

CakeML

Lars Hupel, Yu Zhang

March 17, 2025

Abstract

CakeML is a functional programming language with a proven-correct compiler and runtime system. This entry contains an unofficial version of the CakeML semantics that has been exported from the Lem specifications to Isabelle. Additionally, there are some hand-written theory files that adapt the exported code to Isabelle and port proofs from the HOL4 formalization, e.g. termination and equivalence proofs.

Contents

I Generated Isabelle code	3
1 Generated by Lem from <i>misc/lem-lib-stub/lib.lem.</i>	4
2 Generated by Lem from <i>semantics/namespace.lem.</i>	6
3 Generated by Lem from <i>semantics/fpSem.lem.</i>	11
4 Generated by Lem from <i>semantics/ast.lem.</i>	13
5 Generated by Lem from <i>semantics/ast.lem.</i>	19
6 Generated by Lem from <i>semantics/ffi/ffi.lem.</i>	20
7 Generated by Lem from <i>semantics/semanticPrimitives.lem.</i>	23
8 Generated by Lem from <i>semantics/alt-semantics/smallStep.lem.</i>	44
9 Generated by Lem from <i>semantics/alt-semantics/bigStep.lem.</i>	50
10 Generated by Lem from <i>semantics/alt-semantics/proofs/bigSmallInvariants.lem.</i>	64
11 Generated by Lem from <i>semantics/evaluate.lem.</i>	69
12 Generated by Lem from <i>misc/lem-lib-stub/lib.lem.</i>	76
13 Generated by Lem from <i>semantics/namespace.lem.</i>	77
14 Generated by Lem from <i>semantics/primTypes.lem.</i>	78
15 Generated by Lem from <i>semantics/semanticPrimitives.lem.</i>	80
16 Generated by Lem from <i>semantics/ffi/simpleIO.lem.</i>	82
17 Generated by Lem from <i>semantics/tokens.lem.</i>	84
18 Generated by Lem from <i>semantics/typeSystem.lem.</i>	86

19 Generated by Lem from <i>semantics/typeSystem.lem</i>.	116
II Proofs ported from HOL4	118
20 Adaptations for Isabelle	119
21 Functional big-step semantics	124
21.1 Termination proof	124
21.2 Simplifying the definition	125
21.3 Simplifying the definition: no mutual recursion	126
22 Relational big-step semantics	131
22.1 Determinism	131
22.2 Totality	131
22.3 Equivalence to the functional semantics	132
22.4 A simpler version with no clock parameter and factored-out matching	135
22.5 Lemmas about the clocked semantics	142
22.6 An even simpler version without mutual induction	151
23 Matching adaptation	157
24 Code generation	160
25 Quickcheck setup (fishy)	162
26 CakeML Compiler	165

Contributors

The export script has been written by Lars Hupel. Hand-written theory files, including definitions and proofs, have been developed by Lars Hupel and Yu Zhang.

Lem is a project by Peter Sewell et.al. Contributors can be found on its project page¹ and on GitHub.²

CakeML is a project with many developers and contributors that can be found on its project page³ and on GitHub.⁴

In particular, Fabian Immler and Johannes Åman Pohjola have contributed Isabelle mappings for constants in the Lem specification of the CakeML semantics.

¹<https://www.cl.cam.ac.uk/~pes20/lem/>

²<https://github.com/rems-project/lem/graphs/contributors>

³<https://cakeml.org/>

⁴<https://github.com/CakeML/cakeml/graphs/contributors>

Part I

Generated Isabelle code

Chapter 1

Generated by Lem from *misc/lem-lib-stub/lib.lem.*

```
theory Lib
```

```
imports
```

```
  Main
```

```
  HOL-Library.Datatype-Records
```

```
  LEM.Lem-pervasives
```

```
  LEM.Lem-list-extra
```

```
  LEM.Lem-string
```

```
  Coinductive.Coinductive-List
```

```
begin
```

```
— Extensions to Lem's built-in library to target things we need in HOL.
```

```
— open import Pervasives
```

```
— import List-extra
```

```
— import String
```

```
— TODO: look for these in the built-in library
```

```
— val rtc : forall 'a. ('a -> 'a -> bool) -> ('a -> 'a -> bool)
```

```
— val disjoint : forall 'a. set 'a -> set 'a -> bool
```

```
— val all2 : forall 'a 'b. ('a -> 'b -> bool) -> list 'a -> list 'b -> bool
```

```
fun the :: 'a => 'a option => 'a where
```

```
  the - (Some x) = ( x ) | the x None = ( x )
```

```
— val fapply : forall 'a 'b. MapKeyType 'b => 'a -> 'b -> Map.map 'b 'a -> 'a
```

```
definition fapply :: 'a => 'b => ('b,'a)Map.map => 'a where
```

```
  fapply d x f = ( (case f x of Some d => d | None => d ))
```

```

function (sequential,domintros)
lunion :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list where

lunion [] s = ( s )
|
lunion (x # xs) s = (
  if Set.member x (set s)
  then lunion xs s
  else x #(lunion xs s))
⟨proof⟩
type-synonym( 'a, 'b) alist = ('a * 'b) list
— val alistToFmap : forall 'k 'v. alist 'k 'v  $\rightarrow$  Map.map 'k 'v

— val opt-bind : forall 'a 'b. maybe 'a  $\rightarrow$  'b  $\rightarrow$  alist 'a 'b  $\rightarrow$  alist 'a 'b
fun opt-bind :: 'a option  $\Rightarrow$  'b  $\Rightarrow$  ('a*'b)list  $\Rightarrow$  ('a*'b)list where
  opt-bind None v2 e = ( e )
  | opt-bind (Some n') v2 e = ( (n',v2) # e )

```

— Lists of indices

```

fun
lshift :: nat  $\Rightarrow$  (nat)list  $\Rightarrow$  (nat)list where

lshift (n :: nat) ls = (
  List.map (λ v2 . v2 - n) (List.filter (λ v2 . n  $\leq$  v2) ls))

```

```

— open import {hol} ‘locationTheory‘
datatype-record locn =
  row :: nat
  col :: nat
  offset :: nat

type-synonym locs = (locn * locn)
— val unknown-loc : locs
end

```

Chapter 2

Generated by Lem from *semantics/namespace.lem.*

```
theory Namespace

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  LEM.Lem-set-extra

begin

  — open import Pervasives
  — open import Set-extra

  type-synonym( 'k, 'v) alist0 = ('k * 'v) list

  — Identifiers
  datatype( 'm, 'n) id0 =
    Short 'n
    | Long 'm ('m, 'n) id0

  — val mk-id : forall 'n 'm. list 'm -> 'n -> id 'm 'n
  fun mk-id :: 'm list => 'n => ('m,'n)id0  where
    mk-id [] n = ( Short n )
    | mk-id (mn # mns) n = ( Long mn (mk-id mns n))

  — val id-to-n : forall 'n 'm. id 'm 'n -> 'n
  fun id-to-n :: ('m,'n)id0 => 'n  where
    id-to-n (Short n) = ( n )
    | id-to-n (Long - id1) = ( id-to-n id1 )
```

```

— val id-to-mods : forall 'n 'm. id 'm 'n -> list 'm
fun id-to-mods :: ('m,'n)id0 => 'm list where
  id-to-mods (Short -) = ( [])
  | id-to-mods (Long mn id1) = ( mn # id-to-mods id1 )

datatype( 'm, 'n, 'v) namespace =
  Bind ('n, 'v) alist0 ('m, ('m, 'n, 'v)namespace) alist0

— val nsLookup : forall 'v 'm 'n. Eq 'n, Eq 'm => namespace 'm 'n 'v -> id 'm
'v -> maybe 'v
fun nsLookup :: ('m,'n,'v)namespace => ('m,'n)id0 => 'v option where
  nsLookup (Bind v2 m) (Short n) = ( Map.map-of v2 n )
  | nsLookup (Bind v2 m) (Long mn id1) = (
    (case Map.map-of m mn of
      None => None
      | Some env => nsLookup env id1
    )))

```

```

— val nsLookupMod : forall 'm 'n 'v. Eq 'n, Eq 'm => namespace 'm 'n 'v ->
list 'm -> maybe (namespace 'm 'n 'v)
fun nsLookupMod :: ('m,'n,'v)namespace => 'm list => (('m,'n,'v)namespace)option
where
  nsLookupMod e [] = ( Some e )
  | nsLookupMod (Bind v2 m) (mn # path) = (
    (case Map.map-of m mn of
      None => None
      | Some env => nsLookupMod env path
    )))

```

```

— val nsEmpty : forall 'v 'm 'n. namespace 'm 'n 'v
definition nsEmpty :: ('m,'n,'v)namespace where
  nsEmpty = ( Bind [] [] )

— val nsAppend : forall 'v 'm 'n. namespace 'm 'n 'v -> namespace 'm 'n 'v ->
namespace 'm 'n 'v
fun nsAppend :: ('m,'n,'v)namespace => ('m,'n,'v)namespace => ('m,'n,'v)namespace
where
  nsAppend (Bind v1 m1) (Bind v2 m2) = ( Bind (v1 @ v2) (m1 @ m2))

— val nsLift : forall 'v 'm 'n. 'm -> namespace 'm 'n 'v -> namespace 'm 'n 'v
definition nsLift :: 'm => ('m,'n,'v)namespace => ('m,'n,'v)namespace where
  nsLift mn env = ( Bind [] [(mn, env)])

```

```

— val alist-to-ns : forall 'v 'm 'n. alist 'n 'v -> namespace 'm 'n 'v
definition alist-to-ns :: ('n*'v)list  $\Rightarrow$  ('m,'n,'v)namespace where
  alist-to-ns a = ( Bind a [])

— val nsBind : forall 'v 'm 'n. 'n -> 'v -> namespace 'm 'n 'v -> namespace
'm 'n 'v
fun nsBind :: 'n  $\Rightarrow$  'v  $\Rightarrow$  ('m,'n,'v)namespace  $\Rightarrow$  ('m,'n,'v)namespace where
  nsBind k x (Bind v2 m) = ( Bind ((k,x) $\#$  v2) m )

— val nsBindList : forall 'v 'm 'n. list ('n * 'v) -> namespace 'm 'n 'v ->
namespace 'm 'n 'v
definition nsBindList :: ('n*'v)list  $\Rightarrow$  ('m,'n,'v)namespace  $\Rightarrow$  ('m,'n,'v)namespace
where
  nsBindList l e = ( List.foldr (  $\lambda$ x .
  (case x of (x,v2) =>  $\lambda$  e . nsBind x v2 e )) l e )

— val nsOptBind : forall 'v 'm 'n. maybe 'n -> 'v -> namespace 'm 'n 'v ->
namespace 'm 'n 'v
fun nsOptBind :: 'n option  $\Rightarrow$  'v  $\Rightarrow$  ('m,'n,'v)namespace  $\Rightarrow$  ('m,'n,'v)namespace
where
  nsOptBind None x env = ( env )
  | nsOptBind (Some n) x env = ( nsBind n' x env )

— val nsSing : forall 'v 'm 'n. 'n -> 'v -> namespace 'm 'n 'v
definition nsSing :: 'n  $\Rightarrow$  'v  $\Rightarrow$  ('m,'n,'v)namespace where
  nsSing n x = ( Bind ([((n,x))]) [] )

— val nsSub : forall 'v1 'v2 'm 'n. Eq 'm, Eq 'n, Eq 'v1, Eq 'v2  $\Rightarrow$  (id 'm 'n
-> 'v1 -> 'v2 -> bool) -> namespace 'm 'n 'v1 -> namespace 'm 'n 'v2 ->
bool
definition nsSub :: (('m,'n)id0  $\Rightarrow$  'v1  $\Rightarrow$  'v2  $\Rightarrow$  bool)  $\Rightarrow$  ('m,'n,'v1)namespace
 $\Rightarrow$  ('m,'n,'v2)namespace  $\Rightarrow$  bool where
  nsSub r env1 env2 = (
  ( $\forall$  id0.  $\forall$  v1.
  (nsLookup env1 id0 = Some v1)
   $\longrightarrow$ 
  ( $\exists$  v2. (nsLookup env2 id0 = Some v2)  $\wedge$  r id0 v1 v2)))
   $\wedge$ 
  ( $\forall$  path.
  (nsLookupMod env2 path = None)  $\longrightarrow$  (nsLookupMod env1 path = None)))

— val nsAll : forall 'v 'm 'n. Eq 'm, Eq 'n, Eq 'v  $\Rightarrow$  (id 'm 'n -> 'v -> bool)
-> namespace 'm 'n 'v -> bool

```

```

fun nsAll :: (('m,'n)id0 => 'v => bool) => ('m,'n,'v)namespace => bool where
  nsAll f env = (
    (( $\forall$  id0.  $\forall$  v1.
      (nsLookup env id0 = Some v1)
       $\longrightarrow$ 
      f id0 v1)))
  — val eAll2 : forall 'v1 'v2 'm 'n. Eq 'm, Eq 'n, Eq 'v1, Eq 'v2 => (id 'm 'n ->
  'v1 -> 'v2 -> bool) -> namespace 'm 'n 'v1 -> namespace 'm 'n 'v2 -> bool
  definition nsAll2 :: (('d,'c)id0 => 'b => 'a => bool) => ('d,'c,'b)namespace => ('d,'c,'a)namespace
  => bool where
    nsAll2 r env1 env2 = (
      nsSub r env1 env2  $\wedge$ 
      nsSub ( $\lambda$  x y z . r x z y) env2 env1 )
  — val nsDom : forall 'v 'm 'n. Eq 'm, Eq 'n, Eq 'v, SetType 'v => namespace 'm
  'n 'v -> set (id 'm 'n)
  definition nsDom :: ('m,'n,'v)namespace => (('m,'n)id0)set where
    nsDom env = ( (let x2 =
      ({})) in Finite-Set.fold
      ( $\lambda$ v2 x2 . Finite-Set.fold
        ( $\lambda$ n x2 .
          if nsLookup env n = Some v2 then Set.insert n x2
          else x2) x2 UNIV) x2 UNIV))
  — val nsDomMod : forall 'v 'm 'n. SetType 'm, Eq 'm, Eq 'n, Eq 'v => namespace
  'm 'n 'v -> set (list 'm)
  definition nsDomMod :: ('m,'n,'v)namespace => ('m list)set where
    nsDomMod env = ( (let x2 =
      ({})) in Finite-Set.fold
      ( $\lambda$ v2 x2 . Finite-Set.fold
        ( $\lambda$ n x2 .
          if nsLookupMod env n = Some v2 then Set.insert n x2
          else x2) x2 UNIV) x2 UNIV))
  — val nsMap : forall 'v 'w 'm 'n. ('v -> 'w) -> namespace 'm 'n 'v -> namespace
  'm 'n 'w
  function (sequential,domintros) nsMap :: ('v => 'w) => ('m,'n,'v)namespace => ('m,'n,'w)namespace
  where
    nsMap f (Bind v2 m) = (
      Bind (List.map (  $\lambda$ x .
        (case x of (n,x) => (n, f x) ))) v2)
        (List.map (  $\lambda$ x .
          (case x of (mn,e) => (mn, nsMap f e) ))) m))
  {proof}

```

end

Chapter 3

Generated by Lem from *semantics/fpSem.lem.*

```
theory FpSem

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  Lib
  IEEE-Floating-Point.FP64

begin

  — open import Pervasives
  — open import Lib

  — open import {hol} `machine-ieeeTheory`
  — open import {isabelle} `IEEE-Floating-Point.FP64`

  — type rounding

datatype fp-cmp-op = FP-Less | FP-LessEqual | FP-Greater | FP-GreaterEqual
| FP-Equal
datatype fp-uop-op = FP-Abs | FP-Neg | FP-Sqrt
datatype fp-bop-op = FP-Add | FP-Sub | FP-Mul | FP-Div

  — val fp64-lessThan    : word64 → word64 → bool
  — val fp64-lessEqual   : word64 → word64 → bool
  — val fp64-greaterThan : word64 → word64 → bool
  — val fp64-greaterEqual : word64 → word64 → bool
  — val fp64-equal       : word64 → word64 → bool

  — val fp64-abs      : word64 → word64
  — val fp64-negate   : word64 → word64
```

```

— val fp64-sqrt : rounding -> word64 -> word64

— val fp64-add : rounding -> word64 -> word64 -> word64
— val fp64-sub : rounding -> word64 -> word64 -> word64
— val fp64-mul : rounding -> word64 -> word64 -> word64
— val fp64-div : rounding -> word64 -> word64 -> word64

— val roundTiesToEven : rounding

— val fp-cmp : fp-cmp -> word64 -> word64 -> bool
fun fp-cmp :: fp-cmp-op  $\Rightarrow$  64 word  $\Rightarrow$  64 word  $\Rightarrow$  bool where
  fp-cmp FP-Less = ( fp64-lessThan )
  | fp-cmp FP-LessEqual = ( fp64-lessEqual )
  | fp-cmp FP-Greater = ( fp64-greaterThan )
  | fp-cmp FP-GreaterEqual = ( fp64-greaterEqual )
  | fp-cmp FP-Equal = ( fp64-equal )

— val fp-uop : fp-uop -> word64 -> word64
fun fp-uop :: fp-uop-op  $\Rightarrow$  64 word  $\Rightarrow$  64 word where
  fp-uop FP-Abs = ( fp64-abs )
  | fp-uop FP-Neg = ( fp64-negate )
  | fp-uop FP-Sqrt = ( fp64-sqrt RNE )

— val fp-bop : fp-bop -> word64 -> word64 -> word64
fun fp-bop :: fp-bop-op  $\Rightarrow$  64 word  $\Rightarrow$  64 word  $\Rightarrow$  64 word where
  fp-bop FP-Add = ( fp64-add RNE )
  | fp-bop FP-Sub = ( fp64-sub RNE )
  | fp-bop FP-Mul = ( fp64-mul RNE )
  | fp-bop FP-Div = ( fp64-div RNE )

end

```

Chapter 4

Generated by Lem from *semantics/ast.lem.*

```
theory Ast

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  Lib
  Namespace
  FpSem

begin

  — open import Pervasives
  — open import Lib
  — open import Namespace
  — open import FpSem

  — Literal constants
datatype lit =
  IntLit int
  | Char char
  | StrLit string
  | Word8 8 word
  | Word64 64 word

  — Built-in binary operations
datatype opn = Plus | Minus | Times | Divide | Modulo
datatype opb = Lt | Gt | Leq | Geq
datatype opw = Andw | Orw | Xor | Add | Sub
datatype shift = Lsl | Lsr | Asr | Ror

  — Module names
```

type-synonym $modN = string$

- Variable names

type-synonym $varN = string$

- Constructor names (from datatype definitions)

type-synonym $conN = string$

- Type names

type-synonym $typeN = string$

- Type variable names

type-synonym $tvarN = string$

datatype $word\text{-}size = W8 \mid W64$

datatype $op0 =$

- Operations on integers
- | $Opn \ opn$
- | $Opb \ opb$
- Operations on words
- | $Opw \ word\text{-}size \ opw$
- | $Shift \ word\text{-}size \ shift \ nat$
- | Equality
- FP operations
- | $FP\text{-}cmp \ fp\text{-}cmp\text{-}op$
- | $FP\text{-}uop \ fp\text{-}uop\text{-}op$
- | $FP\text{-}bop \ fp\text{-}bop\text{-}op$
- Function application
- | $Opapp$
- Reference operations
- | $Opassign$
- | $Opref$
- | $Opderefl$
- Word8Array operations
- | $Aw8alloc$
- | $Aw8sub$
- | $Aw8length$
- | $Aw8update$
- Word/integer conversions
- | $WordFromInt \ word\text{-}size$
- | $WordToInt \ word\text{-}size$
- string/bytarray conversions
- | $CopyStrStr$
- | $CopyStrAw8$
- | $CopyAw8Str$
- | $CopyAw8Aw8$
- Char operations
- | Ord

```

|   | Chr
|   | Chopb opb
|   — String operations
|   | Implode
|   | Strsub
|   | Strlen
|   | Strcat
|   — Vector operations
|   | VfromList
|   | Vsub
|   | Vlength
|   — Array operations
|   | Aalloc
|   | AallocEmpty
|   | Asub
|   | Alength
|   | Aupdate
|   — Configure the GC
|   | ConfigGC
|   — Call a given foreign function
|   | FFI string

— Logical operations
datatype lop =
  And
  | Or

— Type constructors. * 0-ary type applications represent unparameterised types  

(e.g., num or string)
datatype tctor =
  — User defined types
  TC-name (modN, typeN) id0
  — Built-in types
  | TC-int
  | TC-char
  | TC-string
  | TC-ref
  | TC-word8
  | TC-word64
  | TC-word8array
  | TC-fn
  | TC-tup
  | TC-exn
  | TC-vector
  | TC-array

— Types
datatype t =
  — Type variables that the user writes down ('a, 'b, etc.)

```

$Tvar \ tvarN$
 — deBrujin indexed type variables. The type system uses these internally.
 | $Tvar\text{-}db \ nat$
 | $Tapp \ t \ list \ tctor$

— Some abbreviations

```

definition  $Tint :: t \ where$ 
 $Tint = ( Tapp [] \ TC\text{-}int )$ 

definition  $Tchar :: t \ where$ 
 $Tchar = ( Tapp [] \ TC\text{-}char )$ 

definition  $Tstring :: t \ where$ 
 $Tstring = ( Tapp [] \ TC\text{-}string )$ 

definition  $Tref :: t \Rightarrow t \ where$ 
 $Tref t1 = ( Tapp [t1] \ TC\text{-}ref )$ 

fun  $TC\text{-}word :: word\text{-}size \Rightarrow tctor \ where$ 
 $TC\text{-}word W8 = ( TC\text{-}word8 )$ 
|  $TC\text{-}word W64 = ( TC\text{-}word64 )$ 

definition  $Tword :: word\text{-}size \Rightarrow t \ where$ 
 $Tword wz = ( Tapp [] ( TC\text{-}word wz ) )$ 

definition  $Tword8 :: t \ where$ 
 $Tword8 = ( Tword W8 )$ 

definition  $Tword64 :: t \ where$ 
 $Tword64 = ( Tword W64 )$ 

definition  $Tword8array :: t \ where$ 
 $Tword8array = ( Tapp [] \ TC\text{-}word8array )$ 

definition  $Tfn :: t \Rightarrow t \Rightarrow t \ where$ 
 $Tfn t1 t2 = ( Tapp [t1,t2] \ TC\text{-}fn )$ 

definition  $Texn :: t \ where$ 
 $Texn = ( Tapp [] \ TC\text{-}exn )$ 

```

— Patterns

```

datatype  $pat =$ 
 $Pany$ 
|  $Pvar \ varN$ 
|  $Plit \ lit$ 
— Constructor applications. A Nothing constructor indicates a tuple pattern.
|  $Pcon \ ( (modN, conN)id0 )option \ pat \ list$ 
|  $Pref \ pat$ 

```

```

| Ptannot pat t

— Expressions
datatype exp0 =
  Raise exp0
  | Handle exp0 (pat * exp0) list
  | Lit lit
  — Constructor application. A Nothing constructor indicates a tuple pattern.
  | Con ((modN, conN)id0)option exp0 list
  | Var (modN, varN) id0
  | Fun varN exp0
  — Application of a primitive operator to arguments. Includes function application.
  | App op0 exp0 list
  — Logical operations (and, or)
  | Log lop exp0 exp0
  | If exp0 exp0 exp0
  — Pattern matching
  | Mat exp0 (pat * exp0) list
  — A let expression A Nothing value for the binding indicates that this is a sequencing expression, that is: (e1; e2).
  | Let varN option exp0 exp0
  — Local definition of (potentially) mutually recursive functions. The first varN is the function's name, and the second varN is its parameter.
  | Letrec (varN * varN * exp0) list exp0
  | Tannot exp0 t
  — Location annotated expressions, not expected in source programs
  | Lannot exp0 locs

```

type-synonym *type-def* = (*tvarN list * typeN * (conN * t list) list*) *list*

```

— Declarations
datatype dec =
  — Top-level bindings * The pattern allows several names to be bound at once
  | Dlet locs pat exp0
  — Mutually recursive function definition
  | Dletrec locs (varN * varN * exp0) list
  — Type definition Defines several data types, each of which has several named variants, which can in turn have several arguments.
  | Dtype locs type-def
  — Type abbreviations
  | Dtabbrev locs tvarN list typeN t
  — New exceptions
  | Dexn locs conN t list

```

type-synonym *decs* = *dec list*

```

— Specifications For giving the signature of a module
datatype spec =
  Sval varN t

```

```

| Stype type-def
| Stabbrev tvarN list typeN t
| Stype-opq tvarN list typeN
| Sexn conN t list

type-synonym specs = spec list

datatype top0 =
  Tmod modN specs option decs
  | Tdec dec

type-synonym prog = top0 list

— Accumulates the bindings of a pattern
— val pat-bindings : pat → list varN → list varN
function (sequential,domintros)
pats-bindings :: (pat)list ⇒ (string)list ⇒ (string)list
  and
pat-bindings :: pat ⇒ (string)list ⇒ (string)list where

pat-bindings Pany already-bound = (
  already-bound )
|
pat-bindings (Pvar n) already-bound = (
  n # already-bound )
|
pat-bindings (Plit l) already-bound = (
  already-bound )
|
pat-bindings (Pcon - ps) already-bound = (
  pats-bindings ps already-bound )
|
pat-bindings (Pref p) already-bound = (
  pat-bindings p already-bound )
|
pat-bindings (Ptannot p -) already-bound = (
  pat-bindings p already-bound )
|
pats-bindings [] already-bound = (
  already-bound )
|
pats-bindings (p # ps) already-bound = (
  pats-bindings ps (pat-bindings p already-bound))
  ⟨proof⟩

end

```

Chapter 5

Generated by Lem from *semantics/ast.lem.*

```
theory AstAuxiliary
```

```
imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  Lib
  Namespace
  FpSem
  Ast
```

```
begin
```

```
— ****
—
— Termination Proofs
—
— ****
```

```
termination pat-bindings <proof>
```

```
end
```

Chapter 6

Generated by Lem from *semantics/ffi/ffi.lem.*

theory *Ffi*

imports

Main

HOL-Library.Datatype-Records

LEM.Lem-pervasives

LEM.Lem-pervasives-extra

Lib

begin

— open import Pervasives

— open import Pervasives-extra

— open import Lib

— An oracle says how to perform an ffi call based on its internal state, * represented by the type variable 'ffi.

datatype 'ffi oracle-result = Oracle-return 'ffi 8 word list | Oracle-diverge | Oracle-fail

type-synonym 'ffi oracle-function = 'ffi \Rightarrow 8 word list \Rightarrow 8 word list \Rightarrow 'ffi oracle-result

type-synonym 'ffi oracle0 = string \Rightarrow 'ffi oracle-function

— An I/O event, IO-event s bytes2, represents the call of FFI function s with * immutable input bytes and mutable input map fst bytes2, * returning map snd bytes2 in the mutable array.

datatype io-event = IO-event string 8 word list ((8 word * 8 word)list)

datatype ffi-outcome = FFI-diverged | FFI-failed

datatype final-event = Final-event string 8 word list 8 word list ffi-outcome

```

datatype-record 'ffi ffi-state =
  oracle0    :: 'ffi oracle0
  ffi-state   :: 'ffi
  final-event :: final-event option
  io-events   :: io-event list

— val initial-ffi-state : forall 'ffi. oracle 'ffi -> 'ffi -> ffi-state 'ffi
definition initial-ffi-state :: (string ⇒ 'ffi oracle-function) ⇒ 'ffi ⇒ 'ffi ffi-state
where
  initial-ffi-state oc ffi1 = (
  (| oracle0      = oc
  , ffi-state    = ffi1
  , final-event = None
  , io-events   = ([]))
  |) )

— val call-FFI : forall 'ffi. ffi-state 'ffi -> string -> list word8 -> list word8
— > ffi-state 'ffi * list word8
definition call-FFI :: 'ffi ffi-state ⇒ string ⇒ (8 word)list ⇒ (8 word)list ⇒ 'ffi
ffi-state*(8 word)list where
  call-FFI st s conf bytes =
  if ((final-event st) = None) ∧ ¬(s = (""))
  then
    (case (oracle0 st) s(ffi-state st) conf bytes of
     Oracle-return ffi' bytes' =>
     if List.length bytes' = List.length bytes then
       ((st (| ffi-state := ffi'
             , io-events :=
               ((io-events st) @
                 [IO-event s conf (zipSameLength bytes bytes')]))
       |)), bytes')
     else ((st (| final-event := (Some (Final-event s conf bytes FFI-failed)) |)), bytes)
     | Oracle-diverge =>
       ((st (| final-event := (Some (Final-event s conf bytes FFI-diverged)) |)), bytes)
     | Oracle-fail =>
       ((st (| final-event := (Some (Final-event s conf bytes FFI-failed)) |)), bytes)
     )
   else (st, bytes))

```

datatype *outcome* = Success | Resource-limit-hit | FFI-outcome final-event

— A program can Diverge, Terminate, or Fail. We prove that Fail is avoided. For Diverge and Terminate, we keep track of what I/O events are valid I/O events for this behaviour.

datatype *behaviour* =

— There cannot be any non-returning FFI calls in a diverging execution. The list of I/O events can be finite or infinite, hence the llist (lazy list) type.

Diverge io-event llist

— Terminating executions can only perform a finite number of FFI calls. The execution can be terminated by a non-returning FFI call.

| Terminate outcome io-event list

— Failure is a behaviour which we prove cannot occur for any well-typed program.

| Fail

— trace-based semantics can be recovered as an instance of oracle-based * semantics as follows.

— val trace-oracle : oracle (llist io-event)

definition trace-oracle :: string \Rightarrow (io-event)llist \Rightarrow (8 word)list \Rightarrow (8 word)list \Rightarrow ((io-event)llist)oracle-result **where**

trace-oracle s io-trace conf input1 = (

(case lhd' io-trace of

Some (IO-event s' conf' bytes2) =>

if (s = s') \wedge ((List.map fst bytes2 = input1) \wedge (conf = conf')) then

Oracle-return (Option.the (lhd' io-trace)) (List.map snd bytes2)

else Oracle-fail

| -> Oracle-fail

))

end

Chapter 7

Generated by Lem from *semantics/semanticPrimitives.lem*.

```
theory SemanticPrimitives

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  LEM.Lem-list-extra
  LEM.Lem-string
  Lib
  Namespace
  Ast
  Ffi
  FpSem
  LEM.Lem-string-extra

begin

  — open import Pervasives
  — open import Lib
  — import List-extra
  — import String
  — import String-extra
  — open import Ast
  — open import Namespace
  — open import Ffi
  — open import FpSem

  — The type that a constructor builds is either a named datatype or an exception. *
  — For exceptions, we also keep the module that the exception was declared in.

datatype tid-or-exn =
  TypeId (modN, typeN) id0
  | TypeExn (modN, conN) id0
```

```

— val type-defs-to-new-tdecs : list modN -> type-def -> set tid-or-exn
definition type-defs-to-new-tdecs :: (string)list  $\Rightarrow$ ((tvarN)list*string*(conN*(t)list)list)list
 $\Rightarrow$ (tid-or-exn)set where
  type-defs-to-new-tdecs mn tdefs = (
    List.set (List.map (  $\lambda$ x .
      (case x of (tvs,tn,ctors) => TypeId (mk-id mn tn) )) tdefs))

```

datatype-record '*v* sem-env =

- v* :: (modN, varN, '*v*) namespace
- c* :: (modN, conN, (nat * tid-or-exn)) namespace

— Value forms

datatype *v* =

- Litv lit*
- Constructor application.
- | *Conv* (conN * tid-or-exn)option *v* list
- Function closures The environment is used for the free variables in the function
- | *Closure v sem-env varN exp0*
- Function closure for recursive functions * See Closure and Letrec above * The last variable name indicates which function from the mutually * recursive bundle this closure value represents
- | *Recclosure v sem-env (varN * varN * exp0) list varN*
- | *Loc nat*
- | *Vectorv v list*

type-synonym env-ctor = (modN, conN, (nat * tid-or-exn)) namespace

type-synonym env-val = (modN, varN, *v*) namespace

definition Bindv :: *v* **where**

- Bindv* = (*Conv* (Some(("Bind")), TypeExn(Short("Bind")))) [])

— The result of evaluation

datatype abort =

- Rtype-error*
- | *Rtimeout-error*

datatype '*a* error-result =

- Rraise 'a* — Should only be a value of type exn
- | *Rabort abort*

datatype('*a*, '*b*) result =

- Rval 'a*

```

| Rerr 'b error-result

— Stores
datatype 'a store-v =
  — A ref cell
  Refv 'a
  — A byte array
  | W8array 8 word list
  — An array of values
  | Varray 'a list

— val store-v-same-type : forall 'a. store-v 'a -> store-v 'a -> bool
definition store-v-same-type :: 'a store-v => 'a store-v => bool where
  store-v-same-type v1 v2 = (
    (case (v1,v2) of
      (Refv -, Refv -) => True
    | (W8array -, W8array -) => True
    | (Varray -, Varray -) => True
    | _ => False
    )))

— The nth item in the list is the value at location n
type-synonym 'a store = ( 'a store-v) list

— val empty-store : forall 'a. store 'a
definition empty-store :: ('a store-v)list where
  empty-store = ( [])

— val store-lookup : forall 'a. nat -> store 'a -> maybe (store-v 'a)
definition store-lookup :: nat => ('a store-v)list => ('a store-v)option where
  store-lookup l st = (
    if l < List.length st then
      Some (List.nth st l)
    else
      None )

— val store-alloc : forall 'a. store-v 'a -> store 'a -> store 'a * nat
definition store-alloc :: 'a store-v => ('a store-v)list => ('a store-v)list*nat where
  store-alloc v2 st = (
    ((st @ [v2]), List.length st))

— val store-assign : forall 'a. nat -> store-v 'a -> store 'a -> maybe (store 'a)
definition store-assign :: nat => 'a store-v => ('a store-v)list => ('a store-v)list option
where

```

```

store-assign n v2 st = (
if (n < List.length st) ∧
  store-v-same-type (List.nth st n) v2
then
  Some (List.list-update st n v2)
else
  None )

```

```

datatype-record 'ffi state =
clock :: nat
refs :: v store
ffi :: 'ffi ffi-state
defined-types :: tid-or-exn set
defined-mods :: ( modN list) set

```

— Other primitives

— Check that a constructor is properly applied

```

val do-con-check : env-ctor -> maybe (id modN conN) -> nat -> bool
fun do-con-check :: ((string),(string),(nat*tid-or-exn))namespace =>(((string),(string))id0)option
⇒ nat ⇒ bool where
  do-con-check cenv None l = ( True )
  | do-con-check cenv (Some n) l = (
    (case nsLookup cenv n of
      None => False
      | Some (l',ns) => l = l'
    )))

```

— val build-conv : env-ctor -> maybe (id modN conN) -> list v -> maybe v

```

fun build-conv :: ((string),(string),(nat*tid-or-exn))namespace =>(((string),(string))id0)option
⇒(v)list ⇒(v)option where
  build-conv envC None vs = (
    Some (Conv None vs))
  | build-conv envC (Some id1) vs = (
    (case nsLookup envC id1 of
      None => None
      | Some (len,t1) => Some (Conv (Some (id-to-n id1, t1)) vs)
    )))

