

A General Theory of Syntax with Bindings

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Abstract

We formalize a theory of syntax with bindings that has been developed and refined over the last decade to support several large formalization efforts. Terms are defined for an arbitrary number of constructors of varying numbers of inputs, quotiented to alpha-equivalence and sorted according to a binding signature. The theory includes many properties of the standard operators on terms: substitution, swapping and freshness. It also includes bindings-aware induction and recursion principles and support for semantic interpretation. This work has been presented in the ITP 2017 paper “A Formalized General Theory of Syntax with Bindings”.

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1 Quasi-Terms with Swapping and Freshness

```
theory QuasiTerms-Swap-Fresh imports Preliminaries
begin
```

This section defines and studies the (totally free) datatype of quasi-terms and the notions of freshness and swapping variables for them. “Quasi” refers to the fact that these items are not (yet) factored to alpha-equivalence. We shall later call “terms” those alpha-equivalence classes.

1.1 The datatype of quasi-terms with bindings

```
datatype
('index,'bindex,'varSort,'var,'opSym)qTerm =
  qVar 'varSort 'var
  |qOp 'opSym ('index, (('index,'bindex,'varSort,'var,'opSym)qTerm))input
    ('bindex, (('index,'bindex,'varSort,'var,'opSym)qAbs)) input
and
('index,'bindex,'varSort,'var,'opSym)qAbs =
  qAbs 'varSort 'var ('index,'bindex,'varSort,'var,'opSym)qTerm
```

Above:

- “Var” stands for “variable injection”
- “Op” stands for “operation”
- “opSym” stands for “operation symbol”
- “q” stands for “quasi”
- “Abs” stands for “abstraction”

Thus, a quasi-term is either (an injection of) a variable, or an operation symbol applied to a term-input and an abstraction-input (where, for any type T , T -inputs are partial maps from indexes to T . A quasi-abstraction is essentially a pair (variable,quasi-term).

```
type-synonym ('index,'bindex,'varSort,'var,'opSym)qTermItem =
('index,'bindex,'varSort,'var,'opSym)qTerm +
```

```

('index,'bindex,'varSort,'var,'opSym)qAbs

abbreviation termIn :: ('index,'bindex,'varSort,'var,'opSym)qTerm  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qTermItem
where termIn X == Inl X

abbreviation absIn :: ('index,'bindex,'varSort,'var,'opSym)qAbs  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qTermItem
where absIn A == Inr A

```

1.2 Induction principles

```

definition qTermLess :: ('index,'bindex,'varSort,'var,'opSym)qTermItem rel
where
qTermLess == {(termIn X, termIn(qOp delta inp binp))| X delta inp binp i. inp i = Some X}  $\cup$ 
{(absIn A, termIn(qOp delta inp binp))| A delta inp binp i. binp i = Some A}  $\cup$ 
{(termIn X, absIn (qAbs xs x X))| X xs x. True}

```

This induction will be used only temporarily, until we get a better one, involving swapping:

```

lemma qTerm-rawInduct[case-names Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm and
A :: ('index,'bindex,'varSort,'var,'opSym)qAbs and phi phiAbs
assumes
Var:  $\bigwedge$  xs x. phi (qVar xs x) and
Op:  $\bigwedge$  delta inp binp. [liftAll phi inp; liftAll phiAbs binp]  $\Longrightarrow$  phi (qOp delta inp binp) and
Abs:  $\bigwedge$  xs x X. phi X  $\Longrightarrow$  phiAbs (qAbs xs x X)
shows phi X  $\wedge$  phiAbs A
by (induct rule: qTerm-qAbs.induct)
(fastforce intro!: Var Op Abs rangeI simp: liftAll-def)+
```

```

lemma qTermLess-wf: wf qTermLess
unfolding wf-def proof safe
fix chi item
assume *:  $\forall$  item. ( $\forall$  item'. (item', item)  $\in$  qTermLess  $\longrightarrow$  chi item')  $\longrightarrow$  chi item
show chi item
proof-
fix X A
have chi (termIn X)  $\wedge$  chi (absIn A)
apply(induct rule: qTerm-rawInduct)
using * unfolding qTermLess-def liftAll-def by blast+
}
thus ?thesis by(cases item) auto
qed
qed

```

```

lemma qTermLessPlus-wf: wf (qTermLess ^+)
using qTermLess-wf wf-trancl by auto

```

The skeleton of a quasi-term item – this is the generalization of the size function from the case of finitary syntax. We use the skeleton later for proving correct various recursive function definitions, notably that of “alpha”.

```

function
qSkel :: ('index,'bindex,'varSort,'var,'opSym)qTerm  $\Rightarrow$  ('index,'bindex)tree
and
qSkelAbs :: ('index,'bindex,'varSort,'var,'opSym)qAbs  $\Rightarrow$  ('index,'bindex)tree
where
qSkel (qVar xs x) = Branch ( $\lambda i.$  None) ( $\lambda i.$  None)
|
qSkel (qOp delta inp binp) = Branch (lift qSkel inp) (lift qSkelAbs binp)
|
qSkelAbs (qAbs xs x X) = Branch ( $\lambda i.$  Some(qSkel X)) ( $\lambda i.$  None)
by(pat-completeness, auto)
termination by(relation qTermLess, simp add: qTermLess-wf, auto simp add: qTermLess-def)

```

Next is a template for generating induction principles whenever we come up with relation on terms included in the kernel of the skeleton operator.

```

lemma qTerm-templateInduct[case-names Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm
and A :: ('index,'bindex,'varSort,'var,'opSym)qAbs
and phi phiAbs and rel
assumes
REL:  $\bigwedge X Y. (X,Y) \in rel \implies qSkel Y = qSkel X$  and
Var:  $\bigwedge xs x. phi (qVar xs x)$  and
Op:  $\bigwedge delta inp binp. [liftAll phi inp; liftAll phiAbs binp]$ 
 $\implies phi (qOp delta inp binp)$  and
Abs:  $\bigwedge xs x X. (\bigwedge Y. (X,Y) \in rel \implies phi Y) \implies phiAbs (qAbs xs x X)$ 
shows phi X  $\wedge$  phiAbs A
proof-
{fix T
have  $\forall X A. (T = qSkel X \longrightarrow phi X) \wedge (T = qSkelAbs A \longrightarrow phiAbs A)$ 
proof(induct rule: treeLess-induct)
case (1 T')
show ?case apply safe
subgoal for X -
using assms 1 unfolding treeLess-def liftAll-def
by (cases X) (auto simp add: lift-def, metis option.simps(5))
subgoal for - A apply (cases A)
using assms 1 unfolding treeLess-def by simp .
qed
}
thus ?thesis by blast
qed

```

A modification of the canonical immediate-subterm relation on quasi-terms, that takes into account a relation assumed included in the skeleton kernel.

```

definition qTermLess-modulo :: 
  ('index,'bindex,'varSort,'var,'opSym)qTerm rel  $\Rightarrow$ 
  ('index,'bindex,'varSort,'var,'opSym)qTermItem rel
where
qTermLess-modulo rel == 
  {(termIn X, termIn(qOp delta inp binp))| X delta inp binp i. inp i = Some X}  $\cup$ 
  {(absIn A, termIn(qOp delta inp binp))| A delta inp binp j. binp j = Some A}  $\cup$ 
  {(termIn Y, absIn (qAbs xs x X))| X Y xs x. (X,Y)  $\in$  rel}

lemma qTermLess-modulo-wf:
fixes rel:(‘index,’bindex,’varSort,’var,’opSym)qTerm rel
assumes  $\bigwedge X Y. (X,Y) \in rel \implies qSkel Y = qSkel X$ 
shows wf (qTermLess-modulo rel)
proof(unfold wf-def, auto)
  fix chi item
  assume *:
   $\forall item. (\forall item'. (item', item) \in qTermLess-modulo rel \longrightarrow chi item')$ 
     $\longrightarrow chi item$ 
  show chi item
  proof-
    obtain phi where phi-def:  $\phi = (\lambda X. chi (termIn X))$  by blast
    obtain phiAbs where phiAbs-def:  $\phiAbs = (\lambda A. chi (absIn A))$  by blast
    {fix X A
      have chi (termIn X)  $\wedge$  chi (absIn A)
      apply(induct rule: qTerm-templateInduct[of rel])
      using * assms unfolding qTermLess-modulo-def liftAll-def by blast+
    }
    thus ?thesis unfolding phi-def phiAbs-def
      by(cases item, auto)
  qed
qed

```

1.3 Swap and substitution on variables

definition *sw* :: '*varSort* \Rightarrow '*var* \Rightarrow '*var* \Rightarrow '*varSort* \Rightarrow '*var* \Rightarrow '*var*

where

sw ys y1 y2 xs x ==

if $ys = xs$ *then if* $x = y1$ *then* $y2$

else if $x = y_2$ then y_1

else x

else x

abbreviation *sw-abbrev* :: '*var* \Rightarrow '*varSort* \Rightarrow '*var* \Rightarrow '*var* \Rightarrow '*varSort* \Rightarrow '*var*

($\langle - @-[- \wedge -]' \rightarrow 200 \rangle$)

where $(x @xs[y1 \wedge y2]-ys) == sw\ ys\ y1\ y2\ xs\ x$

definition *sb* :: '*varSort* \Rightarrow '*var* \Rightarrow '*var* \Rightarrow '*varSort* \Rightarrow '*var* \Rightarrow '*var*

where

```
sb ys y1 y2 xs x ==
  if ys = xs then if x = y2 then y1
    else x
  else x
```

abbreviation *sb-abbrev* :: 'var \Rightarrow 'varSort \Rightarrow 'var \Rightarrow 'varSort \Rightarrow 'var
($\langle\langle$ @[-] / [-] $\rangle\rangle$ 200)
where (*x* @*xs*[*y1* / *y2*]-*ys*) == *sb ys y1 y2 xs x*

theorem *sw-simps1[simp]*: (*x* @*xs*[*x* \wedge *y*]-*xs*) = *y*
unfolding *sw-def* by *simp*

theorem *sw-simps2[simp]*: (*x* @*xs*[*y* \wedge *x*]-*xs*) = *y*
unfolding *sw-def* by *simp*

theorem *sw-simps3[simp]*:
(*zs* \neq *xs* \vee *x* \notin {*z1,z2*}) \implies (*x* @*xs*[*z1* \wedge *z2*]-*zs*) = *x*
unfolding *sw-def* by *simp*

lemmas *sw-simps* = *sw-simps1* *sw-simps2* *sw-simps3*

theorem *sw-ident[simp]*: (*x* @*xs*[*y* \wedge *y*]-*ys*) = *x*
unfolding *sw-def* by *auto*

theorem *sw-compose*:
((*z* @*zs*[*x* \wedge *y*]-*xs*) @*zs*[*x'* \wedge *y'*]-*xs'*) =
 ((*z* @*zs*[*x'* \wedge *y'*]-*xs'*) @*zs*((*x* @*xs*[*x'* \wedge *y'*]-*xs'*) \wedge (*y* @*xs*[*x'* \wedge *y'*]-*xs'*))-*xs*)
by(*unfold sw-def, auto*)

theorem *sw-commute*:
assumes *zs* \neq *zs'* \vee {*x,y*} *Int* {*x',y'*} = {}
shows ((*u* @*us*[*x* \wedge *y*]-*zs*) @*us*[*x'* \wedge *y'*]-*zs'*) = ((*u* @*us*[*x'* \wedge *y'*]-*zs'*) @*us*[*x* \wedge *y*]-*zs*)
using assms by(*unfold sw-def, auto*)

theorem *sw-involutive[simp]*:
((*z* @*zs*[*x* \wedge *y*]-*xs*) @*zs*[*x* \wedge *y*]-*xs*) = *z*
by(*unfold sw-def, auto*)

theorem *sw-inj[simp]*:
((*z* @*zs*[*x* \wedge *y*]-*xs*) = (*z'* @*zs*[*x* \wedge *y*]-*xs*)) = (*z* = *z'*)
by (*simp add: sw-def*)

lemma *sw-preserves-mship[simp]*:
assumes {*y1,y2*} \subseteq *Var ys*
shows ((*x* @*xs*[*y1* \wedge *y2*]-*ys*) \in *Var xs*) = (*x* \in *Var xs*)
using assms unfolding sw-def by auto

theorem *sw-sym*:

$(z @zs[x \wedge y]-xs) = (z @zs[y \wedge x]-xs)$
by (*unfold sw-def*) *auto*

theorem *sw-involutive2[simp]*:
 $((z @zs[x \wedge y]-xs) @zs[y \wedge x]-xs) = z$
by (*unfold sw-def*) *auto*

theorem *sw-trans*:

$us \neq zs \vee u \notin \{y, z\} \implies ((u @us[y \wedge x]-zs) @us[z \wedge y]-zs) = (u @us[z \wedge x]-zs)$
by (*unfold sw-def*) *auto*

lemmas *sw-otherSimps* =
sw-ident *sw-involutive* *sw-inj* *sw-preserves-mship* *sw-involutive2*

theorem *sb-simps1[simp]*: $(x @xs[y / x]-xs) = y$
unfolding sb-def by simp

theorem *sb-simps2[simp]*:
 $(zs \neq xs \vee z2 \neq x) \implies (x @xs[z1 / z2]-zs) = x$
unfolding sb-def by auto

lemmas *sb-simps* = *sb-simps1* *sb-simps2*

theorem *sb-ident[simp]*: $(x @xs[y / y]-ys) = x$
unfolding sb-def by auto

theorem *sb-compose1*:
 $((z @zs[y1 / x]-xs) @zs[y2 / x]-xs) = (z @zs[(y1 @xs[y2 / x]-xs) / x]-xs)$
by (*unfold sb-def, auto*)

theorem *sb-compose2*:
 $ys \neq xs \vee (x2 \notin \{y1, y2\}) \implies ((z @zs[x1 / x2]-xs) @zs[y1 / y2]-ys) = ((z @zs[y1 / y2]-ys) @zs[(x1 @xs[y1 / y2]-ys) / x2]-xs)$
by (*unfold sb-def*) *auto*

theorem *sb-commute*:
assumes $zs \neq zs' \vee \{x, y\} \text{ Int } \{x', y'\} = \{\}$
shows $((u @us[x / y]-zs) @us[x' / y']-zs') = ((u @us[x' / y']-zs') @us[x / y]-zs)$
using assms by (*unfold sb-def*) *auto*

theorem *sb-idem[simp]*:
 $((z @zs[x / y]-xs) @zs[x / y]-xs) = (z @zs[x / y]-xs)$
by (*unfold sb-def*) *auto*

lemma *sb-preserves-mship[simp]*:
assumes $\{y1, y2\} \subseteq \text{Var } ys$
shows $((x @xs[y1 / y2]-ys) \in \text{Var } xs) = (x \in \text{Var } xs)$

```

using assms by (unfold sb-def) auto

theorem sb-trans:
  us ≠ zs ∨ u ≠ y ==>
  ((u @us[y / x]-zs) @us[z / y]-zs) = (u @us[z / x]-zs)
by (unfold sb-def) auto

lemmas sb-otherSimps =
  sb-ident sb-idem sb-preserves-mship

```

1.4 The swapping and freshness operators

For establishing the preliminary results quickly, we use both the notion of binding-sensitive freshness (operator “qFresh”) and that of “absolute” freshness, ignoring bindings (operator “qAFresh”). Later, for alpha-equivalence classes, “qAFresh” will not make sense.

definition

```

aux-qSwap-ignoreFirst3 :: 
  'varSort * 'var * 'var * ('index,'bindx,'varSort,'var,'opSym)qTerm +
  'varSort * 'var * 'var * ('index,'bindx,'varSort,'var,'opSym)qAbs =>
  ('index,'bindx,'varSort,'var,'opSym)qTermItem

```

where

```

aux-qSwap-ignoreFirst3 K =
  (case K of Inl(zs,x,y,X) => termIn X
  | Inr(zs,x,y,A) => absIn A)

```

lemma qTermLess-ingoreFirst3-wf:

```

wf(inv-image qTermLess aux-qSwap-ignoreFirst3)
using qTermLess-wf wf-inv-image by auto

```

function

```

qSwap :: 'varSort => 'var => 'var => ('index,'bindx,'varSort,'var,'opSym)qTerm
=>
  ('index,'bindx,'varSort,'var,'opSym)qTerm

```

and

```

qSwapAbs :: 'varSort => 'var => 'var => ('index,'bindx,'varSort,'var,'opSym)qAbs
=>
  ('index,'bindx,'varSort,'var,'opSym)qAbs

```

where

```

qSwap zs x y (qVar zs' z) = qVar zs' (z @zs'[x ∧ y]-zs)
|
qSwap zs x y (qOp delta inp binp) =
  qOp delta (lift (qSwap zs x y) inp) (lift (qSwapAbs zs x y) binp)
|
qSwapAbs zs x y (qAbs zs' z X) = qAbs zs' (z @zs'[x ∧ y]-zs) (qSwap zs x y X)
by(pat-completeness, auto)

```

termination

by(relation inv-image qTermLess aux-qSwap-ignoreFirst3,

```

simp add: qTermLess-ingoreFirst3-wf,
auto simp add: qTermLess-def aux-qSwap-ingoreFirst3-def)

```

```
lemmas qSwapAll-simps = qSwap.simps qSwapAbs.simps
```

abbreviation qSwap-abbrev ::

```
('index,'bindx,'varSort,'var,'opSym)qTerm ⇒ 'var ⇒ 'var ⇒ 'varSort ⇒
('index,'bindx,'varSort,'var,'opSym)qTerm (‐ #[[‐ ∧ ‐]]'‐‐ 200)
```

where ($X \# [[z_1 \wedge z_2]]\text{-zs}$) == $qSwap\ zs\ z_1\ z_2\ X$

abbreviation qSwapAbs-abbrev ::

```
('index,'bindx,'varSort,'var,'opSym)qAbs ⇒ 'var ⇒ 'var ⇒ 'varSort ⇒
('index,'bindx,'varSort,'var,'opSym)qAbs (‐ $[[‐ ∧ ‐]]'‐‐ 200)
```

where ($A \$ [[z_1 \wedge z_2]]\text{-zs}$) == $qSwapAbs\ zs\ z_1\ z_2\ A$

definition

aux-qFresh-ingoreFirst2 ::

```
'varSort * 'var * ('index,'bindx,'varSort,'var,'opSym)qTerm +
'varSort * 'var * ('index,'bindx,'varSort,'var,'opSym)qAbs ⇒
('index,'bindx,'varSort,'var,'opSym)qTermItem
```

where

```
aux-qFresh-ingoreFirst2 K =
(case K of Inl(zs,x,X) ⇒ termIn X
| Inr(zs,x,A) ⇒ absIn A)
```

lemma qTermLess-ingoreFirst2-wf: wf(inv-image qTermLess aux-qFresh-ingoreFirst2)
using qTermLess-wf wf-inv-image **by** auto

The quasi absolutely-fresh predicate: (note that this is not an oxymoron: “quasi” refers to being an operator on quasi-terms, and not on terms, i.e., on alpha-equivalence classes; “absolutely” refers to not ignoring bindings in the notion of freshness, and thus counting absolutely all the variables.

function

qAFresh :: 'varSort ⇒ 'var ⇒ ('index,'bindx,'varSort,'var,'opSym)qTerm ⇒ bool

and

qAFreshAbs :: 'varSort ⇒ 'var ⇒ ('index,'bindx,'varSort,'var,'opSym)qAbs ⇒
bool

where

qAFresh xs x (qVar ys y) = ($xs \neq ys \vee x \neq y$)

|

qAFresh xs x (qOp delta inp binp) =
 $(liftAll (qAFresh xs x) inp \wedge liftAll (qAFreshAbs xs x) binp)$

|

qAFreshAbs xs x (qAbs ys y X) = ($(xs \neq ys \vee x \neq y) \wedge qAFresh xs x X$)

by(pat-completeness, auto)

termination

by(relation inv-image qTermLess aux-qFresh-ingoreFirst2,

simp add: qTermLess-ingoreFirst2-wf,

auto simp add: qTermLess-def aux-qFresh-ingoreFirst2-def)

```
lemmas qAFreshAll-simps = qAFresh.simps qAFreshAbs.simps
```

The next is standard freshness – note that its definition differs from that of absolute freshness only at the clause for abstractions.

```
function
qFresh :: 'varSort ⇒ 'var ⇒ ('index,'bindex,'varSort,'var,'opSym)qTerm ⇒ bool
and
qFreshAbs :: 'varSort ⇒ 'var ⇒ ('index,'bindex,'varSort,'var,'opSym)qAbs ⇒ bool
where
qFresh xs x (qVar ys y) = (xs ≠ ys ∨ x ≠ y)
|
qFresh xs x (qOp delta inp binp) =
(liftAll (qFresh xs x) inp ∧ liftAll (qFreshAbs xs x) binp)
|
qFreshAbs xs x (qAbs ys y X) = ((xs = ys ∧ x = y) ∨ qFresh xs x X)
by(pat-completeness, auto)
termination
by(relation inv-image qTermLess aux-qFresh-ignoreFirst2,
  simp add: qTermLess-ignoreFirst2-wf,
  auto simp add: qTermLess-def aux-qFresh-ignoreFirst2-def)
```

```
lemmas qFreshAll-simps = qFresh.simps qFreshAbs.simps
```

1.5 Compositional properties of swapping

```
lemma qSwapAll-ident:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
          A::('index,'bindex,'varSort,'var,'opSym)qAbs
shows (X #[[x ∧ x]]-zs) = X ∧ (A $[[x ∧ x]]-zs) = A
by (induct rule: qTerm-rawInduct)
  (auto simp add: liftAll-def lift-cong lift-ident)
```

```
corollary qSwap-ident[simp]: (X #[[x ∧ x]]-zs) = X
by(simp add: qSwapAll-ident)
```

```
lemma qSwapAll-compose:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
          A::('index,'bindex,'varSort,'var,'opSym)qAbs and zs x y x' y'
shows
((X #[[x ∧ y]]-zs) #[[x' ∧ y']] -zs') =
((X #[[x' ∧ y']] -zs') #[[((x @zs[x' ∧ y']) -zs') ∧ (y @zs[x' ∧ y'] -zs')]] -zs)
∧
((A $[[x ∧ y]]-zs) $[[x' ∧ y']] -zs') =
((A $[[x' ∧ y']] -zs') $[[((x @zs[x' ∧ y']) -zs') ∧ (y @zs[x' ∧ y'] -zs')]] -zs)
proof(induct rule: qTerm-rawInduct[of - - X A])
  case (Op delta inp binp)
    then show ?case by (auto intro!: lift-cong simp: liftAll-def lift-comp)
qed (auto simp add: sw-def sw-compose)
```

corollary *qSwap-compose*:

$$((X \# [x \wedge y] - zs) \# [x' \wedge y'] - zs') = ((X \# [x' \wedge y'] - zs') \# [(x @zs [x' \wedge y'] - zs') \wedge (y @zs [x' \wedge y'] - zs')]) - zs)$$

by (meson *qSwapAll-compose*)

lemma *qSwap-commute*:

assumes $zs \neq zs' \vee \{x, y\} \text{ Int } \{x', y'\} = \{\}$

shows $((X \# [x \wedge y] - zs) \# [x' \wedge y'] - zs') = ((X \# [x' \wedge y'] - zs') \# [x \wedge y] - zs)$

by (metis *assms disjoint-insert(1)* *qSwapAll-compose sw-simps3*)

lemma *qSwapAll-involutive*:

fixes $X :: ('index, 'bindex, 'varSort, 'var, 'opSym) qTerm$ **and**
 $A :: ('index, 'bindex, 'varSort, 'var, 'opSym) qAbs$ **and** $zs x y$

shows $((X \# [x \wedge y] - zs) \# [x \wedge y] - zs) = X \wedge ((A \$ [x \wedge y] - zs) \$ [x \wedge y] - zs) = A$

proof (induct rule: *qTerm-rawInduct[of - - X A]*)

case (*Op delta inp binp*)

then show ?case

unfolding *qSwapAll-simps(2)* *liftAll-lift-ext*
lift-comp o-def
by (simp add: *lift-ident*)

qed(auto)

corollary *qSwap-involutive[simp]*:

$$((X \# [x \wedge y] - zs) \# [x \wedge y] - zs) = X$$

by (simp add: *qSwapAll-involutive*)

lemma *qSwapAll-sym*:

fixes $X :: ('index, 'bindex, 'varSort, 'var, 'opSym) qTerm$ **and**
 $A :: ('index, 'bindex, 'varSort, 'var, 'opSym) qAbs$ **and** $zs x y$

shows $(X \# [x \wedge y] - zs) = (X \# [y \wedge x] - zs) \wedge (A \$ [x \wedge y] - zs) = (A \$ [y \wedge x] - zs)$

by (induct rule: *qTerm-rawInduct[of - - X A]*)
(auto simp: *sw-sym lift-comp liftAll-lift-ext*)

corollary *qSwap-sym*:

$$(X \# [x \wedge y] - zs) = (X \# [y \wedge x] - zs)$$

by (simp add: *qSwapAll-sym*)

lemma *qAFreshAll-qSwapAll-id*:

fixes $X :: ('index, 'bindex, 'varSort, 'var, 'opSym) qTerm$ **and**
 $A :: ('index, 'bindex, 'varSort, 'var, 'opSym) qAbs$ **and** $zs z1 z2$

shows $(qAFresh zs z1 X \wedge qAFresh zs z2 X \longrightarrow (X \# [z1 \wedge z2] - zs) = X) \wedge (qAFreshAbs zs z1 A \wedge qAFreshAbs zs z2 A \longrightarrow (A \$ [z1 \wedge z2] - zs) = A)$

by (induct rule: *qTerm-rawInduct[of - - X A]*)
(auto intro!: ext simp: *liftAll-def lift-def option.case-eq-if*)

```

corollary qAFresh-qSwap-id[simp]:
  [[qAFresh zs z1 X; qAFresh zs z2 X]]  $\implies$  (X #[[z1  $\wedge$  z2]]-zs) = X
by(simp add: qAFreshAll-qSwapAll-id)

lemma qAFreshAll-qSwapAll-compose:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
  A::('index,'bindex,'varSort,'var,'opSym)qAbs and zs x y z
shows (qAFresh zs y X  $\wedge$  qAFresh zs z X  $\longrightarrow$ 
  ((X #[[y  $\wedge$  x]]-zs) #[[z  $\wedge$  y]]-zs) = (X #[[z  $\wedge$  x]]-zs))  $\wedge$ 
  (qAFreshAbs zs y A  $\wedge$  qAFreshAbs zs z A  $\longrightarrow$ 
  ((A $[[y  $\wedge$  x]]-zs) $[[z  $\wedge$  y]]-zs) = (A $[[z  $\wedge$  x]]-zs))
by (induct rule: qTerm-rawInduct[of - - X A])
  (auto intro!: ext simp: sw-trans lift-comp lift-def liftAll-def option.case-eq-if)

```

```

corollary qAFresh-qSwap-compose:
  [[qAFresh zs y X; qAFresh zs z X]]  $\implies$ 
  ((X #[[y  $\wedge$  x]]-zs) #[[z  $\wedge$  y]]-zs) = (X #[[z  $\wedge$  x]]-zs)
by(simp add: qAFreshAll-qSwapAll-compose)

```

1.6 Induction and well-foundedness modulo swapping

```

lemma qSkel-qSwapAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
  A::('index,'bindex,'varSort,'var,'opSym)qAbs and x y zs
shows qSkel(X #[[x  $\wedge$  y]]-zs) = qSkel X  $\wedge$ 
  qSkelAbs(A $[[x  $\wedge$  y]]-zs) = qSkelAbs A
proof(induct rule: qTerm-rawInduct[of - - X A])
  case (Op delta inp binp)
  then show ?case
    unfolding qSwapAll-simps(2) liftAll-lift-ext qSkel.simps(2)
    lift-comp comp-apply by simp
qed auto

```

```

corollary qSkel-qSwap: qSkel(X #[[x  $\wedge$  y]]-zs) = qSkel X
by(simp add: qSkel-qSwapAll)

```

For induction modulo swapping, one may wish to swap not just once, but several times at the induction hypothesis (an example of this will be the proof of compatibility of “qSwap” with alpha) – for this, we introduce the following relation (the suffix “Raw” signifies the fact that the involved variables are not required to be well-sorted):

```

inductive-set qSwapped :: ('index,'bindex,'varSort,'var,'opSym)qTerm rel
where
  Refl: (X,X)  $\in$  qSwapped
  |
  Trans: [[(X,Y)  $\in$  qSwapped; (Y,Z)  $\in$  qSwapped]]  $\implies$  (X,Z)  $\in$  qSwapped
  |
  Swap: (X,Y)  $\in$  qSwapped  $\implies$  (X, Y #[[x  $\wedge$  y]]-zs)  $\in$  qSwapped

```

```
lemmas qSwapped-Clauses = qSwapped.Refl qSwapped.Trans qSwapped.Swap
```

```
lemma qSwap-qSwapped: (X, X #[[x ∧ y]]-zs): qSwapped
by (auto simp add: qSwapped-Clauses)
```

```
lemma qSwapped-qSkel:
```

```
(X, Y) ∈ qSwapped ⇒ qSkel Y = qSkel X
by (erule qSwapped.induct, auto simp add: qSkel-qSwap)
```

The following is henceforth our main induction principle for quasi-terms. At the clause for abstractions, the user may choose among the two induction hypotheses (IHs):

- (1) IH for all swapped terms
- (2) IH for all terms with the same skeleton.

The user may choose only one of the above, and ignore the others, but may of course also assume both. (2) is stronger than (1), but we offer both of them for convenience in proofs. Most of the times, (1) will be the most convenient.

```
lemma qTerm-induct[case-names Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm
and A :: ('index,'bindex,'varSort,'var,'opSym)qAbs and phi phiAbs
assumes
  Var:  $\bigwedge xs x. \text{phi} (\text{qVar} xs x)$  and
  Op:  $\bigwedge delta inp binp. [\text{liftAll} \text{phi} inp; \text{liftAll} \text{phiAbs} binp]$ 
     $\Rightarrow \text{phi} (\text{qOp} delta inp binp)$  and
  Abs:  $\bigwedge xs x X. [\bigwedge Y. (X, Y) \in \text{qSwapped} \Rightarrow \text{phi} Y;$ 
     $\bigwedge Y. \text{qSkel} Y = \text{qSkel} X \Rightarrow \text{phi} Y]$ 
     $\Rightarrow \text{phiAbs} (\text{qAbs} xs x X)$ 
shows phi X ∧ phiAbs A
by (induct rule: qTerm-templateInduct[of qSwapped ∪ {(X, Y). qSkel Y = qSkel X}],  

  auto simp add: qSwapped-qSkel assms)
```

The following relation will be needed for proving alpha-equivalence well-defined:

```
definition qTermQSwappedLess :: ('index,'bindex,'varSort,'var,'opSym)qTermItem
rel
where qTermQSwappedLess = qTermLess-modulo qSwapped
```

```
lemma qTermQSwappedLess-wf: wf qTermQSwappedLess
unfolding qTermQSwappedLess-def
using qSwapped-qSkel qTermLess-modulo-wf[of qSwapped] by blast
```

1.7 More properties connecting swapping and freshness

```
lemma qSwap-3commute:
assumes *: qAFresh ys y X and **: qAFresh ys y0 X
and ***: ys ≠ zs ∨ y0 ∉ {z1,z2}
```

```

shows ((X #[[z1 ∧ z2]]-zs) #[[y0 ∧ x @ys[z1 ∧ z2]-zs]]-ys) =
    (((X #[[y ∧ x]]-ys) #[[y0 ∧ y]]-ys) #[[z1 ∧ z2]]-zs)
proof-
  have y0 = (y0 @ys[z1 ∧ z2]-zs) using *** unfolding sw-def by auto
  hence ((X #[[z1 ∧ z2]]-zs) #[[y0 ∧ x @ys[z1 ∧ z2]-zs]]-ys) =
    (((X #[[y0 ∧ x]]-ys) #[[z1 ∧ z2]]-zs)
     by(simp add: qSwap-compose[of - z1])
  also have ((X #[[y0 ∧ x]]-ys) #[[z1 ∧ z2]]-zs) =
    (((X #[[y ∧ x]]-ys) #[[y0 ∧ y]]-ys) #[[z1 ∧ z2]]-zs)
  using * ** by (simp add: qAFresh-qSwap-compose)
  finally show ?thesis .
qed

lemma qAFreshAll-imp-qFreshAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
      A::('index,'bindex,'varSort,'var,'opSym)qAbs and xs x
shows (qAFresh xs x X → qFresh xs x X) ∧
      (qAFreshAbs xs x A → qFreshAbs xs x A)
by(induct rule: qTerm-rawInduct, auto simp add: liftAll-def)

corollary qAFresh-imp-qFresh:
qAFresh xs x X ⇒ qFresh xs x X
by(simp add: qAFreshAll-imp-qFreshAll)

lemma qSwapAll-preserves-qAFreshAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
      A::('index,'bindex,'varSort,'var,'opSym)qAbs and ys y zs z1 z2
shows (qAFresh ys (y @ys[z1 ∧ z2]-zs) (X #[[z1 ∧ z2]]-zs) = qAFresh ys y X) ∧
      (qAFreshAbs ys (y @ys[z1 ∧ z2]-zs) (A $[[z1 ∧ z2]]-zs) = qAFreshAbs ys y A)
proof(induct rule: qTerm-rawInduct[of -- X A])
  case (Op delta inp binp)
  then show ?case
    unfolding qAFreshAll-simps(2) qSwapAll-simps(2) liftAll-lift-comp o-def
    unfolding liftAll-def by presburger
qed(auto simp add: sw-def)

corollary qSwap-preserveds-qAFresh[simp]:
(qAFresh ys (y @ys[z1 ∧ z2]-zs) (X #[[z1 ∧ z2]]-zs) = qAFresh ys y X)
by(simp add: qSwapAll-preserves-qAFreshAll)

lemma qSwap-preserveds-qAFresh-distinct:
assumes ys ≠ zs ∨ y ∉ {z1,z2}
shows qAFresh ys y (X #[[z1 ∧ z2]]-zs) = qAFresh ys y X
proof-
  have y = (y @ys[z1 ∧ z2]-zs) using assms unfolding sw-def by auto
  thus ?thesis using qSwap-preserveds-qAFresh[of ys zs z1 z2 y] by auto
qed

```

```

lemma qAFresh-qSwap-exchange1:
qAFresh zs z2 (X #[[z1 ∧ z2]]-zs) = qAFresh zs z1 X
proof-
  have z2 = (z1 @zs[z1 ∧ z2]-zs) unfolding sw-def by auto
  thus ?thesis using qSwap-preserves-qAFresh[of zs zs z1 z2 z1 X] by auto
qed

lemma qAFresh-qSwap-exchange2:
qAFresh zs z2 (X #[[z2 ∧ z1]]-zs) = qAFresh zs z1 X
by(auto simp add: qAFresh-qSwap-exchange1 qSwap-sym)

lemma qSwapAll-preserves-qFreshAll:
fixes X::('index,'bindx,'varSort,'var,'opSym)qTerm and
A::('index,'bindx,'varSort,'var,'opSym)qAbs and ys y zs z1 z2
shows
(qFresh ys (y @ys[z1 ∧ z2]-zs) (X #[[z1 ∧ z2]]-zs) = qFresh ys y X) ∧
(qFreshAbs ys (y @ys[z1 ∧ z2]-zs) (A $[[z1 ∧ z2]]-zs) = qFreshAbs ys y A)
proof(induct rule: qTerm-rawInduct[of - - X A])
  case (Op delta inp binp)
  then show ?case
    unfolding qFreshAll-simps(2) qSwapAll-simps(2) liftAll-lift-comp o-def
    unfolding liftAll-def by presburger
qed (auto simp add: sw-def)

corollary qSwap-preserves-qFresh:
(qFresh ys (y @ys[z1 ∧ z2]-zs) (X #[[z1 ∧ z2]]-zs) = qFresh ys y X)
by(simp add: qSwapAll-preserves-qFreshAll)

lemma qSwap-preserves-qFresh-distinct:
assumes ys ≠ zs ∨ y ∉ {z1,z2}
shows qFresh ys y (X #[[z1 ∧ z2]]-zs) = qFresh ys y X
proof-
  have y = (y @ys[z1 ∧ z2]-zs) using assms unfolding sw-def by auto
  thus ?thesis using qSwap-preserves-qFresh[of ys zs z1 z2 y] by auto
qed

lemma qFresh-qSwap-exchange1:
qFresh zs z2 (X #[[z1 ∧ z2]]-zs) = qFresh zs z1 X
proof-
  have z2 = (z1 @zs[z1 ∧ z2]-zs) unfolding sw-def by auto
  thus ?thesis using qSwap-preserves-qFresh[of zs zs z1 z2 z1 X] by auto
qed

lemma qFresh-qSwap-exchange2:
qFresh zs z1 X = qFresh zs z2 (X #[[z2 ∧ z1]]-zs)
by (auto simp add: qFresh-qSwap-exchange1 qSwap-sym)

lemmas qSwap-qAFresh-otherSimp = qSwap-ident qSwap-involutive qAFresh-qSwap-id qSwap-preserves-qAFresh

```

```
end
```

2 Availability of Fresh Variables and Alpha-Equivalence

```
theory QuasiTerms-PickFresh-Alpha
imports QuasiTerms-Swap-Fresh
```

```
begin
```

Here we define good quasi-terms and alpha-equivalence on quasi-terms, and prove relevant properties such as the ability to pick fresh variables for good quasi-terms and the fact that alpha is indeed an equivalence and is compatible with all the operators.

We do most of the work on freshness and alpha-equivalence unsortedly, for raw quasi-terms. (And we do it in such a way that it then applies immediately to sorted quasi-terms.) We do need sortedness of variables (as well as a cardinality assumption), however, for alpha-equivalence to have the desired properties. Therefore we work in a locale.

2.1 The FixVars locale

```
definition var-infinite where
```

```
var-infinite (- :: 'var) ==
infinite (UNIV :: 'var set)
```

```
definition var-regular where
```

```
var-regular (- :: 'var) ==
regular |UNIV :: 'var set|
```

```
definition varSort-lt-var where
```

```
varSort-lt-var (- :: 'varSort) (- :: 'var) ==
|UNIV :: 'varSort set| <o |UNIV :: 'var set|
```

```
locale FixVars =
```

```
fixes dummyV :: 'var and dummyVS :: 'varSort
assumes var-infinite: var-infinite (undefined :: 'var)
and var-regular: var-regular (undefined :: 'var)
and varSort-lt-var: varSort-lt-var (undefined :: 'varSort) (undefined :: 'var)
```

```
context FixVars
```

```
begin
```

```
lemma varSort-lt-var-INNER:
```

```
|UNIV :: 'varSort set| <o |UNIV :: 'var set|
using varSort-lt-var
```

```

unfolding varSort-lt-var-def by simp

lemma varSort-le-Var:
| UNIV :: 'varSort set| ≤o |UNIV :: 'var set|
using varSort-lt-var-INNER ordLess-imp-ordLeq by auto

theorem var-infinite-INNER: infinite (UNIV :: 'var set)
using var-infinite unfolding var-infinite-def by simp

theorem var-regular-INNER: regular |UNIV :: 'var set|
using var-regular unfolding var-regular-def by simp

theorem infinite-var-regular-INNER:
infinite (UNIV :: 'var set) ∧ regular |UNIV :: 'var set|
by (simp add: var-infinite-INNER var-regular-INNER)

theorem finite-ordLess-var:
( |S| <o |UNIV :: 'var set| ∨ finite S) = ( |S| <o |UNIV :: 'var set| )
by (auto simp add: var-infinite-INNER finite-ordLess-infinite2)

```

2.2 Good quasi-terms

Essentially, good quasi-term items will be those with meaningful binders and not too many variables. Good quasi-terms are a concept intermediate between (raw) quasi-terms and sorted quasi-terms. This concept was chosen to be strong enough to facilitate proofs of most of the desired properties of alpha-equivalence, avoiding, *for most of the hard part of the work*, the overhead of sortedness. Since we later prove that quasi-terms are good, all the results are then immediately transported to a sorted setting.

```

function
qGood :: ('index,'bindex,'varSort,'var,'opSym)qTerm ⇒ bool
and
qGoodAbs :: ('index,'bindex,'varSort,'var,'opSym)qAbs ⇒ bool
where
qGood (qVar xs x) = True
|
qGood (qOp delta inp binp) =
(liftAll qGood inp ∧ liftAll qGoodAbs binp ∧
| {i. inp i ≠ None}| <o |UNIV :: 'var set| ∧
| {i. binp i ≠ None}| <o |UNIV :: 'var set| )
|
qGoodAbs (qAbs xs x X) = qGood X
by (pat-completeness, auto)
termination
apply(relation qTermLess)
apply(simp-all add: qTermLess-wf)

```

```

by(auto simp add: qTermLess-def)

fun qGoodItem :: ('index,'bindx,'varSort,'var,'opSym)qTermItem ⇒ bool where
qGoodItem (Inl qX) = qGood qX
|
qGoodItem (Inr qA) = qGoodAbs qA

lemma qSwapAll-preserves-qGoodAll1:
fixes X::('index,'bindx,'varSort,'var,'opSym)qTerm and
A::('index,'bindx,'varSort,'var,'opSym)qAbs and zs x y
shows
(qGood X → qGood (X #[[x ∧ y]]-zs)) ∧
(qGoodAbs A → qGoodAbs (A $[[x ∧ y]]-zs))
apply(rule qTerm-induct[of - - X A])
apply(simp-all add: sw-def)
unfolding lift-def liftAll-def apply auto
apply(case-tac inp i, auto)
apply(case-tac binp i, auto)
proof-
fix inp::('index,('index,'bindx,'varSort,'var,'opSym)qTerm)input and zs xs x y
let ?K1 = {i. ∃ X. inp i = Some X}
let ?K2 = {i. ∃ X. (case inp i of None ⇒ None | Some X ⇒ Some (X #[[x ∧ y]]-zs))
= Some X}
assume |?K1| < o |UNIV :: 'var set|
moreover have ?K1 = ?K2 by(auto, case-tac inp x, auto)
ultimately show |?K2| < o |UNIV :: 'var set| by simp
next
fix binp::('bindx,('index,'bindx,'varSort,'var,'opSym)qAbs)input and zs xs x y
let ?K1 = {i. ∃ A. binp i = Some A}
let ?K2 = {i. ∃ A. (case binp i of None ⇒ None | Some A ⇒ Some (A $[[x ∧ y]]-zs))
= Some A}
assume |?K1| < o |UNIV :: 'var set|
moreover have ?K1 = ?K2 by(auto, case-tac binp x, auto)
ultimately show |?K2| < o |UNIV :: 'var set| by simp
qed

corollary qSwap-preserves-qGood1:
qGood X ⇒ qGood (X #[[x ∧ y]]-zs)
by(simp add: qSwapAll-preserves-qGoodAll1)

corollary qSwapAbs-preserves-qGoodAbs1:
qGoodAbs A ⇒ qGoodAbs (A $[[x ∧ y]]-zs)
by(simp add: qSwapAll-preserves-qGoodAll1)

lemma qSwap-preserves-qGood2:
assumes qGood(X #[[x ∧ y]]-zs)
shows qGood X

```

```

by (metis assms qSwap-involutive qSwap-preserves-qGood1)

lemma qSwapAbs-preserves-qGoodAbs2:
assumes qGoodAbs(A $[[x ∧ y]]-zs)
shows qGoodAbs A
by (metis assms qSwapAbs-preserves-qGoodAbs1 qSwapAll-involutive)

lemma qSwap-preserves-qGood: (qGood (X #[[x ∧ y]]-zs)) = (qGood X)
using qSwap-preserves-qGood1 qSwap-preserves-qGood2 by blast

lemma qSwapAbs-preserves-qGoodAbs:
(qGoodAbs (A $[[x ∧ y]]-zs)) = (qGoodAbs A)
using qSwapAbs-preserves-qGoodAbs1 qSwapAbs-preserves-qGoodAbs2 by blast

lemma qSwap-twice-preserves-qGood:
(qGood ((X #[[x ∧ y]]-zs) #[[x' ∧ y']]-zs')) = (qGood X)
by (simp add: qSwap-preserves-qGood)

lemma qSwapped-preserves-qGood:
(X, Y) ∈ qSwapped ⟹ qGood Y = qGood X
apply (induct rule: qSwapped.induct)
using qSwap-preserves-qGood by auto

lemma qGood-qTerm-templateInduct[case-names Rel Var Op Abs]:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm
and A::('index,'bindex,'varSort,'var,'opSym)qAbs and phi phiAbs rel
assumes
REL: ⋀ X Y. [[qGood X; (X, Y) ∈ rel]] ⟹ qGood Y ∧ qSkel Y = qSkel X and
Var: ⋀ xs x. phi (qVar xs x) and
Op: ⋀ delta inp binp. [|{i. inp i ≠ None}| < o |UNIV :: 'var set|;
|{i. binp i ≠ None}| < o |UNIV :: 'var set|;
liftAll (λX. qGood X ∧ phi X) inp;
liftAll (λA. qGoodAbs A ∧ phiAbs A) binp]
⟹ phi (qOp delta inp binp) and
Abs: ⋀ xs x X. [[qGood X; ⋀ Y. (X, Y) ∈ rel ⟹ phi Y]]
⟹ phiAbs (qAbs xs x X)
shows
(qGood X → phi X) ∧ (qGoodAbs A → phiAbs A)
apply(induct rule: qTerm-templateInduct[of {(X, Y). qGood X ∧ (X, Y) ∈ rel}])
using assms by (simp-all add: liftAll-def)

lemma qGood-qTerm-rawInduct[case-names Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm
and A::('index,'bindex,'varSort,'var,'opSym)qAbs and phi phiAbs
assumes
Var: ⋀ xs x. phi (qVar xs x) and
Op: ⋀ delta inp binp. [|{i. inp i ≠ None}| < o |UNIV :: 'var set|;
|{i. binp i ≠ None}| < o |UNIV :: 'var set|;
liftAll (λ X. qGood X ∧ phi X) inp;

```

```

liftAll (λ A. qGoodAbs A ∧ phiAbs A) binp]
    ==> phi (qOp delta inp binp) and
Abs: ⋀ xs x X. [[qGood X; phi X]] ==> phiAbs (qAbs xs x X)
shows (qGood X → phi X) ∧ (qGoodAbs A → phiAbs A)
apply(induct rule: qGood-qTerm-templateInduct [of Id])
by(simp-all add: assms)

lemma qGood-qTerm-induct[case-names Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm
and A::('index,'bindex,'varSort,'var,'opSym)qAbs and phi phiAbs
assumes
Var: ⋀ xs x. phi (qVar xs x) and
Op: ⋀ delta inp binp. [|{i. inp i ≠ None}| < o |UNIV :: 'var set|;
    |{i. binp i ≠ None}| < o |UNIV :: 'var set|;
    liftAll (λ X. qGood X ∧ phi X) inp;
    liftAll (λ A. qGoodAbs A ∧ phiAbs A) binp]
        ==> phi (qOp delta inp binp) and
Abs: ⋀ xs x X. [[qGood X;
    ⋀ Y. qGood Y ∧ qSkel Y = qSkel X ==> phi Y;
    ⋀ Y. (X,Y) ∈ qSwapped ==> phi Y]]
        ==> phiAbs (qAbs xs x X)
shows
(qGood X → phi X) ∧ (qGoodAbs A → phiAbs A)
apply(induct rule: qGood-qTerm-templateInduct
    [of qSwapped ∪ {(X,Y). qGood Y ∧ qSkel Y = qSkel X}])
using qSwapped-qSkel qSwapped-preserves-qGood
by(auto simp add: assms)

```

A form specialized for mutual induction (this time, without the cardinality hypotheses):

```

lemma qGood-qTerm-induct-mutual[case-names Var1 Var2 Op1 Op2 Abs1 Abs2]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm
and A::('index,'bindex,'varSort,'var,'opSym)qAbs and phi1 phi2 phiAbs1 phiAbs2
assumes
Var1: ⋀ xs x. phi1 (qVar xs x) and
Var2: ⋀ xs x. phi2 (qVar xs x) and
Op1: ⋀ delta inp binp. [liftAll (λ X. qGood X ∧ phi1 X) inp;
    liftAll (λ A. qGoodAbs A ∧ phiAbs1 A) binp]
        ==> phi1 (qOp delta inp binp) and
Op2: ⋀ delta inp binp. [liftAll (λ X. qGood X ∧ phi2 X) inp;
    liftAll (λ A. qGoodAbs A ∧ phiAbs2 A) binp]
        ==> phi2 (qOp delta inp binp) and
Abs1: ⋀ xs x X. [[qGood X;
    ⋀ Y. qGood Y ∧ qSkel Y = qSkel X ==> phi1 Y;
    ⋀ Y. qGood Y ∧ qSkel Y = qSkel X ==> phi2 Y;
    ⋀ Y. (X,Y) ∈ qSwapped ==> phi1 Y;
    ⋀ Y. (X,Y) ∈ qSwapped ==> phi2 Y]]
        ==> phiAbs1 (qAbs xs x X) and
Abs2: ⋀ xs x X. [[qGood X;

```

```

 $\wedge Y. qGood Y \wedge qSkel Y = qSkel X \Rightarrow phi1 Y;$ 
 $\wedge Y. qGood Y \wedge qSkel Y = qSkel X \Rightarrow phi2 Y;$ 
 $\wedge Y. (X, Y) \in qSwapped \Rightarrow phi1 Y;$ 
 $\wedge Y. (X, Y) \in qSwapped \Rightarrow phi2 Y;$ 
 $phiAbs1 (qAbs xs x X) \llbracket$ 
 $\Rightarrow phiAbs2 (qAbs xs x X)$ 

```

shows

```

 $(qGood X \rightarrow (phi1 X \wedge phi2 X)) \wedge$ 
 $(qGoodAbs A \rightarrow (phiAbs1 A \wedge phiAbs2 A))$ 
apply(induct rule: qGood-qTerm-induct[of -- X A])
by(auto simp add: assms liftAll-and)

```

2.3 The ability to pick fresh variables

```

lemma single-non-qAFreshAll-ordLess-var:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)qTerm
and A::('index,'bindex,'varSort,'var,'opSym)qAbs
shows
 $(qGood X \rightarrow |\{x. \neg qAFresh xs x X\}| <_o |\text{UNIV} :: 'var set| ) \wedge$ 
 $(qGoodAbs A \rightarrow |\{x. \neg qAFreshAbs xs x A\}| <_o |\text{UNIV} :: 'var set| )$ 
proof(induct rule: qGood-qTerm-rawInduct)
case (Var xs x)
then show ?case using infinite-var-regular-INNER by simp
next
case (Op delta inp binp)
let ?Left = {x. \neg qAFresh xs x (qOp delta inp binp)}
obtain J where J-def: J = {i. \exists X. inp i = Some X} by blast
let ?S = \bigcup i \in J. {x. \exists X. inp i = Some X \wedge \neg qAFresh xs x X}
{fix i
obtain K where K-def: K = {X. inp i = Some X} by blast
have finite K unfolding K-def by (cases inp i, auto)
hence |K| <_o |\text{UNIV} :: 'var set| using var-infinite-INNER finite-ordLess-infinite2
by auto
moreover have \forall X \in K. |\{x. \neg qAFresh xs x X\}| <_o |\text{UNIV} :: 'var set|
unfolding K-def using Op unfolding liftAll-def by simp
ultimately have |\bigcup X \in K. {x. \neg qAFresh xs x X}| <_o |\text{UNIV} :: 'var set|
using var-regular-INNER by (simp add: regular-UNION)
moreover
have {x. \exists X. inp i = Some X \wedge \neg qAFresh xs x X} =
 $(\bigcup X \in K. \{x. \neg qAFresh xs x X\}) \text{ unfolding K-def by blast}$ 
ultimately
have |\{x. \exists X. inp i = Some X \wedge \neg qAFresh xs x X\}| <_o |\text{UNIV} :: 'var set|
by simp
}
moreover have |J| <_o |\text{UNIV} :: 'var set| unfolding J-def
using Op unfolding liftAll-def by simp
ultimately
have 1: |\?S| <_o |\text{UNIV} :: 'var set|
using var-regular-INNER by (simp add: regular-UNION)

```

```

obtain Ja where Ja-def:  $Ja = \{i. \exists A. \text{binp } i = \text{Some } A\}$  by blast
let ?Sa =  $\bigcup_{i \in Ja} \{x. \exists A. \text{binp } i = \text{Some } A \wedge \neg qAFreshAbs xs x A\}$ 
{fix i
  obtain K where K-def:  $K = \{A. \text{binp } i = \text{Some } A\}$  by blast
  have finite K unfolding K-def by (cases binp i, auto)
  hence  $|K| <_o |\text{UNIV} :: \text{'var set}|$  using var-infinite-INNER finite-ordLess-infinite2
  by auto
  moreover have  $\forall A \in K. |\{x. \neg qAFreshAbs xs x A\}| <_o |\text{UNIV} :: \text{'var set}|$ 
  unfolding K-def using Op unfolding liftAll-def by simp
  ultimately have  $|\bigcup_{A \in K} \{x. \neg qAFreshAbs xs x A\}| <_o |\text{UNIV} :: \text{'var set}|$ 
  using var-regular-INNER by (simp add: regular-UNION)
  moreover
  have  $\{x. \exists A. \text{binp } i = \text{Some } A \wedge \neg qAFreshAbs xs x A\} =$ 
     $(\bigcup_{A \in K} \{x. \neg qAFreshAbs xs x A\})$  unfolding K-def by blast
  ultimately
  have  $|\{x. \exists A. \text{binp } i = \text{Some } A \wedge \neg qAFreshAbs xs x A\}| <_o |\text{UNIV} :: \text{'var set}|$ 
  by simp
}
moreover have  $|Ja| <_o |\text{UNIV} :: \text{'var set}|$ 
unfolding Ja-def using Op unfolding liftAll-def by simp
ultimately have  $|\text{?Sa}| <_o |\text{UNIV} :: \text{'var set}|$ 
using var-regular-INNER by (simp add: regular-UNION)
with 1 have  $|\text{?S Un ?Sa}| <_o |\text{UNIV} :: \text{'var set}|$ 
using var-infinite-INNER card-of-Un-ordLess-infinite by auto
moreover have ?Left = ?S Un ?Sa
by (auto simp: J-def Ja-def liftAll-def)
ultimately show ?case by simp
next
case (Abs xsa x X)
let ?Left =  $\{xa. xs = xsa \wedge xa = x \vee \neg qAFresh xs xa X\}$ 
have  $|\{x\}| <_o |\text{UNIV} :: \text{'var set}|$  by (auto simp add: var-infinite-INNER)
hence  $|\{x\} \cup \{x. \neg qAFresh xs x X\}| <_o |\text{UNIV} :: \text{'var set}|$ 
using Abs var-infinite-INNER card-of-Un-ordLess-infinite by blast
moreover
{have ?Left  $\subseteq \{x\} \cup \{x. \neg qAFresh xs x X\}$  by blast
hence  $|\text{?Left}| \leq o |\{x\} \cup \{x. \neg qAFresh xs x X\}|$  using card-of-mono1 by auto
}
ultimately show ?case using ordLeq-ordLess-trans by auto
qed

corollary single-non-qAFresh-ordLess-var:
qGood X  $\implies |\{x. \neg qAFresh xs x X\}| <_o |\text{UNIV} :: \text{'var set}|$ 
by(simp add: single-non-qAFreshAll-ordLess-var)

corollary single-non-qAFreshAbs-ordLess-var:
qGoodAbs A  $\implies |\{x. \neg qAFreshAbs xs x A\}| <_o |\text{UNIV} :: \text{'var set}|$ 
by(simp add: single-non-qAFreshAll-ordLess-var)

```

```

lemma single-non-qFresh-ordLess-var:
assumes qGood X
shows |{x. ¬ qFresh xs x X}| < o |UNIV :: 'var set|
using qAFresh-imp-qFresh card-of-mono1 single-non-qAFresh-ordLess-var
ordLeq-ordLess-trans by (metis Collect-mono assms)

lemma single-non-qFreshAbs-ordLess-var:
assumes qGoodAbs A
shows |{x. ¬ qFreshAbs xs x A}| < o |UNIV :: 'var set|
using qAFreshAll-imp-qFreshAll card-of-mono1 single-non-qAFreshAbs-ordLess-var
ordLeq-ordLess-trans by (metis Collect-mono assms)

lemma non-qAFresh-ordLess-var:
assumes GOOD: ∀ X ∈ XS. qGood X and Var: |XS| < o |UNIV :: 'var set|
shows |{x| x X. X ∈ XS ∧ ¬ qAFresh xs x X}| < o |UNIV :: 'var set|
proof-
  define K and F where K ≡ {x| x X. X ∈ XS ∧ ¬ qAFresh xs x X}
  and F ≡ (λ X. {x. X ∈ XS ∧ ¬ qAFresh xs x X})
  have K = (⋃ X ∈ XS. F X) unfolding K-def F-def by auto
  moreover have ∀ X ∈ XS. |F X| < o |UNIV :: 'var set|
  unfolding F-def using GOOD single-non-qAFresh-ordLess-var by auto
  ultimately have |K| < o |UNIV :: 'var set| using var-regular-INNER Var
  by(auto simp add: regular-UNION)
  thus ?thesis unfolding K-def .
qed

lemma non-qAFresh-or-in-ordLess-var:
assumes Var: |V| < o |UNIV :: 'var set| and |XS| < o |UNIV :: 'var set| and ∀
X ∈ XS. qGood X
shows |{x| x X. (x ∈ V ∨ (X ∈ XS ∧ ¬ qAFresh xs x X))}| < o |UNIV :: 'var set|
proof-
  define J and K where J ≡ {x| x X. (x ∈ V ∨ (X ∈ XS ∧ ¬ qAFresh xs x
X))}
  and K ≡ {x| x X. X ∈ XS ∧ ¬ qAFresh xs x X}
  have J ⊆ K ∪ V unfolding J-def K-def by auto
  hence |J| ≤ o |K ∪ V| using card-of-mono1 by auto
  moreover
  {have |K| < o |UNIV :: 'var set| unfolding K-def using assms non-qAFresh-ordLess-var
  by auto
  hence |K ∪ V| < o |UNIV :: 'var set| using Var var-infinite-INNER card-of-Un-ordLess-infinite
  by auto
  }
  ultimately have |J| < o |UNIV :: 'var set| using ordLeq-ordLess-trans by blast
  thus ?thesis unfolding J-def .
qed

lemma obtain-set-qFresh-card-of:
assumes |V| < o |UNIV :: 'var set| and |XS| < o |UNIV :: 'var set| and ∀ X ∈
XS. qGood X

```

```

shows  $\exists W. infinite W \wedge W \text{ Int } V = \{\} \wedge$ 
       $(\forall x \in W. \forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 
proof-
  define  $J$  where  $J \equiv \{x \mid x \in V \vee (X \in XS \wedge \neg qAFresh xs x X)\}$ 
  let  $?W = UNIV - J$ 
  have  $|J| < o |UNIV :: 'var set|$ 
  unfolding  $J\text{-def}$  using  $assms$  non-qAFresh-or-in-ordLess-var by auto
  hence infinite ?W using var-infinite-INNER subset-ordLeq-diff-infinite[of - J]
  by auto
  moreover
  have  $?W \cap V = \{\} \wedge (\forall x \in ?W. \forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 
  unfolding  $J\text{-def}$  using qAFresh-imp-qFresh by fastforce
  ultimately show ?thesis by blast
qed

lemma obtain-set-qFresh:
assumes finite  $V \vee |V| < o |UNIV :: 'var set|$  and finite  $XS \vee |XS| < o |UNIV :: 'var set|$  and
 $\forall X \in XS. qGood X$ 
shows  $\exists W. infinite W \wedge W \text{ Int } V = \{\} \wedge$ 
       $(\forall x \in W. \forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 
using assms
by(fastforce simp add: var-infinite-INNER obtain-set-qFresh-card-of)

lemma obtain-qFresh-card-of:
assumes  $|V| < o |UNIV :: 'var set|$  and  $|XS| < o |UNIV :: 'var set|$  and  $\forall X \in XS. qGood X$ 
shows  $\exists x. x \notin V \wedge (\forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 
proof-
  obtain  $W$  where infinite  $W$  and
     $*: W \cap V = \{\} \wedge (\forall x \in W. \forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 
  using assms obtain-set-qFresh-card-of by blast
  then obtain  $x$  where  $x \in W$  using infinite-imp-nonempty by fastforce
  thus ?thesis using * by auto
qed

lemma obtain-qFresh:
assumes finite  $V \vee |V| < o |UNIV :: 'var set|$  and finite  $XS \vee |XS| < o |UNIV :: 'var set|$  and
 $\forall X \in XS. qGood X$ 
shows  $\exists x. x \notin V \wedge (\forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 
using assms
by(fastforce simp add: var-infinite-INNER obtain-qFresh-card-of)

definition pickQFresh where
pickQFresh xs V XS ==
SOME x. x  $\notin V \wedge (\forall X \in XS. qAFresh xs x X \wedge qFresh xs x X)$ 

lemma pickQFresh-card-of:

```

```

assumes |V| <o |UNIV :: 'var set| and |XS| <o |UNIV :: 'var set| and ∀ X ∈ XS. qGood X
shows pickQFresh xs V XS ≠ V ∧
    (∀ X ∈ XS. qAFresh xs (pickQFresh xs V XS) X ∧ qFresh xs (pickQFresh xs V XS) X)
unfolding pickQFresh-def apply(rule someI-ex)
using assms obtain-qFresh-card-of by blast

lemma pickQFresh:
assumes finite V ∨ |V| <o |UNIV :: 'var set| and finite XS ∨ |XS| <o |UNIV :: 'var set| and
    ∀ X ∈ XS. qGood X
shows pickQFresh xs V XS ≠ V ∧
    (∀ X ∈ XS. qAFresh xs (pickQFresh xs V XS) X ∧ qFresh xs (pickQFresh xs V XS) X)
unfolding pickQFresh-def apply(rule someI-ex)
using assms by(auto simp add: obtain-qFresh)

end

```

2.4 Alpha-equivalence

2.4.1 Definition

```

definition aux-alpha-ignoreSecond :: ('index,'bindex,'varSort,'var,'opSym)qTerm * ('index,'bindex,'varSort,'var,'opSym)qTerm +
    ('index,'bindex,'varSort,'var,'opSym)qAbs * ('index,'bindex,'varSort,'var,'opSym)qAbs
    ⇒
    ('index,'bindex,'varSort,'var,'opSym)qTermItem
where
aux-alpha-ignoreSecond K ==
case K of Inl(X,Y) ⇒ termIn X
| Inr(A,B) ⇒ absIn A

lemma aux-alpha-ignoreSecond-qTermLessQSwapped-wf:
wf(inv-image qTermQSwappedLess aux-alpha-ignoreSecond)
using qTermQSwappedLess-wf wf-inv-image by auto

```

```

function
alpha and alphaAbs
where
alpha (qVar xs x) (qVar xs' x') ←→ xs = xs' ∧ x = x'
|
alpha (qOp delta inp binp) (qOp delta' inp' binp') ←→
delta = delta' ∧ sameDom inp inp' ∧ sameDom binp binp' ∧
liftAll2 alpha inp inp' ∧
liftAll2 alphaAbs binp binp'
|

```

```

alpha (qVar xs x) (qOp delta' inp' binp')  $\longleftrightarrow$  False
|
alpha (qOp delta inp binp) (qVar xs' x')  $\longleftrightarrow$  False
|
alphaAbs (qAbs xs x X) (qAbs xs' x' X')  $\longleftrightarrow$ 
xs = xs'  $\wedge$ 
( $\exists$  y. y  $\notin$  {x, x'}  $\wedge$  qAFresh xs y X  $\wedge$  qAFresh xs' y X'  $\wedge$ 
alpha (X #[[y  $\wedge$  x]]-xs) (X' #[[y  $\wedge$  x']]-xs'))
by(pat-completeness, auto)
termination
apply(relation inv-image qTermQSwappedLess aux-alpha-ignoreSecond)
apply(simp add: aux-alpha-ignoreSecond-qTermLessQSwapped-wf)
by(auto simp add: qTermQSwappedLess-def qTermLess-modulo-def
aux-alpha-ignoreSecond-def qSwap-qSwapped)

abbreviation alpha-abbrev (infix `#=` 50) where X #= Y  $\equiv$  alpha X Y
abbreviation alphaAbs-abbrev (infix `$=` 50) where A $= B  $\equiv$  alphaAbs A B

```

```

context FixVars
begin

```

2.4.2 Simplification and elimination rules

```

lemma alpha-inp-None:
qOp delta inp binp #= qOp delta' inp' binp'  $\implies$ 
(inp i = None) = (inp' i = None)
by(auto simp add: sameDom-def)

lemma alpha-binp-None:
qOp delta inp binp #= qOp delta' inp' binp'  $\implies$ 
(binp i = None) = (binp' i = None)
by(auto simp add: sameDom-def)

lemma qVar-alpha-iff:
qVar xs x #= X'  $\longleftrightarrow$  X' = qVar xs x
by(cases X', auto)

lemma alpha-qVar-iff:
X #= qVar xs' x'  $\longleftrightarrow$  X = qVar xs' x'
by(cases X, auto)

lemma qOp-alpha-iff:
qOp delta inp binp #= X'  $\longleftrightarrow$ 
( $\exists$  inp' binp'.
X' = qOp delta inp' binp'  $\wedge$  sameDom inp inp'  $\wedge$  sameDom binp binp'  $\wedge$ 
liftAll2 ( $\lambda$  Y Y'. Y #= Y') inp inp'  $\wedge$ 
liftAll2 ( $\lambda$  A A'. A $= A') binp binp')
by(cases X') auto

```

```

lemma alpha-qOp-iff:
 $X \# = qOp \delta inp' binp' \longleftrightarrow$ 
 $(\exists inp binp. X = qOp \delta inp binp \wedge sameDom inp inp' \wedge sameDom binp binp'$ 
 $\wedge$ 
 $liftAll2 (\lambda Y Y'. Y \# = Y') inp inp' \wedge$ 
 $liftAll2 (\lambda A A'. A \$ = A') binp binp')$ 
by(cases X) auto

lemma qAbs-alphaAbs-iff:
 $qAbs xs x X \$ = A' \longleftrightarrow$ 
 $(\exists x' y X'. A' = qAbs xs x' X' \wedge$ 
 $y \notin \{x, x'\} \wedge qAFresh xs y X \wedge qAFresh xs y X' \wedge$ 
 $(X \#[[y \wedge x]]-xs) \# = (X' \#[[y \wedge x']] - xs))$ 
by(cases A') auto

lemma alphaAbs-qAbs-iff:
 $A \$ = qAbs xs' x' X' \longleftrightarrow$ 
 $(\exists x y X. A = qAbs xs' x X \wedge$ 
 $y \notin \{x, x'\} \wedge qAFresh xs' y X \wedge qAFresh xs' y X' \wedge$ 
 $(X \#[[y \wedge x]]-xs') \# = (X' \#[[y \wedge x']] - xs'))$ 
by(cases A) auto

```

2.4.3 Basic properties

In a nutshell: “alpha” is included in the kernel of “qSkel”, is an equivalence on good quasi-terms, preserves goodness, and all operators and relations (except “qAFresh”) preserve alpha.

```

lemma alphaAll-qSkelAll:
fixes X::('index,'bindx,'varSort,'var,'opSym)qTerm and
A::('index,'bindx,'varSort,'var,'opSym)qAbs
shows
 $(\forall X'. X \# = X' \longrightarrow qSkel X = qSkel X') \wedge$ 
 $(\forall A'. A \$ = A' \longrightarrow qSkelAbs A = qSkelAbs A')$ 
proof(induction rule: qTerm-induct)
case (Var xs x)
then show ?case unfolding qVar-alpha-iff by simp
next
case (Op delta inp binp)
show ?case proof safe
fix X'
assume qOp delta inp binp \# = X'
then obtain inp' binp' where X'eq: X' = qOp delta inp' binp' and
1: sameDom inp inp' \wedge sameDom binp binp' and
2: liftAll2 ( $\lambda Y Y'. Y \# = Y')$  inp inp' \wedge
liftAll2 ( $\lambda A A'. A \$ = A')$  binp binp'
unfolding qOp-alpha-iff by auto
from Op.IH 1 2
show qSkel (qOp delta inp binp) = qSkel X'

```

```

by (simp add: X'eq fun-eq-iff option.case-eq-if
      lift-def liftAll-def sameDom-def liftAll2-def)
qed
next
case (Abs xs x X)
show ?case
proof safe
  fix A' assume qAbs xs x X $= A'
  then obtain X' x' y where A'eq: A' = qAbs xs x' X' and
  *: (X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs) unfolding qAbs-alphaAbs-iff by
  auto
  moreover have (X, X #[[y ∧ x]]-xs) ∈ qSwapped using qSwap-qSwapped by
  fastforce
  ultimately have qSkel(X #[[y ∧ x]]-xs) = qSkel(X' #[[y ∧ x']] -xs)
  using Abs.IH by blast
  hence qSkel X = qSkel X' by(auto simp add: qSkel-qSwap)
  thus qSkelAbs (qAbs xs x X) = qSkelAbs A' unfolding A'eq by simp
qed
qed

```

corollary alpha-qSkel:
fixes X X' :: ('index,'bindex,'varSort,'var,'opSym)qTerm
shows X #= X' \Rightarrow qSkel X = qSkel X'
by(simp add: alphaAll-qSkelAll)

Symmetry of alpha is a property that holds for arbitrary (not necessarily good) quasi-terms.

```

lemma alphaAll-sym:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
  A::('index,'bindex,'varSort,'var,'opSym)qAbs
shows
  ( $\forall$  X'. X #= X'  $\longrightarrow$  X' #= X)  $\wedge$  ( $\forall$  A'. A $= A'  $\longrightarrow$  A' $= A)
proof(induction rule: qTerm-induct)
  case (Var xs x)
  then show ?case unfolding qVar-alpha-iff by simp
next
  case (Op delta inp binp)
  show ?case proof safe
    fix X' assume qOp delta inp binp #= X'
    then obtain inp' binp' where X': X' = qOp delta inp' binp' and
    1: sameDom inp inp'  $\wedge$  sameDom binp binp'
    and 2: liftAll2 ( $\lambda$ Y Y'. Y #= Y') inp inp'  $\wedge$ 
      liftAll2 ( $\lambda$ A A'. A $= A') binp binp'
    unfolding qOp-alpha-iff by auto
    thus X' #= qOp delta inp binp
    unfolding X' using Op.IH 1 2
    by (auto simp add: fun-eq-iff option.case-eq-if
          lift-def liftAll-def sameDom-def liftAll2-def)
qed

```

```

next
case (Abs xs x X)
show ?case proof safe
fix A' assume qAbs xs x X $= A'
then obtain x' y X' where
1: A' = qAbs xs x' X' ∧ y ∉ {x, x'} ∧ qAFresh xs y X ∧ qAFresh xs y X' and
(X #[[y ∧ x]]-xs) #≡ (X' #[[y ∧ x']]-xs)
unfolding qAbs-alphaAbs-iff by auto
moreover have (X, X #[[y ∧ x]]-xs) ∈ qSwapped by (simp add: qSwap-qSwapped)
ultimately have (X' #[[y ∧ x']]-xs) #≡ (X #[[y ∧ x]]-xs) using Abs.IH by
simp
thus A' $= qAbs xs x X using 1 by auto
qed
qed

```

```

corollary alpha-sym:
fixes X X' :: ('index,'bindx,'varSort,'var,'opSym)qTerm
shows X #≡ X' ⟹ X' #≡ X
by(simp add: alphaAll-sym)

```

```

corollary alphaAbs-sym:
fixes A A' :: ('index,'bindx,'varSort,'var,'opSym)qAbs
shows A $= A' ⟹ A' $= A
by(simp add: alphaAll-sym)

```

Reflexivity does not hold for arbitrary quasi-terms, but only for good ones. Indeed, the proof requires picking a fresh variable, guaranteed to be possible only if the quasi-term is good.

```

lemma alphaAll-refl:
fixes X::('index,'bindx,'varSort,'var,'opSym)qTerm and
A::('index,'bindx,'varSort,'var,'opSym)qAbs
shows
(qGood X → X #≡ X) ∧ (qGoodAbs A → A $= A)
apply(rule qGood-qTerm-induct, simp-all)
unfolding liftAll-def sameDom-def liftAll2-def apply auto
proof-
fix xs x X
assume qGood X and
IH: ⋀ Y. (X,Y) ∈ qSwapped ⟹ Y #≡ Y
then obtain y where 1: y ≠ x ∧ qAFresh xs y X
using obtain-qFresh[of {x} {X}] by auto
hence (X, X #[[y ∧ x]]-xs) ∈ qSwapped using qSwap-qSwapped by auto
hence (X #[[y ∧ x]]-xs) #≡ (X #[[y ∧ x]]-xs) using IH by simp
thus  $\exists y. y \neq x \wedge qAFresh xs y X \wedge (X #[[y \wedge x]]-xs) \#= (X #[[y \wedge x]]-xs)$ 
using 1 by blast
qed

```

```

corollary alpha-refl:
fixes X :: ('index,'bindx,'varSort,'var,'opSym)qTerm

```

```

shows qGood X ==> X #= X
by(simp add: alphaAll-refl)

corollary alphaAbs-refl:
fixes A ::('index,'bindex,'varSort,'var,'opSym)qAbs
shows qGoodAbs A ==> A $= A
by(simp add: alphaAll-refl)

lemma alphaAll-preserves-qGoodAll1:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
      A::('index,'bindex,'varSort,'var,'opSym)qAbs
shows
  (qGood X --> (∀ X'. X #= X' --> qGood X')) ∧
  (qGoodAbs A --> (∀ A'. A $= A' --> qGoodAbs A'))
apply(rule qTerm-induct, auto)
unfolding qVar-alpha-iff apply(auto)
proof-
  fix delta inp binp X'
  assume
    IH1: liftAll (λY. qGood Y --> (∀ Y'. Y #= Y' --> qGood Y')) inp
    and IH2: liftAll (λA. qGoodAbs A --> (∀ A'. A $= A' --> qGoodAbs A')) binp
    and *: liftAll qGood inp liftAll qGoodAbs binp
    and **: |{i. ∃ Y. inp i = Some Y}| < o |UNIV :: 'var set|
           |{i. ∃ A. binp i = Some A}| < o |UNIV :: 'var set|
    and qOp delta inp binp #= X'
  then obtain inp' binp' where
    X'eq: X' = qOp delta inp' binp' and
    2: sameDom inp inp' ∧ sameDom binp binp' and
    3: liftAll2 (λY Y'. Y #= Y') inp inp' ∧
       liftAll2 (λA A'. A $= A') binp binp'
  unfolding qOp-alpha-iff by auto
  show qGood X'
  unfolding X'eq apply simp unfolding liftAll-def apply auto
  proof-
    fix i Y' assume inp': inp' i = Some Y'
    then obtain Y where inp: inp i = Some Y
    using 2 unfolding sameDom-def by fastforce
    hence Y #= Y' using inp' 3 unfolding liftAll2-def by blast
    moreover have qGood Y using * inp unfolding liftAll-def by simp
    ultimately show qGood Y' using IH1 inp unfolding liftAll-def by blast
  next
    fix i A' assume binp': binp' i = Some A'
    then obtain A where binp: binp i = Some A
    using 2 unfolding sameDom-def by fastforce
    hence A $= A' using binp' 3 unfolding liftAll2-def by blast
    moreover have qGoodAbs A using * binp unfolding liftAll-def by simp
    ultimately show qGoodAbs A' using IH2 binp unfolding liftAll-def by blast
  next
    have {i. ∃ Y'. inp' i = Some Y'} = {i. ∃ Y. inp i = Some Y}

```

```

using 2 unfolding sameDom-def by force
thus |{i.  $\exists Y'. \text{inp}' i = \text{Some } Y'\}| < o |UNIV :: 'var set| using ** by simp
next
have |{i.  $\exists A'. \text{binp}' i = \text{Some } A'\}| = |{i. \exists A. \text{binp } i = \text{Some } A\}|
using 2 unfolding sameDom-def by force
thus |{i.  $\exists A'. \text{binp}' i = \text{Some } A'\}| < o |UNIV :: 'var set| using ** by simp
qed
next
fix xs x X A'
assume IH:  $\bigwedge Y. (X, Y) \in qSwapped \implies qGood Y \longrightarrow (\forall X'. Y \#= X' \longrightarrow qGood X')$ 
and *:  $qGood X$  and  $qAbs xs x X \$= A'$ 
then obtain x' y X' where A' =  $qAbs xs x' X'$  and
  1:  $(X \#[[y \wedge x]]-xs) \#= (X' \#[[y \wedge x']] -xs)$ 
unfolding qAbs-alphaAbs-iff by auto
thus  $qGoodAbs A'$ 
proof(auto)
have  $(X, X \#[[y \wedge x]]-xs) \in qSwapped$  by(auto simp add: qSwap-qSwapped)
moreover have  $qGood(X \#[[y \wedge x]]-xs)$  using * qSwap-preserves-qGood by
auto
ultimately have  $qGood(X' \#[[y \wedge x']] -xs)$  using 1 IH by auto
thus  $qGood X'$  using * qSwap-preserves-qGood by auto
qed
qed

corollary alpha-preserves-qGood1:
 $\llbracket X \#= X'; qGood X \rrbracket \implies qGood X'$ 
using alphaAll-preserveds-qGoodAll1 by blast

corollary alphaAbs-preserveds-qGoodAbs1:
 $\llbracket A \$= A'; qGoodAbs A \rrbracket \implies qGoodAbs A'$ 
using alphaAll-preserveds-qGoodAll1 by blast

lemma alpha-preserveds-qGood2:
 $\llbracket X \#= X'; qGood X \rrbracket \implies qGood X$ 
using alpha-sym alpha-preserveds-qGood1 by blast

lemma alphaAbs-preserveds-qGoodAbs2:
 $\llbracket A \$= A'; qGoodAbs A \rrbracket \implies qGoodAbs A$ 
using alphaAbs-sym alphaAbs-preserveds-qGoodAbs1 by blast

lemma alpha-preserveds-qGood:
 $X \#= X' \implies qGood X = qGood X'$ 
using alpha-preserveds-qGood1 alpha-preserveds-qGood2 by blast

lemma alphaAbs-preserveds-qGoodAbs:
 $A \$= A' \implies qGoodAbs A = qGoodAbs A'$ 
using alphaAbs-preserveds-qGoodAbs1 alphaAbs-preserveds-qGoodAbs2 by blast$$$ 
```

```

lemma alpha-qSwap-preserves-qGood1:
assumes ALPHA: ( $X \# [y \wedge x] - zs$ )  $\# = (X' \# [y' \wedge x'] - zs')$  and
GOOD: qGood X
shows qGood X'
proof-
  have qGood( $X \# [y \wedge x] - zs$ ) using GOOD qSwap-preserves-qGood by auto
  hence qGood ( $X' \# [y' \wedge x'] - zs'$ ) using ALPHA alpha-preserves-qGood by auto
  thus qGood X' using qSwap-preserves-qGood by auto
qed

lemma alpha-qSwap-preserves-qGood2:
assumes ALPHA: ( $X \# [y \wedge x] - zs$ )  $\# = (X' \# [y' \wedge x'] - zs')$  and
GOOD': qGood X'
shows qGood X
proof-
  have qGood( $X' \# [y' \wedge x'] - zs'$ ) using GOOD' qSwap-preserves-qGood by auto
  hence qGood ( $X \# [y \wedge x] - zs$ ) using ALPHA alpha-preserves-qGood by auto
  thus qGood X using qSwap-preserves-qGood by auto
qed

lemma alphaAbs-qSwapAbs-preserves-qGoodAbs2:
assumes ALPHA: ( $A \$ [y \wedge x] - zs$ )  $\$ = (A' \$ [y' \wedge x'] - zs')$  and
GOOD': qGoodAbs A'
shows qGoodAbs A
proof-
  have qGoodAbs( $A' \$ [y' \wedge x'] - zs'$ ) using GOOD' qSwapAbs-preserves-qGoodAbs
by auto
  hence qGoodAbs ( $A \$ [y \wedge x] - zs$ ) using ALPHA alphaAbs-preserves-qGoodAbs
by auto
  thus qGoodAbs A using qSwapAbs-preserves-qGoodAbs by auto
qed

lemma alpha-qSwap-preserves-qGood:
assumes ALPHA: ( $X \# [y \wedge x] - zs$ )  $\# = (X' \# [y' \wedge x'] - zs')$ 
shows qGood X = qGood X'
using assms alpha-qSwap-preserves-qGood1
alpha-qSwap-preserves-qGood2 by auto

lemma qSwapAll-preserves-alphaAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
A::('index,'bindex,'varSort,'var,'opSym)qAbs and z1 z2 zs
shows
(qGood X  $\longrightarrow (\forall X' zs z1 z2. X \# = X' \longrightarrow$ 
 $(X \# [z1 \wedge z2] - zs) \# = (X' \# [z1 \wedge z2] - zs)) \wedge$ 
 $(qGoodAbs A \longrightarrow (\forall A' zs z1 z2. A \$ = A' \longrightarrow$ 
 $(A \$ [z1 \wedge z2] - zs) \$ = (A' \$ [z1 \wedge z2] - zs)))$ 
proof(induction rule: qGood-qTerm-induct)
  case (Var xs x)
  then show ?case unfolding qVar-alpha-iff by simp

```

```

next
case (Op delta inp binp)
show ?case proof safe
fix X' zs z1 z2
assume qOp delta inp binp #≡ X' term X' term binp
then obtain inp' binp' where X'eq: X' = qOp delta inp' binp' and
1: sameDom inp inp' ∧ sameDom binp binp'
and 2: liftAll2 (λ Y Y'. Y #≡ Y') inp inp' ∧
liftAll2 (λ A A'. A $= A') binp binp'
unfolding qOp-alpha-iff by auto
thus ((qOp delta inp binp) #[[z1 ∧ z2]]-zs) #≡ (X' #[[z1 ∧ z2]]-zs)
unfolding X'eq using Op.IH
by (auto simp add: fun-eq-iff option.case-eq-if
lift-def liftAll-def sameDom-def liftAll2-def)
qed
next
case (Abs xs x X)
show ?case proof safe
fix A' zs z1 z2 assume qAbs xs x X $= A'
then obtain x' y X' where A': A' = qAbs xs x' X' and
y-not: y ∉ {x, x'} and y-fresh: qAFresh xs y X ∧ qAFresh xs y X' and
alpha: (X #[[y ∧ x]]-xs) #≡ (X' #[[y ∧ x']]-xs)
unfolding qAbs-alphaAbs-iff by auto
hence goodX': qGood X' using ⟨qGood X⟩ alpha-qSwap-preserves-qGood by
fastforce

obtain u where u-notin: u ∉ {x,x',z1,z2,y} and
u-freshXX': qAFresh xs u X ∧ qAFresh xs u X'
using ⟨qGood X⟩ goodX' obtain-qFresh[of {x,x',z1,z2,y} {X,X'}] by auto
hence u-not: u ≠ (x @xs[z1 ∧ z2]-zs) ∧ u ≠ (x' @xs[z1 ∧ z2]-zs)
unfolding sw-def using u-notin by auto
have u-fresh: qAFresh xs u (X #[[z1 ∧ z2]]-zs) ∧ qAFresh xs u (X' #[[z1 ∧ z2]]-zs)
using u-freshXX' u-notin by (auto simp add: qSwap-preserves-qAFresh-distinct)

have ((X #[[z1 ∧ z2]]-zs) #[[u ∧ (x @xs[z1 ∧ z2]-zs)]]-xs) =
    (((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) #[[z1 ∧ z2]]-zs)
using y-fresh u-freshXX' u-notin by (simp add: qSwap-3commute)
moreover
{have 1: (X, X #[[y ∧ x]]-xs) ∈ qSwapped by (simp add: qSwap-qSwapped)
hence ((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) #≡ ((X' #[[y ∧ x']]-xs) #[[u ∧ y]]-xs)
using alpha Abs.IH by auto
moreover have (X, (X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) ∈ qSwapped
using 1 by (auto simp add: qSwapped.Swap)
ultimately have (((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) #[[z1 ∧ z2]]-zs) #≡
    (((X' #[[y ∧ x']]-xs) #[[u ∧ y]]-xs) #[[z1 ∧ z2]]-zs)
using Abs.IH by auto
}
moreover

```

```

have (((X' #[[y ∧ x']] -xs) #[[u ∧ y]] -xs) #[[z1 ∧ z2]] -zs) =
    ((X' #[[z1 ∧ z2]] -zs) #[[u ∧ (x' @xs[z1 ∧ z2] -zs)] ] -xs)
using y-fresh u-freshXX' u-notin by (auto simp add: qSwap-3commute)
ultimately have ((X #[[z1 ∧ z2]] -zs) #[[u ∧ (x @xs[z1 ∧ z2] -zs)] ] -xs) #=
    ((X' #[[z1 ∧ z2]] -zs) #[[u ∧ (x' @xs[z1 ∧ z2] -zs)] ] -xs) by simp
thus ((qAbs xs x X) $[[z1 ∧ z2]] -zs) $= (A' $[[z1 ∧ z2]] -zs)
unfolding A' using u-not u-fresh by auto
qed
qed

corollary qSwap-preserves-alpha:
assumes qGood X ∨ qGood X' and X #= X'
shows (X #[[z1 ∧ z2]] -zs) #= (X' #[[z1 ∧ z2]] -zs)
using assms alpha-preserves-qGood qSwapAll-preserves-alphaAll by blast

corollary qSwapAbs-preserves-alphaAbs:
assumes qGoodAbs A ∨ qGoodAbs A' and A $= A'
shows (A $[[z1 ∧ z2]] -zs) $= (A' $[[z1 ∧ z2]] -zs)
using assms alphaAbs-preserves-qGoodAbs qSwapAll-preserves-alphaAll by blast

lemma qSwap-twice-preserves-alpha:
assumes qGood X ∨ qGood X' and X #= X'
shows ((X #[[z1 ∧ z2]] -zs) #[[u1 ∧ u2]] -us) #= ((X' #[[z1 ∧ z2]] -zs) #[[u1 ∧ u2]] -us)
by (simp add: assms qSwap-preserves-alpha qSwap-preserves-qGood)

lemma alphaAll-trans:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
      A::('index,'bindex,'varSort,'var,'opSym)qAbs
shows
(qGood X → (∀ X' X''. X #= X' ∧ X' #= X'' → X #= X'') ∧
 (qGoodAbs A → (∀ A' A''. A $= A' ∧ A' $= A'' → A $= A''))
proof(induction rule: qGood-qTerm-induct)
case (Var xs x)
then show ?case by (simp add: qVar-alpha-iff)
next
case (Op delta inp binp)
show ?case proof safe
fix X' X'' assume qOp delta inp binp #= X' and *: X' #= X''
then obtain inp' binp' where
1: X' = qOp delta inp' binp' and
2: sameDom inp inp' ∧ sameDom binp binp' and
3: liftAll2 (λ Y Y'. Y #= Y') inp inp' ∧
   liftAll2 (λ A A'. A $= A') binp binp'
unfolding qOp-alpha-iff by auto
obtain inp'' binp'' where
11: X'' = qOp delta inp'' binp'' and
22: sameDom inp' inp'' ∧ sameDom binp' binp'' and
33: liftAll2 (λ Y' Y''. Y' #= Y'') inp' inp'' ∧

```

```

liftAll2 ( $\lambda A' A''. A' \$= A''$ ) binp' binp"
using * unfolding 1 unfolding qOp-alpha-iff by auto
have liftAll2 (#=) inp inp'' unfolding liftAll2-def proof safe
fix i Y Y"
assume inp: inp i = Some Y and inp'': inp'' i = Some Y"
then obtain Y' where inp': inp' i = Some Y'
using 2 unfolding sameDom-def by force
hence Y #= Y' using inp 3 unfolding liftAll2-def by blast
moreover have Y' #= Y'' using inp' inp'' 33 unfolding liftAll2-def by
blast
ultimately show Y #= Y'' using inp Op.IH unfolding liftAll-def by blast
qed
moreover have liftAll2 ($=) binp binp'' unfolding liftAll2-def proof safe
fix i A A"
assume binp: binp i = Some A and binp'': binp'' i = Some A"
then obtain A' where binp': binp' i = Some A'
using 2 unfolding sameDom-def by force
hence A $= A' using binp 3 unfolding liftAll2-def by blast
moreover have A' $= A'' using binp' binp'' 33 unfolding liftAll2-def by
blast
ultimately show A $= A'' using binp Op.IH unfolding liftAll-def by blast
qed
ultimately show qOp delta inp binp #= X"
by (simp add: 11 2 22 sameDom-trans[of inp inp'] sameDom-trans[of binp
binp'])
qed
next
case (Abs xs x X)
show ?case proof safe
fix A' A"
assume qAbs xs x X $= A' and *: A' $= A"
then obtain x' y X' where A': A' = qAbs xs x' X' and y-not: ynotin {x, x'}
and
y-fresh: qAFresh xs y X ∧ qAFresh xs y X' and
alpha: (X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs)
unfolding qAbs-alphaAbs-iff by auto
obtain x'' z X'' where A'': A'' = qAbs xs x'' X'' and z-not: znotin {x', x''} and
z-fresh: qAFresh xs z X' ∧ qAFresh xs z X'' and
alpha': (X' #[[z ∧ x']] -xs) #= (X'' #[[z ∧ x'']] -xs)
using * unfolding A' qAbs-alphaAbs-iff by auto
have goodX': qGood X'
using alpha < qGood X > alpha-qSwap-preserves-qGood by fastforce
hence goodX'': qGood X'' and
using alpha' alpha-qSwap-preserves-qGood by fastforce
have good: qGood((X #[[y ∧ x]]-xs)) ∧ qGood((X' #[[z ∧ x']] -xs))
using < qGood X > goodX' qSwap-preserves-qGood by auto

obtain u where u-not: unotin {x, x', x'', y, z} and
u-fresh: qAFresh xs u X ∧ qAFresh xs u X' ∧ qAFresh xs u X'' and

```

```

using ‹qGood X› goodX' goodX"
using obtain-qFresh[of {x,x',x'',y,z} {X, X', X''}] by auto

{have (X #[[u ∧ x]]-xs) = ((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs)
 using u-fresh y-fresh by (auto simp add: qAFresh-qSwap-compose)
 moreover
have ((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) #= ((X' #[[y ∧ x']] -xs) #[[u ∧ y]]-xs)
 using good alpha qSwap-preserves-alpha by fastforce
 moreover have ((X' #[[y ∧ x']] -xs) #[[u ∧ y]]-xs) = (X' #[[u ∧ x']] -xs)
 using u-fresh y-fresh by (auto simp add: qAFresh-qSwap-compose)
 ultimately have (X #[[u ∧ x]]-xs) #= (X' #[[u ∧ x']] -xs) by simp
}
moreover
{have (X' #[[u ∧ x']] -xs) = ((X' #[[z ∧ x']] -xs) #[[u ∧ z]]-xs)
 using u-fresh z-fresh by (auto simp add: qAFresh-qSwap-compose)
 moreover
have ((X' #[[z ∧ x']] -xs) #[[u ∧ z]]-xs) #= ((X'' #[[z ∧ x'']] -xs) #[[u ∧
z]]-xs)
 using good alpha' qSwap-preserves-alpha by fastforce
 moreover have ((X'' #[[z ∧ x'']] -xs) #[[u ∧ z]]-xs) = (X'' #[[u ∧ x'']] -xs)
 using u-fresh z-fresh by (auto simp add: qAFresh-qSwap-compose)
 ultimately have (X' #[[u ∧ x']] -xs) #= (X'' #[[u ∧ x'']] -xs) by simp
}
moreover have (X, X #[[u ∧ x]]-xs) ∈ qSwapped by (simp add: qSwap-qSwapped)
ultimately have (X #[[u ∧ x]]-xs) #= (X'' #[[u ∧ x'']] -xs)
using Abs.IH by blast
thus qAbs xs x X $= A''
unfolding A'' using u-not u-fresh by auto
qed
qed

```

corollary alpha-trans:

```

assumes qGood X ∨ qGood X' ∨ qGood X'' X #= X' X' #= X''
shows X #= X''
by (meson alphaAll-trans alpha-preserves-qGood assms)

```

corollary alphaAbs-trans:

```

assumes qGoodAbs A ∨ qGoodAbs A' ∨ qGoodAbs A''
and A $= A' A' $= A''
shows A $= A''
using assms alphaAbs-preserves-qGoodAbs alphaAll-trans by blast

```

lemma alpha-trans-twice:

```

[| qGood X ∨ qGood X' ∨ qGood X'' ∨ qGood X''' ;
  X #= X'; X' #= X''; X'' #= X''' |] ==> X #= X'''
using alpha-trans by blast

```

lemma alphaAbs-trans-twice:

```

[| qGoodAbs A ∨ qGoodAbs A' ∨ qGoodAbs A'' ∨ qGoodAbs A''' ;

```

$A \$= A'; A' \$= A''; A'' \$= A''' \Rightarrow A \$= A'''$
using alphaAbs-trans by blast

lemma *qAbs-preserves-alpha*:
assumes *ALPHA*: $X \# = X'$ **and** *GOOD*: $qGood X \vee qGood X'$
shows $qAbs xs x X \$= qAbs xs x X'$
proof–
have $qGood X \wedge qGood X'$ **using** *GOOD ALPHA* **by** (*auto simp add: alpha-preserves-qGood*)
then obtain *y where* *y-not*: $y \neq x$ **and**
 y-fresh: $qAFresh xs y X \wedge qAFresh xs y X'$
using *GOOD obtain-qFresh[of {x} {X,X'}]* **by** *auto*
hence $(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x]] - xs)$
using *ALPHA GOOD* **by** (*simp add: qSwap-preserves-alpha*)
thus *?thesis* **using** *y-not y-fresh* **by** *auto*
qed

corollary *qAbs-preserves-alpha2*:
assumes *ALPHA*: $X \# = X'$ **and** *GOOD*: $qGoodAbs(qAbs xs x X) \vee qGoodAbs(qAbs xs x X')$
shows $qAbs xs x X \$= qAbs xs x X'$
using assms by (*intro qAbs-preserves-alpha*) *auto*

2.4.4 Picking fresh representatives

lemma *qAbs-alphaAbs-qSwap-qAFresh*:
assumes *GOOD*: $qGood X$ **and** *FRESH*: $qAFresh ys x' X$
shows $qAbs ys x X \$= qAbs ys x' (X \# [[x' \wedge x]] - ys)$
proof–
obtain *y where* 1: $y \notin \{x, x'\}$ **and** 2: $qAFresh ys y X$
using *GOOD obtain-qFresh[of {x,x'} {X}]* **by** *auto*
hence 3: $qAFresh ys y (X \# [[x' \wedge x]] - ys)$
by (*auto simp add: qSwap-preserves-qAFresh-distinct*)

have $(X \# [[y \wedge x]] - ys) = ((X \# [[x' \wedge x]] - ys) \# [[y \wedge x']] - ys)$
using *FRESH 2 by* (*auto simp add: qAFresh-qSwap-compose*)
moreover have *qGood* $(X \# [[y \wedge x]] - ys)$
using 1 *GOOD qSwap-preserves-qGood* **by** *auto*
ultimately have $(X \# [[y \wedge x]] - ys) \# = ((X \# [[x' \wedge x]] - ys) \# [[y \wedge x']] - ys)$
using *alpha-refl* **by** *simp*

thus *?thesis* **using** 1 2 3 *assms* **by** *auto*
qed

lemma *qAbs-ex-qAFresh-rep*:
assumes *GOOD*: $qGood X$ **and** *FRESH*: $qAFresh xs x' X$
shows $\exists X'. qGood X' \wedge qAbs xs x X \$= qAbs xs x' X'$
proof–
have 1: $qGood (X \# [[x' \wedge x]] - xs)$ **using** *assms qSwap-preserves-qGood* **by** *auto*
show *?thesis*

```

apply(rule exI[of - X #[[x' ∧ x]]-xs])
using assms 1 qAbs-alphaAbs-qSwap-qAFresh by fastforce
qed

```

2.5 Properties of swapping and freshness modulo alpha

```

lemma qFreshAll-imp-ex-qAFreshAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
      A::('index,'bindex,'varSort,'var,'opSym)qAbs and zs fZs
assumes FIN: finite V
shows
(qGood X →
 ((∀ z ∈ V. ∀ zs ∈ fZs z. qFresh zs z X) →
  (∃ X'. X #≡ X' ∧ (∀ z ∈ V. ∀ zs ∈ fZs z. qAFresh zs z X')))) ∧
(qGoodAbs A →
 ((∀ z ∈ V. ∀ zs ∈ fZs z. qFreshAbs zs z A) →
  (∃ A'. A $= A' ∧ (∀ z ∈ V. ∀ zs ∈ fZs z. qAFreshAbs zs z A'))))
proof(induction rule: qGood-qTerm-induct)
  case (Var xs x)
  show ?case
  by (metis alpha-qVar-iff qAFreshAll-simps(1) qFreshAll-simps(1))
next
  case (Op delta inp binp)
  show ?case proof safe
    assume *: ∀ z ∈ V. ∀ zs ∈ fZs z. qFresh zs z (qOp delta inp binp)
    define phi and phiAbs where
      phi ≡ (λ(Y::('index,'bindex,'varSort,'var,'opSym)qTerm) Y'.
      Y #≡ Y' ∧ (∀ z ∈ V. ∀ zs ∈ fZs z. qAFresh zs z Y')) and
      phiAbs ≡ (λ(A::('index,'bindex,'varSort,'var,'opSym)qAbs) A'.
      A $= A' ∧ (∀ z ∈ V. ∀ zs ∈ fZs z. qAFreshAbs zs z A'))
    have ex-phi: ⋀ i Y. inp i = Some Y ⇒ ∃ Y'. phi Y Y'
    unfolding phi-def using Op.IH * by (auto simp add: liftAll-def)
    have ex-phiAbs: ⋀ i A. binp i = Some A ⇒ ∃ A'. phiAbs A A'
    unfolding phiAbs-def using Op.IH * by (auto simp add: liftAll-def)
    define inp' and binp' where
      inp' ≡ λ i. case inp i of Some Y ⇒ Some (SOME Y'. phi Y Y') | None ⇒
      None and
      binp' ≡ λ i. case binp i of Some A ⇒ Some (SOME A'. phiAbs A A') | None ⇒
      None
    show ∃ X'. qOp delta inp binp #≡ X' ∧ (∀ z ∈ V. ∀ zs ∈ fZs z. qAFresh zs z X')
    by (intro exI[of - qOp delta inp' binp'])
      (auto simp add: inp'-def binp'-def option.case-eq-if sameDom-def liftAll-def
      liftAll2-def,
       (meson ex-phi phi-def ex-phiAbs phiAbs-def some-eq-ex)+)
  qed
next
  case (Abs xs x X)
  show ?case proof safe
    assume *: ∀ z ∈ V. ∀ zs ∈ fZs z. qFreshAbs zs z (qAbs xs x X)

```

```

obtain y where y-not-x:  $y \neq x$  and y-not-V:  $y \notin V$ 
and y-afresh: qAFresh xs y X
using FIN ⟨qGood X⟩ obtain-qFresh[of  $V \cup \{x\} \setminus \{X\}$ ] by auto
hence y-fresh: qFresh xs y X using qAFresh-imp-qFresh by fastforce
obtain Y where Y-def:  $Y = (X \# [[y \wedge x]]) - xs$  by blast
have alphaXY: qAbs xs x X $= qAbs xs y Y
using ⟨qGood X⟩ y-afresh qAbs-alphaAbs-qSwap-qAFresh unfolding Y-def by
fastforce
have  $\forall z \in V. \forall zs \in fZs z. qFresh zs z Y$ 
unfolding Y-def
by (metis * not-equals-and-not-equals-not-in qAFresh-imp-qFresh qAFresh-qSwap-exchange1

qFreshAbs.simps qSwap-preserves-qFresh-distinct y-afresh y-not-V)
moreover have  $(X, Y) \in qSwapped$  unfolding Y-def by (simp add: qSwap-qSwapped)
ultimately obtain Y' where  $Y \# Y'$  and **:  $\forall z \in V. \forall zs \in fZs z. qAFresh$ 
zs z Y'
using Abs.IH by blast
moreover have qGood Y unfolding Y-def using ⟨qGood X⟩ qSwap-preserves-qGood
by auto
ultimately have qAbs xs y Y $= qAbs xs y Y' using qAbs-preserves-alpha by
blast
moreover have qGoodAbs(qAbs xs x X) using ⟨qGood X⟩ by simp
ultimately have qAbs xs x X $= qAbs xs y Y' using alphaXY alphaAbs-trans
by blast
moreover have  $\forall z \in V. \forall zs \in fZs z. qAFreshAbs zs z (qAbs xs y Y')$  using **
y-not-V by auto
ultimately show  $\exists A'. qAbs xs x X = A' \wedge (\forall z \in V. \forall zs \in fZs z. qAFreshAbs$ 
zs z A')
by blast
qed
qed

corollary qFresh-imp-ex-qAFresh:
assumes finite V and qGood X and  $\forall z \in V. \forall zs \in fZs z. qFresh zs z X$ 
shows  $\exists X'. qGood X' \wedge X \# X' \wedge (\forall z \in V. \forall zs \in fZs z. qAFresh zs z X')$ 
by (metis alphaAll-preserves-qGoodAll1 assms qFreshAll-imp-ex-qAFreshAll)

corollary qFreshAbs-imp-ex-qAFreshAbs:
assumes finite V and qGoodAbs A and  $\forall z \in V. \forall zs \in fZs z. qFreshAbs zs z A$ 
shows  $\exists A'. qGoodAbs A' \wedge A = A' \wedge (\forall z \in V. \forall zs \in fZs z. qAFreshAbs zs z$ 
A')
by (metis alphaAll-preserves-qGoodAll1 assms qFreshAll-imp-ex-qAFreshAll)

lemma qFresh-imp-ex-qAFresh1:
assumes qGood X and qFresh zs z X
shows  $\exists X'. qGood X' \wedge X \# X' \wedge qAFresh zs z X'$ 
using assms qFresh-imp-ex-qAFresh[of {z} - undefined(z := {zs})] by fastforce

lemma qFreshAbs-imp-ex-qAFreshAbs1:

```

```

assumes finite V and qGoodAbs A and qFreshAbs zs z A
shows ∃ A'. qGoodAbs A' ∧ A $= A' ∧ qAFreshAbs zs z A'
using assms qFreshAbs-imp-ex-qAFreshAbs[of {z} - undefined(z := {zs})] by fast-
force

lemma qFresh-imp-ex-qAFresh2:
assumes qGood X and qFresh xs x X and qFresh ys y X
shows ∃ X'. qGood X' ∧ X #= X' ∧ qAFresh xs x X' ∧ qAFresh ys y X'
using assms
qFresh-imp-ex-qAFresh[of {x} - undefined(x := {xs,ys})]
qFresh-imp-ex-qAFresh[of {x,y} - (undefined(x := {xs}))(y := {ys})]
by (cases x = y) auto

lemma qFreshAbs-imp-ex-qAFreshAbs2:
assumes finite V and qGoodAbs A and qFreshAbs xs x A and qFreshAbs ys y A
shows ∃ A'. qGoodAbs A' ∧ A $= A' ∧ qAFreshAbs xs x A' ∧ qAFreshAbs ys y A'
using assms
qFreshAbs-imp-ex-qAFreshAbs[of {x} - undefined(x := {xs,ys})]
qFreshAbs-imp-ex-qAFreshAbs[of {x,y} - (undefined(x := {xs}))(y := {ys})]
by (cases x = y) auto

lemma qAFreshAll-qFreshAll-preserves-alphaAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
A::('index,'bindex,'varSort,'var,'opSym)qAbs and zs z
shows
(qGood X →
 (qAFresh zs z X → (∀ X'. X #= X' → qFresh zs z X'))) ∧
(qGoodAbs A →
 (qAFreshAbs zs z A → (∀ A'. A $= A' → qFreshAbs zs z A')))

proof(induction rule: qGood-qTerm-induct)
case (Var xs x)
thus ?case unfolding qVar-alpha-iff by simp
next
case (Op delta inp binp)
show ?case proof safe
fix X'
assume afresh: qAFresh zs z (qOp delta inp binp)
and qOp delta inp binp #= X'
then obtain inp' and binp' where X'eq: X' = qOp delta inp' binp' and
*: (∀ i. (inp i = None) = (inp' i = None)) ∧
(∀ i. (binp i = None) = (binp' i = None)) and
**: (∀ i Y Y'. inp i = Some Y ∧ inp' i = Some Y' → Y #= Y') ∧
(∀ i A A'. binp i = Some A ∧ binp' i = Some A' → A $= A')
unfolding qOp-alpha-iff sameDom-def liftAll2-def by auto
{fix i Y' assume inp': inp' i = Some Y'
then obtain Y where inp: inp i = Some Y using * by fastforce
hence Y #= Y' using inp' ** by blast
hence qFresh zs z Y' using inp Op.IH afresh by (auto simp: liftAll-def)
}

```

```

moreover
{fix i A' assume binp': binp' i = Some A'
  then obtain A where binp: binp i = Some A using * by fastforce
  hence A $= A' using binp' ** by blast
  hence qFreshAbs zs z A' using binp Op.IH afresh by (auto simp: liftAll-def)
}
ultimately show qFresh zs z X'
  unfolding X'eq apply simp unfolding liftAll-def by simp
qed
next
case (Abs xs x X)
show ?case proof safe
  fix A'
  assume qAbs xs x X $= A' and afresh: qAFreshAbs zs z (qAbs xs x X)
  then obtain x' y X' where A'eq: A' = qAbs xs x' X' and
    ynot: ynotin {x, x'} and y-afresh: qAFresh xs y X ∧ qAFresh xs y X' and
    alpha: (X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']]-xs)
    unfolding qAbs-alphaAbs-iff by auto

  have goodXxy: qGood(X #[[y ∧ x]]-xs) using ⟨qGood X⟩ qSwap-preserves-qGood
  by auto
  hence goodX'yx': qGood(X' #[[y ∧ x']]-xs) using alpha alpha-preserves-qGood
  by auto
  hence qGood X' using qSwap-preserves-qGood by auto
  then obtain u where u-afresh: qAFresh xs u X ∧ qAFresh xs u X'
  and unot: unotin {x,x',z} using ⟨qGood X⟩ obtain-qFresh[of {x,x',z} {X,X'}] by
  auto

  have (X #[[u ∧ x]]-xs) = ((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) ∧
    (X' #[[u ∧ x']] -xs) = ((X' #[[y ∧ x']] -xs) #[[u ∧ y]] -xs)
  using u-afresh y-afresh qAFresh-qSwap-compose by fastforce
  moreover have ((X #[[y ∧ x]]-xs) #[[u ∧ y]]-xs) #= ((X' #[[y ∧ x']] -xs) #[[u
  ∧ y]] -xs)
  using goodXxy goodX'yx' alpha qSwap-preserves-alpha by fastforce
  ultimately have alpha: (X #[[u ∧ x]]-xs) #= (X' #[[u ∧ x']] -xs) by simp

moreover have (X, X #[[u ∧ x]]-xs) ∈ qSwapped by (simp add: qSwap-qSwapped)
moreover have qAFresh zs z (X #[[u ∧ x]]-xs)
using unot afresh by (auto simp add: qSwap-preserves-qAFresh-distinct)
ultimately have qFresh zs z (X' #[[u ∧ x']] -xs) using afresh Abs.IH by simp
hence zs = xs ∧ z = x' ∨ qFresh zs z X'
using unot afresh qSwap-preserves-qFresh-distinct[of zs xs z] by fastforce
thus qFreshAbs zs z A' unfolding A'eq by simp
qed
qed

corollary qAFresh-qFresh-preserves-alpha:
[qGood X; qAFresh zs z X; X #= X'] ==> qFresh zs z X'
by (simp add: qAFreshAll-qFreshAll-preserves-alphaAll)

```

```

corollary qAFreshAbs-imp-qFreshAbs-preserves-alphaAbs:
  [| qGoodAbs A; qAFreshAbs zs z A; A $= A' |] ==> qFreshAbs zs z A'
  by(simp add: qAFreshAll-qFreshAll-preserves-alphaAll)

lemma qFresh-preserves-alpha1:
  assumes qGood X and qFresh zs z X and X #= X'
  shows qFresh zs z X'
  by (meson alpha-sym alpha-trans assms qAFresh-qFresh-preserves-alpha qFresh-imp-ex-qAFresh1)

lemma qFreshAbs-preserves-alphaAbs1:
  assumes qGoodAbs A and qFreshAbs zs z A and A $= A'
  shows qFreshAbs zs z A'
  by (meson alphaAbs-sym alphaAbs-trans assms finite.emptyI
    qAFreshAbs-imp-qFreshAbs-preserves-alphaAbs qFreshAbs-imp-ex-qAFreshAbs1)

lemma qFresh-preserves-alpha:
  assumes qGood X ∨ qGood X' and X #= X'
  shows qFresh zs z X ↔ qFresh zs z X'
  using alpha-preserves-qGood alpha-sym assms qFresh-preserves-alpha1 by blast

lemma qFreshAbs-preserves-alphaAbs:
  assumes qGoodAbs A ∨ qGoodAbs A' and A $= A'
  shows qFreshAbs zs z A = qFreshAbs zs z A'
  using assms alphaAbs-preserves-qGoodAbs alphaAbs-sym qFreshAbs-preserves-alphaAbs1
  by blast

lemma alpha-qFresh-qSwap-id:
  assumes qGood X and qFresh zs z1 X and qFresh zs z2 X
  shows (X #|[z1 ∧ z2]|-zs) #= X
  proof-
    obtain X' where 1: X #= X' and qAFresh zs z1 X' ∧ qAFresh zs z2 X'
    using assms qFresh-imp-ex-qAFresh2 by force
    hence (X' #|[z1 ∧ z2]|-zs) = X' using qAFresh-qSwap-id by auto
    moreover have (X #|[z1 ∧ z2]|-zs) #= (X' #|[z1 ∧ z2]|-zs)
      using assms 1 by (auto simp add: qSwap-preserves-alpha)
    moreover have X' #= X using 1 alpha-sym by auto
    moreover have qGood(X #|[z1 ∧ z2]|-zs) using assms qSwap-preserves-qGood
    by auto
    ultimately show ?thesis using alpha-trans by auto
  qed

lemma alphaAbs-qFreshAbs-qSwapAbs-id:
  assumes qGoodAbs A and qFreshAbs zs z1 A and qFreshAbs zs z2 A
  shows (A $|[z1 ∧ z2]|-zs) $= A
  proof-
    obtain A' where 1: A $= A' and qAFreshAbs zs z1 A' ∧ qAFreshAbs zs z2 A'
    using assms qFreshAbs-imp-ex-qAFreshAbs2 by force
    hence (A' $|[z1 ∧ z2]|-zs) = A' using qAFreshAll-qSwapAll-id by fastforce

```

```

moreover have (A $[[z1 ∧ z2]]-zs) $= (A' $[[z1 ∧ z2]]-zs)
using assms 1 by (auto simp add: qSwapAbs-preserves-alphaAbs)
moreover have A' $= A using 1 alphaAbs-sym by auto
moreover have qGoodAbs (A $[[z1 ∧ z2]]-zs) using assms qSwapAbs-preserves-qGoodAbs
by auto
ultimately show ?thesis using alphaAbs-trans by auto
qed

```

```

lemma alpha-qFresh-qSwap-compose:
assumes GOOD: qGood X and qFresh zs y X and qFresh zs z X
shows ((X #[[y ∧ x]]-zs) #[[z ∧ y]]-zs) #= (X #[[z ∧ x]]-zs)
proof-
  obtain X' where 1: X #= X' and qAFresh zs y X' ∧ qAFresh zs z X'
  using assms qFresh-imp-ex-qAFresh2 by force
  hence ((X' #[[y ∧ x]]-zs) #[[z ∧ y]]-zs) = (X' #[[z ∧ x]]-zs)
  using qAFresh-qSwap-compose by auto
  moreover have ((X #[[y ∧ x]]-zs) #[[z ∧ y]]-zs) #= ((X' #[[y ∧ x]]-zs) #[[z
  ∧ y]]-zs)
  using GOOD 1 by (auto simp add: qSwap-twice-preserves-alpha)
  moreover have (X' #[[z ∧ x]]-zs) #= (X #[[z ∧ x]]-zs)
  using GOOD 1 by (auto simp add: qSwap-preserves-alpha alpha-sym)
  moreover have qGood ((X #[[y ∧ x]]-zs) #[[z ∧ y]]-zs)
  using GOOD by (auto simp add: qSwap-twice-preserves-qGood)
  ultimately show ?thesis using alpha-trans by auto
qed

```

```

lemma qAbs-alphaAbs-qSwap-qFresh:
assumes GOOD: qGood X and FRESH: qFresh xs x' X
shows qAbs xs x X $= qAbs xs x' (X #[[x' ∧ x]]-xs)
proof-
  obtain Y where good-Y: qGood Y and alpha: X #= Y and fresh-Y: qAFresh
  xs x' Y
  using assms qFresh-imp-ex-qAFresh1 by fastforce
  hence qAbs xs x Y $= qAbs xs x' (Y #[[x' ∧ x]]-xs)
  using qAbs-alphaAbs-qSwap-qAFresh by blast
  moreover have qAbs xs x X $= qAbs xs x Y
  using GOOD alpha qAbs-preserves-alpha by fastforce
  moreover
  {have Y #[[x' ∧ x]]-xs #= X #[[x' ∧ x]]-xs
  using GOOD alpha by (auto simp add: qSwap-preserves-alpha alpha-sym)
  moreover have qGood (Y #[[x' ∧ x]]-xs) using good-Y qSwap-preserves-qGood
  by auto
  ultimately have qAbs xs x' (Y #[[x' ∧ x]]-xs) $= qAbs xs x' (X #[[x' ∧ x]]-xs)
  using qAbs-preserves-alpha by blast
  }
  moreover have qGoodAbs (qAbs xs x X) using GOOD by simp
  ultimately show ?thesis using alphaAbs-trans by blast
qed

```

```

lemma alphaAbs-qAbs-ex-qFresh-rep:
assumes GOOD: qGood X and FRESH: qFresh xs x' X
shows  $\exists X'. (X, X') \in qSwapped \wedge qGood X' \wedge qAbs xs x X \$= qAbs xs x' X'$ 
proof-
  have 1: qGood (X #[[x' \wedge x]]-xs) using assms qSwap-preserves-qGood by auto
  have 2: (X, X #[[x' \wedge x]]-xs)  $\in qSwapped$  by (simp add: qSwap-qSwapped)
  show ?thesis
    apply(rule exI[of - X #[[x' \wedge x]]-xs])
    using assms 1 2 qAbs-alphaAbs-qSwap-qFresh by fastforce
qed

```

2.6 Alternative statements of the alpha-clause for bound arguments

These alternatives are essentially variations with forall/exists and and qFresh/qAFresh.

