

# Automatic Data Refinement

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## Abstract

We present the Autoref tool for Isabelle/HOL, which automatically refines algorithms specified over abstract concepts like maps and sets to algorithms over concrete implementations like red-black-trees, and produces a refinement theorem. It is based on ideas borrowed from relational parametricity due to Reynolds and Wadler. The tool allows for rapid prototyping of verified, executable algorithms. Moreover, it can be configured to fine-tune the result to the user's needs. Our tool is able to automatically instantiate generic algorithms, which greatly simplifies the implementation of executable data structures.

This AFP-entry provides the basic tool, which is then used by the Refinement and Collection Framework to provide automatic data refinement for the nondeterminism monad and various collection data-structures.

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# Chapter 1

## Parametricity Solver

### 1.1 Relators

```
theory Relators
imports ..../Lib/Refine-Lib
begin
```

We define the concept of relators. The relation between a concrete type and an abstract type is expressed by a relation of type  $('c \times 'a) \text{ set}$ . For each composed type, say ' $a$  list', we can define a *relator*, that takes as argument a relation for the element type, and returns a relation for the list type. For most datatypes, there exists a *natural relator*. For algebraic datatypes, this is the relator that preserves the structure of the datatype, and changes the components. For example,  $\text{list-rel}:('c \times 'a) \text{ set} \Rightarrow ('c \text{ list} \times 'a \text{ list}) \text{ set}$  is the natural relator for lists.

However, relators can also be used to change the representation, and thus relate an implementation with an abstract type. For example, the relator  $\text{list-set-rel}:('c \times 'a) \text{ set} \Rightarrow ('c \text{ list} \times 'a \text{ set}) \text{ set}$  relates lists with the set of their elements.

In this theory, we define some basic notions for relators, and then define natural relators for all HOL-types, including the function type. For each relator, we also show a single-valuedness property, and initialize a solver for single-valued properties.

#### 1.1.1 Basic Definitions

For smoother handling of relator unification, we require relator arguments to be applied by a special operator, such that we avoid higher-order unification problems. We try to set up some syntax to make this more transparent, and give relators a type-like prefix-syntax.

```
definition relAPP
:: (('c1 \times 'a1) set \Rightarrow -) \Rightarrow ('c1 \times 'a1) set \Rightarrow -
```

```

where relAPP f x ≡ f x

syntax -rel-APP :: args ⇒ 'a ⇒ 'b (⟨⟨-⟩-⟩ [0,900] 900)

syntax-consts -rel-APP == relAPP

translations
⟨x,xs⟩R == ⟨xs⟩(CONST relAPP R x)
⟨x⟩R == CONST relAPP R x

```

$\langle ML \rangle$

### 1.1.2 Basic HOL Relators

#### Function

**definition** fun-rel **where**

fun-rel-def-internal: fun-rel A B ≡ { (f,f'). ∀ (a,a') ∈ A. (f a, f' a') ∈ B }

**abbreviation** fun-rel-syn (infixr ⟨→⟩ 60) **where** A → B ≡ ⟨A,B⟩fun-rel

**lemma** fun-rel-def[refine-rel-defs]:  
 $A \rightarrow B \equiv \{ (f,f'). \forall (a,a') \in A. (f a, f' a') \in B \}$   
 $\langle proof \rangle$

**lemma** fun-relI[intro!]:  $\llbracket \bigwedge a a'. (a,a') \in A \implies (f a, f' a') \in B \rrbracket \implies (f,f') \in A \rightarrow B$   
 $\langle proof \rangle$

**lemma** fun-relD:  
**shows**  $((f,f') \in (A \rightarrow B)) \implies (\bigwedge x x'. \llbracket (x,x') \in A \rrbracket \implies (f x, f' x') \in B)$   
 $\langle proof \rangle$

**lemma** fun-relD1:  
**assumes**  $(f,f') \in Ra \rightarrow Rr$   
**assumes**  $f x = r$   
**shows**  $\forall x'. (x,x') \in Ra \longrightarrow (r,f' x') \in Rr$   
 $\langle proof \rangle$

**lemma** fun-relD2:  
**assumes**  $(f,f') \in Ra \rightarrow Rr$   
**assumes**  $f' x' = r'$   
**shows**  $\forall x. (x,x') \in Ra \longrightarrow (f x, r') \in Rr$   
 $\langle proof \rangle$

**lemma** fun-relE1:  
**assumes**  $(f,f') \in Id \rightarrow Rv$   
**assumes**  $t' = f' x$   
**shows**  $(f x, t') \in Rv$   $\langle proof \rangle$

```
lemma fun-relE2:
  assumes  $(f,f') \in Id \rightarrow Rv$ 
  assumes  $t = fx$ 
  shows  $(t,f'x) \in Rv \langle proof \rangle$ 
```

### Terminal Types

```
abbreviation unit-rel ::  $(unit \times unit)$  set where unit-rel == Id
```

```
abbreviation nat-rel  $\equiv Id::(nat \times -)$  set
abbreviation int-rel  $\equiv Id::(int \times -)$  set
abbreviation bool-rel  $\equiv Id::(bool \times -)$  set
```

### Product

```
definition prod-rel where
  prod-rel-def-internal: prod-rel R1 R2
   $\equiv \{ ((a,b),(a',b')) . (a,a') \in R1 \wedge (b,b') \in R2 \}$ 
```

```
abbreviation prod-rel-syn (infixr  $\times_r$  70) where  $a \times_r b \equiv \langle a,b \rangle$  prod-rel
```

```
lemma prod-rel-def[refine-rel-defs]:
   $((R1,R2)$  prod-rel)  $\equiv \{ ((a,b),(a',b')) . (a,a') \in R1 \wedge (b,b') \in R2 \}$ 
   $\langle proof \rangle$ 
```

```
lemma prod-relI:  $\llbracket (a,a') \in R1; (b,b') \in R2 \rrbracket \implies ((a,b),(a',b')) \in \langle R1,R2 \rangle$  prod-rel
   $\langle proof \rangle$ 
```

```
lemma prod-relE:
  assumes  $(p,p') \in \langle R1,R2 \rangle$  prod-rel
  obtains  $a\ b\ a'\ b'$  where  $p=(a,b)$  and  $p'=(a',b')$ 
  and  $(a,a') \in R1$  and  $(b,b') \in R2$ 
   $\langle proof \rangle$ 
```

```
lemma prod-rel-simp[simp]:
   $((a,b),(a',b')) \in \langle R1,R2 \rangle$  prod-rel  $\longleftrightarrow (a,a') \in R1 \wedge (b,b') \in R2$ 
   $\langle proof \rangle$ 
```

```
lemma in-Domain-prod-rel-iff[iff]:  $(a,b) \in \text{Domain } (A \times_r B) \longleftrightarrow a \in \text{Domain } A \wedge b \in \text{Domain } B$ 
   $\langle proof \rangle$ 
```

```
lemma prod-rel-comp:  $(A \times_r B) O (C \times_r D) = (A O C) \times_r (B O D)$ 
   $\langle proof \rangle$ 
```

### Option

```
definition option-rel where
  option-rel-def-internal:
  option-rel R  $\equiv \{ (\text{Some } a, \text{Some } a') \mid a \neq a'. (a,a') \in R \} \cup \{(\text{None}, \text{None})\}$ 
```

```

lemma option-rel-def[refine-rel-defs]:
   $\langle R \rangle \text{option-rel} \equiv \{ (\text{Some } a, \text{Some } a') \mid a \neq a'. (a, a') \in R \} \cup \{(\text{None}, \text{None})\}$ 
   $\langle \text{proof} \rangle$ 

lemma option-relI:
   $(\text{None}, \text{None}) \in \langle R \rangle \text{option-rel}$ 
   $\llbracket (a, a') \in R \rrbracket \implies (\text{Some } a, \text{Some } a') \in \langle R \rangle \text{option-rel}$ 
   $\langle \text{proof} \rangle$ 

lemma option-relE:
  assumes  $(x, x') \in \langle R \rangle \text{option-rel}$ 
  obtains  $x = \text{None}$  and  $x' = \text{None}$ 
   $| a \neq a' \text{ where } x = \text{Some } a \text{ and } x' = \text{Some } a' \text{ and } (a, a') \in R$ 
   $\langle \text{proof} \rangle$ 

lemma option-rel-simp[simp]:
   $(\text{None}, a) \in \langle R \rangle \text{option-rel} \longleftrightarrow a = \text{None}$ 
   $(c, \text{None}) \in \langle R \rangle \text{option-rel} \longleftrightarrow c = \text{None}$ 
   $(\text{Some } x, \text{Some } y) \in \langle R \rangle \text{option-rel} \longleftrightarrow (x, y) \in R$ 
   $\langle \text{proof} \rangle$ 

```

## Sum

```

definition sum-rel where sum-rel-def-internal:
  sum-rel  $Rl Rr$ 
   $\equiv \{ (\text{Inl } a, \text{Inl } a') \mid a \neq a'. (a, a') \in Rl \} \cup$ 
   $\{ (\text{Inr } a, \text{Inr } a') \mid a \neq a'. (a, a') \in Rr \}$ 

lemma sum-rel-def[refine-rel-defs]:
   $\langle Rl, Rr \rangle \text{sum-rel} \equiv$ 
   $\{ (\text{Inl } a, \text{Inl } a') \mid a \neq a'. (a, a') \in Rl \} \cup$ 
   $\{ (\text{Inr } a, \text{Inr } a') \mid a \neq a'. (a, a') \in Rr \}$ 
   $\langle \text{proof} \rangle$ 

lemma sum-rel-simp[simp]:
   $\bigwedge a \neq a'. (\text{Inl } a, \text{Inl } a') \in \langle Rl, Rr \rangle \text{sum-rel} \longleftrightarrow (a, a') \in Rl$ 
   $\bigwedge a \neq a'. (\text{Inr } a, \text{Inr } a') \in \langle Rl, Rr \rangle \text{sum-rel} \longleftrightarrow (a, a') \in Rr$ 
   $\bigwedge a \neq a'. (\text{Inl } a, \text{Inr } a') \notin \langle Rl, Rr \rangle \text{sum-rel}$ 
   $\bigwedge a \neq a'. (\text{Inr } a, \text{Inl } a') \notin \langle Rl, Rr \rangle \text{sum-rel}$ 
   $\langle \text{proof} \rangle$ 

lemma sum-relI:
   $(l, l') \in Rl \implies (\text{Inl } l, \text{Inl } l') \in \langle Rl, Rr \rangle \text{sum-rel}$ 
   $(r, r') \in Rr \implies (\text{Inr } r, \text{Inr } r') \in \langle Rl, Rr \rangle \text{sum-rel}$ 
   $\langle \text{proof} \rangle$ 

lemma sum-relE:
  assumes  $(x, x') \in \langle Rl, Rr \rangle \text{sum-rel}$ 
  obtains

```

```

l l' where x=Inl l and x'=Inl l' and (l,l')∈Rl
| r r' where x=Inr r and x'=Inr r' and (r,r')∈Rr
⟨proof⟩

```

## Lists

**definition** *list-rel* **where** *list-rel-def-internal*:

$$\text{list-rel } R \equiv \{(l,l'). \text{list-all2 } (\lambda x x'. (x,x') \in R) \text{ l l'}\}$$

