Cardinality of Set Partitions

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Abstract

The theory's main theorem states that the cardinality of set partitions of size k on a carrier set of size n is expressed by Stirling numbers of the second kind. In Isabelle, Stirling numbers of the second kind are defined in the AFP entry 'Discrete Summation' [1] through their well-known recurrence relation. The main theorem relates them to the alternative definition as cardinality of set partitions. The proof follows the simple and short explanation in Richard P. Stanley's 'Enumerative Combinatorics: Volume 1' [2] and Wikipedia [3], and unravels the full details and implicit reasoning steps of these explanations.

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1 Set Partitions

theory Set-Partition imports HOL-Library.Disjoint-Sets HOL-Library.FuncSet **begin**

1.1 Useful Additions to Main Theories

lemma set-eqI': **assumes** $\bigwedge x. \ x \in A \implies x \in B$ **assumes** $\bigwedge x. \ x \in B \implies x \in A$ **shows** A = B**using** assms by auto

lemma comp-image: ((') $f \circ (') g$) = (') ($f \circ g$) **by** rule auto

1.2 Introduction and Elimination Rules

The definition of partition-on is in HOL-Library.Disjoint-Sets.

lemma partition-onI: **assumes** $\land p. p \in P \implies p \neq \{\}$ **assumes** $\land p = A$ **assumes** $\land p p'. p \in P \implies p' \in P \implies p \neq p' \implies p \cap p' = \{\}$ **shows** partition-on $\land P$ **using** assms **unfolding** partition-on-def disjoint-def **by** blast

lemma partition-onE: **assumes** partition-on A P **obtains** $\land p. \ p \in P \implies p \neq \{\}$ $\bigcup P = A$ $\land p \ p'. \ p \in P \implies p' \in P \implies p \neq p' \implies p \cap p' = \{\}$ **using** assms **unfolding** partition-on-def disjoint-def by blast

1.3 Basic Facts on Set Partitions

lemma partition-onD4: partition-on $A \ P \Longrightarrow p \in P \Longrightarrow q \in P \Longrightarrow x \in p \Longrightarrow x$ $\in q \Longrightarrow p = q$ **by** (auto simp: partition-on-def disjoint-def) **lemma** partition-subset-imp-notin:

assumes partition subset imp norm. assumes partition-on $A \ P \ X \in P$ assumes $X' \subset X$ shows $X' \notin P$ proof assume $X' \in P$ from $\langle X' \in P \rangle$ (partition-on $A \ P \rangle$ have $X' \neq \{\}$ using partition-onD3 by blast moreover from $\langle X' \in P \rangle$ ($X \in P \rangle$ (partition-on $A \ P \rangle$ ($X' \subset X$) have disjnt X X'by (metis disjnt-def disjointD inf.strict-order-iff partition-onD2)

```
moreover note \langle X' \subset X \rangle
 ultimately show False
   by (meson all-not-in-conv disjnt-iff psubsetD)
qed
lemma partition-on-Diff:
 assumes P: partition-on A P shows Q \subseteq P \Longrightarrow partition-on (A - \bigcup Q) (P -
Q)
 using P P[THEN partition-onD4] by (auto simp: partition-on-def disjoint-def)
lemma partition-on-UN:
 assumes A: partition-on A B and B: \land b. b \in B \implies partition-on b (P b)
 shows partition-on A (\bigcup b \in B. P b)
proof (rule partition-onI)
 show \bigcup (\bigcup b \in B. P b) = A
   using B[THEN partition-onD1] A[THEN partition-onD1] by blast
next
 show p \neq \{\} if p \in (\bigcup b \in B. P b) for p
   using B[THEN partition-onD3] that by auto
\mathbf{next}
  fix p \ q assume p \in (\bigcup i \in B. P \ i) \ q \in (\bigcup i \in B. P \ i) and p \neq q
 then obtain i j where i: p \in P i i \in B and j: q \in P j j \in B
   by auto
 show p \cap q = \{\}
 proof cases
   assume i = j then show ?thesis
     using i j \langle p \neq q \rangle B[THEN partition-onD2, of i] by (simp add: disjointD)
  next
   assume i \neq j
   then have disjnt i j
     using i j A[THEN partition-onD2] by (auto simp: pairwise-def)
   moreover have p \subseteq i \ q \subseteq j
    using B[THEN partition-onD1, of i, symmetric] B[THEN partition-onD1, of
j, symmetric] i j by auto
   ultimately show ?thesis
     by (auto simp: disjnt-def)
 qed
qed
lemma partition-on-notemptyI:
 assumes partition-on A P
 assumes A \neq \{\}
 shows P \neq \{\}
using assms by (auto elim: partition-onE)
lemma partition-on-disjoint:
 assumes partition-on A P
 assumes partition-on B Q
 assumes A \cap B = \{\}
```

shows $P \cap Q = \{\}$ using assms by (fastforce elim: partition-onE)

lemma partition-on-eq-implies-eq-carrier: assumes partition-on A Qassumes partition-on B Qshows A = Busing assms by (fastforce elim: partition-onE)

lemma partition-on-insert: **assumes** partition-on A P **assumes** disjnt $A X X \neq \{\}$ **assumes** $A \cup X = A'$ **shows** partition-on A' (insert X P) **using** assms by (auto simp: partition-on-def disjoint-def disjnt-def)

```
An alternative formulation of [partition-on ?A ?P; disjnt ?A ?X; ?X \neq {}; ?A \cup ?X = ?A'] \implies partition-on ?A' (insert ?X ?P)
```

lemma partition-on-insert': **assumes** partition-on (A - X) P **assumes** $X \subseteq A \ X \neq \{\}$ **shows** partition-on A (insert X P) **proof** – **have** disjnt $(A - X) \ X$ **by** (simp add: disjnt-iff) **from** assms(1) this assms(3) **have** partition-on $((A - X) \cup X)$ (insert X P) **by** (auto intro: partition-on-insert) **from** this $\langle X \subseteq A \rangle$ **show** ?thesis **by** (metis Diff-partition sup-commute) **qed**

lemma partition-on-insert-singleton: **assumes** partition-on $A P a \notin A$ insert a A = A' **shows** partition-on A' (insert $\{a\} P$) **using** assms **by** (auto simp: partition-on-def disjoint-def disjnt-def)

lemma partition-on-remove-singleton: **assumes** partition-on $A \ P \ X \in P \ A - X = A'$ **shows** partition-on $A' (P - \{X\})$ **using** assms partition-on-Diff by (metis Diff-cancel Diff-subset cSup-singleton insert-subset)