2.6.1 First for “qAFresh”

```

definition alphaAbs-ex-equal-or-qAFresh
where
alphaAbs-ex-equal-or-qAFresh xs x X xs' x' X' ==
(xs = xs' \wedge
( $\exists y. (y = x \vee qAFresh xs y X) \wedge (y = x' \vee qAFresh xs y X')$  \wedge
(X #[[y \wedge x]]-xs) #=(X' #[[y \wedge x']]-xs)))

```

```

definition alphaAbs-ex-qAFresh
where
alphaAbs-ex-qAFresh xs x X xs' x' X' ==
(xs = xs' \wedge
( $\exists y. qAFresh xs y X \wedge qAFresh xs y X'$  \wedge
(X #[[y \wedge x]]-xs) #=(X' #[[y \wedge x']]-xs)))

```

```

definition alphaAbs-ex-distinct-qAFresh
where
alphaAbs-ex-distinct-qAFresh xs x X xs' x' X' ==
(xs = xs' \wedge
( $\exists y. y \notin \{x, x'\} \wedge qAFresh xs y X \wedge qAFresh xs y X'$  \wedge
(X #[[y \wedge x]]-xs) #=(X' #[[y \wedge x']]-xs)))

```

```

definition alphaAbs-all-equal-or-qAFresh
where
alphaAbs-all-equal-or-qAFresh xs x X xs' x' X' ==
(xs = xs' \wedge
( $\forall y. (y = x \vee qAFresh xs y X) \wedge (y = x' \vee qAFresh xs y X')$   $\longrightarrow$ 
(X #[[y \wedge x]]-xs) #=(X' #[[y \wedge x']]-xs)))

```

```

definition alphaAbs-all-qAFresh
where
alphaAbs-all-qAFresh xs x X xs' x' X' ==

```

```


$$(xs = xs' \wedge
(\forall y. qAFresh xs y X \wedge qAFresh xs y X' \longrightarrow
(X \# [[y \wedge x]]-xs) \# = (X' \# [[y \wedge x']] - xs)))$$


definition alphaAbs-all-distinct-qAFresh
where
alphaAbs-all-distinct-qAFresh xs x X xs' x' X' ==

$$(xs = xs' \wedge
(\forall y. y \notin \{x, x'\} \wedge qAFresh xs y X \wedge qAFresh xs y X' \longrightarrow
(X \# [[y \wedge x]]-xs) \# = (X' \# [[y \wedge x']] - xs)))$$


lemma alphaAbs-weakestEx-imp-strongestAll:
assumes GOOD-X: qGood X and alphaAbs-ex-equal-or-qAFresh xs x X xs' x' X'
shows alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
proof-
  obtain y where xs: xs = xs' and
    yEqFresh: (y = x \vee qAFresh xs y X) \wedge (y = x' \vee qAFresh xs y X') and
    alpha: (X \# [[y \wedge x]]-xs) \# = (X' \# [[y \wedge x']] - xs)
  using assms by (auto simp add: alphaAbs-ex-equal-or-qAFresh-def)
  show ?thesis
  using xs unfolding alphaAbs-all-equal-or-qAFresh-def
  proof(intro conjI allI impI, simp)
    fix z assume zFresh: (z = x \vee qAFresh xs z X) \wedge (z = x' \vee qAFresh xs z X')
    have (X \# [[z \wedge x]]-xs) = ((X \# [[y \wedge x]]-xs) \# [[z \wedge y]]-xs)
    proof(cases z = x)
      assume Case1: z = x
      thus ?thesis by(auto simp add: qSwap-sym)
    next
      assume Case2: z \neq x
      hence z-fresh: qAFresh xs z X using zFresh by auto
      show ?thesis
      proof(cases y = x)
        assume Case21: y = x
        show ?thesis unfolding Case21 by simp
      next
        assume Case22: y \neq x
        hence qAFresh xs y X using yEqFresh by auto
        thus ?thesis using z-fresh qAFresh-qSwap-compose by fastforce
      qed
    qed
  moreover
  have (X' \# [[z \wedge x']] - xs) = ((X' \# [[y \wedge x']] - xs) \# [[z \wedge y]] - xs)
  proof(cases z = x')
    assume Case1: z = x'
    thus ?thesis by(auto simp add: qSwap-sym)
  next
    assume Case2: z \neq x'
    hence z-fresh: qAFresh xs z X' using zFresh by auto
    show ?thesis

```

```

proof(cases y = x')
  assume Case21: y = x'
  show ?thesis unfolding Case21 by simp
next
  assume Case22: y ≠ x'
  hence qAFresh xs y X' using yEqFresh by auto
  thus ?thesis using z-fresh qAFresh-qSwap-compose by fastforce
qed
qed
moreover
{have qGood (X #[[y ∧ x]]-xs) using GOOD-X qSwap-preserves-qGood by
auto
  hence ((X #[[y ∧ x]]-xs) #[[z ∧ y]]-xs) #= ((X' #[[y ∧ x']] -xs) #[[z ∧ y]]-xs)
  using alpha qSwap-preserves-alpha by fastforce
}
ultimately show (X #[[z ∧ x]]-xs) #= (X' #[[z ∧ x']] -xs) by simp
qed
qed

lemma alphaAbs-weakestAll-imp-strongestEx:
assumes GOOD: qGood X qGood X'
and alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
shows alphaAbs-ex-distinct-qAFresh xs x X xs' x' X'
proof-
  have xs: xs = xs'
  using assms unfolding alphaAbs-all-distinct-qAFresh-def by auto
  obtain y where y-not: y ∉ {x,x'} and
    yFresh: qAFresh xs y X ∧ qAFresh xs y X'
  using GOOD obtain-qFresh[of {x,x'} {X,X'}] by auto
  hence (X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs)
  using assms unfolding alphaAbs-all-distinct-qAFresh-def by auto
  thus ?thesis unfolding alphaAbs-ex-distinct-qAFresh-def using xs y-not yFresh
by auto
qed

```

```

lemma alphaAbs-weakestEx-imp-strongestEx:
assumes GOOD: qGood X
and alphaAbs-ex-equal-or-qAFresh xs x X xs' x' X'
shows alphaAbs-ex-distinct-qAFresh xs x X xs' x' X'
proof-
  obtain y where xs: xs = xs' and
    yEqFresh: (y = x ∨ qAFresh xs y X) ∧ (y = x' ∨ qAFresh xs y X') and
    alpha: (X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs)
  using assms unfolding alphaAbs-ex-equal-or-qAFresh-def by blast
  hence goodX': qGood X'
  using GOOD alpha-qSwap-preserves-qGood by fastforce
  then obtain z where zNot: z ∉ {x,x',y} and

```

```

zFresh: qAFresh xs z X ∧ qAFresh xs z X'
using GOOD obtain-qFresh[of {x,x',y} {X,X'}] by auto
have (X #[[z ∧ x]]-xs) = ((X #[[y ∧ x]]-xs) #[[z ∧ y]]-xs)
proof(cases y = x, simp)
  assume y ≠ x hence qAFresh xs y X using yEqFresh by auto
  thus ?thesis using zFresh qAFresh-qSwap-compose by fastforce
qed
moreover have (X' #[[z ∧ x']] -xs) = ((X' #[[y ∧ x']] -xs) #[[z ∧ y]] -xs)
proof(cases y = x', simp add: qSwap-ident)
  assume y ≠ x' hence qAFresh xs y X' using yEqFresh by auto
  thus ?thesis using zFresh qAFresh-qSwap-compose by fastforce
qed
moreover
{have qGood (X #[[y ∧ x]]-xs) using GOOD qSwap-preserves-qGood by auto
 hence ((X #[[y ∧ x]]-xs) #[[z ∧ y]]-xs) #= ((X' #[[y ∧ x']] -xs) #[[z ∧ y]] -xs)
 using alpha by (auto simp add: qSwap-preserves-alpha)
}
ultimately have (X #[[z ∧ x]]-xs) #= (X' #[[z ∧ x']] -xs) by simp
thus ?thesis unfolding alphaAbs-ex-distinct-qAFresh-def using xs zNot zFresh
by auto
qed

lemma alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qAFresh:
(qAbs xs x X $= qAbs xs' x' X') = alphaAbs-ex-distinct-qAFresh xs x X xs' x' X'
unfolding alphaAbs-ex-distinct-qAFresh-def by auto

corollary alphaAbs-qAbs-iff-ex-distinct-qAFresh:
(qAbs xs x X $= qAbs xs' x' X') =
(xs = xs' ∧
(∃ y. y ∉ {x,x'} ∧ qAFresh xs y X ∧ qAFresh xs y X' ∧
(X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs)))
unfolding alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qAFresh
alphaAbs-ex-distinct-qAFresh-def by fastforce

lemma alphaAbs-qAbs-iff-alphaAbs-ex-equal-or-qAFresh:
assumes qGood X
shows (qAbs xs x X $= qAbs xs' x' X') =
alphaAbs-ex-equal-or-qAFresh xs x X xs' x' X'
proof-
let ?Left = qAbs xs x X $= qAbs xs' x' X'
let ?Right = alphaAbs-ex-equal-or-qAFresh xs x X xs' x' X'
have ?Left ⟹ ?Right unfolding alphaAbs-ex-equal-or-qAFresh-def by auto
moreover have ?Right ⟹ ?Left
using assms alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qAFresh[of - - X]
alphaAbs-weakestEx-imp-strongestEx by auto
ultimately show ?thesis by auto
qed

corollary alphaAbs-qAbs-iff-ex-equal-or-qAFresh:

```

```

assumes qGood X
shows

$$(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$$


$$(xs = xs' \wedge$$


$$(\exists\ y.\ (y = x \vee qAFresh\ xs\ y\ X) \wedge (y = x' \vee qAFresh\ xs\ y\ X') \wedge$$


$$(X \#[[y \wedge x]]-xs) \#= (X' \#[[y \wedge x']] - xs)))$$

proof-
have  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$ 

$$\alphaAbs-ex-equal-or-qAFresh\ xs\ x\ X\ xs'\ x'\ X'$$

using assms  $\alphaAbs-qAbs$ -iff- $\alphaAbs-ex-equal-or-qAFresh$  by fastforce
thus ?thesis unfolding  $\alphaAbs-ex-equal-or-qAFresh-def$  .
qed

lemma  $\alphaAbs-qAbs$ -iff- $\alphaAbs-ex-qAFresh$ :
assumes qGood X
shows  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') = \alphaAbs-ex-qAFresh\ xs\ x\ X\ xs'\ x'\ X'$ 
proof-
let ?Left =  $qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X'$ 
let ?Middle =  $\alphaAbs-ex-equal-or-qAFresh\ xs\ x\ X\ xs'\ x'\ X'$ 
let ?Right =  $\alphaAbs-ex-qAFresh\ xs\ x\ X\ xs'\ x'\ X'$ 
have ?Left  $\Longrightarrow$  ?Right unfolding  $\alphaAbs-ex-qAFresh-def$  by auto
moreover have ?Right  $\Longrightarrow$  ?Middle
unfolding  $\alphaAbs-ex-qAFresh-def$   $\alphaAbs-ex-equal-or-qAFresh-def$  by auto
moreover have ?Middle = ?Left
using assms  $\alphaAbs-qAbs$ -iff- $\alphaAbs-ex-equal-or-qAFresh$ [of X] by fastforce
ultimately show ?thesis by blast
qed

corollary  $\alphaAbs-qAbs$ -iff- $\alphaAbs-ex-qAFresh$ :
assumes qGood X
shows

$$(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$$


$$(xs = xs' \wedge$$


$$(\exists\ y.\ qAFresh\ xs\ y\ X \wedge qAFresh\ xs\ y\ X' \wedge$$


$$(X \#[[y \wedge x]]-xs) \#= (X' \#[[y \wedge x']] - xs)))$$

proof-
have  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') = \alphaAbs-ex-qAFresh\ xs\ x\ X\ xs'\ x'\ X'$ 
using assms  $\alphaAbs-qAbs$ -iff- $\alphaAbs-ex-qAFresh$  by fastforce
thus ?thesis unfolding  $\alphaAbs-ex-qAFresh-def$  .
qed

lemma  $\alphaAbs-qAbs$ -imp- $\alphaAbs-all$ -equal-or- $qAFresh$ :
assumes qGood X and  $qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X'$ 
shows  $\alphaAbs-all$ -equal-or- $qAFresh\ xs\ x\ X\ xs'\ x'\ X'$ 
using assms  $\alphaAbs-qAbs$ -iff- $\alphaAbs-ex-equal-or-qAFresh$ 
 $\alphaAbs-weakestEx$ -imp-strongestAll by fastforce

corollary  $\alphaAbs-qAbs$ -imp-all-equal-or- $qAFresh$ :
assumes qGood X and  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X')$ 
```

```

shows
 $(xs = xs' \wedge$ 
 $(\forall y. (y = x \vee qAFresh xs y X) \wedge (y = x' \vee qAFresh xs y X') \longrightarrow$ 
 $(X \#[[y \wedge x]]-xs) \#= (X' \#[[y \wedge x']] - xs)))$ 

proof-
  have alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
  using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qAFresh by blast
  thus ?thesis unfolding alphaAbs-all-equal-or-qAFresh-def .
qed

lemma alphaAbs-qAbs-iff-alphaAbs-all-equal-or-qAFresh:
assumes qGood X and qGood X'
shows  $(qAbs xs x X \$= qAbs xs' x' X') =$ 
alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'

proof-
  let ?Left = qAbs xs x X \$= qAbs xs' x' X'
  let ?MiddleEx = alphaAbs-ex-distinct-qAFresh xs x X xs' x' X'
  let ?MiddleAll = alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
  let ?Right = alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
  have ?Left ==> ?Right
  using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qAFresh by blast
  moreover have ?Right ==> ?MiddleAll
  unfolding alphaAbs-all-equal-or-qAFresh-def alphaAbs-all-distinct-qAFresh-def by
  auto
  moreover have ?MiddleAll ==> ?MiddleEx
  using assms alphaAbs-weakestAll-imp-strongestEx by fastforce
  moreover have ?MiddleEx ==> ?Left
  using alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qAFresh[of - - X] by fastforce
  ultimately show ?thesis by blast
qed

corollary alphaAbs-qAbs-iff-all-equal-or-qAFresh:
assumes qGood X and qGood X'
shows  $(qAbs xs x X \$= qAbs xs' x' X') =$ 
 $(xs = xs' \wedge$ 
 $(\forall y. (y = x \vee qAFresh xs y X) \wedge (y = x' \vee qAFresh xs y X') \longrightarrow$ 
 $(X \#[[y \wedge x]]-xs) \#= (X' \#[[y \wedge x']] - xs)))$ 

proof-
  have  $(qAbs xs x X \$= qAbs xs' x' X') =$ 
alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
  using assms alphaAbs-qAbs-iff-alphaAbs-all-equal-or-qAFresh by blast
  thus ?thesis unfolding alphaAbs-all-equal-or-qAFresh-def .
qed

lemma alphaAbs-qAbs-imp-alphaAbs-all-qAFresh:
assumes qGood X and qAbs xs x X \$= qAbs xs' x' X'
shows alphaAbs-all-qAFresh xs x X xs' x' X'

proof-
  have alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'

```

```

using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qAFresh by blast
thus ?thesis unfolding alphaAbs-all-qAFresh-def alphaAbs-all-equal-or-qAFresh-def
by auto
qed

corollary alphaAbs-qAbs-imp-all-qAFresh:
assumes qGood X and (qAbs xs x X $= qAbs xs' x' X')
shows
(xs = xs' ∧
(∀ y. qAFresh xs y X ∧ qAFresh xs y X' →
(X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs)))
proof-
have alphaAbs-all-qAFresh xs x X xs' x' X'
using assms alphaAbs-qAbs-imp-alphaAbs-all-qAFresh by blast
thus ?thesis unfolding alphaAbs-all-qAFresh-def .
qed

lemma alphaAbs-qAbs-iff-alphaAbs-all-qAFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') = alphaAbs-all-qAFresh xs x X xs' x' X'
proof-
let ?Left = qAbs xs x X $= qAbs xs' x' X'
let ?MiddleEx = alphaAbs-ex-distinct-qAFresh xs x X xs' x' X'
let ?MiddleAll = alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
let ?Right = alphaAbs-all-qAFresh xs x X xs' x' X'
have ?Left ==> ?Right using assms alphaAbs-qAbs-imp-alphaAbs-all-qAFresh by blast
moreover have ?Right ==> ?MiddleAll
unfolding alphaAbs-all-qAFresh-def alphaAbs-all-distinct-qAFresh-def by auto
moreover have ?MiddleAll ==> ?MiddleEx
using assms alphaAbs-weakestAll-imp-strongestEx by fastforce
moreover have ?MiddleEx ==> ?Left
using assms alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qAFresh[of -- X] by fastforce
ultimately show ?thesis by blast
qed

corollary alphaAbs-qAbs-iff-all-qAFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
(xs = xs' ∧
(∀ y. qAFresh xs y X ∧ qAFresh xs y X' →
(X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']] -xs)))
proof-
have (qAbs xs x X $= qAbs xs' x' X') =
alphaAbs-all-qAFresh xs x X xs' x' X'
using assms alphaAbs-qAbs-iff-alphaAbs-all-qAFresh by blast
thus ?thesis unfolding alphaAbs-all-qAFresh-def .
qed

```

```

lemma alphaAbs-qAbs-imp-alphaAbs-all-distinct-qAFresh:
assumes qGood X and qAbs xs x X $= qAbs xs' x' X'
shows alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
proof-
  have alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
  using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qAFresh by blast
  thus ?thesis
  unfolding alphaAbs-all-distinct-qAFresh-def alphaAbs-all-equal-or-qAFresh-def by
  auto
qed

corollary alphaAbs-qAbs-imp-all-distinct-qAFresh:
assumes qGood X and (qAbs xs x X $= qAbs xs' x' X')
shows
  (xs = xs'  $\wedge$ 
   ( $\forall$  y. y  $\notin$  {x,x'}  $\wedge$  qAFresh xs y X  $\wedge$  qAFresh xs y X'  $\longrightarrow$ 
    (X #[[y  $\wedge$  x]]-xs) #= (X' #[[y  $\wedge$  x']]-xs)))
proof-
  have alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
  using assms alphaAbs-qAbs-imp-alphaAbs-all-distinct-qAFresh by blast
  thus ?thesis unfolding alphaAbs-all-distinct-qAFresh-def .
qed

lemma alphaAbs-qAbs-iff-alphaAbs-all-distinct-qAFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
  alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
proof-
  let ?Left = qAbs xs x X $= qAbs xs' x' X'
  let ?MiddleEx = alphaAbs-ex-distinct-qAFresh xs x X xs' x' X'
  let ?MiddleAll = alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
  let ?Right = alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
  have ?Left  $\Longrightarrow$  ?Right
  using assms alphaAbs-qAbs-imp-alphaAbs-all-distinct-qAFresh by blast
  moreover have ?Right  $\Longrightarrow$  ?MiddleAll
  unfolding alphaAbs-all-distinct-qAFresh-def alphaAbs-all-distinct-qAFresh-def by
  auto
  moreover have ?MiddleAll  $\Longrightarrow$  ?MiddleEx
  using assms alphaAbs-weakestAll-imp-strongestEx by fastforce
  moreover have ?MiddleEx  $\Longrightarrow$  ?Left
  using assms alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qAFresh[of -- X] by fastforce
  ultimately show ?thesis by blast
qed

corollary alphaAbs-qAbs-iff-all-distinct-qAFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
  (xs = xs'  $\wedge$ 
   ( $\forall$  y. y  $\notin$  {x,x'}  $\wedge$  qAFresh xs y X  $\wedge$  qAFresh xs y X'  $\longrightarrow$ 
    (X #[[y  $\wedge$  x]]-xs) #= (X' #[[y  $\wedge$  x']]-xs)))

```

$(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x']] - xs))$
proof –
have $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$
alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
using assms alphaAbs-qAbs-iff-alphaAbs-all-distinct-qAFresh by blast
thus ?thesis unfolding alphaAbs-all-distinct-qAFresh-def .
qed

2.6.2 Then for “qFresh”

definition *alphaAbs-ex-equal-or-qFresh*
where
alphaAbs-ex-equal-or-qFresh xs x X xs' x' X' ==
 $(xs = xs' \wedge$
 $(\exists y. (y = x \vee qFresh\ xs\ y\ X) \wedge (y = x' \vee qFresh\ xs\ y\ X') \wedge$
 $(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x']] - xs))$

definition *alphaAbs-ex-qFresh*
where
alphaAbs-ex-qFresh xs x X xs' x' X' ==
 $(xs = xs' \wedge$
 $(\exists y. qFresh\ xs\ y\ X \wedge qFresh\ xs\ y\ X' \wedge$
 $(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x']] - xs))$

definition *alphaAbs-ex-distinct-qFresh*
where
alphaAbs-ex-distinct-qFresh xs x X xs' x' X' ==
 $(xs = xs' \wedge$
 $(\exists y. y \notin \{x, x'\} \wedge qFresh\ xs\ y\ X \wedge qFresh\ xs\ y\ X' \wedge$
 $(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x']] - xs))$

definition *alphaAbs-all-equal-or-qFresh*
where
alphaAbs-all-equal-or-qFresh xs x X xs' x' X' ==
 $(xs = xs' \wedge$
 $(\forall y. (y = x \vee qFresh\ xs\ y\ X) \wedge (y = x' \vee qFresh\ xs\ y\ X') \longrightarrow$
 $(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x']] - xs))$

definition *alphaAbs-all-qFresh*
where
alphaAbs-all-qFresh xs x X xs' x' X' ==
 $(xs = xs' \wedge$
 $(\forall y. qFresh\ xs\ y\ X \wedge qFresh\ xs\ y\ X' \longrightarrow$
 $(X \# [[y \wedge x]] - xs) \# = (X' \# [[y \wedge x']] - xs))$

definition *alphaAbs-all-distinct-qFresh*
where
alphaAbs-all-distinct-qFresh xs x X xs' x' X' ==
 $(xs = xs' \wedge$

$$(\forall y. y \notin \{x, x'\} \wedge qFresh xs y X \wedge qFresh xs y X' \rightarrow (X \# [[y \wedge x]]-xs) \# = (X' \# [[y \wedge x']] - xs)))$$

lemma *alphaAbs-ex-equal-or-qAFresh-imp-qFresh*:
alphaAbs-ex-equal-or-qAFresh xs x X xs' x' X' \Rightarrow
alphaAbs-ex-equal-or-qFresh xs x X xs' x' X'
unfolding *alphaAbs-ex-equal-or-qAFresh-def alphaAbs-ex-equal-or-qFresh-def*
using *qAFresh-imp-qFresh[of - - X] qAFresh-imp-qFresh[of - - X'] by blast*

lemma *alphaAbs-ex-distinct-qAFresh-imp-qFresh*:
alphaAbs-ex-distinct-qAFresh xs x X xs' x' X' \Rightarrow
alphaAbs-ex-distinct-qFresh xs x X xs' x' X'
unfolding *alphaAbs-ex-distinct-qAFresh-def alphaAbs-ex-distinct-qFresh-def*
using *qAFresh-imp-qFresh[of - - X] qAFresh-imp-qFresh[of - - X'] by blast*

lemma *alphaAbs-ex-qAFresh-imp-qFresh*:
alphaAbs-ex-qAFresh xs x X xs' x' X' \Rightarrow
alphaAbs-ex-qFresh xs x X xs' x' X'
unfolding *alphaAbs-ex-qAFresh-def alphaAbs-ex-qFresh-def*
using *qAFresh-imp-qFresh[of - - X] qAFresh-imp-qFresh[of - - X'] by blast*

lemma *alphaAbs-all-equal-or-qFresh-imp-qAFresh*:
alphaAbs-all-equal-or-qFresh xs x X xs' x' X' \Rightarrow
alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
unfolding *alphaAbs-all-equal-or-qAFresh-def alphaAbs-all-equal-or-qFresh-def*
using *qAFresh-imp-qFresh[of - - X] qAFresh-imp-qFresh[of - - X'] by blast*

lemma *alphaAbs-all-distinct-qFresh-imp-qAFresh*:
alphaAbs-all-distinct-qFresh xs x X xs' x' X' \Rightarrow
alphaAbs-all-distinct-qAFresh xs x X xs' x' X'
using *qAFresh-imp-qFresh*
unfolding *alphaAbs-all-distinct-qAFresh-def alphaAbs-all-distinct-qFresh-def by fast-force*

lemma *alphaAbs-all-qFresh-imp-qAFresh*:
alphaAbs-all-qFresh xs x X xs' x' X' \Rightarrow
alphaAbs-all-qAFresh xs x X xs' x' X'
using *qAFresh-imp-qFresh*
unfolding *alphaAbs-all-qAFresh-def alphaAbs-all-qFresh-def by fastforce*

lemma *alphaAbs-ex-equal-or-qFresh-imp-alphaAbs-qAbs*:
assumes *GOOD: qGood X and alphaAbs-ex-equal-or-qFresh xs x X xs' x' X'*
shows *qAbs xs x X \$= qAbs xs' x' X'*
proof –
obtain *y where xs: xs = xs' and*
yEqFresh: (y = x \vee qFresh xs y X) \wedge (y = x' \vee qFresh xs y X') and
alphaXX'yx: (X \# [[y \wedge x]]-xs) \# = (X' \# [[y \wedge x']] - xs)
using assms unfolding *alphaAbs-ex-equal-or-qFresh-def by blast*
have *$\exists Y. X \# = Y \wedge (y = x \vee qAFresh xs y Y)$*

```

proof(cases y = x)
  assume Case1: y = x hence X #≡ X using GOOD alpha-refl by auto
  thus ?thesis using Case1 by fastforce
next
  assume Case2: y ≠ x hence qFresh xs y X using yEqFresh by blast
  then obtain Y where X #≡ Y and qAFresh xs y Y
  using GOOD qFresh-imp-ex-qAFresh1 by fastforce
  thus ?thesis by auto
qed
then obtain Y where alphaXY: X #≡ Y and yEqAFresh: y = x ∨ qAFresh
xs y Y by blast
hence (X #[[y ∧ x]]-xs) #≡ (Y #[[y ∧ x]]-xs)
using GOOD qSwap-preserves-alpha by fastforce
hence alphaYXyx: (Y #[[y ∧ x]]-xs) #≡ (X #[[y ∧ x]]-xs) using alpha-sym by
auto
have goodY: qGood Y using alphaXY GOOD alpha-preserves-qGood by auto
hence goodYyx: qGood(Y #[[y ∧ x]]-xs) using qSwap-preserves-qGood by auto

have good': qGood X'
using GOOD alphaXX'yx alpha-qSwap-preserves-qGood by fastforce
have ∃ Y'. X' #≡ Y' ∧ (y = x' ∨ qAFresh xs y Y')
proof(cases y = x')
  assume Case1: y = x' hence X' #≡ X' using good' alpha-refl by auto
  thus ?thesis using Case1 by fastforce
next
  assume Case2: y ≠ x' hence qFresh xs y X' using yEqFresh by blast
  then obtain Y' where X' #≡ Y' and qAFresh xs y Y'
  using good' qFresh-imp-ex-qAFresh1 by fastforce
  thus ?thesis by auto
qed
then obtain Y' where alphaX'Y': X' #≡ Y' and
  yEqAFresh': y = x' ∨ qAFresh xs y Y' by blast
hence (X' #[[y ∧ x']] - xs) #≡ (Y' #[[y ∧ x']] - xs)
using good' by (auto simp add: qSwap-preserves-alpha)
hence (Y #[[y ∧ x]]-xs) #≡ (Y' #[[y ∧ x']] - xs)
using goodYyx alphaYXyx alphaXX'yx alpha-trans by blast
hence alphaAbs-ex-equal-or-qAFresh xs x Y xs x' Y'
unfolding alphaAbs-ex-equal-or-qAFresh-def using yEqAFresh yEqAFresh' by
fastforce
hence qAbs xs x Y $= qAbs xs x' Y'
using goodY alphaAbs-qAbs-iff-alphaAbs-ex-equal-or-qAFresh[of Y xs x xs] by
fastforce
moreover have qAbs xs x X $= qAbs xs x Y
using alphaXY GOOD qAbs-preserves-alpha by fastforce
moreover
{have 1: Y' #≡ X' using alphaX'Y' alpha-sym by auto
hence qGood Y' using good' alpha-preserves-qGood by auto
hence qAbs xs x' Y' $= qAbs xs x' X'
using 1 GOOD qAbs-preserves-alpha by fastforce}

```

```

}

moreover have  $qGoodAbs(qAbs\ xs\ x\ X)$  using GOOD by simp
ultimately have  $qAbs\ xs\ x\ X \$= qAbs\ xs\ x'\ X'$ 
using alphaAbs-trans-twice by blast
thus ?thesis using xs by simp
qed

```

```

lemma alphaAbs-qAbs-iff-alphaAbs-ex-equal-or-qFresh:
assumes GOOD:  $qGood\ X$ 
shows  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$ 
alphaAbs-ex-equal-or-qFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
proof-
let ?Left =  $qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X'$ 
let ?Middle = alphaAbs-ex-equal-or-qAFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
let ?Right = alphaAbs-ex-equal-or-qFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
have ?Right  $\implies$  ?Left
using assms alphaAbs-ex-equal-or-qFresh-imp-alphaAbs-qAbs by blast
moreover have ?Left  $\implies$  ?Middle
using assms alphaAbs-qAbs-iff-alphaAbs-ex-equal-or-qAFresh by blast
moreover have ?Middle  $\implies$  ?Right using
alphaAbs-ex-equal-or-qAFresh-imp-qFresh by fastforce
ultimately show ?thesis by blast
qed

```

```

corollary alphaAbs-qAbs-iff-ex-equal-or-qFresh:
assumes GOOD:  $qGood\ X$ 
shows  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$ 
 $(xs = xs' \wedge$ 
 $(\exists\ y.\ (y = x \vee qFresh\ xs\ y\ X) \wedge (y = x' \vee qFresh\ xs\ y\ X') \wedge$ 
 $(X \#[[y \wedge x]]-xs) \#= (X' \#[[y \wedge x']] - xs)))$ 
proof-
have  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$ 
alphaAbs-ex-equal-or-qFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
using assms alphaAbs-qAbs-iff-alphaAbs-ex-equal-or-qFresh by blast
thus ?thesis unfolding alphaAbs-ex-equal-or-qFresh-def.
qed

```

```

lemma alphaAbs-qAbs-iff-alphaAbs-ex-qFresh:
assumes GOOD:  $qGood\ X$ 
shows  $(qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X') =$ 
alphaAbs-ex-qFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
proof-
let ?Left =  $qAbs\ xs\ x\ X \$= qAbs\ xs'\ x'\ X'$ 
let ?Middle1 = alphaAbs-ex-qAFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
let ?Middle2 = alphaAbs-ex-equal-or-qFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
let ?Right = alphaAbs-ex-qFresh  $xs\ x\ X\ xs'\ x'\ X'$ 
have ?Left  $\implies$  ?Middle1 unfolding alphaAbs-ex-qAFresh-def by auto
moreover have ?Middle1  $\implies$  ?Right using alphaAbs-ex-qAFresh-imp-qFresh
by fastforce

```

moreover have ?Right \implies ?Middle2
unfolding alphaAbs-ex-qFresh-def alphaAbs-ex-equal-or-qFresh-def **by** auto
moreover have ?Middle2 \implies ?Left
using assms alphaAbs-ex-equal-or-qFresh-imp-alphaAbs-qAbs **by** fastforce
ultimately show ?thesis **by** blast
qed

corollary alphaAbs-qAbs-iff-ex-qFresh:
assumes GOOD: qGood X
shows ($qAbs\ xs\ x\ X \equiv qAbs\ xs'\ x'\ X'$) =
 $(xs = xs' \wedge$
 $(\exists y. qFresh\ xs\ y\ X \wedge qFresh\ xs\ y\ X' \wedge$
 $(X \# [y \wedge x] - xs) \# = (X' \# [y \wedge x'] - xs)))$

proof-
have ($qAbs\ xs\ x\ X \equiv qAbs\ xs'\ x'\ X'$) =
 $alphaAbs\text{-}ex\text{-}qFresh\ xs\ x\ X\ xs'\ x'\ X'$
using assms alphaAbs-qAbs-iff-alphaAbs-ex-qFresh **by** blast
thus ?thesis **unfolding** alphaAbs-ex-qFresh-def .
qed

lemma alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qFresh:
assumes GOOD: qGood X
shows ($qAbs\ xs\ x\ X \equiv qAbs\ xs'\ x'\ X'$) =
 $alphaAbs\text{-}ex\text{-}distinct\text{-}qFresh\ xs\ x\ X\ xs'\ x'\ X'$
proof-
let ?Left = $qAbs\ xs\ x\ X \equiv qAbs\ xs'\ x'\ X'$
let ?Middle1 = $alphaAbs\text{-}ex\text{-}distinct\text{-}qAFresh\ xs\ x\ X\ xs'\ x'\ X'$
let ?Middle2 = $alphaAbs\text{-}ex\text{-}equal\text{-}or\text{-}qFresh\ xs\ x\ X\ xs'\ x'\ X'$
let ?Right = $alphaAbs\text{-}ex\text{-}distinct\text{-}qFresh\ xs\ x\ X\ xs'\ x'\ X'$
have ?Left \implies ?Middle1 **unfolding** alphaAbs-ex-distinct-qAFresh-def **by** auto
moreover have ?Middle1 \implies ?Right **using** alphaAbs-ex-distinct-qAFresh-imp-qFresh **by** fastforce
moreover have ?Right \implies ?Middle2
unfolding alphaAbs-ex-distinct-qFresh-def alphaAbs-ex-equal-or-qFresh-def **by** auto
moreover have ?Middle2 \implies ?Left
using assms alphaAbs-ex-equal-or-qFresh-imp-alphaAbs-qAbs **by** fastforce
ultimately show ?thesis **by** blast
qed

corollary alphaAbs-qAbs-iff-ex-distinct-qFresh:
assumes GOOD: qGood X
shows ($qAbs\ xs\ x\ X \equiv qAbs\ xs'\ x'\ X'$) =
 $(xs = xs' \wedge$
 $(\exists y. y \notin \{x, x'\} \wedge qFresh\ xs\ y\ X \wedge qFresh\ xs\ y\ X' \wedge$
 $(X \# [y \wedge x] - xs) \# = (X' \# [y \wedge x'] - xs)))$
proof-
have ($qAbs\ xs\ x\ X \equiv qAbs\ xs'\ x'\ X'$) =
 $alphaAbs\text{-}ex\text{-}distinct\text{-}qFresh\ xs\ x\ X\ xs'\ x'\ X'$

```

using assms alphaAbs-qAbs-iff-alphaAbs-ex-distinct-qFresh by blast
thus ?thesis unfolding alphaAbs-ex-distinct-qFresh-def .
qed

lemma alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qFresh:
assumes qGood X and qAbs xs x X $= qAbs xs' x' X'
shows alphaAbs-all-equal-or-qFresh xs x X xs' x' X'
proof-
  have qGoodAbs(qAbs xs x X) using assms by auto
  hence qGoodAbs(qAbs xs' x' X') using assms alphaAbs-preserves-qGoodAbs by
blast
  hence GOOD: qGood X ∧ qGood X' using assms by auto
  have xs: xs = xs' using assms by auto
  show ?thesis
  unfolding alphaAbs-all-equal-or-qFresh-def using xs
  proof(intro conjI impI allI, simp)
    fix y
    assume yEqFresh: (y = x ∨ qFresh xs y X) ∧ (y = x' ∨ qFresh xs y X')
    have ∃ Y. X #≡ Y ∧ (y = x ∨ qAFresh xs y Y)
    proof(cases y = x)
      assume Case1: y = x hence X #≡ X using GOOD alpha-refl by auto
      thus ?thesis using Case1 by fastforce
    next
      assume Case2: y ≠ x hence qFresh xs y X using yEqFresh by blast
      then obtain Y where X #≡ Y and qAFresh xs y Y
      using GOOD qFresh-imp-ex-qAFresh1 by blast
      thus ?thesis by auto
    qed
    then obtain Y where alphaXY: X #≡ Y and yEqAFresh: y = x ∨ qAFresh
xs y Y by blast
    hence alphaXYyx: (X #[[y ∧ x]]-xs) #≡ (Y #[[y ∧ x]]-xs)
    using GOOD by (auto simp add: qSwap-preserves-alpha)
    have goodY: qGood Y using GOOD alphaXY alpha-preserves-qGood by auto

    have ∃ Y'. X' #≡ Y' ∧ (y = x' ∨ qAFresh xs y Y')
    proof(cases y = x')
      assume Case1: y = x' hence X' #≡ X' using GOOD alpha-refl by auto
      thus ?thesis using Case1 by fastforce
    next
      assume Case2: y ≠ x' hence qFresh xs y X' using yEqFresh by blast
      then obtain Y' where X' #≡ Y' and qAFresh xs y Y'
      using GOOD qFresh-imp-ex-qAFresh1 by blast
      thus ?thesis by auto
    qed
    then obtain Y' where alphaX'Y': X' #≡ Y' and
      yEqAFresh': y = x' ∨ qAFresh xs y Y' by blast
    hence (X' #[[y ∧ x']] -xs) #≡ (Y' #[[y ∧ x']] -xs)
    using GOOD by (auto simp add: qSwap-preserves-alpha)
    hence alphaY'X'yx': (Y' #[[y ∧ x']] -xs) #≡ (X' #[[y ∧ x']] -xs) using al-

```

```

pha-sym by auto
have goodY': qGood Y' using GOOD alphaX'Y' alpha-preserves-qGood by
auto

have 1: Y #= X using alphaXY alpha-sym by auto
hence qGood Y using GOOD alpha-preserves-qGood by auto
hence 2: qAbs xs x Y $= qAbs xs x X
using 1 GOOD qAbs-preserves-alpha by blast
moreover have qAbs xs x' X' $= qAbs xs x' Y'
using alphaX'Y' GOOD qAbs-preserves-alpha by blast
moreover
{have qGoodAbs(qAbs xs x X) using GOOD by simp
hence qGoodAbs(qAbs xs x Y) using 2 alphaAbs-preserves-qGoodAbs by fast-
force
}
ultimately have qAbs xs x Y $= qAbs xs x' Y'
using assms xs alphaAbs-trans-twice by blast
hence alphaAbs-all-equal-or-qAFresh xs x Y xs x' Y'
using goodY goodY' alphaAbs-qAbs-iff-alphaAbs-all-equal-or-qAFresh by blast
hence (Y #[[y ∧ x]]-xs) #= (Y' #[[y ∧ x]]-xs)
unfolding alphaAbs-all-equal-or-qAFresh-def
using yEqAFresh yEqAFresh' by auto
moreover have qGood (X #[[y ∧ x]]-xs) using GOOD qSwap-preserves-qGood
by auto
ultimately show (X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x]]-xs)
using alphaXYx alphaY'X'yx' alpha-trans-twice by blast
qed
qed

corollary alphaAbs-qAbs-imp-all-equal-or-qFresh:
assumes qGood X and (qAbs xs x X $= qAbs xs' x' X')
shows
(xs = xs' ∧
(∀ y. (y = x ∨ qFresh xs y X) ∧ (y = x' ∨ qFresh xs y X') →
(X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x]]-xs)))
proof-
have alphaAbs-all-equal-or-qFresh xs x X xs' x' X'
using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qFresh by blast
thus ?thesis unfolding alphaAbs-all-equal-or-qFresh-def .
qed

lemma alphaAbs-qAbs-iff-alphaAbs-all-equal-or-qFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
alphaAbs-all-equal-or-qFresh xs x X xs' x' X'
proof-
let ?Left = (qAbs xs x X $= qAbs xs' x' X')
let ?Middle = alphaAbs-all-equal-or-qAFresh xs x X xs' x' X'
let ?Right = alphaAbs-all-equal-or-qFresh xs x X xs' x' X'

```

```

have ?Left ==> ?Right
using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qFresh by blast
moreover have ?Right ==> ?Middle
using alphaAbs-all-equal-or-qFresh-imp-qAFresh by fastforce
moreover have ?Middle ==> ?Left
using assms alphaAbs-qAbs-iff-alphaAbs-all-equal-or-qAFresh by blast
ultimately show ?thesis by blast
qed

corollary alphaAbs-qAbs-iff-all-equal-or-qFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
(xs = xs' ∧
(∀ y. (y = x ∨ qFresh xs y X) ∧ (y = x' ∨ qFresh xs y X') →
(X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']]-xs)))
proof-
have (qAbs xs x X $= qAbs xs' x' X') =
alphaAbs-all-equal-or-qFresh xs x X xs' x' X'
using assms alphaAbs-qAbs-iff-alphaAbs-all-equal-or-qFresh by blast
thus ?thesis unfolding alphaAbs-all-equal-or-qFresh-def .
qed

lemma alphaAbs-qAbs-imp-alphaAbs-all-qFresh:
assumes qGood X and qAbs xs x X $= qAbs xs' x' X'
shows alphaAbs-all-qFresh xs x X xs' x' X'
proof-
let ?Left = (qAbs xs x X $= qAbs xs' x' X')
let ?Middle = alphaAbs-all-equal-or-qFresh xs x X xs' x' X'
let ?Right = alphaAbs-all-qFresh xs x X xs' x' X'
have ?Left ==> ?Middle
using assms alphaAbs-qAbs-imp-alphaAbs-all-equal-or-qFresh by blast
moreover have ?Middle ==> ?Right
unfolding alphaAbs-all-equal-or-qFresh-def alphaAbs-all-qFresh-def by auto
ultimately show ?thesis using assms by blast
qed

corollary alphaAbs-qAbs-imp-all-qFresh:
assumes qGood X and (qAbs xs x X $= qAbs xs' x' X')
shows
(xs = xs' ∧
(∀ y. qFresh xs y X ∧ qFresh xs y X' →
(X #[[y ∧ x]]-xs) #= (X' #[[y ∧ x']]-xs)))
proof-
have alphaAbs-all-qFresh xs x X xs' x' X'
using assms alphaAbs-qAbs-imp-alphaAbs-all-qFresh by blast
thus ?thesis unfolding alphaAbs-all-qFresh-def .
qed

lemma alphaAbs-qAbs-iff-alphaAbs-all-qFresh:

```

```

assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
  alphaAbs-all-qFresh xs x X xs' x' X'
proof-
  let ?Left = (qAbs xs x X $= qAbs xs' x' X')
  let ?Middle = alphaAbs-all-qAFresh xs x X xs' x' X'
  let ?Right = alphaAbs-all-qFresh xs x X xs' x' X'
  have ?Left ==> ?Right
  using assms alphaAbs-qAbs-imp-alphaAbs-all-qFresh by blast
  moreover have ?Right ==> ?Middle
  using alphaAbs-all-qFresh-imp-qAFresh by fastforce
  moreover have ?Middle ==> ?Left
  using assms alphaAbs-qAbs-iff-alphaAbs-all-qAFresh by blast
  ultimately show ?thesis by blast
qed

corollary alphaAbs-qAbs-iff-all-qFresh:
assumes qGood X and qGood X'
shows (qAbs xs x X $= qAbs xs' x' X') =
  (xs = xs' ∧
   (∀ y. qFresh xs y X ∧ qFresh xs y X' →
     (X #[(y ∧ x)]-xs) #= (X' #[(y ∧ x')] -xs)))
proof-
  have (qAbs xs x X $= qAbs xs' x' X') =
    alphaAbs-all-qFresh xs x X xs' x' X'
  using assms alphaAbs-qAbs-iff-alphaAbs-all-qFresh by blast
  thus ?thesis unfolding alphaAbs-all-qFresh-def .
qed

end

end

```

3 Environments and Substitution for Quasi-Terms

```

theory QuasiTerms-Environments-Substitution
imports QuasiTerms-PickFresh-Alpha
begin

```

Inside this theory, since anyway all the interesting properties hold only modulo alpha, we forget completely about qAFresh and use only qFresh.

In this section we define, for quasi-terms, parallel substitution according to *environments*. This is the most general kind of substitution – an environment, i.e., a partial map from variables to quasi-terms, indicates which quasi-term (if any) to be substituted for each variable; substitution is then applied to a subject quasi-term and an environment. In order to “keep up” with the notion of good quasi-term, we define good environments and

show that substitution preserves goodness. Since, unlike swapping, substitution does not behave well w.r.t. quasi-terms (but only w.r.t. terms, i.e., to alpha-equivalence classes), here we prove the minimum amount of properties required for properly lifting parallel substitution to terms. Then compositionality properties of parallel substitution will be proved directly for terms.

3.1 Environments

```

type-synonym ('index,'bindex,'varSort,'var,'opSym)qEnv =
  'varSort  $\Rightarrow$  'var  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qTerm option

context FixVars
begin

definition qGoodEnv :: ('index,'bindex,'varSort,'var,'opSym)qEnv  $\Rightarrow$  bool
where
  qGoodEnv rho ===
    ( $\forall$  xs. liftAll qGood (rho xs))  $\wedge$ 
    ( $\forall$  ys. |{y. rho ys y  $\neq$  None}| < o |UNIV :: 'var set|)

definition qFreshEnv where
  qFreshEnv zs z rho ===
    rho zs z = None  $\wedge$  ( $\forall$  xs. liftAll (qFresh zs z) (rho xs))

definition alphaEnv where
  alphaEnv =
    { $(\rho,\rho')$ .  $\forall$  xs. sameDom ( $\rho$  xs) ( $\rho'$  xs)  $\wedge$ 
     liftAll2 ( $\lambda X X'. X \# X'$ ) ( $\rho$  xs) ( $\rho'$  xs)}

abbreviation alphaEnv-abbrev :: 
  ('index,'bindex,'varSort,'var,'opSym)qEnv  $\Rightarrow$ 
  ('index,'bindex,'varSort,'var,'opSym)qEnv  $\Rightarrow$  bool (infix  $\&=$  50)
where
  rho  $\&=$  rho' ==  $(\rho,\rho') \in \text{alphaEnv}$ 

definition pickQFreshEnv
where
  pickQFreshEnv xs V XS Rho ==
    pickQFresh xs (V  $\cup$  ( $\bigcup$  rho  $\in$  Rho. {x. rho xs x  $\neq$  None}))
    (XS  $\cup$  ( $\bigcup$  rho  $\in$  Rho. {X.  $\exists$  ys y. rho ys y = Some X}))

lemma qGoodEnv-imp-card-of-qTerm:
assumes qGoodEnv rho
shows |{X.  $\exists$  y. rho ys y = Some X}| < o |UNIV :: 'var set|
proof-
  let ?rel = {(y,X). rho ys y = Some X}

```

```

let ?Left = {X.  $\exists y. \rho ys y = \text{Some } X\}$ 
let ?Left' = {y.  $\exists X. \rho ys y = \text{Some } X\}$ 
have  $\bigwedge y X X'. (y, X) \in ?\text{rel} \wedge (y, X') \in ?\text{rel} \longrightarrow X = X'$  by force
hence  $|\text{?Left}| \leq o |\text{?Left}'|$  using card-of-inj-rel[of ?rel] by auto
moreover have  $|\text{?Left}'| < o |\text{UNIV} :: \text{'var set}|$  using assms unfolding qGoodEnv-def by auto
ultimately show ?thesis using ordLeq-ordLess-trans by blast
qed

lemma qGoodEnv-imp-card-of-qTerm2:
assumes qGoodEnv rho
shows  $|\{X. \exists ys y. \rho ys y = \text{Some } X\}| < o |\text{UNIV} :: \text{'var set}|$ 
proof-
let ?Left = {X.  $\exists ys y. \rho ys y = \text{Some } X\}$ 
let ?F =  $\lambda ys. \{X. \exists y. \rho ys y = \text{Some } X\}$ 
have ?Left =  $(\bigcup ys. ?F ys)$  by auto
moreover have  $\forall ys. |\text{?F ys}| < o |\text{UNIV} :: \text{'var set}|$ 
using assms qGoodEnv-imp-card-of-qTerm by auto
ultimately show ?thesis
using var-regular-INNER varSort-lt-var-INNER by(force simp add: regular-UNION)
qed

lemma qGoodEnv-iff:
qGoodEnv rho =
 $(\forall xs. \text{liftAll qGood } (\rho xs)) \wedge$ 
 $(\forall ys. |\{y. \rho ys y \neq \text{None}\}| < o |\text{UNIV} :: \text{'var set}|) \wedge$ 
 $|\{X. \exists ys y. \rho ys y = \text{Some } X\}| < o |\text{UNIV} :: \text{'var set}|)$ 
unfolding qGoodEnv-def apply auto
apply(rule qGoodEnv-imp-card-of-qTerm2) unfolding qGoodEnv-def by simp

lemma alphaEnv-refl:
qGoodEnv rho ==> rho &= rho
using alpha-refl
unfolding alphaEnv-def qGoodEnv-def liftAll-def liftAll2-def sameDom-def by fast-force

lemma alphaEnv-sym:
rho &= rho' ==> rho' &= rho
using alpha-sym unfolding alphaEnv-def liftAll2-def sameDom-def by fastforce

lemma alphaEnv-trans:
assumes good: qGoodEnv rho and
alpha1: rho &= rho' and alpha2: rho' &= rho'''
shows rho &= rho'''
using assms unfolding alphaEnv-def
apply(auto)
using sameDom-trans apply blast
unfolding liftAll2-def proof(auto)
fix xs x X X''
```

```

assume rho: rho xs x = Some X and rho'': rho'' xs x = Some X''
moreover have (rho xs x = None) = (rho' xs x = None)
using alpha1 unfolding alphaEnv-def sameDom-def by auto
ultimately obtain X' where rho': rho' xs x = Some X' by auto
hence X #= X' using alpha1 rho unfolding alphaEnv-def liftAll2-def by auto
moreover have X' #= X'' using alpha2 rho'' unfolding alphaEnv-def liftAll2-def by auto
moreover have qGood X using good rho unfolding qGoodEnv-def liftAll-def
by auto
ultimately show X #= X'' using alpha-trans by blast
qed

lemma pickQFreshEnv-card-of:
assumes Vvar: |V| < o |UNIV :: 'var set| and XSvar: |XS| < o |UNIV :: 'var set|
and
  good: ∀ X ∈ XS. qGood X and
  RhoVar: |Rho| < o |UNIV :: 'var set| and RhoGood: ∀ rho ∈ Rho. qGoodEnv
rho
shows
pickQFreshEnv xs V XS Rho ≠ V ∧
(∀ X ∈ XS. qFresh xs (pickQFreshEnv xs V XS Rho) X) ∧
(∀ rho ∈ Rho. qFreshEnv xs (pickQFreshEnv xs V XS Rho) rho)
proof-
let ?z = pickQFreshEnv xs V XS Rho
let ?V2 = ∪ rho ∈ Rho. {x. rho xs x ≠ None} let ?W = V ∪ ?V2
let ?XS2 = ∪ rho ∈ Rho. {X. ∃ ys y. rho ys y = Some X} let ?YS = XS ∪
?XS2
have |?W| < o |UNIV :: 'var set|
proof-
have ∀ rho ∈ Rho. |{x. rho xs x ≠ None}| < o |UNIV :: 'var set|
using RhoGood unfolding qGoodEnv-iff using qGoodEnv-iff by auto
hence |?V2| < o |UNIV :: 'var set|
using var-regular-INNER RhoVar by (auto simp add: regular-UNION)
thus ?thesis using var-infinite-INNER Vvar card-of-Un-ordLess-infinite by
auto
qed
moreover have |?YS| < o |UNIV :: 'var set|
proof-
have ∀ rho ∈ Rho. |{X. ∃ ys y. rho ys y = Some X}| < o |UNIV :: 'var set|
using RhoGood unfolding qGoodEnv-iff by auto
hence |?XS2| < o |UNIV :: 'var set|
using var-regular-INNER RhoVar by (auto simp add: regular-UNION)
thus ?thesis using var-infinite-INNER XSvar card-of-Un-ordLess-infinite by
auto
qed
moreover have ∀ Y ∈ ?YS. qGood Y
using good RhoGood unfolding qGoodEnv-iff liftAll-def by blast
ultimately
have ?z ≠ ?W ∧ (∀ Y ∈ ?YS. qFresh xs ?z Y)

```

unfolding *pickQFreshEnv-def* **using** *pickQFresh-card-of[of ?W ?YS]* **by** *auto*

thus *?thesis unfolding qFreshEnv-def liftAll-def by(auto)*

qed

lemma *pickQFreshEnv:*

assumes *Vvar: |V| < o |UNIV :: 'var set| ∨ finite V*

and *XSvar: |XS| < o |UNIV :: 'var set| ∨ finite XS*

and *good: ∀ X ∈ XS. qGood X*

and *Rhovar: |Rho| < o |UNIV :: 'var set| ∨ finite Rho*

and *RhoGood: ∀ rho ∈ Rho. qGoodEnv rho*

shows

pickQFreshEnv xs V XS Rho ≠ V ∧

(∀ X ∈ XS. qFresh xs (pickQFreshEnv xs V XS Rho) X) ∧

(∀ rho ∈ Rho. qFreshEnv xs (pickQFreshEnv xs V XS Rho) rho)

proof –

have *1: |V| < o |UNIV :: 'var set| ∧ |XS| < o |UNIV :: 'var set| ∧ |Rho| < o |UNIV :: 'var set|*

using *assms var-infinite-INNER by(auto simp add: finite-ordLess-infinite2)*

show *?thesis*

apply(rule *pickQFreshEnv-card-of*)

using *assms 1 by auto*

qed

corollary *obtain-qFreshEnv:*

fixes *XS::('index,'bindx,'varSort,'var,'opSym)qTerm set and*

Rho::('index,'bindx,'varSort,'var,'opSym)qEnv set and rho

assumes *Vvar: |V| < o |UNIV :: 'var set| ∨ finite V*

and *XSvar: |XS| < o |UNIV :: 'var set| ∨ finite XS*

and *good: ∀ X ∈ XS. qGood X*

and *Rhovar: |Rho| < o |UNIV :: 'var set| ∨ finite Rho*

and *RhoGood: ∀ rho ∈ Rho. qGoodEnv rho*

shows

∃ z. z ≠ V ∧

(∀ X ∈ XS. qFresh xs z X) ∧ (∀ rho ∈ Rho. qFreshEnv xs z rho)

apply(rule *exI[of - pickQFreshEnv xs V XS Rho])*

using *assms by(rule pickQFreshEnv)*

3.2 Parallel substitution

definition *aux-qPsubst-ignoreFirst ::*

*('index,'bindx,'varSort,'var,'opSym)qEnv * ('index,'bindx,'varSort,'var,'opSym)qTerm*

+

*('index,'bindx,'varSort,'var,'opSym)qEnv * ('index,'bindx,'varSort,'var,'opSym)qAbs*

⇒ ('index,'bindx,'varSort,'var,'opSym)qTermItem

where

aux-qPsubst-ignoreFirst K ==

case K of Inl (rho,X) ⇒ termIn X

|Inr (rho,A) ⇒ absIn A

```

lemma aux-qPsubst-ignoreFirst-qTermLessQSwapped-wf:
wf(inv-image qTermQSwappedLess aux-qPsubst-ignoreFirst)
using qTermQSwappedLess-wf wf-inv-image by auto

function
qPsubst :: ('index,'bindex,'varSort,'var,'opSym)qEnv  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qTerm
 $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qTerm
and
qPsubstAbs :: ('index,'bindex,'varSort,'var,'opSym)qEnv  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qAbs
 $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qAbs
where
qPsubst rho (qVar xs x) = (case rho xs x of None  $\Rightarrow$  qVar xs x | Some X  $\Rightarrow$  X)
|
qPsubst rho (qOp delta inp binp) =
qOp delta (lift (qPsubst rho) inp) (lift (qPsubstAbs rho) binp)
|
qPsubstAbs rho (qAbs xs x X) =
(let x' = pickQFreshEnv xs {x} {X} {rho} in qAbs xs x' (qPsubst rho (X #[[x'  $\wedge$  x]]-xs)))
by(pat-completeness, auto)
termination
apply(relation inv-image qTermQSwappedLess aux-qPsubst-ignoreFirst)
apply(simp add: aux-qPsubst-ignoreFirst-qTermLessQSwapped-wf)
by(auto simp add: qTermQSwappedLess-def qTermLess-modulo-def
aux-qPsubst-ignoreFirst-def qSwap-qSwapped)

abbreviation qPsubst-abbrev :: ('index,'bindex,'varSort,'var,'opSym)qTerm  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qEnv
 $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qTerm ( $\langle\langle$  #[[[-]] $\rangle\rangle$ )
where X #[[rho]] == qPsubst rho X

abbreviation qPsubstAbs-abbrev :: ('index,'bindex,'varSort,'var,'opSym)qAbs  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qEnv
 $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)qAbs ( $\langle\langle$  $[[[-]] $\rangle\rangle$ )
where A $[[rho]] == qPsubstAbs rho A

lemma qPsubstAll-preserves-qGoodAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
A::('index,'bindex,'varSort,'var,'opSym)qAbs and rho
assumes GOOD-ENV: qGoodEnv rho
shows
(qGood X  $\longrightarrow$  qGood (X #[[rho]]))  $\wedge$  (qGoodAbs A  $\longrightarrow$  qGoodAbs (A $[[rho]]))
proof(induction rule: qTerm-induct[of - - X A])

```

```

case (Var xs x)
show ?case
using GOOD-ENV unfolding qGoodEnv-iff liftAll-def
by(cases rho xs x, auto)
next
case (Op delta inp binp)
show ?case proof safe
assume g: qGood (qOp delta inp binp)
hence 0: liftAll qGood (lift (qPsubst rho) inp) ∧
      liftAll qGoodAbs (lift (qPsubstAbs rho) binp)
using Op unfolding liftAll-lift-comp comp-def
by (simp-all add: Let-def liftAll-mp)
have {i. lift (qPsubst rho) inp i ≠ None} = {i. inp i ≠ None} ∧
  {i. lift (qPsubstAbs rho) binp i ≠ None} = {i. binp i ≠ None}
by simp (meson lift-Some)
hence |{i. ∃ y. lift (qPsubst rho) inp i = Some y}| < o |UNIV:: 'var set|
and |{i. ∃ y. lift (qPsubstAbs rho) binp i = Some y}| < o |UNIV:: 'var set|
using g by (auto simp: liftAll-def)
thus qGood qOp delta inp binp #[[rho]] using 0 by simp
qed
next
case (Abs xs x X)
show ?case proof safe
assume g: qGoodAbs (qAbs xs x X)
let ?x' = pickQFreshEnv xs {x} {X} {rho} let ?X' = X #[[?x' ∧ x]]-xs
have qGood ?X' using g qSwap-preserves-qGood by auto
moreover have (X,?X') ∈ qSwapped using qSwap-qSwapped by fastforce
ultimately have qGood (qPsubst rho ?X') using Abs.IH by simp
thus qGoodAbs ((qAbs xs x X) $[[rho]]) by (simp add: Let-def)
qed
qed

corollary qPsubst-preserves-qGood:
[qGoodEnv rho; qGood X] ==> qGood (X #[[rho]])
using qPsubstAll-preserves-qGoodAll by auto

corollary qPsubstAbs-preserves-qGoodAbs:
[qGoodEnv rho; qGoodAbs A] ==> qGoodAbs (A $[[rho]])
using qPsubstAll-preserves-qGoodAll by auto

lemma qPsubstAll-preserves-qFreshAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm and
      A::('index,'bindex,'varSort,'var,'opSym)qAbs and rho
assumes GOOD-ENV: qGoodEnv rho
shows
(qFresh zs z X →
 (qGood X ∧ qFreshEnv zs z rho → qFresh zs z (X #[[rho]]))) ∧
(qFreshAbs zs z A →
 (qGoodAbs A ∧ qFreshEnv zs z rho → qFreshAbs zs z (A $[[rho]])))

```

```

proof(induction rule: qTerm-induct[of - - X A])
  case (Var xs x)
  then show ?case
    unfolding qFreshEnv-def liftAll-def by (cases rho xs x) auto
  next
    case (Op delta inp binp)
    thus ?case
      by (auto simp add: lift-def liftAll-def qFreshEnv-def split: option.splits)
  next
    case (Abs xs x X)
    show ?case proof safe
      assume q: qFreshAbs zs z (qAbs xs x X)
      qGoodAbs (qAbs xs x X) qFreshEnv zs z rho
      let ?x' = pickQFreshEnv xs {x} {X} {rho} let ?X' = X #[[?x' ∧ x]]-xs
      have x': qFresh xs ?x' X ∧ qFreshEnv xs ?x' rho
      using q GOOD-ENV by(auto simp add: pickQFreshEnv)
      hence goodX': qGood ?X' using q qSwap-preserves-qGood by auto
      have XX': (X,?X') ∈ qSwapped using qSwap-qSwapped by fastforce
      have (zs = xs ∧ z = ?x') ∨ qFresh zs z (qPsubst rho ?X')
      by (meson qSwap-preserves-qFresh-distinct
          Abs.IH(1) XX' goodX' q qAbs-alphaAbs-qSwap-qFresh qFreshAbs.simps
          qFreshAbs-preserves-alphaAbs1 qSwap-preserves-qGood2 x')
      thus qFreshAbs zs z ((qAbs xs x X) $[[rho]])
        by simp (meson qFreshAbs.simps) +
      qed
    qed

```

lemma qPsubst-preserves-qFresh:
 $\llbracket qGood X; qGoodEnv rho; qFresh zs z X; qFreshEnv zs z rho \rrbracket$
 $\implies qFresh zs z (X #[[rho]])$
by(simp add: qPsubstAll-preserves-qFreshAll)

lemma qPsubstAbs-preserves-qFreshAbs:
 $\llbracket qGoodAbs A; qGoodEnv rho; qFreshAbs zs z A; qFreshEnv zs z rho \rrbracket$
 $\implies qFreshAbs zs z (A $[[rho]])$
by(simp add: qPsubstAll-preserves-qFreshAll)

While in general we try to avoid proving facts in parallel, here we seem to have no choice – it is the first time we must use mutual induction:

lemma qPsubstAll-preserves-alphaAll-qSwapAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)qTerm **and**
 A::('index,'bindex,'varSort,'var,'opSym)qAbs **and**
 rho::('index,'bindex,'varSort,'var,'opSym)qEnv
assumes goodRho: qGoodEnv rho
shows
 $(qGood X \longrightarrow$
 $(\forall Y. X \# Y \longrightarrow (X #[[rho]]) \#=(Y #[[rho]])) \wedge$
 $(\forall xs z1 z2. qFreshEnv xs z1 rho \wedge qFreshEnv xs z2 rho \longrightarrow$
 $((X #[[z1 \wedge z2]]-xs) \#[[rho]]) \#=((X #[[rho]]) \#[[z1 \wedge z2]]-xs))) \wedge$

```

(qGoodAbs A —>
(\ $\forall$  B. A $= B —> (A $[[rho]]) $= (B $[[rho]]))  $\wedge$ 
(\ $\forall$  xs z1 z2. qFreshEnv xs z1 rho  $\wedge$  qFreshEnv xs z2 rho —>
((A $[[z1  $\wedge$  z2]]-xs) $[[rho]]) $= ((A $[[rho]]) $[[z1  $\wedge$  z2]]-xs)))
proof(induction rule: qGood-qTerm-induct-mutual)
  case (Var1 xs x)
  then show ?case
  by (metis alpha-refl goodRho qGood.simps(1) qPsubst-preserves-qGood qVar-alpha-iff)
next
  case (Var2 xs x)
  show ?case proof safe
  fix s::'sort and zs z1 z2
  assume FreshEnv: qFreshEnv zs z1 rho qFreshEnv zs z2 rho
  hence n: rho zs z1 = None  $\wedge$  rho zs z2 = None unfolding qFreshEnv-def by
    simp
    let ?Left = qPsubst rho ((qVar xs x) #[[z1  $\wedge$  z2]]-zs)
    let ?Right = (qPsubst rho (qVar xs x)) #[[z1  $\wedge$  z2]]-zs
    have qGood (qVar xs x) by simp
    hence qGood ((qVar xs x) #[[z1  $\wedge$  z2]]-zs)
    using qSwap-preserves-qGood by blast
    hence goodLeft: qGood ?Left using goodRho qPsubst-preserves-qGood by blast
    show ?Left #= ?Right
    proof(cases rho xs x)
      case None
      hence rho xs (x @xs[z1  $\wedge$  z2]-zs) = None
      using n unfolding sw-def by auto
      thus ?thesis using None by simp
    next
      case (Some X)
      hence xs  $\neq$  zs  $\vee$  x  $\notin$  {z1,z2} using n by auto
      hence (x @xs[z1  $\wedge$  z2]-zs) = x unfolding sw-def by auto
      moreover
        {have qFresh zs z1 X  $\wedge$  qFresh zs z2 X
        using Some FreshEnv unfolding qFreshEnv-def liftAll-def by auto
        moreover have qGood X using Some goodRho unfolding qGoodEnv-def
        liftAll-def by auto
        ultimately have X #= (X #[[z1  $\wedge$  z2]]-zs)
        by(auto simp: alpha-qFresh-qSwap-id alpha-sym)
      }
      ultimately show ?thesis using Some by simp
    qed
  qed
next
  case (Op1 delta inp binp)
  show ?case proof safe
  fix Y assume q: qOp delta inp binp #= Y
  then obtain inp' binp' where Y: Y = qOp delta inp' binp' and
    *: ( $\forall$  i. (inp i = None) = (inp' i = None))  $\wedge$ 
    ( $\forall$  i. (binp i = None) = (binp' i = None)) and

```

```

**: ( $\forall i X X'. inp i = Some X \wedge inp' i = Some X' \rightarrow X \# X' \wedge$ 
       $(\forall i A A'. binp i = Some A \wedge binp' i = Some A' \rightarrow A \$= A')$ 
 $\text{unfolding } qOp\text{-alpha-iff } sameDom\text{-def } liftAll2\text{-def by auto}$ 
 $\text{show } (qOp\ delta\ inp\ binp) \#[[rho]] \#= (Y \#[[rho]])$ 
 $\text{using } Op1 **$ 
 $\text{by (simp add: Y sameDom-def liftAll2-def)}$ 
 $\text{(fastforce simp add: * lift-None lift-Some}$ 
 $\text{liftAll-def lift-def split: option.splits)}$ 
qed
next
case (Op2 delta inp binp)
thus ?case
by (auto simp: sameDom-def liftAll2-def lift-None lift-Some
liftAll-def lift-def split: option.splits)
next
case (Abs1 xs x X)
show ?case proof safe
fix B
assume alpha-xXB: qAbs xs x X $= B
then obtain y Y where B: B = qAbs xs y Y unfolding qAbs-alphaAbs-iff by
auto
have qGoodAbs B using <qGood X> alpha-xXB alphaAbs-preserves-qGoodAbs
by force
hence goodY: qGood Y unfolding B by simp
let ?x' = pickQFreshEnv xs {x} {X} {rho}
let ?y' = pickQFreshEnv xs {y} {Y} {rho}
obtain x' and y' where x'y'-def: x' = ?x' y' = ?y' and
x'y'-rev: ?x' = x' ?y' = y' by blast
have x'y'-freshXY: qFresh xs x' X \wedge qFresh xs y' Y
unfolding x'y'-def using <qGood X> goodY goodRho by (auto simp add: pick-
QFreshEnv)
have x'y'-fresh-rho: qFreshEnv xs x' rho \wedge qFreshEnv xs y' rho
unfolding x'y'-def using <qGood X> goodY goodRho by (auto simp add: pick-
QFreshEnv)
have x'y'-not-xy: x' $\neq$ x \wedge y' $\neq$ y
unfolding x'y'-def using <qGood X> goodY goodRho
using pickQFreshEnv[of {x} {X}] pickQFreshEnv[of {y} {Y}] by force
have goodXx'x: qGood (X #[[x' \wedge x]]-xs) using <qGood X> qSwap-preserves-qGood
by auto
hence good: qGood(qPsubst rho (X #[[x' \wedge x]]-xs))
using goodRho qPsubst-preserves-qGood by auto
have goodYy'y: qGood (Y #[[y' \wedge y]]-xs) using goodY qSwap-preserves-qGood
by auto
obtain z where z-not: z $\notin$ {x,y,x',y'} and
z-fresh-XY: qFresh xs z X \wedge qFresh xs z Y
and z-fresh-rho: qFreshEnv xs z rho using <qGood X> goodY goodRho
using obtain-qFreshEnv[of {x,y,x',y'} {X,Y} {rho}] by auto

let ?Xx'x = X #[[x' \wedge x]]-xs let ?Yy'y = Y #[[y' \wedge y]]-xs

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let ?Xx'xx' = ?Xx'x #[[z ∧ x']] -xs let ?Yy'yzy' = ?Yy'y #[[z ∧ y']] -xs
let ?Xzx = X #[[z ∧ x]] -xs let ?Yzy = Y #[[z ∧ y]] -xs

have goodXx'x: qGood ?Xx'x using ⟨qGood X⟩ qSwap-preserves-qGood by auto
hence goodXx'xx': qGood ?Xx'xx' using qSwap-preserves-qGood by auto
have qGood (?Xx'x #[[rho]]) using goodXx'x goodRho qPsubst-preserves-qGood
by auto
hence goodXx'x-rho-zx': qGood ((?Xx'x #[[rho]]) #[[z ∧ x']] -xs)
using qSwap-preserves-qGood by auto
have goodYy'y: qGood ?Yy'y using goodY qSwap-preserves-qGood by auto

have skelXx'x: qSkel ?Xx'x = qSkel X using qSkel-qSwap by fastforce
hence skelXx'xx': qSkel ?Xx'xx' = qSkel X by (auto simp add: qSkel-qSwap)
have qSkelAbs B = qSkelAbs (qAbs xs x X)
using alpha-xXB alphaAll-qSkelAll by fastforce
hence qSkel Y = qSkel X unfolding B by (auto simp add: fun-eq-iff)
hence skelYy'y: qSkel ?Yy'y = qSkel X by (auto simp add: qSkel-qSwap)

have ((?Xx'x #[[rho]]) #[[z ∧ x']] -xs) #= (?Xx'xx' #[[rho]])
using skelXx'x goodXx'x z-fresh-rho x'y'-fresh-rho
Abs1.IH(2)[of ?Xx'x] by (auto simp add: alpha-sym)
moreover
{have ?Xx'xx' #= ?Xzx
using ⟨qGood X⟩ x'y'-freshXY z-fresh-XY alpha-qFresh-qSwap-compose by
fastforce
moreover have ?Xzx #= ?Yzy using alpha-xXB unfolding B
using z-fresh-XY ⟨qGood X⟩ goodY
by (simp only: alphaAbs-qAbs-iff-all-qFresh)
moreover have ?Yzy #= ?Yy'yzy' using goodY x'y'-freshXY z-fresh-XY
by (auto simp add: alpha-qFresh-qSwap-compose alpha-sym)
ultimately have ?Xx'xx' #= ?Yy'yzy' using goodXx'xx' alpha-trans by
blast
hence (?Xx'xx' #[[rho]]) #= (?Yy'yzy' #[[rho]])
using goodXx'xx' skelXx'xx' Abs1.IH(1) by auto
}
moreover have (?Yy'yzy' #[[rho]]) #= ((?Yy'y #[[rho]]) #[[z ∧ y']] -xs)
using skelYy'y goodY y' z-fresh-rho x'y'-fresh-rho
Abs1.IH(2)[of ?Yy'y] alpha-sym by fastforce
ultimately
have ((?Xx'x #[[rho]]) #[[z ∧ x']] -xs) #= ((?Yy'y #[[rho]]) #[[z ∧ y']] -xs)
using goodXx'x-rho-zx' alpha-trans by blast
thus (qAbs xs x X) $[[rho]] $= (B $[[rho]])
unfolding B apply simp unfolding Let-def
unfolding x'y'-rev
using good z-not apply (simp only: alphaAbs-qAbs-iff-ex-qFresh)
by (auto intro!: exI[of - z]
simp: alphaAbs-qAbs-iff-ex-qFresh goodRho goodXx'x qPsubstAll-preserves-qFreshAll

qSwap-preserves-qFresh-distinct z-fresh-XY goodYy'y qPsubst-preserves-qFresh

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z-fresh-rho)
qed
next
case (Abs2 xs x X)
show ?case proof safe
fix zs z1 z2
assume z1z2-fresh-rho: qFreshEnv zs z1 rho qFreshEnv zs z2 rho
let ?x' = pickQFreshEnv xs {x @xs[z1 ∧ z2]-zs} {X #[[z1 ∧ z2]]-zs} {rho}
let ?x'' = pickQFreshEnv xs {x} {X} {rho}
obtain x' x'' where x'x''-def: x' = ?x' x'' = ?x'' and
  x'x''-rev: ?x' = x' ?x'' = x'' by blast
let ?xa = x @xs[z1 ∧ z2]-zs let ?xa'' = x'' @xs[z1 ∧ z2]-zs
obtain u where u ∉ {x,x',x'',z1,z2} and
u-fresh-X: qFresh xs u X and u-fresh-rho: qFreshEnv xs u rho
  using ⟨qGood X⟩ goodRho using obtain-qFreshEnv[of {x,x',x'',z1,z2} {X}
{rho}] by auto
hence u-not: u ∉ {x,x',x'',z1,z2,?xa,?xa''} unfolding sw-def by auto
let ?ua = u @xs [z1 ∧ z2]-zs
let ?Xz1z2 = X #[[z1 ∧ z2]]-zs
let ?Xz1z2x'xa = ?Xz1z2 #[[x' ∧ ?xa]]-xs
  let ?Xz1z2x'xa-rho = ?Xz1z2x'xa #[[rho]]
    let ?Xz1z2x'xa-rho-ux' = ?Xz1z2x'xa-rho #[[u ∧ x']] -xs
    let ?Xz1z2x'aux' = ?Xz1z2x'xa #[[u ∧ x']] -xs
      let ?Xz1z2x'aux'-rho = ?Xz1z2x'aux' #[[rho]]
let ?Xz1z2uxa = ?Xz1z2 #[[u ∧ ?xa]] -xs
let ?Xz1z2uaxa = ?Xz1z2 #[[?ua ∧ ?xa]] -xs
let ?Xux = X #[[u ∧ x]] -xs
  let ?Xuxz1z2 = ?Xux #[[z1 ∧ z2]] -zs
let ?Xx''x = X #[[x'' ∧ x]] -xs
  let ?Xx''xux'' = ?Xx''x #[[u ∧ x']] -xs
    let ?Xx''xux''z1z2 = ?Xx''xux'' #[[z1 ∧ z2]] -zs
  let ?Xx''xz1z2 = ?Xx''x #[[z1 ∧ z2]] -zs
    let ?Xx''xz1z2uaxa'' = ?Xx''xz1z2 #[[?ua ∧ ?xa']] -xs
      let ?Xx''xz1z2uaxa''-rho = ?Xx''xz1z2uaxa'' #[[rho]]
    let ?Xx''xz1z2uxa'' = ?Xx''xz1z2 #[[u ∧ ?xa']] -xs
      let ?Xx''xz1z2uxa''-rho = ?Xx''xz1z2uxa'' #[[rho]]
    let ?Xx''xz1z2-rho = ?Xx''xz1z2 #[[rho]]
      let ?Xx''xz1z2-rho-uxa'' = ?Xx''xz1z2-rho #[[u ∧ ?xa']] -xs
let ?Xx''x-rho = ?Xx''x #[[rho]]
  let ?Xx''x-rho-z1z2 = ?Xx''x-rho #[[z1 ∧ z2]] -zs
    let ?Xx''x-rho-z1z2uaxa'' = ?Xx''x-rho-z1z2 #[[u ∧ ?xa']] -xs

have goodXz1z2: qGood ?Xz1z2 using ⟨qGood X⟩ qSwap-preserves-qGood by
auto
have x'x''-fresh-Xz1z2: qFresh xs x' ?Xz1z2 ∧ qFresh xs x'' X
  unfolding x'x''-def using ⟨qGood X⟩ goodXz1z2 goodRho by (auto simp add:
pickQFreshEnv)
have x'x''-fresh-rho: qFreshEnv xs x' rho ∧ qFreshEnv xs x'' rho
  unfolding x'x''-def using ⟨qGood X⟩ goodXz1z2 goodRho by (auto simp add:

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pickQFreshEnv)
have ua-eq-u: ?ua = u using u-not unfolding sw-def by auto

have goodXz1z2x'xa: qGood ?Xz1z2x'xa using goodXz1z2 qSwap-preserves-qGood
by auto
have goodXux: qGood ?Xux using ⟨qGood X⟩ qSwap-preserves-qGood by auto
hence goodXuxz1z2: qGood ?Xuxz1z2 using qSwap-preserves-qGood by auto
have goodXx''x: qGood ?Xx''x using ⟨qGood X⟩ qSwap-preserves-qGood by
auto
hence goodXx''xz1z2: qGood ?Xx''xz1z2 using qSwap-preserves-qGood by auto
hence qGood ?Xx''xz1z2-rho using goodRho qPsubst-preserves-qGood by auto
hence goodXx''xz1z2-rho: qGood ?Xx''xz1z2-rho
using goodRho qPsubst-preserves-qGood by auto
have goodXz1z2x'aux': qGood ?Xz1z2x'aux'
using goodXz1z2x'xa qSwap-preserves-qGood by auto
have goodXz1z2x'xa-rho: qGood ?Xz1z2x'xa-rho
using goodXz1z2x'xa goodRho qPsubst-preserves-qGood by auto
hence goodXz1z2x'xa-rho-ux': qGood ?Xz1z2x'xa-rho-ux'
using qSwap-preserves-qGood by auto

have xa''-fresh-rho: qFreshEnv xs ?xa'' rho
using x'x''-fresh-rho z1z2-fresh-rho unfolding sw-def by auto
have u-fresh-Xz1z2: qFresh xs u ?Xz1z2
using u-fresh-X u-not by(auto simp add: qSwap-preserves-qFresh-distinct)
hence qFresh xs u ?Xz1z2x'xa using u-not by(auto simp add: qSwap-preserves-qFresh-distinct)
hence u-fresh-Xz1z2x'xa-rho: qFresh xs u ?Xz1z2x'xa-rho
using u-fresh-rho u-fresh-X goodRho goodXz1z2x'xa qPsubst-preserves-qFresh
by auto
have qFresh xs u ?Xx''x
using u-fresh-X u-not by(auto simp add: qSwap-preserves-qFresh-distinct)
hence qFresh xs u ?Xx''x-rho using goodRho goodXx''x u-fresh-rho
by(auto simp add: qPsubst-preserves-qFresh)
hence u-fresh-Xx''x-rho-z1z2: qFresh xs u ?Xx''x-rho-z1z2
using u-not by(auto simp add: qSwap-preserves-qFresh-distinct)

have skel-Xz1z2x'xa: qSkel ?Xz1z2x'xa = qSkel X by(auto simp add: qSkel-qSwap)
hence skel-Xz1z2x'aux': qSkel ?Xz1z2x'aux' = qSkel X by(auto simp add:
qSkel-qSwap)
have skel-Xx''x: qSkel ?Xx''x = qSkel X by(auto simp add: qSkel-qSwap)
hence skel-Xx''xz1z2: qSkel ?Xx''xz1z2 = qSkel X by(auto simp add: qSkel-qSwap)

have ?Xz1z2x'aux'-rho #=? Xz1z2x'xa-rho-ux'
using x'x''-fresh-rho u-fresh-rho skel-Xz1z2x'xa goodXz1z2x'xa
using Abs2.IH(2)[of ?Xz1z2x'xa] by auto
hence ?Xz1z2x'xa-rho-ux' #=? Xz1z2x'aux'-rho using alpha-sym by auto
moreover
{have ?Xz1z2x'aux' #=? Xz1z2uxa
using goodXz1z2 u-fresh-Xz1z2 x'x''-fresh-Xz1z2
using alpha-qFresh-qSwap-compose by fastforce}

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moreover have ?Xz1z2uxa = ?Xuxz1z2
using ua-eq-u qSwap-compose[of zs z1 z2 xs x u X] by(auto simp: qSwap-sym)
moreover
{have ?Xux #=?Xx''xux''
  using <qGood X> u-fresh-X x'x''-fresh-Xz1z2
  by(auto simp: alpha-qFresh-qSwap-compose alpha-sym)
  hence ?Xuxz1z2 #=?Xx''xux''z1z2
    using goodXux by (auto simp add: qSwap-preserves-alpha)
}
moreover have ?Xx''xux''z1z2 = ?Xx''xz1z2uxa''
using ua-eq-u qSwap-compose[of zs z1 z2 - - - ?Xx''x] by auto
ultimately have ?Xz1z2x'aux' #=?Xx''xz1z2uxa''
using goodXz1z2x'aux' alpha-trans by auto
hence ?Xz1z2x'aux'-rho #=?Xx''xz1z2uxa''-rho
using goodXz1z2x'aux' skel-Xz1z2x'aux' Abs2.IH(1) by auto
}
moreover have ?Xx''xz1z2uxa''-rho #=?Xx''xz1z2-rho-uxa''
using xa''-fresh-rho u-fresh-rho skel-Xx''xz1z2 goodXx''xz1z2
using Abs2.IH(2)[of ?Xx''xz1z2] by auto
moreover
{have ?Xx''xz1z2-rho #=?Xx''x-rho-z1z2
  using z1z2-fresh-rho skel-Xx''x goodXx''x
  using Abs2.IH(2)[of ?Xx''x] by auto
  hence ?Xx''xz1z2-rho-uxa'' #=?Xx''x-rho-z1z2uxa''
    using goodXx''xz1z2-rho by (auto simp add: qSwap-preserves-alpha)
}
ultimately have ?Xz1z2x'xa-rho-ux' #=?Xx''x-rho-z1z2uxa''
using goodXz1z2x'xa-rho-ux' alpha-trans by blast
thus ((qAbs xs x X) $[[z1 ∧ z2]]-zs) $[[rho]] $=
  (((qAbs xs x X) $[[rho]]) $[[z1 ∧ z2]]-zs)
using goodXz1z2x'xa-rho
goodXz1z2x'xa u-not u-fresh-Xz1z2x'xa-rho u-fresh-Xx''x-rho-z1z2
apply(simp add: Let-def x'x''-rev del: alpha.simps alphaAbs.simps )
by (auto simp only: Let-def alphaAbs-qAbs-iff-ex-qFresh)
qed
qed

```

corollary *qPsubst-preserves-alpha1*:
assumes *qGoodEnv rho and qGood X ∨ qGood Y and X #=? Y*
shows *(X #[[rho]]) #=? (Y #[[rho]])*
using *alpha-preserves-qGood assms qPsubstAll-preserves-alphaAll-qSwapAll* **by** *blast*

corollary *qPsubstAbs-preserves-alphaAbs1*:
assumes *qGoodEnv rho and qGoodAbs A ∨ qGoodAbs B and A \$=? B*
shows *(A \$[[rho]]) \$=? (B \$[[rho]])*
using *alphaAbs-preserves-qGoodAbs assms qPsubstAll-preserves-alphaAll-qSwapAll*
by *blast*

corollary *alpha-qFreshEnv-qSwap-qPsubst-commute*:

```

 $\llbracket qGoodEnv \rho; qGood X; qFreshEnv z_1 \rho; qFreshEnv z_2 \rho \rrbracket \implies$ 
 $((X \# [z_1 \wedge z_2]) \# [z_1 \wedge z_2]) \# [z_1 \wedge z_2] = ((X \# [z_1 \wedge z_2]) \# [z_1 \wedge z_2]) \# [z_1 \wedge z_2]$ 
by(simp add: qPsubstAll-preserves-alphaAll-qSwapAll)

```

corollary alphaAbs-qFreshEnv-qSwapAbs-qPsubstAbs-commute:

```

 $\llbracket qGoodEnv \rho; qGoodAbs A;$ 
 $qFreshEnv z_1 \rho; qFreshEnv z_2 \rho \rrbracket \implies$ 
 $((A \$ [z_1 \wedge z_2]) \# [z_1 \wedge z_2]) \$ [z_1 \wedge z_2] = ((A \$ [z_1 \wedge z_2]) \$ [z_1 \wedge z_2]) \# [z_1 \wedge z_2]$ 
by(simp add: qPsubstAll-preserves-alphaAll-qSwapAll)

```

lemma qPsubstAll-preserves-alphaAll2:

```

fixes X::('index,'bindx,'varSort,'var,'opSym)qTerm and
A::('index,'bindx,'varSort,'var,'opSym)qAbs and
rho'::('index,'bindx,'varSort,'var,'opSym)qEnv and rho"
assumes rho'-alpha-rho": rho' &= rho" and
goodRho': qGoodEnv rho' and goodRho": qGoodEnv rho"
shows
(qGood X --> (X \# [rho']) \# [rho"])) \# [rho"]))) \wedge
(qGoodAbs A --> (A \$ [rho']) \$ [rho"]) \# [rho"])
proof(induction rule: qGood-qTerm-induct)
  case (Var xs x)
  then show ?case
  proof (cases rho' xs x)
    case None
    hence rho" xs x = None using rho'-alpha-rho" unfolding alphaEnv-def same-
Dom-def by auto
    thus ?thesis using None by simp
  next
    case (Some X')
    then obtain X" where rho": rho" xs x = Some X"
    using assms unfolding alphaEnv-def sameDom-def by force
    hence X' \# [rho"] = X" using Some rho'-alpha-rho"
    unfolding alphaEnv-def liftAll2-def by auto
    thus ?thesis using Some rho" by simp
  qed
  next
    case (Op delta inp binp)
    then show ?case
    by (auto simp: lift-def liftAll-def liftAll2-def sameDom-def Let-def
          split: option.splits)
  next
    case (Abs xs x X)
    let ?x' = pickQFreshEnv xs {x} {X} {rho'}
    let ?x" = pickQFreshEnv xs {x} {X} {rho"}
    obtain x' x" where x'x"-def: x' = ?x' x" = ?x" and
      x'x"-rev: ?x' = x' ?x" = x" by blast
    have x'x"-fresh-X: qFresh xs x' X \wedge qFresh xs x" X
    unfolding x'x"-def using qGood X goodRho' goodRho" by (auto simp add:
      pickQFreshEnv)

```

```

have  $x'\text{-fresh-}\rho'$ :  $qFreshEnv\ xs\ x'\ \rho'$ 
  unfolding  $x'x''\text{-def}$  using  $\langle qGood\ X \rangle\ goodRho'\ goodRho''$  by (auto simp add: pickQFreshEnv)
have  $x''\text{-fresh-}\rho''$ :  $qFreshEnv\ xs\ x''\ \rho''$ 
  unfolding  $x'x''\text{-def}$  using  $\langle qGood\ X \rangle\ goodRho'\ goodRho''$  by (auto simp add: pickQFreshEnv)
obtain  $u$  where  $u\text{-not: } u \notin \{x, x', x''\}$  and
 $u\text{-fresh-}X$ :  $qFresh\ xs\ u\ X$  and
 $u\text{-fresh-}\rho'$ :  $qFreshEnv\ xs\ u\ \rho'$  and  $u\text{-fresh-}\rho''$ :  $qFreshEnv\ xs\ u\ \rho''$ 
using  $\langle qGood\ X \rangle\ goodRho'\ goodRho''$ 
using obtain-qFreshEnv[of  $\{x, x', x''\}$  {X} { $\rho'$ ,  $\rho''$ }] by auto

let ? $Xx'x = X \# [[x' \wedge x]]\text{-}xs$ 
let ? $Xx'x\,\rho' = ?Xx'x \# [[\rho']]$ 
let ? $Xx'x\,\rho'\text{-}ux' = ?Xx'x\,\rho' \# [[u \wedge x']]\text{-}xs$ 
let ? $Xx'xux' = ?Xx'x \# [[u \wedge x']]\text{-}xs$ 
let ? $Xx'xux'\,\rho' = ?Xx'xux' \# [[\rho']]$ 
let ? $Xux = X \# [[u \wedge x]]\text{-}xs$ 
let ? $Xux\,\rho' = ?Xux \# [[\rho']]$ 
let ? $Xux\,\rho'' = ?Xux \# [[\rho'']]$ 
let ? $Xx''x = X \# [[x'' \wedge x]]\text{-}xs$ 
let ? $Xx''xux'' = ?Xx''x \# [[u \wedge x'']\text{-}xs$ 
let ? $Xx''xux''\,\rho'' = ?Xx''xux'' \# [[\rho'']]$ 
let ? $Xx''x\,\rho'' = ?Xx''x \# [[\rho'']]$ 
let ? $Xx''x\,\rho''\text{-}ux'' = ?Xx''x\,\rho'' \# [[u \wedge x'']\text{-}xs$ 

have  $goodXx'x$ :  $qGood\ ?Xx'x$  using  $\langle qGood\ X \rangle\ qSwap\text{-preserves-}qGood$  by auto
hence  $goodXx'x\,\rho'$ :  $qGood\ ?Xx'x\,\rho'$  using  $\langle qGood\ X \rangle\ goodRho'\ qPsubst\text{-preserves-}qGood$  by auto
hence  $goodXx'x\,\rho'\text{-}ux'$ :  $qGood\ ?Xx'x\,\rho'\text{-}ux'$ 
using  $\langle qGood\ X \rangle\ qSwap\text{-preserves-}qGood$  by auto
have  $goodXx'xux'$ :  $qGood\ ?Xx'xux'$  using  $goodXx'x\ qSwap\text{-preserves-}qGood$  by auto
have  $goodXux$ :  $qGood\ ?Xux$  using  $\langle qGood\ X \rangle\ qSwap\text{-preserves-}qGood$  by auto
have  $goodXx''x$ :  $qGood\ ?Xx''x$  using  $\langle qGood\ X \rangle\ qSwap\text{-preserves-}qGood$  by auto
hence  $goodXx''x\,\rho''$ :  $qGood\ ?Xx''x\,\rho''$ 
using  $\langle qGood\ X \rangle\ goodRho''\ qPsubst\text{-preserves-}qGood$  by auto

have  $qFresh\ xs\ u\ ?Xx'x$  using  $u\text{-not } u\text{-fresh-}X$ 
by (auto simp add: qSwap-preserves-qFresh-distinct)
hence  $fresh\text{-}Xx'x\,\rho'$ :  $qFresh\ xs\ u\ ?Xx'x\,\rho'$ 
using  $u\text{-fresh-}\rho'\ goodXx'x\ goodRho'$  by (auto simp add: qPsubst-preserves-qFresh)
have  $qFresh\ xs\ u\ ?Xx''x$  using  $u\text{-not } u\text{-fresh-}X$ 
by (auto simp add: qSwap-preserves-qFresh-distinct)
hence  $fresh\text{-}Xx''x\,\rho''$ :  $qFresh\ xs\ u\ ?Xx''x\,\rho''$ 
using  $u\text{-fresh-}\rho''\ goodXx''x\ goodRho''$  by (auto simp add: qPsubst-preserves-qFresh)

have  $Xux$ :  $(X, ?Xux) : qSwapped$  by (simp add: qSwap-qSwapped)

```

```

have ?Xx'x-rho'-ux' #=?Xx'xux'-rho'
  using goodRho' goodXx'x u-fresh-rho' x'-fresh-rho'
  by(auto simp: alpha-qFreshEnv-qSwap-qPsubst-commute alpha-sym)
moreover
{have ?Xx'xux' #=?Xux using ⟨qGood X⟩ u-fresh-X x'x"-fresh-X
  using alpha-qFresh-qSwap-compose by fastforce
  hence ?Xx'xux'-rho' #=?Xux-rho' using goodXx'xux' goodRho'
  using qPsubst-preserves-alpha1 by auto
}
moreover have ?Xux-rho' #=?Xux-rho'' using Xux Abs.IH by auto
moreover
{have ?Xux #=?Xx''xux'' using ⟨qGood X⟩ u-fresh-X x'x"-fresh-X
  by(auto simp add: alpha-qFresh-qSwap-compose alpha-sym)
  hence ?Xux-rho'' #=?Xx''xux''-rho'' using goodXux goodRho''
  using qPsubst-preserves-alpha1 by auto
}
moreover have ?Xx''xux''-rho'' #=?Xx''x-rho''-ux'' using goodRho'' goodXx''x u-fresh-rho'' x''-fresh-rho'' by(auto simp: alpha-qFreshEnv-qSwap-qPsubst-commute)
ultimately have ?Xx'x-rho'-ux' #=?Xx''x-rho''-ux'' using goodXx'x-rho'-ux' alpha-trans by blast
hence qAbs xs ?x' (qPsubst rho' (X #[[?x' ∧ x]]-xs)) $= qAbs xs ?x''(qPsubst rho''(X #[[?x'' ∧ x]]-xs))
unfolding x'x"-rev using goodXx'x-rho' fresh-Xx'x-rho' fresh-Xx''x-rho'' by (auto simp only: alphaAbs-qAbs-iff-ex-qFresh)
thus ?case by (metis qPsubstAbs.simps)
qed

corollary qPsubst-preserves-alpha2:
  [[qGood X; qGoodEnv rho'; qGoodEnv rho''; rho' &= rho'']]
  ==> (X #[[rho]]) #=? (X #[[rho'']])
by(simp add: qPsubstAll-preserves-alphaAll2)

corollary qPsubstAbs-preserves-alphaAbs2:
  [[qGoodAbs A; qGoodEnv rho'; qGoodEnv rho''; rho' &= rho'']]
  ==> (A $[[rho]]) $= (A $[[rho'']])
by(simp add: qPsubstAll-preserves-alphaAll2)

lemma qPsubst-preserves-alpha:
assumes qGood X ∨ qGood X' and qGoodEnv rho and qGoodEnv rho'
and X #=? X' and rho &= rho'
shows (X #[[rho]]) #=? (X' #[[rho']])
  by (metis (no-types, lifting) assms alpha-trans qPsubst-preserves-alpha1
qPsubst-preserves-alpha2 qPsubst-preserves-qGood)

lemma qPsubstAbs-preserves-alphaAbs:
assumes qGoodAbs A ∨ qGoodAbs A' and qGoodEnv rho and qGoodEnv rho'
and A $=? A' and rho &= rho'
shows (A $[[rho]]) $= (A' $[[rho']])

```

```

using assms
by (meson alphaAbs-trans qPsubstAbs-preserves-alphaAbs1
    qPsubstAbs-preserves-qGoodAbs qPsubstAll-preserves-alphaAll2)

lemma qFresh-qPsubst-commute-qAbs:
assumes good-X: qGood X and good-rho: qGoodEnv rho and
    x-fresh-rho: qFreshEnv xs x rho
shows ((qAbs xs x X) $[[rho]]) $= qAbs xs x (X #[[rho]])
proof-
  let ?x' = pickQFreshEnv xs {x} {X} {rho}
  obtain x' where x'-def: x' = ?x' and x'-rev: ?x' = x' by blast
  have x'-not: x' ≠ x unfolding x'-def
    using assms pickQFreshEnv[of {x} {X}] by auto
  have x'-fresh-X: qFresh xs x' X unfolding x'-def
    using assms pickQFreshEnv[of {x} {X}] by auto
  have x'-fresh-rho: qFreshEnv xs x' rho unfolding x'-def
    using assms pickQFreshEnv[of {x} {X}] by auto
  obtain u where u-not: u ∉ {x,x'} and
    u-fresh-X: qFresh xs u X and u-fresh-rho: qFreshEnv xs u rho
    using good-X good-rho obtain-qFreshEnv[of {x,x'} {X} {rho}] by auto
  let ?Xx'x = X #[[x' ∧ x]]-xs
  let ?Xx'x-rho = ?Xx'x #[[rho]]
    let ?Xx'x-rho-ux' = ?Xx'x-rho #[[u ∧ x']] -xs
  let ?Xx'xux' = ?Xx'x #[[u ∧ x']] -xs
    let ?Xx'xux'-rho = ?Xx'xux' #[[rho]]
  let ?Xux = X #[[u ∧ x]] -xs
    let ?Xux-rho = ?Xux #[[rho]]
  let ?Xrho = X #[[rho]]
    let ?Xrho-ux = ?Xrho #[[u ∧ x]] -xs

  have good-Xx'x: qGood ?Xx'x using good-X qSwap-preserves-qGood by auto
    hence good-Xx'x-rho: qGood ?Xx'x-rho using good-rho qPsubst-preserves-qGood
  by auto
    hence good-Xx'x-rho-ux': qGood ?Xx'x-rho-ux' using qSwap-preserves-qGood by
    auto
    have good-Xx'xux': qGood ?Xx'xux' using good-Xx'x qSwap-preserves-qGood by
    auto

  have u-fresh-Xx'x: qFresh xs u ?Xx'x
    using u-fresh-X u-not by(auto simp add: qSwap-preserves-qFresh-distinct)
    hence u-fresh-Xx'x-rho: qFresh xs u ?Xx'x-rho
      using good-rho good-Xx'x u-fresh-rho by(auto simp add: qPsubst-preserves-qFresh)
      have u-fresh-Xrho: qFresh xs u ?Xrho
        using good-rho good-X u-fresh-X u-fresh-rho by(auto simp add: qPsubst-preserves-qFresh)
      -
        have ?Xx'x-rho-ux' #= ?Xx'xux'-rho
          using good-Xx'x good-rho u-fresh-rho x'-fresh-rho
          using alpha-qFreshEnv-qSwap-qPsubst-commute alpha-sym by blast

```

```

moreover
{have ?Xx'xux' #=?Xux
using good-X u-fresh-X x'-fresh-X by (auto simp add: alpha-qFresh-qSwap-compose)
hence ?Xx'xux'-rho #=?Xux-rho
using good-Xx'xux' good-rho qPsubst-preserves-alpha1 by auto
}
moreover have ?Xux-rho #=?Xrho-ux
using good-X good-rho u-fresh-rho x-fresh-rho
using alpha-qFreshEnv-qSwap-qPsubst-commute by blast
ultimately have ?Xx'x-rho-ux' #=?Xrho-ux
using good-Xx'x-rho-ux' alpha-trans by blast
thus ?thesis apply (simp add: Let-def del: alpha.simps alphaAbs.simps)
unfolding x'-rev using good-Xx'x-rho
using u-fresh-Xx'x-rho u-fresh-Xrho by (auto simp only: alphaAbs-qAbs-iff-ex-qFresh)

qed

end

end
theory Pick imports Main
begin

definition pick X ≡ SOME x. x ∈ X

lemma pick[simp]: x ∈ X ⟹ pick X ∈ X
unfolding pick-def by (metis someI-ex)

lemma pick-NE[simp]: X ≠ {} ⟹ pick X ∈ X by auto

end

```

4 Some preliminaries on equivalence relations and quotients

```

theory Equiv-Relation2 imports Preliminaries Pick
begin

```

Unary predicates vs. sets:

```

definition S2P A ≡ λ x. x ∈ A

```

```

lemma S2P-app[simp]: S2P r x ↔ x ∈ r
unfolding S2P-def by auto

```

```

lemma S2P-Collect[simp]: S2P (Collect φ) = φ
apply(rule ext)+ by simp

```

lemma *Collect-S2P*[simp]: $\text{Collect} (\text{S2P } r) = r$
by (*metis Collect-mem-eq S2P-Collect*)

Binary predicates vs. relatipons:

definition $P2R \varphi \equiv \{(x,y). \varphi x y\}$
definition $R2P r \equiv \lambda x y. (x,y) \in r$

lemma *in-P2R*[simp]: $xy \in P2R \varphi \longleftrightarrow \varphi (\text{fst } xy) (\text{snd } xy)$
unfolding *P2R-def* **by** *auto*

lemma *in-P2R-pair*[simp]: $(x,y) \in P2R \varphi \longleftrightarrow \varphi x y$
by *simp*

lemma *R2P-app*[simp]: $R2P r x y \longleftrightarrow (x,y) \in r$
unfolding *R2P-def* **by** *auto*

lemma *R2P-P2R*[simp]: $R2P (P2R \varphi) = \varphi$
apply(rule ext)+ **by** *simp*

lemma *P2R-R2P*[simp]: $P2R (R2P r) = r$
using *Collect-mem-eq P2R-def R2P-P2R case-prod-curry* **by** *metis*

definition $\text{reflP } P \varphi \equiv (\forall x y. \varphi x y \vee \varphi y x \longrightarrow P x) \wedge (\forall x. P x \longrightarrow \varphi x x)$
definition $\text{symP } \varphi \equiv \forall x y. \varphi x y \longrightarrow \varphi y x$
definition transP where $\text{transP } \varphi \equiv \forall x y z. \varphi x y \wedge \varphi y z \longrightarrow \varphi x z$
definition $\text{equivP } A \varphi \equiv \text{reflP } A \varphi \wedge \text{symP } \varphi \wedge \text{transP } \varphi$

lemma *refl-on-P2R*[simp]: $\text{refl-on} (\text{Collect } P) (P2R \varphi) \longleftrightarrow \text{reflP } P \varphi$
unfolding *reflP-def refl-on-def* **by** *force*

lemma *reflP-R2P*[simp]: $\text{reflP} (\text{S2P } A) (R2P r) \longleftrightarrow \text{refl-on } A r$
unfolding *reflP-def refl-on-def* **by** *auto*

lemma *sym-P2R*[simp]: $\text{sym} (P2R \varphi) \longleftrightarrow \text{symP } \varphi$
unfolding *symP-def sym-def* **by** *auto*

lemma *symP-R2P*[simp]: $\text{symP} (R2P r) \longleftrightarrow \text{sym } r$
unfolding *symP-def sym-def* **by** *auto*

lemma *trans-P2R*[simp]: $\text{trans} (P2R \varphi) \longleftrightarrow \text{transP } \varphi$
unfolding *transP-def trans-def* **by** *auto*

lemma *transP-R2P*[simp]: $\text{transP} (R2P r) \longleftrightarrow \text{trans } r$
unfolding *transP-def trans-def* **by** *auto*

lemma *equiv-P2R*[simp]: $\text{equiv} (\text{Collect } P) (P2R \varphi) \longleftrightarrow \text{equivP } P \varphi$
unfolding *equivP-def equiv-def* **by** *auto*

lemma *equivP-R2P*[simp]: $\text{equivP} (\text{S2P } A) (R2P r) \longleftrightarrow \text{equiv } A r$

```

unfolding equivP-def equiv-def by auto

lemma in-P2R-Im-singl[simp]:  $y \in P2R \varphi \quad \{x\} \longleftrightarrow \varphi x y$  by simp

definition proj ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \text{ set where}$ 
proj  $\varphi x \equiv \{y. \varphi x y\}$ 

lemma proj-P2R: proj  $\varphi x = P2R \varphi \quad \{x\}$  unfolding proj-def by auto

lemma proj-P2R-raw: proj  $\varphi = (\lambda x. P2R \varphi \quad \{x\})$ 
apply(rule ext) unfolding proj-P2R ..

definition univ ::  $('a \Rightarrow 'b) \Rightarrow ('a \text{ set} \Rightarrow 'b)$ 
where univ  $f X == f (\text{SOME } x. x \in X)$ 

definition quotientP :: 
 $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \text{ set} \Rightarrow \text{bool})$  (infixl <'/''/> 90)
where P //  $\varphi \equiv S2P ((\text{Collect } P) // (P2R \varphi))$ 

lemma proj-preserves:
 $P x \implies (P // \varphi) (\text{proj } \varphi x)$ 
unfolding proj-P2R quotientP-def
by (metis S2P-def mem-Collect-eq quotientI)

lemma proj-in-iff:
assumes equivP P  $\varphi$ 
shows  $(P // \varphi) (\text{proj } \varphi x) \longleftrightarrow P x$ 
using assms unfolding quotientP-def proj-def
by (metis (mono-tags) Collect-mem-eq Equiv-Relation2.proj-def
Equiv-Relation2.proj-preserves S2P-Collect empty-Collect-eq equivP-def
equiv-P2R in-quotient-imp-non-empty quotientP-def reflP-def)

lemma proj-iff[simp]:
 $\llbracket \text{equivP } P \varphi; P x; P y \rrbracket \implies \text{proj } \varphi x = \text{proj } \varphi y \longleftrightarrow \varphi x y$ 
unfolding proj-P2R
by (metis (full-types) equiv-P2R equiv-class-eq-iff equiv-class-self
in-P2R-pair mem-Collect-eq proj-P2R proj-def)

lemma in-proj[simp]:  $\llbracket \text{equivP } P \varphi; P x \rrbracket \implies x \in \text{proj } \varphi x$ 
unfolding proj-P2R equiv-def refl-on-def equiv-P2R[symmetric]
by auto

lemma proj-image[simp]:  $(\text{proj } \varphi) '(\text{Collect } P) = \text{Collect } (P // \varphi)$ 
unfolding proj-P2R-raw quotientP-def quotient-def by auto

lemma in-quotientP-imp-non-empty:
assumes equivP P  $\varphi$  and  $(P // \varphi) X$ 
shows  $X \neq \{\}$ 
by (metis R2P-P2R S2P-Collect S2P-def assms equivP-R2P)

```

in-quotient-imp-non-empty quotientP-def)

lemma *in-quotientP-imp-in-rel*:
 $\llbracket \text{equivP } P \varphi; (P//\varphi) X; x \in X; y \in X \rrbracket \implies \varphi x y$
unfolding *equiv-P2R[symmetric]* *quotientP-def* *quotient-eq-iff*
by (*metis S2P-def in-P2R-pair quotient-eq-iff*)

lemma *in-quotientP-imp-closed*:
 $\llbracket \text{equivP } P \varphi; (P//\varphi) X; x \in X; \varphi x y \rrbracket \implies y \in X$
using *S2P-Collect S2P-def equivP-def proj-P2R-raw proj-def*
quotientE quotientP-def transP-def
by *metis*

lemma *in-quotientP-imp-subset*:
assumes *equivP P φ and (P//φ) X*
shows $X \subseteq \text{Collect } P$
by (*metis (mono-tags, lifting) CollectI assms equivP-def in-quotientP-imp-in-rel reflP-def subsetI*)

lemma *equivP-pick-in*:
assumes *equivP P φ and (P//φ) X*
shows *pick X ∈ X*
by (*metis assms in-quotientP-imp-non-empty pick-NE*)

lemma *equivP-pick-preserves*:
assumes *equivP P φ and (P//φ) X*
shows *P (pick X)*
by (*metis assms equivP-pick-in in-quotientP-imp-subset mem-Collect-eq set-rev-mp*)

lemma *proj-pick*:
assumes $\varphi: \text{equivP } P \varphi \text{ and } X: (P//\varphi) X$
shows *proj φ (pick X) = X*
by (*smt (verit) proj-def Equiv-Relation2.proj-iff Equiv-Relation2.proj-image X φ equivP-pick-in equivP-pick-preserves image-iff mem-Collect-eq*)

lemma *pick-proj*:
assumes *equivP P φ and P x*
shows $\varphi (\text{pick} (\text{proj } \varphi x)) = x$
by (*metis assms equivP-def in-proj mem-Collect-eq pick proj-def symP-def*)

lemma *equivP-pick-iff[simp]*:
assumes $\varphi: \text{equivP } P \varphi \text{ and } X: (P//\varphi) X \text{ and } Y: (P//\varphi) Y$
shows $\varphi (\text{pick } X) (\text{pick } Y) \longleftrightarrow X = Y$
by (*metis Equiv-Relation2.proj-iff X Y φ equivP-pick-preserves proj-pick*)

lemma *equivP-pick-inj-on*:
assumes *equivP P φ*
shows *inj-on pick (Collect (P//φ))*
using *assms unfolding inj-on-def*

```

by (metis assms equivP-pick-iff mem-Collect-eq)

definition congruentP where
congruentP φ f ≡ ∀ x y. φ x y → f x = f y

abbreviation RESPECTS-P (infixr `respectsP` 80) where
f respectsP r == congruentP r f

lemma congruent-P2R: congruent (P2R φ) f = congruentP φ f
unfolding congruent-def congruentP-def by auto

lemma univ-commute[simp]:
assumes equivP P φ and f respectsP φ and P x
shows (univ f) (proj φ x) = f x
unfolding congruent-P2R[symmetric]
by (metis (full-types) assms pick-def congruentP-def pick-proj univ-def)

lemma univ-unique:
assumes equivP P φ and f respectsP φ and ⋀ x. P x ⇒ G (proj φ x) = f x
shows ⍱ X. (P///φ) X → G X = univ f X
by (metis assms equivP-pick-preserves proj-pick univ-commute)

lemma univ-preserves:
assumes equivP P φ and f respectsP φ and ⋀ x. P x ⇒ f x ∈ B
shows ⍱ X. (P///φ) X → univ f X ∈ B
by (metis Equiv-Relation2.univ-commute assms
equivP-pick-preserves proj-pick)

end

```

5 Transition from Quasi-Terms to Terms

```

theory Transition-QuasiTerms-Terms
imports QuasiTerms-Environments-Substitution Equiv-Relation2
begin

```

This section transits from quasi-terms to terms: defines terms as alpha-equivalence classes of quasi-terms (and also abstractions as alpha-equivalence classes of quasi-abstractions), then defines operators on terms corresponding to those on quasi-terms: variable injection, binding operation, freshness, swapping, parallel substitution, etc. Properties previously shown invariant under alpha-equivalence, including induction principles, are lifted from quasi-terms. Moreover, a new powerful induction principle, allowing freshness assumptions, is proved for terms.

As a matter of notation: Starting from this section, we change the notations

for quasi-item meta-variables, prefixing their names with a "q" – e.g., qX, qA, qinp, qenv, etc. The old names are now assigned to the “real” items: terms, abstractions, inputs, environments.

5.1 Preparation: Integrating quasi-inputs as first-class citizens

```
context FixVars
begin
```

From now on it will be convenient to also define fresh, swap, good and alpha-equivalence for quasi-inpus.

```
definition qSwapInp where
qSwapInp xs x y qinp == lift (qSwap xs x y) qinp

definition qSwapBinp where
qSwapBinp xs x y qbinp == lift (qSwapAbs xs x y) qbinp

abbreviation qSwapInp-abbrev (<- %[- ∧ -]⟩--> 200) where
(qinp %[[z1 ∧ z2]]-zs) == qSwapInp zs z1 z2 qinp

abbreviation qSwapBinp-abbrev (<- %%[- ∧ -]⟩--> 200) where
(qbinp %%[[z1 ∧ z2]]-zs) == qSwapBinp zs z1 z2 qbinp

lemma qSwap-qSwapInp:
((qOp delta qinp qbinp) #[[x ∧ y]]-xs) =
qOp delta (qinp %[[x ∧ y]]-xs) (qbinp %%[[x ∧ y]]-xs)
unfolding qSwapInp-def qSwapBinp-def by simp
```

```
declare qSwap.simps(2) [simp del]
declare qSwap-qSwapInp[simp]
```

```
lemmas qSwapAll-simps = qSwap.simps(1) qSwap-qSwapInp
```

```
definition qPsubstInp where
qPsubstInp qrho qinp == lift (qPsubst qrho) qinp

definition qPsubstBinp where
qPsubstBinp qrho qbinp == lift (qPsubstAbs qrho) qbinp

abbreviation qPsubstInp-abbrev (<- %[-]⟩ 200)
where (qinp %[[qrho]]) == qPsubstInp qrho qinp

abbreviation qPsubstBinp-abbrev (<- %%[-]⟩ 200)
```

```

where ( $qbinp \ \%% [[qrho]]$ ) ==  $qPsubstBinp\ qrho\ qbinp$ 

lemma  $qPsubst\text{-}qPsubstInp$ :
 $((qOp\ delta\ qinp\ qbinp)\ # [[rho]]) = qOp\ delta\ (qinp\ \%% [[rho]])\ (qbinp\ \%% [[rho]])$ 
unfolding  $qPsubstInp\text{-}def\ qPsubstBinp\text{-}def$  by  $simp$ 

```

```

declare  $qPsubst.simps(2)$  [ $simp\ del$ ]
declare  $qPsubst\text{-}qPsubstInp[simp]$ 

```

```
lemmas  $qPsubstAll\text{-}simps = qPsubst.simps(1)$   $qPsubst\text{-}qPsubstInp$ 
```

```

definition  $qSkelInp$ 
where  $qSkelInp\ qinp = lift\ qSkel\ qinp$ 

definition  $qSkelBinp$ 
where  $qSkelBinp\ qbinp = lift\ qSkelAbs\ qbinp$ 

```

```

lemma  $qSkel\text{-}qSkelInp$ :
 $qSkel\ (qOp\ delta\ qinp\ qbinp) =$ 
 $Branch\ (qSkelInp\ qinp)\ (qSkelBinp\ qbinp)$ 
unfolding  $qSkelInp\text{-}def\ qSkelBinp\text{-}def$  by  $simp$ 

```

```

declare  $qSkel.simps(2)$  [ $simp\ del$ ]
declare  $qSkel\text{-}qSkelInp[simp]$ 

```

```
lemmas  $qSkelAll\text{-}simps = qSkel.simps(1)$   $qSkel\text{-}qSkelInp$ 
```

```

definition  $qFreshInp ::$ 
 $'varSort \Rightarrow 'var \Rightarrow ('index,('index,'bindx,'varSort,'var,'opSym)qTerm)input \Rightarrow$ 
 $bool$ 
where
 $qFreshInp\ xs\ x\ qinp == liftAll\ (qFresh\ xs\ x)\ qinp$ 

```

```

definition  $qFreshBinp ::$ 
 $'varSort \Rightarrow 'var \Rightarrow ('bindx,('index,'bindx,'varSort,'var,'opSym)qAbs)input \Rightarrow bool$ 
where
 $qFreshBinp\ xs\ x\ qbinp == liftAll\ (qFreshAbs\ xs\ x)\ qbinp$ 

```

```

lemma  $qFresh\text{-}qFreshInp$ :
 $qFresh\ xs\ x\ (qOp\ delta\ qinp\ qbinp) =$ 
 $(qFreshInp\ xs\ x\ qinp \wedge qFreshBinp\ xs\ x\ qbinp)$ 

```

unfolding *qFreshInp-def qFreshBinp-def by simp*

```
declare qFresh.simps(2) [simp del]
declare qFresh-qFreshInp[simp]
```

lemmas *qFreshAll-simps = qFresh.simps(1) qFresh-qFreshInp*

```
definition qGoodInp where
qGoodInp qinp ===
liftAll qGood qinp ∧
|{i. qinp i ≠ None}| <o |UNIV :: 'var set|
```

```
definition qGoodBinp where
qGoodBinp qbinp ===
liftAll qGoodAbs qbinp ∧
|{i. qbinp i ≠ None}| <o |UNIV :: 'var set|
```

```
lemma qGood-qGoodInp:
qGood (qOp delta qinp qbinp) = (qGoodInp qinp ∧ qGoodBinp qbinp)
unfolding qGoodInp-def qGoodBinp-def by auto
```

```
declare qGood.simps(2) [simp del]
declare qGood-qGoodInp [simp]
```

lemmas *qGoodAll-simps = qGood.simps(1) qGood-qGoodInp*

```
definition alphaInp where
alphaInp ==
{(qinp,qinp'). sameDom qinp qinp' ∧ liftAll2 (λqX qX'. qX #≡ qX') qinp qinp'}
```

```
definition alphaBinp where
alphaBinp ==
{(qbinp,qbinp'). sameDom qbinp qbinp' ∧ liftAll2 (λqA qA'. qA $≡ qA') qbinp qbinp'}
```

```
abbreviation alphaInp-abbrev (infix ‹%≡› 50) where
qinp %≡ qinp' == (qinp,qinp') ∈ alphaInp
```

```
abbreviation alphaBinp-abbrev (infix ‹%≡› 50) where
qbinp %%≡ qbinp' == (qbinp,qbinp') ∈ alphaBinp
```

```

lemma alpha-alphaInp:

$$(qOp \delta qinp qbinp \#= qOp \delta' qinp' qbinp') =$$


$$(\delta = \delta' \wedge qinp \%= qinp' \wedge qbinp \%= qbinp')$$

unfolding alphaInp-def alphaBinp-def by auto

declare alpha.simps(2) [simp del]
declare alpha-alphaInp[simp]

lemmas alphaAll-Simps =
alpha.simps(1) alpha-alphaInp
alphaAbs.simps

lemma alphaInp-refl:
qGoodInp qinp  $\implies$  qinp \%= qinp
using alpha-refl
unfolding alphaInp-def qGoodInp-def liftAll-def liftAll2-def sameDom-def
by fastforce

lemma alphaBinp-refl:
qGoodBinp qbinp  $\implies$  qbinp \%= qbinp
using alphaAbs-refl
unfolding alphaBinp-def qGoodBinp-def liftAll-def liftAll2-def sameDom-def
by fastforce

lemma alphaInp-sym:
fixes qinp qinp' :: ('index,('index,'bindex,'varSort,'var,'opSym)qTerm)input
shows qinp \%= qinp'  $\implies$  qinp' \%= qinp
using alpha-sym unfolding alphaInp-def sameDom-def liftAll2-def by blast

lemma alphaBinp-sym:
fixes qbinp qbinp' :: ('bindex,('index,'bindex,'varSort,'var,'opSym)qAbs)input
shows qbinp \%= qbinp'  $\implies$  qbinp' \%= qbinp
using alphaAbs-sym unfolding alphaBinp-def sameDom-def liftAll2-def by blast

lemma alphaInp-trans:
assumes good: qGoodInp qinp and
alpha1: qinp \%= qinp' and alpha2: qinp' \%= qinp"
shows qinp \%= qinp"
proof-
{fix i qX qX" assume qinp: qinp i = Some qX and qinp": qinp" i = Some qX"
then obtain qX' where qinp': qinp' i = Some qX'
using alpha1 unfolding alphaInp-def sameDom-def liftAll2-def by(cases qinp'
i, force)
hence qX \#= qX'
using alpha1 qinp unfolding alphaInp-def sameDom-def liftAll2-def by auto

```

```

moreover have  $qX' \#= qX''$  using  $\alpha_2\ qinp'\ qinp''$ 
  unfolding  $\alpha_{Inp}\text{-def}$   $\text{sameDom}\text{-def}$   $\text{liftAll2}\text{-def}$  by auto
moreover have  $qGood\ qX$  using  $\text{good}\ qinp$  unfolding  $qGood_{Inp}\text{-def}$   $\text{liftAll}\text{-def}$ 
by auto
ultimately have  $qX \#= qX''$  using  $\alpha\text{-trans}$  by blast
}
thus ?thesis using assms unfolding  $\alpha_{Inp}\text{-def}$   $\text{sameDom}\text{-def}$   $\text{liftAll2}\text{-def}$  by
auto
qed

lemma  $\alpha_{Binp}\text{-trans}$ :
assumes good:  $qGood_{Binp}\ qbinp$  and
 $\alpha_1: qbinp \% = qbinp'$  and  $\alpha_2: qbinp' \% = qbinp''$ 
shows  $qbinp \% = qbinp''$ 
proof-
{fix i  $qA\ qA''$  assume  $qbinp: qbinp\ i = Some\ qA$  and  $qbinp'': qbinp''\ i = Some\ qA''$ 
then obtain  $qA'$  where  $qbinp': qbinp'\ i = Some\ qA'$ 
using  $\alpha_1$  unfolding  $\alpha_{Binp}\text{-def}$   $\text{sameDom}\text{-def}$   $\text{liftAll2}\text{-def}$  by(cases  $qbinp'$ 
i, force)
hence  $qA \$= qA'$ 
using  $\alpha_1\ qbinp$  unfolding  $\alpha_{Binp}\text{-def}$   $\text{sameDom}\text{-def}$   $\text{liftAll2}\text{-def}$  by auto
moreover have  $qA' \$= qA''$  using  $\alpha_2\ qbinp'\ qbinp''$ 
unfolding  $\alpha_{Binp}\text{-def}$   $\text{sameDom}\text{-def}$   $\text{liftAll2}\text{-def}$  by auto
moreover have  $qGoodAbs\ qA$  using  $\text{good}\ qbinp$  unfolding  $qGood_{Binp}\text{-def}$  lif-
tAll-def by auto
ultimately have  $qA \$= qA''$  using  $\alpha_{Abs}\text{-trans}$  by blast
}
thus ?thesis using assms unfolding  $\alpha_{Binp}\text{-def}$   $\text{sameDom}\text{-def}$   $\text{liftAll2}\text{-def}$  by
auto
qed

lemma  $qSwap_{Inp}\text{-preserves-}qGood_{Inp}$ :
assumes  $qGood_{Inp}\ qinp$ 
shows  $qGood_{Inp}\ (qinp \% [[x1 \wedge x2]]\ -xs)$ 
proof-
{let ?qinp' = lift ( $qSwap\ xs\ x1\ x2$ )  $qinp$ 
fix xs a let ?Left = {i. ?qinp' i ≠ None}
have ?Left = {i. qinp i ≠ None} by(auto simp add: lift-None)
hence |?Left| < o |UNIV :: 'var set| using assms unfolding  $qGood_{Inp}\text{-def}$  by
auto
}
thus ?thesis using assms
unfolding  $qGood_{Inp}\text{-def}$   $qSwap_{Inp}\text{-def}$   $\text{liftAll}\text{-lift}\text{-comp}$   $qGood_{Inp}\text{-def}$ 
unfolding comp-def liftAll-def
by (auto simp add:  $qSwap\text{-preserves-}qGood$  simp del: not-None-eq)
qed

lemma  $qSwap_{Binp}\text{-preserves-}qGood_{Binp}$ :
```

```

assumes qGoodBinp qbinp
shows qGoodBinp (qbinp %%[[x1 ∧ x2]]-xs)
proof-
  {let ?qbinp' = lift (qSwapAbs xs x1 x2) qbinp
  fix xsa let ?Left = {i. ?qbinp' i ≠ None}
  have ?Left = {i. qbinp i ≠ None} by (auto simp add: lift-None)
  hence |?Left| < o |UNIV :: 'var set| using assms unfolding qGoodBinp-def by
  auto
  }
  thus ?thesis using assms
  unfolding qGoodBinp-def qSwapBinp-def liftAll-lift-comp
  unfolding qGoodBinp-def unfolding comp-def liftAll-def
  by (auto simp add: qSwapAbs-preserves-qGoodAbs simp del: not-None-eq)
qed

lemma qSwapInp-preserves-alphaInp:
assumes qGoodInp qinp ∨ qGoodInp qinp' and qinp %≡ qinp'
shows (qinp %%[[x1 ∧ x2]]-xs) %≡ (qinp' %%[[x1 ∧ x2]]-xs)
using assms unfolding alphaInp-def qSwapInp-def sameDom-def liftAll2-def
by (simp add: lift-None)
(smt (verit) liftAll-def lift-def option.case-eq-if option.exhaust-sel
option.sel qGoodInp-def qSwap-preserves-alpha)

lemma qSwapBinp-preserves-alphaBinp:
assumes qGoodBinp qbinp ∨ qGoodBinp qbinp' and qbinp %%≡ qbinp'
shows (qbinp %%[[x1 ∧ x2]]-xs) %%≡ (qbinp' %%[[x1 ∧ x2]]-xs)
using assms unfolding alphaBinp-def qSwapBinp-def sameDom-def liftAll2-def
by (simp add: lift-None)
(smt (verit) liftAll-def lift-def option.case-eq-if option.exhaust-sel option.sel
qGoodBinp-def qSwapAbs-preserves-alphaAbs)

lemma qPsubstInp-preserves-qGoodInp:
assumes qGoodInp qinp and qGoodEnv qrho
shows qGoodInp (qinp %%[[qrho]])
using assms unfolding qGoodInp-def qPsubstInp-def liftAll-def
by simp (smt (verit) Collect-cong lift-def option.case-eq-if
option.exhaust-sel option.sel qPsubst-preserves-qGood)

lemma qPsubstBinp-preserves-qGoodBinp:
assumes qGoodBinp qbinp and qGoodEnv qrho
shows qGoodBinp (qbinp %%[[qrho]])
using assms unfolding qGoodBinp-def qPsubstBinp-def liftAll-def
by simp (smt (verit) Collect-cong lift-def option.case-eq-if
option.exhaust-sel option.sel qPsubstAbs-preserves-qGoodAbs)

lemma qPsubstInp-preserves-alphaInp:
assumes qGoodInp qinp ∨ qGoodInp qinp' and qGoodEnv qrho and qinp %≡ qinp'
shows (qinp %%[[qrho]]) %≡ (qinp' %%[[qrho]])
using assms unfolding alphaInp-def qPsubstInp-def sameDom-def liftAll2-def

```

```

by (simp add: lift-None)
(smt (verit) liftAll-def lift-def option.case-eq-if option.exhaust-sel
      option.sel qGoodInp-def qPsubst-preserves-alpha1)

lemma qPsubstBinp-preserves-alphaBinp:
assumes qGoodBinp qbinp ∨ qGoodBinp qbinp' and qGoodEnv qrho and qbinp
%=% qbinp'
shows (qbinp %%[[qrho]]) %=% (qbinp' %%[[qrho]])
using assms unfolding alphaBinp-def qPsubstBinp-def sameDom-def liftAll2-def
by (simp add: lift-None)
(smt (verit) liftAll-def lift-def option.case-eq-if option.exhaust-sel
      option.sel qGoodBinp-def qPsubstAbs-preserves-alphaAbs1)

lemma qFreshInp-preserves-alphaInp-aux:
assumes good: qGoodInp qinp ∨ qGoodInp qinp' and alpha: qinp %=% qinp'
and fresh: qFreshInp xs x qinp
shows qFreshInp xs x qinp'
using assms unfolding qFreshInp-def liftAll-def proof clarify
fix i qX' assume qinp': qinp' i = Some qX'
then obtain qX where qinp: qinp i = Some qX
using alpha unfolding alphaInp-def sameDom-def liftAll2-def by (cases qinp i,
auto)
hence qGood qX ∨ qGood qX'
using qinp' good unfolding qGoodInp-def liftAll-def by auto
moreover have qX #=% qX'
using qinp qinp' alpha unfolding alphaInp-def sameDom-def liftAll2-def by auto
moreover have qFresh xs x qX
using fresh qinp unfolding qFreshInp-def liftAll-def by simp
ultimately show qFresh xs x qX'
using qFresh-preserves-alpha by auto
qed

lemma qFreshBinp-preserves-alphaBinp-aux:
assumes good: qGoodBinp qbinp ∨ qGoodBinp qbinp' and alpha: qbinp %=% qbinp'
and fresh: qFreshBinp xs x qbinp
shows qFreshBinp xs x qbinp'
using assms unfolding qFreshBinp-def liftAll-def proof clarify
fix i qA' assume qbinp': qbinp' i = Some qA'
then obtain qA where qbinp: qbinp i = Some qA
using alpha unfolding alphaBinp-def sameDom-def liftAll2-def by (cases qbinp i,
auto)
hence qGoodAbs qA ∨ qGoodAbs qA'
using qbinp' good unfolding qGoodBinp-def liftAll-def by auto
moreover have qA $=% qA'
using qbinp qbinp' alpha unfolding alphaBinp-def sameDom-def liftAll2-def by
auto
moreover have qFreshAbs xs x qA
using fresh qbinp unfolding qFreshBinp-def liftAll-def by simp

```

```

ultimately show qFreshAbs xs x qA'
using qFreshAbs-preserves-alphaAbs by auto
qed

lemma qFreshInp-preserves-alphaInp:
assumes qGoodInp qinp ∨ qGoodInp qinp' and qinp %≡ qinp'
shows qFreshInp xs x qinp ↔ qFreshInp xs x qinp'
using alphaInp-sym assms qFreshInp-preserves-alphaInp-aux by blast

lemma qFreshBinp-preserves-alphaBinp:
assumes qGoodBinp qbinp ∨ qGoodBinp qbinp' and qbinp %%≡ qbinp'
shows qFreshBinp xs x qbinp ↔ qFreshBinp xs x qbinp'
using alphaBinp-sym assms qFreshBinp-preserves-alphaBinp-aux by blast

```

```

lemmas qItem-simps =
qSkelAll-simps qFreshAll-simps qSwapAll-simps qPsubstAll-simps qGoodAll-simps
alphaAll-Simps
qSwap-qAFresh-otherSimps qAFresh.simps qGoodItem.simps

```

```
end
```

5.2 Definitions of terms and their operators

```

type-synonym ('index,'bindex,'varSort,'var,'opSym)term =
('index,'bindex,'varSort,'var,'opSym)qTerm set

type-synonym ('index,'bindex,'varSort,'var,'opSym)abs =
('index,'bindex,'varSort,'var,'opSym)qAbs set

type-synonym ('index,'bindex,'varSort,'var,'opSym)env =
'varSort ⇒ 'var ⇒ ('index,'bindex,'varSort,'var,'opSym)term option

```

A “parameter” will be something for which freshness makes sense. Here is the most typical case of a parameter in proofs, putting together (as lists) finite collections of variables, terms, abstractions and environments:

```

datatype ('index,'bindex,'varSort,'var,'opSym)param =
Par 'var list
('index,'bindex,'varSort,'var,'opSym)term list
('index,'bindex,'varSort,'var,'opSym)abs list
('index,'bindex,'varSort,'var,'opSym)env list

fun varsOf where
varsOf (Par xL ---) = set xL

fun termsOf where
termsOf (Par - XL ---) = set XL

```

```

fun absOf where
absOf (Par - - AL -) = set AL

fun envsOf where
envsOf (Par - - - rhoL) = set rhoL

context FixVars
begin

definition alphaGood ≡ λ qX qY. qGood qX ∧ qGood qY ∧ qX #≡ qY
definition alphaAbsGood ≡ λ qA qB. qGoodAbs qA ∧ qGoodAbs qB ∧ qA $≡ qB

definition good ≡ qGood /// alphaGood
definition goodAbs ≡ qGoodAbs /// alphaAbsGood

definition goodInp where
goodInp inp ===
liftAll good inp ∧
|{i. inp i ≠ None}| <o |UNIV :: 'var set|

definition goodBinp where
goodBinp binp ===
liftAll goodAbs binp ∧
|{i. binp i ≠ None}| <o |UNIV :: 'var set| 

definition goodEnv where
goodEnv rho ===
(∀ ys. liftAll good (rho ys)) ∧
(∀ ys. |{y. rho ys y ≠ None}| <o |UNIV :: 'var set| )

definition asTerm where
asTerm qX ≡ proj alphaGood qX

definition asAbs where
asAbs qA ≡ proj alphaAbsGood qA

definition pickInp where
pickInp inp ≡ lift pick inp

definition pickBinp where
pickBinp binp ≡ lift pick binp

definition asInp where
asInp qinp ≡ lift asTerm qinp

```

```

definition asBinp where
asBinp qbinp ≡ lift asAbs qbinp

definition pickE where
pickE rho ≡ λ xs. lift pick (rho xs)

definition asEnv where
asEnv qrho ≡ λ xs. lift asTerm (qrho xs)

definition Var where
Var xs x ≡ asTerm(qVar xs x)

definition Op where
Op delta inp binp ≡ asTerm (qOp delta (pickInp inp) (pickBinp binp))

definition Abs where
Abs xs x X ≡ asAbs (qAbs xs x (pick X))

definition skel where
skel X ≡ qSkel (pick X)

definition skelAbs where
skelAbs A ≡ qSkelAbs (pick A)

definition skelInp where
skelInp inp = qSkelInp (pickInp inp)

definition skelBinp where
skelBinp binp = qSkelBinp (pickBinp binp)

lemma skelInp-def2:
assumes goodInp inp
shows skelInp inp = lift skel inp
unfolding skelInp-def
unfolding qSkelInp-def pickInp-def skel-def[abs-def]
unfolding lift-comp comp-def by simp

lemma skelBinp-def2:
assumes goodBinp binp
shows skelBinp binp = lift skelAbs binp
unfolding skelBinp-def
unfolding qSkelBinp-def pickBinp-def skelAbs-def[abs-def]
unfolding lift-comp comp-def by simp

definition swap where
swap xs x y X = asTerm (qSwap xs x y (pick X))

abbreviation swap-abbrev ((-- #[- ∧ -] '--) 200) where
(X #[z1 ∧ z2]-zs) ≡ swap zs z1 z2 X

```

```

definition swapAbs where
  swapAbs xs x y A = asAbs (qSwapAbs xs x y (pick A))

abbreviation swapAbs-abbrev ( $\langle \cdot \cdot \wedge \cdot \rangle'$ --> 200) where
  (A $[z1  $\wedge$  z2]-zs)  $\equiv$  swapAbs zs z1 z2 A

definition swapInp where
  swapInp xs x y inp  $\equiv$  lift (swap xs x y) inp

definition swapBinp where
  swapBinp xs x y binp  $\equiv$  lift (swapAbs xs x y) binp

abbreviation swapInp-abbrev ( $\langle \cdot \cdot \wedge \cdot \rangle'$ --> 200) where
  (inp %[z1  $\wedge$  z2]-zs)  $\equiv$  swapInp zs z1 z2 inp

abbreviation swapBinp-abbrev ( $\langle \cdot \cdot \cdot \wedge \cdot \rangle'$ --> 200) where
  (binp %%[z1  $\wedge$  z2]-zs)  $\equiv$  swapBinp zs z1 z2 binp

definition swapEnvDom where
  swapEnvDom xs x y rho  $\equiv$   $\lambda$ zs z. rho zs (z @zs[x  $\wedge$  y]-xs)

definition swapEnvIm where
  swapEnvIm xs x y rho  $\equiv$   $\lambda$ zs. lift (swap xs x y) (rho zs)

definition swapEnv where
  swapEnv xs x y  $\equiv$  swapEnvIm xs x y o swapEnvDom xs x y

abbreviation swapEnv-abbrev ( $\langle \cdot \cdot \wedge \cdot \rangle'$ --> 200) where
  (rho &[z1  $\wedge$  z2]-zs)  $\equiv$  swapEnv zs z1 z2 rho

lemmas swapEnv-defs = swapEnv-def comp-def swapEnvDom-def swapEnvIm-def

inductive-set swapped where
  Refl: (X,X)  $\in$  swapped
  |
  Trans:  $\llbracket (X,Y) \in \text{swapped}; (Y,Z) \in \text{swapped} \rrbracket \implies (X,Z) \in \text{swapped}$ 
  |
  Swap: (X,Y)  $\in$  swapped  $\implies$  (X, Y #[x  $\wedge$  y]-zs)  $\in$  swapped

lemmas swapped-Clauses = swapped.Refl swapped.Trans swapped.Swap

definition fresh where
  fresh xs x X  $\equiv$  qFresh xs x (pick X)

definition freshAbs where
  freshAbs xs x A  $\equiv$  qFreshAbs xs x (pick A)

definition freshInp where

```

```

definition freshInp where
freshInp xs x inp ≡ liftAll (fresh xs x) inp

definition freshBinp where
freshBinp xs x binp ≡ liftAll (freshAbs xs x) binp

definition freshEnv where
freshEnv xs x rho ===
rho xs x = None ∧ (forall ys. liftAll (fresh xs x) (rho ys))

definition psubst where
psubst rho X ≡ asTerm(qPsubst (pickE rho) (pick X))

abbreviation psubst-abbrev (<- #[ - ]>) where
(X #[rho]) ≡ psubst rho X

definition psubstAbs where
psubstAbs rho A ≡ asAbs(qPsubstAbs (pickE rho) (pick A))

abbreviation psubstAbs-abbrev (<- $[ - ]>) where
A ${rho} ≡ psubstAbs rho A

definition psubstInp where
psubstInp rho inp ≡ lift (psubst rho) inp

definition psubstBinp where
psubstBinp rho binp ≡ lift (psubstAbs rho) binp

abbreviation psubstInp-abbrev (<- %[ - ]>) where
inp %[rho] ≡ psubstInp rho inp

abbreviation psubstBinp-abbrev (<- %%[ - ]>) where
binp %%[rho] ≡ psubstBinp rho binp

definition psubstEnv where
psubstEnv rho rho' ≡
λ xs x. case rho' xs x of None ⇒ rho xs x
| Some X ⇒ Some (X #[rho])

abbreviation psubstEnv-abbrev (<- &[ - ]>) where
rho &[rho'] ≡ psubstEnv rho' rho

definition idEnv where
idEnv ≡ λxs. Map.empty

definition updEnv :: 
('index,'bindx,'varSort,'var,'opSym)env ⇒
'var ⇒ ('index,'bindx,'varSort,'var,'opSym)term ⇒ 'varSort ⇒
('index,'bindx,'varSort,'var,'opSym)env
(<- [- ← -]’--> 200) where

```

$(\rho [x \leftarrow X]-xs) \equiv \lambda ys y. (\text{if } ys = xs \wedge y = x \text{ then } \text{Some } X \text{ else } \rho ys y)$

(Unary) substitution:

definition *subst where*

subst xs X x \equiv *psubst (idEnv [x \leftarrow X]-xs)*

abbreviation *subst-abbrev* ($\langle \cdot \#[-' / -] \rangle^{200}$) **where**
 $(Y \#[X / x]-xs) \equiv \text{subst xs X x Y}$

definition *substAbs where*

substAbs xs X x \equiv *psubstAbs (idEnv [x \leftarrow X]-xs)*

abbreviation *substAbs-abbrev* ($\langle \cdot \$[-' / -] \rangle^{200}$) **where**
 $(A \$[X / x]-xs) \equiv \text{substAbs xs X x A}$

definition *substInp where*

substInp xs X x \equiv *psubstInp (idEnv [x \leftarrow X]-xs)*

definition *substBinp where*

substBinp xs X x \equiv *psubstBinp (idEnv [x \leftarrow X]-xs)*

abbreviation *substInp-abbrev* ($\langle \cdot \%[-' / -] \rangle^{200}$) **where**
 $(inp \%[X / x]-xs) \equiv \text{substInp xs X x inp}$

abbreviation *substBinp-abbrev* ($\langle \cdot \% \%[-' / -] \rangle^{200}$) **where**
 $(binp \% \%[X / x]-xs) \equiv \text{substBinp xs X x binp}$

theorem *substInp-def2:*

substInp ys Y y $=$ *lift (subst ys Y y)*

unfolding *substInp-def[abs-def]* *subst-def psubstInp-def[abs-def]* **by** *simp*

theorem *substBinp-def2:*

substBinp ys Y y $=$ *lift (substAbs ys Y y)*

unfolding *substBinp-def[abs-def]* *substAbs-def psubstBinp-def[abs-def]* **by** *simp*

definition *substEnv where*

substEnv xs X x \equiv *psubstEnv (idEnv [x \leftarrow X]-xs)*

abbreviation *substEnv-abbrev* ($\langle \cdot \&[-' / -] \rangle^{200}$) **where**
 $(Y \&[X / x]-xs) \equiv \text{substEnv xs X x Y}$

theorem *substEnv-def2:*

$(\rho \&[Y / y]-ys) =$

$(\lambda xs x. \text{case } \rho xs x \text{ of}$

$\text{None} \Rightarrow \text{if } (xs = ys \wedge x = y) \text{ then } \text{Some } Y \text{ else } \text{None}$

$| \text{Some } X \Rightarrow \text{Some } (X \#[Y / y]-ys))$

unfolding *substEnv-def psubstEnv-def subst-def idEnv-def updEnv-def*
apply(rule ext)+ by(case-tac rho xs x, simp-all)

Variable-for-variable substitution:

```

definition vsubst where
vsubst ys y1 y2 ≡ subst ys (Var ys y1) y2

abbreviation vsubst-abbrev (⟨- #[`//`]-]’--> 200) where
(X #[y1 // y2]-ys) ≡ vsubst ys y1 y2 X

definition vsubstAbs where
vsubstAbs ys y1 y2 ≡ substAbs ys (Var ys y1) y2

abbreviation vsubstAbs-abbrev (⟨- ${`//`}-]’--> 200) where
(A ${y1 // y2}-ys) ≡ vsubstAbs ys y1 y2 A

definition vsubstInp where
vsubstInp ys y1 y2 ≡ substInp ys (Var ys y1) y2

definition vsubstBinp where
vsubstBinp ys y1 y2 ≡ substBinp ys (Var ys y1) y2

abbreviation vsubstInp-abbrev (⟨- %[- `/`]-]’--> 200) where
(inp %[y1 // y2]-ys) ≡ vsubstInp ys y1 y2 inp

abbreviation vsubstBinp-abbrev (⟨- %%[- `/`]-]’--> 200) where
(binp %%[y1 // y2]-ys) ≡ vsubstBinp ys y1 y2 binp

lemma vsubstInp-def2:
(inp %[y1 // y2]-ys) = lift (vsubst ys y1 y2) inp
unfolding vsubstInp-def vsubst-def
by(auto simp add: substInp-def2)

lemma vsubstBinp-def2:
(binp %%[y1 // y2]-ys) = lift (vsubstAbs ys y1 y2) binp
unfolding vsubstBinp-def vsubstAbs-def
by(auto simp add: substBinp-def2)

definition vsubstEnv where
vsubstEnv ys y1 y2 ≡ substEnv ys (Var ys y1) y2

abbreviation vsubstEnv-abbrev (⟨- &[- `/`]-]’--> 200) where
(rho &[y1 // y2]-ys) ≡ vsubstEnv ys y1 y2 rho

theorem vsubstEnv-def2:
(rho &[y1 // y]-ys) =
(λxs x. case rho xs x of
  None ⇒ if (xs = ys ∧ x = y) then Some (Var ys y1) else None
  | Some X ⇒ Some (X #[y1 // y]-ys))
unfolding vsubstEnv-def vsubst-def by(auto simp add: substEnv-def2)

definition goodPar where
goodPar P ≡ (forall X ∈ termsOf P. good X) ∧

```

```


$$(\forall A \in absOf P. goodAbs A) \wedge$$


$$(\forall rho \in envsOf P. goodEnv rho)$$


lemma Par-preserves-good[simp]:
assumes !! X. X \in set XL \implies good X
and !! A. A \in set AL \implies goodAbs A
and !! rho. rho \in set rhoL \implies goodEnv rho
shows goodPar (Par xL XL AL rhoL)
using assms unfolding goodPar-def by auto

lemma termsOf-preserves-good[simp]:
assumes goodPar P and X : termsOf P
shows good X
using assms unfolding goodPar-def by auto

lemma absOf-preserves-good[simp]:
assumes goodPar P and A : absOf P
shows goodAbs A
using assms unfolding goodPar-def by auto

lemma envsOf-preserves-good[simp]:
assumes goodPar P and rho : envsOf P
shows goodEnv rho
using assms unfolding goodPar-def by blast

lemmas param-simps =
termsOf.simps absOf.simps envsOf.simps
Par-preserves-good
termsOf-preserves-good absOf-preserves-good envsOf-preserves-good

```

5.3 Items versus quasi-items modulo alpha

Here we “close the accounts” (for a while) with quasi-items – beyond this subsection, there will not be any theorem that mentions quasi-items, except much later when we deal with iteration principles (and need to briefly switch back to quasi-terms in order to define the needed iterative map by the universality of the alpha-quotient).