**lemma** *list-rel-def[refine-rel-defs]*:

$$\langle R \rangle \text{list-rel} \equiv \{(l,l'). \text{list-all2 } (\lambda x x'. (x,x') \in R) \text{ l l'}\}$$

$$\langle \text{proof} \rangle$$

**lemma** *list-rel-induct[induct set,consumes 1, case-names Nil Cons]*:

**assumes**  $(l,l') \in \langle R \rangle \text{list-rel}$

**assumes**  $P [] []$

**assumes**  $\bigwedge x x' l l'. \llbracket (x,x') \in R; (l,l') \in \langle R \rangle \text{list-rel}; P l l' \rrbracket$

$$\implies P (x \# l) (x' \# l')$$

**shows**  $P l l'$

$$\langle \text{proof} \rangle$$

**lemma** *list-rel-eq-listrel*:  $\text{list-rel} = \text{listrel}$

$$\langle \text{proof} \rangle$$

**lemma** *list-relI*:

$$(\[],[]) \in \langle R \rangle \text{list-rel}$$

$$\llbracket (x,x') \in R; (l,l') \in \langle R \rangle \text{list-rel} \rrbracket \implies (x \# l, x' \# l') \in \langle R \rangle \text{list-rel}$$

$$\langle \text{proof} \rangle$$

**lemma** *list-rel-simp[simp]*:

$$(\[],l') \in \langle R \rangle \text{list-rel} \longleftrightarrow l' = []$$

$$(l,[]) \in \langle R \rangle \text{list-rel} \longleftrightarrow l = []$$

$$(\[],[]) \in \langle R \rangle \text{list-rel}$$

$$(x \# l, x' \# l') \in \langle R \rangle \text{list-rel} \longleftrightarrow (x,x') \in R \wedge (l,l') \in \langle R \rangle \text{list-rel}$$

$$\langle \text{proof} \rangle$$

**lemma** *list-relE1*:

**assumes**  $(l,[]) \in \langle R \rangle \text{list-rel}$  **obtains**  $l = []$   $\langle \text{proof} \rangle$

**lemma** *list-relE2*:

**assumes**  $([],l) \in \langle R \rangle \text{list-rel}$  **obtains**  $l = []$   $\langle \text{proof} \rangle$

**lemma** *list-relE3*:

**assumes**  $(x \# xs, l') \in \langle R \rangle \text{list-rel}$  **obtains**  $x' xs'$  **where**

$l' = x' \# xs'$  **and**  $(x,x') \in R$  **and**  $(xs,xs') \in \langle R \rangle \text{list-rel}$

$$\langle \text{proof} \rangle$$

**lemma** *list-relE4*:

**assumes**  $(l,x' \# xs') \in \langle R \rangle \text{list-rel}$  **obtains**  $x xs$  **where**

$l=x\#xs \text{ and } (x,x')\in R \text{ and } (xs,xs')\in \langle R \rangle \text{list-rel}$   
 $\langle proof \rangle$

**lemmas**  $list\text{-}relE = list\text{-}relE1\ list\text{-}relE2\ list\text{-}relE3\ list\text{-}relE4$

**lemma**  $list\text{-}rel\text{-}imp\text{-}same\text{-}length$ :

$(l, l') \in \langle R \rangle \text{list-rel} \implies \text{length } l = \text{length } l'$   
 $\langle proof \rangle$

**lemma**  $list\text{-}rel\text{-}split\text{-}right\text{-}iff$ :

$(x\#xs, l) \in \langle R \rangle \text{list-rel} \longleftrightarrow (\exists y\ ys. l=y\#ys \wedge (x,y)\in R \wedge (xs,ys)\in \langle R \rangle \text{list-rel})$   
 $\langle proof \rangle$

**lemma**  $list\text{-}rel\text{-}split\text{-}left\text{-}iff$ :

$(l, y\#ys) \in \langle R \rangle \text{list-rel} \longleftrightarrow (\exists x\ xs. l=x\#xs \wedge (x,y)\in R \wedge (xs,ys)\in \langle R \rangle \text{list-rel})$   
 $\langle proof \rangle$

## Sets

Pointwise refinement: The abstract set is the image of the concrete set, and the concrete set only contains elements that have an abstract counterpart

**definition**  $set\text{-}rel$  **where**

*set-rel-def-internal*:

$set\text{-}rel\ R \equiv \{(A,B). (\forall x\in A. \exists y\in B. (x,y)\in R) \wedge (\forall y\in B. \exists x\in A. (x,y)\in R)\}$

**term**  $set\text{-}rel$

**lemma**  $set\text{-}rel\text{-}def[refine\text{-}rel\text{-}defs]$ :

$\langle R \rangle set\text{-}rel \equiv \{(A,B). (\forall x\in A. \exists y\in B. (x,y)\in R) \wedge (\forall y\in B. \exists x\in A. (x,y)\in R)\}$   
 $\langle proof \rangle$

**lemma**  $set\text{-}rel\text{-}alt$ :  $\langle R \rangle set\text{-}rel = \{(A,B). A \subseteq R^{-1}\text{``}B \wedge B \subseteq R\text{``}A\}$   
 $\langle proof \rangle$

**lemma**  $set\text{-}relII[intro?]$ :

**assumes**  $\bigwedge x. x\in A \implies \exists y\in B. (x,y)\in R$   
**assumes**  $\bigwedge y. y\in B \implies \exists x\in A. (x,y)\in R$   
**shows**  $(A,B)\in \langle R \rangle set\text{-}rel$   
 $\langle proof \rangle$

Original definition of  $set\text{-}rel$  in refinement framework. Abandoned in favour of more symmetric definition above:

**definition**  $old\text{-}set\text{-}rel$  **where**  $old\text{-}set\text{-}rel\text{-}def\text{-}internal$ :

$old\text{-}set\text{-}rel\ R \equiv \{(S,S'). S'=R\text{``}S \wedge S \subseteq \text{Domain } R\}$

**lemma**  $old\text{-}set\text{-}rel\text{-}def[refine\text{-}rel\text{-}defs]$ :

$\langle R \rangle old\text{-}set\text{-}rel \equiv \{(S,S'). S'=R\text{``}S \wedge S \subseteq \text{Domain } R\}$

$\langle proof \rangle$

Old definition coincides with new definition for single-valued element relations. This is probably the reason why the old definition worked for most applications.

**lemma** *old-set-rel-sv-eq: single-valued R  $\implies \langle R \rangle old-set-rel = \langle R \rangle set-rel$*

$\langle proof \rangle$

**lemma** *set-rel-simp[simp]:*

$(\{\}, \{\}) \in \langle R \rangle set-rel$

$\langle proof \rangle$

**lemma** *set-rel-empty-iff[simp]:*

$(\{\}, y) \in \langle A \rangle set-rel \iff y = \{\}$

$(x, \{\}) \in \langle A \rangle set-rel \iff x = \{\}$

$\langle proof \rangle$

**lemma** *set-relD1:  $(s, s') \in \langle R \rangle set-rel \implies x \in s \implies \exists x' \in s'. (x, x') \in R$*

$\langle proof \rangle$

**lemma** *set-relD2:  $(s, s') \in \langle R \rangle set-rel \implies x' \in s' \implies \exists x \in s. (x, x') \in R$*

$\langle proof \rangle$

**lemma** *set-relE1 [consumes 2]:*

**assumes**  $(s, s') \in \langle R \rangle set-rel \quad x \in s$

**obtains**  $x'$  **where**  $x' \in s' \quad (x, x') \in R$

$\langle proof \rangle$

**lemma** *set-relE2 [consumes 2]:*

**assumes**  $(s, s') \in \langle R \rangle set-rel \quad x' \in s'$

**obtains**  $x$  **where**  $x \in s \quad (x, x') \in R$

$\langle proof \rangle$

### 1.1.3 Automation

#### A solver for relator properties

**lemma** *relprop-triggers:*

$\bigwedge R. \text{single-valued } R \implies \text{single-valued } R$

$\bigwedge R. R = Id \implies R = Id$

$\bigwedge R. R = Id \implies Id = R$

$\bigwedge R. Range R = UNIV \implies Range R = UNIV$

$\bigwedge R. Range R = UNIV \implies UNIV = Range R$

$\bigwedge R. R' \subseteq R \implies R \subseteq R'$

$\langle proof \rangle$

$\langle ML \rangle$

```
lemma
  relprop-id-orient[relator-props]:  $R=Id \implies Id=R$  and
  relprop-eq-refl[solve-relator-props]:  $t = t$ 
   $\langle proof \rangle$ 
```

```
lemma
  relprop-UNIV-orient[relator-props]:  $R=UNIV \implies UNIV=R$ 
   $\langle proof \rangle$ 
```

### ML-Level utilities

$\langle ML \rangle$

#### 1.1.4 Setup

##### Natural Relators

```
declare [[natural-relator
  unit-rel int-rel nat-rel bool-rel
  fun-rel prod-rel option-rel sum-rel list-rel
  ]]]
```

$\langle ML \rangle$

##### Additional Properties

```
lemmas [relator-props] =
  single-valued-Id
  subset-refl
  refl
```

**lemma** eq-UNIV-iff:  $S=UNIV \longleftrightarrow (\forall x. x \in S)$   $\langle proof \rangle$

```
lemma fun-rel-sv[relator-props]:
  assumes RAN: Range Ra = UNIV
  assumes SV: single-valued Rv
  shows single-valued (Ra → Rv)
   $\langle proof \rangle$ 
```

**lemmas** [relator-props] = Range-*Id*

**lemma** fun-rel-id[relator-props]:  $\llbracket R1=Id; R2=Id \rrbracket \implies R1 \rightarrow R2 = Id$ 
 $\langle proof \rangle$

**lemma** fun-rel-id-simp[simp]:  $Id \rightarrow Id = Id$   $\langle proof \rangle$

**lemma** *fun-rel-comp-dist*[*relator-props*]:

$$(R1 \rightarrow R2) \circ (R3 \rightarrow R4) \subseteq ((R1 \circ R3) \rightarrow (R2 \circ R4))$$

*<proof>*

**lemma** *fun-rel-mono*[*relator-props*]:  $\llbracket R1 \subseteq R2; R3 \subseteq R4 \rrbracket \implies R2 \rightarrow R3 \subseteq R1 \rightarrow R4$

*<proof>*

**lemma** *prod-rel-sv*[*relator-props*]:

$$\llbracket \text{single-valued } R1; \text{single-valued } R2 \rrbracket \implies \text{single-valued } (\langle R1, R2 \rangle \text{prod-rel})$$

*<proof>*

**lemma** *prod-rel-id*[*relator-props*]:  $\llbracket R1 = Id; R2 = Id \rrbracket \implies \langle R1, R2 \rangle \text{prod-rel} = Id$

*<proof>*

**lemma** *prod-rel-id-simp*[*simp*]:  $\langle Id, Id \rangle \text{prod-rel} = Id$  *<proof>*

**lemma** *prod-rel-mono*[*relator-props*]:

$$\llbracket R2 \subseteq R1; R3 \subseteq R4 \rrbracket \implies \langle R2, R3 \rangle \text{prod-rel} \subseteq \langle R1, R4 \rangle \text{prod-rel}$$

*<proof>*

**lemma** *prod-rel-range*[*relator-props*]:  $\llbracket \text{Range } Ra = UNIV; \text{Range } Rb = UNIV \rrbracket$

$$\implies \text{Range } (\langle Ra, Rb \rangle \text{prod-rel}) = UNIV$$

*<proof>*

**lemma** *option-rel-sv*[*relator-props*]:

$$\llbracket \text{single-valued } R \rrbracket \implies \text{single-valued } (\langle R \rangle \text{option-rel})$$

*<proof>*

**lemma** *option-rel-id*[*relator-props*]:

$$R = Id \implies \langle R \rangle \text{option-rel} = Id$$

*<proof>*

**lemma** *option-rel-id-simp*[*simp*]:  $\langle Id \rangle \text{option-rel} = Id$  *<proof>*

**lemma** *option-rel-mono*[*relator-props*]:  $R \subseteq R' \implies \langle R \rangle \text{option-rel} \subseteq \langle R' \rangle \text{option-rel}$

*<proof>*

**lemma** *option-rel-range*:  $\text{Range } R = UNIV \implies \text{Range } (\langle R \rangle \text{option-rel}) = UNIV$

*<proof>*

**lemma** *option-rel-inter*[*simp*]:  $\langle R1 \cap R2 \rangle \text{option-rel} = \langle R1 \rangle \text{option-rel} \cap \langle R2 \rangle \text{option-rel}$

*<proof>*

**lemma** *option-rel-constraint*[*simp*]:

$$(x, x) \in \langle \text{UNIV} \times C \rangle \text{option-rel} \longleftrightarrow (\forall v. x = \text{Some } v \longrightarrow v \in C)$$

*<proof>*

**lemma** *sum-rel-sv*[*relator-props*]:

$\llbracket \text{single-valued } Rl; \text{ single-valued } Rr \rrbracket \implies \text{single-valued } (\langle Rl, Rr \rangle \text{sum-rel})$   
 $\langle \text{proof} \rangle$

**lemma** *sum-rel-id[relator-props]*:  $\llbracket Rl=Id; Rr=Id \rrbracket \implies \langle Rl, Rr \rangle \text{sum-rel} = Id$   
 $\langle \text{proof} \rangle$

