

Category Theory to Yoneda's Lemma

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This development proves Yoneda's lemma and aims to be readable by humans. It only defines what is needed for the lemma: categories, functors and natural transformations. Limits, adjunctions and other important concepts are not included.

There is no explanation or discussion in this document. See [O'K04] for this and a survey of category theory formalisations.

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1 Categories

```
theory Cat  
imports HOL-Library.FuncSet  
begin
```

1.1 Definitions

```
record ('o, 'a) category =  
  ob :: 'o set ( $\langle Ob \rangle$  70)  
  ar :: 'a set ( $\langle Ar \rangle$  70)  
  dom :: 'a  $\Rightarrow$  'o ( $\langle Dom \rangle$   $\rightarrow$  [81] 70)  
  cod :: 'a  $\Rightarrow$  'o ( $\langle Cod \rangle$   $\rightarrow$  [81] 70)  
  id :: 'o  $\Rightarrow$  'a ( $\langle Id \rangle$   $\rightarrow$  [81] 80)  
  comp :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\langle \cdot \rangle$  60)
```

definition

```
hom :: [('o, 'a, 'm) category-scheme, 'o, 'o]  $\Rightarrow$  'a set  
  ( $\langle Hom \rangle$   $\rightarrow$  [81,81] 80) where  
  hom CC A B = { f. f  $\in$  ar CC & dom CC f = A & cod CC f = B }
```

locale *category* =

```
fixes CC (structure)  
assumes dom-object [intro]:  
  f  $\in$  Ar  $\Longrightarrow$  Dom f  $\in$  Ob  
and cod-object [intro]:  
  f  $\in$  Ar  $\Longrightarrow$  Cod f  $\in$  Ob  
and id-left [simp]:  
  f  $\in$  Ar  $\Longrightarrow$  Id (Cod f)  $\cdot$  f = f  
and id-right [simp]:  
  f  $\in$  Ar  $\Longrightarrow$  f  $\cdot$  Id (Dom f) = f  
and id-hom [intro]:  
  A  $\in$  Ob  $\Longrightarrow$  Id A  $\in$  Hom A A  
and comp-types [intro]:  
   $\bigwedge$  A B C. (comp CC) : (Hom B C)  $\rightarrow$  (Hom A B)  $\rightarrow$  (Hom A C)  
and comp-associative [simp]:  
  f  $\in$  Ar  $\Longrightarrow$  g  $\in$  Ar  $\Longrightarrow$  h  $\in$  Ar  
   $\Longrightarrow$  Cod h = Dom g  $\Longrightarrow$  Cod g = Dom f  
   $\Longrightarrow$  f  $\cdot$  (g  $\cdot$  h) = (f  $\cdot$  g)  $\cdot$  h
```

1.2 Lemmas

lemma (in *category*) *homI*:

```
assumes f  $\in$  Ar and Dom f = A and Cod f = B  
shows f  $\in$  Hom A B  
<proof>
```

lemma (in *category*) *homE*:

```
assumes A  $\in$  Ob and B  $\in$  Ob and f  $\in$  Hom A B  
shows Dom f = A and Cod f = B
```

<proof>

lemma (in *category*) *id-arrow* [*intro*]:

assumes $A \in Ob$

shows $Id\ A \in Ar$

<proof>

lemma (in *category*) *id-dom-cod*:

assumes $A \in Ob$

shows $Dom\ (Id\ A) = A$ and $Cod\ (Id\ A) = A$

<proof>

lemma (in *category*) *compI* [*intro*]:

assumes $f: f \in Ar$ and $g: g \in Ar$ and $Cod\ f = Dom\ g$

shows $g \cdot f \in Ar$

and $Dom\ (g \cdot f) = Dom\ f$

and $Cod\ (g \cdot f) = Cod\ g$

<proof>

end

2 Set is a Category

theory *SetCat*

imports *Cat*

begin

2.1 Definitions

record *'c set-arrow* =

set-dom :: *'c set*

set-func :: *'c* \Rightarrow *'c*

set-cod :: *'c set*

definition

set-arrow :: [*'c set*, *'c set-arrow*] \Rightarrow *bool* **where**

set-arrow $U\ f \longleftrightarrow set-dom\ f \subseteq U$ & $set-cod\ f \subseteq U$

& (*set-func* f): ($set-dom\ f$) \rightarrow ($set-cod\ f$)