1.4 The Unique Part Containing an Element in a Set Partition

lemma partition-on-partition-on-unique: assumes partition-on A Passumes $x \in A$ shows $\exists !X. \ x \in X \land X \in P$ proof -

from $\langle partition \text{-}on \ A \ P \rangle$ **have** $| \ | P = A$ by (auto elim: partition-onE) from this $\langle x \in A \rangle$ obtain X where X: $x \in X \land X \in P$ by blast ł fix Yassume $x \in Y \land Y \in P$ from this have X = Yusing X (partition-on A P) by (meson partition-on E disjoint-iff-not-equal) from this X show ?thesis by auto qed **lemma** partition-on-the-part-mem: assumes partition-on A P assumes $x \in A$ shows (THE X. $x \in X \land X \in P$) $\in P$ proof from $\langle x \in A \rangle$ have $\exists ! X. x \in X \land X \in P$ using $\langle partition-on | A | P \rangle$ by (simp add: partition-on-partition-on-unique)from this show (THE X. $x \in X \land X \in P$) $\in P$ by (metis (no-types, lifting) theI) \mathbf{qed} **lemma** partition-on-in-the-unique-part: assumes partition-on A P assumes $x \in A$ shows $x \in (THE X, x \in X \land X \in P)$ proof – from assms have $\exists ! X. x \in X \land X \in P$ **by** (*simp add: partition-on-partition-on-unique*) from this show ?thesis by (metis (mono-tags, lifting) theI') qed **lemma** partition-on-the-part-eq: assumes partition-on A P assumes $x \in X X \in P$ shows (THE X. $x \in X \land X \in P$) = X proof – from $\langle x \in X \rangle \langle X \in P \rangle$ have $x \in A$ using $\langle partition \text{-}on \ A \ P \rangle$ by (auto elim: partition - on E) from this have $\exists ! X. x \in X \land X \in P$ using $\langle partition-on \ A \ P \rangle$ by (simp add: partition-on-partition-on-unique) from $\langle x \in X \rangle \langle X \in P \rangle$ this show (THE X. $x \in X \land X \in P$) = X **by** (*auto intro*!: *the1-equality*) qed

lemma the-unique-part-alternative-def:

assumes partition-on A P assumes $x \in A$ shows (THE X. $x \in X \land X \in P$) = {y. $\exists X \in P$. $x \in X \land y \in X$ } proof show (THE X. $x \in X \land X \in P$) $\subseteq \{y, \exists X \in P, x \in X \land y \in X\}$ proof fix yassume $y \in (THE X, x \in X \land X \in P)$ moreover from $\langle x \in A \rangle$ have $x \in (THE X, x \in X \land X \in P)$ using (partition-on A P) partition-on-in-the-unique-part by force **moreover from** $\langle x \in A \rangle$ have $(THE X, x \in X \land X \in P) \in P$ using $\langle partition - on A P \rangle$ partition - on - the - part - mem by force ultimately show $y \in \{y, \exists X \in P. x \in X \land y \in X\}$ by *auto* qed next show $\{y, \exists X \in P. x \in X \land y \in X\} \subseteq (THE X. x \in X \land X \in P)$ proof fix yassume $y \in \{y, \exists X \in P, x \in X \land y \in X\}$ from this obtain X where $x \in X$ and $y \in X$ and $X \in P$ by auto from $\langle x \in X \rangle \langle X \in P \rangle$ have $(THE X. x \in X \land X \in P) = X$ using $\langle partition-on | A | P \rangle$ partition-on-the-part-eq by force from this $\langle y \in X \rangle$ show $y \in (THE X. x \in X \land X \in P)$ by simp qed qed **lemma** partition-on-all-in-part-eq-part: assumes partition-on A P assumes $X' \in P$ shows $\{x \in A. (THE X. x \in X \land X \in P) = X'\} = X'$ proof show $\{x \in A. (THE X. x \in X \land X \in P) = X'\} \subseteq X'$ using assms(1) partition-on-in-the-unique-part by force \mathbf{next} show $X' \subseteq \{x \in A. (THE X. x \in X \land X \in P) = X'\}$ proof fix xassume $x \in X'$ from $\langle x \in X' \rangle \langle X' \in P \rangle$ have $x \in A$ using $\langle partition-on \ A \ P \rangle$ by (auto elim: partition-onE) **moreover from** $\langle x \in X' \rangle \langle X' \in P \rangle$ have $(THE X, x \in X \land X \in P) = X'$ using $\langle partition-on \ A \ P \rangle$ partition-on-the-part-eq by fastforce ultimately show $x \in \{x \in A. (THE X, x \in X \land X \in P) = X'\}$ by *auto* qed qed

lemma partition-on-part-characteristic: assumes partition-on $A \ P$ assumes $X \in P \ x \in X$ shows $X = \{y. \exists X \in P. x \in X \land y \in X\}$ proof – from $\langle x \in X \rangle \langle X \in P \rangle$ have $x \in A$ using $\langle partition - on A P \rangle$ partition-onE by blast from $\langle x \in X \rangle \langle X \in P \rangle$ have $X = (THE X. x \in X \land X \in P)$ using $\langle partition - on A P \rangle$ by (simp add: partition-on-the-part-eq) also from $\langle x \in A \rangle$ have (THE X. $x \in X \land X \in P$) = $\{y. \exists X \in P. x \in X \land y \in X\}$ using $\langle partition - on A P \rangle$ the-unique-part-alternative-def by force finally show ?thesis . qed lemma partition-on-no-partition-outside-carrier: assumes partition-on A P assumes $x \notin A$

shows $\{y. \exists X \in P. x \in X \land y \in X\} = \{\}$ using assms unfolding partition-on-def by auto