**lemma** *sum-rel-id-simp[simp]*:  $\langle Id, Id \rangle \text{sum-rel} = Id$   $\langle \text{proof} \rangle$

**lemma** *sum-rel-mono[relator-props]*:  
 $\llbracket Rl \subseteq Rl'; Rr \subseteq Rr' \rrbracket \implies \langle Rl, Rr \rangle \text{sum-rel} \subseteq \langle Rl', Rr' \rangle \text{sum-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *sum-rel-range[relator-props]*:  
 $\llbracket \text{Range } Rl = \text{UNIV}; \text{Range } Rr = \text{UNIV} \rrbracket \implies \text{Range } (\langle Rl, Rr \rangle \text{sum-rel}) = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *list-rel-sv-iff*:  
 $\text{single-valued } (\langle R \rangle \text{list-rel}) \longleftrightarrow \text{single-valued } R$   
 $\langle \text{proof} \rangle$

**lemma** *list-rel-sv[relator-props]*:  
 $\text{single-valued } R \implies \text{single-valued } (\langle R \rangle \text{list-rel})$   
 $\langle \text{proof} \rangle$

**lemma** *list-rel-id[relator-props]*:  $\llbracket R=Id \rrbracket \implies \langle R \rangle \text{list-rel} = Id$   
 $\langle \text{proof} \rangle$

**lemma** *list-rel-id-simp[simp]*:  $\langle Id \rangle \text{list-rel} = Id$   $\langle \text{proof} \rangle$

**lemma** *list-rel-mono[relator-props]*:  
**assumes**  $A: R \subseteq R'$   
**shows**  $\langle R \rangle \text{list-rel} \subseteq \langle R' \rangle \text{list-rel}$   
 $\langle \text{proof} \rangle$

**lemma** *list-rel-range[relator-props]*:  
**assumes**  $A: \text{Range } R = \text{UNIV}$   
**shows**  $\text{Range } (\langle R \rangle \text{list-rel}) = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *bijection-imp-sv*:  
 $\text{bijection } R \implies \text{single-valued } R$   
 $\text{bijection } R \implies \text{single-valued } (R^{-1})$   
 $\langle \text{proof} \rangle$

**declare** *bijection-Id[relator-props]*  
**declare** *bijection-Empty[relator-props]*

Pointwise refinement for set types:

```

lemma set-rel-sv[relator-props]:
  single-valued  $R \implies$  single-valued  $(\langle R \rangle \text{set-rel})$ 
   $\langle \text{proof} \rangle$ 

lemma set-rel-id[relator-props]:  $R = \text{Id} \implies \langle R \rangle \text{set-rel} = \text{Id}$ 
   $\langle \text{proof} \rangle$ 

lemma set-rel-id-simp[simp]:  $\langle \text{Id} \rangle \text{set-rel} = \text{Id} \langle \text{proof} \rangle$ 

lemma set-rel-csv[relator-props]:
   $\llbracket \text{single-valued } (R^{-1}) \rrbracket$ 
   $\implies \text{single-valued } ((\langle R \rangle \text{set-rel})^{-1})$ 
   $\langle \text{proof} \rangle$ 

```

### 1.1.5 Invariant and Abstraction

Quite often, a relation can be described as combination of an abstraction function and an invariant, such that the invariant describes valid values on the concrete domain, and the abstraction function maps valid concrete values to its corresponding abstract value.

```

definition build-rel where
  build-rel  $\alpha I \equiv \{(c,a) . a = \alpha c \wedge I c\}$ 
abbreviation br  $\equiv$  build-rel
lemmas br-def[refine-rel-defs] = build-rel-def

lemma in-br-conv:  $(c,a) \in br \alpha I \longleftrightarrow a = \alpha c \wedge I c$ 
   $\langle \text{proof} \rangle$ 

lemma brI[intro?]:  $\llbracket a = \alpha c; I c \rrbracket \implies (c,a) \in br \alpha I$ 
   $\langle \text{proof} \rangle$ 

lemma br-id[simp]: br id  $(\lambda s. \text{True}) = \text{Id}$ 
   $\langle \text{proof} \rangle$ 

lemma br-chain:
   $(\text{build-rel } \beta J) O (\text{build-rel } \alpha I) = \text{build-rel } (\alpha \circ \beta) (\lambda s. J s \wedge I (\beta s))$ 
   $\langle \text{proof} \rangle$ 

lemma br-sv[simp, intro!, relator-props]: single-valued  $(br \alpha I)$ 
   $\langle \text{proof} \rangle$ 

lemma converse-br-sv-iff[simp]:
  single-valued  $(\text{converse } (br \alpha I)) \longleftrightarrow \text{inj-on } \alpha (\text{Collect } I)$ 
   $\langle \text{proof} \rangle$ 

lemmas [relator-props] = single-valued-relcomp

lemma br-comp-alt:  $br \alpha I O R = \{ (c,a) . I c \wedge (\alpha c, a) \in R \}$ 

```

```

⟨proof⟩

lemma br-comp-alt':
  { (c,a) . a=α c ∧ I c } O R = { (c,a) . I c ∧ (α c,a) ∈ R }
  ⟨proof⟩

lemma single-valued-as-brE:
  assumes single-valued R
  obtains α invar where R=br α invar
  ⟨proof⟩

lemma sv-add-invar:
  single-valued R  $\implies$  single-valued { (c, a) . (c, a) ∈ R ∧ I c }
  ⟨proof⟩

lemma br-Image-conv[simp]: br α I “ S = { α x | x. x ∈ S ∧ I x }
  ⟨proof⟩

```

### 1.1.6 Miscellaneous

```

lemma rel-cong: (f,g) ∈ Id  $\implies$  (x,y) ∈ Id  $\implies$  (f x, g y) ∈ Id ⟨proof⟩
lemma rel-fun-cong: (f,g) ∈ Id  $\implies$  (f x, g x) ∈ Id ⟨proof⟩
lemma rel-arg-cong: (x,y) ∈ Id  $\implies$  (f x, f y) ∈ Id ⟨proof⟩

```

### 1.1.7 Conversion between Predicate and Set Based Relators

Autoref uses set-based relators of type  $('a \times 'b) set$ , while the transfer and lifting package of Isabelle/HOL uses predicate based relators of type  $'a \Rightarrow 'b \Rightarrow bool$ . This section defines some utilities to convert between the two.

```

definition rel2p R x y ≡ (x,y) ∈ R
definition p2rel P ≡ { (x,y) . P x y }

lemma rel2pD: [rel2p R a b]  $\implies$  (a,b) ∈ R ⟨proof⟩
lemma p2relD: [(a,b) ∈ p2rel R]  $\implies$  R a b ⟨proof⟩

```

```

lemma rel2p-inv[simp]:
  rel2p (p2rel P) = P
  p2rel (rel2p R) = R
  ⟨proof⟩

```

```

named-theorems rel2p
named-theorems p2rel

```

```

lemma rel2p-dflt[rel2p]:
  rel2p Id = (=)
  rel2p (A → B) = rel-fun (rel2p A) (rel2p B)
  rel2p (A × r B) = rel-prod (rel2p A) (rel2p B)
  rel2p ((A,B) sum-rel) = rel-sum (rel2p A) (rel2p B)
  rel2p ((A) option-rel) = rel-option (rel2p A)

```

**lemma**  $\text{rel2p} (\langle A \rangle \text{list-rel}) = \text{list-all2} (\text{rel2p } A)$   
 $\langle \text{proof} \rangle$

**lemma**  $p2rel-dftl[p2rel]:$   
 $p2rel (=) = Id$   
 $p2rel (\text{rel-fun } A B) = p2rel A \rightarrow p2rel B$   
 $p2rel (\text{rel-prod } A B) = p2rel A \times_r p2rel B$   
 $p2rel (\text{rel-sum } A B) = \langle p2rel A, p2rel B \rangle \text{sum-rel}$   
 $p2rel (\text{rel-option } A) = \langle p2rel A \rangle \text{option-rel}$   
 $p2rel (\text{list-all2 } A) = \langle p2rel A \rangle \text{list-rel}$   
 $\langle \text{proof} \rangle$

**lemma** [ $\text{rel2p}$ ]:  $\text{rel2p} (\langle A \rangle \text{set-rel}) = \text{rel-set} (\text{rel2p } A)$   
 $\langle \text{proof} \rangle$

**lemma** [ $\text{p2rel}$ ]:  $\text{left-unique } A \implies p2rel (\text{rel-set } A) = (\langle p2rel A \rangle \text{set-rel})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel2p-comp}$ :  $\text{rel2p } A \text{ OO rel2p } B = \text{rel2p } (A \text{ O } B)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel2p-inj[simp]}$ :  $\text{rel2p } A = \text{rel2p } B \longleftrightarrow A=B$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel2p-left-unique}$ :  $\text{left-unique } (\text{rel2p } A) = \text{single-valued } (A^{-1})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel2p-right-unique}$ :  $\text{right-unique } (\text{rel2p } A) = \text{single-valued } A$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel2p-bi-unique}$ :  $\text{bi-unique } (\text{rel2p } A) \longleftrightarrow \text{single-valued } A \wedge \text{single-valued } (A^{-1})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{p2rel-left-unique}$ :  $\text{single-valued } ((p2rel A)^{-1}) = \text{left-unique } A$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{p2rel-right-unique}$ :  $\text{single-valued } (p2rel A) = \text{right-unique } A$   
 $\langle \text{proof} \rangle$

### 1.1.8 More Properties

**lemma**  $\text{list-rel-compp}$ :  $\langle A \text{ O } B \rangle \text{list-rel} = \langle A \rangle \text{list-rel} \text{ O } \langle B \rangle \text{list-rel}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{option-rel-compp}$ :  $\langle A \text{ O } B \rangle \text{option-rel} = \langle A \rangle \text{option-rel} \text{ O } \langle B \rangle \text{option-rel}$   
 $\langle \text{proof} \rangle$

```

lemma prod-rel-compp:  $\langle A \ O \ B, C \ O \ D \rangle_{prod\text{-}rel} = \langle A, C \rangle_{prod\text{-}rel} \ O \ \langle B, D \rangle_{prod\text{-}rel}$ 
   $\langle proof \rangle$ 

lemma sum-rel-compp:  $\langle A \ O \ B, C \ O \ D \rangle_{sum\text{-}rel} = \langle A, C \rangle_{sum\text{-}rel} \ O \ \langle B, D \rangle_{sum\text{-}rel}$ 
   $\langle proof \rangle$ 

lemma set-rel-compp:  $\langle A \ O \ B \rangle_{set\text{-}rel} = \langle A \rangle_{set\text{-}rel} \ O \ \langle B \rangle_{set\text{-}rel}$ 
   $\langle proof \rangle$ 

lemma map-in-list-rel-conv:
  shows  $(l, map \alpha l) \in \langle br \alpha I \rangle_{list\text{-}rel} \longleftrightarrow (\forall x \in set l. I x)$ 
   $\langle proof \rangle$ 

lemma br-set-rel-alt:  $(s', s) \in \langle br \alpha I \rangle_{set\text{-}rel} \longleftrightarrow (s = \alpha 's' \wedge (\forall x \in s'. I x))$ 
   $\langle proof \rangle$ 

lemma finite-Image-sv:  $single\text{-}valued R \implies finite s \implies finite (R 's)$ 
   $\langle proof \rangle$ 

lemma finite-set-rel-transfer:  $\llbracket (s, s') \in \langle R \rangle_{set\text{-}rel}; single\text{-}valued R; finite s \rrbracket \implies finite s'$ 
   $\langle proof \rangle$ 

lemma finite-set-rel-transfer-back:  $\llbracket (s, s') \in \langle R \rangle_{set\text{-}rel}; single\text{-}valued (R^{-1}); finite s' \rrbracket \implies finite s$ 
   $\langle proof \rangle$ 

end

```

## 1.2 Basic Parametricity Reasoning

```

theory Param-Tool
imports Relators
begin

```

### 1.2.1 Auxiliary Lemmas

```

lemma tag-both:  $\llbracket (Let x f, Let x' f') \in R \rrbracket \implies (f x, f' x') \in R$   $\langle proof \rangle$ 
lemma tag-rhs:  $\llbracket (c, Let x f) \in R \rrbracket \implies (c, f x) \in R$   $\langle proof \rangle$ 
lemma tag-lhs:  $\llbracket (Let x f, a) \in R \rrbracket \implies (f x, a) \in R$   $\langle proof \rangle$ 