& *set-func* $f \in extensional\ (set-dom\ f)$

definition

set-id :: [*'c set*, *'c set*] \Rightarrow *'c set-arrow* **where**

set-id $U = (\lambda s \in Pow\ U. (\setminus set-dom=s, set-func=\lambda x \in s. x, set-cod=s))$

definition

set-comp :: [*'c set-arrow*, *'c set-arrow*] \Rightarrow *'c set-arrow* (**infix** $\langle \odot \rangle$ 70) **where**

set-comp $g\ f =$

(

$set-dom = set-dom f,$
 $set-func = compose (set-dom f) (set-func g) (set-func f),$
 $set-cod = set-cod g$

)

definition

$set-cat :: 'c set \Rightarrow ('c set, 'c set-arrow)$ category **where**

$set-cat U =$

(

$ob = Pow U,$
 $ar = \{f. set-arrow U f\},$
 $dom = set-dom,$
 $cod = set-cod,$
 $id = set-id U,$
 $comp = set-comp$

)

2.2 Simple Rules and Lemmas

lemma $set-objectI$ [intro]: $A \subseteq U \Longrightarrow A \in ob (set-cat U)$

$\langle proof \rangle$

lemma $set-objectE$ [intro]: $A \in ob (set-cat U) \Longrightarrow A \subseteq U$

$\langle proof \rangle$

lemma $set-homI$ [intro]:

assumes $A \subseteq U$

and $B \subseteq U$

and $f : A \rightarrow B$

and $f \in extensional A$

shows $(set-dom=A, set-func=f, set-cod=B) \in hom (set-cat U) A B$

$\langle proof \rangle$

lemma $set-dom$ [simp]: $dom (set-cat U) f = set-dom f$

$\langle proof \rangle$

lemma $set-cod$ [simp]: $cod (set-cat U) f = set-cod f$

$\langle proof \rangle$

lemma $set-id$ [simp]: $id (set-cat U) A = set-id U A$

$\langle proof \rangle$

lemma $set-comp$ [simp]: $comp (set-cat U) g f = g \circ f$

$\langle proof \rangle$

lemma $set-dom-cod-object-subset$ [intro]:

assumes $f: f \in ar (set-cat U)$

shows $dom (set-cat U) f \in ob (set-cat U)$

and $\text{cod } (\text{set-cat } U) f \in \text{ob } (\text{set-cat } U)$
and $\text{set-cod } f \subseteq U$
and $\text{set-dom } f \subseteq U$
 <proof>

In this context, $f \in \text{hom } A B$ is quite a strong claim.

lemma *set-homE* [intro]:
assumes $f: f \in \text{hom } (\text{set-cat } U) A B$
shows $A \subseteq U$
and $B \subseteq U$
and $\text{set-dom } f = A$
and $\text{set-func } f : A \rightarrow B$
and $\text{set-cod } f = B$
 <proof>

2.3 Set is a Category

lemma *set-id-left*:
assumes $f: f \in \text{ar } (\text{set-cat } U)$
shows $\text{set-id } U (\text{set-cod } f) \odot f = f$
 <proof>

lemma *set-id-right*:
assumes $f: f \in \text{ar } (\text{set-cat } U)$
shows $f \odot (\text{set-id } U (\text{set-dom } f)) = f$
 <proof>

lemma *set-id-hom*:
assumes $A \in \text{ob } (\text{set-cat } U)$
shows $\text{id } (\text{set-cat } U) A \in \text{hom } (\text{set-cat } U) A A$
 <proof>

lemma *set-comp-types*:
 $\text{comp } (\text{set-cat } U) \in \text{hom } (\text{set-cat } U) B C \rightarrow \text{hom } (\text{set-cat } U) A B \rightarrow \text{hom } (\text{set-cat } U) A C$
 <proof>

We reason explicitly about the function component of the composite arrow, leaving the rest to the simplifier.

lemma *set-comp-associative*:
fixes f **and** g **and** h
assumes $f: f \in \text{ar } (\text{set-cat } U)$
and $g: g \in \text{ar } (\text{set-cat } U)$
and $h: h \in \text{ar } (\text{set-cat } U)$
and $hg: \text{cod } (\text{set-cat } U) h = \text{dom } (\text{set-cat } U) g$
and $gf: \text{cod } (\text{set-cat } U) g = \text{dom } (\text{set-cat } U) f$
shows $\text{comp } (\text{set-cat } U) f (\text{comp } (\text{set-cat } U) g h) = \text{comp } (\text{set-cat } U) (\text{comp } (\text{set-cat } U) f g) h$

