rangle$ ] *sprefD1*[*OF*  $\langle$  $w <_p ?q \cdot w$  $\rangle$ ]] *fw*

**have**  $p \cdot ?q = ?q \cdot p$

**unfolding** *conjug-len*[*OF*  $\langle$  $q \sim (w \cdot q)^{<-1} w$  $\rangle$ ]

**by** *blast*

**then have**  $\rho p = \rho ?q$  **using** *comm-primroots*[*OF nemps'*] **by** *force*

**hence** [*symmetric*]:  $\rho q \sim \rho p$

```

    using conjug-primroot[OF  $\langle q \sim (w \cdot q)^{\leq -1} w \rangle$ ]
    by argo
  from conjug-primrootsE[OF this]
  obtain  $r s k l$  where
     $p = (r \cdot s)^{\textcircled{a} k}$  and
     $q = (s \cdot r)^{\textcircled{a} l}$  and
    primitive  $(r \cdot s)$ .
  have  $w \leq_p (r \cdot s) \cdot w$ 
    using assms per-root-drop-exp sprefD1  $\langle p = (r \cdot s)^{\textcircled{a} k} \rangle$ 
    by meson
  have  $w \leq_s w \cdot (s \cdot r)$ 
    using assms(2) per-root-drop-exp[reversed] ssufD1  $\langle q = (s \cdot r)^{\textcircled{a} l} \rangle$ 
    by meson
  have  $|r \cdot s| \leq |w|$ 
    using conjug-nemp-iff[OF  $\langle q \sim ?q \rangle$ ] dual-order.trans length-0-conv nemps'
    primroot-len-le[OF nemps'(1)] fw
    unfolding primroot-unique[OF nemps'(1)  $\langle \text{primitive } (r \cdot s) \rangle \langle p = (r \cdot s)^{\textcircled{a} k} \rangle$ ]
    by linarith
  from root-suf-conjug[OF  $\langle \text{primitive } (r \cdot s) \rangle \langle w \leq_p (r \cdot s) \cdot w \rangle \langle w \leq_s w \cdot (s \cdot r) \rangle$ ] this
  obtain  $m$  where  $w = (r \cdot s)^{\textcircled{a} m} \cdot r$ .
  from that[OF  $\langle p = (r \cdot s)^{\textcircled{a} k} \rangle \langle q = (s \cdot r)^{\textcircled{a} l} \rangle$ ] this  $\langle \text{primitive } (r \cdot s) \rangle$ 
  show ?thesis.
qed

```

**lemma fac-two-conjug-primroot:**

```

  assumes facts:  $w \leq_f p^{\textcircled{a} k} w \leq_f q^{\textcircled{a} l}$  and nemps:  $p \neq \varepsilon \ q \neq \varepsilon$  and len:  $|p| + |q| \leq |w|$ 
  obtains  $r s m$  where  $\varrho p \sim r \cdot s$  and  $\varrho q \sim r \cdot s$  and  $w = (r \cdot s)^{\textcircled{a} m} \cdot r$  and
  primitive  $(r \cdot s)$ 
  proof -
    obtain  $p'$  where  $w <_p p' \cdot w \ p \sim p' \ p' \neq \varepsilon$ 
      using conjug-nemp-iff fac-pow-pref-conjug[OF facts(1)] nemps(1) per-rootI' by
    metis
    obtain  $q'$  where  $w <_s w \cdot q' \ q \sim q' \ q' \neq \varepsilon$ 
      using fac-pow-pref-conjug[reversed, OF  $\langle w \leq_f q^{\textcircled{a} l} \rangle$ ] conjug-nemp-iff nemps(2)
    per-rootI'[reversed] by metis
    from per-lemma-pref-suf[OF  $\langle w <_p p' \cdot w \rangle \langle w <_s w \cdot q' \rangle$ ]
    obtain  $r s k l m$  where
       $p' = (r \cdot s)^{\textcircled{a} k}$  and
       $q' = (s \cdot r)^{\textcircled{a} l}$  and
       $w = (r \cdot s)^{\textcircled{a} m} \cdot r$  and
      primitive  $(r \cdot s)$ 
      using len[unfolded conjug-len[OF  $\langle p \sim p' \rangle$ ] conjug-len[OF  $\langle q \sim q' \rangle$ ]]
      by blast
    moreover have  $\varrho p' = r \cdot s$ 
      using  $\langle p' = (r \cdot s)^{\textcircled{a} k} \rangle \langle \text{primitive } (r \cdot s) \rangle \langle p' \neq \varepsilon \rangle$  primroot-unique by blast
    hence  $\varrho p \sim r \cdot s$ 
      using conjug-primroot[OF  $\langle p \sim p' \rangle$ ]
      by simp
  qed

```

**moreover have**  $\varrho q' = s \cdot r$   
**using**  $\langle q' = (s \cdot r)^{\textcircled{a} l} \rangle$   $\langle \text{primitive } (r \cdot s) \rangle$   $\langle \text{unfolded conjug-prim-iff}'[of r] \rangle$   $\langle q' \neq \varepsilon \rangle$  *primroot-unique* **by blast**  
**hence**  $\varrho q \sim s \cdot r$   
**using** *conjug-primroot*  $[OF \langle q \sim q' \rangle]$  **by simp**  
**hence**  $\varrho q \sim r \cdot s$   
**using** *conjug-trans*  $[OF - \text{conjug}I']$   
**by meson**  
**ultimately show** *?thesis*  
**using that by blast**  
**qed**

**corollary** *fac-two-conjug-primroot'*:  
**assumes** *facts*:  $u \leq f r^{\textcircled{a} k} u \leq f s^{\textcircled{a} l}$  **and** *nemps*:  $r \neq \varepsilon s \neq \varepsilon$  **and** *len*:  $|r| + |s| \leq |u|$   
**shows**  $\varrho r \sim \varrho s$   
**using** *fac-two-conjug-primroot*  $[OF \text{ assms}]$  *conjug-trans*  $[OF - \text{conjug-sym}[of \varrho s]]$   
**by metis**

**lemma** *fac-two-conjug-primroot''*:  
**assumes** *facts*:  $u \leq f r^{\textcircled{a} k} u \leq f s^{\textcircled{a} l}$  **and**  $u \neq \varepsilon$  **and** *len*:  $|r| + |s| \leq |u|$   
**shows**  $\varrho r \sim \varrho s$   
**proof** –  
**have** *nemps*:  $r \neq \varepsilon s \neq \varepsilon$  **using** *facts*  $\langle u \neq \varepsilon \rangle$  **by auto**  
**show** *conjugate*  $(\varrho r)$   $(\varrho s)$  **using** *fac-two-conjug-primroot'*  $[OF \text{ facts nemps len}]$ .  
**qed**

**lemma** *fac-two-prim-conjug*:  
**assumes**  $w \leq f u^{\textcircled{a} n} w \leq f v^{\textcircled{a} m}$  *primitive*  $u$  *primitive*  $v$   $|u| + |v| \leq |w|$   
**shows**  $u \sim v$   
**using** *fac-two-conjug-primroot'*  $[OF \text{ assms}(1-2) - - \text{assms}(5)]$  *prim-nemp*  $[OF \langle \text{primitive } u \rangle]$  *prim-nemp*  $[OF \langle \text{primitive } v \rangle]$   
**unfolding** *prim-self-root*  $[OF \langle \text{primitive } u \rangle]$  *prim-self-root*  $[OF \langle \text{primitive } v \rangle]$ .

**lemma** *fac-pow-conjug-primroot*: **assumes**  $u^{\textcircled{a} k} \leq f v^{\textcircled{a} l}$  **and**  $|u^{\textcircled{a} k}| \geq 2 * |v|$  **and**  $2 \leq k$  **and**  $u \neq \varepsilon$   
**shows**  $\varrho u \sim \varrho v$   
**proof**  $(\text{rule } \text{fac-two-conjug-primroot}''[OF - \text{assms}(1)], \text{force})$   
**have**  $0 < k$   
**using**  $\langle 2 \leq k \rangle$  **by linarith**  
**show**  $|u| + |v| \leq |u^{\textcircled{a} k}|$   
**proof**  $(\text{cases } |u| |v| \text{ rule: le-cases})$   
**assume**  $|u| \leq |v|$   
**thus** *?thesis*  
**using** *assms*  $(2)$  **by linarith**  
**next**  
**assume**  $|v| \leq |u|$   
**hence**  $|u| + |v| \leq 2 * |u|$   
**by simp**

```

thus ?thesis
  unfolding pow-len
  using mult-le-mono1[OF <2 ≤ k>] le-trans
  by blast
qed
show  $u^{\textcircled{k}} \neq \varepsilon$ 
  using <u ≠ ε> <0 < k> by blast
qed

```

## 2.26 Testing primitivity

This section defines a proof method used to prove that a word is primitive.

**lemma** *primitive-iff* [code]:  $\text{primitive } w \longleftrightarrow \neg w \leq_f \text{tl } w \cdot \text{butlast } w$

**proof**–

```

have  $\neg \text{primitive } w \longleftrightarrow w \leq_f \text{tl } w \cdot \text{butlast } w$ 
proof
  assume  $\neg \text{primitive } w$ 
  then obtain  $r \ k$  where  $k \neq 1$  and  $w = r^{\textcircled{k}}$ 
    unfolding primitive-def by blast
  show  $w \leq_f \text{tl } w \cdot \text{butlast } w$ 
  proof (cases  $w = \varepsilon$ )
    assume  $w \neq \varepsilon$ 
    from this[unfolded <w = rⓈk>]
    have  $0 < k$ 
      using nemp-pow by blast
    have  $r \neq \varepsilon$ 
      using pow-zero <rⓈk ≠ ε> by force
    have  $r^{\textcircled{k-1}} \neq \varepsilon$ 
      unfolding nemp-emp-pow[OF <r ≠ ε>, of k-1]
      using <0 < k> <k ≠ 1> by force
    have  $r \cdot w \cdot r^{\textcircled{k-1}} = w \cdot w$ 
      unfolding <w = rⓈk> pows-comm[of r k k - 1]
      unfolding lassoc cancel-right pow-pos[OF <0 < k>]..
    hence  $[\text{hd } r] \cdot \text{tl } r \cdot w \cdot \text{butlast } (r^{\textcircled{k-1}}) \cdot [\text{last } (r^{\textcircled{k-1}})] = [\text{hd } w] \cdot \text{tl } w \cdot$ 
       $\text{butlast } w \cdot [\text{last } w]$ 
      unfolding hd-tl[reversed, OF <rⓈ(k-1) ≠ ε>] hd-tl[reversed, OF <w ≠ ε>]
      unfolding lassoc hd-tl[OF <r ≠ ε>] hd-tl[OF <w ≠ ε>].
    hence  $\text{tl } r \cdot w \cdot \text{butlast } (r^{\textcircled{k-1}}) = \text{tl } w \cdot \text{butlast } w$ 
      by force
    thus ?thesis
      unfolding fac-def by metis
  qed simp
next
  assume  $w \leq_f \text{tl } w \cdot \text{butlast } w$ 
  show  $\neg \text{primitive } w$ 
  proof (cases  $w = \varepsilon$ )
    assume  $w \neq \varepsilon$ 
    from facE[OF <w ≤f tl w · butlast w>]

```



```

obtain  $p\ s$  where  $tl\ w \cdot butlast\ w = p \cdot w \cdot s$ .
have  $[hd\ w] \cdot (p \cdot w \cdot s) \cdot [last\ w] = w \cdot w$ 
  unfolding  $\langle tl\ w \cdot butlast\ w = p \cdot w \cdot s \rangle [symmetric]$ 
  unfolding  $lassoc\ hd\ tl [OF\ \langle w \neq \varepsilon \rangle]$ 
  unfolding  $rassoc\ hd\ tl [reversed, OF\ \langle w \neq \varepsilon \rangle]$ .
from  $prim\ overlap\ sqE [of\ w\ [hd\ w] \cdot p\ s \cdot [last\ w]\ False, unfolded\ rassoc, OF$ 
-  $this [unfolded\ rassoc]]$ 
  show  $\neg primitive\ w$ 
  by  $blast$ 
qed  $simp$ 
qed
thus  $?thesis$  by  $blast$ 
qed

```

```

method  $primitivity\ inspection = (insert\ method\ facts, use\ nothing\ in$ 
   $\langle simp\ add: primitive\ iff\ pow\ pos \rangle)$ 

```

— This is out of scope of the method, and has to be proved separately  
**lemma**  $alternate\ prim$ : **assumes**  $x \neq y$  **shows**  $primitive\ ([x, y]^{\otimes n} \cdot [x])$   
**proof**—

```

consider  $n = 0 \mid n = 1 \mid 2 \leq n$  by  $linarith$ 
then show  $?thesis$ 
proof( $cases$ )
  assume  $2 \leq n$ 
  have  $pref: [x, y]^{\otimes n} \cdot [x] \leq_p [x, y] \cdot [x, y]^{\otimes n} \cdot [x]$ 
  by  $comparison$ 
  have  $neg: ([x, y]^{\otimes n} \cdot [x]) \cdot [x, y] \neq [x, y] \cdot [x, y]^{\otimes n} \cdot [x]$ 
  using  $\langle x \neq y \rangle$  by  $force$ 
  then show  $?thesis$ 
  using  $per\ le\ prim\ iff [of\ [x, y]^{\otimes n} \cdot [x]\ [x, y], OF\ pref] \langle 2 \leq n \rangle$ 
  unfolding  $lenmorph\ pow\ len$ 
  by  $fastforce$ 
qed ( $simp\ all\ add: \langle x \neq y \rangle primitive\ iff$ )
qed

```

**end**

**theory**  $Border\ Array$

**imports**  
 $CoWBasic$

begin

### 2.26.1 Auxiliary lemmas on suffix and border extension

**lemma** *border-ConsD*: **assumes**  $b\#x \leq b \ a\#w$

**shows**  $a = b$  **and**

$x \neq \varepsilon \implies x \leq b \ w$  **and**

*border-ConsD-neg*:  $x \neq w$  **and**

*border-ConsD-pref*:  $x \leq p \ w$  **and**

*border-ConsD-suf*:  $x \leq s \ w$

**proof**–

**show**  $a = b$

**using** *borderD-pref*[*OF assms*] **by** *force*

**show**  $x \neq w$  **and**  $x \leq p \ w$  **and**  $x \leq s \ w$

**using** *borderD-neg*[*OF assms*, *unfolded*  $\langle a = b \rangle$ ]

*borderD-pref*[*OF assms*, *unfolded* *Cons-prefix-Cons*]

*suffix-ConsD2*[*OF borderD-suf*[*OF assms*]] **by** *force*+

**thus**  $x \neq \varepsilon \implies x \leq b \ w$

**unfolding** *border-def* **by** *blast*

**qed**

**lemma** *ext-suf-Cons*:

$Suc \ i + |u| = |w| \implies u \leq s \ w \implies (w!i)\#u \leq s \ (w!i)\#w$

**proof**–

**assume**  $Suc \ i + |u| = |w|$  **and**  $u \leq s \ w$

**hence**  $u = drop \ (Suc \ i) \ w$

**unfolding** *suffix-def* **using**  $\langle Suc \ i + |u| = |w| \rangle$  **by** *auto*

**have**  $i < |w|$

**using**  $\langle Suc \ i + |u| = |w| \rangle$  **by** *auto*

**from** *id-take-nth-drop*[*OF this*, *folded*  $\langle u = drop \ (Suc \ i) \ w \rangle$ ]

**show**  $w ! i \# u \leq s \ w ! i \# w$

**using** *suffix-ConsI* *triv-suf* **by** *metis*

**qed**

**lemma** *ext-suf-Cons-take-drop*: **assumes**  $take \ k \ (drop \ (Suc \ i) \ w) \leq s \ drop \ (Suc \ i)$

$w$  **and**  $w ! i = w ! (|w| - Suc \ k)$

**shows**  $take \ (Suc \ k) \ (drop \ i \ w) \leq s \ drop \ i \ w$

**proof** (*cases*  $(Suc \ k) + i < |w|$ , *simp-all*)

**assume**  $Suc \ (k + i) < |w|$

**hence**  $i < |w|$

**by** *simp*

**have**  $Suc \ (|w| - Suc \ i - Suc \ k) = |w| - Suc \ (i+k)$

**using** *Suc-diff-Suc*  $\langle Suc \ (k + i) < |w| \rangle$

**by** (*simp add*: *Suc-diff-Suc*)

**have**  $|take\ k\ (drop\ (Suc\ i)\ w)| = k$   
**using**  $\langle Suc\ (k + i) < |w| \rangle$  **by** *fastforce*

**have**  $Suc\ (|w| - Suc\ i - Suc\ k) + |take\ k\ (drop\ (Suc\ i)\ w)| = |drop\ (Suc\ i)\ w|$   
**unfolding**  $\langle |take\ k\ (drop\ (Suc\ i)\ w)| = k \rangle$   $\langle Suc\ (|w| - Suc\ i - Suc\ k) = |w|$   
 $- Suc\ (i+k) \rangle$   
**using**  $\langle Suc\ (k + i) < |w| \rangle$  **by** *simp*

**hence**  $|drop\ (Suc\ (|w| - Suc\ i - k))\ (drop\ i\ w)| = k$   
**using**  $\langle i < |w| \rangle$  **by** *fastforce*  
**have**  $|w| - Suc\ i - k < |drop\ i\ w|$   
**by** (*metis Suc-diff-Suc*  $\langle i < |w| \rangle$  *diff-less-Suc length-drop*)

**have**  $(drop\ i\ w)!(|w| - Suc\ i - k) = w\ !\ i$   
**using**  $\langle Suc\ (k + i) < |w| \rangle$   $\langle w\ !\ i = w\ !\ (|w| - Suc\ k) \rangle$  **by** *auto*

**have**  $take\ (Suc\ k)\ (drop\ i\ w) = w!i\#take\ k\ (drop\ (Suc\ i)\ w)$   
**using** *Cons-nth-drop-Suc*[*OF*  $\langle i < |w| \rangle$ ] *take-Suc-Cons*[*of*  $k\ w!i\ drop\ (Suc\ i)\ w$ ]  
**by** *argo*

**have**  $drop\ (Suc\ (|w| - Suc\ i - k))\ (drop\ i\ w) = drop\ (|w| - Suc\ i - k)\ (drop\ (Suc\ i)\ w)$   
**by** *auto*  
**hence**  $drop\ (Suc\ (|w| - Suc\ i - k))\ (drop\ i\ w) = take\ k\ (drop\ (Suc\ i)\ w)$   
**using**  $\langle |take\ k\ (drop\ (Suc\ i)\ w)| = k \rangle$   
 $\langle take\ k\ (drop\ (Suc\ i)\ w) \leq_s drop\ (Suc\ i)\ w \rangle$  *suf-drop-conv length-drop* **by** *metis*

**with**  
*id-take-nth-drop*[*OF*  $\langle |w| - Suc\ i - k < |drop\ i\ w| \rangle$ ]  
**show** *?thesis*  
**unfolding**  $\langle (drop\ i\ w)!(|w| - Suc\ i - k) = w\ !\ i \rangle$   
 $\langle take\ (Suc\ k)\ (drop\ i\ w) = w!i\#take\ k\ (drop\ (Suc\ i)\ w) \rangle$   
**unfolding** *suffix-def* **by** *auto*

**qed**

**lemma** *ext-border-Cons*:  
 $Suc\ i + |u| = |w| \implies u \leq_b w \implies (w!i)\#u \leq_b (w!i)\#w$   
**unfolding** *border-def* **using** *ext-suf-Cons* *Cons-prefix-Cons* *list.discI* *list.inject*  
**by** *metis*

**lemma** *border-add-Cons-len*: **assumes** *max-borderP*  $u\ w$  **and**  $v \leq_b (a\#w)$  **shows**  
 $|v| \leq Suc\ |u|$   
**proof**–  
**have**  $v \neq \varepsilon$   
**using**  $\langle v \leq_b (a\#w) \rangle$  **by** *simp*  
**then obtain**  $v'$  **where**  $v = a\#v'$   
**using** *borderD-pref*[*OF*  $\langle v \leq_b (a\#w) \rangle$ , *unfolded prefix-Cons*] **by** *blast*  
**show**  $|v| \leq Suc\ |u|$   
**proof** (*cases*  $v' = \varepsilon$ )

```

assume  $v' \neq \varepsilon$ 
have  $w \neq \varepsilon$ 
  using borderedI[OF  $\langle v \leq b (a\#w) \rangle$ ] sing-not-bordered[of a] by blast
have  $v' \leq b w$ 
  using border-ConsD(2)[OF  $\langle v \leq b (a\#w) \rangle$ ][unfolded  $\langle v = a \# v' \rangle$ ]  $\langle v' \neq \varepsilon \rangle$ .
thus  $|v| \leq \text{Suc } |u|$ 
  unfolding  $\langle v = a \# v' \rangle$  length-Cons Suc-le-mono
  using  $\langle \text{max-borderP } u w \rangle$  [unfolded max-borderP-def]
    prefix-length-le by blast
qed (simp add:  $\langle v = a\#v' \rangle$ )
qed

```

## 2.27 Computing the Border Array

The computation is a special case of the Knuth-Morris-Pratt algorithm.

- KMP w arr bord pos
- w: processed word does not change; it is processed starting from the last letter
- pos: actually examined pos-th letter; that is, it is  $w!(\text{pos}-1)$
- arr: already calculated suffix-border-array of w; that is, the length of array is  $(|w| - \text{pos})$  and  $\text{arr}!(|w| - \text{pos} - \text{bord})$  is the max border length of the suffix of w of length bord
- bord: length of the current max border length candidate to see whether it can be extended we compare:  $w!(\text{pos}-1) ?= w!(|w| - (\text{Suc } \text{bord}))$ ;  $(\text{Suc } \text{bord})$  is the length of the max border if the comparison is succesful
- if the comparison fails we move to the max border of the suffix of length bord; its max border length is stored in  $\text{arr}!(|w| - \text{pos} - \text{bord})$
- if bord was 0 and the comparison failed, the word is unbordered

```

fun KMP-arr :: 'a list  $\Rightarrow$  nat list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat list
  where KMP-arr - arr - 0 = arr |
    KMP-arr w arr bord (Suc i) =
      (if  $w!i = w!(|w| - (\text{Suc } \text{bord}))$ )
      then (Suc bord) # arr
      else (if bord = 0
            then 0 # arr
            else (if ( $\text{arr}!(|w| - (\text{Suc } i) - \text{bord}) < \text{bord}$ ) — always True, for sake
of termination
              then arr
              else undefined # arr — else: dummy termination condition

```

```

    )
  )
)

fun KMP-bord :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ nat
  where   KMP-bord - - bord 0 = bord |
    KMP-bord w arr bord (Suc i) =
      (if w!i = w!(|w| - (Suc bord))
       then Suc bord
       else (if bord = 0
              then 0
              else (if (arr!(|w| - (Suc i) - bord)) < bord — always True, for sake
of termination
                    then arr!(|w| - (Suc i) - bord)
                    else 0 — dummy termination condition
                  )
                )
            )
    )

fun KMP-pos :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ nat
  where
    KMP-pos - - - 0 = 0 |
    KMP-pos w arr bord (Suc i) =
      (if w!i = w!(|w| - (Suc bord))
       then i
       else (if bord = 0
              then i
              else (if (arr!(|w| - (Suc i) - bord)) < bord — always True, for sake
of termination
                    then Suc i
                    else i — else: dummy termination condition
                  )
                )
            )
    )

thm prod-cases
  nat.exhaust
  prod.exhaust
  prod-cases3

function KMP :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ nat list where
  KMP w arr bord 0 = arr |
  KMP w arr bord (Suc i) = KMP w (KMP-arr w arr bord (Suc i)) (KMP-bord w
arr bord (Suc i)) (KMP-pos w arr bord (Suc i))
  using not0-implies-Suc by (force+)
termination
  by (relation measures [λ(-, -, compar, pos). pos, λ(-, -, compar, pos). compar],
simp-all)

```

**lemma** *KMP-len*:  $|KMP\ w\ arr\ bord\ pos| = |arr| + pos$   
**by** (*induct w arr bord pos rule: KMP.induct, auto*)

**value**<sub>[nbe]</sub> *KMP* [a] [0] 0 0

**value** *KMP* [ 0::nat] [0] 0 0  
**value** *KMP* [5,4,5,3,5,5::nat] [0] 0 5  
**value** *KMP* [5,4::nat,5,3,5,5] [1,0] 1 4  
**value** *KMP* [0,1,1,0::nat,0,0,1,1,1] [0] 0 8  
**value** *KMP* [0::nat,1] [0] 0 1

### 2.27.1 Verification of the computation

**definition** *KMP-valid* :: 'a list  $\Rightarrow$  nat list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool

**where** *KMP-valid* w arr bord pos =  $(|arr| + pos = |w| \wedge$   
— bord is the length of a border of (drop pos w), or 0  
 $pos + bord < |w| \wedge$   
 $take\ bord\ (drop\ pos\ w) \leq_p\ (drop\ pos\ w) \wedge$   
 $take\ bord\ (drop\ pos\ w) \leq_s\ (drop\ pos\ w) \wedge$   
— ... and no longer border can be extended  
 $(\forall v. v \leq bord \wedge (pos - 1) \# (drop\ pos\ w) \longrightarrow |v| \leq Suc$   
*bord*)  $\wedge$   
— the array gives maximal border lengths of  
corresponding suffixes  
 $(\forall k < |arr|. max-borderP\ (take\ (arr!k)\ (drop\ (pos +$   
*k*) w)) (drop (pos + k) w))  
 $)$

**lemma** *KMP-valid* w arr bord pos  $\implies w \neq \varepsilon$

**unfolding** *KMP-valid-def*

**using** *le-antisym less-imp-le-nat less-not-refl2 take-Nil take-all-iff* **by** *metis*

**lemma** *KMP-valid-base*: **assumes**  $w \neq \varepsilon$  **shows** *KMP-valid* w [0] 0  $(|w| - 1)$

**proof** (*unfold KMP-valid-def, intro conjI*)

**show**  $|[0]| + (|w| - 1) = |w|$

**by** (*simp add: assms*)

**show**  $|w| - 1 + 0 < |w|$

**using**  $\langle w \neq \varepsilon \rangle$  **by** *simp*

**show**  $take\ 0\ (drop\ (|w| - 1)\ w) \leq_p\ drop\ (|w| - 1)\ w$

**by** *simp*

**show**  $0\ (drop\ (|w| - 1)\ w) \leq_s\ drop\ (|w| - 1)\ w$

**by** *simp*

**show**  $\forall v. v \leq bord\ w!\ (|w| - 1 - 1) \# drop\ (|w| - 1)\ w \longrightarrow |v| \leq Suc\ 0$

**proof** (*rule allI, rule impI*)

**fix** v **assume**  $b: v \leq bord\ w!\ (|w| - 1 - 1) \# drop\ (|w| - 1)\ w$

**have**  $|w!\ (|w| - 1 - 1) \# drop\ (|w| - 1)\ w| = Suc\ (Suc\ 0)$

**using**  $\langle |[0]| + (|w| - 1) = |w| \rangle$  **by** *auto*

**from** *border-len(3)[OF b, unfolded this]*

**show**  $|v| \leq Suc\ 0$

**using** *border-len*( $\mathcal{B}$ )[*OF b*] **by** *simp*  
**qed**  
**have**  $|w| - \text{Suc } 0 = |\text{butlast } w|$   
**by** *simp*  
**have** *all*:  $\forall v. v \leq b \ [last\ w] \longrightarrow v \leq p\ \varepsilon$   
**by** (*meson borderedI sing-not-bordered*)  
**have**  $\text{butlast } w \cdot [last\ w] = w$   
**by** (*simp add: assms*)  
**hence** *last*:  $\text{drop } (|w| - \text{Suc } 0) w = [last\ w]$   
**unfolding**  $\langle |w| - \text{Suc } 0 = |\text{butlast } w| \rangle$  **using** *drop-pref* **by** *metis*  
**hence** *max-borderP*  $\varepsilon$  ( $\text{drop } (|w| - \text{Suc } 0) w$ )  
**unfolding** *max-borderP-def* **using** *all* **by** *simp*  
**thus**  $\forall k < [|0|]. \text{max-borderP } (\text{take } ([0] ! k) (\text{drop } (|w| - 1 + k) w)) (\text{drop } (|w| - 1 + k) w)$   
**by** *simp*  
**qed**

**lemma** *KMP-valid-step*: **assumes** *KMP-valid*  $w$  *arr* *bord* ( $\text{Suc } i$ )  
**shows** *KMP-valid*  $w$  (*KMP-arr*  $w$  *arr* *bord* ( $\text{Suc } i$ )) (*KMP-bord*  $w$  *arr* *bord* ( $\text{Suc } i$ )) (*KMP-pos*  $w$  *arr* *bord* ( $\text{Suc } i$ ))

**proof**–

– Consequences of the assumption

**have** *all-k*:  $\forall k < |arr|. \text{max-borderP } (\text{take } (arr ! k) (\text{drop } (\text{Suc } i + k) w)) (\text{drop } (\text{Suc } i + k) w)$

**using** *assms*[*unfolded KMP-valid-def*] **by** *blast*

**have**  $|arr| + \text{Suc } i = |w|$  **and**

$\text{Suc } i + \text{bord} < |w|$  **and**

*bord-pref*:  $\text{take } \text{bord} (\text{drop } (\text{Suc } i) w) \leq p \text{ drop } (\text{Suc } i) w$  **and**

*bord-suf*:  $\text{take } \text{bord} (\text{drop } (\text{Suc } i) w) \leq s \text{ drop } (\text{Suc } i) w$  **and**

*up-bord*:  $\bigwedge v. v \leq b \ w ! i \# (\text{drop } (\text{Suc } i) w) \Longrightarrow |v| \leq \text{Suc } \text{bord}$  **and**

*all-k-neq0*:  $\bigwedge k. k < |arr| \Longrightarrow \text{take } (arr ! k) (\text{drop } (\text{Suc } i + k) w) = \text{drop } (\text{Suc } i + k) w \longrightarrow \text{drop } (\text{Suc } i + k) w = \varepsilon$  **and**

*all-k-pref*:  $\bigwedge k. k < |arr| \Longrightarrow \text{take } (arr ! k) (\text{drop } (\text{Suc } i + k) w) \leq p \text{ drop } (\text{Suc } i + k) w$  **and**

*all-k-suf*:  $\bigwedge k. k < |arr| \Longrightarrow \text{take } (arr ! k) (\text{drop } (\text{Suc } i + k) w) \leq s \text{ drop } (\text{Suc } i + k) w$  **and**

*all-k-v*:  $\bigwedge k \ v. k < |arr| \Longrightarrow v \leq b \ \text{drop } (\text{Suc } i + k) w \Longrightarrow v \leq p \ \text{take } (arr ! k) (\text{drop } (\text{Suc } i + k) w)$

**using** *assms*[*unfolded KMP-valid-def max-borderP-def diff-Suc-1*] **by** *blast+*

**have** *all-k-neq*:  $\bigwedge k. k < |arr| \Longrightarrow \text{take } (arr ! k) (\text{drop } (\text{Suc } i + k) w) \neq \text{drop } (\text{Suc } i + k) w$

**using**  $\langle \text{Suc } i + \text{bord} < |w| \rangle \langle |arr| + \text{Suc } i = |w| \rangle$  *all-k-neq0*

*add.commute add-le-imp-le-left drop-all-iff le-antisym less-imp-le-nat less-not-refl2*

**by** *metis*

**have**  $w \neq \varepsilon$

**using**  $\langle |arr| + \text{Suc } i = |w| \rangle$  **by** *auto*

**have**  $\text{Suc } i < |w|$

**using**  $\langle \text{Suc } i + \text{bord} < |w| \rangle$  **by** *simp*

**have** *pop-i*:  $\text{drop } i \ w = (w!i)\# (\text{drop } (\text{Suc } i) \ w)$   
**by** (*simp add: Cons-nth-drop-Suc Suc-lessD*  $\langle \text{Suc } i < |w| \rangle$ )  
**have**  $\text{drop } (\text{Suc } i) \ w \neq \varepsilon$   
**using**  $\langle \text{Suc } i < |w| \rangle$  **by** *fastforce*  
**have**  $\text{Suc } i + (|w| - \text{Suc } i - \text{bord}) = |w| - \text{bord}$   
**unfolding** *diff-right-commute[of - - bord]* **using**  $\langle \text{Suc } i + \text{bord} < |w| \rangle$  **by**  
*linarith*

**show** *KMP-valid*  $w$  (*KMP-arr*  $w$  *arr*  $\text{bord}$  (*Suc*  $i$ )) (*KMP-bord*  $w$  *arr*  $\text{bord}$  (*Suc*  $i$ )) (*KMP-pos*  $w$  *arr*  $\text{bord}$  (*Suc*  $i$ ))  
**proof** (*cases*  $w ! i = w ! (|w| - \text{Suc } \text{bord})$ )  
**assume** *match*:  $w ! i = w ! (|w| - \text{Suc } \text{bord})$  — The current candidate is extendable  
**show** *?thesis*  
**proof** (*unfold* *KMP-valid-def* *KMP-arr.simps* *KMP-bord.simps* *KMP-pos.simps* *if-P[OF match]*, *intro* *conjI*)  
**show**  $|\text{Suc } \text{bord} \# \text{arr}| + i = |w|$   
**using**  $\langle |\text{arr}| + \text{Suc } i = |w| \rangle$  **by** *auto*  
**show**  $i + \text{Suc } \text{bord} < |w|$   
**using**  $\langle \text{Suc } i + \text{bord} < |w| \rangle$  **by** *auto*  
**show**  $\text{take } (\text{Suc } \text{bord}) (\text{drop } i \ w) \leq_p \text{drop } i \ w$   
**using** *take-is-prefix* **by** *auto*  
**show**  $\text{take } (\text{Suc } \text{bord}) (\text{drop } i \ w) \leq_s \text{drop } i \ w$   
**using**  $\langle \text{take } \text{bord} (\text{drop } (\text{Suc } i) \ w) \leq_s \text{drop } (\text{Suc } i) \ w \rangle$  *ext-suf-Cons-take-drop*  
*match* **by** *blast*  
— The new border array is correct  
**show** *all-k-new*:  $\forall k < |\text{Suc } \text{bord} \# \text{arr}|. \text{max-borderP } (\text{take } ((\text{Suc } \text{bord} \# \text{arr}) ! k) (\text{drop } (i + k) \ w)) (\text{drop } (i + k) \ w)$   
**proof** (*rule* *allI*, *rule* *impI*)  
**fix**  $k$  **assume**  $k < |\text{Suc } \text{bord} \# \text{arr}|$   
**show**  $\text{max-borderP } (\text{take } ((\text{Suc } \text{bord} \# \text{arr}) ! k) (\text{drop } (i + k) \ w)) (\text{drop } (i + k) \ w)$   
**proof** (*cases*  $0 < k$ )  
**assume**  $0 < k$  — old entries are valid:  
**thus** *?thesis* **using** *all-k*  
**by** (*metis* *Suc-less-eq*  $\langle k < |\text{Suc } \text{bord} \# \text{arr}| \rangle$  *add.right-neutral* *add-Suc-shift* *gr0-implies-Suc* *list.size(4)* *nth-Cons-Suc*)  
**next**  
**assume**  $\neg 0 < k$  **hence**  $k = 0$  **by** *simp*  
**show** *?thesis* — the extended border is maximal:  
**unfolding** *max-borderP-def*  $\langle k = 0 \rangle$   
**proof** (*intro* *conjI*)  
**show**  $\text{take } ((\text{Suc } \text{bord} \# \text{arr}) ! 0) (\text{drop } (i + 0) \ w) = \text{drop } (i + 0) \ w$   
 $\longrightarrow \text{drop } (i + 0) \ w = \varepsilon$   
**using**  $\langle i + \text{Suc } \text{bord} < |w| \rangle$  **by** *fastforce*  
**show**  $\text{take } ((\text{Suc } \text{bord} \# \text{arr}) ! 0) (\text{drop } (i + 0) \ w) \leq_p \text{drop } (i + 0) \ w$   
**using**  $\langle \text{take } (\text{Suc } \text{bord}) (\text{drop } i \ w) \leq_p \text{drop } i \ w \rangle$  **by** *auto*  
**show**  $\text{take } ((\text{Suc } \text{bord} \# \text{arr}) ! 0) (\text{drop } (i + 0) \ w) \leq_s \text{drop } (i + 0) \ w$   
**by** *simp fact*



```

      show  $\forall v. v \leq b \text{ drop } (i + 0) w \longrightarrow v \leq p \text{ take } ((\text{Suc bord} \# \text{arr}) ! 0)$ 
      (drop (i + 0) w)
    proof (rule allI, rule impI)
      fix v assume v  $\leq b \text{ drop } (i + 0) w$  hence v  $\leq b \text{ drop } i w$  by simp
      from borderD-pref[OF this] up-bord[OF this[unfolded pop-i]]
      show v  $\leq p \text{ take } ((\text{Suc bord} \# \text{arr}) ! 0)$  (drop (i + 0) w)
        unfolding prefix-def by force
    qed
  qed
  qed
  next
  — the extended border is the longest candidate:
  have max-borderP (take (Suc bord) (drop i w)) (drop i w)
    using all-k-new[rule-format, of 0, unfolded length-Cons nth-Cons-0
  add-0-right, OF zero-less-Suc].
  from border-add-Cons-len[OF this] max-borderP-D-max[OF this] max-borderP-D-neq[OF
  - this]
  show  $\forall v. v \leq b w ! (i - 1) \# \text{ drop } i w \longrightarrow |v| \leq \text{Suc } (\text{Suc bord})$ 
    using nat-le-linear take-all take-len list.discI pop-i by metis
  qed
next
  assume mismatch: w ! i  $\neq w ! (|w| - \text{Suc bord})$  — The current candidate is
not extendable
  show ?thesis
  proof (cases bord = 0)
    assume bord  $\neq 0$  — Recursion: try the maximal border of the current
candidate...
    let ?k = |w| - Suc i - bord and
        ?w' = drop (Suc i) w
    have ?k < |arr|
    using  $\langle \text{Suc } i + \text{bord} < |w| \rangle \langle |arr| + \text{Suc } i = |w| \rangle \langle \text{bord} \neq 0 \rangle$  by linarith
    from all-k-neq[OF this]
    have arr ! ?k < bord — ... which is stored in the array, and is shorter
      by (simp add:  $\langle \text{take } (\text{arr} ! ?k) (\text{drop } (\text{Suc } i + ?k) w) \neq \text{drop } (\text{Suc } i$ 
+ ?k) w  $\rangle \langle \text{Suc } i + ?k = |w| - \text{bord} \rangle \langle \text{Suc } i + \text{bord} < |w| \rangle$  add-diff-inverse-nat
diff-add-inverse2 grOI less-diff-conv nat-diff-split-asm )
    let ?old-pref = take bord ?w' and
        ?old-suf = drop (|w| - bord) w and
        ?new-pref = take (arr ! ?k) ?w'
    show ?thesis
  proof (unfold KMP-valid-def KMP-arr.simps KMP-bord.simps KMP-pos.simps
if-not-P[OF mismatch] if-not-P[OF  $\langle \text{bord} \neq 0 \rangle$ ] if-P[OF  $\langle \text{arr} ! ?k < \text{bord} \rangle$ ] diff-Suc-1,
intro conjI)
    show |arr| + Suc i = |w|
      using  $\langle |arr| + \text{Suc } i = |w| \rangle$  by auto
    show Suc i + arr ! ?k < |w|
      using  $\langle \text{Suc } i + \text{bord} < |w| \rangle \langle \text{arr} ! ?k < \text{bord} \rangle$  by linarith
    show take (arr ! ?k) (drop (Suc i) w)  $\leq p \text{ drop } (\text{Suc } i) w$ 
      using take-is-prefix by blast
  qed

```

— Next goal: the new border is a suffix

**have**  $?old\text{-}suf \leq_s ?w'$   
**by** (*meson*  $\langle Suc\ i + bord < |w| \rangle$  *le-suf-drop less-diff-conv nat-less-le*)  
**have**  $|?old\text{-}pref| = bord$   
**using**  $\langle Suc\ i + bord < |w| \rangle$  *take-len len-after-drop nat-less-le* **by** *blast*  
**also have**  $\dots = |?old\text{-}suf|$   
**using**  $\langle Suc\ i + bord < |w| \rangle$  **by** *simp*  
**ultimately have** *eq1*:  $?old\text{-}pref = ?old\text{-}suf$  — *bord* defines a border  
**using**  $\langle take\ bord\ (drop\ (Suc\ i)\ w) \leq_s drop\ (Suc\ i)\ w \rangle$   $\langle drop\ (|w| - bord)$   
 $w \leq_s drop\ (Suc\ i)\ w \rangle$  *suf-ruler-eq-len* **by** *metis*

**have**  $|?new\text{-}pref| = arr!\ ?k$   
**using** *take-len*  $\langle Suc\ i + bord < |w| \rangle$   $\langle arr!\ ?k < bord \rangle$  *diff-diff-left* **by** *force*  
**have** *take*  $(arr!\ ?k)\ ?old\text{-}suf \leq_p ?old\text{-}pref$   
**using** *take-is-prefix*  $\langle ?old\text{-}pref = ?old\text{-}suf \rangle$  **by** *metis*  
**from** *pref-take*[*OF* *pref-trans*[*OF* *this take-is-prefix*], *unfolded*  $\langle |?new\text{-}pref|$   
 $= arr!\ ?k \rangle$ , *symmetric*]  
**have** *take*  $(arr!\ ?k)\ ?old\text{-}suf = take\ (arr!\ ?k)\ ?w'$   
**using** *take-len*  $\langle arr!\ ?k < bord \rangle$   $\langle bord = |drop\ (|w| - bord)\ w| \rangle$  *less-imp-le-nat*  
**by** *metis*  
**from** *all-k-suf*[*OF*  $\langle ?k < |arr| \rangle$ , *unfolded*  $\langle Suc\ i + ?k = |w| - bord \rangle$ ] *this*  
**have** *take*  $(arr!\ ?k)\ ?w' \leq_s ?old\text{-}suf$  **by** *simp* — The new prefix is a suffix  
of the old suffix

**with**  $\langle ?old\text{-}pref \leq_s ?w' \rangle$  [*unfolded*  $\langle ?old\text{-}pref = ?old\text{-}suf \rangle$ ]  
**show** *take*  $(arr!\ ?k)\ ?w' \leq_s ?w'$   
**using** *suf-trans* **by** *blast*

— Key facts about borders of the  $w'$

**have**  $?old\text{-}pref \neq \varepsilon$   
**using**  $\langle bord \neq 0 \rangle$   $\langle |?old\text{-}pref| = bord \rangle$  **by** *force*  
**moreover have**  $?old\text{-}pref \neq ?w'$   
**using**  $\langle Suc\ i + bord < |w| \rangle$   
**by** (*intro lenarg-not*, *unfold length-drop*  $\langle |take\ bord\ ?w'| = bord \rangle$ , *linarith*)  
**ultimately have**  $?old\text{-}pref \leq_b ?w'$  — *bord* is the length of a border  
**by** (*intro borderI*[*OF* *bord-pref bord-suf*])

— We want to prove that the new border is the longest candidate

**show**  $\forall v. v \leq_b w!\ i \# ?w' \longrightarrow |v| \leq Suc\ (arr!\ ?k)$   
**proof** (*rule allI*, *rule impI*)  
**have** *extendable*:  $w!\ i \# v' \leq_b w!\ i \# ?w' \implies v' \neq \varepsilon \implies |v'| \leq arr!$   
 $!\ ?k$  **for**  $v'$  — First consider a border of  $w'$ , which is extendable  
**proof**—  
**assume**  $w!\ i \# v' \leq_b w!\ i \# ?w'$  **and**  $v' \neq \varepsilon$   
**from** *suf-trans*[*OF* *borderD-suf*[*OF*  $\langle w!\ i \# v' \leq_b w!\ i \# ?w' \rangle$ , *folded*  
*pop-i*] *suffix-drop*]

**have**  $w!i \# v' \leq_s w$ .  
**from** *this*[*unfolded suf-drop-conv*, *THEN nth-via-drop*] *mismatch*  
**have**  $|w!i \# v'| \neq \text{Suc } \text{bord}$   
**by** *force*  
**with** *up-bord*[*OF*  $\langle w!i \# v' \leq_b w ! i \# ?w' \rangle$ ]  
**have**  $|v'| < \text{bord}$  — It is shorter than the old candidate border  
**by** *simp*  
**from** *border-ConsD*( $\text{?}$ )[*OF*  $\langle w!i \# v' \leq_b w ! i \# ?w' \rangle \langle v' \neq \varepsilon \rangle$ ]  
**have**  $v' \leq_b ?w'$ .  
**from** *borders-compare*[*OF*  $\langle ?\text{old-pref} \leq_b ?w' \rangle$  *this*, *unfolded*  $\langle |?old\text{-pref}$   
 $= \text{bord} \rangle$ , *unfolded*  $\langle ?\text{old-pref} = ?\text{old-suf} \rangle$ , *OF*  $\langle |v'| < \text{bord} \rangle$ ]  
**have**  $v' \leq_b ?\text{old-suf}$ . — ... and therefore its border  
**from** *prefix-length-le*[*OF* *max-borderP-D-max*[*OF* *all-k*[*rule-format*,  
 $\text{OF} \langle ?k < |\text{arr}| \rangle$ ], *unfolded*  $\langle \text{Suc } i + ?k = |w| - \text{bord} \rangle$ , *OF this*]]  
**show**  $|v'| \leq \text{arr}! ?k$  — ... and hence short  
**using** *len-take1*[*of*  $\text{arr}! ?k$ , *of*  $w$ ] **by** *simp*  
**qed**  
**fix**  $v$  **assume**  $v \leq_b w!i \# ?w'$  — Now consider a border of the extended  
word  
**show**  $|v| \leq \text{Suc } (\text{arr} ! ?k)$   
**proof** (*cases*  $|v| \leq \text{Suc } 0$ )  
**assume**  $\neg |v| \leq \text{Suc } 0$  **hence**  $\text{Suc } 0 < |v|$  **by** *simp*  
**from** *hd-tl-longE*[*OF this*]  
**obtain**  $a v'$  **where**  $v = a \# v'$  **and**  $v' \neq \varepsilon$   
**by** *blast*  
**with** *borderD-pref*[*OF*  $\langle v \leq_b w!i \# ?w' \rangle$ , *unfolded* *prefix-Cons*]  
**have**  $v = w!i \# v'$   
**by** *simp*  
**from** *extendable*[*OF*  $\langle v \leq_b w!i \# ?w' \rangle$  [*unfolded*  $\langle v = w!i \# v' \rangle$ ]  $\langle v' \neq \varepsilon \rangle$ ]  
**show** *?thesis*  
**by** (*simp add*:  $\langle v = a \# v' \rangle$ )  
**qed** *simp*  
**qed**  
**show**  $\forall k < |\text{arr}|. \text{max-borderP } (\text{take } (\text{arr} ! k) (\text{drop } (\text{Suc } i + k) w)) (\text{drop}$   
 $(\text{Suc } i + k) w)$   
**using** *all-k* **by** *blast*  
**qed**  
**next**  
**assume**  $\text{bord} = 0$  — End of recursion.  
**show** *?thesis*  
**proof** (*unfold* *KMP-valid-def* *KMP-arr.simps* *KMP-bord.simps* *KMP-pos.simps*  
*if-not-P*[*OF mismatch*] *if-P*[*OF*  $\langle \text{bord} = 0 \rangle$ ], *intro conjI*)  
**show**  $|0 \# \text{arr}| + i = |w|$   
**using**  $\langle |\text{arr}| + \text{Suc } i = |w| \rangle$  **by** *auto*  
**show**  $i + 0 < |w|$   
**by** (*simp add*: *Suc-lessD*  $\langle \text{Suc } i < |w| \rangle$ )  
**show**  $\text{take } 0 (\text{drop } i w) \leq_p \text{drop } i w$   
**by** *simp*  
**show**  $\text{take } 0 (\text{drop } i w) \leq_s \text{drop } i w$

```

    using ext-suf-Cons-take-drop by simp
    — The extension is unbordered
  have max-borderP  $\varepsilon$  (drop i w)
  proof(rule ccontr)
    assume  $\neg$  max-borderP  $\varepsilon$  (drop i w)
    then obtain a t where max-borderP (a#t) (drop i w)
      unfolding pop-i using max-border-ex[of w ! i # drop (Suc i) w]
  neq-Nil-conv by metis
    from up-bord[OF max-borderP-border[OF this list.simps(3), unfolded pop-i],
  unfolded <bord = 0>]
    have t =  $\varepsilon$  by simp
    from max-borderP-border[OF <max-borderP (a#t) (drop i w)>[unfolded
  this] list.simps(3)]
    have [a]  $\leq$  b drop i w.
    from borderD-pref[OF this]
    have w!i = a
      by (simp add: pop-i)
    moreover have w!(|w| - 1) = a
    using borderD-suf[OF <[a]  $\leq$  b drop i w>] nth-via-drop sing-len suf-drop-conv
  suf-share-take suffix-drop suffix-length-le by metis
    ultimately show False
      using mismatch[unfolded <bord = 0>] by simp
  qed
  thus  $\forall v. v \leq b$  w ! (i - 1) # drop i w  $\longrightarrow$  |v|  $\leq$  Suc 0
    by (metis border-add-Cons-len list.size(3))
    — The array is valid: old values from assumption, the first 0 since the
  extension is unbordered
  show  $\forall k < |0 \# arr|. \text{max-borderP (take ((0 \# arr) ! k) (drop (i + k) w))}$ 
  (drop (i + k) w)
  proof (rule allI, rule impI)
    fix k assume k < |0 \# arr|
    show max-borderP (take ((0 \# arr) ! k) (drop (i + k) w)) (drop (i + k)
  w)
    proof (cases 0 < k)
      assume 0 < k
      thus ?thesis using all-k
        by (metis Suc-less-eq <k < |0 \# arr|> add.right-neutral add-Suc-shift
  gr0-implies-Suc list.size(4) nth-Cons-Suc)
      next
      assume  $\neg$  0 < k hence k = 0 by simp
      thus ?thesis
        using <max-borderP  $\varepsilon$  (drop i w)> by auto
    qed
  qed
  qed
  qed
  qed
  qed
  qed

```

**lemma** *KMP-valid-max*: **assumes** *KMP-valid* *w arr bord pos k < |w|*  
**shows** *max-borderP* (*take* ((*KMP w arr bord pos*)!k) (*drop k w*)) (*drop k w*)  
**using** *assms*  
**proof** (*induct w arr bord pos arbitrary: k rule: KMP.induct*)  
**case** (*2 w arr bord i*)  
**then show** *?case*  
**unfolding** *KMP.simps using KMP-valid-step by blast*  
**qed** (*simp add: KMP-valid-def*)

## 2.28 Border array

**fun** *border-array* :: 'a list  $\Rightarrow$  nat list **where**  
*border-array*  $\varepsilon = \varepsilon$   
| *border-array* (*a#w*) = *rev* (*KMP* (*rev* (*a#w*)) [*0*] *0* (*|a#w|-1*))

**lemma** *border-array-len*: |*border-array w*| = |*w*|  
**by** (*induct w, simp-all add: KMP-len*)

**theorem** *bord-array*: **assumes** *Suc k  $\leq$  |w|* **shows** (*border-array w*)!k = |*max-border*  
(*take* (*Suc k*) *w*)|

**proof**–

**define** *m* **where** *m = |w| - Suc k*  
**hence** *m < |rev w|*  
**by** (*simp add: Suc-diff-Suc assms less-eq-Suc-le*)  
**have** *rev w  $\neq$   $\varepsilon$  and k < |rev w|*  
**using**  $\langle$ *Suc k  $\leq$  |w|* $\rangle$  **by** *auto*  
**hence** *w = hd w#tl w*  
**by** *simp*  
**from** *arg-cong[OF border-array.simps(2)[of hd w tl w, folded this], of rev, unfolded*  
*rev-rev-ident]*  
**have** *rev (border-array w) = (KMP (rev w) [0] 0 (|w|-1))*.  
**hence** *max-borderP* (*take* (*rev (border-array w)*)!m) (*drop m* (*rev w*)) (*drop m*  
(*rev w*))  
**using** *KMP-valid-max*[*rule-format, OF KMP-valid-base*[*OF*  $\langle$ *rev w  $\neq$   $\varepsilon$*  $\rangle$   $\langle$ *m <*  
|*rev w* $\rangle$ ]] **by** *simp*  
**hence** *max-border* (*drop m* (*rev w*)) = *take* (*rev (border-array w)*)!m) (*drop m*  
(*rev w*))  
**using** *max-borderP-max-border* **by** *blast*  
**hence** |*max-border* (*drop m* (*rev w*))| = *rev (border-array w)*!m  
**by** (*metis*  $\langle$ *m < |rev w|* $\rangle$  *drop-all-iff leD max-border-nemp-neq nat-le-linear*  
*take-all take-len*)  
**thus** *?thesis*  
**using** *m-def*  
**by** (*metis* *Suc-diff-Suc*  $\langle$ *k < |rev w|* $\rangle$   $\langle$ *m < |rev w|* $\rangle$  *border-array-len diff-diff-cancel*  
*drop-rev length-rev less-imp-le-nat max-border-len-rev rev-nth*)  
**qed**

**lemma** *max-border-comp* [*code*]: *max-border w = take* ((*border-array w*)!(*|w|-1*))  
*w*

```

proof (cases w = ε)
  assume w = ε
  thus max-border w = take ((border-array w)!(|w|-1)) w
    using max-bord-take take-Nil by metis
next
  assume w ≠ ε
  hence Suc (|w| - 1) ≤ |w| by simp
  from bord-array[OF this]
  have (border-array w)!(|w|-1) = |max-border w|
    by (simp add: ‹w ≠ ε›)
  thus max-border w = take ((border-array w)!(|w|-1)) w
    using max-bord-take by auto
qed

value[nbe] primitive [a,b,a]

value primitive [0,1,0::nat]

value border-array [5,4,5,3,5,5,5,4,5::nat]

value primitive [5,4,5,3,5,5,5,4,5::nat]

value primitive [5,4,5,3,5,5,5,4,5::nat]

value[nbe] bordered []

value border-array [0,1,1,0,0,0,1,1,1,1,0,0,0,1,1,0,1,1,0,0,0,1,1,1,1,1,0,0,0,1,1,1,0,1,1,0,0,0,1,1,1,0,1,1,0,]

value[nbe] border-array ε

value border-array [1,0,1,0,1,1,0,0::nat]

value max-border [1,0,1,0,1,1,0,0,1,0,1,1,0,1,0,1,1,0,0,1,0,1,1,0,1,0,1,1,0,0,1,0,1,0,0,1,0,0,1::nat]

thm max-border-comp — code for max-border, based on border-array

value bordered [1,0::nat,1,0,1,1,0,1]

value π [1::nat,0,1,0,1,1,0,1]

thm min-per-root-take — code for π, based on max-border

value |π [1::nat,0,1,0,1,1,0,1]

value ρ [1::nat,0,1,1,0,1,1,0,1]

thm primroot-code — code for ρ, based on π

value ρ [1::nat,0,1,1,0,1,1,0]

```

```
value[nbe]  $\pi \varepsilon$ 
```

```
end
```

```
theory Submonoids  
  imports CoWBasic  
begin
```

## Chapter 3

# Submonoids of a free monoid

This chapter deals with properties of submonoids of a free monoid, that is, with monoids of words. See more in Chapter 1 of [4].

### 3.1 Hull

First, we define the hull of a set of words, that is, the monoid generated by them.

**inductive-set** *hull* :: 'a list set  $\Rightarrow$  'a list set ( $\langle \cdot \rangle$ )

**for** *G* **where**

*emp-in*[*simp*]:  $\varepsilon \in \langle G \rangle$  |

*prod-cl*:  $w1 \in G \Rightarrow w2 \in \langle G \rangle \Rightarrow w1 \cdot w2 \in \langle G \rangle$

**lemmas** [*intro*] = *hull.intros*

**lemma** *hull-closed*[*intro*]:  $w1 \in \langle G \rangle \Rightarrow w2 \in \langle G \rangle \Rightarrow w1 \cdot w2 \in \langle G \rangle$

**by** (*rule hull.induct*[*of w1 G  $\lambda$  x. (x.w2)  $\in$   $\langle G \rangle$* ]) *auto+*

**lemma** *gen-in* [*intro*]:  $w \in G \Rightarrow w \in \langle G \rangle$

**using** *hull.prod-cl* **by** *force*

**lemma** *hull-induct*: **assumes**  $x \in \langle G \rangle$  *P*  $\varepsilon \wedge w. w \in G \Rightarrow P w$

$\wedge w1 w2. w1 \in \langle G \rangle \Rightarrow P w1 \Rightarrow w2 \in \langle G \rangle \Rightarrow P w2 \Rightarrow P (w1 \cdot w2)$  **shows**  
*P x*

**using** *hull.induct*[*of - - P, OF  $\langle x \in \langle G \rangle \rangle \langle P \varepsilon \rangle$* ]

*assms* **by** (*simp add: gen-in*)

**lemma** *genset-sub*[*simp*]:  $G \subseteq \langle G \rangle$

**using** *gen-in ..*

**lemma** *genset-sub-lists*:  $ws \in \text{lists } G \Rightarrow ws \in \text{lists } \langle G \rangle$

**using** *sub-lists-mono*[*OF genset-sub*].



**lemma** *in-lists-conv-set-subset*:  $set\ ws \subseteq G \iff ws \in lists\ G$   
**by** *blast*

**lemma** *concat-in-hull* [*intro*]:  
**assumes**  $set\ ws \subseteq G$   
**shows**  $concat\ ws \in \langle G \rangle$   
**using** *assms* **by** (*induction ws*) *auto*

**lemma** *concat-in-hull'* [*intro*]:  
**assumes**  $ws \in lists\ G$   
**shows**  $concat\ ws \in \langle G \rangle$   
**using** *assms* **by** (*induction ws*) *auto*

**lemma** *hull-concat-lists0*:  $w \in \langle G \rangle \implies (\exists\ ws \in lists\ G. concat\ ws = w)$   
**proof**(*rule hull.induct[of - G]*)  
**show**  $\exists\ ws \in lists\ G. concat\ ws = \varepsilon$   
**using** *concat.simps(1) lists.Nil[of G] exI[of  $\lambda x. concat\ x = \varepsilon$ , OF concat.simps(1)]* **by** *blast*  
**show**  $\bigwedge w1\ w2. w1 \in G \implies w2 \in \langle G \rangle \implies \exists\ ws \in lists\ G. concat\ ws = w2 \implies \exists\ ws \in lists\ G. concat\ ws = w1 \cdot w2$   
**using** *Cons-in-lists-iff concat.simps(2)* **by** *metis*  
**qed** *simp*

**lemma** *hull-concat-listsE*: **assumes**  $w \in \langle G \rangle$   
**obtains**  $ws$  **where**  $ws \in lists\ G$  **and**  $concat\ ws = w$   
**using** *assms hull-concat-lists0* **by** *blast*

**lemma** *hull-concat-lists*:  $\langle G \rangle = concat\ ' lists\ G$   
**using** *hull-concat-lists0* **by** *blast*

**lemma** *concat-tl*:  $x \# xs \in lists\ G \implies concat\ xs \in \langle G \rangle$   
**by** (*simp add: hull-concat-lists*)

**lemma** *nemp-concat-hull*: **assumes**  $us \neq \varepsilon$  **and**  $us \in lists\ (G - \{\varepsilon\})$   
**shows**  $concat\ us \in \langle G \rangle$  **and**  $concat\ us \neq \varepsilon$   
**using** *assms* **by** *fastforce+*

**lemma** *hull-mono*:  $A \subseteq B \implies \langle A \rangle \subseteq \langle B \rangle$   
**proof**  
**fix**  $x$  **assume**  $A \subseteq B$   $x \in \langle A \rangle$   
**thus**  $x \in \langle B \rangle$   
**unfolding** *image-def hull-concat-lists* **using** *sub-lists-mono[OF  $\langle A \subseteq B \rangle$ ]*  
**by** *blast*  
**qed**

**lemma** *emp-gen-set*:  $\langle \{\} \rangle = \{\varepsilon\}$   
**unfolding** *hull-concat-lists* **by** *auto*

**lemma** *concat-lists-minus[simp]*:  $concat\ ' lists\ (G - \{\varepsilon\}) = concat\ ' lists\ G$

**proof**  
**show**  $\text{concat} \text{ ' lists } G \subseteq \text{concat} \text{ ' lists } (G - \{\varepsilon\})$   
**proof**  
**fix**  $x$  **assume**  $x \in \text{concat} \text{ ' lists } G$   
**from**  $\text{imageE}[OF \text{ this}]$   
**obtain**  $y$  **where**  $x = \text{concat } y \ y \in \text{lists } G$ .  
**from**  $\text{lists-minus}'[OF \langle y \in \text{lists } G \rangle] \text{ del-emp-concat}[of y, \text{folded } \langle x = \text{concat } y \rangle]$   
**show**  $x \in \text{concat} \text{ ' lists } (G - \{\varepsilon\})$   
**by**  $\text{blast}$   
**qed**  
**qed** (*simp add: image-mono lists-mono*)

**lemma**  $\text{hull-drop-one}: \langle G - \{\varepsilon\} \rangle = \langle G \rangle$   
**proof** (*intro equalityI subsetI*)  
**fix**  $x$  **assume**  $x \in \langle G \rangle$  **thus**  $x \in \langle G - \{\varepsilon\} \rangle$   
**unfolding**  $\text{hull-concat-lists}$  **by**  $\text{simp}$   
**next**  
**fix**  $x$  **assume**  $x \in \langle G - \{\varepsilon\} \rangle$  **thus**  $x \in \langle G \rangle$   
**unfolding**  $\text{hull-concat-lists image-iff}$  **by**  $\text{auto}$   
**qed**

**lemma**  $\text{sing-gen-power}: u \in \langle \{x\} \rangle \implies \exists k. u = x^{\textcircled{a}}k$   
**unfolding**  $\text{hull-concat-lists}$  **using**  $\text{one-generated-list-power}$  **by**  $\text{auto}$

**lemma**  $\text{sing-gen}[intro]: w \in \langle \{z\} \rangle \implies w \in z^*$   
**using**  $\text{rootI sing-gen-power}$  **by**  $\text{blast}$

**lemma**  $\text{pow-sing-gen}[simp]: x^{\textcircled{a}}k \in \langle \{x\} \rangle$   
**using**  $\text{concat-in-hull}[OF \text{ sing-pow-set-sub, unfolded concat-sing-pow}]$ .

**lemma**  $\text{root-sing-gen}: w \in z^* \implies w \in \langle \{z\} \rangle$   
**by** ( $\text{elim rootE}$ )  $\text{force}$

**lemma**  $\text{sing-genE}[elim]:$   
**assumes**  $u \in \langle \{x\} \rangle$   
**obtains**  $k$  **where**  $x^{\textcircled{a}}k = u$   
**using**  $\text{assms}$  **using**  $\text{sing-gen-power}$  **by**  $\text{blast}$

**lemma**  $\text{sing-gen-root-conv}: w \in \langle \{z\} \rangle \iff w \in z^*$   
**using**  $\text{root-sing-gen}$  **by**  $\text{blast}$

**lemma**  $\text{lists-gen-to-hull}: us \in \text{lists } (G - \{\varepsilon\}) \implies us \in \text{lists } (\langle G \rangle - \{\varepsilon\})$   
**using**  $\text{lists-mono genset-sub}$  **by**  $\text{force}$

**lemma**  $\text{rev-hull}: \text{rev}'\langle G \rangle = \langle \text{rev}'G \rangle$   
**proof**  
**show**  $\text{rev} \text{ ' } \langle G \rangle \subseteq \langle \text{rev} \text{ ' } G \rangle$   
**proof**  
**fix**  $x$  **assume**  $x \in \text{rev} \text{ ' } \langle G \rangle$

**then obtain**  $xs$  **where**  $x = \text{rev}(\text{concat } xs)$  **and**  $xs \in \text{lists } G$   
**unfolding** *hull-concat-lists* **by** *auto*  
**from** *rev-in-lists*[*OF*  $\langle xs \in \text{lists } G \rangle$ ]  
**have**  $(\text{map } \text{rev}(\text{rev } xs)) \in \text{lists}(\text{rev } \langle G \rangle)$   
**by** *fastforce*  
**thus**  $x \in \langle \text{rev } \langle G \rangle \rangle$   
**unfolding** *image-iff hull-concat-lists*  
**using**  $\langle x = \text{rev}(\text{concat } xs) \rangle$ [*unfolded rev-concat*] **by** *blast*  
**qed**  
**show**  $\langle \text{rev } \langle G \rangle \rangle \subseteq \text{rev } \langle \langle G \rangle \rangle$   
**proof**  
**fix**  $x$  **assume**  $x \in \langle \text{rev } \langle G \rangle \rangle$   
**then obtain**  $xs$  **where**  $x = \text{concat } xs$  **and**  $xs \in \text{lists}(\text{rev } \langle G \rangle)$   
**unfolding** *hull-concat-lists* **by** *blast*  
**from** *rev-in-lists*[*OF*  $\langle xs \in \text{lists}(\langle \text{rev } \langle G \rangle \rangle) \rangle$ ]  
**have**  $\text{map } \text{rev}(\text{rev } xs) \in \text{lists } G$   
**by** *fastforce*  
**hence**  $\text{rev } x \in \langle G \rangle$   
**unfolding**  $\langle x = \text{concat } xs \rangle$  *rev-concat*  
**by** *fast*  
**thus**  $x \in \text{rev } \langle \langle G \rangle \rangle$   
**unfolding** *rev-in-conv.*  
**qed**  
**qed**

**lemma** *power-in*[*intro*]:  $x \in \langle G \rangle \implies x^{\textcircled{k}} \in \langle G \rangle$   
**by** (*induction k, auto*)

**lemma** *hull-closed-lists*:  $us \in \text{lists } \langle G \rangle \implies \text{concat } us \in \langle G \rangle$   
**by** (*induct us, auto*)

**lemma** *hull-I* [*intro*]:  
 $\varepsilon \in H \implies (\bigwedge x y. x \in H \implies y \in H \implies x \cdot y \in H) \implies \langle H \rangle = H$   
**by** (*standard, use hull.induct[of - H  $\lambda x. x \in H$ ] in force*) (*simp only: genset-sub*)

**lemma** *self-gen*:  $\langle \langle G \rangle \rangle = \langle G \rangle$   
**using** *image-subsetI*[*of lists*  $\langle G \rangle$  *concat*  $\langle G \rangle$ , *unfolded hull-concat-lists*[*of*  $\langle G \rangle$ , *symmetric*],  
*THEN subset-antisym*[*OF* - *genset-sub*[*of*  $\langle G \rangle$ ]]] *hull-closed-lists*[*of* -  $G$ ] **by** *blast*

**lemma** *hull-mono'*[*intro*]:  $A \subseteq \langle B \rangle \implies \langle A \rangle \subseteq \langle B \rangle$   
**using** *hull-mono self-gen* **by** *blast*

**lemma** *hull-conjug* [*elim*]:  $w \in \langle \{r \cdot s, s \cdot r\} \rangle \implies w \in \langle \{r, s\} \rangle$   
**using** *hull-mono*[*of*  $\{r \cdot s, s \cdot r\}$   $\langle \{r, s\} \rangle$ , *unfolded self-gen*] **by** *blast*

Intersection of hulls is a hull.

**lemma** *hulls-inter*:  $\langle \bigcap \{ \langle G \rangle \mid G. G \in S \} \rangle = \bigcap \{ \langle G \rangle \mid G. G \in S \}$

**proof**

**{fix**  $G$  **assume**  $G \in S$   
**hence**  $\langle \bigcap \{ \langle G \rangle \mid G. G \in S \} \rangle \subseteq \langle G \rangle$   
**using** *Inter-lower*[of  $\langle G \rangle$   $\{ \langle G \rangle \mid G. G \in S \}$ ] *mem-Collect-eq*[of  $\langle G \rangle$   $\lambda A. \exists G. G \in S \wedge A = \langle G \rangle$ ]  
*hull-mono*[of  $\bigcap \{ \langle G \rangle \mid G. G \in S \}$   $\langle G \rangle$ ] **unfolding** *self-gen* **by** *auto*  
**thus**  $\langle \bigcap \{ \langle G \rangle \mid G. G \in S \} \rangle \subseteq \bigcap \{ \langle G \rangle \mid G. G \in S \}$  **by** *blast*  
**next**  
**show**  $\bigcap \{ \langle G \rangle \mid G. G \in S \} \subseteq \langle \bigcap \{ \langle G \rangle \mid G. G \in S \} \rangle$   
**by** *simp*  
**qed**

**lemma** *hull-keeps-root*:  $\forall u \in A. u \in r^* \implies w \in \langle A \rangle \implies w \in r^*$   
**by** (*rule* *hull.induct*[of  $\lambda x. x \in r^*$ ], *auto*)

**lemma** *bin-hull-keeps-root* [*intro*]:  $u \in r^* \implies v \in r^* \implies w \in \langle \{u, v\} \rangle \implies w \in r^*$   
**by** (*rule* *hull.induct*[of  $\lambda x. x \in r^*$ ], *auto*)

**lemma** *bin-comm-hull-comm*:  $x \cdot y = y \cdot x \implies u \in \langle \{x, y\} \rangle \implies v \in \langle \{x, y\} \rangle \implies u \cdot v = v \cdot u$   
**unfolding** *comm-root* **using** *bin-hull-keeps-root* **by** *blast*

**lemma**[*reversal-rule*]:  $\text{rev } \langle \{ \text{rev } u, \text{rev } v \} \rangle = \langle \{u, v\} \rangle$   
**by** (*simp* *add: rev-hull*)

**lemma**[*reversal-rule*]:  $\text{rev } w \in \langle \text{rev } \langle G \rangle \rangle \equiv w \in \langle G \rangle$   
**unfolding** *rev-in-conv* *rev-hull* *rev-rev-image-eq*.

## 3.2 Factorization into generators

We define a decomposition (or a factorization) of a into elements of a given generating set. Such a decomposition is well defined only if the decomposed word is an element of the hull. Even in that case, however, the decomposition need not be unique.

**definition** *decompose* :: 'a list set  $\Rightarrow$  'a list  $\Rightarrow$  'a list list (*Dec*  $\rightarrow$  [55,55] 56)  
**where**

*decompose*  $G$   $u = (\text{SOME } us. us \in \text{lists } (G - \{\varepsilon\}) \wedge \text{concat } us = u)$

**lemma** *dec-ex*: **assumes**  $u \in \langle G \rangle$  **shows**  $\exists us. (us \in \text{lists } (G - \{\varepsilon\}) \wedge \text{concat } us = u)$

**using** *assms* **unfolding** *image-def* *hull-concat-lists*[of  $G$ ] *mem-Collect-eq*  
**using** *del-emp-concat* *lists-minus'* **by** *metis*

**lemma** *dec-in-lists'*:  $u \in \langle G \rangle \implies (\text{Dec } G u) \in \text{lists } (G - \{\varepsilon\})$   
**unfolding** *decompose-def* **using** *someI-ex*[OF *dec-ex*] **by** *blast*

**lemma** *concat-dec*[*simp, intro*]:  $u \in \langle G \rangle \implies \text{concat } (\text{Dec } G u) = u$   
**unfolding** *decompose-def* **using** *someI-ex*[OF *dec-ex*] **by** *blast*

**lemma** *dec-emp* [*simp*]:  $Dec\ G\ \varepsilon = \varepsilon$   
**proof**–  
**have** *ex*:  $\varepsilon \in lists\ (G - \{\varepsilon\}) \wedge concat\ \varepsilon = \varepsilon$   
**by** *simp*  
**have** *all*:  $(us \in lists\ (G - \{\varepsilon\}) \wedge concat\ us = \varepsilon) \implies us = \varepsilon$  **for** *us*  
**using** *emp-concat-emp* **by** *auto*  
**show** *?thesis*  
**unfolding** *decompose-def*  
**using** *all[OF someI[of  $\lambda x. x \in lists\ (G - \{\varepsilon\}) \wedge concat\ x = \varepsilon, OF\ ex$ ]]*.  
**qed**

**lemma** *dec-nemp*:  $u \in \langle G \rangle - \{\varepsilon\} \implies Dec\ G\ u \neq \varepsilon$   
**using** *concat-dec[of u G]* **by** *force*

**lemma** *dec-nemp'[simp, intro]*:  $u \neq \varepsilon \implies u \in \langle G \rangle \implies Dec\ G\ u \neq \varepsilon$   
**using** *dec-nemp* **by** *blast*

**lemma** *dec-eq-emp-iff* [*simp*]: **assumes**  $u \in \langle G \rangle$  **shows**  $Dec\ G\ u = \varepsilon \longleftrightarrow u = \varepsilon$   
**using** *dec-nemp'[OF -  $\langle u \in \langle G \rangle \rangle]$*  **by** *auto*

**lemma** *dec-in-lists*[*simp*]:  $u \in \langle G \rangle \implies Dec\ G\ u \in lists\ G$   
**using** *dec-in-lists'* **by** *auto*

**lemma** *set-dec-sub*:  $x \in \langle G \rangle \implies set\ (Dec\ G\ x) \subseteq G$   
**using** *dec-in-lists* **by** *blast*

**lemma** *dec-hd*:  $u \neq \varepsilon \implies u \in \langle G \rangle \implies hd\ (Dec\ G\ u) \in G$   
**by** *simp*

**lemma** *non-gen-dec*: **assumes**  $u \in \langle G \rangle$   $u \notin G$  **shows**  $Dec\ G\ u \neq [u]$   
**using** *dec-in-lists[OF  $\langle u \in \langle G \rangle \rangle]$*  *Cons-in-lists-iff[of u  $\varepsilon$  G]*  $\langle u \notin G \rangle$  **by** *argo*

### 3.2.1 Refinement into a specific decomposition

We extend the decomposition to lists of words. This can be seen as a refinement of a previous decomposition of some word.

**definition** *refine* :: 'a list set  $\Rightarrow$  'a list list  $\Rightarrow$  'a list list (*Ref* -  $\rightarrow$  [51,51] 65)  
**where**

*refine*  $G\ us = concat(map\ (decompose\ G)\ us)$

**lemma** *ref-morph*:  $us \in lists\ \langle G \rangle \implies vs \in lists\ \langle G \rangle \implies refine\ G\ (us \cdot vs) = refine\ G\ us \cdot refine\ G\ vs$   
**unfolding** *refine-def* **by** *simp*

**lemma** *ref-conjug*:  
 $u \sim v \implies (Ref\ G\ u) \sim Ref\ G\ v$   
**unfolding** *refine-def* **by** (*intro* *conjug-concat-conjug* *map-conjug*)

**lemma** *ref-morph-plus*:  $us \in \text{lists } (\langle G \rangle - \{\varepsilon\}) \implies vs \in \text{lists } (\langle G \rangle - \{\varepsilon\}) \implies \text{refine } G (us \cdot vs) = \text{refine } G us \cdot \text{refine } G vs$

**unfolding** *refine-def* **by** *simp*

**lemma** *ref-pref-mono*:  $ws \in \text{lists } \langle G \rangle \implies us \leq_p ws \implies \text{Ref } G us \leq_p \text{Ref } G ws$

**unfolding** *prefix-def* **using** *ref-morph append-in-lists-dest'* *append-in-lists-dest* **by** *metis*

**lemma** *ref-suf-mono*:  $ws \in \text{lists } \langle G \rangle \implies us \leq_s ws \implies (\text{Ref } G us) \leq_s \text{Ref } G ws$

**unfolding** *suffix-def* **using** *ref-morph append-in-lists-dest'* *append-in-lists-dest* **by** *metis*

**lemma** *ref-fac-mono*:  $ws \in \text{lists } \langle G \rangle \implies us \leq_f ws \implies (\text{Ref } G us) \leq_f \text{Ref } G ws$

**unfolding** *sublist-altdef'* **using** *ref-pref-mono ref-suf-mono suf-in-lists* **by** *metis*

**lemma** *ref-pop-hd*:  $us \neq \varepsilon \implies us \in \text{lists } \langle G \rangle \implies \text{refine } G us = \text{decompose } G (\text{hd } us) \cdot \text{refine } G (\text{tl } us)$

**unfolding** *refine-def* **using** *list.simps(9)[of decompose G hd us tl us]* **by** *simp*

**lemma** *ref-in*:  $us \in \text{lists } \langle G \rangle \implies (\text{Ref } G us) \in \text{lists } (G - \{\varepsilon\})$

**proof** (*induction us*)

**case** (*Cons a us*)

**then show** *?case*

**using** *Cons.IH Cons.prem1 dec-in-lists'* **by** (*auto simp add: refine-def*)

**qed** (*simp add: refine-def*)

**lemma** *ref-in'[intro]*:  $us \in \text{lists } \langle G \rangle \implies (\text{Ref } G us) \in \text{lists } G$

**using** *ref-in* **by** *auto*

**lemma** *concat-ref*:  $us \in \text{lists } \langle G \rangle \implies \text{concat } (\text{Ref } G us) = \text{concat } us$

**proof** (*induction us*)

**case** (*Cons a us*)

**then show** *?case*

**using** *Cons.IH Cons.prem1 concat-dec refine-def* **by** (*auto simp add: refine-def*)

**qed** (*simp add: refine-def*)

**lemma** *ref-gen*:  $us \in \text{lists } B \implies B \subseteq \langle G \rangle \implies \text{Ref } G us \in \langle \text{decompose } G ' B \rangle$

**by** (*induct us, auto simp add: refine-def*)

**lemma** *ref-set*:  $ws \in \text{lists } \langle G \rangle \implies \text{set } (\text{Ref } G ws) = \bigcup (\text{set}'(\text{decompose } G)' \text{set } ws)$

**by** (*simp add: refine-def*)

**lemma** *emp-ref*: **assumes**  $us \in \text{lists } (\langle G \rangle - \{\varepsilon\})$  **and**  $\text{Ref } G us = \varepsilon$  **shows**  $us = \varepsilon$

**using** *emp-concat-emp[OF ‹us ∈ lists (⟨G⟩ - {ε})›]*

*concat-ref [OF lists-minus[OF assms(1)], unfolded ‹Ref G us = ε› concat.simps(1), symmetric]*

**by** *blast*

**lemma** *sing-ref-sing*:

```

assumes  $us \in \text{lists } (\langle G \rangle - \{\varepsilon\})$  and  $\text{refine } G \text{ us} = [b]$ 
shows  $us = [b]$ 
proof–
  have  $us \neq \varepsilon$ 
    using  $\langle \text{refine } G \text{ us} = [b] \rangle$  by (auto simp add: refine-def)
  have  $tl \text{ us} \in \text{lists } (\langle G \rangle - \{\varepsilon\})$  and  $hd \text{ us} \in \langle G \rangle - \{\varepsilon\}$ 
    using  $\text{list.collapse}[OF \langle us \neq \varepsilon \rangle]$   $\langle us \in \text{lists } (\langle G \rangle - \{\varepsilon\}) \rangle$  Cons-in-lists-iff[of
 $hd \text{ us } tl \text{ us } \langle G \rangle - \{\varepsilon\}$ ]
    by auto
  have  $Dec \ G \ (hd \ \text{us}) \neq \varepsilon$ 
    using  $\text{dec-nemp}[OF \langle hd \ \text{us} \in \langle G \rangle - \{\varepsilon\} \rangle]$ .
  have  $us \in \text{lists } \langle G \rangle$ 
    using  $\langle us \in \text{lists } (\langle G \rangle - \{\varepsilon\}) \rangle$  lists-minus by auto
  have  $\text{concat } us = b$ 
    using  $\langle us \in \text{lists } \langle G \rangle \rangle$  assms(2) concat-ref by force
  have  $\text{refine } G \ (tl \ \text{us}) = \varepsilon$ 
    using  $\text{ref-pop-hd}[OF \langle us \neq \varepsilon \rangle \langle us \in \text{lists } \langle G \rangle \rangle]$  unfolding  $\langle \text{refine } G \ \text{us} = [b] \rangle$ 
    using  $\langle Dec \ G \ (hd \ \text{us}) \neq \varepsilon \rangle$  Cons-eq-append-conv[of  $b \ \varepsilon \ (Dec \ G \ (hd \ \text{us})) \ (Ref \ G \ (tl \ \text{us}))$ ]
    Cons-eq-append-conv[of  $b \ \varepsilon \ (Dec \ G \ (hd \ \text{us})) \ (Ref \ G \ (tl \ \text{us}))$ ] append-is-Nil-conv[of
 $(tl \ \text{us})$ ]
     $(Ref \ G \ (tl \ \text{us}))$ ]
    by blast
  from  $\text{emp-ref}[OF \langle tl \ \text{us} \in \text{lists } (\langle G \rangle - \{\varepsilon\}) \rangle$  this, symmetric]
  have  $\varepsilon = tl \ \text{us}$ .
  from  $\text{this}[unfolded \ Nil-tl]$ 
  show ?thesis
    using  $\langle us \neq \varepsilon \rangle \langle \text{concat } us = b \rangle$  by auto
qed

```

```

lemma ref-ex: assumes  $Q \subseteq \langle G \rangle$  and  $us \in \text{lists } Q$ 
shows  $Ref \ G \ us \in \text{lists } (G - \{\varepsilon\})$  and  $\text{concat } (Ref \ G \ us) = \text{concat } us$ 
using  $\text{ref-in}[OF \text{sub-lists-mono}[OF \text{assms}]]$   $\text{concat-ref}[OF \text{sub-lists-mono}[OF \text{assms}]]$ .

```

### 3.3 Basis

An important property of monoids of words is that they have a unique minimal generating set. Which is the set consisting of indecomposable elements.

The simple element is defined as a word which has only trivial decomposition into generators: a singleton.

**definition** *simple-element* :: 'a list  $\Rightarrow$  'a list set  $\Rightarrow$  bool ( $\langle - \in B - \rangle$  [51,51] 50)  
**where**

*simple-element*  $b \ G = (b \in G \wedge (\forall \ us. \ us \in \text{lists } (G - \{\varepsilon\}) \wedge \text{concat } us = b \longrightarrow |us| = 1))$

**lemma** *simp-el-el*:  $b \in B \ G \Longrightarrow b \in G$   
**unfolding** *simple-element-def* **by** *blast*

**lemma** *simp-elD*:  $b \in B\ G \implies us \in lists\ (G - \{\varepsilon\}) \implies concat\ us = b \implies |us| = 1$

**unfolding** *simple-element-def* **by** *blast*

**lemma** *simp-el-sing*: **assumes**  $b \in B\ G\ us \in lists\ (G - \{\varepsilon\})\ concat\ us = b$  **shows**  $us = [b]$

**using**  $\langle concat\ us = b \rangle\ concat-len-one[OF\ simp-elD[OF\ assms]]\ sing-word[OF\ simp-elD[OF\ assms]]$  **by** *simp*

**lemma** *nonsimp*:  $us \in lists\ (G - \{\varepsilon\}) \implies concat\ us \in B\ G \implies us = [concat\ us]$

**using** *simp-el-sing*[of  $concat\ us\ G\ us$ ] **unfolding** *simple-element-def* **by** *blast*

**lemma** *emp-nonsimp*: **assumes**  $b \in B\ G$  **shows**  $b \neq \varepsilon$

**using** *simp-elD*[OF *assms*, of  $\varepsilon$ ] **by** *force*

**lemma** *basis-no-fact*: **assumes**  $u \in \langle G \rangle$  **and**  $v \in \langle G \rangle$  **and**  $u \cdot v \in B\ G$  **shows**  $u = \varepsilon \vee v = \varepsilon$

**proof**–

**have** *eq1*:  $concat\ ((Dec\ G\ u) \cdot (Dec\ G\ v)) = u \cdot v$

**using** *concat-morph*[of  $Dec\ G\ u\ Dec\ G\ v$ ]

**unfolding** *concat-dec*[OF  $\langle u \in \langle G \rangle \rangle$ ] *concat-dec*[OF  $\langle v \in \langle G \rangle \rangle$ ].

**have** *eq2*:  $(Dec\ G\ u) \cdot (Dec\ G\ v) = [u \cdot v]$

**using**  $\langle u \cdot v \in B\ G \rangle\ nonsimp$ [of  $(Dec\ G\ u) \cdot (Dec\ G\ v)$ ]

**unfolding** *eq1* *append-in-lists-conv*[of  $(Dec\ G\ u)\ (Dec\ G\ v)\ G - \{\varepsilon\}$ ]

**using** *dec-in-lists'*[OF  $\langle u \in \langle G \rangle \rangle$ ] *dec-in-lists'*[OF  $\langle v \in \langle G \rangle \rangle$ ]

**by** (*meson* *append-in-lists-conv*)

**have**  $Dec\ G\ u = \varepsilon \vee Dec\ G\ v = \varepsilon$

**using** *butlast-append*[of  $Dec\ G\ u\ Dec\ G\ v$ ] **unfolding** *eq2* *butlast.simps*(2)[of  $u \cdot v\ \varepsilon$ ]

**using** *Nil-is-append-conv*[of  $Dec\ G\ u\ butlast\ (Dec\ G\ v)$ ] **by** *auto*

**thus** *?thesis*

**using** *concat-dec*[OF  $\langle u \in \langle G \rangle \rangle$ ] *concat-dec*[OF  $\langle v \in \langle G \rangle \rangle$ ]

*concat.simps*(1)

**by** *auto*

**qed**

**lemma** *simp-elI*:

**assumes**  $b \in G$  **and**  $b \neq \varepsilon$  **and** *all*:  $\forall\ u\ v.\ u \neq \varepsilon \wedge u \in \langle G \rangle \wedge v \neq \varepsilon \wedge v \in \langle G \rangle \implies u \cdot v \neq b$

**shows**  $b \in B\ G$

**unfolding** *simple-element-def*

**proof**(*rule* *conjI*)

**show**  $\forall\ us.\ us \in lists\ (G - \{\varepsilon\}) \wedge concat\ us = b \implies |us| = 1$

**proof** (*rule* *allI*, *rule* *impI*, *elim* *conjE*)

**fix**  $us$  **assume**  $us \in lists\ (G - \{\varepsilon\})\ concat\ us = b$

**hence**  $us \neq \varepsilon$  **using**  $\langle b \neq \varepsilon \rangle\ concat.simps$ (1) **by** *blast*

**hence**  $hd\ us \in \langle G \rangle$  **and**  $hd\ us \neq \varepsilon$

**using**  $\langle us \in lists\ (G - \{\varepsilon\}) \rangle\ lists-hd-in-set\ gen-in$  **by** *auto*



```

have  $tl\ us = \varepsilon$ 
proof(rule ccontr)
  assume  $tl\ us \neq \varepsilon$ 
  from nemp-concat-hull[of tl us, OF this tl-in-lists[OF  $\langle us \in lists\ (G - \{\varepsilon\}) \rangle$ ]]
  show False
  using all  $\langle hd\ us \neq \varepsilon \rangle \langle hd\ us \in \langle G \rangle \rangle$  concat.simps(2)[of hd us tl us, symmetric]
    unfolding list.collapse[OF  $\langle us \neq \varepsilon \rangle$ ]  $\langle concat\ us = b \rangle$ 
    by blast
qed
thus  $|us| = 1$ 
  using long-list-tl[of us] Nitpick.size-list-simp(2)[of us]  $\langle us \neq \varepsilon \rangle$  by fastforce
qed
qed (simp add:  $\langle b \in G \rangle$ )

```

```

lemma simp-el-indecomp:
  assumes  $b \in B\ G\ u \neq \varepsilon\ u \in \langle G \rangle\ v \neq \varepsilon\ v \in \langle G \rangle$ 
  shows  $u \cdot v \neq b$ 
  using basis-no-fact[OF  $\langle u \in \langle G \rangle \rangle \langle v \in \langle G \rangle \rangle$ ]  $\langle u \neq \varepsilon \rangle \langle v \neq \varepsilon \rangle \langle b \in B\ G \rangle$  by blast

```

We are ready to define the *basis* as the set of all simple elements.

```

definition basis :: 'a list set  $\Rightarrow$  'a list set ( $\langle \mathfrak{B} \rightarrow [51] \rangle$ ) where
  basis  $G = \{x. x \in B\ G\}$ 

```

```

lemma basis-inI:  $x \in B\ G \Longrightarrow x \in \mathfrak{B}\ G$ 
  unfolding basis-def by simp

```

```

lemma basisD:  $x \in \mathfrak{B}\ G \Longrightarrow x \in B\ G$ 
  unfolding basis-def by simp

```

```

lemma emp-not-basis:  $x \in \mathfrak{B}\ G \Longrightarrow x \neq \varepsilon$ 
  using basisD emp-nonsimp by blast

```

```

lemma basis-sub:  $\mathfrak{B}\ G \subseteq G$ 
  unfolding basis-def simple-element-def by simp

```

```

lemma basis-drop-emp:  $(\mathfrak{B}\ G) - \{\varepsilon\} = \mathfrak{B}\ G$ 
  using emp-not-basis by blast

```

```

lemma simp-el-hull': assumes  $b \in B\ \langle G \rangle$  shows  $b \in B\ G$ 
proof-
  have all:  $\forall us. us \in lists\ (G - \{\varepsilon\}) \wedge concat\ us = b \longrightarrow |us| = 1$ 
    using assms lists-gen-to-hull unfolding simple-element-def by metis
  have  $b \in \langle G \rangle$ 
    using assms simp-elD unfolding simple-element-def by blast
  obtain bs where  $bs \in lists\ (G - \{\varepsilon\})$  and  $concat\ bs = b$ 
    using dec-ex[OF  $\langle b \in \langle G \rangle \rangle$ ] by blast
  have  $b \in G$ 
    using
      lists-minus[OF  $\langle bs \in lists\ (G - \{\varepsilon\}) \rangle$ ]

```

$lists\text{-}gen\text{-}to\text{-}hull[OF \langle bs \in lists (G - \{\varepsilon\}) \rangle, THEN \text{ nonsimp}[of bs \langle G \rangle],$   
 $unfolded \langle concat bs = b \rangle, OF \langle b \in B \langle G \rangle \rangle]$  **by force**  
**thus**  $b \in B G$   
**by** (*simp add: all simple-element-def*)  
**qed**

**lemma** *simp-el-hull*: **assumes**  $b \in B G$  **shows**  $b \in B \langle G \rangle$   
**using** *simp-elI*[*of b \langle G \rangle, OF - emp-nonsimp[OF assms]*] **unfolding** *self-gen*  
**using** *simp-el-indecomp*[*OF \langle b \in B G \rangle gen-in[OF simp-el-el[OF assms]*] **by** *pres-*  
*burger*

**lemma** *concat-tl-basis*:  $x \# xs \in lists \mathfrak{B} G \implies concat xs \in \langle G \rangle$   
**unfolding** *hull-concat-lists basis-def simple-element-def* **by auto**

The basis generates the hull

**lemma** *set-concat-len*: **assumes**  $us \in lists (G - \{\varepsilon\})$   $1 < |us|$   $u \in set us$  **shows**  
 $|u| < |concat us|$   
**proof-**

**obtain**  $x y$  **where**  $us = x \cdot [u] \cdot y$  **and**  $x \cdot y \neq \varepsilon$   
**using** *split-list-long*[*OF \langle 1 < |us| \rangle \langle u \in set us \rangle*].  
**hence**  $x \cdot y \in lists (G - \{\varepsilon\})$   
**using**  $\langle us \in lists (G - \{\varepsilon\}) \rangle$  **by auto**  
**hence**  $|concat (x \cdot y)| \neq 0$   
**using**  $\langle x \cdot y \neq \varepsilon \rangle$  *in-lists-conv-set* **by force**  
**hence**  $|concat us| = |u| + |concat x| + |concat y|$   
**using** *lenmorph \langle us = x \cdot [u] \cdot y \rangle* **by simp**  
**thus** *?thesis*  
**using**  $\langle |concat (x \cdot y)| \neq 0 \rangle$  **by auto**  
**qed**

**lemma** *non-simp-dec*: **assumes**  $w \notin \mathfrak{B} G$   $w \neq \varepsilon$   $w \in G$   
**obtains**  $us$  **where**  $us \in lists (G - \{\varepsilon\})$   $1 < |us|$   $concat us = w$   
**using**  $\langle w \neq \varepsilon \rangle \langle w \in G \rangle \langle w \notin \mathfrak{B} G \rangle$  *basis-inI*[*of w G, unfolded simple-element-def*]  
**using** *concat.simps(1) nemp-le-len nless-le* **by metis**

**lemma** *basis-gen*:  $w \in G \implies w \in \langle \mathfrak{B} G \rangle$

**proof** (*induct length w arbitrary; w rule: less-induct*)

**case** *less*

**show** *?case*

**proof** (*cases w \in \mathfrak{B} G \vee w = \varepsilon, blast*)

**assume**  $\neg (w \in \mathfrak{B} G \vee w = \varepsilon)$

**with**  $\langle w \in G \rangle$

**obtain**  $us$  **where**  $us \in lists (G - \{\varepsilon\})$   $1 < |us|$   $concat us = w$

**using** *non-simp-dec* **by blast**

**have**  $u \in set us \implies u \in \langle \mathfrak{B} G \rangle$  **for**  $u$

**using** *lists-minus*[*OF \langle us \in lists (G - \{\varepsilon\}) \rangle less(1)[OF set-concat-len[OF*  
 $\langle us \in lists (G - \{\varepsilon\}) \rangle \langle 1 < |us| \rangle, unfolded \langle concat us = w \rangle, of u$ ]

**by blast**

```

thus  $w \in \langle \mathfrak{B} G \rangle$ 
  unfolding  $\langle \text{concat } us = w \rangle$  [symmetric]
  using hull-closed-lists[OF in-listsI] by blast
qed
qed

```

```

lemmas basis-concat-listsE = hull-concat-listsE[OF basis-gen]

```

```

theorem basis-gen-hull:  $\langle \mathfrak{B} G \rangle = \langle G \rangle$ 

```

```

proof

```

```

  show  $\langle \mathfrak{B} G \rangle \subseteq \langle G \rangle$ 

```

```

    unfolding hull-concat-lists basis-def simple-element-def by auto

```

```

  show  $\langle G \rangle \subseteq \langle \mathfrak{B} G \rangle$ 

```

```

  proof

```

```

    fix  $x$  show  $x \in \langle G \rangle \implies x \in \langle \mathfrak{B} G \rangle$ 

```

```

    proof (induct rule: hull.induct)

```

```

      show  $\bigwedge w1 w2. w1 \in G \implies w2 \in \langle \mathfrak{B} G \rangle \implies w1 \cdot w2 \in \langle \mathfrak{B} G \rangle$ 

```

```

        using hull-closed[of -  $\mathfrak{B} G$ ] basis-gen[of -  $G$ ] by blast

```

```

      qed auto

```

```

    qed

```

```

qed

```

```

lemma basis-gen-hull':  $\langle \mathfrak{B} \langle G \rangle \rangle = \langle G \rangle$ 

```

```

  using basis-gen-hull self-gen by blast

```

```

theorem basis-of-hull:  $\mathfrak{B} \langle G \rangle = \mathfrak{B} G$ 

```

```

proof

```

```

  show  $\mathfrak{B} G \subseteq \mathfrak{B} \langle G \rangle$ 

```

```

    using basisD basis-inI simp-el-hull by blast

```

```

  show  $\mathfrak{B} \langle G \rangle \subseteq \mathfrak{B} G$ 

```

```

    using basisD basis-inI simp-el-hull' by blast

```

```

qed

```

```

lemma basis-hull-sub:  $\mathfrak{B} \langle G \rangle \subseteq G$ 

```

```

  using basis-of-hull basis-sub by blast

```

The basis is the smallest generating set.

```

theorem basis-sub-gen:  $\langle S \rangle = \langle G \rangle \implies \mathfrak{B} G \subseteq S$ 

```

```

  using basis-of-hull basis-sub by metis

```

```

lemma basis-min-gen:  $S \subseteq \mathfrak{B} G \implies \langle S \rangle = G \implies S = \mathfrak{B} G$ 

```

```

  using basis-of-hull basis-sub by blast

```

```

lemma basisI:  $(\bigwedge B. \langle B \rangle = \langle C \rangle \implies C \subseteq B) \implies \mathfrak{B} \langle C \rangle = C$ 

```

```

  using basis-gen-hull basis-min-gen basis-of-hull by metis

```

```

thm basis-inI

```

An arbitrary set between basis and the hull is generating...

**lemma** *gen-sets*: **assumes**  $\mathfrak{B} G \subseteq S$  **and**  $S \subseteq \langle G \rangle$  **shows**  $\langle S \rangle = \langle G \rangle$   
**using** *image-mono*[*OF lists-mono*[*of*  $S \langle G \rangle$ ], *of concat*, *OF*  $\langle S \subseteq \langle G \rangle \rangle$ ] *im-*  
*age-mono*[*OF lists-mono*[*of*  $\mathfrak{B} G S$ ], *of concat*, *OF*  $\langle \mathfrak{B} G \subseteq S \rangle$ ]  
**unfolding** *sym*[*OF hull-concat-lists*] *basis-gen-hull*  
**using** *subset-antisym*[*of*  $\langle S \rangle \langle G \rangle$ ] *self-gen* **by** *metis*

... and has the same basis

**lemma** *basis-sets*:  $\mathfrak{B} G \subseteq S \implies S \subseteq \langle G \rangle \implies \mathfrak{B} G = \mathfrak{B} S$   
**by** (*metis basis-of-hull gen-sets*)

Any nonempty composed element has a decomposition into basis elements with many useful properties

**lemma** *non-simp-fac*: **assumes**  $w \neq \varepsilon$  **and**  $w \in \langle G \rangle$  **and**  $w \notin \mathfrak{B} G$   
**obtains** *us* **where**  $1 < |us|$  **and**  $us \neq \varepsilon$  **and**  $us \in \text{lists } \mathfrak{B} G$  **and**  
 $hd\ us \neq \varepsilon$  **and**  $hd\ us \in \langle G \rangle$  **and**  
 $concat(tl\ us) \neq \varepsilon$  **and**  $concat(tl\ us) \in \langle G \rangle$  **and**  
 $w = hd\ us \cdot concat(tl\ us)$

**proof**–

**obtain** *us* **where**  $us \in \text{lists } \mathfrak{B} G$  **and**  $concat\ us = w$   
**using**  $\langle w \in \langle G \rangle \rangle$  *dec-in-lists*[*of*  $w \mathfrak{B} G$ ] *concat-dec*[*of*  $w \mathfrak{B} G$ ]  
**unfolding** *basis-gen-hull*  
**by** *blast*  
**hence**  $us \neq \varepsilon$   
**using**  $\langle w \neq \varepsilon \rangle$  *concat.simps(1)*  
**by** *blast*  
**from** *lists-hd-in-set*[*OF this*  $\langle us \in \text{lists } \mathfrak{B} G \rangle$ , *THEN emp-not-basis*]  
 $lists-hd-in-set$ [*OF this*  $\langle us \in \text{lists } \mathfrak{B} G \rangle$ , *THEN gen-in*[*of*  $hd\ us \mathfrak{B} G$ , *unfolded*  
*basis-gen-hull*]]  
**have**  $hd\ us \neq \varepsilon$  **and**  $hd\ us \in \langle G \rangle$ .  
**have**  $1 < |us|$   
**using**  $\langle w \notin \mathfrak{B} G \rangle$  *lists-hd-in-set*[*OF*  $\langle us \neq \varepsilon \rangle \langle us \in \text{lists } \mathfrak{B} G \rangle$ ]  $\langle w \neq \varepsilon \rangle \langle w \in \langle G \rangle \rangle$   
 $concat-len-one$ [*of* *us*, *unfolded*  $\langle concat\ us = w \rangle$ ]  
 $\langle us \neq \varepsilon \rangle$  *leI nemp-le-len order-antisym-conv* **by** *metis*  
**from** *nemp-concat-hull*[*OF long-list-tl*[*OF this*], *of*  $\mathfrak{B} G$ , *unfolded basis-drop-emp*  
*basis-gen-hull*, *OF tl-in-lists*[*OF*  $\langle us \in \text{lists } \mathfrak{B} G \rangle$ ]]  
**have**  $concat\ (tl\ us) \in \langle G \rangle$  **and**  $concat(tl\ us) \neq \varepsilon$ .  
**have**  $w = hd\ us \cdot concat(tl\ us)$   
**using**  $\langle us \neq \varepsilon \rangle \langle us \in \text{lists } \mathfrak{B} G \rangle \langle concat\ us = w \rangle$  *concat.simps(2)*[*of*  $hd\ us\ tl\ us$ ] *list.collapse*[*of* *us*]  
**by** *argo*  
**from** *that*[*OF*  $\langle 1 < |us| \rangle \langle us \neq \varepsilon \rangle \langle us \in \text{lists } \mathfrak{B} G \rangle \langle hd\ us \neq \varepsilon \rangle \langle hd\ us \in \langle G \rangle \rangle$   
 $\langle concat\ (tl\ us) \neq \varepsilon \rangle \langle concat\ (tl\ us) \in \langle G \rangle \rangle$  *this*]  
**show** *thesis*.  
**qed**

**lemma** *basis-dec*:  $p \in \langle G \rangle \implies s \in \langle G \rangle \implies p \cdot s \in \mathfrak{B} G \implies p = \varepsilon \vee s = \varepsilon$   
**using** *basis-no-fact*[*of*  $p\ G\ s$ ] **unfolding** *basis-def* **by** *simp*

**lemma** *non-simp-fac'*:  $w \notin \mathfrak{B} G \implies w \neq \varepsilon \implies w \in \langle G \rangle \implies \exists us. us \in \text{lists } (G - \{\varepsilon\}) \wedge w = \text{concat } us \wedge |us| \neq 1$

**by** (*metis basis-inI concat-len-one dec-in-lists' dec-in-lists concat-dec dec-nemp lists-hd-in-set nemp-elem-setI simple-element-def*)

**lemma** *emp-gen-iff*:  $(G - \{\varepsilon\}) = \{\} \longleftrightarrow \langle G \rangle = \{\varepsilon\}$

**proof**

**assume**  $G - \{\varepsilon\} = \{\}$  **show**  $\langle G \rangle = \{\varepsilon\}$

**using** *hull-drop-one*[of  $G$ , *unfolded*  $\langle G - \{\varepsilon\} = \{\} \rangle$  *emp-gen-set*] **by** *simp*

**next**

**assume**  $\langle G \rangle = \{\varepsilon\}$  **thus**  $G - \{\varepsilon\} = \{\}$  **by** *blast*

**qed**

**lemma** *emp-basis-iff*:  $\mathfrak{B} G = \{\} \longleftrightarrow G - \{\varepsilon\} = \{\}$

**using** *emp-gen-iff*[of  $\mathfrak{B} G$ , *unfolded* *basis-gen-hull basis-drop-emp, folded emp-gen-iff*].

### 3.4 Code

**locale** *nemp-words* =

**fixes**  $G$

**assumes** *emp-not-in*:  $\varepsilon \notin G$

**begin**

**lemma** *drop-empD*:  $G - \{\varepsilon\} = G$

**using** *emp-not-in* **by** *simp*

**lemmas** *emp-concat-emp'* = *emp-concat-emp*[of  $G$ , *unfolded* *drop-empD*]

**thm** *disjE*[*OF* *ruler*[*OF* *take-is-prefix take-is-prefix*]]

**lemma** *concat-take-mono*: **assumes**  $ws \in \text{lists } G$  **and**  $\text{concat } (\text{take } i \text{ } ws) \leq_p \text{concat } (\text{take } j \text{ } ws)$

**shows**  $\text{take } i \text{ } ws \leq_p \text{take } j \text{ } ws$

**proof** (*rule* *disjE*[*OF* *ruler*[*OF* *take-is-prefix take-is-prefix*]])

**assume**  $\text{take } j \text{ } ws \leq_p \text{take } i \text{ } ws$

**from** *prefixE*[*OF* *this*]

**obtain**  $us$  **where**  $\text{take } i \text{ } ws = \text{take } j \text{ } ws \cdot us$ .

**hence**  $us \in \text{lists } G$  **using**  $\langle ws \in \text{lists } G \rangle$

**using** *append-in-lists-conv take-in-lists* **by** *metis*

**have**  $\text{concat } (\text{take } j \text{ } ws) = \text{concat } (\text{take } i \text{ } ws)$

**using** *pref-concat-pref*[*OF*  $\langle \text{take } j \text{ } ws \leq_p \text{take } i \text{ } ws \rangle$ ] *assms*(2) **by** *simp*

**from** *arg-cong*[*OF*  $\langle \text{take } i \text{ } ws = \text{take } j \text{ } ws \cdot us \rangle$ , of *concat, unfolded concat-morph, unfolded this*]

**have**  $us = \varepsilon$

**using**  $\langle us \in \text{lists } G \rangle$  *emp-concat-emp'* **by** *blast*

**thus**  $\text{take } i \text{ } ws \leq_p \text{take } j \text{ } ws$

**using**  $\langle \text{take } i \text{ } ws = \text{take } j \text{ } ws \cdot us \rangle$  **by** *force*

**qed**

**lemma** *nemp*:  $x \in G \implies x \neq \varepsilon$   
**using** *emp-not-in* **by** *blast*

**lemma** *code-concat-eq-emp-iff* [*simp*]:  $us \in \text{lists } G \implies \text{concat } us = \varepsilon \iff us = \varepsilon$   
**unfolding** *in-lists-conv-set concat-eq-Nil-conv*  
**by** (*simp add: nemp*)

**lemma** *root-dec-inj-on*: *inj-on* ( $\lambda x. [\varrho x]^{\textcircled{\varrho}}(e_{\varrho} x)$ ) *G*  
**unfolding** *inj-on-def* **using** *primroot-exp-eq*  
**unfolding** *concat-sing-pow*[*of*  $\varrho$  -, *symmetric*] **by** *metis*

**lemma** *concat-root-dec-eq-concat*:  
**assumes**  $ws \in \text{lists } G$   
**shows**  $\text{concat}(\text{concat}(\text{map}(\lambda x. [\varrho x]^{\textcircled{\varrho}}(e_{\varrho} x)) ws)) = \text{concat } ws$   
*(is*  $\text{concat}(\text{concat}(\text{map } ?R ws)) = \text{concat } ws$ *)*  
**using** *assms*  
**by** (*induction ws, simp-all add: nemp*)

**end**

A basis freely generating its hull is called a *code*. By definition, this means that generated elements have unique factorizations into the elements of the code.