1.5 Cardinality of Parts in a Set Partition

lemma partition-on-le-set-elements: assumes finite A assumes partition-on A P shows card $P \leq card A$ using assms **proof** (*induct A arbitrary: P*) case *empty* from this show card $P \leq card \{\}$ by (simp add: partition-on-empty) \mathbf{next} **case** (insert a A) show ?case **proof** (cases $\{a\} \in P$) case True have prop-partition-on: $\forall p \in P. p \neq \{\} \bigcup P = insert \ a \ A$ $\forall p \in P. \forall p' \in P. p \neq p' \longrightarrow p \cap p' = \{\}$ using $\langle partition-on (insert \ a \ A) \ P \rangle$ by $(fastforce \ elim: \ partition-onE)+$ from $this(2, 3) \langle a \notin A \rangle \langle \{a\} \in P \rangle$ have $A - eq: A = \bigcup (P - \{\{a\}\})$ by auto (metis Int-iff UnionI empty-iff insert-iff) **from** prop-partition-on A-eq have partition-on: partition-on A $(P - \{\{a\}\})$ by (intro partition-onI) auto from insert.hyps(3) this have card $(P - \{\{a\}\}) \leq card A$ by simp from this insert $(1, 2, 4) < \{a\} \in P$ show ?thesis using finite-elements [OF < finite A> partition-on] by simp \mathbf{next} case False from (partition-on (insert a A) P) obtain p where p-def: $p \in P \ a \in p$ by (blast elim: partition-onE) **from** (partition-on (insert a A) P) p-def have a-notmem: $\forall p' \in P - \{p\}$. $a \notin P$ p'

by (blast elim: partition-onE)

from (*partition-on* (*insert* a A) P> p-def have $p - \{a\} \notin P$

unfolding partition-on-def disjoint-def

by (*metis Diff-insert-absorb Diff-subset inf.orderE mk-disjoint-insert*)

let $?P' = insert (p - \{a\}) (P - \{p\})$

have partition-on A ?P'

proof (rule partition-onI)

from (partition-on (insert a A) P) have $\forall p \in P$. $p \neq \{\}$ by (auto elim: partition-on E)

from this p-def $\langle \{a\} \notin P \rangle$ show $\bigwedge p'$. $p' \in insert (p - \{a\}) (P - \{p\}) \Longrightarrow p' \neq \{\}$

by (simp; metis (no-types) Diff-eq-empty-iff subset-singletonD)

 \mathbf{next}

from (partition-on (insert a A) P) have $\bigcup P = insert \ a \ A$ by (auto elim: partition-on E)

from p-def this $\langle a \notin A \rangle$ a-notmem show $\bigcup (insert (p - \{a\}) (P - \{p\})) = A$ by auto

 \mathbf{next}

show $\bigwedge pa \ pa'$. $pa \in insert \ (p - \{a\}) \ (P - \{p\}) \Longrightarrow pa' \in insert \ (p - \{a\}) \ (P - \{p\}) \Longrightarrow pa \neq pa' \Longrightarrow pa \cap pa' = \{\}$

using $\langle partition-on (insert a A) P \rangle$ p-def a-notmem **unfolding** partition-on-def disjoint-def

by (metis disjoint-iff-not-equal insert-Diff insert-iff)

 \mathbf{qed}

have finite P using $\langle finite A \rangle \langle partition-on A ?P' \rangle$ finite-elements by fastforce have card $P = Suc (card (P - \{p\}))$ using p-def $\langle finite P \rangle$ card.remove by fastforce

also have $\ldots = card ?P'$ using $\langle p - \{a\} \notin P \rangle$ (finite $P \rangle$ by simp also have $\ldots \leq card A$ using $\langle partition-on A ?P' \rangle$ insert.hyps(3) by simp also have $\ldots \leq card$ (insert a A) by (simp add: card-insert-le $\langle finite A \rangle$) finally show ?thesis. ged

qed

1.6 Operations on Set Partitions

lemma partition-on-union: assumes $A \cap B = \{\}$ assumes partition-on A Passumes partition-on B Qshows partition-on $(A \cup B) (P \cup Q)$ proof (rule partition-onI) fix Xassume $X \in P \cup Q$ from this $\langle partition$ -on $A P \rangle \langle partition$ -on $B Q \rangle$ show $X \neq \{\}$ by (auto elim: partition-onE) next show $\bigcup (P \cup Q) = A \cup B$ using $\langle partition$ -on $A P \rangle \langle partition$ -on $B Q \rangle$ by (auto elim: partition-onE)

```
\mathbf{next}
 fix X Y
 assume X \in P \cup Q \ Y \in P \cup Q \ X \neq Y
 from this assess show X \cap Y = \{\}
   by (elim UnE \ partition-onE) auto
\mathbf{qed}
lemma partition-on-split1:
 assumes partition-on A (P \cup Q)
 shows partition-on (\bigcup P) P
proof (rule partition-onI)
 fix p
 assume p \in P
 from this assess show p \neq \{\}
   using Un-iff partition-onE by auto
\mathbf{next}
 show \bigcup P = \bigcup P...
\mathbf{next}
 fix p p'
 assume a: p \in P \ p' \in P \ p \neq p'
 from this assms show p \cap p' = \{\}
   using partition-onE subsetCE sup-ge1 by blast
qed
lemma partition-on-split2:
 assumes partition-on A (P \cup Q)
 shows partition-on (\lfloor \rfloor Q) Q
using assms partition-on-split1 sup-commute by metis
lemma partition-on-intersect-on-elements:
 assumes partition-on (A \cup C) P
 assumes \forall X \in P. \exists x. x \in X \cap C
 shows partition-on C ((\lambda X. X \cap C) 'P)
proof (rule partition-onI)
 fix p
 assume p \in (\lambda X. X \cap C) 'P
 from this assess show p \neq \{\} by auto
\mathbf{next}
 have |P = A \cup C
   using assms by (auto elim: partition-onE)
 from this show \bigcup ((\lambda X. X \cap C) \ 'P) = C by auto
\mathbf{next}
 fix p p'
 assume p \in (\lambda X. X \cap C) ' P p' \in (\lambda X. X \cap C) ' P p \neq p'
 from this assms(1) show p \cap p' = \{\}
   by (blast elim: partition-onE)
qed
```

lemma partition-on-insert-elements:

assumes $A \cap B = \{\}$ assumes partition-on B P assumes $f \in A \rightarrow_E P$ shows partition-on $(A \cup B)$ $((\lambda X, X \cup \{x \in A, f x = X\})$ 'P) (is partition-on -?P**proof** (rule partition-onI) fix Xassume $X \in ?P$ from this (partition-on B P) show $X \neq \{\}$ **by** (*auto elim: partition-onE*) \mathbf{next} show $\bigcup ?P = A \cup B$ using $\langle partition \text{-}on \ B \ P \rangle \langle f \in A \rightarrow_E P \rangle$ by (auto elim: partition - on E) \mathbf{next} fix X Yassume $X \in ?P \ Y \in ?P \ X \neq Y$ from $\langle X \in P \rangle$ obtain X' where X': $X = X' \cup \{x \in A, f x = X'\}$ X' $\in P$ by autofrom $\langle Y \in P \rangle$ obtain Y' where Y': $Y = Y' \cup \{x \in A, f x = Y'\}$ $Y' \in P$ by auto from $\langle X \neq Y \rangle X' Y'$ have $X' \neq Y'$ by *auto* from this X' Y' have $X' \cap Y' = \{\}$ using $\langle partition-on B P \rangle$ by (auto elim!: partition-onE) from X' Y' have $X' \subseteq B Y' \subseteq B$ using $\langle partition-on \ B \ P \rangle$ by (auto elim!: partition-onE) from this $\langle X' \cap Y' = \{\} \rangle X' Y' \langle X' \neq Y' \rangle$ show $X \cap Y = \{\}$ using $\langle A \cap B = \{\}\rangle$ by *auto* qed **lemma** partition-on-map: assumes inj-on f Aassumes partition-on A P shows partition-on (f ` A) ((`) f ` P)proof – { fix X Yassume $X \in P \ Y \in P \ f' \ X \neq f'' Y$ **moreover from** assms have $\forall p \in P$. $\forall p' \in P$. $p \neq p' \longrightarrow p \cap p' = \{\}$ and *inj-on* $f(\bigcup P)$ **by** (*auto elim*!: *partition-onE*) ultimately have $f ` X \cap f ` Y = \{\}$ unfolding inj-on-def by auto (metis IntI empty-iff rev-image-eqI)+ } from assms this show partition-on (f ` A) ((`) f ` P)**by** (*auto intro*!: *partition-onI elim*!: *partition-onE*) qed **lemma** *set-of-partition-on-map*:

assumes inj-on f A

shows (') ((') f) ' {P. partition-on A P} = {P. partition-on (f ' A) P} proof (rule set-eqI') fix xassume $x \in (`) ((`) f) ` \{P. partition-on A P\}$ from this (inj-on f A) show $x \in \{P. partition-on (f `A) P\}$ **by** (*auto intro: partition-on-map*) \mathbf{next} fix Passume $P \in \{P. \text{ partition-on } (f `A) P\}$ from this have partition-on $(f \cdot A) P$ by auto from this have mem: $\bigwedge X x$. $X \in P \implies x \in X \implies x \in f$ ' A **by** (*auto elim*!: *partition-onE*) have (') $(f \circ the\text{-inv-into } A f)$ ' P = (') f ' (') (the-inv-into A f) ' P**by** (*simp add: image-image cong: image-cong-simp*) moreover have $P = (f) (f \circ the - inv - into A f)$, P**proof** (rule set-eqI') fix Xassume $X: X \in P$ **moreover from** X mem have in-range: $\forall x \in X$. $x \in f$ 'A by auto **moreover have** $X = (f \circ the inv into A f)$ 'X **proof** (rule set-eqI') fix xassume $x \in X$ show $x \in (f \circ the\text{-inv-into } A f)$ ' X **proof** (*rule image-eqI*) from in-range $\langle x \in X \rangle$ assess show $x = (f \circ the\text{-inv-into } A f) x$ by (auto simp add: f-the-inv-into-f[of f]) from $\langle x \in X \rangle$ show $x \in X$ by assumption qed \mathbf{next} fix xassume $x \in (f \circ the\text{-inv-into } A f)$ 'X from this obtain x' where $x': x' \in X \land x = f$ (the-inv-into A f x') by auto from in-range x' have f: f (the-inv-into A f x') $\in X$ by (subst f-the-inv-into-f[of f]) (auto intro: $\langle inj$ -on f A \rangle) from $x' \langle X \in P \rangle$ f show $x \in X$ by auto qed ultimately show $X \in (`)$ $(f \circ the - inv - into A f)$ ' P by auto \mathbf{next} fix Xassume $X \in (`)$ $(f \circ the\text{-inv-into } A f)$ ' P moreover { fix Yassume $Y \in P$ **from** this (inj-on f A) mem have $\forall x \in Y$. f (the-inv-into A f x) = xby (auto simp add: f-the-inv-into-f) from this have $(f \circ the inv into A f)$ ' Y = Y by force }

ultimately show $X \in P$ by auto qed ultimately have P: P = (`) f`(`) (the-inv-into A f) ' P by simp have A-eq: A = the-inv-into A f`f`A by (simp add: assms) from $\langle inj$ -on $f A \rangle$ have inj-on (the-inv-into A f) (f`A) using $\langle partition$ -on (f`A) $P \rangle$ by (simp add: inj-on-the-inv-into) from this have (`) (the-inv-into A f) ' $P \in \{P. partition$ -on $A P\}$ using $\langle partition$ -on (f`A) $P \rangle$ by (subst A-eq, auto intro!: partition-on-map) from P this show $P \in (`)$ ((`) f) ' $\{P. partition$ -on $A P\}$ by (rule image-eqI) qed

end

2 Combinatorial Basics

theory Injectivity-Solver imports HOL-Library.Disjoint-Sets HOL-Library.Monad-Syntax HOL-Eisbach.Eisbach begin

2.1 Preliminaries

These lemmas shall be added to the Disjoint Set theory.

