

# Isabelle Collections Framework

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### **Abstract**

This development provides an efficient, extensible, machine checked collections framework for use in Isabelle/HOL. The library adopts the concepts of interface, implementation and generic algorithm from object-oriented programming and implements them in Isabelle/HOL.

The framework features the use of data refinement techniques to refine an abstract specification (using high-level concepts like sets) to a more concrete implementation (using collection datastructures, like red-black-trees). The code-generator of Isabelle/HOL can be used to generate efficient code in all supported target languages, i.e. Haskell, SML, and OCaml.

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# Chapter 1

## Introduction

This development provides an efficient, extensible, machine checked collections framework for use in Isabelle/HOL. The library adopts the concepts of interface, implementation and generic algorithm known from object oriented (collection) libraries like the C++ Standard Template Library[5] or the Java Collections Framework[1] and makes them available in the Isabelle/HOL environment.

The library uses data refinement techniques to refine an abstract specification (in terms of high-level concepts such as sets) to a more concrete implementation (based on collection datastructures like red-black-trees). This allows algorithms to be proven on the abstract level at which proofs are simpler because they are not cluttered with low-level details.

The code-generator of Isabelle/HOL can be used to generate efficient code in all supported target languages, i.e. Haskell, SML, and OCaml.

For more documentation and introductory material refer to the userguide (Section 5.2) and the ITP-2010 paper [3].





## Chapter 2

# The Generic Collection Framework

The Generic Collection Framework is build on top of the Automatic Refinement Framework. It contains set and map datastructures that are fully nestable, and a library of generic algorithms that are automatically instantiated on demand.

### 2.1 Interfaces

#### 2.1.1 Map Interface

**theory** *Intf-Map*

**imports** *Refine-Monadic.Refine-Monadic*

**begin**

**consts** *i-map* :: *interface*  $\Rightarrow$  *interface*  $\Rightarrow$  *interface*

**definition** [*simp*]: *op-map-empty*  $\equiv$  *Map.empty*

**definition** *op-map-lookup* :: '*k*  $\Rightarrow$  ('*k*  $\rightarrow$  '*v*)  $\rightarrow$  '*v*

**where** [*simp*]: *op-map-lookup* *k m*  $\equiv$  *m k*

**definition** [*simp*]: *op-map-update* *k v m*  $\equiv$  *m*(*k* $\rightarrow$ *v*)

**definition** [*simp*]: *op-map-delete* *k m*  $\equiv$  *m* |' ( $-\{k\}$ )

**definition** [*simp*]: *op-map-restrict* *P m*  $\equiv$  *m* |' {*k* $\in$ *dom m*. *P* (*k*, *the* (*m k*))}

**definition** [*simp*]: *op-map-isEmpty* *x*  $\equiv$  *x*=*Map.empty*

**definition** [*simp*]: *op-map-isSng* *x*  $\equiv$   $\exists$  *k v*. *x*=[*k* $\rightarrow$ *v*]

**definition** [*simp*]: *op-map-ball* *m P*  $\equiv$  *Ball* (*map-to-set* *m*) *P*

**definition** [*simp*]: *op-map-bex* *m P*  $\equiv$  *Bex* (*map-to-set* *m*) *P*

**definition** [*simp*]: *op-map-size* *m*  $\equiv$  *card* (*dom m*)

**definition** [*simp*]: *op-map-size-abort* *n m*  $\equiv$  *min* *n* (*card* (*dom m*))

**definition** [*simp*]: *op-map-sel* *m P*  $\equiv$  *SPEC* ( $\lambda(k,v)$ . *m k* = *Some v*  $\wedge$  *P k v*)

**definition** [*simp*]: *op-map-pick* *m*  $\equiv$  *SPEC* ( $\lambda(k,v)$ . *m k* = *Some v*)

**definition** [*simp*]: *op-map-pick-remove* *m*  $\equiv$

*SPEC*  $(\lambda((k,v),m'). m\ k = \text{Some } v \wedge m' = m \mid' (-\{k\}))$

**context begin interpretation** *autoref-syn*  $\langle \text{proof} \rangle$

**lemma** [*autoref-op-pat*]:

$\text{Map.empty} \equiv \text{op-map-empty}$   
 $(m::'k \rightarrow 'v)\ k \equiv \text{op-map-lookup}\ \$k\ \$m$   
 $m(k \mapsto v) \equiv \text{op-map-update}\ \$k\ \$v\ \$m$   
 $m \mid' (-\{k\}) \equiv \text{op-map-delete}\ \$k\ \$m$   
 $m \mid' \{k \in \text{dom } m. P(k, \text{the } (m\ k))\} \equiv \text{op-map-restrict}\ \$P\ \$m$

$m = \text{Map.empty} \equiv \text{op-map-isEmpty}\ \$m$   
 $\text{Map.empty} = m \equiv \text{op-map-isEmpty}\ \$m$   
 $\text{dom } m = \{\} \equiv \text{op-map-isEmpty}\ \$m$   
 $\{\} = \text{dom } m \equiv \text{op-map-isEmpty}\ \$m$

$\exists k\ v. m = [k \mapsto v] \equiv \text{op-map-isSng}\ \$m$   
 $\exists k\ v. [k \mapsto v] = m \equiv \text{op-map-isSng}\ \$m$   
 $\exists k. \text{dom } m = \{k\} \equiv \text{op-map-isSng}\ \$m$   
 $\exists k. \{k\} = \text{dom } m \equiv \text{op-map-isSng}\ \$m$   
 $1 = \text{card } (\text{dom } m) \equiv \text{op-map-isSng}\ \$m$

$\bigwedge P. \text{Ball } (\text{map-to-set } m)\ P \equiv \text{op-map-ball}\ \$m\ \$P$   
 $\bigwedge P. \text{Bex } (\text{map-to-set } m)\ P \equiv \text{op-map-bex}\ \$m\ \$P$

$\text{card } (\text{dom } m) \equiv \text{op-map-size}\ \$m$

$\min n (\text{card } (\text{dom } m)) \equiv \text{op-map-size-abort}\ \$n\ \$m$   
 $\min (\text{card } (\text{dom } m))\ n \equiv \text{op-map-size-abort}\ \$n\ \$m$

$\bigwedge P. \text{SPEC } (\lambda(k,v). m\ k = \text{Some } v \wedge P\ k\ v) \equiv \text{op-map-sel}\ \$m\ \$P$   
 $\bigwedge P. \text{SPEC } (\lambda(k,v). P\ k\ v \wedge m\ k = \text{Some } v) \equiv \text{op-map-sel}\ \$m\ \$P$

$\bigwedge P. \text{SPEC } (\lambda(k,v). m\ k = \text{Some } v) \equiv \text{op-map-pick}\ \$m$   
 $\bigwedge P. \text{SPEC } (\lambda(k,v). (k,v) \in \text{map-to-set } m) \equiv \text{op-map-pick}\ \$m$   
 $\langle \text{proof} \rangle$

**lemma** [*autoref-op-pat*]:

$\text{SPEC } (\lambda((k,v),m'). m\ k = \text{Some } v \wedge m' = m \mid' (-\{k\}))$   
 $\equiv \text{op-map-pick-remove}\ \$m$   
 $\langle \text{proof} \rangle$

**lemma** *op-map-pick-remove-alt*:

$\text{do } \{(k,v),m\} \leftarrow \text{op-map-pick-remove } m; f\ k\ v\ m\}$   
 $=$  (  
 $\text{do } \{$   
 $(k,v) \leftarrow \text{SPEC } (\lambda(k,v). m\ k = \text{Some } v);$   
 $\text{let } m = m \mid' (-\{k\});$

```

    f k v m
  })
  ⟨proof⟩

```

**lemma** [autoref-op-pat]:

```

do {
  (k,v) ← SPEC (λ(k,v). m k = Some v);
  let m = m |' (-{k});
  f k v m
} ≡ do {(k,v),m} ← op-map-pick-remove m; f k v m
⟨proof⟩

```

**end**

**lemma** [autoref-itype]:

```

op-map-empty :: i ⟨Ik,Iv⟩i i-map
op-map-lookup :: Ik →i ⟨Ik,Iv⟩i i-map →i ⟨Iv⟩i i-option
op-map-update :: Ik →i Iv →i ⟨Ik,Iv⟩i i-map →i ⟨Ik,Iv⟩i i-map
op-map-delete :: Ik →i ⟨Ik,Iv⟩i i-map →i ⟨Ik,Iv⟩i i-map
op-map-restrict
  :: (⟨Ik,Iv⟩i i-prod →i i-bool) →i ⟨Ik,Iv⟩i i-map →i ⟨Ik,Iv⟩i i-map
op-map-isEmpty :: i ⟨Ik,Iv⟩i i-map →i i-bool
op-map-isSng :: i ⟨Ik,Iv⟩i i-map →i i-bool
op-map-ball :: i ⟨Ik,Iv⟩i i-map →i (⟨Ik,Iv⟩i i-prod →i i-bool) →i i-bool
op-map-bex :: i ⟨Ik,Iv⟩i i-map →i (⟨Ik,Iv⟩i i-prod →i i-bool) →i i-bool
op-map-size :: i ⟨Ik,Iv⟩i i-map →i i-nat
op-map-size-abort :: i-nat →i ⟨Ik,Iv⟩i i-map →i i-nat
(+++) :: i ⟨Ik,Iv⟩i i-map →i ⟨Ik,Iv⟩i i-map →i ⟨Ik,Iv⟩i i-map
map-of :: i ⟨⟨Ik,Iv⟩i i-prod⟩i i-list →i ⟨Ik,Iv⟩i i-map

op-map-sel :: i ⟨Ik,Iv⟩i i-map →i (Ik →i Iv →i i-bool)
  →i ⟨⟨Ik,Iv⟩i i-prod⟩i i-nres
op-map-pick :: i ⟨Ik,Iv⟩i i-map →i ⟨⟨Ik,Iv⟩i i-prod⟩i i-nres
op-map-pick-remove
  :: i ⟨Ik,Iv⟩i i-map →i ⟨⟨⟨Ik,Iv⟩i i-prod, ⟨Ik,Iv⟩i i-map⟩i i-prod⟩i i-nres
  ⟨proof⟩

```

**lemma** hom-map1 [autoref-hom]:

```

CONSTRAINT Map.empty (⟨Rk,Rv⟩ Rm)
CONSTRAINT map-of (⟨⟨Rk,Rv⟩ prod-rel⟩ list-rel) → ⟨Rk,Rv⟩ Rm
CONSTRAINT (+++) (⟨Rk,Rv⟩ Rm → ⟨Rk,Rv⟩ Rm → ⟨Rk,Rv⟩ Rm)
⟨proof⟩

```

**term** op-map-restrict

**lemma** hom-map2 [autoref-hom]:

```

CONSTRAINT op-map-lookup (Rk → ⟨Rk,Rv⟩ Rm → ⟨Rv⟩ option-rel)
CONSTRAINT op-map-update (Rk → Rv → ⟨Rk,Rv⟩ Rm → ⟨Rk,Rv⟩ Rm)
CONSTRAINT op-map-delete (Rk → ⟨Rk,Rv⟩ Rm → ⟨Rk,Rv⟩ Rm)

```

```

CONSTRAINT op-map-restrict ((⟨Rk,Rv⟩prod-rel → Id) → ⟨Rk,Rv⟩Rm → ⟨Rk,Rv⟩Rm)
CONSTRAINT op-map-isEmpty (⟨Rk,Rv⟩Rm → Id)
CONSTRAINT op-map-isSng (⟨Rk,Rv⟩Rm → Id)
CONSTRAINT op-map-ball (⟨Rk,Rv⟩Rm → (⟨Rk,Rv⟩prod-rel → Id) → Id)
CONSTRAINT op-map-bex (⟨Rk,Rv⟩Rm → (⟨Rk,Rv⟩prod-rel → Id) → Id)
CONSTRAINT op-map-size (⟨Rk,Rv⟩Rm → Id)
CONSTRAINT op-map-size-abort (Id → ⟨Rk,Rv⟩Rm → Id)

CONSTRAINT op-map-sel (⟨Rk,Rv⟩Rm → (Rk → Rv → bool-rel) → ⟨Rk ×r Rv⟩nres-rel)
CONSTRAINT op-map-pick (⟨Rk,Rv⟩Rm → ⟨Rk ×r Rv⟩nres-rel)
CONSTRAINT op-map-pick-remove (⟨Rk,Rv⟩Rm → ⟨(Rk ×r Rv) ×r ⟨Rk,Rv⟩Rm⟩nres-rel)
⟨proof⟩

```

**definition** *finite-map-rel*  $R \equiv \text{Range } R \subseteq \text{Collect } (\text{finite} \circ \text{dom})$

**lemma** *finite-map-rel-trigger*:  $\text{finite-map-rel } R \implies \text{finite-map-rel } R$  *⟨proof⟩*

*⟨ML⟩*

**end**

## 2.1.2 Set Interface

**theory** *Intf-Set*

**imports** *Refine-Monadic.Refine-Monadic*

**begin**

**consts** *i-set* :: *interface*  $\Rightarrow$  *interface*

**lemmas** [*autoref-rel-intf*] = *REL-INTFI*[*of set-rel i-set*]

**definition** [*simp*]: *op-set-delete*  $x \ s \equiv s - \{x\}$

**definition** [*simp*]: *op-set-isEmpty*  $s \equiv s = \{\}$

**definition** [*simp*]: *op-set-isSng*  $s \equiv \text{card } s = 1$

**definition** [*simp*]: *op-set-size-abort*  $m \ s \equiv \min m (\text{card } s)$

**definition** [*simp*]: *op-set-disjoint*  $a \ b \equiv a \cap b = \{\}$

**definition** [*simp*]: *op-set-filter*  $P \ s \equiv \{x \in s. P \ x\}$

**definition** [*simp*]: *op-set-sel*  $P \ s \equiv \text{SPEC } (\lambda x. x \in s \wedge P \ x)$

**definition** [*simp*]: *op-set-pick*  $s \equiv \text{SPEC } (\lambda x. x \in s)$

**definition** [*simp*]: *op-set-to-sorted-list*  $\text{ordR } s$

$\equiv \text{SPEC } (\lambda l. \text{set } l = s \wedge \text{distinct } l \wedge \text{sorted-wrt } \text{ordR } l)$

**definition** [*simp*]: *op-set-to-list*  $s \equiv \text{SPEC } (\lambda l. \text{set } l = s \wedge \text{distinct } l)$

**definition** [*simp*]: *op-set-cart*  $x \ y \equiv x \times y$

**context begin interpretation** *autoref-syn* *⟨proof⟩*

**lemma** [*autoref-op-pat*]:

**fixes**  $s \ a \ b :: 'a \ \text{set}$  **and**  $x :: 'a$  **and**  $P :: 'a \Rightarrow \text{bool}$

**shows**

$$s - \{x\} \equiv \text{op-set-delete } x \ s$$

$$s = \{\} \equiv \text{op-set-isEmpty } s$$

$$\{\} = s \equiv \text{op-set-isEmpty } s$$

$$\text{card } s = 1 \equiv \text{op-set-isSng } s$$

$$\exists x. s = \{x\} \equiv \text{op-set-isSng } s$$

$$\exists x. \{x\} = s \equiv \text{op-set-isSng } s$$

$$\min m (\text{card } s) \equiv \text{op-set-size-abort } m \ s$$

$$\min (\text{card } s) m \equiv \text{op-set-size-abort } m \ s$$

$$a \cap b = \{\} \equiv \text{op-set-disjoint } a \ b$$

$$\{x \in s. P \ x\} \equiv \text{op-set-filter } P \ s$$

$$\text{SPEC } (\lambda x. x \in s \wedge P \ x) \equiv \text{op-set-sel } P \ s$$

$$\text{SPEC } (\lambda x. P \ x \wedge x \in s) \equiv \text{op-set-sel } P \ s$$

$$\text{SPEC } (\lambda x. x \in s) \equiv \text{op-set-pick } s$$

*<proof>*

**lemma** [*autoref-op-pat*]:

$$a \times b \equiv \text{op-set-cart } a \ b$$

*<proof>*

**lemma** [*autoref-op-pat*]:

$$\text{SPEC } (\lambda (u,v). (u,v) \in s) \equiv \text{op-set-pick } s$$

$$\text{SPEC } (\lambda (u,v). P \ u \ v \wedge (u,v) \in s) \equiv \text{op-set-sel } (\text{case-prod } P) \ s$$

$$\text{SPEC } (\lambda (u,v). (u,v) \in s \wedge P \ u \ v) \equiv \text{op-set-sel } (\text{case-prod } P) \ s$$

*<proof>*

**lemma** [*autoref-op-pat*]:

$$\text{SPEC } (\lambda l. \text{set } l = s \wedge \text{distinct } l \wedge \text{sorted-wrt } \text{ordR } l)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$$\text{SPEC } (\lambda l. \text{set } l = s \wedge \text{sorted-wrt } \text{ordR } l \wedge \text{distinct } l)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$$\text{SPEC } (\lambda l. \text{distinct } l \wedge \text{set } l = s \wedge \text{sorted-wrt } \text{ordR } l)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$$\text{SPEC } (\lambda l. \text{distinct } l \wedge \text{sorted-wrt } \text{ordR } l \wedge \text{set } l = s)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$$\text{SPEC } (\lambda l. \text{sorted-wrt } \text{ordR } l \wedge \text{distinct } l \wedge \text{set } l = s)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$$\text{SPEC } (\lambda l. \text{sorted-wrt } \text{ordR } l \wedge \text{set } l = s \wedge \text{distinct } l)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$$\text{SPEC } (\lambda l. s = \text{set } l \wedge \text{distinct } l \wedge \text{sorted-wrt } \text{ordR } l)$$

$$\equiv \text{OP } (\text{op-set-to-sorted-list } \text{ordR}) \ s$$

$SPEC (\lambda l. s = set\ l \wedge sorted\text{-}wrt\ ordR\ l \wedge distinct\ l)$   
 $\equiv OP (op\text{-}set\text{-}to\text{-}sorted\text{-}list\ ordR)\$s$   
 $SPEC (\lambda l. distinct\ l \wedge s = set\ l \wedge sorted\text{-}wrt\ ordR\ l)$   
 $\equiv OP (op\text{-}set\text{-}to\text{-}sorted\text{-}list\ ordR)\$s$   
 $SPEC (\lambda l. distinct\ l \wedge sorted\text{-}wrt\ ordR\ l \wedge s = set\ l)$   
 $\equiv OP (op\text{-}set\text{-}to\text{-}sorted\text{-}list\ ordR)\$s$   
 $SPEC (\lambda l. sorted\text{-}wrt\ ordR\ l \wedge distinct\ l \wedge s = set\ l)$   
 $\equiv OP (op\text{-}set\text{-}to\text{-}sorted\text{-}list\ ordR)\$s$   
 $SPEC (\lambda l. sorted\text{-}wrt\ ordR\ l \wedge s = set\ l \wedge distinct\ l)$   
 $\equiv OP (op\text{-}set\text{-}to\text{-}sorted\text{-}list\ ordR)\$s$

$SPEC (\lambda l. set\ l = s \wedge distinct\ l) \equiv op\text{-}set\text{-}to\text{-}list\$s$   
 $SPEC (\lambda l. distinct\ l \wedge set\ l = s) \equiv op\text{-}set\text{-}to\text{-}list\$s$

$SPEC (\lambda l. s = set\ l \wedge distinct\ l) \equiv op\text{-}set\text{-}to\text{-}list\$s$   
 $SPEC (\lambda l. distinct\ l \wedge s = set\ l) \equiv op\text{-}set\text{-}to\text{-}list\$s$   
 $\langle proof \rangle$

end

**lemma** [autoref-itype]:

$\{\} ::_i \langle I \rangle_i i\text{-}set$   
 $insert ::_i I \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $op\text{-}set\text{-}delete ::_i I \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $(\in) ::_i I \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}bool$   
 $op\text{-}set\text{-}isEmpty ::_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}bool$   
 $op\text{-}set\text{-}isSng ::_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}bool$   
 $(\cup) ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $(\cap) ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $((-) :: 'a\ set \Rightarrow 'a\ set \Rightarrow 'a\ set) ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $((=) :: 'a\ set \Rightarrow 'a\ set \Rightarrow bool) ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}bool$   
 $(\subseteq) ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}bool$   
 $op\text{-}set\text{-}disjoint ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}bool$   
 $Ball ::_i \langle I \rangle_i i\text{-}set \rightarrow_i (I \rightarrow_i i\text{-}bool) \rightarrow_i i\text{-}bool$   
 $Bex ::_i \langle I \rangle_i i\text{-}set \rightarrow_i (I \rightarrow_i i\text{-}bool) \rightarrow_i i\text{-}bool$   
 $op\text{-}set\text{-}filter ::_i (I \rightarrow_i i\text{-}bool) \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $card ::_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}nat$   
 $op\text{-}set\text{-}size\text{-}abort ::_i i\text{-}nat \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i i\text{-}nat$   
 $set ::_i \langle I \rangle_i i\text{-}list \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $op\text{-}set\text{-}sel ::_i (I \rightarrow_i i\text{-}bool) \rightarrow_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}nres$   
 $op\text{-}set\text{-}pick ::_i \langle I \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}nres$   
 $Sigma ::_i \langle Ia \rangle_i i\text{-}set \rightarrow_i (Ia \rightarrow_i \langle Ib \rangle_i i\text{-}set) \rightarrow_i \langle \langle Ia, Ib \rangle_i i\text{-}prod \rangle_i i\text{-}set$   
 $(\cdot) ::_i (Ia \rightarrow_i Ib) \rightarrow_i \langle Ia \rangle_i i\text{-}set \rightarrow_i \langle Ib \rangle_i i\text{-}set$   
 $op\text{-}set\text{-}cart ::_i \langle Ix \rangle_i Isx \rightarrow_i \langle Iy \rangle_i Isy \rightarrow_i \langle \langle Ix, Iy \rangle_i i\text{-}prod \rangle_i Isp$   
 $Union ::_i \langle \langle I \rangle_i i\text{-}set \rangle_i i\text{-}set \rightarrow_i \langle I \rangle_i i\text{-}set$   
 $atLeastLessThan ::_i i\text{-}nat \rightarrow_i i\text{-}nat \rightarrow_i \langle i\text{-}nat \rangle_i i\text{-}set$   
 $\langle proof \rangle$

**lemma** hom-set1 [autoref-hom]:

```

CONSTRAINT {} ( $\langle R \rangle Rs$ )
CONSTRAINT insert ( $R \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$ )
CONSTRAINT ( $\in$ ) ( $R \rightarrow \langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT ( $\cup$ ) ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$ )
CONSTRAINT ( $\cap$ ) ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$ )
CONSTRAINT ( $-$ ) ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$ )
CONSTRAINT ( $=$ ) ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT ( $\subseteq$ ) ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT Ball ( $\langle R \rangle Rs \rightarrow (R \rightarrow Id) \rightarrow Id$ )
CONSTRAINT Bex ( $\langle R \rangle Rs \rightarrow (R \rightarrow Id) \rightarrow Id$ )
CONSTRAINT card ( $\langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT set ( $\langle R \rangle Rl \rightarrow \langle R \rangle Rs$ )
CONSTRAINT ( $\cdot$ ) ( $(Ra \rightarrow Rb) \rightarrow \langle Ra \rangle Rs \rightarrow \langle Rb \rangle Rs$ )
CONSTRAINT Union ( $(\langle R \rangle Ri) Ro \rightarrow \langle R \rangle Ri$ )
<proof>

```

**lemma** *hom-set2*[*autoref-hom*]:

```

CONSTRAINT op-set-delete ( $R \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$ )
CONSTRAINT op-set-isEmpty ( $\langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT op-set-isSng ( $\langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT op-set-size-abort ( $Id \rightarrow \langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT op-set-disjoint ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rs \rightarrow Id$ )
CONSTRAINT op-set-filter ( $(R \rightarrow Id) \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rs$ )
CONSTRAINT op-set-sel ( $(R \rightarrow Id) \rightarrow \langle R \rangle Rs \rightarrow \langle R \rangle Rn$ )
CONSTRAINT op-set-pick ( $\langle R \rangle Rs \rightarrow \langle R \rangle Rn$ )
<proof>

```

**lemma** *hom-set-Sigma*[*autoref-hom*]:

```

CONSTRAINT Sigma ( $\langle Ra \rangle Rs \rightarrow (Ra \rightarrow \langle Rb \rangle Rs) \rightarrow \langle \langle Ra, Rb \rangle prod-rel \rangle Rs2$ )
<proof>

```

**definition** *finite-set-rel*  $R \equiv Range R \subseteq Collect (finite)$

**lemma** *finite-set-rel-trigger*: *finite-set-rel*  $R \implies finite-set-rel R$  <proof>

<ML>

end

### 2.1.3 Hashable Interface

**theory** *Intf-Hash*

**imports**

*Main*

*../.. / Lib / HashCode*

*../.. / Lib / Code-Target-ICF*

*Automatic-Refinement. Automatic-Refinement*

**begin**

**type-synonym** 'a eq = 'a ⇒ 'a ⇒ bool  
**type-synonym** 'k bhc = nat ⇒ 'k ⇒ nat

### Abstract and concrete hash functions

**definition** *is-bounded-hashcode* :: ('c × 'a) set ⇒ 'c eq ⇒ 'c bhc ⇒ bool  
**where** *is-bounded-hashcode* R eq bhc ≡  
 ((eq,(=)) ∈ R → R → bool-rel) ∧  
 (∀ n. ∀ x ∈ Domain R. ∀ y ∈ Domain R. eq x y ⟶ bhc n x = bhc n y) ∧  
 (∀ n x. 1 < n ⟶ bhc n x < n)

**definition** *abstract-bounded-hashcode* :: ('c × 'a) set ⇒ 'c bhc ⇒ 'a bhc  
**where** *abstract-bounded-hashcode* Rk bhc n x' ≡  
 if x' ∈ Range Rk  
 then THE c. ∃ x. (x,x') ∈ Rk ∧ bhc n x = c  
 else 0

**lemma** *is-bounded-hashcodeI*[intro]:  
 ((eq,(=)) ∈ R → R → bool-rel) ⟹  
 (∧ x y n. x ∈ Domain R ⟹ y ∈ Domain R ⟹ eq x y ⟹ bhc n x = bhc n y)  
 ⟹  
 (∧ x n. 1 < n ⟹ bhc n x < n) ⟹ *is-bounded-hashcode* R eq bhc  
 ⟨proof⟩

**lemma** *is-bounded-hashcodeD*[dest]:  
**assumes** *is-bounded-hashcode* R eq bhc  
**shows** (eq,(=)) ∈ R → R → bool-rel **and**  
 ∧ n x y. x ∈ Domain R ⟹ y ∈ Domain R ⟹ eq x y ⟹ bhc n x = bhc n y  
**and**  
 ∧ n x. 1 < n ⟹ bhc n x < n  
 ⟨proof⟩

**lemma** *bounded-hashcode-welldefined*:  
**assumes** BHC: *is-bounded-hashcode* Rk eq bhc **and**  
 R1: (x1,x') ∈ Rk **and** R2: (x2,x') ∈ Rk  
**shows** bhc n x1 = bhc n x2  
 ⟨proof⟩

**lemma** *abstract-bhc-correct*[intro]:  
**assumes** *is-bounded-hashcode* Rk eq bhc  
**shows** (bhc, *abstract-bounded-hashcode* Rk bhc) ∈  
 nat-rel → Rk → nat-rel (is (bhc, ?bhc') ∈ -)  
 ⟨proof⟩

**lemma** *abstract-bhc-is-bhc*[intro]:  
**fixes** Rk :: ('c × 'a) set  
**assumes** bhc: *is-bounded-hashcode* Rk eq bhc  
**shows** *is-bounded-hashcode* Id (=) (*abstract-bounded-hashcode* Rk bhc)  
 (is *is-bounded-hashcode* - (=) ?bhc')



*<proof>*

**lemma** *hashable-bhc-is-bhc*[*autoref-ga-rules*]:  
 $\llbracket \text{STRUCT-EQ-tag } eq (=); \text{REL-FORCE-ID } R \rrbracket \implies \text{is-bounded-hashcode } R \text{ eq}$   
*bounded-hashcode-nat*  
*<proof>*

### Default hash map size

**definition** *is-valid-def-hm-size* :: *'k itself*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool*  
**where** *is-valid-def-hm-size type n*  $\equiv$  *n > 1*

**lemma** *hashable-def-size-is-def-size*[*autoref-ga-rules*]:  
**shows** *is-valid-def-hm-size TYPE('k::hashable)* (*def-hashmap-size TYPE('k)*)  
*<proof>*

**end**

### 2.1.4 Orderings By Comparison Operator

**theory** *Intf-Comp*

**imports**

*Automatic-Refinement.Automatic-Refinement*

**begin**

#### Basic Definitions

**datatype** *comp-res* = *LESS* | *EQUAL* | *GREATER*

**consts** *i-comp-res* :: *interface*

**abbreviation** *comp-res-rel*  $\equiv$  *Id* :: (*comp-res*  $\times$  *-*) *set*

**lemmas** [*autoref-rel-intf*] = *REL-INTFI*[*of comp-res-rel i-comp-res*]

**definition** *comp2le comp a b*  $\equiv$

*case comp a b of LESS*  $\Rightarrow$  *True* | *EQUAL*  $\Rightarrow$  *True* | *GREATER*  $\Rightarrow$  *False*

**definition** *comp2lt comp a b*  $\equiv$

*case comp a b of LESS*  $\Rightarrow$  *True* | *EQUAL*  $\Rightarrow$  *False* | *GREATER*  $\Rightarrow$  *False*

**definition** *comp2eq comp a b*  $\equiv$

*case comp a b of LESS*  $\Rightarrow$  *False* | *EQUAL*  $\Rightarrow$  *True* | *GREATER*  $\Rightarrow$  *False*

**locale** *linorder-on* =

**fixes** *D* :: *'a set*

**fixes** *cmp* :: *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *comp-res*

**assumes** *lt-eq*:  $\llbracket x \in D; y \in D \rrbracket \implies \text{cmp } x \ y = \text{LESS} \longleftrightarrow (\text{cmp } y \ x = \text{GREATER})$

**assumes** *refl*[*simp, intro!*]:  $x \in D \implies \text{cmp } x \ x = \text{EQUAL}$

**assumes** *trans*[*trans*]:  
 $\llbracket x \in D; y \in D; z \in D; \text{cmp } x \ y = \text{LESS}; \text{cmp } y \ z = \text{LESS} \rrbracket \implies \text{cmp } x \ z = \text{LESS}$   
 $\llbracket x \in D; y \in D; z \in D; \text{cmp } x \ y = \text{LESS}; \text{cmp } y \ z = \text{EQUAL} \rrbracket \implies \text{cmp } x \ z = \text{LESS}$   
 $\llbracket x \in D; y \in D; z \in D; \text{cmp } x \ y = \text{EQUAL}; \text{cmp } y \ z = \text{LESS} \rrbracket \implies \text{cmp } x \ z = \text{LESS}$   
 $\llbracket x \in D; y \in D; z \in D; \text{cmp } x \ y = \text{EQUAL}; \text{cmp } y \ z = \text{EQUAL} \rrbracket \implies \text{cmp } x \ z = \text{EQUAL}$   
**begin**  
**abbreviation** *le*  $\equiv \text{comp2le } \text{cmp}$   
**abbreviation** *lt*  $\equiv \text{comp2lt } \text{cmp}$   
  
**lemma** *eq-sym*:  $\llbracket x \in D; y \in D \rrbracket \implies \text{cmp } x \ y = \text{EQUAL} \implies \text{cmp } y \ x = \text{EQUAL}$   
 $\langle \text{proof} \rangle$   
**end**

**abbreviation** *linorder*  $\equiv \text{linorder-on } \text{UNIV}$

**lemma** *linorder-to-class*:  
**assumes** *linorder* *cmp*  
**assumes** [*simp*]:  $\bigwedge x \ y. \text{cmp } x \ y = \text{EQUAL} \implies x = y$   
**shows** *class.linorder* (*comp2le* *cmp*) (*comp2lt* *cmp*)  
 $\langle \text{proof} \rangle$

**definition** *dflt-cmp* *le* *lt* *a* *b*  $\equiv$   
*if* *lt* *a* *b* *then* *LESS*  
*else if* *le* *a* *b* *then* *EQUAL*  
*else* *GREATER*

**lemma** (**in** *linorder*) *class-to-linorder*:  
*linorder* (*dflt-cmp* ( $\leq$ ) ( $<$ ))  
 $\langle \text{proof} \rangle$

**lemma** *restrict-linorder*:  $\llbracket \text{linorder-on } D \ \text{cmp} ; D' \subseteq D \rrbracket \implies \text{linorder-on } D' \ \text{cmp}$   
 $\langle \text{proof} \rangle$

## Operations on Linear Orderings

Map with injective function

**definition** *cmp-img* **where** *cmp-img* *f* *cmp* *a* *b*  $\equiv \text{cmp } (f \ a) \ (f \ b)$

**lemma** *img-linorder*[*intro?*]:  
**assumes** *LO*: *linorder-on* (*f*'*D*) *cmp*  
**shows** *linorder-on* *D* (*cmp-img* *f* *cmp*)  
 $\langle \text{proof} \rangle$

Combine

**definition** *cmp-combine* *D1* *cmp1* *D2* *cmp2* *a* *b*  $\equiv$   
*if* *a*  $\in$  *D1*  $\wedge$  *b*  $\in$  *D1* *then* *cmp1* *a* *b*  
*else if* *a*  $\in$  *D1*  $\wedge$  *b*  $\in$  *D2* *then* *LESS*  
*else if* *a*  $\in$  *D2*  $\wedge$  *b*  $\in$  *D1* *then* *GREATER*

*else cmp2 a b*

**lemma** *UnE'*:  
**assumes**  $x \in A \cup B$   
**obtains**  $x \in A \mid x \notin A \quad x \in B$   
 ⟨*proof*⟩

**lemma** *combine-linorder*[*intro?*]:  
**assumes** *linorder-on*  $D1$  *cmp1*  
**assumes** *linorder-on*  $D2$  *cmp2*  
**assumes**  $D = D1 \cup D2$   
**shows** *linorder-on*  $D$  (*cmp-combine*  $D1$  *cmp1*  $D2$  *cmp2*)  
 ⟨*proof*⟩

## Universal Linear Ordering

With Zorn's Lemma, we get a universal linear (even wf) ordering

**definition** *univ-order-rel*  $\equiv$  (*SOME*  $r$ . *well-order-on*  $UNIV$   $r$ )

**definition** *univ-cmp*  $x$   $y$   $\equiv$   
 if  $x=y$  then *EQUAL*  
 else if  $(x,y) \in$  *univ-order-rel* then *LESS*  
 else *GREATER*

**lemma** *univ-wo*: *well-order-on*  $UNIV$  *univ-order-rel*  
 ⟨*proof*⟩

**lemma** *univ-linorder*[*intro?*]: *linorder* *univ-cmp*  
 ⟨*proof*⟩

Extend any linear order to a universal order

**definition** *cmp-extend*  $D$  *cmp*  $\equiv$   
*cmp-combine*  $D$  *cmp*  $UNIV$  *univ-cmp*

**lemma** *extend-linorder*[*intro?*]:  
*linorder-on*  $D$  *cmp*  $\implies$  *linorder* (*cmp-extend*  $D$  *cmp*)  
 ⟨*proof*⟩

## Lexicographic Order on Lists **fun** *cmp-lex* **where**

*cmp-lex* *cmp* [] [] = *EQUAL*  
 | *cmp-lex* *cmp* [] - = *LESS*  
 | *cmp-lex* *cmp* - [] = *GREATER*  
 | *cmp-lex* *cmp* (a#l) (b#m) = (  
   *case* *cmp*  $a$   $b$  *of*  
     *LESS*  $\implies$  *LESS*  
   | *EQUAL*  $\implies$  *cmp-lex* *cmp*  $l$   $m$   
   | *GREATER*  $\implies$  *GREATER*)

**primrec** *cmp-lex'* **where**

```

  cmp-lex' cmp [] m = (case m of []  $\Rightarrow$  EQUAL | -  $\Rightarrow$  LESS)
| cmp-lex' cmp (a#l) m = (case m of []  $\Rightarrow$  GREATER | (b#m)  $\Rightarrow$ 
  (case cmp a b of
    LESS  $\Rightarrow$  LESS
  | EQUAL  $\Rightarrow$  cmp-lex' cmp l m
  | GREATER  $\Rightarrow$  GREATER
  ))

```

**lemma** *cmp-lex-alt*: *cmp-lex cmp l m* = *cmp-lex' cmp l m*  
 <proof>

**lemma** (in *linorder-on*) *lex-linorder*[intro?]:  
*linorder-on* (*lists D*) (*cmp-lex cmp*)  
 <proof>

**Lexicographic Order on Pairs** **fun** *cmp-prod* **where**

```

cmp-prod cmp1 cmp2 (a1,a2) (b1,b2)
= (
  case cmp1 a1 b1 of
    LESS  $\Rightarrow$  LESS
  | EQUAL  $\Rightarrow$  cmp2 a2 b2
  | GREATER  $\Rightarrow$  GREATER)

```

**lemma** *cmp-prod-alt*: *cmp-prod* = ( $\lambda$ *cmp1 cmp2* (*a1,a2*) (*b1,b2*)). (  
 case *cmp1 a1 b1* of  
 LESS  $\Rightarrow$  LESS  
 | EQUAL  $\Rightarrow$  *cmp2 a2 b2*  
 | GREATER  $\Rightarrow$  GREATER))  
 <proof>

**lemma** *prod-linorder*[intro?]:  
**assumes** *A*: *linorder-on A cmp1*  
**assumes** *B*: *linorder-on B cmp2*  
**shows** *linorder-on* (*A* $\times$ *B*) (*cmp-prod cmp1 cmp2*)  
 <proof>

**Universal Ordering for Sets that is Effective for Finite Sets**

**Sorted Lists of Sets** Some more results about sorted lists of finite sets

**lemma** *set-to-map-set-is-map-of*:  
*distinct* (*map fst l*)  $\implies$  *set-to-map* (*set l*) = *map-of l*  
 <proof>

**context** *linorder* **begin**

**lemma** *sorted-list-of-set-eq-nil2*[simp]:  
**assumes** *finite A*

**shows**  $[] = \text{sorted-list-of-set } A \longleftrightarrow A = \{\}$   
 ⟨proof⟩

**lemma** *set-insort[simp]*:  $\text{set } (\text{insort } x \ l) = \text{insert } x \ (\text{set } l)$   
 ⟨proof⟩

**lemma** *sorted-list-of-set-inj-aux*:  
**fixes**  $A \ B :: 'a \ \text{set}$   
**assumes** *finite*  $A$   
**assumes** *finite*  $B$   
**assumes** *sorted-list-of-set*  $A = \text{sorted-list-of-set } B$   
**shows**  $A = B$   
 ⟨proof⟩

**lemma** *sorted-list-of-set-inj*: *inj-on sorted-list-of-set (Collect finite)*  
 ⟨proof⟩

**definition** *sorted-list-of-map*  $m \equiv$   
 $\text{map } (\lambda k. (k, \text{the } (m \ k))) \ (\text{sorted-list-of-set } (\text{dom } m))$

**lemma** *the-sorted-list-of-map*:  
**assumes** *distinct*  $(\text{map } \text{fst } l)$   
**assumes** *sorted*  $(\text{map } \text{fst } l)$   
**shows** *sorted-list-of-map*  $(\text{map-of } l) = l$   
 ⟨proof⟩

**lemma** *map-of-sorted-list-of-map[simp]*:  
**assumes** *FIN*: *finite*  $(\text{dom } m)$   
**shows** *map-of*  $(\text{sorted-list-of-map } m) = m$   
 ⟨proof⟩

**lemma** *sorted-list-of-map-inj-aux*:  
**fixes**  $A \ B :: 'a \rightarrow 'b$   
**assumes** [*simp*]: *finite*  $(\text{dom } A)$   
**assumes** [*simp*]: *finite*  $(\text{dom } B)$   
**assumes**  $E$ : *sorted-list-of-map*  $A = \text{sorted-list-of-map } B$   
**shows**  $A = B$   
 ⟨proof⟩

**lemma** *sorted-list-of-map-inj*:  
*inj-on sorted-list-of-map (Collect (finite o dom))*  
 ⟨proof⟩

**end**

**definition** *cmp-set*  $\text{cmp} \equiv$   
 $\text{cmp-extend } (\text{Collect } \text{finite}) \ ( $\text{cmp-img}$   
 $(\text{linorder.sorted-list-of-set } (\text{comp2le } \text{cmp}))$   
 $(\text{cmp-lex } \text{cmp})$ )$

)

**thm** *img-linorder*

**lemma** *set-ord-linear*[*intro?*]:

*linorder cmp*  $\implies$  *linorder* (*cmp-set cmp*)  
 ⟨*proof*⟩

**definition** *cmp-map cmpk cmpv*  $\equiv$

*cmp-extend* (*Collect* (*finite o dom*)) (*cmp-img*  
 (*linorder.sorted-list-of-map* (*comp2le cmpk*))  
 (*cmp-lex* (*cmp-prod cmpk cmpv*)))  
 )

**lemma** *map-to-set-inj*[*intro!*]: *inj map-to-set*

⟨*proof*⟩

**corollary** *map-to-set-inj'*[*intro!*]: *inj-on map-to-set S*

⟨*proof*⟩

**lemma** *map-ord-linear*[*intro?*]:

**assumes** *A*: *linorder cmpk*  
**assumes** *B*: *linorder cmpv*  
**shows** *linorder* (*cmp-map cmpk cmpv*)  
 ⟨*proof*⟩

**locale** *eq-linorder-on* = *linorder-on* +

**assumes** *cmp-imp-equal*:  $\llbracket x \in D; y \in D \rrbracket \implies \text{cmp } x \ y = \text{EQUAL} \implies x = y$

**begin**

**lemma** *cmp-eq*[*simp*]:  $\llbracket x \in D; y \in D \rrbracket \implies \text{cmp } x \ y = \text{EQUAL} \longleftrightarrow x = y$   
 ⟨*proof*⟩

**end**

**abbreviation** *eq-linorder*  $\equiv$  *eq-linorder-on UNIV*

**lemma** *dflt-cmp-2inv*[*simp*]:

*dflt-cmp* (*comp2le cmp*) (*comp2lt cmp*) = *cmp*  
 ⟨*proof*⟩

**lemma** (**in** *linorder*) *dflt-cmp-inv2*[*simp*]:

**shows**  
 (*comp2le* (*dflt-cmp* ( $\leq$ ) ( $<$ ))) = ( $\leq$ )  
 (*comp2lt* (*dflt-cmp* ( $\leq$ ) ( $<$ ))) = ( $<$ )  
 ⟨*proof*⟩

**lemma** *eq-linorder-class-conv*:

*eq-linorder cmp*  $\longleftrightarrow$  *class.linorder (comp2le cmp) (comp2lt cmp)*  
 ⟨proof⟩

**lemma** (in *linorder*) *class-to-eq-linorder*:  
*eq-linorder (dflt-cmp ( $\leq$ ) ( $<$ ))*  
 ⟨proof⟩

**lemma** *eq-linorder-comp2eq-eq*:  
**assumes** *eq-linorder cmp*  
**shows** *comp2eq cmp = (=)*  
 ⟨proof⟩

**lemma** *restrict-eq-linorder*:  
**assumes** *eq-linorder-on D cmp*  
**assumes** *S: D'  $\subseteq$  D*  
**shows** *eq-linorder-on D' cmp*  
 ⟨proof⟩

**lemma** *combine-eq-linorder[intro?]*:  
**assumes** *A: eq-linorder-on D1 cmp1*  
**assumes** *B: eq-linorder-on D2 cmp2*  
**assumes** *EQ: D = D1  $\cup$  D2*  
**shows** *eq-linorder-on D (cmp-combine D1 cmp1 D2 cmp2)*  
 ⟨proof⟩

**lemma** *img-eq-linorder[intro?]*:  
**assumes** *A: eq-linorder-on (f'D) cmp*  
**assumes** *INJ: inj-on f D*  
**shows** *eq-linorder-on D (cmp-img f cmp)*  
 ⟨proof⟩

**lemma** *univ-eq-linorder[intro?]*:  
**shows** *eq-linorder univ-cmp*  
 ⟨proof⟩

**lemma** *extend-eq-linorder[intro?]*:  
**assumes** *eq-linorder-on D cmp*  
**shows** *eq-linorder (cmp-extend D cmp)*  
 ⟨proof⟩

**lemma** *lex-eq-linorder[intro?]*:  
**assumes** *eq-linorder-on D cmp*  
**shows** *eq-linorder-on (lists D) (cmp-lex cmp)*  
 ⟨proof⟩

**lemma** *prod-eq-linorder[intro?]*:  
**assumes** *eq-linorder-on D1 cmp1*  
**assumes** *eq-linorder-on D2 cmp2*  
**shows** *eq-linorder-on (D1  $\times$  D2) (cmp-prod cmp1 cmp2)*

$\langle proof \rangle$

**lemma** *set-ord-eq-linorder*[*intro?*]:  
 $eq\text{-}linorder\ cmp \implies eq\text{-}linorder\ (cmp\text{-}set\ cmp)$   
 $\langle proof \rangle$

**lemma** *map-ord-eq-linorder*[*intro?*]:  
 $\llbracket eq\text{-}linorder\ cmpk; eq\text{-}linorder\ cmpv \rrbracket \implies eq\text{-}linorder\ (cmp\text{-}map\ cmpk\ cmpv)$   
 $\langle proof \rangle$

**definition** *cmp-unit* ::  $unit \Rightarrow unit \Rightarrow comp\text{-}res$   
**where** [*simp*]:  $cmp\text{-}unit\ u\ v \equiv EQUAL$

**lemma** *cmp-unit-eq-linorder*:  
 $eq\text{-}linorder\ cmp\text{-}unit$   
 $\langle proof \rangle$

## Parametricity

**lemma** *param-cmp-extend*[*param*]:  
**assumes**  $(cmp, cmp') \in R \rightarrow R \rightarrow Id$   
**assumes**  $Range\ R \subseteq D$   
**shows**  $(cmp, cmp\text{-}extend\ D\ cmp') \in R \rightarrow R \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *param-cmp-img*[*param*]:  
 $(cmp\text{-}img, cmp\text{-}img) \in (Ra \rightarrow Rb) \rightarrow (Rb \rightarrow Rb \rightarrow Rc) \rightarrow Ra \rightarrow Ra \rightarrow Rc$   
 $\langle proof \rangle$

**lemma** *param-comp-res*[*param*]:  
 $(LESS, LESS) \in Id$   
 $(EQUAL, EQUAL) \in Id$   
 $(GREATER, GREATER) \in Id$   
 $(case\text{-}comp\text{-}res, case\text{-}comp\text{-}res) \in Ra \rightarrow Ra \rightarrow Ra \rightarrow Id \rightarrow Ra$   
 $\langle proof \rangle$

**term** *cmp-lex*

**lemma** *param-cmp-lex*[*param*]:  
 $(cmp\text{-}lex, cmp\text{-}lex) \in (Ra \rightarrow Rb \rightarrow Id) \rightarrow \langle Ra \rangle list\text{-}rel \rightarrow \langle Rb \rangle list\text{-}rel \rightarrow Id$   
 $\langle proof \rangle$

**term** *cmp-prod*

**lemma** *param-cmp-prod*[*param*]:  
 $(cmp\text{-}prod, cmp\text{-}prod) \in$   
 $(Ra \rightarrow Rb \rightarrow Id) \rightarrow (Rc \rightarrow Rd \rightarrow Id) \rightarrow \langle Ra, Rc \rangle prod\text{-}rel \rightarrow \langle Rb, Rd \rangle prod\text{-}rel \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *param-cmp-unit*[*param*]:  
 $(cmp\text{-}unit, cmp\text{-}unit) \in Id \rightarrow Id \rightarrow Id$



*<proof>*

**lemma** *param-comp2eq[param]*:  $(comp2eq, comp2eq) \in (R \rightarrow R \rightarrow Id) \rightarrow R \rightarrow R \rightarrow Id$   
*<proof>*

**lemma** *cmp-combine-paramD*:  
**assumes**  $(cmp, cmp-combine D1 cmp1 D2 cmp2) \in R \rightarrow R \rightarrow Id$   
**assumes**  $Range R \subseteq D1$   
**shows**  $(cmp, cmp1) \in R \rightarrow R \rightarrow Id$   
*<proof>*

**lemma** *cmp-extend-paramD*:  
**assumes**  $(cmp, cmp-extend D cmp') \in R \rightarrow R \rightarrow Id$   
**assumes**  $Range R \subseteq D$   
**shows**  $(cmp, cmp') \in R \rightarrow R \rightarrow Id$   
*<proof>*

### Tuning of Generated Implementation

**lemma** *[autoref-post-simps]*:  $comp2eq (dftt-cmp (\leq) ((<) :: -::linorder \Rightarrow -)) = (=)$   
*<proof>*

end

## 2.2 Generic Algorithms

### 2.2.1 Generic Set Algorithms

**theory** *Gen-Set*  
**imports** *../Intf/Intf-Set* *../Iterator/Iterator*  
**begin**

**lemma** *foldli-union*: *det-fold-set*  $X (\lambda-. True) insert a ((\cup) a)$   
*<proof>*

**definition** *gen-union*  
 $:: - \Rightarrow ('k \Rightarrow 's2 \Rightarrow 's2)$   
 $\Rightarrow 's1 \Rightarrow 's2 \Rightarrow 's2$

**where**

*gen-union it ins A B*  $\equiv it A (\lambda-. True) ins B$

**lemma** *gen-union[autoref-rules-raw]*:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *INS*: *GEN-OP* *ins Set.insert*  $(Rk \rightarrow \langle Rk \rangle Rs2 \rightarrow \langle Rk \rangle Rs2)$   
**assumes** *IT*: *SIDE-GEN-ALGO*  $(is-set-to-list Rk Rs1 tsl)$

**shows** (*gen-union* ( $\lambda x. \text{foldli } (tsl \ x) \ ins, (\cup)$ )  
 $\in (\langle Rk \rangle Rs1) \rightarrow (\langle Rk \rangle Rs2) \rightarrow (\langle Rk \rangle Rs2)$   
 $\langle proof \rangle$ )

**lemma** *foldli-inter: det-fold-set*  $X$  ( $\lambda-. \text{True}$ )  
 $(\lambda x \ s. \text{if } x \in a \text{ then insert } x \ s \ \text{else } s) \ \{ \}$  ( $\lambda s. s \cap a$ )  
**(is det-fold-set - - ?f - -)**  
 $\langle proof \rangle$ )

**definition** *gen-inter* ::  $- \Rightarrow$   
 $(k \Rightarrow s2 \Rightarrow \text{bool}) \Rightarrow -$   
**where** *gen-inter* *it1* *memb2* *ins3* *empty3* *s1* *s2*  
 $\equiv \text{it1 } s1 \ (\lambda-. \text{True})$   
 $(\lambda x \ s. \text{if } \text{memb2 } x \ s2 \ \text{then } \text{ins3 } x \ s \ \text{else } s) \ \text{empty3}$

**lemma** *gen-inter*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-set-to-list*  $Rk \ Rs1 \ tsl$ )  
**assumes** *MEMB:*  
 $GEN-OP \ \text{memb2} \ (\in) \ (Rk \rightarrow \langle Rk \rangle Rs2 \rightarrow Id)$   
**assumes** *INS:*  
 $GEN-OP \ \text{ins3} \ \text{Set.insert} \ (Rk \rightarrow \langle Rk \rangle Rs3 \rightarrow \langle Rk \rangle Rs3)$   
**assumes** *EMPTY:*  
 $GEN-OP \ \text{empty3} \ \{ \} \ (\langle Rk \rangle Rs3)$   
**shows** (*gen-inter* ( $\lambda x. \text{foldli } (tsl \ x) \ \text{memb2 } \text{ins3 } \text{empty3}, (\cap)$ )  
 $\in (\langle Rk \rangle Rs1) \rightarrow (\langle Rk \rangle Rs2) \rightarrow (\langle Rk \rangle Rs3)$   
 $\langle proof \rangle$ )

**lemma** *foldli-diff:*  
*det-fold-set*  $X$  ( $\lambda-. \text{True}$ ) ( $\lambda x \ s. \text{op-set-delete } x \ s) \ s \ ((-) \ s)$   
 $\langle proof \rangle$ )

**definition** *gen-diff* ::  $(k \Rightarrow s1 \Rightarrow s1) \Rightarrow - \Rightarrow s2 \Rightarrow -$   
**where** *gen-diff* *del1* *it2* *s1* *s2*  
 $\equiv \text{it2 } s2 \ (\lambda-. \text{True}) \ (\lambda x \ s. \text{del1 } x \ s) \ s1$

**lemma** *gen-diff*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *DEL:*  
 $GEN-OP \ \text{del1} \ \text{op-set-delete} \ (Rk \rightarrow \langle Rk \rangle Rs1 \rightarrow \langle Rk \rangle Rs1)$   
**assumes** *IT: SIDE-GEN-ALGO* (*is-set-to-list*  $Rk \ Rs2 \ it2$ )  
**shows** (*gen-diff* *del1* ( $\lambda x. \text{foldli } (it2 \ x), (-)$ )  
 $\in (\langle Rk \rangle Rs1) \rightarrow (\langle Rk \rangle Rs2) \rightarrow (\langle Rk \rangle Rs1)$   
 $\langle proof \rangle$ )

**lemma** *foldli-ball-aux:*  
 $\text{foldli } l \ (\lambda x. x) \ (\lambda x -. P \ x) \ b \longleftrightarrow b \wedge \text{Ball } (\text{set } l) \ P$   
 $\langle proof \rangle$ )

**lemma** *foldli-ball*: *det-fold-set*  $X (\lambda x. x) (\lambda x -. P x) \text{ True } (\lambda s. \text{Ball } s P)$   
 $\langle \text{proof} \rangle$

**definition** *gen-ball* ::  $- \Rightarrow 's \Rightarrow ('k \Rightarrow \text{bool}) \Rightarrow -$   
**where** *gen-ball* *it s P*  $\equiv \text{it } s (\lambda x. x) (\lambda x -. P x) \text{ True}$

**lemma** *gen-ball*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-set-to-list Rk Rs it*)  
**shows** (*gen-ball*  $(\lambda x. \text{foldli } (it x), \text{Ball}) \in \langle Rk \rangle Rs \rightarrow (Rk \rightarrow Id) \rightarrow Id$ )  
 $\langle \text{proof} \rangle$

**lemma** *foldli-bex-aux*: *foldli*  $l (\lambda x. \neg x) (\lambda x -. P x) b \longleftrightarrow b \vee \text{Bex } (set l) P$   
 $\langle \text{proof} \rangle$

**lemma** *foldli-bex*: *det-fold-set*  $X (\lambda x. \neg x) (\lambda x -. P x) \text{ False } (\lambda s. \text{Bex } s P)$   
 $\langle \text{proof} \rangle$

**definition** *gen-bex* ::  $- \Rightarrow 's \Rightarrow ('k \Rightarrow \text{bool}) \Rightarrow -$   
**where** *gen-bex* *it s P*  $\equiv \text{it } s (\lambda x. \neg x) (\lambda x -. P x) \text{ False}$

**lemma** *gen-bex*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-set-to-list Rk Rs it*)  
**shows** (*gen-bex*  $(\lambda x. \text{foldli } (it x), \text{Bex}) \in \langle Rk \rangle Rs \rightarrow (Rk \rightarrow Id) \rightarrow Id$ )  
 $\langle \text{proof} \rangle$

**lemma** *ball-subseteq*:  
 $(\text{Ball } s1 (\lambda x. x \in s2)) \longleftrightarrow s1 \subseteq s2$   
 $\langle \text{proof} \rangle$

**definition** *gen-subseteq*  
::  $('s1 \Rightarrow ('k \Rightarrow \text{bool}) \Rightarrow \text{bool}) \Rightarrow ('k \Rightarrow 's2 \Rightarrow \text{bool}) \Rightarrow -$   
**where** *gen-subseteq* *ball1 mem2 s1 s2*  $\equiv \text{ball1 } s1 (\lambda x. \text{mem2 } x s2)$

**lemma** *gen-subseteq*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *GEN-OP ball1 Ball* ( $\langle Rk \rangle Rs1 \rightarrow (Rk \rightarrow Id) \rightarrow Id$ )  
**assumes** *GEN-OP mem2* ( $\in$ ) ( $Rk \rightarrow \langle Rk \rangle Rs2 \rightarrow Id$ )  
**shows** (*gen-subseteq* *ball1 mem2, ( $\subseteq$ )*)  $\in \langle Rk \rangle Rs1 \rightarrow \langle Rk \rangle Rs2 \rightarrow Id$   
 $\langle \text{proof} \rangle$

**definition** *gen-equal* *ss1 ss2 s1 s2*  $\equiv ss1 s1 s2 \wedge ss2 s2 s1$

**lemma** *gen-equal*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *GEN-OP ss1* ( $\subseteq$ ) ( $\langle Rk \rangle Rs1 \rightarrow \langle Rk \rangle Rs2 \rightarrow Id$ )  
**assumes** *GEN-OP ss2* ( $\subseteq$ ) ( $\langle Rk \rangle Rs2 \rightarrow \langle Rk \rangle Rs1 \rightarrow Id$ )  
**shows** (*gen-equal* *ss1 ss2, (=)*)  $\in \langle Rk \rangle Rs1 \rightarrow \langle Rk \rangle Rs2 \rightarrow Id$

$\langle proof \rangle$

**lemma** *foldli-card-aux*:  $distinct\ l \implies foldli\ l\ (\lambda-. True)$   
 $(\lambda- n. Suc\ n)\ n = n + card\ (set\ l)$   
 $\langle proof \rangle$

**lemma** *foldli-card*:  $det-fold-set\ X\ (\lambda-. True)\ (\lambda- n. Suc\ n)\ 0\ card$   
 $\langle proof \rangle$

**definition** *gen-card where*  
 $gen-card\ it\ s \equiv it\ s\ (\lambda x. True)\ (\lambda- n. Suc\ n)\ 0$

**lemma** *gen-card[autoref-rules-raw]*:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO (is-set-to-list Rk Rs it)*  
**shows**  $(gen-card\ (\lambda x. foldli\ (it\ x)), card) \in \langle Rk \rangle Rs \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *fold-set*:  $fold\ Set.insert\ l\ s = s \cup set\ l$   
 $\langle proof \rangle$

**definition** *gen-set :: 's  $\Rightarrow$  ('k  $\Rightarrow$  's  $\Rightarrow$  's)  $\Rightarrow$  - where*  
 $gen-set\ emp\ ins\ l = fold\ ins\ l\ emp$

**lemma** *gen-set[autoref-rules-raw]*:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *EMPTY:*  
 $GEN-OP\ emp\ \{\}\ (\langle Rk \rangle Rs)$   
**assumes** *INS:*  
 $GEN-OP\ ins\ Set.insert\ (Rk \rightarrow Rk)\ Rs \rightarrow \langle Rk \rangle Rs$   
**shows**  $(gen-set\ emp\ ins, set) \in \langle Rk \rangle list-rel \rightarrow \langle Rk \rangle Rs$   
 $\langle proof \rangle$

**lemma** *ball-isEmpty*:  $op-set-isEmpty\ s = (\forall x \in s. False)$   
 $\langle proof \rangle$

**definition** *gen-isEmpty :: ('s  $\Rightarrow$  ('k  $\Rightarrow$  bool)  $\Rightarrow$  bool)  $\Rightarrow$  's  $\Rightarrow$  bool where*  
 $gen-isEmpty\ ball\ s \equiv ball\ s\ (\lambda-. False)$

**lemma** *gen-isEmpty[autoref-rules-raw]*:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *GEN-OP ball Ball ( $\langle Rk \rangle Rs \rightarrow (Rk \rightarrow Id) \rightarrow Id$ )*  
**shows**  $(gen-isEmpty\ ball, op-set-isEmpty) \in \langle Rk \rangle Rs \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *foldli-size-abort-aux*:  
 $\llbracket n0 \leq m; distinct\ l \rrbracket \implies$   
 $foldli\ l\ (\lambda n. n < m)\ (\lambda- n. Suc\ n)\ n0 = min\ m\ (n0 + card\ (set\ l))$   
 $\langle proof \rangle$

**lemma** *foldli-size-abort*:

*det-fold-set*  $X$   $(\lambda n. n < m)$   $(\lambda -. \text{Suc } n)$   $0$   $(\text{op-set-size-abort } m)$   
 $\langle \text{proof} \rangle$

**definition** *gen-size-abort where*

*gen-size-abort*  $it$   $m$   $s \equiv it$   $s$   $(\lambda n. n < m)$   $(\lambda -. \text{Suc } n)$   $0$

**lemma** *gen-size-abort[autoref-rules-raw]*:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *IT: SIDE-GEN-ALGO*  $(is\text{-set-to-list } Rk \ Rs \ it)$

**shows**  $(gen\text{-size-abort } (\lambda x. \text{foldli } (it \ x)), \text{op-set-size-abort})$

$\in Id \rightarrow \langle Rk \rangle Rs \rightarrow Id$

$\langle \text{proof} \rangle$

**lemma** *size-abort-isSng*:  $op\text{-set-isSng } s \longleftrightarrow op\text{-set-size-abort } 2 \ s = 1$

$\langle \text{proof} \rangle$

**definition** *gen-isSng* ::  $(nat \Rightarrow 's \Rightarrow nat) \Rightarrow -$  **where**

*gen-isSng*  $sizea$   $s \equiv sizea$   $2 \ s = 1$

**lemma** *gen-isSng[autoref-rules-raw]*:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *GEN-OP*  $sizea$   $op\text{-set-size-abort } (Id \rightarrow (\langle Rk \rangle Rs) \rightarrow Id)$

**shows**  $(gen\text{-isSng } sizea, op\text{-set-isSng}) \in \langle Rk \rangle Rs \rightarrow Id$

$\langle \text{proof} \rangle$

**lemma** *foldli-disjoint-aux*:

*foldli*  $l1$   $(\lambda x. x)$   $(\lambda x -. \neg x \in s2)$   $b \longleftrightarrow b \wedge op\text{-set-disjoint } (set \ l1) \ s2$

$\langle \text{proof} \rangle$

**lemma** *foldli-disjoint*:

*det-fold-set*  $X$   $(\lambda x. x)$   $(\lambda x -. \neg x \in s2)$   $True$   $(\lambda s1. op\text{-set-disjoint } s1 \ s2)$

$\langle \text{proof} \rangle$

**definition** *gen-disjoint*

::  $- \Rightarrow ('k \Rightarrow 's2 \Rightarrow bool) \Rightarrow -$

**where** *gen-disjoint*  $it1$   $mem2$   $s1$   $s2$

$\equiv it1 \ s1$   $(\lambda x. x)$   $(\lambda x -. \neg mem2 \ x \ s2)$   $True$

**lemma** *gen-disjoint[autoref-rules-raw]*:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *IT: SIDE-GEN-ALGO*  $(is\text{-set-to-list } Rk \ Rs1 \ it1)$

**assumes** *MEM: GEN-OP*  $mem2$   $(\in)$   $(Rk \rightarrow \langle Rk \rangle Rs2 \rightarrow Id)$

**shows**  $(gen\text{-disjoint } (\lambda x. \text{foldli } (it1 \ x)) \ mem2, op\text{-set-disjoint})$

$\in \langle Rk \rangle Rs1 \rightarrow \langle Rk \rangle Rs2 \rightarrow Id$

$\langle \text{proof} \rangle$

**lemma** *foldli-filter-aux*:

$\text{foldli } l \ (\lambda-. \text{ True}) \ (\lambda x \ s. \text{ if } P \ x \ \text{then insert } x \ s \ \text{else } s) \ s0$   
 $= s0 \cup \text{op-set-filter } P \ (\text{set } l)$   
 $\langle \text{proof} \rangle$

**lemma** *foldli-filter*:

$\text{det-fold-set } X \ (\lambda-. \text{ True}) \ (\lambda x \ s. \text{ if } P \ x \ \text{then insert } x \ s \ \text{else } s) \ \{\}$   
 $(\text{op-set-filter } P)$   
 $\langle \text{proof} \rangle$

**definition** *gen-filter*

**where** *gen-filter* *it1 emp2 ins2 P s1*  $\equiv$   
 $it1 \ s1 \ (\lambda-. \text{ True}) \ (\lambda x \ s. \text{ if } P \ x \ \text{then ins2 } x \ s \ \text{else } s) \ emp2$

**lemma** *gen-filter[autoref-rules-raw]*:

**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-set-to-list Rk Rs1 it1*)  
**assumes** *INS*:  
 $GEN-OP \ ins2 \ Set.insert \ (Rk \rightarrow \langle Rk \rangle Rs2 \rightarrow \langle Rk \rangle Rs2)$   
**assumes** *EMPTY*:  
 $GEN-OP \ empty2 \ \{\} \ (\langle Rk \rangle Rs2)$   
**shows** (*gen-filter* ( $\lambda x. \text{foldli } (it1 \ x) \ empty2 \ ins2, \text{op-set-filter}$ )  
 $\in (Rk \rightarrow Id) \rightarrow (\langle Rk \rangle Rs1) \rightarrow (\langle Rk \rangle Rs2)$ )  
 $\langle \text{proof} \rangle$

**lemma** *foldli-image-aux*:

$\text{foldli } l \ (\lambda-. \text{ True}) \ (\lambda x \ s. \text{ insert } (f \ x) \ s) \ s0$   
 $= s0 \cup f'(\text{set } l)$   
 $\langle \text{proof} \rangle$

**lemma** *foldli-image*:

$\text{det-fold-set } X \ (\lambda-. \text{ True}) \ (\lambda x \ s. \text{ insert } (f \ x) \ s) \ \{\}$   
 $(\langle \cdot \rangle f)$   
 $\langle \text{proof} \rangle$

**definition** *gen-image*

**where** *gen-image* *it1 emp2 ins2 f s1*  $\equiv$   
 $it1 \ s1 \ (\lambda-. \text{ True}) \ (\lambda x \ s. \text{ ins2 } (f \ x) \ s) \ emp2$

**lemma** *gen-image[autoref-rules-raw]*:

**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-set-to-list Rk Rs1 it1*)  
**assumes** *INS*:  
 $GEN-OP \ ins2 \ Set.insert \ (Rk' \rightarrow \langle Rk' \rangle Rs2 \rightarrow \langle Rk' \rangle Rs2)$   
**assumes** *EMPTY*:  
 $GEN-OP \ empty2 \ \{\} \ (\langle Rk' \rangle Rs2)$   
**shows** (*gen-image* ( $\lambda x. \text{foldli } (it1 \ x) \ empty2 \ ins2, \langle \cdot \rangle$ )  
 $\in (Rk \rightarrow Rk') \rightarrow (\langle Rk \rangle Rs1) \rightarrow (\langle Rk' \rangle Rs2)$ )  
 $\langle \text{proof} \rangle$

**lemma** *foldli-pick*:

**assumes**  $l \neq []$

**obtains**  $x$  **where**  $x \in \text{set } l$

**and** (*foldli*  $l$  (*case-option* *True* ( $\lambda \cdot$  *False*)) ( $\lambda x \cdot$  *Some*  $x$ ) *None*) = *Some*  $x$   
*<proof>*

**definition** *gen-pick where*

*gen-pick*  $it$   $s \equiv$

(*the* ( $it$   $s$  (*case-option* *True* ( $\lambda \cdot$  *False*)) ( $\lambda x \cdot$  *Some*  $x$ ) *None*))

**context** **begin** *interpretation* *autoref-syn* *<proof>*

**lemma** *gen-pick[autoref-rules-raw]*:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *IT*: *SIDE-GEN-ALGO* (*is-set-to-list*  $Rk$   $Rs$   $it$ )

**assumes** *NE*: *SIDE-PRECOND* ( $s' \neq \{\}$ )

**assumes** *SREF*:  $(s, s') \in \langle Rk \rangle Rs$

**shows** (*RETURN* (*gen-pick* ( $\lambda x$ . *foldli* ( $it$   $x$ )  $s$ ),

(*OP* *op-set-pick*  $:: \langle Rk \rangle Rs \rightarrow \langle Rk \rangle nres\text{-}rel \$s' \in \langle Rk \rangle nres\text{-}rel$

*<proof>*)

**end**

**definition** *gen-Sigma*

**where** *gen-Sigma*  $it1$   $it2$  *empX* *insX*  $s1$   $f2 \equiv$

$it1$   $s1$  ( $\lambda \cdot$  *True*) ( $\lambda x$   $s$ .

