

Category Theory

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May 26, 2024

Abstract

This article presents a development of Category Theory in Isabelle. A Category is defined using records and locales in Isabelle/HOL. Functors and Natural Transformations are also defined. The main result that has been formalized is that the Yoneda functor is a full and faithful embedding. We also formalize the completeness of many sorted monadic equational logic. Extensive use is made of the HOLZF theory in both cases. For an informal description see [1].

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1 Category

```
theory Category
imports HOL-Library.FuncSet
begin

record ('o,'m) Category =
  Obj :: 'o set (obj1 70)
  Mor :: 'm set (mor1 70)
  Dom :: 'm  $\Rightarrow$  'o (dom1 - [80] 70)
  Cod :: 'm  $\Rightarrow$  'o (cod1 - [80] 70)
```

$Id :: 'o \Rightarrow 'm$ (*id1* - [80] 75)
 $Comp :: 'm \Rightarrow 'm \Rightarrow 'm$ (**infixl** ;;1 70)

definition

$MapsTo :: ('o, 'm, 'a)$ *Category-scheme* $\Rightarrow 'm \Rightarrow 'o \Rightarrow 'o \Rightarrow bool$ (*- maps1 - to -* [60, 60, 60] 65) **where**
 $MapsTo\ CC\ f\ X\ Y \equiv f \in Mor\ CC \wedge Dom\ CC\ f = X \wedge Cod\ CC\ f = Y$

definition

$CompDefined :: ('o, 'm, 'a)$ *Category-scheme* $\Rightarrow 'm \Rightarrow 'm \Rightarrow bool$ (**infixl** $\approx_{>1}$ 65) **where**
 $CompDefined\ CC\ f\ g \equiv f \in Mor\ CC \wedge g \in Mor\ CC \wedge Cod\ CC\ f = Dom\ CC\ g$

locale *ExtCategory* =

fixes $C :: ('o, 'm, 'a)$ *Category-scheme* (**structure**)
assumes *CdomExt*: $(Dom\ C) \in extensional\ (Mor\ C)$
and *CcodExt*: $(Cod\ C) \in extensional\ (Mor\ C)$
and *CidExt*: $(Id\ C) \in extensional\ (Obj\ C)$
and *CcompExt*: $(case-prod\ (Comp\ C)) \in extensional\ (\{(f,g) \mid f\ g \cdot f \approx_{>}\ g\})$

locale *Category* = *ExtCategory* +

assumes *Cdom* : $f \in mor \implies dom\ f \in obj$
and *Ccod* : $f \in mor \implies cod\ f \in obj$
and *Cidm* [*dest*]: $X \in obj \implies (id\ X)$ *maps X to X*
and *Cidl* : $f \in mor \implies id\ (dom\ f) ;; f = f$
and *Cidr* : $f \in mor \implies f ;; id\ (cod\ f) = f$
and *Cassoc* : $\llbracket f \approx_{>}\ g ; g \approx_{>}\ h \rrbracket \implies (f ;; g) ;; h = f ;; (g ;; h)$
and *Ccompt* : $\llbracket f\ maps\ X\ to\ Y ; g\ maps\ Y\ to\ Z \rrbracket \implies (f ;; g)\ maps\ X\ to\ Z$

definition

$MakeCat :: ('o, 'm, 'a)$ *Category-scheme* $\Rightarrow ('o, 'm, 'a)$ *Category-scheme* **where**
 $MakeCat\ C \equiv \langle$
 $Obj = Obj\ C,$
 $Mor = Mor\ C,$
 $Dom = restrict\ (Dom\ C)\ (Mor\ C),$
 $Cod = restrict\ (Cod\ C)\ (Mor\ C),$
 $Id = restrict\ (Id\ C)\ (Obj\ C),$
 $Comp = \lambda\ f\ g . (restrict\ (case-prod\ (Comp\ C))\ (\{(f,g) \mid f\ g \cdot f \approx_{>C}\ g\}))$
 $(f,g),$
 $\dots = Category.more\ C$
 \rangle

lemma *MakeCatMapsTo*: $f\ maps_C\ X\ to\ Y \implies f\ maps_{MakeCat\ C}\ X\ to\ Y$
<proof>

lemma *MakeCatComp*: $f \approx_{>C} g \implies f ;;_{MakeCat\ C} g = f ;;_C g$
<proof>

lemma *MakeCatId*: $X \in obj_C \implies id_C\ X = id_{MakeCat\ C}\ X$

$\langle proof \rangle$

lemma *MakeCatObj*: $obj_{MakeCat\ C} = obj_C$
 $\langle proof \rangle$

lemma *MakeCatMor*: $mor_{MakeCat\ C} = mor_C$
 $\langle proof \rangle$

lemma *MakeCatDom*: $f \in mor_C \implies dom_C f = dom_{MakeCat\ C} f$
 $\langle proof \rangle$

lemma *MakeCatCod*: $f \in mor_C \implies cod_C f = cod_{MakeCat\ C} f$
 $\langle proof \rangle$

lemma *MakeCatCompDef*: $f \approx_{> MakeCat\ C} g = f \approx_{> C} g$
 $\langle proof \rangle$

lemma *MakeCatComp2*: $f \approx_{> MakeCat\ C} g \implies f ;;_{MakeCat\ C} g = f ;;_C g$
 $\langle proof \rangle$

lemma *ExtCategoryMakeCat*: $ExtCategory (MakeCat\ C)$
 $\langle proof \rangle$

lemma *MakeCat*: $Category\text{-}axioms\ C \implies Category (MakeCat\ C)$
 $\langle proof \rangle$

lemma *MapsToE[elim]*: $\llbracket f\ maps_C\ X\ to\ Y ; \llbracket f \in mor_C ; dom_C f = X ; cod_C f = Y \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *MapsToI[intro]*: $\llbracket f \in mor_C ; dom_C f = X ; cod_C f = Y \rrbracket \implies f\ maps_C\ X\ to\ Y$
 $\langle proof \rangle$

lemma *CompDefinedE[elim]*: $\llbracket f \approx_{> C} g ; \llbracket f \in mor_C ; g \in mor_C ; cod_C f = dom_C g \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *CompDefinedI[intro]*: $\llbracket f \in mor_C ; g \in mor_C ; cod_C f = dom_C g \rrbracket \implies f \approx_{> C} g$
 $\langle proof \rangle$

lemma (in *Category*) *MapsToCompI*: **assumes** $f \approx_{> C} g$ **shows** $(f ;;_C g)$ maps $(dom\ f)$ to $(cod\ g)$
 $\langle proof \rangle$

lemma *MapsToCompDef*:

assumes $f \text{ maps}_C X \text{ to } Y$ **and** $g \text{ maps}_C Y \text{ to } Z$
shows $f \approx_C g$
 <proof>

lemma (in *Category*) *MapsToMorDomCod*:
assumes $f \approx g$
shows $f ;; g \in \text{mor}$ **and** $\text{dom } (f ;; g) = \text{dom } f$ **and** $\text{cod } (f ;; g) = \text{cod } g$
 <proof>

lemma (in *Category*) *MapsToObj*:
assumes $f \text{ maps } X \text{ to } Y$
shows $X \in \text{obj}$ **and** $Y \in \text{obj}$
 <proof>

lemma (in *Category*) *IdInj*:
assumes $X \in \text{obj}$ **and** $Y \in \text{obj}$ **and** $\text{id } X = \text{id } Y$
shows $X = Y$
 <proof>

lemma (in *Category*) *CompDefComp*:
assumes $f \approx g$ **and** $g \approx h$
shows $f \approx (g ;; h)$ **and** $(f ;; g) \approx h$
 <proof>

lemma (in *Category*) *CatIdInMor*: $X \in \text{obj} \implies \text{id } X \in \text{mor}$
 <proof>

lemma (in *Category*) *MapsToId*: **assumes** $X \in \text{obj}$ **shows** $\text{id } X \approx \text{id } X$
 <proof>

lemmas (in *Category*) *Simps = Cdom Ccod Cidm Cidl Cidr MapsToCompI IdInj MapsToId*

lemma (in *Category*) *LeftRightInvUniq*:
assumes $0: h \approx f$ **and** $z: f \approx g$
assumes $1: f ;; g = \text{id } (\text{dom } f)$
and $2: h ;; f = \text{id } (\text{cod } f)$
shows $h = g$
 <proof>

lemma (in *Category*) *CatIdDomCod*:
assumes $X \in \text{obj}$
shows $\text{dom } (\text{id } X) = X$ **and** $\text{cod } (\text{id } X) = X$
 <proof>

lemma (in *Category*) *CatIdCompId*:
assumes $X \in \text{obj}$
shows $\text{id } X ;; \text{id } X = \text{id } X$
 <proof>

lemma (in *Category*) *CatIdUniqR*:
assumes *iota*: ι maps X to X
and *rid*: $\forall f . f \approx_{>} \iota \longrightarrow f ; ; \iota = f$
shows $id\ X = \iota$
 $\langle proof \rangle$

definition
inverse-rel :: $(\prime o, \prime m, \prime a)$ *Category-scheme* $\Rightarrow \prime m \Rightarrow \prime m \Rightarrow bool$ (*cin*_{v1} - - 60) **where**
inverse-rel $C\ f\ g \equiv (f \approx_{>}_C g) \wedge (f ; ;_C g) = (id_C (dom_C f)) \wedge (g ; ;_C f) = (id_C (cod_C f))$

definition
isomorphism :: $(\prime o, \prime m, \prime a)$ *Category-scheme* $\Rightarrow \prime m \Rightarrow bool$ (*ciso*₁ - [70]) **where**
isomorphism $C\ f \equiv \exists g . inverse-rel\ C\ f\ g$

lemma (in *Category*) *Inverse-relI*: $\llbracket f \approx_{>} g ; f ; ; g = id (dom\ f) ; g ; ; f = id (cod\ f) \rrbracket \Longrightarrow (cin\ f\ g)$
 $\langle proof \rangle$

lemma (in *Category*) *Inverse-relE[elim]*: $\llbracket cin\ f\ g ; \llbracket f \approx_{>} g ; f ; ; g = id (dom\ f) ; g ; ; f = id (cod\ f) \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

lemma (in *Category*) *Inverse-relSym*:
assumes *cin* $f\ g$
shows *cin* $g\ f$
 $\langle proof \rangle$

lemma (in *Category*) *InverseUnique*:
assumes 1: *cin* $f\ g$
and 2: *cin* $f\ h$
shows $g = h$
 $\langle proof \rangle$

lemma (in *Category*) *InvId*: **assumes** $X \in obj$ **shows** $(cin\ (id\ X)\ (id\ X))$
 $\langle proof \rangle$

definition
inverse :: $(\prime o, \prime m, \prime a)$ *Category-scheme* $\Rightarrow \prime m \Rightarrow \prime m$ (*Cin*_{v1} - [70]) **where**
inverse $C\ f \equiv THE\ g . inverse-rel\ C\ f\ g$

lemma (in *Category*) *inv2Inv*:
assumes *cin* $f\ g$
shows *ciso* f **and** *Cin* $f = g$
 $\langle proof \rangle$

lemma (in *Category*) *iso2Inv*:

assumes *ciso f*

shows $\text{cinv } f \text{ (Cinv } f)$

<proof>

lemma (in *Category*) *InvInv*:

assumes *ciso f*

shows $\text{ciso (Cinv } f) \text{ and (Cinv (Cinv } f)) = f$

<proof>

lemma (in *Category*) *InvIsMor*: $(\text{cinv } f \text{ } g) \implies (f \in \text{mor} \wedge g \in \text{mor})$

<proof>

lemma (in *Category*) *IsoIsMor*: $\text{ciso } f \implies f \in \text{mor}$

<proof>

lemma (in *Category*) *InvDomCod*:

assumes *ciso f*

shows $\text{dom (Cinv } f) = \text{cod } f \text{ and cod (Cinv } f) = \text{dom } f \text{ and Cinv } f \in \text{mor}$

<proof>

lemma (in *Category*) *IsoCompInv*: $\text{ciso } f \implies f \approx > \text{Cinv } f$

<proof>

lemma (in *Category*) *InvCompIso*: $\text{ciso } f \implies \text{Cinv } f \approx > f$

<proof>

lemma (in *Category*) *IsoInvId1* : $\text{ciso } f \implies (\text{Cinv } f) ;; f = (\text{id (cod } f))$

<proof>

lemma (in *Category*) *IsoInvId2* : $\text{ciso } f \implies f ;; (\text{Cinv } f) = (\text{id (dom } f))$

<proof>

lemma (in *Category*) *IsoCompDef*:

assumes $1: f \approx > g \text{ and } 2: \text{ciso } f \text{ and } 3: \text{ciso } g$

shows $(\text{Cinv } g) \approx > (\text{Cinv } f)$

<proof>

lemma (in *Category*) *IsoCompose*:

assumes $1: f \approx > g \text{ and } 2: \text{ciso } f \text{ and } 3: \text{ciso } g$

shows $\text{ciso } (f ;; g) \text{ and Cinv } (f ;; g) = (\text{Cinv } g) ;; (\text{Cinv } f)$

<proof>

definition *ObjIso* $C \ A \ B \equiv \exists k . (k \text{ maps}_C \ A \ \text{to } B) \wedge \text{ciso}_C \ k$

definition

UnitCategory :: $(\text{unit}, \text{unit}) \text{ Category where}$

UnitCategory = *MakeCat* {

Obj = $\{()\}$,

$Mor = \{()\}$,
 $Dom = (\lambda f.())$,
 $Cod = (\lambda f.())$,
 $Id = (\lambda f.())$,
 $Comp = (\lambda f g. ())$

⌋

lemma [simp]: *Category(UnitCategory)*
 ⟨proof⟩

definition

OppositeCategory :: ('o,'m,'a) *Category-scheme* ⇒ ('o,'m,'a) *Category-scheme*
 (*Op* - [65] 65) **where**

