

# A Theory of Architectural Design Patterns

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## Abstract

The following document formalizes and verifies several architectural design patterns [1]. Each pattern specification is formalized in terms of a locale where the locale assumptions correspond to the assumptions which a pattern poses on an architecture. Thus, pattern specifications may build on top of each other by interpreting the corresponding locale. A pattern is verified using the framework provided by the AFP entry *Dynamic Architectures* [3].

Currently, the document consists of formalizations of 4 different patterns: the singleton, the publisher subscriber, the blackboard pattern, and the blockchain pattern. Thereby, the publisher component of the publisher subscriber pattern is modeled as an instance of the singleton pattern and the blackboard pattern is modeled as an instance of the publisher subscriber pattern.

In general, this entry provides the first steps towards an overall theory of architectural design patterns [2].

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## 1 A Theory of Singletons

In the following, we formalize the specification of the singleton pattern as described in [4].

```
theory Singleton
imports DynamicArchitectures.Dynamic-Architecture-Calculus
begin
```

### 1.1 Singletons

In the following we formalize a variant of the Singleton pattern.

```
locale singleton = dynamic-component cmp active
  for active :: 'id ⇒ cnf ⇒ bool (||-||_ [0,110]60)
  and cmp :: 'id ⇒ cnf ⇒ 'cmp (σ_(-) [0,110]60) +
assumes alwaysActive: ∧k. ∃ id. ||id||_k
  and unique: ∃ id. ∀ k. ∀ id'. (||id'||_k → id = id')
begin
```

#### 1.1.1 Calculus Interpretation

```
baIA: [∃ i ≥ n. ||c||_t i; φ (σ_ct ⟨c → t⟩_n)] ⇒ eval c t t' n [φ]_b
baIN1: [∃ i. ||c||_t i; ¬ (∃ i ≥ n. ||c||_t i); φ (t' (n - ⟨c ∧ t⟩ - 1))] ⇒ eval c t t' n [φ]_b
baIN2: [∄ i. ||c||_t i; φ (t' n)] ⇒ eval c t t' n [φ]_b
```

#### 1.1.2 Architectural Guarantees

```
definition the-singleton ≡ THE id. ∀ k. ∀ id'. ||id'||_k → id' = id
```

```
theorem ts-prop:
  fixes k::cnf
  shows ∧ id. ||id||_k ⇒ id = the-singleton
  and ||the-singleton||_k
  <proof>
declare ts-prop(2)[simp]
```

```
lemma lNact-active[simp]:
  fixes cid t n
  shows ⟨the-singleton ⇐ t⟩_n = n
  <proof>
```

```
lemma lNxt-active[simp]:
  fixes cid t n
  shows ⟨the-singleton → t⟩_n = n
```

*<proof>*

**lemma** *baI*[*intro*]:

**fixes** *t n a*

**assumes**  $\varphi (\sigma_{\text{the-singleton}}(t\ n))$

**shows** *eval the-singleton t t' n*  $[\varphi]_b$  *<proof>*

**lemma** *baE*[*elim*]:

**fixes** *t n a*

**assumes** *eval the-singleton t t' n*  $[\varphi]_b$

**shows**  $\varphi (\sigma_{\text{the-singleton}}(t\ n))$  *<proof>*

**lemma** *evtE*[*elim*]:

**fixes** *t id n a*

**assumes** *eval the-singleton t t' n*  $(\Diamond_b\ \gamma)$

**shows**  $\exists n' \geq n. \text{eval the-singleton t t' n'}\ \gamma$

*<proof>*

**lemma** *globE*[*elim*]:

**fixes** *t id n a*

**assumes** *eval the-singleton t t' n*  $(\Box_b\ \gamma)$

**shows**  $\forall n' \geq n. \text{eval the-singleton t t' n'}\ \gamma$

*<proof>*

**lemma** *untilI*[*intro*]:

**fixes** *t::nat*  $\Rightarrow$  *cnf*

**and** *t'::nat*  $\Rightarrow$  *'cmp*

**and** *n::nat*

**and** *n'::nat*

**assumes**  $n' \geq n$

**and** *eval the-singleton t t' n'*  $\gamma$

**and**  $\bigwedge n''. \llbracket n \leq n''; n'' < n \rrbracket \Longrightarrow \text{eval the-singleton t t' n''}\ \gamma'$

**shows** *eval the-singleton t t' n*  $(\gamma' \mathcal{U}_b\ \gamma)$

*<proof>*

**lemma** *untilE*[*elim*]:

**fixes** *t id n*  $\gamma' \gamma$

**assumes** *eval the-singleton t t' n*  $(\gamma' \mathcal{U}_b\ \gamma)$

**shows**  $\exists n' \geq n. \text{eval the-singleton t t' n'}\ \gamma \wedge (\forall n'' \geq n. n'' < n' \longrightarrow \text{eval the-singleton t t' n''}\ \gamma')$

*<proof>*

**end**

**end**

## 2 A Theory of Publisher-Subscriber Architectures

In the following, we formalize the specification of the publisher subscriber pattern as described in [4].

**theory** *Publisher-Subscriber*

**imports** *Singleton*

**begin**

## 2.1 Subscriptions

**datatype** *'evt subscription* = *sub 'evt* | *unsub 'evt*

## 2.2 Publisher-Subscriber Architectures

**locale** *publisher-subscriber* =  
*pb*: *singleton pbactive pbcmp* +  
*sb*: *dynamic-component sbcmp sbactive*  
**for** *pbactive* :: *'pid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *bool* ( $\|\_ \|$ - [0,110]60)  
**and** *pbcmp* :: *'pid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *'PB* ( $\sigma$ \_-(-) [0,110]60)  
**and** *sbactive* :: *'sid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *bool* ( $\|\_ \|$ - [0,110]60)  
**and** *sbcmp* :: *'sid*  $\Rightarrow$  *cnf*  $\Rightarrow$  *'SB* ( $\sigma$ \_-(-) [0,110]60) +  
**fixes** *pbsb* :: *'PB*  $\Rightarrow$  (*'evt set*) *subscription set*  
**and** *pbnt* :: *'PB*  $\Rightarrow$  (*'evt*  $\times$  *'msg*)  
**and** *sbnt* :: *'SB*  $\Rightarrow$  (*'evt*  $\times$  *'msg*) *set*  
**and** *sbsb* :: *'SB*  $\Rightarrow$  (*'evt set*) *subscription*  
**assumes** *conn1*:  $\bigwedge k \text{ pid. } \|\text{pid}\|_k$   
 $\implies \text{pbsb } (\sigma_{\text{pid}(k)}) = (\bigcup \text{sid} \in \{\text{sid. } \|\text{sid}\|_k\}. \{\text{sbsb } (\sigma_{\text{sid}(k)})\})$   
**and** *conn2*:  $\bigwedge t \ n \ n'' \ \text{sid} \ \text{pid} \ E \ e \ m.$   
 $\|\text{t} \in \text{arch}; \|\text{pid}\|_t \ n; \|\text{sid}\|_t \ n; \text{sub } E = \text{sbsb } (\sigma_{\text{sid}(t \ n)}); n'' \geq n; e \in E;$   
 $\nexists n' \ E'. n' \geq n \wedge n' \leq n'' \wedge \|\text{sid}\|_{t \ n'} \wedge$   
 $\text{unsub } E' = \text{sbsb } (\sigma_{\text{sid}(t \ n')}) \wedge e \in E';$   
 $(e, m) = \text{pbnt } (\sigma_{\text{pid}(t \ n'')}); \|\text{sid}\|_{t \ n''}$   
 $\implies \text{pbnt } (\sigma_{\text{pid}(t \ n'')}) \in \text{sbnt } (\sigma_{\text{sid}(t \ n'')})$   
**begin**