$it2$  ( $f2$   $x$ ) ( $\lambda \cdot$  *True*) ( $\lambda y$   $s$ . *insX* ( $x, y$ )  $s$ )  $s$

) *empX*

**lemma** *foldli-Sigma-aux*:

**fixes**  $s :: 's1\text{-}impl$  **and**  $s' :: 'k$  *set*

**fixes**  $f :: 'k\text{-}impl \Rightarrow 's2\text{-}impl$  **and**  $f' :: 'k \Rightarrow 'l$  *set*

**fixes**  $s0 :: 'kl\text{-}impl$  **and**  $s0' :: ('k \times 'l)$  *set*

**assumes** *IT1*: *is-set-to-list*  $Rk$   $Rs1$   $it1$

**assumes** *IT2*: *is-set-to-list*  $Rl$   $Rs2$   $it2$

**assumes** *INS*:

(*insX*, *Set.insert*)  $\in$

( $\langle Rk, Rl \rangle \text{prod-rel} \rightarrow \langle Rk, Rl \rangle \text{prod-rel}$ )  $Rs3 \rightarrow \langle Rk, Rl \rangle \text{prod-rel}$ )  $Rs3$ )

**assumes** *SOR*:  $(s0, s0') \in \langle Rk, Rl \rangle \text{prod-rel}$ )  $Rs3$

**assumes** *SR*:  $(s, s') \in \langle Rk \rangle Rs1$

**assumes** *FR*:  $(f, f') \in Rk \rightarrow \langle Rl \rangle Rs2$

**shows** (*foldli* ( $it1$   $s$ ) ( $\lambda \cdot$  *True*) ( $\lambda x$   $s$ .

$foldli$  ( $it2$  ( $f$   $x$ )) ( $\lambda \cdot$  *True*) ( $\lambda y$   $s$ . *insX* ( $x, y$ )  $s$ )  $s$

)  $s0, s0' \cup \text{Sigma } s' f'$ )

$\in \langle Rk, Rl \rangle \text{prod-rel}$ )  $Rs3$

*<proof>*)

**lemma** *gen-Sigma*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT1: SIDE-GEN-ALGO* (*is-set-to-list Rk Rs1 it1*)  
**assumes** *IT2: SIDE-GEN-ALGO* (*is-set-to-list Rl Rs2 it2*)  
**assumes** *EMPTY*:  
*GEN-OP empX*  $\{\}$   $\langle\langle Rk, Rl \rangle prod-rel \rangle Rs3$   
**assumes** *INS*:  
*GEN-OP insX* *Set.insert*  
 $\langle\langle Rk, Rl \rangle prod-rel \rightarrow \langle\langle Rk, Rl \rangle prod-rel \rangle Rs3 \rightarrow \langle\langle Rk, Rl \rangle prod-rel \rangle Rs3$   
**shows** (*gen-Sigma* ( $\lambda x. foldli$  (*it1* *x*)) ( $\lambda x. foldli$  (*it2* *x*)) *empX insX, Sigma*)  
 $\in \langle\langle Rk \rangle Rs1 \rangle \rightarrow (Rk \rightarrow \langle Rl \rangle Rs2) \rightarrow \langle\langle Rk, Rl \rangle prod-rel \rangle Rs3$   
 $\langle proof \rangle$

**lemma** *gen-cart*:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** [*param*]: (*sigma, Sigma*)  $\in \langle\langle Rx \rangle Rxs \rangle \rightarrow (Rx \rightarrow \langle Ry \rangle Rys) \rightarrow \langle Rx \times_r$   
 $Ry \rangle Rsp$   
**shows** ( $\lambda x y. sigma$  *x* ( $\lambda \cdot y$ ), *op-set-cart*)  $\in \langle\langle Rx \rangle Rxs \rangle \rightarrow \langle\langle Ry \rangle Rys \rangle \rightarrow \langle Rx \times_r$   
 $Ry \rangle Rsp$   
 $\langle proof \rangle$   
**lemmas** [*autoref-rules*] = *gen-cart*[*OF - GEN-OP-D*]

**context begin interpretation** *autoref-syn*  $\langle proof \rangle$

**lemma** *op-set-to-sorted-list-autoref*[*autoref-rules*]:  
**assumes** *SIDE-GEN-ALGO* (*is-set-to-sorted-list ordR Rk Rs tsl*)  
**shows** ( $\lambda si. RETURN$  (*tsl si*), *OP* (*op-set-to-sorted-list ordR*))  
 $\in \langle Rk \rangle Rs \rightarrow \langle\langle Rk \rangle list-rel \rangle nres-rel$   
 $\langle proof \rangle$

**lemma** *op-set-to-list-autoref*[*autoref-rules*]:  
**assumes** *SIDE-GEN-ALGO* (*is-set-to-sorted-list ordR Rk Rs tsl*)  
**shows** ( $\lambda si. RETURN$  (*tsl si*), *op-set-to-list*)  
 $\in \langle Rk \rangle Rs \rightarrow \langle\langle Rk \rangle list-rel \rangle nres-rel$   
 $\langle proof \rangle$

**end**

**lemma** *foldli-Union*: *det-fold-set X* ( $\lambda \cdot True$ ) ( $\cup$ )  $\{\}$  *Union*  
 $\langle proof \rangle$

**definition** *gen-Union*  
 $:: - \Rightarrow 's3 \Rightarrow ('s2 \Rightarrow 's3 \Rightarrow 's3)$   
 $\Rightarrow 's1 \Rightarrow 's3$

**where**  
*gen-Union it emp un X*  $\equiv it X$  ( $\lambda \cdot True$ ) *un emp*

**lemma** *gen-Union*[*autoref-rules-raw*]:



```

assumes PRIO-TAG-GEN-ALGO
assumes EMP: GEN-OP emp {} ( $\langle Rk \rangle Rs3$ )
assumes UN: GEN-OP un ( $\cup$ ) ( $\langle Rk \rangle Rs2 \rightarrow \langle Rk \rangle Rs3 \rightarrow \langle Rk \rangle Rs3$ )
assumes IT: SIDE-GEN-ALGO (is-set-to-list ( $\langle Rk \rangle Rs2$ ) Rs1 tsl)
shows (gen-Union ( $\lambda x. foldli (tsl x)$ ) emp un, Union)  $\in \langle \langle Rk \rangle Rs2 \rangle Rs1 \rightarrow \langle Rk \rangle Rs3$ 
 $\langle proof \rangle$ 

```

```

definition atLeastLessThan-impl a b  $\equiv do \{$ 
  ( $-, r$ )  $\leftarrow$  WHILET ( $\lambda(i, r). i < b$ ) ( $\lambda(i, r). RETURN (i+1, insert i r)$ ) (a, {});
  RETURN r
 $\}$ 

```

```

lemma atLeastLessThan-impl-correct:
  atLeastLessThan-impl a b  $\leq$  SPEC ( $\lambda r. r = \{a..<b::nat\}$ )
 $\langle proof \rangle$ 

```

```

schematic-goal atLeastLessThan-code-aux:
  notes [autoref-rules] = IdI[of a] IdI[of b]
  assumes [autoref-rules]: (emp, {})  $\in Rs$ 
  assumes [autoref-rules]: (ins, insert)  $\in nat-rel \rightarrow Rs \rightarrow Rs$ 
  shows ( $?c, atLeastLessThan-impl$ )
   $\in nat-rel \rightarrow nat-rel \rightarrow \langle Rs \rangle nres-rel$ 
 $\langle proof \rangle$ 

```

```

concrete-definition atLeastLessThan-code uses atLeastLessThan-code-aux

```

```

schematic-goal atLeastLessThan-tr-aux:
  RETURN ?c  $\leq atLeastLessThan-code emp ins a b$ 
 $\langle proof \rangle$ 

```

```

concrete-definition atLeastLessThan-tr
  for emp ins a b uses atLeastLessThan-tr-aux

```

```

lemma atLeastLessThan-gen[autoref-rules]:
  assumes PRIO-TAG-GEN-ALGO
  assumes GEN-OP emp {} Rs
  assumes GEN-OP ins insert ( $nat-rel \rightarrow Rs \rightarrow Rs$ )
  shows (atLeastLessThan-tr emp ins, atLeastLessThan)
   $\in nat-rel \rightarrow nat-rel \rightarrow Rs$ 
 $\langle proof \rangle$ 

```

```

end

```

## 2.2.2 Generic Map Algorithms

```

theory Gen-Map
imports ../Intf/Intf-Map ../Iterator/Iterator
begin
  lemma map-to-set-distinct-conv:
    assumes distinct tsl' and map-to-set m' = set tsl'
    shows distinct (map fst tsl')

```

$\langle proof \rangle$

**lemma** *foldli-add: det-fold-map X*  
 $(\lambda-. True) (\lambda(k,v) m. op-map-update k v m) m ((++) m)$   
 $\langle proof \rangle$

**definition** *gen-add*  
 $:: ('s2 \Rightarrow -) \Rightarrow ('k \Rightarrow 'v \Rightarrow 's1 \Rightarrow 's1) \Rightarrow 's1 \Rightarrow 's2 \Rightarrow 's1$   
**where**  
 $gen-add\ it\ upd\ A\ B \equiv it\ B\ (\lambda-. True) (\lambda(k,v) m. upd\ k\ v\ m)\ A$

**lemma** *gen-add[autoref-rules-raw]:*  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *UPD: GEN-OP ins op-map-update (Rk→Rv→⟨Rk,Rv⟩Rs1→⟨Rk,Rv⟩Rs1)*  
**assumes** *IT: SIDE-GEN-ALGO (is-map-to-list Rk Rv Rs2 tsl)*  
**shows**  $(gen-add\ (foldli\ o\ tsl)\ ins, (++))$   
 $\in (\langle Rk, Rv \rangle Rs1) \rightarrow (\langle Rk, Rv \rangle Rs2) \rightarrow (\langle Rk, Rv \rangle Rs1)$   
 $\langle proof \rangle$

**lemma** *foldli-restrict: det-fold-map X (λ-. True)*  
 $(\lambda(k,v) m. if\ P\ (k,v)\ then\ op-map-update\ k\ v\ m\ else\ m)\ Map.empty$   
 $(op-map-restrict\ P)\ (is\ det-fold-map\ -\ -\ ?f\ -\ -)$   
 $\langle proof \rangle$

**definition** *gen-restrict*  $:: ('s1 \Rightarrow -) \Rightarrow -$   
**where** *gen-restrict it upd emp P m*  
 $\equiv it\ m\ (\lambda-. True) (\lambda(k,v) m. if\ P\ (k,v)\ then\ upd\ k\ v\ m\ else\ m)\ emp$

**lemma** *gen-restrict[autoref-rules-raw]:*  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO (is-map-to-list Rk Rv Rs1 tsl)*  
**assumes** *INS:*  
 $GEN-OP\ upd\ op-map-update\ (Rk \rightarrow Rv \rightarrow \langle Rk, Rv \rangle Rs2 \rightarrow \langle Rk, Rv \rangle Rs2)$   
**assumes** *EMPTY:*  
 $GEN-OP\ emp\ Map.empty\ (\langle Rk, Rv \rangle Rs2)$   
**shows**  $(gen-restrict\ (foldli\ o\ tsl)\ upd\ emp, op-map-restrict)$   
 $\in (\langle Rk, Rv \rangle prod-rel \rightarrow Id) \rightarrow (\langle Rk, Rv \rangle Rs1) \rightarrow (\langle Rk, Rv \rangle Rs2)$   
 $\langle proof \rangle$

**lemma** *fold-map-of:*  
 $fold\ (\lambda(k,v) s. op-map-update\ k\ v\ s)\ (rev\ l)\ Map.empty = map-of\ l$   
 $\langle proof \rangle$

**definition** *gen-map-of*  $:: 'm \Rightarrow ('k \Rightarrow 'v \Rightarrow 'm \Rightarrow 'm) \Rightarrow -$  **where**  
 $gen-map-of\ emp\ upd\ l \equiv fold\ (\lambda(k,v) s. upd\ k\ v\ s)\ (rev\ l)\ emp$

**lemma** *gen-map-of*[*autoref-rules-raw*]:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *UPD*: *GEN-OP upd op-map-update* ( $Rk \rightarrow Rv \rightarrow \langle Rk, Rv \rangle Rm \rightarrow \langle Rk, Rv \rangle Rm$ )

**assumes** *EMPTY*: *GEN-OP emp Map.empty* ( $\langle Rk, Rv \rangle Rm$ )

**shows** (*gen-map-of emp upd, map-of*)  $\in \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rightarrow \langle Rk, Rv \rangle Rm$   
 $\langle \text{proof} \rangle$

**lemma** *foldli-ball-aux*:

*distinct (map fst l)  $\implies$  foldli l ( $\lambda x. x$ ) ( $\lambda x -. P x$ ) b*

$\longleftrightarrow b \wedge \text{op-map-ball (map-of l) P}$

$\langle \text{proof} \rangle$

**lemma** *foldli-ball*:

*det-fold-map X ( $\lambda x. x$ ) ( $\lambda x -. P x$ ) True ( $\lambda m. \text{op-map-ball m P}$ )*

$\langle \text{proof} \rangle$

**definition** *gen-ball* :: ( $'m \Rightarrow -$ )  $\Rightarrow -$  **where**

*gen-ball it m P  $\equiv$  it m ( $\lambda x. x$ ) ( $\lambda x -. P x$ ) True*

**lemma** *gen-ball*[*autoref-rules-raw*]:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *IT*: *SIDE-GEN-ALGO (is-map-to-list Rk Rv Rm tsl)*

**shows** (*gen-ball (foldli o tsl), op-map-ball*)

$\in \langle Rk, Rv \rangle Rm \rightarrow (\langle Rk, Rv \rangle \text{prod-rel} \rightarrow \text{Id}) \rightarrow \text{Id}$

$\langle \text{proof} \rangle$

**lemma** *foldli-bex-aux*:

*distinct (map fst l)  $\implies$  foldli l ( $\lambda x. \neg x$ ) ( $\lambda x -. P x$ ) b*

$\longleftrightarrow b \vee \text{op-map-bex (map-of l) P}$

$\langle \text{proof} \rangle$

**lemma** *foldli-bex*:

*det-fold-map X ( $\lambda x. \neg x$ ) ( $\lambda x -. P x$ ) False ( $\lambda m. \text{op-map-bex m P}$ )*

$\langle \text{proof} \rangle$

**definition** *gen-bex* :: ( $'m \Rightarrow -$ )  $\Rightarrow -$  **where**

*gen-bex it m P  $\equiv$  it m ( $\lambda x. \neg x$ ) ( $\lambda x -. P x$ ) False*

**lemma** *gen-bex*[*autoref-rules-raw*]:

**assumes** *PRIO-TAG-GEN-ALGO*

**assumes** *IT*: *SIDE-GEN-ALGO (is-map-to-list Rk Rv Rm tsl)*

**shows** (*gen-bex (foldli o tsl), op-map-bex*)

$\in \langle Rk, Rv \rangle Rm \rightarrow (\langle Rk, Rv \rangle \text{prod-rel} \rightarrow \text{Id}) \rightarrow \text{Id}$

$\langle \text{proof} \rangle$

**lemma** *ball-isEmpty*: *op-map-isEmpty m = op-map-ball m ( $\lambda -. False$ )*

$\langle \text{proof} \rangle$

**definition** *gen-isEmpty ball m  $\equiv$  ball m ( $\lambda -. False$ )*

**lemma** *gen-isEmpty*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *BALL*:  
 $GEN-OP\ ball\ op-map-ball\ (\langle Rk, Rv \rangle Rm \rightarrow (\langle Rk, Rv \rangle prod-rel \rightarrow Id) \rightarrow Id)$   
**shows** (*gen-isEmpty ball, op-map-isEmpty*)  
 $\in \langle Rk, Rv \rangle Rm \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *foldli-size-aux: distinct (map fst l)*  
 $\implies foldli\ l\ (\lambda-. True)\ (\lambda-. n. Suc\ n)\ n = n + op-map-size\ (map-of\ l)$   
 $\langle proof \rangle$

**lemma** *foldli-size: det-fold-map X* ( $\lambda-. True$ ) ( $\lambda-. n. Suc\ n$ ) 0 *op-map-size*  
 $\langle proof \rangle$

**definition** *gen-size* :: ( $'m \Rightarrow -$ )  $\Rightarrow -$   
**where** *gen-size it m*  $\equiv it\ m\ (\lambda-. True)\ (\lambda-. n. Suc\ n)\ 0$

**lemma** *gen-size*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-map-to-list Rk Rv Rm tsl*)  
**shows** (*gen-size (foldli o tsl), op-map-size*)  $\in \langle Rk, Rv \rangle Rm \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *foldli-size-abort-aux*:  
 $\llbracket n0 \leq m; distinct\ (map\ fst\ l) \rrbracket \implies$   
 $foldli\ l\ (\lambda n. n < m)\ (\lambda-. n. Suc\ n)\ n0 = min\ m\ (n0 + card\ (dom\ (map-of\ l)))$   
 $\langle proof \rangle$

**lemma** *foldli-size-abort*:  
 $det-fold-map\ X\ (\lambda n. n < m)\ (\lambda-. n. Suc\ n)\ 0\ (op-map-size-abort\ m)$   
 $\langle proof \rangle$

**definition** *gen-size-abort* :: ( $'s \Rightarrow -$ )  $\Rightarrow -$  **where**  
 $gen-size-abort\ it\ m\ s \equiv it\ s\ (\lambda n. n < m)\ (\lambda-. n. Suc\ n)\ 0$

**lemma** *gen-size-abort*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-map-to-list Rk Rv Rm tsl*)  
**shows** (*gen-size-abort (foldli o tsl), op-map-size-abort*)  
 $\in Id \rightarrow \langle Rk, Rv \rangle Rm \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *size-abort-isSng*:  $op-map-isSng\ s \longleftrightarrow op-map-size-abort\ 2\ s = 1$   
 $\langle proof \rangle$

**definition** *gen-isSng* :: ( $nat \Rightarrow 's \Rightarrow nat$ )  $\Rightarrow -$  **where**  
 $gen-isSng\ sizea\ s \equiv sizea\ 2\ s = 1$

**lemma** *gen-isSng*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *GEN-OP sizea op-map-size-abort* ( $Id \rightarrow (\langle Rk, Rv \rangle Rm) \rightarrow Id$ )  
**shows** (*gen-isSng sizea, op-map-isSng*)  
 $\in \langle Rk, Rv \rangle Rm \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *foldli-pick*:  
**assumes**  $l \neq []$   
**obtains**  $k v$  **where**  $(k, v) \in set\ l$   
**and** (*foldli l (case-option True (λ-. False))*) ( $\lambda x . Some\ x$ ) *None*)  
 $= Some\ (k, v)$   
 $\langle proof \rangle$

**definition** *gen-pick where*  
*gen-pick it s*  $\equiv$   
*(the (it s (case-option True (λ-. False))*) ( $\lambda x . Some\ x$ ) *None*)

**context begin interpretation** *autoref-syn*  $\langle proof \rangle$

**lemma** *gen-pick*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *IT: SIDE-GEN-ALGO* (*is-map-to-list Rk Rv Rm it*)  
**assumes** *NE: SIDE-PRECOND* ( $m' \neq Map.empty$ )  
**assumes** *SREF: (m, m') ∈ ⟨Rk, Rv⟩ Rm*  
**shows** (*RETURN (gen-pick (λx. foldli (it x) m),*  
 $(OP\ op-map-pick\ ::\ \langle Rk, Rv \rangle Rm \rightarrow \langle Rk \times_r Rv \rangle nres-rel)\ \$m') \in \langle Rk \times_r Rv \rangle nres-rel$ )  
 $\langle proof \rangle$

**end**

**definition** *gen-map-pick-remove pick del m*  $\equiv do\ \{$   
 $(k, v) \leftarrow pick\ m;$   
 $let\ m = del\ k\ m;$   
 $RETURN\ ((k, v), m)$   
 $\}$

**context begin interpretation** *autoref-syn*  $\langle proof \rangle$

**lemma** *gen-map-pick-remove*  
 $[unfolded\ gen-map-pick-remove-def,\ autoref-rules-raw]:$   
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *PICK: SIDE-GEN-OP* (  
 $(pick\ m,$

```

    (OP op-map-pick :: ⟨Rk,Rv⟩Rm → ⟨Rk×rRv⟩nres-rel)$m' ∈
    ⟨Rk×rRv⟩nres-rel)
assumes DEL: GEN-OP del op-map-delete (Rk → ⟨Rk,Rv⟩Rm → ⟨Rk,Rv⟩Rm)
assumes [param]: (m,m')∈⟨Rk,Rv⟩Rm
shows (gen-map-pick-remove pick del m,
    (OP op-map-pick-remove
    :: ⟨Rk,Rv⟩Rm → ⟨(Rk×rRv) ×r ⟨Rk,Rv⟩Rm⟩nres-rel)$m')
    ∈ ⟨(Rk×rRv) ×r ⟨Rk,Rv⟩Rm⟩nres-rel
    ⟨proof⟩
end

end

```

### 2.2.3 Generic Map To Set Converter

```

theory Gen-Map2Set
imports
  ../Intf/Intf-Map
  ../Intf/Intf-Set
  ../Intf/Intf-Comp
  .././Iterator/Iterator
begin

lemma map-fst-unit-distinct-eq[simp]:
  fixes l :: ('k×unit) list
  shows distinct (map fst l) ↔ distinct l
  ⟨proof⟩

definition
  map2set-rel ::
    (('ki×'k) set ⇒ (unit×unit) set ⇒ ('mi×('k→unit))set) ⇒
    ('ki×'k) set ⇒
    ('mi×('k set)) set
  where
    map2set-rel-def-internal:
    map2set-rel R Rk ≡ ⟨Rk,Id::(unit×-) set⟩R O {(m,dom m)| m. True}

lemma map2set-rel-def: ⟨Rk⟩(map2set-rel R)
  = ⟨Rk,Id::(unit×-) set⟩R O {(m,dom m)| m. True}
  ⟨proof⟩

lemma map2set-relI:
  assumes (s,m')∈⟨Rk,Id⟩R and s'=dom m'
  shows (s,s')∈⟨Rk⟩map2set-rel R
  ⟨proof⟩

lemma map2set-relE:
  assumes (s,s')∈⟨Rk⟩map2set-rel R

```

**obtains**  $m'$  **where**  $(s, m') \in \langle Rk, Id \rangle R$  **and**  $s' = \text{dom } m'$   
 ⟨proof⟩

**lemma**  $\text{map2set-rel-sv}[\text{relator-props}]$ :  
*single-valued*  $(\langle Rk, Id \rangle Rm) \implies \text{single-valued } (\langle Rk \rangle \text{map2set-rel } Rm)$   
 ⟨proof⟩

**lemma**  $\text{map2set-empty}[\text{autoref-rules-raw}]$ :  
**assumes**  $\text{PRIO-TAG-GEN-ALGO}$   
**assumes**  $\text{GEN-OP } e \text{ op-map-empty } (\langle Rk, Id \rangle R)$   
**shows**  $(e, \{\}) \in \langle Rk \rangle \text{map2set-rel } R$   
 ⟨proof⟩

**lemmas**  $[\text{autoref-rel-intf}] =$   
 $\text{REL-INTFI}[\text{of map2set-rel } R \text{ i-set}]$  **for**  $R$

**definition**  $\text{map2set-insert } i \ k \ s \equiv i \ k \ () \ s$

**lemma**  $\text{map2set-insert}[\text{autoref-rules-raw}]$ :  
**assumes**  $\text{PRIO-TAG-GEN-ALGO}$   
**assumes**  $\text{GEN-OP } i \text{ op-map-update } (Rk \rightarrow Id \rightarrow \langle Rk, Id \rangle R \rightarrow \langle Rk, Id \rangle R)$   
**shows**  
 $(\text{map2set-insert } i, \text{Set.insert}) \in Rk \rightarrow \langle Rk \rangle \text{map2set-rel } R \rightarrow \langle Rk \rangle \text{map2set-rel } R$   
 ⟨proof⟩

**definition**  $\text{map2set-memb } l \ k \ s \equiv \text{case } l \ k \ s \text{ of } \text{None} \Rightarrow \text{False} \mid \text{Some } - \Rightarrow \text{True}$

**lemma**  $\text{map2set-memb}[\text{autoref-rules-raw}]$ :  
**assumes**  $\text{PRIO-TAG-GEN-ALGO}$   
**assumes**  $\text{GEN-OP } l \text{ op-map-lookup } (Rk \rightarrow \langle Rk, Id \rangle R \rightarrow \langle Id \rangle \text{option-rel})$   
**shows**  $(\text{map2set-memb } l, (\in))$   
 $\in Rk \rightarrow \langle Rk \rangle \text{map2set-rel } R \rightarrow Id$   
 ⟨proof⟩

**lemma**  $\text{map2set-delete}[\text{autoref-rules-raw}]$ :  
**assumes**  $\text{PRIO-TAG-GEN-ALGO}$   
**assumes**  $\text{GEN-OP } d \text{ op-map-delete } (Rk \rightarrow \langle Rk, Id \rangle R \rightarrow \langle Rk, Id \rangle R)$   
**shows**  $(d, \text{op-set-delete}) \in Rk \rightarrow \langle Rk \rangle \text{map2set-rel } R \rightarrow \langle Rk \rangle \text{map2set-rel } R$   
 ⟨proof⟩

**lemma**  $\text{map2set-to-sorted-list}[\text{autoref-ga-rules}]$ :  
**fixes**  $it :: 'm \Rightarrow ('k \times \text{unit}) \text{ list}$   
**assumes**  $A: \text{GEN-ALGO-tag } (\text{is-map-to-sorted-list } \text{ordR } Rk \text{ Id } R \text{ it})$   
**shows**  $\text{is-set-to-sorted-list } \text{ordR } Rk \ (\text{map2set-rel } R)$   
 $(\text{it-to-list } (\text{map-iterator-dom } o \ (\text{foldli } o \ it)))$   
 ⟨proof⟩

**lemma**  $\text{map2set-to-list}[\text{autoref-ga-rules}]$ :  
**fixes**  $it :: 'm \Rightarrow ('k \times \text{unit}) \text{ list}$   
**assumes**  $A: \text{GEN-ALGO-tag } (\text{is-map-to-list } Rk \text{ Id } R \text{ it})$

**shows** *is-set-to-list*  $Rk$  (*map2set-rel*  $R$ )  
 (*it-to-list* (*map-iterator-dom*  $o$  (*foldli*  $o$   $it$ )))  
*<proof>*

Transferring also non-basic operations results in specializations of map-algorithms to also be used for sets

**lemma** *map2set-union*[*autoref-rules-raw*]:  
**assumes** *MINOR-PRIO-TAG* ( $-$   $9$ )  
**assumes** *GEN-OP*  $u$  ( $++$ ) ( $\langle Rk, Id \rangle R \rightarrow \langle Rk, Id \rangle R \rightarrow \langle Rk, Id \rangle R$ )  
**shows**  $(u, (\cup)) \in \langle Rk \rangle \text{map2set-rel } R \rightarrow \langle Rk \rangle \text{map2set-rel } R \rightarrow \langle Rk \rangle \text{map2set-rel } R$   
*<proof>*

**lemmas** [*autoref-ga-rules*] = *cmp-unit-eq-linorder*  
**lemmas** [*autoref-rules-raw*] = *param-cmp-unit*

**lemma** *cmp-lex-zip-unit*[*simp*]:  
*cmp-lex* (*cmp-prod* *cmp* *cmp-unit*) (*map* ( $\lambda k. (k, ( ))$ )  $l$ )  
 (*map* ( $\lambda k. (k, ( ))$ )  $m$ ) =  
*cmp-lex* *cmp*  $l$   $m$   
*<proof>*

**lemma** *cmp-img-zip-unit*[*simp*]:  
*cmp-img* ( $\lambda m. \text{map } (\lambda k. (k, ( ))) (f\ m)$ ) (*cmp-lex* (*cmp-prod* *cmp1* *cmp-unit*))  
 = *cmp-img*  $f$  (*cmp-lex* *cmp1*)  
*<proof>*

**lemma** *map2set-finite*[*relator-props*]:  
**assumes** *finite-map-rel* ( $\langle Rk, Id \rangle R$ )  
**shows** *finite-set-rel* ( $\langle Rk \rangle \text{map2set-rel } R$ )  
*<proof>*

**lemma** *map2set-cmp*[*autoref-rules-raw*]:  
**assumes** *ELO: SIDE-GEN-ALGO* (*eq-linorder* *cmpk*)  
**assumes** *MPAR*:  
*GEN-OP* *cmp* (*cmp-map* *cmpk* *cmp-unit*) ( $\langle Rk, Id \rangle R \rightarrow \langle Rk, Id \rangle R \rightarrow Id$ )  
**assumes** *FIN: PREFER* *finite-map-rel* ( $\langle Rk, Id \rangle R$ )  
**shows**  $(\text{cmp}, \text{cmp-set } \text{cmpk}) \in \langle Rk \rangle \text{map2set-rel } R \rightarrow \langle Rk \rangle \text{map2set-rel } R \rightarrow Id$   
*<proof>*

end

## 2.2.4 Generic Compare Algorithms

**theory** *Gen-Comp*  
**imports**  
 ../Intf/Intf-Comp  
 Automatic-Refinement.Automatic-Refinement



*HOL-Library.Product-Lexorder*  
**begin**

### Order for Product

**lemma** *autoref-prod-cmp-dflt-id*[*autoref-rules-raw*]:  
 (*dflt-cmp* ( $\leq$ ) ( $<$ ), *dflt-cmp* ( $\leq$ ) ( $<$ ))  $\in$   
 $\langle Id, Id \rangle \text{prod-rel} \rightarrow \langle Id, Id \rangle \text{prod-rel} \rightarrow Id$   
*<proof>*

**lemma** *gen-prod-cmp-dflt*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *GEN-OP cmp1* (*dflt-cmp* ( $\leq$ ) ( $<$ )) ( $R1 \rightarrow R1 \rightarrow Id$ )  
**assumes** *GEN-OP cmp2* (*dflt-cmp* ( $\leq$ ) ( $<$ )) ( $R2 \rightarrow R2 \rightarrow Id$ )  
**shows** (*cmp-prod cmp1 cmp2*, *dflt-cmp* ( $\leq$ ) ( $<$ ))  $\in$   
 $\langle R1, R2 \rangle \text{prod-rel} \rightarrow \langle R1, R2 \rangle \text{prod-rel} \rightarrow Id$   
*<proof>*

**end**

## 2.3 Implementations

### 2.3.1 Stack by Array

**theory** *Impl-Array-Stack*

**imports**

*Automatic-Refinement.Automatic-Refinement*  
 .././Lib/Diff-Array

**begin**

**type-synonym** *'a array-stack* = *'a array*  $\times$  *nat*

**term** *Diff-Array.array-length*

**definition** *as-raw- $\alpha$*  *s*  $\equiv$  *take* (*snd s*) (*list-of-array* (*fst s*))

**definition** *as-raw-invar* *s*  $\equiv$  *snd s*  $\leq$  *array-length* (*fst s*)

**definition** *as-rel-def-internal*: *as-rel* *R*  $\equiv$  *br as-raw- $\alpha$  as-raw-invar* *O*  $\langle R \rangle \text{list-rel}$

**lemma** *as-rel-def*:  $\langle R \rangle \text{as-rel} \equiv$  *br as-raw- $\alpha$  as-raw-invar* *O*  $\langle R \rangle \text{list-rel}$   
*<proof>*

**lemma** [*relator-props*]: *single-valued* *R*  $\implies$  *single-valued* ( $\langle R \rangle \text{as-rel}$ )  
*<proof>*

**lemmas** [*autoref-rel-intf*] = *REL-INTFI*[*of as-rel i-list*]

**definition** *as-empty* (*-::unit*)  $\equiv$  (*array-of-list* [], 0)

**lemma** *as-empty-refine*[autoref-rules]:  $(as\_empty\ () , []) \in \langle R \rangle as\_rel$   
 ⟨proof⟩

**definition** *as-push*  $s\ x \equiv let$   
    $(a,n)=s;$   
    $a = if\ n = array\_length\ a\ then$   
      $array\_grow\ a\ (max\ 4\ (2*n))\ x$   
    $else\ a;$   
    $a = array\_set\ a\ n\ x$   
 in  
    $(a,n+1)$

**lemma** *as-push-refine*[autoref-rules]:  
 $(as\_push, op\_list\_append\_elem) \in \langle R \rangle as\_rel \rightarrow R \rightarrow \langle R \rangle as\_rel$   
 ⟨proof⟩

**term** *array-shrink*

**definition** *as-shrink*  $s \equiv let$   
    $(a,n) = s;$   
    $a = if\ 128*n \leq array\_length\ a \wedge n > 4\ then$   
      $array\_shrink\ a\ n$   
    $else\ a$   
 in  
    $(a,n)$

**lemma** *as-shrink-id-refine*:  $(as\_shrink, id) \in \langle R \rangle as\_rel \rightarrow \langle R \rangle as\_rel$   
 ⟨proof⟩

**lemma** *as-shrinkI*:  
**assumes** [param]:  $(s,a) \in \langle R \rangle as\_rel$   
**shows**  $(as\_shrink\ s, a) \in \langle R \rangle as\_rel$   
 ⟨proof⟩

**definition** *as-pop*  $s \equiv let\ (a,n)=s\ in\ as\_shrink\ (a,n - 1)$

**lemma** *as-pop-refine*[autoref-rules]:  $(as\_pop, butlast) \in \langle R \rangle as\_rel \rightarrow \langle R \rangle as\_rel$   
 ⟨proof⟩

**definition** *as-get*  $s\ i \equiv let\ (a, -: nat)=s\ in\ array\_get\ a\ i$

**lemma** *as-get-refine*:  
**assumes** 1:  $i' < length\ l$   
**assumes** 2:  $(a,l) \in \langle R \rangle as\_rel$   
**assumes** 3[param]:  $(i,i') \in nat\_rel$   
**shows**  $(as\_get\ a\ i, !!i') \in R$   
 ⟨proof⟩

**context begin interpretation** *autoref-syn*  $\langle proof \rangle$

**lemma** *as-get-autoref*[*autoref-rules*]:

**assumes**  $(l, l') \in \langle R \rangle as\text{-rel}$

**assumes**  $(i, i') \in Id$

**assumes** *SIDE-PRECOND*  $(i' < length\ l')$

**shows**  $(as\text{-get}\ l\ i, (OP\ nth\ ::\ \langle R \rangle as\text{-rel}\ \rightarrow\ nat\text{-rel}\ \rightarrow\ R)\ \$l\ \$i) \in R$

$\langle proof \rangle$

**definition** *as-set*  $s\ i\ x \equiv let\ (a, n :: nat) = s\ in\ (array\text{-set}\ a\ i\ x, n)$

**lemma** *as-set-refine*[*autoref-rules*]:

$(as\text{-set}, list\text{-update}) \in \langle R \rangle as\text{-rel}\ \rightarrow\ nat\text{-rel}\ \rightarrow\ R\ \rightarrow\ \langle R \rangle as\text{-rel}$

$\langle proof \rangle$

**definition** *as-length*  $::\ 'a\ array\text{-stack} \Rightarrow nat$  **where**

$as\text{-length} = snd$

**lemma** *as-length-refine*[*autoref-rules*]:

$(as\text{-length}, length) \in \langle R \rangle as\text{-rel}\ \rightarrow\ nat\text{-rel}$

$\langle proof \rangle$

**definition** *as-top*  $s \equiv as\text{-get}\ s\ (as\text{-length}\ s - 1)$

**lemma** *as-top-code*[*code*]:  $as\text{-top}\ s = (let\ (a, n) = s\ in\ array\text{-get}\ a\ (n - 1))$

$\langle proof \rangle$

**lemma** *as-top-refine*:  $\llbracket l \neq [] \rrbracket; (s, l) \in \langle R \rangle as\text{-rel} \Longrightarrow (as\text{-top}\ s, last\ l) \in R$

$\langle proof \rangle$

**lemma** *as-top-autoref*[*autoref-rules*]:

**assumes**  $(l, l') \in \langle R \rangle as\text{-rel}$

**assumes** *SIDE-PRECOND*  $(l' \neq [])$

**shows**  $(as\text{-top}\ l, (OP\ last\ ::\ \langle R \rangle as\text{-rel}\ \rightarrow\ R)\ \$l') \in R$

$\langle proof \rangle$

**definition** *as-is-empty*  $s \equiv as\text{-length}\ s = 0$

**lemma** *as-is-empty-code*[*code*]:  $as\text{-is-empty}\ s = (snd\ s = 0)$

$\langle proof \rangle$

**lemma** *as-is-empty-refine*[*autoref-rules*]:

$(as\text{-is-empty}, is\text{-Nil}) \in \langle R \rangle as\text{-rel}\ \rightarrow\ bool\text{-rel}$

$\langle proof \rangle$

**definition** *as-take*  $m\ s \equiv let\ (a, n) = s\ in$

*if*  $m < n$  *then*

$as\text{-shrink}\ (a, m)$

*else*  $(a, n)$

**lemma** *as-take-refine*[*autoref-rules*]:  
 $(as\text{-}take, take) \in nat\text{-}rel \rightarrow \langle R \rangle as\text{-}rel \rightarrow \langle R \rangle as\text{-}rel$   
 $\langle proof \rangle$

**definition** *as-singleton*  $x \equiv (array\text{-}of\text{-}list [x], 1)$

**lemma** *as-singleton-refine*[*autoref-rules*]:  
 $(as\text{-}singleton, op\text{-}list\text{-}singleton) \in R \rightarrow \langle R \rangle as\text{-}rel$   
 $\langle proof \rangle$

**end**

**end**

### 2.3.2 List Based Sets

**theory** *Impl-List-Set*

**imports**

*../Iterator/Iterator*

*../Intf/Intf-Set*

**begin**

**lemma** *list-all2-refl-conv*:  
 $list\text{-}all2 P xs xs \longleftrightarrow (\forall x \in set\ xs. P x x)$   
 $\langle proof \rangle$

**primrec** *glist-member*  $:: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a\ list \Rightarrow bool$  **where**  
 $glist\text{-}member\ eq\ x [] \longleftrightarrow False$   
 $| glist\text{-}member\ eq\ x (y \# ys) \longleftrightarrow eq\ x\ y \vee glist\text{-}member\ eq\ x\ ys$

**lemma** *param-glist-member*[*param*]:  
 $(glist\text{-}member, glist\text{-}member) \in (Ra \rightarrow Ra \rightarrow Id) \rightarrow Ra \rightarrow \langle Ra \rangle list\text{-}rel \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *list-member-alt*:  $List.member = (\lambda l\ x. glist\text{-}member (=) x l)$   
 $\langle proof \rangle$

**thm** *List.insert-def*

**definition**

$glist\text{-}insert\ eq\ x\ xs = (if\ glist\text{-}member\ eq\ x\ xs\ then\ xs\ else\ x \# xs)$

**lemma** *param-glist-insert*[*param*]:  
 $(glist\text{-}insert, glist\text{-}insert) \in (R \rightarrow R \rightarrow Id) \rightarrow R \rightarrow \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$   
 $\langle proof \rangle$

**primrec** *rev-append* **where**

$rev\text{-}append []\ ac = ac$

$| rev\text{-}append (x \# xs)\ ac = rev\text{-}append\ xs\ (x \# ac)$

**lemma** *rev-append-eq*:  $rev\text{-}append\ l\ ac = rev\ l\ @\ ac$   
 ⟨proof⟩

**primrec** *glist-delete-aux* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow -$  **where**  
 $glist\text{-}delete\text{-}aux\ eq\ x\ []\ as = as$   
 $| glist\text{-}delete\text{-}aux\ eq\ x\ (y\#\!ys)\ as = ($   
    $if\ eq\ x\ y\ then\ rev\text{-}append\ as\ ys$   
    $else\ glist\text{-}delete\text{-}aux\ eq\ x\ ys\ (y\#\!as)$   
 $)$

**definition** *glist-delete* **where**  
 $glist\text{-}delete\ eq\ x\ l \equiv glist\text{-}delete\text{-}aux\ eq\ x\ l\ []$

**lemma** *param-glist-delete*[*param*]:  
 $(glist\text{-}delete, glist\text{-}delete) \in (R \rightarrow R \rightarrow Id) \rightarrow R \rightarrow \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$   
 ⟨proof⟩

**lemma** *list-rel-Range*:  
 $\forall x' \in set\ l'. x' \in Range\ R \implies l' \in Range\ (\langle R \rangle list\text{-}rel)$   
 ⟨proof⟩

All finite sets can be represented

**lemma** *list-set-rel-range*:  
 $Range\ (\langle R \rangle list\text{-}set\text{-}rel) = \{ S. finite\ S \wedge S \subseteq Range\ R \}$   
 (is ?A = ?B)  
 ⟨proof⟩

**lemmas** [*autoref-rel-intf*] = *REL-INTFI*[of *list-set-rel i-set*]

**lemma** *list-set-rel-finite*[*autoref-ga-rules*]:  
 $finite\text{-}set\text{-}rel\ (\langle R \rangle list\text{-}set\text{-}rel)$   
 ⟨proof⟩

**lemma** *list-set-rel-sv*[*relator-props*]:  
 $single\text{-}valued\ R \implies single\text{-}valued\ (\langle R \rangle list\text{-}set\text{-}rel)$   
 ⟨proof⟩

**lemma** *Id-comp-Id*:  $Id\ O\ Id = Id$  ⟨proof⟩

**lemma** *glist-member-id-impl*:  
 $(glist\text{-}member\ (=), (\in)) \in Id \rightarrow br\ set\ distinct \rightarrow Id$   
 ⟨proof⟩

**lemma** *glist-insert-id-impl*:

$(glist\text{-insert } (=), Set.insert) \in Id \rightarrow br\ set\ distinct \rightarrow br\ set\ distinct$   
 $\langle proof \rangle$

**lemma** *glist-delete-id-impl*:  
 $(glist\text{-delete } (=), \lambda x\ s.\ s - \{x\})$   
 $\in Id \rightarrow br\ set\ distinct \rightarrow br\ set\ distinct$   
 $\langle proof \rangle$

**lemma** *list-set-autoref-empty*[*autoref-rules*]:  
 $([], \{\}) \in \langle R \rangle list\text{-set-rel}$   
 $\langle proof \rangle$

**lemma** *list-set-autoref-member*[*autoref-rules*]:  
**assumes** *GEN-OP eq*  $(=) (R \rightarrow R \rightarrow Id)$   
**shows**  $(glist\text{-member } eq, (\in)) \in R \rightarrow \langle R \rangle list\text{-set-rel} \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *list-set-autoref-insert*[*autoref-rules*]:  
**assumes** *GEN-OP eq*  $(=) (R \rightarrow R \rightarrow Id)$   
**shows**  $(glist\text{-insert } eq, Set.insert)$   
 $\in R \rightarrow \langle R \rangle list\text{-set-rel} \rightarrow \langle R \rangle list\text{-set-rel}$   
 $\langle proof \rangle$

**lemma** *list-set-autoref-delete*[*autoref-rules*]:  
**assumes** *GEN-OP eq*  $(=) (R \rightarrow R \rightarrow Id)$   
**shows**  $(glist\text{-delete } eq, op\text{-set-delete})$   
 $\in R \rightarrow \langle R \rangle list\text{-set-rel} \rightarrow \langle R \rangle list\text{-set-rel}$   
 $\langle proof \rangle$

**lemma** *list-set-autoref-to-list*[*autoref-ga-rules*]:  
**shows** *is-set-to-sorted-list*  $(\lambda - . True) R list\text{-set-rel id}$   
 $\langle proof \rangle$

**lemma** *list-set-it-simp*[*refine-transfer-post-simp*]:  
 $foldli\ id\ l = foldli\ l \langle proof \rangle$

**lemma** *glist-insert-dj-id-impl*:  
 $\llbracket x \notin s; (l, s) \in br\ set\ distinct \rrbracket \implies (x \# l, insert\ x\ s) \in br\ set\ distinct$   
 $\langle proof \rangle$

**context begin interpretation** *autoref-syn*  $\langle proof \rangle$

**lemma** *list-set-autoref-insert-dj*[*autoref-rules*]:  
**assumes** *PRIO-TAG-OPTIMIZATION*  
**assumes** *SIDE-PRECOND-OPT*  $(x' \notin s')$   
**assumes**  $(x, x') \in R$   
**assumes**  $(s, s') \in \langle R \rangle list\text{-set-rel}$   
**shows**  $(x \# s,$   
 $(OP\ Set.insert\ :::\ R \rightarrow \langle R \rangle list\text{-set-rel} \rightarrow \langle R \rangle list\text{-set-rel})\ \$\ x'\ \$\ s')$   
 $\in \langle R \rangle list\text{-set-rel}$

⟨proof⟩  
end

### More Operations

**lemma** *list-set-autoref-isEmpty*[*autoref-rules*]:  
 (*is-Nil*, *op-set-isEmpty*) ∈ ⟨*R*⟩*list-set-rel* → *bool-rel*  
 ⟨proof⟩

**lemma** *list-set-autoref-filter*[*autoref-rules*]:  
 (*filter*, *op-set-filter*)  
 ∈ (*R* → *bool-rel*) → ⟨*R*⟩*list-set-rel* → ⟨*R*⟩*list-set-rel*  
 ⟨proof⟩

**context begin interpretation** *autoref-syn* ⟨proof⟩  
**lemma** *list-set-autoref-inj-image*[*autoref-rules*]:  
 assumes *PRIO-TAG-OPTIMIZATION*  
 assumes *INJ: SIDE-PRECOND-OPT* (*inj-on f s*)  
 assumes [*param*]: (*fi*, *f*) ∈ *Ra* → *Rb*  
 assumes *LP*: (*l*, *s*) ∈ ⟨*Ra*⟩*list-set-rel*  
 shows (*map fi l*,  
 (*OP* (‘) ∷ (*Ra* → *Rb*) → ⟨*Ra*⟩*list-set-rel* → ⟨*Rb*⟩*list-set-rel*)\$*f*\$*s*)  
 ∈ ⟨*Rb*⟩*list-set-rel*  
 ⟨proof⟩

end

**lemma** *list-set-cart-autoref*[*autoref-rules*]:  
 fixes *Rx* ∷ (‘*xi* × ‘*x*) *set*  
 fixes *Ry* ∷ (‘*yi* × ‘*y*) *set*  
 shows (λ*xl yl*. [(*x*, *y*). *x* ← *xl*, *y* ← *yl*], *op-set-cart*)  
 ∈ ⟨*Rx*⟩*list-set-rel* → ⟨*Ry*⟩*list-set-rel* → ⟨*Rx* ×<sub>*r*</sub> *Ry*⟩*list-set-rel*  
 ⟨proof⟩

### Optimizations

**lemma** *glist-delete-hd*: *eq x y* ⇒ *glist-delete eq x (y#s) = s*  
 ⟨proof⟩

Hack to ensure specific ordering. Note that ordering has no meaning abstractly

**definition** [*simp*]: *LIST-SET-REV-TAG* ≡ λ*x*. *x*

**lemma** *LIST-SET-REV-TAG-autoref*[*autoref-rules*]:  
 (*rev*, *LIST-SET-REV-TAG*) ∈ ⟨*R*⟩*list-set-rel* → ⟨*R*⟩*list-set-rel*  
 ⟨proof⟩

```

end
theory Array-Iterator
imports Iterator ../Lib/Diff-Array
begin

lemma idx-iteratei-aux-array-get-Array-conv-nth:
  idx-iteratei-aux array-get sz i (Array xs) c f σ =
  idx-iteratei-aux (!) sz i xs c f σ
  <proof>

lemma idx-iteratei-array-get-Array-conv-nth:
  idx-iteratei array-get array-length (Array xs) = idx-iteratei nth length xs
  <proof>

end

```

### 2.3.3 List Based Maps

```

theory Impl-List-Map
imports
  ../Iterator/Iterator
  ../Gen/Gen-Map
  ../Intf/Intf-Comp
  ../Intf/Intf-Map
begin

type-synonym ('k,'v) list-map = ('k×'v) list

definition list-map-invar = distinct o map fst

definition list-map-rel-internal-def:
  list-map-rel Rk Rv ≡ <<Rk,Rv>prod-rel>list-rel O br map-of list-map-invar

lemma list-map-rel-def:
  <Rk,Rv>list-map-rel = <<Rk,Rv>prod-rel>list-rel O br map-of list-map-invar
  <proof>

lemma list-rel-Range:
   $\forall x' \in \text{set } l'. x' \in \text{Range } R \implies l' \in \text{Range } (\langle R \rangle \text{list-rel})$ 
  <proof>

```

All finite maps can be represented

```

lemma list-map-rel-range:
  Range (<Rk,Rv>list-map-rel) =
  {m. finite (dom m) ∧ dom m ⊆ Range Rk ∧ ran m ⊆ Range Rv}

```



(is ?A = ?B)  
 ⟨proof⟩

**lemmas** [autoref-rel-intf] = REL-INTFI[of list-map-rel i-map]

**lemma** list-map-rel-finite[autoref-ga-rules]:  
 finite-map-rel (⟨Rk,Rv⟩list-map-rel)  
 ⟨proof⟩

**lemma** list-map-rel-sv[relator-props]:  
 single-valued Rk  $\implies$  single-valued Rv  $\implies$   
 single-valued (⟨Rk,Rv⟩list-map-rel)  
 ⟨proof⟩

## Implementation

**primrec** list-map-lookup ::  
 ('k  $\Rightarrow$  'k  $\Rightarrow$  bool)  $\Rightarrow$  'k  $\Rightarrow$  ('k,'v) list-map  $\Rightarrow$  'v option **where**  
 list-map-lookup eq - [] = None |  
 list-map-lookup eq k (y#ys) =  
 (if eq (fst y) k then Some (snd y) else list-map-lookup eq k ys)

**primrec** list-map-update-aux :: ('k  $\Rightarrow$  'k  $\Rightarrow$  bool)  $\Rightarrow$  'k  $\Rightarrow$  'v  $\Rightarrow$   
 ('k,'v) list-map  $\Rightarrow$  ('k,'v) list-map  $\Rightarrow$  ('k,'v) list-map **where**  
 list-map-update-aux eq k v [] accu = (k,v) # accu |  
 list-map-update-aux eq k v (x#xs) accu =  
 (if eq (fst x) k  
 then (k,v) # xs @ accu  
 else list-map-update-aux eq k v xs (x#accu))

**definition** list-map-update eq k v m  $\equiv$   
 list-map-update-aux eq k v m []

**primrec** list-map-delete-aux :: ('k  $\Rightarrow$  'k  $\Rightarrow$  bool)  $\Rightarrow$  'k  $\Rightarrow$   
 ('k, 'v) list-map  $\Rightarrow$  ('k, 'v) list-map  $\Rightarrow$  ('k, 'v) list-map **where**  
 list-map-delete-aux eq k [] accu = accu |  
 list-map-delete-aux eq k (x#xs) accu =  
 (if eq (fst x) k  
 then xs @ accu  
 else list-map-delete-aux eq k xs (x#accu))

**definition** list-map-delete eq k m  $\equiv$  list-map-delete-aux eq k m []

**definition** list-map-isEmpty :: ('k,'v) list-map  $\Rightarrow$  bool  
**where** list-map-isEmpty  $\equiv$  List.null

**definition** list-map-isSng :: ('k,'v) list-map  $\Rightarrow$  bool

**where**  $list\text{-}map\text{-}isSng\ m = (case\ m\ of\ [x] \Rightarrow True \mid - \Rightarrow False)$

**definition**  $list\text{-}map\text{-}size :: ('k, 'v)\ list\text{-}map \Rightarrow nat$   
**where**  $list\text{-}map\text{-}size \equiv length$

**definition**  $list\text{-}map\text{-}iteratei :: ('k, 'v)\ list\text{-}map \Rightarrow ('b \Rightarrow bool) \Rightarrow$   
 $(('k \times 'v) \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'b$   
**where**  $list\text{-}map\text{-}iteratei \equiv foldli$

**definition**  $list\text{-}map\text{-}to\text{-}list :: ('k, 'v)\ list\text{-}map \Rightarrow ('k \times 'v)\ list$   
**where**  $list\text{-}map\text{-}to\text{-}list = id$

### Parametricity

**lemma**  $list\text{-}map\text{-}autoref\text{-}empty[autoref\text{-}rules]:$   
 $([], op\text{-}map\text{-}empty) \in \langle Rk, Rv \rangle list\text{-}map\text{-}rel$   
 $\langle proof \rangle$

**lemma**  $param\text{-}list\text{-}map\text{-}lookup[param]:$   
 $(list\text{-}map\text{-}lookup, list\text{-}map\text{-}lookup) \in (Rk \rightarrow Rk \rightarrow bool\text{-}rel) \rightarrow$   
 $Rk \rightarrow \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel \rightarrow \langle Rv \rangle option\text{-}rel$   
 $\langle proof \rangle$

**lemma**  $list\text{-}map\text{-}autoref\text{-}lookup\text{-}aux:$   
**assumes**  $eq: GEN\text{-}OP\ eq (=) (Rk \rightarrow Rk \rightarrow Id)$   
**assumes**  $K: (k, k') \in Rk$   
**assumes**  $M: (m, m') \in \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel$   
**shows**  $(list\text{-}map\text{-}lookup\ eq\ k\ m, op\text{-}map\text{-}lookup\ k'\ (map\text{-}of\ m'))$   
 $\in \langle Rv \rangle option\text{-}rel$   
 $\langle proof \rangle$

**lemma**  $list\text{-}map\text{-}autoref\text{-}lookup[autoref\text{-}rules]:$   
**assumes**  $GEN\text{-}OP\ eq (=) (Rk \rightarrow Rk \rightarrow Id)$   
**shows**  $(list\text{-}map\text{-}lookup\ eq, op\text{-}map\text{-}lookup) \in$   
 $Rk \rightarrow \langle Rk, Rv \rangle list\text{-}map\text{-}rel \rightarrow \langle Rv \rangle option\text{-}rel$   
 $\langle proof \rangle$

**lemma**  $param\text{-}list\text{-}map\text{-}update\text{-}aux[param]:$   
 $(list\text{-}map\text{-}update\text{-}aux, list\text{-}map\text{-}update\text{-}aux) \in (Rk \rightarrow Rk \rightarrow bool\text{-}rel) \rightarrow$   
 $Rk \rightarrow Rv \rightarrow \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel \rightarrow \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel$   
 $\rightarrow \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma**  $param\text{-}list\text{-}map\text{-}update[param]:$   
 $(list\text{-}map\text{-}update, list\text{-}map\text{-}update) \in (Rk \rightarrow Rk \rightarrow bool\text{-}rel) \rightarrow$   
 $Rk \rightarrow Rv \rightarrow \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel \rightarrow \langle \langle Rk, Rv \rangle prod\text{-}rel \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma** *list-map-autoref-update-aux1*:

**assumes**  $eq: (eq, (=)) \in Rk \rightarrow Rk \rightarrow Id$   
**assumes**  $K: (k, k') \in Rk$   
**assumes**  $V: (v, v') \in Rv$   
**assumes**  $A: (accu, accu') \in \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel$   
**assumes**  $M: (m, m') \in \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel$   
**shows**  $(list-map-update-aux\ eq\ k\ v\ m\ accu,$   
 $list-map-update-aux\ (=)\ k'\ v'\ m'\ accu')$   
 $\in \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel$

*<proof>*

**lemma** *list-map-autoref-update1*[*param*]:

**assumes**  $eq: (eq, (=)) \in Rk \rightarrow Rk \rightarrow Id$   
**shows**  $(list-map-update\ eq, list-map-update\ (=)) \in Rk \rightarrow Rv \rightarrow$   
 $\langle \langle Rk, Rv \rangle prod-rel \rangle list-rel \rightarrow \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel$

*<proof>*

**lemma** *map-add-sng-right*:  $m ++ [k \mapsto v] = m(k \mapsto v)$

*<proof>*

**lemma** *map-add-sng-right'*:

$m ++ (\lambda a. \text{if } a = k \text{ then Some } v \text{ else None}) = m(k \mapsto v)$   
*<proof>*

**lemma** *list-map-autoref-update-aux2*:

**assumes**  $K: (k, k') \in Id$   
**assumes**  $V: (v, v') \in Id$   
**assumes**  $A: (accu, accu') \in br\ map-of\ list-map-invar$   
**assumes**  $A1: distinct\ (map\ fst\ (m\ @\ accu))$   
**assumes**  $A2: k \notin set\ (map\ fst\ accu)$   
**assumes**  $M: (m, m') \in br\ map-of\ list-map-invar$   
**shows**  $(list-map-update-aux\ (=)\ k\ v\ m\ accu,$   
 $accu' ++ op-map-update\ k'\ v'\ m')$   
 $\in br\ map-of\ list-map-invar\ (is\ (?f\ m\ accu, -) \in -)$

*<proof>*

**lemma** *list-map-autoref-update2*[*param*]:

**shows**  $(list-map-update\ (=), op-map-update) \in Id \rightarrow Id \rightarrow$   
 $br\ map-of\ list-map-invar \rightarrow br\ map-of\ list-map-invar$

*<proof>*

**lemma** *list-map-autoref-update*[*autoref-rules*]:

**assumes**  $eq: GEN-OP\ eq\ (=)\ (Rk \rightarrow Rk \rightarrow Id)$   
**shows**  $(list-map-update\ eq, op-map-update) \in$   
 $Rk \rightarrow Rv \rightarrow \langle Rk, Rv \rangle list-map-rel \rightarrow \langle Rk, Rv \rangle list-map-rel$

*<proof>*

**context begin interpretation** *autoref-syn*  $\langle proof \rangle$   
**lemma** *list-map-autoref-update-dj*[*autoref-rules*]:  
 assumes *PRIO-TAG-OPTIMIZATION*  
 assumes *new*: *SIDE-PRECOND-OPT* ( $k' \notin \text{dom } m'$ )  
 assumes *K*:  $(k, k') \in Rk$  and *V*:  $(v, v') \in Rv$   
 assumes *M*:  $(l, m') \in \langle Rk, Rv \rangle \text{list-map-rel}$   
 defines *R-annot*  $\equiv Rk \rightarrow Rv \rightarrow \langle Rk, Rv \rangle \text{list-map-rel} \rightarrow \langle Rk, Rv \rangle \text{list-map-rel}$   
 shows  
 $((k, v) \# l,$   
 $(OP \text{ op-map-update}:::R\text{-annot})\$k'\$v'\$m')$   
 $\in \langle Rk, Rv \rangle \text{list-map-rel}$   
 $\langle proof \rangle$   
**end**

**lemma** *param-list-map-delete-aux*[*param*]:  
 $(\text{list-map-delete-aux}, \text{list-map-delete-aux}) \in (Rk \rightarrow Rk \rightarrow \text{bool-rel}) \rightarrow$   
 $Rk \rightarrow \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rightarrow \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 $\rightarrow \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 $\langle proof \rangle$

**lemma** *param-list-map-delete*[*param*]:  
 $(\text{list-map-delete}, \text{list-map-delete}) \in (Rk \rightarrow Rk \rightarrow \text{bool-rel}) \rightarrow$   
 $Rk \rightarrow \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rightarrow \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 $\langle proof \rangle$

**lemma** *list-map-autoref-delete-aux1*:  
 assumes *eq*:  $(eq, (=)) \in Rk \rightarrow Rk \rightarrow Id$   
 assumes *K*:  $(k, k') \in Rk$   
 assumes *A*:  $(\text{accu}, \text{accu}') \in \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 assumes *M*:  $(m, m') \in \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 shows  $(\text{list-map-delete-aux } eq \ k \ m \ \text{accu},$   
 $\text{list-map-delete-aux } (=) \ k' \ m' \ \text{accu}')$   
 $\in \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 $\langle proof \rangle$

**lemma** *list-map-autoref-delete1*[*param*]:  
 assumes *eq*:  $(eq, (=)) \in Rk \rightarrow Rk \rightarrow Id$   
 shows  $(\text{list-map-delete } eq, \text{list-map-delete } (=)) \in Rk \rightarrow$   
 $\langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rightarrow \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
 $\langle proof \rangle$

**lemma** *list-map-autoref-delete-aux2*:  
 assumes *K*:  $(k, k') \in Id$   
 assumes *A*:  $(\text{accu}, \text{accu}') \in \text{br map-of list-map-invar}$   
 assumes *A1*:  $\text{distinct } (\text{map fst } (m \ @ \ \text{accu}))$   
 assumes *A2*:  $k \notin \text{set } (\text{map fst } \text{accu})$   
 assumes *M*:  $(m, m') \in \text{br map-of list-map-invar}$

**shows** (*list-map-delete-aux* (=) *k m accu*,  
*accu' ++ op-map-delete k' m'*)  
 $\in \text{br map-of list-map-invar } (\text{is } (?f m accu, -) \in -)$   
 ⟨proof⟩

**lemma** *list-map-autoref-delete2*[*param*]:  
**shows** (*list-map-delete* (=), *op-map-delete*)  $\in \text{Id} \rightarrow$   
 $\text{br map-of list-map-invar} \rightarrow \text{br map-of list-map-invar}$   
 ⟨proof⟩

**lemma** *list-map-autoref-delete*[*autoref-rules*]:  
**assumes** *eq: GEN-OP eq* (=) (*Rk* → *Rk* → *Id*)  
**shows** (*list-map-delete eq*, *op-map-delete*)  $\in$   
 $\langle Rk, Rv \rangle \text{list-map-rel} \rightarrow \langle Rk, Rv \rangle \text{list-map-rel}$   
 ⟨proof⟩

**lemma** *list-map-autoref-isEmpty*[*autoref-rules*]:  
**shows** (*list-map-isEmpty*, *op-map-isEmpty*)  $\in$   
 $\langle Rk, Rv \rangle \text{list-map-rel} \rightarrow \text{bool-rel}$   
 ⟨proof⟩

**lemma** *param-list-map-isSng*[*param*]:  
**assumes** (*l, l'*)  $\in \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel}$   
**shows** (*list-map-isSng l*, *list-map-isSng l'*)  $\in \text{bool-rel}$   
 ⟨proof⟩

**lemma** *list-map-autoref-isSng-aux*:  
**assumes** (*l', m'*)  $\in \text{br map-of list-map-invar}$   
**shows** (*list-map-isSng l'*, *op-map-isSng m'*)  $\in \text{bool-rel}$   
 ⟨proof⟩

**lemma** *list-map-autoref-isSng*[*autoref-rules*]:  
 (*list-map-isSng*, *op-map-isSng*)  $\in \langle Rk, Rv \rangle \text{list-map-rel} \rightarrow \text{bool-rel}$   
 ⟨proof⟩

**lemma** *list-map-autoref-size-aux*:  
**assumes** *distinct* (*map fst x*)  
**shows** *card* (*dom* (*map-of x*)) = *length x*  
 ⟨proof⟩

**lemma** *param-list-map-size*[*param*]:  
 (*list-map-size*, *list-map-size*)  $\in \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rightarrow \text{nat-rel}$   
 ⟨proof⟩

**lemma** *list-map-autoref-size*[*autoref-rules*]:  
**shows** (*list-map-size*, *op-map-size*)  $\in$   
 $\langle Rk, Rv \rangle \text{list-map-rel} \rightarrow \text{nat-rel}$   
 ⟨proof⟩

**lemma** *autoref-list-map-is-iterator*[*autoref-ga-rules*]:  
**shows** *is-map-to-list Rk Rv list-map-rel list-map-to-list*  
 ⟨*proof*⟩

**lemma** *pi-list-map*[*icf-proper-iteratorI*]:  
*proper-it (list-map-iteratei m) (list-map-iteratei m)*  
 ⟨*proof*⟩

**lemma** *pi'-list-map*[*icf-proper-iteratorI*]:  
*proper-it' list-map-iteratei list-map-iteratei*  
 ⟨*proof*⟩

**primrec** *list-map-pick-remove* **where**  
*list-map-pick-remove [] = undefined*  
 | *list-map-pick-remove (kv#l) = (kv,l)*

**context begin interpretation** *autoref-syn* ⟨*proof*⟩  
**lemma** *list-map-autoref-pick-remove*[*autoref-rules*]:  
**assumes** *NE: SIDE-PRECOND (m ≠ Map.empty)*  
**assumes** *R: (l,m) ∈ ⟨Rk,Rv⟩ list-map-rel*  
**defines** *Rres ≡ ⟨(Rk ×<sub>r</sub> Rv) ×<sub>r</sub> ⟨Rk,Rv⟩ list-map-rel⟩ nres-rel*  
**shows** (  
   *RETURN (list-map-pick-remove l),*  
   *(OP op-map-pick-remove ::: ⟨Rk,Rv⟩ list-map-rel → Rres)\$m*  
 ) ∈ *Rres*  
 ⟨*proof*⟩  
**end**

**end**

### 2.3.4 Array Based Hash-Maps

**theory** *Impl-Array-Hash-Map* **imports**  
*Automatic-Refinement.Automatic-Refinement*  
 ../Iterator/Array-Iterator  
 ../Gen/Gen-Map  
 ../Intf/Intf-Hash  
 ../Intf/Intf-Map  
 ../Lib/HashCode  
 ../Lib/Code-Target-ICF  
 ../Lib/Diff-Array  
*Impl-List-Map*  
**begin**

#### Type definition and primitive operations

**definition** *load-factor* :: *nat* — in percent

where  $load-factor = 75$

**datatype**  $(key, val) hashmap =$   
 $HashMap (key, val) list-map array nat$

### Operations

**definition**  $new-hashmap-with :: nat \Rightarrow (k, v) hashmap$

where  $\wedge size. new-hashmap-with size =$   
 $HashMap (new-array [] size) 0$

**definition**  $ahm-empty :: nat \Rightarrow (k, v) hashmap$

where  $ahm-empty def-size \equiv new-hashmap-with def-size$

**definition**  $bucket-ok :: k bhc \Rightarrow nat \Rightarrow nat \Rightarrow (k \times v) list \Rightarrow bool$

where  $bucket-ok bhc len h kvs = (\forall k \in fst \text{ ' set } kvs. bhc len k = h)$

**definition**  $ahm-invar-aux :: k bhc \Rightarrow nat \Rightarrow (k \times v) list array \Rightarrow bool$

where

$ahm-invar-aux bhc n a \longleftrightarrow$   
 $(\forall h. h < array-length a \longrightarrow bucket-ok bhc (array-length a) h$   
 $(array-get a h) \wedge list-map-invar (array-get a h)) \wedge$   
 $array-foldl (\lambda \cdot n kvs. n + size kvs) 0 a = n \wedge$   
 $array-length a > 1$

**primrec**  $ahm-invar :: k bhc \Rightarrow (k, v) hashmap \Rightarrow bool$

where  $ahm-invar bhc (HashMap a n) = ahm-invar-aux bhc n a$

**definition**  $ahm-lookup-aux :: k eq \Rightarrow k bhc \Rightarrow$

$k \Rightarrow (k, v) list-map array \Rightarrow v option$

where  $[simp]: ahm-lookup-aux eq bhc k a = list-map-lookup eq k (array-get a (bhc (array-length a) k))$

**primrec**  $ahm-lookup$  where

$ahm-lookup eq bhc k (HashMap a \_) = ahm-lookup-aux eq bhc k a$

**definition**  $ahm-\alpha bhc m \equiv \lambda k. ahm-lookup (=) bhc k m$

**definition**  $ahm-map-rel-def-internal:$

$ahm-map-rel Rk Rv \equiv \{(HashMap a n, HashMap a' n) \mid a a' n n'.$   
 $(a, a') \in \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel \rangle array-rel \wedge (n, n') \in Id\}$

**lemma**  $ahm-map-rel-def: \langle Rk, Rv \rangle ahm-map-rel \equiv$

$\{(HashMap a n, HashMap a' n) \mid a a' n n'.$

$(a, a') \in \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel \rangle array-rel \wedge (n, n') \in Id\}$

$\langle proof \rangle$

**definition**  $ahm-map-rel'-def:$

$ahm-map-rel' bhc \equiv br (ahm-\alpha bhc) (ahm-invar bhc)$

**definition** *ahm-rel-def-internal*:  $ahm-rel\ bhc\ Rk\ Rv =$   
 $\langle Rk, Rv \rangle\ ahm-map-rel\ O\ ahm-map-rel'$  (*abstract-bounded-hashcode*  $Rk\ bhc$ )  
**lemma** *ahm-rel-def*:  $\langle Rk, Rv \rangle\ ahm-rel\ bhc \equiv$   
 $\langle Rk, Rv \rangle\ ahm-map-rel\ O\ ahm-map-rel'$  (*abstract-bounded-hashcode*  $Rk\ bhc$ )  
 $\langle proof \rangle$   
**lemmas** [*autoref-rel-intf*] = *REL-INTFI*[of *ahm-rel bhc i-map*] **for** *bhc*

**abbreviation** *dflt-ahm-rel*  $\equiv\ ahm-rel\ bounded-hashcode-nat$

**primrec** *ahm-iteratei-aux* ::  $(('k \times 'v)\ list\ array) \Rightarrow ('k \times 'v, 's)\ set-iterator$   
**where** *ahm-iteratei-aux* (*Array xs*) *c f* = *foldli* (*concat xs*) *c f*

**primrec** *ahm-iteratei* ::  $(('k, 'v)\ hashmap) \Rightarrow (('k \times 'v), 's)\ set-iterator$   
**where**  
*ahm-iteratei* (*HashMap a n*) = *ahm-iteratei-aux a*

**definition** *ahm-rehash-aux'* ::  $'k\ bhc \Rightarrow nat \Rightarrow 'k \times 'v \Rightarrow$   
 $('k \times 'v)\ list\ array \Rightarrow ('k \times 'v)\ list\ array$

**where**  
*ahm-rehash-aux'* *bhc n kv a* =  
 $(let\ h = bhc\ n\ (fst\ kv)$   
 $in\ array-set\ a\ h\ (kv\ \# \ array-get\ a\ h))$

**definition** *ahm-rehash-aux* ::  $'k\ bhc \Rightarrow ('k \times 'v)\ list\ array \Rightarrow nat \Rightarrow$   
 $('k \times 'v)\ list\ array$

**where**  
*ahm-rehash-aux* *bhc a sz* = *ahm-iteratei-aux a* ( $\lambda x.\ True$ )  
 $(ahm-rehash-aux'\ bhc\ sz)\ (new-array\ []\ sz)$

**primrec** *ahm-rehash* ::  $'k\ bhc \Rightarrow ('k, 'v)\ hashmap \Rightarrow nat \Rightarrow ('k, 'v)\ hashmap$   
**where** *ahm-rehash* *bhc* (*HashMap a n*) *sz* = *HashMap* (*ahm-rehash-aux bhc a sz*)  
*n*

**primrec** *hm-grow* ::  $('k, 'v)\ hashmap \Rightarrow nat$   
**where** *hm-grow* (*HashMap a n*) =  $2 * array-length\ a + 3$

**primrec** *ahm-filled* ::  $('k, 'v)\ hashmap \Rightarrow bool$   
**where** *ahm-filled* (*HashMap a n*) =  $(array-length\ a * load-factor \leq n * 100)$

**primrec** *ahm-update-aux* ::  $'k\ eq \Rightarrow 'k\ bhc \Rightarrow ('k, 'v)\ hashmap \Rightarrow$   
 $'k \Rightarrow 'v \Rightarrow ('k, 'v)\ hashmap$

**where**  
*ahm-update-aux* *eq bhc* (*HashMap a n*) *k v* =  
 $(let\ h = bhc\ (array-length\ a)\ k;$   
 $m = array-get\ a\ h;$   
 $insert = list-map-lookup\ eq\ k\ m = None$   
 $in\ HashMap\ (array-set\ a\ h\ (list-map-update\ eq\ k\ v\ m))$



(if insert then  $n + 1$  else  $n$ )

**definition** *ahm-update* :: 'k eq ⇒ 'k bhc ⇒ 'k ⇒ 'v ⇒  
( 'k, 'v ) hashmap ⇒ ( 'k, 'v ) hashmap

**where**

*ahm-update eq bhc k v hm =*  
(let *hm'* = *ahm-update-aux eq bhc hm k v*  
in (if *ahm-filled hm'* then *ahm-rehash bhc hm'* (*hm-grow hm'*) else *hm'*))

**primrec** *ahm-delete* :: 'k eq ⇒ 'k bhc ⇒ 'k ⇒  
( 'k, 'v ) hashmap ⇒ ( 'k, 'v ) hashmap

**where**

*ahm-delete eq bhc k (HashMap a n) =*  
(let *h = bhc (array-length a) k*;  
*m = array-get a h*;  
*deleted = (list-map-lookup eq k m ≠ None)*  
in *HashMap (array-set a h (list-map-delete eq k m)) (if deleted then n - 1 else n)*)

**primrec** *ahm-isEmpty* :: ( 'k, 'v ) hashmap ⇒ bool **where**  
*ahm-isEmpty (HashMap - n) = (n = 0)*

**primrec** *ahm-isSng* :: ( 'k, 'v ) hashmap ⇒ bool **where**  
*ahm-isSng (HashMap - n) = (n = 1)*

**primrec** *ahm-size* :: ( 'k, 'v ) hashmap ⇒ nat **where**  
*ahm-size (HashMap - n) = n*

**lemma** *hm-grow-gt-1 [iff]*:

*Suc 0 < hm-grow hm*

⟨proof⟩

**lemma** *bucket-ok-Nil [simp]*: *bucket-ok bhc len h [] = True*

⟨proof⟩

**lemma** *bucket-okD*:

[[ *bucket-ok bhc len h xs; (k, v) ∈ set xs* ]]

⇒ *bhc len k = h*

⟨proof⟩

**lemma** *bucket-okI*:

( $\bigwedge k. k \in \text{fst } \text{' set } kvs \implies \text{bhc len } k = h$ ) ⇒ *bucket-ok bhc len h kvs*

⟨proof⟩

## Parametricity

**lemma** *param-HashMap[param]*:  $(HashMap, HashMap) \in$   
 $\langle\langle Rk, Rv \rangle \text{prod-rel}\rangle \text{list-rel}\rangle \text{array-rel} \rightarrow \text{nat-rel} \rightarrow \langle Rk, Rv \rangle \text{ahm-map-rel}$

$\langle proof \rangle$

**lemma** *param-case-hashmap*[*param*]: (*case-hashmap*, *case-hashmap*)  $\in$   
 $(\langle\langle\langle Rk, Rv \rangle prod-rel \rangle list-rel \rangle array-rel \rightarrow nat-rel \rightarrow R) \rightarrow$   
 $\langle Rk, Rv \rangle ahm-map-rel \rightarrow R$   
 $\langle proof \rangle$

**lemma** *rec-hashmap-is-case*[*simp*]: *rec-hashmap* = *case-hashmap*  
 $\langle proof \rangle$

*ahm-invar*

**lemma** *ahm-invar-auxD*:  
**assumes** *ahm-invar-aux* *bhc* *n* *a*  
**shows**  $\bigwedge h. h < array-length\ a \implies$   
 $bucket-ok\ bhc\ (array-length\ a)\ h\ (array-get\ a\ h)$  **and**  
 $\bigwedge h. h < array-length\ a \implies$   
 $list-map-invar\ (array-get\ a\ h)$  **and**  
 $n = array-foldl\ (\lambda- n\ kvs. n + length\ kvs)\ 0\ a$  **and**  
 $array-length\ a > 1$   
 $\langle proof \rangle$

**lemma** *ahm-invar-auxE*:  
**assumes** *ahm-invar-aux* *bhc* *n* *a*  
**obtains**  $\forall h. h < array-length\ a \implies$   
 $bucket-ok\ bhc\ (array-length\ a)\ h\ (array-get\ a\ h) \wedge$   
 $list-map-invar\ (array-get\ a\ h)$  **and**  
 $n = array-foldl\ (\lambda- n\ kvs. n + length\ kvs)\ 0\ a$  **and**  
 $array-length\ a > 1$   
 $\langle proof \rangle$

**lemma** *ahm-invar-auxI*:  
 $\llbracket \bigwedge h. h < array-length\ a \implies$   
 $bucket-ok\ bhc\ (array-length\ a)\ h\ (array-get\ a\ h);$   
 $\bigwedge h. h < array-length\ a \implies list-map-invar\ (array-get\ a\ h);$   
 $n = array-foldl\ (\lambda- n\ kvs. n + length\ kvs)\ 0\ a; array-length\ a > 1 \rrbracket$   
 $\implies ahm-invar-aux\ bhc\ n\ a$   
 $\langle proof \rangle$

**lemma** *ahm-invar-distinct-fst-concatD*:  
**assumes** *inv*: *ahm-invar-aux* *bhc* *n* (*Array* *xs*)  
**shows** *distinct* (*map* *fst* (*concat* *xs*))  
 $\langle proof \rangle$

*ahm- $\alpha$*

**lemma** *list-map-lookup-is-map-of*:  
 $list-map-lookup\ (=)\ k\ l = map-of\ l\ k$   
 $\langle proof \rangle$

**definition** *ahm- $\alpha$ -aux* *bhc* *a*  $\equiv$

( $\lambda k. \text{ahm-lookup-aux} (=) \text{bhc } k \ a$ )  
**lemma** *ahm- $\alpha$ -aux-def2*: *ahm- $\alpha$ -aux* *bhc* *a* = ( $\lambda k. \text{map-of} (\text{array-get } a$   
(*bhc* (*array-length* *a*) *k*)) *k*)  
 $\langle \text{proof} \rangle$   
**lemma** *ahm- $\alpha$ -def2*: *ahm- $\alpha$*  *bhc* (*HashMap* *a* *n*) = *ahm- $\alpha$ -aux* *bhc* *a*  
 $\langle \text{proof} \rangle$

**lemma** *finite-dom-ahm- $\alpha$ -aux*:  
**assumes** *is-bounded-hashcode* *Id* (=) *bhc*    *ahm-invar-aux* *bhc* *n* *a*  
**shows** *finite* (*dom* (*ahm- $\alpha$ -aux* *bhc* *a*))  
 $\langle \text{proof} \rangle$

**lemma** *ahm- $\alpha$ -aux-new-array[simp]*:  
**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*     $1 < \text{sz}$   
**shows** *ahm- $\alpha$ -aux* *bhc* (*new-array* [] *sz*) *k* = *None*  
 $\langle \text{proof} \rangle$

**lemma** *ahm- $\alpha$ -aux-conv-map-of-concat*:  
**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**assumes** *inv*: *ahm-invar-aux* *bhc* *n* (*Array* *xs*)  
**shows** *ahm- $\alpha$ -aux* *bhc* (*Array* *xs*) = *map-of* (*concat* *xs*)  
 $\langle \text{proof} \rangle$

**lemma** *ahm-invar-aux-card-dom-ahm- $\alpha$ -auxD*:  
**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**assumes** *inv*: *ahm-invar-aux* *bhc* *n* *a*  
**shows** *card* (*dom* (*ahm- $\alpha$ -aux* *bhc* *a*)) = *n*  
 $\langle \text{proof} \rangle$

**lemma** *finite-dom-ahm- $\alpha$* :  
**assumes** *is-bounded-hashcode* *Id* (=) *bhc*    *ahm-invar* *bhc* *hm*  
**shows** *finite* (*dom* (*ahm- $\alpha$*  *bhc* *hm*))  
 $\langle \text{proof} \rangle$

*ahm-empty*

**lemma** *ahm-invar-aux-new-array*:  
**assumes**  $n > 1$   
**shows** *ahm-invar-aux* *bhc*  $0$  (*new-array* [] *n*)  
 $\langle \text{proof} \rangle$

**lemma** *ahm-invar-new-hashmap-with*:  
 $n > 1 \implies \text{ahm-invar } \text{bhc} (\text{new-hashmap-with } n)$   
 $\langle \text{proof} \rangle$

**lemma** *ahm- $\alpha$ -new-hashmap-with*:  
**assumes** *is-bounded-hashcode* *Id* (=) *bhc* **and**  $n > 1$   
**shows** *Map.empty* = *ahm- $\alpha$*  *bhc* (*new-hashmap-with* *n*)  
 $\langle \text{proof} \rangle$

**lemma** *ahm-empty-impl*:

**assumes** *bhc*: *is-bounded-hashcode Id (=) bhc*

**assumes** *def-size*: *def-size > 1*

**shows** (*ahm-empty def-size*, *Map.empty*)  $\in$  *ahm-map-rel' bhc*  
 $\langle$ *proof* $\rangle$

**lemma** *param-ahm-empty[param]*:

**assumes** *def-size*: (*def-size*, *def-size'*)  $\in$  *nat-rel*

**shows** (*ahm-empty def-size* ,*ahm-empty def-size'*)  $\in$   
 $\langle$ *Rk, Rv* $\rangle$  *ahm-map-rel*

$\langle$ *proof* $\rangle$

**lemma** *autoref-ahm-empty[autoref-rules]*:

**fixes** *Rk* :: ('*kc*  $\times$  '*ka*) *set*

**assumes** *bhc*: *SIDE-GEN-ALGO (is-bounded-hashcode Rk eq bhc)*

**assumes** *def-size*: *SIDE-GEN-ALGO (is-valid-def-hm-size TYPE('kc) def-size)*

**shows** (*ahm-empty def-size*, *op-map-empty*)  $\in$   $\langle$ *Rk, Rv* $\rangle$  *ahm-rel bhc*  
 $\langle$ *proof* $\rangle$

*ahm-lookup*

**lemma** *param-ahm-lookup[param]*:

**assumes** *bhc*: *is-bounded-hashcode Rk eq bhc*

**defines** *bhc'-def*: *bhc'  $\equiv$  abstract-bounded-hashcode Rk bhc*

**assumes** *inv*: *ahm-invar bhc' m'*

**assumes** *K*: (*k*, *k'*)  $\in$  *Rk*

**assumes** *M*: (*m*, *m'*)  $\in$   $\langle$ *Rk, Rv* $\rangle$  *ahm-map-rel*

**shows** (*ahm-lookup eq bhc k m*, *ahm-lookup (=) bhc' k' m'*)  $\in$   
 $\langle$ *Rv* $\rangle$  *option-rel*

$\langle$ *proof* $\rangle$

**lemma** *ahm-lookup-impl*:

**assumes** *bhc*: *is-bounded-hashcode Id (=) bhc*

**shows** (*ahm-lookup (=) bhc*, *op-map-lookup*)  $\in$  *Id*  $\rightarrow$  *ahm-map-rel' bhc*  $\rightarrow$  *Id*  
 $\langle$ *proof* $\rangle$

**lemma** *autoref-ahm-lookup[autoref-rules]*:

**assumes**

*bhc[unfolded autoref-tag-defs]*: *SIDE-GEN-ALGO (is-bounded-hashcode Rk eq bhc)*

**shows** (*ahm-lookup eq bhc*, *op-map-lookup*)

$\in$  *Rk*  $\rightarrow$   $\langle$ *Rk, Rv* $\rangle$  *ahm-rel bhc*  $\rightarrow$   $\langle$ *Rv* $\rangle$  *option-rel*

$\langle$ *proof* $\rangle$

*ahm-iteratei*

**abbreviation** *ahm-to-list*  $\equiv$  *it-to-list ahm-iteratei*

**lemma** *param-ahm-iteratei-aux*[*param*]:  
 $(ahm-iteratei-aux, ahm-iteratei-aux) \in \langle \langle Ra \rangle list-rel \rangle array-rel \rightarrow$   
 $(Rb \rightarrow bool-rel) \rightarrow (Ra \rightarrow Rb \rightarrow Rb) \rightarrow Rb \rightarrow Rb$   
 ⟨*proof*⟩

**lemma** *param-ahm-iteratei*[*param*]:  
 $(ahm-iteratei, ahm-iteratei) \in \langle Rk, Rv \rangle ahm-map-rel \rightarrow$   
 $(Rb \rightarrow bool-rel) \rightarrow (\langle Rk, Rv \rangle prod-rel \rightarrow Rb \rightarrow Rb) \rightarrow Rb \rightarrow Rb$   
 ⟨*proof*⟩

**lemma** *param-ahm-to-list*[*param*]:  
 $(ahm-to-list, ahm-to-list) \in$   
 $\langle Rk, Rv \rangle ahm-map-rel \rightarrow \langle \langle Rk, Rv \rangle prod-rel \rangle list-rel$   
 ⟨*proof*⟩

**lemma** *ahm-to-list-distinct*[*simp, intro*]:  
**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**assumes** *inv*: *ahm-invar* *bhc* *m*  
**shows** *distinct* (*ahm-to-list* *m*)  
 ⟨*proof*⟩

**lemma** *set-ahm-to-list*:  
**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**assumes** *ref*:  $(m, m') \in ahm-map-rel'$  *bhc*  
**shows** *map-to-set*  $m' = set$  (*ahm-to-list* *m*)  
 ⟨*proof*⟩

**lemma** *ahm-iteratei-aux-impl*:  
**assumes** *inv*: *ahm-invar-aux* *bhc* *n* *a*  
**and** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**shows** *map-iterator* (*ahm-iteratei-aux* *a*) (*ahm- $\alpha$ -aux* *bhc* *a*)  
 ⟨*proof*⟩

**lemma** *ahm-iteratei-impl*:  
**assumes** *inv*: *ahm-invar* *bhc* *m*  
**and** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**shows** *map-iterator* (*ahm-iteratei* *m*) (*ahm- $\alpha$*  *bhc* *m*)  
 ⟨*proof*⟩

**lemma** *autoref-ahm-is-iterator*[*autoref-ga-rules*]:  
**assumes** *bhc*: *GEN-ALGO-tag* (*is-bounded-hashcode* *Rk* *eq* *bhc*)  
**shows** *is-map-to-list* *Rk* *Rv* (*ahm-rel* *bhc*) *ahm-to-list*  
 ⟨*proof*⟩

**lemma** *ahm-iteratei-aux-code*[code]:

*ahm-iteratei-aux* *a c f*  $\sigma = \text{idx-iteratei array-get array-length } a \text{ } c$   
 $(\lambda x. \text{foldli } x \text{ } c \text{ } f) \sigma$

*<proof>*

*ahm-rehash*

**lemma** *array-length-ahm-rehash-aux'*:

*array-length* (*ahm-rehash-aux'* *bhc n kv a*) = *array-length a*

*<proof>*

**lemma** *ahm-rehash-aux'-preserves-ahm-invar-aux*:

**assumes** *inv*: *ahm-invar-aux bhc n a*

**and** *bhc*: *is-bounded-hashcode Id (=) bhc*

**and** *fresh*:  $k \notin \text{fst 'set (array-get } a \text{ (bhc (array-length } a) \text{ } k))}$

**shows** *ahm-invar-aux bhc (Suc n) (ahm-rehash-aux' bhc (array-length a) (k, v) a)*

**(is** *ahm-invar-aux bhc - ?a*)

*<proof>*

**lemma** *ahm-rehash-aux-correct*:

**fixes** *a* ::  $('k \times 'v)$  *list array*

**assumes** *bhc*: *is-bounded-hashcode Id (=) bhc*

**and** *inv*: *ahm-invar-aux bhc n a*

**and** *sz* > 1

**shows** *ahm-invar-aux bhc n (ahm-rehash-aux bhc a sz)* **(is** *?thesis1*)

**and** *ahm- $\alpha$ -aux bhc (ahm-rehash-aux bhc a sz) = ahm- $\alpha$ -aux bhc a* **(is** *?thesis2*)

*<proof>*

**lemma** *ahm-rehash-correct*:

**fixes** *hm* ::  $('k, 'v)$  *hashmap*

**assumes** *bhc*: *is-bounded-hashcode Id (=) bhc*

**and** *inv*: *ahm-invar bhc hm*

**and** *sz* > 1

**shows** *ahm-invar bhc (ahm-rehash bhc hm sz)*

*ahm- $\alpha$  bhc (ahm-rehash bhc hm sz) = ahm- $\alpha$  bhc hm*

*<proof>*

*ahm-update*

**lemma** *param-hm-grow*[*param*]:

$(\text{hm-grow}, \text{hm-grow}) \in \langle Rk, Rv \rangle \text{ahm-map-rel} \rightarrow \text{nat-rel}$

