

# CoCon: A Confidentiality-Verified Conference Management System

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## Abstract

This entry contains the confidentiality verification of the (functional kernel of) the CoCon conference management system [3, 6]. The confidentiality properties refer to the documents managed by the system, namely papers, reviews, discussion logs and acceptance/rejection decisions, and also to the assignment of reviewers to papers. They have all been formulated as instances of BD Security [4, 5] and verified using the BD Security unwinding technique.

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## 1 Introduction

This document presents the confidentiality verification of the (functional kernel of) the CoCon conference management system [3, 6]. CoCon was the first case study of BD Security, a general framework for the specification and verification of information flow security. The framework works for any input/output (I/O) automata and allows the specification of flexible policies for information flow security by describing the observations, the secrets, a bound on information release (also known as “declassification bound”) and a trigger for information release (also known as “declassification trigger”).

In CoCon, a conference goes through several phases:

**No-Phase** Any user can apply for a new conference, with the effect of registering it in the system as initially having “no phase.” After approval from CoCon’s superuser,<sup>1</sup> the conference moves to the setup phase, with the applicant becoming a conference chair.

**Setup** A conference chair can add new chairs and new regular PC members. From here on, advancing the conference through its different phases can be done by the chairs.

**Submission** Any user can list the conferences awaiting submissions (i.e., being in the submission phase). A user can submit new papers, upload new versions of their existing papers, or indicate other users as coauthors thereby granting them reading and editing rights.

**Bidding** Authors are no longer allowed to upload or register new papers, and PC members are allowed to view the submitted papers. PC members can place bids, indicating for each paper one of the following preferences: “want to review”, “would review”, “no preference”, “would not review”, and “conflict”. If the preference is “conflict”, the PC

---

<sup>1</sup>The superuser’s powers are restricted to approving or rejecting new conference requests.

member cannot be assigned that paper, and will not see its discussion. “Conflict” is assigned automatically to papers authored by a PC member.

**Reviewing** Chairs can assign reviewers to papers, which must be among the PC members who have no conflict with given paper. The assigned reviewers can edit their reviews.

**Discussion** All PC members having no conflict with a paper can see its reviews and can add comments. The reviewers can still edit their reviews, but in a transparent manner—so that the overwritten versions are still visible to the non-conflict PC members. Also, chairs can edit the decision.

**Notification** The authors can read the reviews and the accept/reject decision, which no one can edit any longer.

After this introduction and a section on technical preliminaries, this document presents the specification of the CoCon system, as an input/output (I/O) automaton. Following is a section on proved safety properties about the system (invariants) that are needed in the proofs of confidentiality.

The confidentiality properties of CoCon are formalized as instances of BD Security. They cover confidentiality aspects about:

- papers
- reviews of papers
- discussion logs consisting of comments from the PC members
- decisions on the papers’ acceptance or rejection
- assignment of reviewers to papers

Each of these types of confidentiality properties have dedicated sections (and corresponding folders in the formalization) with self-explanatory names. BD Security is defined in terms of an observation infrastructure, a secrecy infrastructure, a declassification trigger and a declassification bound. The observations are always given by an arbitrary set of users (which is fixed in the “Observation Setup” section). The secrets (called “values” in this formalization) and the declassification bounds and triggers are specific to each property. The bounds and triggers are chosen in such a way that their interplay covers the entire spectrum of information flow through the system in relation to the given secrets. This is explained in [6, Section 3.5].

The proofs proceed using the method of BD Security unwinding, which is part of the AFP entry on BD Security [5] and is described in detail in [6, Sections 4.1 and 4.2] and [4, Sections 2.5 and 2.6]. For managing proof

complexity, we take a modular approach, building several unwinding relations that are connected in a sequence and have an exit point into an error component. This approach is presented in [6] as Corollary 6 (Sequential Unwinding Theorem) and in [4] as Theorem 4 (Sequential Multiplex Unwinding Theorem).

The last section formalizes what we call *traceback properties*,<sup>2</sup> which strengthen the confidentiality guarantees. Confidentiality formulated as BD security states properties of essentially the following form: “Unless a user acquires such and such role and/or the conference reaches such and such phase, that user cannot learn such and such information.” Traceback properties show that it is not possible for a user to usurp such roles, and that the conference only progresses through different phases in a “legal” way. [6, Section 3.6] explains CoCon’s traceback properties in detail.

As a matter of notation, this formalization (similarly to all our AFP formalizations involving BD security) concurs with the original conference paper on CoCon [3] and differs from the later journal paper [6] in that the secrets are called “values” (and consequently the type of secrets is denoted by “value”), and are ranged over by “v” rather than “s”. On the other hand, we use “s” (rather than “ $\sigma$ ”) to range over states. Moreover, the formalization uses the following notations for the various BD security components:

- phi for the secret discriminator isSec
- f for the secret selector getSec
- gamma for the observation discriminator isObs
- g for the observation selector getObs

```
theory Prelim
imports Fresh-Identifiers.Fresh-String Bounded-Deducibility-Security. Trivia
begin
```

## 1.1 The basic types

```
type-synonym string = String.literal
definition emptyStr = STR ""
```

```
type-synonym phase = nat
```

---

```
abbreviation noPH ≡ (0::nat)   abbreviation setPH ≡ Suc noPH abbreviation
abbreviation subPH ≡ Suc setPH
abbreviation bidPH ≡ Suc subPH abbreviation revPH ≡ Suc bidPH abbreviation
abbreviation disPH ≡ Suc revPH
```

---

<sup>2</sup>In previous work, we called these types of properties *accountability properties* [1, 2] or *forensic properties* [3]. The *traceback properties* terminology is used in [6].

```
abbreviation notifPH ≡ Suc disPH abbreviation closedPH ≡ Suc notifPH
```

```
fun SucPH where  
SucPH ph = (if ph = closedPH then closedPH else Suc ph)
```

```
datatype user = User string string string  
fun nameUser where nameUser (User name info email) = name  
fun infoUser where infoUser (User name info email) = info  
fun emailUser where emailUser (User name info email) = email  
definition emptyUser ≡ User emptyStr emptyStr emptyStr
```

```
typedecl raw-data  
code-printing type-constructor raw-data → (Scala) java.io.File
```

```
datatype pcontent = NoPContent | PContent raw-data
```

```
datatype score = NoScore | MinusThree | MinusTwo | MinusOne | Zero | One |  
Two | Three
```

```
fun scoreAsInt :: score ⇒ int where  
scoreAsInt MinusThree = -3  
| scoreAsInt MinusTwo = -2  
| scoreAsInt MinusOne = -1  
| scoreAsInt Zero = 0  
| scoreAsInt One = 1  
| scoreAsInt Two = 2  
| scoreAsInt Three = 3
```

```
datatype exp = NoExp | Zero | One | Two | Three | Four
```

```
type-synonym rcontent = exp * score * string  
fun scoreOf :: rcontent ⇒ score where scoreOf (exp,sc,txt) = sc
```

```
type-synonym review = rcontent list
```

```
abbreviation emptyReview :: review where emptyReview ≡ []  
datatype discussion = Dis string list  
definition emptyDis ≡ Dis []  
datatype decision = NoDecision | Accept | Reject
```

```
datatype paper = Paper string string pcontent review list discussion decision list
```

```
fun titlePaper where titlePaper (Paper title abstract content reviews dis decs) =  
title
```

```

fun abstractPaper where abstractPaper (Paper title abstract content reviews dis
decs) = abstract
fun contentPaper where contentPaper (Paper title abstract content reviews dis
decs) = content
fun reviewsPaper where reviewsPaper (Paper title abstract content reviews dis
decs) = reviews
fun disPaper where disPaper (Paper title abstract content reviews dis decs) = dis

fun decsPaper where decsPaper (Paper title abstract content reviews dis decs) =
decs

fun decPaper where decPaper pap = hd (decsPaper pap)

definition emptyPaper :: paper where
emptyPaper ≡ Paper emptyStr emptyStr NoPContent [] emptyDis []

datatype conf = Conf string string
fun nameConf where nameConf (Conf name info) = name
fun infoConf where infoConf (Conf name info) = info
definition emptyConf ≡ Conf emptyStr emptyStr

datatype password = Password string
definition emptyPass ≡ Password emptyStr

datatype preference = NoPref | Want | Would | WouldNot | Conflict

```

## 1.2 Conference, user and paper IDs

```

datatype userID = UserID string
datatype paperID = PaperID string
datatype confID = ConfID string

definition emptyUserID ≡ UserID emptyStr
definition voronkovUserID ≡ UserID (STR "voronkov")
definition emptyPaperID ≡ PaperID emptyStr
definition emptyConfID ≡ ConfID emptyStr

```

```

datatype role = Aut paperID | Rev paperID nat | PC | Chair
fun isRevRole where isRevRole (Rev - - ) = True | isRevRole - = False

fun isRevRoleFor :: paperID ⇒ role ⇒ bool where
isRevRoleFor papID (Rev papID' n) ←→ papID = papID'
| isRevRoleFor papID - ←→ False

```

```

fun userIDAsStr where userIDAsStr (UserID str) = str

definition getFreshUserID userIDs ≡ UserID (fresh (set (map userIDAsStr userIDs))
(STR "1"))

lemma UserID-userIDAsStr[simp]: UserID (userIDAsStr userID) = userID
⟨proof⟩

lemma member-userIDAsStr-iff[simp]: str ∈ userIDAsStr ‘ (set userIDs) ←→
UserID str ∈ userIDs
⟨proof⟩

lemma getFreshUserID: ¬ getFreshUserID userIDs ∈ userIDs
⟨proof⟩

instantiation userID :: linorder
begin
definition le-userID-def: uid ≤ uid' ≡ case (uid, uid') of (UserID str, UserID
str') ⇒ str ≤ str'
definition lt-userID-def: uid < uid' ≡ case (uid, uid') of (UserID str, UserID str')
⇒ str < str'
instance ⟨proof⟩
end

fun paperIDAsStr where paperIDAsStr (PaperID str) = str

definition getFreshPaperID paperIDs ≡ PaperID (fresh (set (map paperIDAsStr
paperIDs)) (STR "2"))

lemma PaperID-paperIDAsStr[simp]: PaperID (paperIDAsStr paperID) = paperID
⟨proof⟩

lemma member-paperIDAsStr-iff[simp]: str ∈ paperIDAsStr ‘ paperIDs ←→ Pa-
perID str ∈ paperIDs
⟨proof⟩

lemma getFreshPaperID: ¬ getFreshPaperID paperIDs ∈ paperIDs
⟨proof⟩

instantiation paperID :: linorder
begin
definition le-paperID-def: uid ≤ uid' ≡ case (uid, uid') of (PaperID str, PaperID
str') ⇒ str ≤ str'
definition lt-paperID-def: uid < uid' ≡ case (uid, uid') of (PaperID str, PaperID
str') ⇒ str < str'
instance ⟨proof⟩
end

```

```

fun confIDAsStr where confIDAsStr (ConfID str) = str

definition getFreshConfID confIDs ≡ ConfID (fresh (set (map confIDAsStr confIDs)) (STR "2"))

lemma ConfID-confIDAsStr[simp]: ConfID (confIDAsStr confID) = confID
  ⟨proof⟩

lemma member-confIDAsStr-iff[simp]: str ∈ confIDAsStr ‘(set confIDs) ←→ ConfID str ∈ confIDs
  ⟨proof⟩

lemma getFreshConfID: ¬ getFreshConfID confIDs ∈ confIDs
  ⟨proof⟩

instantiation confID :: linorder
begin
  definition le-confID-def: uid ≤ uid' ≡ case (uid, uid') of (ConfID str, ConfID str') ⇒ str ≤ str'
  definition lt-confID-def: uid < uid' ≡ case (uid, uid') of (ConfID str, ConfID str') ⇒ str < str'
  instance ⟨proof⟩
end

end

```

## 2 System specification

This section formalizes the CoCon system as an I/O automaton. We call the inputs “actions”.

```

theory System-Specification
imports Prelim
begin

```

### 2.1 System state

The superuser of the system is called “voronkov”, as a form acknowledgement for our inspiration from EasyChair when creating CoCon.

```

record state =
  confIDs :: confID list
  conf :: confID ⇒ conf

  userIDs :: userID list
  pass :: userID ⇒ password
  user :: userID ⇒ user

```

```

roles :: confID  $\Rightarrow$  userID  $\Rightarrow$  role list

paperIDs :: confID  $\Rightarrow$  paperID list
paper :: paperID  $\Rightarrow$  paper

pref :: userID  $\Rightarrow$  paperID  $\Rightarrow$  preference

voronkov :: userID

news :: confID  $\Rightarrow$  string list
phase :: confID  $\Rightarrow$  phase

abbreviation isPC :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  bool where
isPC s confID uID  $\equiv$  PC  $\in\in$  roles s confID uID
abbreviation isChair :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  bool where
isChair s confID uID  $\equiv$  Chair  $\in\in$  roles s confID uID
abbreviation isAut :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  bool where
isAut s confID uID papID  $\equiv$  Aut papID  $\in\in$  roles s confID uID
definition isAutSome :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  bool where
isAutSome s confID uID  $\equiv$  list-ex (isAut s confID uID) (paperIDs s confID)

definition authors :: state  $\Rightarrow$  confID  $\Rightarrow$  paperID  $\Rightarrow$  userID list where
authors s confID papID  $\equiv$  filter (\ uID. isAut s confID uID papID) (userIDs s)
abbreviation isRevNth :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  nat  $\Rightarrow$  bool
where
isRevNth s confID uID papID n  $\equiv$  Rev papID n  $\in\in$  roles s confID uID
definition isRev :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  bool where
isRev s confID uID papID  $\equiv$  list-ex (isRevRoleFor papID) (roles s confID uID)

definition getRevRole :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  role option
where
getRevRole s confID uID papID  $\equiv$  List.find (isRevRoleFor papID) (roles s confID uID)

definition getNthReview :: state  $\Rightarrow$  paperID  $\Rightarrow$  nat  $\Rightarrow$  review where
getNthReview s papID n  $\equiv$  (reviewsPaper (paper s papID))!n

definition getAllPaperIDs :: state  $\Rightarrow$  paperID list where
getAllPaperIDs s  $\equiv$  concat [paperIDs s confID. confID  $\leftarrow$  confIDs s]
definition getReviewIndex :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  nat where
getReviewIndex s confID uID papID  $\equiv$ 
case getRevRole s confID uID papID of Some (Rev papID' n)  $\Rightarrow$  n

```

```

definition getReviewersReviews :: state  $\Rightarrow$  confID  $\Rightarrow$  paperID  $\Rightarrow$  (userID * review)
list where
getReviewersReviews s confID papID  $\equiv$ 
[(uID, getNthReview s papID (getReviewIndex s confID uID papID)).
 uID  $\leftarrow$  userIDs s,
 isRev s confID uID papID
]

```

```

definition isAUT :: state  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  bool where
isAUT s uID papID  $\equiv$   $\exists$  confID. isAut s confID uID papID
definition isREVNth :: state  $\Rightarrow$  userID  $\Rightarrow$  paperID  $\Rightarrow$  nat  $\Rightarrow$  bool where
isREVNth s uID papID n  $\equiv$   $\exists$  confID. isRevNth s confID uID papID n

```

```

lemma isRev-getRevRole:
assumes isRev s confID uID papID
shows getRevRole s confID uID papID  $\neq$  None
⟨proof⟩

```

```

lemma getRevRole-Some:
assumes getRevRole s confID uID papID = Some role
shows  $\exists$  n. role = Rev papID n
⟨proof⟩

```

```

lemma isRev-getRevRole2:
assumes isRev s confID uID papID shows  $\exists$  n. getRevRole s confID uID papID = Some (Rev papID n)
⟨proof⟩

```

```

lemma isRev-imp-isRevNth-getReviewIndex:
assumes isRev s confID uID papID
shows isRevNth s confID uID papID (getReviewIndex s confID uID papID)
⟨proof⟩

```

```

lemma isRev-def2:
isRev s confID uID papID  $\longleftrightarrow$  ( $\exists$  n. isRevNth s confID uID papID n) (is ?A  $\longleftrightarrow$  ?B)
⟨proof⟩

```

```

lemma isRev-def3:
isRev s confID uID papID  $\longleftrightarrow$  isRevNth s confID uID papID (getReviewIndex s confID uID papID)
⟨proof⟩

```

```

lemma getFreshPaperID-getAllPaperIDs[simp]:
assumes confID  $\in \in$  confIDs s

```

```
shows  $\neg getFreshPaperID (getAllPaperIDs s) \in\in paperIDs s confID$ 
 $\langle proof \rangle$ 
```

```
lemma getRevRole-Some-Rev:
getRevRole s cid uid pid = Some (Rev pid' n)  $\implies$  pid' = pid
 $\langle proof \rangle$ 
```

```
lemma getRevRole-Some-Rev-isRevNth:
getRevRole s cid uid pid = Some (Rev pid' n)  $\implies$  isRevNth s cid uid pid n
 $\langle proof \rangle$ 
```

```
definition IDsOK :: state  $\Rightarrow$  confID list  $\Rightarrow$  userID list  $\Rightarrow$  paperID list  $\Rightarrow$  bool
where
IDsOK s cIDs uIDs papIDs  $\equiv$ 
list-all ( $\lambda$  confID. confID  $\in\in$  confIDs s) cIDs  $\wedge$ 
list-all ( $\lambda$  uID. uID  $\in\in$  userIDs s) uIDs  $\wedge$ 
list-all ( $\lambda$  papID. papID  $\in\in$  paperIDs s (hd cIDs)) papIDs
```

## 2.2 The actions

### 2.2.1 Initialization of the system

```
definition istate :: state
where
istate  $\equiv$ 
 $\emptyset$ 
confIDs = [],
conf = ( $\lambda$  confID. emptyConf),
userIDs = [voronkovUserID],
pass = ( $\lambda$  uID. emptyPass),
user = ( $\lambda$  uID. emptyUser),
roles = ( $\lambda$  confID uID. []),
paperIDs = ( $\lambda$  confID. []),
paper = ( $\lambda$  papID. emptyPaper),
pref = ( $\lambda$  uID papID. NoPref),
voronkov = voronkovUserID,
news = ( $\lambda$  confID. []),
phase = ( $\lambda$  confID. noPH)
 $\emptyset$ 
```

### 2.2.2 Actions unbound by any existing conference (with its phases)

```
definition createUser :: state  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string  $\Rightarrow$  string  $\Rightarrow$  string
 $\Rightarrow$  state
where
createUser s uID p name info email  $\equiv$ 
let uIDs = userIDs s
in
s (userIDs := uID # uIDs,
```

*user* := (*user s*) (*uID* := *User name info email*),  
*pass* := (*pass s*) (*uID* := *p*)|

**definition** *e-createUser* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *string*  $\Rightarrow$  *string*  $\Rightarrow$  *string*  
 $\Rightarrow$  *bool* **where**  
*e-createUser s uID p name info email*  $\equiv$   
 $\neg uID \in \in userIDs s$

**definition** *updateUser* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *password*  $\Rightarrow$  *string*  $\Rightarrow$   
*string*  $\Rightarrow$  *string*  $\Rightarrow$  *state*  
**where**  
*updateUser s uID p p' name info email*  $\equiv$   
*s (|user := (user s) (uID := User name info email),*  
*pass := (pass s) (uID := p'))|*

**definition** *e-updateUser* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *password*  $\Rightarrow$  *string*  $\Rightarrow$   
*string*  $\Rightarrow$  *string*  $\Rightarrow$  *bool*  
**where**  
*e-updateUser s uID p p' name info email*  $\equiv$   
*IDsOK s [] [uID] [] \wedge pass s uID = p*

**definition** *readAmIVoronkov* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *bool*  
**where**  
*readAmIVoronkov s uID p*  $\equiv$   
*uID = voronkov s*

**definition** *e-readAmIVoronkov* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *bool*  
**where**  
*e-readAmIVoronkov s uID p*  $\equiv$   
*IDsOK s [] [uID] [] \wedge pass s uID = p*

**definition** *readUser* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *userID*  $\Rightarrow$  *string \* string \* string*  
**where**  
*readUser s uID p uID'  $\equiv$*   
*case user s uID' of User name info email  $\Rightarrow$  (name, info, email)*

**definition** *e-readUser* :: *state*  $\Rightarrow$  *userID*  $\Rightarrow$  *password*  $\Rightarrow$  *userID*  $\Rightarrow$  *bool*  
**where**  
*e-readUser s uID p uID'  $\equiv$*   
*IDsOK s [] [uID,uID'] [] \wedge pass s uID = p*

```

definition createConf :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string  $\Rightarrow$  string
 $\Rightarrow$  state
where
createConf s confID uID p name info  $\equiv$ 
let confIDs = confIDs s
in
s (confIDs := confID # confIDs,
conf := (conf s) (confID := Conf name info),
roles := fun-upd2 (roles s) confID uID [PC,Chair]
)

```

  

```

definition e-createConf :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string  $\Rightarrow$ 
string  $\Rightarrow$  bool
where
e-createConf s confID uID p name info  $\equiv$ 
IDsOK s [] [uID] []  $\wedge$  pass s uID = p  $\wedge$ 
 $\neg$  confID  $\in\in$  confIDs s

```

  

```

definition readConf :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string * string
* (role list) * phase
where
readConf s confID uID p  $\equiv$ 
(nameConf (conf s confID), infoConf (conf s confID),
[rl  $\leftarrow$  roles s confID uID.  $\neg$  isRevRole rl], phase s confID)

```

  

```

definition e-readConf :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  bool
where
e-readConf s confID uID p  $\equiv$ 
IDsOK s [confID] [uID] []  $\wedge$  pass s uID = p

```

  

```

definition listConfs :: state  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  confID list
where
listConfs s uID p  $\equiv$ 
confIDs s

```

  

```

definition e-listConfs :: state  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  bool
where
e-listConfs s uID p  $\equiv$ 
IDsOK s [] [uID] []  $\wedge$  pass s uID = p  $\wedge$ 
uID = voronkov s

```

  

```

definition listAConfs :: state  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  confID list
where

```

```

listAConf s uID p ≡
[confID. confID ← confIDs s, phase s confID = noPH]

definition e-listAConf :: state ⇒ userID ⇒ password ⇒ bool
where
e-listAConf s uID p ≡
IDsOK s [] [uID] [] ∧ pass s uID = p ∧
uID = voronkov s

definition listSConf :: state ⇒ userID ⇒ password ⇒ confID list
where
listSConf s uID p ≡
[confID. confID ← confIDs s, phase s confID = subPH]

definition e-listSConf :: state ⇒ userID ⇒ password ⇒ bool
where
e-listSConf s uID p ≡
IDsOK s [] [uID] [] ∧ pass s uID = p

definition listMyConf :: state ⇒ userID ⇒ password ⇒ confID list
where
listMyConf s uID p ≡
[confID. confID ← confIDs s, roles s confID uID ≠ []]

definition e-listMyConf :: state ⇒ userID ⇒ password ⇒ bool
where
e-listMyConf s uID p ≡
IDsOK s [] [uID] [] ∧ pass s uID = p

definition listAllUsers :: state ⇒ userID ⇒ password ⇒ userID list
where
listAllUsers s uID p ≡
userIDs s

definition e-listAllUsers :: state ⇒ userID ⇒ password ⇒ bool
where
e-listAllUsers s uID p ≡
IDsOK s [] [uID] [] ∧ pass s uID = p

definition listAllPapers :: state ⇒ userID ⇒ password ⇒ paperID list
where
listAllPapers s uID p ≡
getAllPaperIDs s

definition e-listAllPapers :: state ⇒ userID ⇒ password ⇒ bool

```

```

where
e-listAllPapers s uID p ≡
IDsOK s [] [uID] [] ∧ pass s uID = p

```

### 2.2.3 Actions available in the noPH phase

```

definition updateConfA :: state ⇒ confID ⇒ userID ⇒ password ⇒ state
where

```

```

updateConfA s confID uID p ≡
s (phase := (phase s) (confID := setPH)) []

```

```

definition e-updateConfA :: state ⇒ confID ⇒ userID ⇒ password ⇒ bool
where

```

```

e-updateConfA s confID uID p ≡
IDsOK s [confID] [uID] [] ∧ pass s uID = p ∧
uID = voronkov s ∧ phase s confID = noPH

```

### 2.2.4 Actions available in the setPH phase

```

definition createPC :: state ⇒ confID ⇒ userID ⇒ password ⇒ userID ⇒ state
where

```

```

createPC s confID uID p uID' ≡
let rls = roles s confID uID'
in
s (roles := fun-upd2 (roles s) confID uID' (List.insert PC rls))

```

```

definition e-createPC :: state ⇒ confID ⇒ userID ⇒ password ⇒ userID ⇒ bool
where

```

```

e-createPC s confID uID p uID' ≡
let uIDs = userIDs s
in
IDsOK s [confID] [uID,uID'] [] ∧ pass s uID = p ∧
phase s confID = setPH ∧ isChair s confID uID

```

```

definition createChair :: state ⇒ confID ⇒ userID ⇒ password ⇒ userID ⇒
state
where

```

```

createChair s confID uID p uID' ≡
let rls = roles s confID uID'
in
s (roles := fun-upd2 (roles s) confID uID' (List.insert PC (List.insert Chair rls)))

```

```

definition e-createChair :: state ⇒ confID ⇒ userID ⇒ password ⇒ userID ⇒
bool
where

```

```

e-createChair s confID uID p uID' ≡
let uIDs = userIDs s
in
IDsOK s [confID] [uID,uID'] [] ∧ pass s uID = p ∧

```

*phase s confID = setPH  $\wedge$  isChair s confID uID*

### 2.2.5 Actions available starting from the setPH phase

**definition** *updatePhase :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  phase  $\Rightarrow$  state*  
**where**

*updatePhase s confID uID p ph  $\equiv$   
 $s (\langle phase := (phase s) (confID := ph) \rangle)$*

**definition** *e-updatePhase :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  phase  $\Rightarrow$  bool*  
**where**  
*e-updatePhase s confID uID p ph  $\equiv$   
 $IDsOK s [confID] [uID] [] \wedge pass s uID = p \wedge$   
 $phase s confID \geq setPH \wedge phase s confID < closedPH \wedge isChair s confID uID \wedge$   
 $ph = SucPH (phase s confID)$*

**definition** *uupdateNews :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string  $\Rightarrow$  state*

**where**  
*uupdateNews s confID uID p ev  $\equiv$   
 $let evs = news s confID$   
 $in$   
 $s (\langle news := (news s) (confID := ev \# evs) \rangle)$*

**definition** *e-uupdateNews :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string  $\Rightarrow$  bool*

**where**  
*e-uupdateNews s confID uID p ev  $\equiv$   
 $IDsOK s [confID] [uID] [] \wedge pass s uID = p \wedge$   
 $phase s confID \geq setPH \wedge phase s confID < closedPH \wedge isChair s confID uID$*

**definition** *readNews :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  string list*

**where**  
*readNews s confID uID p  $\equiv$   
 $news s confID$*

**definition** *e-readNews :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  bool*

**where**  
*e-readNews s confID uID p  $\equiv$   
 $IDsOK s [confID] [uID] [] \wedge pass s uID = p \wedge$   
 $phase s confID \geq setPH \wedge isPC s confID uID$*

**definition** *listPC :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  userID list*

**where**  
*listPC s confID uID p  $\equiv$*

```

[ $uID$ .  $uID \leftarrow userIDs s$ ,  $isPC s confID uID$ ]

definition  $e\text{-}listPC :: state \Rightarrow confID \Rightarrow userID \Rightarrow password \Rightarrow bool$ 
where
 $e\text{-}listPC s confID uID p \equiv$ 
 $IDsOK s [confID] [uID] [] \wedge pass s uID = p \wedge$ 
 $(phase s confID \geq subPH \vee (phase s confID \geq setPH \wedge isChair s confID uID))$ 

definition  $listChair :: state \Rightarrow confID \Rightarrow userID \Rightarrow password \Rightarrow userID list$ 
where
 $listChair s confID uID p \equiv$ 
 $[uID$ .  $uID \leftarrow userIDs s$ ,  $isChair s confID uID]$ 

definition  $e\text{-}listChair :: state \Rightarrow confID \Rightarrow userID \Rightarrow password \Rightarrow bool$ 
where
 $e\text{-}listChair s confID uID p \equiv$ 
 $IDsOK s [confID] [uID] [] \wedge pass s uID = p \wedge$ 
 $(phase s confID \geq subPH \vee (phase s confID \geq setPH \wedge isChair s confID uID))$ 

```

### 2.2.6 Actions available in the subPH phase

```

definition  $createPaper :: state \Rightarrow confID \Rightarrow userID \Rightarrow password \Rightarrow paperID \Rightarrow$ 
 $string \Rightarrow string \Rightarrow state$ 
where
 $createPaper s confID uID p papID title abstract \equiv$ 
 $let papIDs = paperIDs s confID;$ 
 $rls = roles s confID uID$ 
 $in$ 
 $s (paperIDs := (paperIDs s) (confID := papID \# papIDs),$ 
 $paper := (paper s) (papID := Paper title abstract NoPContent [] (Dis []) []),$ 
 $roles := fun-upd2 (roles s) confID uID (List.insert (Aut papID) rls),$ 
 $pref := fun-upd2 (pref s) uID papID Conflict)$ 

definition  $e\text{-}createPaper :: state \Rightarrow confID \Rightarrow userID \Rightarrow password \Rightarrow paperID \Rightarrow$ 
 $string \Rightarrow string \Rightarrow bool$ 
where
 $e\text{-}createPaper s confID uID p papID name info \equiv$ 
 $IDsOK s [confID] [uID] [] \wedge pass s uID = p \wedge$ 
 $phase s confID = subPH \wedge$ 
 $\neg papID \in getAllPaperIDs s$ 

```

```

definition  $createAuthor :: state \Rightarrow confID \Rightarrow userID \Rightarrow password \Rightarrow paperID \Rightarrow$ 
 $userID \Rightarrow state$ 
where
 $createAuthor s confID uID p papID uID' \equiv$ 
 $let rls = roles s confID uID'$ 

```

in  
 $s (\text{roles} := \text{fun-upd2} (\text{roles } s) \text{ confID } uID' (\text{List.insert} (\text{Aut } \text{papID}) \text{ rls}),$   
 $\text{pref} := \text{fun-upd2} (\text{pref } s) \text{ uID' papID Conflict})$

**definition**  $e\text{-createAuthor} :: \text{state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{userID} \Rightarrow \text{bool}$   
**where**  
 $e\text{-createAuthor } s \text{ confID } uID \text{ p papID uID'} \equiv$   
 $\text{IDsOK } s [\text{confID}] [uID, uID'] [\text{papID}] \wedge \text{pass } s \text{ uID} = p \wedge$   
 $\text{phase } s \text{ confID} = \text{subPH} \wedge \text{isAut } s \text{ confID uID papID} \wedge \text{uID} \neq \text{uID}'$

**definition**  $updatePaperTA :: \text{state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{string} \Rightarrow \text{string} \Rightarrow \text{state}$   
**where**  
 $e\text{-updatePaperTA } s \text{ confID } uID \text{ p papID title abstract} \equiv$   
 $\text{case paper } s \text{ papID of Paper title' abstract' pc reviews dis decs} \Rightarrow$   
 $s (\text{paper} := (\text{paper } s) (\text{papID} := \text{Paper title abstract pc reviews dis decs}))$

**definition**  $e\text{-updatePaperTA} :: \text{state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{string} \Rightarrow \text{string} \Rightarrow \text{bool}$   
**where**  
 $e\text{-updatePaperTA } s \text{ confID } uID \text{ p papID name info} \equiv$   
 $\text{IDsOK } s [\text{confID}] [uID] [\text{papID}] \wedge \text{pass } s \text{ uID} = p \wedge$   
 $\text{phase } s \text{ confID} = \text{subPH} \wedge \text{isAut } s \text{ confID uID papID}$

**definition**  $updatePaperC :: \text{state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{pcontent} \Rightarrow \text{state}$   
**where**  
 $e\text{-updatePaperC } s \text{ confID } uID \text{ p papID pc} \equiv$   
 $\text{case paper } s \text{ papID of Paper title abstract pc' reviews dis decs} \Rightarrow$   
 $s (\text{paper} := (\text{paper } s) (\text{papID} := \text{Paper title abstract pc reviews dis decs}))$

**definition**  $e\text{-updatePaperC} :: \text{state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{pcontent} \Rightarrow \text{bool}$   
**where**  
 $e\text{-updatePaperC } s \text{ confID } uID \text{ p papID pc} \equiv$   
 $\text{IDsOK } s [\text{confID}] [uID] [\text{papID}] \wedge \text{pass } s \text{ uID} = p \wedge$   
 $\text{phase } s \text{ confID} = \text{subPH} \wedge \text{isAut } s \text{ confID uID papID}$

**definition**  $createConflict :: \text{state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{userID} \Rightarrow \text{state}$   
**where**  
 $e\text{-createConflict } s \text{ confID } uID \text{ p papID uID'} \equiv$   
 $s (\text{pref} := \text{fun-upd2} (\text{pref } s) \text{ uID' papID Conflict})$

```

definition e-createConflict :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID
⇒ userID ⇒ bool
where
e-createConflict s confID uID p papID uID' ≡
IDsOK s [confID] [uID, uID'] [papID] ∧ pass s uID = p ∧
phase s confID = subPH ∧ isAut s confID uID papID ∧ isPC s confID uID'

```

### 2.2.7 Actions available starting from the subPH phase

```

definition readPaperTAA :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
(string * string * userID list)
where
readPaperTAA s confID uID p papID ≡
case paper s papID of Paper title abstract pc reviews dis decs ⇒
(title, abstract, [uID. uID ← userIDs s , isAut s confID uID papID])

definition e-readPaperTAA :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID
⇒ bool
where
e-readPaperTAA s confID uID p papID ≡
IDsOK s [confID] [uID] [papID] ∧ pass s uID = p ∧
phase s confID ≥ subPH ∧ (isAut s confID uID papID ∨ isPC s confID uID)

```

```

definition readPaperC :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
pccontent
where
readPaperC s confID uID p papID ≡
case paper s papID of Paper title abstract pc reviews dis decs ⇒ pc

definition e-readPaperC :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
bool
where
e-readPaperC s confID uID p papID ≡
IDsOK s [confID] [uID] [papID] ∧ pass s uID = p ∧
(
phase s confID ≥ subPH ∧ isAut s confID uID papID ∨
phase s confID ≥ bidPH ∧ isPC s confID uID
)

```

```

definition listPapers :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID list
where
listPapers s confID uID p ≡
let paps = paper s in
[papID. papID ← paperIDs s confID]

```

```

definition e-listPapers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  bool
where
e-listPapers s confID uID p  $\equiv$ 
IDsOK s [confID] [uID] []  $\wedge$  pass s uID = p  $\wedge$ 
phase s confID  $\geq$  subPH  $\wedge$  isPC s confID uID

definition listMyPapers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID list
where
listMyPapers s confID uID p  $\equiv$ 
let paps = paper s in
[papID. papID  $\leftarrow$  paperIDs s confID, isAut s confID uID papID]

definition e-listMyPapers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  bool
where
e-listMyPapers s confID uID p  $\equiv$ 
IDsOK s [confID] [uID] []  $\wedge$  pass s uID = p  $\wedge$ 
phase s confID  $\geq$  subPH

```

### 2.2.8 Actions available in the bidPH phase

```

definition updatePref :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$ 
preference  $\Rightarrow$  state
where
updatePref s confID uID p papID pr  $\equiv$ 
s (pref := fun-upd2 (pref s) uID papID pr)

definition e-updatePref :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$ 
preference  $\Rightarrow$  bool
where
e-updatePref s confID uID p papID pr  $\equiv$ 
IDsOK s [confID] [uID] [papID]  $\wedge$  pass s uID = p  $\wedge$ 
phase s confID = bidPH  $\wedge$  isPC s confID uID  $\wedge$ 
 $\neg$  isAut s confID uID papID

```

### 2.2.9 Actions available starting from the bidPH phase

```

definition readPref :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$  pref-
erence
where
readPref s confID uID p papID  $\equiv$ 
pref s uID papID

definition e-readPref :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$  bool
where
e-readPref s confID uID p papID  $\equiv$ 
IDsOK s [confID] [uID] [papID]  $\wedge$  pass s uID = p  $\wedge$ 
phase s confID  $\geq$  bidPH  $\wedge$  isPC s confID uID

```

### 2.2.10 Actions available in the revPH phase

```

definition readPrefOfPC :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
userID ⇒ preference
where
readPrefOfPC s confID uID p papID uID' ≡
pref s uID' papID

definition e-readPrefOfPC :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
⇒ userID ⇒ bool
where
e-readPrefOfPC s confID uID p papID uID' ≡
IDsOK s [confID] [uID,uID'] [papID] ∧ pass s uID = p ∧
(phase s confID ≥ bidPH ∧ isChair s confID uID ∧ isPC s confID uID'
∨
phase s confID = subPH ∧ isAut s confID uID papID)

definition createReview :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
userID ⇒ state
where
createReview s confID uID p papID uID' ≡
case paper s papID of Paper title abstract pc reviews dis decs ⇒
let rls = roles s confID uID'; n = length (reviewsPaper (paper s papID));
reviews' = reviews @ [emptyReview]
in
s (roles := fun-upd2 (roles s) confID uID' (List.insert (Rev papID n) rls),
paper := fun-upd (paper s) papID (Paper title abstract pc reviews' dis decs)
)

definition e-createReview :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
userID ⇒ bool
where
e-createReview s confID uID p papID uID' ≡
IDsOK s [confID] [uID,uID'] [papID] ∧ pass s uID = p ∧
phase s confID = revPH ∧
isChair s confID uID ∧ pref s uID papID ≠ Conflict ∧
isPC s confID uID' ∧ ¬ isRev s confID uID' papID ∧ pref s uID' papID ≠ Conflict

definition updateReview :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒ nat ⇒ rcontent ⇒ state
where
updateReview s confID uID p papID n rc ≡
case paper s papID of Paper title abstract pc reviews dis decs ⇒
let review = [rc]; reviews' = list-update reviews n review
in
s (paper := fun-upd (paper s) papID (Paper title abstract pc reviews' dis decs))