**locale** *code* =  
**fixes**  $\mathcal{C}$   
**assumes** *is-code*:  $xs \in \text{lists } \mathcal{C} \implies ys \in \text{lists } \mathcal{C} \implies \text{concat } xs = \text{concat } ys \implies xs = ys$   
**begin**

**lemma** *code-not-comm*:  $x \in \mathcal{C} \implies y \in \mathcal{C} \implies x \neq y \implies x \cdot y \neq y \cdot x$   
**using** *is-code*[*of*  $[x,y]$   $[y,x]$ ] **by** *auto*

**lemma** *emp-not-in*:  $\varepsilon \notin \mathcal{C}$   
**proof**  
**assume**  $\varepsilon \in \mathcal{C}$   
**hence**  $[\ ] \in \text{lists } \mathcal{C}$  **and**  $[\varepsilon] \in \text{lists } \mathcal{C}$  **and**  $\text{concat } [\ ] = \text{concat } [\varepsilon]$  **and**  $[\ ] \neq [\varepsilon]$   
**by** *simp+*  
**thus** *False*  
**using** *is-code* **by** *blast*  
**qed**

**lemma** *nemp*:  $u \in \mathcal{C} \implies u \neq \varepsilon$   
**using** *emp-not-in* **by** *force*

**sublocale** *nemp-words*  $\mathcal{C}$   
**using** *emp-not-in* **by** *unfold-locales*

**lemma** *code-simple*:  $c \in \mathcal{C} \implies c \in B \mathcal{C}$   
**unfolding** *simple-element-def*  
**proof**  
**fix**  $c$  **assume**  $c \in \mathcal{C}$   
**hence**  $[c] \in \text{lists } \mathcal{C}$   
**by** *simp*  
**show**  $\forall us. us \in \text{lists } (\mathcal{C} - \{\varepsilon\}) \wedge \text{concat } us = c \longrightarrow |us| = 1$   
**proof**  
**fix**  $us$   
**{assume**  $us \in \text{lists } (\mathcal{C} - \{\varepsilon\})$  **concat**  $us = c$   
**hence**  $us \in \text{lists } \mathcal{C}$  **by** *blast*  
**hence**  $us = [c]$   
**using**  $\langle \text{concat } us = c \rangle \langle c \in \mathcal{C} \rangle$  *is-code*[*of*  $[c]$ , *OF*  $\langle [c] \in \text{lists } \mathcal{C} \rangle \langle us \in \text{lists } \mathcal{C} \rangle$ ] *emp-not-in* **by** *auto*  
**thus**  $us \in \text{lists } (\mathcal{C} - \{\varepsilon\}) \wedge \text{concat } us = c \longrightarrow |us| = 1$   
**using** *sing-len*[*of*  $c$ ] **by** *fastforce*  
**qed**  
**qed**

**lemma** *code-is-basis*:  $\mathfrak{B} \mathcal{C} = \mathcal{C}$   
**using** *code-simple* *basis-def*[*of*  $\mathcal{C}$ ] *basis-sub* **by** *blast*

**lemma** *code-unique-dec'*:  $us \in \text{lists } \mathcal{C} \implies \text{Dec } \mathcal{C} (\text{concat } us) = us$   
**using** *dec-in-lists*[*of*  $\text{concat } us \mathcal{C}$ , *THEN* *is-code*, *of*  $us$ ]  
*concat-dec*[*of*  $\text{concat } us \mathcal{C}$ ] *hull-concat-lists*[*of*  $\mathcal{C}$ ] *image-eqI*[*of*  $\text{concat } us$   $\text{concat } us \text{ lists } \mathcal{C}$ ]  
**by** *argo*

**lemma** *code-unique-dec* [*intro!*]:  $us \in \text{lists } \mathcal{C} \implies \text{concat } us = u \implies \text{Dec } \mathcal{C} u = us$   
**using** *code-unique-dec'* **by** *blast*

**lemma** *triv-refine*[*intro!*] :  $us \in \text{lists } \mathcal{C} \implies \text{concat } us = u \implies \text{Ref } \mathcal{C} [u] = us$   
**using** *code-unique-dec'* **by** (*auto simp add: refine-def*)

**lemma** *code-unique-ref*:  $us \in \text{lists } \langle \mathcal{C} \rangle \implies \text{refine } \mathcal{C} us = \text{decompose } \mathcal{C} (\text{concat } us)$   
**proof**–  
**assume**  $us \in \text{lists } \langle \mathcal{C} \rangle$   
**hence**  $\text{concat } (\text{refine } \mathcal{C} us) = \text{concat } us$   
**using** *concat-ref* **by** *blast*  
**hence** *eq*:  $\text{concat } (\text{refine } \mathcal{C} us) = \text{concat } (\text{decompose } \mathcal{C} (\text{concat } us))$   
**using** *concat-dec*[*OF* *hull-closed-lists*[*OF*  $\langle us \in \text{lists } \langle \mathcal{C} \rangle \rangle$ ]] **by** *auto*  
**have** *dec*:  $\text{Dec } \mathcal{C} (\text{concat } us) \in \text{lists } \mathcal{C}$   
**using**  $\langle us \in \text{lists } \langle \mathcal{C} \rangle \rangle$  *dec-in-lists* *hull-closed-lists*  
**by** *metis*  
**have**  $\text{Ref } \mathcal{C} us \in \text{lists } \mathcal{C}$   
**using** *lists-minus*[*OF* *ref-in*[*OF*  $\langle us \in \text{lists } \langle \mathcal{C} \rangle \rangle$ ]].  
**from** *is-code*[*OF* *this dec eq*]  
**show** *?thesis*.  
**qed**

**lemma** *refI* [*intro*]:  $us \in \text{lists } \langle \mathcal{C} \rangle \implies vs \in \text{lists } \mathcal{C} \implies \text{concat } vs = \text{concat } us \implies$   
 $\text{Ref } \mathcal{C} \text{ } us = vs$   
**unfolding** *code-unique-ref code-unique-dec..*

**lemma** *code-dec-morph*: **assumes**  $x \in \langle \mathcal{C} \rangle \ y \in \langle \mathcal{C} \rangle$   
**shows**  $(\text{Dec } \mathcal{C} \ x) \cdot (\text{Dec } \mathcal{C} \ y) = \text{Dec } \mathcal{C} \ (x \cdot y)$

**proof**–

**have** *eq*:  $(\text{Dec } \mathcal{C} \ x) \cdot (\text{Dec } \mathcal{C} \ y) = \text{Dec } \mathcal{C} \ (\text{concat } ((\text{Dec } \mathcal{C} \ x) \cdot (\text{Dec } \mathcal{C} \ y)))$   
**using** *dec-in-lists*[*OF*  $\langle x \in \langle \mathcal{C} \rangle \rangle$ ] *dec-in-lists*[*OF*  $\langle y \in \langle \mathcal{C} \rangle \rangle$ ]  
*code.code-unique-dec*[*OF* *code-axioms*, *of*  $(\text{Dec } \mathcal{C} \ x) \cdot (\text{Dec } \mathcal{C} \ y)$ , *unfolded*  
*append-in-lists-conv*, *symmetric*]

**by** *presburger*

**moreover** **have**  $\text{concat } ((\text{Dec } \mathcal{C} \ x) \cdot (\text{Dec } \mathcal{C} \ y)) = (x \cdot y)$

**using** *concat-morph*[*of* *Dec*  $\mathcal{C} \ x$  *Dec*  $\mathcal{C} \ y$ ]

**unfolding** *concat-dec*[*OF*  $\langle x \in \langle \mathcal{C} \rangle \rangle$ ] *concat-dec*[*OF*  $\langle y \in \langle \mathcal{C} \rangle \rangle$ ].

**ultimately** **show**  $(\text{Dec } \mathcal{C} \ x) \cdot (\text{Dec } \mathcal{C} \ y) = \text{Dec } \mathcal{C} \ (x \cdot y)$

**by** *argo*

**qed**

**lemma** *dec-pow*:  $rs \in \langle \mathcal{C} \rangle \implies \text{Dec } \mathcal{C} \ (rs^{\textcircled{k}}) = (\text{Dec } \mathcal{C} \ rs)^{\textcircled{k}}$

**proof**(*induction* *k* *arbitrary*: *rs*, *fastforce*)

**case** (*Suc* *k*)

**then** **show** *?case*

**using** *code-dec-morph pow-Suc power-in* **by** *metis*

**qed**

**lemma** *code-el-dec*:  $c \in \mathcal{C} \implies \text{decompose } \mathcal{C} \ c = [c]$

**by** *fastforce*

**lemma** *code-ref-list*:  $us \in \text{lists } \mathcal{C} \implies \text{refine } \mathcal{C} \ us = us$

**proof** (*induct* *us*)

**case** (*Cons* *a* *us*)

**then** **show** *?case* **using** *code-el-dec*

**unfolding** *refine-def* **by** *simp*

**qed** (*simp* *add*: *refine-def*)

**lemma** *code-ref-gen*: **assumes**  $G \subseteq \langle \mathcal{C} \rangle \ u \in \langle G \rangle$

**shows**  $\text{Dec } \mathcal{C} \ u \in \langle \text{decompose } \mathcal{C} \ 'G \rangle$

**proof**–

**have** *refine*  $\mathcal{C} \ (\text{Dec } G \ u) = \text{Dec } \mathcal{C} \ u$

**using** *dec-in-lists*[*OF*  $\langle u \in \langle G \rangle \rangle$ ]  $\langle G \subseteq \langle \mathcal{C} \rangle \rangle$  *code-unique-ref*[*of* *Dec*  $G \ u$ ,  
*unfolded* *concat-dec*[*OF*  $\langle u \in \langle G \rangle \rangle$ ]] **by** *blast*

**from** *ref-gen*[*of* *Dec*  $G \ u \ G$ , *OF* *dec-in-lists*[*OF*  $\langle u \in \langle G \rangle \rangle$ ], *of*  $\mathcal{C}$ , *unfolded* *this*,  
*OF*  $\langle G \subseteq \langle \mathcal{C} \rangle \rangle$ ]

**show** *?thesis*.

**qed**

**find-theorems**  $\rho \ ?x^{\textcircled{k}} \ ?k = ?x \ 0 < ?k$



**lemma** *code-rev-code*:  $\text{code } (\text{rev } \langle \mathcal{C} \rangle)$   
**proof**  
**fix**  $xs\ ys$  **assume**  $xs \in \text{lists } (\text{rev } \langle \mathcal{C} \rangle)\ ys \in \text{lists } (\text{rev } \langle \mathcal{C} \rangle)\ \text{concat } xs = \text{concat } ys$   
**have**  $\text{map rev } (\text{rev } xs) \in \text{lists } \mathcal{C}$  **and**  $\text{map rev } (\text{rev } ys) \in \text{lists } \mathcal{C}$   
**using**  $\text{rev-in-lists}[OF \langle xs \in \text{lists } (\text{rev } \langle \mathcal{C} \rangle) \rangle]\ \text{rev-in-lists}[OF \langle ys \in \text{lists } (\text{rev } \langle \mathcal{C} \rangle) \rangle]$   
*map-rev-lists-rev*  
**by**  $(\text{metis imageI})+$   
**moreover** **have**  $\text{concat } (\text{map rev } (\text{rev } xs)) = \text{concat } (\text{map rev } (\text{rev } ys))$   
**unfolding**  $\text{rev-concat}[\text{symmetric}]$  **using**  $\langle \text{concat } xs = \text{concat } ys \rangle$  **by** *blast*  
**ultimately** **have**  $\text{map rev } (\text{rev } xs) = \text{map rev } (\text{rev } ys)$   
**using** *is-code* **by** *blast*  
**thus**  $xs = ys$   
**using**  $\langle \text{concat } xs = \text{concat } ys \rangle$  **by** *simp*  
**qed**

**lemma** *dec-rev* [*simp, reversal-rule*]:  
 $u \in \langle \mathcal{C} \rangle \implies \text{Dec rev } \langle \mathcal{C} \rangle (\text{rev } u) = \text{rev } (\text{map rev } (\text{Dec } \mathcal{C} u))$   
**by**  $(\text{auto simp only: rev-map lists-image rev-in-lists rev-concat}[\text{symmetric}]\ \text{dec-in-lists intro!: code-rev-code code.code-unique-dec imageI del: in-listsI})$

**lemma** *elem-comm-sing-set*: **assumes**  $ws \in \text{lists } \mathcal{C}$  **and**  $ws \neq \varepsilon$  **and**  $u \in \mathcal{C}$  **and**  
 $\text{concat } ws \cdot u = u \cdot \text{concat } ws$   
**shows**  $\text{set } ws = \{u\}$   
**using** *assms*  
**proof**–  
**have**  $\text{concat } (ws \cdot [u]) = \text{concat } ([u] \cdot ws)$   
**using** *assms* **by** *simp*  
**have**  $ws \cdot [u] = [u] \cdot ws$   
**using**  $\langle u \in \mathcal{C} \rangle \langle ws \in \text{lists } \mathcal{C} \rangle$  *is-code*[*OF* - -  $\langle \text{concat } (ws \cdot [u]) = \text{concat } ([u] \cdot ws) \rangle$ ]  
**by** *simp*  
**from** *comm-nemp-pows-posE*[*OF* *this*  $\langle ws \neq \varepsilon \rangle$  *not-Cons-self2*[*of*  $u\ \varepsilon$ ]]  
**obtain**  $t\ k\ m$  **where**  $ws = t^{\textcircled{a}}k\ [u] = t^{\textcircled{a}}m\ 0 < k\ 0 < m$  *primitive*  $t$ .  
**hence**  $t = [u]$   
**by** *force*  
**show**  $\text{set } ws = \{u\}$   
**using** *sing-pow-set*[*OF*  $\langle 0 < k \rangle$ ] **unfolding**  $\langle ws = t^{\textcircled{a}}k \rangle \langle t = [u] \rangle$ .  
**qed**

**lemma** *pure-code-pres-prim*: **assumes** *pure*:  $\forall u \in \langle \mathcal{C} \rangle. \varrho u \in \langle \mathcal{C} \rangle$  **and**  
 $w \in \langle \mathcal{C} \rangle$  **and** *primitive*  $(\text{Dec } \mathcal{C} w)$   
**shows** *primitive*  $w$   
**proof**–  
**obtain**  $k$  **where**  $(\varrho w)^{\textcircled{a}}k = w$   
**using** *primroot-expE* **by** *blast*  
  
**have**  $\varrho w \in \langle \mathcal{C} \rangle$   
**using** *assms*(2) *pure* **by** *auto*

**have**  $(Dec\ C\ (\varrho\ w))^{\textcircled{a}}k \in lists\ C$   
**by**  $(metis\ \langle \varrho\ w \in \langle C \rangle \rangle\ concat-sing-pow\ dec-in-lists\ flatten-lists\ order-refl\ sing-pow-lists)$

**have**  $(Dec\ C\ (\varrho\ w))^{\textcircled{a}}k = Dec\ C\ w$   
**using**  $\langle (Dec\ C\ (\varrho\ w))^{\textcircled{a}}k \in lists\ C \rangle\ code.code-unique-dec\ code-axioms\ concat-morph-power\ \langle (\varrho\ w)^{\textcircled{a}}k = w \rangle\ concat-dec[OF\ \langle \varrho\ w \in \langle C \rangle \rangle]$  **by** *metis*  
**hence**  $k = 1$   
**using**  $\langle primitive\ (Dec\ C\ w) \rangle$  **unfolding** *primitive-def* **by** *blast*  
**thus** *primitive* *w*  
**by**  $(metis\ pow-1\ \langle \varrho\ w^{\textcircled{a}}k = w \rangle\ assms(3)\ dec-emp\ prim-nemp\ primroot-prim)$   
**qed**

**lemma** *inj-on-dec*: *inj-on*  $(decompose\ C)\ \langle C \rangle$   
**by**  $(rule\ inj-onI)\ (use\ concat-dec\ in\ force)$

**end** — end context code

**lemma** *emp-is-code*: *code*  $\{\}$   
**using** *code.intro* *empty-iff* *insert-iff* *lists-empty* **by** *metis*

**lemma** *code-induct-hd*: **assumes**  $\varepsilon \notin C$  **and**  
 $\bigwedge xs\ ys.\ xs \in lists\ C \implies ys \in lists\ C \implies concat\ xs = concat\ ys \implies hd\ xs = hd\ ys$   
**shows** *code*  $C$   
**proof**  
**show**  $xs \in lists\ C \implies ys \in lists\ C \implies concat\ xs = concat\ ys \implies xs = ys$  **for**  
 $xs\ ys$   
**proof**  $(induct\ xs\ ys\ rule:\ list-induct2')$   
**case**  $(4\ x\ xs\ y\ ys)$   
**from** *assms(2)* $[OF\ 4.prem1]$   
**have**  $x = y$  **by** *force*  
**from** *4.prem2* $[unfolded\ this]$   
**have**  $xs \in lists\ C$  **and**  $ys \in lists\ C$  **and**  $concat\ xs = concat\ ys$   
**by** *simp-all*  
**from** *4.hyps* $[OF\ this]\ \langle x = y \rangle$   
**show** *?case*  
**by** *simp*  
**qed**  $(auto\ simp\ add:\ \langle \varepsilon \notin C \rangle)$   
**qed**

**lemma** *ref-set-primroot*: **assumes**  $ws \in lists\ (G - \{\varepsilon\})$  **and** *code*  $(\varrho'G)$   
**shows** *set*  $(Ref\ \varrho'G\ ws) = \varrho'(set\ ws)$   
**proof**—  
**have**  $G \subseteq \langle \varrho'G \rangle$   
**proof**  
**fix**  $x$   
**assume**  $x \in G$

```

show  $x \in \langle \varrho \text{ ' } G \rangle$ 
  by (metis  $\langle x \in G \rangle$  genset-sub image-subset-iff power-in primroot-expE)
qed
hence  $ws \in \text{lists } \langle \varrho \text{ ' } G \rangle$ 
  using assms by blast

have  $\text{set } (\text{decompose } (\varrho \text{ ' } G) a) = \{\varrho a\}$  if  $a \in \text{set } ws$  for  $a$ 
proof-
  have  $\varrho a \in \varrho \text{ ' } G$ 
    using  $\langle a \in \text{set } ws \rangle \langle ws \in \text{lists } (G - \{\varepsilon\}) \rangle$  by blast
  have  $(\text{Dec } (\varrho \text{ ' } G) a) \in [\varrho a]^*$ 
    using code.code-unique-dec[OF  $\langle \text{code } (\varrho \text{ ' } G) \rangle$  sing-pow-lists concat-sing-pow,
OF  $\langle \varrho a \in \varrho \text{ ' } G \rangle$ ]
    primroot-expE rootI by metis
  from sing-pow-set'[OF this dec-nemp]
  show  $\text{set } (\text{decompose } (\varrho \text{ ' } G) a) = \{\varrho a\}$ 
    using  $\langle a \in \text{set } ws \rangle \langle ws \in \text{lists } \langle \varrho \text{ ' } G \rangle \rangle \langle ws \in \text{lists } (G - \{\varepsilon\}) \rangle$  by blast
qed

have  $\text{set } (\text{decompose } (\varrho \text{ ' } G)) \text{ ' set } ws = \{\{\varrho a\} \mid a. a \in \text{set } ws\}$  (is  $?L = ?R$ )
proof
  show  $?L \subseteq ?R$ 
    using  $\langle \bigwedge a. a \in \text{set } ws \implies \text{set } (\text{Dec } \varrho \text{ ' } G a) = \{\varrho a\} \rangle$  by blast
  show  $?R \subseteq ?L$ 
    using  $\langle \bigwedge a. a \in \text{set } ws \implies \text{set } (\text{Dec } \varrho \text{ ' } G a) = \{\varrho a\} \rangle$  by blast
qed

show ?thesis
  using ref-set[OF  $\langle ws \in \text{lists } \langle \varrho \text{ ' } G \rangle \rangle$ ]
  Setcompr-eq-image  $\langle \text{set ' decompose } (\varrho \text{ ' } G) \text{ ' set } ws = \{\{\varrho a\} \mid a. a \in \text{set } ws\} \rangle$ 
by (auto simp add: refine-def)
qed

```

### 3.5 Prefix code

```

locale pref-code =
  fixes  $\mathcal{C}$ 
  assumes
    emp-not-in:  $\varepsilon \notin \mathcal{C}$  and
    pref-free:  $u \in \mathcal{C} \implies v \in \mathcal{C} \implies u \leq_p v \implies u = v$ 

begin

lemma nemp:  $u \in \mathcal{C} \implies u \neq \varepsilon$ 
  using emp-not-in by force

lemma concat-pref-concat:
  assumes  $us \in \text{lists } \mathcal{C}$   $vs \in \text{lists } \mathcal{C}$  concat us  $\leq_p$  concat vs
  shows  $us \leq_p vs$ 

```

**using** *assms* **proof** (*induction us vs rule: list-induct2'*)  
**case** ( $\lambda x xs y ys$ )  
**from** *4.prem.s*  
**have**  $x = y$   
**by** (*auto elim!: ruler-prefE intro: pref-free sym del: in-listsD*)  
**with**  $\lambda$  **show**  $x \# xs \leq_p y \# ys$   
**by** *simp*  
**qed** (*simp-all add: nemp*)

**lemma** *concat-pref-concat-conv*:  
**assumes**  $us \in \text{lists } \mathcal{C} \ vs \in \text{lists } \mathcal{C}$   
**shows**  $\text{concat } us \leq_p \text{concat } vs \iff us \leq_p vs$   
**using** *concat-pref-concat[OF assms] pref-concat-pref..*

**sublocale** *code*  
**by** *standard (simp-all add: pref-antisym concat-pref-concat)*

**lemmas** *is-code = is-code* **and**  
*code = code-axioms*

**lemma** *dec-pref-unique*:  
 $w \in \langle \mathcal{C} \rangle \implies p \in \langle \mathcal{C} \rangle \implies p \leq_p w \implies \text{Dec } \mathcal{C} \ p \leq_p \text{Dec } \mathcal{C} \ w$   
**using** *concat-pref-concat-conv[of Dec C p Dec C w, THEN iffD1]*  
**by** *simp*

**end**

### 3.5.1 Suffix code

**locale** *suf-code = pref-code (rev ' C) for C*  
**begin**

**thm** *dec-rev*  
*code*

**sublocale** *code*  
**using** *code-rev-code unfolding rev-rev-image-eq.*

**lemmas** *concat-suf-concat = concat-pref-concat[reversed]* **and**  
*concat-suf-concat-conv = concat-pref-concat-conv[reversed]* **and**  
*nemp = nemp[reversed]* **and**  
*suf-free = pref-free[reversed]* **and**  
*dec-suf-unique = dec-pref-unique[reversed]*

**thm** *is-code*  
*code-axioms*  
*code*

**end**

### 3.6 Marked code

**locale** *marked-code* =

**fixes**  $\mathcal{C}$

**assumes**

*emp-not-in*:  $\varepsilon \notin \mathcal{C}$  **and**

*marked*:  $u \in \mathcal{C} \implies v \in \mathcal{C} \implies \text{hd } u = \text{hd } v \implies u = v$

**begin**

**lemma** *nemp*:  $u \in \mathcal{C} \implies u \neq \varepsilon$

**using** *emp-not-in* **by** *blast*

**sublocale** *pref-code*

**by** (*unfold-locale*) (*simp-all add: emp-not-in marked nemp pref-hd-eq*)

**lemma** *marked-concat-lcp*:  $us \in \text{lists } \mathcal{C} \implies vs \in \text{lists } \mathcal{C} \implies \text{concat } (us \wedge_p vs) = (\text{concat } us) \wedge_p (\text{concat } vs)$

**proof** (*induct us vs rule: list-induct2'*)

**case** ( $\_4 x xs y ys$ )

**hence**  $x \in \mathcal{C}$  **and**  $y \in \mathcal{C}$  **and**  $xs \in \text{lists } \mathcal{C}$  **and**  $ys \in \text{lists } \mathcal{C}$

**by** *simp-all*

**show** *?case*

**proof** (*cases*)

**assume**  $x = y$

**thus**  $\text{concat } (x \# xs \wedge_p y \# ys) = \text{concat } (x \# xs) \wedge_p \text{concat } (y \# ys)$

**using**  $\_4.\text{hyps}[OF \langle xs \in \text{lists } \mathcal{C} \rangle \langle ys \in \text{lists } \mathcal{C} \rangle]$  **by** (*simp add: lcp-ext-left*)

**next**

**assume**  $x \neq y$

**with** *marked*[ $OF \langle x \in \mathcal{C} \rangle \langle y \in \mathcal{C} \rangle$ ] **have**  $\text{hd } x \neq \text{hd } y$  **by** *blast*

**hence**  $\text{concat } (x \# xs) \wedge_p \text{concat } (y \# ys) = \varepsilon$

**by** (*simp add: \langle x \in \mathcal{C} \rangle \langle y \in \mathcal{C} \rangle nemp lcp-distinct-hd*)

**moreover** **have**  $\text{concat } (x \# xs \wedge_p y \# ys) = \varepsilon$

**using**  $\langle x \neq y \rangle$  **by** *simp*

**ultimately show** *?thesis* **by** *presburger*

**qed**

**qed** *simp-all*

**lemma** *hd-concat-hd*: **assumes**  $xs \in \text{lists } \mathcal{C}$  **and**  $ys \in \text{lists } \mathcal{C}$  **and**  $xs \neq \varepsilon$  **and**  $ys \neq \varepsilon$  **and**

$\text{hd } (\text{concat } xs) = \text{hd } (\text{concat } ys)$

**shows**  $\text{hd } xs = \text{hd } ys$

**proof**–

**have**  $\text{hd } (\text{hd } xs) = \text{hd } (\text{hd } ys)$

**using** *assms hd-concat*[ $OF \langle xs \neq \varepsilon \rangle \text{lists-hd-in-set}[THEN nemp]$ ] *hd-concat*[ $OF \langle ys \neq \varepsilon \rangle \text{lists-hd-in-set}[THEN nemp]$ ]

**by** *presburger*

```

from marked[OF lists-hd-in-set lists-hd-in-set this] assms(1-4)
show hd xs = hd ys
  by simp
qed

end

```

### 3.7 Non-overlapping code

```

locale non-overlapping =
  fixes C
  assumes
    emp-not-in:  $\varepsilon \notin C$  and
    no-overlap:  $u \in C \implies v \in C \implies z \leq_p u \implies z \leq_s v \implies z \neq \varepsilon \implies u = v$  and
    no-fac:  $u \in C \implies v \in C \implies u \leq_f v \implies u = v$ 
  begin

lemma nemp:  $u \in C \implies u \neq \varepsilon$ 
  using emp-not-in by force

sublocale pref-code
  using nemp non-overlapping.no-fac non-overlapping-axioms pref-code.intro by
  fastforce

lemma rev-non-overlapping: non-overlapping (rev 'C)
proof
  show  $\varepsilon \notin \text{rev 'C}$ 
    using nemp by force
  show  $u \in \text{rev 'C} \implies v \in \text{rev 'C} \implies z \leq_p u \implies z \leq_s v \implies z \neq \varepsilon \implies u = v$ 
for  $u v z$ 
    using no-overlap[reversed] unfolding rev-in-conv..
  show  $u \in \text{rev 'C} \implies v \in \text{rev 'C} \implies u \leq_f v \implies u = v$  for  $u v$ 
    using no-fac[reversed] unfolding rev-in-conv by presburger
qed

sublocale suf: suf-code C
proof-
  interpret i: non-overlapping rev 'C
    using rev-non-overlapping.
  from i.pref-code-axioms
  show suf-code C
    by unfold-locales
qed

lemma overlap-concat-last: assumes  $u \in C$  and  $vs \in \text{lists } C$  and  $vs \neq \varepsilon$  and
   $r \neq \varepsilon$  and  $r \leq_p u$  and  $r \leq_s p \cdot \text{concat } vs$ 
  shows  $u = \text{last } vs$ 
proof-
  from suffix-same-cases[OF suf-ext[OF concat-last-suf[OF  $\langle vs \neq \varepsilon \rangle$ ]]]  $\langle r \leq_s p \cdot$ 

```

```

concat vs]
show u = last vs
proof (rule disjE)
  assume r ≤s last vs
  from no-overlap[OF ‹u ∈ C› - ‹r ≤p u› this ‹r ≠ ε›]
  show u = last vs
    using ‹vs ∈ lists C› ‹vs ≠ ε› by force
next
  assume last vs ≤s r
  from no-fac[OF - ‹u ∈ C› pref-suf-fac, OF - ‹r ≤p u› this]
  show u = last vs
    using ‹vs ∈ lists C› ‹vs ≠ ε› by force
qed
qed

lemma overlap-concat-hd: assumes u ∈ C and vs ∈ lists C and vs ≠ ε and r ≠
ε and r ≤s u and r ≤p concat vs · s
shows u = hd vs
proof-
  interpret c: non-overlapping rev ‘C by (simp add: rev-non-overlapping)
  from c.overlap-concat-last[reversed, OF assms]
  show ?thesis.
qed

lemma fac-concat-fac:
  assumes us ∈ lists C vs ∈ lists C
    and 1 < card (set us)
    and concat vs = p · concat us · s
  obtains ps ss where concat ps = p and concat ss = s and ps · us · ss = vs
proof-
  define us1 where us1 = takeWhile (λ a. a = hd us) us
  define us2 where us2 = dropWhile (λ a. a = hd us) us
  from card-set-decompose[OF ‹1 < card (set us)›]
  have us = us1 · us2 us1 ≠ ε us2 ≠ ε set us1 = {hd us} last us1 ≠ hd us2
    unfolding us1-def us2-def by simp-all
  have us2 ∈ lists C us1 ∈ lists C
    using ‹us = us1 · us2› ‹us ∈ lists C› by simp-all
  hence concat us2 ≠ ε
    using ‹us2 ≠ ε› nemp by force
  hence p · concat us1 <p concat vs
    using ‹us = us1 · us2› unfolding ‹concat vs = p · concat us · s› by simp
  from pref-mod-list[OF this]
  obtain j r where j < |vs| r <p vs ! j concat (take j vs) · r = p · concat us1.
  have r = ε
  proof (rule ccontr)
    assume r ≠ ε
    from spref-exE[OF ‹r <p vs ! j›]
    obtain z where r · z = vs ! j z ≠ ε.
    from overlap-concat-last[OF - ‹us1 ∈ lists C› ‹us1 ≠ ε› ‹r ≠ ε› sprefD1[OF ‹r

```

$\langle p \text{ vs } ! j \rangle$  *sufI*[*OF*  $\langle \text{concat } (take\ j\ vs) \cdot r = p \cdot \text{concat } us1 \rangle$ ]]  
**have**  $vs ! j = last\ us1$   
**using** *nth-in-lists*[*OF*  $\langle j < |vs| \rangle \langle vs \in lists\ C \rangle$ ].

**have** *concat-vs*:  $\text{concat } vs = \text{concat } (take\ j\ vs) \cdot vs!j \cdot \text{concat } (drop\ (Suc\ j)\ vs)$   
**unfolding** *lassoc concat-take-Suc*[*OF*  $\langle j < |vs| \rangle$ ] *concat-morph*[*symmetric*] **by**  
*force*  
**from** *this*[*folded*  $\langle r \cdot z = vs ! j \rangle$ ]  
**have**  $z \cdot \text{concat } (drop\ (Suc\ j)\ vs) = \text{concat } us2 \cdot s$   
**unfolding**  $\langle \text{concat } vs = p \cdot \text{concat } us \cdot s \rangle$  *lassoc*  $\langle \text{concat } (take\ j\ vs) \cdot r = p \cdot$   
 $\text{concat } us1 \rangle$   $\langle us = us1 \cdot us2 \rangle$  *concat-morph*  
**unfolding** *rassoc cancel* **by** *simp*  
**from** *overlap-concat-hd*[*OF* -  $\langle us2 \in lists\ C \rangle \langle us2 \neq \varepsilon \rangle \langle z \neq \varepsilon \rangle$  *sufI*[*OF*  $\langle r \cdot z$   
 $= vs ! j \rangle$ ] *prefI*[*OF* *this*]]  
**have**  $vs ! j = hd\ us2$   
**using** *nth-in-lists*[*OF*  $\langle j < |vs| \rangle \langle vs \in lists\ C \rangle$ ].

**thus** *False*  
**unfolding**  $\langle vs ! j = last\ us1 \rangle$  **using**  $\langle last\ us1 \neq hd\ us2 \rangle$  **by** *contradiction*  
**qed**

**have**  $drop\ j\ vs \in lists\ C$  **and**  $take\ j\ vs \in lists\ C$   
**using**  $\langle vs \in lists\ C \rangle$  **by** *inlists*  
**have**  $\text{concat } us2 \cdot s = \text{concat } (drop\ j\ vs)$   
**using** *arg-cong*[*OF* *takedown*[*of j vs*], *of concat*]  $\langle \text{concat } (take\ j\ vs) \cdot r = p \cdot$   
 $\text{concat } us1 \rangle$   
**unfolding**  $\langle \text{concat } vs = p \cdot \text{concat } us \cdot s \rangle$  *concat-morph*  $\langle r = \varepsilon \rangle$  *emp-simps*  $\langle us$   
 $= us1 \cdot us2 \rangle$  **by** *auto*  
**from** *prefI*[*OF* *this*]]  
**have**  $us2 \leq_p drop\ j\ vs$   
**using** *concat-pref-concat-conv*[*OF*  $\langle us2 \in lists\ C \rangle \langle drop\ j\ vs \in lists\ C \rangle$ ] **by** *blast*  
**hence**  $s: \text{concat } (us2^{-1} > drop\ j\ vs) = s$   
**using**  $\langle \text{concat } us2 \cdot s = \text{concat } (drop\ j\ vs) \rangle$  *concat-morph-lq lqI* **by** *blast*

**from**  $\langle \text{concat } (take\ j\ vs) \cdot r = p \cdot \text{concat } us1 \rangle$ [*unfolded*  $\langle r = \varepsilon \rangle$  *emp-simps*]  
**have**  $\text{concat } us1 \leq_s \text{concat } (take\ j\ vs)$   
**by** *fastforce*  
**hence**  $us1 \leq_s take\ j\ vs$   
**using** *suf.concat-pref-concat-conv*[*reversed*, *OF*  $\langle us1 \in lists\ C \rangle \langle take\ j\ vs \in lists$   
 $C \rangle$ ] **by** *blast*  
**from** *arg-cong*[*OF* *rq-suf*[*OF* *this*], *of concat*, *unfolded concat-morph*]  
**have**  $p: \text{concat } (take\ j\ vs <^{-1} us1) = p$   
**using** *rqI*[*OF*  $\langle \text{concat } (take\ j\ vs) = p \cdot \text{concat } us1 \rangle$ ] [*symmetric*]]  
*rq-triv* **by** *metis*

**have**  $take\ j\ vs <^{-1} us1 \cdot us \cdot us2^{-1} > drop\ j\ vs = vs$   
**unfolding**  $\langle us = us1 \cdot us2 \rangle$  *rassoc lq-pref*[*OF*  $\langle us2 \leq_p drop\ j\ vs \rangle$ ]  
**unfolding** *lassoc rq-suf*[*OF*  $\langle us1 \leq_s take\ j\ vs \rangle$ ] **by** *simp*



from *that*[*OF p s this*]  
 show *thesis*.  
 qed

**theorem** *prim-morph*:  
 assumes  $ws \in \text{lists } \mathcal{C}$   
 and  $|ws| \neq 1$   
 and *primitive ws*  
 shows *primitive (concat ws)*  
**proof** (*rule ccontr*)  
 have  $ws \in \text{lists } \mathcal{C}$  and  $ws \cdot ws \in \text{lists } \mathcal{C}$   
 using  $\langle ws \in \text{lists } \mathcal{C} \rangle$  by *simp-all*  
 moreover have  $1 < \text{card (set ws)}$  using  $\langle \text{primitive ws} \rangle \langle |ws| \neq 1 \rangle$   
 by (*rule prim-card-set*)  
 moreover assume  $\neg \text{primitive (concat ws)}$   
 then obtain  $k z$  where  $2 \leq k$  and  $z^{\textcircled{}} k = \text{concat ws}$  by (*elim not-prim-primroot-expE*)  
 have  $\text{concat (ws} \cdot \text{ws)} = z \cdot \text{concat ws} \cdot z^{\textcircled{}}(k-1)$   
 unfolding *concat-morph*  $\langle z^{\textcircled{}} k = \text{concat ws} \rangle$  [*symmetric*] *add-exps* [*symmetric*]  
*pow-Suc* [*symmetric*]  
 using  $\langle 2 \leq k \rangle$  by *simp*  
 ultimately obtain  $ps ss$  where  $\text{concat ps} = z$  and  $\text{concat ss} = z^{\textcircled{}}(k-1)$  and  
 $ps \cdot ws \cdot ss = ws \cdot ws$   
 by (*rule fac-concat-fac*)  
 have  $ps^{\textcircled{}} k \in \text{lists } \mathcal{C}$   
 using  $\langle ps \cdot ws \cdot ss = ws \cdot ws \rangle \langle ws \cdot ws \in \text{lists } \mathcal{C} \rangle$  by *inlists*  
 moreover have  $\text{concat (ps}^{\textcircled{}} k) = \text{concat ws}$   
 unfolding *concat-pow*  $\langle \text{concat ps} = z \rangle \langle z^{\textcircled{}} k = \text{concat ws} \rangle$ ..  
 ultimately have  $ps^{\textcircled{}} k = ws$  using  $\langle ws \in \text{lists } \mathcal{C} \rangle$  by (*intro is-code*)  
 show *False*  
 using *prim-exp-one* [*OF*  $\langle \text{primitive ws} \rangle \langle ps^{\textcircled{}} k = ws \rangle \langle 2 \leq k \rangle$ ] by *presburger*  
 qed

**lemma** *prim-concat-conv*:  
 assumes  $ws \in \text{lists } \mathcal{C}$   
 and  $|ws| \neq 1$   
 shows *primitive (concat ws)  $\longleftrightarrow$  primitive ws*  
 using *prim-concat-prim prim-morph* [*OF assms*]..

end

**lemma** (*in code*) *code-roots-non-overlapping*: *non-overlapping*  $((\lambda x. [\varrho x]^{\textcircled{}}(e_{\varrho} x))$   
 $' \mathcal{C})$

**proof**  
 show  $\varepsilon \notin (\lambda x. [\varrho x]^{\textcircled{}} e_{\varrho} x) ' \mathcal{C}$   
**proof**  
 assume  $\varepsilon \in (\lambda x. [\varrho x]^{\textcircled{}} e_{\varrho} x) ' \mathcal{C}$   
 from *this* [*unfolded image-iff*]  
 obtain  $u$  where  $u \in \mathcal{C}$  and  $\varepsilon = [\varrho u]^{\textcircled{}} e_{\varrho} u$   
 by *blast*

```

from arg-cong[OF this(2), of concat]
show False
  unfolding concat.simps(1) concat-sing-pow primroot-exp-eq
  using emp-not-in  $\langle u \in \mathcal{C} \rangle$  by blast
qed
fix us vs
assume us':  $us \in (\lambda x. [\varrho x]^{\textcircled{a}} e_{\varrho} x) \text{ ' } \mathcal{C}$  and vs':  $vs \in (\lambda x. [\varrho x]^{\textcircled{a}} e_{\varrho} x) \text{ ' } \mathcal{C}$ 
  from us'[unfolded image-iff]
  obtain u where  $u \in \mathcal{C}$  and us:  $us = [\varrho u]^{\textcircled{a}} e_{\varrho} u$ 
  by blast
  from vs'[unfolded image-iff]
  obtain v where  $v \in \mathcal{C}$  and vs:  $vs = [\varrho v]^{\textcircled{a}} e_{\varrho} v$ 
  by blast
note sing-set = sing-pow-set[OF primroot-exp-nemp[OF nemp]]
show  $us = vs$  if  $zs \leq_p us$  and  $zs \leq_s vs$  and  $zs \neq \varepsilon$  for zs
proof-
  from set-mono-prefix[OF  $\langle zs \leq_p us \rangle$ ]  $\langle zs \neq \varepsilon \rangle$ [folded set-empty2]
  have  $set\ zs = \{\varrho u\}$ 
  using subset-singletonD unfolding  $\langle us = [\varrho u]^{\textcircled{a}} e_{\varrho} u \rangle$  sing-set[OF  $\langle u \in \mathcal{C} \rangle$ ]
  by metis
  from set-mono-suffix[OF  $\langle zs \leq_s vs \rangle$ ]  $\langle zs \neq \varepsilon \rangle$ [folded set-empty2]
  have  $set\ zs = \{\varrho v\}$ 
  using subset-singletonD unfolding  $\langle vs = [\varrho v]^{\textcircled{a}} e_{\varrho} v \rangle$  sing-set[OF  $\langle v \in \mathcal{C} \rangle$ ]
  by metis
  hence  $\varrho u = \varrho v$ 
  unfolding  $\langle set\ zs = \{\varrho u\} \rangle$  by simp
  from same-primroots-comm[OF this]
  have  $u = v$ 
  using code-not-comm [OF  $\langle u \in \mathcal{C} \rangle \langle v \in \mathcal{C} \rangle$ ] by blast
  thus  $us = vs$ 
  unfolding  $\langle us = [\varrho u]^{\textcircled{a}} e_{\varrho} u \rangle \langle vs = [\varrho v]^{\textcircled{a}} e_{\varrho} v \rangle$  by blast
qed
show  $us = vs$  if  $us \leq_f vs$ 
proof-
  from sing-set[OF  $\langle u \in \mathcal{C} \rangle$ , of  $\varrho u$ ] sing-set[OF  $\langle v \in \mathcal{C} \rangle$ , of  $\varrho v$ ]
  have  $\varrho u = \varrho v$ 
  unfolding us[symmetric] vs[symmetric] using set-mono-sublist[OF  $\langle us \leq_f$ 
 $vs \rangle$ ]
  by force
  from same-primroots-comm[OF this]
  have  $u = v$ 
  using code-not-comm [OF  $\langle u \in \mathcal{C} \rangle \langle v \in \mathcal{C} \rangle$ ] by blast
  thus  $us = vs$ 
  unfolding  $\langle us = [\varrho u]^{\textcircled{a}} e_{\varrho} u \rangle \langle vs = [\varrho v]^{\textcircled{a}} e_{\varrho} v \rangle$  by blast
qed
qed
theorem (in code) roots-prim-morph:
  assumes  $ws \in lists\ \mathcal{C}$ 

```

```

and |ws| ≠ 1
and primitive ws
shows primitive (concat (map (λ x. [ρ x]@(eρ x)) ws))
  (is primitive (concat (map ?R ws)))
proof-
interpret rc: non-overlapping ?R ‘ C
using code-roots-non-overlapping.

show ?thesis
proof (rule rc.prim-morph)
show primitive (map ?R ws)
using inj-map-prim[OF root-dec-inj-on
  ⟨ws ∈ lists C⟩ ⟨primitive ws⟩].
show map ?R ws ∈ lists (?R ‘ C)
using ⟨ws ∈ lists C⟩ lists-image[of ?R C] by force
show |map (λx. [ρ x]@ eρ x) ws| ≠ 1
using ⟨|ws| ≠ 1⟩ by simp
qed
qed

```

### 3.8 Binary code

We pay a special attention to two element codes. In particular, we show that two words form a code if and only if they do not commute. This means that two words either commute, or do not satisfy any nontrivial relation.

**definition** *bin-lcp* **where**  $\text{bin-lcp } x \ y = x \cdot y \wedge_p y \cdot x$

**definition** *bin-lcs* **where**  $\text{bin-lcs } x \ y = x \cdot y \wedge_s y \cdot x$

**definition** *bin-mismatch* **where**  $\text{bin-mismatch } x \ y = (x \cdot y)! | \text{bin-lcp } x \ y|$

**definition** *bin-mismatch-suf* **where**  $\text{bin-mismatch-suf } x \ y = \text{bin-mismatch } (\text{rev } y) (\text{rev } x)$

**value**[*nbe*] [0::nat,1,0]!3

**lemma** *bin-lcs-rev*:  $\text{bin-lcs } x \ y = \text{rev } (\text{bin-lcp } (\text{rev } x) (\text{rev } y))$

**unfolding** *bin-lcp-def bin-lcs-def longest-common-suffix-def rev-append* **using** *lcp-sym* **by** *fastforce*

**lemma** *bin-lcp-sym*:  $\text{bin-lcp } x \ y = \text{bin-lcp } y \ x$

**unfolding** *bin-lcp-def* **using** *lcp-sym*.

**lemma** *bin-mismatch-comm*:  $(\text{bin-mismatch } x \ y = \text{bin-mismatch } y \ x) \longleftrightarrow (x \cdot y = y \cdot x)$

**unfolding** *bin-mismatch-def bin-lcp-def lcp-sym*[of  $y \cdot x$ ]

**using** *lcp-mismatch'*[of  $x \cdot y \ y \cdot x$ , *unfolded comm-comp-eq-conv*[of  $x \ y$ ]] **by** *fastforce*

**lemma** *bin-lcp-pref-fst-snd*:  $\text{bin-lcp } x \ y \leq_p x \cdot y$

**unfolding** *bin-lcp-def* **using** *lcp-pref*.

**lemma** *bin-lcp-pref-snd-fst*:  $\text{bin-lcp } x \ y \leq_p y \cdot x$   
**using** *bin-lcp-pref-fst-snd*[*of y x*, *unfolded bin-lcp-sym*[*of y x*]].

**lemma** *bin-lcp-bin-lcs* [*reversal-rule*]:  $\text{bin-lcp } (\text{rev } x) \ (\text{rev } y) = \text{rev } (\text{bin-lcs } x \ y)$   
**unfolding** *bin-lcp-def bin-lcs-def rev-append*[*symmetric*] *lcs-lcp*  
*lcs-sym*[*of x · y*].

**lemmas** *bin-lcs-sym* = *bin-lcp-sym*[*reversed*]

**lemma** *bin-lcp-len*:  $x \cdot y \neq y \cdot x \implies |\text{bin-lcp } x \ y| < |x \cdot y|$   
**unfolding** *bin-lcp-def*  
**using** *lcp-len'* *pref-comm-eq* **by** *blast*

**lemmas** *bin-lcs-len* = *bin-lcp-len*[*reversed*]

**lemma** *bin-mismatch-pref-suf'*[*reversal-rule*]:  
 $\text{bin-mismatch } (\text{rev } y) \ (\text{rev } x) = \text{bin-mismatch-suf } x \ y$   
**unfolding** *bin-mismatch-suf-def*.

### 3.8.1 Binary code locale

**locale** *binary-code* =  
**fixes**  $u_0 \ u_1$   
**assumes** *non-comm*:  $u_0 \cdot u_1 \neq u_1 \cdot u_0$

**begin**

A crucial property of two element codes is the constant decoding delay given by the word  $\alpha$ , which is a prefix of any generating word (sufficiently long), while the letter immediately after this common prefix indicates the first element of the decomposition.

**definition** *uu* **where**  $uu \ a = (\text{if } a \ \text{then } u_0 \ \text{else } u_1)$

**lemma** *bin-code-set-bool*:  $\{uu \ a, uu \ (\neg a)\} = \{u_0, u_1\}$   
**by** (*induct a*, *unfold uu-def*, *simp-all add: insert-commute*)

**lemma** *bin-code-set-bool'*:  $\{uu \ a, uu \ (\neg a)\} = \{u_1, u_0\}$   
**by** (*induct a*, *unfold uu-def*, *simp-all add: insert-commute*)

**lemma** *bin-code-swap*: *binary-code*  $u_1 \ u_0$   
**using** *binary-code.intro*[*OF non-comm*[*symmetric*]].

**lemma** *bin-code-bool*: *binary-code*  $(uu \ a) \ (uu \ (\neg a))$   
**unfolding** *uu-def* **by** (*induct a*, *simp-all add: bin-code-swap binary-code-axioms*)

**lemma** *bin-code-neq*:  $u_0 \neq u_1$   
**using** *non-comm* **by** *auto*

**lemma** *bin-code-neq-bool*:  $uu\ a \neq uu\ (\neg\ a)$   
**unfolding** *uu-def* **by** (*induct a*) (*use bin-code-neq in fastforce*)+

**lemma** *bin-fst-nemp*:  $u_0 \neq \varepsilon$  **and** *bin-snd-nemp*:  $u_1 \neq \varepsilon$  **and** *bin-nemp-bool*:  $uu\ a \neq \varepsilon$   
**using** *non-comm uu-def* **by** *auto*

**lemma** *bin-not-comp*:  $\neg\ u_0 \cdot u_1 \bowtie u_1 \cdot u_0$   
**using** *comm-comp-eq-conv non-comm* **by** *blast*

**lemma** *bin-not-comp-bool*:  $\neg\ (uu\ a \cdot uu\ (\neg\ a) \bowtie uu\ (\neg\ a) \cdot uu\ a)$   
**unfolding** *uu-def* **by** (*induct a*, *use bin-not-comp pref-comp-sym in auto*)

**lemma** *bin-not-comp-suf*:  $\neg\ u_0 \cdot u_1 \bowtie_s u_1 \cdot u_0$   
**using** *comm-comp-eq-conv-suf non-comm*[*reversed*] **by** *blast*

**lemma** *bin-not-comp-suf-bool*:  $\neg\ (uu\ a \cdot uu\ (\neg\ a) \bowtie_s uu\ (\neg\ a) \cdot uu\ a)$   
**unfolding** *uu-def* **by** (*induct a*, *use bin-not-comp-suf suf-comp-sym in auto*)

**lemma** *bin-mismatch-neq*:  $bin\mismatch\ u_0\ u_1 \neq bin\mismatch\ u_1\ u_0$   
**using** *non-comm*[*folded bin-mismatch-comm*].

**abbreviation** *bin-code-lcp* ( $\langle\ \alpha\ \rangle$ ) **where** *bin-code-lcp*  $\equiv bin\lcp\ u_0\ u_1$   
**abbreviation** *bin-code-lcs* **where** *bin-code-lcs*  $\equiv bin\lcs\ u_0\ u_1$   
**abbreviation** *bin-code-mismatch-fst* ( $\langle\ c_0\ \rangle$ ) **where** *bin-code-mismatch-fst*  $\equiv bin\mismatch\ u_0\ u_1$   
**abbreviation** *bin-code-mismatch-snd* ( $\langle\ c_1\ \rangle$ ) **where** *bin-code-mismatch-snd*  $\equiv bin\mismatch\ u_1\ u_0$

**definition** *cc* **where** *cc a* = (*if a then c<sub>0</sub> else c<sub>1</sub>*)

**lemmas** *bin-lcp-swap* = *bin-lcp-sym*[*of u<sub>0</sub> u<sub>1</sub>, symmetric*] **and**  
*bin-lcp-pref* = *bin-lcp-pref-fst-snd*[*of u<sub>0</sub> u<sub>1</sub>*] **and**  
*bin-lcp-pref'* = *bin-lcp-pref-snd-fst*[*of u<sub>0</sub> u<sub>1</sub>*] **and**  
*bin-lcp-short* = *bin-lcp-len*[*OF non-comm, unfolded lenmorph*]

**lemmas** *bin-code-simps* = *cc-def uu-def if-True if-False bool-simps*

**lemma** *bin-lcp-bool*:  $bin\lcp\ (uu\ a)\ (uu\ (\neg\ a)) = bin\code\lcp$   
**unfolding** *uu-def* **by** (*induct a*, *simp-all add: bin-lcp-swap*)

**lemma** *bin-lcp-spref*:  $\alpha <_p u_0 \cdot u_1$   
**using** *bin-lcp-pref bin-lcp-pref' bin-not-comp* **by** *fastforce*

**lemma** *bin-lcp-spref'*:  $\alpha <_p u_1 \cdot u_0$   
**using** *bin-lcp-pref bin-lcp-pref' bin-not-comp* **by** *fastforce*

**lemma** *bin-lcp-spref-bool*:  $\alpha <_p uu\ a \cdot uu\ (\neg\ a)$   
**unfolding** *uu-def* **by** (*induct a*, use *bin-lcp-spref bin-lcp-spref'* **in** *auto*)

**lemma** *bin-mismatch-bool'*:  $\alpha \cdot [cc\ a] \leq_p uu\ a \cdot uu\ (\neg\ a)$   
**using** *add-nth-pref*[*OF bin-lcp-spref-bool*, *of a*]  
**unfolding** *uu-def cc-def bin-mismatch-def bin-lcp-bool bin-lcp-swap*  
**by** (*induct a*) *simp-all*

**lemma** *bin-mismatch-bool*:  $\alpha \cdot [cc\ a] \leq_p uu\ a \cdot \alpha$   
**proof**–  
**from** *bin-mismatch-bool'*  
**have**  $\alpha \cdot [cc\ a] \leq_p uu\ a \cdot (uu\ (\neg\ a) \cdot uu\ a)$   
**using** *pref-prolong* **by** *blast*  
**from** *pref-prod-pref-short*[*OF this bin-lcp-pref-snd-fst*, *unfolded bin-lcp-bool len-morph sing-len*]  
**show** *?thesis*  
**using** *nemp-len*[*OF bin-nemp-bool*, *of a*] **by** *linarith*  
**qed**

**lemmas** *bin-fst-mismatch = bin-mismatch-bool*[*of True*, *unfolded bin-code-simps*]  
**and**  
*bin-fst-mismatch' = bin-mismatch-bool'*[*of True*, *unfolded bin-code-simps*] **and**  
*bin-snd-mismatch = bin-mismatch-bool*[*of False*, *unfolded bin-code-simps*] **and**  
*bin-snd-mismatch' = bin-mismatch-bool'*[*of False*, *unfolded bin-code-simps*]

**lemma** *bin-lcp-pref-all*:  $xs \in lists\ \{u_0, u_1\} \implies \alpha \leq_p concat\ xs \cdot \alpha$   
**proof** (*induct xs*)  
**case** (*Cons a xs*)  
**have**  $a \in \{u_0, u_1\}$  **and**  $xs \in lists\ \{u_0, u_1\}$   
**using**  $\langle a \# xs \in lists\ \{u_0, u_1\} \rangle$  **by** *simp-all*  
**show** *?case*  
**proof** (*rule two-elmP*[*OF*  $\langle a \in \{u_0, u_1\} \rangle$ ], *simp-all*)  
**show**  $\alpha \leq_p u_0 \cdot concat\ xs \cdot \alpha$   
**using** *pref-extD*[*OF bin-fst-mismatch*] *Cons.hyps*[*OF*  $\langle xs \in lists\ \{u_0, u_1\} \rangle$ ]  
*pref-prolong* **by** *blast*  
**next**  
**show**  $\alpha \leq_p u_1 \cdot concat\ xs \cdot \alpha$   
**using** *pref-extD*[*OF bin-snd-mismatch*] *Cons.hyps*[*OF*  $\langle xs \in lists\ \{u_0, u_1\} \rangle$ ]  
*pref-prolong* **by** *blast*  
**qed**  
**qed** *simp*

**lemma** *bin-lcp-pref-all-hull*:  $w \in \langle \{u_0, u_1\} \rangle \implies \alpha \leq_p w \cdot \alpha$   
**using** *bin-lcp-pref-all* **using** *hull-concat-listsE* **by** *metis*

**lemma** *bin-lcp-mismatch-pref-all-bool*: **assumes**  $q \leq_p w$  **and**  $w \in \langle \{uu\ b, uu\ (\neg\ b)\} \rangle$  **and**  $|\alpha| < |uu\ a \cdot q|$   
**shows**  $\alpha \cdot [cc\ a] \leq_p uu\ a \cdot q$   
**proof**–

**have**  $aux: uu\ a \cdot w \cdot \alpha = (uu\ a \cdot q) \cdot (q^{-1} > w \cdot \alpha) \{uu\ b, uu\ (\neg b)\} = \{u_0, u_1\}$   
**using**  $lq\text{-pref}[OF\ \langle q \leq p\ w \rangle]$   $bin\text{-code}\text{-set}\text{-bool}$  **by**  $force+$   
**have**  $|\alpha \cdot [cc\ a]| \leq |uu\ a \cdot q|$   
**using**  $\langle |\alpha| < |uu\ a \cdot q| \rangle$  **by**  $auto$   
**thus**  $?thesis$   
**using**  $pref\text{-prolong}[OF\ bin\text{-mismatch}\text{-bool}\ bin\text{-lcp}\text{-pref}\text{-all}\text{-hull}[OF\ \langle w \in \langle \{uu\ b, uu\ (\neg b)\} \rangle [unfolded\ aux]],\ of\ a]$   
**unfolding**  $aux$  **by**  $blast$   
**qed**

**lemmas**  $bin\text{-lcp}\text{-mismatch}\text{-pref}\text{-all}\text{-fst} = bin\text{-lcp}\text{-mismatch}\text{-pref}\text{-all}\text{-bool}[of\ -\ True\ True,\ unfolded\ bin\text{-code}\text{-simps}]$  **and**  
 $bin\text{-lcp}\text{-mismatch}\text{-pref}\text{-all}\text{-snd} = bin\text{-lcp}\text{-mismatch}\text{-pref}\text{-all}\text{-bool}[of\ -\ True\ False,\ unfolded\ bin\text{-code}\text{-simps}]$

**lemma**  $bin\text{-lcp}\text{-pref}\text{-all}\text{-len}$ : **assumes**  $q \leq p\ w$  **and**  $w \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| \leq |q|$   
**shows**  $\alpha \leq p\ q$   
**using**  $bin\text{-lcp}\text{-pref}\text{-all}\text{-hull}[OF\ \langle w \in \langle \{u_0, u_1\} \rangle \rangle]$   $pref\text{-ext}[OF\ \langle q \leq p\ w \rangle]$   $pre\text{-fix}\text{-length}\text{-prefix}[OF\ -\ -\ \langle bin\text{-code}\text{-lcp} \leq |q| \rangle]$  **by**  $blast$

**lemma**  $bin\text{-mismatch}\text{-all}\text{-bool}$ : **assumes**  $xs \in lists\ \{uu\ b, uu\ (\neg b)\}$  **shows**  $\alpha \cdot [cc\ a] \leq p\ (uu\ a) \cdot concat\ xs \cdot \alpha$   
**using**  $pref\text{-prolong}[OF\ bin\text{-mismatch}\text{-bool}\ bin\text{-lcp}\text{-pref}\text{-all},\ of\ xs\ a]$   $assms$  **unfolding**  $bin\text{-code}\text{-set}\text{-bool}[of\ b]$ .

**lemmas**  $bin\text{-fst}\text{-mismatch}\text{-all} = bin\text{-mismatch}\text{-all}\text{-bool}[of\ -\ True\ True,\ unfolded\ bin\text{-code}\text{-simps}]$  **and**  
 $bin\text{-snd}\text{-mismatch}\text{-all} = bin\text{-mismatch}\text{-all}\text{-bool}[of\ -\ True\ False,\ unfolded\ bin\text{-code}\text{-simps}]$

**lemma**  $bin\text{-mismatch}\text{-all}\text{-hull}\text{-bool}$ : **assumes**  $w \in \langle \{uu\ b, uu\ (\neg b)\} \rangle$  **shows**  $\alpha \cdot [cc\ a] \leq p\ uu\ a \cdot w \cdot \alpha$   
**using**  $bin\text{-mismatch}\text{-all}\text{-bool}\ hull\text{-concat}\text{-lists}E[OF\ assms]$  **by**  $metis$

**lemmas**  $bin\text{-fst}\text{-mismatch}\text{-all}\text{-hull} = bin\text{-mismatch}\text{-all}\text{-hull}\text{-bool}[of\ -\ True\ True,\ unfolded\ bin\text{-code}\text{-simps}]$  **and**  
 $bin\text{-snd}\text{-mismatch}\text{-all}\text{-hull} = bin\text{-mismatch}\text{-all}\text{-hull}\text{-bool}[of\ -\ True\ False,\ unfolded\ bin\text{-code}\text{-simps}]$

**lemma**  $bin\text{-mismatch}\text{-all}\text{-len}\text{-bool}$ : **assumes**  $q \leq p\ uu\ a \cdot w$  **and**  $w \in \langle \{uu\ b, uu\ (\neg b)\} \rangle$  **and**  $|\alpha| < |q|$   
**shows**  $\alpha \cdot [cc\ a] \leq p\ q$   
**proof**–  
**have**  $|\alpha \cdot [cc\ a]| \leq |uu\ a \cdot w|$   $|\alpha \cdot [cc\ a]| \leq |q|$   
**using**  $less\text{-le}\text{-trans}[OF\ \langle |\alpha| < |q| \rangle]$   $pref\text{-len}[OF\ \langle q \leq p\ uu\ a \cdot w \rangle]$   $\langle |\alpha| < |q| \rangle$  **by**  $force+$   
**from**  $pref\text{-prod}\text{-le}[OF\ bin\text{-mismatch}\text{-all}\text{-hull}\text{-bool}[OF\ assms(2),\ unfolded\ lassoc],\ OF\ this(1)]$   
**show**  $?thesis$

by (rule prefix-length-prefix) fact+  
qed

lemmas bin-fst-mismatch-all-len = bin-mismatch-all-len-bool[of - True - True, unfolded bin-code-simps] and  
bin-snd-mismatch-all-len = bin-mismatch-all-len-bool[of - False - True, unfolded bin-code-simps]

lemma bin-code-delay: assumes  $|\alpha| \leq |q_0|$  and  $|\alpha| \leq |q_1|$  and

$q_0 \leq_p u_0 \cdot w_0$  and  $q_1 \leq_p u_1 \cdot w_1$  and  
 $w_0 \in \langle \{u_0, u_1\} \rangle$  and  $w_1 \in \langle \{u_0, u_1\} \rangle$

shows  $q_0 \wedge_p q_1 = \alpha$

proof-

have p1:  $\alpha \cdot [c_0] \leq_p u_0 \cdot w_0 \cdot \alpha$

using assms(5) using bin-fst-mismatch-all-hull by auto

have p2:  $\alpha \cdot [c_1] \leq_p u_1 \cdot w_1 \cdot \alpha$

using assms(6) using bin-snd-mismatch-all-hull by auto

have lcp:  $u_0 \cdot w_0 \cdot \alpha \wedge_p u_1 \cdot w_1 \cdot \alpha = \alpha$

using lcp-first-mismatch-pref[OF p1 p2 bin-mismatch-neq].

from lcp-extend-eq[of  $q_0 u_0 \cdot w_0 \cdot \alpha q_1 u_1 \cdot w_1 \cdot \alpha$ ,  
unfolded this, OF - - assms(1-2)]

show ?thesis

using pref-ext[OF  $\langle q_0 \leq_p u_0 \cdot w_0 \rangle$  pref-ext[OF  $\langle q_1 \leq_p u_1 \cdot w_1 \rangle$ ] by force

qed

lemma hd-lq-mismatch-fst:  $hd (\alpha^{-1} \triangleright (u_0 \cdot \alpha)) = c_0$

using hd-lq-conv-nth[OF prefix-snocD[OF bin-fst-mismatch]] bin-fst-mismatch  
by (auto simp add: prefix-def)

lemma hd-lq-mismatch-snd:  $hd (\alpha^{-1} \triangleright (u_1 \cdot \alpha)) = c_1$

using hd-lq-conv-nth[OF prefix-snocD[OF bin-snd-mismatch]] bin-snd-mismatch  
by (auto simp add: prefix-def)

lemma hds-bin-mismatch-neq:  $hd (\alpha^{-1} \triangleright (u_0 \cdot \alpha)) \neq hd (\alpha^{-1} \triangleright (u_1 \cdot \alpha))$

unfolding hd-lq-mismatch-fst hd-lq-mismatch-snd  
using bin-mismatch-neq.

lemma bin-lcp-fst-pow-pref: assumes  $0 < k$  shows  $\alpha \cdot [c_0] \leq_p u_0^{\textcircled{k}} \cdot u_1 \cdot z$

using assms

proof (induct k rule: nat-induct-non-zero)

case 1

then show ?case

unfolding pow-1 using pref-prolong[OF bin-fst-mismatch' triv-pref].

next

case (Suc n)

show ?case

unfolding pow-Suc rassoc

by (rule pref-prolong[OF bin-fst-mismatch])

(use append-prefixD[OF Suc.hyps(2)] in blast)



qed

**lemmas** *bin-lcp-snd-pow-pref* = *binary-code.bin-lcp-fst-pow-pref*[*OF bin-code-swap, unfolded bin-lcp-swap*]

**lemma** *bin-lcp-fst-lcp*:  $\alpha \leq_p u_0 \cdot \alpha$  **and** *bin-lcp-snd-lcp*:  $\alpha \leq_p u_1 \cdot \alpha$   
**using** *pref-extD*[*OF bin-fst-mismatch*] *pref-extD*[*OF bin-snd-mismatch*].

**lemma** *bin-lcp-pref-all-set*: **assumes** *set ws* =  $\{u_0, u_1\}$

**shows**  $\alpha \leq_p \text{concat } ws$

**proof**–

**have** *ws*  $\in$  *lists*  $\{u_0, u_1\}$

**using** *assms* **by** *blast*

**have**  $|u_0| + |u_1| \leq |\text{concat } ws|$

**using** *assms* *two-in-set-concat-len*[*OF bin-code-neq*] **by** *simp*

**with** *pref-prod-le*[*OF bin-lcp-pref-all*[*OF*  $\langle ws \in \text{lists } \{u_0, u_1\} \rangle$ ]] *bin-lcp-short*

**show** *?thesis*

**by** *simp*

qed

**lemma** *bin-lcp-conjug-morph*:

**assumes**  $u \in \langle \{u_0, u_1\} \rangle$  **and**  $v \in \langle \{u_0, u_1\} \rangle$

**shows**  $\alpha^{-1} \triangleright (u \cdot \alpha) \cdot \alpha^{-1} \triangleright (v \cdot \alpha) = \alpha^{-1} \triangleright ((u \cdot v) \cdot \alpha)$

**unfolding** *lq-reassoc*[*OF bin-lcp-pref-all-hull*[*OF*  $\langle u \in \langle \{u_0, u_1\} \rangle \rangle$ ]] *rassoc*  
*lq-pref*[*OF bin-lcp-pref-all-hull*[*OF*  $\langle v \in \langle \{u_0, u_1\} \rangle \rangle$ ]]..

**lemma** *lcp-bin-conjug-prim-iff*:

*set ws* =  $\{u_0, u_1\} \implies \text{primitive } (\alpha^{-1} \triangleright (\text{concat } ws) \cdot \alpha) \longleftrightarrow \text{primitive } (\text{concat } ws)$

**using** *conjug-prim-iff*[*OF root-conjug*[*OF pref-ext*[*OF bin-lcp-pref-all-set*]], *sym-metric*]

**unfolding** *lq-reassoc*[*OF bin-lcp-pref-all-set*] **by** *simp*

**lemma** *bin-lcp-conjug-inj-on*: *inj-on*  $(\lambda u. \alpha^{-1} \triangleright (u \cdot \alpha)) \langle \{u_0, u_1\} \rangle$

**unfolding** *inj-on-def* **using** *bin-lcp-pref-all-hull* *cancel-right lq-pref*

**by** *metis*

**lemma** *bin-code-lcp-marked*: **assumes** *us*  $\in$  *lists*  $\{u_0, u_1\}$  **and** *vs*  $\in$  *lists*  $\{u_0, u_1\}$

**and** *hd us*  $\neq$  *hd vs*

**shows**  $\text{concat } us \cdot \alpha \wedge_p \text{concat } vs \cdot \alpha = \alpha$

**proof** (*cases us* =  $\varepsilon \vee vs$  =  $\varepsilon$ )

**assume** *us* =  $\varepsilon \vee vs$  =  $\varepsilon$

**thus** *?thesis*

**using** *append-self-conv2* *assms(1)* *assms(2)* *bin-lcp-pref-all* *concat.simps(1)*

*lcp-pref-conv* *lcp-sym* **by** *metis*

**next**

**assume**  $\neg (us = \varepsilon \vee vs = \varepsilon)$  **hence** *us*  $\neq$   $\varepsilon$  **and** *vs*  $\neq$   $\varepsilon$  **by** *blast+*

**have** *spec-case*:  $\text{concat } us \cdot \alpha \wedge_p \text{concat } vs \cdot \alpha = \alpha$  **if** *us*  $\in$  *lists*  $\{u_0, u_1\}$  **and** *vs*  $\in$  *lists*  $\{u_0, u_1\}$  **and** *hd us* =  $u_0$  **and** *hd vs* =  $u_1$  **and** *us*  $\neq$   $\varepsilon$  **and** *vs*  $\neq$   $\varepsilon$  **for** *us vs*

**proof**–

```

have concat us = u0 · concat (tl us)
  unfolding hd-concat-tl[OF ⟨us ≠ ε⟩, symmetric] ⟨hd us = u0⟩..
from bin-fst-mismatch-all[OF tl-in-lists[OF ⟨us ∈ lists {u0, u1}⟩], folded rassoc
this]
have pref1: α · [c0] ≤p concat us · α.
have concat vs = u1 · concat (tl vs)
  unfolding hd-concat-tl[OF ⟨vs ≠ ε⟩, symmetric] ⟨hd vs = u1⟩..
from bin-snd-mismatch-all[OF tl-in-lists[OF ⟨vs ∈ lists {u0, u1}⟩], folded rassoc
this]
have pref2: α · [c1] ≤p concat vs · α.
show ?thesis
  using lcp-first-mismatch-pref[OF pref1 pref2 bin-mismatch-neq].
qed
have hd us ∈ {u0, u1} and hd vs ∈ {u0, u1} using
  lists-hd-in-set[OF ⟨us ≠ ε⟩ ⟨us ∈ lists {u0, u1}⟩] lists-hd-in-set[OF ⟨vs ≠ ε⟩
⟨vs ∈ lists {u0, u1}⟩].
then consider hd us = u0 ∧ hd vs = u1 | hd us = u1 ∧ hd vs = u0
  using ⟨hd us ≠ hd vs⟩ by fastforce
then show ?thesis
  using spec-case[rule-format] ⟨us ≠ ε⟩ ⟨vs ≠ ε⟩ assms lcp-sym by metis
qed

```

— ALT PROOF

```

lemma assms us ∈ lists {u0, u1} and vs ∈ lists {u0, u1} and hd us ≠ hd vs
  shows concat us · α ∧p concat vs · α = α
  using assms
proof (induct us vs rule: list-induct2')
  case (2 x xs)
  show ?case
    using bin-lcp-pref-all[OF ⟨x # xs ∈ lists {u0, u1}⟩, folded lcp-pref-conv, unfolded
lcp-sym[of α]] by simp
  next
  case (3 y ys)
  show ?case
    using bin-lcp-pref-all[OF ⟨y # ys ∈ lists {u0, u1}⟩, folded lcp-pref-conv] by
simp
  next
  case (4 x xs y ys)
  interpret i: binary-code x y
    using 4.premis(1) 4.premis(2) 4.premis(3) non-comm binary-code.intro by auto
  have alph: {u0, u1} = {x, y}
    using 4.premis(1) 4.premis(2) 4.premis(3) by auto
  from disjE[OF this[unfolded doubleton-eq-iff]]
  have i.bin-code-lcp = α
    using i.bin-lcp-swap[symmetric] by blast
  have c0: i.bin-code-lcp · [i.bin-code-mismatch-fst] ≤p x · concat xs · i.bin-code-lcp
    using i.bin-lcp-pref-all[of xs] ⟨x # xs ∈ lists {u0, u1}⟩[unfolded Cons-in-lists-iff
alph]
    pref-prolong[OF i.bin-fst-mismatch] by blast

```

**have**  $c1: i.\text{bin-code-lcp} \cdot [i.\text{bin-code-mismatch-snd}] \leq_p y \cdot \text{concat } ys \cdot i.\text{bin-code-lcp}$   
**using**  $\text{pref-prolong}[OF \text{conjunct2}[OF \langle y \# ys \in \text{lists } \{u_0, u_1\}\rangle[\text{unfolded } \text{Cons-in-lists-iff } \text{alph}]]]$ ,  
*THEN*  $i.\text{bin-snd-mismatch-all}[of \text{ys}]$ ,  $OF \text{self-pref}$ .  
**have**  $i.\text{bin-code-lcp} \cdot [i.\text{bin-code-mismatch-fst}] \wedge_p i.\text{bin-code-lcp} \cdot [i.\text{bin-code-mismatch-snd}]$   
 $= i.\text{bin-code-lcp}$   
**by** (*simp add: i.bin-mismatch-neq lcp-first-mismatch'*)  
**from**  $\text{lcp-rulers}[OF \text{c0 } c1, \text{unfolded this, unfolded bin-lcp-swap}]$   
**show**  $?case$   
**unfolding**  $\text{concat.simps}(2)$  **rassoc** **using**  $i.\text{bin-mismatch-neq}$   
 $\langle i.\text{bin-code-lcp} = \alpha \rangle$  **by force**  
**qed** *simp*

**lemma**  $\text{bin-code-lcp-concat}$ : **assumes**  $us \in \text{lists } \{u_0, u_1\}$  **and**  $vs \in \text{lists } \{u_0, u_1\}$   
**and**  $\neg us \bowtie vs$   
**shows**  $\text{concat } us \cdot \alpha \wedge_p \text{concat } vs \cdot \alpha = \text{concat } (us \wedge_p vs) \cdot \alpha$   
**proof**–  
**obtain**  $us' \ vs'$  **where**  $us: (us \wedge_p vs) \cdot us' = us$  **and**  $vs: (us \wedge_p vs) \cdot vs' = vs$   
**and**  $us' \neq \varepsilon$  **and**  $vs' \neq \varepsilon$  **and**  $\text{hd } us' \neq \text{hd } vs'$   
**using**  $\text{lcp-mismatchE}[OF \langle \neg us \bowtie vs \rangle]$ .  
**have**  $cu: \text{concat } us \cdot \alpha = \text{concat } (us \wedge_p vs) \cdot \text{concat } us' \cdot \alpha$   
**unfolding**  $\text{lassoc concat-morph}[\text{symmetric}] \text{ us..}$   
**have**  $cv: \text{concat } vs \cdot \alpha = \text{concat } (us \wedge_p vs) \cdot \text{concat } vs' \cdot \alpha$   
**unfolding**  $\text{lassoc concat-morph}[\text{symmetric}] \text{ vs..}$   
**have**  $us' \in \text{lists } \{u_0, u_1\}$   
**using**  $\langle us \in \text{lists } \{u_0, u_1\} \rangle$  **us** **by inlists**  
**have**  $vs' \in \text{lists } \{u_0, u_1\}$   
**using**  $\langle vs \in \text{lists } \{u_0, u_1\} \rangle$  **vs** **by inlists**  
**show**  $\text{concat } us \cdot \alpha \wedge_p \text{concat } vs \cdot \alpha = \text{concat } (us \wedge_p vs) \cdot \alpha$   
**unfolding**  $cu \ cv$   
**using**  $\text{bin-code-lcp-marked}[OF \langle us' \in \text{lists } \{u_0, u_1\} \rangle \langle vs' \in \text{lists } \{u_0, u_1\} \rangle \langle \text{hd } us' \neq \text{hd } vs' \rangle]$   
**unfolding**  $\text{lcp-ext-left}$  **by fast**  
**qed**

**lemma**  $\text{bin-code-lcp-concat}'$ : **assumes**  $us \in \text{lists } \{u_0, u_1\}$  **and**  $vs \in \text{lists } \{u_0, u_1\}$   
**and**  $\neg \text{concat } us \bowtie \text{concat } vs$   
**shows**  $\text{concat } us \wedge_p \text{concat } vs = \text{concat } (us \wedge_p vs) \cdot \alpha$   
**using**  $\text{bin-code-lcp-concat}[OF \text{assms}(1-2)]$   $\text{assms}(3)$   $\text{lcp-ext-right-conv pref-concat-pref}$   
 $\text{prefix-comparable-def}$  **by metis**

**lemma**  $\text{bin-lcp-pows}$ :  $0 < k \implies 0 < l \implies u_0^{\textcircled{k}} \cdot u_1 \cdot z \wedge_p u_1^{\textcircled{l}} \cdot u_0 \cdot z' = \alpha$   
**using**  $\text{lcp-first-mismatch-pref}[OF \text{bin-lcp-fst-pow-pref bin-lcp-snd-pow-pref bin-mismatch-neq}]$ .

**theorem**  $\text{bin-code}$ : **assumes**  $us \in \text{lists } \{u_0, u_1\}$  **and**  $vs \in \text{lists } \{u_0, u_1\}$  **and**  $\text{concat } us = \text{concat } vs$   
**shows**  $us = vs$   
**using**  $\text{assms}$   
**proof** (*induct us vs rule: list-induct2'*)

```

case (4 x xs y ys)
then show ?case
proof-
  have x = y
    using bin-code-lcp-marked[OF ⟨x # xs ∈ lists {u0, u1}⟩ ⟨y # ys ∈ lists {u0, u1}⟩]
    ⟨y # ys ∈ lists {u0, u1}⟩ non-comm
    unfolding ⟨concat (x # xs) = concat (y # ys)⟩ unfolding concat.simps(2)
  lcp-self list.sel(1)
  by auto
  thus x # xs = y # ys
    using 4.hyps ⟨concat (x # xs) = concat (y # ys)⟩[unfolded concat.simps(2)
    ⟨x = y⟩, unfolded cancel]
    ⟨y # ys ∈ lists {u0, u1}⟩[unfolded Cons-in-lists-iff] ⟨x # xs ∈ lists {u0, u1}⟩[unfolded Cons-in-lists-iff]
  by simp
qed
qed (auto simp: bin-fst-nemp bin-snd-nemp)

```

```

lemma code-bin-roots: binary-code (ρ u0) (ρ u1)
using non-comm comp-primroot-conv' by unfold-locales blast

```

```

sublocale code {u0,u1}
using bin-code by unfold-locales

```

```

lemma primroot-dec: (Dec {ρ u0, ρ u1} u0) = [ρ u0]@eρ u0 (Dec {ρ u0, ρ u1} u1)
= [ρ u1]@eρ u1

```

```

proof-
  interpret rs: binary-code ρ u0 ρ u1
  by (simp add: code-bin-roots)
  from primroot-exp-eq
  have concat ([ρ u0]@eρ u0) = u0 concat ([ρ u1]@eρ u1) = u1
  by force+
  from rs.code-unique-dec[OF - this(1)] rs.code-unique-dec[OF - this(2)]
  show (Dec {ρ u0, ρ u1} u0) = [ρ u0]@eρ u0 (Dec {ρ u0, ρ u1} u1) = [ρ u1]@eρ
u1
  by (simp-all add: sing-pow-lists)
qed

```

```

lemma bin-code-prefs: assumes w ∈ ⟨{u0,u1}⟩ and p ≤p w w' ∈ ⟨{u0,u1}⟩ and
|u1| ≤ |p|

```

```

shows ¬ u0 · p ≤p u1 · w'

```

```

proof
  assume contr: u0 · p ≤p u1 · w'
  have |α| < |u0 · p|
  using ⟨|u1| ≤ |p⟩ bin-lcp-short by auto
  hence α · [c0] ≤p u0 · p
  using ⟨p ≤p w⟩ ⟨w ∈ ⟨{u0,u1}⟩⟩ bin-lcp-mismatch-pref-all-fst by auto
  from pref-ext[OF pref-trans[OF this contr], unfolded rassoc]
  have α · [c0] ≤p u1 · w' · α.

```

**from** *bin-mismatch-neq same-sing-pref*[*OF bin-snd-mismatch-all-hull* [*OF*  $\langle w' \in \langle \{u_0, u_1\} \rangle \rangle$ ] *this*]  
**show** *False*  
**by** *simp*  
**qed**

**lemma** *bin-code-rev: binary-code (rev u<sub>0</sub>) (rev u<sub>1</sub>)*  
**by** (*unfold-locales, unfold comm-rev-iff, simp add: non-comm*)

**lemma** *bin-mismatch-pows:  $\neg u_0^{\textcircled{a}} \text{Suc } k \cdot u_1 \cdot z = u_1^{\textcircled{a}} \text{Suc } l \cdot u_0 \cdot z'$*   
**proof** (*rule notI*)

**assume** *eq:  $u_0^{\textcircled{a}} \text{Suc } k \cdot u_1 \cdot z = u_1^{\textcircled{a}} \text{Suc } l \cdot u_0 \cdot z'$*   
**have** *pref1:  $\alpha \cdot [c_0] \leq_p u_0^{\textcircled{a}} \text{Suc } k \cdot u_1$  and pref2:  $\alpha \cdot [c_1] \leq_p u_1^{\textcircled{a}} \text{Suc } l \cdot u_0$*   
**using** *bin-lcp-fst-pow-pref*[*of Suc k  $\varepsilon$ , unfolded emp-simps*] *bin-lcp-snd-pow-pref*[*of Suc l  $\varepsilon$ , unfolded emp-simps*] **by** *blast+*  
**from** *ruler*[*OF pref-ext* [*OF pref1, unfolded rassoc, of z, unfolded eq*] *pref-ext* [*OF pref2, unfolded rassoc, of z', unfolded eq*]] *bin-mismatch-neq*  
**show** *False* **by** *simp*  
**qed**

**lemma** *bin-lcp-pows-lcp:  $0 < k \implies 0 < l \implies u_0^{\textcircled{a}} k \cdot u_1^{\textcircled{a}} l \wedge_p u_1^{\textcircled{a}} l \cdot u_0^{\textcircled{a}} k = u_0 \cdot u_1 \wedge_p u_1 \cdot u_0$*   
**using** *bin-lcp-pows unfolding bin-lcp-def using pow-pos* **by** *metis*

**lemma** *bin-mismatch:  $u_0 \cdot \alpha \wedge_p u_1 \cdot \alpha = \alpha$*   
**using** *lcp-first-mismatch-pref*[*OF bin-fst-mismatch bin-snd-mismatch bin-mismatch-neq*].

**lemma** *not-comp-bin-fst-snd:  $\neg u_0 \cdot \alpha \bowtie u_1 \cdot \alpha$*   
**using** *ruler-comp*[*OF bin-fst-mismatch bin-snd-mismatch*] *bin-mismatch-neq*  
**unfolding** *prefix-comparable-def pref-cancel-conv* **by** *force*

**theorem** *bin-bounded-delay: assumes  $z \leq_p u_0 \cdot w_0$  and  $z \leq_p u_1 \cdot w_1$*   
**and**  *$w_0 \in \langle \{u_0, u_1\} \rangle$  and  $w_1 \in \langle \{u_0, u_1\} \rangle$*

**shows**  $|z| \leq |\alpha|$

**proof** (*rule leI, rule notI*)

**assume**  $|\alpha| < |z|$   
**hence**  $|\alpha \cdot [a]| \leq |z|$  **for** *a*  
**unfolding** *lenmorph sing-len* **by** *simp*  
**have**  $z \leq_p u_0 \cdot w_0 \cdot \alpha$  **and**  $z \leq_p u_1 \cdot w_1 \cdot \alpha$   
**using** *pref-prolong*[*OF  $\langle z \leq_p u_0 \cdot w_0 \rangle$  triv-pref*] *pref-prolong*[*OF  $\langle z \leq_p u_1 \cdot w_1 \rangle$  triv-pref*].  
**have**  $\alpha \cdot [c_0] \leq_p u_0 \cdot w_0 \cdot \alpha$  **and**  $\alpha \cdot [c_1] \leq_p u_1 \cdot w_1 \cdot \alpha$   
**using** *bin-fst-mismatch-all-hull*[*OF  $\langle w_0 \in \langle \{u_0, u_1\} \rangle \rangle$ ] *bin-snd-mismatch-all-hull*[*OF  $\langle w_1 \in \langle \{u_0, u_1\} \rangle \rangle$ ].  
**from**  $\langle z \leq_p u_0 \cdot w_0 \cdot \alpha \rangle \langle \alpha \cdot [c_0] \leq_p u_0 \cdot w_0 \cdot \alpha \rangle \langle |\alpha \cdot [c_0]| \leq |z| \rangle$   
**have**  $\alpha \cdot [c_0] \leq_p z$   
**using** *prefix-length-prefix* **by** *blast*  
**from**  $\langle z \leq_p u_1 \cdot w_1 \cdot \alpha \rangle \langle \alpha \cdot [c_1] \leq_p u_1 \cdot w_1 \cdot \alpha \rangle \langle |\alpha \cdot [c_1]| \leq |z| \rangle$   
**have**  $\alpha \cdot [c_1] \leq_p z$**

**using** *prefix-length-prefix* **by** *blast*  
**from**  $\langle \alpha \cdot [c_1] \leq_p z \rangle \langle \alpha \cdot [c_0] \leq_p z \rangle$  *bin-mismatch-neq*  
**show** *False*  
**unfolding** *prefix-def* **by** *force*  
**qed**

**thm** *binary-code.bin-lcp-pows-lcp*

**lemma** *prim-roots-lcp*:  $\varrho u_0 \cdot \varrho u_1 \wedge_p \varrho u_1 \cdot \varrho u_0 = \alpha$

**proof**–

**obtain**  $k$  **where**  $\varrho u_0^{\textcircled{a}} k = u_0$   $0 < k$   
**using** *primroot-expE*.  
**obtain**  $m$  **where**  $\varrho u_1^{\textcircled{a}} m = u_1$   $0 < m$   
**using** *primroot-expE*.  
**have**  $\varrho u_0 \cdot \varrho u_1 \neq \varrho u_1 \cdot \varrho u_0$   
**using** *non-comm[unfolding comp-primroot-conv'[of u<sub>0</sub>]]*.  
**then interpret**  $r$ : *binary-code*  $\varrho u_0 \varrho u_1$  **by** *unfold-locales*  
**from**  $r$ .*bin-lcp-pows-lcp*[*OF*  $\langle 0 < k \rangle \langle 0 < m \rangle$ , *unfolding*  $\langle \varrho u_1^{\textcircled{a}} m = u_1 \rangle \langle \varrho u_0^{\textcircled{a}} k = u_0 \rangle$ , *symmetric*]  
**show** *?thesis*  
**unfolding** *bin-lcp-def*.  
**qed**

## Maximal r-prefixes

**lemma** *bin-lcp-per-root-max-pref-short*: **assumes**  $\alpha <_p u_0 \cdot u_1 \wedge_p r \cdot u_0 \cdot u_1$  **and**  $r \neq \varepsilon$  **and**  $q \leq_p w$  **and**  $w \in \langle \{u_0, u_1\} \rangle$

**shows**  $u_1 \cdot q \wedge_p r \cdot u_1 \cdot q = \text{take } |u_1 \cdot q| \alpha$

**proof**–

**have**  $q \bowtie \alpha$   
**using** *bin-lcp-pref-all-hull*[*OF*  $\langle w \in \langle \{u_0, u_1\} \rangle \rangle$  *ruler-comp*[*OF*  $\langle q \leq_p w \rangle$ , *of*  $w \cdot \alpha$ ] **by** *blast*  
**hence** *comp1*:  $u_1 \cdot q \bowtie \alpha \cdot [c_1]$   
**using** *ruler-comp*[*OF* *self-pref bin-snd-mismatch*, *of*  $u_1 \cdot q$ ] **unfolding** *comp-cancel*  
**by** *blast*

**from** *add-nth-pref*[*OF* *assms*(1), *THEN* *pref-lcp-pref*] *bin-fst-mismatch'*

**have**  $(u_0 \cdot u_1 \wedge_p r \cdot u_0 \cdot u_1) ! |\alpha| = c_0$

**using** *same-sing-pref* **by** *fast*

**from** *add-nth-pref*[*OF* *assms*(1), *unfolding* *this*]

**have**  $\alpha \cdot [c_0] \leq_p r \cdot u_0 \cdot u_1$

**by** *force*

**have** *len*:  $|\alpha \cdot [c_0]| \leq |r \cdot \alpha|$

**using** *nemp-pos-len*[*OF*  $\langle r \neq \varepsilon \rangle$ ] **unfolding** *lenmorph sing-len* **by** *linarith*

**have** *comp2*:  $r \cdot u_1 \cdot q \bowtie \alpha \cdot [c_0]$

**proof**(*rule* *ruler-comp*[*OF* - - *comp-refl*])

```

show  $r \cdot u_1 \cdot q \leq_p r \cdot u_1 \cdot w \cdot \alpha$ 
  using  $\langle q \leq_p w \rangle$  by fastforce
show  $\alpha \cdot [c_0] \leq_p r \cdot u_1 \cdot w \cdot \alpha$ 
proof(rule pref-prolong)
  show  $\alpha \cdot [c_0] \leq_p r \cdot \alpha$ 
    using  $\langle \alpha \cdot [c_0] \leq_p r \cdot u_0 \cdot u_1 \rangle$  bin-lcp-pref len pref-prod-pref-short by blast
  show  $\alpha \leq_p u_1 \cdot w \cdot \alpha$ 
    using  $\langle w \in \{\{u_0, u_1\}\} \rangle$  bin-lcp-pref-all-hull[of  $u_1 \cdot w$ ] by auto
qed
qed

have min:  $(\min |u_1 \cdot q| |r \cdot u_1 \cdot q|) = |u_1 \cdot q|$ 
  unfolding lenmorph by simp

show ?thesis
  using bin-mismatch-neq double-ruler[OF comp1 comp2,unfolding min]
  by (simp add: lcp-mismatch-eq-len mismatch-incopm)
qed

lemma bin-per-root-max-pref-short: assumes  $(u_0 \cdot u_1) <_p r \cdot u_0 \cdot u_1$  and  $q \leq_p w$ 
and  $w \in \{\{u_0, u_1\}\}$ 
  shows  $u_1 \cdot q \wedge_p r \cdot u_1 \cdot q = \text{take } |u_1 \cdot q| \alpha$ 
proof (rule bin-lcp-per-root-max-pref-short[OF - - assms(2-3)])
  show  $\alpha <_p u_0 \cdot u_1 \wedge_p r \cdot u_0 \cdot u_1$ 
    unfolding lcp.absorb3[OF assms(1)] using bin-fst-mismatch'[THEN prefix-snocD].
qed (use assms(1) in blast)

lemma bin-root-max-pref-long: assumes  $r \cdot u_0 \cdot u_1 = u_0 \cdot u_1 \cdot r$  and  $q \leq_p w$ 
and  $w \in \{\{u_0, u_1\}\}$  and  $|\alpha| \leq |q|$ 
  shows  $u_0 \cdot \alpha \leq_p u_0 \cdot q \wedge_p r \cdot u_0 \cdot q$ 
proof (rule pref-pref-lcp)
  have len:  $|u_0 \cdot \alpha| \leq |r \cdot u_0 \cdot \alpha|$ 
    by simp
  from bin-lcp-pref-all-len[OF assms(2-4)]
  show  $u_0 \cdot \alpha \leq_p u_0 \cdot q$ 
    unfolding pref-cancel-conv.
  have  $u_0 \cdot \alpha \leq_p r \cdot u_0 \cdot \alpha$ 
  proof(rule ruler-le[OF - - len])
    show  $u_0 \cdot \alpha \leq_p (r \cdot u_0 \cdot u_1) \cdot u_0 \cdot u_1$ 
      unfolding assms(1) unfolding rassoc pref-cancel-conv assms(1)
      using pref-ext[OF pref-ext[OF bin-lcp-pref'], unfolding rassoc].
    show  $r \cdot u_0 \cdot \alpha \leq_p (r \cdot u_0 \cdot u_1) \cdot u_0 \cdot u_1$ 
      unfolding rassoc pref-cancel-conv using pref-ext[OF bin-lcp-pref', unfolding rassoc].
  qed
  from pref-prolong[OF this[unfolding lassoc], OF  $\langle \alpha \leq_p q \rangle$ , unfolding rassoc]
  show  $u_0 \cdot \alpha \leq_p r \cdot u_0 \cdot q$ .
qed

```

**lemma** *per-root-lcp-per-root*:  $u_0 \cdot u_1 <_p r \cdot u_0 \cdot u_1 \implies \alpha \cdot [c_0] \leq_p r \cdot \alpha$   
**using** *per-root-pref-sing*[*OF - bin-fst-mismatch*].

**lemma** *per-root-bin-fst-snd-lcp*: **assumes**  $u_0 \cdot u_1 <_p r \cdot u_0 \cdot u_1$  **and**

$q \leq_p w$  **and**  $w \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| < |u_1 \cdot q|$

$q' \leq_p w'$  **and**  $w' \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| \leq |q'|$

**shows**  $u_1 \cdot q \wedge_p r \cdot q' = \alpha$

**proof**–

**have** *pref1*:  $\alpha \cdot [c_1] \leq_p u_1 \cdot q$

**using**  $\langle |\alpha| < |u_1 \cdot q| \rangle \langle q \leq_p w \rangle$  *bin-snd-mismatch-all-len*[*of*  $u_1 \cdot q$ , *OF -*  $\langle w \in \langle \{u_0, u_1\} \rangle \rangle$ ]

**unfolding** *pref-cancel-conv* **by** *blast*

**have**  $\alpha \leq_p q'$

**using**  $\langle |\alpha| \leq |q'| \rangle \langle q' \leq_p w' \rangle \langle w' \in \langle \{u_0, u_1\} \rangle \rangle$  *bin-lcp-pref-all-len* **by** *blast*

**have** *pref2*:  $\alpha \cdot [c_0] \leq_p r \cdot \alpha$

**using** *assms*(1) *per-root-lcp-per-root* **by** *auto*

**hence** *pref2*:  $\alpha \cdot [c_0] \leq_p r \cdot q'$

**using**  $\langle \alpha \leq_p q' \rangle$  *pref-prolong* **by** *blast*

**show** *?thesis*

**using** *lcp-first-mismatch-pref*[*OF* *pref1* *pref2* *bin-mismatch-neq*[*symmetric*]].

**qed**

**end**

**lemmas** *no-comm-bin-code* = *binary-code.bin-code*[*unfolded* *binary-code-def*]

**theorem** *bin-code-code*: **assumes**  $u \cdot v \neq v \cdot u$  **shows** *code*  $\{u, v\}$

**unfolding** *code-def* **using** *no-comm-bin-code*[*OF* *assms*] **by** *blast*

**lemma** *code-bin-code*:  $u \neq v \implies \text{code } \{u, v\} \implies u \cdot v \neq v \cdot u$

**by** (*elim* *code.code-not-comm*) *simp-all*

**lemma** *lcp-roots-lcp*:  $x \cdot y \neq y \cdot x \implies x \cdot y \wedge_p y \cdot x = \varrho x \cdot \varrho y \wedge_p \varrho y \cdot \varrho x$

**using** *binary-code.prim-roots-lcp*[*unfolded* *binary-code-def* *bin-lcp-def*, *symmetric*].

### 3.8.2 Binary Mismatch tools

**thm** *binary-code.bin-mismatch-pows*[*unfolded* *binary-code-def*]

**lemma** *bin-mismatch*:  $u^{\textcircled{a}} \text{Suc } k \cdot v \cdot z = v^{\textcircled{a}} \text{Suc } l \cdot u \cdot z' \implies u \cdot v = v \cdot u$

**using** *binary-code.bin-mismatch-pows*[*unfolded* *binary-code-def*] **by** *blast*

**definition** *bin-mismatch-pref* :: '*a* list  $\Rightarrow$  '*a* list  $\Rightarrow$  '*a* list  $\Rightarrow$  bool **where**

*bin-mismatch-pref*  $x\ y\ w \equiv \exists k. x^{\textcircled{a}} k \cdot y \leq_p w$



— Binary mismatch elims

**lemma** *bm-pref-letter*: **assumes**  $x \cdot y \neq y \cdot x$  **and** *bin-mismatch-pref*  $x y (w1 \cdot y)$   
**shows**  $\text{bin-lcp } x y \cdot [\text{bin-mismatch } x y] \leq_p x \cdot w1 \cdot \text{bin-lcp } x y$

**proof**–

**interpret** *binary-code*  $x y$   
**using** *assms(1)* **by** *unfold-locales*  
**from** *assms[unfolded bin-mismatch-pref-def prefix-def rassoc]*  
**obtain**  $k1 z1$  **where** *eq1*:  $w1 \cdot y = x^{\textcircled{}}k1 \cdot y \cdot z1$   
**by** *blast*  
**have**  $\text{bin-lcp } x y \cdot [\text{bin-mismatch } x y] \leq_p x \cdot w1 \cdot y \cdot \text{bin-lcp } x y$   
**unfolding** *lassoc*  $\langle w1 \cdot y = x^{\textcircled{}}k1 \cdot y \cdot z1 \rangle$  *pow-Suc[symmetric]* **unfolding** *rassoc*  
**using** *bin-lcp-fst-pow-pref* **by** *blast*  
**have**  $|\text{bin-lcp } x y \cdot [\text{bin-mismatch } x y]| \leq |(x \cdot w1) \cdot \text{bin-lcp } x y|$   
**unfolding** *lenmorph sing-len* **using** *nemp-len[OF bin-fst-nemp]* **by** *linarith*  
**from** *ruler-le[OF*  $\langle \text{bin-lcp } x y \cdot [\text{bin-mismatch } x y] \leq_p x \cdot w1 \cdot y \cdot \text{bin-lcp } x y \rangle$  *-*  
*this]*  
**show**  $\text{bin-code-lcp} \cdot [\text{bin-mismatch } x y] \leq_p x \cdot w1 \cdot \text{bin-code-lcp}$   
**unfolding** *shifts* **using** *bin-lcp-snd-lcp*.

