

# A Theory of Architectural Design Patterns

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March 1, 2018

## Abstract

The following document formalizes and verifies several architectural design patterns [1]. Each pattern specification is formalized in terms of a locale where the locale assumptions correspond to the assumptions which a pattern poses on an architecture. Thus, pattern specifications may build on top of each other by interpreting the corresponding locale. A pattern is verified using the framework provided by the AFP entry *Dynamic Architectures* [3].

Currently, the document consists of formalizations of 4 different patterns: the singleton, the publisher subscriber, the blackboard pattern, and the blockchain pattern. Thereby, the publisher component of the publisher subscriber pattern is modeled as an instance of the singleton pattern and the blackboard pattern is modeled as an instance of the publisher subscriber pattern.

In general, this entry provides the first steps towards an overall theory of architectural design patterns [2].

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## 1 A Theory of Singletons

In the following, we formalize the specification of the singleton pattern as described in [4].

```
theory Singleton
imports DynamicArchitectures.Dynamic-Architecture-Calculus
begin
```

### 1.1 Singletons

```
locale singleton = dynamic-component cmp active
  for active :: 'id ⇒ cnf ⇒ bool (||-||_ [0,110]60)
  and cmp :: 'id ⇒ cnf ⇒ 'cmp (σ-(-) [0,110]60) +
assumes alwaysActive: ∧k. ∃ id. ||id||_k
  and unique: ∃ id. ∀ k. ∀ id'. (||id'||_k ⟶ id = id')
begin
```

```
definition the-singleton ≡ THE id. ∀ k. ∀ id'. ||id'||_k ⟶ id' = id
```

```
lemma the-unique:
  fixes k::cnf and id::'id
  assumes ||id||_k
  shows id = the-singleton
⟨proof⟩
```

```
lemma the-active[simp]:
  fixes k
  shows ||the-singleton||_k
⟨proof⟩
```

```
lemma lNact-active[simp]:
  fixes cid t n
  shows ⟨the-singleton ← t⟩_n = n
⟨proof⟩
```

```
lemma lNxt-active[simp]:
  fixes cid t n
  shows ⟨the-singleton → t⟩_n = n
⟨proof⟩
```

```
lemma assI[intro]:
  fixes t n a
  assumes φ (σ the-singleton (t n))
  shows eval the-singleton t t' n (ass φ) ⟨proof⟩
```

```

lemma assE[elim]:
  fixes t n a
  assumes eval the-singleton t t' n (ass  $\varphi$ )
  shows  $\varphi$  ( $\sigma_{the-singleton}(t\ n)$ )  $\langle proof \rangle$ 

lemma evtE[elim]:
  fixes t id n a
  assumes eval the-singleton t t' n (evt  $\gamma$ )
  shows  $\exists n' \geq n. eval\ the-singleton\ t\ t'\ n'\ \gamma$ 
   $\langle proof \rangle$ 

lemma globE[elim]:
  fixes t id n a
  assumes eval the-singleton t t' n (glob  $\gamma$ )
  shows  $\forall n' \geq n. eval\ the-singleton\ t\ t'\ n'\ \gamma$ 
   $\langle proof \rangle$ 

lemma untilI[intro]:
  fixes t::nat  $\Rightarrow$  cnf
    and t'::nat  $\Rightarrow$  'cmp
    and n::nat
    and n'::nat
  assumes n'  $\geq$  n
    and eval the-singleton t t' n'  $\gamma$ 
    and  $\bigwedge n''. \llbracket n \leq n''; n'' < n \rrbracket \Longrightarrow eval\ the-singleton\ t\ t'\ n''\ \gamma'$ 
  shows eval the-singleton t t' n ( $\gamma' \sqcup \gamma$ )
   $\langle proof \rangle$ 

lemma untilE[elim]:
  fixes t id n  $\gamma'$   $\gamma$ 
  assumes eval the-singleton t t' n (until  $\gamma'$   $\gamma$ )
  shows  $\exists n' \geq n. eval\ the-singleton\ t\ t'\ n'\ \gamma \wedge (\forall n'' \geq n. n'' < n' \longrightarrow eval\ the-singleton\ t\ t'\ n''\ \gamma')$ 
   $\langle proof \rangle$ 
end

end

```

## 2 A Theory of Publisher-Subscriber Architectures

In the following, we formalize the specification of the publisher subscriber pattern as described in [4].

```

theory Publisher-Subscriber
imports Singleton
begin

```

## 2.1 Subscriptions

**datatype** (*'id, 'evt*) *subscription* = *sub 'id 'evt* | *unsub 'id 'evt*

## 2.2 Publisher-Subscriber Architectures

**locale** *publisher-subscriber* =

*pb*: *singleton pbactive pbcmp* +

*sb*: *dynamic-component sbcmp sbactive*

**for** *pbactive* :: *'pid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *bool*

**and** *pbcmp* :: *'pid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *'PB*

**and** *sbactive* :: *'sid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *bool*

**and** *sbcmp* :: *'sid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *'SB* +

**fixes** *pbsb* :: *'PB*  $\Rightarrow$  (*'sid, 'evt set*) *subscription set*

**and** *pbnt* :: *'PB*  $\Rightarrow$  (*'evt*  $\times$  *'msg*) *set*

**and** *sbnt* :: *'SB*  $\Rightarrow$  (*'evt*  $\times$  *'msg*) *set*

**and** *sbsb* :: *'SB*  $\Rightarrow$  (*'sid, 'evt set*) *subscription*

**assumes** *conn1*:  $\bigwedge k$  *pid. pbactive pid k*

$\implies$  *pbsb* (*pbcmp pid k*) = ( $\bigcup_{sid \in \{sid. sbactive sid k\}}$  {*sbsb* (*sbcmp sid k*)})

