

# Isabelle/Circus

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## Abstract

The Circus specification language combines elements for complex data and behavior specifications, using an integration of Z and CSP with a refinement calculus. Its semantics is based on Hoare and He's unifying theories of programming (UTP).

Isabelle/Circus is a formalization of the UTP and the Circus language in Isabelle/HOL. It contains proof rules and tactic support that allows for proofs of refinement for Circus processes (involving both data and behavioral aspects).

This environment supports a syntax for the semantic definitions which is close to textbook presentations of Circus.

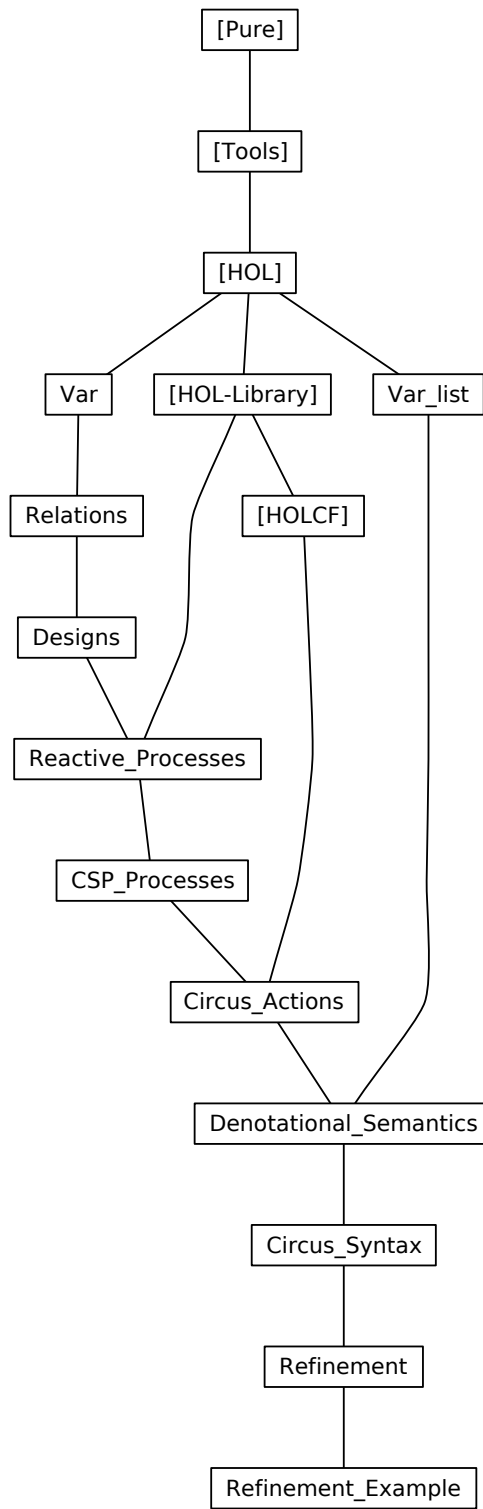
These theories are presented with details in [9]. This document is a technical appendix of this report.

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# 1 Introduction

Many systems involve both complex (sometimes infinite) data structures and interactions between concurrent processes. Refinement of abstract specifications of such systems into more concrete ones, requires an appropriate formalisation of refinement and appropriate proof support.

There are several combinations of process-oriented modeling languages with data-oriented specification formalisms such as Z or B or CASL; examples are discussed in [3, 10, 17, 14]. In this paper, we consider *Circus* [18], a language for refinement, that supports modeling of high-level specifications, designs, and concrete programs. It is representative of a class of languages that provide facilities to model data types, using a predicate-based notation, and patterns of interactions, without imposing architectural restrictions. It is this feature that makes it suitable for reasoning about both abstract and low-level designs.

We present a “shallow embedding” of the *Circus* semantics enabling state variables and channels in *Circus* to have arbitrary HOL types. Therefore, the entire handling of typing can be completely shifted to the (efficiently implemented) Isabelle type-checker and is therefore implicit in proofs. This drastically simplifies definitions and proofs, and makes the reuse of standardized proof procedures possible. Compared to implementations based on a “deep embedding” such as [19] this significantly improves the usability of the resulting proof environment.

Our representation brings particular technical challenges and contributions concerning some important notions about variables. The main challenge was to represent alphabets and bindings in a typed way that preserves the semantics and improves deduction. We provide a representation of bindings without an explicit management of alphabets. However, the representation of some core concepts in the unifying theories of programming (UTP) and *Circus* constructs (variable scopes and renaming) became challenging. Thus, we propose a (stack-based) solution that allows the coding of state variables scoping with no need for renaming. This solution is even a contribution to the UTP theory that does not allow nested variable scoping. Some challenging and tricky definitions (e.g. channels and name sets) are explained in this paper.

This paper is organized as follows. The next section gives an introduction to the basics of our work: Isabelle/HOL, UTP and *Circus* with a short example of a *Circus* process. In Section 3, we present our embedding of the basic concepts of *Circus* (alphabet, variables ...). We introduce the representation of some *Circus* actions and process, with an overview of the Isabelle/*Circus* syntax. In Section 4, we show on an example, how Isabelle/*Circus* can be used to write specifications. We give some details on what is happening “behind the scenes” when the system parses each part of the specification. In the last part of this section, we show how to write proofs based on spec-

ifications, and give a refinement proof example. A more developed version of this paper can be found in [9].

## 2 Background

### 2.1 Isabelle, HOL and Isabelle/HOL

#### 2.1.1 isar

[12] is a generic theorem prover implemented in SML. It is based on the so-called “LCF-style architecture”, which makes it possible to extend a small trusted logical kernel by user-programmed procedures in a logically safe way. New object logics can be introduced to Isabelle by specifying their syntax and semantics, by deriving its inference rules from there and program specific tactic support for the object logic. Isabelle is based on a typed  $\lambda$ -calculus including a Haskell-style type-system with type-classes (e.g. in  $\alpha :: \text{order}$ , the type-variable ranges over all types that posses a partial ordering.)

#### 2.1.2 Higher-order logic (HOL)

[7, 1] is a classical logic based on a simple type system. It provides the usual logical connectives like  $\_ \wedge \_$ ,  $\_ \rightarrow \_$ ,  $\neg \_$  as well as the object-logical quantifiers  $\forall x \bullet P x$  and  $\exists x \bullet P x$ ; in contrast to first-order logic, quantifiers may range over arbitrary types, including total functions  $f :: \alpha \Rightarrow \beta$ . HOL is centered around extensional equality  $\_ = \_ :: \alpha \Rightarrow \alpha \Rightarrow \text{bool}$ . HOL is more expressive than first-order logic, since, *e.g.*, induction schemes can be expressed inside the logic. Being based on some polymorphically typed  $\lambda$ -calculus, HOL can be viewed as a combination of a programming language like SML or Haskell and a specification language providing powerful logical quantifiers ranging over elementary and function types.

#### 2.1.3 Isabelle/HOL

is an instance of Isabelle with higher-order logic. It provides a rich collection of library theories like sets, pairs, relations, partial functions lists, multi-sets, orderings, and various arithmetic theories which only contain rules derived from conservative, *i.e.* logically safe definitions. Setups for the automated proof procedures like `simp`, `auto`, and arithmetic types such as `int` are provided.

### 2.2 Advanced Specification Constructs in Isabelle/HOL

#### 2.2.1 Constant definitions.

In its easiest form, constant definitions are definitional logical axioms of the form  $c \equiv E$  where  $c$  is a fresh constant symbol not occurring in  $E$  which is

closed (both wrt. variables and type variables). For example:

```
definition upd :: ( $\alpha \Rightarrow \beta$ )  $\Rightarrow \alpha \Rightarrow \beta \Rightarrow (\alpha \Rightarrow \beta)$     ("(|_ := _|")
where      "upd f x v  $\equiv \lambda z.$  if x=z then v else f z"
```

The pragma ("(|\_ := \_|") for the Isabelle syntax engine introduces the notation  $f(x:=y)$  for `upd f x y`. Moreover, some elaborate preprocessing allows for recursive definitions, provided that a termination ordering can be established. Such recursive definitions are thus internally reduced to definitional axioms.

### 2.2.2 Type definitions.

Types can be introduced in Isabelle/HOL in different ways. The most general way to safely introduce new types is using the `typedef` construct. This allows introducing a type as a non-empty subset of an existing type. More precisely, the new type is specified to be isomorphic to this non-empty subset. For instance:

```
typedef mytype = "{x::nat. x < 10}"
```

This definition requires that the set is non-empty:  $\exists x. x \in \{x::\text{nat}. x < 10\}$ , which is easy to prove in this case:

```
by (rule_tac x = 1 in exI, simp)
```

where `rule_tac` is a tactic that applies an introduction rule, and `exI` corresponds to the introduction of the existential quantification.

Similarly, the `datatype` command allows the definition of inductive datatypes. It introduces a datatype using a list of *constructors*. For instance, a logical compiler is invoked for the following introduction of the type `option`:

```
datatype  $\alpha$  option = None | Some  $\alpha$ 
```

which generates the underlying type definition and derives distinctness rules and induction principles. Besides the *constructors* `None` and `Some`, the following match-operator and his rules are also generated:

```
case x of None  $\Rightarrow \dots$  | Some a  $\Rightarrow \dots$ 
```

### 2.2.3 Extensible records.

Isabelle/HOL's support for *extensible records* is of particular importance for our work. Record types are denoted, for example, by:

```
record T = a::T1
          b::T2
```

which implicitly introduces the record constructor  $(a:=e_1, b:=e_2)$  and the update of record `r` in field `a`, written as `r(a:= x)`. Extensible records are represented internally by cartesian products with an implicit free component

$\delta$ , i.e. in this case by a triple of the type  $T_1 \times T_2 \times \delta$ . The third component can be referenced by a *special selector* `more` available on extensible records. Thus, the record `T` can be extended later on using the syntax:

```
record ET = T + c::T3
```

The key point is that theorems can be established, once and for all, on `T` types, even if future parts of the record are not yet known, and reused in the later definition and proofs over `ET`-values. Using this feature, we can model the effect of defining the alphabet of UTP processes incrementally while maintaining the full expressivity of HOL wrt. the types of  $T_1$ ,  $T_2$  and  $T_3$ .

### 2.3 Circus and its UTP Foundation

*Circus* is a formal specification language [18] which integrates the notions of states and complex data types (in a *Z*-like style) and communicating parallel processes inspired from CSP. From *Z*, the language inherits the notion of a schema used to model sets of (ground) states as well as syntactic machinery to describe pre-states and post-states; from CSP, the language inherits the concept of *communication events* and typed communication channels, the concepts of deterministic and non-deterministic choice (reflected by the process combinators  $P \square P'$  and  $P \sqcap P'$ ), the concept of concealment (hiding)  $P \setminus A$  of events in  $A$  occurring in the evolution of process  $P$ . Due to the presence of state variables, the *Circus* synchronous communication operator syntax is slightly different from CSP:  $P \llbracket n \mid c \mid n' \rrbracket P'$  means that  $P$  and  $P'$  communicate via the channels mentioned in  $c$ ; moreover,  $P$  may modify the variables mentioned in  $n$  only, and  $P'$  in  $n'$  only,  $n$  and  $n'$  are disjoint name sets.

Moreover, the language comes with a formal notion of refinement based on a denotational semantics. It follows the failure/divergence semantics [15], (but coined in terms of the UTP [13]) providing a notion of execution trace `tr`, refusals `ref`, and divergences. It is expressed in terms of the UTP [11] which makes it amenable to other refinement-notions in UTP. Figure 1 presents a simple *Circus* specification, `FIG`, the fresh identifiers generator.

#### 2.3.1 Predicates and Relations.

The UTP is a semantic framework based on an alphabetized relational calculus. An *alphabetized predicate* is a pair (*alphabet*, *predicate*) where the free variables appearing in the predicate are all in the alphabet, e.g.  $(\{x, y\}, x > y)$ . As such, it is very similar to the concept of a *schema* in *Z*. In the base theory Isabelle/UTP of this work, we represent alphabetized predicates by sets of (extensible) records, e.g.  $\{A. x \ A > y \ A\}$ .

An *alphabetized relation* is an alphabetized predicate where the alphabet is composed of input (undecorated) and output (dashed) variables. In this



[*ID*]

**channel** *req*  
**channel** *ret, out* : *ID*  
**process** *FIG*  $\hat{=}$  **begin**  
**state** *S* == [*idS* :  $\mathbb{P}$  *ID*]

*Init*  $\hat{=}$  *idS* :=  $\emptyset$

$\frac{\text{Out}}{\Delta S}$ $v! : ID$ <hr style="width: 100%;"/> $v! \notin idS$ $idS' = idS \cup \{v!\}$	$\frac{\text{Remove}}{\Delta S}$ $x? : ID$ <hr style="width: 100%;"/> $idS' = idS \setminus \{x?\}$
---	---

• *Init*; **var** *v* : *ID* •  
 $(\mu X \bullet (req \rightarrow Out; out!v \rightarrow Skip \square ret?x \rightarrow Remove); X)$

**end**

Figure 1: The Fresh Identifiers Generator in (Textbook) *Circus*

case the predicate describes a relation between input and output variables, for example  $(\{x, x', y, y'\}, x' = x + y)$  which is a notation for:  $\{(A, A') . x A' = x A + y A\}$ , which is a set of pairs, thus a relation.

Standard predicate calculus operators are used to combine alphabetized predicates. The definition of these operators is very similar to the standard one, with some additional constraints on the alphabets.

### 2.3.2 Designs and processes.

In UTP, in order to explicitly record the termination of a program, a subset of alphabetized relations is introduced. These relations are called *designs* and their alphabet should contain the special boolean observational variable *ok*. It is used to record the start and termination of a program. A UTP design is defined as follows in Isabelle:

$$(P \vdash Q) \equiv \lambda (A, A'). (\text{ok } A \wedge P (A, A')) \longrightarrow (\text{ok } A' \wedge Q (A, A'))$$

Following the way of UTP to describe reactive processes, more observational variables are needed to record the interaction with the environment. Three observational variables are defined for this subset of relations: *wait*, *tr* and *ref*. The boolean variable *wait* records if the process is waiting for an interaction or has terminated. *tr* records the list (trace) of interactions the process has performed so far. The variable *ref* contains the set

of interactions (events) the process may refuse to perform. These observational variables defines the basic alphabet of all reactive processes called “alpha\_rp”.

Some healthiness conditions are defined over **wait**, **tr** and **ref** to ensure that a reactive process satisfies some properties [6] (see Table 2 in [9]).

A CSP process is a UTP reactive process that satisfies two additional healthiness conditions(all well-formedness conditions can be found in [9]). A process that satisfies these conditions is said to be CSP healthy.

### 3 Isabelle/*Circus*

```

Process      ::= circusprocess Tpar* name = PParagraph* where Action
PParagraph  ::= AlphabetP | StateP | ChannelP | NamesetP | ChansetP | SchemaP
              | ActionP
AlphabetP   ::= alphabet [ vardecl+ ]
vardecl     ::= name :: type
StateP      ::= state [ vardecl+ ]
ChannelP    ::= channel [ chandekl+ ]
chandekl    ::= name | name type
NamesetP    ::= nameset name = [ name+ ]
ChansetP    ::= chanset name = [ name+ ]
SchemaP     ::= schema name = SchemaExpression
ActionP     ::= action name = Action
Action      ::= Skip | Stop | Action ; Action | Action □ Action | Action ⊞ Action
              | Action \ chansetN | var := expr | guard & Action | comm → Action
              | Schema name | ActionName | μ var @ Action | var var @ Action
              | Action [ namesetN | chansetN | namesetN ] Action

```

Figure 2: Isabelle/*Circus* syntax

The Isabelle/*Circus* environment allows a syntax of processes which is close to the textbook presentations of *Circus* (see Fig. 2). Similar to other specification constructs in Isabelle/HOL, this syntax is “parsed away”, *i.e.* compiled into an internal representation of the denotational semantics of *Circus*, which is a formalization in form of a shallow embedding of the (essentially untyped) paper-and-pencil definitions by Oliveira et al. [13], based on UTP. *Circus* actions are defined as CSP healthy reactive processes.

In the UTP representation of reactive processes we have given in a previous paper [8], the process type is generic. It contains two type parameters that represent the channel type and the alphabet of the process. These parameters are very general, and they are instantiated for each specific process. This could be problematic when representing the *Circus* semantics, since some definitions rely directly on variables and channels (e.g assignment and communication). In this section we present our solution to deal

with this kind of problems, and our representation of the *Circus* actions and processes.

We now describe the foundation as well as the semantic definition of some process operators of *Circus*. A distinguishing feature of *Circus* processes are explicit state variables which do not exist in other process algebras like, e.g., CSP. These can be:

- *global* state variables, *i.e.* they are declared via alphabetized predicates in the **state** section, or Z-like  $\Delta$  operations on global states that generate alphabetized relations, or
- *local* state variables, *i.e.* they are result of the variable declaration statement **var var @ Action**. The scope of local variables is restricted to **Action**.

On both kind of state variables, logical constraints may be expressed.

### 3.1 Alphabets and Variables

In order to define the set of variables of a specification, the *Circus* semantics considers the alphabet of its components, be it on the level of alphabetized predicates, alphabetized relations or actions. We recall that these items are represented by sets of records or sets of pairs of records. The *alphabet of a process* is defined by extending the basic reactive process alphabet (cf. Section 2.3.2) by its variable names and types. For the example *FIG*, where the global state variable

*idS* is defined, this is reflected in Isabelle/Circus by the extension of the process alphabet by this variable, *i.e.* by the extension of the Isabelle/HOL record:

```
record  $\alpha$  alpha =  $\alpha$  alpha_rp + idS :: ID set
```

This introduces the record type **alpha** that contains the observational variables of a reactive process, plus the variable **idS**. Note that our *Circus* semantic representation allows “built-in” bindings of alphabets in a typed way. Moreover, there is no restriction on the associated HOL type. However, the inconvenience of this representation is that variables cannot be introduced “on the fly”; they must be known statically *i.e.* at type inference time. Another consequence is that a “syntactic” operation such as variable renaming has to be expressed as a “semantic” operation that maps one record type into another.

#### 3.1.1 Updating and accessing global variables.

Since the alphabets are represented by HOL records, *i.e.* a kind binding “*name*  $\mapsto$  *value*”, we need a certain infrastructure to access data in them and to update them. The Isabelle representation as records gives us already

two functions (for each record) “select” and “update”. The “select” function returns the value of a given variable name, and the “update” functions updates the value of this variable. Since we may have different HOL types for different variables, a unique definition for select and update cannot be provided. There is an instance of these functions for each variable in the record. The name of the variable is used to distinguish the different instances: for the select function the name is used directly and for the update function the name is used as a prefix e.g. for a variable named “x” the names of the *select* and *update* functions are respectively *x* of type  $\alpha$  and *x\_update*. Since a variable is characterized essentially by these functions, we define a general type (synonym) called *var* which represents a variable as a pair of its select and update function (in the underlying state  $\sigma$ ).

```
types ( $\beta, \sigma$ ) var = " $(\sigma \Rightarrow \beta) * ((\beta \Rightarrow \beta) \Rightarrow \sigma \Rightarrow \sigma)$ "
```

For a given alphabet (record) of type  $\sigma$ ,  $(\beta, \text{the type } \sigma)\text{var}$  represents the type of the variables whose value type is  $\beta$ . One can then extract the select and update functions from a given variable with the following functions:

```
definition select :: " $(\beta, \sigma) \text{var} \Rightarrow \sigma \Rightarrow \beta$ "
where select f  $\equiv$  (fst f)
```

```
definition update :: " $(\beta, \sigma) \text{var} \Rightarrow \beta \Rightarrow \sigma \Rightarrow \sigma$ "
where update f v  $\equiv$  (snd f) ( $\lambda \_ . \text{v}$ )
```

Finally, we introduce a function called *VAR* to implement a syntactic translation of a variable name to an entity of type *var*.

```
syntax "_VAR" :: " $\text{id} \Rightarrow (\beta, \sigma) \text{var}$ " ("VAR _")
translations VAR x  $\Rightarrow$  (x, _update_name x)
```

Note that in this syntactic translation rule, *\_update\_name* *x* stands for the concatenation of the string *\_update\_* with the content of the variable *x*; the resulting *\_update\_x* in this example is mapped to the field-update function of the extensible record *x\_update* by a default mechanism. On this basis, the assignment notation can be written as usual:

```
syntax
_assign :: " $\text{id} \Rightarrow (\sigma \Rightarrow \beta) \Rightarrow (\alpha, \sigma) \text{action}$ " ("_ ‘:=’ _")
translations
"x ‘:=’ E"  $\Rightarrow$  "CONST ASSIGN (VAR x) E"
```

and mapped to the *semantics* of the program variable  $(\mathbf{x}, \mathbf{x\_update})$  together with the universal *ASSIGN* operator defined later on, in Section 3.3.2.

### 3.1.2 Updating and accessing local variables.

In *Circus*, local program variables can be introduced on the fly, and their scopes are explicitly defined, as can be seen in the *FIG* example. In textbook

*Circus*, nested scopes are handled by variable renaming which is not possible in our representation due to the implicit representation of variable names. We represent local program variables by global variables, using the `var` type defined above, where selection and update involve an explicit stack discipline. Each variable is mapped to a list of values, and not to one value only (as for state variables). Entering the scope of a variable is just adding a new value as the head of the corresponding values list. Leaving a variable scope is just removing the head of the values list. The `select` and `update` functions correspond to selecting and updating the head of the list. This ensures dynamic scoping, as it is stated by the *Circus* semantics.

Note that this encoding scheme requires to make local variables lexically distinct from global variables; local variable instances are just distinguished from the global ones by the stack discipline.

## 3.2 Synchronization infrastructure: Name sets and channels.

### 3.2.1 Name sets.

An important notion, used in the definition of parallel *Circus* actions, is name sets as seen in Section 2.3. A name set is a set of variable names, which is a subset of the alphabet. This notion cannot be directly expressed in our representation since variable names are not explicitly represented. Thus its definition relies on the characterization of the variables in our representation. As for variables, name sets are defined by their functional characterization. They are used in the definition of the binding merge function *MSt* below:

$$\forall v @ (v \in ns1 \Rightarrow v' = (1.v)) \wedge (v \in ns2 \Rightarrow v' = (2.v)) \wedge (v \notin ns1 \cup ns2 \Rightarrow v' = v).$$

The disjoint name sets *ns1* and *ns2* are used to determine which variable values (extracted from local bindings of the parallel components) are used to update the global binding of the process. A name set can be functionally defined as a binding update function, that copies values from a local binding to the global one. For example, a name set *NS* that only contains the variable *x* can be defined as follows in Isabelle/Circus:

**definition** `NS lb gb ≡ x_update (x lb) gb`

where `lb` and `gb` stands for local and global bindings, `x` and `x_update` are the `select` and `update` functions of variable `x`. Then the merge function can be defined by composing the application of the name sets to the global binding.

### 3.2.2 Channels.

Reactive processes interact with the environment via synchronizations and communications. A synchronization is an interaction via a channel without any exchange of data. A communication is a synchronization with data exchange. In order to reason about communications in the same way, a

datatype *channels* is defined using the channels names as constructors. For instance, in:

```
datatype channels = chan1 | chan2 nat | chan3 bool
```

we declare three channels: **chan1** that synchronizes without data, **chan2** that communicates natural values and **chan3** that exchanges boolean values.

This definition makes it possible to reason globally about communications since they have the same type. However, the channels may not have the same type: in the example above, the types of **chan1**, **chan2** and **chan3** are respectively **channels**, **nat**  $\Rightarrow$  **channels** and **bool**  $\Rightarrow$  **channels**. In the definition of some *Circus* operators, we need to compare two channels, and one can't compare for example **chan1** with **chan2** since they don't have the same type. A solution would be to compare **chan1** with (**chan2** *v*). The types are equivalent in this case, but the problem remains because comparing (**chan2** 0) to (**chan2** 1) will state inequality just because the communicated values are not equal. We could define an inductive function over the datatype **channels** to compare channels, but this is only possible when all the channels are known *a priori*.

Thus, we add some constraint to the generic channels type: we require the **channels** type to implement a function **chan\_eq** that tests the equality of two channels. Fortunately, Isabelle/HOL provides a construct for this kind of restriction: the type classes (sorts) mentioned in Section 2.1. We define a type class (interface) **chan\_eq** that contains a signature of the **chan\_eq** function.

```
class chan_eq =
  fixes chan_eq :: " $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$ "
begin end
```

Concrete channels type must implement the interface (class) “**chan\_eq**” that can be easily defined for this concrete type. Moreover, one can use this class to add some definition that depends on the channel equivalence function. For example, a trace equivalence function can be defined as follows:

```
fun tr_eq where
  tr_eq [] [] = True | tr_eq xs [] = False | tr_eq [] ys = False
| tr_eq (x#xs) (y#ys) = if chan_eq x y then tr_eq xs ys else False
```

It is applicable to traces of elements whose type belongs to the sort **chan\_eq**.

### 3.3 Actions and Processes

The *Circus* actions type is defined as the set of all the CSP healthy reactive processes. The type  $(\alpha, \sigma)\text{relation\_rp}$  is the reactive process type where  $\alpha$  is of **channels** type and  $\sigma$  is a record extensions of **action\_rp**, *i.e.* the global state variables. On this basis, we can encode the concept of a process

for a family of possible state instances. We introduce below the vital type `action`:

```
typedef(Action)
  ( $\alpha :: \text{chan\_eq}, \sigma$ ) action = { $p :: (\alpha, \sigma)\text{relation\_rp}$ . is\_CSP\_process  $p$ }
proof - {...}
qed
```

As mentioned before, a type-definition introduces a new type by stating a set. In our case it is the set of reactive processes that satisfy the healthiness-conditions for CSP-processes, isomorphic to the new type.

Technically, this construct introduces two constants definitions `Abs_Action` and `Rep_Action` respectively of type  $(\alpha, \sigma)\text{relation\_rp} \Rightarrow (\alpha, \sigma)\text{action}$  and  $(\alpha, \sigma)\text{action} \Rightarrow (\alpha, \sigma)\text{relation\_rp}$  as well as the usual two axioms expressing the bijection `Abs_Action(Rep_Action(X))=X` and `is_CSP_process p  $\implies$  Rep_Action(Abs_Action(p))=p` where `is_CSP_process` captures the healthiness conditions.

Every *Circus* action is an abstraction of an alphabetized predicate. In [9], we introduce the definitions of all the actions and operators using their denotational semantics. The environment contains, for each action, the proof that this predicate is CSP healthy.

In this section, we present some of the important definitions, namely: basic actions, assignments, communications, hiding, and recursion.

### 3.3.1 Basic actions.

`Stop` is defined as a reactive design, with a precondition `true` and a postcondition stating that the system deadlocks and the traces are not evolving.

**definition**

`Stop`  $\equiv$  `Abs_Action (R (true  $\vdash$   $\lambda(A, A')$ . tr  $A' = \text{tr } A \wedge \text{wait } A'$ ))`

`Skip` is defined as a reactive design, with a precondition `true` and a postcondition stating that the system terminates and all the state variables are not changed. We represent this fact by stating that the `more` field (seen in Section 2.2) is not changed, since this field is mapped to all the state variables. Note that using the `more`-field is a tribute to our encoding of alphabets by extensible records and stands for all future extensions of the alphabet (e.g. state variables).

**definition** `Skip`  $\equiv$  `Abs_Action (R (true  $\vdash$   $\lambda(A, A')$ . tr  $A' = \text{tr } A$   
 $\wedge \neg \text{wait } A' \wedge \text{more } A = \text{more } A'$ ))`

### 3.3.2 The universal assignment action.

In Section 3.1.1, we described how global and local variables are represented by access- and updates functions introduced by fields in extensible records.

In these terms, the "lifting" to the assignment action in *Circus* processes is straightforward:

**definition**

ASSIGN::" $(\beta, \sigma) \text{ var} \Rightarrow (\sigma \Rightarrow \beta) \Rightarrow (\alpha::\text{ev\_eq}, \sigma) \text{ action}$ "

where

ASSIGN  $x \ e \equiv \text{Abs\_Action } (R \ (\text{true} \vdash Y))$

where

$Y = \lambda (A, A'). \text{tr } A' = \text{tr } A \wedge \neg \text{wait } A' \wedge$   
 $\text{more } A' = (\text{assign } x \ (e \ (\text{more } A))) \ (\text{more } A)$

where `assign` is the projection into the update operation of a semantic variable described in section 3.1.1.

### 3.3.3 Communications.

The definition of prefixed actions is based on the definition of a special relation `do_I`. In the *Circus* denotational semantics [13], various forms of prefixing were defined. In our theory, we define one general form, and the other forms are defined as special cases.

**definition** `do_I c x P`  $\equiv X \triangleleft \text{wait } o \ \text{fst} \triangleright Y$

where

$X = (\lambda (A, A'). \text{tr } A = \text{tr } A' \wedge ((c \ ' \ P) \cap \text{ref } A') = \{\})$

and

$Y = (\lambda (A, A'). \text{hd } ((\text{tr } A') - (\text{tr } A)) \in (c \ ' \ P) \wedge$   
 $(c \ (\text{select } x \ (\text{more } A))) = (\text{last } (\text{tr } A'))))$

where `c` is a channel constructor, `x` is a variable (of `var` type) and `P` is a predicate. The `do_I` relation gives the semantics of an interaction: if the system is ready to interact, the trace is unchanged and the waiting channel is not refused. After performing the interaction, the new event in the trace corresponds to this interaction.

The semantics of the whole action is given by the following definition:

**definition** `Prefix c x P S`  $\equiv \text{Abs\_Action}(R \ (\text{true} \vdash Y)) ; S$

where

$Y = \text{do\_I } c \ x \ P \wedge (\lambda (A, A'). \text{more } A' = \text{more } A)$

where `c` is a channel constructor, `x` is a variable (of type `var`), `P` is a predicate and `S` is an action. This definition states that the prefixed action semantics is given by the interaction semantics (`do_I`) sequentially composed with the semantics of the continuation (action `S`).

Different types of communication are considered:

- Inputs: the communication is done over a variable.
- Constrained Inputs: the input variable value is constrained with a predicate.



- Outputs: the communications exchanges only one value.
- Synchronizations: only the channel name is considered (no data).

The semantics of these different forms of communications is based on the general definition above.

**definition** `read c x P`  $\equiv$  `Prefix c x true P`

**definition** `write1 c a P`  $\equiv$  `Prefix c ( $\lambda$ s. a s, ( $\lambda$  x.  $\lambda$ y. y)) true P`

**definition** `write0 c P`  $\equiv$  `Prefix ( $\lambda$ _.c) ( $\lambda$ _._, ( $\lambda$  x.  $\lambda$ y. y)) true P`

where `read`, `write1` and `write0` respectively correspond to inputs, outputs and synchronization. Constrained inputs correspond to the general definition.

We configure the Isabelle syntax-engine such that it parses the usual communication primitives and gives the corresponding semantics:

**translations**

```

c ? p  $\rightarrow$  P      == CONST read c (VAR p) P
c ? p : b  $\rightarrow$  P == CONST Prefix c (VAR p) b P
c ! p  $\rightarrow$  P      == CONST write1 c p P
a  $\rightarrow$  P         == CONST write0 (TYPE(_)) a P

```

### 3.3.4 Hiding.

The hiding operator is interesting because it depends on a channel set. This operator `P \ cs` is used to encapsulate the events that are in the channel set `cs`. These events become no longer visible from the environment. The semantics of the hiding operator is given by the following reactive process:

**definition**

`Hide :: "[ $(\alpha, \sigma)$  action ,  $\alpha$  set]  $\Rightarrow$  ( $\alpha, \sigma$ ) action" (infixl "\)  
where`

`P \ cs`  $\equiv$  `Abs_Action( R( $\lambda$  (A, A')`

`$\exists$  s. (Rep_Action P)(A, A'( $\text{tr} :=$ s,  $\text{ref} :=$  ( $\text{ref A}'$ )  $\cup$  cs))  
 $\wedge$  ( $\text{tr A}' - \text{tr A}$ ) = ( $\text{tr\_filter}$  (s -  $\text{tr A}$ ) cs)); Skip`

The definition uses a filtering function `tr_filter` that removes from a trace the events whose channels belong to a given set. The definition of this function is based on the function `chan_eq` we defined in the class `chan_eq`. This explains the presence of the constraint on the type of the action channels in the hiding definition, and in the definition of the filtering function below:

```

fun tr_filter::"a::chan_eq list  $\Rightarrow$ a set  $\Rightarrow$ a list" where
  tr_filter [] cs = []
| tr_filter (x#xs) cs = (if ( $\neg$  chan-in_set x cs)
                        then (x#(tr_filter xs cs))
                        else (tr_filter xs cs))

```

where the `chan-in_set` function checks if a given channel belongs to a channel set using `chan_eq` as equality function.