```

— val lit-same-type : lit -> lit -> bool

```

definition lit-same-type :: lit  $\Rightarrow$  lit  $\Rightarrow$  bool where
    lit-same-type l1 l2 = (
        (case (l1,l2) of
            (IntLit -, IntLit -) => True
            | (Char -, Char -) => True
            | (StrLit -, StrLit -) => True
            | (Word8 -, Word8 -) => True
            | (Word64 -, Word64 -) => True
            | - => False
        ))
    )

datatype 'a match-result =
    No-match
    | Match-type-error
    | Match 'a

— val same-tid : tid-or-exn  $\rightarrow$  tid-or-exn  $\rightarrow$  bool
fun same-tid :: tid-or-exn  $\Rightarrow$  tid-or-exn  $\Rightarrow$  bool where
    same-tid (TypeId tn1) (TypeId tn2) = ( tn1 = tn2 )
    | same-tid (TypeExn -) (TypeExn -) = ( True )
    | same-tid - - = ( False )

— val same-ctor : conN * tid-or-exn  $\rightarrow$  conN * tid-or-exn  $\rightarrow$  bool
fun same-ctor :: string*tid-or-exn  $\Rightarrow$  string*tid-or-exn  $\Rightarrow$  bool where
    same-ctor (cn1, TypeExn mn1) (cn2, TypeExn mn2) = ( (cn1 = cn2)  $\wedge$ 
    (mn1 = mn2))
    | same-ctor (cn1, -) (cn2, -) = ( cn1 = cn2 )

— val ctor-same-type : maybe (conN * tid-or-exn)  $\rightarrow$  maybe (conN * tid-or-exn)
 $\rightarrow$  bool
definition ctor-same-type :: (string*tid-or-exn)option  $\Rightarrow$  (string*tid-or-exn)option
 $\Rightarrow$  bool where
    ctor-same-type c1 c2 = (
        (case (c1,c2) of
            (None, None) => True
            | (Some (-,t1), Some (-,t2)) => same-tid t1 t2
            | - => False
        )))
    )

```

— A big-step pattern matcher. If the value matches the pattern, return an * environment with the pattern variables bound to the corresponding sub-terms * of the value; this environment extends the environment given as an argument. * No-match is returned when there is no match, but any constructors * encountered in determining the match failure are applied to the correct * number of arguments, and constructors in corresponding positions in the * pattern and value come from

the same type. Match-type-error is returned * when one of these conditions is violated

```

— val pmatch : env-ctor -> store v -> pat -> v -> alist varN v -> match-result
(alist varN v)
function (sequential,domintros)
pmatch-list :: ((string),(string),(nat*tid-or-exn))namespace =>((v)store-v)list =>(pat)list
=>(v)list =>(string*v)list =>((string*v)list)match-result
and
pmatch :: ((string),(string),(nat*tid-or-exn))namespace =>((v)store-v)list => pat
=> v =>(string*v)list =>((string*v)list)match-result where

pmatch envC s Pany v' env = ( Match env )
|
pmatch envC s (Pvar x) v' env = ( Match ((x,v')# env))
|
pmatch envC s (Plit l) (Litv l') env = (
  if l = l' then
    Match env
  else if lit-same-type l l' then
    No-match
  else
    Match-type-error )
|
pmatch envC s (Pcon (Some n) ps) (Conv (Some (n', t')) vs) env = (
  case nsLookup envC n of
    Some (l, t1) =>
      if same-tid t1 t' & (List.length ps = l) then
        if same-ctor (id-to-n n, t1) (n',t') then
          if List.length vs = l then
            pmatch-list envC s ps vs env
          else
            Match-type-error
        else
          No-match
      else
        Match-type-error
    | - => Match-type-error
  )))
|
pmatch envC s (Pcon None ps) (Conv None vs) env = (
  if List.length ps = List.length vs then
    pmatch-list envC s ps vs env
  else
    Match-type-error )
|
pmatch envC s (Pref p) (Loc lnum) env = (
  case store-lookup lnum s of
    Some (Refv v2) => pmatch envC s p v2 env
  | Some - => Match-type-error

```

```

    | None => Match-type-error
  ))
|
pmatch envC s (Ptannot p t1) v2 env = (
  pmatch envC s p v2 env )
|
pmatch envC - - - env = ( Match-type-error )
|
pmatch-list envC s [] [] env = ( Match env )
|
pmatch-list envC s (p # ps) (v2 # vs) env = (
  (case pmatch envC s p v2 env of
    No-match => No-match
    | Match-type-error => Match-type-error
    | Match env' => pmatch-list envC s ps vs env'
  )))
|
pmatch-list envC s - - env = ( Match-type-error )
⟨proof⟩
definition build-rec-env :: (varN*varN*exp0)list ⇒(v)sem-env ⇒((string),(string),(v))namespace
⇒((string),(string),(v))namespace where
  build-rec-env funs cl-env add-to-env = (
  List.foldr ( λx .
  (case x of
    (f,x,e) => λ env'. nsBind f (Reclosure cl-env funs f) env'
  )) funs add-to-env )

```

— Lookup in the list of mutually recursive functions

— val find-recfun : forall 'a 'b. varN → list (varN * 'a * 'b) → maybe ('a * 'b)

```

fun find-recfun :: string ⇒(string*'a*'b)list ⇒('a*'b)option where
  find-recfun n ([] ) = ( None )
  | find-recfun n ((f,x,e) # funs) = (
    if f = n then
      Some (x,e)
    else
      find-recfun n funs )

```

```

datatype eq-result =
  Eq-val bool
  | Eq-type-error

— val do-eq : v → v → eq-result
function (sequential,domintros)
do-eq-list :: (v)list ⇒(v)list ⇒ eq-result
  and
do-eq :: v ⇒ v ⇒ eq-result where

```

```

do-eq (Litv l1) (Litv l2) = (
  if lit-same-type l1 l2 then Eq-val (l1 = l2)
  else Eq-type-error )
|
do-eq (Loc l1) (Loc l2) = ( Eq-val (l1 = l2))
|
do-eq (Conv cn1 vs1) (Conv cn2 vs2) = (
  if (cn1 = cn2) ∧ (List.length vs1 = List.length vs2) then
    do-eq-list vs1 vs2
  else if ctor-same-type cn1 cn2 then
    Eq-val False
  else
    Eq-type-error )
|
do-eq (Vectorv vs1) (Vectorv vs2) = (
  if List.length vs1 = List.length vs2 then
    do-eq-list vs1 vs2
  else
    Eq-val False )
|
do-eq (Closure - - -) (Closure - - -) = ( Eq-val True )
|
do-eq (Closure - - -) (Recclosure - - -) = ( Eq-val True )
|
do-eq (Recclosure - - -) (Closure - - -) = ( Eq-val True )
|
do-eq (Recclosure - - -) (Recclosure - - -) = ( Eq-val True )
|
do-eq - - = ( Eq-type-error )
|
do-eq-list [] [] = ( Eq-val True )
|
do-eq-list (v1 # vs1) (v2 # vs2) = (
  (case do-eq v1 v2 of
    Eq-type-error => Eq-type-error
    | Eq-val r =>
      if ⊥ r then
        Eq-val False
      else
        do-eq-list vs1 vs2
    )))
|
do-eq-list - - = ( Eq-val False )
⟨proof⟩
definition prim-exn :: string ⇒ v where
  prim-exn cn = ( Conv (Some (cn, TypeExn (Short cn))) [])

```

— Do an application

```

— val do-opapp : list v -> maybe (sem-env v * exp)
fun do-opapp :: (v)list =>((v)sem-env*exp0)option where
  do-opapp ([Closure env n e, v2]) = (
    Some (( env (| v := (nsBind n v2(v env)) |)), e))
  | do-opapp ([Reclosure env funs n, v2]) = (
    if allDistinct (List.map ( λx .
      (case x of (f,x,e) => f )) funs) then
      (case find-recfun n funs of
        Some (n,e) => Some (( env (| v := (nsBind n v2 (build-rec-env funs
          env(v env)))) |)), e)
        | None => None
      )
    else
      None )
  | do-opapp - = ( None )

— If a value represents a list, get that list. Otherwise return Nothing
— val v-to-list : v -> maybe (list v)
function (sequential,domintros) v-to-list :: v =>((v)list)option where
  v-to-list (Conv (Some (cn, TypeId (Short tn))) []) = (
    if (cn = ("nil")) ∧ (tn = ("list")) then
      Some []
    else
      None )
  | v-to-list (Conv (Some (cn, TypeId (Short tn))) [v1,v2]) = (
    if (cn = ("::")) ∧ (tn = ("list")) then
      (case v-to-list v2 of
        Some vs => Some (v1 # vs)
        | None => None
      )
    else
      None )
  | v-to-list - = ( None )
⟨proof⟩
function (sequential,domintros) v-to-char-list :: v =>((char)list)option where
  v-to-char-list (Conv (Some (cn, TypeId (Short tn))) []) = (
    if (cn = ("nil")) ∧ (tn = ("list")) then
      Some []
    else
      None )
  | v-to-char-list (Conv (Some (cn, TypeId (Short tn))) [Litv (Char c2),v2]) = (
    if (cn = ("::")) ∧ (tn = ("list")) then
      (case v-to-char-list v2 of
        Some cs => Some (c2 # cs)
        | None => None
      )
    else
      None )

```

```

    None )
| v-to-char-list - = ( None )
⟨proof⟩
function (sequential,domintros) vs-to-string :: (v)list  $\Rightarrow$  (string)option where
    vs-to-string [] = ( Some (""))
| vs-to-string (Litv(StrLit s1) # vs) = (
  (case vs-to-string vs of
    Some s2 => Some (s1 @ s2)
  | - => None
  )))
| vs-to-string - = ( None )
⟨proof⟩
fun copy-array :: 'a list * int  $\Rightarrow$  int  $\Rightarrow$  ('a list * int)option  $\Rightarrow$  ('a list)option where

  copy-array (src,srcOff) len d = (
    if (srcOff < (0 :: int))  $\vee$  ((len < (0 :: int))  $\vee$  (List.length src < nat (abs (srcOff + len)))) then None else
      (let copied = (List.take (nat (abs (len))) (List.drop (nat (abs (srcOff))) src))
      in
        (case d of
          Some (dst,dstOff) =>
            if (dstOff < (0 :: int))  $\vee$  (List.length dst < nat (abs (dstOff + len))) then
              None else
                Some ((List.take (nat (abs (dstOff))) dst @
                copied) @
                List.drop (nat (abs (dstOff + len))) dst)
            | None => Some copied
            )))
  )

— val ws-to-chars : list word8  $\rightarrow$  list char
definition ws-to-chars :: (8 word)list  $\Rightarrow$  (char)list where
  ws-to-chars ws = ( List.map (λ w . (%n. char-of (n::nat))(unat w)) ws )

— val chars-to-ws : list char  $\rightarrow$  list word8
definition chars-to-ws :: (char)list  $\Rightarrow$  (8 word)list where
  chars-to-ws cs = ( List.map (λ c2 . word-of-int(int(of-char c2))) cs )

— val opn-lookup : opn  $\rightarrow$  integer  $\rightarrow$  integer  $\rightarrow$  integer
fun opn-lookup :: opn  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  int where
  opn-lookup Plus = ( (+))
| opn-lookup Minus = ( (-))
| opn-lookup Times = ( (*))
| opn-lookup Divide = ( (div))
| opn-lookup Modulo = ( (mod))

```

```

— val opb-lookup : opb -> integer -> integer -> bool
fun opb-lookup :: opb  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  bool where
  opb-lookup Lt = ( (<))
  | opb-lookup Gt = ( (>))
  | opb-lookup Leq = ( ( $\leq$ ))
  | opb-lookup Geq = ( ( $\geq$ ))

— val opw8-lookup : opw -> word8 -> word8 -> word8
fun opw8-lookup :: opw  $\Rightarrow$  8 word  $\Rightarrow$  8 word  $\Rightarrow$  8 word where
  opw8-lookup Andw = ( Bit-Operations.and )
  | opw8-lookup Orw = ( Bit-Operations.or )
  | opw8-lookup Xor = ( Bit-Operations.xor )
  | opw8-lookup Add = ( Groups.plus )
  | opw8-lookup Sub = ( Groups.minus )

— val opw64-lookup : opw -> word64 -> word64 -> word64
fun opw64-lookup :: opw  $\Rightarrow$  64 word  $\Rightarrow$  64 word  $\Rightarrow$  64 word where
  opw64-lookup Andw = ( Bit-Operations.and )
  | opw64-lookup Orw = ( Bit-Operations.or )
  | opw64-lookup Xor = ( Bit-Operations.xor )
  | opw64-lookup Add = ( Groups.plus )
  | opw64-lookup Sub = ( Groups.minus )

— val shift8-lookup : shift -> word8 -> nat -> word8
fun shift8-lookup :: shift  $\Rightarrow$  8 word  $\Rightarrow$  nat  $\Rightarrow$  8 word where
  shift8-lookup Lsl = ( shiftl )
  | shift8-lookup Lsr = ( shiftr )
  | shift8-lookup Asr = ( sshiftr )
  | shift8-lookup Ror = ( (% a b. word-rotr b a) )

— val shift64-lookup : shift -> word64 -> nat -> word64
fun shift64-lookup :: shift  $\Rightarrow$  64 word  $\Rightarrow$  nat  $\Rightarrow$  64 word where
  shift64-lookup Lsl = ( shiftl )
  | shift64-lookup Lsr = ( shiftr )
  | shift64-lookup Asr = ( sshiftr )
  | shift64-lookup Ror = ( (% a b. word-rotr b a) )

— val Boolv : bool -> v
definition Boolv :: bool  $\Rightarrow$  v where
  Boolv b = ( if b
    then Conv (Some ("true"), TypeId (Short ("bool")))
    else Conv (Some ("false"), TypeId (Short ("bool")))) []

```

```

datatype exp-or-val =
  Exp exp0
  | Val v

type-synonym( 'ffi, 'v) store-ffi = 'v store * 'ffi ffi-state

— val do-app : forall 'ffi. store-ffi 'ffi v -> op -> list v -> maybe (store-ffi 'ffi
v * result v v)
fun do-app :: ((v)store-v)list*'ffi ffi-state => op0 =>(v)list =>(((v)store-v)list*'ffi
ffi-state)*((v),(v))result option where
  do-app ((s:: v store),(t1:: 'ffi ffi-state)) op1 vs = (
  (case (op1, vs) of
    (Opn op1, [Litv (IntLit n1), Litv (IntLit n2)]) =>
      if ((op1 = Divide) ∨ (op1 = Modulo)) ∧ (n2 =( 0 :: int)) then
        Some ((s,t1), Rerr (Rraise (prim-exn ("Div"))))
      else
        Some ((s,t1), Rval (Litv (IntLit (opn-lookup op1 n1 n2)))))
    | (Opb op1, [Litv (IntLit n1), Litv (IntLit n2)]) =>
      Some ((s,t1), Rval (Boolv (opb-lookup op1 n1 n2)))
    | (Opw W8 op1, [Litv (Word8 w1), Litv (Word8 w2)]) =>
      Some ((s,t1), Rval (Litv (Word8 (opw8-lookup op1 w1 w2))))
    | (Opw W64 op1, [Litv (Word64 w1), Litv (Word64 w2)]) =>
      Some ((s,t1), Rval (Litv (Word64 (opw64-lookup op1 w1 w2))))
    | (FP-bop bop, [Litv (Word64 w1), Litv (Word64 w2)]) =>
      Some ((s,t1), Rval (Litv (Word64 (fp-bop bop w1 w2))))
    | (FP-uop uop, [Litv (Word64 w)]) =>
      Some ((s,t1), Rval (Litv (Word64 (fp-uop uop w))))
    | (FP-cmp cmp, [Litv (Word64 w1), Litv (Word64 w2)]) =>
      Some ((s,t1), Rval (Boolv (fp-cmp cmp w1 w2)))
    | (Shift W8 op1 n, [Litv (Word8 w)]) =>
      Some ((s,t1), Rval (Litv (Word8 (shift8-lookup op1 w n))))
    | (Shift W64 op1 n, [Litv (Word64 w)]) =>
      Some ((s,t1), Rval (Litv (Word64 (shift64-lookup op1 w n))))
    | (Equality, [v1, v2]) =>
      (case do-eq v1 v2 of
        Eq-type-error => None
        | Eq-val b => Some ((s,t1), Rval (Boolv b))
      )
    | (Opassign, [Loc lnum, v2]) =>
      (case store-assign lnum (Refv v2) s of
        Some s' => Some ((s',t1), Rval (Conv None []))
        | None => None
      )
    | (Opref, [v2]) =>
      (let (s',n) = (store-alloc (Refv v2) s) in
        Some ((s',t1), Rval (Loc n)))
    | (Opderefl, [Loc n]) =>
      (case store-lookup n s of
        Some (Refv v2) => Some ((s,t1), Rval v2)
  )

```

```

    | - => None
)
| (Aw8alloc, [Litv (IntLit n), Litv (Word8 w)]) =>
  if n <( 0 :: int) then
    Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
  else
    (let (s',lnum) =
      (store-alloc (W8array (List.replicate (nat (abs ( n))) w)) s)
    in
      Some ((s',t1), Rval (Loc lnum)))
|
| (Aw8sub, [Loc lnum, Litv (IntLit i)]) =>
  (case store-lookup lnum s of
    Some (W8array ws) =>
      if i <( 0 :: int) then
        Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
      else
        (let n = (nat (abs ( i))) in
          if n ≥ List.length ws then
            Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
          else
            Some ((s,t1), Rval (Litv (Word8 (List.nth ws n)))))
    | - => None
)
|
| (Aw8length, [Loc n]) =>
  (case store-lookup n s of
    Some (W8array ws) =>
      Some ((s,t1), Rval (Litv (IntLit (int (List.length ws))))))
    | - => None
)
|
| (Aw8update, [Loc lnum, Litv(IntLit i), Litv(Word8 w)]) =>
  (case store-lookup lnum s of
    Some (W8array ws) =>
      if i <( 0 :: int) then
        Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
      else
        (let n = (nat (abs ( i))) in
          if n ≥ List.length ws then
            Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
          else
            (case store-assign lnum (W8array (List.list-update ws n w)) s of
              None => None
              | Some s' => Some ((s',t1), Rval (Conv None []))
            )))
    | - => None
)
|
| (WordFromInt W8, [Litv(IntLit i)]) =>
  Some ((s,t1), Rval (Litv (Word8 (word-of-int i))))
|
| (WordFromInt W64, [Litv(IntLit i)]) =>
  Some ((s,t1), Rval (Litv (Word64 (word-of-int i))))

```

```

| (WordToInt W8, [Litv (Word8 w)]) =>
  Some ((s,t1), Rval (Litv (IntLit (int(unat w)))))

| (WordToInt W64, [Litv (Word64 w)]) =>
  Some ((s,t1), Rval (Litv (IntLit (int(unat w)))))

| (CopyStrStr, [Litv(StrLit str),Litv(IntLit off),Litv(IntLit len)]) =>
  Some ((s,t1),
    (case copy-array (str,off) len None of
      None => Rerr (Rraise (prim-exn ("Subscript'")))
      | Some cs => Rval (Litv(StrLit((cs))))
    ))
  )

| (CopyStrAw8, [Litv(StrLit str),Litv(IntLit off),Litv(IntLit len),
               Loc dst,Litv(IntLit dstoff)]) =>
  (case store-lookup dst s of
    Some (W8array ws) =>
      (case copy-array (str,off) len (Some(ws-to-chars ws,dstoff)) of
        None => Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript'"))))
        | Some cs =>
          (case store-assign dst (W8array (chars-to-ws cs)) s of
            Some s' => Some ((s',t1), Rval (Conv None []))
            | - => None
          )
        )
      )
    | - => None
  )
  )

| (CopyAw8Str, [Loc src,Litv(IntLit off),Litv(IntLit len)]) =>
  (case store-lookup src s of
    Some (W8array ws) =>
      Some ((s,t1),
        (case copy-array (ws,off) len None of
          None => Rerr (Rraise (prim-exn ("Subscript'")))
          | Some ws => Rval (Litv(StrLit((ws-to-chars ws))))
        ))
      )
    | - => None
  )
  )

| (CopyAw8Aw8, [Loc src,Litv(IntLit off),Litv(IntLit len),
               Loc dst,Litv(IntLit dstoff)]) =>
  (case (store-lookup src s, store-lookup dst s) of
    (Some (W8array ws), Some (W8array ds)) =>
      (case copy-array (ws,off) len (Some(ds,dstoff)) of
        None => Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript'"))))
        | Some ws =>
          (case store-assign dst (W8array ws) s of
            Some s' => Some ((s',t1), Rval (Conv None []))
            | - => None
          )
        )
      )
    | - => None
  )
  )

| (Ord, [Litv (Char c2)]) =>

```

```

    Some ((s,t1), Rval (Litv(IntLit(int(of-char c2)))))
| (Chr, [Litv (IntLit i)]) =>
  Some ((s,t1),
    (if (i <( 0 :: int)) ∨ (i >( 255 :: int)) then
      Rerr (Rraise (prim-exn ("Chr'")))
    else
      Rval (Litv(Char((%n. char-of (n::nat))(nat (abs ( i)))))))
| (Chopb op1, [Litv (Char c1), Litv (Char c2)]) =>
  Some ((s,t1), Rval (Boolv (opb-lookup op1 (int(of-char c1)) (int(of-char
c2))))))
| (Implode, [v2]) =>
  (case v-to-char-list v2 of
    Some ls =>
      Some ((s,t1), Rval (Litv (StrLit ( ls)))))
    | None => None
  )
| (Strsub, [Litv (StrLit str), Litv (IntLit i)]) =>
  if i <( 0 :: int) then
    Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
  else
    (let n = (nat (abs ( i))) in
      if n ≥ List.length str then
        Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
      else
        Some ((s,t1), Rval (Litv (Char (List.nth ( str) n)))))
| (Strlen, [Litv (StrLit str)]) =>
  Some ((s,t1), Rval (Litv(IntLit(int(List.length str)))))
| (Strcat, [v2]) =>
  (case v-to-list v2 of
    Some vs =>
      (case vs-to-string vs of
        Some str =>
          Some ((s,t1), Rval (Litv(StrLit str)))
        | - => None
      )
    | - => None
  )
| (VfromList, [v2]) =>
  (case v-to-list v2 of
    Some vs =>
      Some ((s,t1), Rval (Vectorv vs))
    | None => None
  )
| (Vsub, [Vectorv vs, Litv (IntLit i)]) =>
  if i <( 0 :: int) then
    Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
  else
    (let n = (nat (abs ( i))) in
      if n ≥ List.length vs then

```

```

    Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
else
    Some ((s,t1), Rval (List.nth vs n)))
| (Vlength, [Vectorv vs]) =>
    Some ((s,t1), Rval (Litv (IntLit (int (List.length vs))))))
| (Aalloc, [Litv (IntLit n), v2]) =>
    if n < (0 :: int) then
        Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
    else
        (let (s',lnum) =
            (store-alloc (Varray (List.replicate (nat (abs (n))) v2)) s)
        in
            Some ((s',t1), Rval (Loc lnum)))
| (AallocEmpty, [Conv None []]) =>
    (let (s',lnum) = (store-alloc (Varray []) s) in
        Some ((s',t1), Rval (Loc lnum)))
| (Asub, [Loc lnum, Litv (IntLit i)]) =>
    (case store-lookup lnum s of
        Some (Varray vs) =>
            if i < (0 :: int) then
                Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
            else
                (let n = (nat (abs (i))) in
                    if n ≥ List.length vs then
                        Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
                    else
                        Some ((s,t1), Rval (List.nth vs n)))
                | - => None
            )
        )
| (Alength, [Loc n]) =>
    (case store-lookup n s of
        Some (Varray ws) =>
            Some ((s,t1), Rval (Litv (IntLit (int (List.length ws))))))
        | - => None
    )
| (Aupdate, [Loc lnum, Litv (IntLit i), v2]) =>
    (case store-lookup lnum s of
        Some (Varray vs) =>
            if i < (0 :: int) then
                Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
            else
                (let n = (nat (abs (i))) in
                    if n ≥ List.length vs then
                        Some ((s,t1), Rerr (Rraise (prim-exn ("Subscript"))))
                    else
                        (case store-assign lnum (Varray (List.list-update vs n v2)) s of
                            None => None
                            | Some s' => Some ((s',t1), Rval (Conv None []))
                        )))
    )

```

```

    | - => None
)
| (ConfigGC, [Litv (IntLit i), Litv (IntLit j)]) =>
  Some ((s,t1), Rval (Conv None []))
| (FFI n, [Litv(StrLit conf), Loc lnum]) =>
  (case store-lookup lnum s of
    Some (W8array ws) =>
      (case call-FFI t1 n (List.map (λ c2 . of-nat(of-char c2)) ( conf)) ws of
        (t', ws') =>
          (case store-assign lnum (W8array ws') s of
            Some s' => Some ((s', t'), Rval (Conv None [])))
          | None => None
        )
      )
    | - => None
  )
| - => None
))

```

— Do a logical operation

— val do-log : *lop* → *v* → *exp* → maybe *exp-or-val*

```

fun do-log :: lop ⇒ v ⇒ exp ⇒(exp-or-val)option where
  do-log And v2 e = (
    (case v2 of
      Litv - => None
    | Conv m l2 => (case m of
        None => None
      | Some p => (case p of
          (s1,t1) =>
            if(s1 = ("true")) then
              ((case t1 of
                TypeId i => (case i of
                  Short s2 =>
                    if(s2 = ("bool")) then
                      ((case l2 of
                        [] => Some (Exp e)
                      | - => None
                    )) else None
                  | Long - - => None
                )
              )
            | TypeExn - => None
          )) else
        (
          if(s1 = ("false")) then
            ((case t1 of
              TypeId i2 => (case i2 of
                Short s4 =>
                  if(s4 = ("bool")) then

```

```

((case l2 of
  [] => Some
    (Val v2)
  | - => None
  )) else None
  | Long -->
    None
  )
  | TypeExn -=> None
  )) else None)
)
)
|
| Closure ---> None
| Recclosure ---> None
| Loc -> None
| Vectorv -=> None
))
|
| do-log Or v2 e =
  (case v2 of
    Litv -> None
  |
    Conv m0 l6 => (case m0 of
      None => None
      | Some p0 => (case p0 of
        (s8,t0) =>
          if(s8 = ("false")) then
            ((case t0 of
              TypeId i5 => (case i5 of
                Short s9 =>
                  if(s9 = ("bool")) then
                    ((case l6 of
                      [] => Some
                        (Exp e)
                      | - => None
                    )) else None
                    | Long -->
                      None
                    )
                  | TypeExn -=> None
                )) else
                (
                  if(s8 = ("true")) then
                    ((case t0 of
                      TypeId i8 => (case i8 of
                        Short s11 =>
                          if(s11 = ("bool")) then
                            ((case l6 of
                              [] =>
                                Some (Val v2)
                              | - =>

```

```

        None
      )) else None
      | Long - - =>
        None
      )
      | TypeExn - => None
      )) else None)
    )
  )
| Closure - - - => None
| Recclosure - - - => None
| Loc - => None
| Vectorv - => None
) )

```

— Do an if-then-else

```

— val do-if : v -> exp -> exp -> maybe exp
definition do-if :: v  $\Rightarrow$  exp0  $\Rightarrow$  exp0  $\Rightarrow$  (exp0)option where
  do-if v2 e1 e2 = (
    if v2 = (Boolv True) then
      Some e1
    else if v2 = (Boolv False) then
      Some e2
    else
      None )

```

— Semantic helpers for definitions

— Build a constructor environment for the type definition tds

```

— val build-tdefs : list modN -> list (list tvarN * typeN * list (conN * list t))
-> env-ctor
definition build-tdefs :: (string)list  $\Rightarrow$  ((tvarN)list * string * (string * (t)list)list)list
 $\Rightarrow$  ((string), (string), (nat * tid-or-exn))namespace where
  build-tdefs mn tds = (
    alist-to-ns
    (List.rev
     (List.concat
      (List.map
       (  $\lambda$ x .
       (case x of
         (tvs, tn, condefs) =>
         List.map
         (  $\lambda$ x . (case x of
           (conN, ts) =>
           (conN, (List.length ts, TypeId (mk-id mn tn)))
         )) condefs
       )))
    )))

```

tds))))

— Checks that no constructor is defined twice in a type
— val check-dup-ctors : list (list tvarN * typeN * list (conN * list t)) \rightarrow bool
definition check-dup-ctors :: ((tvarN)list*string*(string*(t)list)list)list \Rightarrow bool
where
 check-dup-ctors tds = (
 Lem-list.allDistinct ((let x2 =
 ([])) in List.foldr
 ($\lambda x .$ (case x of
 (tvs, tn, condefs) \Rightarrow $\lambda x2 .$ List.foldr
 ($\lambda x .$
 (case x of
 (n, ts) \Rightarrow
 $\lambda x2 .$
 if True then
 n $\#$ x2
 else
 x2
)) condefs
 x2
)) tds x2)))

— val combine-dec-result : forall 'a. sem-env v \rightarrow result (sem-env v) 'a \rightarrow result
(sem-env v) 'a
fun combine-dec-result :: (v)sem-env \Rightarrow (((v)sem-env), 'a)result \Rightarrow (((v)sem-env), 'a)result
where
 combine-dec-result env (Rerr e) = (Rerr e)
 | combine-dec-result env (Rval env') = (Rval (| v = (nsAppend(v env')(v env)),
 c = (nsAppend(c env')(c env)) |))

— val extend-dec-env : sem-env v \rightarrow sem-env v \rightarrow sem-env v
definition extend-dec-env :: (v)sem-env \Rightarrow (v)sem-env \Rightarrow (v)sem-env **where**
 extend-dec-env new-env env = (
 (| v = (nsAppend(v new-env)(v env)), c = (nsAppend(c new-env)(c env))
 |))

— val decs-to-types : list dec \rightarrow list typeN
definition decs-to-types :: (dec)list \Rightarrow (string)list **where**
 decs-to-types ds = (
 List.concat (List.map ($\lambda d .$
 (case d of
 Dtype locs tds \Rightarrow List.map ($\lambda x .$
 (case x of (tvs,tn,ctors) \Rightarrow tn)) tds
 | - \Rightarrow []))

```

ds))

— val no-dup-types : list dec -> bool
definition no-dup-types :: (dec)list => bool where
  no-dup-types ds = (
    Lem-list.allDistinct (decs-to-types ds))

— val prog-to-mods : list top -> list (list modN)
definition prog-to-mods :: (top0)list =>((string)list)list where
  prog-to-mods tops = (
    List.concat (List.map (λ top1 .
      (case top1 of
        Tmod mn --> [[mn]]
        | _ -=> [])))
    tops))

— val no-dup-mods : list top -> set (list modN) -> bool
definition no-dup-mods :: (top0)list =>((modN)list)set => bool where
  no-dup-mods tops defined-mods2 = (
    Lem-list.allDistinct (prog-to-mods tops) ∧
    disjnt (List.set (prog-to-mods tops)) defined-mods2 )

— val prog-to-top-types : list top -> list typeN
definition prog-to-top-types :: (top0)list =>(string)list where
  prog-to-top-types tops = (
    List.concat (List.map (λ top1 .
      (case top1 of
        Tdec d => decs-to-types [d]
        | _ -=> [])))
    tops))

— val no-dup-top-types : list top -> set tid-or-exn -> bool
definition no-dup-top-types :: (top0)list =>(tid-or-exn)set => bool where
  no-dup-top-types tops defined-types2 = (
    Lem-list.allDistinct (prog-to-top-types tops) ∧
    disjnt (List.set (List.map (λ tn . TypeId (Short tn)) (prog-to-top-types tops)))
    defined-types2 )

end

```

Chapter 8

Generated by Lem from *semantics/alt-semantics/smallStep.lem.*

```
theory SmallStep

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives-extra
  Lib
  Namespace
  Ast
  SemanticPrimitives
  Ffi

begin

  — open import Pervasives-extra
  — open import Lib
  — open import Ast
  — open import Namespace
  — open import SemanticPrimitives
  — open import Ffi

  — Small-step semantics for expression only. Modules and definitions have *
     big-step semantics only

  — Evaluation contexts * The hole is denoted by the unit type * The env argument
     contains bindings for the free variables of expressions in the context
datatype ctxt-frame =
  Craise unit
  | Chandle unit (pat * exp0) list
```

```

| Capp op0 v list unit exp0 list
| Clog lop unit exp0
| Cif unit exp0 exp0
  — The value is raised if none of the patterns match
| Cmat unit (pat * exp0) list v
| Clet varN option unit exp0
  — Evaluating a constructor's arguments * The v list should be in reverse order.
| Ccon ((modN, conN)id0)option v list unit exp0 list
| Ctannot unit t
| Clannot unit locs

```

type-synonym ctxt = ctxt-frame * v sem-env

— State for CEK-style expression evaluation * — constructor data * — the store * — the environment for the free variables of the current expression * — the current expression to evaluate, or a value if finished * — the context stack (continuation) of what to do once the current expression is finished. Each entry has an environment for its free variables

type-synonym 'ffi small-state = v sem-env * ('ffi, v) store-ffi * exp-or-val * ctxt list

```

datatype 'ffi e-step-result =
  Estep 'ffi small-state
  | Eabort abort
  | Estuck

```

— The semantics are deterministic, and presented functionally instead of * relationally for proof rather than readability; the steps are very small: we * push individual frames onto the context stack instead of finding a redex in a * single step

— val push : forall 'ffi. sem-env v -> store-ffi 'ffi v -> exp -> ctxt-frame -> list ctxt -> e-step-result 'ffi
definition push :: (v)sem-env \Rightarrow (v)store*'ffi ffi-state \Rightarrow exp0 \Rightarrow ctxt-frame \Rightarrow (ctxt-frame*(v)sem-env)list
 \Rightarrow 'ffi e-step-result **where**
 push env s e c' cs = (Estep (env, s, Exp e, ((c',env) # cs)))

— val return : forall 'ffi. sem-env v -> store-ffi 'ffi v -> v -> list ctxt -> e-step-result 'ffi
definition return :: (v)sem-env \Rightarrow (v)store*'ffi ffi-state \Rightarrow v \Rightarrow (ctxt)list \Rightarrow 'ffi e-step-result **where**
 return env s v2 c2 = (Estep (env, s, Val v2, c2))

— val application : forall 'ffi. op -> sem-env v -> store-ffi 'ffi v -> list v -> list ctxt -> e-step-result 'ffi
definition application :: op0 \Rightarrow (v)sem-env \Rightarrow (v)store*'ffi ffi-state \Rightarrow (v)list \Rightarrow (ctxt)list
 \Rightarrow 'ffi e-step-result **where**
 application op1 env s vs c2 = (

```

(case op1 of
  Opapp =>
    (case do-opapp vs of
      Some (env,e) => Estep (env, s, Exp e, c2)
      | None => Eabort Rtype-error
    )
  | - =>
    (case do-app s op1 vs of
      Some (s',r) =>
        (case r of
          Rerr (Rraise v2) => Estep (env,s',Val v2,((Craise (),env)# c2))
          | Rerr (Rabort a) => Eabort a
          | Rval v2 => return env s' v2 c2
        )
      | None => Eabort Rtype-error
    )
  )))
)

— apply a context to a value
— val continue : forall 'ffi. store-ffi 'ffi v -> v -> list ctxt -> e-step-result 'ffi
fun continue :: (v)store*'ffi ffi-state => v =>(ctxt-frame*(v)sem-env)list => 'ffi
e-step-result where
  continue s v2 ([] ) = ( Estuck )
  | continue s v2 ((Craise -, env) # c2) = (
    (case c2 of
      [] => Estuck
      | ((Chandle - pes,env') # c2) =>
          Estep (env,s,Val v2,((Cmat () pes v2, env')# c2))
      | - # c2 => Estep (env,s,Val v2,((Craise (),env)# c2))
    ))
  )
  | continue s v2 ((Chandle - pes, env) # c2) = (
    return env s v2 c2 )
  | continue s v2 ((Capp op1 vs - [], env) # c2) = (
    application op1 env s (v2 # vs) c2 )
  | continue s v2 ((Capp op1 vs - (e # es), env) # c2) = (
    push env s e (Capp op1 (v2 # vs) () es) c2 )
  | continue s v2 ((Clog l - e, env) # c2) = (
    (case do-log l v2 e of
      Some (Exp e) => Estep (env, s, Exp e, c2)
      | Some (Val v2) => return env s v2 c2
      | None => Eabort Rtype-error
    )))
  | continue s v2 ((Cif - e1 e2, env) # c2) = (
    (case do-if v2 e1 e2 of
      Some e => Estep (env, s, Exp e, c2)
      | None => Eabort Rtype-error
    )))
  | continue s v2 ((Cmat - [] err-v, env) # c2) = (