**and** *conn2*:  $\bigwedge t$  *n n'' sid pid E e m.*

$\llbracket t \in arch; sbactive sid (t n); sub sid E = sbsb (sbcmp sid (t n)); n'' \geq n; e \in E;$

$\nexists n' E'. n' \geq n \wedge n' \leq n'' \wedge sbactive sid (t n') \wedge unsub sid E' = sbsb (sbcmp sid (t n')) \wedge e \in E';$

$(e, m) \in pbnt (pbcmp pid (t n'')); sbactive sid (t n'') \rrbracket$

$\implies sbnt (sbcmp sid (t n')) = pbnt (pbcmp pid (t n'))$

**begin**

**notation** *pb.imp* (**infixl**  $\longrightarrow^p$  10)

**notation** *pb.or* (**infixl**  $\vee^p$  15)

**notation** *pb.and* (**infixl**  $\wedge^p$  20)

**notation** *pb.not* ( $\neg^p$  - [19]19)

**no-notation** *pb.all* (**binder**  $\forall_b$  10)

**no-notation** *pb.exists* (**binder**  $\exists_b$  10)

**notation** *pb.all* (**binder**  $\forall_p$  10)

**notation** *pb.exists* (**binder**  $\exists_p$  10)

**notation** *sb.imp* (**infixl**  $\longrightarrow^s$  10)

**notation** *sb.or* (**infixl**  $\vee^s$  15)

**notation** *sb.and* (**infixl**  $\wedge^s$  20)

**notation** *sb.not* ( $\neg^s$  - [19]19)

**no-notation** *sb.all* (**binder**  $\forall_b$  10)

**no-notation** *sb.exists* (**binder**  $\exists_b$  10)

**notation** *sb.all* (**binder**  $\forall_s$  10)

**notation** *sb.exists* (**binder**  $\exists_s$  10)

**abbreviation** *the-publisher* :: *'pid where*

*the-publisher*  $\equiv$  *pb.the-singleton*

The following theorem ensures that a subscriber indeed receives all messages associated with an event for which he is subscribed.

**theorem** *msgDelivery*:

```

fixes  $t\ n\ n''\ sid\ E\ e\ m$ 
assumes  $t \in arch$ 
  and  $sbactive\ sid\ (t\ n)$ 
  and  $sub\ sid\ E = sbsb\ (sbcmp\ sid\ (t\ n))$ 
  and  $n'' \geq n$ 
  and  $\nexists n'\ E'. n' \geq n \wedge n' \leq n'' \wedge sbactive\ sid\ (t\ n') \wedge unsub\ sid\ E' = sbsb(sbcmp\ sid\ (t\ n'))$ 
     $\wedge e \in E'$ 
  and  $e \in E$ 
  and  $(e,m) \in pbnt\ (pbcmp\ the-publisher\ (t\ n''))$ 
  and  $sbactive\ sid\ (t\ n'')$ 
shows  $(e,m) \in sbnt\ (sbcmp\ sid\ (t\ n''))$  <proof>

```

Since a publisher is actually a singleton, we can provide an alternative version of constraint *conn1*.

**lemma** *conn1A*:

```

  fixes  $k$ 
  shows  $pbsb\ (pbcmp\ the-publisher\ k) = (\bigcup sid \in \{sid.\ sbactive\ sid\ k\}.\ \{sbsb\ (sbcmp\ sid\ k)\})$ 
  <proof>
end

end

```

### 3 A Theory of Blackboard Architectures

In the following, we formalize the specification of the blackboard pattern as described in [4].

```

theory Blackboard
imports Publisher-Subscriber
begin

```

#### 3.1 Problems and Solutions

Blackboards work with problems and solutions for them.

```

typedecl PROB
consts  $sb :: (PROB \times PROB)\ set$ 
axiomatization where  $sbWF: wf\ sb$ 
typedecl SOL
consts  $solve :: PROB \Rightarrow SOL$ 

```

#### 3.2 Blackboard Architectures

In the following, we describe the locale for the blackboard pattern.

```

locale blackboard = publisher-subscriber  $bbactive\ bbcmp\ ksactive\ kscmp\ bbrp\ bbcs\ kscs\ ksrp$ 
for  $bbactive :: 'bid \Rightarrow cnf \Rightarrow bool\ (\|\-\|-\ [0,110]60)$ 
  and  $bbcmp :: 'bid \Rightarrow cnf \Rightarrow 'BB\ (\sigma\-\ (-)\ [0,110]60)$ 
  and  $ksactive :: 'kid \Rightarrow cnf \Rightarrow bool\ (\|\-\|-\ [0,110]60)$ 
  and  $kscmp :: 'kid \Rightarrow cnf \Rightarrow 'KS\ (\sigma\-\ (-)\ [0,110]60)$ 

```

**and**  $bbrp :: 'BB \Rightarrow ('kid, PROB \text{ set}) \text{ subscription set}$   
**and**  $bbcs :: 'BB \Rightarrow (PROB \times SOL) \text{ set}$   
**and**  $kscs :: 'KS \Rightarrow (PROB \times SOL) \text{ set}$   
**and**  $ksrp :: 'KS \Rightarrow ('kid, PROB \text{ set}) \text{ subscription +}$   
**fixes**  $bbns :: 'BB \Rightarrow (PROB \times SOL) \text{ set}$   
**and**  $ksns :: 'KS \Rightarrow (PROB \times SOL) \text{ set}$   
**and**  $bbop :: 'BB \Rightarrow PROB \text{ set}$   
**and**  $ksop :: 'KS \Rightarrow PROB \text{ set}$   
**and**  $prob :: 'kid \Rightarrow PROB$

**assumes**

$ks1: \forall p. \exists ks. p=prob \ ks$  — Component Parameter  
— Assertions about component behavior.