*<proof>*

**lemma** *param-ahm-rehash-aux'*[*param*]:

**assumes** *is-bounded-hashcode Rk eq bhc*

**assumes**  $1 < n$   
**assumes**  $(bhc, bhc') \in \text{nat-rel} \rightarrow Rk \rightarrow \text{nat-rel}$   
**assumes**  $(n, n') \in \text{nat-rel}$  **and**  $n = \text{array-length } a$   
**assumes**  $(kv, kv') \in \langle Rk, Rv \rangle \text{prod-rel}$   
**assumes**  $(a, a') \in \langle \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rangle \text{array-rel}$   
**shows**  $(\text{ahm-rehash-aux}' bhc n kv a, \text{ahm-rehash-aux}' bhc' n' kv' a') \in$   
 $\langle \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rangle \text{array-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-new-array*[*param*]:  
 $(\text{new-array}, \text{new-array}) \in R \rightarrow \text{nat-rel} \rightarrow \langle R \rangle \text{array-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-foldli-induct*:  
**assumes**  $l: (l, l') \in \langle Ra \rangle \text{list-rel}$   
**assumes**  $c: (c, c') \in Rb \rightarrow \text{bool-rel}$   
**assumes**  $\sigma: (\sigma, \sigma') \in Rb$   
**assumes**  $P\sigma: P \sigma \sigma'$   
**assumes**  $f: \bigwedge a a' b b'. (a, a') \in Ra \implies (b, b') \in Rb \implies c b \implies c' b' \implies$   
 $P b b' \implies (f a b, f' a' b') \in Rb \wedge$   
 $P (f a b) (f' a' b')$   
**shows**  $(\text{foldli } l c f \sigma, \text{foldli } l' c' f' \sigma') \in Rb$   
 $\langle \text{proof} \rangle$

**lemma** *param-foldli-induct-nocond*:  
**assumes**  $l: (l, l') \in \langle Ra \rangle \text{list-rel}$   
**assumes**  $\sigma: (\sigma, \sigma') \in Rb$   
**assumes**  $P\sigma: P \sigma \sigma'$   
**assumes**  $f: \bigwedge a a' b b'. (a, a') \in Ra \implies (b, b') \in Rb \implies P b b' \implies$   
 $(f a b, f' a' b') \in Rb \wedge P (f a b) (f' a' b')$   
**shows**  $(\text{foldli } l (\lambda-. \text{True}) f \sigma, \text{foldli } l' (\lambda-. \text{True}) f' \sigma') \in Rb$   
 $\langle \text{proof} \rangle$

**lemma** *param-ahm-rehash-aux*[*param*]:  
**assumes**  $bhc: \text{is-bounded-hashcode } Rk \text{ eq } bhc$   
**assumes**  $bhc\text{-rel}: (bhc, bhc') \in \text{nat-rel} \rightarrow Rk \rightarrow \text{nat-rel}$   
**assumes**  $A: (a, a') \in \langle \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rangle \text{array-rel}$   
**assumes**  $N: (n, n') \in \text{nat-rel} \quad 1 < n$   
**shows**  $(\text{ahm-rehash-aux } bhc a n, \text{ahm-rehash-aux } bhc' a' n') \in$   
 $\langle \langle \langle Rk, Rv \rangle \text{prod-rel} \rangle \text{list-rel} \rangle \text{array-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-ahm-rehash*[*param*]:  
**assumes**  $bhc: \text{is-bounded-hashcode } Rk \text{ eq } bhc$   
**assumes**  $bhc\text{-rel}: (bhc, bhc') \in \text{nat-rel} \rightarrow Rk \rightarrow \text{nat-rel}$   
**assumes**  $M: (m, m') \in \langle Rk, Rv \rangle \text{ahm-map-rel}$

**assumes**  $N: (n, n') \in \text{nat-rel} \quad 1 < n$   
**shows**  $(\text{ahm-rehash } \text{bhc } m \ n, \text{ahm-rehash } \text{bhc}' \ m' \ n') \in$   
 $\langle Rk, Rv \rangle \text{ahm-map-rel}$

*<proof>*

**lemma** *param-load-factor*[*param*]:  
 $(\text{load-factor}, \text{load-factor}) \in \text{nat-rel}$   
*<proof>*

**lemma** *param-ahm-filled*[*param*]:  
 $(\text{ahm-filled}, \text{ahm-filled}) \in \langle Rk, Rv \rangle \text{ahm-map-rel} \rightarrow \text{bool-rel}$   
*<proof>*

**lemma** *param-ahm-update-aux*[*param*]:  
**assumes**  $\text{bhc}: \text{is-bounded-hashcode } Rk \text{ eq } \text{bhc}$   
**assumes**  $\text{bhc-rel}: (\text{bhc}, \text{bhc}') \in \text{nat-rel} \rightarrow Rk \rightarrow \text{nat-rel}$   
**assumes**  $\text{inv}: \text{ahm-invar } \text{bhc}' \ m'$   
**assumes**  $K: (k, k') \in Rk$   
**assumes**  $V: (v, v') \in Rv$   
**assumes**  $M: (m, m') \in \langle Rk, Rv \rangle \text{ahm-map-rel}$   
**shows**  $(\text{ahm-update-aux eq } \text{bhc } m \ k \ v,$   
 $\text{ahm-update-aux } (=) \text{bhc}' \ m' \ k' \ v') \in \langle Rk, Rv \rangle \text{ahm-map-rel}$   
*<proof>*

**lemma** *param-ahm-update*[*param*]:  
**assumes**  $\text{bhc}: \text{is-bounded-hashcode } Rk \text{ eq } \text{bhc}$   
**assumes**  $\text{bhc-rel}: (\text{bhc}, \text{bhc}') \in \text{nat-rel} \rightarrow Rk \rightarrow \text{nat-rel}$   
**assumes**  $\text{inv}: \text{ahm-invar } \text{bhc}' \ m'$   
**assumes**  $K: (k, k') \in Rk$   
**assumes**  $V: (v, v') \in Rv$   
**assumes**  $M: (m, m') \in \langle Rk, Rv \rangle \text{ahm-map-rel}$   
**shows**  $(\text{ahm-update eq } \text{bhc } k \ v \ m, \text{ahm-update } (=) \text{bhc}' \ k' \ v' \ m') \in$   
 $\langle Rk, Rv \rangle \text{ahm-map-rel}$   
*<proof>*

**lemma** *length-list-map-update*:  
 $\text{length } (\text{list-map-update } (=) \ k \ v \ xs) =$   
 $(\text{if } \text{list-map-lookup } (=) \ k \ xs = \text{None} \text{ then } \text{Suc } (\text{length } xs) \text{ else } \text{length } xs)$   
 $(\text{is } ?l\text{-new } = \text{-})$   
*<proof>*

**lemma** *length-list-map-delete*:  
 $\text{length } (\text{list-map-delete } (=) \ k \ xs) =$   
 $(\text{if } \text{list-map-lookup } (=) \ k \ xs = \text{None} \text{ then } \text{length } xs \text{ else } \text{length } xs - 1)$   
 $(\text{is } ?l\text{-new } = \text{-})$   
*<proof>*



**lemma** *ahm-update-impl*:

**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**shows** (*ahm-update* (=) *bhc*, *op-map-update*)  $\in$  (*Id*::('k×'k) *set*)  $\rightarrow$   
(*Id*::('v×'v) *set*)  $\rightarrow$  *ahm-map-rel'* *bhc*  $\rightarrow$  *ahm-map-rel'* *bhc*  
⟨*proof*⟩

**lemma** *autoref-ahm-update*[*autoref-rules*]:

**assumes** *bhc*[*unfolded autoref-tag-defs*]:  
*SIDE-GEN-ALGO* (*is-bounded-hashcode* *Rk* *eq* *bhc*)  
**shows** (*ahm-update* *eq* *bhc*, *op-map-update*)  $\in$   
*Rk*  $\rightarrow$  *Rv*  $\rightarrow$   $\langle$ *Rk*,*Rv* $\rangle$  *ahm-rel* *bhc*  $\rightarrow$   $\langle$ *Rk*,*Rv* $\rangle$  *ahm-rel* *bhc*  
⟨*proof*⟩

*ahm-delete*

**lemma** *param-ahm-delete*[*param*]:

**assumes** *isbhc*: *is-bounded-hashcode* *Rk* *eq* *bhc*  
**assumes** *bhc*: (*bhc*,*bhc'*)  $\in$  *nat-rel*  $\rightarrow$  *Rk*  $\rightarrow$  *nat-rel*  
**assumes** *inv*: *ahm-invar* *bhc'* *m'*  
**assumes** *K*: (*k*,*k'*)  $\in$  *Rk*  
**assumes** *M*: (*m*,*m'*)  $\in$   $\langle$ *Rk*,*Rv* $\rangle$  *ahm-map-rel*  
**shows**  
(*ahm-delete* *eq* *bhc* *k* *m*, *ahm-delete* (=) *bhc'* *k'* *m'*)  $\in$   
 $\langle$ *Rk*,*Rv* $\rangle$  *ahm-map-rel*  
⟨*proof*⟩

**lemma** *ahm-delete-impl*:

**assumes** *bhc*: *is-bounded-hashcode* *Id* (=) *bhc*  
**shows** (*ahm-delete* (=) *bhc*, *op-map-delete*)  $\in$  (*Id*::('k×'k) *set*)  $\rightarrow$   
*ahm-map-rel'* *bhc*  $\rightarrow$  *ahm-map-rel'* *bhc*  
⟨*proof*⟩

**lemma** *autoref-ahm-delete*[*autoref-rules*]:

**assumes** *bhc*[*unfolded autoref-tag-defs*]:  
*SIDE-GEN-ALGO* (*is-bounded-hashcode* *Rk* *eq* *bhc*)  
**shows** (*ahm-delete* *eq* *bhc*, *op-map-delete*)  $\in$   
*Rk*  $\rightarrow$   $\langle$ *Rk*,*Rv* $\rangle$  *ahm-rel* *bhc*  $\rightarrow$   $\langle$ *Rk*,*Rv* $\rangle$  *ahm-rel* *bhc*  
⟨*proof*⟩

### Various simple operations

**lemma** *param-ahm-isEmpty*[*param*]:

(*ahm-isEmpty*, *ahm-isEmpty*)  $\in$   $\langle$ *Rk*,*Rv* $\rangle$  *ahm-map-rel*  $\rightarrow$  *bool-rel*  
⟨*proof*⟩

**lemma** *param-ahm-isSng*[*param*]:

(*ahm-isSng*, *ahm-isSng*)  $\in \langle Rk, Rv \rangle \text{ahm-map-rel} \rightarrow \text{bool-rel}$   
 ⟨*proof*⟩

**lemma** *param-ahm-size*[*param*]:

(*ahm-size*, *ahm-size*)  $\in \langle Rk, Rv \rangle \text{ahm-map-rel} \rightarrow \text{nat-rel}$   
 ⟨*proof*⟩

**lemma** *ahm-isEmpty-impl*:

**assumes** *is-bounded-hashcode Id (=) bhc*  
**shows** (*ahm-isEmpty*, *op-map-isEmpty*)  $\in \text{ahm-map-rel}' \text{ bhc} \rightarrow \text{bool-rel}$   
 ⟨*proof*⟩

**lemma** *ahm-isSng-impl*:

**assumes** *is-bounded-hashcode Id (=) bhc*  
**shows** (*ahm-isSng*, *op-map-isSng*)  $\in \text{ahm-map-rel}' \text{ bhc} \rightarrow \text{bool-rel}$   
 ⟨*proof*⟩

**lemma** *ahm-size-impl*:

**assumes** *is-bounded-hashcode Id (=) bhc*  
**shows** (*ahm-size*, *op-map-size*)  $\in \text{ahm-map-rel}' \text{ bhc} \rightarrow \text{nat-rel}$   
 ⟨*proof*⟩

**lemma** *autoref-ahm-isEmpty*[*autoref-rules*]:

**assumes** *bhc[unfolded autoref-tag-defs]*:  
*SIDE-GEN-ALGO (is-bounded-hashcode Rk eq bhc)*  
**shows** (*ahm-isEmpty*, *op-map-isEmpty*)  $\in \langle Rk, Rv \rangle \text{ahm-rel} \text{ bhc} \rightarrow \text{bool-rel}$   
 ⟨*proof*⟩

**lemma** *autoref-ahm-isSng*[*autoref-rules*]:

**assumes** *bhc[unfolded autoref-tag-defs]*:  
*SIDE-GEN-ALGO (is-bounded-hashcode Rk eq bhc)*  
**shows** (*ahm-isSng*, *op-map-isSng*)  $\in \langle Rk, Rv \rangle \text{ahm-rel} \text{ bhc} \rightarrow \text{bool-rel}$   
 ⟨*proof*⟩

**lemma** *autoref-ahm-size*[*autoref-rules*]:

**assumes** *bhc[unfolded autoref-tag-defs]*:  
*SIDE-GEN-ALGO (is-bounded-hashcode Rk eq bhc)*  
**shows** (*ahm-size*, *op-map-size*)  $\in \langle Rk, Rv \rangle \text{ahm-rel} \text{ bhc} \rightarrow \text{nat-rel}$   
 ⟨*proof*⟩

**lemma** *ahm-map-rel-sv*[*relator-props*]:

**assumes** *SK: single-valued Rk*  
**assumes** *SV: single-valued Rv*  
**shows** *single-valued* ( $\langle Rk, Rv \rangle \text{ahm-map-rel}$ )  
 ⟨*proof*⟩

**lemma** *ahm-rel-sv*[*relator-props*]:  
 [[*single-valued Rk*; *single-valued Rv*]]  
 $\implies$  *single-valued* ( $\langle Rk, Rv \rangle$  *ahm-rel* *bhc*)  
 ⟨*proof*⟩

**lemma** *rbt-map-rel-finite*[*relator-props*]:  
**assumes** *A*[*simplified*]: *GEN-ALGO-tag* (*is-bounded-hashcode Rk eq bhc*)  
**shows** *finite-map-rel* ( $\langle Rk, Rv \rangle$  *ahm-rel* *bhc*)  
 ⟨*proof*⟩

### Proper iterator proofs

**lemma** *pi-ahm*[*icf-proper-iteratorI*]:  
*proper-it* (*ahm-iteratei* *m*) (*ahm-iteratei* *m*)  
 ⟨*proof*⟩

**lemma** *pi'-ahm*[*icf-proper-iteratorI*]:  
*proper-it'* *ahm-iteratei* *ahm-iteratei*  
 ⟨*proof*⟩

**lemmas** *autoref-ahm-rules* =  
*autoref-ahm-empty*  
*autoref-ahm-lookup*  
*autoref-ahm-update*  
*autoref-ahm-delete*  
*autoref-ahm-isEmpty*  
*autoref-ahm-isSng*  
*autoref-ahm-size*

**lemmas** *autoref-ahm-rules-hashable*[*autoref-rules-raw*]  
 = *autoref-ahm-rules*[**where** *Rk=Rk*] **for** *Rk* :: ( $-\times$ ::*hashable*) *set*

**end**

### 2.3.5 Red-Black Tree based Maps

**theory** *Impl-RBT-Map*  
**imports**  
*HOL-Library.RBT-Impl*  
*../Lib/RBT-add*  
*Automatic-Refinement.Automatic-Refinement*  
*../Iterator/Iterator*  
*../Intf/Intf-Comp*  
*../Intf/Intf-Map*

begin

### Standard Setup

**inductive-set** *color-rel* **where**

(*color.R,color.R*) ∈ *color-rel*  
| (*color.B,color.B*) ∈ *color-rel*

**inductive-cases** *color-rel-elim*:

(*x,color.R*) ∈ *color-rel*  
(*x,color.B*) ∈ *color-rel*  
(*color.R,y*) ∈ *color-rel*  
(*color.B,y*) ∈ *color-rel*

**thm** *color-rel-elim*

**lemma** *param-color*[*param*]:

(*color.R,color.R*) ∈ *color-rel*  
(*color.B,color.B*) ∈ *color-rel*  
(*case-color,case-color*) ∈ *R* → *R* → *color-rel* → *R*  
⟨*proof*⟩

**inductive-set** *rbt-rel-aux* **for** *Ra Rb* **where**

(*rbt.Empty,rbt.Empty*) ∈ *rbt-rel-aux Ra Rb*  
| [ (*c,c'*) ∈ *color-rel*;  
(*l,l'*) ∈ *rbt-rel-aux Ra Rb*; (*a,a'*) ∈ *Ra*; (*b,b'*) ∈ *Rb*;  
(*r,r'*) ∈ *rbt-rel-aux Ra Rb* ]  
⇒ (*rbt.Branch c l a b r, rbt.Branch c' l' a' b' r'*) ∈ *rbt-rel-aux Ra Rb*

**inductive-cases** *rbt-rel-aux-elim*:

(*x,rbt.Empty*) ∈ *rbt-rel-aux Ra Rb*  
(*rbt.Empty,x'*) ∈ *rbt-rel-aux Ra Rb*  
(*rbt.Branch c l a b r,x'*) ∈ *rbt-rel-aux Ra Rb*  
(*x,rbt.Branch c' l' a' b' r'*) ∈ *rbt-rel-aux Ra Rb*

**definition** *rbt-rel* ≡ *rbt-rel-aux*

**lemma** *rbt-rel-aux-fold*: *rbt-rel-aux Ra Rb* ≡ ⟨*Ra,Rb*⟩ *rbt-rel*

⟨*proof*⟩

**lemmas** *rbt-rel-intros* = *rbt-rel-aux.intros*[*unfolded rbt-rel-aux-fold*]

**lemmas** *rbt-rel-cases* = *rbt-rel-aux.cases*[*unfolded rbt-rel-aux-fold*]

**lemmas** *rbt-rel-induct*[*induct set*]

= *rbt-rel-aux.induct*[*unfolded rbt-rel-aux-fold*]

**lemmas** *rbt-rel-elim* = *rbt-rel-aux.elims*[*unfolded rbt-rel-aux-fold*]

**lemma** *param-rbt1*[*param*]:

(*rbt.Empty,rbt.Empty*) ∈ ⟨*Ra,Rb*⟩ *rbt-rel*  
(*rbt.Branch,rbt.Branch*) ∈  
*color-rel* → ⟨*Ra,Rb*⟩ *rbt-rel* → *Ra* → *Rb* → ⟨*Ra,Rb*⟩ *rbt-rel* → ⟨*Ra,Rb*⟩ *rbt-rel*

$\langle \text{proof} \rangle$

**lemma** *param-case-rbt*[*param*]:

$(\text{case-rbt}, \text{case-rbt}) \in$   
 $Ra \rightarrow (\text{color-rel} \rightarrow \langle Rb, Rc \rangle \text{rbt-rel} \rightarrow Rb \rightarrow Rc \rightarrow \langle Rb, Rc \rangle \text{rbt-rel} \rightarrow Ra)$   
 $\rightarrow \langle Rb, Rc \rangle \text{rbt-rel} \rightarrow Ra$   
 $\langle \text{proof} \rangle$

**lemma** *param-rec-rbt*[*param*]:  $(\text{rec-rbt}, \text{rec-rbt}) \in$

$Ra \rightarrow (\text{color-rel} \rightarrow \langle Rb, Rc \rangle \text{rbt-rel} \rightarrow Rb \rightarrow Rc \rightarrow \langle Rb, Rc \rangle \text{rbt-rel}$   
 $\rightarrow Ra \rightarrow Ra \rightarrow Ra) \rightarrow \langle Rb, Rc \rangle \text{rbt-rel} \rightarrow Ra$   
 $\langle \text{proof} \rangle$

**lemma** *param-paint*[*param*]:

$(\text{paint}, \text{paint}) \in \text{color-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-balance*[*param*]:

**shows**  $(\text{balance}, \text{balance}) \in$   
 $\langle Ra, Rb \rangle \text{rbt-rel} \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-rbt-ins*[*param*]:

**fixes** *less*  
**assumes** *param-less*[*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$   
**shows**  $(\text{ord.rbt-ins less}, \text{ord.rbt-ins less}') \in$   
 $(Ra \rightarrow Rb \rightarrow Rb \rightarrow Rb) \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$   
 $\langle \text{proof} \rangle$

**term** *rbt-insert*

**lemma** *param-rbt-insert*[*param*]:

**fixes** *less*  
**assumes** *param-less*[*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$   
**shows**  $(\text{ord.rbt-insert less}, \text{ord.rbt-insert less}') \in$   
 $Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-rbt-lookup*[*param*]:

**fixes** *less*  
**assumes** *param-less*[*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$   
**shows**  $(\text{ord.rbt-lookup less}, \text{ord.rbt-lookup less}') \in$   
 $\langle Ra, Rb \rangle \text{rbt-rel} \rightarrow Ra \rightarrow \langle Rb \rangle \text{option-rel}$   
 $\langle \text{proof} \rangle$

**term** *balance-left*

**lemma** *param-balance-left*[*param*]:

$(\text{balance-left}, \text{balance-left}) \in$   
 $\langle Ra, Rb \rangle \text{rbt-rel} \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$

*<proof>*

**term** *balance-right*

**lemma** *param-balance-right*[*param*]:

$(\text{balance-right}, \text{balance-right}) \in$

$\langle Ra, Rb \rangle \text{rbt-rel} \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$

*<proof>*

**lemma** *param-combine*[*param*]:

$(\text{combine}, \text{combine}) \in \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$

*<proof>*

**lemma** *ih-aux1*:  $\llbracket (a', b) \in R; a' = a \rrbracket \implies (a, b) \in R$  *<proof>*

**lemma** *is-eq*:  $a = b \implies a = b$  *<proof>*

**lemma** *param-rbt-del-aux*:

**fixes** *br*

**fixes** *less*

**assumes** *param-less*[*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$

**shows**

$\llbracket (ak1, ak1') \in Ra; (al, al') \in \langle Ra, Rb \rangle \text{rbt-rel}; (ak, ak') \in Ra;$

$(av, av') \in Rb; (ar, ar') \in \langle Ra, Rb \rangle \text{rbt-rel}$

$\rrbracket \implies (\text{ord.rbt-del-from-left less } ak1 \ al \ ak \ av \ ar,$   
 $\text{ord.rbt-del-from-left less}' \ ak1' \ al' \ ak' \ av' \ ar')$

$\in \langle Ra, Rb \rangle \text{rbt-rel}$

$\llbracket (bk1, bk1') \in Ra; (bl, bl') \in \langle Ra, Rb \rangle \text{rbt-rel}; (bk, bk') \in Ra;$

$(bv, bv') \in Rb; (br, br') \in \langle Ra, Rb \rangle \text{rbt-rel}$

$\rrbracket \implies (\text{ord.rbt-del-from-right less } bk1 \ bl \ bk \ bv \ br,$   
 $\text{ord.rbt-del-from-right less}' \ bk1' \ bl' \ bk' \ bv' \ br')$

$\in \langle Ra, Rb \rangle \text{rbt-rel}$

$\llbracket (ck, ck') \in Ra; (ct, ct') \in \langle Ra, Rb \rangle \text{rbt-rel} \rrbracket$

$\implies (\text{ord.rbt-del less } ck \ ct, \text{ord.rbt-del less}' \ ck' \ ct') \in \langle Ra, Rb \rangle \text{rbt-rel}$

*<proof>*

**lemma** *param-rbt-del*[*param*]:

**fixes** *less*

**assumes** *param-less*:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$

**shows**

$(\text{ord.rbt-del-from-left less}, \text{ord.rbt-del-from-left less}') \in$

$Ra \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$

$(\text{ord.rbt-del-from-right less}, \text{ord.rbt-del-from-right less}') \in$

$Ra \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$

$(\text{ord.rbt-del less}, \text{ord.rbt-del less}') \in$

$Ra \rightarrow \langle Ra, Rb \rangle \text{rbt-rel} \rightarrow \langle Ra, Rb \rangle \text{rbt-rel}$

*<proof>*

**lemma** *param-rbt-delete*[*param*]:

**fixes** *less*

**assumes** *param-less*[*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$

**shows** (*ord.rbt-delete less*, *ord.rbt-delete less'*)  
 $\in Ra \rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
 $\langle proof \rangle$

**term** *ord.rbt-insert-with-key*

**abbreviation** *compare-rel* :: (*RBT-Impl.compare* × -) *set*  
**where** *compare-rel* ≡ *Id*

**lemma** *param-compare*[*param*]:  
 $(RBT\text{-Impl.LT}, RBT\text{-Impl.LT}) \in compare\text{-rel}$   
 $(RBT\text{-Impl.GT}, RBT\text{-Impl.GT}) \in compare\text{-rel}$   
 $(RBT\text{-Impl.EQ}, RBT\text{-Impl.EQ}) \in compare\text{-rel}$   
 $(RBT\text{-Impl.case-compare}, RBT\text{-Impl.case-compare}) \in R \rightarrow R \rightarrow R \rightarrow compare\text{-rel} \rightarrow R$   
 $\langle proof \rangle$

**lemma** *param-rbtreeify-aux*[*param*]:  
 $\llbracket n \leq length\ kvs; (n, n') \in nat\text{-rel}; (kvs, kvs') \in \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel} \rrbracket$   
 $\implies (rbtreeify\text{-f}\ n\ kvs, rbtreeify\text{-f}\ n'\ kvs')$   
 $\in \langle \langle Ra, Rb \rangle rbt\text{-rel}, \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel} \rangle prod\text{-rel}$   
 $\llbracket n \leq Suc\ (length\ kvs); (n, n') \in nat\text{-rel}; (kvs, kvs') \in \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel} \rrbracket$   
 $\implies (rbtreeify\text{-g}\ n\ kvs, rbtreeify\text{-g}\ n'\ kvs')$   
 $\in \langle \langle Ra, Rb \rangle rbt\text{-rel}, \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel} \rangle prod\text{-rel}$   
 $\langle proof \rangle$

**lemma** *param-rbtreeify*[*param*]:  
 $(rbtreeify, rbtreeify) \in \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
 $\langle proof \rangle$

**lemma** *param-sunion-with*[*param*]:  
**fixes** *less*  
**shows**  $\llbracket (less, less') \in Ra \rightarrow Ra \rightarrow Id;$   
 $(f, f') \in (Ra \rightarrow Rb \rightarrow Rb \rightarrow Rb); (a, a') \in \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel};$   
 $(b, b') \in \langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel} \rrbracket$   
 $\implies (ord.sunion\text{-with}\ less\ f\ a\ b, ord.sunion\text{-with}\ less'\ f'\ a'\ b') \in$   
 $\langle \langle Ra, Rb \rangle prod\text{-rel} \rangle list\text{-rel}$   
 $\langle proof \rangle$

**lemma** *skip-red-alt*:  
 $RBT\text{-Impl.skip-red}\ t = (case\ t\ of$   
 $(Branch\ color.\ R\ l\ k\ v\ r) \Rightarrow l$   
 $| - \Rightarrow t)$   
 $\langle proof \rangle$

**function** *compare-height* ::  
 $('a, 'b)\ RBT\text{-Impl.rbt} \Rightarrow ('a, 'b)\ RBT\text{-Impl.rbt} \Rightarrow ('a, 'b)\ RBT\text{-Impl.rbt} \Rightarrow ('a,$   
 $'b)\ RBT\text{-Impl.rbt} \Rightarrow RBT\text{-Impl.compare}$   
**where**  
 $compare\text{-height}\ sx\ s\ t\ tx =$

(*case* (*RBT-Impl.skip-red* *sx*, *RBT-Impl.skip-red* *s*, *RBT-Impl.skip-red* *t*, *RBT-Impl.skip-red* *tx*) of  
 (*Branch* - *sx'* - - -, *Branch* - *s'* - - -, *Branch* - *t'* - - -, *Branch* - *tx'* - - -)  $\Rightarrow$   
   *compare-height* (*RBT-Impl.skip-black* *sx'*) *s'* *t'* (*RBT-Impl.skip-black* *tx'*)  
 | (*-*, *rbt.Empty*, *-*, *Branch* - - - -)  $\Rightarrow$  *RBT-Impl.LT*  
 | (*Branch* - - - - -, *-*, *rbt.Empty*, *-*)  $\Rightarrow$  *RBT-Impl.GT*  
 | (*Branch* - *sx'* - - -, *Branch* - *s'* - - -, *Branch* - *t'* - - -, *rbt.Empty*)  $\Rightarrow$   
   *compare-height* (*RBT-Impl.skip-black* *sx'*) *s'* *t'* *rbt.Empty*  
 | (*rbt.Empty*, *Branch* - *s'* - - -, *Branch* - *t'* - - -, *Branch* - *tx'* - - -)  $\Rightarrow$   
   *compare-height* *rbt.Empty* *s'* *t'* (*RBT-Impl.skip-black* *tx'*)  
 | -  $\Rightarrow$  *RBT-Impl.EQ*  
*<proof>*

**lemma** *skip-red-size*: *size* (*RBT-Impl.skip-red* *b*)  $\leq$  *size* *b*  
*<proof>*

**lemma** *skip-black-size*: *size* (*RBT-Impl.skip-black* *b*)  $\leq$  *size* *b*  
*<proof>*

**termination**  
*<proof>*

**lemmas** [*simp del*] = *compare-height.simps*

**lemma** *compare-height-alt*:  
*RBT-Impl.compare-height* *sx* *s* *t* *tx* = *compare-height* *sx* *s* *t* *tx*  
*<proof>*

**term** *RBT-Impl.skip-red*

**lemma** *param-skip-red*[*param*]: (*RBT-Impl.skip-red*, *RBT-Impl.skip-red*)  
 $\in$   $\langle Rk, Rv \rangle$  *rbt-rel*  $\rightarrow$   $\langle Rk, Rv \rangle$  *rbt-rel*  
*<proof>*

**lemma** *param-skip-black*[*param*]: (*RBT-Impl.skip-black*, *RBT-Impl.skip-black*)  
 $\in$   $\langle Rk, Rv \rangle$  *rbt-rel*  $\rightarrow$   $\langle Rk, Rv \rangle$  *rbt-rel*  
*<proof>*

**term** *case-rbt*

**lemma** *param-case-rbt'*:

**assumes**  $(t, t') \in \langle Rk, Rv \rangle$  *rbt-rel*

**assumes**  $\llbracket t = \text{rbt.Empty}; t' = \text{rbt.Empty} \rrbracket \implies (fl, fl') \in R$

**assumes**  $\bigwedge c \ l \ k \ v \ r \ c' \ l' \ k' \ v' \ r'. \llbracket$

*t* = *Branch* *c* *l* *k* *v* *r*; *t'* = *Branch* *c'* *l'* *k'* *v'* *r'*;

$(c, c') \in \text{color-rel}$ ;

$(l, l') \in \langle Rk, Rv \rangle$  *rbt-rel*;  $(k, k') \in Rk$ ;  $(v, v') \in Rv$ ;  $(r, r') \in \langle Rk, Rv \rangle$  *rbt-rel*

$\rrbracket \implies (fb \ c \ l \ k \ v \ r, fb' \ c' \ l' \ k' \ v' \ r') \in R$

**shows** (*case-rbt* *fl* *fb* *t*, *case-rbt* *fl'* *fb'* *t'*)  $\in R$

*<proof>*



**lemma** *compare-height-param-aux*[*param*]:

[[  $(sx, sx') \in \langle Rk, Rv \rangle rbt\text{-rel}$ ;  $(s, s') \in \langle Rk, Rv \rangle rbt\text{-rel}$ ;  
 $(t, t') \in \langle Rk, Rv \rangle rbt\text{-rel}$ ;  $(tx, tx') \in \langle Rk, Rv \rangle rbt\text{-rel}$  ]]  
 $\implies (\text{compare-height } sx \ s \ tx, \text{compare-height } sx' \ s' \ t' \ tx') \in \text{compare-rel}$   
*<proof>*

**lemma** *compare-height-param*[*param*]:

$(RBT\text{-Impl.compare-height}, RBT\text{-Impl.compare-height}) \in$   
 $\langle Rk, Rv \rangle rbt\text{-rel} \rightarrow \langle Rk, Rv \rangle rbt\text{-rel} \rightarrow \langle Rk, Rv \rangle rbt\text{-rel} \rightarrow \langle Rk, Rv \rangle rbt\text{-rel}$   
 $\rightarrow \text{compare-rel}$   
*<proof>*

**lemma** *rbt-rel-bheight*:  $(t, t') \in \langle Ra, Rb \rangle rbt\text{-rel} \implies \text{bheight } t = \text{bheight } t'$   
*<proof>*

**lemma** *param-rbt-baliL*[*param*]:  $(rbt\text{-baliL}, rbt\text{-baliL}) \in \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow Ra \rightarrow Rb$   
 $\rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
*<proof>*

**lemma** *param-rbt-baliR*[*param*]:  $(rbt\text{-baliR}, rbt\text{-baliR}) \in \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow Ra \rightarrow Rb$   
 $\rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
*<proof>*

**lemma** *param-rbt-joinL*[*param*]:  $(rbt\text{-joinL}, rbt\text{-joinL}) \in \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow Ra \rightarrow$   
 $Rb \rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
*<proof>*

**lemma** *param-rbt-joinR*[*param*]:  
 $(rbt\text{-joinR}, rbt\text{-joinR}) \in \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
*<proof>*

**lemma** *param-rbt-join*[*param*]:  $(rbt\text{-join}, rbt\text{-join}) \in \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow Ra \rightarrow Rb \rightarrow$   
 $\langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
*<proof>*

**lemma** *param-split*[*param*]:

**fixes** *less*

**assumes** [*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$

**shows**  $(\text{ord.rbt-split } \text{less}, \text{ord.rbt-split } \text{less}') \in \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow Ra \rightarrow \langle \langle Ra, Rb \rangle rbt\text{-rel}, \langle \langle Rb \rangle \text{option-rel}, \langle Ra, Rb \rangle rbt\text{-rel} \rangle$   
*<proof>*

**lemma** *param-rbt-union-swap-rec*[*param*]:

**fixes** *less*

**assumes** [*param*]:  $(\text{less}, \text{less}') \in Ra \rightarrow Ra \rightarrow Id$

**shows**  $(\text{ord.rbt-union-swap-rec } \text{less}, \text{ord.rbt-union-swap-rec } \text{less}') \in$

$(Ra \rightarrow Rb \rightarrow Rb \rightarrow Rb) \rightarrow Id \rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel} \rightarrow \langle Ra, Rb \rangle rbt\text{-rel}$   
*<proof>*

**lemma** *param-rbt-union*[*param*]:

**fixes** *less*

**assumes** *param-less*[*param*]:  $(less, less^\wedge) \in Ra \rightarrow Ra \rightarrow Id$

**shows**  $(ord.rbt\text{-}union\ less, ord.rbt\text{-}union\ less^\wedge)$

$\in \langle Ra, Rb \rangle rbt\text{-}rel \rightarrow \langle Ra, Rb \rangle rbt\text{-}rel \rightarrow \langle Ra, Rb \rangle rbt\text{-}rel$

$\langle proof \rangle$

**term** *rm-iterateoi*

**lemma** *param-rm-iterateoi*[*param*]:  $(rm\text{-}iterateoi, rm\text{-}iterateoi)$

$\in \langle Ra, Rb \rangle rbt\text{-}rel \rightarrow (Rc \rightarrow Id) \rightarrow (\langle Ra, Rb \rangle prod\text{-}rel \rightarrow Rc \rightarrow Rc) \rightarrow Rc \rightarrow Rc$

$\langle proof \rangle$

**lemma** *param-rm-reverse-iterateoi*[*param*]:

$(rm\text{-}reverse\text{-}iterateoi, rm\text{-}reverse\text{-}iterateoi)$

$\in \langle Ra, Rb \rangle rbt\text{-}rel \rightarrow (Rc \rightarrow Id) \rightarrow (\langle Ra, Rb \rangle prod\text{-}rel \rightarrow Rc \rightarrow Rc) \rightarrow Rc \rightarrow Rc$

$\langle proof \rangle$

**lemma** *param-color-eq*[*param*]:

$((=), (=)) \in color\text{-}rel \rightarrow color\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** *param-color-of*[*param*]:

$(color\text{-}of, color\text{-}of) \in \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow color\text{-}rel$

$\langle proof \rangle$

**term** *bheight*

**lemma** *param-bheight*[*param*]:

$(bheight, bheight) \in \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** *inv1-param*[*param*]:  $(inv1, inv1) \in \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** *inv2-param*[*param*]:  $(inv2, inv2) \in \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**term** *ord.rbt-less*

**lemma** *rbt-less-param*[*param*]:  $(ord.rbt\text{-}less, ord.rbt\text{-}less) \in$

$(Rk \rightarrow Rk \rightarrow Id) \rightarrow Rk \rightarrow \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**term** *ord.rbt-greater*

**lemma** *rbt-greater-param*[*param*]:  $(ord.rbt\text{-}greater, ord.rbt\text{-}greater) \in$

$(Rk \rightarrow Rk \rightarrow Id) \rightarrow Rk \rightarrow \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** *rbt-sorted-param*[*param*]:

$(ord.rbt\text{-}sorted, ord.rbt\text{-}sorted) \in (Rk \rightarrow Rk \rightarrow Id) \rightarrow \langle Rk, Rv \rangle rbt\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** *is-rbt-param*[*param*]: (*ord.is-rbt, ord.is-rbt*) ∈  
 (*Rk* → *Rk* → *Id*) → ⟨*Rk, Rv*⟩*rbt-rel* → *Id*  
 ⟨*proof*⟩

**definition** *rbt-map-rel'* *lt* = *br* (*ord.rbt-lookup lt*) (*ord.is-rbt lt*)

**lemma** (in *linorder*) *rbt-map-impl*:  
 (*rbt.Empty, Map.empty*) ∈ *rbt-map-rel'* (<)  
 (*rbt-insert, λk v m. m(k ↦ v)*)  
 ∈ *Id* → *Id* → *rbt-map-rel'* (<) → *rbt-map-rel'* (<)  
 (*rbt-lookup, λm k. m k*) ∈ *rbt-map-rel'* (<) → *Id* → ⟨*Id*⟩*option-rel*  
 (*rbt-delete, λk m. m|'(-{k})*) ∈ *Id* → *rbt-map-rel'* (<) → *rbt-map-rel'* (<)  
 (*rbt-union, (++)*)  
 ∈ *rbt-map-rel'* (<) → *rbt-map-rel'* (<) → *rbt-map-rel'* (<)  
 ⟨*proof*⟩

**lemma** *sorted-wrt-keys-true*[*simp*]: *sorted-wrt* (λ(-, -) (-, -). *True*) *l*  
 ⟨*proof*⟩

**definition** *rbt-map-rel-def-internal*:  
*rbt-map-rel lt Rk Rv* ≡ ⟨*Rk, Rv*⟩*rbt-rel* *O* *rbt-map-rel' lt*

**lemma** *rbt-map-rel-def*:  
 ⟨*Rk, Rv*⟩*rbt-map-rel lt* ≡ ⟨*Rk, Rv*⟩*rbt-rel* *O* *rbt-map-rel' lt*  
 ⟨*proof*⟩

**lemma** (in *linorder*) *autoref-gen-rbt-empty*:  
 (*rbt.Empty, Map.empty*) ∈ ⟨*Rk, Rv*⟩*rbt-map-rel* (<)  
 ⟨*proof*⟩

**lemma** (in *linorder*) *autoref-gen-rbt-insert*:  
**fixes** *less-impl*  
**assumes** *param-less*: (*less-impl, (<)*) ∈ *Rk* → *Rk* → *Id*  
**shows** (*ord.rbt-insert less-impl, λk v m. m(k ↦ v)*) ∈  
*Rk* → *Rv* → ⟨*Rk, Rv*⟩*rbt-map-rel* (<) → ⟨*Rk, Rv*⟩*rbt-map-rel* (<)  
 ⟨*proof*⟩

**lemma** (in *linorder*) *autoref-gen-rbt-lookup*:  
**fixes** *less-impl*  
**assumes** *param-less*: (*less-impl, (<)*) ∈ *Rk* → *Rk* → *Id*  
**shows** (*ord.rbt-lookup less-impl, λm k. m k*) ∈  
 ⟨*Rk, Rv*⟩*rbt-map-rel* (<) → *Rk* → ⟨*Rv*⟩*option-rel*  
 ⟨*proof*⟩

**lemma** (in *linorder*) *autoref-gen-rbt-delete*:

**fixes** *less-impl*

**assumes** *param-less*: (*less-impl*, (<)) ∈ *Rk* → *Rk* → *Id*

**shows** (*ord.rbt-delete less-impl*, λ*k m. m |'(-{k})*) ∈  
*Rk* → ⟨*Rk, Rv*⟩*rbt-map-rel* (<) → ⟨*Rk, Rv*⟩*rbt-map-rel* (<)

⟨*proof*⟩

**lemma** (in *linorder*) *autoref-gen-rbt-union*:

**fixes** *less-impl*

**assumes** *param-less*: (*less-impl*, (<)) ∈ *Rk* → *Rk* → *Id*

**shows** (*ord.rbt-union less-impl*, (++) ∈

⟨*Rk, Rv*⟩*rbt-map-rel* (<) → ⟨*Rk, Rv*⟩*rbt-map-rel* (<) → ⟨*Rk, Rv*⟩*rbt-map-rel* (<)

⟨*proof*⟩

## A linear ordering on red-black trees

**abbreviation** *rbt-to-list* *t* ≡ *it-to-list rm-iterateoi t*

**lemma** (in *linorder*) *rbt-to-list-correct*:

**assumes** *SORTED*: *rbt-sorted t*

**shows** *rbt-to-list t* = *sorted-list-of-map (rbt-lookup t) (is ?tl = -)*

⟨*proof*⟩

**definition**

*cmp-rbt cmpk cmpv* ≡ *cmp-img rbt-to-list (cmp-lex (cmp-prod cmpk cmpv))*

**lemma** (in *linorder*) *param-rbt-sorted-list-of-map*[*param*]:

**shows** (*rbt-to-list*, *sorted-list-of-map*) ∈

⟨*Rk, Rv*⟩*rbt-map-rel* (<) → ⟨⟨*Rk, Rv*⟩*prod-rel*⟩*list-rel*

⟨*proof*⟩

**lemma** *param-rbt-sorted-list-of-map'*[*param*]:

**assumes** *ELO*: *eq-linorder cmp'*

**shows** (*rbt-to-list*, *linorder.sorted-list-of-map (comp2le cmp')*) ∈

⟨*Rk, Rv*⟩*rbt-map-rel* (*comp2lt cmp'*) → ⟨⟨*Rk, Rv*⟩*prod-rel*⟩*list-rel*

⟨*proof*⟩

**lemma** *rbt-linorder-impl*:

**assumes** *ELO*: *eq-linorder cmp'*

**assumes** [*param*]: (*cmp, cmp'*) ∈ *Rk* → *Rk* → *Id*

**shows**

(*cmp-rbt cmp*, *cmp-map cmp'*) ∈

(*Rv* → *Rv* → *Id*)

→ ⟨*Rk, Rv*⟩*rbt-map-rel* (*comp2lt cmp'*)

→ ⟨*Rk, Rv*⟩*rbt-map-rel* (*comp2lt cmp'*) → *Id*

⟨*proof*⟩

**lemma** *color-rel-sv*[*relator-props*]: *single-valued color-rel*

⟨*proof*⟩

**lemma** *rbt-rel-sv-aux*:

**assumes** *SK*: *single-valued Rk*  
**assumes** *SV*: *single-valued Rv*  
**assumes** *I1*:  $(a,b) \in \langle Rk, Rv \rangle \text{rbt-rel}$   
**assumes** *I2*:  $(a,c) \in \langle Rk, Rv \rangle \text{rbt-rel}$   
**shows**  $b=c$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-rel-sv[relator-props]*:

**assumes** *SK*: *single-valued Rk*  
**assumes** *SV*: *single-valued Rv*  
**shows** *single-valued*  $(\langle Rk, Rv \rangle \text{rbt-rel})$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-map-rel-sv[relator-props]*:

$\llbracket \text{single-valued Rk}; \text{single-valued Rv} \rrbracket$   
 $\implies \text{single-valued } (\langle Rk, Rv \rangle \text{rbt-map-rel } lt)$   
 $\langle \text{proof} \rangle$

**lemmas**  $[\text{autoref-rel-intf}] = \text{REL-INTFI}[\text{of rbt-map-rel } x \text{ i-map}]$  **for**  $x$

## Second Part: Binding

**lemma** *autoref-rbt-empty[autoref-rules]*:

**assumes** *ELO*: *SIDE-GEN-ALGO* (*eq-linorder cmp'*)  
**assumes**  $[\text{simplified,param}]$ : *GEN-OP cmp cmp' (Rk → Rk → Id)*  
**shows**  $(\text{rbt.Empty}, \text{op-map-empty}) \in$   
 $\langle Rk, Rv \rangle \text{rbt-map-rel } (\text{comp2lt } \text{cmp}' )$   
 $\langle \text{proof} \rangle$

**lemma** *autoref-rbt-update[autoref-rules]*:

**assumes** *ELO*: *SIDE-GEN-ALGO* (*eq-linorder cmp'*)  
**assumes**  $[\text{simplified,param}]$ : *GEN-OP cmp cmp' (Rk → Rk → Id)*  
**shows**  $(\text{ord.rbt-insert } (\text{comp2lt } \text{cmp}), \text{op-map-update}) \in$   
 $Rk \rightarrow Rv \rightarrow \langle Rk, Rv \rangle \text{rbt-map-rel } (\text{comp2lt } \text{cmp}' )$   
 $\rightarrow \langle Rk, Rv \rangle \text{rbt-map-rel } (\text{comp2lt } \text{cmp}' )$   
 $\langle \text{proof} \rangle$

**lemma** *autoref-rbt-lookup[autoref-rules]*:

**assumes** *ELO*: *SIDE-GEN-ALGO* (*eq-linorder cmp'*)  
**assumes**  $[\text{simplified,param}]$ : *GEN-OP cmp cmp' (Rk → Rk → Id)*  
**shows**  $(\lambda k t. \text{ord.rbt-lookup } (\text{comp2lt } \text{cmp}) t k, \text{op-map-lookup}) \in$   
 $Rk \rightarrow \langle Rk, Rv \rangle \text{rbt-map-rel } (\text{comp2lt } \text{cmp}' ) \rightarrow \langle Rv \rangle \text{option-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *autoref-rbt-delete[autoref-rules]*:

**assumes** *ELO*: *SIDE-GEN-ALGO* (*eq-linorder cmp'*)  
**assumes**  $[\text{simplified,param}]$ : *GEN-OP cmp cmp' (Rk → Rk → Id)*

**shows**  $(ord.rbt-delete (comp2lt\ cmp), op-map-delete) \in$   
 $Rk \rightarrow \langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmp')$   
 $\rightarrow \langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmp')$   
 $\langle proof \rangle$

**lemma** *autoref-rbt-union*[*autoref-rules*]:  
**assumes** *ELO*: *SIDE-GEN-ALGO* (*eq-linorder cmp'*)  
**assumes** [*simplified,param*]: *GEN-OP cmp cmp' (Rk→Rk→Id)*  
**shows**  $(ord.rbt-union (comp2lt\ cmp), (++) ) \in$   
 $\langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmp') \rightarrow \langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmp')$   
 $\rightarrow \langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmp')$   
 $\langle proof \rangle$

**lemma** *autoref-rbt-is-iterator*[*autoref-ga-rules*]:  
**assumes** *ELO*: *GEN-ALGO-tag (eq-linorder cmp')*  
**shows** *is-map-to-sorted-list (comp2le cmp') Rk Rv (rbt-map-rel (comp2lt cmp'))*  
*rbt-to-list*  
 $\langle proof \rangle$

**lemmas** [*autoref-ga-rules*] = *class-to-eq-linorder*

**lemma** (*in linorder*) *dflt-cmp-id*:  
 $(dflt-cmp (\leq) (<), dflt-cmp (\leq) (<)) \in Id \rightarrow Id \rightarrow Id$   
 $\langle proof \rangle$

**lemmas** [*autoref-rules*] = *dflt-cmp-id*

**lemma** *rbt-linorder-autoref*[*autoref-rules*]:  
**assumes** *SIDE-GEN-ALGO (eq-linorder cmpk')*  
**assumes** *SIDE-GEN-ALGO (eq-linorder cmpv')*  
**assumes** *GEN-OP cmpk cmpk' (Rk→Rk→Id)*  
**assumes** *GEN-OP cmpv cmpv' (Rv→Rv→Id)*  
**shows**  
 $(cmp-rbt\ cmpk\ cmpv, cmp-map\ cmpk'\ cmpv') \in$   
 $\langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmpk')$   
 $\rightarrow \langle Rk, Rv \rangle rbt-map-rel (comp2lt\ cmpk') \rightarrow Id$   
 $\langle proof \rangle$

**lemma** *map-linorder-impl*[*autoref-ga-rules*]:  
**assumes** *GEN-ALGO-tag (eq-linorder cmpk)*  
**assumes** *GEN-ALGO-tag (eq-linorder cmpv)*  
**shows** *eq-linorder (cmp-map cmpk cmpv)*  
 $\langle proof \rangle$

**lemma** *set-linorder-impl*[*autoref-ga-rules*]:  
**assumes** *GEN-ALGO-tag (eq-linorder cmpk)*

**shows** *eq-linorder* (*cmp-set cmpk*)  
 ⟨*proof*⟩

**lemma** (in *linorder*) *rbt-map-rel-finite-aux*:  
*finite-map-rel* ((*Rk,Rv*)*rbt-map-rel* (<))  
 ⟨*proof*⟩

**lemma** *rbt-map-rel-finite*[*relator-props*]:  
**assumes** *ELO*: *GEN-ALGO-tag* (*eq-linorder cmpk*)  
**shows** *finite-map-rel* ((*Rk,Rv*)*rbt-map-rel* (*comp2lt cmpk*))  
 ⟨*proof*⟩

**abbreviation**

*dflt-rm-rel*  $\equiv$  *rbt-map-rel* (*comp2lt* (*dflt-cmp* ( $\leq$ ) (<)))

**lemmas** [*autoref-post-simps*] = *dflt-cmp-inv2 dflt-cmp-2inv*

**lemma** [*simp,autoref-post-simps*]: *ord.rbt-ins* (<) = *rbt-ins*  
 ⟨*proof*⟩

**lemma** [*autoref-post-simps*]: *ord.rbt-lookup* ((<):::*linorder* $\Rightarrow$ -) = *rbt-lookup*  
 ⟨*proof*⟩

**lemma** [*simp,autoref-post-simps*]:  
*ord.rbt-insert-with-key* (<) = *rbt-insert-with-key*  
*ord.rbt-insert* (<) = *rbt-insert*  
 ⟨*proof*⟩

**lemma** *autoref-comp2eq*[*autoref-rules-raw*]:  
**assumes** *PRIO-TAG-GEN-ALGO*  
**assumes** *ELC*: *SIDE-GEN-ALGO* (*eq-linorder cmp'*)  
**assumes** [*simplified,param*]: *GEN-OP cmp cmp'* (*R* $\rightarrow$ *R* $\rightarrow$ *Id*)  
**shows** (*comp2eq cmp*, (=))  $\in$  *R* $\rightarrow$ *R* $\rightarrow$ *Id*  
 ⟨*proof*⟩

**lemma** *pi'-rm*[*icf-proper-iteratorI*]:  
*proper-it'* *rm-iterateoi* *rm-iterateoi*  
*proper-it'* *rm-reverse-iterateoi* *rm-reverse-iterateoi*  
 ⟨*proof*⟩

**declare** *pi'-rm*[*proper-it*]

**lemmas** *autoref-rbt-rules* =  
*autoref-rbt-empty*  
*autoref-rbt-lookup*  
*autoref-rbt-update*  
*autoref-rbt-delete*

*autoref-rbt-union*

**lemmas** *autoref-rbt-rules-linorder*[*autoref-rules-raw*] =  
*autoref-rbt-rules*[**where** *Rk=Rk*] **for** *Rk* :: ( $\times$  :: *linorder*) *set*

**end**

### 2.3.6 Set by Characteristic Function

**theory** *Impl-Cfun-Set*  
**imports** *../Intf/Intf-Set*  
**begin**

**definition** *fun-set-rel* **where**  
*fun-set-rel-internal-def*:  
*fun-set-rel* *R*  $\equiv$  (*R*  $\rightarrow$  *bool-rel*) *O* *br* *Collect* ( $\lambda$ -. *True*)

**lemma** *fun-set-rel-def*:  $\langle R \rangle$ *fun-set-rel* = (*R*  $\rightarrow$  *bool-rel*) *O* *br* *Collect* ( $\lambda$ -. *True*)  
 $\langle$ *proof* $\rangle$

**lemma** *fun-set-rel-sv*[*relator-props*]:  
 $\llbracket$ *single-valued* *R*; *Range* *R* = *UNIV* $\rrbracket \implies$  *single-valued* ( $\langle R \rangle$ *fun-set-rel*)  
 $\langle$ *proof* $\rangle$

**lemma** *fun-set-rel-RUNIV*[*relator-props*]:  
**assumes** *SV*: *single-valued* *R*  
**shows** *Range* ( $\langle R \rangle$ *fun-set-rel*) = *UNIV*  
 $\langle$ *proof* $\rangle$

**lemmas** [*autoref-rel-intf*] = *REL-INTFI*[*of fun-set-rel i-set*]

**lemma** *fs-mem-refine*[*autoref-rules*]:  $(\lambda x f. f x, (\in)) \in R \rightarrow \langle R \rangle$ *fun-set-rel*  $\rightarrow$  *bool-rel*  
 $\langle$ *proof* $\rangle$

**lemma** *fun-set-Collect-refine*[*autoref-rules*]:  
 $(\lambda x. x, \text{Collect}) \in (R \rightarrow \text{bool-rel}) \rightarrow \langle R \rangle$ *fun-set-rel*  
 $\langle$ *proof* $\rangle$

**lemma** *fun-set-empty-refine*[*autoref-rules*]:  
 $(\lambda x. \text{False}, \{\}) \in \langle R \rangle$ *fun-set-rel*  
 $\langle$ *proof* $\rangle$

**lemma** *fun-set-UNIV-refine*[*autoref-rules*]:  
 $(\lambda x. \text{True}, \text{UNIV}) \in \langle R \rangle$ *fun-set-rel*  
 $\langle$ *proof* $\rangle$

**lemma** *fun-set-union-refine*[*autoref-rules*]:  
 $(\lambda a b x. a x \vee b x, (\cup)) \in \langle R \rangle$ *fun-set-rel*  $\rightarrow \langle R \rangle$ *fun-set-rel*  $\rightarrow \langle R \rangle$ *fun-set-rel*  
 $\langle$ *proof* $\rangle$



**lemma** *fun-set-inter-refine*[*autoref-rules*]:

$(\lambda a b x. a x \wedge b x, (\cap)) \in \langle R \rangle \text{fun-set-rel} \rightarrow \langle R \rangle \text{fun-set-rel} \rightarrow \langle R \rangle \text{fun-set-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-set-diff-refine*[*autoref-rules*]:

$(\lambda a b x. a x \wedge \neg b x, (-)) \in \langle R \rangle \text{fun-set-rel} \rightarrow \langle R \rangle \text{fun-set-rel} \rightarrow \langle R \rangle \text{fun-set-rel}$   
 $\langle \text{proof} \rangle$

**end**

### 2.3.7 Array-Based Maps with Natural Number Keys

**theory** *Impl-Array-Map*

**imports**

*Automatic-Refinement.Automatic-Refinement*

*../Lib/Diff-Array*

*../Iterator/Iterator*

*../Gen/Gen-Map*

*../Intf/Intf-Comp*

*../Intf/Intf-Map*

**begin**

**type-synonym** *'v iam* = *'v option array*

#### Definitions

**definition** *iam- $\alpha$*  :: *'v iam*  $\Rightarrow$  *nat*  $\rightarrow$  *'v* **where**

*iam- $\alpha$*  *a i*  $\equiv$  *if* *i* < *array-length a* *then* *array-get a i* *else* *None*

**lemma** [*code*]: *iam- $\alpha$*  *a i*  $\equiv$  *array-get-oo None a i*

$\langle \text{proof} \rangle$

**abbreviation** *iam-invar* :: *'v iam*  $\Rightarrow$  *bool* **where** *iam-invar*  $\equiv$   $\lambda \cdot$ . *True*

**definition** *iam-empty* :: *unit*  $\Rightarrow$  *'v iam*

**where** *iam-empty*  $\equiv$   $\lambda \cdot$  :: *unit*. *array-of-list* []

**definition** *iam-lookup* :: *nat*  $\Rightarrow$  *'v iam*  $\rightarrow$  *'v*

**where** [*code-unfold*]: *iam-lookup* *k a*  $\equiv$  *iam- $\alpha$*  *a k*

**definition** *iam-increment* (*l* :: *nat*) *idx*  $\equiv$

*max (idx + 1 - l) (2 \* l + 3)*

**lemma** *incr-correct*:  $\neg \text{idx} < l \implies \text{idx} < l + \text{iam-increment } l \text{ idx}$

$\langle \text{proof} \rangle$

**definition** *iam-update* ::  $\text{nat} \Rightarrow 'v \Rightarrow 'v \text{ iam} \Rightarrow 'v \text{ iam}$   
**where** *iam-update*  $k v a \equiv \text{let}$   
 $l = \text{array-length } a;$   
 $a = \text{if } k < l \text{ then } a \text{ else } \text{array-grow } a \text{ (iam-increment } l k) \text{ None}$   
**in**  
 $\text{array-set } a k \text{ (Some } v)$

**lemma** [*code*]: *iam-update*  $k v a \equiv \text{array-set-oo}$   
 $(\lambda-. \text{let } l = \text{array-length } a \text{ in}$   
 $\text{array-set } (\text{array-grow } a \text{ (iam-increment } l k) \text{ None}) k \text{ (Some } v))$   
 $a k \text{ (Some } v)$   
 $\langle \text{proof} \rangle$

**definition** *iam-delete* ::  $\text{nat} \Rightarrow 'v \text{ iam} \Rightarrow 'v \text{ iam}$   
**where** *iam-delete*  $k a \equiv$   
 $\text{if } k < \text{array-length } a \text{ then } \text{array-set } a k \text{ None else } a$

**lemma** [*code*]: *iam-delete*  $k a \equiv \text{array-set-oo } (\lambda-. a) a k \text{ None}$   
 $\langle \text{proof} \rangle$

**primrec** *iam-iteratei-aux*  
 $:: \text{nat} \Rightarrow ('v \text{ iam}) \Rightarrow ('\sigma \Rightarrow \text{bool}) \Rightarrow (\text{nat} \times 'v \Rightarrow '\sigma \Rightarrow '\sigma) \Rightarrow '\sigma \Rightarrow '\sigma$   
**where**  
 $\text{iam-iteratei-aux } 0 a c f \sigma = \sigma$   
 $| \text{iam-iteratei-aux } (\text{Suc } i) a c f \sigma = ($   
 $\text{if } c \sigma \text{ then}$   
 $\text{iam-iteratei-aux } i a c f ($   
 $\text{case } \text{array-get } a i \text{ of None} \Rightarrow \sigma \mid \text{Some } x \Rightarrow f (i, x) \sigma$   
 $)$   
 $\text{else } \sigma)$

**definition** *iam-iteratei* ::  $'v \text{ iam} \Rightarrow (\text{nat} \times 'v, '\sigma) \text{ set-iterator}$  **where**  
 $\text{iam-iteratei } a = \text{iam-iteratei-aux } (\text{array-length } a) a$

## Parametricity

**definition** *iam-rel-def-internal*:  
 $\text{iam-rel } R \equiv \langle \langle R \rangle \text{ option-rel} \rangle \text{ array-rel}$

**lemma** *iam-rel-def*:  $\langle R \rangle \text{ iam-rel} = \langle \langle R \rangle \text{ option-rel} \rangle \text{ array-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *iam-rel-sv*[*relator-props*]:  
 $\text{single-valued } Rv \implies \text{single-valued } (\langle Rv \rangle \text{ iam-rel})$   
 $\langle \text{proof} \rangle$

**lemma** *param-iam- $\alpha$* [*param*]:  
 $(\text{iam-}\alpha, \text{iam-}\alpha) \in \langle R \rangle \text{ iam-rel} \rightarrow \text{nat-rel} \rightarrow \langle R \rangle \text{ option-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *param-iam-invar*[*param*]:  
 $(iam-invar, iam-invar) \in \langle R \rangle iam-rel \rightarrow bool-rel$   
 $\langle proof \rangle$

**lemma** *param-iam-empty*[*param*]:  
 $(iam-empty, iam-empty) \in unit-rel \rightarrow \langle R \rangle iam-rel$   
 $\langle proof \rangle$

**lemma** *param-iam-lookup*[*param*]:  
 $(iam-lookup, iam-lookup) \in nat-rel \rightarrow \langle R \rangle iam-rel \rightarrow \langle R \rangle option-rel$   
 $\langle proof \rangle$

**lemma** *param-iam-increment*[*param*]:  
 $(iam-increment, iam-increment) \in nat-rel \rightarrow nat-rel \rightarrow nat-rel$   
 $\langle proof \rangle$

**lemma** *param-iam-update*[*param*]:  
 $(iam-update, iam-update) \in nat-rel \rightarrow R \rightarrow \langle R \rangle iam-rel \rightarrow \langle R \rangle iam-rel$   
 $\langle proof \rangle$

**lemma** *param-iam-delete*[*param*]:  
 $(iam-delete, iam-delete) \in nat-rel \rightarrow \langle R \rangle iam-rel \rightarrow \langle R \rangle iam-rel$   
 $\langle proof \rangle$

**lemma** *param-iam-iteratei-aux*[*param*]:  
**assumes**  $I: i \leq array-length\ a$   
**assumes**  $IR: (i, i') \in nat-rel$   
**assumes**  $AR: (a, a') \in \langle Ra \rangle iam-rel$   
**assumes**  $CR: (c, c') \in Rb \rightarrow bool-rel$   
**assumes**  $FR: (f, f') \in \langle nat-rel, Ra \rangle prod-rel \rightarrow Rb \rightarrow Rb$   
**assumes**  $\sigma R: (\sigma, \sigma') \in Rb$   
**shows**  $(iam-iteratei-aux\ i\ a\ c\ f\ \sigma, iam-iteratei-aux\ i'\ a'\ c'\ f'\ \sigma') \in Rb$   
 $\langle proof \rangle$

**lemma** *param-iam-iteratei*[*param*]:  
 $(iam-iteratei, iam-iteratei) \in \langle Ra \rangle iam-rel \rightarrow (Rb \rightarrow bool-rel) \rightarrow$   
 $(\langle nat-rel, Ra \rangle prod-rel \rightarrow Rb \rightarrow Rb) \rightarrow Rb \rightarrow Rb$   
 $\langle proof \rangle$

## Correctness

**definition**  $iam-rel' \equiv br\ iam-\alpha\ iam-invar$

**lemma** *iam-empty-correct*:  
 $(iam-empty\ (), Map.empty) \in iam-rel'$   
 $\langle proof \rangle$

**lemma** *iam-update-correct*:

$$(iam-update, op-map-update) \in nat-rel \rightarrow Id \rightarrow iam-rel' \rightarrow iam-rel'$$

*<proof>*

**lemma** *iam-lookup-correct*:

$$(iam-lookup, op-map-lookup) \in Id \rightarrow iam-rel' \rightarrow \langle Id \rangle option-rel$$

*<proof>*

**lemma** *array-get-set-iff*:  $i < array-length\ a \implies$

$$array-get\ (array-set\ a\ i\ x)\ j = (if\ i=j\ then\ x\ else\ array-get\ a\ j)$$

*<proof>*

**lemma** *iam-delete-correct*:

$$(iam-delete, op-map-delete) \in Id \rightarrow iam-rel' \rightarrow iam-rel'$$

*<proof>*

**definition** *iam-map-rel-def-internal*:

$$iam-map-rel\ Rk\ Rv \equiv$$

*if*  $Rk = nat-rel$  *then*  $\langle Rv \rangle iam-rel\ O\ iam-rel'$  *else*  $\{\}$

**lemma** *iam-map-rel-def*:

$$\langle nat-rel, Rv \rangle iam-map-rel \equiv \langle Rv \rangle iam-rel\ O\ iam-rel'$$

*<proof>*

**lemmas**  $[autoref-rel-intf] = REL-INTFI[of\ iam-map-rel\ i-map]$

**lemma** *iam-map-rel-sv[relator-props]*:

$$single-valued\ Rv \implies single-valued\ (\langle nat-rel, Rv \rangle iam-map-rel)$$

*<proof>*

**lemma** *iam-empty-impl*:

$$(iam-empty\ (),\ op-map-empty) \in \langle nat-rel, R \rangle iam-map-rel$$

*<proof>*

**lemma** *iam-lookup-impl*:

$$(iam-lookup,\ op-map-lookup)$$

$$\in nat-rel \rightarrow \langle nat-rel, R \rangle iam-map-rel \rightarrow \langle R \rangle option-rel$$

*<proof>*

**lemma** *iam-update-impl*:

$$(iam-update,\ op-map-update) \in$$

$$nat-rel \rightarrow R \rightarrow \langle nat-rel, R \rangle iam-map-rel \rightarrow \langle nat-rel, R \rangle iam-map-rel$$

*<proof>*

**lemma** *iam-delete-impl*:

$$(iam-delete,\ op-map-delete) \in$$

$nat-rel \rightarrow \langle nat-rel, R \rangle iam-map-rel \rightarrow \langle nat-rel, R \rangle iam-map-rel$   
 ⟨proof⟩

**lemmas** *iam-map-impl* =  
*iam-empty-impl*  
*iam-lookup-impl*  
*iam-update-impl*  
*iam-delete-impl*

**declare** *iam-map-impl*[autoref-rules]

### Iterator proofs

**abbreviation** *iam-to-list*  $a \equiv it-to-list\ iam-iteratei\ a$

**lemma** *distinct-iam-to-list-aux*:  
**shows**  $\llbracket distinct\ xs; \forall (i, -) \in set\ xs. i \geq n \rrbracket \implies$   
 $distinct\ (iam-iteratei-aux\ n\ a$   
 $\quad (\lambda-. True)\ (\lambda x\ y. y\ @\ [x])\ xs)$   
**(is**  $\llbracket -; - \rrbracket \implies distinct\ (?iam-to-list-aux\ n\ xs)$   
 ⟨proof⟩

**lemma** *distinct-iam-to-list*:  
 $distinct\ (iam-to-list\ a)$   
 ⟨proof⟩

**lemma** *iam-to-list-set-correct-aux*:  
**assumes**  $(a, m) \in iam-rel'$   
**shows**  $\llbracket n \leq array-length\ a; map-to-set\ m - \{(k, v). k < n\} = set\ xs \rrbracket$   
 $\implies map-to-set\ m =$   
 $set\ (iam-iteratei-aux\ n\ a\ (\lambda-. True)\ (\lambda x\ y. y\ @\ [x])\ xs)$   
 ⟨proof⟩

**lemma** *iam-to-list-set-correct*:  
**assumes**  $(a, m) \in iam-rel'$   
**shows**  $map-to-set\ m = set\ (iam-to-list\ a)$   
 ⟨proof⟩

**lemma** *iam-iteratei-aux-append*:  
 $n \leq length\ xs \implies iam-iteratei-aux\ n\ (Array\ (xs\ @\ ys)) =$   
 $iam-iteratei-aux\ n\ (Array\ xs)$   
 ⟨proof⟩

**lemma** *iam-iteratei-append*:  
 $iam-iteratei\ (Array\ (xs\ @\ [None]))\ c\ f\ \sigma =$   
 $iam-iteratei\ (Array\ xs)\ c\ f\ \sigma$   
 $iam-iteratei\ (Array\ (xs\ @\ [Some\ x]))\ c\ f\ \sigma =$   
 $iam-iteratei\ (Array\ xs)\ c\ f$   
 $(if\ c\ \sigma\ then\ (f\ (length\ xs,\ x)\ \sigma)\ else\ \sigma)$

$\langle proof \rangle$

**lemma** *iam-iteratei-aux-Cons*:

$$n < \text{array-length } a \implies$$

$$\text{iam-iteratei-aux } n \ a \ (\lambda-. \text{ True}) \ (\lambda x \ l. \ l \ @ \ [x]) \ (x \# \ xs) =$$

$$x \ \# \ \text{iam-iteratei-aux } n \ a \ (\lambda-. \text{ True}) \ (\lambda x \ l. \ l \ @ \ [x]) \ xs$$

$\langle proof \rangle$

**lemma** *iam-to-list-append*:

$$\text{iam-to-list } (\text{Array } (xs \ @ \ [None])) = \text{iam-to-list } (\text{Array } xs)$$

$$\text{iam-to-list } (\text{Array } (xs \ @ \ [Some \ x])) =$$

$$(\text{length } xs, \ x) \ \# \ \text{iam-to-list } (\text{Array } xs)$$

$\langle proof \rangle$

**lemma** *autoref-iam-is-iterator*[*autoref-ga-rules*]:

**shows** *is-map-to-list nat-rel Rv iam-map-rel iam-to-list*

$\langle proof \rangle$

**lemmas** [*autoref-ga-rules*] =

*autoref-iam-is-iterator*[*unfolded is-map-to-list-def*]

**lemma** *iam-iteratei-altdef*:

$$\text{iam-iteratei } a = \text{foldli } (\text{iam-to-list } a)$$

$$(\text{is } ?f \ a = ?g \ (\text{iam-to-list } a))$$

$\langle proof \rangle$

**lemma** *pi-iam*[*icf-proper-iteratorI*]:

*proper-it* (*iam-iteratei* *a*) (*iam-iteratei* *a*)

$\langle proof \rangle$

**lemma** *pi'-iam*[*icf-proper-iteratorI*]:

*proper-it'* *iam-iteratei* *iam-iteratei*

$\langle proof \rangle$

**end**

## Chapter 3

# The Original Isabelle Collection Framework

This chapter contains the original Isabelle Collection Framework. It contains a vast amount of verified collection data structures, that are included either directly or by parameterization via locales.

Generic algorithms need to be instantiated manually, and nesting of collections (e.g. sets of sets) is not supported.

### 3.1 Specifications

#### 3.1.1 Specification of Sets

```
theory SetSpec  
imports ICF-Spec-Base  
begin
```

This theory specifies set operations by means of a mapping to HOL's standard sets.

```
notation insert ( $\langle \text{set}'\text{-ins} \rangle$ )
```

```
type-synonym ( $'x, 's$ ) set- $\alpha$  =  $'s \Rightarrow 'x \text{ set}$   
type-synonym ( $'x, 's$ ) set-invar =  $'s \Rightarrow \text{bool}$   
locale set =  
  — Abstraction to set  
  fixes  $\alpha :: 's \Rightarrow 'x \text{ set}$   
  — Invariant  
  fixes invar ::  $'s \Rightarrow \text{bool}$ 
```

```
locale set-no-invar = set +  
  assumes invar[simp, intro!]:  $\bigwedge s. \text{invar } s$ 
```

**Basic Set Functions**

**Empty set** locale *set-empty* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *empty* :: unit  $\Rightarrow 's$   
**assumes** *empty-correct*:  
 $\alpha (\text{empty } ()) = \{\}$   
*invar* (*empty* ())

**Membership Query** locale *set-memb* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *memb* :: 'x  $\Rightarrow 's \Rightarrow \text{bool}$   
**assumes** *memb-correct*:  
*invar*  $s \Longrightarrow \text{memb } x \ s \longleftrightarrow x \in \alpha \ s$

**Insertion of Element** locale *set-ins* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *ins* :: 'x  $\Rightarrow 's \Rightarrow 's$   
**assumes** *ins-correct*:  
*invar*  $s \Longrightarrow \alpha (\text{ins } x \ s) = \text{set-ins } x \ (\alpha \ s)$   
*invar*  $s \Longrightarrow \text{invar } (\text{ins } x \ s)$

**Disjoint Insert** locale *set-ins-dj* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *ins-dj* :: 'x  $\Rightarrow 's \Rightarrow 's$   
**assumes** *ins-dj-correct*:  
 $\llbracket \text{invar } s; x \notin \alpha \ s \rrbracket \Longrightarrow \alpha (\text{ins-dj } x \ s) = \text{set-ins } x \ (\alpha \ s)$   
 $\llbracket \text{invar } s; x \notin \alpha \ s \rrbracket \Longrightarrow \text{invar } (\text{ins-dj } x \ s)$

**Deletion of Single Element** locale *set-delete* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *delete* :: 'x  $\Rightarrow 's \Rightarrow 's$   
**assumes** *delete-correct*:  
*invar*  $s \Longrightarrow \alpha (\text{delete } x \ s) = \alpha \ s - \{x\}$   
*invar*  $s \Longrightarrow \text{invar } (\text{delete } x \ s)$

**Emptiness Check** locale *set-isEmpty* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *isEmpty* :: 's  $\Rightarrow \text{bool}$   
**assumes** *isEmpty-correct*:  
*invar*  $s \Longrightarrow \text{isEmpty } s \longleftrightarrow \alpha \ s = \{\}$

**Bounded Quantifiers** locale *set-ball* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *ball* :: 's  $\Rightarrow ('x \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
**assumes** *ball-correct*: *invar*  $S \Longrightarrow \text{ball } S \ P \longleftrightarrow (\forall x \in \alpha \ S. P \ x)$

locale *set-bex* = set +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$



**fixes**  $be_x :: 's \Rightarrow ('x \Rightarrow bool) \Rightarrow bool$   
**assumes**  $be_x\text{-correct}: \text{invar } S \Longrightarrow be_x S P \longleftrightarrow (\exists x \in \alpha S. P x)$

**Finite Set locale**  $finite\text{-set} = set +$   
**assumes**  $finite[simp, intro!]: \text{invar } s \Longrightarrow finite (\alpha s)$

**Size locale**  $set\text{-size} = finite\text{-set} +$   
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes**  $size :: 's \Rightarrow nat$   
**assumes**  $size\text{-correct}: \text{invar } s \Longrightarrow size s = card (\alpha s)$

**locale**  $set\text{-size}\text{-abort} = finite\text{-set} +$   
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes**  $size\text{-abort} :: nat \Rightarrow 's \Rightarrow nat$   
**assumes**  $size\text{-abort}\text{-correct}: \text{invar } s \Longrightarrow size\text{-abort } m s = min m (card (\alpha s))$

**Singleton sets locale**  $set\text{-sng} = set +$   
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes**  $sng :: 'x \Rightarrow 's$   
**assumes**  $sng\text{-correct}: \alpha (sng x) = \{x\}$   
 $\text{invar } (sng x)$

**locale**  $set\text{-isSng} = set +$   
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes**  $isSng :: 's \Rightarrow bool$   
**assumes**  $isSng\text{-correct}: \text{invar } s \Longrightarrow isSng s \longleftrightarrow (\exists e. \alpha s = \{e\})$

**begin**

**lemma**  $isSng\text{-correct}\text{-exists1} :$   
 $\text{invar } s \Longrightarrow (isSng s \longleftrightarrow (\exists !e. (e \in \alpha s)))$   
 $\langle proof \rangle$

**lemma**  $isSng\text{-correct}\text{-card} :$   
 $\text{invar } s \Longrightarrow (isSng s \longleftrightarrow (card (\alpha s) = 1))$   
 $\langle proof \rangle$

**end**

### Iterators

An iterator applies a function to a state and all the elements of the set. The function is applied in any order. Proofs over the iteration are done by establishing invariants over the iteration. Iterators may have a break-condition, that interrupts the iteration before the last element has been visited.