### 2.2.1 Calculus Interpretation

*pb.baIA*:  $\llbracket \exists i \geq n. \|c\|_t \ i; \varphi (\sigma_{ct} (\text{pb.nextAct } c \ t \ n)) \rrbracket \implies \text{pb.eval } c \ t \ t' \ n \ [\varphi]_b$

*sb.baIA*:  $\llbracket \exists i \geq n. \|c\|_t \ i; \varphi (\sigma_{ct} (\text{sb.nextAct } c \ t \ n)) \rrbracket \implies \text{sb.eval } c \ t \ t' \ n \ [\varphi]_b$

### 2.2.2 Results from Singleton

**abbreviation** *the-pb* :: *'pid* **where**  
*the-pb*  $\equiv$  *pb.the-singleton*

*pb.ts-prop* ( 1 ):  $\|\text{id}\|_k \implies \text{id} = \text{the-pb}$

*pb.ts-prop* ( 2 ):  $\|\text{the-pb}\|_k$

### 2.2.3 Architectural Guarantees

The following theorem ensures that a subscriber indeed receives all messages associated with an event for which he is subscribed.

**theorem** *msgDelivery*:

**fixes** *t n n'' and sid::'sid and E e m*

**assumes** *t*  $\in$  *arch*

**and**  $\|\text{sid}\|_t \ n$

**and** *sub* *E* = *sbsb* ( $\sigma_{\text{sid}(t \ n)}$ )

**and**  $n'' \geq n$

**and**  $\nexists n' \ E'. n' \geq n \wedge n' \leq n'' \wedge \|\text{sid}\|_{t \ n'} \wedge \text{unsub } E' = \text{sbsb}(\sigma_{\text{sid}(t \ n')})$   
 $\wedge e \in E'$

**and** *e*  $\in$  *E*

```

    and (e,m) = pbnt (σthe-pb(t n''))
    and ||sid||t n''
  shows (e,m) ∈ sbnt (σsid(t n''))
  <proof>

```

Since a publisher is actually a singleton, we can provide an alternative version of constraint *conn1*.

```

lemma conn1A:
  fixes k
  shows pbsb (σthe-pb(k)) = (∪ sid ∈ {sid. ||sid||k. {sbsb (σsid(k))})
  <proof>
end

end

```

### 3 A Theory of Blackboard Architectures

In the following, we formalize the specification of the blackboard pattern as described in [4].

```

theory Blackboard
imports Publisher-Subscriber
begin

```

#### 3.1 Problems and Solutions

Blackboards work with problems and solutions for them.

```

typedecl PROB
consts sb :: (PROB × PROB) set
axiomatization where sbWF: wf sb
typedecl SOL
consts solve:: PROB ⇒ SOL

```

#### 3.2 Blackboard Architectures

In the following, we describe the locale for the blackboard pattern.

```

locale blackboard = publisher-subscriber bbactive bbcmp ksactive kscmp bbrp bbcs kscs ksrp
  for bbactive :: 'bid ⇒ cnf ⇒ bool (||-|| [0,110] 60)
  and bbcmp :: 'bid ⇒ cnf ⇒ 'BB (σ-(-) [0,110] 60)
  and ksactive :: 'kid ⇒ cnf ⇒ bool (||-|| [0,110] 60)
  and kscmp :: 'kid ⇒ cnf ⇒ 'KS (σ-(-) [0,110] 60)
  and bbrp :: 'BB ⇒ (PROB set) subscription set
  and bbcs :: 'BB ⇒ (PROB × SOL)
  and kscs :: 'KS ⇒ (PROB × SOL) set
  and ksrp :: 'KS ⇒ (PROB set) subscription +
fixes bbns :: 'BB ⇒ (PROB × SOL) set
  and ksns :: 'KS ⇒ (PROB × SOL)
  and bbop :: 'BB ⇒ PROB
  and ksop :: 'KS ⇒ PROB set
  and prob :: 'kid ⇒ PROB
assumes
  ks1: ∀ p. ∃ ks. p=prob ks — Component Parameter
  — Assertions about component behavior.
  and bhvbb1: ∧ t t' bId p s. [t ∈ arch] ⇒ pb.eval bId t t' 0

```

$(\Box_b ([\lambda bb. (p,s) \in bbns\ bb]_b \rightarrow^b (\Diamond_b [\lambda bb. (p,s) = bbcs\ bb]_b)))$   
**and**  $bhvb2: \bigwedge t\ t'\ bId\ P\ q. \llbracket t \in arch \rrbracket \implies pb.eval\ bId\ t\ t'\ 0$   
 $(\Box_b ([\lambda bb. sub\ P \in bbrp\ bb \wedge q \in P]_b \rightarrow^b (\Diamond_b [\lambda bb. q = bbop\ bb]_b)))$   
**and**  $bhvb3: \bigwedge t\ t'\ bId\ p. \llbracket t \in arch \rrbracket \implies pb.eval\ bId\ t\ t'\ 0$   
 $(\Box_b ([\lambda bb. p = bbop(bb)]_b \rightarrow^b ([\lambda bb. p = bbop(bb)]_b \mathfrak{W}_b [\lambda bb. (p, solve(p)) = bbcs(bb)]_b)))$   
**and**  $bhvks1: \bigwedge t\ t'\ kId\ p\ P. \llbracket t \in arch; p = prob\ kId \rrbracket \implies sb.eval\ kId\ t\ t'\ 0$   
 $(\Box_b ([\lambda ks. sub\ P = ksrrp\ ks]_b \wedge^b (\forall_b q. ((sb.pred\ (q \in P)) \rightarrow^b (\Diamond_b ([\lambda ks. (q, solve(q)) \in kscs\ ks]_b)))) \rightarrow^b (\Diamond_b [\lambda ks. (p, solve\ p) = ksns\ ks]_b)))$   
**and**  $bhvks2: \bigwedge t\ t'\ kId\ p\ P\ q. \llbracket t \in arch; p = prob\ kId \rrbracket \implies sb.eval\ kId\ t\ t'\ 0$   
 $(\Box_b [\lambda ks. sub\ P = ksrrp\ ks \wedge q \in P \rightarrow (q,p) \in sb]_b)$   
**and**  $bhvks3: \bigwedge t\ t'\ kId\ p. \llbracket t \in arch; p = prob\ kId \rrbracket \implies sb.eval\ kId\ t\ t'\ 0$   
 $(\Box_b ([\lambda ks. p \in ksop\ ks]_b \rightarrow^b (\Diamond_b [\lambda ks. (\exists P. sub\ P = ksrrp\ ks)]_b)))$   
**and**  $bhvks4: \bigwedge t\ t'\ kId\ p\ P. \llbracket t \in arch; p \in P \rrbracket \implies sb.eval\ kId\ t\ t'\ 0$   
 $(\Box_b ([\lambda ks. sub\ P = ksrrp\ ks]_b \rightarrow^b ((\neg^b (\exists_b P'. (sb.pred\ (p \in P') \wedge^b [\lambda ks. unsub\ P' = ksrrp\ ks]_b))) \mathfrak{W}_b [\lambda ks. (p, solve\ p) \in kscs\ ks]_b)))$

— Assertions about component activation.