### 3.3.5 Recursion.

To represent the recursion operator “ $\mu$ ” over actions, we use the universal least fix-point operator “*lfp*” defined in the HOL library for lattices and we follow again [13]. The use of least fix-points in [13] is the most substantial deviation from the standard CSP denotational semantics, which requires Scott-domains and complete partial orderings. The operator *lfp* is inherited from the “*Complete Lattice class*” under some conditions, and all theorems defined over this operator can be reused. In order to reuse this operator, we have to show that the least-fixpoint over functionals that enrich pairs of failure - and divergence trace sets monotonely, produces an `action` that satisfies the CSP healthiness conditions. This consistency proof for the recursion operator is the largest contained in the Isabelle/*Circus* library.

Therefore, we must prove that the *Circus* actions type defines a complete lattice. This leads to prove that the actions type belongs to the HOL “*Complete Lattice class*”. Since type classes in HOL are hierarchic, the proof is in three steps: first, a proof that the *Circus* actions type forms a lattice by instantiating the HOL “*Lattice class*”; second, a proof that actions type instantiates a subclass of lattices called “*Bounded Lattice class*”; third, proof of the instantiation from the “*Complete Lattice class*”. More on these proofs can be found in [9].

### 3.3.6 Circus Processes.

A *Circus* process is defined in our environment as a local theory by introducing qualified names for all its components. This is very similar to the notion of *namespaces* popular in programming languages. Defining a *Circus* process locally makes it possible to encapsulate definitions of alphabet, channels, schema expressions and actions in the same namespace. It is important for the foundation of Isabelle/*Circus* to avoid the ambiguity between local process entities definitions (e.g. `FIG.Out` and `DFIG.Out` in the example of Section 4).

## 4 Using Isabelle/*Circus*

We describe the front-end interface of Isabelle/*Circus*. In order to support a maximum of common *Circus* syntactic look-and-feel, we have programmed at the SML level of Isabelle a compiler that parses and (partially) pretty prints *Circus* process given in the syntax presented in Figure 2.

## 4.1 Writing specifications

A specification is a sequence of paragraphs. Each paragraph may be a declaration of alphabet, state, channels, name sets, channel sets, schema expressions or actions. The main action is introduced by the keyword **where**. Below, we illustrate how to use the environment to write a *Circus* specification using the FIG process example presented in Figure 1.

```

circusprocess FIG =
  alphabet = [v::nat, x::nat]
  state = [idS::nat set]
  channel = [req, ret nat, out nat]
  schema Init = idS := {}
  schema Out =  $\exists a. v' = a \wedge v' \notin \text{idS} \wedge \text{idS}' = \text{idS} \cup \{v'\}$ 
  schema Remove =  $x \notin \text{idS} \wedge \text{idS}' = \text{idS} - \{x\}$ 
  where var v · Schema Init; ( $\mu X . (\text{req} \rightarrow \text{Schema Out}; \text{out!v} \rightarrow \text{Skip})$ 
    □ ( $\text{ret?x} \rightarrow \text{Schema Remove}$ ); X)

```

Each line of the specification is translated into the corresponding semantic operator given in Section 3.3. We describe below the result of executing each command of FIG:

- the compiler introduces a scope of local components whose names are qualified by the process name (FIG in the example).
- **alphabet** generates a list of record fields to represent the binding. These fields map names to value lists.
- **state** generates a list of record fields that corresponds to the state variables. The names are mapped to single values. This command, together with **alphabet** command, generates a record that represents all the variables (for the FIG example the command generates the record FIG\_alphabet, that contains the fields v and x of type nat list and the field idS of type nat set).
- **channel** introduces a datatype of typed communication channels (for the FIG example the command generates the datatype FIG\_channels that contains the constructors req without communicated value and ret and out that communicate natural values).
- **schema** allows the definition of schema expressions represented as an alphabetized relation over the process variables (in the example the schema expressions FIG.Init, FIG.Out and FIG.Remove are generated).
- **action** introduces definitions for *Circus* actions in the process. These definitions are based on the denotational semantics of *Circus* actions.

The type parameters of the action type are instantiated with the locally defined channels and alphabet types.

- **where** introduces the main action as in **action** command (in the example the main action is `FIG.FIG` of type `(FIG_channels, FIG_alphabet)action`).

## 4.2 Relational and Functional Refinement in Circus

The main goal of Isabelle/*Circus* is to provide a proof environment for *Circus* processes. The “shallow-embedding” of *Circus* and UTP in Isabelle/HOL offers the possibility to reuse proof procedures, infrastructure and theorem libraries already existing in Isabelle/HOL. Moreover, once a process specification is encoded and parsed in Isabelle/*Circus*, proofs of, e. g., refinement properties can be developed using the ISAR language for structured proofs.

To show in more details how to use Isabelle/*Circus*, we provide a small example of action refinement proof. The refinement relation is defined as the universal reverse implication in the UTP. In *Circus*, it is defined as follows:

**definition**  $A1 \sqsubseteq_c A2 \equiv (\text{Rep\_Action } A1) \sqsubseteq_{\text{utp}} (\text{Rep\_Action } A2)$

where  $A1$  and  $A2$  are *Circus* actions,  $\sqsubseteq_c$  and  $\sqsubseteq_{\text{utp}}$  stands respectively for refinement relation on *Circus* actions and on UTP predicate.

This definition assumes that the actions  $A1$  and  $A2$  share the same alphabet (binding) and the same channels. In general, refinement involves an important data evolution and growth. The data refinement is defined in [16, 5] by backwards and forwards simulations. In this paper, we restrict ourselves to a special case, the so-called *functional* backwards simulation. This refers to the fact that the abstraction relation  $R$  that relates concrete and abstract actions is just a function:

**definition** Simulation (" $\preceq_R$ ") where

$$A1 \preceq_R A2 = \forall a \ b. (\text{Rep\_Action } A2) (a, b) \longrightarrow (\text{Rep\_Action } A1) (R \ a, R \ b)$$

where  $A1$  and  $A2$  are *Circus* actions and  $R$  is a function mapping the corresponding  $A1$  alphabet to the  $A2$  alphabet.

## 4.3 Refinement Proofs

We can use the definition of simulation to transform the proof of refinement to a simple proof of implication by unfolding the operators in terms of their underlying relational semantics. The problem with this approach is that the size of proofs will grow exponentially with the size of the processes. To avoid this problem, some general refinement laws were defined in [5] to deal with the refinement of *Circus* actions at operators level and not at UTP level. We introduced and proved a subset of these laws in our environment (see Table 1).

$\frac{P \preceq_S Q \quad P' \preceq_S Q'}{P; P' \preceq_S Q; Q'} \text{SeqI}$	$\frac{P \preceq_S Q \quad g_1 \simeq_S g_2}{g_1 \& P \preceq_S g_2 \& Q} \text{GrdI}$
$\frac{P \preceq_S Q \quad x \sim_S y}{\text{var } x \bullet P \preceq_S \text{var } y \bullet Q} \text{VarI}$	$\frac{P \preceq_S Q \quad x \sim_S y}{c?x \rightarrow P \preceq_S c?y \rightarrow Q} \text{InpI}$
$\frac{P \preceq_S Q \quad P' \preceq_S Q'}{P \sqcap P' \preceq_S Q \sqcap Q'} \text{NdetI}$	$\frac{P \preceq_S Q \quad x \sim_S y}{c!x \rightarrow P \preceq_S c!y \rightarrow Q} \text{OutI}$
$\frac{[X \preceq_S Y] \quad \dots \quad P X \preceq_S Q Y \quad \text{mono } P \quad \text{mono } Q}{\mu X \bullet P X \preceq_S \mu Y \bullet Q Y} \text{MuI}$	$\frac{P \preceq_S Q \quad P' \preceq_S Q'}{P \sqcap P' \preceq_S Q \sqcap Q'} \text{DetI}$
$\frac{[Pre \text{ } sc_1(S A)] \quad [Pre \text{ } sc_1(S A) \quad sc_2(A, A')] \quad \dots \quad Pre \text{ } sc_2 A \quad sc_1(S A, S A')}{\text{schema } sc_1 \preceq_S \text{schema } sc_2} \text{SchI}$	$\frac{P \preceq_S Q}{a \rightarrow P \preceq_S a \rightarrow Q} \text{SyncI}$
$\frac{P \preceq_S Q \quad P' \preceq_S Q' \quad ns_1 \sim_S ns'_1 \quad ns_2 \sim_S ns'_2}{P[[ns_1 \mid cs \mid ns_2]]P' \preceq_S Q[[ns'_1 \mid cs \mid ns'_2]]Q'} \text{ParI}$	$\frac{}{Skip \preceq_S Skip} \text{SkipI}$

Table 1: Proved refinement laws

In Table 1, the relations  $x \sim_S y$  and  $g_1 \simeq_S g_2$  record the fact that the variable  $x$  (repectively the guard  $g_1$ ) is refined by the variable  $y$  (repectively by the guard  $g_2$ ) w.r.t the simulation function  $S$ .

These laws can be used in complex refinement proofs to simplify them at the *Circus* level. More rules can be defined and proved to deal with more complicated statements like combination of operators for example. Using these laws, and exploiting the advantages of a shallow embedding, the automated proof of refinement becomes surprisingly simple.

Coming back to our example, let us consider the DFIG specification below, where the management of the identifiers via the set `idS` is refined into a set of removed identifiers `retidS` and a number `max`, which is the rank of the last issued identifier.

```

circusprocess DFIG =
  alphabet = [w::nat, y::nat]
  state = [retidS::nat set, max::nat]
  schema Init = retidS' = {} ^ max' = 0
  schema Out = w' = max ^ max' = max+1 ^ retidS' = retidS - {max}
  schema Remove = y < max ^ y ∉ retidS ^ retidS' = retidS ∪ {y}
                  ^ max' = max
  where var w · Schema Init; (μ X · (req → Schema Out; out!w → Skip)
                               □ (ret?y → Schema Remove); X)

```

We provide the proof of refinement of FIG by DFIG just instantiating the simulation function `R` by the following abstraction function, that maps the underlying concrete states to abstract states:

```
definition Sim A = FIG_alphabet.make (w A) (y A)
                                   ({a. a < (max A) ∧ a ∉ (retidS A)})
```

where `A` is the alphabet of DFIG, and `FIG_alphabet.make` yields an alphabet of type `FIG_Alphabet` initializing the values of `v`, `x` and `idS` by their corresponding values from `DFIG_alphabet`: `w`, `y` and `{a. a < max ∧ a ∉ retidS}`).

To prove that DFIG is a refinement of FIG one must prove that the main action `DFIG.DFIG` refines the main action `FIG.FIG`. The definition is then simplified, and the refinement laws are applied to simplify the proof goal. Thus, the full proof consists of a few lines in ISAR:

```
theorem "FIG.FIG ≲Sim DFIG.DFIG"
  apply (auto simp: DFIG.DFIG_def FIG.FIG_def mono_Seq
           intro!: VarI SeqI MuI DetI SyncI InpI OutI SkipI)
  apply (simp_all add: SimRemove SimOut SimInit Sim_def)
done
```

First, the definitions of `FIG.FIG` and `DFIG.DFIG` are simplified and the defined refinement laws are used by the `auto` tactic as introduction rules. The second step replaces the definition of the simulation function and uses some proved lemmas to finish the proof. The three lemmas used in this proof: `SimInit`, `SimOut` and `SimRemove` give proofs of simulation for the schema `Init`, `Out` and `Remove`.

## 5 Conclusions

We have shown for the language *Circus*, which combines data-oriented modeling in the style of *Z* and behavioral modeling in the style of CSP, a semantics in form of a shallow embedding in Isabelle/HOL. In particular, by representing the somewhat non-standard concept of the *alphabet* in UTP in form of extensible records in HOL, we achieved a fairly compact, typed presentation of the language. In contrast to previous work based on some deep embedding [19], this shallow embedding allows arbitrary (higher-order) HOL-types for channels, events, and state-variables, such as, e.g., sets of relations etc. Besides, systematic renaming of local variables is avoided by compiling them essentially to global variables using a stack of variable instances. The necessary proofs for showing that the definitions are consistent — *i.e.* satisfy altogether `is_CSP_healthy` — have been done, together with a number of algebraic simplification laws on *Circus* processes.

Since the encoding effort can be hidden behind the scene by flexible extension mechanisms of the Isabelle, it is possible to have a compact notation

for both specifications and proofs. Moreover, existing standard tactics of Isabelle such as `auto`, `simp` and `metis` can be reused since our *Circus* semantics is representationally close to HOL. Thus, we provide an environment that can cope with combined refinements concerning data and behavior. Finally, we demonstrate its power — w.r.t. both expressivity and proof automation — with a small, but prototypic example of a process-refinement.

In the future, we intend to use Isabelle/*Circus* for the generation of test-cases, on the basis of [4], using the HOL-TestGen-environment [2].

## 6 Acknowledgement

We warmly thank Markarius Wenzel for his valuable help with the Isabelle framework. Furthermore, we are greatly indebted to Ana Cavalcanti for her comments on the semantic foundation of this work.

## 7 UTP variables

```
theory Var  
imports Main  
begin
```

UTP variables are characterized by two functions, *select* and *update*. The variable type is then defined as a tuple (*select* \* *update*).

```
type-synonym ('a, 'r) var = ('r  $\Rightarrow$  'a) * (('a  $\Rightarrow$  'a)  $\Rightarrow$  'r  $\Rightarrow$  'r)
```

The *lookup* function returns the corresponding *select* function of a variable.

```
definition lookup :: ('a, 'r) var  $\Rightarrow$  'r  $\Rightarrow$  'a  
  where lookup f  $\equiv$  (fst f)
```

The *assign* function uses the *update* function of a variable to update its value.

```
definition assign :: ('a, 'r) var  $\Rightarrow$  'a  $\Rightarrow$  'r  $\Rightarrow$  'r  
  where assign f v  $\equiv$  (snd f) ( $\lambda$  - . v)
```

The *VAR* function allows to retrieve a variable given its name.

```
syntax -VAR :: id  $\Rightarrow$  ('a, 'r) var ( $\langle$ VAR  $\rightarrow$ )  
translations VAR x  $\Rightarrow$  (x, -update-name x)
```

```
end
```

## 8 Predicates and relations

```
theory Relations  
imports Var  
begin  
default-sort type
```

Unifying Theories of Programming (UTP) is a semantic framework based on an alphabetized relational calculus. An alphabetized predicate is a pair (alphabet, predicate) where the free variables appearing in the predicate are all in the alphabet.

An alphabetized relation is an alphabetized predicate where the alphabet is composed of input (undecorated) and output (dashed) variables. In this case the predicate describes a relation between input and output variables.

### 8.1 Definitions

In this section, the definitions of predicates, relations and standard operators are given.

```
type-synonym 'α alphabet = 'α
```



**type-synonym**  $'\alpha$  predicate =  $'\alpha$  alphabet  $\Rightarrow$  bool

**definition**  $true::'\alpha$  predicate  
**where**  $true \equiv \lambda A. True$

**definition**  $false::'\alpha$  predicate  
**where**  $false \equiv \lambda A. False$

**definition**  $not::'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate ( $\langle \neg \rightarrow \rangle$  [40] 40)  
**where**  $\neg P \equiv \lambda A. \neg (P A)$

**definition**  $conj::'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate (**infixr**  $\langle \wedge \rangle$  35)  
**where**  $P \wedge Q \equiv \lambda A. P A \wedge Q A$

**definition**  $disj::'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate (**infixr**  $\langle \vee \rangle$  30)  
**where**  $P \vee Q \equiv \lambda A. P A \vee Q A$

**definition**  $impl::'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate (**infixr**  $\langle \longrightarrow \rangle$  25)  
**where**  $P \longrightarrow Q \equiv \lambda A. P A \longrightarrow Q A$

**definition**  $iff::'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate  $\Rightarrow$   $'\alpha$  predicate (**infixr**  $\langle \longleftrightarrow \rangle$  25)  
**where**  $P \longleftrightarrow Q \equiv \lambda A. P A \longleftrightarrow Q A$

**definition**  $ex::['\beta \Rightarrow '\alpha$  predicate]  $\Rightarrow$   $'\alpha$  predicate (**binder**  $\langle \exists \rangle$  10)  
**where**  $\exists x. P x \equiv \lambda A. \exists x. (P x) A$

**definition**  $all::['\beta \Rightarrow '\alpha$  predicate]  $\Rightarrow$   $'\alpha$  predicate (**binder**  $\langle \forall \rangle$  10)  
**where**  $\forall x. P x \equiv \lambda A. \forall x. (P x) A$

**type-synonym**  $'\alpha$  condition =  $('\alpha \times '\alpha) \Rightarrow$  bool  
**type-synonym**  $'\alpha$  relation =  $('\alpha \times '\alpha) \Rightarrow$  bool

**definition**  $cond::'\alpha$  relation  $\Rightarrow$   $'\alpha$  condition  $\Rightarrow$   $'\alpha$  relation  $\Rightarrow$   $'\alpha$  relation  
( $\langle (\exists - \triangleleft - \triangleright / -) \rangle$  [14,0,15] 14)  
**where**  $(P \triangleleft b \triangleright Q) \equiv (b \wedge P) \vee ((\neg b) \wedge Q)$

**definition**  $comp::(' \alpha \times ' \beta) \Rightarrow bool \Rightarrow ((' \beta \times ' \gamma) \Rightarrow bool) \Rightarrow (' \alpha \times ' \gamma) \Rightarrow bool$   
(**infixr**  $\langle ; ; \rangle$  25)  
**where**  $P ; ; Q \equiv \lambda r. r : (\{p. P p\} O \{q. Q q\})$

**definition**  $Assign::('a, 'b) var \Rightarrow 'a \Rightarrow 'b$  relation  
**where**  $Assign x a \equiv \lambda(A, A'). A' = (assign x a) A$

**syntax**  
 $-assignment :: id \Rightarrow 'a \Rightarrow 'b$  relation ( $\langle - ::= - \rangle$ )

**translations**  
 $y ::= vv \Rightarrow CONST Assign (VAR y) vv$

**abbreviation**  $(input)$  closure:: $'\alpha$  predicate  $\Rightarrow$  bool ( $\langle [-] \rangle$ )

**where**  $[ P ] \equiv \forall A. P A$

**abbreviation** (*input*)  $ndet::'\alpha \text{ relation} \Rightarrow '\alpha \text{ relation} \Rightarrow '\alpha \text{ relation} \langle\langle(- \sqcap -)\rangle\rangle$   
**where**  $P \sqcap Q \equiv P \vee Q$

**abbreviation** (*input*)  $join::'\alpha \text{ relation} \Rightarrow '\alpha \text{ relation} \Rightarrow '\alpha \text{ relation} \langle\langle(- \sqcup -)\rangle\rangle$   
**where**  $P \sqcup Q \equiv P \wedge Q$

**abbreviation** (*input*)  $ndetS::'\alpha \text{ relation set} \Rightarrow '\alpha \text{ relation} \langle\langle(\sqcap -)\rangle\rangle$   
**where**  $\sqcap S \equiv \lambda A. A \in \bigcup \{ \{ p. P p \} \mid P. P \in S \}$

**abbreviation** (*input*)  $conjS::'\alpha \text{ relation set} \Rightarrow '\alpha \text{ relation} \langle\langle(\sqcup -)\rangle\rangle$   
**where**  $\sqcup S \equiv \lambda A. A \in \bigcap \{ \{ p. P p \} \mid P. P \in S \}$

**abbreviation** (*input*)  $skip-r::'\alpha \text{ relation} \langle\langle\Pi r\rangle\rangle$   
**where**  $\Pi r \equiv \lambda (A, A') . A = A'$

**abbreviation** (*input*)  $Bot::'\alpha \text{ relation}$   
**where**  $Bot \equiv true$

**abbreviation** (*input*)  $Top::'\alpha \text{ relation}$   
**where**  $Top \equiv false$

**lemmas**  $utp-defs = true-def \ false-def \ conj-def \ disj-def \ not-def \ impl-def \ iff-def$   
 $ex-def \ all-def \ cond-def \ comp-def \ Assign-def$

## 8.2 Proofs

All useful proved lemmas over predicates and relations are presented here. First, we introduce the most important lemmas that will be used by automatic tools to simplify proofs. In the second part, other lemmas are proved using these basic ones.

### 8.2.1 Setup of automated tools

**lemma**  $true-intro$ :  $true \ x \ \mathbf{by} \ (simp \ add: \ utp-defs)$

**lemma**  $false-elim$ :  $false \ x \Longrightarrow C \ \mathbf{by} \ (simp \ add: \ utp-defs)$

**lemma**  $true-elim$ :  $true \ x \Longrightarrow C \Longrightarrow C \ \mathbf{by} \ (simp \ add: \ utp-defs)$

**lemma**  $not-intro$ :  $(P \ x \Longrightarrow false \ x) \Longrightarrow (\neg P) \ x \ \mathbf{by} \ (auto \ simp \ add: \ utp-defs)$

**lemma**  $not-elim$ :  $(\neg P) \ x \Longrightarrow P \ x \Longrightarrow C \ \mathbf{by} \ (auto \ simp \ add: \ utp-defs)$

**lemma**  $not-dest$ :  $(\neg P) \ x \Longrightarrow \neg P \ x \ \mathbf{by} \ (auto \ simp \ add: \ utp-defs)$

**lemma**  $conj-intro$ :  $P \ x \Longrightarrow Q \ x \Longrightarrow (P \wedge Q) \ x \ \mathbf{by} \ (auto \ simp \ add: \ utp-defs)$

**lemma**  $conj-elim$ :  $(P \wedge Q) \ x \Longrightarrow (P \ x \Longrightarrow Q \ x \Longrightarrow C) \Longrightarrow C \ \mathbf{by} \ (auto \ simp \ add: \ utp-defs)$

**lemma**  $disj-introC$ :  $(\neg Q \ x \Longrightarrow P \ x) \Longrightarrow (P \vee Q) \ x \ \mathbf{by} \ (auto \ simp \ add: \ utp-defs)$

**lemma** *disj-elim*:  $(P \vee Q) x \implies (P x \implies C) \implies (Q x \implies C) \implies C$  **by** (*auto simp add: utp-defs*)

**lemma** *impl-intro*:  $(P x \implies Q x) \implies (P \longrightarrow Q) x$  **by** (*auto simp add: utp-defs*)

**lemma** *impl-elimC*:  $(P \longrightarrow Q) x \implies (\neg P x \implies R) \implies (Q x \implies R) \implies R$  **by** (*auto simp add: utp-defs*)

**lemma** *iff-intro*:  $(P x \implies Q x) \implies (Q x \implies P x) \implies (P \longleftrightarrow Q) x$  **by** (*auto simp add: utp-defs*)

**lemma** *iff-elimC*:

$(P \longleftrightarrow Q) x \implies (P x \implies Q x \implies R) \implies (\neg P x \implies \neg Q x \implies R) \implies R$  **by** (*auto simp add: utp-defs*)

**lemma** *all-intro*:  $(\bigwedge a. P a x) \implies (\forall a. P a) x$  **by** (*auto simp add: utp-defs*)

**lemma** *all-elim*:  $(\forall a. P a) x \implies (P a x \implies R) \implies R$  **by** (*auto simp add: utp-defs*)

**lemma** *ex-intro*:  $P a x \implies (\exists a. P a) x$  **by** (*auto simp add: utp-defs*)

**lemma** *ex-elim*:  $(\exists a. P a) x \implies (\bigwedge a. P a x \implies Q) \implies Q$  **by** (*auto simp add: utp-defs*)

**lemma** *comp-intro*:  $P (a, b) \implies Q (b, c) \implies (P ;; Q) (a, c)$   
**by** (*auto simp add: comp-def*)

**lemma** *comp-elim*:

$(P ;; Q) ac \implies (\bigwedge a b c. ac = (a, c) \implies P (a, b) \implies Q (b, c) \implies C) \implies C$   
**by** (*auto simp add: comp-def*)

**declare** *not-def* [*simp*]

**declare** *iff-intro* [*intro!*]  
**and** *not-intro* [*intro!*]  
**and** *impl-intro* [*intro!*]  
**and** *disj-introC* [*intro!*]  
**and** *conj-intro* [*intro!*]  
**and** *true-intro* [*intro!*]  
**and** *comp-intro* [*intro*]

**declare** *not-dest* [*dest!*]  
**and** *iff-elimC* [*elim!*]  
**and** *false-elim* [*elim!*]  
**and** *impl-elimC* [*elim!*]  
**and** *disj-elim* [*elim!*]  
**and** *conj-elim* [*elim!*]  
**and** *comp-elim* [*elim!*]  
**and** *true-elim* [*elim!*]

**declare** *all-intro* [*intro!*] **and** *ex-intro* [*intro*]

**declare** *ex-elim* [*elim!*] **and** *all-elim* [*elim*]

**lemmas** *relation-rules* = *iff-intro not-intro impl-intro disj-introC conj-intro true-intro*  
*comp-intro not-dest iff-elimC false-elim impl-elimC all-elim*  
*disj-elim conj-elim comp-elim all-intro ex-intro ex-elim*

**lemma** *split-cond*:

$A ((P \triangleleft b \triangleright Q) x) = ((b x \longrightarrow A (P x)) \wedge (\neg b x \longrightarrow A (Q x)))$   
**by** (*cases b x*) (*auto simp add: utp-defs*)

**lemma** *split-cond-asm*:

$A ((P \triangleleft b \triangleright Q) x) = (\neg ((b x \wedge \neg A (P x)) \vee (\neg b x \wedge \neg A (Q x))))$   
**by** (*cases b x*) (*auto simp add: utp-defs*)

**lemmas** *cond-splits* = *split-cond split-cond-asm*

## 8.2.2 Misc lemmas

**lemma** *cond-idem*:  $(P \triangleleft b \triangleright P) = P$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-symm*:  $(P \triangleleft b \triangleright Q) = (Q \triangleleft \neg b \triangleright P)$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-assoc*:  $((P \triangleleft b \triangleright Q) \triangleleft c \triangleright R) = (P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R))$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-distr*:  $(P \triangleleft b \triangleright (Q \triangleleft c \triangleright R)) = ((P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R))$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-unit-T*:  $(P \triangleleft \text{true} \triangleright Q) = P$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-unit-F*:  $(P \triangleleft \text{false} \triangleright Q) = Q$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-L6*:  $(P \triangleleft b \triangleright (Q \triangleleft b \triangleright R)) = (P \triangleleft b \triangleright R)$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-L7*:  $(P \triangleleft b \triangleright (P \triangleleft c \triangleright Q)) = (P \triangleleft b \vee c \triangleright Q)$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-and-distr*:  $((P \wedge Q) \triangleleft b \triangleright (R \wedge S)) = ((P \triangleleft b \triangleright R) \wedge (Q \triangleleft b \triangleright S))$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-or-distr*:  $((P \vee Q) \triangleleft b \triangleright (R \vee S)) = ((P \triangleleft b \triangleright R) \vee (Q \triangleleft b \triangleright S))$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-imp-distr*:

$((P \longrightarrow Q) \triangleleft b \triangleright (R \longrightarrow S)) = ((P \triangleleft b \triangleright R) \longrightarrow (Q \triangleleft b \triangleright S))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-eq-distr*:

$((P \longleftrightarrow Q) \triangleleft b \triangleright (R \longleftrightarrow S)) = ((P \triangleleft b \triangleright R) \longleftrightarrow (Q \triangleleft b \triangleright S))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *comp-assoc*:  $(P ;; (Q ;; R)) = ((P ;; Q) ;; R)$   
**by** (*rule ext*) *blast*

**lemma** *conj-comp*:

$(\bigwedge a b c. P(a, b) = P(a, c)) \implies (P \wedge (Q ;; R)) = ((P \wedge Q) ;; R)$   
**by** (*rule ext*) *blast*

**lemma** *comp-cond-left-distr*:

**assumes**  $\bigwedge x y z. b(x, y) = b(x, z)$   
**shows**  $((P \triangleleft b \triangleright Q) ;; R) = ((P ;; R) \triangleleft b \triangleright (Q ;; R))$   
**using** *assms* **by** (*auto simp: fun-eq-iff utp-defs*)

**lemma** *ndet-symm*:  $(P::'a \text{ relation}) \sqcap Q = Q \sqcap P$   
**by** (*rule ext*) *blast*

**lemma** *ndet-assoc*:  $P \sqcap (Q \sqcap R) = (P \sqcap Q) \sqcap R$   
**by** (*rule ext*) *blast*

**lemma** *ndet-idemp*:  $P \sqcap P = P$   
**by** (*rule ext*) *blast*

**lemma** *ndet-distr*:  $P \sqcap (Q \sqcap R) = (P \sqcap Q) \sqcap (P \sqcap R)$   
**by** (*rule ext*) *blast*

**lemma** *cond-ndet-distr*:  $(P \triangleleft b \triangleright (Q \sqcap R)) = ((P \triangleleft b \triangleright Q) \sqcap (P \triangleleft b \triangleright R))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *ndet-cond-distr*:  $(P \sqcap (Q \triangleleft b \triangleright R)) = ((P \sqcap Q) \triangleleft b \triangleright (P \sqcap R))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *comp-ndet-l-distr*:  $((P \sqcap Q) ;; R) = ((P ;; R) \sqcap (Q ;; R))$   
**by** (*auto simp: fun-eq-iff utp-defs*)

**lemma** *comp-ndet-r-distr*:  $(P ;; (Q \sqcap R)) = ((P ;; Q) \sqcap (P ;; R))$   
**by** (*auto simp: fun-eq-iff utp-defs*)

**lemma** *l2-5-1-A*:  $\forall X \in S. [X \longrightarrow (\bigsqcap S)]$   
**by** *blast*

**lemma** *l2-5-1-B*:  $(\forall X \in S. [X \longrightarrow P]) \longrightarrow [(\bigsqcap S) \longrightarrow P]$   
**by** *blast*

**lemma l2-5-1:**  $[(\prod S) \longrightarrow P] \longleftrightarrow (\forall X \in S. [X \longrightarrow P])$   
**by** *blast*

**lemma empty-disj:**  $\prod \{\} = Top$   
**by** *(rule ext) blast*

**lemma l2-5-1-2:**  $[P \longrightarrow (\sqcup S)] \longleftrightarrow (\forall X \in S. [P \longrightarrow X])$   
**by** *blast*

**lemma empty-conj:**  $\sqcup \{\} = Bot$   
**by** *(rule ext) blast*

**lemma l2-5-2:**  $((\sqcup S) \sqcap Q) = (\sqcup \{P \sqcap Q \mid P. P \in S\})$   
**by** *(rule ext) blast*

**lemma l2-5-3:**  $((\prod S) \sqcup Q) = (\prod \{P \sqcup Q \mid P. P \in S\})$   
**by** *(rule ext) blast*

**lemma l2-5-4:**  $((\prod S) ;; Q) = (\prod \{P ;; Q \mid P. P \in S\})$   
**by** *(rule ext) blast*

**lemma l2-5-5:**  $(Q ;; (\prod S)) = (\prod \{Q ;; P \mid P. P \in S\})$   
**by** *(rule ext) blast*

**lemma all-idem:**  $(\forall b. \forall a. P a) = (\forall a. P a)$   
**by** *(simp add: all-def)*

**lemma comp-unit-R [simp]:**  $(P ;; \Pi r) = P$   
**by** *(auto simp: fun-eq-iff utp-defs)*

**lemma comp-unit-L [simp]:**  $(\Pi r ;; P) = P$   
**by** *(auto simp: fun-eq-iff utp-defs)*

**lemmas comp-unit-simps = comp-unit-R comp-unit-L**

**lemma not-cond:**  $(\neg(P \triangleleft b \triangleright Q)) = ((\neg P) \triangleleft b \triangleright (\neg Q))$   
**by** *(rule ext) (auto split: cond-splits)*

**lemma cond-conj-not-distr:**  
 $((P \triangleleft b \triangleright Q) \wedge \neg(R \triangleleft b \triangleright S)) = ((P \wedge \neg R) \triangleleft b \triangleright (Q \wedge \neg S))$   
**by** *(rule ext) (auto split: cond-splits)*

**lemma imp-cond-distr:**  $(R \longrightarrow (P \triangleleft b \triangleright Q)) = ((R \longrightarrow P) \triangleleft b \triangleright (R \longrightarrow Q))$   
**by** *(rule ext) (auto split: cond-splits)*

**lemma cond-imp-dist:**  $((P \triangleleft b \triangleright Q) \longrightarrow R) = ((P \longrightarrow R) \triangleleft b \triangleright (Q \longrightarrow R))$   
**by** *(rule ext) (auto split: cond-splits)*