$OppositeCategory\ C \equiv ()$
 $Obj = Obj\ C$,
 $Mor = Mor\ C$,
 $Dom = Cod\ C$,
 $Cod = Dom\ C$,
 $Id = Id\ C$,
 $Comp = (\lambda f\ g.\ g ;;_C f)$,
 $\dots = Category.more\ C$

⌋

lemma *OpCatOpCat*: $Op\ (Op\ C) = C$
 ⟨proof⟩

lemma *OpCatCatAx*: *Category-axioms* $C \implies Category-axioms\ (Op\ C)$
 ⟨proof⟩

lemma *OpCatCatExt*: *ExtCategory* $C \implies ExtCategory\ (Op\ C)$
 ⟨proof⟩

lemma *OpCatCat*: *Category* $C \implies Category\ (Op\ C)$
 ⟨proof⟩

lemma *MapsToOp*: $f\ maps_C\ X\ to\ Y \implies f\ maps_{Op\ C}\ Y\ to\ X$
 ⟨proof⟩

lemma *MapsToOpOp*: $f\ maps_{Op\ C}\ X\ to\ Y \implies f\ maps_C\ Y\ to\ X$
 ⟨proof⟩

lemma *CompDefOp*: $f \approx>_C g \implies g \approx>_{Op\ C} f$
 ⟨proof⟩

end

2 Universe

```
theory Universe
imports HOL-ZF.MainZF
begin
```

```
locale Universe =
```

```
  fixes U :: ZF (structure)
  assumes Uempty : Elem Empty U
  and Usubset : Elem u U  $\implies$  subset u U
  and Usingle : Elem u U  $\implies$  Elem (Singleton u) U
  and Upow : Elem u U  $\implies$  Elem (Power u) U
  and Uim :  $\llbracket$ Elem I U ; Elem u (Fun I U)  $\rrbracket \implies$  Elem (Sum (Range u)) U
  and Unat : Elem Nat U
```

```
lemma ElemLambdaFun :  $(\bigwedge x . \text{Elem } x \ u \implies \text{Elem } (f \ x) \ U) \implies \text{Elem } (\text{Lambda } u \ f) \ (\text{Fun } u \ U)$ 
 $\langle$ proof $\rangle$ 
```

```
lemma RangeRepl: Range (Lambda A f) = Repl A f
 $\langle$ proof $\rangle$ 
```

```
lemma (in Universe) Utrans:  $\llbracket$ Elem a U ; Elem b a $\rrbracket \implies \text{Elem } b \ U$ 
 $\langle$ proof $\rangle$ 
```

```
lemma ReplId: Repl A id = A
 $\langle$ proof $\rangle$ 
```

```
lemma (in Universe) UniverseSum : Elem u U  $\implies$  Elem (Sum u) U
 $\langle$ proof $\rangle$ 
```

```
lemma (in Universe) UniverseUnion:
  assumes Elem u U and Elem v U
  shows Elem (union u v) U
 $\langle$ proof $\rangle$ 
```

```
lemma UPairSingleton: Upair u v = union (Singleton u) (Singleton v)
 $\langle$ proof $\rangle$ 
```

```
lemma (in Universe) UniverseUPair:  $\llbracket$ Elem u U ; Elem v U $\rrbracket \implies \text{Elem } (\text{Upair } u \ v) \ U$ 
 $\langle$ proof $\rangle$ 
```

```
lemma (in Universe) UniversePair:  $\llbracket$ Elem u U ; Elem v U $\rrbracket \implies \text{Elem } (\text{Opair } u \ v) \ U$ 
 $\langle$ proof $\rangle$ 
```

```
lemma (in Universe)  $\llbracket$ Elem u U ; Elem v U $\rrbracket \implies \text{Elem } (\text{Sum } (\text{Repl } u \ (\%x \ .$ 
```


Singleton (Opair x v))) U
<proof>

lemma *SumRepl: Sum (Repl A (Singleton o f)) = Repl A f*
<proof>

lemma (in *Universe*) *UniverseProd:*
 assumes *Elem u U and Elem v U*
 shows *Elem (CartProd u v) U*
<proof>

lemma (in *Universe*) *UniverseSubset: [[subset u v ; Elem v U]] \implies Elem u U*
<proof>

definition

Product :: ZF \Rightarrow ZF where
Product U = Sep (Fun U (Sum U)) (%f . (\forall u . Elem u U \longrightarrow Elem (app f u)
u))

lemma *SepSubset: subset (Sep A p) A*
<proof>

lemma *SubsetSmall:*
 assumes *subset A' A and subset A B* **shows** *subset A' B*
<proof>

lemma *SubsetTrans:*
 assumes (*subset a b*) **and** (*subset b c*)
 shows (*subset a c*)
<proof>

lemma *SubsetSepTrans: subset A B \implies subset (Sep A p) B*
<proof>

lemma *ProductSubset: subset (Product u) (Power (CartProd u (Sum u)))*
<proof>

lemma (in *Universe*) *UniverseProduct: Elem u U \implies Elem (Product u) U*
<proof>

lemma *ZFImageRangeExplode: x \in range explode \implies f ' x \in range explode*
<proof>

definition *subsetFn X Y \equiv λ x . (if x \in Y then x else SOME y . y \in Y)*

lemma *subsetFn: [[Y \neq {} ; Y \subseteq X]] \implies (subsetFn X Y) ' X = Y*
<proof>

lemma *ZFSubsetRangeExplode*: $\llbracket X \in \text{range explode} ; Y \subseteq X \rrbracket \implies Y \in \text{range explode}$
 <proof>

lemma *ZFUnionRangeExplode*:
 assumes $\bigwedge x . x \in A \implies f x \in \text{range explode}$ and $A \in \text{range explode}$
 shows $(\bigcup x \in A . f x) \in \text{range explode}$
 <proof>

lemma *ZFUnionNatInRangeExplode*: $(\bigwedge (n :: \text{nat}) . f n \in \text{range explode}) \implies (\bigcup n . f n) \in \text{range explode}$
 <proof>

lemma *ZFProdFnInRangeExplode*: $\llbracket A \in \text{range explode} ; B \in \text{range explode} \rrbracket \implies f '(A \times B) \in \text{range explode}$
 <proof>

lemma *ZFUnionInRangeExplode*: $\llbracket A \in \text{range explode} ; B \in \text{range explode} \rrbracket \implies A \cup B \in \text{range explode}$
 <proof>

lemma *SingletonInRangeExplode*: $\{x\} \in \text{range explode}$
 <proof>

definition *ZFTriple* :: $[ZF, ZF, ZF] \Rightarrow ZF$ where

$ZFTriple a b c = \text{Opair} (\text{Opair} a b) c$

definition *ZFTFst* = $\text{Fst} \circ \text{Fst}$

definition *ZFTSnd* = $\text{Snd} \circ \text{Fst}$

definition *ZFTThd* = Snd

lemma *ZFTFst*: $ZFTFst (ZFTriple a b c) = a$
 <proof>

lemma *ZFTSnd*: $ZFTSnd (ZFTriple a b c) = b$
 <proof>

lemma *ZFTThd*: $ZFTThd (ZFTriple a b c) = c$
 <proof>

lemma *ZFTriple*: $ZFTriple a b c = ZFTriple a' b' c' \implies (a = a' \wedge b = b' \wedge c = c')$
 <proof>

lemma *ZFSucZero*: $\text{Nat2nat} (\text{SucNat Empty}) = 1$
 <proof>

lemma *ZFZero*: $\text{Nat2nat Empty} = 0$
 <proof>

lemma *ZFSucNeq0*: $\text{Elem } x \text{ Nat} \implies \text{Nat2nat} (\text{SucNat } x) \neq 0$

<proof>

end

3 Monadic Equational Theory

theory *MonadicEquationalTheory*

imports *Category Universe*

begin

record (t, f) *Signature* =
 BaseTypes :: t set (*Ty*)
 BaseFunctions :: f set (*Fn*)
 SigDom :: $f \Rightarrow t$ (*sDom*)
 SigCod :: $f \Rightarrow t$ (*sCod*)

locale *Signature* =
 fixes $S :: (t, f)$ *Signature* (**structure**)
 assumes *Domt*: $f \in \text{Fn} \implies \text{sDom } f \in \text{Ty}$
 and *Codt*: $f \in \text{Fn} \implies \text{sCod } f \in \text{Ty}$

definition *funsignture-abbrev* ($- \in \text{Sig} - : - \rightarrow -$) **where**
 $f \in \text{Sig } S : A \rightarrow B \equiv f \in (\text{BaseFunctions } S) \wedge A \in (\text{BaseTypes } S) \wedge B \in$
 $(\text{BaseTypes } S) \wedge$
 $(\text{SigDom } S f) = A \wedge (\text{SigCod } S f) = B \wedge \text{Signature } S$

lemma *funsignture-abbrevE[elim]*:
 $\llbracket f \in \text{Sig } S : A \rightarrow B ; \llbracket f \in (\text{BaseFunctions } S) ; A \in (\text{BaseTypes } S) ; B \in (\text{BaseTypes } S) ;$
 $(\text{SigDom } S f) = A ; (\text{SigCod } S f) = B ; \text{Signature } S \rrbracket \implies R \rrbracket$
 $\implies R$
 <proof>

datatype (t, f) *Expression* = *ExprVar* (Vx) | *ExprApp* f (t, f) *Expression* ($-$
 $E@ -$)

datatype (t, f) *Language* = *Type* t ($\vdash - \text{Type}$) | *Term* t (t, f) *Expression* t (Vx
 $: - \vdash - : -$) |
 $\text{Equation } t$ (t, f) *Expression* (t, f) *Expression* t ($Vx : - \vdash$
 $- \equiv - : -$)

inductive

WellDefined :: (t, f) *Signature* $\Rightarrow (t, f)$ *Language* $\Rightarrow \text{bool}$ (*Sig* $\triangleright -$) **where**
 WellDefinedTy: $A \in \text{BaseTypes } S \implies \text{Sig } S \triangleright \vdash A \text{ Type}$
 | *WellDefinedVar*: $\text{Sig } S \triangleright \vdash A \text{ Type} \implies \text{Sig } S \triangleright (Vx : A \vdash Vx : A)$
 | *WellDefinedFn*: $\llbracket \text{Sig } S \triangleright (Vx : A \vdash e : B) ; f \in \text{Sig } S : B \rightarrow C \rrbracket \implies \text{Sig } S \triangleright$
 $(Vx : A \vdash (f E@ e) : C)$
 | *WellDefinedEq*: $\llbracket \text{Sig } S \triangleright (Vx : A \vdash e1 : B) ; \text{Sig } S \triangleright (Vx : A \vdash e2 : B) \rrbracket \implies$
 $\text{Sig } S \triangleright (Vx : A \vdash e1 \equiv e2 : B)$

lemmas *WellDefined.intros* [*intro*]
inductive-cases *WellDefinedTyE* [*elim!*]: $Sig\ S \triangleright \vdash A\ Type$
inductive-cases *WellDefinedVarE* [*elim!*]: $Sig\ S \triangleright (Vx : A \vdash Vx : A)$
inductive-cases *WellDefinedFnE* [*elim!*]: $Sig\ S \triangleright (Vx : A \vdash (f\ E@ e) : C)$
inductive-cases *WellDefinedEqE* [*elim!*]: $Sig\ S \triangleright (Vx : A \vdash e1 \equiv e2 : B)$

lemma *SigId*: $Sig\ S \triangleright (Vx : A \vdash Vx : B) \implies A = B$
<proof>

lemma *SigTyId*: $Sig\ S \triangleright (Vx : A \vdash Vx : A) \implies A \in BaseTypes\ S$
<proof>

lemma (*in Signature*) *SigTy*: $\bigwedge B . Sig\ S \triangleright (Vx : A \vdash e : B) \implies (A \in BaseTypes\ S \wedge B \in BaseTypes\ S)$
<proof>

datatype (*'o, 'm*) *IType* = *IObj 'o* | *IMor 'm* | *IBool bool*

record (*'t, 'f, 'o, 'm*) *Interpretation* =
ISignature :: (*'t, 'f*) *Signature* (*iS1*)
ICategory :: (*'o, 'm*) *Category* (*iC1*)
ITypes :: *'t* \Rightarrow *'o* (*Ty[-]1*)
IFunctions :: *'f* \Rightarrow *'m* (*Fn[-]1*)

locale *Interpretation* =
fixes *I* :: (*'t, 'f, 'o, 'm*) *Interpretation* (**structure**)
assumes *ICat*: *Category iC*
and *ISig*: *Signature iS*
and *It* : $A \in BaseTypes\ iS \implies Ty[A] \in Obj\ iC$
and *If* : $(f \in Sig\ iS : A \rightarrow B) \implies Fn[f]\ maps_{iC}\ Ty[A]\ to\ Ty[B]$

inductive *Interp* (*L[-]1* \rightarrow $-$) **where**
InterpTy: $Sig\ iS_I \triangleright \vdash A\ Type \implies$
 $L[\vdash A\ Type]_I \rightarrow (IObj\ Ty[A]_I)$
| *InterpVar*: $L[\vdash A\ Type]_I \rightarrow (IObj\ c) \implies$
 $L[Vx : A \vdash Vx : A]_I \rightarrow (IMor\ (Id\ iC_I\ c))$
| *InterpFn*: $[Sig\ iS_I \triangleright Vx : A \vdash e : B ;$
 $f \in Sig\ iS_I : B \rightarrow C ;$
 $L[Vx : A \vdash e : B]_I \rightarrow (IMor\ g) \implies$
 $L[Vx : A \vdash (f\ E@ e) : C]_I \rightarrow (IMor\ (g \;;_{ICategory\ I}\ Fn[f]_I))$
| *InterpEq*: $[L[Vx : A \vdash e1 : B]_I \rightarrow (IMor\ g1) ;$
 $L[Vx : A \vdash e2 : B]_I \rightarrow (IMor\ g2) \implies$
 $L[Vx : A \vdash e1 \equiv e2 : B]_I \rightarrow (IBool\ (g1 = g2))$

lemmas *Interp.intros* [*intro*]
inductive-cases *InterpTyE* [*elim!*]: $L[\vdash A\ Type]_I \rightarrow i$
inductive-cases *InterpVarE* [*elim!*]: $L[Vx : A \vdash Vx : A]_I \rightarrow i$
inductive-cases *InterpFnE* [*elim!*]: $L[Vx : A \vdash (f\ E@ e) : C]_I \rightarrow i$

inductive-cases *InterpEqE* [elim!]: $L[Vx : A \vdash e1 \equiv e2 : B]_I \rightarrow i$

lemma (in *Interpretation*) *InterpEqEq*[intro]:

$\llbracket L[Vx : A \vdash e1 : B] \rightarrow (IMor\ g) ; L[Vx : A \vdash e2 : B] \rightarrow (IMor\ g) \rrbracket \Longrightarrow L[Vx : A \vdash e1 \equiv e2 : B] \rightarrow (IBool\ True)$
 $\langle proof \rangle$

lemma (in *Interpretation*) *InterpExprWellDefined*:

$L[Vx : A \vdash e : B] \rightarrow i \Longrightarrow Sig\ iS \triangleright Vx : A \vdash e : B$
 $\langle proof \rangle$

lemma (in *Interpretation*) *WellDefined*: $L[\varphi] \rightarrow i \Longrightarrow Sig\ iS \triangleright \varphi$

$\langle proof \rangle$

lemma (in *Interpretation*) *Bool*: $L[\varphi] \rightarrow (IBool\ i) \Longrightarrow \exists A\ B\ e\ d . \varphi = (Vx : A \vdash e \equiv d : B)$

$\langle proof \rangle$

lemma (in *Interpretation*) *FunctionalExpr*:

$\bigwedge i\ j\ A\ B . \llbracket L[Vx : A \vdash e : B] \rightarrow i ; L[Vx : A \vdash e : B] \rightarrow j \rrbracket \Longrightarrow i = j$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Functional*: $\llbracket L[\varphi] \rightarrow i1 ; L[\varphi] \rightarrow i2 \rrbracket \Longrightarrow i1 = i2$

$\langle proof \rangle$

lemma (in *Interpretation*) *MorphismsPreserved*:

$\bigwedge B\ i . L[Vx : A \vdash e : B] \rightarrow i \Longrightarrow \exists g . i = (IMor\ g) \wedge (g\ maps_{iC}\ Ty[A]\ to\ Ty[B])$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Expr2Mor*: $L[Vx : A \vdash e : B] \rightarrow (IMor\ g) \Longrightarrow (g\ maps_{iC}\ Ty[A]\ to\ Ty[B])$

$\langle proof \rangle$

lemma (in *Interpretation*) *WellDefinedExprInterp*: $\bigwedge B . (Sig\ iS \triangleright Vx : A \vdash e : B) \Longrightarrow (\exists i . L[Vx : A \vdash e : B] \rightarrow i)$

$\langle proof \rangle$

lemma (in *Interpretation*) *Sig2Mor*: **assumes** $(Sig\ iS \triangleright Vx : A \vdash e : B)$ **shows** $\exists g . L[Vx : A \vdash e : B] \rightarrow (IMor\ g)$

$\langle proof \rangle$

record (*t,f*) *Axioms* =

aAxioms :: (*t,f*) *Language set*
aSignature :: (*t,f*) *Signature (aS1)*

locale *Axioms* =

fixes *Ax* :: (*t,f*) *Axioms (structure)*
assumes *AxT*: $(aAxioms\ Ax) \subseteq \{(Vx : A \vdash e1 \equiv e2 : B) \mid A\ B\ e1\ e2 . Sig\ iS \triangleright Vx : A \vdash e1 \equiv e2 : B\}$

$(aSignature\ Ax) \triangleright (Vx : A \vdash e1 \equiv e2 : B)\}$
assumes $AxSig: Signature\ (aSignature\ Ax)$

primrec $Subst :: ('t,'f)\ Expression \Rightarrow ('t,'f)\ Expression \Rightarrow ('t,'f)\ Expression\ (sub$
 $- in - [81,81]\ 81)$ **where**
 $(sub\ e\ in\ Vx) = e \mid sub\ e\ in\ (f\ E@ d) = (f\ E@ (sub\ e\ in\ d))$

lemma $SubstXinE: (sub\ Vx\ in\ e) = e$
 $\langle proof \rangle$

lemma $SubstAssoc: sub\ a\ in\ (sub\ b\ in\ c) = sub\ (sub\ a\ in\ b)\ in\ c$
 $\langle proof \rangle$

lemma $SubstWellDefined: \bigwedge C . \llbracket Sig\ S \triangleright (Vx : A \vdash e : B); Sig\ S \triangleright (Vx : B \vdash d$
 $: C) \rrbracket$
 $\implies Sig\ S \triangleright (Vx : A \vdash (sub\ e\ in\ d) : C)$
 $\langle proof \rangle$

inductive-set (in Axioms) Theory where

$Ax: A \in (aAxioms\ Ax) \implies A \in Theory$
 $\mid Refl: Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e : B) \implies (Vx : A \vdash e \equiv e : B) \in Theory$
 $\mid Symm: (Vx : A \vdash e1 \equiv e2 : B) \in Theory \implies (Vx : A \vdash e2 \equiv e1 : B) \in Theory$
 $\mid Trans: \llbracket (Vx : A \vdash e1 \equiv e2 : B) \in Theory ; (Vx : A \vdash e2 \equiv e3 : B) \in Theory \rrbracket$
 \implies
 $(Vx : A \vdash e1 \equiv e3 : B) \in Theory$
 $\mid Congr: \llbracket (Vx : A \vdash e1 \equiv e2 : B) \in Theory ; f \in Sig\ (aSignature\ Ax) : B \rightarrow C \rrbracket$
 \implies
 $(Vx : A \vdash (f\ E@ e1) \equiv (f\ E@ e2) : C) \in Theory$
 $\mid Subst: \llbracket Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e1 : B) ; (Vx : B \vdash e2 \equiv e3 : C) \in$
 $Theory \rrbracket \implies$
 $(Vx : A \vdash (sub\ e1\ in\ e2) \equiv (sub\ e1\ in\ e3) : C) \in Theory$

lemma (in Axioms) Equiv2WellDefined: $\varphi \in Theory \implies Sig\ aS \triangleright \varphi$
 $\langle proof \rangle$

lemma (in Axioms) Subst':

$\bigwedge C . \llbracket Sig\ aS \triangleright Vx : B \vdash d : C ; (Vx : A \vdash e1 \equiv e2 : B) \in Theory \rrbracket \implies$
 $(Vx : A \vdash (sub\ e1\ in\ d) \equiv (sub\ e2\ in\ d) : C) \in Theory$
 $\langle proof \rangle$

locale Model = Interpretation I + Axioms Ax

for $I :: ('t,'f,'o,'m)\ Interpretation\ (\mathbf{structure})$

and $Ax :: ('t,'f)\ Axioms +$

assumes $AxSound: \varphi \in (aAxioms\ Ax) \implies L\llbracket \varphi \rrbracket \rightarrow (IBool\ True)$

and $Seq[simp]: (aSignature\ Ax) = iS$

lemma (in Interpretation) Equiv:

assumes $L\llbracket Vx : A \vdash e1 \equiv e2 : B \rrbracket \rightarrow (IBool\ True)$

shows $\exists g . (L[Vx : A \vdash e1 : B] \rightarrow (IMor\ g)) \wedge (L[Vx : A \vdash e2 : B] \rightarrow (IMor\ g))$
 $\langle proof \rangle$

lemma (in *Interpretation*) *SubstComp*: $\bigwedge h\ C . [(L[Vx : A \vdash e : B] \rightarrow (IMor\ h))] \implies$
 $(L[Vx : A \vdash (sub\ e\ in\ d) : C] \rightarrow (IMor\ (g\ ;;_{iC}\ h)))$
 $\langle proof \rangle$

lemma (in *Model*) *Sound*: $\varphi \in Theory \implies L[\varphi] \rightarrow (IBool\ True)$
 $\langle proof \rangle$

record (*t, f*) *TermEquivCIT* =
TDomain :: *t*
TExprSet :: (*t, f*) *Expression set*
TCodomain :: *t*

locale *ZFAxioms* = *Ax : Axioms Ax for Ax :: (ZF, ZF) Axioms (structure) +*
assumes fnzf: BaseFunctions (aSignature Ax) \in range explode

lemma [*simp*]: *ZFAxioms T \implies Axioms T* $\langle proof \rangle$

primrec *Expr2ZF* :: (*ZF, ZF*) *Expression \Rightarrow ZF where*
Expr2ZFX: Expr2ZF Vx = ZFTriple (nat2Nat 0) (nat2Nat 0) Empty
 $| Expr2ZFXe: Expr2ZF (f\ E@ e) = ZFTriple (SucNat (ZFTFst (Expr2ZF e)))$
 $(nat2Nat\ 1)$
 $(Opair\ f\ (Expr2ZF\ e))$

definition *ZF2Expr* :: *ZF \Rightarrow (ZF, ZF) Expression where*
ZF2Expr = inv Expr2ZF

definition *ZFDepth* = *Nat2nat o ZFTFst*

definition *ZFType* = *Nat2nat o ZFTSnd*

definition *ZFData* = *ZFTThd*

lemma *Expr2ZFType0*: *ZFType (Expr2ZF e) = 0 \implies e = Vx*
 $\langle proof \rangle$

lemma *ZFDepthInNat*: *Elem (ZFTFst (Expr2ZF e)) Nat*
 $\langle proof \rangle$

lemma *Expr2ZFType1*: *ZFType (Expr2ZF e) = 1 \implies*
 $\exists f\ e' . e = (f\ E@ e') \wedge (Suc (ZFDepth (Expr2ZF e'))) = (ZFDepth (Expr2ZF e))$
 $\langle proof \rangle$

lemma *Expr2ZFDepth0*: *ZFDepth (Expr2ZF e) = 0 \implies ZFType (Expr2ZF e) = 0*

$\langle proof \rangle$

lemma *Expr2ZFDepthSuc*: $ZFDepth (Expr2ZF e) = Suc n \implies ZFType (Expr2ZF e) = 1$
 $\langle proof \rangle$

lemma *Expr2Data*: $ZFData (Expr2ZF (f E@ e)) = Opair f (Expr2ZF e)$
 $\langle proof \rangle$

lemma *Expr2ZFinj*: $inj Expr2ZF$
 $\langle proof \rangle$

definition *TermEquivClGen* $T A e B \equiv \{e' . (Vx : A \vdash e' \equiv e : B) \in Axioms.Theory T\}$

definition *TermEquivCl'* $T A e B \equiv (\ () \ TDomain = A , TExprSet = TermEquivClGen T A e B , TCodomain = B)$

definition *m2ZF* :: $(ZF, ZF) TermEquivClT \Rightarrow ZF$ **where**
 $m2ZF t \equiv ZFTriple (TDomain t) (implode (Expr2ZF '(TExprSet t))) (TCodomain t)$

definition *ZF2m* :: $(ZF, ZF) Axioms \Rightarrow ZF \Rightarrow (ZF, ZF) TermEquivClT$ **where**
 $ZF2m T \equiv inv-into \{TermEquivCl' T A e B \mid A e B . True\} m2ZF$

lemma *TDomain*: $TDomain (TermEquivCl' T A e B) = A$ $\langle proof \rangle$

lemma *TCodomain*: $TCodomain (TermEquivCl' T A e B) = B$ $\langle proof \rangle$

primrec *WellFormedToSet* :: $(ZF, ZF) Signature \Rightarrow nat \Rightarrow (ZF, ZF) Expression set$ **where**

$WFS0$: $WellFormedToSet S 0 = \{Vx\}$
 $| WFS$: $WellFormedToSet S (Suc n) = (WellFormedToSet S n) \cup \{f E@ e \mid f e . f \in BaseFunctions S \wedge e \in (WellFormedToSet S n)\}$

lemma *WellFormedToSetInRangeExplode*: $ZFAxioms T \implies (Expr2ZF '(WellFormedToSet aS_T n)) \in range explode$
 $\langle proof \rangle$

lemma *WellDefinedToWellFormedSet*: $\bigwedge B . (Sig S \triangleright (Vx : A \vdash e : B)) \implies \exists n . e \in WellFormedToSet S n$
 $\langle proof \rangle$

lemma *TermSetInSet*: $ZFAxioms T \implies Expr2ZF '(TermEquivClGen T A e B) \in range explode$
 $\langle proof \rangle$

lemma *m2ZFinj-on*: $ZFAxioms T \implies inj-on m2ZF \{TermEquivCl' T A e B \mid A e B . True\}$
 $\langle proof \rangle$

lemma $ZF2m$: $ZFAxioms\ T \implies ZF2m\ T\ (m2ZF\ (TermEquivCl'\ T\ A\ e\ B)) = (TermEquivCl'\ T\ A\ e\ B)$
 $\langle proof \rangle$

definition $TermEquivCl\ ([-, -, -]_1)$ **where** $[A, e, B]_T \equiv m2ZF\ (TermEquivCl'\ T\ A\ e\ B)$

definition $CLDomain\ T \equiv TDomain\ o\ ZF2m\ T$

definition $CLCodomain\ T \equiv TCodomain\ o\ ZF2m\ T$

definition $CanonicalComp\ T\ f\ g \equiv$

$THE\ h.\ \exists\ e\ e'.\ h = [CLDomain\ T\ f, sub\ e\ in\ e', CLCodomain\ T\ g]_T \wedge$
 $f = [CLDomain\ T\ f, e, CLCodomain\ T\ f]_T \wedge g = [CLDomain\ T\ g, e', CLCodomain\ T\ g]_T$

lemma $CLDomain$: $ZFAxioms\ T \implies CLDomain\ T\ [A, e, B]_T = A$ $\langle proof \rangle$

lemma $CLCodomain$: $ZFAxioms\ T \implies CLCodomain\ T\ [A, e, B]_T = B$ $\langle proof \rangle$