5.3.1 For terms

```

lemma alphaGood-equivP: equivP qGood alphaGood
unfolding equivP-def reflP-def symP-def transP-def alphaGood-def
using alpha-refl alpha-sym alpha-trans by blast

lemma univ-asTerm-alphaGood[simp]:
assumes *: congruentP alphaGood f and **: qGood X
shows univ f (asTerm X) = f X
by (metis assms alphaGood-equivP asTerm-def univ-commute)

```

```

corollary univ-asTerm-alpha[simp]:
assumes *: congruentP alpha f and **: qGood X
shows univ f (asTerm X) = f X
apply(rule univ-asTerm-alphaGood)
using assms unfolding alphaGood-def congruentP-def by auto

lemma pick-inj-on-good: inj-on pick (Collect good)
unfolding good-def using alphaGood-equivP equivP-pick-inj-on by auto

lemma pick-injective-good[simp]:
 $\llbracket \text{good } X; \text{good } Y \rrbracket \implies (\text{pick } X = \text{pick } Y) = (X = Y)$ 
using pick-inj-on-good unfolding inj-on-def by auto

lemma good-imp-qGood-pick:
good X  $\implies$  qGood (pick X)
unfolding good-def
by (metis alphaGood-equivP equivP-pick-preserves)

lemma qGood-iff-good-asTerm:
good (asTerm qX) = qGood qX
unfolding good-def asTerm-def
using alphaGood-equivP proj-in-iff by fastforce

lemma pick-asTerm:
assumes qGood qX
shows pick (asTerm qX) #= qX
by (metis (full-types) alphaGood-def alphaGood-equivP asTerm-def assms pick-proj)

lemma asTerm-pick:
assumes good X
shows asTerm (pick X) = X
by (metis alphaGood-equivP asTerm-def assms good-def proj-pick)

lemma pick-alpha: good X  $\implies$  pick X #= pick X
using good-imp-qGood-pick alpha-refl by auto

lemma alpha-imp-asTerm-equal:
assumes qGood qX and qX #= qY
shows asTerm qX = asTerm qY
proof-
have alphaGood qX qY unfolding alphaGood-def using assms
by (metis alpha-preserves-qGood)
thus ?thesis unfolding asTerm-def using alphaGood-equivP proj-iff
by (metis alpha-preserves-qGood1 assms)
qed

lemma asTerm-equal-imp-alpha:
assumes qGood qX and asTerm qX = asTerm qY

```

```

shows  $qX \# qY$ 
by (metis alphaAll-sym alphaAll-trans assms pick-asTerm qGood-iff-good-asTerm)

lemma asTerm-equal-iff-alpha:
assumes qGood  $qX \vee qGood qY$ 
shows (asTerm  $qX = asTerm qY$ ) = ( $qX \# qY$ )
by (metis alpha-imp-asTerm-equal alpha-sym asTerm-equal-imp-alpha assms)

lemma pick-alpha-iff-equal:
assumes good X and good Y
shows pick  $X \# pick Y \longleftrightarrow X = Y$ 
by (metis asTerm-equal-iff-alpha asTerm-pick assms good-imp-qGood-pick)

lemma pick-swap-qSwap:
assumes good X
shows pick ( $X \#[x_1 \wedge x_2] - xs$ )  $\# ((pick X) \#[[x_1 \wedge x_2]] - xs)$ 
by (metis assms good-imp-qGood-pick pick-asTerm qSwap-preserves-qGood1 swap-def)

lemma asTerm-qSwap-swap:
assumes qGood qX
shows asTerm ( $qX \#[[x_1 \wedge x_2]] - xs$ )  $= ((asTerm qX) \#[[x_1 \wedge x_2]] - xs)$ 
by (simp add: alpha-imp-asTerm-equal alpha-sym assms local.swap-def
      pick-asTerm qSwap-preserves-alpha qSwap-preserves-qGood1)

lemma fresh-asTerm-qFresh:
assumes qGood qX
shows fresh xs x (asTerm qX)  $= qFresh xs x qX$ 
by (simp add: assms fresh-def pick-asTerm qFresh-preserves-alpha)

lemma skel-asTerm-qSkel:
assumes qGood qX
shows skel (asTerm qX)  $= qSkel qX$ 
by (simp add: alpha-qSkel assms pick-asTerm skel-def)

lemma double-swap-qSwap:
assumes good X
shows qGood (((pick X)  $\#[[x \wedge y]] - zs$ )  $\#[[x' \wedge y']] - zs'$ )  $\wedge$ 
       $((X \#[x \wedge y] - zs) \#[[x' \wedge y']] - zs') = asTerm (((pick X) \#[[x \wedge y]] - zs) \#[[x' \wedge y']] - zs')$ 
by (simp add: asTerm-qSwap-swap assms
      good-imp-qGood-pick local.swap-def qSwap-preserves-qGood1)

lemma fresh-swap-qFresh-qSwap:
assumes good X
shows fresh xs x ( $X \#[y_1 \wedge y_2] - ys$ )  $= qFresh xs x ((pick X) \#[[y_1 \wedge y_2]] - ys)$ 
by (simp add: assms
      fresh-asTerm-qFresh good-imp-qGood-pick local.swap-def qSwap-preserves-qGood)

```

5.3.2 For abstractions

```

lemma alphaAbsGood-equivP: equivP qGoodAbs alphaAbsGood
  unfolding equivP-def reflP-def symP-def transP-def alphaAbsGood-def
  using alphaAbs-refl alphaAbs-sym alphaAbs-trans by blast

lemma univ-asAbs-alphaAbsGood[simp]:
  assumes fAbs respectsP alphaAbsGood and qGoodAbs A
  shows univ fAbs (asAbs A) = fAbs A
  by (metis assms alphaAbsGood-equivP asAbs-def univ-commute)

corollary univ-asAbs-alphaAbsGood[simp]:
  assumes *: fAbs respectsP alphaAbs and **: qGoodAbs A
  shows univ fAbs (asAbs A) = fAbs A
  apply(rule univ-asAbs-alphaAbsGood)
  using assms unfolding alphaAbsGood-def congruentP-def by auto

lemma pick-inj-on-goodAbs: inj-on pick (Collect goodAbs)
  unfolding goodAbs-def using alphaAbsGood-equivP equivP-pick-inj-on by auto

lemma pick-injective-goodAbs[simp]:
   $\llbracket \text{goodAbs } A; \text{goodAbs } B \rrbracket \implies \text{pick } A = \text{pick } B \longleftrightarrow A = B$ 
  using pick-inj-on-goodAbs unfolding inj-on-def by auto

lemma goodAbs-imp-qGoodAbs-pick:
  goodAbs A  $\implies$  qGoodAbs (pick A)
  unfolding goodAbs-def
  using alphaAbsGood-equivP equivP-pick-preserves by fastforce

lemma qGoodAbs-iff-goodAbs-asAbs:
  goodAbs(asAbs qA) = qGoodAbs qA
  unfolding goodAbs-def asAbs-def
  using alphaAbsGood-equivP proj-in-iff by fastforce

lemma pick-asAbs:
  assumes qGoodAbs qA
  shows pick (asAbs qA) $= qA
  by (metis (full-types) alphaAbsGood-def alphaAbsGood-equivP asAbs-def assms pick-proj)

lemma asAbs-pick:
  assumes goodAbs A
  shows asAbs (pick A) = A
  by (metis alphaAbsGood-equivP asAbs-def assms goodAbs-def proj-pick)

lemma pick-alphaAbs: goodAbs A  $\implies$  pick A $= pick A
  using goodAbs-imp-qGoodAbs-pick alphaAbs-refl by auto

lemma alphaAbs-imp-asAbs-equal:
  assumes qGoodAbs qA and qA $= qB
  shows asAbs qA = asAbs qB

```

```

by (metis (no-types, opaque-lifting) proj-iff alphaAbsGood-def alphaAbsGood-equivP
alphaAbs-preserves-qGoodAbs asAbs-def assms)

lemma asAbs-equal-imp-alphaAbs:
assumes qGoodAbs qA and asAbs qA = asAbs qB
shows qA $= qB
by (metis alphaAbs-refl
alphaAbs-sym alphaAbs-trans-twice assms pick-asAbs qGoodAbs-iff-goodAbs-asAbs)

lemma asAbs-equal-iff-alphaAbs:
assumes qGoodAbs qA ∨ qGoodAbs qB
shows (asAbs qA = asAbs qB) = (qA $= qB)
by (metis alphaAbs-imp-asAbs-equal alphaAbs-preserves-qGoodAbs
asAbs-equal-imp-alphaAbs assms)

lemma pick-alphaAbs-iff-equal:
assumes goodAbs A and goodAbs B
shows (pick A $= pick B) = (A = B)
using asAbs-equal-imp-alphaAbs asAbs-pick assms goodAbs-imp-qGoodAbs-pick by
blast

lemma pick-swapAbs-qSwapAbs:
assumes goodAbs A
shows pick (A $[x1 ∧ x2]-xs) $= ((pick A) $[[x1 ∧ x2]]-xs)
by (simp add: assms goodAbs-imp-qGoodAbs-pick
pick-asAbs qSwapAbs-preserves-qGoodAbs swapAbs-def)

lemma asAbs-qSwapAbs-swapAbs:
assumes qGoodAbs qA
shows asAbs (qA $[[x1 ∧ x2]]-xs) = ((asAbs qA) $[x1 ∧ x2]-xs)
by (simp add: alphaAbs-imp-asAbs-equal alphaAbs-sym assms pick-asAbs
qSwapAbs-preserves-alphaAbs
qSwapAbs-preserves-qGoodAbs1 swapAbs-def)

lemma freshAbs-asAbs-qFreshAbs:
assumes qGoodAbs qA
shows freshAbs xs x (asAbs qA) = qFreshAbs xs x qA
by (simp add: assms freshAbs-def pick-asAbs qFreshAbs-preserves-alphaAbs)

lemma skelAbs-asAbs-qSkelAbs:
assumes qGoodAbs qA
shows skelAbs (asAbs qA) = qSkelAbs qA
by (simp add: alphaAll-qSkelAll assms pick-asAbs skelAbs-def)

```

5.3.3 For inputs

For unbound inputs:

```
lemma pickInp-inj-on-goodInp: inj-on pickInp (Collect goodInp)
```

```

unfolding pickInp-def[abs-def] inj-on-def
proof(safe, rule ext)
  fix inp inp' i
  assume good: goodInp inp goodInp inp' and *: lift pick inp = lift pick inp'
  show inp i = inp' i
  proof(cases inp i)
    assume inp: inp i = None
    hence lift pick inp i = None by (auto simp add: lift-None)
    hence lift pick inp' i = None using * by simp
    hence inp' i = None by (auto simp add: lift-None)
    thus ?thesis using inp by simp
  next
    fix X assume inp: inp i = Some X
    hence lift pick inp i = Some (pick X) unfolding lift-def by simp
    hence lift pick inp' i = Some (pick X) using * by simp
    then obtain X' where inp': inp' i = Some X' and XX': pick X = pick X'
      unfolding lift-def by(cases inp' i, auto)
      hence good X ∧ good X'
      using inp good goodInp-def liftAll-def by (metis (opaque-lifting, full-types))
      hence X = X' using XX' by auto
      thus ?thesis unfolding inp inp' by simp
  qed
qed

lemma goodInp-imp-qGoodInp-pickInp:
assumes goodInp inp
shows qGoodInp (pickInp inp)
unfolding pickInp-def qGoodInp-def liftAll-def
proof safe
  fix i qX assume lift pick inp i = Some qX
  then obtain X where inp: inp i = Some X and qX: qX = pick X
    unfolding lift-def by(cases inp i, auto)
    hence good X using assms
    unfolding goodInp-def liftAll-def by simp
    thus qGood qX unfolding qX using good-imp-qGood-pick by auto
  next
    fix xs let ?Left = {i. lift pick inp i ≠ None}
    have ?Left = {i. inp i ≠ None} by(force simp add: lift-None)
    thus |?Left| < o |UNIV :: 'var set| using assms unfolding goodInp-def by auto
qed

lemma qGoodInp-iff-goodInp-asInp:
goodInp (asInp qinp) = qGoodInp qinp
proof(unfold asInp-def)
  let ?inp = lift asTerm qinp
  {assume qgood-qinp: qGoodInp qinp
  have goodInp ?inp
  unfolding goodInp-def liftAll-def proof safe
    fix i X assume inp: ?inp i = Some X

```

```

then obtain qX where qinp: qinp i = Some qX and X: X = asTerm qX
  unfolding lift-def by(cases qinp i, auto)
  hence qGood qX
  using qgood-qinp unfolding qGoodInp-def liftAll-def by auto
  thus good X using X qGood-iff-good-asTerm by auto
next
  fix xs let ?Left = {i. lift asTerm qinp i ≠ None}
  have ?Left = {i. qinp i ≠ None} by(auto simp add: lift-None)
  thus |?Left| < o |UNIV :: 'var set| using qgood-qinp unfolding qGoodInp-def
by auto
qed
}
moreover
{assume good-inp: goodInp ?inp
have qGoodInp qinp
unfolding qGoodInp-def liftAll-def proof safe
  fix i qX assume qinp: qinp i = Some qX let ?X = asTerm qX
  have inp: ?inp i = Some ?X unfolding lift-def using qinp by simp
  hence good ?X
  using good-inp unfolding goodInp-def liftAll-def by auto
  thus qGood qX using qGood-iff-good-asTerm by auto
next
  fix xs let ?Left = {i. qinp i ≠ None}
  have ?Left = {i. lift asTerm qinp i ≠ None} by(auto simp add: lift-None)
  thus |?Left| < o |UNIV :: 'var set| using good-inp unfolding goodInp-def by
auto
qed
}
ultimately show goodInp ?inp = qGoodInp qinp by blast
qed

lemma pickInp-asInp:
assumes qGoodInp qinp
shows pickInp (asInp qinp) %≡ qinp
using assms unfolding pickInp-def asInp-def lift-comp
by (smt (verit) CollectI alphaInp-def asTerm-equal-iff-alpha asTerm-pick case-prodI
comp-apply liftAll2-def liftAll-def lift-def option.case(2) option.sel qGoodInp-def
qGood-iff-good-asTerm
sameDom-lift2)

lemma asInp-pickInp:
assumes goodInp inp
shows asInp (pickInp inp) = inp
unfolding asInp-def pickInp-def lift-comp
proof(rule ext)
  fix i show lift (asTerm o pick) inp i = inp i
  unfolding lift-def proof(cases inp i, simp+)
  fix X assume inp i = Some X
  hence good X using assms unfolding goodInp-def liftAll-def by simp

```

```

thus asTerm (pick X) = X using asTerm-pick by auto
qed
qed

lemma pickInp-alphaInp:
assumes goodInp: goodInp inp
shows pickInp inp %≡ pickInp inp
using assms goodInp-imp-qGoodInp-pickInp alphaInp-refl by auto

lemma alphaInp-imp-asInp-equal:
assumes qGoodInp qinp and qinp %≡ qinp'
shows asInp qinp = asInp qinp'
unfolding asInp-def proof(rule ext)
fix i show lift asTerm qinp i = lift asTerm qinp' i
proof(cases qinp i)
assume Case1: qinp i = None
hence qinp' i = None
using assms unfolding alphaInp-def sameDom-def liftAll2-def by auto
thus ?thesis using Case1 unfolding lift-def by simp
next
fix qX assume Case2: qinp i = Some qX
then obtain qX' where qinp': qinp' i = Some qX'
using assms unfolding alphaInp-def sameDom-def liftAll2-def by (cases qinp' i, force)
hence qX #≡ qX'
using assms Case2 unfolding alphaInp-def sameDom-def liftAll2-def by auto
moreover have qGood qX using assms Case2 unfolding qGoodInp-def liftAll-def by auto
ultimately show ?thesis
using Case2 qinp' alpha-imp-asTerm-equal unfolding lift-def by auto
qed
qed

lemma asInp-equal-imp-alphaInp:
assumes qGoodInp qinp and asInp qinp = asInp qinp'
shows qinp %≡ qinp'
using assms unfolding alphaInp-def liftAll2-def sameDom-def
by simp (smt (verit) asInp-def asTerm-equal-iff-alpha liftAll-def lift-def option.case(2)
option.sel qGoodInp-def sameDom-def sameDom-lift2)

lemma asInp-equal-iff-alphaInp:
qGoodInp qinp ==> (asInp qinp = asInp qinp') = (qinp %≡ qinp')
using asInp-equal-imp-alphaInp alphaInp-imp-asInp-equal by blast

lemma pickInp-alphaInp-iff-equal:
assumes goodInp inp and goodInp inp'
shows (pickInp inp %≡ pickInp inp') = (inp = inp')
by (metis alphaInp-imp-asInp-equal asInp-equal-imp-alphaInp
```

```

asInp-pickInp assms goodInp-imp-qGoodInp-pickInp)

lemma pickInp-swapInp-qSwapInp:
assumes goodInp inp
shows pickInp (inp %[x1 ∧ x2]-xs) %≡ ((pickInp inp) %[[x1 ∧ x2]]-xs)
using assms unfolding alphaInp-def sameDom-def liftAll2-def
pickInp-def swapInp-def qSwapInp-def lift-comp
by (simp add: lift-None)
(smt (verit) assms comp-apply goodInp-imp-qGoodInp-pickInp liftAll-def lift-def lo-
cal.swap-def option.case-eq-if option.sel option.simps(3) pickInp-def
pick-asTerm qGoodInp-def qSwap-preserves-qGood1)

lemma asInp-qSwapInp-swapInp:
assumes qGoodInp qinp
shows asInp (qinp %[[x1 ∧ x2]]-xs) = ((asInp qinp) %[x1 ∧ x2]-xs)
proof-
{fix i qX assume qinp i = Some qX
hence qGood qX using assms unfolding qGoodInp-def liftAll-def by auto
hence asTerm (qX #[[x1 ∧ x2]]-xs) = swap xs x1 x2 (asTerm qX)
by(auto simp add: asTerm-qSwap-swap)
}
thus ?thesis
using assms
by (smt (verit) asInp-def comp-apply lift-comp lift-cong qSwapInp-def swap-
Inp-def)
qed

lemma swapInp-def2:
(inp %[x1 ∧ x2]-xs) = asInp ((pickInp inp) %[[x1 ∧ x2]]-xs)
unfolding swapInp-def asInp-def pickInp-def qSwapInp-def lift-def swap-def
apply(rule ext) subgoal for i by (cases inp i) auto .

lemma freshInp-def2:
freshInp xs x inp = qFreshInp xs x (pickInp inp)
unfolding freshInp-def qFreshInp-def pickInp-def lift-def fresh-def liftAll-def
apply(rule iff-allI) subgoal for i by (cases inp i) auto .

```

For bound inputs:

```

lemma pickBinp-inj-on-goodBinp: inj-on pickBinp (Collect goodBinp)
unfolding pickBinp-def[abs-def] inj-on-def
proof(safe, rule ext)
fix binp binp' i
assume good: goodBinp binp goodBinp binp' and *: lift pick binp = lift pick binp'
show binp i = binp' i
proof(cases binp i)
assume binp: binp i = None
hence lift pick binp i = None by (auto simp add: lift-None)
hence lift pick binp' i = None using * by simp
hence binp' i = None by (auto simp add: lift-None)

```

```

thus ?thesis using binp by simp
next
fix A assume binp: binp i = Some A
hence lift pick binp i = Some (pick A) unfolding lift-def by simp
hence lift pick binp' i = Some (pick A) using * by simp
then obtain A' where binp': binp' i = Some A' and AA': pick A = pick A'
unfolding lift-def by(cases binp' i, auto)
hence goodAbs A ∧ goodAbs A'
using binp good goodBinp-def liftAll-def by (metis (opaque-lifting, full-types))
hence A = A' using AA' by auto
thus ?thesis unfolding binp binp' by simp
qed
qed

lemma goodBinp-imp-qGoodBinp-pickBinp:
assumes goodBinp binp
shows qGoodBinp (pickBinp binp)
unfolding pickBinp-def qGoodBinp-def liftAll-def proof safe
fix i qA assume lift pick binp i = Some qA
then obtain A where binp: binp i = Some A and qA: qA = pick A
unfolding lift-def by(cases binp i, auto)
hence goodAbs A using assms
unfolding goodBinp-def liftAll-def by simp
thus qGoodAbs qA unfolding qA using goodAbs-imp-qGoodAbs-pick by auto
next
fix xs let ?Left = {i. lift pick binp i ≠ None}
have ?Left = {i. binp i ≠ None} by(force simp add: lift-None)
thus |?Left| < o |UNIV :: 'var set| using assms unfolding goodBinp-def by auto
qed

lemma qGoodBinp-iff-goodBinp-asBinp:
goodBinp (asBinp qbinp) = qGoodBinp qbinp
proof(unfold asBinp-def)
let ?binp = lift asAbs qbinp
assume qgood-qbinp: qGoodBinp qbinp
have goodBinp ?binp
unfolding goodBinp-def liftAll-def proof safe
fix i A assume binp: ?binp i = Some A
then obtain qA where qbinp: qbinp i = Some qA and A: A = asAbs qA
unfolding lift-def by(cases qbinp i, auto)
hence qGoodAbs qA
using qgood-qbinp unfolding qGoodBinp-def liftAll-def by auto
thus goodAbs A using A qGoodAbs-iff-goodAbs-asAbs by auto
next
fix xs let ?Left = {i. lift asAbs qbinp i ≠ None}
have ?Left = {i. qbinp i ≠ None} by(auto simp add: lift-None)
thus |?Left| < o |UNIV :: 'var set| using qgood-qbinp unfolding qGoodBinp-def
by auto
qed

```

```

}

moreover
{assume good-binp: goodBinp ?binp
have qGoodBinp binp
unfolding qGoodBinp-def liftAll-def proof safe
fix i qA assume binp: binp i = Some qA let ?A = asAbs qA
have binp: ?binp i = Some ?A unfolding lift-def using binp by simp
hence goodAbs ?A
using good-binp unfolding goodBinp-def liftAll-def by auto
thus qGoodAbs qA using qGoodAbs-iff-goodAbs-asAbs by auto
next
fix xs let ?Left = {i. binp i ≠ None}
have ?Left = {i. lift asAbs binp i ≠ None} by(auto simp add: lift-None)
thus |?Left| < o |UNIV :: 'var set| using good-binp unfolding goodBinp-def
by auto
qed
}
ultimately show goodBinp ?binp = qGoodBinp binp by blast
qed

lemma pickBinp-asBinp:
assumes qGoodBinp binp
shows pickBinp (asBinp binp) %%= binp
unfolding pickBinp-def asBinp-def lift-comp alphaBinp-def using sameDom-lift2
by auto (smt (verit) assms comp-apply liftAll2-def liftAll-def
lift-def option.sel option.simps(5) pick-asAbs qGoodBinp-def)

lemma asBinp-pickBinp:
assumes goodBinp binp
shows asBinp (pickBinp binp) = binp
unfolding asBinp-def pickBinp-def lift-comp
apply(rule ext)
subgoal for i apply(cases binp i)
using assms asAbs-pick unfolding goodBinp-def liftAll-def lift-def by auto .

lemma pickBinp-alphaBinp:
assumes goodBinp: goodBinp binp
shows pickBinp binp %%= pickBinp binp
using assms goodBinp-imp-qGoodBinp-pickBinp alphaBinp-refl by auto

lemma alphaBinp-imp-asBinp-equal:
assumes qGoodBinp binp and binp %%= binp'
shows asBinp binp = asBinp binp'
unfolding asBinp-def proof(rule ext)
fix i show lift asAbs binp i = lift asAbs binp' i
proof(cases binp i)
case None
hence binp' i = None

```

```

using assms unfolding alphaBinp-def sameDom-def liftAll2-def by auto
thus ?thesis using None unfolding lift-def by simp
next
  case (Some qA)
    then obtain qA' where qbinp': qbinp' i = Some qA'
      using assms unfolding alphaBinp-def sameDom-def liftAll2-def by (cases
qbinp' i, force)
      hence qA $= qA'
      using assms Some unfolding alphaBinp-def sameDom-def liftAll2-def by auto
      moreover have qGoodAbs qA using assms Some unfolding qGoodBinp-def
liftAll-def by auto
      ultimately show ?thesis
      using Some qbinp' alphaAbs-imp-asAbs-equal unfolding lift-def by auto
qed
qed

lemma asBinp-equal-imp-alphaBinp:
assumes qGoodBinp qbinp and asBinp qbinp = asBinp qbinp'
shows qbinp %%= qbinp'
using assms unfolding alphaBinp-def liftAll2-def sameDom-def
by simp (smt (verit) asAbs-equal-imp-alphaAbs asBinp-def liftAll-def
lift-None lift-def option.inject option.simps(5) qGoodBinp-def)

lemma asBinp-equal-iff-alphaBinp:
qGoodBinp qbinp ==> (asBinp qbinp = asBinp qbinp') = (qbinp %%= qbinp')
using asBinp-equal-imp-alphaBinp alphaBinp-imp-asBinp-equal by blast

lemma pickBinp-alphaBinp-iff-equal:
assumes goodBinp binp and goodBinp binp'
shows (pickBinp binp %%= pickBinp binp') = (binp = binp')
using assms goodBinp-imp-qGoodBinp-pickBinp asBinp-pickBinp pickBinp-alphaBinp
by (metis asBinp-equal-iff-alphaBinp)

lemma pickBinp-swapBinp-qSwapBinp:
assumes goodBinp binp
shows pickBinp (binp %%[x1 ∧ x2]-xs) %%= ((pickBinp binp) %%[[x1 ∧ x2]]-xs)
using assms unfolding pickBinp-def swapBinp-def qSwapBinp-def lift-comp
alphaBinp-def sameDom-def liftAll2-def
by (simp add: goodBinp-def liftAll-def lift-def option.case-eq-if pick-swapAbs-qSwapAbs)

lemma asBinp-qSwapBinp-swapBinp:
assumes qGoodBinp qbinp
shows asBinp (qbinp %%[[x1 ∧ x2]]-xs) = ((asBinp qbinp) %%[x1 ∧ x2]-xs)
unfolding asBinp-def swapBinp-def qSwapBinp-def lift-comp alphaBinp-def lift-def
apply(rule ext) subgoal for i apply(cases qbinp i)
using assms asAbs-qSwapAbs-swapAbs by (fastforce simp add: liftAll-def qGood-
Binp-def)+ .

```

```

lemma swapBinp-def2:
  (binp %%[x1 ∧ x2]-xs) = asBinp ((pickBinp binp) %%[[x1 ∧ x2]]-xs)
unfolding swapBinp-def asBinp-def pickBinp-def qSwapBinp-def lift-def swapAbs-def
apply (rule ext) subgoal for i by (cases binp i) simp-all .

```

```

lemma freshBinp-def2:
  freshBinp xs x binp = qFreshBinp xs x (pickBinp binp)
unfolding freshBinp-def qFreshBinp-def pickBinp-def lift-def freshAbs-def liftAll-def
apply (rule iff-allI) subgoal for i by (cases binp i) simp-all .

```

5.3.4 For environments

```

lemma goodEnv-imp-qGoodEnv-pickE:
assumes goodEnv rho
shows qGoodEnv (pickE rho)
unfolding qGoodEnv-def pickE-def
apply(auto simp del: not-None-eq)
using assms good-imp-qGood-pick unfolding liftAll-lift-comp comp-def
by (auto simp: goodEnv-def liftAll-def lift-None)

```

```

lemma qGoodEnv-iff-goodEnv-asEnv:
  goodEnv (asEnv qrho) = qGoodEnv qrho
unfolding asEnv-def unfolding goodEnv-def liftAll-lift-comp comp-def
by (auto simp: qGoodEnv-def lift-None liftAll-def qGood-iff-good-asTerm)

```

```

lemma pickE-asEnv:
assumes qGoodEnv qrho
shows pickE (asEnv qrho) &= qrho
using assms
by (auto simp: lift-None liftAll-def lift-def alphaEnv-def sameDom-def liftAll2-def
  pick-asTerm qGoodEnv-def pickE-def asEnv-def split: option.splits)

```

```

lemma asEnv-pickE:
assumes goodEnv rho shows asEnv (pickE rho) xs x = rho xs x
using assms asTerm-pick
by (cases rho xs x) (auto simp: goodEnv-def liftAll-def asEnv-def pickE-def lift-comp
  lift-def)

```

```

lemma pickE-alphaEnv:
assumes goodEnv: goodEnv rho shows pickE rho &= pickE rho
using assms goodEnv-imp-qGoodEnv-pickE alphaEnv-refl by auto

```

```

lemma alphaEnv-imp-asEnv-equal:
assumes qGoodEnv qrho and qrho &= qrho'
shows asEnv qrho = asEnv qrho'
apply (rule ext)+ subgoal for xs x apply(cases qrho xs x)
using assms asTerm-equal-iff-alpha alpha-imp-asTerm-equal
by (auto simp add: alphaEnv-def sameDom-def asEnv-def lift-def
  qGoodEnv-def liftAll-def liftAll2-def option.case-eq-if split: option.splits)

```

blast+ .

```
lemma asEnv-equal-imp-alphaEnv:  
assumes qGoodEnv qrho and asEnv qrho = asEnv qrho'  
shows qrho &= qrho'  
using assms unfolding alphaEnv-def sameDom-def liftAll2-def  
apply (simp add: asEnv-def lift-None lift-def qGoodEnv-def liftAll-def)  
by (smt (verit) asTerm-equal-imp-alpha option.sel option.simps(5) option.case-eq-if  
option.distinct(1))  
  
lemma asEnv-equal-iff-alphaEnv:  
qGoodEnv qrho ==> (asEnv qrho = asEnv qrho') = (qrho &= qrho')  
using asEnv-equal-imp-alphaEnv alphaEnv-imp-asEnv-equal by blast  
  
lemma pickE-alphaEnv-iff-equal:  
assumes goodEnv rho and goodEnv rho'  
shows (pickE rho &= pickE rho') = (rho = rho')  
proof(rule iffI, safe, (rule ext)+)  
fix xs x  
assume alpha: pickE rho &= pickE rho'  
have qgood-rho: qGoodEnv (pickE rho) using assms goodEnv-imp-qGoodEnv-pickE  
by auto  
have rho xs x = asEnv (pickE rho) xs x using assms asEnv-pickE by fastforce  
also have ... = asEnv (pickE rho') xs x  
using qgood-rho alpha alphaEnv-imp-asEnv-equal by fastforce  
also have ... = rho' xs x using assms asEnv-pickE by fastforce  
finally show rho xs x = rho' xs x .  
next  
have qGoodEnv(pickE rho') using assms goodEnv-imp-qGoodEnv-pickE by auto  
thus pickE rho' &= pickE rho' using alphaEnv-refl by auto  
qed  
  
lemma freshEnv-def2:  
freshEnv xs x rho = qFreshEnv xs x (pickE rho)  
unfolding freshEnv-def qFreshEnv-def pickE-def lift-def fresh-def liftAll-def  
apply(cases rho xs x)  
by (auto intro!: iff-allI) (metis map-option-case map-option-eq-Some)  
  
lemma pick-psubst-qPsubst:  
assumes good X and goodEnv rho  
shows pick (X #[rho]) #= ((pick X) #[[pickE rho]])  
by (simp add: assms goodEnv-imp-qGoodEnv-pickE good-imp-qGood-pick  
pick-asTerm psubst-def qPsubst-preserves-qGood)  
  
lemma pick-psubstAbs-qPsubstAbs:  
assumes goodAbs A and goodEnv rho  
shows pick (A $[rho]) $= ((pick A) $[[pickE rho]])  
by (simp add: assms goodAbs-imp-qGoodAbs-pick goodEnv-imp-qGoodEnv-pickE  
pick-asAbs
```

```

 $psubstAbs\text{-def } qPsubstAbs\text{-preserves-}qGoodAbs)$ 

lemma pickInp-psubstInp-qPsubstInp:
assumes good: goodInp inp and good-rho: goodEnv rho
shows pickInp (inp %[rho]) % = ((pickInp inp) %[[pickE rho]])
using assms unfolding pickInp-def psubstInp-def qPsubstInp-def lift-comp
unfolding alphaInp-def sameDom-def liftAll2-def
by (simp add: lift-None)
(smt (verit) comp-apply goodEnv-imp-qGoodEnv-pickE goodInp-imp-qGoodInp-pickInp
liftAll-def lift-def map-option-case map-option-eq-Some option.sel pickInp-def
pick-asTerm psubst-def qGoodInp-def qPsubst-preserves-qGood)

lemma pickBinp-psubstBinp-qPsubstBinp:
assumes good: goodBinp binp and good-rho: goodEnv rho
shows pickBinp (binp %%[rho]) %% = ((pickBinp binp) %%[[pickE rho]])
using assms unfolding pickBinp-def psubstBinp-def qPsubstBinp-def lift-comp
unfolding alphaBinp-def sameDom-def liftAll2-def
by (simp add: lift-None)
(smt (verit) comp-apply goodBinp-def liftAll-def lift-def map-option-case map-option-eq-Some
option.sel pick-psubstAbs-qPsubstAbs)

```

5.3.5 The structural alpha-equivPalence maps commute with the syntactic constructs

```

lemma pick-Var-qVar:
pick (Var xs x) # = qVar xs x
unfolding Var-def using pick-asTerm by force

lemma Op-asInp-asTerm-qOp:
assumes qGoodInp qinp and qGoodBinp qbinp
shows Op delta (asInp qinp) (asBinp qbinp) = asTerm (qOp delta qinp qbinp)
using assms pickInp-asInp pickBinp-asBinp unfolding Op-def
by(auto simp add: asTerm-equal-iff-alpha)

lemma qOp-pickInp-pick-Op:
assumes goodInp inp and goodBinp binp
shows qOp delta (pickInp inp) (pickBinp binp) # = pick (Op delta inp binp)
using assms goodInp-imp-qGoodInp-pickInp goodBinp-imp-qGoodBinp-pickBinp
unfolding Op-def using pick-asTerm alpha-sym by force

lemma Abs-asTerm-asAbs-qAbs:
assumes qGood qX
shows Abs xs x (asTerm qX) = asAbs (qAbs xs x qX)
using assms pick-asTerm qAbs-preserves-alpha unfolding Abs-def
by(force simp add: asAbs-equal-iff-alphaAbs)

lemma qAbs-pick-Abs:
assumes good X

```

```

shows  $qAbs\ xs\ x\ (pick\ X) \$= pick\ (Abs\ xs\ x\ X)$ 
using assms good-imp-qGood-pick pick-asAbs alphaAbs-sym unfolding Abs-def
by force

```

```

lemmas qItem-versus-item-simps =
univ-asTerm-alphaGood univ-asAbs-alphaAbsGood
univ-asTerm-alpha univ-asAbs-alphaAbs
pick-injective-good pick-injective-goodAbs

```

5.4 All operators preserve the “good” predicate

```

lemma Var-preserves-good[simp]:
good(Var xs x::('index,'bindex,'varSort,'var,'opSym)term)
by (metis Var-def qGood.simps(1) qGood-iff-good-asTerm)

```

```

lemma Op-preserves-good[simp]:
assumes goodInp inp and goodBinp binp
shows good(Op delta inp binp)
using assms goodInp-imp-qGoodInp-pickInp goodBinp-imp-qGoodBinp-pickBinp
qGood-iff-good-asTerm unfolding Op-def by fastforce

```

```

lemma Abs-preserves-good[simp]:
assumes good: good X
shows goodAbs(Abs xs x X)
using assms good-imp-qGood-pick qGoodAbs-iff-goodAbs-asAbs
unfolding Abs-def by fastforce

```

```

lemmas Cons-preserve-good =
Var-preserves-good Op-preserves-good Abs-preserves-good

```

```

lemma swap-preserves-good[simp]:
assumes good X
shows good (X #[x  $\wedge$  y]-xs)
using assms good-imp-qGood-pick qSwap-preserves-qGood qGood-iff-good-asTerm
unfolding swap-def by fastforce

```

```

lemma swapAbs-preserves-good[simp]:
assumes goodAbs A
shows goodAbs (A $[x  $\wedge$  y]-xs)
using assms goodAbs-imp-qGoodAbs-pick qSwapAbs-preserves-qGoodAbs qGoodAbs-iff-goodAbs-asAbs
unfolding swapAbs-def by fastforce

```

```

lemma swapInp-preserves-good[simp]:
assumes goodInp inp
shows goodInp (inp %[x  $\wedge$  y]-xs)
using assms
by (auto simp: goodInp-def lift-def swapInp-def liftAll-def split: option.splits)

```

```

lemma swapBinp-preserves-good[simp]:
assumes goodBinp binp
shows goodBinp (binp %[x ∧ y]-xs)
using assms
by (auto simp: goodBinp-def lift-def swapBinp-def liftAll-def split: option.splits)

lemma swapEnvDom-preserves-good:
assumes goodEnv rho
shows goodEnv (swapEnvDom xs x y rho) (is goodEnv ?rho')
unfolding goodEnv-def liftAll-def proof safe
fix zs z X' assume rho': ?rho' zs z = Some X'
hence rho zs (z @zs[x ∧ y]-xs) = Some X' unfolding swapEnvDom-def by simp
thus good X' using assms unfolding goodEnv-def liftAll-def by simp
next
fix xsa ys let ?Left = {ya. ?rho' ys ya ≠ None}
have |{y}| ∪ {ya. rho ys ya ≠ None}| < o |UNIV :: 'var set|
using assms var-infinite-INNER card-of-Un-singl-ordLess-infinite
unfolding goodEnv-def by fastforce
hence |{x,y}| ∪ {ya. rho ys ya ≠ None}| < o |UNIV :: 'var set|
using var-infinite-INNER card-of-Un-singl-ordLess-infinite by fastforce
moreover
{have ?Left ⊆ {x,y} ∪ {ya. rho ys ya ≠ None}
unfolding swapEnvDom-def sw-def[abs-def] by auto
hence |?Left| ≤ o |{x,y}| ∪ {ya. rho ys ya ≠ None}|
using card-of-mono1 by auto
}
ultimately show |?Left| < o |UNIV :: 'var set| using ordLeq-ordLess-trans by
blast
qed

lemma swapEnvIm-preserves-good:
assumes goodEnv rho
shows goodEnv (swapEnvIm xs x y rho)
using assms unfolding goodEnv-def swapEnvIm-def liftAll-def
by (auto simp: lift-def split: option.splits)

lemma swapEnv-preserves-good[simp]:
assumes goodEnv rho
shows goodEnv (rho &[x ∧ y]-xs)
unfolding swapEnv-def comp-def
using assms by(auto simp add: swapEnvDom-preserves-good swapEnvIm-preserves-good)

lemmas swapAll-preserve-good =
swap-preserves-good swapAbs-preserves-good
swapInp-preserves-good swapBinp-preserves-good
swapEnv-preserves-good

lemma psubst-preserves-good[simp]:
assumes goodEnv rho and good X

```

```

shows good (X #[rho])
using assms good-imp-qGood-pick goodEnv-imp-qGoodEnv-pickE
qPsubst-preserves-qGood qGood-iff-good-asTerm unfolding psubst-def by fastforce

lemma psubstAbs-preserves-good[simp]:
assumes good-rho: goodEnv rho and goodAbs-A: goodAbs A
shows goodAbs (A #[rho])
using assms goodAbs-A goodAbs-imp-qGoodAbs-pick goodEnv-imp-qGoodEnv-pickE

qPsubstAbs-preserves-qGoodAbs qGoodAbs-iff-goodAbs-asAbs unfolding psubstAbs-def
by fastforce

lemma psubstInp-preserves-good[simp]:
assumes good-rho: goodEnv rho and good: goodInp inp
shows goodInp (inp %#[rho])
using assms unfolding goodInp-def psubstInp-def liftAll-def
by (auto simp add: lift-def split: option.splits)

lemma psubstBinp-preserves-good[simp]:
assumes good-rho: goodEnv rho and good: goodBinp binp
shows goodBinp (binp %%#[rho])
using assms unfolding goodBinp-def psubstBinp-def liftAll-def
by (auto simp add: lift-def split: option.splits)

lemma psubstEnv-preserves-good[simp]:
assumes good: goodEnv rho and good': goodEnv rho'
shows goodEnv (rho &#[rho'])
unfolding goodEnv-def liftAll-def
proof safe
fix zs z X'
assume *: (rho &#[rho']) zs z = Some X'
show good X'
proof(cases rho zs z)
case None
hence rho' zs z = Some X' using * unfolding psubstEnv-def by auto
thus ?thesis using good' unfolding goodEnv-def liftAll-def by auto
next
case (Some X)
hence X' = (X #[rho']) using * unfolding psubstEnv-def by auto
moreover have good X using Some good unfolding goodEnv-def liftAll-def
by auto
ultimately show ?thesis using good' psubst-preserves-good by auto
qed
next
fix xs ys let ?Left = {y. (rho &#[rho']) ys y ≠ None}
let ?Left1 = {y. rho ys y ≠ None} let ?Left2 = {y. rho' ys y ≠ None}
have |?Left1| < o |UNIV :: 'var set| ∧ |?Left2| < o |UNIV :: 'var set|
using good good' unfolding goodEnv-def by simp
hence |?Left1 ∪ ?Left2| < o |UNIV :: 'var set|

```

```

using var-infinite-INNER card-of-Un-ordLess-infinite by auto
moreover
{have ?Left ⊆ ?Left1 ∪ ?Left2 unfolding psubstEnv-def by auto
hence |?Left| ≤o |?Left1 ∪ ?Left2| using card-of-mono1 by auto
}
ultimately show |?Left| <o |UNIV :: 'var set| using ordLeq-ordLess-trans by
blast
qed

lemmas psubstAll-preserve-good =
psubst-preserves-good psubstAbs-preserves-good
psubstInp-preserves-good psubstBinp-preserves-good
psubstEnv-preserves-good

lemma idEnv-preserves-good[simp]: goodEnv idEnv
unfolding goodEnv-def idEnv-def liftAll-def
using var-infinite-INNER finite-ordLess-infinite2 by auto

lemma updEnv-preserves-good[simp]:
assumes good-X: good X and good-rho: goodEnv rho
shows goodEnv (rho [x ← X]-xs)
using assms unfolding updEnv-def goodEnv-def liftAll-def
proof safe
fix ys y Y
assume good X and ∀ ys y Y. rho ys y = Some Y → good Y
and (if ys = xs ∧ y = x then Some X else rho ys y) = Some Y
thus good Y
by(cases ys = xs ∧ y = x) auto
next
fix ys
let ?V' = {y. (if ys = xs ∧ y = x then Some X else rho ys y) ≠ None}
let ?V = λ ys. {y. rho ys y ≠ None}
assume ∀ ys. |?V ys| <o |UNIV :: 'var set|
hence |{x} ∪ ?V ys| <o |UNIV :: 'var set|
using var-infinite-INNER card-of-Un-singl-ordLess-infinite by fastforce
moreover
{have ?V' ⊆ {x} ∪ ?V ys by auto
hence |?V'| ≤o |{x} ∪ ?V ys| using card-of-mono1 by auto
}
ultimately show |?V'| <o |UNIV :: 'var set| using ordLeq-ordLess-trans by
blast
qed

lemma getEnv-preserves-good[simp]:
assumes goodEnv rho and rho xs x = Some X
shows good X
using assms unfolding goodEnv-def liftAll-def by simp

lemmas envOps-preserve-good =

```

*idEnv-preserves-good updEnv-preserves-good
getEnv-preserves-good*

lemma *subst-preserves-good*[simp]:
assumes *good X and good Y*
shows *good (Y #[X / x]-xs)*
unfolding *subst-def*
using *assms by simp*

lemma *substAbs-preserves-good*[simp]:
assumes *good X and goodAbs A*
shows *goodAbs (A \$[X / x]-xs)*
unfolding *substAbs-def*
using *assms by simp*

lemma *substInp-preserves-good*[simp]:
assumes *good X and goodInp inp*
shows *goodInp (inp %[X / x]-xs)*
unfolding *substInp-def using assms by simp*

lemma *substBinp-preserves-good*[simp]:
assumes *good X and goodBinp binp*
shows *goodBinp (binp %%[X / x]-xs)*
unfolding *substBinp-def using assms by simp*

lemma *substEnv-preserves-good*[simp]:
assumes *good X and goodEnv rho*
shows *goodEnv (rho &[X / x]-xs)*
unfolding *substEnv-def using assms by simp*

lemmas *substAll-preserve-good* =
subst-preserves-good substAbs-preserves-good
substInp-preserves-good substBinp-preserves-good
substEnv-preserves-good

lemma *vsubst-preserves-good*[simp]:
assumes *good Y*
shows *good (Y #[x1 // x]-xs)*
unfolding *vsubst-def using assms by simp*

lemma *vsubstAbs-preserves-good*[simp]:
assumes *goodAbs A*
shows *goodAbs (A \$[x1 // x]-xs)*
unfolding *vsubstAbs-def using assms by simp*

lemma *vsubstInp-preserves-good*[simp]:
assumes *goodInp inp*
shows *goodInp (inp %[x1 // x]-xs)*
unfolding *vsubstInp-def using assms by simp*

```

lemma vsubstBinp-preserves-good[simp]:
assumes goodBinp binp
shows goodBinp (binp %%[x1 // x]-xs)
unfolding vsubstBinp-def using assms by simp

lemma vsubstEnv-preserves-good[simp]:
assumes goodEnv rho
shows goodEnv (rho &[x1 // x]-xs)
unfolding vsubstEnv-def using assms by simp

lemmas vsubstAll-preserve-good =
vsubst-preserves-good vsubstAbs-preserves-good
vsubstInp-preserves-good vsubstBinp-preserves-good
vsubstEnv-preserves-good

lemmas all-preserve-good =
Cons-preserve-good
swapAll-preserve-good
psubstAll-preserve-good
envOps-preserve-good
substAll-preserve-good
vsubstAll-preserve-good

```

5.4.1 The syntactic operators are almost constructors

The only one that does not act precisely like a constructor is "Abs".

```

theorem Var-inj[simp]:
(((Var xs x)::('index,'bindx,'varSort,'var,'opSym)term) = Var ys y) =
(xs = ys ∧ x = y)
by (metis alpha-qVar-iff pick-Var-qVar qTerm.inject)

```

```

lemma Op-inj[simp]:
assumes goodInp inp and goodBinp binp
and goodInp inp' and goodBinp binp'
shows
(Op delta inp binp = Op delta' inp' binp') =
(delta = delta' ∧ inp = inp' ∧ binp = binp')
using assms pickInp-alphaInp-iff-equal pickBinp-alphaBinp-iff-equal
goodInp-imp-qGoodInp-pickInp goodBinp-imp-qGoodBinp-pickBinp
unfolding Op-def by (fastforce simp: asTerm-equal-iff-alpha)

```

"Abs" is almost injective ("ainj"), with almost injectivity expressed in two ways:

- maximally, using "forall" – this is suitable for elimination of "Abs" equalities;
- minimally, using "exists" – this is suitable for introduction of "Abs" equalities.

lemma *Abs-ainj-all*:

assumes *good*: *good X and good'*: *good X'*

shows

$$(Abs\ xs\ x\ X = Abs\ xs'\ x'\ X') =$$

$$(xs = xs' \wedge$$

$$(\forall\ y.\ (y = x \vee fresh\ xs\ y\ X) \wedge (y = x' \vee fresh\ xs\ y\ X') \longrightarrow$$

$$(X \#[y \wedge x]-xs) = (X' \#[y \wedge x']-xs)))$$

proof-

let $?qX = pick\ X$ let $?qX' = pick\ X'$
have $qgood: qGood\ ?qX \wedge qGood\ ?qX'$ using *good good' good-imp-qGood-pick* by auto
hence $qgood-qXyx: \forall\ y.\ qGood\ (?qX \#[[y \wedge x]]-xs)$
using *qSwap-preserves-qGood* by auto
have $qGoodAbs(qAbs\ xs\ x\ ?qX)$ using *qgood* by simp
hence $(Abs\ xs\ x\ X = Abs\ xs'\ x'\ X') = (qAbs\ xs\ x\ ?qX \$= qAbs\ xs'\ x'\ ?qX')$
unfolding *Abs-def* by (auto simp add: *asAbs-equal-iff-alphaAbs*)
also
have $\dots = (xs = xs' \wedge$
 $(\forall\ y.\ (y = x \vee qFresh\ xs\ y\ ?qX) \wedge (y = x' \vee qFresh\ xs\ y\ ?qX') \longrightarrow$
 $(?qX \#[[y \wedge x]]-xs) \#=(?qX' \#[[y \wedge x']] - xs)))$
using *qgood alphaAbs-qAbs-iff-all-equal-or-qFresh[of ?qX ?qX']* by blast
also
have $\dots = (xs = xs' \wedge$
 $(\forall\ y.\ (y = x \vee fresh\ xs\ y\ X) \wedge (y = x' \vee fresh\ xs\ y\ X') \longrightarrow$
 $(X \#[y \wedge x]-xs) = (X' \#[y \wedge x']-xs)))$
unfolding *fresh-def swap-def* using *qgood-qXyx* by (auto simp add: *asTerm-equal-iff-alpha*)
finally show *?thesis* .

qed

lemma *Abs-ainj-ex*:

assumes *good*: *good X and good'*: *good X'*

shows

$$(Abs\ xs\ x\ X = Abs\ xs'\ x'\ X') =$$

$$(xs = xs' \wedge$$

$$(\exists\ y.\ y \notin \{x, x'\} \wedge fresh\ xs\ y\ X \wedge fresh\ xs\ y\ X' \wedge$$

$$(X \#[y \wedge x]-xs) = (X' \#[y \wedge x']-xs)))$$

proof-

let $?qX = pick\ X$ let $?qX' = pick\ X'$
have $qgood: qGood\ ?qX \wedge qGood\ ?qX'$ using *good good' good-imp-qGood-pick* by auto
hence $qgood-qXyx: \forall\ y.\ qGood\ (?qX \#[[y \wedge x]]-xs)$
using *qSwap-preserves-qGood* by auto
have $qGoodAbs(qAbs\ xs\ x\ ?qX)$ using *qgood* by simp
hence $(Abs\ xs\ x\ X = Abs\ xs'\ x'\ X') = (qAbs\ xs\ x\ ?qX \$= qAbs\ xs'\ x'\ ?qX')$
unfolding *Abs-def* by (auto simp add: *asAbs-equal-iff-alphaAbs*)
also
have $\dots = (xs = xs' \wedge$
 $(\exists\ y.\ y \notin \{x, x'\} \wedge qFresh\ xs\ y\ ?qX \wedge qFresh\ xs\ y\ ?qX' \wedge$
 $(?qX \#[[y \wedge x]]-xs) \#=(?qX' \#[[y \wedge x']] - xs)))$

using *qgood alphaAbs-qAbs-iff-ex-distinct-qFresh*[*of ?qX xs x xs' x' ?qX'*] **by** *blast*
also
have $\dots = (xs = xs' \wedge$
 $(\exists y. y \notin \{x, x'\} \wedge \text{fresh } xs \ y \ X \wedge \text{fresh } xs \ y \ X' \wedge$
 $(X \#[y \wedge x] - xs) = (X' \#[y \wedge x'] - xs))$
unfolding *fresh-def swap-def* **using** *qgood-qXyx asTerm-equal-iff-alpha* **by** *auto*
finally show *?thesis*.
qed

lemma *Abs-cong[fundef-cong]*:
assumes *good: good X and good': good' X'*
and *y: fresh xs y X and y': fresh xs y X'*
and *eq: (X \#[y \wedge x] - xs) = (X' \#[y \wedge x'] - xs)*
shows *Abs xs x X = Abs xs x' X'*
proof-
let *?qX = pick X let ?qX' = pick X'*
have *qgood: qGood ?qX \wedge qGood ?qX'* **using** *good good' good-imp-qGood-pick* **by** *auto*
hence *qgood-qXyx: \forall y. qGood (?qX \#[[y \wedge x]] - xs)*
using *qSwap-preserves-qGood* **by** *auto*
have *qEq: (?qX \#[[y \wedge x]] - xs) \# = (?qX' \#[[y \wedge x']] - xs)*
using *eq unfolding fresh-def swap-def*
using *qgood-qXyx asTerm-equal-iff-alpha* **by** *auto*
have *(qAbs xs x ?qX \\$= qAbs xs x' ?qX')*
apply(*rule alphaAbs-ex-equal-or-qFresh-imp-alphaAbs-qAbs*)
using *qgood apply simp*
unfolding *alphaAbs-ex-equal-or-qFresh-def* **using** *y y' qEq*
unfolding *fresh-def* **by** *auto*
moreover have *qGoodAbs(qAbs xs x ?qX)* **using** *qgood* **by** *simp*
ultimately show *Abs xs x X = Abs xs x' X'*
unfolding *Abs-def* **by** (*auto simp add: asAbs-equal-iff-alphaAbs*)
qed

lemma *Abs-swap-fresh*:
assumes *good-X: good X and fresh: fresh xs x' X*
shows *Abs xs x X = Abs xs x' (X \#[x' \wedge x] - xs)*
proof-
let *?x'x = swap xs x' x let ?qx'x = qSwap xs x' x*
have *good-pickX: qGood (pick X)* **using** *good-X good-imp-qGood-pick* **by** *auto*
hence *good-qAbs-pickX: qGoodAbs (qAbs xs x (pick X))* **by** *simp*
have *good-x'-pickX: qGood (?qx'x (pick X))*
using *good-pickX qSwap-preserves-qGood* **by** *auto*

have *Abs xs x X = asAbs (qAbs xs x (pick X))* **unfolding** *Abs-def* **by** *simp*
also
{have *qAbs xs x (pick X) \\$= qAbs xs x' (?qx'x (pick X))*
using *good-pickX fresh unfolding fresh-def* **using** *qAbs-alphaAbs-qSwap-qFresh*
by *fastforce*
moreover

```

{have ?qx'x (pick X) #= pick (?x'x X)
  using good-X by (auto simp add: pick-swap-qSwap alpha-sym)
  hence qAbs xs x' (?qx'x (pick X)) $= qAbs xs x' (pick (?x'x X))
    using good-x'x-pickX qAbs-preserves-alpha by fastforce
}
ultimately have qAbs xs x (pick X) $= qAbs xs x' (pick (?x'x X))
using good-qAbs-pickX alphaAbs-trans by blast
hence asAbs (qAbs xs x (pick X)) = asAbs (qAbs xs x' (pick (?x'x X)))
using good-qAbs-pickX by (auto simp add: asAbs-equal-iff-alphaAbs)
}
also have asAbs (qAbs xs x' (pick (?x'x X))) = Abs xs x' (?x'x X)
unfolding Abs-def by auto
finally show ?thesis .
qed

lemma Var-diff-Op[simp]:
Var xs x ≠ Op delta inp binp
by (simp add: Op-def Var-def asTerm-equal-iff-alpha)

lemma Op-diff-Var[simp]:
Op delta inp binp ≠ Var xs x
using Var-diff-Op[of _ _ _ inp] by blast

theorem term-nchotomy:
assumes good X
shows
(∃ xs x. X = Var xs x) ∨
(∃ delta inp binp. goodInp inp ∧ goodBinp binp ∧ X = Op delta inp binp)
proof-
let ?qX = pick X
have good-qX: qGood ?qX using assms good-imp-qGood-pick by auto
have X: X = asTerm ?qX using assms asTerm-pick by auto
show ?thesis
proof(cases ?qX)
fix xs x assume Case1: ?qX = qVar xs x
have X = Var xs x unfolding Var-def using X Case1 by simp
thus ?thesis by blast
next
fix delta qinp qbinp assume Case2: ?qX = qOp delta qinp qbinp
hence good-qinp: qGoodInp qinp ∧ qGoodBinp qbinp using good-qX by simp
let ?inp = asInp qinp let ?binp = asBinp qbinp
have qinp %≡ pickInp ?inp ∧ qbinp %≡ pickBinp ?binp
  using good-qinp pickInp-asInp alphaInp-sym pickBinp-asBinp alphaBinp-sym
by blast
hence qOp delta qinp qbinp #≡ qOp delta (pickInp ?inp) (pickBinp ?binp) by
simp
hence asTerm (qOp delta qinp qbinp) = Op delta ?inp ?binp
unfolding Op-def using Case2 good-qX by (auto simp add: asTerm-equal-iff-alpha)
hence X = Op delta ?inp ?binp using X Case2 by auto

```

```

moreover have goodInp ?inp ∧ goodBinp ?binp
  using good-qinp qGoodInp-iff-goodInp-asInp qGoodBinp-iff-goodBinp-asBinp by
auto
  ultimately show ?thesis by blast
qed
qed

theorem abs-nchotomy:
assumes goodAbs A
shows ∃ xs x X. good X ∧ A = Abs xs x X
by (metis Abs-asTerm-asAbs-qAbs asAbs-pick assms
goodAbs-imp-qGoodAbs-pick qGoodAbs.elims(2) qGood-iff-good-asTerm)

lemmas good-freeCons =
Op-inj Var-diff-Op Op-diff-Var

```

5.5 Properties lifted from quasi-terms to terms

5.5.1 Simplification rules

```

theorem swap-Var-simp[simp]:
((Var xs x) #[y1 ∧ y2]-ys) = Var xs (x @xs[y1 ∧ y2]-ys)
by (metis QuasiTerms-Swap-Fresh.qSwapAll-simps(1) Var-def asTerm-qSwap-swap
qItem-simps(9))

lemma swap-Op-simp[simp]:
assumes goodInp inp goodBinp binp
shows ((Op delta inp binp) #[x1 ∧ x2]-xs) =
Op delta (inp %[x1 ∧ x2]-xs) (binp %%[x1 ∧ x2]-xs)
by (metis Op-asInp-asTerm-qOp Op-def asTerm-qSwap-swap assms(1) assms(2)
goodBinp-imp-qGoodBinp-pickBinp goodInp-imp-qGoodInp-pickInp qGood-qGoodInp
qSwapBinp-preserves-qGoodBinp
qSwapInp-preserves-qGoodInp qSwap-qSwapInp swapBinp-def2 swapInp-def2)


```

```

lemma swapAbs-simp[simp]:
assumes good X
shows ((Abs xs x X) ${y1 ∧ y2}-ys) = Abs xs (x @xs[y1 ∧ y2]-ys) (X #[y1 ∧
y2]-ys)
by (metis Abs-asTerm-asAbs-qAbs Abs-preserves-good alphaAbs-preserves-qGoodAbs2
asAbs-qSwapAbs-swapAbs assms goodAbs-imp-qGoodAbs-pick good-imp-qGood-pick
local.Abs-def
local.swap-def qAbs-pick-Abs qSwapAbs.simps qSwap-preserves-qGood1)


```

```

lemmas good-swapAll-simps =
swap-Op-simp swapAbs-simp

```

```

theorem fresh-Var-simp[simp]:
fresh ys y (Var xs x :: ('index,'bindex,'varSort,'var,'opSym)term) ←→
(y ≠ xs ∨ y ≠ x)
by (simp add: Var-def fresh-asTerm-qFresh)

```

```

lemma fresh-Op-simp[simp]:
assumes goodInp inp goodBinp binp
shows
  fresh xs x (Op delta inp binp)  $\longleftrightarrow$ 
    (freshInp xs x inp  $\wedge$  freshBinp xs x binp)
by (metis Op-def Op-preserves-good assms(1) assms(2) freshBinp-def2
  freshInp-def2 fresh-asTerm-qFresh qFresh-qFreshInp qGood-iff-good-asTerm)

lemma freshAbs-simp[simp]:
assumes good X
shows freshAbs ys y (Abs xs x X)  $\longleftrightarrow$  (ys = xs  $\wedge$  y = x  $\vee$  fresh ys y X)
proof-
  let ?fr = fresh ys y let ?qfr = qFresh ys y
  let ?frA = freshAbs ys y let ?qfrA = qFreshAbs ys y
  have qGood (pick X) using assms by(auto simp add: good-imp-qGood-pick)
  hence good-qAbs-pick-X: qGoodAbs (qAbs xs x (pick X))
  using assms good-imp-qGood-pick by auto

  have ?frA (Abs xs x X) = ?qfrA ((pick o asAbs) (qAbs xs x (pick X)))
  unfolding freshAbs-def Abs-def by simp
  also
    {have (pick o asAbs) (qAbs xs x (pick X)) $= qAbs xs x (pick X)
     using good-qAbs-pick-X pick-asAbs by fastforce
     hence ?qfrA ((pick o asAbs) (qAbs xs x (pick X))) = ?qfrA (qAbs xs x (pick X))
     using good-qAbs-pick-X qFreshAbs-preserves-alphaAbs by blast
    }
  also have ?qfrA(qAbs xs x (pick X)) = (ys = xs  $\wedge$  y = x  $\vee$  ?qfr (pick X)) by
  simp
  also have ... = (ys = xs  $\wedge$  y = x  $\vee$  ?fr X) unfolding fresh-def by simp
  finally show ?thesis .
qed

lemmas good-freshAll-simps =
fresh-Op-simp freshAbs-simp

theorem skel-Var-simp[simp]:
skel (Var xs x) = Branch Map.empty Map.empty
by (metis alpha-qSkel pick-Var-qVar qSkel.simps(1) skel-def)

lemma skel-Op-simp[simp]:
assumes goodInp inp and goodBinp binp
shows skel (Op delta inp binp) = Branch (skelInp inp) (skelBinp binp)
by (metis (no-types, lifting) alpha-qSkel assms
  qOp-pickInp-pick-Op qSkel-qSkelInp skelBinp-def skelInp-def skel-def)

lemma skelAbs-simp[simp]:
assumes good X
shows skelAbs (Abs xs x X) = Branch (λi. Some (skel X)) Map.empty

```

```

by (metis alphaAll-qSkelAll assms qAbs-pick-Abs qSkelAbs.simps skelAbs-def skel-def)

lemmas good-skelAll-simps =
skel-Op-simp skelAbs-simp

lemma psubst-Var:
assumes goodEnv rho
shows ((Var xs x) #[rho]) =
(case rho xs x of None => Var xs x
| Some X => X)
proof-
let ?X = Var xs x let ?qX = qVar xs x
let ?qrho = pickE rho
have good-qX: qGood ?qX using assms by simp
moreover have good-qrho: qGoodEnv ?qrho using assms goodEnv-imp-qGoodEnv-pickE
by auto
ultimately have good-qXrho: qGood (?qX #[?qrho])
using assms qPsubst-preserves-qGood by(auto simp del: qGoodAll-simps qP-
subst.simps)

have (?X #[rho]) = asTerm ((pick (asTerm ?qX)) #[?qrho])
unfolding Var-def psubst-def by simp
also
{have ?qX #= pick (asTerm ?qX) using good-qX pick-asTerm alpha-sym by
fastforce
hence (?qX #[?qrho]) #= ((pick (asTerm ?qX)) #[?qrho])
using good-qrho good-qX qPsubst-preserves-alpha1[of - ?qX] by fastforce
hence asTerm ((pick (asTerm ?qX)) #[?qrho]) = asTerm (?qX #[?qrho])
using good-qXrho asTerm-equal-iff-alpha[of ?qX #[?qrho]] by blast
}
also have asTerm (?qX #[?qrho]) =
asTerm (case ?qrho xs x of None => qVar xs x
| Some qY => qY) unfolding Var-def by simp
finally have 1: (?X #[rho]) = asTerm (case ?qrho xs x of None => qVar xs x
| Some qY => qY) .

show ?thesis
proof(cases rho xs x)
assume Case1: rho xs x = None
hence ?qrho xs x = None unfolding pickE-def lift-def by simp
thus ?thesis using 1 Case1 unfolding Var-def by simp
next
fix X assume Case2: rho xs x = Some X
hence good X using assms unfolding goodEnv-def liftAll-def by auto
hence asTerm (pick X) = X using asTerm-pick by auto
moreover have qrho: ?qrho xs x = Some (pick X)
using Case2 unfolding pickE-def lift-def by simp
ultimately show ?thesis using 1 Case2 unfolding Var-def by simp
qed
qed

```

```

corollary psubst-Var-simp1[simp]:
assumes goodEnv rho and rho xs x = None
shows ((Var xs x) #[rho]) = Var xs x
using assms by(simp add: psubst-Var)

corollary psubst-Var-simp2[simp]:
assumes goodEnv rho and rho xs x = Some X
shows ((Var xs x) #[rho]) = X
using assms by(simp add: psubst-Var)

lemma psubst-Op-simp[simp]:
assumes good-inp: goodInp inp goodBinp binp
and good-rho: goodEnv rho
shows
((Op delta inp binp) #[rho]) = Op delta (inp %[rho]) (binp %%[rho])
proof-
  let ?qrho = pickE rho
  let ?sbs = psubst rho  let ?qsbs = qPsubst ?qrho
  let ?sbsI = psubstInp rho  let ?qsbsI = qPsubstInp ?qrho
  let ?sbsB = psubstBinp rho  let ?qsbsB = qPsubstBinp ?qrho
  let ?op = Op delta  let ?qop = qOp delta
  have good-qop-pickInp-inp: qGood (?qop (pickInp inp) (pickBinp binp))
  using good-inp goodInp-imp-qGoodInp-pickInp
    goodBinp-imp-qGoodBinp-pickBinp by auto
  hence qGood ((pick o asTerm) (?qop (pickInp inp) (pickBinp binp)))
  using good-imp-qGood-pick qGood-iff-good-asTerm by fastforce
  moreover have good-qrho: qGoodEnv ?qrho
  using good-rho goodEnv-imp-qGoodEnv-pickE by auto
  ultimately have good: qGood (?qsbs((pick o asTerm) (?qop (pickInp inp) (pickBinp binp))))
  using qPsubst-preserves-qGood by auto

  have ?sbs (?op inp binp) =
    asTerm (?qsbs ((pick o asTerm) (?qop (pickInp inp) (pickBinp binp))))
  unfolding psubst-def Op-def by simp
  also
  {have (pick o asTerm) (?qop (pickInp inp) (pickBinp binp)) #=
    ?qop (pickInp inp) (pickBinp binp)
  using good-qop-pickInp-inp pick-asTerm by fastforce
  hence ?qsbs((pick o asTerm) (?qop (pickInp inp) (pickBinp binp))) #=
    ?qsbs(?qop (pickInp inp) (pickBinp binp))
  using good-qop-pickInp-inp good-qrho qPsubst-preserves-alpha1 by fastforce
  moreover have ?qsbs (?qop (pickInp inp) (pickBinp binp)) =
    ?qop (?qsbsI (pickInp inp)) (?qsbsB (pickBinp binp)) by simp
  moreover
  {have ?qsbsI (pickInp inp) %= pickInp (?sbsI inp) ∧
    ?qsbsB (pickBinp binp) %%= pickBinp (?sbsB binp)
  using good-rho good-inp pickInp-psubstInp-qPsubstInp[of inp rho]

```

```

pickBinp-psubstBinp-qPsubstBinp[of binp rho] alphaInp-sym alphaBinp-sym
by auto
hence ?qop (?qsbsI (pickInp inp)) (?qsbsB (pickBinp binp)) #=
    ?qop (pickInp (?sbsI inp)) (pickBinp (?sbsB binp)) by simp
}
ultimately have ?qsbs((pick o asTerm) (?qop (pickInp inp) (pickBinp binp)))
#= ?qop (pickInp (?sbsI inp)) (pickBinp (?sbsB binp))
using good alpha-trans by force
hence asTerm (?qsbs((pick o asTerm) (?qop (pickInp inp) (pickBinp binp)))) =
    asTerm (?qop (pickInp (?sbsI inp)) (pickBinp (?sbsB binp)))
using good by (auto simp add: asTerm-equal-iff-alpha)
}
also have asTerm (?qop (pickInp (?sbsI inp)) (pickBinp (?sbsB binp))) =
    ?op (?sbsI inp) (?sbsB binp) unfolding Op-def by simp
finally show ?thesis .
qed

lemma psubstAbs-simp[simp]:
assumes good-X: good X and good-rho: goodEnv rho and
    x-fresh-rho: freshEnv xs x rho
shows ((Abs xs x X) $[rho]) = Abs xs x (X #[rho])
proof-
let ?qrho = pickE rho
let ?sbs = psubst rho let ?qsbs = qPsubst ?qrho
let ?sbsA = psubstAbs rho let ?qsbsA = qPsubstAbs ?qrho
have good-qrho: qGoodEnv ?qrho
using good-rho goodEnv-imp-qGoodEnv-pickE by auto
have good-pick-X: qGood (pick X) using good-X good-imp-qGood-pick by auto
hence good-qsbs-pick-X: qGood(?qsbs (pick X))
using good-qrho qPsubst-preserves-qGood by auto
have good-qAbs-pick-X: qGoodAbs (qAbs xs x (pick X))
using good-X good-imp-qGood-pick by auto
hence qGoodAbs ((pick o asAbs) (qAbs xs x (pick X)))
using goodAbs-imp-qGoodAbs-pick qGoodAbs-iff-goodAbs-asAbs by fastforce
hence good: qGoodAbs (?qsbsA ((pick o asAbs) (qAbs xs x (pick X))))
using good-qrho qPsubstAbs-preserves-qGoodAbs by auto
have x-fresh-qrho: qFreshEnv xs x ?qrho
using x-fresh-rho unfolding freshEnv-def2 by auto

have ?sbsA (Abs xs x X) = asAbs (?qsbsA ((pick o asAbs) (qAbs xs x (pick X))))
unfolding psubstAbs-def Abs-def by simp
also
{have (pick o asAbs) (qAbs xs x (pick X)) $= qAbs xs x (pick X)
using good-qAbs-pick-X pick-asAbs by fastforce
hence ?qsbsA((pick o asAbs) (qAbs xs x (pick X))) $= ?qsbsA(qAbs xs x (pick X))
using good-qAbs-pick-X good-qrho qPsubstAbs-preserves-alphaAbs1 by force
moreover have ?qsbsA(qAbs xs x (pick X)) $= qAbs xs x (?qsbs (pick X))
}

```

```

using qFresh-qPsubst-commute-qAbs good-pick-X good-qrho x-fresh-qrho by auto
moreover
{have ?qsbs (pick X) #= pick (?sbs X)
  using good-rho good-X pick-psubst-qPsubst alpha-sym by fastforce
  hence qAbs xs x (?qsbs (pick X)) $= qAbs xs x (pick (?sbs X))
  using good-qsbs-pick-X qAbs-preserves-alpha by fastforce
}
ultimately
have ?qsbsA((pick o asAbs) (qAbs xs x (pick X))) $= qAbs xs x (pick (?sbs X))
using good alphaAbs-trans by blast
hence asAbs (?qsbsA((pick o asAbs) (qAbs xs x (pick X)))) =
      asAbs (qAbs xs x (pick (?sbs X)))
using good asAbs-equal-iff-alphaAbs by auto
}
also have asAbs (qAbs xs x (pick (?sbs X))) = Abs xs x (?sbs X)
unfolding Abs-def by simp
finally show ?thesis .
qed

lemmas good-psubstAll-simps =
psubst-Var-simp1 psubst-Var-simp2
psubst-Op-simp psubstAbs-simp

theorem getEnv-idEnv[simp]: idEnv xs x = None
unfolding idEnv-def by simp

lemma getEnv-updEnv[simp]:
(rho [x ← X]-xs) ys y = (if ys = xs ∧ y = x then Some X else rho ys y)
unfolding updEnv-def by auto

theorem getEnv-updEnv1:
ys ≠ xs ∨ y ≠ x ⟹ (rho [x ← X]-xs) ys y = rho ys y
by auto

theorem getEnv-updEnv2:
(rho [x ← X]-xs) xs x = Some X
by auto

lemma subst-Var-simp1[simp]:
assumes good Y
and ys ≠ xs ∨ y ≠ x
shows ((Var xs x) #[Y / y]-ys) = Var xs x
using assms unfolding subst-def by auto

lemma subst-Var-simp2[simp]:
assumes good Y
shows ((Var xs x) #[Y / x]-xs) = Y
using assms unfolding subst-def by auto

```

```

lemma subst-Op-simp[simp]:
assumes good Y
and goodInp inp and goodBinp binp
shows
 $((Op \delta inp binp) \# [Y / y]-ys) =$ 
 $Op \delta (inp \% [Y / y]-ys) (binp \% \% [Y / y]-ys)$ 
using assms unfolding subst-def substInp-def substBinp-def by auto

lemma substAbs-simp[simp]:
assumes good: good Y and good-X: good X and
    x-dif-y: xs ≠ ys ∨ x ≠ y and x-fresh: fresh xs x Y
shows ((Abs xs x X) $[Y / y]-ys) = Abs xs x (X # [Y / y]-ys)
proof-
    have freshEnv xs x (idEnv [y ← Y]-ys) unfolding freshEnv-def liftAll-def
    using x-dif-y x-fresh by auto
    thus ?thesis using assms unfolding subst-def substAbs-def by auto
qed

lemmas good-substAll-simps =
subst-Var-simp1 subst-Var-simp2
subst-Op-simp substAbs-simp

theorem vsubst-Var-simp[simp]:
((Var xs x) #[y1 // y]-ys) = Var xs (x @xs[y1 / y]-ys)
unfolding vsubst-def
apply(case-tac ys = xs ∧ y = x) by simp-all

lemma vsubst-Op-simp[simp]:
assumes goodInp inp and goodBinp binp
shows
 $((Op \delta inp binp) \# [y1 // y]-ys) =$ 
 $Op \delta (inp \% [y1 // y]-ys) (binp \% \% [y1 // y]-ys)$ 
using assms unfolding vsubst-def vsubstInp-def vsubstBinp-def by auto

lemma vsubstAbs-simp[simp]:
assumes good X and
    xs ≠ ys ∨ x ∉ {y, y1}
shows ((Abs xs x X) $[y1 // y]-ys) = Abs xs x (X # [y1 // y]-ys)
using assms unfolding vsubst-def vsubstAbs-def by auto

lemmas good-vsubstAll-simps =
vsubst-Op-simp vsubstAbs-simp

lemmas good-allOper-simps =
good-swapAll-simps
good-freshAll-simps
good-skelAll-simps
good-psubstAll-simps
good-substAll-simps

```

good-vsubstAll-simps

5.5.2 The ability to pick fresh variables

```

lemma single-non-fresh-ordLess-var:

$$good X \implies |\{x. \neg fresh xs x X\}| <_o |UNIV :: 'var set|$$

unfolding fresh-def
by(auto simp add: good-imp-qGood-pick single-non-qFresh-ordLess-var)

lemma single-non-freshAbs-ordLess-var:

$$goodAbs A \implies |\{x. \neg freshAbs xs x A\}| <_o |UNIV :: 'var set|$$

unfolding freshAbs-def
by(auto simp add: goodAbs-imp-qGoodAbs-pick single-non-qFreshAbs-ordLess-var)

lemma obtain-fresh1:
fixes XS::('index,'bindex,'varSort,'var,'opSym)term set and

$$\text{Rho::('index,'bindex,'varSort,'var,'opSym)env set and rho}$$

assumes Vvar: |V| <_o |UNIV :: 'var set|  $\vee$  finite V and XSvar: |XS| <_o |UNIV :: 'var set|  $\vee$  finite XS and

$$\text{good: } \forall X \in XS. \text{ good } X \text{ and }$$


$$\text{RhoVar: } |\text{Rho}| <_o |UNIV :: 'var set| \vee \text{finite Rho and RhoGood: } \forall rho \in \text{Rho}. \text{ goodEnv rho}$$

shows

$$\exists z. z \notin V \wedge$$


$$(\forall X \in XS. \text{fresh xs z X}) \wedge$$


$$(\forall rho \in \text{Rho}. \text{freshEnv xs z rho})$$

proof-
  let ?qXS = pick `XS  let ?qRho = pickE `Rho
  have |?qXS| ≤_o |XS| using card-of-image by auto
  hence 1: |?qXS| <_o |UNIV :: 'var set|  $\vee$  finite ?qXS
  using ordLeq-ordLess-trans card-of-ordLeq-finite XSvar by blast
  have |?qRho| ≤_o |Rho| using card-of-image by auto
  hence 2: |?qRho| <_o |UNIV :: 'var set|  $\vee$  finite ?qRho
  using ordLeq-ordLess-trans card-of-ordLeq-finite RhoVar by blast
  have 3:  $\forall qX \in ?qXS. qGood qX$  using good good-imp-qGood-pick by auto
  have  $\forall qrho \in ?qRho. qGoodEnv qrho$  using RhoGood goodEnv-imp-qGoodEnv-pickE
  by auto
  then obtain z where
    z  $\notin$  V  $\wedge$  ( $\forall qX \in ?qXS. qFresh xs z qX$ )  $\wedge$ 
    ( $\forall qrho \in ?qRho. qFreshEnv xs z qrho$ )
  using Vvar 1 2 3 obtain-qFreshEnv[of V ?qXS ?qRho] by fastforce
  thus ?thesis unfolding fresh-def freshEnv-def2 by auto
qed

lemma obtain-fresh:
fixes V::'var set and

$$\text{XS::('index,'bindex,'varSort,'var,'opSym)term set and}$$


$$\text{AS::('index,'bindex,'varSort,'var,'opSym)abs set and}$$


$$\text{Rho::('index,'bindex,'varSort,'var,'opSym)env set}$$


```

```

assumes Vvar:  $|V| < o |UNIV :: 'var set| \vee finite V$  and
XSvar:  $|XS| < o |UNIV :: 'var set| \vee finite XS$  and
ASvar:  $|AS| < o |UNIV :: 'var set| \vee finite AS$  and
RhoVar:  $|Rho| < o |UNIV :: 'var set| \vee finite Rho$  and
good:  $\forall X \in XS. good X$  and
ASGood:  $\forall A \in AS. goodAbs A$  and
RhoGood:  $\forall rho \in Rho. goodEnv rho$ 
shows
 $\exists z. z \notin V \wedge$ 
 $(\forall X \in XS. fresh xs z X) \wedge$ 
 $(\forall A \in AS. freshAbs xs z A) \wedge$ 
 $(\forall rho \in Rho. freshEnv xs z rho)$ 
proof-
have XS:  $|XS| < o |UNIV :: 'var set|$  and AS:  $|AS| < o |UNIV :: 'var set|$ 
using XSvar ASvar finite-ordLess-var by auto
let ?phi = % A Y. (good Y  $\wedge$  (EX ys y. A = Abs ys y Y))
{fix A assume A ∈ AS
hence goodAbs A using ASGood by simp
hence EX Y. ?phi A Y using abs-nchotomy[of A] by auto
}
then obtain f where 1: ALL A : AS. ?phi A (f A)
using bchoice[of AS ?phi] by auto
let ?YS = f ` AS
have 2: ALL Y : ?YS. good Y using 1 by simp
have |?YS| <= o |AS| using card-of-image by auto
hence |?YS| < o |UNIV :: 'var set|
using AS ordLeq-ordLess-trans by blast
hence |XS Un ?YS| < o |UNIV :: 'var set|
using XS by (auto simp add: var-infinite-INNER card-of-Un-ordLess-infinite)
then obtain z where z: z ∉ V
and XSYS:  $\forall X \in XS Un ?YS. fresh xs z X$ 
and Rho:  $\forall rho \in Rho. freshEnv xs z rho$ 
using Vvar RhoVar good 2 RhoGood
obtain-fresh1[of V XS Un ?YS Rho xs] by blast
moreover
{fix A
obtain Y where Y-def: Y = f A by blast
assume A : AS
hence fresh xs z Y unfolding Y-def using XSYS by simp
moreover obtain ys y where Y: good Y and A: A = Abs ys y Y
unfolding Y-def using ⟨A : AS⟩ 1 by auto
ultimately have freshAbs xs z A unfolding A using z by auto
}
ultimately show ?thesis by auto
qed

```

5.5.3 Compositionality

lemma swap-ident[simp]:

```

assumes good X
shows ( $X \# [x \wedge x] \text{-} xs$ ) =  $X$ 
using assms asTerm-pick qSwap-ident unfolding swap-def by auto

lemma swap-compose:
assumes good-X: good X
shows (( $X \# [x \wedge y] \text{-} zs$ )  $\# [x' \wedge y'] \text{-} zs'$ ) =
    (( $X \# [x' \wedge y'] \text{-} zs'$ )  $\# [(x @zs[x' \wedge y'] \text{-} zs') \wedge (y @zs[x' \wedge y'] \text{-} zs')] \text{-} zs$ )
using assms qSwap-compose[of ----- pick X] by(auto simp add: double-swap-qSwap)

lemma swap-commute:
 $\llbracket \text{good } X; zs \neq zs' \vee \{x,y\} \cap \{x',y'\} = \{\} \rrbracket \implies$ 
    (( $X \# [x \wedge y] \text{-} zs$ )  $\# [x' \wedge y'] \text{-} zs'$ ) = (( $X \# [x' \wedge y'] \text{-} zs$ )  $\# [x \wedge y] \text{-} zs$ )
using swap-compose[of X zs' x' y' zs x y] by(auto simp add: sw-def)

lemma swap-involutive[simp]:
assumes good-X: good X
shows (( $X \# [x \wedge y] \text{-} zs$ )  $\# [x \wedge y] \text{-} zs$ ) =  $X$ 
using assms asTerm-pick[of X] by(auto simp add: double-swap-qSwap)

theorem swap-sym: ( $X \# [x \wedge y] \text{-} zs$ ) = ( $X \# [y \wedge x] \text{-} zs$ )
unfolding swap-def by(auto simp add: qSwap-sym)

lemma swap-involutive2[simp]:
assumes good X
shows (( $X \# [x \wedge y] \text{-} zs$ )  $\# [y \wedge x] \text{-} zs$ ) =  $X$ 
using assms by(simp add: swap-sym)

lemma swap-preserves-fresh[simp]:
assumes good X
shows fresh xs (x @xs[y1  $\wedge$  y2] - ys) ( $X \# [y1 \wedge y2] \text{-} ys$ ) = fresh xs x X
unfolding fresh-def[of -- X] using assms qSwap-preserves-qFresh[of ----- pick X]
by(auto simp add: fresh-swap-qFresh-qSwap)

lemma swap-preserves-fresh-distinct:
assumes good X and
     $xs \neq ys \vee x \notin \{y1, y2\}$ 
shows fresh xs x ( $X \# [y1 \wedge y2] \text{-} ys$ ) = fresh xs x X
unfolding fresh-def[of -- X] using assms
by(auto simp: fresh-swap-qFresh-qSwap qSwap-preserves-qFresh-distinct)

lemma fresh-swap-exchange1:
assumes good X
shows fresh xs x2 ( $X \# [x1 \wedge x2] \text{-} xs$ ) = fresh xs x1 X
unfolding fresh-def[of -- X]
using assms by(auto simp: fresh-swap-qFresh-qSwap qFresh-qSwap-exchange1)

lemma fresh-swap-exchange2:

```

```

assumes good X and {x1,x2} ⊆ var xs
shows fresh xs x2 (X #[x2 ∧ x1]-xs) = fresh xs x1 X
using assms by(simp add: fresh-swap-exchange1 swap-sym)

```

```

lemma fresh-swap-id[simp]:
assumes good X and fresh xs x1 X fresh xs x2 X
shows (X #[x1 ∧ x2]-xs) = X
by (metis (no-types, lifting) assms alpha-imp-asTerm-equal alpha-qFresh-qSwap-id
asTerm-pick
fresh-def good-imp-qGood-pick local.swap-def qSwap-preserves-qGood1)

lemma freshAbs-swapAbs-id[simp]:
assumes goodAbs A freshAbs xs x1 A freshAbs xs x2 A
shows (A $[x1 ∧ x2]-xs) = A
using assms
by (meson alphaAbs-qFreshAbs-qSwapAbs-id alphaAll-trans freshAbs-def goodAbs-imp-qGoodAbs-pick
pick-alphaAbs-iff-equal pick-swapAbs-qSwapAbs swapAbs-preserves-good)

lemma fresh-swap-compose:
assumes good X fresh xs y X fresh xs z X
shows ((X #[y ∧ x]-xs) #[z ∧ y]-xs) = (X #[z ∧ x]-xs)
using assms by (simp add: sw-def swap-compose)

lemma skel-swap:
assumes good X
shows skel (X #[x1 ∧ x2]-xs) = skel X
using assms by (metis alpha-qSkel pick-swap-qSwap qSkel-qSwap skel-def)

```

5.5.4 Compositionality for environments

```

lemma swapEnv-ident[simp]:
assumes goodEnv rho
shows (rho &[x ∧ x]-xs) = rho
using assms unfolding swapEnv-defs lift-def
by (intro ext) (auto simp: option.case-eq-if)

lemma swapEnv-compose:
assumes good: goodEnv rho
shows ((rho &[x ∧ y]-zs) &[x' ∧ y']-zs') =
((rho &[x' ∧ y']-zs') &[(x @zs[x' ∧ y']-zs') ∧ (y @zs[x' ∧ y']-zs')]-zs)
proof(rule ext)+
let ?xsw = x @zs[x' ∧ y']-zs' let ?ysw = y @zs[x' ∧ y']-zs'
let ?xswsw = ?xsw @zs[x' ∧ y']-zs' let ?yswsw = ?ysw @zs[x' ∧ y']-zs'
let ?rhosw1 = rho &[x ∧ y]-zs let ?rhosw11 = ?rhosw1 &[x' ∧ y']-zs'
let ?rhosw2 = rho &[x' ∧ y']-zs' let ?rhosw22 = ?rhosw2 &[?xsw ∧ ?ysw]-zs
let ?Sw1 = λX. (X #[x ∧ y]-zs) let ?Sw11 = λX. ((?Sw1 X) #[x' ∧ y']-zs')

```

```

let ?Sw2 =  $\lambda X. (X \# [x' \wedge y']\text{-zs'})$  let ?Sw22 =  $\lambda X. ((?Sw2 X) \# [\text{?xsw} \wedge \text{?ysw}]\text{-zs})$ 
fix us u
let ?usw1 =  $u @ us [x' \wedge y']\text{-zs'}$  let ?usw11 =  $?usw1 @ us [x \wedge y]\text{-zs}$ 
let ?usw2 =  $u @ us [\text{?xsw} \wedge \text{?ysw}]\text{-zs}$  let ?usw22 =  $?usw2 @ us [x' \wedge y']\text{-zs'}$ 
have ( $\text{?xsw} @ \text{zs}[x' \wedge y']\text{-zs'} = x$  and ( $\text{?ysw} @ \text{zs}[x' \wedge y']\text{-zs'} = y$ ) by auto
have ?usw22 = (?usw1 @ us[?xswsw \wedge ?yswsw]\text{-zs}) using sw-compose .
hence *: ?usw22 = ?usw11 by simp
show ?rhosw11 us u = ?rhosw22 us u
proof(cases rho us ?usw11)
case None
hence ?rhosw11 us u = None unfolding swapEnv-defs lift-def by simp
also have ... = ?rhosw22 us u
using None unfolding * swapEnv-defs lift-def by simp
finally show ?thesis .
next
case (Some X)
hence good X using good unfolding goodEnv-def liftAll-def by simp
have ?rhosw11 us u = Some(?Sw11 X) using Some unfolding swapEnv-defs
lift-def by simp
also have ?Sw11 X = ?Sw22 X
using <good X> by(rule swap-compose)
also have Some(?Sw22 X) = ?rhosw22 us u
using Some unfolding * swapEnv-defs lift-def by simp
finally show ?thesis .
qed
qed

lemma swapEnv-commute:
[goodEnv rho; {x,y} ⊆ var zs; zs ≠ zs' ∨ {x,y} ∩ {x',y'} = {}] ⇒
((rho & [x \wedge y]\text{-zs}) & [x' \wedge y']\text{-zs'}) = ((rho & [x' \wedge y']\text{-zs'}) & [x \wedge y]\text{-zs})
using swapEnv-compose[of rho zs' x' y' zs x y] by(auto simp add: sw-def)

lemma swapEnv-involutive[simp]:
assumes goodEnv rho
shows ((rho & [x \wedge y]\text{-zs}) & [x \wedge y]\text{-zs}) = rho
using assms unfolding swapEnv-defs lift-def
by (fastforce simp: option.case-eq-if)

theorem swapEnv-sym: (rho & [x \wedge y]\text{-zs}) = (rho & [y \wedge x]\text{-zs})
proof(intro ext)
fix us u
have *: ( $u @ us [x \wedge y]\text{-zs} = (u @ us [y \wedge x]\text{-zs})$ ) using sw-sym by fastforce
show (rho & [x \wedge y]\text{-zs}) us u = (rho & [y \wedge x]\text{-zs}) us u
unfolding swapEnv-defs lift-def *
by(cases rho us (u @ us[y \wedge x]\text{-zs})) (auto simp: swap-sym)
qed

lemma swapEnv-involutive2[simp]:

```

```

assumes good: goodEnv rho
shows ((rho &[x ∧ y]-zs) &[y ∧ x]-zs) = rho
using assms by(simp add: swapEnv-sym)

lemma swapEnv-preserves-freshEnv[simp]:
assumes good: goodEnv rho
shows freshEnv xs (x @xs[y1 ∧ y2]-ys) (rho &[y1 ∧ y2]-ys) = freshEnv xs x rho
proof-
let ?xsw = x @xs[y1 ∧ y2]-ys let ?xswsw = ?xsw @xs[y1 ∧ y2]-ys
let ?rhosw = rho &[y1 ∧ y2]-ys
let ?Left = freshEnv xs ?xsw ?rhosw
let ?Right = freshEnv xs x rho
have (?rhosw xs ?xsw = None) = (rho xs x = None)
unfolding freshEnv-def swapEnv-defs
by(simp add: lift-None sw-involutive)
moreover
have (∀ zs z' Z'. ?rhosw zs z' = Some Z' → fresh xs ?xsw Z') =
(∀ zs z Z. rho zs z = Some Z → fresh xs x Z)
proof(rule iff-allI, auto)
fix zs z Z assume *: ∀ z' Z'. ?rhosw zs z' = Some Z' → fresh xs ?xsw Z'
and **: rho zs z = Some Z let ?z' = z @zs[y1 ∧ y2]-ys let ?Z' = Z #[y1 ∧
y2]-ys
have ?rhosw zs ?z' = Some ?Z' using ** unfolding swapEnv-defs lift-def
by(simp add: sw-involutive)
hence fresh xs ?xsw ?Z' using * by simp
moreover have good Z using ** good unfolding goodEnv-def liftAll-def by
simp
ultimately show fresh xs x Z using swap-preserves-fresh by auto
next
fix zs z' Z'
assume *: ∀ z Z. rho zs z = Some Z → fresh xs x Z and **: ?rhosw zs z' =
Some Z'
let ?z = z' @zs[y1 ∧ y2]-ys
obtain Z where rho: rho zs ?z = Some Z and Z': Z' = Z #[y1 ∧ y2]-ys
using ** unfolding swapEnv-defs lift-def by(cases rho zs ?z, auto)
hence fresh xs x Z using * by simp
moreover have good Z using rho good unfolding goodEnv-def liftAll-def by
simp
ultimately show fresh xs ?xsw Z' unfolding Z' using swap-preserves-fresh by
auto
qed
ultimately show ?thesis unfolding freshEnv-def swapEnv-defs
unfolding liftAll-def by simp
qed

lemma swapEnv-preserves-freshEnv-distinct:
assumes goodEnv rho and
xs ≠ ys ∨ x ∉ {y1,y2}
shows freshEnv xs x (rho &[y1 ∧ y2]-ys) = freshEnv xs x rho

```

```

by (metis assmss sw-simps3 swapEnv-preserves-freshEnv)

lemma freshEnv-swapEnv-exchange1:
assumes goodEnv rho
shows freshEnv xs x2 (rho &[x1 ∧ x2]-xs) = freshEnv xs x1 rho
by (metis assmss sw-simps1 swapEnv-preserves-freshEnv)

lemma freshEnv-swapEnv-exchange2:
assumes goodEnv rho
shows freshEnv xs x2 (rho &[x2 ∧ x1]-xs) = freshEnv xs x1 rho
using assmss by(simp add: freshEnv-swapEnv-exchange1 swapEnv-sym)

lemma freshEnv-swapEnv-id[simp]:
assumes good: goodEnv rho and
fresh: freshEnv xs x1 rho freshEnv xs x2 rho
shows (rho &[x1 ∧ x2]-xs) = rho
proof(intro ext)
fix us u
let ?usw = u @us[x1 ∧ x2]-xs let ?rhosw = rho &[x1 ∧ x2]-xs
let ?Sw = λ X. (X #[x1 ∧ x2]-xs)
show ?rhosw us u = rho us u
proof(cases rho us u)
case None
hence rho us ?usw = None using fresh unfolding freshEnv-def sw-def by
auto
hence ?rhosw us u = None unfolding swapEnv-defs lift-def by auto
with None show ?thesis by simp
next
case (Some X)
moreover have ?usw = u using fresh Some unfolding freshEnv-def sw-def
by auto
ultimately have ?rhosw us u = Some (?Sw X) unfolding swapEnv-defs lift-def
by auto
moreover
{have good X using Some good unfolding goodEnv-def liftAll-def by auto
moreover have fresh xs x1 X and fresh xs x2 X
using Some fresh unfolding freshEnv-def liftAll-def by auto
ultimately have ?Sw X = X by simp
}
ultimately show ?thesis using Some by simp
qed
qed

lemma freshEnv-swapEnv-compose:
assumes good: goodEnv rho and
fresh: freshEnv xs y rho freshEnv xs z rho
shows ((rho &[y ∧ x]-xs) &[z ∧ y]-xs) = (rho &[z ∧ x]-xs)
by (simp add: fresh good sw-def swapEnv-compose)

```

```

lemmas good-swapAll-freshAll-otherSimps =
  swap-ident swap-involutive swap-involutive2 swap-preserves-fresh fresh-swap-id
  freshAbs-swapAbs-id
  swapEnv-ident swapEnv-involutive swapEnv-involutive2 swapEnv-preserves-freshEnv
  freshEnv-swapEnv-id

```

5.5.5 Properties of the relation of being swapped

```

theorem swap-swapped:  $(X, X \# [x \wedge y] \text{-zs}) \in \text{swapped}$ 
  by(auto simp add: swapped.Refl swapped.Swap)

```

```

lemma swapped-preserves-good:
  assumes good X and  $(X, Y) \in \text{swapped}$ 
  shows good Y
  using assms(2,1) by (induct rule: swapped.induct) auto

```

```

lemma swapped-skel:
  assumes good X and  $(X, Y) \in \text{swapped}$ 
  shows skel Y = skel X
  using assms(2,1)
  by (induct rule: swapped.induct) (auto simp: swapped-preserves-good skel-swap)

```

```

lemma obtain-rep:
  assumes GOOD: good X and FRESH: fresh xs x' X
  shows  $\exists X'. (X, X') \in \text{swapped} \wedge \text{good } X' \wedge \text{Abs xs } x \text{ } X = \text{Abs xs } x' \text{ } X'$ 
  using Abs-swap-fresh FRESH GOOD swap-preserves-good swap-swapped by blast

```

5.6 Induction

5.6.1 Induction lifted from quasi-terms

```

lemma term-templateInduct[case-names rel Var Op Abs]:
  fixes X::('index,'bindex,'varSort,'var,'opSym)term and
    A::('index,'bindex,'varSort,'var,'opSym)abs and phi phiAbs rel
  assumes
    rel:  $\bigwedge X Y. [\![\text{good } X; (X, Y) \in \text{rel}]\!] \implies \text{good } Y \wedge \text{skel } Y = \text{skel } X$  and
    var:  $\bigwedge xs x. \text{phi } (\text{Var } xs x)$  and
    op:  $\bigwedge \text{delta inp binp}. [\![\text{goodInp } inp; \text{goodBinp } binp; \text{liftAll } phi \text{ } inp; \text{liftAll } phiAbs \text{ } binp]\!]$ 
       $\implies \text{phi } (\text{Op delta } inp \text{ } binp)$  and
    abs:  $\bigwedge xs x X. [\![\text{good } X; \bigwedge Y. (X, Y) \in \text{rel} \implies \text{phi } Y]\!]$ 
       $\implies \text{phiAbs } (\text{Abs } xs x X)$ 
  shows ( $\text{good } X \longrightarrow \text{phi } X$ )  $\wedge$  ( $\text{goodAbs } A \longrightarrow \text{phiAbs } A$ )
  proof-
    let ?qX = pick X let ?qA = pick A
    let ?qphi = phi o asTerm let ?qphiAbs = phiAbs o asAbs
    let ?qrel = {(qY, qY') | qY qY'. (asTerm qY, asTerm qY') \in rel}
    have (good X \longrightarrow qGood ?qX)  $\wedge$  (goodAbs A \longrightarrow qGoodAbs ?qA)
    using good-imp-qGood-pick goodAbs-imp-qGoodAbs-pick by auto

```

```

moreover
have (good X → (?qphi ?qX = phi X)) ∧ (goodAbs A → (?qphiAbs ?qA =
phiAbs A))
using asTerm-pick asAbs-pick by fastforce
moreover
have (qGood ?qX → ?qphi ?qX) ∧ (qGoodAbs ?qA → ?qphiAbs ?qA)
proof(induction rule: qGood-qTerm-templateInduct[of ?qrel])
  case (Rel qX qY)
  thus ?case using qGood-iff-good-asTerm pick-asTerm unfolding skel-def
  using rel skel-asTerm-qSkel
  by simp (smt (verit) qGood-iff-good-asTerm skel-asTerm-qSkel)
next
  case (Var xs x)
  then show ?case using var unfolding Var-def by simp
next
  case (Op delta qinp qbinp)
  hence good-qinp: qGoodInp qinp ∧ qGoodBinp qbinp
  unfolding qGoodInp-def qGoodBinp-def liftAll-def by simp
  let ?inp = asInp qinp let ?binp = asBinp qbinp
  have good-inp: goodInp ?inp ∧ goodBinp ?binp
  using good-qinp qGoodInp-iff-goodInp-asInp qGoodBinp-iff-goodBinp-asBinp by
  auto
  have 1: Op delta ?inp ?binp = asTerm (qOp delta qinp qbinp)
  using good-qinp Op-asInp-asTerm-qOp by fastforce
  {fix i X
    assume inp: ?inp i = Some X
    then obtain qX where qinp: qinp i = Some qX and X: X = asTerm qX
    unfolding asInp-def lift-def by(cases qinp i, auto)
    have qGood qX ∧ phi (asTerm qX) using qinp Op.IH by (simp add: liftAll-def)
    hence good X ∧ phi X unfolding X using qGood-iff-good-asTerm by auto
  }
moreover
{fix i A
  assume binp: ?binp i = Some A
  then obtain qA where qbinp: qbinp i = Some qA and A: A = asAbs qA
  unfolding asBinp-def lift-def by(cases qbinp i, auto)
  have qGoodAbs qA ∧ phiAbs (asAbs qA) using qbinp Op.IH by (simp add:
  liftAll-def)
  hence goodAbs A ∧ phiAbs A unfolding A using qGoodAbs-iff-goodAbs-asAbs
  by auto
}
ultimately show ?case
using op[of ?inp ?binp delta] good-inp unfolding 1 liftAll-def by simp
next
  case (Abs xs x qX)
  have good (asTerm qX) using <qGood qX> qGood-iff-good-asTerm by auto
  moreover
  {fix Y assume *: (asTerm qX, Y) ∈ rel
    obtain qY where qY: qY = pick Y by blast

```

```

have good (asTerm qX) using <qGood qX> qGood-iff-good-asTerm by auto
hence good Y using * rel by auto
hence Y: Y = asTerm qY unfolding qY using asTerm-pick by auto
have phi Y using * Abs.IH unfolding Y by simp
}
ultimately have phiAbs (Abs xs x (asTerm qX)) using abs by simp
thus ?case using <qGood qX> Abs-asTerm-asAbs-qAbs by fastforce
qed

ultimately show ?thesis by blast
qed

lemma term-rawInduct[case-names Var Op Abs]:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
      A::('index,'bindex,'varSort,'var,'opSym)abs and phi phiAbs
assumes
  Var:  $\bigwedge xs x. \text{phi} (\text{Var} xs x)$  and
  Op:  $\bigwedge \text{delta} \text{ inp} \text{ binp}. \llbracket \text{goodInp} \text{ inp}; \text{goodBinp} \text{ binp}; \text{liftAll} \text{ phi} \text{ inp}; \text{liftAll} \text{ phiAbs} \text{ binp} \rrbracket$ 
         $\implies \text{phi} (\text{Op} \text{ delta} \text{ inp} \text{ binp})$  and
  Abs:  $\bigwedge xs x X. \llbracket \text{good} X; \text{phi} X \rrbracket \implies \text{phiAbs} (\text{Abs} xs x X)$ 
shows (good X  $\longrightarrow$  phi X)  $\wedge$  (goodAbs A  $\longrightarrow$  phiAbs A)
by(rule term-templateInduct[of Id], auto simp add: assms)

lemma term-induct[case-names Var Op Abs]:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
      A::('index,'bindex,'varSort,'var,'opSym)abs and phi phiAbs
assumes
  Var:  $\bigwedge xs x. \text{phi} (\text{Var} xs x)$  and
  Op:  $\bigwedge \text{delta} \text{ inp} \text{ binp}. \llbracket \text{goodInp} \text{ inp}; \text{goodBinp} \text{ binp}; \text{liftAll} \text{ phi} \text{ inp}; \text{liftAll} \text{ phiAbs} \text{ binp} \rrbracket$ 
         $\implies \text{phi} (\text{Op} \text{ delta} \text{ inp} \text{ binp})$  and
  Abs:  $\bigwedge xs x X. \llbracket \text{good} X;$ 
         $\bigwedge Y. (X, Y) \in \text{swapped} \implies \text{phi} Y;$ 
         $\bigwedge Y. \llbracket \text{good} Y; \text{skel} Y = \text{skel} X \rrbracket \implies \text{phi} Y \rrbracket$ 
         $\implies \text{phiAbs} (\text{Abs} xs x X)$ 
shows (good X  $\longrightarrow$  phi X)  $\wedge$  (goodAbs A  $\longrightarrow$  phiAbs A)
apply(induct rule: term-templateInduct[of swapped  $\cup$  {(X, Y). good Y  $\wedge$  skel Y = skel X}])
by(auto simp: assms swapped-skel swapped-preserves-good)

```

5.6.2 Fresh induction

First a general situation, where parameters are of an unspecified type (that should be given by the user):

```

lemma term-fresh-forall-induct[case-names PAR Var Op Abs]:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and A::('index,'bindex,'varSort,'var,'opSym)abs
and phi and phiAbs and varsOf :: 'param  $\Rightarrow$  'varSort  $\Rightarrow$  'var set

```

```

assumes
PAR:  $\bigwedge p \text{ xs. } ( |\text{varsOf xs } p| < o |\text{UNIV::}'\text{var set}| ) \text{ and}$ 
var:  $\bigwedge \text{xs } x \text{ p. } \text{phi} (\text{Var xs } x) \text{ p and}$ 
op:  $\bigwedge \text{delta inp binp p. }$ 
 $\llbracket \{i. \text{inp } i \neq \text{None}\} | < o |\text{UNIV::}'\text{var set}|; \{i. \text{binp } i \neq \text{None}\} | < o |\text{UNIV::}'\text{var set}|;$ 
 $\text{liftAll } (\lambda X. \text{good } X \wedge (\forall q. \text{phi } X \text{ p})) \text{ inp; liftAll } (\lambda A. \text{goodAbs } A \wedge (\forall q. \text{phiAbs } A \text{ p})) \text{ binp} \rrbracket$ 
 $\implies \text{phi} (\text{Op delta inp binp}) \text{ p and}$ 
abs:  $\bigwedge \text{xs } x \text{ X p. } \llbracket \text{good } X; x \notin \text{varsOf p xs; phi } X \text{ p} \rrbracket \implies \text{phiAbs} (\text{Abs xs x X}) \text{ p}$ 
shows  $(\text{good } X \rightarrow (\forall p. \text{phi } X \text{ p})) \wedge (\text{goodAbs } A \rightarrow (\forall p. \text{phiAbs } A \text{ p}))$ 
proof(induction rule: term-templateInduct[of swapped])
case ( $\text{Abs xs x X}$ )
show ?case proof safe
fix p
obtain  $x'$  where  $x'$ -freshP:  $x' \notin \text{varsOf p xs}$  and  $x'$ -fresh-X: fresh xs  $x' X$ 
using ⟨good X⟩ PAR obtain-fresh[of varsOf p xs {X} {} {} xs] by auto
then obtain  $X'$  where  $XX'$ :  $(X, X') \in \text{swapped}$  and good-X': good  $X'$  and
Abs-eq:  $\text{Abs xs x X} = \text{Abs xs } x' X'$ 
using ⟨good X⟩  $x'$ -freshP  $x'$ -fresh-X using obtain-rep[of X xs  $x' x$ ] by auto
thus phiAbs ( $\text{Abs xs x X}$ ) p
unfolding Abs-eq using  $x'$ -freshP good-X' abs Abs.IH by simp
qed
qed(insert assms swapped-preserves-good swapped-skel,
unfold liftAll-def goodInp-def goodBinp-def, auto)

```

```

lemma term-templateInduct-fresh[case-names PAR Var Op Abs]:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs and
rel and phi and phiAbs and
vars :: 'varSort  $\Rightarrow$  'var set and
terms :: ('index,'bindex,'varSort,'var,'opSym)term set and
abs :: ('index,'bindex,'varSort,'var,'opSym)abs set and
envs :: ('index,'bindex,'varSort,'var,'opSym)env set
assumes
PAR:
 $\bigwedge \text{xs. }$ 
 $( |\text{vars xs}| < o |\text{UNIV :: } '\text{var set}| \vee \text{finite } (\text{vars xs})) \wedge$ 
 $( |\text{terms}| < o |\text{UNIV :: } '\text{var set}| \vee \text{finite terms}) \wedge (\forall X \in \text{terms. good } X) \wedge$ 
 $( |\text{abs}| < o |\text{UNIV :: } '\text{var set}| \vee \text{finite abs}) \wedge (\forall A \in \text{abs. goodAbs } A) \wedge$ 
 $( |\text{envs}| < o |\text{UNIV :: } '\text{var set}| \vee \text{finite envs}) \wedge (\forall rho \in \text{envs. goodEnv } rho) \text{ and}$ 
rel:  $\bigwedge X Y. \llbracket \text{good } X; (X, Y) \in \text{rel} \rrbracket \implies \text{good } Y \wedge \text{skel } Y = \text{skel } X \text{ and}$ 
Var:  $\bigwedge \text{xs } x. \text{phi} (\text{Var xs } x) \text{ and}$ 
Op:
 $\bigwedge \text{delta inp binp. }$ 
 $\llbracket \text{goodInp inp; goodBinp binp; }$ 
 $\text{liftAll phi inp; liftAll phiAbs binp} \rrbracket$ 
 $\implies \text{phi} (\text{Op delta inp binp}) \text{ and}$ 

```

abs:

$$\begin{aligned} & \bigwedge xs\ x\ X. \\ & \quad \llbracket \text{good } X; \\ & \quad x \notin \text{vars } xs; \\ & \quad \bigwedge Y. Y \in \text{terms} \implies \text{fresh } xs\ x\ Y; \\ & \quad \bigwedge A. A \in \text{abs} \implies \text{freshAbs } xs\ x\ A; \\ & \quad \bigwedge rho. rho \in \text{envs} \implies \text{freshEnv } xs\ x\ rho; \\ & \quad \bigwedge Y. (X, Y) \in \text{rel} \implies \text{phi } Y \rrbracket \\ & \implies \text{phiAbs } (\text{Abs } xs\ x\ X) \end{aligned}$$

shows

$$\begin{aligned} & (\text{good } X \longrightarrow \text{phi } X) \wedge \\ & (\text{goodAbs } A \longrightarrow \text{phiAbs } A) \end{aligned}$$

proof(induction rule: term-templateInduct[of swapped O rel])

$$\begin{aligned} & \text{case } (\text{Abs } xs\ x\ X) \text{ note } \text{good-}X = \langle \text{good } X \rangle \\ & \text{have } |\{X\} \cup \text{terms}| < o \mid \text{UNIV} :: \text{'var set'} \vee \text{finite } (\{X\} \cup \text{terms}) \\ & \text{apply(cases finite terms, auto simp add: PAR)} \\ & \text{using PAR var-infinite-INNER card-of-Un-singl-ordLess-infinite by force} \\ & \text{then obtain } x' \text{ where } x'\text{-not: } x' \notin \text{vars } xs \text{ and} \\ & \quad x'\text{-fresh-X: fresh } xs\ x'\ X \text{ and} \\ & \quad x'\text{-freshP: } (\forall Y \in \text{terms}. \text{fresh } xs\ x'\ Y) \wedge \\ & \quad (\forall A \in \text{abs}. \text{freshAbs } xs\ x'\ A) \wedge \\ & \quad (\forall rho \in \text{envs}. \text{freshEnv } xs\ x'\ rho) \\ & \text{using good-}X \text{ PAR} \\ & \text{using obtain-fresh[of vars } xs\ \{X\} \cup \text{terms abs envs } xs\] \text{ by auto} \\ & \text{then obtain } X' \text{ where } XX': (X, X') \in \text{swapped} \text{ and } \text{good-}X': \text{good } X' \text{ and} \\ & \quad \text{Abs-eq: Abs } xs\ x\ X = \text{Abs } xs\ x'\ X' \\ & \text{using good-}X\ x'\text{-not } x'\text{-fresh-X using obtain-rep[of } X\ xs\ x'\ x\] \text{ by auto} \\ & \text{have } \bigwedge Y. (X', Y) \in \text{rel} \implies \text{phi } Y \text{ using } XX' \text{ Abs.IH by auto} \\ & \text{thus ?case} \\ & \quad \text{unfolding Abs-eq using } x'\text{-not } x'\text{-freshP good-}X' \text{ abs by auto} \\ & \text{qed(insert Op rel, unfold relcomp-unfold liftAll-def, simp-all add: Var,} \\ & \quad \text{metis rel swapped-preserves-good swapped-skel}) \end{aligned}$$

A version of the above not employing any relation for the bound-argument case:

lemma term-rawInduct-fresh[case-names Par Var Op Obs]:

fixes $X::('index,'bindex,'varSort,'var,'opSym)term$ **and**

$$\begin{aligned} & A::('index,'bindex,'varSort,'var,'opSym)abs \text{ and} \\ & \text{vars :: 'varSort} \Rightarrow \text{'var set and} \\ & \text{terms :: ('index,'bindex,'varSort,'var,'opSym)term set and} \\ & \text{abs :: ('index,'bindex,'varSort,'var,'opSym)abs set and} \\ & \text{envs :: ('index,'bindex,'varSort,'var,'opSym)env set} \end{aligned}$$

assumes

PAR:

$$\begin{aligned} & \bigwedge xs. \\ & \quad (|\text{vars } xs| < o \mid \text{UNIV} :: \text{'var set'} \vee \text{finite } (\text{vars } xs)) \wedge \\ & \quad (|\text{terms}| < o \mid \text{UNIV} :: \text{'var set'} \vee \text{finite terms}) \wedge (\forall X \in \text{terms}. \text{good } X) \wedge \\ & \quad (|\text{abs}| < o \mid \text{UNIV} :: \text{'var set'} \vee \text{finite abs}) \wedge (\forall A \in \text{abs}. \text{goodAbs } A) \wedge \\ & \quad (|\text{envs}| < o \mid \text{UNIV} :: \text{'var set'} \vee \text{finite envs}) \wedge (\forall rho \in \text{envs}. \text{goodEnv } rho) \text{ and} \end{aligned}$$

```

Var:  $\bigwedge xs x. \text{phi} (\text{Var} xs x)$  and
Op:
 $\bigwedge \delta \text{ delta } \text{inp } \text{binp}.$ 
   $\llbracket \text{goodInp } \text{inp}; \text{goodBinp } \text{binp};$ 
   $\text{liftAll } \text{phi } \text{inp}; \text{liftAll } \text{phiAbs } \text{binp} \rrbracket$ 
   $\implies \text{phi} (\text{Op} \delta \text{ delta } \text{inp } \text{binp})$  and
Abs:
 $\bigwedge xs x X.$ 
   $\llbracket \text{good } X;$ 
   $x \notin \text{vars } xs;$ 
   $\bigwedge Y. Y \in \text{terms} \implies \text{fresh } xs x Y;$ 
   $\bigwedge A. A \in \text{abs} \implies \text{freshAbs } xs x A;$ 
   $\bigwedge \rho. \rho \in \text{envs} \implies \text{freshEnv } xs x \rho;$ 
   $\text{phi } X \rrbracket$ 
   $\implies \text{phiAbs} (\text{Abs } xs x X)$ 
shows
 $(\text{good } X \longrightarrow \text{phi } X) \wedge$ 
 $(\text{goodAbs } A \longrightarrow \text{phiAbs } A)$ 
apply(induct rule: term-templateInduct-fresh[of vars terms abs envs Id])
using assms by auto

```

The typical raw induction with freshness is one dealing with finitely many variables, terms, abstractions and environments as parameters – we have all these condensed in the notion of a parameter (type constructor “param”):

```

lemma term-induct-fresh[case-names Par Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)term and
          A :: ('index,'bindex,'varSort,'var,'opSym)abs and
          P :: ('index,'bindex,'varSort,'var,'opSym)param
assumes
  goodP: goodPar P and
  Var:  $\bigwedge xs x. \text{phi} (\text{Var} xs x)$  and
Op:
 $\bigwedge \delta \text{ delta } \text{inp } \text{binp}.$ 
   $\llbracket \text{goodInp } \text{inp}; \text{goodBinp } \text{binp};$ 
   $\text{liftAll } \text{phi } \text{inp}; \text{liftAll } \text{phiAbs } \text{binp} \rrbracket$ 
   $\implies \text{phi} (\text{Op} \delta \text{ delta } \text{inp } \text{binp})$  and
Abs:
 $\bigwedge xs x X.$ 
   $\llbracket \text{good } X;$ 
   $x \notin \text{varsOf } P;$ 
   $\bigwedge Y. Y \in \text{termsOf } P \implies \text{fresh } xs x Y;$ 
   $\bigwedge A. A \in \text{absOf } P \implies \text{freshAbs } xs x A;$ 
   $\bigwedge \rho. \rho \in \text{envsOf } P \implies \text{freshEnv } xs x \rho;$ 
   $\text{phi } X \rrbracket$ 
   $\implies \text{phiAbs} (\text{Abs } xs x X)$ 
shows
 $(\text{good } X \longrightarrow \text{phi } X) \wedge$ 
 $(\text{goodAbs } A \longrightarrow \text{phiAbs } A)$ 
proof(induct rule: term-rawInduct-fresh

```

```

[of  $\lambda$  xs. varsOf P termsOf P absOf P envsOf P]
case (Par xs)
then show ?case unfolding goodPar-def
using goodP by(cases P) simp
qed(insert assms, auto)

end

end

```

6 More on Terms

```

theory Terms imports Transition-QuasiTerms-Terms
begin

```

In this section, we continue the study of terms, with stating and proving properties specific to terms (while in the previous section we dealt with lifting properties from quasi-terms). Consequently, in this theory, not only the theorems, but neither the proofs should mention quasi-items at all. Among the properties specific to terms will be the compositionality properties of substitution (while, by contrast, similar properties of swapping also held for quasi-tems).

```

context FixVars
begin

```

```

declare qItem-simps[simp del]
declare qItem-versus-item-simps[simp del]

```

6.1 Identity environment versus other operators

```

theorem getEnv-updEnv-idEnv[simp]:
(idEnv [x  $\leftarrow$  X]-xs) ys y = (if (ys = xs  $\wedge$  y = x) then Some X else None)
unfolding idEnv-def updEnv-def by simp

```

```

theorem subst-psubst-idEnv:
(X # [Y / y]-ys) = (X # [idEnv [y  $\leftarrow$  Y]-ys])
unfolding subst-def idEnv-def updEnv-def psubst-def by simp

```

```

theorem vsubst-psubst-idEnv:
(X # [z // y]-ys) = (X # [idEnv [y  $\leftarrow$  Var ys z]-ys])
unfolding vsubst-def by (simp add: subst-psubst-idEnv)

```

```

theorem substEnv-psubstEnv-idEnv:
(rho & [Y / y]-ys) = (rho & [idEnv [y  $\leftarrow$  Y]-ys])
unfolding substEnv-def idEnv-def updEnv-def psubstEnv-def by simp

```

```

theorem vsubstEnv-psubstEnv-idEnv:
(rho & [z // y]-ys) = (rho & [idEnv [y  $\leftarrow$  Var ys z]-ys])

```

```

unfolding vsubstEnv-def by (simp add: substEnv-psubstEnv-idEnv)

theorem freshEnv-idEnv: freshEnv xs x idEnv
unfolding idEnv-def freshEnv-def liftAll-def by simp

theorem swapEnv-idEnv[simp]: (idEnv &[x ∧ y]-xs) = idEnv
unfolding idEnv-def swapEnv-def comp-def swapEnvDom-def swapEnvIm-def lift-def
by simp

theorem psubstEnv-idEnv[simp]: (idEnv &[rho]) = rho
unfolding idEnv-def psubstEnv-def lift-def by simp

theorem substEnv-idEnv: (idEnv &[X / x]-xs) = (idEnv [x ← X]-xs)
unfolding substEnv-def using psubstEnv-idEnv by auto

theorem vsubstEnv-idEnv: (idEnv &[y // x]-xs) = (idEnv [x ← (Var xs y)]-xs)
unfolding vsubstEnv-def using substEnv-idEnv .

lemma psubstAll-idEnv:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs
shows
(good X → (X #[idEnv]) = X) ∧
(goodAbs A → (A $[idEnv]) = A)
apply(induct rule: term-rawInduct)
unfolding psubstInp-def psubstBinp-def
using idEnv-preserves-good psubst-Var-simp1
by (simp-all del: getEnv-idEnv add:
liftAll-lift-ext lift-ident freshEnv-idEnv psubstBinp-def psubstInp-def)
fastforce+

lemma psubst-idEnv[simp]:
good X ==> (X #[idEnv]) = X
by(simp add: psubstAll-idEnv)

lemma psubstEnv-idEnv-id[simp]:
assumes goodEnv rho
shows (rho &[idEnv]) = rho
using assms unfolding psubstEnv-def lift-def goodEnv-def liftAll-def
apply(intro ext) subgoal for xs x by(cases rho xs x) auto .