**lemma cond-conj-distr:**  $((P \triangleleft b \triangleright Q) \wedge R) = ((P \wedge R) \triangleleft b \triangleright (Q \wedge R))$

**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-disj-distr*:  $((P \triangleleft b \triangleright Q) \vee R) = ((P \vee R) \triangleleft b \triangleright (Q \vee R))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-know-b*:  $(b \wedge (P \triangleleft b \triangleright Q)) = (b \wedge P)$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-know-nb*:  $((\neg (b)) \wedge (P \triangleleft b \triangleright Q)) = ((\neg (b)) \wedge Q)$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-ass-if*:  $(P \triangleleft b \triangleright Q) = (((b) \wedge P \triangleleft b \triangleright Q))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *cond-ass-else*:  $(P \triangleleft b \triangleright Q) = (P \triangleleft b \triangleright ((\neg b) \wedge Q))$   
**by** (*rule ext*) (*auto split: cond-splits*)

**lemma** *not-true-eq-false*:  $(\neg \text{true}) = \text{false}$   
**by** (*rule ext*) *blast*

**lemma** *not-false-eq-true*:  $(\neg \text{false}) = \text{true}$   
**by** (*rule ext*) *blast*

**lemma** *conj-idem*:  $((P::'\alpha \text{ predicate}) \wedge P) = P$   
**by** (*rule ext*) *blast*

**lemma** *disj-idem*:  $((P::'\alpha \text{ predicate}) \vee P) = P$   
**by** (*rule ext*) *blast*

**lemma** *conj-comm*:  $((P::'\alpha \text{ predicate}) \wedge Q) = (Q \wedge P)$   
**by** (*rule ext*) *blast*

**lemma** *disj-comm*:  $((P::'\alpha \text{ predicate}) \vee Q) = (Q \vee P)$   
**by** (*rule ext*) *blast*

**lemma** *conj-subst*:  $P = R \implies ((P::'\alpha \text{ predicate}) \wedge Q) = (R \wedge Q)$   
**by** (*rule ext*) *blast*

**lemma** *disj-subst*:  $P = R \implies ((P::'\alpha \text{ predicate}) \vee Q) = (R \vee Q)$   
**by** (*rule ext*) *blast*

**lemma** *conj-assoc*:  $((P::'\alpha \text{ predicate}) \wedge Q) \wedge S = (P \wedge (Q \wedge S))$   
**by** (*rule ext*) *blast*

**lemma** *disj-assoc*:  $((P::'\alpha \text{ predicate}) \vee Q) \vee S = (P \vee (Q \vee S))$   
**by** (*rule ext*) *blast*

**lemma** *conj-disj-abs*:  $((P::'\alpha \text{ predicate}) \wedge (P \vee Q)) = P$   
**by** (*rule ext*) *blast*

**lemma** *disj-conj-abs*: $((P::'\alpha \text{ predicate}) \vee (P \wedge Q)) = P$   
**by** (*rule ext*) *blast*

**lemma** *conj-disj-distr*: $((P::'\alpha \text{ predicate}) \wedge (Q \vee R)) = ((P \wedge Q) \vee (P \wedge R))$   
**by** (*rule ext*) *blast*

**lemma** *disj-conj-distr*: $((P::'\alpha \text{ predicate}) \vee (Q \wedge R)) = ((P \vee Q) \wedge (P \vee R))$   
**by** (*rule ext*) *blast*

**lemma** *true-conj-id*: $(P \wedge \text{true}) = P$   
**by** (*rule ext*) *blast*

**lemma** *true-disj-zero*: $(P \vee \text{true}) = \text{true}$   
**by** (*rule ext*) *blast*

**lemma** *true-conj-zero*: $(P \wedge \text{false}) = \text{false}$   
**by** (*rule ext*) *blast*

**lemma** *true-disj-id*: $(P \vee \text{false}) = P$   
**by** (*rule ext*) *blast*

**lemma** *imp-vacuous*: $(\text{false} \longrightarrow u) = \text{true}$   
**by** (*rule ext*) *blast*

**lemma** *p-and-not-p*: $(P \wedge \neg P) = \text{false}$   
**by** (*rule ext*) *blast*

**lemma** *conj-disj-not-abs*: $((P::'\alpha \text{ predicate}) \wedge ((\neg P) \vee Q)) = (P \wedge Q)$   
**by** (*rule ext*) *blast*

**lemma** *p-or-not-p*: $(P \vee \neg P) = \text{true}$   
**by** (*rule ext*) *blast*

**lemma** *double-negation*: $(\neg \neg (P::'\alpha \text{ predicate})) = P$   
**by** (*rule ext*) *blast*

**lemma** *not-conj-deMorgans*: $(\neg ((P::'\alpha \text{ predicate}) \wedge Q)) = ((\neg P) \vee (\neg Q))$   
**by** (*rule ext*) *blast*

**lemma** *not-disj-deMorgans*: $(\neg ((P::'\alpha \text{ predicate}) \vee Q)) = ((\neg P) \wedge (\neg Q))$   
**by** (*rule ext*) *blast*

**lemma** *p-imp-p*: $(P \longrightarrow P) = \text{true}$   
**by** (*rule ext*) *blast*

**lemma** *imp-imp*: $((P::'\alpha \text{ predicate}) \longrightarrow (Q \longrightarrow R)) = ((P \wedge Q) \longrightarrow R)$   
**by** (*rule ext*) *blast*



**lemma** *imp-trans*:  $((P \longrightarrow Q) \wedge (Q \longrightarrow R) \longrightarrow P \longrightarrow R) = true$   
**by** (*rule ext*) *blast*

**lemma** *p-equiv-p*:  $(P \longleftrightarrow P) = true$   
**by** (*rule ext*) *blast*

**lemma** *equiv-eq*:  $((((P::'\alpha \text{ predicate}) \wedge Q) \vee (\neg P \wedge \neg Q)) = true) \longleftrightarrow (P = Q)$   
**by** (*auto simp add: fun-eq-iff utp-defs*)

**lemma** *equiv-eq1*:  $((P::'\alpha \text{ predicate}) \longleftrightarrow Q) = true) \longleftrightarrow (P = Q)$   
**by** (*auto simp add: fun-eq-iff utp-defs*)

**lemma** *cond-subst*:  $b = c \implies (P \triangleleft b \triangleright Q) = (P \triangleleft c \triangleright Q)$   
**by** *simp*

**lemma** *ex-disj-distr*:  $((\exists x. P x) \vee (\exists x. Q x)) = (\exists x. (P x \vee Q x))$   
**by** (*rule ext*) *blast*

**lemma** *all-disj-distr*:  $((\forall x. P x) \vee (\forall x. Q x)) = (\forall x. (P x \vee Q x))$   
**by** (*rule ext*) *blast*

**lemma** *all-conj-distr*:  $((\forall x. P x) \wedge (\forall x. Q x)) = (\forall x. (P x \wedge Q x))$   
**by** (*rule ext*) *blast*

**lemma** *all-triv*:  $(\forall x. P) = P$   
**by** (*rule ext*) *blast*

**lemma** *closure-true*:  $[true]$   
**by** *blast*

**lemma** *closure-p-eq-true*:  $[P] \longleftrightarrow (P = true)$   
**by** (*simp add: fun-eq-iff utp-defs*)

**lemma** *closure-equiv-eq*:  $[P \longleftrightarrow Q] \longleftrightarrow (P = Q)$   
**by** (*simp add: fun-eq-iff utp-defs*)

**lemma** *closure-conj-distr*:  $[P] \wedge [Q] = [P \wedge Q]$   
**by** *blast*

**lemma** *closure-imp-distr*:  $[P \longrightarrow Q] \longrightarrow [P] \longrightarrow [Q]$   
**by** *blast*

**lemma** *true-iff[simp]*:  $(P \longleftrightarrow true) = P$   
**by** *blast*

**lemma** *true-imp[simp]*:  $(true \longrightarrow P) = P$   
**by** *blast*

**end**

## 9 Designs

```

theory Designs
imports Relations
begin

```

In UTP, in order to explicitly record the termination of a program, a subset of alphabetized relations is introduced. These relations are called designs and their alphabet should contain the special boolean observational variable `ok`. It is used to record the start and termination of a program.

### 9.1 Definitions

In the following, the definitions of designs alphabets, designs and healthiness (well-formedness) conditions are given. The healthiness conditions of designs are defined by *H1*, *H2*, *H3* and *H4*.

```

record alpha-d = ok::bool

```

```

type-synonym 'α alphabet-d = 'α alpha-d-scheme alphabet
type-synonym 'α relation-d = 'α alphabet-d relation

```

```

definition design::'α relation-d ⇒ 'α relation-d ⇒ 'α relation-d (⟨'(- ⊢ -)⟩)
where (P ⊢ Q) ≡ λ (A, A') . (ok A ∧ P (A,A')) ⟶ (ok A' ∧ Q (A,A'))

```

```

definition skip-d :: 'α relation-d (⟨Πd⟩)
where Πd ≡ (true ⊢ Πr)

```

```

definition J
where J ≡ λ (A, A') . (ok A ⟶ ok A') ∧ more A = more A'

```

```

type-synonym 'α Healthiness-condition = 'α relation ⇒ 'α relation

```

```

definition
Healthy::'α relation ⇒ 'α Healthiness-condition ⇒ bool (⟨- is - healthy⟩)
where P is H healthy ≡ (P = H P)

```

```

lemma Healthy-def': P is H healthy = (H P = P)
unfolding Healthy-def by auto

```

```

definition H1::('α alphabet-d) Healthiness-condition
where H1 (P) ≡ (ok o fst ⟶ P)

```

```

definition H2::('α alphabet-d) Healthiness-condition
where H2 (P) ≡ P ;; J

```

```

definition H3::('α alphabet-d) Healthiness-condition
where H3 (P) ≡ P ;; Πd

```

**definition**  $H_4::('α\ alphabet-d)\ Healthiness-condition$   
**where**  $H_4\ (P) \equiv ((P; ;\ true) \longleftrightarrow true)$

**definition**  $\sigma f::'α\ relation-d \Rightarrow 'α\ relation-d$   
**where**  $\sigma f\ D \equiv \lambda\ (A,\ A') . D\ (A,\ A'\{ok:=False\})$

**definition**  $\sigma t::'α\ relation-d \Rightarrow 'α\ relation-d$   
**where**  $\sigma t\ D \equiv \lambda\ (A,\ A') . D\ (A,\ A'\{ok:=True\})$

**definition**  $OKAY::'α\ relation-d$   
**where**  $OKAY \equiv \lambda\ (A,\ A') . ok\ A$

**definition**  $OKAY'::'α\ relation-d$   
**where**  $OKAY' \equiv \lambda\ (A,\ A') . ok\ A'$

**lemmas**  $design-defs = design-def\ skip-d-def\ J-def\ Healthy-def\ H1-def\ H2-def\ H3-def$   
 $H_4-def\ \sigma f-def\ \sigma t-def\ OKAY-def\ OKAY'-def$

## 9.2 Proofs

Proof of theorems and properties of designs and their healthiness conditions are given in the following.

**lemma**  $t-comp-lz-d: (true; ;\ (P \vdash Q)) = true$   
**apply**  $(auto\ simp: fun-eq-iff\ design-defs)$   
**apply**  $(rule-tac\ b=b\{ok:=False\}\ \mathbf{in}\ comp-intro,\ auto)$   
**done**

**lemma**  $pi-comp-left-unit: (\Pi d; ;\ (P \vdash Q)) = (P \vdash Q)$   
**by**  $(auto\ simp: fun-eq-iff\ design-defs)$

**theorem**  $t3-1-4-2:$   
 $((P1 \vdash Q1) \triangleleft b \triangleright (P2 \vdash Q2)) = ((P1 \triangleleft b \triangleright P2) \vdash (Q1 \triangleleft b \triangleright Q2))$   
**by**  $(auto\ simp: fun-eq-iff\ design-defs\ split: cond-splits)$

**lemma**  $conv-conj-distr: \sigma t\ (P \wedge Q) = (\sigma t\ P \wedge \sigma t\ Q)$   
**by**  $(auto\ simp: design-defs\ fun-eq-iff)$

**lemma**  $conv-disj-distr: \sigma t\ (P \vee Q) = (\sigma t\ P \vee \sigma t\ Q)$   
**by**  $(auto\ simp: design-defs\ fun-eq-iff)$

**lemma**  $conv-imp-distr: \sigma t\ (P \longrightarrow Q) = ((\sigma t\ P) \longrightarrow \sigma t\ Q)$   
**by**  $(auto\ simp: design-defs\ fun-eq-iff)$

**lemma**  $conv-not-distr: \sigma t\ (\neg P) = (\neg(\sigma t\ P))$   
**by**  $(auto\ simp: design-defs\ fun-eq-iff)$

**lemma**  $div-conj-distr: \sigma f\ (P \wedge Q) = (\sigma f\ P \wedge \sigma f\ Q)$   
**by**  $(auto\ simp: design-defs\ fun-eq-iff)$

**lemma** *div-disj-distr*:  $\sigma f (P \vee Q) = (\sigma f P \vee \sigma f Q)$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *div-imp-distr*:  $\sigma f (P \longrightarrow Q) = ((\sigma f P) \longrightarrow \sigma f Q)$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *div-not-distr*:  $\sigma f (\neg P) = (\neg(\sigma f P))$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *ok-conv*:  $\sigma t OKAY = OKAY$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *ok-div*:  $\sigma f OKAY = OKAY$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *ok'-conv*:  $\sigma t OKAY' = true$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *ok'-div*:  $\sigma f OKAY' = false$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *H2-J-1*:  
**assumes** *A*: *P is H2 healthy*  
**shows**  $[(\lambda (A, A'). (P(A, A'(\text{ok} := \text{False}))) \longrightarrow P(A, A'(\text{ok} := \text{True}))))]$   
**using** *A* **by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *H2-J-2-a* :  $P(a, b) \longrightarrow (P ; ; J)(a, b)$   
**unfolding** *J-def* **by** *auto*

**lemma** *ok-or-not-ok* :  $\llbracket P(a, b(\text{ok} := \text{True})); P(a, b(\text{ok} := \text{False})) \rrbracket \Longrightarrow P(a, b)$   
**apply** (*case-tac ok b*)  
**apply** (*subgoal-tac b(\text{ok}:=\text{True}) = b*)  
**apply** (*simp-all*)  
**apply** (*subgoal-tac b(\text{ok}:=\text{False}) = b*)  
**apply** (*simp-all*)  
**done**

**lemma** *H2-J-2-b* :  
**assumes** *A*:  $[(\lambda (A, A'). (P(A, A'(\text{ok} := \text{False}))) \longrightarrow P(A, A'(\text{ok} := \text{True}))))]$   
**and** *B* :  $(P ; ; J)(a, b)$   
**shows**  $P(a, b)$   
**using** *B*  
**apply** (*auto simp: design-defs fun-eq-iff*)  
**apply** (*case-tac ok b*)  
**apply** (*subgoal-tac b = ba(\text{ok}:=\text{True}), auto intro!: A[simplified, rule-format]*)  
**apply** (*rule-tac s=ba and t=ba(\text{ok}:=\text{False}) in subst, simp-all*)  
**apply** (*subgoal-tac b = ba, simp-all*)  
**apply** (*case-tac ok ba*)  
**apply** (*subgoal-tac b = ba, simp-all*)

**apply** (*subgoal-tac*  $b = ba(\text{ok} := \text{True})$ , *auto intro!*:  $A[\text{simplified}, \text{rule-format}]$ )  
**apply** (*rule-tac*  $s=ba$  **and**  $t=ba(\text{ok} := \text{False})$  **in** *subst, simp-all*)  
**done**

**lemma** *H2-J-2* :  
**assumes**  $A: [(\lambda (A, A'). (P(A, A'(\text{ok} := \text{False}))) \longrightarrow P(A, A'(\text{ok} := \text{True})))]$   
**shows**  $P$  is *H2 healthy*  
**apply** (*auto simp add: H2-def Healthy-def fun-eq-iff*)  
**apply** (*simp add: H2-J-2-a*)  
**apply** (*rule H2-J-2-b [OF A]*)  
**apply** *auto*  
**done**

**lemma** *H2-J*:  
 $[\lambda (A, A'). P(A, A'(\text{ok} := \text{False})) \longrightarrow P(A, A'(\text{ok} := \text{True}))] = P$  is *H2 healthy*  
**using** *H2-J-1 H2-J-2 by blast*

**lemma** *design-eq1*:  $(P \vdash Q) = (P \vdash P \wedge Q)$   
**by** (*rule ext*) (*auto simp: design-defs*)

**lemma** *H1-idem*:  $H1 \circ H1 = H1$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *H1-idem2*:  $(H1 (H1 P)) = (H1 P)$   
**by** (*simp add: H1-idem[simplified fun-eq-iff Fun.comp-def, rule-format] fun-eq-iff*)

**lemma** *H2-idem*:  $H2 \circ H2 = H2$   
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *H2-idem2*:  $(H2 (H2 P)) = (H2 P)$   
**by** (*simp add: H2-idem[simplified fun-eq-iff Fun.comp-def, rule-format] fun-eq-iff*)

**lemma** *H1-H2-commute*:  $H1 \circ H2 = H2 \circ H1$   
**by** (*auto simp: design-defs fun-eq-iff split: cond-splits*)

**lemma** *H1-H2-commute2*:  $H1 (H2 P) = H2 (H1 P)$   
**by** (*simp add: H1-H2-commute[simplified fun-eq-iff Fun.comp-def, rule-format] fun-eq-iff*)

**lemma** *alpha-d-eqD*:  $r = r' \implies \text{ok } r = \text{ok } r' \wedge \text{alpha-d.more } r = \text{alpha-d.more } r'$   
**by** (*auto simp: alpha-d.equality*)

**lemma** *design-H1*:  $(P \vdash Q)$  is *H1 healthy*  
**by** (*auto simp: design-defs fun-eq-iff*)

**lemma** *design-H2*:  
 $(\forall a b. P(a, b(\text{ok} := \text{True})) \longrightarrow P(a, b(\text{ok} := \text{False}))) \implies (P \vdash Q)$  is *H2 healthy*  
**by** (*rule H2-J-2*) (*auto simp: design-defs fun-eq-iff*)

**end**

## 10 Reactive processes

```
theory Reactive-Processes
imports Designs HOL-Library.Sublist
```

```
begin
```

Following the way of UTP to describe reactive processes, more observational variables are needed to record the interaction with the environment. Three observational variables are defined for this subset of relations: *wait*, *tr* and *ref*. The boolean variable *wait* records if the process is waiting for an interaction or has terminated. *tr* records the list (trace) of interactions the process has performed so far. The variable *ref* contains the set of interactions (events) the process may refuse to perform.

In this section, we introduce first some preliminary notions, useful for trace manipulations. The definitions of reactive process alphabets and healthiness conditions are also given. Finally, proved lemmas and theorems are listed.

### 10.1 Preliminaries

```
type-synonym 'α trace = 'α list
```

```
fun list-diff::'α list ⇒ 'α list ⇒ 'α list option where
  list-diff l [] = Some l
  | list-diff [] l = None
  | list-diff (x#xs) (y#ys) = (if (x = y) then (list-diff xs ys) else None)
```

```
instantiation list :: (type) minus
```

```
begin
```

```
definition list-minus : l1 - l2 ≡ the (list-diff l1 l2)
```

```
instance ..
```

```
end
```

```
lemma list-diff-empty [simp]: the (list-diff l []) = l
by (cases l) auto
```

```
lemma prefix-diff-empty [simp]: l - [] = l
by (induct l) (auto simp: list-minus)
```

```
lemma prefix-diff-eq [simp]: l - l = []
by (induct l) (auto simp: list-minus)
```

```
lemma prefix-diff [simp]: (l @ t) - l = t
by (induct l) (auto simp: list-minus)
```

```
lemma prefix-subst [simp]: l @ t = m ⇒ m - l = t
by (auto)
```

**lemma** *prefix-subst1* [*simp*]:  $m = l @ t \implies m - l = t$   
**by** (*auto*)

**lemma** *prefix-diff1* [*simp*]:  $((l @ m) @ t) - (l @ m) = t$   
**by** (*rule prefix-diff*)

**lemma** *prefix-diff2* [*simp*]:  $(l @ (m @ t)) - (l @ m) = t$   
**apply** (*simp only: append-assoc [symmetric]*)  
**apply** (*rule prefix-diff1*)  
**done**

**lemma** *prefix-diff3* [*simp*]:  $(l @ m) - (l @ t) = (m - t)$   
**by** (*induct l, auto simp: list-minus*)

**lemma** *prefix-diff4* [*simp*]:  $(a \# m) - (a \# t) = (m - t)$   
**by** (*auto simp: list-minus*)

**class** *ev-eq* =  
**fixes** *ev-eq* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool  
**assumes** *refl*: *ev-eq* a a  
**assumes** *comm*: *ev-eq* a b = *ev-eq* b a

**definition** *filter-chan-set* a cs =  $(\neg (\exists e \in cs. \text{ev-eq } a \ e))$

**lemma** *in-imp-not-fcs*:  
 $x \in S \implies \neg \text{filter-chan-set } x \ S$   
**apply** (*auto simp: filter-chan-set-def*)  
**apply** (*rule-tac bexI, auto simp: refl*)  
**done**

**fun** *tr-filter*::'a::*ev-eq* list  $\Rightarrow$  'a set  $\Rightarrow$  'a list **where**  
*tr-filter* [] cs = []  
| *tr-filter* (x#xs) cs = (*if* (*filter-chan-set* x cs) *then* (x#(*tr-filter* xs cs))  
*else* (*tr-filter* xs cs))

**lemma** *tr-filter-conc*:  $(\text{tr-filter } (a @ b) \ cs) = ((\text{tr-filter } a \ cs) @ (\text{tr-filter } b \ cs))$   
**by** (*induct a, auto*)

**lemma** *filter-chan-set-hd-tr-filter*:  
 $\text{tr-filter } l \ cs \neq [] \implies \text{filter-chan-set } (\text{hd } (\text{tr-filter } l \ cs)) \ cs$   
**by** (*induct l, auto*)

**lemma** *tr-filter-conc-eq1*:  
 $(a @ b = (\text{tr-filter } (a @ c) \ cs)) \implies (b = (\text{tr-filter } c \ cs))$   
**apply** (*induct a, auto*)  
**apply** (*case-tac tr-filter (a2 @ c) cs = [], simp-all*)  
**apply** (*drule filter-chan-set-hd-tr-filter [rule-format]*)

**apply** (*case-tac tr-filter (a2 @ c) cs, simp-all*)  
**done**

**lemma** *tr-filter-conc-eq2*:  
 $(a@b = (\text{tr-filter } (a@c) \text{ cs})) \longrightarrow (a = (\text{tr-filter } a \text{ cs}))$   
**apply** (*induct a, auto*)  
**apply** (*case-tac tr-filter (a2 @ c) cs = [], simp-all*)  
**apply** (*drule filter-chan-set-hd-tr-filter[rule-format]*)  
**apply** (*case-tac tr-filter (a2 @ c) cs, simp-all*)  
**apply** (*case-tac tr-filter (a2 @ c) cs = [], simp-all*)  
**apply** (*drule filter-chan-set-hd-tr-filter[rule-format]*)  
**apply** (*case-tac tr-filter (a2 @ c) cs, simp-all*)  
**done**

**lemma** *tr-filter-conc-eq*:  
 $(a@b = (\text{tr-filter } (a@c) \text{ cs})) = (b = (\text{tr-filter } c \text{ cs}) \ \& \ a = (\text{tr-filter } a \text{ cs}))$   
**apply** (*rule, rule*)  
**apply** (*rule tr-filter-conc-eq1[rule-format, of a], clarsimp*)  
**apply** (*rule tr-filter-conc-eq2[rule-format, of a b c], clarsimp*)  
**apply** (*clarsimp simp: tr-filter-conc*)  
**done**

**lemma** *tr-filter-conc-eq3*:  
 $(b = (\text{tr-filter } (a@c) \text{ cs})) = (\exists \ b1 \ b2. \ b=b1@b2 \ \& \ b2 = (\text{tr-filter } c \text{ cs}) \ \& \ b1 = (\text{tr-filter } a \text{ cs}))$   
**by** (*rule, auto simp: tr-filter-conc*)

**lemma** *tr-filter-un*:  
 $\text{tr-filter } l \ (s1 \cup \ s2) = \text{tr-filter } (\text{tr-filter } l \ s1) \ s2$   
**by** (*induct l, auto simp: filter-chan-set-def*)

**instantiation** *list* :: (*ev-eq*) *ev-eq*

**begin**

**fun** *ev-eq-list* **where**

*ev-eq-list* [] [] = *True*

  | *ev-eq-list* l [] = *False*

  | *ev-eq-list* [] l = *False*

  | *ev-eq-list* (x#xs) (y#ys) = (*if* (*ev-eq* x y) *then* (*ev-eq-list* xs ys) *else* *False*)

**instance**

**proof**

**fix** *a::'a::ev-eq list* **show** *ev-eq* a a

**by** (*induct a, auto simp: ev-eq-class.refl*)

**next**

**fix** a b::'a::ev-eq list **show** *ev-eq* a b = *ev-eq* b a

**apply** (*cases a*)

**apply** (*cases b, simp-all add: ev-eq-class.comm*)

**apply** (*hypsubst-thin*)

**apply** (*induct b, simp-all add: ev-eq-class.comm*)



```

apply (case-tac ev-eq aa a, simp-all add: ev-eq-class.comm)
apply (case-tac list = [], simp-all)
apply (case-tac b, simp-all)
apply (atomize)
apply (erule-tac x=hd list in allE)
apply (erule-tac x=tl list in allE)
apply (subst (asm) hd-Cons-tl, simp-all)
done
qed
end

```

## 10.2 Definitions

**abbreviation**  $subl::'a\ list \Rightarrow 'a\ list \Rightarrow bool$  ( $\langle - \leq - \rangle$ )  
**where**  $l1 \leq l2 == Sublist.prefix\ l1\ l2$

**lemma** *list-diff-empty-eq*:  $l1 - l2 = [] \Longrightarrow l2 \leq l1 \Longrightarrow l1 = l2$   
**by** (auto simp: prefix-def)

The definitions of reactive process alphabets and healthiness conditions are given in the following. The healthiness conditions of reactive processes are defined by  $R1$ ,  $R2$ ,  $R3$  and their composition  $R$ .

**type-synonym**  $'\vartheta\ refusal = '\vartheta\ set$

**record**  $'\vartheta\ alpha-rp = alpha-d +$   
 $wait:: bool$   
 $tr :: '\vartheta\ trace$   
 $ref :: '\vartheta\ refusal$

Note that we define here the class of UTP alphabets that contain  $wait$ ,  $tr$  and  $ref$ , or, in other words, we define here the class of reactive process alphabets.

**type-synonym**  $('\vartheta, '\sigma)\ alphabet-rp = (''\vartheta, '\sigma)\ alpha-rp-scheme\ alphabet$   
**type-synonym**  $('\vartheta, '\sigma)\ relation-rp = (''\vartheta, '\sigma)\ alphabet-rp\ relation$

**definition**  $diff-tr\ s1\ s2 = ((tr\ s1) - (tr\ s2))$

**definition**  $spec :: [bool, bool, (''\vartheta, '\sigma)\ relation-rp] \Rightarrow (''\vartheta, '\sigma)\ relation-rp$   
**where**  $spec\ b\ b'\ P \equiv \lambda (A, A'). P (A \langle wait := b' \rangle, A' \langle ok := b \rangle)$

**abbreviation**  $Specifft\ (\langle -^t_t \rangle)$  **where**  $(P)^t_t \equiv spec\ True\ True\ P$

**abbreviation**  $Speciff\ (\langle -^f_f \rangle)$  **where**  $(P)^f_f \equiv spec\ False\ False\ P$

**abbreviation**  $Speciftf\ (\langle -^t_f \rangle)$  **where**  $(P)^t_f \equiv spec\ True\ False\ P$

**abbreviation**  $Specift\ (\langle -^f_t \rangle)$  **where**  $(P)^f_t \equiv spec\ False\ True\ P$

**definition**  $R1::(('\vartheta, '\sigma)\ alphabet-rp)\ Healthiness-condition$

**where**  $R1 (P) \equiv \lambda(A, A'). (P (A, A')) \wedge (tr A \leq tr A')$

**definition**  $R2::('v, 's) \text{ alphabet-rp} \text{ Healthiness-condition}$

**where**  $R2 (P) \equiv \lambda(A, A'). (P (A(\lfloor tr := \square \rfloor), A'(\lfloor tr := tr A' - tr A \rfloor)) \wedge tr A \leq tr A')$

**definition**  $\Pi rea$

**where**  $\Pi rea \equiv \lambda(A, A'). (\neg ok A \wedge tr A \leq tr A') \vee (ok A' \wedge tr A = tr A' \wedge (wait A = wait A') \wedge ref A = ref A' \wedge more A = more A')$

**definition**  $R3::('v, 's) \text{ alphabet-rp} \text{ Healthiness-condition}$

**where**  $R3 (P) \equiv (\Pi rea \triangleleft wait \circ fst \triangleright P)$

**definition**  $R::('v, 's) \text{ alphabet-rp} \text{ Healthiness-condition}$

**where**  $R \equiv R3 \circ R2 \circ R1$

**lemmas**  $rp-defs = R1-def R2-def \Pi rea-def R3-def R-def spec-def$

### 10.3 Proofs

**lemma**  $tr-filter-empty [simp]: tr-filter l \{\} = l$

**by**  $(induct l) (auto simp: filter-chan-set-def)$

**lemma**  $trf-imp-filtercs: \llbracket xs = tr-filter ys cs; xs \neq \square \rrbracket \implies filter-chan-set (hd xs) cs$

**apply**  $(induct xs, auto)$

**apply**  $(induct ys, auto)$

**apply**  $(case-tac filter-chan-set a cs, auto)$

**done**

**lemma**  $filtercs-imp-trf:$

$\llbracket filter-chan-set x cs; xs = tr-filter ys cs \rrbracket \implies x \# xs = tr-filter (x \# ys) cs$

**by**  $(induct xs) auto$

**lemma**  $alpha-d-more-eqI:$

**assumes**  $tr r = tr r' \ wait r = wait r' \ ref r = ref r' \ more r = more r'$

**shows**  $alpha-d.more r = alpha-d.more r'$

**using**  $assms$  **by**  $(cases r, cases r') auto$

**lemma**  $alpha-d-more-eqE:$

**assumes**  $alpha-d.more r = alpha-d.more r'$

**obtains**  $tr r = tr r' \ wait r = wait r' \ ref r = ref r' \ more r = more r'$

**using**  $assms$  **by**  $(cases r, cases r') auto$

**lemma**  $alpha-rp-eqE:$

**assumes**  $r = r'$

**obtains**  $ok r = ok r' \ tr r = tr r' \ wait r = wait r' \ ref r = ref r' \ more r = more r'$

**using**  $assms$  **by**  $(cases r, cases r') auto$

**lemma**  $R-idem: R \circ R = R$

**by** (*auto simp: rp-defs design-defs fun-eq-iff split: cond-splits*)

**lemma** *R-idem2*:  $R (R P) = R P$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff split: cond-splits*)

**lemma** *R1-idem*:  $R1 \circ R1 = R1$   
**by** (*auto simp: rp-defs design-defs*)

**lemma** *R1-idem2*:  $R1 (R1 x) = R1 x$   
**by** (*auto simp: rp-defs design-defs*)

**lemma** *R2-idem*:  $R2 \circ R2 = R2$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff prefix-def*)

**lemma** *R2-idem2*:  $R2 (R2 x) = R2 x$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff prefix-def*)

**lemma** *R3-idem*:  $R3 \circ R3 = R3$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff split: cond-splits*)

**lemma** *R3-idem2*:  $R3 (R3 x) = R3 x$   
**by** (*auto simp: R3-idem[simplified Fun.comp-def fun-eq-iff] fun-eq-iff*)

**lemma** *R1-R2-commute*:  $(R1 \circ R2) = (R2 \circ R1)$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff prefix-def*)

**lemma** *R1-R3-commute*:  $(R1 \circ R3) = (R3 \circ R1)$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff split: cond-splits*)

**lemma** *R2-R3-commute*:  $R2 \circ R3 = R3 \circ R2$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff prefix-def split: cond-splits*)

**lemma** *R-abs-R1*:  $R \circ R1 = R$   
**apply** (*auto simp: R-def*)  
**apply** (*subst (3) R1-idem[symmetric]*)  
**apply** (*auto*)  
**done**