```

```

definition e-updateReview ::  

state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$  nat  $\Rightarrow$  rcontent  $\Rightarrow$  bool  

where  

e-updateReview s confID uID p papID n rc  $\equiv$   

IDsOK s [confID] [uID] [papID]  $\wedge$  pass s uID = p  $\wedge$   

phase s confID = revPH  $\wedge$  isRev s confID uID papID  $\wedge$   

getReviewIndex s confID uID papID = n

```

### 2.2.11 Actions available starting from the revPH phase

```

definition readMyReview :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$   

nat * review  

where  

readMyReview s confID uID p papID  $\equiv$   

case getRevRole s confID uID papID of  

Some (Rev papID' n)  $\Rightarrow$  (n, getNthReview s papID n)

```

```

definition e-readMyReview :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  

 $\Rightarrow$  bool  

where  

e-readMyReview s confID uID p papID  $\equiv$   

IDsOK s [confID] [uID] [papID]  $\wedge$  pass s uID = p  $\wedge$   

phase s confID  $\geq$  revPH  $\wedge$  isRev s confID uID papID

```

```

definition listMyAssignedPapers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  pa-  

perID list  

where  

listMyAssignedPapers s confID uID p  $\equiv$   

let paps = paper s in  

[papID. papID  $\leftarrow$  paperIDs s confID, isRev s confID uID papID]

```

```

definition e-listMyAssignedPapers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$   

bool  

where  

e-listMyAssignedPapers s confID uID p  $\equiv$   

IDsOK s [confID] [uID] []  $\wedge$  pass s uID = p  $\wedge$   

phase s confID  $\geq$  revPH  $\wedge$  isPC s confID uID

```

```

definition listAssignedReviewers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  pa-  

perID  $\Rightarrow$  userID list  

where  

listAssignedReviewers s confID uID p papID  $\equiv$   

[uID  $\leftarrow$  userIDs s. isRev s confID uID papID]

```

```

definition e-listAssignedReviewers :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$   

paperID  $\Rightarrow$  bool

```

**where**  
 $e\text{-listAssignedReviewers } s \text{ confID } uID \text{ p papID} \equiv$   
 $IDsOK s [\text{confID}] [uID] [\text{papID}] \wedge \text{pass } s \text{ uID} = p \wedge$   
 $\text{phase } s \text{ confID} \geq \text{revPH} \wedge$   
 $\text{isChair } s \text{ confID } uID \wedge \text{pref } s \text{ uID papID} \neq \text{Conflict}$

### 2.2.12 Actions available in the disPH phase

**definition**  $uupdateDis :: state \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow$   
 $\text{string} \Rightarrow state$

**where**

$uupdateDis s \text{ confID } uID \text{ p papID comm} \equiv$   
 $\text{case paper } s \text{ papID of Paper title abstract pc reviews (Dis comments) decs} \Rightarrow$   
 $s (\text{fun-upd (paper s) papID (Paper title abstract pc reviews (Dis (comm # comments)) decs)})$

**definition**  $e\text{-uupdateDis :: state} \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow$   
 $\text{string} \Rightarrow \text{bool}$

**where**

$e\text{-uupdateDis s confID uID p papID comm} \equiv$   
 $IDsOK s [\text{confID}] [uID] [\text{papID}] \wedge \text{pass } s \text{ uID} = p \wedge$   
 $\text{phase } s \text{ confID} = \text{disPH} \wedge \text{isPC } s \text{ confID } uID \wedge \text{pref } s \text{ uID papID} \neq \text{Conflict}$

**definition**  $uupdateReview ::$

$state \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{nat} \Rightarrow \text{rcontent} \Rightarrow state$

**where**

$uupdateReview s \text{ confID } uID \text{ p papID n rc} \equiv$   
 $\text{case paper } s \text{ papID of Paper title abstract pc reviews dis decs} \Rightarrow$   
 $\text{let review} = \text{rc} \# (\text{reviews} ! n); \text{reviews}' = \text{list-update reviews } n \text{ review}$   
 $\text{in}$   
 $s (\text{fun-upd (paper s) papID (Paper title abstract pc reviews' dis decs)})$

**definition**  $e\text{-uupdateReview ::}$

$state \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow \text{nat} \Rightarrow \text{rcontent} \Rightarrow \text{bool}$

**where**

$e\text{-uupdateReview s confID uID p papID n rc} \equiv$   
 $IDsOK s [\text{confID}] [uID] [\text{papID}] \wedge \text{pass } s \text{ uID} = p \wedge$   
 $\text{phase } s \text{ confID} = \text{disPH} \wedge \text{isRev } s \text{ confID } uID \text{ papID} \wedge$   
 $\text{getReviewIndex } s \text{ confID } uID \text{ papID} = n$

**definition**  $uupdateDec :: state \Rightarrow \text{confID} \Rightarrow \text{userID} \Rightarrow \text{password} \Rightarrow \text{paperID} \Rightarrow$   
 $\text{decision} \Rightarrow state$

**where**

$uupdateDec s \text{ confID } uID \text{ p papID dec} \equiv$   
 $\text{case paper } s \text{ papID of Paper title abstract pc reviews dis decs} \Rightarrow$   
 $s (\text{fun-upd (paper s) papID (Paper title abstract pc reviews dis (dec \# decs))})$

```

definition e-uupdateDec :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
decision ⇒ bool
where
e-uupdateDec s confID uID p papID dec ≡
IDsOK s [confID] [uID] [papID] ∧ pass s uID = p ∧
phase s confID = disPH ∧ isChair s confID uID ∧ pref s uID papID ≠ Conflict

```

### 2.2.13 Actions available starting from the disPH phase

```

definition readReviews :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
(userID * review) list
where
readReviews s confID uID p papID ≡
getReviewersReviews s confID papID

```

```

definition e-readReviews :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒
bool
where
e-readReviews s confID uID p papID ≡
IDsOK s [confID] [uID] [papID] ∧ pass s uID = p ∧
phase s confID ≥ disPH ∧ isPC s confID uID ∧ pref s uID papID ≠ Conflict

```

```

definition readDecs :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒ de-
cision list
where
readDecs s confID uID p papID ≡
case paper s papID of Paper title abstract pc reviews dis decs ⇒ decs

```

```

definition e-readDecs :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒ bool
where
e-readDecs s confID uID p papID ≡
IDsOK s [confID] [uID] [papID] ∧ pass s uID = p ∧
phase s confID ≥ disPH ∧ isPC s confID uID ∧ pref s uID papID ≠ Conflict

```

```

definition readDis :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒ string
list
where
readDis s confID uID p papID ≡
case paper s papID of Paper title abstract pc reviews (Dis comments) decs ⇒
comments

```

```

definition e-readDis :: state ⇒ confID ⇒ userID ⇒ password ⇒ paperID ⇒ bool
where
e-readDis s confID uID p papID ≡
IDsOK s [confID] [uID] [papID] ∧ pass s uID = p ∧
phase s confID ≥ disPH ∧ isPC s confID uID ∧ pref s uID papID ≠ Conflict

```

#### 2.2.14 Actions available starting from the notifPH phase

```

definition readFinalReviews :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID
 $\Rightarrow$  review list
where
readFinalReviews s confID uID p papID  $\equiv$ 
map ( $\lambda$  rev. case rev of []  $\Rightarrow$  [(NoExp,NoScore,emptyStr)]
|((exp,score,comm)  $\#$  rv)  $\Rightarrow$  [(exp,score,comm)])
(reviewsPaper (paper s papID))

definition e-readFinalReviews :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID
 $\Rightarrow$  bool
where
e-readFinalReviews s confID uID p papID  $\equiv$ 
IDsOK s [confID] [uID] [papID]  $\wedge$  pass s uID = p  $\wedge$ 
phase s confID  $\geq$  notifPH  $\wedge$  (isAut s confID uID papID  $\vee$  (isPC s confID uID  $\wedge$ 
pref s uID papID  $\neq$  Conflict))

definition readFinalDec :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID  $\Rightarrow$ 
decision
where
readFinalDec s confID uID p papID  $\equiv$ 
case paper s papID of Paper title abstract pc reviews dis decs  $\Rightarrow$ 
case decs of []  $\Rightarrow$  NoDecision | dec  $\#$  decs  $\Rightarrow$  dec

definition e-readFinalDec :: state  $\Rightarrow$  confID  $\Rightarrow$  userID  $\Rightarrow$  password  $\Rightarrow$  paperID
 $\Rightarrow$  bool
where
e-readFinalDec s confID uID p papID  $\equiv$ 
IDsOK s [confID] [uID] [papID]  $\wedge$  pass s uID = p  $\wedge$ 
phase s confID  $\geq$  notifPH  $\wedge$  (isAut s confID uID papID  $\vee$  isPC s confID uID)

```

### 2.3 The step function

```

datatype out =
outOK | outErr |
outBool bool |
outSTRT string * string * string | outSTRL string list | outCONF string * string
* role list * phase |
outPREF preference |
outCON pcontent |
outNREV nat * review | outREVL review list | outRREVL (userID * review) list
|
outDEC decision | outDECL decision list |
outCIDL confID list | outUIDL userID list | outPIDL paperID list |
outSTRPAL string * string * userID list

datatype cAct =
cUser userID password string string

```

```

|cConf confID userID password string string
|cPC confID userID password userID
|cChair confID userID password userID
|cPaper confID userID password paperID string string
|cAuthor confID userID password paperID userID
|cConflict confID userID password paperID userID
|cReview confID userID password paperID userID

lemmas c-defs =
e-createUser-def createUser-def
e-createConf-def createConf-def
e-createPC-def createPC-def
e-createChair-def createChair-def
e-createAuthor-def createAuthor-def
e-createConflict-def createConflict-def
e-createPaper-def createPaper-def
e-createReview-def createReview-def

fun cStep :: state  $\Rightarrow$  cAct  $\Rightarrow$  out * state where
cStep s (cUser uID p name info email) =
(if e-createUser s uID p name info email
then (outOK, createUser s uID p name info email)
else (outErr, s))
|
cStep s (cConf confID uID p name info) =
(if e-createConf s confID uID p name info
then (outOK, createConf s confID uID p name info)
else (outErr, s))
|
cStep s (cPC confID uID p uID') =
(if e-createPC s confID uID p uID'
then (outOK, createPC s confID uID p uID')
else (outErr, s))
|
cStep s (cChair confID uID p uID') =
(if e-createChair s confID uID p uID'
then (outOK, createChair s confID uID p uID')
else (outErr, s))
|
cStep s (cPaper confID uID p papID name info) =
(if e-createPaper s confID uID p papID name info
then (outOK, createPaper s confID uID p papID name info)
else (outErr, s))
|
cStep s (cAuthor confID uID p papID uID') =
(if e-createAuthor s confID uID p papID uID'
then (outOK, createAuthor s confID uID p papID uID')
else (outErr, s))
|

```

```

cStep s (cConflict confID uID p papID uID') =
(if e-createConflict s confID uID p papID uID'
  then (outOK, createConflict s confID uID p papID uID')
  else (outErr, s))
|
cStep s (cReview confID uID p papID uID') =
(if e-createReview s confID uID p papID uID'
  then (outOK, createReview s confID uID p papID uID')
  else (outErr, s))

datatype uAct =
|uUser userID password string string string
|uConfA confID userID password
|uPhase confID userID password phase
|uPaperTA confID userID password paperID string string
|uPaperC confID userID password paperID pcontent
|uPref confID userID password paperID preference
|uReview confID userID password paperID nat rcontent

lemmas u-defs =
e-updateUser-def updateUser-def
e-updateConfA-def updateConfA-def
e-updatePhase-def updatePhase-def
e-updatePaperTA-def updatePaperTA-def
e-updatePaperC-def updatePaperC-def
e-updatePref-def updatePref-def
e-updateReview-def updateReview-def

fun uStep :: state  $\Rightarrow$  uAct  $\Rightarrow$  out * state where
uStep s (uUser uID p p' name info email) =
(if e-updateUser s uID p p' name info email
  then (outOK, updateUser s uID p p' name info email)
  else (outErr, s))
|
uStep s (uConfA confID uID p) =
(if e-updateConfA s confID uID p
  then (outOK, updateConfA s confID uID p)
  else (outErr, s))
|
uStep s (uPhase confID uID p ph) =
(if e-updatePhase s confID uID p ph
  then (outOK, updatePhase s confID uID p ph)
  else (outErr, s))
|
uStep s (uPaperTA confID uID p papID name info) =
(if e-updatePaperTA s confID uID p papID name info
  then (outOK, updatePaperTA s confID uID p papID name info)
  else (outErr, s))

```

```

|  

Step s (uPaperC confID uID p papID pc) =  

(if e-updatePaperC s confID uID p papID pc  

then (outOK, updatePaperC s confID uID p papID pc)  

else (outErr, s))  

|  

Step s (uPref confID uID p papID pr) =  

(if e-updatePref s confID uID p papID pr  

then (outOK, updatePref s confID uID p papID pr)  

else (outErr, s))  

|  

Step s (uReview confID uID p papID n rc) =  

(if e-updateReview s confID uID p papID n rc  

then (outOK, updateReview s confID uID p papID n rc)  

else (outErr, s))

```

**datatype** uuAct =  
uuNews confID userID password string  
| uuDis confID userID password paperID string  
| uuReview confID userID password paperID nat rcontent  
| uuDec confID userID password paperID decision

**lemmas** uu-defs =  
e-uupdateNews-def uupdateNews-def  
e-uupdateDis-def uupdateDis-def  
e-uupdateReview-def uupdateReview-def  
uupdateDec-def e-uupdateDec-def

**fun** uuStep :: state  $\Rightarrow$  uuAct  $\Rightarrow$  out \* state **where**  
uuStep s (uuNews confID uID p ev) =  
(if e-uupdateNews s confID uID p ev  
then (outOK, uupdateNews s confID uID p ev)  
else (outErr, s))  
|  
uuStep s (uuDis confID uID p papID comm) =  
(if e-uupdateDis s confID uID p papID comm  
then (outOK, uupdateDis s confID uID p papID comm)  
else (outErr, s))  
|  
uuStep s (uuReview confID uID p papID n rc) =  
(if e-uupdateReview s confID uID p papID n rc  
then (outOK, uupdateReview s confID uID p papID n rc)  
else (outErr, s))  
|  
uuStep s (uuDec confID uID p papID dec) =  
(if e-uupdateDec s confID uID p papID dec  
then (outOK, uupdateDec s confID uID p papID dec)  
else (outErr, s))

```

datatype rAct =
  |rAmIVoronkov userID password
  |rUser userID password userID
  |rConf confID userID password
  |rNews confID userID password
  |rPaperTAA confID userID password paperID
  |rPaperC confID userID password paperID
  |rPref confID userID password paperID
  |rMyReview confID userID password paperID
  |rReviews confID userID password paperID
  |rDecs confID userID password paperID
  |rDis confID userID password paperID
  |rFinalReviews confID userID password paperID
  |rFinalDec confID userID password paperID
  |rPrefOfPC confID userID password paperID userID

lemmas r-defs =
  |readAmIVoronkov-def e-readAmIVoronkov-def
  |readUser-def e-readUser-def
  |readConf-def e-readConf-def
  |readNews-def e-readNews-def
  |readPaperTAA-def e-readPaperTAA-def
  |readPaperC-def e-readPaperC-def
  |readPref-def e-readPref-def
  |readMyReview-def e-readMyReview-def
  |readReviews-def e-readReviews-def
  |readDecs-def e-readDecs-def
  |readDis-def e-readDis-def
  |readFinalReviews-def e-readFinalReviews-def
  |readFinalDec-def e-readFinalDec-def
  |readPrefOfPC-def e-readPrefOfPC-def

fun rObs :: state  $\Rightarrow$  rAct  $\Rightarrow$  out where
  rObs s (rAmIVoronkov uID p) =
    (if e-readAmIVoronkov s uID p then outBool (readAmIVoronkov s uID p) else
     outErr)
  |
  rObs s (rUser uID p uID') =
    (if e-readUser s uID p uID' then outSTRT (readUser s uID p uID') else outErr)
  |
  rObs s (rConf confID uID p) =
    (if e-readConf s confID uID p then outCONF (readConf s confID uID p) else
     outErr)
  |
  rObs s (rNews confID uID p) =
    (if e-readNews s confID uID p then outSTRL (readNews s confID uID p) else
     outErr)
  |

```

```

rObs s (rPaperTAA confID uID p papID) =
  (if e-readPaperTAA s confID uID p papID then outSTRPAL (readPaperTAA s
  confID uID p papID) else outErr)
|
rObs s (rPaperC confID uID p papID) =
  (if e-readPaperC s confID uID p papID then outCON (readPaperC s confID uID
  p papID) else outErr)
|
rObs s (rPref confID uID p papID) =
  (if e-readPref s confID uID p papID then outPREF (readPref s confID uID p
  papID) else outErr)
|
rObs s (rMyReview confID uID p papID) =
  (if e-readMyReview s confID uID p papID then outNREV (readMyReview s confID
  uID p papID) else outErr)
|
rObs s (rReviews confID uID p papID) =
  (if e-readReviews s confID uID p papID then outRREVL (readReviews s confID
  uID p papID) else outErr)
|
rObs s (rDecs confID uID p papID) =
  (if e-readDecs s confID uID p papID then outDECL (readDecs s confID uID p
  papID) else outErr)
|
rObs s (rDis confID uID p papID) =
  (if e-readDis s confID uID p papID then outSTRL (readDis s confID uID p papID)
  else outErr)
|
rObs s (rFinalReviews confID uID p papID) =
  (if e-readFinalReviews s confID uID p papID then outREVL (readFinalReviews s
  confID uID p papID) else outErr)
|
rObs s (rFinalDec confID uID p papID) =
  (if e-readFinalDec s confID uID p papID then outDEC (readFinalDec s confID
  uID p papID) else outErr)
|
rObs s (rPrefOfPC confID uID p papID uID') =
  (if e-readPrefOfPC s confID uID p papID uID' then outPREF (readPrefOfPC s
  confID uID p papID uID') else outErr)

datatype lAct =
  lConfs userID password
  | lAConfs userID password
  | lSConfs userID password
  | lMyConfs userID password
  | lAllUsers userID password
  | lAllPapers userID password
  | lPC confID userID password
  | lChair confID userID password

```

```

| lPapers confID userID password
| lMyPapers confID userID password
| lMyAssignedPapers confID userID password
| lAssignedReviewers confID userID password paperID

lemmas l-defs =
listConfs-def e-listConfs-def
listAConfs-def e-listAConfs-def
listSConfs-def e-listSConfs-def
listMyConfs-def e-listMyConfs-def
listAllUsers-def e-listAllUsers-def
listAllPapers-def e-listAllPapers-def
listPC-def e-listPC-def
listChair-def e-listChair-def
listPapers-def e-listPapers-def
listMyPapers-def e-listMyPapers-def
listMyAssignedPapers-def e-listMyAssignedPapers-def
listAssignedReviewers-def e-listAssignedReviewers-def

fun lObs :: state  $\Rightarrow$  lAct  $\Rightarrow$  out where
lObs s (lConfs uID p) =
(if e-listConfs s uID p then outCIDL (listConfs s uID p) else outErr)
|
lObs s (lAConfs uID p) =
(if e-listAConfs s uID p then outCIDL (listAConfs s uID p) else outErr)
|
lObs s (lSConfs uID p) =
(if e-listSConfs s uID p then outCIDL (listSConfs s uID p) else outErr)
|
lObs s (lMyConfs uID p) =
(if e-listMyConfs s uID p then outCIDL (listMyConfs s uID p) else outErr)
|
lObs s (lAllUsers uID p) =
(if e-listAllUsers s uID p then outUIDL (listAllUsers s uID p) else outErr)
|
lObs s (lAllPapers uID p) =
(if e-listAllPapers s uID p then outPIDL (listAllPapers s uID p) else outErr)
|
lObs s (lPC confID uID p) =
(if e-listPC s confID uID p then outUIDL (listPC s confID uID p) else outErr)
|
lObs s (lChair confID uID p) =
(if e-listChair s confID uID p then outUIDL (listChair s confID uID p) else outErr)
|
lObs s (lPapers confID uID p) =
(if e-listPapers s confID uID p then outPIDL (listPapers s confID uID p) else
outErr)
|
lObs s (lMyPapers confID uID p) =

```

```

(if e-listMyPapers s confID uID p then outPIDL (listMyPapers s confID uID p)
else outErr)
|
lObs s (lMyAssignedPapers confID uID p) =
(if e-listMyAssignedPapers s confID uID p then outPIDL (listMyAssignedPapers s
confID uID p) else outErr)
|
lObs s (lAssignedReviewers confID uID p papID) =
(if e-listAssignedReviewers s confID uID p papID
then outUIDL (listAssignedReviewers s confID uID p papID) else outErr)

datatype act =
Cact cAct | Uact uAct | UUact uuAct |
Ract rAct | Lact lAct

fun step :: state  $\Rightarrow$  act  $\Rightarrow$  out * state where
step s (Cact ca) = cStep s ca
|
step s (Uact ua) = uStep s ua
|
step s (UUact uua) = uuStep s uua
|
step s (Ract ra) = (rObs s ra, s)
|
step s (Lact la) = (lObs s la, s)

```

**export-code** step istate getFreshPaperID **in** Scala

Some action selectors, used for verification:

```

fun cUserOfA :: cAct  $\Rightarrow$  userID where
cUserOfA (cUser uID p name info email) = uID
|
cUserOfA (cConf confID uID p name info) = uID
|
cUserOfA (cPC confID uID p uID') = uID
|
cUserOfA (cChair confID uID p uID') = uID
|
cUserOfA (cPaper confID uID p papID name info) = uID
|
cUserOfA (cAuthor confID uID p papID uID') = uID
|
cUserOfA (cConflict confID uID p papID uID') = uID
|
cUserOfA (cReview confID uID p papID uID') = uID

```

**fun** uUserOfA :: uAct  $\Rightarrow$  userID **where**

```

 $uUserOfA (uUser uID p p' name info email) = uID$ 
|
 $uUserOfA (uConfA confID uID p) = uID$ 
|
 $uUserOfA (uPhase confID uID p ph) = uID$ 
|
 $uUserOfA (uPaperTA confID uID p papID name info) = uID$ 
|
 $uUserOfA (uPaperC confID uID p papID pc) = uID$ 
|
 $uUserOfA (uPref confID uID p papID pr) = uID$ 
|
 $uUserOfA (uReview confID uID p papID n rc) = uID$ 

fun  $uuUserOfA :: uuAct \Rightarrow userID$  where
 $uuUserOfA (uuNews confID uID p ev) = uID$ 
|
 $uuUserOfA (uuDis confID uID p papID comm) = uID$ 
|
 $uuUserOfA (uuReview confID uID p papID n rc) = uID$ 
|
 $uuUserOfA (uuDec confID uID p papID dec) = uID$ 

fun  $rUserOfA :: rAct \Rightarrow userID$  where
 $rUserOfA (rAmIVoronkov uID p) = uID$ 
|
 $rUserOfA (rUser uID p uID') = uID$ 
|
 $rUserOfA (rConf confID uID p) = uID$ 
|
 $rUserOfA (rNews confID uID p) = uID$ 
|
 $rUserOfA (rPaperTAA confID uID p papID) = uID$ 
|
 $rUserOfA (rPaperC confID uID p papID) = uID$ 
|
 $rUserOfA (rPref confID uID p papID) = uID$ 
|
 $rUserOfA (rMyReview confID uID p papID) = uID$ 
|
 $rUserOfA (rReviews confID uID p papID) = uID$ 
|
 $rUserOfA (rDecs confID uID p papID) = uID$ 
|
 $rUserOfA (rDis confID uID p papID) = uID$ 
|
 $rUserOfA (rFinalReviews confID uID p papID) = uID$ 
|
 $rUserOfA (rFinalDec confID uID p papID) = uID$ 

```

```

|  

|rUserOfA (rPrefOfPC confID uID p papID uID') = uID

fun lUserOfA :: lAct  $\Rightarrow$  userID where  

lUserOfA (lConfs uID p) = uID  

|  

lUserOfA (lAConfs uID p) = uID  

|  

lUserOfA (lSConfs uID p) = uID  

|  

lUserOfA (lMyConfs uID p) = uID  

|  

lUserOfA (lAllUsers uID p) = uID  

|  

lUserOfA (lAllPapers uID p) = uID  

|  

lUserOfA (lPC confID uID p) = uID  

|  

lUserOfA (lChair confID uID p) = uID  

|  

lUserOfA (lPapers confID uID p) = uID  

|  

lUserOfA (lMyPapers confID uID p) = uID  

|  

lUserOfA (lMyAssignedPapers confID uID p) = uID  

|  

lUserOfA (lAssignedReviewers confID uID p papID) = uID

fun userOfA :: act  $\Rightarrow$  userID where  

userOfA (Cact ca) = cUserOfA ca  

|  

userOfA (Uact ua) = uUserOfA ua  

|  

userOfA (UUact uua) = uuUserOfA uua  

|  

userOfA (Ract ra) = rUserOfA ra  

|  

userOfA (Lact la) = lUserOfA la

fun cConfOfA :: cAct  $\Rightarrow$  confID option where  

cConfOfA (cUser uID p name info email) = None  

|  

cConfOfA (cConf confID uID p name info) = Some confID  

|  

cConfOfA (cPC confID uID p uID') = Some confID
|

```

```

cConfOfA (cChair confID uID p uID') = Some confID
|
cConfOfA (cPaper confID uID p papID name info) = Some confID
|
cConfOfA (cAuthor confID uID p papID uID') = Some confID
|
cConfOfA (cConflict confID uID p papID uID') = Some confID
|
cConfOfA (cReview confID uID p papID uID') = Some confID

fun uConfOfA :: uAct  $\Rightarrow$  confID option where
  uConfOfA (uUser uID p p' name info email) = None
  |
  uConfOfA (uConfA confID uID p) = Some confID
  |
  uConfOfA (uPhase confID uID p ph) = Some confID
  |
  uConfOfA (uPaperTA confID uID p papID name info) = Some confID
  |
  uConfOfA (uPaperC confID uID p papID pc) = Some confID
  |
  uConfOfA (uPref confID uID p papID pr) = Some confID
  |
  uConfOfA (uReview confID uID p papID n rc) = Some confID

fun uuConfOfA :: uuAct  $\Rightarrow$  confID option where
  uuConfOfA (uuNews confID uID p ev) = Some confID
  |
  uuConfOfA (uuDis confID uID p papID comm) = Some confID
  |
  uuConfOfA (uuReview confID uID p papID n rc) = Some confID
  |
  uuConfOfA (uuDec confID uID p papID dec) = Some confID

fun rConfOfA :: rAct  $\Rightarrow$  confID option where
  rConfOfA (rAmIVoronkov uID p) = None
  |
  rConfOfA (rUser uID p uID') = None
  |
  rConfOfA (rConf confID uID p) = Some confID
  |
  rConfOfA (rNews confID uID p) = Some confID
  |
  rConfOfA (rPaperTAA confID uID p papID) = Some confID
  |
  rConfOfA (rPaperC confID uID p papID) = Some confID
  |
  rConfOfA (rPref confID uID p papID) = Some confID
  |

```

```

 $rConfOfA (rMyReview confID uID p papID) = Some \text{confID}$ 
|
 $rConfOfA (rReviews confID uID p papID) = Some \text{confID}$ 
|
 $rConfOfA (rDecs confID uID p papID) = Some \text{confID}$ 
|
 $rConfOfA (rDis confID uID p papID) = Some \text{confID}$ 
|
 $rConfOfA (rFinalReviews confID uID p papID) = Some \text{confID}$ 
|
 $rConfOfA (rFinalDec confID uID p papID) = Some \text{confID}$ 
|
 $rConfOfA (rPrefOfPC confID uID p papID uID') = Some \text{confID}$ 

```

```

fun  $lConfOfA :: lAct \Rightarrow confID \text{ option where}$ 
 $lConfOfA (lConfs uID p) = None$ 
|
 $lConfOfA (lAConfs uID p) = None$ 
|
 $lConfOfA (lSConfs uID p) = None$ 
|
 $lConfOfA (lMyConfs uID p) = None$ 
|
 $lConfOfA (lAllUsers uID p) = None$ 
|
 $lConfOfA (lAllPapers uID p) = None$ 
|
 $lConfOfA (lPC confID uID p) = Some \text{confID}$ 
|
 $lConfOfA (lChair confID uID p) = Some \text{confID}$ 
|
 $lConfOfA (lPapers confID uID p) = Some \text{confID}$ 
|
 $lConfOfA (lMyPapers confID uID p) = Some \text{confID}$ 
|
 $lConfOfA (lMyAssignedPapers confID uID p) = Some \text{confID}$ 
|
 $lConfOfA (lAssignedReviewers confID uID p papID) = Some \text{confID}$ 

```

```

fun  $confOfA :: act \Rightarrow confID \text{ option where}$ 
 $confOfA (Cact ca) = cConfOfA ca$ 
|
 $confOfA (Uact ua) = uConfOfA ua$ 
|
 $confOfA (UUact uua) = uuConfOfA uua$ 
|
 $confOfA (Ract ra) = rConfOfA ra$ 
|

```

```
confOfA (Lact la) = lConfOfA la
```

```
fun cPaperOfA :: cAct  $\Rightarrow$  paperID option where
cPaperOfA (cUser uID p name info email) = None
|
cPaperOfA (cPaper confID uID p papID name info) = Some papID
|
cPaperOfA (cPC confID uID p uID') = None
|
cPaperOfA (cChair confID uID p uID') = None
|
cPaperOfA (cConf confID uID p name info) = None
|
cPaperOfA (cAuthor confID uID p papID uID') = Some papID
|
cPaperOfA (cConflict confID uID p papID uID') = Some papID
|
cPaperOfA (cReview confID uID p papID uID') = Some papID

fun uPaperOfA :: uAct  $\Rightarrow$  paperID option where
uPaperOfA (uUser uID p' name info email) = None
|
uPaperOfA (uConfA confID uID p) = None
|
uPaperOfA (uPhase confID uID p ph) = None
|
uPaperOfA (uPaperTA confID uID p papID name info) = Some papID
|
uPaperOfA (uPaperC confID uID p papID pc) = Some papID
|
uPaperOfA (uPref confID uID p papID pr) = Some papID
|
uPaperOfA (uReview confID uID p papID n rc) = Some papID

fun uuPaperOfA :: uuAct  $\Rightarrow$  paperID option where
uuPaperOfA (uuNews confID uID p ev) = None
|
uuPaperOfA (uuDis confID uID p papID comm) = Some papID
|
uuPaperOfA (uuReview confID uID p papID n rc) = Some papID
|
uuPaperOfA (uuDec confID uID p papID dec) = Some papID

fun rPaperOfA :: rAct  $\Rightarrow$  paperID option where
rPaperOfA (rAmIVoronkov uID p) = None
|
```

```

rPaperOfA (rUser uID p uID') = None
|
rPaperOfA (rConf confID uID p) = None
|
rPaperOfA (rNews confID uID p) = None
|
rPaperOfA (rPaperTAA confID uID p papID) = Some papID
|
rPaperOfA (rPaperC confID uID p papID) = Some papID
|
rPaperOfA (rPref confID uID p papID) = Some papID
|
rPaperOfA (rMyReview confID uID p papID) = Some papID
|
rPaperOfA (rReviews confID uID p papID) = Some papID
|
rPaperOfA (rDecs confID uID p papID) = Some papID
|
rPaperOfA (rDis confID uID p papID) = Some papID
|
rPaperOfA (rFinalReviews confID uID p papID) = Some papID
|
rPaperOfA (rFinalDec confID uID p papID) = Some papID
|
rPaperOfA (rPrefOfPC confID uID p papID uID') = Some papID

fun lPaperOfA :: lAct  $\Rightarrow$  paperID option where
lPaperOfA (lConfs uID p) = None
|
lPaperOfA (lAConfs uID p) = None
|
lPaperOfA (lSConfs uID p) = None
|
lPaperOfA (lMyConfs uID p) = None
|
lPaperOfA (lAllUsers uID p) = None
|
lPaperOfA (lAllPapers uID p) = None
|
lPaperOfA (lPC confID uID p) = None
|
lPaperOfA (lChair confID uID p) = None
|
lPaperOfA (lPapers confID uID p) = None
|
lPaperOfA (lMyPapers confID uID p) = None
|
lPaperOfA (lMyAssignedPapers confID uID p) = None
|

```

```

lPaperOfA (lAssignedReviewers confID uID p papID) = Some papID

fun paperOfA :: act  $\Rightarrow$  paperID option where
paperOfA (Cact ca) = cPaperOfA ca
|
paperOfA (Uact ua) = uPaperOfA ua
|
paperOfA (UUact uua) = uuPaperOfA uua
|
paperOfA (Ract ra) = rPaperOfA ra
|
paperOfA (Lact la) = lPaperOfA la

end

theory Automation-Setup
imports System-Specification
begin

lemma add-prop:
assumes PROP (T)
shows A ==> PROP (T)
⟨proof⟩

lemmas exhaust-elim =
cAct.exhaust[of x, THEN add-prop[where A=a=Cact x], rotated -1]
uAct.exhaust[of x, THEN add-prop[where A=a=Uact x], rotated -1]
uuAct.exhaust[of x, THEN add-prop[where A=a=UUact x], rotated -1]
rAct.exhaust[of x, THEN add-prop[where A=a=Ract x], rotated -1]
lAct.exhaust[of x, THEN add-prop[where A=a=Lact x], rotated -1]
for x a

lemma Paper-dest-conv:
(p =
  Paper title abstract content reviews dis decs)  $\longleftrightarrow$ 
  title = titlePaper p  $\wedge$ 
  abstract = abstractPaper p  $\wedge$ 
  content = contentPaper p  $\wedge$ 
  reviews = reviewsPaper p  $\wedge$ 
  dis = disPaper p  $\wedge$ 
  decs = decsPaper p

⟨proof⟩

end

```

### 3 Safety properties

```
theory Safety-Properties
imports Automation-Setup Bounded-Deducibility-Security.IO-Automaton
begin
```

```
interpretation IO-Automaton where
  istate = istate and step = step
  ⟨proof⟩
```

#### 3.1 Infrastructure for invariance reasoning

```
definition cIsInvar :: (state ⇒ bool) ⇒ bool where
  cIsInvar φ ≡ ∀ s ca. reach s ∧ φ s → φ (snd (cStep s ca))
```

```
definition uIsInvar :: (state ⇒ bool) ⇒ bool where
  uIsInvar φ ≡ ∀ s ua. reach s ∧ φ s → φ (snd (uStep s ua))
```

```
definition uuIsInvar :: (state ⇒ bool) ⇒ bool where
  uuIsInvar φ ≡ ∀ s uua. reach s ∧ φ s → φ (snd (uuStep s uua))
```

```
lemma invar-cIsInvar-uIsInvar-uuIsInvar:
  invar φ ↔ cIsInvar φ ∧ uIsInvar φ ∧ uuIsInvar φ (is ?L ↔ ?R)
  ⟨proof⟩
```

```
lemma cIsInvar[case-names cUser cConf cPC cChair cPaper cAuthor cConflict
```

```
cReview]:
```

```
assumes
```

```
  ∀s uID p name info email.
```

```
    [reach s; φ s; e-createUser s uID p name info email]
    ⇒ φ (createUser s uID p name info email)
```

```
and
```

```
  ∀s confID uID p name info.
```

```
    [reach s; φ s; e-createConf s confID uID p name info]
    ⇒ φ (createConf s confID uID p name info)
```

```
and
```

```
  ∀s confID uID p uID'.
```

```
    [reach s; φ s; e-createPC s confID uID p uID']
    ⇒ φ (createPC s confID uID p uID')
```

```
and
```

```
  ∀s confID uID p uID'.
```

```
    [reach s; φ s; e-createChair s confID uID p uID']
    ⇒ φ (createChair s confID uID p uID')
```

```
and
```

```
  ∀s confID uID p papID name info.
```