```

```

lemma tagged-fun-relD-both:
   $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (Let x f, Let x' f') \in B$ 
  and tagged-fun-relD-rhs:  $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (f x, Let x' f') \in B$ 
  and tagged-fun-relD-lhs:  $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (Let x f, f' x') \in B$ 
  and tagged-fun-relD-none:  $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (f x, f' x') \in B$ 

```

$\langle proof \rangle$

### 1.2.2 ML-Setup

$\langle ML \rangle$

### 1.2.3 Convenience Tools

$\langle ML \rangle$

end

## 1.3 Parametricity Theorems for HOL

theory *Param-HOL*

imports *Param-Tool*

begin

### 1.3.1 Sets

lemma *param-empty*[*param*]:  
 $(\{\}, \{\}) \in \langle R \rangle \text{set-rel}$   $\langle proof \rangle$

lemma *param-member*[*param*]:  
 $\llbracket \text{single-valued } R; \text{single-valued } (R^{-1}) \rrbracket \implies ((\in), (\in)) \in R \rightarrow \langle R \rangle \text{set-rel} \rightarrow \text{bool-rel}$

$\langle proof \rangle$

lemma *param-insert*[*param*]:  
 $(\text{insert}, \text{insert}) \in R \rightarrow \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel}$   
 $\langle proof \rangle$

lemma *param-union*[*param*]:  
 $((\cup), (\cup)) \in \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel}$   
 $\langle proof \rangle$

lemma *param-inter*[*param*]:  
assumes *single-valued R*    *single-valued (R<sup>-1</sup>)*  
shows  $((\cap), (\cap)) \in \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel}$   
 $\langle proof \rangle$

lemma *param-diff*[*param*]:  
assumes *single-valued R*    *single-valued (R<sup>-1</sup>)*  
shows  $((-), (-)) \in \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel}$   
 $\langle proof \rangle$

lemma *param-subseteq*[*param*]:

```

 $\llbracket \text{single-valued } R; \text{ single-valued } (R^{-1}) \rrbracket \implies ((\subseteq), (\subseteq)) \in \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel}$ 
 $\rightarrow \text{bool-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma param-subset[param]:
 $\llbracket \text{single-valued } R; \text{ single-valued } (R^{-1}) \rrbracket \implies ((\subset), (\subset)) \in \langle R \rangle \text{set-rel} \rightarrow \langle R \rangle \text{set-rel}$ 
 $\rightarrow \text{bool-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma param-Ball[param]:  $(\text{Ball}, \text{Ball}) \in \langle Ra \rangle \text{set-rel} \rightarrow (Ra \rightarrow Id) \rightarrow Id$ 
 $\langle \text{proof} \rangle$ 

lemma param-Bex[param]:  $(\text{Bex}, \text{Bex}) \in \langle Ra \rangle \text{set-rel} \rightarrow (Ra \rightarrow Id) \rightarrow Id$ 
 $\langle \text{proof} \rangle$ 

lemma param-set[param]:
 $\text{single-valued } Ra \implies (\text{set}, \text{set}) \in \langle Ra \rangle \text{list-rel} \rightarrow \langle Ra \rangle \text{set-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma param-Collect[param]:
 $\llbracket \text{Domain } A = UNIV; \text{ Range } A = UNIV \rrbracket \implies (\text{Collect}, \text{Collect}) \in (A \rightarrow \text{bool-rel}) \rightarrow$ 
 $\langle A \rangle \text{set-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma param-finite[param]:  $\llbracket$ 
 $\text{single-valued } R; \text{ single-valued } (R^{-1})$ 
 $\rrbracket \implies (\text{finite}, \text{finite}) \in \langle R \rangle \text{set-rel} \rightarrow \text{bool-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma param-card[param]:  $\llbracket \text{single-valued } R; \text{ single-valued } (R^{-1}) \rrbracket$ 
 $\implies (\text{card}, \text{card}) \in \langle R \rangle \text{set-rel} \rightarrow \text{nat-rel}$ 
 $\langle \text{proof} \rangle$ 

```

### 1.3.2 Standard HOL Constructs

```

lemma param-if[param]:
assumes  $(c, c') \in Id$ 
assumes  $\llbracket c; c' \rrbracket \implies (t, t') \in R$ 
assumes  $\llbracket \neg c; \neg c' \rrbracket \implies (e, e') \in R$ 
shows  $(\text{If } c \text{ } t \text{ } e, \text{ If } c' \text{ } t' \text{ } e') \in R$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma param-Let[param]:
 $(\text{Let}, \text{Let}) \in Ra \rightarrow (Ra \rightarrow Rr) \rightarrow Rr$ 
 $\langle \text{proof} \rangle$ 

```

### 1.3.3 Functions

```

lemma param-id[param]:  $(id, id) \in R \rightarrow R$   $\langle \text{proof} \rangle$ 

```

```

lemma param-fun-comp[param]:  $((o), (o)) \in (Ra \rightarrow Rb) \rightarrow (Rc \rightarrow Ra) \rightarrow Rc \rightarrow Rb$ 
   $\langle proof \rangle$ 

lemma param-fun-upd[param]:
   $((=), (=)) \in Ra \rightarrow Ra \rightarrow Id$ 
   $\implies (fun-upd, fun-upd) \in (Ra \rightarrow Rb) \rightarrow Ra \rightarrow Rb \rightarrow Ra \rightarrow Rb$ 
   $\langle proof \rangle$ 

```

### 1.3.4 Boolean

```

lemma rec-bool-is-case:  $old.rec\_bool = case\_bool$ 
   $\langle proof \rangle$ 

```

```

lemma param-bool[param]:
   $(True, True) \in Id$ 
   $(False, False) \in Id$ 
   $(conj, conj) \in Id \rightarrow Id \rightarrow Id$ 
   $(disj, disj) \in Id \rightarrow Id \rightarrow Id$ 
   $(Not, Not) \in Id \rightarrow Id$ 
   $(case\_bool, case\_bool) \in R \rightarrow R \rightarrow Id \rightarrow R$ 
   $(old.rec\_bool, old.rec\_bool) \in R \rightarrow R \rightarrow Id \rightarrow R$ 
   $((\leftarrow\rightarrow), (\leftarrow\rightarrow)) \in Id \rightarrow Id \rightarrow Id$ 
   $((\rightarrow\leftarrow), (\rightarrow\leftarrow)) \in Id \rightarrow Id \rightarrow Id$ 
   $\langle proof \rangle$ 

```

```

lemma param-and-cong1:  $\llbracket (a, a') \in bool\_rel; [a; a'] \rrbracket \implies (b, b') \in bool\_rel \rrbracket \implies (a \wedge b, a' \wedge b') \in bool\_rel$ 
   $\langle proof \rangle$ 
lemma param-and-cong2:  $\llbracket (a, a') \in bool\_rel; [a; a'] \rrbracket \implies (b, b') \in bool\_rel \rrbracket \implies (b \wedge a, b' \wedge a') \in bool\_rel$ 
   $\langle proof \rangle$ 

```

### 1.3.5 Nat

```

lemma param-nat1[param]:
   $(0, 0::nat) \in Id$ 
   $(Suc, Suc) \in Id \rightarrow Id$ 
   $(1, 1::nat) \in Id$ 
   $(numeral n::nat, numeral n::nat) \in Id$ 
   $((<), (<) :: nat \Rightarrow -) \in Id \rightarrow Id \rightarrow Id$ 
   $((\leq), (\leq) :: nat \Rightarrow -) \in Id \rightarrow Id \rightarrow Id$ 
   $((=), (=) :: nat \Rightarrow -) \in Id \rightarrow Id \rightarrow Id$ 
   $((+) :: nat \Rightarrow -, (+)) \in Id \rightarrow Id \rightarrow Id$ 
   $((-) :: nat \Rightarrow -, (-)) \in Id \rightarrow Id \rightarrow Id$ 
   $((*) :: nat \Rightarrow -, (*)) \in Id \rightarrow Id \rightarrow Id$ 
   $((div) :: nat \Rightarrow -, (div)) \in Id \rightarrow Id \rightarrow Id$ 
   $((mod) :: nat \Rightarrow -, (mod)) \in Id \rightarrow Id \rightarrow Id$ 
   $\langle proof \rangle$ 

```

```

lemma param-case-nat[param]:
   $(case\_nat, case\_nat) \in Ra \rightarrow (Id \rightarrow Ra) \rightarrow Id \rightarrow Ra$ 

```

$\langle proof \rangle$

**lemma** *param-rec-nat*[*param*]:  
 $(rec\text{-}nat, rec\text{-}nat) \in R \rightarrow (Id \rightarrow R \rightarrow R) \rightarrow Id \rightarrow R$   
 $\langle proof \rangle$

### 1.3.6 Int

**lemma** *param-int*[*param*]:  
 $(0, 0::int) \in Id$   
 $(1, 1::int) \in Id$   
 $(numeral n::int, numeral n::int) \in Id$   
 $((<), (<) ::int \Rightarrow -) \in Id \rightarrow Id \rightarrow Id$   
 $((\leq), (\leq) ::int \Rightarrow -) \in Id \rightarrow Id \rightarrow Id$   
 $((=), (=) ::int \Rightarrow -) \in Id \rightarrow Id \rightarrow Id$   
 $((+) ::int \Rightarrow -, (+)) \in Id \rightarrow Id \rightarrow Id$   
 $((-) ::int \Rightarrow -, (-)) \in Id \rightarrow Id \rightarrow Id$   
 $((*) ::int \Rightarrow -, (*)) \in Id \rightarrow Id \rightarrow Id$   
 $((div) ::int \Rightarrow -, (div)) \in Id \rightarrow Id \rightarrow Id$   
 $((mod) ::int \Rightarrow -, (mod)) \in Id \rightarrow Id \rightarrow Id$   
 $\langle proof \rangle$

### 1.3.7 Product

**lemma** *param-unit*[*param*]:  $(((), ()) \in unit\text{-}rel)$   $\langle proof \rangle$

**lemma** *rec-prod-is-case*:  $old.rec\text{-}prod = case\text{-}prod$   
 $\langle proof \rangle$

**lemma** *param-prod*[*param*]:  
 $(Pair, Pair) \in Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle prod\text{-}rel$   
 $(case\text{-}prod, case\text{-}prod) \in (Ra \rightarrow Rb \rightarrow Rr) \rightarrow \langle Ra, Rb \rangle prod\text{-}rel \rightarrow Rr$   
 $(old.rec\text{-}prod, old.rec\text{-}prod) \in (Ra \rightarrow Rb \rightarrow Rr) \rightarrow \langle Ra, Rb \rangle prod\text{-}rel \rightarrow Rr$   
 $(fst, fst) \in \langle Ra, Rb \rangle prod\text{-}rel \rightarrow Ra$   
 $(snd, snd) \in \langle Ra, Rb \rangle prod\text{-}rel \rightarrow Rb$   
 $\langle proof \rangle$

**lemma** *param-case-prod'*:  
 $\llbracket (p, p') \in \langle Ra, Rb \rangle prod\text{-}rel; \wedge a b a' b'. \llbracket p = (a, b); p' = (a', b'); (a, a') \in Ra; (b, b') \in Rb \rrbracket \implies (f a b, f' a' b') \in R \rrbracket \implies (case\text{-}prod f p, case\text{-}prod f' p') \in R$   
 $\langle proof \rangle$