**lemma** *R-abs-R2*:  $R \circ R2 = R$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff*)

**lemma** *R-abs-R3*:  $R \circ R3 = R$   
**by** (*auto simp: rp-defs design-defs fun-eq-iff split: cond-splits*)

**lemma** *R-is-R1*:  
   **assumes** *A*: *P is R healthy*  
   **shows** *P is R1 healthy*  
**proof** –  
   **have**  $R P = P$

```

    using assms by (simp-all only: Healthy-def)
  moreover
  have  $(R P)$  is  $R1$  healthy
    by (auto simp add: design-defs rp-defs fun-eq-iff split: cond-splits)
  ultimately show ?thesis by simp
qed

```

```

lemma R-is-R2:
  assumes A:  $P$  is  $R$  healthy
  shows  $P$  is  $R2$  healthy
proof -
  have  $R P = P$ 
    using assms by (simp-all only: Healthy-def)
  moreover
  have  $(R P)$  is  $R2$  healthy
    by (auto simp add: design-defs rp-defs fun-eq-iff prefix-def split: cond-splits)
  ultimately show ?thesis by simp
qed

```

```

lemma R-is-R3:
  assumes A:  $P$  is  $R$  healthy
  shows  $P$  is  $R3$  healthy
proof -
  have  $R P = P$ 
    using assms by (simp-all only: Healthy-def)
  moreover
  have  $(R P)$  is  $R3$  healthy
    by (auto simp add: design-defs rp-defs fun-eq-iff split: cond-splits)
  ultimately show ?thesis by simp
qed

```

```

lemma R-disj:
  assumes A:  $P$  is  $R$  healthy
  assumes B:  $Q$  is  $R$  healthy
  shows  $(P \vee Q)$  is  $R$  healthy
proof -
  have  $R P = P$  and  $R Q = Q$ 
    using assms by (simp-all only: Healthy-def)
  moreover
  have  $((R P) \vee (R Q))$  is  $R$  healthy
    by (auto simp add: design-defs rp-defs fun-eq-iff split: cond-splits)
  ultimately show ?thesis by simp
qed

```

```

lemma R-disj2:  $R (P \vee Q) = (R P \vee R Q)$ 
apply (subst R-disj[simplified Healthy-def, where P=R P])
apply (simp-all add: R-idem2)
apply (auto simp: fun-eq-iff rp-defs split: cond-splits)
done

```

**lemma** *R1-comp*:  
**assumes** *P is R1 healthy*  
**and** *Q is R1 healthy*  
**shows**  $(P; ; Q)$  *is R1 healthy*  
**proof** –  
**have**  $R1\ P = P$  **and**  $R1\ Q = Q$   
**using** *assms* **by** (*simp-all only: Healthy-def*)  
**moreover**  
**have**  $((R1\ P) ; ; (R1\ Q))$  *is R1 healthy*  
**by** (*auto simp add: design-defs rp-defs fun-eq-iff split: cond-splits*)  
**ultimately show** *?thesis* **by** *simp*  
**qed**

**lemma** *R1-comp2*:  
**assumes** *A: P is R1 healthy*  
**assumes** *B: Q is R1 healthy*  
**shows**  $R1\ (P; ; Q) = ((R1\ P); ; Q)$   
**using** *A B*  
**apply** (*subst R1-comp[simplified Healthy-def, symmetric]*)  
**apply** (*auto simp: fun-eq-iff rp-defs design-defs*)  
**done**

**lemma** *J-is-R1*: *J is R1 healthy*  
**by** (*auto simp: rp-defs design-defs fun-eq-iff elim: alpha-d-more-eqE*)

**lemma** *J-is-R2*: *J is R2 healthy*  
**by** (*auto simp: rp-defs design-defs fun-eq-iff prefix-def*  
*elim!: alpha-d-more-eqE intro!: alpha-d-more-eqI*)

**lemma** *R1-H2-commute2*:  $R1\ (H2\ P) = H2\ (R1\ P)$   
**by** (*auto simp add: H2-def R1-def J-def fun-eq-iff*  
*elim!: alpha-d-more-eqE intro!: alpha-d-more-eqI*)

**lemma** *R1-H2-commute*:  $R1\ o\ H2 = H2\ o\ R1$   
**by** (*auto simp: R1-H2-commute2*)

**lemma** *R2-H2-commute2*:  $R2\ (H2\ P) = H2\ (R2\ P)$   
**apply** (*auto simp add: fun-eq-iff rp-defs design-defs strict-prefix-def*)  
**apply** (*rule-tac b=ba(tr := tr a @ tr ba) in comp-intro*)  
**apply** (*auto simp: fun-eq-iff prefix-def*  
*elim!: alpha-d-more-eqE alpha-rp-eqE intro!: alpha-d-more-eqI alpha-rp.equality*)  
**apply** (*rule-tac b=ba(tr := tr a @ tr ba) in comp-intro,*  
*auto simp: elim: alpha-d-more-eqE alpha-rp-eqE intro: alpha-d-more-eqI alpha-rp.equality*)  
**apply** (*rule-tac b=ba(tr := tr a @ tr ba) in comp-intro,*  
*auto simp: elim: alpha-d-more-eqE alpha-rp-eqE intro: alpha-d-more-eqI alpha-rp.equality*)  
**apply** (*rule-tac x=zs in exI, auto*)+

**done**

**lemma** *R2-H2-commute*:  $R2 \circ H2 = H2 \circ R2$

**by** (*auto simp*: *R2-H2-commute2*)

**lemma** *R3-H2-commute2*:  $R3 (H2 P) = H2 (R3 P)$

**apply** (*auto simp*: *fun-eq-iff rp-defs design-defs strict-prefix-def*  
*elim*: *alpha-d-more-eqE split: cond-splits*)

**done**

**lemma** *R3-H2-commute*:  $R3 \circ H2 = H2 \circ R3$

**by** (*auto simp*: *R3-H2-commute2*)

**lemma** *R-join*:

**assumes** *x is R healthy*

**and** *y is R healthy*

**shows**  $(x \sqcap y)$  *is R healthy*

**proof** –

**have**  $R x = x$  **and**  $R y = y$

**using** *assms* **by** (*simp-all only: Healthy-def*)

**moreover**

**have**  $((R x) \sqcap (R y))$  *is R healthy*

**by** (*auto simp add: design-defs rp-defs fun-eq-iff split: cond-splits*)

**ultimately show** *?thesis* **by** *simp*

**qed**

**lemma** *R-meet*:

**assumes** *A: x is R healthy*

**and** *B:y is R healthy*

**shows**  $(x \sqcup y)$  *is R healthy*

**proof** –

**have**  $R x = x$  **and**  $R y = y$

**using** *assms* **by** (*simp-all only: Healthy-def*)

**moreover**

**have**  $((R x) \sqcup (R y))$  *is R healthy*

**by** (*auto simp add: design-defs rp-defs fun-eq-iff split: cond-splits*)

**ultimately show** *?thesis* **by** *simp*

**qed**

**lemma** *R-H2-commute*:  $R \circ H2 = H2 \circ R$

**apply** (*auto simp add: rp-defs design-defs fun-eq-iff split: cond-splits*  
*elim*: *alpha-d-more-eqE*)

**apply** (*rule-tac b=ba(tr := tr b)* **in** *comp-intro, auto split: cond-splits*

*elim!*: *alpha-d-more-eqE alpha-rp-eqE intro!*: *alpha-d-more-eqI alpha-rp.equality*)

**apply** (*rule-tac s=ba* **in** *subst, auto intro!*: *alpha-d-more-eqI alpha-rp.equality*)

**apply** (*rule-tac s=ba* **in** *subst, auto intro!*: *alpha-d-more-eqI alpha-rp.equality*)

**apply** (*rule-tac b=ba(tr := tr b)* **in** *comp-intro, auto split: cond-splits*)

**apply** (*rule-tac s=ba* **in** *subst,*

*auto elim*: *alpha-d-more-eqE alpha-rp-eqE intro*: *alpha-d-more-eqI alpha-rp.equality*)

**apply** (*rule-tac*  $b=ba(tr := tr b)$ ) **in** *comp-intro*,  
*auto elim: alpha-d-more-eqE alpha-rp-eqE intro: alpha-d-more-eqI alpha-rp.equality*  
*split: cond-splits*)  
**apply** (*rule-tac*  $s=ba$  **in** *subst*,  
*auto elim: alpha-d-more-eqE alpha-rp-eqE intro: alpha-d-more-eqI alpha-rp.equality*)  
**done**

**lemma** *R-H2-commute2*:  $R (H2 P) = H2 (R P)$   
**by** (*auto simp: fun-eq-iff R-H2-commute[simplified fun-eq-iff Fun.comp-def]*)

**end**

## 11 CSP processes

**theory** *CSP-Processes*  
**imports** *Reactive-Processes*  
**begin**

A CSP process is a UTP reactive process that satisfies two additional healthiness conditions called *CSP1* and *CSP2*. A reactive process that satisfies *CSP1* and *CSP2* is said to be CSP healthy.

### 11.1 Definitions

We introduce here the definitions of the CSP healthiness conditions.

**definition** *CSP1*::( $'\vartheta, '\sigma$ ) *alphabet-rp*) *Healthiness-condition*  
**where** *CSP1* ( $P$ )  $\equiv P \vee (\lambda(A, A'). \neg ok A \wedge tr A \leq tr A')$

**definition** *J-csp*  
**where** *J-csp*  $\equiv \lambda(A, A'). (ok A \longrightarrow ok A') \wedge tr A = tr A' \wedge wait A = wait A' \wedge ref A = ref A' \wedge more A = more A'$

**definition** *CSP2*::( $'\vartheta, '\sigma$ ) *alphabet-rp*) *Healthiness-condition*  
**where** *CSP2* ( $P$ )  $\equiv P ; ; J-csp$

**definition** *is-CSP-process*::( $'\vartheta, '\sigma$ ) *relation-rp*  $\Rightarrow$  *bool* **where**  
*is-CSP-process*  $P \equiv P$  *is CSP1 healthy*  $\wedge P$  *is CSP2 healthy*  $\wedge P$  *is R healthy*

**lemmas** *csp-defs* = *CSP1-def J-csp-def CSP2-def is-CSP-process-def*

**lemma** *is-CSP-processE1* [*elim?*]:  
**assumes** *is-CSP-process*  $P$   
**obtains**  $P$  *is CSP1 healthy*  $P$  *is CSP2 healthy*  $P$  *is R healthy*  
**using** *assms unfolding is-CSP-process-def* **by** *simp*

**lemma** *is-CSP-processE2* [*elim?*]:  
**assumes** *is-CSP-process*  $P$   
**obtains** *CSP1*  $P = P$  *CSP2*  $P = P$  *R*  $P = P$

**using** *assms* **unfolding** *is-CSP-process-def* **by** (*simp add: Healthy-def'*)

## 11.2 Proofs

Theorems and lemmas relative to CSP processes are introduced here.

**lemma** *CSP1-CSP2-commute*:  $CSP1 \circ CSP2 = CSP2 \circ CSP1$   
**by** (*auto simp: csp-defs fun-eq-iff*)

**lemma** *CSP2-is-H2*:  $H2 = CSP2$   
**apply** (*clarsimp simp add: csp-defs design-defs rp-defs fun-eq-iff*)  
**apply** (*rule iffI*)  
**apply** (*erule-tac [!] comp-elim*)  
**apply** (*rule-tac [!] b=ba in comp-intro*)  
**apply** (*auto elim!: alpha-d-more-eqE intro!: alpha-d-more-eqI*)  
**done**

**lemma** *H2-CSP1-commute*:  $H2 \circ CSP1 = CSP1 \circ H2$   
**apply** (*subst CSP2-is-H2[simplified Healthy-def]+*)  
**apply** (*rule CSP1-CSP2-commute[symmetric]*)  
**done**

**lemma** *H2-CSP1-commute2*:  $H2 (CSP1 P) = CSP1 (H2 P)$   
**by** (*simp add: H2-CSP1-commute[simplified Fun.comp-def fun-eq-iff, rule-format]*  
*fun-eq-iff*)

**lemma** *CSP1-R-commute*:  
 $CSP1 (R P) = R (CSP1 P)$   
**by** (*auto simp: csp-defs rp-defs fun-eq-iff prefix-def split: cond-splits*)

**lemma** *CSP2-R-commute*:  
 $CSP2 (R P) = R (CSP2 P)$   
**apply** (*subst CSP2-is-H2[symmetric]+*)  
**apply** (*rule R-H2-commute2[symmetric]*)  
**done**

**lemma** *CSP1-idem*:  $CSP1 = CSP1 \circ CSP1$   
**by** (*auto simp: csp-defs fun-eq-iff*)

**lemma** *CSP2-idem*:  $CSP2 = CSP2 \circ CSP2$   
**by** (*auto simp: csp-defs fun-eq-iff*)

**lemma** *CSP-is-CSP1*:  
**assumes** *A: is-CSP-process P*  
**shows** *P is CSP1 healthy*  
**using** *A* **by** (*auto simp: is-CSP-process-def design-defs*)

**lemma** *CSP-is-CSP2*:  
**assumes** *A: is-CSP-process P*  
**shows** *P is CSP2 healthy*



**using**  $A$  **by** (*simp add: design-defs prefix-def is-CSP-process-def*)

**lemma** *CSP-is-R*:

**assumes**  $A$ : *is-CSP-process*  $P$

**shows**  $P$  *is*  $R$  *healthy*

**using**  $A$  **by** (*simp add: design-defs prefix-def is-CSP-process-def*)

**lemma** *t-or-f-a*:  $P(a, b) \implies ((P(a, b \langle ok := True \rangle)) \vee (P(a, b \langle ok := False \rangle)))$

**apply** (*case-tac ok b, auto*)

**apply** (*rule-tac t=b \langle ok := True \rangle and s=b in ssubst, simp-all*)

**by** (*subgoal-tac b = b \langle ok := False \rangle, simp-all*)

**lemma** *CSP2-ok-a*:

$(CSP2\ P)(a, b \langle ok := True \rangle) \implies (P(a, b \langle ok := True \rangle) \vee P(a, b \langle ok := False \rangle))$

**apply** (*clarsimp simp: csp-defs design-defs rp-defs split: cond-splits elim: prefixE*)

**apply** (*case-tac ok ba*)

**apply** (*rule-tac t=b \langle ok := True \rangle and s=ba in ssubst, simp-all*)

**apply** (*drule-tac b=b \langle ok := False \rangle and a=ba in back-subst*)

**apply** (*auto intro: alpha-rp.equality*)

**done**

**lemma** *CSP2-ok-b*:

$(P(a, b \langle ok := True \rangle) \vee P(a, b \langle ok := False \rangle)) \implies (CSP2\ P)(a, b \langle ok := True \rangle)$

**by** (*auto simp: csp-defs design-defs rp-defs*)

**lemma** *CSP2-ok*:

$(CSP2\ P)(a, b \langle ok := True \rangle) = (P(a, b \langle ok := True \rangle) \vee P(a, b \langle ok := False \rangle))$

**apply** (*rule iffI*)

**apply** (*simp add: CSP2-ok-a*)

**by** (*simp add: CSP2-ok-b*)

**lemma** *CSP2-notok-a*:  $(CSP2\ P)(a, b \langle ok := False \rangle) \implies P(a, b \langle ok := False \rangle)$

**apply** (*clarsimp simp: csp-defs design-defs rp-defs*)

**apply** (*case-tac ok ba*)

**apply** (*rule-tac t=b \langle ok := True \rangle and s=ba in ssubst, simp-all*)

**apply** (*drule-tac b=b \langle ok := False \rangle and a=ba in back-subst*)

**apply** (*auto intro: alpha-rp.equality*)

**done**

**lemma** *CSP2-notok-b*:  $P(a, b \langle ok := False \rangle) \implies (CSP2\ P)(a, b \langle ok := False \rangle)$

**by** (*auto simp: csp-defs design-defs rp-defs*)

**lemma** *CSP2-notok*:  $(CSP2\ P)(a, b \langle ok := False \rangle) = P(a, b \langle ok := False \rangle)$

**apply** (*rule iffI*)

**apply** (*simp add: CSP2-notok-a*)

**by** (*simp add: CSP2-notok-b*)

**lemma** *CSP2-t-f*:

**assumes**  $A$ :  $(CSP2\ (R\ (r \vdash p)))(a, b)$

```

and B: ((CSP2 (R (r ⊢ p)))(a, b(ok:=False))) ∨
          ((CSP2 (R (r ⊢ p)))(a, b(ok:=True))) ⇒ Q
shows Q
apply (rule B)
apply (rule disjI2)
apply (insert A)
apply (auto simp add: csp-defs design-defs rp-defs)
done

```

```

lemma disj-CSP1:
  assumes P is CSP1 healthy
  and Q is CSP1 healthy
  shows (P ∨ Q) is CSP1 healthy
using assms by (auto simp: csp-defs design-defs rp-defs fun-eq-iff)

```

```

lemma disj-CSP2:
  P is CSP2 healthy ==> Q is CSP2 healthy ==> (P ∨ Q) is CSP2 healthy
by (simp add: CSP2-is-H2[symmetric] Healthy-def' design-defs comp-ndet-l-distr)

```

```

lemma disj-CSP:
  assumes A: is-CSP-process P
  assumes B: is-CSP-process Q
  shows is-CSP-process (P ∨ Q)
apply (simp add: is-CSP-process-def Healthy-def)
apply (subst disj-CSP2[simplified Healthy-def, symmetric])
apply (rule A[THEN CSP-is-CSP2, simplified Healthy-def])
apply (rule B[THEN CSP-is-CSP2, simplified Healthy-def], simp)
apply (subst disj-CSP1[simplified Healthy-def, symmetric])
apply (rule A[THEN CSP-is-CSP1, simplified Healthy-def])
apply (rule B[THEN CSP-is-CSP1, simplified Healthy-def], simp)
apply (subst R-disj[simplified Healthy-def])
apply (rule A[THEN CSP-is-R, simplified Healthy-def])
apply (rule B[THEN CSP-is-R, simplified Healthy-def], simp)
done

```

```

lemma seq-CSP1:
  assumes A: P is CSP1 healthy
  assumes B: Q is CSP1 healthy
  shows (P ;; Q) is CSP1 healthy
using A B by (auto simp: csp-defs design-defs rp-defs fun-eq-iff)

```

```

lemma seq-CSP2:
  assumes A: Q is CSP2 healthy
  shows (P ;; Q) is CSP2 healthy
using A
by (auto simp: CSP2-is-H2[symmetric] H2-J[symmetric])

```

```

lemma seq-R:
  assumes P is R healthy

```

```

and  $Q$  is  $R$  healthy
shows  $(P ; ; Q)$  is  $R$  healthy
proof –
have  $R P = P$  and  $R Q = Q$ 
  using assms by (simp-all only: Healthy-def)
moreover
have  $(R P ; ; R Q)$  is  $R$  healthy
  apply (auto simp add: design-defs rp-defs prefix-def fun-eq-iff split: cond-splits)
    apply (rule-tac b=a in comp-intro, auto split: cond-splits)
      apply (rule-tac x=zs in exI, auto split: cond-splits)
        apply (rule-tac b=ba(tr := tr a @ tr ba) in comp-intro, auto split: cond-splits)+
      done
  ultimately show ?thesis by simp
qed

```

lemma *seq-CSP*:

```

assumes  $A: P$  is  $CSP1$  healthy
and  $B: P$  is  $R$  healthy
and  $C: is-CSP-process Q$ 
shows  $is-CSP-process (P ; ; Q)$ 
apply (auto simp add: is-CSP-process-def)
apply (subst seq-CSP1[simplified Healthy-def])
apply (rule A[simplified Healthy-def])
apply (rule CSP-is-CSP1[OF C, simplified Healthy-def])
apply (simp add: Healthy-def, subst CSP1-idem, auto)
apply (subst seq-CSP2[simplified Healthy-def])
apply (rule CSP-is-CSP2[OF C, simplified Healthy-def])
apply (simp add: Healthy-def, subst CSP2-idem, auto)
apply (subst seq-R[simplified Healthy-def])
apply (rule B[simplified Healthy-def])
apply (rule CSP-is-R[OF C, simplified Healthy-def])
apply (simp add: Healthy-def, subst R-idem2, auto)
done

```

lemma *rd-ind-wait*:  $(R(\neg(P^f_f) \vdash (P^t_f)))$   
 $= (R((\neg(\lambda (A, A'). P (A, A'(\text{ok} := \text{False}))))$   
 $\vdash (\lambda (A, A'). P (A, A'(\text{ok} := \text{True}))))))$

```

apply (auto simp: design-defs rp-defs fun-eq-iff split: cond-splits)
apply (subgoal-tac a(tr := [], wait := False) = a(tr := [], auto))
apply (subgoal-tac a(tr := [], wait := False) = a(tr := [], auto))
apply (subgoal-tac a(tr := [], wait := False) = a(tr := [], auto))
apply (subgoal-tac a(tr := [], wait := False) = a(tr := [], auto))
apply (subgoal-tac a(tr := [], wait := False) = a(tr := [], auto))
apply (rule-tac t=a(tr := [], wait := False) and s=a(tr := []) in subst, simp-all)
done

```

lemma *rd-H1*:  $(R((\neg(\lambda (A, A'). P (A, A'(\text{ok} := \text{False}))))$   
 $\vdash (\lambda (A, A'). P (A, A'(\text{ok} := \text{True})))) =$

```

      (R ((¬ H1 (λ (A, A'). P (A, A'⟦ok := False⟧))))
        ⊢ H1 (λ (A, A'). P (A, A'⟦ok := True⟧))))
by (auto simp: design-defs rp-defs fun-eq-iff split: cond-splits)

lemma rd-H1-H2: (R((¬ H1 (λ (A, A'). P (A, A'⟦ok := False⟧))))
  ⊢ H1 (λ (A, A'). P (A, A'⟦ok := True⟧)))) =
  (R((¬(H1 o H2) (λ (A, A'). P (A, A'⟦ok := False⟧))))
    ⊢ (H1 o H2) (λ (A, A'). P (A, A'⟦ok := True⟧))))
apply (auto simp: design-defs rp-defs prefix-def fun-eq-iff split: cond-splits elim:
  alpha-d-more-eqE)
apply (subgoal-tac b⟦tr := zs, ok := False⟧ = ba⟦ok := False⟧, auto intro: al-
  pha-d.equality)
apply (subgoal-tac b⟦tr := zs, ok := False⟧ = ba⟦ok := False⟧, auto intro: al-
  pha-d.equality)
apply (subgoal-tac b⟦tr := zs, ok := False⟧ = ba⟦ok := False⟧, auto intro: al-
  pha-d.equality)
apply (subgoal-tac b⟦tr := zs, ok := True⟧ = ba⟦ok := True⟧, auto intro: al-
  pha-d.equality)
apply (subgoal-tac b⟦tr := zs, ok := True⟧ = ba⟦ok := True⟧, auto intro: al-
  pha-d.equality)
done

```

```

lemma rd-H1-H2-R-H1-H2:
  (R ((¬ (H1 o H2) (λ (A, A'). P (A, A'⟦ok := False⟧))))
    ⊢ (H1 o H2) (λ (A, A'). P (A, A'⟦ok := True⟧)))) =
  (R o H1 o H2) P
apply (auto simp: design-defs rp-defs fun-eq-iff split: cond-splits)
apply (erule notE) back back
apply (rule-tac b=ba in comp-intro, auto)
apply (rule-tac t=ba⟦ok := False⟧ and s=ba in subst, auto intro: alpha-d.equality)
apply (erule notE) back back
apply (rule-tac b=ba in comp-intro, auto)
apply (rule-tac t=ba⟦ok := False⟧ and s=ba in subst, auto intro: alpha-d.equality)
apply (case-tac ok ba)
apply (rule-tac b=ba in comp-intro, auto)
apply (rule-tac t=ba⟦ok := True⟧ and s=ba in subst, auto)
apply (erule notE) back
apply (rule-tac b=ba in comp-intro, auto)
apply (rule-tac t=ba⟦ok := False⟧ and s=ba in subst, auto intro: alpha-d.equality)
done

```

```

lemma CSP1-is-R1-H1:
  assumes P is R1 healthy
  shows CSP1 P = R1 (H1 P)
using assms
by (auto simp: csp-defs design-defs rp-defs fun-eq-iff split: cond-splits)

```

```

lemma CSP1-is-R1-H1-2: CSP1 (R1 P) = R1 (H1 P)
by (auto simp: csp-defs design-defs rp-defs fun-eq-iff split: cond-splits)

```

**lemma** *CSP1-R1-commute*:  $CSP1 \circ R1 = R1 \circ CSP1$   
**by** (*auto simp: csp-defs design-defs rp-defs fun-eq-iff split: cond-splits*)

**lemma** *CSP1-R1-commute2*:  $CSP1 (R1 P) = R1 (CSP1 P)$   
**by** (*auto simp: csp-defs design-defs rp-defs fun-eq-iff split: cond-splits*)

**lemma** *CSP1-is-R1-H1-b*:  
 $(P = (R \circ R1 \circ H1 \circ H2) P) = (P = (R \circ CSP1 \circ H2) P)$   
**apply** (*simp add: fun-eq-iff*)  
**apply** (*subst H1-H2-commute2*)  
**apply** (*subst R1-H2-commute2*)  
**apply** (*subst CSP1-is-R1-H1-2[symmetric]*)  
**apply** (*subst H2-CSP1-commute2*)  
**apply** (*subst R1-H2-commute2[symmetric]*)  
**apply** (*subst CSP1-R1-commute2*)  
**apply** (*subst R-abs-R1[simplified Fun.comp-def fun-eq-iff]*)  
**apply** (*auto*)  
**done**

**lemma** *CSP1-join*:  
**assumes** *A: x is CSP1 healthy*  
**and** *B: y is CSP1 healthy*  
**shows**  $(x \sqcap y)$  *is CSP1 healthy*  
**using** *A B*  
**by** (*simp add: Healthy-def CSP1-def fun-eq-iff utp-defs*)

**lemma** *CSP2-join*:  
**assumes** *A: x is CSP2 healthy*  
**and** *B: y is CSP2 healthy*  
**shows**  $(x \sqcap y)$  *is CSP2 healthy*  
**using** *A B*  
**apply** (*simp add: design-defs csp-defs fun-eq-iff*)  
**apply** (*rule allI*)  
**apply** (*rule allI*)  
**apply** (*erule-tac x=a in allE*)  
**apply** (*erule-tac x=a in allE*)  
**apply** (*erule-tac x=b in allE*)  
**by** (*auto*)

**lemma** *CSP1-meet*:  
**assumes** *A: x is CSP1 healthy*  
**and** *B: y is CSP1 healthy*  
**shows**  $(x \sqcup y)$  *is CSP1 healthy*  
**using** *A B*  
**apply** (*simp add: Healthy-def CSP1-def fun-eq-iff utp-defs*)  
**apply** (*rule allI*)  
**apply** (*rule allI*)  
**apply** (*erule-tac x=a in allE*)

**apply** (*erule-tac*  $x=a$  **in** *allE*)  
**apply** (*erule-tac*  $x=b$  **in** *allE*)+  
**by** (*auto*)

**lemma** *CSP2-meet*:  
**assumes**  $A$ :  $x$  is *CSP2 healthy*  
**and**  $B$ :  $y$  is *CSP2 healthy*  
**shows**  $(x \sqcup y)$  is *CSP2 healthy*  
**using**  $A B$   
**apply** (*simp add: Healthy-def CSP2-def fun-eq-iff*)  
**apply** (*rule allI*)+  
**apply** (*erule-tac*  $x=a$  **in** *allE*)  
**apply** (*erule-tac*  $x=a$  **in** *allE*)  
**apply** (*erule-tac*  $x=b$  **in** *allE*)+  
**apply** (*auto*)  
**apply** (*rule-tac*  $b=ca$  **in** *comp-intro*)  
**apply** (*auto simp: J-csp-def*)  
**done**

**lemma** *CSP-join*:  
**assumes**  $A$ :  $x$  is *is-CSP-process*  
**and**  $B$ :  $y$  is *is-CSP-process*  
**shows**  $(x \sqcap y)$  is *is-CSP-process*  
**using**  $A B$   
**by** (*simp add: is-CSP-process-def CSP1-join CSP2-join R-join*)

**lemma** *CSP-meet*:  
**assumes**  $A$ :  $x$  is *is-CSP-process*  
**and**  $B$ :  $y$  is *is-CSP-process*  
**shows**  $(x \sqcup y)$  is *is-CSP-process*  
**using**  $A B$   
**by** (*simp add: is-CSP-process-def CSP1-meet CSP2-meet R-meet*)

### 11.3 CSP processes and reactive designs

In this section, we prove the relation between CSP processes and reactive designs.

**lemma** *rd-is-CSP1*:  $(R (r \vdash p))$  is *CSP1 healthy*  
**by** (*auto simp: csp-defs design-defs rp-defs fun-eq-iff split: cond-splits elim: prefixE*)

**lemma** *rd-is-CSP2*:  
**assumes**  $A$ :  $\forall a b. r (a, b(\text{ok} := \text{True})) \longrightarrow r (a, b(\text{ok} := \text{False}))$   
**shows**  $(R (r \vdash p))$  is *CSP2 healthy*  
**apply** (*subst CSP2-is-H2[symmetric]*)  
**apply** (*simp add: Healthy-def*)  
**apply** (*subst R-H2-commute2[symmetric]*)  
**apply** (*subst design-H2[simplified Healthy-def], auto simp: A*)  
**done**

```

lemma rd-is-CSP:
  assumes A:  $\forall a b. r (a, b(\text{ok} := \text{True})) \longrightarrow r (a, b(\text{ok} := \text{False}))$ 
  shows is-CSP-process (R (r  $\vdash$  p))
apply (simp add: is-CSP-process-def Healthy-def fun-eq-iff)
apply (subst R-idem2)
apply (subst rd-is-CSP2[simplified Healthy-def, symmetric], rule A)
apply (subst rd-is-CSP1[simplified Healthy-def, symmetric], simp)
done

```

```

lemma CSP-is-rd:
  assumes A: is-CSP-process P
  shows  $P = (R (\neg(P \text{ }^f_f) \vdash (P \text{ }^t_f)))$ 
apply (subst rd-ind-wait)
apply (subst rd-H1)
apply (subst rd-H1-H2)
apply (subst rd-H1-H2-R-H1-H2)
apply (subst R-abs-R1[symmetric])
apply (subst CSP1-is-R1-H1-b)
apply (subst CSP2-is-H2)
apply (simp)
apply (subst CSP-is-CSP2[OF A, simplified Healthy-def, symmetric])
apply (subst CSP-is-CSP1[OF A, simplified Healthy-def, symmetric])
apply (subst CSP-is-R[OF A, simplified Healthy-def, symmetric], simp)
done

```

end

## 12 Circus actions

```

theory Circus-Actions
imports HOLCF CSP-Processes
begin

```

In this section, we introduce definitions for Circus actions with some useful theorems and lemmas.

```

default-sort type

```

### 12.1 Definitions

The Circus actions type is defined as the set of all the CSP healthy reactive processes.

```

typedef ( $'\vartheta::\text{ev-eq}, '\sigma$ ) action =  $\{p::(' \vartheta, '\sigma) \text{ relation-rp. is-CSP-process } p\}$ 
  morphisms relation-of action-of
proof –
  have  $R (\text{false} \vdash \text{true}) \in \{p::(' \vartheta, '\sigma) \text{ relation-rp. is-CSP-process } p\}$ 
    by (auto intro: rd-is-CSP)
  thus ?thesis by auto

```

qed

**print-theorems**

The type-definition introduces a new type by stating a set. In our case, it is the set of reactive processes that satisfy the healthiness-conditions for CSP-processes, isomorphic to the new type. Technically, this construct introduces two constants (morphisms) definitions *relation-of* and *action-of* as well as the usual axioms expressing the bijection *action-of* (*action.relation-of* ?*x*) = ?*x* and ?*y* ∈ {*p. is-CSP-process p*} ⇒ *action.relation-of* (*action-of* ?*y*) = ?*y*.

**lemma** *relation-of-CSP: is-CSP-process (relation-of x)*

**proof** –

**have** (*relation-of x*) : {*p. is-CSP-process p*} **by** (*rule relation-of*)

**then show** *is-CSP-process (relation-of x)* ..

qed

**lemma** *relation-of-CSP1: (relation-of x) is CSP1 healthy*

**by** (*rule CSP-is-CSP1[OF relation-of-CSP]*)

**lemma** *relation-of-CSP2: (relation-of x) is CSP2 healthy*

**by** (*rule CSP-is-CSP2[OF relation-of-CSP]*)

**lemma** *relation-of-R: (relation-of x) is R healthy*

**by** (*rule CSP-is-R[OF relation-of-CSP]*)

## 12.2 Proofs

In the following, Circus actions are proved to be an instance of the *Complete\_Lattice* class.