**qed**

**lemma** *bm-eq-hard*: **assumes**  $x \cdot w1 = y \cdot w2$  **and** *bin-mismatch-pref*  $x y (w1 \cdot y)$  **and** *bin-mismatch-pref*  $y x (w2 \cdot x)$

**shows**  $x \cdot y = y \cdot x$

**proof**(*rule classical*)

**assume**  $x \cdot y \neq y \cdot x$

**note** *bm-pref-letter[OF this assms(2)] bm-pref-letter[OF this[symmetric] assms(3)]*

**from** *ruler-eq-len[OF this[unfolded lassoc*  $\langle x \cdot w1 = y \cdot w2 \rangle$  *bin-lcp-sym[of y]]]*

**have**  $\text{bin-mismatch } x y = \text{bin-mismatch } y x$

**unfolding** *lenmorph sing-len cancel* **by** *blast*

**thus**  $x \cdot y = y \cdot x$

**unfolding** *bin-mismatch-comm*.

**qed**

**lemma** *bm-hard-lcp*: **assumes**  $x \cdot y \neq y \cdot x$  **and** *bin-mismatch-pref*  $x y w1$  **and** *bin-mismatch-pref*  $y x w2$

**shows**  $x \cdot w1 \wedge_p y \cdot w2 = x \cdot y \wedge_p y \cdot x$

**proof**–

**interpret** *binary-code*  $x y$

**using**  $\langle x \cdot y \neq y \cdot x \rangle$  **by** *unfold-locales*

**write** *bin-code-lcp*  $\langle \alpha \rangle$

**from** *assms[unfolded bin-mismatch-pref-def]*

**obtain**  $k m$  **where**  $x^{\textcircled{}}k \cdot y \leq_p w1$   $y^{\textcircled{}}m \cdot x \leq_p w2$

**by** *blast*

**hence** *prefs*:  $x \cdot x^{\textcircled{}}k \cdot y \leq_p x \cdot w1$   $y \cdot y^{\textcircled{}}m \cdot x \leq_p y \cdot w2$

**unfolding** *pref-cancel-conv*.

**have** *l-less*:  $|\alpha| < |x \cdot x^{\textcircled{k}} \cdot y| \mid |\alpha| < |y \cdot y^{\textcircled{m}} \cdot x|$   
**using** *bin-lcp-short* **unfolding** *lenmorph* **by** *simp-all*  
**from** *bin-code-delay*[*OF less-imp-le less-imp-le, OF this self-pref self-pref*]  
**have** *aux*:  $x \cdot x^{\textcircled{k}} \cdot y \wedge_p y \cdot y^{\textcircled{m}} \cdot x = \alpha$   
**by** *blast+*  
**have**  $\neg x \cdot x^{\textcircled{k}} \cdot y \bowtie y \cdot y^{\textcircled{m}} \cdot x$   
**unfolding** *prefix-comparable-def lcp-pref-conv'*[*symmetric*] *aux aux*[*unfolded*  
*lcp-sym*[*of x · -*]]  
**using** *l-less* **by** *fastforce*  
**thus** *?thesis*  
**using** *lcp-rulers*[*OF prefs*] **unfolding** *bin-lcp-def aux* **by** *blast*  
**qed**

**lemma** *bm-pref-hard*: **assumes**  $x \cdot w1 \leq_p y \cdot w2$  **and** *bin-mismatch-pref x y w1*  
**and** *bin-mismatch-pref y x (w2 · x)*  
**shows**  $x \cdot y = y \cdot x$   
**proof**(*rule classical*)  
**assume**  $x \cdot y \neq y \cdot x$   
**then interpret** *binary-code x y*  
**by** *unfold-locales*  
**from** *assms*[*unfolded bin-mismatch-pref-def prefix-def rassoc*]  
**obtain** *k1 z1* **where** *eq1*:  $w1 = x^{\textcircled{k1}} \cdot y \cdot z1$   
**by** *blast*  
**have** *bin-lcp x y · [bin-mismatch x y] ≤<sub>p</sub> x · w1*  
**unfolding** *lassoc*  $\langle w1 = x^{\textcircled{k1}} \cdot y \cdot z1 \rangle$  *pow-Suc*[*symmetric*] **unfolding** *rassoc*  
**using** *bin-lcp-fst-pow-pref* **by** *blast*  
**note** *pref-ext*[*OF pref-trans*[*OF this assms(1)*], *unfolded rassoc*] *bm-pref-letter*[*OF*  
 $\langle x \cdot y \neq y \cdot x \rangle$ [*symmetric*] *assms(3)*, *unfolded bin-lcp-sym*[*of y*]]  
**from** *ruler-eq-len*[*OF this*]  
**have** *bin-mismatch x y = bin-mismatch y x*  
**unfolding** *lenmorph sing-len cancel* **by** *blast*  
**thus**  $x \cdot y = y \cdot x$   
**unfolding** *bin-mismatch-comm.*  
**qed**

**named-theorems** *bm-elim*s

**lemmas** [*bm-elim*s] = *bm-eq-hard* *bm-eq-hard*[*symmetric*] *bm-pref-hard* *bm-pref-hard*[*symmetric*]  
*bm-hard-lcp* *bm-hard-lcp*[*symmetric*]  
*arg-cong2*[*of - - - λ x y. x ∧<sub>p</sub> y*]

**named-theorems** *bm-elim*s-*rev*

**lemmas** [*bm-elim*s-*rev*] = *bm-elim*s[*reversed*]

— Binary mismatch predicate evaluation

**named-theorems** *bm-simps*

```

lemma [bm-simps]: bin-mismatch-pref x y (y · v)
  unfolding bin-mismatch-pref-def using append-Nil pow-zero[of x] by blast
lemma [bm-simps]: bin-mismatch-pref x y y
  unfolding bin-mismatch-pref-def using append-Nil pow-zero[of x] self-pref by
metis
lemma [bm-simps]:
  w1 ∈ ⟨{x,y}⟩ ⇒ bin-mismatch-pref x y w ⇒ bin-mismatch-pref x y (w1 · w)
  unfolding bin-mismatch-pref-def
proof (induct w1 arbitrary: w rule: hull.induct)
  case (prod-cl w1 w2)
  from prod-cl.hyps(3)[OF prod-cl.prem]
  obtain k s where w2 · w = x@ k · y · s by (auto simp add: prefix-def)
  consider w1 = x | w1 = y using ⟨w1 ∈ {x,y}⟩ by blast
  then show ?case
  proof (cases)
    assume w1 = x
    show ?thesis
    unfolding rassoc ⟨w2 · w = x@ k · y · s⟩ ⟨w1 = x⟩
    unfolding lassoc pow-Suc[symmetric] unfolding rassoc
    using same-prefix-prefix by blast
  next
    assume w1 = y
    have x@ 0 · y ≤p y · w2 · w by auto
    thus ?thesis
    unfolding rassoc ⟨w1 = y⟩ by blast
  qed
qed simp

lemmas [bm-simps] = lcp-ext-left

named-theorems bm-simps-rev
lemmas [bm-simps-rev] = bm-simps[reversed]

— Binary hull membership evaluation

named-theorems bin-hull-in
lemma[bin-hull-in]: x ∈ ⟨{x,y}⟩
  by blast
lemma[bin-hull-in]: y ∈ ⟨{x,y}⟩
  by blast
lemma[bin-hull-in]: w ∈ ⟨{x,y}⟩ ↔ w ∈ ⟨{y,x}⟩
  by (simp add: insert-commute)
lemmas[bin-hull-in] = hull-closed power-in rassoc

named-theorems bin-hull-in-rev
lemmas [bin-hull-in-rev] = bin-hull-in[reversed]

method mismatch0 =
  ((simp only: shifts bm-simps)?,

```

(*elim bm-elims*)?;  
(*simp-all only: bm-simps bin-hull-in*)

**method** *mismatch-rev* =  
((*simp only: shifts-rev bm-simps-rev*)?;  
(*elim bm-elims-rev*)?;  
(*simp-all only: bm-simps-rev bin-hull-in-rev*))

**method** *mismatch* =  
(*insert method-facts, use nothing in*  
⟨(*mismatch0;fail*)|(*mismatch-rev*)⟩  
)

**thm** *bm-elims*

### Mismatch method demonstrations

**lemma**  $y \cdot x \leq_p x^{\textcircled{k}} \cdot x \cdot y \cdot w \implies x \cdot y = y \cdot x$   
**by** *mismatch*

**lemma**  $w1 \in \langle \{x,y\} \rangle \implies w2 \in \langle \{x,y\} \rangle \implies x \cdot w2 \cdot y \cdot z = y \cdot w1 \cdot x \cdot v \implies x \cdot y = y \cdot x$   
**by** *mismatch*

**lemma**  $w1 \in \langle \{x,y\} \rangle \implies y \cdot x \cdot w2 \cdot z = x \cdot w1 \implies x \cdot y = y \cdot x$   
**by** *mismatch*

**lemma**  $w1 \in \langle \{x,y\} \rangle \implies w2 \in \langle \{x,y\} \rangle \implies x \cdot y \cdot w2 \cdot x \leq_s x \cdot w1 \cdot y \implies x \cdot y = y \cdot x$   
**by** *mismatch*

**lemma** *assumes*  $x \cdot y \cdot z = y \cdot y \cdot x \cdot v$  **shows**  $x \cdot y = y \cdot x$   
**using** *assms* **by** *mismatch*

**lemma** *assumes*  $y \cdot x \cdot x \cdot y \cdot z = y \cdot x \cdot y \cdot y \cdot x \cdot v$  **shows**  $x \cdot y = y \cdot x$   
**using** *assms* **by** *mismatch*

**lemma**  $y \cdot y \cdot x \cdot v = x \cdot x \cdot y \cdot z \implies x \cdot y = y \cdot x$   
**by** *mismatch*

**lemma**  $x \cdot x \cdot y \cdot z = y \cdot y \cdot x \cdot z' \implies x \cdot y = y \cdot x$   
**by** *mismatch*

**lemma**  $z \cdot x \cdot y \cdot x \cdot x = v \cdot x \cdot y \cdot y \implies y \cdot x = x \cdot y$   
**by** *mismatch*

**lemma**  $x \cdot y \leq_p y \cdot y \cdot x \implies x \cdot y = y \cdot x$

by *mismatch*

**lemma**  $y \cdot x \cdot x \cdot x \cdot y \leq_p y \cdot x \cdot x \cdot y \cdot y \cdot x \implies x \cdot y = y \cdot x$   
by *mismatch*

**lemma**  $x \cdot y \leq_p y \cdot y \cdot x \cdot z \implies y \cdot x = x \cdot y$   
by *mismatch*

**lemma**  $x \cdot x \cdot y \cdot y \cdot y \leq_s z \cdot y \cdot y \cdot x \cdot x \implies x \cdot y = y \cdot x$   
by *mismatch*

**lemma assumes**  $x \cdot x \cdot y \cdot y \cdot y \leq_s z \cdot y \cdot y \cdot x \cdot x$  **shows**  $x \cdot y = y \cdot x$   
using *assms* by *mismatch*

**lemma**  $k \neq 0 \implies j \neq 0 \implies (x^{\textcircled{a}} j \cdot y^{\textcircled{a}} ka) \cdot y = y^{\textcircled{a}} k \cdot x^{\textcircled{a}} j \cdot y^{\textcircled{a}} (k - 1) \implies$   
 $x \cdot y = y \cdot x$   
by *mismatch*

**lemma**  $dif \neq 0 \implies j \neq 0 \implies (x^{\textcircled{a}} j \cdot y^{\textcircled{a}} ka) \cdot y^{\textcircled{a}} dif = y^{\textcircled{a}} dif \cdot x^{\textcircled{a}} j \cdot y^{\textcircled{a}}$   
 $ka \implies x \cdot y = y \cdot x$   
by *mismatch*

**lemma assumes**  $x \cdot y \neq y \cdot x$   
**shows**  $x \cdot x \cdot y \wedge_p y \cdot y \cdot x = (x \cdot y \wedge_p y \cdot x)$   
using *assms* by *mismatch*

**lemma assumes**  $x \cdot y \neq y \cdot x$   
**shows**  $w \cdot z \cdot x \cdot x \cdot y \wedge_p w \cdot z \cdot y \cdot y \cdot x = (w \cdot z) \cdot (x \cdot y \wedge_p y \cdot x)$   
using *assms* by *mismatch*

### 3.8.3 Applied mismatch

**lemma** *pows-comm-comm*: **assumes**  $u^{\textcircled{a}} k \cdot v^{\textcircled{a}} m = u^{\textcircled{a}} l \cdot v^{\textcircled{a}} n$   $k \neq l$  **shows**  $u \cdot v = v \cdot u$

**proof**–

**have** *aux*:  $u^{\textcircled{a}} k \cdot v^{\textcircled{a}} m \cdot v \cdot u = u^{\textcircled{a}} l \cdot v^{\textcircled{a}} n \cdot v \cdot u \implies k \neq l \implies u \cdot v = v \cdot u$

**by** (*induct*  $k$   $l$  *rule: diff-induct*) *mismatch*+

**from** *this*[*unfolded lassoc cancel-right, OF assms*]

**show**  $u \cdot v = v \cdot u$ .

**qed**

## 3.9 Two words hull (not necessarily a code)

**lemma** *bin-lists-len-count*: **assumes**  $x \neq y$  **and**  $ws \in \text{lists } \{x, y\}$  **shows**  
*count-list*  $ws$   $x$  + *count-list*  $ws$   $y$  =  $|ws|$

**proof**–

**have** *finite*  $\{x, y\}$  **by** *simp*

**have** *set*  $ws \subseteq \{x, y\}$  **using**  $\langle ws \in \text{lists } \{x, y\} \rangle$  **by** *blast*

**show** *?thesis*

using *sum-count-set*[*OF*  $\langle \text{set } ws \subseteq \{x,y\} \rangle \langle \text{finite } \{x,y\} \rangle \langle x \neq y \rangle$ ] **by** *simp*  
**qed**

**lemma** *two-elem-first-block*: **assumes**  $w \in \langle \{u,v\} \rangle$

**obtains**  $m$  **where**  $u^{\textcircled{m}} \cdot v \bowtie w$

**using** *assms*

**proof**–

**obtain**  $ws$  **where**  $\text{concat } ws = w$  **and**  $ws \in \text{lists } \{u,v\}$

**using** *concat-dec*[*OF*  $\langle w \in \langle \{u,v\} \rangle \rangle$ ] *dec-in-lists*[*OF*  $\langle w \in \langle \{u,v\} \rangle \rangle$ ] **by** *simp*

**consider**  $(\text{only-}u) \text{ takeWhile } (\lambda x. x = u) ws = ws \mid (\text{some-}v) \text{ takeWhile } (\lambda x. x = u) ws \neq ws \wedge \text{hd } (\text{dropWhile } (\lambda x. x = u) ws) \neq u$

**using** *hd-dropWhile*[*of*  $(\lambda x. x = u) ws$ ] **by** *auto*

**then show** *thesis*

**proof** (*cases*)

**case** *only-u*

**hence**  $ws = [u]^{\textcircled{m}} \mid ws \mid$

**unfolding** *takeWhile-sing-pow* **by** *metis*

**hence**  $w = u^{\textcircled{m}} \mid ws \mid$

**using**  $\langle \text{concat } ws = w \rangle$  *concat-sing-pow* **by** *metis*

**then show** *thesis*

**using** *that* **by** *blast*

**next**

**case** *some-v*

**note**  $\text{some-}v = \text{conjunct1}[OF \text{ this}] \text{ conjunct2}[OF \text{ this}]$

**hence**  $\text{dropWhile } (\lambda x. x = u) ws \neq \varepsilon$  **by** *force*

**from** *lists-hd-in-set*[*OF this*]

**have**  $\text{hd } (\text{dropWhile } (\lambda x. x = u) ws) \in \{u,v\}$

**using**  $\langle ws \in \text{lists } \{u,v\} \rangle$  *append-in-lists-conv* *takeWhile-dropWhile-id* **by**

*metis*

**hence**  $\text{hd } (\text{dropWhile } (\lambda x. x = u) ws) = v$

**using** *some-v(2)* **by** *simp*

**from** *dropWhile-distinct*[*of*  $ws$   $u$ , *unfolded this*] *some-v(1)*

**have**  $(\text{takeWhile } (\lambda x. x = u) ws) \cdot [v] \leq_p ws$

**unfolding** *takeWhile-letter-pref-exp* **by** *simp*

**from** *pref-concat-pref*[*OF this*, *unfolded concat-morph*, *unfolded*  $\langle \text{concat } ws = w \rangle$  *concat-takeWhile-sing*[*unfolded this*]]

**have**  $u^{\textcircled{m}} \mid \text{takeWhile } (\lambda x. x = u) ws \cdot v \leq_p w$

**by** *simp*

**with** *that*

**show** *thesis*

**by** *blast*

**qed**

**qed**

**lemmas** *two-elem-last-block* = *two-elem-first-block*[*reversed*]

**lemma** *two-elem-pref*: **assumes**  $v \leq_p u \cdot p$  **and**  $p \in \langle \{u,v\} \rangle$

**shows**  $v \leq_p u \cdot v$

**proof**–

**obtain**  $m$  **where**  $u^{\textcircled{a}}m \cdot v \bowtie p$   
**using** *two-elem-first-block*[*OF*  $\langle p \in \langle \{u, v\} \rangle \rangle$ ].  
**have**  $v \leq_p u^{\textcircled{a}}(\text{Suc } m) \cdot v$   
**using** *pref-prolong-comp*[*OF*  $\langle v \leq_p u \cdot p \rangle \langle u^{\textcircled{a}}m \cdot v \bowtie p \rangle$ , *unfolded lassoc*, *folded pow-Suc*].  
**thus**  $v \leq_p u \cdot v$   
**using** *per-drop-exp'* **by** *blast*  
**qed**

**lemmas** *two-elem-suf* = *two-elem-pref*[*reversed*]

**lemma** *gen-drop-exp*: **assumes**  $p \in \langle \{u, v^{\textcircled{a}}(\text{Suc } k)\} \rangle$  **shows**  $p \in \langle \{u, v\} \rangle$   
**by** (*rule hull.induct*[*OF* *assms*], *simp*, *blast*)

**lemma** *gen-drop-exp-pos*: **assumes**  $p \in \langle \{u, v^{\textcircled{a}}k\} \rangle$   $0 < k$  **shows**  $p \in \langle \{u, v\} \rangle$   
**using** *gen-drop-exp*[*of* - -  $k-1$ , *unfolded Suc-minus-pos*[*OF*  $\langle 0 < k \rangle$ ], *OF*  $\langle p \in \langle \{u, v^{\textcircled{a}}k\} \rangle \rangle$ ].

**lemma** *gen-prim*:  $p \in \langle \{u, v\} \rangle \implies p \in \langle \{u, \varrho v\} \rangle$   
**using** *gen-drop-exp-pos* *primroot-expE* **by** *metis*

**lemma** *roots-hull*: **assumes**  $w \in \langle \{u^{\textcircled{a}}k, v^{\textcircled{a}}m\} \rangle$  **shows**  $w \in \langle \{u, v\} \rangle$

**proof**–

**have**  $u^{\textcircled{a}}k \in \langle \{u, v\} \rangle$  **and**  $v^{\textcircled{a}}m \in \langle \{u, v\} \rangle$   
**by** (*simp-all add: gen-in power-in*)  
**hence**  $\{u^{\textcircled{a}}k, v^{\textcircled{a}}m\} \subseteq \langle \{u, v\} \rangle$   
**by** *blast*  
**from** *hull-mono'*[*OF* *this*]  
**show**  $w \in \langle \{u, v\} \rangle$   
**using**  $\langle w \in \langle \{u^{\textcircled{a}}k, v^{\textcircled{a}}m\} \rangle \rangle$  **by** *blast*

**qed**

**lemma** *roots-hull-sub*:  $\langle \{u^{\textcircled{a}}k, v^{\textcircled{a}}m\} \rangle \subseteq \langle \{u, v\} \rangle$   
**using** *roots-hull* **by** *blast*

**lemma** *primroot-gen*[*intro*]:  $v \in \langle \{u, \varrho v\} \rangle$   
**using** *power-in*[*of*  $\varrho v \{u, \varrho v\}$ ]  
**by** (*cases*  $v = \varepsilon$ , *simp*) (*metis primroot-expE gen-in insert-iff*)

**lemma** *primroot-gen'*[*intro*]:  $u \in \langle \{\varrho u, v\} \rangle$   
**using** *primroot-gen* *insert-commute* **by** *metis*

**lemma** *set-lists-primroot*:  $\text{set } ws \subseteq \{x, y\} \implies ws \in \text{lists } \langle \{\varrho x, \varrho y\} \rangle$   
**by** *blast*

### 3.10 Free hull

While not every set  $G$  of generators is a code, there is a unique minimal free monoid containing it, called the *free hull* of  $G$ . It can be defined inductively using the property known as the *stability condition*.

```
inductive-set free-hull :: 'a list set  $\Rightarrow$  'a list set ( $\langle\langle-\rangle_F\rangle$ )
for  $G$  where
   $\varepsilon \in \langle G \rangle_F$ 
  | free-gen-in:  $w \in G \implies w \in \langle G \rangle_F$ 
  |  $w1 \in \langle G \rangle_F \implies w2 \in \langle G \rangle_F \implies w1 \cdot w2 \in \langle G \rangle_F$ 
  |  $p \in \langle G \rangle_F \implies q \in \langle G \rangle_F \implies p \cdot w \in \langle G \rangle_F \implies w \cdot q \in \langle G \rangle_F \implies w \in \langle G \rangle_F$  —
the stability condition
```

```
lemmas [intro] = free-hull.intros
```

The defined set indeed is a hull.

```
lemma free-hull-hull[simp]:  $\langle\langle G \rangle_F\rangle = \langle G \rangle_F$ 
by (intro antisym subsetI, rule hull.induct) blast+
```

The free hull is always (non-strictly) larger than the hull.

```
lemma hull-sub-free-hull:  $\langle G \rangle \subseteq \langle G \rangle_F$ 
proof
  fix  $x$  assume  $x \in \langle G \rangle$ 
  then show  $x \in \langle G \rangle_F$ 
    using free-hull.intros(3)
    hull-induct[of  $x$   $G$   $\lambda$   $x$ .  $x \in \langle G \rangle_F$ , OF  $\langle x \in \langle G \rangle$  free-hull.intros(1)[of  $G$ ]
free-hull.intros(2)]
    by auto
qed
```

On the other hand, it can be proved that the *free basis*, defined as the basis of the free hull, has a (non-strictly) smaller cardinality than the ordinary basis.

```
definition free-basis :: 'a list set  $\Rightarrow$  'a list set ( $\langle\mathfrak{B}_F \rightarrow [54] 55\rangle$ )
where free-basis  $G \equiv \mathfrak{B} \langle G \rangle_F$ 
```

```
lemma basis-gen-hull-free:  $\langle\mathfrak{B}_F G\rangle = \langle G \rangle_F$ 
unfolding free-basis-def using basis-gen-hull free-hull-hull by blast
```

```
lemma genset-sub-free:  $G \subseteq \langle G \rangle_F$ 
by (simp add: free-hull.free-gen-in subsetI)
```

We have developed two points of view on freeness:

- being a free hull, that is, to satisfy the stability condition;
- being generated by a code.



We now show their equivalence

First, basis of a free hull is a code.

**lemma** *free-basis-code[simp]*: *code*  $(\mathfrak{B}_F G)$

**proof**

**fix**  $xs\ ys$

**show**  $xs \in \text{lists } (\mathfrak{B}_F G) \implies ys \in \text{lists } (\mathfrak{B}_F G) \implies \text{concat } xs = \text{concat } ys \implies xs = ys$

**proof**(*induction xs ys rule: list-induct2'*)

**case**  $(2\ x\ xs)$

**show** *?case*

**using** *listsE[OF <x # xs ∈ lists (B<sub>F</sub> G)>, of x ∈ B<sub>F</sub> G, unfolded free-basis-def, THEN emp-not-basis]*

*concat.simps(2)[of x xs, unfolded <concat (x # xs) = concat ε>][unfolded concat.simps(1)], symmetric, unfolded append-is-Nil-conv[of x concat xs]*

**by** *blast*

**next**

**case**  $(3\ y\ ys)$

**show** *?case*

**using** *listsE[OF <y # ys ∈ lists (B<sub>F</sub> G)>, of y ∈ B<sub>F</sub> G, unfolded free-basis-def, THEN emp-not-basis]*

*concat.simps(2)[of y ys, unfolded <concat ε = concat (y # ys)>][unfolded concat.simps(1),symmetric],symmetric, unfolded append-is-Nil-conv[of y concat ys]*

**by** *blast*

**next**

**case**  $(4\ x\ xs\ y\ ys)$

**have**  $|x| = |y|$

**proof**(*rule ccontr*)

**assume**  $|x| \neq |y|$

**have**  $x \cdot \text{concat } xs = y \cdot \text{concat } ys$

**using**  $\langle \text{concat } (x \# xs) = \text{concat } (y \# ys) \rangle$  **by** *simp*

**then obtain**  $t$  **where** *or: x = y · t ∧ t · concat xs = concat ys ∨ x · t = y*

$\wedge \text{concat } xs = t \cdot \text{concat } ys$

**using** *append-eq-append-conv2[of x concat xs y concat ys]* **by** *blast*

**hence**  $t \neq \varepsilon$

**using**  $\langle |x| \neq |y| \rangle$  **by** *auto*

**have**  $x \in \mathfrak{B}_F G$  **and**  $y \in \mathfrak{B}_F G$

**using** *listsE[OF <x # xs ∈ lists (B<sub>F</sub> G)>, of x ∈ B<sub>F</sub> G ] listsE[OF <y # ys ∈ lists (B<sub>F</sub> G)>, of y ∈ B<sub>F</sub> G ]* **by** *blast+*

**hence**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$

**unfolding** *free-basis-def* **using** *emp-not-basis* **by** *blast+*

**have**  $x \in \langle G \rangle_F$  **and**  $y \in \langle G \rangle_F$

**using** *basis-sub[of <G><sub>F</sub>, unfolded free-basis-def[symmetric] ] <x # xs ∈ lists (B<sub>F</sub> G)>*

*<y # ys ∈ lists (B<sub>F</sub> G)>* **by** *auto*

**have**  $\text{concat } xs \in \langle G \rangle_F$  **and**  $\text{concat } ys \in \langle G \rangle_F$

**using** *concat-til-basis[OF <x # xs ∈ lists (B<sub>F</sub> G)>][unfolded free-basis-def]*

*concat-til-basis[OF <y # ys ∈ lists (B<sub>F</sub> G)>][unfolded free-basis-def]*

**unfolding** *free-hull-hull*.

```

have  $t \in \langle G \rangle_F$ 
  using or free-hull.intros(4)  $\langle x \in \langle G \rangle_F \rangle \langle y \in \langle G \rangle_F \rangle \langle \text{concat } xs \in \langle G \rangle_F \rangle$ 
 $\langle \text{concat } ys \in \langle G \rangle_F \rangle$  by metis
  thus False
  using or basis-dec[of  $x \in \langle G \rangle_F$   $t$ , unfolded free-hull-hull, OF  $\langle x \in \langle G \rangle_F \rangle \langle t \in \langle G \rangle_F \rangle$ ]
  basis-dec[of  $y \in \langle G \rangle_F$   $t$ , unfolded free-hull-hull, OF  $\langle y \in \langle G \rangle_F \rangle \langle t \in \langle G \rangle_F \rangle$ ]
  using  $\langle t \neq \varepsilon \rangle \langle x \neq \varepsilon \rangle \langle y \neq \varepsilon \rangle \langle x \in \mathfrak{B}_F G \rangle \langle y \in \mathfrak{B}_F G \rangle$  unfolding
free-basis-def
  by auto
qed
thus  $x \# xs = y \# ys$ 
  using 4.IH  $\langle x \# xs \in \text{lists } (\mathfrak{B}_F G) \rangle \langle y \# ys \in \text{lists } (\mathfrak{B}_F G) \rangle \langle \text{concat } (x \# xs) = \text{concat } (y \# ys) \rangle$ 
  by auto
next
qed simp
qed

```

**lemma** *gen-in-free-hull*:  $x \in G \implies x \in \mathfrak{B}_F G$   
**using** *free-hull.free-gen-in*[*folded basis-gen-hull-free*].

Second, a code generates its free hull.

**lemma** (*in code*) *code-gen-free-hull*:  $\langle C \rangle_F = \langle C \rangle$   
**proof**

```

show  $\langle C \rangle \subseteq \langle C \rangle_F$ 
  using hull-mono[of  $C \langle C \rangle_F$ ]
  free-gen-in[of  $- C$ ] subsetI[of  $C \langle C \rangle_F$ ]
  unfolding free-hull-hull by auto
show  $\langle C \rangle_F \subseteq \langle C \rangle$ 
proof
  fix  $x$  assume  $x \in \langle C \rangle_F$ 
  have  $\varepsilon \in \langle C \rangle$ 
  by simp
  show  $x \in \langle C \rangle$ 
proof(rule free-hull.induct[of  $x C$ ])
  fix  $p q w$  assume  $p \in \langle C \rangle q \in \langle C \rangle p \cdot w \in \langle C \rangle w \cdot q \in \langle C \rangle$ 
  have  $eq: (Dec C p) \cdot (Dec C w \cdot q) = (Dec C p \cdot w) \cdot (Dec C q)$ 
  using code-dec-morph[OF  $\langle p \in \langle C \rangle \rangle \langle w \cdot q \in \langle C \rangle \rangle$ , unfolded lassoc]
  unfolding code-dec-morph[OF  $\langle p \cdot w \in \langle C \rangle \rangle \langle q \in \langle C \rangle \rangle$ , symmetric].
  have  $Dec C p \bowtie Dec C p \cdot w$ 
  using eqd-comp[OF  $eq$ ].
  hence  $Dec C p \leq_p Dec C p \cdot w$ 
  using  $\langle p \cdot w \in \langle C \rangle \rangle \langle p \in \langle C \rangle \rangle$  concat-morph concat-dec prefD pref-antisym
triv-pref
  unfolding prefix-comparable-def
  by metis
  then obtain  $ts$  where  $(Dec C p) \cdot ts = Dec C p \cdot w$ 
  using lq-pref by blast

```

```

hence  $ts \in \text{lists } \mathcal{C}$ 
using  $\langle p \cdot w \in \langle \mathcal{C} \rangle \rangle$  by inlists
hence  $\text{concat } ts = w$ 
using concat-morph[of  $\text{Dec } \mathcal{C} \ p \ ts$ ]
unfolding  $\langle (\text{Dec } \mathcal{C} \ p) \cdot ts = \text{Dec } \mathcal{C} \ p \cdot w \rangle$  concat-dec[OF  $\langle p \cdot w \in \langle \mathcal{C} \rangle \rangle$ ]
concat-dec[OF  $\langle p \in \langle \mathcal{C} \rangle \rangle$ ] by auto
thus  $w \in \langle \mathcal{C} \rangle$ 
using  $\langle ts \in \text{lists } \mathcal{C} \rangle$  by auto
qed (simp-all add:  $\langle x \in \langle \mathcal{C} \rangle_F \rangle$  hull-closed gen-in)
qed

```

That is, a code is its own free basis

```

lemma (in code) code-free-basis:  $\mathcal{C} = \mathfrak{B}_F \mathcal{C}$ 
using basis-of-hull[of  $\mathcal{C}$ , unfolded code-gen-free-hull[symmetric]
code-is-basis, symmetric] unfolding free-basis-def.

```

This allows to use the introduction rules of the free hull to prove one of the basic characterizations of the code, called the stability condition

```

lemma (in code) stability:  $p \in \langle \mathcal{C} \rangle \implies q \in \langle \mathcal{C} \rangle \implies p \cdot w \in \langle \mathcal{C} \rangle \implies w \cdot q \in \langle \mathcal{C} \rangle \implies w \in \langle \mathcal{C} \rangle$ 
unfolding code-gen-free-hull[symmetric] using free-hull.intros(4) by auto

```

Moreover, the free hull of  $G$  is the smallest code-generated hull containing  $G$ . In other words, the term free hull is appropriate.

First, several intuitive monotonicity and closure results.

```

lemma free-hull-mono:  $G \subseteq H \implies \langle G \rangle_F \subseteq \langle H \rangle_F$ 

```

**proof**

```

assume  $G \subseteq H$ 
fix  $x$  assume  $x \in \langle G \rangle_F$ 
have  $el: \bigwedge w. w \in G \implies w \in \langle H \rangle_F$ 
using  $\langle G \subseteq H \rangle$  free-hull.free-gen-in by auto
show  $x \in \langle H \rangle_F$ 
by (rule free-hull.induct[of  $x \ G$ ]) (auto simp add:  $\langle x \in \langle G \rangle_F \rangle el$ )
qed

```

```

lemma free-hull-idem:  $\langle \langle G \rangle_F \rangle_F = \langle G \rangle_F$ 

```

**proof**

```

show  $\langle \langle G \rangle_F \rangle_F \subseteq \langle G \rangle_F$ 
proof
fix  $x$  assume  $x \in \langle \langle G \rangle_F \rangle_F$ 
show  $x \in \langle G \rangle_F$ 
proof (rule free-hull.induct[of  $x \ \langle G \rangle_F$ ])
show  $\bigwedge p \ q \ w. p \in \langle G \rangle_F \implies q \in \langle G \rangle_F \implies p \cdot w \in \langle G \rangle_F \implies w \cdot q \in \langle G \rangle_F$ 
 $\implies w \in \langle G \rangle_F$ 
using free-hull.intros(4) by auto
qed (simp-all add:  $\langle x \in \langle \langle G \rangle_F \rangle_F \rangle$  free-hull.intros(1), simp add: free-hull.intros(2), simp add: free-hull.intros(3))

```

```

qed
next
show  $\langle G \rangle_F \subseteq \langle \langle G \rangle_F \rangle_F$ 
  using free-hull-hull hull-sub-free-hull by auto
qed

```

```

lemma hull-gen-free-hull:  $\langle \langle G \rangle \rangle_F = \langle G \rangle_F$ 
proof
show  $\langle \langle G \rangle \rangle_F \subseteq \langle G \rangle_F$ 
  using free-hull-idem free-hull-mono hull-sub-free-hull by metis
next
show  $\langle G \rangle_F \subseteq \langle \langle G \rangle \rangle_F$ 
  by (simp add: free-hull-mono)
qed

```

Code is also the free basis of its hull.

```

lemma (in code) code-free-basis-hull:  $\mathcal{C} = \mathfrak{B}_F \langle \mathcal{C} \rangle$ 
  unfolding free-basis-def using code-free-basis[unfolded free-basis-def]
  unfolding hull-gen-free-hull.

```

The minimality of the free hull easily follows.

```

theorem (in code) free-hull-min: assumes  $G \subseteq \langle \mathcal{C} \rangle$  shows  $\langle G \rangle_F \subseteq \langle \mathcal{C} \rangle$ 
  using free-hull-mono[OF  $\langle G \rangle \subseteq \langle \mathcal{C} \rangle$ ] unfolding hull-gen-free-hull
  unfolding code-gen-free-hull.

```

```

theorem free-hull-inter:  $\langle G \rangle_F = \bigcap \{M. G \subseteq M \wedge M = \langle M \rangle_F\}$ 
proof
have  $X \in \{M. G \subseteq M \wedge M = \langle M \rangle_F\} \implies \langle G \rangle_F \subseteq X$  for  $X$ 
  unfolding mem-Collect-eq[of  $\lambda M. G \subseteq M \wedge M = \langle M \rangle_F$ ]
  using free-hull-mono[of  $G X$ ] by simp
from Inter-greatest[of  $\{M. G \subseteq M \wedge M = \langle M \rangle_F\}$ , OF this]
show  $\langle G \rangle_F \subseteq \bigcap \{M. G \subseteq M \wedge M = \langle M \rangle_F\}$ 
  by blast
next
show  $\bigcap \{M. G \subseteq M \wedge M = \langle M \rangle_F\} \subseteq \langle G \rangle_F$ 
  by (simp add: Inter-lower free-hull-idem genset-sub-free)
qed

```

Decomposition into the free basis is a morphism.

```

lemma free-basis-dec-morph:  $u \in \langle G \rangle_F \implies v \in \langle G \rangle_F \implies$ 
   $Dec (\mathfrak{B}_F G) (u \cdot v) = (Dec (\mathfrak{B}_F G) u) \cdot (Dec (\mathfrak{B}_F G) v)$ 
  using code.code-dec-morph[OF free-basis-code, of  $u G v$ , symmetric,
  unfolded basis-gen-hull-free[of  $G$ ]].

```

### 3.11 Reversing hulls and decompositions

```

lemma basis-rev-commute[reversal-rule]:  $\mathfrak{B} (\text{rev } \langle G \rangle) = \text{rev } \langle \mathfrak{B} G \rangle$ 
proof

```

**have**  $\langle \text{rev } ' \mathfrak{B} G \rangle = \langle \text{rev } ' G \rangle$  **and**  $*$ :  $\langle \text{rev } ' \mathfrak{B} (\text{rev } ' G) \rangle = \langle \text{rev } ' \text{rev } ' G \rangle$   
**unfolding** *rev-hull[symmetric] basis-gen-hull by blast+*  
**from** *basis-sub-gen[OF this(1)]*  
**show**  $\mathfrak{B} (\text{rev } ' G) \subseteq \text{rev } ' \mathfrak{B} G$ .  
**from** *image-mono[OF basis-sub-gen[OF \*], of rev]*  
**show**  $\text{rev } ' (\mathfrak{B} G) \subseteq \mathfrak{B} (\text{rev } ' G)$   
**unfolding** *rev-rev-image-eq*.  
**qed**

**lemma** *rev-free-hull-comm*:  $\langle \text{rev } ' X \rangle_F = \text{rev } ' \langle X \rangle_F$

**proof**–

**have**  $\text{rev } ' \langle X \rangle_F \subseteq \langle \text{rev } ' X \rangle_F$  **for**  $X :: 'a \text{ list set}$

**proof**

**fix**  $x$  **assume**  $x \in \text{rev } ' \langle X \rangle_F$

**hence**  $\text{rev } x \in \langle X \rangle_F$

**by** (*simp add: rev-in-conv*)

**have**  $\text{rev } x \in \text{rev } ' \langle \text{rev } ' X \rangle_F$

**by** (*induct rule: free-hull.induct[OF <rev x ∈ <X>\_F]&#93;*)

(*auto simp add: rev-in-conv[symmetric]*)

**then show**  $x \in \langle \text{rev } ' X \rangle_F$

**by** *blast*

**qed**

**from** *this*

*image-mono[OF this[of rev ' X, unfolded rev-rev-image-eq], of rev, unfolded rev-rev-image-eq]*

**show**  $\langle \text{rev } ' X \rangle_F = \text{rev } ' \langle X \rangle_F$

**by** *blast*

**qed**

**lemma** *free-basis-rev-commute [reversal-rule]*:  $\mathfrak{B}_F \text{rev } ' X = \text{rev } ' (\mathfrak{B}_F X)$

**unfolding** *free-basis-def basis-rev-commute free-basis-def rev-free-hull-comm..*

**lemma** *rev-dec[reversal-rule]*: **assumes**  $x \in \langle X \rangle_F$  **shows**  $\text{Dec rev } ' (\mathfrak{B}_F X) (\text{rev } x) = \text{map rev } (\text{rev } (\text{Dec } (\mathfrak{B}_F X) x))$

**proof**–

**have**  $x \in \langle \mathfrak{B}_F X \rangle$

**using**  $\langle x \in \langle X \rangle_F \rangle$  **by** (*simp add: basis-gen-hull-free*)

**from** *concat-dec[OF this]*

**have**  $\text{concat } (\text{map rev } (\text{rev } (\text{Dec } \mathfrak{B}_F X x))) = \text{rev } x$

**unfolding** *rev-concat[symmetric] by blast*

**from** *rev-image-eqI[OF rev-in-lists[OF dec-in-lists[OF <x ∈ <B\_F X>]&#93;], of - map rev]*

**have**  $\text{map rev } (\text{rev } (\text{Dec } \mathfrak{B}_F X x)) \in \text{lists } (\text{rev } ' (\mathfrak{B}_F X))$

**unfolding** *lists-image by blast*

**from** *code.code-unique-dec'[OF code.code-rev-code[OF free-basis-code] this]*

**show** *?thesis*

**unfolding**  $\langle \text{concat } (\text{map rev } (\text{rev } (\text{Dec } \mathfrak{B}_F X x))) = \text{rev } x \rangle$ .

**qed**

**lemma** *rev-hd-dec-last-eq*[*reversal-rule*]: **assumes**  $x \in X$  **and**  $x \neq \varepsilon$  **shows**  
 $rev (hd (Dec (rev ' (\mathfrak{B}_F X)) (rev x))) = last (Dec \mathfrak{B}_F X x)$   
**proof**–  
**have**  $rev (Dec \mathfrak{B}_F X x) \neq \varepsilon$   
**using**  $\langle x \in X \rangle$  *basis-gen-hull-free dec-nemp'*[*OF*  $\langle x \neq \varepsilon \rangle$ ] **by** *blast*  
**show** *?thesis*  
**unfolding** *hd-rev rev-dec*[*OF free-gen-in*[*OF*  $\langle x \in X \rangle$ ]] *hd-map*[*OF*  $\langle rev (Dec \mathfrak{B}_F X x) \neq \varepsilon \rangle$ ]  
**by** *simp*  
**qed**

**lemma** *rev-hd-dec-last-eq'*[*reversal-rule*]: **assumes**  $x \in X$  **and**  $x \neq \varepsilon$  **shows**  
 $(hd (Dec (rev ' (\mathfrak{B}_F X)) (rev x))) = rev (last (Dec \mathfrak{B}_F X x))$   
**using** *assms*(1) *assms*(2) *rev-hd-dec-last-eq rev-swap* **by** *blast*

### 3.12 Lists as the free hull of singletons

A crucial property of free monoids of words is that they can be seen as lists over the free basis, instead as lists over the original alphabet.

**abbreviation** *sings* **where**  $sings B \equiv \{[b] \mid b. b \in B\}$

**term** *Set.filter*  $P A$

**lemma** *sings-image*:  $sings B = (\lambda x. [x]) ' B$   
**using** *Setcompr-eq-image*.

**lemma** *lists-sing-map-concat-ident*:  $xs \in lists (sings B) \implies xs = map (\lambda x. [x]) (concat xs)$   
**by** (*induct xs, simp, auto*)

**lemma** *code-sings*:  $code (sings B)$

**proof**

**fix**  $xs ys$  **assume**  $xs: xs \in lists (sings B)$  **and**  $ys: ys \in lists (sings B)$

**and**  $eq: concat xs = concat ys$

**from** *lists-sing-map-concat-ident*[*OF xs, unfolded eq*]

**show**  $xs = ys$  **unfolding** *lists-sing-map-concat-ident*[*OF ys, symmetric*].

**qed**

**lemma** *sings-gen-lists*:  $\langle sings B \rangle = lists B$

**unfolding** *hull-concat-lists*

**proof**(*intro equalityI subsetI, standard*)

**fix**  $xs$

**show**  $xs \in concat ' lists (sings B) \implies \forall x \in set xs. x \in B$

**by** *force*

**assume**  $xs \in lists B$

**hence**  $map (\lambda x. x \# \varepsilon) xs \in lists (sings B)$

**by** *force*

**from** *imageI*[*OF this, of concat*]

**show**  $xs \in \text{concat } \text{' lists (sings B)}$   
**unfolding**  $\text{concat-map-sing-ident[of xs]}$ .  
**qed**

**lemma**  $\text{sing-gen-lists: lists } \{x\} = \langle \{[x]\} \rangle$   
**using**  $\text{sings-gen-lists[of } \{x\}]$  **by**  $\text{simp}$

**lemma**  $\text{bin-gen-lists: lists } \{x, y\} = \langle \{[x],[y]\} \rangle$   
**using**  $\text{sings-gen-lists[of } \{x,y\}]$  **unfolding**  $\text{Setcompr-eq-image}$  **by**  $\text{simp}$

**lemma**  $\text{sings } B = \mathfrak{B}_F (\text{lists } B)$   
**using**  $\text{code.code-free-basis-hull[OF code-sings, of } B, \text{ unfolded sings-gen-lists]}$ .

**lemma**  $\text{map-sings: } xs \in \text{lists } B \implies \text{map } (\lambda x. x \# \varepsilon) xs \in \text{lists (sings } B)$   
**by**  $(\text{induct } xs)$   $\text{auto}$

**lemma**  $\text{dec-sings: } xs \in \text{lists } B \implies \text{Dec (sings } B) xs = \text{map } (\lambda x. [x]) xs$   
**using**  $\text{code.code-unique-dec[OF code-sings, of map } (\lambda x. [x]) xs B, \text{ OF map-sings]}$   
**unfolding**  $\text{concat-map-sing-ident}$ .

**lemma**  $\text{sing-lists-exp: assumes } ws \in \text{lists } \{x\}$   
**obtains**  $k$  **where**  $ws = [x]^{\textcircled{k}}$   
**using**  $\text{unique-letter-wordE''[OF assms[folded in-lists-conv-set-subset]]}$ .

**lemma**  $\text{sing-lists-exp-len: } ws \in \text{lists } \{x\} \implies [x]^{\textcircled{|ws|}} = ws$   
**by**  $(\text{induct } ws, \text{ auto})$

**lemma**  $\text{sing-lists-exp-count: } ws \in \text{lists } \{x\} \implies [x]^{\textcircled{\text{count-list } ws x}} = ws$   
**by**  $(\text{induct } ws, \text{ auto})$

**lemma**  $\text{sing-set-pow-count-list: set } ws \subseteq \{a\} \implies [a]^{\textcircled{\text{count-list } ws a}} = ws$   
**unfolding**  $\text{in-lists-conv-set-subset}$  **using**  $\text{sing-lists-exp-count}$ .

**lemma**  $\text{sing-set-pow: set } ws \subseteq \{a\} \implies [a]^{\textcircled{|ws|}} = ws$   
**by**  $\text{auto}$

**lemma**  $\text{count-sing-exp[simp]: count-list } ([a]^{\textcircled{k}}) a = k$   
**by**  $(\text{induct } k, \text{ simp-all})$

**lemma**  $\text{count-sing-exp'[simp]: count-list } ([a]) a = 1$   
**by**  $\text{simp}$

**lemma**  $\text{count-sing-distinct[simp]: } a \neq b \implies \text{count-list } ([a]^{\textcircled{k}}) b = 0$   
**by**  $(\text{induct } k, \text{ simp, auto})$

**lemma**  $\text{count-sing-distinct'[simp]: } a \neq b \implies \text{count-list } ([a]) b = 0$   
**by**  $\text{simp}$

**lemma**  $\text{sing-letter-imp-prim: assumes count-list } w a = 1$  **shows**  $\text{primitive } w$

**proof**  
**fix**  $r k$   
**assume**  $r^{\textcircled{a}} k = w$   
**have**  $\text{count-list } w a = k * \text{count-list } r a$   
**by** (*simp only: count-list-pow flip:  $\langle r^{\textcircled{a}} k = w \rangle$* )  
**then show**  $k = 1$   
**unfolding**  $\langle \text{count-list } w a = 1 \rangle$  **by** *simp*  
**qed**

**lemma** *prim-abk*:  $a \neq b \implies \text{primitive } ([a] \cdot [b]^{\textcircled{a}} k)$   
**by** (*intro sing-letter-imp-prim[of - a] simp*)

**lemma** *sing-code*:  $x \neq \varepsilon \implies \text{code } \{x\}$   
**proof** (*rule code.intro*)  
**fix**  $xs ys$   
**assume**  $x \neq \varepsilon$   $xs \in \text{lists } \{x\}$   $ys \in \text{lists } \{x\}$   $\text{concat } xs = \text{concat } ys$   
**show**  $xs = ys$   
**using**  $\langle \text{concat } xs = \text{concat } ys \rangle$   
 $[\text{unfolded } \text{concat-sing-list-pow}'[OF \langle xs \in \text{lists } \{x\} \rangle]$   
 $\text{concat-sing-list-pow}'[OF \langle ys \in \text{lists } \{x\} \rangle]$   
 $\text{eq-pow-exp}[OF \langle x \neq \varepsilon \rangle]$   
 $\text{sing-lists-exp-len}[OF \langle xs \in \text{lists } \{x\} \rangle]$   
 $\text{sing-lists-exp-len}[OF \langle ys \in \text{lists } \{x\} \rangle]$  **by** *argo*  
**qed**

**lemma** *sings-card*:  $\text{card } A = \text{card } (\text{sings } A)$   
**by** (*rule bij-betw-same-card, rule bij-betwI'[of -  $\lambda x. [x]$ ], auto*)

**lemma** *sings-finite*:  $\text{finite } A = \text{finite } (\text{sings } A)$   
**by** (*rule bij-betw-finite, rule bij-betwI'[of -  $\lambda x. [x]$ ], auto*)

**lemma** *sings-conv*:  $A = B \iff \text{sings } A = \text{sings } B$   
**proof** (*standard, simp*)  
**have**  $\bigwedge x A B. \text{sings } A = \text{sings } B \implies x \in A \implies x \in B$   
**proof-**  
**fix**  $x :: 'b$  **and**  $A B$   
**assume**  $\text{sings } A = \text{sings } B$   $x \in A$   
**hence**  $[x] \in \text{sings } B$   
**using**  $\langle \text{sings } A = \text{sings } B \rangle$  **by** *blast*  
**thus**  $x \in B$   
**by** *blast*  
**qed**  
**from** *this*[of  $A B$ ] *this*[of  $B A$ , *OF sym*]  
**show**  $\text{sings } A = \text{sings } B \implies A = B$   
**by** *blast*  
**qed**



### 3.13 Various additional lemmas

#### 3.13.1 Roots of binary set

**lemma** *two-roots-code*: **assumes**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **shows**  $\text{code } \{\varrho x, \varrho y\}$   
**using** *assms*  
**proof** (*cases*  $\varrho x = \varrho y$ )  
**assume**  $\varrho x = \varrho y$   
**thus**  $\text{code } \{\varrho x, \varrho y\}$  **using** *sing-code*[*OF primroot-nemp*[*OF*  $\langle x \neq \varepsilon \rangle$ ]] **by** *simp*  
**next**  
**assume**  $\varrho x \neq \varrho y$   
**hence**  $\varrho x \cdot \varrho y \neq \varrho y \cdot \varrho x$   
**using** *comm-prim*[*OF primroot-prim*[*OF*  $\langle x \neq \varepsilon \rangle$ ] *primroot-prim*[*OF*  $\langle y \neq \varepsilon \rangle$ ]]  
**by** *blast*  
**thus**  $\text{code } \{\varrho x, \varrho y\}$   
**by** (*simp add: bin-code-code*)  
**qed**

**lemma** *primroot-in-set-dec*: **assumes**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **shows**  $\varrho x \in \text{set } (\text{Dec } \{\varrho x, \varrho y\} x)$   
**proof**–  
**obtain**  $k$  **where**  $\text{concat } ([\varrho x]^{\textcircled{a}} k) = x$   $0 < k$   
**using** *primroot-expE*  
 $\text{concat-sing-pow}$ [*symmetric*, *of*  $\varrho x$ ] **by** *metis*  
**from**  $\text{code.code-unique-dec}'$ [*OF two-roots-code*[*OF assms*], *of*  $[\varrho x]^{\textcircled{a}} k$ , *unfolded*  
 $\langle \text{concat } ([\varrho x]^{\textcircled{a}} k) = x \rangle$ )  
**have**  $\text{Dec } \{\varrho x, \varrho y\} x = [\varrho x]^{\textcircled{a}} k$   
**using** *insertI1 sing-pow-lists* **by** *metis*  
**show** *?thesis*  
**unfolding**  $\langle \text{Dec } \{\varrho x, \varrho y\} x = [\varrho x]^{\textcircled{a}} k \rangle$  **using**  $\langle 0 < k \rangle$  **by** *simp*  
**qed**

**lemma** *primroot-dec*: **assumes**  $x \cdot y \neq y \cdot x$   
**shows**  $(\text{Dec } \{\varrho x, \varrho y\} x) = [\varrho x]^{\textcircled{a}} e_{\varrho} x$   $(\text{Dec } \{\varrho x, \varrho y\} y) = [\varrho y]^{\textcircled{a}} e_{\varrho} y$   
**by** (*simp-all add: binary-code.intro*[*OF assms*] *binary-code.primroot-dec*)

**lemma** (*in binary-code*) *bin-roots-sings-code*: *non-overlapping*  $\{\text{Dec } \{\varrho u_0, \varrho u_1\} u_0, \text{Dec } \{\varrho u_0, \varrho u_1\} u_1\}$   
**using** *code-roots-non-overlapping* **unfolding** *primroot-dec* **by** *force*

#### 3.13.2 Other

**lemma** *bin-count-one-decompose*: **assumes**  $ws \in \text{lists } \{x, y\}$  **and**  $x \neq y$  **and**  $\text{count-list } ws y = 1$   
**obtains**  $k m$  **where**  $[x]^{\textcircled{a}} k \cdot [y] \cdot [x]^{\textcircled{a}} m = ws$   
**proof**–  
**have**  $ws \notin [x]^*$   
**using** *count-sing-distinct*[*OF*  $\langle x \neq y \rangle$ ]  $\langle \text{count-list } ws y = 1 \rangle$  **unfolding** *root-def*  
**by** *force*  
**from** *distinct-letter-in*[*OF this*]

**obtain**  $ws' k b$  **where**  $[x]^{\textcircled{a}}k \cdot [b] \cdot ws' = ws$  **and**  $b \neq x$  **by** *blast*  
**hence**  $b = y$   
**using**  $\langle ws \in \text{lists } \{x,y\} \rangle$  **by** *force*  
**have**  $ws' \in \text{lists } \{x,y\}$   
**using**  $\langle ws \in \text{lists } \{x,y\} \rangle$  *[folded  $\langle [x]^{\textcircled{a}}k \cdot [b] \cdot ws' = ws \rangle$ ]* **by** *simp*  
**have** *count-list*  $ws' y = 0$   
**using** *arg-cong*  $[OF \langle [x]^{\textcircled{a}}k \cdot [b] \cdot ws' = ws \rangle, \text{ of } \lambda x. \text{count-list } x y]$   
**unfolding** *count-list-append*  $\langle \text{count-list } ws y = 1 \rangle$   $\langle b = y \rangle$  **by** *force*  
**from** *sing-lists-exp*  $[OF \text{bin-lists-count-zero} [OF \langle ws' \in \text{lists } \{x,y\} \rangle \text{ this}]]$   
**obtain**  $m$  **where**  $ws' = [x]^{\textcircled{a}}m$ .  
**from** *that*  $[OF \langle [x]^{\textcircled{a}}k \cdot [b] \cdot ws' = ws \rangle \text{unfolded this } \langle b = y \rangle]$   
**show** *thesis*.

qed

**lemma** *bin-count-one-conjug*: **assumes**  $ws \in \text{lists } \{x,y\}$  **and**  $x \neq y$  **and** *count-list*  $ws y = 1$

**shows**  $ws \sim [x]^{\textcircled{a}}(\text{count-list } ws x) \cdot [y]$

**proof**–

**obtain**  $e1 e2$  **where**  $[x]^{\textcircled{a}}e1 \cdot [y] \cdot [x]^{\textcircled{a}}e2 = ws$   
**using** *bin-count-one-decompose*  $[OF \text{assms}]$ .  
**from** *conjugI'*  $[of [x]^{\textcircled{a}}e1 \cdot [y] [x]^{\textcircled{a}}e2, \text{unfolded rassoc this}]$   
**have**  $ws \sim [x]^{\textcircled{a}}(e2 + e1) \cdot [y]$   
**unfolding** *add-exps rassoc*.  
**moreover** **have** *count-list*  $([x]^{\textcircled{a}}(e2 + e1) \cdot [y]) x = e2 + e1$   
**using**  $\langle x \neq y \rangle$  **by** *simp*  
**ultimately show** *?thesis*  
**by** (*simp add: count-list-conjug*)

qed

**lemma** *bin-prim-long-set*: **assumes**  $ws \in \text{lists } \{x,y\}$  **and** *primitive*  $ws$  **and**  $2 \leq |ws|$

**shows**  $\text{set } ws = \{x,y\}$

**proof**–

**have**  $\neg \text{set } ws \subseteq \{c\}$  **for**  $c$   
**using**  $\langle \text{primitive } ws \rangle$  *pow-nemp-imprim*  $\langle 2 \leq |ws| \rangle$   
*sing-lists-exp-len* *[folded in-lists-conv-set-subset]* **by** *metis*  
**then show**  $\text{set } ws = \{x,y\}$   
**unfolding** *subset-singleton-iff* **using**  $\langle ws \in \text{lists } \{x,y\} \rangle$  *[folded in-lists-conv-set-subset]*  
*doubleton-subset-cases* **by** *metis*

qed

**lemma** *bin-prim-long-pref*: **assumes**  $ws \in \text{lists } \{x,y\}$  **and** *primitive*  $ws$  **and**  $2 \leq |ws|$

**obtains**  $ws'$  **where**  $ws \sim ws'$  **and**  $[x,y] \leq_p ws'$

**proof**–

**from** *pow-nemp-imprim*  $[OF \langle 2 \leq |ws| \rangle, \text{ of } [x]]$  *sing-lists-exp-len*  $[of ws x]$   
**have**  $\neg ws \in \text{lists } \{x\}$   
**using**  $\langle \text{primitive } ws \rangle$   $\langle 2 \leq |ws| \rangle$  **by** *fastforce*  
**hence**  $x \neq y$

```

using ⟨ $ws \in \text{lists } \{x,y\}$ ⟩ by fastforce
from switch-fac[ $OF \langle x \neq y \rangle \text{ bin-prim-long-set}[OF \text{ asms}]$ ]
show thesis
  using ⟨ $2 \leq |ws|$ ⟩ rotate-into-pos-sq[ $of \ \varepsilon \ [x,y]$  ws thesis, unfolded emp-simps,
 $OF \langle [x, y] \leq f \ ws \cdot ws \rangle$  - - that, of id]
  by force
qed

end

```

```

theory Morphisms

```

```

imports CoWBasic Submonoids

```

```

begin

```

# Chapter 4

## Morphisms

### 4.1 One morphism

#### 4.1.1 Morphism, core map and extension

**definition** *list-extension* :: ('a ⇒ 'b list) ⇒ ('a list ⇒ 'b list) (⟨-<sup>ℒ</sup>⟩ [1000] 1000)  
where  $t^{\mathcal{L}} \equiv (\lambda x. \text{concat } (\text{map } t \ x))$

**definition** *morphism-core* :: ('a list ⇒ 'b list) ⇒ ('a ⇒ 'b list) (⟨-<sup>ℒ</sup>⟩ [1000] 1000)  
where *core-def*:  $f^{\mathcal{C}} \equiv (\lambda x. f \ [x])$

**lemma** *core-sing*:  $f^{\mathcal{C}} \ a = f \ [a]$   
**unfolding** *core-def*..

**lemma** *range-map-core*:  $\text{range } (\text{map } f^{\mathcal{C}}) = \text{lists } (\text{range } f^{\mathcal{C}})$   
**using** *lists-image*[of  $\lambda x. f \ [x]$  UNIV, folded *core-def*, *symmetric*]  
**unfolding** *lists-UNIV*.

**lemma** *map-core-lists*:  $(\text{map } f^{\mathcal{C}} \ w) \in \text{lists } (\text{range } f^{\mathcal{C}})$   
**by** *auto*

**lemma** *comp-core*:  $(f \circ g)^{\mathcal{C}} = f \circ g^{\mathcal{C}}$   
**unfolding** *core-def*  
**by** *auto*

**locale** *morphism-on* =  
**fixes**  $f :: 'a \ \text{list} \Rightarrow 'b \ \text{list}$  **and**  $A :: 'a \ \text{list set}$   
**assumes** *morph-on*:  $u \in \langle A \rangle \Longrightarrow v \in \langle A \rangle \Longrightarrow f \ (u \cdot v) = f \ u \cdot f \ v$

**begin**

**lemma** *emp-to-emp[simp]*:  $f \ \varepsilon = \varepsilon$   
**using** *morph-on*[of  $\varepsilon \ \varepsilon$ ] *self-append-conv2*[of  $f \ \varepsilon \ f \ \varepsilon$ ] **by** *simp*

**lemma** *emp-to-emp'*:  $w = \varepsilon \implies f w = \varepsilon$   
**using** *morph-on*[of  $\varepsilon \varepsilon$ ] *self-append-conv2*[of  $f \varepsilon f \varepsilon$ ] **by** *simp*

**lemma** *morph-concat-concat-map*:  $ws \in \text{lists } \langle A \rangle \implies f (\text{concat } ws) = \text{concat } (\text{map } f \text{ } ws)$   
**by** (*induct* *ws*, *simp-all* *add: morph-on hull-closed-lists*)

**lemma** *hull-in-hull*:  
**shows**  $\langle f ' A \rangle = f ' \langle A \rangle$   
**proof**  
**show**  $\langle f ' A \rangle \subseteq f ' \langle A \rangle$   
**proof** (*rule subsetI*)  
**fix**  $x$   
**show**  $x \in \langle f ' A \rangle \implies x \in f ' \langle A \rangle$   
**proof** (*induction rule: hull.induct*)  
**show**  $\varepsilon \in f ' \langle A \rangle$   
**using** *hull.emp-in emp-to-emp* **by** *force*  
**show**  $w1 \cdot w2 \in f ' \langle A \rangle$  **if**  $w1 \in f ' A$  **and**  $w2 \in f ' \langle A \rangle$  **for**  $w1 \ w2$   
**proof-**  
**from** *that*  
**obtain**  $pre1 \ pre2$  **where**  $pre1 \in \langle A \rangle$  **and**  $pre2 \in \langle A \rangle$  **and**  $f \ pre1 = w1$  **and**  
 $f \ pre2 = w2$   
**using** *imageE* **by** *blast+*  
**from** *hull-closed*[OF *this*(1–2)] *morph-on*[OF  $\langle pre1 \in \langle A \rangle \rangle \langle pre2 \in \langle A \rangle \rangle$ ,  
*unfolded this*(3–4)]  
**show**  $w1 \cdot w2 \in f ' \langle A \rangle$   
**by** *force*  
**qed**  
**qed**  
**qed**  
**show**  $f ' \langle A \rangle \subseteq \langle f ' A \rangle$   
**proof**  
**fix**  $x$   
**assume**  $x \in f ' \langle A \rangle$   
**then obtain**  $xs$  **where**  $f (\text{concat } xs) = x$  **and**  $xs \in \text{lists } A$   
**using** *hull-concat-lists0* **by** *blast*  
**from** *this*[*unfolded morph-concat-concat-map*]  
*morph-concat-concat-map*[OF *genset-sub-lists*[OF *this*(2)]]  
**show**  $x \in \langle f ' A \rangle$   
**by** *fastforce*  
**qed**  
**qed**

**lemma** *inj-basis-to-basis*: **assumes** *inj-on*  $f \ \langle A \rangle$   
**shows**  $f ' (\mathfrak{B} \ \langle A \rangle) = \mathfrak{B} \ (f' \langle A \rangle)$   
**proof**  
**interpret** *basis: morphism-on*  $f \ \mathfrak{B} \ \langle A \rangle$   
**by** (*rule morph-on morphism-on.intro*, *unfold basis-gen-hull'*[of  $A$ ])  
(*simp only: morph-on*)

```

show  $\mathfrak{B}(f \langle A \rangle) \subseteq f \langle \mathfrak{B}(A) \rangle$ 
  using basis.hull-im-hull unfolding basis-gen-hull unfolding self-gen using
basis-hull-sub[of  $f \langle \mathfrak{B}(A) \rangle$ ] by argo
show  $f \langle \mathfrak{B}(A) \rangle \subseteq \mathfrak{B}(f \langle A \rangle)$ 
proof
  fix  $x$ 
  assume  $x \in f \langle \mathfrak{B}(A) \rangle$ 
  then obtain  $y$  where  $y \in \mathfrak{B}(A)$  and  $x = f y$  by blast
  hence  $x \in f \langle A \rangle$ 
  using basis-sub by blast
  from basis-concat-listsE[OF this]
  obtain  $xs$  where  $xs \in \text{lists } \mathfrak{B}(f \langle A \rangle)$  and concat  $xs = x$ .
  hence  $\varepsilon \notin \text{set } xs$ 
  using emp-not-basis by blast
  have  $xs \in \text{lists } (f \langle A \rangle)$ 
  using  $\langle xs \in \text{lists } \mathfrak{B}(f \langle A \rangle) \rangle$  basis-sub by blast
  then obtain  $ys$  where map  $f ys = xs$  and  $ys \in \text{lists } \langle A \rangle$ 
  unfolding lists-image by blast
  have  $\varepsilon \notin \text{set } ys$ 
  using emp-to-emp  $\langle \varepsilon \notin \text{set } xs \rangle$ 
  imageI[of  $\varepsilon \text{ set } ys f$ ] unfolding list.set-map[of  $f ys$ , unfolded  $\langle \text{map } f ys =$ 
 $xs \rangle$ ] by presburger
  hence  $ys \in \text{lists } (\langle A \rangle - \{\varepsilon\})$ 
  using  $\langle ys \in \text{lists } \langle A \rangle \rangle$  by fast
  have  $f(\text{concat } ys) = x$ 
  unfolding morph-concat-concat-map[OF  $\langle ys \in \text{lists } \langle A \rangle \rangle$ ]  $\langle \text{map } f ys = xs \rangle$  by
fact
  from  $\langle \text{inj-on } f \langle A \rangle \rangle$  this[unfolded  $\langle x = f y \rangle$ ]
  have concat  $ys = y$ 
  unfolding inj-on-def using subsetD[OF basis-sub  $\langle y \in \mathfrak{B}(A) \rangle$ ] hull-closed-lists[OF
 $\langle ys \in \text{lists } \langle A \rangle \rangle$ ] by blast
  hence  $|ys| = 1$ 
  using  $\langle y \in \mathfrak{B}(A) \rangle$   $\langle ys \in \text{lists } (\langle A \rangle - \{\varepsilon\}) \rangle$  unfolding basis-def sim-
ple-element-def mem-Collect-eq by fast
  hence  $|xs| = 1$ 
  using  $\langle \text{map } f ys = xs \rangle$  by fastforce
  with  $\langle \text{concat } xs = x \rangle$   $\langle xs \in \text{lists } \mathfrak{B}(f \langle A \rangle) \rangle$ 
  show  $x \in \mathfrak{B}(f \langle A \rangle)$ 
  using len-one-concat-in by blast
qed
qed

lemma inj-code-to-code: assumes inj-on  $f \langle A \rangle$  and code  $A$ 
  shows code  $(f \langle A \rangle)$ 
proof
  fix  $xs ys$ 
  assume  $xs \in \text{lists } (f \langle A \rangle)$  and  $ys \in \text{lists } (f \langle A \rangle)$ 
  then obtain  $xs' ys'$  where  $xs' \in \text{lists } A$  and map  $f xs' = xs$  and  $ys' \in \text{lists } A$ 
and map  $f ys' = ys$ 

```

```

    unfolding lists-image by blast
  assume concat xs = concat ys
  hence f (concat xs') = f (concat ys')
    by (simp add: ⟨map f xs' = xs⟩ ⟨map f ys' = ys⟩ ⟨xs' ∈ lists A⟩ ⟨ys' ∈ lists A⟩
    genset-sub-lists morph-concat-concat-map)
  hence concat xs' = concat ys'
    using ⟨inj-on f ⟨A⟩⟩[unfolded inj-on-def] ⟨xs' ∈ lists A⟩ ⟨ys' ∈ lists A⟩ by auto
  hence xs' = ys'
    using ⟨code A⟩[unfolded code-def] ⟨xs' ∈ lists A⟩ ⟨ys' ∈ lists A⟩ by simp
  thus xs = ys
    using ⟨map f xs' = xs⟩ ⟨map f ys' = ys⟩ by blast
qed

end

locale morphism =
  fixes f :: 'a list ⇒ 'b list
  assumes morph: f (u · v) = f u · f v
begin

sublocale morphism-on f UNIV
  by (simp add: morph morphism-on.intro)

lemma map-core-lists[simp]: map fC xs ∈ lists (range fC)
  by auto

lemma pow-morph: f (x@k) = (f x)@k
  by (induction k) (simp add: morph)+

lemma rev-map-pow: (rev-map f) (w@n) = rev ((f (rev w))@n)
  by (simp add: pow-morph rev-map-arg rev-pow)

lemma pop-hd: f (a#u) = f [a] · f u
  unfolding hd-word[of a u] using morph.

lemma pop-hd-nemp: u ≠ ε ⇒ f (u) = f [hd u] · f (tl u)
  using list.exhaust-sel pop-hd[of hd u tl u] by force

lemma pop-last-nemp: u ≠ ε ⇒ f (u) = f (butlast u) · f [last u]
  unfolding morph[symmetric] append-butlast-last-id ..

lemma pref-mono: u ≤p v ⇒ f u ≤p f v
  using morph by (auto simp add: prefix-def)

lemma suf-mono: u ≤s v ⇒ f u ≤s f v
  using morph by (auto simp add: suffix-def)

lemma morph-concat-map: concat (map fC x) = f x
  unfolding core-def

```

**proof** (*induction x*)  
**case** (*Cons a x*)  
**then show** *?case*  
**unfolding** *pop-hd[of a x]* **by** *auto*  
**qed** *simp*

**lemma** *morph-concat-map'*:  $(\lambda x. \text{concat} (\text{map } f^{\mathcal{C}} x)) = f$   
**using** *morph-concat-map* **by** *simp*

**lemma** *morph-to-concat*:  
**obtains** *xs* **where**  $xs \in \text{lists} (\text{range } f^{\mathcal{C}})$  **and**  $f x = \text{concat } xs$   
**proof**–  
**have**  $\text{map } f^{\mathcal{C}} x \in \text{lists} (\text{range } f^{\mathcal{C}})$   
**by** *fastforce*  
**from** *that[OF this morph-concat-map[symmetric]]*  
**show** *thesis*.  
**qed**

**lemma** *range-hull*:  $\text{range } f = \langle\langle \text{range } f^{\mathcal{C}} \rangle\rangle$   
**using** *arg-cong[OF range-map-core[of f], of image concat, unfolded image-comp, folded hull-concat-lists]* *morph-concat-map* **by** *auto*

**lemma** *im-in-hull*:  $f w \in \langle\langle \text{range } f^{\mathcal{C}} \rangle\rangle$   
**using** *range-hull* **by** *blast*

**lemma** *core-ext-id*:  $f^{\mathcal{C}\mathcal{L}} = f$   
**using** *morph-concat-map* **unfolding** *list-extension-def core-def* **by** *simp*

**lemma** *rev-map-morph*: *morphism (rev-map f)*  
**by** (*standard, auto simp add: rev-map-def morph*)

**lemma** *morph-rev-len*:  $|f (\text{rev } u)| = |f u|$   
**proof** (*induction u*)  
**case** (*Cons a u*)  
**then show** *?case*  
**unfolding** *rev.simps(2) pop-hd[of a u] morph lenmorph* **by** *force*  
**qed** *simp*

**lemma** *rev-map-len*:  $|\text{rev-map } f u| = |f u|$   
**unfolding** *rev-map-def*  
**by** (*simp add: morph-rev-len*)

**lemma** *in-set-morph-len*: **assumes**  $a \in \text{set } w$  **shows**  $|f [a]| \leq |f w|$   
**proof**–  
**from** *split-listE[OF assms]*  
**obtain**  $p s$  **where**  $w = p \cdot [a] \cdot s$ .  
**from** *lenarg[OF arg-cong[of - - f, OF this], unfolded morph lenmorph]*  
**show** *?thesis* **by** *linarith*  
**qed**



**lemma** *morph-lq-comm*:  $u \leq_p v \implies f (u^{-1} \triangleright v) = (f u)^{-1} \triangleright (f v)$   
**using** *morph* **by** (*auto simp add: prefix-def*)

**lemma** *morph-rq-comm*: **assumes**  $v \leq_s u$   
**shows**  $f (u <^{-1} v) = (f u) <^{-1} (f v)$   
**using** *arg-cong*[*OF* *rq-suf*[*OF*  $\langle v \leq_s u \rangle$ ], *of f*, *unfolded morph*, *THEN rqI*, *sym-metric*].

**lemma** *code-set-morph*: **assumes**  $c$ : *code*  $(f^C \text{ `}(set (u \cdot v)))$  **and**  $i$ : *inj-on*  $f^C (set (u \cdot v))$   
**and**  $f u = f v$   
**shows**  $u = v$

**proof**–

**let**  $?C = f^C \text{ `}(set (u \cdot v))$   
**interpret** *code*  $?C$   
**using**  $c$  **by** *blast*  
**have**  $(map f^C u) \in lists ?C$  **and**  $(map f^C v) \in lists ?C$   
**by** (*simp-all add: in-listsI*)  
**from** *is-code*[*OF* *this*  $\langle f u = f v \rangle$ ][*folded morph-concat-map*]]  
**show**  $u = v$   
**using** *inj-on-map-lists*[*OF*  $i$ ] **unfolding** *inj-on-def*  
**by** (*simp add: in-listsI*)

**qed**

**lemma** *morph-concat-concat-map*:  $f (concat ws) = concat (map f ws)$   
**by** (*induct ws*, *simp-all add: morph*)

**lemma** *morph-on*: *morphism-on*  $f A$   
**unfolding** *morphism-on-def* **using** *morph* **by** *blast*

**lemma** *noner-sings-conv*:  $(\forall w. w = \varepsilon \iff f w = \varepsilon) \iff (\forall a. f [a] \neq \varepsilon)$   
**by** (*rule iffI*, *blast*)  
*(metis Nil-is-append-conv emp-to-emp' hd-tlE pop-hd)*

**lemma** *fac-mono*:  $u \leq_f w \implies f u \leq_f f w$   
**using** *morph* **by** *fastforce*

**lemma** *set-core-set*:  $set (f w) = \bigcup (set \text{ `} f^C \text{ `}(set w))$   
**unfolding** *list.set-map*[*symmetric*]  
**unfolding** *image-set*[*of set*  $(map f^C w)$ , *symmetric*]  
**unfolding** *morph-concat-map*[*symmetric*, *of w*]  
**using** *set-concat*.

**end**

**lemma** *morph-map*: *morphism*  $(map f)$   
**by** (*simp add: morphism-def*)

**lemma** *list-ext-morph*: *morphism*  $t^{\mathcal{L}}$   
**unfolding** *list-extension-def* **by** (*simp add: morphism-def*)

**lemma** *ext-def-on-set*:  $(\bigwedge a. a \in \text{set } u \implies g a = f a) \implies g^{\mathcal{L}} u = f^{\mathcal{L}} u$   
**unfolding** *list-extension-def* **using** *map-ext* **by** *metis*

**lemma** *morph-def-on-set*: *morphism*  $f \implies$  *morphism*  $g \implies (\bigwedge a. a \in \text{set } u \implies g^{\mathcal{L}} a = f^{\mathcal{L}} a) \implies g u = f u$   
**using** *ext-def-on-set morphism.core-ext-id* **by** *metis*

**lemma** *morph-compose*: *morphism*  $f \implies$  *morphism*  $g \implies$  *morphism*  $(f \circ g)$   
**by** (*simp add: morphism-def*)

### 4.1.2 Periodic morphism

**locale** *periodic-morphism* = *morphism* +  
**assumes** *ims-comm*:  $\bigwedge u v. f u \cdot f v = f v \cdot f u$  **and**  
*not-triv-emp*:  $\neg (\forall c. f [c] = \varepsilon)$   
**begin**

**lemma** *per-morph-root-ex*:

$\exists r. \forall u. \exists n. f u = r^{\textcircled{n}} \wedge$  *primitive*  $r$

**proof**–

**obtain**  $c$  *root*  $n$  **where**  $f [c] = \text{root}^{\textcircled{n}}$  **and**  $\text{root} = \varrho (f [c])$  **and**  $f [c] \neq \varepsilon$

**using** *primroot-expE not-triv-emp* **by** *metis*

**have**  $\exists n. f u = \text{root}^{\textcircled{n}}$  **for**  $u$

**using** *comm-primroot-exp[OF <f [c] ≠ ε>, OF ims-comm, folded <root = ρ (f [c])>]* **by** *metis*

**thus** *?thesis*

**using**  $\langle \text{root} = \varrho (f [c]) \rangle \langle f [c] \neq \varepsilon \rangle$  **by** *auto*

**qed**

**definition** *mroot* **where**  $mroot \equiv (\text{SOME } r. (\forall u. \exists n. f u = r^{\textcircled{n}}) \wedge \text{primitive } r)$

**definition** *mexp* ::  $'a \Rightarrow \text{nat}$  **where**  $mexp c \equiv (\text{SOME } n. f [c] = mroot^{\textcircled{n}})$

**lemma** *per-morph-rootI*:  $\forall u. \exists n. f u = mroot^{\textcircled{n}}$  **and**

*per-morph-root-prim*: *primitive*  $mroot$

**using** *per-morph-root-ex exE-some[of λ r. ∀ u. ∃ n. f u = r<sup>Ⓢ</sup> n ∧ primitive r, of mroot]*

**unfolding** *mroot-def* **by** *auto*

**lemma** *per-morph-expI'*:  $f [c] = mroot^{\textcircled{mexp c}}$

**using** *per-morph-rootI exE-some[of λ n. f [c] = mroot<sup>Ⓢ</sup> n, of mexp c]*

**unfolding** *mexp-def* **by** *blast*

**lemma** *per-morph-expE*:

**obtains**  $n$  **where**  $f u = mroot^{\textcircled{n}}$

**using** *per-morph-rootI* **by** *auto*

**interpretation** *mirror: periodic-morphism rev-map f*  
**proof**  
 show  $\text{rev-map } f (u \cdot v) = \text{rev-map } f u \cdot \text{rev-map } f v$  **for**  $u v$   
   **using** *morphism.morph[OF rev-map-morph]*.  
 show  $\text{rev-map } f u \cdot \text{rev-map } f v = \text{rev-map } f v \cdot \text{rev-map } f u$  **for**  $u v$   
   **unfolding** *comm-rev-iff ims-comm rev-map-arg.*  
 show  $\neg (\forall c. \text{rev-map } f [c] = \varepsilon)$   
   **using** *not-triv-emp unfolding rev-map-sing by blast*  
**qed**

**lemma** *mroot-rev: mirror.mroot = rev mroot*  
**proof**–  
 have *primitive (rev mroot)*  
   **using** *per-morph-root-prim prim-rev-iff by blast*  
 obtain  $u$  **where**  $f u \neq \varepsilon$   
   **using** *not-triv-emp by auto*  
 obtain  $n$  **where**  $f u = \text{mroot}^{\textcircled{n}}$   
   **using** *per-morph-expE[of u]*.  
 hence  $0 < n$   
   **using**  $\langle f u \neq \varepsilon \rangle$  **by** *blast*  
 obtain  $n'$  **where**  $\text{rev } (f u) = \text{mirror.mroot}^{\textcircled{n'}} 0 < n'$   
   **using** *mirror.per-morph-expE rev-map-arg-rev*  
    $\langle f u \neq \varepsilon \rangle$  [*folded Nil-is-rev-conv, symmetric*]  
   **using** *bot-nat-0.not-eq-extremum zero-exp by metis*  
**from** *this(1)[unfolded  $\langle f u = \text{mroot}^{\textcircled{n}}$ , unfolded rev-pow]*  
 have  $*$ :  $\text{rev mroot}^{\textcircled{n}} = \text{mirror.mroot}^{\textcircled{n'}}$ .  
 have  $(\text{rev mroot}) \cdot \text{mirror.mroot} = \text{mirror.mroot} \cdot (\text{rev mroot})$   
   **by** (*rule comm-drop-exps[OF -  $\langle 0 < n \rangle \langle 0 < n' \rangle$ ]*) (*use \* in blast*)  
 thus *?thesis*  
   **using** *comm-prim[OF  $\langle \text{primitive (rev mroot)} \rangle \text{mirror.per-morph-root-prim}$ ] by*  
*force*  
**qed**  
  
**end**

### 4.1.3 Non-erasing morphism

**locale** *nonerasing-morphism = morphism +*  
**assumes** *nonerasing: f w =  $\varepsilon$   $\implies$  w =  $\varepsilon$*   
**begin**

**lemma** *core-nemp: f<sup>c</sup> a  $\neq$   $\varepsilon$*   
**unfolding** *core-def using nonerasing not-Cons-self2 by blast*

**lemma** *nemp-to-nemp: w  $\neq$   $\varepsilon$   $\implies$  f w  $\neq$   $\varepsilon$*   
**using** *nonerasing by blast*

**lemma** *sing-to-nemp: f [a]  $\neq$   $\varepsilon$*   
**by** (*simp add: nemp-to-nemp*)

**lemma** *pref-morph-pref-eq*:  $u \leq_p v \implies f v \leq_p f u \implies u = v$   
**using** *nonerasing morph*[of  $u \ u^{-1} \triangleright v$ ] **unfolding** *prefix-def* **by** *fastforce*

**lemma** *comm-eq-im-eq*:  
 $u \cdot v = v \cdot u \implies f u = f v \implies u = v$   
**by** (*elim ruler-eqE*)  
*(simp-all add: pref-morph-pref-eq pref-morph-pref-eq[symmetric])*

**lemma** *comm-eq-im-iff* :  
**assumes**  $u \cdot v = v \cdot u$   
**shows**  $f u = f v \iff u = v$   
**using** *comm-eq-im-eq*[OF  $\langle u \cdot v = v \cdot u \rangle$ ] **by** *blast*

**lemma** *rev-map-nonerasing*: *nonerasing-morphism* (*rev-map*  $f$ )  
**proof**  
**show**  $\text{rev-map } f (u \cdot v) = \text{rev-map } f u \cdot \text{rev-map } f v$  **for**  $u \ v$   
**by** (*simp add: morphism.morph rev-map-morph*)  
**show**  $\text{rev-map } f w = \varepsilon \implies w = \varepsilon$  **for**  $w$   
**unfolding** *rev-map-arg* **using** *rev-is-Nil-conv nonerasing* **by** *fast*  
**qed**

**lemma** *first-of-first*:  $(f (a \# ws))!0 = f [a]!0$   
**unfolding** *pop-hd*[of  $a \ ws$ ] **using** *hd-prod*[of  $f[a] \ f \ ws$ , OF  
*nonerasing*[of  $[a]$ , THEN *contrapos-nn*[OF *not-Cons-self2*[of  $a \ \varepsilon$ ], of  $\langle f (a \# \varepsilon) = \varepsilon \rangle$ ]].

**lemma** *hd-im-hd-hd*: **assumes**  $u \neq \varepsilon$  **shows**  $\text{hd} (f u) = \text{hd} (f [\text{hd } u])$   
**unfolding** *hd-append2*[OF *sing-to-nemp*] *pop-hd-nemp*[OF  $\langle u \neq \varepsilon \rangle$ ].

**lemma** *ssuf-mono*:  $u <_s v \implies f u <_s f v$   
**by** (*elim strict-suffixE'*)  
*(use morph sing-to-nemp ssufI1 suf-nemp in metis)*

**lemma** *im-len-le*:  $|u| \leq |f u|$   
**proof** (*induct u*)  
**case** (*Cons a u*)  
**show** ?case  
**unfolding** *hd-word*[of  $a \ u$ ] *morph lenmorph sing-len*  
**by** (*rule add-mono*[OF  $\langle |u| \leq |f u| \rangle$ ], *use nemp-le-len*[OF *sing-to-nemp*] **in**  
*force*)  
**qed** *simp*

**lemma** *im-len-eq-iff*:  $|u| = |f u| \iff (\forall c. c \in \text{set } u \implies |f [c]| = 1)$   
**proof** (*induct u*)  
**case** (*Cons a u*)  
**show** ?case  
**proof**  
**assume**  $|a \# u| = |f (a \# u)|$

```

    from this[unfolded hd-word[of a u] morph lenmorph sing-len]
    have |f [a]| = 1 and |u| = |f u|
      unfolding sing-len[of a, symmetric] using im-len-le[of [a]] im-len-le[of u]
by auto
    from this(2)[unfolded Cons.hyps] this(1)
    show  $\forall c. c \in \text{set } (a \# u) \longrightarrow |f [c]| = 1$  by auto
next
    assume  $\forall c. c \in \text{set } (a \# u) \longrightarrow |f [c]| = 1$ 
    hence all:  $\forall c. c \in \text{set } u \longrightarrow |f [c]| = 1$  and |f [a]| = 1
      by simp-all
    show |a # u| = |f (a # u)|
      unfolding hd-word[of a u] morph lenmorph sing-len <|f [a]| = 1> all[folded
Cons.hyps]..
    qed
  qed simp

```

```

lemma im-len-less:  $a \in \text{set } u \implies |f [a]| \neq 1 \implies |u| < |f u|$ 
  using im-len-le im-len-eq-iff order-le-neq-trans by auto

```

end

```

lemma (in morphism) nonerI[intro]: assumes  $(\bigwedge a. f^c a \neq \varepsilon)$ 
  shows nonerasing-morphism f
proof
  from assms[unfolded core-def] noner-sings-conv
  show  $\bigwedge w. f w = \varepsilon \implies w = \varepsilon$  by presburger
qed

```

```

lemma (in morphism) prim-morph-nonera:
  assumes prim-morph:  $\bigwedge u. 2 \leq |u| \implies \text{primitive } u \implies \text{primitive } (f u)$ 
  and non-single-dom:  $\exists a b :: 'a. a \neq b$ 
  shows nonerasing-morphism f
proof (intro nonerI notI)
  fix a
  assume  $f^c a = \varepsilon$ 
  obtain c d :: 'a where  $c \neq d$ 
    using non-single-dom by blast
  then obtain b where  $a \neq b$ 
    by (cases a = c) simp-all
  then have  $\neg \text{primitive } (f ([a] \cdot [b] \cdot [b]))$ 
    using  $\langle f^c a = \varepsilon \rangle$  unfolding morph
    by (simp add: core-def eq-append-not-prim)
  have primitive ([a] \cdot [b] \cdot [b])
    using prim-abk[OF  $\langle a \neq b \rangle$ , of 2] by simp
  from prim-morph[OF - this]  $\langle \neg \text{primitive } (f ([a] \cdot [b] \cdot [b])) \rangle$ 
  show False
    by simp
qed

```

#### 4.1.4 Code morphism

The term “Code morphism” is equivalent to “injective morphism”.

Note that this is not equivalent to  $\text{code}(\text{range } f^{\mathcal{C}})$ , since the core can be not injective.

**lemma** (in *morphism*) *code-core-range-inj*:  $\text{inj } f \longleftrightarrow \text{code}(\text{range } f^{\mathcal{C}}) \wedge \text{inj } f^{\mathcal{C}}$

**proof**

**assume**  $\text{inj } f$

**show**  $\text{code}(\text{range } f^{\mathcal{C}}) \wedge \text{inj } f^{\mathcal{C}}$

**proof**

**show**  $\text{inj } f^{\mathcal{C}}$

**using**  $\langle \text{inj } f \rangle$  **unfolding** *inj-on-def core-def* **by** *blast*

**show**  $\text{code}(\text{range } f^{\mathcal{C}})$

**proof**

**show**

$xs \in \text{lists}(\text{range } f^{\mathcal{C}}) \implies ys \in \text{lists}(\text{range } f^{\mathcal{C}}) \implies \text{concat } xs = \text{concat } ys \implies xs = ys$  **for**  $xs \ ys$

**unfolding** *range-map-core[symmetric]* **using**  $\langle \text{inj } f \rangle$  [*unfolded inj-on-def core-def*] *morph-concat-map*

**by** *force*

**qed**

**qed**

**next**

**assume**  $\text{code}(\text{range } f^{\mathcal{C}}) \wedge \text{inj } f^{\mathcal{C}}$  **hence**  $\text{code}(\text{range } f^{\mathcal{C}})$  **and**  $\text{inj } f^{\mathcal{C}}$  **by** *blast+*

**show**  $\text{inj } f$

**proof**

**fix**  $x \ y$  **assume**  $f \ x = f \ y$

**with** *code.is-code[OF*  $\langle \text{code}(\text{range } f^{\mathcal{C}}) \rangle$ , *folded range-map-core*, *OF rangeI rangeI*, *unfolded morph-concat-map*]

**have**  $\text{map } f^{\mathcal{C}} \ x = \text{map } f^{\mathcal{C}} \ y$  **by** *blast*

**with**  $\langle \text{inj } f^{\mathcal{C}} \rangle$

**show**  $x = y$  **by** *simp*

**qed**

**qed**

**locale** *code-morphism = morphism f* **for**  $f$  +

**assumes** *code-morph*:  $\text{inj } f$

**begin**

**lemma** *inj-core*:  $\text{inj } f^{\mathcal{C}}$

**using** *code-morph* **unfolding** *core-def inj-on-def* **by** *blast*

**lemma** *sing-im-core*:  $f \ [a] \in (\text{range } f^{\mathcal{C}})$

**unfolding** *core-def* **by** *simp*

**lemma** *code-im*:  $\text{code}(\text{range } f^{\mathcal{C}})$

```

using code-morph morph-concat-map unfolding inj-on-def code-def core-def
unfolding lists-image lists-UNIV by fastforce

sublocale code range  $f^C$ 
using code-im.

sublocale nonerasing-morphism
by (rule nonerI, simp add: nemp)

lemma code-morph-code: assumes  $f r = f s$  shows  $r = s$ 
proof-
from code.is-code[OF code-im, of map fC r map fC s]
have  $\text{map } f^C r = \text{map } f^C s$ 
unfolding morph-concat-map using range-map-core assms by blast
thus  $r = s$ 
unfolding inj-map-eq-map[OF inj-core].
qed

lemma code-morph-bij: bij-betw  $f$  UNIV  $\langle\langle \text{range } f^C \rangle\rangle$ 
unfolding bij-betw-def
by (rule disjE, simp-all add: range-hull)
(rule injI, simp add: code-morph-code)

lemma code-morphism-rev-map: code-morphism (rev-map  $f$ )
unfolding code-morphism-def code-morphism-axioms-def
proof (rule conjI)
show inj (rev-map  $f$ )
using code-morph
unfolding inj-def rev-map-arg rev-is-rev-conv
using rev-is-rev-conv by blast
qed (simp add: rev-map-morph)

lemma morph-on-inj-on:
morphism-on  $f$  A inj-on  $f$  A
using morph code-morph-code unfolding morphism-on-def inj-on-def
by blast+

end

lemma (in morphism) code-morphismI: inj  $f \implies$  code-morphism  $f$ 
by unfold-locales

lemma (in nonerasing-morphism) code-morphismI' :
assumes comm:  $\bigwedge u v. f u = f v \implies u \cdot v = v \cdot u$ 
shows code-morphism  $f$ 
proof (unfold-locales, intro injI)
fix  $u v$ 
assume  $f u = f v$ 
then have  $u \cdot v = v \cdot u$ 

```

```

  by (fact comm)
  from comm-eq-im-eq[OF this ‹f u = f v›]
  show u = v.
qed

```

#### 4.1.5 Prefix code morphism

```

locale pref-code-morphism = nonerasing-morphism +
  assumes
    pref-free:  $f^C a \leq_p f^C b \implies a = b$ 

```

```

begin

```

```

interpretation prefrange: pref-code (range  $f^C$ )
  by (unfold-locales, unfold image-iff)
  (use core-nemp in metis, use pref-free in fast)

```

```

lemma inj-core: inj  $f^C$ 
  unfolding inj-on-def using pref-free by force

```

```

sublocale code-morphism

```

```

proof

```

```

  show inj f
  proof (rule injI)
    fix x y
    assume f x = f y
    hence map  $f^C$  x = map  $f^C$  y
      using prefrange.is-code[folded range-map-core, of map  $f^C$  x map  $f^C$  y]
    unfolding morph-concat-map by fast
    with inj-core[folded inj-map[of  $f^C$ ], unfolded inj-on-def]
    show x = y
      by fast
  qed
qed

```

```

thm nonerasing

```

```

lemma pref-free-morph: assumes  $f r \leq_p f s$  shows  $r \leq_p s$ 
  using assms

```

```

proof (induction r s rule: list-induct2')

```

```

  case (2 x xs)
  then show ?case
    using emp-to-emp nonerasing prefix-bot.extremum-unique by auto
  next
  case (3 y ys)
  then show ?case
    using emp-to-emp nonerasing prefix-bot.extremum-unique by blast
  next
  case (4 x xs y ys)

```



```

then show ?case
proof-
  have  $f^c x \leq_p f^c y \cdot f ys$ 
    unfolding core-def using 4.premis[unfolded pop-hd[of x xs] pop-hd[of y ys],
    THEN append-prefixD].
  from ruler-pref'[OF this] prefrange.pref-free[OF rangeI rangeI] inj-core
  have  $x = y$ 
    unfolding inj-on-def by fastforce
  show ?case
    using 4.IH 4.premis unfolding pop-hd[of x xs] pop-hd[of y ys]
    unfolding  $\langle x = y \rangle$  by fastforce
  qed
qed simp

end

```

#### 4.1.6 Marked morphism

```

locale marked-morphism = nonerasing-morphism +
  assumes
    marked-core:  $hd (f^c a) = hd (f^c b) \implies a = b$ 

```

**begin**

```

lemma marked-im: marked-code (range  $f^c$ )
  by (unfold-locales, unfold image-iff)
  (use marked-core core-nemp in metis)+

```

```

interpretation marked-code (range  $f^c$ )
  using marked-im.

```

```

lemmas marked-morph = marked-core[unfolded core-sing]

```

```

sublocale pref-code-morphism
  by (unfold-locales, simp-all add: core-nemp marked-core pref-hd-eq)

```

```

lemma hd-im-eq-hd-eq: assumes  $u \neq \varepsilon$  and  $v \neq \varepsilon$  and  $hd (f u) = hd (f v)$ 
  shows  $hd u = hd v$ 
  using marked-morph[OF  $\langle hd (f u) = hd (f v) \rangle$ ][unfolded hd-im-hd-hd[OF  $\langle u \neq \varepsilon \rangle$ ]
  hd-im-hd-hd[OF  $\langle v \neq \varepsilon \rangle$ ]].

```

```

lemma marked-morph-lcp:  $f (r \wedge_p s) = f r \wedge_p f s$ 
  by (rule marked-concat-lcp[of map  $f^c$  r map  $f^c$  s, unfolded map-lcp-conv[OF
  inj-core] morph-concat-map]) simp-all

```

```

lemma marked-inj-map:  $inj e \implies$  marked-morphism ((map e)  $\circ$  f)
  unfolding inj-on-def
  by unfold-locales
  (simp add: morph, simp add: code-morph-code, simp add: core-def core-nemp)

```

*nemp-to-nemp marked-core list.map-sel(1) sing-to-nemp*

**end**

**thm** *morphism.nonerI*

**lemma** (**in** *morphism*) *marked-morphismI*:

$(\wedge a. f[a] \neq \varepsilon) \implies (\wedge a b. a \neq b) \implies \text{hd}(f[a]) \neq \text{hd}(f[b]) \implies \text{marked-morphism } f$

**by** *unfold-locales presburger+*

#### 4.1.7 Image length

**definition** *max-image-length*:: ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  nat ( $\langle[-]\rangle$ )

**where** *max-image-length*  $f = \text{Max}(\text{length}'(\text{range } f^{\mathcal{C}}))$

**definition** *min-image-length*:: ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  nat ( $\langle[-]\rangle$ )

**where** *min-image-length*  $f = \text{Min}(\text{length}'(\text{range } f^{\mathcal{C}}))$

**lemma** *max-im-len-id*:  $\lceil \text{id}::('a \text{ list} \Rightarrow 'a \text{ list}) \rceil = 1$  **and** *min-im-len-id*:  $\lfloor \text{id}::('a \text{ list} \Rightarrow 'a \text{ list}) \rfloor = 1$

**proof**–

**have** *a1*:  $\text{length}'(\text{range } (\lambda x. [x])) = \{1\}$

**by** *force*

**show**  $\lceil \text{id}::('a \text{ list} \Rightarrow 'a \text{ list}) \rceil = 1$  **and**  $\lfloor \text{id}::('a \text{ list} \Rightarrow 'a \text{ list}) \rfloor = 1$

**unfolding** *max-image-length-def min-image-length-def core-def id-apply a1*

**by** *force+*

**qed**

**context** *morphism*

**begin**

**lemma** *max-im-len-le*:  $\text{finite}(\text{length}'(\text{range } f^{\mathcal{C}})) \implies |f z| \leq |z| * \lceil f \rceil$

**proof**(*induction z*)

**case** (*Cons a z*)

**have**  $|f [a]| \in \text{length}'(\text{range } f^{\mathcal{C}})$

**by** (*simp add: core-def*)

**hence**  $|f [a]| \leq \lceil f \rceil$

**unfolding** *max-image-length-def*

**using** *Cons.premis Max.coboundedI* **by** *metis*

**thus** *?case*

**unfolding** *hd-word[of a z] morph[of [a] z]*

**unfolding** *lenmorph*

**using** *Cons.IH[OF Cons.premis]* **by** *auto*

**qed** *simp*

**lemma** *max-im-len-le-sing*: **assumes**  $\text{finite}(\text{length}'(\text{range } f^{\mathcal{C}}))$

**shows**  $|f [a]| \leq \lceil f \rceil$

**using** *max-im-len-le[OF assms, of [a]]*

**unfolding** *mult-1 sing-len*.

**lemma** *min-im-len-ge*: *finite* (*length* 'range  $f^c$ )  $\implies |z| * [f] \leq [f z]$   
**proof**(*induction z*)  
**case** (*Cons a z*)  
**have**  $|f [a]| \in \text{length}'(\text{range } f^c)$   
**by** (*simp add: core-def*)  
**hence**  $[f] \leq [f [a]]$   
**unfolding** *min-image-length-def*  
**by** (*meson Cons.prem1 Min-le*)  
**thus** ?*case*  
**unfolding** *hd-word*[*of a z*] *morph*[*of [a] z*]  
**unfolding** *lenmorph*  
**using** *Cons.IH*[*OF Cons.prem1*] **by** *auto*  
**qed** *simp*

**lemma** *max-im-len-comp-le*: **assumes** *finite-f*: *finite* (*length* 'range  $f^c$ ) **and**  
*finite-g*: *finite* (*length* 'range  $g^c$ ) **and** *morphism g*  
**shows** *finite* (*length* 'range  $(g \circ f)^c$ )  $[g \circ f] \leq [f]*[g]$   
**proof**-  
**interpret** *mg*: *morphism g*  
**by** (*simp add: <morphism g>*)

**have**  $|g (f [x])| \leq [f]*[g]$  **for**  $x$

**proof**-

**have**  $|f [x]| \leq [f]$   
**using** *finite-f max-im-len-le-sing* **by** *presburger*  
**thus**  $|g (f [x])| \leq [f]*[g]$   
**by** (*meson finite-g le-trans mg.max-im-len-le mult-le-cancel2*)

**qed**

**hence**  $|(g \circ f)^c x| \leq [f]*[g]$  **for**  $x$

**by** (*simp add: core-sing*)

**hence**  $l \in \text{length}' \text{range } (g \circ f)^c \implies l \leq [f]*[g]$  **for**  $l$

**by** *blast*

**thus** *finite* (*length* 'range  $(g \circ f)^c$ )

**using** *finite-nat-set-iff-bounded-le* **by** *metis*

**from** *Max.boundedI*[*OF this*]

**show**  $[g \circ f] \leq [f]*[g]$

**using**  $\langle \bigwedge l. l \in \text{length}' \text{range } (g \circ f)^c \implies l \leq [f] * [g] \rangle$

**unfolding** *max-image-length-def*

**by** *blast*

**qed**

**lemma** *max-im-len-emp*: **assumes** *finite* (*length* 'range  $f^c$ )

**shows**  $[f] = 0 \iff (f = (\lambda w. \varepsilon))$

**by** (*rule iffI*, *use max-im-len-le*[*OF assms*] *npos-len* **in** *force*, *simp add: core-def max-image-length-def*)

**lemmas** *max-im-len-le-dom* = *max-im-len-le*[*OF finite-imageI*, *OF finite-imageI*]

**and**  
 $max-im-len-le-sing-dom = max-im-len-le-sing[OF\ finite-imageI, OF\ finite-imageI]$   
**and**  
 $min-im-len-ge-dom = min-im-len-ge[OF\ finite-imageI, OF\ finite-imageI]$  **and**  
 $max-im-len-comp-le-dom = max-im-len-comp-le[OF\ finite-imageI, OF\ finite-imageI]$   
**and**  
 $max-im-len-emp-dom = max-im-len-emp[OF\ finite-imageI, OF\ finite-imageI]$   
**end**

#### 4.1.8 Endomorphism

**locale** *endomorphism* = *morphism f* **for**  $f:: 'a\ list \Rightarrow 'a\ list$   
**begin**

**lemma** *pow-endomorphism: endomorphism*  $(f \rightsquigarrow k)$   
**by** (*unfold-locales, induction k*) (*simp-all add: power.power.power-0 morph*)

**interpretation** *pow-endm: endomorphism*  $(f \rightsquigarrow k)$   
**using** *pow-endomorphism by blast*

**lemmas** *pow-morphism = pow-endm.morphism-axioms* **and**  
 $pow-morph = pow-endm.morph$  **and**  
 $pow-emp-to-emp = pow-endm.emp-to-emp$

**lemma** *pow-sets-im: set w = set v  $\implies$  set  $((f \rightsquigarrow k)\ w) = set\ ((f \rightsquigarrow k)\ v)$*   
**by**(*induct k, auto simp add: power.power.power-0 set-core-set*)

**lemma** *fin-len-ran-pow: finite (length ' range  $f^c$ )  $\implies$  finite (length ' range  $(f \rightsquigarrow k)^c$ )*  
**proof**(*induction k*)

**case** 0  
**have**  $(w::'a\ list) \in range\ (\lambda a. [a]) \implies |w| = 1$  **for**  $w$   
**by** *force*  
**thus** ?*case*  
**unfolding** *funpow-0 core-def*  
**using** *finite-nat-set-iff-bounded-le by auto*

**next**  
**case** (*Suc k*)  
**show** ?*case*  
**using** *pow-endm.max-im-len-comp-le(1)[of - f, folded funpow.simps(2), OF Suc.IH, OF Suc.prem1 Suc.prem2 morphism-axioms]*.

**qed**

**lemma** *max-im-len-pow-le: assumes finite (length ' range  $f^c$ ) shows  $[f \rightsquigarrow k] \leq [f] \rightsquigarrow k$*

**proof**(*induction k*)  
**have** *funpow-1*:  $f^{\sim 1} = f$  **by** *simp*  
**case** (*Suc k*)  
**show** *?case*  
**using** *mult-le-mono2*[*OF Suc.IH*[*OF Suc.prem*s], *of* [ $f^{\sim 1}$ ]] *pow-endm.max-im-len-comp-le(2)*[*OF fin-len-ran-pow*, *OF*  $\langle$ *finite* (*length* ' *range*  $f^{\mathcal{C}}$ ) $\rangle$   $\langle$ *finite* (*length* ' *range*  $f^{\mathcal{C}}$ ) $\rangle$  *morphism-axioms*]  
**unfolding** *compow-Suc funpow-1 comp-apply*  
**unfolding** *power-class.power.power-Suc*  
**unfolding** *mult.commute*[*of* [ $f$ ]]  
**using** *dual-order.trans* **by** *blast*  
**qed** (*simp add: max-im-len-id*[*unfolded id-def*])

**lemma** *max-im-len-pow-le'*:  $\text{finite } (\text{length } \langle \text{range } f^{\mathcal{C}} \rangle) \implies |(f^{\sim k}) w| \leq |w| * [f]^{\sim k}$   
**using** *fin-len-ran-pow le-trans max-im-len-pow-le mult-le-mono2 pow-endm.max-im-len-le*  
**by** *meson*

**lemmas** *max-im-len-pow-le-dom = max-im-len-pow-le*[*OF finite-imageI*, *OF finite-imageI*] **and**  
 $\text{max-im-len-pow-le}'\text{-dom} = \text{max-im-len-pow-le}'$ [*OF finite-imageI*, *OF finite-imageI*]

**lemma** *funpow-nonerasing-morphism*: **assumes** *nonerasing-morphism f*  
**shows** *nonerasing-morphism* ( $f^{\sim k}$ )  
**proof**(*unfold-locales*, *induction k*)  
**case** (*Suc k*)  
**then show** *?case*  
**using** *nonerasing-morphism.nonerasing*[*OF assms*]  
**unfolding** *compow-Suc'* **by** *blast*  
**qed** *simp*

**lemma** *im-len-pow-mono*: **assumes** *nonerasing-morphism f*  $i \leq j$   
**shows**  $(|(f^{\sim i}) w| \leq |(f^{\sim j}) w|)$   
**using** *nonerasing-morphism.im-len-le*[*OF funpow-nonerasing-morphism*[*of j-i*], *OF*  $\langle$ *nonerasing-morphism f* $\rangle$ , *of* ( $f^{\sim i}$ )  $w$ ]  
**using** *funpow-add*[*unfolded comp-apply*, *of j-i i f*]  
**unfolding** *diff-add*[*OF*  $\langle$ *i*  $\leq$  *j* $\rangle$ ]  
**by** *simp*

**lemma** *fac-mono-pow*:  $u \leq f$  ( $f^{\sim k}$ )  $w \implies (f^{\sim l}) u \leq f$  ( $f^{\sim (l+k)}$ )  $w$   
**by** (*simp add: funpow-add pow-endm.fac-mono*)

**lemma** *rev-map-endomorph*: *endomorphism* (*rev-map f*)  
**by** (*simp add: endomorphism.intro rev-map-morph*)

**end**

## 4.2 Primitivity preserving morphisms

```

locale primitivity-preserving-morphism = nonerasing-morphism +
  assumes prim-morph :  $2 \leq |u| \implies \text{primitive } u \implies \text{primitive } (f u)$ 
begin

sublocale code-morphism
proof (rule code-morphismI', rule nemp-comm)
  fix u v
  assume  $u \neq \varepsilon$  and  $v \neq \varepsilon$  and  $f u = f v$ 
  then have  $2 \leq |u \cdot v|$  and  $2 \leq |u \cdot v \cdot v|$ 
    by (simp-all flip: len-nemp-conv)
  moreover have  $\neg \text{primitive } (f (u \cdot v))$  and  $\neg \text{primitive } (f (u \cdot v \cdot v))$ 
    using pow-nemp-imprim[of 2] pow-nemp-imprim[of 3] unfolding numeral-nat
    by (simp-all add: morph ⟨f u = f v⟩ assumption+)
  ultimately have  $\neg \text{primitive } (u \cdot v)$  and  $\neg \text{primitive } (u \cdot v \cdot v)$ 
    by (intro notI; elim prim-morph[rotated, elim-format], blast+)
  then show  $u \cdot v = v \cdot u$ 
    by (fact imprim-ext-suf-comm)
qed

lemmas code-morph = code-morph

end

```

## 4.3 Two morphisms

Solutions and the coincidence pairs are defined for any two mappings

### 4.3.1 Solutions

```

definition minimal-solution :: 'a list  $\Rightarrow$  ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  ('a list  $\Rightarrow$  'b list)  $\Rightarrow$ 
  bool

```

```

  (⟨- ∈ - =M -⟩ [80,80,80] 51 )

```

```

  where min-sol-def: minimal-solution s g h  $\equiv$   $s \neq \varepsilon \wedge g s = h s$ 
     $\wedge (\forall s'. s' \neq \varepsilon \wedge s' \leq_p s \wedge g s' = h s' \implies s' = s)$ 

```

```

lemma min-solD:  $s \in g =_M h \implies g s = h s$ 
  using min-sol-def by blast

```

```

lemma min-solD':  $s \in g =_M h \implies s \neq \varepsilon$ 
  using min-sol-def by blast

```

```

lemma min-solD-min:  $s \in g =_M h \implies p \neq \varepsilon \implies p \leq_p s \implies g p = h p \implies p =$ 
   $s$ 
  by (simp add: min-sol-def)

```

```

lemma min-solI[intro]:  $s \neq \varepsilon \implies g s = h s \implies (\bigwedge s'. s' \leq_p s \implies s' \neq \varepsilon \implies g$ 

```

$s' = h s' \implies s' = s \implies s \in g =_M h$   
**using** *min-sol-def* **by** *metis*

**lemma** *min-sol-sym-iff*:  $s \in g =_M h \longleftrightarrow s \in h =_M g$   
**unfolding** *min-sol-def* *eq-commute*[of  $g - h$  -] **by** *blast*

**lemma** *min-sol-sym*[*sym*]:  $s \in g =_M h \implies s \in h =_M g$   
**unfolding** *min-sol-def* *eq-commute*[of  $g$  -].