2.1.1 Injectivity and Disjoint Families

lemma inj-on-impl-disjoint-family-on-singleton: **assumes** inj-on f A **shows** disjoint-family-on (λx . {f x}) A**using** assms disjoint-family-on-def inj-on-contraD by fastforce

2.1.2 Cardinality Theorems for Set.bind

lemma card-bind: assumes finite S assumes $\forall X \in S$. finite (f X)assumes disjoint-family-on f Sshows card $(S \gg f) = (\sum x \in S. card (f x))$ proof – have card $(S \gg f) = card (\bigcup (f \cdot S))$ by (simp add: bind-UNION) also have card $(\bigcup (f \cdot S)) = (\sum x \in S. card (f x))$ using assms unfolding disjoint-family-on-def by (simp add: card-UN-disjoint) finally show ?thesis . ged **lemma** card-bind-constant: **assumes** finite S **assumes** $\forall X \in S$. finite (f X) **assumes** disjoint-family-on f S **assumes** $\bigwedge x. x \in S \implies card (f x) = k$ **shows** card (S \gg f) = card S * k **using** assms by (simp add: card-bind)

lemma card-bind-singleton: **assumes** finite S **assumes** inj-on f S **shows** card $(S \gg (\lambda x. \{f x\})) = card S$ **using** assms by (auto simp add: card-bind-constant inj-on-impl-disjoint-family-on-singleton)

2.2 Third Version of Injectivity Solver

Here, we provide a third version of the injectivity solver. The original first version was provided in the AFP entry 'Spivey's Generalized Recurrence for Bell Numbers'. From that method, I derived a second version in the AFP entry 'Cardinality of Equivalence Relations'. At roughly the same time, Makarius improved the injectivity solver in the development version of the first AFP entry. This third version now includes the improvements of the second version and Makarius improvements to the first, and it further extends the method to handle the new cases in the cardinality proof of this AFP entry.

As the implementation of the injectivity solver only evolves in the development branch of the AFP, the submissions of the three AFP entries that employ the injectivity solver, have to create clones of the injectivity solver for the identified and needed method adjustments. Ultimately, these three clones should only remain in the stable branches of the AFP from Is-abelle2016 to Isabelle2017 to work with their corresponding release versions. In the development version, I have now consolidated the three versions here. In the next step, I will move this version of the injectivity solver in the HOL-Library.Disjoint-Sets and it will hopefully only evolve further there.

lemma *disjoint-family-onI*:

assumes $\bigwedge i \ j. \ i \in I \land j \in I \Longrightarrow i \neq j \Longrightarrow (A \ i) \cap (A \ j) = \{\}$ shows disjoint-family-on $A \ I$ using assms unfolding disjoint-family-on-def by auto

lemma disjoint-bind: $\bigwedge S T f g$. ($\bigwedge s t. S s \land T t \Longrightarrow f s \cap g t = \{\}$) \Longrightarrow ({s. S s} $\gg f$) \cap ({t. T t} $\gg g$) = {} by fastforce

lemma disjoint-bind': $\bigwedge S T f g$. ($\bigwedge s t. s \in S \land t \in T \Longrightarrow f s \cap g t = \{\}$) \Longrightarrow ($S \gg f$) $\cap (T \gg g) = \{\}$ by fastforce **lemma** *injectivity-solver-CollectE*: assumes $a \in \{x. P x\} \land a' \in \{x. P' x\}$ assumes $(P a \land P' a') \Longrightarrow W$ shows Wusing assms by auto **lemma** injectivity-solver-prep-assms-Collect: assumes $x \in \{x. P x\}$ shows $P \ x \land P \ x$ using assms by simp **lemma** injectivity-solver-prep-assms: $x \in A \implies x \in A \land x \in A$ by simp **lemma** disjoint-terminal-singleton: $\land s \ t \ X \ Y. \ s \neq t \Longrightarrow (X = Y \Longrightarrow s = t) \Longrightarrow$ $\{X\} \cap \{Y\} = \{\}$ by auto **lemma** disjoint-terminal-Collect: assumes $s \neq t$ assumes $\bigwedge x x'$. $S x \land T x' \Longrightarrow x = x' \Longrightarrow s = t$ shows $\{x. S x\} \cap \{x. T x\} = \{\}$ using assms by auto **lemma** disjoint-terminal: $s \neq t \Longrightarrow (\bigwedge x \ x'. \ x \in S \land x' \in T \Longrightarrow x = x' \Longrightarrow s = t) \Longrightarrow S \cap T = \{\}$ **by** blast **lemma** *elim-singleton*: assumes $x \in \{s\} \land x' \in \{t\}$ obtains $x = s \land x' = t$ using assms by blast **method** *injectivity-solver* **uses** *rule* = insert method-facts, use nothing in < ((drule injectivity-solver-prep-assms-Collect | drule injectivity-solver-prep-assms)+)?; rule disjoint-family-onI; ((rule disjoint-bind | rule disjoint-bind')+)?; (erule elim-singleton)?; (erule disjoint-terminal-singleton | erule disjoint-terminal-Collect | erule dis*joint-terminal*); (*elim injectivity-solver-CollectE*)?; rule rule; assumption +>

 \mathbf{end}

3 Cardinality of Set Partitions

```
theory Card-Partitions
imports
  HOL-Combinatorics.Stirling
 Set-Partition
  Injectivity-Solver
begin
lemma set-partition-on-insert-with-fixed-card-eq:
 assumes finite A
 assumes a \notin A
 shows {P. partition-on (insert a A) P \land card P = Suc k} = (do {
    P < \{P. partition-on A P \land card P = Suc k\};
    p < -P;
    {insert (insert a p) (P - \{p\})}
 })
 \cup (do {
   P < \{P \text{ partition-on } A \ P \land card \ P = k\};
   \{insert \{a\} P\}
  ) (is ?S = ?T)
proof
 show ?S \subseteq ?T
 proof
   fix P
   assume P \in \{P. \text{ partition-on (insert a A) } P \land card P = Suc k\}
   from this have partition-on (insert a A) P and card P = Suc k by auto
   show P \in ?T
   proof cases
     assume \{a\} \in P
     have partition-on A(P - \{\{a\}\})
       using \langle \{a\} \in P \rangle (partition-on (insert a A) P \rangle [THEN partition-on-Diff, of
\{\{a\}\} \mid \langle a \notin A \rangle
       by auto
     moreover from \langle \{a\} \in P \rangle \langle card P = Suc k \rangle have card (P - \{\{a\}\}) = k
       by (subst card-Diff-singleton) (auto intro: card-ge-0-finite)
     moreover from \langle \{a\} \in P \rangle have P = insert \{a\} (P - \{\{a\}\}) by auto
     ultimately have P \in \{P. \text{ partition-on } A P \land card P = k\} \gg (\lambda P. \{insert
\{a\} P\})
       by auto
     from this show ?thesis by auto
   \mathbf{next}
     assume \{a\} \notin P
     let ?p' = (THE X. a \in X \land X \in P)
     let ?p = (THE X. a \in X \land X \in P) - \{a\}
     let ?P' = insert ?p (P - \{?p'\})
     from (partition-on (insert a A) P) have a \in (THE X, a \in X \land X \in P)
       using partition-on-in-the-unique-part by fastforce
     from (partition-on (insert a A) P) have (THE X. a \in X \land X \in P) \in P
```