**and**  $actks:$

$\bigwedge t\ n\ kid\ p. \llbracket t \in arch; \llbracket kid \rrbracket_t\ n; p = prob\ kid; p \in ksop\ (\sigma_{kid}(t\ n)) \rrbracket$   
 $\implies (\exists n' \geq n. \llbracket kid \rrbracket_t\ n' \wedge (p, solve\ p) = ksns\ (\sigma_{kid}(t\ n')) \wedge$   
 $(\forall n'' \geq n. n'' < n' \rightarrow \llbracket kid \rrbracket_t\ n''))$   
 $\vee (\forall n' \geq n. (\llbracket kid \rrbracket_t\ n' \wedge (\neg(p, solve\ p) = ksns\ (\sigma_{kid}(t\ n')))))$

— Assertions about connections.

**and**  $conn1: \bigwedge k\ bid. \llbracket bid \rrbracket_k$   
 $\implies bbns\ (\sigma_{bid}(k)) = (\bigcup_{kid \in \{kid. \llbracket kid \rrbracket_k\}. \{ksns\ (\sigma_{kid}(k))\}}$   
**and**  $conn2: \bigwedge k\ kid. \llbracket kid \rrbracket_k$   
 $\implies ksop\ (\sigma_{kid}(k)) = (\bigcup_{bid \in \{bid. \llbracket bid \rrbracket_k\}. \{bbop\ (\sigma_{bid}(k))\}}$

**begin**

**notation**  $sb.lNAct$  ( $\langle - \Leftarrow - \rangle$ .)

**notation**  $sb.nxtAct$  ( $\langle - \rightarrow - \rangle$ .)

**notation**  $pb.lNAct$  ( $\langle - \Leftarrow - \rangle$ .)

**notation**  $pb.nxtAct$  ( $\langle - \rightarrow - \rangle$ .)

### 3.2.1 Calculus Interpretation

$pb.baIA: \llbracket \exists i \geq n. \llbracket c \rrbracket_t\ i; \varphi\ (\sigma_{ct}\ \langle c \rightarrow t \rangle_n) \rrbracket \implies pb.eval\ c\ t\ t'\ n\ [\varphi]_b$

$sb.baIA: \llbracket \exists i \geq n. \llbracket c \rrbracket_t\ i; \varphi\ (\sigma_{ct}\ \langle c \rightarrow t \rangle_n) \rrbracket \implies sb.eval\ c\ t\ t'\ n\ [\varphi]_b$

### 3.2.2 Results from Singleton

**abbreviation**  $the\ bb \equiv the\ pb$

$pb.ts-prop\ (1): \llbracket id \rrbracket_k \implies id = the\ bb$

$pb.ts-prop\ (2): \llbracket the\ bb \rrbracket_k$

### 3.2.3 Results from Publisher Subscriber

*msgDelivery*:  $\llbracket t \in arch; \llbracket sid \rrbracket_t n; sub E = ksrp (\sigma_{sid} t n); n \leq n''; \nexists n' E'. n \leq n' \wedge n' \leq n'' \wedge \llbracket sid \rrbracket_t n' \wedge unsub E' = ksrp (\sigma_{sid} t n') \wedge e \in E'; e \in E; (e, m) = bbcs (\sigma_{the-bb} t n''); \llbracket sid \rrbracket_t n'' \rrbracket$   
 $\implies (e, m) \in kscs (\sigma_{sid} t n'')$

**lemma** *conn2-bb*:

**fixes** *k* **and** *kid*::'kid

**assumes**  $\llbracket kid \rrbracket_k$

**shows**  $bbop (\sigma_{the-bb}(k)) \in ksop (\sigma_{kid}(k))$

*<proof>*

### 3.2.4 Knowledge Sources

In the following we introduce an abbreviation for knowledge sources which are able to solve a specific problem.

**definition** *sKs*:: *PROB*  $\Rightarrow$  'kid **where**

$sKs p \equiv (SOME\ kid.\ p = prob\ kid)$

**lemma** *sks-prob*:

$p = prob (sKs p)$

*<proof>*

### 3.2.5 Architectural Guarantees

The following theorem verifies that a problem is eventually solved by the pattern even if no knowledge source exist which can solve the problem on its own. It assumes, however, that for every open sub problem, a corresponding knowledge source able to solve the problem will be eventually activated.

**lemma** *pSolved-Ind*:

**fixes** *t* **and** *t'*::nat  $\Rightarrow$  'BB **and** *p* **and** *t''*::nat  $\Rightarrow$  'KS

**assumes**  $t \in arch$  **and**

$\forall n. (\exists n' \geq n. \llbracket sKs (bbop(\sigma_{the-bb}(t n))) \rrbracket_t n')$

**shows**

$\forall n. (\exists P. sub P \in bbrp(\sigma_{the-bb}(t n)) \wedge p \in P) \longrightarrow$

$(\exists m \geq n. (p, solve(p)) = bbcs (\sigma_{the-bb}(t m)))$

— The proof is by well-founded induction over the subproblem relation *sb*

*<proof>*

**theorem** *pSolved*:

**fixes** *t* **and** *t'*::nat  $\Rightarrow$  'BB **and** *t''*::nat  $\Rightarrow$  'KS

**assumes**  $t \in arch$  **and**

$\forall n. (\exists n' \geq n. \llbracket sKs (bbop(\sigma_{the-bb}(t n))) \rrbracket_t n')$

**shows**

$\forall n. (\forall P. (sub P \in bbrp(\sigma_{the-bb}(t n))$

$\longrightarrow (\forall p \in P. (\exists m \geq n. (p, solve(p)) = bbcs (\sigma_{the-bb}(t m))))))$

*<proof>*

**end**

**end**

## 4 Some Auxiliary Results

**theory** *Auxiliary* **imports** *Main*

**begin**

**lemma** *disjE3*:  $P \vee Q \vee R \implies (P \implies S) \implies (Q \implies S) \implies (R \implies S) \implies S$  *<proof>*

**lemma** *ge-induct*[*consumes 1, case-names step*]:

**fixes**  $i::nat$  **and**  $j::nat$  **and**  $P::nat \implies bool$

**shows**  $i \leq j \implies (\bigwedge n. i \leq n \implies ((\forall m \geq i. m < n \longrightarrow P m) \implies P n)) \implies P j$

*<proof>*

**lemma** *my-induct*[*consumes 1, case-names base step*]:

**fixes**  $P::nat \implies bool$

**assumes** *less*:  $i \leq j$

**and** *base*:  $P j$

**and** *step*:  $\bigwedge n. i \leq n \implies n < j \implies (\forall n' > n. n' \leq j \longrightarrow P n') \implies P n$

**shows**  $P i$

*<proof>*

**lemma** *Greatest-ex-le-nat*: **assumes**  $\exists k. P k \wedge (\forall k'. P k' \longrightarrow k' \leq k)$  **shows**  $\neg(\exists n' > \text{Greatest } P. P n')$

*<proof>*

**lemma** *cardEx*: **assumes** *finite A* **and** *finite B* **and**  $\text{card } A > \text{card } B$  **shows**  $\exists x \in A. \neg x \in B$

*<proof>*

**lemma** *cardshift*:  $\text{card } \{i::nat. i > n \wedge i \leq n' \wedge p (n'' + i)\} = \text{card } \{i. i > (n + n') \wedge i \leq (n' + n'') \wedge p i\}$