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{createPaper } s \text{ confID } uID \text{ } p \text{ papID name info} \rrbracket$   
 $\implies \varphi (\text{createPaper } s \text{ confID } uID \text{ } p \text{ papID name info})$

and

$\wedge s \text{ confID } uID \text{ } p \text{ papID } uID'.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{createAuthor } s \text{ confID } uID \text{ } p \text{ papID } uID' \rrbracket$   
 $\implies \varphi (\text{createAuthor } s \text{ confID } uID \text{ } p \text{ papID } uID')$

and

$\wedge s \text{ confID } uID \text{ } p \text{ papID } uID'.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{createConflict } s \text{ confID } uID \text{ } p \text{ papID } uID' \rrbracket$   
 $\implies \varphi (\text{createConflict } s \text{ confID } uID \text{ } p \text{ papID } uID')$

and

$\wedge s \text{ confID } uID \text{ } p \text{ papID } uID'.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{createReview } s \text{ confID } uID \text{ } p \text{ papID } uID' \rrbracket$   
 $\implies \varphi (\text{createReview } s \text{ confID } uID \text{ } p \text{ papID } uID')$

shows  $cIsInvar \varphi$

$\langle proof \rangle$

lemma  $uIsInvar[\text{case-names } uUser \text{ } uConfA \text{ } uNextPhase \text{ } uPaperTA \text{ } uPaperC \text{ } uPref \text{ } uReview]$ :

assumes

$\wedge s \text{ } uID \text{ } p \text{ } p' \text{ name info email}.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updateUser } s \text{ } uID \text{ } p \text{ } p' \text{ name info email} \rrbracket$   
 $\implies \varphi (\text{updateUser } s \text{ } uID \text{ } p \text{ } p' \text{ name info email})$

and

$\wedge s \text{ confID } uID \text{ } p.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updateConfA } s \text{ confID } uID \text{ } p \rrbracket \implies \varphi (\text{updateConfA } s \text{ confID } uID \text{ } p)$

and

$\wedge s \text{ confID } uID \text{ } p \text{ ph}.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updatePhase } s \text{ confID } uID \text{ } p \text{ ph} \rrbracket \implies \varphi (\text{updatePhase } s \text{ confID } uID \text{ } p \text{ ph})$

and

$\wedge s \text{ confID } uID \text{ } p \text{ paperID name info}.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updatePaperTA } s \text{ confID } uID \text{ } p \text{ paperID name info} \rrbracket$   
 $\implies \varphi (\text{updatePaperTA } s \text{ confID } uID \text{ } p \text{ paperID name info})$

and

$\wedge s \text{ confID } uID \text{ } p \text{ paperID pc}.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updatePaperC } s \text{ confID } uID \text{ } p \text{ paperID pc} \rrbracket$   
 $\implies \varphi (\text{updatePaperC } s \text{ confID } uID \text{ } p \text{ paperID pc})$

and

$\wedge s \text{ confID } uID \text{ } p \text{ paperID preference}.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updatePref } s \text{ confID } uID \text{ } p \text{ paperID preference} \rrbracket$   
 $\implies \varphi (\text{updatePref } s \text{ confID } uID \text{ } p \text{ paperID preference})$

and

$\wedge s \text{ confID } uID \text{ } p \text{ paperID } n \text{ rc}.$

$\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}\text{updateReview } s \text{ confID } uID \text{ } p \text{ paperID } n \text{ rc} \rrbracket$   
 $\implies \varphi (\text{updateReview } s \text{ confID } uID \text{ } p \text{ paperID } n \text{ rc})$

and

$\wedge s \text{ confID } uID \text{ } p \text{ paperID fpc}.$

```

 $\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}updateFPaperC \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } fpc \rrbracket$ 
 $\implies \varphi \text{ } (updateFPaperC \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } fpc)$ 
shows uuIsInvar  $\varphi$ 
<proof>

lemma uuIsInvar[case-names uuNews uuDis uuReview uuDec]:
assumes
 $\wedge s \text{ } confID \text{ } uID \text{ } p \text{ } comm.$ 
 $\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}uupdateNews \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } comm \rrbracket$ 
 $\implies \varphi \text{ } (uupdateNews \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } comm)$ 
and
 $\wedge s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } comm.$ 
 $\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}uupdateDis \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } comm \rrbracket$ 
 $\implies \varphi \text{ } (uupdateDis \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } comm)$ 
and
 $\wedge s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } n \text{ } rc.$ 
 $\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}uupdateReview \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } n \text{ } rc \rrbracket$ 
 $\implies \varphi \text{ } (uupdateReview \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } n \text{ } rc)$ 
and
 $\wedge s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } decision.$ 
 $\llbracket \text{reach } s; \varphi \text{ } s; e\text{-}uupdateDec \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } decision \rrbracket$ 
 $\implies \varphi \text{ } (uupdateDec \text{ } s \text{ } confID \text{ } uID \text{ } p \text{ } paperID \text{ } decision)$ 
shows uuIsInvar  $\varphi$ 
<proof>

```

### 3.2 Safety proofs

```

declare option.splits[split] paper.splits[split] discussion.splits[split] role.splits[split]
Let-def[simp] list-all-iff[simp] list-ex-iff[simp] fun-upd2-def[simp] IDsOK-def[simp]
if-splits[split]

fun papIDsOfRole where
 (Aut papID) = [papID]
|
 (Rev papID n) = [papID]
|
 - = []

definition phase-leq-closedPH :: state  $\Rightarrow$  bool where
phase-leq-closedPH s  $\equiv$ 
 $\forall \text{ } confID. \text{ } phase \text{ } s \text{ } confID \leq closedPH$ 

lemma holdsIstate-phase-leq-closedPH: holdsIstate phase-leq-closedPH
<proof>

lemma cIsInvar-phase-leq-closedPH: cIsInvar phase-leq-closedPH
<proof>

```

```

lemma uIsInvar-phase-leq-closedPH: uIsInvar phase-leq-closedPH
⟨proof⟩

lemma uuIsInvar-phase-leq-closedPH: uuIsInvar phase-leq-closedPH
⟨proof⟩

lemma invar-phase-leq-closedPH: invar phase-leq-closedPH
⟨proof⟩

lemmas phase-leq-closedPH1 =
holdsIstate-invar[OF holdsIstate-phase-leq-closedPH invar-phase-leq-closedPH]

theorem phase-leq-closedPH:
assumes a: reach s
shows phase s confID ≤ closedPH
⟨proof⟩

definition geq-noPH-confIDs :: state ⇒ bool where
geq-noPH-confIDs s ≡
 $\forall \text{confID}. \text{phase } s \text{ confID} > \text{noPH} \longrightarrow \text{confID} \in\in \text{confIDs } s$ 

lemma holdsIstate-geq-noPH-confIDs: holdsIstate geq-noPH-confIDs
⟨proof⟩

lemma cIsInvar-geq-noPH-confIDs: cIsInvar geq-noPH-confIDs
⟨proof⟩

lemma uIsInvar-geq-noPH-confIDs: uIsInvar geq-noPH-confIDs
⟨proof⟩

lemma uuIsInvar-geq-noPH-confIDs: uuIsInvar geq-noPH-confIDs
⟨proof⟩

lemma invar-geq-noPH-confIDs: invar geq-noPH-confIDs
⟨proof⟩

lemmas geq-noPH-confIDs1 =
holdsIstate-invar[OF holdsIstate-geq-noPH-confIDs invar-geq-noPH-confIDs]

theorem geq-noPH-confIDs:
assumes a: reach s
shows phase s confID > noPH → confID ∈ confIDs s
⟨proof⟩

definition roles-IDsOK :: state ⇒ bool where
roles-IDsOK s ≡

```

$\forall \text{ confID } uID \text{ rl}.$   
 $rl \in \text{ roles } s \text{ confID } uID \longrightarrow \text{ IDsOK } s [\text{confID}] [uID] (\text{ papIDsOfRole } rl)$

**lemma** *holdsIstate-roles-IDsOK*: *holdsIstate roles-IDsOK*  
*(proof)*

**lemma** *cIsInvar-roles-IDsOK*: *cIsInvar roles-IDsOK*  
*(proof)*

**lemma** *uIsInvar-roles-IDsOK*: *uIsInvar roles-IDsOK*  
*(proof)*

**lemma** *uuIsInvar-roles-IDsOK*: *uuIsInvar roles-IDsOK*  
*(proof)*

**lemma** *invar-roles-IDsOK*: *invar roles-IDsOK*  
*(proof)*

**lemmas** *roles-IDsOK1* =  
*holdsIstate-invar[OF holdsIstate-roles-IDsOK invar-roles-IDsOK]*

**theorem** *roles-IDsOK*:  
**assumes** *a: reach s and rl: rl ∈ roles s confID uID*  
**shows** *IDsOK s [confID] [uID] (papIDsOfRole rl)*  
*(proof)*

**corollary** *roles-confIDs*:  
**assumes** *a: reach s and A: rl ∈ roles s confID uID*  
**shows** *confID ∈ confIDs s*  
*(proof)*

**corollary** *roles-userIDs*:  
**assumes** *a: reach s and A: rl ∈ roles s confID uID*  
**shows** *uID ∈ userIDs s*  
*(proof)*

**corollary** *isAut-paperIDs*:  
**assumes** *a: reach s and A: isAut s confID uID papID*  
**shows** *papID ∈ paperIDs s confID*  
*(proof)*

**corollary** *isRevNth-paperIDs*:  
**assumes** *a: reach s and A: isRevNth s confID uID papID n*  
**shows** *papID ∈ paperIDs s confID*  
*(proof)*

**corollary** *isRev-paperIDs*:  
**assumes** *a: reach s and A: isRev s confID uID papID*  
**shows** *papID ∈ paperIDs s confID*

$\langle proof \rangle$

**corollary** *isRev-userIDs*:

**assumes** *a: reach s and A: isRev s confID uID papID*

**shows** *uID ∈ userIDs s*

$\langle proof \rangle$

**corollary** *isRev-confIDs*:

**assumes** *a: reach s and A: isRev s confID uID papID*

**shows** *confID ∈ confIDs s*

$\langle proof \rangle$

**definition** *distinct-IDs :: state ⇒ bool where*

*distinct-IDs s ≡*

*distinct (confIDs s) ∧ distinct (userIDs s) ∧ (∀ confID. distinct (paperIDs s confID))*

**lemma** *holdsIstate-distinct-IDs: holdsIstate distinct-IDs*

$\langle proof \rangle$

**lemma** *cIsInvar-distinct-IDs: cIsInvar distinct-IDs*

$\langle proof \rangle$

**lemma** *uIsInvar-distinct-IDs: uIsInvar distinct-IDs*

$\langle proof \rangle$

**lemma** *uuIsInvar-distinct-IDs: uuIsInvar distinct-IDs*

$\langle proof \rangle$

**lemma** *invar-distinct-IDs: invar distinct-IDs*

$\langle proof \rangle$

**lemmas** *distinct-IDs1 = holdsIstate-invar[OF holdsIstate-distinct-IDs invar-distinct-IDs]*

**theorem** *distinct-IDs:*

**assumes** *a: reach s*

**shows** *distinct (confIDs s) ∧ distinct (userIDs s) ∧ (∀ confID. distinct (paperIDs s confID))*

$\langle proof \rangle$

**lemmas** *distinct-confIDs = distinct-IDs[THEN conjunct1]*

**lemmas** *distinct-userIDs = distinct-IDs[THEN conjunct2, THEN conjunct1]*

**lemmas** *distinct-paperIDs = distinct-IDs[THEN conjunct2, THEN conjunct2, rule-format]*

**definition** *distinct-roles :: state ⇒ bool where*

*distinct-roles s ≡*

$\forall confID uID. distinct (roles s confID uID)$

```

lemma holdsIstate-distinct-roles: holdsIstate distinct-roles
⟨proof⟩

lemma cIsInvar-distinct-roles: cIsInvar distinct-roles
⟨proof⟩

lemma uIsInvar-distinct-roles: uIsInvar distinct-roles
⟨proof⟩

lemma uuIsInvar-distinct-roles: uuIsInvar distinct-roles
⟨proof⟩

lemma invar-distinct-roles: invar distinct-roles
⟨proof⟩

lemmas distinct-roles1 = holdsIstate-invar[OF holdsIstate-distinct-roles invar-distinct-roles]

theorem distinct-roles:
assumes a: reach s
shows distinct (roles s confID uID)
⟨proof⟩

definition isRevNth-isPC :: state ⇒ bool where
isRevNth-isPC s ≡
  ∀ confID uID papID n. isRevNth s confID uID papID n → isPC s confID uID

lemma holdsIstate-isRevNth-isPC: holdsIstate isRevNth-isPC
⟨proof⟩

lemma cIsInvar-isRevNth-isPC: cIsInvar isRevNth-isPC
⟨proof⟩

lemma uIsInvar-isRevNth-isPC: uIsInvar isRevNth-isPC
⟨proof⟩

lemma uuIsInvar-isRevNth-isPC: uuIsInvar isRevNth-isPC
⟨proof⟩

lemma invar-isRevNth-isPC: invar isRevNth-isPC
⟨proof⟩

lemmas isRevNth-isPC1 = holdsIstate-invar[OF holdsIstate-isRevNth-isPC invar-isRevNth-isPC]

theorem isRevNth-isPC:
assumes a: reach s and R: isRevNth s confID uID papID n
shows isPC s confID uID
⟨proof⟩

```

```

corollary isRev-isPC:
assumes a: reach s and R: isRev s confID uID papID
shows isPC s confID uID
{proof}

definition paperIDs-confIDs :: state ⇒ bool where
paperIDs-confIDs s ≡
   $\forall \text{confID papID}.$ 
   $\text{papID} \in \in \text{paperIDs s confID} \longrightarrow \text{confID} \in \in \text{confIDs s}$ 

lemma holdsIstate-paperIDs-confIDs: holdsIstate paperIDs-confIDs
{proof}

lemma cIsInvar-paperIDs-confIDs: cIsInvar paperIDs-confIDs
{proof}

lemma uIsInvar-paperIDs-confIDs: uIsInvar paperIDs-confIDs
{proof}

lemma uuIsInvar-paperIDs-confIDs: uuIsInvar paperIDs-confIDs
{proof}

lemma invar-paperIDs-confIDs: invar paperIDs-confIDs
{proof}

lemmas paperIDs-confIDs1 = holdsIstate-invar[OF holdsIstate-paperIDs-confIDs
invar-paperIDs-confIDs]

theorem paperIDs-confIDs:
assumes a: reach s and p: papID ∈ paperIDs s confID
shows confID ∈ confIDs s
{proof}

corollary paperIDs-getAllPaperIDs:
assumes a: reach s and p: papID ∈ paperIDs s confID
shows papID ∈ getAllPaperIDs s
{proof}

corollary isRevNth-getAllPaperIDs:
assumes a: reach s and isRevNth s confID uID papID n
shows papID ∈ getAllPaperIDs s
{proof}

definition paperIDs-equals :: state ⇒ bool where
paperIDs-equals s ≡
   $\forall \text{confID1 confID2 papID}.$ 

```

$papID \in \text{paperIDs } s \text{ confID1} \wedge papID \in \text{paperIDs } s \text{ confID2}$   
 $\longrightarrow \text{confID1} = \text{confID2}$

**lemma** *holdsIstate-paperIDs-equals*: *holdsIstate paperIDs-equals*  
*{proof}*

**lemma** *cIsInvar-paperIDs-equals*: *cIsInvar paperIDs-equals*  
*{proof}*

**lemma** *uIsInvar-paperIDs-equals*: *uIsInvar paperIDs-equals*  
*{proof}*

**lemma** *uuIsInvar-paperIDs-equals*: *uuIsInvar paperIDs-equals*  
*{proof}*

**lemma** *invar-paperIDs-equals*: *invar paperIDs-equals*  
*{proof}*

**lemmas** *paperIDs-equals1* = *holdsIstate-invar*[OF *holdsIstate-paperIDs-equals invar-paperIDs-equals*]

**theorem** *paperIDs-equals*:  
**assumes** *a: reach s and p: papID ∈ paperIDs s confID1 papID ∈ paperIDs s confID2*  
**shows** *confID1 = confID2*  
*{proof}*

**definition** *isAut-pref-Conflict* :: *state ⇒ bool where*  
*isAut-pref-Conflict s ≡*  
 $\forall \text{confID uID papID}. \text{isAut } s \text{ confID } uID \text{ papID} \longrightarrow \text{pref } s \text{ uID papID} = \text{Conflict}$

**lemma** *holdsIstate-isAut-pref-Conflict*: *holdsIstate isAut-pref-Conflict*  
*{proof}*

**lemma** *cIsInvar-isAut-pref-Conflict*: *cIsInvar isAut-pref-Conflict*  
*{proof}*

**lemma** *uIsInvar-isAut-pref-Conflict*: *uIsInvar isAut-pref-Conflict*  
*{proof}*

**lemma** *uuIsInvar-isAut-pref-Conflict*: *uuIsInvar isAut-pref-Conflict*  
*{proof}*

**lemma** *invar-isAut-pref-Conflict*: *invar isAut-pref-Conflict*  
*{proof}*

**lemmas** *isAut-pref-Conflict1* =  
*holdsIstate-invar*[OF *holdsIstate-isAut-pref-Conflict invar-isAut-pref-Conflict*]

```

theorem isAut-pref-Conflict:
assumes a: reach s and i: isAut s confID uID papID
shows pref s uID papID = Conflict
{proof}

definition phase-noPH-paperIDs :: state => bool where
phase-noPH-paperIDs s ≡
   $\forall \text{confID}. \text{phase } s \text{ confID} = \text{noPH} \longrightarrow \text{paperIDs } s \text{ confID} = []$ 

lemma holdsIstate-phase-noPH-paperIDs: holdsIstate phase-noPH-paperIDs
{proof}

lemma cIsInvar-phase-noPH-paperIDs: cIsInvar phase-noPH-paperIDs
{proof}

lemma uIsInvar-phase-noPH-paperIDs: uIsInvar phase-noPH-paperIDs
{proof}

lemma uuIsInvar-phase-noPH-paperIDs: uuIsInvar phase-noPH-paperIDs
{proof}

lemma invar-phase-noPH-paperIDs: invar phase-noPH-paperIDs
{proof}

lemmas phase-noPH-paperIDs1 =
holdsIstate-invar[OF holdsIstate-phase-noPH-paperIDs invar-phase-noPH-paperIDs]

theorem phase-noPH-paperIDs:
assumes a: reach s and p: phase s confID = noPH
shows paperIDs s confID = []
{proof}

definition paperIDs-geq-subPH :: state => bool where
paperIDs-geq-subPH s ≡
   $\forall \text{confID papID}. \text{papID} \in \in \text{paperIDs } s \text{ confID} \longrightarrow \text{phase } s \text{ confID} \geq \text{subPH}$ 

lemma holdsIstate-paperIDs-geq-subPH: holdsIstate paperIDs-geq-subPH
{proof}

lemma cIsInvar-paperIDs-geq-subPH: cIsInvar paperIDs-geq-subPH
{proof}

lemma uIsInvar-paperIDs-geq-subPH: uIsInvar paperIDs-geq-subPH
{proof}

lemma uuIsInvar-paperIDs-geq-subPH: uuIsInvar paperIDs-geq-subPH

```

$\langle proof \rangle$

**lemma** *invar-paperIDs-geq-subPH*: *invar paperIDs-geq-subPH*  
 $\langle proof \rangle$

**lemmas** *paperIDs-geq-subPH1* =  
*holdsIstate-invar[OF holdsIstate-paperIDs-geq-subPH invar-paperIDs-geq-subPH]*

**theorem** *paperIDs-geq-subPH*:  
**assumes** *a: reach s and i: papID ∈ paperIDs s confID*  
**shows** *phase s confID ≥ subPH*  
 $\langle proof \rangle$

**definition** *isRevNth-geq-revPH :: state ⇒ bool where*  
*isRevNth-geq-revPH s ≡*  
 $\forall confID uID papID n. isRevNth s confID uID papID n \rightarrow phase s confID \geq revPH$

**lemma** *holdsIstate-isRevNth-geq-revPH*: *holdsIstate isRevNth-geq-revPH*  
 $\langle proof \rangle$

**lemma** *cIsInvar-isRevNth-geq-revPH*: *cIsInvar isRevNth-geq-revPH*  
 $\langle proof \rangle$

**lemma** *uIsInvar-isRevNth-geq-revPH*: *uIsInvar isRevNth-geq-revPH*  
 $\langle proof \rangle$

**lemma** *uuIsInvar-isRevNth-geq-revPH*: *uuIsInvar isRevNth-geq-revPH*  
 $\langle proof \rangle$

**lemma** *invar-isRevNth-geq-revPH*: *invar isRevNth-geq-revPH*  
 $\langle proof \rangle$

**lemmas** *isRevNth-geq-revPH1* =  
*holdsIstate-invar[OF holdsIstate-isRevNth-geq-revPH invar-isRevNth-geq-revPH]*

**theorem** *isRevNth-geq-revPH*:  
**assumes** *a: reach s and i: isRevNth s confID uID papID n*  
**shows** *phase s confID ≥ revPH*  
 $\langle proof \rangle$

**corollary** *isRev-geq-revPH*:  
**assumes** *a: reach s and i: isRev s confID uID papID*  
**shows** *phase s confID ≥ revPH*  
 $\langle proof \rangle$

**definition** *paperID-ex-userID :: state ⇒ bool where*

*paperID-ex-userID*  $s \equiv$   
 $\forall \text{confID } \text{papID}. \text{papID} \in \text{paperIDs } s \text{ confID} \longrightarrow (\exists \text{ uID}. \text{isAut } s \text{ confID } \text{uID } \text{papID})$

**lemma** *holdsIstate-paperID-ex-userID*: *holdsIstate paperID-ex-userID*  
*(proof)*

**lemma** *cIsInvar-paperID-ex-userID*: *cIsInvar paperID-ex-userID*  
*(proof)*

**lemma** *uIsInvar-paperID-ex-userID*: *uIsInvar paperID-ex-userID*  
*(proof)*

**lemma** *uuIsInvar-paperID-ex-userID*: *uuIsInvar paperID-ex-userID*  
*(proof)*

**lemma** *invar-paperID-ex-userID*: *invar paperID-ex-userID*  
*(proof)*

**lemmas** *paperID-ex-userID1* =  
*holdsIstate-invar[OF holdsIstate-paperID-ex-userID invar-paperID-ex-userID]*

**theorem** *paperID-ex-userID*:  
**assumes** *a: reach s and i: papID*  $\in$  *paperIDs s confID*  
**shows**  $\exists \text{ uID}. \text{isAut } s \text{ confID } \text{uID } \text{papID}$   
*(proof)*

**definition** *pref-Conflict-isRevNth :: state  $\Rightarrow$  bool where*  
*pref-Conflict-isRevNth*  $s \equiv$   
 $\forall \text{confID } \text{uID } \text{papID } n. \text{pref } s \text{ uID } \text{papID} = \text{Conflict} \longrightarrow \neg \text{isRevNth } s \text{ confID } \text{uID } \text{papID } n$

**lemma** *holdsIstate-pref-Conflict-isRevNth*: *holdsIstate pref-Conflict-isRevNth*  
*(proof)*

**lemma** *cIsInvar-pref-Conflict-isRevNth*: *cIsInvar pref-Conflict-isRevNth*  
*(proof)*

**lemma** *uIsInvar-pref-Conflict-isRevNth*: *uIsInvar pref-Conflict-isRevNth*  
*(proof)*

**lemma** *uuIsInvar-pref-Conflict-isRevNth*: *uuIsInvar pref-Conflict-isRevNth*  
*(proof)*

**lemma** *invar-pref-Conflict-isRevNth*: *invar pref-Conflict-isRevNth*  
*(proof)*

**lemmas** *pref-Conflict-isRevNth1* =

*holdsIstate-invar*[*OF holdsIstate-pref-Conflict-isRevNth invar-pref-Conflict-isRevNth*]

**theorem** *pref-Conflict-isRevNth*:

**assumes** *a: reach s and i: pref s uID papID = Conflict*  
**shows**  $\neg \text{isRevNth } s \text{ confID uID papID } n$   
 $\langle \text{proof} \rangle$

**corollary** *pref-Conflict-isRev*:

**assumes** *a: reach s and i: pref s uID papID = Conflict*  
**shows**  $\neg \text{isRev } s \text{ confID uID papID }$   
 $\langle \text{proof} \rangle$

**corollary** *pref-isAut-isRevNth*:

**assumes** *a: reach s and i: isAut s confID uID papID*  
**shows**  $\neg \text{isRevNth } s \text{ confID uID papID } n$   
 $\langle \text{proof} \rangle$

**corollary** *pref-isAut-isRev*:

**assumes** *a: reach s and i: isAut s confID uID papID*  
**shows**  $\neg \text{isRev } s \text{ confID uID papID }$   
 $\langle \text{proof} \rangle$

**definition** *isChair-isPC :: state  $\Rightarrow$  bool where*

*isChair-isPC s  $\equiv$*   
 $\forall \text{confID uID. isChair } s \text{ confID uID } \longrightarrow \text{isPC } s \text{ confID uID}$

**lemma** *holdsIstate-isChair-isPC: holdsIstate isChair-isPC*  
 $\langle \text{proof} \rangle$

**lemma** *cIsInvar-isChair-isPC: cIsInvar isChair-isPC*  
 $\langle \text{proof} \rangle$

**lemma** *uIsInvar-isChair-isPC: uIsInvar isChair-isPC*  
 $\langle \text{proof} \rangle$

**lemma** *uuIsInvar-isChair-isPC: uuIsInvar isChair-isPC*  
 $\langle \text{proof} \rangle$

**lemma** *invar-isChair-isPC: invar isChair-isPC*  
 $\langle \text{proof} \rangle$

**lemmas** *isChair-isPC1 =*  
*holdsIstate-invar[*OF holdsIstate-isChair-isPC invar-isChair-isPC*]*

**theorem** *isChair-isPC*:

**assumes** *a: reach s and p: isChair s confID uID*  
**shows** *isPC s confID uID*

$\langle proof \rangle$

**definition** *isRevNth-equals* :: *state*  $\Rightarrow$  *bool* **where**  
*isRevNth-equals* *s*  $\equiv$   
 $\forall$  *confID uID papID m n.*  
*isRevNth s confID uID papID m*  $\wedge$  *isRevNth s confID uID papID n*  
 $\longrightarrow m = n$

**lemma** *holdsIstate-isRevNth-equals*: *holdsIstate isRevNth-equals*  
 $\langle proof \rangle$

**lemma** *cIsInvar-isRevNth-equals*: *cIsInvar isRevNth-equals*  
 $\langle proof \rangle$

**lemma** *uIsInvar-isRevNth-equals*: *uIsInvar isRevNth-equals*  
 $\langle proof \rangle$

**lemma** *uuIsInvar-isRevNth-equals*: *uuIsInvar isRevNth-equals*  
 $\langle proof \rangle$

**lemma** *invar-isRevNth-equals*: *invar isRevNth-equals*  
 $\langle proof \rangle$

**lemmas** *isRevNth-equals1* =  
*holdsIstate-invar*[*OF holdsIstate-isRevNth-equals invar-isRevNth-equals*]

**theorem** *isRevNth-equals*:  
**assumes** *a: reach s and r: isRevNth s confID uID papID m isRevNth s confID uID papID n*  
**shows** *m = n*  
 $\langle proof \rangle$

**corollary** *isRevNth-getReviewIndex*:  
**assumes** *a: reach s and r: isRevNth s confID uID papID n*  
**shows** *n = getReviewIndex s confID uID papID*  
 $\langle proof \rangle$

**definition** *isRevNth-less-length* :: *state*  $\Rightarrow$  *bool* **where**  
*isRevNth-less-length* *s*  $\equiv$   
 $\forall$  *confID uID papID n.*  
*isRevNth s confID uID papID n*  $\longrightarrow n < length (reviewsPaper (paper s papID))$

**lemma** *holdsIstate-isRevNth-less-length*: *holdsIstate isRevNth-less-length*  
 $\langle proof \rangle$

**lemma** *cIsInvar-isRevNth-less-length*: *cIsInvar isRevNth-less-length*

$\langle proof \rangle$

**lemma** *uIsInvar-isRevNth-less-length*: *uIsInvar isRevNth-less-length*  
 $\langle proof \rangle$

**lemma** *uuIsInvar-isRevNth-less-length*: *uuIsInvar isRevNth-less-length*  
 $\langle proof \rangle$

**lemma** *invar-isRevNth-less-length*: *invar isRevNth-less-length*  
 $\langle proof \rangle$

**lemmas** *isRevNth-less-length1* =  
*holdsIstate-invar[OF holdsIstate-isRevNth-less-length invar-isRevNth-less-length]*

**theorem** *isRevNth-less-length*:  
**assumes** *reach s and isRevNth s cid uid pid n*  
**shows** *n < length (reviewsPaper (paper s pid))*  
 $\langle proof \rangle$

**definition** *isRevNth-equalsU :: state  $\Rightarrow$  bool where*  
*isRevNth-equalsU s  $\equiv$*   
 $\forall confID uID uID1 papID n.$   
*isRevNth s confID uID papID n  $\wedge$  isRevNth s confID uID1 papID n*  
 $\longrightarrow uID = uID1$

**lemma** *holdsIstate-isRevNth-equalsU*: *holdsIstate isRevNth-equalsU*  
 $\langle proof \rangle$

**lemma** *cIsInvar-isRevNth-equalsU*: *cIsInvar isRevNth-equalsU*  
 $\langle proof \rangle$

**lemma** *uIsInvar-isRevNth-equalsU*: *uIsInvar isRevNth-equalsU*  
 $\langle proof \rangle$

**lemma** *uuIsInvar-isRevNth-equalsU*: *uuIsInvar isRevNth-equalsU*  
 $\langle proof \rangle$

**lemma** *invar-isRevNth-equalsU*: *invar isRevNth-equalsU*  
 $\langle proof \rangle$

**lemmas** *isRevNth-equalsU1* =  
*holdsIstate-invar[OF holdsIstate-isRevNth-equalsU invar-isRevNth-equalsU]*

**theorem** *isRevNth-equalsU*:  
**assumes** *a: reach s and r: isRevNth s confID uID papID n isRevNth s confID uID1 papID n*  
**shows** *uID = uID1*

$\langle proof \rangle$

**definition** *reviews-compact* :: *state*  $\Rightarrow$  *bool* **where**  
*reviews-compact* *s*  $\equiv$   
 $\forall$  *confID* *papID* *n*.  
 $papID \in \in paperIDs s$  *confID*  $\wedge$  *n*  $<$  *length* (*reviewsPaper* (*paper s papID*))  $\longrightarrow$   
 $(\exists uID. isRevNth s confID uID papID n)$

**lemma** *holdsIstate-reviews-compact*: *holdsIstate reviews-compact*  
 $\langle proof \rangle$

**lemma** *cIsInvar-reviews-compact*: *cIsInvar reviews-compact*  
 $\langle proof \rangle$

**lemma** *uIsInvar-reviews-compact*: *uIsInvar reviews-compact*  
 $\langle proof \rangle$

**lemma** *uuIsInvar-reviews-compact*: *uuIsInvar reviews-compact*  
 $\langle proof \rangle$

**lemma** *invar-reviews-compact*: *invar reviews-compact*  
 $\langle proof \rangle$

**lemmas** *reviews-compact1* =  
*holdsIstate-invar* [*OF holdsIstate-reviews-compact invar-reviews-compact*]

**theorem** *reviews-compact*:  
**assumes** *reach s* **and** *n*  $<$  *length* (*reviewsPaper* (*paper s pid*))  
**and** *pid*  $\in \in paperIDs s$  *cid*  
**shows**  $\exists uid. isRevNth s cid uid pid n$   
 $\langle proof \rangle$

**definition** *roles-nonrep* :: *state*  $\Rightarrow$  *bool* **where**  
*roles-nonrep* *s*  $\equiv$   
 $\forall$  *confID* *uID*.  
*distinct* (*roles s confID uID*)

**lemma** *holdsIstate-roles-nonrep*: *holdsIstate roles-nonrep*  
 $\langle proof \rangle$

**lemma** *cIsInvar-roles-nonrep*: *cIsInvar roles-nonrep*  
 $\langle proof \rangle$

**lemma** *uIsInvar-roles-nonrep*: *uIsInvar roles-nonrep*  
 $\langle proof \rangle$

```

lemma uuIsInvar-roles-nonrep: uuIsInvar roles-nonrep
⟨proof⟩

lemma invar-roles-nonrep: invar roles-nonrep
⟨proof⟩

lemmas roles-nonrep1 =
holdsIstate-invar[OF holdsIstate-roles-nonrep invar-roles-nonrep]

theorem roles-nonrep:
assumes reach s
shows distinct (roles s confID uid)
⟨proof⟩

```

### 3.3 Properties of the step function

```

lemma step-outErr-eq: step s a = (outErr, s')  $\implies$  s' = s
⟨proof⟩

```

```

lemma phase-increases:
assumes step s a = (ou,s')
shows phase s cid  $\leq$  phase s' cid
⟨proof⟩

```

```

lemma phase-increases2: phase s CID  $\leq$  phase (snd (step s a)) CID
⟨proof⟩

```

```

lemma confIDs-mono:
assumes step s a = (ou,s') and cid ∈ confIDs s
shows cid ∈ confIDs s'
⟨proof⟩

```

```

lemma userIDs-mono:
assumes step s a = (ou,s') and uid ∈ userIDs s
shows uid ∈ userIDs s'
⟨proof⟩

```

```

lemma paperIDs-mono:
assumes step s a = (ou,s') and pid ∈ paperIDs s cid
shows pid ∈ paperIDs s' cid
⟨proof⟩

```

```

lemma isPC-persistent:
assumes isPC s cid uid and step s a = (ou, s')
shows isPC s' cid uid
⟨proof⟩

```

```

lemma isChair-persistent:
assumes isChair s cid uid and step s a = (ou, s')

```

**shows** *isChair s' cid uid*  
*(proof)*

### 3.4 Action-safety properties

**lemma** *pref-Conflict-disPH*:

**assumes** *reach s and pid*  $\in \in paperIDs s$  *cid and pref s uid pid*  $\neq Conflict$  **and**  
*phase s cid = disPH*  
**and** *step s a = (ou, s')*  
**shows** *pref s' uid pid*  $\neq Conflict$   
*(proof)*

**lemma** *isRevNth-persistent*:

**assumes** *reach s and isRevNth s cid uid pid n*  
**and** *step s a = (ou, s')*  
**shows** *isRevNth s' cid uid pid n*  
*(proof)*

**lemma** *nonempty-decsPaper-persist*:

**assumes** *s: reach s*  
**and** *pid: pid*  $\in \in paperIDs s$  *cld*  
**and** *decsPaper (paper s pid)  $\neq \emptyset$  and step s a = (ou,s')*  
**shows** *decsPaper (paper s' pid)  $\neq \emptyset$*   
*(proof)*

**lemma** *nonempty-reviews-persist*:

**assumes** *s: reach s*  
**and** *r: isRevNth s cid uid pid n*  
**and** *(reviewsPaper (paper s pid))!n  $\neq \emptyset$  and step s a = (ou,s')*  
**shows** *(reviewsPaper (paper s' pid))!n  $\neq \emptyset$*   
*(proof)*

**lemma** *revPH-pref-persists*:

**assumes** *reach s*  
*pid*  $\in \in paperIDs s$  *cld* **and** *phase s cid  $\geq revPH$*   
**and** *step s a = (ou,s')*  
**shows** *pref s' uid pid = pref s uid pid*  
*(proof)*

### 3.5 Miscellaneous

**lemma** *updates-commute-paper*:

$$\begin{aligned} & \wedge uu. s (confIDs := uu, paper := pp) = s (paper := pp, confIDs := uu) \\ & \wedge uu. s (conf := uu, paper := pp) = s (paper := pp, conf := uu) \\ \\ & \wedge uu. s (userIDs := uu, paper := pp) = s (paper := pp, userIDs := uu) \\ & \wedge uu. s (pass := uu, paper := pp) = s (paper := pp, pass := uu) \\ & \wedge uu. s (user := uu, paper := pp) = s (paper := pp, user := uu) \\ & \wedge uu. s (roles := uu, paper := pp) = s (paper := pp, roles := uu) \end{aligned}$$

```

 $\wedge uu. s (\text{paperIDs} := uu, \text{paper} := pp) = s (\text{paper} := pp, \text{paperIDs} := uu)$ 
 $\wedge uu. s (\text{pref} := uu, \text{paper} := pp) = s (\text{paper} := pp, \text{pref} := uu)$ 
 $\wedge uu. s (\text{voronkov} := uu, \text{paper} := pp) = s (\text{paper} := pp, \text{voronkov} := uu)$ 
 $\wedge uu. s (\text{news} := uu, \text{paper} := pp) = s (\text{paper} := pp, \text{news} := uu)$ 
 $\wedge uu. s (\text{phase} := uu, \text{paper} := pp) = s (\text{paper} := pp, \text{phase} := uu)$ 
⟨proof⟩

```

```

lemma isAUT-imp-isAut:
assumes reach s and pid ∈∈ paperIDs s cid and isAUT s uid pid
shows isAut s cid uid pid
⟨proof⟩

```

```

lemma isREVNth-imp-isRevNth:
assumes reach s and pid ∈∈ paperIDs s cid and isREVNth s uid pid n
shows isRevNth s cid uid pid n
⟨proof⟩

```

```

lemma phase-increases-validTrans:
assumes validTrans (Trans s a ou s')
shows phase s cid ≤ phase s' cid
⟨proof⟩

```

```

lemma phase-increases-validTrans2:
assumes validTrans tr
shows phase (srcOf tr) cid ≤ phase (tgtOf tr) cid
⟨proof⟩

```

```

lemma phase-increases-trace:
assumes vtr: valid tr and ij: i ≤ j and j: j < length tr
shows phase (srcOf (tr!i)) cid ≤ phase (srcOf (tr!j)) cid
⟨proof⟩

```

```

lemma phase-increases-trace-srcOf-tgtOf:
assumes vtr: valid tr and ij: i ≤ j and j: j < length tr
shows phase (srcOf (tr!i)) cid ≤ phase (tgtOf (tr!j)) cid
⟨proof⟩

```

```

lemma phase-increases-trace-srcOf-hd:
assumes v: valid tr and l: length tr > 1 and i < length tr
shows phase (srcOf (hd tr)) cid ≤ phase (srcOf (tr!i)) cid
⟨proof⟩

```

```

lemma phase-increases-trace-srcOf-last:
assumes v: valid tr and l: length tr > 1 and i: i < length tr
shows phase (srcOf (tr!i)) cid ≤ phase (srcOf (last tr)) cid
⟨proof⟩

lemma phase-increases-trace-srcOf-tgtOf-last:
assumes v: valid tr and l: length tr > 1 and i: i < length tr
shows phase (srcOf (tr!i)) cid ≤ phase (tgtOf (last tr)) cid
⟨proof⟩

lemma valid-tgtPf-last-srcOf:
assumes valid tr and s ∈ map tgtOf tr
shows s = tgtOf (last tr) ∨ s ∈ map srcOf tr
⟨proof⟩

lemma phase-constant:
assumes v: valid tr and l: length tr > 0 and
ph: phase (srcOf (hd tr)) cid = phase (tgtOf (last tr)) cid
shows set (map (λ trn. phase (srcOf trn) cid) tr) ⊆ {phase (srcOf (hd tr)) cid}
∧
set (map (λ trn. phase (tgtOf trn) cid) tr) ⊆ {phase (srcOf (hd tr)) cid}
⟨proof⟩

lemma phase-cases:
assumes step s a = (ou, s')
obtains (noPH) ¬ cid ∈ confIDs s ∨ phase s cid = noPH
| (Id) phase s' cid = phase s cid
| (Upd) uid p ph where phase s' cid = ph a = Uact (uPhase cid uid p ph)
e-updatePhase s cid uid p ph
⟨proof⟩

lemma phase-mono: reachFrom s s' ⇒ phase s cid ≤ phase s' cid
⟨proof⟩

lemma validTrans-rAct-lAct-srcOf-tgtOf:
assumes validTrans trn
and actOf trn = Ract rAct ∨ actOf trn = Lact lAct
shows tgtOf trn = srcOf trn
⟨proof⟩

lemma valid-rAct-lAct-srcOf-tgtOf:
assumes valid tr
and ⋀ a. a ∈ map actOf tr ⇒ (exists rAct. a = Ract rAct) ∨ (exists lAct. a = Lact lAct)
shows srcOf ` (set tr) ⊆ {srcOf (hd tr)}
⟨proof⟩

lemma validFrom-rAct-lAct-srcOf-tgtOf:

```

```

assumes validFrom s tr
and  $\bigwedge a. a \in map actOf tr \implies (\exists rAct. a = Ract rAct) \vee (\exists lAct. a = Lact lAct)$ 
shows srcOf ` (set tr)  $\subseteq \{s\}$ 
⟨proof⟩

lemma tgtOf-last-traceOf-Ract-Lact[simp]:
assumes al  $\neq []$  set al  $\subseteq range Ract \cup range Lact$ 
shows tgtOf (last (traceOf s al)) = s
⟨proof⟩

lemma paperIDs-cases:
assumes step s a = (ou, s')
obtains (Id) paperIDs s' cid = paperIDs s cid
| (Create) cid uid p pid tit ab where
  paperIDs s' cid = pid # paperIDs s cid a = Cact (cPaper cid uid p pid
  tit ab)
  e-createPaper s cid uid p pid tit ab
⟨proof⟩

lemma paperIDs-decPH-const:
assumes s: step s a = (ou, s') and phase s cid > subPH
shows paperIDs s' cid = paperIDs s cid
⟨proof⟩

end
theory Observation-Setup
imports Safety-Properties
begin

```

## 4 Observation setup for confidentiality properties

The observation infrastructure, consisting of a discriminator  $\gamma$  and a selector  $g$ , is the same for all our confidentiality properties. Namely, we fix a group UIDs of users, and consider the actions and outputs of these users.

```

consts UIDs :: userID set

type-synonym obs = act * out

fun  $\gamma :: (state, act, out) trans \Rightarrow bool$  where
 $\gamma (Trans - a - -) = (userOfA a \in UIDs)$ 

fun  $g :: (state, act, out) trans \Rightarrow obs$  where
 $g (Trans - a ou -) = (a, ou)$ 

```

```

end
theory Paper-Intro
imports ..../Safety-Properties
begin

```

## 5 Paper Confidentiality

In this section, we prove confidentiality properties for the papers submitted to a conference. The secrets (values) of interest are therefore the different versions of a given paper (with identifier PID) uploaded into the system.