**lemma** *param-case-prod''*:  
 $\llbracket \wedge a b a' b'. \llbracket p = (a, b); p' = (a', b') \rrbracket \implies (f a b, f' a' b') \in R \rrbracket \implies (case\text{-}prod f p, case\text{-}prod f' p') \in R$   
 $\langle proof \rangle$

```

lemma param-map-prod[param]:
  (map-prod, map-prod)
   $\in (Ra \rightarrow Rb) \rightarrow (Rc \rightarrow Rd) \rightarrow \langle Ra, Rc \rangle \text{prod-rel} \rightarrow \langle Rb, Rd \rangle \text{prod-rel}$ 
  ⟨proof⟩

lemma param-apfst[param]:
  (apfst, apfst)  $\in (Ra \rightarrow Rb) \rightarrow \langle Ra, Rc \rangle \text{prod-rel} \rightarrow \langle Rb, Rc \rangle \text{prod-rel}$ 
  ⟨proof⟩

lemma param-apsnd[param]:
  (apsnd, apsnd)  $\in (Rb \rightarrow Rc) \rightarrow \langle Ra, Rb \rangle \text{prod-rel} \rightarrow \langle Ra, Rc \rangle \text{prod-rel}$ 
  ⟨proof⟩

lemma param-curry[param]:
  (curry, curry)  $\in (\langle Ra, Rb \rangle \text{prod-rel} \rightarrow Rc) \rightarrow Ra \rightarrow Rb \rightarrow Rc$ 
  ⟨proof⟩

lemma param-uncurry[param]: (uncurry, uncurry)  $\in (A \rightarrow B \rightarrow C) \rightarrow A \times_r B \rightarrow C$ 
  ⟨proof⟩

lemma param-prod-swap[param]: (prod.swap, prod.swap)  $\in A \times_r B \rightarrow B \times_r A$  ⟨proof⟩

context partial-function-definitions begin
  lemma
    assumes M: monotone le-fun le-fun F
    and M': monotone le-fun le-fun F'
    assumes ADM:
      admissible ( $\lambda a. \forall x xa. (x, xa) \in Rb \longrightarrow (a x, \text{fixp-fun } F' xa) \in Ra$ )
    assumes bot:  $\bigwedge x xa. (x, xa) \in Rb \implies (\text{lub } \{\}, \text{fixp-fun } F' xa) \in Ra$ 
    assumes F:  $(F, F') \in (Rb \rightarrow Ra) \rightarrow Rb \rightarrow Ra$ 
    assumes A:  $(x, x') \in Rb$ 
    shows  $(\text{fixp-fun } F x, \text{fixp-fun } F' x') \in Ra$ 
    ⟨proof⟩
  end

```

### 1.3.8 Option

```

lemma param-option[param]:
  (None, None)  $\in \langle R \rangle \text{option-rel}$ 
  (Some, Some)  $\in R \rightarrow \langle R \rangle \text{option-rel}$ 
  (case-option, case-option)  $\in Rr \rightarrow (R \rightarrow Rr) \rightarrow \langle R \rangle \text{option-rel} \rightarrow Rr$ 
  (rec-option, rec-option)  $\in Rr \rightarrow (R \rightarrow Rr) \rightarrow \langle R \rangle \text{option-rel} \rightarrow Rr$ 
  ⟨proof⟩

lemma param-map-option[param]: (map-option, map-option)  $\in (A \rightarrow B) \rightarrow \langle A \rangle \text{option-rel} \rightarrow \langle B \rangle \text{option-rel}$ 
  ⟨proof⟩

```

```
lemma param-case-option':
   $\llbracket (x,x') \in \langle Rv \rangle \text{option-rel};$ 
     $\llbracket x = \text{None}; x' = \text{None} \rrbracket \implies (fn, fn') \in R;$ 
     $\wedge v v'. \llbracket x = \text{Some } v; x' = \text{Some } v'; (v, v') \in Rv \rrbracket \implies (fs v, fs' v') \in R$ 
   $\rrbracket \implies (\text{case-option } fn \text{ } fs \text{ } x, \text{case-option } fn' \text{ } fs' \text{ } x') \in R$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma the-paramL:  $\llbracket l \neq \text{None}; (l, r) \in \langle R \rangle \text{option-rel} \rrbracket \implies (\text{the } l, \text{the } r) \in R$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma the-paramR:  $\llbracket r \neq \text{None}; (l, r) \in \langle R \rangle \text{option-rel} \rrbracket \implies (\text{the } l, \text{the } r) \in R$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma the-default-param[param]:
   $(\text{the-default}, \text{the-default}) \in R \rightarrow \langle R \rangle \text{option-rel} \rightarrow R$ 
   $\langle \text{proof} \rangle$ 
```

### 1.3.9 Sum

```
lemma rec-sum-is-case:  $old.\text{rec-sum} = \text{case-sum}$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma param-sum[param]:
   $(Inl, Inl) \in Rl \rightarrow \langle Rl, Rr \rangle \text{sum-rel}$ 
   $(Inr, Inr) \in Rr \rightarrow \langle Rl, Rr \rangle \text{sum-rel}$ 
   $(\text{case-sum}, \text{case-sum}) \in (Rl \rightarrow R) \rightarrow (Rr \rightarrow R) \rightarrow \langle Rl, Rr \rangle \text{sum-rel} \rightarrow R$ 
   $(old.\text{rec-sum}, old.\text{rec-sum}) \in (Rl \rightarrow R) \rightarrow (Rr \rightarrow R) \rightarrow \langle Rl, Rr \rangle \text{sum-rel} \rightarrow R$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma param-case-sum':
   $\llbracket (s, s') \in \langle Rl, Rr \rangle \text{sum-rel};$ 
     $\llbracket \wedge l l'. \llbracket s = Inl l; s' = Inl l' \rrbracket; (l, l') \in Rl \rrbracket \implies (fl l, fl' l') \in R;$ 
     $\wedge r r'. \llbracket s = Inr r; s' = Inr r' \rrbracket; (r, r') \in Rr \rrbracket \implies (fr r, fr' r') \in R$ 
   $\rrbracket \implies (\text{case-sum } fl \text{ } fr \text{ } s, \text{case-sum } fl' \text{ } fr' \text{ } s') \in R$ 
   $\langle \text{proof} \rangle$ 
```

```
primrec is-Inl where is-Inl (Inl -) = True | is-Inl (Inr -) = False
primrec is-Inr where is-Inr (Inr -) = True | is-Inr (Inl -) = False
```

```
lemma is-Inl-param[param]:  $(is\text{-}Inl, is\text{-}Inl) \in \langle Ra, Rb \rangle \text{sum-rel} \rightarrow \text{bool-rel}$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma is-Inr-param[param]:  $(is\text{-}Inr, is\text{-}Inr) \in \langle Ra, Rb \rangle \text{sum-rel} \rightarrow \text{bool-rel}$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma sum-proj1-param[param]:
   $\llbracket is\text{-}Inl s; (s', s) \in \langle Ra, Rb \rangle \text{sum-rel} \rrbracket$ 
   $\implies (\text{Sum-Type.sum.proj1 } s', \text{Sum-Type.sum.proj1 } s) \in Ra$ 
   $\langle \text{proof} \rangle$ 
```

**lemma** *sum-projr-param*[*param*]:  
 $\llbracket \text{is-Inr } s; (s',s) \in \langle Ra, Rb \rangle \text{sum-rel} \rrbracket$   
 $\implies (\text{Sum-Type.sum.projr } s', \text{Sum-Type.sum.projr } s) \in Rb$   
*(proof)*

### 1.3.10 List

**lemma** *list-rel-append1*:  $(as @ bs, l) \in \langle R \rangle \text{list-rel}$   
 $\longleftrightarrow (\exists cs ds. l = cs @ ds \wedge (as, cs) \in \langle R \rangle \text{list-rel} \wedge (bs, ds) \in \langle R \rangle \text{list-rel})$   
*(proof)*

**lemma** *list-rel-append2*:  $(l, as @ bs) \in \langle R \rangle \text{list-rel}$   
 $\longleftrightarrow (\exists cs ds. l = cs @ ds \wedge (cs, as) \in \langle R \rangle \text{list-rel} \wedge (ds, bs) \in \langle R \rangle \text{list-rel})$   
*(proof)*

**lemma** *param-append*[*param*]:  
 $(append, append) \in \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$   
*(proof)*

**lemma** *param-list1*[*param*]:  
 $(Nil, Nil) \in \langle R \rangle \text{list-rel}$   
 $(Cons, Cons) \in R \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$   
 $(case-list, case-list) \in Rr \rightarrow (R \rightarrow \langle R \rangle \text{list-rel} \rightarrow Rr) \rightarrow \langle R \rangle \text{list-rel} \rightarrow Rr$   
*(proof)*

**lemma** *param-rec-list*[*param*]:  
 $(rec-list, rec-list) \in Ra \rightarrow (Rb \rightarrow \langle Rb \rangle \text{list-rel} \rightarrow Ra \rightarrow Ra) \rightarrow \langle Rb \rangle \text{list-rel} \rightarrow Ra$   
*(proof)*

**lemma** *param-case-list'*:  
 $\llbracket (l, l') \in \langle Rb \rangle \text{list-rel};$   
 $\llbracket l = []; l' = [] \rrbracket \implies (n, n') \in Ra;$   
 $\wedge x \ xs \ x' \ xs'. \llbracket l = x \# xs; l' = x' \# xs'; (x, x') \in Rb; (xs, xs') \in \langle Rb \rangle \text{list-rel} \rrbracket$   
 $\implies (c \ x \ xs, c' \ x' \ xs') \in Ra$   
 $\rrbracket \implies (case-list \ n \ c \ l, case-list \ n' \ c' \ l') \in Ra$   
*(proof)*

**lemma** *param-map*[*param*]:  
 $(map, map) \in (R1 \rightarrow R2) \rightarrow \langle R1 \rangle \text{list-rel} \rightarrow \langle R2 \rangle \text{list-rel}$   
*(proof)*

**lemma** *param-fold*[*param*]:  
 $(fold, fold) \in (Re \rightarrow Rs \rightarrow Rs) \rightarrow \langle Re \rangle \text{list-rel} \rightarrow Rs \rightarrow Rs$   
 $(foldl, foldl) \in (Rs \rightarrow Re \rightarrow Rs) \rightarrow Rs \rightarrow \langle Re \rangle \text{list-rel} \rightarrow Rs$   
 $(foldr, foldr) \in (Re \rightarrow Rs \rightarrow Rs) \rightarrow \langle Re \rangle \text{list-rel} \rightarrow Rs \rightarrow Rs$   
*(proof)*

```

lemma param-list-all[param]: (list-all,list-all) ∈ ( $A \rightarrow \text{bool-rel}$ ) →  $\langle A \rangle \text{list-rel} \rightarrow \text{bool-rel}$ 
  ⟨proof⟩

context begin
  private primrec list-all2-alt :: ('a ⇒ 'b ⇒ bool) ⇒ 'a list ⇒ 'b list ⇒ bool
  where
    list-all2-alt P [] ys ←→ (case ys of [] ⇒ True | _ ⇒ False)
    | list-all2-alt P (x#xs) ys ←→ (case ys of [] ⇒ False | y#ys ⇒ P x y ∧ list-all2-alt P xs ys)

  private lemma list-all2-alt: list-all2 P xs ys = list-all2-alt P xs ys
  ⟨proof⟩

  lemma param-list-all2[param]: (list-all2, list-all2) ∈ ( $A \rightarrow B \rightarrow \text{bool-rel}$ ) →  $\langle A \rangle \text{list-rel} \rightarrow \langle B \rangle \text{list-rel} \rightarrow \text{bool-rel}$ 
  → ⟨proof⟩

end

lemma param-hd[param]:  $l \neq [] \implies (l', l) \in \langle A \rangle \text{list-rel} \implies (\text{hd } l', \text{hd } l) \in A$ 
  ⟨proof⟩

lemma param-last[param]:
  assumes  $y \neq []$ 
  assumes  $(x, y) \in \langle A \rangle \text{list-rel}$ 
  shows  $(\text{last } x, \text{last } y) \in A$ 
  ⟨proof⟩

lemma param-rotate1[param]: (rotate1, rotate1) ∈  $\langle A \rangle \text{list-rel} \rightarrow \langle A \rangle \text{list-rel}$ 
  ⟨proof⟩

schematic-goal param-take[param]: (take,take) ∈ (?R:(-×-) set)
  ⟨proof⟩

schematic-goal param-drop[param]: (drop,drop) ∈ (?R:(-×-) set)
  ⟨proof⟩

schematic-goal param-length[param]:
  (length,length) ∈ (?R:(-×-) set)
  ⟨proof⟩

fun list-eq :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool where
  list-eq eq [] [] ←→ True
  | list-eq eq (a#l) (a'#l')
    ←→ (if eq a a' then list-eq eq l l' else False)
  | list-eq _ _ ←→ False

lemma param-list-eq[param]:

```

```

 $(list\text{-}eq, list\text{-}eq) \in$ 
 $(R \rightarrow R \rightarrow Id) \rightarrow \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel \rightarrow Id$ 
 $\langle proof \rangle$ 

lemma id-list-eq-aux[simp]:  $(list\text{-}eq (=)) = (=)$ 
 $\langle proof \rangle$ 

lemma param-list-equals[param]:
 $\llbracket ((=), (=)) \in R \rightarrow R \rightarrow Id \rrbracket$ 
 $\implies ((=), (=)) \in \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel \rightarrow Id$ 
 $\langle proof \rangle$ 

lemma param-tl[param]:
 $(tl, tl) \in \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$ 
 $\langle proof \rangle$ 

primrec list-all-rec where
 $list\text{-}all\text{-}rec P [] \longleftrightarrow True$ 
 $| list\text{-}all\text{-}rec P (a # l) \longleftrightarrow P a \wedge list\text{-}all\text{-}rec P l$ 

primrec list-ex-rec where
 $list\text{-}ex\text{-}rec P [] \longleftrightarrow False$ 
 $| list\text{-}ex\text{-}rec P (a # l) \longleftrightarrow P a \vee list\text{-}ex\text{-}rec P l$ 

lemma list-all-rec-eq:  $(\forall x \in set l. P x) = list\text{-}all\text{-}rec P l$ 
 $\langle proof \rangle$ 

lemma list-ex-rec-eq:  $(\exists x \in set l. P x) = list\text{-}ex\text{-}rec P l$ 
 $\langle proof \rangle$ 

lemma param-list-ball[param]:
 $\llbracket (P, P') \in (Ra \rightarrow Id); (l, l') \in \langle Ra \rangle list\text{-}rel \rrbracket$ 
 $\implies (\forall x \in set l. P x, \forall x \in set l'. P' x) \in Id$ 
 $\langle proof \rangle$ 

lemma param-list-bex[param]:
 $\llbracket (P, P') \in (Ra \rightarrow Id); (l, l') \in \langle Ra \rangle list\text{-}rel \rrbracket$ 
 $\implies (\exists x \in set l. P x, \exists x \in set l'. P' x) \in Id$ 
 $\langle proof \rangle$ 

lemma param-rev[param]:  $(rev, rev) \in \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$ 
 $\langle proof \rangle$ 

lemma param-foldli[param]:  $(foldli, foldli)$ 
 $\in \langle Re \rangle list\text{-}rel \rightarrow (Rs \rightarrow Id) \rightarrow (Re \rightarrow Rs \rightarrow Rs) \rightarrow Rs \rightarrow Rs$ 
 $\langle proof \rangle$ 

lemma param-foldri[param]:  $(foldri, foldri)$ 

```

$\in \langle Re \rangle list\text{-}rel \rightarrow (Rs \rightarrow Id) \rightarrow (Re \rightarrow Rs \rightarrow Rs) \rightarrow Rs \rightarrow Rs$   
 $\langle proof \rangle$

**lemma** *param-nth*[*param*]:  
**assumes** *I*:  $i' < \text{length } l'$   
**assumes** *IR*:  $(i, i') \in \text{nat-rel}$   
**assumes** *LR*:  $(l, l') \in \langle R \rangle list\text{-}rel$   
**shows**  $(l[i], l[i']) \in R$   
 $\langle proof \rangle$

**lemma** *param-replicate*[*param*]:  
 $(\text{replicate}, \text{replicate}) \in \text{nat-rel} \rightarrow R \rightarrow \langle R \rangle list\text{-}rel$   
 $\langle proof \rangle$

**term** *list-update*  
**lemma** *param-list-update*[*param*]:  
 $(\text{list-update}, \text{list-update}) \in \langle Ra \rangle list\text{-}rel \rightarrow \text{nat-rel} \rightarrow Ra \rightarrow \langle Ra \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma** *param-zip*[*param*]:  
 $(\text{zip}, \text{zip}) \in \langle Ra \rangle list\text{-}rel \rightarrow \langle Rb \rangle list\text{-}rel \rightarrow \langle \langle Ra, Rb \rangle \text{prod-rel} \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma** *param-upt*[*param*]:  
 $(\text{upt}, \text{upt}) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \langle \text{nat-rel} \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma** *param-concat*[*param*]:  $(\text{concat}, \text{concat}) \in$   
 $\langle \langle R \rangle list\text{-}rel \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma** *param-all-interval-nat*[*param*]:  
 $(\text{List.all-interval-nat}, \text{List.all-interval-nat})$   
 $\in (\text{nat-rel} \rightarrow \text{bool-rel}) \rightarrow \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{bool-rel}$   
 $\langle proof \rangle$

**lemma** *param-dropWhile*[*param*]:  
 $(\text{dropWhile}, \text{dropWhile}) \in (a \rightarrow \text{bool-rel}) \rightarrow \langle a \rangle list\text{-}rel \rightarrow \langle a \rangle list\text{-}rel$   
 $\langle proof \rangle$

**lemma** *param-takeWhile*[*param*]:  
 $(\text{takeWhile}, \text{takeWhile}) \in (a \rightarrow \text{bool-rel}) \rightarrow \langle a \rangle list\text{-}rel \rightarrow \langle a \rangle list\text{-}rel$   
 $\langle proof \rangle$

**end**

# Chapter 2

# Automatic Refinement

## 2.1 Automatic Refinement Tool

```
theory Autoref-Tool
imports
  Autoref-Translate
  Autoref-Gen-Algo
  Autoref-Relator-Interface
begin
```

### 2.1.1 Standard setup

Declaration of standard phases

$\langle ML \rangle$

Main method

$\langle ML \rangle$

### 2.1.2 Tools

$\langle ML \rangle$

### 2.1.3 Advanced Debugging

$\langle ML \rangle$

General casting-tag, that allows type-casting on concrete level, while being identity on abstract level.

```
definition [simp]: CAST ≡ id
lemma [autoref-itp]: CAST ::i I →i I ⟨proof⟩
```

Hide internal stuff

```
notation (input) rel-ANNOT (infix <:::_r> 10)
notation (input) ind-ANNOT (infix <::#_r> 10)
```

```

locale autoref-syn begin
  notation (input) APP (infixl <\$> 900)
  notation (input) rel-ANNOT (infix <::> 10)
  notation (input) ind-ANNOT (infix <::#> 10)
  notation OP (<OP>)
  notation (input) ABS (binder < $\lambda''$ > 10)
end

no-notation (input) APP (infixl <\$> 900)
no-notation (input) ABS (binder < $\lambda''$ > 10)

no-notation (input) rel-ANNOT (infix <::> 10)
no-notation (input) ind-ANNOT (infix <::#> 10)

hide-const (open) PROTECT ANNOT OP APP ABS ID-FAIL rel-annot ind-annot
end

```

## 2.2 Standard HOL Bindings

```

theory Autoref-Bindings-HOL
imports Tool/Autoref-Tool
begin

```

### 2.2.1 Structural Expansion

In some situations, autoref imitates the operations on typeclasses and the typeclass hierarchy. This may result in structural mismatches, e.g., a hash-code side-condition may look like:

```
is-hashcode (prod-eq (=) (=)) hashcode
```

This cannot be discharged by the rule

```
is-hashcode (=) hashcode
```

In order to handle such cases, we introduce a set of simplification lemmas that expand the structure of an operator as far as possible. These lemmas are integrated into a tagged solver, that can prove equality between operators modulo structural expansion.

```

definition [simp]: STRUCT-EQ-tag x y ≡ x = y
lemma STRUCT-EQ-tagI: x=y ==> STRUCT-EQ-tag x y ⟨proof⟩

```

```
⟨ML⟩
```

Sometimes, also relators must be expanded. Usually to check them to be the identity relator

```

definition [simp]: REL-IS-ID R ≡ R=Id
definition [simp]: REL-FORCE-ID R ≡ R=Id
lemma REL-IS-ID-trigger: R=Id ==> REL-IS-ID R ⟨proof⟩
lemma REL-FORCE-ID-trigger: R=Id ==> REL-FORCE-ID R ⟨proof⟩

⟨ML⟩

```

**abbreviation** PREFER-*id* R ≡ PREFER REL-IS-ID R

**lemmas** [autoref-rel-intf] = REL-INTFI[*of fun-rel i-fun*]

### 2.2.2 Booleans

**consts**

*i-bool* :: interface

**lemmas** [autoref-rel-intf] = REL-INTFI[*of bool-rel i-bool*]

**lemma** [autoref-itype]:

*True* ::<sub>*i*</sub> *i-bool*  
*False* ::<sub>*i*</sub> *i-bool*  
*conj* ::<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool*  
( $\leftrightarrow$ ) ::<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool*  
( $\rightarrow$ ) ::<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool*  
*disj* ::<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool*  
*Not* ::<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *i-bool*  
*case-bool* ::<sub>*i*</sub> *I* →<sub>*i*</sub> *I* →<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *I*  
*old.rec-bool* ::<sub>*i*</sub> *I* →<sub>*i*</sub> *I* →<sub>*i*</sub> *i-bool* →<sub>*i*</sub> *I*  
⟨proof⟩

**lemma** autoref-bool[autoref-rules]:

(*x,x*) ∈ *bool-rel*  
(*conj, conj*) ∈ *bool-rel* → *bool-rel* → *bool-rel*  
(*disj, disj*) ∈ *bool-rel* → *bool-rel* → *bool-rel*  
(*Not, Not*) ∈ *bool-rel* → *bool-rel*  
(*case-bool, case-bool*) ∈ *R* → *R* → *bool-rel* → *R*  
(*old.rec-bool, old.rec-bool*) ∈ *R* → *R* → *bool-rel* → *R*  
(( $\leftrightarrow$ ), ( $\leftrightarrow$ )) ∈ *bool-rel* → *bool-rel* → *bool-rel*  
(( $\rightarrow$ ), ( $\rightarrow$ )) ∈ *bool-rel* → *bool-rel* → *bool-rel*  
⟨proof⟩

### 2.2.3 Standard Type Classes

**context begin interpretation** autoref-syn ⟨proof⟩

We allow these operators for all interfaces.

```

lemma [autoref-itype]:
  ( $<$ ) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  i-bool
  ( $\leq$ ) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  i-bool
  ( $=$ ) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  i-bool
  (+) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  I
  (-) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  I
  (div) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  I
  (mod) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  I
  (*) ::i I  $\rightarrow_i$  I  $\rightarrow_i$  I
  0 ::i I
  1 ::i I
  numeral x ::i I
  uminus ::i I  $\rightarrow_i$  I
  ⟨proof⟩

lemma pat-num-generic[autoref-op-pat]:
  0 ≡ OP 0 ::i I
  1 ≡ OP 1 ::i I
  numeral x ≡ (OP (numeral x) ::i I)
  ⟨proof⟩

lemma [autoref-rules]:
  assumes PRIO-TAG-GEN-ALGO
  shows (( $<$ ), ( $<$ )) ∈ Id → Id → bool-rel
  and (( $\leq$ ), ( $\leq$ )) ∈ Id → Id → bool-rel
  and (( $=$ ), ( $=$ )) ∈ Id → Id → bool-rel
  and (numeral x, OP (numeral x) :: Id) ∈ Id
  and (uminus, uminus) ∈ Id → Id
  and (0, 0) ∈ Id
  and (1, 1) ∈ Id
  ⟨proof⟩

```

#### 2.2.4 Functional Combinators

```

lemma [autoref-itype]: id ::i I  $\rightarrow_i$  I ⟨proof⟩
lemma autoref-id[autoref-rules]: (id, id) ∈ R → R ⟨proof⟩

term (o)
lemma [autoref-itype]: (o) ::i (Ia  $\rightarrow_i$  Ib)  $\rightarrow_i$  (Ic  $\rightarrow_i$  Ia)  $\rightarrow_i$  Ic  $\rightarrow_i$  Ib
  ⟨proof⟩
lemma autoref-comp[autoref-rules]:
  ((o), (o)) ∈ (Ra → Rb) → (Rc → Ra) → Rc → Rb
  ⟨proof⟩

lemma [autoref-itype]: If ::i i-bool  $\rightarrow_i$  I  $\rightarrow_i$  I  $\rightarrow_i$  I ⟨proof⟩
lemma autoref-If[autoref-rules]: (If, If) ∈ Id → R → R → R ⟨proof⟩
lemma autoref-If-cong[autoref-rules]:
  assumes (c', c) ∈ Id