*<proof>*

**end**

## 5 Relative Frequency LTL

**theory** *RF-LTL*

**imports** *Main HOL-Library.Sublist Auxiliary DynamicArchitectures.Dynamic-Architecture-Calculus*

**begin**

**type-synonym**  $'s \text{ seq} = nat \implies 's$

**abbreviation**  $\text{ccard } n n' p \equiv \text{card } \{i. i > n \wedge i \leq n' \wedge p i\}$

**lemma** *ccard-same*:

**assumes**  $\neg p (\text{Suc } n')$

**shows**  $\text{ccard } n n' p = \text{ccard } n (\text{Suc } n') p$

*<proof>*

**lemma** *ccard-zero*[*simp*]:

**fixes**  $n::nat$

**shows**  $\text{ccard } n n p = 0$

*<proof>*

**lemma** *ccard-inc*:

**assumes**  $p (\text{Suc } n')$

**and**  $n' \geq n$

**shows**  $\text{ccard } n (\text{Suc } n') p = \text{Suc } (\text{ccard } n n' p)$

*<proof>*



**lemma** *ccard-mono*:

**assumes**  $n' \geq n$

**shows**  $n'' \geq n' \implies \text{ccard } n (n''::\text{nat}) p \geq \text{ccard } n n' p$

*<proof>*

**lemma** *ccard-ub[simp]*:

$\text{ccard } n n' p \leq \text{Suc } n' - n$

*<proof>*

**lemma** *ccard-sum*:

**fixes**  $n::\text{nat}$

**assumes**  $n' \geq n''$

**and**  $n'' \geq n$

**shows**  $\text{ccard } n n' P = \text{ccard } n n'' P + \text{ccard } n'' n' P$

*<proof>*

**lemma** *ccard-ex*:

**fixes**  $n::\text{nat}$

**shows**  $c \geq 1 \implies c < \text{ccard } n n'' P \implies \exists n' < n''. n' > n \wedge \text{ccard } n n' P = c$

*<proof>*

**lemma** *ccard-freq*:

**assumes**  $(n''::\text{nat}) \geq n$

**and**  $\text{ccard } n n' P > \text{ccard } n n' Q + \text{cnf}$

**shows**  $\exists n' n''. \text{ccard } n' n'' P > \text{cnf} \wedge \text{ccard } n' n'' Q \leq \text{cnf}$

*<proof>*

**locale** *trusted* =

**fixes**  $bc::('a \text{ list}) \text{ seq}$

**and**  $n::\text{nat}$

**assumes**  $\text{growth}: n' \neq 0 \implies n' \leq n \implies bc \ n' = bc \ (n'-1) \vee (\exists b. bc \ n' = bc \ (n'-1) @ b)$

**begin**

**end**

**locale** *untrusted* =

**fixes**  $bc::('a \text{ list}) \text{ seq}$

**and**  $\text{mining}::\text{bool seq}$

**assumes**  $\text{growth}: \bigwedge n::\text{nat}. \text{prefix } (bc \ (\text{Suc } n)) \ (bc \ n) \vee (\exists b::'a. bc \ (\text{Suc } n) = bc \ n @ [b]) \wedge \text{mining} \ (\text{Suc } n)$

**begin**

**lemma** *prefix-save*:

**assumes**  $\text{prefix } sbc \ (bc \ n')$

**and**  $\forall n''' > n'. n''' \leq n'' \longrightarrow \text{length } (bc \ n''') \geq \text{length } sbc$

**shows**  $n'' \geq n' \implies \text{prefix } sbc \ (bc \ n'')$

*<proof>*

**theorem** *prefix-length*:

**assumes**  $\text{prefix } sbc \ (bc \ n')$  **and**  $\neg \text{prefix } sbc \ (bc \ n'')$  **and**  $n' \leq n''$

**shows**  $\exists n''' > n'. n''' \leq n'' \wedge \text{length } (bc \ n''') < \text{length } sbc$

*<proof>*

**theorem** *grow-mining*:

**assumes**  $\text{length } (bc \ n) < \text{length } (bc \ (\text{Suc } n))$

```

shows mining (Suc n)
  ⟨proof⟩

lemma length-suc-length:
  length (bc (Suc n)) ≤ Suc (length (bc n))
  ⟨proof⟩

end

locale untrusted-growth =
  fixes bc:: nat seq
    and mining:: nat ⇒ bool
  assumes as1: ∧n::nat. bc (Suc n) ≤ Suc (bc n)
    and as2: ∧n::nat. bc (Suc n) > bc n ⇒ mining (Suc n)
begin

end

sublocale untrusted ⊆ untrusted-growth λn. length (bc n) ⟨proof⟩

context untrusted-growth
begin
  theorem ccard-diff-lgth:
    n' ≥ n ⇒ ccard n n' (λn. mining n) ≥ (bc n' - bc n)
    ⟨proof⟩
end

locale trusted-growth =
  fixes bc:: nat seq
    and mining:: nat ⇒ bool
    and init:: nat
  assumes as1: ∧n::nat. bc (Suc n) ≥ bc n
    and as2: ∧n::nat. mining (Suc n) ⇒ bc (Suc n) > bc n
begin
  lemma grow-mono: n' ≥ n ⇒ bc n' ≥ bc n
  ⟨proof⟩

  theorem ccard-diff-lgth:
    shows n' ≥ n ⇒ bc n' - bc n ≥ ccard n n' (λn. mining n)
    ⟨proof⟩
end

locale bounded-growth = tg: trusted-growth tbc tmining + ug: untrusted-growth ubc umining
  for tbc:: nat seq
    and ubc:: nat seq
    and tmining:: nat ⇒ bool
    and umining:: nat ⇒ bool
    and sbc::nat
    and cnf::nat +
  assumes fair: ∧n n'. ccard n n' (λn. umining n) > cnf ⇒ ccard n n' (λn. tmining n) > cnf
    and a2: tbc 0 ≥ sbc+cnf
    and a3: ubc 0 < sbc
begin

theorem tr-upper-bound: shows ubc n < tbc n

```

*<proof>*

end

end

## 6 Blockchain Architectures

theory *Blockchain* imports *Auxiliary DynamicArchitectures.Dynamic-Architecture-Calculus RF-LTL*  
begin

### 6.1 Blockchains

A blockchain itself is modeled as a simple list.

**type-synonym** 'a BC = 'a list

**abbreviation** *max-cond*:: ('a BC) set  $\Rightarrow$  'a BC  $\Rightarrow$  bool  
where *max-cond* B b  $\equiv$  b  $\in$  B  $\wedge$  ( $\forall$  b' $\in$ B. length b'  $\leq$  length b)

**no-syntax**

-MAX1 :: ptnrs  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists$ MAX -./ -) [0, 10] 10)  
-MAX :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists$ MAX -:./ -) [0, 0, 10] 10)  
-MAX1 :: ptnrs  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists$ MAX -./ -) [0, 10] 10)  
-MAX :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\exists$ MAX - $\in$ ./ -) [0, 0, 10] 10)

**definition** MAX:: ('a BC) set  $\Rightarrow$  'a BC  
where MAX B = (SOME b. *max-cond* B b)

**lemma** *max-ex*:

fixes XS::('a BC) set  
assumes XS  $\neq$  {}  
and finite XS  
shows  $\exists$  xs $\in$ XS. ( $\forall$  ys $\in$ XS. length ys  $\leq$  length xs)

*<proof>*

**lemma** *max-prop*:

fixes XS::('a BC) set  
assumes XS  $\neq$  {}  
and finite XS  
shows MAX XS  $\in$  XS  
and  $\forall$  b' $\in$ XS. length b'  $\leq$  length (MAX XS)

*<proof>*

**lemma** *max-less*:

fixes b::'a BC and b'::'a BC and B::('a BC) set  
assumes b $\in$ B  
and finite B  
and length b > length b'  
shows length (MAX B) > length b'

*<proof>*

### 6.2 Blockchain Architectures

In the following we describe the locale for blockchain architectures.