lemma $Equiv2Cl$: **assumes** $Axioms\ T$ **and** $(Vx : A \vdash e \equiv d : B) \in Axioms.Theory\ T$ **shows** $[A, e, B]_T = [A, d, B]_T$
 $\langle proof \rangle$

lemma $Cl2Equiv$:

assumes $axt : ZFAxioms\ T$ **and** $sa : Sig\ aS_T \triangleright (Vx : A \vdash e : B)$ **and** $cl : [A, e, B]_T = [A, d, B]_T$
shows $(Vx : A \vdash e \equiv d : B) \in Axioms.Theory\ T$
 $\langle proof \rangle$

lemma $CanonicalCompWellDefined$:

assumes $zaxt : ZFAxioms\ T$ **and** $Sig\ aS_T \triangleright (Vx : A \vdash d : B)$ **and** $Sig\ aS_T \triangleright (Vx : B \vdash d' : C)$
shows $CanonicalComp\ T\ [A, d, B]_T\ [B, d', C]_T = [A, sub\ d\ in\ d', C]_T$
 $\langle proof \rangle$

definition $CanonicalCat'\ T \equiv ()$

$Obj = BaseType\ (aS_T),$
 $Mor = \{[A, e, B]_T \mid A\ e\ B.\ Sig\ aS_T \triangleright (Vx : A \vdash e : B)\},$
 $Dom = CLDomain\ T,$
 $Cod = CLCodomain\ T,$
 $Id = (\lambda\ A.\ [A, Vx, A]_T),$
 $Comp = CanonicalComp\ T$
 \rangle

definition $CanonicalCat\ T \equiv MakeCat\ (CanonicalCat'\ T)$

lemma $CanonicalCat'MapsTo$:

assumes $f\ maps_{CanonicalCat'\ T}\ X\ to\ Y$ **and** $zx : ZFAxioms\ T$
shows $\exists\ ef.\ f = [X, ef, Y]_T \wedge Sig\ (aSignature\ T) \triangleright (Vx : X \vdash ef : Y)$
 $\langle proof \rangle$

lemma *CanonicalCatCat'*: $ZFAxioms\ T \implies Category\text{-}axioms\ (CanonicalCat'\ T)$
 ⟨proof⟩

lemma *CanonicalCatCat*: $ZFAxioms\ T \implies Category\ (CanonicalCat\ T)$
 ⟨proof⟩

definition *CanonicalInterpretation where*

CanonicalInterpretation $T \equiv \langle$
 ISignature = *aSignature* T ,
 ICategory = *CanonicalCat* T ,
 ITypes = $\lambda\ A.\ A$,
 IFunctions = $\lambda\ f.\ [SigDom\ (aSignature\ T)\ f,\ f\ E@ \ Vx,\ SigCod\ (aSignature\ T)$
 $f]_T$
 \rangle

abbreviation *CI* $T \equiv CanonicalInterpretation\ T$

lemma *CIObj*: $Obj\ (CanonicalCat\ T) = BaseType\ (aSignature\ T)$
 ⟨proof⟩

lemma *CIMor*: $ZFAxioms\ T \implies [A,e,B]_T \in Mor\ (CanonicalCat\ T) = Sig\ (aSignature\ T) \triangleright (Vx : A \vdash e : B)$
 ⟨proof⟩

lemma *CIDom*: $\llbracket ZFAxioms\ T ; [A,e,B]_T \in Mor(CanonicalCat\ T) \rrbracket \implies Dom\ (CanonicalCat\ T)\ [A,e,B]_T = A$
 ⟨proof⟩

lemma *CICod*: $\llbracket ZFAxioms\ T ; [A,e,B]_T \in Mor(CanonicalCat\ T) \rrbracket \implies Cod\ (CanonicalCat\ T)\ [A,e,B]_T = B$
 ⟨proof⟩

lemma *CIId*: $\llbracket A \in BaseType\ (aSignature\ T) \rrbracket \implies Id\ (CanonicalCat\ T)\ A = [A, Vx, A]_T$
 ⟨proof⟩

lemma *CIComp*:

assumes $ZFAxioms\ T$ **and** $Sig\ (aSignature\ T) \triangleright (Vx : A \vdash e : B)$ **and** $Sig\ (aSignature\ T) \triangleright (Vx : B \vdash d : C)$

shows $[A,e,B]_T \circ [B,d,C]_T = [A,sub\ e\ in\ d,C]_T$
 ⟨proof⟩

lemma *[simp]*: $ZFAxioms\ T \implies Category\ iC_{CI\ T}$ ⟨proof⟩

lemma *[simp]*: $ZFAxioms\ T \implies Signature\ iS_{CI\ T}$ ⟨proof⟩

lemma *CIInterpretation*: $ZFAxioms\ T \implies Interpretation\ (CI\ T)$
 ⟨proof⟩

lemma *CIInterp2Mor*: $ZFAxioms\ T \implies (\bigwedge B . Sig\ iS_{CI\ T} \triangleright (Vx : A \vdash e : B) \implies L[Vx : A \vdash e : B]_{CI\ T} \rightarrow (IMor\ [A, e, B]_T))$
 ⟨proof⟩

lemma *CIModel*: $ZFAxioms\ T \implies Model\ (CI\ T)\ T$
 ⟨proof⟩

lemma *CIComplete*: **assumes** $ZFAxioms\ T$ **and** $L[\varphi]_{CI\ T} \rightarrow (IBool\ True)$ **shows** $\varphi \in Axioms.Theory\ T$
 ⟨proof⟩

lemma *Complete*:
assumes $ZFAxioms\ T$
and $\bigwedge (I :: (ZF, ZF, ZF, ZF)\ Interpretation) . Model\ I\ T \implies (L[\varphi]_I \rightarrow (IBool\ True))$
shows $\varphi \in Axioms.Theory\ T$
 ⟨proof⟩

end

4 Functor

theory *Functors*
imports *Category*
begin

record $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor =$
 $CatDom :: ('o1, 'm1, 'a)\ Category\ scheme$
 $CatCod :: ('o2, 'm2, 'b)\ Category\ scheme$
 $MapM :: 'm1 \Rightarrow 'm2$

abbreviation

$FunctorMorApp :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'm1 \Rightarrow 'm2$ (**infixr** $\#\#$ 70) **where**
 $FunctorMorApp\ F\ m \equiv (MapM\ F)\ m$

definition

$MapO :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'o1 \Rightarrow 'o2$ **where**
 $MapO\ F\ X \equiv THE\ Y . Y \in Obj(CatCod\ F) \wedge F\ \#\# (Id\ (CatDom\ F)\ X) = Id\ (CatCod\ F)\ Y$

abbreviation

$FunctorObjApp :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'o1 \Rightarrow 'o2$ (**infixr** $\@\@$ 70) **where**
 $FunctorObjApp\ F\ X \equiv (MapO\ F)\ X$

locale *PreFunctor* =

fixes $F :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme$ (**structure**)
assumes $FunctorComp: f \approx >_{CatDom\ F} g \implies F\ \#\# (f :: CatDom\ F\ g) = (F\ \#\#$

$f) \text{ ;; } \text{CatCod } F (F \#\# g)$
and $\text{FunctorId}: X \in \text{obj}_{\text{CatDom } F} \implies \exists Y \in \text{obj}_{\text{CatCod } F} \cdot F \#\#$
 $(\text{id}_{\text{CatDom } F} X) = \text{id}_{\text{CatCod } F} Y$
and $\text{CatDom}[\text{simp}]: \text{Category}(\text{CatDom } F)$
and $\text{CatCod}[\text{simp}]: \text{Category}(\text{CatCod } F)$

locale $\text{FunctorM} = \text{PreFunctor} +$
assumes $\text{FunctorCompM}: f \text{ maps } \text{CatDom } F \text{ } X \text{ to } Y \implies (F \#\# f) \text{ maps } \text{CatCod } F$
 $(F \text{ @@ } X) \text{ to } (F \text{ @@ } Y)$

locale $\text{FunctorExt} =$
fixes $F \text{ :: } ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a) \text{ Functor-scheme (structure)}$
assumes $\text{FunctorMapExt}: (\text{MapM } F) \in \text{extensional } (\text{Mor } (\text{CatDom } F))$

locale $\text{Functor} = \text{FunctorM} + \text{FunctorExt}$

definition

$\text{MakeFtor} \text{ :: } ('o1, 'o2, 'm1, 'm2, 'a, 'b, 'r) \text{ Functor-scheme} \implies ('o1, 'o2, 'm1,$
 $'m2, 'a, 'b, 'r) \text{ Functor-scheme}$ **where**
 $\text{MakeFtor } F \equiv \langle$
 $\text{CatDom} = \text{CatDom } F,$
 $\text{CatCod} = \text{CatCod } F,$
 $\text{MapM} = \text{restrict } (\text{MapM } F) (\text{Mor } (\text{CatDom } F)),$
 $\dots = \text{Functor.more } F$
 \rangle

lemma $\text{PreFunctorFunctor}[\text{simp}]: \text{Functor } F \implies \text{PreFunctor } F$
 $\langle \text{proof} \rangle$

lemmas $\text{functor-simps} = \text{PreFunctor.FunctorComp } \text{PreFunctor.FunctorId}$

definition

$\text{functor-abbrev } (Ftor \text{ - : - } \longrightarrow \text{ - } [81])$ **where**
 $Ftor \text{ } F : A \longrightarrow B \equiv (\text{Functor } F) \wedge (\text{CatDom } F = A) \wedge (\text{CatCod } F = B)$

lemma $\text{functor-abbrevE}[\text{elim}]: \llbracket Ftor \text{ } F : A \longrightarrow B ; \llbracket (\text{Functor } F) ; (\text{CatDom } F =$
 $A) ; (\text{CatCod } F = B) \rrbracket \implies R \rrbracket \implies R$
 $\langle \text{proof} \rangle$

definition

$\text{functor-comp-def } (- \approx > ; ; - [81])$ **where**
 $\text{functor-comp-def } F \text{ } G \equiv (\text{Functor } F) \wedge (\text{Functor } G) \wedge (\text{CatDom } G = \text{CatCod}$
 $F)$

lemma $\text{functor-comp-def}[\text{elim}]: \llbracket F \approx > ; ; G ; \llbracket \text{Functor } F ; \text{Functor } G ; \text{CatDom}$
 $G = \text{CatCod } F \rrbracket \implies R \rrbracket \implies R$
 $\langle \text{proof} \rangle$

lemma **(in** Functor) FunctorMapsTo :

assumes $f \in \text{mor}_{\text{CatDom } F}$
shows $F \#\# f \text{ maps}_{\text{CatCod } F} (F \text{@@} (\text{dom}_{\text{CatDom } F} f)) \text{ to } (F \text{@@} (\text{cod}_{\text{CatDom } F} f))$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCodDom*:

assumes $f \in \text{mor}_{\text{CatDom } F}$
shows $\text{dom}_{\text{CatCod } F}(F \#\# f) = F \text{@@} (\text{dom}_{\text{CatDom } F} f)$ **and** $\text{cod}_{\text{CatCod } F}(F \#\# f) = F \text{@@} (\text{cod}_{\text{CatDom } F} f)$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCompPreserved*: $f \in \text{mor}_{\text{CatDom } F} \implies F \#\# f \in \text{mor}_{\text{CatCod } F}$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCompDef*:

assumes $f \approx_{\text{CatDom } F} g$ **shows** $(F \#\# f) \approx_{\text{CatCod } F} (F \#\# g)$
 $\langle \text{proof} \rangle$

lemma *FunctorComp*: $\llbracket \text{Ftor } F : A \longrightarrow B ; f \approx_{>A} g \rrbracket \implies F \#\# (f ;_{;A} g) = (F \#\# f) ;_{;B} (F \#\# g)$
 $\langle \text{proof} \rangle$

lemma *FunctorCompDef*: $\llbracket \text{Ftor } F : A \longrightarrow B ; f \approx_{>A} g \rrbracket \implies (F \#\# f) \approx_{>B} (F \#\# g)$
 $\langle \text{proof} \rangle$

lemma *FunctorMapsTo*:

assumes $\text{Ftor } F : A \longrightarrow B$ **and** $f \text{ maps}_A X \text{ to } Y$
shows $(F \#\# f) \text{ maps}_B (F \text{@@} X) \text{ to } (F \text{@@} Y)$
 $\langle \text{proof} \rangle$

lemma (in *PreFunctor*) *FunctorId2*:

assumes $X \in \text{obj}_{\text{CatDom } F}$
shows $F \text{@@} X \in \text{obj}_{\text{CatCod } F} \wedge F \#\# (\text{id}_{\text{CatDom } F} X) = \text{id}_{\text{CatCod } F} (F \text{@@} X)$
 $\langle \text{proof} \rangle$

lemma *FunctorId*:

assumes $\text{Ftor } F : C \longrightarrow D$ **and** $X \in \text{Obj } C$
shows $F \#\# (\text{Id } C X) = \text{Id } D (F \text{@@} X)$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *DomFunctor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{dom}_{\text{CatCod } F} (F \#\# f) = F \text{@@} (\text{dom}_{\text{CatDom } F} f)$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *CodFunctor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{cod}_{\text{CatCod } F} (F \#\# f) = F \text{@@} (\text{cod}_{\text{CatDom } F} f)$

$\langle proof \rangle$

lemma (in *Functor*) *FunctorId3Dom*:

assumes $f \in mor_{CatDom\ F}$

shows $F \## (id_{CatDom\ F} (dom_{CatDom\ F} f)) = id_{CatCod\ F} (dom_{CatCod\ F} (F \## f))$

$\langle proof \rangle$

lemma (in *Functor*) *FunctorId3Cod*:

assumes $f \in mor_{CatDom\ F}$

shows $F \## (id_{CatDom\ F} (cod_{CatDom\ F} f)) = id_{CatCod\ F} (cod_{CatCod\ F} (F \## f))$

$\langle proof \rangle$

lemma (in *PreFunctor*) *FmToFo*: $\llbracket X \in obj_{CatDom\ F} ; Y \in obj_{CatCod\ F} ; F \## (id_{CatDom\ F} X) = id_{CatCod\ F} Y \rrbracket \implies F \@@ X = Y$