**and**  $bhvvb1: \bigwedge t \ t' \ bId \ p \ s. \llbracket t \in arch \rrbracket \Longrightarrow pb.eval \ bId \ t \ t' \ 0$   
 $(pb.glob \ (pb.ass \ (\lambda bb. (p,s) \in bbns \ bb)$   
 $\longrightarrow^p \ (pb.evt \ (pb.ass \ (\lambda bb. (p,s) \in bbcs \ bb))))))$

**and**  $bhvvb2: \bigwedge t \ t' \ bId \ kId \ P \ q. \llbracket t \in arch \rrbracket \Longrightarrow pb.eval \ bId \ t \ t' \ 0$   
 $(pb.glob \ (pb.ass \ (\lambda bb. sub \ kId \ P \in bbrp \ bb \wedge q \in P) \longrightarrow^p$   
 $(pb.evt \ (pb.ass \ (\lambda bb. q \in bbop \ bb))))))$

**and**  $bhvvb3: \bigwedge t \ t' \ bId \ p. \llbracket t \in arch \rrbracket \Longrightarrow pb.eval \ bId \ t \ t' \ 0$   
 $(pb.glob \ (pb.ass \ (\lambda bb. p \in bbop(bb)) \longrightarrow^p$   
 $(pb.wuntil \ (pb.ass \ (\lambda bb. p \in bbop(bb))) \ (pb.ass \ (\lambda bb. (p,solve(p)) \in bbcs(bb))))))$

**and**  $bhvks1: \bigwedge t \ t' \ kId \ p \ P. \llbracket t \in arch; p = prob \ kId \rrbracket \Longrightarrow sb.eval \ kId \ t \ t' \ 0$   
 $(sb.glob \ ((sb.ass \ (\lambda ks. sub \ kId \ P = ksrp \ ks)) \wedge^s$   
 $(sb.all \ (\lambda q. (sb.pred \ (q \in P)) \longrightarrow^s \ (sb.evt \ (sb.ass \ (\lambda ks. (q,solve(q)) \in kscs \ ks))))))$   
 $\longrightarrow^s \ (sb.evt \ (sb.ass \ (\lambda ks. (p, solve \ p) \in ksns \ ks))))))$

**and**  $bhvks2: \bigwedge t \ t' \ kId \ p \ P \ q. \llbracket t \in arch; p = prob \ kId \rrbracket \Longrightarrow sb.eval \ kId \ t \ t' \ 0$   
 $(sb.glob \ (sb.ass \ (\lambda ks. sub \ kId \ P = ksrp \ ks \wedge q \in P \longrightarrow (q,p) \in sb)))$

**and**  $bhvks3: \bigwedge t \ t' \ kId \ p. \llbracket t \in arch; p = prob \ kId \rrbracket \Longrightarrow sb.eval \ kId \ t \ t' \ 0$   
 $(sb.glob \ ((sb.ass \ (\lambda ks. p \in ksop \ ks)) \longrightarrow^s \ (sb.evt \ (sb.ass \ (\lambda ks. (\exists P. sub \ kId \ P = ksrp \ ks))))))$

**and**  $bhvks4: \bigwedge t \ t' \ kId \ p \ P. \llbracket t \in arch; p \in P \rrbracket \Longrightarrow sb.eval \ kId \ t \ t' \ 0$   
 $(sb.glob \ ((sb.ass \ (\lambda ks. sub \ kId \ P = ksrp \ ks)) \longrightarrow^s$   
 $(sb.wuntil \ (\neg^s \ (\exists_s \ P'. (sb.pred \ (p \in P') \wedge^s \ (sb.ass \ (\lambda ks. unsub \ kId \ P' = ksrp \ ks))))))$   
 $(sb.ass \ (\lambda ks. (p,solve \ p) \in kscs \ ks))))))$

— Assertions about component activation.

**and**  $actks:$   
 $\bigwedge t \ n \ kid \ p. \llbracket t \in arch; ksactive \ kid \ (t \ n); p=prob \ kid; p \in ksop \ (kscmp \ kid \ (t \ n)) \rrbracket$   
 $\Longrightarrow (\exists n' \geq n. ksactive \ kid \ (t \ n') \wedge (p, solve \ p) \in ksns \ (kscmp \ kid \ (t \ n')) \wedge$   
 $(\forall n'' \geq n. n'' < n' \longrightarrow ksactive \ kid \ (t \ n''))$   
 $\vee (\forall n' \geq n. (ksactive \ kid \ (t \ n') \wedge (\neg(p, solve \ p) \in ksns \ (kscmp \ kid \ (t \ n')))))$

— Assertions about connections.

**and**  $conn1: \bigwedge k \ bid. bbactive \ bid \ k$   
 $\Longrightarrow bbns \ (bbcmp \ bid \ k) = (\bigcup kid \in \{kid. ksactive \ kid \ k\}. ksns \ (kscmp \ kid \ k))$

**and**  $conn2: \bigwedge k \ kid. ksactive \ kid \ k$   
 $\Longrightarrow ksop \ (kscmp \ kid \ k) = (\bigcup bid \ in \ \{bid. bbactive \ bid \ k\}. bbop \ (bbcmp \ bid \ k))$

**begin**

**notation**  $pb.lNAct \ ((- \leftarrow -).)$   
**notation**  $pb.nxtAct \ ((- \rightarrow -).)$

### 3.2.1 The Blackboard Component

In the following we introduce an abbreviation for the unique blackboard component.

**abbreviation**  $the\text{-}bb \equiv pb.the\text{-}singleton$

### 3.2.2 Knowledge Sources

In the following we introduce an abbreviation for knowledge sources which are able to solve a specific problem.