**locale** *Blockchain* = *dynamic-component cmp active*  
**for** *active* :: 'nid  $\Rightarrow$  *cnf*  $\Rightarrow$  *bool* ( $\|\cdot\|$ - [0,110]60)  
**and** *cmp* :: 'nid  $\Rightarrow$  *cnf*  $\Rightarrow$  'ND ( $\sigma$ -(-) [0,110]60) +  
**fixes** *pin* :: 'ND  $\Rightarrow$  ('nid BC) *set*  
**and** *pout* :: 'ND  $\Rightarrow$  'nid BC  
**and** *bc* :: 'ND  $\Rightarrow$  'nid BC  
**and** *mining* :: 'ND  $\Rightarrow$  *bool*  
**and** *trusted* :: 'nid  $\Rightarrow$  *bool*  
**and** *actTr* :: *cnf*  $\Rightarrow$  'nid *set*  
**and** *actUt* :: *cnf*  $\Rightarrow$  'nid *set*  
**and** *PoW* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  
**and** *tmining* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool*  
**and** *umining* :: *trace*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool*  
**and** *cb* :: *nat*  
**defines** *actTr* *k*  $\equiv$  {*nid*.  $\|\text{nid}\|_k \wedge \text{trusted } \text{nid}$ }  
**and** *actUt* *k*  $\equiv$  {*nid*.  $\|\text{nid}\|_k \wedge \neg \text{trusted } \text{nid}$ }  
**and** *PoW* *t n*  $\equiv$  (*LEAST* *x*.  $\forall \text{nid} \in \text{actTr } (t \ n). \text{length } (bc \ (\sigma_{\text{nid}}(t \ n))) \leq x$ )  
**and** *tmining* *t*  $\equiv$  ( $\lambda n. \exists \text{nid} \in \text{actTr } (t \ n). \text{mining } (\sigma_{\text{nid}}(t \ n))$ )  
**and** *umining* *t*  $\equiv$  ( $\lambda n. \exists \text{nid} \in \text{actUt } (t \ n). \text{mining } (\sigma_{\text{nid}}(t \ n))$ )  
**assumes** *consensus*:  $\bigwedge \text{nid } t \ t' \ bc' :: ('nid \ BC). \llbracket \text{trusted } \text{nid} \rrbracket \Longrightarrow \text{eval } \text{nid } t \ t' \ 0$   
 $(\square_b \ ([\lambda nd. bc' = (\text{if } (\exists b \in \text{pin } nd. \text{length } b > \text{length } (bc \ nd)) \text{ then } (MAX \ (\text{pin } nd)) \text{ else } (bc \ nd))])_b$   
 $\longrightarrow^b \circ_b \ [\lambda nd. (\neg \text{mining } nd \wedge bc \ nd = bc' \vee \text{mining } nd \wedge (\exists b. bc \ nd = bc' \ @ \ [b]))]_b)$   
**and** *attacker*:  $\bigwedge \text{nid } t \ t' \ bc'. \llbracket \neg \text{trusted } \text{nid} \rrbracket \Longrightarrow \text{eval } \text{nid } t \ t' \ 0$   
 $(\square_b \ ([\lambda nd. bc' = (SOME \ b. b \in (\text{pin } nd \cup \{bc \ nd\}))])_b \longrightarrow^b$   
 $\circ_b \ [\lambda nd. (\neg \text{mining } nd \wedge \text{prefix } (bc \ nd) \ bc' \vee \text{mining } nd \wedge (\exists b. bc \ nd = bc' \ @ \ [b]))]_b)$   
**and** *forward*:  $\bigwedge \text{nid } t \ t'. \text{eval } \text{nid } t \ t' \ 0 \ (\square_b \ [\lambda nd. \text{pout } nd = bc \ nd]_b)$   
— At each time point a node will forward its blockchain to the network  
**and** *init*:  $\bigwedge \text{nid } t \ t'. \text{eval } \text{nid } t \ t' \ 0 \ [\lambda nd. bc \ nd = []]_b$   
**and** *conn*:  $\bigwedge k \ \text{nid}. \llbracket \|\text{nid}\|_k; \text{trusted } \text{nid} \rrbracket$   
 $\Longrightarrow \text{pin } (\text{cmp } \text{nid } k) = (\bigcup \text{nid}' \in \text{actTr } k. \{\text{pout } (\text{cmp } \text{nid}' \ k)\})$   
**and** *act*:  $\bigwedge t \ n :: \text{nat}. \text{finite } \{\text{nid} :: 'nid. \|\text{nid}\|_t \ n\}$   
**and** *actTr*:  $\bigwedge t \ n :: \text{nat}. \exists \text{nid}. \text{trusted } \text{nid} \wedge \|\text{nid}\|_t \ n \wedge \|\text{nid}\|_t \ (\text{Suc } n)$   
**and** *fair*:  $\bigwedge n \ n'. \text{ccard } n \ n' \ (\text{umining } t) > cb \Longrightarrow \text{ccard } n \ n' \ (\text{tmining } t) > cb$   
**and** *closed*:  $\bigwedge t \ \text{nid } b \ n :: \text{nat}. \llbracket \|\text{nid}\|_t \ n; b \in \text{pin } (\sigma_{\text{nid}}(t \ n)) \rrbracket \Longrightarrow \exists \text{nid}'. \|\text{nid}'\|_t \ n \wedge bc \ (\sigma_{\text{nid}'}(t \ n))$   
= *b*  
**and** *mine*:  $\bigwedge t \ \text{nid } n :: \text{nat}. \llbracket \text{trusted } \text{nid}; \|\text{nid}\|_t \ (\text{Suc } n); \text{mining } (\sigma_{\text{nid}}(t \ (\text{Suc } n))) \rrbracket \Longrightarrow \|\text{nid}\|_t \ n$   
**begin**

**lemma** *init-model*:

**assumes**  $\neg (\exists n'. \text{latestAct-cond } \text{nid } t \ n \ n')$   
**and**  $\|\text{nid}\|_t \ n$   
**shows**  $bc \ (\sigma_{\text{nid}} t \ n) = []$   
 $\langle \text{proof} \rangle$

**lemma** *fwd-bc*:

**fixes** *nid* **and** *t* :: *nat*  $\Rightarrow$  *cnf* **and** *t'* :: *nat*  $\Rightarrow$  'ND  
**assumes**  $\|\text{nid}\|_t \ n$   
**shows**  $\text{pout } (\sigma_{\text{nid}} t \ n) = bc \ (\sigma_{\text{nid}} t \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-input*:

**fixes** *t n nid*  
**assumes**  $\|\text{nid}\|_t \ n$   
**defines** *dep nid'*  $\equiv \text{pout } (\sigma_{\text{nid}'}(t \ n))$   
**shows** *finite* ( $\text{pin } (\text{cmp } \text{nid } (t \ n))$ )

*<proof>*

**lemma** *nempty-input*:

**fixes**  $t\ n\ nid$

**assumes**  $\|nid\|_t\ n$

**and** *trusted*  $nid$

**shows**  $pin\ (cmp\ nid\ (t\ n)) \neq \{\}$  *<proof>*

**lemma** *onlyone*:

**assumes**  $\exists n' \geq n. \|tid\|_t\ n'$

**and**  $\exists n' < n. \|tid\|_t\ n'$

**shows**  $\exists! i. \langle tid \leftarrow t \rangle_n \leq i \wedge i < \langle tid \rightarrow t \rangle_n \wedge \|tid\|_t\ i$

*<proof>*

### 6.2.1 Component Behavior

**lemma** *bhv-tr-ex*:

**fixes**  $t$  **and**  $t'::nat \Rightarrow 'ND$  **and**  $tid$

**assumes** *trusted*  $tid$

**and**  $\exists n' \geq n. \|tid\|_t\ n'$

**and**  $\exists n' < n. \|tid\|_t\ n'$

**and**  $\exists b \in pin\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n). length\ b > length\ (bc\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n))$