<proof>

theorem *set-cat-cat*: *category (set-cat U)*

<proof>

end

3 Functors

theory *Functors*

imports *Cat*

begin

3.1 Definitions

record (*'o1, 'a1, 'o2, 'a2*) *functor* =

om :: *'o1* \Rightarrow *'o2*

am :: *'a1* \Rightarrow *'a2*

abbreviation

om-syn (*<- o>* [*δ1*]) **where**

$F_o \equiv om\ F$

abbreviation

am-syn (*<- a>* [*δ1*]) **where**

$F_a \equiv am\ F$

locale *two-cats* = *AA?*: *category AA* + *BB?*: *category BB*

for *AA* :: (*'o1, 'a1, 'm1*)*category-scheme (structure)*

and *BB* :: (*'o2, 'a2, 'm2*)*category-scheme (structure)* +

fixes *preserves-dom* :: (*'o1, 'a1, 'o2, 'a2*)*functor* \Rightarrow *bool*

and *preserves-cod* :: (*'o1, 'a1, 'o2, 'a2*)*functor* \Rightarrow *bool*

and *preserves-id* :: (*'o1, 'a1, 'o2, 'a2*)*functor* \Rightarrow *bool*

and *preserves-comp* :: (*'o1, 'a1, 'o2, 'a2*)*functor* \Rightarrow *bool*

defines *preserves-dom* $G \equiv \forall f \in Ar_{AA}. G_o (Dom_{AA} f) = Dom_{BB} (G_a f)$

and *preserves-cod* $G \equiv \forall f \in Ar_{AA}. G_o (Cod_{AA} f) = Cod_{BB} (G_a f)$

and *preserves-id* $G \equiv \forall A \in Ob_{AA}. G_a (Id_{AA} A) = Id_{BB} (G_o A)$

and *preserves-comp* $G \equiv$

$\forall f \in Ar_{AA}. \forall g \in Ar_{AA}. Cod_{AA} f = Dom_{AA} g \longrightarrow G_a (g \cdot_{AA} f) = (G_a g) \cdot_{BB} (G_a f)$

locale *functor* = *two-cats* +

fixes *F (structure)*

assumes *F-preserves-arrows*: $F_a : Ar_{AA} \rightarrow Ar_{BB}$

and *F-preserves-objects*: $F_o : Ob_{AA} \rightarrow Ob_{BB}$

and *F-preserves-dom*: *preserves-dom F*

and *F-preserves-cod*: *preserves-cod F*

and *F-preserves-id*: *preserves-id F*

and F -preserves-comp: preserves-comp F
begin

lemmas F -axioms = F -preserves-arrows F -preserves-objects F -preserves-dom
 F -preserves-cod F -preserves-id F -preserves-comp

lemmas func-pred-defs = preserves-dom-def preserves-cod-def preserves-id-def pre-
serves-comp-def

end

This gives us nicer notation for asserting that things are functors.

abbreviation

$\text{Functor } (\langle \text{Functor } - : - \longrightarrow - \rangle [\delta I])$ **where**
 $\text{Functor } F : AA \longrightarrow BB \equiv \text{functor } AA \ BB \ F$

3.2 Simple Lemmas

For example:

lemma (**in functor**) $\text{Functor } F : AA \longrightarrow BB$ $\langle \text{proof} \rangle$

lemma $\text{functors-preserve-arrows}$ [*intro*]:

assumes $\text{Functor } F : AA \longrightarrow BB$
and $f \in \text{ar } AA$
shows $F_a f \in \text{ar } BB$
 $\langle \text{proof} \rangle$

lemma (**in functor**) $\text{functors-preserve-homsets}$:

assumes $1: A \in \text{Ob}_{AA}$
and $2: B \in \text{Ob}_{AA}$
and $3: f \in \text{Hom}_{AA} \ A \ B$
shows $F_a f \in \text{Hom}_{BB} \ (F_o \ A) \ (F_o \ B)$
 $\langle \text{proof} \rangle$

lemma $\text{functors-preserve-objects}$ [*intro*]:

assumes $\text{Functor } F : AA \longrightarrow BB$
and $A \in \text{ob } AA$
shows $F_o \ A \in \text{ob } BB$
 $\langle \text{proof} \rangle$

