

# Abstract Hoare Logics

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March 17, 2025

## Abstract

These theories describe Hoare logics for a number of imperative language constructs, from while-loops to mutually recursive procedures. Both partial and total correctness are treated. In particular a proof system for total correctness of recursive procedures in the presence of unbounded nondeterminism is presented.

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## 1 Introduction

These are the theories underlying the publications [2, 1]. They should be consulted for explanatory text. The local variable declaration construct in [2] has been generalized; see Section 2.1.

## 2 Hoare Logics for While

```
theory Lang imports Main begin
```

### 2.1 The language

We start by declaring a type of states:

```
typedcl state
```

Our approach is completely parametric in the state space. We define expressions (*bexp*) as functions from states to the booleans:

```
type-synonym bexp = state ⇒ bool
```

Instead of modelling the syntax of boolean expressions, we model their semantics. The (abstract and concrete) syntax of our programming is defined as a recursive datatype:

```
datatype com = Do (state ⇒ state set)
| Semi com com          (⊣; → [60, 60] 10)
| Cond bexp com com    (⊣IF - THEN - ELSE → 60)
| While bexp com        (⊣WHILE - DO → 60)
| Local (state ⇒ state) com (state ⇒ state ⇒ state)
  (⊣LOCAL -; -; → [0,0,60] 60)
```

Statements in this language are called *commands*. They are modelled as terms of type *com*. *Do f* represents an atomic nondeterministic command that changes the state from *s* to some element of *f s*. Thus the command that does nothing, often called **skip**, can be represented by *Do (λs. {s})*. Again we have chosen to model the semantics rather than the syntax, which simplifies matters enormously. Of course it means that we can no longer talk about certain syntactic matters, but that is just fine.

The constructors *Semi*, *Cond* and *While* represent sequential composition, conditional and while-loop. The annotations allow us to write

```
c1; c2      IF b THEN c1 ELSE c2      WHILE b DO c
```

instead of *Semi c1 c2*, *Cond b c1 c2* and *While b c*.

The command *LOCAL f; c; g* applies function *f* to the state, executes *c*, and then combines initial and final state via function *g*. More below. The semantics of commands is defined inductively by a so-called big-step semantics.

**inductive**

```
exec :: state ⇒ com ⇒ state ⇒ bool (⊣-/ --→/ → [50,0,50] 50)
```

**where**

```
t ∈ fs ⇒ s -Do f→ t
```

```
| [s0 -c1→ s1; s1 -c2→ s2] ⇒ s0 -c1;c2→ s2
```

```

| [] b s; s -c1→ t ] ==> s -IF b THEN c1 ELSE c2→ t
| [] ¬b s; s -c2→ t ] ==> s -IF b THEN c1 ELSE c2→ t

| ¬b s ==> s -WHILE b DO c→ s
| [] b s; s -c→ t; t -WHILE b DO c→ u ] ==> s -WHILE b DO c→ u

| f s -c→ t ==> s -LOCAL f; c; g→ g s t

```

Assuming that the state is a function from variables to values, the declaration of a new local variable  $x$  with initial value  $a$  can be modelled as  $LOCAL (\lambda s. s(x := a s)); c; (\lambda s t. t(x := s x))$ .

**lemma** *exec-Do-iff*[iff]:  $(s -Do f \rightarrow t) = (t \in f s)$   
*{proof}*

**lemma** [iff]:  $(s -c; d \rightarrow u) = (\exists t. s -c \rightarrow t \wedge t -d \rightarrow u)$   
*{proof}*

**lemma** [iff]:  $(s -IF b THEN c ELSE d \rightarrow t) =$   
 $(s -if b s then c else d \rightarrow t)$   
*{proof}*

**lemma** [iff]:  $(s -LOCAL f; c; g \rightarrow u) = (\exists t. f s -c \rightarrow t \wedge u = g s t)$   
*{proof}*

**lemma** *unfold-while*:  
 $(s -WHILE b DO c \rightarrow u) =$   
 $(s -IF b THEN c; WHILE b DO c ELSE Do(\lambda s. \{s\}) \rightarrow u)$   
*{proof}*

**lemma** *while-lemma[rule-format]*:  
 $s -w \rightarrow t \implies \forall b c. w = WHILE b DO c \wedge P s \wedge$   
 $(\forall s s'. P s \wedge b s \wedge s -c \rightarrow s' \longrightarrow P s') \longrightarrow P t \wedge \neg b t$   
*{proof}*

**lemma** *while-rule*:  
 $\llbracket s -WHILE b DO c \rightarrow t; P s; \forall s s'. P s \wedge b s \wedge s -c \rightarrow s' \longrightarrow P s' \rrbracket$   
 $\implies P t \wedge \neg b t$   
*{proof}*

**end**

**theory** Hoare **imports** Lang **begin**

## 2.2 Hoare logic for partial correctness

We continue our semantic approach by modelling assertions just like boolean expressions, i.e. as functions:

**type-synonym**  $assn = state \Rightarrow bool$

Hoare triples are triples of the form  $\{P\} c \{Q\}$ , where the assertions  $P$  and  $Q$  are the so-called pre and postconditions. Such a triple is *valid* (denoted by  $\models$ ) iff every (terminating) execution starting in a state satisfying  $P$  ends up in a state satisfying  $Q$ :

**definition**

$hoare-valid :: assn \Rightarrow com \Rightarrow assn \Rightarrow bool (\models \{(1-)\}/ (-)/ \{(1-)\}) \text{ where}$   
 $\models \{P\} c \{Q\} \leftrightarrow (\forall s t. s -c \rightarrow t \longrightarrow P s \longrightarrow Q t)$

This notion of validity is called *partial correctness* because it does not require termination of  $c$ .