```

6.2 Environment update versus other operators

```

theorem updEnv-overwrite[simp]: ((rho [x ← X]-xs) [x ← X']-xs) = (rho [x ←
X']-xs)
unfolding updEnv-def by fastforce

theorem updEnv-commute:
assumes xs ≠ ys ∨ x ≠ y

```

shows $((\rho [x \leftarrow X]-xs) [y \leftarrow Y]-ys) = ((\rho [y \leftarrow Y]-ys) [x \leftarrow X]-xs)$
using assms unfolding updEnv-def by fastforce

theorem *freshEnv-updEnv-E1*:

assumes $\text{freshEnv } xs \ y \ (\rho [x \leftarrow X]-xs)$

shows $y \neq x$

using assms unfolding freshEnv-def liftAll-def updEnv-def by auto

theorem *freshEnv-updEnv-E2*:

assumes $\text{freshEnv } ys \ y \ (\rho [x \leftarrow X]-xs)$

shows $\text{fresh } ys \ y \ X$

using assms unfolding freshEnv-def liftAll-def updEnv-def by (auto split: if-splits)

theorem *freshEnv-updEnv-E3*:

assumes $\text{freshEnv } ys \ y \ (\rho [x \leftarrow X]-xs)$

shows $\rho ys y = \text{None}$

using assms freshEnv-updEnv-E1[of ys y] unfolding freshEnv-def by (metis getEnv-updEnv option.simps(3))

theorem *freshEnv-updEnv-E4*:

assumes $\text{freshEnv } ys \ y \ (\rho [x \leftarrow X]-xs)$

and $zs \neq xs \vee z \neq x \text{ and } \rho zs z = \text{Some } Z$

shows $\text{fresh } ys \ y \ Z$

using assms unfolding freshEnv-def liftAll-def by (metis getEnv-updEnv1)

theorem *freshEnv-updEnv-I*:

assumes $ys \neq xs \vee y \neq x \text{ and } \text{fresh } ys \ y \ X \text{ and } \rho ys y = \text{None}$

and $\bigwedge zs z Z. [zs \neq xs \vee z \neq x; \rho zs z = \text{Some } Z] \implies \text{fresh } ys \ y \ Z$

shows $\text{freshEnv } ys \ y \ (\rho [x \leftarrow X]-xs)$

unfolding *freshEnv-def liftAll-def*

using assms by auto

theorem *swapEnv-updEnv*:

$((\rho [x \leftarrow X]-xs) \ \& [y1 \wedge y2]-ys) =$

$((\rho \ \& [y1 \wedge y2]-ys) [(x @xs[y1 \wedge y2]-ys) \leftarrow (X \ # [y1 \wedge y2]-ys)]-xs)$

unfolding *swapEnv-defs sw-def lift-def*

by(cases xs = ys) fastforce+

lemma *swapEnv-updEnv-fresh*:

assumes $ys \neq xs \vee x \notin \{y1, y2\} \text{ and good } X$

and $\text{fresh } ys \ y1 \ X \text{ and } \text{fresh } ys \ y2 \ X$

shows $((\rho [x \leftarrow X]-xs) \ \& [y1 \wedge y2]-ys) =$

$((\rho \ \& [y1 \wedge y2]-ys) [x \leftarrow X]-xs)$

using assms by(simp add: swapEnv-updEnv)

theorem *psubstEnv-updEnv*:

$((\rho [x \leftarrow X]-xs) \ \& [\rho']) = ((\rho \ \& [\rho']) [x \leftarrow (X \ # [\rho'])]-xs)$

unfolding *psubstEnv-def* **by** *fastforce*

theorem *psubstEnv-updEnv-idEnv*:

((*idEnv* [x \leftarrow X]-*xs*) &[*rho*]) = (*rho* [x \leftarrow (X #[*rho*])-*xs*])
by(*simp add*: *psubstEnv-updEnv*)

theorem *substEnv-updEnv*:

((*rho* [x \leftarrow X]-*xs*) &[Y / y]-*ys*) = ((*rho* &[Y / y]-*ys*) [x \leftarrow (X #[Y / y]-*ys*)]-*xs*)
unfolding *substEnv-def subst-def* **by**(*rule psubstEnv-updEnv*)

theorem *vsubstEnv-updEnv*:

((*rho* [x \leftarrow X]-*xs*) &[y1 // y]-*ys*) = ((*rho* &[y1 // y]-*ys*) [x \leftarrow (X #[y1 // y]-*ys*)]-*xs*)

unfolding *vsubstEnv-def vsubst-def* **using** *substEnv-updEnv*.

6.3 Environment “get” versus other operators

Currently, “get” is just function application. While the next properties are immediate consequences of the definitions, it is worth stating them because of their abstract character (since later, concrete terms inferred from abstract terms by a presumptive package, “get” will no longer be function application).

theorem *getEnv-ext*:

assumes $\bigwedge xs x. rho\ xs\ x = rho'\ xs\ x$

shows *rho* = *rho'*

using assms **by**(*simp add*: *ext*)

theorem *freshEnv-getEnv1[simp]*:

[*freshEnv ys y rho; rho xs x = Some X*] \implies *ys* \neq *xs* \vee *y* \neq *x*

unfolding *freshEnv-def* **by** *auto*

theorem *freshEnv-getEnv2[simp]*:

[*freshEnv ys y rho; rho xs x = Some X*] \implies *fresh ys y X*

unfolding *freshEnv-def liftAll-def* **by** *simp*

theorem *freshEnv-getEnv[simp]*:

freshEnv ys y rho \implies *rho ys y = None*

unfolding *freshEnv-def* **by** *simp*

theorem *getEnv-swapEnv1[simp]*:

assumes *rho xs (x @xs [z1 \wedge z2]-zs) = None*

shows (*rho* &[z1 \wedge z2]-*zs*) *xs x = None*

using assms unfolding swapEnv-defs lift-def **by** *simp*

theorem *getEnv-swapEnv2[simp]*:

assumes *rho xs (x @xs [z1 \wedge z2]-zs) = Some X*

shows (*rho* &[z1 \wedge z2]-*zs*) *xs x = Some (X #[z1 \wedge z2]-zs)*

using assms unfolding swapEnv-defs lift-def **by** *simp*

```

theorem getEnv-psubstEnv-None[simp]:
assumes rho xs x = None
shows (rho &[rho']) xs x = rho' xs x
using assms unfolding psubstEnv-def by simp

theorem getEnv-psubstEnv-Some[simp]:
assumes rho xs x = Some X
shows (rho &[rho']) xs x = Some (X #[rho'])
using assms unfolding psubstEnv-def by simp

theorem getEnv-substEnv1[simp]:
assumes ys ≠ xs ∨ y ≠ x and rho xs x = None
shows (rho &[Y / y]-ys) xs x = None
using assms unfolding substEnv-def2 by auto

theorem getEnv-substEnv2[simp]:
assumes ys ≠ xs ∨ y ≠ x and rho xs x = Some X
shows (rho &[Y / y]-ys) xs x = Some (X #[Y / y]-ys)
using assms unfolding substEnv-def2 by auto

theorem getEnv-substEnv3[simp]:
 $\llbracket ys \neq xs \vee y \neq x; freshEnv xs x rho \rrbracket$ 
 $\implies (\rho \& [Y / y]-ys) xs x = None$ 
using getEnv-substEnv1 by auto

theorem getEnv-substEnv4[simp]:
freshEnv ys y rho  $\implies (\rho \& [Y / y]-ys) ys y = Some Y$ 
unfolding substEnv-psubstEnv-idEnv by simp

theorem getEnv-vsubstEnv1[simp]:
assumes ys ≠ xs ∨ y ≠ x and rho xs x = None
shows (rho &[y1 // y]-ys) xs x = None
using assms unfolding vsubstEnv-def by auto

theorem getEnv-vsubstEnv2[simp]:
assumes ys ≠ xs ∨ y ≠ x and rho xs x = Some X
shows (rho &[y1 // y]-ys) xs x = Some (X #[y1 // y]-ys)
using assms unfolding vsubstEnv-def vsubst-def by auto

theorem getEnv-vsubstEnv3[simp]:
 $\llbracket ys \neq xs \vee y \neq x; freshEnv xs x rho \rrbracket$ 
 $\implies (\rho \& [z // y]-ys) xs x = None$ 
using getEnv-vsubstEnv1 by auto

theorem getEnv-vsubstEnv4[simp]:
freshEnv ys y rho  $\implies (\rho \& [z // y]-ys) ys y = Some (Var ys z)$ 
unfolding vsubstEnv-psubstEnv-idEnv by simp

```

6.4 Substitution versus other operators

```

definition freshImEnvAt ::  

  'varSort  $\Rightarrow$  'var  $\Rightarrow$  ('index,'bindx,'varSort,'var,'opSym)env  $\Rightarrow$  'varSort  $\Rightarrow$  'var  

   $\Rightarrow$  bool  

where  

  freshImEnvAt xs x rho ys y ===  

  rho ys y = None  $\wedge$  (ys  $\neq$  xs  $\vee$  y  $\neq$  x)  $\vee$   

  ( $\exists$  Y. rho ys y = Some Y  $\wedge$  fresh xs x Y)

lemma freshAll-psubstAll:  

  fixes X::('index,'bindx,'varSort,'var,'opSym)term and  

    A::('index,'bindx,'varSort,'var,'opSym)abs and  

    P::('index,'bindx,'varSort,'var,'opSym)param and x  

  assumes goodP: goodPar P
  shows
    (good X  $\longrightarrow$  z  $\in$  varsOf P  $\longrightarrow$ 
     ( $\forall$  rho  $\in$  envsOf P.  

      fresh zs z (X #[rho]) =  

      ( $\forall$  ys.  $\forall$  y. fresh ys y X  $\vee$  freshImEnvAt zs z rho ys y)))  

     $\wedge$   

    (goodAbs A  $\longrightarrow$  z  $\in$  varsOf P  $\longrightarrow$ 
     ( $\forall$  rho  $\in$  envsOf P.  

      freshAbs zs z (A $[rho]) =  

      ( $\forall$  ys.  $\forall$  y. freshAbs ys y A  $\vee$  freshImEnvAt zs z rho ys y)))  

  proof(induction rule: term-induct-fresh[of P])
  case Par
  then show ?case using goodP by simp
  next
  case (Var ys y)
  thus ?case proof clarify
    fix rho
    assume r: rho  $\in$  envsOf P
    hence g: goodEnv rho using goodP by simp
    thus fresh zs z (psubst rho (Var ys y)) =  

      ( $\forall$  ysa ya. fresh ysa ya (Var ys y)  $\vee$  freshImEnvAt zs z rho ysa ya)
    unfolding freshImEnvAt-def
    by(cases ys = zs  $\wedge$  y = z, (cases rho ys y, auto)+)
  qed
  next
  case (Op delta inp binp)
  show ?case proof clarify
    fix rho
    assume P: z  $\in$  varsOf P rho  $\in$  envsOf P
    let ?L1 = liftAll (fresh zs z o psubst rho) inp
    let ?L2 = liftAll (freshAbs zs z o psubstAbs rho) binp
    let ?R1 = %ys y. liftAll (fresh ys y) inp
    let ?R2 = %ys y. liftAll (freshAbs ys y) binp
    let ?R3 = %ys y. freshImEnvAt zs z rho ys y
    have (?L1  $\wedge$  ?L2) = ( $\forall$  ys y. ?R1 ys y  $\wedge$  ?R2 ys y  $\vee$  ?R3 ys y)
  
```

```

using Op.IH P unfolding liftAll-def by simp blast
thus fresh zs z ((Op delta inp binp) #[rho]) =
  ( $\forall$  ys y. fresh ys y (Op delta inp binp)  $\vee$  freshImEnvAt zs z rho ys y)
  by (metis (no-types, lifting) Op.hyps(1) Op.hyps(2) P(2) envsOf-preserves-good
freshBinp-def freshInp-def fresh-Op-simp goodP liftAll-lift-comp psubstBinp-def psub-
stBinp-preserves-good
  psubstInp-def psubstInp-preserves-good psubst-Op-simp)
qed
next
case (Abs xs x X)
thus ?case
  using goodP by simp (metis (full-types) freshEnv-def freshImEnvAt-def)
qed

corollary fresh-psubst:
assumes good X and goodEnv rho
shows
fresh zs z (X #[rho]) =
( $\forall$  ys y. fresh ys y X  $\vee$  freshImEnvAt zs z rho ys y)
using assms freshAll-psubstAll[of Par [z] [] [] [rho]]
unfolding goodPar-def by simp

corollary fresh-psubst-E1:
assumes good X and goodEnv rho
and rho ys y = None and fresh zs z (X #[rho])
shows fresh ys y X  $\vee$  (ys  $\neq$  zs  $\vee$  y  $\neq$  z)
using assms fresh-psubst unfolding freshImEnvAt-def by fastforce

corollary fresh-psubst-E2:
assumes good X and goodEnv rho
and rho ys y = Some Y and fresh zs z (X #[rho])
shows fresh ys y X  $\vee$  fresh zs z Y
using assms fresh-psubst[of X rho] unfolding freshImEnvAt-def by fastforce

corollary fresh-psubst-I1:
assumes good X and goodEnv rho
and fresh zs z X and freshEnv zs z rho
shows fresh zs z (X #[rho])
using assms apply(simp add: fresh-psubst)
unfolding freshEnv-def liftAll-def freshImEnvAt-def by auto

corollary psubstEnv-preserves-freshEnv:
assumes good: goodEnv rho goodEnv rho'
and fresh: freshEnv zs z rho freshEnv zs z rho'
shows freshEnv zs z (rho &[rho'])
using assms unfolding freshEnv-def liftAll-def
by (smt (verit, del-insts) fresh(2) fresh-psubst-I1 getEnv-preserves-good getEnv-psubstEnv-None
getEnv-psubstEnv-Some not-None-eq option.inject)

```

```

corollary fresh-psubst-I:
assumes good X and goodEnv rho
and rho zs z = None  $\implies$  fresh zs z X and
 $\wedge ys y. rho ys y = Some Y \implies fresh ys y X \vee fresh zs z Y$ 
shows fresh zs z (X #[rho])
using assms unfolding freshImEnvAt-def
by (simp add: fresh-psubst) (metis freshImEnvAt-def not-None-eq)

lemma fresh-subst:
assumes good X and good Y
shows fresh zs z (X #[Y / y]-ys) =
 $((zs = ys \wedge z = y) \vee fresh zs z X) \wedge (fresh ys y X \vee fresh zs z Y))$ 
using assms unfolding subst-def freshImEnvAt-def
by (simp add: fresh-psubst)
(metis (no-types, lifting) freshImEnvAt-def fresh-psubst fresh-psubst-E2
getEnv-updEnv-idEnv idEnv-preserves-good option.simps(3) updEnv-preserves-good)

lemma fresh-vsubst:
assumes good X
shows fresh zs z (X #[y1 // y]-ys) =
 $((zs = ys \wedge z = y) \vee fresh zs z X) \wedge (fresh ys y X \vee (zs \neq ys \vee z \neq y1))$ 
unfolding vsubst-def using assms by(auto simp: fresh-subst)

lemma subst-preserves-fresh:
assumes good X and good Y
and fresh zs z X and fresh zs z Y
shows fresh zs z (X #[Y / y]-ys)
using assms by(simp add: fresh-subst)

lemma substEnv-preserves-freshEnv-aux:
assumes rho: goodEnv rho and Y: good Y
and fresh-rho: freshEnv zs z rho and fresh-Y: fresh zs z Y and diff: zs \neq ys \vee z \neq y
shows freshEnv zs z (rho &[Y / y]-ys)
using assms unfolding freshEnv-def liftAll-def
by (simp add: option.case-eq-if substEnv-def2 subst-preserves-fresh)

lemma substEnv-preserves-freshEnv:
assumes rho: goodEnv rho and Y: good Y
and fresh-rho: freshEnv zs z rho and fresh-Y: fresh zs z Y and diff: zs \neq ys \vee z \neq y
shows freshEnv zs z (rho &[Y / y]-ys)
using assms by(simp add: substEnv-preserves-freshEnv-aux)

lemma vsubst-preserves-fresh:
assumes good X
and fresh zs z X and zs \neq ys \vee z \neq y1
shows fresh zs z (X #[y1 // y]-ys)
using assms by(simp add: fresh-vsubst)

```

```

lemma vsubstEnv-preserves-freshEnv:
assumes rho: goodEnv rho
and fresh-rho: freshEnv zs z rho and diff: zs ≠ ys ∨ z ∉ {y,y1}
shows freshEnv zs z (rho &[y1 // y]-ys)
using assms unfolding vsubstEnv-def
by(simp add: substEnv-preserves-freshEnv)

lemma fresh-fresh-subst[simp]:
assumes good Y and good X
and fresh ys y Y
shows fresh ys y (X #[Y / y]-ys)
using assms by(simp add: fresh-subst)

lemma diff-fresh-vsubst[simp]:
assumes good X
and y ≠ y1
shows fresh ys y (X #[y1 // y]-ys)
using assms by(simp add: fresh-vsubst)

lemma fresh-subst-E1:
assumes good X and good Y
and fresh zs z (X #[Y / y]-ys) and zs ≠ ys ∨ z ≠ y
shows fresh zs z X
using assms by(auto simp add: fresh-subst)

lemma fresh-vsubst-E1:
assumes good X
and fresh zs z (X #[y1 // y]-ys) and zs ≠ ys ∨ z ≠ y
shows fresh zs z X
using assms by(auto simp add: fresh-vsubst)

lemma fresh-subst-E2:
assumes good X and good Y
and fresh zs z (X #[Y / y]-ys)
shows fresh ys y X ∨ fresh zs z Y
using assms by(simp add: fresh-subst)

lemma fresh-vsubst-E2:
assumes good X
and fresh zs z (X #[y1 // y]-ys)
shows fresh ys y X ∨ zs ≠ ys ∨ z ≠ y1
using assms by(simp add: fresh-vsubst)

lemma psubstAll-cong:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs and
P::('index,'bindex,'varSort,'var,'opSym)param
assumes goodP: goodPar P

```

```

shows
 $(good X \rightarrow$ 
 $(\forall rho rho'. \{rho, rho'\} \subseteq \text{envsOf } P \rightarrow$ 
 $(\forall ys. \forall y. \text{fresh } ys y X \vee rho ys y = rho' ys y) \rightarrow$ 
 $(X \#[rho]) = (X \#[rho'])))$ 
 $\wedge$ 
 $(goodAbs A \rightarrow$ 
 $(\forall rho rho'. \{rho, rho'\} \subseteq \text{envsOf } P \rightarrow$ 
 $(\forall ys. \forall y. \text{freshAbs } ys y A \vee rho ys y = rho' ys y) \rightarrow$ 
 $(A \$[rho]) = (A \$[rho'])))$ 
proof(induction rule: term-induct-fresh[of P])
case Par
then show ?case using assms .
next
case (Var xs x)
then show ?case using goodP by (auto simp: psubst-Var)
next
case (Op delta inp binp)
show ?case proof clarify
fix rho rho'
assume envs: {rho, rho'} ⊆ envsOf P
hence goodEnv: goodEnv rho ∧ goodEnv rho' using goodP by simp
assume ∀ ys y. fresh ys y (Op delta inp binp) ∨ rho ys y = rho' ys y
hence 1: liftAll (λ X. ∀ ys y. fresh ys y X ∨ rho ys y = rho' ys y) inp ∧
liftAll (λ A. ∀ ys y. freshAbs ys y A ∨ rho ys y = rho' ys y) binp
using Op by simp (smt (verit) freshBinp-def freshInp-def liftAll-def)
have liftAll (λ X. (X #[rho]) = (X #[rho']))) inp ∧
liftAll (λ A. (A \$[rho]) = (A \$[rho']))) binp
using Op.IH 1 envs by (auto simp: liftAll-def)
thus (Op delta inp binp) #[rho] = (Op delta inp binp) #[rho']
using Op.IH 1
by (simp add: Op.hyps goodEnv psubstBinp-def psubstInp-def liftAll-lift-ext)
qed
next
case (Abs xs x X)
thus ?case
using Abs goodP unfolding freshEnv-def liftAll-def
by simp (metis Abs.hyps(5) envsOf-preserves-good psubstAbs-simp)
qed

corollary psubst-cong[fundef-cong]:
assumes good X and goodEnv rho and goodEnv rho'
and ∃ ys y. fresh ys y X ∨ rho ys y = rho' ys y
shows (X #[rho]) = (X #[rho'])
using assms psubstAll-cong[of Par [] [] [] [rho,rho']]
unfolding goodPar-def by simp

```

```

lemma fresh-psubst-updEnv:
assumes good X and good Y and goodEnv rho
and fresh xs x Y
shows (Y #[\rho] [x ← X]-xs) = (Y #[\rho])
using assms by (auto cong: psubst-cong)

lemma psubstAll-ident:
fixes X :: ('index,'bindx,'varSort,'var,'opSym)term and
A :: ('index,'bindx,'varSort,'var,'opSym)abs and
P :: ('index,'bindx,'varSort,'var,'opSym) Transition-QuasiTerms-Terms.param
assumes P: goodPar P
shows
(good X →
(∀ ρ ∈ envsOf P.
(∀ zs z. freshEnv zs z ρ ∨ fresh zs z X)
→ (X #[\rho]) = X))
^
(goodAbs A →
(∀ ρ ∈ envsOf P.
(∀ zs z. freshEnv zs z ρ ∨ freshAbs zs z A)
→ (A $[\rho]) = A))
proof(induction rule: term-induct-fresh)
case (Var xs x)
then show ?case
by (meson assms freshEnv-def fresh-Var-simp goodPar-def psubst-Var-simp1)
next
case (Op delta inp binp)
then show ?case
by (metis (no-types,lifting) Op-preserves-good assms envsOf-preserves-good
freshEnv-getEnv idEnv-def idEnv-preserves-good psubst-cong psubst-idEnv)
qed(insert P, fastforce+)

corollary freshEnv-psubst-ident[simp]:
fixes X :: ('index,'bindx,'varSort,'var,'opSym)term
assumes good X and goodEnv rho
and freshEnv zs z rho ∨ fresh zs z X
shows (X #[\rho]) = X
using assms psubstAll-ident[of Par [] [] [] [\rho]]
unfolding goodPar-def by simp

lemma fresh-subst-ident[simp]:
assumes good X and good Y and fresh xs x Y
shows (Y #[X / x]-xs) = Y
by (simp add: assms fresh-psubst-updEnv subst-def)

corollary substEnv-updEnv-fresh:
assumes good X and good Y and fresh ys y X
shows ((ρ [x ← X]-xs) &[Y / y-ys) = ((ρ &[Y / y-ys) [x ← X]-xs)
using assms by(simp add: substEnv-updEnv)

```

```

lemma fresh-substEnv-updEnv[simp]:
assumes rho: goodEnv rho and Y: good Y
and *: freshEnv ys y rho
shows (rho &[Y / y]-ys) = (rho [y ← Y]-ys)
apply (rule getEnv-ext)
subgoal for xs x using assms by (cases rho xs x) auto .

lemma fresh-vsubst-ident[simp]:
assumes good Y and fresh xs x Y
shows (Y #[x1 // x]-xs) = Y
using assms unfolding vsubst-def by simp

corollary vsubstEnv-updEnv-fresh:
assumes good X and fresh ys y X
shows ((rho [x ← X]-xs) &[y1 // y]-ys) = ((rho &[y1 // y]-ys) [x ← X]-xs)
using assms by(simp add: vsubstEnv-updEnv)

lemma fresh-vsubstEnv-updEnv[simp]:
assumes rho: goodEnv rho
and *: freshEnv ys y rho
shows (rho &[y1 // y]-ys) = (rho [y ← Var ys y1]-ys)
using assms unfolding vsubstEnv-def by simp

lemma swapAll-psubstAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs and
P::('index,'bindex,'varSort,'var,'opSym)param
assumes P: goodPar P
shows
(good X →
(∀ rho z1 z2. rho ∈ envsOf P ∧ {z1,z2} ⊆ varsOf P →
((X #[rho]) #[z1 ∧ z2]-zs) = ((X #[z1 ∧ z2]-zs) #[rho &[z1 ∧ z2]-zs])))
^
(goodAbs A →
(∀ rho z1 z2. rho ∈ envsOf P ∧ {z1,z2} ⊆ varsOf P →
((A $[rho]) $[z1 ∧ z2]-zs) = ((A $[z1 ∧ z2]-zs) $[rho &[z1 ∧ z2]-zs])))
)
proof(induction rule: term-induct-fresh[of P])
case (Var xs x)
then show ?case using assms
by simp (smt (verit) Var-preserves-good envsOf-preserves-good getEnv-swapEnv1
getEnv-swapEnv2 option.case-eq-if option.exhaust-sel psubst-Var psubst-Var-simp2
swapEnv-preserves-good
swap-Var-simp swap-involutive2 swap-sym)
next
case (Op delta inp binp)
then show ?case
using assms

```

```

unfolding psubstInp-def swapInp-def psubstBinp-def swapBinp-def lift-comp
unfolding liftAll-def lift-def
by simp (auto simp: lift-def psubstInp-def swapInp-def
      psubstBinp-def swapBinp-def split: option.splits)
qed(insert assms, auto)

lemma swap-psubst:
assumes good X and goodEnv rho
shows ((X #[rho]) #[z1 ∧ z2]-zs) = ((X #[z1 ∧ z2]-zs) #[rho &[z1 ∧ z2]-zs])
using assms swapAll-psubstAll[of Par [z1,z2] [] [] [rho]]
unfolding goodPar-def by auto

lemma swap-subst:
assumes good X and good Y
shows ((X #[Y / y]-ys) #[z1 ∧ z2]-zs) =
    ((X #[z1 ∧ z2]-zs) #[(Y #[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)
proof-
have 1: (idEnv [(y @ys[z1 ∧ z2]-zs) ← (Y #[z1 ∧ z2]-zs)]-ys) =
    ((idEnv [y ← Y]-ys) &[z1 ∧ z2]-zs)
by(simp add: swapEnv-updEnv)
show ?thesis
using assms unfolding subst-def 1 by (intro swap-psubst) auto
qed

lemma swap-vsubst:
assumes good X
shows ((X #[y1 // y]-ys) #[z1 ∧ z2]-zs) =
    ((X #[z1 ∧ z2]-zs) #[(y1 @ys[z1 ∧ z2]-zs) // (y @ys[z1 ∧ z2]-zs)]-ys)
using assms unfolding vsubst-def
by(simp add: swap-subst)

lemma swapEnv-psubstEnv:
assumes goodEnv rho and goodEnv rho'
shows ((rho &[rho']) &[z1 ∧ z2]-zs) = ((rho &[z1 ∧ z2]-zs) &[rho' &[z1 ∧ z2]-zs])
using assms apply(intro ext)
subgoal for xs x
by (cases rho xs (x @xs[z1 ∧ z2]-zs))
    (auto simp: lift-def swapEnv-defs swap-psubst) .

lemma swapEnv-substEnv:
assumes good Y and goodEnv rho
shows ((rho &[Y / y]-ys) &[z1 ∧ z2]-zs) =
    ((rho &[z1 ∧ z2]-zs) &[(Y #[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)
proof-
have 1: (idEnv [(y @ys[z1 ∧ z2]-zs) ← (Y #[z1 ∧ z2]-zs)]-ys) =
    ((idEnv [y ← Y]-ys) &[z1 ∧ z2]-zs)
by(simp add: swapEnv-updEnv)
show ?thesis

```

```

unfolding substEnv-def 1
using assms by (intro swapEnv-psubstEnv) auto
qed

lemma swapEnv-vsubstEnv:
assumes goodEnv rho
shows ((rho &[y1 // y]-ys) &[z1 ∧ z2]-zs) =
((rho &[z1 ∧ z2]-zs) &[(y1 @ys[z1 ∧ z2]-zs) // (y @ys[z1 ∧ z2]-zs)]-ys)
using assms unfolding vsubstEnv-def by(simp add: swapEnv-substEnv)

lemma psubstAll-compose:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs and
P::('index,'bindex,'varSort,'var,'opSym)param
assumes P: goodPar P
shows
(good X →
(∀ rho rho'. {rho,rho'} ⊆ envsOf P → ((X #[rho]) #[rho']) = (X #[[rho
&[rho']])))
∧
(goodAbs A →
(∀ rho rho'. {rho,rho'} ⊆ envsOf P → ((A $[rho]) $[rho']) = (A $[(rho &[rho'])])))
proof(induction rule: term-induct-fresh[of P])
case (Var xs x)
then show ?case using assms
by simp (smt (verit, del-insts) Var-preserves-good case-optionE envsOf-preserves-good
option.case-distrib option.simps(4) option.simps(5)
psubstEnv-def psubstEnv-preserves-good psubst-Var psubst-preserves-good)
next
case (Op delta inp binp)
then show ?case
using assms
unfolding psubstInp-def swapInp-def psubstBinp-def swapBinp-def lift-comp
unfolding liftAll-def lift-def
by simp (auto simp: lift-def psubstInp-def swapInp-def
psubstBinp-def swapBinp-def split: option.splits)
qed(insert assms, simp-all add: psubstEnv-preserves-freshEnv)

corollary psubst-compose:
assumes good X and goodEnv rho and goodEnv rho'
shows ((X #[rho]) #[rho']) = (X #[[rho &[rho']]])
using assms psubstAll-compose[of Par [] [] [] [rho, rho']]
unfolding goodPar-def by auto

lemma psubstEnv-compose:
assumes goodEnv rho and goodEnv rho' and goodEnv rho''
shows ((rho &[rho']) &[rho'']) = (rho &[(rho' &[rho''])])
using assms apply(intro ext)
subgoal for xs x

```

```

by (cases rho xs x) (auto simp: lift-def psubstEnv-def psubst-compose) .

lemma psubst-subst-compose:
assumes good X and good Y and goodEnv rho
shows ((X #[Y / y]-ys) #[rho]) = (X #[(rho [y ← (Y #[rho])-ys)])]
by (simp add: assms psubstEnv-updEnv-idEnv psubst-compose subst-psubst-idEnv)

lemma psubstEnv-substEnv-compose:
assumes goodEnv rho and good Y and goodEnv rho'
shows ((rho &[Y / y]-ys) &[rho']) = (rho &[(rho' [y ← (Y #[rho'])]-ys)])
by (simp add: assms psubstEnv-compose psubstEnv-updEnv-idEnv substEnv-def)

lemma psubst-vsubst-compose:
assumes good X and goodEnv rho
shows ((X #[y1 // y]-ys) #[rho]) = (X #[(rho [y ← ((Var ys y1) #[rho])-ys)])]
using assms unfolding vsubst-def by(simp add: psubst-subst-compose)

lemma psubstEnv-vsubstEnv-compose:
assumes goodEnv rho and goodEnv rho'
shows ((rho &[y1 // y]-ys) &[rho']) = (rho &[(rho' [y ← ((Var ys y1) #[rho'])]-ys)])
using assms unfolding vsubstEnv-def by(simp add: psubstEnv-substEnv-compose)

lemma subst-psubst-compose:
assumes good X and good Y and goodEnv rho
shows ((X #[rho]) #[Y / y]-ys) = (X #[(rho &[Y / y]-ys)])
unfolding subst-def substEnv-def using assms by(simp add: psubst-compose)

lemma substEnv-psubstEnv-compose:
assumes goodEnv rho and good Y and goodEnv rho'
shows ((rho &[rho']) &[Y / y]-ys) = (rho &[(rho' &[Y / y]-ys)])
unfolding substEnv-def using assms by(simp add: psubstEnv-compose)

lemma psubst-subst-compose-freshEnv:
assumes goodEnv rho and good X and good Y
assumes freshEnv ys y rho
shows ((X #[Y / y]-ys) #[rho]) = ((X #[rho]) #[((Y #[rho]) / y)-ys])
using assms by (simp add: subst-psubst-compose psubst-subst-compose)

lemma psubstEnv-substEnv-compose-freshEnv:
assumes goodEnv rho and goodEnv rho' and good Y
assumes freshEnv ys y rho'
shows ((rho &[Y / y]-ys) &[rho']) = ((rho &[rho']) &[(Y #[rho']) / y]-ys)
using assms by (simp add: substEnv-psubstEnv-compose psubstEnv-substEnv-compose)

lemma vsubst-psubst-compose:
assumes good X and goodEnv rho
shows ((X #[rho]) #[y1 // y]-ys) = (X #[(rho &[y1 // y]-ys)])
unfolding vsubst-def vsubstEnv-def using assms by(simp add: subst-psubst-compose)

```

```

lemma vsubstEnv-psubstEnv-compose:
assumes goodEnv rho and goodEnv rho'
shows ((rho &[rho']) &[y1 // y]-ys) = (rho &[(rho' &[y1 // y]-ys)])
unfolding vsubstEnv-def using assms by(simp add: substEnv-psubstEnv-compose)

lemma subst-compose1:
assumes good X and good Y1 and good Y2
shows ((X #[Y1 / y]-ys) #[Y2 / y]-ys) = (X #[(Y1 #[Y2 / y]-ys) / y]-ys)
proof-
  have goodEnv (idEnv [y ← Y1]-ys) ∧ goodEnv (idEnv [y ← Y2]-ys) using assms
  by simp
  thus ?thesis using ⟨good X⟩ unfolding subst-def substEnv-def
  by(simp add: psubst-compose psubstEnv-updEnv)
qed

lemma substEnv-compose1:
assumes goodEnv rho and good Y1 and good Y2
shows ((rho &[Y1 / y]-ys) &[Y2 / y]-ys) = (rho &[(Y1 #[Y2 / y]-ys) / y]-ys)
by (simp add: assms psubstEnv-compose psubstEnv-updEnv-idEnv substEnv-def subst-psubst-idEnv)

lemma subst-vsubst-compose1:
assumes good X and good Y and y ≠ y1
shows ((X #[y1 // y]-ys) #[Y / y]-ys) = (X #[y1 // y]-ys)
using assms unfolding vsubst-def by(simp add: subst-compose1)

lemma substEnv-vsubstEnv-compose1:
assumes goodEnv rho and good Y and y ≠ y1
shows ((rho &[y1 // y]-ys) &[Y / y]-ys) = (rho &[y1 // y]-ys)
using assms unfolding vsubst-def vsubstEnv-def by(simp add: substEnv-compose1)

lemma vsubst-subst-compose1:
assumes good X and good Y
shows ((X #[Y / y]-ys) #[y1 // y]-ys) = (X #[(Y #[y1 // y]-ys) / y]-ys)
using assms unfolding vsubst-def by(simp add: subst-compose1)

lemma vsubstEnv-substEnv-compose1:
assumes goodEnv rho and good Y
shows ((rho &[Y / y]-ys) &[y1 // y]-ys) = (rho &[(Y #[y1 // y]-ys) / y]-ys)
using assms unfolding vsubst-def vsubstEnv-def by(simp add: substEnv-compose1)

lemma vsubst-compose1:
assumes good X
shows ((X #[y1 // y]-ys) #[y2 // y]-ys) = (X #[(y1 @ys[y2 / y]-ys) // y]-ys)
using assms unfolding vsubst-def
by(cases y = y1) (auto simp: subst-compose1)

lemma vsubstEnv-compose1:
assumes goodEnv rho
shows ((rho &[y1 // y]-ys) &[y2 // y]-ys) = (rho &[(y1 @ys[y2 / y]-ys) // y]-ys)

```

```

using assms unfolding vsubstEnv-def
by(cases y = y1) (auto simp: substEnv-compose1)

lemma subst-compose2:
assumes good X and good Y and good Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows ((X #[Y / y]-ys) #[Z / z]-zs) = ((X #[Z / z]-zs) #[(Y #[Z / z]-zs) / y]-ys)
by (metis assms fresh freshEnv-getEnv freshEnv-getEnv2 freshEnv-idEnv fresh-
Env-updEnv-I idEnv-preserves-good psubst-subst-compose-freshEnv
subst-psubst-idEnv updEnv-preserves-good)

lemma substEnv-compose2:
assumes goodEnv rho and good Y and good Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows ((rho &[Y / y]-ys) &[Z / z]-zs) = ((rho &[Z / z]-zs) &[(Y #[Z / z]-zs) / y]-ys)
by (metis assms fresh freshEnv-updEnv-I getEnv-idEnv idEnv-preserves-good
option.discI psubstEnv-substEnv-compose-freshEnv substEnv-def
subst-psubst-idEnv updEnv-preserves-good)

lemma subst-vsubst-compose2:
assumes good X and good Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows ((X #[y1 // y]-ys) #[Z / z]-zs) = ((X #[Z / z]-zs) #[((Var ys y1) #[Z / z]-zs) / y]-ys)
using assms unfolding vsubst-def by(simp add: subst-compose2)

lemma substEnv-vsubstEnv-compose2:
assumes goodEnv rho and good Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows ((rho &[y1 // y]-ys) &[Z / z]-zs) = ((rho &[Z / z]-zs) &[((Var ys y1) #[Z / z]-zs) / y]-ys)
using assms unfolding vsubstEnv-def by(simp add: substEnv-compose2)

lemma vsubst-subst-compose2:
assumes good X and good Y
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((X #[Y / y]-ys) #[z1 // z]-zs) = ((X #[z1 // z]-zs) #[(Y #[z1 // z]-zs) / y]-ys)
using assms unfolding vsubst-def by(simp add: subst-compose2)

lemma vsubstEnv-substEnv-compose2:
assumes goodEnv rho and good Y
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((rho &[Y / y]-ys) &[z1 // z]-zs) = ((rho &[z1 // z]-zs) &[(Y #[z1 // z]-zs) / y]-ys)
using assms unfolding vsubst-def vsubstEnv-def by(simp add: substEnv-compose2)

```

```

lemma vsubst-compose2:
assumes good X
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((X #[y1 // y]-ys) #[z1 // z]-zs) =
    ((X #[z1 // z]-zs) #[(y1 @ys[z1 / z]-zs) // y]-ys)
by (metis vsubst-def Var-preserves-good assms vsubst-Var-simp vsubst-def
      vsubst-subst-compose2)

lemma vsubstEnv-compose2:
assumes goodEnv rho
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((rho &[y1 // y]-ys) &[z1 // z]-zs) =
    ((rho &[z1 // z]-zs) &[(y1 @ys[z1 / z]-zs) // y]-ys)
by (metis Var-preserves-good assms
      vsubstEnv-def vsubstEnv-substEnv-compose2 vsubst-Var-simp)

```

6.5 Properties specific to variable-for-variable substitution

```

lemma vsubstAll-ident:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
    A::('index,'bindex,'varSort,'var,'opSym)abs and
    P::('index,'bindex,'varSort,'var,'opSym)param and zs
assumes P: goodPar P
shows
    (good X →
        ( ∀ z. z ∈ varsOf P → (X #[z // z]-zs) = X))
    ∧
    (goodAbs A →
        ( ∀ z. z ∈ varsOf P → (A $[z // z]-zs) = A))
proof(induct rule: term-induct-fresh[of P])
    case (Op delta inp binp)
    then show ?case
    using assms
    unfolding vsubst-def vsubstAbs-def liftAll-def lift-def
    by simp (auto simp: lift-def substInp-def2 substBinp-def2 vsubstInp-def2
            split: option.splits)
next
    case (Abs xs x X)
    then show ?case
    by (metis empty-iff insert-iff vsubstAbs-simp)
qed(insert assms, simp-all)

corollary vsubst-ident[simp]:
assumes good X
shows (X #[z // z]-zs) = X
using assms vsubstAll-ident[of Par [z] [] [] [] X]
unfolding goodPar-def by simp

corollary subst-ident[simp]:

```

```

assumes good X
shows (X #[(Var zs z) / z]-zs) = X
using assms vsubst-ident unfolding vsubst-def by auto

lemma vsubstAll-swapAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs and
P::('index,'bindex,'varSort,'var,'opSym)param and ys
assumes P: goodPar P
shows
(good X -->
(∀ y1 y2. {y1,y2} ⊆ varsOf P ∧ fresh ys y1 X -->
(X #[y1 // y2]-ys) = (X #[y1 ∧ y2]-ys)))
∧
(goodAbs A -->
(∀ y1 y2. {y1,y2} ⊆ varsOf P ∧ freshAbs ys y1 A -->
(A $[y1 // y2]-ys) = (A $[y1 ∧ y2]-ys)))
apply(induction rule: term-induct-fresh[OF P])
subgoal by (force simp add: sw-def)
subgoal by simp (auto
simp: vsubstInp-def substInp-def2 vsubst-def swapInp-def
vsubstBinp-def substBinp-def2 vsubstAbs-def swapBinp-def
freshInp-def freshBinp-def lift-def liftAll-def
split: option.splits)
subgoal by simp (metis Var-preserves-good fresh-Var-simp substAbs-simp sw-def
vsubstAbs-def vsubst-def) .

corollary vsubst-eq-swap:
assumes good X and y1 = y2 ∨ fresh ys y1 X
shows (X #[y1 // y2]-ys) = (X #[y1 ∧ y2]-ys)
apply(cases y1 = y2)
using assms vsubstAll-swapAll[of Par [y1, y2] [] [] [] X]
unfolding goodPar-def by auto

lemma skelAll-vsubstAll:
fixes X::('index,'bindex,'varSort,'var,'opSym)term and
A::('index,'bindex,'varSort,'var,'opSym)abs and
P::('index,'bindex,'varSort,'var,'opSym)param and ys
assumes P: goodPar P
shows
(good X -->
(∀ y1 y2. {y1,y2} ⊆ varsOf P -->
skel (X #[y1 // y2]-ys) = skel X))
∧
(goodAbs A -->
(∀ y1 y2. {y1,y2} ⊆ varsOf P -->
skelAbs (A $[y1 // y2]-ys) = skelAbs A))
proof(induction rule: term-induct-fresh[of P])
case (Op delta inp binp)

```

```

then show ?case
by (simp add: skelInp-def2 skelBinp-def2)
  (auto simp: vsubst-def vsubstInp-def substInp-def2
    vsubstAbs-def vsubstBinp-def substBinp-def2 lift-def liftAll-def
    split: option.splits)
next
  case (Abs xs x X)
  then show ?case using assms
  by simp (metis not-equals-and-not-equals-not-in
    skelAbs-simp vsubstAbs-simp vsubst-preserves-good)
qed(insert assms, simp-all)

corollary skel-vsubst:
assumes good X
shows skel (X #[y1 // y2]-ys) = skel X
using assms skelAll-vsubstAll[of Par [y1, y2] [] [] [] X]
unfolding goodPar-def by simp

lemma subst-vsubst-trans:
assumes good X and good Y and fresh ys y1 X
shows ((X #[y1 // y]-ys) #[Y / y1]-ys) = (X #[Y / y]-ys)
using assms unfolding subst-def vsubst-def
by (cases y1 = y) (simp-all add: fresh-psubst-updEnv psubstEnv-updEnv-idEnv
  psubst-compose updEnv-commute)

lemma vsubst-trans:
assumes good X and fresh ys y1 X
shows ((X #[y1 // y]-ys) #[y2 // y1]-ys) = (X #[y2 // y]-ys)
unfolding vsubst-def[of - y2 y1] vsubst-def[of - y2 y]
using assms by(simp add: subst-vsubst-trans)

lemma vsubst-commute:
assumes X: good X
and xs ≠ xs' ∨ {x,y} ∩ {x',y'} = {} and fresh xs x X and fresh xs' x' X
shows ((X #[x // y]-xs) #[x' // y']-xs') = ((X #[x' // y']-xs') #[x // y]-xs)
proof-
  have fresh xs' x' (X #[x // y]-xs)
  using assms by (intro vsubst-preserves-fresh) auto
  moreover have fresh xs x (X #[x' // y']-xs')
  using assms by (intro vsubst-preserves-fresh) auto
  ultimately show ?thesis using assms
  by (auto simp: vsubst-eq-swap intro!: swap-commute)
qed

```

6.6 Abstraction versions of the properties

Environment identity and update versus other operators:

```

lemma psubstAbs-idEnv[simp]:
goodAbs A ==> (A $[idEnv]) = A

```

```

by(simp add: psubstAll-idEnv)

Substitution versus other operators:

corollary freshAbs-psubstAbs:
assumes goodAbs A and goodEnv rho
shows
freshAbs zs z (A $[rho]) =
(\ $\forall$  ys. freshAbs ys y A  $\vee$  freshImEnvAt zs z rho ys y)
using assms freshAll-psubstAll[of Par [z] [] [] [rho]]
unfolding goodPar-def by simp

corollary freshAbs-psubstAbs-E1:
assumes goodAbs A and goodEnv rho
and rho ys y = None and freshAbs zs z (A $[rho])
shows freshAbs ys y A  $\vee$  (ys  $\neq$  zs  $\vee$  y  $\neq$  z)
using assms freshAbs-psubstAbs unfolding freshImEnvAt-def by fastforce

corollary freshAbs-psubstAbs-E2:
assumes goodAbs A and goodEnv rho
and rho ys y = Some Y and freshAbs zs z (A $[rho])
shows freshAbs ys y A  $\vee$  fresh zs z Y
using assms freshAbs-psubstAbs[of A rho] unfolding freshImEnvAt-def by fast-
force

corollary freshAbs-psubstAbs-I1:
assumes goodAbs A and goodEnv rho
and freshAbs zs z A and freshEnv zs z rho
shows freshAbs zs z (A $[rho])
using assms apply(simp add: freshAbs-psubstAbs)
unfolding freshEnv-def liftAll-def freshImEnvAt-def by auto

corollary freshAbs-psubstAbs-I:
assumes goodAbs A and goodEnv rho
and rho zs z = None  $\Longrightarrow$  freshAbs zs z A and
 $\wedge$  ys y Y. rho ys y = Some Y  $\Longrightarrow$  freshAbs ys y A  $\vee$  fresh zs z Y
shows freshAbs zs z (A $[rho])
using assms using option.exhaust-sel
by (simp add: freshAbs-psubstAbs freshImEnvAt-def) blast

lemma freshAbs-substAbs:
assumes goodAbs A and good Y
shows freshAbs zs z (A ${Y / y}-ys) =
(((zs = ys  $\wedge$  z = y)  $\vee$  freshAbs zs z A)  $\wedge$  (freshAbs ys y A  $\vee$  fresh zs z Y))
unfolding substAbs-def using assms
by (auto simp: freshAbs-psubstAbs freshImEnvAt-def)

lemma freshAbs-vsubstAbs:
assumes goodAbs A
shows freshAbs zs z (A ${y1 // y}-ys) =

```

```

(((zs = ys ∧ z = y) ∨ freshAbs zs z A) ∧
(freshAbs ys y A ∨ (zs ≠ ys ∨ z ≠ y1)))
unfolding vsubstAbs-def using assms by(auto simp: freshAbs-substAbs)

lemma substAbs-preserves-freshAbs:
assumes goodAbs A and good Y
and freshAbs zs z A and fresh zs z Y
shows freshAbs zs z (A $[Y / y]-ys)
using assms by(simp add: freshAbs-substAbs)

lemma vsubstAbs-preserves-freshAbs:
assumes goodAbs A
and freshAbs zs z A and zs ≠ ys ∨ z ≠ y1
shows freshAbs zs z (A $[y1 // y]-ys)
using assms by(simp add: freshAbs-vsubstAbs)

lemma fresh-freshAbs-substAbs[simp]:
assumes good Y and goodAbs A
and fresh ys y Y
shows freshAbs ys y (A $[Y / y]-ys)
using assms by(simp add: freshAbs-substAbs)

lemma diff-freshAbs-vsubstAbs[simp]:
assumes goodAbs A
and y ≠ y1
shows freshAbs ys y (A $[y1 // y]-ys)
using assms by(simp add: freshAbs-vsubstAbs)

lemma freshAbs-substAbs-E1:
assumes goodAbs A and good Y
and freshAbs zs z (A $[Y / y]-ys) and zs ≠ ys ∨ z ≠ y
shows freshAbs zs z A
using assms by(auto simp: freshAbs-substAbs)

lemma freshAbs-vsubstAbs-E1:
assumes goodAbs A
and freshAbs zs z (A $[y1 // y]-ys) and zs ≠ ys ∨ z ≠ y
shows freshAbs zs z A
using assms by(auto simp: freshAbs-vsubstAbs)

lemma freshAbs-substAbs-E2:
assumes goodAbs A and good Y
and freshAbs zs z (A $[Y / y]-ys)
shows freshAbs ys y A ∨ fresh zs z Y
using assms by(simp add: freshAbs-substAbs)

lemma freshAbs-vsubstAbs-E2:
assumes goodAbs A
and freshAbs zs z (A $[y1 // y]-ys)

```

```

shows freshAbs ys y A ∨ zs ≠ ys ∨ z ≠ y1
using assms by(simp add: freshAbs-vsubstAbs)

corollary psubstAbs-cong[fundef-cong]:
assumes goodAbs A and goodEnv rho and goodEnv rho'
and ∧ ys y. freshAbs ys y A ∨ rho ys y = rho' ys y
shows (A $[rho]) = (A $[rho'])
using assms psubstAll-cong[of Par [] [] [] [rho,rho']]
unfolding goodPar-def by simp

lemma freshAbs-psubstAbs-updEnv:
assumes good X and goodAbs A and goodEnv rho
and freshAbs xs x A
shows (A $[rho [x ← X]-xs]) = (A $[rho])
using assms by (intro psubstAbs-cong) auto

corollary freshEnv-psubstAbs-ident[simp]:
fixes A :: ('index,'bindex,'varSort,'var,'opSym)abs
assumes goodAbs A and goodEnv rho
and ∧ zs z. freshEnv zs z rho ∨ freshAbs zs z A
shows (A $[rho]) = A
using assms psubstAll-ident[of Par [] [] [] [rho]]
unfolding goodPar-def by simp

lemma freshAbs-substAbs-ident[simp]:
assumes good X and goodAbs A and freshAbs xs x A
shows (A $[X / x]-xs) = A
by (simp add: assms freshAbs-psubstAbs-updEnv substAbs-def)

corollary substAbs-Abs[simp]:
assumes good X and good Y
shows ((Abs xs x X) $[Y / x]-xs) = Abs xs x X
using assms by simp

lemma freshAbs-vsubstAbs-ident[simp]:
assumes goodAbs A and freshAbs xs x A
shows (A $[x1 // x]-xs) = A
using assms unfolding vsubstAbs-def by(auto simp: freshAbs-substAbs-ident)

lemma swapAbs-psubstAbs:
assumes goodAbs A and goodEnv rho
shows ((A $[rho]) $[z1 ∧ z2]-zs) = ((A $[z1 ∧ z2]-zs) $[rho & [z1 ∧ z2]-zs])
using assms swapAll-psubstAll[of Par [z1,z2] [] [] [rho]]
unfolding goodPar-def by auto

lemma swapAbs-substAbs:
assumes goodAbs A and good Y
shows ((A $[Y / y]-ys) $[z1 ∧ z2]-zs) =
((A $[z1 ∧ z2]-zs) $[(Y #[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)

```

```

proof-
have 1: (idEnv [(y @ys[z1 ∧ z2]-zs) ← (Y #[z1 ∧ z2]-zs)]-ys) =
    (((idEnv [y ← Y]-ys) &[z1 ∧ z2]-zs)
by(simp add: swapEnv-updEnv)
show ?thesis
unfolding substAbs-def 1 using assms by (intro swapAbs-psubstAbs) auto
qed

lemma swapAbs-vsubstAbs:
assumes goodAbs A
shows ((A $[y1 // y]-ys) $[z1 ∧ z2]-zs) =
    ((A $[z1 ∧ z2]-zs) $[(y1 @ys[z1 ∧ z2]-zs) // (y @ys[z1 ∧ z2]-zs)]-ys)
using assms unfolding vsubstAbs-def
by(simp add: swapAbs-substAbs)

lemma psubstAbs-compose:
assumes goodAbs A and goodEnv rho and goodEnv rho'
shows ((A $[rho]) $[rho']) = (A $[(rho & rho')])
using assms psubstAll-compose[of Par [] [] [] [rho, rho']]
unfolding goodPar-def by auto

lemma psubstAbs-substAbs-compose:
assumes goodAbs A and good Y and goodEnv rho
shows ((A $[Y / y]-ys) $[rho]) = (A $[(rho [y ← (Y #[rho])] -ys)])
by (simp add: assms psubstAbs-compose psubstEnv-updEnv-idEnv substAbs-def)

lemma psubstAbs-vsubstAbs-compose:
assumes goodAbs A and goodEnv rho
shows ((A $[y1 // y]-ys) $[rho]) = (A $[(rho [y ← ((Var ys y1) #[rho])] -ys)])
using assms unfolding vsubstAbs-def by(simp add: psubstAbs-substAbs-compose)

lemma substAbs-psubstAbs-compose:
assumes goodAbs A and good Y and goodEnv rho
shows ((A $[rho]) $[Y / y]-ys) = (A $[(rho & [Y / y]-ys)])
unfolding substAbs-def substEnv-def using assms by(simp add: psubstAbs-compose)

lemma psubstAbs-substAbs-compose-freshEnv:
assumes goodAbs A and goodEnv rho and good Y
assumes freshEnv ys y rho
shows ((A $[Y / y]-ys) $[rho]) = ((A $[rho]) $[(Y #[rho]) / y]-ys)
using assms by (simp add: substAbs-psubstAbs-compose psubstAbs-substAbs-compose)

lemma vsubstAbs-psubstAbs-compose:
assumes goodAbs A and goodEnv rho
shows ((A $[rho]) $[y1 // y]-ys) = (A $[(rho & [y1 // y]-ys)])
unfolding vsubstAbs-def vsubstEnv-def using assms
by(simp add: substAbs-psubstAbs-compose)

lemma substAbs-compose1:
```

```

assumes goodAbs A and good Y1 and good Y2
shows ((A $[Y1 / y]-ys) $[Y2 / y]-ys) = (A $[(Y1 #[Y2 / y]-ys) / y]-ys)
by (metis assms idEnv-preserves-good psubstAbs-substAbs-compose substAbs-def
subst-psubst-idEnv updEnv-overwrite updEnv-preserves-good)

lemma substAbs-vsubstAbs-compose1:
assumes goodAbs A and good Y and y ≠ y1
shows ((A $[y1 // y]-ys) $[Y / y]-ys) = (A $[y1 // y]-ys)
using assms unfolding vsubstAbs-def by(simp add: substAbs-compose1)

lemma vsubstAbs-substAbs-compose1:
assumes goodAbs A and good Y
shows ((A $[Y / y]-ys) $[y1 // y]-ys) = (A $[(Y #[y1 // y]-ys) / y]-ys)
using assms unfolding vsubstAbs-def vsubst-def by(simp add: substAbs-compose1)

lemma vsubstAbs-compose1:
assumes goodAbs A
shows ((A $[y1 // y]-ys) $[y2 // y]-ys) = (A $[(y1 @ys[y2 / y]-ys) // y]-ys)
using assms unfolding vsubstAbs-def
by(cases y = y1) (auto simp: substAbs-compose1)

lemma substAbs-compose2:
assumes goodAbs A and good Y and good Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows ((A $[Y / y]-ys) $[Z / z]-zs) = ((A $[Z / z]-zs) $[(Y #[Z / z]-zs) / y]-ys)
by (metis assms fresh freshEnv-idEnv idEnv-preserves-good
psubstAbs-substAbs-compose-freshEnv substAbs-def
substEnv-idEnv substEnv-preserves-freshEnv-aux
subst-psubst-idEnv updEnv-preserves-good)

lemma substAbs-vsubstAbs-compose2:
assumes goodAbs A and good Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows ((A $[y1 // y]-ys) $[Z / z]-zs) = ((A $[Z / z]-zs) $[((Var ys y1) #[Z / z]-zs) / y]-ys)
using assms unfolding vsubstAbs-def by(simp add: substAbs-compose2)

lemma vsubstAbs-substAbs-compose2:
assumes goodAbs A and good Y
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((A $[Y / y]-ys) $[z1 // z]-zs) = ((A $[z1 // z]-zs) $[(Y #[z1 // z]-zs) / y]-ys)
using assms unfolding vsubstAbs-def vsubst-def by(simp add: substAbs-compose2)

lemma vsubstAbs-compose2:
assumes goodAbs A
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((A $[y1 // y]-ys) $[z1 // z]-zs) =
((A $[z1 // z]-zs) $[(y1 @ys[z1 / z]-zs) // y]-ys)

```

```

unfolding vsubstAbs-def
by (smt (verit) Var-preserves-good assms fresh-Var-simp insertCI
      substAbs-compose2 vsubst-Var-simp vsubst-def)

```

Properties specific to variable-for-variable substitution:

```

corollary vsubstAbs-ident[simp]:
assumes goodAbs A
shows (A $[z // z]-zs) = A
using assms vsubstAll-ident[of Par [z] [] [] [] - - A]
unfolding goodPar-def by simp

```

```

corollary substAbs-ident[simp]:
assumes goodAbs A
shows (A ${[(\text{Var } z s) z] / z}-zs) = A
using assms vsubstAbs-ident unfolding vsubstAbs-def by auto

```

```

corollary vsubstAbs-eq-swapAbs:
assumes goodAbs A and freshAbs ys y1 A
shows (A ${[y1 // y2]-ys}) = (A ${[y1 \wedge y2]-ys})
using assms vsubstAll-swapAll[of Par [y1, y2] [] [] [] - - A]
unfolding goodPar-def by simp

```

```

corollary skelAbs-vsubstAbs:
assumes goodAbs A
shows skelAbs (A ${[y1 // y2]-ys}) = skelAbs A
using assms skelAll-vsubstAll[of Par [y1, y2] [] [] [] - - A]
unfolding goodPar-def by simp

```

```

lemma substAbs-vsubstAbs-trans:
assumes goodAbs A and good Y and freshAbs ys y1 A
shows ((A ${[y1 // y]-ys}) ${[Y / y1]-ys}) = (A ${[Y / y]-ys})
using assms unfolding substAbs-def vsubstAbs-def
by (cases y1 = y) (auto simp: freshAbs-psubstAbs-updEnv psubstAbs-compose
      psubstEnv-updEnv-idEnv updEnv-commute)

```

```

lemma vsubstAbs-trans:
assumes goodAbs A and freshAbs ys y1 A
shows ((A ${[y1 // y]-ys}) ${[y2 // y1]-ys}) = (A ${[y2 // y]-ys})
unfolding vsubstAbs-def[of - y2 y1] vsubstAbs-def[of - y2 y]
using assms by(simp add: substAbs-vsubstAbs-trans)

```

```

lemmas good-psubstAll-freshAll-otherSimps =
psubst-idEnv psubstEnv-idEnv-id psubstAbs-idEnv
freshEnv-psubst-ident freshEnv-psubstAbs-ident

```

```

lemmas good-substAll-freshAll-otherSimps =
fresh-fresh-subst fresh-subst-ident fresh-substEnv-updEnv subst-ident
fresh-freshAbs-substAbs freshAbs-substAbs-ident substAbs-ident

```

```

lemmas good-vsubstAll-freshAll-otherSimps =
diff-fresh-vsubst fresh-vsubst-ident fresh-vsubstEnv-updEnv vsubst-ident
diff-freshAbs-vsubstAbs freshAbs-vsubstAbs-ident vsubstAbs-ident

lemmas good-allOperstOtherSimps =
good-swapAll-freshAll-otherSimps
good-psubstAll-freshAll-otherSimps
good-substAll-freshAll-otherSimps
good-vsubstAll-freshAll-otherSimps

lemmas good-item-simps =
param-simps
all-preserve-good
good-freeCons
good-allOperst-simps
good-allOperstOtherSimps

end

end

```

7 Binding Signatures and well-sorted terms

```

theory Well-Sorted-Terms
imports Terms
begin

```

This section introduces binding signatures and well-sorted terms for them. All the properties we proved for good terms are then lifted to well-sorted terms.

7.1 Binding signatures

A (*binding*) *signature* consists of:

- an indication of which sorts of variables can be injected in which sorts of terms;
- for any operation symbol, dwelling in a type “opSym”, an indication of its result sort, its (nonbinding) arity, and its binding arity.

In addition, we have a predicate, “wlsOpSym”, that specifies which operations symbols are well-sorted (or well-structured)¹ – only these operation symbols will be considered in forming terms. In other words, the relevant collection of operation symbols is given not by the whole type “opSym”, but by the predicate “wlsOpSym”. This bit of extra flexibility will be useful when (pre)instantiating the signature to concrete syntaxes. (Note that the

¹We shall use “wls” in many contexts as a prefix indicating well-sortedness or well-structuredness.

“wlsOpSym” condition will be required for well-sorted terms as part of the notion of well-sorted (free and bound) input, “wlsInp” and “wlsBinp”.)

```
record ('index,'bindex,'varSort,'sort,'opSym)signature =
  varSortAsSort :: 'varSort  $\Rightarrow$  'sort
  wlsOpSym :: 'opSym  $\Rightarrow$  bool
  sortOf :: 'opSym  $\Rightarrow$  'sort
  arityOf :: 'opSym  $\Rightarrow$  ('index, 'sort)input
  barityOf :: 'opSym  $\Rightarrow$  ('bindex, 'varSort * 'sort)input
```

7.2 The Binding Syntax locale

For our signatures, we shall make some assumptions:

- For each sort of term, there is at most one sort of variables injectable in terms of that sort (i.e., “varSortAsSort” is injective”);
- The domains of arities (sets of indexes) are smaller than the set of variables of each sort;
- The type of sorts is smaller than the set of variables of each sort.

These are satisfiable assumptions, and in particular they are trivially satisfied by any finitary syntax with bindings.

```
definition varSortAsSort-inj where
  varSortAsSort-inj Delta ===
    inj (varSortAsSort Delta)

definition arityOf-lt-var where
  arityOf-lt-var (- :: 'var) Delta ===
     $\forall$  delta.
      wlsOpSym Delta delta  $\longrightarrow$  |{i. arityOf Delta delta i  $\neq$  None}|  $< o$  |UNIV :: 'var set|

definition barityOf-lt-var where
  barityOf-lt-var (- :: 'var) Delta ===
     $\forall$  delta.
      wlsOpSym Delta delta  $\longrightarrow$  |{i. barityOf Delta delta i  $\neq$  None}|  $< o$  |UNIV :: 'var set|

definition sort-lt-var where
  sort-lt-var (- :: 'sort) (- :: 'var) ===
    |UNIV :: 'sort set|  $< o$  |UNIV :: 'var set|

locale FixSyn =
  fixes dummyV :: 'var
  and Delta :: ('index,'bindex,'varSort,'sort,'opSym)signature
  assumes

    FixSyn-var-infinite: var-infinite (undefined :: 'var)
    and FixSyn-var-regular: var-regular (undefined :: 'var)
```

```

and varSortAsSort-inj: varSortAsSort-inj Delta
and arityOf-lt-var: arityOf-lt-var (undefined :: 'var) Delta
and b arityOf-lt-var: b arityOf-lt-var (undefined :: 'var) Delta
and sort-lt-var: sort-lt-var (undefined :: 'sort) (undefined :: 'var)

context FixSyn
begin
lemmas FixSyn-assms =
FixSyn-var-infinite FixSyn-var-regular
varSortAsSort-inj arityOf-lt-var b arityOf-lt-var
sort-lt-var
end

```

7.3 Definitions and basic properties of well-sortedness

7.3.1 Notations and definitions

```

datatype ('index,'bindex,'varSort,'var,'opSym,'sort)paramS =
ParS 'varSort  $\Rightarrow$  'var list
'sort  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)term list
('varSort * 'sort)  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)abs list
('index,'bindex,'varSort,'var,'opSym)env list

fun varsOfS :: ('index,'bindex,'varSort,'var,'opSym,'sort)paramS  $\Rightarrow$  'varSort  $\Rightarrow$  'var set
where varsOfS (ParS xLF - - -) xs = set (xLF xs)

fun termsOfS :: ('index,'bindex,'varSort,'var,'opSym,'sort)paramS  $\Rightarrow$ 
'sort  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)term set
where termsOfS (ParS - XLF - -) s = set (XLF s)

fun absOfS :: ('index,'bindex,'varSort,'var,'opSym,'sort)paramS  $\Rightarrow$ 
('varSort * 'sort)  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)abs set
where absOfS (ParS - - ALF -) (xs,s) = set (ALF (xs,s))

fun envsOfS :: ('index,'bindex,'varSort,'var,'opSym,'sort)paramS  $\Rightarrow$  ('index,'bindex,'varSort,'var,'opSym)env set
where envsOfS (ParS - - - rhoL) = set rhoL

```

7.3.2 Sublocale of “FixVars”

```

lemma sort-lt-var-imp-varSort-lt-var:
assumes
**: varSortAsSort-inj (Delta :: ('index,'bindex,'varSort,'sort,'opSym)signature)
and ***: sort-lt-var (undefined :: 'sort) (undefined :: 'var)
shows varSort-lt-var (undefined :: 'varSort) (undefined :: 'var)
proof-

```

```

have |UNIV::'varSort set| ≤o |UNIV::'sort set|
using card-of-ordLeq ** unfolding varSortAsSort-inj-def by auto
thus ?thesis
  using ordLeq-ordLess-trans assms
  unfolding sort-lt-var-def varSort-lt-var-def by blast
qed

sublocale FixSyn < FixVars
where dummyV = dummyV and dummyVS = undefined::'varSort
using FixSyn-assms
by unfold-locales (auto simp add: sort-lt-var-imp-varSort-lt-var)

```

7.3.3 Abbreviations

```

context FixSyn
begin

abbreviation asSort where asSort == varSortAsSort Delta
abbreviation wlsOpS where wlsOpS == wlsOpSym Delta
abbreviation stOf where stOf == sortOf Delta
abbreviation arOf where arOf == arityOf Delta
abbreviation barOf where barOf == b arityOf Delta

abbreviation empInp :: ('index,('index,'bindex,'varSort,'var,'opSym)term)input
where empInp == λi. None

abbreviation empAr :: ('index,'sort)input
where empAr == λi. None

abbreviation empBinp :: ('bindex,('index,'bindex,'varSort,'var,'opSym)abs)input
where empBinp == λi. None

abbreviation empBar :: ('bindex,'varSort * 'sort)input
where empBar == λi. None

lemma freshInp-empInp[simp]:
freshInp xs x empInp
unfolding freshInp-def liftAll-def by simp

lemma swapInp-empInp[simp]:
(empInp %[x1 ∧ x2]-xs) = empInp
unfolding swapInp-def lift-def by simp

lemma psubstInp-empInp[simp]:
(empInp %[ρ]) = empInp
unfolding psubstInp-def lift-def by simp

lemma substInp-empInp[simp]:

```

```

(empInp %[Y / y]-ys) = empInp
unfolding substInp-def by simp

lemma vsubstInp-empInp[simp]:
(empInp %[y1 // y]-ys) = empInp
unfolding vsubstInp-def by simp

lemma freshBinp-empBinp[simp]:
freshBinp xs x empBinp
unfolding freshBinp-def liftAll-def by simp

lemma swapBinp-empBinp[simp]:
(empBinp %%[x1 ∧ x2]-xs) = empBinp
unfolding swapBinp-def lift-def by simp

lemma psubstBinp-empBinp[simp]:
(empBinp %%[ρ]) = empBinp
unfolding psubstBinp-def lift-def by simp

lemma substBinp-empBinp[simp]:
(empBinp %%[Y / y]-ys) = empBinp
unfolding substBinp-def by simp

lemma vsubstBinp-empBinp[simp]:
(empBinp %%[y1 // y]-ys) = empBinp
unfolding vsubstBinp-def by simp

lemmas empInp-simps =
freshInp-empInp swapInp-empInp psubstInp-empInp substInp-empInp vsubstInp-empInp
freshBinp-empBinp swapBinp-empBinp psubstBinp-empBinp substBinp-empBinp vsubstBinp-empBinp

```

7.3.4 Inner versions of the locale assumptions

```

lemma varSortAsSort-inj-INNER: inj asSort
using varSortAsSort-inj
unfolding varSortAsSort-inj-def by simp

lemma asSort-inj[simp]:
(asSort xs = asSort ys) = (xs = ys)
using varSortAsSort-inj-INNER unfolding inj-on-def by auto

lemma arityOf-lt-var-INNER:
assumes wlsOpS delta
shows |{i. arityOf Delta delta i ≠ None}| < o |UNIV :: 'var set|
using assms arityOf-lt-var unfolding arityOf-lt-var-def by simp

lemma b arityOf-lt-var-INNER:
assumes wlsOpS delta

```

```

shows |{i. b arityOf Delta delta i ≠ None}| < o |UNIV :: 'var set|
using assms b arityOf-lt-var unfolding b arityOf-lt-var-def by simp

lemma sort-lt-var-INNER:
|UNIV :: 'sort set| < o |UNIV :: 'var set|
using sort-lt-var unfolding sort-lt-var-def by simp

lemma sort-le-var:
|UNIV :: 'sort set| ≤ o |UNIV :: 'var set|
using sort-lt-var-INNER ordLess-imp-ordLeq by auto

lemma varSort-sort-lt-var:
|UNIV :: ('varSort * 'sort) set| < o |UNIV :: 'var set|
unfolding UNIV-Times-UNIV[symmetric]
using var-infinite-INNER varSort-lt-var-INNER sort-lt-var-INNER
by(rule card-of-Times-ordLess-infinite)

lemma varSort-sort-le-var:
|UNIV :: ('varSort * 'sort) set| ≤ o |UNIV :: 'var set|
using varSort-sort-lt-var ordLess-imp-ordLeq by auto

```

7.3.5 Definitions of well-sorted items

We shall only be interested in abstractions that pertain to some bound arities:

```

definition isInBar where
isInBar xs-s ==
  ∃ delta i. wlsOpS delta ∧ barOf delta i = Some xs-s

```

Well-sorted terms (according to the signature) are defined as expected (mutually inductively together with well-sorted abstractions and inputs):

```

inductive
wls :: 'sort ⇒ ('index,'bindex,'varSort,'var,'opSym)term ⇒ bool
and
wlsAbs :: 'varSort * 'sort ⇒ ('index,'bindex,'varSort,'var,'opSym)abs ⇒ bool
and
wlsInp :: 'opSym ⇒ ('index,('index,'bindex,'varSort,'var,'opSym)term)input ⇒ bool
and
wlsBinp :: 'opSym ⇒ ('bindex,('index,'bindex,'varSort,'var,'opSym)abs)input ⇒ bool
where
Var: wls (asSort xs) (Var xs x)
|
Op: [wlsInp delta inp; wlsBinp delta binp] ⇒ wls (stOf delta) (Op delta inp binp)
|
Inp:
[wlsOpS delta;
  ∧ i. (arOf delta i = None ∧ inp i = None) ∨

```

```


$$(\exists s X. arOf delta i = Some s \wedge inp i = Some X \wedge wls s X) \]
\implies wlsInp delta inp$$

|

$$Binp:$$


$$[\![wlsOpS delta;$$


$$\wedge i. (barOf delta i = None \wedge binp i = None) \vee$$


$$(\exists us s A. barOf delta i = Some (us,s) \wedge binp i = Some A \wedge wlsAbs (us,s)$$


$$A)] \]
\implies wlsBinp delta binp$$

|

$$Abs: [\![isInBar (xs,s); wls s X]\!] \implies wlsAbs (xs,s) (Abs xs x X)$$


lemmas Var-preserves-wls = wls-wlsAbs-wlsInp-wlsBinp.Var
lemmas Op-preserves-wls = wls-wlsAbs-wlsInp-wlsBinp.Op
lemmas Abs-preserves-wls = wls-wlsAbs-wlsInp-wlsBinp.Abs

lemma barOf-isInBar[simp]:
assumes wlsOpS delta and barOf delta i = Some (us,s)
shows isInBar (us,s)
unfolding isInBar-def using assms by blast

lemmas Cons-preserve-wls =
barOf-isInBar
Var-preserves-wls Op-preserves-wls
Abs-preserves-wls

declare Cons-preserve-wls [simp]

definition wlsEnv :: ('index,'bindx,'varSort,'var,'opSym)env  $\Rightarrow$  bool
where
wlsEnv rho ==
 $(\forall ys. lftAll (wls (asSort ys)) (\rho ys)) \wedge$ 
 $(\forall ys. |\{y. \rho ys y \neq None\}| < o \mid UNIV :: 'var set| )$ 

definition wlsPar :: ('index,'bindx,'varSort,'var,'opSym,'sort)paramS  $\Rightarrow$  bool
where
wlsPar P ==
 $(\forall s. \forall X \in termsOfS P s. wls s X) \wedge$ 
 $(\forall xs s. \forall A \in absOfS P (xs,s). wlsAbs (xs,s) A) \wedge$ 
 $(\forall rho \in envsOfS P. wlsEnv rho)$ 

lemma ParS-preserves-wls[simp]:
assumes  $\bigwedge s X. X \in set (XLF s) \implies wls s X$ 
and  $\bigwedge xs s A. A \in set (ALF (xs,s)) \implies wlsAbs (xs,s) A$ 
and  $\bigwedge rho. rho \in set rhoF \implies wlsEnv rho$ 
shows wlsPar (ParS xLF XLF ALF rhoF)
using assms unfolding wlsPar-def by auto

lemma termsOfS-preserves-wls[simp]:
```

```

assumes wlsPar P and X : termsOfS P s
shows wls s X
using assms unfolding wlsPar-def by auto

lemma absOfS-preserves-wls[simp]:
assumes wlsPar P and A : absOfS P (us,s)
shows wlsAbs (us,s) A
using assms unfolding wlsPar-def by auto

lemma envsOfS-preserves-wls[simp]:
assumes wlsPar P and rho : envsOfS P
shows wlsEnv rho
using assms unfolding wlsPar-def by blast

lemma not-isInBar-absOfS-empty[simp]:
assumes *: ¬ isInBar (us,s) and **: wlsPar P
shows absOfS P (us,s) = {}
proof-
  {fix A assume A : absOfS P (us,s)
   hence wlsAbs (us,s) A using ** by simp
   hence False using * using wlsAbs.cases by auto
  }
  thus ?thesis by auto
qed

lemmas paramS-simps =
varsOfS.simps termsOfS.simps absOfS.simps envsOfS.simps
ParS-preserves-wls
termsOfS-preserves-wls absOfS-preserves-wls envsOfS-preserves-wls
not-isInBar-absOfS-empty

```

7.3.6 Well-sorted exists

```

lemma wlsInp-iff:
wlsInp delta inp =
(wlsOpS delta ∧ sameDom (arOf delta) inp ∧ liftAll2 wls (arOf delta) inp)
by (simp add: wlsInp.simps wls-wlsAbs-wlsInp-wlsBinp.Inp sameDom-and-liftAll2-iff)

```

```

lemma wlsBinp-iff:
wlsBinp delta binp =
(wlsOpS delta ∧ sameDom (barOf delta) binp ∧ liftAll2 wlsAbs (barOf delta) binp)
by (simp add: wlsBinp.simps wls-wlsAbs-wlsInp-wlsBinp.Inp sameDom-and-liftAll2-iff)

```

```

lemma exists-asSort-wls:
∃ X. wls (asSort xs) X
by (intro exI[of - Var xs undefined]) simp

```

```

lemma exists-wls-imp-exists-wlsAbs:
assumes *: isInBar (us,s) and **: ∃ X. wls s X
shows ∃ A. wlsAbs (us,s) A
proof-
  obtain X where wls s X using ** by blast
  hence wlsAbs (us,s) (Abs us undefined X) using * by simp
  thus ?thesis by blast
qed

```

```

lemma exists-asSort-wlsAbs:
assumes isInBar (us,asSort xs)
shows ∃ A. wlsAbs (us,asSort xs) A
proof-
  obtain X where wls (asSort xs) X using exists-asSort-wls by auto
  thus ?thesis using assms exists-wls-imp-exists-wlsAbs by auto
qed

```

Standard criterion for the non-emptiness of the sets of well-sorted terms for each sort, by a well-founded relation and a function picking, for sorts not corresponding to varSorts, an operation symbol as an “inductive” witness for non-emptiness. “witOpS” stands for “witness operation symbol”.

```

definition witOpS where
witOpS s delta R ==
  wlsOpS delta ∧ stOf delta = s ∧
  liftAll (λs'. (s',s) : R) (arOf delta) ∧
  liftAll (λ(us,s'). (s',s) : R) (barOf delta)

```

```

lemma wf-exists-wls:
assumes wf: wf R and *: ∀s. (∃ xs. s = asSort xs) ∨ witOpS s (f s) R
shows ∃ X. wls s X
proof(induction rule: wf-induct[of R])
  case (? s)
  show ?case
  proof(cases ∃ xs. s = asSort xs)
    case True
    thus ?thesis using exists-asSort-wls by auto
  next
  let ?delta = f s
  case False
  hence delta: wlsOpS ?delta and st: stOf ?delta = s
  and ar: liftAll (λs'. (s',s) : R) (arOf ?delta)
  and bar: liftAll (λ(us,s'). (s',s) : R) (barOf ?delta)
  using * unfolding witOpS-def by auto

  have 1: ∀ i s'. arOf ?delta i = Some s' → (∃ X. wls s' X)
  using ar 2 unfolding liftAll-def by simp
  let ?chi = λi s'. arOf ?delta i = Some s' → wls s' X
  define inp where

```

```

 $inp \equiv (\lambda i. (if arOf ?delta i = None
                     then None
                     else Some (SOME X. \forall s'. ?chi i s' X)))$ 
have  $inp: wlsInp$  ?delta  $inp$ 
unfolding  $wlsInp$ -iff  $sameDom$ -def  $liftAll2$ -def using delta
by (auto simp:  $inp$ -def 1  $someI2$ -ex split: if-splits)

have  $1: \forall i us s'. barOf ?delta i = Some (us,s') \longrightarrow (\exists A. wlsAbs (us,s') A)$ 
using bar 2 unfolding  $liftAll$ -def using delta  $exists\text{-}wls\text{-}imp\text{-}exists\text{-}wlsAbs$  by
simp
let ?chi =  $\lambda i us s' A. barOf ?delta i = Some (us,s') \longrightarrow wlsAbs (us,s') A$ 
define  $binp$  where
 $binp \equiv (\lambda i. (if barOf ?delta i = None
                     then None
                     else Some (SOME A. \forall us s'. ?chi i us s' A)))$ 
have  $binp: wlsBinp$  ?delta  $binp$ 
unfolding  $wlsBinp$ -iff  $sameDom$ -def  $liftAll2$ -def using delta
by (auto simp:  $binp$ -def 1  $someI2$ -ex split: if-splits)

have  $wls s (Op ?delta inp binp)$ 
using  $inp$   $binp$  st using  $Op$ -preserves-wls[of ?delta  $inp$   $binp$ ] by simp
thus ?thesis by blast
qed
qed(insert assms, auto)

lemma wf-exists-wlsAbs:
assumes  $isInBar (us,s)$ 
and  $wf R$  and  $\bigwedge s. (\exists xs. s = asSort xs) \vee witOpS s (f s) R$ 
shows  $\exists A. wlsAbs (us,s) A$ 
using assms by (auto intro: exists-wls-imp-exists-wlsAbs wf-exists-wls)

```

7.3.7 Well-sorted implies Good

```

lemma wlsInp-empAr-empInp[simp]:
assumes  $wlsOpS$  delta and  $arOf$  delta = empAr
shows  $wlsInp$  delta  $empInp$ 
using assms
unfolding  $wlsInp$ -iff  $sameDom$ -def  $liftAll2$ -def by auto

lemma wlsBinp-empBar-empBinp[simp]:
assumes  $wlsOpS$  delta and  $barOf$  delta = empBar
shows  $wlsBinp$  delta  $empBinp$ 
using assms unfolding  $wlsBinp$ -iff  $sameDom$ -def  $liftAll2$ -def by auto

lemmas empInp-otherSimps =
 $wlsInp$ -empAr-empInp  $wlsBinp$ -empBar-empBinp

lemma wlsAll-implies-goodAll:
 $(wls s X \longrightarrow good X) \wedge$ 

```

```

(wlsAbs (xs,s') A → goodAbs A) ∧
(wlsInp delta inp → goodInp inp) ∧
(wlsBinp delta binp → goodBinp binp)
apply(induct rule: wls-wlsAbs-wlsInp-wlsBinp.induct)
subgoal by auto
subgoal by auto
subgoal unfolding goodInp-def liftAll-def
by simp (smt (verit) Collect-cong arityOf-lt-var-INNER option.distinct(1) option.sel)
subgoal unfolding goodBinp-def liftAll-def
by simp (smt (verit) Collect-cong barityOf-lt-var-INNER option.distinct(1) option.sel)
subgoal by auto .

corollary wls-imp-good[simp]: wls s X ⇒ good X
by(simp add: wlsAll-implies-goodAll)

corollary wlsAbs-imp-goodAbs[simp]: wlsAbs (xs,s) A ⇒ goodAbs A
by(simp add: wlsAll-implies-goodAll)

corollary wlsInp-imp-goodInp[simp]: wlsInp delta inp ⇒ goodInp inp
by(simp add: wlsAll-implies-goodAll)

corollary wlsBinp-imp-goodBinp[simp]: wlsBinp delta binp ⇒ goodBinp binp
by(simp add: wlsAll-implies-goodAll)

lemma wlsEnv-imp-goodEnv[simp]: wlsEnv rho ⇒ goodEnv rho
unfolding wlsEnv-def goodEnv-def liftAll-def
by simp (insert wls-imp-good, blast)

lemmas wlsAll-imp-goodAll =
wls-imp-good wlsAbs-imp-goodAbs
wlsInp-imp-goodInp wlsBinp-imp-goodBinp
wlsEnv-imp-goodEnv

```

7.3.8 Swapping preserves well-sortedness

```

lemma swapAll-pres-wlsAll:
(wls s X → wls s (X #[z1 ∧ z2]-zs)) ∧
(wlsAbs (xs,s') A → wlsAbs (xs,s') (A $[z1 ∧ z2]-zs)) ∧
(wlsInp delta inp → wlsInp delta (inp %[z1 ∧ z2]-zs)) ∧
(wlsBinp delta binp → wlsBinp delta (binp %%[z1 ∧ z2]-zs))
proof(induct rule: wls-wlsAbs-wlsInp-wlsBinp.induct)
case (Inp delta inp)
then show ?case
unfolding wlsInp-iff sameDom-def liftAll2-def lift-def swapInp-def
using option.sel by (fastforce simp add: split: option.splits)
next
case (Binp delta binp)
then show ?case

```

```

unfolding wlsBinp-iff sameDom-def liftAll2-def lift-def swapBinp-def
using option.sel by (fastforce simp add: split: option.splits)
qed(insert Cons-preserve-wls, simp-all)

lemma swap-preserves-wls[simp]:
wls s X ==> wls s (X #[z1 ∧ z2]-zs)
by(simp add: swapAll-pres-wlsAll)

lemma swap-preserves-wls2[simp]:
assumes good X
shows wls s (X #[z1 ∧ z2]-zs) = wls s X
using assms swap-preserves-wls[of s X #[z1 ∧ z2]-zs zs z1 z2] by auto

lemma swap-preserves-wls3:
assumes good X and good Y
and (X #[x1 ∧ x2]-xs) = (Y #[y1 ∧ y2]-ys)
shows wls s X = wls s Y
by (metis assms swap-preserves-wls2)

lemma swapAbs-preserves-wls[simp]:
wlsAbs (xs,x) A ==> wlsAbs (xs,x) (A $[z1 ∧ z2]-zs)
by(simp add: swapAll-pres-wlsAll)

lemma swapInp-preserves-wls[simp]:
wlsInp delta inp ==> wlsInp delta (inp %[z1 ∧ z2]-zs)
by(simp add: swapAll-pres-wlsAll)

lemma swapBinp-preserves-wls[simp]:
wlsBinp delta binp ==> wlsBinp delta (binp %%[z1 ∧ z2]-zs)
by(simp add: swapAll-pres-wlsAll)

lemma swapEnvDom-preserves-wls:
assumes wlsEnv rho
shows wlsEnv (swapEnvDom xs x y rho)
proof-
{fix xsa ys let ?Left = {ya. swapEnvDom xs x y rho ys ya ≠ None}
have |{y} ∪ {ya. rho ys ya ≠ None}| < o |UNIV :: 'var set|
using assms var-infinite-INNER card-of-Un-singl-ordLess-infinite
unfolding wlsEnv-def by fastforce
hence |{x,y} ∪ {ya. rho ys ya ≠ None}| < o |UNIV :: 'var set|
using var-infinite-INNER card-of-Un-singl-ordLess-infinite by fastforce
moreover
{have ?Left ⊆ {x,y} ∪ {ya. rho ys ya ≠ None}
unfolding swapEnvDom-def sw-def[abs-def] by auto
hence |?Left| ≤ o |{x,y} ∪ {ya. rho ys ya ≠ None}|
using card-of-mono1 by auto
}
ultimately have |?Left| < o |UNIV :: 'var set|
using ordLeq-ordLess-trans by blast
}

```

```

}

thus ?thesis using assms unfolding wlsEnv-def liftAll-def
by (auto simp add: swapEnvDom-def)
qed

lemma swapEnvIm-preserves-wls:
assumes wlsEnv rho
shows wlsEnv (swapEnvIm xs x y rho)
using assms unfolding wlsEnv-def swapEnvIm-def liftAll-def lift-def
by (auto split: option.splits)

lemma swapEnv-preserves-wls[simp]:
assumes wlsEnv rho
shows wlsEnv (rho &[z1 ∧ z2]-zs)
unfolding swapEnv-def comp-def
using assms by(auto simp: swapEnvDom-preserves-wls swapEnvIm-preserves-wls)

lemmas swapAll-preserve-wls =
swap-preserves-wls swapAbs-preserves-wls
swapInp-preserves-wls swapBinp-preserves-wls
swapEnv-preserves-wls

lemma swapped-preserves-wls:
assumes wls s X and (X, Y) ∈ swapped
shows wls s Y
proof-
have (X, Y) ∈ swapped ⟹ wls s X ⟶ wls s Y
by (induct rule: swapped.induct) auto
thus ?thesis using assms by simp
qed

```

7.3.9 Inversion rules for well-sortedness

```

lemma wlsAll-inversion:
(wls s X ⟶
(∀ xs x. X = Var xs x ⟶ s = asSort xs) ∧
(∀ delta inp binp. goodInp inp ∧ goodBinp binp ∧ X = Op delta inp binp ⟶
stOf delta = s ∧ wlsInp delta inp ∧ wlsBinp delta binp))
∧
(wlsAbs xs-s A ⟶
isInBar xs-s ∧
(∀ x X. good X ∧ A = Abs (fst xs-s) x X ⟶
wls (snd xs-s) X))
∧
(wlsInp delta inp ⟶ True)
∧
(wlsBinp delta binp ⟶ True)
proof(induct rule: wls-wlsAbs-wlsInp-wlsBinp.induct)
case (Abs xs s X x)

```

```

then show ?case using swap-preserves-wls3 wls-imp-good
by (metis FixVars.Abs-ainj-ex FixVars-axioms snd-conv)
qed (auto simp: Abs-ainj-ex)

```

```

lemma conjLeft:  $\llbracket \phi_1 \wedge \phi_2; \phi_1 \implies \chi \rrbracket \implies \chi$ 
by blast

```

```

lemma conjRight:  $\llbracket \phi_1 \wedge \phi_2; \phi_2 \implies \chi \rrbracket \implies \chi$ 
by blast

```

lemma wls-inversion[rule-format]:

```

wls s X —>
(\ $\forall$  xs x. X = Var xs x —> s = asSort xs)  $\wedge$ 
(\ $\forall$  delta inp binp. goodInp inp  $\wedge$  goodBinp binp  $\wedge$  X = Op delta inp binp —>
stOf delta = s  $\wedge$  wlsInp delta inp  $\wedge$  wlsBinp delta binp)
using wlsAll-inversion
[of s X undefined undefined undefined undefined]
by (rule conjLeft)

```

lemma wlsAbs-inversion[rule-format]:

```

wlsAbs (xs,s) A —>
isInBar (xs,s)  $\wedge$ 
(\ $\forall$  x X. good X  $\wedge$  A = Abs xs x X —> wls s X)
using wlsAll-inversion
[of undefined undefined (xs,s) A undefined undefined undefined]
by auto

```

lemma wls-Var-simp[simp]:

```

wls s (Var xs x) = (s = asSort xs)
using wls-inversion by auto

```

lemma wls-Op-simp[simp]:

```

assumes goodInp inp and goodBinp binp
shows
wls s (Op delta inp binp) =
(stOf delta = s  $\wedge$  wlsInp delta inp  $\wedge$  wlsBinp delta binp)
using Op assms wls-inversion by blast

```

lemma wls-Abs-simp[simp]:

```

assumes good X
shows wlsAbs (xs,s) (Abs xs x X) = (isInBar (xs,s)  $\wedge$  wls s X)
using Abs assms wlsAbs-inversion by blast

```

lemma wlsAll-inversion2:

```

(wls s X —> True)
 $\wedge$ 
(wlsAbs xs-s A —>

```

```

 $\text{isInBar } xs\text{-}s \wedge$ 
 $(\exists x X. \text{wls } (\text{snd } xs\text{-}s) X \wedge A = \text{Abs } (\text{fst } xs\text{-}s) x X))$ 
 $\wedge$ 
 $(\text{wlsInp } \delta \text{ inp} \longrightarrow \text{True})$ 
 $\wedge$ 
 $(\text{wlsBinp } \delta \text{ binp} \longrightarrow \text{True})$ 
by (induct rule: wls-wlsAbs-wlsInp-wlsBinp.induct)
  (auto simp add: Abs-ainj-ex simp del: not-None-eq)

lemma wlsAbs-inversion2[rule-format]:
wlsAbs (xs,s) A  $\longrightarrow$ 
   $\text{isInBar } (xs,s) \wedge (\exists x X. \text{wls } s X \wedge A = \text{Abs } xs x X)$ 
using wlsAll-inversion2 by auto

corollary wlsAbs-Abs-varSort:
assumes X: good X and wlsAbs: wlsAbs (xs,s) (Abs xs' x X)
shows xs = xs'
by (metis Abs-ainj-all X wlsAbs wlsAbs-inversion2 wls-imp-good)

lemma wlsAbs:
wlsAbs (xs,s) A  $\longleftrightarrow$ 
   $\text{isInBar } (xs,s) \wedge (\exists x X. \text{wls } s X \wedge A = \text{Abs } xs x X)$ 
using Abs wlsAbs-inversion2 by blast

lemma wlsAbs-Abs[simp]:
assumes X: good X
shows wlsAbs (xs',s) (Abs xs x X) = (isInBar (xs',s)  $\wedge$  xs = xs'  $\wedge$  wls s X)
using assms wlsAbs-Abs-varSort by fastforce

lemmas Cons-wls-simps =
wls-Var-simp wls-Op-simp wls-Abs-simp wlsAbs-Abs

```

7.4 Induction principles for well-sorted terms

7.4.1 Regular induction

```

theorem wls-templateInduct[case-names rel Var Op Abs]:
assumes
rel:  $\bigwedge s X Y. [\![\text{wls } s X; (X,Y) \in \text{rel } s]\!] \implies \text{wls } s Y \wedge \text{skel } Y = \text{skel } X$  and
Var:  $\bigwedge xs x. \text{phi } (\text{assSort } xs) (\text{Var } xs x)$  and
Op:
 $\wedge \delta \text{ inp } \binp.$ 
 $[\![\text{wlsInp } \delta \text{ inp}; \text{wlsBinp } \delta \text{ binp};$ 
 $\text{liftAll2 } \text{phi } (\text{arOf } \delta) \text{ inp}; \text{liftAll2 } \text{phiAbs } (\text{barOf } \delta) \text{ binp}]\!]$ 
 $\implies \text{phi } (\text{stOf } \delta) (\text{Op } \delta \text{ inp binp})$  and
Abs:
 $\bigwedge s xs x X.$ 
 $[\![\text{isInBar } (xs,s); \text{wls } s X; \bigwedge Y. (X,Y) \in \text{rel } s \implies \text{phi } s Y]\!]$ 
 $\implies \text{phiAbs } (xs,s) (\text{Abs } xs x X)$ 
shows

```

```

(wls s X → phi s X) ∧
(wlsAbs (xs,s') A → phiAbs (xs,s') A)
proof–
  have (good X → (∀ s. wls s X → phi s X)) ∧
    (goodAbs A → (∀ xs s. wlsAbs (xs,s) A → phiAbs (xs,s) A))
  apply(induct rule: term-templateInduct[of {(X,Y). ∃ s. wls s X ∧ (X,Y) ∈ rel s}])
  subgoal using rel wls-imp-good by blast
  subgoal using Var by auto
  subgoal by (auto intro!: Op simp: wlsInp-iff wlsBinp-iff liftAll-def liftAll2-def)
  subgoal using Abs rel by simp blast .
  thus ?thesis by auto
qed

```

```

theorem wls-rawInduct[case-names Var Op Abs]:
assumes
Var: ∀ xs x. phi (asSort xs) (Var xs x) and
Op:
  ∧ delta inp binp.
    [wlsInp delta inp; wlsBinp delta binp;
     liftAll2 phi (arOf delta) inp; liftAll2 phiAbs (barOf delta) binp]
    ⇒ phi (stOf delta) (Op delta inp binp) and
Abs: ∀ s xs x X. [isInBar (xs,s); wls s X; phi s X] ⇒ phiAbs (xs,s) (Abs xs x X)
shows
  (wls s X → phi s X) ∧
  (wlsAbs (xs,s') A → phiAbs (xs,s') A)
by (induct rule: wls-templateInduct[of λs. Id]) (simp-all add: assms)

```

7.4.2 Fresh induction

First for an unspecified notion of parameter:

```

theorem wls-templateInduct-fresh[case-names Par Rel Var Op Abs]:
fixes s X xs s' A phi phiAbs rel
and vars :: 'varSort ⇒ 'var set
and terms :: 'sort ⇒ ('index,'bindex,'varSort,'var,'opSym)term set
and abs :: ('varSort * 'sort) ⇒ ('index,'bindex,'varSort,'var,'opSym)abs set
and envs :: ('index,'bindex,'varSort,'var,'opSym)env set
assumes
PAR:
  ∧ xs us s.
    ( |vars xs| < o |UNIV :: 'var set| ∨ finite (vars xs)) ∧
    ( |terms s| < o |UNIV :: 'var set| ∨ finite (terms s)) ∧
    ( |abs (us,s)| < o |UNIV :: 'var set| ∨ finite (abs (us,s))) ∧
    (∀ X ∈ terms s. wls s X) ∧
    (∀ A ∈ abs (us,s). wlsAbs (us,s) A) ∧
    ( |envs| < o |UNIV :: 'var set| ∨ finite (envs)) ∧
    (∀ rho ∈ envs. wlsEnv rho) and
rel: ∀ s X Y. [wls s X; (X,Y) ∈ rel s] ⇒ wls s Y ∧ skel Y = skel X and
Var: ∀ xs x. phi (asSort xs) (Var xs x) and

```

Op:

$\wedge \delta \text{ inp } binp.$

```
  [wlsInp delta inp; wlsBinp delta binp;
   liftAll2 (λs X. phi s X) (arOf delta) inp;
   liftAll2 (λ(us,s) A. phiAbs (us,s) A) (barOf delta) binp]
  ==> phi (stOf delta) (Op delta inp binp) and
```

Abs:

$\wedge s \text{ xs } x \text{ X}.$

```
[isInBar (xs,s); wls s X;
 x ∉ vars xs;
 ∧ s' Y. Y ∈ terms s' ==> fresh xs x Y;
 ∧ xs' s' A. A ∈ abs (xs',s') ==> freshAbs xs x A;
 ∧ rho. rho ∈ envs ==> freshEnv xs x rho;
 ∧ Y. (X,Y) ∈ rel s ==> phi s Y]
 ==> phiAbs (xs,s) (Abs xs x X)
```

shows

```
(wls s X → phi s X) ∧
(wlsAbs (xs,s') A → phiAbs (xs,s') A)
```

proof –

```
let ?terms = ∪ s. terms s
let ?abs = ∪ xs s. abs (xs,s)
have ∀ s. |terms s| < o |UNIV :: 'var set|
using PAR var-infinite-INNER finite-ordLess-infinite2 by blast
hence 1: |∪ s. terms s| < o |UNIV :: 'var set|
using sort-lt-var-INNER var-regular-INNER regular-UNION by blast
have ∀ us s. |abs (us,s)| < o |UNIV :: 'var set|
using PAR var-infinite-INNER finite-ordLess-infinite2 by blast
hence 2: |∪ us s. abs (us,s)| < o |UNIV :: 'var set|
by(auto simp add: sort-lt-var-INNER var-regular-INNER regular-UNION)
hence 3: |∪ us s. abs (us,s)| < o |UNIV :: 'var set|
using varSort-lt-var-INNER var-regular-INNER by(auto simp add: regular-UNION)

have (good X → (∀ s. wls s X → phi s X)) ∧
(goodAbs A → (∀ xs s. wlsAbs (xs,s) A → phiAbs (xs,s) A))
apply(induct rule: term-templateInduct-fresh
[of vars ?terms ?abs envs
{(X,Y). ∃ s. wls s X ∧ (X,Y) ∈ rel s}])
subgoal for xs
using PAR 1 2 apply simp-all using wls-imp-good wlsAbs-imp-goodAbs by
blast+
subgoal using assms by simp (meson wls-imp-good)
subgoal using assms by simp
subgoal using assms
by (smt (verit, ccfv-threshold) case-prodI2' liftAll2-def liftAll-def wlsBinp-iff
wlsInp-iff wls-Op-simp)
subgoal using assms by simp metis
done
thus ?thesis by auto
qed
```

A version of the above not employing any relation for the abstraction case:

```

theorem wls-rawInduct-fresh[case-names Par Var Op Abs]:
fixes s X xs s' A phi phiAbs
and vars :: 'varSort ⇒ 'var set
and terms :: 'sort ⇒ ('index,'bindex,'varSort,'var,'opSym)term set
and abs :: ('varSort * 'sort) ⇒ ('index,'bindex,'varSort,'var,'opSym)abs set
and envs :: ('index,'bindex,'varSort,'var,'opSym)env set
assumes
PAR:
 $\bigwedge xs us s.$ 
 $(|vars\ xs| < o \mid UNIV :: 'var\ set| \vee finite\ (vars\ xs)) \wedge$ 
 $(|terms\ s| < o \mid UNIV :: 'var\ set| \vee finite\ (terms\ s)) \wedge$ 
 $(\forall X \in terms\ s. wls\ s\ X) \wedge$ 
 $(|abs\ (us,s)| < o \mid UNIV :: 'var\ set| \vee finite\ (abs\ (us,s))) \wedge$ 
 $(\forall A \in abs\ (us,s). wlsAbs\ (us,s)\ A) \wedge$ 
 $(|envs| < o \mid UNIV :: 'var\ set| \vee finite\ (envs)) \wedge$ 
 $(\forall rho \in envs. wlsEnv\ rho) \text{ and}$ 
Var:  $\bigwedge xs x. phi\ (asSort\ xs) \ (Var\ xs\ x)$  and
Op:
 $\bigwedge delta\ inp\ binp.$ 
 $\llbracket wlsInp\ delta\ inp; wlsBinp\ delta\ binp;$ 
 $liftAll2\ (\lambda s\ X. phi\ s\ X) \ (arOf\ delta)\ inp;$ 
 $liftAll2\ (\lambda (us,s)\ A. phiAbs\ (us,s)\ A) \ (barOf\ delta)\ binp \rrbracket$ 
 $\implies phi\ (stOf\ delta) \ (Op\ delta\ inp\ binp) \text{ and}$ 
Abs:
 $\bigwedge s\ xs\ x\ X.$ 
 $\llbracket isInBar\ (xs,s); wls\ s\ X;$ 
 $x \notin vars\ xs;$ 
 $\bigwedge s'\ Y. Y \in terms\ s' \implies fresh\ xs\ x\ Y;$ 
 $\bigwedge us\ s'\ A. A \in abs\ (us,s') \implies freshAbs\ xs\ x\ A;$ 
 $\bigwedge rho. rho \in envs \implies freshEnv\ xs\ x\ rho;$ 
 $phi\ s\ X \rrbracket$ 
 $\implies phiAbs\ (xs,s) \ (Abs\ xs\ x\ X)$ 
shows
 $(wls\ s\ X \longrightarrow phi\ s\ X) \wedge$ 
 $(wlsAbs\ (xs,s')\ A \longrightarrow phiAbs\ (xs,s')\ A)$ 
apply(induct rule: wls-templateInduct-fresh[of vars terms abs envs λs. Id])
using assms by auto

```

Then for our notion of sorted parameter:

```

theorem wls-induct-fresh[case-names Par Var Op Abs]:
fixes X :: ('index,'bindex,'varSort,'var,'opSym)term and s and
A :: ('index,'bindex,'varSort,'var,'opSym)abs and xs s' and
P :: ('index,'bindex,'varSort,'var,'opSym,'sort)paramS and phi phiAbs
assumes
P: wlsPar P and
Var:  $\bigwedge xs x. phi\ (asSort\ xs) \ (Var\ xs\ x)$  and
Op:
 $\bigwedge delta\ inp\ binp.$ 

```

```

 $\llbracket wlsInp \ delta \ inp; wlsBinp \ delta \ binp;$ 
 $liftAll2 \ (\lambda s. \ phi \ s \ X) \ (arOf \ delta) \ inp;$ 
 $liftAll2 \ (\lambda (us,s) \ A. \ phiAbs \ (us,s) \ A) \ (barOf \ delta) \ binp \rrbracket$ 
 $\implies phi \ (stOf \ delta) \ (Op \ delta \ inp \ binp) \text{ and}$ 
Abs:
 $\bigwedge s \ xs \ x \ X.$ 
 $\llbracket isInBar \ (xs,s); \ wls \ s \ X;$ 
 $x \notin varsOfS \ P \ xs;$ 
 $\bigwedge s' \ Y. \ Y \in termsOfS \ P \ s' \implies fresh \ xs \ x \ Y;$ 
 $\bigwedge us \ s' \ A. \ A \in absOfS \ P \ (us,s') \implies freshAbs \ xs \ x \ A;$ 
 $\bigwedge rho. \ rho \in envsOfS \ P \implies freshEnv \ xs \ x \ rho;$ 
 $phi \ s \ X]$ 
 $\implies phiAbs \ (xs,s) \ (Abs \ xs \ x \ X)$ 
shows
 $(wls \ s \ X \longrightarrow phi \ s \ X) \wedge$ 
 $(wlsAbs \ (xs,s') \ A \longrightarrow phiAbs \ (xs,s') \ A)$ 
proof(induct rule: wls-rawInduct-fresh
 $[of \ varsOfS \ P \ termsOfS \ P \ absOfS \ P \ envsOfS \ P \ - \ - \ s \ X \ xs \ s' \ A])$ 
case (Par xs us s)
then show ?case using assms by(cases P) simp
qed(insert assms, simp-all)

```

7.4.3 The syntactic constructs are almost free (on well-sorted terms)

theorem *wls-Op-inj[simp]*:

assumes *wlsInp delta inp* **and** *wlsBinp delta binp*
and *wlsInp delta' inp'* **and** *wlsBinp delta' binp'*

shows

$$(Op \ delta \ inp \ binp = Op \ delta' \ inp' \ binp') =$$

$$(\delta = \delta' \wedge inp = inp' \wedge binp = binp')$$

using assms by simp

lemma *wls-Abs-ainj-all*:

assumes *wls s X* **and** *wls s' X'*

shows

$$(Abs \ xs \ x \ X = Abs \ xs' \ x' \ X') =$$

$$(xs = xs' \wedge$$

$$(\forall y. (y = x \vee fresh \ xs \ y \ X) \wedge (y = x' \vee fresh \ xs \ y \ X') \longrightarrow$$

$$(X \ # [y \wedge x] - xs) = (X' \ # [y \wedge x'] - xs)))$$

using assms by(simp add: Abs-ainj-all)

theorem *wls-Abs-swap-all*:

assumes *wls s X* **and** *wls s X'*

shows

$$(Abs \ xs \ x \ X = Abs \ xs \ x' \ X') =$$

$$(\forall y. (y = x \vee fresh \ xs \ y \ X) \wedge (y = x' \vee fresh \ xs \ y \ X') \longrightarrow$$

$$(X \ # [y \wedge x] - xs) = (X' \ # [y \wedge x'] - xs))$$

using assms by(simp add: wls-Abs-ainj-all)

```

lemma wls-Abs-ainj-ex:
assumes wls s X and wls s X'
shows
(Abs xs x X = Abs xs' x' X') =
(xs = xs'  $\wedge$ 
 $(\exists y. y \notin \{x, x'\} \wedge \text{fresh } xs y X \wedge \text{fresh } xs y X' \wedge$ 
 $(X \#[y \wedge x]\text{-}xs) = (X' \#[y \wedge x']\text{-}xs)))$ )
using assms by(simp add: Abs-ainj-ex)

theorem wls-Abs-swap-ex:
assumes wls s X and wls s X'
shows
(Abs xs x X = Abs xs x' X') =
 $(\exists y. y \notin \{x, x'\} \wedge \text{fresh } xs y X \wedge \text{fresh } xs y X' \wedge$ 
 $(X \#[y \wedge x]\text{-}xs) = (X' \#[y \wedge x']\text{-}xs))$ )
using assms by(simp add: wls-Abs-ainj-ex)

theorem wls-Abs-inj[simp]:
assumes wls s X and wls s X'
shows
(Abs xs x X = Abs xs x' X') =
(X = X')
using assms by (auto simp: wls-Abs-swap-all)

theorem wls-Abs-swap-cong[fundef-cong]:
assumes wls s X and wls s X'
and fresh xs y X and fresh xs y X' and (X #[y  $\wedge$  x]\text{-}xs) = (X' #[y  $\wedge$  x']\text{-}xs)
shows Abs xs x X = Abs xs x' X'
using assms by (intro Abs-cong) auto

theorem wls-Abs-swap-fresh[simp]:
assumes wls s X and fresh xs x' X
shows Abs xs x' (X #[x'  $\wedge$  x]\text{-}xs) = Abs xs x X
using assms by(simp add: Abs-swap-fresh)

theorem wls-Var-diff-Op[simp]:
assumes wlsInp delta inp and wlsBinp delta binp
shows Var xs x  $\neq$  Op delta inp binp
using assms by auto

theorem wls-Op-diff-Var[simp]:
assumes wlsInp delta inp and wlsBinp delta binp
shows Op delta inp binp  $\neq$  Var xs x
using assms by auto

theorem wls-nchotomy:
assumes wls s X
shows

```

```

( $\exists$  xs x. asSort xs = s  $\wedge$  X = Var xs x)  $\vee$ 
  ( $\exists$  delta inp binp. stOf delta = s  $\wedge$  wlsInp delta inp  $\wedge$  wlsBinp delta binp
    $\wedge$  X = Op delta inp binp)
  using assms wls.simps by force

lemmas wls-cases = wls-wlsAbs-wlsInp-wlsBinp.inducts(1)

lemmas wlsAbs-nchotomy = wlsAbs-inversion2

theorem wlsAbs-cases:
assumes wlsAbs (xs,s) A
and  $\bigwedge x X$ . [isInBar (xs,s); wls s X]  $\Longrightarrow$  phiAbs (xs,s) (Abs xs x X)
shows phiAbs (xs,s) A
using assms wlsAbs-nchotomy by blast

lemma wls-disjoint:
assumes wls s X and wls s' X
shows s = s'
using assms term-nchotomy wls-imp-good by fastforce

lemma wlsAbs-disjoint:
assumes wlsAbs (xs,s) A and wlsAbs (xs',s') A
shows xs = xs'  $\wedge$  s = s'
using assms abs-nchotomy wlsAbs-imp-goodAbs wls-disjoint by fastforce

lemmas wls-freeCons =
  Var-inj wls-Op-inj wls-Var-diff-Op wls-Op-diff-Var wls-Abs-swap-fresh

```

7.5 The non-construct operators preserve well-sortedness

```

lemma idEnv-preserves-wls[simp]:
  wlsEnv idEnv
proof-
  have goodEnv idEnv by simp
  thus ?thesis unfolding wlsEnv-def goodEnv-def liftAll-def idEnv-def by auto
qed

lemma updEnv-preserves-wls[simp]:
assumes wlsEnv rho and wls (asSort xs) X
shows wlsEnv (rho [x  $\leftarrow$  X]-xs)
proof-
  {fix ys
  let ?L = {y. rho ys y  $\neq$  None}
  let ?R = {y. (rho [x  $\leftarrow$  X]-xs) ys y  $\neq$  None}
  have ?R  $\leq$  ?L Un {x} by auto
  hence |?R|  $\leq$  o |?L Un {x}| by simp
  moreover
  {have |?L| < o |UNIV :: 'var set|
  using assms unfolding wlsEnv-def by simp

```

```

moreover have  $|\{x\}| < o |\text{UNIV} :: \text{'var set}'|$ 
  using var-infinite-INNER finite-ordLess-infinite by auto
ultimately have  $|\text{?L } \text{Un } \{x\}| < o |\text{UNIV} :: \text{'var set}'|$ 
  using var-infinite-INNER card-of-Un-ordLess-infinite by blast
}
ultimately have  $|\text{?R}| < o |\text{UNIV} :: \text{'var set}'|$ 
  using ordLeq-ordLess-trans by blast
} note  $o = \text{this}$ 
have 1: goodEnv ( $\rho [x \leftarrow X] - xs$ ) using assms by simp
show ?thesis unfolding wlsEnv-def goodEnv-def
  using o 1 assms unfolding wlsEnv-def liftAll-def by auto
qed

lemma getEnv-preserves-wls[simp]:
assumes wlsEnv  $\rho$  and  $\rho xs x = \text{Some } X$ 
shows wls (asSort xs)  $X$ 
using assms unfolding wlsEnv-def liftAll-def by simp

lemmas envOps-preserve-wls =
idEnv-preserves-wls updEnv-preserves-wls
getEnv-preserves-wls

lemma psubstAll-preserves-wlsAll:
assumes P: wlsPar P
shows
 $(wls s X \longrightarrow (\forall \rho \in \text{envsOfS } P. wls s (X \# [\rho]))) \wedge$ 
 $(wlsAbs (xs, s') A \longrightarrow (\forall \rho \in \text{envsOfS } P. wlsAbs (xs, s') (A \$ [\rho])))$ 
proof(induct rule: wls-induct-fresh[of P])
  case (Var xs x)
  show ?case
    using assms apply safe subgoal for  $\rho$ 
    apply(cases  $\rho xs x$ ) apply simp-all
    using getEnv-preserves-wls wlsPar-def by blast+ .
next
  case (Op delta inp binp)
  then show ?case using assms
  by (auto simp:
    wlsInp-iff psubstInp-def wlsBinp-iff psubstBinp-def liftAll2-def lift-def
    sameDom-def intro!: Op-preserves-wls split: option.splits)
qed(insert assms, auto)

lemma psubst-preserves-wls[simp]:
 $\llbracket wls s X; wlsEnv \rho \rrbracket \implies wls s (X \# [\rho])$ 
using psubstAll-preserves-wlsAll[of ParS ( $\lambda \cdot. []$ ) ( $\lambda \cdot. []$ ) ( $\lambda \cdot. []$ ) [ $\rho$ ]]
unfolding wlsPar-def by auto

lemma psubstAbs-preserves-wls[simp]:
 $\llbracket wlsAbs (xs, s) A; wlsEnv \rho \rrbracket \implies wlsAbs (xs, s) (A \$ [\rho])$ 
using psubstAll-preserves-wlsAll[of ParS ( $\lambda \cdot. []$ ) ( $\lambda \cdot. []$ ) ( $\lambda \cdot. []$ ) [ $\rho$ ]]

```

```

unfolding wlsPar-def by auto

lemma psubstInp-preserves-wls[simp]:
assumes wlsInp delta inp and wlsEnv rho
shows wlsInp delta (inp %[rho])
using assms by (auto simp: wlsInp-iff psubstInp-def liftAll2-def lift-def
sameDom-def intro!: Op-preserves-wls split: option.splits)

lemma psubstBinp-preserves-wls[simp]:
assumes wlsBinp delta binp and wlsEnv rho
shows wlsBinp delta (binp %%[rho])
using assms by (auto simp: wlsBinp-iff psubstBinp-def liftAll2-def lift-def
sameDom-def intro!: Op-preserves-wls split: option.splits)

lemma psubstEnv-preserves-wls[simp]:
assumes wlsEnv rho and wlsEnv rho'
shows wlsEnv (rho &[rho'])
proof-
{fix ys y Y
assume (rho &[rho']) ys y = Some Y
hence wls (asSort ys) Y
using assms unfolding psubstEnv-def wlsEnv-def liftAll-def
by (cases rho ys y) (auto simp add: assms)
}
moreover have goodEnv (rho &[rho']) using assms by simp
ultimately show ?thesis
unfolding goodEnv-def wlsEnv-def psubstEnv-def wlsEnv-def liftAll-def
by (auto simp add: assms)
qed

lemmas psubstAll-preserve-wls =
psubst-preserves-wls psubstAbs-preserves-wls
psubstInp-preserves-wls psubstBinp-preserves-wls
psubstEnv-preserves-wls

lemma subst-preserves-wls[simp]:
assumes wls s X and wls (asSort ys) Y
shows wls s (X #[Y / y]-ys)
using assms unfolding subst-def by simp

lemma substAbs-preserves-wls[simp]:
assumes wlsAbs (xs,s) A and wls (asSort ys) Y
shows wlsAbs (xs,s) (A ${[Y / y]-ys})
using assms unfolding substAbs-def by simp

lemma substInp-preserves-wls[simp]:
assumes wlsInp delta inp and wls (asSort ys) Y
shows wlsInp delta (inp %[Y / y]-ys)
using assms unfolding substInp-def by simp