**lemma** *relation-of-spec-f-f:*

$\forall a b. (\text{relation-of } y \longrightarrow \text{relation-of } x) (a, b) \Longrightarrow$   
 $(\text{relation-of } y)^f_f (a \langle \text{tr} := [] \rangle, b) \Longrightarrow$   
 $(\text{relation-of } x)^f_f (a \langle \text{tr} := [] \rangle, b)$

**by** (*auto simp: spec-def*)

**lemma** *relation-of-spec-t-f:*

$\forall a b. (\text{relation-of } y \longrightarrow \text{relation-of } x) (a, b) \Longrightarrow$   
 $(\text{relation-of } y)^t_f (a \langle \text{tr} := [] \rangle, b) \Longrightarrow$   
 $(\text{relation-of } x)^t_f (a \langle \text{tr} := [] \rangle, b)$

**by** (*auto simp: spec-def*)

**instantiation** *action::(ev-eq, type) below*

**begin**

**definition** *ref-def* :  $P \sqsubseteq Q \equiv [(\text{relation-of } Q) \longrightarrow (\text{relation-of } P)]$

**instance** ..

**end**



```

instance action :: (ev-eq, type) po
proof
  fix x y z::('a, 'b) action
  {
    show x  $\sqsubseteq$  x by (simp add: ref-def utp-defs)
  }
  next
    assume x  $\sqsubseteq$  y and y  $\sqsubseteq$  z then show x  $\sqsubseteq$  z
    by (simp add: ref-def utp-defs)
  next
    assume A:x  $\sqsubseteq$  y and B:y  $\sqsubseteq$  x then show x = y
    by (auto simp add: ref-def relation-of-inject[symmetric] fun-eq-iff)
  }
qed

```

```

instantiation action :: (ev-eq, type) lattice
begin

```

```

definition inf-action : (inf P Q  $\equiv$  action-of ((relation-of P)  $\sqcap$  (relation-of Q)))
definition sup-action : (sup P Q  $\equiv$  action-of ((relation-of P)  $\sqcup$  (relation-of Q)))
definition less-eq-action : (less-eq (P::('a, 'b) action) Q  $\equiv$  P  $\sqsubseteq$  Q)
definition less-action : (less (P::('a, 'b) action) Q  $\equiv$  P  $\sqsubseteq$  Q  $\wedge$   $\neg$  Q  $\sqsubseteq$  P)

```

```

instance
proof
  fix x y z::('a, 'b) action
  {
    show (x < y) = (x  $\leq$  y  $\wedge$   $\neg$  y  $\leq$  x)
    by (simp add: less-action less-eq-action)
  }
  next
    show (x  $\leq$  x) by (simp add: less-eq-action)
  next
    assume x  $\leq$  y and y  $\leq$  z
    then show x  $\leq$  z
    by (simp add: less-eq-action ref-def utp-defs)
  next
    assume x  $\leq$  y and y  $\leq$  x
    then show x = y
    by (auto simp add: less-eq-action ref-def relation-of-inject[symmetric] utp-defs)
  next
    show inf x y  $\leq$  x
    apply (auto simp add: less-eq-action inf-action ref-def
      csp-defs design-defs rp-defs)
    apply (subst action-of-inverse, simp add: Healthy-def)
    apply (insert relation-of-CSP[where x=x])
    apply (insert relation-of-CSP[where x=y])
    apply (simp-all add: CSP-join)
    apply (simp add: utp-defs)
    done
  next

```

```

show  $inf\ x\ y \leq y$ 
  apply (auto simp add: less-eq-action inf-action ref-def csp-defs)
  apply (subst action-of-inverse, simp add: Healthy-def)
  apply (insert relation-of-CSP[where  $x=x$ ])
  apply (insert relation-of-CSP[where  $x=y$ ])
  apply (simp-all add: CSP-join)
  apply (simp add: utp-defs)
done
next
assume  $x \leq y$  and  $x \leq z$ 
then show  $x \leq inf\ y\ z$ 
  apply (auto simp add: less-eq-action inf-action ref-def impl-def csp-defs)
  apply (erule-tac  $x=a$  in  $allE$ , erule-tac  $x=a$  in  $allE$ )
  apply (erule-tac  $x=b$  in  $allE$ )
  apply (subst (asm) action-of-inverse)
  apply (simp add: Healthy-def)
  apply (insert relation-of-CSP[where  $x=z$ ])
  apply (insert relation-of-CSP[where  $x=y$ ])
  apply (auto simp add: CSP-join)
done
next
show  $x \leq sup\ x\ y$ 
  apply (auto simp add: less-eq-action sup-action ref-def
    impl-def csp-defs)
  apply (subst (asm) action-of-inverse)
  apply (simp add: Healthy-def)
  apply (insert relation-of-CSP[where  $x=x$ ])
  apply (insert relation-of-CSP[where  $x=y$ ])
  apply (auto simp add: CSP-meet)
done
next
show  $y \leq sup\ x\ y$ 
  apply (auto simp add: less-eq-action sup-action ref-def
    impl-def csp-defs)
  apply (subst (asm) action-of-inverse)
  apply (simp add: Healthy-def)
  apply (insert relation-of-CSP[where  $x=x$ ])
  apply (insert relation-of-CSP[where  $x=y$ ])
  apply (auto simp add: CSP-meet)
done
next
assume  $y \leq x$  and  $z \leq x$ 
then show  $sup\ y\ z \leq x$ 
  apply (auto simp add: less-eq-action sup-action ref-def impl-def csp-defs)
  apply (erule-tac  $x=a$  in  $allE$ )
  apply (erule-tac  $x=a$  in  $allE$ )
  apply (erule-tac  $x=b$  in  $allE$ )
  apply (subst action-of-inverse)
  apply (simp add: Healthy-def)

```

```

    apply (insert relation-of-CSP[where  $x=z$ ])
    apply (insert relation-of-CSP[where  $x=y$ ])
    apply (auto simp add: CSP-meet)
  done
}
qed

end

lemma bot-is-action:  $R (false \vdash true) \in \{p. \text{is-CSP-process } p\}$ 
  by (auto intro: rd-is-CSP)

lemma bot-eq-true:  $R (false \vdash true) = R true$ 
  by (auto simp: fun-eq-iff design-defs rp-defs split: cond-splits)

instantiation action :: (ev-eq, type) bounded-lattice
begin

definition bot-action : (bot::('a, 'b) action)  $\equiv$  action-of (R(false  $\vdash$  true))
definition top-action : (top::('a, 'b) action)  $\equiv$  action-of (R(true  $\vdash$  false))

instance
proof
  fix x::('a, 'b) action
  {
    show bot  $\leq$  x
    unfolding bot-action
    apply (auto simp add: less-action less-eq-action ref-def bot-action)
    apply (subst action-of-inverse) apply (rule bot-is-action)
    apply (subst bot-eq-true)
    apply (subst (asm) CSP-is-rd)
    apply (rule relation-of-CSP)
    apply (auto simp add: csp-defs rp-defs fun-eq-iff split: cond-splits)
  done
next
  show  $x \leq$  top
  apply (auto simp add: less-action less-eq-action ref-def top-action)
  apply (subst (asm) action-of-inverse)
  apply (simp)
  apply (rule rd-is-CSP)
  apply auto
  apply (subst action-of-cases[where  $x=x$ ], simp-all)
  apply (subst action-of-inverse, simp-all)
  apply (subst CSP-is-rd[where  $P=y$ ], simp-all)
  apply (auto simp: rp-defs design-defs fun-eq-iff split: cond-splits)
  done
}
qed

```

**end**

**lemma** *relation-of-top*:  $\text{relation-of top} = R(\text{true} \vdash \text{false})$   
**apply** (*simp add: top-action*)  
**apply** (*subst action-of-inverse*)  
**apply** (*simp*)  
**apply** (*rule rd-is-CSP*)  
**apply** (*auto simp add: utp-defs design-defs rp-defs*)  
**done**

**lemma** *relation-of-bot*:  $\text{relation-of bot} = R \text{ true}$   
**apply** (*simp add: bot-action*)  
**apply** (*subst action-of-inverse*)  
**apply** (*simp add: bot-is-action[simplified], rule bot-eq-true*)  
**done**

**lemma** *non-emptyE*: **assumes**  $A \neq \{\}$  **obtains**  $x$  **where**  $x : A$   
**using** *assms* **by** (*auto simp add: ex-in-conv [symmetric]*)

**lemma** *CSP1-Inf*:  
**assumes**  $*:A \neq \{\}$   
**shows**  $(\sqcap \text{relation-of } 'A) \text{ is CSP1 healthy}$   
**proof** –  
  **have**  $(\sqcap \text{relation-of } 'A) = \text{CSP1 } (\sqcap \text{relation-of } 'A)$   
  **proof**  
    **fix**  $P$   
    **note**  $*$  **then**  
    **show**  $(P \in \bigcup \{\{p. P p\} \mid P. P \in \text{relation-of } 'A\}) = \text{CSP1 } (\lambda Aa. Aa \in \bigcup \{\{p. P p\} \mid P. P \in \text{relation-of } 'A\}) P$   
    **apply** (*intro iffI*)  
    **apply** (*simp-all add: csp-defs*)  
    **apply** (*rule disj-introC, simp*)  
    **apply** (*erule disj-elim, simp-all*)  
    **apply** (*cases P, simp-all*)  
    **apply** (*erule non-emptyE*)  
    **apply** (*rule-tac x=Collect (relation-of x) in exI, simp*)  
    **apply** (*rule conjI*)  
    **apply** (*rule-tac x=(relation-of x) in exI, simp*)  
    **apply** (*subst CSP-is-rd, simp add: relation-of-CSP*)  
    **apply** (*auto simp add: csp-defs design-defs rp-defs fun-eq-iff split: cond-splits*)  
    **done**  
  **qed**  
  **then show**  $(\sqcap \text{relation-of } 'A) \text{ is CSP1 healthy}$  **by** (*simp add: design-defs*)  
**qed**

**lemma** *CSP2-Inf*:  
**assumes**  $*:A \neq \{\}$   
**shows**  $(\sqcap \text{relation-of } 'A) \text{ is CSP2 healthy}$   
**proof** –

```

have ( $\sqcap$  relation-of ' A) = CSP2 ( $\sqcap$  relation-of ' A)
proof
  fix P
  note * then
  show ( $P \in \bigcup \{\{p. P p\} \mid P. P \in \text{relation-of ' A}\} = \text{CSP2 } (\lambda Aa. Aa \in \bigcup \{\{p. P p\} \mid P. P \in \text{relation-of ' A}\} P$ )
  apply (intro iffI)
  apply (simp-all add: csp-defs)
  apply (cases P, simp-all)
  apply (erule exE)
  apply (rule-tac b=b in comp-intro, simp-all)
  apply (rule-tac x=x in exI, simp)
  apply (erule comp-elim, simp-all)
  apply (erule exE | erule conjE)+
  apply (simp-all)
  apply (rule-tac x=Collect Pa in exI, simp)
  apply (rule conjI)
  apply (rule-tac x=Pa in exI, simp)
  apply (erule Set.imageE, simp add: relation-of)
  apply (subst CSP-is-rd, simp add: relation-of-CSP)
  apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP)
  apply (auto simp add: csp-defs rp-defs prefix-def design-defs fun-eq-iff split:
cond-splits)
  apply (subgoal-tac b(tr := zs, ok := False) = c(tr := zs, ok := False), auto)
  apply (subgoal-tac b(tr := zs, ok := False) = c(tr := zs, ok := False), auto)
  apply (subgoal-tac b(tr := zs, ok := False) = c(tr := zs, ok := False), auto)
  apply (subgoal-tac b(tr := zs, ok := False) = c(tr := zs, ok := False), auto)
  apply (subgoal-tac b(tr := zs, ok := False) = c(tr := zs, ok := False), auto)
  apply (subgoal-tac b(tr := zs, ok := True) = c(tr := zs, ok := True), auto)
  apply (subgoal-tac b(tr := zs, ok := True) = c(tr := zs, ok := True), auto)
done
qed
then show ( $\sqcap$  relation-of ' A) is CSP2 healthy by (simp add: design-defs)
qed

lemma R-Inf:
assumes *:A  $\neq$  {}
shows ( $\sqcap$  relation-of ' A) is R healthy
proof -
  have ( $\sqcap$  relation-of ' A) = R ( $\sqcap$  relation-of ' A)
  proof
    fix P
    show ( $P \in \bigcup \{\{p. P p\} \mid P. P \in \text{relation-of ' A}\} = R (\lambda Aa. Aa \in \bigcup \{\{p. P p\} \mid P. P \in \text{relation-of ' A}\} P$ )
    apply (cases P, simp-all)
    apply (rule)
    apply (simp-all add: csp-defs rp-defs split: cond-splits)
    apply (erule exE)

```

```

apply (erule exE | erule conjE)+
apply (simp-all)
apply (erule Set.imageE, simp add: relation-of)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs prefix-def design-defs rp-defs fun-eq-iff split: cond-splits)
apply (rule-tac x=x in exI, simp)
apply (rule conjI)
apply (rule-tac x=relation-of xa in exI, simp)
apply (subst CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs prefix-def design-defs rp-defs fun-eq-iff split: cond-splits)
apply (insert *)
apply (erule non-emptyE)
apply (rule-tac x=Collect (relation-of x) in exI, simp)
apply (rule conjI)
apply (rule-tac x=(relation-of x) in exI, simp)
apply (subst CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs prefix-def design-defs rp-defs fun-eq-iff split: cond-splits)
apply (erule exE | erule conjE)+
apply (simp-all)
apply (erule Set.imageE, simp add: relation-of)
apply (rule-tac x=x in exI, simp)
apply (rule conjI)
apply (rule-tac x=(relation-of xa) in exI, simp)
apply (subst CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs prefix-def design-defs rp-defs fun-eq-iff split: cond-splits)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs prefix-def design-defs rp-defs fun-eq-iff split: cond-splits)
done
qed
then show ( $\sqcap$  relation-of ' A) is R healthy by (simp add: design-defs)
qed

```

```

lemma CSP-Inf:
assumes A  $\neq$  {}
shows is-CSP-process ( $\sqcap$  relation-of ' A)
unfolding is-CSP-process-def
using assms CSP1-Inf CSP2-Inf R-Inf
by auto

```

```

lemma Inf-is-action: A  $\neq$  {}  $\implies$   $\sqcap$  relation-of ' A  $\in$  {p. is-CSP-process p}
by (auto dest!: CSP-Inf)

```

```

lemma CSP1-Sup: A  $\neq$  {}  $\implies$  ( $\sqcup$  relation-of ' A) is CSP1 healthy
apply (auto simp add: design-defs csp-defs fun-eq-iff)
apply (subst CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs prefix-def design-defs rp-defs split: cond-splits)
done

```

```

lemma CSP2-Sup: A  $\neq$  {}  $\implies$  ( $\sqcup$  relation-of ' A) is CSP2 healthy

```

```

supply [[simproc del: defined-all]]
apply (simp add: design-defs csp-defs fun-eq-iff)
apply (rule allI)+
apply (rule)
apply (rule-tac b=b in comp-intro, simp-all)
apply (erule comp-elim, simp-all)
apply (rule allI)
apply (erule-tac x=x in allE)
apply (rule impI)
apply (case-tac (∃ P. x = Collect P & P ∈ relation-of ‘ A), simp-all)
apply (erule exE | erule conjE)+
apply (simp-all)
apply (erule Set.imageE, simp add: relation-of)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP, subst CSP-is-rd, simp
add: relation-of-CSP)
apply (auto simp add: csp-defs design-defs rp-defs split: cond-splits)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := False) = c(tr := tr c - tr aa,
ok := False), simp-all)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := False) = c(tr := tr c - tr aa,
ok := False), simp-all)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := False) = c(tr := tr c - tr aa,
ok := False), simp-all)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := False) = c(tr := tr c - tr aa,
ok := False), simp-all)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := False) = c(tr := tr c - tr aa,
ok := False), simp-all)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := True) = c(tr := tr c - tr aa,
ok := True), simp-all)
apply (subgoal-tac ba(tr := tr c - tr aa, ok := True) = c(tr := tr c - tr aa,
ok := True), simp-all)
done

```

**lemma** *R-Sup:  $A \neq \{\}$   $\implies$  ( $\sqcup$  relation-of ‘ A) is R healthy*

```

apply (simp add: rp-defs design-defs csp-defs fun-eq-iff)
apply (rule allI)+
apply (rule)
apply (simp split: cond-splits)
apply (case-tac wait a, simp-all)
apply (erule non-emptyE)
apply (erule-tac x=Collect (relation-of x) in allE, simp-all)
apply (case-tac relation-of x (a, b), simp-all)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs design-defs rp-defs split: cond-splits)
apply (erule-tac x=(relation-of x) in allE, simp-all)
apply (rule conjI)
apply (rule allI)
apply (erule-tac x=x in allE)

```

```

apply (rule impI)
apply (case-tac ( $\exists P. x = \text{Collect } P \ \& \ P \in \text{relation-of } 'A$ ), simp-all)
apply (erule exE | erule conjE)+
apply (simp-all)
apply (erule Set.imageE, simp add: relation-of)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP, subst CSP-is-rd, simp
add: relation-of-CSP)
apply (simp add: csp-defs design-defs rp-defs split: cond-splits)
apply (erule non-emptyE)
apply (erule-tac  $x = \text{Collect } (\text{relation-of } x) \text{ in } \text{allE}$ , simp-all)
apply (case-tac relation-of  $x \ (a, b)$ , simp-all)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs design-defs rp-defs split: cond-splits)
apply (erule-tac  $x = (\text{relation-of } x) \text{ in } \text{allE}$ , simp-all)
apply (simp split: cond-splits)
apply (rule allI)
apply (rule impI)
apply (erule exE | erule conjE)+
apply (simp-all)
apply (erule Set.imageE, simp add: relation-of)
apply (subst (asm) CSP-is-rd, simp add: relation-of-CSP, subst CSP-is-rd, simp
add: relation-of-CSP)
apply (simp add: csp-defs design-defs rp-defs split: cond-splits)
apply (rule allI)
apply (rule impI)
apply (erule exE | erule conjE)+
apply (simp-all)
apply (erule Set.imageE, simp add: relation-of)
apply (erule-tac  $x = x \text{ in } \text{allE}$ , simp-all)
apply (case-tac relation-of  $xa \ (a(\text{tr} := []), b(\text{tr} := \text{tr } b - \text{tr } a))$ , simp-all)
apply (subst (asm) CSP-is-rd) back back back
apply (simp add: relation-of-CSP, subst CSP-is-rd, simp add: relation-of-CSP)
apply (simp add: csp-defs design-defs rp-defs split: cond-splits)
apply (erule-tac  $x = P \text{ in } \text{allE}$ , simp-all)
done

```

**lemma** CSP-Sup:  $A \neq \{\}$   $\implies$  is-CSP-process ( $\sqcup$  relation-of 'A)  
**unfolding** is-CSP-process-def **using** CSP1-Sup CSP2-Sup R-Sup **by** auto

**lemma** Sup-is-action:  $A \neq \{\}$   $\implies$   $\sqcup$  relation-of 'A  $\in \{p. \text{is-CSP-process } p\}$   
**by** (auto dest!: CSP-Sup)

**lemma** relation-of-Sup:  
 $A \neq \{\} \implies \text{relation-of } (\text{action-of } \sqcup \text{ relation-of } 'A) = \sqcup \text{ relation-of } 'A$   
**by** (auto simp: action-of-inverse dest!: Sup-is-action)

**instantiation** action :: (ev-eq, type) complete-lattice  
**begin**



**definition** *Sup-action* :  
*(Sup (S:: ('a, 'b) action set)  $\equiv$  if S={}* then bot else action-of  $\sqcup$  (relation-of ' S))

**definition** *Inf-action* :  
*(Inf (S:: ('a, 'b) action set)  $\equiv$  if S={}* then top else action-of  $\sqcap$  (relation-of ' S))

**instance**

**proof**

```

fix A::('a, 'b) action set and z::('a, 'b) action
{
  fix x::('a, 'b) action
  assume x  $\in$  A
  then show Inf A  $\leq$  x
    apply (auto simp add: less-action less-eq-action Inf-action ref-def)
    apply (subst (asm) action-of-inverse)
    apply (auto intro: Inf-is-action[simplified])
    done
} note rule1 = this
{
  assume *:  $\bigwedge x. x \in A \implies z \leq x$ 
  show z  $\leq$  Inf A
  proof (cases A = {})
    case True
      then show ?thesis by (simp add: Inf-action)
    next
      case False
        show ?thesis
          using *
          apply (auto simp add: Inf-action)
          using  $\langle A \neq \{\} \rangle$ 
          apply (simp add: less-eq-action Inf-action ref-def)
          apply (subst (asm) action-of-inverse)
          apply (subst (asm) ex-in-conv[symmetric])
          apply (erule exE)
          apply (auto intro: Inf-is-action[simplified])
          done
  qed
}
{
  fix x::('a, 'b) action
  assume x  $\in$  A
  then show x  $\leq$  (Sup A)
    apply (auto simp add: less-action less-eq-action Sup-action ref-def)
    apply (subst (asm) action-of-inverse)
    apply (auto intro: Sup-is-action[simplified])
    done
} note rule2 = this
{
  assume  $\bigwedge x. x \in A \implies x \leq z$ 
  then show Sup A  $\leq$  z
    apply (auto simp add: Sup-action)

```

```

    apply atomize
    apply (case-tac A = {}, simp-all)
    apply (insert rule2)
    apply (auto simp add: less-action less-eq-action Sup-action ref-def)
    apply (subst (asm) action-of-inverse)
    apply (auto intro: Sup-is-action[simplified])
    apply (subst (asm) action-of-inverse)
    apply (auto intro: Sup-is-action[simplified])
  done
}
{ show Inf ({}::('a, 'b) action set) = top by(simp add:Inf-action) }
{ show Sup ({}::('a, 'b) action set) = bot by(simp add:Sup-action) }
qed

end

end

```

### 13 Circus variables

```

theory Var-list
imports Main
begin

```

Circus variables are represented by a stack (list) of values. they are characterized by two functions, *select* and *update*. The Circus variable type is defined as a tuple (*select* \* *update*) with a list of values instead of a single value.

**type-synonym** ('a, 'σ) *var-list* = ('σ ⇒ 'a list) \* (('a list ⇒ 'a list) ⇒ 'σ ⇒ 'σ)

The *select* function returns the top value of the stack.

**definition** *select* :: ('a, 'r) *var-list* ⇒ 'r ⇒ 'a  
**where** *select* f ≡ λ A. hd ((fst f) A)

The *increase* function pushes a new value to the top of the stack.

**definition** *increase* :: ('a, 'r) *var-list* ⇒ 'a ⇒ 'r ⇒ 'r  
**where** *increase* f val ≡ (snd f) (λ l. val#l)

The *increase0* function pushes an arbitrary value to the top of the stack.

**definition** *increase0* :: ('a, 'r) *var-list* ⇒ 'r ⇒ 'r  
**where** *increase0* f ≡ (snd f) (λ l. ((SOME val. True)#l))

The *decrease* function pops the top value of the stack.

**definition** *decrease* :: ('a, 'r) *var-list* ⇒ 'r ⇒ 'r  
**where** *decrease* f ≡ (snd f) (λ l. (tl l))

The *update* function updates the top value of the stack.

**definition**  $update :: ('a, 'r) var-list \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'r \Rightarrow 'r$   
**where**  $update\ f\ upd \equiv (snd\ f)\ (\lambda\ l.\ (upd\ (hd\ l))\#(tl\ l))$

The  $update0$  function initializes the top of the stack with an arbitrary value.

**definition**  $update0 :: ('a, 'r) var-list \Rightarrow 'r \Rightarrow 'r$   
**where**  $update0\ f \equiv (snd\ f)\ (\lambda\ l.\ ((SOME\ upd.\ True)\ (hd\ l))\#(tl\ l))$

**axiomatization** **where**  $select\ increase: (select\ v\ (increase\ v\ a\ s)) = a$

The  $VAR-LIST$  function allows to retrieve a Circus variable from its name.

**syntax**  $-VAR-LIST :: id \Rightarrow ('a, 'r) var-list\ (\langle VAR'-LIST \rightarrow)$   
**translations**  $VAR-LIST\ x \Rightarrow (x, -update-name\ x)$

**end**

## 14 Denotational semantics of Circus actions

**theory** *Denotational-Semantics*  
**imports** *Circus-Actions Var-list*  
**begin**

In this section, we introduce the definitions of Circus actions denotational semantics. We provide the proof of well-formedness of every action. We also provide proofs concerning the monotonicity of operators over actions.

### 14.1 Skip

**definition**  $Skip :: ('\vartheta :: ev-eq, '\sigma) action$  **where**  
 $Skip \equiv action-of$   
 $(R\ (true \vdash \lambda(A, A').\ tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A = more\ A'))$

**lemma** *Skip-is-action:*  
 $(R\ (true \vdash \lambda(A, A').\ tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A = more\ A')) \in \{p.\ is-CSP-process\ p\}$

**apply** (*simp*)  
**apply** (*rule rd-is-CSP*)  
**by** *auto*

**lemmas**  $Skip-is-CSP = Skip-is-action[simplified]$

**lemma** *relation-of-Skip:*  
 $relation-of\ Skip =$   
 $(R\ (true \vdash \lambda(A, A').\ tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A = more\ A'))$   
**by** (*simp add: Skip-def action-of-inverse Skip-is-CSP*)

**definition**  $CSP3 :: ((' \vartheta :: ev-eq, '\sigma) alphabet-rp) Healthiness-condition$   
**where**  $CSP3\ (P) \equiv relation-of\ Skip\ ;\ ;\ P$

**definition**  $CSP_4::('\vartheta::ev\text{-}eq, '\sigma) \text{ alphabet-}rp) \text{ Healthiness-condition}$   
**where**  $CSP_4 (P) \equiv P \ ; \ ; \ \text{relation-of Skip}$

**lemma**  $Skip\text{-is-}CSP_3: (\text{relation-of Skip}) \text{ is } CSP_3 \text{ healthy}$   
**apply** (*auto simp: relation-of-Skip rp-defs design-defs fun-eq-iff CSP3-def*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*rule-tac b=b in comp-intro*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*rule-tac b=b in comp-intro*)  
**apply** (*split cond-splits, simp-all*)  
**prefer** 3  
**apply** (*split cond-splits, simp-all*)  
**apply** (*auto simp add: prefix-def*)  
**done**

**lemma**  $Skip\text{-is-}CSP_4: (\text{relation-of Skip}) \text{ is } CSP_4 \text{ healthy}$   
**apply** (*auto simp: relation-of-Skip rp-defs design-defs fun-eq-iff CSP4-def*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*rule-tac b=b in comp-intro*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*rule-tac b=b in comp-intro*)  
**apply** (*split cond-splits, simp-all*)  
**prefer** 3  
**apply** (*split cond-splits, simp-all*)  
**apply** (*auto simp add: prefix-def*)  
**done**

**lemma**  $Skip\text{-comp-}absorb: (\text{relation-of Skip} \ ; \ ; \ \text{relation-of Skip}) = \text{relation-of Skip}$   
**apply** (*auto simp: relation-of-Skip fun-eq-iff rp-defs true-def design-defs*)  
**apply** (*clarsimp split: cond-splits*)  
**apply** (*case-tac ok aa, simp-all*)  
**apply** (*erule disjE*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*erule disjE*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*erule disjE*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*case-tac ok aa, simp-all*)  
**apply** (*clarsimp simp: prefix-def*)  
**apply** (*clarsimp split: cond-splits*)  
**apply** (*rule-tac b=a in comp-intro*)  
**apply** (*clarsimp split: cond-splits*)  
**apply** (*rule-tac b=a in comp-intro*)  
**apply** (*clarsimp split: cond-splits*)  
**done**

## 14.2 Stop

**definition**  $Stop :: ('\vartheta :: ev\text{-}eq, '\sigma)$  action

**where**  $Stop \equiv \text{action-of } (R (true \vdash \lambda(A, A'). tr A' = tr A \wedge wait A'))$

**lemma**  $Stop\text{-is-action}$ :

$(R (true \vdash \lambda(A, A'). tr A' = tr A \wedge wait A')) \in \{p. \text{is-CSP-process } p\}$

**apply** ( $\text{simp}$ )

**apply** ( $\text{rule rd-is-CSP}$ )

**by**  $\text{auto}$

**lemmas**  $Stop\text{-is-CSP} = Stop\text{-is-action}[\text{simplified}]$

**lemma**  $\text{relation-of-Stop}$ :

$\text{relation-of } Stop = (R (true \vdash \lambda(A, A'). tr A' = tr A \wedge wait A'))$

**by** ( $\text{simp add: Stop-def action-of-inverse Stop-is-CSP}$ )

**lemma**  $Stop\text{-is-CSP3}$ : ( $\text{relation-of } Stop$ ) is  $CSP3$  healthy

**apply** ( $\text{auto simp: relation-of-Stop relation-of-Skip rp-defs design-defs fun-eq-iff CSP3-def}$ )

**apply** ( $\text{rule-tac } b=a \text{ in comp-intro}$ )

**apply** ( $\text{split cond-splits, auto}$ )

**apply** ( $\text{split cond-splits}$ ) $+$

**apply** ( $\text{simp-all}$ )

**apply** ( $\text{case-tac ok aa, simp-all}$ )

**apply** ( $\text{case-tac } tr aa \leq tr ba, \text{simp-all}$ )

**apply** ( $\text{case-tac ok ba, simp-all}$ )

**apply** ( $\text{case-tac } tr ba \leq tr c, \text{simp-all}$ )

**apply** ( $\text{rule disjI1}$ )

**apply** ( $\text{simp add: prefix-def}$ )

**apply** ( $\text{erule exE}$ ) $+$

**apply** ( $\text{rule-tac } x=zs@zsa \text{ in exI, simp}$ )

**apply** ( $\text{rule disjI1}$ )

**apply** ( $\text{simp add: prefix-def}$ )

**apply** ( $\text{erule exE} \mid \text{erule conjE}$ ) $+$

**apply** ( $\text{rule-tac } x=zs@zsa \text{ in exI, simp}$ )

**apply** ( $\text{split cond-splits}$ ) $+$

**apply** ( $\text{simp-all add: true-def}$ )

**apply** ( $\text{erule disjE}$ )

**apply** ( $\text{simp add: prefix-def}$ )

**apply** ( $\text{erule exE} \mid \text{erule conjE}$ ) $+$

**apply** ( $\text{rule-tac } x=zs@zsa \text{ in exI, simp}$ )

**apply** ( $\text{auto simp add: prefix-def}$ )

**done**

**lemma**  $Stop\text{-is-CSP4}$ : ( $\text{relation-of } Stop$ ) is  $CSP4$  healthy

**apply** ( $\text{auto simp: relation-of-Stop relation-of-Skip rp-defs design-defs fun-eq-iff CSP4-def}$ )

```

apply (rule-tac b=b in comp-intro)
apply (split cond-splits, simp-all)+
apply (case-tac ok aa, simp-all)
apply (case-tac tr aa ≤ tr ba, simp-all)
apply (case-tac ok ba, simp-all)
apply (case-tac tr ba ≤ tr c, simp-all)
apply (rule disjI1)
apply (simp add: prefix-def)
apply (erule exE)+
apply (rule-tac x=zs@zsa in exI, simp)
apply (rule disjI1)
apply (simp add: prefix-def)
apply (erule exE | erule conjE)+
apply (rule-tac x=zs@zsa in exI, simp)
apply (split cond-splits)+
apply (simp-all add: true-def)
apply (erule disjE)
apply (simp add: prefix-def)
apply (erule exE | erule conjE)+
apply (rule-tac x=zs@zsa in exI, simp)
apply (auto simp add: prefix-def)
done

```

### 14.3 Chaos

**definition** *Chaos* :: (' $\vartheta$ ::ev-eq,' $\sigma$ ) action  
**where** *Chaos*  $\equiv$  action-of (R(false  $\vdash$  true))

**lemma** *Chaos-is-action*: (R(false  $\vdash$  true))  $\in$  {*p*. is-CSP-process *p*}  
**apply** (simp)  
**apply** (rule rd-is-CSP)  
**by** auto

**lemmas** *Chaos-is-CSP* = *Chaos-is-action*[simplified]

**lemma** *relation-of-Chaos*: relation-of *Chaos* = (R(false  $\vdash$  true))  
**by** (simp add: Chaos-def action-of-inverse *Chaos-is-CSP*)

### 14.4 State update actions

**definition** *Pre* :: ' $\sigma$  relation  $\Rightarrow$  ' $\sigma$  predicate  
**where** *Pre* *sc*  $\equiv$   $\lambda A. \exists A'. sc (A, A')$