The two properties that we prove represent points of “compromise” between the strength of the declassification bound and that of the declassification trigger. Let

- T1 denote “the paper’s authorship”
- T2 denote “PC membership and the conference having reached the bidding phase”

The two bound-trigger combinations are:

- weak trigger (T1 or T2) paired with strong bound (nothing can be learned, save for some harmless information, namely the non-existence of any upload);
- strong trigger (T1) paired with weak bound (allowing to learn the last submitted version of the paper (but nothing more than that)).

```

end

theory Paper-Value-Setup
imports Paper-Intro
begin

```

```
consts PID :: paperID
```

### 5.1 Preliminaries

```
declare updates-commute-paper[simp]
```

```

fun eqButC :: paper  $\Rightarrow$  paper  $\Rightarrow$  bool where
eqButC (Paper name info ct reviews dis decs )
    (Paper name1 info1 ct1 reviews1 dis1 decs1) =
    (name = name1  $\wedge$  info = info1  $\wedge$  reviews = reviews1  $\wedge$  dis = dis1  $\wedge$  decs =
     decs1)

```

```

lemma eqButC:
eqButC pap pap1 =
(titlePaper pap = titlePaper pap1 ∧ abstractPaper pap = abstractPaper pap1 ∧
 reviewsPaper pap = reviewsPaper pap1 ∧ disPaper pap = disPaper pap1 ∧ dec-
sPaper pap = decsPaper pap1)
⟨proof⟩

lemma eqButC-eq[simp,intro!]: eqButC pap pap
⟨proof⟩

lemma eqButC-sym:
assumes eqButC pap pap1
shows eqButC pap1 pap
⟨proof⟩

lemma eqButC-trans:
assumes eqButC pap pap1 and eqButC pap1 pap2
shows eqButC pap pap2
⟨proof⟩

definition eeqButPID where
eeqButPID paps paps1 ≡
 $\forall pid. \text{if } pid = PID \text{ then } eqButC(paps\ pid) \text{ else } paps\ pid = paps1\ pid$ 

lemma eeqButPID-eeq[simp,intro!]: eeqButPID s s
⟨proof⟩

lemma eeqButPID-sym:
assumes eeqButPID s s1 shows eeqButPID s1 s
⟨proof⟩

lemma eeqButPID-trans:
assumes eeqButPID s s1 and eeqButPID s1 s2 shows eeqButPID s s2
⟨proof⟩

lemma eeqButPID-imp:
eeqButPID paps paps1  $\implies$  eqButC(paps PID) (paps1 PID)
[eeqButPID paps paps1; pid ≠ PID]  $\implies$  paps pid = paps1 pid
⟨proof⟩

lemma eeqButPID-cong:
assumes eeqButPID paps paps1
and pid = PID  $\implies$  eqButC uu uu1
and pid ≠ PID  $\implies$  uu = uu1
shows eeqButPID(paps(pid := uu)) (paps1(pid := uu1))
⟨proof⟩

```

```

lemma eeqButPID-RDD:

$$\text{eeqButPID } \text{paps } \text{paps1} \implies$$


$$\text{titlePaper } (\text{paps } \text{PID}) = \text{titlePaper } (\text{paps1 } \text{PID}) \wedge$$


$$\text{abstractPaper } (\text{paps } \text{PID}) = \text{abstractPaper } (\text{paps1 } \text{PID}) \wedge$$


$$\text{reviewsPaper } (\text{paps } \text{PID}) = \text{reviewsPaper } (\text{paps1 } \text{PID}) \wedge$$


$$\text{disPaper } (\text{paps } \text{PID}) = \text{disPaper } (\text{paps1 } \text{PID}) \wedge$$


$$\text{decsPaper } (\text{paps } \text{PID}) = \text{decsPaper } (\text{paps1 } \text{PID})$$


$$\langle \text{proof} \rangle$$


definition eqButPID :: state  $\Rightarrow$  state  $\Rightarrow$  bool where

$$\text{eqButPID } s \text{ } s1 \equiv$$


$$\text{confIDs } s = \text{confIDs } s1 \wedge \text{conf } s = \text{conf } s1 \wedge$$


$$\text{userIDs } s = \text{userIDs } s1 \wedge \text{pass } s = \text{pass } s1 \wedge \text{user } s = \text{user } s1 \wedge \text{roles } s = \text{roles }$$


$$s1 \wedge$$


$$\text{paperIDs } s = \text{paperIDs } s1$$


$$\wedge$$


$$\text{eeqButPID } (\text{paper } s) \text{ } (\text{paper } s1)$$


$$\wedge$$


$$\text{pref } s = \text{pref } s1 \wedge$$


$$\text{voronkov } s = \text{voronkov } s1 \wedge$$


$$\text{news } s = \text{news } s1 \wedge \text{phase } s = \text{phase } s1$$


lemma eqButPID-eq[simp,intro!]:  $\text{eqButPID } s \text{ } s$ 

$$\langle \text{proof} \rangle$$


lemma eqButPID-sym:
assumes  $\text{eqButPID } s \text{ } s1 \text{ shows } \text{eqButPID } s1 \text{ } s$ 

$$\langle \text{proof} \rangle$$


lemma eqButPID-trans:
assumes  $\text{eqButPID } s \text{ } s1 \text{ and } \text{eqButPID } s1 \text{ } s2 \text{ shows } \text{eqButPID } s \text{ } s2$ 

$$\langle \text{proof} \rangle$$


lemma eqButPID-imp:

$$\text{eqButPID } s \text{ } s1 \implies$$


$$\text{confIDs } s = \text{confIDs } s1 \wedge \text{conf } s = \text{conf } s1 \wedge$$


$$\text{userIDs } s = \text{userIDs } s1 \wedge \text{pass } s = \text{pass } s1 \wedge \text{user } s = \text{user } s1 \wedge \text{roles } s = \text{roles }$$


$$s1 \wedge$$


$$\text{paperIDs } s = \text{paperIDs } s1$$


$$\wedge$$


$$\text{eeqButPID } (\text{paper } s) \text{ } (\text{paper } s1)$$


$$\wedge$$


$$\text{pref } s = \text{pref } s1 \wedge$$


$$\text{voronkov } s = \text{voronkov } s1 \wedge$$


$$\text{news } s = \text{news } s1 \wedge \text{phase } s = \text{phase } s1 \wedge$$


$$\text{getAllPaperIDs } s = \text{getAllPaperIDs } s1 \wedge$$


```

$\text{isRev } s \text{ cid uid pid} = \text{isRev } s1 \text{ cid uid pid} \wedge$   
 $\text{getReviewIndex } s \text{ cid uid pid} = \text{getReviewIndex } s1 \text{ cid uid pid} \wedge$   
 $\text{getRevRole } s \text{ cid uid pid} = \text{getRevRole } s1 \text{ cid uid pid}$   
 $\langle \text{proof} \rangle$

**lemma** *eqButPID-imp1*:  
 $\text{eqButPID } s \text{ s1} \implies \text{eqButC } (\text{paper } s \text{ pid}) (\text{paper } s1 \text{ pid})$   
 $\text{eqButPID } s \text{ s1} \implies \text{pid} \neq \text{PID} \vee \text{PID} \neq \text{pid} \implies$   
 $\text{paper } s \text{ pid} = \text{paper } s1 \text{ pid} \wedge$   
 $\text{getNthReview } s \text{ pid n} = \text{getNthReview } s1 \text{ pid n}$   
 $\langle \text{proof} \rangle$

**lemma** *eqButPID-imp2*:  
**assumes**  $\text{eqButPID } s \text{ s1}$  **and**  $\text{pid} \neq \text{PID} \vee \text{PID} \neq \text{pid}$   
**shows**  $\text{getReviewersReviews } s \text{ cid pid} = \text{getReviewersReviews } s1 \text{ cid pid}$   
 $\langle \text{proof} \rangle$

**lemma** *eqButPID-RDD*:  
 $\text{eqButPID } s \text{ s1} \implies$   
 $\text{titlePaper } (\text{paper } s \text{ PID}) = \text{titlePaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{abstractPaper } (\text{paper } s \text{ PID}) = \text{abstractPaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{reviewsPaper } (\text{paper } s \text{ PID}) = \text{reviewsPaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{disPaper } (\text{paper } s \text{ PID}) = \text{disPaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{decsPaper } (\text{paper } s \text{ PID}) = \text{decsPaper } (\text{paper } s1 \text{ PID})$   
 $\langle \text{proof} \rangle$

**lemma** *eqButPID-cong*[simp, intro]:  
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{confIDs} := uu1)) (s1 (\text{confIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{conf} := uu1)) (s1 (\text{conf} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{userIDs} := uu1)) (s1 (\text{userIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{pass} := uu1)) (s1 (\text{pass} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{user} := uu1)) (s1 (\text{user} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{roles} := uu1)) (s1 (\text{roles} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{paperIDs} := uu1)) (s1 (\text{paperIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{paper} := uu1)) (s1 (\text{paper} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{pref} := uu1)) (s1 (\text{pref} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqButPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqButPID } (s (\text{voronkov} := uu1))$

```
(s1 (voronkov := uu2))
 $\wedge$  uu1 uu2. eqButPID s s1  $\Rightarrow$  uu1 = uu2  $\Rightarrow$  eqButPID (s (news := uu1)) (s1
(news := uu2))
 $\wedge$  uu1 uu2. eqButPID s s1  $\Rightarrow$  uu1 = uu2  $\Rightarrow$  eqButPID (s (phase := uu1)) (s1
(phase := uu2))
```

$\langle proof \rangle$

```
lemma eqButPID-Paper:
assumes s's1': eqButPID s s1
and paper s pid = Paper title abstract pc reviews dis decs
and paper s1 pid = Paper title1 abstract1 pc1 reviews1 dis1 decs1
shows title = title1  $\wedge$  abstract = abstract1  $\wedge$  reviews = reviews1  $\wedge$  dis = dis1  $\wedge$ 
decs = decs1
 $\langle proof \rangle$ 
```

```
definition NOSIMP a  $\equiv$  a
lemma [cong]: NOSIMP a = NOSIMP a  $\langle proof \rangle$ 
```

```
lemma eqButPID-paper:
assumes eqButPID s s1
shows paper s = (paper s1)(PID :=  

Paper (titlePaper (paper s1 PID))  

(abstractPaper (paper s1 PID))  

(contentPaper (NOSIMP (paper s PID)))  

(reviewsPaper (paper s1 PID))  

(disPaper (paper s1 PID))  

(decsPaper (paper s1 PID))  

)
 $\langle proof \rangle$ 
```

**lemmas** eqButPID-simps = eqButPID-imp eqButPID-paper

## 5.2 Value Setup

**type-synonym** value = pcontent

```
fun  $\varphi$  :: (state,act,out) trans  $\Rightarrow$  bool where
 $\varphi$  (Trans - (Uact (uPaperC cid uid p pid ct)) ou -) = (pid = PID  $\wedge$  ou = outOK)
|
 $\varphi$  - = False
```

```
lemma  $\varphi$ -def2:
 $\varphi$  (Trans s a ou s') = ( $\exists$  cid uid p ct. a = Uact (uPaperC cid uid p PID ct)  $\wedge$  ou
= outOK)
 $\langle proof \rangle$ 
```

```
fun f :: (state,act,out) trans  $\Rightarrow$  value where
```

```
f (Trans - (Uact (uPaperC cid uid p pid ct)) - -) = ct
```

```
lemma Uact-uPaperC-step-eqButPID:  

assumes a: a = Uact (uPaperC cid uid p PID ct)  

and step s a = (ou,s')  

shows eqButPID s s'  

⟨proof⟩
```

```
lemma φ-step-eqButPID:  

assumes φ: φ (Trans s a ou s')  

and s: step s a = (ou,s')  

shows eqButPID s s'  

⟨proof⟩
```

```
lemma eqButPID-step:  

assumes s's1': eqButPID s s1  

and step: step s a = (ou,s')  

and step1: step s1 a = (ou1,s1')  

shows eqButPID s' s1'  

⟨proof⟩
```

```
lemma eqButPID-step-φ-imp:  

assumes s's1': eqButPID s s1  

and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')  

and φ: φ (Trans s a ou s')  

shows φ (Trans s1 a ou1 s1')  

⟨proof⟩
```

```
lemma eqButPID-step-φ:  

assumes s's1': eqButPID s s1  

and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')  

shows φ (Trans s a ou s') = φ (Trans s1 a ou1 s1')  

⟨proof⟩
```

```
end
```

```
theory Paper-Aut-PC
```

```
imports .. /Observation-Setup Paper-Value-Setup Bounded-Deducibility-Security Compositional-Reasoning
begin
```

### 5.3 Confidentiality protection from users who are not the paper’s authors or PC members

We verify the following property:

A group of users UIDs learns nothing about the various uploads of a paper PID (save for the non-existence of any upload) unless/until one of the following occurs:

- a user in UIDs becomes the paper's author or
- a user in UIDs becomes a PC member in the paper's conference and the conference moves to the bidding phase.

```

fun  $T :: (state, act, out) \ trans \Rightarrow bool$  where
 $T (Trans - - ou s') =$ 
 $(\exists uid \in UIDs.$ 
 $\quad isAUT s' uid PID \vee$ 
 $\quad (\exists cid. PID \in \in paperIDs s' cid \wedge isPC s' cid uid \wedge phase s' cid \geq bidPH)$ 
 $)$ 

declare  $T.simps [simp del]$ 

definition  $B :: value\ list \Rightarrow value\ list \Rightarrow bool$  where
 $B \ v \ v1 \equiv v \neq []$ 

interpretation  $BD\text{-Security-}IO$  where
 $istate = istate$  and  $step = step$  and
 $\varphi = \varphi$  and  $f = f$  and  $\gamma = \gamma$  and  $g = g$  and  $T = T$  and  $B = B$ 
 $\langle proof \rangle$ 

lemma  $reachNT\text{-non-}isAut\text{-}isPC\text{-}isChair$ :
assumes  $reachNT s$  and  $uid \in UIDs$ 
shows
 $\neg isAut s cid uid PID \wedge$ 
 $(isPC s cid uid \rightarrow \neg PID \in \in paperIDs s cid \vee phase s cid \leq subPH) \wedge$ 
 $(isChair s cid uid \rightarrow \neg PID \in \in paperIDs s cid \vee phase s cid \leq subPH)$ 
 $\langle proof \rangle$ 

lemma  $P\text{-}\varphi\text{-}\gamma$ :
assumes 1:  $reachNT s$  and 2:  $step s a = (ou, s')$   $\varphi (Trans s a ou s')$ 
shows  $\neg \gamma (Trans s a ou s')$ 
 $\langle proof \rangle$ 

major

lemma  $eqButPID\text{-}step\text{-}out$ :
assumes  $s's1' : eqButPID s s1$ 
and  $step: step s a = (ou, s')$  and  $step1: step s1 a = (ou1, s1')$ 
and  $sT: reachNT s$  and  $s1: reach s1$ 
and  $PID: PID \in \in paperIDs s cid$ 
and  $UIDs: userOfA a \in UIDs$ 
shows  $ou = ou1$ 
 $\langle proof \rangle$ 

definition  $\Delta 1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta 1 \ s \ v \ s1 \ v1 \equiv \neg (\exists cid. PID \in \in paperIDs s cid) \wedge s = s1 \wedge B \ v \ v1$ 

```

```

definition  $\Delta 2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta 2 s vl s1 vl1 \equiv$ 
 $\exists cid. PID \in \in paperIDs s cid \wedge phase s cid = subPH \wedge eqButPID s s1$ 

definition  $\Delta 3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta 3 s vl s1 vl1 \equiv$ 
 $\exists cid. PID \in \in paperIDs s cid \wedge eqButPID s s1 \wedge phase s cid > subPH \wedge vl = [] \wedge$ 
 $vl1 = []$ 

definition  $\Delta e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta e s vl s1 vl1 \equiv$ 
 $\exists cid. PID \in \in paperIDs s cid \wedge phase s cid > subPH \wedge vl \neq []$ 

lemma istate- $\Delta 1$ :
assumes  $B: B\ vl\ vl1$ 
shows  $\Delta 1\ istate\ vl\ istate\ vl1$ 
(proof)

lemma unwind-cont- $\Delta 1$ : unwind-cont  $\Delta 1\ \{\Delta 1, \Delta 2, \Delta e\}$ 
(proof)

lemma unwind-cont- $\Delta 2$ : unwind-cont  $\Delta 2\ \{\Delta 2, \Delta 3, \Delta e\}$ 
(proof)

lemma unwind-cont- $\Delta 3$ : unwind-cont  $\Delta 3\ \{\Delta 3, \Delta e\}$ 
(proof)

definition K1exit where
 $K1exit s \equiv \exists cid. phase s cid > subPH \wedge PID \in \in paperIDs s cid$ 

lemma invarNT-K1exit: invarNT K1exit
(proof)

lemma noVal-K1exit: noVal K1exit v
(proof)

lemma unwind-exit- $\Delta e$ : unwind-exit  $\Delta e$ 
(proof)

theorem secure: secure
(proof)

end
theory Paper-Aut
imports .. / Observation-Setup Paper-Value-Setup Bounded-Deducibility-Security Compositional-Reasoning
begin

```

## 5.4 Confidentiality protection from non-authors

We verify the following property:

A group of users UIDs learns nothing about the various uploads of a paper PID except for the last (most recent) upload unless/until a user in UIDs becomes an author of the paper.

```

fun T :: (state,act,out) trans  $\Rightarrow$  bool where
T (Trans - - ou s') = ( $\exists$  uid  $\in$  UIDs. isAUT s' uid PID)

declare T.simps [simp del]

definition B :: value list  $\Rightarrow$  value list  $\Rightarrow$  bool where
B vl vl1  $\equiv$  vl  $\neq$  []  $\wedge$  vl1  $\neq$  []  $\wedge$  last vl = last vl1

interpretation BD-Security-IO where
istate = istate and step = step and
 $\varphi = \varphi$  and f = f and  $\gamma = \gamma$  and g = g and T = T and B = B
⟨proof⟩

lemma reachNT-non-isAut:
assumes reachNT s and uid  $\in$  UIDs
shows  $\neg$  isAut s cid uid PID
⟨proof⟩

lemma T- $\varphi$ - $\gamma$ :
assumes 1: reachNT s and 2: step s a = (ou,s')  $\varphi$  (Trans s a ou s')
shows  $\neg$   $\gamma$  (Trans s a ou s')
⟨proof⟩

lemma eqButPID-step-out:
assumes ss1: eqButPID s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and sT: reachNT s and s1: reach s1
and PID: PID  $\in$  paperIDs s cid
and ph: phase s cid = subPH
and UIDs: userOfA a  $\in$  UIDs
shows ou = ou1
⟨proof⟩

major

lemma eqButPID-step-eq:
assumes ss1: eqButPID s s1
and [simp]: a=Uact (uPaperC cid uid p PID ct) ou=outOK
and step: step s a = (ou, s') and step1: step s1 a = (ou', s1')

```

```

shows  $s' = s1'$ 
     $\langle proof \rangle$ 

definition  $\Delta 1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta 1 s\ vl\ s1\ vl1 \equiv$ 
 $\neg (\exists\ cid.\ PID \in paperIDs\ s\ cid) \wedge s = s1 \wedge B\ vl\ vl1$ 

definition  $\Delta 2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta 2 s\ vl\ s1\ vl1 \equiv$ 
 $(\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid = subPH) \wedge$ 
 $eqButPID\ s\ s1 \wedge B\ vl\ vl1$ 

definition  $\Delta 3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta 3 s\ vl\ s1\ vl1 \equiv$ 
 $(\exists\ cid.\ PID \in paperIDs\ s\ cid) \wedge s = s1 \wedge vl = [] \wedge vl1 = []$ 

definition  $\Delta e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta e s\ vl\ s1\ vl1 \equiv$ 
 $(\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > subPH) \wedge vl \neq []$ 

lemma istate- $\Delta 1$ :
assumes  $B: B\ vl\ vl1$ 
shows  $\Delta 1\ istate\ vl\ istate\ vl1$ 
     $\langle proof \rangle$ 

lemma unwind-cont- $\Delta 1$ : unwind-cont  $\Delta 1\ \{\Delta 1, \Delta 2, \Delta e\}$ 
     $\langle proof \rangle$ 

lemma unwind-cont- $\Delta 2$ : unwind-cont  $\Delta 2\ \{\Delta 2, \Delta 3, \Delta e\}$ 
     $\langle proof \rangle$ 

lemma unwind-cont- $\Delta 3$ : unwind-cont  $\Delta 3\ \{\Delta 3, \Delta e\}$ 
     $\langle proof \rangle$ 

definition K1exit where
 $K1exit\ s \equiv \exists\ cid.\ phase\ s\ cid > subPH \wedge PID \in paperIDs\ s\ cid$ 

lemma invarNT-K1exit: invarNT K1exit
     $\langle proof \rangle$ 

lemma noVal-K1exit: noVal K1exit  $v$ 
     $\langle proof \rangle$ 

lemma unwind-exit- $\Delta e$ : unwind-exit  $\Delta e$ 
     $\langle proof \rangle$ 

theorem secure: secure

```

```

⟨proof⟩

end
theory Paper-All
imports
  Paper-Aut-PC
  Paper-Aut
begin

end
theory Review-Intro
imports ..../Safety-Properties
begin

```

## 6 Review Confidentiality

In this section, we prove confidentiality properties for the reviews of papers submitted to a conference. The secrets (values) of interest are therefore the different versions of a given review for a given paper, identified as the N'th review of the paper with id PID.

Here, we have three points of compromise between the bound and the trigger (which yield three properties). Let

- T1 denote “review authorship”
- T2 denote “PC membership having no conflict with that paper and the conference having moved to the discussion phase”
- T3 denote “PC membership or authorship and the conference having moved to the notification phase”

The three bound-trigger combinations are:

- weak trigger (T1 or T2 or T3) paired with strong bound (allowing to learn almost nothing)
- medium trigger (T1 or T2) paired with medium bound (allowing to learn the last edited version before notification)
- strong trigger (T1) paired with weak bound (allowing to learn the last edited version before discussion and all the later versions)

```

end

theory Review-Value-Setup
imports Review-Intro
begin

```

```
consts PID :: paperID consts N :: nat
```

( $PID, N$ ) identifies uniquely the review under scrutiny

## 6.1 Preliminaries

```
declare updates-commute-paper[simp]
```

Auxiliary definitions:

```
definition eqExcNth where
```

```
eqExcNth xs ys n ≡  
length xs = length ys ∧ (∀ i < length xs. i ≠ n → xs!i = ys!i)
```

```
lemma eqExcNth-eq[simp,intro!]: eqExcNth xs xs n  
<proof>
```

```
lemma eqExcNth-sym:
```

```
assumes eqExcNth xs xs1 n  
shows eqExcNth xs1 xs n  
<proof>
```

```
lemma eqExcNth-trans:
```

```
assumes eqExcNth xs xs1 n and eqExcNth xs1 xs2 n  
shows eqExcNth xs xs2 n  
<proof>
```

```
fun eqExcD :: paper ⇒ paper ⇒ bool where
```

```
eqExcD (Paper name info ct reviews dis decs)  
      (Paper name1 info1 ct1 reviews1 dis1 decs1) =  
(name = name1 ∧ info = info1 ∧ ct = ct1 ∧ dis = dis1 ∧ decs = decs1 ∧  
eqExcNth reviews reviews1 N)
```

```
lemma eqExcD:
```

```
eqExcD pap pap1 =  
(titlePaper pap = titlePaper pap1 ∧ abstractPaper pap = abstractPaper pap1 ∧  
contentPaper pap = contentPaper pap1 ∧  
disPaper pap = disPaper pap1 ∧ decsPaper pap = decsPaper pap1 ∧  
eqExcNth (reviewsPaper pap) (reviewsPaper pap1) N)  
<proof>
```

```
lemma eqExcD-eq[simp,intro!]: eqExcD pap pap  
<proof>
```

```
lemma eqExcD-sym:
```

```
assumes eqExcD pap pap1  
shows eqExcD pap1 pap  
<proof>
```

```

lemma eqExcD-trans:
assumes eqExcD pap pap1 and eqExcD pap1 pap2
shows eqExcD pap pap2
⟨proof⟩

definition eeqExcPID-N where
eeqExcPID-N paps paps1 ≡
  ∀ pid. if pid = PID then eqExcD (paps pid) (paps1 pid) else paps pid = paps1 pid

lemma eeqExcPID-N-eeq[simp,intro!]: eeqExcPID-N s s
⟨proof⟩

lemma eeqExcPID-N-sym:
assumes eeqExcPID-N s s1 shows eeqExcPID-N s1 s
⟨proof⟩

lemma eeqExcPID-N-trans:
assumes eeqExcPID-N s s1 and eeqExcPID-N s1 s2 shows eeqExcPID-N s s2
⟨proof⟩

lemma eeqExcPID-N-imp:
eeqExcPID-N paps paps1 ⇒ eqExcD (paps PID) (paps1 PID)
[eeqExcPID-N paps paps1; pid ≠ PID] ⇒ paps pid = paps1 pid
⟨proof⟩

lemma eeqExcPID-N-cong:
assumes eeqExcPID-N paps paps1
and pid = PID ⇒ eqExcD uu uu1
and pid ≠ PID ⇒ uu = uu1
shows eeqExcPID-N (paps (pid := uu)) (paps1 (pid := uu1))
⟨proof⟩

lemma eeqExcPID-N-RDD:
eeqExcPID-N paps paps1 ⇒
  titlePaper (paps PID) = titlePaper (paps1 PID) ∧
  abstractPaper (paps PID) = abstractPaper (paps1 PID) ∧
  contentPaper (paps PID) = contentPaper (paps1 PID) ∧
  disPaper (paps PID) = disPaper (paps1 PID) ∧
  decsPaper (paps PID) = decsPaper (paps1 PID)
⟨proof⟩

```

The notion of two states being equal everywhere except on the review ( $N$ ,  $PID$ ):

```

definition eqExcPID-N :: state ⇒ state ⇒ bool where
eqExcPID-N s s1 ≡
  confIDs s = confIDs s1 ∧ conf s = conf s1 ∧
  userIDs s = userIDs s1 ∧ pass s = pass s1 ∧ user s = user s1 ∧ roles s = roles
  s1 ∧

```

```

paperIDs s = paperIDs s1
^
eqExcPID-N (paper s) (paper s1)
^
pref s = pref s1 ^
voronkov s = voronkov s1 ^
news s = news s1 ^ phase s = phase s1

lemma eqExcPID-N-eq[simp,intro!]: eqExcPID-N s s
⟨proof⟩

lemma eqExcPID-N-sym:
assumes eqExcPID-N s s1 shows eqExcPID-N s1 s
⟨proof⟩

lemma eqExcPID-N-trans:
assumes eqExcPID-N s s1 and eqExcPID-N s1 s2 shows eqExcPID-N s s2
⟨proof⟩

Implications from eqExcPID-N, including w.r.t. auxiliary operations:

lemma eqExcPID-N-imp:
eqExcPID-N s s1 ==>
confIDs s = confIDs s1 ^ conf s = conf s1 ^
userIDs s = userIDs s1 ^ pass s = pass s1 ^ user s = user s1 ^ roles s = roles
s1 ^
paperIDs s = paperIDs s1
^
eqExcPID-N (paper s) (paper s1)
^
pref s = pref s1 ^
voronkov s = voronkov s1 ^
news s = news s1 ^ phase s = phase s1 ^

getAllPaperIDs s = getAllPaperIDs s1 ^
isRev s cid uid pid = isRev s1 cid uid pid ^
getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid ^
getRevRole s cid uid pid = getRevRole s1 cid uid pid ^
length (reviewsPaper (paper s pid)) = length (reviewsPaper (paper s1 pid))
⟨proof⟩

lemma eqExcPID-N-imp1:
eqExcPID-N s s1 ==> eqExcD (paper s pid) (paper s1 pid)
eqExcPID-N s s1 ==> pid ≠ PID ∨ PID ≠ pid ==>
paper s pid = paper s1 pid ^
getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID-N-imp2:
assumes eqExcPID-N s s1 and pid ≠ PID ∨ PID ≠ pid

```

**shows**  $\text{getReviewersReviews } s \text{ cid pid} = \text{getReviewersReviews } s1 \text{ cid pid}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID-N-imp3:}$   
 $\text{eqExcPID-N } s \text{ s1} \implies \text{pid} \neq \text{PID} \vee \text{PID} \neq \text{pid} \vee (\text{n} < \text{length}(\text{reviewsPaper}(\text{paper s PID})) \wedge \text{n} \neq N)$   
 $\implies$   
 $\text{getNthReview } s \text{ pid n} = \text{getNthReview } s1 \text{ pid n}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID-N-imp3':}$   
**assumes**  $s: \text{reach } s$   
**and**  $\text{eqExcPID-N } s \text{ s1 and pid} \neq \text{PID} \vee (\text{isRevNth } s \text{ cid uid pid n} \wedge \text{n} \neq N)$   
**shows**  $\text{getNthReview } s \text{ pid n} = \text{getNthReview } s1 \text{ pid n}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID-N-RDD:}$   
 $\text{eqExcPID-N } s \text{ s1} \implies$   
 $\text{titlePaper}(\text{paper s PID}) = \text{titlePaper}(\text{paper s1 PID}) \wedge$   
 $\text{abstractPaper}(\text{paper s PID}) = \text{abstractPaper}(\text{paper s1 PID}) \wedge$   
 $\text{contentPaper}(\text{paper s PID}) = \text{contentPaper}(\text{paper s1 PID}) \wedge$   
 $\text{disPaper}(\text{paper s PID}) = \text{disPaper}(\text{paper s1 PID}) \wedge$   
 $\text{decsPaper}(\text{paper s PID}) = \text{decsPaper}(\text{paper s1 PID})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID-N-cong[simp, intro]:}$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{confIDs} := uu1)) (s1 (\text{confIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{conf} := uu1)) (s1 (\text{conf} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{userIDs} := uu1)) (s1 (\text{userIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{pass} := uu1)) (s1 (\text{pass} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{user} := uu1)) (s1 (\text{user} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{roles} := uu1)) (s1 (\text{roles} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{paperIDs} := uu1)) (s1 (\text{paperIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies \text{eqExcPID-N } uu1 uu2 \implies \text{eqExcPID-N } (s (\text{paper} := uu1)) (s1 (\text{paper} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{pref} := uu1)) (s1 (\text{pref} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID-N } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID-N } (s (\text{voronkov} :=$

```

uu1)) (s1 (voronkov := uu2))
 $\wedge$  uu1 uu2. eqExcPID-N s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID-N (s (news := uu1))
(s1 (news := uu2))
 $\wedge$  uu1 uu2. eqExcPID-N s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID-N (s (phase := uu1))
(s1 (phase := uu2))

```

$\langle proof \rangle$

```

lemma eqExcPID-N-Paper:
assumes s's1': eqExcPID-N s s1
and paper s pid = Paper title abstract content reviews dis decs
and paper s1 pid = Paper title1 abstract1 content1 reviews1 dis1 decs1
shows title = title1  $\wedge$  abstract = abstract1  $\wedge$  content = content1  $\wedge$  dis = dis1  $\wedge$ 
decs = decs1
 $\langle proof \rangle$ 

```

Auxiliary definitions for a slightly weaker equivalence relation defined below:

```

definition eqExcNth2 where
eqExcNth2 rl rl1 n  $\equiv$ 
length rl = length rl1  $\wedge$ 
( $\forall$  i < length rl. i  $\neq$  n  $\longrightarrow$  rl!i = rl1!i)  $\wedge$ 
hd (rl!n) = hd (rl1!n)

```

```

lemma eqExcNth2-eq[simp,intro!]: eqExcNth2 rl rl n
 $\langle proof \rangle$ 

```

```

lemma eqExcNth2-sym:
assumes eqExcNth2 rl rl1 n
shows eqExcNth2 rl1 rl n
 $\langle proof \rangle$ 

```

```

lemma eqExcNth2-trans:
assumes eqExcNth2 rl rl1 n and eqExcNth2 rl1 rl2 n
shows eqExcNth2 rl rl2 n
 $\langle proof \rangle$ 

```

```

fun eqExcD2 :: paper  $\Rightarrow$  paper  $\Rightarrow$  bool where
eqExcD2 (Paper title abstract ct reviews dis decs)
(Paper title1 abstract1 ct1 reviews1 dis1 decs1) =
(title = title1  $\wedge$  abstract = abstract1  $\wedge$  ct = ct1  $\wedge$  dis = dis1  $\wedge$  decs = decs1  $\wedge$ 
eqExcNth2 reviews reviews1 N)

```

```

lemma eqExcD2:
eqExcD2 pap pap1 =
(titlePaper pap = titlePaper pap1  $\wedge$  abstractPaper pap = abstractPaper pap1  $\wedge$ 
contentPaper pap = contentPaper pap1  $\wedge$ 
disPaper pap = disPaper pap1  $\wedge$  decsPaper pap = decsPaper pap1  $\wedge$ 
eqExcNth2 (reviewsPaper pap) (reviewsPaper pap1) N)
 $\langle proof \rangle$ 

```

```

lemma eqExcD2-eq[simp,intro!]: eqExcD2 pap pap
⟨proof⟩

lemma eqExcD2-sym:
assumes eqExcD2 pap pap1
shows eqExcD2 pap1 pap
⟨proof⟩

lemma eqExcD2-trans:
assumes eqExcD2 pap pap1 and eqExcD2 pap1 pap2
shows eqExcD2 pap pap2
⟨proof⟩

definition eeqExcPID-N2 where
eeqExcPID-N2 paps paps1 ≡
  ∀ pid. if pid = PID then eqExcD2 (paps pid) (paps1 pid) else paps pid = paps1
pid

lemma eeqExcPID-N2-eeq[simp,intro!]: eeqExcPID-N2 s s
⟨proof⟩

lemma eeqExcPID-N2-sym:
assumes eeqExcPID-N2 s s1 shows eeqExcPID-N2 s1 s
⟨proof⟩

lemma eeqExcPID-N2-trans:
assumes eeqExcPID-N2 s s1 and eeqExcPID-N2 s1 s2 shows eeqExcPID-N2 s s2
⟨proof⟩

lemma eeqExcPID-N2-imp:
eeqExcPID-N2 paps paps1  $\implies$  eqExcD2 (paps PID) (paps1 PID)
[eeqExcPID-N2 paps paps1; pid ≠ PID]  $\implies$  paps pid = paps1 pid
⟨proof⟩

lemma eeqExcPID-N2-cong:
assumes eeqExcPID-N2 paps paps1
and pid = PID  $\implies$  eqExcD2 uu uu1
and pid ≠ PID  $\implies$  uu = uu1
shows eeqExcPID-N2 (paps (pid := uu)) (paps1 (pid := uu1))
⟨proof⟩

lemma eeqExcPID-N2-RDD:
eeqExcPID-N2 paps paps1  $\implies$ 
  titlePaper (paps PID) = titlePaper (paps1 PID)  $\wedge$ 
  abstractPaper (paps PID) = abstractPaper (paps1 PID)  $\wedge$ 
  contentPaper (paps PID) = contentPaper (paps1 PID)  $\wedge$ 
  disPaper (paps PID) = disPaper (paps1 PID)  $\wedge$ 
  decsPaper (paps PID) = decsPaper (paps1 PID)