**definition**  $sKs:: PROB \Rightarrow 'kid$  **where**  
 $sKs\ p \equiv (SOME\ kid.\ p = prob\ kid)$

**lemma**  $sks\text{-}prob:$   
 $p = prob\ (sKs\ p)$   
 $\langle proof \rangle$

### 3.2.3 Verifying Blackboards

The following theorem verifies that a problem is eventually solved by the pattern even if no knowledge source exist which can solve the problem on its own. It assumes, however, that for every open sub problem, a corresponding knowledge source able to solve the problem will be eventually activated.

**theorem**  $pSolved:$   
**fixes**  $t$  **and**  $t'::nat \Rightarrow 'BB$  **and**  $p$  **and**  $t''::nat \Rightarrow 'KS$   
**assumes**  $t \in arch$  **and**  
 $\forall n. \forall p \in bbop(bbcmp\ the\text{-}bb\ (t\ n)).$   
 $\exists n' \geq n. ksactive\ (sKs\ p)\ (t\ n')$   
**shows**  
 $\forall n. p \in bbop(bbcmp\ the\text{-}bb\ (t\ n)) \longrightarrow$   
 $(\exists m \geq n. (p, solve(p)) \in bbcs\ (bbcmp\ the\text{-}bb\ (t\ m)))$   
— The proof is by well-founded induction over the subproblem relation  $sb$   
 $\langle proof \rangle$

**end**

**end**

## 4 A Theory of Blockchain Architectures

**theory**  $Blockchain$  **imports**  $DynamicArchitectures.Dynamic\text{-}Architecture\text{-}Calculus$   
**begin**

### 4.1 Additions for Dynamic Components

These additions should go to theory `Configuration.Traces` for the next version of the AFP.

**context**  $dynamic\text{-}component$   
**begin**

**lemma** *disjE3*:  $P \vee Q \vee R \implies (P \implies S) \implies (Q \implies S) \implies (R \implies S) \implies S$  *<proof>*

**lemma** *ge-induct*[*consumes 1, case-names step*]:

**fixes**  $i::nat$  **and**  $j::nat$  **and**  $P::nat \Rightarrow bool$

**shows**  $i \leq j \implies (\bigwedge n. i \leq n \implies ((\forall m \geq i. m < n \longrightarrow P m) \implies P n)) \implies P j$   
*<proof>*

**lemma** *nextAct-eq*:

**assumes**  $n' \geq n$

**and**  $\|c\|_{t n'}$

**and**  $\forall n'' \geq n. n'' < n' \longrightarrow \neg \|c\|_{t n''}$

**shows**  $n' = \langle c \rightarrow t \rangle_n$

*<proof>*

**lemma** *globEANow*:

**fixes**  $c t t' n i \gamma$

**assumes**  $n \leq i$

**and**  $\|c\|_{t i}$

**and**  $eval c t t' n (\Box \gamma)$

**shows**  $eval c t t' i \gamma$

*<proof>*

**abbreviation** *lastAct-cond*::  $'id \Rightarrow trace \Rightarrow nat \Rightarrow nat \Rightarrow bool$

**where** *lastAct-cond*  $c t n n' \equiv n' < n \wedge \|c\|_{t n'}$

**definition** *lastAct*::  $'id \Rightarrow trace \Rightarrow nat \Rightarrow nat ((- \Leftarrow -).)$

**where** *lastAct*  $c t n = (GREATEST n'. lastAct-cond c t n n')$

**lemma** *lastActEx*:

**assumes**  $\exists n' < n. \|nid\|_{t n'}$

**shows**  $\exists n'. lastAct-cond nid t n n' \wedge (\forall n''. lastAct-cond nid t n n'' \longrightarrow n'' \leq n')$

*<proof>*

**lemma** *lastAct-prop*:

**assumes**  $\exists n' < n. \|nid\|_{t n'}$

**shows**  $\|nid\|_t (lastAct nid t n)$  **and**  $lastAct nid t n < n$

*<proof>*

**lemma** *lastAct-less*:

**assumes**  $lastAct-cond nid t n n'$

**shows**  $n' \leq \langle nid \Leftarrow t \rangle_n$

*<proof>*

**lemma** *lastActNxt*:

**assumes**  $\exists n' < n. \|nid\|_{t n'}$

**shows**  $\langle nid \rightarrow t \rangle_{\langle nid \Leftarrow t \rangle_n} = \langle nid \Leftarrow t \rangle_n$

*<proof>*



**lemma** *lastActNxtAct*:  
**assumes**  $\exists n' \geq n. \|tid\|_t n'$   
**and**  $\exists n' < n. \|tid\|_t n'$   
**shows**  $\langle tid \rightarrow t \rangle_n > \langle tid \leftarrow t \rangle_n$   
 $\langle proof \rangle$

**lemma** *lastActless*:  
**assumes**  $\exists n' \geq n_S. n' < n \wedge \|nid\|_t n'$   
**shows**  $\langle nid \leftarrow t \rangle_{n \geq n_S}$   
 $\langle proof \rangle$

**end**

## 4.2 Blockchains

A blockchain itself is modeled as a simple list.