**definition** *state-update-before* :: ' $\sigma$  relation  $\Rightarrow$  (' $\vartheta$ ::ev-eq,' $\sigma$ ) action  $\Rightarrow$  (' $\vartheta$ ,' $\sigma$ ) action  
**where** *state-update-before* *sc* *Ac* = action-of(R (( $\lambda(A, A'). (Pre\ sc)\ (more\ A)) \vdash$   
 $(\lambda(A, A'). sc\ (more\ A, more\ A') \ \&\ \neg wait\ A' \ \&\ tr\ A = tr\ A')$ )  
;; relation-of *Ac*)

**lemma** *state-update-before-is-action*:  
 $(R ((\lambda(A, A'). (Pre\ sc)\ (more\ A))) \vdash$   
 $(\lambda(A, A').sc\ (more\ A, more\ A') \ \&\ \neg wait\ A' \ \&\ tr\ A = tr$   
 $A'))\ ;\ ;\ relation-of\ Ac) \in \{p.\ is-CSP-process\ p\}$   
**apply** (*simp*)  
**apply** (*rule seq-CSP*)  
**apply** (*rule rd-is-CSP1*)  
**apply** (*auto simp: R-idem2 Healthy-def relation-of-CSP*)  
**done**

**lemmas** *state-update-before-is-CSP = state-update-before-is-action[simplified]*

**lemma** *relation-of-state-update-before*:  
 $relation-of\ (state-update-before\ sc\ Ac) = (R ((\lambda(A, A'). (Pre\ sc)\ (more\ A))) \vdash$   
 $(\lambda(A, A').\ sc\ (more\ A, more\ A') \ \&\ \neg wait\ A' \ \&\ tr\ A = tr$   
 $A'))\ ;\ ;\ relation-of\ Ac)$   
**by** (*simp add: state-update-before-def action-of-inverse state-update-before-is-CSP*)

**lemma** *mono-state-update-before: mono (state-update-before sc)*  
**by** (*auto simp: mono-def less-eq-action ref-def relation-of-state-update-before de-*  
*sign-defs rp-defs fun-eq-iff*  
*split: cond-splits dest: relation-of-spec-f-f[simplified]*  
*relation-of-spec-t-f[simplified]*)

**lemma** *state-update-before-is-CSP3: relation-of (state-update-before sc Ac) is CSP3 healthy*

**apply** (*auto simp: relation-of-state-update-before relation-of-Skip rp-defs design-defs*  
*fun-eq-iff CSP3-def*)  
**apply** (*rule-tac b=aa in comp-intro*)  
**apply** (*split cond-splits, auto*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*rule-tac b=bb in comp-intro*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*case-tac ok aa, simp-all*)  
**apply** (*case-tac tr aa ≤ tr ab, simp-all*)  
**apply** (*case-tac ok ab, simp-all*)  
**apply** (*case-tac tr ab ≤ tr bb, simp-all*)  
**apply** (*rule disjI1*)  
**apply** (*simp add: prefix-def*)  
**apply** (*erule exE*)  
**apply** (*rule-tac x=zs@zsa in exI, simp*)  
**apply** (*rule-tac b=bb in comp-intro*)  
**apply** (*split cond-splits, simp-all*)  
**apply** (*rule disjI1*)  
**apply** (*simp add: prefix-def*)  
**apply** (*erule exE | erule conjE*)  
**apply** (*rule-tac x=zs@zsa in exI, simp*)  
**apply** (*rule-tac b=bb in comp-intro*)  
**apply** (*split cond-splits, simp-all*)

```

apply (simp-all add: true-def)
apply (erule disjE)
apply (simp add: prefix-def)
apply (erule exE | erule conjE)+
apply (rule-tac x=zs@zsa in exI, simp)
apply (auto simp add: prefix-def)
done

```

```

lemma state-update-before-is-CSP4:
  assumes A : relation-of Ac is CSP4 healthy
  shows relation-of (state-update-before sc Ac) is CSP4 healthy
apply (auto simp: relation-of-state-update-before relation-of-Skip rp-defs design-defs)
apply (fun-eq-iff CSP4-def)
apply (rule-tac b=c in comp-intro)
apply (rule-tac b=ba in comp-intro, simp-all)
apply (split cond-splits, simp-all)
apply (rule-tac b=bb in comp-intro, simp-all)
apply (subst A[simplified design-defs rp-defs CSP4-def relation-of-Skip])
apply (auto simp: rp-defs)
done

```

**definition** *state-update-after* :: ' $\sigma$  relation  $\Rightarrow$  (' $\vartheta$ ::ev-eq,' $\sigma$ ) action  $\Rightarrow$  (' $\vartheta$ ,' $\sigma$ ) action  
**where** *state-update-after sc Ac = action-of(relation-of Ac ; ; R (true  $\vdash$  ( $\lambda(A, A')$ . sc (more A, more A') &  $\neg$ wait A' & tr A = tr A')))*

```

lemma state-update-after-is-action:
  (relation-of Ac ; ; R (true  $\vdash$  ( $\lambda(A, A')$ . sc (more A, more A') &  $\neg$ wait A' & tr A = tr A')))  $\in$  {p. is-CSP-process p}
apply (simp)
apply (rule seq-CSP)
apply (auto simp: relation-of-CSP[simplified is-CSP-process-def])
apply (rule rd-is-CSP, auto)
done

```

**lemmas** *state-update-after-is-CSP = state-update-after-is-action[simplified]*

```

lemma relation-of-state-update-after:
  relation-of (state-update-after sc Ac) = (relation-of Ac ; ; R (true  $\vdash$  ( $\lambda(A, A')$ . sc (more A, more A') &  $\neg$ wait A' & tr A = tr A')))
by (simp add: state-update-after-def action-of-inverse state-update-after-is-CSP)

```

```

lemma mono-state-update-after: mono (state-update-after sc)
by (auto simp: mono-def less-eq-action ref-def relation-of-state-update-after design-defs rp-defs fun-eq-iff)
  split: cond-splits dest: relation-of-spec-f-f[simplified]
  relation-of-spec-t-f[simplified]

```



```

lemma state-update-after-is-CSP3:
  assumes A : relation-of Ac is CSP3 healthy
  shows relation-of (state-update-after sc Ac) is CSP3 healthy
apply (auto simp: relation-of-state-update-after relation-of-Skip rp-defs design-defs
fun-eq-iff CSP3-def)
apply (rule-tac b=aa in comp-intro)
apply (split cond-splits, auto)
apply (rule-tac b=bb in comp-intro, simp-all)
apply (subst A[simplified design-defs rp-defs CSP3-def relation-of-Skip])
apply (auto simp: rp-defs)
done

```

```

lemma state-update-after-is-CSP4: relation-of (state-update-after sc Ac) is CSP4
healthy
apply (auto simp: relation-of-state-update-after relation-of-Skip rp-defs design-defs
fun-eq-iff CSP4-def)
apply (rule-tac b=c in comp-intro)
apply (rule-tac b=ba in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (case-tac ok bb, simp-all)
apply (case-tac tr bb ≤ tr c, simp-all)
apply (case-tac ok ca, simp-all)
apply (case-tac tr ca ≤ tr c, simp-all)
apply (auto simp add: prefix-def comp-def true-def split: cond-splits)
done

```

## 14.5 Sequential composition

### definition

*Seq::('∅::ev-eq,'σ) action ⇒ ('∅,'σ) action ⇒ ('∅,'σ) action (infixl <' ; ' > 24)*  
**where** *P ' ; ' Q ≡ action-of (relation-of P ; ; relation-of Q)*

```

lemma Seq-is-action: (relation-of P ; ; relation-of Q) ∈ {p. is-CSP-process p}
apply (simp)
apply (rule seq-CSP[OF relation-of-CSP[THEN CSP-is-CSP1] relation-of-CSP[THEN
CSP-is-R] relation-of-CSP])
done

```

**lemmas** *Seq-is-CSP = Seq-is-action[simplified]*

**lemma** *relation-of-Seq: relation-of (P ' ; ' Q) = (relation-of P ; ; relation-of Q)*  
**by** (*simp add: Seq-def action-of-inverse Seq-is-CSP*)

**lemma** *mono-Seq: mono (( ; ' ) P)*  
**by** (*auto simp: mono-def less-eq-action ref-def relation-of-Seq*)

**lemma** *CSP3-imp-left-Skip*:  
**assumes** *A: relation-of P is CSP3 healthy*  
**shows**  $(Skip \text{ ; } P) = P$   
**apply** (*subst relation-of-inject[symmetric]*)  
**apply** (*simp add: relation-of-Seq A[simplified design-defs CSP3-def, symmetric]*)  
**done**

**lemma** *CSP4-imp-right-Skip*:  
**assumes** *A: relation-of P is CSP4 healthy*  
**shows**  $(P \text{ ; } Skip) = P$   
**apply** (*subst relation-of-inject[symmetric]*)  
**apply** (*simp add: relation-of-Seq A[simplified design-defs CSP4-def, symmetric]*)  
**done**

**lemma** *Seq-assoc*:  $(A \text{ ; } (B \text{ ; } C)) = ((A \text{ ; } B) \text{ ; } C)$   
**by** (*auto simp: relation-of-inject[symmetric] fun-eq-iff relation-of-Seq rp-defs design-defs*)

**lemma** *Skip-absorb*:  $(Skip \text{ ; } Skip) = Skip$   
**by** (*auto simp: Skip-comp-absorb relation-of-inject[symmetric] relation-of-Seq*)

## 14.6 Internal choice

### definition

*Ndet*:: $(\vartheta::ev\text{-}eq, \sigma) \text{ action} \Rightarrow (\vartheta, \sigma) \text{ action} \Rightarrow (\vartheta, \sigma) \text{ action}$  (**infixl**  $\langle \sqcap \rangle$  18)  
**where**  $P \sqcap Q \equiv \text{action-of } ((\text{relation-of } P) \vee (\text{relation-of } Q))$

**lemma** *Ndet-is-action*:  $((\text{relation-of } P) \vee (\text{relation-of } Q)) \in \{p. \text{is-CSP-process } p\}$   
**apply** (*simp*)  
**apply** (*rule disj-CSP*)  
**apply** (*simp-all add: relation-of-CSP*)  
**done**

**lemmas** *Ndet-is-CSP = Ndet-is-action[simplified]*

**lemma** *relation-of-Ndet*:  $\text{relation-of } (P \sqcap Q) = ((\text{relation-of } P) \vee (\text{relation-of } Q))$   
**by** (*simp add: Ndet-def action-of-inverse Ndet-is-CSP*)

**lemma** *mono-Ndet*:  $\text{mono } ((\sqcap) P)$   
**by** (*auto simp: mono-def less-eq-action ref-def relation-of-Ndet*)

## 14.7 External choice

### definition

*Det*:: $(\vartheta::ev\text{-}eq, \sigma) \text{ action} \Rightarrow (\vartheta, \sigma) \text{ action} \Rightarrow (\vartheta, \sigma) \text{ action}$  (**infixl**  $\langle \square \rangle$  18)  
**where**  $P \square Q \equiv \text{action-of } (R((\neg((\text{relation-of } P)^f_f) \wedge \neg((\text{relation-of } Q)^f_f)) \vdash$   
 $((\text{relation-of } P)^t_f \wedge ((\text{relation-of } Q)^t_f))$   
 $\triangleleft \lambda(A, A'). \text{tr } A = \text{tr } A' \wedge \text{wait } A' \triangleright$   
 $((\text{relation-of } P)^t_f \vee ((\text{relation-of } Q)^t_f))))$

**lemma** *Det-is-action*:

$$(R(\neg((\text{relation-of } P)^f_f) \wedge \neg((\text{relation-of } Q)^f_f)) \vdash$$

$$(((\text{relation-of } P)^t_f \wedge ((\text{relation-of } Q)^t_f))$$

$$\triangleleft \lambda(A, A'). \text{tr } A = \text{tr } A' \wedge \text{wait } A' \triangleright$$

$$((\text{relation-of } P)^t_f \vee ((\text{relation-of } Q)^t_f)))) \in \{p. \text{is-CSP-process } p\}$$

**apply** (*simp add: spec-def*)

**apply** (*rule rd-is-CSP*)

**apply** (*auto*)

**done**

**lemmas** *Det-is-CSP = Det-is-action[simplified]*

**lemma** *relation-of-Det*:

$$\text{relation-of } (P \square Q) = (R(\neg((\text{relation-of } P)^f_f) \wedge \neg((\text{relation-of } Q)^f_f)) \vdash$$

$$(((\text{relation-of } P)^t_f \wedge ((\text{relation-of } Q)^t_f))$$

$$\triangleleft \lambda(A, A'). \text{tr } A = \text{tr } A' \wedge \text{wait } A' \triangleright$$

$$((\text{relation-of } P)^t_f \vee ((\text{relation-of } Q)^t_f))))$$

**apply** (*unfold Det-def*)

**apply** (*rule action-of-inverse*)

**apply** (*rule Det-is-action*)

**done**

**lemma** *mono-Det: mono* ( $(\square) P$ )

**by** (*auto simp: mono-def less-eq-action ref-def relation-of-Det design-defs rp-defs fun-eq-iff*)

*split: cond-splits dest: relation-of-spec-f-f[simplified]*  
*relation-of-spec-t-f[simplified]*)

## 14.8 Reactive design assignment

**definition**

*rd-assign*  $s = \text{action-of } (R (\text{true} \vdash \lambda(A, A'). \text{ref } A' = \text{ref } A \wedge \text{tr } A' = \text{tr } A \wedge \neg \text{wait } A' \wedge \text{more } A' = s))$

**lemma** *rd-assign-is-action*:

$$(R (\text{true} \vdash \lambda(A, A'). \text{ref } A' = \text{ref } A \wedge \text{tr } A' = \text{tr } A \wedge \neg \text{wait } A' \wedge \text{more } A' = s))$$

$$\in \{p. \text{is-CSP-process } p\}$$

**apply** (*auto simp:*)

**apply** (*rule rd-is-CSP*)

**by** *auto*

**lemmas** *rd-assign-is-CSP = rd-assign-is-action[simplified]*

**lemma** *relation-of-rd-assign*:

$$\text{relation-of } (\text{rd-assign } s) =$$

$$(R (\text{true} \vdash \lambda(A, A'). \text{ref } A' = \text{ref } A \wedge \text{tr } A' = \text{tr } A \wedge \neg \text{wait } A' \wedge$$

$$\text{more } A' = s))$$

by (*simp add: rd-assign-def action-of-inverse rd-assign-is-CSP*)

## 14.9 Local state external choice

**definition**

$Loc :: 'σ ⇒ ('∅ :: ev-eq, 'σ) action ⇒ 'σ ⇒ ('∅, 'σ) action ⇒ ('∅, 'σ) action$   
 $(\langle '()loc - \bullet - \rangle \boxplus '()loc - \bullet - \rangle)$

**where**  $(loc\ s1 \bullet P) \boxplus (loc\ s2 \bullet Q) \equiv$   
 $((rd-assign\ s1) \dot{;} P) \square ((rd-assign\ s2) \dot{;} Q)$

## 14.10 Schema expression

**definition** *Schema* ::  $'σ$  relation  $⇒ ('∅ :: ev-eq, 'σ) action$  **where**

$Schema\ sc \equiv action-of(R\ ((\lambda(A, A'). (Pre\ sc)\ (more\ A)) \vdash$   
 $(\lambda(A, A'). sc\ (more\ A, more\ A') \wedge \neg wait\ A' \wedge tr\ A = tr\ A'))$ )

**lemma** *Schema-is-action:*

$(R\ ((\lambda(A, A'). (Pre\ sc)\ (more\ A)) \vdash$   
 $(\lambda(A, A'). sc\ (more\ A, more\ A') \wedge \neg wait\ A' \wedge tr\ A = tr\ A')) \in \{p.$   
*is-CSP-process*  $p\}$

**apply** (*simp*)

**apply** (*rule rd-is-CSP*)

**apply** (*auto*)

**done**

**lemmas** *Schema-is-CSP = Schema-is-action[simplified]*

**lemma** *relation-of-Schema:*

$relation-of\ (Schema\ sc) = (R\ ((\lambda(A, A'). (Pre\ sc)\ (more\ A)) \vdash$   
 $(\lambda(A, A'). sc\ (more\ A, more\ A') \wedge \neg wait\ A' \wedge tr\ A = tr\ A'))$ )

by (*simp add: Schema-def action-of-inverse Schema-is-CSP*)

**lemma** *Schema-is-state-update-before:*  $Schema\ u = state-update-before\ u\ Skip$

**apply** (*subst relation-of-inject[symmetric]*)

**apply** (*auto simp: relation-of-Schema relation-of-state-update-before relation-of-Skip*  
*rp-defs fun-eq-iff*

*design-defs*)

**apply** (*split cond-splits, simp-all*)

**apply** (*rule comp-intro*)

**apply** (*split cond-splits, simp-all*)+

**apply** (*rule comp-intro*)

**apply** (*split cond-splits, simp-all*)+

**prefer** 3

**apply** (*split cond-splits, simp-all*)+

**apply** (*auto simp: prefix-def*)

**done**

## 14.11 Parallel composition

**type-synonym**  $'σ\ local-state = ('σ \times ('σ \Rightarrow 'σ \Rightarrow 'σ))$

**fun** MergeSt :: 'σ local-state ⇒ 'σ local-state ⇒ ('∅, 'σ) relation-rp **where**  
MergeSt (s1, s1') (s2, s2') = ((λ(S, S'). (s1' s1) (more S) = more S'); ;  
(λ(S::('∅, 'σ) alphabet-rp, S'). (s2' s2) (more S) = more S'))

**definition** listCons :: '∅ ⇒ '∅ list list ⇒ '∅ list list (∅-##-) **where**  
a ## l = ((map (Cons a)) l)

**fun** ParMergel :: '∅::ev-eq list ⇒ '∅ list ⇒ '∅ set ⇒ '∅ list list **where**  
ParMergel [] [] cs = []  
| ParMergel [] (b#tr2) cs = (if (filter-chan-set b cs) then []  
else (b ## (ParMergel [] tr2 cs)))  
| ParMergel (a#tr1) [] cs = (if (filter-chan-set a cs) then []  
else (a ## (ParMergel tr1 [] cs)))  
| ParMergel (a#tr1) (b#tr2) cs =  
(if (filter-chan-set a cs)  
then (if (ev-eq a b)  
then (a ## (ParMergel tr1 tr2 cs))  
else (if (filter-chan-set b cs)  
then []  
else (b ## (ParMergel (a#tr1) tr2 cs))))  
else (if (filter-chan-set b cs)  
then (a ## (ParMergel tr1 (b#tr2) cs))  
else (a ## (ParMergel tr1 (b#tr2) cs))  
@ (b ## (ParMergel (a#tr1) tr2 cs))))

**definition** ParMerge::'∅::ev-eq list ⇒ '∅ list ⇒ '∅ set ⇒ '∅ list set **where**  
ParMerge tr1 tr2 cs = set (ParMergel tr1 tr2 cs)

**lemma** set-Cons1: tr1 ∈ set l ⇒ a # tr1 ∈ (#) a ' set l  
**by** (auto)

**lemma** tr-in-set-eq: (tr1 ∈ (#) b ' set l) = (tr1 ≠ [] ∧ hd tr1 = b ∧ tl tr1 ∈ set l)  
**by** (induct l) auto

**definition** M-par::('∅::ev-eq), 'σ) alpha-rp-scheme ⇒ ('σ ⇒ 'σ ⇒ 'σ)  
⇒ ('∅, 'σ) alpha-rp-scheme ⇒ ('σ ⇒ 'σ ⇒ 'σ)  
⇒ ('∅ set) ⇒ ('∅, 'σ) relation-rp **where**

M-par s1 x1 s2 x2 cs =  
((λ(S, S'). ((diff-tr S' S) ∈ ParMerge (diff-tr s1 S) (diff-tr s2 S) cs &  
ev-eq (tr-filter (tr s1) cs) (tr-filter (tr s2) cs))) ∧  
((λ(S, S'). (wait s1 ∨ wait s2) ∧  
ref S' ⊆ (((ref s1) ∪ (ref s2)) ∩ cs) ∪ (((ref s1) ∩ (ref s2)) - cs)))  
◁ wait o snd ▷  
((λ(S, S'). (¬wait s1 ∨ ¬wait s2)) ∧ MergeSt ((more s1), x1) ((more s2), x2))))

**definition**  $Par::('v::ev\text{-}eq, 's) \text{ action} \Rightarrow$   
 $( 's \Rightarrow 's \Rightarrow 's ) \Rightarrow 'v \text{ set} \Rightarrow ( 's \Rightarrow 's \Rightarrow 's ) \Rightarrow$   
 $( 'v, 's ) \text{ action} \Rightarrow ( 'v, 's ) \text{ action} (\text{!-} \llbracket - \mid - \mid - \rrbracket \text{-})$  **where**  
 $A1 \llbracket ns1 \mid cs \mid ns2 \rrbracket A2 \equiv (\text{action-of } (R ((\lambda (S, S').$   
 $\neg (\exists tr1 tr2. ((\text{relation-of } A1)^f_f ; ; (\lambda (S, S'). tr1 = (tr S))) (S, S')$   
 $\wedge (\text{spec False } (\text{wait } S) (\text{relation-of } A2) ; ; (\lambda (S, -). tr2 = (tr S))) (S, S')$   
 $\wedge ((\text{tr-filter } tr1 cs) = (\text{tr-filter } tr2 cs))) \wedge$   
 $\neg (\exists tr1 tr2. (\text{spec False } (\text{wait } S) (\text{relation-of } A1) ; ; (\lambda (S, -). tr1 = tr S)) (S, S')$   
 $\wedge ((\text{relation-of } A2)^f_f ; ; (\lambda (S, S'). tr2 = (tr S))) (S, S')$   
 $\wedge ((\text{tr-filter } tr1 cs) = (\text{tr-filter } tr2 cs)))) \vdash$   
 $(\lambda (S, S'). (\exists s1 s2. ((\lambda (A, A'). (\text{relation-of } A1)^t_f (A, s1)$   
 $\wedge ((\text{relation-of } A2)^t_f (A, s2)))) ; ; M\text{-par } s1 ns1 s2 ns2 cs) (S, S'))))$

**lemma**  $Par\text{-is-action}: (R ((\lambda (S, S').$   
 $\neg (\exists tr1 tr2. ((\text{relation-of } A1)^f_f ; ; (\lambda (S, S'). tr1 = (tr S))) (S, S')$   
 $\wedge (\text{spec False } (\text{wait } S) (\text{relation-of } A2) ; ; (\lambda (S, S'). tr2 = (tr S))) (S, S')$   
 $\wedge ((\text{tr-filter } tr1 cs) = (\text{tr-filter } tr2 cs))) \wedge$   
 $\neg (\exists tr1 tr2. (\text{spec False } (\text{wait } S) (\text{relation-of } A1) ; ; (\lambda (S, -). tr1 = tr S)) (S, S')$   
 $\wedge ((\text{relation-of } A2)^f_f ; ; (\lambda (S, S'). tr2 = (tr S))) (S, S')$   
 $\wedge ((\text{tr-filter } tr1 cs) = (\text{tr-filter } tr2 cs)))) \vdash$   
 $(\lambda (S, S'). (\exists s1 s2. ((\lambda (A, A'). (\text{relation-of } A1)^t_f (A, s1)$   
 $\wedge ((\text{relation-of } A2)^t_f (A, s2)))) ; ; M\text{-par } s1 ns1 s2 ns2 cs) (S, S')))) \in \{p.$   
 $\text{is-CSP-process } p\}$   
**apply** (*simp*)  
**apply** (*rule rd-is-CSP*)  
**apply** (*blast*)  
**done**

**lemmas**  $Par\text{-is-CSP} = Par\text{-is-action}[simplified]$

**lemma**  $\text{relation-of-Par}:$   
 $\text{relation-of } (A1 \llbracket ns1 \mid cs \mid ns2 \rrbracket A2) = (R ((\lambda (S, S').$   
 $\neg (\exists tr1 tr2. ((\text{relation-of } A1)^f_f ; ; (\lambda (S, S'). tr1 = (tr S))) (S, S')$   
 $\wedge (\text{spec False } (\text{wait } S) (\text{relation-of } A2) ; ; (\lambda (S, S'). tr2 = (tr S))) (S, S')$   
 $\wedge ((\text{tr-filter } tr1 cs) = (\text{tr-filter } tr2 cs))) \wedge$   
 $\neg (\exists tr1 tr2. (\text{spec False } (\text{wait } S) (\text{relation-of } A1) ; ; (\lambda (S, -). tr1 = tr S)) (S, S')$   
 $\wedge ((\text{relation-of } A2)^f_f ; ; (\lambda (S, S'). tr2 = (tr S))) (S, S')$   
 $\wedge ((\text{tr-filter } tr1 cs) = (\text{tr-filter } tr2 cs)))) \vdash$   
 $(\lambda (S, S'). (\exists s1 s2. ((\lambda (A, A'). (\text{relation-of } A1)^t_f (A, s1)$   
 $\wedge ((\text{relation-of } A2)^t_f (A, s2)))) ; ; M\text{-par } s1 ns1 s2 ns2 cs) (S, S'))))$   
**apply** (*unfold Par-def*)  
**apply** (*rule action-of-inverse*)  
**apply** (*rule Par-is-action*)  
**done**

**lemma**  $\text{mono-Par}: \text{mono } (\lambda Q. P \llbracket ns1 \mid cs \mid ns2 \rrbracket Q)$

**apply** (*auto simp: mono-def less-eq-action ref-def relation-of-Par design-defs fun-eq-iff rp-defs*)

*split: cond-splits*)

**apply** (*auto simp: rp-defs dest: relation-of-spec-f-f[simplified] relation-of-spec-t-f[simplified]*)  
**apply** (*erule-tac x=tr ba in allE, auto*)  
**apply** (*erule notE*)  
**apply** (*auto dest: relation-of-spec-f-f relation-of-spec-t-f*)  
**done**

## 14.12 Local parallel block

**definition**

$ParLoc::'\sigma \Rightarrow (' \sigma \Rightarrow ' \sigma \Rightarrow ' \sigma) \Rightarrow (' \vartheta::ev-eq, ' \sigma) \text{ action} \Rightarrow ' \vartheta \text{ set} \Rightarrow ' \sigma \Rightarrow (' \sigma \Rightarrow ' \sigma \Rightarrow ' \sigma) \Rightarrow (' \vartheta, ' \sigma) \text{ action} \Rightarrow (' \vartheta, ' \sigma) \text{ action}$   
 $(\langle ' (())par - | - \bullet - \rangle \llbracket - \rrbracket ' (())par - | - \bullet - \rangle)$

**where**

$(par\ s1\ |\ ns1\ \bullet\ P)\ \llbracket\ cs\ \rrbracket\ (par\ s2\ |\ ns2\ \bullet\ Q) \equiv ((rd-assign\ s1)\ ;\ ;\ P)\ \llbracket\ ns1\ |\ cs\ |\ ns2\ \rrbracket\ ((rd-assign\ s2)\ ;\ ;\ Q)$

## 14.13 Assignment

**definition**  $ASSIGN::('v, ' \sigma) \text{ var-list} \Rightarrow (' \sigma \Rightarrow 'v) \Rightarrow (' \vartheta::ev-eq, ' \sigma) \text{ action}$  **where**  
 $ASSIGN\ x\ e \equiv \text{action-of}\ (R\ (\text{true} \vdash (\lambda\ (S, S').\ \text{tr}\ S' = \text{tr}\ S \wedge \neg \text{wait}\ S' \wedge$   
 $(\text{more}\ S' = (\text{update}\ x\ (\lambda\ -. (e\ (\text{more}\ S))))\ (\text{more}\ S))))\ (\text{more}\ S))))$

**syntax**  $-assign::id \Rightarrow (' \sigma \Rightarrow 'v) \Rightarrow (' \vartheta, ' \sigma) \text{ action}$  ( $\langle - \ := \ - \ \rangle$ )

**translations**  $y\ :=\ ' \ vv \Rightarrow \text{CONST}\ ASSIGN\ (\text{VAR}\ y)\ vv$

**lemma** *Assign-is-action:*

$(R\ (\text{true} \vdash (\lambda\ (S, S').\ \text{tr}\ S' = \text{tr}\ S \wedge \neg \text{wait}\ S' \wedge$   
 $(\text{more}\ S' = (\text{update}\ x\ (\lambda\ -. (e\ (\text{more}\ S))))\ (\text{more}\ S))))\ (\text{more}\ S)))) \in \{p.$   
 $\text{is-CSP-process}\ p\}$

**apply** (*simp*)

**apply** (*rule rd-is-CSP*)

**apply** (*blast*)

**done**

**lemmas**  $Assign-is-CSP = Assign-is-action[simplified]$

**lemma** *relation-of-Assign:*

$\text{relation-of}\ (ASSIGN\ x\ e) = (R\ (\text{true} \vdash (\lambda\ (S, S').\ \text{tr}\ S' = \text{tr}\ S \wedge \neg \text{wait}\ S' \wedge$   
 $(\text{more}\ S' = (\text{update}\ x\ (\lambda\ -. (e\ (\text{more}\ S))))\ (\text{more}\ S))))\ (\text{more}\ S))))$

**by** (*simp add: ASSIGN-def action-of-inverse Assign-is-CSP*)

**lemma** *Assign-is-state-update-before:*  $ASSIGN\ x\ e = \text{state-update-before}\ (\lambda\ (s, s')$   
 $.\ s' = (\text{update}\ x\ (\lambda\ -. (e\ s)))\ s)\ \text{Skip}$

**apply** (*subst relation-of-inject[symmetric]*)

**apply** (*auto simp: relation-of-Assign relation-of-state-update-before relation-of-Skip*  
 $rp-defs\ fun-eq-iff$

*Pre-def update-def design-defs*)

**apply** (*split cond-splits, simp-all*) $+$

**apply** (*rule-tac b=b in comp-intro*)

**apply** (*split cond-splits, simp-all*)+  
**apply** (*rule-tac b=b in comp-intro*)  
**apply** (*split cond-splits, simp-all*)+  
**defer**  
**apply** (*split cond-splits, simp-all*)+  
**prefer** 3  
**apply** (*split cond-splits, simp-all*)+  
**apply** (*auto simp add: prefix-def*)  
**done**

#### 14.14 Variable scope

**definition**  $Var::('v, 'σ) var-list \Rightarrow ('v, 'σ) action \Rightarrow ('v::ev-eq, 'σ) action$  **where**  
 $Var\ v\ A \equiv action-of(\$   
 $(R(true \vdash (\lambda (A, A'). \exists a. tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A' = (increase\ v\ a\ (more\ A)))));$   
 $(relation-of\ A; ;$   
 $(R(true \vdash (\lambda (A, A'). tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A' = (decrease\ v\ (more\ A))))))$

**syntax**  $-var::idt \Rightarrow ('v, 'σ) action \Rightarrow ('v, 'σ) action$  (*var - • -> [1000] 999*)  
**translations**  $var\ y\ \bullet\ Act \Rightarrow CONST\ Var\ (VAR-LIST\ y)\ Act$

**lemma** *Var-is-action:*

$((R(true \vdash (\lambda (A, A'). \exists a. tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A' = (increase\ v\ a\ (more\ A)))));$   
 $(relation-of\ A; ;$   
 $(R(true \vdash (\lambda (A, A'). tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A' = (decrease\ v\ (more\ A)))))) \in \{p. is-CSP-process\ p\}$   
**apply** (*simp*)  
**apply** (*rule seq-CSP*)  
**prefer** 3  
**apply** (*rule seq-CSP*)  
**apply** (*auto simp: relation-of-CSP1 relation-of-R*)  
**apply** (*rule rd-is-CSP*)  
**apply** (*auto simp: csp-defs rp-defs design-defs fun-eq-iff prefix-def increase-def decrease-def*  
 $split: cond-splits)$

**done**

**lemmas**  $Var-is-CSP = Var-is-action[simplified]$

**lemma** *relation-of-Var:*

$relation-of\ (Var\ v\ A) =$   
 $((R(true \vdash (\lambda (A, A'). \exists a. tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A' = (increase\ v\ a\ (more\ A)))));$   
 $(relation-of\ A; ;$   
 $(R(true \vdash (\lambda (A, A'). tr\ A' = tr\ A \wedge \neg wait\ A' \wedge more\ A' = (decrease\ v\ (more\ A))))))$