using partition-on-the-part-mem by fastforce

from this (partition-on (insert a A) P) have $(THE X, a \in X \land X \in P)$ – $\{a\} \notin P$ using partition-subset-imp-notin $\langle a \in (THE \ X. \ a \in X \land X \in P) \rangle$ by blast have $(THE X. a \in X \land X \in P) \neq \{a\}$ using $\langle (THE X. \ a \in X \land X \in P) \in P \rangle \langle \{a\} \notin P \rangle$ by auto **from** (partition-on (insert a A) P) have (THE X. $a \in X \land X \in P$) \subseteq insert a Ausing $\langle (THE X. a \in X \land X \in P) \in P \rangle$ partition-onD1 by fastforce **note** facts-on-the-part-of = $\langle a \in (THE X, a \in X \land X \in P) \rangle \langle (THE X, a \in X \land X \in P) \rangle$ $X \land X \in P) \in P$ $\langle (THE X. \ a \in X \land X \in P) - \{a\} \notin P \rangle \langle (THE X. \ a \in X \land X \in P) \neq \{a\} \rangle$ $\langle (THE X. \ a \in X \land X \in P) \subseteq insert \ a \ A \rangle$ **from** $\langle partition-on (insert a A) P \rangle \langle finite A \rangle$ have finite P by (meson finite.insertI finite-elements) **from** (partition-on (insert a A) P) ($a \notin A$) have partition-on (A - ?p) (P) $-\{?p'\})$ using facts-on-the-part-of by (auto intro: partition-on-remove-singleton) from this have partition-on A ?P' using facts-on-the-part-of by (auto intro: partition-on-insert simp add: disjnt-iff) moreover have card ?P' = Suc kproof – from (card $P = Suc \ k$) have card $(P - \{THE \ X. \ a \in X \land X \in P\}) = k$ using $\langle finite P \rangle \langle (THE X. a \in X \land X \in P) \in P \rangle$ by simp from this show ?thesis using $\langle finite P \rangle \langle (THE X. a \in X \land X \in P) - \{a\} \notin P \rangle$ by (simp add:card-insert-if) qed moreover have $?p \in ?P'$ by *auto* moreover have $P = insert (insert \ a \ ?p) (?P' - \{?p\})$ using facts-on-the-part-of by (auto simp add: insert-absorb) ultimately have $P \in \{P. \text{ partition-on } A \ P \land \text{ card } P = Suc \ k\} \gg (\lambda P. P)$ $\gg (\lambda p. \{insert \ (insert \ a \ p) \ (P - \{p\})\}))$ by *auto* then show ?thesis by auto qed qed \mathbf{next} show $?T \subseteq ?S$ proof fix Passume $P \in ?T$ (is $- \in ?subexpr1 \cup ?subexpr2$) from this show $P \in ?S$ proof assume $P \in ?subexpr1$ from this obtain p P' where P = insert (insert a p) $(P' - \{p\})$ and partition-on A P' and card $P' = Suc \ k$ and $p \in P'$ by auto **from** $(p \in P')$ (partition-on A P') have partition-on $(A - p) (P' - \{p\})$

by (simp add: partition-on-remove-singleton) from $\langle partition-on \ A \ P' \rangle \langle finite \ A \rangle$ have finite P using $\langle P = \rightarrow$ finite-elements by auto from $\langle partition \text{-}on \ A \ P' \rangle \langle a \notin A \rangle$ have insert $a \ p \notin P' - \{p\}$ using partition-onD1 by fastforce from $\langle P = - \rangle$ this $\langle card \ P' = Suc \ k \rangle \langle finite \ P \rangle \langle p \in P' \rangle$ have card $P = Suc \ k$ by auto moreover have partition-on (insert a A) Pusing $\langle partition \text{-} on (A - p) (P' - \{p\}) \rangle \langle a \notin A \rangle \langle p \in P' \rangle \langle partition \text{-} on A \rangle$ $P' \rightarrow \langle P = - \rangle$ by (auto introl: partition-on-insert dest: partition-onD1 simp add: disjnt-iff) ultimately show $P \in ?S$ by *auto* \mathbf{next} assume $P \in ?subexpr2$ from this obtain P' where $P = insert \{a\} P'$ and partition-on A P' and card P' = k by auto from $\langle partition-on \ A \ P' \rangle \langle finite \ A \rangle$ have finite P using $\langle P = insert \{a\} P' \rangle$ finite-elements by auto from $\langle partition \text{-}on \ A \ P' \rangle \langle a \notin A \rangle$ have $\{a\} \notin P'$ using partition-onD1 by fastforce from $\langle P = insert \{a\} P' \rangle \langle card P' = k \rangle$ this $\langle finite P \rangle$ have card P = Suc kby auto **moreover from** (partition-on A P') ($a \notin A$) have partition-on (insert a A) Pusing $\langle P = insert \{a\} P' \rangle$ by (simp add: partition-on-insert-singleton) ultimately show $P \in ?S$ by *auto* qed ged \mathbf{qed} **lemma** *injectivity-subexpr1*: assumes $a \notin A$ assumes $X \in P \land X' \in P'$ assumes insert (insert a X) $(P - \{X\}) = insert$ (insert a X') $(P' - \{X'\})$ assumes (partition-on $A \ P \land card \ P = Suc \ k') \land$ (partition-on $A \ P' \land card \ P'$ = Suc k'shows P = P' and X = X'proof from assms(1, 2, 4) have $a \notin X a \notin X'$ using partition-onD1 by auto from assms(1, 4) have insert $a X \notin P$ insert $a X' \notin P'$ using partition-onD1 by auto from assms(1, 3, 4) have insert $a X = insert \ a X'$ **by** (*metis Diff-iff insertE insertI1 mem-simps*(9) *partition-onD1*) from this $\langle a \notin X' \rangle \langle a \notin X \rangle$ show X = X'by (meson insert-ident) from assms(2, 3) show P = P'using (insert a $X = insert \ a \ X'$) (insert a $X \notin P$) (insert a $X' \notin P'$) **by** (*metis insert-Diff insert-absorb insert-commute insert-ident*)