**lemma** *min-sol-prefE*:

**assumes**  $g r = h r$  **and**  $r \neq \varepsilon$

**obtains**  $e$  **where**  $e \in g =_M h$  **and**  $e \leq_p r$

**proof**-

**let**  $?min = \lambda n. take\ n\ r \neq \varepsilon \wedge g\ (take\ n\ r) = h\ (take\ n\ r)$

**have**  $?min\ |r|$

**using** *assms* **by** *force*

**define**  $n$  **where**  $n = (LEAST\ n.\ ?min\ n)$

**define**  $e$  **where**  $e = take\ n\ r$

**from** *Least-le*[of  $?min$ , *folded*  $n$ -def, *OF*  $\langle ?min\ |r| \rangle$ ]

**have**  $n = |e|$

**unfolding**  $e$ -def **by** *simp*

**show** *thesis*

**proof** (*rule* *that*)

**show**  $e \leq_p r$

**unfolding**  $e$ -def **using** *take-is-prefix* **by** *blast*

**show**  $e \in g =_M h$

**proof** (*rule* *min-solI*)

**from** *LeastI*[of  $?min$ , *OF*  $\langle ?min\ |r| \rangle$ , *folded*  $n$ -def  $e$ -def]

**show**  $e \neq \varepsilon$  **and**  $g\ e = h\ e$

**by** *blast+*

**show** *min*:  $s = e$  **if**  $s \leq_p e$  **and**  $s \neq \varepsilon$  **and**  $g\ s = h\ s$  **for**  $s$

**proof**-

**have**  $|s| \leq |e|$

**using** *pref-len*[*OF*  $\langle s \leq_p e \rangle$ ].

**hence**  $take\ |s|\ r = s$

**using**  $\langle s \leq_p e \rangle$  *pref-take* **unfolding**  $e$ -def **by** *fastforce*

**from** *not-less-Least*[of  $|s|$   $?min$ , *folded*  $e$ -def  $n$ -def, *unfolded* *this*]

**show**  $s = e$

**using** *that* *leI* *long-pref* **unfolding**  $\langle n = |e| \rangle$  **by** *fast*

**qed**

**qed**

**qed**

**qed**

### 4.3.2 Coincidence pairs

**definition** *coincidence-set* ::  $('a\ list \Rightarrow 'b\ list) \Rightarrow ('a\ list \Rightarrow 'b\ list) \Rightarrow ('a\ list \times 'a\ list)\ set\ (\mathcal{C})$

**where** *coincidence-set*  $g\ h \equiv \{(r,s). g\ r = h\ s\}$

**lemma** *coin-set-eq*:  $(g \circ fst)'(\mathfrak{C} g h) = (h \circ snd)'(\mathfrak{C} g h)$   
**unfolding** *coincidence-set-def comp-apply using Product-Type.Collect-case-prodD*[of  
 $-\lambda x y. g x = h y]$  *image-cong* **by** *auto*

**lemma** *coin-setD*:  $pair \in \mathfrak{C} g h \implies g (fst pair) = h (snd pair)$   
**unfolding** *coincidence-set-def* **by** *force*

**lemma** *coin-setD-iff*:  $pair \in \mathfrak{C} g h \longleftrightarrow g (fst pair) = h (snd pair)$   
**unfolding** *coincidence-set-def* **by** *force*

**lemma** *coin-set-sym*:  $fst'(\mathfrak{C} g h) = snd'(\mathfrak{C} h g)$   
**unfolding** *coincidence-set-def*  
**by** (*rule set-eqI*) (*auto simp add: image-iff, metis*)

**lemma** *coin-set-inter-fst*:  $(g \circ fst)'(\mathfrak{C} g h) = range g \cap range h$

**proof**

**show**  $(g \circ fst)' \mathfrak{C} g h \subseteq range g \cap range h$

**proof**

**fix**  $x$  **assume**  $x \in (g \circ fst)' \mathfrak{C} g h$

**then obtain**  $pair$  **where**  $x = g (fst pair)$  **and**  $pair \in \mathfrak{C} g h$

**by** *force*

**from**  $this(1)[unfolding\ coin-setD[OF\ this(2)]]$   $this(1)$

**show**  $x \in range g \cap range h$  **by** *blast*

**qed**

**next**

**show**  $range g \cap range h \subseteq (g \circ fst)' \mathfrak{C} g h$

**proof**

**fix**  $x$  **assume**  $x \in range g \cap range h$

**then obtain**  $r s$  **where**  $g r = h s$  **and**  $x = g r$  **by** *blast*

**hence**  $(r,s) \in \mathfrak{C} g h$

**unfolding** *coincidence-set-def* **by** *blast*

**thus**  $x \in (g \circ fst)' \mathfrak{C} g h$

**unfolding**  $\langle x = g r \rangle$  **by** *force*

**qed**

**qed**

**lemmas** *coin-set-inter-snd* = *coin-set-inter-fst*[*unfolding coin-set-eq*]

**definition** *minimal-coincidence* ::  $('a\ list \Rightarrow 'b\ list) \Rightarrow 'a\ list \Rightarrow ('a\ list \Rightarrow 'b\ list) \Rightarrow 'a\ list \Rightarrow bool$   $\langle (-) =_m (-) \rangle$  [80,81,80,81] 51 )

**where** *min-coin-def*:  $minimal-coincidence\ g\ r\ h\ s \equiv r \neq \varepsilon \wedge s \neq \varepsilon \wedge g\ r = h\ s \wedge (\forall r' s'. r' \leq np\ r \wedge s' \leq np\ s \wedge g\ r' = h\ s' \longrightarrow r' = r \wedge s' = s)$

**definition** *min-coincidence-set* ::  $('a\ list \Rightarrow 'b\ list) \Rightarrow ('a\ list \Rightarrow 'b\ list) \Rightarrow ('a\ list \times 'a\ list)$  *set*  $\langle \mathfrak{C}_m \rangle$

**where** *min-coincidence-set*  $g\ h \equiv \{(r,s) . g\ r =_m\ h\ s\}$

**lemma** *min-coin-minD*:  $g\ r =_m\ h\ s \implies r' \leq np\ r \implies s' \leq np\ s \implies g\ r' = h\ s'$



$\implies r' = r \wedge s' = s$   
**using** *min-coin-def* **by** *blast*

**lemma** *min-coin-setD*:  $p \in \mathfrak{C}_m g h \implies g (fst p) =_m h (snd p)$   
**unfolding** *min-coincidence-set-def* **by** *force*

**lemma** *min-coinD*:  $g r =_m h s \implies g r = h s$   
**using** *min-coin-def* **by** *blast*

**lemma** *min-coinD'*:  $g r =_m h s \implies r \neq \varepsilon \wedge s \neq \varepsilon$   
**using** *min-coin-def* **by** *blast*

**lemma** *min-coin-sub*:  $\mathfrak{C}_m g h \subseteq \mathfrak{C} g h$   
**unfolding** *coincidence-set-def min-coincidence-set-def*  
**using** *min-coinD* **by** *blast*

**lemma** *min-coin-defI*: **assumes**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$  **and**  $g r = h s$  **and**  
 $(\bigwedge r' s'. r' \leq_{np} r \implies s' \leq_{np} s \implies g r' = h s' \implies r' = r \wedge s' = s)$   
**shows**  $g r =_m h s$   
**unfolding** *min-coin-def[rule-format]* **using** *assms* **by** *blast*

**lemma** *min-coin-sym[sym]*:  $g r =_m h s \implies h s =_m g r$   
**unfolding** *min-coin-def eq-commute[of g - h -]* **by** *blast*

**lemma** *min-coin-sym-iff*:  $g r =_m h s \longleftrightarrow h s =_m g r$   
**using** *min-coin-sym* **by** *auto*

**lemma** *min-coin-set-sym*:  $fst'(\mathfrak{C}_m g h) = snd'(\mathfrak{C}_m h g)$   
**unfolding** *min-coincidence-set-def image-iff*  
**by** (*rule set-eqI*, *rule iffI*) (*simp-all add: image-iff min-coin-sym-iff*)

### 4.3.3 Basics

**locale** *two-morphisms* =  $g$ : *morphism*  $g$  +  $h$ : *morphism*  $h$  **for**  $g h :: 'a list \Rightarrow 'b list$

**begin**

**lemma** *def-on-sings*:

**assumes**  $\bigwedge a. a \in set u \implies g [a] = h [a]$

**shows**  $g u = h u$

**using** *assms*

**proof** (*induct u*)

**next**

**case** (*Cons a u*)

**then show** *?case*

**unfolding** *g.pop-hd[of a u] h.pop-hd[of a u]* **using** *assms* **by** *simp*  
**qed** *simp*

**lemma** *def-on-sings-eq*:

**assumes**  $\bigwedge a. g [a] = h [a]$   
**shows**  $g = h$   
**using** *def-on-sings*[*OF assms*]  
**by** (*simp add: ext*)

**lemma** *ims-prefs-comp*:

**assumes**  $u \leq_p u'$  **and**  $v \leq_p v'$  **and**  $g u' \bowtie h v'$  **shows**  $g u \bowtie h v$   
**using** *ruler-comp*[*OF g.pref-mono h.pref-mono, OF assms*].

**lemma** *ims-sufs-comp*:

**assumes**  $u \leq_s u'$  **and**  $v \leq_s v'$  **and**  $g u' \bowtie_s h v'$  **shows**  $g u \bowtie_s h v$   
**using** *suf-ruler-comp*[*OF g.suf-mono h.suf-mono, OF assms*].

**lemma** *ims-hd-eq-comp*:

**assumes**  $u \neq \varepsilon$  **and**  $g u = h u$  **shows**  $g [hd\ u] \bowtie h [hd\ u]$   
**using** *ims-prefs-comp*[*OF hd-pref*[*OF  $\langle u \neq \varepsilon \rangle$* ]] *hd-pref*[*OF  $\langle u \neq \varepsilon \rangle$ ]]  
**unfolding**  $\langle g\ u = h\ u \rangle$  **by** *blast**

**lemma** *ims-last-eq-suf-comp*:

**assumes**  $u \neq \varepsilon$  **and**  $g u = h u$  **shows**  $g [last\ u] \bowtie_s h [last\ u]$   
**using** *ims-sufs-comp*[*OF hd-pref*[*reversed, OF  $\langle u \neq \varepsilon \rangle$* ]] *hd-pref*[*reversed, OF  $\langle u \neq \varepsilon \rangle$ ]]  
**unfolding**  $\langle g\ u = h\ u \rangle$  **using** *comp-refl*[*reversed*] **by** *blast**

**lemma** *len-im-le*:

**assumes**  $(\bigwedge a. a \in set\ s \implies |g [a]| \leq |h [a]|)$   
**shows**  $|g\ s| \leq |h\ s|$   
**using** *assms proof* (*induction s*)  
**case** (*Cons a s*)  
  **have** *IH-prem*:  $\bigwedge a. a \in set\ s \implies |g [a]| \leq |h [a]|$  **using** *Cons.prem*s **by** *simp*  
  **show**  $|g (a \# s)| \leq |h (a \# s)|$   
    **unfolding** *g.pop-hd*[*of - s*] *h.pop-hd*[*of - s*] *lenmorph*  
    **using** *Cons.prem*s[*of a, simplified*] *Cons.IH*[*OF IH-prem*]  
    **by** (*rule add-le-mono*)  
**qed** *simp*

**lemma** *len-im-less*:

**assumes**  $\bigwedge a. a \in set\ s \implies |g [a]| \leq |h [a]|$  **and**  
   $b \in set\ s$  **and**  $|g [b]| < |h [b]|$   
**shows**  $|g\ s| < |h\ s|$   
**using** *assms proof* (*induction s arbitrary: b*)  
**case** (*Cons a s*)  
  **have** *IH-prem*:  $\bigwedge a. a \in set\ s \implies |g [a]| \leq |h [a]|$  **using** *Cons.prem*s(1)[*OF list.set-intros*(2)].  
  **note** *split* = *g.pop-hd*[*of - s*] *h.pop-hd*[*of - s*] *lenmorph*  
  **show**  $|g (a \# s)| < |h (a \# s)|$   
  **proof** (*cases*)  
    **assume**  $a = b$  **show**  $|g (a \# s)| < |h (a \# s)|$

**unfolding** *split*  $\langle a = b \rangle$  **using**  $\langle |g [b]| < |h [b]| \rangle$  *len-im-le*[*OF IH-prem*]  
**by** (*rule add-less-le-mono*)  
**next**  
**assume**  $a \neq b$   
**then have**  $b \in \text{set } s$  **using**  $\langle b \in \text{set } (a \# s) \rangle$  **by** *simp*  
**show**  $|g (a \# s)| < |h (a \# s)|$   
**unfolding** *split* **using** *Cons.prem*s(1)[*OF list.set-intros*(1)]  
*Cons.IH*[*OF IH-prem*  $\langle b \in \text{set } s \rangle$   $\langle |g [b]| < |h [b]| \rangle$ ]  
**by** (*rule add-le-less-mono*)  
**qed**  
**qed** *simp*

**lemma** *solution-eq-len-eq*:  
**assumes**  $g s = h s$  **and**  $\bigwedge a. a \in \text{set } s \implies |g [a]| = |h [a]|$   
**shows**  $\bigwedge a. a \in \text{set } s \implies g [a] = h [a]$   
**using** *assms* **proof** (*induction s*)  
**case** (*Cons b s*)  
**have** *nemp*:  $b \# s \neq \varepsilon$  **using** *list.distinct*(2).  
**from** *ims-hd-eq-comp*[*OF nemp*  $\langle g (b \# s) = h (b \# s) \rangle$ ] *Cons.prem*s(3)[*OF list.set-intros*(1)]  
**have** \*:  $g [b] = h [b]$  **unfolding** *list.sel*(1) **by** (*fact pref-comp-eq*)  
**moreover have**  $g s = h s$   
**using**  $\langle g (b \# s) = h (b \# s) \rangle$   
**unfolding** *g.pop-hd-nemp*[*OF nemp*] *h.pop-hd-nemp*[*OF nemp*] *list.sel* \* ..  
**from** *Cons.IH*[*OF - this Cons.prem*s(3)[*OF list.set-intros*(2)]]  
**have**  $a \in \text{set } s \implies g [a] = h [a]$  **for**  $a$ .  
**ultimately show**  $\bigwedge a. a \in \text{set } (b \# s) \implies g [a] = h [a]$  **by** *auto*  
**qed** *auto*

**lemma** *rev-maps: two-morphisms* (*rev-map g*) (*rev-map h*)  
**using** *g.rev-map-morph h.rev-map-morph* **by** (*intro two-morphisms.intro*)

**lemma** *min-solD-min-suf*: **assumes**  $\text{sol} \in g =_M h$  **and**  $s \neq \varepsilon$   $s \leq_s \text{sol}$  **and**  $g s = h s$   
**shows**  $s = \text{sol}$   
**proof** (*rule ccontr*)  
**assume**  $s \neq \text{sol}$   
**from** *sufE*[*OF*  $\langle s \leq_s \text{sol} \rangle$ ]  
**obtain**  $y$  **where**  $\text{sol} = y \cdot s$ .  
**hence**  $y \neq \varepsilon$   
**using**  $\langle s \neq \text{sol} \rangle$  **by** *force*  
**have**  $g y = h y$   
**using** *min-solD*[*OF*  $\langle \text{sol} \in g =_M h \rangle$ , *unfolded*  $\langle \text{sol} = y \cdot s \rangle$ ]  
**unfolding** *g.morph h.morph*  $\langle g s = h s \rangle$  **by** *blast*  
**from** *min-solD-min*[*OF*  $\langle \text{sol} \in g =_M h \rangle$   $\langle y \neq \varepsilon \rangle$  - *this*]  
**have**  $y = \text{sol}$   
**using**  $\langle \text{sol} = y \cdot s \rangle$  **by** *blast*  
**thus** *False*  
**using**  $\langle \text{sol} = y \cdot s \rangle$   $\langle s \neq \varepsilon \rangle$  **by** *fast*

qed

**lemma** *min-sol-rev[reversal-rule]*:

**assumes**  $s \in g =_M h$   
**shows**  $(\text{rev } s) \in (\text{rev-map } g) =_M (\text{rev-map } h)$   
**unfolding** *min-sol-def[of - rev-map g rev-map h, reversed]*  
**using** *min-solD[OF assms] min-solD'[OF assms] min-solD-min-suf[OF assms]*  
**by** *blast*

**lemma** *coin-set-lists-concat*:  $ps \in \text{lists } (\mathfrak{C} \ g \ h) \implies g (\text{concat } (\text{map } \text{fst } ps)) = h (\text{concat } (\text{map } \text{snd } ps))$

**unfolding** *coincidence-set-def*  
**by** (*induct ps, simp, auto simp add: g.morph h.morph*)

**lemma** *coin-set-hull*:  $\langle \text{snd } '(\mathfrak{C} \ g \ h) \rangle = \text{snd } '(\mathfrak{C} \ g \ h)$

**proof** (*rule equalityI, rule subsetI*)

**fix**  $x$  **assume**  $x \in \langle \text{snd } '(\mathfrak{C} \ g \ h) \rangle$

**then obtain**  $xs$  **where**  $xs \in \text{lists } (\text{snd } '(\mathfrak{C} \ g \ h))$  **and**  $\text{concat } xs = x$

**using** *hull-concat-lists0* **by** *blast*

**then obtain**  $ps$  **where**  $ps \in \text{lists } (\mathfrak{C} \ g \ h)$  **and**  $\text{map } \text{snd } ps = xs$

**unfolding** *image-iff lists-image* **by** *blast*

**from** *coin-set-lists-concat[OF this(1), unfolded this(2) <concat xs = x>]*

**show**  $x \in \text{snd } '(\mathfrak{C} \ g \ h)$

**unfolding** *coincidence-set-def* **by** *force*

qed *simp*

**lemma** *min-sol-sufE*:

**assumes**  $g \ r = h \ r$  **and**  $r \neq \varepsilon$

**obtains**  $e$  **where**  $e \in g =_M h$  **and**  $e \leq_s r$

**using** *assms*

**proof** (*induction |r| arbitrary: r thesis rule: less-induct*)

**case** *less*

**then show** *thesis*

**proof-**

**from** *min-sol-prefE[of g r h, OF <g r = h r> <r ≠ ε>]*

**obtain**  $p$  **where**  $p \in g =_M h$  **and**  $p \leq_p r$ .

**show** *thesis*

**proof** (*cases p = r, (use less.premis(1)[OF <p ∈ g =<sub>M</sub> h>] in fast)*)

**assume**  $p \neq r$

**from** *prefE[OF <p ≤<sub>p</sub> r>]*

**obtain**  $r'$  **where**  $r = p \cdot r'$ .

**have**  $g \ r' = h \ r'$

**using**  $\langle g \ r = h \ r \rangle$  [*unfolded <r = p · r'> g.morph h.morph min-solD[OF <p ∈ g =<sub>M</sub> h>] cancel*].

**from**  $\langle p \neq r \rangle \langle r = p \cdot r' \rangle$

**have**  $r' \neq \varepsilon$  **by** *fast*

**from** *min-solD'[OF <p ∈ g =<sub>M</sub> h>] <r = p · r'>*

**have**  $|r'| < |r|$  **by** *fastforce*

**from** *less.hyps[OF this - <g r' = h r'> <r' ≠ ε>]*

**obtain**  $e$  **where**  $e \in g =_M h \ e \leq_s r'$ .  
**from**  $\text{less.prem}(1)$  [ $OF \ \text{this}(1)$ ,  $\text{unfolded } \langle r = p \cdot r' \rangle$ ,  $OF \ \text{suf-ext}$ ,  $OF \ \text{this}(2)$ ]  
**show**  $\text{thesis}$ .  
**qed**  
**qed**  
**qed**

**lemma**  $\text{min-sol-primitive}$ : **assumes**  $\text{sol} \in g =_M h$  **shows**  $\text{primitive sol}$   
**proof** ( $\text{rule ccontr}$ )

**have**  $\text{sol} \neq \varepsilon$   
**using**  $\text{assms min-sol-def}$  **by**  $\text{auto}$   
**assume**  $\neg \text{primitive sol}$   
**from**  $\text{not-prim-primroot-expE}$  [ $OF \ \text{this}$ ]  
**obtain**  $k$  **where**  $(\varrho \ \text{sol})^{\otimes k} = \text{sol} \ 2 \leq k$ .  
**hence**  $0 < k$  **by**  $\text{linarith}$   
**note**  $\text{min-solD}$  [ $OF \ \text{assms}$ ]  
**have**  $g \ (\varrho \ \text{sol}) = h \ (\varrho \ \text{sol})$   
**by** ( $\text{rule pow-eq-eq}$  [ $OF \ - \ \langle 0 < k \rangle$ ])  
 $(\text{unfold } g.\text{pow-morph}$  [ $\text{of } \varrho \ \text{sol } k$ ,  $\text{symmetric}$ ]  $h.\text{pow-morph}$  [ $\text{of } \varrho \ \text{sol } k$ ,  $\text{symmetric}$ ])  
 $\langle (\varrho \ \text{sol})^{\otimes k} = \text{sol} \rangle$ ,  $\text{fact}$ )  
**thus**  $\text{False}$   
**using**  $\langle \neg \text{primitive sol} \rangle$   $\text{min-solD-min}$  [ $OF \ \langle \text{sol} \in g =_M h \rangle$   $\text{primroot-nemp}$   
 $\text{primroot-pref}$ ]  $\langle \text{sol} \neq \varepsilon \rangle$   
**unfolding**  $\text{prim-primroot-conv}$  [ $OF \ \langle \text{sol} \neq \varepsilon \rangle$ ,  $\text{symmetric}$ ] **by**  $\text{blast}$   
**qed**

**lemma**  $\text{prim-sol-two-sols}$ :

**assumes**  $g \ u = h \ u$  **and**  $\neg u \in g =_M h$  **and**  $\text{primitive } u$   
**obtains**  $s1 \ s2$  **where**  $s1 \in g =_M h$  **and**  $s2 \in g =_M h$  **and**  $s1 \neq s2$

**proof**–

**show**  $\text{thesis}$   
**using**  $\text{assms}$   
**proof** ( $\text{induction } |u|$   $\text{arbitrary: } u$   $\text{rule: less-induct}$ )  
**case**  $\text{less}$   
**then show**  $?case$   
**proof**–  
**obtain**  $s1$  **where**  $s1 \in g =_M h$  **and**  $s1 \leq_p u$   
**using**  $\text{min-sol-prefE}$  [ $\text{of } g \ u \ h$ ,  $OF \ \langle g \ u = h \ u \rangle$   $\text{prim-nemp}$  [ $OF \ \langle \text{primitive } u \rangle$ ]].  
**obtain**  $u'$  **where**  $s1 \cdot u' = u$   
**using**  $\langle s1 \leq_p u \rangle$  **unfolding**  $\text{prefix-def}$  **by**  $\text{blast}$   
**have**  $g \ u' = h \ u'$   
**using**  $\langle g \ u = h \ u \rangle$  [ $\text{folded } \langle s1 \cdot u' = u \rangle$ ]  
**unfolding**  $g.\text{morph } h.\text{morph}$   $\text{min-solD}$  [ $OF \ \langle s1 \in g =_M h \rangle$ ]  $\text{cancel}$ .  
**have**  $u' \neq \varepsilon$   
**using**  $\langle s1 \in g =_M h \rangle$   $\langle \neg u \in g =_M h \rangle$  [ $\text{folded } \langle s1 \cdot u' = u \rangle$ ] **by**  $\text{force}$   
**obtain**  $\text{exp}$  **where**  $(\varrho \ u')^{\otimes \text{exp}} = u' \ 0 < \text{exp}$   
**using**  $\text{primroot-expE}$ .  
**from**  $\text{pow-eq-eq}$  [ $\text{of } g \ (\varrho \ u') \ \text{exp } h \ (\varrho \ u')$ ,  $\text{folded } g.\text{pow-morph } h.\text{pow-morph}$ ,  
 $\text{unfolded } \text{this}(1)$ ,  $OF \ \langle g \ u' = h \ u' \rangle$   $\langle 0 < \text{exp} \rangle$ ]

```

have  $g (\varrho u') = h (\varrho u')$ .
have  $|\varrho u'| < |u|$ 
using add-strict-increasing[OF nemp-pos-len [OF min-sold [OF  $\langle s1 \in g =_M h \rangle$ ]]] primroot-len-le[OF  $\langle u' \neq \varepsilon \rangle$ ]]
unfolding lenarg[OF  $\langle s1 \cdot u' = u \rangle$ , unfolded lenmorph].
show thesis
proof (cases)
assume  $\varrho u' \in g =_M h$ 
have  $\varrho u' \neq s1$ 
using  $\langle$ primitive  $u \rangle$  [folded  $\langle s1 \cdot u' = u \rangle$ ] comm-not-prim[OF prim-
root-nemp[OF  $\langle u' \neq \varepsilon \rangle$ ]  $\langle u' \neq \varepsilon \rangle$  comm-primroot[symmetric]]] by fast
from that[OF  $\langle \varrho u' \in g =_M h \rangle$   $\langle s1 \in g =_M h \rangle$  this]
show thesis.
next
assume  $\neg \varrho u' \in g =_M h$ 
from less.hyps[OF  $\langle |\varrho u'| < |u| \rangle$   $\langle g (\varrho u') = h (\varrho u') \rangle$  this]
show thesis
using  $\langle u' \neq \varepsilon \rangle$  by blast
qed
qed
qed
qed

```

```

lemma prim-sols-two-sols:
assumes  $g r = h r$  and  $g s = h s$  and primitive  $s$  and primitive  $r$  and  $r \neq s$ 
obtains  $s1 s2$  where  $s1 \in g =_M h$  and  $s2 \in g =_M h$  and  $s1 \neq s2$ 
using prim-sol-two-sols assms by blast

```

**end**

#### 4.3.4 Two nonerasing morphisms

Minimal coincidence pairs and minimal solutions make good sense for non-erasing morphisms only.

```

locale two-nonerasing-morphisms = two-morphisms +
  g: nonerasing-morphism  $g$  +
  h: nonerasing-morphism  $h$ 

```

**begin**

```

thm g.morph
thm g.emp-to-emp

```

```

lemma two-nonerasing-morphisms-swap: two-nonerasing-morphisms  $h g$ 
by unfold-locales

```

```

lemma noner-eq-emp-iff:  $g u = h v \implies u = \varepsilon \longleftrightarrow v = \varepsilon$ 
by (metis g.emp-to-emp g.nonerasing h.emp-to-emp h.nonerasing)

```

**lemma** *min-coin-rev*:  
**assumes**  $g\ r =_m\ h\ s$   
**shows**  $(\text{rev-map } g)\ (\text{rev } r) =_m\ (\text{rev-map } h)\ (\text{rev } s)$   
**proof** (*rule min-coin-defI*)  
**show**  $\text{rev } r \neq \varepsilon$  **and**  $\text{rev } s \neq \varepsilon$   
**using**  $\text{min-coinD}'[OF\ \langle g\ r =_m\ h\ s \rangle]$  **by** *simp-all*  
**show**  $\text{rev-map } g\ (\text{rev } r) = \text{rev-map } h\ (\text{rev } s)$   
**unfolding** *rev-map-def* **using**  $\text{min-coinD}[OF\ \langle g\ r =_m\ h\ s \rangle]$  **by** *auto*  
**next**  
**fix**  $r'\ s'$  **assume**  $r' \leq_{np}\ \text{rev } r\ s' \leq_{np}\ \text{rev } s\ \text{rev-map } g\ r' = \text{rev-map } h\ s'$   
**then obtain**  $r''\ s''$  **where**  $r''.\ \text{rev } r' = r$  **and**  $s''.\ \text{rev } s' = s$   
**using**  $\text{npD}[OF\ \langle s' \leq_{np}\ \text{rev } s \rangle]\ \text{npD}[OF\ \langle r' \leq_{np}\ \text{rev } r \rangle]$   
**unfolding** *pref-rev-suf-iff* *rev-rev-ident* **using** *sufD* **by** (*auto simp add: suf-fix-def*)  
**have**  $g\ (\text{rev } r') = h\ (\text{rev } s')$   
**using**  $\langle \text{rev-map } g\ r' = \text{rev-map } h\ s' \rangle$  [*unfolded rev-map-def rev-is-rev-conv*] **by** *simp*  
**hence**  $g\ r'' = h\ s''$   
**using**  $\text{min-coinD}[OF\ \langle g\ r =_m\ h\ s \rangle, \text{folded } \langle r''.\ \text{rev } r' = r \rangle \langle s''.\ \text{rev } s' = s \rangle, \text{unfolded } g.\text{morph } h.\text{morph}]$  **by** *simp*  
**have**  $r'' \neq r$   
**using**  $\langle r' \leq_{np}\ \text{rev } r \rangle \langle r'' \cdot \text{rev } r' = r \rangle$  **by** *auto*  
**hence**  $r'' = \varepsilon \vee s'' = \varepsilon$   
**using**  $\langle g\ r =_m\ h\ s \rangle$  [*unfolded min-coin-def nonempty-prefix-def*]  
 $\langle r''.\ \text{rev } r' = r \rangle \langle s''.\ \text{rev } s' = s \rangle \langle g\ r'' = h\ s'' \rangle$   
**by** *blast*  
**hence**  $r'' = \varepsilon$  **and**  $s'' = \varepsilon$   
**using**  $\text{noner-eq-emp-iff}[OF\ \langle g\ r'' = h\ s'' \rangle]$  **by** *force+*  
**thus**  $r' = \text{rev } r \wedge s' = \text{rev } s$   
**using**  $\langle r''.\ \text{rev } r' = r \rangle \langle s''.\ \text{rev } s' = s \rangle$  **by** *auto*  
**qed**

**lemma** *min-coin-pref-eq*:  
**assumes**  $g\ e =_m\ h\ f$  **and**  $g\ e' = h\ f'$  **and**  $e' \leq_{np}\ e$  **and**  $f' \bowtie f$   
**shows**  $e' = e$  **and**  $f' = f$   
**proof**–  
**note**  $\text{npD}'[OF\ \langle e' \leq_{np}\ e \rangle]\ \text{npD}[OF\ \langle e' \leq_{np}\ e \rangle]$   
**have**  $f \neq \varepsilon$  **and**  $g\ e = h\ f$   
**using**  $\langle g\ e =_m\ h\ f \rangle$  [*unfolded min-coin-def*] **by** *blast+*  
**have**  $f' \neq \varepsilon$   
**using**  $\langle g\ e' = h\ f' \rangle \langle e' \neq \varepsilon \rangle$  **by** (*simp add: noner-eq-emp-iff*)  
**from**  $g.\text{pref-mono}[OF\ \langle e' \leq_p\ e \rangle, \text{unfolded } \langle g\ e = h\ f \rangle \langle g\ e' = h\ f' \rangle]$   
**have**  $f' \leq_p\ f$   
**using**  $\text{pref-compE}[OF\ \langle f' \bowtie f \rangle] \langle f' \neq \varepsilon \rangle\ h.\text{pref-mono } h.\text{pref-morph-pref-eq}$  **by** *metis*  
**hence**  $f' \leq_{np}\ f$   
**using**  $\langle f' \neq \varepsilon \rangle$  **by** *blast*  
**with**  $\langle g\ e =_m\ h\ f \rangle$  [*unfolded min-coin-def*]  
**show**  $e' = e$  **and**  $f' = f$

using  $\langle g e' = h f' \rangle \langle e' \leq_{np} e \rangle$  by *blast+*  
**qed**

**lemma** *min-coin-prefE*:

assumes  $g r = h s$  and  $r \neq \varepsilon$

obtains  $e f$  where  $g e =_m h f$  and  $e \leq_p r$  and  $f \leq_p s$  and  $hd e = hd r$

**proof**–

define  $P$  where  $P = (\lambda k. \exists e f. g e = h f \wedge e \neq \varepsilon \wedge e \leq_p r \wedge f \leq_p s \wedge |e| = k)$

define  $d$  where  $d = (LEAST k. P k)$

obtain  $e f$  where  $g e = h f$  and  $e \neq \varepsilon$  and  $e \leq_p r$  and  $f \leq_p s$  and  $|e| = d$

using  $\langle g r = h s \rangle$  *LeastI*[of  $P$   $|r|$ ] *P-def* *assms*(2) *d-def* by *blast*

hence  $f \neq \varepsilon$

using *noner-eq-emp-iff* by *blast*

have  $r' \leq_{np} e \implies s' \leq_{np} f \implies g r' = h s' \implies r' = e \wedge s' = f$  for  $r' s'$

**proof**–

assume  $r' \leq_{np} e$  and  $s' \leq_{np} f$  and  $g r' = h s'$

hence  $P |r'|$

unfolding *P-def* using  $\langle e \leq_p r \rangle \langle f \leq_p s \rangle$  *npD*'[*OF*  $\langle r' \leq_{np} e \rangle$ ]

*pref-trans*[*OF* *npD*'[*OF*  $\langle r' \leq_{np} e \rangle$ ]  $\langle e \leq_p r \rangle$ ]

*pref-trans*[*OF* *npD*'[*OF*  $\langle s' \leq_{np} f \rangle$ ]  $\langle f \leq_p s \rangle$ ] by *blast*

from *Least-le*[of  $P$ , *OF* *this*, *folded*  $\langle |e| = d \rangle$  *d-def*]

have  $r' = e$

using *long-pref*[*OF* *npD*'[*OF*  $\langle r' \leq_{np} e \rangle$ ]] by *blast*

from  $\langle g e = h f \rangle$ [*folded* *this*, *unfolded*  $\langle g r' = h s' \rangle$ ] *this*

show *?thesis*

using *conjunction2*[*OF*  $\langle s' \leq_{np} f \rangle$ [*unfolded* *nonempty-prefix-def*]] *h.pref-morph-pref-eq*

by *simp*

**qed**

hence  $g e =_m h f$

unfolding *min-coin-def* using  $\langle e \neq \varepsilon \rangle \langle f \neq \varepsilon \rangle \langle g e = h f \rangle$  by *blast*

from *that*[*OF* *this*  $\langle e \leq_p r \rangle \langle f \leq_p s \rangle$  *pref-hd-eq*[*OF*  $\langle e \leq_p r \rangle \langle e \neq \varepsilon \rangle$ ]]

show *thesis*.

**qed**

**lemma** *min-coin-dec*: assumes  $g e = h f$

obtains  $ps$  where *concat* (*map* *fst*  $ps$ ) =  $e$  and *concat* (*map* *snd*  $ps$ ) =  $f$  and

$\bigwedge p. p \in \text{set } ps \implies g (\text{fst } p) =_m h (\text{snd } p)$

using *assms*

**proof** (*induct*  $|e|$  *arbitrary*:  $e f$  *thesis* *rule*: *less-induct*)

**case** *less*

**then show** *?case*

**proof**–

show *thesis*

**proof** (*cases*  $e = \varepsilon$ )

assume  $e = \varepsilon$

hence  $f = \varepsilon$  using  $\langle g e = h f \rangle$

using *noner-eq-emp-iff* by *auto*

from *less.prem*s(1)[of  $\varepsilon$ ]  $\langle e = \varepsilon \rangle \langle f = \varepsilon \rangle$



```

    show thesis by simp
  next
    assume  $e \neq \varepsilon$ 
    from min-coin-prefE[OF  $\langle g e = h f \rangle$  this]
    obtain  $e_1 e_2 f_1 f_2$  where  $g e_1 =_m h f_1$  and  $e_1 \cdot e_2 = e$  and  $f_1 \cdot f_2 = f$  and
     $e_1 \neq \varepsilon$  and  $f_1 \neq \varepsilon$ 
      using min-coinD' prefD by metis
    have  $g e_2 = h f_2$ 
      using  $\langle g e = h f \rangle$  [folded  $\langle e_1 \cdot e_2 = e \rangle \langle f_1 \cdot f_2 = f \rangle$ , unfolded  $g.morph$ 
     $h.morph$  min-coinD[OF  $\langle g e_1 =_m h f_1 \rangle$ ] cancel].
    have  $|e_2| < |e|$ 
      using lenarg[OF  $\langle e_1 \cdot e_2 = e \rangle$ ] nemp-pos-len[OF  $\langle e_1 \neq \varepsilon \rangle$ ] unfolding
    lenmorph by linarith
    from less.hyps[OF  $\langle |e_2| < |e| \rangle - \langle g e_2 = h f_2 \rangle$ ]
    obtain  $ps'$  where  $concat (map fst ps') = e_2$  and  $concat (map snd ps') = f_2$ 
  and  $\bigwedge p. p \in set ps' \implies g (fst p) =_m h (snd p)$ 
    by blast
    show thesis
    proof(rule less.prem1)[of  $(e_1, f_1) \# ps'$ ]
      show  $concat (map fst ((e_1, f_1) \# ps')) = e$ 
        using  $\langle concat (map fst ps') = e_2 \rangle \langle e_1 \cdot e_2 = e \rangle$  by simp
      show  $concat (map snd ((e_1, f_1) \# ps')) = f$ 
        using  $\langle concat (map snd ps') = f_2 \rangle \langle f_1 \cdot f_2 = f \rangle$  by simp
      show  $\bigwedge p. p \in set ((e_1, f_1) \# ps') \implies g (fst p) =_m h (snd p)$ 
        using  $\langle \bigwedge p. p \in set ps' \implies g (fst p) =_m h (snd p) \rangle \langle g e_1 =_m h f_1 \rangle$  by auto
    qed
  qed
  qed
  qed

```

**lemma** *min-coin-code*:

```

  assumes  $xs \in lists (\mathfrak{C}_m g h)$  and  $ys \in lists (\mathfrak{C}_m g h)$  and
     $concat (map fst xs) = concat (map fst ys)$  and
     $concat (map snd xs) = concat (map snd ys)$ 
  shows  $xs = ys$ 
  using assms
  proof (induction xs ys rule: list-induct2')
    case (2 x xs)
    then show ?case
      using min-coin-setD[THEN min-coinD', of  $x g h$ ] listsE[OF  $\langle x \# xs \in lists$ 
     $(\mathfrak{C}_m g h) \rangle$ ] by force
    next
      case (3 y ys)
      then show ?case
        using min-coin-setD[of  $y g h$ , THEN min-coinD'] listsE[OF  $\langle y \# ys \in lists$ 
     $(\mathfrak{C}_m g h) \rangle$ ] by auto
    next
      case (4 x xs y ys)
      then show ?case

```

**proof-**  
**have**  $\text{concat} (\text{map fst } (x\#xs)) = \text{fst } x \cdot \text{concat} (\text{map fst } xs)$   
 $\text{concat} (\text{map fst } (y\#ys)) = \text{fst } y \cdot \text{concat} (\text{map fst } ys)$   
 $\text{concat} (\text{map snd } (x\#xs)) = \text{snd } x \cdot \text{concat} (\text{map snd } xs)$   
 $\text{concat} (\text{map snd } (y\#ys)) = \text{snd } y \cdot \text{concat} (\text{map snd } ys)$   
**by auto**  
**from**  $\text{eqd-comp}[OF \langle \text{concat} (\text{map fst } (x\#xs)) = \text{concat} (\text{map fst } (y\#ys)) \rangle [\text{unfolded this}]]$   
 $\text{eqd-comp}[OF \langle \text{concat} (\text{map snd } (x\#xs)) = \text{concat} (\text{map snd } (y\#ys)) \rangle [\text{unfolded this}]]$   
**have**  $\text{fst } x \bowtie \text{fst } y$  **and**  $\text{snd } x \bowtie \text{snd } y$ .  
**have**  $g (\text{fst } y) =_m h (\text{snd } y)$  **and**  $g (\text{fst } x) =_m h (\text{snd } x)$   
**by** ( $\text{use min-coin-setD listsE}[OF \langle y \# ys \in \text{lists } (\mathfrak{C}_m g h) \rangle]$  **in blast**)  
( $\text{use min-coin-setD listsE}[OF \langle x \# xs \in \text{lists } (\mathfrak{C}_m g h) \rangle]$  **in blast**)  
**from**  $\text{min-coin-pref-eq}[OF \text{this}(1) \text{ min-coinD}[OF \text{this}(2)] - \langle \text{snd } x \bowtie \text{snd } y \rangle]$   
 $\text{min-coin-pref-eq}[OF \text{this}(2) \text{ min-coinD}[OF \text{this}(1)] - \text{pref-comp-sym}[OF \langle \text{snd } x \bowtie \text{snd } y \rangle]]$   
 $\text{min-coinD}'[OF \text{this}(1)] \text{ min-coinD}'[OF \text{this}(2)]$   
**have**  $\text{fst } x = \text{fst } y$  **and**  $\text{snd } x = \text{snd } y$   
**using**  $\text{npI pref-compE}[OF \langle \text{fst } x \bowtie \text{fst } y \rangle]$  **by metis+**  
**hence eq:**  $\text{concat} (\text{map fst } xs) = \text{concat} (\text{map fst } ys)$   
 $\text{concat} (\text{map snd } xs) = \text{concat} (\text{map snd } ys)$   
**using**  $4.\text{prems}(3-4)$  **by fastforce+**  
**have**  $xs \in \text{lists } (\mathfrak{C}_m g h)$   $ys \in \text{lists } (\mathfrak{C}_m g h)$   
**using**  $4.\text{prems}(1-2)$  **by fastforce+**  
**from**  $4.IH(1)[OF \text{this eq}] \text{prod-eqI}[OF \langle \text{fst } x = \text{fst } y \rangle \langle \text{snd } x = \text{snd } y \rangle]$   
**show**  $x \# xs = y \# ys$   
**by blast**  
**qed**  
**qed simp**

**lemma**  $\text{coin-closed: } ps \in \text{lists } (\mathfrak{C} g h) \implies (\text{concat} (\text{map fst } ps), \text{concat} (\text{map snd } ps)) \in \mathfrak{C} g h$   
**unfolding**  $\text{coincidence-set-def}$   
**by** ( $\text{induct } ps, \text{simp}, \text{auto simp add: } g.\text{morph } h.\text{morph}$ )

**lemma**  $\text{min-coin-gen-snd: } \langle \text{snd } ' (\mathfrak{C}_m g h) \rangle = \text{snd } ' (\mathfrak{C} g h)$

**proof**  
**show**  $\langle \text{snd } ' \mathfrak{C}_m g h \rangle \subseteq \text{snd } ' \mathfrak{C} g h$   
**proof**  
**fix**  $x$  **assume**  $x \in \langle \text{snd } ' \mathfrak{C}_m g h \rangle$   
**then obtain**  $xs$  **where**  $xs \in \text{lists } (\text{snd } ' \mathfrak{C}_m g h)$  **and**  $x = \text{concat } xs$   
**using**  $\text{hull-concat-lists0}$  **by blast**  
**then obtain**  $ps$  **where**  $ps \in \text{lists } (\mathfrak{C}_m g h)$  **and**  $xs = \text{map snd } ps$   
**unfolding**  $\text{lists-image image-iff}$  **by blast**  
**from**  $\text{min-coin-sub coin-closed this}(1)$   
**have**  $(\text{concat} (\text{map fst } ps), x) \in \mathfrak{C} g h$   
**unfolding**  $\langle x = \text{concat } xs \rangle \langle xs = \text{map snd } ps \rangle$  **by fast**  
**thus**  $x \in \text{snd } ' \mathfrak{C} g h$  **by force**  
**qed**  
**show**  $\text{snd } ' \mathfrak{C} g h \subseteq \langle \text{snd } ' \mathfrak{C}_m g h \rangle$

**proof**  
**fix**  $x$  **assume**  $x \in \text{snd } \langle \mathfrak{C} \ g \ h \rangle$   
**then obtain**  $r$  **where**  $g \ r = h \ x$   
**unfolding** *image-iff coincidence-set-def* **by** *force*  
**from** *min-coin-dec*[*OF this*]  
**obtain**  $ps$  **where**  $\text{concat } (\text{map } \text{snd } ps) = x$  **and**  $\bigwedge p. p \in \text{set } ps \implies g \ (\text{fst } p)$   
 $=_m h \ (\text{snd } p)$  **by** *metis*  
**thus**  $x \in \langle \text{snd } \langle \mathfrak{C}_m \ g \ h \rangle \rangle$   
**unfolding** *min-coincidence-set-def image-def* **by** *fastforce*  
**qed**  
**qed**

**lemma** *min-coin-gen-fst*:  $\langle \text{fst } \langle \mathfrak{C}_m \ g \ h \rangle \rangle = \text{fst } \langle \mathfrak{C} \ g \ h \rangle$   
**using** *two-nonerasing-morphisms.min-coin-gen-snd*[*folded coin-set-sym min-coin-set-sym, OF two-nonerasing-morphisms-swap*].

**lemma** *min-coin-code-snd*:

**assumes** *code-morphism g* **shows**  $\text{code } (\text{snd } \langle \mathfrak{C}_m \ g \ h \rangle)$

**proof**

**fix**  $xs \ ys$  **assume**  $xs \in \text{lists } (\text{snd } \langle \mathfrak{C}_m \ g \ h \rangle)$  **and**  $ys \in \text{lists } (\text{snd } \langle \mathfrak{C}_m \ g \ h \rangle)$

**then obtain**  $psx \ psy$  **where**  $psx \in \text{lists } (\mathfrak{C}_m \ g \ h)$  **and**  $xs = \text{map } \text{snd } psx$  **and**  
 $psy \in \text{lists } (\mathfrak{C}_m \ g \ h)$  **and**  $ys = \text{map } \text{snd } psy$

**unfolding** *image-iff lists-image* **by** *blast+*

**have** *eq1*:  $g \ (\text{concat } (\text{map } \text{fst } psx)) = h \ (\text{concat } xs)$

**using**  $\langle psx \in \text{lists } (\mathfrak{C}_m \ g \ h) \rangle \langle xs = \text{map } \text{snd } psx \rangle$  *min-coin-sub*[*of g h*]  
*coin-set-lists-concat* **by** *fastforce*

**have** *eq2*:  $g \ (\text{concat } (\text{map } \text{fst } psy)) = h \ (\text{concat } ys)$

**using**  $\langle psy \in \text{lists } (\mathfrak{C}_m \ g \ h) \rangle \langle ys = \text{map } \text{snd } psy \rangle$  *min-coin-sub*[*of g h*]  
*coin-set-lists-concat* **by** *fastforce*

**assume**  $\text{concat } xs = \text{concat } ys$

**from** *arg-cong*[*OF this, of h, folded eq1 eq2*]

**have**  $\text{concat } (\text{map } \text{fst } psx) = \text{concat } (\text{map } \text{fst } psy)$

**using** *code-morphism.code-morph-code*[*OF \langle code-morphism g \rangle*] **by** *auto*

**have**  $\text{concat } (\text{map } \text{snd } psx) = \text{concat } (\text{map } \text{snd } psy)$

**using**  $\langle \text{concat } xs = \text{concat } ys \rangle \langle xs = \text{map } \text{snd } psx \rangle \langle ys = \text{map } \text{snd } psy \rangle$  **by** *auto*

**from** *min-coin-code*[*OF \langle psx \in \text{lists } (\mathfrak{C}\_m \ g \ h) \rangle \langle psy \in \text{lists } (\mathfrak{C}\_m \ g \ h) \rangle \langle \text{concat } (\text{map } \text{fst } psx) = \text{concat } (\text{map } \text{fst } psy) \rangle*] *this*

**show**  $xs = ys$

**unfolding**  $\langle xs = \text{map } \text{snd } psx \rangle \langle ys = \text{map } \text{snd } psy \rangle$  **by** *blast*

**qed**

**lemma** *min-coin-code-fst*:

*code-morphism h*  $\implies \text{code } (\text{fst } \langle \mathfrak{C}_m \ g \ h \rangle)$

**using** *two-nonerasing-morphisms.min-coin-code-snd*[*OF two-nonerasing-morphisms-swap, folded min-coin-set-sym*].

**lemma** *min-coin-basis-snd*:

**assumes** *code-morphism g*

**shows**  $\mathfrak{B} \ (\text{snd } \langle \mathfrak{C} \ g \ h \rangle) = \text{snd } \langle \mathfrak{C}_m \ g \ h \rangle$

**unfolding** *min-coin-gen-snd*[*symmetric*] *basis-of-hull*  
**using** *min-coin-code-snd*[*OF assms*] *code.code-is-basis* **by** *blast*

**lemma** *min-coin-basis-fst*:

*code-morphism*  $h \implies \mathfrak{B} (\text{fst } \langle \mathfrak{C} \ g \ h \rangle) = \text{fst } \langle \mathfrak{C}_m \ g \ h \rangle$

**using** *two-nonerasing-morphisms.min-coin-basis-snd*[*folded coin-set-sym min-coin-set-sym, OF two-nonerasing-morphisms-swap*].

**lemma** *sol-im-len-less*: **assumes**  $g \ u = h \ u$  **and**  $g \neq h$  **and**  $\text{set } u = \text{UNIV}$

**shows**  $|u| < |g \ u|$

**proof** (*rule ccontr*)

**assume**  $\neg |u| < |g \ u|$

**hence**  $|u| = |g \ u|$  **and**  $|u| = |h \ u|$

**unfolding**  $\langle g \ u = h \ u \rangle$  **using** *h.im-len-le le-neq-implies-less* **by** *blast+*

**from** *this(1)*[*unfolded g.im-len-eq-iff*] *this(2)*[*unfolded h.im-len-eq-iff*]  $\langle \text{set } u = \text{UNIV} \rangle$

**have**  $|g \ [c]| = 1$  **and**  $|h \ [c]| = 1$  **for**  $c$  **by** *blast+*

**hence**  $g = h$

**using** *solution-eq-len-eq*[*OF*  $\langle g \ u = h \ u \rangle$ , *THEN def-on-sings-eq, unfolded*  $\langle \text{set } u = \text{UNIV} \rangle$ , *OF - UNIV-I*]

**by force**

**thus** *False* **using**  $\langle g \neq h \rangle$  **by contradiction**

**qed**

**end**

**locale** *two-code-morphisms* =  $g$ : *code-morphism*  $g$  +  $h$ : *code-morphism*  $h$

**for**  $g \ h :: 'a \ \text{list} \Rightarrow 'b \ \text{list}$

**begin**

**sublocale** *two-nonerasing-morphisms*  $g \ h$

**by** *unfold-locales*

**lemmas** *code-morphs* =  $g$ .*code-morphism-axioms*  $h$ .*code-morphism-axioms*

**lemma** *revs-two-code-morphisms*: *two-code-morphisms* (*rev-map*  $g$ ) (*rev-map*  $h$ )

**by** (*simp add*:  $g$ .*code-morphism-rev-map*  $h$ .*code-morphism-rev-map* *two-code-morphisms.intro*)

**lemma** *min-coin-im-basis*:

$\mathfrak{B} (h' (\text{snd } \langle \mathfrak{C} \ g \ h \rangle)) = h' \ \text{snd } \langle \mathfrak{C}_m \ g \ h \rangle$

$\mathfrak{B} (g' (\text{fst } \langle \mathfrak{C} \ g \ h \rangle)) = g' \ \text{fst } \langle \mathfrak{C}_m \ g \ h \rangle$

**proof**–

**thm** *morphism-on.inj-basis-to-basis*

*code-morphism.morph-on-inj-on*

*min-coin-basis-snd*

**note** *basis-morph-swap* = *morphism-on.inj-basis-to-basis*[*OF code-morphism.morph-on-inj-on, symmetric*]

**thm** *basis-morph-swap*  
*coin-set-hull*  
*basis-morph-swap*[*OF code-morphs*(2) *code-morphs*(2), of *snd* ‘  $\mathfrak{C}$  *g h*, *unfolded*  
*coin-set-hull*]  
**show**  $\mathfrak{B} (h \text{ ‘ } \textit{snd} \text{ ‘ } \mathfrak{C} \text{ } g \text{ } h) = h \text{ ‘ } \textit{snd} \text{ ‘ } \mathfrak{C}_m \text{ } g \text{ } h$   
**unfolding** *basis-morph-swap*[*OF code-morphs*(2) *code-morphs*(2), of *snd* ‘  $\mathfrak{C}$  *g*  
*h*, *unfolded coin-set-hull*]  
**unfolding** *min-coin-basis-snd*[*OF code-morphs*(1)]..

**interpret** *swap: two-code-morphisms h g*  
**using** *two-code-morphisms-def code-morphs* **by** *blast*

**thm** *basis-morph-swap*[*OF code-morphs*(1) *code-morphs*(1), of *fst* ‘  $\mathfrak{C}$  *g h*]  
*swap.coin-set-hull*  
*coin-set-hull*  
*coin-set-sym*  
*swap.coin-set-hull*[*folded coin-set-sym*]  
*basis-morph-swap*[*OF code-morphs*(1) *code-morphs*(1), of *fst* ‘  $\mathfrak{C}$  *g h*, *unfolded*  
*swap.coin-set-hull*[*folded coin-set-sym*]]  
*min-coin-basis-fst*

**show**  $\mathfrak{B} (g \text{ ‘ } \textit{fst} \text{ ‘ } \mathfrak{C} \text{ } g \text{ } h) = g \text{ ‘ } \textit{fst} \text{ ‘ } \mathfrak{C}_m \text{ } g \text{ } h$   
**unfolding** *basis-morph-swap*[*OF code-morphs*(1) *code-morphs*(1), of *fst* ‘  $\mathfrak{C}$  *g h*,  
*unfolded swap.coin-set-hull*[*folded coin-set-sym*]]  
**unfolding** *min-coin-basis-fst*[*OF code-morphs*(2)]  
**unfolding** *min-coin-gen-fst*..

**qed**

**lemma** *range-inter-basis-snd*:

**shows**  $\mathfrak{B} (\textit{range } g \cap \textit{range } h) = h \text{ ‘ } (\textit{snd} \text{ ‘ } \mathfrak{C}_m \text{ } g \text{ } h)$   
 $\mathfrak{B} (\textit{range } g \cap \textit{range } h) = g \text{ ‘ } (\textit{fst} \text{ ‘ } \mathfrak{C}_m \text{ } g \text{ } h)$

**proof**–

**show**  $\mathfrak{B} (\textit{range } g \cap \textit{range } h) = h \text{ ‘ } (\textit{snd} \text{ ‘ } \mathfrak{C}_m \text{ } g \text{ } h)$   
**unfolding** *coin-set-inter-snd*[*folded image-comp, symmetric*]  
**using** *min-coin-im-basis*(1).  
**show**  $\mathfrak{B} (\textit{range } g \cap \textit{range } h) = g \text{ ‘ } (\textit{fst} \text{ ‘ } \mathfrak{C}_m \text{ } g \text{ } h)$   
**unfolding** *coin-set-inter-fst*[*folded image-comp, symmetric*]  
**using** *min-coin-im-basis*(2).

**qed**

**lemma** *range-inter-code*:

**shows** *code*  $\mathfrak{B} (\textit{range } g \cap \textit{range } h)$   
**unfolding** *range-inter-basis-snd*  
**thm** *morphism-on.inj-code-to-code*  
**by** (*rule morphism-on.inj-code-to-code*)  
(*simp-all add: h.morph-on h.morph-on-inj-on*(2) *code-morphs*(1) *min-coin-code-snd*)

**end**

### 4.3.5 Two marked morphisms

**locale** *two-marked-morphisms* = *two-nonerasing-morphisms* +  
*g*: *marked-morphism* *g* + *h*: *marked-morphism* *h*

**begin**

**sublocale** *revs*: *two-code-morphisms* *g* *h*

**by** (*simp* *add*: *g.code-morphism-axioms* *h.code-morphism-axioms* *two-code-morphisms.intro*)

**lemmas** *ne-g* = *g.nonerasing* **and**

*ne-h* = *h.nonerasing*

**lemma** *unique-continuation*:

$z \cdot g \ r = z' \cdot h \ s \implies z \cdot g \ r' = z' \cdot h \ s' \implies z \cdot g \ (r \wedge_p r') = z' \cdot h \ (s \wedge_p s')$

**using** *lcp-ext-left* *g.marked-morph-lcp* *h.marked-morph-lcp* **by** *metis*

**lemmas** *empty-sol* = *noner-eq-emp-iff*

**lemma** *comparable-min-sol-eq*: **assumes**  $r \leq_p r'$  **and**  $g \ r =_m \ h \ s$  **and**  $g \ r' =_m \ h \ s'$

**shows**  $r = r'$  **and**  $s = s'$

**proof**–

**have**  $s \leq_p s'$

**using** *g.pref-mono*[*OF*  $\langle r \leq_p r' \rangle$ ]

*h.pref-free-morph*

**unfolding** *min-coinD*[*OF*  $\langle g \ r =_m \ h \ s \rangle$ ] *min-coinD*[*OF*  $\langle g \ r' =_m \ h \ s' \rangle$ ] **by** *simp*

**thus**  $r = r'$  **and**  $s = s'$

**using**  $\langle g \ r' =_m \ h \ s' \rangle$ [*unfolded* *min-coin-def*] *min-coinD*[*OF*  $\langle g \ r =_m \ h \ s \rangle$ ]

*min-coinD*'[*OF*  $\langle g \ r =_m \ h \ s \rangle$ ]  $\langle r \leq_p r' \rangle$

**by** *blast+*

**qed**

**lemma** *first-letter-determines*:

**assumes**  $g \ e =_m \ h \ f$  **and**  $g \ e' = h \ f'$  **and**  $hd \ e = hd \ e'$  **and**  $e' \neq \varepsilon$

**shows**  $e \leq_p e'$  **and**  $f \leq_p f'$

**proof**–

**have**  $g \ (e \wedge_p e') = h \ (f \wedge_p f')$

**using** *unique-continuation*[*of*  $\varepsilon \ \varepsilon \ f \ e' \ f'$ , *unfolded* *emp-simps*, *OF* *min-coinD*[*OF*  $\langle g \ e =_m \ h \ f \rangle$ ]  $\langle g \ e' = h \ f' \rangle$ ].

**have**  $e \neq \varepsilon$

**using**  $\langle g \ e =_m \ h \ f \rangle$  *min-coinD'* **by** *auto*

**hence** *eq1*:  $e = [hd \ e] \cdot tl \ e$  **and** *eq2*:  $e' = [hd \ e'] \cdot tl \ e'$  **using**  $\langle e' \neq \varepsilon \rangle$  **by** *simp+*

**from** *lcp-ext-left*[*of*  $[hd \ e] \ tl \ e \ tl \ e'$ , *folded* *eq1* *eq2*[*folded*  $\langle hd \ e = hd \ e' \rangle$ ]]

**have**  $e \wedge_p e' \neq \varepsilon$  **by** *force*

**from** *this*

**have**  $f \wedge_p f' \neq \varepsilon$

**using**  $\langle g \ (e \wedge_p e') = h \ (f \wedge_p f') \rangle$  *g.nonerasing* *h.emp-to-emp* **by** *force*

**from** *npI*[*OF*  $\langle e \wedge_p e' \neq \varepsilon \rangle$  *lcp-pref*] *npI*[*OF*  $\langle f \wedge_p f' \neq \varepsilon \rangle$  *lcp-pref*]

**show**  $e \leq_p e'$  **and**  $f \leq_p f'$

**using** *min-coin-minD*[*OF* *assms*(1)  $\langle e \wedge_p e' \leq_{np} e \rangle \langle f \wedge_p f' \leq_{np} f \rangle \langle g (e \wedge_p e') = h (f \wedge_p f') \rangle$ ,  
*unfolded lcp-sym*[*of* *e*] *lcp-sym*[*of* *f*] *lcp-pref* **by** *metis+*  
**qed**

**corollary** *first-letter-determines'*:

**assumes**  $g e =_m h f$  **and**  $g e' =_m h f'$  **and**  $hd e = hd e'$   
**shows**  $e = e'$  **and**  $f = f'$

**proof**–

**have**  $e \neq \varepsilon$  **and**  $e' \neq \varepsilon$   
**using**  $\langle g e =_m h f \rangle \langle g e' =_m h f' \rangle$  *min-coinD'* **by** *blast+*  
**have**  $g e' = h f'$  **and**  $g e = h f$   
**using**  $\langle g e =_m h f \rangle \langle g e' =_m h f' \rangle$  *min-coinD* **by** *blast+*  
**show**  $e = e'$  **and**  $f = f'$   
**using** *first-letter-determines*[*OF*  $\langle g e =_m h f \rangle \langle g e' = h f' \rangle \langle hd e = hd e' \rangle \langle e' \neq \varepsilon \rangle$ ]  
*first-letter-determines*[*OF*  $\langle g e' =_m h f' \rangle \langle g e = h f \rangle \langle hd e = hd e' \rangle$  [*symmetric*]  
 $\langle e \neq \varepsilon \rangle$ ]  
**by** *force+*  
**qed**

**lemma** *first-letter-determines-sol*: **assumes**  $r \in g =_M h$  **and**  $s \in g =_M h$  **and**  $hd r = hd s$

**shows**  $r = s$

**proof**–

**have**  $r \wedge_p s \neq \varepsilon$   
**using** *nemp-lcp-distinct-hd*[*OF* *min-solD'*[*OF*  $\langle r \in g =_M h \rangle$ ] *min-solD'*[*OF*  $\langle s \in g =_M h \rangle$ ]]  $\langle hd r = hd s \rangle$   
**by** *blast*  
**have**  $g r = h r$  **and**  $g s = h s$   
**using** *min-solD*[*OF*  $\langle r \in g =_M h \rangle$ ] *min-solD*[*OF*  $\langle s \in g =_M h \rangle$ ].  
**have**  $g (r \wedge_p s) = h (r \wedge_p s)$   
**unfolding**  $\langle g r = h r \rangle \langle g s = h s \rangle$  *g.marked-morph-lcp* *h.marked-morph-lcp*..  
**from** *min-solD-min*[*OF*  $\langle r \in g =_M h \rangle \langle r \wedge_p s \neq \varepsilon \rangle$ ] *lcp-pref* *this*] *min-solD-min*[*OF*  $\langle s \in g =_M h \rangle \langle r \wedge_p s \neq \varepsilon \rangle$ ] *lcp-pref'* *this*]  
**show**  $r = s$  **by** *force*  
**qed**

**definition** *pre-block* ::  $'a \Rightarrow 'a \text{ list} \times 'a \text{ list}$

**where** *pre-block*  $a = (THE p. (g (fst p) =_m h (snd p)) \wedge hd (fst p) = a)$

— *pre-block*  $a$  may not be a block, if no such exists

**definition** *blockP* ::  $'a \Rightarrow bool$

**where** *blockP*  $a \equiv g (fst (pre-block a)) =_m h (snd (pre-block a)) \wedge hd (fst (pre-block a)) = a$

— Predicate: the *pre-block* of the letter  $a$  is indeed a block

**lemma** *pre-blockI*:  $g u =_m h v \implies pre-block (hd u) = (u, v)$

**unfolding** *pre-block-def*

**proof** (*rule the-equality*)  
**show**  $\bigwedge p. g\ u =_m\ h\ v \implies g\ (fst\ p) =_m\ h\ (snd\ p) \wedge hd\ (fst\ p) = hd\ u \implies p = (u, v)$   
**using** *first-letter-determines'* **by force**  
**qed** *simp*

**lemma** *blockI*: **assumes**  $g\ u =_m\ h\ v$  **and**  $hd\ u = a$   
**shows** *blockP a*  
**proof**–  
**from** *pre-blockI*[*OF*  $\langle g\ u =_m\ h\ v \rangle$ , *unfolded*  $\langle hd\ u = a \rangle$ ]  
**show** *blockP a*  
**unfolding** *blockP-def* **using** *assms* **by simp**  
**qed**

**lemma** *hd-im-comm-eq-aux*:  
**assumes**  $g\ w = h\ w$  **and**  $w \neq \varepsilon$  **and** *comm*:  $g^C\ (hd\ w) \cdot h^C\ (hd\ w) = h^C\ (hd\ w) \cdot g^C\ (hd\ w)$  **and** *len*:  $|g^C\ (hd\ w)| \leq |h^C\ (hd\ w)|$   
**shows**  $g^C\ (hd\ w) = h^C\ (hd\ w)$   
**proof**(*cases*  $w \in [hd\ w]^*$ )  
**assume**  $w \in [hd\ w]^*$   
**then obtain**  $l$  **where**  $w = [hd\ w]^{\textcircled{l}}$   
**unfolding** *root-def* **by metis**  
**from** *nemp-exp-pos*[*OF*  $\langle w \neq \varepsilon \rangle$ , *of*  $[hd\ w]\ l$ , *folded this*]  
**have**  $l \neq 0$   
**by fast**  
**from**  $\langle g\ w = h\ w \rangle$   
**have**  $(g\ [hd\ w])^{\textcircled{l}} = (h\ [hd\ w])^{\textcircled{l}}$   
**unfolding** *g.pow-morph[symmetric]* *h.pow-morph[symmetric]*  $\langle w = [hd\ w]^{\textcircled{l}} \rangle$  *symmetric*.  
**with**  $\langle l \neq 0 \rangle$   
**have**  $g\ [hd\ w] = h\ [hd\ w]$   
**using** *pow-eq-eq* **by blast**  
**thus**  $g^C\ (hd\ w) = h^C\ (hd\ w)$   
**unfolding** *core-def*.

**next**  
**assume**  $w \notin [hd\ w]^*$   
**from** *distinct-letter-in-hd*[*OF this*]  
**obtain**  $b\ l\ w'$  **where**  $[hd\ w]^{\textcircled{l}} \cdot [b] \cdot w' = w$  **and**  $b \neq hd\ w$  **and**  $l \neq 0$ .  
**from** *commE*[*OF comm*]  
**obtain**  $t\ m\ k$  **where**  $g^C\ (hd\ w) = t^{\textcircled{m}}$  **and**  $h^C\ (hd\ w) = t^{\textcircled{k}}$ .  
**have**  $|t| \neq 0$  **and**  $t \neq \varepsilon$  **and**  $m \neq 0$   
**using**  $\langle g^C\ (hd\ w) = t^{\textcircled{m}} \rangle$  *g.core-nemp pow-zero*[*of t*] **by** (*fastforce*, *fastforce*, *metis*)  
**with** *lenarg*[*OF*  $\langle g^C\ (hd\ w) = t^{\textcircled{m}} \rangle$ ] *lenarg*[*OF*  $\langle h^C\ (hd\ w) = t^{\textcircled{k}} \rangle$ ]  
**have**  $m \leq k$   
**unfolding** *pow-len lenmorph* **using** *len* **by auto**  
**have**  $m = k$   
**proof**(*rule ccontr*)  
**assume**  $m \neq k$  **hence**  $m < k$  **using**  $\langle m \leq k \rangle$  **by simp**  
**have**  $0 < k * l - m * l$



**using**  $\langle m < k \rangle \langle l \neq 0 \rangle$  **by force**  
**have**  $g w = t^{\otimes(m * l)} \cdot g [b] \cdot g w'$   
**unfolding**  $arg\text{-cong}[OF \langle [hd w]^{\otimes l} \cdot [b] \cdot w' = w \rangle, \text{ of } g, \text{ symmetric}] g.morph$   
 $g.pow\text{-morph} \langle g^C (hd w) = t^{\otimes m} [unfolding \text{ core-def}] pow\text{-mult}[symmetric]..$   
**moreover have**  $h w = t^{\otimes(k * l)} \cdot h [b] \cdot h w'$   
**unfolding**  $arg\text{-cong}[OF \langle [hd w]^{\otimes l} \cdot [b] \cdot w' = w \rangle, \text{ of } h, \text{ symmetric}] h.morph$   
 $h.pow\text{-morph} \langle h^C (hd w) = t^{\otimes k} [unfolding \text{ core-def}] pow\text{-mult}[symmetric]..$   
**ultimately have**  $*$ :  $g [b] \cdot g w' = t^{\otimes(k * l - m * l)} \cdot h [b] \cdot h w'$   
**using**  $\langle g w = h w \rangle pop\text{-pow-cancel}[OF - mult\text{-le-mono1}[OF \langle m \leq k \rangle]]$   
**unfolding**  $g.morph[symmetric] h.morph[symmetric]$  **by metis**  
**have**  $t^{\otimes(k * l - m * l)} \neq \varepsilon$   
**using**  $gr\text{-implies-not0}[OF \langle 0 < k * l - m * l \rangle]$  **unfolding**  $nemp\text{-emp-pow}[OF$   
 $\langle t \neq \varepsilon \rangle]$ .  
**have**  $hd (t^{\otimes(k * l - m * l)}) = hd t$   
**using**  $hd\text{-append2}[OF \langle t \neq \varepsilon \rangle]$  **unfolding**  $pow\text{-pos}[OF \langle 0 < k * l - m * l \rangle]$ .  
**have**  $hd t = hd (g [b])$   
**using**  $hd\text{-append2}[OF g.\text{sing-to-nemp}[of b], \text{ of } g w']$   
**unfolding**  $hd\text{-append2}[of - h [b] \cdot h w', OF \langle t^{\otimes(k * l - m * l)} \neq \varepsilon \rangle, \text{ folded } *]$   
 $\langle hd (t^{\otimes(k * l - m * l)}) = hd t \rangle$ .  
**have**  $hd t = hd (g [hd w])$   
**using**  $g.hd\text{-im-hd-hd}[OF \langle w \neq \varepsilon \rangle, \text{ unfolded } \langle g^C (hd w) = t^{\otimes m} [unfolding$   
 $\text{ core-def}]$   
 $hd\text{-append2}[OF \langle t \neq \varepsilon \rangle, \text{ of } t^{\otimes(m-1)}, \text{ unfolded } pow\text{-Suc}, \text{ folded } pow\text{-Suc}[of$   
 $t m-1, \text{ unfolded } Suc\text{-minus}[OF \langle m \neq 0 \rangle]]]$   
 $g.hd\text{-im-hd-hd}[OF \langle w \neq \varepsilon \rangle]$  **by force**  
**thus** *False*  
**unfolding**  $\langle hd t = hd (g [b]) \rangle$  **using**  $\langle b \neq hd w \rangle g.\text{marked-morph}$  **by blast**  
**qed**  
**show**  $g^C (hd w) = h^C (hd w)$   
**unfolding**  $\langle g^C (hd w) = t^{\otimes m} \rangle \langle h^C (hd w) = t^{\otimes k} \rangle \langle m = k \rangle..$   
**qed**

**lemma** *hd-im-comm-eq*:

**assumes**  $g w = h w$  **and**  $w \neq \varepsilon$  **and** *comm*:  $g^C (hd w) \cdot h^C (hd w) = h^C (hd w) \cdot g^C (hd w)$

**shows**  $g^C (hd w) = h^C (hd w)$

**proof-**

**interpret** *swap*: *two-marked-morphisms*  $h g$  **by** *unfold-locales*

**show**  $g^C (hd w) = h^C (hd w)$

**using**  $hd\text{-im-comm-eq-aux}[OF \text{ assms}] swap.hd\text{-im-comm-eq-aux}[OF \text{ assms}(1)[symmetric]$   
 $\text{ assms}(2) \text{ assms}(3)[symmetric], symmetric]$

**by force**

**qed**

**lemma** *block-ex*: **assumes**  $g u =_m h v$  **shows** *blockP*  $(hd u)$

**unfolding** *blockP-def* **using** *pre-blockI* $[OF \text{ assms}] \text{ assms}$  **by** *simp*

**lemma** *sol-block-ex*: **assumes**  $g u = h v$  **and**  $u \neq \varepsilon$  **shows** *blockP*  $(hd u)$

**using** *min-coin-prefE* $[OF \text{ assms}] \text{ block-ex}$  **by** *metis*

— Successor morphisms

**definition** *suc-fst* **where**  $suc-fst \equiv \lambda x. concat(map (\lambda y. fst (pre-block y)) x)$

**definition** *suc-snd* **where**  $suc-snd \equiv \lambda x. concat(map (\lambda y. snd (pre-block y)) x)$

**lemma** *blockP-D*:  $blockP a \implies g (suc-fst [a]) =_m h (suc-snd [a])$

**unfolding** *blockP-def suc-fst-def suc-snd-def* **by** *simp*

**lemma** *blockP-D-hd*:  $blockP a \implies hd (suc-fst [a]) = a$

**unfolding** *blockP-def suc-fst-def* **by** *simp*

**abbreviation** *blocks*  $\tau \equiv (\forall a. a \in set \tau \longrightarrow blockP a)$

**sublocale** *sucs*: *two-morphisms suc-fst suc-snd*

**by** (*standard*) (*simp-all add: suc-fst-def suc-snd-def*)

**lemma** *blockP-D-hd-hd*: **assumes** *blockP a*

**shows**  $hd (h^C (hd (suc-snd [a]))) = hd (g^C a)$

**proof**—

**from** *hd-tlE*[*OF conjunct2*][*OF min-coinD'*][*OF blockP-D*][*OF <blockP a>*]]]

**obtain** *b* **where**  $hd (suc-snd [a]) = b$  **by** *blast*

**have**  $suc-fst [a] \neq \varepsilon$  **and**  $suc-snd [a] \neq \varepsilon$

**using** *min-coinD'*][*OF blockP-D*][*OF <blockP a>*]] **by** *blast+*

**from** *g.hd-im-hd-hd*][*OF this(1)*] *h.hd-im-hd-hd*][*OF this(2)*]]

**have**  $hd (h^C (hd (suc-snd [a]))) = hd (g^C (hd (suc-fst [a])))$

**unfolding** *core-def min-coinD*][*OF blockP-D*][*OF <blockP a>*]] **by** *argo*

**thus** *?thesis*

**unfolding** *blockP-D-hd*][*OF assms*].

**qed**

**lemma** *suc-morph-sings*: **assumes**  $g e =_m h f$

**shows**  $suc-fst [hd e] = e$  **and**  $suc-snd [hd e] = f$

**unfolding** *suc-fst-def suc-snd-def* **using** *pre-blockI*][*OF assms*] **by** *simp-all*

**lemma** *blocks-eg*:  $blocks \tau \implies g (suc-fst \tau) = h (suc-snd \tau)$

**proof** (*induct*  $\tau$ )

**case** (*Cons a*  $\tau$ )

**have** *blocks*  $\tau$  **and** *blockP a*

**using**  $\langle blocks (a \# \tau) \rangle$  **by** *simp-all*

**from** *Cons.hyps*][*OF this(1)*]]

**show** *?case*

**unfolding** *sucs.g.pop-hd*][*of a*  $\tau$ ] *sucs.h.pop-hd*][*of a*  $\tau$ ] *g.morph h.morph*

**using** *min-coinD*][*OF blockP-D*, *OF <blockP a>*]] **by** *simp*

**qed** *simp*

**lemma** *suc-eg'*: **assumes**  $\bigwedge a. blockP a$  **shows**  $g(suc-fst w) = h(suc-snd w)$

by (*simp add: assms blocks-eq*)

**lemma** *suc-eq*: **assumes** *all-blocks*:  $\bigwedge a. \text{blockP } a$  **shows**  $g \circ \text{suc-fst} = h \circ \text{suc-snd}$   
**using** *suc-eq'*[*OF assms*] **by** *fastforce*

**lemma** *block-eq*:  $\text{blockP } a \implies g (\text{suc-fst } [a]) = h (\text{suc-snd } [a])$   
**using** *blockP-D min-coinD* **by** *blast*

**lemma** *blocks-inj-suc*: **assumes** *blocks*  $\tau$  **shows** *inj-on suc-fst<sup>C</sup>* (*set*  $\tau$ )  
**unfolding** *inj-on-def core-def* **using** *blockP-D-hd*[*OF*  $\langle \text{blocks } \tau \rangle$ ][*rule-format*]]  
**by** *metis*

**lemma** *blocks-inj-suc'*: **assumes** *blocks*  $\tau$  **shows** *inj-on suc-snd<sup>C</sup>* (*set*  $\tau$ )  
**using** *g.marked-core blockP-D-hd-hd*[*OF*  $\langle \text{blocks } \tau \rangle$ ][*rule-format*]]  
**unfolding** *inj-on-def core-def* **by** *metis*

**lemma** *blocks-marked-code*: **assumes** *blocks*  $\tau$   
**shows** *marked-code* (*suc-fst<sup>C</sup>* (*set*  $\tau$ ))  
**proof**  
**show**  $\varepsilon \notin \text{suc-fst}^{\mathcal{C}} \text{ ` set } \tau$   
**unfolding** *core-def image-iff* **using** *min-coinD'*[*OF blockP-D*][*OF*  $\langle \text{blocks } \tau \rangle$ ][*rule-format*]]  
**by** *fastforce*  
**show**  $\bigwedge u v. u \in \text{suc-fst}^{\mathcal{C}} \text{ ` set } \tau \implies v \in \text{suc-fst}^{\mathcal{C}} \text{ ` set } \tau \implies \text{hd } u = \text{hd } v \implies u = v$   
**using** *blockP-D-hd*[*OF*  $\langle \text{blocks } \tau \rangle$ ][*rule-format*]] **unfolding** *core-def* **by** *fastforce*  
**qed**

**lemma** *blocks-marked-code'*: **assumes** *all-blocks*:  $\bigwedge a. a \in \text{set } \tau \implies \text{blockP } a$   
**shows** *marked-code* (*suc-snd<sup>C</sup>* (*set*  $\tau$ ))  
**proof**  
**show**  $\varepsilon \notin \text{suc-snd}^{\mathcal{C}} \text{ ` set } \tau$   
**unfolding** *core-def image-iff* **using** *min-coinD'*[*OF all-blocks*][*THEN blockP-D*]]  
**by** *fastforce*  
**show**  $u = v$  **if**  $u \in \text{suc-snd}^{\mathcal{C}} \text{ ` set } \tau$  **and**  $v \in \text{suc-snd}^{\mathcal{C}} \text{ ` set } \tau$  **and**  $\text{hd } u = \text{hd } v$   
**for**  $u v$   
**proof**–  
**obtain**  $a b$  **where**  $u = \text{suc-snd } [a]$  **and**  $v = \text{suc-snd } [b]$  **and**  $a \in \text{set } \tau$  **and**  $b \in \text{set } \tau$   
**using**  $\langle v \in \text{suc-snd}^{\mathcal{C}} \text{ ` set } \tau \rangle \langle u \in \text{suc-snd}^{\mathcal{C}} \text{ ` set } \tau \rangle$  **unfolding** *core-def* **by**  
*fast+*  
**from** *g.marked-core*[*of a b*,  
*folded blockP-D-hd-hd*][*OF all-blocks*, *OF*  $\langle a \in \text{set } \tau \rangle$ ] *blockP-D-hd-hd*[*OF*  
*all-blocks*, *OF*  $\langle b \in \text{set } \tau \rangle$ ]  
*this(1-2)*  $\langle \text{hd } u = \text{hd } v \rangle$ , *OF refl*]  
**show**  $u = v$   
**unfolding**  $\langle u = \text{suc-snd } [a] \rangle \langle v = \text{suc-snd } [b] \rangle$  **by** *blast*  
**qed**  
**qed**

**lemma** *sucs-marked-morphs*: **assumes** *all-blocks*:  $\bigwedge a. \text{blockP } a$   
**shows** *two-marked-morphisms* *suc-fst* *suc-snd*  
**proof**  
**show**  $\text{hd } (\text{suc-fst}^C a) = \text{hd } (\text{suc-fst}^C b) \implies a = b$  **for**  $a \ b$   
**using** *blockP-D-hd*[*OF all-blocks*] **unfolding** *core-def* **by** *force*  
**show**  $\text{hd } (\text{suc-snd}^C a) = \text{hd } (\text{suc-snd}^C b) \implies a = b$  **for**  $a \ b$   
**using** *blockP-D-hd-hd*[*OF all-blocks, folded core-sing*] *g.marked-core* **by** *metis*  
**show**  $\text{suc-fst } w = \varepsilon \implies w = \varepsilon$  **for**  $w$   
**using** *assms blockP-D min-coinD' sucs.g.noner-sings-conv* **by** *blast*  
**show**  $\text{suc-snd } w = \varepsilon \implies w = \varepsilon$  **for**  $w$   
**using** *blockP-D*[*OF assms(1), THEN min-coinD'*] *sucs.h.noner-sings-conv* **by**  
*blast*  
**qed**

**lemma** *pre-blocks-range*:  $\{(e,f). g \ e =_m \ h \ f\} \subseteq \text{range } \text{pre-block}$   
**using** *pre-blockI case-prodE* **by** *blast*

**corollary** *card-blocks*: **assumes** *finite* (*UNIV* :: 'a set) **shows**  $\text{card } \{(e,f). g \ e =_m \ h \ f\} \leq \text{card } (\text{UNIV} :: 'a \text{ set})$   
**using** *le-trans*[*OF card-mono*[*OF finite-imageI pre-blocks-range, OF assms*]]  
*card-image-le*[*of - pre-block, OF assms*]].

**lemma** *block-decomposition*: **assumes**  $g \ e = h \ f$   
**obtains**  $\tau$  **where**  $\text{suc-fst } \tau = e$  **and**  $\text{suc-snd } \tau = f$  **and** *blocks*  $\tau$   
**using** *assms*  
**proof** (*induct*  $|e|$  *arbitrary: e f thesis rule: less-induct*)  
**case** *less*  
**show** *?case*  
**proof** (*cases*  $e = \varepsilon$ )  
**assume**  $e = \varepsilon$   
**hence**  $f = \varepsilon$   
**using** *less.hyps empty-sol*[*OF*  $\langle g \ e = h \ f \rangle$ ] **by** *blast*  
**hence**  $\text{suc-fst } \varepsilon = e$  **and**  $\text{suc-snd } \varepsilon = f$   
**unfolding** *suc-fst-def suc-snd-def* **by** (*simp add:*  $\langle e = \varepsilon \rangle$ )  
**from** *less.premis(1)*[*OF this*]  
**show** *thesis*  
**by** *simp*  
**next**  
**assume**  $e \neq \varepsilon$   
**from** *min-coin-prefE*[*OF*  $\langle g \ e = h \ f \rangle$  *this*]  
**obtain**  $e_1 \ e_2 \ f_1 \ f_2$   
**where**  $g \ e_1 =_m \ h \ f_1$  **and**  $e_1 \cdot e_2 = e$  **and**  $f_1 \cdot f_2 = f$  **and**  $e_1 \neq \varepsilon$  **and**  $f_1 \neq \varepsilon$   
**by** (*metis min-coinD' prefD*)  
**from**  $\langle g \ e = h \ f \rangle$ [*folded*  $\langle e_1 \cdot e_2 = e \rangle \langle f_1 \cdot f_2 = f \rangle$ , *unfolded* *g.morph h.morph*]  
**have**  $g \ e_2 = h \ f_2$   
**using** *min-coinD*[*OF*  $\langle g \ e_1 =_m \ h \ f_1 \rangle$ ] **by** *simp*  
**have**  $|e_2| < |e|$   
**using**  $\langle e_1 \cdot e_2 = e \rangle \langle e_1 \neq \varepsilon \rangle$  **by** *auto*  
**from** *less.hyps*[*OF this -*  $\langle g \ e_2 = h \ f_2 \rangle$ ]

**obtain**  $\tau'$  **where**  $\text{suc-fst } \tau' = e_2$  **and**  $\text{suc-snd } \tau' = f_2$  **and**  $\text{blocks } \tau'$ .  
**have**  $\text{suc-fst } [hd\ e] = e_1$  **and**  $\text{suc-snd } [hd\ e] = f_1$   
**using**  $\text{suc-morph-sings } \langle e_1 \cdot e_2 = e \rangle \langle g\ e_1 =_m\ h\ f_1 \rangle \langle e_1 \neq \varepsilon \rangle$  **by** *auto*  
**hence**  $\text{suc-fst } (hd\ e \# \tau') = e$  **and**  $\text{suc-snd } (hd\ e \# \tau') = f$   
**using**  $\langle e_1 \cdot e_2 = e \rangle \langle f_1 \cdot f_2 = f \rangle$   
**unfolding**  $\text{hd-word}[of\ hd\ e\ \tau']$   $\text{sucs.g.morph}$   $\text{sucs.h.morph}$   $\langle \text{suc-fst } \tau' = e_2 \rangle$   
 $\langle \text{suc-snd } \tau' = f_2 \rangle \langle \text{suc-fst } [hd\ e] = e_1 \rangle \langle \text{suc-snd } [hd\ e] = f_1 \rangle$  **by** *force+*  
**have**  $\text{blocks } (hd\ e \# \tau')$   
**using**  $\langle \text{blocks } \tau' \rangle \langle e_1 \cdot e_2 = e \rangle \langle e_1 \neq \varepsilon \rangle \langle g\ e_1 =_m\ h\ f_1 \rangle$  *block-ex* **by** *force*  
**from**  $\text{less.premis}(1)[OF\ -\ -\ \text{this}]$   
**show** *thesis*  
**by** (*simp add*:  $\langle \text{suc-fst } (hd\ e \# \tau') = e \rangle \langle \text{suc-snd } (hd\ e \# \tau') = f \rangle$ )  
**qed**  
**qed**

**lemma** *block-decomposition-unique*: **assumes**  $g\ e = h\ f$  **and**  
 $\text{suc-fst } \tau = e$  **and**  $\text{suc-fst } \tau' = e$  **and**  $\text{blocks } \tau$  **and**  $\text{blocks } \tau'$  **shows**  $\tau = \tau'$   
**proof**–  
**let**  $?C = \text{suc-fst}^C(\text{set } (\tau \cdot \tau'))$   
**have**  $\text{blocks } (\tau \cdot \tau')$   
**using**  $\langle \text{blocks } \tau \rangle \langle \text{blocks } \tau' \rangle$  **by** *auto*  
**interpret** *marked-code*  $?C$   
**by** (*rule* *blocks-marked-code*) (*simp add*:  $\langle \text{blocks } (\tau \cdot \tau') \rangle$ )  
**have** *inj-on*  $\text{suc-fst}^C(\text{set } (\tau \cdot \tau'))$   
**using**  $\langle \text{blocks } (\tau \cdot \tau') \rangle$  *blocks-inj-suc* **by** *blast*  
**from**  $\text{sucs.g.code-set-morph}[OF\ \text{code-axioms}\ \text{this } \langle \text{suc-fst } \tau = e \rangle [folded\ \langle \text{suc-fst } \tau' = e \rangle]]$   
**show**  $\tau = \tau'$ .  
**qed**

**lemma** *block-decomposition-unique'*: **assumes**  $g\ e = h\ f$  **and**  
 $\text{suc-snd } \tau = f$  **and**  $\text{suc-snd } \tau' = f$  **and**  $\text{blocks } \tau$  **and**  $\text{blocks } \tau'$   
**shows**  $\tau = \tau'$   
**proof**–  
**have**  $\text{suc-fst } \tau = e$  **and**  $\text{suc-fst } \tau' = e$   
**using** *assms* *blocks-eq* *g.code-morph-code* **by** *presburger+*  
**from** *block-decomposition-unique*[*OF* *assms*(1) *this* *assms*(4–5)]  
**show**  $\tau = \tau'$ .  
**qed**

**lemma** *comm-sings-block*: **assumes**  $g[a] \cdot h[b] = h[b] \cdot g[a]$   
**obtains**  $m\ n$  **where**  $\text{suc-fst } [a] = [a]^{\textcircled{m}}\ \text{Suc } m$  **and**  $\text{suc-snd } [a] = [b]^{\textcircled{n}}\ \text{Suc } n$   
**proof**–  
**have**  $[a]^{\textcircled{m}} \mid h[b] \neq \varepsilon$   
**using** *nemp-len*[*OF* *h.sing-to-nemp*, *of* *b*, *folded* *sing-pow-empty*[*of* *a*  $\mid h[b]$ ]].  
**obtain**  $e\ f$  **where**  $g\ e =_m\ h\ f$  **and**  $e \leq_p [a]^{\textcircled{m}} \mid h[b]$  **and**  $f \leq_p [b]^{\textcircled{n}} \mid g[a]$   
**using** *min-coin-prefE*[*OF* *comm-common-power*[*OF* *assms*, *folded* *g.pow-morph* *h.pow-morph*]  $\langle [a]^{\textcircled{m}} \mid h[b] \neq \varepsilon \rangle$ , *of* *thesis*] **by** *blast*  
**note**  $e = \text{pref-sing-pow}[OF\ \langle e \leq_p [a]^{\textcircled{m}} \mid h[b] \rangle]$

```

note  $f = \text{pref-sing-pow}[OF \langle f \leq p [b]^\circledast [g [a]] \rangle]$ 
from  $\text{min-coinD}'[OF \langle g e =_m h f \rangle]$ 
have  $\text{exps}: |e| = \text{Suc} (|e| - 1) \ |f| = \text{Suc} (|f| - 1)$ 
by auto
have  $\text{hd } e = a$ 
using  $\langle e = [a]^\circledast |e| \rangle [\text{unfolded pow-Suc}[of [a] |e| - 1, \text{folded } \langle |e| = \text{Suc} (|e| - 1) \rangle], \text{folded hd-word}[of a [a]^\circledast (|e| - 1)]]$ 
 $\text{list.sel}(1)[of a [a]^\circledast (|e| - 1)]$  by argo
from  $\text{that suc-morph-sings}[OF \langle g e =_m h f \rangle, \text{unfolded this}] e f \text{ exps}$ 
show thesis
by metis
qed

```

— a variant of successor morphisms: target alphabet encoded to be the same as for original morphisms

**definition** *sucs-encoding* **where**  $\text{sucs-encoding} = (\lambda a. \text{hd} (g [a]))$

**definition** *sucs-decoding* **where**  $\text{sucs-decoding} = (\lambda a. \text{SOME } c. \text{hd} (g[c]) = a)$

**lemma** *sucs-encoding-inv*:  $\text{sucs-decoding} \circ \text{sucs-encoding} = \text{id}$

**by** (*rule ext*)  
*(unfold sucs-encoding-def sucs-decoding-def comp-apply id-apply, use g.marked-core[unfolded core-def] in blast)*

**lemma** *encoding-inj*:  $\text{inj sucs-encoding}$

**unfolding** *sucs-encoding-def inj-on-def* **using** *g.marked-core[unfolded core-def]*  
**by** *blast*

**lemma** *map-encoding-inj*:  $\text{inj} (\text{map sucs-encoding})$

**using** *encoding-inj by simp*

**definition** *suc-fst'* **where**  $\text{suc-fst}' = (\text{map sucs-encoding}) \circ \text{suc-fst}$

**definition** *suc-snd'* **where**  $\text{suc-snd}' = (\text{map sucs-encoding}) \circ \text{suc-snd}$

**lemma** *encoded-sucs-eq-conv*:  $\text{suc-fst } w = \text{suc-snd } w' \longleftrightarrow \text{suc-fst}' w = \text{suc-snd}' w'$

**unfolding** *suc-fst'-def suc-snd'-def* **using** *encoding-inj by force*

**lemma** *encoded-sucs-eq-conv'*:  $\text{suc-fst} = \text{suc-snd} \longleftrightarrow \text{suc-fst}' = \text{suc-snd}'$

**unfolding** *suc-fst'-def suc-snd'-def* **using** *inj-comp-eq[OF map-encoding-inj] by blast*

**lemma** *encoded-sucs*: **assumes**  $\bigwedge c. \text{blockP } c$  **shows** *two-marked-morphisms suc-fst' suc-snd'*

**unfolding** *suc-fst'-def suc-snd'-def*

**proof**–

**from** *sucs-marked-morphs[OF assms]*

**interpret** *sucs*: *two-marked-morphisms suc-fst suc-snd*

```

  by force
  interpret nonerasing-morphism (map sucs-encoding) ∘ suc-fst
  unfolding comp-apply by (standard, simp add: sucs.g.morph, use sucs.g.nemp-to-nemp
in fast)
  interpret nonerasing-morphism (map sucs-encoding) ∘ suc-snd
  unfolding comp-apply by (standard, simp add: sucs.h.morph, use sucs.h.nemp-to-nemp
in fast)
  interpret marked-morphism (map sucs-encoding) ∘ suc-fst
  proof
    show hd ((map sucs-encoding ∘ suc-fst)C a) = hd ((map sucs-encoding ∘
suc-fst)C b) ⇒ a = b for a b
    unfolding comp-apply core-def sucs-encoding-def hd-map[OF sucs.g.sing-to-nemp]
    unfolding blockP-D-hd[OF assms] using g.marked-morph.
  qed
  interpret marked-morphism (map sucs-encoding) ∘ suc-snd
  proof
    show hd ((map sucs-encoding ∘ suc-snd)C a) = hd ((map sucs-encoding ∘
suc-snd)C b) ⇒ a = b for a b
    unfolding comp-apply core-def sucs-encoding-def hd-map[OF sucs.h.sing-to-nemp]
    using g.marked-morph[THEN sucs.h.marked-morph].
  qed
  show two-marked-morphisms ((map sucs-encoding) ∘ suc-fst) ((map sucs-encoding)
∘ suc-snd)..
  qed

lemma encoded-sucs-len: |suc-fst w| = |suc-fst' w| and |suc-snd w| = |suc-snd' w|
  unfolding suc-fst'-def suc-snd'-def sucs-encoding-def comp-apply by force+

```

end

end

```

theory Periodicity-Lemma
  imports CoWBasic
begin

```

## Chapter 5

# The Periodicity Lemma

The Periodicity Lemma says that if a sufficiently long word has two periods  $p$  and  $q$ , then the period can be refined to  $\gcd p q$ . The consequence is equivalent to the fact that the corresponding periodic roots commute. “Sufficiently long” here means at least  $p + q - \gcd p q$ . It is also known as the Fine and Wilf theorem due to its authors [3].

If we relax the requirement to  $p + q$ , then the claim becomes easy, and it is proved in *Combinatorics-Words.CoWBasic* as *two-pers-root*:  $\llbracket \langle_p w (u \cdot w); \langle_p w (v \cdot w); |u| + |v| \leq |w| \rrbracket \implies u \cdot v = v \cdot u$ .

**theorem** *per-lemma-relaxed*:

**assumes** *period w p* **and** *period w q* **and**  $p + q \leq |w|$   
**shows**  $(\text{take } p \ w) \cdot (\text{take } q \ w) = (\text{take } q \ w) \cdot (\text{take } p \ w)$   
**using** *two-pers-root*[*OF*  
   $\langle \text{period } w \ p \rangle [\text{unfolded period-def}[\text{of } w \ p]]$   
   $\langle \text{period } w \ q \rangle [\text{unfolded period-def}[\text{of } w \ q]]$ , *unfolded*  
   $\text{take-len}[\text{OF add-leD1}[\text{OF } \langle p + q \leq |w| \rangle]]$   
   $\text{take-len}[\text{OF add-leD2}[\text{OF } \langle p + q \leq |w| \rangle]]$ , *OF*  $\langle p + q \leq |w| \rangle$ ].

Also in terms of the numeric period:

**thm** *two-periods*

### 5.1 Main claim

We first formulate the claim of the Periodicity lemma in terms of commutation of two periodic roots. For trivial reasons we can also drop the requirement that the roots are nonempty.

**theorem** *per-lemma-comm*:

**assumes**  $w \leq_p r \cdot w$  **and**  $w \leq_p s \cdot w$   
  **and len:**  $|r| + |s| - (\gcd |r| |s|) \leq |w|$   
**shows**  $r \cdot s = s \cdot r$   
**using** *assms*



**proof** (*induction*  $|s| + |s| + |r|$  *arbitrary: w r s rule: less-induct*)  
**case** *less*  
**consider** (*empty*)  $s = \varepsilon$  | (*short*)  $|r| < |s|$  | (*step*)  $s \neq \varepsilon \wedge |s| \leq |r|$  **by force**  
**then show** *?case*  
**proof** (*cases*)  
**case** (*empty*)  
**thus**  $r \cdot s = s \cdot r$  **by fastforce**  
**next**  
**case** (*short*)  
**thus**  $r \cdot s = s \cdot r$   
**using** *less.hyps*[ $OF - \langle w \leq_p s \cdot w \rangle \langle w \leq_p r \cdot w \rangle$   
 $\langle |r| + |s| - (\text{gcd } |r| |s|) \leq |w|$ ]*[unfolded gcd.commute[of |r]] add.commute[of*  
 $|r|$ ]] **by fastforce**  
**next**  
**case** (*step*)  
**hence**  $s \neq \varepsilon$  **and**  $|s| \leq |r|$  **by blast+**  
**from** *le-add-diff*[ $OF \text{gcd-le2-nat}$ [ $OF \langle s \neq \varepsilon \rangle$ ]*[folded length-0-conv], of |r|], unfolded*  
*gcd.commute[of |r|], of |r|]*  
**have**  $|r| \leq |w|$   
**using**  $\langle |r| + |s| - (\text{gcd } |r| |s|) \leq |w|$ ]*[unfolded gcd.commute[of |r]] add.commute[of*  
 $|r|$ ]] *order.trans* **by blast**  
**hence**  $|s| \leq |w|$   
**using**  $\langle |s| \leq |r| \rangle$  *order.trans* **by blast**  
**from** *pref-prod-long*[ $OF \langle w \leq_p s \cdot w \rangle$  *this*]  
**have**  $s \leq_p w$ .  
  
**obtain**  $w'$  **where**  $s \cdot w' = w$  **and**  $|w'| < |w|$   
**using**  $\langle s \neq \varepsilon \rangle \langle s \leq_p w \rangle$  *[unfolded prefix-def]*  
**by force**  
**have**  $w' \leq_p w$   
**using**  $\langle w \leq_p s \cdot w \rangle$  **unfolding**  $\langle s \cdot w' = w \rangle$  *[symmetric] pref-cancel-conv.*  
**from** *this* *[folded  $\langle s \cdot w' = w \rangle$ ]*  
**have**  $w' \leq_p s \cdot w'$ .  
  
**have**  $s \leq_p r$   
**using** *pref-prod-le*[ $OF \text{prefix-order.trans}$ [ $OF \langle s \leq_p w \rangle \langle w \leq_p r \cdot w \rangle$ ]]  $\langle |s| \leq$   
 $|r| \rangle$ .  
**hence**  $w' \leq_p (s^{-1} \triangleright r) \cdot w'$   
**using**  $\langle w \leq_p r \cdot w \rangle \langle s \cdot w' = w \rangle$  *pref-prod-pref*[ $OF - \langle w' \leq_p w \rangle$ , *of*  $s^{-1} \triangleright r$ ]  
**unfolding** *prefix-def* **by fastforce**  
  
**have** *ind-len*:  $|s^{-1} \triangleright r| + |s| - (\text{gcd } |s^{-1} \triangleright r| |s|) \leq |w'|$   
**using**  $\langle |r| + |s| - (\text{gcd } |r| |s|) \leq |w|$ ]*[folded  $\langle s \cdot w' = w \rangle$ ]*  
**unfolding** *pref-gcd-lq*[ $OF \langle s \leq_p r \rangle$ , *unfolded gcd.commute[of |s|]]* *lenmorph*  
*lq-short-len*[ $OF \langle s \leq_p r \rangle$ , *unfolded add.commute[of |s|]]* **by force**  
  
**have**  $s \cdot s^{-1} \triangleright r = s^{-1} \triangleright r \cdot s$   
**using** *less.hyps*[ $OF - \langle w' \leq_p (s^{-1} \triangleright r) \cdot w' \rangle \langle w' \leq_p s \cdot w' \rangle$  *ind-len*]  $\langle s \leq_p r \rangle$   
 $\langle |w'| < |w| \rangle$

```

unfolding prefix-def
by force

thus  $r \cdot s = s \cdot r$ 
using  $\langle s \leq p \ r \rangle$  by (fastforce simp add: prefix-def)
qed
qed

lemma per-lemma-comm-pref:
assumes  $u \leq p \ r^{\textcircled{a}} k \ u \leq p \ s^{\textcircled{a}} l$ 
and  $len: |r| + |s| - \text{gcd}(|r|) (|s|) \leq |u|$ 
shows  $r \cdot s = s \cdot r$ 
using pref-prod-root[OF assms(2)] pref-prod-root[OF assms(1)] per-lemma-comm[OF
- - len] by blast

We can now prove the numeric version.

theorem per-lemma: assumes period w p and period w q and  $len: p + q - \text{gcd}$ 
-  $p \ q \leq |w|$ 
shows period w (gcd p q)
proof-
have takep:  $w \leq p \ (take \ p \ w) \cdot w$  and takeq:  $w \leq p \ (take \ q \ w) \cdot w$ 
using  $\langle period \ w \ p \rangle \ \langle period \ w \ q \rangle$  per-pref by blast+
have  $p \leq |w|$ 
using per-lemma-len-le[OF len] per-not-zero[OF \langle period w q \rangle].
have lenp:  $|take \ p \ w| = p$ 
using gcd-le2-pos[OF per-not-zero[OF \langle period w q \rangle], of p] len take-len
by auto
have lenq:  $|take \ q \ w| = q$ 
using gcd-le1-pos[OF per-not-zero[OF \langle period w p \rangle], of q] len take-len
by simp
obtain t where  $take \ p \ w \in t^*$  and  $take \ q \ w \in t^*$ 
using comm-rootE[OF per-lemma-comm[OF takep takeq, unfolded lenp lenq,
- OF len], of thesis] by blast
have  $w < p \ t \cdot w$ 
using  $\langle period \ w \ p \rangle$  [unfolded period-def, THEN per-root-trans, OF \langle take p w \in
- t^* \rangle].
with per-nemp[OF \langle period w q \rangle]
have period w |t|
by (rule periodI)
have  $|t| \text{ dvd } (\text{gcd } p \ q)$ 
using common-root-len-gcd[OF \langle take p w \in t^* \rangle \langle take q w \in t^* \rangle] unfolding
- lenp lenq.
from dvd-div-mult-self[OF this]
have  $\text{gcd } p \ q \ \text{div } |t| \ * \ |t| = \text{gcd } p \ q$ .
have  $\text{gcd } p \ q \neq 0$ 
using  $\langle period \ w \ p \rangle$  by auto
from this [folded dvd-div-eq-0-iff[OF \langle |t| dvd (gcd p q) \rangle]]
show period w (gcd p q)
using per-mult[OF \langle period w |t| \rangle, of gcd p q div |t|, unfolded dvd-div-mult-self[OF

```

$\langle |t| \text{ dvd } (\text{gcd } p \ q) \rangle \text{ by blast}$   
**qed**

## 5.2 Optimality

*FW-word* (where FW stands for Fine and Wilf) yields a word which show the optimality of the bound in the Periodicity lemma. Moreover, the obtained word has maximum possible letters (each equality of letters is forced by periods). The latter is not proved here.

**term** *butlast* ( $([0..<(\text{gcd } p \ q)]^{\textcircled{a}}(p \ \text{div } (\text{gcd } p \ q)))[\text{gcd } p \ q] \cdot (\text{butlast } ([0..<(\text{gcd } p \ q)]^{\textcircled{a}}(p \ \text{div } (\text{gcd } p \ q))))$ )

— an auxiliary claim

**lemma** *ext-per-sum*: **assumes** *period w p* **and** *period w q* **and**  $p \leq |w|$   
**shows** *period*  $((\text{take } p \ w) \cdot w) \ (p+q)$

**proof**—

**have** *nemp*:  $\text{take } p \ w \cdot \text{take } q \ w \neq \varepsilon$

**using**  $\langle \text{period } w \ p \rangle$  **by** *auto*

**have**  $\text{take } (p + q) \ (\text{take } p \ w \cdot w) = \text{take } p \ (\text{take } p \ w \cdot w) \cdot \text{take } q \ (\text{drop } p \ (\text{take } p \ w \cdot w))$

**using** *take-add* **by** *blast*

**also have**  $\dots = \text{take } p \ w \cdot \text{take } q \ w$

**by**  $(\text{simp add: } \langle p \leq |w| \rangle)$

**ultimately have** *sum*:  $\text{take } (p + q) \ (\text{take } p \ w \cdot w) = \text{take } p \ w \cdot \text{take } q \ w$

**by** *presburger*

**note** *assms[unfolded period-def]*

**show** *?thesis*

**unfolding** *period-def sum rassoc*

**using** *pref-spref-prolong[OF self-pref spref-spref-prolong[OF  $\langle w < p \ \text{take } q \ w \cdot w \rangle \langle w < p \ \text{take } p \ w \cdot w \rangle]$ ]*.