Provability in Hoare logic is indicated by  $\vdash$  and defined inductively:

**inductive**

$hoare :: assn \Rightarrow com \Rightarrow assn \Rightarrow bool (\vdash \{(1-)\}/ (-)/ \{(1-)\}) \text{ where}$   
**where**

$\vdash \{\lambda s. \forall t \in f s. P t\} Do f \{P\}$

$| \llbracket \vdash \{P\} c1 \{Q\}; \vdash \{Q\} c2 \{R\} \rrbracket \implies \vdash \{P\} c1; c2 \{R\}$

$| \llbracket \vdash \{\lambda s. P s \wedge b s\} c1 \{Q\}; \vdash \{\lambda s. P s \wedge \neg b s\} c2 \{Q\} \rrbracket \implies \vdash \{P\} IF b THEN c1 ELSE c2 \{Q\}$

$| \vdash \{\lambda s. P s \wedge b s\} c \{P\} \implies \vdash \{P\} WHILE b DO c \{\lambda s. P s \wedge \neg b s\}$

$| \llbracket \forall s. P' s \longrightarrow P s; \vdash \{P\} c \{Q\}; \forall s. Q s \longrightarrow Q' s \rrbracket \implies \vdash \{P'\} c \{Q'\}$

$| \llbracket \bigwedge s. P s \implies P' s (f s); \forall s. \vdash \{P' s\} c \{Q \circ (g s)\} \rrbracket \implies \vdash \{P\} LOCAL f; c; g \{Q\}$

Soundness is proved by induction on the derivation of  $\vdash \{P\} c \{Q\}$ :

**theorem**  $hoare-sound: \vdash \{P\} c \{Q\} \implies \models \{P\} c \{Q\}$   
 $\langle proof \rangle$

Completeness is not quite as straightforward, but still easy. The proof is best explained in terms of the *weakest precondition*:

**definition**

$wp :: com \Rightarrow assn \Rightarrow assn \text{ where}$   
 $wp c Q = (\lambda s. \forall t. s -c \rightarrow t \longrightarrow Q t)$

Dijkstra calls this the weakest *liberal* precondition to emphasize that it corresponds to partial correctness. We use “weakest precondition” all the time and let the context determine if we talk about partial or total correctness — the latter is introduced further below.

The following lemmas about  $wp$  are easily derived:

**lemma** [simp]:  $wp (Do f) Q = (\lambda s. \forall t \in f s. Q(t))$   
 $\langle proof \rangle$

**lemma** [*simp*]:  $\text{wp} (c_1; c_2) R = \text{wp} c_1 (\text{wp} c_2 R)$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:  
 $\text{wp} (\text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2) Q = (\lambda s. \text{wp} (\text{if } b s \text{ then } c_1 \text{ else } c_2) Q s)$   
 $\langle \text{proof} \rangle$

**lemma** *wp-while*:  
 $\text{wp} (\text{WHILE } b \text{ DO } c) Q =$   
 $(\lambda s. \text{if } b s \text{ then } \text{wp} (c; \text{WHILE } b \text{ DO } c) Q s \text{ else } Q s)$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:  
 $\text{wp} (\text{LOCAL } f; c; g) Q = (\lambda s. \text{wp} c (Q o (g s)) (f s))$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen-pre*:  $\llbracket \forall s. P' s \longrightarrow P s; \vdash \{P\} c \{Q\} \rrbracket \implies \vdash \{P'\} c \{Q\}$   
 $\langle \text{proof} \rangle$

**lemma** *weaken-post*:  $\llbracket \vdash \{P\} c \{Q\}; \forall s. Q s \longrightarrow Q' s \rrbracket \implies \vdash \{P\} c \{Q'\}$   
 $\langle \text{proof} \rangle$

By induction on  $c$  one can easily prove

**lemma** *wp-is-pre[rule-format]*:  $\vdash \{\text{wp } c \ Q\} c \{Q\}$   
 $\langle \text{proof} \rangle$

from which completeness follows more or less directly via the rule of consequence:

**theorem** *hoare-relative-complete*:  $\models \{P\} c \{Q\} \implies \vdash \{P\} c \{Q\}$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Termi* **imports** *Lang* **begin**

## 2.3 Termination

Although partial correctness appeals because of its simplicity, in many cases one would like the additional assurance that the command is guaranteed to terminate if started in a state that satisfies the precondition. Even to express this we need to define when a command is guaranteed to terminate. We can do this without modifying our existing semantics by merely adding a second inductively defined judgement  $c \downarrow s$  that expresses guaranteed termination of  $c$  started in state  $s$ :

**inductive**  
 $\text{termi} :: \text{com} \Rightarrow \text{state} \Rightarrow \text{bool}$  (**infixl**  $\Downarrow$  50)  
**where**

```

 $f s \neq \{\} \implies Do f \downarrow s$ 
|  $\llbracket c_1 \downarrow s_0; \forall s_1. s_0 -c_1 \rightarrow s_1 \longrightarrow c_2 \downarrow s_1 \rrbracket \implies (c_1; c_2) \downarrow s_0$ 
|  $\llbracket b s; c_1 \downarrow s \rrbracket \implies IF b THEN c_1 ELSE c_2 \downarrow s$ 
|  $\llbracket \neg b s; c_2 \downarrow s \rrbracket \implies IF \neg b THEN c_1 ELSE c_2 \downarrow s$ 
|  $\neg b s \implies WHILE b DO c \downarrow s$ 
|  $\llbracket b s; c \downarrow s; \forall t. s -c \rightarrow t \longrightarrow WHILE b DO c \downarrow t \rrbracket \implies WHILE b DO c \downarrow s$ 
|  $c \downarrow f s \implies LOCAL f; c; g \downarrow s$ 

```

**lemma [iff]:**  $Do f \downarrow s = (f s \neq \{\})$   
*(proof)*

**lemma [iff]:**  $((c_1; c_2) \downarrow s_0) = (c_1 \downarrow s_0 \wedge (\forall s_1. s_0 -c_1 \rightarrow s_1 \longrightarrow c_2 \downarrow s_1))$   
*(proof)*

**lemma [iff]:**  $(IF b THEN c_1 ELSE c_2 \downarrow s) = ((if b s then c_1 else c_2) \downarrow s)$   
*(proof)*

**lemma [iff]:**  $(LOCAL f; c; g \downarrow s) = (c \downarrow f s)$   
*(proof)*

**lemma termi-while-lemma[rule-format]:**  
 $w \downarrow f k \implies$   
 $(\forall k b c. fk = f k \wedge w = WHILE b DO c \wedge (\forall i. f i -c \rightarrow f(Suc i)) \longrightarrow (\exists i. \neg b(f i)))$   
*(proof)*

**lemma termi-while:**  
 $\llbracket ( WHILE b DO c ) \downarrow f k; \forall i. f i -c \rightarrow f(Suc i) \rrbracket \implies \exists i. \neg b(f i)$   
*(proof)*

**lemma wf-termi: wf {(t,s). WHILE b DO c \downarrow s \wedge b s \wedge s -c \rightarrow t}**  
*(proof)*

**end**

**theory HoareTotal imports Hoare Termi begin**

## 2.4 Hoare logic for total correctness

Now that we have termination, we can define total validity,  $\models_t$ , as partial validity and guaranteed termination:

**definition**

*hoare-tvalid* ::  $\text{assn} \Rightarrow \text{com} \Rightarrow \text{assn} \Rightarrow \text{bool} (\langle \models_t \{(1-)\}/ (-)/ \{(1-)\} \rangle 50)$  **where**  
 $\models_t \{P\} c\{Q\} \longleftrightarrow \models \{P\} c\{Q\} \wedge (\forall s. P s \rightarrow c \downarrow s)$

Proveability of Hoare triples in the proof system for total correctness is written  $\vdash_t \{P\} c\{Q\}$  and defined inductively. The rules for  $\vdash_t$  differ from those for  $\vdash$  only in the one place where nontermination can arise: the *While*-rule.