\mathbf{qed}

lemma injectivity-subexpr2: assumes $a \notin A$ **assumes** insert $\{a\}$ $P = insert \{a\}$ P'assumes (partition-on A $P \land card P = k') \land partition-on A P' \land card P' = k'$ shows P = P'proof from assms(1, 3) have $\{a\} \notin P$ and $\{a\} \notin P'$ using partition-onD1 by auto from $\langle \{a\} \notin P \rangle$ have $P = insert \{a\} P - \{\{a\}\}$ by simp also from (insert {a} P = insert {a} P') have ... = insert {a} $P' - \{\{a\}\}$ by simp also from $\langle \{a\} \notin P' \rangle$ have $\ldots = P'$ by simpfinally show ?thesis . qed **theorem** card-partition-on: assumes finite A **shows** card $\{P. partition-on A P \land card P = k\} = Stirling (card A) k$ using assms **proof** (*induct* A *arbitrary*: k) case *empty* have eq: $\{P, P = \{\} \land card P = 0\} = \{\{\}\}$ by auto **show** ?case by (cases k) (auto simp add: partition-on-empty eq) \mathbf{next} **case** (insert a A) from this show ?case **proof** (cases k) case θ **from** insert(1) **have** empty: $\{P. \text{ partition-on (insert a A) } P \land \text{ card } P = 0\} =$ {} unfolding partition-on-def by (auto simp add: card-eq-0-iff finite-UnionD) from 0 insert show ?thesis by (auto simp add: empty) \mathbf{next} case (Suc k') let $?subexpr1 = do \{$ $P < \{P, partition-on A P \land card P = Suc k'\};$ $p < -\dot{P};$ $\{insert \ (insert \ a \ p) \ (P - \{p\})\}$ } let $?subexpr2 = do \{$ $P < \{P. partition-on \ A \ P \land card \ P = k'\};$ $\{insert \{a\} P\}$ } let $?expr = ?subexpr1 \cup ?subexpr2$ have card $\{P. partition-on (insert a A) P \land card P = k\} = card ?expr$ using (finite A) ($a \notin A$) (k = Suc k') by (simp add: set-partition-on-insert-with-fixed-card-eq) also have card ?expr = Stirling (card A) k' + Stirling (card A) (Suc k') * Suc

k'