```

```

Estep (env, s, Val err-v, ((Craise (), env) # c2)))
| continue s v2 ((Cmat - ((p,e)# pes) err-v, env) # c2) = (
  if Lem-list.allDistinct (pat-bindings p []) then
    (case pmatch(c env) (fst s) p v2 [] of
      Match-type-error => Eabort Rtype-error
      | No-match => Estep (env, s, Val v2, ((Cmat () pes err-v, env) # c2))
      | Match env' => Estep (( env (| v := (nsAppend (alist-to-ns env')(v
env)) |)), s, Exp e, c2)
    )
  else
    Eabort Rtype-error
| continue s v2 ((Clet n - e, env) # c2) = (
  Estep (( env (| v := (nsOptBind n v2(v env)) |)), s, Exp e, c2))
| continue s v2 ((Ccon n vs - [], env) # c2) = (
  if do-con-check(c env) n (List.length vs +( 1 :: nat)) then
    (case build-conv(c env) n (v2 # vs) of
      None => Eabort Rtype-error
      | Some v2 => return env s v2 c2
    )
  else
    Eabort Rtype-error
| continue s v2 ((Ccon n vs - (e # es), env) # c2) = (
  if do-con-check(c env) n (((List.length vs +( 1 :: nat)) +( 1 :: nat)) +
List.length es) then
    push env s e (Ccon n (v2 # vs) () es) c2
  else
    Eabort Rtype-error
| continue s v2 ((Ctannot - t1, env) # c2) = (
  return env s v2 c2 )
| continue s v2 ((Clannot - l, env) # c2) = (
  return env s v2 c2 )

```

— The single step expression evaluator. Returns *None* if there is nothing to * do, but no type error. Returns *Type-error* on encountering free variables, * mis-applied (or non-existent) constructors, and when the wrong kind of value * if given to a primitive. Returns *Bind-error* when no pattern in a match * matches the value. Otherwise it returns the next state

```

— val e-step : forall 'ffi. small-state 'ffi -> e-step-result 'ffi
fun e-step :: (v)sem-env*((v)store*'ffi ffi-state)*exp-or-val*(ctxt)list => 'ffi e-step-result
where
  e-step (env, s,(Val v2), c2) = (
    continue s v2 c2 )
  | e-step (env, s,(Exp e), c2) = (
    (case e of
      Lit l => return env s (Litv l) c2
      | Raise e =>
        push env s e (Craise () ) c2

```

```

| Handle e pes =>
  push env s e (Chandle () pes) c2
| Con n es =>
  if do-con-check(c env) n (List.length es) then
    (case List.rev es of
      [] =>
        (case build-conv(c env) n [] of
          None => Eabort Rtype-error
          | Some v2 => return env s v2 c2
        )
      | e # es =>
        push env s e (Ccon n [] () es) c2
      )
    else
      Eabort Rtype-error
| Var n =>
  (case nsLookup(v env) n of
    None => Eabort Rtype-error
    | Some v2 =>
      return env s v2 c2
  )
| Fun n e => return env s (Closure env n e) c2
| App op1 es =>
  (case List.rev es of
    [] => application op1 env s [] c2
    | (e # es) => push env s e (Capp op1 [] () es) c2
  )
| Log l e1 e2 => push env s e1 (Clog l () e2) c2
| If e1 e2 e3 => push env s e1 (Cif () e2 e3) c2
| Mat e pes => push env s e (Cmat () pes (Conv (Some ("Bind"),
TypeExn (Short ("Bind"))))) [])
| Let n e1 e2 => push env s e1 (Clet n () e2) c2
| Letrec funs e =>
  if  $\neg$  (allDistinct (List.map (  $\lambda$ x .
  (case x of (x,y,z) => x )) funs)) then
    Eabort Rtype-error
  else
    Estep (( env (| v := (build-rec-env funs env(v env)) |),
      s, Exp e, c2)
  | Tannot e t1 => push env s e (Ctannot () t1) c2
  | Lannot e l => push env s e (Clannot () l) c2
  ))

```

— Define a semantic function using the steps

— val e-step-reln : forall 'ffi. small-state 'ffi \rightarrow small-state 'ffi \rightarrow bool
— val small-eval : forall 'ffi. sem-env v \rightarrow store-ffi 'ffi v \rightarrow exp \rightarrow list ctxt \rightarrow
store-ffi 'ffi v * result v v \rightarrow bool

```

definition e-step-reln :: (v)sem-env*('ffi,(v))store-ffi*exp-or-val*(ctxt)list  $\Rightarrow$  (v)sem-env*('ffi,(v))store-ffi*exp
 $\Rightarrow$  bool where
    e-step-reln st1 st2 = (
        (e-step st1 = Estep st2))

fun
small-eval :: (v)sem-env  $\Rightarrow$  (v)store*ffi ffi-state  $\Rightarrow$  exp0  $\Rightarrow$  (ctxt)list  $\Rightarrow$  ((v)store*ffi
ffi-state)*((v),(v))result  $\Rightarrow$  bool where

    small-eval env s e c2 (s', Rval v2) = (((
         $\exists$  env'. (rtranclp (e-step-reln)) (env,s,Exp e,c2) (env',s',Val v2,[])))
    |
    small-eval env s e c2 (s', Rerr (Rraise v2)) = (((
         $\exists$  env'.
         $\exists$  env''. (rtranclp (e-step-reln)) (env,s,Exp e,c2) (env',s',Val v2,[Craise () , 
        env'']))))
    |
    small-eval env s e c2 (s', Rerr (Rabort a)) = (((
         $\exists$  env'.
         $\exists$  e'.
         $\exists$  c'.
        (rtranclp (e-step-reln)) (env,s,Exp e,c2) (env',s',e',c')  $\wedge$ 
        (e-step (env',s',e',c') = Eabort a)))
    — val e-diverges : forall 'ffi. sem-env v  $\rightarrow$  store-ffi 'ffi v  $\rightarrow$  exp  $\rightarrow$  bool
definition e-diverges :: (v)sem-env  $\Rightarrow$  (v)store*ffi ffi-state  $\Rightarrow$  exp0  $\Rightarrow$  bool where

    e-diverges env s e = (((
         $\forall$  env'.
         $\forall$  s'.
         $\forall$  e'.
         $\forall$  c'.
        (rtranclp (e-step-reln)) (env,s,Exp e,[]) (env',s',e',c'))
     $\longrightarrow$ 
    (( $\exists$  env''.  $\exists$  s''.  $\exists$  e''.  $\exists$  c''.
        e-step-reln (env',s',e',c') (env'',s'',e'',c'')))))

```

end

Chapter 9

Generated by Lem from *semantics/alt-semantics/bigStep.lem.*

```
theory BigStep
```

```
imports
```

```
  Main
```

```
  HOL-Library.Datatype-Records
```

```
  LEM.Lem-pervasives-extra
```

```
  Lib
```

```
  Namespace
```

```
  Ast
```

```
  SemanticPrimitives
```

```
  Ffi
```

```
  SmallStep
```

```
begin
```

```
  -- open import Pervasives-extra
```

```
  -- open import Lib
```

```
  -- open import Namespace
```

```
  -- open import Ast
```

```
  -- open import SemanticPrimitives
```

```
  -- open import Ffi
```

```
  -- To get the definition of expression divergence to use in defining definition *  
  divergence
```

```
  -- open import SmallStep
```

```
----- Big step semantics -----
```

```
  -- If the first argument is true, the big step semantics counts down how many
```

functions applications have happened, and raises an exception when the counter runs out.

inductive

```

evaluate-match :: bool  $\Rightarrow$  (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  v  $\Rightarrow$  (pat*exp0)list  $\Rightarrow$  v  $\Rightarrow$  'ffi
state*((v),(v))result  $\Rightarrow$  bool
and
evaluate-list :: bool  $\Rightarrow$  (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  (exp0)list  $\Rightarrow$  'ffi state*((v)list),(v))result
 $\Rightarrow$  bool
and
evaluate :: bool  $\Rightarrow$  (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  exp0  $\Rightarrow$  'ffi state*((v),(v))result  $\Rightarrow$ 
bool where

lit :  $\bigwedge$  ck env l s.

evaluate ck env s (Lit l) (s, Rval (Litv l))

| 

raise1 :  $\bigwedge$  ck env e s1 s2 v1.
evaluate ck s1 env e (s2, Rval v1)
==>
evaluate ck s1 env (Raise e) (s2, Rerr (Rraise v1))

| 

raise2 :  $\bigwedge$  ck env e s1 s2 err.
evaluate ck s1 env e (s2, Rerr err)
==>
evaluate ck s1 env (Raise e) (s2, Rerr err)

| 

handle1 :  $\bigwedge$  ck s1 s2 env e v1 pes.
evaluate ck s1 env e (s2, Rval v1)
==>
evaluate ck s1 env (Handle e pes) (s2, Rval v1)

| 

handle2 :  $\bigwedge$  ck s1 s2 env e pes v1 bv.
evaluate ck env s1 e (s2, Rerr (Rraise v1))  $\wedge$ 
evaluate-match ck env s2 v1 pes v1 bv
==>
evaluate ck env s1 (Handle e pes) bv

| 

handle3 :  $\bigwedge$  ck s1 s2 env e pes a.
```

```

evaluate ck env s1 e (s2, Rerr (Rabort a))
==>
evaluate ck env s1 (Handle e pes) (s2, Rerr (Rabort a))

|
con1 :  $\bigwedge ck \text{ env } cn \text{ es } vs \text{ s } s' v1.$ 
do-con-check(c env) cn (List.length es)  $\wedge$ 
((build-conv(c env) cn (List.rev vs) = Some v1)  $\wedge$ 
evaluate-list ck env s (List.rev es) (s', Rval vs))
==>
evaluate ck env s (Con cn es) (s', Rval v1)

|
con2 :  $\bigwedge ck \text{ env } cn \text{ es } s.$ 
 $\neg$  (do-con-check(c env) cn (List.length es))
==>
evaluate ck env s (Con cn es) (s, Rerr (Rabort Rtype-error))

|
con3 :  $\bigwedge ck \text{ env } cn \text{ es } err \text{ s } s'.$ 
do-con-check(c env) cn (List.length es)  $\wedge$ 
evaluate-list ck env s (List.rev es) (s', Rerr err)
==>
evaluate ck env s (Con cn es) (s', Rerr err)

|
var1 :  $\bigwedge ck \text{ env } n \text{ v1 } s.$ 
nsLookup(v env) n = Some v1
==>
evaluate ck env s (Var n) (s, Rval v1)

|
var2 :  $\bigwedge ck \text{ env } n \text{ s}.$ 
nsLookup(v env) n = None
==>
evaluate ck env s (Var n) (s, Rerr (Rabort Rtype-error))

|
fn :  $\bigwedge ck \text{ env } n \text{ e } s.$ 
evaluate ck env s (Fun n e) (s, Rval (Closure env n e))
|

```

```

app1 :  $\bigwedge ck \ env \ es \ vs \ env' \ e \ bv \ s1 \ s2.$ 
      evaluate-list ck env s1 (List.rev es) (s2, Rval vs)  $\wedge$ 
      ((do-opapp (List.rev vs) = Some (env', e))  $\wedge$ 
       ((ck  $\longrightarrow$   $\neg$  ((clock s2) =(( 0 :: nat))))  $\wedge$ 
        evaluate ck env' (if ck then ( s2 (| clock := ((clock s2) -( 1 :: nat)) |)) else s2)
        e bv))
      ==>
      evaluate ck env s1 (App Opapp es) bv

| 

app2 :  $\bigwedge ck \ env \ es \ vs \ env' \ e \ s1 \ s2.$ 
      evaluate-list ck env s1 (List.rev es) (s2, Rval vs)  $\wedge$ 
      ((do-opapp (List.rev vs) = Some (env', e))  $\wedge$ 
       (((clock s2) = ( 0 :: nat))  $\wedge$ 
        ck))
      ==>
      evaluate ck env s1 (App Opapp es) (s2, Rerr (Rabort Rtimeout-error))

| 

app3 :  $\bigwedge ck \ env \ es \ vs \ s1 \ s2.$ 
      evaluate-list ck env s1 (List.rev es) (s2, Rval vs)  $\wedge$ 
      (do-opapp (List.rev vs) = None)
      ==>
      evaluate ck env s1 (App Opapp es) (s2, Rerr (Rabort Rtype-error))

| 

app4 :  $\bigwedge ck \ env \ op0 \ es \ vs \ res \ s1 \ s2 \ refs' \ ffi'.$ 
      evaluate-list ck env s1 (List.rev es) (s2, Rval vs)  $\wedge$ 
      ((do-app ((refs s2),(ffi s2)) op0 (List.rev vs) = Some ((refs',ffi'), res))  $\wedge$ 
       (op0  $\neq$  Opapp))
      ==>
      evaluate ck env s1 (App op0 es) (( s2 (| refs := refs', ffi := ffi' |)), res)

| 

app5 :  $\bigwedge ck \ env \ op0 \ es \ vs \ s1 \ s2.$ 
      evaluate-list ck env s1 (List.rev es) (s2, Rval vs)  $\wedge$ 
      ((do-app ((refs s2),(ffi s2)) op0 (List.rev vs) = None)  $\wedge$ 
       (op0  $\neq$  Opapp))
      ==>
      evaluate ck env s1 (App op0 es) (s2, Rerr (Rabort Rtype-error))

| 

app6 :  $\bigwedge ck \ env \ op0 \ es \ err \ s1 \ s2.$ 

```

```

evaluate-list ck env s1 (List.rev es) (s2, Rerr err)
==>
evaluate ck env s1 (App op0 es) (s2, Rerr err)

|
log1 :  $\bigwedge ck \text{ env } op0 \text{ e1 e2 v1 e' bv s1 s2}.$ 
evaluate ck env s1 e1 (s2, Rval v1)  $\wedge$ 
((do-log op0 v1 e2 = Some (Exp e'))  $\wedge$ 
evaluate ck env s2 e' bv)
==>
evaluate ck env s1 (Log op0 e1 e2) bv

|
log2 :  $\bigwedge ck \text{ env } op0 \text{ e1 e2 v1 bv s1 s2}.$ 
evaluate ck env s1 e1 (s2, Rval v1)  $\wedge$ 
(do-log op0 v1 e2 = Some (Val bv))
==>
evaluate ck env s1 (Log op0 e1 e2) (s2, Rval bv)

|
log3 :  $\bigwedge ck \text{ env } op0 \text{ e1 e2 v1 s1 s2}.$ 
evaluate ck env s1 e1 (s2, Rval v1)  $\wedge$ 
(do-log op0 v1 e2 = None)
==>
evaluate ck env s1 (Log op0 e1 e2) (s2, Rerr (Rabort Rtype-error))

|
log4 :  $\bigwedge ck \text{ env } op0 \text{ e1 e2 err s s'}.$ 
evaluate ck env s e1 (s', Rerr err)
==>
evaluate ck env s (Log op0 e1 e2) (s', Rerr err)

|
if1 :  $\bigwedge ck \text{ env } e1 e2 e3 v1 e' bv s1 s2.$ 
evaluate ck env s1 e1 (s2, Rval v1)  $\wedge$ 
((do-if v1 e2 e3 = Some e')  $\wedge$ 
evaluate ck env s2 e' bv)
==>
evaluate ck env s1 (If e1 e2 e3) bv

|
if2 :  $\bigwedge ck \text{ env } e1 e2 e3 v1 s1 s2.$ 
evaluate ck env s1 e1 (s2, Rval v1)  $\wedge$ 

```

```

(do-if v1 e2 e3 = None)
==>
evaluate ck env s1 (If e1 e2 e3) (s2, Rerr (Rabort Rtype-error))
|
if3 :  $\bigwedge ck \text{ env } e1 \text{ e2 } e3 \text{ err } s \text{ s'}$ .
evaluate ck env s e1 (s', Rerr err)
==>
evaluate ck env s (If e1 e2 e3) (s', Rerr err)
|
mat1 :  $\bigwedge ck \text{ env } e \text{ pes } v1 \text{ bv } s1 \text{ s2}$ .
evaluate ck env s1 e (s2, Rval v1)  $\wedge$ 
evaluate-match ck env s2 v1 pes (Conv (Some ("Bind")), TypeExn (Short ("Bind")))
[] bv
==>
evaluate ck env s1 (Mat e pes) bv
|
mat2 :  $\bigwedge ck \text{ env } e \text{ pes } err \text{ s s'}$ .
evaluate ck env s e (s', Rerr err)
==>
evaluate ck env s (Mat e pes) (s', Rerr err)
|
let1 :  $\bigwedge ck \text{ env } n \text{ e1 } e2 \text{ v1 } bv \text{ s1 } s2$ .
evaluate ck env s1 e1 (s2, Rval v1)  $\wedge$ 
evaluate ck ( env (| v := (nsOptBind n v1(v env)) |)) s2 e2 bv
==>
evaluate ck env s1 (Let n e1 e2) bv
|
let2 :  $\bigwedge ck \text{ env } n \text{ e1 } e2 \text{ err } s \text{ s'}$ .
evaluate ck env s e1 (s', Rerr err)
==>
evaluate ck env s (Let n e1 e2) (s', Rerr err)
|
letrec1 :  $\bigwedge ck \text{ env } funs \text{ e } bv \text{ s}$ .
Lem-list.allDistinct (List.map (  $\lambda x .$ 
(case x of (x,y,z) => x )) funs)  $\wedge$ 
evaluate ck ( env (| v := (build-rec-env funs env(v env)) |)) s e bv
==>

```

```

evaluate ck env s (Letrec funcs e) bv
|
letrec2 :  $\bigwedge_{ck \text{ env } f \in \text{funcs}} f = e$ .
 $\neg (\text{Lem-list.allDistinct} (\text{List.map} (\lambda x .$ 
 $(\text{case } x \text{ of } (x,y,z) \Rightarrow x)) \text{ funcs}))$ 
 $\implies$ 
evaluate ck env s (Letrec funcs e) (s, Rerr (Rabort Rtype-error))

|
tannot :  $\bigwedge_{ck \text{ env } e \in \text{funcs}} \text{t0} = e$  bv.
evaluate ck env s e bv
 $\implies$ 
evaluate ck env s (Tannot e t0) bv

|
locannot :  $\bigwedge_{ck \text{ env } e \in \text{funcs}} \text{l} = e$  bv.
evaluate ck env s e bv
 $\implies$ 
evaluate ck env s (Lannot e l) bv

|
empty :  $\bigwedge_{ck \text{ env } s} s = \text{empty}$ .
evaluate-list ck env s [] (s, Rval [])
|
cons1 :  $\bigwedge_{ck \text{ env } e \in \text{funcs}} \text{v1} = s1$   $\wedge$   $s2 = s3$ .
evaluate ck env s1 e (s2, Rval v1)  $\wedge$ 
evaluate-list ck env s2 es (s3, Rval vs)
 $\implies$ 
evaluate-list ck env s1 (e # es) (s3, Rval (v1 # vs))

|
cons2 :  $\bigwedge_{ck \text{ env } e \in \text{funcs}} \text{err} = s'$ .
evaluate ck env s e (s', Rerr err)
 $\implies$ 
evaluate-list ck env s (e # es) (s', Rerr err)

|
cons3 :  $\bigwedge_{ck \text{ env } e \in \text{funcs}} \text{v1} = s1$   $\wedge$   $s2 = s3$ .
evaluate ck env s1 e (s2, Rval v1)  $\wedge$ 

```

```

evaluate-list ck env s2 es (s3, Rerr err)
==>
evaluate-list ck env s1 (e # es) (s3, Rerr err)

|
mat-empty :  $\bigwedge ck \text{ env } v1 \text{ err-}v \text{ s.}$ 

evaluate-match ck env s v1 [] err-v (s, Rerr (Rraise err-v))

|
mat-cons1 :  $\bigwedge ck \text{ env } env' v1 p \text{ pes } e \text{ bv err-}v \text{ s.}$ 
Lem-list.allDistinct (pat-bindings p [])
((pmatch(c env)(refs s) p v1 [] = Match env')  $\wedge$ 
 evaluate ck ( env (| v := (nsAppend (alist-to-ns env')(v env)) |)) s e bv)
==>
evaluate-match ck env s v1 ((p,e)# pes) err-v bv

|
mat-cons2 :  $\bigwedge ck \text{ env } v1 p \text{ e pes bv s err-}v.$ 
Lem-list.allDistinct (pat-bindings p [])
((pmatch(c env)(refs s) p v1 [] = No-match)  $\wedge$ 
 evaluate-match ck env s v1 pes err-v bv)
==>
evaluate-match ck env s v1 ((p,e)# pes) err-v bv

|
mat-cons3 :  $\bigwedge ck \text{ env } v1 p \text{ e pes s err-}v.$ 
pmatch(c env)(refs s) p v1 [] = Match-type-error
==>
evaluate-match ck env s v1 ((p,e)# pes) err-v (s, Rerr (Rabort Rtype-error))

|
mat-cons4 :  $\bigwedge ck \text{ env } v1 p \text{ e pes s err-}v.$ 
 $\neg (\text{Lem-list.allDistinct (pat-bindings p [])})$ 
==>
evaluate-match ck env s v1 ((p,e)# pes) err-v (s, Rerr (Rabort Rtype-error))

— The set tid-or-exn part of the state tracks all of the types and exceptions * that
have been declared
inductive
evaluate-dec :: bool  $\Rightarrow$  (modN)list  $\Rightarrow$  (v)sem-env  $\Rightarrow$  ffi state  $\Rightarrow$  dec  $\Rightarrow$  ffi state*(((v)sem-env),(v))result
 $\Rightarrow$  bool where

dlet1 :  $\bigwedge ck mn \text{ env } p \text{ e v1 env' s1 s2 locs.}$ 

```

```

evaluate ck env s1 e (s2, Rval v1) ∧
(Lem-list.allDistinct (pat-bindings p [])) ∧
(pmatch(c env)(refs s2) p v1 [] = Match env')
==>
evaluate-dec ck mn env s1 (Dlet locs p e) (s2, Rval (| v = (alist-to-ns env'), c =
nsEmpty |))

|
dlet2 : ∨ ck mn env p e v1 s1 s2 locs.
evaluate ck env s1 e (s2, Rval v1) ∧
(Lem-list.allDistinct (pat-bindings p [])) ∧
(pmatch(c env)(refs s2) p v1 [] = No-match)
==>
evaluate-dec ck mn env s1 (Dlet locs p e) (s2, Rerr (Rraise Bindv))

|
dlet3 : ∨ ck mn env p e v1 s1 s2 locs.
evaluate ck env s1 e (s2, Rval v1) ∧
(Lem-list.allDistinct (pat-bindings p [])) ∧
(pmatch(c env)(refs s2) p v1 [] = Match-type-error)
==>
evaluate-dec ck mn env s1 (Dlet locs p e) (s2, Rerr (Rabort Rtype-error))

|
dlet4 : ∨ ck mn env p e s locs.
¬ (Lem-list.allDistinct (pat-bindings p []))
==>
evaluate-dec ck mn env s (Dlet locs p e) (s, Rerr (Rabort Rtype-error))

|
dlet5 : ∨ ck mn env p e err s s' locs.
evaluate ck env s e (s', Rerr err) ∧
Lem-list.allDistinct (pat-bindings p [])
==>
evaluate-dec ck mn env s (Dlet locs p e) (s', Rerr err)

|
dletrec1 : ∨ ck mn env funs s locs.
Lem-list.allDistinct (List.map ( λx .
(case x of (x,y,z) => x)) funs)
==>
evaluate-dec ck mn env s (Dletrec locs funs) (s, Rval (| v = (build-rec-env funs env
nsEmpty), c = nsEmpty |))

```

```

|
dletrec2 :  $\bigwedge ck mn env \text{fun}s s \text{loc}s.$ 
 $\neg (\text{Lem-list.allDistinct} (\text{List.map} (\lambda x .$ 
 $(\text{case } x \text{ of } (x,y,z) \Rightarrow x)) \text{fun}s))$ 
 $\implies$ 
 $\text{evaluate-dec } ck mn env s (\text{Dletrec locs funs}) (s, \text{Rerr} (\text{Rabort Rtype-error}))$ 
|
dtype1 :  $\bigwedge ck mn env tds s \text{new-tdecs locs}.$ 
 $\text{check-dup-ctors tds} \wedge$ 
 $((\text{new-tdecs} = \text{type-defs-to-new-tdecs} mn tds) \wedge$ 
 $(\text{disjnt new-tdecs(defined-types } s) \wedge$ 
 $\text{Lem-list.allDistinct} (\text{List.map} (\lambda x .$ 
 $(\text{case } x \text{ of } (tvs,tn,ctors) \Rightarrow tn)) tds)))$ 
 $\implies$ 
 $\text{evaluate-dec } ck mn env s (\text{Dtype locs tds}) ((s (| \text{defined-types} := (\text{new-tdecs} \cup (\text{defined-types } s)) |)), \text{Rval} (| v = \text{nsEmpty}, c = (\text{build-tdefs} mn tds) |))$ 
|
dtype2 :  $\bigwedge ck mn env tds s \text{loc}s.$ 
 $\neg (\text{check-dup-ctors tds}) \vee$ 
 $(\neg (\text{disjnt (type-defs-to-new-tdecs} mn tds)(defined-types } s)) \vee$ 
 $\neg (\text{Lem-list.allDistinct} (\text{List.map} (\lambda x .$ 
 $(\text{case } x \text{ of } (tvs,tn,ctors) \Rightarrow tn)) tds)))$ 
 $\implies$ 
 $\text{evaluate-dec } ck mn env s (\text{Dtype locs tds}) (s, \text{Rerr} (\text{Rabort Rtype-error}))$ 
|
dtabbrev :  $\bigwedge ck mn env tvs tn t0 s \text{loc}s.$ 
 $\text{evaluate-dec } ck mn env s (\text{Dtabbrev locs tvs tn t0}) (s, \text{Rval} (| v = \text{nsEmpty}, c = \text{nsEmpty} |))$ 
|
dexn1 :  $\bigwedge ck mn env cn ts s \text{loc}s.$ 
 $\neg (\text{TypeExn (mk-id} mn cn) \in (\text{defined-types } s))$ 
 $\implies$ 
 $\text{evaluate-dec } ck mn env s (\text{Dexn locs cn ts}) ((s (| \text{defined-types} := (\{\text{TypeExn (mk-id} mn cn)\} \cup (\text{defined-types } s)) |)), \text{Rval} (| v = \text{nsEmpty}, c = (\text{nsSing} cn (\text{List.length} ts, \text{TypeExn (mk-id} mn cn))) |))$ 
|
dexn2 :  $\bigwedge ck mn env cn ts s \text{loc}s.$ 

```

```

TypeExn (mk-id mn cn) ∈(defined-types s)
==>
evaluate-dec ck mn env s (Dexn locs cn ts) (s, Rerr (Rabort Rtype-error))

inductive
evaluate-decs :: bool ⇒(modN)list ⇒(v)sem-env ⇒ 'ffi state ⇒(dec)list ⇒ 'ffi
state*((v)sem-env),(v))result ⇒ bool where

empty : ∏ ck mn env s.

evaluate-decs ck mn env s [] (s, Rval (| v = nsEmpty, c = nsEmpty |))

|
cons1 : ∏ ck mn s1 s2 env d ds e.
evaluate-dec ck mn env s1 d (s2, Rerr e)
==>
evaluate-decs ck mn env s1 (d # ds) (s2, Rerr e)

|
cons2 : ∏ ck mn s1 s2 s3 env d ds new-env r.
evaluate-dec ck mn env s1 d (s2, Rval new-env) ∧
evaluate-decs ck mn (extend-dec-env new-env env) s2 ds (s3, r)
==>
evaluate-decs ck mn env s1 (d # ds) (s3, combine-dec-result new-env r)

inductive
evaluate-top :: bool ⇒(v)sem-env ⇒ 'ffi state ⇒ top0 ⇒ 'ffi state*((v)sem-env),(v))result
⇒ bool where

tdec1 : ∏ ck s1 s2 env d new-env.
evaluate-dec ck [] env s1 d (s2, Rval new-env)
==>
evaluate-top ck env s1 (Tdec d) (s2, Rval new-env)
|
tdec2 : ∏ ck s1 s2 env d err.
evaluate-dec ck [] env s1 d (s2, Rerr err)
==>
evaluate-top ck env s1 (Tdec d) (s2, Rerr err)

|
tmmod1 : ∏ ck s1 s2 env ds mn specs new-env.
¬ ([mn] ∈(defined-mods s1)) ∧
(no-dup-types ds ∧
evaluate-decs ck [mn] env s1 ds (s2, Rval new-env))
==>

```

```

evaluate-top ck env s1 (Tmod mn specs ds) (( s2 (| defined-mods := ({[mn]}  

\cup(defined-mods s2)) |)), Rval (| v = (nsLift mn(v new-env)), c = (nsLift mn(c  

new-env)) |))

|
tmod2 :  $\bigwedge ck s1 s2 env ds mn specs err.$   

 $\neg ([mn] \in (\text{defined-mods } s1)) \wedge$   

 $(\text{no-dup-types } ds \wedge$   

 $\text{evaluate-decs } ck [mn] env s1 ds (s2, Rerr err))$   

 $\implies$   

evaluate-top ck env s1 (Tmod mn specs ds) (( s2 (| defined-mods := ({[mn]}  

\cup(defined-mods s2)) |)), Rerr err)

|
tmod3 :  $\bigwedge ck s1 env ds mn specs.$   

 $\neg (\text{no-dup-types } ds)$   

 $\implies$   

evaluate-top ck env s1 (Tmod mn specs ds) (s1, Rerr (Rabort Rtype-error))

|
tmod4 :  $\bigwedge ck env s mn specs ds.$   

 $[mn] \in (\text{defined-mods } s)$   

 $\implies$   

evaluate-top ck env s (Tmod mn specs ds) (s, Rerr (Rabort Rtype-error))

inductive  

evaluate-prog :: bool  $\Rightarrow$  (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  prog  $\Rightarrow$  'ffi state*(((v)sem-env),(v))result  

 $\Rightarrow$  bool where

empty :  $\bigwedge ck env s.$   

evaluate-prog ck env s [] (s, Rval (| v = nsEmpty, c = nsEmpty |))

|
cons1 :  $\bigwedge ck s1 s2 s3 env top0 tops new-env r.$   

evaluate-top ck env s1 top0 (s2, Rval new-env)  $\wedge$   

evaluate-prog ck (extend-dec-env new-env env) s2 tops (s3,r)  

 $\implies$   

evaluate-prog ck env s1 (top0 # tops) (s3, combine-dec-result new-env r)

|
cons2 :  $\bigwedge ck s1 s2 env top0 tops err.$   

evaluate-top ck env s1 top0 (s2, Rerr err)  

 $\implies$ 

```

```

evaluate-prog ck env s1 (top0 # tops) (s2, Rerr err)

— val evaluate-whole-prog : forall 'ffi. Eq 'ffi => bool -> sem-env v -> state 'ffi
— > prog -> state 'ffi * result (sem-env v) v -> bool
fun evaluate-whole-prog :: bool  $\Rightarrow$ (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$ (top0)list  $\Rightarrow$  'ffi
state*((v)sem-env),(v))result  $\Rightarrow$  bool where
    evaluate-whole-prog ck env s1 tops (s2, res) =
        if no-dup-mods tops(defined-mods s1)  $\wedge$  no-dup-top-types tops(defined-types s1)
    then
        evaluate-prog ck env s1 tops (s2, res)
    else
        (s1 = s2)  $\wedge$  (res = Rerr (Rabort Rtype-error)))

— val dec-diverges : forall 'ffi. sem-env v -> state 'ffi -> dec -> bool
fun dec-diverges :: (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  dec  $\Rightarrow$  bool where
    dec-diverges env st (Dlet locs p e) = ( Lem-list.allDistinct (pat-bindings p [])
 $\wedge$  e-diverges env ((refs st),(ffi st)) e )
| dec-diverges env st (Dletrec locs funs) = ( False )
| dec-diverges env st (Dtype locs tds) = ( False )
| dec-diverges env st (Dtabbrev locs tvs tn t1) = ( False )
| dec-diverges env st (Dexn locs cn ts) = ( False )

inductive
decs-diverges :: (modN)list  $\Rightarrow$ (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  decs  $\Rightarrow$  bool where
    cons1 :  $\bigwedge mn st env d ds.$ 
    dec-diverges env st d
    ==>
    decs-diverges mn env st (d # ds)
    |
    cons2 :  $\bigwedge mn s1 s2 env d ds new-env.$ 
    evaluate-dec False mn env s1 d (s2, Rval new-env)  $\wedge$ 
    decs-diverges mn (extend-dec-env new-env env) s2 ds
    ==>
    decs-diverges mn env s1 (d # ds)

inductive
top-diverges :: (v)sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  top0  $\Rightarrow$  bool where
    tdec :  $\bigwedge st env d.$ 
    dec-diverges env st d
    ==>
    top-diverges env st (Tdec d)

```

```

|  

tmod :  $\bigwedge \text{env } s1 \text{ ds mn specs}.$   

 $\neg ([mn] \in (\text{defined-mods } s1)) \wedge$   

 $(\text{no-dup-types } ds \wedge$   

 $\text{decs-diverges } [mn] \text{ env } s1 \text{ ds})$   

 $\implies$   

 $\text{top-diverges env } s1 \ (Tmod \ mn \ specs \ ds)$   

  

inductive  

prog-diverges ::  $(v)\text{sem-env} \Rightarrow \text{'ffi state} \Rightarrow \text{prog} \Rightarrow \text{bool}$  where  

  

cons1 :  $\bigwedge \text{st env top0 tops}.$   

 $\text{top-diverges env st top0}$   

 $\implies$   

 $\text{prog-diverges env st (top0 \# tops)}$   

  

|  

cons2 :  $\bigwedge s1 s2 \text{ env top0 tops new-env}.$   

 $\text{evaluate-top False env } s1 \text{ top0 } (s2, Rval \text{ new-env}) \wedge$   

 $\text{prog-diverges } (\text{extend-dec-env new-env env}) \ s2 \text{ tops}$   

 $\implies$   

 $\text{prog-diverges env } s1 \ (top0 \# tops)$   

end

```

Chapter 10

Generated by Lem from *semantics/alt-semantics/proofs/bigSmallInvariants*

```
theory BigSmallInvariants

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  Lib
  Namespace
  Ast
  SemanticPrimitives
  SmallStep
  BigStep

begin

-- open import Pervasives
-- open import Lib
-- open import Namespace
-- open import Ast
-- open import SemanticPrimitives
-- open import SmallStep
-- open import BigStep

----- Auxiliary relations for proving big/small step equivalence -----

inductive
evaluate-ctxt :: (v)sem-env ⇒ 'ffi state ⇒ ctxt-frame ⇒ v ⇒ 'ffi state*((v),(v))result
⇒ bool  where
```

```

raise :  $\bigwedge \text{env } s \text{ } v1.$ 
evaluate-ctxt env s (Craise () ) v1 (s, Rerr (Rraise v1))

|
handle :  $\bigwedge \text{env } s \text{ } v1 \text{ } pes.$ 
evaluate-ctxt env s (Chandle () pes) v1 (s, Rval v1)

|
app1 :  $\bigwedge \text{env } e \text{ } v1 \text{ } vs1 \text{ } vs2 \text{ } es \text{ } \text{env}' \text{ } bv \text{ } s1 \text{ } s2.$ 
evaluate-list False env s1 es (s2, Rval vs2)  $\wedge$ 
((do-opapp ((List.rev vs2 @ [v1]) @ vs1) = Some (env',e))  $\wedge$ 
evaluate False env' s2 e bv)
==>
evaluate-ctxt env s1 (Capp Opapp vs1 () es) v1 bv

|
app2 :  $\bigwedge \text{env } v1 \text{ } vs1 \text{ } vs2 \text{ } es \text{ } s1 \text{ } s2.$ 
evaluate-list False env s1 es (s2, Rval vs2)  $\wedge$ 
(do-opapp ((List.rev vs2 @ [v1]) @ vs1) = None)
==>
evaluate-ctxt env s1 (Capp Opapp vs1 () es) v1 (s2, Rerr (Rabort Rtype-error))

|
app3 :  $\bigwedge \text{env } op0 \text{ } v1 \text{ } vs1 \text{ } vs2 \text{ } es \text{ } res \text{ } s1 \text{ } s2 \text{ } \text{new-refs } \text{new-ffi}.$ 
(op0  $\neq$  Opapp)  $\wedge$ 
(evaluate-list False env s1 es (s2, Rval vs2)  $\wedge$ 
(do-app ((refs s2),(ffi s2)) op0 ((List.rev vs2 @ [v1]) @ vs1) = Some ((new-refs,
new-ffi),res)))
==>
evaluate-ctxt env s1 (Capp op0 vs1 () es) v1 ((s2 (| ffi := new-ffi, refs := new-refs |)), res)

|
app4 :  $\bigwedge \text{env } op0 \text{ } v1 \text{ } vs1 \text{ } vs2 \text{ } es \text{ } s1 \text{ } s2.$ 
(op0  $\neq$  Opapp)  $\wedge$ 
(evaluate-list False env s1 es (s2, Rval vs2)  $\wedge$ 
(do-app ((refs s2),(ffi s2)) op0 ((List.rev vs2 @ [v1]) @ vs1) = None))
==>
evaluate-ctxt env s1 (Capp op0 vs1 () es) v1 (s2, Rerr (Rabort Rtype-error))