3.3 Identity Functor

definition

$\text{id-func} :: ('o, 'a, 'm) \text{ category-scheme} \Rightarrow ('o, 'a, 'o, 'a) \text{ functor}$ **where**
 $\text{id-func } CC = (\text{om} = (\lambda A \in \text{ob } CC. \ A), \ \text{am} = (\lambda f \in \text{ar } CC. \ f))$

```

locale one-cat = two-cats +
  assumes endo:  $BB = AA$ 

lemma (in one-cat) id-func-preserves-arrows:
  shows  $(id\text{-func } AA)_a : Ar \rightarrow Ar$ 
  <proof>

lemma (in one-cat) id-func-preserves-objects:
  shows  $(id\text{-func } AA)_o : Ob \rightarrow Ob$ 
  <proof>

lemma (in one-cat) id-func-preserves-dom:
  shows preserves-dom (id-func AA)
  <proof>

lemma (in one-cat) id-func-preserves-cod:
  preserves-cod (id-func AA)
  <proof>

lemma (in one-cat) id-func-preserves-id:
  preserves-id (id-func AA)
  <proof>

lemma (in one-cat) id-func-preserves-comp:
  preserves-comp (id-func AA)
  <proof>

theorem (in one-cat) id-func-functor:
  Functor (id-func AA) :  $AA \longrightarrow AA$ 
  <proof>

end

```

4 HomFunctors

```

theory HomFunctors
imports SetCat Functors
begin

locale into-set = two-cats AA BB
  for AA :: ('o,'a,'m)category-scheme (structure)
  and BB (structure) +
  fixes U and Set
  defines U  $\equiv$  (UNIV::'a set)
  defines Set  $\equiv$  set-cat U

```


assumes *BB-Set*: $BB = Set$
fixes *homf* ($\langle Hom'(-, '-) \rangle$)
defines *homf* $A \equiv \langle$
 $om = (\lambda B \in Ob. Hom A B),$
 $am = (\lambda f \in Ar. \langle set-dom = Hom A (Dom f), set-func = (\lambda g \in Hom A (Dom f). f \cdot$
 $g \rangle, set-cod = Hom A (Cod f)) \rangle$
 \rangle

lemma (**in** *into-set*) *homf-preserves-arrows*:
 $Hom(A, -)_a : Ar \rightarrow ar Set$
 $\langle proof \rangle$

lemma (**in** *into-set*) *homf-preserves-objects*:
 $Hom(A, -)_o : Ob \rightarrow ob Set$
 $\langle proof \rangle$

lemma (**in** *into-set*) *homf-preserves-dom*:
assumes $f: f \in Ar$
shows $Hom(A, -)_o (Dom f) = dom Set (Hom(A, -)_a f)$
 $\langle proof \rangle$

lemma (**in** *into-set*) *homf-preserves-cod*:
assumes $f: f \in Ar$
shows $Hom(A, -)_o (Cod f) = cod Set (Hom(A, -)_a f)$
 $\langle proof \rangle$

lemma (**in** *into-set*) *homf-preserves-id*:
assumes $B: B \in Ob$
shows $Hom(A, -)_a (Id B) = id Set (Hom(A, -)_o B)$
 $\langle proof \rangle$

lemma (**in** *into-set*) *homf-preserves-comp*:
assumes $f: f \in Ar$
and $g: g \in Ar$
and $fg: Cod f = Dom g$
shows $Hom(A, -)_a (g \cdot f) = (Hom(A, -)_a g) \odot (Hom(A, -)_a f)$
 $\langle proof \rangle$

theorem (**in** *into-set*) *homf-into-set*:
 $Functor Hom(A, -) : AA \longrightarrow Set$
 $\langle proof \rangle$

end

5 Natural Transformations

```
theory NatTrans
imports Functors
begin
```

```
locale natural-transformation = two-cats +
  fixes F and G and u
  assumes Functor F : AA → BB
  and Functor G : AA → BB
  and u : ob AA → ar BB
  and u ∈ extensional (ob AA)
  and ∀ A ∈ Ob. u A ∈ HomBB (Fo A) (Go A)
  and ∀ A ∈ Ob. ∀ B ∈ Ob. ∀ f ∈ Hom A B. (Ga f) ·BB (u A) = (u B) ·BB (Fa f)
```

abbreviation

```
nt-syn (⟨- : - ⇒ - in Func '(-, -)' [81]⟩ where
  u : F ⇒ G in Func(AA, BB) ≡ natural-transformation AA BB F G u
```

```
locale endoNT = natural-transformation + one-cat
```

theorem (in endoNT) *id-restrict-natural*:

```
(λA ∈ Ob. Id A) : (id-func AA) ⇒ (id-func AA) in Func(AA, AA)
⟨proof⟩
```

end

6 Yoneda Lemma

```
theory Yoneda
imports HomFunctors NatTrans
begin
```

6.1 The Sandwich Natural Transformation

```
locale Yoneda = functor + into-set +
  assumes TERM (AA :: ('o, 'a, 'm) category-scheme)
  fixes sandwich :: ['o, 'a, 'o] ⇒ 'a set-arrow (⟨σ'(-, -)'⟩)
  defines sandwich A a ≡ (λB ∈ Ob. (
    set-dom = Hom A B,
    set-func = (λf ∈ Hom A B. set-func (Fa f) a),
    set-cod = Fo B
  ))
  fixes unsandwich :: ['o, 'o] ⇒ 'a set-arrow ⇒ 'a (⟨σ←'(-, -)'⟩)
  defines unsandwich A u ≡ set-func (u A) (Id A)
```

lemma (in Yoneda) *F-into-set*:

Functor $F : AA \longrightarrow Set$
 ⟨proof⟩

lemma (in *Yoneda*) *F-comp-func*:
 assumes 1: $A \in Ob$ and 2: $B \in Ob$ and 3: $C \in Ob$
 and 4: $g \in Hom\ A\ B$ and 5: $f \in Hom\ B\ C$
 shows $set\text{-}func\ (F_a\ (f \cdot g)) = compose\ (F_o\ A)\ (set\text{-}func\ (F_a\ f))\ (set\text{-}func\ (F_a\ g))$
 ⟨proof⟩

lemma (in *Yoneda*) *sandwich-funcset*:
 assumes $A: A \in Ob$
 and $a \in F_o\ A$
 shows $\sigma(A,a) : Ob \rightarrow ar\ Set$
 ⟨proof⟩

lemma (in *Yoneda*) *sandwich-type*:
 assumes $A: A \in Ob$ and $B: B \in Ob$
 and $a \in F_o\ A$
 shows $\sigma(A,a)\ B \in hom\ Set\ (Hom\ A\ B)\ (F_o\ B)$
 ⟨proof⟩

lemma (in *Yoneda*) *sandwich-commutes*:
 assumes $AOb: A \in Ob$ and $BOb: B \in Ob$ and $COb: C \in Ob$
 and $aFa: a \in F_o\ A$
 and $fBC: f \in Hom\ B\ C$
 shows $(F_a\ f) \odot (\sigma(A,a)\ B) = (\sigma(A,a)\ C) \odot (Hom(A,-)_a\ f)$
 ⟨proof⟩

lemma (in *Yoneda*) *sandwich-natural*:
 assumes $A \in Ob$
 and $a \in F_o\ A$
 shows $\sigma(A,a) : Hom(A,-) \Rightarrow F\ in\ Func(AA,Set)$
 ⟨proof⟩

6.2 Sandwich Components are Bijective

lemma (in *Yoneda*) *unsandwich-left-inverse*:
 assumes 1: $A \in Ob$
 and 2: $a \in F_o\ A$
 shows $\sigma^{\leftarrow}(A,\sigma(A,a)) = a$
 ⟨proof⟩

lemma (in *Yoneda*) *unsandwich-right-inverse*:

assumes 1: $A \in Ob$
and 2: $u : Hom(A, -) \Rightarrow F$ in $Func(AA, Set)$
shows $\sigma(A, \sigma^{\leftarrow}(A, u)) = u$
 <proof>

In order to state the lemma, we must rectify a curious omission from the Isabelle/HOL library. They define the idea of injectivity on a given set, but surjectivity is only defined relative to the entire universe of the target type.

definition

$surj-on :: ['a \Rightarrow 'b, 'a\ set, 'b\ set] \Rightarrow bool$ **where**
 $surj-on\ f\ A\ B \longleftrightarrow (\forall y \in B. \exists x \in A. f(x)=y)$

definition

$bij-on :: ['a \Rightarrow 'b, 'a\ set, 'b\ set] \Rightarrow bool$ **where**
 $bij-on\ f\ A\ B \longleftrightarrow inj-on\ f\ A \ \&\ surj-on\ f\ A\ B$

definition

$equinumerous :: ['a\ set, 'b\ set] \Rightarrow bool$ (**infix** $\langle \cong \rangle$ 40) **where**
 $equinumerous\ A\ B \longleftrightarrow (\exists f. bij-betw\ f\ A\ B)$

lemma *bij-betw-eq:*

$bij-betw\ f\ A\ B \longleftrightarrow$
 $inj-on\ f\ A \wedge (\forall y \in B. \exists x \in A. f(x)=y) \wedge (\forall x \in A. f\ x \in B)$
 <proof>

theorem (**in** *Yoneda*) *Yoneda:*

assumes 1: $A \in Ob$
shows $F_O\ A \cong \{u. u : Hom(A, -) \Rightarrow F\}$ in $Func(AA, Set)$
 <proof>

end

References

- [O’K04] Greg O’Keefe. Towards a readable formalisation of category theory. In Mike Atkinson, editor, *Computing: The Australasian Theory Symposium*, volume 91 of *Electronic Notes in Theoretical Computer Science*, pages 212–228. Elsevier, 2004.