proof have finite ?subexpr1 \land card ?subexpr1 = Stirling (card A) (Suc k') * Suc k' proof **from** (finite A) have finite {P. partition-on A $P \land card P = Suc k'$ } **by** (*simp add: finitely-many-partition-on*) **moreover have** $\forall X \in \{P. \text{ partition-on } A \ P \land \text{ card } P = Suc \ k'\}$. finite (X) $\gg (\lambda p. \{insert \ (insert \ a \ p) \ (X - \{p\})\}))$ using finite-elements (finite A) finite-bind by (metis (no-types, lifting) finite.emptyI finite-insert mem-Collect-eq) **moreover have** disjoint-family-on (λP . $P \gg (\lambda p. \{insert (insert a p) (P$ $(-\{p\})\}) \{P. \text{ partition-on } A P \land card P = Suc k'\}$ by (injectivity-solver rule: injectivity-subexpr1(1)[$OF \langle a \notin A \rangle$]) **moreover have** card $(P \gg (\lambda p, \{insert (insert a p) (P - \{p\})\})) = Suc$ k'if $P \in \{P. \text{ partition-on } A \ P \land \text{ card } P = Suc \ k'\}$ for P proof from that $\langle finite A \rangle$ have finite P using finite-elements by blast **moreover have** inj-on $(\lambda p. insert (insert a p) (P - \{p\})) P$ using that injectivity-subexpr1(2)[OF $\langle a \notin A \rangle$] by (simp add: inj-onI) moreover from that have card $P = Suc \ k'$ by simp ultimately show ?thesis by (simp add: card-bind-singleton) qed ultimately have card ?subexpr1 = card {P. partition-on $A P \land card P =$ Suc k' * Suc k'**by** (subst card-bind-constant) simp+ from this have card ?subexpr1 = Stirling (card A) (Suc k') * Suc k'using insert.hyps(3) by simpmoreover have finite ?subexpr1 using (finite {P. partition-on $A P \land card P = Suc k'$ }) $\forall X \in \{P. \text{ partition-on } A \ P \land \text{ card } P = Suc \ k'\}.$ finite $(X \gg (\lambda p. \{\text{insert} \})$ $(insert \ a \ p) \ (X - \{p\})\}))$ by (auto intro: finite-bind) ultimately show ?thesis by blast qed **moreover have** finite ?subexpr2 \land card ?subexpr2 = Stirling (card A) k' proof – **from** (finite A) have finite {P. partition-on A $P \land card P = k'$ } **by** (*simp add: finitely-many-partition-on*) **moreover have** inj-on (insert $\{a\}$) $\{P. partition-on A P \land card P = k'\}$ using injectivity-subexpr2[OF $\langle a \notin A \rangle$] by (simp add: inj-on-def) ultimately have card ?subexpr2 = card {P. partition-on A $P \land card P =$ k'**by** (*simp add: card-bind-singleton*) also have $\ldots = Stirling (card A) k'$ using insert.hyps(3). finally have card ?subexpr2 = Stirling (card A) k'. moreover have finite ?subexpr2

```
by (simp add: (finite {P. partition-on A P \land card P = k') finite-bind)
       ultimately show ?thesis by blast
     qed
     moreover have ?subexpr1 \cap ?subexpr2 = \{\}
     proof -
       have \forall P \in ?subexpr1. \{a\} \notin P
         using insert.hyps(2) by (force elim!: partition-onE)
       moreover have \forall P \in ?subexpr2. \{a\} \in P by auto
       ultimately show ?subexpr1 \cap ?subexpr2 = {} by blast
     qed
     ultimately show ?thesis
       by (simp add: card-Un-disjoint)
   qed
   also have \ldots = Stirling (card (insert a A)) k
     using insert(1, 2) < k = Suc \ k' > by \ simp
   finally show ?thesis .
 qed
qed
theorem card-partition-on-at-most-size:
 assumes finite A
 shows card \{P. partition-on A P \land card P \leq k\} = (\sum j \leq k. Stirling (card A) j)
proof –
 have card \{P. partition-on \ A \ P \land card \ P \leq k\} = card \ (\bigcup j \leq k. \ \{P. partition-on
A P \wedge card P = j\}
   by (rule arg-cong[where f=card]) auto
 also have \ldots = (\sum j \leq k. \ card \{P. \ partition-on \ A \ P \land card \ P = j\})
  by (subst card-UN-disjoint) (auto simp add: <finite A> finitely-many-partition-on)
 also have (\sum j \leq k. \ card \ \{P. \ partition-on \ A \ P \land card \ P = j\}) = (\sum j \leq k. \ Stirling
(card A) j
   using \langle finite A \rangle by (simp add: card-partition-on)
 finally show ?thesis .
qed
theorem partition-on-size1:
 assumes finite A
 shows \{P. \text{ partition-on } A P \land (\forall X \in P. \text{ card } X = 1)\} = \{(\lambda a. \{a\}) `A\}
proof
 show {P. partition-on A \ P \land (\forall X \in P. \ card \ X = 1)} \subseteq \{(\lambda a, \{a\}) \land A\}
  proof
   fix P
   assume P: P \in \{P. \text{ partition-on } A P \land (\forall X \in P. \text{ card } X = 1)\}
   have P = (\lambda a. \{a\}) 'A
   proof
     show P \subseteq (\lambda a. \{a\}) ' A
     proof
       fix X
       assume X \in P
       from P this obtain x where X = \{x\}
```

```
by (auto simp add: card-Suc-eq)
       from this \langle X \in P \rangle have x \in A
         using P unfolding partition-on-def by blast
       from this \langle X = \{x\} \rangle show X \in (\lambda a, \{a\}) 'A by auto
     qed
   \mathbf{next}
     show (\lambda a. \{a\}) ' A \subseteq P
     proof
       fix X
       assume X \in (\lambda a. \{a\}) ' A
       from this obtain x where X: X = \{x\} \ x \in A by auto
       have \bigcup P = A
         using P unfolding partition-on-def by blast
       from this \langle x \in A \rangle obtain X' where x \in X' and X' \in P
         using UnionE by blast
       from \langle X' \in P \rangle have card X' = 1
         using P unfolding partition-on-def by auto
       from this \langle x \in X' \rangle have X' = \{x\}
         using card-1-singletonE by blast
       from this X(1) \langle X' \in P \rangle show X \in P by auto
     qed
   qed
   from this show P \in \{(\lambda a, \{a\}) \ `A\} by auto
  qed
\mathbf{next}
  show \{(\lambda a, \{a\}), A\} \subseteq \{P, partition on A P \land (\forall X \in P, card X = 1)\}
  proof
   fix P
   assume P \in \{(\lambda a. \{a\}) `A\}
   from this have P: P = (\lambda a, \{a\}) 'A by auto
   from this have partition-on A P by (auto intro: partition-onI)
   from P this show P \in \{P. \text{ partition-on } A \ P \land (\forall X \in P. \text{ card } X = 1)\} by auto
  qed
\mathbf{qed}
theorem card-partition-on-size1:
 assumes finite A
  shows card \{P. partition-on A P \land (\forall X \in P. card X = 1)\} = 1
using assms partition-on-size1 by fastforce
lemma card-partition-on-size1-eq-1:
  assumes finite A
 assumes card A \leq k
 shows card \{P. partition-on \ A \ P \land card \ P \leq k \land (\forall X \in P. card \ X = 1)\} = 1
proof -
  {
   fix P
   assume partition-on A P \forall X \in P. card X = 1
   from this have P \in \{P. \text{ partition-on } A \ P \land (\forall X \in P. \text{ card } X = 1)\} by simp
```

from this have $P \in \{(\lambda a, \{a\}), A\}$ using partition-on-size1 $\langle finite | A \rangle$ by auto from this have $P = (\lambda a. \{a\})$ ' A by auto moreover from this have card P = card A**by** (*auto intro: card-image*) from this have $\{P. \text{ partition-on } A \ P \land \text{ card } P \leq k \land (\forall X \in P. \text{ card } X = 1)\} =$ $\{P. \text{ partition-on } A \ P \land (\forall X \in P. \text{ card } X = 1)\}$ using $\langle card \ A \leq k \rangle$ by auto from this show ?thesis using (finite A) by (simp only: card-partition-on-size1) qed **lemma** card-partition-on-size1-eq-0: assumes finite A assumes k < card Ashows card $\{P. partition-on \ A \ P \land card \ P \leq k \land (\forall X \in P. card \ X = 1)\} = 0$ proof ł fix P**assume** partition-on $A \ P \ \forall X \in P$. card X = 1from this have $P \in \{P. \text{ partition-on } A \ P \land (\forall X \in P. \text{ card } X = 1)\}$ by simp from this have $P \in \{(\lambda a, \{a\}), A\}$ using partition-on-size1 $\langle finite A \rangle$ by auto from this have $P = (\lambda a. \{a\})$ ' A by auto from this have card P = card A**by** (*auto intro: card-image*) } **from** this assms(2) **have** {*P*. partition-on *A P* \land card *P* \leq *k* \land (\forall *X* \in *P*. card *X* $= 1) = \{ \}$ using Collect-empty-eq leD by fastforce from this show ?thesis by (simp only: card.empty) qed

 \mathbf{end}

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