```

```

app5 :  $\bigwedge \text{env } op0 \text{ es vs } v1 \text{ err } s \text{ s'}$ .
evaluate-list False env s es (s', Rerr err)
==>
evaluate-ctxt env s (Capp op0 vs () es) v1 (s', Rerr err)

|
log1 :  $\bigwedge \text{env } op0 \text{ e2 v1 e' bv s}$ .
(do-log op0 v1 e2 = Some (Exp e'))  $\wedge$ 
evaluate False env s e' bv
==>
evaluate-ctxt env s (Clog op0 () e2) v1 bv

|
log2 :  $\bigwedge \text{env } op0 \text{ e2 v1 v' s}$ .
do-log op0 v1 e2 = Some (Val v')
==>
evaluate-ctxt env s (Clog op0 () e2) v1 (s, Rval v')

|
log3 :  $\bigwedge \text{env } op0 \text{ e2 v1 s}$ .
(do-log op0 v1 e2 = None)
==>
evaluate-ctxt env s (Clog op0 () e2) v1 (s, Rerr (Rabort Rtype-error))

|
if1 :  $\bigwedge \text{env } e2 \text{ e3 v1 e' bv s}$ .
(do-if v1 e2 e3 = Some e')  $\wedge$ 
evaluate False env s e' bv
==>
evaluate-ctxt env s (Cif () e2 e3) v1 bv

|
if2 :  $\bigwedge \text{env } e2 \text{ e3 v1 s}$ .
do-if v1 e2 e3 = None
==>
evaluate-ctxt env s (Cif () e2 e3) v1 (s, Rerr (Rabort Rtype-error))

|
mat :  $\bigwedge \text{env pes v1 bv s err-v}$ .
evaluate-match False env s v1 pes err-v bv
==>
evaluate-ctxt env s (Cmat () pes err-v) v1 bv

```

```

lt :  $\bigwedge \text{env } n \ e2 \ v1 \ bv \ s.$ 
 $\text{evaluate } \text{False} \ (\text{env} \ (| \ v := (\text{nsOptBind } n \ v1(v \ \text{env})) \ |)) \ s \ e2 \ bv$ 
 $\implies$ 
 $\text{evaluate-ctxt } \text{env } s \ (\text{Clet } n \ () \ e2) \ v1 \ bv$ 

| 

con1 :  $\bigwedge \text{env } cn \ es \ vs \ v1 \ vs' \ s1 \ s2 \ v'.$ 
 $\text{do-con-check}(c \ \text{env}) \ cn \ ((\text{List.length } vs + \text{List.length } es) + (1 :: \text{nat})) \wedge$ 
 $((\text{build-conv}(c \ \text{env}) \ cn \ ((\text{List.rev } vs' @ [v1]) @ vs) = \text{Some } v') \wedge$ 
 $\text{evaluate-list } \text{False } \text{env } s1 \ es \ (s2, \text{Rval } vs')$ 
 $\implies$ 
 $\text{evaluate-ctxt } \text{env } s1 \ (\text{Ccon } cn \ vs \ () \ es) \ v1 \ (s2, \text{Rval } v')$ 

| 

con2 :  $\bigwedge \text{env } cn \ es \ vs \ v1 \ s.$ 
 $\neg (\text{do-con-check}(c \ \text{env}) \ cn \ ((\text{List.length } vs + \text{List.length } es) + (1 :: \text{nat})))$ 
 $\implies$ 
 $\text{evaluate-ctxt } \text{env } s \ (\text{Ccon } cn \ vs \ () \ es) \ v1 \ (s, \text{Rerr } (\text{Rabort Rtype-error}))$ 

| 

con3 :  $\bigwedge \text{env } cn \ es \ vs \ v1 \ err \ s \ s'.$ 
 $\text{do-con-check}(c \ \text{env}) \ cn \ ((\text{List.length } vs + \text{List.length } es) + (1 :: \text{nat})) \wedge$ 
 $\text{evaluate-list } \text{False } \text{env } s \ es \ (s', \text{Rerr } err)$ 
 $\implies$ 
 $\text{evaluate-ctxt } \text{env } s \ (\text{Ccon } cn \ vs \ () \ es) \ v1 \ (s', \text{Rerr } err)$ 

| 

tannot :  $\bigwedge \text{env } v1 \ s \ t0.$ 
 $\text{evaluate-ctxt } \text{env } s \ (\text{Ctannot } () \ t0) \ v1 \ (s, \text{Rval } v1)$ 

| 

lannot :  $\bigwedge \text{env } v1 \ s \ l.$ 
 $\text{evaluate-ctxt } \text{env } s \ (\text{Clannot } () \ l) \ v1 \ (s, \text{Rval } v1)$ 

inductive
 $\text{evaluate-ctxts} :: \text{'ffi state} \Rightarrow (\text{ctxt})\text{list} \Rightarrow ((v), (v))\text{result} \Rightarrow \text{'ffi state*}((v), (v))\text{result}$ 
 $\Rightarrow \text{bool} \quad \text{where}$ 

empty :  $\bigwedge \text{res } s.$ 
 $\text{evaluate-ctxts } s [] \ \text{res } (s, \text{res})$ 

```

```

|
cons-val :  $\bigwedge c1\ cs\ env\ v1\ res\ bv\ s1\ s2.$ 
evaluate-ctxt env s1 c1 v1 (s2, res)  $\wedge$ 
evaluate-ctxsts s2 cs res bv
==>
evaluate-ctxsts s1 ((c1,env) # cs) (Rval v1) bv

|
cons-err :  $\bigwedge c1\ cs\ env\ err\ bv.$ 
evaluate-ctxsts s cs (Rerr err) bv  $\wedge$ 
((( $\forall$  pes. c1  $\neq$  Chandle () pes))  $\vee$ 
 ( $\forall$  v1. err  $\neq$  Rraise v1)))
==>
evaluate-ctxsts s ((c1,env) # cs) (Rerr err) bv

|
cons-handle :  $\bigwedge cs\ env\ s\ s'\ res1\ res2\ pes\ v1.$ 
evaluate-match False env s v1 pes v1 (s', res1)  $\wedge$ 
evaluate-ctxsts s' cs res1 res2
==>
evaluate-ctxsts s ((Chandle () pes,env) # cs) (Rerr (Rraise v1)) res2

inductive
evaluate-state :: 'ffi small-state  $\Rightarrow$  'ffi state*((v),(v))result  $\Rightarrow$  bool where

exp :  $\bigwedge env\ e\ c1\ res\ bv\ ffi0\ refs0\ st.$ 
evaluate False env (| clock =(( 0 :: nat)), refs = refs0, ffi = ffi0, defined-types = ({ }), defined-mods =
({ }) |) e (st, res)  $\wedge$ 
evaluate-ctxsts st c1 res bv
==>
evaluate-state (env, (refs0, ffi0), Exp e, c1) bv

|
vl :  $\bigwedge env\ ffi0\ refs0\ v1\ c1\ bv.$ 
evaluate-ctxsts (| clock =(( 0 :: nat)), refs = refs0, ffi = ffi0, defined-types = ({ }), defined-mods =
({ }) |) c1 (Rval v1) bv
==>
evaluate-state (env, (refs0, ffi0), Val v1, c1) bv
end

```

Chapter 11

Generated by Lem from *semantics/evaluate.lem.*

```
theory Evaluate
```

```
imports
```

```
  Main
```

```
  HOL-Library.Datatype-Records
```

```
  LEM.Lem-pervasives-extra
```

```
  Lib
```

```
  Namespace
```

```
  Ast
```

```
  SemanticPrimitives
```

```
  Ffi
```

```
begin
```

```
— open import Pervasives-extra
— open import Lib
— open import Ast
— open import Namespace
— open import SemanticPrimitives
— open import Ffi
```

— The semantics is defined here using fix-clock so that HOL4 generates * provable termination conditions. However, after termination is proved, we * clean up the definition (in HOL4) to remove occurrences of fix-clock.

```
fun fix-clock :: 'a state  $\Rightarrow$  'b state*'c  $\Rightarrow$  'b state*'c where
  fix-clock s (s',res) = (
    (( s' (| clock := (if(clock s')  $\leq$ (clock s)
      then(clock s') else(clock s)) |)),res))
```

```
definition dec-clock :: 'a state  $\Rightarrow$  'a state where
```

```
dec-clock s = ( ( s (| clock := ((clock - s) -( 1 :: nat)) |)))
```

— list-result is equivalent to map-result ($\lambda v. [v]$) I, where map-result is * defined in evalPropsTheory

```
fun
list-result :: ('a,'b)result  $\Rightarrow$ (('a list),'b)result  where
```

```
list-result (Rval v2) = ( Rval [v2])
```

```
| list-result (Rerr e) = ( Rerr e )
```

— val evaluate : forall 'ffi. state 'ffi \rightarrow sem-env v \rightarrow list exp \rightarrow state 'ffi * result (list v) v

— val evaluate-match : forall 'ffi. state 'ffi \rightarrow sem-env v \rightarrow v \rightarrow list (pat * exp) \rightarrow v \rightarrow state 'ffi * result (list v) v

function (sequential,domintros)

fun-evaluate-match :: 'ffi state \Rightarrow (v)sem-env \Rightarrow v \Rightarrow (pat*exp0)list \Rightarrow v \Rightarrow 'ffi state*((v)list),(v))result

and

fun-evaluate :: 'ffi state \Rightarrow (v)sem-env \Rightarrow (exp0)list \Rightarrow 'ffi state*((v)list),(v))result

where

```
fun-evaluate st env [] = ( (st, Rval []))
```

```
| fun-evaluate st env (e1 # e2 # es) = (
  (case fix-clock st (fun-evaluate st env [e1]) of
    (st', Rval v1) =>
    (case fun-evaluate st' env (e2 # es) of
      (st'', Rval vs) => (st'', Rval (List.hd v1 # vs))
      | res => res
      )
    | res => res
    )))
  |
```

```
fun-evaluate st env [Lit l] = ( (st, Rval [Litv l]))
```

```
| fun-evaluate st env [Raise e] = (
  (case fun-evaluate st env [e] of
    (st', Rval v2) => (st', Rerr (Rraise (List.hd v2)))
    | res => res
    )))
  |
```

```
fun-evaluate st env [Handle e pes] = (
  (case fix-clock st (fun-evaluate st env [e]) of
    (st', Rerr (Rraise v2)) => fun-evaluate-match st' env v2 pes v2
    | res => res
    )))
  |
```

```

|
| fun-evaluate st env [Con cn es] = (
|   if do-con-check(c env) cn (List.length es) then
|     (case fun-evaluate st env (List.rev es) of
|       (st', Rval vs) =>
|         (case build-conv(c env) cn (List.rev vs) of
|           Some v2 => (st', Rval [v2])
|           | None => (st', Rerr (Rabort Rtype-error))
|           )
|         | res => res
|         )
|       else (st, Rerr (Rabort Rtype-error)))
|
| fun-evaluate st env [Var n] = (
|   (case nsLookup(v env) n of
|     Some v2 => (st, Rval [v2])
|     | None => (st, Rerr (Rabort Rtype-error))
|   ))
|
| fun-evaluate st env [Fun x e] = ( (st, Rval [Closure env x e]))
|
| fun-evaluate st env [App op1 es] = (
|   (case fix-clock st (fun-evaluate st env (List.rev es)) of
|     (st', Rval vs) =>
|       if op1 = Opapp then
|         (case do-opapp (List.rev vs) of
|           Some (env',e) =>
|             if(clock st') = (0 :: nat) then
|               (st', Rerr (Rabort Rtimeout-error))
|             else
|               fun-evaluate (dec-clock st') env' [e]
|             | None => (st', Rerr (Rabort Rtype-error))
|             )
|           else
|             (case do-app ((refs st'),(ffi st')) op1 (List.rev vs) of
|               Some ((refs1, ffi1),r) => ((st' (| refs := refs1, ffi := ffi1 |)), list-result r)
|               | None => (st', Rerr (Rabort Rtype-error))
|             )
|           | res => res
|         )))
|
| fun-evaluate st env [Log lop e1 e2] = (
|   (case fix-clock st (fun-evaluate st env [e1]) of
|     (st', Rval v1) =>
|       (case do-log lop (List.hd v1) e2 of
|         Some (Exp e) => fun-evaluate st' env [e]
|         | Some (Val v2) => (st', Rval [v2])
|         | None => (st', Rerr (Rabort Rtype-error))
|       )

```

```

| res => res
))
|
fun-evaluate st env [If e1 e2 e3] = (
  (case fix-clock st (fun-evaluate st env [e1]) of
    (st', Rval v2) =>
      (case do-if (List.hd v2) e2 e3 of
        Some e => fun-evaluate st' env [e]
        | None => (st', Rerr (Rabort Rtype-error)))
      )
    |
    | res => res
  )))
|
fun-evaluate st env [Mat e pes] = (
  (case fix-clock st (fun-evaluate st env [e]) of
    (st', Rval v2) =>
      fun-evaluate-match st' env (List.hd v2) pes Bindv
    |
    | res => res
  )))
|
fun-evaluate st env [Let xo e1 e2] = (
  (case fix-clock st (fun-evaluate st env [e1]) of
    (st', Rval v2) => fun-evaluate st' ( env (| v := (nsOptBind xo (List.hd v2)(v
env)) |) [e2]
    |
    | res => res
  )))
|
fun-evaluate st env [Letrec funcs e] = (
  if allDistinct (List.map ( λx .
    (case x of (x,y,z) => x )) funcs) then
    fun-evaluate st ( env (| v := (build-rec-env funcs env(v env)) |) [e]
  else
    (st, Rerr (Rabort Rtype-error)))
|
fun-evaluate st env [Tannot e t1] = (
  fun-evaluate st env [e])
|
fun-evaluate st env [Lannot e l] = (
  fun-evaluate st env [e])
|
fun-evaluate-match st env v2 [] err-v = ( (st, Rerr (Rraise err-v)))
|
fun-evaluate-match st env v2 ((p,e)# pes) err-v = (
  if allDistinct (pat-bindings p []) then
    (case pmatch(c env)(refs st) p v2 [] of
      Match env-v' => fun-evaluate st ( env (| v := (nsAppend (alist-to-ns env-v')(v
env)) |) [e]
    |
    | No-match => fun-evaluate-match st env v2 pes err-v
    | Match-type-error => (st, Rerr (Rabort Rtype-error)))

```

```

)
else (st, Rerr (Rabort Rtype-error)))
⟨proof⟩
fun
fun-evaluate-decs :: (string)list ⇒ 'ffi state ⇒ (v)sem-env ⇒ (dec)list ⇒ 'ffi state*((v)sem-env),(v))result
where

fun-evaluate-decs mn st env [] = ( (st, Rval (| v = nsEmpty, c = nsEmpty |)))
|
fun-evaluate-decs mn st env (d1 # d2 # ds) = (
(case fun-evaluate-decs mn st env [d1] of
(st1, Rval env1) =>
(case fun-evaluate-decs mn st1 (extend-dec-env env1 env) (d2 # ds) of
(st2,r) => (st2, combine-dec-result env1 r)
)
| res => res
))
|
fun-evaluate-decs mn st env [Dlet locs p e] = (
if allDistinct (pat-bindings p []) then
(case fun-evaluate st env [e] of
(st', Rval v2) =>
(st',
(case pmatch(c env)(refs st') p (List.hd v2) [] of
Match new-vals => Rval (| v = (alist-to-ns new-vals), c = nsEmpty |)
| No-match => Rerr (Rraise Bindv)
| Match-type-error => Rerr (Rabort Rtype-error)
))
| (st', Rerr err) => (st', Rerr err)
)
else
(st, Rerr (Rabort Rtype-error)))
|
fun-evaluate-decs mn st env [Dletrec locs funs] = (
(st,
(if allDistinct (List.map ( λx .
(case x of (x,y,z) => x )) funs) then
Rval (| v = (build-rec-env funs env nsEmpty), c = nsEmpty |)
else
Rerr (Rabort Rtype-error))))
|
fun-evaluate-decs mn st env [Dtype locs tds] = (
(let new-tdecs = (type-defs-to-new-tdecs mn tds) in
if check-dup-ctors tds ∧
(disjnt new-tdecs(defined-types st) ∧
allDistinct (List.map ( λx .
(case x of (tvs,tn,ctors) => tn )) tds))
then
(( st (| defined-types := (new-tdecs ∪(defined-types st)) |)),
```

```

Rval (| v = nsEmpty, c = (build-tdefs mn tds) |)
else
  (st, Rerr (Rabort Rtype-error)))
|
fun-evaluate-decs mn st env [Dtabbreve locs tvs tn t1] = (
  (st, Rval (| v = nsEmpty, c = nsEmpty |)))
|
fun-evaluate-decs mn st env [Dexn locs cn ts] = (
  if TypeExn (mk-id mn cn) ∈(defined-types st) then
    (st, Rerr (Rabort Rtype-error))
  else
    (( st (| defined-types := ({ TypeExn (mk-id mn cn)} ∪(defined-types st)) |)),
     Rval (| v = nsEmpty, c = (nsSing cn (List.length ts, TypeExn (mk-id mn cn))) |
    )))
)

definition envLift :: string ⇒ 'a sem-env ⇒ 'a sem-env where
  envLift mn env = (
  (| v = (nsLift mn(v env)), c = (nsLift mn(c env)) |) )

— val evaluate-tops : forall 'ffi. state 'ffi → sem-env v → list top → state 'ffi
* result (sem-env v) v
fun
evaluate-tops :: 'ffi state ⇒ (v)sem-env ⇒ (top0)list ⇒ 'ffi state*((v)sem-env),(v))result
where

evaluate-tops st env [] = ( (st, Rval (| v = nsEmpty, c = nsEmpty |)))
|
evaluate-tops st env (top1 # top2 # tops) = (
  (case evaluate-tops st env [top1] of
    (st1, Rval env1) =>
      (case evaluate-tops st1 (extend-dec-env env1 env) (top2 # tops) of
        (st2, r) => (st2, combine-dec-result env1 r)
      )
    | res => res
  )))
|
evaluate-tops st env [Tdec d] = ( fun-evaluate-decs [] st env [d])
|
evaluate-tops st env [Tmod mn specs ds] = (
  if ¬ ([mn] ∈(defined-mods st)) ∧ no-dup-types ds
  then
    (case fun-evaluate-decs [mn] st env ds of
      (st', r) =>
        (( st' (| defined-mods := ({[mn]} ∪(defined-mods st')) |)),
         (case r of
           Rval env' => Rval (| v = (nsLift mn(v env')), c = (nsLift mn(c env')) |
        )))
    )))

```

```

| Rerr err => Rerr err
))
)
else
(st, Rerr (Rabort Rtype-error)))

```

— val evaluate-prog : forall 'ffi. state 'ffi -> sem-env v -> prog -> state 'ffi * result (sem-env v) v

definition

fun-evaluate-prog :: 'ffi state =>(v)sem-env =>(top0)list => 'ffi state*((v)sem-env),(v))result

where

fun-evaluate-prog st env prog = (

if no-dup-mods prog(defined-mods st) ∧ no-dup-top-types prog(defined-types st)

then

evaluate-tops st env prog

else

(st, Rerr (Rabort Rtype-error)))

end

Chapter 12

Generated by Lem from *misc/lem-lib-stub/lib.lem.*

```
theory LibAuxiliary

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  LEM.Lem-list-extra
  LEM.Lem-string
  Coinductive.Coinductive-List
  Lib

begin

-- ****
-- 
-- Termination Proofs
-- 
-- ****

termination lunion ⟨proof⟩

end
```

Chapter 13

Generated by Lem from *semantics/namespace.lem.*

```
theory NamespaceAuxiliary

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  LEM.Lem-set-extra
  Namespace

begin

-- *****
-- 
-- Termination Proofs
-- 

termination nsMap ⟨proof⟩

end
```

Chapter 14

Generated by Lem from *semantics/primTypes.lem*.

```
theory PrimTypes
```

```
imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  Lib
  Namespace
  Ast
  SemanticPrimitives
  Ffi
  Evaluate

begin

  -- open import Pervasives
  -- open import Ast
  -- open import SemanticPrimitives
  -- open import Ffi
  -- open import Namespace
  -- open import Lib
  -- open import Evaluate

  -- val prim-types-program : prog
definition prim-types-program :: (top0)list  where
  prim-types-program = (
    [Tdec (Dexn (|| row = 0, col = 0, offset = 0 ||), (|| row = 0, col = 0, offset =
0 ||)) ("Bind'") []),
    Tdec (Dexn (|| row = 0, col = 0, offset = 0 ||), (|| row = 0, col = 0, offset =
0 ||)) ("Chr'") []),
    Tdec (Dexn (|| row = 0, col = 0, offset = 0 ||), (|| row = 0, col = 0, offset =
0 ||)) ("Div'") []),
```

```

Tdec (Dexn ((| row = 0, col = 0, offset = 0 |), (| row = 0, col = 0, offset =
0 |)) ("Subscript") []),
Tdec (Dtype ((| row = 0, col = 0, offset = 0 |), (| row = 0, col = 0, offset =
0 |)) [([], ("bool")), [((("false")), []), ((("true")), [])]]),
Tdec (Dtype ((| row = 0, col = 0, offset = 0 |), (| row = 0, col = 0, offset =
0 |)) [[([(CHR 0x27), (CHR "a")])], ("list"), [((("nil")), []), ((("::")),
[Tvar ([((CHR 0x27), (CHR "a"))], Tapp [Tvar ([((CHR 0x27), (CHR "a"))]] (TC-name (Short
("list"))))])]]]),
Tdec (Dtype ((| row = 0, col = 0, offset = 0 |), (| row = 0, col = 0, offset =
0 |)) [[([(CHR 0x27), (CHR "a")])], ("option"), [((("NONE")), []), ((("SOME")),
[Tvar ([((CHR 0x27), (CHR "a"))]])]]))

— val add-to-sem-env : forall 'ffi. Eq 'ffi => (state 'ffi * sem-env v) -> prog ->
maybe (state 'ffi * sem-env v)
fun add-to-sem-env :: 'ffi state*(v)sem-env =>(top0)list =>('ffi state*(v)sem-env)option
where
  add-to-sem-env (st, env) prog = (
  case fun-evaluate-prog st env prog of
    (st', Rval env') => Some (st', extend-dec-env env' env)
  | _ => None
  )

— val prim-sem-env : forall 'ffi. Eq 'ffi => ffi-state 'ffi -> maybe (state 'ffi *
sem-env v)
definition prim-sem-env :: 'ffi ffi-state =>('ffi state*(v)sem-env)option where
  prim-sem-env ffi1 = (
  add-to-sem-env
  ((| clock =(( 0 :: nat)), refs = ([]), ffi = ffi1, defined-types = ({}), defined-mods
= ({})) |),
  (| v = nsEmpty, c = nsEmpty |))
  prim-types-program
)

end

```

Chapter 15

Generated by Lem from *semantics/semanticPrimitives.lem*.

```
theory SemanticPrimitivesAuxiliary

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  LEM.Lem-list-extra
  LEM.Lem-string
  Lib
  Namespace
  Ast
  Ffi
  FpSem
  LEM.Lem-string-extra
  SemanticPrimitives

begin

-- *****
-- 
-- Termination Proofs
-- 

termination pmatch <proof>
termination do-eq <proof>
termination v-to-list <proof>
termination v-to-char-list <proof>
```

termination *vs-to-string* $\langle proof \rangle$

end

Chapter 16

Generated by Lem from *semantics/ffi/simpleIO.lem.*

```
theory SimpleIO

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives
  LEM.Lem-pervasives-extra
  Lib
  Ffi

begin

— open import Pervasives
— open import Pervasives-extra
— open import Lib
— open import Ffi

datatype-record simpleIO =
  input :: 8 word llist
  output0 :: 8 word llist

— val isEof : oracle-function simpleIO
fun isEof :: simpleIO ⇒(8 word)list ⇒(8 word)list ⇒(simpleIO)oracle-result
where
  isEof st conf ([] ) = ( Oracle-fail )
  | isEof st conf (x # xs) = ( Oracle-return st ((if(input st) = LNil then of-nat ((1 :: nat)) else of-nat ((0 :: nat)))# xs))

— val getChar : oracle-function simpleIO
fun getChar :: simpleIO ⇒(8 word)list ⇒(8 word)list ⇒(simpleIO)oracle-result
```

```

where
  getChar st conf [] = ( Oracle-fail )
  | getChar st conf (x # xs) = (
    (case lhd'(input st) of
        Some y => Oracle-return (( st (| input := (Option.the (ltl'(input st))) |)))
    (y # xs)
    | - => Oracle-fail
  ) )

— val putChar : oracle-function simpleIO
definition putChar :: simpleIO  $\Rightarrow$  (8 word)list  $\Rightarrow$  (8 word)list  $\Rightarrow$  (simpleIO)oracle-result
where
  putChar st conf input1 = (
  (case input1 of
     [] => Oracle-fail
  | x # - => Oracle-return (( st (| output0 := (LCons x(output0 st)) |))) input1
  ) )

— val exit : oracle-function simpleIO
definition exit0 :: simpleIO  $\Rightarrow$  (8 word)list  $\Rightarrow$  (8 word)list  $\Rightarrow$  (simpleIO)oracle-result
where
  exit0 st conf input1 = ( Oracle-diverge )

— val simpleIO-oracle : oracle simpleIO
definition simpleIO-oracle :: string  $\Rightarrow$  simpleIO  $\Rightarrow$  (8 word)list  $\Rightarrow$  (8 word)list
 $\Rightarrow$  (simpleIO)oracle-result where
  simpleIO-oracle s st conf input1 = (
  if s = ("isEof") then
      isEof st conf input1
  else if s = ("getChar") then
      getChar st conf input1
  else if s = ("putChar") then
      putChar st conf input1
  else if s = ("exit") then
      exit0 st conf input1
  else
      Oracle-fail )

end

```

Chapter 17

Generated by Lem from *semantics/tokens.lem*.

```
theory Tokens

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives-extra

begin

-- open import Pervasives-extra
-- Tokens for Standard ML. NB, not all of them are used in CakeML

datatype token =
  WhitespaceT nat | NewlineT | LexErrorT
| HashT | LparT | RparT | StartT | CommaT | ArrowT | DotsT | ColonT | SealT
| SemicolonT | EqualsT | DarrowT | LbrackT | RbrackT | UnderbarT | LbraceT
| BarT | RbraceT | AndT | AndalsoT | AsT | CaseT | DatatypeT
| ElseT | EndT | EqtypeT | ExceptionT | FnT | FunT | HandleT | IfT
| InT | IncludeT | LetT | LocalT | OfT | OpT
| OpenT | OrelseT | RaiseT | RecT | RefT | SharingT | SigT | SignatureT |
  StructT
| StructureT | ThenT | TypeT | ValT | WhereT | WhileT | WithT | WithtypeT
| IntT int
| HexintT string
| WordT nat
| RealT string
| StringT string
| CharT char
| TyvarT string
| AlphaT string
| SymbolT string
| LongidT string string
| FFIT string
```

end

Chapter 18

Generated by Lem from *semantics/typeSystem.lem.*

```
theory TypeSystem
```

```
imports
```

```
  Main
```

```
  HOL-Library.Datatype-Records
```

```
  LEM.Lem-pervasives-extra
```

```
  Lib
```

```
  Namespace
```

```
  Ast
```

```
  SemanticPrimitives
```

```
begin
```

```
  — open import Pervasives-extra
```

```
  — open import Lib
```

```
  — open import Ast
```

```
  — open import Namespace
```

```
  — open import SemanticPrimitives
```

```
  — Check that the free type variables are in the given list. Every deBruijn * variable
  must be smaller than the first argument. So if it is 0, no deBruijn * indices are
  permitted.
```

```
  — val check-freevars : nat -> list tvarN -> t -> bool
```

```
  function (sequential,domintros)
```

```
  check-freevars :: nat ⇒ (string)list ⇒ t ⇒ bool  where
```

```
  check-freevars dbmax tvs (Tvar tv) = (
```

```
    Set.member tv (set tvs))
```

```
|
```

```
  check-freevars dbmax tvs (Tapp ts tn) = (
```

```
    ((∀ x ∈ (set ts). (check-freevars dbmax tvs) x)))
```

```
|
```

```

check-freevars dbmax tvs (Tvar-db n) = ( n < dbmax )
⟨proof⟩
function (sequential,domintros)
type-subst :: ((string),(t))Map.map ⇒ t ⇒ t where

type-subst s (Tvar tv) = (
  (case s tv of
    None => Tvar tv
    | Some(t1) => t1
  ))
|
type-subst s (Tapp ts tn) = (
  Tapp (List.map (type-subst s) ts) tn )
|
type-subst s (Tvar-db n) = ( Tvar-db n )
⟨proof⟩
function (sequential,domintros)
deBruijn-inc :: nat ⇒ nat ⇒ t ⇒ t where

deBruijn-inc skip n (Tvar tv) = ( Tvar tv )
|
deBruijn-inc skip n (Tvar-db m) = (
  if m < skip then
    Tvar-db m
  else
    Tvar-db (m + n))
|
deBruijn-inc skip n (Tapp ts tn) = ( Tapp (List.map (deBruijn-inc skip n) ts) tn )
)
⟨proof⟩
function (sequential,domintros)
deBruijn-subst :: nat ⇒(t)list ⇒ t ⇒ t where

deBruijn-subst skip ts (Tvar tv) = ( Tvar tv )
|
deBruijn-subst skip ts (Tvar-db n) = (
  if  $\neg(n < \text{skip}) \wedge (n < (\text{List.length ts} + \text{skip}))$  then
    List.nth ts (n - skip)
  else if  $\neg(n < \text{skip})$  then
    Tvar-db (n - List.length ts)
  else
    Tvar-db n )
|
deBruijn-subst skip ts (Tapp ts' tn) = (
  Tapp (List.map (deBruijn-subst skip ts) ts') tn )
⟨proof⟩
datatype tenv-val-exp =
  Empty
  — Binds several de Bruijn type variables

```

```

| Bind-tvar nat tenv-val-exp
— The number is how many de Bruijn type variables the typescheme binds
| Bind-name varN nat t tenv-val-exp

— val bind-tvar : nat -> tenv-val-exp -> tenv-val-exp
definition bind-tvar :: nat  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  tenv-val-exp where
  bind-tvar tvs tenvE = ( if tvs = ( 0 :: nat) then tenvE else Bind-tvar tvs tenvE
  )

— val opt-bind-name : maybe varN -> nat -> t -> tenv-val-exp -> tenv-val-exp
fun opt-bind-name :: (string)option  $\Rightarrow$  nat  $\Rightarrow$  t  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  tenv-val-exp
where
  opt-bind-name None tvs t1 tenvE = ( tenvE )
  | opt-bind-name (Some n') tvs t1 tenvE = ( Bind-name n' tvs t1 tenvE )

— val tveLookup : varN -> nat -> tenv-val-exp -> maybe (nat * t)
fun
tveLookup :: string  $\Rightarrow$  nat  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  (nat*t)option where
  tveLookup n inc Empty = ( None )
  |
  tveLookup n inc (Bind-tvar tvs tenvE) = ( tveLookup n (inc + tvs) tenvE )
  |
  tveLookup n inc (Bind-name n' tvs t1 tenvE) = (
    if n' = n then
      Some (tvs, deBruijn-inc tvs inc t1)
    else
      tveLookup n inc tenvE )

type-synonym tenv-abbrev = (modN, typeN, ( tvarN list * t)) namespace
type-synonym tenv-ctor = (modN, conN, ( tvarN list * t list * tid-or-exn)) namespace
type-synonym tenv-val = (modN, varN, (nat * t)) namespace

datatype-record type-env =
  v0 :: tenv-val
  c0 :: tenv-ctor
  t :: tenv-abbrev

— val extend-dec-tenv : type-env -> type-env -> type-env
definition extend-dec-tenv :: type-env  $\Rightarrow$  type-env  $\Rightarrow$  type-env where

```

```

— extend-dec-tenv tenv' tenv = (
(| v0 = (nsAppend(v0 tenv')(v0 tenv)),
  c0 = (nsAppend(c0 tenv')(c0 tenv)),
  t = (nsAppend(t tenv')(t tenv)) |) )

```

— val *lookup-varE* : id modN varN \rightarrow tenv-val-exp \rightarrow maybe (nat * t)
fun *lookup-varE* :: ((string),(string))id0 \Rightarrow tenv-val-exp \Rightarrow (nat*t)option **where**

```

lookup-varE (Short x) tenvE = ( tveLookup x(( 0 :: nat)) tenvE )
| lookup-varE - tenvE = ( None )

```

— val *lookup-var* : id modN varN \rightarrow tenv-val-exp \rightarrow type-env \rightarrow maybe (nat * t)
definition *lookup-var* :: ((modN),(varN))id0 \Rightarrow tenv-val-exp \Rightarrow type-env \Rightarrow (nat*t)option
where

```

lookup-var id1 tenvE tenv = (
(case lookup-varE id1 tenvE of
  Some x => Some x
  | None => nsLookup(v0 tenv) id1
))

```

— val *num-tvs* : tenv-val-exp \rightarrow nat
fun
num-tvs :: tenv-val-exp \Rightarrow nat **where**

```

num-tvs Empty = (( 0 :: nat))
|
num-tvs (Bind-tvar tvs tenvE) = ( tvs + num-tvs tenvE )
|
num-tvs (Bind-name n tvs t1 tenvE) = ( num-tvs tenvE )

```

— val *bind-var-list* : nat \rightarrow list (varN * t) \rightarrow tenv-val-exp \rightarrow tenv-val-exp
fun
bind-var-list :: nat \Rightarrow (string*t)list \Rightarrow tenv-val-exp \Rightarrow tenv-val-exp **where**

```

bind-var-list tvs [] tenvE = ( tenvE )
|
bind-var-list tvs ((n,t1)# binds) tenvE = (
  Bind-name n tvs t1 (bind-var-list tvs binds tenvE))

```

— A pattern matches values of a certain type and extends the type environment * with the pattern's binders. The number is the maximum deBruijn type variable * allowed.