```

$\langle proof \rangle$

A weaker state equivalence that allows differences in old versions of the score and comments of the review ( $N$ ,  $PID$ ). It is used for the confidentiality property that does not cover PC members in the discussion phase, when they will learn about scores and comments.

```
definition eqExcPID-N2 :: state  $\Rightarrow$  state  $\Rightarrow$  bool where
eqExcPID-N2 s s1  $\equiv$ 
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$ 
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1  $\wedge$  roles s = roles
s1  $\wedge$ 
paperIDs s = paperIDs s1
 $\wedge$ 
eeqExcPID-N2 (paper s) (paper s1)
 $\wedge$ 
pref s = pref s1  $\wedge$ 
voronkov s = voronkov s1  $\wedge$ 
news s = news s1  $\wedge$  phase s = phase s1
```

```
lemma eqExcPID-N2-eq[simp,intro!]: eqExcPID-N2 s s
⟨proof⟩
```

```
lemma eqExcPID-N2-sym:
assumes eqExcPID-N2 s s1 shows eqExcPID-N2 s1 s
⟨proof⟩
```

```
lemma eqExcPID-N2-trans:
assumes eqExcPID-N2 s s1 and eqExcPID-N2 s1 s2 shows eqExcPID-N2 s s2
⟨proof⟩
```

Implications from  $eqExcPID-N2$ , including w.r.t. auxiliary operations:

```
lemma eqExcPID-N2-imp:
eqExcPID-N2 s s1  $\implies$ 
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$ 
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1  $\wedge$  roles s = roles
s1  $\wedge$ 
paperIDs s = paperIDs s1
 $\wedge$ 
eeqExcPID-N2 (paper s) (paper s1)
 $\wedge$ 
pref s = pref s1  $\wedge$ 
voronkov s = voronkov s1  $\wedge$ 
news s = news s1  $\wedge$  phase s = phase s1  $\wedge$ 

getAllPaperIDs s = getAllPaperIDs s1  $\wedge$ 
isRev s cid uid pid = isRev s1 cid uid pid  $\wedge$ 
getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid  $\wedge$ 
getRevRole s cid uid pid = getRevRole s1 cid uid pid  $\wedge$ 
length (reviewsPaper (paper s pid)) = length (reviewsPaper (paper s1 pid))
```

$\langle proof \rangle$

```

lemma eqExcPID-N2-imp1:
eqExcPID-N2 s s1  $\implies$  eqExcD2 (paper s pid) (paper s1 pid)
eqExcPID-N2 s s1  $\implies$  pid  $\neq$  PID  $\vee$  PID  $\neq$  pid  $\implies$ 
    paper s pid = paper s1 pid  $\wedge$ 
    getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID-N2-imp2:
assumes eqExcPID-N2 s s1 and pid  $\neq$  PID  $\vee$  PID  $\neq$  pid
shows getReviewersReviews s cid pid = getReviewersReviews s1 cid pid
⟨proof⟩

lemma eqExcPID-N2-eqExcPID-N:
eqExcPID-N2 s s1  $\implies$  eqExcPID-N s s1
⟨proof⟩

lemma eqExcPID-N2-imp3:
eqExcPID-N2 s s1  $\implies$  pid  $\neq$  PID  $\vee$  PID  $\neq$  pid  $\vee$  (n < length (reviewsPaper
(paper s PID))  $\wedge$  n  $\neq$  N)
 $\implies$ 
getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID-N2-imp3':
assumes s: reach s
and eqExcPID-N2 s s1 and pid  $\neq$  PID  $\vee$  (isRevNth s cid uid pid n  $\wedge$  n  $\neq$  N)
shows getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID-N2-imp33:
assumes eqExcPID-N2 s s1
shows hd (getNthReview s pid N) = hd (getNthReview s1 pid N)
⟨proof⟩

lemma eqExcPID-N2-RDD:
eqExcPID-N2 s s1  $\implies$ 
titlePaper (paper s PID) = titlePaper (paper s1 PID)  $\wedge$ 
abstractPaper (paper s PID) = abstractPaper (paper s1 PID)  $\wedge$ 
contentPaper (paper s PID) = contentPaper (paper s1 PID)  $\wedge$ 
disPaper (paper s PID) = disPaper (paper s1 PID)  $\wedge$ 
decsPaper (paper s PID) = decsPaper (paper s1 PID)
⟨proof⟩

lemma eqExcPID-N2-cong[simp, intro]:
 $\wedge uu1 uu2. eqExcPID-N2 s s1 \implies uu1 = uu2 \implies eqExcPID-N2 (s (\|confIDs := uu1\|) (s1 (\|confIDs := uu2\|)))$ 

```

$$\begin{aligned}
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{conf} := uu1)) (s1 (\text{conf} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{userIDs} := uu1)) (s1 (\text{userIDs} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{pass} := uu1)) (s1 (\text{pass} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{user} := uu1)) (s1 (\text{user} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{roles} := uu1)) (s1 (\text{roles} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{paperIDs} := uu1)) (s1 (\text{paperIDs} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow eeEqExcPID-N2 uu1 uu2} \Rightarrow eqExcPID-N2 (s (\text{paper} := uu1)) (s1 (\text{paper} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{pref} := uu1)) (s1 (\text{pref} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{voronkov} := uu1)) (s1 (\text{voronkov} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{news} := uu1)) (s1 (\text{news} := uu2)) \\
& \wedge_{uu1 uu2. eqExcPID-N2 s s1 \Rightarrow uu1 = uu2} \Rightarrow eqExcPID-N2 (s (\text{phase} := uu1)) (s1 (\text{phase} := uu2))
\end{aligned}$$

$\langle proof \rangle$

```

lemma eqExcPID-N2-Paper:
assumes s's1': eqExcPID-N2 s s1
and paper s pid = Paper title abstract content reviews dis decs
and paper s1 pid = Paper title1 abstract1 content1 reviews1 dis1 decs1
shows title = title1 ∧ abstract = abstract1 ∧ content = content1 ∧ dis = dis1 ∧
decs = decs1
⟨proof⟩

```

```

lemma eqExcPID-N2-step:
assumes ss1: eqExcPID-N2 s s1
and step: step s a = (ou,s')
and step1: step s1 a = (ou1,s1')
and s: reach s and r: isRevNth s cid uid PID N
shows eqExcPID-N2 s' s1'
⟨proof⟩

```

## 6.2 Value Setup

```

fun φ :: (state,act,out) trans ⇒ bool where
φ (Trans - (Uact (uReview cid uid p pid n rc)) ou -) =

```

```


$$\begin{aligned}
& (pid = PID \wedge n = N \wedge ou = outOK) \\
| \\
& \varphi (Trans - (UUact (uuReview cid uid p pid n rc)) ou -) = \\
& (pid = PID \wedge n = N \wedge ou = outOK) \\
| \\
& \varphi - = False
\end{aligned}$$


```

```

lemma  $\varphi\text{-def2}:$   

 $\varphi (Trans s a ou s') =$   

 $(ou = outOK \wedge$   

 $(\exists cid uid p rc.$   

 $a = Uact (uReview cid uid p PID N rc)$   

 $\vee$   

 $a = UUact (uuReview cid uid p PID N rc)$   

 $))$   

 $\langle proof \rangle$ 

```

```

lemma  $uReview\text{-}uuReview\text{-}step\text{-}eqExcPID\text{-}N:$   

assumes  $a:$   

 $a = Uact (uReview cid uid p PID N rc) \vee$   

 $a = UUact (uuReview cid uid p PID N rc)$   

and  $step s a = (ou, s')$   

shows  $eqExcPID\text{-}N s s'$   

 $\langle proof \rangle$ 

```

```

lemma  $\varphi\text{-step}\text{-}eqExcPID\text{-}N:$   

assumes  $\varphi: \varphi (Trans s a ou s')$   

and  $s: step s a = (ou, s')$   

shows  $eqExcPID\text{-}N s s'$   

 $\langle proof \rangle$ 

```

```

lemma  $eqExcPID\text{-}N\text{-}step:$   

assumes  $s's1': eqExcPID\text{-}N s s1$   

and  $step: step s a = (ou, s')$   

and  $step1: step s1 a = (ou1, s1')$   

shows  $eqExcPID\text{-}N s' s1'$   

 $\langle proof \rangle$ 

```

```

lemma  $eqExcPID\text{-}N\text{-}step\text{-}\varphi\text{-}imp:$   

assumes  $ss1: eqExcPID\text{-}N s s1$   

and  $step: step s a = (ou, s')$  and  $step1: step s1 a = (ou1, s1')$   

and  $\varphi: \varphi (Trans s a ou s')$   

shows  $\varphi (Trans s1 a ou1 s1')$   

 $\langle proof \rangle$ 

```

```

lemma  $eqExcPID\text{-}N\text{-}step\text{-}\varphi:$   

assumes  $s's1': eqExcPID\text{-}N s s1$   

and  $step: step s a = (ou, s')$  and  $step1: step s1 a = (ou1, s1')$   

shows  $\varphi (Trans s a ou s') = \varphi (Trans s1 a ou1 s1')$ 

```

$\langle proof \rangle$

```

lemma eqExcPID-N2-step- $\varphi$ -imp:
  assumes ss1: eqExcPID-N2 s s1
  and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
  and r: N < length (reviewsPaper (paper s PID))
  and  $\varphi$ :  $\varphi$  (Trans s a ou s')
  shows  $\varphi$  (Trans s1 a ou1 s1')
   $\langle proof \rangle$ 

```

```

lemma eqExcPID-N2-step- $\varphi$ :
  assumes s: reach s and s1: reach s1
  and ss1: eqExcPID-N2 s s1
  and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
  shows  $\varphi$  (Trans s a ou s') =  $\varphi$  (Trans s1 a ou1 s1')
   $\langle proof \rangle$ 

```

```

end
theory Review-RAut
imports .. /Observation-Setup Review-Value-Setup Bounded-Deducibility-Security.Compositional-Reasoning
begin

```

### 6.3 Confidentiality protection from users who are not the review's author

We verify the following property:

A group UIDs of users learn nothing about the various updates of the N'th review of a paper PID except for the last edited version before discussion and all the later versions unless a user in UIDs is that review's author.

```

type-synonym value = phase * rcontent

fun f :: (state,act,out) trans  $\Rightarrow$  value where
  f (Trans s (Uact (uReview cid uid p pid n rc)) - -) = (phase s cid, rc)
  |
  f (Trans s (UUact (uuReview cid uid p pid n rc)) - -) = (phase s cid, rc)

fun T :: (state,act,out) trans  $\Rightarrow$  bool where
  T (Trans - - ou s') =
    ( $\exists$  uid  $\in$  UIDs. isREVNth s' uid PID N)

declare T.simps [simp del]

definition B :: value list  $\Rightarrow$  value list  $\Rightarrow$  bool where

```

$B \text{ } vl \text{ } vl1 \equiv$   
 $\exists \text{ } ul \text{ } ul1 \text{ } wl.$   
 $vl = (\text{map } (\text{Pair } \text{revPH}) \text{ } ul) @ (\text{map } (\text{Pair } \text{disPH}) \text{ } wl) \wedge$   
 $vl1 = (\text{map } (\text{Pair } \text{revPH}) \text{ } ul1) @ (\text{map } (\text{Pair } \text{disPH}) \text{ } wl) \wedge$   
 $ul \neq [] \wedge ul1 \neq [] \wedge \text{last } ul = \text{last } ul1$

**interpretation BD-Security-IO where**  
*istate = istate and step = step and*  
 $\varphi = \varphi$  **and**  $f = f$  **and**  $\gamma = \gamma$  **and**  $g = g$  **and**  $T = T$  **and**  $B = B$   
 $\langle \text{proof} \rangle$

**lemma** *reachNT-non-isRevNth*:  
**assumes** *reachNT s and uid ∈ UIDs*  
**shows**  $\neg \text{isRevNth } s \text{ cid uid PID N}$   
 $\langle \text{proof} \rangle$

**lemma** *P-φ-γ*:  
**assumes** 1: *reachNT s and 2: step s a = (ou,s') φ (Trans s a ou s')*  
**shows**  $\neg \gamma (\text{Trans } s \text{ a ou } s')$   
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-N-step-out*:  
**assumes** *s's1': eqExcPID-N s s1 and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')*  
**and** *sT: reachNT s and s1: reach s1*  
**and** *PID: PID ∈ paperIDs s cid*  
**and** *ph: phase s cid = revPH*  
**and** *UIDs: userOfA a ∈ UIDs*  
**shows** *ou = ou1*  
 $\langle \text{proof} \rangle$

**lemma** *eeqExcPID-N-imp-eq*:  
**assumes** *eeqExcPID-N paps paps1 and reviewsPaper (paps PID) ! N = reviewsPaper (paps1 PID) ! N*  
**shows** *paps = paps1*  
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-N-imp-eq*:  
**assumes** *e: eqExcPID-N s s1 and reviewsPaper (paper s PID) ! N = reviewsPaper (paper s1 PID) ! N*  
**shows** *s = s1*  
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-N-step-eq*:  
**assumes** *s: reach s and ss1: eqExcPID-N s s1 and a: a = Uact (uReview cid uid p PID N rc)*  
**and** *step: step s a = (outOK, s') and step1: step s1 a = (ou', s1')*

```

shows  $s' = s1'$   

 $\langle proof \rangle$ 

definition  $\Delta 1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where  

 $\Delta 1 s\ vl\ s1\ vl1 \equiv$   

 $(\forall\ cid.\ PID \in paperIDs\ s\ cid \longrightarrow phase\ s\ cid < revPH) \wedge$   

 $s = s1 \wedge B\ vl\ vl1$ 

definition  $\Delta 2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where  

 $\Delta 2 s\ vl\ s1\ vl1 \equiv$   

 $\exists\ cid.$   

 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid = revPH \wedge \neg(\exists\ uid.\ isREVNth\ s\ uid\ PID\ N) \wedge$   

 $s = s1 \wedge B\ vl\ vl1$ 

definition  $\Delta 3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where  

 $\Delta 3 s\ vl\ s1\ vl1 \equiv$   

 $\exists\ cid\ uid.$   

 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid = revPH \wedge isREVNth\ s\ uid\ PID\ N \wedge$   

 $eqExcPID-N\ s\ s1 \wedge B\ vl\ vl1$ 

definition  $\Delta 4 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where  

 $\Delta 4 s\ vl\ s1\ vl1 \equiv$   

 $\exists\ cid\ uid.$   

 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq revPH \wedge isREVNth\ s\ uid\ PID\ N \wedge$   

 $s = s1 \wedge (\exists\ wl.\ vl = map\ (Pair\ disPH)\ wl \wedge vl1 = map\ (Pair\ disPH)\ wl)$ 

definition  $\Delta e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where  

 $\Delta e s\ vl\ s1\ vl1 \equiv$   

 $vl \neq [] \wedge$   

 $($   

 $(\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH \wedge \neg(\exists\ uid.\ isREVNth\ s\ uid\ PID\ N))$   

 $\vee$   

 $(\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH \wedge fst\ (hd\ vl) = revPH)$   

 $)$ 

lemma istate- $\Delta 1$ :  

assumes  $B: B\ vl\ vl1$   

shows  $\Delta 1\ istate\ vl\ istate\ vl1$   

 $\langle proof \rangle$ 

lemma unwind-cont- $\Delta 1$ : unwind-cont  $\Delta 1\ \{\Delta 1, \Delta 2, \Delta e\}$   

 $\langle proof \rangle$ 

lemma unwind-cont- $\Delta 2$ : unwind-cont  $\Delta 2\ \{\Delta 2, \Delta 3, \Delta e\}$   

 $\langle proof \rangle$ 

lemma unwind-cont- $\Delta 3$ : unwind-cont  $\Delta 3\ \{\Delta 3, \Delta 4, \Delta e\}$ 

```

$\langle proof \rangle$

**lemma** *unwind-cont- $\Delta_4$* : *unwind-cont*  $\Delta_4$   $\{\Delta_4, \Delta_e\}$   
 $\langle proof \rangle$

**definition** *K2exit* **where**

*K2exit cid s*  $\equiv$   
 $PID \in \in paperIDs s cid \wedge phase s cid > revPH \wedge \neg (\exists uid. isRevNth s cid uid uid PID N)$

**lemma** *invarNT-K2exit*: *invarNT* (*K2exit cid*)  
 $\langle proof \rangle$

**lemma** *noVal-K2exit*: *noVal* (*K2exit cid*) *v*  
 $\langle proof \rangle$

**definition** *K3exit* **where**

*K3exit cid s*  $\equiv$   $PID \in \in paperIDs s cid \wedge phase s cid > revPH$

**lemma** *invarNT-K3exit*: *invarNT* (*K3exit cid*)  
 $\langle proof \rangle$

**lemma** *noVal-K3exit*: *noVal* (*K3exit cid*) (*revPH,u*)  
 $\langle proof \rangle$

**lemma** *unwind-exit- $\Delta_e$* : *unwind-exit*  $\Delta_e$   
 $\langle proof \rangle$

**theorem** *secure*: *secure*

$\langle proof \rangle$

**end**

**theory** *Review-RAut-NCPC*

**imports** ..//*Observation-Setup Review-Value-Setup Bounded-Dedecibility-Security.Compositional-Reasoning*  
**begin**

## 6.4 Confidentiality protection from users who are not the review's author or a PC member

We verify the following property:

A group of users UIDs learn nothing about the various updates of the N'th review of a paper PID except for the last edited version before notification unless/until one of the following holds:

- a user in UIDs is the review's author, or

- a user in UIDs becomes a PC member in the paper's conference having no conflict with that paper, and the conference moves to the discussion phase.

```

type-synonym value = rcontent

fun f :: (state,act,out) trans  $\Rightarrow$  value where
f (Trans - (Uact (uReview cid uid p pid n rc)) - -) = rc
|
f (Trans - (UUact (uuReview cid uid p pid n rc)) - -) = rc

fun T :: (state,act,out) trans  $\Rightarrow$  bool where
T (Trans - - ou s') =
(  $\exists$  uid  $\in$  UIDs.
  isREVNth s' uid PID N
   $\vee$ 
  (  $\exists$  cid. PID  $\in\in$  paperIDs s' cid  $\wedge$  isPC s' cid uid  $\wedge$  pref s' uid PID  $\neq$  Conflict
   $\wedge$  phase s' cid  $\geq$  disPH)
)

declare T.simps [simp del]

definition B :: value list  $\Rightarrow$  value list  $\Rightarrow$  bool where
B vl vl1  $\equiv$  vl  $\neq$  []  $\wedge$  vl1  $\neq$  []  $\wedge$  last vl = last vl1

interpretation BD-Security-IO where
istate = istate and step = step and
 $\varphi = \varphi$  and f = f and  $\gamma = \gamma$  and g = g and T = T and B = B
⟨proof⟩

lemma reachNT-non-isRevNth-isPC-isChair:
assumes reachNT s and uid  $\in$  UIDs
shows
 $\neg$  isRevNth s cid uid PID N  $\wedge$ 
(PID  $\in\in$  paperIDs s cid  $\wedge$  isPC s cid uid  $\rightarrow$  pref s uid PID = Conflict  $\vee$  phase
s cid < disPH)  $\wedge$ 
(PID  $\in\in$  paperIDs s cid  $\wedge$  isChair s cid uid  $\rightarrow$  pref s uid PID = Conflict  $\vee$ 
phase s cid < disPH)
⟨proof⟩

lemma T-φ-γ:
assumes 1: reachNT s and 2: step s a = (ou,s')  $\varphi$  (Trans s a ou s')
shows  $\neg$  γ (Trans s a ou s')
⟨proof⟩

lemma eqExcPID-N-step-out:
assumes s's1': eqExcPID-N s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and sp: reachNT s and s1: reach s1

```

```

and  $PID \in paperIDs s cid$ 
and  $ph: phase s cid = revPH \vee phase s cid = disPH$ 
and  $UIDs: userOfA a \in UIDs$ 
shows  $ou = ou1$ 
⟨proof⟩

lemma  $eqExcPID-N2-step-out:$ 
assumes  $ss1: eqExcPID-N2 s s1$ 
and  $step: step s a = (ou, s') \text{ and } step1: step s1 a = (ou1, s1')$ 
and  $sP: reachNT s \text{ and } s1: reach s1$ 
and  $r: isRevNth s cid uid PID N$ 
and  $ph: phase s cid \geq revPH$ 
and  $UIDs: userOfA a \in UIDs$ 
and  $decs-exit: (reviewsPaper (paper s PID))!N \neq [] \wedge (reviewsPaper (paper s1 PID))!N \neq []$ 
shows  $ou = ou1$ 
⟨proof⟩

lemma  $eqExcPID-N-step-eqExcPID-N2:$ 
assumes  $rs: reach s$ 
and  $a: a = Uact (uReview cid uid p PID N rc) \vee$ 
 $a = UUact (uuReview cid uid p PID N rc) (\text{is } ?L \vee ?R)$ 
and  $ss1: eqExcPID-N s s1$ 
and  $step: step s a = (outOK, s') \text{ and } step1: step s1 a = (outOK, s1')$ 
shows  $eqExcPID-N2 s' s1'$ 
⟨proof⟩

lemma  $eqExcPID-N-step-\varphi-eqExcPID-N2:$ 
assumes  $rs: reach s$ 
and  $ss1: eqExcPID-N s s1$ 
and  $step: step s a = (ou, s') \text{ and } step1: step s1 a = (ou1, s1')$ 
and  $\varphi: \varphi (Trans s a ou s')$ 
shows  $eqExcPID-N2 s' s1'$ 
⟨proof⟩

definition  $\Delta 1 :: state \Rightarrow value list \Rightarrow state \Rightarrow value list \Rightarrow bool$  where
 $\Delta 1 s vl s1 vl1 \equiv$ 
 $(\forall cid. PID \in paperIDs s cid \longrightarrow phase s cid < revPH) \wedge$ 
 $s = s1 \wedge B vl vl1$ 

definition  $\Delta 2 :: state \Rightarrow value list \Rightarrow state \Rightarrow value list \Rightarrow bool$  where
 $\Delta 2 s vl s1 vl1 \equiv$ 
 $\exists cid.$ 
 $PID \in paperIDs s cid \wedge phase s cid = revPH \wedge \neg (\exists uid. isREVNth s uid$ 
 $PID N) \wedge$ 
 $s = s1 \wedge B vl vl1$ 

definition  $\Delta 3 :: state \Rightarrow value list \Rightarrow state \Rightarrow value list \Rightarrow bool$  where
 $\Delta 3 s vl s1 vl1 \equiv$ 

```

```

 $\exists \text{ cid uid}.$ 
 $PID \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} \in \{\text{revPH}, \text{disPH}\} \wedge \text{isREVNth } s \text{ uid}$ 
 $PID N \wedge$ 
 $\text{eqExcPID-N } s \text{ s1} \wedge B \text{ vl vl1}$ 

definition  $\Delta_4 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_4 s \text{ vl s1 vl1} \equiv$ 
 $\exists \text{ cid uid}.$ 
 $PID \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} \geq \text{revPH} \wedge \text{isREVNth } s \text{ uid PID N} \wedge$ 
 $(\text{reviewsPaper } (\text{paper } s \text{ PID}))!N \neq [] \wedge (\text{reviewsPaper } (\text{paper } s1 \text{ PID}))!N \neq []$ 
 $\wedge$ 
 $\text{eqExcPID-N2 } s \text{ s1} \wedge \text{vl} = [] \wedge \text{vl1} = []$ 

definition  $\Delta_e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_e s \text{ vl s1 vl1} \equiv$ 
 $vl \neq [] \wedge$ 
 $($ 
 $(\exists \text{ cid. } PID \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} > \text{revPH} \wedge \neg (\exists \text{ uid. } \text{isREVNth } s \text{ uid PID N}))$ 
 $\vee$ 
 $(\exists \text{ cid. } PID \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} > \text{disPH})$ 
 $)$ 

lemma istate- $\Delta_1$ :
assumes  $B: B \text{ vl vl1}$ 
shows  $\Delta_1 \text{ istate vl istate vl1}$ 
{proof}

lemma unwind-cont- $\Delta_1$ : unwind-cont  $\Delta_1 \{\Delta_1, \Delta_2, \Delta_e\}$ 
{proof}

lemma unwind-cont- $\Delta_2$ : unwind-cont  $\Delta_2 \{\Delta_2, \Delta_3, \Delta_e\}$ 
{proof}

lemma unwind-cont- $\Delta_3$ : unwind-cont  $\Delta_3 \{\Delta_3, \Delta_4, \Delta_e\}$ 
{proof}

lemma unwind-cont- $\Delta_4$ : unwind-cont  $\Delta_4 \{\Delta_4, \Delta_e\}$ 
{proof}

```

```

definition K1exit where
 $K1exit \text{ cid s} \equiv PID \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} > \text{revPH} \wedge \neg (\exists \text{ uid. } \text{isRevNth } s \text{ cid uid PID N})$ 

lemma invarNT-K1exit: invarNT (K1exit cid)
{proof}

```

```

lemma noVal-K1exit: noVal (K1exit cid) v
  ⟨proof⟩

definition K2exit where
  K2exit cid s ≡ PID ∈∈ paperIDs s cid ∧ phase s cid > disPH

lemma invarNT-K2exit: invarNT (K2exit cid)
  ⟨proof⟩

lemma noVal-K2exit: noVal (K2exit cid) v
  ⟨proof⟩

lemma unwind-exit-Δe: unwind-exit Δe
  ⟨proof⟩

theorem secure: secure
  ⟨proof⟩

end
theory Review-RAut-NCPC-PAut
imports .. /Observation-Setup Review-Value-Setup Bounded-Deducibility-Security Compositional-Reasoning
begin

```

## 6.5 Confidentiality from users who are not the review's author, a PC member, or an author of the paper

We verify the following property:

A group of users UIDs learn nothing about the various updates to the N'th review of a paper PID (save for the inexistence of any updates) unless/until

- a user in UIDs is the review's author, or
- a user in UIDs becomes a PC member in the paper's conference having no conflict with that paper and the conference moves to the discussion phase, or
- a user in UIDs become a PC member in the paper's conference or an author of the paper and the conference moves to the notification phase

**type-synonym** value = rcontent

```

fun f :: (state,act,out) trans ⇒ value where
  f (Trans - (Uact (uReview cid uid p pid n rc)) - -) = rc
  |
  f (Trans - (UUact (uuReview cid uid p pid n rc)) - -) = rc

```

```

fun  $T :: (state,act,out)$   $trans \Rightarrow bool$  where
 $T (Trans -\text{-} ou s') =$ 
 $(\exists uid \in UIDs.$ 
 $\quad isREVNth s' uid PID N$ 
 $\quad \vee$ 
 $\quad (\exists cid. PID \in paperIDs s' cid \wedge isPC s' cid uid \wedge pref s' uid PID \neq Conflict$ 
 $\wedge phase s' cid \geq disPH)$ 
 $\quad \vee$ 
 $\quad (\exists cid. PID \in paperIDs s' cid \wedge isPC s' cid uid \wedge phase s' cid \geq notifPH)$ 
 $\quad \vee$ 
 $\quad isAUT s' uid PID \wedge (\exists cid. PID \in paperIDs s' cid \wedge phase s' cid \geq notifPH)$ 
 $)$ 

declare  $T.simps [simp del]$ 

definition  $B :: value\ list \Rightarrow value\ list \Rightarrow bool$  where
 $B\ vl\ vl1 \equiv vl \neq []$ 

interpretation  $BD\text{-Security-IO}$  where
 $istate = istate$  and  $step = step$  and
 $\varphi = \varphi$  and  $f = f$  and  $\gamma = \gamma$  and  $g = g$  and  $T = T$  and  $B = B$ 
 $\langle proof \rangle$ 

lemma  $reachNT\text{-non-isPC-isChair}:$ 
assumes  $reachNT\ s$  and  $uid \in UIDs$ 
shows
 $\neg isRevNth\ s\ cid\ uid\ PID\ N \wedge$ 
 $(PID \in paperIDs\ s\ cid \wedge isPC\ s\ cid\ uid \longrightarrow$ 
 $(pref\ s\ uid\ PID = Conflict \vee phase\ s\ cid < disPH) \wedge phase\ s\ cid < notifPH)$ 
 $\wedge$ 
 $(PID \in paperIDs\ s\ cid \wedge isChair\ s\ cid\ uid \longrightarrow$ 
 $(pref\ s\ uid\ PID = Conflict \vee phase\ s\ cid < disPH) \wedge phase\ s\ cid < notifPH)$ 
 $\wedge$ 
 $(isAut\ s\ cid\ uid\ PID \longrightarrow phase\ s\ cid < notifPH)$ 
 $\langle proof \rangle$ 

lemma  $T\text{-}\varphi\text{-}\gamma:$ 
assumes  $1: reachNT\ s$  and  $2: step\ s\ a = (ou,s') \varphi (Trans\ s\ a\ ou\ s')$ 
shows  $\neg \gamma (Trans\ s\ a\ ou\ s')$ 
 $\langle proof \rangle$ 

lemma  $eqExcPID\text{-}N\text{-}step\text{-}out}:$ 
assumes  $s's1': eqExcPID\text{-}N\ s\ s1$ 
and  $step: step\ s\ a = (ou,s')$  and  $step1: step\ s1\ a = (ou1,s1')$ 
and  $sT: reachNT\ s$  and  $s1: reach\ s1$ 
and  $PID: PID \in paperIDs\ s\ cid$ 
and  $UIDs: userOfA\ a \in UIDs$ 
shows  $ou = ou1$ 
 $\langle proof \rangle$ 

```

```

definition  $\Delta_1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_1 s\ vl\ s1\ vl1 \equiv$ 
 $(\forall\ cid.\ PID \in paperIDs\ s\ cid \longrightarrow phase\ s\ cid < revPH) \wedge s = s1 \wedge B\ vl\ vl1$ 

definition  $\Delta_2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_2 s\ vl\ s1\ vl1 \equiv$ 
 $\exists\ cid.$ 
 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid = revPH \wedge$ 
 $\neg(\exists\ uid.\ isREVNth\ s\ uid\ PID\ N) \wedge$ 
 $s = s1 \wedge B\ vl\ vl1$ 

definition  $\Delta_3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_3 s\ vl\ s1\ vl1 \equiv$ 
 $\exists\ cid\ uid.$ 
 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid = revPH \wedge isREVNth\ s\ uid\ PID\ N \wedge eqExcPID-N\ s\ s1$ 

definition  $\Delta_4 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_4 s\ vl\ s1\ vl1 \equiv$ 
 $\exists\ cid.$ 
 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH \wedge eqExcPID-N\ s\ s1 \wedge vl1 = []$ 

definition  $\Delta_e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_e s\ vl\ s1\ vl1 \equiv$ 
 $vl \neq [] \wedge$ 
 $(\exists\ cid.$ 
 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH \wedge \neg(\exists\ uid.\ isREVNth\ s\ uid\ PID\ N))$ 

lemma istate- $\Delta_1$ :
assumes  $B: B\ vl\ vl1$ 
shows  $\Delta_1\ istate\ vl\ istate\ vl1$ 
(proof)

lemma unwind-cont- $\Delta_1$ : unwind-cont  $\Delta_1\ \{\Delta_1, \Delta_2, \Delta_e\}$ 
(proof)

lemma unwind-cont- $\Delta_2$ : unwind-cont  $\Delta_2\ \{\Delta_2, \Delta_3, \Delta_e\}$ 
(proof)

lemma unwind-cont- $\Delta_3$ : unwind-cont  $\Delta_3\ \{\Delta_3, \Delta_4, \Delta_e\}$ 
(proof)

lemma unwind-cont- $\Delta_4$ : unwind-cont  $\Delta_4\ \{\Delta_4, \Delta_e\}$ 
(proof)

```

**definition** *K1exit* **where**

$K1exit\ cid\ s \equiv PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH \wedge \neg (\exists uid.\ isRevNth\ s\ cid\ uid\ PID\ N)$

**lemma** *invarNT-K1exit*: *invarNT* (*K1exit cid*)  
*{proof}*

**lemma** *noVal-K1exit*: *noVal* (*K1exit cid*) *v*  
*{proof}*

**lemma** *unwind-exit-Δe*: *unwind-exit* *Δe*  
*{proof}*

**theorem** *secure*: *secure*  
*{proof}*

**end**  
**theory** *Review-All*  
**imports**  
*Review-RAut*  
*Review-RAut-NCPC*  
*Review-RAut-NCPC-PAut*  
**begin**

**end**  
**theory** *Discussion-Intro*  
**imports** *..../Safety-Properties*  
**begin**

## 7 Discussion Confidentiality

In this section, we prove confidentiality for the discussion log (with comments made by PC members) on submitted papers. The secrets (values) of interest are therefore the different updates of (i.e., comments posted as part of) the discussion of a given paper with id PID.

Here, we have only one point of compromise between the bound and the trigger (which yields one property): the trigger being “PC membership having no conflict with that paper and the conference having moved to the discussion stage” and the bound allowing to learn almost nothing.