#### inductive

*thoare* ::  $\text{assn} \Rightarrow \text{com} \Rightarrow \text{assn} \Rightarrow \text{bool} (\langle \vdash_t \{(1-)\}/ (-)/ \{(1-)\} \rangle 50)$   
**where**  
| *Do*:  $\vdash_t \{\lambda s. (\forall t \in f s. P t) \wedge f s \neq \{\}\} \text{ Do } f \{P\}$   
| *Semi*:  $\llbracket \vdash_t \{P\} c\{Q\}; \vdash_t \{Q\} d\{R\} \rrbracket \implies \vdash_t \{P\} c; d \{R\}$   
| *If*:  $\llbracket \vdash_t \{\lambda s. P s \wedge b s\} c\{Q\}; \vdash_t \{\lambda s. P s \wedge \neg b s\} d\{Q\} \rrbracket \implies \vdash_t \{P\} \text{ IF } b \text{ THEN } c \text{ ELSE } d \{Q\}$   
| *While*:  
| |  $\llbracket \text{wf } r; \forall s'. \vdash_t \{\lambda s. P s \wedge b s \wedge s' = s\} c \{\lambda s. P s \wedge (s, s') \in r\} \rrbracket$   
| |  $\implies \vdash_t \{P\} \text{ WHILE } b \text{ DO } c \{\lambda s. P s \wedge \neg b s\}$   
| | *Conseq*:  $\llbracket \forall s. P' s \rightarrow P s; \vdash_t \{P\} c\{Q\}; \forall s. Q s \rightarrow Q' s \rrbracket \implies \vdash_t \{P'\} c\{Q'\}$   
| | *Local*:  $(\exists s. P s \rightarrow P' s (f s)) \implies \forall p. \vdash_t \{P'\} p \ c \{Q o (g p)\} \implies \vdash_t \{P\} \text{ LOCAL } f; c; g \{Q\}$

The *While*-rule is like the one for partial correctness but it requires additionally that with every execution of the loop body a wellfounded relation (*wf r*) on the state space decreases.

The soundness theorem

**theorem**  $\vdash_t \{P\} c\{Q\} \implies \models_t \{P\} c\{Q\}$   
*(proof)*

In the *While*-case we perform a local proof by wellfounded induction over the given relation  $r$ .

The completeness proof proceeds along the same lines as the one for partial correctness. First we have to strengthen our notion of weakest precondition to take termination into account:

#### definition

*wpt* ::  $\text{com} \Rightarrow \text{assn} \Rightarrow \text{assn} (\langle \text{wp}_t \rangle)$  **where**  
 $\text{wp}_t c Q = (\lambda s. \text{wp } c Q s \wedge c \downarrow s)$

**lemmas**  $\text{wp-defs} = \text{wp-def } \text{wp}_t \text{-def}$

**lemma** [simp]:  $\text{wp}_t (\text{Do } f) Q = (\lambda s. (\forall t \in f s. Q t) \wedge f s \neq \{\})$   
*(proof)*

**lemma** [simp]:  $\text{wp}_t (c_1; c_2) R = \text{wp}_t c_1 (\text{wp}_t c_2 R)$   
*(proof)*

**lemma** [*simp*]:  
 $\wp_t (\text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2) Q = (\lambda s. \wp_t (\text{if } b s \text{ then } c_1 \text{ else } c_2) Q s)$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:  $\wp_t (\text{LOCAL } f; c; g) Q = (\lambda s. \wp_t c (Q o (g s)) (f s))$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen-pre*:  $\llbracket \forall s. P' s \longrightarrow P s; \vdash_t \{P\} c \{Q\} \rrbracket \implies \vdash_t \{P'\} c \{Q\}$   
 $\langle \text{proof} \rangle$

**lemma** *weaken-post*:  $\llbracket \vdash_t \{P\} c \{Q\}; \forall s. Q s \longrightarrow Q' s \rrbracket \implies \vdash_t \{P\} c \{Q'\}$   
 $\langle \text{proof} \rangle$

**inductive-cases** [*elim!*]: *WHILE*  $b$  *DO*  $c \downarrow s$

**lemma** *wp-is-pre[rule-format]*:  $\vdash_t \{\wp_t c Q\} c \{Q\}$   
 $\langle \text{proof} \rangle$

The *While*-case is interesting because we now have to furnish a suitable wellfounded relation. Of course the execution of the loop body directly yields the required relation. The actual completeness theorem follows directly, in the same manner as for partial correctness.

**theorem**  $\models_t \{P\} c \{Q\} \implies \vdash_t \{P\} c \{Q\}$   
 $\langle \text{proof} \rangle$

end

### 3 Hoare Logics for 1 Procedure

**theory** *PLang* **imports** *Main* **begin**

#### 3.1 The language

**typeddecl** *state*

**type-synonym** *bexp* = *state*  $\Rightarrow$  *bool*

**datatype** *com* = *Do* (*state*  $\Rightarrow$  *state set*)  
| *Semi* *com* *com*       $(\langle \cdot; \cdot \rangle [60, 60] 10)$   
| *Cond* *bexp* *com* *com*       $(\langle \text{IF } - \text{ THEN } - \text{ ELSE } \cdot \rangle 60)$   
| *While* *bexp* *com*       $(\langle \text{WHILE } - \text{ DO } \cdot \rangle 60)$   
| *CALL*  
| *Local* (*state*  $\Rightarrow$  *state*) *com* (*state*  $\Rightarrow$  *state*  $\Rightarrow$  *state*)  
 $(\langle \text{LOCAL } \cdot; \cdot; \cdot \rangle [0, 0, 60] 60)$

There is only one parameterless procedure in the program. Hence *CALL* does not even need to mention the procedure name. There is no separate syntax for procedure declarations. Instead we declare a HOL constant that represents the body of the one procedure in the program.