```

```

lemma substBinp-preserves-wls[simp]:
assumes wlsBinp delta binp and wls (asSort ys) Y
shows wlsBinp delta (binp %%[Y / y]-ys)
using assms unfolding substBinp-def by simp

lemma substEnv-preserves-wls[simp]:
assumes wlsEnv rho and wls (asSort ys) Y
shows wlsEnv (rho &[Y / y]-ys)
using assms unfolding substEnv-def by simp

lemmas substAll-preserve-wls =
subst-preserves-wls substAbs-preserves-wls
substInp-preserves-wls substBinp-preserves-wls
substEnv-preserves-wls

lemma vsubst-preserves-wls[simp]:
assumes wls s Y
shows wls s (Y #[x1 // x]-xs)
using assms unfolding vsubst-def by simp

lemma vsubstAbs-preserves-wls[simp]:
assumes wlsAbs (us,s) A
shows wlsAbs (us,s) (A $[x1 // x]-xs)
using assms unfolding vsubstAbs-def by simp

lemma vsubstInp-preserves-wls[simp]:
assumes wlsInp delta inp
shows wlsInp delta (inp %%[x1 // x]-xs)
using assms unfolding vsubstInp-def by simp

lemma vsubstBinp-preserves-wls[simp]:
assumes wlsBinp delta binp
shows wlsBinp delta (binp %%[x1 // x]-xs)
using assms unfolding vsubstBinp-def by simp

lemma vsubstEnv-preserves-wls[simp]:
assumes wlsEnv rho
shows wlsEnv (rho &[x1 // x]-xs)
using assms unfolding vsubstEnv-def by simp

lemmas vsubstAll-preserve-wls = vsubst-preserves-wls vsubstAbs-preserves-wls
vsubstInp-preserves-wls vsubstBinp-preserves-wls vsubstEnv-preserves-wls

lemmas all-preserve-wls = Cons-preserve-wls swapAll-preserve-wls psubstAll-preserve-wls
envOps-preserve-wls
substAll-preserve-wls vsubstAll-preserve-wls

```

7.6 Simplification rules for swapping, substitution, freshness and skeleton

theorem *wls-swap-Op-simp*[simp]:

assumes *wlsInp delta inp* **and** *wlsBinp delta binp*

shows

$$((Op\ delta\ inp\ binp)\ #[x_1 \wedge x_2]\text{-}xs) = \\ Op\ delta\ (inp\ %[x_1 \wedge x_2]\text{-}xs)\ (binp\ %%[x_1 \wedge x_2]\text{-}xs)$$

using assms by simp

theorem *wls-swapAbs-simp*[simp]:

assumes *wls s X*

$$\text{shows } ((Abs\ xs\ x\ X)\ $[y_1 \wedge y_2]\text{-}ys) = Abs\ xs\ (x @xs[y_1 \wedge y_2]\text{-}ys)\ (X\ #[y_1 \wedge y_2]\text{-}ys)$$

using assms by simp

lemmas *wls-swapAll-simps* =

swap-Var-simp wls-swap-Op-simp wls-swapAbs-simp

theorem *wls-fresh-Op-simp*[simp]:

assumes *wlsInp delta inp* **and** *wlsBinp delta binp*

shows

$$\text{fresh}\ xs\ x\ (Op\ delta\ inp\ binp) = \\ (\text{freshInp}\ xs\ x\ inp \wedge \text{freshBinp}\ xs\ x\ binp)$$

using assms by simp

theorem *wls-freshAbs-simp*[simp]:

assumes *wls s X*

$$\text{shows } \text{freshAbs}\ ys\ y\ (Abs\ xs\ x\ X) = (ys = xs \wedge y = x \vee \text{fresh}\ ys\ y\ X)$$

using assms by simp

lemmas *wls-freshAll-simps* =

fresh-Var-simp wls-fresh-Op-simp wls-freshAbs-simp

theorem *wls-skel-Op-simp*[simp]:

assumes *wlsInp delta inp* **and** *wlsBinp delta binp*

shows

$$\text{skel}\ (Op\ delta\ inp\ binp) = \text{Branch}\ (\text{skelInp}\ inp)\ (\text{skelBinp}\ binp)$$

using assms by simp

lemma *wls-skelInp-def2*:

assumes *wlsInp delta inp*

shows *skelInp inp = lift skel inp*

using assms by(simp add: skelInp-def2)

```

lemma wls-skelBinp-def2:
assumes wlsBinp delta binp
shows skelBinp binp = lift skelAbs binp
using assms by(simp add: skelBinp-def2)

theorem wls-skelAbs-simp[simp]:
assumes wls s X
shows skelAbs (Abs xs x X) = Branch (λi. Some (skel X)) Map.empty
using assms by simp

lemmas wls-skelAll-simps =
skel-Var-simp wls-skel-Op-simp wls-skelAbs-simp

theorem wls-psubst-Var-simp1[simp]:
assumes wlsEnv rho and rho xs x = None
shows ((Var xs x) #[rho]) = Var xs x
using assms by simp

theorem wls-psubst-Var-simp2[simp]:
assumes wlsEnv rho and rho xs x = Some X
shows ((Var xs x) #[rho]) = X
using assms by simp

theorem wls-psubst-Op-simp[simp]:
assumes wlsInp delta inp and wlsBinp delta binp and wlsEnv rho
shows ((Op delta inp binp) #[rho]) = Op delta (inp %[rho]) (binp % %[rho])
using assms by simp

theorem wls-psubstAbs-simp[simp]:
assumes wls s X and wlsEnv rho and freshEnv xs x rho
shows ((Abs xs x X) ${rho}) = Abs xs x (X #[rho])
using assms by simp

lemmas wls-psubstAll-simps =
wls-psubst-Var-simp1 wls-psubst-Var-simp2 wls-psubst-Op-simp wls-psubstAbs-simp

lemmas wls-envOps-simps =
getEnv-idEnv getEnv-updEnv1 getEnv-updEnv2

theorem wls-subst-Var-simp1[simp]:
assumes wls (asSort ys) Y
and ys ≠ xs ∨ y ≠ x
shows ((Var xs x) #[Y / y]-ys) = Var xs x
using assms unfolding subst-def by auto

```

```

theorem wls-subst-Var-simp2[simp]:
assumes wls (asSort xs) Y
shows ((Var xs x) #[Y / x]-xs) = Y
using assms unfolding subst-def by auto

theorem wls-subst-Op-simp[simp]:
assumes wls (asSort ys) Y
and wlsInp delta inp and wlsBinp delta binp
shows
((Op delta inp binp) #[Y / y]-ys) =
Op delta (inp %[Y / y]-ys) (binp %%[Y / y]-ys)
using assms unfolding subst-def substInp-def
          substAbs-def substBinp-def by auto

theorem wls-substAbs-simp[simp]:
assumes wls (asSort ys) Y
and wls s X and xs ≠ ys ∨ x ≠ y and fresh xs x Y
shows ((Abs xs x X) ${[Y / y]-ys}) = Abs xs x (X #[Y / y]-ys)
proof-
  have freshEnv xs x (idEnv [y ← Y]-ys) unfolding freshEnv-def liftAll-def
  using assms by simp
  thus ?thesis using assms unfolding subst-def substAbs-def by auto
qed

lemmas wls-substAll-simps =
wls-subst-Var-simp1 wls-subst-Var-simp2 wls-subst-Op-simp wls-substAbs-simp

```

```

theorem wls-vsubst-Op-simp[simp]:
assumes wlsInp delta inp and wlsBinp delta binp
shows
((Op delta inp binp) #[y1 // y]-ys) =
Op delta (inp %[y1 // y]-ys) (binp %%[y1 // y]-ys)
using assms unfolding vsubst-def vsubstInp-def
          vsubstAbs-def vsubstBinp-def by simp

theorem wls-vsubstAbs-simp[simp]:
assumes wls s X and
          xs ≠ ys ∨ x ∉ {y,y1}
shows ((Abs xs x X) ${[y1 // y]-ys}) = Abs xs x (X #[y1 // y]-ys)
using assms unfolding vsubst-def vsubstAbs-def by simp

lemmas wls-vsubstAll-simps =
vsubst-Var-simp wls-vsubst-Op-simp wls-vsubstAbs-simp

```

theorem wls-swapped-skel:

```

assumes wls s X and (X,Y) ∈ swapped
shows skel Y = skel X
apply(rule swapped-skel) using assms by auto

theorem wls-obtain-rep:
assumes wls s X and FRESH: fresh xs x' X
shows ∃ X'. skel X' = skel X ∧ (X,X') ∈ swapped ∧ wls s X' ∧ Abs xs x X = Abs xs x' X'
proof–
  have 0: skel (X #[x' ∧ x]-xs) = skel X using assms by(simp add: skel-swap)
  have 1: wls s (X #[x' ∧ x]-xs) using assms swap-preserves-wls by auto
  have 2: (X, X #[x' ∧ x]-xs) ∈ swapped using Var swap-swapped by auto
  show ?thesis using assms 0 1 2 by fastforce
qed

lemmas wls-allOps-simps =
wls-swapAll-simps
wls-freshAll-simps
wls-skelAll-simps
wls-envOps-simps
wls-psubstAll-simps
wls-substAll-simps
wls-vsubstAll-simps

```

7.7 The ability to pick fresh variables

```

theorem wls-single-non-fresh-ordLess-var:
wls s X  $\implies$  |{x. ¬ fresh xs x X}| < o |UNIV :: 'var set|
by(simp add: single-non-fresh-ordLess-var)

theorem wls-single-non-freshAbs-ordLess-var:
wlsAbs (us,s) A  $\implies$  |{x. ¬ freshAbs xs x A}| < o |UNIV :: 'var set|
by(simp add: single-non-freshAbs-ordLess-var)

theorem wls-obtain-fresh:
fixes V::'varSort  $\Rightarrow$  'var set and
XS::'sort  $\Rightarrow$  ('index,'bindx,'varSort,'var,'opSym)term set and
AS::'varSort  $\Rightarrow$  'sort  $\Rightarrow$  ('index,'bindx,'varSort,'var,'opSym)abs set and
Rho::('index,'bindx,'varSort,'var,'opSym)env set and zs
assumes VVar:  $\forall$  xs. |V xs| < o |UNIV :: 'var set|  $\vee$  finite (V xs)
and XSVar:  $\forall$  s. |XS s| < o |UNIV :: 'var set|  $\vee$  finite (XS s)
and ASVar:  $\forall$  xs s. |AS xs s| < o |UNIV :: 'var set|  $\vee$  finite (AS xs s)
and XSwls:  $\forall$  s.  $\forall$  X ∈ XS s. wls s X
and ASwls:  $\forall$  xs s.  $\forall$  A ∈ AS xs s. wlsAbs (xs,s) A
and RhoVar: |Rho| < o |UNIV :: 'var set|  $\vee$  finite Rho
and Rhowls:  $\forall$  rho ∈ Rho. wlsEnv rho
shows
 $\exists$  z. ( $\forall$  xs. z  $\notin$  V xs)  $\wedge$ 
  ( $\forall$  s.  $\forall$  X ∈ XS s. fresh zs z X)  $\wedge$ 

```

$(\forall xs s. \forall A \in AS xs s. freshAbs zs z A) \wedge$
 $(\forall rho \in Rho. freshEnv zs z rho)$

proof–

let $?VG = \bigcup xs. V xs$ let $?XSG = \bigcup s. XS s$ let $?ASG = \bigcup xs s. AS xs s$
have $\forall xs. |V xs| < o |UNIV :: 'var set|$ using $VVar$ finite-ordLess-var by auto
hence 1: $|?VG| < o |UNIV :: 'var set|$
using var-regular-INNER varSort-lt-var-INNER regular-UNION by blast
have $\forall s. |XS s| < o |UNIV :: 'var set|$ using $XSVar$ finite-ordLess-var by auto
hence 2: $|?XSG| < o |UNIV :: 'var set|$
using var-regular-INNER sort-lt-var-INNER regular-UNION by blast
have $\forall xs s. |AS xs s| < o |UNIV :: 'var set|$ using $ASVar$ finite-ordLess-var by auto
hence $\forall xs. |\bigcup s. AS xs s| < o |UNIV :: 'var set|$
using var-regular-INNER sort-lt-var-INNER regular-UNION by blast
hence 3: $|?ASG| < o |UNIV :: 'var set|$
using var-regular-INNER varSort-lt-var-INNER by (auto simp add: regular-UNION)
have $\exists z. z \notin ?VG \wedge$
 $(\forall X \in ?XSG. fresh zs z X) \wedge$
 $(\forall A \in ?ASG. freshAbs zs z A) \wedge$
 $(\forall rho \in Rho. freshEnv zs z rho)$
using assms 1 2 3 by (intro obtain-fresh) fastforce+
thus ?thesis by auto
qed

theorem wls-obtain-fresh-paramS:

assumes wlsPar P

shows

$\exists z.$
 $(\forall xs. z \notin varsOfS P xs) \wedge$
 $(\forall s. \forall X \in termsOfS P s. fresh zs z X) \wedge$
 $(\forall us s. \forall A \in absOfS P (us,s). freshAbs zs z A) \wedge$
 $(\forall rho \in envsOfS P. freshEnv zs z rho)$
using assms by(cases P) (auto intro: wls-obtain-fresh)

lemma wlsAbs-freshAbs-nchotomy:

assumes A: wlsAbs (xs,s) A and fresh: freshAbs xs x A

shows $\exists X. wls s X \wedge A = Abs xs x X$

proof–

{assume wlsAbs (xs,s) A
hence freshAbs xs x A —> ($\exists X. wls s X \wedge A = Abs xs x X$)
using fresh wls-obtain-rep[of s - xs x] by (fastforce elim!: wlsAbs-cases)
}
thus ?thesis using assms by auto
qed

theorem wlsAbs-fresh-nchotomy:

assumes A: wlsAbs (xs,s) A and P: wlsPar P

shows $\exists x X. A = Abs xs x X \wedge$

wls s X \wedge

$$\begin{aligned}
& (\forall ys. x \notin varsOfS P ys) \wedge \\
& (\forall s'. \forall Y \in termsOfS P s'. fresh xs x Y) \wedge \\
& (\forall us s'. \forall B \in absOfS P (us,s'). freshAbs xs x B) \wedge \\
& (\forall rho \in envsOfS P. freshEnv xs x rho)
\end{aligned}$$

proof-

```

let ?chi =
   $\lambda x. (\forall xs. x \notin varsOfS P xs) \wedge$ 
   $(\forall s'. \forall Y \in termsOfS P s'. fresh xs x Y) \wedge$ 
   $(\forall us s'. \forall B \in (if us = xs \wedge s' = s \text{ then } \{A\} \text{ else } \{\}) \cup absOfS P (us,s').$ 
   $freshAbs xs x B) \wedge$ 
   $(\forall rho \in envsOfS P. freshEnv xs x rho)$ 
have  $\exists x. ?chi x$ 
using A P by (intro wls-obtain-fresh) (cases P, auto) +
then obtain x where 1: ?chi x by blast
hence freshAbs xs x A by fastforce
then obtain X where X: wls s X and 2: A = Abs xs x X
using A 1 wlsAbs-freshAbs-nchotomy[of xs s A x] by auto
thus ?thesis using 1 by blast
qed

```

theorem wlsAbs-fresh-cases:

```

assumes wlsAbs (xs,s) A and wlsPar P
and  $\bigwedge x X.$ 
   $\llbracket wls s X;$ 
   $\bigwedge ys. x \notin varsOfS P ys;$ 
   $\bigwedge s' Y. Y \in termsOfS P s' \implies fresh xs x Y;$ 
   $\bigwedge us s' B. B \in absOfS P (us,s') \implies freshAbs xs x B;$ 
   $\bigwedge rho. rho \in envsOfS P \implies freshEnv xs x rho \rrbracket$ 
   $\implies phi (xs,s) (Abs xs x X) P$ 
shows phi (xs,s) A P
by (metis assms wlsAbs-fresh-nchotomy)

```

7.8 Compositionality properties of freshness and swapping

7.8.1 W.r.t. terms

theorem wls-swap-ident[simp]:

```

assumes wls s X
shows (X #[x ∧ x]-xs) = X
using assms by simp

```

theorem wls-swap-compose:

```

assumes wls s X
shows ((X #[x ∧ y]-zs) #[x' ∧ y']-zs') =
  ((X #[x' ∧ y']-zs') #[((x @zs[x' ∧ y']-zs') ∧ (y @zs[x' ∧ y']-zs'))-zs])
using assms by (intro swap-compose) auto

```

theorem wls-swap-commute:

```

[[wls s X; zs ≠ zs' ∨ {x,y} ∩ {x',y'} = {}]]  $\implies$ 
((X #[x ∧ y]-zs) #[x' ∧ y']-zs') = ((X #[x' ∧ y']-zs') #[x ∧ y]-zs)

```

```

by (intro swap-commute) auto

theorem wls-swap-involutive[simp]:
assumes wls s X
shows ((X #[x ∧ y]-zs) #[x ∧ y]-zs) = X
using assms by simp

theorem wls-swap-inj[simp]:
assumes wls s X and wls s X'
shows
((X #[x ∧ y]-zs) = (X' #[x ∧ y]-zs)) =
(X = X')
using assms by (metis wls-swap-involutive)

theorem wls-swap-involutive2[simp]:
assumes wls s X
shows ((X #[x ∧ y]-zs) #[y ∧ x]-zs) = X
using assms by (simp add: swap-sym)

theorem wls-swap-preserves-fresh[simp]:
assumes wls s X
shows fresh xs (x @xs[y1 ∧ y2]-ys) (X #[y1 ∧ y2]-ys) = fresh xs x X
using assms by simp

theorem wls-swap-preserves-fresh-distinct:
assumes wls s X and
xs ≠ ys ∨ x ∉ {y1,y2}
shows fresh xs x (X #[y1 ∧ y2]-ys) = fresh xs x X
using assms by (intro swap-preserves-fresh-distinct) auto

theorem wls-fresh-swap-exchange1:
assumes wls s X
shows fresh xs x2 (X #[x1 ∧ x2]-xs) = fresh xs x1 X
using assms by (intro fresh-swap-exchange1) auto

theorem wls-fresh-swap-exchange2:
assumes wls s X
shows fresh xs x2 (X #[x2 ∧ x1]-xs) = fresh xs x1 X
using assms by (intro fresh-swap-exchange2) fastforce+

theorem wls-fresh-swap-id[simp]:
assumes wls s X and fresh xs x1 X and fresh xs x2 X
shows (X #[x1 ∧ x2]-xs) = X
using assms by simp

theorem wls-fresh-swap-compose:
assumes wls s X and fresh xs y X and fresh xs z X

```

shows $((X \# [y \wedge x]-xs) \# [z \wedge y]-xs) = (X \# [z \wedge x]-xs)$
using assms by (intro fresh-swap-compose) auto

theorem wls-skel-swap:
assumes wls s X
shows skel $(X \# [x_1 \wedge x_2]-xs) = \text{skel } X$
using assms by (intro skel-swap) auto

7.8.2 W.r.t. environments

theorem wls-swapEnv-ident[simp]:
assumes wlsEnv rho
shows $(\rho \& [x \wedge x]-zs) = \rho$
using assms by simp

theorem wls-swapEnv-compose:
assumes wlsEnv rho
shows $((\rho \& [x \wedge y]-zs) \& [x' \wedge y]-zs') = ((\rho \& [x' \wedge y]-zs') \& [(x @ zs[x' \wedge y]-zs') \wedge (y @ zs[x' \wedge y]-zs')]-zs)$
using assms by (intro swapEnv-compose) auto

theorem wls-swapEnv-commute:
 $\llbracket wlsEnv \rho; zs \neq zs' \vee \{x, y\} \cap \{x', y'\} = \{\} \rrbracket \implies ((\rho \& [x \wedge y]-zs) \& [x' \wedge y]-zs') = ((\rho \& [x' \wedge y]-zs') \& [x \wedge y]-zs)$
by (intro swapEnv-commute) fastforce+

theorem wls-swapEnv-involutive[simp]:
assumes wlsEnv rho
shows $((\rho \& [x \wedge y]-zs) \& [x \wedge y]-zs) = \rho$
using assms by simp

theorem wls-swapEnv-inj[simp]:
assumes wlsEnv rho and wlsEnv rho'
shows
 $((\rho \& [x \wedge y]-zs) = (\rho' \& [x \wedge y]-zs)) = (\rho = \rho')$
by (metis assms wls-swapEnv-involutive)

theorem wls-swapEnv-involutive2[simp]:
assumes wlsEnv rho
shows $((\rho \& [x \wedge y]-zs) \& [y \wedge x]-zs) = \rho$
using assms by(simp add: swapEnv-sym)

theorem wls-swapEnv-preserves-freshEnv[simp]:
assumes wlsEnv rho
shows $\text{freshEnv } xs (x @ xs[y_1 \wedge y_2]-ys) (\rho \& [y_1 \wedge y_2]-ys) = \text{freshEnv } xs x \rho$
using assms by simp

```

theorem wls-swapEnv-preserves-freshEnv-distinct:
assumes wlsEnv rho
  xs ≠ ys ∨ x ∉ {y1,y2}
shows freshEnv xs x (rho &[y1 ∧ y2]-ys) = freshEnv xs x rho
using assms by (intro swapEnv-preserves-freshEnv-distinct) auto

theorem wls-freshEnv-swapEnv-exchange1:
assumes wlsEnv rho
shows freshEnv xs x2 (rho &[x1 ∧ x2]-xs) = freshEnv xs x1 rho
using assms by (intro freshEnv-swapEnv-exchange1) auto

theorem wls-freshEnv-swapEnv-exchange2:
assumes wlsEnv rho
shows freshEnv xs x2 (rho &[x2 ∧ x1]-xs) = freshEnv xs x1 rho
using assms by (intro freshEnv-swapEnv-exchange2) auto

theorem wls-freshEnv-swapEnv-id[simp]:
assumes wlsEnv rho and freshEnv xs x1 rho and freshEnv xs x2 rho
shows (rho &[x1 ∧ x2]-xs) = rho
using assms by simp

theorem wls-freshEnv-swapEnv-compose:
assumes wlsEnv rho and freshEnv xs y rho and freshEnv xs z rho
shows ((rho &[y ∧ x]-xs) &[z ∧ y]-xs) = (rho &[z ∧ x]-xs)
using assms by (intro freshEnv-swapEnv-compose) auto

```

7.8.3 W.r.t. abstractions

```

theorem wls-swapAbs-ident[simp]:
wlsAbs (us,s) A ==> (A $[x ∧ x]-xs) = A
by (elim wlsAbs-cases) auto

theorem wls-swapAbs-compose:
wlsAbs (us,s) A ==>
((A $[x ∧ y]-zs) $[x' ∧ y']-zs') =
((A $[x' ∧ y']-zs') $[(x @zs[x' ∧ y']-zs') ∧ (y @zs[x' ∧ y']-zs')]-zs)
by (erule wlsAbs-cases) (simp, metis sw-compose wls-swap-compose)

theorem wls-swapAbs-commute:
assumes zs ≠ zs' ∨ {x,y} ∩ {x',y'} = {}
shows
wlsAbs (us,s) A ==>
((A $[x ∧ y]-zs) $[x' ∧ y']-zs') = ((A $[x' ∧ y']-zs') $[x ∧ y]-zs)
using assms by (elim wlsAbs-cases) (simp add: sw-commute wls-swap-commute)

theorem wls-swapAbs-involutive[simp]:
wlsAbs (us,s) A ==> ((A $[x ∧ y]-zs) $[x ∧ y]-zs) = A
by (erule wlsAbs-cases) simp-all

```

theorem *wls-swapAbs-sym*:
wlsAbs (us,s) A \implies *(A \$[x \wedge y]-zs) = (A \$[y \wedge x]-zs)*
by (*erule wlsAbs-cases*) (*auto simp add: swap-sym sw-sym*)

theorem *wls-swapAbs-inj[simp]*:
assumes *wlsAbs (us,s) A* **and** *wlsAbs (us,s) A'*
shows
 $((A $[x \wedge y]-zs) = (A' $[x \wedge y]-zs)) =$
 $(A = A')$
by (*metis assms wls-swapAbs-involutive*)

theorem *wls-swapAbs-involutive2[simp]*:
wlsAbs (us,s) A \implies *((A \$[x \wedge y]-zs) \$[y \wedge x]-zs) = A*
using *wls-swapAbs-sym*[*of us s A zs x y*] **by** *auto*

theorem *wls-swapAbs-preserves-freshAbs[simp]*:
wlsAbs (us,s) A
 \implies *freshAbs xs (x @xs[y1 \wedge y2]-ys) (A \$[y1 \wedge y2]-ys) = freshAbs xs x A*
by (*erule wlsAbs-cases*)
 $(simp\text{-}all add: sw\text{-}def wls\text{-}fresh\text{-}swap\text{-}exchange1 wls\text{-}fresh\text{-}swap\text{-}exchange2$
wls-swap-preserves-fresh-distinct)

theorem *wls-swapAbs-preserves-freshAbs-distinct*:
 $\llbracket wlsAbs (us,s) A; xs \neq ys \vee x \notin \{y1,y2\} \rrbracket$
 \implies *freshAbs xs x (A \$[y1 \wedge y2]-ys) = freshAbs xs x A*
apply (*erule wlsAbs-cases*) **apply** *simp-all*
unfolding *sw-def* **by** (*auto simp: wls-swap-preserves-fresh-distinct*)

theorem *wls-freshAbs-swapAbs-exchange1*:
wlsAbs (us,s) A
 \implies *freshAbs xs x2 (A \$[x1 \wedge x2]-xs) = freshAbs xs x1 A*
apply (*erule wlsAbs-cases*) **apply** *simp-all*
unfolding *sw-def* **by** (*auto simp add: wls-fresh-swap-exchange1*)

theorem *wls-freshAbs-swapAbs-exchange2*:
wlsAbs (us,s) A
 \implies *freshAbs xs x2 (A \$[x2 \wedge x1]-xs) = freshAbs xs x1 A*
apply (*erule wlsAbs-cases*) **apply** *simp-all*
unfolding *sw-def* **by** (*auto simp add: wls-fresh-swap-exchange2*)

theorem *wls-freshAbs-swapAbs-id[simp]*:
assumes *wlsAbs (us,s) A*
and *freshAbs xs x1 A* **and** *freshAbs xs x2 A*
shows *(A \$[x1 \wedge x2]-xs) = A*
using *assms* **by** *simp*

lemma *wls-freshAbs-swapAbs-compose-aux*:
 $\llbracket wlsAbs (us,s) A; wlsPar P \rrbracket \implies$

$\forall x y z. \{x,y,z\} \subseteq varsOfS P xs \wedge freshAbs xs y A \wedge freshAbs xs z A \longrightarrow ((A \$[y \wedge x]-xs) \$[z \wedge y]-xs) = (A \$[z \wedge x]-xs)$

apply(erule wlsAbs-fresh-cases)
by simp-all (metis fresh-swap-compose sw-def wls-imp-good)

theorem wls-freshAbs-swapAbs-compose:

assumes wlsAbs (us,s) A
and freshAbs xs y A **and** freshAbs xs z A
shows ((A \\$[y \wedge x]-xs) \\$[z \wedge y]-xs) = (A \\$[z \wedge x]-xs)
proof-
let ?P =
 ParS (λxs'. if xs' = xs then [x,y,z] else []) (λs.[]) (λ-.[]) [] ::
 ('index, 'bindx, 'varSort, 'var, 'opSym, 'sort) paramS
 show ?thesis
 using assms wls-freshAbs-swapAbs-compose-aux[of us s A ?P xs]
 unfolding wlsPar-def **by** simp
qed

theorem wls-skelAbs-swapAbs:

wlsAbs (us,s) A
 \implies skelAbs (A \\$[x1 \wedge x2]-xs) = skelAbs A
by (erule wlsAbs-cases) (auto simp: wls-skel-swap)

lemmas wls-swapAll-freshAll-otherSimps =

wls-swap-ident wls-swap-involutive wls-swap-inj wls-swap-involutive2 wls-swap-preserves-fresh
wls-fresh-swap-id

wls-swapAbs-ident wls-swapAbs-involutive wls-swapAbs-inj wls-swapAbs-involutive2
wls-swapAbs-preserves-freshAbs
wls-freshAbs-swapAbs-id

wls-swapEnv-ident wls-swapEnv-involutive wls-swapEnv-inj wls-swapEnv-involutive2
wls-swapEnv-preserves-freshEnv
wls-freshEnv-swapEnv-id

7.9 Compositionality properties for the other operators

7.9.1 Environment identity, update and “get” versus other operators

theorem wls-psubst-idEnv[simp]:
wls s X \implies (X #[idEnv]) = X
by simp

theorem wls-psubstEnv-idEnv-id[simp]:
wlsEnv rho \implies (rho &[idEnv]) = rho
by simp

```

theorem wls-swapEnv-updEnv-fresh:
assumes zs ≠ ys ∨ y ∉ {z1,z2} and wls (asSort ys) Y
and fresh zs z1 Y and fresh zs z2 Y
shows ((rho [y ← Y]-ys) &[z1 ∧ z2]-zs) = ((rho &[z1 ∧ z2]-zs) [y ← Y]-ys)
using assms by (simp add: swapEnv-updEnv-fresh)

```

7.9.2 Substitution versus other operators

```

theorem wls-fresh-psubst:
assumes wls s X and wlsEnv rho
shows
fresh zs z (X #[rho]) =
(∀ ys y. fresh ys y X ∨ freshImEnvAt zs z rho ys y)
using assms by(simp add: fresh-psubst)

```

```

theorem wls-fresh-psubst-E1:
assumes wls s X and wlsEnv rho
and rho ys y = None and fresh zs z (X #[rho])
shows fresh ys y X ∨ (ys ≠ zs ∨ y ≠ z)
using assms fresh-psubst-E1[of X rho ys y zs z] by simp

```

```

theorem wls-fresh-psubst-E2:
assumes wls s X and wlsEnv rho
and rho ys y = Some Y and fresh zs z (X #[rho])
shows fresh ys y X ∨ fresh zs z Y
using assms fresh-psubst-E2[of X rho ys y Y zs z] by simp

```

```

theorem wls-fresh-psubst-I1:
assumes wls s X and wlsEnv rho
and fresh zs z X and freshEnv zs z rho
shows fresh zs z (X #[rho])
using assms by(simp add: fresh-psubst-I1)

```

```

theorem wls-psubstEnv-preserves-freshEnv:
assumes wlsEnv rho and wlsEnv rho'
and fresh: freshEnv zs z rho' freshEnv zs z rho'
shows freshEnv zs z (rho &[rho'])
using assms by(simp add: psubstEnv-preserves-freshEnv)

```

```

theorem wls-fresh-psubst-I:
assumes wls s X and wlsEnv rho
and rho zs z = None ==> fresh zs z X and
    ∃ ys y Y. rho ys y = Some Y ==> fresh ys y X ∨ fresh zs z Y
shows fresh zs z (X #[rho])
using assms by(simp add: fresh-psubst-I)

```

```

theorem wls-fresh-subst:
assumes wls s X and wls (asSort ys) Y
shows fresh zs z (X #[Y / y]-ys) =

```

```

(((zs = ys ∧ z = y) ∨ fresh zs z X) ∧ (fresh ys y X ∨ fresh zs z Y))
using assms by(simp add: fresh-subst)

theorem wls-fresh-vsubst:
assumes wls s X
shows fresh zs z (X #[y1 // y]-ys) =
    (((zs = ys ∧ z = y) ∨ fresh zs z X) ∧ (fresh ys y X ∨ (zs ≠ ys ∨ z ≠ y1)))
using assms by(simp add: fresh-vsubst)

theorem wls-subst-preserves-fresh:
assumes wls s X and wls (asSort ys) Y
and fresh zs z X and fresh zs z Y
shows fresh zs z (X #[Y / y]-ys)
using assms by(simp add: subst-preserves-fresh)

theorem wls-substEnv-preserves-freshEnv:
assumes wlsEnv rho and wls (asSort ys) Y
and freshEnv zs z rho and fresh zs z Y and zs ≠ ys ∨ z ≠ y
shows freshEnv zs z (rho &[Y / y]-ys)
using assms by(simp add: substEnv-preserves-freshEnv)

theorem wls-vsubst-preserves-fresh:
assumes wls s X
and fresh zs z X and zs ≠ ys ∨ z ≠ y1
shows fresh zs z (X #[y1 // y]-ys)
using assms by(simp add: vsubst-preserves-fresh)

theorem wls-vsubstEnv-preserves-freshEnv:
assumes wlsEnv rho
and freshEnv zs z rho and zs ≠ ys ∨ z ∉ {y,y1}
shows freshEnv zs z (rho &[y1 // y]-ys)
using assms by(simp add: vsubstEnv-preserves-freshEnv)

theorem wls-fresh-fresh-subst[simp]:
assumes wls (asSort ys) Y and wls s X
and fresh ys y Y
shows fresh ys y (X #[Y / y]-ys)
using assms by(simp add: fresh-fresh-subst)

theorem wls-diff-fresh-vsubst[simp]:
assumes wls s X
and y ≠ y1
shows fresh ys y (X #[y1 // y]-ys)
using assms by(simp add: diff-fresh-vsubst)

theorem wls-fresh-subst-E1:
assumes wls s X and wls (asSort ys) Y
and fresh zs z (X #[Y / y]-ys) and zs ≠ ys ∨ z ≠ y
shows fresh zs z X

```

```

using assms fresh-subst-E1[of  $X\ Y\ zs\ z\ ys\ y$ ] by simp

theorem wls-fresh-vsubst-E1:
assumes wls s X
and fresh zs z (X #[y1 // y]-ys) and zs ≠ ys ∨ z ≠ y
shows fresh zs z X
using assms fresh-vsubst-E1[of  $X\ zs\ z\ ys\ y1\ y$ ] by simp

theorem wls-fresh-subst-E2:
assumes wls s X and wls (asSort ys) Y
and fresh zs z (X #[Y / y]-ys)
shows fresh ys y X ∨ fresh zs z Y
using assms fresh-subst-E2[of  $X\ Y\ zs\ z\ ys\ y$ ] by simp

theorem wls-fresh-vsubst-E2:
assumes wls s X
and fresh zs z (X #[y1 // y]-ys)
shows fresh ys y X ∨ zs ≠ ys ∨ z ≠ y1
using assms fresh-vsubst-E2[of  $X\ zs\ z\ ys\ y1\ y$ ] by simp

theorem wls-psubst-cong[fundef-cong]:
assumes wls s X and wlsEnv rho and wlsEnv rho'
and  $\bigwedge ys\ y.\ fresh\ ys\ y\ X \vee rho\ ys\ y = rho'\ ys\ y$ 
shows  $(X\ #[rho]) = (X\ #[rho'])$ 
using assms by (simp add: psubst-cong)

theorem wls-fresh-psubst-updEnv:
assumes wls (asSort ys) Y and wls s X and wlsEnv rho
and fresh ys y X
shows  $(X\ #[rho]\ [y \leftarrow Y]-ys) = (X\ #[rho])$ 
using assms by (simp add: fresh-psubst-updEnv)

theorem wls-freshEnv-psubst-ident[simp]:
assumes wls s X and wlsEnv rho
and  $\bigwedge zs\ z.\ freshEnv\ zs\ z\ rho \vee fresh\ zs\ z\ X$ 
shows  $(X\ #[rho]) = X$ 
using assms by simp

theorem wls-fresh-subst-ident[simp]:
assumes wls (asSort ys) Y and wls s X and fresh ys y X
shows  $(X\ #[Y / y]-ys) = X$ 
using assms by (simp add: fresh-subst-ident)

theorem wls-substEnv-updEnv-fresh:
assumes wls (asSort xs) X and wls (asSort ys) Y and fresh ys y X
shows  $((rho\ [x \leftarrow X]-xs)\ & [Y / y]-ys) = ((rho\ & [Y / y]-ys)\ [x \leftarrow X]-xs)$ 
using assms by (simp add: substEnv-updEnv-fresh)

theorem wls-fresh-substEnv-updEnv[simp]:

```

```

assumes wlsEnv rho and wls (asSort ys) Y
and freshEnv ys y rho
shows (rho &[ Y / y]-ys) = (rho [y ← Y]-ys)
using assms by simp

theorem wls-fresh-vsubst-ident[simp]:
assumes wls s X and fresh ys y X
shows (X #[y1 // y]-ys) = X
using assms by(simp add: fresh-vsubst-ident)

theorem wls-vsubstEnv-updEnv-fresh:
assumes wls s X and fresh ys y X
shows ((rho [x ← X]-xs) &[y1 // y]-ys) = ((rho &[y1 // y]-ys) [x ← X]-xs)
using assms by(simp add: vsubstEnv-updEnv-fresh)

theorem wls-fresh-vsubstEnv-updEnv[simp]:
assumes wlsEnv rho
and freshEnv ys y rho
shows (rho &[y1 // y]-ys) = (rho [y ← Var ys y1]-ys)
using assms by simp

theorem wls-swap-psubst:
assumes wls s X and wlsEnv rho
shows ((X #[rho]) #[z1 ∧ z2]-zs) = ((X #[z1 ∧ z2]-zs) #[rho &[z1 ∧ z2]-zs])
using assms by(simp add: swap-psubst)

theorem wls-swap-subst:
assumes wls s X and wls (asSort ys) Y
shows ((X #[Y / y]-ys) #[z1 ∧ z2]-zs) = ((X #[z1 ∧ z2]-zs) #[(Y #[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)
using assms by(simp add: swap-subst)

theorem wls-swap-vsubst:
assumes wls s X
shows ((X #[y1 // y]-ys) #[z1 ∧ z2]-zs) = ((X #[z1 ∧ z2]-zs) #[(y1 @ys[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)
using assms by(simp add: swap-vsubst)

theorem wls-swapEnv-psubstEnv:
assumes wlsEnv rho and wlsEnv rho'
shows ((rho &[rho']) &[z1 ∧ z2]-zs) = ((rho &[z1 ∧ z2]-zs) &[rho' &[z1 ∧ z2]-zs])
using assms by(simp add: swapEnv-psubstEnv)

theorem wls-swapEnv-substEnv:
assumes wls (asSort ys) Y and wlsEnv rho
shows ((rho &[Y / y]-ys) &[z1 ∧ z2]-zs) =
      ((rho &[z1 ∧ z2]-zs) &[(Y #[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)
using assms by(simp add: swapEnv-substEnv)

```

```

theorem wls-swapEnv-vsubstEnv:
assumes wlsEnv rho
shows ((rho &[y1 // y]-ys) &[z1 ∧ z2]-zs) =
    ((rho &[z1 ∧ z2]-zs) &[(y1 @ys[z1 ∧ z2]-zs) // (y @ys[z1 ∧ z2]-zs)]-ys)
using assms by(simp add: swapEnv-vsubstEnv)

theorem wls-psubst-compose:
assumes wls s X and wlsEnv rho and wlsEnv rho'
shows ((X #[rho]) #[rho']) = (X #[((rho &[rho']))])
using assms by(simp add: psubst-compose)

theorem wls-psubstEnv-compose:
assumes wlsEnv rho and wlsEnv rho' and wlsEnv rho''
shows ((rho &[rho']) &[rho'']) = (rho &[(rho' &[rho''])])
using assms by(simp add: psubstEnv-compose)

theorem wls-psubst-subst-compose:
assumes wls s X and wls (asSort ys) Y and wlsEnv rho
shows ((X #[Y / y]-ys) #[rho]) = (X #[((rho [y ← (Y #[rho])]-ys)])]
using assms by(simp add: psubst-subst-compose)

theorem wls-psubst-subst-compose-freshEnv:
assumes wlsEnv rho and wls s X and wls (asSort ys) Y
and freshEnv ys y rho
shows ((X #[Y / y]-ys) #[rho]) = ((X #[rho]) #[((Y #[rho]) / y]-ys))
using assms by(simp add: psubst-subst-compose-freshEnv)

theorem wls-psubstEnv-substEnv-compose-freshEnv:
assumes wlsEnv rho and wlsEnv rho' and wls (asSort ys) Y
assumes freshEnv ys y rho'
shows ((rho &[Y / y]-ys) &[rho']) = ((rho &[rho']) &[(Y #[rho']) / y]-ys)
using assms by(simp add: psubstEnv-substEnv-compose-freshEnv)

theorem wls-psubstEnv-substEnv-compose:
assumes wlsEnv rho and wls (asSort ys) Y and wlsEnv rho'
shows ((rho &[Y / y]-ys) &[rho']) = (rho &[(rho' [y ← (Y #[rho'])]-ys)])
using assms by(simp add: psubstEnv-substEnv-compose)

theorem wls-psubst-vsubst-compose:
assumes wls s X and wlsEnv rho
shows ((X #[y1 // y]-ys) #[rho]) = (X #[((Var ys y1) #[rho])-ys])
using assms by(simp add: psubst-vsubst-compose)

theorem wls-psubstEnv-vsubstEnv-compose:
assumes wlsEnv rho and wlsEnv rho'
shows ((rho &[y1 // y]-ys) &[rho']) = (rho &[(rho' [y ← ((Var ys y1) #[rho'])]-ys)])
using assms by(simp add: psubstEnv-vsubstEnv-compose)

theorem wls-subst-psubst-compose:

```

assumes $wls\ s\ X$ **and** $wls\ (\text{asSort}\ ys)\ Y$ **and** $wlsEnv\ rho$
shows $((X\ #[rho])\ #[Y\ / y]\text{-}ys) = (X\ #[[rho\ \&\ [Y\ / y]\text{-}ys]])$
using assms by($\text{simp add: subst-psubst-compose}$)

theorem $wls\text{-}substEnv\text{-}psubstEnv\text{-}compose$:
assumes $wlsEnv\ rho$ **and** $wls\ (\text{asSort}\ ys)\ Y$ **and** $wlsEnv\ rho'$
shows $((rho\ \&\ [rho'])\ \&\ [Y\ / y]\text{-}ys) = (rho\ \&\ [(rho'\ \&\ [Y\ / y]\text{-}ys)])$
using assms by($\text{simp add: substEnv-psubstEnv-compose}$)

theorem $wls\text{-}vsubst\text{-}psubst\text{-}compose$:
assumes $wls\ s\ X$ **and** $wlsEnv\ rho$
shows $((X\ #[rho])\ #[y1\ // y]\text{-}ys) = (X\ #[[rho\ \&\ [y1\ // y]\text{-}ys]])$
using assms by($\text{simp add: vsubst-psubst-compose}$)

theorem $wls\text{-}vsubstEnv\text{-}psubstEnv\text{-}compose$:
assumes $wlsEnv\ rho$ **and** $wlsEnv\ rho'$
shows $((rho\ \&\ [rho'])\ \&\ [y1\ // y]\text{-}ys) = (rho\ \&\ [(rho'\ \&\ [y1\ // y]\text{-}ys)])$
using assms by($\text{simp add: vsubstEnv-psubstEnv-compose}$)

theorem $wls\text{-}subst\text{-}compose1$:
assumes $wls\ s\ X$ **and** $wls\ (\text{asSort}\ ys)\ Y1$ **and** $wls\ (\text{asSort}\ ys)\ Y2$
shows $((X\ #[Y1\ / y]\text{-}ys)\ #[Y2\ / y]\text{-}ys) = (X\ #[[Y1\ #[Y2\ / y]\text{-}ys]\ / y]\text{-}ys)$
using assms by($\text{simp add: subst-compose1}$)

theorem $wls\text{-}substEnv\text{-}compose1$:
assumes $wlsEnv\ rho$ **and** $wls\ (\text{asSort}\ ys)\ Y1$ **and** $wls\ (\text{asSort}\ ys)\ Y2$
shows $((rho\ \&\ [Y1\ / y]\text{-}ys)\ \&\ [Y2\ / y]\text{-}ys) = (rho\ \&\ [(Y1\ #[Y2\ / y]\text{-}ys)\ / y]\text{-}ys)$
using assms by($\text{simp add: substEnv-compose1}$)

theorem $wls\text{-}subst\text{-}vsubst\text{-}compose1$:
assumes $wls\ s\ X$ **and** $wls\ (\text{asSort}\ ys)\ Y$ **and** $y \neq y1$
shows $((X\ #[y1\ // y]\text{-}ys)\ #[Y\ / y]\text{-}ys) = (X\ #[[y1\ // y]\text{-}ys]$
using assms by($\text{simp add: subst-vsubst-compose1}$)

theorem $wls\text{-}substEnv\text{-}vsubstEnv\text{-}compose1$:
assumes $wlsEnv\ rho$ **and** $wls\ (\text{asSort}\ ys)\ Y$ **and** $y \neq y1$
shows $((rho\ \&\ [y1\ // y]\text{-}ys)\ \&\ [Y\ / y]\text{-}ys) = (rho\ \&\ [y1\ // y]\text{-}ys)$
using assms by($\text{simp add: substEnv-vsubstEnv-compose1}$)

theorem $wls\text{-}vsubst\text{-}subst\text{-}compose1$:
assumes $wls\ s\ X$ **and** $wls\ (\text{asSort}\ ys)\ Y$
shows $((X\ #[Y\ / y]\text{-}ys)\ #[y1\ // y]\text{-}ys) = (X\ #[[Y\ #[y1\ // y]\text{-}ys]\ / y]\text{-}ys)$
using assms by($\text{simp add: vsubst-subst-compose1}$)

theorem $wls\text{-}vsubstEnv\text{-}substEnv\text{-}compose1$:
assumes $wlsEnv\ rho$ **and** $wls\ (\text{asSort}\ ys)\ Y$
shows $((rho\ \&\ [Y\ / y]\text{-}ys)\ \&\ [y1\ // y]\text{-}ys) = (rho\ \&\ [(Y\ #[y1\ // y]\text{-}ys)\ / y]\text{-}ys)$
using assms by($\text{simp add: vsubstEnv-substEnv-compose1}$)

theorem *wls-vsubst-compose1*:

assumes *wls s X*

shows $((X \# [y1 // y]-ys) \# [y2 // y]-ys) = (X \# [(y1 @ys[y2 / y]-ys) // y]-ys)$

using assms by(*simp add: vsubst-compose1*)

theorem *wls-vsubstEnv-compose1*:

assumes *wlsEnv rho*

shows $((rho \& [y1 // y]-ys) \& [y2 // y]-ys) = (rho \& [(y1 @ys[y2 / y]-ys) // y]-ys)$

using assms by(*simp add: vsubstEnv-compose1*)

theorem *wls-subst-compose2*:

assumes *wls s X and wls (asSort ys) Y and wls (asSort zs) Z*
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z

shows $((X \# [Y / y]-ys) \# [Z / z]-zs) = ((X \# [Z / z]-zs) \# [(Y \# [Z / z]-zs) / y]-ys)$

using assms by(*simp add: subst-compose2*)

theorem *wls-substEnv-compose2*:

assumes *wlsEnv rho and wls (asSort ys) Y and wls (asSort zs) Z*
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z

shows $((rho \& [Y / y]-ys) \& [Z / z]-zs) = ((rho \& [Z / z]-zs) \& [(Y \# [Z / z]-zs) / y]-ys)$

using assms by(*simp add: substEnv-compose2*)

theorem *wls-subst-vsubst-compose2*:

assumes *wls s X and wls (asSort zs) Z*
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z

shows $((X \# [y1 // y]-ys) \# [Z / z]-zs) = ((X \# [Z / z]-zs) \# [((Var ys y1) \# [Z / z]-zs) / y]-ys)$

using assms by(*simp add: subst-vsubst-compose2*)

theorem *wls-substEnv-vsubstEnv-compose2*:

assumes *wlsEnv rho and wls (asSort zs) Z*
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z

shows $((rho \& [y1 // y]-ys) \& [Z / z]-zs) = ((rho \& [Z / z]-zs) \& [((Var ys y1) \# [Z / z]-zs) / y]-ys)$

using assms by(*simp add: substEnv-vsubstEnv-compose2*)

theorem *wls-vsubst-subst-compose2*:

assumes *wls s X and wls (asSort ys) Y*
and ys ≠ zs ∨ y ∉ {z,z1}

shows $((X \# [Y / y]-ys) \# [z1 // z]-zs) = ((X \# [z1 // z]-zs) \# [(Y \# [z1 // z]-zs) / y]-ys)$

using assms by(*simp add: vsubst-subst-compose2*)

theorem *wls-vsubstEnv-substEnv-compose2*:

assumes *wlsEnv rho and wls (asSort ys) Y*
and ys ≠ zs ∨ y ∉ {z,z1}

shows $((rho \& [Y / y]-ys) \& [z1 // z]-zs) = ((rho \& [z1 // z]-zs) \& [(Y \# [z1 // z]-zs) / y]-ys)$

```

 $z]-zs) / y]-ys)$ 
using assms by(simp add: vsubstEnv-substEnv-compose2)

theorem wls-vsubst-compose2:
assumes wls s X
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((X #[y1 // y]-ys) #[z1 // z]-zs) = ((X #[z1 // z]-zs) #[(y1 @ys[z1 / z]-zs) // y]-ys)
using assms by(simp add: vsubst-compose2)

theorem wls-vsubstEnv-compose2:
assumes wlsEnv rho
and ys ≠ zs ∨ y ∉ {z,z1}
shows ((rho &[y1 // y]-ys) &[z1 // z]-zs) =
      ((rho &[z1 // z]-zs) &[(y1 @ys[z1 / z]-zs) // y]-ys)
using assms by(simp add: vsubstEnv-compose2)

```

7.9.3 Properties specific to variable-for-variable substitution

```

theorem wls-vsubst-ident[simp]:
assumes wls s X
shows (X #[z // z]-zs) = X
using assms by(simp add: vsubst-ident)

theorem wls-subst-ident[simp]:
assumes wls s X
shows (X #[(Var zs z) / z]-zs) = X
using assms by simp

theorem wls-vsubst-eq-swap:
assumes wls s X and y1 = y2 ∨ fresh ys y1 X
shows (X #[y1 // y2]-ys) = (X #[y1 ∧ y2]-ys)
using assms by(simp add: vsubst-eq-swap)

theorem wls-skel-vsubst:
assumes wls s X
shows skel (X #[y1 // y2]-ys) = skel X
using assms by(simp add: skel-vsubst)

theorem wls-subst-vsubst-trans:
assumes wls s X and wls (assort ys) Y and fresh ys y1 X
shows ((X #[y1 // y]-ys) #[Y / y1]-ys) = (X #[Y / y]-ys)
using assms by(simp add: subst-vsubst-trans)

theorem wls-vsubst-trans:
assumes wls s X and fresh ys y1 X
shows ((X #[y1 // y]-ys) #[y2 // y1]-ys) = (X #[y2 // y]-ys)
using assms by(simp add: vsubst-trans)

```

theorem *wls-vsubst-commute*:
assumes *wls s X*
and *xs ≠ xs' ∨ {x,y} ∩ {x',y'} = {}* **and** *fresh xs x X* **and** *fresh xs' x' X*
shows $((X \# [x // y]-xs) \# [x' // y']-xs') = ((X \# [x' // y']-xs') \# [x // y]-xs)$
using assms by(*simp add: vsubst-commute*)

theorem *wls-induct[case-names Var Op Abs]*:
assumes
Var: $\bigwedge xs x. \text{phi } (\text{asSort } xs) (\text{Var } xs x)$ and
Op:
 $\bigwedge \text{delta inp binp}$.
 $\llbracket \text{wlsInp delta inp; wlsBinp delta binp;}$
 $\text{liftAll2 phi } (\text{arOf delta}) \text{ inp; liftAll2 phiAbs } (\text{barOf delta}) \text{ binp} \rrbracket$
 $\implies \text{phi } (\text{stOf delta}) (\text{Op delta inp binp})$ **and**
Abs:
 $\bigwedge s xs x X$.
 $\llbracket \text{isInBar } (xs,s); \text{wls s X};$
 $\bigwedge Y. (X,Y) \in \text{swapped} \implies \text{phi s Y};$
 $\bigwedge ys y1 y2. \text{phi s } (X \# [y1 // y2]-ys);$
 $\bigwedge Y. \llbracket \text{wls s Y; skel Y = skel X} \rrbracket \implies \text{phi s Y} \rrbracket$
 $\implies \text{phiAbs } (xs,s) (\text{Abs xs x X})$
shows
 $(\text{wls s X} \longrightarrow \text{phi s X}) \wedge$
 $(\text{wlsAbs } (xs,s') A \longrightarrow \text{phiAbs } (xs,s') A)$
apply(induction rule: wls-templateInduct
 $[\text{of } \lambda s. \text{swapped} \cup \{(X, X \# [y1 // y2]-ys) | X ys y1 y2. \text{True}\}$
 $\cup \{(X, Y). \text{wls s Y} \wedge \text{skel Y = skel X}\}])$
by (*auto simp add: assms swapped-preserves-wls swapped-skel wls-skel-vsubst intro!: Abs*)

theorem *wls-Abs-vsubst-all-aux*:
assumes *wls s X and wls s X'*
shows
 $(\text{Abs xs x X} = \text{Abs xs x' X'}) =$
 $(\forall y. (y = x \vee \text{fresh xs y X}) \wedge (y = x' \vee \text{fresh xs y X'}) \longrightarrow$
 $(X \# [y // x]-xs) = (X' \# [y // x']-xs))$
using assms wls-Abs-swap-all by (*simp add: wls-vsubst-eq-swap*)

theorem *wls-Abs-vsubst-ex*:
assumes *wls s X and wls s X'*
shows
 $(\text{Abs xs x X} = \text{Abs xs x' X'}) =$
 $(\exists y. y \notin \{x,x'\} \wedge \text{fresh xs y X} \wedge \text{fresh xs y X'} \wedge$
 $(X \# [y // x]-xs) = (X' \# [y // x']-xs))$
proof-
let $?phi = \lambda f y. y \notin \{x,x'\} \wedge \text{fresh xs y X} \wedge \text{fresh xs y X}'$
 $\wedge (f xs y x X) = (f xs y x' X')$

```

{assume Abs xs x X = Abs xs x' X'
then obtain y where ?phi swap y using assms wls-Abs-swap-ex by auto
hence ?phi (?lambda xs y x X. (X #[y // x]-xs)) y
using assms by(simp add: wls-vsubst-eq-swap)
hence ?exists y. ?phi (?lambda xs y x X. (X #[y // x]-xs)) y by auto
}
moreover
{fix y assume ?phi (?lambda xs y x X. (X #[y // x]-xs)) y
hence ?phi swap y using assms by(auto simp add: wls-vsubst-eq-swap)
hence Abs xs x X = Abs xs x' X' using assms wls-Abs-swap-ex by auto
}
ultimately show ?thesis by auto
qed

theorem wls-Abs-vsubst-all:
assumes wls s X and wls s X'
shows
(Abs xs x X = Abs xs x' X') =
(\ y. (X #[y // x]-xs) = (X' #[y // x']-xs))
proof(rule iffI, clarify)
assume \ y. (X #[y // x]-xs) = (X' #[y // x']-xs)
thus Abs xs x X = Abs xs x' X'
using assms by(auto simp add: wls-Abs-vsubst-all-aux)
next
fix y
assume Abs xs x X = Abs xs x' X'
then obtain z where z-fresh: fresh xs z X ∧ fresh xs z X'
and (X #[z // x]-xs) = (X' #[z // x']-xs)
using assms by(auto simp add: wls-Abs-vsubst-ex)
hence ((X #[z // x]-xs) #[y // z]-xs) = ((X' #[z // x']-xs) #[y // z]-xs) by
simp
thus (X #[y // x]-xs) = (X' #[y // x']-xs)
using assms z-fresh wls-vsubst-trans by auto
qed

theorem wls-Abs-subst-all:
assumes wls s X and wls s X'
shows
(Abs xs x X = Abs xs x' X') =
(\ Y. wls (asSort xs) Y --> (X #[Y / x]-xs) = (X' #[Y / x']-xs))
proof(rule iffI, clarify)
assume \ Y. wls (asSort xs) Y --> (X #[Y / x]-xs) = (X' #[Y / x']-xs)
hence \ y. (X #[y // x]-xs) = (X' #[y // x']-xs)
unfolding vsubst-def by simp
thus Abs xs x X = Abs xs x' X'
using assms wls-Abs-vsubst-all by auto
next
fix Y assume Y: wls (asSort xs) Y
assume Abs xs x X = Abs xs x' X'

```

then obtain z **where** $z\text{-fresh}$: $\text{fresh } xs \ z \ X \wedge \text{fresh } xs \ z \ X'$
and $(X \#[z // x]\text{-xs}) = (X' \#[z // x']\text{-xs})$
using assms by(*auto simp add: wls-Abs-vsubst-ex*)
hence $((X \#[z // x]\text{-xs}) \#[Y / z]\text{-xs}) = ((X' \#[z // x']\text{-xs}) \#[Y / z]\text{-xs})$ **by**
simp
thus $(X \#[Y / x]\text{-xs}) = (X' \#[Y / x']\text{-xs})$
using assms $z\text{-fresh } Y$ *wls-subst-vsubst-trans* **by** *auto*
qed

lemma *Abs-inj-fresh[simp]*:
assumes $X: \text{wls } s \ X$ **and** $X': \text{wls } s \ X'$
and $\text{fresh-}X: \text{fresh } ys \ x \ X$ **and** $\text{fresh-}X': \text{fresh } ys \ x' \ X'$
and $\text{eq}: \text{Abs } ys \ x \ X = \text{Abs } ys \ x' \ X'$
shows $X = X'$
proof–
obtain z **where** $(X \#[z // x]\text{-ys}) = (X' \#[z // x']\text{-ys})$
using $X \ X' \text{ eq}$ **by**(*auto simp add: wls-Abs-vsubst-ex*)
thus $?thesis$ **using** $X \ X' \text{ fresh-}X \text{ fresh-}X'$ **by** *simp*
qed

theorem *wls-Abs-vsubst-cong*:
assumes $wls \ s \ X$ **and** $wls \ s \ X'$
and $\text{fresh } xs \ y \ X$ **and** $\text{fresh } xs \ y \ X'$ **and** $(X \#[y // x]\text{-xs}) = (X' \#[y // x']\text{-xs})$
shows $\text{Abs } xs \ x \ X = \text{Abs } xs \ x' \ X'$
using assms by (*intro wls-Abs-swap-cong*) (*auto simp: wls-vsubst-eq-swap*)

theorem *wls-Abs-vsubst-fresh[simp]*:
assumes $wls \ s \ X$ **and** $\text{fresh } xs \ x' \ X$
shows $\text{Abs } xs \ x' \ (X \#[x' // x]\text{-xs}) = \text{Abs } xs \ x \ X$
using assms by (*simp add: wls-vsubst-eq-swap*)

theorem *wls-Abs-subst-Var-fresh[simp]*:
assumes $wls \ s \ X$ **and** $\text{fresh } xs \ x' \ X$
shows $\text{Abs } xs \ x' \ (\text{subst } xs \ (\text{Var } xs \ x') \ x \ X) = \text{Abs } xs \ x \ X$
using assms *wls-Abs-vsubst-fresh unfolding vsubst-def* **by** *simp*

theorem *wls-Abs-vsubst-congSTR*:
assumes $wls \ s \ X$ **and** $wls \ s \ X'$
and $y = x \vee \text{fresh } xs \ y \ X \ y = x' \vee \text{fresh } xs \ y \ X'$
and $(X \#[y // x]\text{-xs}) = (X' \#[y // x']\text{-xs})$
shows $\text{Abs } xs \ x \ X = \text{Abs } xs \ x' \ X'$
by (*metis assms wls-Abs-vsubst-fresh wls-vsubst-ident*)

7.9.4 Abstraction versions of the properties

theorem *wls-psubstAbs-idEnv[simp]*:
 $wlsAbs (us,s) A \implies (A \$[idEnv]) = A$
by *simp*

theorem *wls-freshAbs-psubstAbs*:
assumes *wlsAbs (us,s) A and wlsEnv rho*
shows
freshAbs zs z (A \$[rho]) =
 $(\forall ys y. \text{freshAbs } ys y A \vee \text{freshImEnvAt } zs z rho ys y)$
using assms by(simp add: freshAbs-psubstAbs)

theorem *wls-freshAbs-psubstAbs-E1*:
assumes *wlsAbs (us,s) A and wlsEnv rho*
and *rho ys y = None and freshAbs zs z (A \$[rho])*
shows *freshAbs ys y A \vee (ys \neq zs \vee y \neq z)*
using assms freshAbs-psubstAbs-E1[of A rho ys y zs z] by simp

theorem *wls-freshAbs-psubstAbs-E2*:
assumes *wlsAbs (us,s) A and wlsEnv rho*
and *rho ys y = Some Y and freshAbs zs z (A \$[rho])*
shows *freshAbs ys y A \vee fresh zs z Y*
using assms freshAbs-psubstAbs-E2[of A rho ys y Y zs z] by simp

theorem *wls-freshAbs-psubstAbs-I1*:
assumes *wlsAbs (us,s) A and wlsEnv rho*
and *freshAbs zs z A and freshEnv zs z rho*
shows *freshAbs zs z (A \$[rho])*
using assms by(simp add: freshAbs-psubstAbs-I1)

theorem *wls-freshAbs-psubstAbs-I*:
assumes *wlsAbs (us,s) A and wlsEnv rho*
and *rho zs z = None \implies freshAbs zs z A and*
 $\wedge ys y. \text{rho } ys y = \text{Some } Y \implies \text{freshAbs } ys y A \vee \text{fresh zs z } Y$
shows *freshAbs zs z (A \$[rho])*
using assms by(simp add: freshAbs-psubstAbs-I)

theorem *wls-freshAbs-substAbs*:
assumes *wlsAbs (us,s) A and wls (asSort ys) Y*
shows *freshAbs zs z (A \$[Y / y]-ys) =*
 $((zs = ys \wedge z = y) \vee \text{freshAbs } zs z A) \wedge (\text{freshAbs } ys y A \vee \text{fresh zs z } Y))$
using assms by(simp add: freshAbs-substAbs)

theorem *wls-freshAbs-vsubstAbs*:
assumes *wlsAbs (us,s) A*
shows *freshAbs zs z (A \$[y1 // y]-ys) =*
 $((zs = ys \wedge z = y) \vee \text{freshAbs } zs z A) \wedge$
 $(\text{freshAbs } ys y A \vee (zs \neq ys \vee z \neq y1))$
using assms by(simp add: freshAbs-vsubstAbs)

theorem *wls-substAbs-preserves-freshAbs*:
assumes *wlsAbs (us,s) A and wls (asSort ys) Y*

and *freshAbs zs z A and fresh zs z Y*
shows *freshAbs zs z (A \$[Y / y]-ys)*
using assms by(*simp add: substAbs-preserves-freshAbs*)

theorem *wls-vsubstAbs-preserves-freshAbs:*
assumes *wlsAbs (us,s) A*
and *freshAbs zs z A and zs ≠ ys ∨ z ≠ y1*
shows *freshAbs zs z (A \$[y1 // y]-ys)*
using assms by(*simp add: vsubstAbs-preserves-freshAbs*)

theorem *wls-fresh-freshAbs-substAbs[simp]:*
assumes *wls (asSort ys) Y and wlsAbs (us,s) A*
and *fresh ys y Y*
shows *freshAbs ys y (A \$[Y / y]-ys)*
using assms by simp

theorem *wls-diff-freshAbs-vsubstAbs[simp]:*
assumes *wlsAbs (us,s) A*
and *y ≠ y1*
shows *freshAbs ys y (A \$[y1 // y]-ys)*
using assms by simp

theorem *wls-freshAbs-substAbs-E1:*
assumes *wlsAbs (us,s) A and wls (asSort ys) Y*
and *freshAbs zs z (A \$[Y / y]-ys) and z ≠ y ∨ zs ≠ ys*
shows *freshAbs zs z A*
using assms freshAbs-substAbs-E1[of A Y zs z ys y] by auto

theorem *wls-freshAbs-vsubstAbs-E1:*
assumes *wlsAbs (us,s) A*
and *freshAbs zs z (A \$[y1 // y]-ys) and z ≠ y ∨ zs ≠ ys*
shows *freshAbs zs z A*
using assms freshAbs-vsubstAbs-E1[of A zs z ys y1 y] by auto

theorem *wls-freshAbs-substAbs-E2:*
assumes *wlsAbs (us,s) A and wls (asSort ys) Y*
and *freshAbs zs z (A \$[Y / y]-ys)*
shows *freshAbs ys y A ∨ fresh zs z Y*
using assms freshAbs-substAbs-E2[of A Y zs z ys] by simp

theorem *wls-freshAbs-vsubstAbs-E2:*
assumes *wlsAbs (us,s) A*
and *freshAbs zs z (A \$[y1 // y]-ys)*
shows *freshAbs ys y A ∨ zs ≠ ys ∨ z ≠ y1*
using assms freshAbs-vsubstAbs-E2[of A zs z ys y1 y] by simp

theorem *wls-psubstAbs-cong[fundef-cong]:*
assumes *wlsAbs (us,s) A and wlsEnv rho and wlsEnv rho'*
and $\bigwedge ys\ y. \text{freshAbs } ys\ y\ A \vee \rho\ ys\ y = \rho'\ ys\ y$

```

shows (A $[rho]) = (A $[rho'])
using assms by(simp add: psubstAbs-cong)

theorem wls-freshAbs-psubstAbs-updEnv:
assumes wls (asSort xs) X and wlsAbs (us,s) A and wlsEnv rho
and freshAbs xs x A
shows (A $[rho [x ← X]-xs]) = (A $[rho])
using assms by(simp add: freshAbs-psubstAbs-updEnv)

lemma wls-freshEnv-psubstAbs-ident[simp]:
assumes wlsAbs (us,s) A and wlsEnv rho
and ⋀ zs z. freshEnv zs z rho ∨ freshAbs zs z A
shows (A $[rho]) = A
using assms by simp

theorem wls-freshAbs-substAbs-ident[simp]:
assumes wls (asSort xs) X and wlsAbs (us,s) A and freshAbs xs x A
shows (A $[X / x]-xs) = A
using assms by simp

theorem wls-substAbs-Abs[simp]:
assumes wls s X and wls (asSort xs) Y
shows ((Abs xs x X) $[Y / x]-xs) = Abs xs x X
using assms by simp

theorem wls-freshAbs-vsubstAbs-ident[simp]:
assumes wlsAbs (us,s) A and freshAbs xs x A
shows (A $[x1 // x]-xs) = A
using assms by(simp add: freshAbs-vsubstAbs-ident)

theorem wls-swapAbs-psubstAbs:
assumes wlsAbs (us,s) A and wlsEnv rho
shows ((A $[rho]) $[z1 ∧ z2]-zs) = ((A $[z1 ∧ z2]-zs) $[rho &[z1 ∧ z2]-zs])
using assms by(simp add: swapAbs-psubstAbs)

theorem wls-swapAbs-substAbs:
assumes wlsAbs (us,s) A and wls (asSort ys) Y
shows ((A $[Y / y]-ys) $[z1 ∧ z2]-zs) =
((A $[z1 ∧ z2]-zs) $[(Y #[z1 ∧ z2]-zs) / (y @ys[z1 ∧ z2]-zs)]-ys)
using assms by(simp add: swapAbs-substAbs)

theorem wls-swapAbs-vsubstAbs:
assumes wlsAbs (us,s) A
shows ((A $[y1 // y]-ys) $[z1 ∧ z2]-zs) =
((A $[z1 ∧ z2]-zs) $[(y1 @ys[z1 ∧ z2]-zs) // (y @ys[z1 ∧ z2]-zs)]-ys)
using assms by(simp add: swapAbs-vsubstAbs)

theorem wls-psubstAbs-compose:
assumes wlsAbs (us,s) A and wlsEnv rho and wlsEnv rho'

```

```

shows ((A $[rho]) $[rho']) = (A $[(rho &[rho'])])
using assms by(simp add: psubstAbs-compose)

theorem wls-psubstAbs-substAbs-compose:
assumes wlsAbs (us,s) A and wls (asSort ys) Y and wlsEnv rho
shows ((A $[Y / y]-ys) $[rho]) = (A $[(rho [y ← (Y #[rho])-ys])])
using assms by(simp add: psubstAbs-substAbs-compose)

theorem wls-psubstAbs-substAbs-compose-freshEnv:
assumes wlsEnv rho and wlsAbs (us,s) A and wls (asSort ys) Y
assumes freshEnv ys y rho
shows ((A $[Y / y]-ys) $[rho]) = ((A $[rho]) $[(Y #[rho]) / y]-ys)
using assms by (simp add: psubstAbs-substAbs-compose-freshEnv)

theorem wls-psubstAbs-vsubstAbs-compose:
assumes wlsAbs (us,s) A and wlsEnv rho
shows ((A $[y1 // y]-ys) $[rho]) = (A $[(rho [y ← ((Var ys y1) #[rho])-ys)])]
using assms by(simp add: psubstAbs-vsubstAbs-compose)

theorem wls-substAbs-psubstAbs-compose:
assumes wlsAbs (us,s) A and wls (asSort ys) Y and wlsEnv rho
shows ((A $[rho]) $[Y / y]-ys) = (A $[(rho &[Y / y]-ys)])
using assms by(simp add: substAbs-psubstAbs-compose)

theorem wls-vsubstAbs-psubstAbs-compose:
assumes wlsAbs (us,s) A and wlsEnv rho
shows ((A $[rho]) $[y1 // y]-ys) = (A $[(rho &[y1 // y]-ys)])
using assms by(simp add: vsubstAbs-psubstAbs-compose)

theorem wls-substAbs-compose1:
assumes wlsAbs (us,s) A and wls (asSort ys) Y1 and wls (asSort ys) Y2
shows ((A $[Y1 / y]-ys) $[Y2 / y]-ys) = (A $[(Y1 #[Y2 / y]-ys) / y]-ys)
using assms by(simp add: substAbs-compose1)

theorem wls-substAbs-vsubstAbs-compose1:
assumes wlsAbs (us,s) A and wls (asSort ys) Y and y ≠ y1
shows ((A $[y1 // y]-ys) $[Y / y]-ys) = (A $[y1 // y]-ys)
using assms by(simp add: substAbs-vsubstAbs-compose1)

theorem wls-vsubstAbs-substAbs-compose1:
assumes wlsAbs (us,s) A and wls (asSort ys) Y
shows ((A $[Y / y]-ys) $[y1 // y]-ys) = (A $[(Y #[y1 // y]-ys) / y]-ys)
using assms by(simp add: vsubstAbs-substAbs-compose1)

theorem wls-vsubstAbs-compose1:
assumes wlsAbs (us,s) A
shows ((A $[y1 // y]-ys) $[y2 // y]-ys) = (A $[(y1 @ys[y2 / y]-ys) // y]-ys)
using assms by(simp add: vsubstAbs-compose1)

```

theorem *wls-substAbs-compose2*:
assumes *wlsAbs (us,s) A and wls (asSort ys) Y and wls (asSort zs) Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows* $((A \$[Y / y]-ys) \$[Z / z]-zs) = ((A \$[Z / z]-zs) \$[(Y \# [Z / z]-zs) / y]-ys)$
using assms by(*simp add: substAbs-compose2*)

theorem *wls-substAbs-vsubstAbs-compose2*:
assumes *wlsAbs (us,s) A and wls (asSort zs) Z
and ys ≠ zs ∨ y ≠ z and fresh: fresh ys y Z
shows* $((A \$[y1 // y]-ys) \$[Z / z]-zs) = ((A \$[Z / z]-zs) \$[((Var ys y1) \# [Z / z]-zs) / y]-ys)$
using assms by(*simp add: substAbs-vsubstAbs-compose2*)

theorem *wls-vsubstAbs-substAbs-compose2*:
assumes *wlsAbs (us,s) A and wls (asSort ys) Y
and ys ≠ zs ∨ y ∈ {z,z1}
shows* $((A \$[Y / y]-ys) \$[z1 // z]-zs) = ((A \$[z1 // z]-zs) \$[(Y \# [z1 // z]-zs) / y]-ys)$
using assms by(*simp add: vsubstAbs-substAbs-compose2*)

theorem *wls-vsubstAbs-compose2*:
assumes *wlsAbs (us,s) A
and ys ≠ zs ∨ y ∈ {z,z1}
shows* $((A \$[y1 // y]-ys) \$[z1 // z]-zs) = ((A \$[z1 // z]-zs) \$[(y1 @ys[z1 / z]-zs) / y]-ys)$
using assms by(*simp add: vsubstAbs-compose2*)

theorem *wls-vsubstAbs-ident[simp]*:
assumes *wlsAbs (us,s) A
shows* $(A \$[z // z]-zs) = A$
using assms by(*simp add: vsubstAbs-ident*)

theorem *wls-substAbs-ident[simp]*:
assumes *wlsAbs (us,s) A
shows* $(A \$[(Var zs z) / z]-zs) = A$
using assms by *simp*

theorem *wls-vsubstAbs-eq-swapAbs*:
assumes *wlsAbs (us,s) A and y1 = y2 ∨ freshAbs ys y1 A
shows* $(A \$[y1 // y2]-ys) = (A \$[y1 \wedge y2]-ys)$
using assms vsubstAll-swapAll[of Par [y1, y2] [] [] [] - - A]
unfolding goodPar-def by auto

theorem *wls-skelAbs-vsubstAbs*:
assumes *wlsAbs (us,s) A
shows* $skelAbs (A \$[y1 // y2]-ys) = skelAbs A$
using assms by(*simp add: skelAbs-vsubstAbs*)

```

theorem wls-substAbs-vsubstAbs-trans:
assumes wlsAbs (us,s) A and wls (asSort ys) Y and freshAbs ys y1 A
shows ((A $[y1 // y]-ys) $[Y / y1]-ys) = (A $[Y / y]-ys)
using assms by(simp add: substAbs-vsubstAbs-trans)

theorem wls-vsubstAbs-trans:
assumes wlsAbs (us,s) A and freshAbs ys y1 A
shows ((A $[y1 // y]-ys) $[y2 // y1]-ys) = (A $[y2 // y]-ys)
using assms by(simp add: vsubstAbs-trans)

theorem wls-vsubstAbs-commute:
assumes wlsAbs (us,s) A
and xs ≠ xs' ∨ {x,y} ∩ {x',y'} = {} and freshAbs xs x A and freshAbs xs' x' A
shows ((A $[x // y]-xs) $[x' // y']-xs') = ((A $[x' // y']-xs') $[x // y]-xs)
proof –
  have freshAbs xs' x' (A $[x // y]-xs)
  using assms by(auto simp: vsubstAbs-preserves-freshAbs)
  moreover have freshAbs xs x (A $[x' // y']-xs')
  using assms by(auto simp: vsubstAbs-preserves-freshAbs)
  ultimately show ?thesis using assms
  by (auto simp: vsubstAbs-eq-swapAbs intro!: wls-swapAbs-commute)
qed

lemmas wls-psubstAll-freshAll-otherSimps =
wls-psubst-idEnv wls-psubstEnv-idEnv-id wls-psubstAbs-idEnv
wls-freshEnv-psubst-ident wls-freshEnv-psubstAbs-ident

lemmas wls-substAll-freshAll-otherSimps =
wls-fresh-fresh-subst wls-fresh-subst-ident wls-fresh-substEnv-updEnv wls-subst-ident
wls-fresh-freshAbs-substAbs wls-freshAbs-substAbs-ident wls-substAbs-ident
wls-Abs-subst-Var-fresh

lemmas wls-vsubstAll-freshAll-otherSimps =
wls-diff-fresh-vsubst wls-fresh-vsubst-ident wls-fresh-vsubstEnv-updEnv wls-vsubst-ident
wls-diff-freshAbs-vsubstAbs wls-freshAbs-vsubstAbs-ident wls-vsubstAbs-ident
wls-Abs-vsubst-fresh

lemmas wls-allOper-otherSimps =
wls-swapAll-freshAll-otherSimps
wls-psubstAll-freshAll-otherSimps
wls-substAll-freshAll-otherSimps
wls-vsubstAll-freshAll-otherSimps

```

7.10 Operators for down-casting and case-analyzing well-sorted items

The features developed here may occasionally turn out more convenient than obtaining the desired effect by hand, via the corresponding nchotomies.

E.g., when we want to perform the case-analysis uniformly, as part of a function definition, the operators defined in the subsection save some tedious definitions and proofs pertaining to Hilbert choice.

7.10.1 For terms

```

definition isVar where
isVar s (X :: ('index,'bindx,'varSort,'var,'opSym)term) ==
   $\exists$  xs x. s = asSort xs  $\wedge$  X = Var xs x

definition castVar where
castVar s (X :: ('index,'bindx,'varSort,'var,'opSym)term) ==
  SOME xs-x. s = asSort (fst xs-x)  $\wedge$  X = Var (fst xs-x) (snd xs-x)

definition isOp where
isOp s X  $\equiv$ 
   $\exists$  delta inp binp.
    wlsInp delta inp  $\wedge$  wlsBinp delta binp  $\wedge$  s = stOf delta  $\wedge$  X = Op delta inp binp

definition castOp where
castOp s X  $\equiv$ 
  SOME delta-inp-binp.
    wlsInp (fst3 delta-inp-binp) (snd3 delta-inp-binp)  $\wedge$ 
    wlsBinp (fst3 delta-inp-binp) (trd3 delta-inp-binp)  $\wedge$ 
    s = stOf (fst3 delta-inp-binp)  $\wedge$ 
    X = Op (fst3 delta-inp-binp) (snd3 delta-inp-binp) (trd3 delta-inp-binp)

definition sortTermCase where
sortTermCase fVar fOp s X  $\equiv$ 
  if isVar s X then fVar (fst (castVar s X)) (snd (castVar s X))
  else if isOp s X then fOp (fst3 (castOp s X)) (snd3 (castOp s X))
  (trd3 (castOp s X))
  else undefined

lemma isVar-asSort-Var[simp]:
isVar (asSort xs) (Var xs x)
unfolding isVar-def by auto

lemma not-isVar-Op[simp]:
 $\neg$  isVar s (Op delta inp binp)
unfolding isVar-def by auto

lemma isVar-imp-wls:
isVar s X  $\implies$  wls s X
unfolding isVar-def by auto

lemmas isVar-simps =

```

isVar-asSort-Var not-isVar-Op

```
lemma castVar-asSort-Var[simp]:
  castVar (asSort xs) (Var xs x) = (xs,x)
  unfolding castVar-def by (rule some-equality) auto

lemma isVar-castVar:
  assumes isVar s X
  shows asSort (fst (castVar s X)) = s ∧
    Var (fst (castVar s X)) (snd (castVar s X)) = X
  using assms isVar-def by auto

lemma asSort-castVar[simp]:
  isVar s X ==> asSort (fst (castVar s X)) = s
  using isVar-castVar by auto

lemma Var-castVar[simp]:
  isVar s X ==> Var (fst (castVar s X)) (snd (castVar s X)) = X
  using isVar-castVar by auto

lemma castVar-inj[simp]:
  assumes *: isVar s X and **: isVar s' X'
  shows (castVar s X = castVar s' X') = (s = s' ∧ X = X')
  using assms Var-castVar asSort-castVar by fastforce

lemmas castVar-simps =
  castVar-asSort-Var
  asSort-castVar Var-castVar castVar-inj

lemma isOp-stOf-Op[simp]:
  [wlsInp delta inp; wlsBinp delta binp]
  ==> isOp (stOf delta) (Op delta inp binp)
  unfolding isOp-def by auto

lemma not-isOp-Var[simp]:
  ¬ isOp s (Var xs X)
  unfolding isOp-def by auto

lemma isOp-imp-wls:
  isOp s X ==> wls s X
  unfolding isOp-def by auto

lemmas isOp-simps =
  isOp-stOf-Op not-isOp-Var

lemma castOp-stOf-Op[simp]:
  assumes wlsInp delta inp and wlsBinp delta binp
```

```

shows castOp (stOf delta) (Op delta inp binp) = (delta,inp,binp)
using assms unfolding castOp-def by (intro some-equality) auto

lemma isOp-castOp:
assumes isOp s X
shows wlsInp (fst3 (castOp s X)) (snd3 (castOp s X)) ∧
wlsBinp (fst3 (castOp s X)) (trd3 (castOp s X)) ∧
stOf (fst3 (castOp s X)) = s ∧
Op (fst3 (castOp s X)) (snd3 (castOp s X)) (trd3 (castOp s X)) = X
proof-
let ?phi = λ DIB. wlsInp (fst3 DIB) (snd3 DIB) ∧
wlsBinp (fst3 DIB) (trd3 DIB) ∧
s = stOf (fst3 DIB) ∧
X = Op (fst3 DIB) (snd3 DIB) (trd3 DIB)
obtain delta inp binp where ?phi (delta,inp,binp)
using assms unfolding isOp-def by auto
hence ?phi (castOp s X) using someI[of ?phi] by simp
thus ?thesis by simp
qed

lemma wlsInp-castOp[simp]:
isOp s X ==> wlsInp (fst3 (castOp s X)) (snd3 (castOp s X))
using isOp-castOp by auto

lemma wlsBinp-castOp[simp]:
isOp s X ==> wlsBinp (fst3 (castOp s X)) (trd3 (castOp s X))
using isOp-castOp by auto

lemma stOf-castOp[simp]:
isOp s X ==> stOf (fst3 (castOp s X)) = s
using isOp-castOp by auto

lemma Op-castOp[simp]:
isOp s X ==>
Op (fst3 (castOp s X)) (snd3 (castOp s X)) (trd3 (castOp s X)) = X
using isOp-castOp by auto

lemma castOp-inj[simp]:
assumes isOp s X and isOp s' X'
shows (castOp s X = castOp s' X') = (s = s' ∧ X = X')
using assms Op-castOp stOf-castOp by fastforce

lemmas castOp-simps =
castOp-stOf-Op wlsInp-castOp wlsBinp-castOp
stOf-castOp Op-castOp castOp-inj

```

lemma not-isVar-isOp:

```

 $\neg (isVar s X \wedge isOp s X)$ 
unfolding isVar-def isOp-def by auto

lemma isVar-or-isOp:
  wls s X  $\implies$  isVar s X  $\vee$  isOp s X
  by(erule wls-cases) auto

lemma sortTermCase-asSort-Var-simp[simp]:
  sortTermCase fVar fOp (asSort xs) (Var xs x) = fVar xs x
  unfolding sortTermCase-def by auto

lemma sortTermCase-stOf-Op-simp[simp]:
  [wlsInp delta inp; wlsBinp delta binp]  $\implies$ 
    sortTermCase fVar fOp (stOf delta) (Op delta inp binp) = fOp delta inp binp
  unfolding sortTermCase-def by auto

lemma sortTermCase-cong[fundef-cong]:
  assumes  $\bigwedge$  xs x. fVar xs x = gVar xs x
  and  $\bigwedge$  delta inp binp. [wlsInp delta inp; wlsInp delta inp]
     $\implies$  fOp delta inp binp = gOp delta inp binp
  shows wls s X  $\implies$ 
    sortTermCase fVar fOp s X = sortTermCase gVar gOp s X
  apply(erule wls-cases) using assms by auto

lemmas sortTermCase-simps =
  sortTermCase-asSort-Var-simp
  sortTermCase-stOf-Op-simp

lemmas term-cast-simps =
  isOp-simps castOp-simps sortTermCase-simps

```

7.10.2 For abstractions

Here, the situation will be different than that of terms, since:

- an abstraction can only be built using “Abs”, hence we need no “is” operators;
- the constructor “Abs” for abstractions is not injective, so need a more subtle condition on the case-analysis operator.

Yet another difference is that when casting an abstraction “A” such that “wlsAbs (xs,s) A”, we need to cast only the value “A”, and not the sorting part “xs s”, since the latter already contains the desired information. Consequently, below, in the arguments for the case-analysis operator, the sorts “xs s” come before the function “f”, and the latter doesnot take sorts into account.

definition castAbs **where**

castAbs $xs\ s\ A \equiv \text{SOME } x\text{-}X. \text{wls } s (\text{snd } x\text{-}X) \wedge A = \text{Abs } xs (\text{fst } x\text{-}X) (\text{snd } x\text{-}X)$

definition *absCase* **where**

absCase $xs\ s\ f\ A \equiv \text{if wlsAbs } (xs,s) A \text{ then } f (\text{fst } (\text{castAbs } xs\ s\ A)) (\text{snd } (\text{castAbs } xs\ s\ A)) \text{ else undefined}$

definition *compatAbsSwap* **where**

compatAbsSwap $xs\ s\ f \equiv$

$$\begin{aligned} \forall x\ X\ x'\ X'. (\forall y. (y = x \vee \text{fresh } xs\ y\ X) \wedge (y = x' \vee \text{fresh } xs\ y\ X') \\ \longrightarrow (X \# [y \wedge x]\text{-}xs) = (X' \# [y \wedge x']\text{-}xs)) \\ \longrightarrow f\ x\ X = f\ x'\ X' \end{aligned}$$

definition *compatAbsSubst* **where**

compatAbsSubst $xs\ s\ f \equiv$

$$\begin{aligned} \forall x\ X\ x'\ X'. (\forall Y. \text{wls } (\text{asSort } xs)\ Y \longrightarrow (X \# [Y / x]\text{-}xs) = (X' \# [Y / x']\text{-}xs)) \\ \longrightarrow f\ x\ X = f\ x'\ X' \end{aligned}$$

definition *compatAbsVsubst* **where**

compatAbsVsubst $xs\ s\ f \equiv$

$$\begin{aligned} \forall x\ X\ x'\ X'. (\forall y. (X \# [y // x]\text{-}xs) = (X' \# [y // x']\text{-}xs)) \\ \longrightarrow f\ x\ X = f\ x'\ X' \end{aligned}$$

lemma *wlsAbs-castAbs*:

assumes *wlsAbs* $(xs,s) A$

shows *wls s* $(\text{snd } (\text{castAbs } xs\ s\ A)) \wedge$

$\text{Abs } xs (\text{fst } (\text{castAbs } xs\ s\ A)) (\text{snd } (\text{castAbs } xs\ s\ A)) = A$

proof–

let $?phi = \lambda x\text{-}X. \text{wls } s (\text{snd } x\text{-}X) \wedge$
 $A = \text{Abs } xs (\text{fst } x\text{-}X) (\text{snd } x\text{-}X)$

obtain $x\ X$ **where** $?phi (x,X)$ **using** *assms wlsAbs-nchotomy*[of $xs\ s\ A$] **by** *auto*
 hence $?phi (\text{castAbs } xs\ s\ A)$ **unfolding** *castAbs-def* **using** *someI*[of $?phi$] **by**
auto

thus $?thesis$ **by** *simp*

qed

lemma *wls-castAbs[simp]*:

wlsAbs $(xs,s) A \implies \text{wls } s (\text{snd } (\text{castAbs } xs\ s\ A))$

using *wlsAbs-castAbs* **by** *auto*

lemma *Abs-castAbs[simp]*:

wlsAbs $(xs,s) A \implies \text{Abs } xs (\text{fst } (\text{castAbs } xs\ s\ A)) (\text{snd } (\text{castAbs } xs\ s\ A)) = A$

using *wlsAbs-castAbs* **by** *auto*

lemma *castAbs-Abs-swap*:

assumes *isInBar* (xs,s) **and** $X: \text{wls } s\ X$

and $y\ X: y = x \vee \text{fresh } xs\ y\ X$ **and** $y\ X': y = x' \vee \text{fresh } xs\ y\ X'$

and $*: \text{castAbs } xs\ s (\text{Abs } xs\ x\ X) = (x', X')$

```

shows  $(X \#[y \wedge x]\text{-}xs) = (X' \#[y \wedge x']\text{-}xs)$ 
proof-
  have  $wlsAbs (xs,s) (\text{Abs } xs \ x \ X)$  using assms by simp
  moreover
  have  $x' = fst (\text{castAbs } xs \ s (\text{Abs } xs \ x \ X))$  and
         $X' = snd (\text{castAbs } xs \ s (\text{Abs } xs \ x \ X))$  using * by auto
  ultimately
  have  $wls s X'$  and  $\text{Abs } xs \ x \ X = \text{Abs } xs \ x' \ X'$  by auto
  thus ?thesis using  $yxX \ yx'X' \ X$  by(auto simp add: wls-Abs-swap-all)
qed

lemma castAbs-Abs-subst:
assumes isInBar:  $isInBar (xs,s)$ 
and  $X: wls s X$  and  $Y: wls (\text{asSort } xs) Y$ 
and *:  $\text{castAbs } xs \ s (\text{Abs } xs \ x \ X) = (x',X')$ 
shows  $(X \#[Y / x]\text{-}xs) = (X' \#[Y / x']\text{-}xs)$ 
proof-
  have  $wlsAbs (xs,s) (\text{Abs } xs \ x \ X)$  using isInBar X by simp
  moreover
  have  $x' = fst (\text{castAbs } xs \ s (\text{Abs } xs \ x \ X))$  and
         $X' = snd (\text{castAbs } xs \ s (\text{Abs } xs \ x \ X))$  using * by auto
  ultimately
  have  $wls s X'$  and  $\text{Abs } xs \ x \ X = \text{Abs } xs \ x' \ X'$  by auto
  thus ?thesis using  $Y \ X$  by(auto simp add: wls-Abs-subst-all)
qed

lemma castAbs-Abs-vsubst:
assumes isInBar:  $isInBar (xs,s)$  and  $wls s X$ 
and  $\text{castAbs } xs \ s (\text{Abs } xs \ x \ X) = (x',X')$ 
shows  $(X \#[y // x]\text{-}xs) = (X' \#[y // x']\text{-}xs)$ 
using assms unfolding vsubst-def
by (intro castAbs-Abs-subst) auto

lemma castAbs-inj[simp]:
assumes *:  $wlsAbs (xs,s) A$  and **:  $wlsAbs (xs,s) A'$ 
shows  $(\text{castAbs } xs \ s A = \text{castAbs } xs \ s A') = (A = A')$ 
using assms Abs-castAbs by fastforce

lemmas castAbs-simps =
wls-castAbs Abs-castAbs castAbs-inj

```

```

lemma absCase-Abs-swap[simp]:
assumes isInBar:  $isInBar (xs,s)$  and  $X: wls s X$ 
and f-compat:  $\text{compatAbsSwap } xs \ s f$ 
shows  $\text{absCase } xs \ s f (\text{Abs } xs \ x \ X) = f \ x \ X$ 
proof-
  obtain x' X' where 1:  $\text{castAbs } xs \ s (\text{Abs } xs \ x \ X) = (x',X')$ 

```

```

by (cases castAbs xs s (Abs xs x X), auto)
hence 2: absCase xs s f (Abs xs x X) = f x' X'
unfolding absCase-def using isInBar X by auto
have  $\bigwedge y. (y = x \vee \text{fresh } xs y X) \wedge (y = x' \vee \text{fresh } xs y X')$ 
     $\implies (X \#[y \wedge x]\text{-}xs) = (X' \#[y \wedge x']\text{-}xs)$ 
using isInBar X 1 by(simp add: castAbs-Abs-swap)
hence f x X = f x' X' using f-compat
unfolding compatAbsSwap-def by fastforce
thus ?thesis using 2 by simp
qed

lemma absCase-Abs-subst[simp]:
assumes isInBar: isInBar (xs,s) and X: wls s X
and f-compat: compatAbsSubst xs s f
shows absCase xs s f (Abs xs x X) = f x X
proof-
obtain x' X' where 1: castAbs xs s (Abs xs x X) = (x',X')
by (cases castAbs xs s (Abs xs x X)) auto
hence 2: absCase xs s f (Abs xs x X) = f x' X'
unfolding absCase-def using isInBar X by auto
have  $\bigwedge Y. \text{wls } (\text{asSort } xs) Y \implies (X \#[Y / x]\text{-}xs) = (X' \#[Y / x']\text{-}xs)$ 
using isInBar X 1 by(simp add: castAbs-Abs-subst)
hence f x X = f x' X' using f-compat unfolding compatAbsSubst-def by blast
thus ?thesis using 2 by simp
qed

lemma compatAbsVsubst-imp-compatAbsSubst[simp]:
compatAbsVsubst xs s f  $\implies$  compatAbsSubst xs s f
unfolding compatAbsSubst-def compatAbsVsubst-def
vsubst-def by auto

lemma absCase-Abs-vsubst[simp]:
assumes isInBar (xs,s) and wls s X
and compatAbsVsubst xs s f
shows absCase xs s f (Abs xs x X) = f x X
using assms by(simp add: absCase-Abs-subst)

lemma absCase-cong[fundef-cong]:
assumes compatAbsSwap xs s f  $\vee$  compatAbsSubst xs s f  $\vee$  compatAbsVsubst xs s f
and compatAbsSwap xs s f'  $\vee$  compatAbsSubst xs s f'  $\vee$  compatAbsVsubst xs s f'
and  $\bigwedge x. \text{wls } s X \implies f x X = f' x X$ 
shows wlsAbs (xs,s) A  $\implies$ 
absCase xs s f A = absCase xs s f' A
apply(erule wlsAbs-cases) using assms by auto

lemmas absCase-simps = absCase-Abs-swap absCase-Abs-subst
compatAbsVsubst-imp-compatAbsSubst absCase-Abs-vsubst

```

```

lemmas abs-cast-simps = castAbs-simps absCase-simps

lemmas cast-simps = term-cast-simps abs-cast-simps

lemmas wls-item-simps =
wlsAll-imp-goodAll paramS-simps Cons-wls-simps all-preserve-wls
wls-freeCons wls-allOper-simps wls-allOper-otherSimps Abs-inj-fresh cast-simps

lemmas wls-copy-of-good-item-simps = good-freeCons good-allOper-simps good-allOper-otherSimps
param-simps all-preserve-good

declare wls-copy-of-good-item-simps [simp del]
declare qItem-simps [simp del] declare qItem-versus-item-simps [simp del]

end

end

```

8 Iteration

```

theory Iteration imports Well-Sorted-Terms
begin

```

In this section, we introduce first-order models (models, for short). These are structures having operators that match those for terms (including variable-injection, binding operations, freshness, swapping and substitution) and satisfy some clauses, and show that terms form initial models. This gives iteration principles.

As a matter of notation: the prefix “g” will stand for “generalized” – elements of models are referred to as “generalized terms”. The actual full prefix will be “ig” (where “i” stands for “iteration”), symbolizing the fact that the models from this section support iteration, and not general recursion. The latter is dealt with by the models introduced in the next section, for which we use the simple prefix “g”.

8.1 Models

We have two basic kinds of models:

- fresh-swap (FSw) models, featuring operations corresponding to the concrete syntactic constructs (“Var”, “Op”, “Abs”), henceforth referred to simply as *the constructs*, and to fresh and swap;
- fresh-swap-subst (FSb) models, featuring substitution instead of swapping.

We also consider two combinations of the above, FSwSb-models and FSbSw-models.

To keep things structurally simple, we use one single Isabelle for all the 4 kinds models, allowing the most generous signature. Since terms are the main actors of our theory, models being considered only for the sake of recursive definitions, we call the items inhabiting these models “generalized” terms, abstractions and inputs, and correspondingly the operations; hence the prefix “g” from the names of the type parameters and operators. (However, we refer to the generalized items using the same notations as for “concrete items”: X, A, etc.) Indeed, a model can be regarded as implementing a generalization/axiomatization of the term structure, where now the objects are not terms, but do have term-like properties.

8.1.1 Raw models

```
record ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model =
  igWls :: 'sort ⇒ 'gTerm ⇒ bool
  igWlsAbs :: 'varSort × 'sort ⇒ 'gAbs ⇒ bool

  igVar :: 'varSort ⇒ 'var ⇒ 'gTerm
  igAbs :: 'varSort ⇒ 'var ⇒ 'gTerm ⇒ 'gAbs
  igOp :: 'opSym ⇒ ('index,'gTerm)input ⇒ ('bindex,'gAbs)input ⇒ 'gTerm
```

```
igFresh :: 'varSort ⇒ 'var ⇒ 'gTerm ⇒ bool
igFreshAbs :: 'varSort ⇒ 'var ⇒ 'gAbs ⇒ bool
```

```
igSwap :: 'varSort ⇒ 'var ⇒ 'var ⇒ 'gTerm ⇒ 'gTerm
igSwapAbs :: 'varSort ⇒ 'var ⇒ 'var ⇒ 'gAbs ⇒ 'gAbs
```

```
igSubst :: 'varSort ⇒ 'gTerm ⇒ 'var ⇒ 'gTerm ⇒ 'gTerm
igSubstAbs :: 'varSort ⇒ 'gTerm ⇒ 'var ⇒ 'gAbs ⇒ 'gAbs
```

- “igSwap MOD zs z1 z2 X” swaps in X z1 and z2 (assumed of sorts zs).
- “igSubst MOD ys Y x X” substitutes, in X, Y with y (assumed of sort ys).

```
definition igFreshInp where
  igFreshInp MOD ys y inp == liftAll (igFresh MOD ys y) inp
```

```
definition igFreshBinp where
  igFreshBinp MOD ys y binp == liftAll (igFreshAbs MOD ys y) binp
```

```
definition igSwapInp where
  igSwapInp MOD zs z1 z2 inp == lift (igSwap MOD zs z1 z2) inp
```

```
definition igSwapBinp where
  igSwapBinp MOD zs z1 z2 binp == lift (igSwapAbs MOD zs z1 z2) binp
```

```

definition igSubstInp where
  igSubstInp MOD ys Y y inp == lift (igSubst MOD ys Y y) inp

definition igSubstBinp where
  igSubstBinp MOD ys Y y binp == lift (igSubstAbs MOD ys Y y) binp

context FixSyn
begin

```

8.1.2 Well-sorted models of various kinds

We define the following kinds of well-sorted models

- fresh-swap models (predicate “`iwlsFSw`”);
- fresh-subst models (“`iwlsFSb`”);
- fresh-swap-subst models (“`iwlsFSwSb`”);
- fresh-subst-swap models (“`iwlsFSbSw`”).

All of these models are defined as raw models subject to various Horn conditions:

- For “`iwlsFSw`”:
 - definition-like clauses for “fresh” and “swap” in terms of the construct operators;
 - congruence for abstraction based on fresh and swap (mirroring the abstraction case in the definition of alpha-equivalence for quasi-terms). ²
- For “`iwlsFSb`”: the same as for “`iwlsFSw`”, except that:
 - “swap” is replaced by “subst”; ³
 - The [fresh and swap]-based congruence clause is replaced by an “abstraction-renaming” clause, which is stronger than the corresponding [fresh and subst]-based congruence clause. ⁴
- For “`iwlsFSwSb`”: the clauses for “`iwlsFSw`”, plus some of the definition-like clauses for “subst”. ⁵
- For “`iwlsFSbSw`”: the clauses for “`iwlsFSb`”, plus definition-like clauses for “swap”.

Thus, a fresh-swap-subst model is also a fresh-swap model, and a fresh-subst-swap model is also a fresh-subst model.

For convenience, all these 4 kinds of models are defined on one single type,

²Here, by “congruence for abstraction” we do not mean the standard notion of congruence (satisfied by any operator once or ever), but a *stronger* notion: in order for two abstractions to be equal, it is not required that their arguments be equal, but that they be in a “permutative” relationship based either on swapping or on substitution.

³Note that traditionally alpha-equivalence is defined using “subst”, not “swap”.

⁴We also define the [fresh and subst]-based congruence clause, although we do not employ it directly in the definition of any kind of model.

⁵Not all the “subst” definition-like clauses from “`iwlsFSb`” are required for “`iwlsFSwSb`” – namely, the clause that we call “`igSubstIGAbsCls2`” is not required here.

that of *raw models*, which interpret the most generous signature, comprising all the operations and relations required by all 4 kinds of models. Note that, although some operations (namely, “subst” or “swap”) may not be involved in the clauses for certain kinds of models, the extra structure is harmless to the development of their theory.

Note that for the models operations and relations we do not actually write “fresh”, “swap” and “subst”, but “igFresh”, “igSwap” and “igSubst”.

As usual, we shall have not only term versions, but also abstraction versions of the above operations.

```
definition igWlsInp where
  igWlsInp MOD delta inp == 
    wlsOpS delta ∧ sameDom (arOf delta) inp ∧ liftAll2 (igWls MOD) (arOf delta)
    inp
```

```
lemmas igWlsInp-defs = igWlsInp-def sameDom-def liftAll2-def
```

```
definition igWlsBinp where
  igWlsBinp MOD delta binp == 
    wlsOpS delta ∧ sameDom (barOf delta) binp ∧ liftAll2 (igWlsAbs MOD) (barOf delta)
    binp
```

```
lemmas igWlsBinp-defs = igWlsBinp-def sameDom-def liftAll2-def
```

Domain disjointness:

```
definition igWlsDisj where
  igWlsDisj MOD == ∀ s s' X. igWls MOD s X ∧ igWls MOD s' X → s = s'
```

```
definition igWlsAbsDisj where
  igWlsAbsDisj MOD == 
    ∀ xs s xs' s' A.
      isInBar (xs,s) ∧ isInBar (xs',s') ∧
      igWlsAbs MOD (xs,s) A ∧ igWlsAbs MOD (xs',s') A
      → xs = xs' ∧ s = s'
```

```
definition igWlsAllDisj where
  igWlsAllDisj MOD == 
    igWlsDisj MOD ∧ igWlsAbsDisj MOD
```

```
lemmas igWlsAllDisj-defs =
  igWlsAllDisj-def
  igWlsDisj-def igWlsAbsDisj-def
```

Abstraction domains inhabited only within bound arities:

```
definition igWlsAbsIsInBar where
  igWlsAbsIsInBar MOD == 
    ∀ us s A. igWlsAbs MOD (us,s) A → isInBar (us,s)
```

Domain preservation by the operators: weak ("if") versions and strong ("iff") versions (for the latter, we use the suffix "STR"):

The constructs preserve the domains:

```

definition igVarIPresIGWls where
  igVarIPresIGWls MOD ===
     $\forall xs\ x. \text{igWls MOD}(\text{asSort } xs) (\text{igVar MOD } xs\ x)$ 

definition igAbsIPresIGWls where
  igAbsIPresIGWls MOD ===
     $\forall xs\ s\ x\ X. \text{isInBar}(xs, s) \wedge \text{igWls MOD } s\ X \longrightarrow$ 
       $\text{igWlsAbs MOD } (xs, s) (\text{igAbs MOD } xs\ x\ X)$ 

definition igAbsIPresIGWlsSTR where
  igAbsIPresIGWlsSTR MOD ===
     $\forall xs\ s\ x\ X. \text{isInBar}(xs, s) \longrightarrow$ 
       $\text{igWlsAbs MOD } (xs, s) (\text{igAbs MOD } xs\ x\ X) =$ 
         $\text{igWls MOD } s\ X$ 

lemma igAbsIPresIGWlsSTR-imp-igAbsIPresIGWls:
  igAbsIPresIGWlsSTR MOD  $\implies$  igAbsIPresIGWls MOD
  unfolding igAbsIPresIGWlsSTR-def igAbsIPresIGWls-def by simp

definition igOpIPresIGWls where
  igOpIPresIGWls MOD ===
     $\forall \delta\ \text{inp}\ \text{binp}.$ 
       $\text{igWlsInp MOD } \delta\ \text{inp} \wedge \text{igWlsBinp MOD } \delta\ \text{binp}$ 
       $\longrightarrow \text{igWls MOD } (\text{stOf } \delta) (\text{igOp MOD } \delta\ \text{inp}\ \text{binp})$ 

definition igOpIPresIGWlsSTR where
  igOpIPresIGWlsSTR MOD ===
     $\forall \delta\ \text{inp}\ \text{binp}.$ 
       $\text{igWls MOD } (\text{stOf } \delta) (\text{igOp MOD } \delta\ \text{inp}\ \text{binp}) =$ 
         $(\text{igWlsInp MOD } \delta\ \text{inp} \wedge \text{igWlsBinp MOD } \delta\ \text{binp})$ 

lemma igOpIPresIGWlsSTR-imp-igOpIPresIGWls:
  igOpIPresIGWlsSTR MOD  $\implies$  igOpIPresIGWls MOD
  unfolding igOpIPresIGWlsSTR-def igOpIPresIGWls-def by simp

definition igConsIPresIGWls where
  igConsIPresIGWls MOD ===
    igVarIPresIGWls MOD  $\wedge$ 
    igAbsIPresIGWls MOD  $\wedge$ 
    igOpIPresIGWls MOD

lemmas igConsIPresIGWls-defs = igConsIPresIGWls-def
  igVarIPresIGWls-def
  igAbsIPresIGWls-def
  igOpIPresIGWls-def

```

```

definition igConsIPresIGWlsSTR where
  igConsIPresIGWlsSTR MOD ==
    igVarIPresIGWls MOD ∧
    igAbsIPresIGWlsSTR MOD ∧
    igOpIPresIGWlsSTR MOD

lemmas igConsIPresIGWlsSTR-defs = igConsIPresIGWlsSTR-def
  igVarIPresIGWls-def
  igAbsIPresIGWlsSTR-def
  igOpIPresIGWlsSTR-def

lemma igConsIPresIGWlsSTR-imp-igConsIPresIGWls:
  igConsIPresIGWlsSTR MOD ==> igConsIPresIGWls MOD
  unfolding igConsIPresIGWlsSTR-def igConsIPresIGWls-def
  using
    igAbsIPresIGWlsSTR-imp-igAbsIPresIGWls
    igOpIPresIGWlsSTR-imp-igOpIPresIGWls
  by auto

“swap” preserves the domains:

definition igSwapIPresIGWls where
  igSwapIPresIGWls MOD ==
    ∀ zs z1 z2 s X. igWls MOD s X —>
      igWls MOD s (igSwap MOD zs z1 z2 X)

definition igSwapIPresIGWlsSTR where
  igSwapIPresIGWlsSTR MOD ==
    ∀ zs z1 z2 s X. igWls MOD s (igSwap MOD zs z1 z2 X) =
      igWls MOD s X

lemma igSwapIPresIGWlsSTR-imp-igSwapIPresIGWls:
  igSwapIPresIGWlsSTR MOD ==> igSwapIPresIGWls MOD
  unfolding igSwapIPresIGWlsSTR-def igSwapIPresIGWls-def by simp

definition igSwapAbsIPresIGWlsAbs where
  igSwapAbsIPresIGWlsAbs MOD ==
    ∀ zs z1 z2 us s A.
      isInBar (us,s) ∧ igWlsAbs MOD (us,s) A —>
        igWlsAbs MOD (us,s) (igSwapAbs MOD zs z1 z2 A)

definition igSwapAbsIPresIGWlsAbsSTR where
  igSwapAbsIPresIGWlsAbsSTR MOD ==
    ∀ zs z1 z2 us s A.
      igWlsAbs MOD (us,s) (igSwapAbs MOD zs z1 z2 A) =
        igWlsAbs MOD (us,s) A

lemma igSwapAbsIPresIGWlsAbsSTR-imp-igSwapAbsIPresIGWlsAbs:
  igSwapAbsIPresIGWlsAbsSTR MOD ==> igSwapAbsIPresIGWlsAbs MOD

```

```

unfolding igSwapAbsIPresIGWlsAbsSTR-def igSwapAbsIPresIGWlsAbs-def by simp

definition igSwapAllIPresIGWlsAll where
igSwapAllIPresIGWlsAll MOD ==
igSwapIPresIGWls MOD ∧ igSwapAbsIPresIGWlsAbs MOD

lemmas igSwapAllIPresIGWlsAll-defs = igSwapAllIPresIGWlsAll-def
igSwapIPresIGWls-def igSwapAbsIPresIGWlsAbs-def

definition igSwapAllIPresIGWlsAllSTR where
igSwapAllIPresIGWlsAllSTR MOD ==
igSwapIPresIGWlsSTR MOD ∧ igSwapAbsIPresIGWlsAbsSTR MOD

lemmas igSwapAllIPresIGWlsAllSTR-defs = igSwapAllIPresIGWlsAllSTR-def
igSwapIPresIGWlsSTR-def igSwapAbsIPresIGWlsAbsSTR-def

lemma igSwapAllIPresIGWlsAllSTR-imp-igSwapAllIPresIGWlsAll:
igSwapAllIPresIGWlsAllSTR MOD ==> igSwapAllIPresIGWlsAll MOD
unfolding igSwapAllIPresIGWlsAllSTR-def igSwapAllIPresIGWlsAll-def
using
igSwapIPresIGWlsSTR-imp-igSwapIPresIGWls
igSwapAbsIPresIGWlsAbsSTR-imp-igSwapAbsIPresIGWlsAbs
by auto

“subst” preserves the domains:

definition igSubstIPresIGWls where
igSubstIPresIGWls MOD ==
∀ ys Y y s X. igWls MOD (asSort ys) Y ∧ igWls MOD s X →
igWls MOD s (igSubst MOD ys Y y X)

definition igSubstIPresIGWlsSTR where
igSubstIPresIGWlsSTR MOD ==
∀ ys Y y s X.
igWls MOD s (igSubst MOD ys Y y X) =
(igWls MOD (asSort ys) Y ∧ igWls MOD s X)

lemma igSubstIPresIGWlsSTR-imp-igSubstIPresIGWls:
igSubstIPresIGWlsSTR MOD ==> igSubstIPresIGWls MOD
unfolding igSubstIPresIGWlsSTR-def igSubstIPresIGWls-def by simp

definition igSubstAbsIPresIGWlsAbs where
igSubstAbsIPresIGWlsAbs MOD ==
∀ ys Y y us s A.
isInBar (us,s) ∧ igWls MOD (asSort ys) Y ∧ igWlsAbs MOD (us,s) A →
igWlsAbs MOD (us,s) (igSubstAbs MOD ys Y y A)

definition igSubstAbsIPresIGWlsAbsSTR where
igSubstAbsIPresIGWlsAbsSTR MOD ==
∀ ys Y y us s A.