**end**  
**theory** *Discussion-Value-Setup*  
**imports** *Discussion-Intro*  
**begin**

The ID of the paper under scrutiny:

**consts** *PID* :: *paperID*

## 7.1 Preliminaries

**declare** *updates-commute-paper*[simp]

```

fun eqExcD :: paper  $\Rightarrow$  paper  $\Rightarrow$  bool where
eqExcD (Paper title abstract ct reviews dis decs)
    (Paper title1 abstract1 ct1 reviews1 dis1 decs1) =
    (title = title1  $\wedge$  abstract = abstract1  $\wedge$  ct = ct1  $\wedge$  reviews = reviews1  $\wedge$  decs = decs1)

lemma eqExcD:
eqExcD pap pap1 =
    (titlePaper pap = titlePaper pap1  $\wedge$  abstractPaper pap = abstractPaper pap1  $\wedge$ 
     contentPaper pap = contentPaper pap1  $\wedge$ 
     reviewsPaper pap = reviewsPaper pap1  $\wedge$  decsPaper pap = decsPaper pap1)
⟨proof⟩

lemma eqExcD-eq[simp,intro!]: eqExcD pap pap
⟨proof⟩

lemma eqExcD-sym:
assumes eqExcD pap pap1
shows eqExcD pap1 pap
⟨proof⟩

lemma eqExcD-trans:
assumes eqExcD pap pap1 and eqExcD pap1 pap2
shows eqExcD pap pap2
⟨proof⟩

definition eeqExcPID where
eeqExcPID paps paps1  $\equiv$ 
 $\forall$  pid. if pid = PID then eqExcD (paps pid) (paps1 pid) else paps pid = paps1 pid

lemma eeqExcPID-eeq[simp,intro!]: eeqExcPID s s
⟨proof⟩

lemma eeqExcPID-sym:
assumes eeqExcPID s s1 shows eeqExcPID s1 s
⟨proof⟩

lemma eeqExcPID-trans:
assumes eeqExcPID s s1 and eeqExcPID s1 s2 shows eeqExcPID s s2
⟨proof⟩

lemma eeqExcPID-imp:
eeqExcPID paps paps1  $\Longrightarrow$  eqExcD (paps PID) (paps1 PID)
[eeqExcPID paps paps1; pid  $\neq$  PID]  $\Longrightarrow$  paps pid = paps1 pid

```

$\langle proof \rangle$

```
lemma eeqExcPID-cong:  
assumes eeqExcPID paps paps1  
and pid = PID  $\implies$  eqExcD uu uu1  
and pid  $\neq$  PID  $\implies$  uu = uu1  
shows eeqExcPID (paps (pid := uu)) (paps1(pid := uu1))  
 $\langle proof \rangle$ 
```

```
lemma eeqExcPID-RDD:  
eqExcPID paps paps1  $\implies$   
titlePaper (paps PID) = titlePaper (paps1 PID)  $\wedge$   
abstractPaper (paps PID) = abstractPaper (paps1 PID)  $\wedge$   
contentPaper (paps PID) = contentPaper (paps1 PID)  $\wedge$   
reviewsPaper (paps PID) = reviewsPaper (paps1 PID)  $\wedge$   
decsPaper (paps PID) = decsPaper (paps1 PID)  
 $\langle proof \rangle$ 
```

```
definition eeqExcPID :: state  $\Rightarrow$  state  $\Rightarrow$  bool where  
eqExcPID s s1  $\equiv$   
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$   
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1  $\wedge$  roles s = roles  
s1  $\wedge$   
paperIDs s = paperIDs s1  
 $\wedge$   
eqExcPID (paper s) (paper s1)  
 $\wedge$   
pref s = pref s1  $\wedge$   
voronkov s = voronkov s1  $\wedge$   
news s = news s1  $\wedge$  phase s = phase s1
```

```
lemma eeqExcPID-eq[simp,intro!]: eqExcPID s s  
 $\langle proof \rangle$ 
```

```
lemma eeqExcPID-sym:  
assumes eeqExcPID s s1 shows eeqExcPID s1 s  
 $\langle proof \rangle$ 
```

```
lemma eeqExcPID-trans:  
assumes eeqExcPID s s1 and eeqExcPID s1 s2 shows eeqExcPID s s2  
 $\langle proof \rangle$ 
```

```
lemma eeqExcPID-imp:  
eqExcPID s s1  $\implies$   
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$   
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1  $\wedge$  roles s = roles  
s1  $\wedge$ 
```

```

paperIDs s = paperIDs s1
^
eqExcPID (paper s) (paper s1)
^
pref s = pref s1 ^ 
voronkov s = voronkov s1 ^
news s = news s1 ^ phase s = phase s1 ^

getAllPaperIDs s = getAllPaperIDs s1 ^
isRev s cid uid pid = isRev s1 cid uid pid ^
getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid ^
getRevRole s cid uid pid = getRevRole s1 cid uid pid
⟨proof⟩

lemma eqExcPID-imp1:
eqExcPID s s1  $\implies$  eqExcD (paper s pid) (paper s1 pid)
eqExcPID s s1  $\implies$  pid  $\neq$  PID  $\vee$  PID  $\neq$  pid  $\implies$ 
    paper s pid = paper s1 pid ^
    getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID-imp2:
assumes eqExcPID s s1 and pid  $\neq$  PID  $\vee$  PID  $\neq$  pid
shows getReviewersReviews s cid pid = getReviewersReviews s1 cid pid
⟨proof⟩

lemma eqExcPID-RDD:
eqExcPID s s1  $\implies$ 
    titlePaper (paper s PID) = titlePaper (paper s1 PID) ^
    abstractPaper (paper s PID) = abstractPaper (paper s1 PID) ^
    contentPaper (paper s PID) = contentPaper (paper s1 PID) ^
    reviewsPaper (paper s PID) = reviewsPaper (paper s1 PID) ^
    decsPaper (paper s PID) = decsPaper (paper s1 PID)
⟨proof⟩

lemma eqExcPID-cong[simp, intro]:
 $\wedge$  uu1 uu2. eqExcPID s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID (s (confIDs := uu1)) (s1 (confIDs := uu2))
 $\wedge$  uu1 uu2. eqExcPID s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID (s (conf := uu1)) (s1 (conf := uu2))

 $\wedge$  uu1 uu2. eqExcPID s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID (s (userIDs := uu1)) (s1 (userIDs := uu2))
 $\wedge$  uu1 uu2. eqExcPID s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID (s (pass := uu1)) (s1 (pass := uu2))
 $\wedge$  uu1 uu2. eqExcPID s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID (s (user := uu1)) (s1 (user := uu2))
 $\wedge$  uu1 uu2. eqExcPID s s1  $\implies$  uu1 = uu2  $\implies$  eqExcPID (s (roles := uu1)) (s1 (roles := uu2))

```

$$\begin{aligned}
& \wedge_{uu1 uu2. eqExcPID s s1} \Rightarrow uu1 = uu2 \Rightarrow eqExcPID (s (\|paperIDs := uu1\|) \\
& (s1 (\|paperIDs := uu2\|))) \\
& \wedge_{uu1 uu2. eqExcPID s s1} \Rightarrow eeqExcPID uu1 uu2 \Rightarrow eqExcPID (s (\|paper := \\
& uu1\|) (s1 (\|paper := uu2\|))) \\
& \wedge_{uu1 uu2. eqExcPID s s1} \Rightarrow uu1 = uu2 \Rightarrow eqExcPID (s (\|pref := uu1\|) (s1 \\
& (\|pref := uu2\|))) \\
& \wedge_{uu1 uu2. eqExcPID s s1} \Rightarrow uu1 = uu2 \Rightarrow eqExcPID (s (\|voronkov := uu1\|) \\
& (s1 (\|voronkov := uu2\|))) \\
& \wedge_{uu1 uu2. eqExcPID s s1} \Rightarrow uu1 = uu2 \Rightarrow eqExcPID (s (\|news := uu1\|) (s1 \\
& (\|news := uu2\|))) \\
& \wedge_{uu1 uu2. eqExcPID s s1} \Rightarrow uu1 = uu2 \Rightarrow eqExcPID (s (\|phase := uu1\|) (s1 \\
& (\|phase := uu2\|))) \\
& \langle proof \rangle
\end{aligned}$$

**lemma** *eqExcPID-Paper*:  
**assumes**  $s' s 1'$ : *eqExcPID s s1*  
**and** *paper s pid = Paper title abstract content reviews dis decs*  
**and** *paper s1 pid = Paper title1 abstract1 content1 reviews1 dis1 decs1*  
**shows** *title = title1*  $\wedge$  *abstract = abstract1*  $\wedge$  *content = content1*  $\wedge$  *reviews = reviews1*  $\wedge$  *decs = decs1*  
 *$\langle proof \rangle$*

## 7.2 Value Setup

**type-synonym** *value = string*

**fun**  $\varphi :: (state, act, out) trans \Rightarrow bool$  **where**  
 $\varphi (Trans - (UUact (uuDis cid uid p pid com)) ou -) = (pid = PID \wedge ou = outOK)$   
 $\varphi - = False$

**lemma**  *$\varphi$ -def2*:  
 $\varphi (Trans s a ou s') = (\exists cid uid p com. a = UUact (uuDis cid uid p PID com) \wedge$   
 $ou = outOK)$   
 *$\langle proof \rangle$*

**fun**  $f :: (state, act, out) trans \Rightarrow value$  **where**  
 $f (Trans - (UUact (uuDis cid uid p pid com)) - -) = com$

**lemma** *UUact-uuDis-step-eqExcPID*:  
**assumes**  $a: a = UUact (uuDis cid uid p PID com)$   
**and** *step s a = (ou, s')*  
**shows** *eqExcPID s s'*  
 *$\langle proof \rangle$*

**lemma**  *$\varphi$ -step-eqExcPID*:  
**assumes**  $\varphi: \varphi (Trans s a ou s')$

```

and s: step s a = (ou,s')
shows eqExcPID s s'
⟨proof⟩

lemma eqExcPID-step:
assumes s's1': eqExcPID s s1
and step: step s a = (ou,s')
and step1: step s1 a = (ou1,s1')
shows eqExcPID s' s1'
⟨proof⟩

lemma eqExcPID-step-φ-imp:
assumes s's1': eqExcPID s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and φ: φ (Trans s a ou s')
shows φ (Trans s1 a ou1 s1')
⟨proof⟩

lemma eqExcPID-step-φ:
assumes s's1': eqExcPID s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
shows φ (Trans s a ou s') = φ (Trans s1 a ou1 s1')
⟨proof⟩

end
theory Discussion-NCPC
imports .. /Observation-Setup Discussion-Value-Setup Bounded-Deducibility-Security.Compositional-Reasoning
begin

```

### 7.3 Confidentiality protection from non-PC-members

We verify the following property:

A group of users UIDs learn nothing about the various updates of a paper’s discussion (i.e., about the comments being posted on a paper by the PC members) (save for the non-existence of any edit) unless/until a user in UIDs becomes a PC member in the paper’s conference having no conflict with that paper and the conference moves to the discussion phase.

```

fun T :: (state,act,out) trans ⇒ bool where
T (Trans - - ou s') =
(∃ uid ∈ UIDs. ∃ cid.
    PID ∈∈ paperIDs s' cid ∧ isPC s' cid uid ∧ pref s' uid PID ≠ Conflict ∧ phase
    s' cid ≥ disPH
)

```

```

declare T.simps [simp del]

definition B :: value list  $\Rightarrow$  value list  $\Rightarrow$  bool where
B vl vl1  $\equiv$  vl  $\neq$  []

interpretation BD-Security-IO where
 $istate = istate \text{ and } step = step \text{ and }$ 
 $\varphi = \varphi \text{ and } f = f \text{ and } \gamma = \gamma \text{ and } g = g \text{ and } T = T \text{ and } B = B$ 
⟨proof⟩

lemma reachNT-non-isPC-isChair:
assumes reachNT s and uid ∈ UIDs
shows
 $(PID \in paperIDs s cid \wedge isPC s cid uid \wedge phase s cid \geq disPH \longrightarrow pref s uid PID = Conflict) \wedge$ 
 $(PID \in paperIDs s cid \wedge isChair s cid uid \wedge phase s cid \geq disPH \longrightarrow pref s uid PID = Conflict)$ 
⟨proof⟩

lemma T-φ-γ:
assumes 1: reachNT s and 2: step s a = (ou,s') φ (Trans s a ou s')
shows  $\neg \gamma$  (Trans s a ou s')
⟨proof⟩

lemma eqExcPID-step-out:
assumes s's1': eqExcPID s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and sT: reachNT s and s1: reach s1
and PID: PID ∈ paperIDs s cid
and UIDs: userOfA a ∈ UIDs
shows ou = ou1
⟨proof⟩

definition Δ1 :: state  $\Rightarrow$  value list  $\Rightarrow$  state  $\Rightarrow$  value list  $\Rightarrow$  bool where
Δ1 s vl s1 vl1  $\equiv$ 
 $(\forall cid. PID \in paperIDs s cid \longrightarrow phase s cid < disPH) \wedge s = s1 \wedge B vl vl1$ 

definition Δ2 :: state  $\Rightarrow$  value list  $\Rightarrow$  state  $\Rightarrow$  value list  $\Rightarrow$  bool where
Δ2 s vl s1 vl1  $\equiv$ 
 $\exists cid uid.$ 
 $PID \in paperIDs s cid \wedge phase s cid = disPH \wedge$ 
 $isPC s cid uid \wedge pref s uid PID \neq Conflict$ 
 $\wedge eqExcPID s s1$ 

definition Δ3 :: state  $\Rightarrow$  value list  $\Rightarrow$  state  $\Rightarrow$  value list  $\Rightarrow$  bool where
Δ3 s vl s1 vl1  $\equiv$ 

```

```

 $\exists \text{ } cid. \text{ } PID \in \text{paperIDs } s \text{ } cid \wedge \text{phase } s \text{ } cid > disPH \wedge \text{eqExcPID } s \text{ } s1 \wedge vl1 = \emptyset$ 

definition  $\Delta e :: state \Rightarrow value \text{ list} \Rightarrow state \Rightarrow value \text{ list} \Rightarrow \text{bool}$  where
 $\Delta e s \text{ } vl \text{ } s1 \text{ } vl1 \equiv$ 
 $vl \neq \emptyset \wedge$ 
 $(\exists \text{ } cid. \text{ } PID \in \text{paperIDs } s \text{ } cid \wedge \text{phase } s \text{ } cid \geq disPH \wedge$ 
 $\neg (\exists \text{ } uid. \text{ } isPC } s \text{ } cid \text{ } uid \wedge \text{pref } s \text{ } uid \text{ } PID \neq Conflict)$ 
 $)$ 

lemma init- $\Delta 1$ :
assumes  $B: B \text{ } vl \text{ } vl1$ 
shows  $\Delta 1 \text{ } istate \text{ } vl \text{ } istate \text{ } vl1$ 
<proof>

lemma unwind-cont- $\Delta 1$ : unwind-cont  $\Delta 1 \{ \Delta 1, \Delta 2, \Delta e \}$ 
<proof>

lemma unwind-cont- $\Delta 2$ : unwind-cont  $\Delta 2 \{ \Delta 2, \Delta 3, \Delta e \}$ 
<proof>

lemma unwind-cont- $\Delta 3$ : unwind-cont  $\Delta 3 \{ \Delta 3, \Delta e \}$ 
<proof>

definition K1exit where
K1exit  $cid \text{ } s \equiv$ 
 $(PID \in \text{paperIDs } s \text{ } cid \wedge \text{phase } s \text{ } cid \geq disPH \wedge$ 
 $\neg (\exists \text{ } uid. \text{ } isPC } s \text{ } cid \text{ } uid \wedge \text{pref } s \text{ } uid \text{ } PID \neq Conflict))$ 

lemma invarNT-K1exit: invarNT (K1exit  $cid$ )
<proof>

lemma noVal-K1exit: noVal (K1exit  $cid$ )  $v$ 
<proof>

lemma unwind-exit- $\Delta e$ : unwind-exit  $\Delta e$ 
<proof>

theorem secure: secure
<proof>

end
theory Discussion-All
imports
Discussion-NCPC
begin

```

```

end
theory Decision-Intro
imports ../Safety-Properties
begin

```

## 8 Decision Confidentiality

In this section, we prove confidentiality properties for the accept-reject decision of papers submitted to a conference. The secrets (values) of interest are therefore the different updates of the decision of a given paper with id PID.

Here, we have two points of compromise between the bound and the trigger (which yield two properties). Let

- T1 denote “PC membership having no conflict with that paper and the conference having moved to the discussion stage”
- T2 denote “PC membership or authorship, and the conference having moved to the notification phase”

The two trigger-bound combinations are:

- weak trigger (T1 or T2) paired with strong bound (allowing to learn almost nothing)
- strong trigger (T1) paired with weak bound (allowing to learn the last updated version of the decision)

```

end
theory Decision-Value-Setup
imports Decision-Intro
begin

```

The ID of the paper under scrutiny:

**consts** *PID* :: *paperID*

### 8.1 Preliminaries

**declare** *updates-commute-paper*[*simp*]

```

fun eqExcD :: paper  $\Rightarrow$  paper  $\Rightarrow$  bool where
eqExcD (Paper title abstract ct reviews dis decs)
  (Paper title1 abstract1 ct1 reviews1 dis1 decs1) =
  (title = title1  $\wedge$  abstract = abstract1  $\wedge$  ct = ct1  $\wedge$  reviews = reviews1  $\wedge$  dis = dis1)

```

```

lemma eqExcD:
eqExcD pap pap1 =
(titlePaper pap = titlePaper pap1 ∧ abstractPaper pap = abstractPaper pap1 ∧
contentPaper pap = contentPaper pap1 ∧
reviewsPaper pap = reviewsPaper pap1 ∧ disPaper pap = disPaper pap1)
⟨proof⟩

lemma eqExcD-eq[simp,intro!]: eqExcD pap pap
⟨proof⟩

lemma eqExcD-sym:
assumes eqExcD pap pap1
shows eqExcD pap1 pap
⟨proof⟩

lemma eqExcD-trans:
assumes eqExcD pap pap1 and eqExcD pap1 pap2
shows eqExcD pap pap2
⟨proof⟩

definition eeqExcPID where
eeqExcPID paps paps1 ≡
∀ pid. if pid = PID then eqExcD (paps pid) (paps1 pid) else paps pid = paps1 pid

lemma eeqExcPID-eeq[simp,intro!]: eeqExcPID s s
⟨proof⟩

lemma eeqExcPID-sym:
assumes eeqExcPID s s1 shows eeqExcPID s1 s
⟨proof⟩

lemma eeqExcPID-trans:
assumes eeqExcPID s s1 and eeqExcPID s1 s2 shows eeqExcPID s s2
⟨proof⟩

lemma eeqExcPID-imp:
eeqExcPID paps paps1  $\implies$  eqExcD (paps PID) (paps1 PID)
[eeqExcPID paps paps1; pid ≠ PID]  $\implies$  paps pid = paps1 pid
⟨proof⟩

lemma eeqExcPID-cong:
assumes eeqExcPID paps paps1
and pid = PID  $\implies$  eqExcD uu uu1
and pid ≠ PID  $\implies$  uu = uu1
shows eeqExcPID (paps (pid := uu)) (paps1 (pid := uu1))
⟨proof⟩

lemma eeqExcPID-RDD:

```

```

 $\text{eqExcPID } \text{paps paps1} \implies$ 
 $\text{titlePaper } (\text{paps PID}) = \text{titlePaper } (\text{paps1 PID}) \wedge$ 
 $\text{abstractPaper } (\text{paps PID}) = \text{abstractPaper } (\text{paps1 PID}) \wedge$ 
 $\text{contentPaper } (\text{paps PID}) = \text{contentPaper } (\text{paps1 PID}) \wedge$ 
 $\text{reviewsPaper } (\text{paps PID}) = \text{reviewsPaper } (\text{paps1 PID}) \wedge$ 
 $\text{disPaper } (\text{paps PID}) = \text{disPaper } (\text{paps1 PID})$ 
 $\langle \text{proof} \rangle$ 

```

```

definition eqExcPID :: state  $\Rightarrow$  state  $\Rightarrow$  bool where
eqExcPID s s1  $\equiv$ 
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$ 
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1  $\wedge$  roles s = roles
s1  $\wedge$ 
paperIDs s = paperIDs s1
 $\wedge$ 
eqExcPID (paper s) (paper s1)
 $\wedge$ 
pref s = pref s1  $\wedge$ 
voronkov s = voronkov s1  $\wedge$ 
news s = news s1  $\wedge$  phase s = phase s1

```

```

lemma eqExcPID-eq[simp,intro!]: eqExcPID s s
 $\langle \text{proof} \rangle$ 

```

```

lemma eqExcPID-sym:
assumes eqExcPID s s1 shows eqExcPID s1 s
 $\langle \text{proof} \rangle$ 

```

```

lemma eqExcPID-trans:
assumes eqExcPID s s1 and eqExcPID s1 s2 shows eqExcPID s s2
 $\langle \text{proof} \rangle$ 

```

```

lemma eqExcPID-imp:
eqExcPID s s1  $\implies$ 
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$ 
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1  $\wedge$  roles s = roles
s1  $\wedge$ 
paperIDs s = paperIDs s1
 $\wedge$ 
eqExcPID (paper s) (paper s1)
 $\wedge$ 
pref s = pref s1  $\wedge$ 
voronkov s = voronkov s1  $\wedge$ 
news s = news s1  $\wedge$  phase s = phase s1  $\wedge$ 
getAllPaperIDs s = getAllPaperIDs s1  $\wedge$ 
isRev s cid uid pid = isRev s1 cid uid pid  $\wedge$ 

```

$\text{getReviewIndex } s \text{ cid uid pid} = \text{getReviewIndex } s1 \text{ cid uid pid} \wedge$   
 $\text{getRevRole } s \text{ cid uid pid} = \text{getRevRole } s1 \text{ cid uid pid}$   
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-imp1*:  
 $\text{eqExcPID } s \text{ s1} \implies \text{eqExcD } (\text{paper } s \text{ pid}) (\text{paper } s1 \text{ pid})$   
 $\text{eqExcPID } s \text{ s1} \implies \text{pid} \neq \text{PID} \vee \text{PID} \neq \text{pid} \implies$   
 $\text{paper } s \text{ pid} = \text{paper } s1 \text{ pid} \wedge$   
 $\text{getNthReview } s \text{ pid n} = \text{getNthReview } s1 \text{ pid n}$   
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-imp2*:  
**assumes**  $\text{eqExcPID } s \text{ s1}$  **and**  $\text{pid} \neq \text{PID} \vee \text{PID} \neq \text{pid}$   
**shows**  $\text{getReviewersReviews } s \text{ cid pid} = \text{getReviewersReviews } s1 \text{ cid pid}$   
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-RDD*:  
 $\text{eqExcPID } s \text{ s1} \implies$   
 $\text{titlePaper } (\text{paper } s \text{ PID}) = \text{titlePaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{abstractPaper } (\text{paper } s \text{ PID}) = \text{abstractPaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{contentPaper } (\text{paper } s \text{ PID}) = \text{contentPaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{reviewsPaper } (\text{paper } s \text{ PID}) = \text{reviewsPaper } (\text{paper } s1 \text{ PID}) \wedge$   
 $\text{disPaper } (\text{paper } s \text{ PID}) = \text{disPaper } (\text{paper } s1 \text{ PID})$   
 $\langle \text{proof} \rangle$

**lemma** *eqExcPID-cong*[simp, intro]:  
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{confIDs} := uu1))$   
 $(s1 (\text{confIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{conf} := uu1))$   
 $(s1 (\text{conf} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{userIDs} := uu1))$   
 $(s1 (\text{userIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{pass} := uu1))$   
 $(s1 (\text{pass} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{user} := uu1))$   
 $(s1 (\text{user} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{roles} := uu1))$   
 $(s1 (\text{roles} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{paperIDs} := uu1))$   
 $(s1 (\text{paperIDs} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies eeqExcPID uu1 uu2 \implies \text{eqExcPID } (s (\text{paper} := uu1))$   
 $(s1 (\text{paper} := uu2))$

$\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{pref} := uu1))$   
 $(s1 (\text{pref} := uu2))$   
 $\bigwedge uu1 uu2. \text{eqExcPID } s \text{ s1} \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{voronkov} := uu1))$   
 $(s1 (\text{voronkov} := uu2))$

$$\begin{aligned} & \wedge uu1 uu2. \text{eqExcPID } s s1 \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{news} := uu1)) (s1 \\ & (\text{news} := uu2)) \\ & \wedge uu1 uu2. \text{eqExcPID } s s1 \implies uu1 = uu2 \implies \text{eqExcPID } (s (\text{phase} := uu1)) (s1 \\ & (\text{phase} := uu2)) \\ & \langle \text{proof} \rangle \end{aligned}$$

```
lemma eqExcPID-Paper:
assumes s's1': eqExcPID s s1
and paper s pid = Paper title abstract content reviews dis decs
and paper s1 pid = Paper title1 abstract1 content1 reviews1 dis1 decs1
shows title = title1  $\wedge$  abstract = abstract1  $\wedge$  content = content1  $\wedge$  reviews = reviews1  $\wedge$  dis = dis1
proof
```

Weaker equivalence relations that allow differences in the final decision. This is used for verifying the confidentiality property that only protects earlier updates to the decision.

```
fun eqExcD2 :: paper  $\Rightarrow$  paper  $\Rightarrow$  bool where
eqExcD2 (Paper title abstract ct reviews dis decs )
    (Paper title1 abstract1 ct1 reviews1 dis1 decs1) =
    (title = title1  $\wedge$  abstract = abstract1  $\wedge$  ct = ct1  $\wedge$  reviews = reviews1  $\wedge$  dis =
    dis1  $\wedge$ 
    hd decs = hd decs1)
```

```
lemma eqExcD2:
eqExcD2 pap pap1 =
(titlePaper pap = titlePaper pap1  $\wedge$  abstractPaper pap = abstractPaper pap1  $\wedge$ 
contentPaper pap = contentPaper pap1  $\wedge$ 
reviewsPaper pap = reviewsPaper pap1  $\wedge$  disPaper pap = disPaper pap1  $\wedge$ 
hd (decsPaper pap) = hd (decsPaper pap1))
proof
```

```
lemma eqExcD2-eq[simp,intro!]: eqExcD2 pap pap
proof
```

```
lemma eqExcD2-sym:
assumes eqExcD2 pap pap1
shows eqExcD2 pap1 pap
proof
```

```
lemma eqExcD2-trans:
assumes eqExcD2 pap pap1 and eqExcD2 pap1 pap2
shows eqExcD2 pap pap2
proof
```

```
definition eeEqExcPID2 where
eeEqExcPID2 paps paps1  $\equiv$ 
```

$\forall pid. \text{ if } pid = PID \text{ then } eqExcD2 (paps pid) (paps1 pid) \text{ else } paps pid = paps1 pid$

**lemma** *eqExcPID2-eq[simp,intro!]*: *eqExcPID2 s s*  
*(proof)*

**lemma** *eqExcPID2-sym*:  
**assumes** *eqExcPID2 s s1* **shows** *eqExcPID2 s1 s*  
*(proof)*

**lemma** *eqExcPID2-trans*:  
**assumes** *eqExcPID2 s s1* **and** *eqExcPID2 s1 s2* **shows** *eqExcPID2 s s2*  
*(proof)*

**lemma** *eqExcPID2-imp*:  
*eqExcPID2 paps paps1*  $\implies$  *eqExcD2 (paps PID) (paps1 PID)*  
 $\llbracket \text{eqExcPID2 paps paps1; pid} \neq PID \rrbracket \implies paps pid = paps1 pid$   
*(proof)*

**lemma** *eqExcPID2-cong*:  
**assumes** *eqExcPID2 paps paps1*  
**and** *pid = PID*  $\implies$  *eqExcD2 uu uu1*  
**and** *pid  $\neq$  PID*  $\implies$  *uu = uu1*  
**shows** *eqExcPID2 (paps (pid := uu)) (paps1 (pid := uu1))*  
*(proof)*

**lemma** *eqExcPID2-RDD*:  
*eqExcPID2 paps paps1*  $\implies$   
*titlePaper (paps PID) = titlePaper (paps1 PID) \wedge*  
*abstractPaper (paps PID) = abstractPaper (paps1 PID) \wedge*  
*contentPaper (paps PID) = contentPaper (paps1 PID) \wedge*  
*reviewsPaper (paps PID) = reviewsPaper (paps1 PID) \wedge*  
*disPaper (paps PID) = disPaper (paps1 PID) \wedge*  
*hd (decsPaper (paps PID)) = hd (decsPaper (paps1 PID))*  
*(proof)*

**definition** *eqExcPID2 :: state  $\Rightarrow$  state  $\Rightarrow$  bool* **where**  
*eqExcPID2 s s1*  $\equiv$   
*confIDs s = confIDs s1 \wedge conf s = conf s1 \wedge*  
*userID s = userID s1 \wedge pass s = pass s1 \wedge user s = user s1 \wedge roles s = roles*  
*s1 \wedge*  
*paperIDs s = paperIDs s1*  
*\wedge*  
*eqExcPID2 (paper s) (paper s1)*  
*\wedge*  
*pref s = pref s1 \wedge*  
*voronkov s = voronkov s1 \wedge*  
*news s = news s1 \wedge phase s = phase s1*

```

lemma eqExcPID2-eq[simp,intro!]: eqExcPID2 s s
⟨proof⟩

lemma eqExcPID2-sym:
assumes eqExcPID2 s s1 shows eqExcPID2 s1 s
⟨proof⟩

lemma eqExcPID2-trans:
assumes eqExcPID2 s s1 and eqExcPID2 s1 s2 shows eqExcPID2 s s2
⟨proof⟩

lemma eqExcPID2-imp:
eqExcPID2 s s1 ==>
confIDs s = confIDs s1 ∧ conf s = conf s1 ∧
userIDs s = userIDs s1 ∧ pass s = pass s1 ∧ user s = user s1 ∧ roles s = roles
s1 ∧
paperIDs s = paperIDs s1
∧
eeqExcPID2 (paper s) (paper s1)
∧
pref s = pref s1 ∧
voronkov s = voronkov s1 ∧
news s = news s1 ∧ phase s = phase s1 ∧

getAllPaperIDs s = getAllPaperIDs s1 ∧
isRev s cid uid pid = isRev s1 cid uid pid ∧
getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid ∧
getRevRole s cid uid pid = getRevRole s1 cid uid pid
⟨proof⟩

lemma eqExcPID2-imp1:
eqExcPID2 s s1 ==> eqExcD2 (paper s pid) (paper s1 pid)
eqExcPID2 s s1 ==> pid ≠ PID ∨ PID ≠ pid ==>
paper s pid = paper s1 pid ∧
getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID2-imp2:
assumes eqExcPID2 s s1 and pid ≠ PID ∨ PID ≠ pid
shows getReviewersReviews s cid pid = getReviewersReviews s1 cid pid
⟨proof⟩

lemma eqExcPID2-RDD:
eqExcPID2 s s1 ==>
titlePaper (paper s PID) = titlePaper (paper s1 PID) ∧
abstractPaper (paper s PID) = abstractPaper (paper s1 PID) ∧
contentPaper (paper s PID) = contentPaper (paper s1 PID) ∧

```

$\text{reviewsPaper}(\text{paper } s \text{ PID}) = \text{reviewsPaper}(\text{paper } s1 \text{ PID}) \wedge$   
 $\text{disPaper}(\text{paper } s \text{ PID}) = \text{disPaper}(\text{paper } s1 \text{ PID})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID2-cong}[\text{simp}, \text{intro}]:$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{confIDs} := uu1))$   
 $(s1 \ (\text{confIDs} := uu2))$   
 $\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{conf} := uu1))$   
 $(s1 \ (\text{conf} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{userIDs} := uu1))$   
 $(s1 \ (\text{userIDs} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{pass} := uu1))$   
 $(s1 \ (\text{pass} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{user} := uu1))$   
 $(s1 \ (\text{user} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{roles} := uu1))$   
 $(s1 \ (\text{roles} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{paperIDs} := uu1))$   
 $(s1 \ (\text{paperIDs} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies \text{eqExcPID2}(uu1\ uu2) \implies \text{eqExcPID2}(s \ (\text{paper} := uu1))$   
 $(s1 \ (\text{paper} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{pref} := uu1))$   
 $(s1 \ (\text{pref} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{voronkov} := uu1))$   
 $(s1 \ (\text{voronkov} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{news} := uu1))$   
 $(s1 \ (\text{news} := uu2))$

$\bigwedge uu1\ uu2. \text{eqExcPID2 } s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}(s \ (\text{phase} := uu1))$   
 $(s1 \ (\text{phase} := uu2))$

$\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID2-Paper}:$

**assumes**  $s's1': \text{eqExcPID2 } s\ s1$

**and**  $\text{paper } s \text{ pid} = \text{Paper title abstract content reviews dis decs}$

**and**  $\text{paper } s1 \text{ pid} = \text{Paper title1 abstract1 content1 reviews1 dis1 decs1}$

**shows**  $\text{title} = \text{title1} \wedge \text{abstract} = \text{abstract1} \wedge \text{content} = \text{content1} \wedge \text{reviews} = \text{reviews1} \wedge$   
 $\text{dis} = \text{dis1}$

$\langle \text{proof} \rangle$

## 8.2 Value Setup

**type-synonym**  $\text{value} = \text{decision}$

**fun**  $\varphi :: (\text{state}, \text{act}, \text{out}) \text{ trans} \Rightarrow \text{bool}$  **where**

$\varphi(\text{Trans} - (\text{UUact } (\text{uuDec } \text{cid } \text{uid } p \text{ pid } \text{dec})) \text{ ou } -) = (\text{pid} = \text{PID} \wedge \text{ou} = \text{outOK})$

|  
 $\varphi \dashv = False$

**lemma**  $\varphi\text{-def2}:$

$\varphi(Trans s a ou s') = (\exists cid uid p dec. a = UUact(uuDec cid uid p PID dec) \wedge ou = outOK)$   
 $\langle proof \rangle$

**fun**  $f :: (state, act, out) trans \Rightarrow value$  **where**  
 $f(Trans - (UUact(uuDec cid uid p pid dec)) - -) = dec$

**lemma**  $UUact\text{-uuDec-step-eqExcPID}:$

**assumes**  $a: a = UUact(uuDec cid uid p PID dec)$   
**and**  $step s a = (ou, s')$   
**shows**  $eqExcPID s s'$   
 $\langle proof \rangle$

**lemma**  $\varphi\text{-step-eqExcPID}:$

**assumes**  $\varphi: \varphi(Trans s a ou s')$   
**and**  $s: step s a = (ou, s')$   
**shows**  $eqExcPID s s'$   
 $\langle proof \rangle$

**lemma**  $eqExcPID\text{-step}:$

**assumes**  $s' s 1': eqExcPID s s 1$   
**and**  $step: step s a = (ou, s')$   
**and**  $step 1: step s 1 a = (ou 1, s 1')$   
**shows**  $eqExcPID s' s 1'$   
 $\langle proof \rangle$

**lemma**  $eqExcPID\text{-step-}\varphi\text{-imp}:$

**assumes**  $s' s 1': eqExcPID s s 1$   
**and**  $step: step s a = (ou, s')$  **and**  $step 1: step s 1 a = (ou 1, s 1')$   
**and**  $\varphi: \varphi(Trans s a ou s')$   
**shows**  $\varphi(Trans s 1 a ou 1 s 1')$   
 $\langle proof \rangle$

**lemma**  $eqExcPID\text{-step-}\varphi:$

**assumes**  $s' s 1': eqExcPID s s 1$   
**and**  $step: step s a = (ou, s')$  **and**  $step 1: step s 1 a = (ou 1, s 1')$   
**shows**  $\varphi(Trans s a ou s') = \varphi(Trans s 1 a ou 1 s 1')$   
 $\langle proof \rangle$

**lemma**  $eqExcPID2\text{-step}:$

**assumes**  $s' s 1': eqExcPID2 s s 1$   
**and**  $step: step s a = (ou, s')$

```

and step1: step s1 a = (ou1,s1')
shows eqExcPID2 s' s1'
⟨proof⟩

lemma eqExcPID2-step- $\varphi$ -imp:
assumes s's1': eqExcPID2 s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and  $\varphi$ :  $\varphi$  (Trans s a ou s')
shows  $\varphi$  (Trans s1 a ou1 s1')
⟨proof⟩

lemma eqExcPID2-step- $\varphi$ :
assumes s's1': eqExcPID2 s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
shows  $\varphi$  (Trans s a ou s') =  $\varphi$  (Trans s1 a ou1 s1')
⟨proof⟩

end
theory Decision-NCPC
imports .. / Observation-Setup Decision-Value-Setup Bounded-Deducibility-Security.Compositional-Reasoning
begin

```

### 8.3 Confidentiality protection from non-PC-members

We verify the following property:

A group of users UIDs learn nothing about the various updates of a paper's decision except for the last edited version unless/until a user in UIDs becomes PC member in the paper's conference having no conflict with that paper and the conference moves to the decision stage.

```

fun T :: (state,act,out) trans  $\Rightarrow$  bool where
T (Trans - - ou s') =
( $\exists$  uid  $\in$  UIDs.  $\exists$  cid.
 PID  $\in \in$  paperIDs s' cid  $\wedge$  isPC s' cid uid  $\wedge$  pref s' uid PID  $\neq$  Conflict  $\wedge$ 
 phase s' cid  $\geq$  disPH
)

declare T.simps [simp del]

definition B :: value list  $\Rightarrow$  value list  $\Rightarrow$  bool where
B vl vl1  $\equiv$  vl  $\neq$  []  $\wedge$  vl1  $\neq$  []  $\wedge$  last vl = last vl1

interpretation BD-Security-IO where
istate = istate and step = step and
 $\varphi$  =  $\varphi$  and f = f and  $\gamma$  =  $\gamma$  and g = g and T = T and B = B

```

$\langle proof \rangle$

**lemma** *reachNT-non-isPC-isChair*:  
**assumes** *reachNT s* **and** *uid*  $\in$  *UIDs*  
**shows**  
 $(PID \in \in paperIDs s cid \wedge isPC s cid uid \wedge phase s cid \geq disPH \rightarrow pref s uid PID = Conflict) \wedge$   
 $(PID \in \in paperIDs s cid \wedge isChair s cid uid \wedge phase s cid \geq disPH \rightarrow pref s uid PID = Conflict)$   
 $\langle proof \rangle$

**lemma** *T- $\varphi$ - $\gamma$* :  
**assumes** 1: *reachNT s* **and** 2: *step s a = (ou,s')*  $\varphi$  (*Trans s a ou s'*)  
**shows**  $\neg \gamma$  (*Trans s a ou s'*)  
 $\langle proof \rangle$

**lemma** *eqExcPID2-eqExcPID*:  
*eqExcPID2 s s1*  $\implies$  *eqExcPID s s1*  
 $\langle proof \rangle$

**lemma** *eqExcPID-step-out*:  
**assumes** *s's1': eqExcPID s s1*  
**and** *step: step s a = (ou,s')* **and** *step1: step s1 a = (ou1,s1')*  
**and** *sT: reachNT s* **and** *s1: reach s1*  
**and** *PID: PID  $\in \in$  paperIDs s cid*  
**and** *ph: phase s cid = disPH*  
**and** *UIDs: userOfA a  $\in$  UIDs*  
**shows** *ou = ou1*  
 $\langle proof \rangle$

**lemma** *eqExcPID2-step-out*:  
**assumes** *ss1: eqExcPID2 s s1*  
**and** *step: step s a = (ou,s')* **and** *step1: step s1 a = (ou1,s1')*  
**and** *sT: reachNT s* **and** *s1: reach s1*  
**and** *PID: PID  $\in \in$  paperIDs s cid*  
**and** *ph: phase s cid \geq disPH*  
**and** *UIDs: userOfA a  $\in$  UIDs*  
**and** *decs-exit: decsPaper (paper s PID) \neq [] decsPaper (paper s1 PID) \neq []*  
**shows** *ou = ou1*  
 $\langle proof \rangle$