**type-synonym**  $('x, 's) \text{ set-list-it}$

```

= 's  $\Rightarrow$  ('x, 'x list) set-iterator
locale poly-set-iteratei-defs =
  fixes list-it :: 's  $\Rightarrow$  ('x, 'x list) set-iterator
begin
  definition iteratei :: 's  $\Rightarrow$  ('x, 'σ) set-iterator
    where iteratei S  $\equiv$  it-to-it (list-it S)

  abbreviation iterate s  $\equiv$  iteratei s (λ-. True)
end

locale poly-set-iteratei =
  finite-set + poly-set-iteratei-defs list-it
  for list-it :: 's  $\Rightarrow$  ('x, 'x list) set-iterator +
  constrains α :: 's  $\Rightarrow$  'x set
  assumes list-it-correct: invar s  $\Longrightarrow$  set-iterator (list-it s) (α s)
begin
  lemma iteratei-correct: invar S  $\Longrightarrow$  set-iterator (iteratei S) (α S)
    <proof>

  lemma pi-iteratei[icf-proper-iteratorI]:
    proper-it (iteratei S) (iteratei S)
    <proof>

  lemma iteratei-rule-P:
    [
      invar S;
      I (α S) σ 0;
      !!x it σ. [ c σ; x ∈ it; it ⊆ α S; I it σ ]  $\Longrightarrow$  I (it - {x}) (f x σ);
      !!σ. I {} σ  $\Longrightarrow$  P σ;
      !!σ it. [ it ⊆ α S; it ≠ {}; ¬ c σ; I it σ ]  $\Longrightarrow$  P σ
    ]  $\Longrightarrow$  P (iteratei S c f σ 0)
    <proof>

  lemma iteratei-rule-insert-P:
    [
      invar S;
      I {} σ 0;
      !!x it σ. [ c σ; x ∈ α S - it; it ⊆ α S; I it σ ]  $\Longrightarrow$  I (insert x it) (f x σ);
      !!σ. I (α S) σ  $\Longrightarrow$  P σ;
      !!σ it. [ it ⊆ α S; it ≠ α S; ¬ c σ; I it σ ]  $\Longrightarrow$  P σ
    ]  $\Longrightarrow$  P (iteratei S c f σ 0)
    <proof>

```

Versions without break condition.

```

lemma iterate-rule-P:
  [
    invar S;
    I (α S) σ 0;

```

```

!!x it σ. [ x ∈ it; it ⊆ α S; I it σ ] ⇒ I (it - {x}) (f x σ);
!!σ. I { } σ ⇒ P σ
] ⇒ P (iteratei S (λ-. True) f σ 0)
⟨proof⟩

```

**lemma** *iterate-rule-insert-P*:

```

[
  invar S;
  I { } σ 0;
  !!x it σ. [ x ∈ α S - it; it ⊆ α S; I it σ ] ⇒ I (insert x it) (f x σ);
  !!σ. I (α S) σ ⇒ P σ
] ⇒ P (iteratei S (λ-. True) f σ 0)
⟨proof⟩

```

**end**

### More Set Operations

**Copy** **locale** *set-copy* = *s1*: set α1 *invar1* + *s2*: set α2 *invar2*  
**for** α1 :: 's1 ⇒ 'a set **and** *invar1*  
**and** α2 :: 's2 ⇒ 'a set **and** *invar2*  
+  
**fixes** *copy* :: 's1 ⇒ 's2  
**assumes** *copy-correct*:  
*invar1 s1* ⇒ α2 (*copy s1*) = α1 *s1*  
*invar1 s1* ⇒ *invar2 (copy s1)*

**Union** **locale** *set-union* = *s1*: set α1 *invar1* + *s2*: set α2 *invar2* + *s3*: set α3 *invar3*  
**for** α1 :: 's1 ⇒ 'a set **and** *invar1*  
**and** α2 :: 's2 ⇒ 'a set **and** *invar2*  
**and** α3 :: 's3 ⇒ 'a set **and** *invar3*  
+  
**fixes** *union* :: 's1 ⇒ 's2 ⇒ 's3  
**assumes** *union-correct*:  
*invar1 s1* ⇒ *invar2 s2* ⇒ α3 (*union s1 s2*) = α1 *s1* ∪ α2 *s2*  
*invar1 s1* ⇒ *invar2 s2* ⇒ *invar3 (union s1 s2)*

**locale** *set-union-dj* =  
*s1*: set α1 *invar1* + *s2*: set α2 *invar2* + *s3*: set α3 *invar3*  
**for** α1 :: 's1 ⇒ 'a set **and** *invar1*  
**and** α2 :: 's2 ⇒ 'a set **and** *invar2*  
**and** α3 :: 's3 ⇒ 'a set **and** *invar3*  
+  
**fixes** *union-dj* :: 's1 ⇒ 's2 ⇒ 's3  
**assumes** *union-dj-correct*:  
[[*invar1 s1*; *invar2 s2*; α1 *s1* ∩ α2 *s2* = {}]] ⇒ α3 (*union-dj s1 s2*) = α1 *s1*  
∪ α2 *s2*

$$\llbracket \text{invar1 } s1; \text{invar2 } s2; \alpha1 \ s1 \cap \alpha2 \ s2 = \{\} \rrbracket \Longrightarrow \text{invar3 } (\text{union-dj } s1 \ s2)$$

**locale** *set-union-list* = *s1*: set  $\alpha1$  *invar1* + *s2*: set  $\alpha2$  *invar2*  
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set}$  **and** *invar1*  
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set}$  **and** *invar2*  
+  
**fixes** *union-list* ::  $'s1 \text{ list} \Rightarrow 's2$   
**assumes** *union-list-correct*:  
 $\forall s1 \in \text{set } l. \text{invar1 } s1 \Longrightarrow \alpha2 \ (\text{union-list } l) = \bigcup \{\alpha1 \ s1 \mid s1. \ s1 \in \text{set } l\}$   
 $\forall s1 \in \text{set } l. \text{invar1 } s1 \Longrightarrow \text{invar2 } (\text{union-list } l)$

**Difference** **locale** *set-diff* = *s1*: set  $\alpha1$  *invar1* + *s2*: set  $\alpha2$  *invar2*  
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set}$  **and** *invar1*  
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set}$  **and** *invar2*  
+  
**fixes** *diff* ::  $'s1 \Rightarrow 's2 \Rightarrow 's1$   
**assumes** *diff-correct*:  
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow \alpha1 \ (\text{diff } s1 \ s2) = \alpha1 \ s1 - \alpha2 \ s2$   
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow \text{invar1 } (\text{diff } s1 \ s2)$

**Intersection** **locale** *set-inter* = *s1*: set  $\alpha1$  *invar1* + *s2*: set  $\alpha2$  *invar2* + *s3*:  
set  $\alpha3$  *invar3*  
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set}$  **and** *invar1*  
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set}$  **and** *invar2*  
**and**  $\alpha3 :: 's3 \Rightarrow 'a \text{ set}$  **and** *invar3*  
+  
**fixes** *inter* ::  $'s1 \Rightarrow 's2 \Rightarrow 's3$   
**assumes** *inter-correct*:  
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow \alpha3 \ (\text{inter } s1 \ s2) = \alpha1 \ s1 \cap \alpha2 \ s2$   
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow \text{invar3 } (\text{inter } s1 \ s2)$

**Subset** **locale** *set-subset* = *s1*: set  $\alpha1$  *invar1* + *s2*: set  $\alpha2$  *invar2*  
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set}$  **and** *invar1*  
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set}$  **and** *invar2*  
+  
**fixes** *subset* ::  $'s1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**assumes** *subset-correct*:  
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow \text{subset } s1 \ s2 \longleftrightarrow \alpha1 \ s1 \subseteq \alpha2 \ s2$

**Equal** **locale** *set-equal* = *s1*: set  $\alpha1$  *invar1* + *s2*: set  $\alpha2$  *invar2*  
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set}$  **and** *invar1*  
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set}$  **and** *invar2*  
+  
**fixes** *equal* ::  $'s1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**assumes** *equal-correct*:  
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow \text{equal } s1 \ s2 \longleftrightarrow \alpha1 \ s1 = \alpha2 \ s2$

**Image and Filter** **locale** *set-image-filter* = *s1*: set  $\alpha1$  *invar1* + *s2*: set  $\alpha2$   
*invar2*

```

for  $\alpha 1 :: 's1 \Rightarrow 'a \text{ set}$  and  $\text{invar1}$ 
and  $\alpha 2 :: 's2 \Rightarrow 'b \text{ set}$  and  $\text{invar2}$ 
+
fixes  $\text{image-filter} :: ('a \Rightarrow 'b \text{ option}) \Rightarrow 's1 \Rightarrow 's2$ 
assumes  $\text{image-filter-correct-aux}$ :
   $\text{invar1 } s \Longrightarrow \alpha 2 (\text{image-filter } f s) = \{ b . \exists a \in \alpha 1 s. f a = \text{Some } b \}$ 
   $\text{invar1 } s \Longrightarrow \text{invar2 } (\text{image-filter } f s)$ 
begin
  — This special form will be checked first by the simplifier:
  lemma  $\text{image-filter-correct-aux2}$ :
     $\text{invar1 } s \Longrightarrow$ 
     $\alpha 2 (\text{image-filter } (\lambda x. \text{if } P x \text{ then } (\text{Some } (f x)) \text{ else } \text{None}) s)$ 
     $= f \text{ ` } \{x \in \alpha 1 s. P x\}$ 
     $\langle \text{proof} \rangle$ 

  lemmas  $\text{image-filter-correct} =$ 
     $\text{image-filter-correct-aux2 } \text{image-filter-correct-aux}$ 

end

locale  $\text{set-inj-image-filter} = s1: \text{set } \alpha 1 \text{ invar1} + s2: \text{set } \alpha 2 \text{ invar2}$ 
for  $\alpha 1 :: 's1 \Rightarrow 'a \text{ set}$  and  $\text{invar1}$ 
and  $\alpha 2 :: 's2 \Rightarrow 'b \text{ set}$  and  $\text{invar2}$ 
+
fixes  $\text{inj-image-filter} :: ('a \Rightarrow 'b \text{ option}) \Rightarrow 's1 \Rightarrow 's2$ 
assumes  $\text{inj-image-filter-correct}$ :
   $\llbracket \text{invar1 } s; \text{inj-on } f (\alpha 1 s \cap \text{dom } f) \rrbracket \Longrightarrow \alpha 2 (\text{inj-image-filter } f s) = \{ b . \exists a \in \alpha 1$ 
 $s. f a = \text{Some } b \}$ 
   $\llbracket \text{invar1 } s; \text{inj-on } f (\alpha 1 s \cap \text{dom } f) \rrbracket \Longrightarrow \text{invar2 } (\text{inj-image-filter } f s)$ 

Image locale  $\text{set-image} = s1: \text{set } \alpha 1 \text{ invar1} + s2: \text{set } \alpha 2 \text{ invar2}$ 
for  $\alpha 1 :: 's1 \Rightarrow 'a \text{ set}$  and  $\text{invar1}$ 
and  $\alpha 2 :: 's2 \Rightarrow 'b \text{ set}$  and  $\text{invar2}$ 
+
fixes  $\text{image} :: ('a \Rightarrow 'b) \Rightarrow 's1 \Rightarrow 's2$ 
assumes  $\text{image-correct}$ :
   $\text{invar1 } s \Longrightarrow \alpha 2 (\text{image } f s) = f \text{ ` } \alpha 1 s$ 
   $\text{invar1 } s \Longrightarrow \text{invar2 } (\text{image } f s)$ 

locale  $\text{set-inj-image} = s1: \text{set } \alpha 1 \text{ invar1} + s2: \text{set } \alpha 2 \text{ invar2}$ 
for  $\alpha 1 :: 's1 \Rightarrow 'a \text{ set}$  and  $\text{invar1}$ 
and  $\alpha 2 :: 's2 \Rightarrow 'b \text{ set}$  and  $\text{invar2}$ 
+
fixes  $\text{inj-image} :: ('a \Rightarrow 'b) \Rightarrow 's1 \Rightarrow 's2$ 
assumes  $\text{inj-image-correct}$ :
   $\llbracket \text{invar1 } s; \text{inj-on } f (\alpha 1 s) \rrbracket \Longrightarrow \alpha 2 (\text{inj-image } f s) = f \text{ ` } \alpha 1 s$ 
   $\llbracket \text{invar1 } s; \text{inj-on } f (\alpha 1 s) \rrbracket \Longrightarrow \text{invar2 } (\text{inj-image } f s)$ 

```

**Filter** **locale** *set-filter* =  $s1: \text{set } \alpha1 \text{ invar1} + s2: \text{set } \alpha2 \text{ invar2}$   
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set and invar1}$   
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set and invar2}$   
+  
**fixes**  $filter :: ('a \Rightarrow \text{bool}) \Rightarrow 's1 \Rightarrow 's2$   
**assumes** *filter-correct*:  
 $\text{invar1 } s \Longrightarrow \alpha2 (filter P s) = \{e. e \in \alpha1 s \wedge P e\}$   
 $\text{invar1 } s \Longrightarrow \text{invar2 } (filter P s)$

**Union of Set of Sets** **locale** *set-Union-image* =  
 $s1: \text{set } \alpha1 \text{ invar1} + s2: \text{set } \alpha2 \text{ invar2} + s3: \text{set } \alpha3 \text{ invar3}$   
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set and invar1}$   
**and**  $\alpha2 :: 's2 \Rightarrow 'b \text{ set and invar2}$   
**and**  $\alpha3 :: 's3 \Rightarrow 'b \text{ set and invar3}$   
+  
**fixes**  $Union\text{-image} :: ('a \Rightarrow 's2) \Rightarrow 's1 \Rightarrow 's3$   
**assumes** *Union-image-correct*:  
 $\llbracket \text{invar1 } s; !!x. x \in \alpha1 s \Longrightarrow \text{invar2 } (f x) \rrbracket \Longrightarrow$   
 $\alpha3 (Union\text{-image } f s) = \bigcup (\alpha2 f \alpha1 s)$   
 $\llbracket \text{invar1 } s; !!x. x \in \alpha1 s \Longrightarrow \text{invar2 } (f x) \rrbracket \Longrightarrow \text{invar3 } (Union\text{-image } f s)$

**Disjointness Check** **locale** *set-disjoint* =  $s1: \text{set } \alpha1 \text{ invar1} + s2: \text{set } \alpha2 \text{ invar2}$   
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set and invar1}$   
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set and invar2}$   
+  
**fixes**  $disjoint :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**assumes** *disjoint-correct*:  
 $\text{invar1 } s1 \Longrightarrow \text{invar2 } s2 \Longrightarrow disjoint s1 s2 \longleftrightarrow \alpha1 s1 \cap \alpha2 s2 = \{\}$

**Disjointness Check With Witness** **locale** *set-disjoint-witness* =  $s1: \text{set } \alpha1 \text{ invar1} + s2: \text{set } \alpha2 \text{ invar2}$   
**for**  $\alpha1 :: 's1 \Rightarrow 'a \text{ set and invar1}$   
**and**  $\alpha2 :: 's2 \Rightarrow 'a \text{ set and invar2}$   
+  
**fixes**  $disjoint\text{-witness} :: 's1 \Rightarrow 's2 \Rightarrow 'a \text{ option}$   
**assumes** *disjoint-witness-correct*:  
 $\llbracket \text{invar1 } s1; \text{invar2 } s2 \rrbracket$   
 $\Longrightarrow disjoint\text{-witness } s1 s2 = \text{None} \Longrightarrow \alpha1 s1 \cap \alpha2 s2 = \{\}$   
 $\llbracket \text{invar1 } s1; \text{invar2 } s2; disjoint\text{-witness } s1 s2 = \text{Some } a \rrbracket$   
 $\Longrightarrow a \in \alpha1 s1 \cap \alpha2 s2$   
**begin**  
**lemma** *disjoint-witness-None*:  $\llbracket \text{invar1 } s1; \text{invar2 } s2 \rrbracket$   
 $\Longrightarrow disjoint\text{-witness } s1 s2 = \text{None} \longleftrightarrow \alpha1 s1 \cap \alpha2 s2 = \{\}$   
 $\langle \text{proof} \rangle$   
**lemma** *disjoint-witnessI*:  $\llbracket$   
 $\text{invar1 } s1;$   
 $\text{invar2 } s2;$

$\alpha 1 s1 \cap \alpha 2 s2 \neq \{\}$ ;  
**!!a.**  $\llbracket \text{disjoint-witness } s1 s2 = \text{Some } a \rrbracket \implies P$   
 $\rrbracket \implies P$   
 <proof>

**end**

**Selection of Element** **locale** *set-sel* = *set* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *sel* ::  $'s \Rightarrow ('x \Rightarrow 'r \text{ option}) \Rightarrow 'r \text{ option}$   
**assumes** *selE*:  
 $\llbracket \text{invar } s; x \in \alpha s; f x = \text{Some } r; \text{!!}x r. \llbracket \text{sel } s f = \text{Some } r; x \in \alpha s; f x = \text{Some } r \rrbracket \implies Q \rrbracket \implies Q$   
**assumes** *selI*:  $\llbracket \text{invar } s; \forall x \in \alpha s. f x = \text{None} \rrbracket \implies \text{sel } s f = \text{None}$   
**begin**

**lemma** *sel-someD*:  
 $\llbracket \text{invar } s; \text{sel } s f = \text{Some } r; \text{!!}x. \llbracket x \in \alpha s; f x = \text{Some } r \rrbracket \implies P \rrbracket \implies P$   
 <proof>

**lemma** *sel-noneD*:  
 $\llbracket \text{invar } s; \text{sel } s f = \text{None}; x \in \alpha s \rrbracket \implies f x = \text{None}$   
 <proof>

**end**

— Selection of element (without mapping)

**locale** *set-sel'* = *set* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *sel'* ::  $'s \Rightarrow ('x \Rightarrow \text{bool}) \Rightarrow 'x \text{ option}$   
**assumes** *sel'E*:  
 $\llbracket \text{invar } s; x \in \alpha s; P x; \text{!!}x. \llbracket \text{sel}' s P = \text{Some } x; x \in \alpha s; P x \rrbracket \implies Q \rrbracket \implies Q$   
**assumes** *sel'I*:  $\llbracket \text{invar } s; \forall x \in \alpha s. \neg P x \rrbracket \implies \text{sel}' s P = \text{None}$   
**begin**

**lemma** *sel'-someD*:  
 $\llbracket \text{invar } s; \text{sel}' s P = \text{Some } x \rrbracket \implies x \in \alpha s \wedge P x$   
 <proof>

**lemma** *sel'-noneD*:  
 $\llbracket \text{invar } s; \text{sel}' s P = \text{None}; x \in \alpha s \rrbracket \implies \neg P x$   
 <proof>

**end**

**Conversion of Set to List** **locale** *set-to-list* = *set* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *to-list* ::  $'s \Rightarrow 'x \text{ list}$

**assumes** *to-list-correct*:  
*invar*  $s \implies \text{set } (\text{to-list } s) = \alpha s$   
*invar*  $s \implies \text{distinct } (\text{to-list } s)$

**Conversion of List to Set** **locale** *list-to-set* = *set* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ set}$   
**fixes** *to-set* ::  $'x \text{ list} \Rightarrow 's$   
**assumes** *to-set-correct*:  
 $\alpha (\text{to-set } l) = \text{set } l$   
*invar* (*to-set*  $l$ )

### Ordered Sets

**locale** *ordered-set* = *set*  $\alpha$  *invar*  
**for**  $\alpha :: 's \Rightarrow ('u::\text{linorder}) \text{ set}$  **and** *invar*

**locale** *ordered-finite-set* = *finite-set*  $\alpha$  *invar* + *ordered-set*  $\alpha$  *invar*  
**for**  $\alpha :: 's \Rightarrow ('u::\text{linorder}) \text{ set}$  **and** *invar*

**Ordered Iteration** **locale** *poly-set-iterateoi-defs* =  
**fixes** *olist-it* ::  $'s \Rightarrow ('x, 'x \text{ list}) \text{ set-iterator}$   
**begin**  
**definition** *iterateoi* ::  $'s \Rightarrow ('x, 'x \text{ list}) \text{ set-iterator}$   
**where** *iterateoi*  $S \equiv \text{it-to-it } (\text{olist-it } S)$

**abbreviation** *iterateo*  $s \equiv \text{iterateoi } s (\lambda-. \text{True})$   
**end**

**locale** *poly-set-iterateoi* =  
*finite-set*  $\alpha$  *invar* + *poly-set-iterateoi-defs* *list-ordered-it*  
**for**  $\alpha :: 's \Rightarrow 'x::\text{linorder} \text{ set}$   
**and** *invar*  
**and** *list-ordered-it* ::  $'s \Rightarrow ('x, 'x \text{ list}) \text{ set-iterator}$  +  
**assumes** *list-ordered-it-correct*: *invar*  $x$   
 $\implies \text{set-iterator-linord } (\text{list-ordered-it } x) (\alpha x)$

**begin**  
**lemma** *iterateoi-correct*:  
*invar*  $S \implies \text{set-iterator-linord } (\text{iterateoi } S) (\alpha S)$   
 $\langle \text{proof} \rangle$

**lemma** *pi-iterateoi[icf-proper-iteratorI]*:  
*proper-it* (*iterateoi*  $S$ ) (*iterateoi*  $S$ )  
 $\langle \text{proof} \rangle$

**lemma** *iterateoi-rule-P[case-names minv inv0 inv-pres i-complete i-inter]*:  
**assumes** *MINV*: *invar*  $s$   
**assumes** *I0*:  $I (\alpha s) \sigma 0$



**assumes**  $IP: !!k \text{ it } \sigma. \llbracket$   
 $c \sigma;$   
 $k \in \text{it};$   
 $\forall j \in \text{it}. k \leq j;$   
 $\forall j \in \alpha \text{ s} - \text{it}. j \leq k;$   
 $\text{it} \subseteq \alpha \text{ s};$   
 $I \text{ it } \sigma$   
 $\rrbracket \implies I (\text{it} - \{k\}) (f \ k \ \sigma)$   
**assumes**  $IF: !!\sigma. I \ \{\} \ \sigma \implies P \ \sigma$   
**assumes**  $II: !!\sigma \text{ it}. \llbracket$   
 $\text{it} \subseteq \alpha \ \text{s};$   
 $\text{it} \neq \{\};$   
 $\neg c \ \sigma;$   
 $I \ \text{it} \ \sigma;$   
 $\forall k \in \text{it}. \forall j \in \alpha \ \text{s} - \text{it}. j \leq k$   
 $\rrbracket \implies P \ \sigma$   
**shows**  $P (\text{iterateoi} \ s \ c \ f \ \sigma \ 0)$   
 $\langle \text{proof} \rangle$

**lemma** *iterateo-rule-P*[*case-names minv inv0 inv-pres i-complete*]:

**assumes**  $MINV: \text{invar } s$   
**assumes**  $I0: I ((\alpha \ \text{s})) \ \sigma \ 0$   
**assumes**  $IP: !!k \ \text{it} \ \sigma. \llbracket k \in \text{it}; \forall j \in \text{it}. k \leq j;$   
 $\forall j \in (\alpha \ \text{s}) - \text{it}. j \leq k; \text{it} \subseteq (\alpha \ \text{s}); I \ \text{it} \ \sigma \rrbracket$   
 $\implies I (\text{it} - \{k\}) (f \ k \ \sigma)$   
**assumes**  $IF: !!\sigma. I \ \{\} \ \sigma \implies P \ \sigma$   
**shows**  $P (\text{iterateo} \ s \ f \ \sigma \ 0)$   
 $\langle \text{proof} \rangle$   
**end**

**locale** *poly-set-rev-iterateoi-defs* =

**fixes**  $\text{list-rev-it} :: 's \Rightarrow ('x::\text{linorder}, 'x \ \text{list}) \ \text{set-iterator}$

**begin**

**definition**  $\text{rev-iterateoi} :: 's \Rightarrow ('x, 's) \ \text{set-iterator}$

**where**  $\text{rev-iterateoi} \ S \equiv \text{it-to-it} (\text{list-rev-it} \ S)$

**abbreviation**  $\text{rev-iterateo} \ m \equiv \text{rev-iterateoi} \ m \ (\lambda-. \ \text{True})$

**abbreviation**  $\text{reverse-iterateoi} \equiv \text{rev-iterateoi}$

**abbreviation**  $\text{reverse-iterateo} \equiv \text{rev-iterateo}$

**end**

**locale** *poly-set-rev-iterateoi* =

$\text{finite-set } \alpha \ \text{invar} + \text{poly-set-rev-iterateoi-defs} \ \text{list-rev-it}$

**for**  $\alpha :: 's \Rightarrow 'x::\text{linorder} \ \text{set}$

**and**  $\text{invar}$

**and**  $\text{list-rev-it} :: 's \Rightarrow ('x, 'x \ \text{list}) \ \text{set-iterator} +$

**assumes**  $\text{list-rev-it-correct}:$

$\text{invar} \ s \implies \text{set-iterator-rev-linord} (\text{list-rev-it} \ s) (\alpha \ s)$

**begin**

**lemma** *rev-iterateoi-correct*:

*invar S*  $\implies$  *set-iterator-rev-linord* (*rev-iterateoi S*) ( $\alpha S$ )  
 $\langle$ *proof* $\rangle$

**lemma** *pi-rev-iterateoi*[*icf-proper-iteratorI*]:

*proper-it* (*rev-iterateoi S*) (*rev-iterateoi S*)  
 $\langle$ *proof* $\rangle$

**lemma** *rev-iterateoi-rule-P*[*case-names minv inv0 inv-pres i-complete i-inter*]:

**assumes** *MINV*: *invar s*

**assumes** *I0*:  $I ((\alpha s)) \sigma 0$

**assumes** *IP*:  $!!k \text{ it } \sigma. \llbracket$

$c \sigma;$

$k \in \text{it};$

$\forall j \in \text{it}. k \geq j;$

$\forall j \in (\alpha s) - \text{it}. j \geq k;$

$\text{it} \subseteq (\alpha s);$

$I \text{ it } \sigma$

$\rrbracket \implies I (\text{it} - \{k\}) (f k \sigma)$

**assumes** *IF*:  $!!\sigma. I \{\} \sigma \implies P \sigma$

**assumes** *II*:  $!!\sigma \text{ it}. \llbracket$

$\text{it} \subseteq (\alpha s);$

$\text{it} \neq \{\};$

$\neg c \sigma;$

$I \text{ it } \sigma;$

$\forall k \in \text{it}. \forall j \in (\alpha s) - \text{it}. j \geq k$

$\rrbracket \implies P \sigma$

**shows**  $P (\text{rev-iterateoi } s \ c \ f \ \sigma 0)$

$\langle$ *proof* $\rangle$

**lemma** *reverse-iterateoi-rule-P*[*case-names minv inv0 inv-pres i-complete*]:

**assumes** *MINV*: *invar s*

**assumes** *I0*:  $I ((\alpha s)) \sigma 0$

**assumes** *IP*:  $!!k \text{ it } \sigma. \llbracket$

$k \in \text{it};$

$\forall j \in \text{it}. k \geq j;$

$\forall j \in (\alpha s) - \text{it}. j \geq k;$

$\text{it} \subseteq (\alpha s);$

$I \text{ it } \sigma$

$\rrbracket \implies I (\text{it} - \{k\}) (f k \sigma)$

**assumes** *IF*:  $!!\sigma. I \{\} \sigma \implies P \sigma$

**shows**  $P (\text{rev-iterateoi } s \ f \ \sigma 0)$

$\langle$ *proof* $\rangle$

**end**

**Minimal and Maximal Element**

**locale** *set-min* = *ordered-set* +

**constrains**  $\alpha :: 's \Rightarrow 'u::\text{linorder set}$

**fixes**  $min :: 's \Rightarrow ('u \Rightarrow bool) \Rightarrow 'u \text{ option}$   
**assumes**  $min\text{-correct}$ :  
 $\llbracket invar\ s; x \in \alpha\ s; P\ x \rrbracket \Longrightarrow min\ s\ P \in Some\ \{x \in \alpha\ s. P\ x\}$   
 $\llbracket invar\ s; x \in \alpha\ s; P\ x \rrbracket \Longrightarrow (the\ (min\ s\ P)) \leq x$   
 $\llbracket invar\ s; \{x \in \alpha\ s. P\ x\} = \{\} \rrbracket \Longrightarrow min\ s\ P = None$

**begin**

**lemma**  $minE$ :

**assumes**  $A: invar\ s\ \ x \in \alpha\ s\ \ P\ x$

**obtains**  $x'$  **where**

$min\ s\ P = Some\ x' \ \ x' \in \alpha\ s \ \ P\ x' \ \ \forall x \in \alpha\ s. P\ x \longrightarrow x' \leq x$

$\langle proof \rangle$

**lemmas**  $minI = min\text{-correct}(3)$

**lemma**  $min\text{-Some}$ :

$\llbracket invar\ s; min\ s\ P = Some\ x \rrbracket \Longrightarrow x \in \alpha\ s$

$\llbracket invar\ s; min\ s\ P = Some\ x \rrbracket \Longrightarrow P\ x$

$\llbracket invar\ s; min\ s\ P = Some\ x; x' \in \alpha\ s; P\ x' \rrbracket \Longrightarrow x \leq x'$

$\langle proof \rangle$

**lemma**  $min\text{-None}$ :

$\llbracket invar\ s; min\ s\ P = None \rrbracket \Longrightarrow \{x \in \alpha\ s. P\ x\} = \{\}$

$\langle proof \rangle$

**end**

**locale**  $set\text{-max} = ordered\text{-set} +$

**constrains**  $\alpha :: 's \Rightarrow 'u::linorder\ set$

**fixes**  $max :: 's \Rightarrow ('u \Rightarrow bool) \Rightarrow 'u \text{ option}$

**assumes**  $max\text{-correct}$ :

$\llbracket invar\ s; x \in \alpha\ s; P\ x \rrbracket \Longrightarrow max\ s\ P \in Some\ \{x \in \alpha\ s. P\ x\}$

$\llbracket invar\ s; x \in \alpha\ s; P\ x \rrbracket \Longrightarrow the\ (max\ s\ P) \geq x$

$\llbracket invar\ s; \{x \in \alpha\ s. P\ x\} = \{\} \rrbracket \Longrightarrow max\ s\ P = None$

**begin**

**lemma**  $maxE$ :

**assumes**  $A: invar\ s\ \ x \in \alpha\ s\ \ P\ x$

**obtains**  $x'$  **where**

$max\ s\ P = Some\ x' \ \ x' \in \alpha\ s \ \ P\ x' \ \ \forall x \in \alpha\ s. P\ x \longrightarrow x' \geq x$

$\langle proof \rangle$

**lemmas**  $maxI = max\text{-correct}(3)$

**lemma**  $max\text{-Some}$ :

$\llbracket invar\ s; max\ s\ P = Some\ x \rrbracket \Longrightarrow x \in \alpha\ s$

$\llbracket invar\ s; max\ s\ P = Some\ x \rrbracket \Longrightarrow P\ x$

$\llbracket invar\ s; max\ s\ P = Some\ x; x' \in \alpha\ s; P\ x' \rrbracket \Longrightarrow x \geq x'$

$\langle proof \rangle$

**lemma**  $max\text{-None}$ :

$$\llbracket \text{invar } s; \text{max } s \text{ } P = \text{None} \rrbracket \implies \{x \in \alpha \text{ s. } P \ x\} = \{\}$$

*<proof>*

**end**

### Conversion to List

**locale** *set-to-sorted-list* = *ordered-set* +  
**constrains**  $\alpha :: 's \Rightarrow 'x::\text{linorder set}$   
**fixes** *to-sorted-list* ::  $'s \Rightarrow 'x \text{ list}$   
**assumes** *to-sorted-list-correct*:  
 $\text{invar } s \implies \text{set } (\text{to-sorted-list } s) = \alpha \ s$   
 $\text{invar } s \implies \text{distinct } (\text{to-sorted-list } s)$   
 $\text{invar } s \implies \text{sorted } (\text{to-sorted-list } s)$

**locale** *set-to-rev-list* = *ordered-set* +  
**constrains**  $\alpha :: 's \Rightarrow 'x::\text{linorder set}$   
**fixes** *to-rev-list* ::  $'s \Rightarrow 'x \text{ list}$   
**assumes** *to-rev-list-correct*:  
 $\text{invar } s \implies \text{set } (\text{to-rev-list } s) = \alpha \ s$   
 $\text{invar } s \implies \text{distinct } (\text{to-rev-list } s)$   
 $\text{invar } s \implies \text{sorted } (\text{rev } (\text{to-rev-list } s))$

### Record Based Interface

**record**  $( 'x, 's ) \text{ set-ops} =$   
 $\text{set-op-}\alpha :: 's \Rightarrow 'x \text{ set}$   
 $\text{set-op-invar} :: 's \Rightarrow \text{bool}$   
 $\text{set-op-empty} :: \text{unit} \Rightarrow 's$   
 $\text{set-op-memb} :: 'x \Rightarrow 's \Rightarrow \text{bool}$   
 $\text{set-op-ins} :: 'x \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-ins-dj} :: 'x \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-delete} :: 'x \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-list-it} :: ( 'x, 's ) \text{ set-list-it}$   
 $\text{set-op-sng} :: 'x \Rightarrow 's$   
 $\text{set-op-isEmpty} :: 's \Rightarrow \text{bool}$   
 $\text{set-op-isSng} :: 's \Rightarrow \text{bool}$   
 $\text{set-op-ball} :: 's \Rightarrow ( 'x \Rightarrow \text{bool} ) \Rightarrow \text{bool}$   
 $\text{set-op-bex} :: 's \Rightarrow ( 'x \Rightarrow \text{bool} ) \Rightarrow \text{bool}$   
 $\text{set-op-size} :: 's \Rightarrow \text{nat}$   
 $\text{set-op-size-abort} :: \text{nat} \Rightarrow 's \Rightarrow \text{nat}$   
 $\text{set-op-union} :: 's \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-union-dj} :: 's \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-diff} :: 's \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-filter} :: ( 'x \Rightarrow \text{bool} ) \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-inter} :: 's \Rightarrow 's \Rightarrow 's$   
 $\text{set-op-subset} :: 's \Rightarrow 's \Rightarrow \text{bool}$   
 $\text{set-op-equal} :: 's \Rightarrow 's \Rightarrow \text{bool}$   
 $\text{set-op-disjoint} :: 's \Rightarrow 's \Rightarrow \text{bool}$   
 $\text{set-op-disjoint-witness} :: 's \Rightarrow 's \Rightarrow 'x \text{ option}$

*set-op-sel* :: 's ⇒ ('x ⇒ bool) ⇒ 'x option — Version without mapping  
*set-op-to-list* :: 's ⇒ 'x list  
*set-op-from-list* :: 'x list ⇒ 's

```

locale StdSetDefs =
  poly-set-iteratei-defs set-op-list-it ops
  for ops :: ('x,'s,'more) set-ops-scheme
begin
  abbreviation  $\alpha$  where  $\alpha$  == set-op- $\alpha$  ops
  abbreviation invar where invar == set-op-invar ops
  abbreviation empty where empty == set-op-empty ops
  abbreviation memb where memb == set-op-memb ops
  abbreviation ins where ins == set-op-ins ops
  abbreviation ins-dj where ins-dj == set-op-ins-dj ops
  abbreviation delete where delete == set-op-delete ops
  abbreviation list-it where list-it ≡ set-op-list-it ops
  abbreviation sng where sng == set-op-sng ops
  abbreviation isEmpty where isEmpty == set-op-isEmpty ops
  abbreviation isSng where isSng == set-op-isSng ops
  abbreviation ball where ball == set-op-ball ops
  abbreviation bex where bex == set-op-bex ops
  abbreviation size where size == set-op-size ops
  abbreviation size-abort where size-abort == set-op-size-abort ops
  abbreviation union where union == set-op-union ops
  abbreviation union-dj where union-dj == set-op-union-dj ops
  abbreviation diff where diff == set-op-diff ops
  abbreviation filter where filter == set-op-filter ops
  abbreviation inter where inter == set-op-inter ops
  abbreviation subset where subset == set-op-subset ops
  abbreviation equal where equal == set-op-equal ops
  abbreviation disjoint where disjoint == set-op-disjoint ops
  abbreviation disjoint-witness
    where disjoint-witness == set-op-disjoint-witness ops
  abbreviation sel where sel == set-op-sel ops
  abbreviation to-list where to-list == set-op-to-list ops
  abbreviation from-list where from-list == set-op-from-list ops
end
  
```

```

locale StdSet = StdSetDefs ops +
  set  $\alpha$  invar +
  set-empty  $\alpha$  invar empty +
  set-memb  $\alpha$  invar memb +
  set-ins  $\alpha$  invar ins +
  set-ins-dj  $\alpha$  invar ins-dj +
  set-delete  $\alpha$  invar delete +
  poly-set-iteratei  $\alpha$  invar list-it +
  set-sng  $\alpha$  invar sng +
  set-isEmpty  $\alpha$  invar isEmpty +
  set-isSng  $\alpha$  invar isSng +
  
```

```

    set-ball  $\alpha$  invar ball +
    set-bex  $\alpha$  invar bex +
    set-size  $\alpha$  invar size +
    set-size-abort  $\alpha$  invar size-abort +
    set-union  $\alpha$  invar  $\alpha$  invar  $\alpha$  invar union +
    set-union-dj  $\alpha$  invar  $\alpha$  invar  $\alpha$  invar union-dj +
    set-diff  $\alpha$  invar  $\alpha$  invar diff +
    set-filter  $\alpha$  invar  $\alpha$  invar filter +
    set-inter  $\alpha$  invar  $\alpha$  invar  $\alpha$  invar inter +
    set-subset  $\alpha$  invar  $\alpha$  invar subset +
    set-equal  $\alpha$  invar  $\alpha$  invar equal +
    set-disjoint  $\alpha$  invar  $\alpha$  invar disjoint +
    set-disjoint-witness  $\alpha$  invar  $\alpha$  invar disjoint-witness +
    set-sel'  $\alpha$  invar sel +
    set-to-list  $\alpha$  invar to-list +
    list-to-set  $\alpha$  invar from-list
  for ops :: ('x,'s,'more) set-ops-scheme
begin

  lemmas correct =
    empty-correct
    sng-correct
    memb-correct
    ins-correct
    ins-dj-correct
    delete-correct
    isEmpty-correct
    isSng-correct
    ball-correct
    bex-correct
    size-correct
    size-abort-correct
    union-correct
    union-dj-correct
    diff-correct
    filter-correct
    inter-correct
    subset-correct
    equal-correct
    disjoint-correct
    disjoint-witness-correct
    to-list-correct
    to-set-correct

end

lemmas StdSet-intro = StdSet.intro[rem-dup-prems]

locale StdSet-no-invar = StdSet + set-no-invar  $\alpha$  invar

```

```

record ('x,'s) oset-ops = ('x::linorder,'s) set-ops +
  set-op-ordered-list-it :: 's ⇒ ('x,'x list) set-iterator
  set-op-rev-list-it :: 's ⇒ ('x,'x list) set-iterator
  set-op-min :: 's ⇒ ('x ⇒ bool) ⇒ 'x option
  set-op-max :: 's ⇒ ('x ⇒ bool) ⇒ 'x option
  set-op-to-sorted-list :: 's ⇒ 'x list
  set-op-to-rev-list :: 's ⇒ 'x list

locale StdOSetDefs = StdSetDefs ops
  + poly-set-iterateoi-defs set-op-ordered-list-it ops
  + poly-set-rev-iterateoi-defs set-op-rev-list-it ops
  for ops :: ('x::linorder,'s,'more) oset-ops-scheme
begin
  abbreviation ordered-list-it ≡ set-op-ordered-list-it ops
  abbreviation rev-list-it ≡ set-op-rev-list-it ops
  abbreviation min where min == set-op-min ops
  abbreviation max where max == set-op-max ops
  abbreviation to-sorted-list where
    to-sorted-list ≡ set-op-to-sorted-list ops
  abbreviation to-rev-list where to-rev-list ≡ set-op-to-rev-list ops
end

locale StdOSet =
  StdOSetDefs ops +
  StdSet ops +
  poly-set-iterateoi α invar ordered-list-it +
  poly-set-rev-iterateoi α invar rev-list-it +
  set-min α invar min +
  set-max α invar max +
  set-to-sorted-list α invar to-sorted-list +
  set-to-rev-list α invar to-rev-list
  for ops :: ('x::linorder,'s,'more) oset-ops-scheme
begin
end

lemmas StdOSet-intro =
  StdOSet.intro[OF StdSet-intro, rem-dup-prems]

no-notation insert (⟨set'-ins⟩)

end

```

### 3.1.2 Specification of Sequences

```

theory ListSpec
imports ICF-Spec-Base
begin

```

**Definition**

**locale** *list* =  
 — Abstraction to HOL-lists  
**fixes**  $\alpha :: 's \Rightarrow 'x \text{ list}$   
 — Invariant  
**fixes** *invar* ::  $'s \Rightarrow \text{bool}$

**locale** *list-no-invar* = *list* +  
**assumes** *invar*[*simp*, *intro!*]:  $\bigwedge l. \text{invar } l$

**Functions**

**locale** *list-empty* = *list* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ list}$   
**fixes** *empty* ::  $\text{unit} \Rightarrow 's$   
**assumes** *empty-correct*:  
 $\alpha (\text{empty } ()) = []$   
*invar* (*empty* ())

**locale** *list-isEmpty* = *list* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ list}$   
**fixes** *isEmpty* ::  $'s \Rightarrow \text{bool}$   
**assumes** *isEmpty-correct*:  
 $\text{invar } s \Longrightarrow \text{isEmpty } s \longleftrightarrow \alpha s = []$

**locale** *poly-list-iteratei* = *list* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ list}$   
**begin**  
**definition** *iteratei* **where**  
*iteratei-correct*[*code-unfold*]:  $\text{iteratei } s \equiv \text{foldli } (\alpha s)$   
**definition** *iterate* **where**  
*iterate-correct*[*code-unfold*]:  $\text{iterate } s \equiv \text{foldli } (\alpha s) (\lambda-. \text{True})$   
**end**

**locale** *poly-list-rev-iteratei* = *list* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ list}$   
**begin**  
**definition** *rev-iteratei* **where**  
*rev-iteratei-correct*[*code-unfold*]:  $\text{rev-iteratei } s \equiv \text{foldri } (\alpha s)$   
**definition** *rev-iterate* **where**  
*rev-iterate-correct*[*code-unfold*]:  $\text{rev-iterate } s \equiv \text{foldri } (\alpha s) (\lambda-. \text{True})$   
**end**

**locale** *list-size* = *list* +  
**constrains**  $\alpha :: 's \Rightarrow 'x \text{ list}$   
**fixes** *size* ::  $'s \Rightarrow \text{nat}$



```

assumes size-correct:
  invar s  $\implies$  size s = length ( $\alpha$  s)

locale list-appendl = list +
  constrains  $\alpha :: 's \Rightarrow 'x \text{ list}$ 
  fixes appendl ::  $'x \Rightarrow 's \Rightarrow 's$ 
  assumes appendl-correct:
    invar s  $\implies \alpha$  (appendl x s) = x# $\alpha$  s
    invar s  $\implies$  invar (appendl x s)
begin
  abbreviation (input) push  $\equiv$  appendl
  lemmas push-correct = appendl-correct
end

locale list-removel = list +
  constrains  $\alpha :: 's \Rightarrow 'x \text{ list}$ 
  fixes removel ::  $'s \Rightarrow ('x \times 's)$ 
  assumes removel-correct:
     $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies \textit{fst} (\textit{removel } s) = \textit{hd} (\alpha \textit{ s})$ 
     $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies \alpha (\textit{snd} (\textit{removel } s)) = \textit{tl} (\alpha \textit{ s})$ 
     $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies \textit{invar} (\textit{snd} (\textit{removel } s))$ 
begin
  lemma removelE:
    assumes I: invar s  $\alpha$  s  $\neq []$ 
    obtains s' where removel s = (hd ( $\alpha$  s), s') invar s'  $\alpha$  s' = tl ( $\alpha$  s)
    <proof>
end

The following shortcut notations are not meant for generating efficient code,
but solely to simplify reasoning

abbreviation (input) pop  $\equiv$  removel
lemmas pop-correct = removel-correct

abbreviation (input) dequeue  $\equiv$  removel
lemmas dequeue-correct = removel-correct
end

locale list-leftmost = list +
  constrains  $\alpha :: 's \Rightarrow 'x \text{ list}$ 
  fixes leftmost ::  $'s \Rightarrow 'x$ 
  assumes leftmost-correct:
     $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies \textit{leftmost } s = \textit{hd} (\alpha \textit{ s})$ 
begin
  abbreviation (input) top where top  $\equiv$  leftmost
  lemmas top-correct = leftmost-correct
end

locale list-appendr = list +
  constrains  $\alpha :: 's \Rightarrow 'x \text{ list}$ 
  fixes appendr ::  $'x \Rightarrow 's \Rightarrow 's$ 

```

```

assumes appendr-correct:
  invar s  $\implies \alpha$  (appendr x s) =  $\alpha$  s @ [x]
  invar s  $\implies$  invar (appendr x s)
begin
  abbreviation (input) enqueue  $\equiv$  appendr
  lemmas enqueue-correct = appendr-correct
end

locale list-remover = list +
constrains  $\alpha :: 's \Rightarrow 'x$  list
fixes remover ::  $'s \Rightarrow 's \times 'x$ 
assumes remover-correct:
   $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies \alpha$  (fst (remover s)) = butlast ( $\alpha$  s)
   $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies$  snd (remover s) = last ( $\alpha$  s)
   $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies$  invar (fst (remover s))

locale list-rightmost = list +
constrains  $\alpha :: 's \Rightarrow 'x$  list
fixes rightmost ::  $'s \Rightarrow 'x$ 
assumes rightmost-correct:
   $\llbracket \textit{invar } s; \alpha \textit{ s} \neq [] \rrbracket \implies$  rightmost s = List.last ( $\alpha$  s)
begin
  abbreviation (input) bot where bot  $\equiv$  rightmost
  lemmas bot-correct = rightmost-correct
end

Indexing locale list-get = list +
constrains  $\alpha :: 's \Rightarrow 'x$  list
fixes get ::  $'s \Rightarrow \textit{nat} \Rightarrow 'x$ 
assumes get-correct:
   $\llbracket \textit{invar } s; i < \textit{length} (\alpha \textit{ s}) \rrbracket \implies$  get s i =  $\alpha$  s ! i

locale list-set = list +
constrains  $\alpha :: 's \Rightarrow 'x$  list
fixes set ::  $'s \Rightarrow \textit{nat} \Rightarrow 'x \Rightarrow 's$ 
assumes set-correct:
   $\llbracket \textit{invar } s; i < \textit{length} (\alpha \textit{ s}) \rrbracket \implies \alpha$  (set s i x) = ( $\alpha$  s) [i := x]
   $\llbracket \textit{invar } s; i < \textit{length} (\alpha \textit{ s}) \rrbracket \implies$  invar (set s i x)

record ( $'a, 's$ ) list-ops =
  list-op- $\alpha$  ::  $'s \Rightarrow 'a$  list
  list-op-invar ::  $'s \Rightarrow \textit{bool}$ 
  list-op-empty :: unit  $\Rightarrow 's$ 
  list-op-isEmpty ::  $'s \Rightarrow \textit{bool}$ 
  list-op-size ::  $'s \Rightarrow \textit{nat}$ 
  list-op-appendl ::  $'a \Rightarrow 's \Rightarrow 's$ 
  list-op-removel ::  $'s \Rightarrow 'a \times 's$ 
  list-op-leftmost ::  $'s \Rightarrow 'a$ 
  list-op-appendr ::  $'a \Rightarrow 's \Rightarrow 's$ 

```

```

list-op-remover :: 's ⇒ 's × 'a
list-op-rightmost :: 's ⇒ 'a
list-op-get :: 's ⇒ nat ⇒ 'a
list-op-set :: 's ⇒ nat ⇒ 'a ⇒ 's

```

```

locale StdListDefs =
  poly-list-iteratei list-op-α ops list-op-invar ops
  + poly-list-rev-iteratei list-op-α ops list-op-invar ops
  for ops :: ('a,'s,'more) list-ops-scheme
begin
  abbreviation α where α ≡ list-op-α ops
  abbreviation invar where invar ≡ list-op-invar ops
  abbreviation empty where empty ≡ list-op-empty ops
  abbreviation isEmpty where isEmpty ≡ list-op-isEmpty ops
  abbreviation size where size ≡ list-op-size ops
  abbreviation appendl where appendl ≡ list-op-appendl ops
  abbreviation removel where removel ≡ list-op-removel ops
  abbreviation leftmost where leftmost ≡ list-op-leftmost ops
  abbreviation appendr where appendr ≡ list-op-appendr ops
  abbreviation remover where remover ≡ list-op-remover ops
  abbreviation rightmost where rightmost ≡ list-op-rightmost ops
  abbreviation get where get ≡ list-op-get ops
  abbreviation set where set ≡ list-op-set ops
end

```

```

locale StdList = StdListDefs ops
  + list α invar
  + list-empty α invar empty
  + list-isEmpty α invar isEmpty
  + list-size α invar size
  + list-appendl α invar appendl
  + list-removel α invar removel
  + list-leftmost α invar leftmost
  + list-appendr α invar appendr
  + list-remover α invar remover
  + list-rightmost α invar rightmost
  + list-get α invar get
  + list-set α invar set
  for ops :: ('a,'s,'more) list-ops-scheme
begin
  lemmas correct =
    empty-correct
    isEmpty-correct
    size-correct
    appendl-correct
    removel-correct
    leftmost-correct
    appendr-correct
    remover-correct

```

```

    rightmost-correct
    get-correct
    set-correct

```

```
end
```

```
locale StdList-no-invar = StdList + list-no-invar  $\alpha$  invar
```

```
end
```

### 3.1.3 Specification of Annotated Lists

```

theory AnnotatedListSpec
imports ICF-Spec-Base
begin

```

#### Introduction

We define lists with annotated elements. The annotations form a monoid. We provide standard list operations and the split-operation, that splits the list according to its annotations.

```

locale al =
  — Annotated lists are abstracted to lists of pairs of elements and annotations.
  fixes  $\alpha :: 's \Rightarrow ('e \times 'a::monoid-add) list$ 
  fixes invar :: 's  $\Rightarrow bool$ 

```

```

locale al-no-invar = al +
  assumes invar[simp, intro!]:  $\bigwedge l. invar l$ 

```

#### Basic Annotated List Operations

```

Empty Annotated List locale al-empty = al +
  constrains  $\alpha :: 's \Rightarrow ('e \times 'a::monoid-add) list$ 
  fixes empty :: unit  $\Rightarrow 's$ 
  assumes empty-correct:
    invar (empty ())
     $\alpha$  (empty ()) = Nil

```

```

Emptiness Check locale al-isEmpty = al +
  constrains  $\alpha :: 's \Rightarrow ('e \times 'a::monoid-add) list$ 
  fixes isEmpty :: 's  $\Rightarrow bool$ 
  assumes isEmpty-correct:
    invar s  $\Longrightarrow isEmpty s \longleftrightarrow \alpha s = Nil$ 

```

```

Counting Elements locale al-count = al +
  constrains  $\alpha :: 's \Rightarrow ('e \times 'a::monoid-add) list$ 
  fixes count :: 's  $\Rightarrow nat$ 
  assumes count-correct:
    invar s  $\Longrightarrow count s = length(\alpha s)$ 

```

**Appending an Element from the Left** locale  $al\text{-}consl = al +$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $consl :: 'e \Rightarrow 'a \Rightarrow 's \Rightarrow 's$   
**assumes**  $consl\text{-}correct:$   
 $invar\ s \Longrightarrow invar\ (consl\ e\ a\ s)$   
 $invar\ s \Longrightarrow (\alpha\ (consl\ e\ a\ s)) = (e,a) \# (\alpha\ s)$

**Appending an Element from the Right** locale  $al\text{-}consr = al +$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $consr :: 's \Rightarrow 'e \Rightarrow 'a \Rightarrow 's$   
**assumes**  $consr\text{-}correct:$   
 $invar\ s \Longrightarrow invar\ (consr\ s\ e\ a)$   
 $invar\ s \Longrightarrow (\alpha\ (consr\ s\ e\ a)) = (\alpha\ s) @ [(e,a)]$

**Take the First Element** locale  $al\text{-}head = al +$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $head :: 's \Rightarrow ('e \times 'a)$   
**assumes**  $head\text{-}correct:$   
 $\llbracket invar\ s; \alpha\ s \neq Nil \rrbracket \Longrightarrow head\ s = hd\ (\alpha\ s)$

**Drop the First Element** locale  $al\text{-}tail = al +$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $tail :: 's \Rightarrow 's$   
**assumes**  $tail\text{-}correct:$   
 $\llbracket invar\ s; \alpha\ s \neq Nil \rrbracket \Longrightarrow \alpha\ (tail\ s) = tl\ (\alpha\ s)$   
 $\llbracket invar\ s; \alpha\ s \neq Nil \rrbracket \Longrightarrow invar\ (tail\ s)$

**Take the Last Element** locale  $al\text{-}headR = al +$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $headR :: 's \Rightarrow ('e \times 'a)$   
**assumes**  $headR\text{-}correct:$   
 $\llbracket invar\ s; \alpha\ s \neq Nil \rrbracket \Longrightarrow headR\ s = last\ (\alpha\ s)$

**Drop the Last Element** locale  $al\text{-}tailR = al +$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $tailR :: 's \Rightarrow 's$   
**assumes**  $tailR\text{-}correct:$   
 $\llbracket invar\ s; \alpha\ s \neq Nil \rrbracket \Longrightarrow \alpha\ (tailR\ s) = butlast\ (\alpha\ s)$   
 $\llbracket invar\ s; \alpha\ s \neq Nil \rrbracket \Longrightarrow invar\ (tailR\ s)$

**Fold a Function over the Elements from the Left** locale  $al\text{-}foldl = al$   
 $+$   
**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::monoid\text{-}add) list$   
**fixes**  $foldl :: ('z \Rightarrow 'e \times 'a \Rightarrow 'z) \Rightarrow 'z \Rightarrow 's \Rightarrow 'z$   
**assumes**  $foldl\text{-}correct:$   
 $invar\ s \Longrightarrow foldl\ f\ \sigma\ s = List.foldl\ f\ \sigma\ (\alpha\ s)$

**Fold a Function over the Elements from the Right** locale *al-foldr* =  
*al* +

**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::\text{monoid-add}) \text{ list}$   
**fixes** *foldr* ::  $('e \times 'a \Rightarrow 'z \Rightarrow 'z) \Rightarrow 's \Rightarrow 'z \Rightarrow 'z$   
**assumes** *foldr-correct*:  
 $\text{invar } s \Longrightarrow \text{foldr } f \ s \ \sigma = \text{List.foldr } f \ (\alpha \ s) \ \sigma$

locale *poly-al-fold* = *al* +

**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::\text{monoid-add}) \text{ list}$

**begin**

**definition** *foldl* **where**

*foldl-correct*[code-unfold]:  $\text{foldl } f \ \sigma \ s = \text{List.foldl } f \ \sigma \ (\alpha \ s)$

**definition** *foldr* **where**

*foldr-correct*[code-unfold]:  $\text{foldr } f \ s \ \sigma = \text{List.foldr } f \ (\alpha \ s) \ \sigma$

**end**

**Concatenation of Two Annotated Lists** locale *al-app* = *al* +

**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::\text{monoid-add}) \text{ list}$

**fixes** *app* ::  $'s \Rightarrow 's \Rightarrow 's$

**assumes** *app-correct*:

$\llbracket \text{invar } s; \text{invar } s' \rrbracket \Longrightarrow \alpha (\text{app } s \ s') = (\alpha \ s) \ @ \ (\alpha \ s')$

$\llbracket \text{invar } s; \text{invar } s' \rrbracket \Longrightarrow \text{invar } (\text{app } s \ s')$

**Readout the Summed up Annotations** locale *al-annot* = *al* +

**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::\text{monoid-add}) \text{ list}$

**fixes** *annot* ::  $'s \Rightarrow 'a$

**assumes** *annot-correct*:

$\text{invar } s \Longrightarrow (\text{annot } s) = (\text{sum-list } (\text{map snd } (\alpha \ s)))$

**Split by Monotone Predicate** locale *al-splits* = *al* +

**constrains**  $\alpha :: 's \Rightarrow ('e \times 'a::\text{monoid-add}) \text{ list}$

**fixes** *splits* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 's \Rightarrow$   
 $( 's \times ('e \times 'a) \times 's)$

**assumes** *splits-correct*:

$\llbracket \text{invar } s;$   
 $\forall a \ b. \ p \ a \ \longrightarrow \ p \ (a + b);$   
 $\neg \ p \ i;$   
 $p \ (i + \text{sum-list } (\text{map snd } (\alpha \ s)));$   
 $(\text{splits } p \ i \ s) = (l, (e, a), r) \rrbracket$

$\Longrightarrow$

$(\alpha \ s) = (\alpha \ l) \ @ \ (e, a) \ \# \ (\alpha \ r) \ \wedge$   
 $\neg \ p \ (i + \text{sum-list } (\text{map snd } (\alpha \ l))) \ \wedge$   
 $p \ (i + \text{sum-list } (\text{map snd } (\alpha \ l)) + a) \ \wedge$   
 $\text{invar } l \ \wedge$   
 $\text{invar } r$

**begin**

**lemma** *splitsE*:

**assumes**

```

  invar: invar s and
  mono:  $\forall a b. p a \longrightarrow p (a + b)$  and
  init-ff:  $\neg p i$  and
  sum-tt:  $p (i + \text{sum-list } (\text{map snd } (\alpha s)))$ 
  obtains l e a r where
  (splits p i s) = (l, (e,a), r)
  ( $\alpha s$ ) = ( $\alpha l$ ) @ (e,a) # ( $\alpha r$ )
   $\neg p (i + \text{sum-list } (\text{map snd } (\alpha l)))$ 
   $p (i + \text{sum-list } (\text{map snd } (\alpha l)) + a)$ 
  invar l
  invar r
  <proof>
end

```

### Record Based Interface

```

record ('e,'a,'s) alist-ops =
  alist-op- $\alpha$  :: 's  $\Rightarrow$  ('e  $\times$  'a::monoid-add) list
  alist-op-invar :: 's  $\Rightarrow$  bool
  alist-op-empty :: unit  $\Rightarrow$  's
  alist-op-isEmpty :: 's  $\Rightarrow$  bool
  alist-op-count :: 's  $\Rightarrow$  nat
  alist-op-consl :: 'e  $\Rightarrow$  'a  $\Rightarrow$  's  $\Rightarrow$  's
  alist-op-consr :: 's  $\Rightarrow$  'e  $\Rightarrow$  'a  $\Rightarrow$  's
  alist-op-head :: 's  $\Rightarrow$  ('e  $\times$  'a)
  alist-op-tail :: 's  $\Rightarrow$  's
  alist-op-headR :: 's  $\Rightarrow$  ('e  $\times$  'a)
  alist-op-tailR :: 's  $\Rightarrow$  's
  alist-op-app :: 's  $\Rightarrow$  's  $\Rightarrow$  's
  alist-op-annot :: 's  $\Rightarrow$  'a
  alist-op-splits :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  's  $\Rightarrow$  ('s  $\times$  ('e  $\times$  'a)  $\times$  's)

locale StdALDefs = poly-al-fold alist-op- $\alpha$  ops  alist-op-invar ops
  for ops :: ('e,'a::monoid-add,'s,'more) alist-ops-scheme
begin
  abbreviation  $\alpha$  where  $\alpha$  == alist-op- $\alpha$  ops
  abbreviation invar where invar == alist-op-invar ops
  abbreviation empty where empty == alist-op-empty ops
  abbreviation isEmpty where isEmpty == alist-op-isEmpty ops
  abbreviation count where count == alist-op-count ops
  abbreviation consl where consl == alist-op-consl ops
  abbreviation consr where consr == alist-op-consr ops
  abbreviation head where head == alist-op-head ops
  abbreviation tail where tail == alist-op-tail ops
  abbreviation headR where headR == alist-op-headR ops
  abbreviation tailR where tailR == alist-op-tailR ops
  abbreviation app where app == alist-op-app ops
  abbreviation annot where annot == alist-op-annot ops
  abbreviation splits where splits == alist-op-splits ops

```

```

end

locale StdAL = StdALDefs ops +
  al  $\alpha$  invar +
  al-empty  $\alpha$  invar empty +
  al-isEmpty  $\alpha$  invar isEmpty +
  al-count  $\alpha$  invar count +
  al-consl  $\alpha$  invar consl +
  al-consr  $\alpha$  invar consr +
  al-head  $\alpha$  invar head +
  al-tail  $\alpha$  invar tail +
  al-headR  $\alpha$  invar headR +
  al-tailR  $\alpha$  invar tailR +
  al-app  $\alpha$  invar app +
  al-annot  $\alpha$  invar annot +
  al-splits  $\alpha$  invar splits
for ops
begin
  lemmas correct =
    empty-correct
    isEmpty-correct
    count-correct
    consl-correct
    consr-correct
    head-correct
    tail-correct
    headR-correct
    tailR-correct
    app-correct
    annot-correct
    foldl-correct
    foldr-correct
end

locale StdAL-no-invar = StdAL + al-no-invar  $\alpha$  invar

end

```

### 3.1.4 Specification of Priority Queues

```

theory PrioSpec
imports ICF-Spec-Base HOL-Library.Multiset
begin

```

We specify priority queues, that are abstracted to multisets of pairs of elements and priorities.

```

locale prio =
  fixes  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) \text{ multiset}$  — Abstraction to multiset

```



**fixes**  $invar :: 'p \Rightarrow bool$  — Invariant

**locale**  $prio-no-invar = prio +$   
**assumes**  $invar[simp, intro]: \bigwedge s. invar s$

### Basic Priority Queue Functions

**Empty Queue locale**  $prio-empty = prio +$   
**constrains**  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) multiset$   
**fixes**  $empty :: unit \Rightarrow 'p$   
**assumes**  $empty-correct:$   
 $invar (empty ())$   
 $\alpha (empty ()) = \{\#\}$

**Emptiness Predicate locale**  $prio-isEmpty = prio +$   
**constrains**  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) multiset$   
**fixes**  $isEmpty :: 'p \Rightarrow bool$   
**assumes**  $isEmpty-correct:$   
 $invar p \Longrightarrow (isEmpty p) = (\alpha p = \{\#\})$

**Find Minimal Element locale**  $prio-find = prio +$   
**constrains**  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) multiset$   
**fixes**  $find :: 'p \Rightarrow ('e \times 'a::linorder)$   
**assumes**  $find-correct: \llbracket invar p; \alpha p \neq \{\#\} \rrbracket \Longrightarrow$   
 $(find p) \in \# (\alpha p) \wedge (\forall y \in set-mset (\alpha p). snd (find p) \leq snd y)$

**Insert locale**  $prio-insert = prio +$   
**constrains**  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) multiset$   
**fixes**  $insert :: 'e \Rightarrow 'a \Rightarrow 'p \Rightarrow 'p$   
**assumes**  $insert-correct:$   
 $invar p \Longrightarrow invar (insert e a p)$   
 $invar p \Longrightarrow \alpha (insert e a p) = (\alpha p) + \{\#(e,a)\#}$

**Meld Two Queues locale**  $prio-meld = prio +$   
**constrains**  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) multiset$   
**fixes**  $meld :: 'p \Rightarrow 'p \Rightarrow 'p$   
**assumes**  $meld-correct:$   
 $\llbracket invar p; invar p' \rrbracket \Longrightarrow invar (meld p p')$   
 $\llbracket invar p; invar p' \rrbracket \Longrightarrow \alpha (meld p p') = (\alpha p) + (\alpha p')$

**Delete Minimal Element** Delete the same element that find will return

**locale**  $prio-delete = prio-find +$   
**constrains**  $\alpha :: 'p \Rightarrow ('e \times 'a::linorder) multiset$   
**fixes**  $delete :: 'p \Rightarrow 'p$   
**assumes**  $delete-correct:$   
 $\llbracket invar p; \alpha p \neq \{\#\} \rrbracket \Longrightarrow invar (delete p)$   
 $\llbracket invar p; \alpha p \neq \{\#\} \rrbracket \Longrightarrow \alpha (delete p) = (\alpha p) - \{\# (find p) \#\}$

**Record based interface**

```

record ('e, 'a, 'p) prio-ops =
  prio-op- $\alpha$  :: 'p  $\Rightarrow$  ('e  $\times$  'a) multiset
  prio-op-invar :: 'p  $\Rightarrow$  bool
  prio-op-empty :: unit  $\Rightarrow$  'p
  prio-op-isEmpty :: 'p  $\Rightarrow$  bool
  prio-op-insert :: 'e  $\Rightarrow$  'a  $\Rightarrow$  'p  $\Rightarrow$  'p
  prio-op-find :: 'p  $\Rightarrow$  'e  $\times$  'a
  prio-op-delete :: 'p  $\Rightarrow$  'p
  prio-op-meld :: 'p  $\Rightarrow$  'p  $\Rightarrow$  'p

locale StdPrioDefs =
  fixes ops :: ('e,'a::linorder,'p) prio-ops
begin
  abbreviation  $\alpha$  where  $\alpha$  == prio-op- $\alpha$  ops
  abbreviation invar where invar == prio-op-invar ops
  abbreviation empty where empty == prio-op-empty ops
  abbreviation isEmpty where isEmpty == prio-op-isEmpty ops
  abbreviation insert where insert == prio-op-insert ops
  abbreviation find where find == prio-op-find ops
  abbreviation delete where delete == prio-op-delete ops
  abbreviation meld where meld == prio-op-meld ops
end

locale StdPrio = StdPrioDefs ops +
  prio  $\alpha$  invar +
  prio-empty  $\alpha$  invar empty +
  prio-isEmpty  $\alpha$  invar isEmpty +
  prio-find  $\alpha$  invar find +
  prio-insert  $\alpha$  invar insert +
  prio-meld  $\alpha$  invar meld +
  prio-delete  $\alpha$  invar find delete
  for ops
begin
  lemmas correct =
    empty-correct
    isEmpty-correct
    find-correct
    insert-correct
    meld-correct
    delete-correct
end

locale StdPrio-no-invar = StdPrio + prio-no-invar  $\alpha$  invar

end

```

### 3.1.5 Specification of Unique Priority Queues

```

theory PrioUniqueSpec
imports ICF-Spec-Base
begin

```

We define unique priority queues, where each element may occur at most once. We provide operations to get and remove the element with the minimum priority, as well as to access and change an elements priority (decrease-key operation).

Unique priority queues are abstracted to maps from elements to priorities.

```

locale uprio =
  fixes  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::linorder)$ 
  fixes  $invar :: 's \Rightarrow bool$ 

locale uprio-no-invar = uprio +
  assumes  $invar[simp, intro!]: \bigwedge s. invar s$ 

locale uprio-finite = uprio +
  assumes  $finite-correct:$ 
   $invar s \Longrightarrow finite (dom (\alpha s))$ 

```

#### Basic Upriority Queue Functions

```

Empty Queue locale uprio-empty = uprio +
  constrains  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::linorder)$ 
  fixes  $empty :: unit \Rightarrow 's$ 
  assumes  $empty-correct:$ 
   $invar (empty ())$ 
   $\alpha (empty ()) = Map.empty$ 

```

```

Emptiness Predicate locale uprio-isEmpty = uprio +
  constrains  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::linorder)$ 
  fixes  $isEmpty :: 's \Rightarrow bool$ 
  assumes  $isEmpty-correct:$ 
   $invar s \Longrightarrow (isEmpty s) = (\alpha s = Map.empty)$ 

```

```

Find and Remove Minimal Element locale uprio-pop = uprio +
  constrains  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::linorder)$ 
  fixes  $pop :: 's \Rightarrow ('e \times 'a \times 's)$ 
  assumes  $pop-correct:$ 
   $\llbracket invar s; \alpha s \neq Map.empty; pop s = (e, a, s') \rrbracket \Longrightarrow$ 
   $invar s' \wedge$ 
   $\alpha s' = (\alpha s)(e := None) \wedge$ 
   $(\alpha s) e = Some a \wedge$ 
   $(\forall y \in ran (\alpha s). a \leq y)$ 

```

```

begin

```

```

  lemma popE:

```

```

assumes
  invar s
   $\alpha s \neq \text{Map.empty}$ 
obtains e a s' where
  pop s = (e, a, s')
  invar s'
   $\alpha s' = (\alpha s)(e := \text{None})$ 
   $(\alpha s) e = \text{Some } a$ 
   $(\forall y \in \text{ran } (\alpha s). a \leq y)$ 
  <proof>

```

**end**

**Insert** If an existing element is inserted, its priority will be overwritten. This can be used to implement a decrease-key operation.

```

locale uprio-insert = uprio +
  constrains  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::\text{linorder})$ 
  fixes insert :: 's \Rightarrow 'e \Rightarrow 'a \Rightarrow 's
  assumes insert-correct:
  invar s \Longrightarrow invar (insert s e a)
  invar s \Longrightarrow \alpha (insert s e a) = (\alpha s)(e \mapsto a)

```

**Distinct Insert** This operation only allows insertion of elements that are not yet in the queue.

```

locale uprio-distinct-insert = uprio +
  constrains  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::\text{linorder})$ 
  fixes insert :: 's \Rightarrow 'e \Rightarrow 'a \Rightarrow 's
  assumes distinct-insert-correct:
   $\llbracket \text{invar } s; e \notin \text{dom } (\alpha s) \rrbracket \Longrightarrow \text{invar } (\text{insert } s e a)$ 
   $\llbracket \text{invar } s; e \notin \text{dom } (\alpha s) \rrbracket \Longrightarrow \alpha (\text{insert } s e a) = (\alpha s)(e \mapsto a)$ 

```

```

Looking up Priorities locale uprio-prio = uprio +
  constrains  $\alpha :: 's \Rightarrow ('e \rightarrow 'a::\text{linorder})$ 
  fixes prio :: 's \Rightarrow 'e \Rightarrow 'a option
  assumes prio-correct:
  invar s \Longrightarrow prio s e = (\alpha s) e

```

### Record Based Interface

```

record ('e, 'a, 's) uprio-ops =
  upr-\alpha :: 's \Rightarrow ('e \rightarrow 'a)
  upr-invar :: 's \Rightarrow bool
  upr-empty :: unit \Rightarrow 's
  upr-isEmpty :: 's \Rightarrow bool
  upr-insert :: 's \Rightarrow 'e \Rightarrow 'a \Rightarrow 's
  upr-pop :: 's \Rightarrow ('e \times 'a \times 's)
  upr-prio :: 's \Rightarrow 'e \Rightarrow 'a option

```

```

locale StdUprioDefs =
  fixes ops :: ('e,'a::linorder,'s, 'more) uprio-ops-scheme
begin
  abbreviation  $\alpha$  where  $\alpha == \text{upr-}\alpha$  ops
  abbreviation invar where invar == upr-invar ops
  abbreviation empty where empty == upr-empty ops
  abbreviation isEmpty where isEmpty == upr-isEmpty ops
  abbreviation insert where insert == upr-insert ops
  abbreviation pop where pop == upr-pop ops
  abbreviation prio where prio == upr-prio ops
end

locale StdUprio = StdUprioDefs ops +
  uprio-finite  $\alpha$  invar +
  uprio-empty  $\alpha$  invar empty +
  uprio-isEmpty  $\alpha$  invar isEmpty +
  uprio-insert  $\alpha$  invar insert +
  uprio-pop  $\alpha$  invar pop +
  uprio-prio  $\alpha$  invar prio
  for ops
begin
  lemmas correct =
    finite-correct
    empty-correct
    isEmpty-correct
    insert-correct
    prio-correct
end

locale StdUprio-no-invar = StdUprio + uprio-no-invar  $\alpha$  invar
end

```

## 3.2 Generic Algorithms

### 3.2.1 General Algorithms for Iterators over Finite Sets

```

theory SetIteratorCollectionsGA
imports
  ../spec/SetSpec
  ../spec/MapSpec
begin

```

#### Iterate add to Set

```

definition iterate-add-to-set where
  iterate-add-to-set s ins (it::('x,'x-set) set-iterator) =

```

*it* ( $\lambda\cdot. True$ ) ( $\lambda x \sigma. ins\ x\ \sigma$ ) *s*

**lemma** *iterate-add-to-set-correct* :  
**assumes** *ins-OK*: *set-ins*  $\alpha$  *invar ins*  
**assumes** *s-OK*: *invar s*  
**assumes** *it*: *set-iterator it S0*  
**shows**  $\alpha$  (*iterate-add-to-set s ins it*) =  $S0 \cup \alpha\ s \wedge invar$  (*iterate-add-to-set s ins it*)  
 $\langle proof \rangle$

**lemma** *iterate-add-to-set-dj-correct* :  
**assumes** *ins-dj-OK*: *set-ins-dj*  $\alpha$  *invar ins-dj*  
**assumes** *s-OK*: *invar s*  
**assumes** *it*: *set-iterator it S0*  
**assumes** *dj*:  $S0 \cap \alpha\ s = \{\}$   
**shows**  $\alpha$  (*iterate-add-to-set s ins-dj it*) =  $S0 \cup \alpha\ s \wedge invar$  (*iterate-add-to-set s ins-dj it*)  
 $\langle proof \rangle$

### Iterator to Set

**definition** *iterate-to-set where*  
*iterate-to-set emp ins-dj (it::('x,'x-set) set-iterator)* =  
*iterate-add-to-set (emp ()) ins-dj it*

**lemma** *iterate-to-set-alt-def*[code] :  
*iterate-to-set emp ins-dj (it::('x,'x-set) set-iterator)* =  
*it* ( $\lambda\cdot. True$ ) ( $\lambda x \sigma. ins\ dj\ x\ \sigma$ ) (*emp* ())  
 $\langle proof \rangle$

**lemma** *iterate-to-set-correct* :  
**assumes** *ins-dj-OK*: *set-ins-dj*  $\alpha$  *invar ins-dj*  
**assumes** *emp-OK*: *set-empty*  $\alpha$  *invar emp*  
**assumes** *it*: *set-iterator it S0*  
**shows**  $\alpha$  (*iterate-to-set emp ins-dj it*) =  $S0 \wedge invar$  (*iterate-to-set emp ins-dj it*)  
 $\langle proof \rangle$

### Iterate image/filter add to Set

Iterators only visit element once. Therefore the image operations makes sense for filters only if an injective function is used. However, when adding to a set using non-injective functions is fine.

**lemma** *iterate-image-filter-add-to-set-correct* :  
**assumes** *ins-OK*: *set-ins*  $\alpha$  *invar ins*  
**assumes** *s-OK*: *invar s*  
**assumes** *it*: *set-iterator it S0*  
**shows**  $\alpha$  (*iterate-add-to-set s ins (set-iterator-image-filter f it)*) =  
 $\{b . \exists a. a \in S0 \wedge f\ a = Some\ b\} \cup \alpha\ s \wedge$   
*invar (iterate-add-to-set s ins (set-iterator-image-filter f it))*

$\langle proof \rangle$

**lemma** *iterate-image-filter-to-set-correct* :  
**assumes** *ins-OK*: *set-ins*  $\alpha$  *invar ins*  
**assumes** *emp-OK*: *set-empty*  $\alpha$  *invar emp*  
**assumes** *it*: *set-iterator it S0*  
**shows**  $\alpha$  (*iterate-to-set emp ins (set-iterator-image-filter f it)*) =  
 $\{b . \exists a. a \in S0 \wedge f a = \text{Some } b\} \wedge$   
*invar (iterate-to-set emp ins (set-iterator-image-filter f it))*  
 $\langle proof \rangle$

For completeness lets also consider injective versions.