**consts**  $body :: com$

As before, command execution is described by transitions  $s -c\rightarrow t$ . The only new rule is the one for  $CALL$  — it requires no comment:

**inductive**

$exec :: state \Rightarrow com \Rightarrow state \Rightarrow bool \ (\leftarrow / \dashrightarrow / \rightarrow [50,0,50] 50)$

**where**

$Do: \quad t \in f s \implies s -Do f\rightarrow t$

| *Semi*:  $\llbracket s0 -c1\rightarrow s1; s1 -c2\rightarrow s2 \rrbracket \implies s0 -c1;c2\rightarrow s2$

| *IfTrue*:  $\llbracket b s; s -c1\rightarrow t \rrbracket \implies s -IF b THEN c1 ELSE c2\rightarrow t$   
| *IfFalse*:  $\llbracket \neg b s; s -c2\rightarrow t \rrbracket \implies s -IF b THEN c1 ELSE c2\rightarrow t$

| *WhileFalse*:  $\neg b s \implies s - WHILE b DO c\rightarrow s$

| *WhileTrue*:  $\llbracket b s; s -c\rightarrow t; t - WHILE b DO c\rightarrow u \rrbracket \implies s - WHILE b DO c\rightarrow u$

|  $s -body\rightarrow t \implies s -CALL\rightarrow t$

| *Local*:  $f s -c\rightarrow t \implies s -LOCAL f; c; g\rightarrow g s t$

**lemma** [*iff*]:  $(s -Do f\rightarrow t) = (t \in f s)$   
*⟨proof⟩*

**lemma** [*iff*]:  $(s -c;d\rightarrow u) = (\exists t. s -c\rightarrow t \wedge t -d\rightarrow u)$   
*⟨proof⟩*

**lemma** [*iff*]:  $(s -IF b THEN c ELSE d\rightarrow t) =$   
 $(s -if b s then c else d\rightarrow t)$   
*⟨proof⟩*

**lemma** *unfold-while*:  
 $(s - WHILE b DO c\rightarrow u) =$   
 $(s -IF b THEN c; WHILE b DO c ELSE Do(\lambda s. \{s\})\rightarrow u)$   
*⟨proof⟩*

**lemma** [*iff*]:  $(s -CALL\rightarrow t) = (s -body\rightarrow t)$   
*⟨proof⟩*

**lemma** [*iff*]:  $(s -LOCAL f; c; g\rightarrow u) = (\exists t. f s -c\rightarrow t \wedge u = g s t)$   
*⟨proof⟩*

**lemma** [*simp*]:  $\neg b s \implies s - WHILE b DO c\rightarrow s$   
*⟨proof⟩*

**lemma** *WhileI*:  $\llbracket b s; s -c\rightarrow t; t - WHILE b DO c\rightarrow u \rrbracket \implies s - WHILE b DO$

$c \rightarrow u$   
 $\langle proof \rangle$

This semantics turns out not to be fine-grained enough. The soundness proof for the Hoare logic below proceeds by induction on the call depth during execution. To make this work we define a second semantics  $s -c-n \rightarrow t$  which expresses that the execution uses at most  $n$  nested procedure invocations, where  $n$  is a natural number. The rules are straightforward:  $n$  is just passed around, except for procedure calls, where it is decremented:

**inductive**

$execn :: state \Rightarrow com \Rightarrow nat \Rightarrow state \Rightarrow bool \quad (\langle \cdot / \dots / \cdot \rangle [50,0,0,50] 50)$

**where**

$t \in f s \implies s -Do f -n \rightarrow t$

$| \llbracket s0 -c1-n \rightarrow s1; s1 -c2-n \rightarrow s2 \rrbracket \implies s0 -c1;c2-n \rightarrow s2$

$| \llbracket b s; s -c1-n \rightarrow t \rrbracket \implies s -IF b THEN c1 ELSE c2-n \rightarrow t$   
 $| \llbracket \neg b s; s -c2-n \rightarrow t \rrbracket \implies s -IF b THEN c1 ELSE c2-n \rightarrow t$

$| \neg b s \implies s -WHILE b DO c-n \rightarrow s$

$| \llbracket b s; s -c-n \rightarrow t; t -WHILE b DO c-n \rightarrow u \rrbracket \implies s -WHILE b DO c-n \rightarrow u$

$| s -body-n \rightarrow t \implies s -CALL-Suc n \rightarrow t$

$| f s -c-n \rightarrow t \implies s -LOCAL f; c; g-n \rightarrow g s t$

**lemma** [iff]:  $(s -Do f -n \rightarrow t) = (t \in f s)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s -c1;c2-n \rightarrow u) = (\exists t. s -c1-n \rightarrow t \wedge t -c2-n \rightarrow u)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s -IF b THEN c ELSE d-n \rightarrow t) =$   
 $(s -if b s then c else d-n \rightarrow t)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s -CALL- 0 \rightarrow t) = False$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s -CALL-Suc n \rightarrow t) = (s -body-n \rightarrow t)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s -LOCAL f; c; g-n \rightarrow u) = (\exists t. f s -c-n \rightarrow t \wedge u = g s t)$   
 $\langle proof \rangle$

By induction on  $s -c-m \rightarrow t$  we show monotonicity w.r.t. the call depth:

**lemma** *exec-mono*[rule-format]:  $s - c - m \rightarrow t \implies \forall n. m \leq n \rightarrow s - c - n \rightarrow t$   
*(proof)*

With the help of this lemma we prove the expected relationship between the two semantics:

**lemma** *exec-iff-execn*:  $(s - c \rightarrow t) = (\exists n. s - c - n \rightarrow t)$   
*(proof)*

**lemma** *while-lemma*[rule-format]:  
 $s - w - n \rightarrow t \implies \forall b c. w = WHILE b DO c \wedge P s \wedge$   
 $(\forall s s'. P s \wedge b s \wedge s - c - n \rightarrow s' \rightarrow P s') \rightarrow P t \wedge \neg b t$   
*(proof)*

**lemma** *while-rule*:  
 $\llbracket s - WHILE b DO c - n \rightarrow t; P s; \bigwedge s s'. \llbracket P s; b s; s - c - n \rightarrow s' \rrbracket \implies P s' \rrbracket \implies P t \wedge \neg b t$   
*(proof)*

**end**

**theory** *PHoare* **imports** *PLang* **begin**

### 3.2 Hoare logic for partial correctness

Taking auxiliary variables seriously means that assertions must now depend on them as well as on the state. Initially we do not fix the type of auxiliary variables but parameterize the type of assertions with a type variable '*a*:

**type-synonym** '*a assn* = '*a*  $\Rightarrow$  state  $\Rightarrow$  bool

The second major change is the need to reason about Hoare triples in a context: proofs about recursive procedures are conducted by induction where we assume that all *CALLs* satisfy the given pre/postconditions and have to show that the body does as well. The assumption is stored in a context, which is a set of Hoare triples:

**type-synonym** '*a ctxt* = ('*a assn*  $\times$  com  $\times$  '*a assn*)set

In the presence of only a single procedure the context will always be empty or a singleton set. With multiple procedures, larger sets can arise.