— val *type-p* : nat \rightarrow type-env \rightarrow pat \rightarrow t \rightarrow list (varN * t) \rightarrow bool

- An expression has a type
- $\text{val type-e} : \text{type-env} \rightarrow \text{tenv-val-exp} \rightarrow \text{exp} \rightarrow t \rightarrow \text{bool}$
- A list of expressions has a list of types
- $\text{val type-es} : \text{type-env} \rightarrow \text{tenv-val-exp} \rightarrow \text{list exp} \rightarrow \text{list t} \rightarrow \text{bool}$
- Type a mutually recursive bundle of functions. Unlike pattern typing, the * resulting environment does not extend the input environment, but just * represents the functions
- $\text{val type-funs} : \text{type-env} \rightarrow \text{tenv-val-exp} \rightarrow \text{list (varN * varN * exp)} \rightarrow \text{list (varN * t)} \rightarrow \text{bool}$

datatype-record $\text{decls} =$

- $\text{defined-mods0} :: (\text{modN list}) \text{ set}$
- $\text{defined-types0} :: ((\text{modN}, \text{typeN})\text{id0}) \text{ set}$
- $\text{defined-exns} :: ((\text{modN}, \text{conN})\text{id0}) \text{ set}$
- $\text{val empty-decls} : \text{decls}$
- definition** $\text{empty-decls} :: \text{decls} \text{ where}$

$$\text{empty-decls} = ((\text{defined-mods0} = (\{\}), \text{defined-types0} = (\{\}), \text{defined-exns} = (\{\})|)$$
- $\text{val union-decls} : \text{decls} \rightarrow \text{decls} \rightarrow \text{decls}$
- definition** $\text{union-decls} :: \text{decls} \Rightarrow \text{decls} \Rightarrow \text{decls} \text{ where}$

$$\text{union-decls } d1 \text{ } d2 = ((\text{defined-mods0} = ((\text{defined-mods0 } d1) \cup (\text{defined-mods0 } d2)), \text{defined-types0} = ((\text{defined-types0 } d1) \cup (\text{defined-types0 } d2)), \text{defined-exns} = ((\text{defined-exns } d1) \cup (\text{defined-exns } d2))|)$$
- Check a declaration and update the top-level environments * The arguments are in order: * — the module that the declaration is in * — the set of all modules, and types, and exceptions that have been previously declared * — the type environment * — the declaration * — the set of all modules, and types, and exceptions that are declared here * — the environment of new stuff declared here
- $\text{val type-d} : \text{bool} \rightarrow \text{list modN} \rightarrow \text{decls} \rightarrow \text{type-env} \rightarrow \text{dec} \rightarrow \text{decls} \rightarrow \text{type-env} \rightarrow \text{bool}$
- $\text{val type-ds} : \text{bool} \rightarrow \text{list modN} \rightarrow \text{decls} \rightarrow \text{type-env} \rightarrow \text{list dec} \rightarrow \text{decls} \rightarrow \text{type-env} \rightarrow \text{bool}$
- $\text{val check-signature} : \text{list modN} \rightarrow \text{tenv-abbrev} \rightarrow \text{decls} \rightarrow \text{type-env} \rightarrow \text{maybe specs} \rightarrow \text{decls} \rightarrow \text{type-env} \rightarrow \text{bool}$

```

— val type-specs : list modN -> tenv-abbrev -> specs -> decls -> type-env ->
bool
— val type-prog : bool -> decls -> type-env -> list top -> decls -> type-env
-> bool

— Check that the operator can have type ( $t_1 \rightarrow \dots \rightarrow t_n \rightarrow t$ )
— val type-op : op -> list t -> t -> bool
fun type-op :: op0  $\Rightarrow$ ( $t$ )list  $\Rightarrow$   $t \Rightarrow$  bool where
  type-op (Opn o0) ts  $t_1 =$  (
    (case (o0,ts) of
      ( -, [Tapp [] TC-int, Tapp [] TC-int])  $\Rightarrow$  ( $t_1 =$  Tint)
      | (-,-)  $\Rightarrow$  False
    ) )
  | type-op (Opb o1) ts  $t_1 =$  (
    (case (o1,ts) of
      ( -, [Tapp [] TC-int, Tapp [] TC-int])  $\Rightarrow$  ( $t_1 =$ 
        Tapp []
        (TC-name
          (Short ("bool'"))))
      | (-,-)  $\Rightarrow$  False
    ) )
  | type-op (Opw w o2) ts  $t_1 =$  (
    (case (w,o2,ts) of
      ( W8, -, [Tapp [] TC-word8, Tapp [] TC-word8])  $\Rightarrow$  ( $t_1 =$ 
        Tapp []
        (TC-word8))
      | ( W64, -, [Tapp [] TC-word64, Tapp [] TC-word64])  $\Rightarrow$  ( $t_1 =$ 
        Tapp []
        (TC-word64))
      | ( -, -, -, )  $\Rightarrow$  False
    ) )
  | type-op (Shift w0 s n0) ts  $t_1 =$  (
    (case (w0,s,n0,ts) of
      ( W8, -, -, [Tapp [] TC-word8])  $\Rightarrow$  ( $t_1 =$  Tapp []
      (TC-word8))
      | ( W64, -, -, [Tapp [] TC-word64])  $\Rightarrow$  ( $t_1 =$  Tapp []
      (TC-word64))
      | ( -, -, -, )  $\Rightarrow$  False
    ) )
  | type-op Equality ts  $t_1 =$  (
    (case ts of
      [t11, t2]  $\Rightarrow$  ( $t_{11} = t_2 \wedge$ 
        ( $t_1 =$  Tapp []
        (TC-name (Short ("bool')))))
      | -  $\Rightarrow$  False
    ) )
  | type-op (FP-cmp f) ts  $t_1 =$  (
    (case (f,ts) of
      ( -, [Tapp [] TC-word64, Tapp [] TC-word64])  $\Rightarrow$  ( $t_1 =$ 
        Tapp []
        (TC-name
          (Short
            ("bool')))))

```

```

| (,-) => False
)
)
| type-op (FP-uop f0) ts t1 = (
(case (f0,ts) of
( -, [Tapp [] TC-word64]) => (t1 = Tapp [] TC-word64)
| (,-) => False
)
)
| type-op (FP-bop f1) ts t1 = (
(case (f1,ts) of
( -, [Tapp [] TC-word64, Tapp [] TC-word64]) => (t1 = Tapp [] TC-word64)
| (,-) => False
)
)
| type-op Opapp ts t1 = (
(case ts of
[ Tapp [t2', t3'] TC-fn, t2] => (t2 = t2') ∧ (t1 = t3')
| - => False
)
)
| type-op Opassign ts t1 = (
(case ts of
[ Tapp [t11] TC-ref, t2] => (t11 = t2) ∧ (t1 = Tapp [] TC-tup)
| - => False
)
)
| type-op Opref ts t1 = (
(case ts of [t11] => (t1 = Tapp [t11] TC-ref) | - => False )
)
| type-op Opderef ts t1 = (
(case ts of [Tapp [t11] TC-ref] => (t1 = t11) | - => False )
)
| type-op Aw8alloc ts t1 = (
(case ts of
[ Tapp [] TC-int, Tapp [] TC-word8] => (t1 = Tapp [] TC-word8array)
| - => False
)
)
| type-op Aw8sub ts t1 = (
(case ts of
[ Tapp [] TC-word8array, Tapp [] TC-int] => (t1 = Tapp [] TC-word8)
| - => False
)
)
| type-op Aw8length ts t1 = (
(case ts of [Tapp [] TC-word8array] => (t1 = Tapp [] TC-int) | - => False )
)
| type-op Aw8update ts t1 = (
(case ts of
[ Tapp [] TC-word8array, Tapp [] TC-int, Tapp [] TC-word8] => t1 =

$$\begin{matrix} \text{Tapp} \\ [] \\ \text{TC-tup} \end{matrix}$$

| - => False
)
)
| type-op (WordFromInt w1) ts t1 = (
(case (w1,ts) of
( W8, [Tapp [] TC-int]) => t1 = Tapp [] TC-word8
)
)

```

```

| ( W64, [Tapp [] TC-int]) => t1 = Tapp [] TC-word64
| (-,-) => False
)
)
| type-op (WordToInt w2) ts t1 = (
(case (w2,ts) of
( W8, [Tapp [] TC-word8]) => t1 = Tapp [] TC-int
| ( W64, [Tapp [] TC-word64]) => t1 = Tapp [] TC-int
| (-,-) => False
)
)
| type-op CopyStrStr ts t1 = (
(case ts of
[Tapp [] TC-string, Tapp [] TC-int, Tapp [] TC-int] => t1 =
Tapp []
TC-string
| - => False
)
)
| type-op CopyStrAw8 ts t1 = (
(case ts of
[Tapp [] TC-string, Tapp [] TC-int, Tapp [] TC-int, Tapp [] TC-word8array,
Tapp [] TC-int] =>
t1 = Tapp [] TC-tup
| - => False
)
)
| type-op CopyAw8Str ts t1 = (
(case ts of
[Tapp [] TC-word8array, Tapp [] TC-int, Tapp [] TC-int] => t1 =
Tapp []
TC-string
| - => False
)
)
| type-op CopyAw8Aw8 ts t1 = (
(case ts of
[Tapp [] TC-word8array, Tapp [] TC-int, Tapp [] TC-int, Tapp [] TC-word8array,
Tapp [] TC-int] =>
t1 = Tapp [] TC-tup
| - => False
)
)
| type-op Ord ts t1 = (
(case ts of [Tapp [] TC-char] => (t1 = Tint) | - => False ) )
| type-op Chr ts t1 = (
(case ts of [Tapp [] TC-int] => (t1 = Tchar) | - => False ) )
| type-op (Chopb o3) ts t1 = (
(case (o3,ts) of
( -, [Tapp [] TC-char, Tapp [] TC-char]) => (t1 =
Tapp []
(TC-name
(Short ("bool"))))
| (-,-) => False
)
)

```

```

) )
| type-op Implode ts t1 = (
  (case ts of
    [] => False
    | t0 #! l0 => (case t0 of
        Tvar -=> False
        | Tvar-db -=> False
        | Tapp l1 t4 => (case l1 of
            [] => False
            | t5 #! l2 => (case t5 of
                Tvar -=> False
                | Tvar-db -=> False
                | Tapp l3 t7 => (case l3 of
                    [] =>
                    (case t7 of
                      TC-name -=>
                      False
                      | TC-int =>
                      False
                      | TC-char =>
                      (case l2 of
                        [] =>
                        (case t4 of
                          TC-name i0 =>
                          (case i0 of
                            Short s1 =>
                            if
                            (
                            s1 =
                            ("list'') then
                            (
                            (case l0 of
                              [] =>
                              t1 =
                              Tapp
                              []
                              TC-string
                              | -=>
                              False
                            )) else
                            False
                            | Long --=>
                            False
                          )
                          | TC-int =>
                          False
                          | TC-char =>
                          False
                          | TC-string =>

```

```

    False
    | TC-ref =>
    False
    | TC-word8 =>
    False
    | TC-word64 =>
    False
    | TC-word8array =>
    False
    | TC-fn =>
    False
    | TC-tup =>
    False
    | TC-exn =>
    False
    | TC-vector =>
    False
    | TC-array =>
    False
    )
    )
    | - # - =>
    False
    )
    | TC-string =>
    False
    | TC-ref =>
    False
    | TC-word8 =>
    False
    | TC-word64 =>
    False
    | TC-word8array =>
    False
    | TC-fn =>
    False
    | TC-tup =>
    False
    | TC-exn =>
    False
    | TC-vector =>
    False
    | TC-array =>
    False
    )
    )
    | - # - =>
    False
    )
)
)
```

```

)
| type-op Strsub ts t1 = (
  (case ts of
    [Tapp [] TC-string, Tapp [] TC-int] => t1 = Tchar
    | _ => False
  )
)
| type-op Strlen ts t1 = (
  (case ts of [Tapp [] TC-string] => t1 = Tint | _ => False) )
| type-op Strcat ts t1 = (
  (case ts of
    [] => False
    | t10 # l6 => (case t10 of
        Tvar - => False
        | Tvar-db - => False
        | Tapp l7 t12 => (case l7 of
          [] => False
          | t13 # l8 => (case t13 of
            Tvar - => False
            | Tvar-db - =>
              False
            | Tapp l9 t15 =>
              (case l9 of
                [] => (case t15 of
                  TC-name - =>
                    False
                  | TC-int =>
                    False
                  | TC-char =>
                    False
                  | TC-string =>
                    (case l8 of
                      [] =>
                      (case t12 of
                        TC-name i3 =>
                        (case i3 of
                          Short s3 =>
                          if(s3 =
                            ("list")) then
                            ((case l6 of
                              [] =>
                              t1 =
                                Tapp
                                []
                                TC-string
                                | _ -=>
                                  False
                                )) else
                                  False
                            )
                          )
                        )
                      )
                    )
                  )
                )
              )
            )
          )
        )
      )
    )
  )
)

```

```

| Long - - =>
False
)
| TC-int =>
False
| TC-char =>
False
| TC-string =>
False
| TC-ref =>
False
| TC-word8 =>
False
| TC-word64 =>
False
| TC-word8array =>
False
| TC-fn =>
False
| TC-tup =>
False
| TC-exn =>
False
| TC-vector =>
False
| TC-array =>
False
)
| - # - =>
False
)
| TC-ref =>
False
| TC-word8 =>
False
| TC-word64 =>
False
| TC-word8array =>
False
| TC-fn =>
False
| TC-tup =>
False
| TC-exn =>
False
| TC-vector =>
False
| TC-array =>
False

```



```

        False
        | TC-word8array =>
        False
        | TC-fn =>
        False
        | TC-tup =>
        False
        | TC-exn =>
        False
        | TC-vector =>
        False
        | TC-array =>
        False
        )
        | - # - =>
        False
        )
    )
)
| type-op Vsub ts t1 = (
  (case ts of
    [Tapp [t11] TC-vector, Tapp [] TC-int] => t1 = t11
    | - => False
  ))
| type-op Vlength ts t1 = (
  (case ts of [Tapp [t11] TC-vector] => (t1 = Tapp [] TC-int) | - => False ) )
| type-op Alloc ts t1 = (
  (case ts of
    [Tapp [] TC-int, t11] => t1 = Tapp [t11] TC-array
    | - => False
  ))
| type-op AllocEmpty ts t1 = (
  (case ts of
    [Tapp [] TC-tup] => ( ∃ t10. t1 = Tapp [t10] TC-array)
    | - => False
  ))
| type-op Asub ts t1 = (
  (case ts of
    [Tapp [t11] TC-array, Tapp [] TC-int] => t1 = t11
    | - => False
  ))
| type-op Alength ts t1 = (
  (case ts of [Tapp [t11] TC-array] => t1 = Tapp [] TC-int | - => False ) )
| type-op Aupdate ts t1 = (
  (case ts of
    [Tapp [t11] TC-array, Tapp [] TC-int, t2] => (t11 = t2) ∧
                                                (t1 = Tapp [] TC-tup)
    | - => False
  ))

```

```

) )
| type-op ConfigGC ts t1 = (
  (case ts of
    [Tapp [] TC-int, Tapp [] TC-int] => t1 = Tapp [] TC-tup
    | _ => False
  ) )
| type-op (FFI s0) ts t1 = (
  (case (s0,ts) of
    (-, [Tapp [] TC-string, Tapp [] TC-word8array]) => t1 = Tapp [] TC-tup
    | (_,_) => False
  ) )

— val check-type-names : tenv-abbrev -> t -> bool
function (sequential,domintros)
check-type-names :: ((string),(string),((string)list*t))namespace => t => bool where

check-type-names tenvT (Tvar tv) = (
  True )
|
check-type-names tenvT (Tapp ts tn) = (
  (case tn of
    TC-name tn =>
    (case nsLookup tenvT tn of
      Some (tvs, t1) => List.length tvs = List.length ts
      | None => False
    )
    | _ => True
  ) ^
  (( $\forall$  x  $\in$  (set ts). (check-type-names tenvT) x)))
|
check-type-names tenvT (Tvar-db n) = (
  True )
⟨proof⟩
function (sequential,domintros)
type-name-subst :: ((string),(string),((string)list*t))namespace => t => t where

type-name-subst tenvT (Tvar tv) = ( Tvar tv )
|
type-name-subst tenvT (Tapp ts tc) = (
  (let args = (List.map (type-name-subst tenvT) ts) in
  (case tc of
    TC-name tn =>
    (case nsLookup tenvT tn of
      Some (tvs, t1) => type-subst (map-of (Lem-list-extra.zipSameLength
      tvs args)) t1
      | None => Tapp args tc
    )
  )
)

```

```

| - => Tapp args tc
)))
|
type-name-subst tenvT (Tvar-db n) = ( Tvar-db n )
⟨proof⟩
definition check-ctor-tenv :: ((modN),(typeN),((tvarN)list*t))namespace =>((tvarN)list*typeN*(conN*(t)list
⇒ bool where
    check-ctor-tenv tenvT tds = (
        check-dup-ctors tds ∧
        (((∀ x ∈ (set tds). ( λx .
            (case x of
                (tvs,tn,ctors) =>
                Lem-list.allDistinct tvs ∧
                (∀ x ∈ (set ctors).
                    ( λx . (case x of
                        (cn,ts) => ((∀ x ∈ (set ts).
                            (check-freevars (( 0 :: nat)) tvs) x))
                        ∧
                        ((∀ x ∈ (set ts).
                            (check-type-names tenvT) x))
                    )) x)
                )) x)) ∧
        Lem-list.allDistinct (List.map ( λx .
            (case x of (-,tn,-) => tn )) tds)))
    )

— val build-ctor-tenv : list modN → tenv-abbrev → list (list tvarN * typeN *
list (conN * list t)) → tenv-ctor
definition build-ctor-tenv :: (string)list =>((modN),(typeN),((tvarN)list*t))namespace
=>((tvarN)list*string*(string*(t)list)list =>((string),(string),((tvarN)list*(t)list*tid-or-exn))namespace
where
    build-ctor-tenv mn tenvT tds = (
        alist-to-ns
        (List.rev
            (List.concat
                (List.map
                    ( λx .
                        (case x of
                            (tvs,tn,ctors) =>
                            List.map
                                ( λx . (case x of
                                    (cn,ts) => (cn,(tvs,List.map (type-name-subst tenvT)
                                        ts, TypeId (mk-id mn tn)))
                                )) ctors
                            )) tds))))
    )
)

```

— Check that an exception definition defines no already defined (or duplicate) *

constructors, and that the arguments have no free type variables.

```
— val check-exn-tenv : list modN -> conN -> list t -> bool
definition check-exn-tenv :: (modN)list => string  $\Rightarrow$ (t)list => bool where
    check-exn-tenv mn cn ts = (
        (( $\forall$  x  $\in$  (set ts). (check-freevars(( 0 :: nat)) [])) x)))
```

— For the value restriction on let-based polymorphism

```
— val is-value : exp -> bool
function (sequential,domintros)
is-value :: exp0 => bool where
```

```
is-value (Lit -) = ( True )
|
is-value (Con - es) = ( (( $\forall$  x  $\in$  (set es). is-value x)))
|
is-value (Var -) = ( True )
|
is-value (Fun - -) = ( True )
|
is-value (Tannot e -) = ( is-value e )
|
is-value (Lannot e -) = ( is-value e )
|
is-value - = ( False )
⟨proof⟩
fun tid-exn-to-tc :: tid-or-exn  $\Rightarrow$  tctor where
    tid-exn-to-tc (TypeId tid) = ( TC-name tid )
    | tid-exn-to-tc (TypeExn -) = ( TC-exn )
```

inductive

```
type-ps :: nat  $\Rightarrow$  type-env  $\Rightarrow$ (pat)list  $\Rightarrow$ (t)list  $\Rightarrow$ (varN*t)list  $\Rightarrow$  bool
and
type-p :: nat  $\Rightarrow$  type-env  $\Rightarrow$  pat  $\Rightarrow$  t  $\Rightarrow$ (varN*t)list  $\Rightarrow$  bool where
```

```
pany :  $\bigwedge$  tvs tenv t0.
check-freevars tvs [] t0
==>
```

```
type-p tvs tenv Pany t0 []
```

|

```
pvar :  $\bigwedge$  tvs tenv n t0.
check-freevars tvs [] t0
==>
type-p tvs tenv (Pvar n) t0 [(n,t0)]
```

|

```


$$plit-int : \bigwedge_{tvs tenv n.} type-p tvs tenv (Plit (IntLit n)) Tint []$$

|  


$$plit-char : \bigwedge_{tvs tenv c1.} type-p tvs tenv (Plit (Char c1)) Tchar []$$

|  


$$plit-string : \bigwedge_{tvs tenv s.} type-p tvs tenv (Plit (StrLit s)) Tstring []$$

|  


$$plit-word8 : \bigwedge_{tvs tenv w.} type-p tvs tenv (Plit (Word8 w)) Tword8 []$$

|  


$$plit-word64 : \bigwedge_{tvs tenv w.} type-p tvs tenv (Plit (Word64 w)) Tword64 []$$

|  


$$pcon-some : \bigwedge_{tvs tenv cn ps ts tvs' tn ts' bindings.} ((\forall x \in (set ts'). (check-freevars tvs []) x)) \wedge ((List.length ts' = List.length tvs') \wedge (type-ps tvs tenv ps (List.map (type-subst (map-of (Lem-list-extra.zipSameLength tvs' ts')) ts) bindings \wedge (nsLookup(c0 tenv) cn = Some (tvs', ts, tn)))) ==> type-p tvs tenv (Pcon (Some cn) ps) (Tapp ts' (tid-exn-to-tc tn)) bindings$$

|  


$$pcon-none : \bigwedge_{tvs tenv ps ts bindings.} type-ps tvs tenv ps ts bindings ==> type-p tvs tenv (Pcon None ps) (Tapp ts TC-tup) bindings$$

|

```

```

pref :  $\bigwedge \text{tvs } \text{tenv } p \text{ } t0 \text{ } \text{bindings}.$ 
type-p tvs tenv p t0 bindings
==>
type-p tvs tenv (Pref p) (Tref t0) bindings
|
ptypeannot :  $\bigwedge \text{tvs } \text{tenv } p \text{ } t0 \text{ } \text{bindings}.$ 
check-freevars(( $0 :: \text{nat}$ )) [] t0  $\wedge$ 
(check-type-names(t tenv) t0  $\wedge$ 
type-p tvs tenv p (type-name-subst(t tenv) t0) bindings)
==>
type-p tvs tenv (Ptannot p t0) (type-name-subst(t tenv) t0) bindings
|
empty :  $\bigwedge \text{tvs } \text{tenv}.$ 
type-ps tvs tenv [] [] []
|
cons :  $\bigwedge \text{tvs } \text{tenv } p \text{ } ps \text{ } t0 \text{ } ts \text{ } \text{bindings} \text{'}.$ 
type-p tvs tenv p t0 bindings  $\wedge$ 
type-ps tvs tenv ps ts bindings'
==>
type-ps tvs tenv (p # ps) (t0 # ts) (bindings'@bindings)

inductive
type-funs :: type-env  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  (varN*varN*exp0)list  $\Rightarrow$  (varN*t)list  $\Rightarrow$ 
bool
and
type-es :: type-env  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  (exp0)list  $\Rightarrow$  (t)list  $\Rightarrow$  bool
and
type-e :: type-env  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  exp0  $\Rightarrow$  t  $\Rightarrow$  bool where
lit-int :  $\bigwedge \text{tenv } \text{tenvE } n.$ 
type-e tenv tenvE (Lit (IntLit n)) Tint
|
lit-char :  $\bigwedge \text{tenv } \text{tenvE } c1.$ 
type-e tenv tenvE (Lit (Char c1)) Tchar
|
lit-string :  $\bigwedge \text{tenv } \text{tenvE } s.$ 

```

```

type-e tenv tenvE (Lit (StrLit s)) Tstring
|
lit-word8 :  $\bigwedge$  tenv tenvE w.

type-e tenv tenvE (Lit (Word8 w)) Tword8
|
lit-word64 :  $\bigwedge$  tenv tenvE w.

type-e tenv tenvE (Lit (Word64 w)) Tword64
|
raise :  $\bigwedge$  tenv tenvE e t0.
check-freevars (num-tvs tenvE) [] t0  $\wedge$ 
type-e tenv tenvE e Texn
==>
type-e tenv tenvE (Raise e) t0

|
handle :  $\bigwedge$  tenv tenvE e pes t0.
type-e tenv tenvE e t0  $\wedge$  ( $\neg$  (pes = []))  $\wedge$ 
(( $\forall$  (p,e)  $\in$ 
List.set pes. ( $\exists$  bindings.
Lem-list.allDistinct (pat-bindings p [])  $\wedge$ 
(type-p (num-tvs tenvE) tenv p Texn bindings  $\wedge$ 
type-e tenv (bind-var-list(( 0 :: nat)) bindings tenvE) e t0))))
==>
type-e tenv tenvE (Handle e pes) t0

|
con-some :  $\bigwedge$  tenv tenvE cn es tvs tn ts' ts.
(( $\forall$  x  $\in$  (set ts'). (check-freevars (num-tvs tenvE) [] x))  $\wedge$ 
(List.length tvs = List.length ts')  $\wedge$ 
(type-es tenv tenvE es (List.map (type-subst (map-of (Lem-list-extra.zipSameLength
tvs ts')) ts)  $\wedge$ 
(nsLookup(c0 tenv) cn = Some (tvs, ts, tn))))
==>
type-e tenv tenvE (Con (Some cn) es) (Tapp ts' (tid-exn-to-tc tn))
|

```

```

con-none :  $\bigwedge tenv tenvE es ts.$   

 $type\text{-}es tenv tenvE es ts$   

 $\implies$   

 $type\text{-}e tenv tenvE (Con None es) (Tapp ts TC\text{-}tup)$   

|  

var :  $\bigwedge tenv tenvE n t0 \text{args} tvs.$   

 $(tvs = \text{List.length args}) \wedge$   

 $((\forall x \in (\text{set args}). (\text{check-freevars (num-tvs tenvE)} \sqsubseteq x)) \wedge$   

 $(\text{lookup-var } n \text{ tenvE tenv} = \text{Some (tvs,t0)})$   

 $\implies$   

 $type\text{-}e tenv tenvE (Var n) (\text{deBruijn-subst}((0 :: nat)) \text{args} t0)$   

|  

fn :  $\bigwedge tenv tenvE n e t1 t2.$   

 $\text{check-freevars (num-tvs tenvE)} \sqsubseteq t1 \wedge$   

 $type\text{-}e tenv (\text{Bind-name } n((0 :: nat)) t1 tenvE) e t2$   

 $\implies$   

 $type\text{-}e tenv tenvE (Fun n e) (Tfn t1 t2)$   

|  

app :  $\bigwedge tenv tenvE op0 es ts t0.$   

 $type\text{-}es tenv tenvE es ts \wedge$   

 $(type\text{-}op op0 ts t0 \wedge$   

 $\text{check-freevars (num-tvs tenvE)} \sqsubseteq t0)$   

 $\implies$   

 $type\text{-}e tenv tenvE (App op0 es) t0$   

|  

log :  $\bigwedge tenv tenvE l e1 e2.$   

 $type\text{-}e tenv tenvE e1 (Tapp \sqsubseteq (\text{TC-name (Short ("bool"))})) \wedge$   

 $type\text{-}e tenv tenvE e2 (Tapp \sqsubseteq (\text{TC-name (Short ("bool"))}))$   

 $\implies$   

 $type\text{-}e tenv tenvE (Log l e1 e2) (Tapp \sqsubseteq (\text{TC-name (Short ("bool"))}))$   

|  

if' :  $\bigwedge tenv tenvE e1 e2 e3 t0.$   

 $type\text{-}e tenv tenvE e1 (Tapp \sqsubseteq (\text{TC-name (Short ("bool"))})) \wedge$   

 $(type\text{-}e tenv tenvE e2 t0 \wedge$   

 $type\text{-}e tenv tenvE e3 t0)$   

 $\implies$   

 $type\text{-}e tenv tenvE (If e1 e2 e3) t0$   

|

```

```

mat :  $\bigwedge tenv tenvE e pes t1 t2.$ 
 $type\text{-}e tenv tenvE e t1 \wedge (\neg (pes = [])) \wedge$ 
 $((\forall (p,e) \in$ 
 $List.set pes. (\exists bindings.$ 
 $Lem-list.allDistinct (pat-bindings p [])) \wedge$ 
 $(type\text{-}p (num-tvs tenvE) tenv p t1 bindings \wedge$ 
 $type\text{-}e tenv (bind-var-list((0 :: nat)) bindings tenvE) e t2))))$ 
 $\implies$ 
 $type\text{-}e tenv tenvE (Mat e pes) t2$ 
| 
— let-poly : forall tenv tenvE n e1 e2 t1 t2 tvs. is-value e1 && type-e tenv
(bind-tvar tvs tenvE) e1 t1 && type-e tenv (opt-bind-name n tvs t1 tenvE) e2
t2  $\implies$  type-e tenv tenvE (Let n e1 e2) t2 and

let-mono :  $\bigwedge tenv tenvE n e1 e2 t1 t2.$ 
 $type\text{-}e tenv tenvE e1 t1 \wedge$ 
 $type\text{-}e tenv (opt-bind-name n((0 :: nat)) t1 tenvE) e2 t2$ 
 $\implies$ 
 $type\text{-}e tenv tenvE (Let n e1 e2) t2$ 
— and letrec : forall tenv tenvE funs e t tenv' tvs. type-funs tenv (bind-var-list
0 tenv' (bind-tvar tvs tenvE)) funs tenv' && type-e tenv (bind-var-list tvs tenv'
tenvE) e t  $\implies$  type-e tenv tenvE (Letrec funs e) t
| 
letrec :  $\bigwedge tenv tenvE funs e t0 bindings.$ 
 $type\text{-}fun tenv (bind-var-list((0 :: nat)) bindings tenvE) funs bindings \wedge$ 
 $type\text{-}e tenv (bind-var-list((0 :: nat)) bindings tenvE) e t0$ 
 $\implies$ 
 $type\text{-}e tenv tenvE (Letrec funs e) t0$ 
| 
typeannot:  $\bigwedge tenv tenvE e t0.$ 
 $check\text{-}freevars((0 :: nat)) [] t0 \wedge$ 
 $(check\text{-}type-names(t tenv) t0 \wedge$ 
 $type\text{-}e tenv tenvE e (type-name-subst(t tenv) t0))$ 
 $\implies$ 
 $type\text{-}e tenv tenvE (Tannot e t0) (type-name-subst(t tenv) t0)$ 
| 
locannot:  $\bigwedge tenv tenvE e l t0.$ 
 $type\text{-}e tenv tenvE e t0$ 
 $\implies$ 

```

```

type-e tenv tenvE (Lannot e l) t0
|
empty :  $\bigwedge$  tenv tenvE.

type-es tenv tenvE [] []
|
cons :  $\bigwedge$  tenv tenvE e es t0 ts.
type-e tenv tenvE e t0  $\wedge$ 
type-es tenv tenvE es ts
==>
type-es tenv tenvE (e # es) (t0 # ts)
|
no-funs :  $\bigwedge$  tenv tenvE.

type-funs tenv tenvE [] []
|
funs :  $\bigwedge$  tenv tenvE fn n e funs bindings t1 t2.
check-freevars (num-tvs tenvE) [] (Tfn t1 t2)  $\wedge$ 
(type-e tenv (Bind-name n(( 0 :: nat)) t1 tenvE) e t2  $\wedge$ 
(type-funs tenv tenvE funs bindings  $\wedge$ 
(Map.map-of bindings fn = None)))
==>
type-funs tenv tenvE ((fn, n, e) # funs) ((fn, Tfn t1 t2) # bindings)

— val tenv-add-tvs : nat -> alist varN t -> alist varN (nat * t)
definition tenv-add-tvs :: nat  $\Rightarrow$  (string*t)list  $\Rightarrow$  (string*(nat*t))list where
  tenv-add-tvs tvs bindings = (
    List.map (  $\lambda$ x .
      (case x of (n,t1) => (n,(tvs,t1)) )) bindings )
 
— val type-pe-determ : type-env -> tenv-val-exp -> pat -> exp -> bool
definition type-pe-determ :: type-env  $\Rightarrow$  tenv-val-exp  $\Rightarrow$  pat  $\Rightarrow$  exp0  $\Rightarrow$  bool
where
  type-pe-determ tenv tenvE p e = (((
     $\forall$  t1.
     $\forall$  tenv1.
     $\forall$  t2.
     $\forall$  tenv2.
    (type-p(( 0 :: nat)) tenv p t1 tenv1  $\wedge$  (type-e tenv tenvE e t1  $\wedge$ 
      (type-p(( 0 :: nat)) tenv p t2 tenv2  $\wedge$  type-e tenv tenvE e t2)))

```

\longrightarrow
 $(t\text{env}1 = t\text{env}2))$

— val *tscheme-inst* : $(nat * t) \rightarrow (nat * t) \rightarrow bool$
fun *tscheme-inst* :: $nat * t \Rightarrow nat * t \Rightarrow bool$ **where**
tscheme-inst (*tvs-spec*, *t-spec*) (*tvs-impl*, *t-impl*) = ((
 $\exists subst.$
 $(List.length subst = tvs-impl) \wedge$
 $(check-freevars tvs-impl [] t-impl) \wedge$
 $((\forall x \in (set subst). (check-freevars tvs-spec []) x)) \wedge$
 $(deBruijn-subst((0 :: nat) subst t-impl = t-spec))))$

inductive

type-d :: $bool \Rightarrow (modN)list \Rightarrow decls \Rightarrow type-env \Rightarrow dec \Rightarrow decls \Rightarrow type-env \Rightarrow bool$ **where**

dlet-poly : $\bigwedge extra-checks tvs mn tenv p e t0 bindings decls locs.$
is-value e \wedge
 $(Lem-list.allDistinct (pat-bindings p [])) \wedge$
 $(type-p tvs tenv p t0 bindings) \wedge$
 $(type-e tenv (bind-tvar tvs Empty) e t0) \wedge$
extra-checks \longrightarrow
 $((\forall tvs'. \forall bindings'. \forall t'.$
 $(type-p tvs' tenv p t' bindings') \wedge$
 $type-e tenv (bind-tvar tvs' Empty) e t') \longrightarrow$
 $list-all2 tscheme-inst (List.map snd (tenv-add-tvs tvs' bindings')) (List.map$
 $snd (tenv-add-tvs tvs bindings)))))))$
 $\equiv\equiv>$
type-d extra-checks mn decls tenv (Dlet locs p e)
empty-decls ($| v0 = (alist-to-ns (tenv-add-tvs tvs bindings)), c0 = nsEmpty, t = nsEmpty |$)

|

dlet-mono : $\bigwedge extra-checks mn tenv p e t0 bindings decls locs.$

— The following line makes sure that when the value restriction prohibits generalisation, a type error is given rather than picking an arbitrary instantiation. However, we should only do the check when the extra-checks argument tells us to.

(extra-checks $\longrightarrow (\neg (is-value e) \wedge type-pe-determ tenv Empty p e)) \wedge$
 $(Lem-list.allDistinct (pat-bindings p [])) \wedge$
 $(type-p((0 :: nat)) tenv p t0 bindings) \wedge$
 $type-e tenv Empty e t0))$

$\equiv\equiv>$

type-d extra-checks mn decls tenv (Dlet locs p e)
empty-decls ($| v0 = (alist-to-ns (tenv-add-tvs((0 :: nat)) bindings)), c0 = nsEmpty, t = nsEmpty |$)

```

dletrec :  $\bigwedge$  extra-checks mn tenv funs bindings tvs decls locs.
type-funs tenv (bind-var-list(( 0 :: nat)) bindings (bind-tvar tvs Empty)) funs bindings  $\wedge$ 
(extra-checks  $\longrightarrow$ 
 $((\forall tvs'. \forall bindings'.$ 
type-funs tenv (bind-var-list(( 0 :: nat)) bindings' (bind-tvar tvs' Empty)) funs bindings'  $\longrightarrow$ 
list-all2 tscheme-inst (List.map snd (tenv-add-tvs tvs' bindings')) (List.map
snd (tenv-add-tvs tvs bindings)))))
==>
type-d extra-checks mn decls tenv (Dletrec locs funs)
empty-decls (| v0 = (alist-to-ns (tenv-add-tvs tvs bindings)), c0 = nsEmpty, t = nsEmpty |)

dtype :  $\bigwedge$  extra-checks mn tenv tdefs decls defined-types' decls' tenvT locs.
check-ctor-tenv (nsAppend tenvT(t tenv)) tdefs  $\wedge$ 
((defined-types' = List.set (List.map (  $\lambda x$  .
(case x of (tvs,tn,ctors) => (mk-id mn tn) )) tdefs))  $\wedge$ 
(disjnt defined-types'(defined-types0 decls)  $\wedge$ 
((tenvT = alist-to-ns (List.map (  $\lambda x$  .
(case x of
(tvs,tn,ctors) => (tn, (tvs, Tapp (List.map Tvar tvs)
(TC-name (mk-id mn tn)))) )
)) tdefs))  $\wedge$ 
(decls' = (| defined-mods0 = ({}), defined-types0 = defined-types', defined-exns =
({}) |))))
==>
type-d extra-checks mn decls tenv (Dtype locs tdefs)
decls' (| v0 = nsEmpty, c0 = (build-ctor-tenv mn (nsAppend tenvT(t tenv))
tdefs), t = tenvT |)

dtabbrev :  $\bigwedge$  extra-checks mn decls tenv tvs tn t0 locs.
check-freevars(( 0 :: nat)) tvs t0  $\wedge$ 
(check-type-names(t tenv) t0  $\wedge$ 
Lem-list.allDistinct tvs)
==>
type-d extra-checks mn decls tenv (Dtabbrev locs tvs tn t0)
empty-decls (| v0 = nsEmpty, c0 = nsEmpty,
t = (nsSing tn (tvs,type-name-subst(t tenv) t0)) |)

dexn :  $\bigwedge$  extra-checks mn tenv cn ts decls decls' locs.