**lemma** *iterate-inj-image-filter-add-to-set-correct* :  
**assumes** *ins-dj-OK*: *set-ins-dj*  $\alpha$  *invar ins*  
**assumes** *s-OK*: *invar s*  
**assumes** *it*: *set-iterator it S0*  
**assumes** *dj*:  $\{y. \exists x. x \in S0 \wedge f x = \text{Some } y\} \cap \alpha s = \{\}$   
**assumes** *f-inj-on*: *inj-on f (S0  $\cap$  dom f)*  
**shows**  $\alpha$  (*iterate-add-to-set s ins (set-iterator-image-filter f it)*) =  
 $\{b . \exists a. a \in S0 \wedge f a = \text{Some } b\} \cup \alpha s \wedge$   
*invar (iterate-add-to-set s ins (set-iterator-image-filter f it))*  
 $\langle proof \rangle$

**lemma** *iterate-inj-image-filter-to-set-correct* :  
**assumes** *ins-OK*: *set-ins-dj*  $\alpha$  *invar ins*  
**assumes** *emp-OK*: *set-empty*  $\alpha$  *invar emp*  
**assumes** *it*: *set-iterator it S0*  
**assumes** *f-inj-on*: *inj-on f (S0  $\cap$  dom f)*  
**shows**  $\alpha$  (*iterate-to-set emp ins (set-iterator-image-filter f it)*) =  
 $\{b . \exists a. a \in S0 \wedge f a = \text{Some } b\} \wedge$   
*invar (iterate-to-set emp ins (set-iterator-image-filter f it))*  
 $\langle proof \rangle$

### Iterate diff Set

**definition** *iterate-diff-set where*  
*iterate-diff-set s del (it::('x,'x-set) set-iterator) =*  
*it ( $\lambda$ -. True) ( $\lambda x \sigma. del x \sigma$ ) s*

**lemma** *iterate-diff-correct* :  
**assumes** *del-OK*: *set-delete*  $\alpha$  *invar del*  
**assumes** *s-OK*: *invar s*  
**assumes** *it*: *set-iterator it S0*  
**shows**  $\alpha$  (*iterate-diff-set s del it*) =  $\alpha s - S0 \wedge$  *invar (iterate-diff-set s del it)*  
 $\langle proof \rangle$

**Iterate add to Map****definition** *iterate-add-to-map* **where**

$$\begin{aligned} & \text{iterate-add-to-map } m \text{ update } (it::('k \times 'v, 'kv\text{-map}) \text{ set-iterator}) = \\ & it (\lambda-. \text{True}) (\lambda(k,v) \sigma. \text{update } k \ v \ \sigma) \ m \end{aligned}$$
**lemma** *iterate-add-to-map-correct* :**assumes** *upd-OK*: *map-update*  $\alpha$  *invar upd***assumes** *m-OK*: *invar m***assumes** *it*: *map-iterator it M***shows**  $\alpha$  (*iterate-add-to-map m upd it*) =  $\alpha$  *m* ++ *M*  $\wedge$  *invar* (*iterate-add-to-map m upd it*)*<proof>***lemma** *iterate-add-to-map-dj-correct* :**assumes** *upd-OK*: *map-update-dj*  $\alpha$  *invar upd***assumes** *m-OK*: *invar m***assumes** *it*: *map-iterator it M***assumes** *dj*: *dom M*  $\cap$  *dom* ( $\alpha$  *m*) = {}**shows**  $\alpha$  (*iterate-add-to-map m upd it*) =  $\alpha$  *m* ++ *M*  $\wedge$  *invar* (*iterate-add-to-map m upd it*)*<proof>***Iterator to Map****definition** *iterate-to-map* **where**

$$\begin{aligned} & \text{iterate-to-map } emp \text{ upd-dj } (it::('k \times 'v, 'kv\text{-map}) \text{ set-iterator}) = \\ & \text{iterate-add-to-map } (emp \ ()) \text{ upd-dj } it \end{aligned}$$
**lemma** *iterate-to-map-alt-def*[*code*] :*iterate-to-map emp upd-dj it* =*it* ( $\lambda-. \text{True}$ ) ( $\lambda(k, v) \sigma. \text{upd-dj } k \ v \ \sigma$ ) (*emp* ())*<proof>***lemma** *iterate-to-map-correct* :**assumes** *upd-dj-OK*: *map-update-dj*  $\alpha$  *invar upd-dj***assumes** *emp-OK*: *map-empty*  $\alpha$  *invar emp***assumes** *it*: *map-iterator it M***shows**  $\alpha$  (*iterate-to-map emp upd-dj it*) = *M*  $\wedge$  *invar* (*iterate-to-map emp upd-dj it*)*<proof>***end****3.2.2 Generic Algorithms for Maps****theory** *MapGA***imports** *SetIteratorCollectionsGA***begin record** (*'k, 'v, 's*) *map-basic-ops* =



```

bmap-op- $\alpha$  :: ('k,'v,'s) map- $\alpha$ 
bmap-op-invar :: ('k,'v,'s) map-invar
bmap-op-empty :: ('k,'v,'s) map-empty
bmap-op-lookup :: ('k,'v,'s) map-lookup
bmap-op-update :: ('k,'v,'s) map-update
bmap-op-update-dj :: ('k,'v,'s) map-update-dj
bmap-op-delete :: ('k,'v,'s) map-delete
bmap-op-list-it :: ('k,'v,'s) map-list-it

```

```

record ('k,'v,'s) omap-basic-ops = ('k,'v,'s) map-basic-ops +
  bmap-op-ordered-list-it :: 's  $\Rightarrow$  ('k,'v,('k $\times$ 'v) list) map-iterator
  bmap-op-rev-list-it :: 's  $\Rightarrow$  ('k,'v,('k $\times$ 'v) list) map-iterator

```

```

locale StdBasicMapDefs =
  poly-map-iteratei-defs bmap-op-list-it ops
  for ops :: ('k,'v,'s,'more) map-basic-ops-scheme
begin
  abbreviation  $\alpha$  where  $\alpha$  == bmap-op- $\alpha$  ops
  abbreviation invar where invar == bmap-op-invar ops
  abbreviation empty where empty == bmap-op-empty ops
  abbreviation lookup where lookup == bmap-op-lookup ops
  abbreviation update where update == bmap-op-update ops
  abbreviation update-dj where update-dj == bmap-op-update-dj ops
  abbreviation delete where delete == bmap-op-delete ops
  abbreviation list-it where list-it == bmap-op-list-it ops
end

```

```

locale StdBasicOMapDefs = StdBasicMapDefs ops
  + poly-map-iterateoi-defs bmap-op-ordered-list-it ops
  + poly-map-rev-iterateoi-defs bmap-op-rev-list-it ops
for ops :: ('k::linorder,'v,'s,'more) omap-basic-ops-scheme
begin
  abbreviation ordered-list-it where ordered-list-it
     $\equiv$  bmap-op-ordered-list-it ops
  abbreviation rev-list-it where rev-list-it
     $\equiv$  bmap-op-rev-list-it ops
end

```

```

locale StdBasicMap = StdBasicMapDefs ops +
  map  $\alpha$  invar +
  map-empty  $\alpha$  invar empty +
  map-lookup  $\alpha$  invar lookup +
  map-update  $\alpha$  invar update +
  map-update-dj  $\alpha$  invar update-dj +
  map-delete  $\alpha$  invar delete +
  poly-map-iteratei  $\alpha$  invar list-it
for ops :: ('k,'v,'s,'more) map-basic-ops-scheme
begin
  lemmas correct[simp] = empty-correct lookup-correct update-correct

```

```

    update-dj-correct delete-correct
end

```

```

locale StdBasicOMap =
  StdBasicOMapDefs ops +
  StdBasicMap ops +
  poly-map-iteratei  $\alpha$  invar ordered-list-it +
  poly-map-rev-iteratei  $\alpha$  invar rev-list-it
  for ops :: ('k::linorder,'v,'s,'more) omap-basic-ops-scheme
begin
end

```

```

context StdBasicMapDefs begin

```

```

  definition g-sng k v  $\equiv$  update k v (empty ())

```

```

  definition g-add m1 m2  $\equiv$  iterate m2 ( $\lambda(k,v) \sigma$ . update k v  $\sigma$ ) m1

```

```

  definition

```

```

    g-sel m P  $\equiv$ 

```

```

    iteratei m ( $\lambda \sigma$ .  $\sigma = \text{None}$ ) ( $\lambda x \sigma$ . if P x then Some x else None) None

```

```

  definition g-bex m P  $\equiv$  iteratei m ( $\lambda x$ .  $\neg x$ ) ( $\lambda kv \sigma$ . P kv) False

```

```

  definition g-ball m P  $\equiv$  iteratei m id ( $\lambda kv \sigma$ . P kv) True

```

```

  definition g-size m  $\equiv$  iterate m ( $\lambda$ -. Suc) (0::nat)

```

```

  definition g-size-abort b m  $\equiv$  iteratei m ( $\lambda s$ . s < b) ( $\lambda$ -. Suc) (0::nat)

```

```

  definition g-isEmpty m  $\equiv$  g-size-abort 1 m = 0

```

```

  definition g-isSng m  $\equiv$  g-size-abort 2 m = 1

```

```

  definition g-to-list m  $\equiv$  iterate m (#) []

```

```

  definition g-list-to-map l  $\equiv$  foldl ( $\lambda m (k,v)$ . update k v m) (empty ())
    (rev l)

```

```

  definition g-add-dj m1 m2  $\equiv$  iterate m2 ( $\lambda(k,v) \sigma$ . update-dj k v  $\sigma$ ) m1

```

```

  definition g-restrict P m  $\equiv$  iterate m

```

```

    ( $\lambda(k,v) \sigma$ . if P (k,v) then update-dj k v  $\sigma$  else  $\sigma$ ) (empty ())

```

```

  definition dflt-ops :: ('k,'v,'s) map-ops

```

```

  where [icf-rec-def]:

```

```

  dflt-ops  $\equiv$ 

```

```

    (

```

```

      map-op- $\alpha$  =  $\alpha$ ,

```

```

      map-op-invar = invar,

```

```

      map-op-empty = empty,

```

```

      map-op-lookup = lookup,

```

```

      map-op-update = update,

```

```

    map-op-update-dj = update-dj,
    map-op-delete = delete,
    map-op-list-it = list-it,
    map-op-sng = g-sng,
    map-op-restrict = g-restrict,
    map-op-add = g-add,
    map-op-add-dj = g-add-dj,
    map-op-isEmpty = g-isEmpty,
    map-op-isSng = g-isSng,
    map-op-ball = g-ball,
    map-op-bex = g-bex,
    map-op-size = g-size,
    map-op-size-abort = g-size-abort,
    map-op-sel = g-sel,
    map-op-to-list = g-to-list,
    map-op-to-map = g-list-to-map
  )

```

*<ML>*

**end**

**lemma** *update-dj-by-update:*

**assumes** *map-update*  $\alpha$  *invar update*

**shows** *map-update-dj*  $\alpha$  *invar update*

*<proof>*

**lemma** *map-iterator-linord-is-it:*

*map-iterator-linord* *m it*  $\implies$  *map-iterator* *m it*

*<proof>*

**lemma** *map-rev-iterator-linord-is-it:*

*map-iterator-rev-linord* *m it*  $\implies$  *map-iterator* *m it*

*<proof>*

**context** *StdBasicMap*

**begin**

**lemma** *g-sng-impl: map-sng*  $\alpha$  *invar g-sng*

*<proof>*

**lemma** *g-add-impl: map-add*  $\alpha$  *invar g-add*

*<proof>*

**lemma** *g-sel-impl: map-sel'*  $\alpha$  *invar g-sel*

*<proof>*

**lemma** *g-bex-impl: map-bex*  $\alpha$  *invar g-bex*

*<proof>*

**lemma** *g-ball-impl: map-ball  $\alpha$  invar g-ball*  
*<proof>*

**lemma** *g-size-impl: map-size  $\alpha$  invar g-size*  
*<proof>*

**lemma** *g-size-abort-impl: map-size-abort  $\alpha$  invar g-size-abort*  
*<proof>*

**lemma** *g-isEmpty-impl: map-isEmpty  $\alpha$  invar g-isEmpty*  
*<proof>*

**lemma** *g-isSng-impl: map-isSng  $\alpha$  invar g-isSng*  
*<proof>*

**lemma** *g-to-list-impl: map-to-list  $\alpha$  invar g-to-list*  
*<proof>*

**lemma** *g-list-to-map-impl: list-to-map  $\alpha$  invar g-list-to-map*  
*<proof>*

**lemma** *g-add-dj-impl: map-add-dj  $\alpha$  invar g-add-dj*  
*<proof>*

**lemma** *g-restrict-impl: map-restrict  $\alpha$  invar  $\alpha$  invar g-restrict*  
*<proof>*

**lemma** *dflt-ops-impl: StdMap dflt-ops*  
*<proof>*

**end**

**context** *StdBasicOMapDefs*

**begin**

**definition**

*g-min m P  $\equiv$*   
*iterateoi m ( $\lambda\sigma. \sigma = \text{None}$ ) ( $\lambda x \sigma. \text{if } P \ x \ \text{then } \text{Some } x \ \text{else } \text{None}$ ) None*

**definition**

*g-max m P  $\equiv$*   
*rev-iterateoi m ( $\lambda\sigma. \sigma = \text{None}$ ) ( $\lambda x \sigma. \text{if } P \ x \ \text{then } \text{Some } x \ \text{else } \text{None}$ ) None*

**definition** *g-to-sorted-list m  $\equiv$  rev-iterateo m (#) []*

**definition** *g-to-rev-list m  $\equiv$  iterateo m (#) []*

**definition** *dflt-ops :: ('k,'v,'s) omap-ops*

**where** [*icf-rec-def*]:

*dflt-ops  $\equiv$  map-ops.extend dflt-ops*

*()*

```

    map-op-ordered-list-it = ordered-list-it,
    map-op-rev-list-it = rev-list-it,
    map-op-min = g-min,
    map-op-max = g-max,
    map-op-to-sorted-list = g-to-sorted-list,
    map-op-to-rev-list = g-to-rev-list
  )
  <ML>

end

context StdBasicOMap
begin
  lemma g-min-impl: map-min  $\alpha$  invar g-min
  <proof>

  lemma g-max-impl: map-max  $\alpha$  invar g-max
  <proof>

  lemma g-to-sorted-list-impl: map-to-sorted-list  $\alpha$  invar g-to-sorted-list
  <proof>

  lemma g-to-rev-list-impl: map-to-rev-list  $\alpha$  invar g-to-rev-list
  <proof>

  lemma dflt-oops-impl: StdOMap dflt-oops
  <proof>

end

locale g-image-filter-defs-loc =
  m1: StdMapDefs ops1 +
  m2: StdMapDefs ops2
  for ops1 :: ('k1,'v1,'s1,'m1) map-ops-scheme
  and ops2 :: ('k2,'v2,'s2,'m2) map-ops-scheme
begin
  definition g-image-filter f m1  $\equiv$  m1.iterate m1 ( $\lambda kv \sigma$ . case f kv of
    None =>  $\sigma$ 
    | Some (k',v') => m2.update-dj k' v'  $\sigma$ 
    ) (m2.empty ())
end

locale g-image-filter-loc = g-image-filter-defs-loc ops1 ops2 +
  m1: StdMap ops1 +
  m2: StdMap ops2
  for ops1 :: ('k1,'v1,'s1,'m1) map-ops-scheme
  and ops2 :: ('k2,'v2,'s2,'m2) map-ops-scheme
begin
  lemma g-image-filter-impl:

```

```

    map-image-filter m1.α m1.invar m2.α m2.invar g-image-filter
  ⟨proof⟩
end

```

```

sublocale g-image-filter-loc
  < map-image-filter m1.α m1.invar m2.α m2.invar g-image-filter
  ⟨proof⟩

```

```

locale g-value-image-filter-defs-loc =
  m1: StdMapDefs ops1 +
  m2: StdMapDefs ops2
  for ops1 :: ('k,'v1,'s1,'m1) map-ops-scheme
  and ops2 :: ('k,'v2,'s2,'m2) map-ops-scheme
begin
  definition g-value-image-filter f m1 ≡ m1.iterate m1 (λ(k,v) σ.
    case f k v of
      None => σ
    | Some v' => m2.update-dj k v' σ
    ) (m2.empty ())
end

```

```

lemma restrict-map-dom-subset: [ dom m ⊆ R ] ⇒ m|R = m
  ⟨proof⟩

```

```

locale g-value-image-filter-loc = g-value-image-filter-defs-loc ops1 ops2 +
  m1: StdMap ops1 +
  m2: StdMap ops2
  for ops1 :: ('k,'v1,'s1,'m1) map-ops-scheme
  and ops2 :: ('k,'v2,'s2,'m2) map-ops-scheme
begin
  lemma g-value-image-filter-impl:
    map-value-image-filter m1.α m1.invar m2.α m2.invar g-value-image-filter
  ⟨proof⟩
end

```

```

sublocale g-value-image-filter-loc
  < map-value-image-filter m1.α m1.invar m2.α m2.invar g-value-image-filter
  ⟨proof⟩

```

```

end

```

### 3.2.3 Generic Algorithms for Sets

```

theory SetGA

```

```

imports ../spec/SetSpec SetIteratorCollectionsGA
begin

```

### Generic Set Algorithms

```

locale g-set-xx-defs-loc =

```

```

  s1: StdSetDefs ops1 + s2: StdSetDefs ops2
  for ops1 :: ('x,'s1,'more1) set-ops-scheme
  and ops2 :: ('x,'s2,'more2) set-ops-scheme

```

```

begin

```

```

  definition g-copy s ≡ s1.iterate s s2.ins-dj (s2.empty ())

```

```

  definition g-filter P s1 ≡ s1.iterate s1
    ( $\lambda x \sigma.$  if P x then s2.ins-dj x  $\sigma$  else  $\sigma$ )
    (s2.empty ())

```

```

  definition g-union s1 s2 ≡ s1.iterate s1 s2.ins s2

```

```

  definition g-diff s1 s2 ≡ s2.iterate s2 s1.delete s1

```

```

  definition g-union-list where

```

```

    g-union-list l =
      foldl ( $\lambda s s'.$  g-union s' s) (s2.empty ()) l

```

```

  definition g-union-dj s1 s2 ≡ s1.iterate s1 s2.ins-dj s2

```

```

  definition g-disjoint-witness s1 s2 ≡
    s1.sel s1 ( $\lambda x.$  s2.memb x s2)

```

```

  definition g-disjoint s1 s2 ≡
    s1.ball s1 ( $\lambda x.$   $\neg$ s2.memb x s2)

```

```

end

```

```

locale g-set-xx-loc = g-set-xx-defs-loc ops1 ops2 +

```

```

  s1: StdSet ops1 + s2: StdSet ops2
  for ops1 :: ('x,'s1,'more1) set-ops-scheme
  and ops2 :: ('x,'s2,'more2) set-ops-scheme

```

```

begin

```

```

  lemma g-copy-alt:

```

```

    g-copy s = iterate-to-set s2.empty s2.ins-dj (s1.iteratei s)
    <proof>

```

```

  lemma g-copy-impl: set-copy s1. $\alpha$  s1.invar s2. $\alpha$  s2.invar g-copy
    <proof>

```

```

  lemma g-filter-impl: set-filter s1. $\alpha$  s1.invar s2. $\alpha$  s2.invar g-filter
    <proof>

```

```

  lemma g-union-alt:

```

```

    g-union s1 s2 = iterate-add-to-set s2 s2.ins (s1.iteratei s1)
    <proof>

```

**lemma** *g-diff-alt*:

*g-diff* *s1 s2* = *iterate-diff-set* *s1 s1.delete (s2.iteratei s2)*  
 ⟨*proof*⟩

**lemma** *g-union-impl*:

*set-union* *s1.α s1.invar s2.α s2.invar s2.α s2.invar* *g-union*  
 ⟨*proof*⟩

**lemma** *g-diff-impl*:

*set-diff* *s1.α s1.invar s2.α s2.invar* *g-diff*  
 ⟨*proof*⟩

**lemma** *g-union-list-impl*:

**shows** *set-union-list* *s1.α s1.invar s2.α s2.invar* *g-union-list*  
 ⟨*proof*⟩

**lemma** *g-union-dj-impl*:

*set-union-dj* *s1.α s1.invar s2.α s2.invar s2.α s2.invar* *g-union-dj*  
 ⟨*proof*⟩

**lemma** *g-disjoint-witness-impl*:

*set-disjoint-witness* *s1.α s1.invar s2.α s2.invar* *g-disjoint-witness*  
 ⟨*proof*⟩

**lemma** *g-disjoint-impl*:

*set-disjoint* *s1.α s1.invar s2.α s2.invar* *g-disjoint*  
 ⟨*proof*⟩

**end**

**sublocale** *g-set-xx-loc* <

*set-copy* *s1.α s1.invar s2.α s2.invar* *g-copy* ⟨*proof*⟩

**sublocale** *g-set-xx-loc* <

*set-filter* *s1.α s1.invar s2.α s2.invar* *g-filter* ⟨*proof*⟩

**sublocale** *g-set-xx-loc* <

*set-union* *s1.α s1.invar s2.α s2.invar s2.α s2.invar* *g-union*  
 ⟨*proof*⟩

**sublocale** *g-set-xx-loc* <

*set-union-dj* *s1.α s1.invar s2.α s2.invar s2.α s2.invar* *g-union-dj*  
 ⟨*proof*⟩

**sublocale** *g-set-xx-loc* <

*set-diff* *s1.α s1.invar s2.α s2.invar* *g-diff*  
 ⟨*proof*⟩

**sublocale** *g-set-xx-loc* <



```

set-disjoint-witness s1.α s1.invar s2.α s2.invar g-disjoint-witness
⟨proof⟩

```

```

sublocale g-set-xx-loc <
  set-disjoint s1.α s1.invar s2.α s2.invar g-disjoint ⟨proof⟩

```

```

locale g-set-xxx-defs-loc =
  s1: StdSetDefs ops1 +
  s2: StdSetDefs ops2 +
  s3: StdSetDefs ops3
  for ops1 :: ('x,'s1,'more1) set-ops-scheme
  and ops2 :: ('x,'s2,'more2) set-ops-scheme
  and ops3 :: ('x,'s3,'more3) set-ops-scheme
begin
  definition g-inter s1 s2 ≡
    s1.iterate s1 (λx s. if s2.memb x s2 then s3.ins-dj x s else s)
    (s3.empty ())
end

```

```

locale g-set-xxx-loc = g-set-xxx-defs-loc ops1 ops2 ops3 +
  s1: StdSet ops1 +
  s2: StdSet ops2 +
  s3: StdSet ops3
  for ops1 :: ('x,'s1,'more1) set-ops-scheme
  and ops2 :: ('x,'s2,'more2) set-ops-scheme
  and ops3 :: ('x,'s3,'more3) set-ops-scheme
begin
  lemma g-inter-impl: set-inter s1.α s1.invar s2.α s2.invar s3.α s3.invar
    g-inter
    ⟨proof⟩
end

```

```

sublocale g-set-xxx-loc
  < set-inter s1.α s1.invar s2.α s2.invar s3.α s3.invar g-inter
  ⟨proof⟩

```

```

locale g-set-xy-defs-loc =
  s1: StdSet ops1 + s2: StdSet ops2
  for ops1 :: ('x1,'s1,'more1) set-ops-scheme
  and ops2 :: ('x2,'s2,'more2) set-ops-scheme
begin

```

**definition** *g-image-filter*  $f\ s \equiv$   
 $s1.iterate\ s$   
 $(\lambda x\ res.\ case\ f\ x\ of\ Some\ v \Rightarrow s2.ins\ v\ res\ |\ - \Rightarrow res)$   
 $(s2.empty\ ())$

**definition** *g-image*  $f\ s \equiv$   
 $s1.iterate\ s\ (\lambda x\ res.\ s2.ins\ (f\ x)\ res)\ (s2.empty\ ())$

**definition** *g-inj-image-filter*  $f\ s \equiv$   
 $s1.iterate\ s$   
 $(\lambda x\ res.\ case\ f\ x\ of\ Some\ v \Rightarrow s2.ins-dj\ v\ res\ |\ - \Rightarrow res)$   
 $(s2.empty\ ())$

**definition** *g-inj-image*  $f\ s \equiv$   
 $s1.iterate\ s\ (\lambda x\ res.\ s2.ins-dj\ (f\ x)\ res)\ (s2.empty\ ())$

**end**

**locale** *g-set-xy-loc* = *g-set-xy-defs-loc*  $ops1\ ops2 +$   
 $s1: StdSet\ ops1 + s2: StdSet\ ops2$   
**for**  $ops1 :: ('x1, 's1, 'more1)\ set-ops-scheme$   
**and**  $ops2 :: ('x2, 's2, 'more2)\ set-ops-scheme$

**begin**

**lemma** *g-image-filter-impl*:  
 $set-image-filter\ s1.\alpha\ s1.invar\ s2.\alpha\ s2.invar\ g-image-filter$   
 $\langle proof \rangle$

**lemma** *g-image-alt*:  $g-image\ f\ s = g-image-filter\ (Some\ o\ f)\ s$   
 $\langle proof \rangle$

**lemma** *g-image-impl*:  $set-image\ s1.\alpha\ s1.invar\ s2.\alpha\ s2.invar\ g-image$   
 $\langle proof \rangle$

**lemma** *g-inj-image-filter-impl*:  
 $set-inj-image-filter\ s1.\alpha\ s1.invar\ s2.\alpha\ s2.invar\ g-inj-image-filter$   
 $\langle proof \rangle$

**lemma** *g-inj-image-alt*:  $g-inj-image\ f\ s = g-inj-image-filter\ (Some\ o\ f)\ s$   
 $\langle proof \rangle$

**lemma** *g-inj-image-impl*:  
 $set-inj-image\ s1.\alpha\ s1.invar\ s2.\alpha\ s2.invar\ g-inj-image$   
 $\langle proof \rangle$

**end**

**sublocale** *g-set-xy-loc* < *set-image-filter*  $s1.\alpha\ s1.invar\ s2.\alpha\ s2.invar$   
 $g-image-filter\ \langle proof \rangle$

```
sublocale g-set-xy-loc < set-image s1.α s1.invar s2.α s2.invar
  g-image ⟨proof⟩
```

```
sublocale g-set-xy-loc < set-inj-image s1.α s1.invar s2.α s2.invar
  g-inj-image ⟨proof⟩
```

```
locale g-set-xyy-defs-loc =
  s0: StdSetDefs ops0 +
  g-set-xx-defs-loc ops1 ops2
  for ops0 :: ('x0, 's0, 'more0) set-ops-scheme
  and ops1 :: ('x, 's1, 'more1) set-ops-scheme
  and ops2 :: ('x, 's2, 'more2) set-ops-scheme
begin
  definition g-Union-image
    :: ('x0 ⇒ 's1) ⇒ 's0 ⇒ 's2
    where g-Union-image f S
      == s0.iterate S (λx res. g-union (f x) res) (s2.empty ())
end
```

```
locale g-set-xyy-loc = g-set-xyy-defs-loc ops0 ops1 ops2 +
  s0: StdSet ops0 +
  g-set-xx-loc ops1 ops2
  for ops0 :: ('x0, 's0, 'more0) set-ops-scheme
  and ops1 :: ('x, 's1, 'more1) set-ops-scheme
  and ops2 :: ('x, 's2, 'more2) set-ops-scheme
begin
```

```
  lemma g-Union-image-impl:
    set-Union-image s0.α s0.invar s1.α s1.invar s2.α s2.invar g-Union-image
    ⟨proof⟩
```

```
end
```

```
sublocale g-set-xyy-loc <
  set-Union-image s0.α s0.invar s1.α s1.invar s2.α s2.invar g-Union-image
  ⟨proof⟩
```

## Default Set Operations

```
record ('x, 's) set-basic-ops =
  bset-op-α :: 's ⇒ 'x set
  bset-op-invar :: 's ⇒ bool
  bset-op-empty :: unit ⇒ 's
  bset-op-memb :: 'x ⇒ 's ⇒ bool
  bset-op-ins :: 'x ⇒ 's ⇒ 's
  bset-op-ins-dj :: 'x ⇒ 's ⇒ 's
  bset-op-delete :: 'x ⇒ 's ⇒ 's
  bset-op-list-it :: ('x, 's) set-list-it
```

```

record ('x,'s) oset-basic-ops = ('x::linorder,'s) set-basic-ops +
  bset-op-ordered-list-it :: 's  $\Rightarrow$  ('x,'x list) set-iterator
  bset-op-rev-list-it :: 's  $\Rightarrow$  ('x,'x list) set-iterator

```

```

locale StdBasicSetDefs =
  poly-set-iteratei-defs bset-op-list-it ops
  for ops :: ('x,'s,'more) set-basic-ops-scheme
begin
  abbreviation  $\alpha$  where  $\alpha$  == bset-op- $\alpha$  ops
  abbreviation invar where invar == bset-op-invar ops
  abbreviation empty where empty == bset-op-empty ops
  abbreviation memb where memb == bset-op-memb ops
  abbreviation ins where ins == bset-op-ins ops
  abbreviation ins-dj where ins-dj == bset-op-ins-dj ops
  abbreviation delete where delete == bset-op-delete ops
  abbreviation list-it where list-it  $\equiv$  bset-op-list-it ops
end

```

```

locale StdBasicOSetDefs = StdBasicSetDefs ops
  + poly-set-iterateoi-defs bset-op-ordered-list-it ops
  + poly-set-rev-iterateoi-defs bset-op-rev-list-it ops
  for ops :: ('x::linorder,'s,'more) oset-basic-ops-scheme
begin
  abbreviation ordered-list-it  $\equiv$  bset-op-ordered-list-it ops
  abbreviation rev-list-it  $\equiv$  bset-op-rev-list-it ops
end

```

```

locale StdBasicSet = StdBasicSetDefs ops +
  set  $\alpha$  invar +
  set-empty  $\alpha$  invar empty +
  set-memb  $\alpha$  invar memb +
  set-ins  $\alpha$  invar ins +
  set-ins-dj  $\alpha$  invar ins-dj +
  set-delete  $\alpha$  invar delete +
  poly-set-iteratei  $\alpha$  invar list-it
  for ops :: ('x,'s,'more) set-basic-ops-scheme
begin

```

```

  lemmas correct[simp] =
    empty-correct
    memb-correct
    ins-correct
    ins-dj-correct
    delete-correct

```

```

end

```

```

locale StdBasicOSet =
  StdBasicOSetDefs ops +

```

```

StdBasicSet ops +
poly-set-iterateoi  $\alpha$  invar ordered-list-it +
poly-set-rev-iterateoi  $\alpha$  invar rev-list-it
for ops :: ('x::linorder,'s,'more) oset-basic-ops-scheme
begin
end

context StdBasicSetDefs
begin
definition g-sng x  $\equiv$  ins x (empty ())
definition g-isEmpty s  $\equiv$  iteratei s ( $\lambda c. c$ ) ( $\lambda - .$  False) True
definition g-sel' s P  $\equiv$  iteratei s ((=) None)
  ( $\lambda x -.$  if P x then Some x else None) None

definition g-ball s P  $\equiv$  iteratei s ( $\lambda c. c$ ) ( $\lambda x \sigma. P x$ ) True
definition g-bex s P  $\equiv$  iteratei s ( $\lambda c. \neg c$ ) ( $\lambda x \sigma. P x$ ) False
definition g-size s  $\equiv$  iteratei s ( $\lambda -.$  True) ( $\lambda x n .$  Suc n) 0
definition g-size-abort m s  $\equiv$  iteratei s ( $\lambda \sigma. \sigma < m$ ) ( $\lambda x. Suc$ ) 0
definition g-isSng s  $\equiv$  (iteratei s ( $\lambda \sigma. \sigma < 2$ )) ( $\lambda x. Suc$ ) 0 = 1

definition g-union s1 s2  $\equiv$  iterate s1 ins s2
definition g-diff s1 s2  $\equiv$  iterate s2 delete s1

definition g-subset s1 s2  $\equiv$  g-ball s1 ( $\lambda x. memb x s2$ )

definition g-equal s1 s2  $\equiv$  g-subset s1 s2  $\wedge$  g-subset s2 s1

definition g-to-list s  $\equiv$  iterate s (#) []

fun g-from-list-aux where
  g-from-list-aux accs [] = accs |
  g-from-list-aux accs (x#l) = g-from-list-aux (ins x accs) l
  — Tail recursive version

definition g-from-list l == g-from-list-aux (empty ()) l

definition g-inter s1 s2  $\equiv$ 
  iterate s1 ( $\lambda x s.$  if memb x s2 then ins-dj x s else s)
  (empty ())

definition g-union-dj s1 s2  $\equiv$  iterate s1 ins-dj s2
definition g-filter P s  $\equiv$  iterate s
  ( $\lambda x \sigma.$  if P x then ins-dj x  $\sigma$  else  $\sigma$ )
  (empty ())

definition g-disjoint-witness s1 s2  $\equiv$  g-sel' s1 ( $\lambda x. memb x s2$ )
definition g-disjoint s1 s2  $\equiv$  g-ball s1 ( $\lambda x. \neg memb x s2$ )

definition dflt-ops

```

```

where [icf-rec-def]: dflt-ops  $\equiv$  (
  set-op- $\alpha$  =  $\alpha$ ,
  set-op-invar = invar,
  set-op-empty = empty,
  set-op-memb = memb,
  set-op-ins = ins,
  set-op-ins-dj = ins-dj,
  set-op-delete = delete,
  set-op-list-it = list-it,
  set-op-sng = g-sng ,
  set-op-isEmpty = g-isEmpty ,
  set-op-isSng = g-isSng ,
  set-op-ball = g-ball ,
  set-op-bex = g-bex ,
  set-op-size = g-size ,
  set-op-size-abort = g-size-abort ,
  set-op-union = g-union ,
  set-op-union-dj = g-union-dj ,
  set-op-diff = g-diff ,
  set-op-filter = g-filter ,
  set-op-inter = g-inter ,
  set-op-subset = g-subset ,
  set-op-equal = g-equal ,
  set-op-disjoint = g-disjoint ,
  set-op-disjoint-witness = g-disjoint-witness ,
  set-op-sel = g-sel' ,
  set-op-to-list = g-to-list ,
  set-op-from-list = g-from-list
)

```

$\langle ML \rangle$

**end**

**context** StdBasicSet

**begin**

**lemma** g-sng-impl: set-sng  $\alpha$  invar g-sng  
 $\langle proof \rangle$

**lemma** g-ins-dj-impl: set-ins-dj  $\alpha$  invar ins  
 $\langle proof \rangle$

**lemma** g-isEmpty-impl: set-isEmpty  $\alpha$  invar g-isEmpty  
 $\langle proof \rangle$

**lemma** g-sel'-impl: set-sel'  $\alpha$  invar g-sel'  
 $\langle proof \rangle$

**lemma** g-ball-alt: g-ball s P = iterate-ball (iteratei s) P  
 $\langle proof \rangle$

**lemma** *g-bex-alt*:  $g\text{-bex } s \ P = \text{iterate-bex } (\text{iteratei } s) \ P$   
 ⟨proof⟩

**lemma** *g-ball-impl*:  $\text{set-ball } \alpha \ \text{invar } g\text{-ball}$   
 ⟨proof⟩

**lemma** *g-bex-impl*:  $\text{set-bex } \alpha \ \text{invar } g\text{-bex}$   
 ⟨proof⟩

**lemma** *g-size-alt*:  $g\text{-size } s = \text{iterate-size } (\text{iteratei } s)$   
 ⟨proof⟩

**lemma** *g-size-abort-alt*:  $g\text{-size-abort } m \ s = \text{iterate-size-abort } (\text{iteratei } s) \ m$   
 ⟨proof⟩

**lemma** *g-size-impl*:  $\text{set-size } \alpha \ \text{invar } g\text{-size}$   
 ⟨proof⟩

**lemma** *g-size-abort-impl*:  $\text{set-size-abort } \alpha \ \text{invar } g\text{-size-abort}$   
 ⟨proof⟩

**lemma** *g-isSng-alt*:  $g\text{-isSng } s = \text{iterate-is-sng } (\text{iteratei } s)$   
 ⟨proof⟩

**lemma** *g-isSng-impl*:  $\text{set-isSng } \alpha \ \text{invar } g\text{-isSng}$   
 ⟨proof⟩

**lemma** *g-union-impl*:  $\text{set-union } \alpha \ \text{invar } \alpha \ \text{invar } \alpha \ \text{invar } g\text{-union}$   
 ⟨proof⟩

**lemma** *g-diff-impl*:  $\text{set-diff } \alpha \ \text{invar } \alpha \ \text{invar } g\text{-diff}$   
 ⟨proof⟩

**lemma** *g-subset-impl*:  $\text{set-subset } \alpha \ \text{invar } \alpha \ \text{invar } g\text{-subset}$   
 ⟨proof⟩

**lemma** *g-equal-impl*:  $\text{set-equal } \alpha \ \text{invar } \alpha \ \text{invar } g\text{-equal}$   
 ⟨proof⟩

**lemma** *g-to-list-impl*:  $\text{set-to-list } \alpha \ \text{invar } g\text{-to-list}$   
 ⟨proof⟩

**lemma** *g-from-list-impl*:  $\text{list-to-set } \alpha \ \text{invar } g\text{-from-list}$   
 ⟨proof⟩

**lemma** *g-inter-impl*:  $\text{set-inter } \alpha \ \text{invar } \alpha \ \text{invar } \alpha \ \text{invar } g\text{-inter}$   
 ⟨proof⟩

**lemma** *g-union-dj-impl*:  $\text{set-union-dj } \alpha \ \text{invar } \alpha \ \text{invar } \alpha \ \text{invar } g\text{-union-dj}$   
 ⟨proof⟩

**lemma** *g-filter-impl: set-filter  $\alpha$  invar  $\alpha$  invar g-filter*  
*<proof>*

**lemma** *g-disjoint-witness-impl: set-disjoint-witness*  
 *$\alpha$  invar  $\alpha$  invar g-disjoint-witness*  
*<proof>*

**lemma** *g-disjoint-impl: set-disjoint*  
 *$\alpha$  invar  $\alpha$  invar g-disjoint*  
*<proof>*

**end**

**context** *StdBasicSet*

**begin**

**lemma** *dflt-ops-impl: StdSet dflt-ops*  
*<proof>*

**end**

**context** *StdBasicOSetDefs*

**begin**

**definition** *g-min s P  $\equiv$  iterateoi s ( $\lambda x. x = \text{None}$ )*  
*( $\lambda x$  -. if P x then Some x else None) None*

**definition** *g-max s P  $\equiv$  rev-iterateoi s ( $\lambda x. x = \text{None}$ )*  
*( $\lambda x$  -. if P x then Some x else None) None*

**definition** *g-to-sorted-list s  $\equiv$  rev-iterateo s (#) []*

**definition** *g-to-rev-list s  $\equiv$  iterateo s (#) []*

**definition** *dflt-ops :: ('x::linorder,'s) oset-ops*

**where** [*icf-rec-def*]:

*dflt-ops  $\equiv$  set-ops.extend*

*dflt-ops*

(

*set-op-ordered-list-it = ordered-list-it,*

*set-op-rev-list-it = rev-list-it,*

*set-op-min = g-min,*

*set-op-max = g-max,*

*set-op-to-sorted-list = g-to-sorted-list,*

*set-op-to-rev-list = g-to-rev-list*

)

*<ML>*

**end**

**context** *StdBasicOSet*

**begin**

**lemma** *g-min-impl: set-min  $\alpha$  invar g-min*



*<proof>*

**lemma** *g-max-impl: set-max  $\alpha$  invar g-max*

*<proof>*

**lemma** *g-to-sorted-list-impl: set-to-sorted-list  $\alpha$  invar g-to-sorted-list*

*<proof>*

**lemma** *g-to-rev-list-impl: set-to-rev-list  $\alpha$  invar g-to-rev-list*

*<proof>*

**lemma** *dfft-oops-impl: StdOSet dfft-oops*

*<proof>*

**end**

### More Generic Set Algorithms

These algorithms do not have a function specification in a locale, but their specification is done ad-hoc in the correctness lemma.

**Image and Filter of Cartesian Product** locale *image-filter-cp-defs-loc*

=

*s1: StdSetDefs ops1 +*

*s2: StdSetDefs ops2 +*

*s3: StdSetDefs ops3*

**for** *ops1 :: ('x,'s1,'more1) set-ops-scheme*

**and** *ops2 :: ('y,'s2,'more2) set-ops-scheme*

**and** *ops3 :: ('z,'s3,'more3) set-ops-scheme*

**begin**

**definition** *image-filter-cartesian-product f s1 s2 ==*

*s1.iterate s1 ( $\lambda x$  res.*

*s2.iterate s2 ( $\lambda y$  res.*

*case (f (x, y)) of*

*None  $\Rightarrow$  res*

*| Some z  $\Rightarrow$  (s3.ins z res)*

*) res*

*) (s3.empty ())*

**lemma** *image-filter-cartesian-product-alt:*

*image-filter-cartesian-product f s1 s2 ==*

*iterate-to-set s3.empty s3.ins (set-iterator-image-filter f (*  
*set-iterator-product (s1.iteratei s1) ( $\lambda$ -. s2.iteratei s2)))*

*<proof>*

**definition** *image-filter-cp where*

*image-filter-cp f P s1 s2  $\equiv$*

*image-filter-cartesian-product*

$(\lambda xy. \text{if } P \text{ } xy \text{ then } \text{Some } (f \text{ } xy) \text{ else } \text{None}) \text{ } s1 \text{ } s2$

**end**

**locale** *image-filter-cp-loc* = *image-filter-cp-defs-loc* *ops1 ops2 ops3* +

*s1*: *StdSet ops1* +

*s2*: *StdSet ops2* +

*s3*: *StdSet ops3*

**for** *ops1* :: ('*x*, '*s1*', '*more1*') *set-ops-scheme*

**and** *ops2* :: ('*y*', '*s2*', '*more2*') *set-ops-scheme*

**and** *ops3* :: ('*z*', '*s3*', '*more3*') *set-ops-scheme*

**begin**

**lemma** *image-filter-cartesian-product-correct*:

**fixes** *f* :: '*x* × '*y* → '*z*

**assumes** *I*[*simp*, *intro!*]: *s1.invar s1 s2.invar s2*

**shows** *s3.α (image-filter-cartesian-product f s1 s2)*

= { *z* | *x y z. f (x,y) = Some z* ∧ *x ∈ s1.α s1* ∧ *y ∈ s2.α s2* } (**is** ?*T1*)

*s3.invar (image-filter-cartesian-product f s1 s2)* (**is** ?*T2*)

*<proof>*

**lemma** *image-filter-cp-correct*:

**assumes** *I*: *s1.invar s1 s2.invar s2*

**shows**

*s3.α (image-filter-cp f P s1 s2)*

= { *f (x, y) | x y. P (x, y) ∧ x ∈ s1.α s1* ∧ *y ∈ s2.α s2* } (**is** ?*T1*)

*s3.invar (image-filter-cp f P s1 s2)* (**is** ?*T2*)

*<proof>*

**end**

**locale** *inj-image-filter-cp-defs-loc* =

*s1*: *StdSetDefs ops1* +

*s2*: *StdSetDefs ops2* +

*s3*: *StdSetDefs ops3*

**for** *ops1* :: ('*x*', '*s1*', '*more1*') *set-ops-scheme*

**and** *ops2* :: ('*y*', '*s2*', '*more2*') *set-ops-scheme*

**and** *ops3* :: ('*z*', '*s3*', '*more3*') *set-ops-scheme*

**begin**

**definition** *inj-image-filter-cartesian-product f s1 s2* ==

*s1.iterate s1 (λx res.*

*s2.iterate s2 (λy res.*

*case (f (x, y)) of*

*None* ⇒ *res*

| *Some z* ⇒ (*s3.ins-dj z res*)

) *res*

) (*s3.empty ()*)

**lemma** *inj-image-filter-cartesian-product-alt*:  
*inj-image-filter-cartesian-product*  $f$   $s1$   $s2$  ==  
*iterate-to-set*  $s3.empty$   $s3.ins-dj$  (*set-iterator-image-filter*  $f$  (  
*set-iterator-product* ( $s1.iteratei$   $s1$ ) ( $\lambda-. s2.iteratei$   $s2$ )))  
 ⟨*proof*⟩

**definition** *inj-image-filter-cp* **where**  
*inj-image-filter-cp*  $f$   $P$   $s1$   $s2$  ≡  
*inj-image-filter-cartesian-product*  
 ( $\lambda xy. \text{if } P \text{ } xy \text{ then } \text{Some } (f \text{ } xy) \text{ else } \text{None}$ )  $s1$   $s2$

**end**

**locale** *inj-image-filter-cp-loc* = *inj-image-filter-cp-defs-loc*  $ops1$   $ops2$   $ops3$  +  
 $s1: \text{StdSet } ops1$  +  
 $s2: \text{StdSet } ops2$  +  
 $s3: \text{StdSet } ops3$   
**for**  $ops1$  :: ( $'x, 's1, 'more1$ ) *set-ops-scheme*  
**and**  $ops2$  :: ( $'y, 's2, 'more2$ ) *set-ops-scheme*  
**and**  $ops3$  :: ( $'z, 's3, 'more3$ ) *set-ops-scheme*  
**begin**

**lemma** *inj-image-filter-cartesian-product-correct*:  
**fixes**  $f :: 'x \times 'y \rightarrow 'z$   
**assumes**  $I[simp, intro!]: s1.invar \ s1 \quad s2.invar \ s2$   
**assumes**  $INJ: inj\text{-on } f \ (s1.\alpha \ s1 \times s2.\alpha \ s2 \cap dom \ f)$   
**shows**  $s3.\alpha \ (inj\text{-image-filter-cartesian-product } f \ s1 \ s2)$   
 =  $\{ z \mid \exists x \ y \ z. f \ (x, y) = \text{Some } z \wedge x \in s1.\alpha \ s1 \wedge y \in s2.\alpha \ s2 \}$  (**is** ? $T1$ )  
 $s3.invar \ (inj\text{-image-filter-cartesian-product } f \ s1 \ s2)$  (**is** ? $T2$ )  
 ⟨*proof*⟩

**lemma** *inj-image-filter-cp-correct*:  
**assumes**  $I: s1.invar \ s1 \quad s2.invar \ s2$   
**assumes**  $INJ: inj\text{-on } f \ \{x \in s1.\alpha \ s1 \times s2.\alpha \ s2. P \ x\}$   
**shows**  
 $s3.\alpha \ (inj\text{-image-filter-cp } f \ P \ s1 \ s2)$   
 =  $\{ f \ (x, y) \mid x \ y. P \ (x, y) \wedge x \in s1.\alpha \ s1 \wedge y \in s2.\alpha \ s2 \}$  (**is** ? $T1$ )  
 $s3.invar \ (inj\text{-image-filter-cp } f \ P \ s1 \ s2)$  (**is** ? $T2$ )  
 ⟨*proof*⟩

**end**

**Cartesian Product** **locale** *cart-defs-loc* = *inj-image-filter-cp-defs-loc*  $ops1$   $ops2$   
 $ops3$   
**for**  $ops1$  :: ( $'x, 's1, 'more1$ ) *set-ops-scheme*  
**and**  $ops2$  :: ( $'y, 's2, 'more2$ ) *set-ops-scheme*  
**and**  $ops3$  :: ( $'x \times 'y, 's3, 'more3$ ) *set-ops-scheme*  
**begin**

**definition** *cart* *s1 s2*  $\equiv$   
*s1.iterate s1*  
 $(\lambda x. s2.iterate s2 (\lambda y res. s3.ins-dj (x,y) res))$   
 $(s3.empty ())$

**lemma** *cart-alt*: *cart s1 s2*  $==$   
*inj-image-filter-cartesian-product Some s1 s2*  
 $\langle proof \rangle$

**end**

**locale** *cart-loc* = *cart-defs-loc ops1 ops2 ops3*  
+ *inj-image-filter-cp-loc ops1 ops2 ops3*  
**for** *ops1* ::  $( 'x, 's1, 'more1 )$  *set-ops-scheme*  
**and** *ops2* ::  $( 'y, 's2, 'more2 )$  *set-ops-scheme*  
**and** *ops3* ::  $( 'x \times 'y, 's3, 'more3 )$  *set-ops-scheme*  
**begin**

**lemma** *cart-correct*:  
**assumes**  $I[simp, intro!]$ : *s1.invar s1 s2.invar s2*  
**shows**  $s3.\alpha (cart s1 s2)$   
 $= s1.\alpha s1 \times s2.\alpha s2$  (**is** ?*T1*)  
*s3.invar (cart s1 s2)* (**is** ?*T2*)  
 $\langle proof \rangle$

**end**

### Generic Algorithms outside basic-set

In this section, we present some generic algorithms that are not formulated in terms of basic-set. They are useful for setting up some data structures.

#### Image (by image-filter)

**definition** *ift-image* *ift f s*  $==$  *ift*  $(\lambda x. Some (f x)) s$

**lemma** *ift-image-correct*:  
**assumes** *set-image-filter*  $\alpha1$  *invar1*  $\alpha2$  *invar2 ift*  
**shows** *set-image*  $\alpha1$  *invar1*  $\alpha2$  *invar2 (ift-image ift)*  
 $\langle proof \rangle$

#### Injective Image-Filter (by image-filter)

**definition** [*code-unfold*]: *ift-inj-image* = *ift-image*

**lemma** *ift-inj-image-correct*:  
**assumes** *set-inj-image-filter*  $\alpha1$  *invar1*  $\alpha2$  *invar2 ift*  
**shows** *set-inj-image*  $\alpha1$  *invar1*  $\alpha2$  *invar2 (ift-inj-image ift)*  
 $\langle proof \rangle$

**Filter (by image-filter)**

**definition** *iflt-filter*  $\text{iflt } P \ s == \text{iflt } (\lambda x. \text{ if } P \ x \text{ then } \text{Some } x \text{ else } \text{None}) \ s$

**lemma** *iflt-filter-correct*:

**fixes**  $\alpha 1 :: 's1 \Rightarrow 'a \ \text{set}$

**fixes**  $\alpha 2 :: 's2 \Rightarrow 'a \ \text{set}$

**assumes** *set-inj-image-filter*  $\alpha 1 \ \text{invar1} \ \alpha 2 \ \text{invar2} \ \text{iflt}$

**shows** *set-filter*  $\alpha 1 \ \text{invar1} \ \alpha 2 \ \text{invar2} \ (\text{iflt-filter } \text{iflt})$

*<proof>*

**end**

**3.2.4 Implementing Sets by Maps**

**theory** *SetByMap*

**imports**

*../spec/SetSpec*

*../spec/MapSpec*

*SetGA*

*MapGA*

**begin**

In this theory, we show how to implement sets by maps.

Auxiliary lemma

**lemma** *foldli-foldli-map-eq*:

$\text{foldli } (\text{foldli } l \ (\lambda x. \ \text{True}) \ (\lambda x \ l. \ l@[f \ x]) \ []) \ c \ f' \ \sigma 0$

$= \text{foldli } l \ c \ (f' \ o \ f) \ \sigma 0$

*<proof>*

**locale** *SetByMapDefs* =

*map: StdBasicMapDefs ops*

**for** *ops* ::  $('x, \text{unit}, 's, 'more)$  *map-basic-ops-scheme*

**begin**

**definition**  $\alpha \ s \equiv \text{dom } (\text{map}.\alpha \ s)$

**definition**  $\text{invar } s \equiv \text{map.invar } s$

**definition** *empty* **where**  $\text{empty} \equiv \text{map.empty}$

**definition**  $\text{memb } x \ s \equiv \text{map.lookup } x \ s \neq \text{None}$

**definition**  $\text{ins } x \ s \equiv \text{map.update } x \ () \ s$

**definition**  $\text{ins-dj } x \ s \equiv \text{map.update-dj } x \ () \ s$

**definition**  $\text{delete } x \ s \equiv \text{map.delete } x \ s$

**definition** *list-it* ::  $'s \Rightarrow ('x, 'x \ \text{list}) \ \text{set-iterator}$

**where**  $\text{list-it } s \ c \ f \ \sigma 0 \equiv \text{it-to-it } (\text{map.list-it } s) \ c \ (f \ o \ \text{fst}) \ \sigma 0$

*<ML>*

**lemma** *list-it-alt*:  $\text{list-it } s = \text{map-iterator-dom } (\text{map.iteratei } s)$

*<proof>*

**lemma** *list-it-unfold*:

*it-to-it (list-it s) c f  $\sigma 0 = \text{map.iteratei s c (f o fst) } \sigma 0$*   
 ⟨*proof*⟩

**definition** [*icf-rec-def*]: *dflt-basic-ops*  $\equiv$  (|

*bset-op- $\alpha$*  =  $\alpha$ ,  
*bset-op-invar* = *invar*,  
*bset-op-empty* = *empty*,  
*bset-op-memb* = *memb*,  
*bset-op-ins* = *ins*,  
*bset-op-ins-dj* = *ins-dj*,  
*bset-op-delete* = *delete*,  
*bset-op-list-it* = *list-it*

⟩  
 ⟨*ML*⟩

**end**

⟨*ML*⟩

**locale** *SetByMap* = *SetByMapDefs ops* +

*map: StdBasicMap ops*

**for** *ops* :: ('*x*,*unit*, '*s*, '*more*) *map-basic-ops-scheme*

**begin**

**lemma** *empty-impl*: *set-empty  $\alpha$  invar empty*  
 ⟨*proof*⟩

**lemma** *memb-impl*: *set-memb  $\alpha$  invar memb*  
 ⟨*proof*⟩

**lemma** *ins-impl*: *set-ins  $\alpha$  invar ins*  
 ⟨*proof*⟩

**lemma** *ins-dj-impl*: *set-ins-dj  $\alpha$  invar ins-dj*  
 ⟨*proof*⟩

**lemma** *delete-impl*: *set-delete  $\alpha$  invar delete*  
 ⟨*proof*⟩

**lemma** *list-it-impl*: *poly-set-iteratei  $\alpha$  invar list-it*  
 ⟨*proof*⟩

**lemma** *dflt-basic-ops-impl*: *StdBasicSet dflt-basic-ops*  
 ⟨*proof*⟩

**end**

```

locale OSetByOMapDefs = SetByMapDefs ops +
  map: StdBasicOMapDefs ops
  for ops :: ('x::linorder,unit,'s,'more) omap-basic-ops-scheme
begin
  definition ordered-list-it :: 's ⇒ ('x,'x list) set-iterator
    where ordered-list-it s c f σ0
      ≡ it-to-it (map.ordered-list-it s) c (f o fst) σ0

```

⟨ML⟩

```

definition rev-list-it :: 's ⇒ ('x,'x list) set-iterator
  where rev-list-it s c f σ0 ≡ it-to-it (map.rev-list-it s) c (f o fst) σ0

```

⟨ML⟩

```

definition [icf-rec-def]: dflt-basic-oops ≡
  set-basic-ops.extend dflt-basic-ops (|
    bset-op-ordered-list-it = ordered-list-it,
    bset-op-rev-list-it = rev-list-it
  |)

```

⟨ML⟩

**end**

⟨ML⟩

```

locale OSetByOMap = OSetByOMapDefs ops +
  SetByMap ops + map: StdBasicOMap ops
  for ops :: ('x::linorder,unit,'s,'more) omap-basic-ops-scheme
begin
  lemma ordered-list-it-impl: poly-set-iterateoi α invar ordered-list-it
  ⟨proof⟩

```

```

lemma rev-list-it-impl: poly-set-rev-iterateoi α invar rev-list-it
  ⟨proof⟩

```

```

lemma dflt-basic-oops-impl: StdBasicOSet dflt-basic-oops
  ⟨proof⟩

```

**end**

```

sublocale SetByMap < basic: StdBasicSet dflt-basic-ops
  ⟨proof⟩

```

```

sublocale OSetByOMap < obasic: StdBasicOSet dflt-basic-ops
  ⟨proof⟩

```

```

lemma proper-it'-map2set: proper-it' it it'
   $\implies$  proper-it' ( $\lambda s c f. it s c (f o fst)$ ) ( $\lambda s c f. it' s c (f o fst)$ )
  <proof>

```

```

end

```

### 3.2.5 Generic Algorithms for Sequences

```

theory ListGA
imports ../spec/ListSpec
begin

```

#### Iterators

```

iteratei (by get, size) locale idx-iteratei-loc =
  list-size + list-get +
  constrains  $\alpha :: 's \Rightarrow 'a \text{ list}$ 
  assumes [simp]:  $\bigwedge s. \text{invar } s$ 
begin

```

```

fun idx-iteratei-aux
  :: nat  $\Rightarrow$  nat  $\Rightarrow$  's  $\Rightarrow$  (' $\sigma \Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  ' $\sigma \Rightarrow$  ' $\sigma$ )  $\Rightarrow$  ' $\sigma \Rightarrow$  ' $\sigma$ 
where
  idx-iteratei-aux sz i l c f  $\sigma$  = (
    if i=0  $\vee$   $\neg$  c  $\sigma$  then  $\sigma$ 
    else idx-iteratei-aux sz (i - 1) l c f (f (get l (sz-i))  $\sigma$ )
  )

```

```

declare idx-iteratei-aux.simps[simp del]

```

```

lemma idx-iteratei-aux.simps[simp]:
  i=0  $\implies$  idx-iteratei-aux sz i l c f  $\sigma$  =  $\sigma$ 
   $\neg$ c  $\sigma \implies$  idx-iteratei-aux sz i l c f  $\sigma$  =  $\sigma$ 
   $\llbracket i \neq 0; c \sigma \rrbracket \implies$  idx-iteratei-aux sz i l c f  $\sigma$  = idx-iteratei-aux sz (i - 1) l c f (f
  (get l (sz-i))  $\sigma$ )
  <proof>

```

```

definition idx-iteratei where

```

```

  idx-iteratei l c f  $\sigma \equiv$  idx-iteratei-aux (size l) (size l) l c f  $\sigma$ 

```

```

lemma idx-iteratei-correct:

```

```

  shows idx-iteratei s = foldli ( $\alpha$  s)
  <proof>

```

```

lemmas idx-iteratei-unfold[code-unfold] = idx-iteratei-correct[symmetric]

```

```

reverse_iteratei (by get, size) fun idx-reverse-iteratei-aux
  :: nat  $\Rightarrow$  nat  $\Rightarrow$  's  $\Rightarrow$  (' $\sigma \Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  ' $\sigma \Rightarrow$  ' $\sigma$ )  $\Rightarrow$  ' $\sigma \Rightarrow$  ' $\sigma$ 

```



**where**

```

idx-reverse-iteratei-aux sz i l c f  $\sigma$  = (
  if  $i=0 \vee \neg c \sigma$  then  $\sigma$ 
  else idx-reverse-iteratei-aux sz (i - 1) l c f (f (get l (i - 1))  $\sigma$ )
)

```

**declare** *idx-reverse-iteratei-aux.simps*[simp del]

**lemma** *idx-reverse-iteratei-aux.simps*[simp]:

```

i=0  $\implies$  idx-reverse-iteratei-aux sz i l c f  $\sigma$  =  $\sigma$ 
 $\neg c \sigma \implies$  idx-reverse-iteratei-aux sz i l c f  $\sigma$  =  $\sigma$ 
[[i $\neq$ 0; c  $\sigma$ ]]  $\implies$  idx-reverse-iteratei-aux sz i l c f  $\sigma$ 
= idx-reverse-iteratei-aux sz (i - 1) l c f (f (get l (i - 1))  $\sigma$ )
<proof>

```

**definition** *idx-reverse-iteratei l c f  $\sigma$*

```

== idx-reverse-iteratei-aux (size l) (size l) l c f  $\sigma$ 

```

**lemma** *idx-reverse-iteratei-correct*:

**shows** *idx-reverse-iteratei s = foldri ( $\alpha$  s)*

<proof>

**lemmas** *idx-reverse-iteratei-unfold*[code-unfold]

```

= idx-reverse-iteratei-correct[symmetric]

```

**end**

### Size (by iterator)

**locale** *it-size-loc* = *poly-list-iteratei* +

**constrains**  $\alpha :: 's \Rightarrow 'a \text{ list}$

**begin**

**definition** *it-size* ::  $'s \Rightarrow \text{nat}$

```

where it-size l == iterate l ( $\lambda x \text{ res. Suc res}$ ) (0::nat)

```

**lemma** *it-size-impl*: **shows** *list-size  $\alpha$  invar it-size*

<proof>

**end**

**Size (by reverse\_iterator)** **locale** *rev-it-size-loc* = *poly-list-rev-iteratei* +

**constrains**  $\alpha :: 's \Rightarrow 'a \text{ list}$

**begin**

**definition** *rev-it-size* ::  $'s \Rightarrow \text{nat}$

```

where rev-it-size l == rev-iterate l ( $\lambda x \text{ res. Suc res}$ ) (0::nat)

```

**lemma** *rev-it-size-impl*:

**shows** *list-size  $\alpha$  invar rev-it-size*

*<proof>*

**end**

### Get (by iterator)

**locale** *it-get-loc* = *poly-list-iteratei* +  
**constrains**  $\alpha :: 's \Rightarrow 'a \text{ list}$   
**begin**

**definition** *it-get*::  $'s \Rightarrow \text{nat} \Rightarrow 'a$   
**where** *it-get s i*  $\equiv$   
*the* (*snd* (*iteratei s*  
 $(\lambda(i,x). x = \text{None})$   
 $(\lambda x (i,-). \text{if } i=0 \text{ then } (0, \text{Some } x) \text{ else } (i - 1, \text{None}))$   
 $(i, \text{None}))$ )

**lemma** *it-get-correct*:  
**shows** *list-get*  $\alpha$  *invar it-get*  
*<proof>*

**end**

**end**

### 3.2.6 Indices of Sets

**theory** *SetIndex*  
**imports**  
*../spec/MapSpec*  
*../spec/SetSpec*  
**begin**

This theory defines an indexing operation that builds an index from a set and an indexing function.

Here, *index* is a map from indices to all values of the set with that index.

#### Indexing by Function

**definition** *index* ::  $('a \Rightarrow 'i) \Rightarrow 'a \text{ set} \Rightarrow 'i \Rightarrow 'a \text{ set}$   
**where** *index f s i* ==  $\{ x \in s . f x = i \}$

**lemma** *indexI*:  $\llbracket x \in s; f x = i \rrbracket \Longrightarrow x \in \text{index } f s i$  *<proof>*

**lemma** *indexD*:  
 $x \in \text{index } f s i \Longrightarrow x \in s$   
 $x \in \text{index } f s i \Longrightarrow f x = i$   
*<proof>*

**lemma** *index-iff[simp]*:  $x \in \text{index } f s i \longleftrightarrow x \in s \wedge f x = i$  *<proof>*

**Indexing by Map**

**definition** *index-map* :: ('a ⇒ 'i) ⇒ 'a set ⇒ 'i → 'a set  
 where *index-map* f s i == let s=index f s i in if s={} then None else Some s

**definition** *im-α* where *im-α* im i == case im i of None ⇒ {} | Some s ⇒ s

**lemma** *index-map-correct*: *im-α* (*index-map* f s) = *index* f s  
 ⟨*proof*⟩

**Indexing by Maps and Sets from the Isabelle Collections Framework**

In this theory, we define the generic algorithm as constants outside any locale, but prove the correctness lemmas inside a locale that assumes correctness of all prerequisite functions. Finally, we export the correctness lemmas from the locale.

**locale** *index-loc* =  
 m: StdMap m-ops +  
 s: StdSet s-ops  
 for m-ops :: ('i,'s,'m,'more1) map-ops-scheme  
 and s-ops :: ('x,'s,'more2) set-ops-scheme

**begin**

— Mapping indices to abstract indices

**definition** *ci-α'* where  
*ci-α'* ci i == case m.α ci i of None ⇒ None | Some s ⇒ Some (s.α s)

**definition** *ci-α* == *im-α* ∘ *ci-α'*

**definition** *ci-invar* where  
*ci-invar* ci == m.invar ci ∧ (∀ i s. m.α ci i = Some s → s.invar s)

**lemma** *ci-impl-minvar*: *ci-invar* m ⇒ m.invar m ⟨*proof*⟩

**definition** *is-index* :: ('x ⇒ 'i) ⇒ 'x set ⇒ 'm ⇒ bool  
 where  
*is-index* f s idx == *ci-invar* idx ∧ *ci-α'* idx = *index-map* f s

**lemma** *is-index-invar*: *is-index* f s idx ⇒ *ci-invar* idx  
 ⟨*proof*⟩

**lemma** *is-index-correct*: *is-index* f s idx ⇒ *ci-α* idx = *index* f s  
 ⟨*proof*⟩

**definition** *lookup* :: 'i ⇒ 'm ⇒ 's where  
*lookup* i m == case m.lookup i m of None ⇒ (s.empty ()) | Some s ⇒ s

**lemma** *lookup-invar'*: *ci-invar* m ⇒ s.invar (*lookup* i m)  
 ⟨*proof*⟩

```

lemma lookup-correct:
  assumes  $I[\text{simp}, \text{intro!}]$ : is-index f s idx
  shows
     $s.\alpha (\text{lookup } i \text{ idx}) = \text{index } f \ s \ i$ 
     $s.\text{invar } (\text{lookup } i \ \text{idx})$ 
   $\langle \text{proof} \rangle$ 

```

**end**

```

locale build-index-loc = index-loc m-ops s-ops +
  t: StdSet t-ops
  for m-ops :: ('i, 's, 'm, 'more1) map-ops-scheme
  and s-ops :: ('x, 's, 'more3) set-ops-scheme
  and t-ops :: ('x, 't, 'more2) set-ops-scheme
begin

```

Building indices

```

definition idx-build-stepfun :: ('x  $\Rightarrow$  'i)  $\Rightarrow$  'x  $\Rightarrow$  'm  $\Rightarrow$  'm where
  idx-build-stepfun f x m ==
    let i=f x in
      (case m.lookup i m of
        None  $\Rightarrow$  m.update i (s.ins x (s.empty ())) m |
        Some s  $\Rightarrow$  m.update i (s.ins x s) m
      )

```

```

definition idx-build :: ('x  $\Rightarrow$  'i)  $\Rightarrow$  't  $\Rightarrow$  'm where
  idx-build f t == t.iterate t (idx-build-stepfun f) (m.empty ())

```

```

lemma idx-build-correct:
  assumes  $I$ : t.invar t
  shows  $ci\text{-}\alpha'$  (idx-build f t) = index-map f (t. $\alpha$  t) (is ?T1) and
  [simp]:  $ci\text{-invar}$  (idx-build f t) (is ?T2)
   $\langle \text{proof} \rangle$ 

```

```

lemma idx-build-is-index:
  t.invar t  $\implies$  is-index f (t. $\alpha$  t) (idx-build f t)
   $\langle \text{proof} \rangle$ 

```

**end**

**end**

### 3.2.7 More Generic Algorithms

```

theory Algos
imports
  ../spec/SetSpec
  ../spec/MapSpec

```

```
../spec/ListSpec
begin
```

### Injective Map to Naturals

Whether a set is an initial segment of the natural numbers

**definition** *inatseg* :: *nat set*  $\Rightarrow$  *bool*  
**where** *inatseg* *s* ==  $\exists k. s = \{i::nat. i < k\}$

**lemma** *inatseg-simps*[*simp*]:

```
inatseg {}
inatseg {0}
⟨proof⟩
```

Compute an injective map from objects into an initial segment of the natural numbers

**locale** *map-to-nat-loc* =  
*s*: *StdSet* *s-ops* +  
*m*: *StdMap* *m-ops*  
**for** *s-ops* :: ('*x*, '*s*, '*more1*) *set-ops-scheme*  
**and** *m-ops* :: ('*x*, *nat*, '*m*, '*more2*) *map-ops-scheme*  
**begin**

**definition** *map-to-nat*  
:: '*s*  $\Rightarrow$  '*m* **where**  
*map-to-nat* *s* ==  
*snd* (*s.iterate* *s* ( $\lambda x (c, m). (c+1, m.update\ x\ c\ m)$ ) (0, *m.empty* ()))

**lemma** *map-to-nat-correct*:

**assumes** *INV*[*simp*]: *s.invar* *s*  
**shows**  
— All elements have got a number  
 $dom\ (m.\alpha\ (map-to-nat\ s)) = s.\alpha\ s$  (**is** ?*T1*) **and**  
— No two elements got the same number  
[*rule-format*]:  $inj-on\ (m.\alpha\ (map-to-nat\ s))\ (s.\alpha\ s)$  (**is** ?*T2*) **and**  
— Numbering is inatseg  
[*rule-format*]:  $inatseg\ (ran\ (m.\alpha\ (map-to-nat\ s)))$  (**is** ?*T3*) **and**  
— The result satisfies the map invariant  
*m.invar* (*map-to-nat* *s*) (**is** ?*T4*)  
⟨proof⟩

**end**

### Map from Set

Build a map using a set of keys and a function to compute the values.

**locale** *it-dom-fun-to-map-loc* =  
*s*: *StdSet* *s-ops*

```

+ m: StdMap m-ops
  for s-ops :: ('k,'s,'more1) set-ops-scheme
  and m-ops :: ('k,'v,'m,'more2) map-ops-scheme
begin

definition it-dom-fun-to-map ::
  's ⇒ ('k ⇒ 'v) ⇒ 'm
  where it-dom-fun-to-map s f ==
    s.iterate s (λk m. m.update-dj k (f k) m) (m.empty ())

lemma it-dom-fun-to-map-correct:
  assumes INV: s.invar s
  shows m.α (it-dom-fun-to-map s f) k
    = (if k ∈ s.α s then Some (f k) else None) (is ?G1)
  and m.invar (it-dom-fun-to-map s f) (is ?G2)
  ⟨proof⟩

end

locale set-to-list-defs-loc =
  s: StdSetDefs s-ops
+ l: StdListDefs l-ops
  for s-ops :: ('x,'s,'more1) set-ops-scheme
  and l-ops :: ('x,'l,'more2) list-ops-scheme
begin
  definition g-set-to-listl s ≡ s.iterate s l.appendl (l.empty ())
  definition g-set-to-listr s ≡ s.iterate s l.appendr (l.empty ())
end

locale set-to-list-loc = set-to-list-defs-loc s-ops l-ops
+ s: StdSet s-ops
+ l: StdList l-ops
  for s-ops :: ('x,'s,'more1) set-ops-scheme
  and l-ops :: ('x,'l,'more2) list-ops-scheme
begin
  lemma g-set-to-listl-correct:
    assumes I: s.invar s
    shows List.set (l.α (g-set-to-listl s)) = s.α s
    and l.invar (g-set-to-listl s)
    and distinct (l.α (g-set-to-listl s))
    ⟨proof⟩

  lemma g-set-to-listr-correct:
    assumes I: s.invar s
    shows List.set (l.α (g-set-to-listr s)) = s.α s
    and l.invar (g-set-to-listr s)
    and distinct (l.α (g-set-to-listr s))
    ⟨proof⟩

```

end

end

### 3.2.8 Implementing Priority Queues by Annotated Lists

**theory** *PrioByAnnotatedList*

**imports**

*../spec/AnnotatedListSpec*

*../spec/PrioSpec*

**begin**

In this theory, we implement priority queues by annotated lists.

The implementation is realized as a generic adapter from the *AnnotatedList* to the priority queue interface.

Priority queues are realized as a sequence of pairs of elements and associated priority. The monoids operation takes the element with minimum priority.

The element with minimum priority is extracted from the sum over all elements. Deleting the element with minimum priority is done by splitting the sequence at the point where the minimum priority of the elements read so far becomes equal to the minimum priority of all elements.

#### Definitions

**Monoid** **datatype**  $( 'e, 'a ) \text{ Prio} = \text{Infty} \mid \text{Prio } 'e 'a$

**fun** *p-unwrap* ::  $( 'e, 'a ) \text{ Prio} \Rightarrow ( 'e \times 'a )$  **where**

*p-unwrap* ( *Prio e a* ) = ( *e* , *a* )

**fun** *p-min* ::  $( 'e, 'a :: \text{linorder} ) \text{ Prio} \Rightarrow ( 'e, 'a ) \text{ Prio} \Rightarrow ( 'e, 'a ) \text{ Prio}$  **where**

*p-min Infty Infty* = *Infty* |

*p-min Infty (Prio e a)* = *Prio e a* |

*p-min (Prio e a) Infty* = *Prio e a* |

*p-min (Prio e1 a) (Prio e2 b)* = ( *if a ≤ b then Prio e1 a else Prio e2 b* )

**lemma** *p-min-re-neut[simp]*: *p-min a Infty* = *a*  $\langle \text{proof} \rangle$

**lemma** *p-min-le-neut[simp]*: *p-min Infty a* = *a*  $\langle \text{proof} \rangle$

**lemma** *p-min-asso*: *p-min (p-min a b) c* = *p-min a (p-min b c)*

$\langle \text{proof} \rangle$

**lemma** *lp-mono*: *class.monoid-add p-min Infty*

$\langle \text{proof} \rangle$

**instantiation** *Prio* ::  $( \text{type}, \text{linorder} ) \text{ monoid-add}$

**begin**

**definition** *zero-def*: *0* == *Infty*

**definition** *plus-def*: *a+b* == *p-min a b*

**instance**  $\langle proof \rangle$   
**end**

**fun**  $p\text{-less}\text{-eq} :: ('e, 'a::linorder) Prio \Rightarrow ('e, 'a) Prio \Rightarrow bool$  **where**  
 $p\text{-less}\text{-eq} (Prio\ e\ a) (Prio\ f\ b) = (a \leq b)$   
 $p\text{-less}\text{-eq} - Infty = True$   
 $p\text{-less}\text{-eq} Infty (Prio\ e\ a) = False$

**fun**  $p\text{-less} :: ('e, 'a::linorder) Prio \Rightarrow ('e, 'a) Prio \Rightarrow bool$  **where**  
 $p\text{-less} (Prio\ e\ a) (Prio\ f\ b) = (a < b)$   
 $p\text{-less} (Prio\ e\ a) Infty = True$   
 $p\text{-less} Infty - = False$

**lemma**  $p\text{-less}\text{-le}\text{-not}\text{-le} : p\text{-less}\ x\ y \longleftrightarrow p\text{-less}\text{-eq}\ x\ y \wedge \neg (p\text{-less}\text{-eq}\ y\ x)$   
 $\langle proof \rangle$

**lemma**  $p\text{-order}\text{-refl} : p\text{-less}\text{-eq}\ x\ x$   
 $\langle proof \rangle$

**lemma**  $p\text{-le}\text{-inf} : p\text{-less}\text{-eq}\ Infty\ x \Longrightarrow x = Infty$   
 $\langle proof \rangle$

**lemma**  $p\text{-order}\text{-trans} : \llbracket p\text{-less}\text{-eq}\ x\ y; p\text{-less}\text{-eq}\ y\ z \rrbracket \Longrightarrow p\text{-less}\text{-eq}\ x\ z$   
 $\langle proof \rangle$

**lemma**  $p\text{-linear}2 : p\text{-less}\text{-eq}\ x\ y \vee p\text{-less}\text{-eq}\ y\ x$   
 $\langle proof \rangle$

**instantiation**  $Prio :: (type, linorder) preorder$   
**begin**

**definition**  $p\text{lesseq}\text{-def} : \text{less}\text{-eq} = p\text{-less}\text{-eq}$

**definition**  $p\text{less}\text{-def} : \text{less} = p\text{-less}$

**instance**  
 $\langle proof \rangle$

**end**

**Operations** **definition**  $alprio\text{-}\alpha :: ('s \Rightarrow (unit \times ('e, 'a::linorder) Prio) list)$   
 $\Rightarrow 's \Rightarrow ('e \times 'a::linorder) multiset$   
**where**  
 $alprio\text{-}\alpha\ \alpha\ al == (mset (map\ p\text{-unwrap} (map\ snd\ (\alpha\ al))))$

**definition**  $alprio\text{-invar} :: ('s \Rightarrow (unit \times ('c, 'd::linorder) Prio) list)$   
 $\Rightarrow ('s \Rightarrow bool) \Rightarrow 's \Rightarrow bool$   
**where**  
 $alprio\text{-invar}\ \alpha\ invar\ al == invar\ al \wedge (\forall\ x \in set\ (\alpha\ al). snd\ x \neq Infty)$



**definition** *alprio-empty* **where**  
*alprio-empty* *empt* = *empt*

**definition** *alprio-isEmpty* **where**  
*alprio-isEmpty* *isEmpty* = *isEmpty*

**definition** *alprio-insert* :: (*unit*  $\Rightarrow$  (*e, 'a*) *Prio*  $\Rightarrow$  *'s*  $\Rightarrow$  *'s*)  
 $\Rightarrow$  *'e*  $\Rightarrow$  *'a*::*linorder*  $\Rightarrow$  *'s*  $\Rightarrow$  *'s*  
**where**  
*alprio-insert* *consl e a s* = *consl* () (*Prio e a*) *s*

**definition** *alprio-find* :: (*'s*  $\Rightarrow$  (*e, 'a*::*linorder*) *Prio*)  $\Rightarrow$  *'s*  $\Rightarrow$  (*e*  $\times$  *'a*)  
**where**  
*alprio-find* *annot s* = *p-unwrap* (*annot s*)

**definition** *alprio-delete* :: (((*e, 'a*::*linorder*) *Prio*  $\Rightarrow$  *bool*)  
 $\Rightarrow$  (*e, 'a*) *Prio*  $\Rightarrow$  *'s*  $\Rightarrow$  (*'s*  $\times$  (*unit*  $\times$  (*e, 'a*) *Prio*)  $\times$  *'s*)  
 $\Rightarrow$  (*'s*  $\Rightarrow$  (*e, 'a*) *Prio*)  $\Rightarrow$  (*'s*  $\Rightarrow$  *'s*  $\Rightarrow$  *'s*)  $\Rightarrow$  *'s*  $\Rightarrow$  *'s*)  
**where**  
*alprio-delete* *splits annot app s* = (*let* (*l, - , r*)  
= *splits* ( $\lambda x. x \leq$  (*annot s*) *Infty s* *in app l r*)

**definition** *alprio-meld* **where**  
*alprio-meld* *app* = *app*

**lemmas** *alprio-defs* =  
*alprio-invar-def*  
*alprio- $\alpha$ -def*  
*alprio-empty-def*  
*alprio-isEmpty-def*  
*alprio-insert-def*  
*alprio-find-def*  
*alprio-delete-def*  
*alprio-meld-def*

## Correctness

**Auxiliary Lemmas** **lemma** *sum-list-split*: *sum-list* (*l* @ (*a*::*'a*::*monoid-add*)  
# *r*) = (*sum-list* *l*) + *a* + (*sum-list* *r*)  
<*proof*>

**lemma** *p-linear*: (*x*::(*e, 'a*::*linorder*) *Prio*)  $\leq$  *y*  $\vee$  *y*  $\leq$  *x*  
<*proof*>

**lemma** *p-min-mon*: (*x*::(*e, 'a*::*linorder*) *Prio*)  $\leq$  *y*  $\implies$  (*z* + *x*)  $\leq$  *y*  
<*proof*>

**lemma** *p-min-mon2*:  $p\text{-less-eg } x \ y \implies p\text{-less-eg } (p\text{-min } z \ x) \ y$   
 <proof>

**lemma** *ls-min*:  $\forall x \in \text{set } (xs::('e,'a::\text{linorder}) \text{ Prio list}) . \text{sum-list } xs \leq x$   
 <proof>

**lemma** *infadd*:  $x \neq \text{Infty} \implies x + y \neq \text{Infty}$   
 <proof>

**lemma** *prio-selects-one*:  $a+b = a \vee a+b=(b::('e,'a::\text{linorder}) \text{ Prio})$   
 <proof>

**lemma** *sum-list-in-set*:  $(l::('x \times ('e,'a::\text{linorder}) \text{ Prio}) \text{ list}) \neq [] \implies$   
 $\text{sum-list } (\text{map } \text{snd } l) \in \text{set } (\text{map } \text{snd } l)$   
 <proof>

**lemma** *p-unwrap-less-sum*:  $\text{snd } (p\text{-unwrap } ((\text{Prio } e \ aa) + b)) \leq aa$   
 <proof>

**lemma** *prio-add-alb*:  $\neg b \leq (a::('e,'a::\text{linorder}) \text{ Prio}) \implies b + a = a$   
 <proof>

**lemma** *prio-add-alb2*:  $(a::('e,'a::\text{linorder}) \text{ Prio}) \leq a + b \implies a + b = a$   
 <proof>

**lemma** *prio-add-abc*:  
 assumes  $(l::('e,'a::\text{linorder}) \text{ Prio}) + a \leq c$   
 and  $\neg l \leq c$   
 shows  $\neg l \leq a$   
 <proof>

**lemma** *prio-add-abc2*:  
 assumes  $(a::('e,'a::\text{linorder}) \text{ Prio}) \leq a + b$   
 shows  $a \leq b$   
 <proof>

**Empty lemma** *alprio-empty-correct*:  
 assumes *al-empty*  $\alpha$  *invar empty*  
 shows *prio-empty*  $(\text{alprio-}\alpha \ \alpha)$   $(\text{alprio-invar } \alpha \ \text{invar})$   $(\text{alprio-empty } \text{empty})$   
 <proof>

**Is Empty lemma** *alprio-isEmpty-correct*:  
 assumes *al-isEmpty*  $\alpha$  *invar isEmpty*  
 shows *prio-isEmpty*  $(\text{alprio-}\alpha \ \alpha)$   $(\text{alprio-invar } \alpha \ \text{invar})$   $(\text{alprio-isEmpty } \text{isEmpty})$   
 <proof>

**Insert lemma** *alprio-insert-correct*:

**assumes** *al-consl*  $\alpha$  *invar* *consl*  
**shows** *prio-insert* (*alprio- $\alpha$*   $\alpha$ ) (*alprio-invar*  $\alpha$  *invar*) (*alprio-insert* *consl*)  
 ⟨*proof*⟩

**Meld lemma** *alprio-meld-correct*:

**assumes** *al-app*  $\alpha$  *invar* *app*  
**shows** *prio-meld* (*alprio- $\alpha$*   $\alpha$ ) (*alprio-invar*  $\alpha$  *invar*) (*alprio-meld* *app*)  
 ⟨*proof*⟩

**Find lemma** *annot-not-inf* :

**assumes** (*alprio-invar*  $\alpha$  *invar*) *s*  
**and** (*alprio- $\alpha$*   $\alpha$ ) *s*  $\neq$   $\{\#\}$   
**and** *al-annot*  $\alpha$  *invar* *annot*  
**shows** *annot* *s*  $\neq$  *Infty*  
 ⟨*proof*⟩

**lemma** *annot-in-set*:

**assumes** (*alprio-invar*  $\alpha$  *invar*) *s*  
**and** (*alprio- $\alpha$*   $\alpha$ ) *s*  $\neq$   $\{\#\}$   
**and** *al-annot*  $\alpha$  *invar* *annot*  
**shows** *p-unwrap* (*annot* *s*)  $\in\#$  ((*alprio- $\alpha$*   $\alpha$ ) *s*)  
 ⟨*proof*⟩

**lemma** *sum-list-less-elems*:  $\forall x \in \text{set } xs. \text{snd } x \neq \text{Infty} \implies$

$\forall y \in \text{set-mset } (\text{mset } (\text{map } \text{p-unwrap } (\text{map } \text{snd } xs))).$   
 $\text{snd } (\text{p-unwrap } (\text{sum-list } (\text{map } \text{snd } xs))) \leq \text{snd } y$   
 ⟨*proof*⟩

**lemma** *alprio-find-correct*:

**assumes** *al-annot*  $\alpha$  *invar* *annot*  
**shows** *prio-find* (*alprio- $\alpha$*   $\alpha$ ) (*alprio-invar*  $\alpha$  *invar*) (*alprio-find* *annot*)  
 ⟨*proof*⟩

**Delete lemma** *delpred-mon*:

$\forall (a::('e, 'a::\text{linorder}) \text{Prio}) b. ((\lambda x. x \leq y) a$   
 $\longrightarrow (\lambda x. x \leq y) (a + b))$   
 ⟨*proof*⟩

**lemma** *alpriodel-invar*:

**assumes** *alprio-invar*  $\alpha$  *invar* *s*  
**and** *al-annot*  $\alpha$  *invar* *annot*  
**and** *alprio- $\alpha$*   $\alpha$  *s*  $\neq$   $\{\#\}$   
**and** *al-splits*  $\alpha$  *invar* *splits*  
**and** *al-app*  $\alpha$  *invar* *app*  
**shows** *alprio-invar*  $\alpha$  *invar* (*alprio-delete* *splits* *annot* *app* *s*)  
 ⟨*proof*⟩

**lemma** *sum-list-elim*:  
**assumes**  $ins = l @ (a::('e,'a::linorder)Prio) \# r$   
**and**  $\neg \text{sum-list } l \leq \text{sum-list } ins$   
**and**  $\text{sum-list } l + a \leq \text{sum-list } ins$   
**shows**  $a = \text{sum-list } ins$   
 $\langle \text{proof} \rangle$

**lemma** *alpriodel-right*:  
**assumes**  $\text{alprio-invar } \alpha \text{ invar } s$   
**and**  $\text{al-annot } \alpha \text{ invar } \text{annot}$   
**and**  $\text{alprio-}\alpha \ \alpha \ s \neq \{\#\}$   
**and**  $\text{al-splits } \alpha \text{ invar } \text{splits}$   
**and**  $\text{al-app } \alpha \text{ invar } \text{app}$   
**shows**  $\text{alprio-}\alpha \ \alpha \ (\text{alprio-delete } \text{splits } \text{annot } \text{app } s) =$   
 $\text{alprio-}\alpha \ \alpha \ s - \{\#p\text{-unwrap } (\text{annot } s)\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *alprio-delete-correct*:  
**assumes**  $\text{al-annot } \alpha \text{ invar } \text{annot}$   
**and**  $\text{al-splits } \alpha \text{ invar } \text{splits}$   
**and**  $\text{al-app } \alpha \text{ invar } \text{app}$   
**shows**  $\text{prio-delete } (\text{alprio-}\alpha \ \alpha) \ (\text{alprio-invar } \alpha \text{ invar})$   
 $(\text{alprio-find } \text{annot}) \ (\text{alprio-delete } \text{splits } \text{annot } \text{app})$   
 $\langle \text{proof} \rangle$

**lemmas** *alprio-correct* =  
*alprio-empty-correct*  
*alprio-isEmpty-correct*  
*alprio-insert-correct*  
*alprio-delete-correct*  
*alprio-find-correct*  
*alprio-meld-correct*

**locale** *alprio-defs* = *StdALDefs ops*  
**for**  $ops :: (\text{unit},('e,'a::linorder) \text{Prio},'s) \text{alist-ops}$

**begin**  
**definition** [*icf-rec-def*]:  $\text{alprio-ops} \equiv ()$   
 $\text{prio-op-}\alpha = \text{alprio-}\alpha \ \alpha,$   
 $\text{prio-op-invar} = \text{alprio-invar } \alpha \text{ invar},$   
 $\text{prio-op-empty} = \text{alprio-empty } \text{empty},$   
 $\text{prio-op-isEmpty} = \text{alprio-isEmpty } \text{isEmpty},$   
 $\text{prio-op-insert} = \text{alprio-insert } \text{consl},$   
 $\text{prio-op-find} = \text{alprio-find } \text{annot},$   
 $\text{prio-op-delete} = \text{alprio-delete } \text{splits } \text{annot } \text{app},$   
 $\text{prio-op-meld} = \text{alprio-meld } \text{app}$   
 $\rangle$

**end**

```

locale alprio = alprio-defs ops + StdAL ops
  for ops :: (unit, ('e, 'a::linorder) Prio, 's) alist-ops
begin
  lemma alprio-ops-impl: StdPrio alprio-ops
    <proof>
end

end

```

### 3.2.9 Implementing Unique Priority Queues by Annotated Lists

```

theory PrioUniqueByAnnotatedList
imports
  ../spec/AnnotatedListSpec
  ../spec/PrioUniqueSpec
begin

```

In this theory we use annotated lists to implement unique priority queues with totally ordered elements.