Now that we have contexts, validity becomes more complicated. Ordinary validity (w.r.t. partial correctness) is still what it used to be, except that we have to take auxiliary variables into account as well:

**definition**

*valid* :: '*a assn*  $\Rightarrow$  com  $\Rightarrow$  '*a assn*  $\Rightarrow$  bool ( $\models \{(1)\}/(\neg)/\{(1)\} \approx 50$ ) **where**  
 $\models \{P\}c\{Q\} \leftrightarrow (\forall s t. s - c \rightarrow t \rightarrow (\forall z. P z s \rightarrow Q z t))$

Auxiliary variables are always denoted by *z*.

Validity of a context and validity of a Hoare triple in a context are defined as follows:

**definition**

*valids* :: 'a ctxt  $\Rightarrow$  bool ( $\langle \models \rangle \rightarrow 50$ ) **where**  
 $[simp]: \models C \equiv (\forall (P, c, Q) \in C. \models \{P\} c \{Q\})$

**definition**

*cvalid* :: 'a ctxt  $\Rightarrow$  'a assn  $\Rightarrow$  com  $\Rightarrow$  'a assn  $\Rightarrow$  bool ( $\langle \models \rangle / \{(1)\} / \langle \models \rangle / \{(1)\} \rangle 50$ ) **where**  
 $C \models \{P\} c \{Q\} \longleftrightarrow \models C \longrightarrow \models \{P\} c \{Q\}$

Note that  $\{\} \models \{P\} c \{Q\}$  is equivalent to  $\models \{P\} c \{Q\}$ .

Unfortunately, this is not the end of it. As we have two semantics,  $-c\rightarrow$  and  $-c-n\rightarrow$ , we also need a second notion of validity parameterized with the recursion depth  $n$ :

**definition**

*nvalid* :: nat  $\Rightarrow$  'a assn  $\Rightarrow$  com  $\Rightarrow$  'a assn  $\Rightarrow$  bool ( $\langle \models \rangle / \{(1)\} / \langle \models \rangle / \{(1)\} \rangle 50$ )  
**where**  
 $\models_n \{P\} c \{Q\} \equiv (\forall s t. s -c-n\rightarrow t \longrightarrow (\forall z. P z s \longrightarrow Q z t))$

**definition**

*nvalids* :: nat  $\Rightarrow$  'a ctxt  $\Rightarrow$  bool ( $\langle \models \rangle / \langle \models \rangle \rightarrow 50$ ) **where**  
 $\models_{-n} C \equiv (\forall (P, c, Q) \in C. \models_n \{P\} c \{Q\})$

**definition**

*cnvalid* :: 'a ctxt  $\Rightarrow$  nat  $\Rightarrow$  'a assn  $\Rightarrow$  com  $\Rightarrow$  'a assn  $\Rightarrow$  bool ( $\langle \models \rangle / \langle \models \rangle \rightarrow 50$ ) **where**  
 $C \models_n \{P\} c \{Q\} \longleftrightarrow \models_{-n} C \longrightarrow \models_n \{P\} c \{Q\}$

Finally we come to the proof system for deriving triples in a context:

**inductive**

*hoare* :: 'a ctxt  $\Rightarrow$  'a assn  $\Rightarrow$  com  $\Rightarrow$  'a assn  $\Rightarrow$  bool ( $\langle \models \rangle / \langle \models \rangle \rightarrow 50$ ) **where**

**where**

$C \vdash \{\lambda z s. \forall t \in f s . P z t\} Do f \{P\}$

$| \llbracket C \vdash \{P\} c1 \{Q\}; C \vdash \{Q\} c2 \{R\} \rrbracket \implies C \vdash \{P\} c1; c2 \{R\}$

$| \llbracket C \vdash \{\lambda z s. P z s \wedge b s\} c1 \{Q\}; C \vdash \{\lambda z s. P z s \wedge \neg b s\} c2 \{Q\} \rrbracket \implies C \vdash \{P\} IF b THEN c1 ELSE c2 \{Q\}$

$| C \vdash \{\lambda z s. P z s \wedge b s\} c \{P\} \implies C \vdash \{P\} WHILE b DO c \{\lambda z s. P z s \wedge \neg b s\}$

$| \llbracket C \vdash \{P'\} c \{Q'\}; \forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t) \rrbracket \implies C \vdash \{P\} c \{Q\}$

$| \{(P, CALL, Q)\} \vdash \{P\} body \{Q\} \implies \{\} \vdash \{P\} CALL \{Q\}$

$$\begin{aligned}
& | \{(P, CALL, Q)\} \vdash \{P\} CALL \{Q\} \\
& | \llbracket \forall s'. C \vdash \{\lambda z. P z s' \wedge s = f s'\} c \{\lambda z. Q z (g s' t)\} \rrbracket \implies \\
& \quad C \vdash \{P\} LOCAL f; c; g \{Q\}
\end{aligned}$$

**abbreviation**  $hoare1 :: 'a ctxt \Rightarrow 'a assn \times com \times 'a assn \Rightarrow bool (\cdot \vdash \cdot)$   
**where**

$$C \vdash x \equiv C \vdash \{fst x\} fst (snd x) \{snd (snd x)\}$$

The first four rules are familiar, except for their adaptation to auxiliary variables. The *CALL* rule embodies induction and has already been motivated above. Note that it is only applicable if the context is empty. This shows that we never need nested induction. For the same reason the assumption rule (the last rule) is stated with just a singleton context.

The rule of consequence is explained in the accompanying paper.