```

```

 $igWlsAbs MOD (us,s) (igSubstAbs MOD ys Y A) =$ 
 $(igWls MOD (asSort ys) Y \wedge igWlsAbs MOD (us,s) A)$ 

lemma igSubstAbsIPresIGWlsAbsSTR-imp-igSubstAbsIPresIGWlsAbs:
igSubstAbsIPresIGWlsAbsSTR MOD  $\implies$  igSubstAbsIPresIGWlsAbs MOD
unfolding igSubstAbsIPresIGWlsAbsSTR-def igSubstAbsIPresIGWlsAbs-def by simp

definition igSubstAllIPresIGWlsAll where
igSubstAllIPresIGWlsAll MOD ==
igSubstIPresIGWls MOD \wedge igSubstAbsIPresIGWlsAbs MOD

lemmas igSubstAllIPresIGWlsAll-defs = igSubstAllIPresIGWlsAll-def
igSubstIPresIGWls-def igSubstAbsIPresIGWlsAbs-def

definition igSubstAllIPresIGWlsAllSTR where
igSubstAllIPresIGWlsAllSTR MOD ==
igSubstIPresIGWlsSTR MOD \wedge igSubstAbsIPresIGWlsAbsSTR MOD

lemmas igSubstAllIPresIGWlsAllSTR-defs = igSubstAllIPresIGWlsAllSTR-def
igSubstIPresIGWlsSTR-def igSubstAbsIPresIGWlsAbsSTR-def

lemma igSubstAllIPresIGWlsAllSTR-imp-igSubstAllIPresIGWlsAll:
igSubstAllIPresIGWlsAllSTR MOD  $\implies$  igSubstAllIPresIGWlsAll MOD
unfolding igSubstAllIPresIGWlsAllSTR-def igSubstAllIPresIGWlsAll-def
using
igSubstIPresIGWlsSTR-imp-igSubstIPresIGWls
igSubstAbsIPresIGWlsAbsSTR-imp-igSubstAbsIPresIGWlsAbs
by auto

Clausules for fresh: fully conditional versions and less conditional, stronger
versions (the latter having suffix "STR").

definition igFreshIGVar where
igFreshIGVar MOD ==
 $\forall ys\ y\ xs\ x.$ 
 $ys \neq xs \vee y \neq x \longrightarrow$ 
igFresh MOD ys y (igVar MOD xs x)

definition igFreshIGAbs1 where
igFreshIGAbs1 MOD ==
 $\forall ys\ y\ s\ X.$ 
isInBar (ys,s) \wedge igWls MOD s X \longrightarrow
igFreshAbs MOD ys y (igAbs MOD ys y X)

definition igFreshIGAbs1STR where
igFreshIGAbs1STR MOD ==
 $\forall ys\ y\ X.$  igFreshAbs MOD ys y (igAbs MOD ys y X)

lemma igFreshIGAbs1STR-imp-igFreshIGAbs1:
igFreshIGAbs1STR MOD  $\implies$  igFreshIGAbs1 MOD

```

```

unfolding igFreshIGAbs1STR-def igFreshIGAbs1-def by simp

definition igFreshIGAbs2 where
igFreshIGAbs2 MOD ==
 $\forall ys y xs x s X.$ 
 $isInBar (xs,s) \wedge igWls MOD s X \longrightarrow$ 
 $igFresh MOD ys y X \longrightarrow igFreshAbs MOD ys y (igAbs MOD xs x X)$ 

definition igFreshIGAbs2STR where
igFreshIGAbs2STR MOD ==
 $\forall ys y xs x X.$ 
 $igFresh MOD ys y X \longrightarrow igFreshAbs MOD ys y (igAbs MOD xs x X)$ 

lemma igFreshIGAbs2STR-imp-igFreshIGAbs2:
igFreshIGAbs2STR MOD  $\Longrightarrow$  igFreshIGAbs2 MOD
unfolding igFreshIGAbs2STR-def igFreshIGAbs2-def by simp

definition igFreshIGOOp where
igFreshIGOOp MOD ==
 $\forall ys y delta inp binp.$ 
 $igWlsInp MOD delta inp \wedge igWlsBinp MOD delta binp \longrightarrow$ 
 $(igFreshInp MOD ys y inp \wedge igFreshBinp MOD ys y binp) \longrightarrow$ 
 $igFresh MOD ys y (igOp MOD delta inp binp)$ 

definition igFreshIGOOpSTR where
igFreshIGOOpSTR MOD ==
 $\forall ys y delta inp binp.$ 
 $igFreshInp MOD ys y inp \wedge igFreshBinp MOD ys y binp \longrightarrow$ 
 $igFresh MOD ys y (igOp MOD delta inp binp)$ 

lemma igFreshIGOOpSTR-imp-igFreshIGOOp:
igFreshIGOOpSTR MOD  $\Longrightarrow$  igFreshIGOOp MOD
unfolding igFreshIGOOpSTR-def igFreshIGOOp-def by simp

definition igFreshCls where
igFreshCls MOD ==
igFreshIGVar MOD  $\wedge$ 
igFreshIGAbs1 MOD  $\wedge$  igFreshIGAbs2 MOD  $\wedge$ 
igFreshIGOOp MOD

lemmas igFreshCls-defs = igFreshCls-def
igFreshIGVar-def
igFreshIGAbs1-def igFreshIGAbs2-def
igFreshIGOOp-def

definition igFreshClsSTR where
igFreshClsSTR MOD ==
igFreshIGVar MOD  $\wedge$ 
igFreshIGAbs1STR MOD  $\wedge$  igFreshIGAbs2STR MOD  $\wedge$ 

```

igFreshIGOOpSTR MOD

lemmas *igFreshClsSTR-defs* = *igFreshClsSTR-def*
igFreshIGVar-def
igFreshIGAbs1STR-def *igFreshIGAbs2STR-def*
igFreshIGOOpSTR-def

lemma *igFreshClsSTR-imp-igFreshCls*:
igFreshClsSTR MOD \implies *igFreshCls MOD*
unfolding *igFreshClsSTR-def* *igFreshCls-def*
using
igFreshIGAbs1STR-imp-igFreshIGAbs1 *igFreshIGAbs2STR-imp-igFreshIGAbs2*
igFreshIGOOpSTR-imp-igFreshIGOOp
by *auto*

definition *igSwapIGVar* **where**
igSwapIGVar MOD ==
 $\forall z s z1 z2 xs x.$
igSwap MOD $zs z1 z2 (igVar MOD xs x) = igVar MOD xs (x @xs[z1 \wedge z2]-zs)$

definition *igSwapIGAbs* **where**
igSwapIGAbs MOD ==
 $\forall z s z1 z2 xs x s X.$
isInBar $(xs,s) \wedge igWls MOD s X \longrightarrow$
igSwapAbs MOD $zs z1 z2 (igAbs MOD xs x X) =$
igAbs MOD $xs (x @xs[z1 \wedge z2]-zs) (igSwap MOD zs z1 z2 X)$

definition *igSwapIGAbsSTR* **where**
igSwapIGAbsSTR MOD ==
 $\forall z s z1 z2 xs x X.$
igSwapAbs MOD $zs z1 z2 (igAbs MOD xs x X) =$
igAbs MOD $xs (x @xs[z1 \wedge z2]-zs) (igSwap MOD zs z1 z2 X)$

lemma *igSwapIGAbsSTR-imp-igSwapIGAbs*:
igSwapIGAbsSTR MOD \implies *igSwapIGAbs MOD*
unfolding *igSwapIGAbsSTR-def* *igSwapIGAbs-def* **by** *simp*

definition *igSwapIGOOp* **where**
igSwapIGOOp MOD ==
 $\forall z s z1 z2 delta inp binp.$
igWlsInp MOD $delta inp \wedge igWlsBinp MOD delta binp \longrightarrow$
igSwap MOD $zs z1 z2 (igoOp MOD delta inp binp) =$
igoOp MOD $delta (igSwapInp MOD zs z1 z2 inp) (igSwapBinp MOD zs z1 z2 binp)$

definition *igSwapIGOOpSTR* **where**
igSwapIGOOpSTR MOD ==

```

 $\forall \text{ } zs \text{ } z1 \text{ } z2 \text{ } \delta \text{ } inp \text{ } binp.$ 
 $igSwap MOD zs z1 z2 (igOp MOD \delta \text{ } inp \text{ } binp) =$ 
 $igOp MOD \delta (igSwapInp MOD zs z1 z2 \text{ } inp) (igSwapBinp MOD zs z1 z2 \text{ } binp)$ 

lemma igSwapIGOpiSTR-imp-igSwapIGOpi:
igSwapIGOpiSTR MOD  $\implies$  igSwapIGOpi MOD
unfolding igSwapIGOpiSTR-def igSwapIGOpi-def by simp

definition igSwapCls where
igSwapCls MOD ==
igSwapIGVar MOD  $\wedge$ 
igSwapIGAbs MOD  $\wedge$ 
igSwapIGOpi MOD

lemmas igSwapCls-defs = igSwapCls-def
igSwapIGVar-def
igSwapIGAbs-def
igSwapIGOpi-def

definition igSwapClsSTR where
igSwapClsSTR MOD ==
igSwapIGVar MOD  $\wedge$ 
igSwapIGAbsSTR MOD  $\wedge$ 
igSwapIGOpiSTR MOD

lemmas igSwapClsSTR-defs = igSwapClsSTR-def
igSwapIGVar-def
igSwapIGAbsSTR-def
igSwapIGOpiSTR-def

lemma igSwapClsSTR-imp-igSwapCls:
igSwapClsSTR MOD  $\implies$  igSwapCls MOD
unfolding igSwapClsSTR-def igSwapCls-def
using
igSwapIGAbsSTR-imp-igSwapIGAbs
igSwapIGOpiSTR-imp-igSwapIGOpi
by auto

definition igSubstIGVar1 where
igSubstIGVar1 MOD ==
 $\forall ys \text{ } y \text{ } Y \text{ } xs \text{ } x.$ 
igWls MOD (asSort ys) \text{ } Y \longrightarrow
 $(ys \neq xs \vee y \neq x) \longrightarrow$ 
igSubst MOD ys \text{ } Y \text{ } y (igVar MOD xs \text{ } x) = igVar MOD xs \text{ } x

definition igSubstIGVar1STR where

```

```


$$\begin{aligned}
igSubstIGVar1STR MOD &== \\
(\forall ys y y1 xs x. \\
(ys \neq xs \vee x \neq y) \longrightarrow \\
igSubst MOD ys (igVar MOD ys y1) y (igVar MOD xs x) = igVar MOD xs x) \\
\wedge \\
(\forall ys y Y xs x. \\
igWls MOD (asSort ys) Y \longrightarrow \\
(ys \neq xs \vee y \neq x) \longrightarrow \\
igSubst MOD ys Y y (igVar MOD xs x) = igVar MOD xs x)
\end{aligned}$$


lemma igSubstIGVar1STR-imp-igSubstIGVar1:  

igSubstIGVar1STR MOD ==> igSubstIGVar1 MOD  

unfolding igSubstIGVar1STR-def igSubstIGVar1-def by simp

definition igSubstIGVar2 where  

igSubstIGVar2 MOD ==>  


$$\forall ys y Y.$$
  


$$igWls MOD (asSort ys) Y \longrightarrow$$
  


$$igSubst MOD ys Y y (igVar MOD ys y) = Y$$


definition igSubstIGVar2STR where  

igSubstIGVar2STR MOD ==>  


$$(\forall ys y y1.$$
  


$$igSubst MOD ys (igVar MOD ys y1) y (igVar MOD ys y) = igVar MOD ys y1)$$
  


$$\wedge$$
  


$$(\forall ys y Y.$$
  


$$igWls MOD (asSort ys) Y \longrightarrow$$
  


$$igSubst MOD ys Y y (igVar MOD ys y) = Y)$$


lemma igSubstIGVar2STR-imp-igSubstIGVar2:  

igSubstIGVar2STR MOD ==> igSubstIGVar2 MOD  

unfolding igSubstIGVar2STR-def igSubstIGVar2-def by simp

definition igSubstIGAbs where  

igSubstIGAbs MOD ==>  


$$\forall ys y Y xs x s X.$$
  


$$isInBar (xs,s) \wedge igWls MOD (asSort ys) Y \wedge igWls MOD s X \longrightarrow$$
  


$$(xs \neq ys \vee x \neq y) \wedge igFresh MOD xs x Y \longrightarrow$$
  


$$igSubstAbs MOD ys Y y (igAbs MOD xs x X) =$$
  


$$igAbs MOD xs x (igSubst MOD ys Y y X)$$


definition igSubstIGAbsSTR where  

igSubstIGAbsSTR MOD ==>  


$$\forall ys y Y xs x X.$$
  


$$(xs \neq ys \vee x \neq y) \wedge igFresh MOD xs x Y \longrightarrow$$
  


$$igSubstAbs MOD ys Y y (igAbs MOD xs x X) =$$
  


$$igAbs MOD xs x (igSubst MOD ys Y y X)$$


lemma igSubstIGAbsSTR-imp-igSubstIGAbs:

```

```

 $igSubstIGAbsSTR \text{ MOD} \implies igSubstIGAbs \text{ MOD}$ 
unfolding  $igSubstIGAbsSTR\text{-def}$   $igSubstIGAbs\text{-def}$  by simp

definition  $igSubstIGO$  where
 $igSubstIGO \text{ MOD} ==$ 
 $\forall ys y Y \delta \text{ inp } binp.$ 
 $igWls \text{ MOD } (asSort ys) Y \wedge$ 
 $igWlsInp \text{ MOD } \delta \text{ inp} \wedge igWlsBinp \text{ MOD } \delta \text{ binp} \longrightarrow$ 
 $igSubst \text{ MOD } ys Y y (igOp \text{ MOD } \delta \text{ inp } binp) =$ 
 $igOp \text{ MOD } \delta (igSubstInp \text{ MOD } ys Y y \text{ inp}) (igSubstBinp \text{ MOD } ys Y y \text{ binp})$ 

definition  $igSubstIGO$  $STR$  where
 $igSubstIGO$  $STR$   $\text{MOD} ==$ 
 $(\forall ys y y1 \delta \text{ inp } binp.$ 
 $igSubst \text{ MOD } ys (igVar \text{ MOD } ys y1) y (igOp \text{ MOD } \delta \text{ inp } binp) =$ 
 $igOp \text{ MOD } \delta (igSubstInp \text{ MOD } ys (igVar \text{ MOD } ys y1) y \text{ inp})$ 
 $(igSubstBinp \text{ MOD } ys (igVar \text{ MOD } ys y1) y \text{ binp}))$ 
 $\wedge$ 
 $(\forall ys y Y \delta \text{ inp } binp.$ 
 $igWls \text{ MOD } (asSort ys) Y \longrightarrow$ 
 $igSubst \text{ MOD } ys Y y (igOp \text{ MOD } \delta \text{ inp } binp) =$ 
 $igOp \text{ MOD } \delta (igSubstInp \text{ MOD } ys Y y \text{ inp}) (igSubstBinp \text{ MOD } ys Y y \text{ binp}))$ 

lemma  $igSubstIGO$  $STR$ -imp- $igSubstIGO$ :
 $igSubstIGO$  $STR$   $\text{MOD} \implies igSubstIGO \text{ MOD}$ 
unfolding  $igSubstIGO$  $STR$ -def  $igSubstIGO$ -def by simp

definition  $igSubstCls$  where
 $igSubstCls \text{ MOD} ==$ 
 $igSubstIGVar1 \text{ MOD} \wedge igSubstIGVar2 \text{ MOD} \wedge$ 
 $igSubstIGAbs \text{ MOD} \wedge$ 
 $igSubstIGO \text{ MOD}$ 

lemmas  $igSubstCls$ -defs =  $igSubstCls$ -def
 $igSubstIGVar1$ -def  $igSubstIGVar2$ -def
 $igSubstIGAbs$ -def
 $igSubstIGO$ -def

definition  $igSubstCls$  $STR$  where
 $igSubstCls$  $STR$   $\text{MOD} ==$ 
 $igSubstIGVar1$  $STR$   $\text{MOD} \wedge igSubstIGVar2$  $STR$   $\text{MOD} \wedge$ 
 $igSubstIGAbs$  $STR$   $\text{MOD} \wedge$ 
 $igSubstIGO$  $STR$   $\text{MOD}$ 

lemmas  $igSubstCls$  $STR$ -defs =  $igSubstCls$  $STR$ -def
 $igSubstIGVar1$  $STR$ -def  $igSubstIGVar2$  $STR$ -def
 $igSubstIGAbs$  $STR$ -def
 $igSubstIGO$  $STR$ -def

```

```

lemma igSubstClsSTR-imp-igSubstCls:
  igSubstClsSTR MOD  $\implies$  igSubstCls MOD
  unfolding igSubstClsSTR-def igSubstCls-def
  using
    igSubstIGVar1STR-imp-igSubstIGVar1
    igSubstIGVar2STR-imp-igSubstIGVar2
    igSubstIGAbsSTR-imp-igSubstIGAbs
    igSubstIGOOpSTR-imp-igSubstIGOOp
  by auto

definition igAbsCongS where
  igAbsCongS MOD ===
   $\forall xs\ x\ x'\ y\ s\ X\ X'.$ 
   $isInBar\ (xs,s) \wedge igWls\ MOD\ s\ X \wedge igWls\ MOD\ s\ X' \longrightarrow$ 
   $igFresh\ MOD\ xs\ y\ X \wedge igFresh\ MOD\ xs\ y\ X' \wedge igSwap\ MOD\ xs\ y\ x\ X = igSwap$ 
   $MOD\ xs\ y\ x'\ X' \longrightarrow$ 
   $igAbs\ MOD\ xs\ x\ X = igAbs\ MOD\ xs\ x'\ X'$ 

definition igAbsCongSSTR where
  igAbsCongSSTR MOD ===
   $\forall xs\ x\ x'\ y\ X\ X'.$ 
   $igFresh\ MOD\ xs\ y\ X \wedge igFresh\ MOD\ xs\ y\ X' \wedge igSwap\ MOD\ xs\ y\ x\ X = igSwap$ 
   $MOD\ xs\ y\ x'\ X' \longrightarrow$ 
   $igAbs\ MOD\ xs\ x\ X = igAbs\ MOD\ xs\ x'\ X'$ 

lemma igAbsCongSSTR-imp-igAbsCongS:
  igAbsCongSSTR MOD  $\implies$  igAbsCongS MOD
  unfolding igAbsCongSSTR-def igAbsCongS-def by auto

definition igAbsCongU where
  igAbsCongU MOD ===
   $\forall xs\ x\ x'\ y\ s\ X\ X'.$ 
   $isInBar\ (xs,s) \wedge igWls\ MOD\ s\ X \wedge igWls\ MOD\ s\ X' \longrightarrow$ 
   $igFresh\ MOD\ xs\ y\ X \wedge igFresh\ MOD\ xs\ y\ X' \wedge$ 
   $igSubst\ MOD\ xs\ (igVar\ MOD\ xs\ y)\ x\ X = igSubst\ MOD\ xs\ (igVar\ MOD\ xs\ y)$ 
   $x'\ X' \longrightarrow$ 
   $igAbs\ MOD\ xs\ x\ X = igAbs\ MOD\ xs\ x'\ X'$ 

definition igAbsCongUSTR where
  igAbsCongUSTR MOD ===
   $\forall xs\ x\ x'\ y\ X\ X'.$ 
   $igFresh\ MOD\ xs\ y\ X \wedge igFresh\ MOD\ xs\ y\ X' \wedge$ 
   $igSubst\ MOD\ xs\ (igVar\ MOD\ xs\ y)\ x\ X = igSubst\ MOD\ xs\ (igVar\ MOD\ xs\ y)$ 

```

$x' X' \longrightarrow$
 $igAbs MOD xs x X = igAbs MOD xs x' X'$

lemma *igAbsCongUSTR-imp-igAbsCongU:*
 $igAbsCongUSTR MOD \implies igAbsCongU MOD$
unfolding *igAbsCongUSTR-def igAbsCongU-def* **by** *auto*

definition *igAbsRen* **where**
 $igAbsRen MOD ==$
 $\forall xs y x s X.$
 $isInBar (xs,s) \wedge igWls MOD s X \longrightarrow$
 $igFresh MOD xs y X \longrightarrow$
 $igAbs MOD xs y (igSubst MOD xs (igVar MOD xs y) x X) = igAbs MOD xs x$
 X

definition *igAbsRenSTR* **where**
 $igAbsRenSTR MOD ==$
 $\forall xs y x X.$
 $igFresh MOD xs y X \longrightarrow$
 $igAbs MOD xs y (igSubst MOD xs (igVar MOD xs y) x X) = igAbs MOD xs x X$

lemma *igAbsRenSTR-imp-igAbsRen:*
 $igAbsRenSTR MOD \implies igAbsRen MOD$
unfolding *igAbsRenSTR-def igAbsRen-def* **by** *simp*

lemma *igAbsRenSTR-imp-igAbsCongUSTR:*
 $igAbsRenSTR MOD \implies igAbsCongUSTR MOD$
unfolding *igAbsCongUSTR-def igAbsRenSTR-def* **by** *metis*

Well-sorted fresh-swap models:

definition *iwlsFSw* **where**
 $iwlsFSw MOD ==$
 $igWlsAllDisj MOD \wedge igWlsAbsIsInBar MOD \wedge$
 $igConsIPresIGWls MOD \wedge igSwapAllIPresIGWlsAll MOD \wedge$
 $igFreshCls MOD \wedge igSwapCls MOD \wedge igAbsCongS MOD$

lemmas *iwlsFSw-defs1 = iwlsFSw-def*
 $igWlsAllDisj-def$ *igWlsAbsIsInBar-def*
 $igConsIPresIGWls-def$ *igSwapAllIPresIGWlsAll-def*
 $igFreshCls-def$ *igSwapCls-def* *igAbsCongS-def*

lemmas *iwlsFSw-defs = iwlsFSw-def*
 $igWlsAllDisj-defs$ *igWlsAbsIsInBar-def*
 $igConsIPresIGWls-defs$ *igSwapAllIPresIGWlsAll-defs*
 $igFreshCls-defs$ *igSwapCls-defs* *igAbsCongS-def*

```

definition iwlsFSwSTR where
iwlsFSwSTR MOD ===
igWlsAllDisj MOD ∧ igWlsAbsIsInBar MOD ∧
igConsIPresIGWlsSTR MOD ∧ igSwapAllIPresIGWlsAllSTR MOD ∧
igFreshClsSTR MOD ∧ igSwapClsSTR MOD ∧ igAbsCongSSTR MOD

lemmas iwlsFSwSTR-defs1 = iwlsFSwSTR-def
igWlsAllDisj-def igWlsAbsIsInBar-def
igConsIPresIGWlsSTR-def igSwapAllIPresIGWlsAllSTR-def
igFreshClsSTR-def igSwapClsSTR-def igAbsCongSSTR-def

lemmas iwlsFSwSTR-defs = iwlsFSwSTR-def
igWlsAllDisj-defs igWlsAbsIsInBar-def
igConsIPresIGWlsSTR-defs igSwapAllIPresIGWlsAllSTR-defs
igFreshClsSTR-defs igSwapClsSTR-defs igAbsCongSSTR-def

lemma iwlsFSwSTR-imp-iwlsFSw:
iwlsFSwSTR MOD ==> iwlsFSw MOD
unfolding iwlsFSwSTR-def iwlsFSw-def
using
igConsIPresIGWlsSTR-imp-igConsIPresIGWls
igSwapAllIPresIGWlsAllSTR-imp-igSwapAllIPresIGWlsAll
igFreshClsSTR-imp-igFreshCls
igSwapClsSTR-imp-igSwapCls
igAbsCongSSTR-imp-igAbsCongS
by auto

```

Well-sorted fresh-subst models:

```

definition iwlsFSb where
iwlsFSb MOD ===
igWlsAllDisj MOD ∧ igWlsAbsIsInBar MOD ∧
igConsIPresIGWls MOD ∧ igSubstAllIPresIGWlsAll MOD ∧
igFreshCls MOD ∧ igSubstCls MOD ∧ igAbsRen MOD

lemmas iwlsFSb-defs1 = iwlsFSb-def
igWlsAllDisj-def igWlsAbsIsInBar-def
igConsIPresIGWls-def igSubstAllIPresIGWlsAll-def
igFreshCls-def igSubstCls-def igAbsRen-def

lemmas iwlsFSb-defs = iwlsFSb-def
igWlsAllDisj-defs igWlsAbsIsInBar-def
igConsIPresIGWls-defs igSubstAllIPresIGWlsAll-defs
igFreshCls-defs igSubstCls-defs igAbsRen-def

definition iwlsFSbSwTR where
iwlsFSbSwTR MOD ===
igWlsAllDisj MOD ∧ igWlsAbsIsInBar MOD ∧
igConsIPresIGWlsSTR MOD ∧ igSubstAllIPresIGWlsAllSTR MOD ∧

```

igFreshClsSTR MOD \wedge *igSubstClsSTR MOD* \wedge *igAbsRenSTR MOD*

lemmas *wlsFSbSwSTR-defs1 = iwlsFSbSwTR-def*
igWlsAllDisj-def igWlsAbsIsInBar-def
igConsIPresIGWlsSTR-def igSwapAllIPresIGWlsAllSTR-def
igFreshClsSTR-def igSwapClsSTR-def igAbsRenSTR-def

lemmas *iwlsFSbSwTR-defs = iwlsFSbSwTR-def*
igWlsAllDisj-defs igWlsAbsIsInBar-def
igConsIPresIGWlsSTR-defs igSwapAllIPresIGWlsAllSTR-defs
igFreshClsSTR-defs igSwapClsSTR-defs igAbsRenSTR-def

lemma *iwlsFSbSwTR-imp-iwlsFSb:*
iwlsFSbSwTR MOD \implies *iwlsFSb MOD*
unfolding *iwlsFSbSwTR-def iwlsFSb-def*
using
igConsIPresIGWlsSTR-imp-igConsIPresIGWls
igSubstAllIPresIGWlsAllSTR-imp-igSubstAllIPresIGWlsAll
igFreshClsSTR-imp-igFreshCls
igSubstClsSTR-imp-igSubstCls
igAbsRenSTR-imp-igAbsRen
by auto

Well-sorted fresh-swap-subst-models

definition *iwlsFSwSb* **where**
iwlsFSwSb MOD ==
iwlsFSw MOD \wedge *igSubstAllIPresIGWlsAll MOD* \wedge *igSubstCls MOD*

lemmas *iwlsFSwSb-defs1 = iwlsFSwSb-def*
iwlsFSw-def igSubstAllIPresIGWlsAll-def igSubstCls-def

lemmas *iwlsFSwSb-defs = iwlsFSwSb-def*
iwlsFSw-def igSubstAllIPresIGWlsAll-defs igSubstCls-defs

Well-sorted fresh-subst-swap-models

definition *iwlsFSbSw* **where**
iwlsFSbSw MOD ==
iwlsFSb MOD \wedge *igSwapAllIPresIGWlsAll MOD* \wedge *igSwapCls MOD*

lemmas *iwlsFSbSw-defs1 = iwlsFSbSw-def*
iwlsFSw-def igSwapAllIPresIGWlsAll-def igSwapCls-def

lemmas *iwlsFSbSw-defs = iwlsFSbSw-def*
iwlsFSw-def igSwapAllIPresIGWlsAll-defs igSwapCls-defs

Extension of domain preservation (by swap and subst) to inputs:

First for free inputs:

definition *igSwapInpIPresIGWlsInp* **where**

```

 $igSwapInpIPresIGWlsInp \text{ MOD} ==$ 
 $\forall zs z1 z2 \text{ delta } inp.$ 
 $igWlsInp \text{ MOD delta } inp \longrightarrow$ 
 $igWlsInp \text{ MOD delta } (igSwapInp \text{ MOD } zs z1 z2 inp)$ 

definition  $igSwapInpIPresIGWlsInpSTR$  where
 $igSwapInpIPresIGWlsInpSTR \text{ MOD} ==$ 
 $\forall zs z1 z2 \text{ delta } inp.$ 
 $igWlsInp \text{ MOD delta } (igSwapInp \text{ MOD } zs z1 z2 inp) =$ 
 $igWlsInp \text{ MOD delta } inp$ 

definition  $igSubstInpIPresIGWlsInp$  where
 $igSubstInpIPresIGWlsInp \text{ MOD} ==$ 
 $\forall ys y Y \text{ delta } inp.$ 
 $igWls \text{ MOD } (\text{asSort } ys) Y \wedge igWlsInp \text{ MOD delta } inp \longrightarrow$ 
 $igWlsInp \text{ MOD delta } (igSubstInp \text{ MOD } ys Y y inp)$ 

definition  $igSubstInpIPresIGWlsInpSTR$  where
 $igSubstInpIPresIGWlsInpSTR \text{ MOD} ==$ 
 $\forall ys y Y \text{ delta } inp.$ 
 $igWls \text{ MOD } (\text{asSort } ys) Y \longrightarrow$ 
 $igWlsInp \text{ MOD delta } (igSubstInp \text{ MOD } ys Y y inp) =$ 
 $igWlsInp \text{ MOD delta } inp$ 

lemma  $imp-igSwapInpIPresIGWlsInp:$ 
 $igSwapIPresIGWls \text{ MOD} \implies igSwapInpIPresIGWlsInp \text{ MOD}$ 
by (simp add:
 $igSwapInpIPresIGWlsInp\text{-def } igWlsInp\text{-def liftAll2\text{-def}}$ 
 $igSwapIPresIGWls\text{-def } igSwapAbsIPresIGWlsAbs\text{-def } igSwapInp\text{-def lift\text{-def}}$ 
 $sameDom\text{-def split: option.splits}$ )

lemma  $imp-igSwapInpIPresIGWlsInpSTR:$ 
 $igSwapIPresIGWlsSTR \text{ MOD} \implies igSwapInpIPresIGWlsInpSTR \text{ MOD}$ 
by (simp add:
 $igSwapIPresIGWlsSTR\text{-def } igWlsInp\text{-def liftAll2\text{-def}}$ 
 $igSwapIPresIGWls\text{-def } igSwapInpIPresIGWlsInpSTR\text{-def } igSwapInp\text{-def lift\text{-def}}$ 
 $sameDom\text{-def split: option.splits}$ )
(smt (verit) option.distinct(1) option.exhaust)

lemma  $imp-igSubstInpIPresIGWlsInp:$ 
 $igSubstIPresIGWls \text{ MOD} \implies igSubstInpIPresIGWlsInp \text{ MOD}$ 
by (simp add : igSubstInp\text{-def}
 $igSubstIPresIGWls\text{-def } igSubstInpIPresIGWlsInp\text{-def } igWlsInp\text{-def liftAll2\text{-def}}$ 
 $lift\text{-def sameDom\text{-def split: option.splits}}$ )

lemma  $imp-igSubstInpIPresIGWlsInpSTR:$ 
 $igSubstIPresIGWlsSTR \text{ MOD} \implies igSubstInpIPresIGWlsInpSTR \text{ MOD}$ 
by (simp add:
 $igSubstInpIPresIGWlsInpSTR\text{-def } igSubstIPresIGWlsSTR\text{-def } igSubstInp\text{-def}$ 
 $igSubstInpIPresIGWls\text{-def } igSubstIPresIGWlsInpSTR\text{-def } igSubstInp\text{-def}$ 
 $igSubstInpIPresIGWls\text{-def } igSubstIPresIGWlsInp\text{-def } igSubstInp\text{-def}$ 
 $liftAll2\text{-def sameDom\text{-def split: option.splits}}$ )

```

```

 $igWlsInp\text{-def } liftAll2\text{-def } lift\text{-def } sameDom\text{-def}$ 
 $split: option.splits) (smt (verit) option.distinct(1) option.exhaust)$ 

```

Then for bound inputs:

```

definition igSwapBinpIPresIGWlsBinp where
  igSwapBinpIPresIGWlsBinp MOD ===
     $\forall zs z1 z2 \delta binp.$ 
       $igWlsBinp MOD \delta binp \rightarrow$ 
         $igWlsBinp MOD \delta (igSwapBinp MOD zs z1 z2 binp)$ 

definition igSwapBinpIPresIGWlsBinpSTR where
  igSwapBinpIPresIGWlsBinpSTR MOD ===
     $\forall zs z1 z2 \delta binp.$ 
       $igWlsBinp MOD \delta (igSwapBinp MOD zs z1 z2 binp) =$ 
         $igWlsBinp MOD \delta binp$ 

definition igSubstBinpIPresIGWlsBinp where
  igSubstBinpIPresIGWlsBinp MOD ===
     $\forall ys y Y \delta binp.$ 
       $igWls MOD (asSort ys) Y \wedge igWlsBinp MOD \delta binp \rightarrow$ 
         $igWlsBinp MOD \delta (igSubstBinp MOD ys Y binp) =$ 
           $igWlsBinp MOD \delta binp$ 

definition igSubstBinpIPresIGWlsBinpSTR where
  igSubstBinpIPresIGWlsBinpSTR MOD ===
     $\forall ys y Y \delta binp.$ 
       $igWls MOD (asSort ys) Y \rightarrow$ 
         $igWlsBinp MOD \delta (igSubstBinp MOD ys Y binp) =$ 
           $igWlsBinp MOD \delta binp$ 

lemma imp-igSwapBinpIPresIGWlsBinp:
  igSwapAbsIPresIGWlsAbs MOD ==> igSwapBinpIPresIGWlsBinp MOD
  by (auto simp add:
    igSwapBinpIPresIGWlsBinp-def igSwapAbsIPresIGWlsAbs-def igSwapBinp-def
    igWlsBinp-def liftAll2-def lift-def sameDom-def
    split: option.splits)

lemma imp-igSwapBinpIPresIGWlsBinpSTR:
  igSwapAbsIPresIGWlsAbsSTR MOD ==> igSwapBinpIPresIGWlsBinpSTR MOD
  by (simp add:
    igSwapBinpIPresIGWlsBinpSTR-def igSwapAbsIPresIGWlsAbsSTR-def igSwapBinp-def
    igWlsBinp-def liftAll2-def lift-def sameDom-def
    split: option.splits) (smt (verit) option.distinct(1) option.exhaust surj-pair)

lemma imp-igSubstBinpIPresIGWlsBinp:
  igSubstAbsIPresIGWlsAbs MOD ==> igSubstBinpIPresIGWlsBinp MOD
  by (auto simp add:
    igSubstBinpIPresIGWlsBinp-def igSubstAbsIPresIGWlsAbs-def igSubstBinp-def
    igWlsBinp-def liftAll2-def lift-def sameDom-def
    split: option.splits)

```

```

lemma imp-igSubstBinpIPresIGWlsBinpSTR:
  igSubstAbsIPresIGWlsAbsSTR MOD ==> igSubstBinpIPresIGWlsBinpSTR MOD
  by (simp add:
    igSubstAbsIPresIGWlsAbsSTR-def igSubstBinpIPresIGWlsBinpSTR-def igSubstBinp-def
    igWlsBinp-def liftAll2-def lift-def sameDom-def
    split: option.splits) (smt (verit) option.distinct(1) option.exhaust surj-pair)

```

8.2 Morphisms of models

The morphisms between models shall be the usual first-order-logic morphisms, i.e., functions commuting with the operations and preserving the (freshness) relations. Because they involve the same signature, the morphisms for fresh-swap-subst models (called fresh-swap-subst morphisms) will be the same as those for fresh-subst-swap-models.

8.2.1 Preservation of the domains

```

definition ipresIGWls where
  ipresIGWls h MOD MOD' ==
     $\forall s X. \text{igWls } MOD s X \longrightarrow \text{igWls } MOD' s (h X)$ 

definition ipresIGWlsAbs where
  ipresIGWlsAbs hA MOD MOD' ==
     $\forall us s A. \text{igWlsAbs } MOD (us, s) A \longrightarrow \text{igWlsAbs } MOD' (us, s) (hA A)$ 

definition ipresIGWlsAll where
  ipresIGWlsAll h hA MOD MOD' ==
    ipresIGWls h MOD MOD'  $\wedge$  ipresIGWlsAbs hA MOD MOD'

```

```

lemmas ipresIGWlsAll-defs = ipresIGWlsAll-def
ipresIGWls-def ipresIGWlsAbs-def

```

8.2.2 Preservation of the constructs

```

definition ipresIGVar where
  ipresIGVar h MOD MOD' ==
     $\forall xs x. h (\text{igVar } MOD xs x) = \text{igVar } MOD' xs x$ 

definition ipresIGAbs where
  ipresIGAbs h hA MOD MOD' ==
     $\forall xs x s X. \text{isInBar } (xs, s) \wedge \text{igWls } MOD s X \longrightarrow$ 
       $hA (\text{igAbs } MOD xs x X) = \text{igAbs } MOD' xs x (h X)$ 

definition ipresIGOOp
where
  ipresIGOOp h hA MOD MOD' ==
     $\forall delta inp binp.$ 
       $\text{igWlsInp } MOD delta inp \wedge \text{igWlsBinp } MOD delta binp \longrightarrow$ 

```

$h (\text{igOp } MOD \text{ delta } inp \text{ binp}) = \text{igOp } MOD' \text{ delta } (\text{lift } h \text{ inp}) (\text{lift } hA \text{ binp})$

```
definition ipresIGCons where
ipresIGCons h hA MOD MOD' ==
  ipresIGVar h MOD MOD' ∧
  ipresIGAbs h hA MOD MOD' ∧
  ipresIGO h hA MOD MOD'
```

```
lemmas ipresIGCons-defs = ipresIGCons-def
ipresIGVar-def
ipresIGAbs-def
ipresIGO-def
```

8.2.3 Preservation of freshness

```
definition ipresIGFresh where
ipresIGFresh h MOD MOD' ==
  ∀ ys y s X.
    igWls MOD s X →
    igFresh MOD ys y X → igFresh MOD' ys y (h X)
```

```
definition ipresIGFreshAbs where
ipresIGFreshAbs hA MOD MOD' ==
  ∀ ys y us s A.
    igWlsAbs MOD (us,s) A →
    igFreshAbs MOD ys y A → igFreshAbs MOD' ys y (hA A)
```

```
definition ipresIGFreshAll where
ipresIGFreshAll h hA MOD MOD' ==
  ipresIGFresh h MOD MOD' ∧ ipresIGFreshAbs hA MOD MOD'
```

```
lemmas ipresIGFreshAll-defs = ipresIGFreshAll-def
ipresIGFresh-def ipresIGFreshAbs-def
```

8.2.4 Preservation of swapping

```
definition ipresIGSwap where
ipresIGSwap h MOD MOD' ==
  ∀ zs z1 z2 s X.
    igWls MOD s X →
    h (igSwap MOD zs z1 z2 X) = igSwap MOD' zs z1 z2 (h X)
```

```
definition ipresIGSwapAbs where
ipresIGSwapAbs hA MOD MOD' ==
  ∀ zs z1 z2 us s A.
    igWlsAbs MOD (us,s) A →
    hA (igSwapAbs MOD zs z1 z2 A) = igSwapAbs MOD' zs z1 z2 (hA A)
```

```
definition ipresIGSwapAll where
ipresIGSwapAll h hA MOD MOD' ==
```

```
ipresIGSwap h MOD MOD' ∧ ipresIGSwapAbs hA MOD MOD'
```

```
lemmas ipresIGSwapAll-defs = ipresIGSwapAll-def  
ipresIGSwap-def ipresIGSwapAbs-def
```

8.2.5 Preservation of subst

```
definition ipresIGSubst where  
ipresIGSubst h MOD MOD' ==  
  ∀ ys Y y s X.  
    igWls MOD (asSort ys) Y ∧ igWls MOD s X →  
    h (igSubst MOD ys Y y X) = igSubst MOD' ys (h Y) y (h X)
```

```
definition ipresIGSubstAbs where  
ipresIGSubstAbs h hA MOD MOD' ==  
  ∀ ys Y y us s A.  
    igWls MOD (asSort ys) Y ∧ igWlsAbs MOD (us,s) A →  
    hA (igSubstAbs MOD ys Y y A) = igSubstAbs MOD' ys (h Y) y (hA A)
```

```
definition ipresIGSubstAll where  
ipresIGSubstAll h hA MOD MOD' ==  
  ipresIGSubst h MOD MOD' ∧  
  ipresIGSubstAbs h hA MOD MOD'
```

```
lemmas ipresIGSubstAll-defs = ipresIGSubstAll-def  
ipresIGSubst-def ipresIGSubstAbs-def
```

8.2.6 Fresh-swap morphisms

```
definition FSwImorph where  
FSwImorph h hA MOD MOD' ==  
  ipresIGWlsAll h hA MOD MOD' ∧ ipresIGCons h hA MOD MOD' ∧  
  ipresIGFreshAll h hA MOD MOD' ∧ ipresIGSwapAll h hA MOD MOD'
```

```
lemmas FSwImorph-defs1 = FSwImorph-def  
ipresIGWlsAll-def ipresIGCons-def  
ipresIGFreshAll-def ipresIGSwapAll-def
```

```
lemmas FSwImorph-defs = FSwImorph-def  
ipresIGWlsAll-defs ipresIGCons-defs  
ipresIGFreshAll-defs ipresIGSwapAll-defs
```

8.2.7 Fresh-subst morphisms

```
definition FSbImorph where  
FSbImorph h hA MOD MOD' ==  
  ipresIGWlsAll h hA MOD MOD' ∧ ipresIGCons h hA MOD MOD' ∧  
  ipresIGFreshAll h hA MOD MOD' ∧ ipresIGSubstAll h hA MOD MOD'
```

```
lemmas FSbImorph-defs1 = FSbImorph-def
```

*ipresIGWlsAll-def ipresIGCons-def
ipresIGFreshAll-def ipresIGSubstAll-def*

lemmas *FSbImorph-defs = FSbImorph-def
ipresIGWlsAll-defs ipresIGCons-defs
ipresIGFreshAll-defs ipresIGSubstAll-defs*

8.2.8 Fresh-swap-subst morphisms

definition *FSwSbImorph where
FSwSbImorph h hA MOD MOD' ==
FSwImorph h hA MOD MOD' ∧ ipresIGSubstAll h hA MOD MOD'*

lemmas *FSwSbImorph-defs1 = FSwSbImorph-def
FSwImorph-def ipresIGSubstAll-def*

lemmas *FSwSbImorph-defs = FSwSbImorph-def
FSwImorph-defs ipresIGSubstAll-defs*

8.2.9 Basic facts

FSwSb morphisms are the same as FSbSw morphisms:

lemma *FSwSbImorph-iff:*
*FSwSbImorph h hA MOD MOD' =
(FSbImorph h hA MOD MOD' ∧ ipresIGSwapAll h hA MOD MOD')*
unfolding *FSwSbImorph-def FSbImorph-def FSwImorph-def* **by** *auto*

Some facts for free inputs:

lemma *igSwapInp-None[simp]:*
(igSwapInp MOD zs z1 z2 inp i = None) = (inp i = None)
unfolding *igSwapInp-def* **by** *(simp add: lift-None)*

lemma *igSubstInp-None[simp]:*
(igSubstInp MOD ys Y y inp i = None) = (inp i = None)
unfolding *igSubstInp-def* **by** *(simp add: lift-None)*

lemma *imp-igWlsInp:*
igWlsInp MOD delta inp ==> ipresIGWls h MOD MOD'
==> igWlsInp MOD' delta (lift h inp)
by *(simp add: igWlsInp-def ipresIGWls-def liftAll2-def lift-def
sameDom-def split: option.splits)*

corollary *FSwImorph-igWlsInp:*
assumes *igWlsInp MOD delta inp* **and** *FSwImorph h hA MOD MOD'*
shows *igWlsInp MOD' delta (lift h inp)*
using assms unfolding *FSwImorph-def ipresIGWlsAll-def*
using imp-igWlsInp by auto

corollary *FSbImorph-igWlsInp:*

```

assumes igWlsInp MOD delta inp and FSbImorph h hA MOD MOD'
shows igWlsInp MOD' delta (lift h inp)
using assms unfolding FSbImorph-def ipresIGWlsAll-def
using imp-igWlsInp by auto

lemma FSwSbImorph-igWlsInp:
assumes igWlsInp MOD delta inp and FSwSbImorph h hA MOD MOD'
shows igWlsInp MOD' delta (lift h inp)
using assms unfolding FSwSbImorph-def using FSwImorph-igWlsInp by auto

Similar facts for bound inputs:

lemma igSwapBinp-None[simp]:
(igSwapBinp MOD zs z1 z2 binp i = None) = (binp i = None)
unfolding igSwapBinp-def by(simp add: lift-None)

lemma igSubstBinp-None[simp]:
(igSubstBinp MOD ys Y y binp i = None) = (binp i = None)
unfolding igSubstBinp-def by(simp add: lift-None)

lemma imp-igWlsBinp:
assumes *: igWlsBinp MOD delta binp
and **: ipresIGWlsAbs hA MOD MOD'
shows igWlsBinp MOD' delta (lift hA binp)
using assms by (simp add: igWlsBinp-def ipresIGWlsAbs-def liftAll2-def lift-def
sameDom-def split: option.splits)

corollary FSwImorph-igWlsBinp:
assumes igWlsBinp MOD delta binp and FSwImorph h hA MOD MOD'
shows igWlsBinp MOD' delta (lift hA binp)
using assms unfolding FSwImorph-def ipresIGWlsAll-def
using imp-igWlsBinp by auto

corollary FSbImorph-igWlsBinp:
assumes igWlsBinp MOD delta binp and FSbImorph h hA MOD MOD'
shows igWlsBinp MOD' delta (lift hA binp)
using assms unfolding FSbImorph-def ipresIGWlsAll-def
using imp-igWlsBinp by auto

lemma FSwSbImorph-igWlsBinp:
assumes igWlsBinp MOD delta binp and FSwSbImorph h hA MOD MOD'
shows igWlsBinp MOD' delta (lift hA binp)
using assms unfolding FSwSbImorph-def using FSwImorph-igWlsBinp by auto

lemmas input-igSwap-igSubst-None =
igSwapInp-None igSubstInp-None
igSwapBinp-None igSubstBinp-None

```

8.2.10 Identity and composition

```

lemma id-FSwImorph: FSwImorph id id MOD MOD
  unfolding FSwImorph-defs by auto

lemma id-FSbImorph: FSbImorph id id MOD MOD
  unfolding FSbImorph-defs by auto

lemma id-FSwSbImorph: FSwSbImorph id id MOD MOD
  unfolding FSwSbImorph-def apply(auto simp add: id-FSwImorph)
  unfolding ipresIGSubstAll-defs by auto

lemma comp-ipresIGWls:
  assumes ipresIGWls h MOD MOD' and ipresIGWls h' MOD' MOD"
  shows ipresIGWls (h' o h) MOD MOD"
  using assms unfolding ipresIGWls-def by auto

lemma comp-ipresIGWlsAbs:
  assumes ipresIGWlsAbs hA MOD MOD' and ipresIGWlsAbs hA' MOD' MOD"
  shows ipresIGWlsAbs (hA' o hA) MOD MOD"
  using assms unfolding ipresIGWlsAbs-def by auto

lemma comp-ipresIGWlsAll:
  assumes ipresIGWlsAll h hA MOD MOD' and ipresIGWlsAll h' hA' MOD' MOD"
  shows ipresIGWlsAll (h' o h) (hA' o hA) MOD MOD"
  using assms unfolding ipresIGWlsAll-def
  using comp-ipresIGWls comp-ipresIGWlsAbs by auto

lemma comp-ipresIGVar:
  assumes ipresIGVar h MOD MOD' and ipresIGVar h' MOD' MOD"
  shows ipresIGVar (h' o h) MOD MOD"
  using assms unfolding ipresIGVar-def by auto

lemma comp-ipresIGAbs:
  assumes ipresIGWls h MOD MOD'
  and ipresIGAbs h hA MOD MOD' and ipresIGAbs h' hA' MOD' MOD"
  shows ipresIGAbs (h' o h) (hA' o hA) MOD MOD"
  using assms unfolding ipresIGWls-def ipresIGAbs-def by fastforce

lemma comp-ipresIGOOp:
  assumes ipres: ipresIGWls h MOD MOD' and ipresAbs: ipresIGWlsAbs hA MOD MOD'
  and h: ipresIGOOp h hA MOD MOD' and h': ipresIGOOp h' hA' MOD' MOD"
  shows ipresIGOOp (h' o h) (hA' o hA) MOD MOD"
  using assms by (auto simp: imp-igWlsInp imp-igWlsBinp ipresIGOOp-def lift-comp)

lemma comp-ipresIGCons:
  assumes ipresIGWlsAll h hA MOD MOD'
  and ipresIGCons h hA MOD MOD' and ipresIGCons h' hA' MOD' MOD"
  shows ipresIGCons (h' o h) (hA' o hA) MOD MOD"

```

```

using assms unfolding ipresIGWlsAll-def ipresIGCons-def
using comp-ipresIGVar comp-ipresIGAbs comp-ipresIGOp by auto

lemma comp-ipresIGFresh:
assumes ipresIGWls h MOD MOD'
and ipresIGFresh h MOD MOD' and ipresIGFresh h' MOD' MOD''
shows ipresIGFresh (h' o h) MOD MOD''
using assms unfolding ipresIGWls-def ipresIGFresh-def by fastforce

lemma comp-ipresIGFreshAbs:
assumes ipresIGWlsAbs hA MOD MOD'
and ipresIGFreshAbs hA MOD MOD' and ipresIGFreshAbs hA' MOD' MOD''
shows ipresIGFreshAbs (hA' o hA) MOD MOD''
using assms unfolding ipresIGWlsAbs-def ipresIGFreshAbs-def by fastforce

lemma comp-ipresIGFreshAll:
assumes ipresIGWlsAll h hA MOD MOD'
and ipresIGFreshAll h hA MOD MOD' and ipresIGFreshAll h' hA' MOD' MOD''
shows ipresIGFreshAll (h' o h) (hA' o hA) MOD MOD''
using assms
unfolding ipresIGWlsAll-def ipresIGFreshAll-def
using comp-ipresIGFresh comp-ipresIGFreshAbs by auto

lemma comp-ipresIGSwap:
assumes ipresIGWls h MOD MOD'
and ipresIGSwap h MOD MOD' and ipresIGSwap h' MOD' MOD''
shows ipresIGSwap (h' o h) MOD MOD''
using assms unfolding ipresIGWls-def ipresIGSwap-def by fastforce

lemma comp-ipresIGSwapAbs:
assumes ipresIGWlsAbs hA MOD MOD'
and ipresIGSwapAbs hA MOD MOD' and ipresIGSwapAbs hA' MOD' MOD''
shows ipresIGSwapAbs (hA' o hA) MOD MOD''
using assms unfolding ipresIGWlsAbs-def ipresIGSwapAbs-def by fastforce

lemma comp-ipresIGSwapAll:
assumes ipresIGWlsAll h hA MOD MOD'
and ipresIGSwapAll h hA MOD MOD' and ipresIGSwapAll h' hA' MOD' MOD''
shows ipresIGSwapAll (h' o h) (hA' o hA) MOD MOD''
using assms
unfolding ipresIGWlsAll-def ipresIGSwapAll-def
using comp-ipresIGSwap comp-ipresIGSwapAbs by auto

lemma comp-ipresIGSubst:
assumes ipresIGWls h MOD MOD'
and ipresIGSubst h MOD MOD' and ipresIGSubst h' MOD' MOD''
shows ipresIGSubst (h' o h) MOD MOD''
using assms unfolding ipresIGWls-def ipresIGSubst-def
apply auto by blast

```

```

lemma comp-ipresIGSubstAbs:
assumes *: igWlsAbsIsInBar MOD
and h: ipresIGWls h MOD MOD' and hA: ipresIGWlsAbs hA MOD MOD'
and hhA: ipresIGSubstAbs h hA MOD MOD' and h'hA': ipresIGSubstAbs h' hA'
MOD' MOD"
shows ipresIGSubstAbs (h' o h) (hA' o hA) MOD MOD"
using assms by(fastforce simp: igWlsAbsIsInBar-def
ipresIGSubstAbs-def ipresIGWls-def ipresIGWlsAbs-def)

lemma comp-ipresIGSubstAll:
assumes igWlsAbsIsInBar MOD
and ipresIGWlsAll h hA MOD MOD'
and ipresIGSubstAll h hA MOD MOD' and ipresIGSubstAll h' hA' MOD' MOD"
shows ipresIGSubstAll (h' o h) (hA' o hA) MOD MOD"
using assms unfolding ipresIGWlsAll-def ipresIGSubstAll-def
using comp-ipresIGSubst comp-ipresIGSubstAbs by auto

lemma comp-FSwImorph:
assumes *: FSwImorph h hA MOD MOD' and **: FSwImorph h' hA' MOD'
MOD"
shows FSwImorph (h' o h) (hA' o hA) MOD MOD"
using assms unfolding FSwImorph-def
using comp-ipresIGWlsAll comp-ipresIGCons
comp-ipresIGFreshAll comp-ipresIGSwapAll by auto

lemma comp-FSbImorph:
assumes igWlsAbsIsInBar MOD
and FSbImorph h hA MOD MOD' and FSbImorph h' hA' MOD' MOD"
shows FSbImorph (h' o h) (hA' o hA) MOD MOD"
using assms unfolding FSbImorph-def
using comp-ipresIGWlsAll comp-ipresIGCons
comp-ipresIGFreshAll comp-ipresIGSubstAll by auto

lemma comp-FSwSbImorph:
assumes igWlsAbsIsInBar MOD
and FSwSbImorph h hA MOD MOD' and FSwSbImorph h' hA' MOD' MOD"
shows FSwSbImorph (h' o h) (hA' o hA) MOD MOD"
using assms unfolding FSwSbImorph-def
using comp-FSwImorph FSwImorph-def comp-ipresIGSubstAll FixSyn-axioms by
blast

```

8.3 The term model

We show that terms form fresh-swap-subst and fresh-subst-swap models.

8.3.1 Definitions and simplification rules

definition termMOD where

```

termMOD ==
  (igWls = wls, igWlsAbs = wlsAbs,
   igVar = Var, igAbs = Abs, igOp = Op,
   igFresh = fresh, igFreshAbs = freshAbs,
   igSwap = swap, igSwapAbs = swapAbs,
   igSubst = subst, igSubstAbs = substAbs)

lemma igWls-termMOD[simp]: igWls termMOD = wls
  unfolding termMOD-def by simp

lemma igWlsAbs-termMOD[simp]: igWlsAbs termMOD = wlsAbs
  unfolding termMOD-def by simp

lemma igWlsInp-termMOD-wlsInp[simp]:
  igWlsInp termMOD delta inp = wlsInp delta inp
  unfolding igWlsInp-def wlsInp-iff by simp

lemma igWlsBinp-termMOD-wlsBinp[simp]:
  igWlsBinp termMOD delta binp = wlsBinp delta binp
  unfolding igWlsBinp-def wlsBinp-iff by simp

lemmas igWlsAll-termMOD-simps =
  igWls-termMOD igWlsAbs-termMOD
  igWlsInp-termMOD-wlsInp igWlsBinp-termMOD-wlsBinp

lemma igVar-termMOD[simp]: igVar termMOD = Var
  unfolding termMOD-def by simp

lemma igAbs-termMOD[simp]: igAbs termMOD = Abs
  unfolding termMOD-def by simp

lemma igOp-termMOD[simp]: igOp termMOD = Op
  unfolding termMOD-def by simp

lemmas igCons-termMOD-simps =
  igVar-termMOD igAbs-termMOD igOp-termMOD

lemma igFresh-termMOD[simp]: igFresh termMOD = fresh
  unfolding termMOD-def by simp

lemma igFreshAbs-termMOD[simp]: igFreshAbs termMOD = freshAbs
  unfolding termMOD-def by simp

lemma igFreshInp-termMOD[simp]: igFreshInp termMOD = freshInp
  unfolding igFreshInp-def[abs-def] freshInp-def[abs-def] by simp

lemma igFreshBinp-termMOD[simp]: igFreshBinp termMOD = freshBinp
  unfolding igFreshBinp-def[abs-def] freshBinp-def[abs-def] by simp

```

```

lemmas igFreshAll-termMOD-simps =
igFresh-termMOD igFreshAbs-termMOD
igFreshInp-termMOD igFreshBinp-termMOD

lemma igSwap-termMOD[simp]: igSwap termMOD = swap
unfolding termMOD-def by simp

lemma igSwapAbs-termMOD[simp]: igSwapAbs termMOD = swapAbs
unfolding termMOD-def by simp

lemma igSwapInp-termMOD[simp]: igSwapInp termMOD = swapInp
unfolding igSwapInp-def[abs-def] swapInp-def[abs-def] by simp

lemma igSwapBinp-termMOD[simp]: igSwapBinp termMOD = swapBinp
unfolding igSwapBinp-def[abs-def] swapBinp-def[abs-def] by simp

lemmas igSwapAll-termMOD-simps =
igSwap-termMOD igSwapAbs-termMOD
igSwapInp-termMOD igSwapBinp-termMOD

lemma igSubst-termMOD[simp]: igSubst termMOD = subst
unfolding termMOD-def by simp

lemma igSubstAbs-termMOD[simp]: igSubstAbs termMOD = substAbs
unfolding termMOD-def by simp

lemma igSubstInp-termMOD[simp]: igSubstInp termMOD = substInp
by (simp add: igSubstInp-def[abs-def] substInp-def[abs-def]
psubstInp-def[abs-def] subst-def)

lemma igSubstBinp-termMOD[simp]: igSubstBinp termMOD = substBinp
by (simp add: igSubstBinp-def[abs-def] substBinp-def[abs-def]
psubstBinp-def[abs-def] substAbs-def)

lemmas igSubstAll-termMOD-simps =
igSubst-termMOD igSubstAbs-termMOD
igSubstInp-termMOD igSubstBinp-termMOD

lemmas structure-termMOD-simps =
igWlsAll-termMOD-simps
igFreshAll-termMOD-simps
igSwapAll-termMOD-simps
igSubstAll-termMOD-simps

```

8.3.2 Well-sortedness of the term model

Domains are disjoint:

```

lemma termMOD-igWlsDisj: igWlsDisj termMOD
unfolding igWlsDisj-def using wls-disjoint by auto

```

```

lemma termMOD-igWlsAbsDisj: igWlsAbsDisj termMOD
unfolding igWlsAbsDisj-def using wlsAbs-disjoint by auto

lemma termMOD-igWlsAllDisj: igWlsAllDisj termMOD
unfolding igWlsAllDisj-def
using termMOD-igWlsDisj termMOD-igWlsAbsDisj by simp

Abstraction domains inhabited only within bound arities:

lemma termMOD-igWlsAbsIsInBar: igWlsAbsIsInBar termMOD
unfolding igWlsAbsIsInBar-def using wlsAbs-nchotomy by simp

The syntactic constructs preserve the domains:

lemma termMOD-igVarIPresIGWls: igVarIPresIGWls termMOD
unfolding igVarIPresIGWls-def by simp

lemma termMOD-igAbsIPresIGWls: igAbsIPresIGWls termMOD
unfolding igAbsIPresIGWls-def by simp

lemma termMOD-igOpIPresIGWls: igOpIPresIGWls termMOD
unfolding igOpIPresIGWls-def by simp

lemma termMOD-igConsIPresIGWls: igConsIPresIGWls termMOD
unfolding igConsIPresIGWls-def
using termMOD-igVarIPresIGWls termMOD-igAbsIPresIGWls termMOD-igOpIPresIGWls
by auto

Swap preserves the domains:

lemma termMOD-igSwapIPresIGWls: igSwapIPresIGWls termMOD
unfolding igSwapIPresIGWls-def by simp

lemma termMOD-igSwapAbsIPresIGWlsAbs: igSwapAbsIPresIGWlsAbs termMOD
unfolding igSwapAbsIPresIGWlsAbs-def by simp

lemma termMOD-igSwapAllIPresIGWlsAll: igSwapAllIPresIGWlsAll termMOD
unfolding igSwapAllIPresIGWlsAll-def
using termMOD-igSwapIPresIGWls termMOD-igSwapAbsIPresIGWlsAbs by auto

“Subst” preserves the domains:

lemma termMOD-igSubstIPresIGWls: igSubstIPresIGWls termMOD
unfolding igSubstIPresIGWls-def by simp

lemma termMOD-igSubstAbsIPresIGWlsAbs: igSubstAbsIPresIGWlsAbs termMOD
unfolding igSubstAbsIPresIGWlsAbs-def by simp

lemma termMOD-igSubstAllIPresIGWlsAll: igSubstAllIPresIGWlsAll termMOD
unfolding igSubstAllIPresIGWlsAll-def
using termMOD-igSubstIPresIGWls termMOD-igSubstAbsIPresIGWlsAbs by auto

```

The “fresh” clauses hold:

```
lemma termMOD-igFreshIGVar: igFreshIGVar termMOD
  unfolding igFreshIGVar-def by simp

lemma termMOD-igFreshIGAbs1: igFreshIGAbs1 termMOD
  unfolding igFreshIGAbs1-def by auto

lemma termMOD-igFreshIGAbs2: igFreshIGAbs2 termMOD
  unfolding igFreshIGAbs2-def by auto

lemma termMOD-igFreshIGOOp: igFreshIGOOp termMOD
  unfolding igFreshIGOOp-def by simp

lemma termMOD-igFreshCls: igFreshCls termMOD
  unfolding igFreshCls-def
  using termMOD-igFreshIGVar termMOD-igFreshIGAbs1 termMOD-igFreshIGAbs2
  termMOD-igFreshIGOOp
  by simp
```

The “swap” clauses hold:

```
lemma termMOD-igSwapIGVar: igSwapIGVar termMOD
  unfolding igSwapIGVar-def by simp

lemma termMOD-igSwapIGAbs: igSwapIGAbs termMOD
  unfolding igSwapIGAbs-def by auto

lemma termMOD-igSwapIGOOp: igSwapIGOOp termMOD
  unfolding igSwapIGOOp-def by simp

lemma termMOD-igSwapCls: igSwapCls termMOD
  unfolding igSwapCls-def
  using termMOD-igSwapIGVar termMOD-igSwapIGAbs termMOD-igSwapIGOOp by
  simp
```

The “subst” clauses hold:

```
lemma termMOD-igSubstIGVar1: igSubstIGVar1 termMOD
  unfolding igSubstIGVar1-def by auto

lemma termMOD-igSubstIGVar2: igSubstIGVar2 termMOD
  unfolding igSubstIGVar2-def by auto

lemma termMOD-igSubstIGAbs: igSubstIGAbs termMOD
  unfolding igSubstIGAbs-def by auto

lemma termMOD-igSubstIGOOp: igSubstIGOOp termMOD
  unfolding igSubstIGOOp-def by simp

lemma termMOD-igSubstCls: igSubstCls termMOD
  unfolding igSubstCls-def
```

```
using termMOD-igSubstIGVar1 termMOD-igSubstIGVar2
termMOD-igSubstIGAbs termMOD-igSubstIGOOp by simp
```

The swap-congruence clause for abstractions holds:

```
lemma termMOD-igAbsCongS: igAbsCongS termMOD
unfolding igAbsCongS-def using wls-Abs-swap-cong
by (metis igAbs-termMOD igFresh-termMOD igSwap-termMOD igWls-termMOD)
```

The subst-renaming clause for abstractions holds:

```
lemma termMOD-igAbsRen: igAbsRen termMOD
unfolding igAbsRen-def by auto
```

```
lemma termMOD-iwlsFSw: iwlsFSw termMOD
unfolding iwlsFSw-def
using
termMOD-igWlsAllDisj termMOD-igWlsAbsIsInBar
termMOD-igConsIPresIGWls termMOD-igSwapAllIPresIGWlsAll
termMOD-igFreshCls termMOD-igSwapCls termMOD-igAbsCongS
by auto
```

```
lemma termMOD-iwlsFSb: iwlsFSb termMOD
unfolding iwlsFSb-def
using
termMOD-igWlsAllDisj termMOD-igWlsAbsIsInBar
termMOD-igConsIPresIGWls termMOD-igSubstAllIPresIGWlsAll
termMOD-igFreshCls termMOD-igSubstCls termMOD-igAbsRen
by auto
```

```
lemma termMOD-iwlsFSwSb: iwlsFSwSb termMOD
unfolding iwlsFSwSb-def
using termMOD-iwlsFSw termMOD-igSubstAllIPresIGWlsAll termMOD-igSubstCls
by simp
```

```
lemma termMOD-iwlsFSbSw: iwlsFSbSw termMOD
unfolding iwlsFSbSw-def
using termMOD-iwlsFSb termMOD-igSwapAllIPresIGWlsAll termMOD-igSwapCls
by simp
```

8.3.3 Direct description of morphisms from the term models

```
definition ipresWls where
ipresWls h MOD ==
 $\forall s X. \text{wls } s X \longrightarrow \text{igWls } MOD s (h X)$ 
```

```
lemma ipresIGWls-termMOD[simp]:
ipresIGWls h termMOD MOD = ipresWls h MOD
unfolding ipresIGWls-def ipresWls-def by simp
```

```
definition ipresWlsAbs where
```

```

 $ipresWlsAbs hA MOD ==$ 
 $\forall us s A. wlsAbs (us,s) A \longrightarrow igWlsAbs MOD (us,s) (hA A)$ 

lemma ipresIGWlsAbs-termMOD[simp]:
ipresIGWlsAbs hA termMOD MOD = ipresWlsAbs hA MOD
unfolding ipresIGWlsAbs-def ipresWlsAbs-def by simp

definition ipresWlsAll where
ipresWlsAll h hA MOD ==
ipresWls h MOD \wedge ipresWlsAbs hA MOD

lemmas ipresWlsAll-defs = ipresWlsAll-def
ipresWls-def ipresWlsAbs-def

lemma ipresIGWlsAll-termMOD[simp]:
ipresIGWlsAll h hA termMOD MOD = ipresWlsAll h hA MOD
unfolding ipresIGWlsAll-def ipresWlsAll-def by simp

lemmas ipresIGWlsAll-termMOD-simps =
ipresIGWls-termMOD ipresIGWlsAbs-termMOD ipresIGWlsAll-termMOD

definition ipresVar where
ipresVar h MOD ==
 $\forall xs x. h (\text{Var } xs x) = igVar MOD xs x$ 

lemma ipresIGVar-termMOD[simp]:
ipresIGVar h termMOD MOD = ipresVar h MOD
unfolding ipresIGVar-def ipresVar-def by simp

definition ipresAbs where
ipresAbs h hA MOD ==
 $\forall xs x s X. isInBar (xs,s) \wedge wls s X \longrightarrow hA (\text{Abs } xs x X) = igAbs MOD xs x (h X)$ 

lemma ipresIGAbs-termMOD[simp]:
ipresIGAbs h hA termMOD MOD = ipresAbs h hA MOD
unfolding ipresIGAbs-def ipresAbs-def by simp

definition ipresOp where
ipresOp h hA MOD ==
 $\forall \delta \text{inp } binp.$ 
 $wlsInp \delta \text{inp} \wedge wlsBinp \delta binp \longrightarrow$ 
 $h (Op \delta \text{inp } binp) =$ 
 $igOp MOD \delta (lift h \text{inp}) (lift hA binp)$ 

lemma ipresIGOp-termMOD[simp]:
ipresIGOp h hA termMOD MOD = ipresOp h hA MOD
unfolding ipresIGOp-def ipresOp-def by simp

```

```

definition ipresCons where
ipresCons h hA MOD ==  

  ipresVar h MOD ∧  

  ipresAbs h hA MOD ∧  

  ipresOp h hA MOD

lemmas ipresCons-defs = ipresCons-def
ipresVar-def
ipresAbs-def
ipresOp-def

lemma ipresIGCons-termMOD[simp]:
ipresIGCons h hA termMOD MOD = ipresCons h hA MOD
unfolding ipresIGCons-def ipresCons-def by simp

lemmas ipresIGCons-termMOD-simps =
ipresIGVar-termMOD ipresIGAbs-termMOD ipresIGOp-termMOD
ipresIGCons-termMOD

definition ipresFresh where
ipresFresh h MOD ==  

  ∀ ys y s X.  

    wls s X →  

    fresh ys y X → igFresh MOD ys y (h X)

lemma ipresIGFresh-termMOD[simp]:
ipresIGFresh h termMOD MOD = ipresFresh h MOD
unfolding ipresIGFresh-def ipresFresh-def by simp

definition ipresFreshAbs where
ipresFreshAbs hA MOD ==  

  ∀ ys y us s A.  

    wlsAbs (us,s) A →  

    freshAbs ys y A → igFreshAbs MOD ys y (hA A)

lemma ipresIGFreshAbs-termMOD[simp]:
ipresIGFreshAbs hA termMOD MOD = ipresFreshAbs hA MOD
unfolding ipresIGFreshAbs-def ipresFreshAbs-def by simp

definition ipresFreshAll where
ipresFreshAll h hA MOD ==  

  ipresFresh h MOD ∧ ipresFreshAbs hA MOD

lemmas ipresFreshAll-defs = ipresFreshAll-def
ipresFresh-def ipresFreshAbs-def

lemma ipresIGFreshAll-termMOD[simp]:
ipresIGFreshAll h hA termMOD MOD = ipresFreshAll h hA MOD
unfolding ipresIGFreshAll-def ipresFreshAll-def by simp

```

```

lemmas ipresIGFreshAll-termMOD-simps =
ipresIGFresh-termMOD ipresIGFreshAbs-termMOD ipresIGFreshAll-termMOD

definition ipresSwap where
ipresSwap h MOD ==
 $\forall z s z1 z2 s X.$ 
 $wls s X \rightarrow$ 
 $h (X \# [z1 \wedge z2] - zs) = igSwap MOD z s z1 z2 (h X)$ 

lemma ipresIGSwap-termMOD[simp]:
ipresIGSwap h termMOD MOD = ipresSwap h MOD
unfolding ipresIGSwap-def ipresSwap-def by simp

definition ipresSwapAbs where
ipresSwapAbs hA MOD ==
 $\forall z s z1 z2 us s A.$ 
 $wlsAbs (us, s) A \rightarrow$ 
 $hA (A \$ [z1 \wedge z2] - zs) = igSwapAbs MOD z s z1 z2 (hA A)$ 

lemma ipresIGSwapAbs-termMOD[simp]:
ipresIGSwapAbs hA termMOD MOD = ipresSwapAbs hA MOD
unfolding ipresIGSwapAbs-def ipresSwapAbs-def by simp

definition ipresSwapAll where
ipresSwapAll h hA MOD ==
ipresSwap h MOD  $\wedge$  ipresSwapAbs hA MOD

lemmas ipresSwapAll-defs = ipresSwapAll-def
ipresSwap-def ipresSwapAbs-def

lemma ipresIGSwapAll-termMOD[simp]:
ipresIGSwapAll h hA termMOD MOD = ipresSwapAll h hA MOD
unfolding ipresIGSwapAll-def ipresSwapAll-def by simp

lemmas ipresIGSwapAll-termMOD-simps =
ipresIGSwap-termMOD ipresIGSwapAbs-termMOD ipresIGSwapAll-termMOD

definition ipresSubst where
ipresSubst h MOD ==
 $\forall ys Y y s X.$ 
 $wls (asSort ys) Y \wedge wls s X \rightarrow$ 
 $h (subst ys Y y X) = igSubst MOD ys (h Y) y (h X)$ 

lemma ipresIGSubst-termMOD[simp]:
ipresIGSubst h termMOD MOD = ipresSubst h MOD
unfolding ipresIGSubst-def ipresSubst-def by simp

definition ipresSubstAbs where

```

```

ipresSubstAbs h hA MOD ==
   $\forall ys Y y us s A.$ 
     $wls(asSort ys) Y \wedge wlsAbs(us,s) A \longrightarrow$ 
     $hA(A \$[Y / y]-ys) = igSubstAbs MOD ys (h Y) y (hA A)$ 

lemma ipresIGSubstAbs-termMOD[simp]:
  ipresIGSubstAbs h hA termMOD MOD = ipresSubstAbs h hA MOD
  unfolding ipresIGSubstAbs-def ipresSubstAbs-def by simp

definition ipresSubstAll where
  ipresSubstAll h hA MOD ==
    ipresSubst h MOD \wedge ipresSubstAbs h hA MOD

lemmas ipresSubstAll-defs = ipresSubstAll-def
  ipresSubst-def ipresSubstAbs-def

lemma ipresIGSubstAll-termMOD[simp]:
  ipresIGSubstAll h hA termMOD MOD = ipresSubstAll h hA MOD
  unfolding ipresIGSubstAll-def ipresSubstAll-def by simp

lemmas ipresIGSubstAll-termMOD-simps =
  ipresIGSubst-termMOD ipresIGSubstAbs-termMOD ipresIGSubstAll-termMOD

definition termFSwImorph where
  termFSwImorph h hA MOD ==
    ipresWlsAll h hA MOD \wedge ipresCons h hA MOD \wedge
    ipresFreshAll h hA MOD \wedge ipresSwapAll h hA MOD

lemmas termFSwImorph-defs1 = termFSwImorph-def
  ipresWlsAll-def ipresCons-def
  ipresFreshAll-def ipresSwapAll-def

lemmas termFSwImorph-defs = termFSwImorph-def
  ipresWlsAll-defs ipresCons-defs
  ipresFreshAll-defs ipresSwapAll-defs

lemma FSwImorph-termMOD[simp]:
  FSwImorph h hA termMOD MOD = termFSwImorph h hA MOD
  unfolding FSwImorph-def termFSwImorph-def by simp

definition termFSbImorph where
  termFSbImorph h hA MOD ==
    ipresWlsAll h hA MOD \wedge ipresCons h hA MOD \wedge
    ipresFreshAll h hA MOD \wedge ipresSubstAll h hA MOD

lemmas termFSbImorph-defs1 = termFSbImorph-def
  ipresWlsAll-def ipresCons-def
  ipresFreshAll-def ipresSubstAll-def

```

```

lemmas termFSbImorph-defs = termFSbImorph-def
ipresWlsAll-defs ipresCons-defs
ipresFreshAll-defs ipresSubstAll-defs

lemma FSbImorph-termMOD[simp]:
FSbImorph h hA termMOD MOD = termFSbImorph h hA MOD
unfolding FSbImorph-def termFSbImorph-def by simp

```

```

definition termFSwSbImorph where
termFSwSbImorph h hA MOD ===
termFSwImorph h hA MOD ∧ ipresSubstAll h hA MOD

```

```

lemmas termFSwSbImorph-defs1 = termFSwSbImorph-def
termFSwImorph-def ipresSubstAll-def

```

```

lemmas termFSwSbImorph-defs = termFSwSbImorph-def
termFSwImorph-defs ipresSubstAll-defs

```

Term FSwSb morphisms are the same as FSbSw morphisms:

```

lemma termFSwSbImorph-iff:
termFSwSbImorph h hA MOD =
(termFSbImorph h hA MOD ∧ ipresSwapAll h hA MOD)
unfolding termFSwSbImorph-def termFSwImorph-def termFSbImorph-def ipres-
SubstAll-def
unfolding FSwSbImorph-def FSbImorph-def FSwImorph-def by auto

```

```

lemma FSwSbImorph-termMOD[simp]:
FSwSbImorph h hA termMOD MOD = termFSwSbImorph h hA MOD
unfolding FSwSbImorph-def termFSwSbImorph-def by simp

```

```

lemma ipresWls-wlsInp:
assumes wlsInp delta inp and ipresWls h MOD
shows igWlsInp MOD delta (lift h inp)
using assms imp-igWlsInp[of termMOD delta inp h MOD] by auto

```

```

lemma termFSwImorph-wlsInp:
assumes wlsInp delta inp and termFSwImorph h hA MOD
shows igWlsInp MOD delta (lift h inp)
using assms FSwImorph-igWlsInp[of termMOD delta inp h hA MOD] by auto

```

```

lemma termFSwSbImorph-wlsInp:
assumes wlsInp delta inp and termFSwSbImorph h hA MOD
shows igWlsInp MOD delta (lift h inp)
using assms FSwSbImorph-igWlsInp[of termMOD delta inp h hA MOD] by auto

```

```

lemma ipresWls-wlsBinp:
assumes wlsBinp delta binp and ipresWlsAbs hA MOD
shows igWlsBinp MOD delta (lift hA binp)
using assms imp-igWlsBinp[of termMOD delta binp hA MOD] by auto

```

```

lemma termFSwImorph-wlsBinp:
assumes wlsBinp delta binp and termFSwImorph h hA MOD
shows igWlsBinp MOD delta (lift hA binp)
using assms FSwImorph-igWlsBinp[of termMOD delta binp h hA MOD] by auto

lemma termFSwSbImorph-wlsBinp:
assumes wlsBinp delta binp and termFSwSbImorph h hA MOD
shows igWlsBinp MOD delta (lift hA binp)
using assms FSwSbImorph-igWlsBinp[of termMOD delta binp h hA MOD] by auto

lemma id-termFSwImorph: termFSwImorph id id termMOD
using id-FSwImorph[of termMOD] by simp

lemma id-termFSbImorph: termFSbImorph id id termMOD
using id-FSbImorph[of termMOD] by simp

lemma id-termFSwSbImorph: termFSwSbImorph id id termMOD
using id-FSwSbImorph[of termMOD] by simp

lemma comp-termFSwImorph:
assumes *: termFSwImorph h hA MOD and **: FSwImorph h' hA' MOD MOD'
shows termFSwImorph (h' o h) (hA' o hA) MOD'
using assms comp-FSwImorph[of h hA termMOD MOD h' hA' MOD'] by auto

lemma comp-termFSbImorph:
assumes *: termFSbImorph h hA MOD and **: FSbImorph h' hA' MOD MOD'
shows termFSbImorph (h' o h) (hA' o hA) MOD'
using assms comp-FSbImorph[of termMOD h hA MOD h' hA' MOD']
termMOD-igWlsAbsIsInBar by auto

lemma comp-termFSwSbImorph:
assumes *: termFSwSbImorph h hA MOD and **: FSwSbImorph h' hA' MOD MOD'
shows termFSwSbImorph (h' o h) (hA' o hA) MOD'
using assms comp-FSwSbImorph[of termMOD h hA MOD h' hA' MOD']
termMOD-igWlsAbsIsInBar by auto

lemmas mapFrom-termMOD-simps =
ipresIGWlsAll-termMOD-simps
ipresIGCons-termMOD-simps
ipresIGFreshAll-termMOD-simps
ipresIGSwapAll-termMOD-simps
ipresIGSubstAll-termMOD-simps
FSwImorph-termMOD FSbImorph-termMOD FSwSbImorph-termMOD

lemmas termMOD-simps =
structure-termMOD-simps mapFrom-termMOD-simps

```

8.3.4 Sufficient criteria for being a morphism to a well-sorted model (of various kinds)

In a nutshell: in these cases, we only need to check preservation of the syntactic constructs, “ipresCons”.

```

lemma ipresCons-imp-ipresWlsAll:
assumes *: ipresCons h hA MOD and **: igConsIPresIGWls MOD
shows ipresWlsAll h hA MOD
proof-
  {fix s X us s' A
   have (wls s X → igWls MOD s (h X)) ∧
     (wlsAbs (us,s') A → igWlsAbs MOD (us,s') (hA A))
   proof(induction rule: wls-rawInduct)
     case (Var xs x)
     then show ?case
       by (metis assms igConsIPresIGWls-def igVarIPresIGWls-def ipresCons-def
ipresVar-def)
     next
       case (Op delta inp binp)
       have igWlsInp MOD delta (lift h inp) ∧ igWlsBinp MOD delta (lift hA binp)
       using Op unfolding igWlsInp-def igWlsBinp-def wlsInp-iff wlsBinp-iff
       by simp (simp add: liftAll2-def lift-def split: option.splits)
       hence igWls MOD (stOf delta) (igOp MOD delta (lift h inp) (lift hA binp))
       using ** unfolding igConsIPresIGWls-def igOpIPresIGWls-def by simp
       thus ?case using Op * unfolding ipresCons-def ipresOp-def by simp
     next
       case (Abs s xs x X)
       then show ?case
         by (metis assms igAbsIPresIGWls-def igConsIPresIGWls-def ipresAbs-def
ipresCons-def)
       qed
     }
     thus ?thesis unfolding ipresWlsAll-defs by simp
  qed

lemma ipresCons-imp-ipresFreshAll:
assumes *: ipresCons h hA MOD and **: igFreshCls MOD
and igConsIPresIGWls MOD
shows ipresFreshAll h hA MOD
proof-
  have ***: ipresWlsAll h hA MOD
  using assms ipresCons-imp-ipresWlsAll by auto
  hence ***:
     $\wedge$  delta inp. wlsInp delta inp  $\implies$  igWlsInp MOD delta (lift h inp)
     $\wedge$  delta binp. wlsBinp delta binp  $\implies$  igWlsBinp MOD delta (lift hA binp)
    unfolding ipresWlsAll-def using ipresWls-wlsInp ipresWls-wlsBinp by auto

  {fix s X us s' A ys y
   have (wls s X → fresh ys y X → igFresh MOD ys y (h X)) ∧

```

```

(wlsAbs (us,s') A —> freshAbs ys y A —> igFreshAbs MOD ys y (hA A))
proof(induction rule: wls-rawInduct)
  case (Var xs x)
  then show ?case
    by (metis * ** fresh-Var-simp igFreshCls-def igFreshIGVar-def ipresCons-def
ipresVar-def)
  next
  case (Op delta inp binp)
  show ?case proof safe
    assume y-fresh: fresh ys y (Op delta inp binp)
    {fix i X assume inp: inp i = Some X
     then obtain s where arOf delta i = Some s
     using Op unfolding wlsInp-iff sameDom-def by fastforce
     hence igFresh MOD ys y (h X)
     using Op.IH y-fresh inp unfolding freshInp-def liftAll-def liftAll2-def
     by (metis freshInp-def liftAll-def wls-fresh-Op-simp)
    }
    moreover
    {fix i A assume binp: binp i = Some A
     then obtain us-s where barOf delta i = Some us-s
     using Op unfolding wlsBinp-iff sameDom-def by force
     hence igFreshAbs MOD ys y (hA A)
     using Op.IH y-fresh binp unfolding freshBinp-def liftAll-def liftAll2-def
     by simp (metis (no-types, opaque-lifting) freshBinp-def liftAll-def old.prod.exhaust)
    }
    ultimately have igFreshInp MOD ys y (lift h inp) ∧ igFreshBinp MOD ys
y (lift hA binp)
    unfolding igFreshInp-def igFreshBinp-def liftAll-lift-comp unfolding lif-
tAll-def by auto
    moreover have igWlsInp MOD delta (lift h inp) ∧ igWlsBinp MOD delta
(lift hA binp)
    using Op **** by simp
    ultimately have igFresh MOD ys y (igOp MOD delta (lift h inp) (lift hA
binp))
    using ** unfolding igFreshCls-def igFreshIGOp-def by simp
    thus igFresh MOD ys y (h (Op delta inp binp))
    using Op * unfolding ipresCons-def ipresOp-def by simp
  qed
  next
  case (Abs s xs x X)
  hence hX-wls: igWls MOD s (h X)
  using *** unfolding ipresWlsAll-def ipresWls-def by simp
  thus ?case
  using Abs assms by (cases ys = xs ∧ y = x)
  (simp-all add: igFreshCls-def igFreshIGAbs1-def igFreshIGAbs2-def ipresAbs-def
ipresCons-def)
  qed
}
thus ?thesis unfolding ipresFreshAll-defs by auto

```

qed

```
lemma ipresCons-imp-ipresSwapAll:
assumes *: ipresCons h hA MOD and **: igSwapCls MOD
and igConsIPresIGWls MOD
shows ipresSwapAll h hA MOD
proof-
  have ***: ipresWlsAll h hA MOD
  using assms ipresCons-imp-ipresWlsAll by auto
  hence ****:
    ⋀ delta inp. wlsInp delta inp ⟹ igWlsInp MOD delta (lift h inp)
    ⋀ delta binp. wlsBinp delta binp ⟹ igWlsBinp MOD delta (lift hA binp)
  unfolding ipresWlsAll-def using ipresWls-wlsInp ipresWls-wlsBinp by auto

  {fix s X us s' A zs z1 z2
  have (wls s X → h (swap zs z1 z2 X) = igSwap MOD zs z1 z2 (h X)) ∧
    (wlsAbs (us,s') A → hA (swapAbs zs z1 z2 A) = igSwapAbs MOD zs z1 z2
    (hA A))
  proof(induction rule: wls-rawInduct)
    case (Var xs x)
    then show ?case
      by (metis * ** igSwapCls-def igSwapIGVar-def ipresCons-def ipresVar-def
        swap-Var-simp)
    next
    case (Op delta inp binp)
    let ?inpsw = swapInp zs z1 z2 inp let ?binpsw = swapBinp zs z1 z2 binp
    let ?Left = h (Op delta ?inpsw ?binpsw)
    let ?Right = igSwap MOD zs z1 z2 (h (Op delta inp binp))
    have wlsLiftInp:
      igWlsInp MOD delta (lift h inp) ∧ igWlsBinp MOD delta (lift hA binp)
    using Op **** by simp
    have wlsInp delta ?inpsw ∧ wlsBinp delta ?binpsw
    using Op by simp
    hence ?Left = igOp MOD delta (lift h ?inpsw) (lift hA ?binpsw)
    using * unfolding ipresCons-def ipresOp-def by simp
    moreover
    have lift h ?inpsw = igSwapInp MOD zs z1 z2 (lift h inp) ∧
      lift hA ?binpsw = igSwapBinp MOD zs z1 z2 (lift hA binp)
    using Op * not-None-eq
    by (simp add: igSwapCls-def igSwapIGOp-def wlsInp-iff wlsBinp-iff
      swapInp-def swapBinp-def igSwapInp-def igSwapBinp-def
      lift-comp fun-eq-iff liftAll2-def lift-def sameDom-def split: option.splits)
    (metis not-None-eq old.prod.exhaust)
    moreover
    have igOp MOD delta (igSwapInp MOD zs z1 z2 (lift h inp))
      (igSwapBinp MOD zs z1 z2 (lift hA binp)) =
      igSwap MOD zs z1 z2 (igOp MOD delta (lift h inp) (lift hA binp))
    using wlsLiftInp ** unfolding igSwapCls-def igSwapIGOp-def by simp
    moreover
```

```

have igSwap MOD zs z1 z2 (igOp MOD delta (lift h inp) (lift hA binp)) = ?Right
using Op * unfolding ipresCons-def ipresOp-def by simp
ultimately have ?Left = ?Right by simp
then show ?case by (simp add: Op)
next
case (Abs s xs x X)
let ?Xsw = swap zs z1 z2 X let ?xsw = x @xs[z1 ∨ z2]-zs
have hX: igWls MOD s (h X) using Abs.IH *** unfolding ipresWlsAll-def ipresWls-def by simp
let ?Left = hA (Abs xs ?xsw ?Xsw)
let ?Right = igSwapAbs MOD zs z1 z2 (hA (Abs xs x X))
have wls s (swap zs z1 z2 X) using Abs by simp
hence ?Left = igAbs MOD xs ?xsw (h ?Xsw)
using Abs * unfolding ipresCons-def ipresAbs-def by blast
also note Abs(3)
also have igAbs MOD xs ?xsw (igSwap MOD zs z1 z2 (h X)) = igSwapAbs MOD zs z1 z2 (igAbs MOD xs x (h X))
using Abs hX ** by (auto simp: igSwapCls-def igSwapIGAbs-def)
also have ... = ?Right using Abs * by (auto simp: ipresCons-def ipresAbs-def)
finally have ?Left = ?Right .
then show ?case using Abs(2) by auto
qed
}
thus ?thesis unfolding ipresSwapAll-defs by auto
qed

lemma ipresCons-imp-ipresSubstAll-aux:
assumes *: ipresCons h hA MOD and **: igSubstCls MOD and igConsIPresIGWls MOD and igFreshCls MOD
assumes P: wlsPar P
shows
(wls s X → ( ∀ ys y Y. y ∈ varsOfS P ys ∧ Y ∈ termsOfS P (asSort ys) → h (X #[Y / y]-ys) = igSubst MOD ys (h Y) y (h X)))
∧
(wlsAbs (us,s') A → ( ∀ ys y Y. y ∈ varsOfS P ys ∧ Y ∈ termsOfS P (asSort ys) → hA (A $[Y / y]-ys) = igSubstAbs MOD ys (h Y) y (hA A)))
proof-
have ****: ipresWlsAll h hA MOD
using assms ipresCons-imp-ipresWlsAll by auto
hence ****:
 $\wedge \text{delta inp. } \text{wlsInp delta inp} \implies \text{igWlsInp MOD delta (lift h inp)}$ 
 $\wedge \text{delta binp. } \text{wlsBinp delta binp} \implies \text{igWlsBinp MOD delta (lift hA binp)}$ 
unfolding ipresWlsAll-def using ipresWls-wlsInp ipresWls-wlsBinp by auto
have *****: ipresFreshAll h hA MOD
using assms ipresCons-imp-ipresFreshAll by auto

```

```

show ?thesis
proof(induction rule: wls-induct-fresh[of P])
  case Par
    then show ?case using P by auto
  next
    case (Var xs x)
    then show ?case using assms
    by (simp add: ipresWlsAll-def ipresWls-def igSubstCls-def igSubstIGVar2-def
           ipresCons-def ipresVar-def)
    (metis *** FixSyn.ipresWlsAll-defs(1) FixSyn.ipresWlsAll-defs(2) FixSyn-axioms
     igSubstIGVar1-def wlsPar-def wls-subst-Var-simp1 wls-subst-Var-simp2)
  next
    case (Op delta inp binp)
    show ?case proof safe
      fix ys y Y
      assume yP:  $y \in \text{varsOfS } P \text{ ys}$  and  $YP: Y \in \text{termsOfS } P \text{ (asSort ys)}$ 
      hence Y: wls (asSort ys) Y using P by auto
      hence hY: igWls MOD (asSort ys) (h Y)
      using *** unfolding ipresWlsAll-def ipresWls-def by simp
      have sinp: wlsInp delta (substInp ys Y y inp) ∧
                 wlsBinp delta (substBinp ys Y y binp) using Y Op by simp
      have liftInp: igWlsInp MOD delta (lift h inp) ∧
                    igWlsBinp MOD delta (lift hA binp)
      using Op **** by simp
      let ?Left =  $h ((Op \Delta \text{ inp } binp) \# [Y / y] - ys)$ 
      let ?Right = igSubst MOD ys (h Y) y (h (Op delta inp binp))
      have ?Left = igOp MOD delta (lift h (substInp ys Y y inp))  

                   (lift hA (substBinp ys Y y binp))
      using sinp * unfolding ipresCons-def ipresOp-def
      by (simp add: Op.IH(1) Op.IH(2) Y)
      moreover
      have lift h (substInp ys Y y inp) = igSubstInp MOD ys (h Y) y (lift h inp) ∧
          lift hA (substBinp ys Y y binp) = igSubstBinp MOD ys (h Y) y (lift hA binp)
      using Op YP by (simp add: substInp-def2 igSubstInp-def substBinp-def2
                         igSubstBinp-def lift-comp
                         lift-def liftAll2-def fun-eq-iff wlsInp-iff wlsBinp-iff sameDom-def split: option.splits)
      (metis (no-types, opaque-lifting) not-Some-eq option.distinct(1) sinp wls-
       Binp.simps)
      moreover
      have igOp MOD delta (igSubstInp MOD ys (h Y) y (lift h inp))
                     (igSubstBinp MOD ys (h Y) y (lift hA binp)) =
          igSubst MOD ys (h Y) y (igOp MOD delta (lift h inp) (lift hA binp))
      using hY liftInp ** unfolding igSubstCls-def igSubstIGOp-def by simp
      moreover have ... = ?Right using Op * unfolding ipresCons-def ipresOp-def
      by simp
      ultimately show ?Left = ?Right by simp

```

```

qed
next
  case (Abs s xs x X)
  show ?case proof safe
    fix ys y Y
    assume yP:  $y \in varsOfS P$  ys and YP:  $Y \in termsOfS P$  (asSort ys)
    hence x-diff:  $ys \neq xs \vee y \neq x$ 
    and Y: wls (asSort ys) Y and x-fresh: fresh xs x Y using P Abs by auto
    hence hY: igWls MOD (asSort ys) (h Y)
    using *** unfolding ipresWlsAll-def ipresWls-def by simp
    have hX: igWls MOD s (h X)
    using Abs *** unfolding ipresWlsAll-def ipresWls-def by simp
    let ?Xsb = subst ys Y y X
    have Xsb: wls s ?Xsb using Y Abs by simp
    have x-igFresh: igFresh MOD xs x (h Y)
    using Y x-fresh ***** unfolding ipresFreshAll-def ipresFresh-def by simp
    let ?Left = hA (Abs xs x X $[Y / y]-ys)
    let ?Right = igSubstAbs MOD ys (h Y) y (hA (Abs xs x X))
    have ?Left = hA (Abs xs x ?Xsb) using Y Abs x-diff x-fresh by auto
    also have ... = igAbs MOD xs x (h ?Xsb)
    using Abs Xsb * unfolding ipresCons-def ipresAbs-def by fastforce
    also have ... = igAbs MOD xs x (igSubst MOD ys (h Y) y (h X))
    using yP YP Abs.IH by simp
    also have ... = igSubstAbs MOD ys (h Y) y (igAbs MOD xs x (h X))
    using Abs hY hX x-diff x-igFresh **
    by (auto simp: igSubstCls-def igSubstIGAbs-def)
    also have ... = ?Right using Abs * by (auto simp: ipresCons-def ipresAbs-def)

    finally show ?Left = ?Right .
qed
qed
qed

lemma ipresCons-imp-ipresSubst:
assumes *: ipresCons h hA MOD and **: igSubstCls MOD
and igConsIPresIGWls MOD and igFreshCls MOD
shows ipresSubst h MOD
unfolding ipresSubst-def apply clarify
subgoal for ys Y y s X
using assms ipresCons-imp-ipresSubstAll-aux
[of h hA MOD
  ParS (λzs. if zs = ys then [y] else [])
  (λs'. if s' = asSort ys then [Y] else [])
  (λ-. [])
  []]
unfolding wlsPar-def by auto .

lemma ipresCons-imp-ipresSubstAbs:
assumes *: ipresCons h hA MOD and **: igSubstCls MOD

```

```

and igConsIPresIGWls MOD and igFreshCls MOD
shows ipresSubstAbs h hA MOD
unfolding ipresSubstAbs-def apply clarify
subgoal for ys Y y us s A
using assms ipresCons-imp-ipresSubstAll-aux
[of h hA MOD
  ParS (λzs. if zs = ys then [y] else [])
  (λs'. if s' = asSort ys then [Y] else [])
  (λ-. [])
  []
]
unfolding wlsPar-def by auto .

lemma ipresCons-imp-ipresSubstAll:
assumes *: ipresCons h hA MOD and **: igSubstCls MOD
and igConsIPresIGWls MOD and igFreshCls MOD
shows ipresSubstAll h hA MOD
unfolding ipresSubstAll-def using assms
ipresCons-imp-ipresSubst ipresCons-imp-ipresSubstAbs by auto

lemma iwlsFSw-termFSwImorph-iff:
iwlsFSw MOD  $\implies$  termFSwImorph h hA MOD = ipresCons h hA MOD
unfolding iwlsFSw-def termFSwImorph-def
using ipresCons-imp-ipresWlsAll
ipresCons-imp-ipresFreshAll ipresCons-imp-ipresSwapAll by auto

corollary iwlsFSwSTR-termFSwImorph-iff:
iwlsFSwSTR MOD  $\implies$  termFSwImorph h hA MOD = ipresCons h hA MOD
using iwlsFSwSTR-imp-iwlsFSw iwlsFSw-termFSwImorph-iff by fastforce

lemma iwlsFSb-termFSbImorph-iff:
iwlsFSb MOD  $\implies$  termFSbImorph h hA MOD = ipresCons h hA MOD
unfolding iwlsFSb-def termFSbImorph-def
using ipresCons-imp-ipresWlsAll
ipresCons-imp-ipresFreshAll ipresCons-imp-ipresSubstAll
unfolding igSubstCls-def by fastforce+

corollary iwlsFSbSwTR-termFSbImorph-iff:
iwlsFSbSwTR MOD  $\implies$  termFSbImorph h hA MOD = ipresCons h hA MOD
using iwlsFSbSwTR-imp-iwlsFSb iwlsFSb-termFSbImorph-iff by fastforce

lemma iwlsFSwSb-termFSwSbImorph-iff:
iwlsFSwSb MOD  $\implies$  termFSwSbImorph h hA MOD = ipresCons h hA MOD
unfolding termFSwSbImorph-def iwlsFSwSb-def
apply(simp add: iwlsFSw-termFSwImorph-iff)
unfolding iwlsFSw-def using ipresCons-imp-ipresSubstAll by auto

lemma iwlsFSbSw-termFSwSbImorph-iff:
iwlsFSbSw MOD  $\implies$  termFSwSbImorph h hA MOD = ipresCons h hA MOD
unfolding termFSwSbImorph-iff iwlsFSbSw-def

```

```

apply(simp add: iwlSFSb-termFSbImorph-iff)
unfolding iwlSFSb-def using ipresCons-imp-ipresSwapAll by auto
end

```

8.4 The “error” model of associated to a model

The error model will have the operators act like the original ones on well-formed terms, except that will return “ERR” (error) or “True” (in the case of fresh) whenever one of the inputs (variables, terms or abstractions) is “ERR” or is not well-formed.

The error model is more convenient than the original one, since one can define more easily a map from the model of terms to the former. This map shall be defined by the universal property of quotients, via a map from quasi-terms whose kernel includes the alpha-equivalence relation. The latter property (of including the alpha-equivalence would not be achievable with the original model as target, since alpha is defined unsortedly and the model clauses hold sortedly).

We shall only need error models associated to fresh-swap and to fresh-subst models.

8.4.1 Preliminaries

```
datatype 'a withERR = ERR | OK 'a
```

```

context FixSyn
begin

definition OKI where
OKI inp = lift OK inp

definition check where
check eX == THE X. eX = OK X

definition checkI where
checkI einp == lift check einp

lemma check-ex-unique:
eX ≠ ERR ==> (EX! X. eX = OK X)
by(cases eX, auto)

lemma check-OK[simp]:
check (OK X) = X
unfolding check-def using check-ex-unique theI' by auto

lemma OK-check[simp]:

```

$eX \neq ERR \implies OK (check eX) = eX$
unfolding *check-def* **using** *check-ex-unique theI'* **by** *auto*

```

lemma checkI-OKI[simp]:
  checkI (OKI inp) = inp
  unfolding OKI-def checkI-def lift-def apply(rule ext)
  by(case-tac inp i, auto)

lemma OKI-checkI[simp]:
  assumes liftAll ( $\lambda X. X \neq ERR$ ) einp
  shows OKI (checkI einp) = einp
  unfolding OKI-def checkI-def lift-def apply(rule ext)
  using assms unfolding liftAll-def by (case-tac einp i, auto)

```

```

lemma OKI-inj[simp]:
  fixes inp inp' :: ('index,'gTerm)input
  shows (OKI inp = OKI inp') = (inp = inp')
  apply(auto) unfolding OKI-def
  using lift-preserves-inj[of OK]
  unfolding inj-on-def by auto

```

```

lemmas OK-OKI-simps =
  check-OK OK-check checkI-OKI OKI-checkI OKI-inj

```

8.4.2 Definitions and notations

```

definition errMOD :: ('index,'bindx,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model  $\Rightarrow$ 
  ('index,'bindx,'varSort,'sort,'opSym,'var,'gTerm withERR,'gAbs withERR)model
where
  errMOD MOD ==
     $\begin{cases} igWls = \lambda s eX. \text{case } eX \text{ of } ERR \Rightarrow \text{False} \mid OK X \Rightarrow igWls MOD s X, \\ igWlsAbs = \lambda (us,s) eA. \text{case } eA \text{ of } ERR \Rightarrow \text{False} \mid OK A \Rightarrow igWlsAbs MOD (us,s) A, \end{cases}$ 
  igVar =  $\lambda xs x. OK (igVar MOD xs x),$ 
  igAbs =  $\lambda xs x eX.$ 
     $\begin{cases} \text{if } (eX \neq ERR \wedge (\exists s. isInBar (xs,s) \wedge igWls MOD s (check eX))) \\ \quad \text{then } OK (igAbs MOD xs x (check eX)) \\ \quad \text{else } ERR, \end{cases}$ 
  igOp =  $\lambda delta einp ebinp.$ 
     $\begin{cases} \text{if } liftAll (\lambda X. X \neq ERR) einp \wedge liftAll (\lambda A. A \neq ERR) ebinp \\ \quad \wedge igWlsInp MOD delta (checkI einp) \wedge igWlsBinp MOD delta (checkI ebinp) \\ \quad \text{then } OK (igOp MOD delta (checkI einp) (checkI ebinp)) \\ \quad \text{else } ERR, \end{cases}$ 
  igFresh =  $\lambda ys y eX.$ 
     $\begin{cases} \text{if } eX \neq ERR \wedge (\exists s. igWls MOD s (check eX)) \\ \quad \text{then } igFresh MOD ys y (check eX) \end{cases}$ 

```

```

        else True,

$$igFreshAbs = \lambda ys\ y\ eA.$$


$$\quad \text{if } eA \neq \text{ERR} \wedge (\exists\ us\ s.\ igWlsAbs\ MOD\ (us,s)\ (\text{check}\ eA))$$


$$\quad \quad \text{then } igFreshAbs\ MOD\ ys\ y\ (\text{check}\ eA)$$


$$\quad \quad \text{else True,}$$


$$igSwap = \lambda zs\ z1\ z2\ eX.$$


$$\quad \text{if } eX \neq \text{ERR} \wedge (\exists\ s.\ igWls\ MOD\ s\ (\text{check}\ eX))$$


$$\quad \quad \text{then } OK\ (igSwap\ MOD\ zs\ z1\ z2\ (\text{check}\ eX))$$


$$\quad \quad \text{else } \text{ERR},$$


$$igSwapAbs = \lambda zs\ z1\ z2\ eA.$$


$$\quad \text{if } eA \neq \text{ERR} \wedge (\exists\ us\ s.\ igWlsAbs\ MOD\ (us,s)\ (\text{check}\ eA))$$


$$\quad \quad \text{then } OK\ (igSwapAbs\ MOD\ zs\ z1\ z2\ (\text{check}\ eA))$$


$$\quad \quad \text{else } \text{ERR},$$


$$igSubst = \lambda ys\ eY\ y\ eX.$$


$$\quad \text{if } eY \neq \text{ERR} \wedge igWls\ MOD\ (\text{asSort}\ ys)\ (\text{check}\ eY)$$


$$\quad \quad \wedge\ eX \neq \text{ERR} \wedge (\exists\ s.\ igWls\ MOD\ s\ (\text{check}\ eX))$$


$$\quad \quad \text{then } OK\ (igSubst\ MOD\ ys\ (\text{check}\ eY)\ y\ (\text{check}\ eX))$$


$$\quad \quad \text{else } \text{ERR},$$


$$igSubstAbs = \lambda ys\ eY\ y\ eA.$$


$$\quad \text{if } eY \neq \text{ERR} \wedge igWls\ MOD\ (\text{asSort}\ ys)\ (\text{check}\ eY)$$


$$\quad \quad \wedge\ ea \neq \text{ERR} \wedge (\exists\ us\ s.\ igWlsAbs\ MOD\ (us,s)\ (\text{check}\ eA))$$


$$\quad \quad \text{then } OK\ (igSubstAbs\ MOD\ ys\ (\text{check}\ eY)\ y\ (\text{check}\ eA))$$


$$\quad \quad \text{else } \text{ERR}$$


```

)

```

abbreviation eWls where eWls MOD == igWls (errMOD MOD)
abbreviation eWlsAbs where eWlsAbs MOD == igWlsAbs (errMOD MOD)
abbreviation eWlsInp where eWlsInp MOD == igWlsInp (errMOD MOD)
abbreviation eWlsBinp where eWlsBinp MOD == igWlsBinp (errMOD MOD)
abbreviation eVar where eVar MOD == igVar (errMOD MOD)
abbreviation eAbs where eAbs MOD == igAbs (errMOD MOD)
abbreviation eOp where eOp MOD == igOp (errMOD MOD)
abbreviation eFresh where eFresh MOD == igFresh (errMOD MOD)
abbreviation eFreshAbs where eFreshAbs MOD == igFreshAbs (errMOD MOD)
abbreviation eFreshInp where eFreshInp MOD == igFreshInp (errMOD MOD)
abbreviation eFreshBinp where eFreshBinp MOD == igFreshBinp (errMOD MOD)
abbreviation eSwap where eSwap MOD == igSwap (errMOD MOD)
abbreviation eSwapAbs where eSwapAbs MOD == igSwapAbs (errMOD MOD)
abbreviation eSwapInp where eSwapInp MOD == igSwapInp (errMOD MOD)
abbreviation eSwapBinp where eSwapBinp MOD == igSwapBinp (errMOD MOD)
abbreviation eSubst where eSubst MOD == igSubst (errMOD MOD)
abbreviation eSubstAbs where eSubstAbs MOD == igSubstAbs (errMOD MOD)
abbreviation eSubstInp where eSubstInp MOD == igSubstInp (errMOD MOD)
abbreviation eSubstBinp where eSubstBinp MOD == igSubstBinp (errMOD MOD)

```

8.4.3 Simplification rules

lemma *eWls-simp1*[simp]:
 $eWls \text{ MOD } s (\text{OK } X) = igWls \text{ MOD } s X$
unfolding *errMOD-def* **by** *simp*

lemma *eWls-simp2*[simp]:
 $eWls \text{ MOD } s \text{ ERR} = \text{False}$
unfolding *errMOD-def* **by** *simp*

lemma *eWlsAbs-simp1*[simp]:
 $eWlsAbs \text{ MOD } (us,s) (\text{OK } A) = igWlsAbs \text{ MOD } (us,s) A$
unfolding *errMOD-def* **by** *simp*

lemma *eWlsAbs-simp2*[simp]:
 $eWlsAbs \text{ MOD } (us,s) \text{ ERR} = \text{False}$
unfolding *errMOD-def* **by** *simp*

lemma *eWlsInp-simp1*[simp]:
 $eWlsInp \text{ MOD } \delta (\text{OKI } inp) = igWlsInp \text{ MOD } \delta \text{ inp}$
by (*fastforce simp*: *OKI-def sameDom-def liftAll2-def lift-def igWlsInp-def split: option.splits*)

lemma *eWlsInp-simp2*[simp]:
 $\neg \text{liftAll } (\lambda eX. eX \neq \text{ERR}) \text{ einp} \implies \neg eWlsInp \text{ MOD } \delta \text{ einp}$
by (*force simp*: *sameDom-def liftAll-def liftAll2-def lift-def igWlsInp-def*)

corollary *eWlsInp-simp3*[simp]:
 $\neg eWlsInp \text{ MOD } \delta (\lambda i. \text{Some } \text{ERR})$
by (*auto simp*: *liftAll-def*)

lemma *eWlsBinp-simp1*[simp]:
 $eWlsBinp \text{ MOD } \delta (\text{OKI } binp) = igWlsBinp \text{ MOD } \delta \text{ binp}$
by (*fastforce simp*: *OKI-def sameDom-def liftAll2-def lift-def igWlsBinp-def split: option.splits*)

lemma *eWlsBinp-simp2*[simp]:
 $\neg \text{liftAll } (\lambda eA. eA \neq \text{ERR}) \text{ ebinp} \implies \neg eWlsBinp \text{ MOD } \delta \text{ ebinp}$
by (*force simp*: *sameDom-def liftAll-def liftAll2-def lift-def igWlsBinp-def*)

corollary *eWlsBinp-simp3*[simp]:
 $\neg eWlsBinp \text{ MOD } \delta (\lambda i. \text{Some } \text{ERR})$
by (*auto simp*: *liftAll-def*)

lemmas *eWlsAll-simps* =
eWls-simp1 eWls-simp2
eWlsAbs-simp1 eWlsAbs-simp2
eWlsInp-simp1 eWlsInp-simp2 eWlsInp-simp3
eWlsBinp-simp1 eWlsBinp-simp2 eWlsBinp-simp3

```

lemma eVar-simp[simp]:
eVar MOD xs x = OK (igVar MOD xs x)
unfolding errMOD-def by simp

lemma eAbs-simp1[simp]:
 $\llbracket \text{isInBar } (xs, s); \text{igWls MOD } s \ X \rrbracket \implies \text{eAbs MOD } xs \ x \ (\text{OK } X) = \text{OK } (\text{igAbs MOD } xs \ x \ X)$ 
unfolding errMOD-def by auto

lemma eAbs-simp2[simp]:
 $\forall s. \neg (\text{isInBar } (xs, s) \wedge \text{igWls MOD } s \ X) \implies \text{eAbs MOD } xs \ x \ (\text{OK } X) = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eAbs-simp3[simp]:
eAbs MOD xs x ERR = ERR
unfolding errMOD-def by auto

lemma eOp-simp1[simp]:
assumes igWlsInp MOD delta inp and igWlsBinp MOD delta binp
shows eOp MOD delta (OKI inp) (OKI binp) = OK (igOp MOD delta inp binp)
unfolding errMOD-def apply simp
unfolding liftAll-def OKI-def lift-def
using assms by (auto split: option.splits)

lemma eOp-simp2[simp]:
assumes  $\neg \text{igWlsInp MOD delta inp}$ 
shows eOp MOD delta (OKI inp) ebinp = ERR
using assms unfolding errMOD-def by auto

lemma eOp-simp3[simp]:
assumes  $\neg \text{igWlsBinp MOD delta binp}$ 
shows eOp MOD delta einp (OKI binp) = ERR
using assms unfolding errMOD-def by auto

lemma eOp-simp4[simp]:
assumes  $\neg \text{liftAll } (\lambda eX. eX \neq \text{ERR}) \text{ einp}$ 
shows eOp MOD delta einp ebinp = ERR
using assms unfolding errMOD-def by auto

corollary eOp-simp5[simp]:
eOp MOD delta ( $\lambda i. \text{Some } \text{ERR}$ ) ebinp = ERR
by (auto simp: liftAll-def)

lemma eOp-simp6[simp]:
assumes  $\neg \text{liftAll } (\lambda eA. eA \neq \text{ERR}) \text{ ebinp}$ 
shows eOp MOD delta einp ebinp = ERR
using assms unfolding errMOD-def by auto

corollary eOp-simp7[simp]:

```

```

 $eOp MOD \delta einp (\lambda i. \text{Some } ERR) = ERR$ 
by (auto simp: liftAll-def)

lemmas eCons-simps =
eVar-simp
eAbs-simp1 eAbs-simp2 eAbs-simp3
eOp-simp1 eOp-simp2 eOp-simp3 eOp-simp4 eOp-simp5 eOp-simp6 eOp-simp7

lemma eFresh-simp1[simp]:
igWls MOD s X  $\implies$  eFresh MOD ys y (OK X) = igFresh MOD ys y X
unfolding errMOD-def by auto

lemma eFresh-simp2[simp]:
 $\forall s. \neg igWls MOD s X \implies eFresh MOD ys y (OK X)$ 
unfolding errMOD-def by auto

lemma eFresh-simp3[simp]:
eFresh MOD ys y ERR
unfolding errMOD-def by auto

lemma eFreshAbs-simp1[simp]:
igWlsAbs MOD (us,s) A  $\implies$  eFreshAbs MOD ys y (OK A) = igFreshAbs MOD ys y A
unfolding errMOD-def by auto

lemma eFreshAbs-simp2[simp]:
 $\forall us s. \neg igWlsAbs MOD (us,s) A \implies eFreshAbs MOD ys y (OK A)$ 
unfolding errMOD-def by auto

lemma eFreshAbs-simp3[simp]:
eFreshAbs MOD ys y ERR
unfolding errMOD-def by auto

lemma eFreshInp-simp[simp]:
igWlsInp MOD \delta inp
 $\implies eFreshInp MOD ys y (OKI inp) = igFreshInp MOD ys y inp$ 
by (force simp: igFreshInp-def OKI-def liftAll-lift-comp igWlsInp-defs intro!: liftAll-cong)

lemma eFreshBinp-simp[simp]:
igWlsBinp MOD \delta binp
 $\implies eFreshBinp MOD ys y (OKI binp) = igFreshBinp MOD ys y binp$ 
by (force simp: igFreshBinp-def OKI-def liftAll-lift-comp igWlsBinp-defs intro!: liftAll-cong)

lemmas eFreshAll-simps =
eFresh-simp1 eFresh-simp2 eFresh-simp3
eFreshAbs-simp1 eFreshAbs-simp2 eFreshAbs-simp3
eFreshInp-simp

```

eFreshBinp-simp

```
lemma eSwap-simp1 [simp]:
igWls MOD s X
  ==> eSwap MOD zs z1 z2 (OK X) = OK (igSwap MOD zs z1 z2 X)
unfolding errMOD-def by auto

lemma eSwap-simp2 [simp]:
∀ s. ¬ igWls MOD s X ==> eSwap MOD zs z1 z2 (OK X) = ERR
unfolding errMOD-def by auto

lemma eSwap-simp3 [simp]:
eSwap MOD zs z1 z2 ERR = ERR
unfolding errMOD-def by auto

lemma eSwapAbs-simp1 [simp]:
igWlsAbs MOD (us,s) A
  ==> eSwapAbs MOD zs z1 z2 (OK A) = OK (igSwapAbs MOD zs z1 z2 A)
unfolding errMOD-def by auto

lemma eSwapAbs-simp2 [simp]:
∀ us s. ¬ igWlsAbs MOD (us,s) A ==> eSwapAbs MOD zs z1 z2 (OK A) = ERR
unfolding errMOD-def by auto

lemma eSwapAbs-simp3 [simp]:
eSwapAbs MOD zs z1 z2 ERR = ERR
unfolding errMOD-def by auto

lemma eSwapInp-simp1 [simp]:
igWlsInp MOD delta inp
  ==> eSwapInp MOD zs z1 z2 (OKI inp) = OKI (igSwapInp MOD zs z1 z2 inp)
by (force simp: igSwapInp-def OKI-def lift-comp igWlsInp-defs intro!: lift-cong)

lemma eSwapInp-simp2 [simp]:
assumes ¬ liftAll (λ eX. eX ≠ ERR) einp
shows ¬ liftAll (λ eX. eX ≠ ERR) (eSwapInp MOD zs z1 z2 einp)
using assms unfolding liftAll-def igSwapInp-def lift-def by (auto split: option.splits)

lemma eSwapBinp-simp1 [simp]:
igWlsBinp MOD delta binp
  ==> eSwapBinp MOD zs z1 z2 (OKI binp) = OKI (igSwapBinp MOD zs z1 z2 binp)
by (force simp: igSwapBinp-def OKI-def lift-comp igWlsBinp-defs intro!: lift-cong)

lemma eSwapBinp-simp2 [simp]:
assumes ¬ liftAll (λ eA. eA ≠ ERR) ebinp
shows ¬ liftAll (λ eA. eA ≠ ERR) (eSwapBinp MOD zs z1 z2 ebinp)
using assms unfolding liftAll-def igSwapBinp-def lift-def by (auto split: option.splits)
```

```

lemmas eSwapAll-simps =
eSwap-simp1 eSwap-simp2 eSwap-simp3
eSwapAbs-simp1 eSwapAbs-simp2 eSwapAbs-simp3
eSwapInp-simp1 eSwapInp-simp2
eSwapBinp-simp1 eSwapBinp-simp2

lemma eSubst-simp1[simp]:
 $\llbracket \text{igWls MOD } (\text{asSort } ys) Y; \text{igWls MOD } s X \rrbracket$ 
 $\implies e\text{Subst MOD } ys (\text{OK } Y) y (\text{OK } X) = \text{OK } (\text{igSubst MOD } ys Y y X)$ 
unfolding errMOD-def by auto

lemma eSubst-simp2[simp]:
 $\neg \text{igWls MOD } (\text{asSort } ys) Y \implies e\text{Subst MOD } ys (\text{OK } Y) y eX = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubst-simp3[simp]:
 $\forall s. \neg \text{igWls MOD } s X \implies e\text{Subst MOD } ys eY y (\text{OK } X) = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubst-simp4[simp]:
 $e\text{Subst MOD } ys eY y \text{ERR} = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubst-simp5[simp]:
 $e\text{Subst MOD } ys \text{ERR } y eX = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubstAbs-simp1[simp]:
 $\llbracket \text{igWls MOD } (\text{asSort } ys) Y; \text{igWlsAbs MOD } (us,s) A \rrbracket$ 
 $\implies e\text{SubstAbs MOD } ys (\text{OK } Y) y (\text{OK } A) = \text{OK } (\text{igSubstAbs MOD } ys Y y A)$ 
unfolding errMOD-def by auto

lemma eSubstAbs-simp2[simp]:
 $\neg \text{igWls MOD } (\text{asSort } ys) Y \implies e\text{SubstAbs MOD } ys (\text{OK } Y) y eA = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubstAbs-simp3[simp]:
 $\forall us s. \neg \text{igWlsAbs MOD } (us,s) A \implies e\text{SubstAbs MOD } ys eY y (\text{OK } A) = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubstAbs-simp4[simp]:
 $e\text{SubstAbs MOD } ys eY y \text{ERR} = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubstAbs-simp5[simp]:
 $e\text{SubstAbs MOD } ys \text{ERR } y eA = \text{ERR}$ 
unfolding errMOD-def by auto

lemma eSubstInp-simp1[simp]:

```

```

 $\llbracket igWls \text{ MOD } (\text{asSort } ys) \; Y; igWlsInp \text{ MOD } delta \; inp \rrbracket$ 
 $\implies eSubstInp \text{ MOD } ys \; (\text{OK } Y) \; y \; (\text{OKI } inp) = \text{OKI } (igSubstInp \text{ MOD } ys \; Y \; y \; inp)$ 
by (force simp: igSubstInp-def OKI-def lift-comp igWlsInp-defs intro!: lift-cong)

lemma eSubstInp-simp2[simp]:
assumes  $\neg \text{liftAll } (\lambda eX. \; eX \neq \text{ERR}) \; einp$ 
shows  $\neg \text{liftAll } (\lambda eX. \; eX \neq \text{ERR}) \; (eSubstInp \text{ MOD } ys \; eY \; y \; einp)$ 
using assms unfolding lift-def igSubstInp-def liftAll-def by (auto split: option.splits)

lemma eSubstInp-simp3[simp]:
assumes  $*: \neg igWls \text{ MOD } (\text{asSort } ys) \; Y \text{ and } **: \neg einp = (\lambda i. \; \text{None})$ 
shows  $\neg \text{liftAll } (\lambda eX. \; eX \neq \text{ERR}) \; (eSubstInp \text{ MOD } ys \; (\text{OK } Y) \; y \; einp)$ 
using assms by (auto simp: igSubstInp-def liftAll-lift-comp lift-def liftAll-def
split: option.splits)

lemma eSubstInp-simp4[simp]:
assumes  $\neg einp = (\lambda i. \; \text{None})$ 
shows  $\neg \text{liftAll } (\lambda eX. \; eX \neq \text{ERR}) \; (eSubstInp \text{ MOD } ys \; \text{ERR} \; y \; einp)$ 
using assms by (auto simp: igSubstInp-def liftAll-lift-comp lift-def liftAll-def
split: option.splits)

lemma eSubstBinp-simp1[simp]:
 $\llbracket igWls \text{ MOD } (\text{asSort } ys) \; Y; igWlsBinp \text{ MOD } delta \; binp \rrbracket$ 
 $\implies eSubstBinp \text{ MOD } ys \; (\text{OK } Y) \; y \; (\text{OKI } binp) = \text{OKI } (igSubstBinp \text{ MOD } ys \; Y \; y \; binp)$ 
by (force simp: igSubstBinp-def OKI-def lift-comp igWlsBinp-defs intro!: lift-cong)

lemma eSubstBinp-simp2[simp]:
assumes  $\neg \text{liftAll } (\lambda eA. \; eA \neq \text{ERR}) \; ebinp$ 
shows  $\neg \text{liftAll } (\lambda eA. \; eA \neq \text{ERR}) \; (eSubstBinp \text{ MOD } ys \; eY \; y \; ebinp)$ 
using assms by (auto simp: igSubstBinp-def liftAll-lift-comp lift-def liftAll-def
split: option.splits)

lemma eSubstBinp-simp3[simp]:
assumes  $*: \neg igWls \text{ MOD } (\text{asSort } ys) \; Y \text{ and } **: \neg ebinp = (\lambda i. \; \text{None})$ 
shows  $\neg \text{liftAll } (\lambda eA. \; eA \neq \text{ERR}) \; (eSubstBinp \text{ MOD } ys \; (\text{OK } Y) \; y \; ebinp)$ 
using assms by (auto simp: igSubstBinp-def liftAll-lift-comp lift-def liftAll-def
split: option.splits)

lemma eSubstBinp-simp4[simp]:
assumes  $\neg ebinp = (\lambda i. \; \text{None})$ 
shows  $\neg \text{liftAll } (\lambda eA. \; eA \neq \text{ERR}) \; (eSubstBinp \text{ MOD } ys \; \text{ERR} \; y \; ebinp)$ 
using assms by (auto simp: igSubstBinp-def liftAll-lift-comp lift-def liftAll-def
split: option.splits)

lemmas eSubstAll-simps =
eSubst-simp1 eSubst-simp2 eSubst-simp3 eSubst-simp4 eSubst-simp5
eSubstAbs-simp1 eSubstAbs-simp2 eSubstAbs-simp3 eSubstAbs-simp4 eSubstAbs-simp5

```

eSubstInp-simp1 eSubstInp-simp2 eSubstInp-simp3 eSubstInp-simp4
eSubstBinp-simp1 eSubstBinp-simp2 eSubstBinp-simp3 eSubstBinp-simp4

lemmas *error-model-simps* =
OK-OKI-simps
eWlsAll-simps
eCons-simps
eFreshAll-simps
eSwapAll-simps
eSubstAll-simps

8.4.4 Nchotomies

lemma *eWls-nchotomy*:

$(\exists X. eX = OK X \wedge igWls MOD s X) \vee \neg eWls MOD s eX$
unfolding *errMOD-def* **by**(*cases eX*) *auto*

lemma *eWlsAbs-nchotomy*:

$(\exists A. eA = OK A \wedge igWlsAbs MOD (us,s) A) \vee \neg eWlsAbs MOD (us,s) eA$
unfolding *errMOD-def* **by**(*cases eA*) *auto*

lemma *eAbs-nchotomy*:

$((\exists s X. eX = OK X \wedge isInBar (xs,s) \wedge igWls MOD s X)) \vee (eAbs MOD xs x eX = ERR)$

unfolding *errMOD-def* **apply** *simp* **using** *OK-check* **by** *fastforce*

lemma *eOp-nchotomy*:

$(\exists inp binp. einp = OKI inp \wedge igWlsInp MOD delta inp \wedge$
 $ebinp = OKI binp \wedge igWlsBinp MOD delta binp)$
 \vee
 $(eOp MOD delta einp ebinp = ERR)$

unfolding *errMOD-def* **apply** *simp* **using** *OKI-checkI* **by** *force*

lemma *eFresh-nchotomy*:

$(\exists s X. eX = OK X \wedge igWls MOD s X) \vee eFresh MOD ys y eX$
unfolding *errMOD-def* **apply** *simp* **using** *OK-check* **by** *fastforce*

lemma *eFreshAbs-nchotomy*:

$(\exists us s A. eA = OK A \wedge igWlsAbs MOD (us,s) A)$
 $\vee eFreshAbs MOD ys y eA$
unfolding *errMOD-def* **apply** *simp* **using** *OK-check* **by** *fastforce*

lemma *eSwap-nchotomy*:

$(\exists s X. eX = OK X \wedge igWls MOD s X) \vee$
 $(eSwap MOD zs z1 z2 eX = ERR)$
unfolding *errMOD-def* **apply** *simp* **using** *OK-check* **by** *fastforce*

lemma *eSwapAbs-nchotomy*:

$(\exists us s A. eA = OK A \wedge igWlsAbs MOD (us,s) A) \vee$

```

( $eSwapAbs \text{ MOD } zs z1 z2 eA = \text{ERR}$ )
unfolding errMOD-def apply simp using OK-check by fastforce

lemma eSubst-nchotomy:
 $(\exists Y. eY = \text{OK } Y \wedge$ 
 $\quad igWls \text{ MOD } (\text{asSort } ys) Y) \wedge (\exists s X. eX = \text{OK } X \wedge igWls \text{ MOD } s X)$ 
 $\vee$ 
 $(eSubst \text{ MOD } ys eY y eX = \text{ERR})$ 
unfolding errMOD-def apply simp using OK-check by fastforce

lemma eSubstAbs-nchotomy:
 $(\exists Y. eY = \text{OK } Y \wedge igWls \text{ MOD } (\text{asSort } ys) Y) \wedge$ 
 $(\exists us s A. eA = \text{OK } A \wedge igWlsAbs \text{ MOD } (us, s) A)$ 
 $\vee$ 
 $(eSubstAbs \text{ MOD } ys eY y eA = \text{ERR})$ 
unfolding errMOD-def apply simp using OK-check by fastforce

```

8.4.5 Inversion rules

```

lemma eWls-invert:
assumes eWls MOD s eX
shows  $\exists X. eX = \text{OK } X \wedge igWls \text{ MOD } s X$ 
using assms eWls-nchotomy by blast

lemma eWlsAbs-invert:
assumes eWlsAbs MOD (us, s) eA
shows  $\exists A. eA = \text{OK } A \wedge igWlsAbs \text{ MOD } (us, s) A$ 
using assms eWlsAbs-nchotomy by blast

lemma eWlsInp-invert:
assumes eWlsInp MOD delta einp
shows  $\exists inp. igWlsInp \text{ MOD } delta inp \wedge einp = \text{OKI } inp$ 
proof
  let ?inp = checkI einp
  have wlsOpS delta using assms unfolding igWlsInp-def by simp
  moreover have sameDom (arOf delta) ?inp
  using assms unfolding igWlsInp-def checkI-def by simp
  moreover have liftAll2 (igWls MOD) (arOf delta) ?inp
  using assms eWls-invert
  by (fastforce simp: igWlsInp-def checkI-def liftAll2-def lift-def sameDom-def
    split: option.splits)
  ultimately have igWlsInp MOD delta ?inp unfolding igWlsInp-def by simp
  moreover
  {have liftAll ( $\lambda eX. eX \neq \text{ERR}$ ) einp
    using assms using eWlsInp-simp2 by blast
    hence einp = OKI ?inp by simp
  }
  ultimately show igWlsInp MOD delta ?inp  $\wedge$  einp = OKI ?inp by simp
qed

```

```

lemma eWlsBinp-invert:
assumes eWlsBinp MOD delta ebinp
shows ∃ binp. igWlsBinp MOD delta binp ∧ ebinp = OKI binp
proof
let ?binp = checkI ebinp
have wlsOpS delta using assms unfolding igWlsBinp-def by simp
moreover have sameDom (barOf delta) ?binp
using assms unfolding igWlsBinp-def checkI-def by simp
moreover have liftAll2 (igWlsAbs MOD) (barOf delta) ?binp
using assms eWlsAbs-invert
by (fastforce simp: igWlsBinp-def checkI-def liftAll2-def lift-def sameDom-def
split: option.splits)
ultimately have igWlsBinp MOD delta ?binp unfolding igWlsBinp-def by simp
moreover
{have liftAll (λeA. eA ≠ ERR) ebinp
using assms using eWlsBinp-simp2 by blast
hence ebinp = OKI ?binp by simp
}
ultimately show igWlsBinp MOD delta ?binp ∧ ebinp = OKI ?binp by simp
qed

lemma eAbs-invert:
assumes eAbs MOD xs x eX = OK A
shows ∃ s X. eX = OK X ∧ isInBar (xs,s) ∧ A = igAbs MOD xs x X ∧ igWls
MOD s X
proof-
have 1: eAbs MOD xs x eX ≠ ERR using assms by auto
then obtain s X where *: eX = OK X
and **: isInBar (xs,s) and ***: igWls MOD s X
using eAbs-nchotomy[of eX] by fastforce
hence eAbs MOD xs x eX = OK (igAbs MOD xs x X) by simp
thus ?thesis using assms * ** *** by auto
qed

lemma eOp-invert:
assumes eOp MOD delta einp ebinp = OK X
shows
∃ inp binp. einp = OKI inp ∧ ebinp = OKI binp ∧
X = igOp MOD delta inp binp ∧
igWlsInp MOD delta inp ∧ igWlsBinp MOD delta binp
proof-
have eOp MOD delta einp ebinp ≠ ERR using assms by auto
then obtain inp binp where *: einp = OKI inp ebinp = OKI binp
igWlsInp MOD delta inp igWlsBinp MOD delta binp
using eOp-nchotomy by blast
hence eOp MOD delta einp ebinp = OK (igOp MOD delta inp binp) by simp
thus ?thesis using assms * by auto
qed

```

```

lemma eFresh-invert:
assumes ¬ eFresh MOD ys y eX
shows ∃ s X. eX = OK X ∧ ¬ igFresh MOD ys y X ∧ igWls MOD s X
proof-
  obtain s X where *: eX = OK X and **: igWls MOD s X
  using assms eFresh-nchotomy[of eX] by fastforce
  hence eFresh MOD ys y eX = igFresh MOD ys y X by simp
  thus ?thesis using assms * ** by auto
qed

lemma eFreshAbs-invert:
assumes ¬ eFreshAbs MOD ys y eA
shows ∃ us s A. eA = OK A ∧ ¬ igFreshAbs MOD ys y A ∧ igWlsAbs MOD (us,s) A
proof-
  obtain us s A where *: eA = OK A and **: igWlsAbs MOD (us,s) A
  using assms eFreshAbs-nchotomy[of eA] by fastforce
  hence eFreshAbs MOD ys y eA = igFreshAbs MOD ys y A by simp
  thus ?thesis using assms * ** by auto
qed

lemma eSwap-invert:
assumes eSwap MOD zs z1 z2 eX = OK Y
shows ∃ s X. eX = OK X ∧ Y = igSwap MOD zs z1 z2 X ∧ igWls MOD s X
proof-
  have 1: eSwap MOD zs z1 z2 eX ≠ ERR using assms by auto
  then obtain s X where *: eX = OK X and **: igWls MOD s X
  using eSwap-nchotomy[of eX] by fastforce
  hence eSwap MOD zs z1 z2 eX = OK (igSwap MOD zs z1 z2 X) by simp
  thus ?thesis using assms * ** by auto
qed

lemma eSwapAbs-invert:
assumes eSwapAbs MOD zs z1 z2 eA = OK B
shows ∃ us s A. eA = OK A ∧ B = igSwapAbs MOD zs z1 z2 A ∧ igWlsAbs MOD (us,s) A
proof-
  have 1: eSwapAbs MOD zs z1 z2 eA ≠ ERR using assms by auto
  then obtain us s A where *: eA = OK A and **: igWlsAbs MOD (us,s) A
  using eSwapAbs-nchotomy[of eA] by fastforce
  hence eSwapAbs MOD zs z1 z2 eA = OK (igSwapAbs MOD zs z1 z2 A) by simp
  thus ?thesis using assms * ** by auto
qed

lemma eSubst-invert:
assumes eSubst MOD ys eY y eX = OK Z
shows ∃ s X Y. eY = OK Y ∧ eX = OK X ∧ igWls MOD s X ∧ igWls MOD (asSort

```

```

 $ys) \ Y \wedge$ 
 $Z = igSubst MOD ys \ Y \ y \ X$ 
proof-
have 1:  $eSubst MOD ys \ eY \ y \ eX \neq ERR$  using assms by auto
then obtain  $s \ X \ Y$  where  $*: eX = OK \ X \ eY = OK \ Y$ 
 $igWls MOD s \ X \ igWls MOD (asSort ys) \ Y$ 
using  $eSubst\text{-}nchotomy[of eY - - eX]$  by fastforce
hence  $eSubst MOD ys \ eY \ y \ eX = OK (igSubst MOD ys \ Y \ y \ X)$  by simp
thus ?thesis using assms * by auto
qed

```

```

lemma  $eSubstAbs\text{-}invert$ :
assumes  $eSubstAbs MOD ys \ eY \ y \ eA = OK \ Z$ 
shows
 $\exists \ us \ s \ A \ Y. \ eY = OK \ Y \wedge eA = OK \ A \wedge igWlsAbs MOD (us,s) \ A \wedge igWls MOD$ 
 $(asSort ys) \ Y \wedge$ 
 $Z = igSubstAbs MOD ys \ Y \ y \ A$ 
proof-
have 1:  $eSubstAbs MOD ys \ eY \ y \ eA \neq ERR$  using assms by auto
then obtain  $us \ s \ A \ Y$  where  $*: eA = OK \ A \ eY = OK \ Y$ 
 $igWlsAbs MOD (us,s) \ A \ igWls MOD (asSort ys) \ Y$ 
using  $eSubstAbs\text{-}nchotomy[of eY - - eA]$  by fastforce
hence  $eSubstAbs MOD ys \ eY \ y \ eA = OK (igSubstAbs MOD ys \ Y \ y \ A)$  by simp
thus ?thesis using assms * by auto
qed

```

8.4.6 The error model is strongly well-sorted as a fresh-swap-subst and as a fresh-subst-swap model

That is, provided the original model is a well-sorted fresh-swap model.

The domains are disjoint:

```

lemma  $errMOD\text{-}igWlsDisj$ :
assumes  $igWlsDisj MOD$ 
shows  $igWlsDisj (errMOD MOD)$ 
using  $assms$  unfolding  $errMOD\text{-}def$   $igWlsDisj\text{-}def$ 
apply  $clarify$  subgoal for  $- - X$  by(cases  $X$ ) auto.

```

```

lemma  $errMOD\text{-}igWlsAbsDisj$ :
assumes  $igWlsAbsDisj MOD$ 
shows  $igWlsAbsDisj (errMOD MOD)$ 
using  $assms$  unfolding  $errMOD\text{-}def$   $igWlsAbsDisj\text{-}def$ 
apply  $clarify$  subgoal for  $- - - A$  by(cases  $A$ ) fastforce+.