**apply** (*simp only: Var-def*)  
**apply** (*rule action-of-inverse*)  
**apply** (*rule Var-is-action*)  
**done**

**lemma** *mono-Var* : *mono* (*Var x*)  
**by** (*auto simp: mono-def less-eq-action ref-def relation-of-Var*)

**definition** *Let::('v, 'σ) var-list ⇒ ('ϑ, 'σ) action ⇒ ('ϑ::ev-eq, 'σ) action where*  
*Let v A ≡ action-of((relation-of A; ;*  
*(R(true ⊢ (λ (A, A'). tr A' = tr A ∧ ¬wait A' ∧ more A' = (decrease v (more*  
*A))))))*

**syntax** *-let::idt ⇒ ('ϑ, 'σ) action ⇒ ('ϑ, 'σ) action (⟨let - • → [1000] 999)*  
**translations** *let y • Act => CONST Let (VAR-LIST y) Act*

**lemma** *Let-is-action:*  
*(relation-of A; ;*  
*(R(true ⊢ (λ (A, A'). tr A' = tr A ∧ ¬wait A' ∧ more A' = (decrease v (more*  
*A)))))) ∈ {p. is-CSP-process p}  
**apply** (*simp*)  
**apply** (*rule seq-CSP*)  
**apply** (*auto simp: relation-of-CSP1 relation-of-R*)  
**apply** (*rule rd-is-CSP*)  
**apply** (*auto*)  
**done***

**lemmas** *Let-is-CSP = Let-is-action[simplified]*

**lemma** *relation-of-Let:*  
*relation-of (Let v A) =*  
*(relation-of A; ;*  
*(R(true ⊢ (λ (A, A'). tr A' = tr A ∧ ¬wait A' ∧ more A' = (decrease v (more*  
*A))))))*  
**by** (*simp add: Let-def action-of-inverse Let-is-CSP*)

**lemma** *mono-Let* : *mono* (*Let x*)  
**by** (*auto simp: mono-def less-eq-action ref-def relation-of-Let*)

**lemma** *Var-is-state-update-before:* *Var v A = state-update-before (λ (s, s'). ∃ a.*  
*s' = increase v a s) (Let v A)*  
**apply** (*subst relation-of-inject[symmetric]*)  
**apply** (*auto simp: relation-of-Var relation-of-Let relation-of-state-update-before re-*  
*lation-of-Skip fun-eq-iff*)  
**apply** (*auto simp: rp-defs fun-eq-iff Pre-def design-defs*)

```

apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+ defer
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+ defer
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (case-tac  $\exists A' a. A' = \text{increase } v a$  (alpha-rp.more aa), simp-all add: true-def)
apply (erule-tac  $x = \text{increase } v a$  (alpha-rp.more aa) in allE)
apply (erule-tac  $x = a$  in allE, simp)
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
apply (rule-tac b=ab in comp-intro)
apply (split cond-splits, simp-all)+
apply (case-tac  $\exists A' a. A' = \text{increase } v a$  (alpha-rp.more aa), simp-all add: true-def)
apply (erule-tac  $x = \text{increase } v a$  (alpha-rp.more aa) in allE)
apply (erule-tac  $x = a$  in allE, simp)
apply (rule-tac b=bb in comp-intro, simp-all)
apply (split cond-splits, simp-all)+
done

```

**lemma** *Let-is-state-update-after*: *Let*  $v A = \text{state-update-after } (\lambda (s, s'). s' = \text{decrease } v s) A$

```

apply (subst relation-of-inject[symmetric])
apply (auto simp: relation-of-Var relation-of-Let relation-of-state-update-after relation-of-Skip fun-eq-iff)
apply (auto simp: rp-defs fun-eq-iff Pre-def design-defs)
apply (auto split: cond-splits)

```

done

## 14.15 Guarded action

**definition**  $\text{Guard}::'\sigma \text{ predicate} \Rightarrow ('v::\text{ev-eq}, '\sigma) \text{ action} \Rightarrow ('v, '\sigma) \text{ action}$  ( $\langle - \text{ '&' } - \rangle$ )

**where**  $g \text{ '&' } P \equiv \text{action-of}(R ((g \text{ o more o fst}) \longrightarrow \neg ((\text{relation-of } P)^f_f)) \vdash$   
 $((g \text{ o more o fst}) \wedge ((\text{relation-of } P)^t_f)) \vee$   
 $((\neg(g \text{ o more o fst})) \wedge (\lambda (A, A'). \text{tr } A' = \text{tr } A \wedge \text{wait } A'))))$

**lemma** *Guard-is-action:*

$(R ((g \text{ o more o fst}) \longrightarrow \neg ((\text{relation-of } P)^f_f)) \vdash$   
 $((g \text{ o more o fst}) \wedge ((\text{relation-of } P)^t_f)) \vee$   
 $((\neg(g \text{ o more o fst})) \wedge (\lambda (A, A'). \text{tr } A' = \text{tr } A \wedge \text{wait } A')))) \in \{p.$   
*is-CSP-process*  $p\}$

**by** (*auto simp add: spec-def intro: rd-is-CSP*)

**lemmas**  $\text{Guard-is-CSP} = \text{Guard-is-action}[\text{simplified}]$

**lemma** *relation-of-Guard:*

$\text{relation-of}(g \text{ '&' } P) = (R ((g \text{ o more o fst}) \longrightarrow \neg ((\text{relation-of } P)^f_f)) \vdash$   
 $((g \text{ o more o fst}) \wedge ((\text{relation-of } P)^t_f)) \vee$   
 $((\neg(g \text{ o more o fst})) \wedge (\lambda (A, A'). \text{tr } A' = \text{tr } A \wedge \text{wait } A'))))$

**apply** (*unfold Guard-def*)

**apply** (*subst action-of-inverse*)

**apply** (*simp-all only: Guard-is-action*)

done

**lemma** *mono-Guard* :  $\text{mono}(\text{Guard } g)$

**apply** (*auto simp: mono-def less-eq-action ref-def rp-defs design-defs relation-of-Guard*)

*split: cond-splits*)

**apply** (*auto dest: relation-of-spec-f-f relation-of-spec-t-f*)

done

**lemma** *false-Guard*:  $\text{false } \text{'&' } P = \text{Stop}$

**apply** (*subst relation-of-inject[symmetric]*)

**apply** (*subst relation-of-Stop*)

**apply** (*subst relation-of-Guard*)

**apply** (*simp add: fun-eq-iff utp-defs csp-defs design-defs rp-defs*)

done

**lemma** *false-Guard1*:  $\bigwedge a b. g(\text{alpha-rp.more } a) = \text{False} \implies$

$(\text{relation-of}(g \text{ '&' } P))(a, b) = (\text{relation-of } \text{Stop})(a, b)$

**apply** (*subst relation-of-Guard*)

**apply** (*subst relation-of-Stop*)

**apply** (*auto simp: fun-eq-iff csp-defs design-defs rp-defs split: cond-splits*)

done

```

lemma true-Guard: true '&' P = P
apply (subst relation-of-inject[symmetric])
apply (subst relation-of-Guard)
apply (subst CSP-is-rd[OF relation-of-CSP]) back back
apply (simp add: fun-eq-iff utp-defs csp-defs design-defs rp-defs)
done

```

```

lemma true-Guard1:  $\bigwedge a b. g (\text{alpha-rp.more } a) = \text{True} \implies$ 
                    (relation-of (g '&' P)) (a, b) = (relation-of P) (a, b)
apply (subst relation-of-Guard)
apply (subst CSP-is-rd[OF relation-of-CSP]) back back
apply (auto simp: fun-eq-iff csp-defs design-defs rp-defs split: cond-splits)
done

```

```

lemma Guard-is-state-update-before: g '&' P = state-update-before ( $\lambda (s, s') . g s$ )
P
apply (subst relation-of-inject[symmetric])
apply (auto simp: relation-of-Guard relation-of-state-update-before relation-of-Skip
rp-defs fun-eq-iff
Pre-def update-def design-defs)
apply (rule-tac b=a in comp-intro)
apply (split cond-splits, simp-all)+
apply (subst CSP-is-rd)
apply (simp-all add: relation-of-CSP rp-defs design-defs fun-eq-iff)
apply (split cond-splits, simp-all)+
apply (auto)
apply (subst (asm) CSP-is-rd)
apply (simp-all add: relation-of-CSP rp-defs design-defs fun-eq-iff)
apply (split cond-splits, simp-all)+
apply (subst (asm) CSP-is-rd)
apply (simp-all add: relation-of-CSP rp-defs design-defs fun-eq-iff)
apply (split cond-splits, simp-all)+
apply (subst CSP-is-rd)
apply (simp-all add: relation-of-CSP rp-defs design-defs fun-eq-iff)
apply (split cond-splits, simp-all)+
apply (subst CSP-is-rd)
apply (simp-all add: relation-of-CSP rp-defs design-defs fun-eq-iff)
apply (split cond-splits, simp-all)+
apply (auto) defer
apply (split cond-splits, simp-all)+
apply (subst (asm) CSP-is-rd)
apply (simp-all add: relation-of-CSP rp-defs design-defs fun-eq-iff)
apply (split cond-splits, simp-all)+ defer
apply (rule disjI1) defer
apply (case-tac g (alpha-rp.more aa), simp-all)
apply (rule)+
apply (simp add: impl-def) defer
oops

```

## 14.16 Prefixed action

### definition *do where*

$do\ e \equiv (\lambda(A, A').\ tr\ A = tr\ A' \wedge (e\ (more\ A)) \notin (ref\ A')) \triangleleft wait\ o\ snd \triangleright$   
 $(\lambda(A, A').\ tr\ A' = (tr\ A\ @[(e\ (more\ A))]))$

### definition *do-I::('σ ⇒ 'ϑ) ⇒ 'ϑ set ⇒ ('ϑ, 'σ) relation-rp*

**where**  $do-I\ c\ S \equiv ((\lambda(A, A').\ tr\ A = tr\ A' \ \&\ S \cap (ref\ A') = \{\})$   
 $\triangleleft wait\ o\ snd \triangleright$

$(\lambda(A, A').\ hd\ (tr\ A' - tr\ A) \in S \ \&\ (c\ (more\ A) = (last\ (tr\ A'))))$

### definition

$iPrefix::('σ \Rightarrow 'ϑ::ev-eq) \Rightarrow ('σ\ relation) \Rightarrow (('ϑ, 'σ)\ action \Rightarrow ('ϑ, 'σ)\ action) \Rightarrow$   
 $('σ \Rightarrow 'ϑ\ set) \Rightarrow ('ϑ, 'σ)\ action \Rightarrow ('ϑ, 'σ)\ action$  **where**

$iPrefix\ c\ i\ j\ S\ P \equiv action-of(R(true \vdash (\lambda(A, A').\ (do-I\ c\ (S\ (more\ A))))\ (A, A')$   
 $\&\ more\ A' = more\ A))) \text{ ; } ' P$

### definition

$oPrefix::('σ \Rightarrow 'ϑ) \Rightarrow ('ϑ::ev-eq, 'σ)\ action \Rightarrow ('ϑ, 'σ)\ action$  **where**

$oPrefix\ c\ P \equiv action-of(R(true \vdash (do\ c) \wedge (\lambda(A, A').\ more\ A' = more\ A))) \text{ ; } ' P$

### definition *Prefix0::'ϑ ⇒ ('ϑ::ev-eq, 'σ) action ⇒ ('ϑ, 'σ) action where*

$Prefix0\ c\ P \equiv action-of(R(true \vdash (do\ (\lambda\ -. \ c)) \wedge (\lambda(A, A').\ more\ A' = more$   
 $A))) \text{ ; } ' P$

### definition

$read::('v \Rightarrow 'ϑ) \Rightarrow ('v, 'σ)\ var-list \Rightarrow ('ϑ::ev-eq, 'σ)\ action \Rightarrow ('ϑ, 'σ)\ action$

**where**  $read\ c\ x\ P \equiv iPrefix\ (\lambda\ A.\ c\ (select\ x\ A))\ (\lambda\ (s, s').\ \exists\ a.\ s' = increase\ x$   
 $a\ s)\ (Let\ x)\ (\lambda\ -. \ range\ c)\ P$

### definition

$read1::('v \Rightarrow 'ϑ) \Rightarrow ('v, 'σ)\ var-list \Rightarrow ('σ \Rightarrow 'v\ set) \Rightarrow ('ϑ::ev-eq, 'σ)\ action \Rightarrow$   
 $('ϑ, 'σ)\ action$

**where**  $read1\ c\ x\ S\ P \equiv iPrefix\ (\lambda\ A.\ c\ (select\ x\ A))\ (\lambda\ (s, s').\ \exists\ a.\ a \in (S\ s) \ \&$   
 $s' = increase\ x\ a\ s)\ (Let\ x)\ (\lambda\ s.\ c'(S\ s))\ P$

### definition

$write1::('v \Rightarrow 'ϑ) \Rightarrow ('σ \Rightarrow 'v) \Rightarrow ('ϑ::ev-eq, 'σ)\ action \Rightarrow ('ϑ, 'σ)\ action$

**where**  $write1\ c\ a\ P \equiv oPrefix\ (\lambda\ A.\ c\ (a\ A))\ P$

### definition

$write0::'ϑ \Rightarrow ('ϑ::ev-eq, 'σ)\ action \Rightarrow ('ϑ, 'σ)\ action$

**where**  $write0\ c\ P \equiv Prefix0\ c\ P$

### syntax

$-read\ ::[id, pptrn, ('ϑ, 'σ)\ action] \Rightarrow ('ϑ, 'σ)\ action\ (\langle(-'?'- / \rightarrow -)\rangle)$

$-readS\ ::[id, pptrn, 'ϑ\ set, ('ϑ, 'σ)\ action] \Rightarrow ('ϑ, 'σ)\ action\ (\langle(-'?'-'- / \rightarrow -)\rangle)$

$-readSS :: [id, ptnrn, 'σ \Rightarrow 'ϑ \text{ set}, ('ϑ, 'σ) \text{ action}] \Rightarrow ('ϑ, 'σ) \text{ action } (\langle (-'?'-' \in ' / \rightarrow -) \rangle)$   
 $-write :: [id, 'σ, ('ϑ, 'σ) \text{ action}] \Rightarrow ('ϑ, 'σ) \text{ action } (\langle (-'?'-' / \rightarrow -) \rangle)$   
 $-writeS :: ['ϑ, ('ϑ, 'σ) \text{ action}] \Rightarrow ('ϑ, 'σ) \text{ action } (\langle (- / \rightarrow -) \rangle)$

**translations**

$-read \ c \ p \ P \quad == \ \text{CONST read } c \ (\text{VAR-LIST } p) \ P$   
 $-readS \ c \ p \ b \ P \quad == \ \text{CONST read1 } c \ (\text{VAR-LIST } p) \ (\lambda -. b) \ P$   
 $-readSS \ c \ p \ b \ P \quad == \ \text{CONST read1 } c \ (\text{VAR-LIST } p) \ b \ P$   
 $-write \ c \ p \ P \quad == \ \text{CONST write1 } c \ p \ P$   
 $-writeS \ a \ P \quad == \ \text{CONST write0 } a \ P$

**lemma Prefix-is-action:**

$(R(\text{true} \vdash (\text{do } c) \wedge (\lambda (A, A'). \text{more } A' = \text{more } A))) \in \{p. \text{is-CSP-process } p\}$   
**by** (auto intro: rd-is-CSP)

**lemma Prefix1-is-action:**

$(R(\text{true} \vdash \lambda(A, A'). \text{do-I } c \ (S \ (\text{alpha-rp.more } A)) \ (A, A') \wedge \text{alpha-rp.more } A' = \text{alpha-rp.more } A)) \in \{p. \text{is-CSP-process } p\}$   
**by** (auto intro: rd-is-CSP)

**lemma Prefix0-is-action:**

$(R(\text{true} \vdash (\text{do } c) \wedge (\lambda (A, A'). \text{more } A' = \text{more } A))) \in \{p. \text{is-CSP-process } p\}$   
**by** (auto intro: rd-is-CSP)

**lemmas** Prefix-is-CSP = Prefix-is-action[simplified]

**lemmas** Prefix1-is-CSP = Prefix1-is-action[simplified]

**lemmas** Prefix0-is-CSP = Prefix0-is-action[simplified]

**lemma relation-of-iPrefix:**

$\text{relation-of } (i\text{Prefix } c \ i \ j \ S \ P) =$   
 $((R(\text{true} \vdash (\lambda (A, A'). (\text{do-I } c \ (S \ (\text{more } A)))) \ (A, A') \ \& \ \text{more } A' = \text{more } A)); ;$   
 $\text{relation-of } P)$   
**by** (simp add: iPrefix-def relation-of-Seq action-of-inverse Prefix1-is-CSP)

**lemma relation-of-oPrefix:**

$\text{relation-of } (o\text{Prefix } c \ P) =$   
 $((R(\text{true} \vdash (\text{do } c) \wedge (\lambda (A, A'). \text{more } A' = \text{more } A))); ; \text{relation-of } P)$   
**by** (simp add: oPrefix-def relation-of-Seq action-of-inverse Prefix-is-CSP)

**lemma relation-of-Prefix0:**

$\text{relation-of } (\text{Prefix0 } c \ P) =$   
 $((R(\text{true} \vdash (\text{do } (\lambda -. c)) \wedge (\lambda (A, A'). \text{more } A' = \text{more } A))); ; \text{relation-of } P)$   
**by** (simp add: Prefix0-def relation-of-Seq action-of-inverse Prefix0-is-CSP)

**lemma mono-iPrefix :** mono (iPrefix c i j s)

**by** (*auto simp: mono-def less-eq-action ref-def relation-of-iPrefix*)

**lemma** *mono-oPrefix* : *mono (oPrefix c)*

**by** (*auto simp: mono-def less-eq-action ref-def relation-of-oPrefix*)

**lemma** *mono-Prefix0* : *mono(Prefix0 c)*

**by** (*auto simp: mono-def less-eq-action ref-def relation-of-Prefix0*)

## 14.17 Hiding

**definition** *Hide::('∅::ev-eq, 'σ) action ⇒ '∅ set ⇒ ('∅, 'σ) action (infixl <\> 18)*

**where**

$P \setminus cs \equiv \text{action-of}(R(\lambda(S, S'). \exists s. (\text{diff-tr } S' S) = (\text{tr-filter } (s - (\text{tr } S)) cs) \& (\text{relation-of } P)(S, S'(\text{tr} := s, \text{ref} := (\text{ref } S') \cup cs))))); ; (\text{relation-of } \text{Skip}))$

**definition**

$\text{hid } P \text{ cs} == (R(\lambda(S, S'). \exists s. (\text{diff-tr } S' S) = (\text{tr-filter } (s - (\text{tr } S)) cs) \& (\text{relation-of } P)(S, S'(\text{tr} := s, \text{ref} := (\text{ref } S') \cup cs))))); ; (\text{relation-of } \text{Skip}))$

**lemma** *hid-is-R: hid P cs is R healthy*

**apply** (*simp add: hid-def*)

**apply** (*rule seq-R*)

**apply** (*simp add: Healthy-def R-idem2*)

**apply** (*rule CSP-is-R*)

**apply** (*rule relation-of-CSP*)

**done**

**lemma** *hid-Skip: hid P cs = (hid P cs ; ; relation-of Skip)*

**by** (*simp add: hid-def comp-assoc[symmetric] Skip-comp-absorb*)

**lemma** *hid-is-CSP1: hid P cs is CSP1 healthy*

**apply** (*auto simp: design-defs CSP1-def hid-def rp-defs fun-eq-iff*)

**apply** (*rule-tac b=a in comp-intro*)

**apply** (*clarsimp split: cond-splits*)

**apply** (*subst CSP-is-rd, auto simp: rp-defs relation-of-CSP design-defs fun-eq-iff split: cond-splits*)

**apply** (*auto simp: diff-tr-def relation-of-Skip rp-defs design-defs true-def split: cond-splits*)

**apply** (*rule-tac x=[] in exI, auto*)

**done**

**lemma** *hid-is-CSP2: hid P cs is CSP2 healthy*

**apply** (*simp add: hid-def*)

**apply** (*rule seq-CSP2*)

**apply** (*rule CSP-is-CSP2*)

**apply** (*rule relation-of-CSP*)

**done**

**lemma** *hid-is-CSP: is-CSP-process (hid P cs)*  
**by** (*auto simp: csp-defs hid-is-CSP1 hid-is-R hid-is-CSP2*)

**lemma** *Hide-is-action:*  
 $(R(\lambda(S, S'). \exists s. (\text{diff-tr } S' S) = (\text{tr-filter } (s - (\text{tr } S)) cs) \&$   
 $(\text{relation-of } P)(S, S'(\text{tr} := s, \text{ref} := (\text{ref } S') \cup cs \ \!)); ; (\text{relation-of } \text{Skip})) \in \{p.$   
 $\text{is-CSP-process } p\}$   
**by** (*simp add: hid-is-CSP[simplified hid-def]*)

**lemmas** *Hide-is-CSP = Hide-is-action[simplified]*

**lemma** *relation-of-Hide:*  
 $\text{relation-of } (P \setminus cs) = (R(\lambda(S, S'). \exists s. (\text{diff-tr } S' S) = (\text{tr-filter } (s - (\text{tr } S)) cs)$   
 $\& (\text{relation-of } P)(S, S'(\text{tr} := s, \text{ref} := (\text{ref } S') \cup cs \ \!)); ; (\text{relation-of } \text{Skip}))$   
**by** (*simp add: Hide-def action-of-inverse Hide-is-CSP*)

**lemma** *mono-Hide : mono( $\lambda P. P \setminus cs$ )*  
**by** (*auto simp: mono-def less-eq-action ref-def prefix-def utp-defs relation-of-Hide rp-defs*)

## 14.18 Recursion

To represent the recursion operator " $\mu$ " over actions, we use the universal least fix-point operator "*lfp*" defined in the HOL library for lattices. The operator "*lfp*" is inherited from the "Complete Lattice class" under some conditions. All theorems defined over this operator can be reused.

In the *Circus.Circus-Actions* theory, we presented the proof that Circus actions form a complete lattice. The Knaster-Tarski Theorem (in its simplest formulation) states that any monotone function on a complete lattice has a least fixed-point. This is a consequence of the basic boundary properties of the complete lattice operations. Instantiating the complete lattice class allows one to inherit these properties with the definition of the least fixed-point for monotonic functions over Circus actions.

**syntax** *-MU::[idt, idt  $\Rightarrow$  ( $\vartheta, \sigma$ ) action]  $\Rightarrow$  ( $\vartheta, \sigma$ ) action ( $\langle \mu - \bullet \rightarrow$ )*  
**translations** *-MU X P == CONST lfp ( $\lambda X. P$ )*

**end**

## 15 Circus syntax

**theory** *Circus-Syntax*  
**imports** *Denotational-Semantics*  
**keywords** *alphabet state channel nameset chanset schema action and*  
*circus-process :: thy-defn*  
**begin**



**abbreviation** *list-select*::['r ⇒ 'a list] ⇒ ('r ⇒ 'a) **where**  
*list-select Sel* ≡ *hd o Sel*

**abbreviation** *list-update*::(['a list ⇒ 'a list] ⇒ 'r ⇒ 'r]  
 ⇒ ('a ⇒ 'a) ⇒ 'r ⇒ 'r **where**  
*list-update Upd* ≡ λ *e*. *Upd* (λ *l*. (*e* (*hd l*))#(*tl l*))

**abbreviation** *list-update-const*::(['a list ⇒ 'a list] ⇒ 'r ⇒ 'r]  
 ⇒ 'a ⇒ 'r relation **where**  
*list-update-const Upd* ≡ λ *e*. λ (*A*, *A'*). *A'* = *Upd* (λ *l*. *e*#(*tl l*)) *A*

**abbreviation** *update-const*::(['a ⇒ 'a] ⇒ 'r ⇒ 'r]  
 ⇒ 'a ⇒ 'r relation **where**  
*update-const Upd* ≡ λ *e*. λ (*A*, *A'*). *A'* = *Upd* (λ -. *e*) *A*

**syntax**

-*synt-assign* :: *id* ⇒ 'a ⇒ 'b relation (⟨- := -⟩)

**ML** ‹

```
structure VARs-Data = Proof-Data
(
  type T = {State-vars: string list, Alpha-vars: string list}
  fun init - : T = {State-vars = [], Alpha-vars = []}
)
›
```

**nonterminal** *circus-action* and *circus-schema*

**syntax**

-*circus-action* :: 'a => circus-action (⟨-⟩)  
 -*circus-schema* :: 'a => circus-schema (⟨-⟩)

**parse-translation** ‹

```
let
  fun antiquote-tr ctxt =
    let
      val {State-vars=sv, Alpha-vars=av} = VARs-Data.get ctxt

      fun get-selector x =
        let val c = Consts.intern (Proof-Context.consts-of ctxt) x
        in
          if member (=) av x then SOME (Const (Circus-Syntax.list-select,
dummyT) $ (Syntax.const c)) else
          if member (=) sv x then SOME (Syntax.const c) else NONE end;
        end

      fun get-update x =
        let val c = Consts.intern (Proof-Context.consts-of ctxt) x
        in
          in
        end
      end
    end
end
```

```

      if member (=) av x then SOME (Const (Circus-Syntax.list-update-const,
dummyT) $ (Syntax.const (c ^Record.updateN))) else
      if member (=) sv x then SOME (Const (Circus-Syntax.update-const,
dummyT) $ (Syntax.const (c ^Record.updateN))) else NONE end;

```

```

  fun print text = (fn x => let val - = writeln text; in x end);

```

```

  val rel-op-type = @ {typ ('a × 'b ⇒ bool) ⇒ ('b × 'c ⇒ bool) ⇒ 'a × 'c ⇒
bool};

```

```

  fun tr i (t as Free (x, -)) =
    (case get-selector x of
     SOME c => c $ Bound (i + 1)
    | NONE =>
     (case try (unsuffix ') x of
      SOME y =>
        (case get-selector y of SOME c => c $ Bound i | NONE => t)
     | NONE => t))
  | tr i (t as (Const (-synt-assign, -) $ Free (x, -) $ r)) =
    (case get-update x of
     SOME c => c $ (tr i r) $ (Const (Product-Type.Pair, dummyT) $
Bound (i + 1) $ Bound i)
    | NONE => t)
  (* | tr i (t as (Const (c, rel-op-type) $ l $ r)) = print c
     ((Syntax.const @ {const-name case-prod} $
Abs (B, dummyT, Abs (B', dummyT, Const (c, rel-op-type)))) $ tr i
l $ tr i r)
     $ (Const (Product-Type.Pair, dummyT) $ Bound (i + 1) $ Bound
i)*)
    | tr i (t $ u) = tr i t $ tr i u
    | tr i (Abs (x, T, t)) = Abs (x, T, tr (i + 1) t)
    | tr - a = a;
  in tr 0 end;

```

```

  fun quote-tr ctxt [t] =
    Syntax.const @ {const-name case-prod} $
    Abs (A, dummyT, Abs (A', dummyT, antiquote-tr ctxt (Term.incr-boundvars
2 t)))
  | quote-tr - ts = raise TERM (quote-tr, ts);
  in [(@ {syntax-const -circus-schema}, quote-tr)] end
>

```

**ML** ‹

```

  fun get-fields (SOME ({fields, parent, ...}: Record.info)) thy =
    (case parent of
     SOME (-, y) => fields @ get-fields (Record.get-info thy y) thy
    | NONE => fields)
  | get-fields NONE - = []

```

```

val dummy = Term.dummy-pattern dummyT;
fun mk-eq (l, r) = HOLogic.Trueprop $ ((HOLogic.eq-const dummyT) $ l $ r)

fun add-datatype (params, binding) constr-specs thy =
  let
    val ([dt-name], thy') = thy
      |> BNF-LFP-Compat.add-datatype [BNF-LFP-Compat.Keep-Nesting]
        [((binding, params, NoSyn), constr-specs)];
    val constr-names =
      map fst (the-single (map (#3 o snd)
        (#descr (BNF-LFP-Compat.the-info thy' [BNF-LFP-Compat.Keep-Nesting]
dt-name)))));
    fun constr (c, Ts) = (Const (c, dummyT), length Ts);
    val constrs = map #1 constr-specs ~~ map constr (constr-names ~~ map #2
constr-specs);
    in ((dt-name, constrs), thy') end;

fun define-channels (params, binding) typesyn channels thy =
  case typesyn of
  NONE =>
  let
    val dt-binding = Binding.suffix-name -channels binding;

    val constr-specs = map (fn (b, opt-T) => (b, the-list opt-T, NoSyn)) channels;
    val ((dt-name, constrs), thy1) =
      add-datatype (params, dt-binding) constr-specs thy;

    val T = Type (dt-name, []);

    val fun-name = ev-eq ^ - ^ Long-Name.base-name dt-name;

    val ev-equ = Free (fun-name, T --> T --> HOLogic.boolT);

    val eqs = map-product (fn (-, (c, n)) => (fn (-, (c1, n1)) =>
      let
        val t = Term.list-comb (c, replicate n dummy);
        val t1 = Term.list-comb (c1, replicate n1 dummy);
        in (if c = c1 then mk-eq ((ev-equ $ t $ t1), @{term True}) else mk-eq ((ev-equ
$ t $ t1), @{term False})) end)) constrs constrs;

    fun case-tac x ctxt =
      resolve-tac ctxt [Thm.instantiate' [] [SOME x]
        (#exhaust (BNF-LFP-Compat.the-info (Proof-Context.theory-of ctxt) [BNF-LFP-Compat.Keep-Nesting]
dt-name))];

    fun proof ctxt = (Class.intro-classes-tac ctxt [] THEN
      Subgoal.FOCUS (fn {context = ctxt', params = [(-, x)], ...} =>

```

```

      (case-tac x ctxt') 1
      THEN auto-tac ctxt') ctxt 1 THEN
Subgoal.FOCUS (fn {context = ctxt', params = [(-, x), (-, y)],
...} =>
      ((case-tac x ctxt') THEN-ALL-NEW (case-tac y
ctxt')) 1
      THEN auto-tac ctxt') ctxt 1);

val thy2 =
  thy1
  |> Class.instantiation ([dt-name], params, @{sort ev-eq})
  |> Local-Theory.begin-nested
  |> snd
  |> Function-Fun.add-fun [(Binding.name fun-name, NONE, NoSyn)]
    (map (fn t => ((Binding.empty-atts, t), [], [])) eqs) Function-Fun.fun-config
  |> Local-Theory.end-nested
  |> Class.prove-instantiation-exit (fn ctxt => proof ctxt);
in
  ((dt-name, constra), thy2)
end
| (SOME typn) =>
let
  val dt-binding = Binding.suffix-name -channels binding;

  val (dt-name, thy1) =
    thy
    |> Named-Target.theory-init
    |> (fn ctxt => TypedDecl.abbrev (dt-binding, map fst params, NoSyn)
(Proof-Context.read-typr ctxt typn) ctxt);

  val thy2 = thy1 |> Local-Theory.exit-global;
in
  ((dt-name, []), thy2)
end;

fun define-chanset binding channel-constra (name, chans) thy =
  let
    val constra =
      filter (fn (b, -) => exists (fn a => a = Binding.name-of b) chans) chan-
nel-constra;
    val bad-chans =
      filter-out (fn a => exists (fn (b, -) => a = Binding.name-of b) channel-constra)
chans;
    val - = null bad-chans orelse
      error (Bad elements ^ commas-quote bad-chans ^ in chanset: ^ quote
(Binding.print name));
    val base-name = Binding.name-of name;
    val cs = map (fn (-, (c, n)) => Term.list-comb (c, replicate n (Const (@{const-name
undefined}, dummyT)))) constra;

```

```

    val chanset-eq = mk-eq ((Free (base-name, dummyT)), (HOLogic.mk-set dummyT cs));
  in
    thy
      |> Named-Target.theory-init
      |> Specification.definition (SOME (Binding.qualify-name true binding base-name,
NONE, NoSyn))
        [] [] (Binding.empty-atts, chanset-eq)
      |> snd |> Local-Theory.exit-global
    end;

fun define-nameset binding (rec-binding, alphabet) (ns-binding, names) thy =
  let
    val all-selectors = get-fields (Record.get-info thy (Sign.full-name thy rec-binding))
  thy
    val bad-names =
      filter-out (fn a => exists (fn (b, -) => String.isSuffix a b) all-selectors)
    names;
    val - = null bad-names orelse
      error (Bad elements ^ commas-quote bad-names ^ in nameset: ^ quote
(Binding.print ns-binding));
    val selectors =
      filter (fn (b, -) => exists (fn a => String.isSuffix a b) names) all-selectors;
    val updates = map (fn x => (fst x, ((suffix Record.updateN) o fst) x)) selectors;
    val selectors' = map (fn x => (fst x, Const(fst x, dummyT))) selectors;
    val updates' = map (fn (x, y) => (x, Const(y, dummyT))) updates;
    val l =
      map (fn (b, -) => Binding.name-of b) alphabet;
    val formulas = map2 (fn (nx, x) =>
      fn (ny, y) =>
        if (exists (fn b => String.isSuffix b nx) l)
          then Abs (A, dummyT, (Const(Circus-Syntax.list-update,
dummyT) $ x)
          $ (Abs (-, dummyT, (Const(Circus-Syntax.list-select,
dummyT) $ y) $ (Bound 1))))
          else Abs (A, dummyT, x $ (Abs (-, dummyT, y $ (Bound
1)))) updates' selectors';
    val base-name = Binding.name-of ns-binding;
    fun comp [a] = a $ (Bound 1) $ (Bound 0)
      | comp (a::l) = a $ (Bound 1) $ (comp l);
    val nameset-eq = mk-eq ((Free (base-name, dummyT)), (Abs (-, dummyT, (Abs
(-, dummyT, comp formulas))));
  in
    thy
      |> Named-Target.theory-init
      |> Specification.definition (SOME (Binding.qualify-name true binding base-name,
NONE, NoSyn))
        [] [] (Binding.empty-atts, nameset-eq)
      |> snd |> Local-Theory.exit-global
  end;