**type-synonym**  $'a BC = 'a list$

**abbreviation** *max-cond*::  $('a BC) set \Rightarrow 'a BC \Rightarrow bool$   
**where** *max-cond*  $B b \equiv b \in B \wedge (\forall b' \in B. length\ b' \leq length\ b)$

**definition** *MAX*::  $('a BC) set \Rightarrow 'a BC$   
**where** *MAX*  $B = (SOME\ b. max-cond\ B\ b)$

**lemma** *max-ex*:  
**fixes**  $XS::('a BC) set$   
**assumes**  $XS \neq \{\}$   
**and** *finite*  $XS$   
**shows**  $\exists xs \in XS. (\forall ys \in XS. length\ ys \leq length\ xs)$   
 $\langle proof \rangle$

**lemma** *max-prop*:  
**fixes**  $XS::('a BC) set$   
**assumes**  $XS \neq \{\}$   
**and** *finite*  $XS$   
**shows**  $MAX\ XS \in XS$   
**and**  $\forall b' \in XS. length\ b' \leq length\ (MAX\ XS)$   
 $\langle proof \rangle$

**lemma** *max-less*:  
**fixes**  $b::'a BC$  **and**  $b'::'a BC$  **and**  $B::('a BC) set$   
**assumes**  $b \in B$   
**and** *finite*  $B$   
**and**  $length\ b > length\ b'$   
**shows**  $length\ (MAX\ B) > length\ b'$   
 $\langle proof \rangle$

### 4.3 Blockchain Architectures

In the following we describe the locale for blockchain architectures.

**locale** *Blockchain* = *dynamic-component cmp active*  
**for** *active* :: 'nid  $\Rightarrow$  *cnf*  $\Rightarrow$  *bool* ( $\|\cdot\|$ - [0,110]60)  
**and** *cmp* :: 'nid  $\Rightarrow$  *cnf*  $\Rightarrow$  'ND ( $\sigma_{-}(-)$  [0,110]60) +  
**fixes** *pin* :: 'ND  $\Rightarrow$  ('nid BC) *set*  
**and** *pout* :: 'ND  $\Rightarrow$  'nid BC  
**and** *bc* :: 'ND  $\Rightarrow$  'nid BC  
**and** *mining* :: 'ND  $\Rightarrow$  *bool*  
**and** *trusted* :: 'nid  $\Rightarrow$  *bool*  
**and** *actTr* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  'nid *set*  
**and** *actUt* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  'nid *set*  
**and** *PoW* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  
**and** *trNxt* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool*  
**defines** *actTr* *t n*  $\equiv$  {*nid*.  $\|\text{nid}\|_t n \wedge \text{trusted } \text{nid}$ }  
**and** *actUt* *t n*  $\equiv$  {*nid*.  $\|\text{nid}\|_t n \wedge \neg \text{trusted } \text{nid}$ }  
**and** *PoW* *t n*  $\equiv$  (LEAST *x*.  $\forall \text{nid} \in \text{actTr } t n$ .  $\text{length } (bc (\sigma_{\text{nid}}(t n))) \leq x$ )  
**and** *trNxt* *t n*  $\equiv$  ( $\exists n' \geq n$ .  $\text{PoW } t n' > \text{PoW } t n \wedge (\forall n'' > n$ .  $n'' \leq n' \longrightarrow \neg (\exists \text{nid} \in \text{actUt } t n''$ .  
 $\|\text{nid}\|_t n'' \wedge \text{mining } (\sigma_{\text{nid}}(t n'')))) \vee (\forall n' > n$ .  $\neg (\exists \text{nid} \in \text{actUt } t n'$ .  $\|\text{nid}\|_t n' \wedge \text{mining } (\sigma_{\text{nid}}(t n'))))$ )  
**assumes** *consensus*:  $\bigwedge \text{kid } t t' bc' :: ('nid BC)$ .  $\llbracket \text{trusted } \text{kid} \rrbracket \Longrightarrow \text{eval } \text{kid } t t' 0$  ( $\square(\text{ass } (\lambda kt$ .  $bc' =$  (if  
 $(\exists b \in \text{pin } kt$ .  $\text{length } b > \text{length } (bc kt))$  then (MAX (*pin* *kt*)) else (*bc* *kt*)))  $\longrightarrow^b$   
 $\circ (\text{ass } (\lambda kt$ . ( $\neg \text{mining } kt \wedge bc kt = bc' \vee \text{mining } kt \wedge bc kt = bc' @ [kid])))$ )  
**and** *attacker*:  $\bigwedge \text{kid } t t' bc'$ .  $\llbracket \neg \text{trusted } \text{kid} \rrbracket \Longrightarrow \text{eval } \text{kid } t t' 0$  ( $\square(\text{ass } (\lambda kt$ .  $bc' =$  (SOME *b*.  $b \in$   
 $(\text{pin } kt \cup \{bc kt\}))$ )  $\longrightarrow^b$   
 $\circ (\text{ass } (\lambda kt$ . ( $\neg \text{mining } kt \wedge \text{prefix } (bc kt) bc' \vee \text{mining } kt \wedge bc kt = bc' @ [kid])))$ )  
**and** *forward*:  $\bigwedge \text{kid } t t'$ .  $\text{eval } \text{kid } t t' 0$  ( $\square(\text{ass } (\lambda kt$ .  $\text{pout } kt = bc kt)$ )  
— At each time point a node will forward its blockchain to the network  
**and** *conn*:  $\bigwedge k \text{ kid}$ . *active* *kid* *k*  
 $\Longrightarrow \text{pin } (\text{cmp } \text{kid } k) = (\bigcup \text{kid}' \in \{\text{kid}'$ . *active* *kid'* *k*}. {*pout* (*cmp* *kid'* *k*)}  
**and** *act*:  $\bigwedge t n :: \text{nat}$ . *finite* {*kid* :: 'nid.  $\|\text{kid}\|_t n$ }  
**and** *actTr*:  $\bigwedge t n :: \text{nat}$ .  $\exists \text{nid}$ .  $\text{trusted } \text{nid} \wedge \|\text{nid}\|_t n \wedge \|\text{nid}\|_t (\text{Suc } n)$   
**and** *fair*:  $\bigwedge t \text{ kid } n :: \text{nat}$ .  $\llbracket \neg \text{trusted } \text{kid}; \text{mining } (\sigma_{\text{kid}}(t n)) \rrbracket \Longrightarrow \text{trNxt } t n$   
**and** *closed*:  $\bigwedge t \text{ kid } b n :: \text{nat}$ .  $\llbracket b \in \text{pin } (\sigma_{\text{kid}}(t n)) \rrbracket \Longrightarrow \exists \text{kid}'$ .  $\|\text{kid}'\|_t n \wedge \text{pout } (\sigma_{\text{kid}'}(t n)) = b$   
**begin**