**qed**

**definition** *fw-p-per*  $p \ q \equiv \text{butlast } ([0..<(\text{gcd } p \ q)]^{\textcircled{a}}(p \ \text{div } (\text{gcd } p \ q)))$

**definition** *fw-base*  $p \ q \equiv \text{fw-p-per } p \ q \cdot [\text{gcd } p \ q] \cdot \text{fw-p-per } p \ q$

**fun** *FW-word* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat list* **where**

*FW-word-def*: *FW-word*  $p \ q =$

— symmetry  $(\text{if } q < p \ \text{then } \text{FW-word } q \ p \ \text{else}$

— artificial value  $\text{if } p = 0 \ \text{then } \varepsilon \ \text{else}$

— artificial value  $\text{if } p = q \ \text{then } \varepsilon \ \text{else}$

— base case  $\text{if } \text{gcd } p \ q = q - p \ \text{then } \text{fw-base } p \ q$

— step  $\text{else } (\text{take } p \ (\text{FW-word } p \ (q-p))) \cdot \text{FW-word } p \ (q-p))$

**lemma** *FW-sym*: *FW-word*  $p \ q = \text{FW-word } q \ p$

**by**  $(\text{cases rule: } \text{linorder-cases}[of \ p \ q]) \ \text{simp+}$

**theorem** *fw-word'*:  $\neg p \ \text{dvd } q \Longrightarrow \neg q \ \text{dvd } p \Longrightarrow$

$|\text{FW-word } p \ q| = p + q - \text{gcd } p \ q - 1 \wedge \text{period } (\text{FW-word } p \ q) \ p \wedge \text{period}$

```

(FW-word p q) q ∧ ¬ period (FW-word p q) (gcd p q)
proof (induction p + p + q arbitrary: p q rule: less-induct)
  case less
  have p ≠ 0
    using ⟨¬ q dvd p⟩ dvd-0-right[of q] by meson
  have p ≠ q
    using ⟨¬ p dvd q⟩ by auto
  then consider q < p | p < q
    by linarith
  then show ?case
  proof (cases)
    assume q < p
    have less: q + q + p < p + p + q
      by (simp add: ⟨q < p⟩)
    thus ?case
      using less.hyps[OF - ⟨¬ q dvd p⟩ ⟨¬ p dvd q⟩]
      unfolding FW-sym[of p q] gcd.commute[of p q] add.commute[of p q] by blast
  next
  let ?d = gcd p q
  let ?dw = [0..let ?pd = p div (gcd p q)
  assume p < q
  thus ?thesis
  proof (cases ?d = q - p)
    assume ?d = q - p hence p + ?d = q using ⟨p < q⟩ by auto
    hence p ≠ q and ¬ q < p using ⟨p ≠ 0⟩ ⟨p < q⟩ by fastforce+
    hence fw: FW-word p q = fw-base p q
    unfolding FW-word-def[of p q] using ⟨p ≠ 0⟩ ⟨gcd p q = q - p⟩ by
presburger

  have |[0..by simp
  hence *: p div gcd p q * |[0..by fastforce
  have ppref: |butlast (?dwⓈ?pd)·[?d]| = p
    using ⟨p ≠ 0⟩ unfolding lenmorph pow-len length-butlast sing-len * by
fastforce
  note ppref' = this[unfolded lenmorph]
  have qpref: |butlast (?dwⓈ?pd)·[?d]·?dw| = q
    unfolding lassoc lenmorph ppref' using ⟨p + gcd p q = q⟩ by simp
  have butlast (?dwⓈ?pd)·[?d] ≤p FW-word p q
    unfolding fw fw-base-def fw-p-per-def lassoc using triv-pref.
  from pref-take[OF this]
  have takep: take p (FW-word p q) = butlast (?dwⓈ?pd)·[?d]
    unfolding ppref.

  have ?dw ≠ ε and |?dw| = ?d
    using ⟨p ≠ 0⟩ by auto

```

**have**  $?pd \neq 0$   
**by** (*simp add:  $\langle p \neq 0 \rangle dvd-div-eq-0-iff$* )  
**from** *not0-implies-Suc*[*OF this*]  
**obtain**  $e$  **where**  $?pd = \text{Suc } e$  **by** *blast*  
**have**  $\text{gcd } p \ q \neq p$   
**using**  $\langle \neg p \ \text{dvd } q \rangle \ \text{gcd-dvd2}$ [*of p q*] **by** *force*  
**hence**  $\text{Suc } e \neq 1$   
**using** *dvd-mult-div-cancel*[*OF gcd-dvd1*[*of p q*], *unfolded  $\langle ?pd = \text{Suc } e \rangle$* ] **by**  
*force*  
**hence**  $e \neq 0$  **by** *simp*

**have**  $[0..<\text{gcd } p \ q]^\circledast e \neq \varepsilon$   
**using**  $\langle [0..<\text{gcd } p \ q] \neq \varepsilon \rangle \ \langle e \neq 0 \rangle \ \text{nonzero-pow-emp}$  **by** *blast*  
**hence** *but-dec*:  $\text{butlast } (?dw^\circledast ?pd) = ?dw \cdot \text{butlast } (?dw^\circledast e)$   
**unfolding**  $\langle ?pd = \text{Suc } e \rangle \ \text{pow-Suc butlast-append if-not-P}$ [*OF  $\langle [0..<\text{gcd } p \ q]^\circledast e \neq \varepsilon \rangle$* ] **by** *blast*  
**have** *but-dec-b*:  $\text{butlast } (?dw^\circledast ?pd) = ?dw^\circledast e \cdot \text{butlast } ?dw$   
**unfolding**  $\langle ?pd = \text{Suc } e \rangle \ \text{pow-Suc' butlast-append if-not-P}$ [*OF  $\langle ?dw \neq \varepsilon \rangle$* ]  
**by** *blast*  
**have**  $\text{butlast } (?dw^\circledast ?pd) \cdot [?d] \cdot ?dw \leq_p \ \text{FW-word } p \ q$   
**unfolding** *fw but-dec lassoc fw-base-def fw-p-per-def* **by** *blast*  
**note**  $\text{take } q = \text{pref-take}$ [*OF this, unfolded qpref*]

**have**  $|\text{FW-word } p \ q| = p + q - \text{gcd } p \ q - 1$   
**proof**–  
**have**  $p + q - (q - p) = p + p$   
**using**  $\langle p + \text{gcd } p \ q = q \rangle$  **by** *auto*  
**hence**  $|\text{?dw}^\circledast ?pd| = p$   
**unfolding** *pow-len*  $\langle |[0..<\text{gcd } p \ q]| = \text{gcd } p \ q \rangle$  **by** *force*  
**hence**  $|\text{butlast } (?dw^\circledast ?pd)| = p - 1$   
**unfolding** *length-butlast* **by** *argo*  
**hence**  $|\text{FW-word } p \ q| = (p - 1) + 1 + (p - 1)$   
**unfolding** *fw lenmorph sing-len fw-base-def fw-p-per-def* **by** *presburger*  
**thus**  $|\text{FW-word } p \ q| = p + q - \text{gcd } p \ q - 1$   
**unfolding**  $\langle \text{gcd } p \ q = q - p \rangle \ \langle p + q - (q - p) = p + p \rangle$  **using**  $\langle p \neq 0 \rangle$

**by** *fastforce*  
**qed**

**have**  $\text{period } (\text{FW-word } p \ q) \ p$   
**unfolding** *period-def*  
**proof** (*rule per-rootI*)  
**show**  $\text{take } p \ (\text{FW-word } p \ q) \neq \varepsilon$   
**using**  $\langle p \neq 0 \rangle$  **unfolding** *length-0-conv*[*symmetric*] *ppref*[*folded take*].  
**have**  $\text{fw-base } p \ q \leq_p \ \text{fw-p-per } p \ q \cdot [\text{gcd } p \ q] \cdot \text{fw-base } p \ q$   
**unfolding** *rassoc pref-cancel-conv fw-base-def fw-p-per-def* **by** *blast*  
**thus**  $\text{FW-word } p \ q \leq_p \ \text{take } p \ (\text{FW-word } p \ q) \cdot \text{FW-word } p \ q$   
**unfolding** *fw rassoc fw-p-per-def take*[*unfolded fw*].  
**qed**

```

have period (FW-word p q) q
  unfolding period-def
proof (rule per-rootI)
  show take q (FW-word p q) ≠ ε
    unfolding length-0-conv[symmetric] qpref[folded takeq] using ⟨p ≠ 0⟩ ⟨p
< q⟩ by linarith
    have butlast ([0..<gcd p q]@ (p div gcd p q)) ≤p [0..<gcd p q] · butlast
([0..<gcd p q]@ (p div gcd p q))
    using pref-prod-root[OF prefixeq-butlast[of [0..<gcd p q]@ (p div gcd p q)]].
    from pref-ext[OF this, unfolded rassoc]
    have fw-base p q ≤p fw-p-per p q · [gcd p q] · [0..<gcd p q] · fw-base p q
    unfolding rassoc pref-cancel-conv fw-base-def fw-p-per-def.
    thus FW-word p q ≤p take q (FW-word p q) · FW-word p q
    unfolding fw rassoc fw-p-per-def takeq[unfolded fw].
qed

have ¬ period (FW-word p q) ?d
proof–
  have last-a: last (take p (FW-word p q)) = ?d
    unfolding takep nth-append-length[of butlast (?dw@ ?pd) ?d ε]
    last-snoc by blast
  have ?dw ≤p FW-word p q
    unfolding fw but-dec rassoc fw-base-def fw-p-per-def by blast
    from pref-take[OF this, unfolded <|?dw| = ?d]
  have takegcd: take (gcd p q) (FW-word p q) = [0..<gcd p q].
  have fw-dec-b: FW-word p q = [0..<gcd p q]@e · butlast ([0..<gcd p q]) ·
[?d] · (butlast ([0..<gcd p q]@(p div gcd p q)))
    unfolding fw but-dec-b rassoc fw-base-def fw-p-per-def ..
  have gcdepref: [0..<gcd p q]@ Suc e ≤p take (gcd p q) (FW-word p q) ·
FW-word p q
    unfolding takegcd pow-Suc pref-cancel-conv unfolding fw-dec-b by blast
  have |[0..<gcd p q]@ Suc e| = p
    unfolding pow-len <|?dw| = ?d <?pd = Suc e[symmetric] using
dvd-div-mult-self[OF gcd-dvd1].
  from pref-take[OF gcdepref, unfolded this]
  have takegcdp: take (take (gcd p q) (FW-word p q) · (FW-word p q)) =
[0..<gcd p q]@e · [0..<gcd p q]
    unfolding pow-Suc'.
  have 0 < gcd p q by (simp add: ⟨p ≠ 0⟩)
  from last-upt[OF this]
  have last-b: last (take p (take (gcd p q) (FW-word p q) · (FW-word p q)))
= gcd p q - 1
    unfolding takegcdp last-appendR[of [0..<gcd p q] [0..<gcd p q]@e, OF
<[0..<gcd p q] ≠ ε].
  have p ≤ |FW-word p q|
    unfolding <|FW-word p q| = p + q - gcd p q - 1 > <gcd p q = q - p >
using ⟨p < q⟩ by auto
  have gcd p q ≠ gcd p q - 1
    using <gcd p q = q - p > ⟨p < q⟩ by linarith

```

**hence**  $\text{take } p \text{ (FW-word } p \text{ } q) \neq \text{take } p \text{ (take (gcd } p \text{ } q) \text{ (FW-word } p \text{ } q) \cdot \text{(FW-word } p \text{ } q))}$   
**unfolding** *last-b[symmetric]* **unfolding** *last-a[symmetric]* **using** *arg-cong[of - - last]* **by** *blast*  
**hence**  $\neg \text{FW-word } p \text{ } q \leq p \text{ take (gcd } p \text{ } q) \text{ (FW-word } p \text{ } q) \cdot \text{FW-word } p \text{ } q$   
**using** *pref-share-take[OF - <p ≤ |FW-word p q|, of take (gcd p q) (FW-word p q) · FW-word p q]* **by** *blast*  
**thus**  $\neg \text{period (FW-word } p \text{ } q) \text{ (gcd } p \text{ } q)$   
**unfolding** *period-def* **by** *blast*  
**qed**

**show** *?thesis*  
**using**  $\langle \text{period (FW-word } p \text{ } q) \text{ } p \rangle \langle \text{period (FW-word } p \text{ } q) \text{ } q \rangle$   
 $\langle | \text{FW-word } p \text{ } q | = p + q - \text{gcd } p \text{ } q - 1 \rangle \langle \neg \text{period (FW-word } p \text{ } q) \text{ (gcd } p \text{ } q) \rangle$  **by** *blast*  
**next**  
**let**  $?d' = \text{gcd } p \text{ (} q - p \text{)}$   
**assume**  $\text{gcd } p \text{ } q \neq q - p$   
**hence** *fw*:  $\text{FW-word } p \text{ } q = (\text{take } p \text{ (FW-word } p \text{ (} q - p \text{)))} \cdot \text{FW-word } p \text{ (} q - p \text{)}$   
**using** *FW-word-def <p ≠ 0> <p ≠ q> <p < q>* **by** (*meson less-Suc-eq not-less-eq*)

**have** *divhyp1*:  $\neg p \text{ dvd } q - p$   
**using**  $\langle \neg p \text{ dvd } q \rangle \langle p < q \rangle$  *dvd-minus-self* **by** *auto*

**have** *divhyp2*:  $\neg q - p \text{ dvd } p$   
**proof** (*rule notI*)  
**assume**  $q - p \text{ dvd } p$   
**have**  $q = p + (q - p)$   
**by** (*simp add: <p < q> less-or-eq-imp-le*)  
**from** *gcd-add2[of p q - p, folded this, unfolded gcd-nat.absorb2[of q - p p, OF <q - p dvd p>]]*  
**show** *False*  
**using**  $\langle \text{gcd } p \text{ } q \neq q - p \rangle$  **by** *blast*  
**qed**

**have** *lenhyp*:  $p + p + (q - p) < p + p + q$   
**using**  $\langle p < q \rangle \langle p \neq 0 \rangle$  **by** *linarith*

— induction assumption

**have**  $| \text{FW-word } p \text{ (} q - p \text{)} | = p + (q - p) - ?d' - 1$  **and** *period (FW-word p (q-p)) p* **and** *period (FW-word p (q-p)) (q-p)* **and**  
 $\neg \text{period (FW-word } p \text{ (} q - p \text{)) (gcd } p \text{ (} q - p \text{))}$   
**using** *less.hyps[OF - divhyp1 divhyp2] lenhyp*  
**by** *blast+*

— auxiliary facts

**have**  $p + (q - p) = q$   
**using** *divhyp1 dvd-minus-self* **by** *auto*

```

have ?d = ?d'
  using gcd-add2[of p q-p, unfolded le-add-diff-inverse[OF less-imp-le[OF <p
< q>]]].
have ?d ≠ q
  using <¬ q dvd p> gcd-dvd2[of q p, unfolded gcd.commute[of q]] by force
from this[unfolded nat-neq-iff]
have ?d < q
  using gr-implies-not0 <p < q> nat-dvd-not-less by blast
hence 1 ≤ q - ?d
  by linarith
have ?d' < q - p
  using gcd-le2-pos[OF per-not-zero[OF <period (FW-word p (q - p)) (q -
p)>], of p] divhyp2[unfolded gcd-nat.absorb-iff2] nat-less-le by blast
hence p ≤ |(FW-word p (q - p))|
  unfolding <|FW-word p (q - p)| = p + (q - p) - ?d' - 1> by linarith
have FW-word p (q - p) ≠ ε
  unfolding length-0-conv[symmetric] using <p ≤ |FW-word p (q - p)|> <p
≠ 0>[folded le-zero-eq]
  by linarith

```

— claim 1

```

have |FW-word p q| = p + q - ?d - 1
proof-
  have p + (q - p) = q using less-imp-le[OF <p < q>] by fastforce
  have |FW-word p q| = |take p (FW-word p (q - p))| + |FW-word p (q -
p)|
    using fw lenmorph[of take p (FW-word p (q - p)) FW-word p (q - p)]
    by presburger
  also have ... = p + (p + (q - p) - ?d' - 1)
    unfolding <|FW-word p (q - p)| = p + (q - p) - ?d' - 1>
    take-len[OF <p ≤ |FW-word p (q - p)|>] by blast
  also have ... = p + (q - ?d - 1)
    unfolding <?d = ?d'> <p + (q - p) = q>..
  also have ... = p + (q - ?d) - 1
    using Nat.add-diff-assoc[OF <1 ≤ q - ?d>].
  also have ... = p + q - ?d - 1
    by (simp add: <?d < q> less-or-eq-imp-le)
  finally show |FW-word p q| = p + q - ?d - 1
    by presburger
qed

```

— claim 2

```

have period (FW-word p q) p
  using fw ext-per-left[OF <period (FW-word p (q-p)) p> <p ≤ |FW-word p
(q - p)|>]
  by presburger

```

— claim 3

```

have period (FW-word p q) q

```



**using** *ext-per-sum*[*OF*  $\langle \text{period}(\text{FW-word } p (q - p)) \ p \ \langle \text{period}(\text{FW-word } p (q - p)) \ (q - p) \rangle \ \langle p \leq |\text{FW-word } p (q - p)| \rangle$ , *folded fw, unfolded*  $\langle p + (q - p) = q \rangle$ ].

— claim 4

**have**  $\neg \text{period}(\text{FW-word } p \ q) \ ?d$   
**using**  $\langle \neg \text{period}(\text{FW-word } p (q - p)) \ (\text{gcd } p \ (q - p)) \rangle$   
**unfolding**  $\langle ?d = ?d' \rangle$ [*symmetric*]  
**using** *period-fac*[*of take*  $p(\text{FW-word } p (q - p)) \ \text{FW-word } p (q - p) \ \varepsilon \ ?d$ ,  
*unfolded append-Nil2*,  
 $OF - \langle \text{FW-word } p (q - p) \neq \varepsilon \rangle$ , *folded fw*] **by** *blast*  
**thus** *?thesis*  
**using**  $\langle \text{period}(\text{FW-word } p \ q) \ p \ \langle \text{period}(\text{FW-word } p \ q) \ q \rangle \ \langle |\text{FW-word } p \ q| = p + q - ?d - 1 \rangle$  **by** *blast*  
**qed**  
**qed**  
**qed**

**theorem** *fw-word*: **assumes**  $\neg p \ \text{dvd} \ q \ \neg q \ \text{dvd} \ p$   
**shows**  $|\text{FW-word } p \ q| = p + q - \text{gcd } p \ q - 1$  **and** *period*  $(\text{FW-word } p \ q) \ p$  **and**  
*period*  $(\text{FW-word } p \ q) \ q$  **and**  $\neg \text{period}(\text{FW-word } p \ q) \ (\text{gcd } p \ q)$   
**using** *fw-word'*[*OF assms*] **by** *blast+*

Calculation examples

### 5.3 Other variants of the periodicity lemma

Periodicity lemma is one of the most frequent tools in Combinatorics on words. Here are some useful variants.

Note that the following lemmas are stronger versions of  $\llbracket \langle_p ?w \ (\ ?p \cdot ?w) ; \langle_s ?w \ (\ ?w \cdot ?q) ; |?p| + |?q| \leq |?w| ; \bigwedge r \ s \ k \ l \ m. \llbracket ?p = (r \cdot s)^\textcircled{\text{a}} k ; ?q = (s \cdot r)^\textcircled{\text{a}} l ; ?w = (r \cdot s)^\textcircled{\text{a}} m \cdot r ; \text{primitive} (r \cdot s) \rrbracket \implies ?thesis \rrbracket \implies ?thesis$

$\llbracket \leq_f ?w \ (\ ?p^\textcircled{\text{a}} ?k) ; \leq_f ?w \ (\ ?q^\textcircled{\text{a}} ?l) ; ?p \neq \varepsilon ; ?q \neq \varepsilon ; |?p| + |?q| \leq |?w| ; \bigwedge r \ s \ m. \llbracket \varrho \ ?p \sim r \cdot s ; \varrho \ ?q \sim r \cdot s ; ?w = (r \cdot s)^\textcircled{\text{a}} m \cdot r ; \text{primitive} (r \cdot s) \rrbracket \implies ?thesis \rrbracket \implies ?thesis$

$\llbracket \leq_f ?u \ (\ ?r^\textcircled{\text{a}} ?k) ; \leq_f ?u \ (\ ?s^\textcircled{\text{a}} ?l) ; ?r \neq \varepsilon ; ?s \neq \varepsilon ; |?r| + |?s| \leq |?u| \rrbracket \implies \varrho \ ?r \sim \varrho \ ?s$

$\llbracket \leq_f ?w \ (\ ?u^\textcircled{\text{a}} ?n) ; \leq_f ?w \ (\ ?v^\textcircled{\text{a}} ?m) ; \text{primitive} \ ?u ; \text{primitive} \ ?v ; |?u| + |?v| \leq |?w| \rrbracket \implies ?u \sim ?v$  that have a relaxed length assumption  $|p| + |q| \leq |w|$  instead of  $|p| + |q| - \text{gcd } |p| \ |q| \leq |w|$  (and which follow from the relaxed version of periodicity lemma  $\llbracket \leq_p ?w \ (\ ?u \cdot ?w) ; \leq_p ?w \ (\ ?v \cdot ?w) ; |?u| + |?v| \leq |?w| \rrbracket \implies ?u \cdot ?v = ?v \cdot ?u$ .

**lemma** *per-lemma-pref-suf-gcd*: **assumes**  $w <_p p \cdot w$  **and**  $w <_s w \cdot q$  **and**

$fw: |p| + |q| - (\gcd |p| |q|) \leq |w|$   
**obtains**  $r s k l m$  **where**  $p = (r \cdot s)^{\textcircled{a}} k$  **and**  $q = (s \cdot r)^{\textcircled{a}} l$  **and**  $w = (r \cdot s)^{\textcircled{a}} m \cdot r$   
**and primitive**  $(r \cdot s)$   
**proof**–  
**let**  $?q = (w \cdot q)^{<-1} w$   
**have**  $w <_p ?q \cdot w$   
**using**  $ssufD1[OF \langle w <_s w \cdot q \rangle]$   $rq\text{-suf}[symmetric, THEN \text{per-rootI}[OF \text{prefI}$   
 $rq\text{-ssuf}[OF \langle w <_s w \cdot q \rangle]]]$   
**by argo**  
**have**  $q \sim ?q$   
**by**  $(meson \text{assms}(2) \text{conjugI1} \text{conjug-sym} \text{rq-suf} \text{suffix-order.less-imp-le})$   
  
**have**  $nemps': p \neq \varepsilon \ ?q \neq \varepsilon$   
**using**  $assms(1) \langle w <_p ?q \cdot w \rangle$  **by**  $\text{fastforce+}$   
**have**  $|p| + |?q| - \gcd(|p|) (|?q|) \leq |w|$  **using**  $fw$   
**unfolding**  $\text{conjug-len}[OF \langle q \sim ?q \rangle]$ .  
**from**  $\text{per-lemma-comm}[OF \text{spreFD1}[OF \langle w <_p p \cdot w \rangle] \text{spreFD1}[OF \langle w <_p ?q \cdot w \rangle]$   
 $\text{this}]$   
**have**  $p \cdot ?q = ?q \cdot p$ .  
**then have**  $\varrho p = \varrho ?q$  **using**  $\text{comm-primroots}[OF \text{nemps}']$  **by**  $\text{force}$   
**hence**  $[\text{symmetric}]$ :  $\varrho q \sim \varrho p$   
**using**  $\text{conjug-primroot}[OF \langle q \sim (w \cdot q)^{<-1} w \rangle]$   
**by argo**  
**from**  $\text{conjug-primrootsE}[OF \text{this}]$   
**obtain**  $r s k l$  **where**  
 $p = (r \cdot s)^{\textcircled{a}} k$  **and**  
 $q = (s \cdot r)^{\textcircled{a}} l$  **and**  
 $\text{primitive}(r \cdot s)$ .  
**have**  $w \leq_p (r \cdot s) \cdot w$   
**using**  $assms \text{per-root-drop-exp} \text{spreFD1} \langle p = (r \cdot s)^{\textcircled{a}} k \rangle$   
**by meson**  
**have**  $w \leq_s w \cdot (s \cdot r)$   
**using**  $assms(2) \text{per-root-drop-exp}[\text{reversed}] \text{ssufD1} \langle q = (s \cdot r)^{\textcircled{a}} l \rangle$   
**by meson**  
**have**  $|r \cdot s| \leq |w|$   
**using**  $\text{conjug-nemp-iff}[OF \langle q \sim ?q \rangle]$   $\text{dual-order.trans} \text{length-0-conv} \text{nemps}'$   
 $\text{per-lemma-len-le}[OF fw] \text{primroot-len-le}[OF \text{nemps}'(1)]$   
**unfolding**  $\text{primroot-unique}[OF \text{nemps}'(1) \langle \text{primitive}(r \cdot s) \rangle \langle p = (r \cdot s)^{\textcircled{a}} k \rangle]$   
**by blast**  
**from**  $\text{root-suf-conjug}[OF \langle \text{primitive}(r \cdot s) \rangle \langle w \leq_p (r \cdot s) \cdot w \rangle \langle w \leq_s w \cdot (s \cdot r) \rangle]$   $\text{this}]$   
**obtain**  $m$  **where**  $w = (r \cdot s)^{\textcircled{a}} m \cdot r$ .  
**from**  $\text{that}[OF \langle p = (r \cdot s)^{\textcircled{a}} k \rangle \langle q = (s \cdot r)^{\textcircled{a}} l \rangle]$   $\text{this} \langle \text{primitive}(r \cdot s) \rangle]$   
**show**  $?thesis$ .  
**qed**

**lemma**  $\text{fac-two-conjug-primroot-gcd}$ :

**assumes**  $\text{facs}$ :  $w \leq_f p^{\textcircled{a}} k$   $w \leq_f q^{\textcircled{a}} l$  **and**  $\text{nemps}$ :  $p \neq \varepsilon$   $q \neq \varepsilon$  **and**  $\text{len}$ :  $|p| + |q|$   
 $- \gcd(|p|) (|q|) \leq |w|$   
**obtains**  $r s m$  **where**  $\varrho p \sim r \cdot s$  **and**  $\varrho q \sim r \cdot s$  **and**  $w = (r \cdot s)^{\textcircled{a}} m \cdot r$  **and**

*primitive*  $(r \cdot s)$

**proof** –

**obtain**  $p'$  **where**  $w <_p p' \cdot w$   $p \sim p'$   $p' \neq \varepsilon$

**using** *conjug-nemp-iff fac-pow-pref-conjug*[*OF facts*(1)] *nemps*(1) *per-rootI'* **by** *metis*

**obtain**  $q'$  **where**  $w <_s w \cdot q'$   $q \sim q'$   $q' \neq \varepsilon$

**using** *fac-pow-pref-conjug*[*reversed*, *OF*  $\langle w \leq_f q^{\textcircled{a}} l \rangle$ ] *conjug-nemp-iff nemps*(2) *per-rootI'*[*reversed*] **by** *metis*

**from** *per-lemma-pref-suf-gcd*[*OF*  $\langle w <_p p' \cdot w \rangle \langle w <_s w \cdot q' \rangle$ ]

**obtain**  $r$   $s$   $k$   $l$   $m$  **where**

$p' = (r \cdot s)^{\textcircled{a}} k$  **and**

$q' = (s \cdot r)^{\textcircled{a}} l$  **and**

$w = (r \cdot s)^{\textcircled{a}} m \cdot r$  **and**

*primitive*  $(r \cdot s)$

**using** *len*[*unfolded conjug-len*[*OF*  $\langle p \sim p' \rangle$ ] *conjug-len*[*OF*  $\langle q \sim q' \rangle$ ]]

**by** *blast*

**moreover have**  $\varrho$   $p' = r \cdot s$

**using**  $\langle p' = (r \cdot s)^{\textcircled{a}} k \rangle \langle \textit{primitive} (r \cdot s) \rangle \langle p' \neq \varepsilon \rangle$  *primroot-unique* **by** *blast*

**hence**  $\varrho$   $p \sim r \cdot s$

**using** *conjug-primroot*[*OF*  $\langle p \sim p' \rangle$ ]

**by** *simp*

**moreover have**  $\varrho$   $q' = s \cdot r$

**using**  $\langle q' = (s \cdot r)^{\textcircled{a}} l \rangle \langle \textit{primitive} (r \cdot s) \rangle$ [*unfolded conjug-prim-iff'*[*of r*]]  $\langle q' \neq \varepsilon \rangle$  *primroot-unique* **by** *blast*

**hence**  $\varrho$   $q \sim s \cdot r$

**using** *conjug-primroot*[*OF*  $\langle q \sim q' \rangle$ ] **by** *simp*

**hence**  $\varrho$   $q \sim r \cdot s$

**using** *conjug-trans*[*OF* - *conjugI'*]

**by** *meson*

**ultimately show** *?thesis*

**using** *that* **by** *blast*

**qed**

**corollary** *fac-two-conjug-primroot'-gcd*:

**assumes** *facts*:  $u \leq_f r^{\textcircled{a}} k$   $u \leq_f s^{\textcircled{a}} l$  **and** *nemps*:  $r \neq \varepsilon$   $s \neq \varepsilon$  **and** *len*:  $|r| + |s| - \text{gcd}(|r|) (|s|) \leq |u|$

**shows**  $\varrho$   $r \sim \varrho$   $s$

**using** *fac-two-conjug-primroot-gcd*[*OF assms*] *conjug-trans*[*OF* - *conjug-sym*[*of*  $\varrho$   $s$ ]].

**lemma** *fac-two-conjug-primroot''-gcd*:

**assumes** *facts*:  $u \leq_f r^{\textcircled{a}} k$   $u \leq_f s^{\textcircled{a}} l$  **and**  $u \neq \varepsilon$  **and** *len*:  $|r| + |s| - \text{gcd}(|r|) (|s|) \leq |u|$

**shows**  $\varrho$   $r \sim \varrho$   $s$

**proof** –

**have** *nemps*:  $r \neq \varepsilon$   $s \neq \varepsilon$  **using** *facts*  $\langle u \neq \varepsilon \rangle$  **by** *auto*

**show** *conjugate*  $(\varrho$   $r)$   $(\varrho$   $s)$  **using** *fac-two-conjug-primroot'-gcd*[*OF facts nemps len*].

**qed**

**lemma** *fac-two-prim-conjug-gcd*:  
**assumes**  $w \leq_f u^{\otimes n} w \leq_f v^{\otimes m}$  *primitive u primitive v*  $|u| + |v| - \text{gcd } (|u|) (|v|) \leq |w|$   
**shows**  $u \sim v$   
**using** *fac-two-conjug-primroot'-gcd*[*OF* *assms(1-2)* - - *assms(5)*] *prim-nemp*[*OF*  $\langle$ *primitive u* $\rangle$ ] *prim-nemp*[*OF*  $\langle$ *primitive v* $\rangle$ ]  
**unfolding** *prim-self-root*[*OF*  $\langle$ *primitive u* $\rangle$ ] *prim-self-root*[*OF*  $\langle$ *primitive v* $\rangle$ ].

**lemma** *two-pers-1*:  
**assumes** *pu*:  $w \leq_p u \cdot w$  **and** *pv*:  $w \leq_p v \cdot w$  **and** *len*:  $|u| + |v| - 1 \leq |w|$   
**shows**  $u \cdot v = v \cdot u$   
**proof**  
**assume**  $u \neq \varepsilon$   $v \neq \varepsilon$   
**hence**  $1 \leq \text{gcd } |u| |v|$   
**using** *nemp-len* **by** (*simp add: Suc-leI*)  
**thus** *?thesis*  
**using** *per-lemma-comm*[*OF* *pu pv*] *len* **by** *linarith*  
**qed**

**end**

**theory** *Lyndon-Schutzenberger*  
**imports** *Submonoids Periodicity-Lemma*

**begin**

## Chapter 6

# Lyndon-Schützenberger Equation

### 6.1 The original result

The Lyndon-Schützenberger equation is the following equation:

$$x^a y^b = z^c,$$

in this formalization denoted as  $x^{\textcircled{a}} \cdot y^{\textcircled{b}} = z^{\textcircled{c}}$ .

We formalize here a complete solution of this equation.

The main result, proved by Lyndon and Schützenberger is that the equation has periodic solutions only in free groups if  $2 \leq a, b, c$ . In this formalization we consider the equation in words only. Then the original result can be formulated as saying that all words  $x$ ,  $y$  and  $z$  satisfying the equality with  $2 \leq a, b, c$  pairwise commute.

The result in free groups was first proved in [7]. For words, there are several proofs to be found in the literature (for instance [4, 2]). The presented proof is the authors' proof.

In addition, we give a full parametric solution of the equation for any  $a$ ,  $b$  and  $c$ .

### 6.2 The original result

If  $x^a$  or  $y^b$  is sufficiently long, then the claim follows from the Periodicity Lemma.

**lemma** *LS-per-lemma-case1:*

**assumes** eq:  $x^{\textcircled{a}} \cdot y^{\textcircled{b}} = z^{\textcircled{c}}$  **and**  $0 < a$  **and**  $0 < b$  **and**  $|z| + |x| - 1 \leq |x^{\textcircled{a}}|$   
**shows**  $x \cdot y = y \cdot x$  **and**  $x \cdot z = z \cdot x$

**proof**

**have**  $x^{\textcircled{a}} a \leq p (z^{\textcircled{c}} c) \cdot x^{\textcircled{a}} a x^{\textcircled{a}} a \leq p x \cdot x^{\textcircled{a}} a$   
**unfolding**  $eq[symmetric] shifts-rev$  **by**  $blast+$   
**hence**  $x^{\textcircled{a}} a \leq p z \cdot x^{\textcircled{a}} a$   
**using**  $eq pref-prod-root triv-pref$  **by**  $metis$   
**from**  $two-pers-1[OF this \langle x^{\textcircled{a}} a \leq p x \cdot x^{\textcircled{a}} a \rangle \langle |z| + |x| - 1 \leq |x^{\textcircled{a}} a| \rangle, symmetric]$   
**show**  $x \cdot z = z \cdot x$ .  
**hence**  $z^{\textcircled{c}} c \cdot x^{\textcircled{a}} a = x^{\textcircled{a}} a \cdot z^{\textcircled{c}} c$   
**by**  $(simp add: comm-add-exps)$   
**from**  $this[folded eq, unfolded rassoc cancel, symmetric]$   
**have**  $x^{\textcircled{a}} a \cdot y^{\textcircled{b}} b = y^{\textcircled{b}} b \cdot x^{\textcircled{a}} a$ .  
**from**  $this[unfolded comm-pow-roots[OF \langle 0 < a \rangle \langle 0 < b \rangle]]$   
**show**  $x \cdot y = y \cdot x$ .  
**qed**

A weaker version will be often more convenient

**lemma** *LS-per-lemma-case*:

**assumes**  $eq: x^{\textcircled{a}} a \cdot y^{\textcircled{b}} b = z^{\textcircled{c}} c$  **and**  $0 < a$  **and**  $0 < b$  **and**  $|z| + |x| \leq |x^{\textcircled{a}} a|$   
**shows**  $x \cdot y = y \cdot x$  **and**  $x \cdot z = z \cdot x$   
**using**  $LS-per-lemma-case1[OF assms(1-3)] assms(4)$  **by**  $force+$

The most challenging case is when  $c = 3$ .

**lemma** *LS-core-case*:

**assumes**  
 $eq: x^{\textcircled{a}} a \cdot y^{\textcircled{b}} b = z^{\textcircled{c}} c$  **and**  
 $2 \leq a$  **and**  $2 \leq b$  **and**  $2 \leq c$  **and**  
 $c = 3$  **and**  
 $b * |y| \leq a * |x|$  **and**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **and**  
 $lenx: a * |x| < |z| + |x|$  **and**  
 $leny: b * |y| < |z| + |y|$   
**shows**  $x \cdot y = y \cdot x$

**proof**–

**have**  $0 < a$  **and**  $0 < b$   
**using**  $\langle 2 \leq a \rangle \langle 2 \leq b \rangle$  **by**  $auto$

— We first show that  $a = 2$

**have**  $a * |x| + b * |y| = 3 * |z|$   
**using**  $\langle c = 3 \rangle eq lenmorph[of x^{\textcircled{a}} a y^{\textcircled{b}} b]$   
**by**  $(simp add: pow-len)$   
**hence**  $3 * |z| \leq a * |x| + a * |x|$   
**using**  $\langle b * |y| \leq a * |x| \rangle$  **by**  $simp$   
**hence**  $3 * |z| < 2 * |z| + 2 * |x|$   
**using**  $lenx$  **by**  $linarith$   
**hence**  $|z| + |x| < 3 * |x|$  **by**  $simp$   
**from**  $less-trans[OF lenx this, unfolded mult-less-cancel2]$   
**have**  $a = 2$  **using**  $\langle 2 \leq a \rangle$  **by**  $force$

**hence**  $|y| \leq |x|$  **using**  $\langle b * |y| \leq a * |x| \rangle \langle 2 \leq b \rangle$   
 $pow-len[of x 2] pow-len[of y b]$   
 $mult-le-less-imp-less[of a b |x| |y|]$  *not-le*

**by auto**  
**have**  $x \cdot x \cdot y^{\textcircled{a}} b = z \cdot z \cdot z$  **using**  $\langle 2 \leq a \rangle$  *eq*  $\langle c=3 \rangle$   $\langle a=2 \rangle$   
**by** (*simp add: numeral-2-eq-2 numeral-3-eq-3*)

— Find words  $u, v, w$

**have**  $|z| < |x \cdot x|$   
**using**  $\langle |z| + |x| < 3 * |x| \rangle$  *add.commute* **by auto**  
**from** *ruler-le[THEN prefD, OF triv-pref[of z z z] - less-imp-le[OF this]]*  
**obtain**  $w$  **where**  $z \cdot w = x \cdot x$   
**using** *prefI[of x x y^{\textcircled{a}} b z z z, unfolded rassoc, OF x x y^{\textcircled{a}} b = z z z]* **by fastforce**

**have**  $|x| < |z|$   
**using**  $\langle a = 2 \rangle$  *lenx* **by auto**  
**from** *ruler-le[THEN prefD, OF - - less-imp-le[OF this], of x x y^{\textcircled{a}} b, OF triv-pref, unfolded x x y^{\textcircled{a}} b = z z z, OF triv-pref]*  
**obtain**  $u :: 'a$  list **where**  $x \cdot u = z$   
**by blast**  
**have**  $u \neq \varepsilon$   
**using**  $\langle |x| < |z| \rangle$   $\langle x \cdot u = z \rangle$  **by auto**  
**have**  $x = u \cdot w$  **using**  $\langle z \cdot w = x \cdot x \rangle$   $\langle x \cdot u = z \rangle$  **by auto**

**have**  $|x \cdot x| < |z \cdot z|$  **by** (*simp add: x < |z| add-less-mono*)  
**from** *ruler-le[OF triv-pref[of x x y^{\textcircled{a}} b, unfolded rassoc x x y^{\textcircled{a}} b = z z z], unfolded lassoc] triv-pref, OF less-imp-le[OF this]]*  
**have**  $z \cdot w \leq_p z \cdot z$   
**unfolding**  $\langle z \cdot w = x \cdot x \rangle$ .  
**obtain**  $v :: 'a$  list **where**  $w \cdot v = x$   
**using** *lq-pref[of w x]*  
*pref-prod-pref'[OF pref-cancel[OF z w ≤<sub>p</sub> z z], folded x · u = z, unfolded x = u · w] rassoc], folded x = u · w]* **by blast**  
**have**  $u \cdot w \cdot v \neq \varepsilon$   
**by** (*simp add: u ≠ ε*)

— Express  $x, y$  and  $z$  in terms of  $u, v$  and  $w$

**hence**  $z = w \cdot v \cdot u$   
**using**  $\langle w \cdot v = x \rangle$   $\langle x \cdot u = z \rangle$  **by auto**  
**from**  $\langle x \cdot x \cdot y^{\textcircled{a}} b = z \cdot z \cdot z \rangle$  [*unfolded this lassoc, folded z · w = x · x, unfolded this rassoc*]  
**have**  $w \cdot v \cdot u \cdot w \cdot y^{\textcircled{a}} b = w \cdot v \cdot u \cdot w \cdot v \cdot u \cdot w \cdot v \cdot u$ .  
**hence**  $y^{\textcircled{a}} b = v \cdot u \cdot w \cdot v \cdot u$   
**using** *pref-cancel* **by auto**

— Double period of  $uwv$

**from** *period-fac[OF - u w v ≠ ε, of v u |y|, unfolded rassoc, folded this]*  
**have** *period (u · w · v) |y|*  
**using** *pow-per[OF y ≠ ε 0 < b]* **by blast**  
**have**  $u \cdot w \cdot v = x \cdot v$   
**by** (*simp add: x = u · w*)  
**have**  $u \cdot w \cdot v = u \cdot x$

```

  by (simp add: ⟨w · v = x⟩)
  have u·w·v <p u · (u·w·v)
    using ⟨u · w · v = u · x⟩[unfolded ⟨x = u · w⟩] ⟨u ≠ ε⟩ triv-pref[of u · u · w v]
    by force
  have period (u·w·v) |u|
    using ⟨u·w·v <p u · (u·w·v)⟩ by auto

— Common period d
  obtain d::nat where d=gcd |y| |u|
    by simp
  have |y| + |u| ≤ |u·w·v| using ⟨|y| ≤ |x|⟩ lenmorph ⟨u·w·v = u · x⟩
    by simp
  hence period (u·w·v) d
    using ⟨period (u · w · v) |u|⟩ ⟨period (u · w · v) |y|⟩ ⟨d = gcd |y| |u|⟩ two-periods
    by blast

— Divisibility
  have v·u·z=yⓐb
    by (simp add: ⟨yⓐb = v · u · w · v · u⟩ ⟨z = w · v · u⟩)
  have |u| = |v|
    using ⟨x = u · w⟩ ⟨w · v = x⟩ lenmorph[of u w] lenmorph[of w v] add.commute[of
|u| |w|] add-left-cancel
    by simp
  hence d dvd |v| using gcd-nat.cobounded1[of |v| |y|] gcd.commute[of |y| |u|]
    by (simp add: ⟨d = gcd |y| |u|⟩)
  have d dvd |u|
    by (simp add: ⟨d = gcd |y| |u|⟩)
  have |z| + |u| + |v| = b*|y|
    using lenarg[OF ⟨v·u·z=yⓐb⟩, unfolded lenmorph pow-len] by auto
  from dvd-add-left-iff[OF ⟨d dvd |v|⟩, of |z|+|u|, unfolded this dvd-add-left-iff[OF
⟨d dvd |u|⟩, of |z|]]
  have d dvd |z|
    using ⟨d = gcd |y| |u|⟩ dvd-mult by blast
  from lenarg[OF ⟨z = w · v · u⟩, unfolded lenmorph pow-len]
  have d dvd |w|
    using ⟨d dvd |z|⟩ ⟨d dvd |u|⟩ ⟨d dvd |v|⟩ by (simp add: dvd-add-left-iff)
  hence d dvd |x|
    using ⟨d dvd |v|⟩ ⟨w · v = x⟩ by force

— x and y commute
  have x ≤p u·w·v
    by (simp add: ⟨x = u · w⟩)
  have period x d using per-pref'[OF ⟨x≠ε⟩ ⟨period (u·w·v) d⟩ ⟨x ≤p u · w·v⟩].
  hence x ∈ (take d x)*
    using ⟨d dvd |x|⟩
    using root-divisor by blast

  hence period u d using ⟨x = u · w⟩ per-pref'
    using ⟨period x d⟩ ⟨u ≠ ε⟩ by blast

```



**have**  $take\ d\ x = take\ d\ u$  **using**  $\langle u \neq \varepsilon \rangle \langle x = u \cdot w \rangle$  *pref-share-take*  
**by** (*simp add:  $\langle d = gcd\ |y|\ |u|\rangle$* )  
**from** *root-divisor*[*OF  $\langle period\ u\ d \rangle \langle d\ dvd\ |u|\rangle$ , folded this*]  
**have**  $u \in (take\ d\ x)^*$ .

**hence**  $z \in (take\ d\ x)^*$   
**using**  $\langle x \cdot u = z \rangle \langle x \in (take\ d\ x)^* \rangle$  *add-roots by blast*  
**from** *root-pref-cancel*[*OF - root-pow-root*[*OF  $\langle x \in take\ d\ x^* \rangle$ , of  $a$ ], of  $y^{\textcircled{a}}b$ ,  
*unfolded eq, OF root-pow-root*[*OF this, of  $c$* ]]  
**have**  $y^{\textcircled{a}}b \in (take\ d\ x)^*$ .  
**from** *comm-rootI*[*OF root-pow-root*[*OF  $\langle x \in take\ d\ x^* \rangle$ , of  $a$* ] *this*]  
**show**  $x \cdot y = y \cdot x$   
**unfolding** *comm-pow-roots*[*OF  $\langle 0 < a \rangle \langle 0 < b \rangle$ , of  $x\ y$* ].  
**qed***

The main proof is by induction on the length of  $z$ . It also uses the reverse symmetry of the equation which is exploited by two interpretations of the locale *LS*. Note also that the case  $|x^a| < |y^b|$  is solved by using induction on  $|z| + |y^b|$  instead of just on  $|z|$ .

**lemma** *Lyndon-Schutzenberger'*:

$\llbracket x^{\textcircled{a}}a \cdot y^{\textcircled{a}}b = z^{\textcircled{a}}c; \ 2 \leq a; \ 2 \leq b; \ 2 \leq c \rrbracket$   
 $\implies x \cdot y = y \cdot x$

**proof** (*induction  $|z| + b * |y|$  arbitrary:  $x\ y\ z\ a\ b\ c$  rule: less-induct*)  
**case less**

**have**  $0 < a$  **and**  $0 < b$   
**using**  $\langle 2 \leq a \rangle \langle 2 \leq b \rangle$  **by** *auto*

**have** *LSrev-eq*:  $rev\ y^{\textcircled{a}}\ b \cdot rev\ x^{\textcircled{a}}\ a = rev\ z^{\textcircled{a}}\ c$   
**using**  $\langle x^{\textcircled{a}}a \cdot y^{\textcircled{a}}b = z^{\textcircled{a}}c \rangle$   
**unfolding** *rev-append*[*symmetric*] *rev-pow*[*symmetric*]  
**by** *blast*

**have** *leneg*:  $a * |x| + b * |y| = c * |z|$   
**using** *lenarg*[*OF  $\langle x^{\textcircled{a}}a \cdot y^{\textcircled{a}}b = z^{\textcircled{a}}c \rangle$ ] **unfolding** *pow-len lenmorph.**

**show**  $x \cdot y = y \cdot x$

**proof**

**assume**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$

**show**  $x \cdot y = y \cdot x$

**proof** (*cases  $|x^{\textcircled{a}}a| < |y^{\textcircled{a}}b|$* )

— WLOG assumption

**assume**  $|x^{\textcircled{a}}a| < |y^{\textcircled{a}}b|$

**have**  $|rev\ z| + a * |rev\ x| < |z| + b * |y|$  **using**  $\langle |x^{\textcircled{a}}a| < |y^{\textcircled{a}}b| \rangle$

**by** (*simp add: pow-len*)

**from** *less.hyps*[*OF this LSrev-eq  $\langle 2 \leq b \rangle \langle 2 \leq a \rangle \langle 2 \leq c \rangle$ , symmetric*]

**show**  $x \cdot y = y \cdot x$

**unfolding** *rev-append[symmetric] rev-is-rev-conv by simp*  
**next**  
**assume**  $\neg |x^{\textcircled{a}}| < |y^{\textcircled{b}}|$  **hence**  $|y^{\textcircled{b}}| \leq |x^{\textcircled{a}}|$  **by force**  
— case solved by the Periodicity lemma  
**note** *minus = Suc-minus2[OF ‹2 ≤ a›] Suc-minus2[OF ‹2 ≤ b›]*  
**consider** (*with-Periodicity-lemma*)  
 $|z| + |x| \leq |x^{\textcircled{a}} \text{Suc}(\text{Suc}(a-2))| \vee |z| + |y| \leq |y^{\textcircled{b}} \text{Suc}(\text{Suc}(b-2))|$  |  
(*without-Periodicity-lemma*)  
 $|x^{\textcircled{a}} \text{Suc}(\text{Suc}(a-2))| < |z| + |x|$  **and**  $|y^{\textcircled{b}} \text{Suc}(\text{Suc}(b-2))| < |z| + |y|$   
**unfolding** *minus*  
**using** *not-le-imp-less by blast*  
**thus**  $x \cdot y = y \cdot x$   
**proof** (*cases*)  
**case** *with-Periodicity-lemma*  
**have**  $x = \varepsilon \vee \text{rev } y = \varepsilon \implies x \cdot y = y \cdot x$   
**by** *auto*  
**thus**  $x \cdot y = y \cdot x$   
**using** *LS-per-lemma-case[OF ‹x<sup>Ⓐ</sup>·y<sup>Ⓑ</sup> = z<sup>Ⓒ</sup>› ‹0 < a› ‹0 < b›]*  
*LS-per-lemma-case[OF LSrev-eq ‹0 < b› ‹0 < a›] with-Periodicity-lemma[unfolded*  
*minus]*  
**unfolding** *length-rev rev-append[symmetric] rev-is-rev-conv rev-pow[symmetric]*  
**by** *linarith*  
**next**  
**case** *without-Periodicity-lemma*  
**assume** *lenx: |x<sup>Ⓐ</sup> Suc (Suc (a-2))| < |z| + |x| and leny: |y<sup>Ⓑ</sup> Suc (Suc*  
*(b-2))| < |z| + |y|*  
**have**  $\text{Suc}(\text{Suc}(a-2)) * |x| + \text{Suc}(\text{Suc}(b-2)) * |y| < 4 * |z|$   
**using** *lenx leny unfolding pow-len by fastforce*  
**hence**  $c < 4$  **using** *leneq unfolding minus by auto*  
**consider** (*c-is-3*)  $c = 3$  | (*c-is-2*)  $c = 2$   
**using** *‹c < 4› ‹2 ≤ c› by linarith*  
**then show**  $x \cdot y = y \cdot x$   
**proof**(*cases*)  
**case** *c-is-3*  
**show**  $x \cdot y = y \cdot x$   
**using**  
*LS-core-case[OF ‹x<sup>Ⓐ</sup>·y<sup>Ⓑ</sup> = z<sup>Ⓒ</sup>› ‹2 ≤ a› ‹2 ≤ b› ‹2 ≤ c› ‹c = 3›*  
 $|y^{\textcircled{b}}| \leq |x^{\textcircled{a}}|$  [*unfolded pow-len*]  
— *lenx[unfolded pow-len minus] leny[unfolded pow-len minus]*  
 $\langle x \neq \varepsilon \rangle \langle y \neq \varepsilon \rangle$   
**by** *blast*  
**next**  
**assume**  $c = 2$   
**hence** *eq2: x<sup>Ⓐ</sup>·y<sup>Ⓑ</sup> = z·z*  
**by** (*simp add: ‹x<sup>Ⓐ</sup>·y<sup>Ⓑ</sup> = z<sup>Ⓒ</sup>›*)  
**from** *dual-order.trans le-cases[of |x<sup>Ⓐ</sup>·y<sup>Ⓑ</sup> = z·z| |z| |z| ≤ |x<sup>Ⓐ</sup>·y<sup>Ⓑ</sup>|, unfolded eq-len-iff[OF*  
*this]]*  
**have**  $|z| \leq |x^{\textcircled{a}}|$   
**using**  $\langle |y^{\textcircled{b}}| \leq |x^{\textcircled{a}}| \rangle$  **by** *blast*

**define**  $a'$  **where**  $a' \equiv a - 1$   
**have**  $Suc\ a' = a$  **and**  $1 \leq a'$   
**using**  $\langle 2 \leq a \rangle$  **unfolding**  $a'$ -*def* **by** *auto*  
**from**  $eq2$ [*folded*  $\langle Suc\ a' = a \rangle$ , *unfolded*  $pow$ - $Suc'$  *rassoc*]  $pow$ - $Suc'$ [*of*  $x\ a'$ ,  
*unfolded* *this*, *symmetric*]  
**have**  $eq3$ :  $x^{\textcircled{a}'} \cdot x \cdot y^{\textcircled{a}}\ b = z \cdot z$  **and**  $aa'$ :  $x^{\textcircled{a}'} \cdot a' \cdot x = x^{\textcircled{a}}\ a$  .  
**hence**  $|x^{\textcircled{a}'}| < |z|$   
**using**  $\langle Suc\ a' = a \rangle$   $lenx$  **unfolding**  $pow$ - $len$  *minus* **by** *fastforce*  
**hence**  $|x| < |z|$   
**using**  $mult$ - $le$ - $mono$ [*of*  $1\ a'$   $|z|$   $|x|$ ,  $OF\ \langle 1 \leq a' \rangle$ ,  $THEN\ leD$ ] **unfolding**  
 $pow$ - $len$   
**by** *linarith*  
**obtain**  $u\ w$  **where**  $x^{\textcircled{a}'} \cdot u = z$  **and**  $w \cdot y^{\textcircled{a}}\ b = z$   
**using**  $eqdE$ [ $OF\ eq3$ [*unfolded* *rassoc*]  $less$ - $imp$ - $le$ [ $OF\ \langle |x^{\textcircled{a}'}| < |z| \rangle$ ], *of*  
*thesis*]  
 $eqdE$ [ $OF\ eq2$ [*symmetric*]  $\langle |z| \leq |x^{\textcircled{a}}\ a \rangle$ ], *of* *thesis*] **by** *fast*  
  
**have**  $x^{\textcircled{a}'} \cdot x \cdot y^{\textcircled{a}}\ b = x^{\textcircled{a}'} \cdot u \cdot w \cdot y^{\textcircled{a}}\ b$   
**unfolding** *lassoc*  $\langle x^{\textcircled{a}'} \cdot u = z \rangle\ \langle w \cdot y^{\textcircled{a}}\ b = z \rangle$   $aa'$   $eq2$  *cancel*..  
**hence**  $u \cdot w = x$   
**by** *auto*  
**hence**  $|w \cdot u| = |x|$   
**using**  $swap$ - $len$  **by** *blast*

— Induction step: new equation with shorter  $z$

**have**  $w^{\textcircled{2}} \cdot y^{\textcircled{a}}\ b = (w \cdot u)^{\textcircled{a}}\ a$   
**unfolding**  $pow$ - $two$  **using**  $\langle w \cdot y^{\textcircled{a}}\ b = z \rangle\ \langle x^{\textcircled{a}'} \cdot u = z \rangle\ \langle u \cdot w = x \rangle$   
 $pow$ - $slide$ [*of*  $w\ u\ a'$ , *unfolded*  $\langle Suc\ a' = a \rangle$ ] **by** *simp*  
**from**  $less$ . $hyp$ s[ $OF$  - *this* -  $\langle 2 \leq b \rangle\ \langle 2 \leq a \rangle$ , *unfolded*  $\langle |w \cdot u| = |x| \rangle$ ]  
**have**  $y \cdot w = w \cdot y$   
**using**  $\langle |x| < |z| \rangle$  **by** *force*  
  
**have**  $y \cdot z = z \cdot y$   
**unfolding**  $\langle w \cdot y^{\textcircled{a}}\ b = z \rangle$ [*symmetric*] *lassoc*  $\langle y \cdot w = w \cdot y \rangle$   
**by** (*simp* *add*:  $pow$ -*comm*)  
**hence**  $z^{\textcircled{a}}\ c \cdot y^{\textcircled{a}}\ b = y^{\textcircled{a}}\ b \cdot z^{\textcircled{a}}\ c$   
**by** (*simp* *add*:  $comm$ -*add*-*exps*)  
**from** *this*[*folded*  $\langle x^{\textcircled{a}'} \cdot y^{\textcircled{a}}\ b = z^{\textcircled{a}}\ c \rangle$ , *unfolded* *lassoc*]  
**have**  $x^{\textcircled{a}}\ a \cdot y^{\textcircled{a}}\ b = y^{\textcircled{a}}\ b \cdot x^{\textcircled{a}}\ a$   
**using** *cancel*-*right* **by** *blast*  
**from** *this*[*unfolded*  $comm$ - $pow$ - $roots$ [ $OF\ \langle 0 < a \rangle\ \langle 0 < b \rangle$ ]]  
**show**  $x \cdot y = y \cdot x$ .  
**qed**  
**qed**  
**qed**  
**qed**  
**qed**

**theorem** *Lyndon-Schutzenberger*:

**assumes**  $x^{\textcircled{a}} \cdot y^{\textcircled{b}} = z^{\textcircled{c}}$  **and**  $2 \leq a$  **and**  $2 \leq b$  **and**  $2 \leq c$   
**shows**  $x \cdot y = y \cdot x$  **and**  $x \cdot z = z \cdot x$  **and**  $y \cdot z = z \cdot y$   
**proof**–  
**show**  $x \cdot y = y \cdot x$   
**using** *Lyndon-Schutzenberger'*[*OF assms*].  
**have**  $0 < c$  **and**  $0 < b$   
**using**  $\langle 2 \leq c \rangle \langle 2 \leq b \rangle$  **by** *auto*  
**have**  $x \cdot x^{\textcircled{a}} \cdot y^{\textcircled{b}} = x^{\textcircled{a}} \cdot y^{\textcircled{b}} \cdot x$  **and**  $y \cdot x^{\textcircled{a}} \cdot y^{\textcircled{b}} = x^{\textcircled{a}} \cdot y^{\textcircled{b}} \cdot y$   
**unfolding** *comm-add-exp*[*OF*  $\langle x \cdot y = y \cdot x \rangle$ [*symmetric*], *of*  $b$ ]  
**unfolding** *lassoc pow-comm comm-add-exp*[*OF*  $\langle x \cdot y = y \cdot x \rangle$ , *symmetric*, *of*  
*a*] **by** *blast+*  
**thus**  $x \cdot z = z \cdot x$  **and**  $y \cdot z = z \cdot y$   
**using** *comm-drop-exp*[*OF*  $\langle 0 < c \rangle$ ] **unfolding** *lassoc*  $\langle x^{\textcircled{a}} \cdot y^{\textcircled{b}} = z^{\textcircled{c}} \rangle$  **by**  
*metis+*  
**qed**  
**hide-fact** *Lyndon-Schutzenberger' LS-core-case*

### 6.2.1 Some alternative formulations.

**lemma** *Lyndon-Schutzenberger-conjug*: **assumes**  $u \sim v$  **and**  $\neg$  *primitive*  $(u \cdot v)$   
**shows**  $u \cdot v = v \cdot u$   
**proof**–  
**obtain**  $r \ s$  **where**  $u = r \cdot s$  **and**  $v = s \cdot r$   
**using**  $\langle u \sim v \rangle$  **by** *blast*  
**have**  $u \cdot v \sim r^{\textcircled{2}} \cdot s^{\textcircled{2}}$   
**using** *conjugI'*[*of*  $r \cdot s \cdot s \cdot r$ ] **unfolding**  $\langle u = r \cdot s \rangle \langle v = s \cdot r \rangle$  *pow-two rassoc*.  
**hence**  $\neg$  *primitive*  $(r^{\textcircled{2}} \cdot s^{\textcircled{2}})$   
**using**  $\langle \neg$  *primitive*  $(u \cdot v) \rangle$  *prim-conjug* **by** *auto*  
**from** *not-prim-primroot-expE*[*OF* *this*, *of*  $r \cdot s = s \cdot r$ ]  
**have**  $r \cdot s = s \cdot r$   
**using** *Lyndon-Schutzenberger(1)*[*of*  $r \ s \ s \ 2$ , *OF* - *order.refl order.refl*] **by** *metis*  
**thus**  $u \cdot v = v \cdot u$   
**using**  $\langle u = r \cdot s \rangle \langle v = s \cdot r \rangle$  **by** *presburger*  
**qed**

**lemma** *Lyndon-Schutzenberger-prim*: **assumes**  $\neg$  *primitive*  $x$  **and**  $\neg$  *primitive*  $y$   
**and**  $\neg$  *primitive*  $(x \cdot y)$   
**shows**  $x \cdot y = y \cdot x$   
**proof**  
**assume**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$   
**from** *not-prim-primroot-expE*[*OF*  $\langle \neg$  *primitive*  $y \rangle$ ]  
**obtain**  $m$  **where**  $\varrho \ y^{\textcircled{m}} = y$  **and**  $2 \leq m$ .  
**from** *not-prim-primroot-expE*[*OF*  $\langle \neg$  *primitive*  $x \rangle$ ]  
**obtain**  $k$  **where**  $\varrho \ x^{\textcircled{k}} = x$  **and**  $2 \leq k$ .  
**from** *not-prim-primroot-expE*[*OF*  $\langle \neg$  *primitive*  $(x \cdot y) \rangle$ ]  
**obtain**  $l$  **where**  $\varrho \ (x \cdot y)^{\textcircled{l}} = x \cdot y$  **and**  $2 \leq l$ .  
**from** *Lyndon-Schutzenberger(1)*[*of*  $\varrho \ x \ k \ \varrho \ y \ m \ \varrho \ (x \cdot y) \ l$ ,  
*OF* -  $\langle 2 \leq k \rangle \langle 2 \leq m \rangle \langle 2 \leq l \rangle$ ]  
**show**  $x \cdot y = y \cdot x$

**unfolding**  $\langle \varrho y^{\textcircled{m}} = y \rangle \langle \varrho x^{\textcircled{k}} = x \rangle \langle \varrho(x \cdot y)^{\textcircled{l}} = x \cdot y \rangle$   
*comp-primroot-conv'*[of  $x y$ ] **by** *blast*  
**qed**

**lemma** *Lyndon-Schutzenberger-rotate*: **assumes**  $x^{\textcircled{c}} = r^{\textcircled{k}} \cdot u^{\textcircled{b}} \cdot r^{\textcircled{k'}}$   
**and**  $2 \leq b$  **and**  $2 \leq c$  **and**  $0 < k$  **and**  $0 < k'$   
**shows**  $u \cdot r = r \cdot u$

**proof**(*rule comm-drop-exps*)  
**show**  $u^{\textcircled{b}} \cdot r^{\textcircled{k'+k}} = r^{\textcircled{k'+k}} \cdot u^{\textcircled{b}}$   
**proof**(*rule Lyndon-Schutzenberger-prim*)  
**have**  $2 \leq (k' + k)$   
**using**  $\langle 0 < k \rangle \langle 0 < k' \rangle$  **by** *simp*  
**from** *pow-nemp-imprim*[OF  $\langle 2 \leq b \rangle$ ] *pow-nemp-imprim*[OF *this*]  
**show**  $\neg$  *primitive* ( $u^{\textcircled{b}}$ ) **and**  $\neg$  *primitive* ( $r^{\textcircled{k'+k}}$ )  
**unfolding** *Suc-minus2*[OF  $\langle 2 \leq b \rangle$ ].  
**from** *pow-nemp-imprim*[OF  $\langle 2 \leq c \rangle$ ]  
**have**  $\neg$  *primitive* ( $r^{\textcircled{k}} \cdot u^{\textcircled{b}} \cdot r^{\textcircled{k'}}$ )  
**unfolding** *assms*(1)[*symmetric*].  
**from** *this*[*unfolded conjug-prim-iff*[OF *conjugI'*[of  $r^{\textcircled{k}} u^{\textcircled{b}} \cdot r^{\textcircled{k'}}$ ] *rassoc*]  
**show**  $\neg$  *primitive* ( $u^{\textcircled{b}} \cdot r^{\textcircled{k'+k}}$ )  
**unfolding** *add-exps*[*symmetric*] **by** *force*  
**qed**  
**qed** (*use assms in force*)+

## 6.3 Parametric solution of the equation $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$

### 6.3.1 Auxiliary lemmas

**lemma** *xjy-imprim-len*: **assumes**  $x \cdot y \neq y \cdot x$  **and** *eq*:  $x^{\textcircled{j}} \cdot y = z^{\textcircled{l}}$  **and**  $2 \leq j$   
**and**  $2 \leq l$

**shows**  $|x^{\textcircled{j}}| < |y| + 2*|x|$  **and**  $|z| < |x| + |y|$  **and**  $|x| < |z|$  **and**  $|x^{\textcircled{j}}| < |z| + |x|$

**proof**–

**define**  $j'$  **where**  $j' \equiv j - 2$   
**have**  $0 < j j = \text{Suc}(\text{Suc } j')$   
**unfolding**  $j'$ -*def* **using**  $\langle 2 \leq j \rangle$  **by** *force*+  
**from** *LS-per-lemma-case*[of - - - 1, *unfolded pow-1*, OF *eq*  $\langle 0 < j \rangle$ ]  
**show**  $|x^{\textcircled{j}}| < |z| + |x|$   
**using**  $\langle x \cdot y \neq y \cdot x \rangle$  **by** *linarith*  
**from** *lenarg*[OF *eq*, *unfolded lenmorph*, *unfolded pow-len*]  
*add-less-mono1*[OF *this*, of  $|y|$ , *unfolded pow-len*]  
**show**  $|z| < |x| + |y|$   
**using** *mult-le-mono1*[OF  $\langle 2 \leq l \rangle$ , *unfolded mult-2*, of  $|z|$ ] **by** *linarith*  
**with**  $\langle |x^{\textcircled{j}}| < |z| + |x| \rangle$   
**show**  $|x^{\textcircled{j}}| < |y| + 2*|x|$  **and**  $|x| < |z|$   
**unfolding**  $\langle j = \text{Suc}(\text{Suc } j') \rangle$  *pow-Suc lenmorph mult-2* **by** *linarith*+  
**qed**

**lemma case-j1k1: assumes**  
*eq:  $x \cdot y = z^{\textcircled{l}}$  and*  
*non-comm:  $x \cdot y \neq y \cdot x$  and*  
*l-min:  $2 \leq l$*   
**obtains  $r \ q \ m \ n$  where**  
 *$x = (r \cdot q)^{\textcircled{m}} \cdot r$  and*  
 *$y = q \cdot (r \cdot q)^{\textcircled{n}}$  and*  
 *$z = r \cdot q$  and*  
 *$l = m + n + 1$  and  $r \cdot q \neq q \cdot r$  and  $|x| + |y| \geq 4$*   
**proof-**  
**have  $0 < l \ y \neq \varepsilon$**   
**using l-min non-comm by force+**  
**from split-pow[OF eq this]**  
**obtain  $r \ q \ m \ n$  where**  
 *$x: x = (r \cdot q)^{\textcircled{m}} \cdot r$  and*  
 *$y: y = (q \cdot r)^{\textcircled{n}} \cdot q$  and*  
 *$z: z = r \cdot q$  and*  
 *$l: l = m + n + 1.$*   
**from non-comm[unfolded x y]**  
**have  $r \cdot q \neq q \cdot r$**   
**unfolding shifts**  
**unfolding lassoc add-exps[symmetric] pow-Suc[symmetric] add.commute[of m]**  
**by force**  
**hence  $r \neq \varepsilon$  and  $q \neq \varepsilon$**   
**by blast+**  
**have  $2 \leq |r \cdot q|$**   
**using nemp-pos-len[OF  $\langle r \neq \varepsilon \rangle$ ] nemp-pos-len[OF  $\langle q \neq \varepsilon \rangle$ ]**  
**unfolding lenmorph by linarith**  
**have  $|x| + |y| \geq 4$**   
**unfolding x y lenmorph[symmetric] shifts**  
**unfolding add-exps[symmetric] lassoc lenmorph[of  $r \cdot q$ ]**  
*mult-Suc[symmetric] pow-len Suc-eq-plus1 l[symmetric]*  
**using mult-le-mono[OF  $\langle 2 \leq l \rangle \langle 2 \leq |r \cdot q| \rangle$ ]**  
**by presburger**  
**from that[OF x y[unfolded shift-pow] z l  $\langle r \cdot q \neq q \cdot r \rangle$  this]**  
**show thesis.**  
**qed**

### 6.3.2 $x$ is longer

We set up a locale representing the Lyndon-Schützenberger Equation with relaxed exponents and a length assumption breaking the symmetry.

**locale LS-len-le = binary-code x y for x y +**  
**fixes j k l z**  
**assumes**  
*y-le-x:  $|y| \leq |x|$*   
**and eq:  $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$**   
**and l-min:  $2 \leq l$**   
**and j-min:  $1 \leq j$**

**and**  $k\text{-min}$ :  $1 \leq k$   
**begin**

**lemma**  $jk\text{-small}$ : **obtains**  $j = 1 \mid k = 1$   
**using** *Lyndon-Schutzenberger(1)*[*OF eq - - l-min*]  
*le-neq-implies-less*[*OF j-min*]  
*le-neq-implies-less*[*OF k-min*]  
*non-comm*  
**unfolding** *One-less-Two-le-iff*  
**by** *blast*

**case**  $2 \leq j$

**lemma**  $case\text{-}j2k1$ : **assumes**  $2 \leq j$   $k = 1$   
**obtains**  $r$   $q$   $t$  **where**  
 $(r \cdot q)^\text{@} t \cdot r = x$  **and**  
 $q \cdot r \cdot r \cdot q = y$  **and**  
 $(r \cdot q)^\text{@} t \cdot r \cdot r \cdot q = z$  **and**  $2 \leq t$   
 $j = 2$  **and**  $l = 2$  **and**  $r \cdot q \neq q \cdot r$  **and**  
*primitive*  $x$  **and** *primitive*  $y$

**proof**–

**note**  $eq' = eq[\text{unfolded } \langle k = 1 \rangle \text{ pow-1}]$

**note**  $xjy\text{-imprim-len}[\text{OF non-comm eq}[\text{unfolded } \langle k = 1 \rangle \text{ pow-1}] \langle 2 \leq j \rangle \text{ l-min}]$

**obtain**  $j'$  **where**  $j = \text{Suc } (\text{Suc } j')$

**using**  $\langle 2 \leq j \rangle$  **using** *at-least2-Suc* **by** *metis*

**hence**  $0 < j$  **by** *blast*

**from**  $lenarg[\text{OF } eq', \text{ unfolded } \text{lenmorph}, \text{ unfolded } \text{pow-len}]$

*add-less-mono1*[*OF*  $\langle |x^\text{@}j| < |z| + |x| \rangle$ , *of*  $|y|$ , *unfolded pow-len*]

**have**  $l * |z| < 3 * |z|$

**using**  $\langle |x| < |z| \rangle$   $y\text{-le-}x$  **by** *linarith*

**hence**  $l = 2$

**using**  $l\text{-min}$  **by** *simp*

**from**  $\langle |x^\text{@}j| < |z| + |x| \rangle$  *add-less-mono1*[*OF*  $\langle |z| < |x| + |y| \rangle$ , *of*  $|x|$ ]  $y\text{-le-}x$

**have**  $j' * |x| < |x|$

**unfolding**  $\langle j = \text{Suc } (\text{Suc } j') \rangle$   $\text{pow-Suc } \text{lenmorph } \text{pow-len}$  **by** *linarith*

**hence**  $j = 2$

**using**  $\langle j = \text{Suc } (\text{Suc } j') \rangle$  **by** *simp*

**note**  $eq[\text{ unfolded } \langle k = 1 \rangle \text{ pow-1 } \langle j = 2 \rangle \langle l = 2 \rangle \text{ pow-two } \text{rassoc}]$

**from**  $eqd[\text{OF this less-imp-le}[\text{OF } \langle |x| < |z| \rangle]]$

**obtain**  $p$  **where**  $x \cdot p = z$  **and**  $p \cdot z = x \cdot y$

**by** *blast*

**from**  $eqd[\text{OF } \langle p \cdot z = x \cdot y \rangle [\text{folded } \langle x \cdot p = z \rangle, \text{ unfolded } \text{lassoc}, \text{ symmetric}]]$

**obtain**  $s$  **where**  $x \cdot s = p \cdot x$  **and**  $s \cdot p = y$

**by** *auto*

**have**  $p \neq \varepsilon$

**using**  $\langle x \cdot p = z \rangle$   $\langle |x| < |z| \rangle$  **by** *fastforce*

**have**  $s \neq \varepsilon$

**using**  $\langle p \neq \varepsilon \rangle \langle x \cdot s = p \cdot x \rangle$  **by force**  
**from** *conjug-eqE*[*OF*  $\langle x \cdot s = p \cdot x \rangle$  [*symmetric*]  $\langle p \neq \varepsilon \rangle$ ]  
**obtain**  $r \ q \ t$  **where**  $r \cdot q = p$  **and**  $q \cdot r = s$  **and**  $(r \cdot q)^{\textcircled{t}} \cdot r = x$  **and**  $q \neq \varepsilon$ .  
**note**  $\langle s \cdot p = y \rangle$  [*folded*  $\langle q \cdot r = s \rangle \langle r \cdot q = p \rangle$ , *unfolded rassoc*]  
**from** *y-le-x* [*folded this*  $\langle (r \cdot q)^{\textcircled{t}} \cdot r = x \rangle$ , *unfolded lenmorph pow-len*] *nemp-len*[*OF*  
 $\langle q \neq \varepsilon \rangle$   
*add-le-mono1*[*OF mult-le-mono1*[*of t 1*  $|r| + |q|$ , *unfolded mult-1*], *of*  $|r|$ ]  
**have**  $2 \leq t$   
**by** *linarith*  
**from**  $\langle p \cdot z = x \cdot y \rangle$  [*folded*  $\langle q \cdot r \cdot r \cdot q = y \rangle \langle (r \cdot q)^{\textcircled{t}} \cdot r = x \rangle \langle r \cdot q = p \rangle$ ,  
*symmetric*]  
**have**  $z : (r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q = z$   
**by** *comparison*

—  $y$  is primitive due to the Lyndon-Schutzenberger

**from** *comm-drop-exp*[*OF*  $\langle 0 < j \rangle$ , *of*  $y \ x \ j$ , *unfolded eq<sup>1</sup>*]  
**have** *primitive y*  
**using** *Lyndon-Schutzenberger-prim*[*OF pow-nemp-imprim*[*OF*  $\langle 2 \leq j \rangle$ ], *of*  $y \ x$ ,  
*unfolded eq<sup>1</sup>*, *OF - pow-nemp-imprim*[*OF l-min*]] *non-comm* **by argo**

**hence**  $q \cdot r \neq r \cdot q$   
**using**  $\langle p \neq \varepsilon \rangle \langle q \cdot r = s \rangle \langle r \cdot q = p \rangle \langle s \cdot p = y \rangle$  *comm-not-prim*[*OF*  $\langle s \neq \varepsilon \rangle$   
 $\langle p \neq \varepsilon \rangle$ ] **by argo**

— primitivity of  $x$  using  $\llbracket \leq_p \ ?u \ (\ ?p \cdot \ ?u) ; \ ?p \neq \varepsilon ; \ 2 * |?p| \leq |?u| \rrbracket \implies \textit{primitive}$   
 $\ ?u = (\ ?u \cdot \ ?p \neq \ ?p \cdot \ ?u)$

**thm** *per-le-prim-iff*[*of x p*]  
**have**  $x \leq_p p \cdot x$   
**unfolding**  $\langle (r \cdot q)^{\textcircled{t}} \cdot r = x \rangle$  [*symmetric*]  $\langle r \cdot q = p \rangle$  [*symmetric*]  
**by** *comparison*  
**have**  $2 * |p| \leq |x|$   
**unfolding**  $\langle (r \cdot q)^{\textcircled{t}} \cdot r = x \rangle$  [*symmetric*]  $\langle r \cdot q = p \rangle$  [*symmetric*] *lenmorph pow-len*  
**using** *mult-le-mono1*[*OF*  $\langle 2 \leq t \rangle$ , *of*  $(|r| + |q|)$ ] **by** *linarith*  
**have** [*symmetric*]:  $p \cdot x \neq x \cdot p$   
**unfolding**  $\langle (r \cdot q)^{\textcircled{t}} \cdot r = x \rangle$  [*symmetric*]  $\langle r \cdot q = p \rangle$  [*symmetric*] *lassoc pow-comm* [*symmetric*]  
**unfolding** *rassoc cancel* **by fact**  
**with** *per-le-prim-iff*[*OF*  $\langle x \leq_p p \cdot x \rangle \langle p \neq \varepsilon \rangle \langle 2 * |p| \leq |x| \rangle$ ]  
**have** *primitive x*  
**by** *blast*

**from** *that*[*OF*  $\langle (r \cdot q)^{\textcircled{t}} \cdot r = x \rangle \langle q \cdot r \cdot r \cdot q = y \rangle \ z \ \langle 2 \leq t \rangle$   
 $\langle j = 2 \rangle \langle l = 2 \rangle \langle q \cdot r \neq r \cdot q \rangle$  [*symmetric*]  $\langle \textit{primitive x} \rangle \langle \textit{primitive y} \rangle$ ]  
**show** *thesis*.

qed



**case**  $j = 1$

**lemma** *case-j1k2-primitive*: **assumes**  $j = 1 \ 2 \leq k$

**shows** *primitive*  $x$

**using** *Lyndon-Schutzenberger-prim*[*OF - pow-nemp-imprim*  
*pow-nemp-imprim*[*OF l-min, of z, folded eq*], *OF - <2 ≤ k>*]  
*comm-pow-roots*[*of j k x y*] *k-min non-comm*

**unfolding**  $\langle j = 1 \rangle$  *pow-1*

**by** *linarith*

**lemma** *case-j1k2-a*: **assumes**  $j = 1 \ 2 \leq k \ z \leq s \ y^{\textcircled{k}}$

**obtains**  $r \ q \ t$  **where**

$x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}})^{\textcircled{l-2}} \cdot$   
 $((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-2}}) \cdot r \cdot q$  **and**

$y = r \cdot (q \cdot r)^{\textcircled{t}}$  **and**

$z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}}$  **and**  $\langle 0 < t \rangle$  **and**  $r \cdot q \neq q \cdot r$

**proof**–

**have**  $z \neq \varepsilon$

**using** *assms(1) bin-fst-nemp eq* **by** *force*

**have**  $0 < k \ 0 < k - 1$

**using**  $\langle 2 \leq k \rangle$  **by** *linarith+*

**have**  $0 < l \ 0 < l - 1$

**using** *l-min* **by** *linarith+*

**from** *LS-per-lemma-case*[*reversed, OF eq <0 < k>, unfolded <j = 1>*]

**have** *perlem*:  $|y^{\textcircled{k}}| < |z| + |y|$

**using** *non-comm*

**by** *linarith*

**obtain**  $v$  **where**  $y^{\textcircled{k}} = v \cdot z$

**using**  $\langle z \leq s \ y^{\textcircled{k}} \rangle$  *suffix-def* **by** *blast*

**have**  $|v| < |y|$

**using** *perlem*[*unfolded lenarg*[*OF <y^{\textcircled{k}} = v \cdot z>*] *lenmorph*]

**by** *simp*

**have**  $v <_p y$

**using** *prefI*[*OF <y^{\textcircled{k}} = v \cdot z>*[*symmetric*]]

**unfolding** *pow-pos*[*OF <0 < k>*]

**using** *pref-prod-less*[*OF - <|v| < |y|>*]

**by** *blast*

**obtain**  $u$  **where**  $v \cdot u = y \ u \neq \varepsilon$

**using**  $\langle v <_p y \rangle$  *spref-exE* **by** *blast*

**have**  $z = u \cdot y^{\textcircled{k-1}}$

**using**  $\langle y^{\textcircled{k}} = v \cdot z \rangle$ [*unfolded pow-pos*[*OF <0 < k>*],

*folded <v \cdot u = y>*, *unfolded rassoc cancel*,

*unfolded <v \cdot u = y>*, *symmetric*].

**note** *eq*[*unfolded pow-pos*'[*OF <0 < l>*]  $\langle y^{\textcircled{k}} = v \cdot z \rangle$  *lassoc cancel-right*

$\langle j = 1 \rangle$  *pow-1*]

**obtain**  $u'$  **where**  $u'.v = y$   
**proof-**  
**have**  $v \leq_s z^{\textcircled{a}}(l-1)$   
**using**  $\langle x \cdot v = z^{\textcircled{a}}(l-1) \rangle$  **by** *blast*  
**moreover have**  $y \leq_s z^{\textcircled{a}}(l-1)$   
**unfolding**  $\langle z = u.y^{\textcircled{a}}(k-1) \rangle$  *pow-pos'[OF  $\langle 0 < k - 1 \rangle$ ]*  
*pow-pos'[OF  $\langle 0 < l - 1 \rangle$ ]* *lassoc*  
**by** *blast*  
**ultimately have**  $v \leq_s y$   
**using** *order-less-imp-le[OF  $\langle |v| < |y| \rangle$ ]* *suffix-length-suffix* **by** *blast*  
**thus** *thesis*  
**using** *sufD* **that** **by** *blast*  
**qed**  
**hence**  $u' \neq \varepsilon$   
**using**  $\langle v <_p y \rangle$  **by** *force*

**from** *conjugation[OF  $\langle u'.v = y \rangle$ ]* *folded  $\langle v.u = y \rangle$*   $\langle u' \neq \varepsilon \rangle$   
**obtain**  $r \ q \ t$  **where**  $r \cdot q = u' \ q \cdot r = u \ (r \cdot q)^{\textcircled{a}} \ t \cdot r = v$   
**by** *blast*

**have**  $y: y = r \cdot (q \cdot r)^{\textcircled{a}} \ \text{Suc } t$   
**using**  $\langle u' \cdot v = y \rangle$  *symmetric, folded  $\langle (r \cdot q)^{\textcircled{a}} \ t \cdot r = v \rangle$*   $\langle r \cdot q = u' \rangle$   
**unfolding** *rassoc pow-slide[symmetric]*.  
**have**  $z: z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a}} \ \text{Suc } t)^{\textcircled{a}} \ (k - 1)$   
**using**  $\langle q \cdot r = u \rangle$   $\langle z = u \cdot y^{\textcircled{a}}(k-1) \rangle$   $y$  **by** *blast*

**let**  $?x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a}} \ \text{Suc } t)^{\textcircled{a}} \ (k - 1))^{\textcircled{a}} \ (l - 2) \cdot$   
 $((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a}} \ \text{Suc } t)^{\textcircled{a}} \ (k - 2)) \cdot r \cdot q$   
**have**  $?x \cdot v = z^{\textcircled{a}}(l-1)$   
**unfolding**  $z \ \langle (r \cdot q)^{\textcircled{a}} \ t \cdot r = v \rangle$  *symmetric* *pow-pos'[OF  $\langle 0 < k - 1 \rangle$ ]*  
*pow-pos'[OF  $\langle 0 < l - 1 \rangle$ ]* *diff-diff-left nat-1-add-1*  
**by** *(simp only: shifts)*  
**from**  $\langle x \cdot v = z^{\textcircled{a}}(l-1) \rangle$  *folded this*  
**have**  $x: x = ?x$   
**by** *blast*

**have**  $z \cdot y \neq y \cdot z$   
**using** *non-comm*  
**using** *comm-add-exp[of z y l, folded eq,*  
*unfolded rassoc pow-comm, unfolded lassoc cancel-right*  
 $\langle j = 1 \rangle$  *pow-1]*  
**by** *blast*  
**hence**  $r \cdot q \neq q \cdot r$   
**unfolding**  $\langle q \cdot r = u \rangle$   $\langle r \cdot q = u' \rangle$   $\langle u' \cdot v = y \rangle$  *symmetric*  
 $\langle z = u \cdot y^{\textcircled{a}}(k-1) \rangle$  *pow-pos'[OF  $\langle 0 < k \rangle$ ]* *rassoc*  
 $\langle y^{\textcircled{a}} \ k = v \cdot z \rangle$  *unfolded  $\langle u' \cdot v = y \rangle$*  *symmetric*  
 $\langle z = u \cdot y^{\textcircled{a}}(k-1) \rangle$ , *symmetric* *cancel-right..*

**show** *thesis*  
**using** *that[OF  $x \ y \ z - \langle r \cdot q \neq q \cdot r \rangle$ ]* **by** *blast*

qed

**lemma case-j1k2-b:** *assumes*  $j = 1 \ 2 \leq k \ y^{\textcircled{a}}k < s \ z$

*obtains*  $q$  **where**

$x = (q \cdot y^{\textcircled{a}}k)^{\textcircled{a}}(l-1) \cdot q$  **and**

$z = q \cdot y^{\textcircled{a}}k$  **and**

$q \cdot y \neq y \cdot q$

**proof**–

**obtain**  $q$  **where**  $z = q \cdot y^{\textcircled{a}}k \ q \neq \varepsilon$

**using** *ssufD*[*OF*  $\langle y^{\textcircled{a}}k < s \ z \rangle$ ]

**unfolding** *suffix-def*

**by** *blast*

**have**  $0 < l$  **using** *l-min* **by** *linarith*

**have**  $x = (q \cdot y^{\textcircled{a}}k)^{\textcircled{a}}(l-1) \cdot q$

**using** *eq*[*unfolded pow-pos'*[*OF*  $\langle 0 < l \rangle$ ]  $\langle j = 1 \rangle$  *pow-1*,

*unfolded*  $\langle z = q \cdot y^{\textcircled{a}}k \rangle$  *lassoc cancel-right*].

**have**  $q \cdot y \neq y \cdot q$

**using**

*comm-trans*[*OF* - -  $\langle q \neq \varepsilon \rangle$ , *of*  $y \ x$ ] *conjug-pow*[*of*  $y \ q \ y \ k$ , *symmetric*]

*conjug-pow*[*of*  $q \cdot y^{\textcircled{a}}k \ q \ q \cdot y^{\textcircled{a}}k \ l-1$ ] *non-comm*

**unfolding** *append-same-eq*[*symmetric*, *of*  $\langle (q \cdot y^{\textcircled{a}}k)^{\textcircled{a}}(l-1) \cdot q \rangle \langle q \cdot (q \cdot y^{\textcircled{a}}k)^{\textcircled{a}}(l-1) \cdot q \rangle$ ]

**unfolding**  $\langle x = (q \cdot y^{\textcircled{a}}k)^{\textcircled{a}}(l-1) \cdot q \rangle$  *rassoc*

**by** *argo*

**show** *?thesis*

**using**  $\langle x = (q \cdot y^{\textcircled{a}}k)^{\textcircled{a}}(l-1) \cdot q \rangle \langle z = q \cdot y^{\textcircled{a}}k \rangle \langle q \cdot y \neq y \cdot q \rangle$  **that** **by** *blast*

qed

### 6.3.3 Putting things together

**lemma solution-cases:** *obtains*

$j = 2 \ k = 1 \ |$

$j = 1 \ 2 \leq k \ z < s \ y^{\textcircled{a}}k \ |$

$j = 1 \ 2 \leq k \ y^{\textcircled{a}}k < s \ z \ |$

$j = 1 \ k = 1$

**proof**–

**have**  $0 < l \ 0 < l-1$

**using** *l-min* **by** *linarith+*

**have**  $0 < k$

**using** *k-min* **by** *linarith*

**have**  $0 < j$

**using** *j-min* **by** *linarith*

**have**  $z \neq \varepsilon$

**using** *eq nemp-pow-nemp*[*of*  $z \ l$ ] *bin-fst-nemp*[*folded nonzero-pow-emp*[*OF*  $\langle 0 < j \rangle$ , *of*  $x$ ], *THEN pref-nemp*]

**by** *force*

**have**  $z \neq y^{\textcircled{a}}k$

**proof**

**assume**  $z = y^{\textcircled{a}}k$

**with** *eq*[*unfolded pow-pos'*[*OF*  $\langle 0 < l \rangle$ ], *folded this*, *unfolded cancel-right*]  
**have**  $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = y^{\textcircled{k}} \cdot x^{\textcircled{j}}$   
**using** *pow-comm* **by** *auto*  
**from** *comm-drop-exps*[*OF this*  $\langle 0 < j \rangle \langle 0 < k \rangle$ ]  
**show** *False*  
**using** *non-comm* **by** *blast*  
**qed**  
**consider**  
 $2 \leq j \ k = 1 \mid$   
 $j = 1 \ 2 \leq k \mid$   
 $j = 1 \ k = 1$   
**using** *jk-small j-min k-min le-neq-implies-less*  
**unfolding** *One-less-Two-le-iff*[*symmetric*]  
**by** *metis*  
**moreover consider**  $z <_s y^{\textcircled{k}} \mid y^{\textcircled{k}} <_s z$   
**using** *suffix-order.less-le*  
*triv-suf*[*of*  $y^{\textcircled{k}} x^{\textcircled{j}}$ , *unfolded eq*, *THEN suf-prod-root*,  
*THEN ruler-suf*]  $\langle z \neq y^{\textcircled{k}} \rangle$   
**by** *blast*  
**moreover consider**  $j = 1 \mid j = 2$   
**using** *case-j2k1*[*of thesis*] *calculation(1)* **by** *blast*  
**ultimately show** *?thesis*  
**using** *that*  
**by** *metis*  
**qed**

**theorem** *parametric-solutionE*: **obtains**

— case  $x \cdot y$   
 $r \ q \ m \ n$  **where**  
 $x = (r \cdot q)^{\textcircled{m \cdot r}}$  **and**  
 $y = q \cdot (r \cdot q)^{\textcircled{n}}$  **and**  
 $z = r \cdot q$  **and**  
 $l = m + n + 1$  **and**  $r \cdot q \neq q \cdot r$   
|  
— case  $x \cdot y^{\textcircled{k}}$  with  $2 \leq k$  and  $<_s z (y^{\textcircled{k}})$   
 $r \ q \ t$  **where**  
 $x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}})^{\textcircled{l-2}} \cdot$   
 $((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-2}}) \cdot r$  **and**  
 $y = r \cdot (q \cdot r)^{\textcircled{t}}$  **and**  
 $z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}}$  **and**  
 $0 < t$  **and**  $r \cdot q \neq q \cdot r$   
|  
— case  $x \cdot y^{\textcircled{k}}$  with  $2 \leq k$  and  $<_s (y^{\textcircled{k}}) z$   
 $q$  **where**  
 $x = (q \cdot y^{\textcircled{k}})^{\textcircled{l-1}} \cdot q$  **and**  
 $z = q \cdot y^{\textcircled{k}}$  **and**  
 $q \cdot y \neq y \cdot q$   
|  
— case  $x^{\textcircled{j}} \cdot y$  with  $2 \leq j$

$r \cdot q \cdot t$  where  
 $x = (r \cdot q)^{\textcircled{t}} \cdot r$  and  
 $y = q \cdot r \cdot r \cdot q$  and  
 $z = (r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q$  and  
 $j = 2$  and  $l = 2$  and  $2 \leq t$  and  $r \cdot q \neq q \cdot r$  and  
primitive  $x$  and primitive  $y$   
**proof**–  
**show** ?thesis  
**using** solution-cases  
**proof**(cases)  
**case** 1  
**from** case-j2k1[OF - ⟨k = 1⟩, of thesis] ⟨j = 2⟩  
**show** ?thesis  
**using** that(4) by blast  
**next**  
**case** 2  
**from** case-j1k2-a[OF this(1–2) ssufD1[OF this(3)], of thesis]  
**show** thesis  
**using** that(2)  
**by** blast  
**next**  
**case** 3  
**from** case-j1k2-b[OF this, of thesis]  
**show** ?thesis  
**using** that(3) by blast  
**next**  
**case** 4  
**from** case-j1k1[OF eq[unfolded ⟨k = 1⟩ ⟨j = 1⟩ pow-1] non-comm l-min, of  
thesis]  
**show** thesis  
**using** that(1).  
**qed**  
**qed**  
**end**

Using the solution from locale *LS-len-le*, the following theorem gives the full characterization of the equation in question:

$$x^i y^j = z^l$$

**theorem** *LS-parametric-solution*:

**assumes**  $y \cdot l \leq x$ :  $|y| \leq |x|$   
**and**  $j$ -min:  $1 \leq j$  **and**  $k$ -min:  $1 \leq k$  **and**  $l$ -min:  $2 \leq l$

**shows**

$$x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$$

$\longleftrightarrow$

$(\exists r \ m \ n \ t.$

$$x = r^{\textcircled{m}} \wedge y = r^{\textcircled{n}} \wedge z = r^{\textcircled{t}} \wedge m \cdot j + n \cdot k = t \cdot l) \text{ — Case A: } x, y \text{ is not a}$$

code

$\vee (j = 1 \wedge k = 1) \wedge$   
 $(\exists r q m n.$   
 $x = (r \cdot q)^{\textcircled{m}} \cdot r \wedge y = q \cdot (r \cdot q)^{\textcircled{n}} \wedge z = r \cdot q \wedge m + n + 1 = l \wedge r \cdot q \neq q \cdot r)$

— Case B

$\vee (j = 1 \wedge 2 \leq k) \wedge$   
 $(\exists r q.$   
 $x = (q \cdot r^{\textcircled{k}})^{\textcircled{l-1}} \cdot q \wedge y = r \wedge z = q \cdot r^{\textcircled{k}} \wedge r \cdot q \neq q \cdot r)$  — Case C

$\vee (j = 1 \wedge 2 \leq k) \wedge$   
 $(\exists r q t. 0 < t \wedge$   
 $x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}})^{\textcircled{l-2}} \cdot ((q \cdot r) \cdot$   
 $(r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-2}}) \cdot r) \cdot q$   
 $\wedge y = r \cdot (q \cdot r)^{\textcircled{t}}$   
 $\wedge z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}}$   
 $\wedge r \cdot q \neq q \cdot r)$  — Case D

$\vee (j = 2 \wedge k = 1 \wedge l = 2) \wedge$   
 $(\exists r q t. 2 \leq t \wedge$   
 $x = (r \cdot q)^{\textcircled{t}} \cdot r \wedge y = q \cdot r \cdot r \cdot q$   
 $\wedge z = (r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q \wedge r \cdot q \neq q \cdot r)$  — Case E

**(is ?eq =**  
 $(?sol-per \vee (?cond-j1k1 \wedge ?sol-j1k1) \vee$   
 $(?cond-j1k2 \wedge ?sol-j1k2-b) \vee$   
 $(?cond-j1k2 \wedge ?sol-j1k2-a) \vee$   
 $(?cond-j2k1l2 \wedge ?sol-j2k1l2)))$

**proof(rule iffI)**

**assume** eq:  $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$

**show**

$(?sol-per \vee (?cond-j1k1 \wedge ?sol-j1k1) \vee$   
 $(?cond-j1k2 \wedge ?sol-j1k2-b) \vee$   
 $(?cond-j1k2 \wedge ?sol-j1k2-a) \vee$   
 $(?cond-j2k1l2 \wedge ?sol-j2k1l2))$

**proof(cases)**

**assume**  $x \cdot y = y \cdot x$

**from** *comm-primrootE*[OF this]

**obtain**  $r m n$  **where**  $x = r^{\textcircled{m}}$   $y = r^{\textcircled{n}}$  *primitive r*

**using** *rootE* **by** *metis*

**note** eqs = eq[unfolded this, folded pow-mult add-exps, symmetric]

**obtain**  $t$  **where**  $z = r^{\textcircled{t}}$

**using** *l-min pow-comm-comm*[OF eqs,  
*THEN prim-comm-exp*[OF <primitive r>]]

**by** *auto*

**from** eqs[unfolded this, folded pow-mult, symmetric]

**have**  $m * j + n * k = t * l$

**unfolding** *prim-nemp*[OF <primitive r>, *THEN eq-pow-exp*].

**hence** ?sol-per

**using** < $x = r^{\textcircled{m}}$ > < $y = r^{\textcircled{n}}$ > < $z = r^{\textcircled{t}}$ > **by** *blast*

**thus** ?thesis

**by** *blast*

```

next
  assume  $x \cdot y \neq y \cdot x$ 
  interpret  $LS\text{-len-le } x \ y \ j \ k \ l \ z$ 
  using  $\langle x \text{ @ } j \cdot y \text{ @ } k = z \text{ @ } l \rangle \langle x \cdot y \neq y \cdot x \rangle j\text{-min } k\text{-min } l\text{-min } y\text{-le-}x$ 
  by(unfold-locales)

  show ?thesis
  using solution-cases
  proof(cases)
  case 1
    from case-j2k1[OF less-or-eq-imp-le[of 2 j]  $\langle k = 1 \rangle$ , OF disjI2, OF  $\langle j = 2 \rangle$ [symmetric], of  $?sol\text{-}j2k1l2 \wedge l = 2$ ]
    have  $?sol\text{-}j2k1l2$  and  $l = 2$ 
    by auto
    thus ?thesis
    using  $\langle k = 1 \rangle \langle j = 2 \rangle$  by blast
  next
  case 2
  have  $?sol\text{-}j1k2\text{-}a$ 
  using case-j1k2-a[OF  $\langle j = 1 \rangle \langle 2 \leq k \rangle$  ssufD1[OF  $\langle z < s \ y \text{ @ } k \rangle$ ], of  $?sol\text{-}j1k2\text{-}a$ ]
  unfolding Suc-eq-plus1
  by blast
  thus ?thesis
  using  $\langle j = 1 \rangle \langle 2 \leq k \rangle$  by blast
  next
  case 3
  with case-j1k2-b[OF this, of  $?sol\text{-}j1k2\text{-}b$ ]
  have  $?sol\text{-}j1k2\text{-}b$  by auto
  thus ?thesis
  using  $\langle j = 1 \rangle \langle 2 \leq k \rangle$  by blast
  next
  case 4
  with case-j1k1[OF eq[unfolded  $\langle k = 1 \rangle \langle j = 1 \rangle$  pow-1] non-comm l-min, of  $?sol\text{-}j1k1$ ]
  have  $?sol\text{-}j1k1$ 
  unfolding Suc-eq-plus1 shift-pow
  by blast
  thus ?thesis
  using  $\langle j = 1 \rangle \langle k = 1 \rangle$  by blast
  qed
  qed
next
  have  $l \neq 0 \ l - 1 \neq 0$ 
  using l-min by auto
  have  $k \neq 0$  using k-min by auto
  have  $j \neq 0$  using j-min by auto
  assume  $(?sol\text{-}per \vee (?cond\text{-}j1k1 \wedge ?sol\text{-}j1k1) \vee$ 
     $(?cond\text{-}j1k2 \wedge ?sol\text{-}j1k2\text{-}b) \vee$ 
     $(?cond\text{-}j1k2 \wedge ?sol\text{-}j1k2\text{-}a) \vee$ 

```

```

(?cond-j2k1l2 ∧ ?sol-j2k1l2))
then show ?eq
proof(elim disjE conjE exE)
  fix r m n t
  assume sol: x = r@ m y = r@ n z = r@ t
  and m * j + n * k = t * l
  show ?thesis
  unfolding sol
  unfolding pow-mult[symmetric] add-exps[symmetric]
  unfolding ⟨m * j + n * k = t * l⟩..
next
fix r q m n
assume j = 1 k = 1 and sol: x = (r·q)@m·r
  y = q·(r·q)@n z = r·q
  and m + n + 1 = l
hence Suc (m+n) = l
  by simp
show ?thesis
  unfolding sol
  unfolding ⟨j = 1⟩ ⟨k = 1⟩ ⟨Suc (m + n) = l⟩[symmetric] pow-1
  unfolding lassoc pow-Suc add-exps
  unfolding pow-comm[of - m, symmetric] lassoc..
next
fix r q
assume j = 1 2 ≤ k and sol: x = (q · r@ k)@ (l - 1) · q y = r z = q · r@ k
have 0 < l
  using ⟨2 ≤ l⟩ by force
show ?thesis
  unfolding sol ⟨j = 1⟩ pow-1
  unfolding pow-pos'[OF ⟨0 < l⟩] rassoc..
next
fix r q t
assume j = 1 2 ≤ k and sol:
  x =
    ((q · r) · (r · (q · r)@ t)@ (k - 1))@ (l - 2) ·
    (((q · r) · (r · (q · r)@ t)@ (k - 2)) · r) · q
  y = r · (q · r)@ t
  z = (q · r) · (r · (q · r)@ t)@ (k - 1)
  0 < t
obtain k' where Suc (Suc k') = k using Suc-minus2[OF ⟨2 ≤ k⟩] by blast
hence k1: k - 1 = Suc k' and k2: k - 2 = k' and k: k = k' + 2 by fastforce+
obtain l' where Suc (Suc l') = l using Suc-minus2[OF ⟨2 ≤ l⟩] by blast
hence l2: l - 2 = l' and l: l = l' + 2 by fastforce+
show x@ j · y@ k = z@ l
  unfolding sol ⟨j = 1⟩ k1 k2 l2 unfolding k l by comparison
next
fix r q t
assume j = 2 k = 1 l = 2 and sol:
  x = (r · q)@ t · r

```



$y = q \cdot r \cdot r \cdot r \cdot q \ z = (r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot r \cdot q$   
 $2 \leq t$   
**show**  $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$   
**unfolding**  $\langle j = 2 \rangle \langle k = 1 \rangle \langle l = 2 \rangle$  *sol pow-1 pow-two*  
**by comparison**  
**qed**  
**qed**

### 6.3.4 Uniqueness of the imprimitivity witness

In this section, we show that given a binary code  $\{x, y\}$  and two imprimitive words  $x^{\textcircled{j}} \cdot y^{\textcircled{k}}$  and  $x^{\textcircled{j'}} \cdot y^{\textcircled{k'}}$  is possible only if the two words are equals, that is, if  $j = j'$  and  $k = k'$ .

**lemma** *LS-unique-same*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $1 \leq j$  **and**  $1 \leq k$  **and**  $\neg \text{primitive}(x^{\textcircled{j}} \cdot y^{\textcircled{k}})$   
**and**  $1 \leq k'$  **and**  $\neg \text{primitive}(x^{\textcircled{j'}} \cdot y^{\textcircled{k'}})$

**shows**  $k = k'$

**proof**(*rule ccontr*)

**assume**  $k \neq k'$

**define**  $ka$  **where**  $ka = (\text{if } k < k' \text{ then } k \text{ else } k')$

**define**  $ka'$  **where**  $ka' = (\text{if } k < k' \text{ then } k' \text{ else } k)$

**have**  $ka < ka'$  **and**  $ka \neq ka'$

**unfolding**  $ka\text{-def } ka'\text{-def}$  **using**  $\langle k \neq k' \rangle$  **by** *auto*

**then obtain**  $dif$  **where** [*symmetric*]:  $ka + dif = ka'$  **and**  $dif \neq 0$

**using** *less-imp-add-positive* **by** *blast*

**have**  $ka \neq 0$  **and**  $ka' \neq 0$  **and**  $\langle j \neq 0 \rangle$

**unfolding**  $ka\text{-def } ka'\text{-def}$  **using**  $\langle 1 \leq k \rangle \langle 1 \leq k' \rangle \langle 1 \leq j \rangle$  **by** *force+*

**have**  $\neg \text{primitive}(x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka)$   $\neg \text{primitive}(x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka')$

**unfolding**  $ka\text{-def } ka'\text{-def}$  **using**  $assms(4)$   $assms(6)$  **by** *presburger+*

**have**  $x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka' = x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka \cdot y^{\textcircled{dif}}$

**unfolding** *add-exps[symmetric]*  $\langle ka' = ka + dif \rangle$ ..

**consider**  $dif = 1 \mid 2 \leq dif$

**using**  $\langle ka < ka' \rangle \langle ka' = ka + dif \rangle$  **by** *fastforce*

**hence**  $x \cdot y = y \cdot x$

**proof**(*cases*)

**assume**  $dif = 1$

**define**  $u$  **where**  $u = x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot (ka - 1)$

**have**  $\neg \text{primitive}(u \cdot y)$

**unfolding**  $u\text{-def } rassoc \text{ pow-Suc}'[symmetric]$   $Suc\text{-minus}[OF \langle ka \neq 0 \rangle]$  **by** *fact*

**have**  $\neg \text{primitive}(u \cdot y \cdot y)$

**unfolding**  $u\text{-def } rassoc$  **using**  $\langle \neg \text{primitive}(x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka') \rangle$  [*unfolded*  $\langle x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka' = x^{\textcircled{j}} \cdot y^{\textcircled{k}} \cdot ka \cdot y^{\textcircled{dif}} \rangle$   $\langle dif = 1 \rangle$  *pow-1*]

**unfolding**  $\text{pow-Suc}'[of \ y \ ka - 1, \text{ unfolded } Suc\text{-minus}[OF \langle ka \neq 0 \rangle]]$  *rassoc*.