**shows**  $\neg mining\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) \wedge bc\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) =$

$Blockchain.MAX\ (pin\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n)) \vee mining\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) \wedge$

$(\exists b. bc\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) = Blockchain.MAX\ (pin\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n)) @ [b])$

*<proof>*

**lemma** *bhv-tr-in*:

**fixes**  $t$  **and**  $t'::nat \Rightarrow 'ND$  **and**  $tid$

**assumes** *trusted*  $tid$

**and**  $\exists n' \geq n. \|tid\|_t\ n'$

**and**  $\exists n' < n. \|tid\|_t\ n'$

**and**  $\neg (\exists b \in pin\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n). length\ b > length\ (bc\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n)))$

**shows**  $\neg mining\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) \wedge bc\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) = bc\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n) \vee$

$mining\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) \wedge (\exists b. bc\ (\sigma_{tid} t \langle tid \rightarrow t \rangle_n) = bc\ (\sigma_{tid} t \langle tid \leftarrow t \rangle_n) @ [b])$

*<proof>*

**lemma** *bhv-tr-context*:

**assumes** *trusted*  $tid$

**and**  $\|tid\|_t\ n$

**and**  $\exists n' < n. \|tid\|_t\ n'$

**shows**  $\exists nid'. \|nid'\|_t \langle tid \leftarrow t \rangle_n \wedge (mining\ (\sigma_{tid} t\ n) \wedge (\exists b. bc\ (\sigma_{tid} t\ n) = bc\ (\sigma_{nid'} t \langle tid \leftarrow t \rangle_n))$

@ [b])  $\vee$

$\neg mining\ (\sigma_{tid} t\ n) \wedge bc\ (\sigma_{tid} t\ n) = bc\ (\sigma_{nid'} t \langle tid \leftarrow t \rangle_n)$

*<proof>*

**lemma** *bhv-ut*:

**fixes**  $t$  **and**  $t'::nat \Rightarrow 'ND$  **and**  $wid$

**assumes**  $\neg trusted\ wid$

**and**  $\exists n' \geq n. \|wid\|_t\ n'$

**and**  $\exists n' < n. \|wid\|_t\ n'$

**shows**  $\neg mining\ (\sigma_{wid} t \langle wid \rightarrow t \rangle_n) \wedge prefix\ (bc\ (\sigma_{wid} t \langle wid \rightarrow t \rangle_n))\ (SOME\ b. b \in pin\ (\sigma_{wid} t \langle wid \leftarrow t \rangle_n) \cup \{bc\ (\sigma_{wid} t \langle wid \leftarrow t \rangle_n)\})$

$\vee mining\ (\sigma_{wid} t \langle wid \rightarrow t \rangle_n) \wedge (\exists b. bc\ (\sigma_{wid} t \langle wid \rightarrow t \rangle_n) = (SOME\ b. b \in pin\ (\sigma_{wid} t \langle wid \leftarrow t \rangle_n) \cup \{bc\ (\sigma_{wid} t \langle wid \leftarrow t \rangle_n)\}) @ [b])$

*<proof>*

**lemma** *bhv-ut-context*:

**assumes**  $\neg \text{trusted } uid$

**and**  $\|uid\|_t n$

**and**  $\exists n' < n. \|uid\|_t n'$

**shows**  $\exists nid'. \|nid'\|_t \langle uid \leftarrow t \rangle_n \wedge (\text{mining } (\sigma_{uid} t n) \wedge (\exists b. \text{prefix } (bc (\sigma_{uid} t n)) (bc (\sigma_{nid'} t \langle uid \leftarrow t \rangle_n)) @ [b]))$

$\vee \neg \text{mining } (\sigma_{uid} t n) \wedge \text{prefix } (bc (\sigma_{uid} t n)) (bc (\sigma_{nid'} t \langle uid \leftarrow t \rangle_n))$

*<proof>*

## 6.2.2 Maximal Trusted Blockchains

**abbreviation** *mbc-cond*::  $\text{trace} \Rightarrow \text{nat} \Rightarrow 'nid \Rightarrow \text{bool}$

**where**  $\text{mbc-cond } t n nid \equiv nid \in \text{actTr } (t n) \wedge (\forall nid' \in \text{actTr } (t n). \text{length } (bc (\sigma_{nid'} (t n))) \leq \text{length } (bc (\sigma_{nid} (t n))))$

**lemma** *mbc-ex*:

**fixes**  $t n$

**shows**  $\exists x. \text{mbc-cond } t n x$

*<proof>*

**definition** *MBC*::  $\text{trace} \Rightarrow \text{nat} \Rightarrow 'nid$

**where**  $\text{MBC } t n = (\text{SOME } b. \text{mbc-cond } t n b)$

**lemma** *mbc-prop[simp]*:

**shows**  $\text{mbc-cond } t n (\text{MBC } t n)$

*<proof>*

## 6.2.3 Trusted Proof of Work

An important construction is the maximal proof of work available in the trusted community. The construction was already introduced in the locale itself since it was used to express some of the locale assumptions.

**abbreviation** *pow-cond*::  $\text{trace} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$

**where**  $\text{pow-cond } t n n' \equiv \forall nid \in \text{actTr } (t n). \text{length } (bc (\sigma_{nid} (t n))) \leq n'$

**lemma** *pow-ex*:

**fixes**  $t n$

**shows**  $\text{pow-cond } t n (\text{length } (bc (\sigma_{\text{MBC } t n} (t n))))$

**and**  $\forall x'. \text{pow-cond } t n x' \longrightarrow x' \geq \text{length } (bc (\sigma_{\text{MBC } t n} (t n)))$

*<proof>*

**lemma** *pow-prop*:

$\text{pow-cond } t n (\text{PoW } t n)$

*<proof>*

**lemma** *pow-eq*:

**fixes**  $n$

**assumes**  $\exists tid \in \text{actTr } (t n). \text{length } (bc (\sigma_{tid} (t n))) = n$

**and**  $\forall tid \in \text{actTr } (t n). \text{length } (bc (\sigma_{tid} (t n))) \leq n$

**shows**  $\text{PoW } t n = n$

*<proof>*

**lemma** *pow-abc*:

**shows**  $\text{length } (bc (\sigma_{\text{MBC } t n} (t n))) = \text{PoW } t n$

$\langle proof \rangle$

**lemma** *pow-less*:

**fixes**  $t\ n\ nid$

**assumes** *pow-cond*  $t\ n\ x$

**shows**  $PoW\ t\ n \leq x$

$\langle proof \rangle$

**lemma** *pow-le-max*:

**assumes** *trusted tid*

**and**  $\|tid\|_{t\ n}$

**shows**  $PoW\ t\ n \leq length\ (MAX\ (pin\ (\sigma_{tid}^t\ n)))$

$\langle proof \rangle$

**lemma** *pow-ge-lgth*:

**assumes** *trusted tid*

**and**  $\|tid\|_{t\ n}$

**shows**  $length\ (bc\ (\sigma_{tid}^t\ n)) \leq PoW\ t\ n$

$\langle proof \rangle$

**lemma** *pow-le-lgth*:

**assumes** *trusted tid*

**and**  $\|tid\|_{t\ n}$

**and**  $\neg(\exists b \in pin\ (\sigma_{tid}^t\ n). length\ b > length\ (bc\ (\sigma_{tid}^t\ n)))$

**shows**  $length\ (bc\ (\sigma_{tid}^t\ n)) \geq PoW\ t\ n$

$\langle proof \rangle$

**lemma** *pow-mono*:

**shows**  $n' \geq n \implies PoW\ t\ n' \geq PoW\ t\ n$

$\langle proof \rangle$

**lemma** *pow-equals*:

**assumes**  $PoW\ t\ n = PoW\ t\ n'$

**and**  $n' \geq n$

**and**  $n'' \geq n$

**and**  $n'' \leq n'$

**shows**  $PoW\ t\ n = PoW\ t\ n''$   $\langle proof \rangle$

**lemma** *pow-mining-suc*:

**assumes** *tmining*  $t\ (Suc\ n)$

**shows**  $PoW\ t\ n < PoW\ t\ (Suc\ n)$

$\langle proof \rangle$

## 6.2.4 History

In the following we introduce an operator which extracts the development of a blockchain up to a time point  $n$ .