**lemma** *fwd-bc*:

**fixes** *nid* **and** *t* :: *nat*  $\Rightarrow$  *cnf* **and** *t'* :: *nat*  $\Rightarrow$  'ND  
**assumes**  $\|\text{nid}\|_t n$   
**shows**  $\text{pout } (\sigma_{\text{nid}} t n) = bc (\sigma_{\text{nid}} t n)$  *<proof>*

**lemma** *finite-input*:

**fixes** *t n kid*  
**assumes**  $\|\text{kid}\|_t n$   
**shows** *finite* (*pin* (*cmp* *kid* (*t n*))) *<proof>*

**lemma** *nempty-input*:

**fixes** *t n kid*  
**assumes**  $\|\text{kid}\|_t n$

shows  $\text{pin } (\text{cmp } \text{kid } (t \ n)) \neq \{\}$   $\langle \text{proof} \rangle$

**lemma** *onlyone*:

assumes  $\exists n' \geq n. \|\text{tid}\|_t n'$   
 and  $\exists n' < n. \|\text{tid}\|_t n'$   
 shows  $\exists ! i. \langle \text{tid} \Leftarrow t \rangle_n \leq i \wedge i < \langle \text{tid} \rightarrow t \rangle_n \wedge \|\text{tid}\|_t i$   
 $\langle \text{proof} \rangle$

### 4.3.1 Component Behavior

**lemma** *bhv-tr-ex*:

fixes  $t$  and  $t'::\text{nat} \Rightarrow \text{'ND}$  and  $\text{tid}$   
 assumes *trusted tid*  
 and  $\exists n' \geq n. \|\text{tid}\|_t n'$   
 and  $\exists n' < n. \|\text{tid}\|_t n'$   
 and  $\exists b \in \text{pin } (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n). \text{length } b > \text{length } (bc (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n))$   
 shows  $\neg \text{mining } (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) \wedge bc (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) = \text{Blockchain.MAX } (\text{pin } (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n)) \vee \text{mining } (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) \wedge bc (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) = \text{Blockchain.MAX } (\text{pin } (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n))$   
 $\text{@ } [\text{tid}]$   
 $\langle \text{proof} \rangle$

**lemma** *bhv-tr-in*:

fixes  $t$  and  $t'::\text{nat} \Rightarrow \text{'ND}$  and  $\text{tid}$   
 assumes *trusted tid*  
 and  $\exists n' \geq n. \|\text{tid}\|_t n'$   
 and  $\exists n' < n. \|\text{tid}\|_t n'$   
 and  $\neg (\exists b \in \text{pin } (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n). \text{length } b > \text{length } (bc (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n)))$   
 shows  $\neg \text{mining } (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) \wedge bc (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) = bc (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n) \vee \text{mining } (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) \wedge bc (\sigma_{\text{tid}}^t \langle \text{tid} \rightarrow t \rangle_n) = bc (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n) \text{@ } [\text{tid}]$   
 $\langle \text{proof} \rangle$

**lemma** *bhv-ut*:

fixes  $t$  and  $t'::\text{nat} \Rightarrow \text{'ND}$  and  $\text{uid}$   
 assumes  $\neg \text{trusted uid}$   
 and  $\exists n' \geq n. \|\text{uid}\|_t n'$   
 and  $\exists n' < n. \|\text{uid}\|_t n'$   
 shows  $\neg \text{mining } (\sigma_{\text{uid}}^t \langle \text{uid} \rightarrow t \rangle_n) \wedge \text{prefix } (bc (\sigma_{\text{uid}}^t \langle \text{uid} \rightarrow t \rangle_n)) (\text{SOME } b. b \in \text{pin } (\sigma_{\text{uid}}^t \langle \text{uid} \Leftarrow t \rangle_n) \cup \{bc (\sigma_{\text{uid}}^t \langle \text{uid} \Leftarrow t \rangle_n)\}) \vee \text{mining } (\sigma_{\text{uid}}^t \langle \text{uid} \rightarrow t \rangle_n) \wedge bc (\sigma_{\text{uid}}^t \langle \text{uid} \rightarrow t \rangle_n) = (\text{SOME } b. b \in \text{pin } (\sigma_{\text{uid}}^t \langle \text{uid} \Leftarrow t \rangle_n) \cup \{bc (\sigma_{\text{uid}}^t \langle \text{uid} \Leftarrow t \rangle_n)\}) \text{@ } [\text{uid}]$   
 $\langle \text{proof} \rangle$

**lemma** *bhv-tr-context*:

assumes *trusted tid*  
 and  $\|\text{tid}\|_t n$   
 and  $\exists n' \geq n_S. n' < n \wedge \|\text{tid}\|_t n'$   
 shows  $\text{prefix } (bc (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n)) (bc (\sigma_{\text{tid}}^t n)) \vee$   
 $(\exists \text{nid}'. \|\text{nid}'\|_t \langle \text{tid} \Leftarrow t \rangle_n \wedge \text{length } (bc (\sigma_{\text{nid}'}^t \langle \text{tid} \Leftarrow t \rangle_n)) \geq \text{length } (\text{MAX } (\text{pin } (\sigma_{\text{tid}}^t \langle \text{tid} \Leftarrow t \rangle_n)))) \wedge \text{prefix } (bc (\sigma_{\text{nid}'}^t \langle \text{tid} \Leftarrow t \rangle_n)) (bc (\sigma_{\text{tid}}^t n))$   
 $\langle \text{proof} \rangle$