**lemma** *eqExcPID-step-eqExcPID2*:  
**assumes** *a: a = UUact (uuDec cid uid p PID dec)*  
**and** *ss1: eqExcPID s s1*  
**and** *step: step s a = (outOK,s')* **and** *step1: step s1 a = (outOK,s1')*  
**and** *s: reach s reach s1* **and** *PID: PID  $\in \in$  paperIDs s cid* **and** *ph: phase s cid < notifPH*  
**shows** *eqExcPID2 s' s1'*  
 $\langle proof \rangle$

```

lemma eqExcPID-step- $\varphi$ -eqExcPID2:
assumes ss1: eqExcPID s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and  $\varphi$ :  $\varphi$  (Trans s a ou s')
and s: reach s reach s1 and PID: PID  $\in \in$  paperIDs s cid and ph: phase s cid  $\leq$  disPH
shows eqExcPID2 s' s1'
⟨proof⟩

definition  $\Delta_1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_1 s\ vl\ s1\ vl1 \equiv$ 
 $(\forall\ cid.\ PID \in \in \text{paperIDs}\ s\ cid \longrightarrow \text{phase}\ s\ cid < \text{disPH}) \wedge$ 
 $s = s1 \wedge B\ vl\ vl1$ 

definition  $\Delta_2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_2 s\ vl\ s1\ vl1 \equiv$ 
 $\exists\ cid\ uid.$ 
 $PID \in \in \text{paperIDs}\ s\ cid \wedge \text{phase}\ s\ cid = \text{disPH} \wedge$ 
 $\text{isChair}\ s\ cid\ uid \wedge \text{pref}\ s\ uid\ PID \neq \text{Conflict} \wedge$ 
 $\text{eqExcPID}\ s\ s1 \wedge B\ vl\ vl1$ 

definition  $\Delta_3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_3 s\ vl\ s1\ vl1 \equiv$ 
 $\exists\ cid.$ 
 $PID \in \in \text{paperIDs}\ s\ cid \wedge \text{phase}\ s\ cid \geq \text{disPH} \wedge$ 
 $\text{decsPaper}\ (\text{paper}\ s\ PID) \neq [] \wedge \text{decsPaper}\ (\text{paper}\ s1\ PID) \neq [] \wedge \text{eqExcPID2}\ s$ 
 $s1 \wedge$ 
 $vl = [] \wedge vl1 = []$ 

definition  $\Delta_e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_e s\ vl\ s1\ vl1 \equiv$ 
 $vl \neq [] \wedge$ 
 $((\exists\ cid.\ PID \in \in \text{paperIDs}\ s\ cid \wedge \text{phase}\ s\ cid > \text{disPH})$ 
 $\vee$ 
 $(\exists\ cid.\ PID \in \in \text{paperIDs}\ s\ cid \wedge \text{phase}\ s\ cid \geq \text{disPH} \wedge$ 
 $\neg(\exists\ uid.\ \text{isChair}\ s\ cid\ uid \wedge \text{pref}\ s\ uid\ PID \neq \text{Conflict}))$ 
 $)$ 

lemma istate- $\Delta_1$ :
assumes B: B vl vl1
shows  $\Delta_1$  istate vl istate vl1
⟨proof⟩

lemma unwind-cont- $\Delta_1$ : unwind-cont  $\Delta_1 \{\Delta_1, \Delta_2, \Delta_e\}$ 
⟨proof⟩

```

```

lemma unwind-cont- $\Delta 2$ : unwind-cont  $\Delta 2 \{\Delta 2, \Delta 3, \Delta e\}$ 
⟨proof⟩

lemma unwind-cont- $\Delta 3$ : unwind-cont  $\Delta 3 \{\Delta 3, \Delta e\}$ 
⟨proof⟩

definition K1exit where
K1exit cid s ≡
phase s cid ≥ disPH ∧ PID ∈∈ paperIDs s cid ∧ ¬ (exists uid. isChair s cid uid ∧
pref s uid PID ≠ Conflict)

lemma invarNT-K1exit: invarNT (K1exit cid)
⟨proof⟩

lemma noVal-K1exit: noVal (K1exit cid) v
⟨proof⟩

definition K2exit where
K2exit cid s ≡ PID ∈∈ paperIDs s cid ∧ phase s cid > disPH

lemma invarNT-K2exit: invarNT (K2exit cid)
⟨proof⟩

lemma noVal-K2exit: noVal (K2exit cid) v
⟨proof⟩

lemma unwind-exit- $\Delta e$ : unwind-exit  $\Delta e$ 
⟨proof⟩

theorem secure: secure
⟨proof⟩

end
theory Decision-NCPC-Aut
imports .. / Observation-Setup Decision-Value-Setup Bounded-Deducibility-Security Compositional-Reasoning
begin

```

## 8.4 Confidentiality protection from users who are not PC members or authors of the paper

We verify the following property:

A group of users UIDs learn nothing about the various updates of a paper's decision (save for the non-existence of any update) unless/until one of the following happens:

- a user in UIDs becomes a PC member in the paper's conference having

no conflict with that paper and the conference moves to the discussion phase, or

- a user in UIDs becomes a PC member in the paper's conference or an author of the paper, and the conference moves to the notification phase

```

fun  $T :: (state, act, out) \ trans \Rightarrow \text{bool}$  where
 $T (Trans - - ou s') =$ 
 $(\exists \ uid \in \text{UIDs}.$ 
 $(\exists \ cid. \ PID \in \in \text{paperIDs} \ s' \ cid \wedge \text{isPC} \ s' \ cid \ uid \wedge$ 
 $\text{pref } s' \ uid \ PID \neq \text{Conflict} \wedge \text{phase } s' \ cid \geq \text{disPH})$ 
 $\vee$ 
 $(\exists \ cid. \ PID \in \in \text{paperIDs} \ s' \ cid \wedge \text{isPC} \ s' \ cid \ uid \wedge \text{phase } s' \ cid \geq \text{notifPH})$ 
 $\vee$ 
 $\text{isAUT } s' \ uid \ PID \wedge (\exists \ cid. \ PID \in \in \text{paperIDs} \ s' \ cid \wedge \text{phase } s' \ cid \geq \text{notifPH})$ 
 $)$ 

declare  $T.\text{simp} [simp \ del]$ 

definition  $B :: \text{value list} \Rightarrow \text{value list} \Rightarrow \text{bool}$  where
 $B \ vl \ vl1 \equiv vl \neq []$ 

interpretation  $BD\text{-Security-IO}$  where
 $istate = istate \text{ and } step = step \text{ and }$ 
 $\varphi = \varphi \text{ and } f = f \text{ and } \gamma = \gamma \text{ and } g = g \text{ and } T = T \text{ and } B = B$ 
 $\langle proof \rangle$ 

lemma  $\text{reachNT-}non\text{-isPC-}isChair:$ 
assumes  $\text{reachNT } s \text{ and } uid \in \text{UIDs}$ 
shows
 $(PID \in \in \text{paperIDs} \ s \ cid \wedge \text{isPC} \ s \ cid \ uid \wedge \text{phase } s \ cid \geq \text{disPH}$ 
 $\longrightarrow \text{pref } s \ uid \ PID = \text{Conflict} \wedge \text{phase } s \ cid < \text{notifPH}) \wedge$ 
 $(PID \in \in \text{paperIDs} \ s \ cid \wedge \text{isChair} \ s \ cid \ uid \wedge \text{phase } s \ cid \geq \text{disPH}$ 
 $\longrightarrow \text{pref } s \ uid \ PID = \text{Conflict} \wedge \text{phase } s \ cid < \text{notifPH}) \wedge$ 
 $(\text{isAut } s \ cid \ uid \ PID \longrightarrow \text{phase } s \ cid < \text{notifPH})$ 
 $\langle proof \rangle$ 

lemma  $T\text{-}\varphi\text{-}\gamma:$ 
assumes  $1: \text{reachNT } s \text{ and } 2: \text{step } s \ a = (ou, s') \ \varphi \ (Trans \ s \ a \ ou \ s')$ 
shows  $\neg \gamma \ (Trans \ s \ a \ ou \ s')$ 
 $\langle proof \rangle$ 

lemma  $eqExcPID\text{-}step\text{-}out:$ 
assumes  $s's1': eqExcPID \ s \ s1$ 
and  $\text{step: step } s \ a = (ou, s') \text{ and step1: step } s1 \ a = (ou1, s1')$ 
and  $sT: \text{reachNT } s \text{ and } s1: \text{reach } s1$ 
and  $PID: PID \in \in \text{paperIDs} \ s \ cid$ 
```

**and UIDs:**  $userOfA\ a \in UIDs$   
**shows**  $ou = ou1$   
 $\langle proof \rangle$

**definition**  $\Delta 1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta 1 s\ vl\ s1\ vl1 \equiv$   
 $(\forall\ cid.\ PID \in paperIDs\ s\ cid \longrightarrow phase\ s\ cid < disPH) \wedge s = s1 \wedge B\ vl\ vl1$

**definition**  $\Delta 2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta 2 s\ vl\ s1\ vl1 \equiv$   
 $\exists\ cid\ uid.$   
 $PID \in paperIDs\ s\ cid \wedge phase\ s\ cid = disPH \wedge$   
 $isChair\ s\ cid\ uid \wedge pref\ s\ uid\ PID \neq Conflict \wedge$   
 $eqExcPID\ s\ s1$

**definition**  $\Delta 3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta 3 s\ vl\ s1\ vl1 \equiv$   
 $\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > disPH \wedge eqExcPID\ s\ s1 \wedge vl1 = []$

**definition**  $\Delta e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta e s\ vl\ s1\ vl1 \equiv$   
 $vl \neq [] \wedge$   
 $(\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid > disPH)$   
 $\vee$   
 $(\exists\ cid.\ PID \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq disPH \wedge$   
 $\neg(\exists\ uid.\ isChair\ s\ cid\ uid \wedge pref\ s\ uid\ PID \neq Conflict))$   
 $)$

**lemma**  $istate-\Delta 1$ :  
**assumes**  $B: B\ vl\ vl1$   
**shows**  $\Delta 1\ istate\ vl\ istate\ vl1$   
 $\langle proof \rangle$

**lemma**  $unwind-cont-\Delta 1$ :  $unwind-cont\ \Delta 1\ \{\Delta 1, \Delta 2, \Delta e\}$   
 $\langle proof \rangle$

**lemma**  $unwind-cont-\Delta 2$ :  $unwind-cont\ \Delta 2\ \{\Delta 2, \Delta 3, \Delta e\}$   
 $\langle proof \rangle$

**lemma**  $unwind-cont-\Delta 3$ :  $unwind-cont\ \Delta 3\ \{\Delta 3, \Delta e\}$   
 $\langle proof \rangle$

**definition**  $K1exit$  **where**  
 $K1exit\ cid\ s \equiv$   
 $(PID \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq disPH \wedge \neg(\exists\ uid.\ isChair\ s\ cid\ uid \wedge$   
 $pref\ s\ uid\ PID \neq Conflict))$

```

lemma invarNT-K1exit: invarNT (K1exit cid)
⟨proof⟩

lemma noVal-K1exit: noVal (K1exit cid) v
⟨proof⟩

definition K2exit where
K2exit cid s ≡ PID ∈∈ paperIDs s cid ∧ phase s cid > disPH

lemma invarNT-K2exit: invarNT (K2exit cid)
⟨proof⟩

lemma noVal-K2exit: noVal (K2exit cid) v
⟨proof⟩

lemma unwind-exit-Δe: unwind-exit Δe
⟨proof⟩

theorem secure: secure
⟨proof⟩

end
theory Decision-All
imports
Decision-NCPC
Decision-NCPC-Aut
begin

end
theory Reviewer-Assignment-Intro
imports ../Safety-Properties
begin

```

## 9 Reviewer Assignment Confidentiality

In this section, we prove confidentiality properties for the assignment of reviewers to a paper PID submitted to a conference.

The secrets (values) of interest are taken to be pairs (uid,Uids), where uid is a user and Uids is a set of users. The pairs arise from actions that appoint reviewers to the paper PID:

- uid is the appointed reviewer
- Uids is the set of PC members having no conflict with the paper

The use of the second component, which turns out to be the same for the

entire sequence of values<sup>3</sup> is needed in order to express the piece of information (knowledge) that the appointed reviewers are among the non-conflicted PC members.<sup>4</sup>

Here, we have two points of compromise between the bound and the trigger (which yield two properties). Let

- T1 denote “PC membership having no conflict with that paper and the conference having moved to the reviewing phase”
- T2 denote “authorship of the paper and the conference having moved to the notification phase”

The two trigger-bound combinations are:

- weak trigger (T1 or T2) paired with strong bound (allowing to learn nothing beyond the public knowledge that the reviewers are among PC members having no conflict with that paper)
- strong trigger (T1) paired with weak bound (allowing to additionally learn the number of reviewers)

**end**

```
theory Reviewer-Assignment-Value-Setup
  imports Reviewer-Assignment-Intro
begin
```

## 9.1 Preliminaries

```
declare updates-commute-paper[simp]
consts PID :: paperID
```

```
definition eqExcRLR :: role list ⇒ role list ⇒ bool where
eqExcRLR rl rl1 ≡ [r ← rl . ¬ isRevRoleFor PID r] = [r ← rl1 . ¬ isRevRoleFor PID r]
```

```
lemma eqExcRLR-set:
assumes 1: eqExcRLR rl rl1 and 2: ¬ isRevRoleFor PID r
shows r ∈ rl ↔ r ∈ rl1
⟨proof⟩
```

```
lemmas eqExcRLR = eqExcRLR-def
```

---

<sup>3</sup>This is because conflicts can no longer be changed at the time when reviewers can be appointed, i.e., in the reviewing phase.

<sup>4</sup>In CoCon, only PC members can be appointed as reviewers; there is no subreviewing facility.

```

lemma eqExcRLR-eq[simp,intro!]: eqExcRLR rl rl
  ⟨proof⟩

lemma eqExcRLR-sym:
assumes eqExcRLR rl rl1
shows eqExcRLR rl1 rl
  ⟨proof⟩

lemma eqExcRLR-trans:
assumes eqExcRLR rl rl1 and eqExcRLR rl1 rl2
shows eqExcRLR rl rl2
  ⟨proof⟩

lemma eqExcRLR-imp:
assumes s: reach s and pid: pid ≠ PID and
  1: eqExcRLR (roles s cid uid) (roles s1 cid uid)
shows
  isRevNth s cid uid pid = isRevNth s1 cid uid pid ∧
  isRev s cid uid pid = isRev s1 cid uid pid ∧
  getRevRole s cid uid pid = getRevRole s1 cid uid pid ∧
  getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid (is ?A ∧ ?B ∧ ?C
  ∧ ?D)
  ⟨proof⟩

lemma eqExcRLR-imp2:
assumes eqExcRLR (roles s cid uid) (roles s1 cid uid)
shows
  isPC s cid uid = isPC s1 cid uid ∧
  isChair s cid uid = isChair s1 cid uid ∧
  isAut s cid uid = isAut s1 cid uid
  ⟨proof⟩

lemma filter-eq-imp:
assumes ⋀ x. P x ⟹ Q x
and filter Q xs = filter Q ys
shows filter P xs = filter P ys
  ⟨proof⟩

lemma arg-cong3: a = a1 ⟹ b = b1 ⟹ c = c1 ⟹ h a b c = h a1 b1 c1
  ⟨proof⟩

lemmas map-concat-cong1 = arg-cong[where f = concat, OF arg-cong2[where f
= map, OF - refl]]
lemmas If-cong1 = arg-cong3[where h = If, OF - refl refl]

lemma diff-cong1: a = a1 ⟹ (a ≠ b) = (a1 ≠ b) ⟨proof⟩

```

```

lemma isRev-pref-notConflict:
assumes reach s and isRev s cid uid pid
shows pref s uid pid ≠ Conflict
⟨proof⟩

lemma isRev-pref-notConflict-isPC:
assumes reach s and isRev s cid uid pid
shows pref s uid pid ≠ Conflict ∧ isPC s cid uid
⟨proof⟩

lemma eqExcRLR-imp-isRevRole-imp:
assumes eqExcRLR rl rl1
shows [r ← rl. ¬ isRevRole r] = [r ← rl1 . ¬ isRevRole r]
⟨proof⟩

lemma notIsPC-eqExRLR-roles-eq:
assumes s: reach s and s1: reach s1 and PID: PID ∈ paperIDs s cid
and pc: ¬ isPC s cid uid
and eq: eqExcRLR (roles s cid uid) (roles (s1::state) cid uid)
shows roles s cid uid = roles s1 cid uid
⟨proof⟩

lemma foo1: P a ⇒ [r ← List.insert a l . P r] = (if a ∈ set l then filter P l else
a # filter P l)
⟨proof⟩

lemma foo2: [eqExcRLR rl rl'; ¬ isRevRoleFor PID x] ⇒ eqExcRLR (List.insert
x rl) (List.insert x rl')
⟨proof⟩

lemma foo3:
assumes eqExcRLR rl rl' isRevRoleFor PID x
shows eqExcRLR (List.insert x rl) (rl')
and eqExcRLR (rl) (List.insert x rl')
⟨proof⟩

The notion of two states being equal everywhere except on the reviewer roles
for PID:

definition eqExcPID :: state ⇒ state ⇒ bool where
eqExcPID s s1 ≡
confIDs s = confIDs s1 ∧ conf s = conf s1 ∧
userIDs s = userIDs s1 ∧ pass s = pass s1 ∧ user s = user s1
∧
(∀ cid uid. eqExcRLR (roles s cid uid) (roles s1 cid uid))
∧
paperIDs s = paperIDs s1
∧
paper s = paper s1
∧

```

```

pref s = pref s1 ∧
voronkov s = voronkov s1 ∧
news s = news s1 ∧ phase s = phase s1

lemma eqExcPID-eq[simp,intro!]: eqExcPID s s
⟨proof⟩

lemma eqExcPID-sym:
assumes eqExcPID s s1 shows eqExcPID s1 s
⟨proof⟩

lemma eqExcPID-trans:
assumes eqExcPID s s1 and eqExcPID s1 s2 shows eqExcPID s s2
⟨proof⟩

lemma eqExcPID-imp:
eqExcPID s s1 ==>
confIDs s = confIDs s1 ∧ conf s = conf s1 ∧
userIDs s = userIDs s1 ∧ pass s = pass s1 ∧ user s = user s1
∧
eqExcRLR (roles s cid uid) (roles s1 cid uid)
∧
paperIDs s = paperIDs s1
∧
paper s = paper s1
∧
pref s = pref s1 ∧
voronkov s = voronkov s1 ∧
news s = news s1 ∧ phase s = phase s1 ∧
getAllPaperIDs s = getAllPaperIDs s1
⟨proof⟩

lemma eqExcPID-imp':
assumes reach s and ss1: eqExcPID s s1 and pid: pid ≠ PID ∨ PID ≠ pid
shows
isRev s cid uid pid = isRev s1 cid uid pid ∧
getRevRole s cid uid pid = getRevRole s1 cid uid pid ∧
getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid
⟨proof⟩

lemma eqExcPID-imp1:
eqExcPID s s1 ==> pid ≠ PID ∨ PID ≠ pid ==>
getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID-imp2:
assumes reach s and eqExcPID s s1 and pid ≠ PID ∨ PID ≠ pid

```

**shows**  $\text{getReviewersReviews } s \text{ cid pid} = \text{getReviewersReviews } s1 \text{ cid pid}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID-imp3:}$

$\text{reach } s \implies \text{eqExcPID } s \text{ s1} \implies \text{pid} \neq \text{PID} \vee \text{PID} \neq \text{pid}$

$\implies$

$\text{getNthReview } s \text{ pid} = \text{getNthReview } s1 \text{ pid}$

$\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID-cong[simp, intro]:}$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (confIDs := uu1)})$   
 $(s1 \text{ (confIDs := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (conf := uu1)})$   
 $(s1 \text{ (conf := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (userIDs := uu1)})$   
 $(s1 \text{ (userIDs := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (pass := uu1)})$   
 $(s1 \text{ (pass := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (user := uu1)})$   
 $(s1 \text{ (user := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (roles := uu1)})$   
 $(s1 \text{ (roles := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (paperIDs := uu1)})$   
 $(s1 \text{ (paperIDs := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (paper := uu1)})$   
 $(s1 \text{ (paper := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (pref := uu1)})$   
 $(s1 \text{ (pref := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (voronkov := uu1)})$   
 $(s1 \text{ (voronkov := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (news := uu1)})$   
 $(s1 \text{ (news := uu2)})$

$\wedge \text{uu1 uu2. eqExcPID } s \text{ s1} \implies \text{uu1} = \text{uu2} \implies \text{eqExcPID } (s \text{ (phase := uu1)})$   
 $(s1 \text{ (phase := uu2)})$

$\langle \text{proof} \rangle$

A slightly weaker state equivalence that allows differences in the reviews of paper  $PID$ . It is used for the confidentiality property that doesn't cover the authors of that paper in the notification phase (when the authors will learn the contents of the reviews).

**fun**  $\text{eqExcR} :: \text{paper} \Rightarrow \text{paper} \Rightarrow \text{bool} \text{ where}$

$\text{eqExcR } (\text{Paper name info ct reviews dis decs})$

$\quad (\text{Paper name1 info1 ct1 reviews1 dis1 decs1}) =$

$\quad (\text{name} = \text{name1} \wedge \text{info} = \text{info1} \wedge \text{ct} = \text{ct1} \wedge \text{dis} = \text{dis1} \wedge \text{decs} = \text{decs1})$

```

lemma eqExcR:
eqExcR pap pap1 =
(titlePaper pap = titlePaper pap1 ∧ abstractPaper pap = abstractPaper pap1 ∧
contentPaper pap = contentPaper pap1 ∧
disPaper pap = disPaper pap1 ∧ decsPaper pap = decsPaper pap1)
⟨proof⟩

lemma eqExcR-eq[simp,intro!]: eqExcR pap pap
⟨proof⟩

lemma eqExcR-sym:
assumes eqExcR pap pap1
shows eqExcR pap1 pap
⟨proof⟩

lemma eqExcR-trans:
assumes eqExcR pap pap1 and eqExcR pap1 pap2
shows eqExcR pap pap2
⟨proof⟩

definition eeqExcPID where
eeqExcPID paps paps1 ≡
∀ pid. if pid = PID then eqExcR (paps pid) (paps1 pid) else paps pid = paps1 pid

lemma eeqExcPID-eeq[simp,intro!]: eeqExcPID s s
⟨proof⟩

lemma eeqExcPID-sym:
assumes eeqExcPID s s1 shows eeqExcPID s1 s
⟨proof⟩

lemma eeqExcPID-trans:
assumes eeqExcPID s s1 and eeqExcPID s1 s2 shows eeqExcPID s s2
⟨proof⟩

lemma eeqExcPID-imp:
eeqExcPID paps paps1  $\implies$  eqExcR (paps PID) (paps1 PID)
[eeqExcPID paps paps1; pid ≠ PID]  $\implies$  paps pid = paps1 pid
⟨proof⟩

lemma eeqExcPID-cong:
assumes eeqExcPID paps paps1
and pid = PID  $\implies$  eqExcR uu uu1
and pid ≠ PID  $\implies$  uu = uu1
shows eeqExcPID (paps (pid := uu)) (paps1 (pid := uu1))
⟨proof⟩

lemma eeqExcPID-RDD:

```

```

 $\text{eqExcPID } \text{paps paps1} \implies$ 
 $\text{titlePaper } (\text{paps PID}) = \text{titlePaper } (\text{paps1 PID}) \wedge$ 
 $\text{abstractPaper } (\text{paps PID}) = \text{abstractPaper } (\text{paps1 PID}) \wedge$ 
 $\text{contentPaper } (\text{paps PID}) = \text{contentPaper } (\text{paps1 PID}) \wedge$ 
 $\text{disPaper } (\text{paps PID}) = \text{disPaper } (\text{paps1 PID}) \wedge$ 
 $\text{decsPaper } (\text{paps PID}) = \text{decsPaper } (\text{paps1 PID})$ 
 $\langle \text{proof} \rangle$ 

```

```

definition eqExcPID2 :: state  $\Rightarrow$  state  $\Rightarrow$  bool where
eqExcPID2 s s1  $\equiv$ 
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$ 
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1
 $\wedge$ 
 $(\forall \text{ cid uid. } \text{eqExcRLR } (\text{roles s cid uid}) (\text{roles s1 cid uid}))$ 
 $\wedge$ 
paperIDs s = paperIDs s1
 $\wedge$ 
eqExcPID (paper s) (paper s1)
 $\wedge$ 
pref s = pref s1  $\wedge$ 
voronkov s = voronkov s1  $\wedge$ 
news s = news s1  $\wedge$  phase s = phase s1

```

```

lemma eqExcPID2-eq[simp,intro!]: eqExcPID2 s s
 $\langle \text{proof} \rangle$ 

```

```

lemma eqExcPID2-sym:
assumes eqExcPID2 s s1 shows eqExcPID2 s1 s
 $\langle \text{proof} \rangle$ 

```

```

lemma eqExcPID2-trans:
assumes eqExcPID2 s s1 and eqExcPID2 s1 s2 shows eqExcPID2 s s2
 $\langle \text{proof} \rangle$ 

```

```

lemma eqExcPID2-imp:
eqExcPID2 s s1  $\implies$ 
confIDs s = confIDs s1  $\wedge$  conf s = conf s1  $\wedge$ 
userIDs s = userIDs s1  $\wedge$  pass s = pass s1  $\wedge$  user s = user s1
 $\wedge$ 
eqExcRLR (roles s cid uid) (roles s1 cid uid)
 $\wedge$ 
paperIDs s = paperIDs s1
 $\wedge$ 
eqExcPID (paper s) (paper s1)
 $\wedge$ 
pref s = pref s1  $\wedge$ 
voronkov s = voronkov s1  $\wedge$ 

```

```

news s = news s1 ∧ phase s = phase s1 ∧

getAllPaperIDs s = getAllPaperIDs s1
⟨proof⟩

lemma eeqExcPID-imp2:
assumes pid: pid ≠ PID and
1: eeqExcPID (paper s) (paper s1)
shows
reviewsPaper (paper s pid) = reviewsPaper (paper s1 pid)
⟨proof⟩

lemma eqExcPID2-imp':
assumes s: reach s and ss1: eqExcPID2 s s1 and pid: pid ≠ PID ∨ PID ≠ pid
shows
isRev s cid uid pid = isRev s1 cid uid pid ∧
getRevRole s cid uid pid = getRevRole s1 cid uid pid ∧
getReviewIndex s cid uid pid = getReviewIndex s1 cid uid pid ∧
reviewsPaper (paper s pid) = reviewsPaper (paper s1 pid)
⟨proof⟩

lemma eqExcPID2-imp1:
eqExcPID2 s s1  $\implies$  eqExcR (paper s pid) (paper s1 pid)
eqExcPID2 s s1  $\implies$  pid ≠ PID ∨ PID ≠ pid  $\implies$ 
paper s pid = paper s1 pid ∧
getNthReview s pid n = getNthReview s1 pid n
⟨proof⟩

lemma eqExcPID2-imp2:
assumes reach s and eqExcPID2 s s1 and pid ≠ PID ∨ PID ≠ pid
shows getReviewersReviews s cid pid = getReviewersReviews s1 cid pid
⟨proof⟩

lemma eqExcPID2-imp3:
reach s  $\implies$  eqExcPID2 s s1  $\implies$  pid ≠ PID ∨ PID ≠ pid
 $\implies$ 
getNthReview s pid = getNthReview s1 pid
⟨proof⟩

lemma eqExcPID2-RDD:
eqExcPID2 s s1  $\implies$ 
titlePaper (paper s PID) = titlePaper (paper s1 PID) ∧
abstractPaper (paper s PID) = abstractPaper (paper s1 PID) ∧
contentPaper (paper s PID) = contentPaper (paper s1 PID) ∧
disPaper (paper s PID) = disPaper (paper s1 PID) ∧
decsPaper (paper s PID) = decsPaper (paper s1 PID)
⟨proof⟩

```

**lemma** *eqExcPID2-cong*[simp, intro]:  
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{confIDs} := uu1))$   
 $(s1\ (\text{confIDs} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{conf} := uu1))$   
 $(s1\ (\text{conf} := uu2))$

$\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{userIDs} := uu1))$   
 $(s1\ (\text{userIDs} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{pass} := uu1))$   
 $(s1\ (\text{pass} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{user} := uu1))$   
 $(s1\ (\text{user} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{roles} := uu1))$   
 $(s1\ (\text{roles} := uu2))$

$\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{paperIDs} := uu1))$   
 $(s1\ (\text{paperIDs} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies \text{eeqExcPID}\ uu1\ uu2 \implies \text{eqExcPID2}\ (s\ (\text{paper} := uu1))$   
 $(s1\ (\text{paper} := uu2))$

$\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{pref} := uu1))$   
 $(s1\ (\text{pref} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{voronkov} := uu1))$   
 $(s1\ (\text{voronkov} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{news} := uu1))$   
 $(s1\ (\text{news} := uu2))$   
 $\wedge uu1\ uu2. \text{eqExcPID2}\ s\ s1 \implies uu1 = uu2 \implies \text{eqExcPID2}\ (s\ (\text{phase} := uu1))$   
 $(s1\ (\text{phase} := uu2))$

*{proof}*

**lemma** *eqExcPID2-Paper*:  
**assumes** *s's1': eqExcPID2 s s1*  
**and** *paper s pid = Paper title abstract content reviews dis decs*  
**and** *paper s1 pid = Paper title1 abstract1 content1 reviews1 dis1 decs1*  
**shows** *title = title1 ∧ abstract = abstract1 ∧ content = content1 ∧ dis = dis1 ∧ decs = decs1*  
*{proof}*

**lemma** *cReview-step-eqExcPID2*:  
**assumes** *a*:  
*a = Cact (cReview cid uid p PID uid')*  
**and** *step s a = (ou,s')*  
**shows** *eqExcPID2 s s'*  
*{proof}*

## 9.2 Value Setup

```

type-synonym value = userID × userID set

fun  $\varphi :: (state, act, out) trans \Rightarrow bool$  where
 $\varphi (Trans - (Cact (cReview cid uid p pid uid'))) ou -) =$ 
 $(pid = PID \wedge ou = outOK)$ 
|
 $\varphi - = False$ 

fun  $f :: (state, act, out) trans \Rightarrow value$  where
 $f (Trans s (Cact (cReview cid uid p pid uid')) - s') =$ 
 $(uid', \{uid'. isPC s cid uid' \wedge pref s uid' PID \neq Conflict\})$ 

lemma  $\varphi\text{-def2}:$ 
 $\varphi (Trans s a ou s') =$ 
 $(ou = outOK \wedge$ 
 $(\exists cid uid p uid'. a = Cact (cReview cid uid p PID uid')))$ 
⟨proof⟩

fun  $\chi :: act \Rightarrow bool$  where
 $\chi (Uact (uReview cid uid p pid n rc)) = (pid = PID)$ 
|
 $\chi (UUact (uuReview cid uid p pid n rc)) = (pid = PID)$ 
|
 $\chi - = False$ 

lemma  $\chi\text{-def2}:$ 
 $\chi a =$ 
 $(\exists cid uid p n rc. a = Uact (uReview cid uid p PID n rc) \vee$ 
 $a = UUact (uuReview cid uid p PID n rc))$ 
⟨proof⟩

lemma eqExcPID-step- $\varphi$ -imp:
assumes  $s: reach s$  and  $ss1: eqExcPID s s1$ 

and  $PID: PID \in paperIDs s cid$  and  $ph: phase s cid > revPH$ 

and  $step: step s a = (ou, s')$  and  $step1: step s1 a = (ou1, s1')$ 
and  $\varphi: \neg \varphi (Trans s a ou s')$ 
shows  $\neg \varphi (Trans s1 a ou1 s1')$ 
⟨proof⟩

lemma eqExcPID-step- $\varphi$ :
assumes  $reach s$  and  $reach s1$  and  $ss1: eqExcPID s s1$ 

and  $PID: PID \in paperIDs s cid$  and  $ph: phase s cid > revPH$ 

and  $step: step s a = (ou, s')$  and  $step1: step s1 a = (ou1, s1')$ 
```

**shows**  $\varphi(Trans s a ou s') = \varphi(Trans s1 a ou1 s1')$   
 $\langle proof \rangle$

**lemma** *non-eqExcPID-step- $\varphi$ -imp*:  
**assumes**  $s: reach s$  **and**  $ss1: eqExcPID s s1$

**and**  $PID: PID \in paperIDs s cid$  **and**  $ou: ou \neq outErr$   
**and**  $step: step s a = (ou, s')$  **and**  $step1: step s1 a = (ou1, s1')$

**and**  $\varphi: \neg \varphi(Trans s a ou s')$   
**shows**  $\neg \varphi(Trans s1 a ou1 s1')$

$\langle proof \rangle$

**lemma** *eqExcPID-step*:

**assumes**  $s: reach s$  **and**  $s1: reach s1$

**and**  $ss1: eqExcPID s s1$

**and**  $step: step s a = (ou, s')$

**and**  $step1: step s1 a = (ou1, s1')$

**and**  $PID: PID \in paperIDs s cid$

**and**  $ou-ph: ou \neq outErr \vee phase s cid > revPH$

**and**  $\varphi: \neg \varphi(Trans s a ou s')$  **and**  $\chi: \neg \chi a$

**shows**  $eqExcPID s' s1'$

$\langle proof \rangle$

**lemma**  $\varphi\text{-}step\text{-}eqExcPID2$ :

**assumes**  $\varphi: \varphi(Trans s a ou s')$

**and**  $s: step s a = (ou, s')$

**shows**  $eqExcPID2 s s'$

$\langle proof \rangle$

**lemma** *eqExcPID2-step*:

**assumes**  $s: reach s$

**and**  $ss1: eqExcPID2 s s1$

**and**  $step: step s a = (ou, s')$

**and**  $step1: step s1 a = (ou1, s1')$

**and**  $PID: PID \in paperIDs s cid$  **and**  $ph: phase s cid \geq revPH$

**and**  $\varphi: \neg \varphi(Trans s a ou s')$

**shows**  $eqExcPID2 s' s1'$

$\langle proof \rangle$

**lemma** *eqExcPID2-step- $\varphi$ -imp*:

**assumes**  $s: reach s$  **and**  $ss1: eqExcPID2 s s1$

**and**  $PID: PID \in paperIDs s cid$  **and**  $ph: phase s cid > revPH$

**and**  $step: step s a = (ou, s')$  **and**  $step1: step s1 a = (ou1, s1')$

**and**  $\varphi: \neg \varphi(Trans s a ou s')$

**shows**  $\neg \varphi(Trans s1 a ou1 s1')$

$\langle proof \rangle$

```

lemma eqExcPID2-step- $\varphi$ :
assumes reach s and reach s1 and ss1: eqExcPID2 s s1
and PID: PID  $\in\in$  paperIDs s cid and ph: phase s cid > revPH
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
shows  $\varphi$  (Trans s a ou s') =  $\varphi$  (Trans s1 a ou1 s1')
⟨proof⟩

```

```

lemma non-eqExcPID2-step- $\varphi$ -imp:
assumes s: reach s and ss1: eqExcPID2 s s1
and PID: PID  $\in\in$  paperIDs s cid and ou: ou  $\neq$  outErr
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and  $\varphi$ :  $\neg$   $\varphi$  (Trans s a ou s')
shows  $\neg$   $\varphi$  (Trans s1 a ou1 s1')
⟨proof⟩

```

```

end
theory Reviewer-Assignment-NCPC
imports .. /Observation-Setup Reviewer-Assignment-Value-Setup Bounded-Deducibility-Security.Compositiona
begin

```

### 9.3 Confidentiality protection from non-PC-members

We verify the following property:

A group of users UIDs learn nothing about the reviewers assigned to a paper PID except for their number and the fact that they are PC members having no conflict with that paper unless/until the user becomes a PC member in the paper's conference having no conflict with that paper and the conference moves to the reviewing phase.

```

fun T :: (state,act,out) trans  $\Rightarrow$  bool where
T (Trans - - ou s') =
( $\exists$  uid  $\in$  UIDs.  $\exists$  cid.
 PID  $\in\in$  paperIDs s' cid  $\wedge$  isPC s' cid uid  $\wedge$  pref s' uid PID  $\neq$  Conflict  $\wedge$  phase
s' cid  $\geq$  revPH)
term isAUT
declare T.simps [simp del]

```

**definition**  $B :: value\ list \Rightarrow value\ list \Rightarrow bool$  **where**

$$B\ vl\ vl1 \equiv$$

$$vl \neq [] \wedge$$

$$\text{length } vl = \text{length } vl1 \wedge$$

$$\text{distinct } (\text{map } \text{fst } vl1) \wedge \text{fst}^{\leftarrow}(\text{set } vl1) \subseteq \text{snd } (\text{hd } vl) \wedge \text{snd}^{\leftarrow}(\text{set } vl1) = \{\text{snd } (\text{hd } vl)\}$$

**interpretation**  $BD\text{-Security-IO}$  **where**

$$\text{istate} = \text{istate} \text{ and } \text{step} = \text{step} \text{ and }$$

$$\varphi = \varphi \text{ and } f = f \text{ and } \gamma = \gamma \text{ and } g = g \text{ and } T = T \text{ and } B = B$$

$$\langle proof \rangle$$

**lemma**  $\text{reachNT}\text{-non-isPC-isChair}$ :

**assumes**  $\text{reachNT } s$  **and**  $uid \in \text{UIDs}$

**shows**

$$(PID \in \text{paperIDs } s\ cid \wedge \text{isPC } s\ cid\ uid \longrightarrow$$

$$\text{pref } s\ uid\ PID = \text{Conflict} \vee \text{phase } s\ cid < \text{revPH}) \wedge$$

$$(PID \in \text{paperIDs } s\ cid \wedge \text{isChair } s\ cid\ uid \longrightarrow$$

$$\text{pref } s\ uid\ PID = \text{Conflict} \vee \text{phase } s\ cid < \text{revPH})$$

$$\langle proof \rangle$$

**lemma**  $T\text{-}\varphi\text{-}\gamma$ :

**assumes** 1:  $\text{reachNT } s$  **and** 2:  $\text{step } s\ a = (ou, s')$   $\varphi$  ( $\text{Trans } s\ a\ ou\ s'$ )

**shows**  $\neg \gamma$  ( $\text{Trans } s\ a\ ou\ s'$ )

$$\langle proof \rangle$$

**lemma**  $T\text{-}\varphi\text{-}\gamma\text{-stronger}$ :

**assumes**  $s: \text{reach } s$  **and** 0:  $PID \in \text{paperIDs } s\ cid$

**and** 2:  $\text{step } s\ a = (ou, s') \varphi (\text{Trans } s\ a\ ou\ s')$

**and** 1:  $\forall uid \in \text{UIDs}. \text{isChair } s\ cid\ uid \longrightarrow \text{pref } s\ uid\ PID = \text{Conflict} \vee \text{phase } s\ cid < \text{revPH}$