**abbreviation** *his-prop*  $t\ n\ nid\ n'\ nid'\ x \equiv$

$(\exists n. latestAct\ cond\ nid'\ t\ n'\ n) \wedge \|snd\ x\|_t\ (fst\ x) \wedge fst\ x = \langle nid' \leftarrow t \rangle_{n'} \wedge$

$(prefix\ (bc\ (\sigma_{nid'}^t\ (t\ n'))) (bc\ (\sigma_{snd\ x}^t\ (t\ (fst\ x)))) \vee$

$(\exists b. bc\ (\sigma_{nid'}^t\ (t\ n')) = (bc\ (\sigma_{snd\ x}^t\ (t\ (fst\ x)))) @ [b] \wedge mining\ (\sigma_{nid'}^t\ (t\ n'))))$

**inductive-set**

$his:: trace \Rightarrow nat \Rightarrow 'nid \Rightarrow (nat \times 'nid)\ set$

**for**  $t::trace$  **and**  $n::nat$  **and**  $nid::'nid$

**where**  $\llbracket \text{nid} \rrbracket_t n \implies (n, \text{nid}) \in \text{his } t \ n \ \text{nid}$   
 $\mid \llbracket (n', \text{nid}') \in \text{his } t \ n \ \text{nid}; \exists x. \text{his-prop } t \ n \ \text{nid } n' \ \text{nid}' \ x \rrbracket \implies (\text{SOME } x. \text{his-prop } t \ n \ \text{nid } n' \ \text{nid}' \ x) \in \text{his } t \ n \ \text{nid}$

**lemma** *his-act*:

**assumes**  $(n', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**shows**  $\llbracket \text{nid}' \rrbracket_t n'$   
 $\langle \text{proof} \rangle$

In addition we also introduce an operator to obtain the predecessor of a blockchains development.

**definition** *hisPred*

**where**  $\text{hisPred } t \ n \ \text{nid } n' \equiv (\text{GREATEST } n''. \exists \text{nid}'. (n'', \text{nid}') \in \text{his } t \ n \ \text{nid} \wedge n'' < n')$

**lemma** *hisPrev-prop*:

**assumes**  $\exists n'' < n'. \exists \text{nid}'. (n'', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**shows**  $\text{hisPred } t \ n \ \text{nid } n' < n'$  **and**  $\exists \text{nid}'. (\text{hisPred } t \ n \ \text{nid } n', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
 $\langle \text{proof} \rangle$

**lemma** *hisPrev-nex-less*:

**assumes**  $\exists n'' < n'. \exists \text{nid}'. (n'', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**shows**  $\neg(\exists x \in \text{his } t \ n \ \text{nid}. \text{fst } x < n' \wedge \text{fst } x > \text{hisPred } t \ n \ \text{nid } n')$   
 $\langle \text{proof} \rangle$

**lemma** *his-le*:

**assumes**  $x \in \text{his } t \ n \ \text{nid}$   
**shows**  $\text{fst } x \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *his-determ-base*:

**shows**  $(n, \text{nid}') \in \text{his } t \ n \ \text{nid} \implies \text{nid}' = \text{nid}$   
 $\langle \text{proof} \rangle$

**lemma** *hisPrev-same*:

**assumes**  $\exists n' < n''. \exists \text{nid}'. (n', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**and**  $\exists n'' < n'. \exists \text{nid}'. (n'', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**and**  $(n', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**and**  $(n'', \text{nid}'') \in \text{his } t \ n \ \text{nid}$   
**and**  $\text{hisPred } t \ n \ \text{nid } n' = \text{hisPred } t \ n \ \text{nid } n''$   
**shows**  $n' = n''$   
 $\langle \text{proof} \rangle$

**lemma** *his-determ-ext*:

**shows**  $n' \leq n \implies (\exists \text{nid}'. (n', \text{nid}') \in \text{his } t \ n \ \text{nid}) \implies (\exists ! \text{nid}'. (n', \text{nid}') \in \text{his } t \ n \ \text{nid}) \wedge$   
 $((\exists n'' < n'. \exists \text{nid}'. (n'', \text{nid}') \in \text{his } t \ n \ \text{nid}) \longrightarrow (\exists x. \text{his-prop } t \ n \ \text{nid } n' (\text{THE } \text{nid}'. (n', \text{nid}') \in \text{his } t \ n \ \text{nid}) \ x) \wedge$   
 $(\text{hisPred } t \ n \ \text{nid } n', (\text{SOME } \text{nid}'. (\text{hisPred } t \ n \ \text{nid } n', \text{nid}') \in \text{his } t \ n \ \text{nid})) = (\text{SOME } x. \text{his-prop } t \ n \ \text{nid } n' (\text{THE } \text{nid}'. (n', \text{nid}') \in \text{his } t \ n \ \text{nid}) \ x))$   
 $\langle \text{proof} \rangle$

**corollary** *his-determ-ex*:

**assumes**  $(n', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
**shows**  $\exists ! \text{nid}'. (n', \text{nid}') \in \text{his } t \ n \ \text{nid}$   
 $\langle \text{proof} \rangle$



**corollary** *his-determ*:

**assumes**  $(n',nid') \in \text{his } t \ n \ nid$   
**and**  $(n',nid'') \in \text{his } t \ n \ nid$   
**shows**  $nid' = nid''$   $\langle \text{proof} \rangle$

**corollary** *his-determ-the*:

**assumes**  $(n',nid') \in \text{his } t \ n \ nid$   
**shows**  $(\text{THE } nid'. (n', nid') \in \text{his } t \ n \ nid) = nid'$   
 $\langle \text{proof} \rangle$

## 6.2.5 Blockchain Development

**definition** *devBC*:: $\text{trace} \Rightarrow \text{nat} \Rightarrow 'nid \Rightarrow \text{nat} \Rightarrow 'nid \ \text{option}$

**where**  $\text{devBC } t \ n \ nid \ n' \equiv$   
 $(\text{if } (\exists nid'. (n', nid') \in \text{his } t \ n \ nid) \text{ then } (\text{Some } (\text{THE } nid'. (n', nid') \in \text{his } t \ n \ nid)))$   
 $\text{else } \text{Option.None})$

**lemma** *devBC-some[simp]*: **assumes**  $\|nid\|_t \ n$  **shows**  $\text{devBC } t \ n \ nid \ n = \text{Some } nid$   
 $\langle \text{proof} \rangle$

**lemma** *devBC-act*: **assumes**  $\neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n')$  **shows**  $\|the \ (\text{devBC } t \ n \ nid \ n')\|_t \ n'$   
 $\langle \text{proof} \rangle$

**lemma** *his-ex*:

**assumes**  $\neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n')$   
**shows**  $\exists nid'. (n',nid') \in \text{his } t \ n \ nid$   
 $\langle \text{proof} \rangle$

**lemma** *devExt-nopt-leq*:

**assumes**  $\neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n')$   
**shows**  $n' \leq n$   
 $\langle \text{proof} \rangle$

An extended version of the development in which deactivations are filled with the last value.