**lemma** *strengthen-pre*:

$$\llbracket \forall z. s. P' z s \longrightarrow P z s; C \vdash \{P\} c \{Q\} \rrbracket \implies C \vdash \{P'\} c \{Q\}$$

$\langle proof \rangle$

**lemmas** *valid-defs* = *valid-def* *valids-def* *cvalid-def*  
*nvalid-def* *nvalids-def* *cnvalid-def*

**theorem** *hoare-sound*:  $C \vdash \{P\} c \{Q\} \implies C \models \{P\} c \{Q\}$

requires a generalization:  $\forall n. C \models_n \{P\} c \{Q\}$  is proved instead, from which the actual theorem follows directly via lemma *exec-iff-execn* in §???. The generalization is proved by induction on  $c$ . The reason for the generalization is that soundness of the *CALL* rule is proved by induction on the maximal call depth, i.e.  $n$ .

$\langle proof \rangle$

The completeness proof employs the notion of a *most general triple* (or *most general formula*):

**definition**

$$\begin{aligned}
MGT :: com \Rightarrow state assn \times com \times state assn \text{ where} \\
MGT c = (\lambda z. s. z = s, c, \lambda z. t. z - c \rightarrow t)
\end{aligned}$$

**declare** *MGT-def[simp]*

Note that the type of  $z$  has been identified with *state*. This means that for every state variable there is an auxiliary variable, which is simply there to record the value of the program variables before execution of a command. This is exactly what, for example, VDM offers by allowing you to refer to the pre-value of a variable in a postcondition. The intuition behind *MGT c* is that it completely describes the operational behaviour of  $c$ . It is easy to see that, in the presence of the new consequence rule,  $\{\} \vdash MGT c$  implies completeness:

**lemma** *MGT-implies-complete*:

$$\{\} \vdash MGT c \implies \{\} \models \{P\} c \{Q\} \implies \{\} \vdash \{P\} c \{Q::state assn\}$$

$\langle proof \rangle$

In order to discharge  $\{\} \vdash MGT c$  one proves

**lemma** *MGT-lemma*:  $C \vdash MGT CALL \implies C \vdash MGT c$   
 $\langle proof \rangle$

The proof is by induction on  $c$ . In the *While*-case it is easy to show that  $\lambda z t. (z, t) \in \{(s, t). b s \wedge s -c\rightarrow t\}^*$  is invariant. The precondition  $\lambda z s. z=s$  establishes the invariant and a reflexive transitive closure induction shows that the invariant conjoined with  $\neg b t$  implies the postcondition  $\lambda z. exec z (\text{WHILE } b \text{ DO } c)$ . The remaining cases are trivial.

Using the *MGT-lemma* (together with the *CALL* and the assumption rule) one can easily derive

**lemma** *MGT-CALL*:  $\{\} \vdash MGT CALL$   
 $\langle proof \rangle$

Using the *MGT-lemma* once more we obtain  $\{\} \vdash MGT c$  and thus by *MGT-implies-complete* completeness.

**theorem**  $\{\} \models \{P\}c\{Q\} \implies \{\} \vdash \{P\}c\{Q::state assn\}$   
 $\langle proof \rangle$

**end**

**theory** *PTermi* imports *PLang* begin

### 3.3 Termination

**inductive**

*termi* :: *com*  $\Rightarrow$  *state*  $\Rightarrow$  *bool* (**infixl**  $\leftrightarrow$  50)

**where**

$| Do[\text{iff}]: f s \neq \{\} \implies Do f \downarrow s$

$| Semi[\text{intro!}]: [\![ c1 \downarrow s0; \bigwedge s1. s0 -c1\rightarrow s1 \implies c2 \downarrow s1 ]\!] \implies (c1;c2) \downarrow s0$

$| IfTrue[\text{intro,simp}]: [\![ b s; c1 \downarrow s ]\!] \implies IF b THEN c1 ELSE c2 \downarrow s$   
 $| IffFalse[\text{intro,simp}]: [\![ \neg b s; c2 \downarrow s ]\!] \implies IF b THEN c1 ELSE c2 \downarrow s$

$| WhileFalse: \neg b s \implies WHILE b DO c \downarrow s$

$| WhileTrue: [\![ b s; c \downarrow s; \bigwedge t. s -c\rightarrow t \implies WHILE b DO c \downarrow t ]\!] \implies WHILE b DO c \downarrow s$

$| body \downarrow s \implies CALL \downarrow s$

$| Local: c \downarrow f s \implies LOCAL f;c;g \downarrow s$

**lemma** [iff]:  $(Do f \downarrow s) = (f s \neq \{\})$   
 $\langle proof \rangle$

**lemma** [iff]:  $((c1;c2) \downarrow s0) = (c1 \downarrow s0 \wedge (\forall s1. s0 - c1 \rightarrow s1 \longrightarrow c2 \downarrow s1))$   
 $\langle proof \rangle$

**lemma** [iff]:  $(IF b THEN c1 ELSE c2 \downarrow s) = ((if b s then c1 else c2) \downarrow s)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(CALL \downarrow s) = (body \downarrow s)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(LOCAL f;c;g \downarrow s) = (c \downarrow f s)$   
 $\langle proof \rangle$

**lemma** termi-while-lemma[rule-format]:  
 $w \downarrow fk \implies$   
 $(\forall k b c. fk = f k \wedge w = WHILE b DO c \wedge (\forall i. f i - c \rightarrow f(Suc i)) \longrightarrow (\exists i. \neg b(f i)))$   
 $\langle proof \rangle$

**lemma** termi-while:  
 $\llbracket ( WHILE b DO c ) \downarrow f k; \forall i. f i - c \rightarrow f(Suc i) \rrbracket \implies \exists i. \neg b(f i)$   
 $\langle proof \rangle$

**lemma** wf-termi:  $wf \{(t,s). WHILE b DO c \downarrow s \wedge b s \wedge s - c \rightarrow t\}$   
 $\langle proof \rangle$

**end**

**theory** PHoareTotal imports PHoare PTermi begin

### 3.4 Hoare logic for total correctness

Validity is defined as expected:

**definition**

$tvalid :: 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool (\models_t \{(1)\} / (-) / \{(1)\} \ 50) \text{ where}$   
 $\models_t \{P\}c\{Q\} \longleftrightarrow \models \{P\}c\{Q\} \wedge (\forall z s. P z s \longrightarrow c \downarrow s)$