**shows**  $\neg \gamma$  ( $\text{Trans } s\ a\ ou\ s'$ )

$$\langle proof \rangle$$

**lemma**  $T\text{-}\varphi\text{-}\gamma\text{-1}$ :

**assumes**  $s: \text{reachNT } s$  **and**  $s1: \text{reach } s1$  **and**  $PID: PID \in \text{paperIDs } s\ cid$

**and**  $ss1: \text{eqExcPID } s\ s1$

**and**  $\text{step1}: \text{step } s1\ a = (ou1, s1')$  **and**  $\varphi1: \varphi (\text{Trans } s1\ a\ ou1\ s1')$

**and**  $\varphi: \neg \varphi (\text{Trans } s\ a\ ou\ s')$

**shows**  $\neg \gamma$  ( $\text{Trans } s1\ a\ ou1\ s1'$ )

$$\langle proof \rangle$$

**lemma**  $\text{notIsPCorConflict-eqExcPID-roles-eq}$ :

**assumes**  $s: \text{reach } s$  **and**  $s1: \text{reach } s1$  **and**  $PID: PID \in \text{paperIDs } s\ cid$

**and**  $pc: \neg \text{isPC } s\ cid\ uid \vee \text{pref } s\ uid\ PID = \text{Conflict}$

**and**  $eeq: \text{eqExcPID } s\ s1$

```

shows roles s cid uid = roles s1 cid uid
⟨proof⟩

lemma notInPaperIDs-eqExcPID-roles-eq:
assumes s: reach s and s1: reach s1 and PID:  $\neg PID \in \in paperIDs s cid$ 
and eq: eqExcPID s s1
shows roles s cid uid = roles s1 cid uid
⟨proof⟩

lemma eqExcPID-step-out:
assumes ss1: eqExcPID s s1
and step: step s a = (ou,s') and step1: step s1 a = (ou1,s1')
and sT: reachNT s and s1: reach s1
and PID: PID  $\in \in paperIDs s cid$  and ph: phase s cid  $\geq revPH$ 
and  $\varphi$ :  $\neg \varphi(Trans s a ou s')$  and  $\varphi_1$ :  $\neg \varphi(Trans s1 a ou1 s1')$  and  $\chi$ :  $\neg \chi a$ 
and UIDs: userOfA a  $\in UIDs$ 
shows ou = ou1
⟨proof⟩

lemma eqExcPID-step- $\varphi$ -eqExcPID-out:
assumes s: reach s and s1: reach s1
and a: a = Cact (cReview cid uid p PID uid')
and a1: a1 = Cact (cReview cid uid p PID uid1')
and ss1: eqExcPID s s1 and step: step s a = (outOK,s')
and pc: isPC s cid uid1'  $\wedge$  pref s uid1' PID  $\neq$  Conflict
and rv1:  $\neg isRev s1 cid uid1' PID$  and step1: step s1 a1 = (ou1,s1')
shows eqExcPID s' s1'  $\wedge$  ou1 = outOK
⟨proof⟩

lemma eqExcPID-ex-isNthReview:
assumes s: reach s and s1: reach s1 and e: eqExcPID s s1
and i: isRevNth s cid uid PID n
shows  $\exists uid1. isRevNth s1 cid uid1 PID n$ 
⟨proof⟩

lemma eqExcPID-step- $\chi$ 1:
assumes s: reach s and s1: reach s1
and a: a = Uact (uReview cid uid p PID n rc)
and ss1: eqExcPID s s1 and step: step s a = (outOK,s')
shows
 $\exists s1' uid1 p.$ 
 $isRevNth s1 cid uid1 PID n \wedge$ 
 $step s1 (Uact (uReview cid uid1 p PID n rc)) = (outOK, s1') \wedge$ 
 $eqExcPID s' s1'$ 
⟨proof⟩

lemma eqExcPID-step- $\chi$ 2:
assumes s: reach s and s1: reach s1
and a: a = UUact (uuReview cid uid p PID n rc)

```

**and**  $ss1 : eqExcPID s s1$  **and**  $step : step s a = (outOK, s')$   
**shows**

$$\exists s1' uid1 p.$$

$$isRevNth s1 cid uid1 PID n \wedge$$

$$step s1 (UUact (uuReview cid uid1 p PID n rc)) = (outOK, s1') \wedge$$

$$eqExcPID s' s1'$$

$\langle proof \rangle$

**definition**  $\Delta 1 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta 1 s vl s1 vl1 \equiv$   
 $(\forall cid. PID \in paperIDs s cid \rightarrow phase s cid < revPH) \wedge s = s1$   
 $\wedge B vl vl1$

**definition**  $\Delta 2 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta 2 s vl s1 vl1 \equiv$   
 $\exists cid uid.$   
 $PID \in paperIDs s cid \wedge phase s cid = revPH \wedge$   
 $isChair s cid uid \wedge pref s uid PID \neq Conflict \wedge$   
 $eqExcPID s s1 \wedge$   
 $length vl = length vl1 \wedge$   
 $distinct (map fst vl1) \wedge$   
 $fst ' (set vl1) \subseteq \{uid'. isPC s cid uid' \wedge pref s uid' PID \neq Conflict\} \wedge$   
 $fst ' (set vl1) \cap \{uid'. isRev s1 cid uid' PID\} = \{\} \wedge$   
 $snd ' (set vl1) \subseteq \{\{uid'. isPC s cid uid' \wedge pref s uid' PID \neq Conflict\}\}$

**definition**  $\Delta 3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta 3 s vl s1 vl1 \equiv$   
 $\exists cid. PID \in paperIDs s cid \wedge phase s cid > revPH \wedge eqExcPID s s1 \wedge vl1 = []$

**definition**  $\Delta e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  **where**  
 $\Delta e s vl s1 vl1 \equiv$   
 $vl \neq [] \wedge$   
 $($   
 $(\exists cid. PID \in paperIDs s cid \wedge phase s cid \geq revPH \wedge$   
 $\neg (\exists uid. isChair s cid uid \wedge pref s uid PID \neq Conflict))$   
 $\vee$   
 $(\exists cid. PID \in paperIDs s cid \wedge phase s cid \geq revPH \wedge$   
 $snd (hd vl) \neq \{uid'. isPC s cid uid' \wedge pref s uid' PID \neq Conflict\})$   
 $\vee$   
 $(\exists cid. PID \in paperIDs s cid \wedge phase s cid > revPH)$   
 $)$

**lemma**  $istate-\Delta 1$ :  
**assumes**  $B : B vl vl1$   
**shows**  $\Delta 1 istate vl istate vl1$   
 $\langle proof \rangle$

**lemma** *unwind-cont- $\Delta 1$* : *unwind-cont*  $\Delta 1 \{\Delta 1, \Delta 2, \Delta e\}$   
*(proof)*

**lemma** *not- $\varphi$ -isRev-isPC-persists*:  
**assumes** *reach s*  
*PID*  $\in \in paperIDs s$  *cid* **and**  $\neg \varphi (Trans s a ou s')$   
**and** *step s a = (ou, s')*  
**shows** *isRev s' cid uid PID = isRev s cid uid PID*  $\wedge$  *isPC s' cid uid = isPC s cid uid*  
*(proof)*

**lemma**  $\gamma$ -*not* $\chi$ -*eqButPID-outErr*:  
**assumes** *sT: reachNT s* **and** *s1: reach s1*  
**and** *UIDs: userOfA a ∈ UIDs* **and** *step: step s a = (outErr, s')*  
**and** *ss1: eqExcPID s s1* **and** *PID: PID ∈ paperIDs s CID*  
**shows** *step s1 a = (outErr, s1)*  
*(proof)*

**lemma** *exists-failedAct*:  
 $\exists a. step s a = (outErr, s) \wedge userOfA a = uid$   
*(proof)*

**lemma** *unwind-cont- $\Delta 2$* : *unwind-cont*  $\Delta 2 \{\Delta 2, \Delta 3, \Delta e\}$   
*(proof)*

**lemma** *unwind-cont- $\Delta 3$* : *unwind-cont*  $\Delta 3 \{\Delta 3, \Delta e\}$   
*(proof)*

**definition** *K1exit* **where**  
 $K1exit cid s \equiv PID \in \in paperIDs s$  *cid*  $\wedge$  *phase s cid ≥ revPH*  $\wedge$   
 $\neg (\exists uid. isChair s cid uid \wedge pref s uid PID \neq Conflict)$

**lemma** *invarNT-K1exit*: *invarNT (K1exit cid)*  
*(proof)*

**lemma** *noVal-K1exit*: *noVal (K1exit cid) v*  
*(proof)*

**definition** *K2exit* **where**  
 $K2exit cid s v \equiv$   
 $PID \in \in paperIDs s$  *cid*  $\wedge$  *phase s cid ≥ revPH*  $\wedge$   
 $snd v \neq \{uid'. isPC s cid uid' \wedge pref s uid' PID \neq Conflict\}$

**lemma** *revPH-isPC-constant*:  
**assumes** *s: reach s*  
**and** *step s a = (ou, s')*  
**and** *pid ∈ paperIDs s cid* **and** *phase s cid ≥ revPH*

**shows**  $\text{isPC } s' \text{ cid uid}' = \text{isPC } s \text{ cid uid}'$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{revPH-pref-constant}$ :  
**assumes**  $s: \text{reach } s$   
**and**  $\text{step } s \text{ a} = (\text{ou}, s')$   
**and**  $\text{pid} \in \in \text{paperIDs } s \text{ cid}$  **and**  $\text{phase } s \text{ cid} \geq \text{revPH}$   
**shows**  $\text{pref } s' \text{ uid pid} = \text{pref } s \text{ uid pid}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{invarNT-K2exit}: \text{invarNT} (\lambda s. \text{K2exit cid } s v)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{noVal-K2exit}: \text{noVal2} (\text{K2exit cid}) v$   
 $\langle \text{proof} \rangle$

**definition**  $\text{K3exit}$  **where**  
 $\text{K3exit cid } s \equiv \text{PID} \in \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} > \text{revPH}$

**lemma**  $\text{invarNT-K3exit}: \text{invarNT} (\text{K3exit cid})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{noVal-K3exit}: \text{noVal} (\text{K3exit cid}) v$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{unwind-exit-}\Delta e: \text{unwind-exit } \Delta e$   
 $\langle \text{proof} \rangle$

**theorem**  $\text{secure}: \text{secure}$   
 $\langle \text{proof} \rangle$

**end**

**theory**  $\text{Reviewer-Assignment-NCPC-Aut}$

**imports**  $\dots / \text{Observation-Setup} \text{ Reviewer-Assignment-Value-Setup} \text{ Bounded-Deducibility-Security} \text{ Compositionality}$   
**begin**

## 9.4 Confidentiality protection from users who are not PC members or authors of the paper

We verify the following property:

A group of users UIDs learn nothing about the reviewers assigned to a paper PID except for the fact that they are PC members having no conflict with that paper unless/until one of the following occurs:

- the user becomes a PC member in the paper's conference having no

conflict with that paper and the conference moves to the reviewing phase, or

- the user becomes an author of the paper and the conference moves to the notification phase.

```
fun  $T :: (state, act, out) \text{ trans} \Rightarrow \text{bool where}$ 
 $T (\text{Trans} - \text{ou } s') =$ 
 $(\exists \text{ uid} \in \text{UIDs}.$ 
 $(\exists \text{ cid}. \text{ PID} \in \in \text{paperIDs} s' \text{ cid} \wedge \text{isPC} s' \text{ cid} \text{ uid} \wedge \text{pref} s' \text{ uid} \text{ PID} \neq \text{Conflict}$ 
 $\wedge \text{phase} s' \text{ cid} \geq \text{revPH})$ 
 $\vee$ 
 $(\exists \text{ cid}. \text{ PID} \in \in \text{paperIDs} s' \text{ cid} \wedge \text{isAut} s' \text{ cid} \text{ uid} \text{ PID} \wedge \text{phase} s' \text{ cid} \geq$ 
 $\text{notifPH})$ 
 $)$ 
```

**declare**  $T.\text{simp} [\text{simp del}]$

```
definition  $B :: \text{value list} \Rightarrow \text{value list} \Rightarrow \text{bool where}$ 
 $B \text{ vl } \text{vl1} \equiv$ 
 $\text{vl} \neq [] \wedge$ 
 $\text{distinct} (\text{map} \text{ fst} \text{ vl1}) \wedge \text{fst} ` (\text{set} \text{ vl1}) \subseteq \text{snd} (\text{hd} \text{ vl}) \wedge \text{snd} ` (\text{set} \text{ vl1}) = \{\text{snd} (\text{hd} \text{ vl})\}$ 
```

```
interpretation  $BD\text{-Security-IO}$  where
 $\text{istate} = \text{istate}$  and  $\text{step} = \text{step}$  and
 $\varphi = \varphi$  and  $f = f$  and  $\gamma = \gamma$  and  $g = g$  and  $T = T$  and  $B = B$ 
 $\langle \text{proof} \rangle$ 
```

```
lemma  $\text{reachNT}\text{-non-isPC-isChair}:$ 
assumes  $\text{reachNT} s$  and  $\text{uid} \in \text{UIDs}$ 
shows
 $(\text{PID} \in \in \text{paperIDs} s \text{ cid} \wedge \text{isPC} s \text{ cid} \text{ uid} \longrightarrow \text{pref} s \text{ uid} \text{ PID} = \text{Conflict} \vee \text{phase}$ 
 $s \text{ cid} < \text{revPH})$ 
 $\wedge$ 
 $(\text{PID} \in \in \text{paperIDs} s \text{ cid} \wedge \text{isChair} s \text{ cid} \text{ uid} \longrightarrow \text{pref} s \text{ uid} \text{ PID} = \text{Conflict} \vee$ 
 $\text{phase} s \text{ cid} < \text{revPH})$ 
 $\wedge$ 
 $(\text{PID} \in \in \text{paperIDs} s \text{ cid} \wedge \text{isAut} s \text{ cid} \text{ uid} \text{ PID} \longrightarrow$ 
 $\text{phase} s \text{ cid} < \text{notifPH})$ 
 $\langle \text{proof} \rangle$ 
```

```
lemma  $T\text{-}\varphi\text{-}\gamma:$ 
assumes 1:  $\text{reachNT} s$  and 2:  $\text{step} s a = (\text{ou}, s')$   $\varphi (\text{Trans} s a \text{ ou} s')$ 
shows  $\neg \gamma (\text{Trans} s a \text{ ou} s')$ 
 $\langle \text{proof} \rangle$ 
```

**lemma**  $T\text{-}\varphi\text{-}\gamma\text{-stronger}$ :

**assumes**  $s: \text{reach } s \text{ and } 0: PID \in \text{paperIDs } s \text{ cid}$   
**and**  $2: \text{step } s a = (ou, s') \varphi (\text{Trans } s a ou s')$   
**and**  $1: \forall uid \in \text{UIDs}. \text{isChair } s \text{ cid } uid \longrightarrow \text{pref } s \text{ uid } PID = \text{Conflict} \vee \text{phase } s \text{ cid} < \text{revPH}$   
**shows**  $\neg \gamma (\text{Trans } s a ou s')$   
 $\langle \text{proof} \rangle$

**lemma**  $T\text{-}\varphi\text{-}\gamma\text{-1}$ :

**assumes**  $s: \text{reachNT } s \text{ and } s1: \text{reach } s1 \text{ and } PID: PID \in \text{paperIDs } s \text{ cid}$   
**and**  $ss1: \text{eqExcPID2 } s s1$   
**and**  $step1: \text{step } s1 a = (ou1, s1') \text{ and } \varphi1: \varphi (\text{Trans } s1 a ou1 s1')$   
**and**  $\varphi: \neg \varphi (\text{Trans } s a ou s')$   
**shows**  $\neg \gamma (\text{Trans } s1 a ou1 s1')$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{notInPaperIDs-eqExLRL-roles-eq}$ :

**assumes**  $s: \text{reach } s \text{ and } s1: \text{reach } s1 \text{ and } PID: \neg PID \in \text{paperIDs } s \text{ cid}$   
**and**  $eq: \text{eqExcPID2 } s s1$   
**shows**  $\text{roles } s \text{ cid } uid = \text{roles } s1 \text{ cid } uid$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eqExcPID2-step-out}$ :

**assumes**  $ss1: \text{eqExcPID2 } s s1$   
**and**  $step: \text{step } s a = (ou, s') \text{ and } step1: \text{step } s1 a = (ou1, s1')$   
**and**  $sT: \text{reachNT } s \text{ and } s1: \text{reach } s1$   
**and**  $PID: PID \in \text{paperIDs } s \text{ cid} \text{ and } ph: \text{phase } s \text{ cid} \geq \text{revPH}$   
**and**  $\varphi: \neg \varphi (\text{Trans } s a ou s') \text{ and } \varphi1: \neg \varphi (\text{Trans } s1 a ou1 s1')$   
**and**  $\text{UIDs}: \text{userOfA } a \in \text{UIDs}$   
**shows**  $ou = ou1$   
 $\langle \text{proof} \rangle$

**definition**  $\Delta 1 :: state \Rightarrow value \text{ list} \Rightarrow state \Rightarrow value \text{ list} \Rightarrow bool \text{ where}$   
 $\Delta 1 s vl s1 vl1 \equiv$   
 $(\forall cid. PID \in \text{paperIDs } s \text{ cid} \longrightarrow \text{phase } s \text{ cid} < \text{revPH}) \wedge s = s1$   
 $\wedge B vl vl1$

**definition**  $\Delta 2 :: state \Rightarrow value \text{ list} \Rightarrow state \Rightarrow value \text{ list} \Rightarrow bool \text{ where}$   
 $\Delta 2 s vl s1 vl1 \equiv$   
 $\exists cid uid.$   
 $PID \in \text{paperIDs } s \text{ cid} \wedge \text{phase } s \text{ cid} = \text{revPH} \wedge$   
 $\text{isChair } s \text{ cid } uid \wedge \text{pref } s \text{ uid } PID \neq \text{Conflict} \wedge$   
 $\text{eqExcPID2 } s s1 \wedge$   
 $\text{distinct } (\text{map } \text{fst } vl1) \wedge$   
 $\text{fst } ('(\text{set } vl1) \subseteq \{uid'. \text{isPC } s \text{ cid } uid' \wedge \text{pref } s \text{ uid}' PID \neq \text{Conflict}\}) \wedge$   
 $\text{fst } ('(\text{set } vl1) \cap \{uid'. \text{isRev } s1 \text{ cid } uid' PID\} = \{\}) \wedge$   
 $\text{snd } ('(\text{set } vl1) \subseteq \{\{uid'. \text{isPC } s \text{ cid } uid' \wedge \text{pref } s \text{ uid}' PID \neq \text{Conflict}\}\})$

```

definition  $\Delta_3 :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_3 s\ vl\ s1\ vl1 \equiv$ 
 $\exists\ cid.\ PID \in \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH \wedge eqExcPID2\ s\ s1 \wedge vl1 =$ 
 $\emptyset$ 

definition  $\Delta_e :: state \Rightarrow value\ list \Rightarrow state \Rightarrow value\ list \Rightarrow bool$  where
 $\Delta_e s\ vl\ s1\ vl1 \equiv$ 
 $vl \neq \emptyset \wedge$ 
 $($ 
 $(\exists\ cid.\ PID \in \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq revPH \wedge$ 
 $\neg (\exists\ uid.\ isChair\ s\ cid\ uid \wedge pref\ s\ uid\ PID \neq Conflict))$ 
 $\vee$ 
 $(\exists\ cid.\ PID \in \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq revPH \wedge$ 
 $snd\ (hd\ vl) \neq \{uid'. isPC\ s\ cid\ uid' \wedge pref\ s\ uid'\ PID \neq Conflict\})$ 
 $\vee$ 
 $(\exists\ cid.\ PID \in \in paperIDs\ s\ cid \wedge phase\ s\ cid > revPH)$ 
 $)$ 

lemma istate- $\Delta_1$ :
assumes  $B: B\ vl\ vl1$ 
shows  $\Delta_1\ istate\ vl\ istate\ vl1$ 
 $\langle proof \rangle$ 

lemma unwind-cont- $\Delta_1$ : unwind-cont  $\Delta_1\ \{\Delta_1, \Delta_2, \Delta_e\}$ 
 $\langle proof \rangle$ 

lemma unwind-cont- $\Delta_2$ : unwind-cont  $\Delta_2\ \{\Delta_2, \Delta_3, \Delta_e\}$ 
 $\langle proof \rangle$ 

lemma unwind-cont- $\Delta_3$ : unwind-cont  $\Delta_3\ \{\Delta_3, \Delta_e\}$ 
 $\langle proof \rangle$ 

definition K1exit where
 $K1exit\ cid\ s \equiv PID \in \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq revPH \wedge$ 
 $\neg (\exists\ uid.\ isChair\ s\ cid\ uid \wedge pref\ s\ uid\ PID \neq Conflict)$ 

lemma invarNT-K1exit: invarNT ( $K1exit\ cid$ )
 $\langle proof \rangle$ 

lemma noVal-K1exit: noVal ( $K1exit\ cid$ )  $v$ 
 $\langle proof \rangle$ 

definition K2exit where
 $K2exit\ cid\ s\ v \equiv$ 
 $PID \in \in paperIDs\ s\ cid \wedge phase\ s\ cid \geq revPH \wedge$ 

```

```
    snd v ≠ {uid'. isPC s cid uid' ∧ pref s uid' PID ≠ Conflict}
```

```
lemma revPH-isPC-constant:  
assumes s: reach s  
and step s a = (ou,s')  
and pid ∈ paperIDs s cid and phase s cid ≥ revPH  
shows isPC s' cid uid' = isPC s cid uid'  
(proof)
```

```
lemma revPH-pref-constant:  
assumes s: reach s  
and step s a = (ou,s')  
and pid ∈ paperIDs s cid and phase s cid ≥ revPH  
shows pref s' uid pid = pref s uid pid  
(proof)
```

```
lemma invarNT-K2exit: invarNT (λ s. K2exit cid s v)  
(proof)
```

```
lemma noVal-K2exit: noVal2 (K2exit cid) v  
(proof)
```

```
definition K3exit where  
K3exit cid s ≡ PID ∈ paperIDs s cid ∧ phase s cid > revPH
```

```
lemma invarNT-K3exit: invarNT (K3exit cid)  
(proof)
```

```
lemma noVal-K3exit: noVal (K3exit cid) v  
(proof)
```

```
lemma unwind-exit-Δe: unwind-exit Δe  
(proof)
```

```
theorem secure: secure  
(proof)
```

```
end  
theory Reviewer-Assignment-All  
imports  
Reviewer-Assignment-NCPC  
Reviewer-Assignment-NCPC-Aut  
begin  
  
end  
theory Traceback-Properties  
imports Safety-Properties
```

begin

## 10 Traceback properties

In this section, we prove various traceback properties, by essentially giving trace-based justifications of certain occurring situations that are relevant for access to information:

**Being an author.** If a user is an author of a paper, then either the user has registered the paper in the first place or, inductively, has been appointed as coauthor by another author.

**Being a chair.** If a user is a chair of a conference, then either that user has registered the conference which has been approved by the superuser or, inductively, that user has been appointed by an existing chair of that conference.

**Being a PC member.** If a user is a PC member in a conference, then the user either must have been the original chair or must have been appointed by a chair.

**Being a reviewer.** If a user is a paper's reviewer, then the user must have been appointed by a chair (from among the PC members who have not declared a conflict with the paper).

**Having conflict.** If a user has conflict with a paper, then the user is either an author of the paper or the conflict has been declared by that user or by a paper's author, in such a way that between the moment when the conflict has been last declared and the current moment there is no transition that successfully removes the conflict.

**Conference reaching a phase.** If a conference is in a given phase different from “no phase”, then this has happened as a consequence of either a conference approval action by the superuser (if the phase is Setup) or a phase change action by a chair (otherwise).

More details and explanations can be found in [6, Section 3.6].

### 10.1 Preliminaries

```
inductive trace-between :: state ⇒ (state,act,out) trans trace ⇒ state ⇒ bool
where
  empty[simp]: trace-between s [] s
  | step: [[trace-between s tr sh; step sh a = (ou,s')]] ==> trace-between s (tr@[Trans
    sh a ou s']) s'
```

```

inductive-simps
  trace-ft-empty[simp]: trace-between  $s \sqbrack{} s'$  and
    trace-ft-snoc: trace-between  $s (tr@[\text{trn}]) s'$ 
  thm trace-ft-empty trace-ft-snoc

lemma trace-ft-append: trace-between  $s (tr1 @ tr2) s'$ 
   $\longleftrightarrow (\exists sh. \text{trace-between } s \text{ } tr1 \text{ } sh \wedge \text{trace-between } sh \text{ } tr2 \text{ } s')$ 
  ⟨proof⟩

lemma trace-ft-Cons: trace-between  $s (\text{trn} \# tr) s'$ 
   $\longleftrightarrow (\exists sh \text{ } ou \text{ } a. \text{trn} = \text{Trans } s \text{ } a \text{ } ou \text{ } sh \wedge \text{step } s \text{ } a = (ou, sh) \wedge \text{trace-between } sh \text{ } tr$ 
   $s')$ 
  ⟨proof⟩

lemmas trace-ft-simps = trace-ft-empty trace-ft-snoc trace-ft-Cons trace-ft-append

inductive trace-to :: (state,act,out) trans trace  $\Rightarrow$  state  $\Rightarrow$  bool where
  empty: trace-to  $\sqbrack{} istate$ 
  | step:  $\llbracket \text{trace-to } tr \text{ } s; \text{step } s \text{ } a = (ou, s') \rrbracket \implies \text{trace-to } (tr@[\text{Trans } s \text{ } a \text{ } ou \text{ } s']) \text{ } s'$ 

lemma trace-to-ft: trace-to  $tr \text{ } s \longleftrightarrow \text{trace-between } istate \text{ } tr \text{ } s$ 
  ⟨proof⟩

inductive-simps trace-to-empty[simp]: trace-to  $\sqbrack{} s$ 

lemma trace-to-reach: assumes trace-to  $tr \text{ } s$  shows reach  $s$ 
  ⟨proof⟩

lemma reach-to-trace: assumes reach  $s$  obtains tr where trace-to  $tr \text{ } s$ 
  ⟨proof⟩

lemma reach-trace-to-conv: reach  $s \longleftrightarrow (\exists tr. \text{trace-to } tr \text{ } s)$ 
  ⟨proof⟩

thm trace-to.induct[no-vars]

lemma trace-to-induct[case-names empty step, induct set]:
   $\llbracket \text{trace-to } x1 \text{ } x2; P \sqbrack{} istate;$ 
   $\bigwedge \text{tr } s \text{ } a \text{ } ou \text{ } s'.$ 
   $\llbracket \text{trace-to } tr \text{ } s; P \text{ } tr \text{ } s; \text{reach } s; \text{reach } s'; \text{step } s \text{ } a = (ou, s') \rrbracket$ 
   $\implies P (tr \# \# \text{Trans } s \text{ } a \text{ } ou \text{ } s') \text{ } s \rrbracket$ 
   $\implies P \text{ } x1 \text{ } x2$ 
  ⟨proof⟩

```

## 10.2 Authorship

Only the creator of a paper, and users explicitly added by other authors, are authors of a paper.

**inductive** *isAut'* :: (*state,act,out*) *trans* *trace*  $\Rightarrow$  *confID*  $\Rightarrow$  *userID*  $\Rightarrow$  *paperID*  $\Rightarrow$

```

bool where
creator: [[ trn = Trans - (Cact (cPaper cid uid - pid - -)) outOK - ]]
  ==> isAut' (tr@[trn]) cid uid pid

| co-author: [[
  isAut' tr cid uid' pid;
  trn = Trans - (Cact (cAuthor cid uid' - pid uid)) outOK - ]]
  ==> isAut' (tr@[trn]) cid uid pid

| irrelevant: isAut' tr cid uid' pid ==> isAut' (tr@[-]) cid uid' pid

```

```

lemma justify-author:
assumes trace-to tr s
assumes isAut s cid uid pid
shows isAut' tr cid uid pid
⟨proof⟩

```

```

lemma author-justify:
assumes trace-to tr s
assumes isAut' tr cid uid pid
shows isAut s cid uid pid
⟨proof⟩

```

```

theorem isAut-eq: trace-to tr s ==> isAut s cid uid pid <=> isAut' tr cid uid pid
⟨proof⟩

```

### 10.3 Becoming a Conference Chair

```

inductive isChair' :: (state,act,out) trans trace => confID => userID => bool where
creator: [[ trn=Trans - (Cact (cConf cid uid - - -)) outOK - ]]
  ==> isChair' (tr@[trn]) cid uid

| add-chair: [[ isChair' tr cid uid'; trn = Trans - (Cact (cChair cid uid' - uid)) outOK - ]]
  ==> isChair' (tr@[trn]) cid uid

| irrelevant: [[isChair' tr cid uid]] ==> isChair' (tr@[-]) cid uid

```

```

lemma justify-chair:
assumes trace-to tr s
assumes isChair s cid uid
shows isChair' tr cid uid
⟨proof⟩

```

```

lemma chair-justify:
assumes trace-to tr s
assumes isChair' tr cid uid
shows isChair s cid uid
⟨proof⟩

```

**theorem** *isChair-eq*: *trace-to tr s*  $\implies$  *isChair s cid uid* = *isChair' tr cid uid*

*(proof)*

#### 10.4 Committee Membership

**inductive** *isPC'* :: (*state,act,out*) *trans trace*  $\Rightarrow$  *confID*  $\Rightarrow$  *userID*  $\Rightarrow$  *bool where*  
  *chair*: *isChair' tr cid uid*  $\implies$  *isPC' tr cid uid*  
  | *add-com*:  $\llbracket \text{isChair}' \text{ tr cid uid}'; \text{trn} = \text{Trans} - (\text{Cact} (\text{cPC} \text{ cid uid}' - \text{uid})) \text{ outOK}$   
   $\neg \llbracket$   
     $\implies \text{isPC}' (\text{tr}@[\text{trn}]) \text{ cid uid}$   
  | *irrelevant*:  $\llbracket \text{isPC}' \text{ tr cid uid} \rrbracket \implies \text{isPC}' (\text{tr}@[-]) \text{ cid uid}$

**lemma** *justify-com*:

**assumes** *trace-to tr s*  
**assumes** *isPC s cid uid*  
**shows** *isPC' tr cid uid*  
*(proof)*

**lemma** *com-justify*:

**assumes** *trace-to tr s*  
**assumes** *isPC' tr cid uid*  
**shows** *isPC s cid uid*  
*(proof)*

**theorem** *isPC-eq*: *trace-to tr s*  $\implies$  *isPC s cid uid* = *isPC' tr cid uid*

*(proof)*

#### 10.5 Being a Reviewer

**inductive** *isRev'* :: (*state,act,out*) *trans trace*  $\Rightarrow$  *confID*  $\Rightarrow$  *userID*  $\Rightarrow$  *paperID*  $\Rightarrow$   
*bool where*  
  *add-rev*:  $\llbracket \text{isChair}' \text{ tr cid uid}'; \text{trn} = \text{Trans} - (\text{Cact} (\text{cReview} \text{ cid uid}' - \text{pid uid})) \text{ outOK}$   
   $\neg \llbracket$   
     $\implies \text{isRev}' (\text{tr}@[\text{trn}]) \text{ cid uid pid}$   
  | *irrelevant*:  $\llbracket \text{isRev}' \text{ tr cid uid pid} \rrbracket \implies \text{isRev}' (\text{tr}@[-]) \text{ cid uid pid}$

**lemma** *justify-rev*:

**assumes** *trace-to tr s*  
**assumes** *isRev s cid uid pid*  
**shows** *isRev' tr cid uid pid*  
*(proof)*

**lemma** *rev-justify*:

**assumes** *trace-to tr s*  
**assumes** *isRev' tr cid uid pid*  
**shows** *isRev s cid uid pid*  
*(proof)*

**theorem** *isRev-eq*: *trace-to tr s*  $\implies$  *isRev s cid uid pid = isRev' tr cid uid pid*

*(proof)*

## 10.6 Conflicts

**fun** *irrev-conflict* :: *userID*  $\Rightarrow$  *paperID*  $\Rightarrow$  (*state,act,out*) *trans*  $\Rightarrow$  *bool*

**where**

$$\begin{aligned} & \text{irrev-conflict } uid\ pid\ (\text{Trans} - (\text{Cact}\ (\text{cPaper} - uid' - pid' - -))\ \text{outOK} -) \\ & \quad \longleftrightarrow uid' = uid \wedge pid' = pid \\ | & \text{ irrev-conflict } uid\ pid\ (\text{Trans} - (\text{Cact}\ (\text{cAuthor} - - - pid'\ uid'))\ \text{outOK} -) \\ & \quad \longleftrightarrow uid' = uid \wedge pid' = pid \\ | & \text{ irrev-conflict } uid\ pid\ - \longleftrightarrow False \end{aligned}$$

**fun** *set-conflict* :: *userID*  $\Rightarrow$  *paperID*  $\Rightarrow$  (*state,act,out*) *trans*  $\Rightarrow$  *bool*

**where**

$$\begin{aligned} & \text{set-conflict } uid\ pid\ (\text{Trans} - (\text{Cact}\ (\text{cConflict} - - - pid'\ uid'))\ \text{outOK} -) \\ & \quad \longleftrightarrow uid' = uid \wedge pid' = pid \\ | & \text{ set-conflict } uid\ pid\ (\text{Trans} - (\text{Uact}\ (\text{uPref} - uid' - pid'\ Conflict))\ \text{outOK} -) \\ & \quad \longleftrightarrow uid' = uid \wedge pid' = pid \\ | & \text{ set-conflict } - - - \longleftrightarrow False \end{aligned}$$

**fun** *reset-conflict* :: *userID*  $\Rightarrow$  *paperID*  $\Rightarrow$  (*state,act,out*) *trans*  $\Rightarrow$  *bool*

**where**

$$\begin{aligned} & \text{reset-conflict } uid\ pid\ (\text{Trans} - (\text{Uact}\ (\text{uPref} - uid' - pid'\ pr))\ \text{outOK} -) \\ & \quad \longleftrightarrow uid' = uid \wedge pid' = pid \wedge pr \neq Conflict \\ | & \text{ reset-conflict } - - - \longleftrightarrow False \end{aligned}$$

**definition** *conflict-trace* :: *userID*  $\Rightarrow$  *paperID*  $\Rightarrow$  (*state,act,out*) *trans trace*  $\Rightarrow$  *bool*

**where**

$$\begin{aligned} & \text{conflict-trace } uid\ pid\ tr \equiv \\ & (\exists \text{trn} \in \text{set tr}. \text{irrev-conflict } uid\ pid\ \text{trn}) \\ \vee & (\exists \text{tr1 trn tr2}. \text{tr=tr1@trn#tr2} \wedge \\ & \text{set-conflict } uid\ pid\ \text{trn} \wedge (\forall \text{trn} \in \text{set tr2}. \neg \text{reset-conflict } uid\ pid\ \text{trn})) \end{aligned}$$

**lemma** *irrev-conflict-impl-author*:

**assumes** *trace-to tr s*

**assumes**  $\exists \text{trn} \in \text{set tr}. \text{irrev-conflict } uid\ pid\ \text{trn}$

**shows**  $\exists \text{cid}. \text{isAut } s \text{ cid uid pid}$

*(proof)*

**lemma** *irrev-conflict-impl-conflict*:

**assumes** *trace-to tr s*

**assumes**  $\exists \text{trn} \in \text{set tr}. \text{irrev-conflict } uid\ pid\ \text{trn}$

```

shows pref s uid pid = Conflict
⟨proof⟩

```

```

lemma conflict-justify:
assumes TR: trace-to tr s
assumes conflict-trace uid pid tr
shows pref s uid pid = Conflict
⟨proof⟩

```

```

lemma justify-conflict:
assumes TR: trace-to tr s
assumes pref s uid pid = Conflict
shows conflict-trace uid pid tr
⟨proof⟩

```

```

theorem conflict-eq:
assumes trace-to tr s
shows pref s uid pid = Conflict  $\longleftrightarrow$  conflict-trace uid pid tr
⟨proof⟩

```

## 10.7 Conference Phases

```

fun is-uPhase where
  is-uPhase cid (Trans - (Uact (uConfA cid' - -)) outOK -)  $\longleftrightarrow$  cid'=cid
  | is-uPhase cid (Trans - (Uact (uPhase cid' - - -)) outOK -)  $\longleftrightarrow$  cid'=cid
  | is-uPhase - -  $\longleftrightarrow$  False

```

```

inductive phase' :: (state,act,out) trans trace  $\Rightarrow$  confID  $\Rightarrow$  nat  $\Rightarrow$  bool where
  initial: phase' [] cid noPH
  | approve: [phase' tr cid noPH; trn=Trans s (Uact (uConfA cid (voronkov s) -)) outOK - ]
     $\implies$  phase' (tr@[trn]) cid setPH
  | advance: [trn = (Trans - (Uact (uPhase cid uid - ph)) outOK -); isChair' tr cid uid]
     $\implies$  phase' (tr@[trn]) cid ph
  | irrelevant: [phase' tr cid ph;  $\neg$ is-uPhase cid trn]  $\implies$  phase' (tr@[trn]) cid ph

```

```

lemma justify-phase:
assumes trace-to tr s
assumes phase s cid = ph
shows phase' tr cid ph
⟨proof⟩

```

```

lemma phase-justify:
assumes trace-to tr s
assumes phase' tr cid ph
shows phase s cid = ph
⟨proof⟩

```

```

theorem phase-eq:
  assumes trace-to tr s
  shows phase s cid = ph  $\longleftrightarrow$  phase' tr cid ph
  ⟨proof⟩

end
theory All-BD-Security-Instances-for-CoCon
imports

```

*Paper-Confidentiality/Paper-All*

*Review-Confidentiality/Review-All*

*Discussion-Confidentiality/Discussion-All*

*Decision-Confidentiality/Decision-All*

*Reviewer-Assignment-Confidentiality/Reviewer-Assignment-All*

```

Traceback-Properties
begin

```

```

end

```

## References

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