**lemma** *bhv-ut-context*:

**assumes**  $\neg \text{trusted } uid$

**and**  $\|uid\|_t n$

**and**  $\exists n' \geq n_S. n' < n \wedge \|uid\|_t n'$

**shows**  $(\text{mining } (\sigma_{uid} t n) \wedge \text{prefix } (bc (\sigma_{uid} t n)) (bc (\sigma_{uid} t \langle uid \Leftarrow t \rangle_n) @ [uid])) \vee \neg \text{mining } (\sigma_{uid} t n) \wedge \text{prefix } (bc (\sigma_{uid} t n)) (bc (\sigma_{uid} t \langle uid \Leftarrow t \rangle_n)) \vee$

$(\exists nid'. \|nid'\|_t \langle uid \Leftarrow t \rangle_n \wedge (\text{mining } (\sigma_{uid} t n) \wedge \text{prefix } (bc (\sigma_{uid} t n)) (bc (\sigma_{nid'} t \langle uid \Leftarrow t \rangle_n) @ [uid])) \vee \neg \text{mining } (\sigma_{uid} t n) \wedge \text{prefix } (bc (\sigma_{uid} t n)) (bc (\sigma_{nid'} t \langle uid \Leftarrow t \rangle_n)))$

$\langle \text{proof} \rangle$

### 4.3.2 Maximal Trusted Blockchains

**abbreviation** *mbc-cond*::  $\text{trace} \Rightarrow \text{nat} \Rightarrow 'nid \Rightarrow \text{bool}$

**where**  $\text{mbc-cond } t n nid \equiv nid \in \text{actTr } t n \wedge (\forall nid' \in \text{actTr } t n. \text{length } (bc (\sigma_{nid'}(t n))) \leq \text{length } (bc (\sigma_{nid}(t n))))$

**lemma** *mbc-ex*:

**fixes**  $t n$

**shows**  $\exists x. \text{mbc-cond } t n x$

$\langle \text{proof} \rangle$

**definition** *MBC*::  $\text{trace} \Rightarrow \text{nat} \Rightarrow 'nid$

**where**  $\text{MBC } t n = (\text{SOME } b. \text{mbc-cond } t n b)$

**lemma** *mbc-prop*:

**shows**  $\text{mbc-cond } t n (\text{MBC } t n)$

$\langle \text{proof} \rangle$

### 4.3.3 Trusted Proof of Work

An important construction is the maximal proof of work available in the trusted community. The construction was already introduced in the locale itself since it was used to express some of the locale assumptions.

**abbreviation** *pow-cond*::  $\text{trace} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$

**where**  $\text{pow-cond } t n n' \equiv \forall nid \in \text{actTr } t n. \text{length } (bc (\sigma_{nid}(t n))) \leq n'$

**lemma** *pow-ex*:

**fixes**  $t n$

**shows**  $\text{pow-cond } t n (\text{length } (bc (\sigma_{\text{MBC } t n}(t n))))$

**and**  $\forall x'. \text{pow-cond } t n x' \longrightarrow x' \geq \text{length } (bc (\sigma_{\text{MBC } t n}(t n)))$

$\langle \text{proof} \rangle$

**lemma** *pow-prop*:

$\text{pow-cond } t n (\text{PoW } t n)$

$\langle \text{proof} \rangle$

**lemma** *pow-eq*:

**fixes**  $n$

**assumes**  $\exists tid \in actTr\ t\ n. length\ (bc\ (\sigma_{tid}(t\ n))) = x$   
**and**  $\forall tid \in actTr\ t\ n. length\ (bc\ (\sigma_{tid}(t\ n))) \leq x$   
**shows**  $PoW\ t\ n = x$   
 <proof>

**lemma** *pow-abc*:  
**shows**  $length\ (bc\ (\sigma_{MBC}\ t\ n\ t\ n)) = PoW\ t\ n$   
 <proof>

**lemma** *pow-less*:  
**fixes**  $t\ n\ nid$   
**assumes** *pow-cond*  $t\ n\ x$   
**shows**  $PoW\ t\ n \leq x$   
 <proof>

**lemma** *pow-le-max*:  
**assumes** *trusted*  $tid$   
**and**  $\|tid\|_{t\ n}$   
**shows**  $PoW\ t\ n \leq length\ (MAX\ (pin\ (\sigma_{tid}\ t\ n)))$   
 <proof>

**lemma** *pow-ge-lgth*:  
**assumes** *trusted*  $tid$   
**and**  $\|tid\|_{t\ n}$   
**shows**  $length\ (bc\ (\sigma_{tid}\ t\ n)) \leq PoW\ t\ n$   
 <proof>

**lemma** *pow-le-lgth*:  
**assumes** *trusted*  $tid$   
**and**  $\|tid\|_{t\ n}$   
**and**  $\neg(\exists b \in pin\ (\sigma_{tid}\ t\ n). length\ b > length\ (bc\ (\sigma_{tid}\ t\ n)))$   
**shows**  $length\ (bc\ (\sigma_{tid}\ t\ n)) \geq PoW\ t\ n$   
 <proof>

**lemma** *pow-mono*:  
**shows**  $n' \geq n \implies PoW\ t\ n' \geq PoW\ t\ n$   
 <proof>

**lemma** *pow-equals*:  
**assumes**  $PoW\ t\ n = PoW\ t\ n'$   
**and**  $n' \geq n$   
**and**  $n'' \geq n$   
**and**  $n'' \leq n'$   
**shows**  $PoW\ t\ n = PoW\ t\ n''$  <proof>