```

```

check-exn-tenv mn cn ts  $\wedge$ 
 $(\neg (mk-id mn cn \in (defined-exns decls))) \wedge$ 
 $(((\forall x \in (set ts). (check-type-names(t tenv)) x)) \wedge$ 
 $(decls' = (| defined-mods0 = (\{\}), defined-types0 = (\{\}), defined-exns = (\{mk-id$ 
 $mn cn\}) |)))$ 
 $\implies$ 
type-d extra-checks mn decls tenv (Dexn locs cn ts)
decls' (| v0 = nsEmpty,
          c0 = (nsSing cn ([]), List.map (type-name-subst(t tenv)) ts, TypeExn
(mk-id mn cn))),
          t = nsEmpty |)

inductive
type-ds :: bool  $\Rightarrow$  (modN)list  $\Rightarrow$  decls  $\Rightarrow$  type-env  $\Rightarrow$  (dec)list  $\Rightarrow$  decls  $\Rightarrow$  type-env
 $\Rightarrow$  bool where

empty :  $\bigwedge$  extra-checks mn tenv decls.

type-ds extra-checks mn decls tenv []
empty-decls (| v0 = nsEmpty, c0 = nsEmpty, t = nsEmpty |)

 $|$ 

cons :  $\bigwedge$  extra-checks mn tenv d ds tenv1 tenv2 decls decls1 decls2.
type-d extra-checks mn decls tenv d decls1 tenv1  $\wedge$ 
type-ds extra-checks mn (union-decls decls1 decls) (extend-dec-tenv tenv1 tenv) ds
decls2 tenv2
 $\implies$ 
type-ds extra-checks mn decls tenv (d # ds)
(union-decls decls2 decls1) (extend-dec-tenv tenv2 tenv1)

inductive
type-specs :: (modN)list  $\Rightarrow$  tenv-abbrev  $\Rightarrow$  specs  $\Rightarrow$  decls  $\Rightarrow$  type-env  $\Rightarrow$  bool
where

empty :  $\bigwedge$  mn tenvT.

type-specs mn tenvT []
empty-decls (| v0 = nsEmpty, c0 = nsEmpty, t = nsEmpty |)

 $|$ 

sval :  $\bigwedge$  mn tenvT x t0 specs tenv fvs decls subst.
check-freevars(( 0 :: nat)) fvs t0  $\wedge$ 
(check-type-names tenvT t0  $\wedge$ 
(type-specs mn tenvT specs decls tenv  $\wedge$ 
(subst = map-of (Lem-list-extra.zipSameLength fvs (List.map Tvar-db (genlist ( $\lambda$ 
x . x) (List.length fvs))))))
 $\implies$ 

```

```

type-specs mn tenvT (Sval x t0 # specs)
decls
(extend-dec-tenv tenv
(| v0 = (nsSing x (List.length fvs, type-subst subst (type-name-subst tenvT t0))),
c0 = nsEmpty,
t = nsEmpty |))

|
stype :  $\bigwedge mn tenvT tenv td \text{specs} \text{decls}' \text{decls} tenvT'$ .
(tenvT' = alist-to-ns (List.map (  $\lambda x .$ 
(case x of
(tvs,tn,ctors) => (tn, (tvs, Tapp (List.map Tvar tvs)
(TC-name (mk-id mn tn))))  

)) td))  $\wedge$ 
(check-ctor-tenv (nsAppend tenvT' tenvT) td  $\wedge$ 
(type-specs mn (nsAppend tenvT' tenvT) specs decls tenv  $\wedge$ 
(decls' = (| defined-mods0 = ({}),
defined-types0 = (List.set (List.map (  $\lambda x .$ 
(case x of (tvs,tn,ctors) => (mk-id mn tn) )) td)),
defined-exns = ({} |))))  

==>
type-specs mn tenvT (Stype td # specs)
(union-decls decls decls')
(extend-dec-tenv tenv
(| v0 = nsEmpty,
c0 = (build-ctor-tenv mn (nsAppend tenvT' tenvT) td),
t = tenvT' |))

|
stabrev :  $\bigwedge mn tenvT tenvT' tvs tn t0 \text{specs} \text{decls} tenv.$ 
Lem-list.allDistinct tvs  $\wedge$ 
(check-freevars(( 0 :: nat)) tvs t0  $\wedge$ 
(check-type-names tenvT t0  $\wedge$ 
((tenvT' = nsSing tn (tvs,type-name-subst tenvT t0))  $\wedge$ 
type-specs mn (nsAppend tenvT' tenvT) specs decls tenv)))  

==>
type-specs mn tenvT (Stabrev tvs tn t0 # specs)
decls (extend-dec-tenv tenv (| v0 = nsEmpty, c0 = nsEmpty, t = tenvT' |))

|
sexn :  $\bigwedge mn tenvT tenv cn ts \text{specs} \text{decls}.$ 
check-exn-tenv mn cn ts  $\wedge$ 
(type-specs mn tenvT specs decls tenv  $\wedge$ 
(( $\forall x \in (set ts)$ . (check-type-names tenvT x))))  

==>
type-specs mn tenvT (Sexn cn ts # specs)

```

```

(union-decls decls (| defined-mods0 = ({}), defined-types0 = ({}), defined-exns =
({mk-id mn cn}) |))
  (extend-dec-tenv tenv
    (| v0 = nsEmpty,
      c0 = (nsSing cn ([]), List.map (type-name-subst tenvT) ts, TypeExn (mk-id
mn cn))),
      t = nsEmpty |))

|
stype-opq :  $\bigwedge mn tenvT tenv tn \text{specs} tvs \text{decls} tenvT'$ .
Lem-list.allDistinct tvs  $\wedge$ 
((tenvT' = nsSing tn (tvs, Tapp (List.map Tvar tvs) (TC-name (mk-id mn tn))))  $\wedge$ 
type-specs mn (nsAppend tenvT' tenvT) specs decls tenv)
==>
type-specs mn tenvT (Stype-opq tvs tn # specs)
  (union-decls decls (| defined-mods0 = ({}), defined-types0 = ({mk-id mn tn}), defined-exns = ({} |))
  (extend-dec-tenv tenv (| v0 = nsEmpty, c0 = nsEmpty, t = tenvT' |)))

— val weak-decls : decls -> decls -> bool
definition weak-decls :: decls  $\Rightarrow$  decls  $\Rightarrow$  bool where
  weak-decls decls-impl decls-spec = (
    ((defined-mods0 decls-impl) = (defined-mods0 decls-spec))  $\wedge$ 
    (((defined-types0 decls-spec)  $\subseteq$  (defined-types0 decls-impl))  $\wedge$ 
    ((defined-exns decls-spec)  $\subseteq$  (defined-exns decls-impl)))

— val weak-tenvT : id modN typeN -> (list tvarN * t) -> (list tvarN * t) -> bool
fun weak-tenvT :: ((modN),(typeN))id0  $\Rightarrow$  (string)list*t  $\Rightarrow$  (string)list*t  $\Rightarrow$  bool
where
  weak-tenvT n (tvs-spec, t-spec) (tvs-impl, t-impl) = (
    (
      — For simplicity, we reject matches that differ only by renaming of bound type
      variablestvs-spec = tvs-impl)  $\wedge$ 
      ((t-spec = t-impl)  $\vee$ 
      (
        — The specified type is opaquet-spec = Tapp (List.map Tvar tvs-spec) (TC-name
n)))))

definition tscheme-inst2 :: 'a  $\Rightarrow$  nat*t  $\Rightarrow$  nat*t  $\Rightarrow$  bool where
  tscheme-inst2 - ts1 ts2 = ( tscheme-inst ts1 ts2 )

— val weak-tenv : type-env -> type-env -> bool
definition weak-tenv :: type-env  $\Rightarrow$  type-env  $\Rightarrow$  bool where

```

```

weak-tenv tenv-impl tenv-spec = (
  nsSub tscheme-inst2(v0 tenv-spec)(v0 tenv-impl) ∧
  (nsSub ( λx .
    (case x of - => λ x y . x = y ))(c0 tenv-spec)(c0 tenv-impl) ∧
  nsSub weak-tenvT(t tenv-spec)(t tenv-impl)))

inductive
check-signature :: (modN)list ⇒ tenv-abbrev ⇒ decls ⇒ type-env ⇒ (specs)option
⇒ decls ⇒ type-env ⇒ bool where

none :  $\bigwedge mn \text{ tenv}T \text{ decls tenv}$ .
```

check-signature mn tenvT decls tenv None decls tenv

```

|
```

*some : $\bigwedge mn \text{ specs tenv-impl tenv-spec decls-impl decls-spec tenvT}$.
weak-tenv tenv-impl tenv-spec ∧
(weak-decls decls-impl decls-spec ∧
type-specs mn tenvT specs decls-spec tenv-spec)
==>
check-signature mn tenvT decls-impl tenv-impl (Some specs) decls-spec tenv-spec*

definition tenvLift :: string ⇒ type-env ⇒ type-env **where**

```

tenvLift mn tenv = (
  (|| v0 = (nsLift mn(v0 tenv)), c0 = (nsLift mn(c0 tenv)), t = (nsLift mn(t tenv)) || ))
```

inductive

*type-top :: bool ⇒ decls ⇒ type-env ⇒ top0 ⇒ decls ⇒ type-env ⇒ bool **where***

*tdec : $\bigwedge \text{extra-checks tenv d tenv'} \text{ decls decls'}$.
type-d extra-checks [] decls tenv d decls' tenv'
==>
type-top extra-checks decls tenv (Tdec d) decls' tenv'*

```

|
```

*tmod : $\bigwedge \text{extra-checks tenv mn spec ds tenv-impl tenv-spec decls decls-impl de-}
\text{cls-spec}$.
 $\neg ([mn] \in (\text{defined-mods0 } \text{ decls})) \wedge$
(type-ds extra-checks [mn] decls tenv ds decls-impl tenv-impl ∧
check-signature [mn](t tenv) decls-impl tenv-impl spec decls-spec tenv-spec)
==>
type-top extra-checks decls tenv (Tmod mn spec ds)
(union-decls (|| defined-mods0 = ([mn]), defined-types0 = ({}), defined-exns = ({}) ||) decls-spec)*

```

(tenvLift mn tenv-spec)

inductive
type-prog :: bool  $\Rightarrow$  decls  $\Rightarrow$  type-env  $\Rightarrow$  (top0)list  $\Rightarrow$  decls  $\Rightarrow$  type-env  $\Rightarrow$  bool
where

empty :  $\bigwedge$  extra-checks tenv decls.

type-prog extra-checks decls tenv [] empty-decls (| v0 = nsEmpty, c0 = nsEmpty, t = nsEmpty |)

|
cons :  $\bigwedge$  extra-checks tenv top0 tops tenv1 tenv2 decls decls1 decls2.
type-top extra-checks decls tenv top0 decls1 tenv1  $\wedge$ 
type-prog extra-checks (union-decls decls1 decls) (extend-dec-tenv tenv1 tenv) tops
decls2 tenv2
===>
type-prog extra-checks decls tenv (top0 # tops)
(union-decls decls2 decls1) (extend-dec-tenv tenv2 tenv1)
end

```

Chapter 19

Generated by Lem from *semantics/typeSystem.lem.*

```
theory TypeSystemAuxiliary

imports
  Main
  HOL-Library.Datatype-Records
  LEM.Lem-pervasives-extra
  Lib
  Namespace
  Ast
  SemanticPrimitives
  TypeSystem

begin

-- ****
-- 
-- Termination Proofs
-- 
-- ****

termination check-freevars {proof}
termination type-subst {proof}
termination deBrujin-inc {proof}
termination deBrujin-subst {proof}
termination check-type-names {proof}
termination type-name-subst {proof}
```

termination *is-value* $\langle proof \rangle$

end

Part II

Proofs ported from HOL4

Chapter 20

Adaptations for Isabelle

```
theory Semantic-Extras
imports
  generated/CakeML/BigStep
  generated/CakeML/SemanticPrimitivesAuxiliary
  generated/CakeML/AstAuxiliary
  generated/CakeML/Evaluate
  HOL-Library.Simps-Case-Conv
begin

type-synonym exp = exp0

hide-const (open) sem-env.v

code-pred
  (modes: evaluate:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as compute
   and evaluate-list:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ 
   and evaluate-match:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) evaluate ⟨proof⟩

  code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) evaluate-dec ⟨proof⟩
  code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) evaluate-decs ⟨proof⟩
  code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) evaluate-top ⟨proof⟩
  code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as compute-prog) evaluate-prog
  ⟨proof⟩

termination pmatch-list ⟨proof⟩
termination do-eq-list ⟨proof⟩

lemma all-distinct-alt-def: allDistinct = distinct
⟨proof⟩

lemma find-recfun-someD:
  assumes find-recfun n funs = Some (x, e)
  shows (n, x, e) ∈ set funs
⟨proof⟩
```

```

lemma find-recfun-alt-def[simp]: find-recfun n funs = map-of funs n
⟨proof⟩

lemma size-list-rev[simp]: size-list f (rev xs) = size-list f xs
⟨proof⟩

lemma do-if-cases:
obtains
  (none) do-if v e1 e2 = None
  | (true) do-if v e1 e2 = Some e1
  | (false) do-if v e1 e2 = Some e2
⟨proof⟩

case-of-simps do-log-alt-def: do-log.simps
case-of-simps do-con-check-alt-def: do-con-check.simps
case-of-simps list-result-alt-def: list-result.simps

context begin

private fun-cases do-logE: do-log op v e = res

lemma do-log-exp: do-log op v e = Some (Exp e')  $\implies$  e = e'
⟨proof⟩

end

lemma c-of-merge[simp]: c (extend-dec-env env2 env1) = nsAppend (c env2) (c
env1)
⟨proof⟩

lemma v-of-merge[simp]: sem-env.v (extend-dec-env env2 env1) = nsAppend (sem-env.v
env2) (sem-env.v env1)
⟨proof⟩

lemma nsEmpty-nsAppend[simp]: nsAppend e nsEmpty = e nsAppend nsEmpty e
= e
⟨proof⟩

lemma do-log-cases:
obtains
  (none) do-log op v e = None
  | (val) v' where do-log op v e = Some (Val v')
  | (exp) do-log op v e = Some (Exp e)
⟨proof⟩

context begin

private fun-cases do-opappE: do-opapp vs = Some res

```

```

lemma do-opapp-cases:
  assumes do-opapp vs = Some (env', exp')
  obtains (closure) env n v0
    where vs = [Closure env n exp', v0]
    env' = (env () sem-env.v := nsBind n v0 (sem-env.v env) ())
  | (reclosure) env funs name n v0
    where vs = [Reclosure env funs name, v0]
    and allDistinct (map ( $\lambda(f, -, -).f$ ) funs)
    and find-recfun name funs = Some (n, exp')
    and env' = (env () sem-env.v := nsBind n v0 (build-rec-env funs env
      (sem-env.v env)) ())
  ⟨proof⟩

end

lemmas evaluate-induct =
  evaluate-match-evaluate-list-evaluate.inducts[split-format(complete)]

lemma evaluate-clock-mono:
  evaluate-match ck env s v pes v' (s', r1)  $\implies$  clock s'  $\leq$  clock s
  evaluate-list ck env s es (s', r2)  $\implies$  clock s'  $\leq$  clock s
  evaluate ck env s e (s', r3)  $\implies$  clock s'  $\leq$  clock s
  ⟨proof⟩

lemma evaluate-list-singleton-valE:
  assumes evaluate-list ck env s [e] (s', Rval vs)
  obtains v where vs = [v] evaluate ck env s e (s', Rval v)
  ⟨proof⟩

lemma evaluate-list-singleton-errD:
  assumes evaluate-list ck env s [e] (s', Rerr err)
  shows evaluate ck env s e (s', Rerr err)
  ⟨proof⟩

lemma evaluate-list-singleton-cases:
  assumes evaluate-list ck env s [e] res
  obtains (val) s' v where res = (s', Rval [v]) evaluate ck env s e (s', Rval v)
    | (err) s' err where res = (s', Rerr err) evaluate ck env s e (s', Rerr err)
  ⟨proof⟩

lemma evaluate-list-singletonI:
  assumes evaluate ck env s e (s', r)
  shows evaluate-list ck env s [e] (s', list-result r)
  ⟨proof⟩

lemma prod-result-cases:
  obtains (val) s v where r = (s, Rval v)
    | (err) s err where r = (s, Rerr err)

```

$\langle proof \rangle$

lemma do-con-check-build-conv: do-con-check (c env) cn (length es) \implies build-conv
(c env) cn vs \neq None
 $\langle proof \rangle$

fun match-result :: (v)sem-env \Rightarrow 'ffi state \Rightarrow v \Rightarrow (pat*exp)list \Rightarrow v \Rightarrow (exp \times (char list \times v) list, v)result **where**
match-result - - - [] err-v = Rerr (Rraise err-v) |
match-result env s v0 ((p, e) # pes) err-v =
(if Lem-list.allDistinct (pat-bindings p []) then
(case pmatch (sem-env.c env) (refs s) p v0 [] of
Match env' \Rightarrow Rval (e, env') |
No-match \Rightarrow match-result env s v0 pes err-v |
Match-type-error \Rightarrow Rerr (Rabort Rtype-error))
else
Rerr (Rabort Rtype-error))

case-of-simps match-result-alt-def: match-result.simps

lemma match-result-sound:
case match-result env s v0 pes err-v of
Rerr err \Rightarrow evaluate-match ck env s v0 pes err-v (s, Rerr err)
| Rval (e, env') \Rightarrow
 \forall bv.
evaluate ck (env () sem-env.v := nsAppend (alist-to-ns env')(sem-env.v env)
()) s e bv \longrightarrow
evaluate-match ck env s v0 pes err-v bv
 $\langle proof \rangle$

lemma match-result-sound-val:
assumes match-result env s v0 pes err-v = Rval (e, env')
assumes evaluate ck (env () sem-env.v := nsAppend (alist-to-ns env')(sem-env.v
env) ()) s e bv
shows evaluate-match ck env s v0 pes err-v bv
 $\langle proof \rangle$

lemma match-result-sound-err:
assumes match-result env s v0 pes err-v = Rerr err
shows evaluate-match ck env s v0 pes err-v (s, Rerr err)
 $\langle proof \rangle$

lemma match-result-correct:
assumes evaluate-match ck env s v0 pes err-v (s', bv)
shows case bv of
Rval v \Rightarrow
 \exists e env'. match-result env s v0 pes err-v = Rval (e, env') \wedge evaluate ck
(env () sem-env.v := nsAppend (alist-to-ns env')(sem-env.v env) ()) s e (s', Rval
v)

```
| Rerr err =>
  (match-result env s v0 pes err-v = Rerr err) ∨
  (exists e env'. match-result env s v0 pes err-v = Rval (e, env') ∧ evaluate ck
  (env () sem-env.v := nsAppend (alist-to-ns env') (sem-env.v env) ()) s e (s', Rerr
  err))
⟨proof⟩
```

end

Chapter 21

Functional big-step semantics

21.1 Termination proof

```
theory Evaluate-Termination
  imports Semantic-Extras
begin

case-of-simps fix-clock-alt-def: fix-clock.simps

primrec size-exp' :: exp ⇒ nat where
  size-exp' (Raise e) = Suc (size-exp' e) |
  [simp del]: size-exp' (Handle e pes) = Suc (size-exp' e + size-list (λ(p, es). Suc (size p + es)) (map (map-prod id size-exp') pes)) |
  size-exp' (Con - es) = Suc (size-list id (map size-exp' es)) |
  size-exp' (Fun - e) = Suc (size-exp' e) |
  size-exp' (App - es) = Suc (size-list id (map size-exp' es)) |
  size-exp' (Log - e f) = Suc (size-exp' e + size-exp' f) |
  size-exp' (If e f g) = Suc (size-exp' e + size-exp' f + size-exp' g) |
  [simp del]: size-exp' (Mat e pes) = Suc (size-exp' e + size-list (λ(p, es). Suc (size p + es)) (map (map-prod id size-exp') pes)) |
  size-exp' (Let - e f) = Suc (size-exp' e + size-exp' f) |
  [simp del]: size-exp' (Letrec defs e) = Suc (size-exp' e + size-list (λ(-, -, es). Suc (Suc es)) (map (map-prod id (map-prod id size-exp')) defs)) |
  size-exp' (Tannot e -) = Suc (size-exp' e) |
  size-exp' (Lannot e -) = Suc (size-exp' e) |
  size-exp' (Lit -) = 0 |
  size-exp' (Var -) = 0

lemma [simp]:
  size-exp' (Mat e pes) = Suc (size-exp' e + size-list (size-prod size size-exp') pes)
  ⟨proof⟩

lemma [simp]:
  size-exp' (Handle e pes) = Suc (size-exp' e + size-list (size-prod size size-exp') pes)
```

```
 $\langle proof \rangle$ 
```

```
lemma [simp]:  
  size-exp' (Letrec defs e) = Suc (size-exp' e + size-list (size-prod ( $\lambda$ . 0) (size-prod ( $\lambda$ . 0) size-exp'))) defs)  
 $\langle proof \rangle$ 
```

```
context begin
```

```
private definition fun-evaluate-relation where  
  fun-evaluate-relation = inv-image (less-than <*lex*> less-than) ( $\lambda$ x.  
    case x of  
      Inr (s, -, es)  $\Rightarrow$  (clock s, size-list size-exp' es)  
    | Inl (s, -, pes, -)  $\Rightarrow$  (clock s, size-list (size-prod size size-exp') pes))
```

```
termination fun-evaluate
```

```
 $\langle proof \rangle$ 
```

```
end
```

```
end
```

21.2 Simplifying the definition

```
theory Evaluate-Clock  
imports Evaluate-Termination  
begin
```

```
hide-const (open) sem-env.v
```

```
lemma fix-clock:  
  fix-clock s1 (s2, x) = (s, x)  $\Rightarrow$  clock s  $\leq$  clock s1  
  fix-clock s1 (s2, x) = (s, x)  $\Rightarrow$  clock s  $\leq$  clock s2  
 $\langle proof \rangle$ 
```

```
lemma dec-clock[simp]: clock (dec-clock st) = clock st - 1  
 $\langle proof \rangle$ 
```

```
context begin
```

```
private lemma fun-evaluate-clock0:  
  clock (fst (fun-evaluate-match s1 env v p v'))  $\leq$  clock s1  
  clock (fst (fun-evaluate s1 env e))  $\leq$  clock s1  
 $\langle proof \rangle$ 
```

```
lemma fun-evaluate-clock:  
  fun-evaluate-match s1 env v p v' = (s2, r)  $\Rightarrow$  clock s2  $\leq$  clock s1  
  fun-evaluate s1 env e = (s2, r)  $\Rightarrow$  clock s2  $\leq$  clock s1  
 $\langle proof \rangle$ 
```

```

end

lemma fix-clock-evaluate[simp]:
  fix-clock s1 (fun-evaluate s1 env e) = fun-evaluate s1 env e
⟨proof⟩

declare fun-evaluate.simps[simp del]
declare fun-evaluate-match.simps[simp del]

lemmas fun-evaluate-simps[simp] =
  fun-evaluate.simps[unfolded fix-clock-evaluate]
  fun-evaluate-match.simps[unfolded fix-clock-evaluate]

lemmas fun-evaluate-induct =
  fun-evaluate-match-fun-evaluate.induct[unfolded fix-clock-evaluate]

lemma fun-evaluate-length:
  fun-evaluate-match s env v pes err-v = (s', res) ==> (case res of Rval vs => length
  vs = 1 | - => True)
  fun-evaluate s env es = (s', res) ==> (case res of Rval vs => length vs = length es
  | - => True)
⟨proof⟩

lemma fun-evaluate-matchE:
  assumes fun-evaluate-match s env v pes err-v = (s', Rval vs)
  obtains v where vs = [v]
⟨proof⟩

end

```

21.3 Simplifying the definition: no mutual recursion

```

theory Evaluate-Single
imports Evaluate-Clock
begin

fun evaluate-list :: "('ffi state ⇒ exp ⇒ 'ffi state*(v, v) result) ⇒
  'ffi state ⇒ exp list ⇒ 'ffi state*(v list, v) result where

Nil:
evaluate-list eval s [] = (s, Rval []) |

Cons:
evaluate-list eval s (e#es) =
  (case fix-clock s (eval s e) of

```

```


$$(s', Rval v) \Rightarrow$$


$$(\text{case evaluate-list eval } s' es \text{ of}$$


$$(s'', Rval vs) \Rightarrow (s'', Rval (v\#vs))$$


$$| res \Rightarrow res)$$


$$| (s', Rerr err) \Rightarrow (s', Rerr err))$$


lemma evaluate-list-cong[fundef-cong]:
assumes  $\bigwedge e s. e \in \text{set } es1 \implies \text{clock } s \leq \text{clock } s1 \implies \text{eval1 } s e = \text{eval2 } s e s1$ 
 $= s2 es1 = es2$ 
shows evaluate-list eval1 s1 es1 = evaluate-list eval2 s2 es2
⟨proof⟩

```

function (sequential)
 $\text{evaluate} :: v \text{ sem-env} \Rightarrow \text{'ffi state} \Rightarrow \text{exp} \Rightarrow \text{'ffi state}^*(v,v) \text{ result where}$

Lit:

$\text{evaluate env } s (\text{Lit } l) = (s, Rval (\text{Litv } l)) \mid$

Raise:

$\text{evaluate env } s (\text{Raise } e) =$
 $(\text{case evaluate env } s e \text{ of}$
 $(s', Rval v) \Rightarrow (s', Rerr (\text{Rraise } (v)))$
 $| res \Rightarrow res) \mid$

Handle:

$\text{evaluate env } s (\text{Handle } e pes) =$
 $(\text{case evaluate env } s e \text{ of}$
 $(s', Rerr (\text{Rraise } v)) \Rightarrow$
 $(\text{case match-result env } s' v pes v \text{ of}$
 $(Rval (e', env')) \Rightarrow$
 $\text{evaluate } (\text{env} \parallel \text{sem-env.v} := \text{nsAppend (alist-to-ns env')} (\text{sem-env.v env}))$
 $\parallel s' e'$
 $| (Rerr err) \Rightarrow (s', Rerr err))$
 $| res \Rightarrow res) \mid$

Con:

$\text{evaluate env } s (\text{Con } cn es) =$
 $(\text{if do-con-check } (c \text{ env}) cn (\text{length } es) \text{ then}$
 $(\text{case evaluate-list } (\text{evaluate env}) s (\text{rev } es) \text{ of}$
 $(s', Rval vs) \Rightarrow$
 $(\text{case build-conv } (c \text{ env}) cn (\text{rev } vs) \text{ of}$
 $\text{Some } v \Rightarrow (s', Rval v)$
 $| \text{None} \Rightarrow (s', Rerr (\text{Rabort Rtype-error})))$
 $| (s', Rerr err) \Rightarrow (s', Rerr err))$
 $\text{else } (s, Rerr (\text{Rabort Rtype-error}))) \mid$

Var:

$\text{evaluate env } s (\text{Var } n) =$
 $(\text{case nsLookup } (\text{sem-env.v env}) n \text{ of}$

$\text{Some } v \Rightarrow (s, \text{Rval } v)$
 $| \text{None} \Rightarrow (s, \text{Rerr} (\text{Rabort Rtype-error})) |$

Fun:

$\text{evaluate env } s (\text{Fun } n e) = (s, \text{Rval} (\text{Closure env } n e)) |$

App:

$\text{evaluate env } s (\text{App } op0 es) =$
 $(\text{case evaluate-list} (\text{evaluate env}) s (\text{rev es}) \text{ of}$
 $(s', \text{Rval } vs) \Rightarrow$
 $(\text{if } op0 = \text{Opapp} \text{ then}$
 $(\text{case do-opapp} (\text{rev vs}) \text{ of}$
 $\text{Some } (env', e) \Rightarrow$
 $(\text{if } (\text{clock } s' = 0) \text{ then}$
 $(s', \text{Rerr} (\text{Rabort Rtimeout-error}))$
 else
 $\text{evaluate env}' (\text{dec-clock } s') e)$
 $| \text{None} \Rightarrow (s', \text{Rerr} (\text{Rabort Rtype-error})) |$
 else
 $(\text{case do-app} (\text{refs } s', \text{ffi } s') op0 (\text{rev vs}) \text{ of}$
 $\text{Some } ((\text{refs}', \text{ffi}'), res) \Rightarrow (s' (\text{refs} := \text{refs}', \text{ffi} := \text{ffi}'), res)$
 $| \text{None} \Rightarrow (s', \text{Rerr} (\text{Rabort Rtype-error})))$
 $| (s', \text{Rerr err}) \Rightarrow (s', \text{Rerr err})) |$

Log:

$\text{evaluate env } s (\text{Log } op0 e1 e2) =$
 $(\text{case evaluate env } s e1 \text{ of}$
 $(s', \text{Rval } v) \Rightarrow$
 $(\text{case do-log } op0 v e2 \text{ of}$
 $\text{Some } (\text{Exp } e') \Rightarrow \text{evaluate env } s' e'$
 $| \text{Some } (\text{Val } bv) \Rightarrow (s', \text{Rval } bv)$
 $| \text{None} \Rightarrow (s', \text{Rerr} (\text{Rabort Rtype-error})))$
 $| res \Rightarrow res) |$

If:

$\text{evaluate env } s (\text{If } e1 e2 e3) =$
 $(\text{case evaluate env } s e1 \text{ of}$
 $(s', \text{Rval } v) \Rightarrow$
 $(\text{case do-if } v e2 e3 \text{ of}$
 $\text{Some } e' \Rightarrow \text{evaluate env } s' e'$
 $| \text{None} \Rightarrow (s', \text{Rerr} (\text{Rabort Rtype-error})))$
 $| res \Rightarrow res) |$

Mat:

$\text{evaluate env } s (\text{Mat } e pes) =$
 $(\text{case evaluate env } s e \text{ of}$
 $(s', \text{Rval } v) \Rightarrow$
 $(\text{case match-result } \text{env } s' v pes \text{ Bindv} \text{ of}$
 $\text{Rval } (e', \text{env}') \Rightarrow$

```

evaluate (env () sem-env.v := nsAppend (alist-to-ns env') (sem-env.v env))
|| s' e'
| Rerr err => (s', Rerr err))
| res => res) |

```

Let:

```

evaluate env s (Let n e1 e2) =
(case evaluate env s e1 of
(s', Rval v) =>
evaluate (env () sem-env.v := (nsOptBind n v(sem-env.v env))) ||) s' e2
| res => res) |

```

Letrec:

```

evaluate env s (Letrec funs e) =
(if distinct (List.map ( $\lambda x.$  (case x of (x,y,z) => x)) funs) then
 evaluate (env () sem-env.v := (build-rec-env funs env(sem-env.v env))) ||) s e
else
(s, Rerr (Rabort Rtype-error))) |

```

Tannot:

```
evaluate env s (Tannot e t0) = evaluate env s e |
```

Lannot:

```
evaluate env s (Lannot e l) = evaluate env s e
⟨proof⟩
```

context

```
notes do-app.simps[simp del]
begin
```

lemma *match-result-elem*:

```
assumes match-result env s v0 pes err-v = Rval (e, env')
shows  $\exists$  pat. (pat, e)  $\in$  set pes
⟨proof⟩ lemma evaluate-list-clock-monotone: clock (fst (evaluate-list eval s es))
 $\leq$  clock s
⟨proof⟩
```

lemma *i-hate-words-helper*:

```
i  $\leq$  (j - k :: nat)  $\implies$  i  $\leq$  j
⟨proof⟩
```

thm *i-hate-words-helper* [THEN le-trans, no-vars]

private lemma *evaluate-clock-monotone*:

```
⟨clock (fst (evaluate env s e))  $\leq$  clock s⟩
if ⟨evaluate-dom (env, s, e)⟩
⟨proof⟩ definition fun-evaluate-single-relation where
fun-evaluate-single-relation = inv-image (less-than <*lex*> less-than) ( $\lambda x.$ 
case x of (s, e) => (clock s, size-exp' e))
```

```

private lemma pat-elem-less-size:
  (pat, e) ∈ set pes  $\Rightarrow$  size-exp' e < (size-list (size-prod size size-exp') pes)
  ⟨proof⟩ lemma elem-less-size: e ∈ set es  $\Rightarrow$  size-exp' e ≤ size-list size-exp' es
  ⟨proof⟩

lemma evaluate-total: All evaluate-dom
⟨proof⟩

termination evaluate ⟨proof⟩

lemma evaluate-clock-monotone': evaluate eval s e = (s', r)  $\Rightarrow$  clock s' ≤ clock
s
⟨proof⟩

fun evaluate-list' :: v sem-env  $\Rightarrow$  'ffi state  $\Rightarrow$  exp list  $\Rightarrow$  'ffi state*(v list, v) result
where
  evaluate-list' env s [] = (s, Rval [])
  evaluate-list' env s (e#es) =
    (case evaluate env s e of
      (s', Rval v)  $\Rightarrow$ 
        (case evaluate-list' env s' es of
          (s'', Rval vs)  $\Rightarrow$  (s'', Rval (v#vs))
          | res  $\Rightarrow$  res)
        | (s', Rerr err)  $\Rightarrow$  (s', Rerr err))

lemma fix-clock-evaluate[simp]: fix-clock s (evaluate eval s e) = evaluate eval s e
⟨proof⟩

lemma evaluate-list-eq[simp]: evaluate-list (evaluate env) = evaluate-list' env
⟨proof⟩

declare evaluate-list.simps[simp del]

lemma fun-evaluate-equiv:
  fun-evaluate-match s env v pes err-v = (case match-result env s v pes err-v of
    Rerr err  $\Rightarrow$  (s, Rerr err)
    | Rval (e, env')  $\Rightarrow$  evaluate-list (evaluate (env () sem-env.v := (nsAppend
      alist-to-ns env') (sem-env.v env)) ()) s [e])
  fun-evaluate s env es = evaluate-list (evaluate env) s es
  ⟨proof⟩

corollary fun-evaluate-equiv':
  evaluate env s e = map-prod id (map-result hd id) (fun-evaluate s env [e])
⟨proof⟩

end

end

```

Chapter 22

Relational big-step semantics

22.1 Determinism

```
theory Big-Step-Determ
imports Semantic-Extras
begin

lemma evaluate-determ:
  evaluate-match ck env s v pes v' r1a ==> evaluate-match ck env s v pes v' r1b ==>
  r1a = r1b
  evaluate-list ck env s es r2a ==> evaluate-list ck env s es r2b ==> r2a = r2b
  evaluate ck env s e r3a ==> evaluate ck env s e r3b ==> r3a = r3b
  ⟨proof⟩

end
```

22.2 Totality

```
theory Big-Step-Total
imports Semantic-Extras
begin

context begin

private lemma evaluate-list-total0:
  fixes s :: 'a state
  assumes ⋀e env s'::'a state. e ∈ set es ==> clock s' ≤ clock s ==> ∃ s'' r. evaluate
  True env s' e (s'', r)
  shows ∃ s' r. evaluate-list True env s es (s', r)
  ⟨proof⟩ lemma evaluate-match-total0:
  fixes s :: 'a state
  assumes ⋀p e env s'::'a state. (p, e) ∈ set pes ==> clock s' ≤ clock s ==> ∃ s'' r.
  evaluate True env s' e (s'', r)
  shows ∃ s' r. evaluate-match True env s v pes v' (s', r)
  ⟨proof⟩
```

```
lemma evaluate-total:  $\exists s' r. \text{evaluate } \text{True env } s e (s', r)$ 
  ⟨proof⟩
```

```
end
```