**from** *imprim-ext-suf-comm*[*OF*  $\langle \neg \text{primitive}(u \cdot y) \rangle$   $\langle \neg \text{primitive}(u \cdot y \cdot y) \rangle$ ]

**have**  $(x^{\textcircled{j}} \cdot y^{\textcircled{ka-1}}) \cdot y = y \cdot x^{\textcircled{j}} \cdot y^{\textcircled{ka-1}}$   
**unfolding** *u-def*.  
**thus**  $x \cdot y = y \cdot x$   
**using**  $\langle j \neq 0 \rangle$  **by** *mismatch*  
**next**  
**assume**  $2 \leq dif$   
**hence**  $\neg \text{primitive}(y^{\textcircled{dif}})$ .  
**from** *Lyndon-Schutzenberger-prim*[*OF*  $\langle \neg \text{primitive}(x^{\textcircled{j}} \cdot y^{\textcircled{ka}}) \rangle$  *this*  $\langle \neg \text{primitive}(x^{\textcircled{j}} \cdot y^{\textcircled{ka'}}) \rangle$  [*unfolded*  $\langle x^{\textcircled{j}} \cdot y^{\textcircled{ka'}} = x^{\textcircled{j}} \cdot y^{\textcircled{ka}} \cdot y^{\textcircled{dif}} \rangle$  *lassoc*]]  
**show**  $x \cdot y = y \cdot x$   
**using**  $\langle dif \neq 0 \rangle \langle j \neq 0 \rangle$  **by** *mismatch*  
**qed**  
**thus** *False*  
**using**  $\langle x \cdot y \neq y \cdot x \rangle$  **by** *blast*  
**qed**

**lemma** *LS-unique-distinct-le*: **assumes**  $x \cdot y \neq y \cdot x$

**and**  $2 \leq j$  **and**  $\neg \text{primitive}(x^{\textcircled{j}} \cdot y)$   
**and**  $2 \leq k$  **and**  $\neg \text{primitive}(x \cdot y^{\textcircled{k}})$   
**and**  $|y| \leq |x|$

**shows** *False*

**proof**–

**have**  $0 < k$   
**using**  $\langle 2 \leq k \rangle$  **by** *linarith*  
**obtain**  $l z$  **where** [*symmetric*]:  $z^{\textcircled{l}} = x^{\textcircled{j}} \cdot y$  **and**  $2 \leq l$   
**using** *not-prim-primroot-expE*[*OF*  $\langle \neg \text{primitive}(x^{\textcircled{j}} \cdot y) \rangle$ ].  
**have**  $x^{\textcircled{j}} \cdot y^{\textcircled{1}} = z^{\textcircled{l}}$   
**by** (*simp add*:  $\langle x^{\textcircled{j}} \cdot y = z^{\textcircled{l}} \rangle$ )  
**interpret** *eq1*: *LS-len-le*  $x y j 1 l z$   
**using**  $\langle x \cdot y \neq y \cdot x \rangle \langle |y| \leq |x| \rangle \langle x^{\textcircled{j}} \cdot y^{\textcircled{1}} = z^{\textcircled{l}} \rangle \langle 2 \leq l \rangle \langle 2 \leq j \rangle$   
**by** (*unfold-locales*) *linarith*+

**from** *eq1.case-j2k1*[*OF*  $\langle 2 \leq j \rangle$  *refl*]

**obtain**  $r q t$  **where**

$x$ [*symmetric*]:  $(r \cdot q)^{\textcircled{t}} \cdot r = x$  **and**  
 $y$ [*symmetric*]:  $q \cdot r \cdot r \cdot q = y$  **and**  
 $z$ [*symmetric*]:  $(r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q = z$  **and**  
 $2 \leq t$  **and**  $j = 2$  **and**  $l = 2$  **and**  $r \cdot q \neq q \cdot r$  **and**  
*primitive*  $x$  **and** *primitive*  $y$ .

**have**  $q \cdot r \neq \varepsilon$   $r \cdot q \neq \varepsilon$

**using** *eq1.bin-snd-nemp y* **by** *fastforce*+

**obtain**  $z' l'$  **where**  $x \cdot y^{\textcircled{k}} = z'^{\textcircled{l'}}$   $2 \leq l'$

**using** *not-prim-primroot-expE*[*OF*  $\langle \neg \text{primitive}(x \cdot y^{\textcircled{k}}) \rangle$ ] **by** *metis*

**from**  $\langle x \cdot y^{\textcircled{k}} = z'^{\textcircled{l'}} \rangle$  [*unfolded*  $x y$ , *unfolded* *rassoc*, *symmetric*]

**have**  $z'$ :  $z'^{\textcircled{l'}} = (r \cdot q)^{\textcircled{t}} \cdot r \cdot (q \cdot r \cdot r \cdot q)^{\textcircled{k}}$ .

**have**  $0 < l'$  **and**  $0 < l' - 1$

**using**  $\langle 2 \leq l' \rangle$  **by** *auto*  
**have**  $r \cdot q \cdot r \cdot q \leq_p x$   
**using** *pref-extD*[*of*  $r \cdot q \cdot r \cdot q (r \cdot q)^{\textcircled{t-2}} \cdot r$ ]  
**unfolding**  $x$ [*folded pop-pow*[*OF*  $\langle 2 \leq t \rangle$ ], *unfolded pow-two*] **by** *blast*

**have** *per1*:  $x \cdot q \cdot r \leq_p (r \cdot q) \cdot x \cdot q \cdot r$   
**unfolding**  $x$  **by** *comparison*  
**have** *per2*:  $x \cdot q \cdot r \leq_p z' \cdot x \cdot q \cdot r$   
**by** (*rule pref-prod-root*[*of*  $- \cdot l'$ ],  
*unfold*  $\langle x \cdot y^{\textcircled{k}} = z'^{\textcircled{l'}} \rangle$ [*unfolded y pow-pos*[*OF*  $\langle 0 < k \rangle$ ], *symmetric*])  
*comparison*  
**have**  $(r \cdot q) \cdot z' \neq z' \cdot (r \cdot q)$   
**proof**  
**assume**  $(r \cdot q) \cdot z' = z' \cdot (r \cdot q)$   
**hence**  $(r \cdot q) \cdot z'^{\textcircled{l'}} = z'^{\textcircled{l'}} \cdot r \cdot q$   
**by** (*simp add: comm-add-exp*)  
**from** *this*[*unfolded z'*]  
**have**  $r \cdot q = q \cdot r$   
**using**  $\langle 0 < k \rangle$  **by** *mismatch*  
**thus** *False*  
**using**  $\langle r \cdot q \neq q \cdot r \rangle$  **by** *presburger*

**qed**  
**with** *two-pers*[*OF per1 per2*]  
**have**  $|x| \leq |z'|$   
**unfolding** *lenmorph* **by** *linarith*

**from** *eqdE*[*OF*  $\langle x \cdot y^{\textcircled{k}} = z'^{\textcircled{l'}} \rangle$ [*unfolded pow-pos*[*OF*  $\langle 0 < l' \rangle$ ]  
*pow-pos'*[*OF*  $\langle 0 < l'-1 \rangle$ ]]  $\langle |x| \leq |z'| \rangle$  ]  
**obtain**  $w$  **where**  $x \cdot w = z' \cdot w \cdot z'^{\textcircled{l'-1-1}} \cdot z' = y^{\textcircled{k}}$ .  
**from** *this*(1) *this*(2)[*unfolded lassoc*]  
**have**  $x \leq_f y^{\textcircled{k}}$   
**by** *blast*  
**hence**  $r \cdot q \cdot r \cdot q \leq_f (q \cdot r \cdot r \cdot q)^{\textcircled{k}}$   
**unfolding**  $y$   
**using** *pref-fac*[*OF*  $\langle r \cdot q \cdot r \cdot q \leq_p x \rangle$ ] **by** *blast*

**have**  $|r \cdot q \cdot r \cdot q| = |q \cdot r \cdot r \cdot q|$   
**by** *simp*  
**from** *xyxy-conj-yxy*[*OF fac-pow-len-conjug*[*OF this*  $\langle r \cdot q \cdot r \cdot q \leq_f (q \cdot r \cdot r \cdot q)^{\textcircled{k}} \rangle$ ,  
*symmetric*]]  
**have**  $r \cdot q = q \cdot r$ .  
**thus** *False*  
**using**  $\langle r \cdot q \neq q \cdot r \rangle$  **by** *blast*

**qed**

**lemma** *LS-unique-distinct*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $2 \leq j$  **and**  $\neg \text{primitive}(x^{\textcircled{j}} \cdot y)$   
**and**  $2 \leq k$  **and**  $\neg \text{primitive}(x \cdot y^{\textcircled{k}})$   
**shows** *False*

**using** *LS-unique-distinct-le*[*OF assms*] *LS-unique-distinct-le*[*reversed, OF assms(1,4-5,2-3)*]  
**by** *fastforce*

**lemma** *LS-unique'*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $1 \leq j$  **and**  $1 \leq k$  **and**  $\neg \text{primitive}(x^{\textcircled{a}}j \cdot y^{\textcircled{a}}k)$   
**and**  $1 \leq j'$  **and**  $1 \leq k'$  **and**  $\neg \text{primitive}(x^{\textcircled{a}}j' \cdot y^{\textcircled{a}}k')$   
**shows**  $k = k'$

**proof**–

**have**  $j = 1 \vee k = 1$

**using** *Lyndon-Schutzenberger-prim*[*OF pow-non-prim pow-non-prim,*  
*OF - -*  $\langle \neg \text{primitive}(x^{\textcircled{a}}j \cdot y^{\textcircled{a}}k) \rangle$ , *THEN comm-drop-exps*]  
 $\langle 1 \leq j \rangle \langle 1 \leq k \rangle \langle x \cdot y \neq y \cdot x \rangle$  **by** *linarith*

**have**  $j' = 1 \vee k' = 1$

**using** *Lyndon-Schutzenberger-prim*[*OF pow-non-prim pow-non-prim,*  
*OF - -*  $\langle \neg \text{primitive}(x^{\textcircled{a}}j' \cdot y^{\textcircled{a}}k') \rangle$ , *THEN comm-drop-exps*]  
 $\langle 1 \leq j' \rangle \langle 1 \leq k' \rangle \langle x \cdot y \neq y \cdot x \rangle$  **by** *linarith*

**show**  $k = k'$

**proof** (*cases*  $j = j'$ )

**assume**  $j = j'$

**from** *LS-unique-same*[*OF assms(1-4,6,7)*][*folded this*]

**show**  $k = k'$ .

**next**

**assume**  $j \neq j'$

**show**  $k = k'$

**proof**(*rule ccontr, cases*  $j = 1$ )

**assume**  $k \neq k'$  **and**  $j = 1$

**hence**  $2 \leq j'$  **and**  $k' = 1$  **and**  $2 \leq k$

**using**  $\langle j \neq j' \rangle \langle 1 \leq j' \rangle \langle k \neq k' \rangle \langle 1 \leq k \rangle \langle j' = 1 \vee k' = 1 \rangle$  **by** *auto*

**from** *LS-unique-distinct*[*OF*  $\langle x \cdot y \neq y \cdot x \rangle \langle 2 \leq j' \rangle - \langle 2 \leq k \rangle$ ]

**show** *False*

**using**  $\langle \neg \text{primitive}(x^{\textcircled{a}}j' \cdot y^{\textcircled{a}}k') \rangle$ [*unfolded*  $\langle k'=1 \rangle$  *pow-1*]  $\langle \neg \text{primitive}(x^{\textcircled{a}}j \cdot y^{\textcircled{a}}k) \rangle$ [*unfolded*  
 $\langle j=1 \rangle$  *pow-1*]

**by** *blast*

**next**

**assume**  $k \neq k'$  **and**  $j \neq 1$

**hence**  $2 \leq j$  **and**  $k = 1$  **and**  $2 \leq k'$  **and**  $j' = 1$

**using**  $\langle 1 \leq j \rangle \langle j = 1 \vee k = 1 \rangle \langle 1 \leq k' \rangle \langle j' = 1 \vee k' = 1 \rangle$  **by** *auto*

**from** *LS-unique-distinct*[*OF*  $\langle x \cdot y \neq y \cdot x \rangle \langle 2 \leq j \rangle - \langle 2 \leq k' \rangle$ ]

**show** *False*

**using**  $\langle \neg \text{primitive}(x^{\textcircled{a}}j' \cdot y^{\textcircled{a}}k') \rangle$ [*unfolded*  $\langle j'=1 \rangle$  *pow-1*]  $\langle \neg \text{primitive}(x^{\textcircled{a}}j \cdot y^{\textcircled{a}}k) \rangle$ [*unfolded*  
 $\langle k=1 \rangle$  *pow-1*]

**by** *blast*

**qed**

**qed**

**qed**

**lemma** *LS-unique*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $1 \leq j$  **and**  $1 \leq k$  **and**  $\neg \text{primitive}(x^{\textcircled{a}}j \cdot y^{\textcircled{a}}k)$   
**and**  $1 \leq j'$  **and**  $1 \leq k'$  **and**  $\neg \text{primitive}(x^{\textcircled{a}}j' \cdot y^{\textcircled{a}}k')$

**shows**  $j = j'$  and  $k = k'$   
**using**  $LS\text{-unique}'[OF \langle x \cdot y \neq y \cdot x \rangle$   
 $\langle 1 \leq j \rangle \langle 1 \leq k \rangle \langle \neg \text{primitive} (x^{\textcircled{a}} j \cdot y^{\textcircled{a}} k) \rangle$   
 $\langle 1 \leq j' \rangle \langle 1 \leq k' \rangle \langle \neg \text{primitive} (x^{\textcircled{a}} j' \cdot y^{\textcircled{a}} k') \rangle]$   
 $LS\text{-unique}'[reversed, OF \langle x \cdot y \neq y \cdot x \rangle$   
 $\langle 1 \leq k \rangle \langle 1 \leq j \rangle \langle \neg \text{primitive} (x^{\textcircled{a}} j \cdot y^{\textcircled{a}} k) \rangle$   
 $\langle 1 \leq k' \rangle \langle 1 \leq j' \rangle \langle \neg \text{primitive} (x^{\textcircled{a}} j' \cdot y^{\textcircled{a}} k') \rangle]$   
**by**  $blast+$

## 6.4 The bound on the exponent in Lyndon-Schützenberger equation

**lemma** (in  $LS\text{-len-le}$ )  $case\text{-}j1k2\text{-exp-le}$ :

**assumes**  $j = 1$   $2 \leq k$

**shows**  $k * |y| + 4 \leq |x| + 2 * |y|$

**proof**–

**have**  $x \cdot y^{\textcircled{a}} k = z^{\textcircled{a}} l$  and  $|y| \neq 0$  and  $0 < l$

**using**  $eq[unfolded \langle j = 1 \rangle \text{cow-simps}] \text{nemp-len}[OF \text{bin-snd-nemp}] \text{l-min}$   
**by**  $linarith+$

**consider**  $y^{\textcircled{a}} k <_s z \mid z \leq_s y^{\textcircled{a}} k$

**using**  $ruler\text{-}eq'[reversed,$

$OF \langle x \cdot y^{\textcircled{a}} k = z^{\textcircled{a}} l [symmetric, unfolded \text{pow-pos}'[OF \langle 0 < l \rangle]] \rangle]$  **by**  $blast$

**thus**  $?thesis$

**proof**( $cases$ )

**assume**  $y^{\textcircled{a}} k <_s z$

**from**  $case\text{-}j1k2\text{-b}[OF \text{assms this}]$

**obtain**  $q$  **where**

$x: x = (q \cdot y^{\textcircled{a}} k)^{\textcircled{a}} (l - 1) \cdot q$  and

$z = q \cdot y^{\textcircled{a}} k$  and

$q \cdot y \neq y \cdot q$ .

**have**  $1 \leq |q|$

**using**  $\text{nemp-le-len}[of q] \langle q \cdot y \neq y \cdot q \rangle$  **by**  $blast$

**have**  $|y| \geq 1$

**using**  $\text{bin-snd-nemp} \text{nemp-le-len}$  **by**  $blast$

**have**  $lle: x \leq (l-1)*x$  **for**  $x$

**using**  $l\text{-min}$

**by** ( $\text{simp add: quotient-smaller}$ )

**have**  $|x| \geq k * |y| + 2$

**unfolding**  $x \text{lenmorph} \text{pow-len}$

**using**  $le\text{-trans}[OF - lle, of k * |y| + 1 |q| + k * |y|, THEN \text{add-le-mono}[OF \langle 1 \leq |q| \rangle]]]$

**unfolding**  $\text{add.commute}[of |q|]$

**using**  $\langle 1 \leq |q| \rangle$  **by**  $auto$

**thus**  $?thesis$

using  $\langle |y| \geq 1 \rangle$  by *linarith*  
 next  
 assume  $z \leq s \cdot y^{\textcircled{a} k}$   
 from *case-j1k2-a[OF assms this]*  
 obtain  $q \ r \ t$  where  
 $x: x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a} t})^{\textcircled{a} (k-1)})^{\textcircled{a} (l-2)} \cdot (((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a} t})^{\textcircled{a} (k-2)}) \cdot r) \cdot q$  and  
 $y = r \cdot (q \cdot r)^{\textcircled{a} t}$  and  
 $z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a} t})^{\textcircled{a} (k-1)}$  and  
 $0 < t$  and  $r \cdot q \neq q \cdot r$ .  
  
 have  $q \neq \varepsilon \ r \neq \varepsilon$   
 using  $\langle r \cdot q \neq q \cdot r \rangle$  by *blast+*  
 hence  $|q| \geq 1 \ |r| \geq 1$   
 using *nemp-le-len* by *blast+*  
 hence  $|q \cdot r| + |r| + |q| \geq 4$   
 by *simp*  
  
 have  $|x| \geq |(((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{a} t})^{\textcircled{a} (k-2)}) \cdot r) \cdot q|$   
 using *x suf-len'* by *blast*  
 hence  $|x| \geq |q \cdot r| + (k-2) \cdot |y| + |r| + |q|$   
 unfolding  $\langle y = r \cdot (q \cdot r)^{\textcircled{a} t} \rangle$  [*symmetric*]  
 by (*simp add: pow-len*)  
 hence  $|x| \geq (k-2) \cdot |y| + 4$   
 using  $\langle 4 \leq |q \cdot r| + |r| + |q| \rangle$  by *linarith*  
 thus ?thesis  
 unfolding *add commute*[of  $|x|$ ]  
 unfolding *nat-le-add-iff1*[OF  $\langle 2 \leq k \rangle$ ].

qed  
qed

lemma (in *LS-len-le*) *case-j2k1-exp-le*:

assumes  $2 \leq j \ k = 1$

shows  $j \cdot |x| + 4 \leq |y| + 2 \cdot |x|$

proof-

from *case-j2k1*[OF *assms*]

obtain  $r \ q \ t$  where

$(r \cdot q)^{\textcircled{a} t} \cdot r = x$  and

$q \cdot r \cdot r \cdot q = y$  and

$(r \cdot q)^{\textcircled{a} t} \cdot r \cdot r \cdot q = z$  and

$\langle 2 \leq t \rangle$  and  $j = 2$  and  $l = 2$  and

$r \cdot q \neq q \cdot r$  and

*primitive x* and

*primitive y*.

have  $|r| \geq 1 \ |q| \geq 1$

using  $\langle r \cdot q \neq q \cdot r \rangle$  *nemp-le-len* by *blast+*

hence  $|y| \geq 4$

unfolding  $\langle q \cdot r \cdot r \cdot q = y \rangle$  [*symmetric*] *lenmorph*

by *linarith*  
 thus *?thesis*  
 by (*simp add: <j = 2>*)  
 qed

**theorem** *LS-exp-le-one*:  
 assumes *eq: x · y<sup>@ k</sup> = z<sup>@ l</sup>*  
 and  $2 \leq l$   
 and  $x \cdot y \neq y \cdot x$   
 and  $1 \leq k$   
 shows  $k \cdot |y| + 4 \leq |x| + 2 \cdot |y|$

**proof**–  
 have  $x \neq \varepsilon \ y \neq \varepsilon \ x \neq y \ |y| \neq 0 \ z \neq \varepsilon$   
 using  $\langle x \cdot y \neq y \cdot x \rangle \langle x \cdot y^{\textcircled{k}} = z^{\textcircled{l}} \rangle$  by *fastforce+*  
 have  $l \neq 0 \ \langle 1 \leq l-1 \rangle$   
 using  $\langle 2 \leq l \rangle$  by *force+*

**consider**  $k = 1 \mid k \geq 2$   
 using  $\langle 1 \leq k \rangle$  by *linarith*  
**then show** *?thesis*

**proof**(*cases*)  
 assume  $k=1$   
 have  $4 \leq |x| + |y|$   
 using *case-j1k1[OF eq[unfolded <k = 1> pow-1] <x · y ≠ y · x> <2 ≤ l>]*  
 by *blast*  
 thus *?thesis*  
 unfolding  $\langle k = 1 \rangle$  by *force*

**next**  
 assume  $k \geq 2$   
**show** *?thesis*  
**proof**(*rule le-cases*)  
 assume  $|y| \leq |x|$   
**then interpret** *LS-len-le x y 1 k l z*  
 using *assms* by (*unfold-locales, auto*)  
**from** *case-j1k2-exp-le[OF refl <k ≥ 2>]*  
**show** *?thesis*.

**next**  
 assume  $|x| \leq |y|$   
 have  $y \cdot x \neq x \cdot y$   
 using *assms(3)* by *force*  
**define**  $z'$  **where**  $z' = \text{rotate } |x| \ z$   
**hence**  $y^{\textcircled{k}} \cdot x = z'^{\textcircled{l}}$   
 using *arg-cong[OF assms(1), of λt. rotate |x| t]*  
**unfolding** *rotate-append rotate-pow-comm*  
 by *blast*  
**interpret** *LS-len-le y x k 1 l z'*  
 using  $\langle |x| \leq |y| \rangle \langle y \cdot x \neq x \cdot y \rangle \langle y^{\textcircled{k}} \cdot x = z'^{\textcircled{l}} \rangle \langle 2 \leq l \rangle \langle 1 \leq k \rangle$   
 by (*unfold-locales, auto*)  
**from** *case-j2k1-exp-le[OF <2 ≤ k> refl]*

```

    show ?thesis.
  qed
qed
qed

```

```

lemma LS-exp-le-conv-rat:
  fixes  $x\ y\ k::'a::linordered-field$ 
  assumes  $y > 0$ 
  shows  $k * y + 4 \leq x + 2 * y \iff k \leq (x - 4)/y + 2$ 
  unfolding le-diff-eq[symmetric]
  unfolding diff-conv-add-uminus
  unfolding add.assoc add.commute[of 2*y]
  unfolding add.assoc[symmetric]
  unfolding diff-le-eq[of - 2*y x + - 4,symmetric] left-diff-distrib'[symmetric]
  unfolding pos-le-divide-eq[OF ‹y > 0›, symmetric]
  unfolding diff-le-eq..

```

```
end
```

```

theory Binary-Code-Morphisms
  imports CoWBasic Submonoids Morphisms

```

```
begin
```



## Chapter 7

# Binary alphabet and binary morphisms

### 7.1 Datatype of a binary alphabet

Basic elements for construction of binary words.

**type-notation** *Enum.finite-2* ( $\langle \text{bin}A \rangle$ )

**notation** *finite-2.a<sub>1</sub>* ( $\langle \text{bina} \rangle$ )

**notation** *finite-2.a<sub>2</sub>* ( $\langle \text{binb} \rangle$ )

**lemmas** *bin-distinct* = *Enum.finite-2.distinct*

**lemmas** *bin-exhaust* = *Enum.finite-2.exhaust*

**lemmas** *bin-induct* = *Enum.finite-2.induct*

**lemmas** *bin-UNIV* = *Enum.UNIV-finite-2*

**lemmas** *bin-eq-neq-iff* = *Enum.neq-finite-2-a<sub>2</sub>-iff*

**lemmas** *bin-eq-neq-iff'* = *Enum.neq-finite-2-a<sub>1</sub>-iff*

**abbreviation** *bin-word-a* :: *binA list* ( $\langle \mathbf{a} \rangle$ ) **where**  
*bin-word-a*  $\equiv$  [*bina*]

**abbreviation** *bin-word-b* :: *binA list* ( $\langle \mathbf{b} \rangle$ ) **where**  
*bin-word-b*  $\equiv$  [*binb*]

**abbreviation** (*input*) *binUNIV* :: *binA set* **where** *binUNIV*  $\equiv$  *UNIV*

**lemma** *binUNIV-I* [*simp*, *intro*]: *bina*  $\in$  *A*  $\implies$  *binb*  $\in$  *A*  $\implies$  *A* = *UNIV*  
**unfolding** *UNIV-finite-2* **by** *auto*

**lemma** *bin-basis-code*: *code* {**a**,**b**}  
**by** (*rule bin-code-code*) *blast*

**lemma** *bin-num*: *bina* = 0 *binb* = 1  
**by** *simp-all*

**lemma** *binA-simps* [*simp*]:  $\text{bina} - \text{binb} = \text{binb} \text{ binb} - \text{bina} = \text{binb } 1 - \text{bina} = \text{binb } 1 - \text{binb} = \text{bina } a - a = \text{bina } 1 - (1 - a) = a$

**by** *simp-all*

**definition** *bin-swap* ::  $\text{binA} \Rightarrow \text{binA}$  **where** *bin-swap*  $x \equiv 1 - x$

**lemma** *bin-swap-if-then*:  $1 - x = (\text{if } x = \text{bina} \text{ then } \text{binb} \text{ else } \text{bina})$

**by** *fastforce*

**definition** *bin-swap-morph* **where** *bin-swap-morph*  $\equiv \text{map } \text{bin-swap}$

**lemma** *alphabet-or* [*simp*]:  $a = \text{bina} \vee a = \text{binb}$

**by** *auto*

**lemma** *bin-im-or*:  $f [a] = f \text{ a} \vee f [a] = f \text{ b}$

**by** (*rule bin-exhaust*[*of a*], *simp-all*)

**thm** *triv-forall-equality*

**lemma** *binUNIV-card*:  $\text{card } \text{binUNIV} = 2$

**unfolding** *bin-UNIV card-2-iff* **by** *auto*

**lemma** *other-letter*: **obtains**  $b$  **where**  $b \neq (a :: \text{binA})$

**using** *finite-2.distinct(1)* **by** *metis*

**lemma** *alphabet-or-neq*:  $x \neq y \Longrightarrow x = (a :: \text{binA}) \vee y = a$

**using** *alphabet-or*[*of x*] *alphabet-or*[*of y*] *alphabet-or*[*of a*] **by** *argo*

**lemma** *binA-neq-cases*: **assumes** *neq*:  $a \neq b$

**obtains**  $a = \text{bina}$  **and**  $b = \text{binb} \mid a = \text{binb}$  **and**  $b = \text{bina}$

**using** *alphabet-or-neq assms* **by** *auto*

**lemma** *bin-neq-sym-pred*: **assumes**  $a \neq b$  **and**  $P \text{ bina } \text{binb}$  **and**  $P \text{ binb } \text{bina}$  **shows**  $P \text{ a } b$

**using** *assms(2-3)* *binA-neq-cases*[*OF*  $\langle a \neq b \rangle$ , *of*  $P \text{ a } b$ ] **by** *blast*

**lemma** *no-third*:  $(c :: \text{binA}) \neq a \Longrightarrow b \neq a \Longrightarrow b = c$

**using** *alphabet-or*[*of a*] **by** *fastforce*

**lemma** *two-in-bin-UNIV*: **assumes**  $a \neq b$  **and**  $a \in S$  **and**  $b \in S$  **shows**  $S = \text{binUNIV}$

**using**  $\langle a \in S \rangle \langle b \in S \rangle$  *alphabet-or-neq*[*OF*  $\langle a \neq b \rangle$ ] **by** *fast*

**lemmas** *two-in-bin-set* = *two-in-bin-UNIV*[*unfolded bin-UNIV*]

**lemma** *bin-not-comp-set-UNIV*: **assumes**  $\neg u \bowtie v$  **shows**  $\text{set } (u \cdot v) = \text{binUNIV}$

**proof**–

**have** *uv*:  $u \cdot v = ((u \wedge_p v) \cdot ([hd ((u \wedge_p v)^{-1} > u)] \cdot tl ((u \wedge_p v)^{-1} > u))) \cdot (u \wedge_p v) \cdot ([hd ((u \wedge_p v)^{-1} > v)] \cdot tl ((u \wedge_p v)^{-1} > v))$

**unfolding**  $hd\text{-}tl[OF\ lcp\text{-}mismatch\text{-}lq(1)[OF\ assms]]\ hd\text{-}tl[OF\ lcp\text{-}mismatch\text{-}lq(2)[OF\ assms]]\ lcp\text{-}lq..$   
**from**  $this[unfolding\ rassoc]$   
**have**  $hd\ ((u \wedge_p v)^{-1} > u) \in set\ (u \cdot v)$  **and**  $hd\ ((u \wedge_p v)^{-1} > v) \in set\ (u \cdot v)$   
**unfolding**  $uv$  **by**  $simp\text{-}all$   
**with**  $lcp\text{-}mismatch\text{-}lq(3)[OF\ assms]$   
**show**  $?thesis$   
**using**  $two\text{-}in\text{-}bin\text{-}UNIV$  **by**  $blast$   
**qed**

**lemma**  $bin\text{-}basis\text{-}singletons: \{[q] \mid q. q \in \{bina, binb\}\} = \{a, b\}$   
**by**  $blast$

**lemma**  $bin\text{-}basis\text{-}generates: \langle \{a, b\} \rangle = UNIV$   
**using**  $sings\text{-}gen\text{-}lists[of\ binUNIV, unfolded\ lists\text{-}UNIV\ bin\text{-}UNIV\ bin\text{-}basis\text{-}singletons, folded\ bin\text{-}UNIV, unfolded\ lists\text{-}UNIV].$

**lemma**  $a\text{-}in\text{-}bin\text{-}basis: [a] \in \{a, b\}$   
**using**  $Set.UNIV\text{-}I$  **by**  $auto$

**lemma**  $lcp\text{-}zero\text{-}one\text{-}emp: a \wedge_p b = \varepsilon$  **and**  $lcp\text{-}one\text{-}zero\text{-}emp: b \wedge_p a = \varepsilon$   
**by**  $simp+$

**lemma**  $bin\text{-}neq\text{-}induct: (a :: binA) \neq b \implies P\ a \implies P\ b \implies P\ c$   
**proof**  $(elim\ binA\text{-}neq\text{-}cases)$   
**show**  $P\ a \implies P\ b \implies a = bina \implies b = binb \implies P\ c$   
**using**  $finite\text{-}2.induct$  **by**  $blast$   
**show**  $P\ a \implies P\ b \implies a = binb \implies b = bina \implies P\ c$   
**using**  $finite\text{-}2.induct$  **by**  $blast$   
**qed**

**lemma**  $bin\text{-}neq\text{-}induct': assumes\ (a :: binA) \neq b$  **and**  $P\ a$  **and**  $P\ b$  **shows**  $\bigwedge\ c. P\ c$   
**using**  $bin\text{-}neq\text{-}induct[OF\ assms]$  **by**  $blast$

**lemma**  $neq\text{-}exhaust: assumes\ (a :: binA) \neq b$  **obtains**  $c = a \mid c = b$   
**using**  $assms$  **by**  $(elim\ binA\text{-}neq\text{-}cases)\ (hypsubst, elim\ finite\text{-}2.exhaust, assumption)+$

**lemma**  $bin\text{-}swap\text{-}neq\ [simp]: 1 - (a :: binA) \neq a$   
**by**  $simp$

**lemmas**  $bin\text{-}swap\text{-}neq'\ [simp] = bin\text{-}swap\text{-}neq[symmetric]$

**lemmas**  $bin\text{-}swap\text{-}induct = bin\text{-}neq\text{-}induct[OF\ bin\text{-}swap\text{-}neq]$   
**and**  $bin\text{-}swap\text{-}exhaust = neq\text{-}exhaust[OF\ bin\text{-}swap\text{-}neq]$

**lemma**  $bin\text{-}swap\text{-}induct': P\ (a :: binA) \implies P\ (1 - a) \implies (\bigwedge\ c. P\ c)$   
**using**  $bin\text{-}swap\text{-}induct$  **by**  $auto$

**lemma**  $swap\text{-}UNIV: \{a, 1 - a\} = binUNIV$  **(is**  $?P\ a)$

**using** *bin-swap-induct*[of ?P bina, unfolded binA-simps] **by** *fastforce*

**lemma** *bin-neq-swap'*[intro]:  $a \neq b \implies 1 - b = (a :: \text{bin}A)$   
**by** (rule *bin-swap-exhaust*[of a b]) *blast+*

**lemma** *bin-neq-swap*[intro]:  $a \neq b \implies 1 - a = (b :: \text{bin}A)$   
**using** *bin-neq-swap'* **by** *auto*

**lemma** *bin-neq-swap''*[intro]:  $a \neq b \implies b = 1 - (a :: \text{bin}A)$   
**using** *bin-neq-swap* **by** *blast*

**lemma** *bin-neq-swap'''*[intro]:  $a \neq b \implies a = 1 - (b :: \text{bin}A)$   
**using** *bin-neq-swap* **by** *blast*

**lemma** *bin-neq-iff*:  $c \neq d \iff 1 - d = (c :: \text{bin}A)$   
**using** *bin-neq-swap*[of d c] *bin-swap-neq*[of d] **by** *argo*

**lemma** *bin-neq-iff'*:  $c \neq d \iff 1 - c = (d :: \text{bin}A)$   
**unfolding** *bin-neq-iff* **by** *force*

**lemma** *binA-neq-cases-swap*: **assumes** *neq*:  $a \neq (b :: \text{bin}A)$   
**obtains**  $a = c$  **and**  $b = 1 - c$  |  $a = 1 - c$  **and**  $b = c$   
**using** *assms bin-neq-swap bin-swap-exhaust*[of a c] **by** *metis*

**lemma** *im-swap-neq*:  $f a = f b \implies f \text{ bina} \neq f \text{ binb} \implies a = b$   
**using** *binA-neq-cases-swap*[of a b bina False, unfolded binA-simps] **by** *fastforce*

**lemma** *bin-without-letter*: **assumes**  $(a1 :: \text{bin}A) \notin \text{set } w$   
**obtains**  $k$  **where**  $w = [1 - a1]^{\otimes k}$   
**proof**–  
**have**  $\forall c. c \in \text{set } w \implies c = 1 - a1$   
**using** *assms bin-swap-exhaust* **by** *blast*  
**from** *that unique-letter-wordE'*[OF this]  
**show** *thesis* **by** *blast*  
**qed**

**lemma** *bin-empty-iff*:  $S = \{\}$   $\iff (a :: \text{bin}A) \notin S \wedge 1 - a \notin S$   
**using** *bin-swap-induct*[of  $\lambda a. a \notin S$ ] **by** *blast*

**lemma** *bin-UNIV-iff*:  $S = \text{binUNIV} \iff a \in S \wedge 1 - a \in S$   
**using** *two-in-bin-UNIV*[OF *bin-swap-neq*] **by** *blast*

**lemma** *bin-UNIV-I*:  $a \in S \implies 1 - a \in S \implies S = \text{binUNIV}$   
**using** *bin-UNIV-iff* **by** *blast*

**lemma** *bin-sing-iff*:  $A = \{a :: \text{bin}A\} \iff a \in A \wedge 1 - a \notin A$   
**proof** (*rule sym, intro iffI conjI, elim conjE*)  
**assume**  $a \in A$  **and**  $1 - a \notin A$   
**have**  $b \in A \iff b = a$  **for**  $b$

**using**  $\langle a \in A \rangle \langle 1-a \notin A \rangle$  *bin-swap-neq*  
**by** (*intro bin-swap-induct*[of  $\lambda c. (c \in A) = (c = a) a b$ ]) *blast+*  
**then show**  $A = \{a\}$  **by** *blast*  
**qed** *simp-all*

**lemma** *bin-set-cases*: **obtains**  $S = \{\}$  |  $S = \{bina\}$  |  $S = \{binb\}$  |  $S = binUNIV$   
**unfolding** *bin-empty-iff*[of - *bina*] *bin-UNIV-iff*[of - *bina*] *bin-sing-iff*  
**by** *fastforce*

**lemma** *not-UNIV-E*: **assumes**  $A \neq binUNIV$  **obtains**  $a$  **where**  $A \subseteq \{a\}$   
**using** *assms* **by** (*cases rule: bin-set-cases*[of  $A$ ]) *auto*

**lemma** *not-UNIV-nempE*: **assumes**  $A \neq binUNIV$  **and**  $A \neq \{\}$  **obtains**  $a$  **where**  
 $A = \{a\}$   
**using** *assms* **by** (*cases rule: bin-set-cases*[of  $A$ ]) *auto*

**lemma** *bin-sing-gen-iff*:  $x \in \langle \{a\} \rangle \longleftrightarrow 1-(a :: binA) \notin set\ x$   
**unfolding** *sing-gen-lists*[*symmetric*] *in-lists-conv-set* **using** *bin-empty-iff* *bin-sing-iff*  
**by** *metis*

**lemma** *set-hd-pow-conv*:  $w \in [hd\ w]^* \longleftrightarrow set\ w \neq binUNIV$   
**unfolding** *root-sing-set-iff*

**proof**

**assume**  $set\ w \subseteq \{hd\ w\}$

**thus**  $set\ w \neq binUNIV$

**unfolding** *bin-UNIV* **using** *bin-distinct*(1) **by** *force*

**next**

**assume**  $set\ w \neq binUNIV$

**thus**  $set\ w \subseteq \{hd\ w\}$

**proof** (*cases*  $w = \varepsilon$ )

**assume**  $set\ w \neq binUNIV$  **and**  $w \neq \varepsilon$

**from** *hd-tl*[*OF this*(2)] *this*(2)

**have**  $hd\ w \in set\ w$  **by** *simp*

**hence**  $1-hd\ w \notin set\ w$

**using**  $\langle set\ w \neq binUNIV \rangle$  **unfolding** *bin-UNIV-iff*[of  $set\ w\ hd\ w$ ] **by** *blast*

**thus**  $set\ w \subseteq \{hd\ w\}$

**using** *bin-sing-iff* **by** *auto*

**qed** *simp*

**qed**

**lemma** *not-swap-eq*:  $P\ a\ b \implies (\bigwedge (c :: binA). \neg P\ c\ (1-c)) \implies a = b$   
**using** *bin-neq-iff* **by** *metis*

**lemma** *bin-distinct-letter*: **assumes**  $set\ w = binUNIV$

**obtains**  $k\ w'$  **where**  $[hd\ w]^{\textcircled{a}}\ Suc\ k \cdot [1-hd\ w] \cdot w' = w$

**proof**–

**from** *distinct-letter-in-hd'*[of  $w$ , *unfolded set-hd-pow-conv*[of  $w$ ] *bool-simps*(1), *OF assms*]

**obtain**  $m\ b\ q$  **where**  $[hd\ w]^{\textcircled{a}}\ Suc\ m \cdot [b] \cdot q = w\ b \neq hd\ w$ .

**with** *that bin-neq-swap*[*OF this*(2)]  
**show** *thesis*  
 by *blast*  
**qed**

**lemma**  $P a \longleftrightarrow P (1-a) \Longrightarrow P a \Longrightarrow (\bigwedge (b :: \text{bin}A). P b)$   
 using *bin-swap-induct'* **by** *blast*

**lemma** *bin-sym-all*:  $P (a :: \text{bin}A) \longleftrightarrow P (1-a) \Longrightarrow P a \Longrightarrow P x$   
 using *bin-swap-induct*[*of*  $\lambda a. P a a$ , *unfolded binA-simps*] **by** *blast*

**lemma** *bin-sym-all-comm*:  
 $f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a] \Longrightarrow f [b] \cdot f [1-b] \neq f [1-b] \cdot f [(b :: \text{bin}A)]$  (**is**  
 $?P a \Longrightarrow ?P b$ )  
 using *bin-sym-all*[*of*  $?P a$ , *unfolded binA-simps*, *OF neq-commute*].

**lemma** *bin-sym-all-neq*:  
 $f [(a :: \text{bin}A)] \neq f [1-a] \Longrightarrow f [b] \neq f [1-b]$  (**is**  $?P a \Longrightarrow ?P b$ )  
 using *bin-sym-all*[*of*  $?P a$ , *unfolded binA-simps*, *OF neq-commute*].

**lemma** *bin-len-count*:  
**fixes**  $w :: \text{bin}A \text{ list}$   
**shows**  $|w| = \text{count-list } w a + \text{count-list } w (1-a)$   
 using *sum-count-set*[*of*  $w \{a, 1-a\}$ ] *swap-UNIV* **by** *force*

**lemma** *bin-len-count'*:  
**fixes**  $w :: \text{bin}A \text{ list}$   
**shows**  $|w| = \text{count-list } w \text{ bina} + \text{count-list } w \text{ binb}$   
 using *bin-len-count*[*of*  $w \text{ bina}$ ] **by** *force*

## 7.2 Binary morphisms

**lemma** *bin-map-core-lists*:  $(\text{map } f^C w) \in \text{lists } \{f \mathbf{a}, f \mathbf{b}\}$   
**unfolding** *core-def* **by** (*induct*  $w$ , *simp*, *unfold map-hd*)  
 (*rule append-in-lists*, *simp-all add: bin-im-or*)

**lemma** *bin-range*:  $\text{range } f = \{f \text{ bina}, f \text{ binb}\}$   
**unfolding** *bin-UNIV* **by** *simp*

**lemma** *bin-core-range*:  $\text{range } f^C = \{f \mathbf{a}, f \mathbf{b}\}$   
**unfolding** *core-def bin-range..*

**lemma** *bin-core-range-swap*:  $\text{range } f^C = \{f [(a :: \text{bin}A)], f [1-a]\}$  (**is**  $?P a$ )  
**by** (*rule bin-induct*[*of*  $?P$ , *unfolded binA-simps*], *unfold bin-core-range*, *simp*,  
*force*)

**lemma** *bin-map-core-lists-swap*:  $(\text{map } f^C w) \in \text{lists } \{f [(a :: \text{bin}A)], f [1-a]\}$   
**using** *map-core-lists*[*of*  $f$ , *unfolded bin-core-range-swap*[*of*  $f a$ ]].

```

locale binary-morphism = morphism f
  for f :: binA list  $\Rightarrow$  'a list
begin

lemma bin-len-count-im:
  fixes a :: binA
  shows  $|f\ w| = \text{count-list } w\ a * |f\ [a]| + \text{count-list } w\ (1-a) * |f\ [1-a]|$ 
proof (induct w)
  case (Cons b w)
  show ?case
    unfolding hd-word[of b w] morph lenmorph Cons.hyps count-list-append
    by (induct a) simp-all
qed simp

lemma bin-len-count-im':
  shows  $|f\ w| = \text{count-list } w\ \mathbf{bina} * |f\ \mathbf{a}| + \text{count-list } w\ \mathbf{binb} * |f\ \mathbf{b}|$ 
proof (induct w)
  case (Cons a w)
  show ?case
    unfolding hd-word[of a w] morph lenmorph Cons.hyps count-list-append
    by (induct a) simp-all
qed simp

lemma bin-neq-inj-core: assumes  $f\ [a] \neq f\ [1-a]$  shows inj fc
proof
  show  $f^c\ x = f^c\ y \implies x = y$  for x y
  proof (rule ccontr)
    assume  $x \neq y$ 
    from bin-sym-all-neq[OF assms]
    have  $f^c\ x \neq f^c\ y$ 
    unfolding core-def bin-neq-swap'[OF x \neq y].
    thus  $f^c\ x = f^c\ y \implies \text{False}$ 
    by blast
  qed
qed

lemma bin-code-morphism-inj: assumes  $f\ [a] \cdot f\ [1-a] \neq f\ [1-a] \cdot f\ [a]$ 
  shows inj f
  unfolding inj-on-def
proof (rule ballI, rule ballI, rule impI)
  have  $f\ [b] \neq f\ [1-b]$  for b
    using bin-sym-all-comm[OF assms, of b] by force
  from bin-neq-inj-core[OF this]
  have inj fc.
  fix xs ys assume  $f\ xs = f\ ys$ 
  hence  $\text{concat } (\text{map } f^c\ xs) = \text{concat } (\text{map } f^c\ ys)$ 
  unfolding morph-concat-map.
  from bin-code-code[unfolded code-def, rule-format,

```

$OF \langle f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a] \rangle \text{ bin-map-core-lists-swap bin-map-core-lists-swap}$   
*this*  
**show**  $xs = ys$   
**using**  $\langle inj f^C \rangle$  **by** *simp*  
**qed**

**lemma** *bin-code-morphismI*:  $f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a] \implies \text{code-morphism } f$   
**using** *code-morphismI*[*OF bin-code-morphism-inj*].

**end**

### 7.2.1 Binary periodic morphisms

**locale** *binary-periodic-morphism* = *periodic-morphism* *f*  
**for**  $f :: \text{binA list} \Rightarrow 'a \text{ list}$   
**begin**

**sublocale** *binary-morphism*  
**by** *unfold-locales*

**definition** *fn0* **where**  $fn0 \equiv (\text{SOME } n. f \mathbf{a} = \text{mroot}^{\textcircled{n}})$   
**definition** *fn1* **where**  $fn1 \equiv (\text{SOME } n. f \mathbf{b} = \text{mroot}^{\textcircled{n}})$

**lemma** *bin0-im*:  $f \mathbf{a} = \text{mroot}^{\textcircled{fn0}}$   
**using** *per-morph-rootI*[*rule-format, of a*] *someI*[*of*  $\lambda n. f \mathbf{a} = \text{mroot}^{\textcircled{n}}$ ] **unfolding** *fn0-def* **by** *blast*

**lemma** *bin1-im*:  $f \mathbf{b} = \text{mroot}^{\textcircled{fn1}}$   
**using** *per-morph-rootI*[*rule-format, of b*] *someI*[*of*  $\lambda n. f \mathbf{b} = \text{mroot}^{\textcircled{n}}$ ] **unfolding** *fn1-def* **by** *blast*

**lemma** *sorted-image* :  $f w = (f [a])^{\textcircled{}}(\text{count-list } w \mathbf{a}) \cdot (f [1-a])^{\textcircled{}}(\text{count-list } w (1-a))$   
**proof-**

**obtain**  $k$  **where**  $f w = \text{mroot}^{\textcircled{k}}$   
**using** *per-morph-rootI* **by** *blast*  
**have**  $len: |f w| = |(f [a])^{\textcircled{}}(\text{count-list } w \mathbf{a}) \cdot (f [1-a])^{\textcircled{}}(\text{count-list } w (1-a))|$   
**using** *bin-len-count-im* **unfolding** *lenmorph pow-len*.  
**have**  $*$ :  $(f [a])^{\textcircled{}}(\text{count-list } w \mathbf{a}) \cdot (f [1-a])^{\textcircled{}}(\text{count-list } w (1-a)) = \text{mroot}^{\textcircled{(fn0 * (\text{count-list } w \mathbf{bina}) + fn1 * (\text{count-list } w \mathbf{binb}))})$   
**by** (*induct a*) (*unfold binA-simps bin0-im bin1-im, unfold pow-mult[symmetric]*  
*add-exps[symmetric], simp-all add: add commute*)  
**show** *?thesis*  
**using** *len nemp-len*[*OF prim-nemp*[*OF per-morph-root-prim*]]  
**unfolding**  $*$   $\langle f w = \text{mroot}^{\textcircled{k}} \rangle$  *pow-len* **by** *force*  
**qed**

**lemma** *bin-per-morph-expI*:  $f u = \text{mroot}^{\textcircled{}}((\text{mexp } \mathbf{bina}) * (\text{count-list } u \mathbf{bina}) + (\text{mexp } \mathbf{binb}) * (\text{count-list } u \mathbf{binb}))$



**using** *sorted-image*[of  $u$   $\text{bina}$ , *unfolded binA-simps*]  
**by** (*simp add: add-exprs per-morph-expI' pow-mult*)

**end**

### 7.3 From two words to a binary morphism

**definition** *bin-morph-of'* :: ' $a$  list  $\Rightarrow$  ' $a$  list  $\Rightarrow$   $\text{binA}$  list  $\Rightarrow$  ' $a$  list **where** *bin-morph-of'*  
 $x\ y\ u = \text{concat} (\text{map} (\lambda a. (\text{case } a \text{ of } \text{bina} \Rightarrow x \mid \text{binb} \Rightarrow y))\ u)$

**definition** *bin-morph-of* :: ' $a$  list  $\Rightarrow$  ' $a$  list  $\Rightarrow$   $\text{binA}$  list  $\Rightarrow$  ' $a$  list **where** *bin-morph-of*  
 $x\ y\ u = \text{concat} (\text{map} (\lambda a. \text{if } a = \text{bina} \text{ then } x \text{ else } y)\ u)$

**lemma** *case-finite-2-if-else*:  $\text{case-finite-2 } x\ y = (\lambda a. \text{if } a = \text{bina} \text{ then } x \text{ else } y)$   
**by** (*standard, simp split: finite-2.split*)

**lemma** *bin-morph-of-case-def*:  $\text{bin-morph-of } x\ y\ u = \text{concat} (\text{map} (\lambda a. (\text{case } a \text{ of } \text{bina} \Rightarrow x \mid \text{binb} \Rightarrow y))\ u)$   
**unfolding** *bin-morph-of-def case-finite-2-if-else..*

**lemma** *case-finiteD*:  $\text{case-finite-2 } (f\ \mathbf{a})\ (f\ \mathbf{b}) = f^{\mathbf{C}}$

**proof**

**show** ( $\text{case } x \text{ of } \text{bina} \Rightarrow f\ \mathbf{a} \mid \text{binb} \Rightarrow f\ \mathbf{b}$ ) =  $f^{\mathbf{C}}\ x$  **for**  $x$

**unfolding** *core-def* **by** (*cases rule: finite-2.exhaust[of  $x$ ]*) *auto*

**qed**

**lemma** *case-finiteD'*:  $\text{case-finite-2 } (f\ \mathbf{a})\ (f\ \mathbf{b})\ u = f^{\mathbf{C}}\ u$   
**using** *case-finiteD* **by** *metis*

**lemma** *bin-morph-of-maps*:  $\text{bin-morph-of } x\ y = \text{List.maps } (\text{case-finite-2 } x\ y)$   
**unfolding** *bin-morph-of-def maps-def* **unfolding** *case-finite-2-if-else* **by** *simp*

**lemma** *bin-morph-ofD*:  $(\text{bin-morph-of } x\ y)\ \mathbf{a} = x\ (\text{bin-morph-of } x\ y)\ \mathbf{b} = y$   
**unfolding** *bin-morph-of-def* **by** *simp-all*

**lemma** *bin-range-swap*:  $\text{range } f = \{f\ (a::\text{binA}), f\ (1-a)\}$  (**is**  $?P\ a$ )  
**using** *bin-swap-induct[of  $?P\ \text{bina}$ ]* **unfolding** *binA-simps bin-UNIV* **by** *auto*

**lemma** *bin-morph-of-core-range*:  $\text{range } (\text{bin-morph-of } x\ y)^{\mathbf{C}} = \{x, y\}$   
**unfolding** *bin-core-range bin-morph-ofD..*

**lemma** *bin-morph-of-morph*: *morphism* ( $\text{bin-morph-of } x\ y$ )  
**unfolding** *bin-morph-of-def* **by** (*simp add: morphism.intro*)

**lemma** *bin-morph-of-bin-morph*: *binary-morphism* ( $\text{bin-morph-of } x\ y$ )  
**unfolding** *binary-morphism-def* **using** *bin-morph-of-morph*.

**lemma** *bin-morph-of-range*:  $\text{range } (\text{bin-morph-of } x\ y) = \langle \{x, y\} \rangle$   
**using** *morphism.range-hull[of  $\text{bin-morph-of } x\ y$ , *unfolded bin-morph-of-core-range*,*

*OF bin-morph-of-morph*].

**context** *binary-code*  
**begin**

**lemma** *code-morph-of: code-morphism (bin-morph-of u<sub>0</sub> u<sub>1</sub>)*  
  **using** *binary-morphism.bin-code-morphismI[OF bin-morph-of-bin-morph, of u<sub>0</sub>*  
  *u<sub>1</sub> bina]*  
  **unfolding** *binA-simps bin-morph-ofD* **using** *non-comm.*

**lemma** *inj-morph-of: inj (bin-morph-of u<sub>0</sub> u<sub>1</sub>)*  
  **using** *code-morphism.code-morph[OF code-morph-of]*.

**end**

## 7.4 Two binary morphism

**locale** *two-binary-morphisms = two-morphisms g h*  
  **for** *g h :: binA list ⇒ 'a list*

**begin**

**lemma** *eq-on-letters-eq: g a = h a ⇒ g b = h b ⇒ g = h*  
  **by** (*rule def-on-sings-eq, rule bin-induct*) *blast+*

**sublocale** *g: binary-morphism g*  
  **by** *unfold-locales*

**sublocale** *h: binary-morphism h*  
  **by** *unfold-locales*

**lemma** *rev-morphs: two-binary-morphisms (rev-map g) (rev-map h)*  
  **using** *rev-maps* **by** (*intro two-binary-morphisms.intro*)

**lemma** *solution-UNIV:*

**assumes** *s ≠ ε* **and** *g s = h s* **and**  $\bigwedge a. g [a] \neq h [a]$   
  **shows** *set s = UNIV*

**proof** (*rule ccontr, elim not-UNIV-E unique-letter-wordE''*)

**fix** *a k* **assume** *\*: s = [a]<sup>@ k</sup>*

**then have**  $0 < k$  **using**  $\langle s \neq \varepsilon \rangle$  **by** *blast*

**have**  $g [a] = h [a]$  **using**  $\langle g s = h s \rangle$  **unfolding** *\* g.pow-morph h.pow-morph*  
  **by** (*fact pow-eq-eq[OF - <0 < k>]*)

**then show** *False* **using**  $\langle g [a] \neq h [a] \rangle$  **by** *contradiction*

**qed**

**lemma** *solution-len-im-sing-less:*

**assumes** *sol: g s = h s* **and** *set: a ∈ set s* **and** *less: |g [a]| < |h [a]|*

**shows**  $|h [1-a]| < |g [1-a]|$

**proof** (*intro not-le-imp-less notI*)

**assume**  $|g [1-a]| \leq |h [1-a]|$

**with** *less-imp-le*[*OF less*] **have**  $|g [b]| \leq |h [b]|$  **for**  $b$   
**by** (*fact bin-swap-induct*)  
**from** *this set less*  
**have**  $|g s| < |h s|$  **by** (*rule len-im-less*[*of s*])  
**then show** *False* **using** *lenarg*[*OF sol*] **by** *simp*  
**qed**

**lemma** *solution-len-im-sing-le*:

**assumes** *sol*:  $g s = h s$  **and** *set*:  $set s = UNIV$  **and** *less*:  $|g [a]| \leq |h [a]|$   
**shows**  $|h [1-a]| \leq |g [1-a]|$   
**proof** (*intro leI notI*)  
**assume**  $|g [1-a]| < |h [1-a]|$   
**from** *solution-len-im-sing-less*[*OF sol - this*]  
**have**  $|h [a]| < |g [a]|$  **unfolding** *set binA-simps* **by** *blast*  
**then show** *False* **using**  $\langle |g [a]| \leq |h [a]| \rangle$  **by** *simp*  
**qed**

**lemma** *solution-sing-len-cases*:

**assumes** *set*:  $set s = UNIV$  **and** *sol*:  $g s = h s$  **and**  $g \neq h$   
**obtains**  $a$  **where**  $|g [a]| < |h [a]|$  **and**  $|h [1-a]| < |g [1-a]|$   
**proof** (*cases rule: linorder-cases*)  
**show**  $|g [hd s]| < |h [hd s]| \implies$  *thesis*  
**using** *solution-len-im-sing-less*[*OF sol*] **that** **unfolding** *set* **by** *blast*  
**interpret** *swap*: *two-binary-morphisms*  $h g$  **by** *unfold-locales*  
**show**  $|h [hd s]| < |g [hd s]| \implies$  *thesis*  
**using** *swap.solution-len-im-sing-less*[*OF sol*][*symmetric*]  
*solution-len-im-sing-less*[*OF sol*] **that** **unfolding** *set* **by** *blast*  
**have**  $s \neq \varepsilon$  **using** *set* **by** *auto*  
**assume**  $*$ :  $|g [hd s]| = |h [hd s]|$   
**moreover** **have**  $|g [1 - (hd s)]| = |h [1 - (hd s)]|$   
**proof** (*rule ccontr, elim linorder-neqE*)  
**show**  $|g [1 - (hd s)]| < |h [1 - (hd s)]| \implies$  *False*  
**using** *solution-len-im-sing-less*[*OF sol, of 1 - (hd s)*]  
**unfolding** *set binA-simps \** **by** *blast*  
**next**  
**show**  $|h [1 - (hd s)]| < |g [1 - (hd s)]| \implies$  *False*  
**using** *swap.solution-len-im-sing-less*[*OF sol*][*symmetric*], *of 1 - (hd s)*  
**unfolding** *set binA-simps \** **by** *blast*  
**qed**  
**ultimately** **have**  $|g [a]| = |h [a]|$  **for**  $a$  **by** (*fact bin-swap-induct*)  
**from** *def-on-sings*[*OF solution-eq-len-eq*][*OF sol this*]  
**show** *thesis* **unfolding** *set* **using**  $\langle g \neq h \rangle$  **by** *blast*  
**qed**

**lemma** *len-ims-sing-neq*:

**assumes**  $g s = h s$   $g \neq h$  *set*  $s = binUNIV$   
**shows**  $|g [c]| \neq |h [c]|$   
**proof**(*rule solution-sing-len-cases*[*OF*  $\langle set s = binUNIV \rangle$   $\langle g s = h s \rangle$   $\langle g \neq h \rangle$ ])  
**fix**  $a$  **assume** *less*:  $|g [a]| < |h [a]|$   $|h [1 - a]| < |g [1 - a]|$

**show**  $|g [c]| \neq |h [c]|$   
**by** (rule *bin-swap-exhaust*[of *c a*]) (use less **in force**)+  
**qed**  
**end**

**lemma** *two-binary-morphismsI*: *binary-morphism g*  $\implies$  *binary-morphism h*  $\implies$   
*two-binary-morphisms g h*  
**unfolding** *binary-morphism-def two-binary-morphisms-def* **using** *two-morphisms.intro*.

## 7.5 Binary code morphism

### 7.5.1 Locale - binary code morphism

**locale** *binary-code-morphism = code-morphism f* :: *binA list*  $\Rightarrow$  '*a list* **for** *f*

**begin**

**lemma** *morph-bin-morph-of*:  $f = \text{bin-morph-of } (f \ \mathbf{a}) \ (f \ \mathbf{b})$   
**using** *morph-concat-map* **unfolding** *bin-morph-of-def case-finiteD*  
*case-finite-2-if-else*[*symmetric*] **by** *simp*

**lemma** *non-comm-morph* [*simp*]:  $f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$   
**unfolding** *morph*[*symmetric*] **using** *code-morph-code bin-swap-neq* **by** *blast*

**lemma** *non-comp-morph*:  $\neg f [a] \cdot f [1-a] \bowtie f [1-a] \cdot f [a]$   
**using** *comm-comp-eq non-comm-morph* **by** *blast*

**lemma** *swap-non-comm-morph* [*simp, intro*]:  $a \neq b \implies f [a] \cdot f [b] \neq f [b] \cdot f [a]$   
**using** *bin-neq-swap non-comm-morph* **by** *blast*

**thm** *bin-core-range*[of *f*]

**lemma** *bin-code-morph-rev-map*: *binary-code-morphism (rev-map f)*  
**unfolding** *binary-code-morphism-def* **using** *code-morphism-rev-map*.

**sublocale** *swap*: *binary-code f b f a*  
**using** *non-comm-morph*[of *binb*] **unfolding** *binA-simps* **by** *unfold-locales*

**sublocale** *binary-code f a f b*  
**using** *swap.bin-code-swap*.

**notation** *bin-code-lcp* ( $\langle \alpha \rangle$ ) **and**  
*bin-code-lcs* ( $\langle \beta \rangle$ ) **and**  
*bin-code-mismatch-fst* ( $\langle c_0 \rangle$ ) **and**  
*bin-code-mismatch-snd* ( $\langle c_1 \rangle$ )

**term** *bin-lcp* (*f a*) (*f b*)

**abbreviation** *bin-morph-mismatch* ( $\langle c \rangle$ )

**where** *bin-morph-mismatch a*  $\equiv$  *bin-mismatch* (*f*[*a*]) (*f*[*1-a*])

**abbreviation** *bin-morph-mismatch-suf* ( $\langle \mathfrak{D} \rangle$ )

**where** *bin-morph-mismatch-suf*  $a \equiv \text{bin-mismatch-suf } (f[1-a]) (f[a])$

**lemma** *bin-lcp-def'*:  $\alpha = f ([a] \cdot [1-a]) \wedge_p f ([1-a] \cdot [a])$   
**by** (*rule bin-exhaust*[of  $a$   $\alpha = f ([a] \cdot [1-a]) \wedge_p f ([1-a] \cdot [a])$ ],  
*unfold morph*, use *binA-simps*(3-4) *bin-lcp-def* **in force**)  
(*unfold bin-lcp-def lcp-sym*[of  $f[a] \cdot f[1-a]$   $f[1-a] \cdot f[a]$ ],  
use *binA-simps*(3-4) **in auto**)

**lemma** *bin-lcp-neq*:  $a \neq b \implies \alpha = f ([a] \cdot [b]) \wedge_p f ([b] \cdot [a])$   
**using** *bin-neq-swap*[of  $a$   $b$ ] **unfolding** *bin-lcp-def'*[of  $a$ ] **by blast**

**lemma** *sing-im*:  $f [a] \in \{f \mathbf{a}, f \mathbf{b}\}$   
**using** *finite-2.exhaust*[of  $a$  *?thesis*] **by fastforce**

**lemma** *bin-mismatch-inj*: *inj c*  
**unfolding** *inj-on-def*  
**using** *non-comm-morph*[folded *bin-mismatch-comm*] *bin-neq-swap* **by force**

**lemma** *map-in-lists*:  $\text{map } (\lambda x. f [x]) w \in \text{lists } \{f \mathbf{a}, f \mathbf{b}\}$   
**proof** (*induct w*)  
**case** (*Cons a w*)  
**then show** *?case*  
**unfolding** *list.map*(2) **using** *sing-im* **by simp**  
**qed simp**

**lemma** *bin-morph-lcp-short*:  $|\alpha| < |f [a]| + |f[1-a]|$   
**using** *finite-2.exhaust*[of  $a$  *?thesis*] *bin-lcp-short* **by force**

**lemma** *swap-not-pref-bin-lcp*:  $\neg f([a] \cdot [1-a]) \leq_p \alpha$   
**using** *pref-len*[of  $f [a] \cdot f [1-a]$   $\alpha$ ] **unfolding** *morph lenmorph* **using** *bin-morph-lcp-short*[of  $a$ ] **by force**

**thm** *local.bin-mismatch-inj*

**lemma** *bin-mismatch-suf-inj*: *inj d*  
**using** *binary-code-morphism.bin-mismatch-inj*[OF *bin-code-morph-rev-map*, *reversed*].

**lemma** *bin-lcp-sing*:  $\text{bin-lcp } (f [a]) (f [1-a]) = \alpha$   
**unfolding** *bin-lcp-def*  
**by** (*rule finite-2.exhaust*[of  $a$ ], *simp-all add: lcp-sym*)

**lemma** *bin-lcs-sing*:  $\text{bin-lcs } (f [a]) (f [1-a]) = \beta$   
**unfolding** *bin-lcs-def*  
**by** (*rule finite-2.exhaust*[of  $a$ ], *simp-all add: lcs-sym*)

**lemma** *bin-code-morph-sing*:  $\text{binary-code } (f [a]) (f [1-a])$   
**unfolding** *binary-code-def*

by (cases rule: *binA-neq-cases*[*OF bin-swap-neq'*, of *a*]) *simp-all*

**lemma** *bin-mismatch-swap-neq*:  $c\ a \neq c\ (1-a)$   
**using** *bin-code-morph-sing binary-code.bin-mismatch-neq* **by** *auto*

**lemma** *long-bin-lcp-hd*: **assumes**  $|f\ w| \leq |\alpha|$   
**shows**  $w \in [hd\ w]^*$   
**proof** (*rule ccontr*)  
**assume**  $\neg w \in [hd\ w]^*$   
**from** *distinct-letter-in-hd*[*OF this*]  
**obtain**  $m\ b\ suf$  **where**  $w: [hd\ w]^{\otimes m} \cdot [b] \cdot suf = w$  **and**  $b \neq hd\ w$  **and**  $m \neq 0$ .  
**have** *ineq*:  $|f\ [b]| + |f\ [hd\ w]| \leq |f\ w|$   
**using** *quotient-smaller*[*OF*  $\langle m \neq 0 \rangle$ , of  $|f\ [hd\ w]|$ ]  
**unfolding** *arg-cong*[*OF*  $w$ , of  $\lambda x. |f(x)|$ , *unfolded morph lenmorph pow-morph pow-len, symmetric*]  
**by** *linarith*  
**hence**  $|f\ a| + |f\ b| \leq |f\ w|$   
**using**  $\langle b \neq hd\ w \rangle$  *alphabet-or*[of  $b$ ] *alphabet-or*[of  $hd\ w$ ] *add commute* **by** *fastforce*  
**thus** *False*  
**using** *bin-lcp-short*  $\langle |f\ w| \leq |\alpha| \rangle$  **by** *linarith*  
**qed**

**thm** *nonerasing*  
*nonerasing-morphism.nonerasing*  
**lemmas** *nonerasing = nonerasing*

**thm** *nonerasing-morphism.nonerasing*  
*binary-code-morphism.nonerasing*

**lemma** *bin-morph-lcp-mismatch-pref*:  
 $\alpha \cdot [c\ a] \leq_p f\ [a] \cdot \alpha$   
**using** *binary-code.bin-fst-mismatch*[*OF bin-code-morph-sing*] **unfolding** *bin-lcp-sing*.

**lemma**  $[\delta\ a] \cdot \beta \leq_s \beta \cdot f\ [a]$  **using** *binary-code-morphism.bin-morph-lcp-mismatch-pref*[*OF bin-code-morph-rev-map, reversed*].

**lemma** *bin-lcp-pref-all*:  $\alpha \leq_p f\ w \cdot \alpha$   
**proof**(*induct w rule: rev-induct*)  
**case** (*snoc x xs*)  
**from** *pref-prolong*[*OF this, of f[x]·α, unfolded lassoc*]  
**show** *?case*  
**unfolding** *morph*[of  $xs\ [x]$ ] **using** *bin-lcp-fst-lcp bin-lcp-snd-lcp alphabet-or*[of  $x$ ] **by** *blast*  
**qed** *simp*

**lemma** *bin-lcp-spref-all*:  $w \neq \varepsilon \implies \alpha <_p f\ w \cdot \alpha$   
**using** *per-rootI*[*OF bin-lcp-pref-all*] *nemp-to-nemp* **by** *presburger*

**lemma** *pref-mono-lcp*: **assumes**  $w \leq_p w'$  **shows**  $f\ w \cdot \alpha \leq_p f\ w' \cdot \alpha$   
**proof**–

**from**  $\langle w \leq_p w' \rangle$   
**obtain**  $z$  **where**  $w' = w \cdot z$   
**unfolding** *prefix-def* **by** *fast*  
**show** *?thesis*  
**unfolding**  $\langle w' = w \cdot z \rangle$  *morph rassoc pref-cancel-conv* **using** *bin-lcp-pref-all*.  
**qed**

**lemma** *long-bin-lcp*: **assumes**  $w \neq \varepsilon$  **and**  $|f w| \leq |\alpha|$   
**shows**  $w \in [hd w]^*$   
**proof**(*rule ccontr*)  
**assume**  $w \notin [hd w]^*$   
**obtain**  $m b q$  **where**  $[hd w]^{\otimes m} \cdot [b] \cdot q = w$  **and**  $b \neq hd w$  **and**  $m \neq 0$   
**using** *distinct-letter-in-hd*[*OF*  $\langle w \notin [hd w]^* \rangle$ ].  
**have** *ineq*:  $|f ([hd w]^{\otimes m} \cdot [b])| \leq |f w|$   
**using** *arg-cong*[*OF*  $\langle [hd w]^{\otimes m} \cdot [b] \cdot q = w \rangle$ , *of*  $\lambda x. |f x|$ ]  
**unfolding** *morph lenmorph* **by** *force*  
**have** *eq*:  $m * |f [hd w]| + |f [b]| = |f ([hd w]^{\otimes m} \cdot [b])|$   
**by** (*simp add: morph pow-len pow-morph*)  
**have**  $|f [hd w]| + |f [b]| \leq m * |f [hd w]| + |f [b]|$   
**using** *ineq*  $\langle m \neq 0 \rangle$  **by** *simp*  
**hence**  $|f [hd w]| + |f [b]| \leq |f w|$   
**using** *eq ineq* **by** *linarith*  
**hence**  $|f a| + |f b| \leq |f w|$   
**using** *binA-neq-cases* [*OF*  $\langle b \neq hd w \rangle$ ] **by** *fastforce*  
**thus** *False*  
**using** *bin-lcp-short*  $\langle |f w| \leq |\alpha| \rangle$  **by** *linarith*  
**qed**

**thm** *sing-to-nemp*  
*nonerasing*

**lemma** *bin-mismatch-code-morph*:  $c_0 = c \ 0 \ c_1 = c \ 1$   
**unfolding** *bin-mismatch-def bin-lcp-def* **by** *simp-all*

**lemma** *bin-lcp-mismatch-pref-all*:  $\alpha \cdot [c a] \leq_p f [a] \cdot f w \cdot \alpha$   
**using** *pref-prolong*[*OF* *bin-fst-mismatch bin-lcp-pref-all*[*of w*]]  
*pref-prolong*[*OF* *bin-snd-mismatch bin-lcp-pref-all*[*of w*]]  
**unfolding** *bin-mismatch-code-morph*  
**by** (*cases rule: finite-2.exhaust*[*of a*]) *simp-all*

**lemma** *bin-fst-mismatch-all*:  $\alpha \cdot [c_0] \leq_p f a \cdot f w \cdot \alpha$   
**using** *pref-prolong*[*OF* *bin-fst-mismatch bin-lcp-pref-all*[*of w*]].

**lemma** *bin-snd-mismatch-all*:  $\alpha \cdot [c_1] \leq_p f b \cdot f w \cdot \alpha$   
**using** *pref-prolong*[*OF* *bin-snd-mismatch bin-lcp-pref-all*[*of w*]] **by** *simp*

**lemma** *bin-long-mismatch*: **assumes**  $|\alpha| < |f w|$  **shows**  $\alpha \cdot [c (hd w)] \leq_p f w$   
**proof**–  
**have**  $w \neq \varepsilon$

**using** *assms emp-to-emp emp-len* **by** *force*  
**have**  $f w = f[hd w] \cdot f (tl w)$   
**unfolding** *pop-hd[symmetric]* **unfolding** *hd-word[of hd w tl w]*  
*hd-tl[OF  $\langle w \neq \varepsilon \rangle$ ]*.  
**have**  $\alpha \cdot [c (hd w)] \leq_p f w \cdot \alpha$   
**using** *bin-lcp-mismatch-pref-all[of hd w tl w]*  
**unfolding** *lassoc  $\langle f w = f[hd w] \cdot f (tl w) \rangle$ [symmetric]*.  
**moreover** **have**  $|\alpha \cdot [c (hd w)]| \leq |f w|$   
**unfolding** *lenmorph sing-len* **using** *assms* **by** *linarith*  
**ultimately show** *?thesis* **by** *blast*  
**qed**

**lemma** *sing-pow-mismatch*: **assumes**  $f [a] = [b]^{\textcircled{a}} Suc n$  **shows**  $c a = b$   
**proof**–

— auxiliary  
**have** *aritm*:  $Suc n * Suc |\alpha| = Suc (n * |\alpha| + n + |\alpha|)$   
**by** *auto*  
**have** *set*:  $set ([b]^{\textcircled{a}} (Suc n * Suc |\alpha|)) = \{b\}$   
**unfolding** *aritm* **using** *sing-pow-set-Suc*.  
**have** *elem*:  $c a \in set (\alpha \cdot [c a])$   
**by** *simp*  
**have** *hd*:  $hd ([a]^{\textcircled{a}} Suc |\alpha|) = a$   
**by** *fastforce*  
— proof  
**let** *?w* =  $[a]^{\textcircled{a}} Suc |\alpha|$   
**have** *fw*:  $f ?w = [b]^{\textcircled{a}} (Suc n * Suc |\alpha|)$   
**unfolding** *pow-mult assms[symmetric]* *pow-morph..*  
**have**  $|\alpha| < |f ?w|$   
**unfolding** *fw pow-len sing-len* **by** *force*  
**from** *set-mono-prefix[OF bin-long-mismatch[OF this, unfolded fw]]*  
**show**  $c a = b$   
**unfolding** *hd set* **using** *elem* **by** *blast*

**qed**

**lemma** *sing-pow-mismatch-suf*:  $f [a] = [b]^{\textcircled{a}} Suc n \implies \mathfrak{d} a = b$

**using** *binary-code-morphism.sing-pow-mismatch[OF bin-code-morph-rev-map, reversed]*.

**lemma** *bin-lcp-swap-hd*:  $f [a] \cdot f w \cdot \alpha \wedge_p f [1-a] \cdot f w' \cdot \alpha = \alpha$

**using** *lcp-first-mismatch[OF bin-mismatch-swap-neq, of  $\alpha a$ ]*  
*bin-lcp-mismatch-pref-all[of a w]* *bin-lcp-mismatch-pref-all[of 1-a w']*  
**unfolding** *prefix-def rassoc* **by** *force*

**lemma** *bin-lcp-neq-hd*:  $a \neq b \implies f [a] \cdot f w \cdot \alpha \wedge_p f [b] \cdot f w' \cdot \alpha = \alpha$

**using** *bin-lcp-swap-hd bin-neq-swap* **by** *blast*

**lemma** *bin-mismatch-swap-not-comp*:  $\neg f [a] \cdot f w \cdot \alpha \bowtie_p f [1-a] \cdot f w' \cdot \alpha$

**unfolding** *prefix-comparable-def lcp-pref-conv[symmetric]* *bin-lcp-swap-hd*



*bin-lcp-swap-hd*[of  $1-a$ , *unfolded binA-simps*] **using** *sing-to-nemp* **by**  
*auto*

**lemma** *bin-lcp-root*:  $\alpha <_p f [a] \cdot \alpha$   
**using** *alphabet-or*[of  $a$ ] *per-rootI*[OF *bin-lcp-pref-all*[of  $\mathfrak{b}$ ] *bin-snd-nemp*] *per-rootI*[OF  
*bin-lcp-pref-all*[of  $a$ ] *bin-fst-nemp*] **by** *blast*

**lemma** *bin-lcp-pref*: **assumes**  $w \notin \mathfrak{b}^*$  **and**  $w \notin \mathfrak{a}^*$   
**shows**  $\alpha \leq_p (f w)$

**proof**–

**have**  $w \neq \varepsilon$

**using**  $\langle \neg (w \in \mathfrak{b}^*) \rangle$  *emp-all-roots* **by** *blast*

**have**  $w \notin [hd w]^*$

**using** *assms alphabet-or*[of  $hd w$ ] **by** *presburger*

**hence**  $|\alpha| \leq |f w|$

**using** *long-bin-lcp*[OF  $\langle w \neq \varepsilon \rangle$ ] *nat-le-linear*[of  $|f w|$   $|\alpha|$ ] **by** *blast*

**show** *?thesis*

**using** *pref-prod-le*[OF *bin-lcp-pref-all*  $\langle |\alpha| \leq |f w| \rangle$ ].

**qed**

**lemma** *bin-lcp-pref''*:  $[a] \leq f w \implies [1-a] \leq f w \implies \alpha \leq_p (f w)$

**using** *bin-lcp-pref*[of  $w$ ] *sing-pow-fac'*[OF *bin-distinct*(1), of  $w$ ] *sing-pow-fac'*[OF  
*bin-distinct*(2), of  $w$ ]

**by** (*cases rule: finite-2.exhaust*[of  $a$ ]) *force+*

**lemma** *bin-lcp-pref'*:  $\mathfrak{a} \leq f w \implies \mathfrak{b} \leq f w \implies \alpha \leq_p (f w)$

**using** *bin-lcp-pref''*[of *binA*, *unfolded binA-simps*].

**lemma** *bin-lcp-mismatch-pref-all-set*: **assumes**  $1-a \in \text{set } w$

**shows**  $\alpha \cdot [c a] \leq_p f [a] \cdot f w$

**proof**–

**have**  $|f[1-a]| \leq |f w|$

**using** *fac-len'* *morph split-list'*[OF *assms*] **by** *metis*

**hence**  $|\alpha \cdot [c a]| \leq |f [a] \cdot f w|$

**using** *bin-lcp-short unfolding lenmorph sing-len*

**by** (*cases rule: finite-2.exhaust*[of  $a$ ]) *fastforce+*

**from** *bin-lcp-mismatch-pref-all*[*unfolded lassoc*, THEN *pref-prod-le*, OF *this*]

**show** *?thesis*.

**qed**

**lemma** *bin-lcp-comp-hd*:  $\alpha \bowtie f (\mathfrak{a} \cdot w0) \wedge_p f (\mathfrak{b} \cdot w1)$

**using** *ruler*[OF *bin-lcp-pref-all*[of  $\mathfrak{a} \cdot w0$ ]

*pref-trans*[OF *lcp-pref*[of  $f (\mathfrak{a} \cdot w0)$   $f (\mathfrak{b} \cdot w1)$ ], of  $f (\mathfrak{a} \cdot w0) \cdot \alpha$ , OF *triv-pref*]]

**unfolding** *prefix-comparable-def*.

**lemma** *sing-mismatch*: **assumes**  $f \mathfrak{a} \in [a]^*$  **shows**  $c_0 = a$

**proof**–

**have**  $\alpha \in [a]^*$

**using** *per-one*[OF *per-root-trans*[OF *bin-lcp-root assms*]].

**hence**  $f\ a \cdot \alpha \in [a]^*$   
**using**  $\langle f\ a \in [a]^* \rangle$  **add-roots by blast**  
**from** *sing-pow-fac'*[OF - this, of  $c_0$ ]  
**show**  $c_0 = a$   
**using** *facI'*[OF lq-pref[OF bin-fst-mismatch, unfolded rassoc]] **by blast**  
**qed**

**lemma** *sing-mismatch'*: **assumes**  $f\ b \in [a]^*$  **shows**  $c_1 = a$   
**proof-**

**have**  $\alpha \in [a]^*$   
**using** *per-one*[OF per-root-trans[OF bin-lcp-root assms]].  
**hence**  $f\ b \cdot \alpha \in [a]^*$   
**using**  $\langle f\ b \in [a]^* \rangle$  **add-roots by blast**  
**from** *sing-pow-fac'*[OF - this, of  $c_1$ ]  
**show** *?thesis*  
**using** *facI'*[OF lq-pref[OF bin-snd-mismatch, unfolded rassoc]] **by blast**  
**qed**

**lemma** *bin-lcp-comp-all*:  $\alpha \bowtie (f\ w)$   
**unfolding** *prefix-comparable-def* **using** *ruler*[OF bin-lcp-pref-all triv-pref].

**lemma** *not-comp-bin-swap*:  $\neg f\ [a] \cdot \alpha \bowtie f\ [1-a] \cdot \alpha$   
**using** *not-comp-bin-fst-snd bin-exhaust*[of a *?thesis*]  
**unfolding** *prefix-comparable-def*  
**by** *simp*

**lemma** *mismatch-pref*:

**assumes**  $\alpha \leq_p f\ ([a] \cdot w0)$  **and**  $\alpha \leq_p f\ ([1-a] \cdot w1)$   
**shows**  $\alpha = f\ ([a] \cdot w0) \wedge_p f\ ([1-a] \cdot w1)$   
**proof-**  
**have**  $f\ ([a] \cdot w0) \cdot \alpha \wedge_p f\ ([1-a] \cdot w1) \cdot \alpha = \alpha$   
**unfolding** *morph* **using** *bin-lcp-swap-hd*[*unfolded lassoc*].  
**hence**  $f\ ([a] \cdot w0) \wedge_p f\ ([1-a] \cdot w1) \leq_p \alpha$   
**using** *lcp.mono*[OF *triv-pref*[of  $f\ ([a] \cdot w0)\ \alpha$ ] *triv-pref*[of  $f\ ([1-a] \cdot w1)\ \alpha$ ]]  
**by** *presburger*  
**moreover** **have**  $\alpha \leq_p f\ ([a] \cdot w0) \wedge_p f\ ([1-a] \cdot w1)$   
**using** *assms pref-pref-lcp* **by blast**  
**ultimately show** *?thesis*  
**using** *pref-antisym* **by blast**  
**qed**

**lemma** *bin-set-UNIV-length*: **assumes**  $set\ w = UNIV$  **shows**  $|f\ [a]| + |f\ [1-a]| \leq |f\ w|$

**proof-**

**have**  $w \neq \varepsilon$   
**using**  $\langle set\ w = UNIV \rangle$  **by force**  
**from** *set-ConsD*[of  $1 - hd\ w\ hd\ w\ tl\ w$ , *unfolded list.collapse*[OF *this*] *assms*[*folded swap-UNIV*[of  $hd\ w$ ]]]  
**have**  $1 - (hd\ w) \in set\ (tl\ w)$

```

    using bin-swap-neq[of hd w] by blast
  from in-set-morph-len[OF this]
  have |f [1-hd w]| ≤ |f (tl w)|.
  with lenarg[OF arg-cong[of - · f, OF hd-tl[OF ‹w ≠ ε›]]]
  have |f [hd w]| + |f [1-hd w]| ≤ |f w|
    unfolding morph-lenmorph by linarith
  thus ?thesis
    using bin-swap-exhaust[of a hd w ?thesis] by force
qed

```

```

lemma set-UNIV-bin-lcp-pref: assumes set w = UNIV shows α · [c (hd w)] ≤p
f w
  using bin-long-mismatch[OF less-le-trans[OF bin-morph-lcp-short bin-set-UNIV-length[OF
assms]]].

```

```

lemmas not-comp-bin-lcp-pref = bin-not-comp-set-UNIV[THEN set-UNIV-bin-lcp-pref]

```

```

lemma marked-lcp-conv: marked-morphism f ↔ α = ε
proof

```

```

  assume marked-morphism f
  then interpret marked-morphism f by blast
  from marked-core[unfolded core-def] core-nemp[unfolded core-def]
  have hd (f a · f b) ≠ hd (f b · f a)
    using hd-append finite-2.distinct by auto
  thus α = ε
    unfolding bin-lcp-def using lcp-distinct-hd by blast

```

```

next

```

```

  assume α = ε
  have hd (f a) ≠ hd (f b)
    by (rule nemp-lcp-distinct-hd[OF sing-to-nemp sing-to-nemp])
    (use lcp-append-monotone[of f a f b f b f a, unfolded ‹α = ε›[unfolded
bin-lcp-def]])
  in simp)
  show marked-morphism f
  proof
    fix a b :: binA assume hd (fc a) = hd (fc b)
    from im-swap-neq[OF this[unfolded core-def] ‹hd (f a) ≠ hd (f b)›]
    show a = b.
  qed

```

```

qed

```

```

lemma im-comm-lcp: f w · α = α · f w ⇒ (∀ a. a ∈ set w ⇒ f [a] · α = α · f
[a])

```

```

proof (induct w)
  case (Cons a w)
  then show ?case
  proof (cases w = ε)
    assume w = ε
    show ?thesis
  qed

```

**using** *Cons.prem1* **unfolding**  $\langle w = \varepsilon \rangle$  **by force**  
**next**  
**assume**  $w \neq \varepsilon$   
**have**  $eq: f [a] \cdot f w \cdot \alpha = \alpha \cdot f [a] \cdot f w$   
**unfolding** *morph[symmetric]*  
**unfolding** *lassoc morph[symmetric] hd-til[OF  $\langle w \neq \varepsilon \rangle$ ]*  
**using**  $\langle f (a \# w) \cdot \alpha = \alpha \cdot f (a \# w) \rangle$  **by force**  
**have**  $f [a] \cdot \alpha \leq_p f [a] \cdot f w \cdot \alpha$   
**unfolding** *pref-cancel-conv* **using** *bin-lcp-pref-all*.  
**hence**  $f [a] \cdot \alpha = \alpha \cdot f [a]$   
**using** *eqd-eq[of  $\alpha \cdot f [a]$ , OF -swap-len]* **unfolding** *prefix-def eq rassoc* **by**  
*metis*  
**from** *eq[unfolded lassoc, folded this, unfolded rassoc cancel]*  
**have**  $f w \cdot \alpha = \alpha \cdot f w$ .  
**from** *Cons.hyps[OF this]*  $\langle f [a] \cdot \alpha = \alpha \cdot f [a] \rangle$   
**show** *?thesis* **by fastforce**  
**qed**  
**qed** *simp*

**lemma** *im-comm-lcp-nemp*: **assumes**  $f w \cdot \alpha = \alpha \cdot f w$  **and**  $w \neq \varepsilon$  **and**  $\alpha \neq \varepsilon$   
**obtains**  $k$  **where**  $w = [hd w]^{\textcircled{a}} Suc k$   
**proof**–  
**have**  $set w = \{hd w\}$   
**proof**–  
**have**  $hd w \in set w$  **using**  $\langle w \neq \varepsilon \rangle$  **by force**  
**have**  $a = hd w$  **if**  $a \in set w$  **for**  $a$   
**proof**–  
**have**  $f [a] \cdot \alpha = \alpha \cdot f [a]$  **and**  $f [hd w] \cdot \alpha = \alpha \cdot f [hd w]$   
**using** *that im-comm-lcp[OF  $\langle f w \cdot \alpha = \alpha \cdot f w \rangle$ ]*  $\langle hd w \in set w \rangle$  **by presburger+**  
**from** *comm-trans[OF this  $\langle \alpha \neq \varepsilon \rangle$ ]*  
**show**  $a = hd w$   
**using** *swap-non-comm-morph* **by blast**  
**qed**  
**thus**  $set w = \{hd w\}$   
**using**  $\langle hd w \in set w \rangle$  **by blast**  
**qed**  
**from** *unique-letter-wordE[OF this]*  
**show** *thesis*  
**using** *that* **by blast**  
**qed**

**lemma** *bin-lcp-ims-im-lcp*:  $f w \cdot \alpha \wedge_p f w' \cdot \alpha = f (w \wedge_p w') \cdot \alpha$   
**proof** (*cases*  $w \bowtie w'$ )  
**assume**  $w \bowtie w'$   
**from** *disjE[OF this[unfolded prefix-comparable-def]]*  
**consider**  $w \leq_p w' \mid w' \leq_p w$  **by blast**  
**thus** *?thesis*  
**proof** (*cases*)  
**assume**  $w \leq_p w'$

**hence**  $f w \cdot \alpha \leq_p f w' \cdot \alpha$   
**using** *pref-mono-lcp* **by** *blast*  
**from** *this*[*folded lcp-pref-conv*]  
**show** *?thesis*  
**unfolding**  $\langle w \leq_p w' \rangle$ [*folded lcp-pref-conv*].  
**next**  
**assume**  $w' \leq_p w$   
**hence**  $f w' \cdot \alpha \leq_p f w \cdot \alpha$   
**using** *pref-mono-lcp* **by** *blast*  
**from** *this*[*folded lcp-pref-conv*]  
**show** *?thesis*  
**unfolding** *lcp-sym*[*of f w \cdot \alpha*]  $\langle w' \leq_p w \rangle$ [*folded lcp-pref-conv*, *unfolded lcp-sym*[*of w'*]].  
**qed**  
**next**  
**assume**  $\neg w \bowtie w'$   
**from** *lcp-mismatchE*[*OF this*]  
**obtain**  $ws \ ws' \ \mathbf{where} \ (w \wedge_p w') \cdot ws = w \ (w \wedge_p w') \cdot ws' = w'$   
 $ws \neq \varepsilon \ ws' \neq \varepsilon \ hd \ ws \neq hd \ ws'$ .  
**note** *hd-tl*[*OF*  $\langle ws \neq \varepsilon \rangle$ ] *hd-tl*[*OF*  $\langle ws' \neq \varepsilon \rangle$ ]  
**have**  $w: (w \wedge_p w') \cdot [hd \ ws] \cdot tl \ ws = w$   
**using**  $\langle (w \wedge_p w') \cdot ws = w \rangle \langle [hd \ ws] \cdot tl \ ws = ws \rangle$  **by** *auto*  
**have**  $w': (w \wedge_p w') \cdot [hd \ ws'] \cdot tl \ ws' = w'$   
**using**  $\langle (w \wedge_p w') \cdot ws' = w' \rangle \langle [hd \ ws'] \cdot tl \ ws' = ws' \rangle$  **by** *auto*  
**have**  $f((w \wedge_p w') \cdot [hd \ ws] \cdot tl \ ws) \cdot \alpha \wedge_p f((w \wedge_p w') \cdot [hd \ ws'] \cdot tl \ ws') \cdot \alpha =$   
 $f(w \wedge_p w') \cdot (f([hd \ ws] \cdot tl \ ws) \cdot \alpha \wedge_p f([hd \ ws'] \cdot tl \ ws') \cdot \alpha)$   
**unfolding** *morph* **using** *lcp-ext-left* **by** *auto*  
**thus** *?thesis*  
**unfolding**  $w \ w' \ \mathit{bin-lcp-neq-hd}$ [*OF*  $\langle hd \ ws \neq hd \ ws' \rangle$ , *folded rassoc* *morph*].  
**qed**  
  
**lemma** *per-comp*:  
**assumes**  $r <_p f w \cdot r$   
**shows**  $r \bowtie f w \cdot \alpha$   
**using** *assms*  
**proof**–  
**obtain**  $n \ \mathbf{where} \ r <_p f w^{\textcircled{n}} 0 < n$   
**using** *per-root-powE*[*OF assms*].  
**have**  $f w \cdot \alpha \leq_p f w \cdot f w^{\textcircled{n-1}} \cdot \alpha$   
**using** *bin-lcp-pref-all*[*of w^{\textcircled{n-1}}*]  
**unfolding** *pref-cancel-conv* *pow-morph*.  
**with** *ruler*[*OF* *pref-ext*[*OF* *sprefD1*[*OF*  $\langle r <_p f w^{\textcircled{n}} \rangle$ , *of*  $\alpha$ ], *of*  $f w \cdot \alpha$ ]  
**show** *?thesis*  
**unfolding** *prefix-comparable-def* *pow-pos*[*OF*  $\langle 0 < n \rangle$ ] *rassoc*.  
**qed**  
  
**end**

## 7.5.2 More translations

**lemma** *bin-code-morph-iff'*:  $\text{binary-code-morphism } f \longleftrightarrow \text{morphism } f \wedge f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$

**proof**

**assume** *binary-code-morphism*  $f$

**hence** *morphism*  $f$

**by** (*simp add: binary-code-morphism-def code-morphism-def*)

**have**  $f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$

**using**  $\langle \text{binary-code-morphism } f \rangle$  *binary-code-morphism.non-comm-morph* **by** *auto*

**thus**  $\text{morphism } f \wedge f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$

**using**  $\langle \text{morphism } f \rangle$  **by** *blast*

**next**

**assume**  $\text{morphism } f \wedge f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$

**hence** *morphism*  $f$  **and**  $f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$  **by** *force+*

**from** *binary-code-morphism.intro*[*OF binary-morphism.bin-code-morphismI*][*OF binary-morphism.intro*], *OF this*

**show** *binary-code-morphism*  $f$ .

**qed**

**lemma** *bin-code-morph-iff*:  $\text{binary-code-morphism } (\text{bin-morph-of } x \ y) \longleftrightarrow x \cdot y \neq y \cdot x$

**unfolding** *bin-code-morph-iff'*[*of bin-morph-of x y bina, unfolded binA-simps bin-morph-ofD*]

**using** *bin-morph-of-morph* **by** *blast*

**lemma** *bin-nonzer-morph-iff*:  $\text{nonerasing-morphism } (\text{bin-morph-of } x \ y) \longleftrightarrow x \neq \varepsilon \wedge y \neq \varepsilon$

**proof**

**show**  $x \neq \varepsilon \wedge y \neq \varepsilon \implies \text{nonerasing-morphism } (\text{bin-morph-of } x \ y)$

**by** (*rule morphism.nonzerI*[*OF bin-morph-of-morph, of x y*], *unfold core-def bin-morph-of-def*)

(*simp split: finite-2.split*)

**show**  $\text{nonerasing-morphism } (\text{bin-morph-of } x \ y) \implies x \neq \varepsilon \wedge y \neq \varepsilon$

**using** *nonerasing-morphism.nemp-to-nemp*[*of bin-morph-of x y, of [bina]*]

*nonerasing-morphism.nemp-to-nemp*[*of bin-morph-of x y, of [binb]*]

**unfolding** *bin-morph-of-def* **by** *simp-all*

**qed**

**lemma** *morph-bin-morph-of*:  $\text{morphism } f \longleftrightarrow \text{bin-morph-of } (f \ \mathbf{a}) \ (f \ \mathbf{b}) = f$

**proof**

**show**  $\text{morphism } f \implies \text{bin-morph-of } (f \ \mathbf{a}) \ (f \ \mathbf{b}) = f$

**using** *morphism.morph-concat-map'*[*of f*]

**unfolding** *bin-morph-of-def case-finiteD*[*symmetric, of f*] *case-finite-2-if-else* **by** *blast*

**qed** (*use bin-morph-of-morph in metis*)

**lemma** *two-bin-code-morphs-nonerasing-morphs*: *binary-code-morphism*  $g \implies$  *binary-code-morphism*  $h \implies$  *two-nonerasing-morphisms*  $g$   $h$   
**by** (*simp add: binary-code-morphism.nonerasing binary-code-morphism-def code-morphism.axioms(1) nonerasing-morphism.intro nonerasing-morphism-axioms.intro two-morphisms-def two-nonerasing-morphisms.intro*)

## 7.6 Marked binary morphism

**lemma** *marked-binary-morphI*: **assumes** *morphism*  $f$  **and**  $f [a :: \text{bin}A] \neq \varepsilon$  **and**  $f [1-a] \neq \varepsilon$  **and**  $\text{hd } (f [a]) \neq \text{hd } (f [1-a])$

**shows** *marked-morphism*  $f$

**proof** (*unfold-locales*)

**have**  $f [b] \neq \varepsilon$  **for**  $b$

**by** (*rule bin-swap-exhaust[of b a]*) (*use assms in force*)+

**thus**  $w = \varepsilon$  **if**  $f w = \varepsilon$  **for**  $w$

**using** *morphism.nonerasing-conv[OF ‹morphism f›]* **that** **by** *blast*

**show**  $c = b$  **if**  $\text{hd } (f^c c) = \text{hd } (f^c b)$  **for**  $c$   $b$

**proof** (*rule ccontr*)

**assume**  $c \neq b$

**have**  $\text{hd } (f [c]) \neq \text{hd } (f [b])$

**by** (*rule binA-neq-cases-swap[OF ‹c ≠ b›, of a]*)

(*use ‹hd (f [a]) ≠ hd (f [1-a])› in fastforce*)+

**thus** *False*

**using** *that[unfolded core-def]* **by** *contradiction*

**qed**

**qed** (*simp add: morphism.morph[OF assms(1)]*)

**locale** *marked-binary-morphism* = *marked-morphism*  $f :: \text{bin}A$  *list*  $\Rightarrow$  '*a list* **for**  $f$

**begin**

**lemma** *bin-marked*:  $\text{hd } (f \mathbf{a}) \neq \text{hd } (f \mathbf{b})$

**using** *marked-morph[of bina binb]* **by** *blast*

**lemma** *bin-marked-sing*:  $\text{hd } (f [a]) \neq \text{hd } (f [1-a])$

**by** (*cases rule: finite-2.exhaust[of a]*) (*simp-all add: bin-marked bin-marked[symmetric]*)

**sublocale** *binary-code-morphism*

**using** *binary-code-morphism-def code-morphism-axioms* **by** *blast*

**lemma** *marked-lcp-emp*:  $\alpha = \varepsilon$

**unfolding** *bin-lcp-def*

**proof** (*rule lcp-distinct-hd*)

**show**  $hd (f \mathbf{a} \cdot f \mathbf{b}) \neq hd (f \mathbf{b} \cdot f \mathbf{a})$

**unfolding** *hd-append if-not-P[OF sing-to-nemp]*

**using** *bin-marked*.

**qed**

**lemma** *bin-marked'*:  $(f \mathbf{a})!0 \neq (f \mathbf{b})!0$

**using** *bin-marked* **unfolding** *hd-conv-nth[OF bin-snd-nemp] hd-conv-nth[OF bin-fst-nemp]*.

**lemma** *marked-bin-morph-pref-code*:  $r \bowtie s \vee f (r \cdot z1) \wedge_p f (s \cdot z2) = f (r \wedge_p s)$

**using** *lcp-ext-right marked-morph-lcp[of r · z1 s · z2]* **by** *metis*

**end**

**lemma** *bin-marked-preimg-hd*:

**assumes** *marked-binary-morphism* ( $f :: \text{binA list} \Rightarrow \text{binA list}$ )

**obtains**  $c$  **where**  $hd (f [c]) = a$

**proof**–

**interpret** *marked-binary-morphism*  $f$

**using** *assms*.

**from** *that alphabet-or-neq[OF bin-marked]*

**show** *thesis*

**by** *blast*

**qed**

## 7.7 Marked version

**context** *binary-code-morphism*

**begin**

**definition** *marked-version* ( $\langle f_m \rangle$ ) **where**  $f_m = (\lambda w. \alpha^{-1} \langle f w \cdot \alpha \rangle)$

**lemma** *marked-version-conjugates*:  $\alpha \cdot f_m w = f w \cdot \alpha$

**unfolding** *marked-version-def* **using** *lq-pref[OF bin-lcp-pref-all, of w]*.



**lemma** *marked-eq-conv*:  $f w = f w' \iff f_m w = f_m w'$   
**using** *cancel*[of  $\alpha f_m w f_m w'$ ] **unfolding** *marked-version-conjugates cancel-right*.

**lemma** *marked-marked*: **assumes** *marked-morphism f* **shows**  $f_m = f$   
**using** *marked-version-conjugates*[*unfolded emp-simps*  $\langle$ *marked-morphism f* $\rangle$ ][*unfolded marked-lcp-conv*]]  
**by** *blast*

**lemma** *marked-version-all-nemp*:  $w \neq \varepsilon \implies f_m w \neq \varepsilon$   
**unfolding** *marked-version-def* **using** *bin-lcp-pref-all nonerasing conjug-emp-emp marked-version-def* **by** *blast*

**lemma** *marked-version-binary-code-morph*: *binary-code-morphism f<sub>m</sub>*  
**unfolding** *bin-code-morph-iff'* *morphism-def*  
**proof** (*unfold-locales*)  
**have**  $f (u \cdot v) \cdot \alpha = (f u \cdot \alpha) \cdot \alpha^{-1} \langle f v \cdot \alpha \rangle$  **for**  $u v$   
**unfolding** *rassoc morph cancel lq-pref*[*OF bin-lcp-pref-all*][of  $v$ ]]..  
**thus**  $\bigwedge u v. f_m (u \cdot v) = f_m u \cdot f_m v$   
**unfolding** *marked-version-def lq-reassoc*[*OF bin-lcp-pref-all*] **by** *presburger*  
**from** *code-morph*  
**show** *inj f<sub>m</sub>*  
**unfolding** *inj-def marked-eq-conv*.  
**qed**

**interpretation** *mv-bcm*: *binary-code-morphism f<sub>m</sub>*  
**using** *marked-version-binary-code-morph* .

**lemma** *marked-lcs*:  $\text{bin-lcs } (f_m \mathbf{a}) (f_m \mathbf{b}) = \beta \cdot \alpha$   
**unfolding** *bin-lcs-def morph*[*symmetric*] *lcs-ext-right*[*symmetric*] *marked-version-conjugates*[*symmetric*]  
*mv-bcm.morph*[*symmetric*]  
**by** (*rule lcs-ext-left*[of  $f_m (\mathbf{a} \cdot \mathbf{b}) f_m (\mathbf{b} \cdot \mathbf{a}) f_m (\mathbf{a} \cdot \mathbf{b}) \wedge_s f_m (\mathbf{b} \cdot \mathbf{a}) = \alpha \cdot f_m (\mathbf{a} \cdot \mathbf{b}) \wedge_s \alpha \cdot f_m (\mathbf{b} \cdot \mathbf{a}) \alpha$ ], *unfold mv-bcm.morph*)  
*(use mv-bcm.bin-not-comp-suf in argo, simp)*

**lemma** *bin-lcp-shift*: **assumes**  $|\alpha| < |f w|$  **shows**  $(f w)!|\alpha| = \text{hd } (f_m w)$

**proof**–  
**have**  $w \neq \varepsilon$   
**using** *assms emp-to-emp* **by** *fastforce*  
**hence**  $f_m w \neq \varepsilon$   
**using** *marked-version-all-nemp* **by** *blast*  
**show** *?thesis*  
**using** *pref-index*[of  $f w \alpha \cdot f_m w |\alpha|$ , *OF prefI*[of  $f w \alpha \alpha \cdot f_m w$ , *OF marked-version-conjugates*[of  $w$ , *symmetric*]], *OF assms*]  
**unfolding** *nth-append-length-plus*[of  $\alpha f_m w 0$ , *unfolded add-0-right*] *hd-conv-nth*[of  $f_m w$ , *symmetric*, *OF*  $\langle f_m w \neq \varepsilon \rangle$ ].  
**qed**

**lemma** *mismatch-fst*:  $\text{hd } (f_m \mathbf{a}) = c_0$   
**proof**–

**have**  $(f [bina, binb])!|\alpha| = hd (f_m [bina, binb])$   
**using** *bin-lcp-shift*[of [bina, binb], *unfolded pop-hd*[of bina **b**] *lenmorph*, *OF bin-lcp-short*]  
**unfolding** *pop-hd*[of bina **b**].  
**from** *this*[*unfolded mv-bcm.pop-hd*[of bina **b**, *unfolded not-Cons-self2*[of bina  $\varepsilon$ ]]  
*hd-append2*[*OF mv-bcm.bin-fst-nemp*, of  $f_m$  **b**], *symmetric*]  
**show** *?thesis*  
**unfolding** *bin-mismatch-def hd-word*[of bina **b**] *morph*.  
**qed**

**lemma** *mismatch-snd*:  $hd (f_m \mathbf{b}) = c_1$

**proof**–

**have**  $(f [binb, bina])!|\alpha| = hd (f_m [binb, bina])$   
**using** *bin-lcp-shift*[of [binb, bina], *unfolded pop-hd*[of binb **a**] *lenmorph*, *OF bin-lcp-short*[*unfolded add.commute*[of |f **a**| |f **b**]]]  
**unfolding** *pop-hd*[of binb **a**].  
**from** *this*[*unfolded mv-bcm.pop-hd*[of binb **a**, *unfolded not-Cons-self2*[of binb  $\varepsilon$ ]]  
*hd-append2*[*OF mv-bcm.bin-snd-nemp*, of  $f_m$  **a**], *symmetric*]  
**show** *?thesis*  
**unfolding** *bin-mismatch-def hd-word*[of binb **a**] *morph bin-lcp-sym*[of f **a**].  
**qed**

**lemma** *marked-hd-neq*:  $hd (f_m [a]) \neq hd (f_m [1-a])$  (**is** *?P* ( $a :: binA$ ))

**by** (*rule bin-induct*[of *?P*, *unfolded binA-simps*])

(*use mismatch-fst mismatch-snd bin-mismatch-neq in presburger*)+

**lemma** *marked-version-marked-morph*: *marked-morphism*  $f_m$

**by** (*standard*, *unfold core-def*)

(*use not-swap-eq*[of  $\lambda a b. hd (f_m [a]) = hd (f_m [b])$ , *OF - marked-hd-neq*] **in force**)

**interpretation** *mv-mbm*: *marked-binary-morphism*  $f_m$

**using** *marked-version-marked-morph*

**by** (*simp add: marked-binary-morphism-def*)

**lemma** *bin-code-pref-morph*:  $f u \cdot \alpha \leq_p f w \cdot \alpha \implies u \leq_p w$

**unfolding** *marked-version-conjugates*[*symmetric*] *pref-cancel-conv*

**using** *mv-mbm.pref-free-morph*.

**lemma** *mismatch-pref0*:  $[c_0] \leq_p f_m \mathbf{a}$

**using** *mv-bcm.sing-to-nemp*[*THEN hd-pref*, of bina] **unfolding** *mismatch-fst*.

**lemma** *mismatch-pref1*:  $[c_1] \leq_p f_m \mathbf{b}$

**using** *mv-bcm.bin-snd-nemp*[*THEN hd-pref*] **unfolding** *mismatch-snd*.

**lemma** *marked-version-len*:  $|f_m w| = |f w|$

**using** *add-left-imp-eq*[*OF*

*lenmorph*[of  $\alpha f_m w$ , *unfolded lenmorph*[of  $f w \alpha$ , *folded marked-version-conjugates*[of  $w$ ]], *symmetric*,

*unfolded add.commute[of |f w| |α|]].*

**lemma** *bin-code-lcp*:  $(f r \cdot \alpha) \wedge_p (f s \cdot \alpha) = f (r \wedge_p s) \cdot \alpha$   
**by** (*metis lcp-ext-left marked-version-conjugates mv-mbm.marked-morph-lcp*)

**lemma** *not-comp-lcp*: **assumes**  $\neg r \bowtie s$   
**shows**  $f (r \wedge_p s) \cdot \alpha = f r \cdot f (r \cdot s) \wedge_p f s \cdot f (r \cdot s)$

**proof**–

**let**  $?r' = (r \wedge_p s)^{-1} > r$

**let**  $?s' = (r \wedge_p s)^{-1} > s$

**from** *lcp-mismatch-lq*[*OF*  $\langle \neg r \bowtie s \rangle$ ]

**have**  $?r' \neq \varepsilon$  **and**  $?s' \neq \varepsilon$  **and**  $hd ?r' \neq hd ?s'$ .

**have**  $\neg f ((r \wedge_p s) \cdot [hd ?r'] \cdot tl ?r') \cdot \alpha \bowtie f ((r \wedge_p s) \cdot [hd ?s'] \cdot tl ?s') \cdot \alpha$   
**using** *bin-mismatch-swap-not-comp*

**unfolding** *morph prefix-comparable-def rassoc pref-cancel-conv*  
 $\langle hd ?r' \neq hd ?s' \rangle$ [*symmetric, unfolded bin-neq-iff, symmetric*].