$\langle proof \rangle$

lemma *MakeFtorPreFtor*:

assumes *PreFunctor* F **shows** *PreFunctor* (*MakeFtor* F)

$\langle proof \rangle$

lemma *MakeFtorMor*: $f \in mor_{CatDom\ F} \implies MakeFtor\ F \## f = F \## f$

$\langle proof \rangle$

lemma *MakeFtorObj*:

assumes *PreFunctor* F **and** $X \in obj_{CatDom\ F}$

shows *MakeFtor* $F \@@ X = F \@@ X$

$\langle proof \rangle$

lemma *MakeFtor*: **assumes** *FunctorM* F **shows** *Functor* (*MakeFtor* F)

$\langle proof \rangle$

definition

IdentityFunctor' :: $('o, 'm, 'a)$ *Category-scheme* $\implies ('o, 'o, 'm, 'm, 'a, 'a)$ *Functor* (*FId''* - [70]) **where**

IdentityFunctor' $C \equiv (\llbracket CatDom = C , CatCod = C , MapM = (\lambda f . f) \rrbracket)$

definition

IdentityFunctor (*FId* - [70]) **where**

IdentityFunctor $C \equiv MakeFtor(IdentityFunctor'\ C)$

lemma *IdFtor'PreFunctor*: *Category* $C \implies PreFunctor$ (*FId'* C)

$\langle proof \rangle$

lemma *IdFtor'Obj*:

assumes *Category* C **and** $X \in obj_{CatDom} (FId'\ C)$

shows (*FId'* C) $\@@ X = X$

$\langle proof \rangle$

lemma *IdFtor'FtorM*:

assumes *Category C* **shows** *FunctorM (FId' C)*

<proof>

lemma *IdFtorFtor*: *Category C* \implies *Functor (FId C)*

<proof>

definition

ConstFunctor' :: (*'o1,'m1,'a*) *Category-scheme* \implies
(*'o2,'m2,'b*) *Category-scheme* \implies *'o2* \implies (*'o1,'o2,'m1,'m2,'a,'b*)

Functor **where**

ConstFunctor' A B b \equiv \langle
 CatDom = *A* ,
 CatCod = *B* ,
 MapM = ($\lambda f . (Id B) b$)
 \rangle

definition *ConstFunctor A B b* \equiv *MakeFtor(ConstFunctor' A B b)*

lemma *ConstFtor'* :

assumes *Category A Category B b* \in (*Obj B*)

shows *PreFunctor (ConstFunctor' A B b)*

and *FunctorM (ConstFunctor' A B b)*

<proof>

lemma *ConstFtor*:

assumes *Category A Category B b* \in (*Obj B*)

shows *Functor (ConstFunctor A B b)*

<proof>

definition

UnitFunctor :: (*'o,'m,'a*) *Category-scheme* \implies (*'o,unit,'m,unit,'a,unit*) *Functor*

where

UnitFunctor C \equiv *ConstFunctor C UnitCategory ()*

lemma *UnitFtor*:

assumes *Category C*

shows *Functor(UnitFunctor C)*

<proof>

definition

FunctorComp' :: (*'o1,'o2,'m1,'m2,'a1,'a2*) *Functor* \implies (*'o2,'o3,'m2,'m3,'b1,'b2*)
Functor

\implies (*'o1,'o3,'m1,'m3,'a1,'b2*) *Functor* (**infixl** ;:: 71) **where**

FunctorComp' F G \equiv \langle
 CatDom = *CatDom F* ,
 CatCod = *CatCod G* ,
 MapM = $\lambda f . (MapM G)((MapM F) f)$
 \rangle

)

definition *FunctorComp* (**infixl** $::;$ 71) **where** $FunctorComp\ F\ G \equiv MakeFtor\ (FunctorComp'\ F\ G)$

lemma *FtorCompComp'*:

assumes $f \approx > CatDom\ F\ g$
and $F \approx > ::; G$
shows $G \#\# (F \#\# (f :: CatDom\ F\ g)) = (G \#\# (F \#\# f)) :: CatCod\ G\ (G \#\# (F \#\# g))$
(*proof*)

lemma *FtorCompId*:

assumes $a: X \in (Obj\ (CatDom\ F))$
and $F \approx > ::; G$
shows $G \#\# (F \#\# (id_{CatDom\ F}\ X)) = id_{CatCod\ G}\ (G \@\@ (F \@\@ X)) \wedge G \@\@ (F \@\@ X) \in (Obj\ (CatCod\ G))$
(*proof*)

lemma *FtorCompIdDef*:

assumes $a: X \in (Obj\ (CatDom\ F))$ **and** $b: PreFunctor\ (F ::; G)$
and $F \approx > ::; G$
shows $(F ::; G) \@\@ X = (G \@\@ (F \@\@ X))$
(*proof*)

lemma *FunctorCompMapsTo*:

assumes $f \in mor_{CatDom}\ (F ::; G)$ **and** $F \approx > ::; G$
shows $(G \#\# (F \#\# f))\ maps_{CatCod\ G}\ (G \@\@ (F \@\@ (dom_{CatDom}\ F\ f)))\ to\ (G \@\@ (F \@\@ (cod_{CatDom}\ F\ f)))$
(*proof*)

lemma *FunctorCompMapsTo2*:

assumes $f \in mor_{CatDom}\ (F ::; G)$
and $F \approx > ::; G$
and $PreFunctor\ (F ::; G)$
shows $((F ::; G) \#\# f)\ maps_{CatCod}\ (F ::; G)\ ((F ::; G) \@\@ (dom_{CatDom}\ (F ::; G)\ f))\ to\ ((F ::; G) \@\@ (cod_{CatDom}\ (F ::; G)\ f))$
(*proof*)

lemma *FunctorCompMapsTo3*:

assumes $f\ maps_{CatDom}\ (F ::; G)\ X\ to\ Y$
and $F \approx > ::; G$
and $PreFunctor\ (F ::; G)$
shows $F ::; G \#\# f\ maps_{CatCod}\ (F ::; G)\ F ::; G \@\@ X\ to\ F ::; G \@\@ Y$
(*proof*)

lemma *FtorCompPreFtor*:


```

assumes  $F \approx > ; ; G$ 
shows  $PreFunctor (F ; ; G)$ 
<proof>

```

```

lemma  $FtorCompM$  :
assumes  $F \approx > ; ; G$ 
shows  $FunctorM (F ; ; G)$ 
<proof>

```

```

lemma  $FtorComp$ :
assumes  $F \approx > ; ; G$ 
shows  $Functor (F ; ; G)$ 
<proof>

```

```

lemma (in  $Functor$ )  $FunctorPreservesIso$ :
assumes  $ciso\ CatDom\ F\ k$ 
shows  $ciso\ CatCod\ F\ (F\ \#\#\ k)$ 
<proof>

```

```

declare  $PreFunctor.CatDom[simp]$   $PreFunctor.CatCod [simp]$ 

```

```

lemma  $FunctorMFunctor[simp]$ :  $Functor\ F \implies FunctorM\ F$ 
<proof>

```

```

locale  $Equivalence = Functor +$ 
assumes  $Full$ :  $\llbracket A \in Obj (CatDom\ F) ; B \in Obj (CatDom\ F) ;$ 
 $h\ maps_{CatCod\ F}\ (F\ @\@ A)\ to\ (F\ @\@ B) \rrbracket \implies$ 
 $\exists f . (f\ maps_{CatDom\ F}\ A\ to\ B) \wedge (F\ \#\#\ f = h)$ 
and  $Faithful$ :  $\llbracket f\ maps_{CatDom\ F}\ A\ to\ B ; g\ maps_{CatDom\ F}\ A\ to\ B ; F\ \#\#\ f =$ 
 $F\ \#\#\ g \rrbracket \implies f = g$ 
and  $IsoDense$ :  $C \in Obj (CatCod\ F) \implies \exists A \in Obj (CatDom\ F) . ObjIso$ 
 $(CatCod\ F)\ (F\ @\@ A)\ C$ 

```

```

end

```

5 Natural Transformation

```

theory  $NatTrans$ 
imports  $Functors$ 
begin

```

```

record ( $'o1, 'o2, 'm1, 'm2, 'a, 'b$ )  $NatTrans =$ 
 $NTDom :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor$ 
 $NTCod :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor$ 
 $NatTransMap :: 'o1 \Rightarrow 'm2$ 

```

```

abbreviation

```

```

 $NatTransApp :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow 'o1 \Rightarrow 'm2$  (infixr  $\$\$$ 
70) where

```

$NatTransApp \ \eta \ X \equiv (NatTransMap \ \eta) \ X$

definition $NTCatDom \ \eta \equiv CatDom \ (NTDom \ \eta)$

definition $NTCatCod \ \eta \equiv CatCod \ (NTCod \ \eta)$

locale $NatTransExt =$

fixes $\eta :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \ NatTrans \ (\mathbf{structure})$

assumes $NTExt : NatTransMap \ \eta \in \text{extensional} \ (Obj \ (NTCatDom \ \eta))$

locale $NatTransP =$

fixes $\eta :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \ NatTrans \ (\mathbf{structure})$

assumes $NatTransFtor : Functor \ (NTDom \ \eta)$

and $NatTransFtor2 : Functor \ (NTCod \ \eta)$

and $NatTransFtorDom : NTCatDom \ \eta = CatDom \ (NTCod \ \eta)$

and $NatTransFtorCod : NTCatCod \ \eta = CatCod \ (NTDom \ \eta)$

and $NatTransMapsTo : X \in \text{obj} \ NTCatDom \ \eta \implies$
 $(\eta \ \$\$ \ X) \ \text{maps}_{NTCatCod \ \eta} \ ((NTDom \ \eta) \ @\@ \ X) \ \text{to} \ ((NTCod$

$\eta) \ @\@ \ X)$

and $NatTrans : f \ \text{maps}_{NTCatDom \ \eta} \ X \ \text{to} \ Y \implies$

$((NTDom \ \eta) \ \#\# \ f) \ ;\; NTCatCod \ \eta \ (\eta \ \$\$ \ Y) = (\eta \ \$\$ \ X) \ ;\; NTCatCod \ \eta$

$((NTCod \ \eta) \ \#\# \ f)$

locale $NatTrans = NatTransP + NatTransExt$

lemma $[simp] : NatTrans \ \eta \implies NatTransP \ \eta$

$\langle \text{proof} \rangle$

definition $MakeNT :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \ NatTrans \ \Rightarrow ('o1, 'o2, 'm1,$
 $'m2, 'a, 'b) \ NatTrans \ \mathbf{where}$

$MakeNT \ \eta \equiv \langle$

$NTDom = NTDom \ \eta ,$

$NTCod = NTCod \ \eta ,$

$NatTransMap = \text{restrict} \ (NatTransMap \ \eta) \ (Obj \ (NTCatDom \ \eta))$

\rangle

definition

$nt\text{-abbrev} \ (NT \ - : - \implies - [81]) \ \mathbf{where}$

$NT \ f : F \implies G \equiv (NatTrans \ f) \wedge (NTDom \ f = F) \wedge (NTCod \ f = G)$

lemma $nt\text{-abbrevE}[elim] : \llbracket NT \ f : F \implies G ; \llbracket (NatTrans \ f) ; (NTDom \ f = F) ;$
 $(NTCod \ f = G) \rrbracket \implies R \rrbracket \implies R$

$\langle \text{proof} \rangle$

lemma $MakeNT : NatTransP \ \eta \implies NatTrans \ (MakeNT \ \eta)$

$\langle \text{proof} \rangle$

lemma $MakeNT\text{-comp} : X \in Obj \ (NTCatDom \ f) \implies (MakeNT \ f) \ \$\$ \ X = f \ \$\$ \ X$

$\langle \text{proof} \rangle$

lemma *MakeNT-dom*: $NTCatDom\ f = NTCatDom\ (MakeNT\ f)$
 ⟨proof⟩

lemma *MakeNT-cod*: $NTCatCod\ f = NTCatCod\ (MakeNT\ f)$
 ⟨proof⟩

lemma *MakeNTApp*: $X \in Obj\ (NTCatDom\ (MakeNT\ f)) \implies f\ \$\$ X = (MakeNT\ f)\ \$\$ X$
 ⟨proof⟩

lemma *NatTransMapsTo*:
 assumes $NT\ \eta : F \implies G$ and $X \in Obj\ (CatDom\ F)$
 shows $\eta\ \$\$ X\ maps_{CatCod}\ G\ (F\ @\@ X)$ to $(G\ @\@ X)$
 ⟨proof⟩

definition

$NTCompDefined :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans$
 $\Rightarrow ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow bool$ (**infixl** $\approx>\cdot$ 65)

where

$NTCompDefined\ \eta1\ \eta2 \equiv NatTrans\ \eta1 \wedge NatTrans\ \eta2 \wedge NTCatDom\ \eta2 = NTCatDom\ \eta1 \wedge$