**definition**

$ctvalid :: 'a ctxt \Rightarrow 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool$   
 $(\langle (- / \models_t \{(1)\} / (-) / \{(1)\}) \rangle \ 50) \text{ where}$   
 $C \models_t \{P\}c\{Q\} \longleftrightarrow (\forall (P',c',Q') \in C. \models_t \{P'\}c'\{Q'\}) \longrightarrow \models_t \{P\}c\{Q\}$

**inductive**

$thoare :: 'a ctxt \Rightarrow 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool$   
 $(\langle (- \vdash_t \{(1)\} / (-) / \{(1)\}) \rangle [50,0,0,0] \ 50)$

**where**

$Do: C \vdash_t \{\lambda z s. (\forall t \in fs . P z t) \wedge fs \neq \{\}\} Do f \{P\}$

| *Semi*:  $\llbracket C \vdash_t \{P\} c1\{Q\}; C \vdash_t \{Q\} c2\{R\} \rrbracket \implies C \vdash_t \{P\} c1; c2 \{R\}$   
 | *If*:  $\llbracket C \vdash_t \{\lambda z. P z s \wedge b s\} c\{Q\}; C \vdash_t \{\lambda z. P z s \wedge \neg b s\} d\{Q\} \rrbracket \implies$   
 $C \vdash_t \{P\} \text{ IF } b \text{ THEN } c \text{ ELSE } d \{Q\}$   
 | *While*:  
 $\llbracket wf r; \forall s'. C \vdash_t \{\lambda z. P z s \wedge b s \wedge s' = s\} c \{\lambda z. P z s \wedge (s, s') \in r\} \rrbracket$   
 $\implies C \vdash_t \{P\} \text{ WHILE } b \text{ DO } c \{\lambda z. P z s \wedge \neg b s\}$   
 | *Call*:  
 $\llbracket wf r; \forall s'. \{(\lambda z. P z s \wedge (s, s') \in r, CALL, Q)\}$   
 $\vdash_t \{\lambda z. P z s \wedge s = s'\} \text{ body } \{Q\} \rrbracket$   
 $\implies \{\} \vdash_t \{P\} CALL \{Q\}$   
 | *Asm*:  $\{(P, CALL, Q)\} \vdash_t \{P\} CALL \{Q\}$   
 | *Conseq*:  
 $\llbracket C \vdash_t \{P'\} c\{Q'\};$   
 $(\forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t)) \wedge$   
 $(\forall s. (\exists z. P z s) \longrightarrow (\exists z. P' z s)) \rrbracket$   
 $\implies C \vdash_t \{P\} c\{Q\}$   
 | *Local*:  $\llbracket \forall s'. C \vdash_t \{\lambda z. P z s' \wedge s = f s'\} c \{\lambda z t. Q z (g s' t)\} \rrbracket \implies$   
 $C \vdash_t \{P\} LOCAL f; c; g \{Q\}$

**abbreviation** *hoare1* :: '*a ctxt*  $\Rightarrow$  '*a assn*  $\times$  *com*  $\times$  '*a assn*  $\Rightarrow$  *bool* ( $\dashv \vdash_t \dashv$ )  
**where**  
 $C \vdash_t x \equiv C \vdash_t \{fst x\} fst \{snd x\} \{snd (snd x)\}$

The side condition in our rule of consequence looks quite different from the one by Kleymann, but the two are in fact equivalent:

**lemma**  $((\forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t)) \wedge$   
 $(\forall s. (\exists z. P z s) \longrightarrow (\exists z. P' z s)))$   
 $= (\forall z s. P z s \longrightarrow (\forall t. \exists z'. P' z' s \wedge (Q' z' t \longrightarrow Q z t)))$   
*{proof}*

The key difference to the work by Kleymann (and America and de Boer) is that soundness and completeness are shown for arbitrary, i.e. unbounded nondeterminism. This is a significant extension and appears to have been an open problem. The details are found below and are explained in a separate paper [1].

**lemma** *strengthen-pre*:  
 $\llbracket \forall z s. P' z s \longrightarrow P z s; C \vdash_t \{P\} c\{Q\} \rrbracket \implies C \vdash_t \{P'\} c\{Q\}$   
*{proof}*

**lemma** *weaken-post*:  
 $\llbracket C \vdash_t \{P\} c\{Q\}; \forall z s. Q z s \longrightarrow Q' z s \rrbracket \implies C \vdash_t \{P\} c\{Q'\}$   
*{proof}*

**lemmas** *tvalid-defs* = *tvalid-def* *ctvalid-def* *valid-defs*

**lemma** [iff]:

$$(\models_t \{\lambda z s. \exists n. P n z s\} c\{Q\}) = (\forall n. \models_t \{P n\} c\{Q\})$$

$\langle proof \rangle$

**lemma** [iff]:

$$(\models_t \{\lambda z s. P z s \wedge P'\} c\{Q\}) = (P' \longrightarrow \models_t \{P\} c\{Q\})$$

$\langle proof \rangle$

**lemma** [iff]:  $(\models_t \{P\} CALL\{Q\}) = (\models_t \{P\} body\{Q\})$

$\langle proof \rangle$

**theorem**  $C \vdash_t \{P\} c\{Q\} \implies C \models_t \{P\} c\{Q\}$

$\langle proof \rangle$

**definition**  $MGT_t :: com \Rightarrow state assn \times com \times state assn$  **where**

$$[simp]: MGT_t c = (\lambda z s. z = s \wedge c \downarrow s, c, \lambda z t. z - c \rightarrow t)$$

**lemma**  $MGT$ -implies-complete:

$$\{\} \vdash_t MGT_t c \implies \{\} \models_t \{P\} c\{Q\} \implies \{\} \vdash_t \{P\} c\{Q::state assn\}$$

$\langle proof \rangle$

**lemma** while-termiE:  $\llbracket WHILE b DO c \downarrow s; b s \rrbracket \implies c \downarrow s$

$\langle proof \rangle$

**lemma** while-termiE2:

$$\llbracket WHILE b DO c \downarrow s; b s; s - c \rightarrow t \rrbracket \implies WHILE b DO c \downarrow t$$

$\langle proof \rangle$

**lemma**  $MGT$ -lemma:  $C \vdash_t MGT_t CALL \implies C \vdash_t MGT_t c$

$\langle proof \rangle$

**inductive-set**

$$exec1 :: ((com list \times state) \times (com list \times state)) set$$

**and**  $exec1' :: (com list \times state) \Rightarrow (com list \times state) \Rightarrow bool$  ( $\leftarrow \rightarrow \rightarrow [81,81]$   
100)