**hence**  $\neg f r \cdot \alpha \bowtie f s \cdot \alpha$

**unfolding** *hd-tl*[*OF*  $\langle ?r' \neq \varepsilon \rangle$ ] *hd-tl*[*OF*  $\langle ?s' \neq \varepsilon \rangle$ ] *lcp-lq*.

**have** *pref*:  $f w \cdot \alpha \leq_p f w \cdot f (r \cdot s)$  **for**  $w$

**unfolding** *pref-cancel-conv*

**using** *append-prefixD*[*OF not-comp-bin-lcp-pref, OF*  $\langle \neg r \bowtie s \rangle$ ] **by** *blast*

**from** *prefE*[*OF pref*[*of*  $r$ ], *unfolded rassoc*]

**obtain**  $gr'$  **where**  $gr': f r \cdot f (r \cdot s) = f r \cdot \alpha \cdot gr'$ .

**from** *prefE*[*OF pref*[*of*  $s$ ], *unfolded rassoc*]

**obtain**  $gs'$  **where**  $gs': f s \cdot f (r \cdot s) = f s \cdot \alpha \cdot gs'$ .

**thus**  $f (r \wedge_p s) \cdot \alpha = f r \cdot f (r \cdot s) \wedge_p f s \cdot f (r \cdot s)$

**unfolding** *bin-code-lcp*[*symmetric, of r s*] *prefix-def* **using**  $\langle \neg f r \cdot \alpha \bowtie f s \cdot \alpha \rangle$   
*lcp-ext-right*[*of*  $f r \cdot \alpha f s \cdot \alpha - gr' gs'$ , *unfolded rassoc, folded gr' gs'*] **by** *argo*

**qed**

**lemma** *bin-morph-pref-conv*:  $f u \cdot \alpha \leq_p f v \cdot \alpha \iff u \leq_p v$

**proof**

**assume**  $u \leq_p v$

**from** *this*[*unfolded prefix-def*]

**obtain**  $z$  **where**  $v = u \cdot z$  **by** *blast*

**show**  $f u \cdot \alpha \leq_p f v \cdot \alpha$

**unfolding** *arg-cong*[*OF*  $\langle v = u \cdot z \rangle$ , *of f, unfolded morph*] *rassoc pref-cancel-conv*

**using** *bin-lcp-pref-all*.

**next**

**assume**  $f u \cdot \alpha \leq_p f v \cdot \alpha$

**then show**  $u \leq_p v$

**unfolding** *marked-version-conjugates*[*symmetric*] *prefix-comparable-def pref-cancel-conv*  
**using** *mv-mbm.pref-free-morph* **by** *meson*

**qed**

**lemma** *bin-morph-compare-conv*:  $f u \cdot \alpha \bowtie f v \cdot \alpha \iff u \bowtie v$

**using** *bin-morph-pref-conv* **unfolding** *prefix-comparable-def* **by** *auto*

**lemma** *code-lcp'*:  $\neg r \bowtie s \implies \alpha \leq_p f z \implies \alpha \leq_p f z' \implies f (r \cdot z) \wedge_p f (s \cdot z')$

=  $f (r \wedge_p s) \cdot \alpha$

**proof**–

**assume**  $\alpha \leq_p f z \alpha \leq_p f z' \neg r \bowtie s$

**hence eqs:**  $f (r \cdot z) = (f r \cdot \alpha) \cdot (\alpha^{-1} \triangleright f z)$   $f (s \cdot z') = (f s \cdot \alpha) \cdot (\alpha^{-1} \triangleright f z')$

**unfolding rassoc by (metis lq-pref morph)+**

**show ?thesis**

**using bin-morph-compare-conv  $\langle \neg r \bowtie s \rangle$  bin-code-lcp lcp-ext-right unfolding**

**eqs**

**by metis**

**qed**

**lemma non-comm-im-lcp:** **assumes**  $u \cdot v \neq v \cdot u$

**shows**  $f (u \cdot v) \wedge_p f (v \cdot u) = f (u \cdot v \wedge_p v \cdot u) \cdot \alpha$

**proof**–

**have**  $\neg f (u \cdot v) \bowtie f (v \cdot u)$

**using assms comm-comp-eq[*of f u f v, folded morph, THEN code-morph-code*]**

**by blast**

**from lcp-ext-right-conv[*OF this, of  $\alpha$   $\alpha$ , unfolded bin-code-lcp, symmetric*]**

**show ?thesis.**

**qed**

**end**

— Obtaining one morphism marked from two general morphisms by shift (conjugation)

**locale binary-code-morphism-shift = binary-code-morphism +**

**fixes**  $\alpha'$

**assumes shift-pref:**  $\alpha' \leq_p \alpha$

**begin**

**definition shifted-f where**  $shifted-f = (\lambda w. \alpha'^{-1} \triangleright (f w \cdot \alpha'))$

**lemma shift-pref-all:**  $\alpha' \leq_p f w \cdot \alpha'$

**proof**–

**have**  $\alpha' \cdot \alpha'^{-1} \triangleright \alpha \leq_p f w \cdot \alpha' \cdot \alpha'^{-1} \triangleright \alpha$

**unfolding lq-pref[*OF shift-pref*] rassoc using bin-lcp-pref-all.**

**thus ?thesis**

**using pref-keeps-per-root by blast**

**qed**

**sublocale shifted:** *binary-code-morphism shifted-f*

**proof**–

**have morph:**  $f (u \cdot v) \cdot \alpha' = (f u \cdot \alpha') \cdot \alpha'^{-1} \triangleright (f v \cdot \alpha')$  **for**  $u v$

**unfolding rassoc morph cancel lq-pref[*OF shift-pref-all*].**

**then interpret morphism shifted-f**

**unfolding shifted-f-def morphism-def**

**using lq-reassoc[*OF shift-pref-all*] by presburger**

```

have inj shifted-f
  unfolding inj-on-def shifted-f-def using lq-pref[OF shift-pref-all]
  using cancel-right code-morph-code by metis
then show binary-code-morphism shifted-f
  by unfold-locales
qed

```

```

lemma shifted-lcp:  $\alpha' \cdot \text{shifted.bin-code-lcp} = \alpha$ 
  unfolding bin-lcp-def shifted-f-def lcp-ext-left[symmetric]
  unfolding lassoc lq-pref[OF shift-pref-all]
  unfolding rassoc lq-pref[OF shift-pref-all]
  using lcp-ext-right-conv[OF bin-not-comp, unfolded rassoc].

```

```

lemma  $\alpha' = \alpha \implies \text{shifted-f} = f_m$ 
  unfolding shifted-f-def marked-version-def by fast

```

end

## 7.8 Two binary code morphisms

```

locale two-binary-code-morphisms =
  g: binary-code-morphism g +
  h: binary-code-morphism h
  for g h :: binA list  $\Rightarrow$  'a list

```

begin

```

notation h.bin-code-lcp ( $\langle \alpha_h \rangle$ )
notation g.bin-code-lcp ( $\langle \alpha_g \rangle$ )
notation g.marked-version ( $\langle g_m \rangle$ )
notation h.marked-version ( $\langle h_m \rangle$ )

```

```

sublocale gm: marked-binary-morphism  $g_m$ 
  by (simp add: g.marked-version-marked-morph marked-binary-morphism.intro)

```

```

sublocale hm: marked-binary-morphism  $h_m$ 
  by (simp add: h.marked-version-marked-morph marked-binary-morphism.intro)

```

```

sublocale two-binary-morphisms g h..

```

```

sublocale marked: two-marked-morphisms  $g_m h_m$ ..

```

```

sublocale code: two-code-morphisms g h
  by unfold-locales

```

```

lemma marked-two-binary-code-morphisms: two-binary-code-morphisms  $g_m h_m$ 
  using g.marked-version-binary-code-morph h.marked-version-binary-code-morph

```

by *unfold-locales*

**lemma** *revs-two-binary-code-morphisms: two-binary-code-morphisms (rev-map g)*  
*(rev-map h)*  
 using *code.revs-two-code-morphisms rev-morphs*  
 by (*simp add: g.bin-code-morph-rev-map h.bin-code-morph-rev-map rev-morphs*  
*two-binary-code-morphisms-def*)

**lemma** *swap-two-binary-code-morphisms: two-binary-code-morphisms h g*  
 by *unfold-locales*

Each successful overflow has a unique minimal successful continuation

**lemma** *min-completionE:*  
 assumes  $z \cdot g_m r = z' \cdot h_m s$   
 obtains  $p q$  where  $z \cdot g_m p = z' \cdot h_m q$  and  
 $\bigwedge r s. z \cdot g_m r = z' \cdot h_m s \implies p \leq_p r \wedge q \leq_p s$   
**proof**–  
 interpret *swap: two-binary-code-morphisms h g*  
 by *unfold-locales*  
 define  $P$  where  $P = (\lambda m. \exists p q. z \cdot g_m p = z' \cdot h_m q \wedge |p| = m)$   
 have  $P |r|$  using *assms P-def*  
 by *blast*  
 obtain  $n$  where *ndef: n = (LEAST m. P m)*  
 by *simp*  
 then obtain  $p q$  where  $z \cdot g_m p = z' \cdot h_m q$   $|p| = n$  using  $\langle P |r| \rangle$   
 using *LeastI P-def by metis*  
 have  $p \leq_p r' \wedge q \leq_p s'$  if  $z \cdot g_m r' = z' \cdot h_m s'$  for  $r' s'$   
**proof**  
 have  $z \cdot g_m (p \wedge_p r') = z' \cdot h_m (q \wedge_p s')$   
 using  $\langle z \cdot g_m p = z' \cdot h_m q \rangle \langle z \cdot g_m r' = z' \cdot h_m s' \rangle$   
*marked.unique-continuation by blast*  
 thus  $p \leq_p r'$   
 using *P-def le-antisym  $\langle |p| = n \rangle$  lcp-len' ndef not-less-Least*  
 by *metis*  
 from *this[folded lcp-pref-conv]*  
 have  $h_m q = h_m (q \wedge_p s')$   
 using  $\langle z \cdot g_m (p \wedge_p r') = z' \cdot h_m (q \wedge_p s') \rangle \langle z \cdot g_m p = z' \cdot h_m q \rangle$   
 by *force*  
 thus  $q \leq_p s'$   
 using *hm.code-morph-code lcp-pref-conv by metis*  
**qed**  
 thus *thesis*  
 using  $\langle z \cdot g_m p = z' \cdot h_m q \rangle$  that by *blast*  
**qed**

**lemma** *two-equals:*  
 assumes  $g r = h r$  and  $g s = h s$  and  $\neg r \bowtie s$   
 shows  $g (r \wedge_p s) \cdot \alpha_g = h (r \wedge_p s) \cdot \alpha_h$   
 unfolding *g.not-comp-lcp[OF  $\langle \neg r \bowtie s \rangle$ ] h.not-comp-lcp[OF  $\langle \neg r \bowtie s \rangle$ ] g.morph*

*h.morph assms..*

**lemma** *solution-sing-len-diff*: **assumes**  $g \neq h$  **and**  $g\ s = h\ s$  **and**  $set\ s = binUNIV$   
**shows**  $|g\ [c]| \neq |h\ [c]|$   
**proof** (*rule solution-sing-len-cases*[*OF*  $\langle set\ s = binUNIV \rangle \langle g\ s = h\ s \rangle \langle g \neq h \rangle$ ])  
**fix**  $a$  **assume** *less*:  $|g\ [a]| < |h\ [a]|$   $|h\ [1 - a]| < |g\ [1 - a]|$   
**show**  $|g\ [c]| \neq |h\ [c]|$   
**by** (*rule bin-swap-exhaust*[*of*  $c\ a$ ]) (*use less in force*)  
**qed**

**lemma** *alphas-pref*: **assumes**  $|\alpha_h| \leq |\alpha_g|$  **and**  $g\ r =_m\ h\ s$  **shows**  $\alpha_h \leq_p\ \alpha_g$   
**proof**–  
**have**  $s \neq \varepsilon$  **and**  $r \neq \varepsilon$   
**using** *min-coinD'*[*OF*  $\langle g\ r =_m\ h\ s \rangle$ ] **by** *force*  
**from**  
*root-ruler*[*OF*  $h.bin-lcp-spref-all$ [*OF*  $\langle s \neq \varepsilon \rangle$ ]  $g.bin-lcp-spref-all$ [*OF*  $\langle r \neq \varepsilon \rangle$ ,  
*unfolded min-coinD*[*OF*  $\langle g\ r =_m\ h\ s \rangle$ ]]]  
**show**  $\alpha_h \leq_p\ \alpha_g$   
**unfolding** *prefix-comparable-def* **using** *ruler-le*[*OF self-pref - assms*(1)] **by** *blast*  
**qed**

**end**

**locale** *binary-codes-coincidence* = *two-binary-code-morphisms* +  
**assumes** *alphas-len*:  $|\alpha_h| \leq |\alpha_g|$  **and**  
*coin-ex*:  $\exists\ r\ s.\ g\ r =_m\ h\ s$   
**begin**

**lemma** *alphas-pref*:  $\alpha_h \leq_p\ \alpha_g$   
**using** *alphas-pref*[*OF alphas-len*] *coin-ex* **by** *force*

**definition**  $\alpha$  **where**  $\alpha \equiv \alpha_h^{-1} \alpha_g$

**definition** *critical-overflow* ( $\langle c \rangle$ ) **where** *critical-overflow*  $\equiv \alpha_g^{<-1} \alpha_h$

**lemma** *lcp-diff*:  $\alpha_h \cdot \alpha = \alpha_g$   
**unfolding**  $\alpha$ -*def* *lq-pref* **using** *lq-pref*[*OF alphas-pref*].

**lemma** *solution-marked-version-conv*:  $g\ r = h\ s \iff \alpha \cdot g_m\ r = h_m\ s \cdot \alpha$   
**unfolding** *cancel*[*of*  $\alpha_h\ \alpha \cdot g_m\ r\ h_m\ s \cdot \alpha$ , *symmetric*]  
**unfolding** *lassoc lcp-diff* *h.marked-version-conjugates* *g.marked-version-conjugates*  
**unfolding** *rassoc lcp-diff* *cancel-right*..

**end**

**locale** *binary-code-coincidence-sym* = *two-binary-code-morphisms*  
+ **assumes**  
*coin-ex*:  $\exists\ r\ s.\ g\ r =_m\ h\ s$   
**begin**

**lemma** *coinE*: **obtains**  $u v$  **where**  $g u =_m h v$  **and**  $h v =_m g u$   
**using** *coin-ex code.min-coin-prefE[OF min-sold[of - g h]] min-coin-sym* **by** *metis*

**definition**  $\alpha'$  **where**  $\alpha' = (\text{if } |\alpha_g| \leq |\alpha_h| \text{ then } \alpha_g \text{ else } \alpha_h)$

**definition**  $g'$  **where**  $g' = (\text{if } |\alpha_g| \leq |\alpha_h| \text{ then } (\lambda w. \alpha'^{-1}>(g w \cdot \alpha')) \text{ else } (\lambda w. \alpha'^{-1}>(h w \cdot \alpha')))$

**definition**  $h'$  **where**  $h' = (\text{if } |\alpha_g| \leq |\alpha_h| \text{ then } (\lambda w. \alpha'^{-1}>(h w \cdot \alpha')) \text{ else } (\lambda w. \alpha'^{-1}>(g w \cdot \alpha')))$

**lemma** *shift-pref-fst*:  $\alpha' \leq_p \alpha_g$

**unfolding**  $\alpha'$ -*def*

**proof** (*cases*  $|\alpha_g| \leq |\alpha_h|$ , *simp*)

**show**  $\neg |\alpha_g| \leq |\alpha_h| \implies (\text{if } |\alpha_g| \leq |\alpha_h| \text{ then } \alpha_g \text{ else } \alpha_h) \leq_p \alpha_g$

**using** *alphas-pref coinE* **by** *fastforce*

**qed**

**interpretation** *gshift*: *binary-code-morphism-shift*  $g \alpha'$

**using** *shift-pref-fst* **by** *unfold-locales*

**interpretation** *swap*: *two-binary-code-morphisms*  $h g$

**by** (*simp add: swap-two-binary-code-morphisms*)

**lemma** *shift-pref-snd*:  $\alpha' \leq_p \alpha_h$

**unfolding**  $\alpha'$ -*def*

**proof** (*cases*  $\neg |\alpha_g| \leq |\alpha_h|$ , *simp-all*)

**show**  $|\alpha_g| \leq |\alpha_h| \implies \alpha_g \leq_p \alpha_h$

**using** *swap.alphas-pref[OF - coinE]* **by** *blast*

**qed**

**interpretation** *hshift*: *binary-code-morphism-shift*  $h \alpha'$

**using** *shift-pref-snd* **by** *unfold-locales*

**lemma** *shifted-eq-conv*:  $g r = h s \iff g' r = h' s$

**oops**

**lemma** *shifted-eq-conv*:  $g r = h r \iff g' r = h' r$

**proof**–

**have**  $g r = h r \iff \alpha'^{-1}>(g r \cdot \alpha') = \alpha'^{-1}>(h r \cdot \alpha')$

**using** *cancel-right lq-pref gshift.shift-pref-all hshift.shift-pref-all* **by** *metis*

**thus**  $g r = h r \iff g' r = h' r$

**unfolding**  $g'$ -*def*  $h'$ -*def*

**by** (*cases*  $|\alpha_g| \leq |\alpha_h|$ , *presburger*)

*fastforce*

**qed**

**lemma** *shifted-eq-conv'*:  $g = h \iff g' = h'$

**using** *shifted-eq-conv* **by** *fastforce*

**interpretation** *shifted-g*: *binary-code-morphism*  $(\lambda w. \alpha'^{-1}>(g w \cdot \alpha'))$



**using** *gshift.shifted.binary-code-morphism-axioms*[*unfolded gshift.shifted-f-def*].

**interpretation** *shifted-h*: *binary-code-morphism*  $(\lambda w. \alpha'^{-1} \triangleright (h w \cdot \alpha'))$   
**using** *hshift.shifted.binary-code-morphism-axioms*[*unfolded hshift.shifted-f-def*].

**lemma** *shifted-min-sol-conv*:  $r \in g =_M h \longleftrightarrow r \in g' =_M h'$   
**unfolding** *min-sol-def* **using** *shifted-eq-conv* **by** *blast*

**lemma** *shifted-not-triv*:  $g = h \longleftrightarrow g' = h'$   
**using** *shifted-eq-conv* **by** *fastforce*

**sublocale** *shifted*: *two-binary-code-morphisms*  $g' h'$   
**proof**–  
**interpret**  $g'$ : *binary-code-morphism*  $g'$   
**unfolding**  $g'$ -*def* **using** *shifted-g.binary-code-morphism-axioms* *shifted-h.binary-code-morphism-axioms*  
**by** *presburger*  
**interpret**  $h'$ : *binary-code-morphism*  $h'$   
**unfolding**  $h'$ -*def* **using** *shifted-g.binary-code-morphism-axioms* *shifted-h.binary-code-morphism-axioms*  
**by** *presburger*  
**show** *two-binary-code-morphisms*  $g' h'$ ..  
**qed**

**lemma** *shifted-fst-lcp-emp*: *shifted.g.bin-code-lcp* =  $\varepsilon$   
**unfolding** *bin-lcp-def*  
**proof** (*cases*  $|\alpha_g| \leq |\alpha_h|$ )  
**assume**  $|\alpha_g| \leq |\alpha_h|$   
**hence**  $*$ :  $\alpha' = \alpha_g$   $g' = (\lambda w. \alpha'^{-1} \triangleright (g w \cdot \alpha'))$   
**unfolding**  $\alpha'$ -*def*  $g'$ -*def* **by** *simp-all*  
**have**  $g_m \mathbf{a} \cdot g_m \mathbf{b} \wedge_p g_m \mathbf{b} \cdot g_m \mathbf{a} = \varepsilon$   
**using** *gm.marked-lcp-emp* **unfolding** *bin-lcp-def*.  
**thus**  $g' \mathbf{a} \cdot g' \mathbf{b} \wedge_p g' \mathbf{b} \cdot g' \mathbf{a} = \varepsilon$   
**unfolding**  $*$  *g.marked-version-def*.  
**next**  
**assume**  $\neg |\alpha_g| \leq |\alpha_h|$   
**hence**  $c$ :  $\alpha' = \alpha_h$   $g' = (\lambda w. \alpha'^{-1} \triangleright (h w \cdot \alpha'))$   
**unfolding**  $\alpha'$ -*def*  $g'$ -*def* **by** *simp-all*  
**have**  $h_m \mathbf{a} \cdot h_m \mathbf{b} \wedge_p h_m \mathbf{b} \cdot h_m \mathbf{a} = \varepsilon$   
**using** *hm.marked-lcp-emp* **unfolding** *bin-lcp-def*.  
**thus**  $g' \mathbf{a} \cdot g' \mathbf{b} \wedge_p g' \mathbf{b} \cdot g' \mathbf{a} = \varepsilon$   
**unfolding**  $c$  *h.marked-version-def*.  
**qed**

**lemma** *shifted-alphas*: **assumes** *le*:  $|\alpha_g| \leq |\alpha_h|$   
**shows**  $\alpha' \cdot$  *shifted.g.bin-code-lcp* =  $\alpha_g$  **and**  $\alpha' \cdot$  *shifted.h.bin-code-lcp* =  $\alpha_h$   
**proof**–  
**have**  $c$ :  $\alpha' = \alpha_g$   $g' = (\lambda w. \alpha'^{-1} \triangleright (g w \cdot \alpha'))$   $h' = (\lambda w. \alpha'^{-1} \triangleright (h w \cdot \alpha'))$   
**using** *le* **unfolding**  $\alpha'$ -*def*  $g'$ -*def*  $h'$ -*def* **by** *simp-all*  
**interpret** *binary-codes-coincidence*  $h g$   
**proof**

```

show  $\exists r s. h r =_m g s$ 
using coin-ex[unfolded min-coin-sym-iff[of g]] by blast
qed fact
show  $\alpha' \cdot \text{shifted.g.bin-code-lcp} = \alpha_g$ 
unfolding c
unfolding bin-lcp-def[of g' a, unfolded c] lcp-ext-left[symmetric]
unfolding lassoc lq-pref[OF g.bin-lcp-pref-all]
unfolding rassoc lq-pref[OF g.bin-lcp-pref-all]
unfolding lcp-ext-right-conv[OF g.non-comp-morph[of bina], unfolded binA-simps
rassoc]
unfolding bin-lcp-def..
from pref-prod-pref[OF pref-trans[OF alphas-pref h.bin-lcp-pref-all] alphas-pref]
have pref-all:  $\alpha_g \leq_p h w \cdot \alpha_g$  for w.
show  $\alpha' \cdot \text{shifted.h.bin-code-lcp} = \alpha_h$ 
unfolding c
unfolding bin-lcp-def[of h' a, unfolded c] lcp-ext-left[symmetric]
unfolding lassoc lq-pref[OF pref-all]
unfolding rassoc lq-pref[OF pref-all]
unfolding lcp-ext-right-conv[OF h.non-comp-morph[of bina], unfolded binA-simps
rassoc]
unfolding bin-lcp-def..
qed

```

```

interpretation swapped: binary-code-coincidence-sym h g
proof
show  $\exists r s. h r =_m g s$ 
using coin-ex[unfolded min-coin-sym-iff[of g]] by blast
qed

```

```

lemma eq-len-eq-conv:  $\alpha_g = \alpha_h \longleftrightarrow |\alpha_g| = |\alpha_h|$ 
proof
show  $\alpha_g = \alpha_h$  if  $|\alpha_g| = |\alpha_h|$ 
using swap.alphas-pref[OF eq-imp-le[OF that]] alphas-pref[OF eq-imp-le[OF
that[symmetric]]]
using coinE[of  $\alpha_g = \alpha_h$ ] by fastforce
qed simp

```

```

lemma shift-swapped:  $\text{swapped}.\alpha' = \alpha'$ 
unfolding alpha'-def swapped.alpha'-def using eq-len-eq-conv by fastforce

```

```

lemma morphs-swapped: assumes  $|\alpha_g| \neq |\alpha_h|$  shows  $\text{swapped.g}' = g' \text{swapped.h}' = h'$ 
unfolding g'-def swapped.g'-def h'-def swapped.h'-def shift-swapped using assms
by fastforce+

```

```

lemma morphs-swapped': assumes  $|\alpha_g| = |\alpha_h|$  shows  $\text{swapped.g}' = h' \text{swapped.h}' = g'$ 
unfolding g'-def swapped.g'-def h'-def swapped.h'-def shift-swapped using assms
by fastforce+

```

```

lemma shifted-lcp-len-eq:  $|shifted.g.bin-code-lcp| = |shifted.h.bin-code-lcp| \longleftrightarrow |\alpha_g| = |\alpha_h|$  and
  shifted-lcp-len-le:  $|shifted.g.bin-code-lcp| \leq |shifted.h.bin-code-lcp|$ 
  unfolding atomize-conj
proof (cases)
  assume le:  $|\alpha_g| \leq |\alpha_h|$ 
  note shifted-alphas[OF this]
  from lenarg[OF this(1)] lenarg[OF this(2)]
  show ( $|shifted.g.bin-code-lcp| = |shifted.h.bin-code-lcp| \longleftrightarrow |\alpha_g| = |\alpha_h|$ )  $\wedge$ 
 $|shifted.g.bin-code-lcp| \leq |shifted.h.bin-code-lcp|$ 
  unfolding lenmorph using le by fastforce+
next
  assume  $\neg |\alpha_g| \leq |\alpha_h|$ 
  hence le:  $|\alpha_h| \leq |\alpha_g|$  by fastforce
  note swapped.shifted-alphas[OF this]
  note lens = lenarg[OF this(1)] lenarg[OF this(2)]
  show ( $|shifted.g.bin-code-lcp| = |shifted.h.bin-code-lcp| \longleftrightarrow |\alpha_g| = |\alpha_h|$ )  $\wedge$ 
 $|shifted.g.bin-code-lcp| \leq |shifted.h.bin-code-lcp|$ 
  proof (cases)
  assume eq:  $|\alpha_g| = |\alpha_h|$ 
  show ( $|shifted.g.bin-code-lcp| = |shifted.h.bin-code-lcp| \longleftrightarrow |\alpha_g| = |\alpha_h|$ )  $\wedge$ 
 $|shifted.g.bin-code-lcp| \leq |shifted.h.bin-code-lcp|$ 
  using lens eq unfolding shift-swapped lenmorph bin-lcp-def morphs-swapped'[OF eq] by linarith+
  next
  assume neq:  $|\alpha_g| \neq |\alpha_h|$ 
  from lens
  show ( $|shifted.g.bin-code-lcp| = |shifted.h.bin-code-lcp| \longleftrightarrow |\alpha_g| = |\alpha_h|$ )  $\wedge$ 
 $|shifted.g.bin-code-lcp| \leq |shifted.h.bin-code-lcp|$ 
  using le unfolding shift-swapped lenmorph bin-lcp-def morphs-swapped[OF neq] by fastforce+
  qed
qed

  end

```

```

locale two-marked-binary-morphisms = two-marked-morphisms g h
  for g h :: binA list  $\Rightarrow$  'a list'
begin

```

**sublocale** *two-binary-code-morphisms g h ..*

**lemma** *not-comm-im*: **assumes**  $g \neq h$  **and**  $g s = h s$  **and**  $s \neq \varepsilon$   
**and**  $hd\ s = a$  **and**  $set\ s = binUNIV$

**shows**  $g[a] \cdot h[a] \neq h[a] \cdot g[a]$

**proof** (*rule notI*)

**assume** *comm*:  $g[a] \cdot h[a] = h[a] \cdot g[a]$

**from** *hd-im-comm-eq*[*OF*  $\langle g\ s = h\ s \rangle \langle s \neq \varepsilon \rangle$ ] *comm*

**have**  $g[a] = h[a]$

**unfolding** *core-def*  $\langle hd\ s = a \rangle$  **by** *blast*

**thus** *False*

**using** *len-ims-sing-neq*[*OF*  $\langle g\ s = h\ s \rangle \langle g \neq h \rangle \langle set\ s = binUNIV \rangle$ ] **by** *metis*

**qed**

**lemma** *sol-set-not-com-hd*:

**assumes**

*morphs-neq*:  $g \neq h$  **and**

*sol*:  $g\ s = h\ s$  **and**

*sol-set*:  $set\ s = binUNIV$

**shows**  $g([hd\ s]) \cdot h([hd\ s]) \neq h([hd\ s]) \cdot g([hd\ s])$

**proof**

**assume** *comm*:  $g[hd\ s] \cdot h[hd\ s] = h[hd\ s] \cdot g[hd\ s]$

**obtain**  $n\ s'$  **where**  $s: [hd\ s]^{\textcircled{a}} Suc\ n \cdot [1 - hd\ s] \cdot s' = s$

**using** *bin-distinct-letter*[*OF* *sol-set*].

**have**  $[hd\ s]^{\textcircled{a}} Suc\ n \cdot [1 - hd\ s] \cdot s' \neq \varepsilon$  **by** *blast*

**from** *hd-im-comm-eq*[*OF* - *this*]

**have**  $g[hd\ s] = h[hd\ s]$

**unfolding** *core-def* *s comm* **using** *sol* **by** *blast*

**thus** *False*

**using** *len-ims-sing-neq*[*OF* *sol*  $\langle g \neq h \rangle$  *sol-set*, *of hd s*] **by** *argo*

**qed**

**sublocale** *g: marked-binary-morphism g*

**using** *g.marked-marked g.marked-morphism-axioms gm.marked-binary-morphism-axioms*  
**by** *auto*

**sublocale** *h: marked-binary-morphism h*

**using** *h.marked-marked h.marked-morphism-axioms hm.marked-binary-morphism-axioms*  
**by** *auto*

**sublocale** *revs: two-binary-code-morphisms rev-map g rev-map h*

**using** *revs-two-binary-code-morphisms*.

**end**

## 7.9 Two marked binary morphisms with blocks

**locale** *two-binary-marked-blocks = two-marked-binary-morphisms +*

**assumes** *both-blocks*:  $\bigwedge a. blockP\ a$

```

begin

sublocale sucs: two-marked-binary-morphisms suc-fst suc-snd
  using sucs-marked-morphs[OF both-blocks, folded two-marked-binary-morphisms-def].

sublocale sucs-enc: two-marked-binary-morphisms suc-fst' suc-snd'
  using encoded-sucs[OF both-blocks, folded two-marked-binary-morphisms-def].

lemma bin-blocks-swap: two-binary-marked-blocks h g
proof (unfold-locales)
  fix a
  obtain c where hd (suc-snd [c]) = a
    using bin-marked-preimg-hd[of suc-snd]
      marked-binary-morphism-def sucs.h.marked-morphism-axioms by blast
  show two-marked-morphisms.blockP h g a
  proof (rule two-marked-morphisms.blockI, unfold-locales)
    show hd (suc-snd [c]) = a by fact
    show h (suc-snd [c]) =m g (suc-fst [c])
      using min-coin-sym[OF blockP-D[OF both-blocks]].
  qed
qed

lemma blocks-all-letters-fst: [b] ≤f suc-fst ([a] · [1-a])
proof-
  have *: suc-fst ([a] · [1 - a]) = [a] · tl (suc-fst [a]) · [1-a] · tl (suc-fst [1 - a])
    unfolding sucs.g.morph lassoc hd-tl[OF sucs.g.sing-to-nemp, unfolded blockP-D-hd[OF
both-blocks]]..
  show ?thesis
    by (cases rule: neq-exhaust[OF bin-swap-neq, of b a], unfold *)
      (blast+)
qed

lemma blocks-all-letters-snd: [b] ≤f suc-snd ([a] · [1-a])
proof-
  have *: suc-snd ([a] · [1 - a]) = [hd (suc-snd [a])] · tl (suc-snd [a]) · [hd (suc-snd
[1-a])] · tl (suc-snd [1-a])
    unfolding sucs.h.morph rassoc hd-tl[OF sucs.h.sing-to-nemp, unfolded blockP-D-hd[OF
both-blocks]]
    unfolding lassoc hd-tl[OF sucs.h.sing-to-nemp, unfolded blockP-D-hd[OF
both-blocks]]..
  show ?thesis
  proof (cases rule: neq-exhaust[OF sucs.h.bin-marked-sing, of b a])
    assume b: b = hd (suc-snd [a])
    show ?thesis
      unfolding b * by blast
  next
    assume b: b = hd (suc-snd [1-a])
    show ?thesis

```

unfolding  $b * \text{by } \textit{blast}$   
qed  
qed

**lemma** *lcs-suf-blocks-fst*:  $g.\textit{bin-code-lcs} \leq_s g (\textit{suc-fst} ([a] \cdot [1-a]))$   
**using** *revs.g.bin-lcp-pref''[reversed]* *g.bin-lcp-pref''* *blocks-all-letters-fst* **by** *simp*

**lemma** *lcs-suf-blocks-snd*:  $h.\textit{bin-code-lcs} \leq_s h (\textit{suc-snd} ([a] \cdot [1-a]))$   
**using** *revs.h.bin-lcp-pref''[reversed]* *h.bin-lcp-pref''* *blocks-all-letters-snd* **by** *simp*

**lemma** *lcs-fst-suf-snd*:  $g.\textit{bin-code-lcs} \leq_s h.\textit{bin-code-lcs} \cdot h \textit{ sucs.h.bin-code-lcs}$   
**proof**–

have  $g.\textit{bin-code-lcs} \leq_s g (\textit{suc-fst} [a] \cdot \textit{suc-fst} [1-a])$  **for**  $a$   
**using** *lcs-suf-blocks-fst[of a]*  
**unfolding** *binA-simps* *sucs.g.morph*.  
have  $g.\textit{bin-code-lcs} \leq_s g (\textit{suc-fst} \mathbf{a} \cdot \textit{suc-fst} \mathbf{b})$  **and**  $g.\textit{bin-code-lcs} \leq_s g (\textit{suc-fst} \mathbf{b} \cdot \textit{suc-fst} \mathbf{a})$   
**using** *lcs-suf-blocks-fst[of bina]* *lcs-suf-blocks-fst[of binb]*  
**unfolding** *binA-simps* *sucs.g.morph*.  
**hence**  $g.\textit{bin-code-lcs} \leq_s h (\textit{suc-snd} \mathbf{a} \cdot \textit{suc-snd} \mathbf{b})$  **and**  $g.\textit{bin-code-lcs} \leq_s h (\textit{suc-snd} \mathbf{b} \cdot \textit{suc-snd} \mathbf{a})$   
**unfolding** *g.morph* *h.morph* *block-eq[OF both-blocks]*.  
**from** *suf-ext[OF this(1)]* *suf-ext[OF this(2)]*  
**have**  $g.\textit{bin-code-lcs} \leq_s h.\textit{bin-code-lcs} \cdot h (\textit{suc-snd} \mathbf{a} \cdot \textit{suc-snd} \mathbf{b})$  **and**  $g.\textit{bin-code-lcs} \leq_s h.\textit{bin-code-lcs} \cdot h (\textit{suc-snd} \mathbf{b} \cdot \textit{suc-snd} \mathbf{a})$ .  
**hence**  $g.\textit{bin-code-lcs} \leq_s h.\textit{bin-code-lcs} \cdot h (\textit{suc-snd} \mathbf{a} \cdot \textit{suc-snd} \mathbf{b}) \wedge_s h.\textit{bin-code-lcs} \cdot h (\textit{suc-snd} \mathbf{b} \cdot \textit{suc-snd} \mathbf{a})$   
**using** *suf-lcs-iff* **by** *blast*  
**thus**  $g.\textit{bin-code-lcs} \leq_s h.\textit{bin-code-lcs} \cdot h \textit{ sucs.h.bin-code-lcs}$   
**unfolding** *revs.h.bin-code-lcp[reversed]* *bin-lcs-def[symmetric]*.  
qed

**lemma** *suf-comp-lcs*:  $g.\textit{bin-code-lcs} \boxtimes_s h.\textit{bin-code-lcs}$   
**using** *lcs-suf-blocks-fst* *lcs-suf-blocks-snd*  
**unfolding** *g.morph* *h.morph* *sucs.g.morph* *sucs.h.morph* *block-eq[OF both-blocks]*  
*suf-comp-or* **using** *ruler[reversed]* **by** *blast*

end

## 7.10 Binary primitivity preserving morphism given by a pair of words

**definition** *bin-prim* ::  $'a \textit{ list} \Rightarrow 'a \textit{ list} \Rightarrow \textit{bool}$   
**where**  $\textit{bin-prim} \ x \ y \longleftrightarrow \textit{primitivity-preserving-morphism} (\textit{bin-morph-of} \ x \ y)$

**lemma** *bin-prim-code*:  
**assumes**  $\textit{bin-prim} \ x \ y$   
**shows**  $x \cdot y \neq y \cdot x$

**proof** –  
**have** *inj* (*bin-morph-of x y*)  
**using**  $\langle \text{bin-prim } x \ y \rangle$  *primitivity-preserving-morphism.code-morph*  
**by** (*simp add: bin-prim-def*)  
**then have** (*bin-morph-of x y*)  $(\mathbf{a} \cdot \mathbf{b}) \neq (\text{bin-morph-of } x \ y) (\mathbf{b} \cdot \mathbf{a})$   
**by** (*blast dest: injD*)  
**then show**  $x \cdot y \neq y \cdot x$   
**by** (*simp add: bin-morph-of-def*)  
**qed**

### 7.10.1 Translating to list concatenation

**lemma** *bin-concat-prim-pres-noner1*:  
**assumes**  $x \neq y$   
**and** *prim-pres*:  $\bigwedge ws. ws \in \text{lists } \{x,y\} \implies 2 \leq |ws| \implies \text{primitive } ws \implies$   
*primitive (concat ws)*  
**shows**  $x \neq \varepsilon$   
**proof**  
**assume**  $x = \varepsilon$   
**with**  $\langle x \neq y \rangle$  **have**  $y \neq \varepsilon$   
**by** *blast*  
**have** *primitive*  $[x, y, y]$   
**using** *prim-abk*[*OF*  $\langle x \neq y \rangle$ , *of 2*] **by** *simp*  
**with**  $\langle x \neq y \rangle$  **have** *primitive (concat [x, y, y])*  
**by** (*intro prim-pres*) *simp-all*  
**then show** *False*  
**by** (*simp add:  $\langle x = \varepsilon \rangle$  eq-append-not-prim*)  
**qed**

**lemma** *bin-concat-prim-pres-noner*:  
**assumes**  $x \neq y$   
**and** *prim-pres*:  $\bigwedge ws. ws \in \text{lists } \{x,y\} \implies 2 \leq |ws| \implies \text{primitive } ws \implies$   
*primitive (concat ws)*  
**shows** *nonerasing-morphism (bin-morph-of x y)*  
**proof** (*intro morphism.nonerI bin-morph-of-morph*)  
**fix** *a*  
**have**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$   
**using**  $\langle x \neq y \rangle$  *prim-pres*  
**by** (*fact bin-concat-prim-pres-noner1, intro bin-concat-prim-pres-noner1*)  
*(unfold insert-commute[of x y] eq-commute[of x y])*  
**then show** (*bin-morph-of x y*)<sup>c</sup>  $a \neq \varepsilon$   
**by** (*simp add: bin-morph-of-def core-def*)  
**qed**

**lemma** *bin-prim-concat-prim-pres-conv*:  
**assumes**  $x \neq y$   
**shows** *bin-prim x y*  $\longleftrightarrow (\forall ws \in \text{lists } \{x,y\}. 2 \leq |ws| \longrightarrow \text{primitive } ws \longrightarrow$   
*primitive (concat ws))*  
*(is -  $\longleftrightarrow$  ?condition)*

**proof** –

```
define f where f = (λa. (if a = bina then x else y))
have inj f
  using ⟨x ≠ y⟩
  by (intro linorder-injI) (simp add: less-finite-2-def f-def)
moreover have f ‘ UNIV = {x, y}
  by (simp add: f-def insert-commute)
ultimately have bij-betw f UNIV {x, y}
  unfolding bij-betw-def..
then have bij: bij-betw (map f) (lists UNIV) (lists {x, y})
  by (fact bij-lists)
have concat-map-f: concat (map f w) = bin-morph-of x y w for w
  by (simp add: bin-morph-of-def f-def)
have ?condition ⇒ nonerasing-morphism (bin-morph-of x y)
  by (simp add: ⟨x ≠ y⟩ bin-concat-prim-pres-nonera)
then show bin-prim x y ⇔ ?condition
  unfolding bin-prim-def primitivity-preserving-morphism-def primitivity-preserving-morphism-axioms-def
  unfolding bij-betw-ball[OF bij] prim-map-iff[OF ⟨inj f⟩] concat-map-f
  by auto
```

**qed**

**lemma** *bin-prim-concat-prim-pres*:

```
assumes bin-prim x y
shows ws ∈ lists {x, y} ⇒ 2 ≤ |ws| ⇒ primitive ws ⇒ primitive (concat ws)
using bin-prim-code[OF ⟨bin-prim x y⟩] bin-prim-concat-prim-pres-conv[OF
x y]
by (cases x = y) blast+
```

**lemma** *bin-prim-altdef1*:

```
bin-prim x y ⇔
(x ≠ y) ∧ (∀ ws ∈ lists {x,y}. 2 ≤ |ws| → primitive ws → primitive (concat
ws))
using bin-prim-code[of x y] bin-prim-concat-prim-pres-conv[of x y]
by blast
```

**lemma** *bin-prim-altdef2*:

```
bin-prim x y ⇔
(x · y ≠ y · x) ∧ (∀ ws ∈ lists {x,y}. 2 ≤ |ws| → primitive ws → primitive
(concat ws))
using bin-prim-code[of x y] bin-prim-concat-prim-pres-conv[of x y]
by blast
```

## 7.10.2 Basic properties of *bin-prim*

**lemma** *bin-prim-irrefl*:  $\neg$  *bin-prim* x x

using *bin-prim-code* by blast

**lemma** *bin-prim-symm* [*sym*]: *bin-prim* x y ⇒ *bin-prim* y x

using *bin-prim-concat-prim-pres-conv*[of x y] *bin-prim-concat-prim-pres-conv*[of



```
y x]  
unfolding eq-commute[of y x] insert-commute[of y x]  
by blast
```

```
lemma bin-prim-commutes: bin-prim x y  $\longleftrightarrow$  bin-prim y x  
by (blast intro: bin-prim-symm)
```

```
end
```

```
theory Equations-Basic  
imports  
  Periodicity-Lemma  
  Lyndon-Schutzenberger  
  Submonoids  
  Binary-Code-Morphisms  
begin
```

## Chapter 8

# Equations on words - basics

Contains various nontrivial auxiliary or rudimentary facts related to equations. Often moderately advanced or even fairly advanced. May change significantly in the future.

### 8.1 Factor interpretation

**definition** *factor-interpretation* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list list  $\Rightarrow$  bool  
( $\langle$  - -  $\sim_{\mathcal{I}}$   $\rightarrow$  [51,51,51,51] 60)  
**where** *factor-interpretation*  $p\ u\ s\ ws = (p <_p\ hd\ ws \wedge s <_s\ last\ ws \wedge p \cdot u \cdot s = concat\ ws)$

**lemma** *fac-interp-nemp*:  $u \neq \varepsilon \implies p\ u\ s \sim_{\mathcal{I}}\ ws \implies ws \neq \varepsilon$   
**unfolding** *factor-interpretation-def* **by** *auto*

**lemma** *fac-interpD*: **assumes**  $p\ u\ s \sim_{\mathcal{I}}\ ws$   
**shows**  $p <_p\ hd\ ws$  **and**  $s <_s\ last\ ws$  **and**  $p \cdot u \cdot s = concat\ ws$   
**using** *assms* **unfolding** *factor-interpretation-def* **by** *blast+*

**lemma** *fac-interpI*:  
 $p <_p\ hd\ ws \implies s <_s\ last\ ws \implies p \cdot u \cdot s = concat\ ws \implies p\ u\ s \sim_{\mathcal{I}}\ ws$   
**unfolding** *factor-interpretation-def* **by** *blast*

**lemma** *obtain-fac-interp*: **assumes**  $pu \cdot u \cdot su = concat\ ws$  **and**  $u \neq \varepsilon$   
**obtains**  $ps\ ss\ p\ s\ vs$  **where**  $p\ u\ s \sim_{\mathcal{I}}\ vs$  **and**  $ps \cdot vs \cdot ss = ws$  **and**  $concat\ ps \cdot p = pu$  **and**  
 $s \cdot concat\ ss = su$   
**using** *assms*

**proof** (*induction*  $|ws|$  *arbitrary*:  $ws\ pu\ su$  *thesis rule*: *less-induct*)  
**case** *less*  
**then show** *?case*  
**proof-**  
**have**  $ws \neq \varepsilon$

```

using  $\langle u \neq \varepsilon \rangle \langle pu \cdot u \cdot su = \text{concat } ws \rangle$  by force
have  $|tl \ ws| < |ws|$  and  $|butlast \ ws| < |ws|$ 
using  $\langle ws \neq \varepsilon \rangle$  by force+
show thesis
proof (cases)
  assume  $hd \ ws \leq_p pu \vee last \ ws \leq_s su$ 
  then show thesis
  proof
    assume  $hd \ ws \leq_p pu$ 
    from  $prefixE[OF \ this]$ 
    obtain  $pu'$  where  $pu = hd \ ws \cdot pu'$ .
    from  $\langle pu \cdot u \cdot su = \text{concat } ws \rangle$  [unfolded this, folded arg-cong [OF hd-tl [OF
 $\langle ws \neq \varepsilon \rangle$ ], of concat]]
    have  $pu' \cdot u \cdot su = \text{concat } (tl \ ws)$ 
    by force
    from  $less.hyps[OF \ \langle |tl \ ws| < |ws| \rangle - \langle pu' \cdot u \cdot su = \text{concat } (tl \ ws) \rangle \langle u \neq \varepsilon \rangle]$ 
    obtain  $p \ s \ vs \ ps' \ ss$  where  $p \ u \ s \sim_{\mathcal{I}} vs$  and  $ps' \cdot vs \cdot ss = tl \ ws$  and
 $\text{concat } ps' \cdot p = pu'$ 
    and  $s \cdot \text{concat } ss = su$ .
    have  $(hd \ ws \# \ ps') \cdot vs \cdot ss = ws$ 
    using  $\langle ws \neq \varepsilon \rangle \langle ps' \cdot vs \cdot ss = tl \ ws \rangle$  by auto
    have  $\text{concat } (hd \ ws \# \ ps') \cdot p = pu$ 
    using  $\langle \text{concat } ps' \cdot p = pu' \rangle$  unfolding  $\langle pu = hd \ ws \cdot pu' \rangle$  by fastforce
    from  $less.premis(1)[OF \ \langle p \ u \ s \sim_{\mathcal{I}} vs \rangle \langle (hd \ ws \# \ ps') \cdot vs \cdot ss = ws \rangle \langle \text{concat } (hd \ ws \# \ ps') \cdot p = pu \rangle \langle s \cdot \text{concat } ss = su \rangle]$ 
    show thesis.
  next
    assume  $last \ ws \leq_s su$ 
    from  $suffixE[OF \ this]$ 
    obtain  $su'$  where  $su = su' \cdot last \ ws$ .
    from  $\langle pu \cdot u \cdot su = \text{concat } ws \rangle$  [unfolded this, folded arg-cong [OF hd-tl [reversed,
 $OF \ \langle ws \neq \varepsilon \rangle$ ], of concat]]
    have  $pu \cdot u \cdot su' = \text{concat } (butlast \ ws)$ 
    by force
    from  $less.hyps[OF \ \langle |butlast \ ws| < |ws| \rangle - \langle pu \cdot u \cdot su' = \text{concat } (butlast \ ws) \rangle \langle u \neq \varepsilon \rangle]$ 
    obtain  $p \ s \ vs \ ps' \ ss'$  where  $p \ u \ s \sim_{\mathcal{I}} vs$  and  $ps \cdot vs \cdot ss' = butlast \ ws$  and
 $\text{concat } ps \cdot p = pu$  and  $s \cdot \text{concat } ss' = su'$ .
    have  $ps \cdot vs \cdot (ss' \cdot [last \ ws]) = ws$ 
    using  $append-butlast-last-id[OF \ \langle ws \neq \varepsilon \rangle, \text{folded } \langle ps \cdot vs \cdot ss' = butlast \ ws \rangle]$  unfolding rassoc.
    have  $s \cdot \text{concat } (ss' \cdot [last \ ws]) = su$ 
    using  $\langle s \cdot \text{concat } ss' = su' \rangle \langle su = su' \cdot last \ ws \rangle$  by fastforce
    from  $less.premis(1)[OF \ \langle p \ u \ s \sim_{\mathcal{I}} vs \rangle \langle ps \cdot vs \cdot (ss' \cdot [last \ ws]) = ws \rangle \langle \text{concat } ps \cdot p = pu \rangle \langle s \cdot \text{concat } (ss' \cdot [last \ ws]) = su \rangle]$ 
    show thesis.
  qed
next
  assume not-or:  $\neg (hd \ ws \leq_p pu \vee last \ ws \leq_s su)$ 

```

**hence**  $pu <_p hd\ ws$  **and**  $su <_s last\ ws$   
**using**  $ruler[OF\ concat-hd-pref[OF\ \langle ws \neq \varepsilon \rangle]\ prefI'[OF\ \langle pu \cdot u \cdot su = concat\ ws \rangle[symmetric]]]$   
 $ruler[reversed, OF\ concat-hd-pref[reversed, OF\ \langle ws \neq \varepsilon \rangle]\ prefI'[reversed,$   
 $OF\ \langle pu \cdot u \cdot su = concat\ ws \rangle[symmetric, unfolded\ lassoc]]]$  **by** *auto*  
**from**  $fac-interpI[OF\ this\ \langle pu \cdot u \cdot su = concat\ ws \rangle]$   
**have**  $pu\ u\ su \sim_{\mathcal{I}} ws$ .  
**from**  $less.premis(1)[OF\ this, of\ \varepsilon\ \varepsilon]$   
**show** *thesis* **by** *simp*  
**qed**  
**qed**  
**qed**

**lemma** *obtain-fac-interp'*: **assumes**  $u \leq_f concat\ ws$  **and**  $u \neq \varepsilon$   
**obtains**  $p\ s\ vs$  **where**  $p\ u\ s \sim_{\mathcal{I}} vs$  **and**  $vs \leq_f ws$   
**proof**–  
**from**  $facE[OF\ \langle u \leq_f concat\ ws \rangle]$   
**obtain**  $pu\ su$  **where**  $concat\ ws = pu \cdot u \cdot su$ .  
**from**  $obtain-fac-interp[OF\ this[symmetric]\ \langle u \neq \varepsilon \rangle]$  *that*  
**show** *thesis*  
**using**  $facI'$  **by** *metis*  
**qed**

**lemma** *fac-pow-longE*: **assumes**  $w \leq_f v^{\textcircled{a}}k$  **and**  $|v| \leq |w|$   
**obtains**  $m\ v1\ v2$  **where**  $v1 \leq_s v\ v2 \leq_p v\ w = v1 \cdot v^{\textcircled{a}}m \cdot v2$   
**using** *assms*  
**proof** (*cases*  $w = \varepsilon \vee w = v$ )  
**assume**  $w = \varepsilon \vee w = v$   
**then** **show** *thesis*  
**by** (*rule* *disjE*) (*use* *that*[*of*  $\varepsilon\ \varepsilon\ 0$ ] **in** *fastforce*, *use* *that*[*of*  $\varepsilon\ \varepsilon\ 1$ ] **in** *auto*)  
**next**  
**assume**  $\neg (w = \varepsilon \vee w = v)$   
**hence**  $w \neq \varepsilon$  **and**  $w \neq v$  **by** *blast+*  
**have**  $v^{\textcircled{a}}k = concat\ ([v]^{\textcircled{a}}k)$   
**by** *auto*  
**from**  $obtain-fac-interp'[OF\ \langle w \leq_f v^{\textcircled{a}}k \rangle[unfolded\ this]\ \langle w \neq \varepsilon \rangle]$   
**obtain**  $p\ vs\ s$  **where**  $p\ w\ s \sim_{\mathcal{I}} vs\ vs \leq_f [v]^{\textcircled{a}}k$ .  
**note**  $fac-interpD[OF\ this(1)]$   
**obtain**  $m$  **where**  $vs = [v]^{\textcircled{a}}m$   
**using**  $\langle vs \leq_f [v]^{\textcircled{a}}k \rangle$  *unique-letter-fac-expE* **by** *meson*  
**hence**  $concat\ vs = v^{\textcircled{a}}m$   
**by** *simp*  
**from**  $lenarg[OF\ \langle p \cdot w \cdot s = concat\ vs \rangle, unfolded\ this\ lenmorph\ pow-len]$   
**have**  $0 < m$   
**using**  $\langle |v| \leq |w| \rangle\ \langle \neg (w = \varepsilon \vee w = v) \rangle$  **by** *force*  
**hence**  $hd\ vs = v$  **and**  $last\ vs = v$   
**using**  $\langle vs = [v]^{\textcircled{a}}m \rangle$   
**by** (*simp-all* *add: hd-pow hd-pow[reversed]*)  
**obtain**  $v1$  **where**  $v = p \cdot v1$

**using**  $\langle p < p \text{ hd } vs \rangle$  **unfolding**  $\langle \text{hd } vs = v \rangle$  *strict-prefix-def prefix-def* **by force**  
**obtain**  $v2$  **where**  $v = v2 \cdot s$   
**using**  $\langle s < s \text{ last } vs \rangle$  **unfolding**  $\langle \text{last } vs = v \rangle$  *strict-suffix-def suffix-def* **by force**  
**have**  $m \neq 1$   
**using**  $\langle p \cdot w \cdot s = \text{concat } vs \rangle$  **unfolding**  $\langle \text{concat } vs = v^{\textcircled{m}} \rangle$   
**using**  $\langle w \neq v \rangle$   $\langle |v| \leq |w| \rangle$  **by force**  
**hence**  $2 \leq m$   
**using**  $\langle 0 < m \rangle$  **by** *linarith*  
**from** *Suc-minus2*[*OF this*]  
**have**  $\text{concat } vs = v \cdot v^{\textcircled{m-2}} \cdot v$   
**unfolding** *pow-Suc'*[*symmetric*] *pow-Suc*[*symmetric*]  $\langle \text{concat } vs = v^{\textcircled{m}} \rangle$  **by** *argo*  
**hence**  $w = v1 \cdot v^{\textcircled{m-2}} \cdot v2$   
**by** (*subst(asm)*  $\langle v = p \cdot v1 \rangle$ , *subst(asm)* (2)  $\langle v = v2 \cdot s \rangle$ )  
(*simp add*:  $\langle p \cdot w \cdot s = \text{concat } vs \rangle$ [*symmetric*])  
**from** *that*[*OF - - this*]  
**show** *thesis*  
**using**  $\langle v = p \cdot v1 \rangle$   $\langle v = v2 \cdot s \rangle$  **by** *blast*  
**qed**

**lemma** *obtain-fac-interp-dec*: **assumes**  $w \in \langle G \rangle$   $u \leq_f w$   $u \neq \varepsilon$   
**obtains**  $p \ s \ ws$  **where**  $ws \in \text{lists } (G - \{\varepsilon\})$   $p \ u \ s \sim_{\mathcal{I}} ws$   $ws \leq_f \text{Dec } G \ w$   
**proof**–  
**from** *obtain-fac-interp'*[*OF -*  $\langle u \neq \varepsilon \rangle$ , *of Dec G w*, *unfolded concat-dec*[*OF*  $\langle w \in \langle G \rangle \rangle$ , *OF*  $\langle u \leq_f w \rangle$ ]  
**obtain**  $p \ s \ ws$  **where**  $*$ :  $p \ u \ s \sim_{\mathcal{I}} ws$   $ws \leq_f \text{Dec } G \ w$ .  
**have**  $ws \in \text{lists } (G - \{\varepsilon\})$   
**using** *fac-in-lists*[*OF dec-in-lists'*[*OF*  $\langle w \in \langle G \rangle \rangle$ ]  $\langle ws \leq_f \text{Dec } G \ w \rangle$ ].  
**from** *that*[*OF this*  $*$ ]  
**show** *thesis*.  
**qed**

**lemma** *fac-interp-inner*: **assumes**  $u \neq \varepsilon$  **and**  $p \ u \ s \sim_{\mathcal{I}} ws$  **and**  $1 < |ws|$   
**shows**  $p^{-1} \langle \text{hd } ws \rangle \cdot \text{concat}(\text{butlast } (tl \ ws)) \cdot (\text{last } ws)^{<-1} s = u$   
**proof**–  
**have**  $p < p \ \text{hd } ws$  **and**  $s < s \ \text{last } ws$  **and**  $p \cdot u \cdot s = \text{concat } ws$   
**using** *assms*[*unfolded factor-interpretation-def*] **by** *blast+*  
**have**  $\text{last } (tl \ ws) = \text{last } ws$   
**using** *last-tl long-list-tl*[*OF*  $\langle 1 < |ws| \rangle$ ] **by** *blast*  
**have** *ws-eq*:  $[\text{hd } ws] \cdot \text{butlast } (tl \ ws) \cdot [\text{last } ws] = ws$   
**using** *hd-tl*[*OF fac-interp-nemp*[*OF*  $\langle u \neq \varepsilon \rangle$   $\langle p \ u \ s \sim_{\mathcal{I}} ws \rangle$ ]] *append-butlast-last-id*[*OF* *long-list-tl*[*OF*  $\langle 1 < |ws| \rangle$ ], *unfolded*  $\langle \text{last } (tl \ ws) = \text{last } ws \rangle$ ] **by** *simp*  
**from** *arg-cong*[*OF this*, *of concat*, *unfolded concat-morph*, *unfolded concat-sing'*, *folded*  $\langle p \cdot u \cdot s = \text{concat } ws \rangle$ ]  
**have**  $(\text{hd } ws) \cdot \text{concat}(\text{butlast } (tl \ ws)) \cdot (\text{last } ws) = p \cdot u \cdot s$ .  
**thus**  $p^{-1} \langle \text{hd } ws \rangle \cdot \text{concat}(\text{butlast } (tl \ ws)) \cdot (\text{last } ws)^{<-1} s = u$   
**unfolding** *cancel-right*[*of*  $p^{-1} \langle \text{hd } ws \rangle \cdot \text{concat}(\text{butlast } (tl \ ws)) \cdot \text{last } ws^{<-1} s \ s \ u$ , *symmetric*]  
**unfolding** *rassoc rq-suf*[*OF ssufD1*[*OF*  $\langle s < s \ \text{last } ws \rangle$ ]]

**unfolding** *cancel*[of  $p \ p^{-1} > \text{hd } ws \cdot \text{concat } (\text{butlast } (\text{tl } ws)) \cdot \text{last } ws \ u \cdot s$ , *symmetric*]  
**unfolding** *lassoc lq-pref*[*OF sprefD1*[*OF <p <p hd ws>*]].  
**qed**

**lemma** *fac-interp-inner-len*: **assumes**  $u \neq \varepsilon$  **and**  $p \ u \ s \sim_{\mathcal{I}} \ ws$   
**shows**  $|\text{concat}(\text{butlast } (\text{tl } ws))| < |u|$   
**proof** (*cases*  $|ws| \leq 1$ )  
**assume**  $|ws| \leq 1$   
**hence**  $\text{tl } ws = \varepsilon$   
**using** *nemp-le-len* **by** *fastforce*  
**thus** *?thesis*  
**using**  $\langle u \neq \varepsilon \rangle$  **by** *simp*  
**next**  
**assume** *neg*:  $\neg |ws| \leq 1$  **hence**  $1 < |ws|$  **by** *auto*  
**from** *lenarg*[*OF fac-interp-inner*[*OF <u ≠ ε> <p u s ~<sub>I</sub> ws> this]]  $\langle p \ u \ s \sim_{\mathcal{I}} \ ws \rangle$   
**show** *?thesis*  
**unfolding** *factor-interpretation-def lenmorph*  
**using** *rq-ssuf*[of  $s \ \text{last } ws$ , *folded length-greater-0-conv*]  
**by** *linarith*  
**qed***

**lemma** *rev-in-set-map-rev-conv*:  $\text{rev } u \in \text{set } (\text{map } \text{rev } ws) \longleftrightarrow u \in \text{set } ws$   
**by** *auto*

**lemma** *rev-fac-interp*: **assumes**  $p \ u \ s \sim_{\mathcal{I}} \ ws$  **shows**  $(\text{rev } s) \ (\text{rev } u) \ (\text{rev } p) \sim_{\mathcal{I}} \ \text{rev } (\text{map } \text{rev } ws)$   
**proof** (*rule fac-interpI*)  
**note** *fac-interpD*[*OF assms*]  
**show**  $\langle \text{rev } s <_p \ \text{hd } (\text{map } \text{rev } ws) \rangle$   
**using**  $\langle s <_s \ \text{last } ws \rangle$   
**by** (*metis*  $\langle p <_p \ \text{hd } ws \rangle \langle p \cdot u \cdot s = \text{concat } ws \rangle$  *append-is-Nil-conv concat.simps(1)*  
*hd-rev last-map list.simps(8)* *rev-is-Nil-conv strict-suffix-to-prefix*)  
**show**  $\text{rev } p <_s \ \text{last } (\text{map } \text{rev } ws)$   
**using**  $\langle p <_p \ \text{hd } ws \rangle$   
**by** (*metis*  $\langle p \cdot u \cdot s = \text{concat } ws \rangle \langle s <_s \ \text{last } ws \rangle$  *append-is-Nil-conv concat.simps(1)*  
*last-rev list.map-sel(1) list.simps(8)* *rev-is-Nil-conv spref-rev-suf-iff*)  
**show**  $\text{rev } s \cdot \text{rev } u \cdot \text{rev } p = \text{concat } (\text{rev } (\text{map } \text{rev } ws))$   
**using**  $\langle p \cdot u \cdot s = \text{concat } ws \rangle$   
**by** (*metis* *append-assoc rev-append rev-concat rev-map*)  
**qed**

**lemma** *rev-fac-interp-iff* [*reversal-rule*]:  $(\text{rev } s) \ (\text{rev } u) \ (\text{rev } p) \sim_{\mathcal{I}} \ \text{rev } (\text{map } \text{rev } ws) \longleftrightarrow p \ u \ s \sim_{\mathcal{I}} \ ws$   
**using** *rev-fac-interp*  
**by** (*metis* (*no-types, lifting*) *map-rev-involution rev-map rev-rev-ident*)

**lemma** *fac-interp-mid-fac*: **assumes**  $p \ u \ s \sim_{\mathcal{I}} \ ws$   
**shows**  $\text{concat } (\text{butlast } (\text{tl } ws)) \leq_f u$

**proof**(*rule le-cases*)  
**assume**  $2 \leq |ws|$   
**note** *fac-interpD*[*OF*  $\langle p \ u \ s \sim_{\mathcal{I}} \ ws \rangle$ ]  
*mid-fac-ex*[*OF*  $\langle 2 \leq |ws| \rangle$ ]  
**note** *ex* = *sprefD1*[*OF* *this*(1)] *sprefE*[*reversed*, *OF* *this*(2)]  
**obtain**  $p'$  **where**  $hd \ ws = p \cdot p'$   
**using** *ex*(1) *prefixE*  
**by** *blast*  
**obtain**  $s'$  **where**  $last \ ws = s' \cdot s$   
**using**  $\langle s <_s last \ ws \rangle$  **by** (*blast elim: ssufE sufE*)  
**show** *?thesis*  
**using**  $\langle p \cdot u \cdot s = concat \ ws \rangle$   
**unfolding** *arg-cong*[*OF*  $\langle ws = [hd \ ws] \cdot butlast \ (tl \ ws) \cdot [last \ ws] \rangle$ , *of concat*]  
**unfolding** *concat-morph concat-sing'*  
**unfolding**  $\langle hd \ ws = p \cdot p' \rangle \langle last \ ws = s' \cdot s \rangle$   
**by** *simp*  
**next**  
**assume**  $|ws| \leq 2$   
**hence**  $butlast \ (tl \ ws) = \varepsilon$   
**using** *nemp-le-len* **by** *fastforce*  
**thus** *?thesis*  
**by** *simp*  
**qed**

**definition** *disjoint-interpretation* :: '*a list*  $\Rightarrow$  '*a list list*  $\Rightarrow$  '*a list*  $\Rightarrow$  '*a list list*  $\Rightarrow$  *bool* ( $\langle - \ - \sim_{\mathcal{D}} - \rangle$  [*51,51,51,51*] 60)  
**where**  $p \ us \ s \sim_{\mathcal{D}} \ ws \equiv p \ (concat \ us) \ s \sim_{\mathcal{I}} \ ws \wedge$   
 $(\forall \ u \ v. u \leq_p \ us \wedge v \leq_p \ ws \longrightarrow p \cdot concat \ u \neq$   
 $concat \ v)$

**lemma** *disjoint-interpI*:  $p \ (concat \ us) \ s \sim_{\mathcal{I}} \ ws \implies$   
 $(\forall \ u \ v. u \leq_p \ us \wedge v \leq_p \ ws \longrightarrow p \cdot concat \ u \neq concat \ v) \implies p \ us \ s \sim_{\mathcal{D}} \ ws$   
**unfolding** *disjoint-interpretation-def* **by** *blast*

**lemma** *disjoint-interpI'*[*intro*]:  $p \ (concat \ us) \ s \sim_{\mathcal{I}} \ ws \implies$   
 $(\bigwedge \ u \ v. u \leq_p \ us \implies v \leq_p \ ws \implies p \cdot concat \ u \neq concat \ v) \implies p \ us \ s \sim_{\mathcal{D}} \ ws$   
**unfolding** *disjoint-interpretation-def* **by** *blast*

**lemma** *disj-interpD*:  $p \ us \ s \sim_{\mathcal{D}} \ ws \implies p \ (concat \ us) \ s \sim_{\mathcal{I}} \ ws$   
**unfolding** *disjoint-interpretation-def* **by** *blast*

**lemma** *disj-interpD1*: **assumes**  $p \ us \ s \sim_{\mathcal{D}} \ ws$  **and**  $us' \leq_p \ us$  **and**  $ws' \leq_p \ ws$   
**shows**  $p \cdot concat \ us' \neq concat \ ws'$   
**using** *assms* **unfolding** *disjoint-interpretation-def* **by** *blast*

**lemma** *disj-interp-nemp*: **assumes**  $p \ us \ s \sim_{\mathcal{D}} \ ws$   
**shows**  $p \neq \varepsilon$  **and**  $s \neq \varepsilon$   
**using** *disj-interpD1*[*OF* *assms emp-pref emp-pref*]  
*disj-interpD1*[*OF* *assms self-pref self-pref*, *folded*]

*fac-interpD*(3)[*OF disj-interpD*, *OF assms*], *unfolded cancel*] **by** *blast+*

### 8.1.1 Factor interpretation of morphic images

**context** *morphism*

**begin**

**lemma** *image-fac-interp'*: **assumes**  $w \leq_f f z w \neq \varepsilon$

**obtains**  $p \ w\text{-pred} \ s$  **where**  $w\text{-pred} \leq_f z \ p \ w \ s \sim_{\mathcal{I}} (\text{map } f^{\mathcal{C}} \ w\text{-pred})$

**proof**–

**let**  $?fzs = \text{map } f^{\mathcal{C}} \ z$

**have**  $w \leq_f \text{concat} (\text{map } f^{\mathcal{C}} \ z)$

**by** (*simp add: assms(1) morph-concat-map*)

**from** *obtain-fac-interp'*[*OF*  $\langle w \leq_f \text{concat} (\text{map } f^{\mathcal{C}} \ z) \rangle \langle w \neq \varepsilon \rangle$ ]

**obtain**  $p \ s \ ws$  **where**  $p \ w \ s \sim_{\mathcal{I}} \ ws \ ws \leq_f ?fzs$

**by** *blast*

**obtain**  $w\text{-pred}$  **where**  $ws = \text{map } f^{\mathcal{C}} \ w\text{-pred} \ w\text{-pred} \leq_f z$

**using**  $\langle ws \leq_f \text{map } f^{\mathcal{C}} \ z \rangle$  *sublist-map-rightE* **by** *blast*

**show** *?thesis*

**using**  $\langle p \ w \ s \sim_{\mathcal{I}} \ ws \rangle \langle w\text{-pred} \leq_f z \rangle \langle ws = \text{map } f^{\mathcal{C}} \ w\text{-pred} \rangle$  *that* **by** *blast*

**qed**

**lemma** *image-fac-interp*: **assumes**  $u \cdot w \cdot v = f z w \neq \varepsilon$

**obtains**  $p \ w\text{-pred} \ s \ u\text{-pred} \ v\text{-pred}$  **where**

$u\text{-pred} \cdot w\text{-pred} \cdot v\text{-pred} = z \ p \ w \ s \sim_{\mathcal{I}} (\text{map } f^{\mathcal{C}} \ w\text{-pred})$

$u = (f \ u\text{-pred}) \cdot p \ v = s \cdot (f \ v\text{-pred})$

**proof**–

**let**  $?fzs = \text{map } f^{\mathcal{C}} \ z$

**have**  $u \cdot w \cdot v = \text{concat} (\text{map } f^{\mathcal{C}} \ z)$

**by** (*simp add: assms(1) morph-concat-map*)

**from** *obtain-fac-interp*[*OF*  $\langle u \cdot w \cdot v = \text{concat} (\text{map } f^{\mathcal{C}} \ z) \rangle \langle w \neq \varepsilon \rangle$ ]

**obtain**  $ps \ ss \ p \ s \ ws$  **where**  $p \ w \ s \sim_{\mathcal{I}} \ ws \ ps \cdot ws \cdot ss = ?fzs \ \text{concat} \ ps \cdot p = u \ \ s \cdot \text{concat} \ ss = v$

**by** *metis*

**obtain**  $w\text{-pred} \ u\text{-pred} \ v\text{-pred}$  **where**  $ws = \text{map } f^{\mathcal{C}} \ w\text{-pred} \ ps = \text{map } f^{\mathcal{C}} \ u\text{-pred}$

$ss = \text{map } f^{\mathcal{C}} \ v\text{-pred} \ u\text{-pred} \cdot w\text{-pred} \cdot v\text{-pred} = z$

**using**  $\langle ps \cdot ws \cdot ss = \text{map } f^{\mathcal{C}} \ z \rangle$  [*unfolded append-eq-map-conv*]

**by** *blast*

**show** *?thesis*

**using**  $\langle \text{concat} \ ps \cdot p = u \rangle \langle p \ w \ s \sim_{\mathcal{I}} \ ws \rangle \langle ps = \text{map } f^{\mathcal{C}} \ u\text{-pred} \rangle \langle s \cdot \text{concat} \ ss = v \rangle \langle ss = \text{map } f^{\mathcal{C}} \ v\text{-pred} \rangle \langle u\text{-pred} \cdot w\text{-pred} \cdot v\text{-pred} = z \rangle \langle ws = \text{map } f^{\mathcal{C}} \ w\text{-pred} \rangle$  *morph-concat-map* *that* **by** *blast*



qed

**lemma** *image-fac-interp-mid*: **assumes**  $p \ w \ s \sim_{\mathcal{I}} \text{map } f^{\mathcal{C}} \ w\text{-pred}$   $2 \leq |w\text{-pred}|$

**obtains**  $pw \ sw$  **where**

$w = pw \cdot (f \ (\text{butlast} \ (\text{tl} \ w\text{-pred}))) \cdot sw$   $p \cdot pw = f \ [\text{hd} \ w\text{-pred}] \ sw \cdot s = f \ [\text{last} \ w\text{-pred}]$

**proof**–

**note**  $\text{fac-interpD}[OF \ \langle p \ w \ s \sim_{\mathcal{I}} \text{map } f^{\mathcal{C}} \ w\text{-pred} \rangle, \text{unfolded morph-concat-map}]$

**note**  $\text{butl} = \text{mid-fac-ex}[OF \ \langle 2 \leq |w\text{-pred}| \rangle]$

**have**  $w\text{-pred} \neq \varepsilon$

**using**  $\text{assms}(2)$  **by** *force*

**obtain**  $pw'$  **where**

$p \cdot pw' = \text{hd} \ (\text{map } f^{\mathcal{C}} \ w\text{-pred})$

**using**  $\text{sprefE}[OF \ \langle p < p \ \text{hd} \ (\text{map } f^{\mathcal{C}} \ w\text{-pred}) \rangle]$  **prefixE** **by** *metis*

**hence**  $pw'$ :  $p \cdot pw' = f \ [\text{hd} \ w\text{-pred}]$

**unfolding** *core-def*

**unfolding**  $\text{hd-map}[OF \ \langle w\text{-pred} \neq \varepsilon \rangle, \text{of } f^{\mathcal{C}}, \text{unfolded core-def}]$ .

**obtain**  $sw'$  **where**

$sw' \cdot s = \text{last} \ (\text{map } f^{\mathcal{C}} \ (w\text{-pred}))$

**using**  $\text{sprefE}[\text{reversed}, OF \ \langle s < s \ \text{last} \ (\text{map } f^{\mathcal{C}} \ w\text{-pred}) \rangle]$  **suffix-def** **by** *metis*

**hence**  $sw'$ :  $sw' \cdot s = f \ [\text{last} \ (w\text{-pred})]$

**unfolding** *core-def*

**unfolding**  $\text{last-map}[OF \ \langle w\text{-pred} \neq \varepsilon \rangle, \text{of } f^{\mathcal{C}}, \text{unfolded core-def}]$ .

**have**  $w = pw' \cdot f \ (\text{butlast} \ (\text{tl} \ w\text{-pred})) \cdot sw'$

**using**  $\langle p \cdot w \cdot s = f \ w\text{-pred} \rangle[\text{unfolded arg-cong}[OF \ \text{butl}, \text{of } f]]$

**unfolding** *morph*

**unfolding**  $pw'[\text{symmetric}] \ sw'[\text{symmetric}]$

**by** *simp*

**thus** *?thesis*

**using**  $pw' \ sw'$  **that** **by** *blast*

qed

end

## 8.2 Miscellanea

### 8.2.1 Mismatch additions

**lemma** *mismatch-pref-comm-len*: **assumes**  $w1 \in \langle \{u, v\} \rangle$  **and**  $w2 \in \langle \{u, v\} \rangle$  **and**  $p \leq_p w1$

$u \cdot p \leq_p v \cdot w2$  **and**  $|v| \leq |p|$

**shows**  $u \cdot v = v \cdot u$

**proof** (*rule ccontr*)

**assume**  $u \cdot v \neq v \cdot u$

**then interpret** *binary-code*  $u \ v$

by *unfold-locales*  
 show *False*  
 using *bin-code-prefs*[*OF*  $\langle w1 \in \langle \{u,v\} \rangle \langle p \leq p \ w1 \rangle \langle w2 \in \langle \{u,v\} \rangle \langle |v| \leq |p| \rangle$ ]  
 $\langle u \cdot p \leq p \ v \cdot w2 \rangle$   
 by *blast*  
 qed

**lemma** *mismatch-pref-comm*: **assumes**  $w1 \in \langle \{u,v\} \rangle$  **and**  $w2 \in \langle \{u,v\} \rangle$  **and**  
 $u \cdot w1 \cdot v \leq p \ v \cdot w2 \cdot u$   
**shows**  $u \cdot v = v \cdot u$   
 using *assms* **by** *mismatch*

**lemma** *mismatch-eq-comm*: **assumes**  $w1 \in \langle \{u,v\} \rangle$  **and**  $w2 \in \langle \{u,v\} \rangle$  **and**  
 $u \cdot w1 = v \cdot w2$   
**shows**  $u \cdot v = v \cdot u$   
 using *assms* **by** *mismatch*

**lemmas** *mismatch-suf-comm* = *mismatch-pref-comm*[*reversed*] **and**  
*mismatch-suf-comm-len* = *mismatch-pref-comm-len*[*reversed, unfolded rassoc*]

## 8.2.2 Conjugate words with conjugate periods

**lemma** *conj-pers-conj-comm-aux*:  
**assumes**  $(u \cdot v)^{\textcircled{k}} \cdot u = r \cdot s$  **and**  $(v \cdot u)^{\textcircled{l}} \cdot v = (s \cdot r)^{\textcircled{m}}$  **and**  $0 < k \ 0 < l$   
**and**  $2 \leq m$   
**shows**  $u \cdot v = v \cdot u$   
**proof** (*rule nemp-comm*)  
**assume**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **hence**  $u \cdot v \neq \varepsilon$  **and**  $v \cdot u \neq \varepsilon$  **by** *blast+*  
**have**  $l \neq 1$  — impossible by a length argument  
**proof** (*rule notI*)  
**assume**  $l = 1$   
**hence**  $v \cdot u \cdot v = (s \cdot r)^{\textcircled{m}}$   
**using** *assms*(2) **by** *simp*  
**have**  $|v \cdot u| + |u| \leq |r \cdot s|$   
**unfolding** *lenmorph add.commute*[*of |u|*]  
*lenarg*[*OF* *assms*(1), *unfolded lenmorph pow-len, symmetric*]  
**using**  $\langle 0 < k \rangle$  **by** *simp*  
**hence**  $|v \cdot u \cdot v \cdot u| \leq 2 * |r \cdot s|$   
**unfolding** *lenmorph pow-len* **by** *simp*  
**hence**  $|v \cdot u \cdot v| < 2 * |r \cdot s|$   
**unfolding** *lenmorph pow-len* **using** *nemp-len*[*OF*  $\langle u \neq \varepsilon \rangle$ ] **by** *linarith*  
**from** *this*[*unfolded*  $\langle v \cdot u \cdot v = (s \cdot r)^{\textcircled{m}} \rangle$ ]  
**show** *False*  
**using** *mult-le-mono1*[*OF*  $\langle 2 \leq m \rangle$ , *of |r| + |s|*]  
**unfolding** *lenmorph pow-len add.commute*[*of |s|*] **by** *force*  
 qed  
 — we can therefore use the Periodicity lemma  
**hence**  $2 \leq l$   
**using**  $\langle 0 < l \rangle$  **by** *force*

**let**  $?w = (v \cdot u)^{\textcircled{l}} \cdot v$   
**have**  $per1: ?w \leq_p (v \cdot u) \cdot ?w$   
**unfolding** *lassoc pow-comm[symmetric]* **by force**  
**have**  $per2: ?w \leq_p (s \cdot r) \cdot ?w$   
**unfolding** *assms(2)* **using** *pref-pow-ext'* **by blast**  
**have**  $len: |v \cdot u| + |s \cdot r| \leq |?w|$   
**proof-**  
**have**  $len1: 2 * |s \cdot r| \leq |?w|$   
**using** *mult-le-mono1[OF <2 ≤ m>, of |s| + |r|]*  
**unfolding**  $\langle (v \cdot u)^{\textcircled{l}} \cdot v = (s \cdot r)^{\textcircled{m}} \rangle$  *lenmorph pow-len.*  
**moreover** **have**  $len2: 2 * |v \cdot u| \leq |?w|$   
**using** *mult-le-mono1[OF <2 ≤ l>, of |v| + |u|]*  
**unfolding** *lenmorph pow-len* **by** *linarith*  
**ultimately show** *?thesis*  
**using**  $len1 len2$  **by** *linarith*  
**qed**  
**from** *two-pers[OF per1 per2 len]*  
**have**  $(v \cdot u) \cdot (s \cdot r) = (s \cdot r) \cdot (v \cdot u)$ .  
**hence**  $(v \cdot u)^{\textcircled{l}} \cdot (s \cdot r)^{\textcircled{m}} = (s \cdot r)^{\textcircled{m}} \cdot (v \cdot u)^{\textcircled{l}}$   
**using** *comm-add-exps* **by blast**  
**from** *comm-drop-exp'[OF this[folded assms(2), unfolded rassoc cancel] <0 < l>]*  
**show**  $u \cdot v = v \cdot u$   
**unfolding** *rassoc cancel* **by blast**  
**qed**

**lemma** *conj-pers-conj-comm*: **assumes**  $\varrho (v \cdot (u \cdot v)^{\textcircled{k}}) \sim \varrho ((u \cdot v)^{\textcircled{m}} \cdot u)$  **and**  
 $0 < k$  **and**  $0 < m$   
**shows**  $u \cdot v = v \cdot u$   
**proof** (*rule nemp-comm*)  
**let**  $?v = v \cdot (u \cdot v)^{\textcircled{k}}$  **and**  $?u = (u \cdot v)^{\textcircled{m}} \cdot u$   
**assume**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$   
**hence**  $u \cdot v \neq \varepsilon$  **and**  $?v \neq \varepsilon$  **and**  $?u \neq \varepsilon$  **by** *simp-all*  
**obtain**  $r s$  **where**  $r \cdot s = \varrho ?v$  **and**  $s \cdot r = \varrho ?u$   
**using** *conjugE[OF assms(1)]*.  
**then obtain**  $k1 k2$  **where**  $?v = (r \cdot s)^{\textcircled{k1}}$  **and**  $?u = (s \cdot r)^{\textcircled{k2}}$  **and**  $0 < k1$   
**and**  $0 < k2$   
**using** *primroot-expE[of ?u] primroot-expE[of ?v]* **unfolding** *shift-pow* **by** *metis*  
**hence**  $eq: (s \cdot r)^{\textcircled{k2}} \cdot (r \cdot s)^{\textcircled{k1}} = (u \cdot v)^{\textcircled{m+1+k}}$   
**unfolding** *add-exps pow-one rassoc* **by** *simp*  
**have** *ineq: 2 ≤ m + 1 + k*  
**using**  $\langle 0 < k \rangle$  **by** *simp*  
**consider** (*two-two*)  $2 \leq k1 \wedge 2 \leq k2$   
*(one-one)*  $k1 = 1 \wedge k2 = 1$  |  
*(two-one)*  $2 \leq k1 \wedge k2 = 1$  |  
*(one-two)*  $k1 = 1 \wedge 2 \leq k2$   
**using**  $\langle 0 < k1 \rangle \langle 0 < k2 \rangle$  **by** *linarith*  
**then show**  $u \cdot v = v \cdot u$   
**proof** (*cases*)  
**case** (*two-two*)

```

with Lyndon-Schutzenberger(1)[OF eq - - ineq]
have (s · r) · (r · s) = (r · s) · (s · r)
  using eqd-eq[of s · r r · s r · s s · r] by fastforce

from comm-add-exps[OF this, of k2 k1, folded ⟨?v = (r · s)@k1 ⟨?u = (s ·
r)@k2⟩]
  show u · v = v · u
  by mismatch
next
case (one-one)
hence (s · r)@k2 · (r · s)@k1 = (s · r) · (r · s)
  using pow-one by simp
from eq[unfolded conjunct1[OF one-one] conjunct2[OF one-one] pow-1]
  pow-nemp-imprim[OF ineq, folded eq[unfolded this]]
  Lyndon-Schutzenberger-conjug[of s · r r · s, OF conjugI]
have (s · r) · (r · s) = (r · s) · (s · r) by metis

from comm-add-exps[OF this, of k2 k1, folded ⟨?v = (r · s)@k1 ⟨?u = (s ·
r)@k2⟩, folded shift-pow]
  show u · v = v · u
  by mismatch
next
case (two-one)
hence ?u = s · r
  using ⟨?u = (s · r)@k2⟩
  by simp
  from ⟨?v = (r · s)@k1⟩[folded shift-pow, unfolded this]
have (v · u)@k · v = (r · s)@k1.
from conj-pers-conj-comm-aux[OF ⟨?u = s · r⟩ this ⟨0 < m⟩ ⟨0 < k⟩]
show u · v = v · u
  using two-one by auto
next
case (one-two)
hence ?v = r · s
  using ⟨?v = (r · s)@k1⟩ by simp
  from ⟨?u = (s · r)@k2⟩[unfolded this]
have (u · v)@m · u = (s · r)@k2.
from conj-pers-conj-comm-aux[OF ⟨?v = r · s⟩[folded shift-pow] this ⟨0 < k⟩
⟨0 < m⟩]
  show u · v = v · u
  using one-two by argo
qed
qed

hide-fact conj-pers-conj-comm-aux

```

### 8.2.3 Covering uvvu

**lemma** *uv-fac-uvv*: **assumes**  $p \cdot u \cdot v \leq_p u \cdot v \cdot v$  **and**  $p \neq \varepsilon$  **and**  $p \leq_s w$  **and**  $w \in \langle \{u, v\} \rangle$

**shows**  $u \cdot v = v \cdot u$

**proof** (*rule nemp-comm*)

**assume**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$

**obtain**  $s$  **where**  $u \cdot v \cdot v = p \cdot u \cdot v \cdot s$

**using**  $\langle p \cdot u \cdot v \leq_p u \cdot v \cdot v \rangle$  **by** (*auto simp add: prefix-def*)

**obtain**  $p'$  **where**  $u \cdot p' = p \cdot u$  **and**  $p' \cdot v \cdot s = v \cdot v$

**using** *eqdE*[*of*  $u \cdot v \cdot v \cdot p \cdot u \cdot v \cdot s$ , *unfolded rassoc*, *OF*  $\langle u \cdot v \cdot v = p \cdot u \cdot v \cdot s \rangle$  *suf-len'*].

**hence**  $p' \neq \varepsilon$

**using**  $\langle p \neq \varepsilon \rangle$  **by** *force*

**have**  $p' \cdot v \cdot s = v \cdot v$

**using**  $\langle u \cdot v \cdot v = p \cdot u \cdot v \cdot s \rangle$   $\langle u \cdot p' = p \cdot u \rangle$  *cancel rassoc* **by** *metis*

**from** *mid-sq*[*OF this*]

**have**  $v \cdot p' = p' \cdot v$  **by** *simp*

**from** *this comm-primroots*[*OF*  $\langle v \neq \varepsilon \rangle$   $\langle p' \neq \varepsilon \rangle$ ]

**have**  $\varrho v = \varrho p'$

**by** *simp*

**have**  $w \in \langle \{u, \varrho v\} \rangle$

**using** *gen-prim*[*OF*  $\langle w \in \langle \{u, v\} \rangle \rangle$ ].

**obtain**  $m$  **where**  $p' = \varrho v^{\textcircled{m}}$   $0 < m$

**using** *primroot-expE* **unfolding**  $\langle \varrho v = \varrho p' \rangle$  **by** *metis*

**have**  $(u \cdot \varrho v^{\textcircled{m-1}}) \cdot \varrho v \leq_s (\varrho v \cdot w) \cdot u$

**using**  $\langle p \leq_s w \rangle$

**unfolding** *rassoc pow-pos'*[*OF*  $\langle 0 < m \rangle$ , *symmetric*]  $\langle p' = \varrho v^{\textcircled{m}} \rangle$  [*symmetric*]  
 $\langle u \cdot p' = p \cdot u \rangle$  *suffix-def* **by** *force*

**hence**  $u \cdot \varrho v = \varrho v \cdot u$

**using**  $\langle w \in \langle \{u, \varrho v\} \rangle \rangle$  **by** *mismatch*

**thus**  $u \cdot v = v \cdot u$

**unfolding** *comm-primroot-conv*[*symmetric*].

**qed**

**lemmas** *uv-fac-uvv-suf* = *uv-fac-uvv*[*reversed*, *unfolded rassoc*]

**lemma**  $u \leq_p v \implies u' \leq_p v' \implies u \wedge_p u' \neq u \implies u \wedge_p u' \neq u' \implies u \wedge_p u' = v \wedge_p v'$

**using** *lcp.absorb2* *lcp.orderE* *lcp-rulers* *pref-compE* **by** *metis*

**lemma** *comm-puv-pvs-eq-ug*: **assumes**  $p \cdot u \cdot v = u \cdot v \cdot p$  **and**  $p \cdot v \cdot s = u \cdot q$  **and**

$p \leq_p u$   $q \leq_p w$  **and**  $s \leq_p w'$  **and**

$w \in \langle \{u, v\} \rangle$  **and**  $w' \in \langle \{u, v\} \rangle$  **and**  $|u| \leq |s|$

**shows**  $u \cdot v = v \cdot u$

**proof** (*rule ccontr*)

**assume**  $u \cdot v \neq v \cdot u$   
**then interpret** *binary-code*  $u \ v$   
  **by** *unfold-locales*  
**write** *bin-code-lcp*  $\langle \alpha \rangle$  **and**  
  *bin-code-mismatch-fst*  $\langle c_0 \rangle$  **and**  
  *bin-code-mismatch-snd*  $\langle c_1 \rangle$   
**have**  $|\alpha| < |v \cdot s|$   
  **using**  $\langle |u| \leq |s| \rangle$  *bin-lcp-short* **by force**  
**show** *False*  
**proof** (*cases*)  
  **assume**  $p = \varepsilon$   
  **hence**  $v \cdot s = u \cdot q$   
  **using**  $\langle p \cdot v \cdot s = u \cdot q \rangle$  **by** *fastforce*  
  **with**  $\langle |\alpha| < |v \cdot s| \rangle$   $\langle |\alpha| < |v \cdot s| \rangle$  [*unfolded this*]  
  **have**  $\alpha \cdot [c_1] \leq_p v \cdot s$  **and**  $\alpha \cdot [c_0] \leq_p u \cdot q$   
  **using**  $\langle s \leq_p w' \rangle$   $\langle w' \in \langle \{u, v\} \rangle \rangle$   $\langle q \leq_p w \rangle$   $\langle w \in \langle \{u, v\} \rangle \rangle$   
  *bin-lcp-mismatch-pref-all-snd* *bin-lcp-mismatch-pref-all-fst*  $\langle v \cdot s = u \cdot q \rangle$   
  **by** *blast+*  
  **thus** *False*  
  **unfolding**  $\langle v \cdot s = u \cdot q \rangle$  **using** *bin-mismatch-neq*  
  **by** (*simp add: same-sing-pref*)  
**next**  
  **assume**  $p \neq \varepsilon$   
  **show** *False*  
  **proof**–  
  – Preliminaries  
  **have**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **and**  $u \cdot v \neq v \cdot u$   
  **by** (*simp-all add: bin-fst-nemp bin-snd-nemp non-comm*)  
  **have**  $w \cdot u \cdot v \in \langle \{u, v\} \rangle$   
  **using**  $\langle w \in \langle \{u, v\} \rangle \rangle$  **by** *blast*  
  **have**  $|w \cdot u \cdot v| \geq |\alpha|$   
  **using** *bin-lcp-short* **by** *auto*  
  – The main idea: compare maximum  $p$ -prefixes  
  – the maximum  $p$ -prefix of  $p \cdot v \cdot s$   
  **have** *p-pref1*:  $p \cdot v \cdot s \wedge_p p \cdot p \cdot v \cdot s = p \cdot \alpha$   
  **using** *bin-per-root-max-pref-short*[*of p s w', OF - \langle s \leq\_p w' \rangle \langle w' \in \langle \{u, v\} \rangle \rangle*]  
   $\langle p \neq \varepsilon \rangle$   $\langle p \cdot u \cdot v = u \cdot v \cdot p \rangle$  **unfolding** *lcp-ext-left cancel take-all*[*OF*  
*less-imp-le*[*OF*  $\langle |\alpha| < |v \cdot s| \rangle$ ]] **by force**  
  – the maximum  $p$ -prefix of  $u \cdot w \cdot u \cdot v$  is at least  $u \cdot \alpha$   
  **have** *p-pref2*:  $u \cdot \alpha \leq_p u \cdot (w \cdot u \cdot v) \wedge_p p \cdot u \cdot (w \cdot u \cdot v)$   
  **using** *bin-root-max-pref-long*[*OF*  $\langle p \cdot u \cdot v = u \cdot v \cdot p \rangle$  *self-pref*  $\langle w \cdot u \cdot v$   
 $\in \langle \{u, v\} \rangle$   $\langle |\alpha| \leq |w \cdot u \cdot v| \rangle$ ].  
  – But those maximum  $p$ -prefixes are equal  
  **have**  $u \cdot w \cdot u \cdot v \wedge_p p \cdot u \cdot w \cdot u \cdot v = p \cdot v \cdot s \wedge_p p \cdot p \cdot v \cdot s$   
  **proof**(*rule lcp-rulers'*)  
  **show**  $\neg p \cdot v \cdot s \bowtie p \cdot p \cdot v \cdot s$   
  **proof** (*rule notI*)  
  **assume**  $p \cdot v \cdot s \bowtie p \cdot p \cdot v \cdot s$   
  **hence**  $p \cdot v \cdot s \wedge_p p \cdot p \cdot v \cdot s = p \cdot v \cdot s$

```

    using ⟨p ≠ ε⟩ lcp.absorb1 pref-compE same-suffix-nil by meson
    from this[unfolded p-pref1 cancel]
    show False
    using bin-lcp-short ⟨|u| ≤ |s|⟩ by force
  qed
  show p · v · s ≤p u · (w · u · v) p · p · v · s ≤p p · u · w · u · v
    by (simp-all add: assms(2) assms(4))
  qed
  from p-pref2[unfolded rassoc this p-pref1]
  have p = u
    using ⟨p ≤p u⟩ pref-cancel-right by force
  thus False
    using ⟨p · u · v = u · v · p⟩ non-comm by blast
  qed
  qed
  qed

```

**lemma** assumes  $u \cdot v \cdot v \cdot u = p \cdot u \cdot v \cdot u \cdot q$  and  $p \neq \varepsilon$  and  $q \neq \varepsilon$   
 shows  $u \cdot v = v \cdot u$   
 oops — counterexample:  $v = \text{abaaba}$ ,  $u = \text{a}$ ,  $p = \text{aab}$ ,  $q = \text{baa}$ ;  $\text{aab.a.abaaba.a.baa} = \text{a.abaaba.abaaba.a}$

**lemma** *uvu-pref-uvv*: assumes  $p \cdot u \cdot v \cdot v \cdot s = u \cdot v \cdot u \cdot q$  and  
 $p \leq p \ u$  and  $q \leq p \ w$  and  $s \leq p \ w'$  and  
 $w \in \langle\{u, v\}\rangle$  and  $w' \in \langle\{u, v\}\rangle$  and  $|u| \leq |s|$   
 shows  $u \cdot v = v \cdot u$   
 proof(rule nemp-comm)  
 have  $|p \cdot u \cdot v| \leq |u \cdot v \cdot u|$   
 using ⟨p ≤p u⟩ unfolding lenmorph  
 by (simp add: prefix-length-le)

— p commutes with  $u \cdot v$   
 have  $p \cdot (u \cdot v) = (u \cdot v) \cdot p$   
 by (rule pref-marker[of u · v · u], force)  
 (rule eq-le-pref, use assms in force, fact)

have  $p \cdot v \cdot s = u \cdot q$   
 proof—  
 have  $((u \cdot v) \cdot p) \cdot v \cdot s = (u \cdot v) \cdot u \cdot q$   
 unfolding ⟨p · u · v = (u · v) · p⟩[symmetric] unfolding rassoc by fact  
 from this[unfolded rassoc cancel]  
 show ?thesis.  
 qed

from comm-puv-pvs-eq-ug[OF ⟨p · (u · v) = (u · v) · p⟩[unfolded rassoc] this  
 assms(2-)]  
 show  $u \cdot v = v \cdot u$ .

qed

**lemma** *uvu-pref-uvvu*: **assumes**  $p \cdot u \cdot v \cdot v \cdot u = u \cdot v \cdot u \cdot q$  **and**  
 $p \leq_p u$  **and**  $q \leq_p w$  **and**  $w \in \langle \{u, v\} \rangle$   
**shows**  $u \cdot v = v \cdot u$   
**using** *uvu-pref-uvv*[*OF*  $\langle p \cdot u \cdot v \cdot v \cdot u = u \cdot v \cdot u \cdot q \rangle \langle p \leq_p u \rangle \langle q \leq_p w \rangle - \langle w \in \langle \{u, v\} \rangle, \text{ of } u \rangle$ ]  
**by** *blast*

**lemma** *uvu-pref-uvvu-interp*: **assumes** *interp*:  $p \ u \cdot v \cdot v \cdot u \ s \sim_{\mathcal{I}} \ ws$  **and**  
 $[u, v, u] \leq_p \ ws$  **and**  $\ws \in \text{lists } \{u, v\}$   
**shows**  $u \cdot v = v \cdot u$   
**proof**–  
**note** *fac-interpD*[*OF* *interp*]  
**obtain**  $\ws'$  **where**  $[u, v, u] \cdot \ws' = \ws$  **and**  $\ws' \in \text{lists } \{u, v\}$   
**using**  $\langle [u, v, u] \leq_p \ ws \rangle \langle \ws \in \text{lists } \{u, v\} \rangle$  **by** (*force simp add: prefix-def*)  
**have**  $p \cdot u \cdot v \cdot v \cdot u \cdot s = u \cdot v \cdot u \cdot \text{concat } \ws'$   
**using**  $\langle p \cdot (u \cdot v \cdot v \cdot u) \cdot s = \text{concat } \ws \rangle$  [*folded*  $\langle [u, v, u] \cdot \ws' = \ws \rangle$ , *unfolded concat-morph rassoc*]  
**by** *simp*  
**from** *lenarg*[*OF* *this, unfolded lenmorph*]  
**have**  $|s| \leq |\text{concat } \ws'|$   
**by** *auto*  
**hence**  $s \leq_s \text{concat } \ws'$   
**using** *eqd*[*reversed, OF*  $\langle p \cdot u \cdot v \cdot v \cdot u \cdot s = u \cdot v \cdot u \cdot \text{concat } \ws' \rangle$ ] [*unfolded lassoc*]  
**by** *blast*  
**note** *local-rule* = *uvu-pref-uvv*[*of*  $p \ u \ v \ u \ \text{concat } \ws'^{<-1} \ s \ \text{concat } \ws' \ u$ ]  
**show**  $u \cdot v = v \cdot u$   
**proof** (*rule local-rule*)  
**show**  $p \leq_p u$   
**using**  $\langle p <_p \text{hd } \ws \rangle$  *pref-hd-eq*[*OF*  $\langle [u, v, u] \leq_p \ ws \rangle$  *list.distinct(1)*] [*of*  $u \ [v, u]$ , *symmetric*]  
**by** *force*  
**have**  $p \cdot u \cdot v \cdot v \cdot u \cdot s = u \cdot v \cdot u \cdot (\text{concat } \ws'^{<-1} \ s) \cdot s$   
**using**  $\langle p \cdot u \cdot v \cdot v \cdot u \cdot s = u \cdot v \cdot u \cdot \text{concat } \ws' \rangle$  **unfolding** *rq-suf*[*OF*  $\langle s \leq_s \text{concat } \ws' \rangle$ ].  
**thus**  $p \cdot u \cdot v \cdot v \cdot u = u \cdot v \cdot u \cdot \text{concat } \ws'^{<-1} \ s$   
**by** *simp*  
**show**  $\text{concat } \ws' \in \langle \{u, v\} \rangle$   
**using**  $\langle \ws' \in \text{lists } \{u, v\} \rangle$  **by** *blast*  
**show**  $\text{concat } \ws'^{<-1} \ s \leq_p \text{concat } \ws'$   
**using** *rq-suf*[*OF*  $\langle s \leq_s \text{concat } \ws' \rangle$ ] **by** *fast*  
**qed** *auto*  
**qed**

**lemmas** *uvu-suf-uvvu* = *uvu-pref-uvvu*[*reversed, unfolded rassoc*] **and**



$uvu\text{-suf-}uvv = uvu\text{-pref-}uvv[\text{reversed, unfolded rassoc}]$

**lemma**  $uvu\text{-suf-}uvvu\text{-interp}$ :  $p\ u \cdot v \cdot v \cdot u\ s \sim_{\mathcal{I}} ws \implies [u, v, u] \leq_s ws$   
 $\implies ws \in \text{lists } \{u, v\} \implies u \cdot v = v \cdot u$   
**by** (rule  $uvu\text{-pref-}uvvu\text{-interp}[\text{reversed, unfolded rassoc emp-simps, symmetric, of } p\ u\ v\ s\ ws]$ ,  
*simp, force, simp add: image-iff rev-in-lists rev-map*)

## 8.2.4 Conjugate words

**lemma**  $conjug\text{-pref-suf-mismatch}$ : **assumes**  $w1 \in \langle \{r \cdot s, s \cdot r\} \rangle$  **and**  $w2 \in \langle \{r \cdot s, s \cdot r\} \rangle$   
**and**  $r \cdot w1 = w2 \cdot s$   
**shows**  $r = s \vee r = \varepsilon \vee s = \varepsilon$   
**proof** (rule *ccontr*)  
**assume**  $\neg (r = s \vee r = \varepsilon \vee s = \varepsilon)$   
**hence**  $r \neq s$  **and**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$  **by** *simp-all*  
**from** *assms*  
**show** *False*  
**proof** (*induct |w1| arbitrary: w1 w2 rule: less-induct*)  
**case** *less*  
**have**  $w1 \in \langle \{r, s\} \rangle$   
**using**  $\langle w1 \in \langle \{r \cdot s, s \cdot r\} \rangle \rangle$  **by** *force*  
**obtain**  $w1'$  **where**  $(w1 = \varepsilon \vee w1 = r \cdot s \cdot w1' \vee w1 = s \cdot r \cdot w1') \wedge w1' \in \langle \{r \cdot s, s \cdot r\} \rangle$   
**using** *hull.cases[OF \langle w1 \in \langle \{r \cdot s, s \cdot r\} \rangle \rangle] empty-iff insert-iff rassoc \langle w1 \in \langle \{r \cdot s, s \cdot r\} \rangle \rangle* **by** *metis*  
**hence**  $w1' \in \langle \{r \cdot s, s \cdot r\} \rangle$  **and** *cases1*:  $(w1 = \varepsilon \vee w1 = r \cdot s \cdot w1' \vee w1 = s \cdot r \cdot w1')$  **by** *blast+*  
**hence**  $w1' \in \langle \{r, s\} \rangle$  **by** *force*  
**obtain**  $w2'$  **where**  $(w2 = \varepsilon \vee w2 = r \cdot s \cdot w2' \vee w2 = s \cdot r \cdot w2') \wedge w2' \in \langle \{r \cdot s, s \cdot r\} \rangle$   
**using** *hull.cases[OF \langle w2 \in \langle \{r \cdot s, s \cdot r\} \rangle \rangle] empty-iff insert-iff rassoc \langle w1 \in \langle \{r \cdot s, s \cdot r\} \rangle \rangle* **by** *metis*  
**hence**  $w2' \in \langle \{r \cdot s, s \cdot r\} \rangle$  **and** *cases2*:  $(w2 = \varepsilon \vee w2 = r \cdot s \cdot w2' \vee w2 = s \cdot r \cdot w2')$  **by** *blast+*  
**hence**  $w2' \in \langle \{r, s\} \rangle$  **by** *force*  
**consider** (*empty2*)  $w2 = \varepsilon \mid$  (*sr2*)  $w2 = s \cdot r \cdot w2' \mid$  (*rs2*)  $w2 = r \cdot s \cdot w2'$  **using** *cases2* **by** *blast*  
**thus** *False*  
**proof** (*cases*)  
**case** *empty2*  
**consider** (*empty1*)  $w1 = \varepsilon \mid$  (*sr1*)  $w1 = s \cdot r \cdot w1' \mid$  (*rs1*)  $w1 = r \cdot s \cdot w1'$   
**using** *cases1* **by** *blast*  
**thus** *False*  
**proof** (*cases*)  
**case** *empty1*  
**show** *False*  
**using**  $\langle r \cdot w1 = w2 \cdot s \rangle[\text{unfolded empty1 empty2 rassoc}] \langle r \neq s \rangle$  **by** *simp*  
**next**

```

    case sr1
    show False
      using ⟨r · w1 = w2 · s⟩[unfolded sr1 empty2 rassoc] ⟨r ≠ ε⟩ fac-triv by
auto
next
case rs1
show False
  using ⟨r · w1 = w2 · s⟩[unfolded rs1 empty2 rassoc emp-simps] ⟨r ≠ ε⟩
  fac-triv[of r · r s w1', unfolded rassoc] by force
qed
next
case sr2
have r · s = s · r
  using ⟨w2' ∈ ⟨{r,s}⟩⟩ ⟨w1 ∈ ⟨{r,s}⟩⟩ ⟨r · w1 = w2 · s⟩[unfolded sr2 rassoc]
  by (mismatch)
consider (empty1) w1 = ε | (sr1) w1 = s · r · w1' | (rs1) w1 = r · s · w1'
using cases1 by blast
thus False
proof (cases)
case empty1
then show False
  using ⟨r · w1 = w2 · s⟩[unfolded sr2 empty1 rassoc cancel emp-simps,
symmetric] ⟨s ≠ ε⟩ fac-triv by blast
next
case rs1
then have r · s = s · r
  using ⟨w2' ∈ ⟨{r,s}⟩⟩ ⟨w1' ∈ ⟨{r,s}⟩⟩ ⟨r · w1 = w2 · s⟩[unfolded rs1 sr2
rassoc cancel]
  by mismatch
hence r · w1' = w2' · s
  using ⟨r · w1 = w2 · s⟩[unfolded rs1 sr2] rassoc cancel by metis
from less.hyps[OF - ⟨w1' ∈ ⟨{r · s, s · r}⟩⟩ ⟨w2' ∈ ⟨{r · s, s · r}⟩⟩ this]
show False
  using lenarg[OF ⟨w1 = r · s · w1'⟩, unfolded lenmorph] nemp-len[OF ⟨s
≠ ε⟩] by linarith
next
case sr1
then have r · s = s · r
  using ⟨w2' ∈ ⟨{r,s}⟩⟩ ⟨w1' ∈ ⟨{r,s}⟩⟩ ⟨r · w1 = w2 · s⟩[unfolded sr1 sr2
rassoc cancel]
  by mismatch
hence r · w1' = w2' · s
  using ⟨r · w1 = w2 · s⟩[unfolded sr1 sr2] rassoc cancel by metis
from less.hyps[OF - ⟨w1' ∈ ⟨{r · s, s · r}⟩⟩ ⟨w2' ∈ ⟨{r · s, s · r}⟩⟩ this]
show False
  using less.hyps[OF - ⟨w1' ∈ ⟨{r · s, s · r}⟩⟩ ⟨w2' ∈ ⟨{r · s, s · r}⟩⟩ ⟨r ·
w1' = w2' · s⟩]
  lenarg[OF ⟨w1 = s · r · w1'⟩, unfolded lenmorph] nemp-len[OF ⟨s ≠ ε⟩]
by linarith

```

```

qed
next
case rs2
consider (empty1) w1 = ε | (sr1) w1 = s · r · w1' | (rs1) w1 = r · s · w1'
using cases1 by blast
thus False
proof (cases)
case empty1
then show False
using ⟨r · w1 = w2 · s⟩[unfolded rs2 empty1 rassoc cancel] ⟨s ≠ ε⟩ by blast
next
case rs1
then have r · s = s · r
using ⟨w2' ∈ ⟨{r,s}⟩⟩ ⟨w1' ∈ ⟨{r,s}⟩⟩ ⟨r · w1 = w2 · s⟩[unfolded rs2 rs1
rassoc cancel]
by mismatch
hence r · w1' = w2' · s
using ⟨r · w1 = w2 · s⟩[unfolded rs1 rs2] rassoc cancel by metis
from less.hyps[OF - ⟨w1' ∈ ⟨{r · s, s · r}⟩⟩ ⟨w2' ∈ ⟨{r · s, s · r}⟩⟩ this]
show False
using less.hyps[OF - ⟨w1' ∈ ⟨{r · s, s · r}⟩⟩ ⟨w2' ∈ ⟨{r · s, s · r}⟩⟩ ⟨r ·
w1' = w2' · s⟩]
lenarg[OF ⟨w1 = r · s · w1'⟩, unfolded lenmorph] nemp-len[OF ⟨s ≠ ε⟩]
by linarith
next
case sr1
then show False
using less.hyps[OF - ⟨w1' ∈ ⟨{r · s, s · r}⟩⟩ ⟨w2' ∈ ⟨{r · s, s · r}⟩⟩ ⟨r ·
w1 = w2 · s⟩[unfolded rs2 sr1 rassoc cancel]]
lenarg[OF ⟨w1 = s · r · w1'⟩, unfolded lenmorph] nemp-len[OF ⟨s ≠ ε⟩]
by linarith
qed
qed
qed
qed

```

**lemma conjug-conjug-primroots:** assumes  $u \neq \varepsilon$  and  $r \neq \varepsilon$  and  $\varrho(u \cdot v) = r \cdot s$  and  $\varrho(v \cdot u) = s \cdot r$

obtains  $k m$  where  $(r \cdot s)^{\textcircled{k}} \cdot r = u$  and  $(s \cdot r)^{\textcircled{m}} \cdot s = v$

**proof-**

have  $v \cdot u \neq \varepsilon$  and  $u \cdot v \neq \varepsilon$

using ⟨ $u \neq \varepsilon$ ⟩ by blast+

have  $\varrho(s \cdot r) = s \cdot r$

using primroot-idemp[of  $v \cdot u$ , unfolded ⟨ $\varrho(v \cdot u) = s \cdot r$ ⟩].

obtain  $n$  where  $(r \cdot s)^{\textcircled{n}} = u \cdot v$   $0 < n$

using primroot-expE[unfolded ⟨ $\varrho(u \cdot v) = r \cdot s$ ⟩]

using assms(3) by metis

obtain  $n'$  where  $(s \cdot r)^{\textcircled{n'}} = v \cdot u$   $0 < n'$

using primroot-expE[of  $v \cdot u$ , unfolded ⟨ $\varrho(v \cdot u) = s \cdot r$ ⟩].