$NTCatCod\ \eta2 = NTCatCod\ \eta1 \wedge NTCod\ \eta1 = NTDom\ \eta2$

lemma *NTCompDefinedE[elim]*: $\llbracket \eta1 \approx>\cdot \eta2 ; \llbracket NatTrans\ \eta1 ; NatTrans\ \eta2 ; NTCatDom\ \eta2 = NTCatDom\ \eta1 ; NTCatCod\ \eta2 = NTCatCod\ \eta1 ; NTCod\ \eta1 = NTDom\ \eta2 \rrbracket \implies R \rrbracket \implies R$
 ⟨proof⟩

lemma *NTCompDefinedI*: $\llbracket NatTrans\ \eta1 ; NatTrans\ \eta2 ; NTCatDom\ \eta2 = NTCatDom\ \eta1 ; NTCatCod\ \eta2 = NTCatCod\ \eta1 ; NTCod\ \eta1 = NTDom\ \eta2 \rrbracket \implies \eta1 \approx>\cdot \eta2$
 ⟨proof⟩

lemma *NatTransExt0*:

assumes $NTDom\ \eta1 = NTDom\ \eta2$ and $NTCod\ \eta1 = NTCod\ \eta2$
 and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta1) \implies \eta1\ \$\$ X = \eta2\ \$\$ X$
 and $NatTransMap\ \eta1 \in extensional\ (Obj\ (NTCatDom\ \eta1))$
 and $NatTransMap\ \eta2 \in extensional\ (Obj\ (NTCatDom\ \eta2))$
 shows $\eta1 = \eta2$
 ⟨proof⟩

lemma *NatTransExt'*:

assumes $NTDom\ \eta1' = NTDom\ \eta2'$ and $NTCod\ \eta1' = NTCod\ \eta2'$
 and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta1') \implies \eta1'\ \$\$ X = \eta2'\ \$\$ X$
 shows $MakeNT\ \eta1' = MakeNT\ \eta2'$
 ⟨proof⟩

lemma *NatTransExt*:

assumes *NatTrans* $\eta 1$ **and** *NatTrans* $\eta 2$ **and** *NTDom* $\eta 1 = \text{NTDom } \eta 2$ **and**
NTCod $\eta 1 = \text{NTCod } \eta 2$
and $\bigwedge X . X \in \text{Obj} (\text{NTCatDom } \eta 1) \implies \eta 1 \ \$\$ X = \eta 2 \ \$\$ X$
shows $\eta 1 = \eta 2$
 $\langle \text{proof} \rangle$

definition

IdNatTrans' $:: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2) \text{ Functor} \Rightarrow ('o1, 'o2, 'm1, 'm2, 'a1, 'a2) \text{ NatTrans}$ **where**
IdNatTrans' $F \equiv (\langle$
 $\text{NTDom} = F,$
 $\text{NTCod} = F,$
 $\text{NatTransMap} = \lambda X . \text{id}_{\text{CatCod } F} (F \ @\@ X)$
 $\rangle)$

definition *IdNatTrans* $F \equiv \text{MakeNT}(\text{IdNatTrans}' F)$

lemma *IdNatTrans-map*: $X \in \text{obj}_{\text{CatDom } F} \implies (\text{IdNatTrans } F) \ \$\$ X = \text{id}_{\text{CatCod } F} (F \ @\@ X)$
 $\langle \text{proof} \rangle$

lemmas *IdNatTrans-defs* = *IdNatTrans-def* *IdNatTrans'-def* *MakeNT-def* *IdNatTrans-map* *NTCatCod-def* *NTCatDom-def*

lemma *IdNatTransNatTrans'*: *Functor* $F \implies \text{NatTransP}(\text{IdNatTrans}' F)$
 $\langle \text{proof} \rangle$

lemma *IdNatTransNatTrans*: *Functor* $F \implies \text{NatTrans} (\text{IdNatTrans } F)$
 $\langle \text{proof} \rangle$

definition

NatTransComp' $:: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans} \Rightarrow$
 $(('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans} \Rightarrow$
 $(('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans} (\text{infixl } \cdot 75) \text{ where}$
NatTransComp' $\eta 1 \ \eta 2 = (\langle$
 $\text{NTDom} = \text{NTDom } \eta 1,$
 $\text{NTCod} = \text{NTCod } \eta 2,$
 $\text{NatTransMap} = \lambda X . (\eta 1 \ \$\$ X) ;; \text{NTCatCod } \eta 1 (\eta 2 \ \$\$ X)$
 $\rangle)$

definition *NatTransComp* (**infixl** $\cdot 75$) **where** $\eta 1 \cdot \eta 2 \equiv \text{MakeNT}(\eta 1 \cdot 1 \ \eta 2)$

lemma *NatTransComp-Comp1*: $\llbracket x \in \text{Obj} (\text{NTCatDom } f) ; f \approx \triangleright \cdot g \rrbracket \implies (f \cdot g) \ \$\$ x = (f \ \$\$ x) ;; \text{NTCatCod } g (g \ \$\$ x)$
 $\langle \text{proof} \rangle$

lemma *NatTransComp-Comp2*: $\llbracket x \in \text{Obj} (\text{NTCatDom } f) ; f \approx \triangleright \cdot g \rrbracket \implies (f \cdot g)$

$\$ \$ x = (f \$ \$ x) ;; NTCatCod f (g \$ \$ x)$
 $\langle proof \rangle$

lemmas *NatTransComp-defs* = *NatTransComp-def* *NatTransComp'-def* *MakeNT-def*
NatTransComp-Comp1 *NTCatCod-def* *NTCatDom-def*

lemma [*simp*]: $\eta 1 \approx > \cdot \eta 2 \implies NatTrans \eta 1$ $\langle proof \rangle$

lemma [*simp*]: $\eta 1 \approx > \cdot \eta 2 \implies NatTrans \eta 2$ $\langle proof \rangle$

lemma *NTCatDom*: $\eta 1 \approx > \cdot \eta 2 \implies NTCatDom \eta 1 = NTCatDom \eta 2$
 $\langle proof \rangle$

lemma *NTCatCod*: $\eta 1 \approx > \cdot \eta 2 \implies NTCatCod \eta 1 = NTCatCod \eta 2$ $\langle proof \rangle$

lemma [*simp*]: $\eta 1 \approx > \cdot \eta 2 \implies NTCatDom (\eta 1 \cdot 1 \eta 2) = NTCatDom \eta 1$ $\langle proof \rangle$

lemma [*simp*]: $\eta 1 \approx > \cdot \eta 2 \implies NTCatCod (\eta 1 \cdot 1 \eta 2) = NTCatCod \eta 1$ $\langle proof \rangle$

lemma [*simp*]: $\eta 1 \approx > \cdot \eta 2 \implies NTCatDom (\eta 1 \cdot \eta 2) = NTCatDom \eta 1$ $\langle proof \rangle$

lemma [*simp*]: $\eta 1 \approx > \cdot \eta 2 \implies NTCatCod (\eta 1 \cdot \eta 2) = NTCatCod \eta 1$ $\langle proof \rangle$

lemma [*simp*]: $NatTrans \eta \implies Category(NTCatDom \eta)$ $\langle proof \rangle$

lemma [*simp*]: $NatTrans \eta \implies Category(NTCatCod \eta)$ $\langle proof \rangle$

lemma *DDDC*: **assumes** $NatTrans f$ **shows** $CatDom (NTDom f) = CatDom (NTCod f)$
 $\langle proof \rangle$

lemma *CCCD*: **assumes** $NatTrans f$ **shows** $CatCod (NTCod f) = CatCod (NTDom f)$
 $\langle proof \rangle$

lemma *IdNatTransCompDefDom*: $NatTrans f \implies (IdNatTrans (NTDom f)) \approx > \cdot f$
 $\langle proof \rangle$

lemma *IdNatTransCompDefCod*: $NatTrans f \implies f \approx > \cdot (IdNatTrans (NTCod f))$
 $\langle proof \rangle$

lemma *NatTransCompDefCod*:

assumes $NatTrans \eta$ **and** f *maps* $NTCatDom \eta X$ *to* Y

shows $(\eta \$ \$ X) \approx > NTCatCod \eta (NTCod \eta \#\# f)$

$\langle proof \rangle$

lemma *NatTransCompDefDom*:

assumes $NatTrans \eta$ **and** f *maps* $NTCatDom \eta X$ *to* Y

shows $(NTDom \eta \#\# f) \approx > NTCatCod \eta (\eta \$ \$ Y)$

$\langle proof \rangle$

lemma *NatTransCompCompDef*:

assumes $\eta 1 \approx > \cdot \eta 2$ **and** $X \in obj NTCatDom \eta 1$

shows $(\eta 1 \$ \$ X) \approx > NTCatCod \eta 1 (\eta 2 \$ \$ X)$

$\langle proof \rangle$

lemma *NatTransCompNatTrans'*:

assumes $\eta 1 \approx > \cdot \eta 2$

shows $NatTransP (\eta1 \cdot 1 \eta2)$
 ⟨proof⟩

lemma $NatTransCompNatTrans: \eta1 \approx > \cdot \eta2 \implies NatTrans (\eta1 \cdot \eta2)$
 ⟨proof⟩

definition

$CatExp' :: ('o1, 'm1, 'a) \text{ Category-scheme} \Rightarrow ('o2, 'm2, 'b) \text{ Category-scheme} \Rightarrow$
 $((('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ Functor},$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans}) \text{ Category} \text{ where}$
 $CatExp' A B \equiv ()$
 $Category.Obj = \{F \cdot Ftor F : A \longrightarrow B\},$
 $Category.Mor = \{\eta \cdot NatTrans \eta \wedge NTCatDom \eta = A \wedge NTCatCod \eta = B\}$
 $,$
 $Category.Dom = NTDom ,$
 $Category.Cod = NTCod ,$
 $Category.Id = IdNatTrans ,$
 $Category.Comp = \lambda f g. (f \cdot g)$
 \rangle

definition $CatExp A B \equiv MakeCat(CatExp' A B)$

lemma $IdNatTransMapL:$

assumes $NT: NatTrans f$
shows $IdNatTrans (NTDom f) \cdot f = f$
 ⟨proof⟩

lemma $IdNatTransMapR:$

assumes $NT: NatTrans f$
shows $f \cdot IdNatTrans (NTCod f) = f$
 ⟨proof⟩

lemma $NatTransCompDefined:$

assumes $f \approx > \cdot g$ **and** $g \approx > \cdot h$
shows $(f \cdot g) \approx > \cdot h$ **and** $f \approx > \cdot (g \cdot h)$
 ⟨proof⟩

lemma $NatTransCompAssoc:$

assumes $f \approx > \cdot g$ **and** $g \approx > \cdot h$
shows $(f \cdot g) \cdot h = f \cdot (g \cdot h)$
 ⟨proof⟩

lemma $CatExpCatAx:$

assumes $Category A$ **and** $Category B$
shows $Category-axioms (CatExp' A B)$
 ⟨proof⟩

lemma $CatExpCat: \llbracket Category A ; Category B \rrbracket \implies Category (CatExp A B)$
 ⟨proof⟩

lemmas *CatExp-defs* = *CatExp-def* *CatExp'-def* *MakeCat-def*

lemma *CatExpDom*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{dom}_{\text{CatExp } A \ B} f = \text{NTDom } f$
(*proof*)

lemma *CatExpCod*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{cod}_{\text{CatExp } A \ B} f = \text{NTCod } f$
(*proof*)

lemma *CatExpId*: $X \in \text{Obj } (\text{CatExp } A \ B) \implies \text{Id } (\text{CatExp } A \ B) \ X = \text{IdNatTrans } X$
(*proof*)

lemma *CatExpNatTransCompDef*: **assumes** $f \approx_{> \text{CatExp } A \ B} g$ **shows** $f \approx_{> \cdot} g$
(*proof*)

lemma *CatExpDist*:
assumes $X \in \text{Obj } A$ **and** $f \approx_{> \text{CatExp } A \ B} g$
shows $(f \ ;_{\text{CatExp } A \ B} g) \ \$$ \ X = (f \ \$\$ \ X) \ ;_{B} (g \ \$\$ \ X)$
(*proof*)

lemma *CatExpMorNT*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{NatTrans } f$
(*proof*)

end

6 The Category of Sets

theory *SetCat*
imports *Functors* *Universe*
begin

notation *Elem* (**infixl** $|\in|$ 70)

notation *HOLZF.subset* (**infixl** $|\subseteq|$ 71)

notation *CartProd* (**infixl** $|\times|$ 75)

definition

$ZFfun :: ZF \Rightarrow ZF \Rightarrow (ZF \Rightarrow ZF) \Rightarrow ZF$ **where**
 $ZFfun \ d \ r \ f \equiv \text{Opair } (\text{Opair } \ d \ r) \ (\text{Lambda } \ d \ f)$

definition

$ZFfunDom :: ZF \Rightarrow ZF \ (|\text{dom}| - [72] \ 72)$ **where**
 $ZFfunDom \ f \equiv \text{Fst } (\text{Fst } f)$

definition

$ZFfunCod :: ZF \Rightarrow ZF \ (|\text{cod}| - [72] \ 72)$ **where**
 $ZFfunCod \ f \equiv \text{Snd } (\text{Fst } f)$

definition

$ZFfunApp :: ZF \Rightarrow ZF \Rightarrow ZF$ (**infixl** $|\@|$ 73) **where**
 $ZFfunApp f x \equiv app (Snd f) x$

definition

$ZFfunComp :: ZF \Rightarrow ZF \Rightarrow ZF$ (**infixl** $|o|$ 72) **where**
 $ZFfunComp f g \equiv ZFfun (|dom| f) (|cod| g) (\lambda x. g |\@| (f |\@| x))$

definition

$isZFfun :: ZF \Rightarrow bool$ **where**
 $isZFfun drf \equiv let f = Snd drf in$
 $isOpair drf \wedge isOpair (Fst drf) \wedge isFun f \wedge (f |\subseteq| (Domain f) |\times|$
 $(Range f))$
 $\wedge (Domain f = |dom| drf) \wedge (Range f |\subseteq| |cod| drf)$

lemma $isZFfunE[elim]$: $\llbracket isZFfun f ;$

$\llbracket isOpair f ; isOpair (Fst f) ; isFun (Snd f) ;$
 $((Snd f) |\subseteq| (Domain (Snd f)) |\times| (Range (Snd f))) ;$
 $(Domain (Snd f) = |dom| f) \wedge (Range (Snd f) |\subseteq| |cod| f) \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$
 $\langle proof \rangle$

definition

$SET' :: (ZF, ZF)$ *Category* **where**
 $SET' \equiv \langle$
 $Category.Obj = \{x . True\} ,$
 $Category.Mor = \{f . isZFfun f\} ,$
 $Category.Dom = ZFfunDom ,$
 $Category.Cod = ZFfunCod ,$
 $Category.Id = \lambda x. ZFfun x x (\lambda x . x) ,$
 $Category.Comp = ZFfunComp$
 \rangle