The following are pretty much the same proofs as above, but without additional assumptions; instead using *evaluate-total* directly.

```
lemma evaluate-list-total:  $\exists s' r. \text{evaluate-list } \text{True env } s es (s', r)$ 
  ⟨proof⟩
```

```
lemma evaluate-match-total:  $\exists s' r. \text{evaluate-match } \text{True env } s v pes v' (s', r)$ 
  ⟨proof⟩
```

```
end
```

22.3 Equivalence to the functional semantics

```
theory Big-Step-Fun-Equiv
imports
  Big-Step-Determ
  Big-Step-Total
  Evaluate-Clock
begin

locale eval =
  fixes
    eval ::  $v \text{ sem-env} \Rightarrow exp \Rightarrow 'a \text{ state} \Rightarrow 'a \text{ state} \times (v, v) \text{ result}$  and
    eval-list ::  $v \text{ sem-env} \Rightarrow exp \text{ list} \Rightarrow 'a \text{ state} \Rightarrow 'a \text{ state} \times (v \text{ list}, v) \text{ result}$  and
    eval-match ::  $v \text{ sem-env} \Rightarrow v \Rightarrow (pat \times exp) \text{ list} \Rightarrow v \Rightarrow 'a \text{ state} \Rightarrow 'a \text{ state} \times (v, v) \text{ result}$ 

  assumes
    valid-eval:  $\text{evaluate } \text{True env } s e (\text{eval env } e s)$  and
    valid-eval-list:  $\text{evaluate-list } \text{True env } s es (\text{eval-list env } es s)$  and
    valid-eval-match:  $\text{evaluate-match } \text{True env } s v pes err-v (\text{eval-match env } v pes err-v s)$ 
begin

lemmas eval-all = valid-eval valid-eval-list valid-eval-match

lemma evaluate-iff:
  evaluate  $\text{True env } st e r \longleftrightarrow (r = \text{eval env } e st)$ 
  evaluate-list  $\text{True env } st es r' \longleftrightarrow (r' = \text{eval-list env } es st)$ 
  evaluate-match  $\text{True env } st v pes v' r \longleftrightarrow (r = \text{eval-match env } v pes v' st)$ 
  ⟨proof⟩

lemma evaluate-iff-sym:
  evaluate  $\text{True env } st e r \longleftrightarrow (\text{eval env } e st = r)$ 
```

```

evaluate-list True env st es r'  $\longleftrightarrow$  (eval-list env es st = r')
evaluate-match True env st v pes v' r  $\longleftrightarrow$  (eval-match env v pes v' st = r)
⟨proof⟩

lemma other-eval-eq:
assumes Big-Step-Fun-Equiv.eval eval' eval-list' eval-match'
shows eval' = eval eval-list' = eval-list eval-match' = eval-match
⟨proof⟩

lemma eval-list-singleton:
eval-list env [e] st = map-prod id list-result (eval env e st)
⟨proof⟩

lemma eval-eqI:
assumes  $\bigwedge r.$  evaluate True env st1 e1 r  $\longleftrightarrow$  evaluate True env st2 e2 r
shows eval env e1 st1 = eval env e2 st2
⟨proof⟩

lemma eval-match-eqI:
assumes  $\bigwedge r.$  evaluate-match True env1 st1 v1 pes1 err-v1 r  $\longleftrightarrow$  evaluate-match
True env2 st2 v2 pes2 err-v2 r
shows eval-match env1 v1 pes1 err-v1 st1 = eval-match env2 v2 pes2 err-v2 st2
⟨proof⟩

lemma eval-tannot[simp]: eval env (Tannot e t1) st = eval env e st
⟨proof⟩

lemma eval-lannot[simp]: eval env (Lannot e t1) st = eval env e st
⟨proof⟩

lemma eval-match[simp]:
eval env (Mat e pes) st =
(case eval env e st of
  (st', Rval v)  $\Rightarrow$  eval-match env v pes Bindv st'
  | (st', Rerr err)  $\Rightarrow$  (st', Rerr err))
⟨proof⟩

lemma eval-match-empty[simp]: eval-match env v2 [] err-v st = (st, Rerr (Rraise
err-v))
⟨proof⟩

end

lemma run-eval:  $\exists$  run-eval.  $\forall$  env e s. evaluate True env s e (run-eval env e s)
⟨proof⟩

lemma run-eval-list:  $\exists$  run-eval-list.  $\forall$  env es s. evaluate-list True env s es (run-eval-list
env es s)
⟨proof⟩

```

```

lemma run-eval-match:  $\exists \text{run-eval-match}.$   $\forall \text{env } v \text{ pes err-v } s.$  evaluate-match True env s v pes err-v (run-eval-match env v pes err-v s)
⟨proof⟩

global-interpretation run: eval
  SOME f.  $\forall \text{env } e \text{ s.}$  evaluate True env s e (f env e s)
  SOME f.  $\forall \text{env } es \text{ s.}$  evaluate-list True env s es (f env es s)
  SOME f.  $\forall \text{env } v \text{ pes err-v } s.$  evaluate-match True env s v pes err-v (f env v pes err-v s)
defines
  run-eval = SOME f.  $\forall \text{env } e \text{ s.}$  evaluate True env s e (f env e s) and
  run-eval-list = SOME f.  $\forall \text{env } es \text{ s.}$  evaluate-list True env s es (f env es s) and
  run-eval-match = SOME f.  $\forall \text{env } v \text{ pes err-v } s.$  evaluate-match True env s v pes err-v (f env v pes err-v s)
⟨proof⟩

hide-fact run-eval
hide-fact run-eval-list
hide-fact run-eval-match

lemma fun-evaluate:
  evaluate-match True env s v pes err-v (map-prod id (map-result hd id) (fun-evaluate-match s env v pes err-v))
  evaluate-list True env s es (fun-evaluate s env es)
⟨proof⟩

global-interpretation fun: eval
   $\lambda \text{env } e \text{ s.}$  map-prod id (map-result hd id) (fun-evaluate s env [e])
   $\lambda \text{env } es \text{ s.}$  fun-evaluate s env es
   $\lambda \text{env } v \text{ pes err-v } s.$  map-prod id (map-result hd id) (fun-evaluate-match s env v pes err-v)
⟨proof⟩

lemmas big-fun-equivalence =
  fun.other-eval-eq[OF run.eval-axioms]
  —
  run-eval =
  ( $\lambda \text{env } e \text{ s.}$  map-prod id (map-result hd id) (fun-evaluate s env [e]))
  run-eval-list = ( $\lambda \text{env } es \text{ s.}$  fun-evaluate s env es)
  run-eval-match =
  ( $\lambda \text{env } v \text{ pes err-v } s.$ 
    map-prod id (map-result hd id) (fun-evaluate-match s env v pes err-v))

end

```

22.4 A simpler version with no clock parameter and factored-out matching

```

theory Big-Step-Unclocked
imports
  Semantic-Extras
  Big-Step-Determ
begin

inductive

evaluate-list :: (v)sem-env ⇒ 'ffi state ⇒ (exp)list ⇒ 'ffi state*((v)list),(v))result
⇒ bool
  and
evaluate :: (v)sem-env ⇒ 'ffi state ⇒ exp ⇒ 'ffi state*((v),(v))result ⇒ bool
  where

lit : ⋀ env l s.

evaluate env s (Lit l) (s, Rval (Litv l))

|
raise1 : ⋀ env e s1 s2 v1.
evaluate s1 env e (s2, Rval v1)
==>
evaluate s1 env (Raise e) (s2, Rerr (Rraise v1))

|
raise2 : ⋀ env e s1 s2 err.
evaluate s1 env e (s2, Rerr err)
==>
evaluate s1 env (Raise e) (s2, Rerr err)

|
handle1 : ⋀ s1 s2 env e v1 pes.
evaluate s1 env e (s2, Rval v1)
==>
evaluate s1 env (Handle e pes) (s2, Rval v1)

|
handle2 : ⋀ s1 s2 env e pes v1 bv.
evaluate env s1 e (s2, Rerr (Rraise v1)) ==>
match-result env s2 v1 pes v1 = Rval (e', env') ==>
evaluate (env () sem-env.v := nsAppend (alist-to-ns env') (sem-env.v env) ()) s2 e'
bv

```

```

==>
evaluate env s1 (Handle e pes) bv

|
handle2b :  $\bigwedge s1 s2 \text{env } e \text{ pes } v1.$ 
evaluate env s1 e (s2, Rerr (Rraise v1)) ==>
match-result env s2 v1 pes v1 = Rerr err
==>
evaluate env s1 (Handle e pes) (s2, Rerr err)

|
handle3 :  $\bigwedge s1 s2 \text{env } e \text{ pes } a.$ 
evaluate env s1 e (s2, Rerr (Rabort a))
==>
evaluate env s1 (Handle e pes) (s2, Rerr (Rabort a))

|
con1 :  $\bigwedge \text{env } cn \text{ es } vs \text{ s } s' \text{ v1}.$ 
do-con-check(c env) cn (List.length es) ==>
build-conv(c env) cn (List.rev vs) = Some v1 ==>
evaluate-list env s (List.rev es) (s', Rval vs)
==>
evaluate env s (Con cn es) (s', Rval v1)

|
con2 :  $\bigwedge \text{env } cn \text{ es } s.$ 
 $\neg (\text{do-con-check}(c \text{ env}) \text{ cn } (\text{List.length es}))$ 
==>
evaluate env s (Con cn es) (s, Rerr (Rabort Rtype-error))

|
con3 :  $\bigwedge \text{env } cn \text{ es } err \text{ s } s'.$ 
do-con-check(c env) cn (List.length es) ==>
evaluate-list env s (List.rev es) (s', Rerr err)
==>
evaluate env s (Con cn es) (s', Rerr err)

|
var1 :  $\bigwedge \text{env } n \text{ v1 } s.$ 
nsLookup(sem-env.v env) n = Some v1
==>
evaluate env s (Var n) (s, Rval v1)

```

```

|  

var2 :  $\bigwedge \text{env } n \ s.$   

nsLookup(sem-env.v env) n = None  

==>  

evaluate env s (Var n) (s, Rerr (Rabort Rtype-error))  

|  

fn :  $\bigwedge \text{env } n \ e \ s.$   

evaluate env s (Fun n e) (s, Rval (Closure env n e))  

|  

app1 :  $\bigwedge \text{env } es \ vs \ \text{env}' \ e \ bv \ s1 \ s2.$   

evaluate-list env s1 (List.rev es) (s2, Rval vs) ==>  

do-opapp (List.rev vs) = Some (env', e) ==>  

evaluate env' s2 e bv  

==>  

evaluate env s1 (App Opapp es) bv  

|  

app3 :  $\bigwedge \text{env } es \ vs \ s1 \ s2.$   

evaluate-list env s1 (List.rev es) (s2, Rval vs) ==>  

(do-opapp (List.rev vs) = None)  

==>  

evaluate env s1 (App Opapp es) (s2, Rerr (Rabort Rtype-error))  

|  

app4 :  $\bigwedge \text{env } op0 \ es \ vs \ res \ s1 \ s2 \ refs' \ ffi'.$   

evaluate-list env s1 (List.rev es) (s2, Rval vs) ==>  

do-app ((refs s2), (ffi s2)) op0 (List.rev vs) = Some ((refs', ffi'), res) ==>  

op0 ≠ Opapp  

==>  

evaluate env s1 (App op0 es) ((s2 (| refs := refs', ffi := ffi' |)), res)  

|  

app5 :  $\bigwedge \text{env } op0 \ es \ vs \ s1 \ s2.$   

evaluate-list env s1 (List.rev es) (s2, Rval vs) ==>  

do-app ((refs s2), (ffi s2)) op0 (List.rev vs) = None ==>  

op0 ≠ Opapp  

==>  

evaluate env s1 (App op0 es) (s2, Rerr (Rabort Rtype-error))
|
```

```

app6 :  $\bigwedge \text{env } op0 \text{ es err } s1 s2.$ 
 $\text{evaluate-list env } s1 (\text{List.rev es}) (s2, \text{Rerr err})$ 
 $\implies$ 
 $\text{evaluate env } s1 (\text{App } op0 \text{ es}) (s2, \text{Rerr err})$ 

| 

log1 :  $\bigwedge \text{env } op0 \text{ e1 e2 v1 e' bv } s1 s2.$ 
 $\text{evaluate env } s1 \text{ e1 } (s2, \text{Rval v1}) \implies$ 
 $(\text{do-log } op0 \text{ v1 e2} = \text{Some } (\text{Exp e'})) \implies$ 
 $\text{evaluate env } s2 \text{ e' bv}$ 
 $\implies$ 
 $\text{evaluate env } s1 (\text{Log } op0 \text{ e1 e2}) \text{ bv}$ 

| 

log2 :  $\bigwedge \text{env } op0 \text{ e1 e2 v1 bv } s1 s2.$ 
 $\text{evaluate env } s1 \text{ e1 } (s2, \text{Rval v1}) \implies$ 
 $(\text{do-log } op0 \text{ v1 e2} = \text{Some } (\text{Val bv}))$ 
 $\implies$ 
 $\text{evaluate env } s1 (\text{Log } op0 \text{ e1 e2}) (s2, \text{Rval bv})$ 

| 

log3 :  $\bigwedge \text{env } op0 \text{ e1 e2 v1 s1 s2.}$ 
 $\text{evaluate env } s1 \text{ e1 } (s2, \text{Rval v1}) \implies$ 
 $(\text{do-log } op0 \text{ v1 e2} = \text{None})$ 
 $\implies$ 
 $\text{evaluate env } s1 (\text{Log } op0 \text{ e1 e2}) (s2, \text{Rerr } (\text{Rabort Rtype-error}))$ 

| 

log4 :  $\bigwedge \text{env } op0 \text{ e1 e2 err } s s'.$ 
 $\text{evaluate env } s \text{ e1 } (s', \text{Rerr err})$ 
 $\implies$ 
 $\text{evaluate env } s (\text{Log } op0 \text{ e1 e2}) (s', \text{Rerr err})$ 

| 

if1 :  $\bigwedge \text{env } e1 e2 e3 v1 e' bv s1 s2.$ 
 $\text{evaluate env } s1 \text{ e1 } (s2, \text{Rval v1}) \implies$ 
 $(\text{do-if } v1 \text{ e2 e3} = \text{Some } e') \implies$ 
 $\text{evaluate env } s2 \text{ e' bv}$ 
 $\implies$ 
 $\text{evaluate env } s1 (\text{If } e1 \text{ e2 e3}) \text{ bv}$ 

|

```

```

if2 :  $\bigwedge \text{env } e1 \ e2 \ e3 \ v1 \ s1 \ s2.$ 
 $\text{evaluate env } s1 \ e1 \ (s2, Rval \ v1) \implies$ 
 $(\text{do-if } v1 \ e2 \ e3 = \text{None})$ 
 $\implies$ 
 $\text{evaluate env } s1 \ (\text{If } e1 \ e2 \ e3) \ (s2, Rerr \ (\text{Rabort } Rtype\text{-error}))$ 

| 

if3 :  $\bigwedge \text{env } e1 \ e2 \ e3 \ err \ s \ s'.$ 
 $\text{evaluate env } s \ e1 \ (s', Rerr \ err)$ 
 $\implies$ 
 $\text{evaluate env } s \ (\text{If } e1 \ e2 \ e3) \ (s', Rerr \ err)$ 

| 

mat1 :  $\bigwedge \text{env } e \ pes \ v1 \ bv \ s1 \ s2.$ 
 $\text{evaluate env } s1 \ e \ (s2, Rval \ v1) \implies$ 
 $\text{match-result env } s2 \ v1 \ pes \ Bindv = Rval \ (e', \text{env}') \implies$ 
 $\text{evaluate } (\text{env } () \ sem\text{-env}.v := nsAppend \ (\text{alist-to-ns env}') \ (sem\text{-env}.v \ env) \ ()) \ s2 \ e'$ 
 $bv$ 
 $\implies$ 
 $\text{evaluate env } s1 \ (\text{Mat } e \ pes) \ bv$ 

| 

mat1b :  $\bigwedge \text{env } e \ pes \ v1 \ s1 \ s2.$ 
 $\text{evaluate env } s1 \ e \ (s2, Rval \ v1) \implies$ 
 $\text{match-result env } s2 \ v1 \ pes \ Bindv = Rerr \ err$ 
 $\implies$ 
 $\text{evaluate env } s1 \ (\text{Mat } e \ pes) \ (s2, Rerr \ err)$ 

| 

mat2 :  $\bigwedge \text{env } e \ pes \ err \ s \ s'.$ 
 $\text{evaluate env } s \ e \ (s', Rerr \ err)$ 
 $\implies$ 
 $\text{evaluate env } s \ (\text{Mat } e \ pes) \ (s', Rerr \ err)$ 

| 

let1 :  $\bigwedge \text{env } n \ e1 \ e2 \ v1 \ bv \ s1 \ s2.$ 
 $\text{evaluate env } s1 \ e1 \ (s2, Rval \ v1) \implies$ 
 $\text{evaluate } (\text{env } () \ sem\text{-env}.v := (nsOptBind \ n \ v1 \ (sem\text{-env}.v \ env)) \ ()) \ s2 \ e2 \ bv$ 
 $\implies$ 
 $\text{evaluate env } s1 \ (\text{Let } n \ e1 \ e2) \ bv$ 

| 

let2 :  $\bigwedge \text{env } n \ e1 \ e2 \ err \ s \ s'.$ 

```

```

evaluate env s e1 (s', Rerr err)
==>
evaluate env s (Let n e1 e2) (s', Rerr err)

|
letrec1 :  $\bigwedge$  env funs e bv s.
distinct (List.map (  $\lambda x$  .
  (case x of (x,y,z) => x)) funs)  $\implies$ 
evaluate ( env (| sem-env.v := (build-rec-env funs env(sem-env.v env)) |)) s e bv
==>
evaluate env s (Letrec funs e) bv

|
letrec2 :  $\bigwedge$  env funs e s.
 $\neg$  (distinct (List.map (  $\lambda x$  .
  (case x of (x,y,z) => x)) funs))
==>
evaluate env s (Letrec funs e) (s, Rerr (Rabort Rtype-error))

|
tannot :  $\bigwedge$  env e t0 s bv.
evaluate env s e bv
==>
evaluate env s (Tannot e t0) bv

|
locannot :  $\bigwedge$  env e l s bv.
evaluate env s e bv
==>
evaluate env s (Lannot e l) bv

|
empty :  $\bigwedge$  env s.
evaluate-list env s [] (s, Rval [])
==>

|
cons1 :  $\bigwedge$  env e es v1 vs s1 s2 s3.
evaluate env s1 e (s2, Rval v1)  $\implies$ 
evaluate-list env s2 es (s3, Rval vs)
==>
evaluate-list env s1 (e # es) (s3, Rval (v1 # vs))

```

```

|  

cons2 :  $\bigwedge \text{env } e \text{ es err } s \text{ s'}$ .  

evaluate  $\text{env } s \text{ e } (s', \text{Rerr err})$   

==>  

evaluate-list  $\text{env } s \text{ (e } \# \text{ es) } (s', \text{Rerr err})$   

|  

cons3 :  $\bigwedge \text{env } e \text{ es v1 err s1 s2 s3}$ .  

evaluate  $\text{env } s1 \text{ e } (s2, \text{Rval v1}) \implies$   

evaluate-list  $\text{env } s2 \text{ es } (s3, \text{Rerr err})$   

==>  

evaluate-list  $\text{env } s1 \text{ (e } \# \text{ es) } (s3, \text{Rerr err})$   

lemma unclocked-sound:  

evaluate-list  $v \text{ s es bv} \implies \text{BigStep.evaluate-list False } v \text{ s es bv}$   

evaluate  $v \text{ s e bv'} \implies \text{BigStep.evaluate False } v \text{ s e bv'}$   

⟨proof⟩  

context begin  

private lemma unclocked-complete0:  

 $\text{BigStep.evaluate-match ck env s v0 pes err-v } (s', \text{bv}) \implies \neg ck \implies ($   

case  $\text{bv}$  of  

Rval  $v \implies$   

 $\exists e \text{ env'}$ .  

match-result  $\text{env } s \text{ v0 pes err-v} = \text{Rval } (e, \text{env'}) \wedge$   

evaluate  $(\text{env } () \text{ sem-env.v} := \text{nsAppend } (\text{alist-to-ns env'}) (\text{sem-env.v env}))$   

 $) \text{ s e } (s', \text{Rval v})$   

| Rerr  $err \implies$   

(match-result  $\text{env } s \text{ v0 pes err-v} = \text{Rerr err}) \vee$   

 $(\exists e \text{ env'}$ .  

match-result  $\text{env } s \text{ v0 pes err-v} = \text{Rval } (e, \text{env'}) \wedge$   

evaluate  $(\text{env } () \text{ sem-env.v} := \text{nsAppend } (\text{alist-to-ns env'}) (\text{sem-env.v env}))$   

 $) \text{ s e } (s', \text{Rerr err}))$   

 $\text{BigStep.evaluate-list ck v s es } (s', \text{bv0}) \implies \neg ck \implies \text{evaluate-list v s es } (s', \text{bv0})$   

 $\text{BigStep.evaluate ck v s e } (s', \text{bv}) \implies \neg ck \implies \text{evaluate v s e } (s', \text{bv})$   

⟨proof⟩  

lemma unclocked-complete:  

 $\text{BigStep.evaluate-list False } v \text{ s es bv'} \implies \text{evaluate-list v s es bv'}$   

 $\text{BigStep.evaluate False } v \text{ s e bv} \implies \text{evaluate v s e bv}$   

⟨proof⟩  

end  

lemma unclocked-eq:  

evaluate-list = BigStep.evaluate-list False

```

```

evaluate = BigStep.evaluate False
⟨proof⟩

lemma unclocked-determ:
  evaluate-list env s es r2a ==> evaluate-list env s es r2b ==> r2a = r2b
  evaluate env s e r3a ==> evaluate env s e r3b ==> r3a = r3b
⟨proof⟩

end

```

22.5 Lemmas about the clocked semantics

```

theory Big-Step-Clocked
imports

```

```

  Semantic-Extras

```

```

  Big-Step-Total

```

```

  Big-Step-Determ

```

```

begin

```

— From HOL4 bigClockScript.sml

```

lemma do-app-no-runtime-error:

```

```

  assumes do-app (refs s, ffi s) op0 (rev vs) = Some ((refs', ffi'), res)

```

```

  shows res ≠ Rerr (Abort Rtimeout-error)

```

```

⟨proof⟩

```

```

context

```

```

  notes do-app.simps[simp del]

```

```

begin

```

```

private lemma big-unclocked0:

```

```

  evaluate-match ck env s v pes err-v r1 ==> ck = False ==> snd r1 ≠ Rerr (Abort
  Rtimeout-error) ∧ (clock s) = (clock (fst r1))

```

```

  evaluate-list ck env s es r2 ==> ck = False ==> snd r2 ≠ Rerr (Abort Rtime-
  out-error) ∧ (clock s) = (clock (fst r2))

```

```

  evaluate ck env s e r3 ==> ck = False ==> snd r3 ≠ Rerr (Abort Rtimeout-error)
  ∧ (clock s) = (clock (fst r3))

```

```

⟨proof⟩

```

```

corollary big-unclocked-notimeout:

```

```

  evaluate-match False env s v pes err-v (s', r1) ==> r1 ≠ Rerr (Abort Rtime-
  out-error)

```

```

  evaluate-list False env s es (s', r2) ==> r2 ≠ Rerr (Abort Rtimeout-error)

```

```

  evaluate False env s e (s', r3) ==> r3 ≠ Rerr (Abort Rtimeout-error)

```

```

⟨proof⟩

```

```

corollary big-unclocked-unchanged:

```

```

  evaluate-match False env s v pes err-v (s', r1) ==> clock s = clock s'

```

```

  evaluate-list False env s es (s', r2) ==> clock s = clock s'

```

$\text{evaluate } \text{False env } s e (s', r3) \implies \text{clock } s = \text{clock } s'$
 ⟨proof⟩ **lemma** *big-unclocked1*:
 $\text{evaluate-match } ck \text{ env } s v \text{ pes err-v } r1 \implies \forall st' r. r1 = (st', r) \wedge r \neq Rerr (\text{Rabort Rtimeout-error})$
 $\longrightarrow \text{evaluate-match } \text{False env } (s \parallel \text{clock} := \text{cnt} \parallel) v \text{ pes err-v } ((st' \parallel \text{clock} := \text{cnt} \parallel), r)$
 $\text{evaluate-list } ck \text{ env } s es r2 \implies \forall st' r. r2 = (st', r) \wedge r \neq Rerr (\text{Rabort Rtimeout-error})$
 $\longrightarrow \text{evaluate-list } \text{False env } (s \parallel \text{clock} := \text{cnt} \parallel) es ((st' \parallel \text{clock} := \text{cnt} \parallel), r)$
 $\text{evaluate } ck \text{ env } s e r3 \implies \forall st' r. r3 = (st', r) \wedge r \neq Rerr (\text{Rabort Rtimeout-error})$
 $\longrightarrow \text{evaluate } \text{False env } (s \parallel \text{clock} := \text{cnt} \parallel) e ((st' \parallel \text{clock} := \text{cnt} \parallel), r)$
 ⟨proof⟩

lemma *big-unclocked-ignore*:
 $\text{evaluate-match } ck \text{ env } s v \text{ pes err-v } (st', r1) \implies r1 \neq Rerr (\text{Rabort Rtimeout-error}) \implies$
 $\text{evaluate-match } \text{False env } (s \parallel \text{clock} := \text{cnt} \parallel) v \text{ pes err-v } (st' \parallel \text{clock} := \text{cnt} \parallel, r1)$
 $\text{evaluate-list } ck \text{ env } s es (st', r2) \implies r2 \neq Rerr (\text{Rabort Rtimeout-error}) \implies$
 $\text{evaluate-list } \text{False env } (s \parallel \text{clock} := \text{cnt} \parallel) es (st' \parallel \text{clock} := \text{cnt} \parallel, r2)$
 $\text{evaluate } ck \text{ env } s e (st', r3) \implies r3 \neq Rerr (\text{Rabort Rtimeout-error}) \implies$
 $\text{evaluate } \text{False env } (s \parallel \text{clock} := \text{cnt} \parallel) e (st' \parallel \text{clock} := \text{cnt} \parallel, r3)$
 ⟨proof⟩

lemma *big-unclocked*:
assumes $\text{evaluate } \text{False env } s e (s', r) \implies r \neq Rerr (\text{Rabort Rtimeout-error})$
assumes $\text{evaluate } \text{False env } s e (s', r) \implies \text{clock } s = \text{clock } s'$
assumes $\text{evaluate } \text{False env } (s \parallel \text{clock} := \text{count1} \parallel) e ((s' \parallel \text{clock} := \text{count1} \parallel), r)$
shows $\text{evaluate } \text{False env } (s \parallel \text{clock} := \text{count2} \parallel) e ((s' \parallel \text{clock} := \text{count2} \parallel), r)$
 ⟨proof⟩ **lemma** *add-to-counter0*:
 $\text{evaluate-match } ck \text{ env } s v \text{ pes err-v } r1 \implies \forall s' r' \text{ extra. } (r1 = (s', r')) \wedge (r' \neq Rerr (\text{Rabort Rtimeout-error})) \wedge (ck = \text{True})$
 $\longrightarrow \text{evaluate-match } \text{True env } (s \parallel \text{clock} := (\text{clock } s + \text{extra}) \parallel) v \text{ pes err-v } ((s' \parallel \text{clock} := (\text{clock } s' + \text{extra}) \parallel), r')$
 $\text{evaluate-list } ck \text{ env } s es r2 \implies \forall s' r' \text{ extra. } (r2 = (s', r')) \wedge (r' \neq Rerr (\text{Rabort Rtimeout-error})) \wedge (ck = \text{True})$
 $\longrightarrow \text{evaluate-list } \text{True env } (s \parallel \text{clock} := (\text{clock } s + \text{extra}) \parallel) es ((s' \parallel \text{clock} := (\text{clock } s' + \text{extra}) \parallel), r')$
 $\text{evaluate } ck \text{ env } s e r3 \implies \forall s' r' \text{ extra. } (r3 = (s', r')) \wedge (r' \neq Rerr (\text{Rabort Rtimeout-error})) \wedge (ck = \text{True})$
 $\longrightarrow \text{evaluate } \text{True env } (s \parallel \text{clock} := (\text{clock } s + \text{extra}) \parallel) e ((s' \parallel \text{clock} := (\text{clock } s' + \text{extra}) \parallel), r')$
 ⟨proof⟩

corollary *add-to-counter*:
 $\text{evaluate-match } \text{True env } s v \text{ pes err-v } (s', r1) \implies r1 \neq Rerr (\text{Rabort Rtimeout-error}) \implies$
 $\text{evaluate-match } \text{True env } (s \parallel \text{clock} := \text{clock } s + \text{extra} \parallel) v \text{ pes err-v } ((s' \parallel \text{clock} := \text{clock } s' + \text{extra} \parallel), r1)$

$\text{evaluate-list } \text{True env } s \text{ es } (s', r2) \implies r2 \neq \text{Rerr} (\text{Rabort Rtimeout-error}) \implies$
 $\quad \text{evaluate-list } \text{True env } (s \parallel \text{clock} := (\text{clock } s) + \text{extra}) \text{ es } ((s' \parallel \text{clock} := (\text{clock } s') + \text{extra}), r2)$
 $\quad \text{evaluate } \text{True env } s \text{ e } (s', r3) \implies r3 \neq \text{Rerr} (\text{Rabort Rtimeout-error}) \implies$
 $\quad \quad \text{evaluate } \text{True env } (s \parallel \text{clock} := (\text{clock } s) + \text{extra}) \text{ e } ((s' \parallel \text{clock} := (\text{clock } s') + \text{extra}), r3)$
 $\langle \text{proof} \rangle$

lemma *add-clock*:

$\text{evaluate-match } ck \text{ env } s \text{ v pes err-v } r1 \implies \forall s' r'. (r1 = (s', r') \wedge ck = \text{False}) \implies$
 $\quad \rightarrow (\exists c. \text{evaluate-match } \text{True env } (s \parallel \text{clock} := c)) \text{ v pes err-v } ((s' \parallel \text{clock} := 0), r'))$
 $\text{evaluate-list } ck \text{ env } s \text{ es } r2 \implies \forall s' r'. (r2 = (s', r') \wedge ck = \text{False}) \implies$
 $\quad \rightarrow (\exists c. \text{evaluate-list } \text{True env } (s \parallel \text{clock} := c)) \text{ es } ((s' \parallel \text{clock} := 0), r'))$
 $\text{evaluate } ck \text{ env } s \text{ e } r3 \implies \forall s' r'. (r3 = (s', r') \wedge ck = \text{False}) \implies$
 $\quad \rightarrow (\exists c. \text{evaluate } \text{True env } (s \parallel \text{clock} := c)) \text{ e } ((s' \parallel \text{clock} := 0), r'))$
 $\langle \text{proof} \rangle$

lemma *clock-monotone*:

$\text{evaluate-match } ck \text{ env } s \text{ v pes err-v } r1 \implies \forall s' r'. r1 = (s', r') \wedge (ck = \text{True}) \implies$
 $\quad (clock \ s') \leq (clock \ s)$
 $\text{evaluate-list } ck \text{ env } s \text{ es } r2 \implies \forall s' r'. r2 = (s', r') \wedge (ck = \text{True}) \implies (clock \ s') \leq$
 $\quad (clock \ s)$
 $\text{evaluate } ck \text{ env } s \text{ e } r3 \implies \forall s' r'. r3 = (s', r') \wedge (ck = \text{True}) \implies (clock \ s') \leq$
 $\quad (clock \ s)$
 $\langle \text{proof} \rangle$

lemma *big-clocked-unclocked-equiv*:

$\text{evaluate } \text{False env } s \text{ e } (s', r1) =$
 $\quad (\exists c. \text{evaluate } \text{True env } (s \parallel \text{clock} := c)) \text{ e } ((s' \parallel \text{clock} := 0), r1) \wedge$
 $\quad r1 \neq \text{Rerr} (\text{Rabort Rtimeout-error}) \wedge (clock \ s) = (clock \ s') \text{ (is ?lhs = ?rhs)}$
 $\langle \text{proof} \rangle$

lemma *big-clocked-timeout-0*:

$\text{evaluate-match } ck \text{ env } s \text{ v pes err-v } r1 \implies \forall s'. r1 = (s', \text{Rerr} (\text{Rabort Rtimeout-error})) \wedge ck = \text{True} \implies (clock \ s') = 0$
 $\text{evaluate-list } ck \text{ env } s \text{ es } r2 \implies \forall s'. r2 = (s', \text{Rerr} (\text{Rabort Rtimeout-error})) \wedge ck = \text{True} \implies (clock \ s') = 0$
 $\text{evaluate } ck \text{ env } s \text{ e } r3 \implies \forall s'. r3 = (s', \text{Rerr} (\text{Rabort Rtimeout-error})) \wedge ck = \text{True} \implies (clock \ s') = 0$
 $\langle \text{proof} \rangle$

lemma *big-clocked-unclocked-equiv-timeout*:

$(\forall r. \neg \text{evaluate } \text{False env } s \text{ e } r) =$
 $(\forall c. \exists s'. \text{evaluate } \text{True env } (s \parallel \text{clock} := c) \text{ e } (s', \text{Rerr} (\text{Rabort Rtimeout-error})) \wedge (clock \ s') = 0) \text{ (is ?lhs = ?rhs)}$
 $\langle \text{proof} \rangle$

lemma *sub-from-counter*:

```

evaluate-match ck env s v pes err-v r1 ==>
  <math>\forall count\ count' s' r'.</math>
  <math>(clock\ s) = count + extra1 \wedge</math>
  <math>r1 = (s',r') \wedge</math>
  <math>(clock\ s') = count' + extra1 \wedge</math>
  <math>ck = True \longrightarrow</math>
  evaluate-match True env (s (|| clock := count ||)) v pes err-v ((s' (|| clock := count' ||)),r')
evaluate-list ck env s es r2 ==>
  <math>\forall count\ count' s' r'.</math>
  <math>(clock\ s) = count + extra2 \wedge</math>
  <math>r2 = (s',r') \wedge</math>
  <math>(clock\ s') = count' + extra2 \wedge</math>
  <math>ck = True \longrightarrow</math>
  evaluate-list True env (s (|| clock := count ||)) es ((s' (|| clock := count' ||)),r')
evaluate ck env s e r3 ==>
  <math>\forall count\ count' s' r'.</math>
  <math>(clock\ s) = count + extra3 \wedge</math>
  <math>r3 = (s',r') \wedge</math>
  <math>(clock\ s') = count' + extra3 \wedge</math>
  <math>ck = True \longrightarrow</math>
  evaluate True env (s (|| clock := count ||)) e ((s' (|| clock := count' ||)),r')
⟨proof⟩

```

lemma *clocked-min-counter*:

```

assumes evaluate True env s e (s',r')
shows evaluate True env (s (|| clock := (clock s) - (clock s') ||)) e ((s' (|| clock := 0 ||)),r')
⟨proof⟩

```

lemma *dec-evaluate-not-timeout*:

```

evaluate-dec False mn env s d (s',r) ==> r ≠ Rerr (Rabort Rtimeout-error)
⟨proof⟩

```

lemma *dec-unclocked-ignore*:

```

evaluate-dec ck mn env s d res ==>
  <math>\forall s' r\ count.\ res = (s',r) \wedge r \neq Rerr\ (Rabort\ Rtimeout-error) \longrightarrow</math>
  evaluate-dec False mn env (s (|| clock := count ||)) d (s' (|| clock := count ||),r)
⟨proof⟩ lemma dec-unclocked-1:
  assumes evaluate-dec False mn env s d (s',r)
  shows (r ≠ Rerr (Rabort Rtimeout-error)) ∧ (clock s) = (clock s')
⟨proof⟩ lemma dec-unclocked-2:
  assumes evaluate-dec False mn env (s (|| clock := count1 ||)) d ((s' (|| clock := count1 ||)),r)
  shows evaluate-dec False mn env (s (|| clock := count2 ||)) d ((s' (|| clock := count2 ||)),r)
⟨proof⟩

```

lemma *dec-unclocked*:

(*evaluate-dec False mn env s d (s',r)* \rightarrow ($r \neq Rerr$ (*Rabort Rtimeout-error*))) \wedge
 $(clock\ s) = (clock\ s')$) \wedge
 $(evaluate-dec False mn env (s (| clock := count1 |)) d ((s' (| clock := count1 |)),r)) \rightarrow$
 $(evaluate-dec False mn env (s (| clock := count2 |)) d ((s' (| clock := count2 |)),r))$
 $\langle proof \rangle$

corollary *big-clocked-unclocked-equiv-timeout-1*:

$(\forall r. \neg evaluate False env s e r) \implies$
 $(\forall c. \exists s'. evaluate True env (update-clock (\lambda-. c) s) e (s', Rerr (Rabort Rtimeout-error)) \wedge clock s' = 0)$
 $\langle proof \rangle$

lemma *not-evaluate-dec-timeout*:

assumes $\forall r. \neg evaluate-dec False mn env s d r$
shows $\exists r. evaluate-dec True mn env s d r \wedge snd r = Rerr (Rabort Rtimeout-error)$
 $\langle proof \rangle$

lemma *dec-clocked-total*: $\exists res. evaluate-dec True mn env s d res$
 $\langle proof \rangle$

lemma *dec-clocked-min-counter*:

evaluate-dec ck mn env s d res \implies $ck = True \implies$
 $evaluate-dec ck mn env (s (| clock := (clock\ s) - (clock\ (fst\ res)) |)) d (((fst\ res) (| clock := 0 |)), snd\ res)$
 $\langle proof \rangle$

lemma *dec-sub-from-counter*:

evaluate-dec ck mn env s d res \implies
 $(\forall count\ count'\ s'\ r. (clock\ s) = count + extra \wedge (clock\ s') = count' + extra \wedge res = (s',r) \wedge ck = True \implies$
 $evaluate-dec ck mn env (s (| clock := count |)) d ((s' (| clock := count' |)),r))$
 $\langle proof \rangle$

lemma *dec-clock-monotone*:

evaluate-dec ck mn env s d res \implies $ck = True \implies (clock\ (fst\ res)) \leq (clock\ s)$
 $\langle proof \rangle$

lemma *dec-add-clock*:

evaluate-dec ck mn env s d res \implies
 $\forall s' r. res = (s',r) \wedge ck = False \implies (\exists c. evaluate-dec True mn env (s (| clock := c |)) d ((s' (| clock := 0 |)),r))$
 $\langle proof \rangle$

lemma *dec-add-to-counter*:

evaluate-dec ck mn env s d res \implies

$\forall s' r \text{ extra. } res = (s',r) \wedge ck = \text{True} \wedge r \neq \text{Rerr (Rabort Rtimeout-error)} \longrightarrow$
 $\text{evaluate-dec True mn env } (s (\mid \text{clock} := (\text{clock } s) + \text{extra})) d ((s' (\mid \text{clock} := (\text{clock } s') + \text{extra}))),r)$

$\langle \text{proof} \rangle$

lemma dec-unclocked-unchanged:

$\text{evaluate-dec ck mn env } s d r \implies ck = \text{False} \implies (\text{snd } r) \neq \text{Rerr (Rabort Rtimeout-error)} \wedge (\text{clock } s) = (\text{clock } (\text{fst } r))$

$\langle \text{proof} \rangle$

lemma dec-clocked-unclocked-equiv:

$\text{evaluate-dec False mn env } s1 d (s2,r) =$
 $(\exists c. \text{evaluate-dec True mn env } (s1 (\mid \text{clock} := c))) d ((s2 (\mid \text{clock} := 0)),r) \wedge$
 $r \neq \text{Rerr (Rabort Rtimeout-error)} \wedge (\text{clock } s1) = (\text{clock } s2)) \text{ (is ?lhs = ?rhs)}$

$\langle \text{proof} \rangle$

lemma decs-add-clock:

$\text{evaluate-decs ck mn env } s ds res \implies$
 $\forall s' r. res = (s',r) \wedge ck = \text{False} \longrightarrow (\exists c. \text{evaluate-decs True mn env } (s (\mid \text{clock} := c))) ds (s' (\mid \text{clock} := 0),r))$

$\langle \text{proof} \rangle$

lemma decs-evaluate-not-timeout:

$\text{evaluate-decs ck mn env } s ds r \implies$
 $\forall s' r'. ck = \text{False} \wedge r = (s',r') \longrightarrow r' \neq \text{Rerr (Rabort Rtimeout-error)}$

$\langle \text{proof} \rangle$

lemma decs-unclocked-unchanged:

$\text{evaluate-decs ck mn env } s ds r \implies$
 $\forall s' r'. ck = \text{False} \wedge r = (s',r') \longrightarrow r' \neq \text{Rerr (Rabort Rtimeout-error)} \wedge (\text{clock } s) = (\text{clock } s')$

$\langle \text{proof} \rangle$

lemma decs-unclocked-ignore:

$\text{evaluate-decs ck mn env } s d res \implies \forall s' r \text{ count. } res = (s',r) \wedge r \neq \text{Rerr (Rabort Rtimeout-error)}$

$\text{evaluate-decs False mn env } (s (\mid \text{clock} := \text{count})) d ((s' (\mid \text{clock} := \text{count})),r)$

$\langle \text{proof} \rangle$ **lemma decs-unclocked-2:**

assumes $\text{evaluate-decs False mn env } (s (\mid \text{clock} := \text{count1})) ds ((s' (\mid \text{clock} := \text{count1})),r)$

shows $\text{evaluate-decs False mn env } (s (\mid \text{clock} := \text{count2})) ds ((s' (\mid \text{clock} := \text{count2})),r)$

$\langle \text{proof} \rangle$

lemma decs-unclocked:

$(\text{evaluate-decs False mn env } s ds (s',r) \longrightarrow r \neq \text{Rerr (Rabort Rtimeout-error)}) \wedge$
 $(\text{clock } s) = (\text{clock } s')$

$(\text{evaluate-decs False mn env } (s (\mid \text{clock} := \text{count1})) ds ((s' (\mid \text{clock} := \text{count1})),r) \wedge$

$|)),r) =$
 $\text{evaluate-decs } \text{False } mn \text{ env } (s (| \text{ clock } := \text{count2 } |)) \text{ ds } ((s' (| \text{ clock } := \text{count2 } |)),r))$
 $\langle \text{proof} \rangle$

lemma *not-evaluate-decs-timeout*:
assumes $\forall r. \neg \text{evaluate-decs } \text{False } mn \text{ env } s \text{ ds } r$
shows $\exists r. \text{evaluate-decs } \text{True } mn \text{ env } s \text{ ds } r \wedge (\text{snd } r) = \text{Rerr } (\text{Rabort Rtimeout-error})$
 $\langle \text{proof} \rangle$

lemma *decs-clocked-total*: $\exists res. \text{evaluate-decs } \text{True } mn \text{ env } s \text{ ds } res$
 $\langle \text{proof} \rangle$

lemma *decs-clock-monotone*:
 $\text{evaluate-decs } ck \text{ mn env } s \text{ d res} \implies ck = \text{True} \implies (\text{clock } (\text{fst } res)) \leq (\text{clock } s)$
 $\langle \text{proof} \rangle$

lemma *decs-sub-from-counter*:
 $\text{evaluate-decs } ck \text{ mn env } s \text{ d res} \implies$
 $\forall extra \text{ count } count' \text{ s' r'}$
 $(\text{clock } s) = \text{count} + extra \wedge (\text{clock } s') = \text{count}' + extra \wedge$
 $res = (s',r') \wedge ck = \text{True} \longrightarrow \text{evaluate-decs } ck \text{ mn env } (s (| \text{ clock } := \text{count } |) \text{ d } ((s' (| \text{ clock } := \text{count'} |)),r'))$
 $\langle \text{proof} \rangle$

lemma *decs-clocked-min-counter*:
assumes $\text{evaluate-decs } ck \text{ mn env } s \text{ ds res ck} = \text{True}$
shows $\text{evaluate-decs } ck \text{ mn env } (s (| \text{ clock } := \text{clock } s - (\text{clock } (\text{fst } res)) |) \text{ ds } (((\text{fst } res) (| \text{ clock } := 0 |),(\text{snd } res)))$
 $\langle \text{proof} \rangle$

lemma *decs-add-to-counter*:
 $\text{evaluate-decs } ck \text{ mn env } s \text{ d res} \implies \forall s' r extra. res = (s',r) \wedge ck = \text{True} \wedge r \neq \text{Rerr } (\text{Rabort Rtimeout-error}) \longrightarrow$
 $\text{evaluate-decs } \text{True } mn \text{ env } (s (| \text{ clock } := \text{clock } s + extra |) \text{ d } ((s' (| \text{ clock } := \text{clock } s' + extra |)),r))$
 $\langle \text{proof} \rangle$

lemma *top-evaluate-not-timeout*:
 $\text{evaluate-top } \text{False } env \text{ s tp } (s',r) \implies r \neq \text{Rerr } (\text{Rabort Rtimeout-error})$
 $\langle \text{proof} \rangle$

lemma *top-unclocked-ignore*:
assumes $\text{evaluate-top } ck \text{ env } s \text{ tp } (s',r) \text{ r } \neq \text{Rerr } (\text{Rabort Rtimeout-error})$
shows $\text{evaluate-top } \text{False } env \text{ s tp } ((s' (| \text{ clock } := \text{cnt } |)),r)$
 $\langle \text{proof} \rangle$

lemma *top-unclocked*:

(*evaluate-top False env s tp (s',r)* \longrightarrow (*r* \neq *Rerr (Rabort Rtimeout-error)*) \wedge
 $(\text{clock } s) = (\text{clock } s')$) \wedge
 $(\text{evaluate-top False env } (s \mid \text{clock} := \text{count1})) \text{ tp } ((s' \mid \text{clock} := \text{count1})), r)$
 $=$
 $\text{evaluate-top False env } (s \mid \text{clock} := \text{count2}) \text{ tp } ((s' \mid \text{clock} := \text{count2})), r)$
 $\langle \text{is } ?P \wedge ?Q \rangle$
 $\langle \text{proof} \rangle$

lemma *not-evaluate-top-timeout*:

assumes $\forall r. \neg \text{evaluate-top False env s tp r}$
shows $\exists r. \text{evaluate-top True env s tp r} \wedge (\text{snd } r) = \text{Rerr (Rabort Rtimeout-error)}$
 $\langle \text{proof} \rangle$

lemma *top-clocked-total*:

$\exists r. \text{evaluate-top True env s tp r}$
 $\langle \text{proof} \rangle$

lemma *top-clocked-min-counter*:

assumes *evaluate-top ck env s tp (s',r) ck*
shows *evaluate-top ck env (s | clock := clock s - clock s') tp (s' | clock := 0), r*
 $\langle \text{proof} \rangle$

lemma *top-add-clock*:

assumes *evaluate-top ck env s tp (s',r) $\neg ck$*
shows $\exists c. \text{evaluate-top True env } (s \mid \text{clock} := c) \text{ tp } ((s' \mid \text{clock} := 0)), r)$
 $\langle \text{proof} \rangle$

lemma *top-clocked-unclocked-equiv*:

evaluate-top False env s tp (s',r) =
 $(\exists c. \text{evaluate-top True env } (s \mid \text{clock} := c)) \text{ tp } ((s' \mid \text{clock} := 0)), r \wedge r \neq$
Rerr (Rabort Rtimeout-error) \wedge
 $(\text{clock } s) = (\text{clock } s')$ (**is** $?P = ?Q$)
 $\langle \text{proof} \rangle$

lemma *top-clock-monotone*:

evaluate-top ck env s tp (s',r) $\implies ck = \text{True} \implies (\text{clock } s') \leq (\text{clock } s)$
 $\langle \text{proof} \rangle$

lemma *top-sub-from-counter*:

assumes *evaluate-top ck env s tp (s',r) ck = True* $(\text{clock } s) = \text{cnt} + \text{extra}$
 $(\text{clock } s') = \text{cnt}' + \text{extra}$
shows *evaluate-top ck env (s | clock := cnt) tp ((s' | clock := cnt'), r)*
 $\langle \text{proof} \rangle$

lemma *top-add-to-counter*:

assumes *evaluate-top True env s d (s',r) r \neq Rerr (Rabort Rtimeout-error)*
shows *evaluate-top True env (s | clock := (clock s) + extra) d ((s' | clock*

$:= (\text{clock } s') + \text{extra} |)), r$
 $\langle \text{proof} \rangle$

lemma *prog-clock-monotone*:

evaluate-prog ck env s prog res \implies ck \implies (clock (fst res)) \leq (clock s)
 $\langle \text{proof} \rangle$

lemma *prog-unclocked-ignore*:

evaluate-prog ck env s prog res \implies $\forall \text{cnt } s' r. \text{res} = (s', r) \wedge r \neq \text{Rerr}$ (Rabort Rtimeout-error)
 $\longrightarrow \text{evaluate-prog False env } (s (| \text{clock} := \text{cnt} |)) \text{ prog } ((s' (| \text{clock} := \text{cnt} |)), r)$
 $\langle \text{proof} \rangle$

lemma *prog-unclocked-unchanged*:

evaluate-prog ck env s prog res \implies $\neg ck \implies (\text{snd res}) \neq \text{Rerr}$ (Rabort Rtimeout-error) \wedge (clock (fst res)) = (clock s)

$\langle \text{proof} \rangle$ **lemma** *prog-unclocked-1*:

assumes *evaluate-prog False env s prog (s', r)*
shows *r \neq Rerr* (Rabort Rtimeout-error) \wedge (clock s = clock s')

$\langle \text{proof} \rangle$ **lemma** *prog-unclocked-2*:

assumes *evaluate-prog False env (s (| clock := cnt1 |)) prog (s' (| clock := cnt1 |), r)*

shows *evaluate-prog False env (s (| clock := cnt2 |)) prog (s' (| clock := cnt2 |), r)*

$\langle \text{proof} \rangle$

lemma *prog-unclocked*:

(evaluate-prog False env s prog (s', r) \longrightarrow r \neq Rerr (Rabort Rtimeout-error) \wedge (clock s = clock s')) \wedge

(evaluate-prog False env (s (| clock := cnt1 |)) prog (s' (| clock := cnt1 |), r) =

evaluate-prog False env (s (| clock := cnt2 |)) prog (s' (| clock := cnt2 |), r))

$\langle \text{proof} \rangle$

lemma *not-evaluate-prog-timeout*:

assumes $\forall \text{res}. \neg \text{evaluate-prog False env s prog res}$

shows $\exists r. \text{evaluate-prog True env s prog r} \wedge \text{snd r} = \text{Rerr}$ (Rabort Rtimeout-error)

$\langle \text{proof} \rangle$

lemma *not-evaluate-whole-prog-timeout*:

assumes $\forall \text{res}. \neg \text{evaluate-whole-prog False env s prog res}$

shows $\exists r. \text{evaluate-whole-prog True env s prog r} \wedge \text{snd r} = \text{Rerr}$ (Rabort Rtimeout-error) (**is** ?P)

$\langle \text{proof} \rangle$

lemma *prog-add-to-counter*:

evaluate-prog ck env s prog res \implies $\forall s' r \text{ extra. res} = (s', r) \wedge ck = \text{True} \wedge r \neq \text{Rerr}$ (Rabort Rtimeout-error) \longrightarrow

evaluate-prog True env (s (| clock := (clock s) + extra |)) prog ((s' (| clock := (clock s') + extra |)), r)

$\langle proof \rangle$

```

lemma prog-sub-from-counter:
  evaluate-prog ck env s prog res  $\implies$ 
   $\forall$  extra cnt cnt' s' r.
     $(clock \ s) = extra + cnt \wedge (clock \ s') = extra + cnt' \wedge res = (s',r) \wedge ck =$ 
  True  $\longrightarrow$ 
  evaluate-prog ck env  $(s \ (| \ clock := \ cnt \ |))$  prog  $((s' \ (| \ clock := \ cnt' \ |)),r)$ 
   $\langle proof \rangle$ 

```

```

lemma prog-clocked-min-counter:
  assumes evaluate-prog True env s prog  $(s', r)$ 
  shows evaluate-prog True env  $(s \ (| \ clock := (clock \ s) - (clock \ s') \ |))$  prog  $((s' \ (| \ clock := 0 \ |)), r)$ 
   $\langle proof \rangle$ 

```

```

lemma prog-add-clock:
  evaluate-prog False env s prog  $(s', res) \implies \exists c.$  evaluate-prog True env  $(s \ (| \ clock$ 
 $= c \ |))$  prog  $((s' \ (| \ clock := 0 \ |)), res)$ 
   $\langle proof \rangle$ 

```

```

lemma prog-clocked-unclocked-equiv:
  evaluate-prog False env s prog  $(s', r) =$ 
   $(\exists c.$  evaluate-prog True env  $(s \ (| \ clock := c \ |))$  prog  $((s' \ (| \ clock := 0 \ |)), r) \wedge$ 
   $r \neq Rerr \ (Rabort \ Rtimeout-error) \wedge (clock \ s) = (clock \ s') \ (\text{is } ?lhs =$ 
   $?rhs)$ 
   $\langle proof \rangle$ 

```

end

```

lemma clocked-evaluate:
   $(\exists k.$  BigStep.evaluate True env  $(update-clock (\lambda-. \ k) \ s) \ e \ (s', r) \wedge r \neq Rerr$ 
 $(Rabort \ Rtimeout-error)) =$ 
   $(\exists k.$  BigStep.evaluate True env  $(update-clock (\lambda-. \ k) \ s) \ e \ ((update-clock (\lambda-. \ 0)$ 
 $s'), r) \wedge r \neq Rerr \ (Rabort \ Rtimeout-error))$ 
   $\langle proof \rangle$ 

```

end

22.6 An even simpler version without mutual induction

```

theory Big-Step-Unclocked-Single
  imports Big-Step-Unclocked Big-Step-Clocked Evaluate-Single Big-Step-Fun-Equiv
  begin

```

```

inductive evaluate-list :: 
  ('ffi state  $\Rightarrow$  exp  $\Rightarrow$  'ffi state*(v,v) result  $\Rightarrow$  bool)  $\Rightarrow$ 

```

```

'ffi state ⇒ exp list ⇒ 'ffi state*(v list, v) result ⇒ bool for P where
empty:
evaluate-list P s [] (s, Rval []) |

cons1:
P s1 e (s2, Rval v) ⇒
evaluate-list P s2 es (s3, Rval vs) ⇒
evaluate-list P s1 (e#es) (s3, Rval (v#vs)) |

cons2:
P s1 e (s2, Rerr err) ⇒
evaluate-list P s1 (e#es) (s2, Rerr err) |

cons3:
P s1 e (s2, Rval v) ⇒
evaluate-list P s2 es (s3, Rerr err) ⇒
evaluate-list P s1 (e#es) (s3, Rerr err)

lemma evaluate-list-mono-strong[intro?]:
assumes evaluate-list R s es r
assumes ⋀s e r. e ∈ set es ⇒ R s e r ⇒ Q s e r
shows evaluate-list Q s es r
⟨proof⟩

lemma evaluate-list-mono[mono]:
assumes R ≤ Q
shows evaluate-list R ≤ evaluate-list Q
⟨proof⟩

inductive evaluate :: v sem-env ⇒ 'ffi state ⇒ exp ⇒ 'ffi state*(v,v) result ⇒ bool
where

lit:
evaluate env s (Lit l) (s, Rval (Litv l)) |

raise1:
evaluate env s1 e (s2, Rval v) ⇒
evaluate env s1 (Raise e) (s2, Rerr (Rraise v)) |

raise2:
evaluate env s1 e (s2, Rerr err) ⇒
evaluate env s1 (Raise e) (s2, Rerr err) |

handle1:
evaluate env s1 e (s2, Rval v) ⇒
evaluate env s1 (Handle e pes) (s2, Rval v) |

handle2:
evaluate env s1 e (s2, Rerr (Rraise v)) ⇒

```

```

match-result env s2 v pes v = Rval (e', env') =>
  evaluate (env () sem-env.v := nsAppend (alist-to-ns env') (sem-env.v env) ()) s2
  e' bv =>
    evaluate env s1 (Handle e pes) bv |

handle2b:
  evaluate env s1 e (s2, Rerr (Rraise v)) =>
  match-result env s2 v pes v = Rerr err =>
    evaluate env s1 (Handle e pes) (s2, Rerr err) |

handle3:
  evaluate env s1 e (s2, Rerr (Rabort a)) =>
    evaluate env s1 (Handle e pes) (s2, Rerr (Rabort a)) |

con1:
  do-con-check (c env) cn (length es) =>
  build-conv (c env) cn (rev vs) = Some v =>
    evaluate-list (evaluate env) s1 (rev es) (s2, Rval vs) =>
    evaluate env s1 (Con cn es) (s2, Rval v) |

con2:
  ¬(do-con-check (c env) cn (length es)) =>
    evaluate env s (Con cn es) (s, Rerr (Rabort Rtype-error)) |

con3:
  do-con-check (c env) cn (length es) =>
  evaluate-list (evaluate env) s1 (rev es) (s2, Rerr err) =>
    evaluate env s1 (Con cn es) (s2, Rerr err) |

var1:
  nsLookup (sem-env.v env) n = Some v =>
    evaluate env s (Var n) (s, Rval v) |

var2:
  nsLookup (sem-env.v env) n = None =>
    evaluate env s (Var n) (s, Rerr (Rabort Rtype-error)) |

fn:
  evaluate env s (Fun n e) (s, Rval (Closure env n e)) |

app1:
  evaluate-list (evaluate env) s1 (rev es) (s2, Rval vs) =>
  do-opapp (rev vs) = Some (env', e) =>
    evaluate env' s2 e bv =>
    evaluate env s1 (App Opapp es) bv |

app3:
  evaluate-list (evaluate env) s1 (rev es) (s2, Rval vs) =>
  (do-opapp (rev vs) = None) =>

```

```

evaluate env s1 (App Opapp es) (s2, Rerr (Rabort Rtype-error)) |

app4:
evaluate-list (evaluate env) s1 (rev es) (s2, Rval vs) ==>
do-app (refs s2, ffi s2) op0 (rev vs) = Some ((refs', ffi'), res) ==>
op0 ≠ Opapp ==>
evaluate env s1 (App op0 es) (s2 (refs:=refs', ffi:=ffi')), res) |

app5:
evaluate-list (evaluate env) s1 (rev es) (s2, Rval vs) ==>
do-app (refs s2, ffi s2) op0 (rev vs) = None ==>
op0 ≠ Opapp ==>
evaluate env s1 (App op0 es) (s2, Rerr (Rabort Rtype-error)) |

app6:
evaluate-list (evaluate env) s1 (rev es) (s2, Rerr err) ==>
evaluate env s1 (App op0 es) (s2, Rerr err) |

log1:
evaluate env s1 e1 (s2, Rval v1) ==>
do-log op0 v1 e2 = Some (Exp e') ==>
evaluate env s2 e' bv ==>
evaluate env s1 (Log op0 e1 e2) bv |

log2:
evaluate env s1 e1 (s2, Rval v1) ==>
(do-log op0 v1 e2 = Some (Val bv)) ==>
evaluate env s1 (Log op0 e1 e2) (s2, Rval bv) |

log3:
evaluate env s1 e1 (s2, Rval v1) ==>
(do-log op0 v1 e2 = None) ==>
evaluate env s1 (Log op0 e1 e2) (s2, Rerr (Rabort Rtype-error)) |

log4:
evaluate env s e1 (s', Rerr err) ==>
evaluate env s (Log op0 e1 e2) (s', Rerr err) |

if1:
evaluate env s1 e1 (s2, Rval v1) ==>
do-if v1 e2 e3 = Some e' ==>
evaluate env s2 e' bv ==>
evaluate env s1 (If e1 e2 e3) bv |

if2:
evaluate env s1 e1 (s2, Rval v1) ==>
(do-if v1 e2 e3 = None) ==>
evaluate env s1 (If e1 e2 e3) (s2, Rerr (Rabort Rtype-error)) |

```

```

if3:
evaluate env s e1 (s', Rerr err) ==>
evaluate env s (If e1 e2 e3) (s', Rerr err) |

mat1:
evaluate env s1 e (s2, Rval v1) ==>
match-result env s2 v1 pes Bindv = Rval (e', env') ==>
evaluate (env () sem-env.v := nsAppend (alist-to-ns env') (sem-env.v env) ()) s2
e' bv ==>
evaluate env s1 (Mat e pes) bv |

mat1b:
evaluate env s1 e (s2, Rval v1) ==>
match-result env s2 v1 pes Bindv = Rerr err ==>
evaluate env s1 (Mat e pes) (s2, Rerr err) |

mat2:
evaluate env s e (s', Rerr err) ==>
evaluate env s (Mat e pes) (s', Rerr err) |

let1:
evaluate env s1 e1 (s2, Rval v1) ==>
evaluate (env () sem-env.v := (nsOptBind n v1 (sem-env.v env)) ()) s2 e2 bv ==>
evaluate env s1 (Let n e1 e2) bv |

let2:
evaluate env s e1 (s', Rerr err) ==>
evaluate env s (Let n e1 e2) (s', Rerr err) |

letrec1:
distinct (List.map (λx .
(case x of (x,y,z) => x)) funs) ==>
evaluate (env () sem-env.v := (build-rec-env funs env (sem-env.v env)) ()) s e bv
==>
evaluate env s (Letrec funs e) bv |

letrec2:
¬ (distinct (List.map (λx .
(case x of (x,y,z) => x)) funs)) ==>
evaluate env s (Letrec funs e) (s, Rerr (Rabort Rtype-error)) |

tannot:
evaluate env s e bv ==>
evaluate env s (Tannot e t0) bv |

locannot:
evaluate env s e bv ==>
evaluate env s (Lannot e l) bv

```

lemma *unclocked-single-list-sound*:
evaluate-list (*Big-Step-Unclocked.evaluate* *v*) *s es bv* \implies *Big-Step-Unclocked.evaluate-list*
v s es bv
{proof}

lemma *unclocked-single-sound*:
evaluate v s e bv \implies *Big-Step-Unclocked.evaluate v s e bv*
{proof}

lemma *unclocked-single-complete*:
Big-Step-Unclocked.evaluate-list v s es bv1 \implies *evaluate-list* (*evaluate v*) *s es bv1*
Big-Step-Unclocked.evaluate v s e bv2 \implies *evaluate v s e bv2*
{proof}

corollary *unclocked-single-eq*:
evaluate = Big-Step-Unclocked.evaluate
{proof}

corollary *unclocked-single-eq'*:
evaluate = BigStep.evaluate False
{proof}

corollary *unclocked-single-determ*:
evaluate env s e r3a \implies *evaluate env s e r3b* \implies *r3a = r3b*
{proof}

lemma *unclocked-single-fun-eq*:
 $((\exists k. \text{Evaluate-Single.evaluate env } (s \parallel \text{clock} := k)) \text{ e} = (s', r)) \wedge r \neq \text{Rerr}$
 $(\text{Rabort Rtimeout-error}) \wedge (\text{clock } s) = (\text{clock } s') =$
evaluate env s e (s',r)
{proof}

end

Chapter 23

Matching adaptation

```
theory Matching
imports Semantic-Extras
begin

context begin

qualified fun fold2 where
fold2 f err [] [] init = init |
fold2 f err (x # xs) (y # ys) init = fold2 f err xs ys (f x y init) |
fold2 _ err _ _ _ = err

qualified lemma fold2-cong[fundef-cong]:
assumes init1 = init2 err1 = err2 xs1 = xs2 ys1 = ys2
assumes \ $\bigwedge$  init x y. x ∈ set xs1  $\implies$  y ∈ set ys1  $\implies$  f x y init = g x y init
shows fold2 f err1 xs1 ys1 init1 = fold2 g err2 xs2 ys2 init2
⟨proof⟩

fun pmatch-single :: ((string),(string),(nat*tid-or-exn))namespace  $\Rightarrow$ ((v)store-v)list
 $\Rightarrow$  pat  $\Rightarrow$  v  $\Rightarrow$ (string*v)list  $\Rightarrow$ ((string*v)list)match-result where
pmatch-single envC s Pany v' env = ( Match env ) |
pmatch-single envC s (Pvar x) v' env = ( Match ((x,v')# env)) |
pmatch-single envC s (Plit l) (Litv l') env = (
  if l = l' then
    Match env
  else if lit-same-type l l' then
    No-match
  else
    Match-type-error )
pmatch-single envC s (Pcon (Some n) ps) (Conv (Some (n', t')) vs) env =
(case nsLookup envC n of
  Some (l, t1) =>
    if same-tid t1 t'  $\wedge$  (List.length ps = l) then
      if same-ctor (id-to-n n, t1) (n',t') then
        fold2 (λp v m. case m of
```

```

Match env  $\Rightarrow$  pmatch-single envC s p v env
|  $m \Rightarrow m$ ) Match-type-error ps vs (Match env)
else
  No-match
else
  Match-type-error
| - => Match-type-error
) |
pmatch-single envC s (Pcon None ps) (Conv None vs) env = (
  if List.length ps = List.length vs then
    fold2 ( $\lambda p v m.$  case m of
      Match env  $\Rightarrow$  pmatch-single envC s p v env
      |  $m \Rightarrow m$ )
      Match-type-error ps vs (Match env)
    else
      Match-type-error ) |
  pmatch-single envC s (Pref p) (Loc lnum) env =
  (case store-lookup lnum s of
    Some (Refv v2) => pmatch-single envC s p v2 env
    | Some - => Match-type-error
    | None => Match-type-error
  ) |
  pmatch-single envC s (Ptannot p t1) v2 env = pmatch-single envC s p v2 env |
  pmatch-single envC - - - env = Match-type-error

private lemma pmatch-list-length-neq:
length vs  $\neq$  length ps  $\implies$  fold2( $\lambda p v m.$  case m of
  Match env  $\Rightarrow$  pmatch-single cenv s p v env
  |  $m \Rightarrow m$ ) Match-type-error ps vs m = Match-type-error
⟨proof⟩ lemma pmatch-list-nomatch:
length vs = length ps  $\implies$  fold2( $\lambda p v m.$  case m of
  Match env  $\Rightarrow$  pmatch-single cenv s p v env
  |  $m \Rightarrow m$ ) Match-type-error ps vs No-match = No-match
⟨proof⟩ lemma pmatch-list-typerr:
length vs = length ps  $\implies$  fold2( $\lambda p v m.$  case m of
  Match env  $\Rightarrow$  pmatch-single cenv s p v env
  |  $m \Rightarrow m$ ) Match-type-error ps vs Match-type-error = Match-type-error
⟨proof⟩ lemma pmatch-single-eq0:
length ps = length vs  $\implies$  pmatch-list cenv s ps vs env = fold2( $\lambda p v m.$  case m of
  Match env  $\Rightarrow$  pmatch-single cenv s p v env
  |  $m \Rightarrow m$ ) Match-type-error ps vs (Match env)
pmatch cenv s p v0 env = pmatch-single cenv s p v0 env
⟨proof⟩

lemma pmatch-single-equiv: pmatch = pmatch-single
⟨proof⟩

end

```

export-code *pmatch-single* **checking** *SML*

end

Chapter 24

Code generation

```
theory CakeML-Code
imports
  Evaluate-Single
  Matching
  generated/CakeML/PrimTypes
begin

  hide-const (open) Lib.the

  declare evaluate-list-eq[code-unfold]
  declare fix-clock-evaluate[code-unfold]
  declare fun-evaluate-equiv[code]
  declare pmatch-single-equiv[code]

  declare [[code abort: failwith fp64-negate fp64-sqrt fp64-sub fp64-mul fp64-div fp64-add
            fp64-abs]]

  definition empty-ffi-state :: unit ffi-state where
    empty-ffi-state = initial-ffi-state (λ- - - -. Oracle-fail) ()

  context begin

    private definition prim-sem-res where
      prim-sem-res = Option.the (prim-sem-env empty-ffi-state)

    ⟨ML⟩

    value [simp] prim-sem-res

    definition prim-sem-env where prim-sem-env = snd prim-sem-res
    definition prim-sem-state where prim-sem-state = fst prim-sem-res

  end
```

```
export-code evaluate fun-evaluate fun-evaluate-prog prim-sem-env
checking SML

— Test
lemma snd (evaluate prim-sem-env prim-sem-state (Lit (IntLit 1))) = Rval (Litv
(IntLit 1))
  {proof}

end
```

Chapter 25

Quickcheck setup (fishy)

```
theory CakeML-Quickcheck
imports
  generated/CakeML/SemanticPrimitives
begin

datatype-compat namespace
datatype-compat t
datatype-compat pat
datatype-compat sem-env

context begin

qualified definition handle-0 where
handle-0 n = Handle n []

qualified definition handle-1 where
handle-1 n p1 e1 = Handle n [(p1, e1)]

qualified definition handle-2 where
handle-2 n p1 e1 p2 e2 = Handle n [(p1, e1), (p2, e2)]

qualified definition con-0 where
con-0 n = Con n []

qualified definition con-1 where
con-1 n e1 = Con n [e1]

qualified definition con-2 where
con-2 n e1 e2 = Con n [e1, e2]

qualified definition app-0 where
app-0 n = App n []

qualified definition app-1 where
```

```

app-1 n e1 = App n [e1]

qualified definition app-2 where
app-2 n e1 e2 = App n [e1, e2]

qualified definition mat-0 where
mat-0 n = Mat n []

qualified definition mat-1 where
mat-1 n p1 e1 = Mat n [(p1, e1)]

qualified definition mat-2 where
mat-2 n p1 e1 p2 e2 = Mat n [(p1, e1), (p2, e2)]

qualified definition conv-0 where
conv-0 n = Conv n []

qualified definition conv-1 where
conv-1 n v1 = Conv n [v1]

qualified definition conv-2 where
conv-2 n v1 v2 = Conv n [v1, v2]

qualified definition closure-dummy where
closure-dummy es var = Closure (| v = Bind [] [], c = Bind [] [] |) es var

qualified definition reclosure-dummy where
reclosure-dummy es var = Reclosure (| v = Bind [] [], c = Bind [] [] |) es var

qualified definition vectorv-0 where
vectorv-0 = Vectorv []

qualified definition vectorv-1 where
vectorv-1 v1 = Vectorv [v1]

qualified definition vectorv-2 where
vectorv-2 v1 v2 = Vectorv [v1, v2]

end

quickcheck-generator exp0
  constructors:
    Raise,
    CakeML-Quickcheck.handle-0,
    CakeML-Quickcheck.handle-1,
    CakeML-Quickcheck.handle-2,
    Lit,
    CakeML-Quickcheck.con-0,
    CakeML-Quickcheck.con-1,

```

```

CakeML-Quickcheck.con-2,
Var,
Fun,
CakeML-Quickcheck.app-0,
CakeML-Quickcheck.app-1,
CakeML-Quickcheck.app-2,
Log,
If,
CakeML-Quickcheck.mat-0,
CakeML-Quickcheck.mat-1,
CakeML-Quickcheck.mat-2,
Let,
Tannot,
Lannot

```

quickcheck-generator *v*

constructors:

```

Litv,
CakeML-Quickcheck.conv-0,
CakeML-Quickcheck.conv-1,
CakeML-Quickcheck.conv-2,
CakeML-Quickcheck.closure-dummy,
CakeML-Quickcheck.recclosure-dummy,
Loc,
CakeML-Quickcheck.vectorv-0,
CakeML-Quickcheck.vectorv-1,
CakeML-Quickcheck.vectorv-2

```

quickcheck-generator *dec*

constructors: *Dlet*, *Dletrec*, *Dtype*, *Dtabbrev*, *Dern*

lemma

```

fixes t :: dec
shows t ≠ t
quickcheck [expect = counterexample, timeout = 90]
quickcheck [random, expect = counterexample, timeout = 90]
⟨proof⟩

```

lemma

```

fixes t :: v
shows t ≠ t
quickcheck [expect = counterexample, timeout = 90]
quickcheck [random, expect = counterexample, timeout = 90]
⟨proof⟩

```

end

Chapter 26

CakeML Compiler

```
theory CakeML-Compiler
imports
  generated/CakeML/Ast
  Show.Show-Instances
keywords cakeml :: diag
begin

  hide-const (open) Lem-string.concat

  ⟨ML⟩

end
```