**have**  $(s \cdot u) \cdot (s \cdot r) = (s \cdot r) \cdot (s \cdot u)$   
**proof** (*rule pref-marker*)  
**show**  $(s \cdot u) \cdot s \cdot r \leq_p s \cdot (r \cdot s)^{\textcircled{n}}(n + n)$   
**unfolding** *rassoc add-exps*  $\langle (r \cdot s)^{\textcircled{n}} = u \cdot v \rangle$   
**unfolding** *lassoc*  $\langle (s \cdot r)^{\textcircled{n}} = v \cdot u \rangle$  [*symmetric*] **using**  $\langle 0 < n' \rangle$  **by** *comparison*  
**show**  $s \cdot (r \cdot s)^{\textcircled{n}}(n + n) \leq_p (s \cdot r) \cdot s \cdot (r \cdot s)^{\textcircled{n}}(n + n)$   
**by** *comparison*  
**qed**  
**from** *comm-primroot-exp* [*OF primroot-nemp* [*OF*  $\langle v \cdot u \neq \varepsilon \rangle$ , *unfolded*  $\langle \varrho(v \cdot u) = s \cdot r \rangle$ ] *this*]  
**obtain**  $k$  **where**  $(s \cdot r)^{\textcircled{k}} = s \cdot u$   
**unfolding**  $\langle \varrho(s \cdot r) = s \cdot r \rangle$ .  
**from** *suf-nemp* [*OF*  $\langle u \neq \varepsilon \rangle$ , *of*  $s$ , *folded this*]  
**have**  $0 < k$   
**by** *blast*  
**have**  $u: (r \cdot s)^{\textcircled{k-1}} \cdot r = u$   
**using**  $\langle (s \cdot r)^{\textcircled{k}} = s \cdot u \rangle$  **unfolding** *pow-pos* [*OF*  $\langle 0 < k \rangle$ ] *rassoc cancel shift-pow*  
**by** *fast*  
**from** *exp-pref-cancel* [*OF*  $\langle (r \cdot s)^{\textcircled{n}} = u \cdot v \rangle$ ] [*folded this, unfolded rassoc, symmetric*]  
**have**  $r \cdot v = (r \cdot s)^{\textcircled{n+1-k}}$   
**using**  $\langle 0 < k \rangle$  **by** *fastforce*  
**from** *pref-nemp* [*OF*  $\langle r \neq \varepsilon \rangle$ , *of*  $v$ , *unfolded this*]  
**have**  $0 < n + 1 - k$   
**by** *blast*  
**from**  $\langle r \cdot v = (r \cdot s)^{\textcircled{n+1-k}} \rangle$  [*unfolded pow-pos* [*OF*  $\langle 0 < n + 1 - k \rangle$ ] *rassoc cancel shift-pow* [*symmetric*], *symmetric*]  
**have**  $v: (s \cdot r)^{\textcircled{n+1-k-1}} \cdot s = v$ .  
**show** *thesis*  
**using** *that* [*OF*  $u \ v$ ].  
**qed**

### 8.2.5 Predicate “commutes”

**definition** *commutes* :: 'a list set  $\Rightarrow$  bool

**where** *commutes*  $A = (\forall x \ y. x \in A \longrightarrow y \in A \longrightarrow x \cdot y = y \cdot x)$

**lemma** *commutesE*: *commutes*  $A \Longrightarrow x \in A \Longrightarrow y \in A \Longrightarrow x \cdot y = y \cdot x$

**using** *commutes-def* **by** *blast*

**lemma** *commutes-root*: **assumes** *commutes*  $A$

**obtains**  $r$  **where**  $\bigwedge x. x \in A \Longrightarrow x \in r^*$

**using** *assms comm-primroots emp-all-roots primroot-is-root*

**unfolding** *commutes-def*

**by** *metis*

**lemma** *commutes-primroot*: **assumes** *commutes*  $A$

**obtains**  $r$  **where**  $\bigwedge x. x \in A \Longrightarrow x \in r^*$  **and** *primitive*  $r$

**using** *commutes-root* [*OF* *assms*] *emp-all-roots prim-sing*

*primroot-is-root primroot-prim root-trans*  
 by *metis*

**lemma** *commutesI* [*intro*]:  $(\bigwedge x y. x \in A \implies y \in A \implies x \cdot y = y \cdot x) \implies \text{commutes } A$   
 unfolding *commutes-def*  
 by *blast*

**lemma** *commutesI'*: **assumes**  $x \neq \varepsilon$  **and**  $\bigwedge y. y \in A \implies x \cdot y = y \cdot x$   
**shows** *commutes A*  
**proof**–  
 have  $\bigwedge x' y'. x' \in A \implies y' \in A \implies x' \cdot y' = y' \cdot x'$   
**proof**–  
 fix  $x' y'$   
 assume  $x' \in A \ y' \in A$   
 hence  $x' \cdot x = x \cdot x'$  **and**  $y' \cdot x = x \cdot y'$   
 using *assms(2)* **by** *auto+*  
 from *comm-trans[OF this assms(1)]*  
 show  $x' \cdot y' = y' \cdot x'$ .  
**qed**  
 thus *?thesis*  
 by (*simp add: commutesI*)  
**qed**

**lemma** *commutesI-root*[*intro*]:  $\forall x \in A. x \in t^* \implies \text{commutes } A$   
 by (*meson comm-root commutesI*)

**lemma** *commutes-sub*: *commutes A*  $\implies B \subseteq A \implies \text{commutes } B$   
 by (*simp add: commutes-def subsetD*)

**lemma** *commutes-insert*: *commutes A*  $\implies x \in A \implies x \neq \varepsilon \implies x \cdot y = y \cdot x \implies \text{commutes (insert y A)}$   
 using *commutesE[of A x] commutesI'[of x insert y A] insertE*  
 by *blast*

**lemma** *commutes-emp* [*simp*]: *commutes { $\varepsilon, w$ }*  
 by (*simp add: commutes-def*)

**lemma** *commutes-emp'* [*simp*]: *commutes { $w, \varepsilon$ }*  
 by (*simp add: commutes-def*)

**lemma** *commutes-cancel*: **assumes**  $y \in A$  **and**  $x \cdot y \in A$  **and** *commutes A*  
**shows** *commutes (insert x A)*  
**proof**–  
 from *commutes-root[OF  $\langle \text{commutes } A \rangle$ ]*  
**obtain**  $r$  **where**  $(\bigwedge x. x \in A \implies x \in r^*)$   
 by *metis*  
 hence  $y \in r^*$  **and**  $x \cdot y \in r^*$   
 using  $\langle y \in A \rangle \langle x \cdot y \in A \rangle$  **by** *blast+*

**hence**  $x \in r^*$   
**using** *root-suf-cancel* **by** *auto*  
**thus** *commutes* (*insert x A*)  
**using**  $\langle \bigwedge x. x \in A \implies x \in r^* \rangle$  **by** *blast*  
**qed**

**lemma** *commutes-cancel'*: **assumes**  $x \in A$  **and**  $x \cdot y \in A$  **and** *commutes A*  
**shows** *commutes* (*insert y A*)  
**proof**-  
**from** *commutes-root*[*OF*  $\langle$ *commutes A* $\rangle$ ]  
**obtain**  $r$  **where**  $\langle \bigwedge x. x \in A \implies x \in r^* \rangle$   
**by** *metis*  
**hence**  $x \in r^*$  **and**  $x \cdot y \in r^*$   
**using**  $\langle x \in A \rangle$   $\langle x \cdot y \in A \rangle$  **by** *blast+*  
**hence**  $y \in r^*$   
**using** *root-pref-cancel* **by** *auto*  
**thus** *commutes* (*insert y A*)  
**using**  $\langle \bigwedge x. x \in A \implies x \in r^* \rangle$  **by** *blast*  
**qed**

## 8.2.6 Strong elementary lemmas

Discovered by smt

**lemma** *xyx-per-comm*: **assumes**  $x \cdot y \cdot x \leq_p q \cdot x \cdot y \cdot x$   
**and**  $q \neq \varepsilon$  **and**  $q \leq_p y \cdot q$   
**shows**  $x \cdot y = y \cdot x$   
**proof**(*cases*)  
**assume**  $x \cdot y \leq_p q$   
**from** *pref-marker*[*OF*  $\langle q \leq_p y \cdot q \rangle$  *this*]  
**show**  $x \cdot y = y \cdot x$ .  
**next**  
**have**  $(x \cdot y) \cdot x \leq_p q \cdot x \cdot y \cdot x$  **unfolding** *rassoc* **by** *fact*  
**assume**  $\neg x \cdot y \leq_p q$   
**hence**  $q \leq_p x \cdot y$   
**using** *ruler-prefE*[*OF*  $\langle (x \cdot y) \cdot x \leq_p q \cdot x \cdot y \cdot x \rangle$ ] **by** *argo*  
**from** *pref-prolong*[*OF*  $\langle q \leq_p y \cdot q \rangle$  *this*, *unfolded lassoc*]  
**have**  $q \leq_p (y \cdot x) \cdot y$ .  
**from** *ruler-pref'*[*OF* *this*, *THEN disjE*]  $\langle q \leq_p x \cdot y \rangle$   
**have**  $q \leq_p y \cdot x$   
**using** *pref-trans*[*OF* -  $\langle q \leq_p x \cdot y \rangle$ , *of*  $y \cdot x$ , *THEN pref-comm-eq*] **by** *metis*  
**from** *pref-cancel'*[*OF* *this*, *of*  $x$ ]  
**have**  $x \cdot q = q \cdot x$   
**using** *pref-marker*[*OF*  $\langle x \cdot y \cdot x \leq_p q \cdot x \cdot y \cdot x \rangle$ , *of*  $x$ ] **by** *blast*  
**hence**  $x \cdot y \cdot x \leq_p x \cdot x \cdot y \cdot x$   
**using** *root-comm-root*[*OF* - -  $\langle q \neq \varepsilon \rangle$ , *of*  $x \cdot y \cdot x$ ]  $\langle x \cdot y \cdot x \leq_p q \cdot x \cdot y \cdot x \rangle$   
**by** *fast*  
**thus**  $x \cdot y = y \cdot x$   
**by** *mismatch*  
**qed**

**lemma** *two-elem-root-suf-comm*: **assumes**  $u \leq_p v \cdot u$  **and**  $v \leq_s p \cdot u$  **and**  $p \in \langle \{u, v\} \rangle$   
**shows**  $u \cdot v = v \cdot u$   
**using** *root-suf-comm*[*OF*  $\langle u \leq_p v \cdot u \rangle$  *two-elem-suf*[*OF*  $\langle v \leq_s p \cdot u \rangle$   $\langle p \in \langle \{u, v\} \rangle \rangle$ ], *symmetric*].

## 8.2.7 Binary words without a letter square

**lemma** *no-repetition-list*:  
**assumes**  $set\ ws \subseteq \{a, b\}$   
**and** *not-per*:  $\neg ws \leq_p [a, b] \cdot ws \neg ws \leq_p [b, a] \cdot ws$   
**and** *not-square*:  $\neg [a, a] \leq_f ws$  **and**  $\neg [b, b] \leq_f ws$   
**shows** *False*  
**using** *assms*  
**proof** (*induction ws*)  
**case** (*Cons d ws*)  
**show** ?*case*  
**proof** (*rule Cons.IH*)  
**show**  $set\ ws \subseteq \{a, b\}$   
**using**  $\langle set\ (d \# ws) \subseteq \{a, b\} \rangle$  **by** *auto*  
**have**  $ws \neq \varepsilon$   
**using** *Cons.IH Cons.prem*s **by** *force*  
**from** *hd-tl*[*OF this*]  
**have**  $hd\ ws \neq d$   
**using** *Cons.prem*s(1,4–5) *hd-pref*[*OF*  $\langle ws \neq \varepsilon \rangle$ ] **by** *force*  
**thus**  $\neg [a, a] \leq_f ws$  **and**  $\neg [b, b] \leq_f ws$   
**using** *Cons.prem*s(4–5) **unfolding** *sublist-code*(3) **by** *blast+*  
**show**  $\neg ws \leq_p [a, b] \cdot ws$   
**proof** (*rule notI*)  
**assume**  $ws \leq_p [a, b] \cdot ws$   
**from** *pref-hd-eq*[*OF this*  $\langle ws \neq \varepsilon \rangle$ ]  
**have**  $hd\ ws = a$  **by** *simp*  
**hence**  $d = b$   
**using**  $\langle set\ (d \# ws) \subseteq \{a, b\} \rangle$   $\langle hd\ ws \neq d \rangle$  **by** *auto*  
**show** *False*  
**using**  $\langle ws \leq_p [a, b] \cdot ws \rangle$   $\langle \neg d \# ws \leq_p [b, a] \cdot d \# ws \rangle$ [*unfolded*  $\langle d = b \rangle$ ]  
**by** *force*  
**qed**  
**show**  $\neg ws \leq_p [b, a] \cdot ws$   
**proof** (*rule notI*)  
**assume**  $ws \leq_p [b, a] \cdot ws$   
**from** *pref-hd-eq*[*OF this*  $\langle ws \neq \varepsilon \rangle$ ]  
**have**  $hd\ ws = b$  **by** *simp*  
**hence**  $d = a$   
**using**  $\langle set\ (d \# ws) \subseteq \{a, b\} \rangle$   $\langle hd\ ws \neq d \rangle$  **by** *auto*  
**show** *False*  
**using**  $\langle ws \leq_p [b, a] \cdot ws \rangle$   $\langle \neg d \# ws \leq_p [a, b] \cdot d \# ws \rangle$ [*unfolded*  $\langle d = a \rangle$ ]  
**by** *force*

qed  
 qed  
 qed *simp*

**lemma** *hd-Cons-append*[*intro, simp*]:  $hd ((a\#v) \cdot u) = a$   
 by *simp*

**lemma** *no-repetition-list-bin*:

**fixes**  $ws :: binA\ list$   
**assumes** *not-square*:  $\bigwedge c. \neg [c, c] \leq_f ws$   
**shows**  $ws \leq_p [hd\ ws, 1-(hd\ ws)] \cdot ws$   
**proof** (*cases*  $ws = \varepsilon$ )  
**assume**  $ws \neq \varepsilon$   
**have** *set*:  $set\ ws \subseteq \{hd\ ws, 1-hd\ ws\}$   
**using** *swap-UNIV* **by** *auto*  
**have**  $\neg ws \leq_p [1 - hd\ ws, hd\ ws] \cdot ws$   
**using** *pref-hd-eq*[*OF* -  $\langle ws \neq \varepsilon \rangle$ , *of*  $[1 - hd\ ws, hd\ ws] \cdot ws$ ] *bin-swap-neq'*  
**unfolding** *hd-Cons-append* **by** *blast*  
**from** *no-repetition-list*[*OF* *set - this not-square not-square*]  
**show**  $ws \leq_p [hd\ ws, 1-(hd\ ws)] \cdot ws$  **by** *blast*  
 qed *simp*

**lemma** *per-root-hd-last-root*: **assumes**  $ws \leq_p [a, b] \cdot ws$  **and**  $hd\ ws \neq last\ ws$   
**shows**  $ws \in [a, b]^*$   
**using** *assms*

**proof** (*induction*  $|ws|$  *arbitrary*:  $ws$  *rule*: *less-induct*)

**case** *less*

**then show** *?case*

**proof** (*cases*  $ws = \varepsilon$ )

**assume**  $ws \neq \varepsilon$

**with**  $\langle hd\ ws \neq last\ ws \rangle$

**have**  $*$ :  $[hd\ ws, hd\ (tl\ ws)] \cdot tl\ (tl\ ws) = ws$

**using** *append-Cons last-ConsL*[*of*  $tl\ ws\ hd\ ws$ ] *list.exhaust-sel*[*of*  $ws$ ] *hd-tl* **by**

*metis*

**have** *ind*:  $|tl\ (tl\ ws)| < |ws|$  **using**  $\langle ws \neq \varepsilon \rangle$  **by** *auto*

**have**  $[hd\ ws, hd\ (tl\ ws)] \cdot tl\ (tl\ ws) \leq_p [a, b] \cdot ws$

**unfolding**  $*$  **using**  $\langle ws \leq_p [a, b] \cdot ws \rangle$ .

**hence**  $[a, b] = [hd\ ws, hd\ (tl\ ws)]$  **by** *simp*

**hence**  $hd\ ws = a$  **by** *simp*

**have**  $tl\ (tl\ ws) \leq_p [a, b] \cdot tl\ (tl\ ws)$

**unfolding** *pref-cancel-conv*[*of*  $[a, b]\ tl\ (tl\ ws)$ , *symmetric*]  $\langle [a, b] = [hd\ ws, hd\ (tl\ ws)] \rangle *$

**using**  $\langle ws \leq_p [a, b] \cdot ws \rangle$ [*unfolded*  $\langle [a, b] = [hd\ ws, hd\ (tl\ ws)] \rangle$ ].

**have**  $tl\ (tl\ ws) \in [a, b]^*$

**proof** (*cases*  $tl\ (tl\ ws) = \varepsilon$ )

**assume**  $tl\ (tl\ ws) \neq \varepsilon$

**from** *pref-hd-eq*[*OF*  $\langle tl\ (tl\ ws) \leq_p [a, b] \cdot tl\ (tl\ ws) \rangle$ ] *this*

**have**  $hd\ (tl\ (tl\ ws)) = a$  **by** *simp*

**have**  $last\ (tl\ (tl\ ws)) = last\ ws$



**using**  $\langle tl (tl ws) \neq \varepsilon \rangle$  *last-tl tl-Nil by metis*  
**from**  $\langle hd ws \neq last ws \rangle$  [*unfolded*  $\langle hd ws = a \rangle$ , *folded this*  $\langle hd (tl (tl ws)) = a \rangle$ ]  
**have**  $hd (tl (tl ws)) \neq last (tl (tl ws))$ .  
**from** *less.hyps* [*OF ind*  $\langle tl (tl ws) \leq p [a, b] \cdot tl (tl ws) \rangle$  *this*]  
**show**  $tl (tl ws) \in [a, b]^*$ .  
**qed simp**  
**thus**  $ws \in [a, b]^*$   
**unfolding** *add-root* [*of*  $[a, b]$  *tl* ( $tl ws$ ), *symmetric*]  
 $*[folded \langle [a, b] = [hd ws, hd (tl ws)] \rangle]$ .  
**qed simp**  
**qed**

**lemma** *no-cyclic-repetition-list*:  
**assumes**  $set ws \subseteq \{a, b\}$   $ws \notin [a, b]^*$   $ws \notin [b, a]^*$   $hd ws \neq last ws$   
 $\neg [a, a] \leq f ws$   $\neg [b, b] \leq f ws$   
**shows** *False*  
**using** *per-root-hd-last-root* [*OF* -  $\langle hd ws \neq last ws \rangle$ ]  $\langle ws \notin [a, b]^* \rangle$   $\langle ws \notin [b, a]^* \rangle$   
*no-repetition-list* [*OF assms* (1) - - *assms* (5-6)] **by** *blast*

### 8.2.8 Three covers

**lemma** *three-covers-example*:  
**assumes**  
 $v: v = a$  **and**  
 $t: t = (b \cdot a^{(j+1)})^{(m+l+1)} \cdot b \cdot a$  **and**  
 $r: r = a \cdot b \cdot (a^{(j+1)} \cdot b)^{(m+l+1)}$  **and**  
 $t': t' = (b \cdot a^{(j+1)})^{(m+l+1)} \cdot b \cdot a$  **and**  
 $r': r' = a \cdot b \cdot (a^{(j+1)} \cdot b)^l$  **and**  
 $w: w = a \cdot (b \cdot a^{(j+1)})^{(m+l+1)} \cdot b \cdot a$   
**shows**  $w = v \cdot t$  **and**  $w = r \cdot v$  **and**  $w = r' \cdot v^{(j+1)} \cdot t'$  **and**  $t' <_p t$  **and**  $r' <_s r$   
**proof-**  
**show**  $w = v \cdot t$   
**unfolding**  $w v t..$   
**show**  $w = r \cdot v$   
**unfolding**  $w r v$  **by** *comparison*  
**find-theorems**  $?u \cdot ?u^{(j)} = ?u^{(j)} \cdot ?u$   
**show**  $t' <_p t$   
**unfolding**  $t t'$  **unfolding** *add.assoc* **unfolding** *add.commute* [*of*  $l$ ]  
**unfolding** *add-exps* *rassoc* *spref-cancel-conv* **unfolding** *pow-1*  
**unfolding** *rassoc* *spref-cancel-conv*  
**unfolding** *lassoc* *shifts* (20)  
**unfolding** *rassoc* **by** *blast*  
**have**  $r = a \cdot b \cdot (a^{(Suc j)} \cdot b)^{(m+l+1)} \cdot a^{(j)} \cdot r'$   
**unfolding**  $r' r$  **by** *comparison*  
**thus**  $r' <_s r$   
**by** *force*  
**show**  $w = r' \cdot v^{(j+1)} \cdot t'$   
**unfolding**  $w r' v t'$

by comparison  
qed

**lemma three-covers-pers:** — alias Old Good Lemma

assumes  $w = v \cdot t$  and  $w = r' \cdot v^{\textcircled{}} j \cdot t'$  and  $w = r \cdot v$  and  $0 < j$  and  
 $r' <_s r$  and  $t' <_p t$

shows period  $w$   $(|t| - |t'|)$  and period  $w$   $(|r| - |r'|)$  and

$(|t| - |t'|) + (|r| - |r'|) = |w| + j * |v| - 2 * |v|$

**proof—**

let  $?per-r = |r| - |r'|$

let  $?per-t = |t| - |t'|$

let  $?gcd = gcd (|t| - |t'|) (|r| - |r'|)$

have  $w \neq \varepsilon$

using  $\langle w = v \cdot t \rangle \langle t' <_p t \rangle$  by auto

obtain  $r''$  where  $r'' \cdot r' = r$  and  $r'' \neq \varepsilon$

using  $ssufD[OF \langle r' <_s r \rangle]$   $sufD$  by blast

have  $w <_p r'' \cdot w$

using  $per-rootI[OF - \langle r'' \neq \varepsilon \rangle, of w] \langle w = r \cdot v \rangle \langle w = r' \cdot v^{\textcircled{}} j \cdot t' \rangle \langle r'' \cdot r' = r \rangle$

unfolding  $pow-pos[OF \langle 0 < j \rangle]$  using  $rassoc\ triv-pref$  by metis

thus period  $w$   $?per-r$

using  $lenarg[OF \langle r'' \cdot r' = r \rangle]$   $periodI[OF \langle w \neq \varepsilon \rangle \langle w <_p r'' \cdot w \rangle]$

unfolding  $lenmorph$

by  $(metis\ add-diff-cancel-right')$

have  $|r'| < |r|$

using  $suffix-length-less[OF \langle r' <_s r \rangle]$ .

have  $|t'| < |t|$

using  $prefix-length-less[OF \langle t' <_p t \rangle]$ .

obtain  $t''$  where  $t' \cdot t'' = t$  and  $t'' \neq \varepsilon$

using  $\langle t' <_p t \rangle$  by  $(blast\ elim:\ spref-exE)$

have  $w <_s w \cdot t''$

using  $per-rootI[reversed, OF - \langle t'' \neq \varepsilon \rangle, of w]$

$\langle w = v \cdot t \rangle \langle w = r' \cdot v^{\textcircled{}} j \cdot t' \rangle \langle t' \cdot t'' = t \rangle$

unfolding  $pow-pos'[OF \langle 0 < j \rangle]$  using  $rassoc\ triv-suf$  by metis

thus period  $w$   $?per-t$

using  $lenarg[OF \langle t' \cdot t'' = t \rangle]$   $periodI[reversed, OF \langle w \neq \varepsilon \rangle \langle w <_s w \cdot t'' \rangle]$

unfolding  $lenmorph$

by  $(metis\ add-diff-cancel-left')$

show eq:  $?per-t + ?per-r = |w| + j * |v| - 2 * |v|$

using  $lenarg[OF \langle w = r' \cdot v^{\textcircled{}} j \cdot t' \rangle]$

$lenarg[OF \langle w = v \cdot t \rangle]$   $lenarg[OF \langle w = r \cdot v \rangle]$   $\langle |t'| < |t| \rangle \langle |r'| < |r| \rangle$

unfolding  $pow-len\ lenmorph$  by force

qed

**lemma three-covers-per0:** assumes  $w = v \cdot t$  and  $w = r' \cdot v^{\textcircled{}} j \cdot t'$  and  $w = r \cdot v$  and  $0 < j$

$r' <_s r$  and  $t' <_p t$  and  $|t'| \leq |r'|$

and primitive  $v$

shows period  $w$   $(gcd (|t| - |t'|) (|r| - |r'|))$

```

using assms
proof (induct |w| arbitrary: w t r t' r' v rule: less-induct)
  case less
  then show ?case
  proof-
    let ?per-r = |r| - |r'|
    let ?per-t = |t| - |t'|
    let ?gcd = gcd (|t| - |t'|) (|r| - |r'|)
    have v ≠  $\varepsilon$  using prim-nemp[OF  $\langle$ primitive v $\rangle$ ].
    have w ≠  $\varepsilon$ 
      using  $\langle$ w = v · t $\rangle$   $\langle$ v ≠  $\varepsilon$  $\rangle$  by blast
    note prefix-length-less[OF  $\langle$ t' < p t $\rangle$ ] prefix-length-less[reversed, OF  $\langle$ r' < s r $\rangle$ ]
    have ?gcd ≠ 0
      using gcd-eq-0-iff zero-less-diff'[OF  $\langle$ |t'| < |t| $\rangle$ ] by simp
    have period w ?per-t and period w ?per-r
      and eq: ?per-t + ?per-r = |w| + j*|v| - 2*|v|
      using three-covers-pers[OF  $\langle$ w = v · t $\rangle$   $\langle$ w = r' · v@ j · t' $\rangle$   $\langle$ w = r · v $\rangle$   $\langle$ 0 <
j $\rangle$   $\langle$ r' < s r $\rangle$   $\langle$ t' < p t $\rangle$ ].

    obtain r'' where r'' · r' = r and r'' ≠  $\varepsilon$ 
      using ssufD[OF  $\langle$ r' < s r $\rangle$ ] sufD by blast
    hence w ≤p r'' · w
      using less.prems unfolding pow-pos[OF  $\langle$ 0 < j $\rangle$ ] using rassoc triv-pref by
metis

    obtain t'' where t' · t'' = t and t'' ≠  $\varepsilon$ 
      using sprefD[OF  $\langle$ t' < p t $\rangle$ ] prefD by blast

  show period w ?gcd
  proof (cases)
    have local-rule: a - c ≤ b ⇒ k + a - c - b ≤ k for a b c k :: nat
      by simp
    assume j*|v| - 2*|v| ≤ ?gcd — Condition allowing to use the Periodicity
    lemma
      from local-rule[OF this]
      have len: ?per-t + ?per-r - ?gcd ≤ |w|
        unfolding eq.
      show period w ?gcd
        using per-lemma[OF  $\langle$ period w ?per-t $\rangle$   $\langle$ period w ?per-r $\rangle$  len].
    next
      assume ¬ j*|v| - 2*|v| ≤ ?gcd — Periods are too long for the Periodicity
    lemma
      hence ?gcd ≤ |v@ j| - 2*|v| — But then we have a potential for using the
    Periodicity lemma on the power of v's
      unfolding pow-len by linarith
        hence ?gcd + |v| ≤ |v@ j|
      using  $\langle$ ?gcd ≠ 0 $\rangle$  by linarith

  show period w ?gcd

```

**proof** (*cases*)  
**assume**  $|r'| = |t'|$  — The trivial case  
**hence**  $|t| - |t'| = |r| - |r'|$   
**using** *conj-len*[*OF*  $\langle w = v \cdot t \rangle$ [*unfolded*  $\langle w = r \cdot v \rangle$ ]] **by force**  
**show** *period w* (*gcd* ( $|t| - |t'|$ ) ( $|r| - |r'|$ ))  
**unfolding**  $\langle |t| - |t'| = |r| - |r'| \rangle$  *gcd-idem-nat* **using**  $\langle \text{period } w \text{ } (|r| - |r'|) \rangle$ .  
**next**  
**assume**  $|r'| \neq |t'|$  — The nontrivial case  
**hence**  $|t'| < |r'|$   
**using**  $\langle |t'| \leq |r'| \rangle$  **by force**  
**have**  $r' \cdot v <_p w$   
**using**  $\langle |r'| < |r| \rangle \langle r'' \cdot r' = r \rangle \langle w \leq_p r'' \cdot w \rangle \langle w = r \cdot v \rangle$  **by force**  
**obtain**  $p$  **where**  $r' \cdot v = v \cdot p$   
**using** *ruler-le*[*OF* *triv-pref*[*of*  $v \cdot t$ , *folded*  $\langle w = v \cdot t \rangle$ , *of*  $r' \cdot v$ ]]  
**unfolding** *lenmorph*  $\langle w = r' \cdot v^{\textcircled{0}j} \cdot t' \rangle$ [*unfolded* *pow-pos*[*OF*  $\langle 0 < j \rangle$ ]]  
*rassoc*  
**by** (*force simp add: prefix-def*)  
**from**  $\langle w = r' \cdot v^{\textcircled{0}j} \cdot t' \rangle$ [*unfolded* *pow-pos*[*OF*  $\langle 0 < j \rangle$ ]] *lassoc this*  $\langle w = v \cdot t \rangle$ , *unfolded rassoc cancel*  
**have**  $p \leq_p t$   
**by** *blast*  
**have**  $|v \cdot p| < |w|$   
**using** *prefix-length-less*[*OF*  $\langle r' \cdot v <_p w \rangle$ , *unfolded*  $\langle r' \cdot v = v \cdot p \rangle$ ].  
**have**  $v \cdot p \leq_s w$  —  $r'v$  is a long border of  $w$   
**using**  $\langle r' \cdot v = v \cdot p \rangle \langle w = r \cdot v \rangle \langle r' <_s r \rangle$  *same-suffix-suffix ssufD* **by**  
*metis*  
**have**  $|r'| = |p|$   
**using** *conj-len*[*OF*  $\langle r' \cdot v = v \cdot p \rangle$ ].  
**note**  $\langle |t'| \leq |r'| \rangle$ [*unfolded*  $\langle |r'| = |p| \rangle$ ]  
**hence**  $t' <_p p$   
**using**  $\langle t = p \cdot v^{\textcircled{0}}(j-1) \cdot t' \rangle \langle t' \cdot t'' = t \rangle \langle |r'| = |p| \rangle \langle |t'| < |r'| \rangle \langle p \leq_p t \rangle$  *pref-prod-long-less* **by** *metis*  
**hence**  $p \neq \varepsilon$   
**by** *auto*  
**show** *?thesis*  
**proof** (*cases*)  
**assume**  $|v \cdot p| \leq |v^{\textcircled{0}j} \cdot t'|$  — The border does not cover the whole power of  $v$ 's. In this case, everything commutes  
**have**  $\varrho(\text{rev } v) = \text{rev } (\varrho v)$   
**using**  $\langle v \neq \varepsilon \rangle$  *primroot-rev* **by** *auto*  
**from** *pref-marker-ext*[*reversed*, *OF*  $\langle |t'| \leq |p| \rangle \langle v \neq \varepsilon \rangle$ ]  
*suf-prod-le*[*OF*  $\langle v \cdot p \leq_s w \rangle$ ][*unfolded*  $\langle w = r' \cdot v^{\textcircled{0}j} \cdot t' \rangle$ ]  $\langle |v \cdot p| \leq |v^{\textcircled{0}j} \cdot t'| \rangle$   
**obtain**  $k$  **where**  $p = v^{\textcircled{0}k} \cdot t'$   
**unfolding** *prim-self-root*[*OF*  $\langle \text{primitive } v \rangle$ ].  
**hence**  $p \leq_p v^{\textcircled{0}k} \cdot p$   
**using**  $\langle t' <_p p \rangle$  **by** *simp*  
**from** *root-comm-root*[*OF* *this pow-comm*[*symmetric*]]

**have**  $p \leq p \cdot v \cdot p$   
**using**  $\langle |r'| = |p| \rangle \langle |r'| \neq |t'| \rangle \langle p = v^{\textcircled{a}} k \cdot t' \rangle$  **by force**  
**hence**  $p = r'$   
**using**  $\langle |r'| = |p| \rangle \langle r' \cdot v = v \cdot p \rangle$  *pref-prod-eq* **by metis**  
**note**  $\langle r' \cdot v = v \cdot p \rangle$  [*folded this*]  $\langle r' \cdot v = v \cdot p \rangle$  [*unfolded this*]  
**then obtain**  $er'$  **where**  $r' = v^{\textcircled{a}} er'$   
**using**  $\langle \text{primitive } v \rangle$  **by auto**  
**from**  $\langle p \cdot v = v \cdot p \rangle$  [*unfolded*  $\langle p = v^{\textcircled{a}} k \cdot t' \rangle$  *lassoc pow-comm[symmetric]*,  
*unfolded rassoc cancel*]  
**have**  $t' \cdot v = v \cdot t'$ .  
**then obtain**  $et'$  **where**  $t' = v^{\textcircled{a}} et'$   
**using**  $\langle \text{primitive } v \rangle$  **by auto**  
**have**  $t \cdot v = v \cdot t$   
**by** (*simp add: pow-comm*  $\langle p = r' \rangle \langle r' \cdot v = v \cdot r' \rangle \langle t = p \cdot v^{\textcircled{a}} (j - 1) \cdot$   
 $t' \rangle \langle t' \cdot v = v \cdot t' \rangle$ )  
**then obtain**  $et$  **where**  $t = v^{\textcircled{a}} et$   
**using**  $\langle \text{primitive } v \rangle$  **by auto**  
**have**  $r \cdot v = v \cdot r$   
**using**  $\langle t \cdot v = v \cdot t \rangle$  *cancel-right*  $\langle w = v \cdot t \rangle \langle w = r \cdot v \rangle$  **by metis**  
**then obtain**  $er$  **where**  $r = v^{\textcircled{a}} er$   
**using**  $\langle \text{primitive } v \rangle$  **by auto**  
**have**  $w \cdot v = v \cdot w$   
**by** (*simp add:*  $\langle r \cdot v = v \cdot r \rangle \langle w = r \cdot v \rangle$ )  
**then obtain**  $ew$  **where**  $w = v^{\textcircled{a}} ew$   
**using**  $\langle \text{primitive } v \rangle$  **by auto**  
**hence** *period*  $w \mid v$   
**using**  $\langle v \neq \varepsilon \rangle \langle w \cdot v = v \cdot w \rangle \langle w \neq \varepsilon \rangle$  **by blast**  
**have** *diff:*  $|t| - |t'| = (et - et') * |v|$   
**using** *lenarg*[*OF*  $\langle t = v^{\textcircled{a}} et \rangle$ ] *lenarg*[*OF*  $\langle t' = v^{\textcircled{a}} et' \rangle$ ] **unfolding** *lenmorph*  
*pow-len*  
**by** (*simp add: diff-mult-distrib*)  
**have** *difr:*  $(|r| - |r'|) = (er - er') * |v|$   
**using** *lenarg*[*OF*  $\langle r = v^{\textcircled{a}} er \rangle$ ] *lenarg*[*OF*  $\langle r' = v^{\textcircled{a}} er' \rangle$ ] **unfolding** *lenmorph*  
*pow-len*  
**by** (*simp add: diff-mult-distrib*)  
**obtain**  $g$  **where**  $g * |v| = ?gcd$   
**unfolding** *dift difr mult.commute*[*of - |v|*]  
*gcd-mult-distrib-nat[symmetric]* **by blast**  
**hence**  $0 < g$   
**using** *nemp-len*[*OF*  $\langle v \neq \varepsilon \rangle$ ] *per-not-zero*[*OF*  $\langle \text{period } w (|r| - |r'|) \rangle$ ]  
*gcd-nat.neutr-eq-iff*[*of*  $|t| - |t'|$ ]  $|r| - |r'|$ ] *mult-is-0*[*of*  $g \mid v$ ]  
**by force**  
**from** *per-mult*[*OF*  $\langle \text{period } w \mid v \rangle$ ] *this*  
**show** *?thesis*  
**unfolding**  $g$ .  
**next**  
**assume**  $\neg |v \cdot p| \leq |v^{\textcircled{a}} j \cdot t'|$  — The border covers the whole power. An  
induction is available.  
**then obtain**  $ri'$  **where**  $v \cdot p = ri' \cdot v^{\textcircled{a}} j \cdot t'$  **and**  $ri' \leq s \ r'$

**using**  $\langle v \cdot p \leq s \ w \rangle$  **unfolding**  $\langle w = r' \cdot v^{\textcircled{j}} \cdot t' \rangle$   
**using** *suffix-append suffix-length-le* **by** *blast*  
**from** *len-less-neq*[*OF*  $\langle v \cdot p \rangle < \langle w \rangle$ , *unfolded this(1)*  $\langle w = r' \cdot v^{\textcircled{j}} \cdot t' \rangle$ ]  
*this(2)*  
**have**  $ri' < s \ r'$   
**by** *blast*

**have** *gcd-eq*:  $\text{gcd}(|p| - |t'|) (|r'| - |ri'|) = ?\text{gcd}$  — The two gcd's are the same  
**proof**–  
**have**  $|r'| \leq |r|$   
**by** (*simp add*:  $\langle |r'| < |r| \rangle$  *dual-order.strict-implies-order*)  
**have**  $|t| = |r|$   
**using** *lenarg*[*OF*  $\langle w = v \cdot t \rangle$ ] **unfolding** *lenarg*[*OF*  $\langle w = r \cdot v \rangle$ ]  
*lenmorph by auto*  
**have**  $e1: |r'| - |ri'| = |r| - |r'|$   
**using** *lenarg*[*OF*  $\langle v \cdot p = ri' \cdot v^{\textcircled{j}} \cdot t' \rangle$ ] [*folded*  $\langle r' \cdot v = v \cdot p \rangle$ ]  
*lenarg*[*OF*  $\langle w = r \cdot v \rangle$ ] [*unfolded*  $\langle w = r' \cdot v^{\textcircled{j}} \cdot t' \rangle$ ]  
**unfolding** *lenmorph pow-len* **by** (*simp add*: *add.commute diff-add-inverse*  
*diff-diff-add*)  
**have**  $|t| = |p| + |r'| - |ri'|$   
**unfolding** *add-diff-assoc*[*OF* *suffix-length-le*[*OF*  $\langle ri' \leq s \ r' \rangle$ ], *unfolded*  
*e1, symmetric*]  
 $\langle |t| = |r| \rangle$  **unfolding**  $\langle |r'| = |p| \rangle$   
**using**  $\langle |r'| < |r| \rangle$  [*unfolded*  $\langle |r'| = |p| \rangle$ ] **by** *linarith*  
**hence**  $e2: |t| - |t'| = (|p| - |t'|) + (|r'| - |ri'|)$   
**unfolding** *add-diff-assoc2*[*OF*  $\langle |t'| \leq |p| \rangle$ ]  $\langle |t| = |p| + |r'| - |ri'| \rangle$   
**using** *suf-len*[*OF*  $\langle ri' \leq s \ r' \rangle$ ] **by** *force*  
**show** *?thesis*  
**unfolding**  $e2 \ e1 \ \text{gcd-add1..}$   
**qed**

**have** *per-vp*: *period*  $(v \cdot p) \ ?\text{gcd}$   
**proof** (*cases*)  
**assume**  $|t'| \leq |ri'|$   
— By induction.  
**from** *less.hyps*[*OF*  $\langle v \cdot p \rangle < \langle w \rangle$ ] *refl*  $\langle v \cdot p = ri' \cdot v^{\textcircled{j}} \cdot t' \rangle$   $\langle r' \cdot v = v \cdot p \rangle$  [*symmetric*]  $\langle 0 < j \rangle$   
 $\langle ri' < s \ r' \rangle$   $\langle t' < p \ p \rangle$  *this* (*primitive v*)  
**show** *period*  $(v \cdot p) \ ?\text{gcd}$   
**unfolding** *gcd-eq* **by** *blast*  
**next** — ... (using symmetry)  
**assume**  $\neg |t'| \leq |ri'|$  **hence**  $|ri'| \leq |t'|$  **by** *simp*  
**have** *period*  $(\text{rev } p \cdot \text{rev } v)$  ( $\text{gcd}(|\text{rev } r'| - |\text{rev } ri'|) (|\text{rev } p| - |\text{rev } t'|)$ )  
**proof** (*rule less.hyps*[*OF* - - - *refl*])  
**show**  $|\text{rev } p \cdot \text{rev } v| < |\text{rev } w|$   
**using**  $\langle v \cdot p \rangle < \langle w \rangle$  **by** *simp*  
**show**  $\text{rev } p \cdot \text{rev } v = \text{rev } v \cdot \text{rev } r'$   
**using**  $\langle r' \cdot v = v \cdot p \rangle$  **unfolding** *rev-append*[*symmetric*] **by** *simp*

```

    show rev p · rev v = rev t' · rev v@ j · rev ri'
      using ⟨v · p = ri' · v@ j · t'⟩ unfolding rev-append[symmetric]
rev-pow[symmetric] rassoc by simp
    show rev t' < s rev p
      using ⟨t' < p p⟩ by (auto simp add: prefix-def)
    show rev ri' < p rev r'
      using ⟨ri' < s r'⟩ strict-suffix-to-prefix by blast
    show |rev ri'| ≤ |rev t'|
      by (simp add: ⟨|ri'| ≤ |t'|⟩)
    show primitive (rev v)
      by (simp add: ⟨primitive v⟩ prim-rev-iff)
  qed fact
  thus ?thesis
unfolding length-rev rev-append[symmetric] period-rev-conv gcd.commute[of
|r'| - |ri'|] gcd-eq.
  qed

have period (v@ j) (gcd |v| ?gcd)
proof (rule per-lemma)
  show |v| + ?gcd - gcd |v| (gcd (|t| - |t'|) (|r| - |r'|)) ≤ |v@ j|
    using ⟨?gcd + |v| ≤ |v@ j|⟩ by linarith
  show period (v@ j) |v|
    using ⟨v ≠ ε⟩ ⟨0 < j⟩
    by blast
  find-theorems ?v@ n ?w = ε
  have v@ j ≠ ε
    using ⟨0 < j⟩ ⟨v ≠ ε⟩ by blast
  from period-fac[OF per-vp[unfolded ⟨v · p = ri' · v@ j · t'⟩] this]
  show period (v@ j) ?gcd.
qed

have per-vp': period (v · p) (gcd |v| ?gcd)
proof (rule refine-per)
  show gcd |v| ?gcd dvd ?gcd by blast
  show ?gcd ≤ |v@ j|
    using ⟨?gcd + |v| ≤ |v@ j|⟩ add-leE by blast
  show v@ j ≤f v · p
    using fact1[OF ⟨v · p = ri' · v@ j · t'⟩[symmetric]].
qed fact+

have period w (gcd |v| ?gcd)
proof (rule per-glue)
  show v · p ≤p w
    using ⟨p ≤p t⟩ ⟨w = v · t⟩ by auto
  have |v@ j| + |t'| ≤ |v| + |p|
    using ⟨¬ |v · p| ≤ |v@ j · t'|⟩ by auto
  moreover have |r'| + gcd |v| ?gcd ≤ |v| + |p|
    using lenarg[OF ⟨r' · v = v · p⟩, unfolded lenmorph]
    ⟨v ≠ ε⟩ gcd-le1-nat length-0-conv nat-add-left-cancel-le by metis

```

**ultimately show**  $|w| + \text{gcd } |v| \text{ ?gcd} \leq |v \cdot p| + |v \cdot p|$   
**unfolding**  $\text{lenarg}[OF \langle w = r' \cdot v^{\textcircled{a}} j \cdot t' \rangle] \text{ lenmorph add.commute}[of$   
 $|r'|]$  **by** *linarith*  
**qed** *fact+*

**obtain**  $k$  **where**  $k: \text{?gcd} = k * (\text{gcd } |v| \text{ ?gcd})$   
**using** *gcd-dvd2* **unfolding** *dvd-def mult.commute*[*of - gcd |v| ?gcd*] **by**  
*blast*

**hence**  $k \neq 0$   
**using**  $\langle \text{?gcd} \neq 0 \rangle$  **by** *algebra*

**from** *per-mult*[*OF*  $\langle \text{period } w (\text{gcd } |v| \text{ ?gcd}) \rangle$ ] *this*[*unfolded neq0-conv*], *folded*  
 $k$ ]

**show** *?thesis*.  
**qed**  
**qed**  
**qed**  
**qed**  
**qed**

**lemma** *three-covers-per*: **assumes**  $w = v \cdot t$  **and**  $w = r' \cdot v^{\textcircled{a}} j \cdot t'$  **and**  $w = r \cdot v$   
 $r' <_s r$  **and**  $t' <_p t$  **and**  $0 < j$   
**shows** *period*  $w (\text{gcd } (|t| - |t'|) (|r| - |r'|))$   
**proof**-

**let**  $\text{?per-r} = |r| - |r'|$   
**let**  $\text{?per-t} = |t| - |t'|$   
**let**  $\text{?gcd} = \text{gcd } (|t| - |t'|) (|r| - |r'|)$   
**have** *period*  $w \text{?per-t}$  **and** *period*  $w \text{?per-r}$  **and** *len*:  $(|t| - |t'|) + (|r| - |r'|) =$   
 $|w| + j * |v| - 2 * |v|$   
**using** *three-covers-pers*[*OF*  $\langle w = v \cdot t \rangle \langle w = r' \cdot v^{\textcircled{a}} j \cdot t' \rangle \langle w = r \cdot v \rangle \langle 0 <$   
 $j \rangle \langle r' <_s r \rangle \langle t' <_p t \rangle]$  **by** *blast+*

**show** *?thesis*  
**proof**(*cases*)

**assume**  $v = \varepsilon$   
**have**  $|t| - |t'| + (|r| - |r'|) = |w|$   
**using**  $\langle w = v \cdot t \rangle \langle w = r' \cdot v^{\textcircled{a}} j \cdot t' \rangle \langle w = r \cdot v \rangle$  **unfolding**  $\langle v = \varepsilon \rangle$  *emp-pow*  
*emp-simps* **by** *force*  
**from** *per-lemma*[*OF*  $\langle \text{period } w \text{?per-t} \rangle \langle \text{period } w \text{?per-r} \rangle$ , *unfolded this*]  
**show** *period*  $w \text{?gcd}$   
**by** *fastforce*

**next**  
**assume**  $v \neq \varepsilon$   
**show** *?thesis*  
**proof** (*cases*)  
**assume**  $j \leq 1$   
**hence**  $(j = 0 \implies P) \implies (j = 1 \implies P) \implies P$  **for**  $P$  **by** *force*  
**hence**  $|w| + j * |v| - 2 * |v| - \text{?gcd} \leq |w|$  — Condition allowing to use the  
Periodicity lemma



```

    by (cases, simp-all)
  thus period w ?gcd
    using per-lemma[OF ‹period w ?per-t› ‹period w ?per-r›] unfolding len by
blast
next
  assume ¬ j ≤ 1 hence 2 ≤ j by simp
  obtain e where v = ϱ v@e 0 < e
    using primroot-expE by metis
  have w = ϱ v · ϱ v@(e - 1) · t
    unfolding lassoc pow-pos[OF ‹0 < e›, symmetric] ‹v = ϱ v@e›[symmetric]
  by fact
  have w = (r · ϱ v@(e - 1)) · ϱ v
    unfolding rassoc pow-pos'[OF ‹0 < e›, symmetric] ‹v = ϱ v@e›[symmetric]
  by fact
  note aux = add-less-mono[OF diff-less[OF zero-less-one ‹0 < e›] diff-less[OF
zero-less-one ‹0 < e›]]
  have (e - 1) + (e - 1) < j * e
    using less-le-trans[OF aux mult-le-mono1[OF ‹2 ≤ j›, unfolded mult-2]].
  then obtain e' where (e - 1) + (e - 1) + e' = j * e 0 < e'
    using less-imp-add-positive by blast
  hence aux-sum: (e - 1) + e' + (e - 1) = j * e
    by presburger
  have cover3: w = (r' · (ϱ v)@(e - 1)) · (ϱ v)@e' · ((ϱ v)@(e - 1) · t')
    unfolding ‹w = r' · v@j · t'› rassoc cancel unfolding lassoc cancel-right
    unfolding add-exps[symmetric]
    pow-mult unfolding aux-sum unfolding mult commute[of j]
    pow-mult ‹v = ϱ v@e›[symmetric]..
  show ?thesis
  proof(cases)
    assume |t'| ≤ |r'|
    have dif1: |ϱ v@(e - 1) · t| - |ϱ v@(e - 1) · t'| = |t| - |t'|
      unfolding lenmorph by simp
    have dif2: |r · ϱ v@(e - 1)| - |r' · ϱ v@(e - 1)| = |r| - |r'|
      unfolding lenmorph by simp

    show ?thesis
    proof (rule three-covers-per0[OF ‹w = ϱ v · (ϱ v@(e - 1) · t)›
      cover3 ‹w = (r · ϱ v@(e - 1)) · ϱ v› ‹0 < e'› - - - primroot-prim[OF
‹v ≠ ε›],
      unfolded dif1 dif2])
      show r' · ϱ v@(e - 1) < s r · ϱ v@(e - 1)
        using ‹r' < s r› by auto
      show ϱ v@(e - 1) · t' < p ϱ v@(e - 1) · t
        using ‹t' < p t› by auto
      show |ϱ v@(e - 1) · t'| ≤ |r' · ϱ v@(e - 1)|
        unfolding lenmorph using ‹|t'| ≤ |r'|› by auto
    qed
  next
  let ?w = rev w and ?r = rev t and ?t = rev r and ?ϱ = rev (ϱ v) and ?r'

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```

= rev t' and ?t' = rev r'
  assume ¬ |t'| ≤ |r'|
  hence |?t'| ≤ |?r'| by auto
  have ?w = (?r · ?ρⓐ(e-1)) · ?ρ
  unfolding rev-pow[symmetric] rev-append[symmetric] ⟨w = ρ v · (ρ vⓐ(e-1)
· t)⟩ rassoc..
  have ?w = ?ρ · (?ρⓐ(e-1) · ?t)
  unfolding rev-pow[symmetric] rev-append[symmetric] ⟨w = (r · ρ vⓐ(e-1))
· ρ v⟩..
  have ?w = (?r' · ?ρⓐ(e-1)) · ?ρⓐe' · (?ρⓐ(e-1) · ?t')
  unfolding rev-pow[symmetric] rev-append[symmetric] ⟨w = (r' · ρ vⓐ(e-1))
· ρ vⓐe' · (ρ vⓐ(e-1) · t')⟩ rassoc..
  have dif1: |?ρⓐ(e-1) · ?t| - |?ρⓐ(e-1) · ?t'| = |r| - |r'|
  unfolding lenmorph by simp
  have dif2: |?r · ?ρⓐ(e-1)| - |?r' · ?ρⓐ(e-1)| = |t| - |t'|
  unfolding lenmorph by simp
  show ?thesis
  proof(rule three-covers-per0[OF ⟨?w = ?ρ · (?ρⓐ(e-1) · ?t)⟩
    ⟨?w = (?r' · ?ρⓐ(e-1)) · ?ρⓐe' · (?ρⓐ(e-1) · ?t')⟩ ⟨?w = (?r · ?ρⓐ(e-1))
· ?ρ⟩ ⟨0 < e'⟩,
    unfolded dif1 dif2 period-rev-conv gcd.commute[of |r| - |r'|]])
  show ?r' · ?ρⓐ(e-1) <_s ?r · ?ρⓐ(e-1)
  using ⟨t' <_p t⟩ by (auto simp add: prefix-def)
  show ?ρⓐ(e-1) · ?t' <_p ?ρⓐ(e-1) · ?t
  using ⟨r' <_s r⟩ by (auto simp add: suffix-def)
  show |?ρⓐ(e-1) · ?t'| ≤ |?r' · ?ρⓐ(e-1)|
  unfolding lenmorph using ⟨|?t'| ≤ |?r'|⟩ by auto
  show primitive ?ρ
  using primroot-prim[OF ⟨v ≠ ε⟩] by (simp add: prim-rev-iff)
qed
qed
qed
qed
qed

```

**thm** *per-root-modE'*

**lemma** *assumes*  $w <_p r \cdot w$   
**obtains**  $p \ q \ i$  *where*  $w = (p \cdot q)^{\textcircled{i}} \cdot p \cdot p \cdot q = r$   
**using** *assms* **by** *blast*

**lemma three-coversE:** **assumes**  $w = v \cdot t$  **and**  $w = r' \cdot v \cdot t'$  **and**  $w = r \cdot v$  **and**  
 $r' <_s r$  **and**  $t' <_p t$   
**obtains**  $p \ q \ i \ k \ m$  **where**  $t = (q \cdot p)^{\textcircled{m+k}}$  **and**  $r = (p \cdot q)^{\textcircled{m+k}}$  **and**  
 $t' = (q \cdot p)^{\textcircled{k}}$  **and**  $r' = (p \cdot q)^{\textcircled{m}}$  **and**  $v = (p \cdot q)^{\textcircled{i}} \cdot p$  **and**  
 $w = (p \cdot q)^{\textcircled{m+i+k}} \cdot p$  **and primitive**  $(p \cdot q)$  **and**  $q \neq \varepsilon$   
**and**  $0 < m$  **and**  $0 < k$

**proof-**

**let**  $?d = \text{gcd } |r'| \ |t'|$   
**have**  $r' \neq \varepsilon \ t' \neq \varepsilon$   
**using** *assms* **by** *force+*  
**have**  $0 < ?d$   
**using** *nemp-len*[*OF*  $\langle r' \neq \varepsilon \rangle$ ] **by** *simp*  
**have**  $|t| - |t'| = |r'| \ |r| - |r'| = |t'|$   
**using** *lenarg*[*OF*  $\langle w = v \cdot t \rangle$ ] *lenarg*[*OF*  $\langle w = r \cdot v \rangle$ ]  
**unfolding** *lenarg*[*OF*  $\langle w = r' \cdot v \cdot t' \rangle$ ] *lenmorph* **by** *simp-all*  
**note** *three-covers-per*[*of*  $---1$ , *unfolded cow-simps*, *OF assms order.refl*, *unfolded this period-def*]  
**from** *per-root-mod-primE*[*OF*  $\langle w <_p \text{ take } (\text{gcd } |r'| \ |t'|) \ w \cdot w \rangle$ ]  
**obtain**  $l \ p \ q$  **where**  $p \cdot q = \varrho$  (*take*  $?d \ w$ )  $(p \cdot q)^{\textcircled{l}} \cdot p = w \ q \neq \varepsilon$ .  
**hence** *primitive*  $(p \cdot q)$  **by** *auto*  
**define**  $e$  **where**  $e = e_\varrho$  (*take*  $?d \ w$ )  
**have**  $e \neq 0$   
**unfolding** *e-def*  
**using**  $\langle w <_p \text{ take } (\text{gcd } |r'| \ |t'|) \ w \cdot w \rangle$  *primroot-exp-nemp* **by** *blast*  
**have**  $(p \cdot q)^{\textcircled{e}} = \text{take } ?d \ w$   
**unfolding** *e-def*  $\langle p \cdot q = \varrho$  (*take*  $?d \ w$ ) **by** *force*  
**have**  $|(p \cdot q)^{\textcircled{e}}| \leq |w|$   
**unfolding**  $\langle (p \cdot q)^{\textcircled{e}} = \text{take } ?d \ w \rangle$   
**using** *len-take2* **by** *blast*  
**have** *swap-e*:  $|(p \cdot q)^{\textcircled{e}}| = |(q \cdot p)^{\textcircled{e}}|$   
**unfolding** *pow-len swap-len..*  
**have**  $|(p \cdot q)^{\textcircled{e}}| = ?d$   
**unfolding**  $\langle (p \cdot q)^{\textcircled{e}} = \text{take } ?d \ w \rangle$   
**by** (*rule take-len*, *unfold lenarg*[*OF*  $\langle w = r' \cdot v \cdot t' \rangle$ , *unfolded lenmorph*],  
*use gcd-le1-nat*[*OF nemp-len*[*OF*  $\langle r' \neq \varepsilon \rangle$ ]] *trans-le-add1* **in** *blast*)  
**hence**  $(p \cdot q)^{\textcircled{e}} \leq_p r'$   
**using**  $\langle |(p \cdot q)^{\textcircled{e}}| = ?d \rangle$   
**unfolding** *pref-take-conv*[*of*  $(p \cdot q)^{\textcircled{e}} \ r'$ , *symmetric*] **using**  $\langle w = r' \cdot v \cdot t' \rangle$   
 $\langle (p \cdot q)^{\textcircled{e}} = \text{take } ?d \ w \rangle$  [*symmetric*] *gcd-le1-nat nemp-len*[*OF*  $\langle r' \neq \varepsilon \rangle$ ]  
*short-take-append* **by** *metis*  
**hence**  $(p \cdot q)^{\textcircled{e}} = \text{take } ?d \ r'$   
**using** *pref-take-conv*  $\langle |(p \cdot q)^{\textcircled{e}}| = ?d \rangle$  **by** *metis*  
**have**  $r' \leq_p (p \cdot q)^{\textcircled{e}} \cdot r'$   
**using** *pref-keeps-per-root*[*OF sprefD1*[*OF*  $\langle w <_p \text{ take } ?d \ w \cdot w \rangle$ ]]  
**unfolding**  $\langle (p \cdot q)^{\textcircled{e}} = \text{take } (\text{gcd } |r'| \ |t'|) \ w \rangle$   
**using**  $\langle w = r' \cdot v \cdot t' \rangle$  **by** *blast*  
**then obtain**  $m$  **where**  $r' = (p \cdot q)^{\textcircled{m}}$   
**using** *per-div*[*OF gcd-dvd1 period-I'*, *OF*  $\langle r' \neq \varepsilon \rangle \langle 0 < ?d \rangle$ , *folded*  $\langle (p \cdot q)^{\textcircled{e}}$

= *take ?d r'*  
**unfolding** *pow-mult[symmetric] by metis*

**have**  $p \leq_s (q \cdot p)^{\textcircled{e}}$   
**unfolding** *pow-Suc'[of  $q \cdot p \ e-1$ , unfolded  $\text{Suc-minus}[OF \langle e \neq 0 \rangle]$  lassoc] by blast*

**note**  $\langle |(p \cdot q)^{\textcircled{e}}| \leq |w| \rangle$  [*unfolded swap-e, folded  $\langle (p \cdot q)^{\textcircled{e}} l \cdot p = w \rangle$ , unfolded shift-pow*]

**have**  $(q \cdot p)^{\textcircled{e}} \leq_s (r' \cdot v) \cdot t'$   
**unfolding** *rassoc  $\langle w = r' \cdot v \cdot t' \rangle$  [symmetric, folded  $\langle (p \cdot q)^{\textcircled{e}} l \cdot p = w \rangle$ , unfolded shift-pow]*

**using** *suf-prod-suf-short[OF -  $\langle p \leq_s (q \cdot p)^{\textcircled{e}} \ e \rangle \langle |(q \cdot p)^{\textcircled{e}}| \leq |p \cdot (q \cdot p)^{\textcircled{e}}| \rangle]$*

**unfolding** *pows-comm[of  $(q \cdot p) \ e \ l$ ] by blast*

**have**  $|(q \cdot p)^{\textcircled{e}}| \leq |t'|$   
**using** *gcd-le2-nat[OF nemp-len[OF  $\langle t' \neq \varepsilon \rangle$ ], of  $|r'|$ , folded  $\langle |(p \cdot q)^{\textcircled{e}}| = ?d \rangle]$*

**unfolding** *swap-len[of  $p \ q$ ] pow-len.*

**have**  $(q \cdot p)^{\textcircled{e}} \leq_s t'$   
**unfolding**  $\langle w = r' \cdot v \cdot t' \rangle$  [*unfolded lassoc*]

**using** *suf-prod-le[OF  $\langle (q \cdot p)^{\textcircled{e}} \leq_s (r' \cdot v) \cdot t' \rangle \langle |(q \cdot p)^{\textcircled{e}}| \leq |t'| \rangle]$ .*

**have**  $t' \leq_s t' \cdot (q \cdot p)^{\textcircled{e}}$

**proof** (*rule pref-keeps-per-root[reversed, of  $w$ ]*)

**show**  $w \leq_s w \cdot (q \cdot p)^{\textcircled{e}}$

**unfolding**  $\langle (p \cdot q)^{\textcircled{e}} l \cdot p = w \rangle$  [*symmetric, unfolded shift-pow*] *rassoc pows-comm*

**unfolding** *lassoc shift-pow[symmetric]*

**unfolding** *rassoc unfolding shift-pow by blast*

**show**  $t' \leq_s w$

**unfolding**  $\langle w = r' \cdot v \cdot t' \rangle$  *lassoc by blast*

**qed**

**have** *t-drop:  $(q \cdot p)^{\textcircled{e}} \ e = \text{drop} (|t'| - ?d) \ t'$*

**using**  $\langle |(p \cdot q)^{\textcircled{e}}| = ?d \rangle$  [*unfolded swap-e, symmetric*]  $\langle (q \cdot p)^{\textcircled{e}} \ e \leq_s t' \rangle$  [*unfolded suf-drop-conv, symmetric*]

**by** *argo*

**obtain** *k where  $t' = (q \cdot p)^{\textcircled{k}}$*

**using** *per-div[reversed, OF gcd-dvd2 period-I'[reversed], OF  $\langle t' \neq \varepsilon \rangle \langle 0 < ?d \rangle$ , folded t-drop, OF  $\langle t' \leq_s t' \cdot (q \cdot p)^{\textcircled{e}} \rangle$ ] pow-mult by metis*

**have**  $m + k \leq l$

**unfolding** *linorder-not-less[symmetric]*

**proof** (*rule notI*)

**assume**  $l < m + k$

**hence**  $l + 1 \leq m + k$

**by** *force*

**from** *trans-le-addI[OF mult-le-monoI[OF this]]*

**have**  $(l + 1) * |p \cdot q| \leq (m + k) * |p \cdot q| + |v|$ .

**with** *lenarg[OF  $\langle w = r' \cdot v \cdot t' \rangle$  [folded  $\langle (p \cdot q)^{\textcircled{e}} l \cdot p = w \rangle$ , unfolded  $\langle t' = (q \cdot p)^{\textcircled{k}} \langle r' = (p \cdot q)^{\textcircled{m}} \rangle$ ],*

*unfolded lenmorph, unfolded pow-len add.assoc[symmetric], symmetric]*

**show** *False*

**unfolding** *distrib-right add.commute[of - |v|] lenmorph*  
**unfolding** *distrib-left using nemp-len[OF <math>q \neq \varepsilon</math>] by linarith*  
**qed**  
**then obtain**  $i$  **where**  $l = m + i + k$   
**by** (*metis add.assoc add.commute le-Suc-ex*)

**have**  $v = (p \cdot q)^{\textcircled{i}} \cdot p$   
**using**  $\langle w = r' \cdot v \cdot t' \rangle$   
**unfolding**  $\langle (p \cdot q)^{\textcircled{l}} \cdot p = w \rangle$  [*symmetric*]  $\langle t' = (q \cdot p)^{\textcircled{k}} \rangle$   $\langle r' = (p \cdot q)^{\textcircled{m}} \rangle$   $\langle l = m + i + k \rangle$  *add-exps*  
*rassoc cancel cancel-right*  
**unfolding** *lassoc shift-pow cancel-right by simp*

**have**  $r = (p \cdot q)^{\textcircled{(m+k)}}$   
**using**  $\langle w = r \cdot v \rangle$  **unfolding**  $\langle (p \cdot q)^{\textcircled{l}} \cdot p = w \rangle$  [*symmetric*]  $\langle v = (p \cdot q)^{\textcircled{i}} \cdot p \rangle$   $\langle l = m + i + k \rangle$   
**unfolding** *lassoc cancel-right add.commute[of - k] add.assoc[symmetric] add-exps*  
**by** *simp*

**have**  $t = (q \cdot p)^{\textcircled{(m+k)}}$   
**using**  $\langle w = v \cdot t \rangle$  **unfolding**  $\langle (p \cdot q)^{\textcircled{l}} \cdot p = w \rangle$  [*symmetric*]  $\langle v = (p \cdot q)^{\textcircled{i}} \cdot p \rangle$   $\langle l = m + i + k \rangle$   
**unfolding** *rassoc cancel add.commute[of m] add.assoc[symmetric] add-exps*  
**unfolding** *shift-pow* **unfolding** *lassoc shift-pow* **unfolding** *rassoc cancel*  
**unfolding** *pows-comm by simp*

**have**  $0 < m$   
**using**  $\langle r' = (p \cdot q)^{\textcircled{m}} \rangle$   $\langle r' \neq \varepsilon \rangle$  **by** *blast*

**have**  $0 < k$   
**using**  $\langle t' = (q \cdot p)^{\textcircled{k}} \rangle$   $\langle t' \neq \varepsilon \rangle$  **by** *blast*  
**thm** *that*  
**from** *that*[*OF*  $\langle t = (q \cdot p)^{\textcircled{(m+k)}} \rangle$ ]  $\langle r = (p \cdot q)^{\textcircled{(m+k)}} \rangle$   $\langle t' = (q \cdot p)^{\textcircled{k}} \rangle$   $\langle r' = (p \cdot q)^{\textcircled{m}} \rangle$   $\langle v = (p \cdot q)^{\textcircled{i}} \cdot p \rangle$   
 $\langle (p \cdot q)^{\textcircled{l}} \cdot p = w \rangle$  [*symmetric, unfolded*]  $\langle l = m + i + k \rangle$  [*primitive*]  $\langle p \cdot q \rangle$   
 $\langle q \neq \varepsilon \rangle$   $\langle 0 < m \rangle$   $\langle 0 < k \rangle$   
**show** *thesis.*  
**qed**

**lemma** *three-covers-pref-suf-pow*: **assumes**  $x \cdot y \leq_p w$  **and**  $y \cdot x \leq_s w$  **and**  $w \leq_f y^{\textcircled{k}}$  **and**  $|y| \leq |x|$   
**shows**  $x \cdot y = y \cdot x$   
**using** *fac-marker-suf*[*OF* *fac-trans*[*OF* *pref-fac*[*OF*  $\langle x \cdot y \leq_p w \rangle$ ]  $\langle w \leq_f y^{\textcircled{k}} \rangle$ ]]  
*fac-marker-pref*[*OF* *fac-trans*[*OF* *suf-fac*[*OF*  $\langle y \cdot x \leq_s w \rangle$ ]  $\langle w \leq_f y^{\textcircled{k}} \rangle$ ]]  
*root-suf-comm'*[*OF* - *suf-prod-long*, *OF* - -  $\langle |y| \leq |x| \rangle$ , *of*  $x$ ] **by** *presburger*

## 8.2.9 Binary Equality Words

**definition** *binary-equality-word* :: *binA list*  $\Rightarrow$  *bool* **where**

*binary-equality-word*  $w = (\exists (g :: \text{binA list} \Rightarrow \text{nat list}) h. \text{binary-code-morphism } g \wedge \text{binary-code-morphism } h \wedge g \neq h \wedge w \in g =_M h)$

**lemma** *not-bew-baiba*: **assumes**  $|y| < |v|$  **and**  $x \leq_s y$  **and**  $u \leq_s v$  **and**

$y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v$

**shows** *commutes*  $\{x, y, u, v\}$

**proof**–

**obtain**  $p$  **where**  $y \cdot p = v$

**using** *eqdE*[*OF*  $\langle y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v \rangle$  *less-imp-le*[*OF*  $\langle |y| < |v| \rangle$ ]] **by**  
*blast*

**have**  $|u^{\textcircled{k}} \cdot v| \leq |x^{\textcircled{k}} \cdot y|$

**using** *lenarg*[*OF*  $\langle y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v \rangle$ ]  $\langle |y| < |v| \rangle$  **unfolding** *lenmorph*  
**by** *linarith*

**obtain**  $s$  **where**  $s \cdot y = v$

**using** *eqdE*[*reversed*, *OF*  $\langle y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v \rangle$ ] [*unfolded lassoc*]  
*less-imp-le*[*OF*  $\langle |y| < |v| \rangle$ ].

**have**  $s \neq \varepsilon$

**using**  $\langle |y| < |v| \rangle \langle s \cdot y = v \rangle$  **by** *force*

**have**  $p \neq \varepsilon$

**using**  $\langle |y| < |v| \rangle \langle y \cdot p = v \rangle$  **by** *force*

**have**  $s \cdot y = y \cdot p$

**by** (*simp add*:  $\langle s \cdot y = v \rangle \langle y \cdot p = v \rangle$ )

**obtain**  $w \ w' \ q \ t$  **where** *p-def*:  $p = (w' \cdot w)^{\textcircled{q}}$  **and** *s-def*:  $s = (w \cdot w')^{\textcircled{q}}$

**and** *y-def*:  $y = (w \cdot w')^{\textcircled{t}} \cdot w$  **and**  $w' \neq \varepsilon$  **and** *primitive*  $(w \cdot w')$  **and**  $\langle 0 < q \rangle$

**using** *conjug-eq-primrootE*[*OF*  $\langle s \cdot y = y \cdot p \rangle \langle s \neq \varepsilon \rangle$ , *of thesis*]

**by** *blast*

**have** *primitive*  $(w' \cdot w)$

**using**  $\langle \text{primitive } (w \cdot w') \rangle$  *prim-conjug* **by** *auto*

**have**  $y \cdot x^{\textcircled{k}} \cdot y = y \cdot p \cdot u^{\textcircled{k}} \cdot s \cdot y$

**using**  $\langle s \cdot y = v \rangle \langle y \cdot p = v \rangle \langle y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v \rangle$  **by** *auto*

**hence**  $x^{\textcircled{k}} = p \cdot u^{\textcircled{k}} \cdot s$

**by** *auto*

**hence**  $x \neq \varepsilon$

**using**  $\langle p \neq \varepsilon \rangle$  **by** *force*

**have**  $w \cdot w' \leq_s x^{\textcircled{k}}$

**using**  $\langle x^{\textcircled{k}} = p \cdot u^{\textcircled{k}} \cdot s \rangle$  [*unfolded s-def*]

**unfolding** *pow-pos'*[*OF*  $\langle 0 < q \rangle$ ]

**using** *sufI*[*of*  $p \cdot u^{\textcircled{k}} \cdot (w \cdot w')^{\textcircled{q}} (q - 1) w \cdot w' x^{\textcircled{k}}$ , *unfolded rassoc*]

**by** *argo*

**have**  $|w \cdot w'| \leq |x|$

**proof**(*intro leI notI*)

**assume**  $|x| < |w \cdot w'|$

**have**  $x \leq_s (w \cdot w') \cdot y$   
**using**  $\langle x \leq_s y \rangle$  **by** (*auto simp add: suffix-def*)  
**have**  $(w' \cdot w) \leq_s (w \cdot w') \cdot y$   
**unfolding**  $\langle y = (w \cdot w')^{\textcircled{t}} \cdot w \rangle$  *lassoc pow-comm[symmetric] suf-cancel-conv*  
**by** *blast*

**from** *ruler-le[reversed, OF  $\langle x \leq_s (w \cdot w') \cdot y \rangle$  this*  
*less-imp-le[OF  $\langle |x| < |w \cdot w'| \rangle$  [unfolding swap-len]]]*  
**have**  $x \leq_s w' \cdot w$ .  
**hence**  $x \leq_s p$   
**unfolding** *p-def pow-pos'[OF  $\langle 0 < q \rangle$ ] suffix-append* **by** *blast*  
**from** *root-suf-comm[OF - suf-ext[OF this]]*  
**have**  $x \cdot p = p \cdot x$   
**using** *pref-prod-root[OF prefI[OF  $\langle x^{\textcircled{k}} = p \cdot u^{\textcircled{k}} \cdot s \rangle$  [symmetric]]]* **by**  
*blast*  
**from** *comm-drop-exp[OF - this[unfolding  $\langle p = (w' \cdot w)^{\textcircled{q}} \rangle$ ]]*  
**have**  $x \cdot (w' \cdot w) = (w' \cdot w) \cdot x$   
**using**  $\langle 0 < q \rangle$  **by** *force*  
**from** *prim-comm-short-emp[OF  $\langle \text{primitive } (w' \cdot w) \rangle$  this  $\langle |x| < |w \cdot w'| \rangle$  [unfolding*  
*swap-len]]*  
**show** *False*  
**using**  $\langle x \neq \varepsilon \rangle$  **by** *blast*  
**qed**

**hence**  $w \cdot w' \leq_s x$   
**using** *suf-prod-le[OF suf-prod-root[OF  $\langle w \cdot w' \leq_s x^{\textcircled{k}} \rangle$ ] by blast*  
**from** *suffix-order.trans[OF this  $\langle x \leq_s y \rangle$ ]*  
**have**  $w \cdot w' \leq_s y$ .  
**hence**  $|w \cdot w'| \leq |y|$   
**using** *suffix-length-le* **by** *blast*  
**then obtain**  $t'$  **where**  $t = \text{Suc } t'$   
**unfolding** *y-def lenmorph pow-len  $\langle w' \neq \varepsilon \rangle$  add.commute[of - |w|] nat-add-left-cancel-le*  
**using**  $\langle w' \neq \varepsilon \rangle$  *mult-0[of |w| + |w'|] npos-len[of w'] not0-implies-Suc[of t]* **by**  
*force*  
**from** *ruler-eq-len[reversed, OF  $\langle w \cdot w' \leq_s y \rangle$  - swap-len, unfolded y-def this*  
*pow-Suc' rassoc]*  
**have**  $w \cdot w' = w' \cdot w$   
**unfolding** *lassoc suf-cancel-conv* **by** *blast*  
**from** *comm-not-prim[OF -  $\langle w' \neq \varepsilon \rangle$  this]*  
**have**  $w = \varepsilon$   
**using**  $\langle \text{primitive } (w \cdot w') \rangle$  **by** *blast*  
**hence** *primitive*  $w'$   
**using**  $\langle \text{primitive } (w' \cdot w) \rangle$  **by** *auto*

**have**  $0 < k$   
**using**  $\langle |y| < |v| \rangle$  *lenarg[OF  $\langle y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v \rangle$ , unfolded lenmorph*  
*pow-len]*  
*gr-zeroI* **by** *fastforce*

**have**  $y = w'^{\textcircled{a}}t$   
**using**  $y\text{-def}$   $\langle w = \varepsilon \rangle$  **by force**  
**hence**  $y \in w'^*$   
**using**  $\text{rootI}$  **by blast**

**have**  $s \in w'^*$   
**using**  $s\text{-def}$   $\langle w = \varepsilon \rangle$   $\text{rootI}$  **by force**  
**hence**  $v \in w'^*$   
**using**  $\langle s \cdot y = v \rangle$   $\langle y \in w'^* \rangle$   $\text{add-roots}$  **by blast**  
**have**  $w' \leq p \ x$   
**using**  $\langle x^{\textcircled{a}}k = p \cdot u^{\textcircled{a}}k \cdot s \rangle$   $[\text{symmetric}]$   $\text{eq-le-pref}[OF - \langle |w \cdot w'| \leq |x| \rangle]$ ,  $\text{of } w'^{\textcircled{a}}(q - 1) \cdot u \cdot u^{\textcircled{a}}(k - 1) \cdot s \ x^{\textcircled{a}}(k - 1)]$   
**unfolding**  $p\text{-def}$   $\langle w = \varepsilon \rangle$   $\text{emp-simps}$   $\text{pow-pos}[OF \ \langle 0 < k \rangle]$   $\text{pow-pos}[OF \ \langle 0 < q \rangle]$   $\text{pow-pos}$   $\text{rassoc}$  **by argo**

**have**  $x \cdot w' = w' \cdot x$   
**using**  $\langle x \leq s \ y \rangle$   $\langle w' \leq p \ x \rangle$   $y\text{-def}[\text{unfolded } \langle w = \varepsilon \rangle \ \langle t = \text{Suc } t' \rangle \ \text{emp-simps}]$   
 $\text{pref-suf-pows-comm}[\text{of } w' \ x \ 0 \ 0 \ 0 \ t', \ \text{unfolded } \text{pow-zero } \text{emp-simps}, \ \text{folded } y\text{-def}[\text{unfolded } \langle w = \varepsilon \rangle \ \langle t = \text{Suc } t' \rangle, \ \text{unfolded } \text{emp-simps}]]$   
**by force**  
**hence**  $x \in w'^*$   
**using**  $\text{prim-comm-exp}[OF \ \langle \text{primitive } w' \rangle, \ \text{of } x]$   
**unfolding**  $\text{root-def}$   
**by metis**

**have**  $p \in w'^*$   
**using**  $\langle s \in w'^* \rangle$   $\langle y \in w'^* \rangle$   $\langle s \cdot y = v \rangle$   $[\text{folded } \langle y \cdot p = v \rangle]$   
**by**  $(\text{simp add: } \langle s \cdot y = y \cdot p \rangle \ \langle s \in w'^* \rangle \ \langle y \in w'^* \rangle \ \langle w \cdot w' = w' \cdot w \rangle \ p\text{-def } s\text{-def})$

**have**  $u^{\textcircled{a}}k \in w'^*$   
**using**  $\text{root-pow-root}[OF \ \langle x \in w'^* \rangle, \ \text{of } k, \ \text{unfolded } \langle x^{\textcircled{a}}k = p \cdot u^{\textcircled{a}}k \cdot s \rangle]$   
 $\text{root-pref-cancel}[OF - \langle p \in w'^* \rangle]$   $\text{root-suf-cancel}[OF - \langle s \in w'^* \rangle]$  **by blast**  
**from**  $\text{prim-root-drop-exp}[OF \ \text{this } \langle 0 < k \rangle \ \langle \text{primitive } w' \rangle]$   
**have**  $u \in w'^*$ .

**show**  $\text{commutes } \{x, y, u, v\}$   
**by**  $(\text{intro } \text{commutesI-root}[\text{of } - \ w'], \ \text{unfold } \text{Set.ball-simps}(7), \ \text{simp add: } \langle x \in w'^* \rangle \ \langle y \in w'^* \rangle \ \langle u \in w'^* \rangle \ \langle v \in w'^* \rangle)$   
**qed**

**lemma**  $\text{not-bew-baibaib}$ : **assumes**  $|x| < |u|$  **and**  $1 < i$  **and**  
 $x \cdot y^{\textcircled{a}}i \cdot x \cdot y^{\textcircled{a}}i \cdot x = u \cdot v^{\textcircled{a}}i \cdot u \cdot v^{\textcircled{a}}i \cdot u$   
**shows**  $\text{commutes } \{x, y, u, v\}$   
**proof**–  
**have**  $0 < i$   
**using**  $\text{assms}(2)$  **by auto**

**from**  $\text{lenarg}[OF \ \langle x \cdot y^{\textcircled{a}}i \cdot x \cdot y^{\textcircled{a}}i \cdot x = u \cdot v^{\textcircled{a}}i \cdot u \cdot v^{\textcircled{a}}i \cdot u \rangle]$



**have**  $2*|x \cdot y^{\textcircled{i}}| + |x| = 2*|u \cdot v^{\textcircled{i}}| + |u|$   
**by** *auto*  
**hence**  $|u \cdot v^{\textcircled{i}}| < |x \cdot y^{\textcircled{i}}|$   
**using**  $\langle |x| < |u| \rangle$  **by** *fastforce*  
**hence**  $u \cdot v^{\textcircled{i}} <_p x \cdot y^{\textcircled{i}}$   
**using** *assms(3) eq-le-pref less-or-eq-imp-le rassoc sprefI2* **by** *metis*

**have**  $x \cdot y^{\textcircled{i}} \neq \varepsilon$   
**by** (*metis*  $\langle u \cdot v^{\textcircled{i}} <_p x \cdot y^{\textcircled{i}} \rangle$  *strict-prefix-simps(1)*)  
**have**  $u \cdot v^{\textcircled{i}} \neq \varepsilon$   
**using** *assms(1) gr-implies-not0* **by** *blast*

**have**  $(u \cdot v^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) = (x \cdot y^{\textcircled{i}}) \cdot (u \cdot v^{\textcircled{i}})$   
**proof**(*rule sq-short-per*)  
**have** *eq*:  $(x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) \cdot x = (u \cdot v^{\textcircled{i}}) \cdot (u \cdot v^{\textcircled{i}}) \cdot u$   
**using** *assms(3)* **by** *auto*  
**from** *lenarg[OF this]*  
**have**  $|u \cdot v^{\textcircled{i}} \cdot u| < |x \cdot y^{\textcircled{i}} \cdot x \cdot y^{\textcircled{i}}|$   
**unfolding** *lenmorph* **using**  $\langle |x| < |u| \rangle$  **by** *linarith*  
**from** *eq-le-pref[OF - less-imp-le[OF this]]*  
**have**  $(u \cdot v^{\textcircled{i}}) \cdot u \leq_p (x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}})$   
**using** *eq[symmetric]* **unfolding** *rassoc* **by** *blast*  
**hence**  $(u \cdot v^{\textcircled{i}}) \cdot (u \cdot v^{\textcircled{i}}) \cdot u \leq_p (u \cdot v^{\textcircled{i}}) \cdot ((x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}))$   
**unfolding** *same-prefix-prefix*.  
**from** *pref-trans[OF prefI[of (x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) x (x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) \cdot*  
*x]*  
*this[folded (x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) \cdot x = (u \cdot v^{\textcircled{i}}) \cdot (u \cdot v^{\textcircled{i}}) \cdot u]*,  
*unfolded rassoc, OF refl]*  
**show**  $(x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) \leq_p (u \cdot v^{\textcircled{i}}) \cdot ((x \cdot y^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}))$   
**by** *fastforce*  
**show**  $|u \cdot v^{\textcircled{i}}| \leq |x \cdot y^{\textcircled{i}}|$   
**using** *less-imp-le-nat[OF (u \cdot v^{\textcircled{i}}) < (x \cdot y^{\textcircled{i}})]*.  
**qed**

**obtain**  $r \ m \ k$  **where**  $x \cdot y^{\textcircled{i}} = r^{\textcircled{k}} \ u \cdot v^{\textcircled{i}} = r^{\textcircled{m}}$  *primitive r*  
**using**  $\langle (u \cdot v^{\textcircled{i}}) \cdot (x \cdot y^{\textcircled{i}}) = (x \cdot y^{\textcircled{i}}) \cdot (u \cdot v^{\textcircled{i}}) \rangle$  [*unfolded*  
*comm-primroots[OF (u \cdot v^{\textcircled{i}} \neq \varepsilon) (x \cdot y^{\textcircled{i}} \neq \varepsilon)]*]  
 $\langle u \cdot v^{\textcircled{i}} \neq \varepsilon \rangle \langle x \cdot y^{\textcircled{i}} \neq \varepsilon \rangle$  *primroot-expE primroot-prim* **by** *metis*

**have**  $m < k$   
**using**  $\langle |u \cdot v^{\textcircled{i}}| < |x \cdot y^{\textcircled{i}}| \rangle$   
**unfolding** *strict-prefix-def*  $\langle u \cdot v^{\textcircled{i}} = r^{\textcircled{m}} \rangle \langle x \cdot y^{\textcircled{i}} = r^{\textcircled{k}} \rangle$   
*pow-len*  
**by** *simp*

**have**  $x \cdot y^{\textcircled{i}} = u \cdot v^{\textcircled{i}} \cdot r^{\textcircled{k-m}}$   
**by** (*simp add: (m < k) (u \cdot v^{\textcircled{i}} = r^{\textcircled{m}}) (x \cdot y^{\textcircled{i}} = r^{\textcircled{k}})* *lassoc*  
*less-imp-le-nat pop-pow*)

**have**  $|y^{\textcircled{i}}| = |v^{\textcircled{i}}| + 3 * |r^{\textcircled{i}}(k - m)|$  **and**  $|r| \leq |y^{\textcircled{i-1}}|$   
**proof-**  
**have**  $|x \cdot y^{\textcircled{i}}| = |r^{\textcircled{i}}(k - m)| + |u \cdot v^{\textcircled{i}}|$   
**using** *lenarg[OF <x.y<sup>Ⓢ</sup>i = u.v<sup>Ⓢ</sup>i.r<sup>Ⓢ</sup>(k-m)>]*  
**by** *auto*  
  
**have**  $|u| = 2 * |r^{\textcircled{i}}(k - m)| + |x|$   
**using**  $\langle 2 * |x \cdot y^{\textcircled{i}}| + |x| = 2 * |u \cdot v^{\textcircled{i}}| + |u| \rangle$   
**unfolding**  $\langle |x \cdot y^{\textcircled{i}}| = |r^{\textcircled{i}}(k - m)| + |u \cdot v^{\textcircled{i}}| \rangle$   
*add-mult-distrib2*  
**by** *simp*  
  
**have**  $2 * |y^{\textcircled{i}}| + 3 * |x| = 2 * |v^{\textcircled{i}}| + 3 * |u|$   
**using** *lenarg[OF <x.y<sup>Ⓢ</sup>i.x.y<sup>Ⓢ</sup>i.x = u.v<sup>Ⓢ</sup>i.u.v<sup>Ⓢ</sup>i.u>]*  
**unfolding** *lenmorph numeral-3-eq-3 numerals(2)*  
**by** *linarith*  
  
**have**  $2 * |y^{\textcircled{i}}| = 2 * |v^{\textcircled{i}}| + 3 * (2 * |r^{\textcircled{i}}(k - m)|)$   
**using**  $\langle 2 * |y^{\textcircled{i}}| + 3 * |x| = 2 * |v^{\textcircled{i}}| + 3 * |u| \rangle$   
**unfolding**  $\langle |u| = 2 * |r^{\textcircled{i}}(k - m)| + |x| \rangle$  *add-mult-distrib2*  
**by** *simp*  
**hence**  $2 * |y^{\textcircled{i}}| = 2 * |v^{\textcircled{i}}| + 2 * (3 * |r^{\textcircled{i}}(k - m)|)$   
**by** *presburger*  
**hence**  $2 * |y^{\textcircled{i}}| = 2 * (|v^{\textcircled{i}}| + (3 * |r^{\textcircled{i}}(k - m)|))$   
**by** *simp*  
**thus**  $|y^{\textcircled{i}}| = |v^{\textcircled{i}}| + 3 * |r^{\textcircled{i}}(k - m)|$   
**using** *nat-mult-eq-cancel1[of 2] zero-less-numeral*  
**by** *force*  
**hence**  $3 * |r^{\textcircled{i}}(k - m)| \leq |y^{\textcircled{i}}|$   
**using** *le-add2* **by** *presburger*  
**moreover** **have**  $|r| \leq |r^{\textcircled{i}}(k - m)|$   
**by** (*metis pow-Suc pow-Suc' <primitive r> <u.v<sup>Ⓢ</sup>i <p x.y<sup>Ⓢ</sup>i> <x.y<sup>Ⓢ</sup>i = u.v<sup>Ⓢ</sup>i.r<sup>Ⓢ</sup>(k-m)> not-le prim-comm-short-emp self-append-conv strict-prefix-def*)  
**ultimately** **have**  $3 * |r| \leq |y^{\textcircled{i}}|$   
**by** (*meson le-trans mult-le-mono2*)  
**hence**  $3 * |r| \leq i * |y|$   
**by** (*simp add: pow-len*)  
**moreover** **have**  $i \leq 3 * (i - 1)$   
**using** *assms(2)* **by** *linarith*  
**ultimately** **have**  $3 * |r| \leq 3 * ((i - 1) * |y|)$   
**by** (*metis (no-types, lifting) le-trans mult.assoc mult-le-mono1*)  
**hence**  $|r| \leq (i - 1) * |y|$   
**by** (*meson nat-mult-le-cancel1 zero-less-numeral*)  
**thus**  $|r| \leq |y^{\textcircled{i-1}}|$   
**unfolding** *pow-len.*  
**qed**  
**have**  $|r| + |y| \leq |y^{\textcircled{i}}|$   
**using**  $\langle |r| \leq |y^{\textcircled{i-1}}| \rangle$

**unfolding** *pow-len nat-add-left-cancel-le*[of  $|y| \mid r$ , *symmetric*]  
**using** *add.commute*  $\langle 0 < i \rangle$  *mult-eq-if*  
**by** *force*

**have**  $y^{\textcircled{i}} \leq_s y^{\textcircled{i}} \cdot r$   
**using** *triv-suf*[of  $y^{\textcircled{i}} \mid x$ , *unfolded*  $\langle x \cdot y^{\textcircled{i}} \mid i = r^{\textcircled{k}} \mid k \rangle$ ,  
*THEN* *suf-prod-root*].  
**have**  $y^{\textcircled{i}} \leq_s y^{\textcircled{i}} \cdot y$   
**by** (*simp add: suf-pow-ext'*)

**from** *two-pers*[*reversed*, *OF*  $\langle y^{\textcircled{i}} \mid i \leq_s y^{\textcircled{i}} \cdot r \rangle \langle y^{\textcircled{i}} \mid i \leq_s y^{\textcircled{i}} \cdot y \rangle \langle |r| + |y| \leq |y^{\textcircled{i}} \mid i \rangle$ ]  
**have**  $y \cdot r = r \cdot y$ .

**have**  $x \cdot y^{\textcircled{i}} \cdot r = r \cdot x \cdot y^{\textcircled{i}}$   
**by** (*simp add: pow-comm*  $\langle x \cdot y^{\textcircled{i}} \mid i = r^{\textcircled{k}} \mid k \rangle$  *lassoc*)  
**hence**  $x \cdot r \cdot y^{\textcircled{i}} = r \cdot x \cdot y^{\textcircled{i}}$   
**by** (*simp add:*  $\langle y \cdot r = r \cdot y \rangle$  *comm-add-exp*)  
**hence**  $x \cdot r = r \cdot x$   
**by** *auto*

**obtain**  $n$  **where**  $y = r^{\textcircled{n}}$   
**using** *primitive*  $r$   $\langle y \cdot r = r \cdot y \rangle$  **by** *blast*  
**hence**  $|y^{\textcircled{i}}| = i * n * |r|$   
**by** (*simp add: pow-len*)  
**hence**  $|v^{\textcircled{i}}| = i * n * |r| - 3 * |r^{\textcircled{k}}| (k - m)$   
**using**  $\langle |y^{\textcircled{i}}| = |v^{\textcircled{i}}| + 3 * |r^{\textcircled{k}}| (k - m) \rangle$   
*diff-add-inverse2* **by** *presburger*  
**hence**  $|v^{\textcircled{i}}| = (i * n - 3 * (k - m)) * |r|$   
**by** (*simp add:*  $\langle |v^{\textcircled{i}}| = i * n * |r| - 3 * |r^{\textcircled{k}}| (k - m) \rangle$  *ab-semigroup-mult-class.mult-ac(1)*  
*left-diff-distrib' pow-len*)

**have**  $v^{\textcircled{i}} \in r^*$   
**using** *per-exp-eq*[*reversed*, *OF*  $\langle |v^{\textcircled{i}}| = (i * n - 3 * (k - m)) * |r| \rangle$ ]  
 $\langle u \cdot v^{\textcircled{i}} \mid i = r^{\textcircled{m}} \mid m \rangle$  *suf-prod-root* *triv-suf* **by** *metis*

**have**  $u \cdot r = r \cdot u$   
**using** *root-suf-cancel*[*OF* *rootI*[of  $r \mid m$ , *folded*  $\langle u \cdot v^{\textcircled{i}} \mid i = r^{\textcircled{m}} \mid m \rangle \langle v^{\textcircled{i}} \mid i \in r^* \rangle$ ]  
*self-root*[of  $r$ ] **unfolding** *comm-root*  
**by** *blast*

**have**  $v \cdot r = r \cdot v$   
**thm** *comm-drop-exp*  
**using** *comm-drop-exp*[*OF*  $\langle 0 < i \rangle$ ,  
*OF* *comm-rootI*[*OF* *self-root*  $\langle v^{\textcircled{i}} \mid i \in r^* \rangle$ ]].

**show** *?thesis*  
**using** *commutesI-root*[of  $\{x, y, u, v\} \mid r$ ]  
*prim-comm-root*[*OF* *primitive*  $r$   $\langle u \cdot r = r \cdot u \rangle$ ]

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    prim-comm-root[OF ‹primitive r› ‹v · r = r · v›]
    prim-comm-root[OF ‹primitive r› ‹x · r = r · x›]
    prim-comm-root[OF ‹primitive r› ‹y · r = r · y›]
  by auto
qed

theorem ¬ binary-equality-word (a · b@ Suc k · a · b)
proof
  assume binary-equality-word (a · b@ Suc k · a · b)
  then obtain g' h' where g'-morph: binary-code-morphism (g' :: binA list ⇒ nat
list) and h'-morph: binary-code-morphism h' and g' ≠ h' and
    msol': (a · b@ Suc k · a · b) ∈ g' =M h'
  using binary-equality-word-def by blast
  interpret g': binary-code-morphism g'
  by fact
  interpret h': binary-code-morphism h'
  by fact
  interpret gh: two-morphisms g' h'
  by (simp add: g'.morphism-axioms h'.morphism-axioms two-morphisms-def)
  have |g'(a · b)| ≠ |h'(a · b)|
  proof
    assume len: |g'(a · b)| = |h'(a · b)|
    hence eq1: g'(a · b) = h'(a · b) and eq2: g'(b@k · a · b) = h'(b@k · a · b)
      using msol' eqd-eq[OF len, of g' (b@k · a · b) h' (b@k · a · b)]
    unfolding min-sol-def pow-Suc pow-one g'.morph[symmetric] h'.morph[symmetric]
  rassoc
    by blast+
  hence g'(b@k) = h'(b@k)
    by (simp add: g'.morph h'.morph)
  show False
  proof (cases k = 0)
    assume k = 0
    from min-solD-min[OF msol' - - eq1, unfolded ‹k = 0› pow-one]
    show False by simp
  next
    assume k ≠ 0
    hence g'(b) = h'(b)
      using ‹g'(b@k) = h'(b@k)›
    unfolding g'.pow-morph h'.pow-morph using pow-eq-eq by blast
    hence g'(a) = h'(a)
      using ‹g'(a · b) = h'(a · b)› unfolding g'.morph h'.morph
      by simp
    show False
      using gh.def-on-sings-eq[OF finite-2.induct[of λ a. g'[a] = h'[a], OF ‹g'(a)
= h'(a)› ‹g'(b) = h'(b)›]]
      ‹g' ≠ h'› by blast
  qed
qed
then have less': |(if |g'(a · b)| < |h'(a · b)| then g' else h')(a · b)|

```

```

    < |(if |g' (a · b)| < |h' (a · b)| then h' else g') (a · b)|
  by simp
  obtain g h where g-morph: binary-code-morphism (g :: binA list ⇒ nat list)
and h-morph: binary-code-morphism h
  and msol: g (a · b @ Suc k · a · b) = h (a · b @ Suc k · a · b) and less: |g(a ·
b)| < |h(a · b)|
  using that[of (if |g' (a · b)| < |h' (a · b)| then g' else h') (if |g' (a · b)| < |h'
(a · b)| then h' else g'), OF - - - less']
  g'-morph h'-morph min-solD[OF msol'] by presburger
interpret g: binary-code-morphism g
  using g-morph by blast
interpret h: binary-code-morphism h
  using h-morph by blast
have g b ≤s g (a · b) and h b ≤s h (a · b)
  unfolding g.morph h.morph by blast+
from not-bew-baiba[OF less this, of k] msol
have commutes {g b, g (a · b), h b, h (a · b)}
  unfolding g.morph h.morph g.pow-morph h.pow-morph pow-Suc rassoc by blast
hence g b · g (a · b) = g (a · b) · g b
  unfolding commutes-def by blast
from this[unfolded g.morph lassoc cancel-right]
show False
  using g.non-comm-morph by simp
qed

end

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