This theory is written as a generic adapter from the `AnnotatedList` interface to the unique priority queue interface.

The annotated list stores a sequence of elements annotated with priorities<sup>1</sup>. The monoids operations forms the maximum over the elements and the minimum over the priorities. The sequence of pairs is ordered by ascending elements' order. The insertion point for a new element, or the priority of an existing element can be found by splitting the sequence at the point where the maximum of the elements read so far gets bigger than the element to be inserted.

The minimum priority can be read out as the sum over the whole sequence. Finding the element with minimum priority is done by splitting the sequence at the point where the minimum priority of the elements read so far becomes equal to the minimum priority of the whole sequence.

#### Definitions

**Monoid** **datatype** (*'e*, *'a*) *LP* = *Infty* | *LP 'e 'a*

**fun** *p-unwrap* :: (*'e*, *'a*) *LP*  $\Rightarrow$  (*'e*  $\times$  *'a*) **where**  
*p-unwrap* (*LP e a*) = (*e*, *a*)

**fun** *p-min* :: (*'e*::*linorder*, *'a*::*linorder*) *LP*  $\Rightarrow$  (*'e*, *'a*) *LP*  $\Rightarrow$  (*'e*, *'a*) *LP* **where**  
*p-min Infty Infty* = *Infty*

---

<sup>1</sup>Technically, the annotated list elements are of unit-type, and the annotations hold both, the priority queue elements and the priorities. This is required as we defined annotated lists to only sum up the elements annotations.

$$\begin{aligned}
& p\text{-min } \text{Infty } (LP\ e\ a) = LP\ e\ a \\
& p\text{-min } (LP\ e\ a)\ \text{Infty} = LP\ e\ a \\
& p\text{-min } (LP\ e1\ a)\ (LP\ e2\ b) = (LP\ (\max\ e1\ e2)\ (\min\ a\ b))
\end{aligned}$$

**fun** *e-less-eq* :: 'e  $\Rightarrow$  ('e::linorder, 'a::linorder) LP  $\Rightarrow$  bool **where**  
*e-less-eq* e Infty = False|  
*e-less-eq* e (LP e' -) = (e  $\leq$  e')

### Instantiation of classes

**lemma** *p-min-re-neut*[simp]: *p-min* a Infty = a <proof>

**lemma** *p-min-le-neut*[simp]: *p-min* Infty a = a <proof>

**lemma** *p-min-asso*: *p-min* (*p-min* a b) c = *p-min* a (*p-min* b c)  
 <proof>

**lemma** *lp-mono*: class.monoid-add *p-min* Infty <proof>

**instantiation** LP :: (linorder, linorder) monoid-add

**begin**

**definition** *zero-def*: 0 == Infty

**definition** *plus-def*: a+b == *p-min* a b

**instance** <proof>

**end**

**fun** *p-less-eq* :: ('e, 'a::linorder) LP  $\Rightarrow$  ('e, 'a) LP  $\Rightarrow$  bool **where**  
*p-less-eq* (LP e a) (LP f b) = (a  $\leq$  b)|  
*p-less-eq* - Infty = True|  
*p-less-eq* Infty (LP e a) = False

**fun** *p-less* :: ('e, 'a::linorder) LP  $\Rightarrow$  ('e, 'a) LP  $\Rightarrow$  bool **where**  
*p-less* (LP e a) (LP f b) = (a < b)|  
*p-less* (LP e a) Infty = True|  
*p-less* Infty - = False

**lemma** *p-less-le-not-le* : *p-less* x y  $\longleftrightarrow$  *p-less-eq* x y  $\wedge$   $\neg$  (*p-less-eq* y x)  
 <proof>

**lemma** *p-order-refl* : *p-less-eq* x x  
 <proof>

**lemma** *p-le-inf* : *p-less-eq* Infty x  $\Longrightarrow$  x = Infty  
 <proof>

**lemma** *p-order-trans* : [*p-less-eq* x y; *p-less-eq* y z]  $\Longrightarrow$  *p-less-eq* x z  
 <proof>

**lemma** *p-linear2* : *p-less-eq* x y  $\vee$  *p-less-eq* y x  
 <proof>

**instantiation**  $LP :: (type, linorder) \text{ preorder}$

**begin**

**definition**  $plesseq\text{-def}: less\text{-eq} = p\text{-less}\text{-eq}$

**definition**  $pless\text{-def}: less = p\text{-less}$

**instance**

$\langle proof \rangle$

**end**

**Operations definition**  $aluprio\text{-}\alpha :: ('s \Rightarrow (unit \times ('e::linorder, 'a::linorder) LP) list)$

$\Rightarrow 's \Rightarrow ('e::linorder \rightarrow 'a::linorder)$

**where**

$aluprio\text{-}\alpha \alpha ft == (map\text{-of} (map p\text{-unwrap} (map snd (\alpha ft))))$

**definition**  $aluprio\text{-invar} :: ('s \Rightarrow (unit \times ('c::linorder, 'd::linorder) LP) list)$

$\Rightarrow ('s \Rightarrow bool) \Rightarrow 's \Rightarrow bool$

**where**

$aluprio\text{-invar} \alpha invar ft ==$

$invar ft$

$\wedge (\forall x \in set (\alpha ft). snd x \neq Infty)$

$\wedge sorted (map fst (map p\text{-unwrap} (map snd (\alpha ft))))$

$\wedge distinct (map fst (map p\text{-unwrap} (map snd (\alpha ft))))$

**definition**  $aluprio\text{-empty}$  **where**

$aluprio\text{-empty} \text{empt} = \text{empt}$

**definition**  $aluprio\text{-isEmpty}$  **where**

$aluprio\text{-isEmpty} \text{isEmpty} = \text{isEmpty}$

**definition**  $aluprio\text{-insert} ::$

$((('e::linorder, 'a::linorder) LP \Rightarrow bool)$

$\Rightarrow ('e, 'a) LP \Rightarrow 's \Rightarrow ('s \times (unit \times ('e, 'a) LP) \times 's))$

$\Rightarrow ('s \Rightarrow ('e, 'a) LP)$

$\Rightarrow ('s \Rightarrow bool)$

$\Rightarrow ('s \Rightarrow 's \Rightarrow 's)$

$\Rightarrow ('s \Rightarrow unit \Rightarrow ('e, 'a) LP \Rightarrow 's)$

$\Rightarrow 's \Rightarrow 'e \Rightarrow 'a \Rightarrow 's$

**where**

$aluprio\text{-insert} \text{splits} \text{annot} \text{isEmpty} \text{app} \text{consr} s e a =$

$(if e\text{-less}\text{-eq} e (\text{annot} s) \wedge \neg \text{isEmpty} s$

$\text{then}$

$(let (l, (-,lp), r) = \text{splits} (e\text{-less}\text{-eq} e) Infty s \text{ in}$

$(if e < fst (p\text{-unwrap} lp)$

$\text{then}$

$\text{app} (\text{consr} (\text{consr} l ()) (LP e a)) () lp) r$

$\text{else}$

```

      app (consr l () (LP e a)) r ))
else
  consr s () (LP e a)

```

**definition** *aluprio-pop* ::  $((('e::linorder, 'a::linorder) LP \Rightarrow bool) \Rightarrow ('e, 'a) LP \Rightarrow 's \Rightarrow ('s \times (unit \times ('e, 'a) LP) \times 's)) \Rightarrow ('s \Rightarrow ('e, 'a) LP) \Rightarrow ('s \Rightarrow 's \Rightarrow 's) \Rightarrow 's \Rightarrow 'e \times 'a \times 's)$

**where**

```

aluprio-pop splits annot app s =
  (let (l, (-,lp) , r) = splits ( $\lambda x. x \leq (annot s)$ ) Infty s
  in
    (case lp of
      (LP e a)  $\Rightarrow$ 
        (e, a, app l r) ))

```

**definition** *aluprio-prio* ::

```

 $((('e::linorder, 'a::linorder) LP \Rightarrow bool) \Rightarrow ('e, 'a) LP \Rightarrow 's \Rightarrow ('s \times (unit \times ('e, 'a) LP) \times 's)) \Rightarrow ('s \Rightarrow ('e, 'a) LP) \Rightarrow ('s \Rightarrow bool) \Rightarrow 's \Rightarrow 'e \Rightarrow 'a option)$ 

```

**where**

```

aluprio-prio splits annot isEmpty s e =
  (if e-less-eq e (annot s)  $\wedge \neg isEmpty s$ 
  then
    (let (l, (-,lp) , r) = splits (e-less-eq e) Infty s in
      (if e = fst (p-unwrap lp)
      then
        Some (snd (p-unwrap lp))
      else
        None))
  else
    None)

```

**lemmas** *aluprio-defs* =

```

aluprio-invar-def
aluprio- $\alpha$ -def
aluprio-empty-def
aluprio-isEmpty-def
aluprio-insert-def
aluprio-pop-def
aluprio-prio-def

```



**Correctness**

**Auxiliary Lemmas** **lemma** *p-linear*:  $(x::('e, 'a::linorder) LP) \leq y \vee y \leq x$   
 ⟨proof⟩

**lemma** *e-less-eq-mon1*:  $e\text{-less-eq } e \ x \implies e\text{-less-eq } e \ (x + y)$   
 ⟨proof⟩

**lemma** *e-less-eq-mon2*:  $e\text{-less-eq } e \ y \implies e\text{-less-eq } e \ (x + y)$   
 ⟨proof⟩

**lemmas** *e-less-eq-mon* =  
*e-less-eq-mon1*  
*e-less-eq-mon2*

**lemma** *p-less-eq-mon*:  
 $(x::('e::linorder, 'a::linorder) LP) \leq z \implies (x + y) \leq z$   
 ⟨proof⟩

**lemma** *p-less-eq-lem1*:  
 $\llbracket \neg (x::('e::linorder, 'a::linorder) LP) \leq z;$   
 $(x + y) \leq z \rrbracket$   
 $\implies y \leq z$   
 ⟨proof⟩

**lemma** *infadd*:  $x \neq \text{Infty} \implies x + y \neq \text{Infty}$   
 ⟨proof⟩

**lemma** *e-less-eq-sum-list*:  
 $\llbracket \neg e\text{-less-eq } e \ (\text{sum-list } xs) \rrbracket \implies \forall x \in \text{set } xs. \neg e\text{-less-eq } e \ x$   
 ⟨proof⟩

**lemma** *e-less-eq-p-unwrap*:  
 $\llbracket x \neq \text{Infty}; \neg e\text{-less-eq } e \ x \rrbracket \implies \text{fst } (p\text{-unwrap } x) < e$   
 ⟨proof⟩

**lemma** *e-less-eq-refl* :  
 $b \neq \text{Infty} \implies e\text{-less-eq } (\text{fst } (p\text{-unwrap } b)) \ b$   
 ⟨proof⟩

**lemma** *e-less-eq-sum-list2*:  
**assumes**  
 $\forall x \in \text{set } (\alpha s). \text{snd } x \neq \text{Infty}$   
 $((), b) \in \text{set } (\alpha s)$   
**shows**  $e\text{-less-eq } (\text{fst } (p\text{-unwrap } b)) \ (\text{sum-list } (\text{map } \text{snd } (\alpha s)))$   
 ⟨proof⟩

**lemma** *e-less-eq-lem1*:  
 $\llbracket \neg e\text{-less-eq } e \ a; e\text{-less-eq } e \ (a + b) \rrbracket \implies e\text{-less-eq } e \ b$   
 ⟨proof⟩

**lemma** *p-unwrap-less-sum*:  $\text{snd } (p\text{-unwrap } ((LP\ e\ aa) + b)) \leq aa$   
 ⟨proof⟩

**lemma** *sum-list-less-elems*:  $\forall x \in \text{set } xs. \text{snd } x \neq \text{Inf ty} \implies$   
 $\forall y \in \text{set } (map\ \text{snd } (map\ p\text{-unwrap } (map\ \text{snd } xs)))$   
 $\text{snd } (p\text{-unwrap } (sum\text{-list } (map\ \text{snd } xs))) \leq y$   
 ⟨proof⟩

**lemma** *distinct-sortet-list-app*:  
 $\llbracket \text{sorted } xs; \text{distinct } xs; xs = as @ b \# cs \rrbracket$   
 $\implies \forall x \in \text{set } cs. b < x$   
 ⟨proof⟩

**lemma** *distinct-sorted-list-lem1*:

**assumes**  
*sorted xs*  
*sorted ys*  
*distinct xs*  
*distinct ys*  
 $\forall x \in \text{set } xs. x < e$   
 $\forall y \in \text{set } ys. e < y$   
**shows**  
*sorted (xs @ e # ys)*  
*distinct (xs @ e # ys)*  
 ⟨proof⟩

**lemma** *distinct-sorted-list-lem2*:

**assumes**  
*sorted xs*  
*sorted ys*  
*distinct xs*  
*distinct ys*  
 $e < e'$   
 $\forall x \in \text{set } xs. x < e$   
 $\forall y \in \text{set } ys. e' < y$   
**shows**  
*sorted (xs @ e # e' # ys)*  
*distinct (xs @ e # e' # ys)*  
 ⟨proof⟩

**lemma** *map-of-distinct-upd*:

$x \notin \text{set } (map\ \text{fst } xs) \implies [x \mapsto y] ++ map\text{-of } xs = (map\text{-of } xs) (x \mapsto y)$   
 ⟨proof⟩

**lemma** *map-of-distinct-upd2*:

**assumes**  $x \notin \text{set } (map\ \text{fst } xs)$   
 $x \notin \text{set } (map\ \text{fst } ys)$   
**shows**  $map\text{-of } (xs @ (x,y) \# ys) = (map\text{-of } (xs @ ys))(x \mapsto y)$

*<proof>*

**lemma** *map-of-distinct-upd3:*

**assumes**  $x \notin \text{set}(\text{map fst } xs)$

$x \notin \text{set}(\text{map fst } ys)$

**shows**  $\text{map-of } (xs @ (x,y) \# ys) = (\text{map-of } (xs @ (x,y') \# ys))(x \mapsto y)$

*<proof>*

**lemma** *map-of-distinct-upd4:*

**assumes**  $x \notin \text{set}(\text{map fst } xs)$

$x \notin \text{set}(\text{map fst } ys)$

**shows**  $\text{map-of } (xs @ ys) = (\text{map-of } (xs @ (x,y) \# ys))(x := \text{None})$

*<proof>*

**lemma** *map-of-distinct-lookup:*

**assumes**  $x \notin \text{set}(\text{map fst } xs)$

$x \notin \text{set}(\text{map fst } ys)$

**shows**  $\text{map-of } (xs @ (x,y) \# ys) x = \text{Some } y$

*<proof>*

**lemma** *ran-distinct:*

**assumes**  $\text{dist: distinct } (\text{map fst } al)$

**shows**  $\text{ran } (\text{map-of } al) = \text{snd } ` \text{set } al$

*<proof>*

**Finite lemma** *aluprio-finite-correct:*  $\text{uprio-finite } (\text{aluprio-}\alpha \ \alpha) (\text{aluprio-invar } \alpha \ \text{invar})$

*<proof>*

**Empty lemma** *aluprio-empty-correct:*

**assumes**  $\text{al-empty } \alpha \ \text{invar empty}$

**shows**  $\text{uprio-empty } (\text{aluprio-}\alpha \ \alpha) (\text{aluprio-invar } \alpha \ \text{invar}) (\text{aluprio-empty empty})$

*<proof>*

**Is Empty lemma** *aluprio-isEmpty-correct:*

**assumes**  $\text{al-isEmpty } \alpha \ \text{invar isEmpty}$

**shows**  $\text{uprio-isEmpty } (\text{aluprio-}\alpha \ \alpha) (\text{aluprio-invar } \alpha \ \text{invar}) (\text{aluprio-isEmpty isEmpty})$

*<proof>*

**Insert lemma** *annot-inf:*

**assumes**  $A: \text{invar } s \quad \forall x \in \text{set } (\alpha \ s). \ \text{snd } x \neq \text{Infty} \quad \text{al-annot } \alpha \ \text{invar annot}$

**shows**  $\text{annot } s = \text{Infty} \longleftrightarrow \alpha \ s = []$

*<proof>*

**lemma** *e-less-eq-annot:*

**assumes**  $\text{al-annot } \alpha \ \text{invar annot}$

$\text{invar } s \quad \forall x \in \text{set } (\alpha \ s). \ \text{snd } x \neq \text{Infty} \quad \neg \text{e-less-eq } e \ (\text{annot } s)$

**shows**  $\forall x \in \text{set } (\text{map } (\text{fst} \circ (\text{p-unwrap} \circ \text{snd})) (\alpha s)). x < e$   
 <proof>

**lemma** *aluprio-insert-correct*:

**assumes**

*al-splits*  $\alpha$  *invar splits*

*al-annot*  $\alpha$  *invar annot*

*al-isEmpty*  $\alpha$  *invar isEmpty*

*al-app*  $\alpha$  *invar app*

*al-consr*  $\alpha$  *invar consr*

**shows**

*uprio-insert* (*aluprio- $\alpha$*   $\alpha$ ) (*aluprio-invar*  $\alpha$  *invar*)

(*aluprio-insert splits annot isEmpty app consr*)

<proof>

**Prio lemma** *aluprio-prio-correct*:

**assumes**

*al-splits*  $\alpha$  *invar splits*

*al-annot*  $\alpha$  *invar annot*

*al-isEmpty*  $\alpha$  *invar isEmpty*

**shows**

*uprio-prio* (*aluprio- $\alpha$*   $\alpha$ ) (*aluprio-invar*  $\alpha$  *invar*) (*aluprio-prio splits annot isEmpty*)

<proof>

**Pop lemma** *aluprio-pop-correct*:

**assumes** *al-splits*  $\alpha$  *invar splits*

*al-annot*  $\alpha$  *invar annot*

*al-app*  $\alpha$  *invar app*

**shows**

*uprio-pop* (*aluprio- $\alpha$*   $\alpha$ ) (*aluprio-invar*  $\alpha$  *invar*) (*aluprio-pop splits annot app*)

<proof>

**lemmas** *aluprio-correct* =

*aluprio-finite-correct*

*aluprio-empty-correct*

*aluprio-isEmpty-correct*

*aluprio-insert-correct*

*aluprio-pop-correct*

*aluprio-prio-correct*

**locale** *aluprio-defs* = *StdALDefs ops*

**for** *ops* :: (*unit*, (*'e*::*linorder*, *'a*::*linorder*) *LP*, *'s*) *alist-ops*

**begin**

**definition** [*icf-rec-def*]: *aluprio-ops*  $\equiv$  []

*upr- $\alpha$*  = *aluprio- $\alpha$*   $\alpha$ ,

*upr-invar* = *aluprio-invar*  $\alpha$  *invar*,

*upr-empty* = *aluprio-empty empty*,

*upr-isEmpty* = *aluprio-isEmpty isEmpty*,

*upr-insert* = *aluprio-insert splits annot isEmpty app consr*,

```

    upr-pop = aluprio-pop splits annot app,
    upr-prio = aluprio-prio splits annot isEmpty
  )

end

locale aluprio = aluprio-defs ops + StdAL ops
  for ops :: (unit,('e::linorder,'a::linorder) LP,'s) alist-ops
begin
  lemma aluprio-ops-impl: StdUprio aluprio-ops
    <proof>
end

end

```

### 3.3 Implementations

#### 3.3.1 Map Implementation by Associative Lists

```

theory ListMapImpl
imports
  ../spec/MapSpec
  ../../Lib/Assoc-List
  ../gen-algo/MapGA
begin type-synonym ('k,'v) lm = ('k,'v) assoc-list

definition [icf-rec-def]: lm-basic-ops ≡ ()
  bmap-op-α = Assoc-List.lookup,
  bmap-op-invar = λ-. True,
  bmap-op-empty = (λ::unit. Assoc-List.empty),
  bmap-op-lookup = (λk m. Assoc-List.lookup m k),
  bmap-op-update = Assoc-List.update,
  bmap-op-update-dj = Assoc-List.update,
  bmap-op-delete = Assoc-List.delete,
  bmap-op-list-it = Assoc-List.iteratei
)

<ML>
interpretation lm-basic: StdBasicMapDefs lm-basic-ops <proof>
interpretation lm-basic: StdBasicMap lm-basic-ops
  <proof>
<ML>

definition [icf-rec-def]: lm-ops ≡ lm-basic.dflt-ops
<ML>
interpretation lm: StdMapDefs lm-ops <proof>
interpretation lm: StdMap lm-ops
  <proof>
interpretation lm: StdMap-no-invar lm-ops

```

*<proof>*  
*<ML>*

**lemma** *pi-lm*[*proper-it*]:  
*proper-it' Assoc-List.iteratei Assoc-List.iteratei*  
*<proof>*

**interpretation** *pi-lm*: *proper-it-loc Assoc-List.iteratei Assoc-List.iteratei*  
*<proof>*

**lemma** *pi-lm'*[*proper-it*]:  
*proper-it' lm.iteratei lm.iteratei*  
*<proof>*

**interpretation** *pi-lm'*: *proper-it-loc lm.iteratei lm.iteratei*  
*<proof>*

Code generator test

**definition** *test-codegen*  $\equiv$  (  
*lm.add* ,  
*lm.add-dj* ,  
*lm.ball* ,  
*lm.bex* ,  
*lm.delete* ,  
*lm.empty* ,  
*lm.isEmpty* ,  
*lm.isSng* ,  
*lm.iterate* ,  
*lm.iteratei* ,  
*lm.list-it* ,  
*lm.lookup* ,  
*lm.restrict* ,  
*lm.sel* ,  
*lm.size* ,  
*lm.size-abort* ,  
*lm.sng* ,  
*lm.to-list* ,  
*lm.to-map* ,  
*lm.update* ,  
*lm.update-dj*)

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.2 Map Implementation by Association Lists with explicit invariants

**theory** *ListMapImpl-Invar*

**imports**

```

../spec/MapSpec
.././Lib/Assoc-List
../gen-algo/MapGA

```

**begin type-synonym** ('k,'v) lmi = ('k × 'v) list

**term** revg

**definition** lmi-α ≡ Map.map-of

**definition** lmi-invar ≡ λm. distinct (List.map fst m)

**definition** lmi-basic-ops :: ('k,'v,('k,'v) lmi) map-basic-ops

```

where [icf-rec-def]: lmi-basic-ops ≡ (
  bmap-op-α = lmi-α,
  bmap-op-invar = lmi-invar,
  bmap-op-empty = (λ::unit. []),
  bmap-op-lookup = (λk m. Map.map-of m k),
  bmap-op-update = AList.update,
  bmap-op-update-dj = (λk v m. (k, v) # m),
  bmap-op-delete = AList.delete-aux,
  bmap-op-list-it = foldli
)

```

⟨ML⟩

**interpretation** lmi-basic: StdBasicMapDefs lmi-basic-ops ⟨proof⟩

**interpretation** lmi-basic: StdBasicMap lmi-basic-ops

⟨proof⟩

⟨ML⟩

**definition** [icf-rec-def]: lmi-ops ≡ lmi-basic.dflt-ops (

```

  map-op-add-dj := revg,
  map-op-to-list := id,
  map-op-size := length,
  map-op-isEmpty := case-list True (λ- -. False),
  map-op-isSng := (λl. case l of [-] ⇒ True | - ⇒ False)
)

```

⟨proof⟩

⟨ML⟩

**interpretation** lmi: StdMapDefs lmi-ops ⟨proof⟩

**interpretation** lmi: StdMap lmi-ops

⟨proof⟩

⟨ML⟩

**lemma** pi-lmi[proper-it]:

proper-it' foldli foldli

⟨proof⟩

**interpretation** *pi-lmi*: *proper-it-loc foldli foldli*  
 ⟨*proof*⟩

**definition** *lmi-from-list-dj* ::  $(k \times v)$  list  $\Rightarrow$   $(k, v)$  *lmi* **where**  
*lmi-from-list-dj*  $\equiv$  *id*

**lemma** *lmi-from-list-dj-correct*:  
**assumes** [*simp*]: *distinct* (*map fst l*)  
**shows** *lmi.alpha* (*lmi-from-list-dj l*) = *map-of l*  
*lmi.invar* (*lmi-from-list-dj l*)  
 ⟨*proof*⟩

Code generator test

**definition** *test-codegen*  $\equiv$  (  
*lmi.add* ,  
*lmi.add-dj* ,  
*lmi.ball* ,  
*lmi.bex* ,  
*lmi.delete* ,  
*lmi.empty* ,  
*lmi.isEmpty* ,  
*lmi.isSng* ,  
*lmi.iterate* ,  
*lmi.iteratei* ,  
*lmi.list-it* ,  
*lmi.lookup* ,  
*lmi.restrict* ,  
*lmi.sel* ,  
*lmi.size* ,  
*lmi.size-abort* ,  
*lmi.sng* ,  
*lmi.to-list* ,  
*lmi.to-map* ,  
*lmi.update* ,  
*lmi.update-dj* ,  
*lmi-from-list-dj*  
 )

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.3 Map Implementation by Red-Black-Trees

**theory** *RBTMapImpl*  
**imports**  
 ../spec/MapSpec  
 ../../Lib/RBT-add  
 HOL-Library.RBT



```

../gen-algo/MapGA
begin hide-const (open) RBT.map RBT.fold RBT.foldi RBT.empty RBT.insert

```

```

type-synonym ('k,'v) rm = ('k,'v) RBT.rbt

```

```

definition rm-basic-ops :: ('k::linorder,'v,('k,'v) rm) omap-basic-ops
  where [icf-rec-def]: rm-basic-ops ≡ ()
    bmap-op-α = RBT.lookup,
    bmap-op-invar = λ-. True,
    bmap-op-empty = (λ::unit. RBT.empty),
    bmap-op-lookup = (λk m. RBT.lookup m k),
    bmap-op-update = RBT.insert,
    bmap-op-update-dj = RBT.insert,
    bmap-op-delete = RBT.delete,
    bmap-op-list-it = (λr. RBT-add.rm-iterateoi (RBT.impl-of r)),
    bmap-op-ordered-list-it = (λr. RBT-add.rm-iterateoi (RBT.impl-of r)),
    bmap-op-rev-list-it = (λr. RBT-add.rm-reverse-iterateoi (RBT.impl-of r))
  )

```

⟨ML⟩

**interpretation** rm-basic: StdBasicOMap rm-basic-ops

⟨proof⟩

⟨ML⟩

```

definition [icf-rec-def]: rm-ops ≡ rm-basic.dflt-oops(map-op-add := RBT.union)

```

⟨ML⟩

**interpretation** rm: StdOMap rm-ops

⟨proof⟩

**interpretation** rm: StdMap-no-invar rm-ops

⟨proof⟩

⟨ML⟩

**lemma** pi-rm[proper-it]:

proper-it' RBT-add.rm-iterateoi RBT-add.rm-iterateoi

⟨proof⟩

**lemma** pi-rm-rev[proper-it]:

proper-it' RBT-add.rm-reverse-iterateoi RBT-add.rm-reverse-iterateoi

⟨proof⟩

**interpretation** pi-rm: proper-it-loc RBT-add.rm-iterateoi RBT-add.rm-iterateoi

⟨proof⟩

**interpretation** pi-rm-rev: proper-it-loc RBT-add.rm-reverse-iterateoi

RBT-add.rm-reverse-iterateoi

⟨proof⟩

Code generator test

```

definition test-codegen  $\equiv$  (rm.add ,
  rm.add-dj ,
  rm.ball ,
  rm.bex ,
  rm.delete ,
  rm.empty ,
  rm.isEmpty ,
  rm.isSng ,
  rm.iterate ,
  rm.iteratei ,
  rm.iterateo ,
  rm.iterateoi ,
  rm.list-it ,
  rm.lookup ,
  rm.max ,
  rm.min ,
  rm.restrict ,
  rm.rev-iterateo ,
  rm.rev-iterateoi ,
  rm.rev-list-it ,
  rm.reverse-iterateo ,
  rm.reverse-iterateoi ,
  rm.sel ,
  rm.size ,
  rm.size-abort ,
  rm.sng ,
  rm.to-list ,
  rm.to-map ,
  rm.to-rev-list ,
  rm.to-sorted-list ,
  rm.update ,
  rm.update-dj)

```

```

export-code test-codegen checking SML

```

```

end

```

### 3.3.4 Hash maps implementation

```

theory HashMap-Impl
imports
  RBTMapImpl
  ListMapImpl
  ../../Lib/HashCode
  ../../Lib/Code-Target-ICF
begin

```

We use a red-black tree instead of an indexed array. This has the disadvantage of being more complex, however we need not bother about a fixed-size array and rehashing if the array becomes too full.

The entries of the red-black tree are lists of (key,value) pairs.

### Abstract Hashmap

We first specify the behavior of our hashmap on the level of maps. We will then show that our implementation based on hashcode-map and bucket-map is a correct implementation of this specification.

#### type-synonym

$(\text{'k}, \text{'v}) \text{ abs-hashmap} = \text{hashcode} \rightarrow (\text{'k} \rightarrow \text{'v})$

— Map entry of map by function

**abbreviation**  $\text{map-entry where } \text{map-entry } k \ f \ m == m(k := f (m \ k))$

— Invariant: Buckets only contain entries with the right hashcode and there are no empty buckets

**definition**  $\text{ahm-invar} :: (\text{'k} :: \text{hashable}, \text{'v}) \text{ abs-hashmap} \Rightarrow \text{bool}$

**where**  $\text{ahm-invar } m ==$

$(\forall hc \ cm \ k. \ m \ hc = \text{Some } cm \wedge k \in \text{dom } cm \longrightarrow \text{hashcode } k = hc) \wedge$   
 $(\forall hc \ cm. \ m \ hc = \text{Some } cm \longrightarrow cm \neq \text{Map.empty})$

— Abstract a hashmap to the corresponding map

**definition**  $\text{ahm-}\alpha$  **where**

$\text{ahm-}\alpha \ m \ k == \text{case } m \ (\text{hashcode } k) \ \text{of}$

$\text{None} \Rightarrow \text{None} \mid$   
 $\text{Some } cm \Rightarrow cm \ k$

— Lookup an entry

**definition**  $\text{ahm-lookup} :: \text{'k} :: \text{hashable} \Rightarrow (\text{'k}, \text{'v}) \text{ abs-hashmap} \Rightarrow \text{'v option}$

**where**  $\text{ahm-lookup } k \ m == (\text{ahm-}\alpha \ m) \ k$

— The empty hashmap

**definition**  $\text{ahm-empty} :: (\text{'k} :: \text{hashable}, \text{'v}) \text{ abs-hashmap}$

**where**  $\text{ahm-empty} = \text{Map.empty}$

— Update/insert an entry

**definition**  $\text{ahm-update}$  **where**

$\text{ahm-update } k \ v \ m ==$

$\text{case } m \ (\text{hashcode } k) \ \text{of}$   
 $\text{None} \Rightarrow m \ (\text{hashcode } k \mapsto [k \mapsto v]) \mid$   
 $\text{Some } cm \Rightarrow m \ (\text{hashcode } k \mapsto cm \ (k \mapsto v))$

— Delete an entry

**definition**  $\text{ahm-delete}$  **where**

$\text{ahm-delete } k \ m == \text{map-entry } (\text{hashcode } k)$

```

( $\lambda v$ . case v of
  None  $\Rightarrow$  None |
  Some bm  $\Rightarrow$  (
    if bm |' (- {k}) = Map.empty then
      None
    else
      Some ( bm |' (- {k}))
  )
) m

```

**definition** *ahm-isEmpty* where

*ahm-isEmpty* m == m=Map.empty

Now follow correctness lemmas, that relate the hashmap operations to operations on the corresponding map. Those lemmas are named *op\_correct*, where (*is*) the operation.

**lemma** *ahm-invarI*:  $\llbracket$

!!hc cm k.  $\llbracket$  m hc = Some cm;  $k \in \text{dom cm} \rrbracket \implies \text{hashcode } k = \text{hc};$

!!hc cm.  $\llbracket$  m hc = Some cm  $\rrbracket \implies \text{cm} \neq \text{Map.empty}$

$\rrbracket \implies \text{ahm-invar } m$

*<proof>*

**lemma** *ahm-invarD*:  $\llbracket$  *ahm-invar* m; m hc = Some cm;  $k \in \text{dom cm} \rrbracket \implies \text{hashcode } k = \text{hc}$

*<proof>*

**lemma** *ahm-invarDne*:  $\llbracket$  *ahm-invar* m; m hc = Some cm  $\rrbracket \implies \text{cm} \neq \text{Map.empty}$

*<proof>*

**lemma** *ahm-invar-bucket-not-empty[simp]*:

*ahm-invar* m  $\implies$  m hc  $\neq$  Some Map.empty

*<proof>*

**lemmas** *ahm-lookup-correct* = *ahm-lookup-def*

**lemma** *ahm-empty-correct*:

*ahm- $\alpha$*  *ahm-empty* = Map.empty

*ahm-invar* *ahm-empty*

*<proof>*

**lemma** *ahm-update-correct*:

*ahm- $\alpha$*  (*ahm-update* k v m) = (*ahm- $\alpha$*  m)(k  $\mapsto$  v)

*ahm-invar* m  $\implies$  *ahm-invar* (*ahm-update* k v m)

*<proof>*

**lemma** *fun-upd-apply-ne*:  $x \neq y \implies (f(x:=v)) y = f y$

*<proof>*

**lemma** *cancel-one-empty-simp*:  $m \upharpoonright' (-\{k\}) = \text{Map.empty} \longleftrightarrow \text{dom } m \subseteq \{k\}$   
 ⟨proof⟩

**lemma** *ahm-delete-correct*:  
 $\text{ahm-}\alpha \ (\text{ahm-delete } k \ m) = (\text{ahm-}\alpha \ m) \upharpoonright' (-\{k\})$   
 $\text{ahm-invar } m \implies \text{ahm-invar } (\text{ahm-delete } k \ m)$   
 ⟨proof⟩

**lemma** *ahm-isEmpty-correct*:  $\text{ahm-invar } m \implies \text{ahm-isEmpty } m \longleftrightarrow \text{ahm-}\alpha \ m = \text{Map.empty}$   
 ⟨proof⟩

**lemmas** *ahm-correct* = *ahm-empty-correct* *ahm-lookup-correct* *ahm-update-correct*  
*ahm-delete-correct* *ahm-isEmpty-correct*

— Bucket entries correspond to map entries

**lemma** *ahm-be-is-e*:  
**assumes** *I*:  $\text{ahm-invar } m$   
**assumes** *A*:  $m \text{ hc} = \text{Some } bm \quad bm \ k = \text{Some } v$   
**shows**  $\text{ahm-}\alpha \ m \ k = \text{Some } v$   
 ⟨proof⟩

**lemma** *ahm-e-is-be*:  $\llbracket$   
 $\text{ahm-}\alpha \ m \ k = \text{Some } v;$   
 $\llbracket \text{!}bm. \llbracket m \ (\text{hashcode } k) = \text{Some } bm; bm \ k = \text{Some } v \rrbracket \implies P$   
 $\rrbracket \implies P$   
 ⟨proof⟩

## Concrete Hashmap

In this section, we define the concrete hashmap that is made from the hashcode map and the bucket map.

We then show the correctness of the operations w.r.t. the abstract hashmap, and thus, indirectly, w.r.t. the corresponding map.

### type-synonym

$(k, 'v) \text{ hm-impl} = (\text{hashcode}, ('k, 'v) \text{ lm}) \text{ rm}$

### Operations definition *rm-map-entry*

$:: \text{hashcode} \Rightarrow ('v \text{ option} \Rightarrow 'v \text{ option}) \Rightarrow (\text{hashcode}, 'v) \text{ rm} \Rightarrow (\text{hashcode}, 'v) \text{ rm}$

#### where

$\text{rm-map-entry } k \ f \ m ==$   
 $\text{case } \text{rm.lookup } k \ m \ \text{of}$   
 $\text{None} \Rightarrow ($   
 $\text{case } f \ \text{None} \ \text{of}$   
 $\text{None} \Rightarrow m \mid$   
 $\text{Some } v \Rightarrow \text{rm.update } k \ v \ m$   
 $) \mid$

```

Some v ⇒ (
  case f (Some v) of
    None ⇒ rm.delete k m |
    Some v' ⇒ rm.update k v' m
)

```

— Empty hashmap

**definition** *empty* :: *unit* ⇒ ('k :: hashable, 'v) *hm-impl* **where** *empty* == *rm.empty*

— Update/insert entry

**definition** *update* :: 'k :: hashable ⇒ 'v ⇒ ('k, 'v) *hm-impl* ⇒ ('k, 'v) *hm-impl*

**where**

```

update k v m ==
  let hc = hashcode k in
  case rm.lookup hc m of
    None ⇒ rm.update hc (lm.update k v (lm.empty ())) m |
    Some bm ⇒ rm.update hc (lm.update k v bm) m

```

— Lookup value by key

**definition** *lookup* :: 'k :: hashable ⇒ ('k, 'v) *hm-impl* ⇒ 'v *option* **where**

```

lookup k m ==
  case rm.lookup (hashcode k) m of
    None ⇒ None |
    Some lm ⇒ lm.lookup k lm

```

— Delete entry by key

**definition** *delete* :: 'k :: hashable ⇒ ('k, 'v) *hm-impl* ⇒ ('k, 'v) *hm-impl* **where**

```

delete k m ==
  rm-map-entry (hashcode k)
    (λv. case v of
      None ⇒ None |
      Some lm ⇒ (
        let lm' = lm.delete k lm
          in if lm.isEmpty lm' then None else Some lm'
        )
    ) m

```

— Emptiness check

**definition** *isEmpty* == *rm.isEmpty*

— Interruptible iterator

**definition** *iteratei* m c f σ 0 ==

```

rm.iteratei m c (λ(hc, lm) σ.
  lm.iteratei lm c f σ
) σ 0

```

**lemma** *iteratei-alt-def* :

```

iteratei m = set-iterator-image snd (

```

*set-iterator-product* (*rm.iteratei* *m*) ( $\lambda hclm. lm.iteratei$  (*snd* *hclm*)))  
 ⟨*proof*⟩

**Correctness w.r.t. Abstract HashMap** The following lemmas establish the correctness of the operations w.r.t. the abstract hashmap.

They have the naming scheme *op\_correct'*, where (*is*) the name of the operation.

**definition** *hm- $\alpha'$  where* *hm- $\alpha'$  m* ==  $\lambda hc. case$  *rm. $\alpha$  m hc* of  
*None*  $\Rightarrow$  *None* |  
*Some* *lm*  $\Rightarrow$  *Some* (*lm. $\alpha$  lm*)

— Invariant for concrete hashmap: The hashcode-map and bucket-maps satisfy their invariants and the invariant of the corresponding abstract hashmap is satisfied.

**definition** *invar* *m* == *ahm-invar* (*hm- $\alpha'$  m*)

**lemma** *rm-map-entry-correct*:

*rm. $\alpha$*  (*rm-map-entry* *k f m*) = (*rm. $\alpha$  m*)(*k* := *f* (*rm. $\alpha$  m k*))  
 ⟨*proof*⟩

**lemma** *empty-correct'*:

*hm- $\alpha'$*  (*empty* ()) = *ahm-empty*  
*invar* (*empty* ())  
 ⟨*proof*⟩

**lemma** *lookup-correct'*:

*invar* *m*  $\implies$  *lookup* *k m* = *ahm-lookup* *k* (*hm- $\alpha'$  m*)  
 ⟨*proof*⟩

**lemma** *update-correct'*:

*invar* *m*  $\implies$  *hm- $\alpha'$*  (*update* *k v m*) = *ahm-update* *k v* (*hm- $\alpha'$  m*)  
*invar* *m*  $\implies$  *invar* (*update* *k v m*)  
 ⟨*proof*⟩

**lemma** *delete-correct'*:

*invar* *m*  $\implies$  *hm- $\alpha'$*  (*delete* *k m*) = *ahm-delete* *k* (*hm- $\alpha'$  m*)  
*invar* *m*  $\implies$  *invar* (*delete* *k m*)  
 ⟨*proof*⟩

**lemma** *isEmpty-correct'*:

*invar* *hm*  $\implies$  *isEmpty* *hm*  $\longleftrightarrow$  *ahm- $\alpha$*  (*hm- $\alpha'$  hm*) = *Map.empty*  
 ⟨*proof*⟩

**lemma** *iteratei-correct'*:

**assumes** *invar*: *invar* *hm*

**shows** *map-iterator* (*iteratei hm*) (*ahm- $\alpha$*  (*hm- $\alpha'$*  *hm*))  
 <proof>

**lemmas** *hm-correct'* = *empty-correct'* *lookup-correct'* *update-correct'*  
*delete-correct'* *isEmpty-correct'*  
*iteratei-correct'*

**lemmas** *hm-invars* = *empty-correct'*(2) *update-correct'*(2)  
*delete-correct'*(2)

**hide-const** (**open**) *empty invar lookup update delete isEmpty iteratei*

**end**

### 3.3.5 Hash Maps

**theory** *HashMap*  
**imports** *HashMap-Impl*  
**begin**

#### Type definition

**typedef** (**overloaded**) (*'k*, *'v*) *hashmap* = {*hm* :: (*'k* :: *hashable*, *'v*) *hm-impl*.  
*HashMap-Impl.invar hm*}  
**morphisms** *impl-of-RBT-HM RBT-HM*  
 <proof>

**lemma** *impl-of-RBT-HM-invar* [*simp*, *intro!*]: *HashMap-Impl.invar* (*impl-of-RBT-HM*  
*hm*)  
 <proof>

**lemma** *RBT-HM-imp-of-RBT-HM* [*code abstype*]:  
*RBT-HM* (*impl-of-RBT-HM hm*) = *hm*  
 <proof>

**definition** *hm-empty-const* :: (*'k* :: *hashable*, *'v*) *hashmap*  
**where** *hm-empty-const* = *RBT-HM* (*HashMap-Impl.empty* ())

**definition** *hm-empty* :: *unit*  $\Rightarrow$  (*'k* :: *hashable*, *'v*) *hashmap*  
**where** *hm-empty* = ( $\lambda$ -. *hm-empty-const*)

**definition** *hm-lookup* *k hm* == *HashMap-Impl.lookup* *k* (*impl-of-RBT-HM hm*)

**definition** *hm-update* :: (*'k* :: *hashable*)  $\Rightarrow$  *'v*  $\Rightarrow$  (*'k*, *'v*) *hashmap*  $\Rightarrow$  (*'k*, *'v*)  
*hashmap*

**where** *hm-update* *k v hm* = *RBT-HM* (*HashMap-Impl.update* *k v* (*impl-of-RBT-HM*  
*hm*))

**definition** *hm-update-dj* :: (*'k* :: *hashable*)  $\Rightarrow$  *'v*  $\Rightarrow$  (*'k*, *'v*) *hashmap*  $\Rightarrow$  (*'k*, *'v*)  
*hashmap*



**where**  $hm\text{-update-dj} = hm\text{-update}$

**definition**  $hm\text{-delete} :: ('k :: hashable) \Rightarrow ('k, 'v) \text{hashmap} \Rightarrow ('k, 'v) \text{hashmap}$   
**where**  $hm\text{-delete } k \text{ } hm = RBT\text{-HM } (HashMap\text{-Impl.delete } k \text{ } (impl\text{-of-RBT-HM } hm))$

**definition**  $hm\text{-isEmpty} :: ('k :: hashable, 'v) \text{hashmap} \Rightarrow bool$   
**where**  $hm\text{-isEmpty } hm = HashMap\text{-Impl.isEmpty } (impl\text{-of-RBT-HM } hm)$

**definition**  $hm\text{-iteratei } hm == HashMap\text{-Impl.iteratei } (impl\text{-of-RBT-HM } hm)$

**lemma**  $impl\text{-of-hm-empty}$  [*simp*, *code abstract*]:  
 $impl\text{-of-RBT-HM } (hm\text{-empty-const}) = HashMap\text{-Impl.empty } ()$   
*<proof>*

**lemma**  $impl\text{-of-hm-update}$  [*simp*, *code abstract*]:  
 $impl\text{-of-RBT-HM } (hm\text{-update } k \text{ } v \text{ } hm) = HashMap\text{-Impl.update } k \text{ } v \text{ } (impl\text{-of-RBT-HM } hm)$   
*<proof>*

**lemma**  $impl\text{-of-hm-delete}$  [*simp*, *code abstract*]:  
 $impl\text{-of-RBT-HM } (hm\text{-delete } k \text{ } hm) = HashMap\text{-Impl.delete } k \text{ } (impl\text{-of-RBT-HM } hm)$   
*<proof>*

### Correctness w.r.t. Map

The next lemmas establish the correctness of the hashmap operations w.r.t. the associated map. This is achieved by chaining the correctness lemmas of the concrete hashmap w.r.t. the abstract hashmap and the correctness lemmas of the abstract hashmap w.r.t. maps.

**type-synonym**  $('k, 'v) \text{hm} = ('k, 'v) \text{hashmap}$

— Abstract concrete hashmap to map

**definition**  $hm\text{-}\alpha == ahm\text{-}\alpha \circ hm\text{-}\alpha' \circ impl\text{-of-RBT-HM}$

**abbreviation** (*input*)  $hm\text{-invar} :: ('k :: hashable, 'v) \text{hashmap} \Rightarrow bool$   
**where**  $hm\text{-invar} == \lambda\text{-}. True$

**lemma**  $hm\text{-aux-correct}$ :  
 $hm\text{-}\alpha \text{ } (hm\text{-empty } ()) = Map.empty$   
 $hm\text{-lookup } k \text{ } m = hm\text{-}\alpha \text{ } m \text{ } k$   
 $hm\text{-}\alpha \text{ } (hm\text{-update } k \text{ } v \text{ } m) = (hm\text{-}\alpha \text{ } m)(k \mapsto v)$

$hm-\alpha (hm-delete\ k\ m) = (hm-\alpha\ m) \setminus \{-k\}$   
 <proof>

**lemma** *hm-finite*[*simp, intro!*]:  
 $finite\ (dom\ (hm-\alpha\ m))$   
 <proof>

**lemma** *hm-iteratei-impl*:  
 $map-iterator\ (hm-iteratei\ m)\ (hm-\alpha\ m)$   
 <proof>

### Integration in Isabelle Collections Framework

In this section, we integrate hashmaps into the Isabelle Collections Framework.

**definition** [*icf-rec-def*]:  $hm-basic-ops \equiv ()$   
 $bmap-op-\alpha = hm-\alpha,$   
 $bmap-op-invar = \lambda-. True,$   
 $bmap-op-empty = hm-empty,$   
 $bmap-op-lookup = hm-lookup,$   
 $bmap-op-update = hm-update,$   
 $bmap-op-update-dj = hm-update,$  — TODO: Optimize bucket-ins here  
 $bmap-op-delete = hm-delete,$   
 $bmap-op-list-it = hm-iteratei$   
 )

<ML>

**interpretation** *hm-basic*:  $StdBasicMap\ hm-basic-ops$   
 <proof>  
 <ML>

**definition** [*icf-rec-def*]:  $hm-ops \equiv hm-basic.dflt-ops$

<ML>

**interpretation** *hm*:  $StdMapDefs\ hm-ops$  <proof>

**interpretation** *hm*:  $StdMap\ hm-ops$

<proof>

**interpretation** *hm*:  $StdMap-no-invar\ hm-ops$

<proof>

<ML>

**lemma** *pi-hm*[*proper-it*]:

**shows** *proper-it'*  $hm-iteratei\ hm-iteratei$

<proof>

**interpretation** *pi-hm*:  $proper-it-loc\ hm-iteratei\ hm-iteratei$

<proof>

Code generator test

```
definition test-codegen where test-codegen  $\equiv$  (
  hm.add ,
  hm.add-dj ,
  hm.ball ,
  hm.bex ,
  hm.delete ,
  hm.empty ,
  hm.isEmpty ,
  hm.isSng ,
  hm.iterate ,
  hm.iteratei ,
  hm.list-it ,
  hm.lookup ,
  hm.restrict ,
  hm.sel ,
  hm.size ,
  hm.size-abort ,
  hm.sng ,
  hm.to-list ,
  hm.to-map ,
  hm.update ,
  hm.update-dj)
```

```
export-code test-codegen checking SML
```

```
end
```

### 3.3.6 Implementation of a trie with explicit invariants

```
theory Trie-Impl imports
```

```
  ../Lib/Assoc-List
```

```
  Trie.Trie
```

```
begin
```

#### Interruptible iterator

```
fun iteratei-postfixed :: 'key list  $\Rightarrow$  ('key, 'val) trie  $\Rightarrow$ 
```

```
  ('key list  $\times$  'val, 'σ) set-iterator
```

```
where
```

```
  iteratei-postfixed ks (Trie vo ts) c f σ =
```

```
  (if c σ
```

```
    then foldli ts c ( $\lambda(k, t) \sigma$ . iteratei-postfixed (k # ks) t c f σ)
```

```
      (case vo of None  $\Rightarrow$  σ | Some v  $\Rightarrow$  f (ks, v) σ)
```

```
    else σ)
```

```
definition iteratei :: ('key, 'val) trie  $\Rightarrow$  ('key list  $\times$  'val, 'σ) set-iterator
```

```
where iteratei t c f σ = iteratei-postfixed [] t c f σ
```

**lemma** *iteratei-postfixed-interrupt*:  
 $\neg c \sigma \implies \text{iteratei-postfixed } ks \ t \ c \ f \ \sigma = \sigma$   
 <proof>

**lemma** *iteratei-interrupt*:  
 $\neg c \sigma \implies \text{iteratei } t \ c \ f \ \sigma = \sigma$   
 <proof>

**lemma** *iteratei-postfixed-alt-def* :  
 $\text{iteratei-postfixed } ks \ ((\text{Trie } vo \ ts)::('key, 'val) \text{ trie}) =$   
 (set-iterator-union  
 (case-option set-iterator-emp ( $\lambda v. \text{set-iterator-sng } (ks, v)$ )  $vo$ )  
 (set-iterator-image snd  
 (set-iterator-product (foldli ts  
 ( $\lambda(k, t'). \text{iteratei-postfixed } (k \# ks) \ t'$ ))  
 ))  
 <proof>

**lemma** *iteratei-postfixed-correct* :  
**assumes** *invar*: *invar-trie* ( $t :: ('key, 'val) \text{ trie}$ )  
**shows** *set-iterator* ((*iteratei-postfixed*  $ks0 \ t$ ::('key list  $\times$  'val, 'σ) *set-iterator*)  
 ( $\lambda ksv. (\text{rev } (\text{fst } ksv) \ @ \ ks0, (\text{snd } ksv))$ ) ' (*map-to-set* (*lookup-trie*  $t$ )))  
 <proof>

**definition** *trie-reverse-key* **where**  
 $\text{trie-reverse-key } ksv = (\text{rev } (\text{fst } ksv), (\text{snd } ksv))$

**lemma** *trie-reverse-key-alt-def*[code] :  
 $\text{trie-reverse-key } (ks, v) = (\text{rev } ks, v)$   
 <proof>

**lemma** *trie-reverse-key-reverse*[simp] :  
 $\text{trie-reverse-key } (\text{trie-reverse-key } ksv) = ksv$   
 <proof>

**lemma** *trie-iteratei-correct*:  
**assumes** *invar*: *invar-trie* ( $t :: ('key, 'val) \text{ trie}$ )  
**shows** *set-iterator* ((*iteratei*  $t$ ::('key list  $\times$  'val, 'σ) *set-iterator*)  
 (*trie-reverse-key* ' (*map-to-set* (*lookup-trie*  $t$ )))  
 <proof>

**hide-const** (open) *iteratei*  
**hide-type** (open) *trie*

**end**

### 3.3.7 Tries without invariants

**theory** *Trie2* **imports**

*Trie-Impl*

**begin**

*<proof>*

#### Abstract type definition

**typedef** (*'key, 'val*) *trie* =  
 {*t* :: (*'key, 'val*) *Trie.trie.invar-trie t*}  
**morphisms** *impl-of Trie*  
*<proof>*

**lemma** *invar-trie-impl-of [simp, intro]: invar-trie (impl-of t)*  
*<proof>*

**lemma** *Trie-impl-of [code abstype]: Trie (impl-of t) = t*  
*<proof>*

#### Primitive operations

**definition** *empty* :: (*'key, 'val*) *trie*  
**where** *empty* = *Trie (empty-trie)*

**definition** *update* :: (*'key list*  $\Rightarrow$  *'val*  $\Rightarrow$  (*'key, 'val*) *trie*  $\Rightarrow$  (*'key, 'val*) *trie*)  
**where** *update ks v t* = *Trie (update-trie ks v (impl-of t))*

**definition** *delete* :: (*'key list*  $\Rightarrow$  (*'key, 'val*) *trie*  $\Rightarrow$  (*'key, 'val*) *trie*)  
**where** *delete ks t* = *Trie (delete-trie ks (impl-of t))*

**definition** *lookup* :: (*'key, 'val*) *trie*  $\Rightarrow$  *'key list*  $\Rightarrow$  *'val option*  
**where** *lookup t* = *lookup-trie (impl-of t)*

**definition** *isEmpty* :: (*'key, 'val*) *trie*  $\Rightarrow$  *bool*  
**where** *isEmpty t* = *is-empty-trie (impl-of t)*

**definition** *iteratei* :: (*'key, 'val*) *trie*  $\Rightarrow$  (*'key list*  $\times$  *'val, 'σ*) *set-iterator*  
**where** *iteratei t* = *set-iterator-image trie-reverse-key (Trie-Impl.iteratei (impl-of t))*

**lemma** *iteratei-code[code]* :  
*iteratei t c f* = *Trie-Impl.iteratei (impl-of t) c (λ(ks, v). f (rev ks, v))*  
*<proof>*

**lemma** *impl-of-empty [code abstract]: impl-of empty = empty-trie*  
*<proof>*

**lemma** *impl-of-update [code abstract]: impl-of (update ks v t) = update-trie ks v*

*(impl-of t)*  
*<proof>*

**lemma** *impl-of-delete* [*code abstract*]: *impl-of (delete ks t) = delete-trie ks (impl-of t)*  
*<proof>*

### Correctness of primitive operations

**lemma** *lookup-empty* [*simp*]: *lookup empty = Map.empty*  
*<proof>*

**lemma** *lookup-update* [*simp*]: *lookup (update ks v t) = (lookup t)(ks ↦ v)*  
*<proof>*

**lemma** *lookup-delete* [*simp*]: *lookup (delete ks t) = (lookup t)(ks := None)*  
*<proof>*

**lemma** *isEmpty-lookup*: *isEmpty t ⟷ lookup t = Map.empty*  
*<proof>*

**lemma** *finite-dom-lookup*: *finite (dom (lookup t))*  
*<proof>*

**lemma** *iteratei-correct*:  
*map-iterator (iteratei m) (lookup m)*  
*<proof>*

### Type classes

**instantiation** *trie* :: (*equal, equal*) *equal begin*

**definition** *equal-class.equal* (*t :: ('a, 'b) trie*) *t' = (impl-of t = impl-of t')*

**instance**  
*<proof>*  
**end**

**hide-const** (**open**) *empty lookup update delete iteratei isEmpty*

**end**

### 3.3.8 Map implementation via tries

**theory** *TrieMapImpl imports*  
*Trie2*  
*../gen-algo/MapGA*  
**begin**

**Operations**

**type-synonym**  $(\text{'k}, \text{'v}) \text{tm} = (\text{'k}, \text{'v}) \text{trie}$

**definition**  $[\text{icf-rec-def}]$ :  $\text{tm-basic-ops} \equiv (\langle$   
 $\text{bmap-op-}\alpha = \text{Trie2.lookup},$   
 $\text{bmap-op-invar} = \lambda-. \text{True},$   
 $\text{bmap-op-empty} = (\lambda::\text{unit}. \text{Trie2.empty}),$   
 $\text{bmap-op-lookup} = (\lambda k m. \text{Trie2.lookup } m \ k),$   
 $\text{bmap-op-update} = \text{Trie2.update},$   
 $\text{bmap-op-update-dj} = \text{Trie2.update},$   
 $\text{bmap-op-delete} = \text{Trie2.delete},$   
 $\text{bmap-op-list-it} = \text{Trie2.iteratei}$   
 $\rangle$

$\langle \text{ML} \rangle$

**interpretation**  $\text{tm-basic}$ :  $\text{StdBasicMap } \text{tm-basic-ops}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**definition**  $[\text{icf-rec-def}]$ :  $\text{tm-ops} \equiv \text{tm-basic.dflt-ops}$

$\langle \text{ML} \rangle$

**interpretation**  $\text{tm}$ :  $\text{StdMap } \text{tm-ops}$

$\langle \text{proof} \rangle$

**interpretation**  $\text{tm}$ :  $\text{StdMap-no-invar } \text{tm-ops}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma**  $\text{pi-trie-impl}[\text{proper-it}]$ :

**shows**  $\text{proper-it}'$

$((\text{Trie-Impl.iteratei}) :: - \Rightarrow (-, \text{'}\sigma a) \text{ set-iterator})$

$((\text{Trie-Impl.iteratei}) :: - \Rightarrow (-, \text{'}\sigma b) \text{ set-iterator})$

$\langle \text{proof} \rangle$

**lemma**  $\text{pi-trie}[\text{proper-it}]$ :

$\text{proper-it}' \text{Trie2.iteratei } \text{Trie2.iteratei}$

$\langle \text{proof} \rangle$

**interpretation**  $\text{pi-trie}$ :  $\text{proper-it-loc } \text{Trie2.iteratei } \text{Trie2.iteratei}$

$\langle \text{proof} \rangle$

Code generator test

**definition**  $\text{test-codegen} \equiv ($

$\text{tm.add} ,$

$\text{tm.add-dj} ,$

$\text{tm.ball} ,$

$\text{tm.bex} ,$

$\text{tm.delete} ,$

```

tm.empty ,
tm.isEmpty ,
tm.isSng ,
tm.iterate ,
tm.iteratei ,
tm.list-it ,
tm.lookup ,
tm.restrict ,
tm.sel ,
tm.size ,
tm.size-abort ,
tm.sng ,
tm.to-list ,
tm.to-map ,
tm.update ,
tm.update-dj)

```

```
export-code test-codegen checking SML
```

```
end
```

### 3.3.9 Array-based hash map implementation

```
theory ArrayHashMap-Impl imports
```

```

../.. / Lib / HashCode
../.. / Lib / Code-Target-ICF
../.. / Lib / Diff-Array
../gen-algo / ListGA
ListMapImpl
../.. / Iterator / Array-Iterator

```

```
begin
```

```
Misc.
```

```
<ML>
```

```
interpretation a-idx-it:
```

```
idx-iteratei-loc list-of-array  $\lambda$ -. True array-length array-get
```

```
<proof>
```

```
<ML>
```

#### Type definition and primitive operations

```
definition load-factor :: nat — in percent
```

```
where load-factor = 75
```

We do not use  $(k, v)$  *assoc-list* for the buckets but plain lists of key-value pairs. This speeds up rehashing because we then do not have to go through the abstract operations.

```
datatype ('key, 'val) hashmap =
```

```
HashMap ('key  $\times$  'val) list array nat
```



**Operations**

**definition** *new-hashmap-with* ::  $\text{nat} \Rightarrow ('key :: \text{hashable}, 'val) \text{hashmap}$   
**where**  $\wedge \text{size}. \text{new-hashmap-with size} = \text{HashMap (new-array [] size) 0}$

**definition** *ahm-empty* ::  $\text{unit} \Rightarrow ('key :: \text{hashable}, 'val) \text{hashmap}$   
**where** *ahm-empty*  $\equiv \lambda\text{-}. \text{new-hashmap-with (def-hashmap-size TYPE('key))}$

**definition** *bucket-ok* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow (('key :: \text{hashable}) \times 'val) \text{list} \Rightarrow \text{bool}$   
**where** *bucket-ok len h kvs* =  $(\forall k \in \text{fst 'set kvs}. \text{bounded-hashcode-nat len } k = h)$

**definition** *ahm-invar-aux* ::  $\text{nat} \Rightarrow (('key :: \text{hashable}) \times 'val) \text{list array} \Rightarrow \text{bool}$   
**where**  
*ahm-invar-aux n a*  $\longleftrightarrow$   
 $(\forall h. h < \text{array-length } a \longrightarrow \text{bucket-ok (array-length } a) h (\text{array-get } a h) \wedge \text{distinct}$   
 $(\text{map fst (array-get } a h))) \wedge$   
 $\text{array-foldl } (\lambda\text{- } n \text{ kvs}. n + \text{size kvs}) 0 a = n \wedge$   
 $\text{array-length } a > 1$

**primrec** *ahm-invar* ::  $('key :: \text{hashable}, 'val) \text{hashmap} \Rightarrow \text{bool}$   
**where** *ahm-invar* (*HashMap a n*) = *ahm-invar-aux n a*

**definition** *ahm- $\alpha$ -aux* ::  $(('key :: \text{hashable}) \times 'val) \text{list array} \Rightarrow 'key \Rightarrow 'val \text{option}$   
**where** [*simp*]: *ahm- $\alpha$ -aux a k* = *map-of (array-get a (bounded-hashcode-nat (array-length a) k)) k*

**primrec** *ahm- $\alpha$*  ::  $('key :: \text{hashable}, 'val) \text{hashmap} \Rightarrow 'key \Rightarrow 'val \text{option}$   
**where**  
*ahm- $\alpha$*  (*HashMap a -*) = *ahm- $\alpha$ -aux a*

**definition** *ahm-lookup* ::  $'key \Rightarrow ('key :: \text{hashable}, 'val) \text{hashmap} \Rightarrow 'val \text{option}$   
**where** *ahm-lookup k hm* = *ahm- $\alpha$  hm k*

**primrec** *ahm-iteratei-aux* ::  $((('key :: \text{hashable}) \times 'val) \text{list array}) \Rightarrow ('key \times 'val, ' \sigma) \text{set-iterator}$   
**where** *ahm-iteratei-aux (Array xs) c f* = *foldli (concat xs) c f*

**primrec** *ahm-iteratei* ::  $(('key :: \text{hashable}, 'val) \text{hashmap}) \Rightarrow (('key \times 'val), ' \sigma) \text{set-iterator}$   
**where**  
*ahm-iteratei (HashMap a n)* = *ahm-iteratei-aux a*

**definition** *ahm-rehash-aux'* ::  $\text{nat} \Rightarrow 'key \times 'val \Rightarrow (('key :: \text{hashable}) \times 'val) \text{list array} \Rightarrow ('key \times 'val) \text{list array}$   
**where**  
*ahm-rehash-aux' n kv a* =  
 $(\text{let } h = \text{bounded-hashcode-nat } n (\text{fst } kv)$   
 $\text{in } \text{array-set } a h (kv \# \text{array-get } a h))$

**definition** *ahm-rehash-aux* :: (('key :: hashable) × 'val) list array ⇒ nat ⇒ ('key × 'val) list array

**where**

*ahm-rehash-aux* a sz = *ahm-iteratei-aux* a (λx. True) (*ahm-rehash-aux'* sz) (new-array [] sz)

**primrec** *ahm-rehash* :: ('key :: hashable, 'val) hashmap ⇒ nat ⇒ ('key, 'val) hashmap

**where** *ahm-rehash* (HashMap a n) sz = HashMap (*ahm-rehash-aux* a sz) n

**primrec** *hm-grow* :: ('key :: hashable, 'val) hashmap ⇒ nat

**where** *hm-grow* (HashMap a n) = 2 \* array-length a + 3

**primrec** *ahm-filled* :: ('key :: hashable, 'val) hashmap ⇒ bool

**where** *ahm-filled* (HashMap a n) = (array-length a \* load-factor ≤ n \* 100)

**primrec** *ahm-update-aux* :: ('key :: hashable, 'val) hashmap ⇒ 'key ⇒ 'val ⇒ ('key, 'val) hashmap

**where**

*ahm-update-aux* (HashMap a n) k v =  
 (let h = bounded-hashcode-nat (array-length a) k;  
 m = array-get a h;  
 insert = map-of m k = None  
 in HashMap (array-set a h (AList.update k v m)) (if insert then n + 1 else n))

**definition** *ahm-update* :: 'key ⇒ 'val ⇒ ('key :: hashable, 'val) hashmap ⇒ ('key, 'val) hashmap

**where**

*ahm-update* k v hm =  
 (let hm' = *ahm-update-aux* hm k v  
 in (if *ahm-filled* hm' then *ahm-rehash* hm' (hm-grow hm') else hm'))

**primrec** *ahm-delete* :: 'key ⇒ ('key :: hashable, 'val) hashmap ⇒ ('key, 'val) hashmap

**where**

*ahm-delete* k (HashMap a n) =  
 (let h = bounded-hashcode-nat (array-length a) k;  
 m = array-get a h;  
 deleted = (map-of m k ≠ None)  
 in HashMap (array-set a h (AList.delete k m)) (if deleted then n - 1 else n))

**lemma** *hm-grow-gt-1* [iff]:

*Suc* 0 < *hm-grow* hm

⟨proof⟩

**lemma** *bucket-ok-Nil* [simp]: bucket-ok len h [] = True

⟨proof⟩

**lemma** *bucket-okD*:

[[ *bucket-ok len h xs; (k, v) ∈ set xs* ]]  
 $\implies$  *bounded-hashcode-nat len k = h*  
 ⟨*proof*⟩

**lemma** *bucket-okI*:

( $\bigwedge k. k \in \text{fst } \text{'set kvs} \implies \text{bounded-hashcode-nat len k = h}$ )  $\implies$  *bucket-ok len h kvs*  
 ⟨*proof*⟩

*ahm-invar*

**lemma** *ahm-invar-auxE*:

**assumes** *ahm-invar-aux n a*  
**obtains**  $\forall h. h < \text{array-length } a \longrightarrow \text{bucket-ok (array-length } a) h (\text{array-get } a h)$   
 $\wedge \text{distinct (map fst (array-get } a h))$   
**and**  $n = \text{array-foldl } (\lambda - n \text{ kvs. } n + \text{length kvs}) 0 a$  **and** *array-length a > 1*  
 ⟨*proof*⟩

**lemma** *ahm-invar-auxI*:

[[  $\bigwedge h. h < \text{array-length } a \implies \text{bucket-ok (array-length } a) h (\text{array-get } a h);$   
 $\bigwedge h. h < \text{array-length } a \implies \text{distinct (map fst (array-get } a h));$   
 $n = \text{array-foldl } (\lambda - n \text{ kvs. } n + \text{length kvs}) 0 a; \text{array-length } a > 1$  ]]  
 $\implies$  *ahm-invar-aux n a*  
 ⟨*proof*⟩

**lemma** *ahm-invar-distinct-fst-concatD*:

**assumes** *inv: ahm-invar-aux n (Array xs)*  
**shows** *distinct (map fst (concat xs))*  
 ⟨*proof*⟩

*ahm-α*

**lemma** *finite-dom-ahm-α-aux*:

**assumes** *ahm-invar-aux n a*  
**shows** *finite (dom (ahm-α-aux a))*  
 ⟨*proof*⟩

**lemma** *ahm-α-aux-conv-map-of-concat*:

**assumes** *inv: ahm-invar-aux n (Array xs)*  
**shows** *ahm-α-aux (Array xs) = map-of (concat xs)*  
 ⟨*proof*⟩

**lemma** *ahm-invar-aux-card-dom-ahm-α-auxD*:

**assumes** *inv: ahm-invar-aux n a*  
**shows** *card (dom (ahm-α-aux a)) = n*  
 ⟨*proof*⟩

**lemma** *finite-dom-ahm-α*:

*ahm-invar hm  $\implies$  finite (dom (ahm-α hm))*

*<proof>*

**lemma** *finite-map-ahm- $\alpha$ -aux:*  
*finite-map ahm- $\alpha$ -aux (ahm-invar-aux n)*  
*<proof>*

**lemma** *finite-map-ahm- $\alpha$ :*  
*finite-map ahm- $\alpha$  ahm-invar*  
*<proof>*

*ahm-empty*

**lemma** *ahm-invar-aux-new-array:*  
**assumes** *n > 1*  
**shows** *ahm-invar-aux 0 (new-array [] n)*  
*<proof>*

**lemma** *ahm-invar-new-hashmap-with:*  
*n > 1  $\implies$  ahm-invar (new-hashmap-with n)*  
*<proof>*

**lemma** *ahm- $\alpha$ -new-hashmap-with:*  
*n > 1  $\implies$  ahm- $\alpha$  (new-hashmap-with n) = Map.empty*  
*<proof>*

**lemma** *ahm-invar-ahm-empty [simp]: ahm-invar (ahm-empty ())*  
*<proof>*

**lemma** *ahm-empty-correct [simp]: ahm- $\alpha$  (ahm-empty ()) = Map.empty*  
*<proof>*

**lemma** *ahm-empty-impl: map-empty ahm- $\alpha$  ahm-invar ahm-empty*  
*<proof>*

*ahm-lookup*

**lemma** *ahm-lookup-impl: map-lookup ahm- $\alpha$  ahm-invar ahm-lookup*  
*<proof>*

*ahm-iteratei*

**lemma** *ahm-iteratei-aux-impl:*  
**assumes** *invar-m: ahm-invar-aux n m*  
**shows** *map-iterator (ahm-iteratei-aux m) (ahm- $\alpha$ -aux m)*  
*<proof>*

**lemma** *ahm-iteratei-correct:*  
**assumes** *invar-hm: ahm-invar hm*  
**shows** *map-iterator (ahm-iteratei hm) (ahm- $\alpha$  hm)*  
*<proof>*

**lemma** *ahm-iteratei-aux-code* [code]:  
*ahm-iteratei-aux a c f  $\sigma = a$ -idx-it.idx-iteratei a c ( $\lambda x$ . foldli x c f)  $\sigma$*   
 ⟨proof⟩

*ahm-rehash*

**lemma** *array-length-ahm-rehash-aux'*:  
*array-length (ahm-rehash-aux' n kv a) = array-length a*  
 ⟨proof⟩

**lemma** *ahm-rehash-aux'-preserves-ahm-invar-aux*:  
**assumes** *inv*: *ahm-invar-aux n a*  
**and fresh**: *k  $\notin$  fst 'set (array-get a (bounded-hashcode-nat (array-length a) k))*  
**shows** *ahm-invar-aux (Suc n) (ahm-rehash-aux' (array-length a) (k, v) a)*  
 (**is** *ahm-invar-aux - ?a*)  
 ⟨proof⟩

**declare** [[*coercion-enabled = false*]]

**lemma** *ahm-rehash-aux-correct*:  
**fixes** *a* :: (*'key* :: *hashable*)  $\times$  *'val* list array  
**assumes** *inv*: *ahm-invar-aux n a*  
**and** *sz > 1*  
**shows** *ahm-invar-aux n (ahm-rehash-aux a sz) (is ?thesis1)*  
**and** *ahm- $\alpha$ -aux (ahm-rehash-aux a sz) = ahm- $\alpha$ -aux a (is ?thesis2)*  
 ⟨proof⟩

**lemma** *ahm-rehash-correct*:  
**fixes** *hm* :: (*'key* :: *hashable*, *'val*) hashmap  
**assumes** *inv*: *ahm-invar hm*  
**and** *sz > 1*  
**shows** *ahm-invar (ahm-rehash hm sz) ahm- $\alpha$  (ahm-rehash hm sz) = ahm- $\alpha$*   
*hm*  
 ⟨proof⟩

*ahm-update*

**lemma** *ahm-update-aux-correct*:  
**assumes** *inv*: *ahm-invar hm*  
**shows** *ahm-invar (ahm-update-aux hm k v) (is ?thesis1)*  
**and** *ahm- $\alpha$  (ahm-update-aux hm k v) = (ahm- $\alpha$  hm)(k  $\mapsto$  v) (is ?thesis2)*  
 ⟨proof⟩

**lemma** *ahm-update-correct*:  
**assumes** *inv*: *ahm-invar hm*  
**shows** *ahm-invar (ahm-update k v hm)*  
**and** *ahm- $\alpha$  (ahm-update k v hm) = (ahm- $\alpha$  hm)(k  $\mapsto$  v)*  
 ⟨proof⟩

**lemma** *ahm-update-impl*:  
*map-update ahm- $\alpha$  ahm-invar ahm-update*  
 <proof>

*ahm-delete*

**lemma** *ahm-delete-correct*:  
**assumes** *inv: ahm-invar hm*  
**shows** *ahm-invar (ahm-delete k hm) (is ?thesis1)*  
**and** *ahm- $\alpha$  (ahm-delete k hm) = (ahm- $\alpha$  hm) |' (- {k}) (is ?thesis2)*  
 <proof>

**lemma** *ahm-delete-impl*:  
*map-delete ahm- $\alpha$  ahm-invar ahm-delete*  
 <proof>

**hide-const (open)** *HashMap ahm-empty bucket-ok ahm-invar ahm- $\alpha$  ahm-lookup*  
*ahm-iteratei ahm-rehash hm-grow ahm-filled ahm-update ahm-delete*  
**hide-type (open)** *hashmap*

end

### 3.3.10 Array-based hash maps without explicit invariants

**theory** *ArrayHashMap*  
**imports** *ArrayHashMap-Impl*  
**begin**

#### Abstract type definition

**typedef (overloaded)** *('key :: hashable, 'val) hashmap =*  
*{hm :: ('key, 'val) ArrayHashMap-Impl.hashmap. ArrayHashMap-Impl.ahm-invar*  
*hm}*  
**morphisms** *impl-of HashMap*  
 <proof>

**type-synonym** *('k,'v) ahm = ('k,'v) hashmap*

**lemma** *ahm-invar-impl-of [simp, intro]: ArrayHashMap-Impl.ahm-invar (impl-of*  
*hm)*  
 <proof>

**lemma** *HashMap-impl-of [code abstype]: HashMap (impl-of t) = t*  
 <proof>

#### Primitive operations

**definition** *ahm-empty-const :: ('key :: hashable, 'val) hashmap*  
**where** *ahm-empty-const  $\equiv$  (HashMap (ArrayHashMap-Impl.ahm-empty ()))*

**definition**  $ahm\text{-}empty :: unit \Rightarrow ('key :: hashable, 'val) hashmap$   
**where**  $ahm\text{-}empty \equiv \lambda\cdot. ahm\text{-}empty\text{-}const$

**definition**  $ahm\text{-}\alpha :: ('key :: hashable, 'val) hashmap \Rightarrow 'key \Rightarrow 'val\ option$   
**where**  $ahm\text{-}\alpha\ hm = ArrayHashMap\text{-}Impl.ahm\text{-}\alpha\ (impl\text{-}of\ hm)$

**definition**  $ahm\text{-}lookup :: 'key \Rightarrow ('key :: hashable, 'val) hashmap \Rightarrow 'val\ option$   
**where**  $ahm\text{-}lookup\ k\ hm = ArrayHashMap\text{-}Impl.ahm\text{-}lookup\ k\ (impl\text{-}of\ hm)$

**definition**  $ahm\text{-}iteratei :: ('key :: hashable, 'val) hashmap \Rightarrow ('key \times 'val, 'a)\ set\text{-}iterator$   
**where**  $ahm\text{-}iteratei\ hm = ArrayHashMap\text{-}Impl.ahm\text{-}iteratei\ (impl\text{-}of\ hm)$

**definition**  $ahm\text{-}update :: 'key \Rightarrow 'val \Rightarrow ('key :: hashable, 'val) hashmap \Rightarrow ('key, 'val) hashmap$   
**where**  
 $ahm\text{-}update\ k\ v\ hm = HashMap\ (ArrayHashMap\text{-}Impl.ahm\text{-}update\ k\ v\ (impl\text{-}of\ hm))$