```

```

assumes REMOVE-INTERNAL  $c \implies (t', t) \in R$ 
assumes  $\neg$  REMOVE-INTERNAL  $c \implies (e', e) \in R$ 
shows (If  $c' t' e'$ , ( $OP$  If :::  $Id \rightarrow R \rightarrow R \rightarrow R$ ) $\$c\$t\$e$ ) $\in R$ 
⟨proof⟩

lemma [autoref-itp]: Let :: $_i$   $Ix \rightarrow_i (Ix \rightarrow_i Iy) \rightarrow_i Iy$  ⟨proof⟩
lemma autoref-Let:
  ( $Let, Let$ ) $\in Ra \rightarrow (Ra \rightarrow Rr) \rightarrow Rr$ 
  ⟨proof⟩

lemma autoref-Let-cong[autoref-rules]:
  assumes  $(x', x) \in Ra$ 
  assumes  $\bigwedge y y'. REMOVE-INTERNAL (x = y) \implies (y', y) \in Ra \implies (f' y', f y) \in Rr$ 
  shows ( $Let x' f'$ , ( $OP$  Let :::  $Ra \rightarrow (Ra \rightarrow Rr) \rightarrow Rr$ ) $\$x\$f$ ) $\in Rr$ 
  ⟨proof⟩

end

```

### 2.2.5 Unit

```

consts i-unit :: interface
lemmas [autoref-rel-intf] = REL-INTFI[of unit-rel i-unit]

```

```
lemma [autoref-rules]:  $(((), ()) \in unit\text{-}rel)$  ⟨proof⟩
```

### 2.2.6 Nat

```

consts i-nat :: interface
lemmas [autoref-rel-intf] = REL-INTFI[of nat-rel i-nat]

```

```
context begin interpretation autoref-syn ⟨proof⟩
```

```

lemma pat-num-nat[autoref-op-pat]:
   $0::nat \equiv OP 0 ::_i i\text{-}nat$ 
   $1::nat \equiv OP 1 ::_i i\text{-}nat$ 
   $(\text{numeral } x)::nat \equiv (OP (\text{numeral } x) ::_i i\text{-}nat)$ 
  ⟨proof⟩

```

```

lemma autoref-nat[autoref-rules]:
   $(0, 0::nat) \in nat\text{-}rel$ 
   $(Suc, Suc) \in nat\text{-}rel \rightarrow nat\text{-}rel$ 
   $(1, 1::nat) \in nat\text{-}rel$ 
   $(\text{numeral } n::nat, \text{numeral } n::nat) \in nat\text{-}rel$ 
   $((<), (<) :: nat \Rightarrow -) \in nat\text{-}rel \rightarrow nat\text{-}rel \rightarrow bool\text{-}rel$ 
   $((\leq), (\leq) :: nat \Rightarrow -) \in nat\text{-}rel \rightarrow nat\text{-}rel \rightarrow bool\text{-}rel$ 
   $((=), (=) :: nat \Rightarrow -) \in nat\text{-}rel \rightarrow nat\text{-}rel \rightarrow bool\text{-}rel$ 
   $((+) :: nat \Rightarrow -, (+)) \in nat\text{-}rel \rightarrow nat\text{-}rel \rightarrow nat\text{-}rel$ 
   $((-) :: nat \Rightarrow -, (-)) \in nat\text{-}rel \rightarrow nat\text{-}rel \rightarrow nat\text{-}rel$ 
   $((div) :: nat \Rightarrow -, (div)) \in nat\text{-}rel \rightarrow nat\text{-}rel \rightarrow nat\text{-}rel$ 

```

```

 $((\ast), (\ast)) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
 $((\text{mod}), (\text{mod})) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
 $\langle \text{proof} \rangle$ 

lemma autoref-case-nat[autoref-rules]:
 $(\text{case-nat}, \text{case-nat}) \in Ra \rightarrow (Id \rightarrow Ra) \rightarrow Id \rightarrow Ra$ 
 $\langle \text{proof} \rangle$ 

lemma autoref-rec-nat:  $(\text{rec-nat}, \text{rec-nat}) \in R \rightarrow (Id \rightarrow R \rightarrow R) \rightarrow Id \rightarrow R$ 
 $\langle \text{proof} \rangle$ 
end

```

### 2.2.7 Int

```

consts i-int :: interface
lemmas [autoref-rel-intf] = REL-INTFI[of int-rel i-int]

context begin interpretation autoref-syn  $\langle \text{proof} \rangle$ 
lemma pat-num-int[autoref-op-pat]:
 $0::int \equiv OP 0 ::_i i\text{-int}$ 
 $1::int \equiv OP 1 ::_i i\text{-int}$ 
 $(\text{numeral } x)::int \equiv (OP (\text{numeral } x) ::_i i\text{-int})$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma autoref-int[autoref-rules (overloaded)]:
 $(0, 0::int) \in \text{int-rel}$ 
 $(1, 1::int) \in \text{int-rel}$ 
 $(\text{numeral } n::int, \text{numeral } n::int) \in \text{int-rel}$ 
 $((<), (<) ::int \Rightarrow -) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{bool-rel}$ 
 $((\leq), (\leq) ::int \Rightarrow -) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{bool-rel}$ 
 $((=), (=) ::int \Rightarrow -) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{bool-rel}$ 
 $((+) ::int \Rightarrow -, (+)) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{int-rel}$ 
 $((-) ::int \Rightarrow -, (-)) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{int-rel}$ 
 $((\text{div}) ::int \Rightarrow -, (\text{div})) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{int-rel}$ 
 $((\text{uminus}), (\text{uminus})) \in \text{int-rel} \rightarrow \text{int-rel}$ 
 $((\ast), (\ast)) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{int-rel}$ 
 $((\text{mod}), (\text{mod})) \in \text{int-rel} \rightarrow \text{int-rel} \rightarrow \text{int-rel}$ 
 $\langle \text{proof} \rangle$ 
end

```

### 2.2.8 Product

```

consts i-prod :: interface  $\Rightarrow$  interface  $\Rightarrow$  interface
lemmas [autoref-rel-intf] = REL-INTFI[of prod-rel i-prod]

context begin interpretation autoref-syn  $\langle \text{proof} \rangle$ 

```

```

lemma prod-refine[autoref-rules]:
  (Pair,Pair) $\in$ Ra  $\rightarrow$  Rb  $\rightarrow$   $\langle$ Ra,Rb $\rangle$ prod-rel
  (case-prod,case-prod)  $\in$  (Ra  $\rightarrow$  Rb  $\rightarrow$  Rr)  $\rightarrow$   $\langle$ Ra,Rb $\rangle$ prod-rel  $\rightarrow$  Rr
  (old.rec-prod,old.rec-prod)  $\in$  (Ra  $\rightarrow$  Rb  $\rightarrow$  Rr)  $\rightarrow$   $\langle$ Ra,Rb $\rangle$ prod-rel  $\rightarrow$  Rr
  (fst,fst) $\in$  $\langle$ Ra,Rb $\rangle$ prod-rel  $\rightarrow$  Ra
  (snd,snd) $\in$  $\langle$ Ra,Rb $\rangle$ prod-rel  $\rightarrow$  Rb
   $\langle$ proof $\rangle$ 

definition prod-eq eqa eqb x1 x2  $\equiv$ 
  case x1 of (a1,b1)  $\Rightarrow$  case x2 of (a2,b2)  $\Rightarrow$  eqa a1 a2  $\wedge$  eqb b1 b2

lemma prod-eq-autoref[autoref-rules (overloaded)]:
   $\llbracket$ GEN-OP eqa (=) (Ra  $\rightarrow$  Ra  $\rightarrow$  Id); GEN-OP eqb (=) (Rb  $\rightarrow$  Rb  $\rightarrow$  Id) $\rrbracket$ 
   $\implies$  (prod-eq eqa eqb, (=))  $\in$   $\langle$ Ra,Rb $\rangle$ prod-rel  $\rightarrow$   $\langle$ Ra,Rb $\rangle$ prod-rel  $\rightarrow$  Id
   $\langle$ proof $\rangle$ 

lemma prod-eq-expand[autoref-struct-expand]: (=) = prod-eq (=) (=)
   $\langle$ proof $\rangle$ 
end

```

### 2.2.9 Option

```

consts i-option :: interface  $\Rightarrow$  interface
lemmas [autoref-rel-intf] = REL-INTFI[of option-rel i-option]

context begin interpretation autoref-syn  $\langle$ proof $\rangle$ 

```

```

lemma autoref-opt[autoref-rules]:
  (None,None) $\in$  $\langle$ R $\rangle$ option-rel
  (Some,Some) $\in$ R  $\rightarrow$   $\langle$ R $\rangle$ option-rel
  (case-option,case-option) $\in$ Rr  $\rightarrow$  (R  $\rightarrow$  Rr)  $\rightarrow$   $\langle$ R $\rangle$ option-rel  $\rightarrow$  Rr
  (rec-option,rec-option) $\in$ Rr  $\rightarrow$  (R  $\rightarrow$  Rr)  $\rightarrow$   $\langle$ R $\rangle$ option-rel  $\rightarrow$  Rr
   $\langle$ proof $\rangle$ 

lemma autoref-the[autoref-rules]:
  assumes SIDE-PRECOND (x  $\neq$  None)
  assumes (x',x) $\in$  $\langle$ R $\rangle$ option-rel
  shows (the x', (OP the :::  $\langle$ R $\rangle$ option-rel  $\rightarrow$  R)$x)  $\in$  R
   $\langle$ proof $\rangle$ 

lemma autoref-the-default[autoref-rules]:
  (the-default, the-default)  $\in$  R  $\rightarrow$   $\langle$ R $\rangle$ option-rel  $\rightarrow$  R
   $\langle$ proof $\rangle$ 

definition [simp]: is-None a  $\equiv$  case a of None  $\Rightarrow$  True | -  $\Rightarrow$  False
lemma pat-isNone[autoref-op-pat]:
  a=None  $\equiv$  (OP is-None :::  $\langle$ I $\rangle$ _i i-option  $\rightarrow$  _i i-bool)$a
  None=a  $\equiv$  (OP is-None :::  $\langle$ I $\rangle$ _i i-option  $\rightarrow$  _i i-bool)$a

```

```

⟨proof⟩
lemma autoref-is-None[param,autoref-rules]:
  (is-None,is-None) ∈ ⟨R⟩option-rel → Id
  ⟨proof⟩

lemma fold-is-None: x=None ←→ is-None x ⟨proof⟩

definition option-eq eq v1 v2 ≡
  case (v1,v2) of
    (None,None) ⇒ True
  | (Some x1, Some x2) ⇒ eq x1 x2
  | - ⇒ False

lemma option-eq-autoref[autoref-rules (overloaded)]:
  [[GEN-OP eq (=) (R→R→Id)]]
  ⇒ (option-eq eq, (=)) ∈ ⟨R⟩option-rel → ⟨R⟩option-rel → Id
  ⟨proof⟩

lemma option-eq-expand[autoref-struct-expand]:
  (=) = option-eq (=)
  ⟨proof⟩
end

```

### 2.2.10 Sum-Types

```

consts i-sum :: interface ⇒ interface ⇒ interface
lemmas [autoref-rel-intf] = REL-INTFI[of sum-rel i-sum]

```

```

context begin interpretation autoref-syn ⟨proof⟩

```

```

lemma autoref-sum[autoref-rules]:
  (Inl,Inl) ∈ Rl → ⟨Rl,Rr⟩sum-rel
  (Inr,Inr) ∈ Rr → ⟨Rl,Rr⟩sum-rel
  (case-sum,case-sum) ∈ (Rl → R) → (Rr → R) → ⟨Rl,Rr⟩sum-rel → R
  (old.rec-sum,old.rec-sum) ∈ (Rl → R) → (Rr → R) → ⟨Rl,Rr⟩sum-rel → R
  ⟨proof⟩

definition sum-eq eql eqr s1 s2 ≡
  case (s1,s2) of
    (Inl x1, Inl x2) ⇒ eql x1 x2
  | (Inr x1, Inr x2) ⇒ eqr x1 x2
  | - ⇒ False

```

```

lemma sum-eq-autoref[autoref-rules (overloaded)]:
  [[GEN-OP eql (=) (Rl→Rl→Id); GEN-OP eqr (=) (Rr→Rr→Id)]]
  ⇒ (sum-eq eql eqr, (=)) ∈ ⟨Rl,Rr⟩sum-rel → ⟨Rl,Rr⟩sum-rel → Id
  ⟨proof⟩