```

```

lemma  $errMOD\text{-}igWlsAllDisj$ :
assumes  $igWlsAllDisj MOD$ 
shows  $igWlsAllDisj (errMOD MOD)$ 
using  $assms$  unfolding  $igWlsAllDisj\text{-}def$ 
using  $errMOD\text{-}igWlsDisj$   $errMOD\text{-}igWlsAbsDisj$  by  $auto$ 

```

Only “bound arity” abstraction domains are inhabited:

```
lemma errMOD-igWlsAbsIsInBar:
assumes igWlsAbsIsInBar MOD
shows igWlsAbsIsInBar (errMOD MOD)
using assms eWlsAbs-invert unfolding igWlsAbsIsInBar-def by blast
```

The operators preserve the domains strongly:

```
lemma errMOD-igVarIPresIGWlsSTR:
assumes igVarIPresIGWls MOD
shows igVarIPresIGWls (errMOD MOD)
using assms unfolding errMOD-def igVarIPresIGWls-def by simp

lemma errMOD-igAbsIPresIGWlsSTR:
assumes *: igAbsIPresIGWls MOD and **: igWlsAbsDisj MOD
and ***: igWlsAbsIsInBar MOD
shows igAbsIPresIGWlsSTR (errMOD MOD)
using assms by (fastforce simp: errMOD-def igAbsIPresIGWls-def igAbsIPresIG-
WlsSTR-def
igWlsAbsIsInBar-def igWlsAbsDisj-def split: withERR.splits)

lemma errMOD-igOpIPresIGWlsSTR:
fixes MOD :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model
assumes igOpIPresIGWls MOD
shows igOpIPresIGWlsSTR (errMOD MOD)
by (simp add: igOpIPresIGWlsSTR-def igOpIPresIGWls-def)
(smt (verit) assms eOp-nchotomy eOp-simp1 eWlsBinp-invert
eWlsBinp-simp1 eWlsInp-invert eWlsInp-simp1 eWls-simp1 eWls-simp2 igOpIPresIG-
Wls-def)

lemma errMOD-igConsIPresIGWlsSTR:
assumes igConsIPresIGWls MOD and igWlsAllDisj MOD
and igWlsAbsIsInBar MOD
shows igConsIPresIGWlsSTR (errMOD MOD)
using assms unfolding igConsIPresIGWls-def igConsIPresIGWlsSTR-def igWl-
sAllDisj-def
using
errMOD-igVarIPresIGWlsSTR[of MOD]
errMOD-igAbsIPresIGWlsSTR[of MOD]
errMOD-igOpIPresIGWlsSTR[of MOD]
by auto

lemma errMOD-igSwapIPresIGWlsSTR:
assumes igSwapIPresIGWls MOD and igWlsDisj MOD
shows igSwapIPresIGWlsSTR (errMOD MOD)
using <igSwapIPresIGWls MOD>
using assms by (fastforce simp: errMOD-def igSwapIPresIGWls-def igSwapIPresIG-
WlsSTR-def
igWlsDisj-def split: withERR.splits)
```

```

lemma errMOD-igSwapAbsIPresIGWlsAbsSTR:
assumes *: igSwapAbsIPresIGWlsAbs MOD and **: igWlsAbsDisj MOD
and ***: igWlsAbsIsInBar MOD
shows igSwapAbsIPresIGWlsAbsSTR (errMOD MOD)
using assms by (simp add: errMOD-def igSwapAbsIPresIGWlsAbs-def igSwapAbsIPresIGWlsAbsSTR-def
igWlsAbsIsInBar-def igWlsAbsDisj-def split: withERR.splits) blast

lemma errMOD-igSwapAllIPresIGWlsAllSTR:
assumes igSwapAllIPresIGWlsAll MOD and igWlsAllDisj MOD
and igWlsAbsIsInBar MOD
shows igSwapAllIPresIGWlsAllSTR (errMOD MOD)
using assms
unfolding igSwapAllIPresIGWlsAll-def igSwapAllIPresIGWlsAllSTR-def igWlsAllDisj-def
using errMOD-igSwapIPresIGWlsSTR[of MOD] errMOD-igSwapIPresIGWlsSTR[of MOD]
errMOD-igSwapAbsIPresIGWlsAbsSTR[of MOD]
by auto

lemma errMOD-igSubstIPresIGWlsSTR:
assumes igSubstIPresIGWls MOD and igWlsDisj MOD
shows igSubstIPresIGWlsSTR (errMOD MOD)
using <igSubstIPresIGWls MOD>
using assms by (fastforce simp: errMOD-def igSubstIPresIGWls-def igSubstIPresIGWlsSTR-def
igWlsDisj-def split: withERR.splits)

lemma errMOD-igSubstAbsIPresIGWlsAbsSTR:
assumes *: igSubstAbsIPresIGWlsAbs MOD and **: igWlsAbsDisj MOD
and ***: igWlsAbsIsInBar MOD
shows igSubstAbsIPresIGWlsAbsSTR (errMOD MOD)
using assms by (simp add: errMOD-def igSubstAbsIPresIGWlsAbs-def igSubstAbsIPresIGWlsAbsSTR-def
igWlsAbsIsInBar-def igWlsAbsDisj-def split: withERR.splits) blast

lemma errMOD-igSubstAllIPresIGWlsAllSTR:
assumes igSubstAllIPresIGWlsAll MOD and igWlsAllDisj MOD
and igWlsAbsIsInBar MOD
shows igSubstAllIPresIGWlsAllSTR (errMOD MOD)
using assms
unfolding igSubstAllIPresIGWlsAll-def igSubstAllIPresIGWlsAllSTR-def igWlsAllDisj-def
using errMOD-igSubstIPresIGWlsSTR[of MOD] errMOD-igSubstIPresIGWlsSTR[of MOD]
errMOD-igSubstAbsIPresIGWlsAbsSTR[of MOD]
by auto

```

The strong “fresh” clauses are satisfied:

```

lemma errMOD-igFreshIGVarSTR:
assumes igVarIPresIGWls MOD and igFreshIGVar MOD
shows igFreshIGVar (errMOD MOD)
using assms eFresh-simp1
by(fastforce simp: igVarIPresIGWls-def igFreshIGVar-def)

lemma errMOD-igFreshIGAbs1STR:
assumes *: igAbsIPresIGWls MOD and **: igFreshIGAbs1 MOD
shows igFreshIGAbs1STR (errMOD MOD)
unfolding igFreshIGAbs1STR-def proof(clarify)
fix ys y eX
show eFreshAbs MOD ys y (eAbs MOD ys y eX)
proof(cases eX ≠ ERR)
define X where X ≡ check eX
case True
hence eX: eX = OK X unfolding X-def using OK-check by auto
show ?thesis using assms eFreshAbs-simp1 unfolding eX
by (cases ∃ s. isInBar (ys,s) ∧ igWls MOD s X)
(fastforce simp: igAbsIPresIGWls-def igFreshIGAbs1-def) +
qed auto
qed

lemma errMOD-igFreshIGAbs2STR:
assumes igAbsIPresIGWls MOD and igFreshIGAbs2 MOD
shows igFreshIGAbs2STR (errMOD MOD)
unfolding igFreshIGAbs2STR-def proof(clarify)
fix ys y xs x eX
assume *: eFresh MOD ys y eX
define X where X ≡ check eX
show eFreshAbs MOD ys y (eAbs MOD xs x eX)
proof(cases eX ≠ ERR)
case True
hence eX: eX = OK X unfolding X-def using OK-check by auto
show ?thesis unfolding eX
using assms * eFreshAbs-invert eX
by (cases ∃ s. isInBar (xs,s) ∧ igWls MOD s X)
(fastforce simp: igAbsIPresIGWls-def igFreshIGAbs2-def) +
qed auto
qed

lemma errMOD-igFreshIGOpSTR:
fixes MOD :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model
assumes igOpIPresIGWls MOD and igFreshIGOp MOD
shows igFreshIGOpSTR (errMOD MOD)
unfolding igFreshIGOpSTR-def apply clarify
subgoal for ys y delta einp ebinp
apply(cases liftAll (λeX. eX ≠ ERR) einp ∧

```

```

liftAll ( $\lambda eA. eA \neq \text{ERR}$ ) ebinp)
using assms by (simp-all add: igOpIPresIGWls-def igFreshIGOp-def)
(metis eFreshBinp-simp eFreshInp-simp eFresh-invert eOp-invert)+ .

```

```

lemma errMOD-igFreshClsSTR:
assumes igConsIPresIGWls MOD and igFreshCls MOD
shows igFreshClsSTR (errMOD MOD)
using assms unfolding igConsIPresIGWls-def igFreshCls-def igFreshClsSTR-def
using
errMOD-igFreshIGVarSTR
errMOD-igFreshIGAbs1STR errMOD-igFreshIGAbs2STR
errMOD-igFreshIGOpSTR
by auto

```

The strong “swap” clauses are satisfied:

```

lemma errMOD-igSwapIGVarSTR:
fixes MOD :: ('index,'bindx,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model
assumes igVarIPresIGWls MOD and igSwapIGVar MOD
shows igSwapIGVar (errMOD MOD)
using assms by (simp add: igVarIPresIGWls-def igSwapIGVar-def) (metis eSwap-simp1)

lemma errMOD-igSwapIGAbsSTR:
assumes *: igAbsIPresIGWls MOD and **: igWlsDisj MOD
and ***: igSwapIPresIGWls MOD and ****: igSwapIGAbs MOD
shows igSwapIGAbsSTR (errMOD MOD)
unfolding igSwapIGAbsSTR-def apply(clarify)
subgoal for zs z1 z2 xs x eX
apply (cases eX)
subgoal by auto
subgoal for X
apply(cases  $\exists s. \text{isInBar} (xs, s) \wedge \text{igWls MOD } s X$ )
subgoal using assms
using assms OK-check
by (simp-all add: igAbsIPresIGWls-def igSwapIPresIGWls-def igSwapIGAbs-def
igWlsDisj-def)
(smt (verit) eAbs-simp1 eSwapAbs-simp1 eSwap-simp1 withERR.inject)
subgoal using assms
by(simp-all add: igAbsIPresIGWls-def igSwapIPresIGWls-def igSwapIGAbs-def
igWlsDisj-def)
(metis check-OK eAbs-nchotomy eSwap-invert) . .

lemma errMOD-igSwapIGOpSTR:
assumes igWlsAbsIsInBar MOD and igOpIPresIGWls MOD
and igSwapIPresIGWls MOD and igSwapAbsIPresIGWlsAbs MOD
and igWlsDisj MOD and igWlsAbsDisj MOD
and igSwapIGOp MOD
shows igSwapIGOpSTR (errMOD MOD)
unfolding igSwapIGOpSTR-def proof(clarify)
have 0: igSwapInpIPresIGWlsInp MOD  $\wedge$  igSwapBinpIPresIGWlsBinp MOD

```

```

using <igSwapIPresIGWls MOD> <igSwapAbsIPresIGWlsAbs MOD>
imp-igSwapInpIPresIGWlsInp imp-igSwapBinpIPresIGWlsBinp by auto
have igSwapIPresIGWlsSTR (errMOD MOD) ∧
    igSwapAbsIPresIGWlsAbsSTR (errMOD MOD)
using assms errMOD-igSwapIPresIGWlsSTR
errMOD-igSwapAbsIPresIGWlsAbsSTR by auto
hence 1: igSwapInpIPresIGWlsInpSTR (errMOD MOD) ∧
    igSwapBinpIPresIGWlsBinpSTR (errMOD MOD)
using imp-igSwapInpIPresIGWlsInpSTR
    imp-igSwapBinpIPresIGWlsBinpSTR by auto
fix zs:'varSort and z1 z2 ::'var and delta einp ebinp
let ?Left = eSwap MOD zs z1 z2 (eOp MOD delta einp ebinp)
let ?einpsw = eSwapInp MOD zs z1 z2 einp
let ?ebinpsw = eSwapBinp MOD zs z1 z2 ebinp
let ?Right = eOp MOD delta ?einpsw ?ebinpsw
show ?Left = ?Right
proof(cases liftAll (λeX. eX ≠ ERR) einp ∧
      liftAll (λeA. eA ≠ ERR) ebinp)
case True note t = True
moreover obtain inp and binp where
  inp = checkI einp and binp = checkI ebinp by blast
ultimately have einp: einp = OKI inp ebinp = OKI binp by auto
show ?thesis
proof(cases igWlsInp MOD delta inp ∧ igWlsBinp MOD delta binp)
case False
hence ?Left = ERR unfolding einp by auto
have ¬ (eWlsInp MOD delta einp ∧ eWlsBinp MOD delta ebinp)
unfolding einp using False by auto
hence 2: ¬ (eWlsInp MOD delta ?einpsw ∧ eWlsBinp MOD delta ?ebinpsw)
using 1 unfolding igSwapInpIPresIGWlsInpSTR-def
    igSwapBinpIPresIGWlsBinpSTR-def by auto
{fix X assume ?Right = OK X
then obtain inpsw and binpsw
where ?einpsw = OKI inpsw and ?ebinpsw = OKI binpsw
and igWlsInp MOD delta inpsw and igWlsBinp MOD delta binpsw
and X = igOp MOD delta inpsw binpsw
using eOp-invert[of MOD delta ?einpsw ?ebinpsw X] by auto
hence False using 2 by auto
}
with ‹?Left = ERR› show ?thesis by (cases ?Right) auto
next
case True
moreover have igWls MOD (stOf delta) (igOp MOD delta inp binp)
using True <igOpIPresIGWls MOD> unfolding igOpIPresIGWls-def by simp
moreover have igWlsInp MOD delta (igSwapInp MOD zs z1 z2 inp) ∧
    igWlsBinp MOD delta (igSwapBinp MOD zs z1 z2 binp)
using 0 unfolding igSwapInpIPresIGWlsInp-def igSwapBinpIPresIGWls-
Binp-def
using True by simp

```

```

ultimately show ?thesis using ‹igSwapIGOp MOD› unfolding einp igSwapIGOp-def
by auto
qed
qed auto
qed

lemma errMOD-igSwapClsSTR:
assumes igWlsAllDisj MOD and igWlsDisj MOD
and igWlsAbsIsInBar MOD and igConsIPresIGWls MOD
and igSwapAllIPresIGWlsAll MOD and igSwapCls MOD
shows igSwapClsSTR (errMOD MOD)
using assms
unfolding igWlsAllDisj-def igConsIPresIGWls-def igSwapCls-def
igSwapAllIPresIGWlsAll-def igSwapClsSTR-def
using
errMOD-igSwapIGVarSTR[of MOD]
errMOD-igSwapIGAbsSTR[of MOD]
errMOD-igSwapIGOpSTR[of MOD]
by simp

```

The strong “subst” clauses are satisfied:

```

lemma errMOD-igSubstIGVar1STR:
assumes igVarIPresIGWls MOD and igSubstIGVar1 MOD
shows igSubstIGVar1STR (errMOD MOD)
using assms
by (simp add: igSubstIGVar1STR-def igVarIPresIGWls-def igSubstIGVar1-def)
(metis eSubst-simp1 eWls-invert)

lemma errMOD-igSubstIGVar2STR:
assumes igVarIPresIGWls MOD and igSubstIGVar2 MOD
shows igSubstIGVar2STR (errMOD MOD)
using assms
by (simp add: igSubstIGVar2STR-def igVarIPresIGWls-def igSubstIGVar2-def)
(metis eSubst-simp1 eWls-invert)

lemma errMOD-igSubstIGAbsSTR:
fixes MOD :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model
assumes *: igAbsIPresIGWls MOD and **: igWlsDisj MOD
and ***: igSubstIPresIGWls MOD and ****: igSubstIGAbs MOD
shows igSubstIGAbsSTR (errMOD MOD)
unfolding igSubstIGAbsSTR-def proof(clarify)
fix ys xs ::'varSort and y x ::'var and eX eY
assume diff: xs ≠ ys ∨ x ≠ y
and x-fresh-Y: eFresh MOD xs x eY
show eSubstAbs MOD ys eY y (eAbs MOD xs x eX) =
eAbs MOD xs x (eSubst MOD ys eY y eX)
proof(cases eX ≠ ERR ∧ eY ≠ ERR)
case True
define X and Y where X ≡ check eX and Y ≡ check eY

```

```

hence  $eX : eX = OK X$  and  $eY : eY = OK Y$  unfolding  $X\text{-def}$   $Y\text{-def}$ 
using True OK-check by auto
show ?thesis
proof(cases (exists s. isInBar (xs,s) ∧ igWls MOD s X) ∧ igWls MOD (asSort ys)
Y)
  case True
  then obtain s where xs-s:  $isInBar (xs, s)$  and X:  $igWls MOD s X$ 
  and Y:  $igWls MOD (asSort ys) Y$  by auto
  hence  $igWlsAbs MOD (xs,s) (igAbs MOD xs x X)$ 
  using * unfolding  $igAbsIPresIGWls\text{-def}$  by simp
  moreover have  $igWls MOD s (igSubst MOD ys Y y X)$ 
  using X Y *** unfolding  $igSubstIPresIGWls\text{-def}$  by simp
  moreover have  $igFresh MOD xs x Y$ 
  using x-fresh-Y Y unfolding eY by simp
  ultimately show ?thesis unfolding eX eY
  using xs-s X Y apply simp
  using x-fresh-Y diff **** unfolding  $igSubstIGAbs\text{-def}$  by fastforce
next
  case False
  show ?thesis
  proof(cases (EX s. igWls MOD s X) ∧ igWls MOD (asSort ys) Y)
    case True
    then obtain s where X:  $igWls MOD s X$  and Y:  $igWls MOD (asSort ys)$ 
    Y by auto
    hence 2:  $\sim isInBar (xs,s)$  using False by (auto simp: eX eY)
    let ?Xsb =  $igSubst MOD ys Y y X$ 
    have Xsb:  $igWls MOD s ?Xsb$ 
    using Y X *** unfolding  $igSubstIPresIGWls\text{-def}$  by auto
    {fix s' assume 3:  $isInBar (xs,s')$  and igWls MOD s' ?Xsb
    hence s = s' using Xsb ** unfolding  $igWlsDisj\text{-def}$  by auto
    hence False using 2 3 by (simp add: eX eY)
    }
    thus ?thesis using False Y eAbs-simp2 X eX eY by fastforce
    qed(auto simp add: eX eY)
  qed
  qed auto
qed

lemma errMOD-igSubstIGOpSTR:
assumes igWlsAbsIsInBar MOD
and igVarIPresIGWls MOD and igOpIPresIGWls MOD
and igSubstIPresIGWls MOD and igSubstAbsIPresIGWlsAbs MOD
and igWlsDisj MOD and igWlsAbsDisj MOD
and igSubstIGOp MOD
shows igSubstIGOpSTR (errMOD MOD)
proof-
  have 0:  $igSubstInpIPresIGWlsInp MOD \wedge igSubstBinpIPresIGWlsBinp MOD$ 
  using ⟨igSubstIPresIGWls MOD, igSubstAbsIPresIGWlsAbs MOD,
  imp-igSubstInpIPresIGWlsInp imp-igSubstBinpIPresIGWlsBinp⟩ by auto

```

```

have igSubstIPresIGWlsSTR (errMOD MOD) ∧ igSubstAbsIPresIGWlsAbsSTR
(errMOD MOD)
  using assms errMOD-igSubstIPresIGWlsSTR errMOD-igSubstAbsIPresIGWlsAbsSTR
by auto
  hence 1: igSubstInpIPresIGWlsInpSTR (errMOD MOD) ∧
    igSubstBinpIPresIGWlsBinpSTR (errMOD MOD)
  using imp-igSubstInpIPresIGWlsInpSTR imp-igSubstBinpIPresIGWlsBinpSTR
by auto
  show ?thesis
  unfolding igSubstIGOOpSTR-def proof safe
    fix ys::'varSort and y y1 ::'var and delta einp ebinp
    let ?Left = eSubst MOD ys (eVar MOD ys y1) y (eOp MOD delta einp ebinp)
    let ?einpsb = eSubstInp MOD ys (eVar MOD ys y1) y einp
    let ?ebinpsb = eSubstBinp MOD ys (eVar MOD ys y1) y ebinp
    let ?Right = eOp MOD delta ?einpsb ?ebinpsb
    show ?Left = ?Right
  proof(cases liftAll (λeX. eX ≠ ERR) einp ∧ liftAll (λeA. eA ≠ ERR) ebinp)
    case True
      moreover obtain inp binp where
        inp = checkI einp and binp = checkI ebinp by blast
      ultimately have einp: einp = OKI inp ebinp = OKI binp by auto
      have igWls-y1: igWls MOD (asSort ys) (igVar MOD ys y1)
      using ⟨igVarIPresIGWls MOD⟩ unfolding igVarIPresIGWls-def by simp
      show ?thesis
    proof(cases igWlsInp MOD delta inp ∧ igWlsBinp MOD delta binp)
      case False
        hence ?Left = ERR unfolding einp by auto
        have ¬(eWlsInp MOD delta einp ∧ eWlsBinp MOD delta ebinp)
        unfolding einp using False by simp
        hence 2: ¬(eWlsInp MOD delta ?einpsb ∧ eWlsBinp MOD delta ?ebinpsb)
        using igWls-y1 1
        unfolding igSubstInpIPresIGWlsInpSTR-def igSubstBinpIPresIGWlsBinpSTR-def
      by simp
      {fix X assume ?Right = OK X
        then obtain inpsb binpsb where
          ?einpsb = OKI inpsb and ?ebinpsb = OKI binpsb
          and igWlsInp MOD delta inpsb and igWlsBinp MOD delta binpsb
          and X = igOp MOD delta inpsb binpsb
          using eOp-invert[of MOD delta ?einpsb ?ebinpsb X] by auto
          hence False using 2 by auto
      }
      hence ?Right = ERR by (cases ?Right, auto)
      with ⟨?Left = ERR⟩ show ?thesis by simp
    next
      case True
      moreover have igWls MOD (stOf delta) (igOp MOD delta inp binp)
      using True ⟨igOpIPresIGWls MOD⟩ unfolding igOpIPresIGWls-def by
      simp
      moreover

```

```

have  $igWlsInp \text{ MOD } \delta (igSubstInp \text{ MOD } ys (igVar \text{ MOD } ys y1) y \text{ inp})$ 
 $\wedge$ 
 $igWlsBinp \text{ MOD } \delta (igSubstBinp \text{ MOD } ys (igVar \text{ MOD } ys y1) y \text{ binp})$ 
using 0 unfolding  $igSubstInpIPresIGWlsInp\text{-def}$   $igSubstBinpIPresIGWls\text{-Binp\text{-}def}$ 
using  $True$   $igWls\text{-}y1$  by simp
ultimately show ?thesis
using ⟨ $igSubstIGOp \text{ MOD }$ ⟩  $igWls\text{-}y1$  unfolding  $einp$   $igSubstIGOp\text{-def}$  by auto
qed
qed auto
next
fix  $ys::'varSort$  and  $y ::'var$  and  $eY \delta \delta inp ebinp$ 
assume  $eY: eWls \text{ MOD } (asSort ys) eY$ 
let  $?Left = eSubst \text{ MOD } ys eY y (eOp \text{ MOD } \delta \delta inp ebinp)$ 
let  $?einpsb = eSubstInp \text{ MOD } ys eY y inp$ 
let  $?ebinpsb = eSubstBinp \text{ MOD } ys eY y ebinp$ 
let  $?Right = eOp \text{ MOD } \delta \delta ?einpsb ?ebinpsb$ 
from  $eY$  obtain  $Y$  where  $eY\text{-def}: eY = OK Y$ 
and  $Y: igWls \text{ MOD } (asSort ys) Y$  using  $eWls\text{-invert}[of MOD asSort ys eY]$ 
by auto
show  $?Left = ?Right$ 
proof(cases liftAll ( $\lambda eX. eX \neq ERR$ )  $inp \wedge liftAll (\lambda eA. eA \neq ERR) ebinp$ )
case  $True$ 
moreover obtain  $inp binp$  where
 $inp = checkI inp$  and  $binp = checkI ebinp$  by blast
ultimately have  $inp: inp = OKI inp$   $ebinp = OKI binp$  by auto
show ?thesis
proof(cases  $igWlsInp \text{ MOD } \delta \delta inp \wedge igWlsBinp \text{ MOD } \delta \delta binp$ )
case  $False$ 
hence  $?Left = ERR$  unfolding  $inp$  by auto
have  $\neg (eWlsInp \text{ MOD } \delta \delta inp \wedge eWlsBinp \text{ MOD } \delta \delta ebinp)$ 
unfolding  $inp$  using  $False$  by simp
hence 2:  $\neg (eWlsInp \text{ MOD } \delta \delta ?einpsb \wedge eWlsBinp \text{ MOD } \delta \delta ?ebinpsb)$ 
unfolding  $eY\text{-def}$  using  $Y$ 
unfolding  $igSubstInpIPresIGWlsInpSTR\text{-def}$   $igSubstBinpIPresIGWlsBinpSTR\text{-def}$ 
by simp
{fix  $X$  assume  $?Right = OK X$ 
then obtain  $inpsb binpsb$ 
where  $?einpsb = OKI inpsb$  and  $?ebinpsb = OKI binpsb$ 
and  $igWlsInp \text{ MOD } \delta \delta inpsb$  and  $igWlsBinp \text{ MOD } \delta \delta binpsb$ 
and  $X = igOp \text{ MOD } \delta \delta inpsb binpsb$ 
using  $eOp\text{-invert}[of MOD \delta \delta ?einpsb ?ebinpsb X]$  by auto
hence  $False$  using 2 by auto
}
hence  $?Right = ERR$  by (cases ?Right, auto)
with ⟨?Left = ERR⟩ show ?thesis by simp
next

```

```

case True
moreover have igWls MOD (stOf delta) (igOp MOD delta inp binp)
  using True <igOpIPresIGWls MOD> unfolding igOpIPresIGWls-def by
simp
moreover
have igWlsInp MOD delta (igSubstInp MOD ys Y y inp) ∧
  igWlsBinp MOD delta (igSubstBinp MOD ys Y y binp)
  using 0 unfolding igSubstInpIPresIGWlsInp-def igSubstBinpIPresIGWls-
Binp-def
  using True Y by simp
  ultimately show ?thesis unfolding einp eY-def
  using <igSubstIGOp MOD> Y unfolding igSubstIGOp-def by auto
qed
qed auto
qed
qed

lemma errMOD-igSubstClsSTR:
assumes igWlsAllDisj MOD and igConsIPresIGWls MOD
and igWlsAbsIsInBar MOD
and igSubstAllIPresIGWlsAll MOD and igSubstCls MOD
shows igSubstClsSTR (errMOD MOD)
using assms
unfolding igWlsAllDisj-def igConsIPresIGWls-def igSubstCls-def
igSubstAllIPresIGWlsAll-def igSubstClsSTR-def
using
errMOD-igSubstIGVar1STR[of MOD] errMOD-igSubstIGVar2STR[of MOD]
errMOD-igSubstIGAbsSTR[of MOD]
errMOD-igSubstIGOpSTR[of MOD]
by simp

```

Strong swap-based congruence for abstractions holds:

```

lemma errMOD-igAbsCongSSTR:
assumes igSwapIPresIGWls MOD and igWlsDisj MOD and igAbsCongS MOD
shows igAbsCongSSTR (errMOD MOD)
unfolding igAbsCongSSTR-def proof(clarify)
  fix xs ::'varSort and x x' y ::'var and eX eX'
  assume *: eFresh MOD xs y eX and **: eFresh MOD xs y eX'
  and ***: eSwap MOD xs y x eX = eSwap MOD xs y x' eX'
  let ?phi = λeX. eX = ERR ∨ (exists X. eX = OK X ∧ (forall s. ¬ igWls MOD s X))
  have 1: ?phi eX = ?phi eX'
proof
  assume ?phi eX
  {fix X' s' assume eX' = OK X' ∧ (exists s. igWls MOD s X')
   hence ERR = OK (igSwap MOD xs y x' X') using <?phi eX> *** by auto
  }
  thus ?phi eX' by(cases eX', auto)
next
  assume ?phi eX'

```

```

{fix X assume eX = OK X ∧ (∃ s. igWls MOD s X)
 hence ERR = OK (igSwap MOD xs y x X) using ‹?phi eX› *** by auto
}
thus ?phi eX by(cases eX, auto)
qed
show eAbs MOD xs x eX = eAbs MOD xs x' eX'
proof(cases ?phi eX)
  case True
  thus ?thesis using 1 by auto
next
  case False
  then obtain s X where eX = OK X and X-wls: igWls MOD s X by(cases eX, auto)
  obtain s' X' where eX': eX' = OK X' and X'-wls: igWls MOD s' X'
  using ‹¬ ?phi eX› 1 by(cases eX') auto
  hence igSwap MOD xs y x X = igSwap MOD xs y x' X'
  using eX X-wls *** by auto
  moreover have igWls MOD s (igSwap MOD xs y x X)
  using X-wls ‹igSwapIPresIGWls MOD› unfolding igSwapIPresIGWls-def by
simp
  moreover have igWls MOD s' (igSwap MOD xs y x' X')
  using X'-wls ‹igSwapIPresIGWls MOD› unfolding igSwapIPresIGWls-def by
simp
  ultimately have s' = s using ‹igWlsDisj MOD› unfolding igWlsDisj-def by
auto
  show ?thesis
  proof (cases isInBar (xs,s))
    case True
    have igFresh MOD xs y X using * X-wls unfolding eX by simp
    moreover have igFresh MOD xs y X' using ** X'-wls unfolding eX' by
simp
    moreover have igSwap MOD xs y x X = igSwap MOD xs y x' X'
    using *** X-wls X'-wls unfolding eX eX' by simp
    ultimately show ?thesis
    unfolding eX eX'
    using X-wls X'-wls unfolding ‹s' = s›
    using ‹igAbsCongS MOD› True unfolding igAbsCongS-def
    by (metis FixSyn.eCons-simps(2) FixSyn-axioms)
  next
    case False
    {fix s'' assume xs-s'': isInBar (xs,s'') and igWls MOD s'' X
     hence s = s'' using X-wls ‹igWlsDisj MOD› unfolding igWlsDisj-def by
auto
     hence False using False xs-s'' by simp
    }
    moreover
    {fix s'' assume xs-s'': isInBar (xs,s'') and igWls MOD s'' X'
     hence s = s'' using X'-wls ‹igWlsDisj MOD› unfolding igWlsDisj-def ‹s'
= s› by auto
    }

```

```

    hence False using False xs-s'' by simp
  }
ultimately show ?thesis
  using eX eX' X-wls X'-wls unfolding `s' = s by fastforce
qed
qed
qed

```

The renaming clause for abstractions holds:

```

lemma errMOD-igAbsRenSTR:
assumes igVarIPresIGWls MOD and igSubstIPresIGWls MOD
and igWlsDisj MOD and igAbsRen MOD
shows igAbsRenSTR (errMOD MOD)
using assms unfolding igAbsRenSTR-def apply clarify
subgoal for xs y x eX
apply(cases eX)
subgoal by auto
subgoal for X
apply(cases EX s. isInBar (xs,s) ∧ igWls MOD s X)
subgoal by (auto simp: igVarIPresIGWls-def igSubstIPresIGWls-def igAbsRen-def)

subgoal using assms by (simp add: igVarIPresIGWls-def igSubstIPresIG-
Wls-def igAbsRen-def igWlsDisj-def)
(metis eAbs-simp2 eAbs-simp3 eSubst-simp1 eSubst-simp3) . .

```

Strong subst-based congruence for abstractions holds:

```

corollary errMOD-igAbsCongUSTR:
assumes igVarIPresIGWls MOD and igSubstIPresIGWls MOD
and igWlsDisj MOD and igAbsRen MOD
shows igAbsCongUSTR (errMOD MOD)
using assms errMOD-igAbsRenSTR igAbsRenSTR-imp-igAbsCongUSTR by auto

```

The error model is a strongly well-sorted fresh-swap model:

```

lemma errMOD-iwlsFSwSTR:
fixes MOD :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs) model
assumes iwlsFSw MOD
shows iwlsFSwSTR (errMOD MOD)
using assms unfolding iwlsFSw-def iwlsFSwSTR-def
using errMOD-igWlsAllDisj[of MOD]
errMOD-igWlsAbsIsInBar[of MOD]
errMOD-igConsIPresIGWlsSTR[of MOD]
errMOD-igSwapAllIPresIGWlsAllSTR[of MOD]
errMOD-igFreshClsSTR[of MOD] errMOD-igSwapClsSTR[of MOD]
errMOD-igAbsCongSSTR[of MOD]
apply simp
unfolding igSwapAllIPresIGWlsAll-def igWlsAllDisj-defs by simp

```

The error model is a strongly well-sorted fresh-subst model:

```

lemma errMOD-iwlsFSbSwTR:

```

```

fixes MOD :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs) model
assumes iwlsFSb MOD
shows iwlsFSbSwTR (errMOD MOD)
using assms unfolding iwlsFSb-def iwlsFSbSwTR-def
using errMOD-igWlsAllDisj[of MOD]
errMOD-igWlsAbsIsInBar[of MOD]
errMOD-igConsIPresIGWlsSTR[of MOD]
errMOD-igSubstAllIPresIGWlsAllSTR[of MOD]
errMOD-igFreshClsSTR[of MOD] errMOD-igSubstClsSTR[of MOD]
errMOD-igAbsRenSTR[of MOD]
by (simp add: igConsIPresIGWls-def igSubstAllIPresIGWlsAll-def igWlsAllDisj-defs)

```

8.4.7 The natural morphism from an error model to its original model

This morphism is given by the "check" functions.

Preservation of the domains:

```

lemma check-ipresIGWls:
ipresIGWls check (errMOD MOD) MOD
unfolding ipresIGWls-def apply clarify
subgoal for - X by(cases X) auto .

lemma check-ipresIGWlsAbs:
ipresIGWlsAbs check (errMOD MOD) MOD
unfolding ipresIGWlsAbs-def apply clarify
subgoal for -- A by(cases A) auto .

lemma check-ipresIGWlsAll:
ipresIGWlsAll check check (errMOD MOD) MOD
unfolding ipresIGWlsAll-def
using check-ipresIGWls check-ipresIGWlsAbs by auto

```

Preservation of the operations:

```

lemma check-ipresIGVar:
ipresIGVar check (errMOD MOD) MOD
unfolding ipresIGVar-def by simp

lemma check-ipresIGAbs:
ipresIGAbs check check (errMOD MOD) MOD
unfolding ipresIGAbs-def apply clarify
subgoal for --- X by(cases X) auto .

lemma check-ipresIGOOp:
ipresIGOOp check check (errMOD MOD) MOD
unfolding ipresIGOOp-def proof clarify
fix delta einp ebinp
assume eWlsInp MOD delta einp and eWlsBinp MOD delta ebinp
then obtain inp binp where

```

```

igWlsInp MOD delta inp and igWlsBinp MOD delta binp
and einp = OKI inp and ebinp = OKI binp
using eWlsInp-invert eWlsBinp-invert by blast
hence check (eOp MOD delta einp ebinp) =
    igOp MOD delta (checkI einp) (checkI ebinp) by simp
thus check (eOp MOD delta einp ebinp) =
    igOp MOD delta (lift check einp) (lift check ebinp)
unfolding checkI-def .
qed

lemma check-ipresIGCons:
ipresIGCons check check (errMOD MOD) MOD
unfolding ipresIGCons-def
using
check-ipresIGVar
check-ipresIGAbs
check-ipresIGOp
by auto

lemma check-ipresIGFresh:
ipresIGFresh check (errMOD MOD) MOD
unfolding ipresIGFresh-def apply clarify
subgoal for - - - X by(cases X) auto .

lemma check-ipresIGFreshAbs:
ipresIGFreshAbs check (errMOD MOD) MOD
unfolding ipresIGFreshAbs-def apply clarify
subgoal for - - - A by(cases A) auto .

lemma check-ipresIGFreshAll:
ipresIGFreshAll check check (errMOD MOD) MOD
unfolding ipresIGFreshAll-def
using check-ipresIGFresh check-ipresIGFreshAbs by auto

lemma check-ipresIGSwap:
ipresIGSwap check (errMOD MOD) MOD
unfolding ipresIGSwap-def apply clarify
subgoal for - - - X by(cases X) auto .

lemma check-ipresIGSwapAbs:
ipresIGSwapAbs check (errMOD MOD) MOD
unfolding ipresIGSwapAbs-def apply clarify
subgoal for - - - A by(cases A) auto .

lemma check-ipresIGSwapAll:
ipresIGSwapAll check check (errMOD MOD) MOD
unfolding ipresIGSwapAll-def
using check-ipresIGSwap check-ipresIGSwapAbs by auto

```

```

lemma check-ipresIGSubst:
  ipresIGSubst check (errMOD MOD) MOD
  unfolding ipresIGSubst-def apply clarify
  subgoal for - Y -- X by (cases X, simp, cases Y) auto .

```

```

lemma check-ipresIGSubstAbs:
  ipresIGSubstAbs check check (errMOD MOD) MOD
  unfolding ipresIGSubstAbs-def apply clarify
  subgoal for - Y --- A by (cases A, simp, cases Y) auto .

```

```

lemma check-ipresIGSubstAll:
  ipresIGSubstAll check check (errMOD MOD) MOD
  unfolding ipresIGSubstAll-def
  using check-ipresIGSubst check-ipresIGSubstAbs by auto

```

“check” is a fresh-swap morphism:

```

lemma check-FSwImorph:
  FSwImorph check check (errMOD MOD) MOD
  unfolding FSwImorph-def
  using check-ipresIGWlsAll check-ipresIGCons
  check-ipresIGFreshAll check-ipresIGSwapAll by auto

```

“check” is a fresh-subst morphism:

```

lemma check-FSbImorph:
  FSbImorph check check (errMOD MOD) MOD
  unfolding FSbImorph-def
  using check-ipresIGWlsAll check-ipresIGCons
  check-ipresIGFreshAll check-ipresIGSubstAll by auto

```

8.5 Initiality of the models of terms

We show that terms form initial models in all the considered kinds. The desired initial morphism will be the composition of “check” with the factorization of the standard (absolute-initial) function from quasi-terms, “qInit”, to alpha-equivalence. “qInit” preserving alpha-equivalence (in an unsorted fashion) was the main reason for introducing error models.

```

declare qItem-simps[simp]
declare qItem-versus-item-simps[simp]
declare good-item-simps[simp]

```

8.5.1 The initial map from quasi-terms to a strong model

definition

```

aux-qInit-ignoreFirst :: 
  ('index,'bindx,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model *
  ('index,'bindx,'varSort,'var,'opSym)qTerm +
  ('index,'bindx,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model *
  ('index,'bindx,'varSort,'var,'opSym)qAbs =>

```

```

('index,'bindex,'varSort,'var,'opSym)qTermItem
where
aux-qInit-ignoreFirst K =
(case K of Inl (MOD,qX) => termIn qX
 |Inr (MOD,qA) => absIn qA)

lemma qTermLess-ingoreFirst-wf:
wf (inv-image qTermLess aux-qInit-ignoreFirst)
using qTermLess-wf wf-inv-image by auto

function
qInit :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model =>
('index,'bindex,'varSort,'var,'opSym)qTerm => 'gTerm
and
qInitAbs :: ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model =>
('index,'bindex,'varSort,'var,'opSym)qAbs => 'gAbs
where
qInit MOD (qVar xs x) = igVar MOD xs x
|
qInit MOD (qOp delta qinp qbinp) =
igOp MOD delta (lift (qInit MOD) qinp) (lift (qInitAbs MOD) qbinp)
|
qInitAbs MOD (qAbs xs x qX) = igAbs MOD xs x (qInit MOD qX)
by(pat-completeness) auto
termination
apply(relation inv-image qTermLess aux-qInit-ignoreFirst)
apply(simp add: qTermLess-ingoreFirst-wf)
by(auto simp: qTermLess-def aux-qInit-ignoreFirst-def)

lemma qFreshAll-igFreshAll-qInitAll:
assumes igFreshClsSTR MOD
shows
(qFresh ys y qX —> igFresh MOD ys y (qInit MOD qX)) ∧
(qFreshAbs ys y qA —> igFreshAbs MOD ys y (qInitAbs MOD qA))
apply(induct rule: qTerm-rawInduct)
using assms
by (auto simp: igFreshClsSTR-def igFreshIGVar-def qFreshInp-def qFreshBinp-def
liftAll-lift-comp
liftAll-def igFreshInp-def igFreshBinp-def lift-def igFreshIGAbs1STR-def igFreshI-
GAbs2STR-def igFreshIGOStr-def
split: option.splits)

corollary iwlxFSwSTR-qFreshAll-igFreshAll-qInitAll:
assumes iwlxFSwSTR MOD
shows
(qFresh ys y qX —> igFresh MOD ys y (qInit MOD qX)) ∧
(qFreshAbs ys y qA —> igFreshAbs MOD ys y (qInitAbs MOD qA))
using assms unfolding iwlxFSwSTR-def by(simp add: qFreshAll-igFreshAll-qInitAll)

```

```

corollary iwlsFSbSwTR-qFreshAll-igFreshAll-qInitAll:
assumes iwlsFSbSwTR MOD
shows

$$(qFresh ys y qX \rightarrow igFresh MOD ys y (qInit MOD qX)) \wedge$$


$$(qFreshAbs ys y qA \rightarrow igFreshAbs MOD ys y (qInitAbs MOD qA))$$

using assms unfolding iwlsFSbSwTR-def by(simp add: qFreshAll-igFreshAll-qInitAll)

lemma qSwapAll-igSwapAll-qInitAll:
assumes igSwapClsSTR MOD
shows

$$qInit MOD (qX \# [z1 \wedge z2] - zs) = igSwap MOD zs z1 z2 (qInit MOD qX) \wedge$$


$$qInitAbs MOD (qA \$ [z1 \wedge z2] - zs) = igSwapAbs MOD zs z1 z2 (qInitAbs MOD qA)$$

proof(induction rule: qTerm-rawInduct)
case (Var xs x)
then show ?case using assms unfolding igSwapClsSTR-def igSwapIGVar-def
by simp
next
case (Op delta qinp qbindp)
hence lift (qInit MOD) (qSwapInp zs z1 z2 qinp) =

$$igSwapInp MOD zs z1 z2 (lift (qInit MOD) qinp) \wedge$$


$$lift (qInitAbs MOD) (qSwapBindp zs z1 z2 qbindp) =$$


$$igSwapBindp MOD zs z1 z2 (lift (qInitAbs MOD) qbindp)$$

using Op.IH by (auto simp: qSwapInp-def qSwapBindp-def igSwapInp-def lift-def
liftAll-def

$$igSwapBindp-def iwlsFSwSTR-def igSwapClsSTR-def igSwapIGOpSTR-def$$

split: option.splits
thus ?case
using assms unfolding iwlsFSwSTR-def igSwapClsSTR-def igSwapIGOpSTR-def
by simp
next
case (Abs xs x X)
then show ?case using assms unfolding igSwapClsSTR-def igSwapIGAbsSTR-def
by simp
qed

corollary iwlsFSwSTR-qSwapAll-igSwapAll-qInitAll:
assumes wls: iwlsFSwSTR MOD
shows

$$qInit MOD (qX \# [z1 \wedge z2] - zs) = igSwap MOD zs z1 z2 (qInit MOD qX) \wedge$$


$$qInitAbs MOD (qA \$ [z1 \wedge z2] - zs) = igSwapAbs MOD zs z1 z2 (qInitAbs MOD qA)$$

using assms unfolding iwlsFSwSTR-def by(simp add: qSwapAll-igSwapAll-qInitAll)

lemma qSwapAll-igSubstAll-qInitAll:
fixes qX::('index,'bindx,'varSort,'var,'opSym)qTerm and
qA::('index,'bindx,'varSort,'var,'opSym)qAbs
assumes *: igSubstClsSTR MOD and igFreshClsSTR MOD
and igAbsRenSTR MOD

```

```

shows

$$(qGood qX \rightarrow (\forall ys y1 y. qAFresh ys y1 qX \rightarrow qInit MOD (qX \# [[y1 \wedge y]] - ys) = igSubst MOD ys (igVar MOD ys y1) y (qInit MOD qX))) \wedge$$


$$(qGoodAbs qA \rightarrow (\forall ys y1 y. qAFreshAbs ys y1 qA \rightarrow qInitAbs MOD (qA \$ [[y1 \wedge y]] - ys) = igSubstAbs MOD ys (igVar MOD ys y1) y (qInitAbs MOD qA)))$$

proof(induction rule: qGood-qTerm-induct)
case (Var xs x)
show ?case apply safe
subgoal for ys y1 y using *
by (cases ys = xs  $\wedge$  y = x)
    (auto simp: igSubstClsSTR-defs igSubstIGVar2STR-def igSubstClsSTR-defs igSubstIGVar1STR-def).
next
let ?h = qInit MOD let ?hA = qInitAbs MOD
case (Op delta qinp qbinp)
then show ?case proof safe
fix ys y1 y
assume ***: qAFresh ys y1 (qOp delta qinp qbinp)
have lift ?h (qSwapInp ys y1 y qinp) =
igSubstInp MOD ys (igVar MOD ys y1) y (lift ?h qinp) \wedge
lift ?hA (qSwapBinp ys y1 y qbinp) =
igSubstBinp MOD ys (igVar MOD ys y1) y (lift ?hA qbinp)
using Op.IH ***
by (auto simp: qSwapInp-def igSubstInp-def qSwapBinp-def igSubstBinp-def
lift-def liftAll-def split: option.splits)
thus qInit MOD (qOp delta qinp qbinp \# [[y1 \wedge y]] - ys) =
igSubst MOD ys (igVar MOD ys y1) y (qInit MOD (qOp delta qinp qbinp))
using assms unfolding iwlxFSwSTR-def igSubstClsSTR-defs igSubstIGOp-STR-def by simp
qed
next
let ?h = qInit MOD let ?hA = qInitAbs MOD
case (Abs xs x qX)
show ?case proof safe
fix ys y1 y
let ?xy1y = x @xs[y1 \wedge y]-ys let ?y1 = igVar MOD ys y1
assume qAFreshAbs ys y1 (qAbs xs x qX)
hence y1-fresh: ys = xs \rightarrow y1 \neq x qAFresh ys y1 qX by auto
hence 1: qFresh ys y1 qX using qAFresh-imp-qFresh by auto
hence y1-fresh-qX: igFresh MOD ys y1 (?h qX)
using assms unfolding igSubstClsSTR-def
by (simp add: qFreshAll-igFreshAll-qInitAll)

```

```

obtain x1 where x1-fresh:  $x1 \notin \{y, y1\}$   $qFresh xs x1 qX$   $qAFresh xs x1 qX$ 
using obtain-qFresh[of {y, y1} {qX}] using Abs by blast
hence [simp]:  $igFresh MOD xs x1 (?h qX)$ 
using assms by(simp add: qFreshAll-igFreshAll-qInitAll)
let ?x1 = igVar MOD xs x1 let ?x1y1y = x1 @xs[y1 ∧ y]-ys
let ?qX-x1x = qX #[[x1 ∧ x]]-xs let ?qX-x1x-y1y = ?qX-x1x #[[y1 ∧ y]]-ys
let ?qX-y1y = qX #[[y1 ∧ y]]-ys let ?qX-y1y-x1-xy1y = ?qX-y1y #[[x1 ∧
?xy1y]]-xs
let ?qX-y1y-x1y1y-xy1y = ?qX-y1y #[[x1y1y ∧ ?xy1y]]-xs
have [simp]:  $qAFresh ys y1 ?qX-x1x$ 
using y1-fresh x1-fresh by(auto simp add: qSwap-preserves-qAFresh-distinct)
have [simp]:  $qAFresh xs x1 ?qX-y1y$ 
using y1-fresh x1-fresh by(auto simp add: qSwap-preserves-qAFresh-distinct)
hence  $qFresh xs x1 ?qX-y1y$  by (simp add: qAFresh-imp-qFresh)
hence [simp]:  $igFresh MOD xs x1 (?h ?qX-y1y)$ 
using assms by(simp add: qFreshAll-igFreshAll-qInitAll)
have [simp]:  $igFresh MOD xs x1 ?y1$ 
using x1-fresh assms unfolding igFreshClsSTR-def igFreshIGVar-def by simp
have x1-def:  $x1 = ?x1y1y$  using x1-fresh by simp

have ?hA ((qAbs xs x qX) $[[y1 ∧ y]]-ys) = igAbs MOD xs ?xy1y (?h ?qX-y1y)
by simp
also have ... = igAbs MOD xs x1 (igSubst MOD xs ?x1 ?xy1y (?h ?qX-y1y))
using assms unfolding igAbsRenSTR-def by simp
also have igSubst MOD xs ?x1 ?xy1y (?h ?qX-y1y) = ?h (?qX-y1y-x1-xy1y)
using y1-fresh Abs.IH[of ?qX-y1y] by(simp add: qSwap-qSwapped)
also have ?qX-y1y-x1-xy1y = ?qX-y1y-x1y1y-xy1y using x1-def by simp
also have ... = ?qX-x1x-y1y apply(rule sym) by(rule qSwap-compose)
also have ?h ?qX-x1x-y1y = igSubst MOD ys ?y1 y (?h ?qX-x1x)
using Abs.IH[of ?qX-x1x] by(simp add: qSwap-qSwapped)
also have
igAbs MOD xs x1 (igSubst MOD ys ?y1 y (?h ?qX-x1x)) =
igSubstAbs MOD ys ?y1 y (igAbs MOD xs x1 (?h (?qX-x1x)))
using assms unfolding igSubstClsSTR-def igSubstIGAbsSTR-def
using x1-fresh y1-fresh by simp
also have ?h (?qX-x1x) = igSubst MOD xs ?x1 x (?h qX)
using Abs.IH[of qX] x1-fresh by(simp add: qSwapped.Refl)
also have
igAbs MOD xs x1 (igSubst MOD xs ?x1 x (?h qX)) =
igAbs MOD xs x (?h qX)
using assms unfolding igAbsRenSTR-def by simp
also have igAbs MOD xs x (?h qX) = ?hA (qAbs xs x qX)
using assms by simp
finally show ?hA ((qAbs xs x qX) $[[y1 ∧ y]]-ys) =
igSubstAbs MOD ys ?y1 y (?hA (qAbs xs x qX)) .
qed
qed

```

```

lemma iwlxFBwTR-qSwapAll-igSubstAll-qInitAll:
assumes wls: iwlxFBwTR MOD
shows
(qGood qX —>
 qAFresh ys y1 qX —>
 qInit MOD (qX #[[y1 ∧ y]]-ys) = igSubst MOD ys (igVar MOD ys y1) y (qInit
 MOD qX))
∧
(qGoodAbs qA —>
 qAFreshAbs ys y1 qA —>
 qInitAbs MOD (qA $[[y1 ∧ y]]-ys) = igSubstAbs MOD ys (igVar MOD ys y1) y
(qInitAbs MOD qA))
using assms unfolding iwlxFBwTR-def by(simp add: qSwapAll-igSubstAll-qInitAll)

lemma iwlxFSwSTR-alphaAll-qInitAll:
assumes iwlxFSwSTR MOD
shows
(∀ qX'. qX #≡ qX' —> qInit MOD qX = qInit MOD qX') ∧
(∀ qA'. qA $≡ qA' —> qInitAbs MOD qA = qInitAbs MOD qA')
proof(induction rule: qTerm-induct)
case (Var xs x)
then show ?case by(simp add: qVar-alpha-iff)
next
case (Op delta qinp qbinp)
show ?case proof safe
fix qX'
assume qOp delta qinp qbinp #≡ qX'
then obtain qinp' qbinp' where qX': qX' = qOp delta qinp' qbinp'
and *: sameDom qinp qinp' ∧ sameDom qbinp qbinp'
and **: liftAll2 (λqX qX'. qX #≡ qX') qinp qinp' ∧
liftAll2 (λqA qA'. qA $≡ qA') qbinp qbinp'
using qOp-alpha-iff[of delta qinp qbinp qX'] by auto
hence lift (qInit MOD) qinp = lift (qInit MOD) qinp'
by (smt (verit) Op.IH(1) liftAll2-def liftAll2-lift-ext liftAll-def)
moreover have lift (qInitAbs MOD) qbinp = lift (qInitAbs MOD) qbinp'
by (smt (verit) * ** Op.IH(2) liftAll2-def liftAll2-lift-ext liftAll-def)
ultimately
show qInit MOD (qOp delta qinp qbinp) = qInit MOD qX' unfolding qX' by
simp
qed
next
case (Abs xs x qX)
show ?case proof safe
fix qA'
assume qAbs xs x qX $≡ qA'
then obtain x' y qX' where qA': qA' = qAbs xs x' qX'
and y-not: y ∉ {x, x'} and qAFresh xs y qX qAFresh xs y qX'
and alpha: (qX #[[y ∧ x]]-xs) #≡ (qX' #[[y ∧ x']] -xs)
using qAbs-alphaAbs-iff[of xs x qX qA'] by auto

```

hence $y\text{-fresh}$: $qFresh\ xs\ y\ qX \wedge qFresh\ xs\ y\ qX'$ **using** $qAFresh\text{-imp-}qFresh$ **by**
auto
have $(qX, qX \# [y \wedge x] - xs) \in qSwapped$ **using** $qSwap\text{-}qSwapped$ **by** *fastforce*
hence $qInit\ MOD\ (qX \# [y \wedge x] - xs) = qInit\ MOD\ (qX' \# [y \wedge x'] - xs)$
using *Abs.IH alpha* **by** *simp*
hence $igSwap\ MOD\ xs\ y\ x\ (qInit\ MOD\ qX) = igSwap\ MOD\ xs\ y\ x'\ (qInit\ MOD\ qX')$
using *assms* **by**(*auto simp*: *iwlsFSwSTR-qSwapAll-igSwapAll-qInitAll*)
moreover have $igFresh\ MOD\ xs\ y\ (qInit\ MOD\ qX) \wedge igFresh\ MOD\ xs\ y\ (qInit\ MOD\ qX')$
using $y\text{-fresh assms}$ **by**(*auto simp add*: *iwlsFSwSTR-qFreshAll-igFreshAll-qInitAll*)
ultimately have $igAbs\ MOD\ xs\ x\ (qInit\ MOD\ qX) = igAbs\ MOD\ xs\ x'\ (qInit\ MOD\ qX')$
using $y\text{-not assms}$ **unfolding** *iwlsFSwSTR-def igAbsCongSSTR-def*
apply *clarify* **by** (*erule alle[of - xs]*, *erule alle[of - x]*) *blast*
thus $qInitAbs\ MOD\ (qAbs\ xs\ x\ qX) = qInitAbs\ MOD\ qA'$ **unfolding** *qA'* **by**
simp
qed
qed

corollary *iwlsFSwSTR-qInit-respectsP-alpha*:
assumes *iwlsFSwSTR MOD shows (qInit MOD) respectsP alpha*
unfolding *congruentP-def* **using** *assms iwlsFSwSTR-alphaAll-qInitAll* **by** *blast*

corollary *iwlsFSwSTR-qInitAbs-respectsP-alphaAbs*:
assumes *iwlsFSwSTR MOD shows (qInitAbs MOD) respectsP alphaAbs*
unfolding *congruentP-def* **using** *assms iwlsFSwSTR-alphaAll-qInitAll* **by** *blast*

lemma *iwlsFSbSwTR-alphaAll-qInitAll*:
fixes $qX::('index, 'bindex, 'varSort, 'var, 'opSym)qTerm$ **and**
 $qA::('index, 'bindex, 'varSort, 'var, 'opSym)qAbs$
assumes *iwlsFSbSwTR MOD*
shows
 $(qGood\ qX \longrightarrow (\forall\ qX'. qX \# qX' \longrightarrow qInit\ MOD\ qX = qInit\ MOD\ qX')) \wedge$
 $(qGoodAbs\ qA \longrightarrow (\forall\ qA'. qA \$= qA' \longrightarrow qInitAbs\ MOD\ qA = qInitAbs\ MOD\ qA'))$
proof(*induction rule: qGood-qTerm-induct*)
case (*Var xs x*)
then show ?case **by**(*simp add: qVar-alpha-iff*)
next
case (*Op delta qinp qbinp*)
show ?case **proof** *safe*
fix qX'
assume $qOp\ delta\ qinp\ qbinp \# qX'$
then obtain $qinp'\ qbinp'$ **where** $qX': qX' = qOp\ delta\ qinp'\ qbinp'$
and $\ast: sameDom\ qinp\ qinp' \wedge sameDom\ qbinp\ qbinp'$
and $\ast\ast: liftAll2\ (\lambda qX\ qX'. qX \# qX')\ qinp\ qinp' \wedge$
 $liftAll2\ (\lambda qA\ qA'. qA \$= qA')\ qbinp\ qbinp'$
using *qOp-alpha-iff[of delta qinp qbinp qX']* **by** *auto*

```

have lift (qInit MOD) qinp = lift (qInit MOD) qinp'
using * ** Op.IH(1) by (simp add: lift-def liftAll2-def liftAll-def
sameDom-def fun-eq-iff split: option.splits) (metis option.exhaust)
moreover
have lift (qInitAbs MOD) qbinp = lift (qInitAbs MOD) qbinp'
using * ** Op.IH(2) by (simp add: lift-def liftAll2-def liftAll-def
sameDom-def fun-eq-iff split: option.splits) (metis option.exhaust)
ultimately
show qInit MOD (qOp delta qinp qbinp) = qInit MOD qX'
unfolding qX' by simp
qed
next
case (Abs xs x qX)
show ?case proof safe
fix qA'
assume qAbs xs x qX $= qA'
then obtain x' y qX' where qA': qA' = qAbs xs x' qX'
and y-not: ynotin {x, x'} and y-afresh: qAFresh xs y qX qAFresh xs y qX'
and alpha: (qX #[[y ∧ x]]-xs) #= (qX' #[[y ∧ x']]-xs)
using qAbs-alphaAbs-iff[of xs x qX qA'] by auto
hence y-fresh: qFresh xs y qX ∧ qFresh xs y qX' using qAFresh-imp-qFresh by
auto
have qX': qGood qX' using alpha Abs by(simp add: alpha-qSwap-preserves-qGood1)
have (qX, qX #[[y ∧ x]]-xs) ∈ qSwapped using qSwap-qSwapped by fastforce
hence qInit MOD (qX #[[y ∧ x]]-xs) = qInit MOD (qX' #[[y ∧ x']]-xs)
using Abs.IH alpha by simp
moreover have qInit MOD (qX #[[y ∧ x]]-xs) = igSubst MOD xs (igVar MOD
xs y) x (qInit MOD qX)
using Abs y-afresh assms by(simp add: iwlsFSbSwTR-qSwapAll-igSubstAll-qInitAll)
moreover have qInit MOD (qX' #[[y ∧ x']]-xs) = igSubst MOD xs (igVar
MOD xs y) x' (qInit MOD qX')
using qX' y-afresh assms by(simp add: iwlsFSbSwTR-qSwapAll-igSubstAll-qInitAll)
ultimately
have igSubst MOD xs (igVar MOD xs y) x (qInit MOD qX) =
igSubst MOD xs (igVar MOD xs y) x' (qInit MOD qX')
by simp
moreover have igFresh MOD xs y (qInit MOD qX) ∧ igFresh MOD xs y (qInit
MOD qX')
using y-fresh assms by(auto simp add: iwlsFSbSwTR-qFreshAll-igFreshAll-qInitAll)
moreover have igAbsCongUSTR MOD
using assms unfolding iwlsFSbSwTR-def using igAbsRenSTR-imp-igAbsCongUSTR
by auto
ultimately have igAbs MOD xs x (qInit MOD qX) = igAbs MOD xs x' (qInit
MOD qX')
using y-not unfolding igAbsCongUSTR-def apply clarify
by (erule allE[of - xs], erule allE[of - x]) blast
thus qInitAbs MOD (qAbs xs x qX) = qInitAbs MOD qA' unfolding qA' by
simp
qed

```

qed

```
corollary iwlsFSbSwTR-qInit-respectsP-alphaGood:  
assumes iwlsFSbSwTR MOD  
shows (qInit MOD) respectsP alphaGood  
unfolding congruentP-def alphaGood-def  
using assms iwlsFSbSwTR-alphaAll-qInitAll by fastforce
```

```
corollary iwlsFSbSwTR-qInitAbs-respectsP-alphaAbsGood:  
assumes iwlsFSbSwTR MOD  
shows (qInitAbs MOD) respectsP alphaAbsGood  
unfolding congruentP-def alphaAbsGood-def  
using assms iwlsFSbSwTR-alphaAll-qInitAll by auto
```

8.5.2 The initial morphism (iteration map) from the term model to any strong model

This morphism has the same definition for fresh-swap and fresh-subst strong models

```
definition iterSTR where  
iterSTR MOD == univ (qInit MOD)
```

```
definition iterAbsSTR where  
iterAbsSTR MOD == univ (qInitAbs MOD)
```

```
lemma iwlsFSwSTR-iterSTR-ipresVar:  
assumes iwlsFSwSTR MOD  
shows ipresVar (iterSTR MOD) MOD  
using assms by(simp add: ipresVar-def Var-def iterSTR-def iwlsFSwSTR-qInit-respectsP-alpha)
```

```
lemma iwlsFSbSwTR-iterSTR-ipresVar:  
assumes iwlsFSbSwTR MOD  
shows ipresVar (iterSTR MOD) MOD  
using assms by(simp add: ipresVar-def Var-def iterSTR-def iwlsFSbSwTR-qInit-respectsP-alphaGood)
```

```
lemma iwlsFSwSTR-iterSTR-ipresAbs:  
assumes iwlsFSwSTR MOD  
shows ipresAbs (iterSTR MOD) (iterAbsSTR MOD) MOD  
unfolding ipresAbs-def proof clarify  
fix xs s X assume X: wls s X  
hence qGood (pick X) by(simp add: good-imp-qGood-pick)  
hence 1: qGoodAbs (qAbs xs x (pick X)) by simp  
have iterAbsSTR MOD (Abs xs x X) = univ (qInitAbs MOD) (asAbs (qAbs xs x (pick X)))  
using X unfolding Abs-def iterAbsSTR-def by simp  
also have ... = qInitAbs MOD (qAbs xs x (pick X))  
using assms 1 by(simp add: iwlsFSwSTR-qInitAbs-respectsP-alphaAbs)  
also have ... = igAbs MOD xs x (qInit MOD (pick X)) by simp  
also have ... = igAbs MOD xs x (iterSTR MOD X) unfolding iterSTR-def
```

```

unfolding univ-def pick-def ..
finally show iterAbsSTR MOD (Abs xs x X) = igAbs MOD xs x (iterSTR MOD
X) .
qed

lemma iwlsFSbSwTR-iterSTR-ipresAbs:
assumes iwlsFSbSwTR MOD
shows ipresAbs (iterSTR MOD) (iterAbsSTR MOD) MOD
unfolding ipresAbs-def proof clarify
fix xs x s X assume X: wls s X
hence qGood (pick X) by(simp add: good-imp-qGood-pick)
hence 1: qGoodAbs (qAbs xs x (pick X)) by simp
have iterAbsSTR MOD (Abs xs x X) = univ (qInitAbs MOD) (asAbs (qAbs xs x
(pick X)))
using X unfolding Abs-def iterAbsSTR-def by simp
also have ... = qInitAbs MOD (qAbs xs x (pick X))
using assms 1 by(simp add: iwlsFSbSwTR-qInitAbs-respectsP-alphaAbsGood)
also have ... = igAbs MOD xs x (qInit MOD (pick X)) by simp
also have ... = igAbs MOD xs x (iterSTR MOD X) unfolding iterSTR-def
univ-def
unfolding univ-def pick-def ..
finally show iterAbsSTR MOD (Abs xs x X) = igAbs MOD xs x (iterSTR MOD
X) .
qed

lemma iwlsFSwSTR-iterSTR-ipresOp:
assumes iwlsFSwSTR MOD
shows ipresOp (iterSTR MOD) (iterAbsSTR MOD) MOD
unfolding ipresOp-def proof clarify
fix delta inp binp
assume inp: wlsInp delta inp wlsBinp delta binp
hence qGoodInp (pickInp inp) ∧ qGoodBinp (pickBinp binp)
by(simp add: goodInp-imp-qGoodInp-pickInp goodBinp-imp-qGoodBinp-pickBinp)
hence 1: qGood (qOp delta (pickInp inp) (pickBinp binp)) by simp
have iterSTR MOD (Op delta inp binp) =
univ (qInit MOD) (asTerm (qOp delta (pickInp inp) (pickBinp binp)))
using inp unfolding Op-def iterSTR-def by simp
moreover have ... = qInit MOD (qOp delta (pickInp inp) (pickBinp binp))
using assms 1 by(simp add: iwlsFSwSTR-qInit-respectsP-alpha)
moreover have ... = igOp MOD delta (lift (qInit MOD) (pickInp inp))
(lift (qInitAbs MOD) (pickBinp binp)) by auto
moreover
have lift (qInit MOD) (pickInp inp) = lift (iterSTR MOD) inp ∧
lift (qInitAbs MOD) (pickBinp binp) = lift (iterAbsSTR MOD) binp
unfolding pickInp-def pickBinp-def iterSTR-def iterAbsSTR-def
lift-comp univ-def[abs-def] comp-def
unfolding univ-def pick-def by simp
ultimately
show iterSTR MOD (Op delta inp binp) =

```

```

    igOp MOD delta (lift (iterSTR MOD) inp) (lift (iterAbsSTR MOD) binp)
by simp
qed

lemma iwlsFSbSwTR-iterSTR-ipresOp:
assumes iwlsFSbSwTR MOD
shows ipresOp (iterSTR MOD) (iterAbsSTR MOD) MOD
unfolding ipresOp-def proof clarify
fix delta inp binp
assume inp: wlsInp delta inp wlsBinp delta binp
hence qGoodInp (pickInp inp) ∧ qGoodBinp (pickBinp binp)
by(simp add: goodInp-imp-qGoodInp-pickInp goodBinp-imp-qGoodBinp-pickBinp)
hence 1: qGood (qOp delta (pickInp inp) (pickBinp binp)) by simp
have iterSTR MOD (Op delta inp binp) =
  univ (qInit MOD) (asTerm (qOp delta (pickInp inp) (pickBinp binp)))
using inp unfolding Op-def iterSTR-def by simp
moreover have ... = qInit MOD (qOp delta (pickInp inp) (pickBinp binp))
using assms 1 by(simp add: iwlsFSbSwTR-qInit-respectsP-alphaGood)
moreover have ... = igOp MOD delta (lift (qInit MOD) (pickInp inp))
  (lift (qInitAbs MOD) (pickBinp binp)) by simp
moreover have lift (qInit MOD) (pickInp inp) = lift (iterSTR MOD) inp ∧
  lift (qInitAbs MOD) (pickBinp binp) = lift (iterAbsSTR MOD) binp
unfolding pickInp-def pickBinp-def iterSTR-def iterAbsSTR-def
lift-comp univ-def[abs-def] comp-def
unfolding univ-def pick-def by simp
ultimately
show iterSTR MOD (Op delta inp binp) =
  igOp MOD delta (lift (iterSTR MOD) inp) (lift (iterAbsSTR MOD) binp)
by simp
qed

lemma iwlsFSwSTR-iterSTR-ipresCons:
assumes iwlsFSwSTR MOD
shows ipresCons (iterSTR MOD) (iterAbsSTR MOD) MOD
unfolding ipresCons-def using assms
iwlsFSwSTR-iterSTR-ipresVar
iwlsFSwSTR-iterSTR-ipresAbs
iwlsFSwSTR-iterSTR-ipresOp by auto

lemma iwlsFSbSwTR-iterSTR-ipresCons:
assumes iwlsFSbSwTR MOD
shows ipresCons (iterSTR MOD) (iterAbsSTR MOD) MOD
unfolding ipresCons-def using assms
iwlsFSbSwTR-iterSTR-ipresVar
iwlsFSbSwTR-iterSTR-ipresAbs
iwlsFSbSwTR-iterSTR-ipresOp by auto

lemma iwlsFSwSTR-iterSTR-termFSwImorph:
assumes iwlsFSwSTR MOD

```

```

shows termFSwImorph (iterSTR MOD) (iterAbsSTR MOD) MOD
using assms by (auto simp: iwlsFSwSTR-termFSwImorph-iff intro: iwlsFSwSTR-iterSTR-ipresCons)

corollary iterSTR-termFSwImorph-errMOD:
assumes iwlsFSw MOD
shows
termFSwImorph (iterSTR (errMOD MOD))
  (iterAbsSTR (errMOD MOD))
  (errMOD MOD)
using assms errMOD-iwlsFSwSTR iwlsFSwSTR-iterSTR-termFSwImorph by auto

lemma iwlsFSbSwTR-iterSTR-termFSbImorph:
assumes iwlsFSbSwTR MOD
shows termFSbImorph (iterSTR MOD) (iterAbsSTR MOD) MOD
using assms by (auto simp: iwlsFSbSwTR-termFSbImorph-iff intro: iwlsFSbSwTR-iterSTR-ipresCons)

corollary iterSTR-termFSbImorph-errMOD:
assumes iwlsFSb MOD
shows
termFSbImorph (iterSTR (errMOD MOD))
  (iterAbsSTR (errMOD MOD))
  (errMOD MOD)
using assms errMOD-iwlsFSbSwTR iwlsFSbSwTR-iterSTR-termFSbImorph by auto

```

```

declare qItem-simps[simp del]
declare qItem-versus-item-simps[simp del]
declare good-item-simps[simp del]

```

8.5.3 The initial morphism (iteration map) from the term model to any model

Again, this morphism has the same definition for fresh-swap and fresh-subst models, as well as (of course) for fresh-swap-subst and fresh-subst-swap models. (Remember that there is no such thing as "fresh-subst-swap" morphism – we use the notion of "fresh-swap-subst" morphism.)

Existence of the morphism:

```

definition iter where
iter MOD == check o (iterSTR (errMOD MOD))

```

```

definition iterAbs where
iterAbs MOD == check o (iterAbsSTR (errMOD MOD))

```

```

theorem iwlsFSw-iterAll-termFSwImorph:
iwlsFSw MOD ==> termFSwImorph (iter MOD) (iterAbs MOD) MOD
using iterSTR-termFSwImorph-errMOD check-FSwImorph
by (auto simp: iter-def iterAbs-def intro: comp-termFSwImorph)

```

```

theorem iwlsFSb-iterAll-termFSbImorph:
iwlsFSb MOD  $\implies$  termFSbImorph (iter MOD) (iterAbs MOD) MOD
using iterSTR-termFSbImorph-errMOD check-FSbImorph
by (auto simp: iter-def iterAbs-def intro: comp-termFSbImorph)

theorem iwlsFSwSb-iterAll-termFSwSbImorph:
iwlsFSwSb MOD  $\implies$  termFSwSbImorph (iter MOD) (iterAbs MOD) MOD
using iwlsFSw-iterAll-termFSwImorph
by (auto simp: iwlsFSwSb-termFSwSbImorph-iff iwlsFSwSb-def termFSwImorph-def)

theorem iwlsFSbSw-iterAll-termFSbSwImorph:
iwlsFSbSw MOD  $\implies$  termFSbSwImorph (iter MOD) (iterAbs MOD) MOD
using iwlsFSb-iterAll-termFSbImorph
by (auto simp: iwlsFSbSw-termFSbSwImorph-iff iwlsFSbSw-def termFSbImorph-def)

```

Uniqueness of the morphism

In fact, already a presumptive construct-preserving map has to be unique:

```

lemma ipresCons-unique:
assumes ipresCons f fA MOD and ipresCons ig igA MOD
shows
(wls s X  $\longrightarrow$  f X = ig X)  $\wedge$ 
(wlsAbs (us,s') A  $\longrightarrow$  fA A = igA A)
proof(induction rule: wls-rawInduct)
  case (Var xs x)
    then show ?case using assms unfolding ipresCons-def ipresVar-def by simp
  next
    case (Op delta inp binp)
      hence lift f inp = lift ig inp  $\wedge$  lift fA binp = lift igA binp
      using assms
      apply(simp add: lift-def liftAll2-def sameDom-def fun-eq-iff wlsInp-iff wlsBinp-iff
split: option.splits)
      using not-None-eq by (metis surj-pair)
      thus f (Op delta inp binp) = ig (Op delta inp binp)
      using assms unfolding ipresCons-def ipresOp-def by (simp add: Op.IH)
  next
    case (Abs s xs x X)
    then show ?case using assms unfolding ipresCons-def ipresAbs-def apply
clarify
    by (erule allE[of - xs], erule allE[of - x]) fastforce
  qed

```

```

theorem iwlsFSw-iterAll-unique-ipresCons:
assumes iwlsFSw MOD and ipresCons h hA MOD
shows
(wls s X  $\longrightarrow$  h X = iter MOD X)  $\wedge$ 
(wlsAbs (us,s') A  $\longrightarrow$  hA A = iterAbs MOD A)
using assms iwlsFSw-iterAll-termFSwImorph
by (auto simp: termFSwImorph-def intro!: ipresCons-unique)

```

```

theorem iwlsFSb-iterAll-unique-ipresCons:
assumes iwlsFSb MOD and ipresCons h hA MOD
shows
(wls s X → h X = iter MOD X) ∧
(wlsAbs (us,s') A → hA A = iterAbs MOD A)
using assms iwlsFSb-iterAll-termFSbImorph
by (auto simp: termFSbImorph-def intro!: ipresCons-unique)

```

```

theorem iwlsFSwSb-iterAll-unique-ipresCons:
assumes iwlsFSwSb MOD and ipresCons h hA MOD
shows
(wls s X → h X = iter MOD X) ∧
(wlsAbs (us,s') A → hA A = iterAbs MOD A)
using assms unfolding iwlsFSwSb-def
using iwlsFSw-iterAll-unique-ipresCons by blast

```

```

theorem iwlsFSbSw-iterAll-unique-ipresCons:
assumes *: iwlsFSbSw MOD and **: ipresCons h hA MOD
shows
(wls s X → h X = iter MOD X) ∧
(wlsAbs (us,s') A → hA A = iterAbs MOD A)
using assms unfolding iwlsFSbSw-def
using iwlsFSb-iterAll-unique-ipresCons by blast

```

```

lemmas iteration-simps =
input-igSwap-igSubst-None
termMOD-simps
error-model-simps

```

```
declare iteration-simps [simp del]
```

```
end
```

```
end
```

9 Interpretation of syntax in semantic domains

```

theory Semantic-Domains imports Iteration
begin

```

In this section, we employ our iteration principle to obtain interpretation of syntax in semantic domains via valuations. A bonus from our Horn-theoretic approach is the built-in commutation of the interpretation with substitution versus valuation update, a property known in the literature as the “substitution lemma”.

9.1 Semantic domains and valuations

Semantic domains are for binding signatures what algebras are for standard algebraic signatures. They fix carrier sets for each sort, and interpret each operation symbol as an operation on these sets⁶ of corresponding arity, where:

- non-binding arguments are treated as usual (first-order) arguments;
- binding arguments are treated as second-order (functional) arguments.⁷

In particular, for the untyped and simply-typed λ -calculi, the semantic domains become the well-known (set-theoretic) Henkin models.

We use terminology and notation according to our general methodology employed so far: the inhabitants of semantic domains are referred to as “semantic items”; we prefix the reference to semantic items with an “s”: sX, sA, etc. This convention also applies to the operations on semantic domains: “sAbs”, “sOp”, etc.

We eventually show that the function spaces consisting of maps from valuations to semantic items form models; in other words, these maps can be viewed as “generalized items”; we use for them term-like notations “X”, “A”, etc. (as we did in the theory that dealt with iteration).

9.1.1 Definitions:

```
datatype ('varSort,'sTerm)sAbs = sAbs 'varSort 'sTerm ⇒ 'sTerm

record ('index,'bindex,'varSort,'sort,'opSym,'sTerm)semDom =
  sWls :: 'sort ⇒ 'sTerm ⇒ bool
  sDummy :: 'sort ⇒ 'sTerm
  sOp :: 'opSym ⇒ ('index,'sTerm)input ⇒ ('bindex,('varSort,'sTerm)sAbs)input
  ⇒ 'sTerm
```

The type of valuations:

```
type-synonym ('varSort,'var,'sTerm)val = 'varSort ⇒ 'var ⇒ 'sTerm
```

```
context FixSyn
begin
```

```
fun sWlsAbs where
  sWlsAbs SEM (xs,s) (sAbs xs' sF) =
```

⁶To match the Isabelle type system, we model (as usual) the family of carrier sets as a “well-sortedness” predicate taking sorts and semantic items from a given (initially unsorted) universe into booleans, and require the operations, considered on the unsorted universe, to preserve well-sortedness.

⁷In other words, syntactic bindings are captured semantically as functional bindings.

```

(isInBar (xs,s) ∧ xs = xs' ∧
(∀ sX. if sWls SEM (asSort xs) sX
      then sWls SEM s (sF sX)
      else sF sX = sDummy SEM s))

definition sWlsInp where
sWlsInp SEM delta sinp ≡
wlsOpS delta ∧ sameDom (arOf delta) sinp ∧ liftAll2 (sWls SEM) (arOf delta)
sinp

definition sWlsBinp where
sWlsBinp SEM delta sbinp ≡
wlsOpS delta ∧ sameDom (barOf delta) sbinp ∧ liftAll2 (sWlsAbs SEM) (barOf
delta) sbinp

definition sWlsNE where
sWlsNE SEM ≡
∀ s. ∃ sX. sWls SEM s sX

definition sWlsDisj where
sWlsDisj SEM ≡
∀ s s' sX. sWls SEM s sX ∧ sWls SEM s' sX → s = s'

definition sOpPrSWls where
sOpPrSWls SEM ≡
∀ delta sinp sbinp.
sWlsInp SEM delta sinp ∧ sWlsBinp SEM delta sbinp
→ sWls SEM (stOf delta) (sOp SEM delta sinp sbinp)

```

The notion of a “well-sorted” (better read as “well-structured”) semantic domain:⁸

```

definition wlsSEM where
wlsSEM SEM ≡
sWlsNE SEM ∧ sWlsDisj SEM ∧ sOpPrSWls SEM

```

The properties described in the next 4 definitions turn out to be consequences of the well-structuredness of the semantic domain:

```

definition sWlsAbsNE where
sWlsAbsNE SEM ≡
∀ us s. isInBar (us,s) → (∃ sA. sWlsAbs SEM (us,s) sA)

```

```

definition sWlsAbsDisj where
sWlsAbsDisj SEM ≡
∀ us s us' s' sA.
isInBar (us,s) ∧ isInBar (us',s') ∧ sWlsAbs SEM (us,s) sA ∧ sWlsAbs SEM
(us',s') sA

```

⁸As usual in Isabelle, we first define the “raw” version, and then “fix” it with a well-structuredness predicate.

$$\longrightarrow us = us' \wedge s = s'$$

The notion of two valuations being equal everywhere but on a given variable:

```

definition eqBut where
eqBut val val' xs x ≡
  ∀ ys y. (ys = xs ∧ y = x) ∨ val ys y = val' ys y

definition updVal :: 
('varSort,'var,'sTerm)val ⇒
  'var ⇒ 'sTerm ⇒ 'varSort ⇒
  ('varSort,'var,'sTerm)val (⟨- '(- := -)' -> 200)
where
(val (x := sX)-xs) ≡
  λ ys y. (if ys = xs ∧ y = x then sX else val ys y)

definition swapVal :: 
'varSort ⇒ 'var ⇒ 'var ⇒ ('varSort,'var,'sTerm)val ⇒
  ('varSort,'var,'sTerm)val
where
swapVal zs z1 z2 val ≡ λxs x. val xs (x @xs[z1 ∧ z2]-zs)

abbreviation swapVal-abbrev (⟨- ⌢ - ∧ -] -> 200) where
val ⌢ z1 ∧ z2]-zs ≡ swapVal zs z1 z2 val

definition sWlsVal where
sWlsVal SEM val ≡
  ∀ ys y. sWls SEM (asSort ys) (val ys y)

```

```

definition sWlsValNE :: 
('index,'bindex,'varSort,'sort,'opSym,'sTerm)semDom ⇒ 'var ⇒ bool
where
sWlsValNE SEM x ≡ ∃ (val :: ('varSort,'var,'sTerm)val). sWlsVal SEM val

```

9.1.2 Basic facts

```

lemma sWlsNE-imp-sWlsAbsNE:
assumes sWlsNE SEM
shows sWlsAbsNE SEM
unfolding sWlsAbsNE-def proof clarify
  fix xs s
  obtain sY where sWls SEM s sY
  using assms unfolding sWlsNE-def by auto
  moreover assume isInBar (xs,s)
  ultimately
  have sWlsAbs SEM (xs,s) (sAbs xs (λsX. if sWls SEM (asSort xs) sX
    then sY
    else sDummy SEM s)) by simp

```

thus $\exists sA. \ sWlsAbs \ SEM \ (xs,s) \ sA$ **by** *blast*
qed

lemma $sWlsDisj\text{-}imp\text{-}sWlsAbsDisj$:
 $sWlsDisj \ SEM \implies sWlsNE \ SEM \implies sWlsAbsDisj \ SEM$
by (*simp add:* $sWlsAbsDisj\text{-}def \ sWlsNE\text{-}def \ sWlsDisj\text{-}def$)
(*smt (verit) prod.inject sAbs.inject sWlsAbs.elims(2)*)

lemma $sWlsNE\text{-}imp\text{-}sWlsValNE$:
 $sWlsNE \ SEM \implies sWlsValNE \ SEM \ x$
by (*auto simp:* $sWlsNE\text{-}def \ sWlsValNE\text{-}def \ sWlsVal\text{-}def$
intro!: $exI \ someI\text{-}ex[of (\lambda sY. \ sWls \ SEM \ (asSort -) \ sY)]$)

theorem $updVal\text{-}simp[simp]$:
 $((val (x := sX)\text{-}xs) \ ys \ y = (if ys = xs \wedge y = x \ then sX \ else val ys \ y))$
unfolding $updVal\text{-}def$ **by** *simp*

theorem $updVal\text{-}over[simp]$:
 $((val (x := sX)\text{-}xs) \ (x := sX')\text{-}xs) = (val (x := sX')\text{-}xs)$
unfolding $updVal\text{-}def$ **by** *fastforce*

theorem $updVal\text{-}commute$:
assumes $xs \neq ys \vee x \neq y$
shows $((val (x := sX)\text{-}xs) \ (y := sY)\text{-}ys) = ((val (y := sY)\text{-}ys) \ (x := sX)\text{-}xs)$
using assms unfolding $updVal\text{-}def$ **by** *fastforce*

theorem $updVal\text{-}preserves-sWls[simp]$:
assumes $sWls \ SEM \ (asSort xs) \ sX$ **and** $sWlsVal \ SEM \ val$
shows $sWlsVal \ SEM \ (val (x := sX)\text{-}xs)$
using assms unfolding $sWlsVal\text{-}def$ **by** *auto*

lemmas $updVal\text{-simps} = updVal\text{-simp} \ updVal\text{-over} \ updVal\text{-preserves-sWls}$

theorem $swapVal\text{-ident}[simp]$: $(val \ \lceil[x \wedge x]\text{-}xs) = val$
unfolding $swapVal\text{-def}$ **by** *auto*

theorem $swapVal\text{-compose}$:
 $((val \ \lceil[x \wedge y]\text{-}zs) \ \lceil[x' \wedge y']\text{-}zs') =$
 $((val \ \lceil[x' @ zs \lceil[x \wedge y]\text{-}zs \wedge y' @ zs'[x \wedge y]\text{-}zs]\text{-}zs') \ \lceil[x \wedge y]\text{-}zs)$
unfolding $swapVal\text{-def}$ **by** (*metis sw-compose*)

theorem $swapVal\text{-commute}$:
 $zs \neq zs' \vee \{x,y\} \cap \{x',y'\} = \{\} \implies$
 $((val \ \lceil[x \wedge y]\text{-}zs) \ \lceil[x' \wedge y']\text{-}zs') = ((val \ \lceil[x' \wedge y']\text{-}zs') \ \lceil[x \wedge y]\text{-}zs)$
using $swapVal\text{-compose}[of zs' x' y' zs x y val]$ **by** (*simp add:* $sw\text{-}def$)

lemma $swapVal\text{-involutive}[simp]$: $((val \ \lceil[x \wedge y]\text{-}zs) \ \lceil[x \wedge y]\text{-}zs) = val$
unfolding $swapVal\text{-def}$ **by** *auto*

```

lemma swapVal-sym: (val  $\wedge[x \wedge y]$ -zs) = (val  $\wedge[y \wedge x]$ -zs)
unfolding swapVal-def by(auto simp add: sw-sym)

lemma swapVal-preserves-sWls1:
assumes sWlsVal SEM val
shows sWlsVal SEM (val  $\wedge[z1 \wedge z2]$ -zs)
using assms unfolding sWlsVal-def swapVal-def by simp

theorem swapVal-preserves-sWls[simp]:
sWlsVal SEM (val  $\wedge[z1 \wedge z2]$ -zs) = sWlsVal SEM val
using swapVal-preserves-sWls1[of - - zs z1 z2] by fastforce

lemmas swapVal-simps = swapVal-ident swapVal-involutive swapVal-preserves-sWls

lemma updVal-swapVal:
((val (x := sX)-xs)  $\wedge[y1 \wedge y2]$ -ys) =
((val  $\wedge[y1 \wedge y2]$ -ys) ((x @xs[y1  $\wedge y2]$ -ys) := sX)-xs)
unfolding swapVal-def by fastforce

lemma updVal-preserves-eqBut:
assumes eqBut val val' ys y
shows eqBut (val (x := sX)-xs) (val' (x := sX)-xs) ys y
using assms unfolding eqBut-def updVal-def by auto

lemma updVal-eqBut-eq:
assumes eqBut val val' ys y
shows (val (y := sY)-ys) = (val' (y := sY)-ys)
using assms unfolding eqBut-def by fastforce

lemma swapVal-preserves-eqBut:
assumes eqBut val val' xs x
shows eqBut (val  $\wedge[z1 \wedge z2]$ -zs) (val'  $\wedge[z1 \wedge z2]$ -zs) xs (x @xs[z1  $\wedge z2]$ -zs)
using assms unfolding eqBut-def swapVal-def by force

```

9.2 Interpretation maps

An interpretation map, of syntax in a semantic domain, is the usual one w.r.t. valuations. Here we state its compositionality conditions (including the “substitution lemma”), and later we prove the existence of a map satisfying these conditions.

9.2.1 Definitions

Below, prefix “pr” means “preserves”.

definition prWls where
 $prWls g SEM \equiv \forall s X val.$
 $wls s X \wedge sWlsVal SEM val$
 $\longrightarrow sWls SEM s (g X val)$

```

definition prWlsAbs where
prWlsAbs gA SEM  $\equiv \forall us s A val.$ 
wlsAbs (us,s) A  $\wedge$  sWlsVal SEM val
 $\longrightarrow$  sWlsAbs SEM (us,s) (gA A val)

definition prWlsAll where
prWlsAll g gA SEM  $\equiv$  prWls g SEM  $\wedge$  prWlsAbs gA SEM

definition prVar where
prVar g SEM  $\equiv \forall xs x val.$ 
sWlsVal SEM val  $\longrightarrow$  g (Var xs x) val = val xs x

definition prAbs where
prAbs g gA SEM  $\equiv \forall xs s X val.$ 
isInBar (xs,s)  $\wedge$  wls s X  $\wedge$  sWlsVal SEM val
 $\longrightarrow$ 
gA (Abs xs x X) val =
sAbs xs ( $\lambda sX. if\ sWls\ SEM\ (asSort\ xs)\ sX\ then\ g\ X\ (val\ (x := sX)-xs)$ 
else sDummy SEM s)

definition prOp where
prOp g gA SEM  $\equiv \forall delta\ inp\ binp\ val.$ 
wlsInp delta inp  $\wedge$  wlsBinp delta binp  $\wedge$  sWlsVal SEM val
 $\longrightarrow$ 
g (Op delta inp binp) val =
sOp SEM delta (lift ( $\lambda X. g\ X\ val$ ) inp)
(lift ( $\lambda A. gA\ A\ val$ ) binp)

definition prCons where
prCons g gA SEM  $\equiv$  prVar g SEM  $\wedge$  prAbs g gA SEM  $\wedge$  prOp g gA SEM

definition prFresh where
prFresh g SEM  $\equiv \forall ys\ y\ s\ X\ val\ val'.$ 
wls s X  $\wedge$  fresh ys y X  $\wedge$ 
sWlsVal SEM val  $\wedge$  sWlsVal SEM val'  $\wedge$  eqBut val val' ys y
 $\longrightarrow$  g X val = g X val'

definition prFreshAbs where
prFreshAbs gA SEM  $\equiv \forall ys\ y\ us\ s\ A\ val\ val'.$ 
wlsAbs (us,s) A  $\wedge$  freshAbs ys y A  $\wedge$ 
sWlsVal SEM val  $\wedge$  sWlsVal SEM val'  $\wedge$  eqBut val val' ys y
 $\longrightarrow$  gA A val = gA A val'

definition prFreshAll where
prFreshAll g gA SEM  $\equiv$  prFresh g SEM  $\wedge$  prFreshAbs gA SEM

definition prSwap where
prSwap g SEM  $\equiv \forall zs\ z1\ z2\ s\ X\ val.$ 

```

```

wls s X ∧ sWlsVal SEM val
  →
g (X #[z1 ∧ z2]-zs) val =
g X (val ↗[z1 ∧ z2]-zs)

definition prSwapAbs where
prSwapAbs gA SEM ≡ ∀ zs z1 z2 us s A val.
  wlsAbs (us,s) A ∧ sWlsVal SEM val
  →
gA (A $[z1 ∧ z2]-zs) val =
gA A (val ↗[z1 ∧ z2]-zs)

definition prSwapAll where
prSwapAll g gA SEM ≡ prSwap g SEM ∧ prSwapAbs gA SEM

definition prSubst where
prSubst g SEM ≡ ∀ ys Y y s X val.
  wls (asSort ys) Y ∧ wls s X
  ∧ sWlsVal SEM val
  →
g (X #[Y / y]-ys) val =
g X (val (y := g Y val)-ys)

definition prSubstAbs where
prSubstAbs g gA SEM ≡ ∀ ys Y y us s A val.
  wls (asSort ys) Y ∧ wlsAbs (us,s) A
  ∧ sWlsVal SEM val
  →
gA (A $[Y / y]-ys) val =
gA A (val (y := g Y val)-ys)

definition prSubstAll where
prSubstAll g gA SEM ≡ prSubst g SEM ∧ prSubstAbs g gA SEM

definition compInt where
compInt g gA SEM ≡ prWlsAll g gA SEM ∧ prCons g gA SEM ∧
prFreshAll g gA SEM ∧ prSwapAll g gA SEM ∧ prSubstAll g gA SEM

```

9.2.2 Extension of domain preservation to inputs

```

lemma prWls-wlsInp:
assumes wlsInp delta inp and prWls g SEM and sWlsVal SEM val
shows sWlsInp SEM delta (lift (λ X. g X val) inp)
using assms unfolding sWlsInp-def wlsInp-iff liftAll2-def lift-def prWls-def
by (auto simp add: option.case-eq-if sameDom-def)

lemma prWlsAbs-wlsBinp:
assumes wlsBinp delta binp and prWlsAbs gA SEM and sWlsVal SEM val
shows sWlsBinp SEM delta (lift (λ A. gA A val) binp)

```

```

using assms unfolding sWlsBinp-def wlsBinp-iff liftAll2-def lift-def prWlsAbs-def
by (auto simp add: option.case-eq-if sameDom-def)

end

```

9.3 The iterative model associated to a semantic domain

“asIMOD SEM” stands for “SEM (regarded) as a model”.⁹ The associated model is built essentially as follows:

- Its carrier sets consist of functions from valuations to semantic items.
- The construct operations (i.e., those corresponding to the syntactic constructs indicated in the given binding signature) are lifted componentwise from those of the semantic domain “SEM” (also taking into account the higher-order nature of the semantic counterparts of abstractions).
- For a map from valuations to items (terms or abstractions), freshness of a variable “x” is defined as being oblivious what the argument valuation returns for “x”.
- Swapping is defined componentwise, by two iterations of the notion of swapping the returned value of a function.
- Substitution of a semantic term “Y” for a variable “y” is a semantic term “X” is defined to map each valuation “val” to the application of “X” to [“val” updated at “y” with whatever “Y” returns for “val”].

Note that:

- The construct operations definitions are determined by the desired clauses of the standard notion of interpreting syntax in a semantic domains.
- Substitution and freshness are defined having in mind the (again standard) facts of the interpretation commuting with substitution versus valuation update and the interpretation being oblivious to the valuation of fresh variables.

9.3.1 Definition and basic facts

The next two types of “generalized items” are used to build models from semantic domains:¹⁰

type-synonym ('varSort,'var,'sTerm) gTerm = ('varSort,'var,'sTerm)val \Rightarrow 'sTerm

type-synonym ('varSort,'var,'sTerm) gAbs = ('varSort,'var,'sTerm)val \Rightarrow ('varSort,'sTerm)sAbs

```

context FixSyn
begin

```

⁹We use the word “model” as introduced in the theory “Models-and-Recursion”.

¹⁰Recall that “generalized items” inhabit models.

```

definition asIMOD :: 
  ('index,'bindex,'varSort,'sort,'opSym,'sTerm)semDom ⇒
  ('index,'bindex,'varSort,'sort,'opSym,'var,
   ('varSort,'var,'sTerm)gTerm,
   ('varSort,'var,'sTerm)gAbs)model
where
asIMOD SEM ≡
  (igWls = λs X. ∀ val. (sWlsVal SEM val ∨ X val = undefined) ∧
   (sWlsVal SEM val → sWls SEM s (X val)),
   igWlsAbs = λ(xs,s) A. ∀ val. (sWlsVal SEM val ∨ A val = undefined) ∧
   (sWlsVal SEM val → sWlsAbs SEM (xs,s) (A val)),
   igVar = λys y. λval. if sWlsVal SEM val then val ys y else undefined,
   igAbs =
     λxs x X. λval. if sWlsVal SEM val
       then sAbs xs (λsX. if sWls SEM (asSort xs) sX
         then X (val (x := sX)-xs)
         else sDummy SEM (SOME s. sWls SEM s (X
           val)))
       else undefined,
   igOp = λdelta inp binp. λval.
     if sWlsVal SEM val then sOp SEM delta (lift (λX. X val) inp)
       (lift (λA. A val) binp)
     else undefined,
   igFresh =
     λys y X. ∀ val val'. sWlsVal SEM val ∧ sWlsVal SEM val' ∧ eqBut val val' ys y
       → X val = X val',
   igFreshAbs =
     λys y A. ∀ val val'. sWlsVal SEM val ∧ sWlsVal SEM val' ∧ eqBut val val' ys y
       → A val = A val',
   igSwap = λzs z1 z2 X. λval. if sWlsVal SEM val then X (val ^[z1 ∨ z2]-zs)
     else undefined,
   igSwapAbs = λzs z1 z2 A. λval. if sWlsVal SEM val then A (val ^[z1 ∨ z2]-zs)
     else undefined,
   igSubst = λys Y y X. λval. if sWlsVal SEM val then X (val (y := Y val)-ys)
     else undefined,
   igSubstAbs = λys Y y A. λval. if sWlsVal SEM val then A (val (y := Y val)-ys)
     else undefined)

```

Next we state, as usual, the direct definitions of the operators and relations of associated model, freeing ourselves from having to go through the “asIMOD” definition each time we reason about them.

```

lemma asIMOD-igWls:
  igWls (asIMOD SEM) s X ←→
  (∀ val. (sWlsVal SEM val ∨ X val = undefined) ∧
   (sWlsVal SEM val → sWls SEM s (X val)))
unfolding asIMOD-def by simp

```

```

lemma asIMOD-igWlsAbs:
  igWlsAbs (asIMOD SEM) (us,s) A ←→

```

```


$$(\forall val. (sWlsVal SEM val \vee A val = undefined) \wedge
           (sWlsVal SEM val \longrightarrow sWlsAbs SEM (us,s) (A val)))
\text{unfolding asIMOD-def by simp}

\text{lemma asIMOD-igOp:}
igOp (asIMOD SEM) delta inp binp =
(\lambda val. if sWlsVal SEM val then sOp SEM delta (lift (\lambda X. X val) inp)
           (lift (\lambda A. A val) binp)
           else undefined)
\text{unfolding asIMOD-def by simp}

\text{lemma asIMOD-igVar:}
igVar (asIMOD SEM) ys y = (\lambda val. if sWlsVal SEM val then val ys y else undefined)
\text{unfolding asIMOD-def by simp}

\text{lemma asIMOD-igAbs:}
igAbs (asIMOD SEM) xs x X =
(\lambda val. if sWlsVal SEM val then sAbs xs (\lambda sX. if sWls SEM (asSort xs) sX
           then X (val (x := sX)-xs)
           else sDummy SEM (SOME s. sWls SEM s
           (X val)))
           else undefined)
\text{unfolding asIMOD-def by simp}

\text{lemma asIMOD-igAbs2:}
fixes SEM :: ('index,'bindx,'varSort,'sort,'opSym,'sTerm)semDom
assumes *: sWlsDisj SEM and **: igWls (asIMOD SEM) s X
shows igAbs (asIMOD SEM) xs x X =
(\lambda val. if sWlsVal SEM val then sAbs xs (\lambda sX. if sWls SEM (asSort xs) sX
           then X (val (x := sX)-xs)
           else sDummy SEM s)
           else undefined)
proof-
  {fix val :: ('varSort,'var,'sTerm)val assume val: sWlsVal SEM val
   hence Xval: sWls SEM s (X val)
   using ** unfolding asIMOD-igWls by simp
   hence (SOME s. sWls SEM s (X val)) = s
   using Xval * unfolding sWlsDisj-def by auto
  }
  thus ?thesis unfolding asIMOD-igAbs by fastforce
qed

\text{lemma asIMOD-igFresh:}
igFresh (asIMOD SEM) ys y X =
(\forall val val'. sWlsVal SEM val \wedge sWlsVal SEM val' \wedge eqBut val val' ys y
           \longrightarrow X val = X val')
\text{unfolding asIMOD-def by simp}$$

```

```

lemma asIMOD-igFreshAbs:
  igFreshAbs (asIMOD SEM) ys y A =
  ( $\forall$  val val'. sWlsVal SEM val  $\wedge$  sWlsVal SEM val'  $\wedge$  eqBut val val' ys y
    $\longrightarrow$  A val = A val')
  unfolding asIMOD-def by simp

lemma asIMOD-igSwap:
  igSwap (asIMOD SEM) zs z1 z2 X =
  ( $\lambda$ val. if sWlsVal SEM val then X (val  $\wedge$  [z1  $\wedge$  z2]-zs) else undefined)
  unfolding asIMOD-def by simp

lemma asIMOD-igSwapAbs:
  igSwapAbs (asIMOD SEM) zs z1 z2 A =
  ( $\lambda$ val. if sWlsVal SEM val then A (val  $\wedge$  [z1  $\wedge$  z2]-zs) else undefined)
  unfolding asIMOD-def by simp

lemma asIMOD-igSubst:
  igSubst (asIMOD SEM) ys Y y X =
  ( $\lambda$ val. if sWlsVal SEM val then X (val (y := Y val)-ys) else undefined)
  unfolding asIMOD-def by simp

lemma asIMOD-igSubstAbs:
  igSubstAbs (asIMOD SEM) ys Y y A =
  ( $\lambda$ val. if sWlsVal SEM val then A (val (y := Y val)-ys) else undefined)
  unfolding asIMOD-def by simp

lemma asIMOD-igWlsInp:
  assumes sWlsNE SEM
  shows
    igWlsInp (asIMOD SEM) delta inp  $\longleftrightarrow$ 
    (( $\forall$  val. liftAll ( $\lambda$ X. sWlsVal SEM val  $\vee$  X val = undefined) inp)  $\wedge$ 
     ( $\forall$  val. sWlsVal SEM val  $\longrightarrow$  sWlsInp SEM delta (lift ( $\lambda$ X. X val) inp)))
  using assms apply safe
  subgoal by (simp add: asIMOD-igWls liftAll-def liftAll2-def igWlsInp-def
    sameDom-def split: option.splits) (metis option.distinct(1) option.exhaust)
  subgoal by (simp add: igWlsInp-def asIMOD-igWls liftAll-def liftAll2-def
    lift-def sWlsInp-def sameDom-def split: option.splits)
  subgoal by (simp add: igWlsInp-def asIMOD-igWls liftAll-def liftAll2-def
    lift-def sWlsInp-def sameDom-def split: option.splits)
  (metis (no-types) option.distinct(1) sWlsNE-imp-sWlsValNE sWlsValNE-def) .

lemma asIMOD-igSwapInp:
  sWlsVal SEM val  $\Longrightarrow$ 
    lift ( $\lambda$ X. X val) (igSwapInp (asIMOD SEM) zs z1 z2 inp) =
    lift ( $\lambda$ X. X (swapVal zs z1 z2 val)) inp
  by (auto simp: igSwapInp-def asIMOD-igSwap lift-def split: option.splits)

lemma asIMOD-igSubstInp:
  sWlsVal SEM val  $\Longrightarrow$ 

```

```

lift (λX. X val) (igSubstInp (asIMOD SEM) ys Y y inp) =
lift (λX. X (val (y := Y val)-ys)) inp
by (auto simp: igSubstInp-def asIMOD-igSubst lift-def split: option.splits)

lemma asIMOD-igWlsBinp:
assumes sWlsNE SEM
shows
igWlsBinp (asIMOD SEM) delta binp =
((∀ val. liftAll (λX. sWlsVal SEM val ∨ X val = undefined) binp) ∧
 (∀ val. sWlsVal SEM val → sWlsBinp SEM delta (lift (λX. X val) binp)))
using assms apply safe
subgoal by (simp add: asIMOD-igWlsAbs liftAll-def liftAll2-def igWlsBinp-def
sameDom-def split: option.splits)
(metis option.distinct(1) option.exhaust surj-pair)
subgoal by (simp add: igWlsBinp-def asIMOD-igWlsAbs liftAll-def liftAll2-def
lift-def sWlsBinp-def sameDom-def split: option.splits)
subgoal by (simp add: igWlsBinp-def asIMOD-igWlsAbs liftAll-def liftAll2-def
lift-def sWlsBinp-def sameDom-def split: option.splits)
(metis (no-types) old.prod.exhaust option.distinct(1) option.exhaust
sWlsNE-imp-sWlsValNE sWlsValNE-def) .

```

```

lemma asIMOD-igSwapBinp:
sWlsVal SEM val ==>
lift (λA. A val) (igSwapBinp (asIMOD SEM) zs z1 z2 binp) =
lift (λA. A (swapVal zs z1 z2 val)) binp
by (auto simp: igSwapBinp-def asIMOD-igSwapAbs lift-def split: option.splits)

```

```

lemma asIMOD-igSubstBinp:
sWlsVal SEM val ==>
lift (λA. A val) (igSubstBinp (asIMOD SEM) ys Y y binp) =
lift (λA. A (val (y := Y val)-ys)) binp
by (auto simp: igSubstBinp-def asIMOD-igSubstAbs lift-def split: option.splits)

```

9.3.2 The associated model is well-structured

That is to say: it is a fresh-swap-subst and fresh-subst-swap model (hence of course also a fresh-swap and fresh-subst) model.

Domain disjointness:

```

lemma asIMOD-igWlsDisj:
sWlsNE SEM ==> sWlsDisj SEM ==> igWlsDisj (asIMOD SEM)
using sWlsNE-imp-sWlsValNE
by (fastforce simp: igWlsDisj-def asIMOD-igWls sWlsValNE-def sWlsDisj-def)

```

```

lemma asIMOD-igWlsAbsDisj:
sWlsNE SEM ==> sWlsDisj SEM ==> igWlsAbsDisj (asIMOD SEM)
using sWlsNE-imp-sWlsValNE sWlsDisj-imp-sWlsAbsDisj
by (fastforce simp: igWlsAbsDisj-def asIMOD-igWlsAbs sWlsAbsDisj-def sWlsValNE-def)

```

```

lemma asIMOD-igWlsAllDisj:
  sWlsNE SEM  $\implies$  sWlsDisj SEM  $\implies$  igWlsAllDisj (asIMOD SEM)
  unfolding igWlsAllDisj-def using asIMOD-igWlsDisj asIMOD-igWlsAbsDisj by
  auto

```

Only “bound arit” abstraction domains are inhabited:

```

lemma asIMOD-igWlsAbsIsInBar:
  sWlsNE SEM  $\implies$  igWlsAbsIsInBar (asIMOD SEM)
  using sWlsNE-imp-sWlsValNE
  by (auto simp: sWlsValNE-def igWlsAbsIsInBar-def asIMOD-igWlsAbs
       split: option.splits elim: sWlsAbs.elims(2))

```

Domain preservation by the operators

The constructs preserve the domains:

```

lemma asIMOD-igVarIPresIGWls: igVarIPresIGWls (asIMOD SEM)
  unfolding igVarIPresIGWls-def asIMOD-igWls asIMOD-igVar sWlsVal-def by
  simp

```

```

lemma asIMOD-igAbsIPresIGWls:
  sWlsDisj SEM  $\implies$  igAbsIPresIGWls (asIMOD SEM)
  unfolding igAbsIPresIGWls-def asIMOD-igWlsAbs apply clarify
  subgoal for - - - - val
  unfolding asIMOD-igAbs2 by (cases sWlsVal SEM val) (auto simp: asIMOD-igWls)
  .

```

```

lemma asIMOD-igOpIPresIGWls:
  sOpPrSWls SEM  $\implies$  sWlsNE SEM  $\implies$  igOpIPresIGWls (asIMOD SEM)
  using asIMOD-igWlsInp asIMOD-igWlsBinp
  by (fastforce simp: igOpIPresIGWls-def asIMOD-igWls asIMOD-igOp sOpPrSWls-def)

```

```

lemma asIMOD-igConsIPresIGWls:
  wlsSEM SEM  $\implies$  igConsIPresIGWls (asIMOD SEM)
  unfolding igConsIPresIGWls-def wlsSEM-def
  using asIMOD-igVarIPresIGWls asIMOD-igAbsIPresIGWls asIMOD-igOpIPresIGWls
  by auto

```

Swap preserves the domains:

```

lemma asIMOD-igSwapIPresIGWls: igSwapIPresIGWls (asIMOD SEM)
  unfolding igSwapIPresIGWls-def asIMOD-igSwap asIMOD-igWls by auto

```

```

lemma asIMOD-igSwapAbsIPresIGWlsAbs: igSwapAbsIPresIGWlsAbs (asIMOD SEM)
  unfolding igSwapAbsIPresIGWlsAbs-def asIMOD-igSwapAbs asIMOD-igWlsAbs by
  auto

```

```

lemma asIMOD-igSwapAllIPresIGWlsAll: igSwapAllIPresIGWlsAll (asIMOD SEM)
  unfolding igSwapAllIPresIGWlsAll-def
  using asIMOD-igSwapIPresIGWls asIMOD-igSwapAbsIPresIGWlsAbs by auto

```

Subst preserves the domains:

```

lemma asIMOD-igSubstIPresIGWls: igSubstIPresIGWls (asIMOD SEM)
unfolding igSubstIPresIGWls-def asIMOD-igSubst asIMOD-igWls by simp

lemma asIMOD-igSubstAbsIPresIGWlsAbs: igSubstAbsIPresIGWlsAbs (asIMOD SEM)
unfolding igSubstAbsIPresIGWlsAbs-def asIMOD-igSubstAbs asIMOD-igWls asIMOD-igWlsAbs by simp

lemma asIMOD-igSubstAllIPresIGWlsAll: igSubstAllIPresIGWlsAll (asIMOD SEM)
unfolding igSubstAllIPresIGWlsAll-def
using asIMOD-igSubstIPresIGWls asIMOD-igSubstAbsIPresIGWlsAbs by auto

```

The clauses for fresh hold:

```

lemma asIMOD-igFreshIGVar: igFreshIGVar (asIMOD SEM)
unfolding igFreshIGVar-def asIMOD-igFresh asIMOD-igVar eqBut-def by force

lemma asIMOD-igFreshIGAbs1:
sWlsDisj SEM  $\implies$  igFreshIGAbs1 (asIMOD SEM)
by(fastforce simp: igFreshIGAbs1-def asIMOD-igFresh asIMOD-igFreshAbs asIMOD-igAbs2
updVal-eqBut-eq)

lemma asIMOD-igFreshIGAbs2:
sWlsDisj SEM  $\implies$  igFreshIGAbs2 (asIMOD SEM)
by(fastforce simp: igFreshIGAbs2-def asIMOD-igFresh asIMOD-igFreshAbs asIMOD-igAbs2
updVal-preserves-eqBut)

lemma asIMOD-igFreshIGOOp:
fixes SEM :: ('index,'bindx,'varSort,'sort,'opSym,'sTerm)semDom
shows igFreshIGOOp (asIMOD SEM)
unfolding igFreshIGOOp-def proof clarify
  fix ys y delta and inp :: ('index, ('varSort,'var,'sTerm)gTerm)input
  and binp :: ('bindx, ('varSort,'var,'sTerm)gAbs)input
  assume inp-fresh: igFreshInp (asIMOD SEM) ys y inp
    igFreshBinp (asIMOD SEM) ys y binp
  show igFresh (asIMOD SEM) ys y (igOp (asIMOD SEM) delta inp binp)
  unfolding asIMOD-igFresh asIMOD-igOp proof safe
    fix val val'
    let ?sinp = lift ( $\lambda X. X$  val) inp let ?sinp' = lift ( $\lambda X. X$  val') inp
    let ?sbinp = lift ( $\lambda A. A$  val) binp let ?sbinp' = lift ( $\lambda A. A$  val') binp
    assume wls: sWlsVal SEM val sWlsVal SEM val' and eqBut val val' ys y
    hence ?sinp = ?sinp'  $\wedge$  ?sbinp = ?sbinp'
    using inp-fresh
    by (auto simp: lift-def igFreshInp-def igFreshBinp-def errMOD-def liftAll-def
      asIMOD-igFresh asIMOD-igFreshAbs split: option.splits)
    then show (if sWlsVal SEM val then sOp SEM delta (lift ( $\lambda X. X$  val) inp) (lift
      ( $\lambda A. A$  val) binp)
      else undefined) =
      (if sWlsVal SEM val' then sOp SEM delta (lift ( $\lambda X. X$  val') inp) (lift ( $\lambda A.$ 

```

```

A val') binp)
else undefined) using wls by auto
qed
qed

lemma asIMOD-igFreshCls:
assumes sWlsDisj SEM
shows igFreshCls (asIMOD SEM)
using assms unfolding igFreshCls-def
using asIMOD-igFreshIGVar asIMOD-igFreshIGAbs1 asIMOD-igFreshIGAbs2 asIMOD-igFreshIGOOp by auto

```

The clauses for swap hold:

```

lemma asIMOD-igSwapIGVar: igSwapIGVar (asIMOD SEM)
unfolding igSwapIGVar-def apply clarsimp apply(rule ext)
unfolding asIMOD-igSwap asIMOD-igVar apply clarsimp
unfolding swapVal-def by simp

```

```

lemma asIMOD-igSwapIGAbs: igSwapIGAbs (asIMOD SEM)
by (fastforce simp: igSwapIGAbs-def asIMOD-igSwap asIMOD-igSwapAbs asIMOD-igAbs
updVal-swapVal)

```

```

lemma asIMOD-igSwapIGOOp: igSwapIGOOp (asIMOD SEM)
by (auto simp: igSwapIGOOp-def asIMOD-igSwap asIMOD-igOp asIMOD-igSwapInp
asIMOD-igSwapBinp)

```

```

lemma asIMOD-igSwapCls: igSwapCls (asIMOD SEM)
unfolding igSwapCls-def using asIMOD-igSwapIGVar asIMOD-igSwapIGAbs asIMOD-igSwapIGOOp by auto

```

The clauses for subst hold:

```

lemma asIMOD-igSubstIGVar1: igSubstIGVar1 (asIMOD SEM)
by (auto simp: igSubstIGVar1-def asIMOD-igSubst asIMOD-igVar asIMOD-igWls)

```

```

lemma asIMOD-igSubstIGVar2: igSubstIGVar2 (asIMOD SEM)
by (fastforce simp: igSubstIGVar2-def asIMOD-igSubst asIMOD-igVar asIMOD-igWls)

```

```

lemma asIMOD-igSubstIGAbs: igSubstIGAbs (asIMOD SEM)
unfolding igSubstIGAbs-def proof(clarify, rule ext)
  fix ys y Y xs x s X val
  assume Y: igWls (asIMOD SEM) (asSort ys) Y
  and X: igWls (asIMOD SEM) s X and x-diff-y: xs ≠ ys ∨ x ≠ y
  and x-fresh-Y: igFresh (asIMOD SEM) xs x Y
  show igSubstAbs (asIMOD SEM) ys Y y (igAbs (asIMOD SEM) xs x X) val =
    igAbs (asIMOD SEM) xs x (igSubst (asIMOD SEM) ys Y y X) val
  proof(cases sWlsVal SEM val)
    case False
    thus ?thesis unfolding asIMOD-igSubst asIMOD-igSubstAbs asIMOD-igAbs
by simp

```

```

next
  case True
  hence Yval: sWls SEM (asSort ys) (Y val)
  using Y unfolding asIMOD-igWls by simp
  {fix sX assume sX: sWls SEM (asSort xs) sX
   let ?val-x = val (x := sX)-xs
   have sWlsVal SEM ?val-x using True sX by simp
   moreover have eqBut ?val-x val xs x
   unfolding eqBut-def updVal-def by simp
   ultimately have 1: Y ?val-x = Y val
   using True x-fresh-Y unfolding asIMOD-igFresh by simp
   let ?Left = X ((val (y := Y val)-ys) (x := sX)-xs)
   let ?Right = X (?val-x (y := Y ?val-x)-ys)
   have ?Left = X (?val-x (y := Y val)-ys)
   using x-diff-y by(auto simp add: updVal-commute)
   also have ... = ?Right using 1 by simp
   finally have ?Left = ?Right .
  }
  thus ?thesis using True Yval by(auto simp: asIMOD-igSubst asIMOD-igSubstAbs asIMOD-igAbs)
  qed
  qed

lemma asIMOD-igSubstIGOp: igSubstIGOp (asIMOD SEM)
unfolding igSubstIGOp-def proof(clarify,rule ext)
  fix ys y Y delta inp binp val
  assume Y: igWls (asIMOD SEM) (asSort ys) Y
  and inp: igWlsInp (asIMOD SEM) delta inp
  and binp: igWlsBinp (asIMOD SEM) delta binp
  define inpsb binpsb where
    inpsb-def: inpsb ≡ igSubstInp (asIMOD SEM) ys Y y inp
    binpsb ≡ igSubstBinp (asIMOD SEM) ys Y y binp
    note inpsb-rev = inpsb-def[symmetric]
    let ?sinpsb = lift (λX. X (val (y := Y val)-ys)) inp
    let ?sbinpsb = lift (λA. A (val (y := Y val)-ys)) binp
    show igSubst (asIMOD SEM) ys Y y (igOp (asIMOD SEM) delta inp binp) val
  =
    igOp (asIMOD SEM) delta (igSubstInp (asIMOD SEM) ys Y y inp)
    (igSubstBinp (asIMOD SEM) ys Y y binp) val
  unfolding inpsb-rev unfolding asIMOD-igSubst asIMOD-igOp unfolding inpsb-def

  apply(simp add: asIMOD-igSubstInp asIMOD-igSubstBinp)
  using Y unfolding asIMOD-def by auto
  qed

lemma asIMOD-igSubstCls: igSubstCls (asIMOD SEM)
unfolding igSubstCls-def
using asIMOD-igSubstIGVar1 asIMOD-igSubstIGVar2 asIMOD-igSubstIGAbs asIMOD-igSubstIGOp by auto

```

The fresh-swap-based congruence clause holds:

```
lemma updVal-swapVal-eqBut: eqBut (val (x := sX)-xs) ((val (y := sX)-xs) ⌢[y
 $\wedge x]-xs) xs y
by (simp add: updVal-def swapVal-def eqBut-def sw-def)$ 
```

```
lemma asIMOD-igAbsCongS: sWlsDisj SEM  $\implies$  igAbsCongS (asIMOD SEM)
unfolding igAbsCongS-def asIMOD-igFresh asIMOD-igSwap asIMOD-igAbs2
apply safe apply (simp add: asIMOD-igAbs2)
by (rule ext) (metis (opaque-lifting) updVal-swapVal-eqBut swapVal-preserves-sWls
updVal-preserves-sWls)
```

The abstraction-renaming clause holds:

```
lemma asIMOD-igAbs3:
assumes sWlsDisj SEM and igWls (asIMOD SEM) s X
shows
igAbs (asIMOD SEM) xs y (igSubst (asIMOD SEM) xs (igVar (asIMOD SEM)
xs y) x X) =
( $\lambda$ val. if sWlsVal SEM val
then sAbs xs ( $\lambda$ sX. if sWls SEM (asSort xs) sX
then (igSubst (asIMOD SEM) xs (igVar (asIMOD SEM)
xs y) x X) (val (y := sX)-xs)
else sDummy SEM s)
else undefined)
using assms asIMOD-igVarIPresIGWls asIMOD-igSubstIPresIGWls
unfolding igVarIPresIGWls-def igSubstIPresIGWls-def
by (fastforce intro!: asIMOD-igAbs2)
```

```
lemma asIMOD-igAbsRen:
sWlsDisj SEM  $\implies$  igAbsRen (asIMOD SEM)
unfolding igAbsRen-def asIMOD-igFresh asIMOD-igSwap apply safe
by (simp add: asIMOD-igAbs2 asIMOD-igAbs3)
(auto intro!: ext simp: asIMOD-igAbs2 asIMOD-igAbs3 eqBut-def asIMOD-igSubst
asIMOD-igVar)
```

The associated model forms well-structured models of all 4 kinds:

```
lemma asIMOD-wlsFSw:
assumes wlsSEM SEM
shows iwlsFSw (asIMOD SEM)
using assms unfolding wlsSEM-def iwlsFSw-def
using assms asIMOD-igWlsAllDisj asIMOD-igWlsAbsIsInBar
asIMOD-igConsIPresIGWls asIMOD-igSwapAllIPresIGWlsAll
asIMOD-igFreshCls asIMOD-igSwapCls asIMOD-igAbsCongS
by auto
```

```
lemma asIMOD-wlsFSb:
assumes wlsSEM SEM
shows iwlsFSb (asIMOD SEM)
using assms unfolding wlsSEM-def iwlsFSb-def
using assms asIMOD-igWlsAllDisj asIMOD-igWlsAbsIsInBar
```

```

asIMOD-igConsIPresIGWls[of SEM] asIMOD-igSubstAllIPresIGWlsAll
asIMOD-igFreshCls asIMOD-igSubstCls asIMOD-igAbsRen
by auto

lemma asIMOD-wlsFSwSb: wlsSEM SEM ==> iwlsFSwSb (asIMOD SEM)
unfolding iwlsFSwSb-def
using asIMOD-wlsFSw asIMOD-igSubstAllIPresIGWlsAll asIMOD-igSubstCls by
auto

lemma asIMOD-wlsFSbSw: wlsSEM SEM ==> iwlsFSbSw (asIMOD SEM)
unfolding iwlsFSbSw-def
using asIMOD-wlsFSb asIMOD-igSwapAllIPresIGWlsAll asIMOD-igSwapCls by
auto

```

9.4 The semantic interpretation

The well-definedness of the semantic interpretation, as well as its associated substitution lemma and non-dependence of fresh variables, are the end products of this theory.