**definition**  $ahm\text{-}delete :: 'key \Rightarrow ('key :: hashable, 'val) hashmap \Rightarrow ('key, 'val) hashmap$   
**where**  
 $ahm\text{-}delete\ k\ hm = HashMap\ (ArrayHashMap\text{-}Impl.ahm\text{-}delete\ k\ (impl\text{-}of\ hm))$

**lemma**  $impl\text{-}of\text{-}ahm\text{-}empty$  [code abstract]:  
 $impl\text{-}of\ ahm\text{-}empty\text{-}const = ArrayHashMap\text{-}Impl.ahm\text{-}empty\ ()$   
 $\langle proof \rangle$

**lemma**  $impl\text{-}of\text{-}ahm\text{-}update$  [code abstract]:  
 $impl\text{-}of\ (ahm\text{-}update\ k\ v\ hm) = ArrayHashMap\text{-}Impl.ahm\text{-}update\ k\ v\ (impl\text{-}of\ hm)$   
 $\langle proof \rangle$

**lemma**  $impl\text{-}of\text{-}ahm\text{-}delete$  [code abstract]:  
 $impl\text{-}of\ (ahm\text{-}delete\ k\ hm) = ArrayHashMap\text{-}Impl.ahm\text{-}delete\ k\ (impl\text{-}of\ hm)$   
 $\langle proof \rangle$

**lemma**  $finite\text{-}dom\text{-}ahm\text{-}\alpha$ [simp]:  $finite\ (dom\ (ahm\text{-}\alpha\ hm))$   
 $\langle proof \rangle$

**lemma**  $ahm\text{-}empty\text{-}correct$ [simp]:  $ahm\text{-}\alpha\ (ahm\text{-}empty\ ()) = Map.empty$   
 $\langle proof \rangle$

**lemma**  $ahm\text{-}lookup\text{-}correct$ [simp]:  $ahm\text{-}lookup\ k\ m = ahm\text{-}\alpha\ m\ k$   
 $\langle proof \rangle$

**lemma**  $ahm\text{-}update\text{-}correct$ [simp]:  $ahm\text{-}\alpha\ (ahm\text{-}update\ k\ v\ hm) = (ahm\text{-}\alpha\ hm)(k \mapsto v)$   
 $\langle proof \rangle$

**lemma** *ahm-delete-correct*[simp]:  
 $ahm-\alpha (ahm-delete\ k\ hm) = (ahm-\alpha\ hm) \uparrow (-\ \{k\})$   
 ⟨proof⟩

**lemma** *ahm-iteratei-impl*[simp]: *map-iterator* (*ahm-iteratei* *m*) (*ahm- $\alpha$*  *m*)  
 ⟨proof⟩

### ICF Integration

**definition** [*icf-rec-def*]: *ahm-basic-ops*  $\equiv$  (  
 $bmap-op-\alpha = ahm-\alpha,$   
 $bmap-op-invar = \lambda-. True,$   
 $bmap-op-empty = ahm-empty,$   
 $bmap-op-lookup = ahm-lookup,$   
 $bmap-op-update = ahm-update,$   
 $bmap-op-update-dj = ahm-update,$  — TODO: We could use a more efficient bucket  
 update here  
 $bmap-op-delete = ahm-delete,$   
 $bmap-op-list-it = ahm-iteratei$   
 )

⟨ML⟩

**interpretation** *ahm-basic*: *StdBasicMap* *ahm-basic-ops*  
 ⟨proof⟩  
 ⟨ML⟩

**definition** [*icf-rec-def*]: *ahm-ops*  $\equiv$  *ahm-basic.dflt-ops*

⟨ML⟩

**interpretation** *ahm*: *StdMap* *ahm-ops*  
 ⟨proof⟩

**interpretation** *ahm*: *StdMap-no-invar* *ahm-ops*  
 ⟨proof⟩  
 ⟨ML⟩

**lemma** *pi-ahm*[*proper-it*]:  
 $proper-it'\ ahm-iteratei\ ahm-iteratei$   
 ⟨proof⟩

**interpretation** *pi-ahm*: *proper-it-loc* *ahm-iteratei* *ahm-iteratei*  
 ⟨proof⟩

Code generator test

**definition** *test-codegen* **where** *test-codegen*  $\equiv$  (  
 $ahm.add$  ,  
 $ahm.add-dj$  ,  
 $ahm.ball$  ,  
 $ahm.bex$  ,  
 $ahm.delete$  ,



```

ahm.empty ,
ahm.isEmpty ,
ahm.isSng ,
ahm.iterate ,
ahm.iteratei ,
ahm.list-it ,
ahm.lookup ,
ahm.restrict ,
ahm.sel ,
ahm.size ,
ahm.size-abort ,
ahm.sng ,
ahm.to-list ,
ahm.to-map ,
ahm.update ,
ahm.update-dj)

```

**export-code** *test-codegen checking SML*

**end**

### 3.3.11 Maps from Naturals by Arrays

**theory** *ArrayMapImpl*

**imports**

```

../spec/MapSpec
../gen-algo/MapGA
../.. / Lib / Diff-Array

```

**begin** **type-synonym** *'v iam = 'v option array*

#### Definitions

**definition** *iam- $\alpha$*  :: *'v iam  $\Rightarrow$  nat  $\rightarrow$  'v* **where**  
*iam- $\alpha$  a i  $\equiv$  if  $i < \text{array-length } a$  then  $\text{array-get } a \ i$  else None*

**lemma** [*code*]: *iam- $\alpha$  a i  $\equiv$  array-get-oo None a i*  
 <*proof*>

**abbreviation** (*input*) *iam-invar* :: *'v iam  $\Rightarrow$  bool*  
**where** *iam-invar  $\equiv$   $\lambda$ -. True*

**definition** *iam-empty* :: *unit  $\Rightarrow$  'v iam*  
**where** *iam-empty  $\equiv$   $\lambda$ ::unit. array-of-list []*

**definition** *iam-lookup* :: *nat  $\Rightarrow$  'v iam  $\rightarrow$  'v*  
**where** [*code-unfold*]: *iam-lookup k a  $\equiv$  iam- $\alpha$  a k*

**definition** *iam-increment (l::nat) idx  $\equiv$*   
*max (idx + 1 - l) (2 \* l + 3)*

**lemma** *incr-correct*:  $\neg \text{idx} < l \implies \text{idx} < l + \text{iam-increment } l \text{ idx}$   
 <proof>

**definition** *iam-update* ::  $\text{nat} \Rightarrow 'v \Rightarrow 'v \text{ iam} \Rightarrow 'v \text{ iam}$   
**where** *iam-update*  $k \ v \ a \equiv \text{let}$   
 $l = \text{array-length } a;$   
 $a = \text{if } k < l \text{ then } a \text{ else } \text{array-grow } a \ (\text{iam-increment } l \ k) \ \text{None}$   
 in  
 $\text{array-set } a \ k \ (\text{Some } v)$

**lemma** [*code*]: *iam-update*  $k \ v \ a \equiv \text{array-set-oo}$   
 ( $\lambda-. \text{array-set}$   
 ( $\text{array-grow } a \ (\text{iam-increment } (\text{array-length } a) \ k) \ \text{None}$ )  $k \ (\text{Some } v)$ )  
 $a \ k \ (\text{Some } v)$   
 <proof>

**definition** *iam-update-dj*  $\equiv \text{iam-update}$

**definition** *iam-delete* ::  $\text{nat} \Rightarrow 'v \text{ iam} \Rightarrow 'v \text{ iam}$   
**where** *iam-delete*  $k \ a \equiv$   
 $\text{if } k < \text{array-length } a \text{ then } \text{array-set } a \ k \ \text{None} \text{ else } a$

**lemma** [*code*]: *iam-delete*  $k \ a \equiv \text{array-set-oo } (\lambda-. a) \ a \ k \ \text{None}$   
 <proof>

**fun** *iam-rev-iterateoi-aux*  
 ::  $\text{nat} \Rightarrow ('v \text{ iam}) \Rightarrow ('\sigma \Rightarrow \text{bool}) \Rightarrow (\text{nat} \times 'v \Rightarrow 'v \Rightarrow '\sigma) \Rightarrow 'v \Rightarrow '\sigma$   
**where**  
 $\text{iam-rev-iterateoi-aux } 0 \ a \ c \ f \ \sigma = \sigma$   
 $|\ \text{iam-rev-iterateoi-aux } i \ a \ c \ f \ \sigma =$   
 $\text{if } c \ \sigma \text{ then}$   
 $\text{iam-rev-iterateoi-aux } (i - 1) \ a \ c \ f \ ($   
 $\text{case } \text{array-get } a \ (i - 1) \ \text{of } \text{None} \Rightarrow \sigma \ | \ \text{Some } x \Rightarrow f \ (i - 1, x) \ \sigma$   
 $)$   
 $\text{else } \sigma)$

**definition** *iam-rev-iterateoi* ::  $'v \text{ iam} \Rightarrow (\text{nat} \times 'v, '\sigma) \text{ set-iterator}$  **where**  
 $\text{iam-rev-iterateoi } a \equiv \text{iam-rev-iterateoi-aux } (\text{array-length } a) \ a$

**function** *iam-iterateoi-aux*  
 ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow ('v \text{ iam}) \Rightarrow ('\sigma \Rightarrow \text{bool}) \Rightarrow (\text{nat} \times 'v \Rightarrow 'v \Rightarrow '\sigma) \Rightarrow 'v \Rightarrow '\sigma$   
**where**  
 $\text{iam-iterateoi-aux } i \ \text{len} \ a \ c \ f \ \sigma =$   
 $(\text{if } i \geq \text{len} \vee \neg c \ \sigma \text{ then } \sigma \text{ else let}$   
 $\sigma' = (\text{case } \text{array-get } a \ i \ \text{of}$   
 $\text{None} \Rightarrow \sigma$   
 $|\ \text{Some } x \Rightarrow f \ (i, x) \ \sigma)$

```

      in iam-iterateoi-aux (i + 1) len a c f σ'
    <proof>
termination
    <proof>

declare iam-iterateoi-aux.simps[simp del]

lemma iam-iterateoi-aux-csimps:
  i ≥ len ⇒ iam-iterateoi-aux i len a c f σ = σ
  ¬ c σ ⇒ iam-iterateoi-aux i len a c f σ = σ
  [[ i < len; c σ ]] ⇒ iam-iterateoi-aux i len a c f σ =
    (case array-get a i of
     | None ⇒ iam-iterateoi-aux (i + 1) len a c f σ
     | Some x ⇒ iam-iterateoi-aux (i + 1) len a c f (f (i,x) σ))
  <proof>

definition iam-iterateoi :: 'v iam ⇒ (nat × 'v,'σ) set-iterator where
  iam-iterateoi a = iam-iterateoi-aux 0 (array-length a) a

lemma iam-empty-impl: map-empty iam-α iam-invar iam-empty
  <proof>

lemma iam-lookup-impl: map-lookup iam-α iam-invar iam-lookup
  <proof>

lemma array-get-set-iff: i < array-length a ⇒
  array-get (array-set a i x) j = (if i=j then x else array-get a j)
  <proof>

lemma iam-update-impl: map-update iam-α iam-invar iam-update
  <proof>

lemma iam-update-dj-impl: map-update-dj iam-α iam-invar iam-update-dj
  <proof>

lemma iam-delete-impl: map-delete iam-α iam-invar iam-delete
  <proof>

lemma iam-rev-iterateoi-aux-foldli-conv :
  iam-rev-iterateoi-aux n a =
    foldli (List.map-filter (λn. map-option (λv. (n, v)) (array-get a n))) (rev
[0..<n])
  <proof>

lemma iam-rev-iterateoi-foldli-conv :
  iam-rev-iterateoi a =
    foldli (List.map-filter
(λn. map-option (λv. (n, v)) (array-get a n))
(rev [0..<(array-length a)]))

```

*<proof>*

**lemma** *iam-rev-iterateoi-correct* :  
**fixes** *m::'a option array*  
**defines** *kvs*  $\equiv$  *List.map-filter*  
 $(\lambda n. \text{map-option } (\lambda v. (n, v)) (\text{array-get } m \ n)) (\text{rev } [0..<(\text{array-length } m)])$   
**shows** *map-iterator-rev-linord* (*iam-rev-iterateoi m*) (*iam- $\alpha$  m*)  
*<proof>*

**lemma** *iam-rev-iterateoi-impl*:  
*poly-map-rev-iterateoi iam- $\alpha$  iam-invar iam-rev-iterateoi*  
*<proof>*

**lemma** *iam-iterateoi-impl*:  
*poly-map-iterateoi iam- $\alpha$  iam-invar iam-rev-iterateoi*  
*<proof>*

**lemma** *iam-iterateoi-aux-foldli-conv* :  
*iam-iterateoi-aux n (array-length a) a c f  $\sigma$  =*  
*foldli (List.map-filter ( $\lambda n. \text{map-option } (\lambda v. (n, v)) (\text{array-get } a \ n)$ ))*  
*([n..<array-length a])) c f  $\sigma$*   
**thm** *iam-iterateoi-aux.induct*  
*<proof>*

**lemma** *iam-iterateoi-foldli-conv* :  
*iam-iterateoi a =*  
*foldli (List.map-filter*  
 $(\lambda n. \text{map-option } (\lambda v. (n, v)) (\text{array-get } a \ n))$   
 $([0..<(\text{array-length } a)]))$   
*<proof>*

**lemmas** [*simp*] = *map-filter-simps*  
**lemma** *map-filter-append[simp]*: *List.map-filter f (la@lb)*  
 $= \text{List.map-filter } f \ la \ @ \ \text{List.map-filter } f \ lb$   
*<proof>*

**lemma** *iam-iterateoi-correct*:  
**fixes** *m::'a option array*  
**defines** *kvs*  $\equiv$  *List.map-filter*  
 $(\lambda n. \text{map-option } (\lambda v. (n, v)) (\text{array-get } m \ n)) ([0..<(\text{array-length } m)])$   
**shows** *map-iterator-linord* (*iam-iterateoi m*) (*iam- $\alpha$  m*)  
*<proof>*

**lemma** *iam-iterateoi-impl*:  
*poly-map-iterateoi iam- $\alpha$  iam-invar iam-iterateoi*  
*<proof>*

**definition** *iam-basic-ops* ::  $(\text{nat}, 'a, 'a \ \text{iam}) \ \text{omap-basic-ops}$

**where**  $[icf-rec-def]: iam-basic-ops \equiv ($   
 $bmap-op-\alpha = iam-\alpha,$   
 $bmap-op-invar = \lambda-. True,$   
 $bmap-op-empty = iam-empty,$   
 $bmap-op-lookup = iam-lookup,$   
 $bmap-op-update = iam-update,$   
 $bmap-op-update-dj = iam-update-dj,$   
 $bmap-op-delete = iam-delete,$   
 $bmap-op-list-it = iam-rev-iterateoi,$   
 $bmap-op-ordered-list-it = iam-iterateoi,$   
 $bmap-op-rev-list-it = iam-rev-iterateoi$   
 $)$

$\langle ML \rangle$

**interpretation**  $iam-basic: StdBasicOMap\ iam-basic-ops$

$\langle proof \rangle$

$\langle ML \rangle$

**definition**  $[icf-rec-def]: iam-ops \equiv iam-basic.dflt-ops$

$\langle ML \rangle$

**interpretation**  $iam: StdOMap\ iam-ops$

$\langle proof \rangle$

**interpretation**  $iam: StdMap-no-invar\ iam-ops$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $pi-iam[proper-it]:$

$proper-it' iam-iterateoi iam-iterateoi$

$\langle proof \rangle$

**lemma**  $pi-iam-rev[proper-it]:$

$proper-it' iam-rev-iterateoi iam-rev-iterateoi$

$\langle proof \rangle$

**interpretation**  $pi-iam: proper-it-loc\ iam-iterateoi iam-iterateoi$

$\langle proof \rangle$

**interpretation**  $pi-iam-rev: proper-it-loc\ iam-rev-iterateoi iam-rev-iterateoi$

$\langle proof \rangle$

Code generator test

**definition**  $test-codegen \equiv ($

$iam.add ,$

$iam.add-dj ,$

$iam.ball ,$

$iam.bex ,$

$iam.delete ,$

$iam.empty ,$

```

    iam.isEmpty ,
    iam.isSng ,
    iam.iterate ,
    iam.iteratei ,
    iam.iterateo ,
    iam.iterateoi ,
    iam.list-it ,
    iam.lookup ,
    iam.max ,
    iam.min ,
    iam.restrict ,
    iam.rev-iterateo ,
    iam.rev-iterateoi ,
    iam.rev-list-it ,
    iam.reverse-iterateo ,
    iam.reverse-iterateoi ,
    iam.sel ,
    iam.size ,
    iam.size-abort ,
    iam.sng ,
    iam.to-list ,
    iam.to-map ,
    iam.to-rev-list ,
    iam.to-sorted-list ,
    iam.update ,
    iam.update-dj)

```

```

export-code test-codegen checking SML

```

```

end

```

### 3.3.12 Standard Implementations of Maps

```

theory MapStdImpl
imports
  ListMapImpl
  ListMapImpl-Invar
  RBMapImpl
  HashMap
  TrieMapImpl
  ArrayHashMap
  ArrayMapImpl
begin

```

This theory summarizes various standard implementation of maps, namely list-maps, RB-tree-maps, trie-maps, hashmaps, indexed array maps.

```

end

```

### 3.3.13 Set Implementation by List

```

theory ListSetImpl
imports ../spec/SetSpec    ../gen-algo/SetGA    ../../Lib/Dlist-add
begin type-synonym
  'a ls = 'a dlist

```

#### Definitions

**definition**  $ls-\alpha :: 'a\ ls \Rightarrow 'a\ set$  **where**  $ls-\alpha\ l == set\ (list-of-dlist\ l)$

**definition**  $ls-basic-ops :: ('a, 'a\ ls)\ set-basic-ops$  **where**

```

[icf-rec-def]:  $ls-basic-ops \equiv ()$ 
  bset-op- $\alpha = ls-\alpha$ ,
  bset-op-invar =  $\lambda-. True$ ,
  bset-op-empty =  $\lambda-. Dlist.empty$ ,
  bset-op-memb =  $(\lambda x\ s.\ Dlist.member\ s\ x)$ ,
  bset-op-ins =  $Dlist.insert$ ,
  bset-op-ins-dj =  $Dlist.insert$ ,
  bset-op-delete =  $dlist-remove'$ ,
  bset-op-list-it =  $dlist-iteratei$ 
)

```

$\langle ML \rangle$

**interpretation**  $ls-basic: StdBasicSet\ ls-basic-ops$

$\langle proof \rangle$

$\langle ML \rangle$

**definition** [icf-rec-def]:  $ls-ops \equiv ls-basic.dflt-ops()$

$set-op-to-list := list-of-dlist$

)

$\langle ML \rangle$

**interpretation**  $ls: StdSetDefs\ ls-ops\ \langle proof \rangle$

**interpretation**  $ls: StdSet\ ls-ops$

$\langle proof \rangle$

**interpretation**  $ls: StdSet-no-invar\ ls-ops$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $pi-ls[proper-it]:$

$proper-it'\ dlist-iteratei\ dlist-iteratei$

$\langle proof \rangle$

**lemma**  $pi-ls'[proper-it]:$

$proper-it'\ ls.iteratei\ ls.iteratei$

$\langle proof \rangle$

**interpretation**  $pi-ls: proper-it-loc\ dlist-iteratei\ dlist-iteratei$

*<proof>*

**interpretation** *pi-ls'*: *proper-it-loc ls.iteratei ls.iteratei*  
*<proof>*

**definition** *test-codegen* **where** *test-codegen*  $\equiv$  (  
*ls.empty,*  
*ls.memb,*  
*ls.ins,*  
*ls.delete,*  
*ls.list-it,*  
*ls.sng,*  
*ls.isEmpty,*  
*ls.isSng,*  
*ls.ball,*  
*ls.bex,*  
*ls.size,*  
*ls.size-abort,*  
*ls.union,*  
*ls.union-dj,*  
*ls.diff,*  
*ls.filter,*  
*ls.inter,*  
*ls.subset,*  
*ls.equal,*  
*ls.disjoint,*  
*ls.disjoint-witness,*  
*ls.sel,*  
*ls.to-list,*  
*ls.from-list*  
 )

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.14 Set Implementation by List with explicit invariants

**theory** *ListSetImpl-Invar*

**imports**

*../spec/SetSpec*

*../gen-algo/SetGA*

*../Lib/Dlist-add*

**begin type-synonym**

*'a lsi = 'a list*

#### Definitions

**definition** *lsi-ins* :: *'a  $\Rightarrow$  'a lsi  $\Rightarrow$  'a lsi* **where** *lsi-ins* *x l == if List.member l x then l else x#l*



**definition** *lsi-basic-ops* :: ('a,'a lsi) *set-basic-ops* **where**

```
[icf-rec-def]: lsi-basic-ops ≡ (
  bset-op-α = set,
  bset-op-invar = distinct,
  bset-op-empty = λ-. [],
  bset-op-memb = (λx s. List.member s x),
  bset-op-ins = lsi-ins,
  bset-op-ins-dj = (#),
  bset-op-delete = λx l. Dlist-add.dlist-remove1' x [] l,
  bset-op-list-it = foldli
)
```

⟨ML⟩

**interpretation** *lsi-basic*: *StdBasicSet lsi-basic-ops*

⟨proof⟩

⟨ML⟩

**definition** [icf-rec-def]: *lsi-ops* ≡ *lsi-basic.dflt-ops* (

```
set-op-union-dj := (@),
set-op-to-list := id
```

)

⟨ML⟩

**interpretation** *lsi*: *StdSet lsi-ops*

⟨proof⟩

⟨ML⟩

**lemma** *pi-lsi[proper-it]*:

```
proper-it' foldli foldli
```

⟨proof⟩

**interpretation** *pi-lsi*: *proper-it-loc foldli foldli*

⟨proof⟩

**definition** *test-codegen* **where** *test-codegen* ≡ (

```
lsi.empty,
lsi.memb,
lsi.ins,
lsi.delete,
lsi.list-it,
lsi.sng,
lsi.isEmpty,
lsi.isSng,
lsi.ball,
lsi.bex,
lsi.size,
lsi.size-abort,
lsi.union,
```

```

    lsi.union-dj,
    lsi.diff,
    lsi.filter,
    lsi.inter,
    lsi.subset,
    lsi.equal,
    lsi.disjoint,
    lsi.disjoint-witness,
    lsi.sel,
    lsi.to-list,
    lsi.from-list
  )

```

```

export-code test-codegen checking SML

```

```

end

```

### 3.3.15 Set Implementation by non-distinct Lists

```

theory ListSetImpl-NotDist

```

```

imports

```

```

  ../spec/SetSpec
  ../gen-algo/SetGA

```

```

begin type-synonym

```

```

  'a lsnd = 'a list

```

#### Definitions

```

definition lsnd- $\alpha$  :: 'a lsnd  $\Rightarrow$  'a set where lsnd- $\alpha$  == set

```

```

abbreviation (input) lsnd-invar

```

```

  :: 'a lsnd  $\Rightarrow$  bool where lsnd-invar == ( $\lambda$ -. True)

```

```

definition lsnd-empty :: unit  $\Rightarrow$  'a lsnd where lsnd-empty == ( $\lambda$ -.unit. [])

```

```

definition lsnd-memb :: 'a  $\Rightarrow$  'a lsnd  $\Rightarrow$  bool where lsnd-memb x l == List.member
  l x

```

```

definition lsnd-ins :: 'a  $\Rightarrow$  'a lsnd  $\Rightarrow$  'a lsnd where lsnd-ins x l == x#l

```

```

definition lsnd-ins-dj :: 'a  $\Rightarrow$  'a lsnd  $\Rightarrow$  'a lsnd where lsnd-ins-dj x l == x#l

```

```

definition lsnd-delete :: 'a  $\Rightarrow$  'a lsnd  $\Rightarrow$  'a lsnd where lsnd-delete x l == re-
  move-rev x l

```

```

definition lsnd-iteratei :: 'a lsnd  $\Rightarrow$  ('a,' $\sigma$ ) set-iterator

```

```

where lsnd-iteratei l = foldli (remdups l)

```

```

definition lsnd-isEmpty :: 'a lsnd  $\Rightarrow$  bool where lsnd-isEmpty s == s=[]

```

```

definition lsnd-union :: 'a lsnd  $\Rightarrow$  'a lsnd  $\Rightarrow$  'a lsnd

```

```

  where lsnd-union s1 s2 == revg s1 s2

```

```

definition lsnd-union-dj :: 'a lsnd  $\Rightarrow$  'a lsnd  $\Rightarrow$  'a lsnd

```

```

  where lsnd-union-dj s1 s2 == revg s1 s2 — Union of disjoint sets

```

**definition** *lsnd-to-list* :: 'a *lsnd*  $\Rightarrow$  'a *list* **where** *lsnd-to-list* == *remdups*

**definition** *list-to-lsnd* :: 'a *list*  $\Rightarrow$  'a *lsnd* **where** *list-to-lsnd* == *id*

### Correctness

**lemmas** *lsnd-defs* =

*lsnd- $\alpha$ -def*

*lsnd-empty-def*

*lsnd-memb-def*

*lsnd-ins-def*

*lsnd-ins-dj-def*

*lsnd-delete-def*

*lsnd-iteratei-def*

*lsnd-isEmpty-def*

*lsnd-union-def*

*lsnd-union-dj-def*

*lsnd-to-list-def*

*list-to-lsnd-def*

**lemma** *lsnd-empty-impl*: *set-empty lsnd- $\alpha$  lsnd-invar lsnd-empty*  
 <proof>

**lemma** *lsnd-memb-impl*: *set-memb lsnd- $\alpha$  lsnd-invar lsnd-memb*  
 <proof>

**lemma** *lsnd-ins-impl*: *set-ins lsnd- $\alpha$  lsnd-invar lsnd-ins*  
 <proof>

**lemma** *lsnd-ins-dj-impl*: *set-ins-dj lsnd- $\alpha$  lsnd-invar lsnd-ins-dj*  
 <proof>

**lemma** *lsnd-delete-impl*: *set-delete lsnd- $\alpha$  lsnd-invar lsnd-delete*  
 <proof>

**lemma** *lsnd- $\alpha$ -finite[simp, intro!]*: *finite (lsnd- $\alpha$  l)*  
 <proof>

**lemma** *lsnd-is-finite-set*: *finite-set lsnd- $\alpha$  lsnd-invar*  
 <proof>

**lemma** *lsnd-iteratei-impl*: *poly-set-iteratei lsnd- $\alpha$  lsnd-invar lsnd-iteratei*  
 <proof>

**lemma** *lsnd-isEmpty-impl*: *set-isEmpty lsnd- $\alpha$  lsnd-invar lsnd-isEmpty*  
 <proof>

**lemma** *lsnd-union-impl*: *set-union lsnd- $\alpha$  lsnd-invar lsnd- $\alpha$  lsnd-invar lsnd- $\alpha$  lsnd-invar*  
*lsnd-union*

*<proof>*

**lemma** *lsnd-union-dj-impl: set-union-dj lsnd- $\alpha$  lsnd-invar lsnd- $\alpha$  lsnd-invar lsnd- $\alpha$  lsnd-invar lsnd-union-dj*  
*<proof>*

**lemma** *lsnd-to-list-impl: set-to-list lsnd- $\alpha$  lsnd-invar lsnd-to-list*  
*<proof>*

**lemma** *list-to-lsnd-impl: list-to-set lsnd- $\alpha$  lsnd-invar list-to-lsnd*  
*<proof>*

**definition** *lsnd-basic-ops :: ('x,'x lsnd) set-basic-ops*

**where** [*icf-rec-def*]: *lsnd-basic-ops*  $\equiv$  (  
*bset-op- $\alpha$*  = *lsnd- $\alpha$* ,  
*bset-op-invar* = *lsnd-invar*,  
*bset-op-empty* = *lsnd-empty*,  
*bset-op-memb* = *lsnd-memb*,  
*bset-op-ins* = *lsnd-ins*,  
*bset-op-ins-dj* = *lsnd-ins-dj*,  
*bset-op-delete* = *lsnd-delete*,  
*bset-op-list-it* = *lsnd-iteratei*  
 $\rangle$

*<ML>*

**interpretation** *lsnd-basic: StdBasicSet lsnd-basic-ops*  
*<proof>*  
*<ML>*

**definition** [*icf-rec-def*]: *lsnd-ops*  $\equiv$  *lsnd-basic.dflt-ops* (  
*set-op-isEmpty* := *lsnd-isEmpty*,  
*set-op-union* := *lsnd-union*,  
*set-op-union-dj* := *lsnd-union-dj*,  
*set-op-to-list* := *lsnd-to-list*,  
*set-op-from-list* := *list-to-lsnd*  
 $\rangle$

*<ML>*

**interpretation** *lsnd: StdSetDefs lsnd-ops* *<proof>*

**interpretation** *lsnd: StdSet lsnd-ops*

*<proof>*

**interpretation** *lsnd: StdSet-no-invar lsnd-ops*

*<proof>*

*<ML>*

**lemma** *pi-lsnd[proper-it]:*

*proper-it' lsnd-iteratei lsnd-iteratei*

*<proof>*

**interpretation** *pi-lsnd*: *proper-it-loc lsnd-iteratei lsnd-iteratei*  
*<proof>*

**definition** *test-codegen* **where** *test-codegen*  $\equiv$  (  
*lsnd.empty*,  
*lsnd.memb*,  
*lsnd.ins*,  
*lsnd.delete*,  
*lsnd.list-it*,  
*lsnd.sng*,  
*lsnd.isEmpty*,  
*lsnd.isSng*,  
*lsnd.ball*,  
*lsnd.bex*,  
*lsnd.size*,  
*lsnd.size-abort*,  
*lsnd.union*,  
*lsnd.union-dj*,  
*lsnd.diff*,  
*lsnd.filter*,  
*lsnd.inter*,  
*lsnd.subset*,  
*lsnd.equal*,  
*lsnd.disjoint*,  
*lsnd.disjoint-witness*,  
*lsnd.sel*,  
*lsnd.to-list*,  
*lsnd.from-list*  
 )

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.16 Set Implementation by sorted Lists

**theory** *ListSetImpl-Sorted*

**imports**

*../spec/SetSpec*

*../gen-algo/SetGA*

*../Lib/Sorted-List-Operations*

**begin type-synonym**

*'a lss = 'a list*

#### Definitions

**definition** *lss- $\alpha$*  :: *'a lss*  $\Rightarrow$  *'a set* **where** *lss- $\alpha$*  == *set*

**definition** *lss-invar* :: *'a::{\linorder}* *lss*  $\Rightarrow$  *bool* **where** *lss-invar l* == *distinct l*  $\wedge$  *sorted l*

**definition** *lss-empty* :: *unit*  $\Rightarrow$  *'a lss* **where** *lss-empty* == ( $\lambda::unit.$  [])

**definition** *lss-memb* :: *'a::{\linorder}*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *bool* **where** *lss-memb* *x l* == *Sorted-List-Operations.memb-sorted* *l x*

**definition** *lss-ins* :: *'a::{\linorder}*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *'a lss* **where** *lss-ins* *x l* == *insertion-sort* *x l*

**definition** *lss-ins-dj* :: *'a::{\linorder}*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *'a lss* **where** *lss-ins-dj* == *lss-ins*

**definition** *lss-delete* :: *'a::{\linorder}*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *'a lss* **where** *lss-delete* *x l* == *delete-sorted* *x l*

**definition** *lss-iterateoi* :: *'a lss*  $\Rightarrow$  (*'a,' $\sigma$* ) *set-iterator*  
**where** *lss-iterateoi* *l* = *foldli* *l*

**definition** *lss-reverse-iterateoi* :: *'a lss*  $\Rightarrow$  (*'a,' $\sigma$* ) *set-iterator*  
**where** *lss-reverse-iterateoi* *l* = *foldli* (*rev* *l*)

**definition** *lss-iteratei* :: *'a lss*  $\Rightarrow$  (*'a,' $\sigma$* ) *set-iterator*  
**where** *lss-iteratei* *l* = *foldli* *l*

**definition** *lss-isEmpty* :: *'a lss*  $\Rightarrow$  *bool* **where** *lss-isEmpty* *s* == *s* == []

**definition** *lss-union* :: *'a::{\linorder}* *lss*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *'a lss*  
**where** *lss-union* *s1 s2* == *Misc.merge* *s1 s2*

**definition** *lss-union-list* :: *'a::{\linorder}* *lss list*  $\Rightarrow$  *'a lss*  
**where** *lss-union-list* *l* == *merge-list* [] *l*

**definition** *lss-inter* :: *'a::{\linorder}* *lss*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *'a lss*  
**where** *lss-inter* == *inter-sorted*

**definition** *lss-union-dj* :: *'a::{\linorder}* *lss*  $\Rightarrow$  *'a lss*  $\Rightarrow$  *'a lss*  
**where** *lss-union-dj* == *lss-union* — Union of disjoint sets

**definition** *lss-image-filter*  
**where** *lss-image-filter* *f l* =  
*mergesort-remdups* (*List.map-filter* *f l*)

**definition** *lss-filter* **where** [code-unfold]: *lss-filter* = *List.filter*

**definition** *lss-inj-image-filter*  
**where** *lss-inj-image-filter* == *lss-image-filter*

**definition** *lss-image* == *ift-image* *lss-image-filter*

**definition** *lss-inj-image* == *ift-inj-image* *lss-inj-image-filter*

**definition** *lss-to-list* :: *'a lss*  $\Rightarrow$  *'a list* **where** *lss-to-list* == *id*

**definition** *list-to-lss* :: *'a::{\linorder}* *list*  $\Rightarrow$  *'a lss* **where** *list-to-lss* == *mergesort-remdups*

## Correctness

**lemmas** *lss-defs* =

*lss- $\alpha$ -def*  
*lss-invar-def*  
*lss-empty-def*  
*lss-memb-def*  
*lss-ins-def*  
*lss-ins-dj-def*  
*lss-delete-def*  
*lss-iteratei-def*  
*lss-isEmpty-def*  
*lss-union-def*  
*lss-union-list-def*  
*lss-inter-def*  
*lss-union-dj-def*  
*lss-image-filter-def*  
*lss-inj-image-filter-def*  
*lss-image-def*  
*lss-inj-image-def*  
*lss-to-list-def*  
*list-to-lss-def*

**lemma** *lss-empty-impl: set-empty lss- $\alpha$  lss-invar lss-empty*  
 ⟨proof⟩

**lemma** *lss-memb-impl: set-memb lss- $\alpha$  lss-invar lss-memb*  
 ⟨proof⟩

**lemma** *lss-ins-impl: set-ins lss- $\alpha$  lss-invar lss-ins*  
 ⟨proof⟩

**lemma** *lss-ins-dj-impl: set-ins-dj lss- $\alpha$  lss-invar lss-ins-dj*  
 ⟨proof⟩

**lemma** *lss-delete-impl: set-delete lss- $\alpha$  lss-invar lss-delete*  
 ⟨proof⟩

**lemma** *lss- $\alpha$ -finite[simp, intro!]: finite (lss- $\alpha$  l)*  
 ⟨proof⟩

**lemma** *lss-is-finite-set: finite-set lss- $\alpha$  lss-invar*  
 ⟨proof⟩

**lemma** *lss-iterateoi-impl: poly-set-iterateoi lss- $\alpha$  lss-invar lss-iterateoi*  
 ⟨proof⟩

**lemma** *lss-reverse-iterateoi-impl: poly-set-rev-iterateoi lss- $\alpha$  lss-invar lss-reverse-iterateoi*  
 ⟨proof⟩

**lemma** *lss-iteratei-impl: poly-set-iteratei lss- $\alpha$  lss-invar lss-iteratei*  
 ⟨proof⟩

**lemma** *lss-isEmpty-impl*: *set-isEmpty lss- $\alpha$  lss-invar lss-isEmpty*  
 <proof>

**lemma** *lss-inter-impl*: *set-inter lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss-inter*  
 <proof>

**lemma** *lss-union-impl*: *set-union lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss-union*  
 <proof>

**lemma** *lss-union-list-impl*: *set-union-list lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss-union-list*  
 <proof>

**lemma** *lss-union-dj-impl*: *set-union-dj lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar*  
*lss-union-dj*  
 <proof>

**lemma** *lss-image-filter-impl* : *set-image-filter lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss-image-filter*  
 <proof>

**lemma** *lss-inj-image-filter-impl* : *set-inj-image-filter lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar*  
*lss-inj-image-filter*  
 <proof>

**lemma** *lss-filter-impl* : *set-filter lss- $\alpha$  lss-invar lss- $\alpha$  lss-invar lss-filter*  
 <proof>

**lemmas** *lss-image-impl = iflt-image-correct[OF lss-image-filter-impl, folded lss-image-def]*

**lemmas** *lss-inj-image-impl = iflt-inj-image-correct[OF lss-inj-image-filter-impl, folded*  
*lss-inj-image-def]*

**lemma** *lss-to-list-impl*: *set-to-list lss- $\alpha$  lss-invar lss-to-list*  
 <proof>

**lemma** *list-to-lss-impl*: *list-to-set lss- $\alpha$  lss-invar list-to-lss*  
 <proof>

**definition** *lss-basic-ops* :: (*'x::linorder, 'x lss*) *oset-basic-ops*

**where** [*icf-rec-def*]: *lss-basic-ops*  $\equiv$  ()

*bset-op- $\alpha$*  = *lss- $\alpha$* ,

*bset-op-invar* = *lss-invar*,

*bset-op-empty* = *lss-empty*,

*bset-op-memb* = *lss-memb*,

*bset-op-ins* = *lss-ins*,

*bset-op-ins-dj* = *lss-ins-dj*,

*bset-op-delete* = *lss-delete*,

*bset-op-list-it* = *lss-iteratei*,

*bset-op-ordered-list-it* = *lss-iterateoi*,



*bset-op-rev-list-it = lss-reverse-iterateoi*  
 $\rangle$

$\langle ML \rangle$

**interpretation** *lss-basic*: *StdBasicOSet lss-basic-ops*

$\langle proof \rangle$

$\langle ML \rangle$

**definition** [*icf-rec-def*]: *lss-ops*  $\equiv$  *lss-basic.dflt-ops* ( $\langle$

*set-op-isEmpty := lss-isEmpty,*

*set-op-union := lss-union,*

*set-op-union-dj := lss-union-dj,*

*set-op-filter := lss-filter,*

*set-op-to-list := lss-to-list,*

*set-op-from-list := list-to-lss*

$\rangle$

$\langle ML \rangle$

**interpretation** *lss*: *StdOSetDefs lss-ops*  $\langle proof \rangle$

**interpretation** *lss*: *StdOSet lss-ops*

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *pi-lss*[*proper-it*]:

*proper-it' lss-iteratei lss-iteratei*

$\langle proof \rangle$

**interpretation** *pi-lss*: *proper-it-loc lss-iteratei lss-iteratei*

$\langle proof \rangle$

**definition** *test-codegen where test-codegen*  $\equiv$  ( $\langle$

*lss.empty,*

*lss.memb,*

*lss.ins,*

*lss.delete,*

*lss.list-it,*

*lss.sng,*

*lss.isEmpty,*

*lss.isSng,*

*lss.ball,*

*lss.bex,*

*lss.size,*

*lss.size-abort,*

*lss.union,*

*lss.union-dj,*

*lss.diff,*

*lss.filter,*

*lss.inter,*

*lss.subset,*

$\rangle$

```

    lss.equal,
    lss.disjoint,
    lss.disjoint-witness,
    lss.sel,
    lss.to-list,
    lss.from-list
  )

```

```
export-code test-codegen checking SML
```

```
end
```

### 3.3.17 Set Implementation by Red-Black-Tree

```

theory RBSetImpl
imports
  ../spec/SetSpec
  RBMapImpl
  ../gen-algo/SetByMap
  ../gen-algo/SetGA
begin

```

#### Definitions

##### type-synonym

```
'a rs = ('a::linorder,unit) rm
```

⟨ML⟩

**interpretation** *rs-sbm*: *OSetByOMap rm-basic-ops* ⟨proof⟩

⟨ML⟩

**definition** *rs-ops* :: ('x::linorder, 'x rs) *oset-ops*

**where** [*icf-rec-def*]: *rs-ops* ≡ *rs-sbm.obasic.dflt-ops*

⟨ML⟩

**interpretation** *rs*: *StdOSetDefs rs-ops* ⟨proof⟩

**interpretation** *rs*: *StdOSet rs-ops*

⟨proof⟩

**interpretation** *rs*: *StdSet-no-invar rs-ops*

⟨proof⟩

⟨ML⟩

**lemmas** *rbt-it-to-it-map-code-unfold*[*code-unfold*] =

*it-to-it-map-fold'*[*OF pi-rm*]

*it-to-it-map-fold'*[*OF pi-rm-rev*]

**lemma** *pi-rs*[*proper-it*]:

*proper-it'* *rs.iteratei rs.iteratei*

*proper-it'* *rs.iterateoi rs.iterateoi*

```
proper-it' rs.rev-iterateoi rs.rev-iterateoi
⟨proof⟩
```

**interpretation**

```
pi-rs: proper-it-loc rs.iteratei rs.iteratei +
pi-rs-o: proper-it-loc rs.iterateoi rs.iterateoi +
pi-rs-ro: proper-it-loc rs.rev-iterateoi rs.rev-iterateoi
⟨proof⟩
```

**definition** *test-codegen* **where** *test-codegen*  $\equiv$  (

```
rs.empty,
rs.memb,
rs.ins,
rs.delete,
rs.list-it,
rs.sng,
rs.isEmpty,
rs.isSng,
rs.ball,
rs.bex,
rs.size,
rs.size-abort,
rs.union,
rs.union-dj,
rs.diff,
rs.filter,
rs.inter,
rs.subset,
rs.equal,
rs.disjoint,
rs.disjoint-witness,
rs.sel,
rs.to-list,
rs.from-list,

rs.ordered-list-it,
rs.rev-list-it,
rs.min,
rs.max,
rs.to-sorted-list,
rs.to-rev-list
)
```

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.18 Hash Set

**theory** *HashSet*

**imports**

*../spec/SetSpec*

*HashMap*

*../gen-algo/SetByMap*

*../gen-algo/SetGA*

**begin**

#### Definitions

**type-synonym**

*'a hs = ('a::hashable,unit) hm*

*<ML>*

**interpretation** *hs-sbm: SetByMap hm-basic-ops <proof>*

*<ML>*

**definition** *hs-ops :: ('a::hashable,'a hs) set-ops*

**where** [*icf-rec-def*]:

*hs-ops ≡ hs-sbm.basic.dflt-ops*

*<ML>*

**interpretation** *hs: StdSet hs-ops*

*<proof>*

**interpretation** *hs: StdSet-no-invar hs-ops*

*<proof>*

*<ML>*

**lemmas** *hs-it-to-it-map-code-unfold[code-unfold] =*

*it-to-it-map-fold'[OF pi-hm]*

**lemma** *pi-hs[proper-it]: proper-it' hs.iteratei hs.iteratei*

*<proof>*

**interpretation** *pi-hs: proper-it-loc hs.iteratei hs.iteratei*

*<proof>*

**definition** *test-codegen where test-codegen ≡ (*

*hs.empty,*

*hs.memb,*

*hs.ins,*

*hs.delete,*

*hs.list-it,*

*hs.sng,*

*hs.isEmpty,*

*hs.isSng,*

*hs.ball,*

*hs.bex,*

```

    hs.size,
    hs.size-abort,
    hs.union,
    hs.union-dj,
    hs.diff,
    hs.filter,
    hs.inter,
    hs.subset,
    hs.equal,
    hs.disjoint,
    hs.disjoint-witness,
    hs.sel,
    hs.to-list,
    hs.from-list
  )

```

```

export-code test-codegen checking SML

```

```

end

```

### 3.3.19 Set implementation via tries

```

theory TrieSetImpl imports

```

```

  TrieMapImpl
  ../gen-algo/SetByMap
  ../gen-algo/SetGA

```

```

begin

```

#### Definitions

```

type-synonym

```

```

  'a ts = ('a, unit) trie

```

```

⟨ML⟩

```

```

interpretation ts-sbm: SetByMap tm-basic-ops ⟨proof⟩

```

```

⟨ML⟩

```

```

definition ts-ops :: ('a list, 'a ts) set-ops

```

```

  where [icf-rec-def]:
  ts-ops ≡ ts-sbm.basic.dflt-ops

```

```

⟨ML⟩

```

```

interpretation ts: StdSet ts-ops

```

```

  ⟨proof⟩

```

```

interpretation ts: StdSet-no-invar ts-ops

```

```

  ⟨proof⟩

```

```

⟨ML⟩

```

```

lemmas ts-it-to-it-map-code-unfold[code-unfold] =

```

```

  it-to-it-map-fold'[OF pi-trie]

```

**lemma** *pi-ts*[*proper-it*]: *proper-it' ts.iteratei ts.iteratei*  
 ⟨*proof*⟩

**interpretation** *pi-ts*: *proper-it-loc ts.iteratei ts.iteratei*  
 ⟨*proof*⟩

**definition** *test-codegen* **where** *test-codegen*  $\equiv$  (  
*ts.empty*,  
*ts.memb*,  
*ts.ins*,  
*ts.delete*,  
*ts.list-it*,  
*ts.sng*,  
*ts.isEmpty*,  
*ts.isSng*,  
*ts.ball*,  
*ts.bex*,  
*ts.size*,  
*ts.size-abort*,  
*ts.union*,  
*ts.union-dj*,  
*ts.diff*,  
*ts.filter*,  
*ts.inter*,  
*ts.subset*,  
*ts.equal*,  
*ts.disjoint*,  
*ts.disjoint-witness*,  
*ts.sel*,  
*ts.to-list*,  
*ts.from-list*  
 )

**export-code** *test-codegen* **checking** *SML*

**end**

**theory** *ArrayHashSet*  
**imports**  
*ArrayHashMap*  
*../gen-algo/SetByMap*  
*../gen-algo/SetGA*  
**begin**

### Definitions

**type-synonym**  
*'a ahs* = (*'a::hashable,unit*) *ahm*

⟨ML⟩

**interpretation** *ahs-sbm*: *SetByMap ahm-basic-ops* ⟨proof⟩

⟨ML⟩

**definition** *ahs-ops* :: (*a*::hashable, 'a *ahs*) *set-ops*

**where** [*icf-rec-def*]:

*ahs-ops* ≡ *ahs-sbm.basic.dflt-ops*

⟨ML⟩

**interpretation** *ahs*: *StdSet ahs-ops*

⟨proof⟩

**interpretation** *ahs*: *StdSet-no-invar ahs-ops*

⟨proof⟩

⟨ML⟩

**lemmas** *ahs-it-to-it-map-code-unfold*[*code-unfold*] =

*it-to-it-map-fold'*[*OF pi-ahm*]

**lemma** *pi-ahs*[*proper-it*]: *proper-it'* *ahs.iteratei* *ahs.iteratei*

⟨proof⟩

**interpretation** *pi-ahs*: *proper-it-loc ahs.iteratei ahs.iteratei*

⟨proof⟩

**definition** *test-codegen* **where** *test-codegen* ≡ (

*ahs.empty*,

*ahs.memb*,

*ahs.ins*,

*ahs.delete*,

*ahs.list-it*,

*ahs.sng*,

*ahs.isEmpty*,

*ahs.isSng*,

*ahs.ball*,

*ahs.bex*,

*ahs.size*,

*ahs.size-abort*,

*ahs.union*,

*ahs.union-dj*,

*ahs.diff*,

*ahs.filter*,

*ahs.inter*,

*ahs.subset*,

*ahs.equal*,

*ahs.disjoint*,

*ahs.disjoint-witness*,

*ahs.sel*,

*ahs.to-list*,

```

    ahs.from-list
  )

export-code test-codegen checking SML

end

```

### 3.3.20 Set Implementation by Arrays

```

theory ArraySetImpl
imports
  ../spec/SetSpec
  ArrayMapImpl
  ../gen-algo/SetByMap
  ../gen-algo/SetGA
begin

```

#### Definitions

```

type-synonym ias = (unit) iam

```

$\langle ML \rangle$

```

interpretation ias-sbm: OSetByOMap iam-basic-ops <proof>

```

$\langle ML \rangle$

```

definition ias-ops :: (nat, ias) oset-ops

```

```

  where [icf-rec-def]:

```

```

    ias-ops  $\equiv$  ias-sbm.obasic.dflt-ops

```

$\langle ML \rangle$

```

interpretation ias: StdOSet ias-ops

```

```

  <proof>

```

```

interpretation ias: StdSet-no-invar ias-ops

```

```

  <proof>

```

$\langle ML \rangle$

```

lemmas ias-it-to-it-map-code-unfold[code-unfold] =

```

```

  it-to-it-map-fold'[OF pi-iam]

```

```

  it-to-it-map-fold'[OF pi-iam-rev]

```

```

lemma pi-ias[proper-it]:

```

```

  proper-it' ias.iteratei ias.iteratei

```

```

  proper-it' ias.iterateoi ias.iterateoi

```

```

  proper-it' ias.rev-iterateoi ias.rev-iterateoi

```

```

  <proof>

```

```

interpretation

```

```

  pi-ias: proper-it-loc ias.iteratei ias.iteratei +

```

```

  pi-ias-o: proper-it-loc ias.iterateoi ias.iterateoi +

```

```

  pi-ias-ro: proper-it-loc ias.rev-iterateoi ias.rev-iterateoi

```

```

  <proof>

```



```

definition test-codegen where test-codegen  $\equiv$  (
  ias.empty,
  ias.memb,
  ias.ins,
  ias.delete,
  ias.list-it,
  ias.sng,
  ias.isEmpty,
  ias.isSng,
  ias.ball,
  ias.bex,
  ias.size,
  ias.size-abort,
  ias.union,
  ias.union-dj,
  ias.diff,
  ias.filter,
  ias.inter,
  ias.subset,
  ias.equal,
  ias.disjoint,
  ias.disjoint-witness,
  ias.sel,
  ias.to-list,
  ias.from-list,

  ias.ordered-list-it,
  ias.rev-list-it,
  ias.min,
  ias.max,
  ias.to-sorted-list,
  ias.to-rev-list
)

```

```

export-code test-codegen checking SML

```

```

end

```

### 3.3.21 Standard Set Implementations

```

theory SetStdImpl
imports
  ListSetImpl
  ListSetImpl-Invar
  ListSetImpl-NotDist
  ListSetImpl-Sorted
  RBTSetImpl HashSet
  TrieSetImpl

```

```

  ArrayHashSet
  ArraySetImpl
begin

```

This theory summarizes standard set implementations, namely list-sets RB-tree-sets, trie-sets and hashsets.

```
end
```

### 3.3.22 Fifo Queue by Pair of Lists

```

theory Fifo
imports
  ../gen-algo/ListGA
  ../tools/Record-Intf
  ../tools/Locale-Code
begin lemma rev-tl-rev:  $rev (tl (rev l)) = butlast l$ 
  <proof>

```

A fifo-queue is implemented by a pair of two lists (stacks). New elements are pushed on the first stack, and elements are popped from the second stack. If the second stack is empty, the first stack is reversed and replaces the second stack.

If list reversal is implemented efficiently (what is the case in Isabelle/HOL, cf *List.rev-conv-fold*) the amortized time per buffer operation is constant.

Moreover, this fifo implementation also supports efficient push and pop operations.

#### Definitions

```
type-synonym 'a fifo = 'a list × 'a list
```

Abstraction of the fifo to a list. The next element to be got is at the head of the list, and new elements are appended at the end of the list

```
definition fifo- $\alpha$  :: 'a fifo  $\Rightarrow$  'a list
  where fifo- $\alpha$  F == snd F @ rev (fst F)
```

This fifo implementation has no invariants, any pair of lists is a valid fifo

```
definition [simp, intro!]: fifo-invar x = True
```

— The empty fifo

```
definition fifo-empty :: unit  $\Rightarrow$  'a fifo
  where fifo-empty ==  $\lambda$ -.unit. ([], [])
```

— True, iff the fifo is empty

```
definition fifo-isEmpty :: 'a fifo  $\Rightarrow$  bool where fifo-isEmpty F == F=([], [])
```

**definition** *fifo-size* :: 'a fifo  $\Rightarrow$  nat **where**  
*fifo-size*  $F \equiv \text{length } (\text{fst } F) + \text{length } (\text{snd } F)$

— Add an element to the fifo

**definition** *fifo-appendr* :: 'a  $\Rightarrow$  'a fifo  $\Rightarrow$  'a fifo  
**where** *fifo-appendr*  $a F == (a\#\text{fst } F, \text{snd } F)$

**definition** *fifo-appendl* :: 'a  $\Rightarrow$  'a fifo  $\Rightarrow$  'a fifo  
**where** *fifo-appendl*  $x F == \text{case } F \text{ of } (e,d) \Rightarrow (e,x\#d)$

— Get an element from the fifo

**definition** *fifo-remover* :: 'a fifo  $\Rightarrow$  ('a fifo  $\times$  'a) **where**  
*fifo-remover*  $F ==$   
*case* *fst*  $F$  *of*  
 $(a\#l) \Rightarrow ((l, \text{snd } F), a) \mid$   
 $[] \Rightarrow \text{let } rp = \text{rev } (\text{snd } F) \text{ in}$   
 $((\text{tl } rp, []), \text{hd } rp)$

**definition** *fifo-removel* :: 'a fifo  $\Rightarrow$  ('a  $\times$  'a fifo) **where**  
*fifo-removel*  $F ==$   
*case* *snd*  $F$  *of*  
 $(a\#l) \Rightarrow (a, (\text{fst } F, l)) \mid$   
 $[] \Rightarrow \text{let } rp = \text{rev } (\text{fst } F) \text{ in}$   
 $(\text{hd } rp, ([], \text{tl } rp))$

**definition** *fifo-leftmost* :: 'a fifo  $\Rightarrow$  'a **where**  
*fifo-leftmost*  $F \equiv \text{case } F \text{ of } (-,x\#-) \Rightarrow x \mid (l,[]) \Rightarrow \text{last } l$

**definition** *fifo-rightmost* :: 'a fifo  $\Rightarrow$  'a **where**  
*fifo-rightmost*  $F \equiv \text{case } F \text{ of } (x\#-, -) \Rightarrow x \mid ([], l) \Rightarrow \text{last } l$

**definition** *fifo-iteratei*  $F \equiv \text{foldli } (\text{fifo-}\alpha \text{ } F)$

**definition** *fifo-rev-iteratei*  $F \equiv \text{foldri } (\text{fifo-}\alpha \text{ } F)$

**definition** *fifo-get*  $F i \equiv$   
*let*  
 $l2 = \text{length } (\text{snd } F)$   
*in*  
*if*  $i < l2$  *then*  
 $\text{snd } F!i$   
*else*  
 $(\text{fst } F)!(\text{length } (\text{fst } F) - \text{Suc } (i - l2))$

**definition** *fifo-set*  $F i a \equiv \text{case } F \text{ of } (f1, f2) \Rightarrow$   
*let*  
 $l2 = \text{length } f2$   
*in*

$$\begin{aligned}
& \text{if } i < l2 \text{ then} \\
& \quad (f1, f2[i := a]) \\
& \text{else} \\
& \quad (f1[\text{length } (fst F) - Suc (i - l2) := a], f2)
\end{aligned}$$

### Correctness

**lemma** *fifo-empty-impl: list-empty fifo- $\alpha$  fifo-invar fifo-empty*  
*<proof>*

**lemma** *fifo-isEmpty-impl: list-isEmpty fifo- $\alpha$  fifo-invar fifo-isEmpty*  
*<proof>*

**lemma** *fifo-size-impl: list-size fifo- $\alpha$  fifo-invar fifo-size*  
*<proof>*

**lemma** *fifo-appendr-impl: list-appendr fifo- $\alpha$  fifo-invar fifo-appendr*  
*<proof>*

**lemma** *fifo-appendl-impl: list-appendl fifo- $\alpha$  fifo-invar fifo-appendl*  
*<proof>*

**lemma** *fifo-removel-impl: list-removel fifo- $\alpha$  fifo-invar fifo-removel*  
*<proof>*

**lemma** *fifo-remover-impl: list-remover fifo- $\alpha$  fifo-invar fifo-remover*  
*<proof>*

**lemma** *fifo-leftmost-impl: list-leftmost fifo- $\alpha$  fifo-invar fifo-leftmost*  
*<proof>*

**lemma** *fifo-rightmost-impl: list-rightmost fifo- $\alpha$  fifo-invar fifo-rightmost*  
*<proof>*

**lemma** *fifo-get-impl: list-get fifo- $\alpha$  fifo-invar fifo-get*  
*<proof>*

**lemma** *fifo-set-impl: list-set fifo- $\alpha$  fifo-invar fifo-set*  
*<proof>*

**definition** [*icf-rec-def*]: *fifo-ops*  $\equiv$  ( $\{$   
*list-op- $\alpha$  = fifo- $\alpha$ ,*  
*list-op-invar = fifo-invar,*  
*list-op-empty = fifo-empty,*  
*list-op-isEmpty = fifo-isEmpty,*  
*list-op-size = fifo-size,*  
*list-op-appendl = fifo-appendl,*  
*list-op-removel = fifo-removel,*  
*list-op-leftmost = fifo-leftmost,*  
 $\}$ )

```

list-op-appendr = fifo-appendr,
list-op-remover = fifo-remover,
list-op-rightmost = fifo-rightmost,
list-op-get = fifo-get,
list-op-set = fifo-set
)

```

⟨ML⟩

**interpretation** *fifo*: *StdList fifo-ops*

⟨proof⟩

**interpretation** *fifo*: *StdList-no-invar fifo-ops*

⟨proof⟩

⟨ML⟩

**definition** *test-codegen* **where** *test-codegen* ≡

```

(
  fifo.empty,
  fifo.isEmpty,
  fifo.size,
  fifo.appendl,
  fifo.remove,
  fifo.leftmost,
  fifo.appendr,
  fifo.remover,
  fifo.rightmost,
  fifo.get,
  fifo.set,
  fifo.iteratei,
  fifo.rev-iteratei
)

```

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.23 Implementation of Priority Queues by Binomial Heap

**theory** *BinoPrioImpl*

**imports**

```

  Binomial-Heaps.BinomialHeap
  ../spec/PrioSpec
  ../tools/Record-Intf
  ../tools/Locale-Code

```

**begin**

**type-synonym** ('a,'b) *bin* = ('a,'b) *BinomialHeap*

**Definitions**

**definition** *binom- $\alpha$*  **where** *binom- $\alpha$*   $q \equiv \text{BinomialHeap.to-mset } q$   
**definition** *binom-insert* **where** *binom-insert*  $\equiv \text{BinomialHeap.insert}$   
**abbreviation** (*input*) *binom-invar*  $:: ('a,'b) \text{ BinomialHeap} \Rightarrow \text{bool}$   
**where** *binom-invar*  $\equiv \lambda-. \text{True}$   
**definition** *binom-find* **where** *binom-find*  $\equiv \text{BinomialHeap.findMin}$   
**definition** *binom-delete* **where** *binom-delete*  $\equiv \text{BinomialHeap.deleteMin}$   
**definition** *binom-meld* **where** *binom-meld*  $\equiv \text{BinomialHeap.meld}$   
**definition** *binom-empty* **where** *binom-empty*  $\equiv \lambda::\text{unit}. \text{BinomialHeap.empty}$   
**definition** *binom-isEmpty* **where** *binom-isEmpty*  $= \text{BinomialHeap.isEmpty}$

**definition** [*icf-rec-def*]: *binom-ops*  $== ($   
*prio-op- $\alpha$*   $= \text{binom-}\alpha,$   
*prio-op-invar*  $= \text{binom-invar},$   
*prio-op-empty*  $= \text{binom-empty},$   
*prio-op-isEmpty*  $= \text{binom-isEmpty},$   
*prio-op-insert*  $= \text{binom-insert},$   
*prio-op-find*  $= \text{binom-find},$   
*prio-op-delete*  $= \text{binom-delete},$   
*prio-op-meld*  $= \text{binom-meld}$   
 $)$

**lemmas** *binom-defs*  $=$   
*binom- $\alpha$ -def*  
*binom-insert-def*  
*binom-find-def*  
*binom-delete-def*  
*binom-meld-def*  
*binom-empty-def*  
*binom-isEmpty-def*

**Correctness**

**theorem** *binom-empty-impl*: *prio-empty binom- $\alpha$  binom-invar binom-empty*  
 $\langle \text{proof} \rangle$

**theorem** *binom-isEmpty-impl*: *prio-isEmpty binom- $\alpha$  binom-invar binom-isEmpty*  
 $\langle \text{proof} \rangle$

**theorem** *binom-find-impl*: *prio-find binom- $\alpha$  binom-invar binom-find*  
 $\langle \text{proof} \rangle$

**lemma** *binom-insert-impl*: *prio-insert binom- $\alpha$  binom-invar binom-insert*  
 $\langle \text{proof} \rangle$

**lemma** *binom-meld-impl*: *prio-meld binom- $\alpha$  binom-invar binom-meld*  
 $\langle \text{proof} \rangle$

**lemma** *binom-delete-impl*:

```

    prio-delete bino- $\alpha$  bino-invar bino-find bino-delete
    <proof>

  <ML>
  interpretation bino: StdPrio bino-ops
    <proof>
  interpretation bino: StdPrio-no-invar bino-ops
    <proof>
  <ML>

  definition test-codegen where test-codegen = (
    bino.empty,
    bino.isEmpty,
    bino.find,
    bino.insert,
    bino.meld,
    bino.delete
  )

  export-code test-codegen checking SML

end

3.3.24 Implementation of Priority Queues by Skew Binomial
      Heaps

theory SkewPrioImpl
imports
  Binomial-Heaps.SkewBinomialHeap
  ../spec/PrioSpec
  ../tools/Record-Intf
  ../tools/Locale-Code
begin

Definitions

type-synonym ('a,'b) skew = ('a, 'b) SkewBinomialHeap

definition skew- $\alpha$  where skew- $\alpha$   $q \equiv$  SkewBinomialHeap.to-mset  $q$ 
definition skew-insert where skew-insert  $\equiv$  SkewBinomialHeap.insert
abbreviation (input) skew-invar :: ('a, 'b) SkewBinomialHeap  $\Rightarrow$  bool
  where skew-invar  $\equiv$   $\lambda$ -. True
definition skew-find where skew-find  $\equiv$  SkewBinomialHeap.findMin
definition skew-delete where skew-delete  $\equiv$  SkewBinomialHeap.deleteMin
definition skew-meld where skew-meld  $\equiv$  SkewBinomialHeap.meld
definition skew-empty where skew-empty  $\equiv$   $\lambda$ -.unit. SkewBinomialHeap.empty
definition skew-isEmpty where skew-isEmpty = SkewBinomialHeap.isEmpty

definition [icf-rec-def]: skew-ops == ()
  prio-op- $\alpha$  = skew- $\alpha$ ,

```

*prio-op-invar* = *skew-invar*,  
*prio-op-empty* = *skew-empty*,  
*prio-op-isEmpty* = *skew-isEmpty*,  
*prio-op-insert* = *skew-insert*,  
*prio-op-find* = *skew-find*,  
*prio-op-delete* = *skew-delete*,  
*prio-op-meld* = *skew-meld*

)

**lemmas** *skew-defs* =  
*skew- $\alpha$ -def*  
*skew-insert-def*  
*skew-find-def*  
*skew-delete-def*  
*skew-meld-def*  
*skew-empty-def*  
*skew-isEmpty-def*

### Correctness

**theorem** *skew-empty-impl: prio-empty skew- $\alpha$  skew-invar skew-empty*  
*<proof>*

**theorem** *skew-isEmpty-impl: prio-isEmpty skew- $\alpha$  skew-invar skew-isEmpty*  
*<proof>*

**theorem** *skew-find-impl: prio-find skew- $\alpha$  skew-invar skew-find*  
*<proof>*

**lemma** *skew-insert-impl: prio-insert skew- $\alpha$  skew-invar skew-insert*  
*<proof>*

**lemma** *skew-meld-impl: prio-meld skew- $\alpha$  skew-invar skew-meld*  
*<proof>*

**lemma** *skew-delete-impl:*  
*prio-delete skew- $\alpha$  skew-invar skew-find skew-delete*  
*<proof>*

*<ML>*

**interpretation** *skew: StdPrio skew-ops*  
*<proof>*

**interpretation** *skew: StdPrio-no-invar skew-ops*  
*<proof>*

*<ML>*

**definition** *test-codegen where test-codegen  $\equiv$  (*  
*skew.empty,*  
*skew.isEmpty,*



```

    skew.find,
    skew.insert,
    skew.meld,
    skew.delete
)

```

```
export-code test-codegen checking SML
```

```
end
```

### 3.3.25 Implementation of Annotated Lists by 2-3 Finger Trees

```
theory FTAnnotatedListImpl
```

```
imports
```

```

    Finger-Trees.FingerTree
    ../tools/Locale-Code
    ../tools/Record-Intf
    ../spec/AnnotatedListSpec

```

```
begin
```

```
type-synonym ('a,'b) ft = ('a,'b) FingerTree
```

#### Definitions

**definition** *ft- $\alpha$*  **where**

```
ft- $\alpha$   $\equiv$  FingerTree.toList
```

**abbreviation** (*input*) *ft-invar* :: ('a,'b) FingerTree  $\Rightarrow$  bool **where**

```
ft-invar  $\equiv$   $\lambda$ -. True
```

**definition** *ft-empty* **where**

```
ft-empty  $\equiv$   $\lambda$ ::unit. FingerTree.empty
```

**definition** *ft-isEmpty* **where**

```
ft-isEmpty  $\equiv$  FingerTree.isEmpty
```

**definition** *ft-count* **where**

```
ft-count  $\equiv$  FingerTree.count
```

**definition** *ft-consl* **where**

```
ft-consl e a s = FingerTree.lcons (e,a) s
```

**definition** *ft-consr* **where**

```
ft-consr s e a = FingerTree.rcons s (e,a)
```

**definition** *ft-head* **where**

```
ft-head  $\equiv$  FingerTree.head
```

**definition** *ft-tail* **where**

```
ft-tail  $\equiv$  FingerTree.tail
```

**definition** *ft-headR* **where**

```
ft-headR  $\equiv$  FingerTree.headR
```

**definition** *ft-tailR* **where**

```
ft-tailR  $\equiv$  FingerTree.tailR
```

**definition** *ft-foldl* **where**

```
ft-foldl  $\equiv$  FingerTree.foldl
```

**definition** *ft-foldr* **where**

*ft-foldr*  $\equiv$  *FingerTree.foldr*

**definition** *ft-app* **where**

*ft-app*  $\equiv$  *FingerTree.app*

**definition** *ft-annot* **where**

*ft-annot*  $\equiv$  *FingerTree.annot*

**definition** *ft-splits* **where**

*ft-splits*  $\equiv$  *FingerTree.splitTree*

**lemmas** *ft-defs* =

*ft- $\alpha$ -def*

*ft-empty-def*

*ft-isEmpty-def*

*ft-count-def*

*ft-consl-def*

*ft-consr-def*

*ft-head-def*

*ft-tail-def*

*ft-headR-def*

*ft-tailR-def*

*ft-foldl-def*

*ft-foldr-def*

*ft-app-def*

*ft-annot-def*

*ft-splits-def*

**lemma** *ft-empty-impl*: *al-empty ft- $\alpha$  ft-invar ft-empty*  
*<proof>*

**lemma** *ft-consl-impl*: *al-consl ft- $\alpha$  ft-invar ft-consl*  
*<proof>*

**lemma** *ft-consr-impl*: *al-consr ft- $\alpha$  ft-invar ft-consr*  
*<proof>*

**lemma** *ft-isEmpty-impl*: *al-isEmpty ft- $\alpha$  ft-invar ft-isEmpty*  
*<proof>*

**lemma** *ft-count-impl*: *al-count ft- $\alpha$  ft-invar ft-count*  
*<proof>*

**lemma** *ft-head-impl*: *al-head ft- $\alpha$  ft-invar ft-head*  
*<proof>*

**lemma** *ft-tail-impl*: *al-tail ft- $\alpha$  ft-invar ft-tail*  
*<proof>*

**lemma** *ft-headR-impl*: *al-headR ft- $\alpha$  ft-invar ft-headR*  
*<proof>*

**lemma** *ft-tailR-impl: al-tailR ft- $\alpha$  ft-invar ft-tailR*  
 ⟨*proof*⟩

**lemma** *ft-foldl-impl: al-foldl ft- $\alpha$  ft-invar ft-foldl*  
 ⟨*proof*⟩

**lemma** *ft-foldr-impl: al-foldr ft- $\alpha$  ft-invar ft-foldr*  
 ⟨*proof*⟩

**lemma** *ft-foldl-cunfold[code-unfold]:*  
*List.foldl f  $\sigma$  (ft- $\alpha$  t) = ft-foldl f  $\sigma$  t*  
 ⟨*proof*⟩

**lemma** *ft-foldr-cunfold[code-unfold]:*  
*List.foldr f (ft- $\alpha$  t)  $\sigma$  = ft-foldr f t  $\sigma$*   
 ⟨*proof*⟩

**lemma** *ft-app-impl: al-app ft- $\alpha$  ft-invar ft-app*  
 ⟨*proof*⟩

**lemma** *ft-annot-impl: al-annot ft- $\alpha$  ft-invar ft-annot*  
 ⟨*proof*⟩

**lemma** *ft-splits-impl: al-splits ft- $\alpha$  ft-invar ft-splits*  
 ⟨*proof*⟩

**Record Based Implementation** **definition** [*icf-rec-def*]: *ft-ops* = (  
*alist-op- $\alpha$*  = *ft- $\alpha$* ,  
*alist-op-invar* = *ft-invar*,  
*alist-op-empty* = *ft-empty*,  
*alist-op-isEmpty* = *ft-isEmpty*,  
*alist-op-count* = *ft-count*,  
*alist-op-consl* = *ft-consl*,  
*alist-op-consr* = *ft-consr*,  
*alist-op-head* = *ft-head*,  
*alist-op-tail* = *ft-tail*,  
*alist-op-headR* = *ft-headR*,  
*alist-op-tailR* = *ft-tailR*,  
*alist-op-app* = *ft-app*,  
*alist-op-annot* = *ft-annot*,  
*alist-op-splits* = *ft-splits*  
 )

⟨*ML*⟩

**interpretation** *ft: StdAL ft-ops*  
 ⟨*proof*⟩

**interpretation** *ft: StdAL-no-invar ft-ops*  
 ⟨*proof*⟩

⟨ML⟩

**definition** *test-codegen* ≡ (  
*ft.empty*,  
*ft.isEmpty*,  
*ft.count*,  
*ft.consl*,  
*ft.consr*,  
*ft.head*,  
*ft.tail*,  
*ft.headR*,  
*ft.tailR*,  
*ft.app*,  
*ft.annot*,  
*ft.splits*,  
*ft.foldl*,  
*ft.foldr*  
 )

**export-code** *test-codegen* **checking** *SML*

**end**

### 3.3.26 Implementation of Priority Queues by Finger Trees

**theory** *FTPrioImpl*  
**imports** *FTAnnotatedListImpl*  
 ../gen-algo/*PrioByAnnotatedList*  
**begin**

**type-synonym** ('a,'p) *alprio* = (unit, ('a, 'p) *Prio*) *FingerTree*

⟨ML⟩

**interpretation** *alprio-ga*: *alprio* *ft-ops* ⟨*proof*⟩

⟨ML⟩

**definition** [*icf-rec-def*]: *alprio-ops* ≡ *alprio-ga.alprio-ops*

⟨ML⟩

**interpretation** *alprio*: *StdPrio* *alprio-ops*

⟨*proof*⟩

⟨ML⟩

**definition** *test-codegen* **where** *test-codegen* ≡ (  
*alprio.empty*,  
*alprio.isEmpty*,

```

    alprio.insert,
    alprio.find,
    alprio.delete,
    alprio.meld
  )

export-code test-codegen checking SML

end

```

### 3.3.27 Implementation of Unique Priority Queues by Finger Trees

```

theory FTPrioUniqueImpl
imports
  FTAnnotatedListImpl
  ../gen-algo/PrioUniqueByAnnotatedList
begin

```

#### Definitions

```

type-synonym ('a,'b) alprio = (unit, ('a, 'b) LP) FingerTree

```

⟨ML⟩

```

interpretation alprio-ga: alprio ft-ops ⟨proof⟩

```

⟨ML⟩

```

definition [icf-rec-def]: alprio-ops ≡ alprio-ga.alprio-ops

```

⟨ML⟩

```

interpretation alprio: StdUprio alprio-ops

```

⟨*proof*⟩

⟨ML⟩

```

definition test-codegen where test-codegen ≡ (

```

```

  alprio.empty,
  alprio.isEmpty,
  alprio.insert,
  alprio.pop,
  alprio.prio
)

```

```

export-code test-codegen checking SML

```

**end**

## 3.4 Entry Points

### 3.4.1 Standard Collections

```

theory Collections
imports
  ICF-Impl
  ICF-Refine-Monadic
  ICF-Autoref

  DatRef

```

**begin**

This theory summarizes the components of the Isabelle Collection Framework.

**end**

### 3.4.2 Backwards Compatibility for Version 1

```

theory CollectionsV1
imports Collections
begin

```

This theory defines some stuff to establish (partial) backwards compatibility with ICF Version 1.

$\langle ML \rangle$

#### Iterators

We define all the monomorphic iterator locales

**Set locale** *set-iteratei* = *finite-set*  $\alpha$  *invar* **for**  $\alpha :: 's \Rightarrow 'x \text{ set}$  **and** *invar* +  
**fixes** *iteratei* ::  $'s \Rightarrow ('x, 's) \text{ set-iterator}$

**assumes** *iteratei-rule*:  $\text{invar } S \Longrightarrow \text{set-iterator } (\text{iteratei } S) (\alpha S)$

**begin**

**lemma** *iteratei-rule-P*:

```

[[
  invar S;
  I ( $\alpha S$ )  $\sigma 0$ ;
   $\forall x \text{ it } \sigma. \llbracket c \ \sigma; x \in \text{it}; \text{it} \subseteq \alpha S; I \ \text{it} \ \sigma \rrbracket \Longrightarrow I (\text{it} - \{x\}) (f \ x \ \sigma)$ ;
   $\forall \sigma. I \ \{\} \ \sigma \Longrightarrow P \ \sigma$ ;
   $\forall \sigma \text{ it}. \llbracket \text{it} \subseteq \alpha S; \text{it} \neq \{\}; \neg c \ \sigma; I \ \text{it} \ \sigma \rrbracket \Longrightarrow P \ \sigma$ 
]]  $\Longrightarrow P (\text{iteratei } S \ c \ f \ \sigma 0)$ 
 $\langle \text{proof} \rangle$ 

```

**lemma** *iteratei-rule-insert-P*:

```

[[
  invar S;
  I {} σ0;
  !!x it σ. [[ c σ; x ∈ α S - it; it ⊆ α S; I it σ ]] ⇒ I (insert x it) (f x σ);
  !!σ. I (α S) σ ⇒ P σ;
  !!σ it. [[ it ⊆ α S; it ≠ α S; ¬ c σ; I it σ ]] ⇒ P σ
]] ⇒ P (iteratei S c f σ0)
⟨proof⟩

```

Versions without break condition.