```

```

lemma sum-eq-expand[autoref-struct-expand]:  $(=) = \text{sum-eq } (=) (=)$ 
   $\langle \text{proof} \rangle$ 

lemmas [autoref-rules] = is-Inl-param is-Inr-param

lemma autoref-sum-Proj $l$ [autoref-rules]:
   $\llbracket \text{SIDE-PRECOND } (\text{is-Inl } s); (s',s) \in \langle Ra, Rb \rangle \text{sum-rel} \rrbracket$ 
   $\implies (\text{Sum-Type.sum.proj}_l\ s', (\text{OP Sum-Type.sum.proj}_l :: \langle Ra, Rb \rangle \text{sum-rel} \rightarrow Ra) \$ s) \in Ra$ 
   $\langle \text{proof} \rangle$ 

lemma autoref-sum-Proj $r$ [autoref-rules]:
   $\llbracket \text{SIDE-PRECOND } (\text{is-Inr } s); (s',s) \in \langle Ra, Rb \rangle \text{sum-rel} \rrbracket$ 
   $\implies (\text{Sum-Type.sum.proj}_r\ s', (\text{OP Sum-Type.sum.proj}_r :: \langle Ra, Rb \rangle \text{sum-rel} \rightarrow Rb) \$ s) \in Rb$ 
   $\langle \text{proof} \rangle$ 

end

```

### 2.2.11 List

```

consts i-list :: interface  $\Rightarrow$  interface
lemmas [autoref-rel-intf] = REL-INTFI[of list-rel i-list]

```

```
context begin interpretation autoref-syn  $\langle \text{proof} \rangle$ 
```

```

lemma autoref-append[autoref-rules]:
   $(\text{append}, \text{append}) \in \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$ 
   $\langle \text{proof} \rangle$ 

lemma refine-list[autoref-rules]:
   $(\text{Nil}, \text{Nil}) \in \langle R \rangle \text{list-rel}$ 
   $(\text{Cons}, \text{Cons}) \in R \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$ 
   $(\text{case-list}, \text{case-list}) \in Rr \rightarrow (R \rightarrow \langle R \rangle \text{list-rel} \rightarrow Rr) \rightarrow \langle R \rangle \text{list-rel} \rightarrow Rr$ 
   $\langle \text{proof} \rangle$ 

lemma autoref-rec-list[autoref-rules]:  $(\text{rec-list}, \text{rec-list})$ 
   $\in Ra \rightarrow (Rb \rightarrow \langle Rb \rangle \text{list-rel} \rightarrow Ra \rightarrow Ra) \rightarrow \langle Rb \rangle \text{list-rel} \rightarrow Ra$ 
   $\langle \text{proof} \rangle$ 

lemma refine-map[autoref-rules]:
   $(\text{map}, \text{map}) \in (R1 \rightarrow R2) \rightarrow \langle R1 \rangle \text{list-rel} \rightarrow \langle R2 \rangle \text{list-rel}$ 
   $\langle \text{proof} \rangle$ 

lemma refine-fold[autoref-rules]:
   $(\text{fold}, \text{fold}) \in (Re \rightarrow Rs \rightarrow Rs) \rightarrow \langle Re \rangle \text{list-rel} \rightarrow Rs \rightarrow Rs$ 
   $(\text{foldl}, \text{foldl}) \in (Rs \rightarrow Re \rightarrow Rs) \rightarrow Rs \rightarrow \langle Re \rangle \text{list-rel} \rightarrow Rs$ 
   $(\text{foldr}, \text{foldr}) \in (Re \rightarrow Rs \rightarrow Rs) \rightarrow \langle Re \rangle \text{list-rel} \rightarrow Rs \rightarrow Rs$ 

```

$\langle proof \rangle$

**schematic-goal** autoref-take[autoref-rules]:  $(take, take) \in (?R:(-\times-) set)$

$\langle proof \rangle$

**schematic-goal** autoref-drop[autoref-rules]:  $(drop, drop) \in (?R:(-\times-) set)$

$\langle proof \rangle$

**schematic-goal** autoref-length[autoref-rules]:

$(length, length) \in (?R:(-\times-) set)$

$\langle proof \rangle$

**lemma** autoref-nth[autoref-rules]:

**assumes**  $(l, l') \in \langle R \rangle list\text{-}rel$

**assumes**  $(i, i') \in Id$

**assumes** SIDE-PRECOND  $(i' < length l')$

**shows**  $(nth l i, (OP nth ::: \langle R \rangle list\text{-}rel \rightarrow Id \rightarrow R) \$ l' \$ i') \in R$

$\langle proof \rangle$

**fun** list-eq ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool$  **where**

$list\text{-}eq eq [] [] \longleftrightarrow True$

$| list\text{-}eq eq (a \# l) (a' \# l')$

$\longleftrightarrow (if eq a a' \text{ then } list\text{-}eq eq l l' \text{ else } False)$

$| list\text{-}eq - - - \longleftrightarrow False$

**lemma** autoref-list-eq-aux:

$(list\text{-}eq, list\text{-}eq) \in$

$(R \rightarrow R \rightarrow Id) \rightarrow \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** list-eq-expand[autoref-struct-expand]:  $(=) = (list\text{-}eq (=))$

$\langle proof \rangle$

**lemma** autoref-list-eq[autoref-rules (**overloaded**)]:

$GEN\text{-}OP eq (=) (R \rightarrow R \rightarrow Id) \implies (list\text{-}eq eq, (=))$

$\in \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel \rightarrow Id$

$\langle proof \rangle$

**lemma** autoref-hd[autoref-rules]:

$\llbracket SIDE\text{-}PRECOND (l' \neq []) ; (l, l') \in \langle R \rangle list\text{-}rel \rrbracket \implies$

$(hd l, (OP hd ::: \langle R \rangle list\text{-}rel \rightarrow R) \$ l') \in R$

$\langle proof \rangle$

**lemma** autoref-tl[autoref-rules]:

$(tl, tl) \in \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$

$\langle proof \rangle$

**definition** [simp]:  $is\text{-}Nil a \equiv \text{case } a \text{ of } [] \Rightarrow True \mid - \Rightarrow False$

**lemma** is-Nil-pat[autoref-op-pat]:

$a = [] \equiv (OP is\text{-}Nil ::_i \langle I \rangle_i i\text{-}list \rightarrow_i i\text{-}bool) \$ a$

```

[] = a ≡ (OP is-Nil ::::i ⟨I⟩_i i-list →_i i-bool) $ a
⟨proof⟩

lemma autoref-is-Nil[param,autoref-rules]:
(is-Nil, is-Nil) ∈ ⟨R⟩ list-rel → bool-rel
⟨proof⟩

lemma conv-to-is-Nil:
l = [] ↔ is-Nil l
[] = l ↔ is-Nil l
⟨proof⟩

lemma autoref-butlast[param, autoref-rules]:
(butlast, butlast) ∈ ⟨R⟩ list-rel → ⟨R⟩ list-rel
⟨proof⟩

definition [simp]: op-list-singleton x ≡ [x]
lemma op-list-singleton-pat[autoref-op-pat]:
[x] ≡ (OP op-list-singleton ::::i I →_i ⟨I⟩_i i-list) $ x ⟨proof⟩
lemma autoref-list-singleton[autoref-rules]:
(λa. [a], op-list-singleton) ∈ R → ⟨R⟩ list-rel
⟨proof⟩

definition [simp]: op-list-append-elem s x ≡ s@[x]

lemma pat-list-append-elem[autoref-op-pat]:
s@[x] ≡ (OP op-list-append-elem ::::i I →_i ⟨I⟩_i i-list) $ s $ x
⟨proof⟩

lemma autoref-list-append-elem[autoref-rules]:
(λs x. s@[x], op-list-append-elem) ∈ ⟨R⟩ list-rel → R → ⟨R⟩ list-rel
⟨proof⟩

declare param-rev[autoref-rules]

declare param-all-interval-nat[autoref-rules]
lemma [autoref-op-pat]:
(∀ i < u. P i) ≡ OP List.all-interval-nat P 0 u
(∀ i ≤ u. P i) ≡ OP List.all-interval-nat P 0 (Suc u)
(∀ i < u. l ≤ i → P i) ≡ OP List.all-interval-nat P l u
(∀ i ≤ u. l ≤ i → P i) ≡ OP List.all-interval-nat P l (Suc u)
⟨proof⟩

lemmas [autoref-rules] = param-dropWhile param-takeWhile

end

```

### 2.2.12 Examples

Be careful to make the concrete type a schematic type variable. The default behaviour of *schematic-lemma* makes it a fixed variable, that will not unify with the inferred term!

#### **schematic-goal**

```
(?f::?'c,[1,2,3]@[4::nat]) ∈ ?R
⟨proof⟩
```

#### **schematic-goal**

```
(?f::?'c,[1::nat,
  2,3,4,5,6,7,8,9,0,1,43,5,5,435,5,1,5,6,5,6,5,63,56
] ∈ ?R
⟨proof⟩
```

#### **schematic-goal**

```
(?f::?'c,[1,2,3] = []) ∈ ?R
⟨proof⟩
```

When specifying custom refinement rules on the fly, be careful with the type-inference between *notes* and *shows*. It's too easy to „decouple” the type '*a*' in the autoref-rule and the actual goal, as shown below!

#### **schematic-goal**

```
notes [autoref-rules] = IdI[where 'a='a]
notes [autoref-itype] = itypeI[where 't='a::numeral and I=i-std]
shows (?f::?'c, hd [a,b,c::'a::numeral]) ∈ ?R
```

The autoref-rule is bound with type '*a::typ*', while the goal statement has '*a::numeral*'!

```
⟨proof⟩
```

Here comes the correct version. Note the duplicate sort annotation of type '*a*:

#### **schematic-goal**

```
notes [autoref-rules-raw] = IdI[where 'a='a::numeral]
notes [autoref-itype] = itypeI[where 't='a::numeral and I=i-std]
shows (?f::?'c, hd [a,b,c::'a::numeral]) ∈ ?R
⟨proof⟩
```

Special cases of equality: Note that we do not require equality on the element type!

#### **schematic-goal**

```
assumes [autoref-rules]: (ai,a) ∈ ⟨R⟩ option-rel
shows (?f::?'c, a = None) ∈ ?R
⟨proof⟩
```

**schematic-goal**

**assumes** [autoref-rules]:  $(ai, a) \in \langle R \rangle$  list-rel  
**shows**  $(?f :: ?'c, [] = a) \in ?R$   
 $\langle proof \rangle$

**schematic-goal**

**shows**  $(?f :: ?'c, [1, 2] = [2, 3 :: nat]) \in ?R$   
 $\langle proof \rangle$

**end**

## 2.3 Entry Point for the Automatic Refinement Tool

**theory** *Automatic-Refinement*

**imports**

*Tool/Autoref-Tool*  
*Autoref-Bindings-HOL*

**begin**

The automatic refinement tool should be used by importing this theory

### 2.3.1 Convenience

The following lemmas can be used to add tags to theorems

**lemma** *PREFER-I*:  $P x \implies PREFER P x \langle proof \rangle$   
**lemma** *PREFER-D*:  $PREFER P x \implies P x \langle proof \rangle$

**lemmas** *PREFER-sv-D* = *PREFER-D*[of single-valued]  
**lemma** *PREFER-id-D*:  $PREFER-id R \implies R = Id \langle proof \rangle$

**abbreviation** *PREFER-RUNIV*  $\equiv$  *PREFER* ( $\lambda R$ . Range  $R = UNIV$ )  
**lemmas** *PREFER-RUNIV-D* = *PREFER-D*[of ( $\lambda R$ . Range  $R = UNIV$ )]

**lemma** *SIDE-GEN-ALGO-D*: *SIDE-GEN-ALGO*  $P \implies P \langle proof \rangle$

**lemma** *GEN-OP-D*: *GEN-OP*  $c a R \implies (c, a) \in R$   
 $\langle proof \rangle$

**lemma** *MINOR-PRIOTAG-I*:  $P \implies (\text{MINOR-PRIOTAG } p \implies P) \langle proof \rangle$   
**lemma** *MAJOR-PRIOTAG-I*:  $P \implies (\text{MAJOR-PRIOTAG } p \implies P) \langle proof \rangle$   
**lemma** *PRIOTAG-I*:  $P \implies (\text{PRIOTAG } ma mi \implies P) \langle proof \rangle$

**end**