Note that in order to establish these results either fresh-subst-swap or fresh-swap-subst algebras would do the job, and, moreover, if we did not care about swapping, fresh-subst algebras would do the job. Therefore, our exhaustive study of the model from previous section had a deegree of redundancy w.r.t. to our main iggoal – we pursued it however in order to better illustrate the rich structure laying under the apparent paucity of the notion of a semantic domain. Next, we choose to employ fresh-subst-swap algebras to establish the required results. (Recall however that either algebraic route we take, the initial morphism turns out to be the same function.)

```

definition semInt where semInt SEM ≡ iter (asIMOD SEM)

definition semIntAbs where semIntAbs SEM ≡ iterAbs (asIMOD SEM)

lemma semIntAll-termFSwSbImorph:
wlsSEM SEM ==>
termFSwSbImorph (semInt SEM) (semIntAbs SEM) (asIMOD SEM)
unfolding semInt-def semInt-def semIntAbs-def
using asIMOD-wlsFSbSw iwlsFSbSw-iterAll-termFSwSbImorph by auto

lemma semInt-prWls:
wlsSEM SEM ==> prWls (semInt SEM) SEM
unfolding prWls-def using semIntAll-termFSwSbImorph
unfolding termFSwSbImorph-def termFSwImorph-def ipresWlsAll-def ipresWls-def
asIMOD-igWls by auto

lemma semIntAbs-prWlsAbs:
wlsSEM SEM ==> prWlsAbs (semIntAbs SEM) SEM
unfolding prWlsAbs-def using semIntAll-termFSwSbImorph

```

```

unfolding termFSwSbImorph-def termFSwImorph-def ipresWlsAll-def ipresWlsAbs-def
asIMOD-igWlsAbs by blast

lemma semIntAll-prWlsAll:
wlsSEM SEM  $\implies$  prWlsAll (semInt SEM) (semIntAbs SEM) SEM
unfolding prWlsAll-def by(simp add: semInt-prWls semIntAbs-prWlsAbs)

lemma semInt-prVar:
wlsSEM SEM  $\implies$  prVar (semInt SEM) SEM
using semIntAll-termFSwSbImorph
unfolding prVar-def termFSwSbImorph-def termFSwImorph-def ipresCons-def ipres-
Var-def asIMOD-igVar
by fastforce

lemma semIntAll-prAbs:
fixes SEM :: ('index,'bindx,'varSort,'sort,'opSym,'sTerm)semDom
assumes wlsSEM SEM
shows prAbs (semInt SEM) (semIntAbs SEM) SEM
proof-
{fix xs s x X and val :: ('varSort,'var,'sTerm)val
assume xs-s: isInBar (xs,s) and X: wls s X
and val: sWlsVal SEM val
let ?L = semIntAbs SEM (Abs xs x X)
let ?R =  $\lambda$  val. sAbs xs ( $\lambda$ sX. if sWls SEM (asSort xs) sX
then semInt SEM X (val (x := sX)-xs)
else sDummy SEM s)
have ?L = igAbs (asIMOD SEM) xs x (semInt SEM X)
using xs-s X assms semIntAll-termFSwSbImorph[of SEM]
unfolding termFSwSbImorph-def termFSwImorph-def ipresCons-def ipresAbs-def
by auto
moreover
{have prWls (semInt SEM) SEM using assms semInt-prWls by auto
hence 1: sWls SEM s (semInt SEM X val)
using val X unfolding prWls-def by simp
hence (SOME s. sWls SEM s (semInt SEM X val)) = s
using 1 assms unfolding wlsSEM-def sWlsDisj-def by auto
hence igAbs (asIMOD SEM) xs x (semInt SEM X) val = ?R val
unfolding asIMOD-igAbs using val by fastforce
}
ultimately have ?L val = ?R val by simp
}
thus ?thesis unfolding prAbs-def by auto
qed

lemma semIntAll-prOp:
assumes wlsSEM SEM
shows prOp (semInt SEM) (semIntAbs SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prOp-def termFSwSbImorph-def termFSwImorph-def ipresCons-def ipresOp-def

```

asIMOD-igOp lift-comp comp-def by fastforce

```

lemma semIntAll-prCons:
assumes wlsSEM SEM
shows prCons (semInt SEM) (semIntAbs SEM) SEM
using assms unfolding prCons-def by(simp add: semInt-prVar semIntAll-prAbs
semIntAll-prOp)

lemma semInt-prFresh:
assumes wlsSEM SEM
shows prFresh (semInt SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prFresh-def termFSwSbImorph-def termFSwImorph-def ipresFreshAll-def
ipresFresh-def
asIMOD-igFresh by fastforce

lemma semIntAbs-prFreshAbs:
assumes wlsSEM SEM
shows prFreshAbs (semIntAbs SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prFreshAbs-def termFSwSbImorph-def termFSwImorph-def ipresFreshAll-def
ipresFreshAbs-def
asIMOD-igFreshAbs by fastforce

lemma semIntAll-prFreshAll:
assumes wlsSEM SEM
shows prFreshAll (semInt SEM) (semIntAbs SEM) SEM
using assms unfolding prFreshAll-def by(simp add: semInt-prFresh semIntAbs-prFreshAbs)

lemma semInt-prSwap:
assumes wlsSEM SEM
shows prSwap (semInt SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prSwap-def termFSwSbImorph-def termFSwImorph-def ipresSwapAll-def
ipresSwap-def
asIMOD-igSwap by fastforce

lemma semIntAbs-prSwapAbs:
assumes wlsSEM SEM
shows prSwapAbs (semIntAbs SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prSwapAbs-def termFSwSbImorph-def termFSwImorph-def ipresSwapAll-def
ipresSwapAbs-def
asIMOD-igSwapAbs by fastforce

lemma semIntAll-prSwapAll:
assumes wlsSEM SEM
shows prSwapAll (semInt SEM) (semIntAbs SEM) SEM
using assms unfolding prSwapAll-def by(simp add: semInt-prSwap semIntAbs-prSwapAbs)

```

```

lemma semInt-prSubst:
assumes wlsSEM SEM
shows prSubst (semInt SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prSubst-def termFSwSbImorph-def termFSwImorph-def ipresSubstAll-def
ipresSubst-def
asIMOD-igSubst by fastforce

lemma semIntAbs-prSubstAbs:
assumes wlsSEM SEM
shows prSubstAbs (semInt SEM) (semIntAbs SEM) SEM
using assms semIntAll-termFSwSbImorph
unfolding prSubstAbs-def termFSwSbImorph-def termFSwImorph-def ipresSubstAll-def
ipresSubstAbs-def
asIMOD-igSubstAbs by fastforce

lemma semIntAll-prSubstAll:
assumes wlsSEM SEM
shows prSubstAll (semInt SEM) (semIntAbs SEM) SEM
using assms unfolding prSubstAll-def by(simp add: semInt-prSubst semIntAbs-prSubstAbs)

theorem semIntAll-compInt:
assumes wlsSEM SEM
shows compInt (semInt SEM) (semIntAbs SEM) SEM
using assms unfolding compInt-def
by(simp add: semIntAll-prWlsAll semIntAll-prCons
semIntAll-prFreshAll semIntAll-prSwapAll semIntAll-prSubstAll)

lemmas semDom-simps = updVal-simps swapVal-simps

end

end

```

10 General Recursion

```

theory Recursion imports Iteration
begin

```

The initiality theorems from the previous section support iteration principles. Next we extend the results to general recursion. The difference between general recursion and iteration is that the former also considers the (source) “items” (terms and abstractions), and not only the (target) generalized items, appear in the recursive clauses.

(Here is an example illustrating the above difference for the standard case of natural numbers:

- Given a number n, the operator “add-n” can be defined by iteration:

- “add-n 0 = n”,
- “add-n (Suc m) = Suc (add-n m)”.

Notice that, in right-hand side of the recursive clause, “m” is not used “directly”, but only via “add-n” – this makes the definition iterative. By contrast, the following definition of predecessor is trivial form of recursion (namely, case analysis), but is *not* iteration:

- “pred 0 = 0”,
- “pred (Suc n) = n”.)

We achieve our desired extension by augmenting the notion of model and then essentially inferring recursion (as customary) from [iteration having as target the product between the term model and the original model].

As a matter of notation: remember we are using for generalized items the same meta-variables as for “items” (terms and abstractions). But now the model operators will take both items and generalized items. We shall prime the meta-variables for items (as in X’, A’, etc).

10.1 Raw models

```
record ('index,'bindex,'varSort,'sort,'opSym,'var,'gTerm,'gAbs)model =
  gWls :: 'sort => 'gTerm => bool
  gWlsAbs :: 'varSort <math>\times</math> 'sort => 'gAbs => bool

  gVar :: 'varSort => 'var => 'gTerm
  gAbs :: 
    'varSort => 'var =>
      ('index,'bindex,'varSort,'var,'opSym)term => 'gTerm =>
        'gAbs
  gOp :: 
    'opSym =>
      ('index,('index,'bindex,'varSort,'var,'opSym)term)input => ('index,'gTerm)input
    =>
      ('bindex,('index,'bindex,'varSort,'var,'opSym)abs)input => ('bindex,'gAbs)input
    =>
      'gTerm

  gFresh :: 
    'varSort => 'var => ('index,'bindex,'varSort,'var,'opSym)term => 'gTerm => bool
  gFreshAbs :: 
    'varSort => 'var => ('index,'bindex,'varSort,'var,'opSym)abs => 'gAbs => bool

  gSwap :: 
    'varSort => 'var => 'var =>
      ('index,'bindex,'varSort,'var,'opSym)term => 'gTerm =>
        'gTerm
  gSwapAbs :: 
    'varSort => 'var => 'var =>
      ('index,'bindex,'varSort,'var,'opSym)abs => 'gAbs =>
```

```

'gAbs

gSubst :: 
'varSort ⇒
('index,'bindx,'varSort,'var,'opSym)term ⇒ 'gTerm ⇒
'var ⇒
('index,'bindx,'varSort,'var,'opSym)term ⇒ 'gTerm ⇒
'gTerm
gSubstAbs :: 
'varSort ⇒
('index,'bindx,'varSort,'var,'opSym)term ⇒ 'gTerm ⇒
'var ⇒
('index,'bindx,'varSort,'var,'opSym)abs ⇒ 'gAbs ⇒
'gAbs

```

10.2 Well-sorted models of various kinds

Lifting the model operations to inputs

definition *gFreshInp* **where**

gFreshInp MOD ys y inp' inp ≡ *liftAll2 (gFresh MOD ys y) inp' inp*

definition *gFreshBinp* **where**

gFreshBinp MOD ys y binp' binp ≡ *liftAll2 (gFreshAbs MOD ys y) binp' binp*

definition *gSwapInp* **where**

gSwapInp MOD zs z1 z2 inp' inp ≡ *lift2 (gSwap MOD zs z1 z2) inp' inp*

definition *gSwapBinp* **where**

gSwapBinp MOD zs z1 z2 binp' binp ≡ *lift2 (gSwapAbs MOD zs z1 z2) binp' binp*

definition *gSubstInp* **where**

gSubstInp MOD ys Y' Y y inp' inp ≡ *lift2 (gSubst MOD ys Y' Y y) inp' inp*

definition *gSubstBinp* **where**

gSubstBinp MOD ys Y' Y y binp' binp ≡ *lift2 (gSubstAbs MOD ys Y' Y y) binp' binp*

context *FixSyn*

begin

definition *gWlsInp* **where**

gWlsInp MOD delta inp ≡
wlsOpS delta ∧ sameDom (arOf delta) inp ∧ liftAll2 (gWls MOD) (arOf delta)
inp

lemmas *gWlsInp-defs* = *gWlsInp-def sameDom-def liftAll2-def*

definition *gWlsBinp* **where**

```

gWlsBinp MOD delta binp ≡
  wlsOpS delta ∧ sameDom (barOf delta) binp ∧ liftAll2 (gWlsAbs MOD) (barOf
delta) binp

```

lemmas $gWlsBinp\text{-defs} = gWlsBinp\text{-def } sameDom\text{-def } liftAll2\text{-def}$

Basic properties of the lifted model operations

. for free inputs:

```

lemma sameDom-swapInp-gSwapInp[simp]:
assumes wlsInp delta inp' and gWlsInp MOD delta inp
shows sameDom (swapInp zs z1 z2 inp') (gSwapInp MOD zs z1 z2 inp' inp)
using assms by(simp add: wlsInp-iff gWlsInp-def swapInp-def gSwapInp-def
liftAll2-def lift-def lift2-def sameDom-def split: option.splits)

```

```

lemma sameDom-substInp-gSubstInp[simp]:

```

```

assumes wlsInp delta inp' and gWlsInp MOD delta inp
shows sameDom (substInp ys Y' y inp') (gSubstInp MOD ys Y' Y y inp' inp)
using assms by(simp add: wlsInp-iff gWlsInp-def substInp-def2 gSubstInp-def
liftAll2-def lift-def lift2-def sameDom-def split: option.splits)

```

. for bound inputs:

```

lemma sameDom-swapBinp-gSwapBinp[simp]:
assumes wlsBinp delta binp' and gWlsBinp MOD delta binp
shows sameDom (swapBinp zs z1 z2 binp') (gSwapBinp MOD zs z1 z2 binp' binp)
using assms by(simp add: wlsBinp-iff gWlsBinp-def swapBinp-def gSwapBinp-def
liftAll2-def lift-def lift2-def sameDom-def split: option.splits)

```

```

lemma sameDom-substBinp-gSubstBinp[simp]:

```

```

assumes wlsBinp delta binp' and gWlsBinp MOD delta binp
shows sameDom (substBinp ys Y' y binp') (gSubstBinp MOD ys Y' Y y binp'
binp)
using assms by(simp add: wlsBinp-iff gWlsBinp-def substBinp-def2 gSubstBinp-def
liftAll2-def lift-def lift2-def sameDom-def split: option.splits)

```

```

lemmas sameDom-gInput-simps =
sameDom-swapInp-gSwapInp sameDom-substInp-gSubstInp
sameDom-swapBinp-gSwapBinp sameDom-substBinp-gSubstBinp

```

Domain disjointness:

```

definition gWlsDisj where
gWlsDisj MOD ≡ ∀ s s' X. gWls MOD s X ∧ gWls MOD s' X → s = s'

```

```

definition gWlsAbsDisj where

```

```

gWlsAbsDisj MOD ≡ ∀ xs s xs' s' A.
  isInBar (xs,s) ∧ isInBar (xs',s') ∧
  gWlsAbs MOD (xs,s) A ∧ gWlsAbs MOD (xs',s') A
  → xs = xs' ∧ s = s'

```

definition $gWlsAllDisj$ **where**
 $gWlsAllDisj MOD \equiv gWlsDisj MOD \wedge gWlsAbsDisj MOD$

lemmas $gWlsAllDisj\text{-}defs =$
 $gWlsAllDisj\text{-}def \ gWlsDisj\text{-}def \ gWlsAbsDisj\text{-}def$

Abstraction domains inhabited only within bound arities:

definition $gWlsAbsIsInBar$ **where**
 $gWlsAbsIsInBar MOD \equiv \forall us s A. gWlsAbs MOD (us,s) A \rightarrow isInBar (us,s)$

Domain preservation by the operators

The constructs preserve the domains:

definition $gVarPresGWls$ **where**
 $gVarPresGWls MOD \equiv \forall xs x. gWls MOD (asSort xs) (gVar MOD xs x)$

definition $gAbsPresGWls$ **where**
 $gAbsPresGWls MOD \equiv \forall xs s x X' X.$
 $isInBar (xs,s) \wedge wls s X' \wedge gWls MOD s X \rightarrow$
 $gWlsAbs MOD (xs,s) (gAbs MOD xs x X' X)$

definition $gOpPresGWls$ **where**
 $gOpPresGWls MOD \equiv \forall delta inp' inp binp' binp.$
 $wlsInp delta inp' \wedge gWlsInp MOD delta inp \wedge wlsBinp delta binp' \wedge gWlsBinp$
 $MOD delta binp$
 $\rightarrow gWls MOD (stOf delta) (gOp MOD delta inp' inp binp' binp)$

definition $gConsPresGWls$ **where**
 $gConsPresGWls MOD \equiv gVarPresGWls MOD \wedge gAbsPresGWls MOD \wedge gOpPres-$
 $GWls MOD$

lemmas $gConsPresGWls\text{-}defs = gConsPresGWls\text{-}def$
 $gVarPresGWls\text{-}def \ gAbsPresGWls\text{-}def \ gOpPresGWls\text{-}def$

“swap” preserves the domains:

definition $gSwapPresGWls$ **where**
 $gSwapPresGWls MOD \equiv \forall zs z1 z2 s X' X.$
 $wls s X' \wedge gWls MOD s X \rightarrow$
 $gWls MOD s (gSwap MOD zs z1 z2 X' X)$

definition $gSwapAbsPresGWlsAbs$ **where**
 $gSwapAbsPresGWlsAbs MOD \equiv \forall zs z1 z2 us s A' A.$
 $isInBar (us,s) \wedge wlsAbs (us,s) A' \wedge gWlsAbs MOD (us,s) A \rightarrow$
 $gWlsAbs MOD (us,s) (gSwapAbs MOD zs z1 z2 A' A)$

definition $gSwapAllPresGWlsAll$ **where**
 $gSwapAllPresGWlsAll MOD \equiv gSwapPresGWls MOD \wedge gSwapAbsPresGWlsAbs$
 MOD

lemmas $gSwapAllPresGWlsAll\text{-defs} = gSwapAllPresGWlsAll\text{-def } gSwapPresGWls\text{-def } gSwapAbsPresGWlsAbs\text{-def}$

“subst” preserves the domains:

definition $gSubstPresGWls$ **where**

$$\begin{aligned} gSubstPresGWls MOD &\equiv \forall ys Y' Y y s X' X. \\ wls(asSort ys) Y' \wedge gWls MOD(asSort ys) Y \wedge wls s X' \wedge gWls MOD s X \\ \longrightarrow & \\ gWls MOD s(gSubst MOD ys Y' Y y X' X) \end{aligned}$$

definition $gSubstAbsPresGWlsAbs$ **where**

$$\begin{aligned} gSubstAbsPresGWlsAbs MOD &\equiv \forall ys Y' Y y us s A' A. \\ isInBar(us,s) \wedge & \\ wls(asSort ys) Y' \wedge gWls MOD(asSort ys) Y \wedge wlsAbs(us,s) A' \wedge gWlsAbs & \\ MOD(us,s) A \longrightarrow & \\ gWlsAbs MOD(us,s)(gSubstAbs MOD ys Y' Y y A' A) \end{aligned}$$

definition $gSubstAllPresGWlsAll$ **where**

$$gSubstAllPresGWlsAll MOD \equiv gSubstPresGWls MOD \wedge gSubstAbsPresGWlsAbs MOD$$

lemmas $gSubstAllPresGWlsAll\text{-defs} = gSubstAllPresGWlsAll\text{-def } gSubstPresGWls\text{-def } gSubstAbsPresGWlsAbs\text{-def}$

Clauses for fresh:

definition $gFreshGVar$ **where**

$$\begin{aligned} gFreshGVar MOD &\equiv \forall ys y xs x. \\ (ys \neq xs \vee y \neq x) \longrightarrow & \\ gFresh MOD ys y (Var xs x) (gVar MOD xs x) \end{aligned}$$

definition $gFreshGAbs1$ **where**

$$\begin{aligned} gFreshGAbs1 MOD &\equiv \forall ys y s X' X. \\ isInBar(ys,s) \wedge wls s X' \wedge gWls MOD s X \longrightarrow & \\ gFreshAbs MOD ys y (Abs ys y X') (gAbs MOD ys y X' X) \end{aligned}$$

definition $gFreshGAbs2$ **where**

$$\begin{aligned} gFreshGAbs2 MOD &\equiv \forall ys y xs x s X' X. \\ isInBar(xs,s) \wedge wls s X' \wedge gWls MOD s X \longrightarrow & \\ fresh ys y X' \wedge gFresh MOD ys y X' X \longrightarrow & \\ gFreshAbs MOD ys y (Abs xs x X') (gAbs MOD xs x X' X) \end{aligned}$$

definition $gFreshGOp$ **where**

$$\begin{aligned} gFreshGOp MOD &\equiv \forall ys y delta inp' inp binp' binp. \\ wlsInp delta inp' \wedge gWlsInp MOD delta inp \wedge wlsBinp delta binp' \wedge gWlsBinp & \\ MOD delta binp \longrightarrow & \\ freshInp ys y inp' \wedge gFreshInp MOD ys y inp' inp \wedge & \\ freshBinp ys y binp' \wedge gFreshBinp MOD ys y binp' binp \longrightarrow & \\ gFresh MOD ys y (Op delta inp' binp') (gOp MOD delta inp' inp binp' binp) \end{aligned}$$

definition $gFreshCls$ **where**
 $gFreshCls MOD \equiv gFreshGVar MOD \wedge gFreshGAbs1 MOD \wedge gFreshGAbs2 MOD$
 $\wedge gFreshGOp MOD$

lemmas $gFreshCls\text{-defs} = gFreshCls\text{-def}$
 $gFreshGVar\text{-def } gFreshGAbs1\text{-def } gFreshGAbs2\text{-def } gFreshGOp\text{-def}$

definition $gSwapGVar$ **where**
 $gSwapGVar MOD \equiv \forall zs z1 z2 xs x.$
 $gSwap MOD zs z1 z2 (Var xs x) (gVar MOD xs x) =$
 $gVar MOD xs (x @xs[z1 \wedge z2]-zs)$

definition $gSwapGAbs$ **where**
 $gSwapGAbs MOD \equiv \forall zs z1 z2 xs x s X' X.$
 $isInBar (xs,s) \wedge wls s X' \wedge gWls MOD s X \rightarrow$
 $gSwapAbs MOD zs z1 z2 (Abs xs x X') (gAbs MOD xs x X' X) =$
 $gAbs MOD xs (x @xs[z1 \wedge z2]-zs) (X' #[z1 \wedge z2]-zs) (gSwap MOD zs z1 z2 X' X)$

definition $gSwapGOp$ **where**
 $gSwapGOp MOD \equiv \forall zs z1 z2 delta inp' inp binp' binp.$
 $wlsInp delta inp' \wedge gWlsInp MOD delta inp \wedge wlsBinp delta binp' \wedge gWlsBinp$
 $MOD delta binp \rightarrow$
 $gSwap MOD zs z1 z2 (Op delta inp' binp') (gOp MOD delta inp' inp binp' binp)$
 $=$
 $gOp MOD delta$
 $(inp' \%[z1 \wedge z2]-zs) (gSwapInp MOD zs z1 z2 inp' inp)$
 $(binp' \%%[z1 \wedge z2]-zs) (gSwapBinp MOD zs z1 z2 binp' binp)$

definition $gSwapCls$ **where**
 $gSwapCls MOD \equiv gSwapGVar MOD \wedge gSwapGAbs MOD \wedge gSwapGOp MOD$

lemmas $gSwapCls\text{-defs} = gSwapCls\text{-def}$
 $gSwapGVar\text{-def } gSwapGAbs\text{-def } gSwapGOp\text{-def}$

definition $gSubstGVar1$ **where**
 $gSubstGVar1 MOD \equiv \forall ys y Y' Y xs x.$
 $wls (asSort ys) Y' \wedge gWls MOD (asSort ys) Y \rightarrow$
 $(ys \neq xs \vee y \neq x) \rightarrow$
 $gSubst MOD ys Y y (Var xs x) (gVar MOD xs x) =$
 $gVar MOD xs x$

definition $gSubstGVar2$ **where**
 $gSubstGVar2 MOD \equiv \forall ys y Y' Y.$
 $wls (asSort ys) Y' \wedge gWls MOD (asSort ys) Y \rightarrow$

$gSubst MOD ys Y' Y y (Var ys y) (gVar MOD ys y) = Y$

definition $gSubstGAbs$ **where**

$gSubstGAbs MOD \equiv \forall ys y Y' Y xs x s X' X.$
 $isInBar (xs,s) \wedge$
 $wls (asSort ys) Y' \wedge gWls MOD (asSort ys) Y \wedge$
 $wls s X' \wedge gWls MOD s X \rightarrow$
 $(xs \neq ys \vee x \neq y) \wedge fresh xs x Y' \wedge gFresh MOD xs x Y' Y \rightarrow$
 $gSubstAbs MOD ys Y' Y y (Abs xs x X') (gAbs MOD xs x X' X) =$
 $gAbs MOD xs x (X' \#[Y' / y]-ys) (gSubst MOD ys Y' Y y X' X)$

definition $gSubstGOOp$ **where**

$gSubstGOOp MOD \equiv \forall ys y Y' Y delta inp' inp binp' binp.$
 $wls (asSort ys) Y' \wedge gWls MOD (asSort ys) Y \wedge$
 $wlsInp delta inp' \wedge gWlsInp MOD delta inp \wedge$
 $wlsBinp delta binp' \wedge gWlsBinp MOD delta binp \rightarrow$
 $gSubst MOD ys Y' Y y (Op delta inp' binp') (gOp MOD delta inp' inp binp'$
 $binp) =$
 $gOp MOD delta$
 $(inp' \%[Y' / y]-ys) (gSubstInp MOD ys Y' Y y inp' inp)$
 $(binp' \%%[Y' / y]-ys) (gSubstBinp MOD ys Y' Y y binp' binp)$

definition $gSubstCls$ **where**

$gSubstCls MOD \equiv gSubstGVar1 MOD \wedge gSubstGVar2 MOD \wedge gSubstGAbs MOD$
 $\wedge gSubstGOOp MOD$

lemmas $gSubstCls\text{-defs} = gSubstCls\text{-def}$

$gSubstGVar1\text{-def } gSubstGVar2\text{-def } gSubstGAbs\text{-def } gSubstGOOp\text{-def}$

definition $gAbsCongS$ **where**

$gAbsCongS MOD \equiv \forall xs x x2 y s X' X X2' X2.$
 $isInBar (xs,s) \wedge$
 $wls s X' \wedge gWls MOD s X \wedge$
 $wls s X2' \wedge gWls MOD s X2 \rightarrow$
 $fresh xs y X' \wedge gFresh MOD xs y X' X \wedge$
 $fresh xs y X2' \wedge gFresh MOD xs y X2' X2 \wedge$
 $(X' \#[y \wedge x]-xs) = (X2' \#[y \wedge x2]-xs) \rightarrow$
 $gSwap MOD xs y x X' X = gSwap MOD xs y x2 X2' X2 \rightarrow$
 $gAbs MOD xs x X' X = gAbs MOD xs x2 X2' X2$

definition $gAbsRen$ **where**

$$\begin{aligned}
gAbsRen MOD &\equiv \forall xs y x s X' X. \\
&isInBar(xs, s) \wedge wls s X' \wedge gWls MOD s X \longrightarrow \\
&fresh xs y X' \wedge gFresh MOD xs y X' X \longrightarrow \\
&gAbs MOD xs y (X' \#[y // x]-xs) (gSubst MOD xs (Var xs y) (gVar MOD xs \\
&y) x X' X) = \\
&gAbs MOD xs x X' X
\end{aligned}$$

Well-sorted fresh-swap models:

```

definition wlsFSw where
wlsFSw MOD  $\equiv$  gWlsAllDisj MOD  $\wedge$  gWlsAbsIsInBar MOD  $\wedge$ 
gConsPresGWls MOD  $\wedge$  gSwapAllPresGWlsAll MOD  $\wedge$ 
gFreshCls MOD  $\wedge$  gSwapCls MOD  $\wedge$  gAbsCongS MOD

lemmas wlsFSw-defs1 = wlsFSw-def
gWlsAllDisj-def gWlsAbsIsInBar-def
gConsPresGWls-def gSwapAllPresGWlsAll-def
gFreshCls-def gSwapCls-def gAbsCongS-def

lemmas wlsFSw-defs = wlsFSw-def
gWlsAllDisj-defs gWlsAbsIsInBar-def
gConsPresGWls-defs gSwapAllPresGWlsAll-defs
gFreshCls-defs gSwapCls-defs gAbsCongS-def

```

Well-sorted fresh-subst models:

```

definition wlsFSb where
wlsFSb MOD  $\equiv$  gWlsAllDisj MOD  $\wedge$  gWlsAbsIsInBar MOD  $\wedge$ 
gConsPresGWls MOD  $\wedge$  gSubstAllPresGWlsAll MOD  $\wedge$ 
gFreshCls MOD  $\wedge$  gSubstCls MOD  $\wedge$  gAbsRen MOD

lemmas wlsFSb-defs1 = wlsFSb-def
gWlsAllDisj-def gWlsAbsIsInBar-def
gConsPresGWls-def gSubstAllPresGWlsAll-def
gFreshCls-def gSubstCls-def gAbsRen-def

lemmas wlsFSb-defs = wlsFSb-def
gWlsAllDisj-defs gWlsAbsIsInBar-def
gConsPresGWls-defs gSubstAllPresGWlsAll-defs
gFreshCls-defs gSubstCls-defs gAbsRen-def

```

Well-sorted fresh-swap-subst-models

```

definition wlsFSwSb where
wlsFSwSb MOD  $\equiv$  wlsFSw MOD  $\wedge$  gSubstAllPresGWlsAll MOD  $\wedge$  gSubstCls MOD

lemmas wlsFSwSb-defs1 = wlsFSwSb-def
wlsFSw-def gSubstAllPresGWlsAll-def gSubstCls-def

lemmas wlsFSwSb-defs = wlsFSwSb-def
wlsFSw-def gSubstAllPresGWlsAll-defs gSubstCls-defs

```

Well-sorted fresh-subst-swap-models

definition *wlsFSbSw* **where**

wlsFSbSw MOD \equiv *wlsFSb MOD* \wedge *gSwapAllPresGWlsAll MOD* \wedge *gSwapCls MOD*

lemmas *wlsFSbSw-defs1* = *wlsFSbSw-def*
wlsFSw-def gSwapAllPresGWlsAll-def gSwapCls-def

lemmas *wlsFSbSw-defs* = *wlsFSbSw-def*
wlsFSw-def gSwapAllPresGWlsAll-defs gSwapCls-defs

Extension of domain preservation (by swap and subst) to inputs:

First for free inputs:

definition *gSwapInpPresGWlsInp* **where**
gSwapInpPresGWlsInp MOD \equiv \forall *zs z1 z2 delta inp' inp.*
wlsInp delta inp' \wedge gWlsInp MOD delta inp \longrightarrow
gWlsInp MOD delta (gSwapInp MOD zs z1 z2 inp' inp)

definition *gSubstInpPresGWlsInp* **where**
gSubstInpPresGWlsInp MOD \equiv \forall *ys y Y' Y delta inp' inp.*
wls (asSort ys) Y' \wedge gWls MOD (asSort ys) Y \wedge
wlsInp delta inp' \wedge gWlsInp MOD delta inp \longrightarrow
gWlsInp MOD delta (gSubstInp MOD ys Y' Y y inp' inp)

lemma *imp-gSwapInpPresGWlsInp*:
gSwapPresGWls MOD \implies gSwapInpPresGWlsInp MOD
by (auto simp: lift2-def liftAll2-def sameDom-def wlsInp-iff gWlsInp-def
gSwapPresGWls-def gSwapInpPresGWlsInp-def gSwapInp-def
split: option.splits)

lemma *imp-gSubstInpPresGWlsInp*:
gSubstPresGWls MOD \implies gSubstInpPresGWlsInp MOD
by (auto simp: lift2-def liftAll2-def sameDom-def wlsInp-iff gWlsInp-def
gSubstPresGWls-def gSubstInpPresGWlsInp-def gSubstInp-def
split: option.splits)

Then for bound inputs:

definition *gSwapBinpPresGWlsBinp* **where**
gSwapBinpPresGWlsBinp MOD \equiv \forall *zs z1 z2 delta binp' binp.*
wlsBinp delta binp' \wedge gWlsBinp MOD delta binp \longrightarrow
gWlsBinp MOD delta (gSwapBinp MOD zs z1 z2 binp' binp)

definition *gSubstBinpPresGWlsBinp* **where**
gSubstBinpPresGWlsBinp MOD \equiv \forall *ys y Y' Y delta binp' binp.*
wls (asSort ys) Y' \wedge gWls MOD (asSort ys) Y \wedge
wlsBinp delta binp' \wedge gWlsBinp MOD delta binp \longrightarrow
gWlsBinp MOD delta (gSubstBinp MOD ys Y' Y y binp' binp)

lemma *imp-gSwapBinpPresGWlsBinp*:

```

gSwapAbsPresGWlsAbs MOD ==> gSwapBinpPresGWlsBinp MOD
by (auto simp: lift2-def liftAll2-def sameDom-def wlsBinp-iff gWlsBinp-def
gSwapAbsPresGWlsAbs-def gSwapBinpPresGWlsBinp-def gSwapBinp-def
split: option.splits)

```

```

lemma imp-gSubstBinpPresGWlsBinp:
gSubstAbsPresGWlsAbs MOD ==> gSubstBinpPresGWlsBinp MOD
by (auto simp: lift2-def liftAll2-def sameDom-def wlsBinp-iff gWlsBinp-def
gSubstAbsPresGWlsAbs-def gSubstBinpPresGWlsBinp-def gSubstBinp-def
split: option.splits)

```

10.3 Model morphisms from the term model

definition presWls **where**

```
presWls h MOD ≡ ∀ s X. wls s X → gWls MOD s (h X)
```

definition presWlsAbs **where**

```
presWlsAbs hA MOD ≡ ∀ us s A. wlsAbs (us,s) A → gWlsAbs MOD (us,s) (hA A)
```

definition presWlsAll **where**

```
presWlsAll h hA MOD ≡ presWls h MOD ∧ presWlsAbs hA MOD
```

lemmas presWlsAll-defs = presWlsAll-def presWls-def presWlsAbs-def

definition presVar **where**

```
presVar h MOD ≡ ∀ xs x. h (Var xs x) = gVar MOD xs x
```

definition presAbs **where**

```
presAbs h hA MOD ≡ ∀ xs x s X.
isInBar (xs,s) ∧ wls s X →
h (Abs xs x X) = gAbs MOD xs x X (h X)
```

definition presOp **where**

```
presOp h hA MOD ≡ ∀ delta inp binp.
wlsInp delta inp ∧ wlsBinp delta binp →
h (Op delta inp binp) =
gOp MOD delta inp (lift h inp) binp (lift hA binp)
```

definition presCons **where**

```
presCons h hA MOD ≡ presVar h MOD ∧ presAbs h hA MOD ∧ presOp h hA MOD
```

lemmas presCons-defs = presCons-def
presVar-def presAbs-def presOp-def

definition presFresh **where**

```
presFresh h MOD ≡ ∀ ys y s X.
wls s X →
```

```

fresh ys y X  $\longrightarrow$  gFresh MOD ys y X (h X)

definition presFreshAbs where
presFreshAbs hA MOD  $\equiv$   $\forall$  ys y us s A.
wlsAbs (us,s) A  $\longrightarrow$ 
freshAbs ys y A  $\longrightarrow$  gFreshAbs MOD ys y A (hA A)

definition presFreshAll where
presFreshAll h hA MOD  $\equiv$  presFresh h MOD  $\wedge$  presFreshAbs hA MOD

lemmas presFreshAll-defs = presFreshAll-def
presFresh-def presFreshAbs-def

definition presSwap where
presSwap h MOD  $\equiv$   $\forall$  zs z1 z2 s X.
wls s X  $\longrightarrow$ 
h (X #[z1  $\wedge$  z2]-zs)  $=$  gSwap MOD zs z1 z2 X (h X)

definition presSwapAbs where
presSwapAbs hA MOD  $\equiv$   $\forall$  zs z1 z2 us s A.
wlsAbs (us,s) A  $\longrightarrow$ 
hA (A \$[z1  $\wedge$  z2]-zs)  $=$  gSwapAbs MOD zs z1 z2 A (hA A)

definition presSwapAll where
presSwapAll h hA MOD  $\equiv$  presSwap h MOD  $\wedge$  presSwapAbs hA MOD

lemmas presSwapAll-defs = presSwapAll-def
presSwap-def presSwapAbs-def

definition presSubst where
presSubst h MOD  $\equiv$   $\forall$  ys Y y s X.
wls (asSort ys) Y  $\wedge$  wls s X  $\longrightarrow$ 
h (subst ys Y y X)  $=$  gSubst MOD ys Y (h Y) y X (h X)

definition presSubstAbs where
presSubstAbs h hA MOD  $\equiv$   $\forall$  ys Y y us s A.
wls (asSort ys) Y  $\wedge$  wlsAbs (us,s) A  $\longrightarrow$ 
hA (A \$[Y / y]-ys)  $=$  gSubstAbs MOD ys Y (h Y) y A (hA A)

definition presSubstAll where
presSubstAll h hA MOD  $\equiv$  presSubst h MOD  $\wedge$  presSubstAbs h hA MOD

lemmas presSubstAll-defs = presSubstAll-def
presSubst-def presSubstAbs-def

definition termFSwMorph where
termFSwMorph h hA MOD  $\equiv$  presWlsAll h hA MOD  $\wedge$  presCons h hA MOD  $\wedge$ 
presFreshAll h hA MOD  $\wedge$  presSwapAll h hA MOD

```

```

lemmas termFSwMorph-defs1 = termFSwMorph-def
presWlsAll-def presCons-def presFreshAll-def presSwapAll-def

lemmas termFSwMorph-defs = termFSwMorph-def
presWlsAll-defs presCons-defs presFreshAll-defs presSwapAll-defs

definition termFSbMorph where
termFSbMorph h hA MOD ≡ presWlsAll h hA MOD ∧ presCons h hA MOD ∧
presFreshAll h hA MOD ∧ presSubstAll h hA MOD

lemmas termFSbMorph-defs1 = termFSbMorph-def
presWlsAll-def presCons-def presFreshAll-def presSubstAll-def

lemmas termFSbMorph-defs = termFSbMorph-def
presWlsAll-defs presCons-defs presFreshAll-defs presSubstAll-defs

definition termFSwSbMorph where
termFSwSbMorph h hA MOD ≡ termFSwMorph h hA MOD ∧ presSubstAll h hA
MOD

lemmas termFSwSbMorph-defs1 = termFSwSbMorph-def
termFSwMorph-def presSubstAll-def

lemmas termFSwSbMorph-defs = termFSwSbMorph-def
termFSwMorph-defs presSubstAll-defs

Extension of domain preservation (by the morphisms) to inputs
. for free inputs:
lemma presWls-wlsInp:
wlsInp delta inp ==> presWls h MOD ==> gWlsInp MOD delta (lift h inp)
by(auto simp: wlsInp-iff gWlsInp-def lift-def liftAll2-def sameDom-def
presWls-def split: option.splits)

. for bound inputs:
lemma presWls-wlsBinp:
wlsBinp delta binp ==> presWlsAbs hA MOD ==> gWlsBinp MOD delta (lift hA
binp)
by(auto simp: wlsBinp-iff gWlsBinp-def lift-def liftAll2-def sameDom-def
presWlsAbs-def split: option.splits)

```

10.4 From models to iterative models

The transition map:

```

definition fromMOD :: ('index,'bindx,'varSort,'sort,'opSym,'var,'gTerm,'gAbs) model
=> ('index,'bindx,'varSort,'sort,'opSym,'var,

```

```

('index,'bindex,'varSort,'var,'opSym)term × 'gTerm,
('index,'bindex,'varSort,'var,'opSym)abs × 'gAbs) Iteration.model
where
fromMOD MOD ≡
()
igWls = λs X'X. wls s (fst X'X) ∧ gWls MOD s (snd X'X),
igWlsAbs = λus-s A'A. wlsAbs us-s (fst A'A) ∧ gWlsAbs MOD us-s (snd A'A),

igVar = λxs x. (Var xs x, gVar MOD xs x),
igAbs = λxs x X'X. (Abs xs x (fst X'X), gAbs MOD xs x (fst X'X) (snd X'X)),
igOp =
λdelta iinp biinp.
(Op delta (lift fst iinp) (lift fst biinp),
gOp MOD delta
(lift fst iinp) (lift snd iinp)
(lift fst biinp) (lift snd biinp)),

igFresh =
λys y X'X. fresh ys y (fst X'X) ∧ gFresh MOD ys y (fst X'X) (snd X'X),
igFreshAbs =
λys y A'A. freshAbs ys y (fst A'A) ∧ gFreshAbs MOD ys y (fst A'A) (snd A'A),

igSwap =
λzs z1 z2 X'X. ((fst X'X) #[z1 ∧ z2]-zs, gSwap MOD zs z1 z2 (fst X'X) (snd X'X)),
igSwapAbs =
λzs z1 z2 A'A. ((fst A'A) ${z1 ∧ z2}-zs, gSwapAbs MOD zs z1 z2 (fst A'A) (snd A'A)),

igSubst =
λys Y'Y y X'X.
((fst X'X) #[(fst Y'Y) / y]-ys,
gSubst MOD ys (fst Y'Y) (snd Y'Y) y (fst X'X) (snd X'X)),
igSubstAbs =
λys Y'Y y A'A.
((fst A'A) ${[(fst Y'Y) / y]-ys},
gSubstAbs MOD ys (fst Y'Y) (snd Y'Y) y (fst A'A) (snd A'A))
()
```

Basic simplification rules:

```

lemma fromMOD-basic-simps[simp]:
igWls (fromMOD MOD) s X'X =
(wls s (fst X'X) ∧ gWls MOD s (snd X'X))

igWlsAbs (fromMOD MOD) us-s A'A =
(wlsAbs us-s (fst A'A) ∧ gWlsAbs MOD us-s (snd A'A))

igVar (fromMOD MOD) xs x = (Var xs x, gVar MOD xs x)
```

igAbs (*fromMOD MOD*) $xs\ x\ X'X = (\text{Abs}\ xs\ x\ (\text{fst}\ X'X), \text{gAbs}\ MOD\ xs\ x\ (\text{fst}\ X'X)\ (\text{snd}\ X'X))$

igOp (*fromMOD MOD*) $\delta\ iinp\ biinp =$
 $(\text{Op}\ \delta\ (\text{lift}\ \text{fst}\ iinp)\ (\text{lift}\ \text{fst}\ biinp),$
 $\text{gOp}\ MOD\ \delta$
 $(\text{lift}\ \text{fst}\ iinp)\ (\text{lift}\ \text{snd}\ iinp)$
 $(\text{lift}\ \text{fst}\ biinp)\ (\text{lift}\ \text{snd}\ biinp))$

igFresh (*fromMOD MOD*) $ys\ y\ X'X =$
 $(\text{fresh}\ ys\ y\ (\text{fst}\ X'X) \wedge \text{gFresh}\ MOD\ ys\ y\ (\text{fst}\ X'X)\ (\text{snd}\ X'X))$

igFreshAbs (*fromMOD MOD*) $ys\ y\ A'A =$
 $(\text{freshAbs}\ ys\ y\ (\text{fst}\ A'A) \wedge \text{gFreshAbs}\ MOD\ ys\ y\ (\text{fst}\ A'A)\ (\text{snd}\ A'A))$

igSwap (*fromMOD MOD*) $zs\ z1\ z2\ X'X =$
 $((\text{fst}\ X'X)\ #[\z1 \wedge \z2]-\text{zs}, \text{gSwap}\ MOD\ zs\ z1\ z2\ (\text{fst}\ X'X)\ (\text{snd}\ X'X))$

igSwapAbs (*fromMOD MOD*) $zs\ z1\ z2\ A'A =$
 $((\text{fst}\ A'A)\ $[\z1 \wedge \z2]-\text{zs}, \text{gSwapAbs}\ MOD\ zs\ z1\ z2\ (\text{fst}\ A'A)\ (\text{snd}\ A'A))$

igSubst (*fromMOD MOD*) $ys\ Y'Y\ y\ X'X =$
 $((\text{fst}\ X'X)\ #[(\text{fst}\ Y'Y)\ / y]-\text{ys},$
 $\text{gSubst}\ MOD\ ys\ (\text{fst}\ Y'Y)\ (\text{snd}\ Y'Y)\ y\ (\text{fst}\ X'X)\ (\text{snd}\ X'X))$

igSubstAbs (*fromMOD MOD*) $ys\ Y'Y\ y\ A'A =$
 $((\text{fst}\ A'A)\ $[(\text{fst}\ Y'Y)\ / y]-\text{ys},$
 $\text{gSubstAbs}\ MOD\ ys\ (\text{fst}\ Y'Y)\ (\text{snd}\ Y'Y)\ y\ (\text{fst}\ A'A)\ (\text{snd}\ A'A))$
unfolding *fromMOD-def* **by** *auto*

Simps for inputs

. for free inputs:

lemma *igWlsInp-fromMOD[simp]*:
igWlsInp (*fromMOD MOD*) $\delta\ iinp \longleftrightarrow$
 $wlsInp\ \delta\ (\text{lift}\ \text{fst}\ iinp) \wedge \text{gWlsInp}\ MOD\ \delta\ (\text{lift}\ \text{snd}\ iinp)$
apply (*intro iffI*)
subgoal apply (*simp add: liftAll2-def lift-def sameDom-def*
 $\text{igWlsInp-def}\ wlsInp\text{-iff}\ gWlsInp\text{-def}\ \text{split: option.splits}$) .
subgoal
unfolding *liftAll2-def lift-def sameDom-def*
 $\text{igWlsInp-def}\ wlsInp\text{-iff}\ gWlsInp\text{-def}$
by *simp (metis (no-types, lifting) eq-snd-iff fstI option.case-eq-if*
 $\text{option.distinct(1)}\ \text{option.simps(5)}$) .

lemma *igFreshInp-fromMOD[simp]*:
igFreshInp (*fromMOD MOD*) $ys\ y\ iinp \longleftrightarrow$
 $\text{freshInp}\ ys\ y\ (\text{lift}\ \text{fst}\ iinp) \wedge \text{gFreshInp}\ MOD\ ys\ y\ (\text{lift}\ \text{fst}\ iinp)\ (\text{lift}\ \text{snd}\ iinp)$
by (*auto simp: igFreshInp-def gFreshInp-def freshInp-def*
 $\text{liftAll2-def}\ \text{liftAll-def}\ \text{lift-def}\ \text{split: option.splits}$)

```

lemma igSwapInp-fromMOD[simp]:
igSwapInp (fromMOD MOD) zs z1 z2 iinp =
lift2 Pair
(swapInp zs z1 z2 (lift fst iinp))
(gSwapInp MOD zs z1 z2 (lift fst iinp) (lift snd iinp))
by(auto simp: igSwapInp-def swapInp-def gSwapInp-def lift-def lift2-def
split: option.splits)

lemma igSubstInp-fromMOD[simp]:
igSubstInp (fromMOD MOD) ys Y'Y y iinp =
lift2 Pair
(substInp ys (fst Y'Y) y (lift fst iinp))
(gSubstInp MOD ys (fst Y'Y) (snd Y'Y) y (lift fst iinp) (lift snd iinp))
by(auto simp: igSubstInp-def substInp-def2 gSubstInp-def lift-def lift2-def
split: option.splits)

lemmas input-fromMOD-simps =
igWlsInp-fromMOD igFreshInp-fromMOD igSwapInp-fromMOD igSubstInp-fromMOD

. for bound inputs:

lemma igWlsBinp-fromMOD[simp]:
igWlsBinp (fromMOD MOD) delta biinp  $\longleftrightarrow$ 
(wlsBinp delta (lift fst biinp)  $\wedge$  gWlsBinp MOD delta (lift snd biinp))
apply (intro iffI)
subgoal apply(simp add: liftAll2-def lift-def sameDom-def
igWlsBinp-def wlsBinp-iff gWlsBinp-def split: option.splits) .
subgoal
unfolding liftAll2-def lift-def sameDom-def
igWlsBinp-def wlsBinp-iff gWlsBinp-def
by simp (metis (no-types, lifting) eq-snd-iff fstI option.case-eq-if
option.distinct(1) option.simps(5)) .

lemma igFreshBinp-fromMOD[simp]:
igFreshBinp (fromMOD MOD) ys y biinp  $\longleftrightarrow$ 
(freshBinp ys y (lift fst biinp)  $\wedge$ 
gFreshBinp MOD ys y (lift fst biinp) (lift snd biinp))
by (auto simp: igFreshBinp-def gFreshBinp-def freshBinp-def
liftAll2-def liftAll-def lift-def split: option.splits)

lemma igSwapBinp-fromMOD[simp]:
igSwapBinp (fromMOD MOD) zs z1 z2 biinp =
lift2 Pair
(swapBinp zs z1 z2 (lift fst biinp))
(gSwapBinp MOD zs z1 z2 (lift fst biinp) (lift snd biinp))
by(auto simp: igSwapBinp-def swapBinp-def gSwapBinp-def lift-def lift2-def
split: option.splits)

lemma igSubstBinp-fromMOD[simp];

```

```


$$\begin{aligned} igSubstBinp \ (\text{fromMOD } MOD) \ ys \ Y'Y \ y \ biinp = \\ lift2 \ Pair \\ (\text{substBinp } ys \ (\text{fst } Y'Y) \ y \ (\text{lift fst } biinp)) \\ (gSubstBinp \ MOD \ ys \ (\text{fst } Y'Y) \ (\text{snd } Y'Y) \ y \ (\text{lift fst } biinp) \ (\text{lift snd } biinp)) \\ \text{by (auto simp: } igSubstBinp\text{-def substBinp\text{-def2 } gSubstBinp\text{-def lift\text{-def lift2\text{-def}}}} \\ \text{split: option.splits}) \end{aligned}$$


```

```

lemmas binput-fromMOD-simps =

$$igWlsBinp\text{-fromMOD } igFreshBinp\text{-fromMOD } igSwapBinp\text{-fromMOD } igSubstBinp\text{-fromMOD}$$


```

Domain disjointness:

```

lemma igWlsDisj-fromMOD[simp]:

$$gWlsDisj \ MOD \implies igWlsDisj \ (\text{fromMOD } MOD)$$

unfolding igWlsDisj-def gWlsDisj-def by auto

```

```

lemma igWlsAbsDisj-fromMOD[simp]:

$$gWlsAbsDisj \ MOD \implies igWlsAbsDisj \ (\text{fromMOD } MOD)$$

unfolding igWlsAbsDisj-def gWlsAbsDisj-def by fastforce

```

```

lemma igWlsAllDisj-fromMOD[simp]:

$$gWlsAllDisj \ MOD \implies igWlsAllDisj \ (\text{fromMOD } MOD)$$

unfolding igWlsAllDisj-def gWlsAllDisj-def by fastforce

```

```

lemmas igWlsAllDisj-fromMOD-simps =

$$igWlsDisj\text{-fromMOD } igWlsAbsDisj\text{-fromMOD } igWlsAllDisj\text{-fromMOD}$$


```

Abstractions only within IsInBar:

```

lemma igWlsAbsIsInBar-fromMOD[simp]:

$$gWlsAbsIsInBar \ MOD \implies igWlsAbsIsInBar \ (\text{fromMOD } MOD)$$

unfolding gWlsAbsIsInBar-def igWlsAbsIsInBar-def by simp

```

The constructs preserve the domains:

```

lemma igVarIPresIGWls-fromMOD[simp]:

$$gVarPresGWls \ MOD \implies igVarIPresIGWls \ (\text{fromMOD } MOD)$$

unfolding igVarIPresIGWls-def gVarPresGWls-def by simp

```

```

lemma igAbsIPresIGWls-fromMOD[simp]:

$$gAbsPresGWls \ MOD \implies igAbsIPresIGWls \ (\text{fromMOD } MOD)$$

unfolding igAbsIPresIGWls-def gAbsPresGWls-def by simp

```

```

lemma igOpIPresIGWls-fromMOD[simp]:

$$gOpPresGWls \ MOD \implies igOpIPresIGWls \ (\text{fromMOD } MOD)$$

unfolding igOpIPresIGWls-def gOpPresGWls-def by simp

```

```

lemma igConsIPresIGWls-fromMOD[simp]:

$$gConsPresGWls \ MOD \implies igConsIPresIGWls \ (\text{fromMOD } MOD)$$

unfolding igConsIPresIGWls-def gConsPresGWls-def by simp

```

```

lemmas igConsIPresIGWls-fromMOD-simps =

```

igVarIPresIGWls-fromMOD *igAbsIPresIGWls-fromMOD*
igOpIPresIGWls-fromMOD *igConsIPresIGWls-fromMOD*

Swap preserves the domains:

lemma *igSwapIPresIGWls-fromMOD*[*simp*]:
gSwapPresGWls MOD \implies *igSwapIPresIGWls (fromMOD MOD)*
unfolding *igSwapIPresIGWls-def* *gSwapPresGWls-def* **by** *simp*

lemma *igSwapAbsIPresIGWlsAbs-fromMOD*[*simp*]:
gSwapAbsPresGWlsAbs MOD \implies *igSwapAbsIPresIGWlsAbs (fromMOD MOD)*
unfolding *igSwapAbsIPresIGWlsAbs-def* *gSwapAbsPresGWlsAbs-def* **by** *simp*

lemma *igSwapAllIPresIGWlsAll-fromMOD*[*simp*]:
gSwapAllPresGWlsAll MOD \implies *igSwapAllIPresIGWlsAll (fromMOD MOD)*
unfolding *igSwapAllIPresIGWlsAll-def* *gSwapAllPresGWlsAll-def* **by** *simp*

lemmas *igSwapAllIPresIGWlsAll-fromMOD-simps* =
igSwapIPresIGWls-fromMOD *igSwapAbsIPresIGWlsAbs-fromMOD* *igSwapAllIPresIGWlsAll-fromMOD*

Subst preserves the domains:

lemma *igSubstIPresIGWls-fromMOD*[*simp*]:
gSubstPresGWls MOD \implies *igSubstIPresIGWls (fromMOD MOD)*
unfolding *igSubstIPresIGWls-def* *gSubstPresGWls-def* **by** *simp*

lemma *igSubstAbsIPresIGWlsAbs-fromMOD*[*simp*]:
gSubstAbsPresGWlsAbs MOD \implies *igSubstAbsIPresIGWlsAbs (fromMOD MOD)*
unfolding *igSubstAbsIPresIGWlsAbs-def* *gSubstAbsPresGWlsAbs-def* **by** *simp*

lemma *igSubstAllIPresIGWlsAll-fromMOD*[*simp*]:
gSubstAllPresGWlsAll MOD \implies *igSubstAllIPresIGWlsAll (fromMOD MOD)*
unfolding *igSubstAllIPresIGWlsAll-def* *gSubstAllPresGWlsAll-def* **by** *simp*

lemmas *igSubstAllIPresIGWlsAll-fromMOD-simps* =
igSubstIPresIGWls-fromMOD *igSubstAbsIPresIGWlsAbs-fromMOD* *igSubstAllIPresIGWlsAll-fromMOD*

The fresh clauses:

lemma *igFreshIGVar-fromMOD*[*simp*]:
gFreshGVar MOD \implies *igFreshIGVar (fromMOD MOD)*
unfolding *igFreshIGVar-def* *gFreshGVar-def* **by** *simp*

lemma *igFreshIGAbs1-fromMOD*[*simp*]:
gFreshGAbs1 MOD \implies *igFreshIGAbs1 (fromMOD MOD)*
unfolding *igFreshIGAbs1-def* *gFreshGAbs1-def* **by** *auto*

lemma *igFreshIGAbs2-fromMOD*[*simp*]:
gFreshGAbs2 MOD \implies *igFreshIGAbs2 (fromMOD MOD)*
unfolding *igFreshIGAbs2-def* *gFreshGAbs2-def* **by** *auto*

```

lemma igFreshIGOOp-fromMOD[simp]:
gFreshGOOp MOD  $\implies$  igFreshIGOOp (fromMOD MOD)
unfolding igFreshIGOOp-def gFreshGOOp-def by simp

lemma igFreshCls-fromMOD[simp]:
gFreshCls MOD  $\implies$  igFreshCls (fromMOD MOD)
unfolding igFreshCls-def gFreshCls-def by simp

lemmas igFreshCls-fromMOD-simps =
igFreshIGVar-fromMOD igFreshIGAbs1-fromMOD igFreshIGAbs2-fromMOD
igFreshIGOOp-fromMOD igFreshCls-fromMOD

```

The swap clauses

```

lemma igSwapIGVar-fromMOD[simp]:
gSwapGVar MOD  $\implies$  igSwapIGVar (fromMOD MOD)
unfolding igSwapIGVar-def gSwapGVar-def by simp

lemma igSwapIGAbs-fromMOD[simp]:
gSwapGabs MOD  $\implies$  igSwapIGAbs (fromMOD MOD)
unfolding igSwapIGAbs-def gSwapGabs-def by auto

lemma igSwapIGOOp-fromMOD[simp]:
gSwapGOOp MOD  $\implies$  igSwapIGOOp (fromMOD MOD)
by (auto simp: igSwapIGOOp-def gSwapGOOp-def lift-lift2)

lemma igSwapCls-fromMOD[simp]:
gSwapCls MOD  $\implies$  igSwapCls (fromMOD MOD)
unfolding igSwapCls-def gSwapCls-def by simp

lemmas igSwapCls-fromMOD-simps =
igSwapIGVar-fromMOD igSwapIGAbs-fromMOD
igSwapIGOOp-fromMOD igSwapCls-fromMOD

```

The subst clauses

```

lemma igSubstIGVar1-fromMOD[simp]:
gSubstGVar1 MOD  $\implies$  igSubstIGVar1 (fromMOD MOD)
unfolding igSubstIGVar1-def gSubstGVar1-def by simp

lemma igSubstIGVar2-fromMOD[simp]:
gSubstGVar2 MOD  $\implies$  igSubstIGVar2 (fromMOD MOD)
unfolding igSubstIGVar2-def gSubstGVar2-def by simp

lemma igSubstIGAbs-fromMOD[simp]:
gSubstGabs MOD  $\implies$  igSubstIGAbs (fromMOD MOD)
unfolding igSubstIGAbs-def gSubstGabs-def by fastforce+

lemma igSubstIGOOp-fromMOD[simp]:
gSubstGOOp MOD  $\implies$  igSubstIGOOp (fromMOD MOD)

```

```

by(auto simp: igSubstIGOp-def gSubstGOp-def lift-lift2)

lemma igSubstCls-fromMOD[simp]:
gSubstCls MOD ==> igSubstCls (fromMOD MOD)
unfolding igSubstCls-def gSubstCls-def by simp

lemmas igSubstCls-fromMOD-simps =
igSubstIGVar1-fromMOD igSubstIGVar2-fromMOD igSubstIGAbs-fromMOD
igSubstIGOp-fromMOD igSubstCls-fromMOD

```

Abstraction swapping congruence:

```

lemma igAbsCongS-fromMOD[simp]:
assumes gAbsCongS MOD
shows igAbsCongS (fromMOD MOD)
using assms
unfolding igAbsCongS-def gAbsCongS-def
apply simp
apply clarify
by (intro conjI, erule wls-Abs-swap-cong) blast+

```

Abstraction renaming:

```

lemma igAbsRen-fromMOD[simp]:
gAbsRen MOD ==> igAbsRen (fromMOD MOD)
unfolding igAbsRen-def gAbsRen-def vsubst-def by auto

```

Models:

```

lemma iwlsFSw-fromMOD[simp]:
wlsFSw MOD ==> iwlsFSw (fromMOD MOD)
unfolding iwlsFSw-def wlsFSw-def by simp

lemma iwlsFSb-fromMOD[simp]:
wlsFSb MOD ==> iwlsFSb (fromMOD MOD)
unfolding iwlsFSb-def wlsFSb-def by simp

lemma iwlsFSwSb-fromMOD[simp]:
wlsFSwSb MOD ==> iwlsFSwSb (fromMOD MOD)
unfolding iwlsFSwSb-def wlsFSwSb-def by simp

lemma iwlsFSbSw-fromMOD[simp]:
wlsFSbSw MOD ==> iwlsFSbSw (fromMOD MOD)
unfolding iwlsFSbSw-def wlsFSbSw-def by simp

lemmas iwlsModel-fromMOD-simps =
iwlsFSw-fromMOD iwlsFSb-fromMOD
iwlsFSwSb-fromMOD iwlsFSbSw-fromMOD

```

```

lemmas fromMOD-predicate-simps =
igWlsAllDisj-fromMOD-simps

```

```

igConsIPresIGWls-fromMOD-simps
igSwapAllIPresIGWlsAll-fromMOD-simps
igSubstAllIPresIGWlsAll-fromMOD-simps
igFreshCls-fromMOD-simps
igSwapCls-fromMOD-simps
igSubstCls-fromMOD-simps
igAbsCongS-fromMOD
igAbsRen-fromMOD
iwlModel-fromMOD-simps

```

```

lemmas fromMOD-simps =
fromMOD-basic-simps
input-fromMOD-simps
binput-fromMOD-simps
fromMOD-predicate-simps

```

10.5 The recursion-iteration “identity trick”

Here we show that any construct-preserving map from terms to “fromMOD MOD” is the identity on its first projection – this is the main trick when reducing recursion to iteration.

```

lemma ipresCons-fromMOD-fst:
assumes ipresCons h hA (fromMOD MOD)
shows (wls s X —> fst (h X) = X) ∧ (wlsAbs (us,s') A —> fst (hA A) = A)
proof(induction rule: wls-rawInduct)
next
  case (Op delta inp binp)
  hence lift (fst ∘ h) inp = inp ∧ lift (fst ∘ hA) binp = binp
  by (simp add: lift-def fun-eq-iff liftAll2-def
    wlsInp-iff wlsBinp-iff sameDom-def split: option.splits)
  (metis not-Some-eq old.prod.exhaust)
  then show ?case
  using assms Op by (auto simp: ipresCons-def ipresOp-def lift-comp)
qed(insert assms, auto simp: ipresVar-def ipresCons-def ipresAbs-def)

```

```

lemma ipresCons-fromMOD-fst-simps[simp]:
  [| ipresCons h hA (fromMOD MOD); wls s X |]
  => fst (h X) = X

  [| ipresCons h hA (fromMOD MOD); wlsAbs (us,s') A |]
  => fst (hA A) = A
  using ipresCons-fromMOD-fst by blast+

```

```

lemma ipresCons-fromMOD-fst-inp[simp]:
  ipresCons h hA (fromMOD MOD) => wlsInp delta inp => lift (fst ∘ h) inp = inp
  by (force simp add: lift-def fun-eq-iff liftAll2-def
    wlsInp-iff sameDom-def split: option.splits)

```

```

lemma ipresCons-fromMOD-fst-binp[simp]:
  ipresCons h hA (fromMOD MOD)  $\implies$  wlsBinp delta binp  $\implies$  lift (fst o hA) binp
  = binp
  by (force simp add: lift-def fun-eq-iff liftAll2-def
    wlsBinp-iff sameDom-def split: option.splits)

lemmas ipresCons-fromMOD-fst-all-simps =
  ipresCons-fromMOD-fst-simps ipresCons-fromMOD-fst-inp ipresCons-fromMOD-fst-binp

```

10.6 From iteration morphisms to morphisms

The transition map:

```

definition fromIMor :: 
  (('index,'bindex,'varSort,'var,'opSym)term  $\Rightarrow$ 
   ('index,'bindex,'varSort,'var,'opSym)term  $\times$  'gTerm)
   $\Rightarrow$ 
  (('index,'bindex,'varSort,'var,'opSym)term  $\Rightarrow$  'gTerm)
where fromIMor h  $\equiv$  snd o h

definition fromIMorAbs :: 
  (('index,'bindex,'varSort,'var,'opSym)abs  $\Rightarrow$ 
   ('index,'bindex,'varSort,'var,'opSym)abs  $\times$  'gAbs)
   $\Rightarrow$ 
  (('index,'bindex,'varSort,'var,'opSym)abs  $\Rightarrow$  'gAbs)
where fromIMorAbs hA  $\equiv$  snd o hA

```

Basic simplification rules:

```

lemma fromIMor[simp]: fromIMor h X' = snd (h X')
unfolding fromIMor-def by simp

```

```

lemma fromIMorAbs[simp]: fromIMorAbs hA A' = snd (hA A')
unfolding fromIMorAbs-def by simp

```

```

lemma fromIMor-snd-inp[simp]:
  wlsInp delta inp  $\implies$  lift (fromIMor h) inp = lift (snd o h) inp
  by (auto simp: lift-def split: option.splits)

```

```

lemma fromIMorAbs-snd-binp[simp]:
  wlsBinp delta binp  $\implies$  lift (fromIMorAbs hA) binp = lift (snd o hA) binp
  by (auto simp: lift-def split: option.splits)

```

```

lemmas fromIMor-basic-simps =
  fromIMor fromIMorAbs fromIMor-snd-inp fromIMorAbs-snd-binp

```

Predicate simplification rules

Domain preservation

```

lemma presWls-fromIMor[simp]:

```

*ipresWls h (fromMOD MOD) \implies presWls (fromIMor h) MOD
unfolding ipresWls-def presWls-def **by** simp*

lemma presWlsAbs-fromIMorAbs[simp]:
*ipresWlsAbs hA (fromMOD MOD) \implies presWlsAbs (fromIMorAbs hA) MOD
unfolding ipresWlsAbs-def presWlsAbs-def **by** simp*

lemma presWlsAll-fromIMorAll[simp]:
*ipresWlsAll h hA (fromMOD MOD) \implies presWlsAll (fromIMor h) (fromIMorAbs hA) MOD
unfolding ipresWlsAll-def presWlsAll-def **by** simp*

lemmas presWlsAll-fromIMorAll-simps =
presWls-fromIMor presWlsAbs-fromIMorAbs presWlsAll-fromIMorAll

Preservation of the constructs

lemma presVar-fromIMor[simp]:
*ipresCons h hA (fromMOD MOD) \implies presVar (fromIMor h) MOD
unfolding ipresCons-def ipresVar-def presVar-def **by** simp*

lemma presAbs-fromIMor[simp]:
assumes ipresCons h hA (fromMOD MOD)
shows presAbs (fromIMor h) (fromIMorAbs hA) MOD
using assms unfolding ipresCons-def ipresAbs-def presAbs-def
using assms by fastforce

lemma presOp-fromIMor[simp]:
assumes ipresCons h hA (fromMOD MOD)
shows presOp (fromIMor h) (fromIMorAbs hA) MOD
using assms unfolding ipresCons-def ipresOp-def presOp-def
using assms by (auto simp: lift-comp)

lemma presCons-fromIMor[simp]:
assumes ipresCons h hA (fromMOD MOD)
shows presCons (fromIMor h) (fromIMorAbs hA) MOD
unfolding ipresCons-def presCons-def **using assms by** simp

lemmas presCons-fromIMor-simps =
presVar-fromIMor presAbs-fromIMor presOp-fromIMor presCons-fromIMor

Preservation of freshness

lemma presFresh-fromIMor[simp]:
*ipresCons h hA (fromMOD MOD) \implies ipresFresh h (fromMOD MOD)
 \implies presFresh (fromIMor h) MOD
unfolding ipresFresh-def presFresh-def **by** simp*

lemma presFreshAbs-fromIMor[simp]:
*ipresCons h hA (fromMOD MOD) \implies ipresFreshAbs hA (fromMOD MOD)
 \implies presFreshAbs (fromIMorAbs hA) MOD*

```

unfolding ipresFreshAbs-def presFreshAbs-def by simp

lemma presFreshAll-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresFreshAll h hA (fromMOD MOD)
 $\Rightarrow$  presFreshAll (fromIMor h) (fromIMorAbs hA) MOD

unfolding ipresFreshAll-def presFreshAll-def by simp

lemmas presFreshAll-fromIMor-simps =
presFresh-fromIMor presFreshAbs-fromIMor presFreshAll-fromIMor

Preservation of swap

lemma presSwap-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresSwap h (fromMOD MOD)
 $\Rightarrow$  presSwap (fromIMor h) MOD
unfolding ipresSwap-def presSwap-def by simp

lemma presSwapAbs-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresSwapAbs hA (fromMOD MOD)
 $\Rightarrow$  presSwapAbs (fromIMorAbs hA) MOD
unfolding ipresSwapAbs-def presSwapAbs-def by simp

lemma presSwapAll-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresSwapAll h hA (fromMOD MOD)
 $\Rightarrow$  presSwapAll (fromIMor h) (fromIMorAbs hA) MOD
unfolding ipresSwapAll-def presSwapAll-def by simp

lemmas presSwapAll-fromIMor-simps =
presSwap-fromIMor presSwapAbs-fromIMor presSwapAll-fromIMor

Preservation of subst

lemma presSubst-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresSubst h (fromMOD MOD)
 $\Rightarrow$  presSubst (fromIMor h) MOD
unfolding ipresSubst-def presSubst-def by auto

lemma presSubstAbs-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresSubstAbs h hA (fromMOD MOD)
 $\Rightarrow$  presSubstAbs (fromIMor h) (fromIMorAbs hA) MOD
unfolding ipresSubstAbs-def presSubstAbs-def by auto

lemma presSubstAll-fromIMor[simp]:
ipresCons h hA (fromMOD MOD)  $\Rightarrow$  ipresSubstAll h hA (fromMOD MOD)
 $\Rightarrow$  presSubstAll (fromIMor h) (fromIMorAbs hA) MOD
unfolding ipresSubstAll-def presSubstAll-def by simp

lemmas presSubstAll-fromIMor-simps =
presSubst-fromIMor presSubstAbs-fromIMor presSubstAll-fromIMor

Morphisms

```

```

lemma fromIMor-termFSwMorph[simp]:
termFSwImorph h hA (fromMOD MOD) ==> termFSwMorph (fromIMor h) (fromIMorAbs hA) MOD
unfolding termFSwImorph-def termFSwMorph-def by simp

lemma fromIMor-termFSbMorph[simp]:
termFSbImorph h hA (fromMOD MOD) ==> termFSbMorph (fromIMor h) (fromIMorAbs hA) MOD
unfolding termFSbImorph-def termFSbMorph-def by simp

lemma fromIMor-termFSwSbMorph[simp]:
assumes termFSwSbImorph h hA (fromMOD MOD)
shows termFSwSbMorph (fromIMor h) (fromIMorAbs hA) MOD
using assms unfolding termFSwSbImorph-defs1
using assms unfolding termFSwSbImorph-def termFSwSbMorph-def by simp

lemmas mor-fromIMor-simps =
fromIMor-termFSwMorph fromIMor-termFSbMorph fromIMor-termFSwSbMorph

lemmas fromIMor-predicate-simps =
presCons-fromIMor-simps
presFreshAll-fromIMor-simps
presSwapAll-fromIMor-simps
presSubstAll-fromIMor-simps
mor-fromIMor-simps

lemmas fromIMor-simps =
fromIMor-basic-simps fromIMor-predicate-simps

```

10.7 The recursion theorem

The recursion maps:

definition rec **where** rec MOD ≡ fromIMor (iter (fromMOD MOD))

definition recAbs **where** recAbs MOD ≡ fromIMorAbs (iterAbs (fromMOD MOD))

Existence:

theorem wlsFSw-recAll-termFSwMorph:
wlsFSw MOD ==> termFSwMorph (rec MOD) (recAbs MOD) MOD
by (simp add: rec-def recAbs-def iwlsFSw-iterAll-termFSwImorph)

theorem wlsFSb-recAll-termFSbMorph:
wlsFSb MOD ==> termFSbMorph (rec MOD) (recAbs MOD) MOD
by (simp add: rec-def recAbs-def iwlsFSb-iterAll-termFSbImorph)

theorem wlsFSwSb-recAll-termFSwSbMorph:
wlsFSwSb MOD ==> termFSwSbMorph (rec MOD) (recAbs MOD) MOD
by (simp add: rec-def recAbs-def iwlsFSwSb-iterAll-termFSwSbImorph)

theorem *wlsFSbSw-recAll-termFSwSbMorph*:
wlsFSbSw MOD \implies termFSwSbMorph (rec MOD) (recAbs MOD) MOD
by (*simp add: rec-def recAbs-def iwlsFSbSw-iterAll-termFSwSbImorph*)

Uniqueness:

lemma *presCons-unique*:
assumes *presCons f fA MOD and presCons g gA MOD*
shows *(wls s X \longrightarrow f X = g X) \wedge (wlsAbs (us,s') A \longrightarrow fA A = gA A)*
proof(induction rule: wls-rawInduct)
case (*Op delta inp binp*)
hence *lift f inp = lift g inp \wedge lift fA binp = lift gA binp*
apply (*simp add: lift-def wlsInp-iff wlsBinp-iff sameDom-def liftAll2-def fun-eq-iff split: option.splits*)
by (*metis not-Some-eq old.prod.exhaust*)
then show ?case using assms Op unfolding presCons-def presOp-def by simp
qed(insert assms, auto simp: presVar-def presCons-def presAbs-def)

theorem *wlsFSw-recAll-unique-presCons*:
assumes *wlsFSw MOD and presCons h hA MOD*
shows *(wls s X \longrightarrow h X = rec MOD X) \wedge*
(wlsAbs (us,s') A \longrightarrow hA A = recAbs MOD A)
using *assms wlsFSw-recAll-termFSwMorph*
by (*intro presCons-unique*) (*auto simp: termFSwMorph-def*)

theorem *wlsFSb-recAll-unique-presCons*:
assumes *wlsFSb MOD and presCons h hA MOD*
shows *(wls s X \longrightarrow h X = rec MOD X) \wedge*
(wlsAbs (us,s') A \longrightarrow hA A = recAbs MOD A)
using *assms wlsFSb-recAll-termFSbMorph*
by (*intro presCons-unique*) (*auto simp: termFSbMorph-def*)

theorem *wlsFSwSb-recAll-unique-presCons*:
assumes *wlsFSwSb MOD and presCons h hA MOD*
shows *(wls s X \longrightarrow h X = rec MOD X) \wedge*
(wlsAbs (us,s') A \longrightarrow hA A = recAbs MOD A)
using *assms wlsFSw-recAll-unique-presCons unfolding wlsFSwSb-def by blast*

theorem *wlsFSbSw-recAll-unique-presCons*:
assumes *wlsFSbSw MOD and presCons h hA MOD*
shows *(wls s X \longrightarrow h X = rec MOD X) \wedge*
(wlsAbs (us,s') A \longrightarrow hA A = recAbs MOD A)
using *assms wlsFSb-recAll-unique-presCons unfolding wlsFSbSw-def by blast*

10.8 Models that are even “closer” to the term model

We describe various conditions (later referred to as “extra clauses” or “extra conditions”) that, when satisfied by models, yield the recursive maps (1) freshness-preserving and/or (2) injective and/or (3) surjective, thus bring-

ing the considered models “closer” to (being isomorphic to) the term model. The extreme case, when all of (1)-(3) above are ensured, means indeed isomorphism to the term model – this is in fact an abstract characterization of the term model.

10.8.1 Relevant predicates on models

The fresh clauses reversed

definition *gFreshGVarRev* **where**

$$\begin{aligned} gFreshGVarRev MOD &\equiv \forall xs y x. \\ gFresh MOD xs y (\text{Var } xs x) (gVar MOD xs x) &\longrightarrow y \neq x \end{aligned}$$

definition *gFreshGAbsRev* **where**

$$\begin{aligned} gFreshGAbsRev MOD &\equiv \forall ys y xs x s X' X. \\ \text{isInBar } (xs, s) \wedge wls s X' \wedge gWls MOD s X &\longrightarrow \\ gFreshAbs MOD ys y (\text{Abs } xs x X') (gAbs MOD xs x X' X) &\longrightarrow \\ (ys = xs \wedge y = x) \vee gFresh MOD ys y X' X & \end{aligned}$$

definition *gFreshGOpRev* **where**

$$\begin{aligned} gFreshGOpRev MOD &\equiv \forall ys y \deltaelta inp' inp binp' binp. \\ wlsInp \deltaelta inp' \wedge gWlsInp MOD \deltaelta inp \wedge wlsBinp \deltaelta binp' \wedge gWlsBinp MOD \deltaelta binp &\longrightarrow \\ gFresh MOD ys y (\text{Op } \deltaelta inp' binp') (gOp MOD \deltaelta inp' inp binp' binp) &\longrightarrow \\ gFreshInp MOD ys y inp' inp \wedge gFreshBinp MOD ys y binp' binp & \end{aligned}$$

definition *gFreshClsRev* **where**

$$gFreshClsRev MOD \equiv gFreshGVarRev MOD \wedge gFreshGAbsRev MOD \wedge gFreshGOpRev MOD$$

lemmas *gFreshClsRev-def* = *gFreshClsRev-def*
gFreshGVarRev-def *gFreshGAbsRev-def* *gFreshGOpRev-def*

Injectiveness of the construct operators

definition *gVarInj* **where**

$$gVarInj MOD \equiv \forall xs x y. gVar MOD xs x = gVar MOD xs y \longrightarrow x = y$$

definition *gAbsInj* **where**

$$\begin{aligned} gAbsInj MOD &\equiv \forall xs s x X' X X1' X1. \\ \text{isInBar } (xs, s) \wedge wls s X' \wedge gWls MOD s X \wedge wls s X1' \wedge gWls MOD s X1 &\wedge \\ gAbs MOD xs x X' X &= gAbs MOD xs x X1' X1 \\ &\longrightarrow \\ X &= X1 \end{aligned}$$

definition *gOpInj* **where**

$$\begin{aligned} gOpInj MOD &\equiv \forall \deltaelta \deltaelta1 inp' binp' inp binp inp1' binp1' inp1 binp1. \\ wlsInp \deltaelta inp' \wedge wlsBinp \deltaelta binp' \wedge gWlsInp MOD \deltaelta inp \wedge gWlsBinp MOD \deltaelta binp &\wedge \end{aligned}$$

```

wlsInp delta1 inp1' ∧ wlsBinp delta1 binp1' ∧ gWlsInp MOD delta1 inp1 ∧
gWlsBinp MOD delta1 binp1 ∧
stOf delta = stOf delta1 ∧
gOp MOD delta inp' inp binp' binp = gOp MOD delta1 inp1' inp1 binp1' binp1
→
delta = delta1 ∧ inp = inp1 ∧ binp = binp1

```

definition *gVarGOpInj* **where**

```

gVarGOpInj MOD ≡ ∀ xs x delta inp' binp' inp binp.
wlsInp delta inp' ∧ wlsBinp delta binp' ∧ gWlsInp MOD delta inp ∧ gWlsBinp
MOD delta binp ∧
asSort xs = stOf delta
→
gVar MOD xs x ≠ gOp MOD delta inp' inp binp' binp

```

definition *gConsInj* **where**

```

gConsInj MOD ≡ gVarInj MOD ∧ gAbsInj MOD ∧ gOpInj MOD ∧ gVarGOpInj
MOD

```

lemmas *gConsInj-defs* = *gConsInj-def*
gVarInj-def *gAbsInj-def* *gOpInj-def* *gVarGOpInj-def*

Abstraction renaming for swapping

definition *gAbsRenS* **where**

```

gAbsRenS MOD ≡ ∀ xs y x s X' X.
isInBar (xs,s) ∧ wls s X' ∧ gWls MOD s X →
fresh xs y X' ∧ gFresh MOD xs y X' X →
gAbs MOD xs y (X' #[y ∧ x]-xs) (gSwap MOD xs y x X' X) =
gAbs MOD xs x X' X

```

Indifference to the general-recursive argument

. This “indifference” property says that the construct operators from the model only depend on the generalized item (i.e., generalized term or abstraction) argument, and *not* on the “item” (i.e., concrete term or abstraction) argument. In other words, the model constructs correspond to *iterative clauses*, and not to the more general notion of “general-recursive” clause.

definition *gAbsIndif* **where**

```

gAbsIndif MOD ≡ ∀ xs s x X1' X2' X.
isInBar (xs,s) ∧ wls s X1' ∧ wls s X2' ∧ gWls MOD s X →
gAbs MOD xs x X1' X = gAbs MOD xs x X2' X

```

definition *gOpIndif* **where**

```

gOpIndif MOD ≡ ∀ delta inp1' inp2' inp binp1' binp2' binp.
wlsInp delta inp1' ∧ wlsBinp delta binp1' ∧ wlsInp delta inp2' ∧ wlsBinp delta
binp2' ∧
gWlsInp MOD delta inp ∧ gWlsBinp MOD delta binp
→
gOp MOD delta inp1' inp binp1' binp = gOp MOD delta inp2' inp binp2' binp

```

definition *gConsIndif* **where**
 $gConsIndif MOD \equiv gOpIndif MOD \wedge gAbsIndif MOD$

lemmas *gConsIndif-defs* = *gConsIndif-def* *gAbsIndif-def* *gOpIndif-def*

Inductiveness

. Inductiveness of a model means the satisfaction of a minimal inductive principle ("minimal" in the sense that no fancy swapping or freshness induction-friendly conditions are involved).

definition *gInduct* **where**
 $gInduct MOD \equiv \forall \phi \phiAbs s X us s' A.$
 $(\forall xs x. \phi (asSort xs) (gVar MOD xs x))$
 \wedge
 $(\forall \delta \delta' \delta'' \delta''' \delta'''. wlsInp \delta \delta' \delta'' \delta''' \delta''''. wlsBinp \delta \delta' \delta'' \delta''' \delta'''. gWlsInp MOD \delta \delta' \delta'' \delta''' \delta'''. gWlsBinp MOD \delta \delta' \delta'' \delta''' \delta'''. liftAll2 \phi (arOf \delta) \delta' \delta'' \delta''' \delta'''. liftAll2 \phiAbs (barOf \delta) \delta' \delta'' \delta''' \delta'''. \rightarrow \phi (stOf \delta) \delta' \delta'' \delta''' \delta'''. gOp MOD \delta \delta' \delta'' \delta''' \delta'''. gWls MOD s X \rightarrow \phiAbs (xs, s) (gAbs MOD xs x X))$
 \wedge
 $(\forall xs s x X' X. isInBar (xs, s) \wedge wls s X' \wedge gWls MOD s X \wedge \phi s X \rightarrow \phiAbs (xs, s) (gAbs MOD xs x X' X))$
 $)$
 \rightarrow
 $(gWls MOD s X \rightarrow \phi s X) \wedge$
 $(gWlsAbs MOD (us, s') A \rightarrow \phiAbs (us, s') A)$

lemma *gInduct-elim*:

assumes *gInduct MOD* **and**

Var: $\bigwedge xs x. \phi (asSort xs) (gVar MOD xs x)$ **and**

Op:

$\wedge \delta \delta' \delta'' \delta''' \delta'''. wlsInp \delta \delta' \delta'' \delta''' \delta'''. wlsBinp \delta \delta' \delta'' \delta''' \delta'''. gWlsInp MOD \delta \delta' \delta'' \delta''' \delta'''. gWlsBinp MOD \delta \delta' \delta'' \delta''' \delta'''. liftAll2 \phi (arOf \delta) \delta' \delta'' \delta''' \delta'''. liftAll2 \phiAbs (barOf \delta) \delta' \delta'' \delta''' \delta'''. \rightarrow \phi (stOf \delta) \delta' \delta'' \delta''' \delta'''. gOp MOD \delta \delta' \delta'' \delta''' \delta'''. gWls MOD s X \rightarrow \phiAbs (xs, s) (gAbs MOD xs x X))$

Abs:

$\wedge \forall xs s x X' X. [isInBar (xs, s); wls s X'; gWls MOD s X; \phi s X]$

$\rightarrow \phiAbs (xs, s) (gAbs MOD xs x X' X))$

shows

$(gWls MOD s X \rightarrow \phi s X) \wedge$

$(gWlsAbs MOD (us, s') A \rightarrow \phiAbs (us, s') A)$

using assms unfolding gInduct-def

apply(elim allE[of - phi] allE[of - phiAbs] allE[of - s] allE[of - X])

```
apply(elim allE[of - us] allE[of - s'] allE[of - A])  
by blast
```

10.8.2 Relevant predicates on maps from the term model

Reflection of freshness

```
definition reflFresh where  
reflFresh h MOD ≡ ∀ ys y s X.  
wls s X →  
gFresh MOD ys y X (h X) → fresh ys y X  
  
definition reflFreshAbs where  
reflFreshAbs hA MOD ≡ ∀ ys y us s A.  
wlsAbs (us,s) A →  
gFreshAbs MOD ys y A (hA A) → freshAbs ys y A
```

```
definition reflFreshAll where  
reflFreshAll h hA MOD ≡ reflFresh h MOD ∧ reflFreshAbs hA MOD
```

```
lemmas reflFreshAll-defs = reflFreshAll-def  
reflFresh-def reflFreshAbs-def
```

Injectiveness

```
definition isInj where  
isInj h ≡ ∀ s X Y.  
wls s X ∧ wls s Y →  
h X = h Y → X = Y
```

```
definition isInjAbs where  
isInjAbs hA ≡ ∀ us s A B.  
wlsAbs (us,s) A ∧ wlsAbs (us,s) B →  
hA A = hA B → A = B
```

```
definition isInjAll where  
isInjAll h hA ≡ isInj h ∧ isInjAbs hA
```

```
lemmas isInjAll-defs = isInjAll-def  
isInj-def isInjAbs-def
```

Surjectiveness

```
definition isSurj where  
isSurj h MOD ≡ ∀ s X.  
gWls MOD s X →  
(∃ X'. wls s X' ∧ h X' = X)
```

```
definition isSurjAbs where  
isSurjAbs hA MOD ≡ ∀ us s A.  
gWlsAbs MOD (us,s) A →
```

```

 $(\exists A'. \text{wlsAbs } (us,s) A' \wedge hA A' = A)$ 

definition isSurjAll where
isSurjAll h hA MOD  $\equiv$  isSurj h MOD  $\wedge$  isSurjAbs hA MOD

lemmas isSurjAll-defs = isSurjAll-def
isSurj-def isSurjAbs-def

```

10.8.3 Criterion for the reflection of freshness

First an auxiliary fact, independent of the type of model:

```

lemma gFreshClsRev-recAll-reflFreshAll:
assumes pWls: presWlsAll (rec MOD) (recAbs MOD) MOD
and pCons: presCons (rec MOD) (recAbs MOD) MOD
and pFresh: presFreshAll (rec MOD) (recAbs MOD) MOD
and **: gFreshClsRev MOD
shows reflFreshAll (rec MOD) (recAbs MOD) MOD
proof-
  let ?h = rec MOD let ?hA = recAbs MOD
  have pWlsInps[simp]:
     $\wedge \text{delta inp. wlsInp delta inp} \implies \text{gWlsInp MOD delta (lift ?h inp)}$ 
     $\wedge \text{delta binp. wlsBinp delta binp} \implies \text{gWlsBinp MOD delta (lift ?hA binp)}$ 
  using pWls presWls-wlsInp presWls-wlsBinp unfolding presWlsAll-def by auto
  {fix ys y s X us s' A
  have
     $(\text{wls s X} \longrightarrow \text{gFresh MOD ys y X (rec MOD X)} \longrightarrow \text{fresh ys y X}) \wedge$ 
     $(\text{wlsAbs } (us,s') A \longrightarrow \text{gFreshAbs MOD ys y A (recAbs MOD A)} \longrightarrow \text{freshAbs ys y A})$ 
  proof(induction rule: wls-induct)
    case (Var xs x)
    then show ?case using assms
    by (fastforce simp: presWlsAll-defs presCons-defs gFreshClsRev-def gFreshG-VarRev-def)
  next
    case (Op delta inp binp)
    show ?case proof safe
      let ?ar = arOf delta let ?bar = barOf delta let ?st = stOf delta
      let ?linp = lift ?h inp let ?lbinp = lift ?hA binp
      assume gFresh MOD ys y (Op delta inp binp) (rec MOD (Op delta inp binp))
      hence gFresh MOD ys y (Op delta inp binp) (gOp MOD delta inp ?linp binp ?lbinp)
      using assms Op by (simp add: presCons-def presOp-def)
      hence gFreshInp MOD ys y inp ?linp  $\wedge$  gFreshBinp MOD ys y binp ?lbinp
      using Op ** by (force simp: gFreshClsRev-def gFreshGOpRev-def)
      with Op have freshInp: freshInp ys y inp  $\wedge$  freshBinp ys y binp
      by (simp add: freshInp-def freshBinp-def liftAll-def gFreshInp-def gFreshBinp-def liftAll2-def lift-def sameDom-def wlsInp-iff wlsBinp-iff split: option.splits) (metis eq-snd-iff not-Some-eq)

```

```

thus fresh ys y (Op delta inp binp) using Op by auto
qed
next
case (Abs s xs x X)
show ?case proof safe
have hX: gWls MOD s (?h X) using Abs pWls unfolding presWlsAll-defs
by simp
assume gFreshAbs MOD ys y (Abs xs x X) (recAbs MOD (Abs xs x X))
hence gFreshAbs MOD ys y (Abs xs x X) (gAbs MOD xs x X (rec MOD X))
using Abs by (metis pCons presAbs-def presCons-def)
moreover have ?hA (Abs xs x X) = gAbs MOD xs x X (?h X)
using Abs pCons unfolding presCons-defs by blast
ultimately have 1: gFreshAbs MOD ys y (Abs xs x X) (gAbs MOD xs x X
(?h X)) by simp
show freshAbs ys y (Abs xs x X)
using assms hX Abs ** unfolding gFreshClsRev-def gFreshGabsRev-def using
1 by fastforce
qed
qed
}
thus ?thesis unfolding reflFreshAll-defs by blast
qed

```

For fresh-swap models

```

theorem wlsFSw-recAll-reflFreshAll:
wlsFSw MOD ==> gFreshClsRev MOD ==> reflFreshAll (rec MOD) (recAbs MOD)
MOD
using wlsFSw-recAll-termFSwMorph
by (auto simp: termFSwMorph-def intro: gFreshClsRev-recAll-reflFreshAll)

```

For fresh-subst models

```

theorem wlsFSb-recAll-reflFreshAll:
wlsFSb MOD ==> gFreshClsRev MOD ==> reflFreshAll (rec MOD) (recAbs MOD)
MOD
using wlsFSb-recAll-termFSbMorph
by (auto simp: termFSbMorph-def intro: gFreshClsRev-recAll-reflFreshAll)

```

10.8.4 Criterion for the injectiveness of the recursive map

For fresh-swap models

```

theorem wlsFSw-recAll-isInjAll:
assumes *: wlsFSw MOD gAbsRenS MOD and **: gConsInj MOD
shows isInjAll (rec MOD) (recAbs MOD)
proof-
let ?h = rec MOD let ?hA = recAbs MOD
have 1: termFSwMorph ?h ?hA MOD using * wlsFSw-recAll-termFSwMorph
by auto
hence pWls: presWlsAll ?h ?hA MOD

```

```

and pCons: presCons ?h ?hA MOD
and pFresh: presFreshAll ?h ?hA MOD
and pSwap: presSwapAll ?h ?hA MOD unfolding termFSwMorph-def by auto
hence pWlsInps[simp]:
   $\wedge \text{delta } \text{inp}. \text{wlsInp } \text{delta } \text{inp} \implies \text{gWlsInp } \text{MOD } \text{delta } (\text{lift } ?\text{h } \text{inp})$ 
   $\wedge \text{delta } \text{binp}. \text{wlsBinp } \text{delta } \text{binp} \implies \text{gWlsBinp } \text{MOD } \text{delta } (\text{lift } ?\text{hA } \text{binp})$ 
using presWls-wlsInp presWls-wlsBinp unfolding presWlsAll-def by auto
{fix s X us s' A
have
   $(\text{wls } s \ X \longrightarrow (\forall Y. \text{wls } s \ Y \wedge \text{rec } \text{MOD } X = \text{rec } \text{MOD } Y \longrightarrow X = Y)) \wedge$ 
   $(\text{wlsAbs } (us, s') A \longrightarrow (\forall B. \text{wlsAbs } (us, s') B \wedge \text{recAbs } \text{MOD } A = \text{recAbs } \text{MOD } B \longrightarrow A = B))$ 
proof (induction rule: wls-induct)
case (Var xs x)
show ?case proof clarify
fix Y
assume eq: rec MOD (Var xs x) = rec MOD Y and Y: wls (asSort xs) Y
thus Var xs x = Y
proof-
{fix ys y assume Y-def: Y = Var ys y and asSort ys = asSort xs
hence ys-def: ys = xs by simp
have rec-y-def: rec MOD (Var ys y) = gVar MOD ys y
using pCons unfolding presCons-defs by simp
have ?thesis
using eq ** 1 unfolding Y-def rec-y-def gConsInj-def gVarInj-def
unfolding ys-def by (simp add: termFSwMorph-defs)
}
moreover
{fix delta1 inp1 binp1 assume inp1s: wlsInp delta1 inp1 wlsBinp delta1
binp1
and Y-def: Y = Op delta1 inp1 binp1 and st: stOf delta1 = asSort xs
hence rec-Op-def:
rec MOD (Op delta1 inp1 binp1) =
gOp MOD delta1 inp1 (lift ?h inp1) binp1 (lift ?hA binp1)
using pCons unfolding presCons-defs by simp
have ?thesis
using eq ** unfolding Y-def rec-Op-def gConsInj-def gVarGOpInj-def
using inp1s st 1 by (simp add: termFSwMorph-defs)
}
ultimately show ?thesis using wls-nchotomy[of asSort xs Y] Y by blast
qed
qed
next
case (Op delta inp binp)
show ?case proof clarify
fix Y assume Y: wls (stOf delta) Y
and rec MOD (Op delta inp binp) = rec MOD Y
hence eq: gOp MOD delta inp (lift ?h inp) binp (lift ?hA binp) = ?h Y
using 1 Op by (simp add: termFSwMorph-defs)

```

```

show Op delta inp binp = Y
proof-
  {fix ys y assume Y-def: Y = Var ys y and st: asSort ys = stOf delta
  have rec-y-def: rec MOD (Var ys y) = gVar MOD ys y
  using pCons unfolding presCons-defs by simp
  have ?thesis
    using eq[THEN sym] ** unfolding Y-def rec-y-def gConsInj-def gVar-
GOpInj-def
    using Op st by simp
  }
  moreover
  {fix delta1 inp1 binp1 assume inp1s: wlsInp delta1 inp1 wlsBinp delta1
binp1
  and Y-def: Y = Op delta1 inp1 binp1 and st: stOf delta1 = stOf delta
  hence rec-Op-def:
    rec MOD (Op delta1 inp1 binp1) =
      gOp MOD delta1 inp1 (lift ?h inp1) binp1 (lift ?hA binp1)
    using pCons unfolding presCons-defs by simp
    have 0: delta = delta1 ∧ lift ?h inp = lift ?h inp1 ∧ lift ?hA binp = lift
?hA binp1
    using eq ** unfolding Y-def rec-Op-def gConsInj-def gOpInj-def
    using Op inp1s st apply clarify
    apply(erule allE[of - delta]) apply(erule allE[of - delta1]) by force
    hence delta1-def: delta1 = delta by simp
    have 1: inp = inp1
    proof(rule ext)
      fix i
      show inp i = inp1 i
      proof(cases inp i)
        case None
        hence lift ?h inp i = None by(simp add: lift-None)
        hence lift ?h inp1 i = None using 0 by simp
        thus ?thesis unfolding None by(simp add: lift-None)
      next
        case (Some X)
        hence lift ?h inp i = Some (?h X) unfolding lift-def by simp
        hence lift ?h inp1 i = Some (?h X) using 0 by simp
        then obtain Y where inp1-i: inp1 i = Some Y and hXY: ?h X =
?h Y
        unfolding lift-def by(cases inp1 i) auto
        then obtain s where ar-i: arOf delta i = Some s
        using inp1s unfolding delta1-def wlsInp-iff sameDom-def
        by (cases arOf delta i) auto
        hence Y: wls s Y
        using inp1s inp1-i unfolding delta1-def wlsInp-iff liftAll2-def by auto
        thus ?thesis
          unfolding Some inp1-i using ar-i Some hXY Op.IH unfolding
liftAll2-def by auto
      qed
    
```

```

qed
have 2: binp = binp1
proof(rule ext)
fix i
show binp i = binp1 i
proof(cases binp i)
case None
hence lift ?hA binp i = None by(simp add: lift-None)
hence lift ?hA binp1 i = None using 0 by simp
thus ?thesis unfolding None by (simp add: lift-None)
next
case (Some A)
hence lift ?hA binp i = Some (?hA A) unfolding lift-def by simp
hence lift ?hA binp1 i = Some (?hA A) using 0 by simp
then obtain B where binp1-i: binp1 i = Some B and hAB: ?hA A
= ?hA B
unfolding lift-def by (cases binp1 i) auto
then obtain us s where bar-i: barOf delta i = Some (us,s)
using inp1s unfolding delta1-def wlsBinp-iff sameDom-def
by(cases barOf delta i) auto
hence B: wlsAbs (us,s) B
using inp1s binp1-i unfolding delta1-def wlsBinp-iff liftAll2-def by
auto
thus ?thesis unfolding Some binp1-i
using bar-i Some hAB Op.IH unfolding liftAll2-def by fastforce
qed
qed
have ?thesis unfolding Y-def delta1-def 1 2 by simp
}
ultimately show ?thesis using wls-nchotomy[of stOf delta Y] Y by blast
qed
qed
next
case (Abs s xs x X)
show ?case proof clarify
fix B
assume B: wlsAbs (xs,s) B and recAbs MOD (Abs xs x X) = recAbs MOD
B
hence eq: gAbs MOD xs x X (rec MOD X) = ?hA B using 1 Abs by (simp
add: termFSwMorph-defs)
hence hX: gWls MOD s (?h X) using pWls Abs unfolding presWlsAll-defs
by simp
show Abs xs x X = B
proof-
let ?P = ParS
(λ xs'. [])
(λ s'. if s' = s then [X] else [])
(λ us-s. [])
[]

```

```

have P: wlsPar ?P using Abs unfolding wlsPar-def by simp
{fix y Y assume Y: wls s Y and B-def: B = Abs xs y Y
  hence hY: gWls MOD s (?h Y) using pWls unfolding presWlsAll-defs
by simp
  let ?Xsw = X #[y ∧ x]-xs let ?hXsw = gSwap MOD xs y x X (?h X)
  have hXsw: gWls MOD s ?hXsw
  using Abs hX using * unfolding wlsFSw-def gSwapAllPresGWlsAll-defs
by simp
  assume ∀ s. ∀ Y ∈ termsOfS ?P s. fresh xs y Y
  hence y-fresh: fresh xs y X by simp
  hence gFresh MOD xs y X (?h X)
  using Abs pFresh unfolding presFreshAll-defs by simp
  hence gAbs MOD xs y (?Xsw) ?hXsw = gAbs MOD xs x X (?h X)
  using Abs hX y-fresh * unfolding gAbsRenS-def by fastforce
  also have ... = ?hA B using eq .
  also have recAbs MOD B = gAbs MOD xs y Y (?h Y)
  unfolding B-def using pCons Abs Y unfolding presCons-defs by blast
  finally have gAbs MOD xs y ?Xsw ?hXsw = gAbs MOD xs y Y (?h Y) .
  hence ?hXsw = ?h Y
  using ** Abs hX hXsw Y hY unfolding gConsInj-def gAbsInj-def
  apply clarify apply(erule allE[of - xs]) apply(erule allE[of - s])
  apply(erule allE[of - y]) apply(erule allE[of - ?Xsw]) by fastforce
  moreover have ?hXsw = ?h ?Xsw
  using Abs pSwap unfolding presSwapAll-defs by simp
  ultimately have ?h ?Xsw = ?h Y by simp
  moreover have (X,?Xsw) ∈ swapped using swap-swapped .
  ultimately have Y-def: Y = ?Xsw using Y Abs.IH by auto
  have ?thesis unfolding B-def Y-def
  using Abs y-fresh by simp
}
thus ?thesis using B P wlsAbs-fresh-nchotomy[of xs s B] by blast
qed
qed
qed
}
thus ?thesis unfolding isInjAll-defs by blast
qed

```

For fresh-subst models

```

theorem wlsFSb-recAll-isInjAll:
assumes *: wlsFSb MOD and **: gConsInj MOD
shows isInjAll (rec MOD) (recAbs MOD)
proof-
  let ?h = rec MOD let ?hA = recAbs MOD
  have 1: termFSbMorph ?h ?hA MOD using * wlsFSb-recAll-termFSbMorph by
auto
  hence pWls: presWlsAll ?h ?hA MOD
  and pCons: presCons ?h ?hA MOD
  and pFresh: presFreshAll ?h ?hA MOD

```

```

and pSubst: presSubstAll ?h ?hA MOD unfolding termFSbMorph-def by auto
hence pWlsInps[simp]:
   $\wedge \text{delta } inp. \text{wls}Inp \text{ delta } inp \implies g\text{Wls}Inp \text{ MOD delta } (\text{lift } ?h \text{ inp})$ 
   $\wedge \text{delta } binp. \text{wls}Binp \text{ delta } binp \implies g\text{Wls}Binp \text{ MOD delta } (\text{lift } ?hA \text{ binp})$ 
  using presWls-wlsInp presWls-wlsBinp unfolding presWlsAll-def by auto
  {fix s X us s' A
    have
       $(\text{wls } s \text{ X} \longrightarrow (\forall Y. \text{wls } s \text{ Y} \wedge \text{rec } MOD X = \text{rec } MOD Y \longrightarrow X = Y)) \wedge$ 
       $(\text{wlsAbs } (us, s') A \longrightarrow (\forall B. \text{wlsAbs } (us, s') B \wedge \text{recAbs } MOD A = \text{recAbs } MOD B \longrightarrow A = B))$ 
    proof(induction rule: wls-induct)
      case (Var xs x)
      show ?case proof clarify
        fix Y
        assume rec MOD (Var xs x) = rec MOD Y and Y: wls (asSort xs) Y
        hence eq: gVar MOD xs x = rec MOD Y using 1 by (simp add: termFSb-
Morph-defs)
        show Var xs x = Y
        proof-
          {fix ys y assume Y-def: Y = Var ys y and asSort ys = asSort xs
            hence ys-def: ys = xs by simp
            have rec-y-def: rec MOD (Var ys y) = gVar MOD ys y
            using pCons unfolding presCons-defs by simp
            have ?thesis
              using eq ** unfolding Y-def rec-y-def gConsInj-def gVarInj-def
              unfolding ys-def by simp
          }
          moreover
          {fix delta1 inp1 binp1 assume inp1s: wlsInp delta1 inp1 wlsBinp delta1
            binp1
            and Y-def: Y = Op delta1 inp1 binp1 and st: stOf delta1 = asSort xs
            hence rec-Op-def:
              rec MOD (Op delta1 inp1 binp1) =
                gOp MOD delta1 inp1 (lift ?h inp1) binp1 (lift ?hA binp1)
              using pCons unfolding presCons-defs by simp
              have ?thesis
                using eq ** unfolding Y-def rec-Op-def gConsInj-def gVarGOpInj-def
                using inp1s st by simp
            }
            ultimately show ?thesis using wls-nchotomy[of asSort xs Y] Y by blast
          qed
        qed
      next
      case (Op delta inp binp)
      show ?case proof clarify
        fix Y
        assume rec MOD (Op delta inp binp) = rec MOD Y and Y: wls (stOf
          delta) Y
        hence eq: gOp MOD delta inp (lift ?h inp) binp (lift ?hA binp) = ?h Y
      
```

```

using Op 1 by (simp add: termFSbMorph-defs)
show Op delta inp binp = Y
proof-
  {fix ys y assume Y-def:  $Y = \text{Var } ys \ y$  and st:  $\text{asSort } ys = \text{stOf } \delta$ 
   have rec-y-def:  $\text{rec MOD } (\text{Var } ys \ y) = g\text{Var MOD } ys \ y$ 
   using pCons unfolding presCons-defs by simp
   have ?thesis
     using eq[THEN sym] ** unfolding Y-def rec-y-def gConsInj-def gVar-
GOpInj-def
     using Op st by simp
   }
   moreover
   {fix delta1 inp1 binp1 assume inp1s: wlsInp delta1 inp1 wlsBinp delta1
    binp1
    and Y-def:  $Y = \text{Op } \delta \delta_1 \text{ inp}_1 \text{ binp}_1$  and st:  $\text{stOf } \delta \delta_1 = \text{stOf } \delta$ 
    hence rec-Op-def:
      rec MOD ( $\text{Op } \delta \delta_1 \text{ inp}_1 \text{ binp}_1$ ) =
         $g\text{Op MOD } \delta \delta_1 \text{ inp}_1 (\text{lift } ?h \text{ inp}_1) \text{ binp}_1 (\text{lift } ?hA \text{ binp}_1)$ 
      using pCons unfolding presCons-defs by simp
      have 0:  $\delta = \delta \delta_1 \wedge \text{lift } ?h \text{ inp} = \text{lift } ?h \text{ inp}_1 \wedge \text{lift } ?hA \text{ binp} = \text{lift } ?hA \text{ binp}_1$ 
        using eq ** unfolding Y-def rec-Op-def gConsInj-def gOpInj-def
        using Op inp1s st apply clarify
        apply(erule allE[of - delta]) apply(erule allE[of - delta1]) by force
        hence delta1-def:  $\delta \delta_1 = \delta$  by simp
        have 1:  $\text{inp} = \text{inp}_1$ 
        proof(rule ext)
          fix i
          show  $\text{inp } i = \text{inp}_1 \ i$ 
          proof(cases inp i)
            case None
            hence lift ?h inp i = None by(simp add: lift-None)
            hence lift ?h inp1 i = None using 0 by simp
            thus ?thesis unfolding None by(simp add: lift-None)
          next
          case (Some X)
          hence lift ?h inp i = Some (?h X) unfolding lift-def by simp
          hence lift ?h inp1 i = Some (?h X) using 0 by simp
          then obtain Y where inp1-i:  $\text{inp}_1 \ i = \text{Some } Y$  and hXY:  $?h \ X = ?h \ Y$ 
            unfolding lift-def by (cases inp1 i) auto
            then obtain s where ar-i:  $\text{arOf } \delta \delta_1 \ i = \text{Some } s$ 
              using inp1s unfolding delta1-def wlsInp-iff sameDom-def
              by (cases arOf delta i) auto
              hence Y:  $\text{wls } s \ Y$ 
                using inp1s inp1-i unfolding delta1-def wlsInp-iff liftAll2-def by auto
                thus ?thesis unfolding Some inp1-i
                using ar-i Some hXY Op.IH unfolding liftAll2-def by auto
            qed

```

```

qed
have 2: binp = binp1
proof(rule ext)
fix i
show binp i = binp1 i
proof(cases binp i)
case None
hence lift ?hA binp i = None by(simp add: lift-None)
hence lift ?hA binp1 i = None using 0 by simp
thus ?thesis unfolding None by(simp add: lift-None)
next
case (Some A)
hence lift ?hA binp i = Some (?hA A) unfolding lift-def by simp
hence lift ?hA binp1 i = Some (?hA A) using 0 by simp
then obtain B where binp1-i: binp1 i = Some B and hAB: ?hA A
= ?hA B
unfolding lift-def by(cases binp1 i, auto)
then obtain us s where bar-i: barOf delta i = Some (us,s)
using inp1s unfolding delta1-def wlsBinp-iff sameDom-def
by(cases barOf delta i) auto
hence B: wlsAbs (us,s) B
using inp1s binp1-i unfolding delta1-def wlsBinp-iff liftAll2-def by
auto
thus ?thesis unfolding Some binp1-i
using bar-i Some hAB Op.IH
unfolding liftAll2-def by fastforce
qed
qed
have ?thesis unfolding Y-def delta1-def 1 2 by simp
}
ultimately show ?thesis using wls-nchotomy[of stOf delta Y] Y by blast
qed
qed
next
case (Abs s xs x X)
show ?case proof clarify
fix B
assume B: wlsAbs (xs,s) B and recAbs MOD (Abs xs x X) = recAbs MOD
B
hence eq: gAbs MOD xs x X (rec MOD X) = ?hA B
using 1 Abs by (simp add: termFSbMorph-defs)
hence hX: gWls MOD s (?h X) using pWls Abs unfolding presWlsAll-defs
by simp
show Abs xs x X = B
proof-
let ?P = ParS
(λ xs'. [])
(λ s'. if s' = s then [X] else [])
(λ us-s. [])

```

```

 $\square$ 
have  $P: \text{wlsPar} ?P$  using  $\text{Abs}$  unfolding  $\text{wlsPar-def}$  by  $\text{simp}$ 
{fix  $y Y$  assume  $Y: \text{wls} s Y$  and  $B\text{-def}: B = \text{Abs} xs y Y$ 
 hence  $hY: g\text{Wls MOD} s (?h Y)$  using  $p\text{Wls}$  unfolding  $\text{presWlsAll-defs}$ 
by  $\text{simp}$ 
let  $?Xsb = X \# [y // x]\text{-xs}$ 
let  $?hXsb = g\text{Subst MOD} xs (\text{Var} xs y) (g\text{Var MOD} xs y) x X (?h X)$ 
have 1:  $\text{wls} (\text{asSort} xs) (\text{Var} xs y) \wedge g\text{Wls MOD} (\text{asSort} xs) (g\text{Var MOD}$ 
 $xs y)$ 
using * unfolding  $\text{wlsFSb-def}$   $\text{gConsPresGWls-defs}$  by  $\text{simp}$ 
hence  $hXsb: g\text{Wls MOD} s ?hXsb$ 
using  $\text{Abs} hX$  using * unfolding  $\text{wlsFSb-def}$   $\text{gSubstAllPresGWlsAll-defs}$ 
by  $\text{simp}$ 
assume  $\forall s. \forall Y \in \text{termsOfS} ?P s. \text{fresh} xs y Y$ 
hence  $y\text{-fresh}: \text{fresh} xs y X$  by  $\text{simp}$ 
hence  $g\text{Fresh MOD} xs y X (?h X)$ 
using  $\text{Abs} p\text{Fresh}$  unfolding  $\text{presFreshAll-defs}$  by  $\text{simp}$ 
hence  $g\text{Abs MOD} xs y (?Xsb) ?hXsb = g\text{Abs MOD} xs x X (?h X)$ 
using  $\text{Abs} hX y\text{-fresh}$  * unfolding  $\text{wlsFSb-def}$   $\text{gAbsRen-def}$  by  $\text{fastforce}$ 
also have ... =  $?hA B$  using  $\text{eq}$ .
also have ... =  $g\text{Abs MOD} xs y Y (?h Y)$ 
unfolding  $B\text{-def}$  using  $p\text{Cons Abs} Y$  unfolding  $\text{presCons-defs}$  by  $\text{blast}$ 
finally have
 $g\text{Abs MOD} xs y ?Xsb ?hXsb = g\text{Abs MOD} xs y Y (?h Y).$ 
hence  $?hXsb = ?h Y$ 
using **  $\text{Abs} hX hXsb Y hY$  unfolding  $\text{gConsInj-def}$   $\text{gAbsInj-def}$ 
apply clarify apply(erule allE[of - xs]) apply(erule allE[of - s])
apply(erule allE[of - y]) apply(erule allE[of - ?Xsb]) by  $\text{fastforce}$ 
moreover have  $?hXsb = ?h ?Xsb$ 
using  $\text{Abs} p\text{Subst} 1 p\text{Cons}$  unfolding  $\text{presSubstAll-defs}$   $v\text{subst-def}$   $\text{presCons-defs}$ 
by  $\text{simp}$ 
ultimately have  $?h ?Xsb = ?h Y$  by  $\text{simp}$ 
hence  $Y\text{-def}: Y = ?Xsb$  using  $Y \text{Abs.IH}$  by (fastforce simp add: termFS-
 $b\text{Morph-defs})$ 
have ?thesis unfolding  $B\text{-def}$   $Y\text{-def}$ 
using  $\text{Abs} y\text{-fresh}$  by  $\text{simp}$ 
}
thus ?thesis using  $B P \text{wlsAbs-fresh-nchotomy}[of xs s B]$  by  $\text{blast}$ 
qed
qed
qed
}
thus ?thesis unfolding  $\text{isInjAll-defs}$  by  $\text{blast}$ 
qed

```

10.8.5 Criterion for the surjectiveness of the recursive map

First an auxiliary fact, independent of the type of model:

lemma $g\text{Induct-}g\text{ConsIndif-recAll-isSurjAll}$:

```

assumes pWls: presWlsAll (rec MOD) (recAbs MOD) MOD
and pCons: presCons (rec MOD) (recAbs MOD) MOD
and gConsIndif MOD and *: gInduct MOD
shows isSurjAll (rec MOD) (recAbs MOD) MOD
proof-
  let ?h = rec MOD  let ?hA = recAbs MOD
  {fix s X us s' A
    from * have
      (gWls MOD s X —> (∃ X'. wls s X' ∧ rec MOD X' = X)) ∧
      (gWlsAbs MOD (us,s') A —> (∃ A'. wlsAbs (us,s') A' ∧ recAbs MOD A' = A))
    proof (elim gInduct-elim, safe)
      fix xs x
      show ∃ X'. wls (asSort xs) X' ∧ rec MOD X' = gVar MOD xs x
      using pWls pCons
      by (auto simp: presWlsAll-defs presCons-defs intro: exI[of - Var xs x])
    next
    fix delta inp' inp binp' binp
    let ?ar = arOf delta  let ?bar = barOf delta  let ?st = stOf delta
    assume inp': wlsInp delta inp' and binp': wlsBinp delta binp'
    and inp: gWlsInp MOD delta inp and binp: gWlsBinp MOD delta binp
    and IH: liftAll2 (λs X. ∃ X'. wls s X' ∧ ?h X' = X) ?ar inp
    and BIH: liftAll2 (λus-s A. ∃ A'. wlsAbs us-s A' ∧ ?hA A' = A) ?bar binp

    let ?phi = λ s X X'. wls s X' ∧ ?h X' = X
    obtain inp1' where "inp1'-def":
      inp1' =
        (λ i.
          case (?ar i, inp i) of
            (None, None) ⇒ None
            |(Some s, Some X) ⇒ Some (SOME X'. ?phi s X X')) by blast
    hence [simp]:
      ⋀ i. ?ar i = None ∧ inp i = None —> inp1' i = None
      ⋀ i s X. ?ar i = Some s ∧ inp i = Some X —> inp1' i = Some (SOME X'.
        ?phi s X X')
    unfolding inp1'-def by auto
    have inp1': wlsInp delta inp1'
    unfolding wlsInp-iff proof safe
      show sameDom ?ar inp1'
    unfolding sameDom-def proof clarify
      fix i
      have (?ar i = None) = (inp i = None)
      using inp unfolding gWlsInp-def sameDom-def by simp
      thus (?ar i = None) = (inp1' i = None)
      unfolding inp1'-def by auto
    qed
  next
  show liftAll2 wls ?ar inp1'
  unfolding liftAll2-def proof auto
  fix i s X1'

```

```

assume ari: ?ar i = Some s and inp1'i: inp1' i = Some X1'
have sameDom inp ?ar
using inp unfolding gWlsInp-def using sameDom-sym by blast
then obtain X where inpi: inp i = Some X
using ari unfolding sameDom-def by(cases inp i) auto
hence X1'-def: X1' = (SOME X1'. ?phi s X X1')
using ari inp1'i unfolding inp1'-def by simp
obtain X' where X': ?phi s X X'
using inpi ari IH unfolding liftAll2-def by blast
hence ?phi s X X1'
unfolding X1'-def by(rule someI[of ?phi s X])
thus wls s X1' by simp
qed
qed(insert binp' wlsBinp.cases, blast)

have lift-inp1': lift ?h inp1' = inp
proof(rule ext)
fix i let ?linp1' = lift ?h inp1'
show ?linp1' i = inp i
proof(cases inp i)
case None
hence ?ar i = None using inp unfolding gWlsInp-def sameDom-def by
simp
hence inp1' i = None using None by simp
thus lift (rec MOD) inp1' i = inp i using None by (auto simp: lift-def)
next
case (Some X)
then obtain s where ari: ?ar i = Some s
using inp unfolding gWlsInp-def sameDom-def by(cases ?ar i) auto
let ?X1' = SOME X1'. ?phi s X X1'
have inp1'i: inp1' i = Some ?X1' using ari Some by simp
hence linp1'i: ?linp1' i = Some (?h ?X1') unfolding lift-def by simp
obtain X' where X': ?phi s X X'
using Some ari IH unfolding liftAll2-def by blast
hence ?phi s X ?X1' by(rule someI[of ?phi s X])
thus lift (rec MOD) inp1' i = inp i using Some linp1'i by (auto simp:
lift-def)
qed
qed

let ?bphi = λ (us,s) A A'. wlsAbs (us,s) A' ∧ ?hA A' = A
obtain binp1' where binp1'-def:
binp1' =
(λ i.
  case (?bar i, binp i) of
    (None, None) ⇒ None
  |(Some (us,s), Some A) ⇒ Some (SOME A'. ?bphi (us,s) A A')) by blast
hence [simp]:
  ⋀ i. ?bar i = None ∧ binp i = None ⇒ binp1' i = None

```

```

and *:
 $\bigwedge i \text{ us } s \ A. \ ?bar \ i = \text{Some} \ (us,s) \wedge \text{binp} \ i = \text{Some} \ A \implies$ 
 $\text{binp}' \ i = \text{Some} \ (\text{SOME} \ A'. \ ?bphi \ (us,s) \ A \ A')$ 
unfolding  $\text{binp}'\text{-def}$  by auto
have  $\text{binp}' : \text{wlsBinp} \ \text{delta} \ \text{binp}'$ 
unfolding  $\text{wlsBinp}\text{-iff}$  proof safe
show  $\text{sameDom} \ ?bar \ \text{binp}'$ 
unfolding  $\text{sameDom}\text{-def}$  proof clarify
fix  $i$ 
have  $(?bar \ i = \text{None}) = (\text{binp} \ i = \text{None})$ 
using  $\text{binp}$  unfolding  $\text{gWlsBinp}\text{-def}$   $\text{sameDom}\text{-def}$  by simp
thus  $(?bar \ i = \text{None}) = (\text{binp}' \ i = \text{None})$ 
unfolding  $\text{binp}'\text{-def}$  by auto
qed
next
show  $\text{liftAll2} \ \text{wlsAbs} \ ?bar \ \text{binp}'$ 
unfolding  $\text{liftAll2}\text{-def}$  proof auto
fix  $i \text{ us } s \ A1'$ 
assume  $\text{bari}: ?bar \ i = \text{Some} \ (us,s)$  and  $\text{binp}' \ i: \text{binp}' \ i = \text{Some} \ A1'$ 
have  $\text{sameDom} \ \text{binp} \ ?bar$ 
using  $\text{binp}$  unfolding  $\text{gWlsBinp}\text{-def}$  using  $\text{sameDom}\text{-sym}$  by blast
then obtain  $A$  where  $\text{binpi}: \text{binp} \ i = \text{Some} \ A$ 
using  $\text{bari}$  unfolding  $\text{sameDom}\text{-def}$  by(cases binp i, auto)
hence  $A1'\text{-def}: A1' = (\text{SOME} \ A1'. \ ?bphi \ (us,s) \ A \ A1')$ 
using  $\text{bari} \ \text{binp}' \ i$  unfolding  $\text{binp}'\text{-def}$  by simp
obtain  $A'$  where  $A': ?bphi \ (us,s) \ A \ A'$ 
using  $\text{binpi} \ \text{bari} \ \text{BIH}$  unfolding  $\text{liftAll2}\text{-def}$  by fastforce
hence  $?bphi \ (us,s) \ A \ A1'$ 
unfolding  $A1'\text{-def}$  by(rule someI[of ?bphi (us,s) A])
thus  $\text{wlsAbs} \ (us,s) \ A1'$  by simp
qed
qed(insert binp' wlsBinp.cases, blast)

have  $\text{lift}\text{-binp}' : \text{lift} \ ?hA \ \text{binp}' = \text{binp}$ 
proof(rule ext)
fix  $i$  let  $?lbinp' = \text{lift} \ ?hA \ \text{binp}'$ 
show  $?lbinp' \ i = \text{binp} \ i$ 
proof(cases binp i)
case  $\text{None}$ 
hence  $?bar \ i = \text{None}$  using  $\text{binp}$  unfolding  $\text{gWlsBinp}\text{-def}$   $\text{sameDom}\text{-def}$ 
by simp
hence  $\text{binp}' \ i = \text{None}$  using  $\text{None}$  by simp
thus  $\text{lift} \ (\text{recAbs} \ \text{MOD}) \ \text{binp}' \ i = \text{binp} \ i$  using  $\text{None}$  by (simp add: lift-def)
next
case ( $\text{Some} \ A$ )
then obtain  $us \ s$  where  $\text{bari}: ?bar \ i = \text{Some} \ (us,s)$ 
using  $\text{binp}$  unfolding  $\text{gWlsBinp}\text{-def}$   $\text{sameDom}\text{-def}$  by(cases ?bar i, auto)
let  $?A1' = \text{SOME} \ A1'. \ ?bphi \ (us,s) \ A \ A1'$ 

```

```

have  $\text{binp1}'i : \text{binp1}' i = \text{Some } ?A1'$  using  $\text{bari Some } *[\text{of } i \text{ us } s \ A]$  by
simp
hence  $\text{lbinp1}'i : \text{lbinp1}' i = \text{Some } (\text{?hA } ?A1')$  unfolding  $\text{lift-def}$  by simp
obtain  $A'$  where  $A' : \text{?bphi } (us,s) A A'$ 
using  $\text{Some bari BIH unfolding liftAll2-def}$  by fastforce
hence  $\text{?bphi } (us,s) A ?A1'$  by(rule someI[of ?bphi (us,s) A])
thus  $\text{lift } (\text{recAbs MOD}) \text{ binp1}' i = \text{binp } i$  using  $\text{Some lbinp1}'i$  by simp
qed
qed

let  $?X' = \text{Op delta inp1}' \text{ binp1}'$ 
have  $X' : \text{wls } ?st ?X'$  using  $\text{inp1}' \text{ binp1}'$  by simp
have  $?h ?X' = g\text{Op MOD delta inp1}' \text{ inp binp1}' \text{ binp}$ 
using  $\text{inp1}' \text{ binp1}' \text{ pCons lift-inp1}' \text{ lift-binp1}'$ 
unfolding  $\text{presCons-defs}$  by simp
hence  $?h ?X' = g\text{Op MOD delta inp}' \text{ inp binp}' \text{ binp}$ 
using  $\text{inp}' \text{ inp1}' \text{ inp binp}' \text{ binp1}' \text{ binp assms}$ 
unfolding  $\text{gConsIndif-defs}$  by metis
thus  $\exists X'. \text{wls } (\text{stOf delta}) X' \wedge ?h X' = g\text{Op MOD delta inp}' \text{ inp binp}' \text{ binp}$ 
using  $X'$  by blast
next
fix  $xs s x X' X1'$ 
assume  $xs-s : \text{isInBar } (xs,s)$  and  $X' : \text{wls } s X'$  and
 $hX1' : g\text{Wls MOD s } (?h X1')$  and  $X1' : \text{wls } s X1'$ 
thus  $\exists A'. \text{wlsAbs } (xs,s) A' \wedge ?hA A' = g\text{Abs MOD xs x X'} (?h X1')$ 
apply(intro exI[of - Abs xs x X1'])
using  $\text{pCons unfolding presCons-def presAbs-def apply safe}$ 
apply(elim allE[of - xs]) apply(elim allE[of - x]) apply(elim allE[of - s])
apply simp-all
using assms unfolding  $\text{gConsIndif-defs}$  by blast
qed
}
thus  $?thesis$  unfolding  $\text{isSurjAll-defs}$  by blast
qed

```

For fresh-swap models

```

theorem  $wlsFSw-\text{recAll-isSurjAll}:$ 
 $wlsFSw \text{ MOD} \implies g\text{ConsIndif MOD} \implies g\text{Induct MOD}$ 
 $\implies \text{isSurjAll } (\text{rec MOD}) (\text{recAbs MOD}) \text{ MOD}$ 
using  $wlsFSw-\text{recAll-termFSwMorph}$ 
by (auto simp: termFSwMorph-def intro: gInduct-gConsIndif-recAll-isSurjAll)

```

For fresh-subst models

```

theorem  $wlsFSb-\text{recAll-isSurjAll}:$ 
 $wlsFSb \text{ MOD} \implies g\text{ConsIndif MOD} \implies g\text{Induct MOD}$ 
 $\implies \text{isSurjAll } (\text{rec MOD}) (\text{recAbs MOD}) \text{ MOD}$ 
using  $wlsFSb-\text{recAll-termFSbMorph}$ 
by (auto simp: termFSbMorph-def intro: gInduct-gConsIndif-recAll-isSurjAll)

```

```
lemmas recursion-simps =
fromMOD-simps ipresCons-fromMOD-fst-all-simps fromIMor-simps

declare recursion-simps [simp del]

end

end
```