#### 4.3.4 Trusted Next

**lemma** *pow-eq-trnxt*:  
**assumes**  $PoW\ t\ n = PoW\ t\ n'$

**and**  $trNxt\ t\ n$   
**and**  $n' \geq n$   
**shows**  $trNxt\ t\ n'$   
 $\langle proof \rangle$

**lemma**  $trnxt-pow-gr$ :  
**assumes**  $trNxt\ t\ n$   
**and**  $\neg\ trusted\ nid$   
**and**  $mining\ (\sigma_{nid}\ t\ n')$   
**and**  $\|nid\|_t\ n'$   
**and**  $n' > n$   
**shows**  $PoW\ t\ n' > PoW\ t\ n$   
 $\langle proof \rangle$

### 4.3.5 Secure Blockchains

**lemma**  $ut-src-tr$ :  
**assumes**  $prefix\ sbc\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n))$   
**and**  $build: mining\ (\sigma_{nid}\ t\ n) \wedge prefix\ (bc\ (\sigma_{nid}\ t\ n))\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n) @ [nid]) \vee \neg\ mining\ (\sigma_{nid}\ t\ n) \wedge prefix\ (bc\ (\sigma_{nid}\ t\ n))\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n))$   
**and**  $PoW\ t\ n > length\ sbc \vee PoW\ t\ n = length\ sbc \wedge trNxt\ t\ n$   
**shows**  $Suc\ (length\ (bc\ (\sigma_{nid}\ t\ n))) < PoW\ t\ n \vee Suc\ (length\ (bc\ (\sigma_{nid}\ t\ n))) = PoW\ t\ n \wedge trNxt\ t\ n$   
 $\vee prefix\ sbc\ (bc\ (\sigma_{nid}\ t\ n))$   
 $\langle proof \rangle$

**lemma**  $ut-src-ut-less$ :  
**assumes**  $\neg\ trusted\ nid$   
**and**  $Suc\ (length\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n))) < PoW\ t\ \langle nid \Leftarrow t \rangle_n$   
**and**  $\neg\ mining\ (\sigma_{nid}\ t\ n) \wedge prefix\ (bc\ (\sigma_{nid}\ t\ n))\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n) \vee mining\ (\sigma_{nid}\ t\ n) \wedge prefix\ (bc\ (\sigma_{nid}\ t\ n))\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n) @ [nid])$   
**and**  $\exists n' \geq n_S. n' < n \wedge \|nid\|_t\ n'$   
**and**  $\|nid\|_t\ n$   
**shows**  $Suc\ (length\ (bc\ (\sigma_{nid}\ t\ n))) < PoW\ t\ n \vee Suc\ (length\ (bc\ (\sigma_{nid}\ t\ n))) = PoW\ t\ n \wedge trNxt\ t\ n$   
 $\langle proof \rangle$

**lemma**  $ut-src-ut-eq$ :  
**assumes**  $\neg\ trusted\ nid$   
**and**  $Suc\ (length\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n))) = PoW\ t\ \langle nid \Leftarrow t \rangle_n$   
**and**  $trNxt\ t\ \langle nid \Leftarrow t \rangle_n$   
**and**  $\neg\ mining\ (\sigma_{nid}\ t\ n) \wedge prefix\ (bc\ (\sigma_{nid}\ t\ n))\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n) \vee mining\ (\sigma_{nid}\ t\ n) \wedge prefix\ (bc\ (\sigma_{nid}\ t\ n))\ (bc\ (\sigma_{nid}\ t\ \langle nid \Leftarrow t \rangle_n) @ [nid])$   
**and**  $\exists n' \geq n_S. n' < n \wedge \|nid\|_t\ n'$   
**and**  $\|nid\|_t\ n$   
**shows**  $Suc\ (length\ (bc\ (\sigma_{nid}\ t\ n))) < PoW\ t\ n \vee Suc\ (length\ (bc\ (\sigma_{nid}\ t\ n))) = PoW\ t\ n \wedge trNxt\ t\ n$   
 $\langle proof \rangle$

**lemma**  $sbc-pow$ :  
**fixes**  $t::nat \Rightarrow cnf$  **and**  $n_S$  **and**  $sbc$  **and**  $n$   
**assumes**  $\forall nid. bc\ (\sigma_{nid}\ t\ (\langle nid \rightarrow t \rangle_{n_S})) = sbc$

**and**  $trNxt\ t\ n_S$   
**shows**  $n \geq n_S \implies PoW\ t\ n > length\ sbc \vee PoW\ t\ n = length\ sbc \wedge trNxt\ t\ n$   
 $\langle proof \rangle$

**theorem** *blockchain-save*:

**fixes**  $t::nat \Rightarrow cnf$  **and**  $n_S$  **and**  $sbc$  **and**  $n$   
**assumes**  $\forall nid. bc\ (\sigma_{nid}(t\ (\langle nid \rightarrow t \rangle_{n_S}))) = sbc$   
**and**  $trNxt\ t\ n_S$   
**and**  $prems:n \geq n_S$   
**shows**  $n \geq n_S \implies \forall nid. (trusted\ nid \wedge \|nid\|_{t\ n} \longrightarrow prefix\ sbc\ (bc\ (\sigma_{nid}(t\ n)))) \wedge (\neg trusted\ nid \wedge \|nid\|_{t\ n} \longrightarrow Suc\ (length\ (bc\ (\sigma_{nid}(t\ n)))) < PoW\ t\ n \vee Suc\ (length\ (bc\ (\sigma_{nid}(t\ n)))) = PoW\ t\ n \wedge trNxt\ t\ n \vee prefix\ sbc\ (bc\ (\sigma_{nid}(t\ n))))$   
 $\langle proof \rangle$

**end**

**end**

## References

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