definition $SET \equiv MakeCat SET'$

lemma $ZFfunDom$: $|dom| (ZFfun A B f) = A$
 $\langle proof \rangle$

lemma $ZFfunCod$: $|cod| (ZFfun A B f) = B$
 $\langle proof \rangle$

lemma $SETfun$:

assumes $\forall x . x |\in| A \longrightarrow (f x) |\in| B$
shows $isZFfun (ZFfun A B f)$
 $\langle proof \rangle$

lemma $ZFCartProd$:

assumes $x |\in| A |\times| B$
shows $Fst x |\in| A \wedge Snd x |\in| B \wedge isOpair x$
 $\langle proof \rangle$

lemma *ZFfunDomainOpair*:
assumes *isFun f*
and $x \in |Domain\ f|$
shows $Opair\ x\ (app\ f\ x) \in |f|$
 $\langle proof \rangle$

lemma *ZFFunToLambda*:
assumes $1: isFun\ f$
and $2: f \subseteq |Domain\ f| \times |Range\ f|$
shows $f = Lambda\ (Domain\ f)\ (\lambda x. app\ f\ x)$
 $\langle proof \rangle$

lemma *ZFfunApp*:
assumes $x \in |A|$
shows $(ZFfun\ A\ B\ f) \ @\ x = f\ x$
 $\langle proof \rangle$

lemma *ZFfun*:
assumes *isZFfun f*
shows $f = ZFfun\ (|dom|\ f)\ (|cod|\ f)\ (\lambda x. f\ @\ x)$
 $\langle proof \rangle$

lemma *ZFfun-ext*:
assumes $\forall x . x \in |A| \longrightarrow f\ x = g\ x$
shows $(ZFfun\ A\ B\ f) = (ZFfun\ A\ B\ g)$
 $\langle proof \rangle$

lemma *ZFfunExt*:
assumes $|dom|\ f = |dom|\ g$ **and** $|cod|\ f = |cod|\ g$ **and** *funf: isZFfun f* **and** *fung: isZFfun g*
and $\bigwedge x . x \in (|dom|\ f) \implies f\ @\ x = g\ @\ x$
shows $f = g$
 $\langle proof \rangle$

lemma *ZFfunDomAppCod*:
assumes *isZFfun f*
and $x \in |dom|\ f$
shows $f\ @\ x \in |cod|\ f$
 $\langle proof \rangle$

lemma *ZFfunComp*:
assumes $\forall x . x \in |A| \longrightarrow f\ x \in |B|$
shows $(ZFfun\ A\ B\ f) \ @\ (ZFfun\ B\ C\ g) = ZFfun\ A\ C\ (g\ o\ f)$
 $\langle proof \rangle$

lemma *ZFfunCompApp*:
assumes *a: isZFfun f* **and** *b: isZFfun g* **and** $c: |dom|\ g = |cod|\ f$
shows $f \ @\ g = ZFfun\ (|dom|\ f)\ (|cod|\ g)\ (\lambda x . g\ @\ (f\ @\ x))$

<proof>

lemma *ZFfunCompAppZFfun*:

assumes *isZFfun f* **and** *isZFfun g* **and** $|dom|g = |cod|f$

shows *isZFfun (f |o| g)*

<proof>

lemma *ZFfunCompAssoc*:

assumes *a: isZFfun f* **and** *b: isZFfun h* **and** *c: |cod|g = |dom|h*

and *d: isZFfun g* **and** *e: |cod|f = |dom|g*

shows $f |o| g |o| h = f |o| (g |o| h)$

<proof>

lemma *ZFfunCompAppDomCod*:

assumes *isZFfun f* **and** *isZFfun g* **and** $|dom|g = |cod|f$

shows $|dom|(f |o| g) = |dom|f \wedge |cod|(f |o| g) = |cod|g$

<proof>

lemma *ZFfunIdLeft*:

assumes *a: isZFfun f* **shows** $(ZFfun (|dom|f) (|dom|f) (\lambda x. x)) |o| f = f$

<proof>

lemma *ZFfunIdRight*:

assumes *a: isZFfun f* **shows** $f |o| (ZFfun (|cod|f) (|cod|f) (\lambda x. x)) = f$

<proof>

lemma *SETCategory*: *Category(SET)*

<proof>

lemma *SETobj*: $X \in Obj(SET)$

<proof>

lemma *SETcod*: $isZFfun (ZFfun A B f) \implies cod_{SET} ZFfun A B f = B$

<proof>

lemma *SETmor*: $(isZFfun f) = (f \in mor_{SET})$

<proof>

lemma *SETdom*: $isZFfun (ZFfun A B f) \implies dom_{SET} ZFfun A B f = A$

<proof>

lemma *SETId*: **assumes** $x \in X$ **shows** $(Id_{SET} X) |@| x = x$

<proof>

lemma *SETCompE[elim]*: $\llbracket f \approx_{SET} g ; \llbracket isZFfun f ; isZFfun g ; |cod| f = |dom| g \rrbracket \implies R \rrbracket \implies R$

<proof>

lemma *SETmapsTo*: $f maps_{SET} X to Y \implies isZFfun f \wedge |dom| f = X \wedge |cod| f$

= Y
 ⟨proof⟩

lemma *SETComp*: **assumes** $f \approx_{>SET} g$ **shows** $f ;;_{SET} g = f |o| g$
 ⟨proof⟩

lemma *SETCompAt*:
assumes $f \approx_{>SET} g$ **and** $x \in |dom| f$ **shows** $(f ;;_{SET} g) |@| x = g |@| (f |@| x)$
 ⟨proof⟩

lemma *SETZFfun*:
assumes f *maps*_{SET} X to Y **shows** $f = ZFfun\ X\ Y\ (\lambda x . f |@| x)$
 ⟨proof⟩

lemma *SETfunDomAppCod*:
assumes f *maps*_{SET} X to Y **and** $x \in |X|$
shows $f |@| x \in |Y|$
 ⟨proof⟩

record ('o,'m) *LSCategory* = ('o,'m) *Category* +
mor2ZF :: 'm \Rightarrow ZF (m2z1- [70] 70)

definition
ZF2mor (z2m1- [70] 70) **where**
ZF2mor $C\ f \equiv$ THE $m . m \in mor\ C \wedge m2z\ C\ m = f$

definition
HOMCollection $C\ X\ Y \equiv \{m2z\ C\ f \mid f . f\ maps\ C\ X\ to\ Y\}$

definition
HomSet (Hom1 - - [65, 65] 65) **where**
HomSet $C\ X\ Y \equiv implode\ (HOMCollection\ C\ X\ Y)$

locale *LSCategory* = *Category* +
assumes *mor2ZFInj*: $\llbracket x \in mor ; y \in mor ; m2z\ x = m2z\ y \rrbracket \Longrightarrow x = y$
and *HOMSetIsSet*: $\llbracket X \in obj ; Y \in obj \rrbracket \Longrightarrow HOMCollection\ C\ X\ Y \in range\ explode$
and *m2zExt*: $mor2ZF\ C \in extensional\ (Mor\ C)$

lemma [elim]: $\llbracket LSCategory\ C ;$
 $\llbracket Category\ C ; \llbracket x \in mor\ C ; y \in mor\ C ; m2z\ C\ x = m2z\ C\ y \rrbracket \Longrightarrow x = y ;$
 $\llbracket X \in obj\ C ; Y \in obj\ C \rrbracket \Longrightarrow HOMCollection\ C\ X\ Y \in range\ explode \rrbracket \Longrightarrow R \rrbracket \Longrightarrow$
 R
 ⟨proof⟩

definition
HomFtorMap :: ('o,'m,'a) *LSCategory-scheme* \Rightarrow 'o \Rightarrow 'm \Rightarrow ZF (Hom1[-,-])

[65,65] 65) **where**

$HomFtorMap\ C\ X\ g \equiv ZFfun\ (Hom_C\ X\ (dom_C\ g))\ (Hom_C\ X\ (cod_C\ g))\ (\lambda\ f.\ m2z_C\ ((z2m_C\ f)\ ;\ ;_C\ g))$

definition

$HomFtor' :: ('o, 'm, 'a)\ LSCategory\ scheme \Rightarrow 'o \Rightarrow$

$(('o, ZF, 'm, ZF, (\mor2ZF :: 'm \Rightarrow ZF, \dots :: 'a), unit)\ Functor\ (HomP1[-,-]\ [65]$

65) **where**

$HomFtor'\ C\ X \equiv \langle$

$CatDom = C,$

$CatCod = SET,$

$MapM = \lambda\ g.\ Hom_C[X,g]$

\rangle

definition $HomFtor\ (Hom1[-,-]\ [65]\ 65)$ **where** $HomFtor\ C\ X \equiv MakeFtor\ (HomFtor'\ C\ X)$

lemma [simp]: $LSCategory\ C \Longrightarrow Category\ C$

$\langle proof \rangle$

lemma (in $LSCategory$) $m2zz2m$:

assumes f maps X to Y **shows** $(m2z\ f) \mid\in\ (Hom\ X\ Y)$

$\langle proof \rangle$

lemma (in $LSCategory$) $m2zz2mInv$:

assumes $f \in mor$

shows $z2m\ (m2z\ f) = f$

$\langle proof \rangle$

lemma (in $LSCategory$) $z2mm2z$:

assumes $X \in obj$ and $Y \in obj$ and $f \mid\in\ (Hom\ X\ Y)$

shows $z2m\ f$ maps X to $Y \wedge m2z\ (z2m\ f) = f$

$\langle proof \rangle$

lemma $HomFtorMapLemma1$:

assumes $a: LSCategory\ C$ and $b: X \in obj_C$ and $c: f \in mor_C$ and $d: x \mid\in\ (Hom_C\ X\ (dom_C\ f))$

shows $(m2z_C\ ((z2m_C\ x)\ ;\ ;_C\ f)) \mid\in\ (Hom_C\ X\ (cod_C\ f))$

$\langle proof \rangle$

lemma $HomFtorInMor'$:

assumes $LSCategory\ C$ and $X \in obj_C$ and $f \in mor_C$

shows $Hom_C[X,f] \in mor_{SET'}$

$\langle proof \rangle$

lemma $HomFtorMor'$:

assumes $LSCategory\ C$ and $X \in obj_C$ and $f \in mor_C$

shows $Hom_C[X,f]$ maps $_{SET'}$ $Hom_C\ X\ (dom_C\ f)$ to $Hom_C\ X\ (cod_C\ f)$

$\langle proof \rangle$

lemma *HomFtorMapsTo*:

$\llbracket \text{LSCategory } C ; X \in \text{obj}_C ; f \in \text{mor}_C \rrbracket \implies \text{Hom}_C[X,f] \text{ maps}_{\text{SET}} \text{Hom}_C X$
 $(\text{dom}_C f) \text{ to } \text{Hom}_C X (\text{cod}_C f)$
(proof)

lemma *HomFtorMor*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \in \text{mor}_C$
shows $\text{Hom}_C[X,f] \in \text{Mor SET}$ **and** $\text{dom}_{\text{SET}} (\text{Hom}_C[X,f]) = \text{Hom}_C X (\text{dom}_C f)$
and $\text{cod}_{\text{SET}} (\text{Hom}_C[X,f]) = \text{Hom}_C X (\text{cod}_C f)$
(proof)

lemma *HomFtorCompDef'*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \approx \triangleright_C g$
shows $(\text{Hom}_C[X,f]) \approx \triangleright_{\text{SET}'} (\text{Hom}_C[X,g])$
(proof)

lemma *HomFtorDist'*:

assumes a : *LSCategory* C **and** b : $X \in \text{obj}_C$ **and** c : $f \approx \triangleright_C g$
shows $(\text{Hom}_C[X,f]) \text{ ;;}_{\text{SET}'} (\text{Hom}_C[X,g]) = \text{Hom}_C[X,f \text{ ;;}_C g]$
(proof)

lemma *HomFtorDist*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \approx \triangleright_C g$
shows $(\text{Hom}_C[X,f]) \text{ ;;}_{\text{SET}} (\text{Hom}_C[X,g]) = \text{Hom}_C[X,f \text{ ;;}_C g]$
(proof)

lemma *HomFtorId'*:

assumes a : *LSCategory* C **and** b : $X \in \text{obj}_C$ **and** c : $Y \in \text{obj}_C$
shows $\text{Hom}_C[X, \text{id}_C Y] = \text{id}_{\text{SET}'} (\text{Hom}_C X Y)$
(proof)

lemma *HomFtorId*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $Y \in \text{obj}_C$
shows $\text{Hom}_C[X, \text{id}_C Y] = \text{id}_{\text{SET}} (\text{Hom}_C X Y)$
(proof)

lemma *HomFtorObj'*:

assumes a : *LSCategory* C
and b : *PreFunctor* $(\text{HomP}_C[X,-])$ **and** c : $X \in \text{obj}_C$ **and** d : $Y \in \text{obj}_C$
shows $(\text{HomP}_C[X,-]) \text{ @@ } Y = \text{Hom}_C X Y$
(proof)

lemma *HomFtorFtor'*:

assumes a : *LSCategory* C
and b : $X \in \text{obj}_C$
shows *FunctorM* $(\text{HomP}_C[X,-])$
(proof)

lemma *HomFtorFtor*:
assumes $a: LSCategory\ C$
and $b: X \in obj_C$
shows $Functor\ (Hom_C[X, -])$
 $\langle proof \rangle$

lemma *HomFtorObj*:
assumes $LSCategory\ C$
and $X \in obj_C$ **and** $Y \in obj_C$
shows $(Hom_C[X, -])\ @\@ Y = Hom_C\ X\ Y$
 $\langle proof \rangle$

definition
 $HomFtorMapContra :: ('o, 'm, 'a)\ LSCategory\ scheme \Rightarrow 'm \Rightarrow 'o \Rightarrow ZF\ (HomC1[-, -]$
 $[65, 65]\ 65)$ **where**
 $HomFtorMapContra\ C\ g\ X \equiv ZFfun\ (Hom_C\ (cod_C\ g)\ X)\ (Hom_C\ (dom_C\ g)\ X)$
 $(\lambda\ f.\ m2z_C\ (g\ ;;_C\ (z2m_C\ f)))$