**function** *devExt*:: $\text{trace} \Rightarrow \text{nat} \Rightarrow 'nid \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'nid \ BC$

**where**  $\llbracket \exists n' < n_s. \neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n'); \text{Option.is-none } (\text{devBC } t \ n \ nid \ n_s) \rrbracket \Longrightarrow \text{devExt}$   
 $t \ n \ nid \ n_s \ 0 = \text{bc } (\sigma_{\text{the}} (\text{devBC } t \ n \ nid \ (\text{GREATEST } n'. n' < n_s \wedge \neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n'))))^{(t)}$   
 $(\text{GREATEST } n'. n' < n_s \wedge \neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n'))$

$\mid \llbracket \neg (\exists n' < n_s. \neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n')); \text{Option.is-none } (\text{devBC } t \ n \ nid \ n_s) \rrbracket \Longrightarrow \text{devExt}$   
 $t \ n \ nid \ n_s \ 0 = \square$

$\mid \neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ n_s) \Longrightarrow \text{devExt } t \ n \ nid \ n_s \ 0 = \text{bc } (\sigma_{\text{the}} (\text{devBC } t \ n \ nid \ n_s)^{(t \ n_s}))$

$\mid \neg \text{Option.is-none } (\text{devBC } t \ n \ nid \ (n_s + \text{Suc } n')) \Longrightarrow \text{devExt } t \ n \ nid \ n_s \ (\text{Suc } n') = \text{bc } (\sigma_{\text{the}} (\text{devBC } t \ n \ nid \ (n_s + \text{Suc } n'))$

$(n_s + \text{Suc } n'))$

$\mid \text{Option.is-none } (\text{devBC } t \ n \ nid \ (n_s + \text{Suc } n')) \Longrightarrow \text{devExt } t \ n \ nid \ n_s \ (\text{Suc } n') = \text{devExt } t \ n \ nid \ n_s$   
 $n'$

$\langle \text{proof} \rangle$

**termination**  $\langle \text{proof} \rangle$

**lemma** *devExt-same*:

**assumes**  $\forall n''' > n'. n''' \leq n'' \longrightarrow \text{Option.is-none } (\text{devBC } t \ n \ nid \ n''')$

**and**  $n' \geq n_s$

**and**  $n''' \leq n''$

**shows**  $n''' \geq n' \Longrightarrow \text{devExt } t \ n \ nid \ n_s \ (n''' - n_s) = \text{devExt } t \ n \ nid \ n_s \ (n' - n_s)$

$\langle \text{proof} \rangle$

**lemma** *devExt-bc[simp]*:

**assumes**  $\neg \text{Option.is-none } (\text{devBC } t \ n \ \text{nid } (n'+n''))$

**shows**  $\text{devExt } t \ n \ \text{nid } n' \ n'' = \text{bc } (\sigma_{\text{the}} (\text{devBC } t \ n \ \text{nid } (n'+n'')))(t \ (n'+n''))$

*<proof>*

**lemma** *devExt-greatest*:

**assumes**  $\exists n''' < n'+n'' . \neg \text{Option.is-none } (\text{devBC } t \ n \ \text{nid } n''')$

**and**  $\text{Option.is-none } (\text{devBC } t \ n \ \text{nid } (n'+n''))$  **and**  $\neg n''=0$

**shows**  $\text{devExt } t \ n \ \text{nid } n' \ n'' = \text{bc } (\sigma_{\text{the}} (\text{devBC } t \ n \ \text{nid } (\text{GREATEST } n''' . n''' < (n'+n'') \wedge \neg \text{Option.is-none } (\text{devBC } t \ n \ \text{nid } n'''))))$

*<proof>*

**lemma** *devExt-shift*:  $\text{devExt } t \ n \ \text{nid } (n'+n'') \ 0 = \text{devExt } t \ n \ \text{nid } n' \ n''$

*<proof>*

**lemma** *devExt-bc-geq*:

**assumes**  $\neg \text{Option.is-none } (\text{devBC } t \ n \ \text{nid } n')$  **and**  $n' \geq n_s$

**shows**  $\text{devExt } t \ n \ \text{nid } n_s \ (n'-n_s) = \text{bc } (\sigma_{\text{the}} (\text{devBC } t \ n \ \text{nid } n'))(t \ n')$  (**is** ?LHS = ?RHS)

*<proof>*

**lemma** *his-bc-empty*:

**assumes**  $(n', \text{nid}') \in \text{his } t \ n \ \text{nid}$  **and**  $\neg (\exists n'' < n' . \exists \text{nid}'' . (n'', \text{nid}'') \in \text{his } t \ n \ \text{nid})$

**shows**  $\text{bc } (\sigma_{\text{nid}'}(t \ n')) = []$

*<proof>*

**lemma** *devExt-devop*:

$\text{prefix } (\text{devExt } t \ n \ \text{nid } n_s \ (\text{Suc } n')) (\text{devExt } t \ n \ \text{nid } n_s \ n') \vee (\exists b . \text{devExt } t \ n \ \text{nid } n_s \ (\text{Suc } n') = \text{devExt } t \ n \ \text{nid } n_s \ n' @ [b]) \wedge \neg \text{Option.is-none } (\text{devBC } t \ n \ \text{nid } (n_s + \text{Suc } n')) \wedge \|\text{the } (\text{devBC } t \ n \ \text{nid } (n_s + \text{Suc } n'))\|_t (n_s + \text{Suc } n') \wedge n_s + \text{Suc } n' \leq n \wedge \text{mining } (\sigma_{\text{the}} (\text{devBC } t \ n \ \text{nid } (n_s + \text{Suc } n')))(t \ (n_s + \text{Suc } n'))$

*<proof>*

**abbreviation** *devLgthBC* **where**  $\text{devLgthBC } t \ n \ \text{nid } n_s \equiv (\lambda n' . \text{length } (\text{devExt } t \ n \ \text{nid } n_s \ n'))$

**theorem** *blockchain-save*:

**fixes**  $t :: \text{nat} \Rightarrow \text{cnf}$  **and**  $n_s$  **and**  $\text{sbc}$  **and**  $n$

**assumes**  $\forall \text{nid} . \text{trusted } \text{nid} \longrightarrow \text{prefix } \text{sbc} (\text{bc } (\sigma_{\text{nid}}(t \ (\langle \text{nid} \rightarrow t \rangle_{n_s}))))$

**and**  $\forall \text{nid} \in \text{actUt } (t \ n_s) . \text{length } (\text{bc } (\sigma_{\text{nid}}(t \ n_s))) < \text{length } \text{sbc}$

**and**  $\text{PoW } t \ n_s \geq \text{length } \text{sbc} + \text{cb}$

**and**  $\forall n' < n_s . \forall \text{nid} . \|\text{nid}\|_{t \ n'} \longrightarrow \text{length } (\text{bc } (\sigma_{\text{nid}} t \ n')) < \text{length } \text{sbc} \vee \text{prefix } \text{sbc} (\text{bc } (\sigma_{\text{nid}}(t \ n')))$

**and**  $n \geq n_s$

**shows**  $\forall \text{nid} \in \text{actTr } (t \ n) . \text{prefix } \text{sbc} (\text{bc } (\sigma_{\text{nid}}(t \ n)))$

*<proof>*

**end**

**end**

## References

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