```

```

end;

fun define-schema binding (ex-binding, expr) (alph-bind, alpha, state) thy =
  let
    val fields-names = (map (fn (x, T) => (Binding.name-of x, T)) (alpha @
state));
    val alpha' = (map (fn (x, T) => (Binding.name-of x, T)) alpha);
    val state' = (map (fn (x, T) => (Binding.name-of x, T)) state);
    val all-selectors = get-fields (Record.get-info thy (Sign.full-name thy alph-bind))
thy
    val base-name = Binding.name-of ex-binding;
    val ctxt = Proof-Context.init-global thy;
    val term =
      Syntax.read-term
        (ctxt
          |> VARs-Data.put ({State-vars=(map fst state'), Alpha-vars=(map fst
alpha')}))
          |> Config.put Syntax.root @{nonterminal circus-schema}) expr;
    val sc-eq = mk-eq ((Free (base-name, dummyT)), term);
  in
    thy
      |> Named-Target.theory-init
      |> Specification.definition (SOME (Binding.qualify-name true binding base-name,
NONE, NoSyn))
        [] [] (Binding.empty-atts, sc-eq)
      |> snd
      |> Local-Theory.exit-global
    end;

fun define-action binding (ex-binding, expr) alph-bind chan-bind thy =
  let
    val base-name = Binding.name-of ex-binding;
    val ctxt = Proof-Context.init-global thy;
    val actT = Circus-Actions.action;
    val action-eq =
      mk-eq
        ((Free (base-name,
          Type (actT, [(Proof-Context.read-type-name {proper=true, strict=false}
ctxt (Sign.full-name thy chan-bind)),
          (Proof-Context.read-type-name {proper=true, strict=false} ctxt (Sign.full-name
thy alph-bind)))])),
          (Syntax.parse-term ctxt expr));
  in
    thy
      |> Named-Target.theory-init
      |> Specification.definition (SOME (Binding.qualify-name true binding base-name,
NONE, NoSyn))

```

```

    [] [] (Binding.empty-atts, action-eq)
  |> snd
  |> Local-Theory.exit-global
end;

```

```

fun define-expr binding (alph-bind, alpha, state) chan-bind (ex-binding, (is-schema,
expr)) =
  if is-schema then define-schema binding (ex-binding, expr) (alph-bind, alpha,
state)
  else define-action binding (ex-binding, expr) alph-bind chan-bind;

```

```

fun prep-field prep-tyt (b: binding, raw-T) ctxt =
  let
    val T = prep-tyt ctxt raw-T;
    val ctxt' = Variable.declare-tyt T ctxt;
  in ((b, T), ctxt') end;

```

```

fun prep-constr prep-tyt (b: binding, raw-T) ctxt =
  let
    val T = Option.map (prep-tyt ctxt) raw-T;
    val ctxt' = fold Variable.declare-tyt (the-list T) ctxt;
  in ((b, T), ctxt') end;

```

```

fun gen-circus-process prep-constraint prep-tyt
  (raw-params, binding) raw-alphabet raw-state (typesyn, raw-channels) namesets
chansets
  exprs act thy =
  let
    val ctxt = Proof-Context.init-global thy;

```

(\* internalize arguments \*)

```

val params = map (prep-constraint ctxt) raw-params;
val ctxt0 = fold (Variable.declare-tyt o TFree) params ctxt;

```

```

val (alphabet, ctxt1) = fold-map (prep-field prep-tyt) raw-alphabet ctxt0;
val (state, ctxt2) = fold-map (prep-field prep-tyt) raw-state ctxt1;
val (channels, ctxt3) = fold-map (prep-constr prep-tyt) raw-channels ctxt2;

```

```

val params' = map (Proof-Context.check-tfree ctxt3) params;

```

(\* type definitions \*)

```

val fields =
  map (fn (b, T) => (b, T, NoSyn)) (map (apsnd HOLogic.listT) alphabet @
state);

```

```

val thy1 = thy
  |> not (null fields) ?
    Record.add-record {overloaded = false}
      (params', Binding.suffix-name -alphabet binding) NONE fields;
val (channel-constrs, thy2) =
  if not (null channels) orelse is-some typesyn
  then apfst snd (define-channels (params', binding) typesyn channels thy1)
  else ([], thy1);
val thy3 = thy2
  |> not (null chansets) ? fold (define-chanset binding channel-constrs) chansets
  |> not (null namesets) ?
    fold (define-nameset binding ((Binding.suffix-name -alphabet binding), al-
phabet)) namesets
  |> not (null exprs) ?
    fold (define-expr binding ((Binding.suffix-name -alphabet binding), alphabet,
state)
      (Binding.suffix-name -channels binding)) exprs
  |> define-action binding (binding, act)
      (Binding.suffix-name -alphabet binding) (Binding.suffix-name -channels bind-
ing);
in
  thy3
end;

```

```

fun circus-process x = gen-circus-process (K I) Syntax.check-tyt x;
fun circus-process-cmd x = gen-circus-process (apsnd o Typeddecl.read-constraint)
Syntax.read-tyt x;

```

local

```

val fields =
  @{{keyword []} |-- Parse.enum1 , (Parse.binding -- (@{{keyword ::}} |-- Parse.!!!
Parse.tyt))
  --| @{{keyword []}};

```

```

val constrs =
  (@{{keyword []} |-- Parse.enum1 , (Parse.binding -- Scan.option Parse.tyt)
--| @{{keyword []}}) >> pair NONE
  || Parse.tyt >> (fn b => (SOME b, []));

```

```

val names =
  @{{keyword []} |-- Parse.enum1 , Parse.name --| @{{keyword []}};

```



```

in

val - =
  Outer-Syntax.command @ { command-keyword circus-process } Circus process spec-
  ification
    ((Parse.type-args-constrained -- Parse.binding --| @ { keyword = } ) --
     Scan.optional (@ { keyword alphabet } |-- Parse.!!! (@ { keyword = } |-- fields))
  [] --
     Scan.optional (@ { keyword state } |-- Parse.!!! (@ { keyword = } |-- fields))
  [] --
     Scan.optional (@ { keyword channel } |-- Parse.!!! (@ { keyword = } |-- con-
  str)) (NONE, []) --
     Scan.repeat (@ { keyword nameset } |-- Parse.!!! ((Parse.binding --| @ { keyword
  = } ) -- names)) --
     Scan.repeat (@ { keyword chanset } |-- Parse.!!! ((Parse.binding --| @ { keyword
  = } ) -- names)) --
     Scan.repeat (@ { keyword schema } |-- Parse.!!! ((Parse.binding --| @ { keyword
  = } ) -- (Parse.term >> pair true))) ||
     (@ { keyword action } |-- Parse.!!! ((Parse.binding --| @ { keyword
  = } ) -- (Parse.term >> pair false)))) --
     (Parse.where- |-- Parse.!!! Parse.term)
    >> (fn ((((((a, b), c), d), e), f), g), h) =>
      Toplevel.theory (circus-process-cmd a b c d e f g h)));

end;
>

end

```

## 16 Refinement and Simulation

```

theory Refinement
imports Denotational-Semantics Circus-Syntax
begin

```

### 16.1 Definitions

In the following, data (state) simulation and functional backwards simulation are defined. The simulation is defined as a function  $S$ , that corresponds to a state abstraction function.

**definition**  $Simul\ S\ b = extend\ (make\ (ok\ b)\ (wait\ b)\ (tr\ b)\ (ref\ b))\ (S\ (more\ b))$

**definition**

$Simulation::('\vartheta::ev-eq, '\sigma)\ action \Rightarrow ('\sigma 1 \Rightarrow '\sigma) \Rightarrow ('\vartheta, '\sigma 1)\ action \Rightarrow bool\ (\leftarrow \preceq \rightarrow)$

**where**

$A \preceq S B \equiv \forall\ a\ b.\ (relation-of\ B)\ (a, b) \longrightarrow (relation-of\ A)\ (Simul\ S\ a, Simul\ S\ b)$

## 16.2 Proofs

In order to simplify refinement proofs, some general refinement laws are defined to deal with the refinement of Circus actions at operators level and not at UTP level. Using these laws, and exploiting the advantages of a shallow embedding, the automated proof of refinement becomes surprisingly simple.

**lemma** *Stop-Sim*:  $Stop \preceq_S Stop$

**by** (*auto simp*: *Simulation-def relation-of-Stop rp-defs design-defs Simul-def alpha-rp.defs*  
*split*: *cond-splits*)

**lemma** *Skip-Sim*:  $Skip \preceq_S Skip$

**by** (*auto simp*: *Simulation-def relation-of-Skip design-def rp-defs Simul-def alpha-rp.defs*  
*split*: *cond-splits*)

**lemma** *Chaos-Sim*:  $Chaos \preceq_S Chaos$

**by** (*auto simp*: *Simulation-def relation-of-Chaos rp-defs design-defs Simul-def alpha-rp.defs*  
*split*: *cond-splits*)

**lemma** *Ndet-Sim*:

**assumes**  $A: P \preceq_S Q$  **and**  $B: P' \preceq_S Q'$

**shows**  $(P \sqcap P') \preceq_S (Q \sqcap Q')$

**by** (*insert A B, auto simp*: *Simulation-def relation-of-Ndet*)

**lemma** *Det-Sim*:

**assumes**  $A: P \preceq_S Q$  **and**  $B: P' \preceq_S Q'$

**shows**  $(P \sqcap P') \preceq_S (Q \sqcap Q')$

**by** (*auto simp*: *Simulation-def relation-of-Det design-def rp-defs Simul-def alpha-rp.defs spec-def*

*split*: *cond-splits*

*dest*:  $A[\textit{simplified Simulation-def Simul-def, rule-format}]$

$B[\textit{simplified Simulation-def Simul-def, rule-format}]$ )

**lemma** *Schema-Sim*:

**assumes**  $A: \bigwedge a. (Pre\ sc1) (S\ a) \implies (Pre\ sc2) a$

**and**  $B: \bigwedge a\ b. \llbracket Pre\ sc1 (S\ a) ; sc2 (a, b) \rrbracket \implies sc1 (S\ a, S\ b)$

**shows**  $(Schema\ sc1) \preceq_S (Schema\ sc2)$

**by** (*auto simp*: *Simulation-def Simul-def relation-of-Schema rp-defs design-defs alpha-rp.defs A B*

*split*: *cond-splits*)

**lemma** *SUB-Sim*:

**assumes**  $A: \bigwedge a. (Pre\ sc1) (S\ a) \implies (Pre\ sc2) a$

**and**  $B: \bigwedge a\ b. \llbracket Pre\ sc1 (S\ a) ; sc2 (a, b) \rrbracket \implies sc1 (S\ a, S\ b)$

**and**  $C: P \preceq_S Q$

```

shows (state-update-before sc1 P)  $\preceq^S$  (state-update-before sc2 Q)
apply (auto simp: Simulation-def Simul-def relation-of-state-update-before rp-defs
design-defs alpha-rp.defs A B
split: cond-splits)
apply (drule C[simplified Simulation-def, rule-format])
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto simp: A B Simul-def alpha-rp.defs)
apply (clarsimp split: cond-splits)+
apply (drule C[simplified Simulation-def, rule-format])
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto simp: A B Simul-def alpha-rp.defs)
apply (clarsimp split: cond-splits)+
apply (case-tac ok aa, simp-all)
apply (erule notE) back
apply (drule C[simplified Simulation-def, rule-format])
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto simp: A B Simul-def alpha-rp.defs)
apply (clarsimp split: cond-splits)+
apply (rule A)
apply (case-tac Pre sc1 (S (alpha-rp.more aa)), simp-all)
apply (erule notE) back
apply (drule C[simplified Simulation-def, rule-format])
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto simp: A B Simul-def alpha-rp.defs)
apply (clarsimp split: cond-splits)+
apply (drule C[simplified Simulation-def, rule-format])
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto simp: A B Simul-def alpha-rp.defs)
apply (clarsimp split: cond-splits)+
apply (rule B, auto)
done

```

**lemma** *Seq-Sim*:

```

assumes A: P  $\preceq^S$  Q and B: P'  $\preceq^S$  Q'
shows (P ';' P')  $\preceq^S$  (Q ';' Q')
by (auto simp: Simulation-def relation-of-Seq dest: A[simplified Simulation-def,
rule-format]
B[simplified Simulation-def, rule-format])

```

**lemma** *Par-Sim*:

```

assumes A: P  $\preceq^S$  Q and B: P'  $\preceq^S$  Q'
and C:  $\bigwedge$  a b. S (ns'2 a b) = ns2 (S a) (S b)
and D:  $\bigwedge$  a b. S (ns'1 a b) = ns1 (S a) (S b)
shows (P  $\llbracket$  ns1 | cs | ns2  $\rrbracket$  P')  $\preceq^S$  (Q  $\llbracket$  ns'1 | cs | ns'2  $\rrbracket$  Q')
apply (auto simp: Simulation-def relation-of-Par fun-eq-iff rp-defs Simul-def de-
sign-defs spec-def
alpha-rp.defs
dest: A[simplified Simulation-def Simul-def, rule-format])

```

```

      B[simplified Simulation-def Simul-def, rule-format])
apply (split cond-splits)+
apply (simp, erule disjE, rule disjI1, simp, rule disjI2, simp-all, rule impI)
apply (auto)
apply (erule-tac x=tr ba in allE, auto)
apply (erule notE) back
apply (erule-tac b=Simul S ba(ok := False) in comp-intro)
apply (auto simp: Simul-def alpha-rp.defs dest: A[simplified Simulation-def Simul-def,
rule-format])
apply (erule-tac x=tr bb in allE, auto)
apply (erule notE) back
apply (erule-tac b=Simul S bb(ok := False) in comp-intro)
apply (auto simp: Simul-def alpha-rp.defs dest: B[simplified Simulation-def Simul-def,
rule-format])
apply (erule-tac x=tr ba in allE, auto)
apply (erule notE) back
apply (erule-tac b=Simul S ba(ok := False) in comp-intro)
apply (auto simp: Simul-def alpha-rp.defs dest: A[simplified Simulation-def Simul-def,
rule-format])
apply (erule-tac x=tr bb in allE, auto)
apply (erule notE) back
apply (erule-tac b=Simul S bb(ok := False) in comp-intro)
apply (auto simp: Simul-def alpha-rp.defs dest: B[simplified Simulation-def Simul-def,
rule-format])
apply (erule-tac x=Simul S s1 in exI)
apply (erule-tac x=Simul S s2 in exI)
apply (auto simp: Simul-def alpha-rp.defs
      dest!: B[simplified Simulation-def Simul-def, rule-format]
      A[simplified Simulation-def Simul-def, rule-format]
      split: cond-splits)
apply (erule-tac b=Simul S ba in comp-intro)
apply (auto simp add: M-par-def alpha-rp.defs diff-tr-def fun-eq-iff ParMerge-def
Simul-def
      split : cond-splits)
apply (erule-tac b=(ok = ok bb, wait = wait bb, tr = tr bb, ref = ref bb,
      ... = S (alpha-rp.more bb)) in comp-intro, auto)
apply (subst D[where a=(alpha-rp.more s1) and b=(alpha-rp.more aa), sym-
metric], simp)
apply (subst C[where a=(alpha-rp.more s2) and b=(alpha-rp.more bb), sym-
metric], simp)
apply (erule-tac b=(ok = ok bb, wait = wait bb, tr = tr bb, ref = ref bb,
      ... = S (alpha-rp.more bb)) in comp-intro, auto)
apply (subst D[where a=(alpha-rp.more s1) and b=(alpha-rp.more aa), sym-
metric], simp)
apply (subst C[where a=(alpha-rp.more s2) and b=(alpha-rp.more bb), sym-
metric], simp)
done

```

**lemma** *Assign-Sim*:

**assumes**  $A: \bigwedge A. \forall y A = vx (S A)$   
**and**  $B: \bigwedge \text{ff } A. (S (y\text{-update } \text{ff } A)) = x\text{-update } \text{ff } (S A)$   
**shows**  $(x \text{ ':=' } vx) \preceq_S (y \text{ ':=' } vy)$   
**by** (*auto simp: Simulation-def relation-of-Assign update-def rp-defs design-defs Simul-def*  
 $A B$   
*alpha-rp.defs split: cond-splits*)

**lemma** *Var-Sim:*

**assumes**  $A: P \preceq_S Q$  **and**  $B: \bigwedge \text{ff } A. (S ((\text{snd } b) \text{ff } A)) = (\text{snd } a) \text{ff } (S A)$   
**shows**  $(\text{Var } a P) \preceq_S (\text{Var } b Q)$   
**apply** (*auto simp: Simulation-def relation-of-Var rp-defs design-defs fun-eq-iff*  
*Simul-def B*  
*alpha-rp.defs increase-def decrease-def*)  
**apply** (*rule-tac b=Simul S ab in comp-intro*)  
**apply** (*split cond-splits*)  
**apply** (*auto simp: B alpha-rp.defs Simul-def elim!: alpha-rp-eqE*)  
**apply** (*rule-tac b=Simul S bb in comp-intro*)  
**apply** (*split cond-splits*)  
**apply** (*auto simp: B alpha-rp.defs Simul-def*  
*elim!: alpha-rp-eqE dest!: A[simplified Simulation-def Simul-def,*  
*rule-format]*)  
**apply** (*split cond-splits*)  
**apply** (*simp add: alpha-rp.defs*)  
**apply** (*erule disjE, rule disjI1, simp, rule disjI2, simp*)  
**apply** (*simp-all add: alpha-rp.defs true-def*)  
**apply** (*rule impI, (erule conjE | simp)+*)  
**apply** (*simp add: B*)  
**apply** (*split cond-splits*)  
**apply** (*simp add: alpha-rp.defs*)  
**apply** (*erule disjE, rule disjI1, simp, rule disjI2, simp-all*)  
**apply** (*rule impI, (erule conjE | simp)+*)  
**apply** (*simp add: B*)  
**done**

**lemma** *Guard-Sim:*

**assumes**  $A: P \preceq_S Q$  **and**  $B: \bigwedge A. h A = g (S A)$   
**shows**  $(g \text{ '&' } P) \preceq_S (h \text{ '&' } Q)$   
**apply** (*auto simp: Simulation-def*)  
**apply** (*case-tac h (alpha-rp.more a)*)  
**defer**  
**apply** (*case-tac g (S (alpha-rp.more a))*)  
**apply** (*auto simp: true-Guard1 false-Guard1 Simul-def alpha-rp.defs Simulation-def*  
 $B$   
*dest!: A[simplified, rule-format] Stop-Sim[simplified, rule-format]*)  
**done**

**lemma** *Write0-Sim:*

**assumes**  $A: P \preceq_S Q$   
**shows**  $a \rightarrow P \preceq_S a \rightarrow Q$

```

using A
apply (auto simp: Simulation-def write0-def relation-of-Prefix0 design-defs rp-defs)
apply (erule-tac x=ba in allE)
apply (erule-tac x=c in allE, auto)
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto split: cond-splits simp: Simul-def alpha-rp.defs do-def)
done

```

**lemma** *Read-Sim:*

```

assumes A:  $P \preceq_S Q$  and B:  $\bigwedge A. (d A) = c (S A)$ 
shows  $a'?'c \rightarrow P \preceq_S a'?'d \rightarrow Q$ 
using A
apply (auto simp: Simulation-def read-def relation-of-iPrefix design-defs rp-defs)
apply (erule-tac x=ba in allE, erule-tac x=ca in allE, simp)
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto split: cond-splits simp: Simul-def alpha-rp.defs do-I-def select-def B)
done

```

**lemma** *Read1-Sim:*

```

assumes A:  $P \preceq_S Q$  and B:  $\bigwedge A. (d A) = c (S A)$ 
shows  $a'?'c:'s \rightarrow P \preceq_S a'?'d:'s \rightarrow Q$ 
using A
apply (auto simp: Simulation-def read1-def relation-of-iPrefix design-defs rp-defs)
apply (erule-tac x=ba in allE, erule-tac x=ca in allE, simp)
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto split: cond-splits simp: Simul-def alpha-rp.defs do-I-def select-def B)
done

```

**lemma** *Read1S-Sim:*

```

assumes A:  $P \preceq_S Q$  and B:  $\bigwedge A. (d A) = c (S A)$  and C:  $\bigwedge A. (s' A) = s (S A)$ 
shows  $a'?'c \in 's \rightarrow P \preceq_S a'?'d \in 's' \rightarrow Q$ 
using A
apply (auto simp: Simulation-def read1-def relation-of-iPrefix design-defs rp-defs)
apply (erule-tac x=ba in allE, erule-tac x=ca in allE, simp)
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto split: cond-splits simp: Simul-def alpha-rp.defs do-I-def select-def B
C)
done

```

**lemma** *Write-Sim:*

```

assumes A:  $P \preceq_S Q$  and B:  $\bigwedge A. (d A) = c (S A)$ 
shows  $a!'c \rightarrow P \preceq_S a!'d \rightarrow Q$ 
using A
apply (auto simp: Simulation-def write1-def relation-of-oPrefix design-defs rp-defs)
apply (erule-tac x=ba in allE, erule-tac x=ca in allE, simp)
apply (rule-tac b=Simul S ba in comp-intro)
apply (auto split: cond-splits simp: Simul-def alpha-rp.defs do-def select-def B)
done

```

**lemma** *Hide-Sim*:  
**assumes**  $A: P \preceq_S Q$   
**shows**  $(P \setminus cs) \preceq_S (Q \setminus cs)$   
**apply** (*auto simp: Simulation-def relation-of-Hide design-defs rp-defs Simul-def alpha-rp.defs*)  
**apply** (*rule-tac b=Simul S ba in comp-intro*)  
**apply** (*split cond-splits*)  
**apply** (*auto simp: Simul-def alpha-rp.defs Simulation-def dest!: A[simplified, rule-format] Skip-Sim[simplified, rule-format]*)  
**apply** (*rule-tac x=s in exI, auto simp: diff-tr-def*)  
**done**

**lemma** *lfp-Siml*:  
**assumes**  $A: \bigwedge X. (X \preceq_S Q) \implies ((P X) \preceq_S Q)$  **and**  $B: \text{mono } P$   
**shows**  $(\text{lfp } P) \preceq_S Q$   
**apply** (*rule lfp-ordinal-induct, auto simp: B A*)  
**apply** (*auto simp add: Simulation-def Sup-action relation-of-bot relation-of-Sup[simplified]*)  
**apply** (*subst (asm) CSP-is-rd[OF relation-of-CSP]*)  
**apply** (*auto simp: rp-defs fun-eq-iff Simul-def alpha-rp.defs decrease-def split: cond-splits*)  
**done**

**lemma** *Mu-Sim*:  
**assumes**  $A: \bigwedge X Y. X \preceq_S Y \implies (P X) \preceq_S (Q Y)$   
**and**  $B: \text{mono } P$  **and**  $C: \text{mono } Q$   
**shows**  $(\text{lfp } P) \preceq_S (\text{lfp } Q)$   
**apply** (*rule lfp-Siml, drule A*)  
**apply** (*subst lfp-unfold, simp-all add: B C*)  
**done**

**lemma** *bot-Sim*:  $\text{bot} \preceq_S \text{bot}$   
**by** (*auto simp: Simulation-def rp-defs Simul-def relation-of-bot alpha-rp.defs split: cond-splits*)

**lemma** *sim-is-ref*:  $P \sqsubseteq Q = P \preceq(\text{id}) Q$   
**apply** (*auto simp: ref-def Simulation-def Simul-def alpha-rp.defs*)  
**apply** (*erule-tac x=a in allE*)  
**apply** (*erule-tac x=b in allE, auto*)  
**apply** (*rule-tac t=(ok = ok a, wait = wait a, tr = tr a, ref = ref a, ... = alpha-rp.more a) and s=a in subst, simp*)  
**apply** (*rule-tac t=(ok = ok b, wait = wait b, tr = tr b, ref = ref b, ... = alpha-rp.more b) and s=b in subst, simp-all*)  
**apply** (*erule-tac x=a in allE*)  
**apply** (*erule-tac x=b in allE, auto*)  
**apply** (*rule-tac s=(ok = ok a, wait = wait a, tr = tr a, ref = ref a, ... = alpha-rp.more a) and t=a in subst, simp*)  
**apply** (*rule-tac s=(ok = ok b, wait = wait b, tr = tr b, ref = ref b, ... = alpha-rp.more b) and t=b in subst, simp-all*)

done

```
lemma ref-eq: ((P::('a::ev-eq,'b) action) = Q) = (P  $\sqsubseteq$  Q & Q  $\sqsubseteq$  P)
apply (rule)
apply (simp add: ref-def)
apply (auto simp add: ref-def fun-eq-iff relation-of-inject[symmetric])
done
```

```
lemma rd-ref:
assumes A:R (P  $\vdash$  Q)  $\in$  {p. is-CSP-process p}
and B:R (P'  $\vdash$  Q')  $\in$  {p. is-CSP-process p}
and C: $\bigwedge$  a b. P (a, b)  $\implies$  P' (a, b)
and D: $\bigwedge$  a b. Q' (a, b)  $\implies$  Q (a, b)
shows (action-of (R (P  $\vdash$  Q)))  $\sqsubseteq$  (action-of (R (P'  $\vdash$  Q')))
apply (auto simp: ref-def)
apply (subst (asm) action-of-inverse, simp add: B[simplified])
apply (subst action-of-inverse, simp add: A[simplified])
apply (auto simp: rp-defs design-defs C D split: cond-splits)
done
```

```
lemma rd-impl:
assumes A:R (P  $\vdash$  Q)  $\in$  {p. is-CSP-process p}
and B:R (P'  $\vdash$  Q')  $\in$  {p. is-CSP-process p}
and C: $\bigwedge$  a b. P (a, b)  $\implies$  P' (a, b)
and D: $\bigwedge$  a b. Q' (a, b)  $\implies$  Q (a, b)
shows R (P'  $\vdash$  Q') (a, b)  $\longrightarrow$  R (P  $\vdash$  Q) (a::('a::ev-eq, 'b) alpha-rp-scheme, b)
apply (insert rd-ref[of P Q P' Q', OF A B C D])
apply (auto simp: ref-def)
apply (subst (asm) action-of-inverse, simp add: B[simplified])
apply (subst (asm) action-of-inverse, simp add: A[simplified])
apply (erule-tac x=a in alle)
apply (erule-tac x=b in alle)
apply (auto)
done
```

end

## 17 Concrete example

```
theory Refinement-Example
imports Refinement
begin
```

In this section, we present a concrete example of the use of our environment. We define two Circus processes FIG and DFIG, using our syntax. We give the proof of refinement (simulation) of the first process by the second one using the simulation function *Sim*.



## 17.1 Process definitions

**circus-process**  $FIG =$   
**alphabet** =  $[v::nat, x::nat]$   
**state** =  $[idS::nat\ set]$   
**channel** =  $[out\ nat, req, ret\ nat]$   
**schema**  $Init = idS' = \{\}$   
**schema**  $Out = \exists a. v' = a \wedge a \notin idS \wedge idS' = idS \cup \{v'\}$   
**schema**  $Remove = x \in idS \wedge idS' = idS - \{x\}$   
**where**  $var\ v \bullet (Schema\ FIG.Init';$   
 $\quad \mu\ X \bullet (((req \rightarrow (Schema\ FIG.Out));$   
 $\quad \square (ret'?'x \rightarrow (Schema\ FIG.Remove))))';$   
 $\quad (out'!(hd\ o\ v) \rightarrow Skip))$   
 $\quad \square (ret'?'x \rightarrow (Schema\ FIG.Remove))))';$   
 $\quad (X))$

**circus-process**  $DFIG =$   
**alphabet** =  $[v::nat, x::nat]$   
**state** =  $[retidS::nat\ set, max::nat]$   
**channel** =  $FIG\text{-}channels$   
**schema**  $Init = retidS' = \{\} \wedge max' = 0$   
**schema**  $Out = v' = max \wedge max' = (max + 1) \wedge retidS' = retidS - \{v'\}$   
**schema**  $Remove = x < max \wedge retidS' = retidS \cup \{x\} \wedge max' = max$   
**where**  $var\ v \bullet (Schema\ DFIG.Init';$   
 $\quad \mu\ X \bullet (((req \rightarrow (Schema\ DFIG.Out));$   
 $\quad \square (ret'?'x \rightarrow (Schema\ DFIG.Remove))))';$   
 $\quad (out'!(hd\ o\ v) \rightarrow Skip))$   
 $\quad \square (ret'?'x \rightarrow (Schema\ DFIG.Remove))))';$   
 $\quad (X))$

**definition**  $Sim\ where$

$Sim\ A = FIG\text{-}alphabet.make\ (DFIG\text{-}alphabet.v\ A)\ (DFIG\text{-}alphabet.x\ A)$   
 $(\{a. a < (DFIG\text{-}alphabet.max\ A) \wedge a \notin (DFIG\text{-}alphabet.retidS\ A)\})$

## 17.2 Simulation proofs

For the simulation proof, we give first proofs for simulation over the schema expressions. The proof is then given over the main actions of the processes.

**lemma**  $SimInit: (Schema\ FIG.Init) \preceq_{Sim} (Schema\ DFIG.Init)$

**apply**  $(auto\ simp: Sim\text{-}def\ Pre\text{-}def\ design\text{-}defs\ DFIG.Init\text{-}def\ FIG.Init\text{-}def\ rp\text{-}defs\ alpha\text{-}rp\text{-}defs$

$DFIG\text{-}alphabet.defs\ FIG\text{-}alphabet.defs\ intro!::\ Schema\text{-}Sim)$

**apply**  $(rule\text{-}tac\ x=A(|max := 0, retidS := \{\}|) \mathbf{in}\ exI, simp)$

**done**

**lemma**  $SimOut: (Schema\ FIG.Out) \preceq_{Sim} (Schema\ DFIG.Out)$

**apply**  $(rule\ Schema\text{-}Sim)$

**apply**  $(auto\ simp: Pre\text{-}def\ DFIG\text{-}alphabet.defs\ FIG\text{-}alphabet.defs\ alpha\text{-}rp\text{-}defs\ Sim\text{-}def\ FIG.Out\text{-}def\ DFIG.Out\text{-}def)$

**apply**  $(rule\text{-}tac\ x=a(|v := [DFIG\text{-}alphabet.max\ a], max := (Suc\ (DFIG\text{-}alphabet.max\ a)),$

$retidS := retidS\ a - \{DFIG\text{-}alphabet.max\ a\}|) \mathbf{in}\ exI, simp)$

**apply**  $(rule\text{-}tac\ x=a(|v := [DFIG\text{-}alphabet.max\ a], max := (Suc\ (DFIG\text{-}alphabet.max$

```

a)),
      retidS := retidS a - {DFIG-alphabet.max a}) in exI, simp)
done

lemma SimRemove: (Schema FIG.Remove)  $\preceq$ Sim (Schema DFIG.Remove)
  apply (rule Schema-Sim)
  apply (auto simp: Pre-def DFIG-alphabet.defs FIG-alphabet.defs alpha-rp.defs
    Sim-def)
  apply (clarsimp simp add: DFIG.Remove-def FIG.Remove-def)
  apply (rule-tac x=a(retidS := insert (hd (DFIG-alphabet.x a)) (retidS a)) in
    exI, simp)
  apply (auto simp add: DFIG.Remove-def FIG.Remove-def)
done

lemma FIG.FIG  $\preceq$ Sim DFIG.DFIG
by (auto simp: DFIG.DFIG-def FIG.FIG-def mono-Seq SimRemove SimOut Sim-
  mInit Sim-def FIG-alphabet.defs
  intro!: Var-Sim Seq-Sim Mu-Sim Det-Sim Write0-Sim Write-Sim Read-Sim
  Skip-Sim)

end

```

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