definition
 $HomFtorContra' :: ('o, 'm, 'a)\ LSCategory\ scheme \Rightarrow 'o \Rightarrow$
 $('o, ZF, 'm, ZF, (\mor2ZF :: 'm \Rightarrow ZF, \dots :: 'a), unit)\ Functor\ (HomP1[-, -]\ [65]$
 $65)$ **where**
 $HomFtorContra'\ C\ X \equiv (\$
 $\quad CatDom = (Op\ C),$
 $\quad CatCod = SET\ ,$
 $\quad MapM = \lambda\ g.\ Hom_C\ [g, X]$
 $\quad)$

definition *HomFtorContra* $(Hom1[-, -]\ [65]\ 65)$ **where** $HomFtorContra\ C\ X \equiv$
 $MakeFtor(HomFtorContra'\ C\ X)$

lemma *HomContraAt*: $x\ |\in|\ (Hom_C\ (cod_C\ f)\ X) \Longrightarrow (Hom_C\ [f, X])\ |\@|\ x =$
 $m2z_C\ (f\ ;;_C\ (z2m_C\ x))$
 $\langle proof \rangle$

lemma *mor2ZF-Op*: $mor2ZF\ (Op\ C) = mor2ZF\ C$
 $\langle proof \rangle$

lemma *mor-Op*: $mor_{Op\ C} = mor_C\ \langle proof \rangle$

lemma *obj-Op*: $obj_{Op\ C} = obj_C\ \langle proof \rangle$

lemma *ZF2mor-Op*: $ZF2mor\ (Op\ C)\ f = ZF2mor\ C\ f$
 $\langle proof \rangle$

lemma *mapsTo-Op*: $f\ maps_{Op\ C}\ Y\ to\ X = f\ maps_C\ X\ to\ Y$
 $\langle proof \rangle$

lemma *HOMCollection-Op*: $HOMCollection\ (Op\ C)\ X\ Y = HOMCollection\ C\ Y$

X
 $\langle proof \rangle$

lemma *Hom-Op*: $Hom_{Op\ C} X\ Y = Hom_C\ Y\ X$
 $\langle proof \rangle$

lemma *HomFtorContra'*: $HomP_C[-,X] = HomP_{Op\ C}[X,-]$
 $\langle proof \rangle$

lemma *HomFtorContra*: $Hom_C[-,X] = Hom_{Op\ C}[X,-]$
 $\langle proof \rangle$

lemma *HomFtorContraDom*: $CatDom\ (Hom_C[-,X]) = Op\ C$
 $\langle proof \rangle$

lemma *HomFtorContraCod*: $CatCod\ (Hom_C[-,X]) = SET$
 $\langle proof \rangle$

lemma *LSCategory-Op*: **assumes** *LSCategory* C **shows** *LSCategory* $(Op\ C)$
 $\langle proof \rangle$

lemma *HomFtorContraFtor*:
assumes *LSCategory* C
and $X \in obj_C$
shows $Ftor\ (Hom_C[-,X]) : (Op\ C) \longrightarrow SET$
 $\langle proof \rangle$

lemma *HomFtorOpObj*:
assumes *LSCategory* C
and $X \in obj_C$ **and** $Y \in obj_C$
shows $(Hom_C[-,X])\ @@\ Y = Hom_C\ Y\ X$
 $\langle proof \rangle$

lemma *HomCHomOp*: $Hom_C\ C[g,X] = Hom_{Op\ C}[X,g]$
 $\langle proof \rangle$

lemma *HomFtorContraMapsTo*:
assumes *LSCategory* C **and** $X \in obj_C$ **and** $f \in mor_C$
shows $Hom_C\ C[f,X]$ *maps*_{SET} $Hom_C\ (cod_C\ f)\ X$ *to* $Hom_C\ (dom_C\ f)\ X$
 $\langle proof \rangle$

lemma *HomFtorContraMor*:
assumes *LSCategory* C **and** $X \in obj_C$ **and** $f \in mor_C$
shows $Hom_C\ C[f,X] \in Mor\ SET$ **and** $dom_{SET}\ (Hom_C\ C[f,X]) = Hom_C\ (cod_C\ f)\ X$
and $cod_{SET}\ (Hom_C\ C[f,X]) = Hom_C\ (dom_C\ f)\ X$
 $\langle proof \rangle$

lemma *HomContraMor*:
assumes *LSCategory C and f ∈ Mor C*
shows $(\text{Hom}_C[-, X]) \#\# f = \text{Hom}_C[f, X]$
 $\langle \text{proof} \rangle$

lemma *HomCHom*:
assumes *LSCategory C and f ∈ Mor C and g ∈ Mor C*
shows $(\text{Hom}_C C[g, \text{dom}_C f]) \;\text{;;}\; \text{SET} (\text{Hom}_C[\text{dom}_C g, f]) = (\text{Hom}_C[\text{cod}_C g, f]) \;\text{;;}\; \text{SET}$
 $(\text{Hom}_C C[g, \text{cod}_C f])$
 $\langle \text{proof} \rangle$

end

7 Yoneda

theory *Yoneda*
imports *NatTrans SetCat*
begin

definition $YFtorNT' C f \equiv (\text{NTDom} = \text{Hom}_C[-, \text{dom}_C f], \text{NTCod} = \text{Hom}_C[-, \text{cod}_C f])$,
 $\text{NatTransMap} = \lambda B . \text{Hom}_C[B, f])$

definition $YFtorNT C f \equiv \text{MakeNT} (YFtorNT' C f)$

lemmas *YFtorNT-defs = YFtorNT'-def YFtorNT-def MakeNT-def*

lemma *YFtorNTCatDom*: $\text{NTCatDom} (YFtorNT C f) = \text{Op } C$
 $\langle \text{proof} \rangle$

lemma *YFtorNTCatCod*: $\text{NTCatCod} (YFtorNT C f) = \text{SET}$
 $\langle \text{proof} \rangle$

lemma *YFtorNTApp1*: **assumes** $X \in \text{Obj} (\text{NTCatDom} (YFtorNT C f))$ **shows**
 $(YFtorNT C f) \ \$\$ X = \text{Hom}_C[X, f]$
 $\langle \text{proof} \rangle$

definition
 $YFtor' C \equiv (\text{CatDom} = C,$
 $\text{CatCod} = \text{CatExp} (\text{Op } C) \text{ SET},$
 $\text{MapM} = \lambda f . YFtorNT C f$
 $\text{)})$

definition $YFtor C \equiv \text{MakeFtor}(YFtor' C)$

lemmas $YFtor-defs = YFtor'-def\ YFtor-def\ MakeFtor-def$

lemma $YFtorNTNatTrans'$:

assumes $LSCategory\ C$ **and** $f \in Mor\ C$

shows $NatTransP\ (YFtorNT'\ C\ f)$

$\langle proof \rangle$

lemma $YFtorNTNatTrans$:

assumes $LSCategory\ C$ **and** $f \in Mor\ C$

shows $NatTrans\ (YFtorNT\ C\ f)$

$\langle proof \rangle$

lemma $YFtorNTMor$:

assumes $LSCategory\ C$ **and** $f \in Mor\ C$

shows $YFtorNT\ C\ f \in Mor\ (CatExp\ (Op\ C)\ SET)$

$\langle proof \rangle$

lemma $YFtorNtMapsTo$:

assumes $LSCategory\ C$ **and** $f \in Mor\ C$

shows $YFtorNT\ C\ f\ maps\ CatExp\ (Op\ C)\ SET\ (Hom_C[-, dom_C\ f])\ to\ (Hom_C[-, cod_C\ f])$

$\langle proof \rangle$

lemma $YFtorNTCompDef$:

assumes $LSCategory\ C$ **and** $f \approx>_C\ g$

shows $YFtorNT\ C\ f \approx>_{CatExp\ (Op\ C)\ SET}\ YFtorNT\ C\ g$

$\langle proof \rangle$

lemma $PreSheafCat$: $LSCategory\ C \implies Category\ (CatExp\ (Op\ C)\ SET)$

$\langle proof \rangle$

lemma $YFtor'Obj1$:

assumes $X \in Obj\ (CatDom\ (YFtor'\ C))$ **and** $LSCategory\ C$

shows $(YFtor'\ C)\ \#\#\ (Id\ (CatDom\ (YFtor'\ C))\ X) = Id\ (CatCod\ (YFtor'\ C))\ (Hom_C[-, X])$

$\langle proof \rangle$

lemma $YFtorPreFtor$:

assumes $LSCategory\ C$

shows $PreFunctor\ (YFtor'\ C)$

$\langle proof \rangle$

lemma $YFtor'Obj$:

assumes $X \in Obj\ (CatDom\ (YFtor'\ C))$

and $LSCategory\ C$

shows $(YFtor'\ C)\ \@\@ X = Hom_C[-, X]$

$\langle proof \rangle$

lemma $YFtorFtor'$:

assumes $LSCategory\ C$
shows $FunctorM\ (YFtor'\ C)$
 $\langle proof \rangle$

lemma $YFtorFtor$: **assumes** $LSCategory\ C$ **shows** $Ftor\ (YFtor\ C) : C \longrightarrow$
 $(CatExp\ (Op\ C)\ SET)$
 $\langle proof \rangle$

lemma $YFtorObj$:
assumes $LSCategory\ C$ **and** $X \in Obj\ C$
shows $(YFtor\ C)\ @\@ X = Hom_C\ [-, X]$
 $\langle proof \rangle$

lemma $YFtorObj2$:
assumes $LSCategory\ C$ **and** $X \in Obj\ C$ **and** $Y \in Obj\ C$
shows $((YFtor\ C)\ @\@ Y)\ @\@ X = Hom_C\ X\ Y$
 $\langle proof \rangle$

lemma $YFtorMor$: $\llbracket LSCategory\ C ; f \in Mor\ C \rrbracket \implies (YFtor\ C)\ \#\# f = YFtorNT$
 $C\ f$
 $\langle proof \rangle$

definition $YMap\ C\ X\ \eta \equiv (\eta\ \$\$ X)\ |\@|\ (m2z_C\ (id_C\ X))$

definition $YMapInv'\ C\ X\ F\ x \equiv (\$
 $NTDom = ((YFtor\ C)\ @\@ X),$
 $NTCod = F,$
 $NatTransMap = \lambda B . ZFfun\ (Hom_C\ B\ X)\ (F\ @\@ B)\ (\lambda f . (F\ \#\# (z2m_C$
 $f))\ |\@|\ x)$
 \rangle

definition $YMapInv\ C\ X\ F\ x \equiv MakeNT\ (YMapInv'\ C\ X\ F\ x)$

lemma $YMapInvApp$:
assumes $X \in Obj\ C$ **and** $B \in Obj\ C$ **and** $LSCategory\ C$
shows $(YMapInv\ C\ X\ F\ x)\ \$\$ B = ZFfun\ (Hom_C\ B\ X)\ (F\ @\@ B)\ (\lambda f . (F$
 $\#\# (z2m_C\ f))\ |\@|\ x)$
 $\langle proof \rangle$

lemma $YMapImage$:
assumes $LSCategory\ C$ **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $X \in Obj\ C$
and $NT\ \eta : (YFtor\ C)\ @\@ X \implies F$
shows $(YMap\ C\ X\ \eta)\ |\in|\ (F\ @\@ X)$
 $\langle proof \rangle$

lemma $YMapInvNatTransP$:
assumes $LSCategory\ C$ **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $xobj : X \in Obj\ C$
and $xinF : x\ |\in|\ (F\ @\@ X)$
shows $NatTransP\ (YMapInv'\ C\ X\ F\ x)$

<proof>

lemma *YMapInvNatTrans:*

assumes *LSCategory C and Ftor F : (Op C) → SET and X ∈ Obj C and x*
|∈| (F @@ X)
shows *NatTrans (YMapInv C X F x)*
<proof>

lemma *YMapInvImage:*

assumes *LSCategory C and Ftor F : (Op C) → SET and X ∈ Obj C*
and *x |∈| (F @@ X)*
shows *NT (YMapInv C X F x) : (YFtor C @@ X) ⇒ F*
<proof>

lemma *YMap1:*

assumes *LSCat: LSCategory C and Ftor: Ftor F : (Op C) → SET and XObj:*
X ∈ Obj C
and *NT: NT η : (YFtor C @@ X) ⇒ F*
shows *YMapInv C X F (YMap C X η) = η*
<proof>

lemma *YMap2:*

assumes *LSCategory C and Ftor F : (Op C) → SET and X ∈ Obj C*
and *x |∈| (F @@ X)*
shows *YMap C X (YMapInv C X F x) = x*
<proof>

lemma *YFtorNT-YMapInv:*

assumes *LSCategory C and f maps_C X to Y*
shows *YFtorNT C f = YMapInv C X (Hom_C[-, Y]) (m2z_C f)*
<proof>

lemma *YMapYoneda:*

assumes *LSCategory C and f maps_C X to Y*
shows *YFtor C ## f = YMapInv C X (YFtor C @@ Y) (m2z_C f)*
<proof>

lemma *YonedaFull:*

assumes *LSCategory C and X ∈ Obj C and Y ∈ Obj C*
and *NT η : (YFtor C @@ X) ⇒ (YFtor C @@ Y)*
shows *YFtor C ## (z2m_C (YMap C X η)) = η*
and *z2m_C (YMap C X η) maps_C X to Y*
<proof>

lemma *YonedaFaithful:*

assumes *LSCategory C and f maps_C X to Y and g maps_C X to Y*
and *YFtor C ## f = YFtor C ## g*
shows *f = g*
<proof>

lemma *YonedaEmbedding*:
 assumes *LSCategory C and A ∈ Obj C and B ∈ Obj C and (YFtor C) @@ A*
 = (*YFtor C*) @@ *B*
 shows *A = B*
 \langle *proof* \rangle
end

References

- [1] A. Katovsky. Category theory in Isabelle/HOL, 2010. <http://www.srcf.ucam.org/~apk32/Isabelle/Category/Cat.pdf>.