**lemma** *iterate-rule-P*:

```

[[
  invar S;
  I (α S) σ0;
  !!x it σ. [[ x ∈ it; it ⊆ α S; I it σ ]] ⇒ I (it - {x}) (f x σ);
  !!σ. I {} σ ⇒ P σ
]] ⇒ P (iteratei S (λ-. True) f σ0)
⟨proof⟩

```

**lemma** *iterate-rule-insert-P*:

```

[[
  invar S;
  I {} σ0;
  !!x it σ. [[ x ∈ α S - it; it ⊆ α S; I it σ ]] ⇒ I (insert x it) (f x σ);
  !!σ. I (α S) σ ⇒ P σ
]] ⇒ P (iteratei S (λ-. True) f σ0)
⟨proof⟩

```

**end**

**lemma** *set-iteratei-I* :

**assumes**  $\bigwedge s. \text{invar } s \Rightarrow \text{set-iterator } (\text{iti } s) (\alpha s)$

**shows** *set-iteratei*  $\alpha$  *invar* *iti*

⟨proof⟩

**locale** *set-iterateoi* = *ordered-finite-set*  $\alpha$  *invar*

**for**  $\alpha :: 's \Rightarrow ('u::\text{linorder}) \text{ set}$  **and** *invar*

+

**fixes** *iterateoi* ::  $'s \Rightarrow ('u, 'σ) \text{ set-iterator}$

**assumes** *iterateoi-rule*:

$\text{invar } s \Rightarrow \text{set-iterator-linord } (\text{iterateoi } s) (\alpha s)$

**begin**

**lemma** *iterateoi-rule-P*[*case-names minv inv0 inv-pres i-complete i-inter*]:

**assumes** *MINV*: *invar* *m*

**assumes** *I0*:  $I (\alpha m) \sigma 0$

**assumes** *IP*:  $!!k \text{ it } \sigma. [[$

$c \sigma;$

$k \in \text{it};$

$\forall j \in \text{it}. k \leq j;$

$\forall j \in \alpha m - \text{it}. j \leq k;$

```

    it ⊆ α m;
    I it σ
  ] ⇒ I (it - {k}) (f k σ)
assumes IF: !!σ. I {} σ ⇒ P σ
assumes II: !!σ it. [
    it ⊆ α m;
    it ≠ {};
    ¬ c σ;
    I it σ;
    ∀ k ∈ it. ∀ j ∈ α m - it. j ≤ k
  ] ⇒ P σ
shows P (iterateoi m c f σ 0)
<proof>

```

**lemma** *iterateo-rule-P*[*case-names minv inv0 inv-pres i-complete*]:

```

assumes MINV: invar m
assumes I0: I ((α m)) σ 0
assumes IP: !!k it σ. [ k ∈ it; ∀ j ∈ it. k ≤ j; ∀ j ∈ (α m) - it. j ≤ k; it ⊆ (α m);
I it σ ]
    ⇒ I (it - {k}) (f k σ)
assumes IF: !!σ. I {} σ ⇒ P σ
shows P (iterateoi m (λ-. True) f σ 0)
<proof>
end

```

**lemma** *set-iterateoi-I* :

```

assumes ∧s. invar s ⇒ set-iterator-linord (itoi s) (α s)
shows set-iterateoi α invar itoi
<proof>

```

**locale** *set-reverse-iterateoi* = *ordered-finite-set* α *invar*

**for** α :: 's ⇒ ('u::linorder) *set* **and** *invar*

+

**fixes** *reverse-iterateoi* :: 's ⇒ ('u,'σ) *set-iterator*

**assumes** *reverse-iterateoi-rule*:

*invar m ⇒ set-iterator-rev-linord (reverse-iterateoi m) (α m)*

**begin**

**lemma** *reverse-iterateoi-rule-P*[*case-names minv inv0 inv-pres i-complete i-inter*]:

```

assumes MINV: invar m
assumes I0: I ((α m)) σ 0
assumes IP: !!k it σ. [
    c σ;
    k ∈ it;
    ∀ j ∈ it. k ≥ j;
    ∀ j ∈ (α m) - it. j ≥ k;
    it ⊆ (α m);
    I it σ
  ] ⇒ I (it - {k}) (f k σ)

```



**assumes**  $IF: !!\sigma. I \{\} \sigma \implies P \sigma$   
**assumes**  $II: !!\sigma \text{ it. } \llbracket$   
 $it \subseteq (\alpha m);$   
 $it \neq \{\};$   
 $\neg c \sigma;$   
 $I \text{ it } \sigma;$   
 $\forall k \in it. \forall j \in (\alpha m) - it. j \geq k$   
 $\rrbracket \implies P \sigma$   
**shows**  $P (\text{reverse-iterateoi } m \ c \ f \ \sigma 0)$   
 $\langle \text{proof} \rangle$

**lemma** *reverse-iterateo-rule-P*[*case-names minv inv0 inv-pres i-complete*]:

**assumes**  $MINV: \text{invar } m$   
**assumes**  $I0: I ((\alpha m)) \sigma 0$   
**assumes**  $IP: !!k \text{ it } \sigma. \llbracket$   
 $k \in it;$   
 $\forall j \in it. k \geq j;$   
 $\forall j \in (\alpha m) - it. j \geq k;$   
 $it \subseteq (\alpha m);$   
 $I \text{ it } \sigma$   
 $\rrbracket \implies I (it - \{k\}) (f k \sigma)$   
**assumes**  $IF: !!\sigma. I \{\} \sigma \implies P \sigma$   
**shows**  $P (\text{reverse-iterateoi } m (\lambda-. \text{True}) f \ \sigma 0)$   
 $\langle \text{proof} \rangle$   
**end**

**lemma** *set-reverse-iterateoi-I* :

**assumes**  $\bigwedge s. \text{invar } s \implies \text{set-iterator-rev-linord } (itoi \ s) (\alpha \ s)$   
**shows**  $\text{set-reverse-iterateoi } \alpha \ \text{invar } itoi$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *poly-set-iteratei*) *v1-iteratei-impl*:

$\text{set-iteratei } \alpha \ \text{invar } \text{iteratei}$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *poly-set-iterateoi*) *v1-iterateoi-impl*:

$\text{set-iterateoi } \alpha \ \text{invar } \text{iterateoi}$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *poly-set-rev-iterateoi*) *v1-reverse-iterateoi-impl*:

$\text{set-reverse-iterateoi } \alpha \ \text{invar } \text{rev-iterateoi}$   
 $\langle \text{proof} \rangle$

**declare** (**in** *poly-set-iteratei*) *v1-iteratei-impl*[*locale-witness-add*]

**declare** (**in** *poly-set-iterateoi*) *v1-iterateoi-impl*[*locale-witness-add*]

**declare** (**in** *poly-set-rev-iterateoi*)

*v1-reverse-iterateoi-impl*[*locale-witness-add*]

**Map** *locale map-iteratei* = *finite-map*  $\alpha$  *invar* **for**  $\alpha :: 's \Rightarrow 'u \rightarrow 'v$  **and** *invar*  
+

**fixes** *iteratei* :: 's  $\Rightarrow$  ('u  $\times$  'v, 'σ) set-iterator

**assumes** *iteratei-rule*: *invar m*  $\Longrightarrow$  *map-iterator (iteratei m) (α m)*

**begin**

**lemma** *iteratei-rule-P*:

**assumes** *invar m*

**and** *I0*: *I (dom (α m)) σ 0*

**and** *IP*:  $!!k v it \sigma. \llbracket c \sigma; k \in it; \alpha m k = \text{Some } v; it \subseteq \text{dom } (\alpha m); I it \sigma \rrbracket$   
 $\Longrightarrow I (it - \{k\}) (f (k, v) \sigma)$

**and** *IF*:  $!!\sigma. I \{\} \sigma \Longrightarrow P \sigma$

**and** *II*:  $!!\sigma it. \llbracket it \subseteq \text{dom } (\alpha m); it \neq \{\}; \neg c \sigma; I it \sigma \rrbracket \Longrightarrow P \sigma$

**shows** *P (iteratei m c f σ 0)*

*<proof>*

**lemma** *iteratei-rule-insert-P*:

**assumes**

*invar m*

*I { } σ 0*

$!!k v it \sigma. \llbracket c \sigma; k \in (\text{dom } (\alpha m) - it); \alpha m k = \text{Some } v; it \subseteq \text{dom } (\alpha m); I it \sigma \rrbracket$

$\Longrightarrow I (\text{insert } k it) (f (k, v) \sigma)$

$!!\sigma. I (\text{dom } (\alpha m)) \sigma \Longrightarrow P \sigma$

$!!\sigma it. \llbracket it \subseteq \text{dom } (\alpha m); it \neq \text{dom } (\alpha m);$

$\neg (c \sigma);$

$I it \sigma \rrbracket \Longrightarrow P \sigma$

**shows** *P (iteratei m c f σ 0)*

*<proof>*

**lemma** *iterate-rule-P*:

$\llbracket$

*invar m*;

*I (dom (α m)) σ 0*;

$!!k v it \sigma. \llbracket k \in it; \alpha m k = \text{Some } v; it \subseteq \text{dom } (\alpha m); I it \sigma \rrbracket$

$\Longrightarrow I (it - \{k\}) (f (k, v) \sigma);$

$!!\sigma. I \{\} \sigma \Longrightarrow P \sigma$

$\rrbracket \Longrightarrow P (\text{iteratei } m (\lambda-. \text{True}) f \sigma 0)$

*<proof>*

**lemma** *iterate-rule-insert-P*:

$\llbracket$

*invar m*;

*I { } σ 0*;

$!!k v it \sigma. \llbracket k \in (\text{dom } (\alpha m) - it); \alpha m k = \text{Some } v; it \subseteq \text{dom } (\alpha m); I it \sigma \rrbracket$

$\Longrightarrow I (\text{insert } k it) (f (k, v) \sigma);$

$!!\sigma. I (\text{dom } (\alpha m)) \sigma \Longrightarrow P \sigma$

$\rrbracket \Longrightarrow P (\text{iteratei } m (\lambda-. \text{True}) f \sigma 0)$

*<proof>*

**end**

**lemma** *map-iteratei-I* :  
**assumes**  $\bigwedge m. \text{invar } m \implies \text{map-iterator } (\text{iti } m) (\alpha m)$   
**shows**  $\text{map-iteratei } \alpha \text{ invar it}$   
*<proof>*

**locale** *map-iterateoi* = *ordered-finite-map*  $\alpha$  *invar*  
**for**  $\alpha :: 's \Rightarrow ('u::\text{linorder}) \rightarrow 'v$  **and** *invar*  
 +  
**fixes** *iterateoi* ::  $'s \Rightarrow ('u \times 'v, 's)$  *set-iterator*  
**assumes** *iterateoi-rule*:  
 $\text{invar } m \implies \text{map-iterator-linord } (\text{iterateoi } m) (\alpha m)$   
**begin**

**lemma** *iterateoi-rule-P*[*case-names minv inv0 inv-pres i-complete i-inter*]:  
**assumes** *MINV*: *invar m*  
**assumes** *I0*:  $I (\text{dom } (\alpha m)) \sigma 0$   
**assumes** *IP*:  $!!k v \text{ it } \sigma. \llbracket$   
 $c \sigma;$   
 $k \in \text{it};$   
 $\forall j \in \text{it}. k \leq j;$   
 $\forall j \in \text{dom } (\alpha m) - \text{it}. j \leq k;$   
 $\alpha m k = \text{Some } v;$   
 $\text{it} \subseteq \text{dom } (\alpha m);$   
 $I \text{ it } \sigma$   
 $\rrbracket \implies I (\text{it} - \{k\}) (f (k, v) \sigma)$   
**assumes** *IF*:  $!!\sigma. I \{k\} \sigma \implies P \sigma$   
**assumes** *II*:  $!!\sigma \text{ it}. \llbracket$   
 $\text{it} \subseteq \text{dom } (\alpha m);$   
 $\text{it} \neq \{k\};$   
 $\neg c \sigma;$   
 $I \text{ it } \sigma;$   
 $\forall k \in \text{it}. \forall j \in \text{dom } (\alpha m) - \text{it}. j \leq k$   
 $\rrbracket \implies P \sigma$   
**shows**  $P (\text{iterateoi } m c f \sigma 0)$   
*<proof>*

**lemma** *iterateo-rule-P*[*case-names minv inv0 inv-pres i-complete*]:  
**assumes** *MINV*: *invar m*  
**assumes** *I0*:  $I (\text{dom } (\alpha m)) \sigma 0$   
**assumes** *IP*:  $!!k v \text{ it } \sigma. \llbracket k \in \text{it}; \forall j \in \text{it}. k \leq j; \forall j \in \text{dom } (\alpha m) - \text{it}. j \leq k; \alpha m$   
 $k = \text{Some } v; \text{it} \subseteq \text{dom } (\alpha m); I \text{ it } \sigma \rrbracket$   
 $\implies I (\text{it} - \{k\}) (f (k, v) \sigma)$   
**assumes** *IF*:  $!!\sigma. I \{k\} \sigma \implies P \sigma$   
**shows**  $P (\text{iterateoi } m (\lambda-. \text{True}) f \sigma 0)$   
*<proof>*  
**end**

**lemma** *map-iterateoi-I* :

**assumes**  $\bigwedge m. \text{invar } m \implies \text{map-iterator-linord } (\text{itoi } m) (\alpha m)$   
**shows**  $\text{map-iterateoi } \alpha \text{ invar itoi}$   
*<proof>*

**locale**  $\text{map-reverse-iterateoi} = \text{ordered-finite-map } \alpha \text{ invar}$   
**for**  $\alpha :: 's \Rightarrow ('u::\text{linorder}) \multimap 'v$  **and**  $\text{invar}$

+

**fixes**  $\text{reverse-iterateoi} :: 's \Rightarrow ('u \times 'v, 's) \text{ set-iterator}$

**assumes**  $\text{reverse-iterateoi-rule}$ :

$\text{invar } m \implies \text{map-iterator-rev-linord } (\text{reverse-iterateoi } m) (\alpha m)$

**begin**

**lemma**  $\text{reverse-iterateoi-rule-P}$ [*case-names minv inv0 inv-pres i-complete i-inter*]:

**assumes**  $\text{MINV}: \text{invar } m$

**assumes**  $\text{I0}: I (\text{dom } (\alpha m)) \sigma 0$

**assumes**  $\text{IP}: !!k v \text{ it } \sigma. \llbracket$

$c \sigma;$

$k \in \text{it};$

$\forall j \in \text{it}. k \geq j;$

$\forall j \in \text{dom } (\alpha m) - \text{it}. j \geq k;$

$\alpha m k = \text{Some } v;$

$\text{it} \subseteq \text{dom } (\alpha m);$

$I \text{ it } \sigma$

$\rrbracket \implies I (\text{it} - \{k\}) (f (k, v) \sigma)$

**assumes**  $\text{IF}: !!\sigma. I \{\} \sigma \implies P \sigma$

**assumes**  $\text{II}: !!\sigma \text{ it}. \llbracket$

$\text{it} \subseteq \text{dom } (\alpha m);$

$\text{it} \neq \{\};$

$\neg c \sigma;$

$I \text{ it } \sigma;$

$\forall k \in \text{it}. \forall j \in \text{dom } (\alpha m) - \text{it}. j \geq k$

$\rrbracket \implies P \sigma$

**shows**  $P (\text{reverse-iterateoi } m c f \sigma 0)$

*<proof>*

**lemma**  $\text{reverse-iterateo-rule-P}$ [*case-names minv inv0 inv-pres i-complete*]:

**assumes**  $\text{MINV}: \text{invar } m$

**assumes**  $\text{I0}: I (\text{dom } (\alpha m)) \sigma 0$

**assumes**  $\text{IP}: !!k v \text{ it } \sigma. \llbracket$

$k \in \text{it};$

$\forall j \in \text{it}. k \geq j;$

$\forall j \in \text{dom } (\alpha m) - \text{it}. j \geq k;$

$\alpha m k = \text{Some } v;$

$\text{it} \subseteq \text{dom } (\alpha m);$

$I \text{ it } \sigma$

$\rrbracket \implies I (\text{it} - \{k\}) (f (k, v) \sigma)$

**assumes**  $\text{IF}: !!\sigma. I \{\} \sigma \implies P \sigma$

**shows**  $P (\text{reverse-iterateoi } m (\lambda-. \text{True}) f \sigma 0)$

*<proof>*

**end**

**lemma** *map-reverse-iterateoi-I* :  
**assumes**  $\bigwedge m. \text{invar } m \implies \text{map-iterator-rev-linord } (\text{ritoi } m) (\alpha m)$   
**shows** *map-reverse-iterateoi*  $\alpha$  *invar ritoi*  
*<proof>*

**lemma** (**in** *poly-map-iteratei*) *v1-iteratei-impl*:  
*map-iteratei*  $\alpha$  *invar iteratei*  
*<proof>*

**lemma** (**in** *poly-map-iterateoi*) *v1-iterateoi-impl*:  
*map-iterateoi*  $\alpha$  *invar iterateoi*  
*<proof>*

**lemma** (**in** *poly-map-rev-iterateoi*) *v1-reverse-iterateoi-impl*:  
*map-reverse-iterateoi*  $\alpha$  *invar rev-iterateoi*  
*<proof>*

**declare** (**in** *poly-map-iteratei*) *v1-iteratei-impl*[*locale-witness-add*]  
**declare** (**in** *poly-map-iterateoi*) *v1-iterateoi-impl*[*locale-witness-add*]  
**declare** (**in** *poly-map-rev-iterateoi*)  
*v1-reverse-iterateoi-impl*[*locale-witness-add*]

### Concrete Operation Names

We define abbreviations to recover the *xx-op*-names

*<ML>*

**lemmas** *hs-correct* = *hs.correct*  
**lemmas** *hm-correct* = *hm.correct*  
**lemmas** *rs-correct* = *rs.correct*  
**lemmas** *rm-correct* = *rm.correct*  
**lemmas** *ls-correct* = *ls.correct*  
**lemmas** *lm-correct* = *lm.correct*  
**lemmas** *lsi-correct* = *lsi.correct*  
**lemmas** *lmi-correct* = *lmi.correct*  
**lemmas** *lsnd-correct* = *lsnd.correct*  
**lemmas** *lss-correct* = *lss.correct*  
**lemmas** *ts-correct* = *ts.correct*  
**lemmas** *tm-correct* = *tm.correct*  
**lemmas** *ias-correct* = *ias.correct*  
**lemmas** *iam-correct* = *iam.correct*  
**lemmas** *ahs-correct* = *ahs.correct*  
**lemmas** *ahm-correct* = *ahm.correct*  
**lemmas** *binoc-correct* = *binoc.correct*  
**lemmas** *fifoc-correct* = *fifoc.correct*  
**lemmas** *ft-correct* = *ft.correct*  
**lemmas** *alprioic-correct* = *alprioic.correct*

**lemmas** *aluprioi-correct* = *aluprioi.correct*  
**lemmas** *skew-correct* = *skew.correct*

**locale** *list-enqueue* = *list-appendr*  
**locale** *list-dequeue* = *list-remove*

**locale** *list-push* = *list-appendl*  
**locale** *list-pop* = *list-remove*  
**locale** *list-top* = *list-leftmost*  
**locale** *list-bot* = *list-rightmost*

**instantiation** *rbt* :: (*equal*, *linorder*), *equal*) *equal*  
**begin**

**definition** *equal-class.equal* (*r* :: ('a, 'b) *rbt*) *r'*  
 == *RBT.impl-of r* = *RBT.impl-of r'*

**instance**  
 <*proof*>  
**end**  
  
**end**

# Chapter 4

## Entry Points

### 4.1 Entry Points

This chapter describes the overall entrypoints to the combination of Automatic Refinement Framework, Monadic Refinement Framework, and Collection Framework. These are the theories a typical algorithm development should be based on.

#### 4.1.1 Default Setup

**theory** *Refine-Dflt*

**imports**

*Refine-Monadic.Refine-Monadic*

*GenCF/GenCF*

*Lib/Code-Target-ICF*

**begin**

Configurations

**lemmas** *tyrel-dflt-nat-set* =  
*ty-REL*[**where** *'a=nat set* **and**  $R=\langle Id \rangle$  *dflt-rs-rel*]

**lemmas** *tyrel-dflt-bool-set* =  
*ty-REL*[**where** *'a=bool set* **and**  $R=\langle Id \rangle$  *list-set-rel*]

**lemmas** *tyrel-dflt-nat-map* =  
*ty-REL*[**where**  $R=\langle nat-rel, Rv \rangle$  *dflt-rm-rel*] **for** *Rv*

**lemmas** *tyrel-dflt-old* = *tyrel-dflt-nat-set tyrel-dflt-bool-set tyrel-dflt-nat-map*

**lemmas** *tyrel-dflt-linorder-set* =  
*ty-REL*[**where**  $R=\langle Id::('a::linorder \times 'a) set \rangle$  *dflt-rs-rel*]

**lemmas** *tyrel-dflt-linorder-map* =  
*ty-REL*[**where**  $R=\langle Id::('a::linorder \times 'a) set, R \rangle$  *dflt-rm-rel*] **for** *R*

```

lemmas tyrel-dflt-bool-map =
  ty-REL[where  $R = \langle Id :: (bool \times bool) \text{ set}, R \rangle list\text{-map-rel}$ ] for  $R$ 

lemmas tyrel-dflt = tyrel-dflt-linorder-set tyrel-dflt-bool-set tyrel-dflt-linorder-map
tyrel-dflt-bool-map

declare tyrel-dflt[autoref-tyrel]

```

*<ML>*

Fallbacks

*<ML>*

Quick test of setup:

```

context begin
private schematic-goal test-dflt-tyrel1: ( $?c :: ?'c, \{1, 2, 3 :: int\} \in ?R$ )
  <proof> lemmas asm-rl[of  $-\in \langle int-rel \rangle dflt-rs-rel, OF test-dflt-tyrel1$ ]

private schematic-goal test-dflt-tyrel2: ( $?c :: ?'c, \{True, False\} \in ?R$ )
  <proof> lemmas asm-rl[of  $-\in \langle bool-rel \rangle list-set-rel, OF test-dflt-tyrel2$ ]

private schematic-goal test-dflt-tyrel3: ( $?c :: ?'c, [1 :: int \mapsto 0 :: nat] \in ?R$ )
  <proof> lemmas asm-rl[of  $-\in \langle int-rel, nat-rel \rangle dflt-rm-rel, OF test-dflt-tyrel3$ ]

private schematic-goal test-dflt-tyrel4: ( $?c :: ?'c, [False \mapsto 0 :: nat] \in ?R$ )
  <proof> lemmas asm-rl[of  $-\in \langle bool-rel, nat-rel \rangle list-map-rel, OF test-dflt-tyrel4$ ]

end

```

**end**

### 4.1.2 Entry Point with genCF and original ICF

```

theory Refine-Dflt-ICF
imports
  Refine-Monadic.Refine-Monadic
  GenCF/GenCF
  ICF/Collections
  Lib/Code-Target-ICF
begin

```

Contains the Monadic Refinement Framework, the generic collection framework and the original Isabelle Collection Framework



$\langle ML \rangle$ 

Fallbacks

 $\langle ML \rangle$ **class** *id-refine***instance** *nat* :: *id-refine*  $\langle proof \rangle$ **instance** *bool* :: *id-refine*  $\langle proof \rangle$ **instance** *int* :: *id-refine*  $\langle proof \rangle$ **lemmas** [*autoref-tyrel*] =*ty-REL*[**where** 'a=*nat* **and** *R*=*nat-rel*]*ty-REL*[**where** 'a=*int* **and** *R*=*int-rel*]*ty-REL*[**where** 'a=*bool* **and** *R*=*bool-rel*]**lemmas** [*autoref-tyrel*] =*ty-REL*[**where** 'a=*nat set* **and** *R*= $\langle Id \rangle rs.rel$ ]*ty-REL*[**where** 'a=*int set* **and** *R*= $\langle Id \rangle rs.rel$ ]*ty-REL*[**where** 'a=*bool set* **and** *R*= $\langle Id \rangle lsi.rel$ ]**lemmas** [*autoref-tyrel*] =*ty-REL*[**where** 'a=(*nat*  $\rightarrow$  'b), **where** *R*= $\langle nat-rel, Rv \rangle dflt-rm-rel$ ]*ty-REL*[**where** 'a=(*int*  $\rightarrow$  'b), **where** *R*= $\langle int-rel, Rv \rangle dflt-rm-rel$ ]*ty-REL*[**where** 'a=(*bool*  $\rightarrow$  'b), **where** *R*= $\langle bool-rel, Rv \rangle dflt-rm-rel$ ]**for** *Rv***lemmas** [*autoref-tyrel*] =*ty-REL*[**where** 'a=(*nat*  $\rightarrow$  'b::*id-refine*), **where** *R*= $\langle nat-rel, Id \rangle rm.rel$ ]*ty-REL*[**where** 'a=(*int*  $\rightarrow$  'b::*id-refine*), **where** *R*= $\langle int-rel, Id \rangle rm.rel$ ]*ty-REL*[**where** 'a=(*bool*  $\rightarrow$  'b::*id-refine*), **where** *R*= $\langle bool-rel, Id \rangle rm.rel$ ]**end**

### 4.1.3 Entry Point with only the ICF

**theory** *Refine-Dflt-Only-ICF***imports***Refine-Monadic.Refine-Monadic**ICF/Collections**Lib/Code-Target-ICF***begin**

Contains the Monadic Refinement Framework and the original Isabelle Collection Framework. The generic collection framework is not included

 $\langle ML \rangle$ **end**



# Chapter 5

## Userguides

This chapter contains various userguides.

### 5.1 Old Monadic Refinement Framework Userguide

#### 5.1.1 Introduction

This is the old userguide from Refine-Monadic. It contains the manual approach of using the monadic refinement framework with the Isabelle Collection Framework. An alternative, more simple approach is provided by the Automatic Refinement Framework and the Generic Collection Framework. The Isabelle/HOL refinement framework is a library that supports program and data refinement.

Programs are specified using a nondeterminism monad: An element of the monad type is either a set of results, or the special element *FAIL*, that indicates a failed assertion.

The bind-operation of the monad applies a function to all elements of the result-set, and joins all possible results.

On the monad type, an ordering  $\leq$  is defined, that is lifted subset ordering, where *FAIL* is the greatest element. Intuitively,  $S \leq S'$  means that program  $S$  refines program  $S'$ , i.e., all results of  $S$  are also results of  $S'$ , and  $S$  may only fail if  $S'$  also fails.

#### 5.1.2 Guided Tour

In this section, we provide a small example program development in our framework. All steps of the development are heavily commented.

## Defining Programs

A program is defined using the Haskell-like do-notation, that is provided by the Isabelle/HOL library. We start with a simple example, that iterates over a set of numbers, and computes the maximum value and the sum of all elements.

**definition** *sum-max* :: *nat set*  $\Rightarrow$  (*nat* $\times$ *nat*) *nres* **where**  
*sum-max* *V*  $\equiv$  *do* {  
 (*s,m*)  $\leftarrow$  *WHILE* ( $\lambda(V,s,m). V \neq \{\}$ ) ( $\lambda(V,s,m). do$  {  
   *x*  $\leftarrow$  *SPEC* ( $\lambda x. x \in V$ );  
   *let* *V* = *V* - {*x*};  
   *let* *s* = *s* + *x*;  
   *let* *m* = *max* *m* *x*;  
   *RETURN* (*V,s,m*)  
 }) (*V,0,0*);  
*RETURN* (*s,m*)  
}

The type of the nondeterminism monad is '*a nres*', where '*a*' is the type of the results. Note that this program has only one possible result, however, the order in which we iterate over the elements of the set is unspecified.

This program uses the following statements provided by our framework: While-loops, bindings, return, and specification. We briefly explain the statements here. A complete reference can be found in Section 5.1.5.

A while-loop has the form *WHILE* *b f*  $\sigma_0$ , where *b* is the continuation condition, *f* is the loop body, and  $\sigma_0$  is the initial state. In our case, the state used for the loop is a triple (*V, s, m*), where *V* is the set of remaining elements, *s* is the sum of the elements seen so far, and *m* is the maximum of the elements seen so far. The *WHILE* *b f*  $\sigma_0$  construct describes a partially correct loop, i.e., it describes only those results that can be reached by finitely many iterations, and ignores infinite paths of the loop. In order to prove total correctness, the construct *WHILE<sub>T</sub>* *b f*  $\sigma_0$  is used. It fails if there exists an infinite execution of the loop.

A binding *do* {*x*  $\leftarrow$  (*S<sub>1</sub>* :: '*a nres*'); *S<sub>2</sub>*} nondeterministically chooses a result of *S<sub>1</sub>*, binds it to variable *x*, and then continues with *S<sub>2</sub>*. If *S<sub>1</sub>* is *FAIL*, the bind statement also fails.

The syntactic form *do* { *let* *x* = *V*; (*S* :: '*a*  $\Rightarrow$  '*b nres*') } assigns the value *V* to variable *x*, and continues with *S*.

The return statement *RETURN* *x* specifies precisely the result *x*.

The specification statement *SPEC*  $\Phi$  describes all results that satisfy the predicate  $\Phi$ . This is the source of nondeterminism in programs, as there may be more than one such result. In our case, we describe any element of set *V*.

Note that these statement are shallowly embedded into Isabelle/HOL, i.e.,

they are ordinary Isabelle/HOL constants. The main advantage is, that any other construct and datatype from Isabelle/HOL may be used inside programs. In our case, we use Isabelle/HOL's predefined operations on sets and natural numbers. Another advantage is that extending the framework with new commands becomes fairly easy.

### Proving Programs Correct

The next step in the program development is to prove the program correct w.r.t. a specification. In refinement notion, we have to prove that the program  $S$  refines a specification  $\Phi$  if the precondition  $\Psi$  holds, i.e.,  $\Psi \Longrightarrow S \leq SPEC \Phi$ .

For our purposes, we prove that *sum-max* really computes the sum and the maximum.

As usual, we have to think of a loop invariant first. In our case, this is rather straightforward. The main complication is introduced by the partially defined *Max*-operator of the Isabelle/HOL standard library.

**definition** *sum-max-invar*  $V_0 \equiv \lambda(V, s::nat, m)$ .

$$\begin{aligned} & V \subseteq V_0 \\ & \wedge s = \sum (V_0 - V) \\ & \wedge m = (\text{if } (V_0 - V) = \{\} \text{ then } 0 \text{ else } \text{Max } (V_0 - V)) \\ & \wedge \text{finite } (V_0 - V) \end{aligned}$$

We have extracted the most complex verification condition — that the invariant is preserved by the loop body — to an own lemma. For complex proofs, it is always a good idea to do that, as it makes the proof more readable.

**lemma** *sum-max-invar-step*:

**assumes**  $x \in V$  *sum-max-invar*  $V_0 (V, s, m)$   
**shows** *sum-max-invar*  $V_0 (V - \{x\}, s+x, \text{max } m x)$

In our case the proof is rather straightforward, it only requires the lemma *it-step-insert-iff*, that handles the  $V_0 - (V - \{x\})$  terms that occur in the invariant.

*<proof>*

The correctness is now proved by first invoking the verification condition generator, and then discharging the verification conditions by *auto*. Note that we have to apply the *sum-max-invar-step* lemma, *before* we unfold the definition of the invariant to discharge the remaining verification conditions.

**theorem** *sum-max-correct*:

**assumes** *PRE*:  $V \neq \{\}$   
**shows** *sum-max*  $V \leq SPEC (\lambda(s, m). s = \sum V \wedge m = \text{Max } V)$

The precondition  $V \neq \{\}$  is necessary, as the *Max*-operator from Isabelle/HOL's standard library is not defined for empty sets.

*<proof>*

In this proof, we specified the invariant explicitly. Alternatively, we may annotate the invariant at the while loop, using the syntax  $WHILE^I b f \sigma_0$ . Then, the verification condition generator will use the annotated invariant automatically.

**Total Correctness** Now, we reformulate our program to use a total correct while loop, and annotate the invariant at the loop. The invariant is strengthened by stating that the set of elements is finite.

**definition**  $sum-max'-invar V_0 \sigma \equiv$   
 $sum-max-invar V_0 \sigma$   
 $\wedge (let (V, -, -) = \sigma \text{ in } finite (V_0 - V))$

**definition**  $sum-max' :: nat \text{ set} \Rightarrow (nat \times nat) \text{ nres}$  **where**  
 $sum-max' V \equiv do \{$   
 $(-, s, m) \leftarrow WHILE_T^{sum-max'-invar V} (\lambda(V, s, m). V \neq \{\}) (\lambda(V, s, m). do \{$   
 $x \leftarrow SPEC (\lambda x. x \in V);$   
 $let V = V - \{x\};$   
 $let s = s + x;$   
 $let m = max m x;$   
 $RETURN (V, s, m)$   
 $\}) (V, 0, 0);$   
 $RETURN (s, m)$   
 $\}$

**theorem**  $sum-max'-correct:$

**assumes**  $NE: V \neq \{\}$  **and**  $FIN: finite V$   
**shows**  $sum-max' V \leq SPEC (\lambda(s, m). s = \sum V \wedge m = Max V)$   
*<proof>*

## Refinement

The next step in the program development is to refine the initial program towards an executable program. This usually involves both, program refinement and data refinement. Program refinement means changing the structure of the program. Usually, some specification statements are replaced by more concrete implementations. Data refinement means changing the used data types towards implementable data types.

In our example, we implement the set  $V$  with a distinct list, and replace the specification statement  $SPEC (\lambda x. x \in V)$  by the head operation on distinct lists. For the lists, we use the list-set data structure provided by the Isabelle Collection Framework [2, 4].

For this example, we write the refined program ourselves. An automation of this task can be achieved with the automatic refinement tool, which is avail-

able as a prototype in Refine-Autoref. Usage examples are in `ex/Automatic-Refinement`.

**definition** *sum-max-impl* ::  $nat\ ls \Rightarrow (nat \times nat)\ nres$  **where**

```

sum-max-impl V ≡ do {
  (-,s,m) ← WHILE (λ(V,s,m). ¬ls.isEmpty V) (λ(V,s,m). do {
    x ← RETURN (the (ls.sel V (λx. True)));
    let V=ls.delete x V;
    let s=s+x;
    let m=max m x;
    RETURN (V,s,m)
  }) (V,0,0);
  RETURN (s,m)
}

```

Note that we replaced the operations on sets by the respective operations on lists (with the naming scheme *ls.xxx*). The specification statement was replaced by *the (ls.sel V (λx. True))*, i.e., selection of an element that satisfies the predicate  $\lambda x. True$ . As *ls.sel* returns an option datatype, we extract the value with *the*. Moreover, we omitted the loop invariant, as we don't need it any more.

Next, we have to show that our concrete program actually refines the abstract one.

**theorem** *sum-max-impl-refine*:  
**assumes**  $(V, V') \in build\_rel\ ls.\alpha\ ls.invar$   
**shows**  $sum-max-impl\ V \leq \Downarrow Id\ (sum-max\ V')$

Let  $R$  be a *refinement relation*<sup>1</sup>, that relates concrete and abstract values.

Then, the function  $\Downarrow R$  maps a result-set over abstract values to the greatest result-set over concrete values that is compatible w.r.t.  $R$ . The value *FAIL* is mapped to itself.

Thus, the proposition  $S \leq \Downarrow R\ S'$  means, that  $S$  refines  $S'$  w.r.t.  $R$ , i.e., every value in the result of  $S$  can be abstracted to a value in the result of  $S'$ .

Usually, the refinement relation consists of an invariant  $I$  and an abstraction function  $\alpha$ . In this case, we may use the *br I α*-function to define the refinement relation.

In our example, we assume that the input is in the refinement relation specified by list-sets, and show that the output is in the identity relation. We use the identity here, as we do not change the datatypes of the output.

The proof is done automatically by the refinement verification condition generator. Note that the theory *Collection-Bindings* sets up all the necessary lemmas to discharge refinement conditions for the collection framework.

*<proof>*

Refinement is transitive, so it is easy to show that the concrete program meets the specification.

---

<sup>1</sup>Also called coupling invariant.

**theorem** *sum-max-impl-correct*:

**assumes**  $(V, V') \in \text{build-rel } ls.\alpha \text{ } ls.\text{invar}$  **and**  $V' \neq \{\}$

**shows**  $\text{sum-max-impl } V \leq \text{SPEC } (\lambda(s, m). s = \sum V' \wedge m = \text{Max } V')$

*<proof>*

Just for completeness, we also refine the total correct program in the same way.

**definition** *sum-max'-impl* ::  $\text{nat } ls \Rightarrow (\text{nat} \times \text{nat}) \text{ nres}$  **where**

```

sum-max'-impl V  $\equiv$  do {
  (-, s, m)  $\leftarrow$  WHILET ( $\lambda(V, s, m). \neg ls.\text{isEmpty } V$ ) ( $\lambda(V, s, m).$  do {
    x  $\leftarrow$  RETURN (the ( $ls.\text{sel } V (\lambda x. \text{True})$ ));
    let V =  $ls.\text{delete } x \ V$ ;
    let s =  $s + x$ ;
    let m =  $\text{max } m \ x$ ;
    RETURN (V, s, m)
  }) (V, 0, 0);
  RETURN (s, m)
}

```

**theorem** *sum-max'-impl-refine*:

$(V, V') \in \text{build-rel } ls.\alpha \text{ } ls.\text{invar} \implies \text{sum-max'-impl } V \leq \Downarrow \text{Id } (\text{sum-max}' V')$

*<proof>*

**theorem** *sum-max'-impl-correct*:

**assumes**  $(V, V') \in \text{build-rel } ls.\alpha \text{ } ls.\text{invar}$  **and**  $V' \neq \{\}$

**shows**  $\text{sum-max'-impl } V \leq \text{SPEC } (\lambda(s, m). s = \sum V' \wedge m = \text{Max } V')$

*<proof>*

## Code Generation

In order to generate code from the above definitions, we convert the function defined in our monad to an ordinary, deterministic function, for that the Isabelle/HOL code generator can generate code.

For partial correct algorithms, we can generate code inside a deterministic result monad. The domain of this monad is a flat complete lattice, where top means a failed assertion and bottom means nontermination. (Note that executing a function in this monad will never return bottom, but just diverge). The construct *nres-of*  $x$  embeds the deterministic into the nondeterministic monad.

Thus, we have to construct a function *?sum-max-code* such that:

**schematic-goal** *sum-max-code-aux*:  $\text{nres-of } ?\text{sum-max-code} \leq \text{sum-max-impl } V$

This is done automatically by the transfer procedure of our framework.

*<proof>*

In order to define the function from the above lemma, we can use the command *concrete-definition*, that is provided by our framework:



**concrete-definition** *sum-max-code* for  $V$  uses *sum-max-code-aux*

This defines a new constant *sum-max-code*:

**thm** *sum-max-code-def*

And proves the appropriate refinement lemma:

**thm** *sum-max-code.refine*

Note that the *concrete-definition* command is sensitive to patterns of the form *RETURN* - and *nres-of*, in which case the defined constant will not contain the *RETURN* or *nres-of*. In any other case, the defined constant will just be the left hand side of the refinement statement.

Finally, we can prove a correctness statement that is independent from our refinement framework:

**theorem** *sum-max-code-correct*:

**assumes**  $ls.\alpha V \neq \{\}$

**shows**  $sum-max-code V = dRETURN (s,m) \implies s = \sum (ls.\alpha V) \wedge m = Max (ls.\alpha V)$

**and**  $sum-max-code V \neq dFAIL$

The proof is done by transitivity, and unfolding some definitions:

*<proof>*

For total correctness, the approach is the same. The only difference is, that we use *RETURN* instead of *nres-of*:

**schematic-goal** *sum-max'-code-aux*:

$RETURN ?sum-max'-code \leq sum-max'-impl V$

*<proof>*

**concrete-definition** *sum-max'-code* for  $V$  uses *sum-max'-code-aux*

**theorem** *sum-max'-code-correct*:

$[[ls.\alpha V \neq \{\}]] \implies sum-max'-code V = (\sum (ls.\alpha V), Max (ls.\alpha V))$

*<proof>*

If we use recursion combinators, a plain function can only be generated, if the recursion combinators can be defined. Alternatively, for total correct programs, we may generate a (plain) function that internally uses the deterministic monad, and then extracts the result.

**schematic-goal** *sum-max''-code-aux*:

$RETURN ?sum-max''-code \leq sum-max'-impl V$

*<proof>*

**concrete-definition** *sum-max''-code* for  $V$  uses *sum-max''-code-aux*

**theorem** *sum-max''-code-correct*:

$\llbracket ls.\alpha V \neq \{\} \rrbracket \implies \text{sum-max''-code } V = (\sum (ls.\alpha V), \text{Max } (ls.\alpha V))$   
 $\langle \text{proof} \rangle$

Now, we can generate verified code with the Isabelle/HOL code generator:

```
export-code sum-max-code sum-max'-code sum-max''-code checking SML
export-code sum-max-code sum-max'-code sum-max''-code checking OCaml?
export-code sum-max-code sum-max'-code sum-max''-code checking Haskell?
export-code sum-max-code sum-max'-code sum-max''-code checking Scala
```

### Foreach-Loops

In the *sum-max* example above, we used a while-loop to iterate over the elements of a set. As this pattern is used commonly, there is an abbreviation for it in the refinement framework. The construct *FOREACH*  $S f \sigma_0$  iterates  $f::'x \Rightarrow 's \Rightarrow 's$  for each element in  $S::'x \text{ set}$ , starting with state  $\sigma_0::'s$ .

With foreach-loops, we could have written our example as follows:

**definition** *sum-max-it* ::  $\text{nat set} \Rightarrow (\text{nat} \times \text{nat}) \text{ nres}$  **where**  
 $\text{sum-max-it } V \equiv \text{FOREACH } V (\lambda x (s,m). \text{RETURN } (s+x, \text{max } m x)) (0,0)$

**theorem** *sum-max-it-correct*:

**assumes** *PRE*:  $V \neq \{\}$  **and** *FIN*: *finite*  $V$   
**shows**  $\text{sum-max-it } V \leq \text{SPEC } (\lambda (s,m). s = \sum V \wedge m = \text{Max } V)$   
 $\langle \text{proof} \rangle$

**definition** *sum-max-it-impl* ::  $\text{nat } ls \Rightarrow (\text{nat} \times \text{nat}) \text{ nres}$  **where**

$\text{sum-max-it-impl } V \equiv \text{FOREACH } (ls.\alpha V) (\lambda x (s,m). \text{RETURN } (s+x, \text{max } m x)) (0,0)$

Note: The nondeterminism for iterators is currently resolved at transfer phase, where they are replaced by iterators from the ICF.

**lemma** *sum-max-it-impl-refine*:

**notes** [*refine*] = *inj-on-id*  
**assumes**  $(V, V') \in \text{build-rel } ls.\alpha \text{ } ls.\text{invar}$   
**shows**  $\text{sum-max-it-impl } V \leq \Downarrow \text{Id } (\text{sum-max-it } V')$   
 $\langle \text{proof} \rangle$

**schematic-goal** *sum-max-it-code-aux*:

$\text{RETURN } ?\text{sum-max-it-code} \leq \text{sum-max-it-impl } V$   
 $\langle \text{proof} \rangle$

Note that the transfer method has replaced the iterator by an iterator from the Isabelle Collection Framework.

**thm** *sum-max-it-code-aux*

**concrete-definition** *sum-max-it-code* **for**  $V$  **uses** *sum-max-it-code-aux*

**theorem** *sum-max-it-code-correct*:

**assumes**  $ls.\alpha V \neq \{\}$

**shows** *sum-max-it-code*  $V = (\sum (ls.\alpha V), Max (ls.\alpha V))$   
 ⟨*proof*⟩

**export-code** *sum-max-it-code* **checking** *SML*  
**export-code** *sum-max-it-code* **checking** *OCaml?*  
**export-code** *sum-max-it-code* **checking** *Haskell?*  
**export-code** *sum-max-it-code* **checking** *Scala*

**definition** *sum-max-it-list*  $\equiv$  *sum-max-it-code* *o* *ls.from-list*  
 ⟨*ML*⟩

### 5.1.3 Pointwise Reasoning

In this section, we describe how to use pointwise reasoning to prove refinement statements and other relations between element of the nondeterminism monad.

Pointwise reasoning is often a powerful tool to show refinement between structurally different program fragments.

The refinement framework defines the predicates *nofail* and *inres*. *nofail S* states that *S* does not fail, and *inres S x* states that one possible result of *S* is *x* (Note that this includes the case that *S* fails).

Equality and refinement can be stated using *nofail* and *inres*:

$$(?S = ?S') = (nofail ?S = nofail ?S' \wedge (\forall x. inres ?S x = inres ?S' x))$$

$$(?S \leq ?S') = (nofail ?S' \longrightarrow nofail ?S \wedge (\forall x. inres ?S x \longrightarrow inres ?S' x))$$

Useful corollaries of this lemma are *pw-leI*, *pw-eqI*, and *pwD*.

Once a refinement has been expressed via *nofail/inres*, the simplifier can be used to propagate the *nofail* and *inres* predicates inwards over the structure of the program. The relevant lemmas are contained in the named theorem collection *refine-pw-simps*.

As an example, we show refinement of two structurally different programs here, both returning some value in a certain range:

**lemma** *do* { *ASSERT* (*fst p* > 2); *SPEC* ( $\lambda x. x \leq (2::nat) * (fst p + snd p)$ ) }  
 ≤ *do* { *let* (*x,y*)=*p*; *z*←*SPEC* ( $\lambda z. z \leq x+y$ );  
           *a*←*SPEC* ( $\lambda a. a \leq x+y$ ); *ASSERT* (*x*>2); *RETURN* (*a+z*) }  
 ⟨*proof*⟩

### 5.1.4 Arbitrary Recursion (TBD)

While-loops are suited to express tail-recursion. In order to express arbitrary recursion, the refinement framework provides the *nrec-mode* for the *partial-function* command, as well as the fixed point combinators *REC* (partial correctness) and *REC<sub>T</sub>* (total correctness).

Examples for *partial-function* can be found in *ex/Refine-Fold*. Examples for the recursion combinators can be found in *ex/Recursion* and *ex/Nested-DFS*.

### 5.1.5 Reference

#### Statements

*SUCCEED* The empty set of results. Least element of the refinement ordering.

*FAIL* Result that indicates a failing assertion. Greatest element of the refinement ordering.

*RES X* All results from set  $X$ .

*RETURN x* Return single result  $x$ . Defined in terms of *RES*: *RETURN x* = *RES {x}*.

*EMBED r* Embed partial-correctness option type, i.e., succeed if  $r=None$ , otherwise return value of  $r$ .

*SPEC  $\Phi$*  Specification. All results that satisfy predicate  $\Phi$ . Defined in terms of *RES*: *SPEC  $\Phi$*  = *SPEC  $\Phi$*

*bind M f* Binding. Nondeterministically choose a result from  $M$  and apply  $f$  to it. Note that usually the *do*-notation is used, i.e., *do {x←M; f x}* or *do {M;f}* if the result of  $M$  is not important. If  $M$  fails, *bind M f* also fails.

*ASSERT  $\Phi$*  Assertion. Fails if  $\Phi$  does not hold, otherwise returns (). Note that the default usage with the *do*-notation is: *do {ASSERT  $\Phi$ ; f}*.

*ASSUME  $\Phi$*  Assumption. Succeeds if  $\Phi$  does not hold, otherwise returns (). Note that the default usage with the *do*-notation is: *do {ASSUME  $\Phi$ ; f}*.

*REC body* Recursion for partial correctness. May be used to express arbitrary recursion. Returns *SUCCEED* on nontermination.

*REC<sub>T</sub> body* Recursion for total correctness. Returns *FAIL* on nontermination.

*WHILE b f  $\sigma_0$*  Partial correct while-loop. Start with state  $\sigma_0$ , and repeatedly apply  $f$  as long as  $b$  holds for the current state. Non-terminating paths are ignored, i.e., they do not contribute a result.

*WHILE<sub>T</sub> b f  $\sigma_0$*  Total correct while-loop. If there is a non-terminating path, the result is *FAIL*.

$WHILE^I b f \sigma_0$ ,  $WHILE_T^I b f \sigma_0$  While-loop with annotated invariant. It is asserted that the invariant holds.

$FOREACH S f \sigma_0$  Foreach loop. Start with state  $\sigma_0$ , and transform the state with  $f x$  for each element  $x \in S$ . Asserts that  $S$  is finite.

$FOREACH^I S f \sigma_0$  Foreach-loop with annotated invariant.

Alternative syntax:  $FOREACH^I S f \sigma_0$ .

The invariant is a predicate of type  $I::'a \text{ set} \Rightarrow 'b \Rightarrow \text{bool}$ , where  $I \text{ it}$   $\sigma$  means, that the invariant holds for the remaining set of elements  $it$  and current state  $\sigma$ .

$FOREACH_C S c f \sigma_0$  Foreach-loop with explicit continuation condition.

Alternative syntax:  $FOREACH_C S c f \sigma_0$ .

If  $c::'\sigma \Rightarrow \text{bool}$  becomes false for the current state, the iteration immediately terminates.

$FOREACH_C^I S c f \sigma_0$  Foreach-loop with explicit continuation condition and annotated invariant.

Alternative syntax:  $FOREACH_C^I S c f \sigma_0$ .

*partial-function (nrec)* Mode of the partial function package for the non-determinism monad.

## Refinement

$(\leq)$  Refinement ordering.  $S \leq S'$  means, that every result in  $S$  is also a result in  $S'$ . Moreover,  $S$  may only fail if  $S'$  fails.  $\leq$  forms a complete lattice, with least element *SUCCEED* and greatest element *FAIL*.

$\Downarrow R$  Concretization. Takes a refinement relation  $R::('c \times 'a) \text{ set}$  that relates concrete to abstract values, and returns a concretization function  $\Downarrow R$ .

$\Uparrow R$  Abstraction. Takes a refinement relation and returns an abstraction function. The functions  $\Downarrow R$  and  $\Uparrow R$  form a Galois-connection, i.e., we have:  $S \leq \Downarrow R S' \iff \Uparrow R S \leq S'$ .

$br \alpha I$  Builds a refinement relation from an abstraction function and an invariant. Those refinement relations are always single-valued.

*nofail*  $S$  Predicate that states that  $S$  does not fail.

*inres*  $S x$  Predicate that states that  $S$  includes result  $x$ . Note that a failing program includes all results.

## Proof Tools

Verification Condition Generator:

**Method:** *intro refine-vcg*

**Attributes:** *refine-vcg*

Transforms a subgoal of the form  $S \leq SPEC \Phi$  into verification conditions by decomposing the structure of  $S$ . Invariants for loops without annotation must be specified explicitly by instantiating the respective proof-rule for the loop construct, e.g., *intro WHILE-rule[where I=...]* *refine-vcg*.

*refine-vcg* is a named theorems collection that contains the rules that are used by default.

Refinement Condition Generator:

**Method:** *refine-rcg* [thms].

**Attributes:** *refine0*, *refine*, *refine2*.

**Flags:** *refine-no-prod-split*.

Tries to prove a subgoal of the form  $S \leq \Downarrow R S'$  by decomposing the structure of  $S$  and  $S'$ . The rules to be used are contained in the theorem collection *refine*. More rules may be passed as argument to the method. Rules contained in *refine0* are always tried first, and rules in *refine2* are tried last. Usually, rules that decompose both programs equally should be put into *refine*. Rules that may make big steps, without decomposing the program further, should be put into *refine0* (e.g., *Id-refine*). Rules that decompose the programs differently and shall be used as last resort before giving up should be put into *refine2*, e.g., *remove-Let-refine*.

By default, this procedure will invoke the splitter to split product types in the goals. This behaviour can be disabled by setting the flag *refine-no-prod-split*.

Refinement Relation Heuristics:

**Method:** *refine-dref-type* [(trace)].

**Attributes:** *refine-dref-RELATES*, *refine-dref-pattern*.

**Flags:** *refine-dref-tracing*.

Tries to instantiate schematic refinement relations based on their type. By default, this rule is applied to all subgoals. Internally, it uses the rules declared as *refine-dref-pattern* to introduce a goal of the form

*RELATES ?R*, that is then solved by exhaustively applying rules declared as *refine-dref-RELATES*.

The flag *refine-dref-tracing* controls tracing of resolving *RELATES*-goals. Tracing may also be enabled by passing (trace) as argument.

Pointwise Reasoning Simplification Rules:

**Attributes:** *refine-pw-simps*

A theorem collection that contains simplification lemmas to push inwards *nofail* and *inres* predicates into program constructs.

Refinement Simp Rules:

**Attributes:** *refine-hsimp*

A theorem collection that contains some simplification lemmas that are useful to prove membership in refinement relations.

Transfer:

**Method:** *refine-transfer* [thms]

**Attribute:** *refine-transfer*

Tries to prove a subgoal of the form  $\alpha f \leq S$  by decomposing the structure of  $f$  and  $S$ . This is usually used in connection with a schematic lemma, to generate  $f$  from the structure of  $S$ .

The theorems declared as *refine-transfer* are used to do the transfer. More theorems may be passed as arguments to the method. Moreover, some simplification for nested abstraction over product types ( $\lambda(a,b)(c,d) \dots$ ) is done, and the monotonicity prover is used on monotonicity goals.

There is a standard setup for  $\alpha=RETURN$  (transfer to plain function for total correct code generation), and  $\alpha=nres-of$  (transfer to deterministic result monad, for partial correct code generation).

Automatic Refinement:

**Method:** *refine-autoref*

**Attributes:** ...

See automatic refinement package for documentation (TBD)

Concrete Definition:

**Command:** *concrete-definition name [attribs] for params uses thm* where *attribs* and the *for*-part are optional.

Declares a new constant from the left-hand side of a refinement lemma. Has special handling for left-hand sides of the forms *RETURN* - and *nres-of*, in which cases those topmost functions are not included in the defined constant.

The refinement lemma is folded with the new constant and registered as *name.refine*.

**Command:** *prepare-code-thms thms* takes a list of definitional theorems and sets up lemmas for the code generator for those definitions. This includes handling of recursion combinators.

## Packages

The following parts of the refinement framework are not included by default, but can be imported if necessary:

Collection-Bindings: Sets up refinement rules for the Isabelle Collection Framework. With this theory loaded, the refinement condition generator will discharge most data refinements using the ICF automatically. Moreover, the transfer procedure will replace *FOREACH*-statements by the corresponding ICF-iterators.

end

## 5.2 Isabelle Collections Framework Userguide

### 5.2.1 Introduction

This is the Userguide for the (old) Isabelle Collection Framework. It does not cover the Generic Collection Framework, nor the Automatic Refinement Framework.

The Isabelle Collections Framework defines interfaces of various collection types and provides some standard implementations and generic algorithms. The relation between the data structures of the collection framework and standard Isabelle types (e.g. for sets and maps) is established by abstraction functions.

Amongst others, the following interfaces and data-structures are provided by the Isabelle Collections Framework (For a complete list, see the overview section in the implementations chapter of the proof document):

- Set and map implementations based on (associative) lists, red-black trees, hashing and tries.



- An implementation of a FIFO-queue based on two stacks.
- Annotated lists implemented by finger trees.
- Priority queues implemented by binomial heaps, skew binomial heaps, and annotated lists (via finger trees).

The red-black trees are imported from the standard *isabelle* library. The binomial and skew binomial heaps are imported from the *Binomial-Heaps* entry of the archive of formal proofs. The finger trees are imported from the *Finger-Trees* entry of the archive of formal proofs.

### Getting Started

To get started with the Isabelle Collections Framework (assuming that you are already familiar with Isabelle/HOL and Isar), you should first read the introduction (this section), that provides many basic examples. More examples are in the `examples/` subdirectory of the collection framework. Section 5.2.2 explains the concepts of the Isabelle Collections Framework in more detail. Section 5.2.3 provides information on extending the framework along with detailed examples, and Section 5.2.4 contains a discussion on the design of this framework. There is also a paper [3] on the design of the Isabelle Collections Framework available.

### Introductory Example

We introduce the Isabelle Collections Framework by a simple example. Given a set of elements represented by a red-black tree, and a list, we want to filter out all elements that are not contained in the set. This can be done by Isabelle/HOL's *filter*-function<sup>2</sup>:

**definition** *rbt-restrict-list* :: *'a::linorder rs*  $\Rightarrow$  *'a list*  $\Rightarrow$  *'a list*  
**where** *rbt-restrict-list* *s l* == [ *x*  $\leftarrow$  *l*. *rs.memb x s* ]

The type *'a rs* is the type of sets backed by red-black trees. Note that the element type of sets backed by red-black trees must be of sort *linorder*. The function *rs.memb* tests membership on such sets.

Next, we show correctness of our function:

**lemma** *rbt-restrict-list-correct*:  
**assumes** [*simp*]: *rs.invar s*  
**shows** *rbt-restrict-list s l* = [ *x*  $\leftarrow$  *l*. *x*  $\in$  *rs*. $\alpha$  *s* ]  
*<proof>*

The lemma *rs.memb-correct*:

---

<sup>2</sup>Note that Isabelle/HOL uses the list comprehension syntax [ *x*  $\leftarrow$  *l*. *P x* ] as syntactic sugar for filtering a list.

$$rs.invar\ s \implies rs.memb\ x\ s = (x \in rs.\alpha\ s)$$

states correctness of the *rs.memb*-function. The function *rs.α* maps a red-black-tree to the set that it represents. Moreover, we have to explicitly keep track of the invariants of the used data structure, in this case red-black trees. The premise *rs.invar ?s* represents the invariant assumption for the collection data structure. Red-black-trees are invariant-free, so this defaults to *True*. For uniformity reasons, these (unnecessary) invariant assumptions are present in all correctness lemmata.

Many of the correctness lemmas for standard RBT-set-operations are summarized by the lemma *rs.correct*:

$$\begin{aligned}
rs.\alpha\ (rs.empty\ ()) &= \{\} \\
rs.invar\ (rs.empty\ ()) & \\
rs.\alpha\ (rs.sng\ x) &= \{x\} \\
rs.invar\ (rs.sng\ x) & \\
rs.invar\ s \implies rs.memb\ x\ s &= (x \in rs.\alpha\ s) \\
rs.invar\ s \implies rs.\alpha\ (rs.ins\ x\ s) &= insert\ x\ (rs.\alpha\ s) \\
rs.invar\ s \implies rs.invar\ (rs.ins\ x\ s) & \\
\llbracket rs.invar\ s; x \notin rs.\alpha\ s \rrbracket \implies rs.\alpha\ (rs.ins-dj\ x\ s) &= insert\ x\ (rs.\alpha\ s) \\
\llbracket rs.invar\ s; x \notin rs.\alpha\ s \rrbracket \implies rs.invar\ (rs.ins-dj\ x\ s) & \\
rs.invar\ s \implies rs.\alpha\ (rs.delete\ x\ s) &= rs.\alpha\ s - \{x\} \\
rs.invar\ s \implies rs.invar\ (rs.delete\ x\ s) & \\
rs.invar\ s \implies rs.isEmpty\ s &= (rs.\alpha\ s = \{\}) \\
rs.invar\ s \implies rs.isSng\ s &= (\exists e. rs.\alpha\ s = \{e\}) \\
rs.invar\ S \implies rs.ball\ S\ P &= (\forall x \in rs.\alpha\ S. P\ x) \\
rs.invar\ S \implies rs.bex\ S\ P &= (\exists x \in rs.\alpha\ S. P\ x) \\
rs.invar\ s \implies rs.size\ s &= card\ (rs.\alpha\ s) \\
rs.invar\ s \implies rs.size-abort\ m\ s &= min\ m\ (card\ (rs.\alpha\ s)) \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.\alpha\ (rs.union\ s1\ s2) &= rs.\alpha\ s1 \cup rs.\alpha\ s2 \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.invar\ (rs.union\ s1\ s2) & \\
\llbracket rs.invar\ s1; rs.invar\ s2; rs.\alpha\ s1 \cap rs.\alpha\ s2 = \{\} \rrbracket & \\
\implies rs.\alpha\ (rs.union-dj\ s1\ s2) &= rs.\alpha\ s1 \cup rs.\alpha\ s2 \\
\llbracket rs.invar\ s1; rs.invar\ s2; rs.\alpha\ s1 \cap rs.\alpha\ s2 = \{\} \rrbracket & \\
\implies rs.invar\ (rs.union-dj\ s1\ s2) & \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.\alpha\ (rs.diff\ s1\ s2) &= rs.\alpha\ s1 - rs.\alpha\ s2 \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.invar\ (rs.diff\ s1\ s2) & \\
rs.invar\ s \implies rs.\alpha\ (rs.filter\ P\ s) &= \{e \in rs.\alpha\ s. P\ e\} \\
rs.invar\ s \implies rs.invar\ (rs.filter\ P\ s) & \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.\alpha\ (rs.inter\ s1\ s2) &= rs.\alpha\ s1 \cap rs.\alpha\ s2 \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.invar\ (rs.inter\ s1\ s2) & \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.subset\ s1\ s2 &= (rs.\alpha\ s1 \subseteq rs.\alpha\ s2) \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.equal\ s1\ s2 &= (rs.\alpha\ s1 = rs.\alpha\ s2) \\
\llbracket rs.invar\ s1; rs.invar\ s2 \rrbracket \implies rs.disjoint\ s1\ s2 &= (rs.\alpha\ s1 \cap rs.\alpha\ s2 = \{\}) \\
\llbracket rs.invar\ s1; rs.invar\ s2; rs.disjoint-witness\ s1\ s2 = None \rrbracket & \\
\implies rs.\alpha\ s1 \cap rs.\alpha\ s2 &= \{\} \\
\llbracket rs.invar\ s1; rs.invar\ s2; rs.disjoint-witness\ s1\ s2 = Some\ a \rrbracket & \\
\implies a \in rs.\alpha\ s1 \cap rs.\alpha\ s2 & \\
rs.invar\ s \implies set\ (rs.to-list\ s) &= rs.\alpha\ s
\end{aligned}$$

$rs.invar\ s \implies distinct\ (rs.to-list\ s)$   
 $rs.\alpha\ (rs.from-list\ l) = set\ l$   
 $rs.invar\ (rs.from-list\ l)$

All implementations provided by this library are compatible with the Isabelle/HOL code-generator. Now follow some examples of using the code-generator. Note that the code generator can only generate code for plain constants without arguments, while the operations like  $rs.memb$  have arguments, that are only hidden by an abbreviation.

There are conversion functions from lists to sets and, vice-versa, from sets to lists:

**definition**  $conv-tests \equiv$  (  
 $rs.from-list\ [1::int .. 10]$ ,  
 $rs.to-list\ (rs.from-list\ [1::int .. 10])$ ,  
 $rs.to-sorted-list\ (rs.from-list\ [1::int,5,6,7,3,4,9,8,2,7,6])$ ,  
 $rs.to-rev-list\ (rs.from-list\ [1::int,5,6,7,3,4,9,8,2,7,6])$   
 $)$

$\langle ML \rangle$

Note that sets make no guarantee about ordering, hence the only thing we can prove about conversion from sets to lists is:  $rs.to-list-correct$ :

$rs.invar\ s \implies set\ (rs.to-list\ s) = rs.\alpha\ s$   
 $rs.invar\ s \implies distinct\ (rs.to-list\ s)$

Some sets, like red-black-trees, also support conversion to sorted lists, and we have:  $rs.to-sorted-list-correct$ :

$rs.invar\ s \implies set\ (rs.to-sorted-list\ s) = rs.\alpha\ s$   
 $rs.invar\ s \implies distinct\ (rs.to-sorted-list\ s)$   
 $rs.invar\ s \implies sorted\ (rs.to-sorted-list\ s)$

and  $rs.to-rev-list-correct$ :

$rs.invar\ s \implies set\ (rs.to-rev-list\ s) = rs.\alpha\ s$   
 $rs.invar\ s \implies distinct\ (rs.to-rev-list\ s)$   
 $rs.invar\ s \implies sorted\ (rev\ (rs.to-rev-list\ s))$

**definition**  $restrict-list-test \equiv rbt-restrict-list\ (rs.from-list\ [1::nat,2,3,4,5])\ [1::nat,9,2,3,4,5,6,5,4,3,6,7,8,9]$

$\langle ML \rangle$

**definition**  $big-test\ n = (rs.from-list\ [(1::int)..n])$

$\langle ML \rangle$

## Theories

To make available the whole collections framework to your formalization, import the theory *Collections.Collections* which includes everything. Here is a small selection:

*Collections.SetSpec* Specification of sets and set functions

*Collections.SetGA* Generic algorithms for sets

*Collections.SetStdImpl* Standard set implementations (list, rb-tree, hashing, tries)

*Collections.MapSpec* Specification of maps

*Collections.MapGA* Generic algorithms for maps

*Collections.MapStdImpl* Standard map implementations (list,rb-tree, hashing, tries)

*Collections.ListSpec* Specification of lists

*Collections.Fifo* Amortized fifo queue

*Collections.DatRef* Data refinement for the while combinator

## Iterators

An important concept when using collections are iterators. An iterator is a kind of generalized fold-functional. Like the fold-functional, it applies a function to all elements of a set and modifies a state. There are no guarantees about the iteration order. But, unlike the fold functional, you can prove useful properties of iterations even if the function is not left-commutative. Proofs about iterations are done in invariant style, establishing an invariant over the iteration.

The iterator combinator for red-black tree sets is *rs.iterate*, and the proof-rule that is usually used is: *rs.iteratei-rule-P*:

$$\begin{aligned} & \llbracket rs.invar\ S; I\ (rs.\alpha\ S)\ \sigma\theta; \\ & \bigwedge x\ it\ \sigma. \llbracket c\ \sigma; x \in it; it \subseteq rs.\alpha\ S; I\ it\ \sigma \rrbracket \implies I\ (it - \{x\})\ (f\ x\ \sigma); \\ & \bigwedge \sigma. I\ \{\} \sigma \implies P\ \sigma; \bigwedge \sigma\ it. \llbracket it \subseteq rs.\alpha\ S; it \neq \{\}; \neg c\ \sigma; I\ it\ \sigma \rrbracket \implies P\ \sigma \rrbracket \\ & \implies P\ (rs.iteratei\ S\ c\ f\ \sigma\theta) \end{aligned}$$

The invariant *I* is parameterized with the set of remaining elements that have not yet been iterated over and the current state. The invariant has to hold for all elements remaining and the initial state:  $I\ (rs.\alpha\ S)\ \sigma\theta$ . Moreover, the invariant has to be preserved by an iteration step:

$$\bigwedge x \text{ it } \sigma. \llbracket x \in \text{it}; \text{it} \subseteq \text{rs.}\alpha S; I \text{ it } \sigma \rrbracket \Longrightarrow I (\text{it} - \{x\}) (f x \sigma)$$

And the proposition to be shown for the final state must be a consequence of the invariant for no elements remaining:  $\bigwedge \sigma. I \{\} \sigma \Longrightarrow P \sigma$ .

A generalization of iterators are *interruptible iterators* where iteration is only continues while some condition on the state holds. Reasoning over interruptible iterators is also done by invariants: *rs.iteratei-rule-P*:

$$\begin{aligned} & \llbracket \text{rs.invar } S; I (\text{rs.}\alpha S) \sigma \theta; \\ & \bigwedge x \text{ it } \sigma. \llbracket c \sigma; x \in \text{it}; \text{it} \subseteq \text{rs.}\alpha S; I \text{ it } \sigma \rrbracket \Longrightarrow I (\text{it} - \{x\}) (f x \sigma); \\ & \bigwedge \sigma. I \{\} \sigma \Longrightarrow P \sigma; \bigwedge \sigma \text{ it. } \llbracket \text{it} \subseteq \text{rs.}\alpha S; \text{it} \neq \{\}; \neg c \sigma; I \text{ it } \sigma \rrbracket \Longrightarrow P \sigma \rrbracket \\ & \Longrightarrow P (\text{rs.iteratei } S c f \sigma \theta) \end{aligned}$$

Here, interruption of the iteration is handled by the premise

$$\bigwedge \sigma \text{ it. } \llbracket \text{it} \subseteq \text{rs.}\alpha S; \text{it} \neq \{\}; \neg c \sigma; I \text{ it } \sigma \rrbracket \Longrightarrow P \sigma$$

that shows the proposition from the invariant for any intermediate state of the iteration where the continuation condition does not hold (and thus the iteration is interrupted).

As an example of reasoning about results of iterators, we implement a function that converts a hashset to a list that contains precisely the elements of the set.

**definition** *hs-to-list'*  $s == \text{hs.iteratei } s (\lambda-. \text{True}) (\#) []$

The correctness proof works by establishing the invariant that the list contains all elements that have already been iterated over. Again *hs.invar s* denotes the invariant for hashsets which defaults to *True*.

**lemma** *hs-to-list'-correct*:

**assumes** *INV*: *hs.invar s*

**shows** *set (hs-to-list' s) = hs.α s*

*<proof>*

As an example for an interruptible iterator, we define a bounded existential-quantification over the list elements. As soon as the first element is found that fulfills the predicate, the iteration is interrupted. The state of the iteration is simply a boolean, indicating the (current) result of the quantification:

**definition** *hs-bex s P == hs.iteratei s (λσ. ¬ σ) (λx σ. P x) False*

**lemma** *hs-bex-correct*:

*hs.invar s*  $\Longrightarrow$  *hs-bex s P*  $\longleftrightarrow$   $(\exists x \in \text{hs.}\alpha s. P x)$

*<proof>*

## 5.2.2 Structure of the Framework

The concepts of the framework are roughly based on the object-oriented concepts of interfaces, implementations and generic algorithms.

The concepts used in the framework are the following:

**Interfaces** An interface describes some concept by providing an abstraction mapping  $\alpha$  to a related Isabelle/HOL-concept. The definition is generic in the datatype used to implement the concept (i.e. the concrete data structure). An interface is specified by means of a locale that fixes the abstraction mapping and an invariant. For example, the set-interface contains an abstraction mapping to sets, and is specified by the locale *SetSpec.set*. An interface roughly matches the concept of a (collection) interface in Java, e.g. *java.util.Set*.

**Functions** A function specifies some functionality involving interfaces. A function is specified by means of a locale. For example, membership query for a set is specified by the locale *SetSpec.set-memb* and equality test between two sets is a function specified by *SetSpec.set-equal*. A function roughly matches a method declared in an interface, e.g. *java.util.Set#contains*, *java.util.Set#equals*.

**Operation Records** In order to reference an interface with a standard set of operations, those operations are summarized in a record, and there is a locale that fixes this record, and makes available all operations. For example, the locale *SetSpec.StdSet* fixes a record of standard set operations and assumes their correctness. It also defines abbreviations to easily access the members of the record. Internally, all the standard operations, like *hs.memb*, are introduced by interpretation of such an operation locale.

**Generic Algorithms** A generic algorithm specifies, in a generic way, how to implement a function using other functions. Usually, a generic algorithm lives in a locale that imports the necessary operation locales. For example, the locale *cart-loc* defines a generic algorithm for the cartesian product between two sets.

There is no direct match of generic algorithms in the Java Collections Framework. The most related concept are abstract collection interfaces, that provide some default algorithms, e.g. *java.util.AbstractSet*. The concept of *Algorithm* in the C++ Standard Template Library [5] matches the concept of Generic Algorithm quite well.

**Implementation** An implementation of an interface provides a data structure for that interface together with an abstraction mapping and an invariant. Moreover, it provides implementations for some (or all) functions of that interface. For example, red-black trees are an implementation of the set-interface, with the abstraction mapping *rs. $\alpha$*  and invariant *rs.invar*; and the constant *rs.ins* implements the insert-function, as can be verified by *set-ins rs. $\alpha$  rs.invar rs.ins*. An implementation

matches a concrete collection interface in Java, e.g. `java.util.TreeSet`, and the methods implemented by such an interface, e.g. `java.util.TreeSet#add`.

**Instantiation** An instantiation of a generic algorithm provides actual implementations for the used functions. For example, the generic cartesian-product algorithm can be instantiated to use red-black-trees for both arguments, and output a list, as will be illustrated below in Section 5.2.2. While some of the functions of an implementation need to be implemented specifically, many functions may be obtained by instantiating generic algorithms. In Java, instantiation of a generic algorithm is matched most closely by inheriting from an abstract collection interface. In the C++ Standard Template Library instantiation of generic algorithms is done implicitly by the compiler.

### Instantiation of Generic Algorithms

A generic algorithm is instantiated by interpreting its locale with the wanted implementations. For example, to obtain a cartesian product between two red-black trees, yielding a list, we can do the following:

```

⟨ML⟩
interpretation rrl: cart-loc rs-ops rs-ops ls-ops ⟨proof⟩
⟨ML⟩

```

It is then available under the expected name:

```

term rrl.cart

```

Note the three lines of boilerplate code, that work around some technical problems of Isabelle/HOL: The `Locale-Code.open-block` and `Locale-Code.close-block` commands set up code generation for any locale that is interpreted in between them. They also have to be specified if an existing locale that already has interpretations is extended by new definitions.

The `ICF-Tools.revert-abbrevs rrl` reverts all abbreviations introduced by the locale, such that the displayed information becomes nicer.

### Naming Conventions

The Isabelle Collections Framework follows these general naming conventions. Each implementation has a two-letter (or three-letter) and a one-letter (or two-letter) abbreviation, that are used as prefixes for the related constants, lemmas and instantiations.

The two-letter and three-letter abbreviations should be unique over all interfaces and instantiations, the one-letter abbreviations should be unique over all implementations of the same interface. Names that reference the implementation of only one interface are prefixed with that implementation's

two-letter abbreviation (e.g. *hs.ins* for insertion into a HashSet (hs,h)), names that reference more than one implementation are prefixed with the one-letter (or two-letter) abbreviations (e.g. *rml.cart* for the cartesian product between two RBT-Sets, yielding a list-set)

The most important abbreviations are:

**lm,l** List Map

**lmi,li** List Map with explicit invariant

**rm,r** RB-Tree Map

**hm,h** Hash Map

**ahm,a** Array-based hash map

**tm,t** Trie Map

**ls,l** List Set

**lsi,li** List Set with explicit invariant

**rs,r** RB-Tree Set

**hs,h** Hash Set

**ahs,a** Array-based hash map

**ts,t** Trie Set

Each function *name* of an interface *interface* is declared in a locale *interface-name*. This locale provides a fact *name-correct*. For example, there is the locale *set-ins* providing the fact *set-ins.ins-correct*. An implementation instantiates the locales of all implemented functions, using its two-letter abbreviation as instantiation prefix. For example, the HashSet-implementation instantiates the locale *set-ins* with the prefix *hs*, yielding the lemma *hs.ins-correct*. Moreover, an implementation with two-letter abbreviation *aa* provides a lemma *aa.correct* that summarizes the correctness facts for the basic operations. It should only contain those facts that are safe to be used with the simplifier. E.g., the correctness facts for basic operations on hash sets are available via the lemma *hs.correct*.

### 5.2.3 Extending the Framework

The best way to add new features, i.e., interfaces, functions, generic algorithms, or implementations to the collection framework is to use one of the existing items as example.



### 5.2.4 Design Issues

In this section, we motivate some of the design decisions of the Isabelle Collections Framework and report our experience with alternatives. Many of the design decisions are justified by restrictions of Isabelle/HOL and the code generator, so that there may be better options if those restrictions should vanish from future releases of Isabelle/HOL.

The main design goals of this development are:

1. Make available various implementations of collections under a unified interface.
2. It should be easy to extend the framework by new interfaces, functions, algorithms, and implementations.
3. Allow simple and concise reasoning over functions using collections.
4. Allow generic algorithms, that are independent of the actual data structure that is used.
5. Support generation of executable code.
6. Let the user precisely control what data structures are used in the implementation.

### Data Refinement

In order to allow simple reasoning over collections, we use a data refinement approach. Each collection interface has an abstraction function that maps it on a related Isabelle/HOL concept (abstract level). The specification of functions are also relative to the abstraction. This allows most of the correctness reasoning to be done on the abstract level. On this level, the tool support is more elaborated and one is not yet fixed to a concrete implementation. In a next step, the abstract specification is refined to use an actual implementation (concrete level). The correctness properties proven on the abstract level usually transfer easily to the concrete level.

Moreover, the user has precise control how the refinement is done, i.e. what data structures are used. An alternative would be to do refinement completely automatic, as e.g. done in the code generator setup of the Theory *Executable-Set*. This has the advantage that it induces less writing overhead. The disadvantage is that the user loses a great amount of control over the refinement. For example, in *Executable-Set*, all sets have to be represented by lists, and there is no possibility to represent one set differently from another.

For a more detailed discussion of the data refinement issue, we refer to the monadic refinement framework, that is available in the AFP ([http://isa-afp.org/entries/Refine\\_Monadic.shtml](http://isa-afp.org/entries/Refine_Monadic.shtml))

### Operation Records

In order to allow convenient access to the most frequently used functions of an interface, we have grouped them together in a record, and defined a locale that only fixes this record. This greatly reduces the boilerplate required to define a new (generic) algorithm, as only the operation locale (instead of every single function) has to be included in the locale for the generic algorithm.

Note however, that parameters of locales are monomorphic inside the locale. Thus, we have to import an own instance for the locale for every element type of a set, or key/value type of a map. For iterators, where this problem was most annoying, we have installed a workaround that allows polymorphic iterators even inside locales.

### Locales for Generic Algorithms

A generic algorithm is defined within a locale, that includes the required functions (or operation locales). If many instances of the same interface are required, prefixes are used to distinguish between them. This makes the code for a generic algorithm quite concise and readable.

However, there are some technical issues that one has to consider:

- When fixing parameters in the declaration of the locale, their types will be inferred independently of the definitions later done in the locale context. In order to get the correct types, one has to add explicit type constraints.
- The code generator has problems with generating code from definitions inside a locale. Currently, the *Locale-Code*-package provides a rather convenient workaround for that issue: It requires the user to enclose interpretations and definitions of new constants inside already interpreted locales within two special commands, that set up the code generator appropriately.

### Explicit Invariants vs Typedef

The interfaces of this framework use explicit invariants. This provides a more general specification which allows some operations to be implemented more efficiently, cf. *lsi.ins-dj* in *Collections.ListSetImpl-Invar*.

Most implementations, however, hide the invariant in a typedef and setup the code generator appropriately. In that case, the invariant is just  $\lambda$ -*True*, and removed automatically by the simplifier and classical reasoner. However, it still shows up in some premises and conclusions due to uniformity reasons.



## Chapter 6

# Conclusion

This work presented the Isabelle Collections Framework, an efficient and extensible collections framework for Isabelle/HOL. The framework features data-refinement techniques to refine algorithms to use concrete collection datastructures, and is compatible with the Isabelle/HOL code generator, such that efficient code can be generated for all supported target languages. Finally, we defined a data refinement framework for the while-combinator, and used it to specify a state-space exploration algorithm and stepwise refined the specification to an executable DFS-algorithm using a hashset to store the set of already known states.

Up to now, interfaces for sets and maps are specified and implemented using lists, red-black-trees, and hashing. Moreover, an amortized constant time fifo-queue (based on two stacks) has been implemented. However, the framework is extensible, i.e. new interfaces, algorithms and implementations can easily be added and integrated with the existing ones.

### 6.1 Trusted Code Base

In this section we shortly characterize on what our formal proofs depend, i.e. how to interpret the information contained in this formal proof and the fact that it is accepted by the Isabelle/HOL system.

First of all, you have to trust the theorem prover and its axiomatization of HOL, the ML-platform, the operating system software and the hardware it runs on. All these components are, in theory, able to cause false theorems to be proven. However, the probability of a false theorem to get proven due to a hardware error or an error in the operating system software is reasonably low. There are errors in hardware and operating systems, but they will usually cause the system to crash or exhibit other unexpected behaviour, instead of causing Isabelle to quietly accept a false theorem and behave normal otherwise. The theorem prover itself is a bit more critical in this

aspect. However, Isabelle/HOL is implemented in LCF-style, i.e. all the proofs are eventually checked by a small kernel of trusted code, containing rather simple operations. HOL is the logic that is most frequently used with Isabelle, and it is unlikely that its axiomatization in Isabelle is inconsistent and no one has found and reported this inconsistency yet.

The next crucial point is the code generator of Isabelle. We derive executable code from our specifications. The code generator contains another (thin) layer of untrusted code. This layer has some known deficiencies<sup>1</sup> (as of Isabelle2009) in the sense that invalid code is generated. This code is then rejected by the target language's compiler or interpreter, but does not silently compute the wrong thing.

Moreover, assuming correctness of the code generator, the generated code is only guaranteed to be *partially correct*<sup>2</sup>, i.e. there are no formal termination guarantees.

Furthermore, manual adaptations of the code generator setup are also part of the trusted code base. For array-based hash maps, the Isabelle Collections Framework provides an ML implementation for arrays with in-place updates that is unverified; for Haskell, we use the DiffArray implementation from the Haskell library. Other than this, the Isabelle Collections Framework does not add any adaptations other than those available in the Isabelle/HOL library, in particular `Efficient_Nat`.

## 6.2 Acknowledgement

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<sup>1</sup>For example, the Haskell code generator may generate variables starting with uppercase letters, while the Haskell-specification requires variables to start with lowercase letters. Moreover, the ML code generator does not know the ML value restriction, and may generate code that violates this restriction.

<sup>2</sup>A simple example is the always-diverging function  $f_{\text{div}} :: \text{bool} = \text{while } (\lambda x. \text{True}) \text{ id True}$  that is definable in HOL. The lemma  $\forall x. x = \text{if } f_{\text{div}} \text{ then } x \text{ else } x$  is provable in Isabelle and rewriting based on it could, theoretically, be inserted before the code generation process, resulting in code that always diverges

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