**where**

$$cs0 \rightarrow cs1 \equiv (cs0, cs1) : exec1$$

|  $Do$ [iff]:  $t \in f s \implies ((Do f) \# cs, s) \rightarrow (cs, t)$

|  $Semi$ [iff]:  $((c1; c2) \# cs, s) \rightarrow (c1 \# c2 \# cs, s)$

|  $IfTrue$ :  $b s \implies ((IF b THEN c1 ELSE c2) \# cs, s) \rightarrow (c1 \# cs, s)$

|  $IfFalse$ :  $\neg b s \implies ((IF b THEN c1 ELSE c2) \# cs, s) \rightarrow (c2 \# cs, s)$

|  $WhileFalse$ :  $\neg b s \implies ((WHILE b DO c) \# cs, s) \rightarrow (cs, s)$

| *WhileTrue*:  $b\ s \implies ((\text{WHILE } b \text{ DO } c) \# cs, s) \rightarrow (c \# (\text{WHILE } b \text{ DO } c) \# cs, s)$

| *Call[iff]*:  $(\text{CALL} \# cs, s) \rightarrow (\text{body} \# cs, s)$

| *Local[iff]*:  $((\text{LOCAL } f; c; g) \# cs, s) \rightarrow (c \# \text{Do}(\lambda t. \{g\ s\ t\}) \# cs, f\ s)$

#### abbreviation

*execr* ::  $(\text{com list} \times \text{state}) \Rightarrow (\text{com list} \times \text{state}) \Rightarrow \text{bool}$  ( $\langle \cdot \rightarrow^* \cdot \rangle [81, 81] 100$ )  
**where**  $cs0 \rightarrow^* cs1 \equiv (cs0, cs1) : \text{exec1}^*$

#### inductive-cases *exec1E[elim!]*:

- $([], s) \rightarrow (cs', s')$
- $(\text{Do } f \# cs, s) \rightarrow (cs', s')$
- $((c1; c2) \# cs, s) \rightarrow (cs', s')$
- $((\text{IF } b \text{ THEN } c1 \text{ ELSE } c2) \# cs, s) \rightarrow (cs', s')$
- $((\text{WHILE } b \text{ DO } c) \# cs, s) \rightarrow (cs', s')$
- $(\text{CALL} \# cs, s) \rightarrow (cs', s')$
- $((\text{LOCAL } f; c; g) \# cs, s) \rightarrow (cs', s')$

**lemma** *[iff]*:  $\neg ([], s) \rightarrow u$   
*⟨proof⟩*

**lemma** *app-exec*:  $(cs, s) \rightarrow (cs', s') \implies (cs @ cs2, s) \rightarrow (cs' @ cs2, s')$   
*⟨proof⟩*

**lemma** *app-execs*:  $(cs, s) \rightarrow^* (cs', s') \implies (cs @ cs2, s) \rightarrow^* (cs' @ cs2, s')$   
*⟨proof⟩*

#### lemma *exec-impl-execs[rule-format]*:

$s - c \rightarrow s' \implies \forall cs. (c \# cs, s) \rightarrow^* (cs, s')$   
*⟨proof⟩*

#### inductive

*execs* ::  $\text{state} \Rightarrow \text{com list} \Rightarrow \text{state} \Rightarrow \text{bool}$  ( $\langle \cdot / = \Rightarrow / \rightarrow [50, 0, 50] 50$ )

#### where

$s = [] \Rightarrow s$   
|  $s - c \rightarrow t \implies t = cs \Rightarrow u \implies s = c \# cs \Rightarrow u$

#### inductive-cases *[elim!]*:

- $s = [] \Rightarrow t$
- $s = c \# cs \Rightarrow t$

**theorem** *exec1s-impl-execs*:  $(cs, s) \rightarrow^* ([], t) \implies s = cs \Rightarrow t$   
*⟨proof⟩*

**theorem** *exec1s-impl-exec*:  $([c], s) \rightarrow^* ([], t) \implies s - c \rightarrow t$   
*⟨proof⟩*

```

primrec termis :: com list  $\Rightarrow$  state  $\Rightarrow$  bool (infixl  $\Downarrow$  60) where
   $\Downarrow s = \text{True}$ 
  |  $c \# cs \Downarrow s = (c \Downarrow s \wedge (\forall t. s - c \rightarrow t \longrightarrow cs \Downarrow t))$ 

lemma exec1-pres-termis:  $(cs, s) \rightarrow (cs', s') \implies cs \Downarrow s \longrightarrow cs' \Downarrow s'$ 
   $\langle \text{proof} \rangle$ 

lemma execs-pres-termis:  $(cs, s) \rightarrow^* (cs', s') \implies cs \Downarrow s \longrightarrow cs' \Downarrow s'$ 
   $\langle \text{proof} \rangle$ 

lemma execs-pres-termi:  $\llbracket ([c], s) \rightarrow^* (c' \# cs', s'); c \Downarrow s \rrbracket \implies c' \Downarrow s'$ 
   $\langle \text{proof} \rangle$ 

definition
termi-call-steps :: (state  $\times$  state) set where
   $\text{termi-call-steps} = \{(t, s). \text{body} \Downarrow s \wedge (\exists cs. ([\text{body}], s) \rightarrow^* (\text{CALL} \# cs, t))\}$ 

lemma lem:
   $\forall y. (a, y) \in r^+ \longrightarrow P a \longrightarrow P y \implies ((b, a) \in \{(y, x). P x \wedge (x, y) : r\}^+) = ((b, a) \in \{(y, x). P x \wedge (x, y) \in r^+\})$ 
   $\langle \text{proof} \rangle$ 

lemma renumber-aux:
   $\llbracket \forall i. (a, f i) : r^* \wedge (f i, f(Suc i)) : r; (a, b) : r^* \rrbracket \implies b = f 0 \longrightarrow (\exists f. f 0 = a \wedge (\forall i. (f i, f(Suc i)) : r))$ 
   $\langle \text{proof} \rangle$ 

lemma renumber:
   $\forall i. (a, f i) : r^* \wedge (f i, f(Suc i)) : r \implies \exists f. f 0 = a \wedge (\forall i. (f i, f(Suc i)) : r)$ 
   $\langle \text{proof} \rangle$ 

definition inf :: com list  $\Rightarrow$  state  $\Rightarrow$  bool where
   $\text{inf } cs \ s \longleftrightarrow (\exists f. f 0 = (cs, s) \wedge (\forall i. f i \rightarrow f(Suc i)))$ 

lemma [iff]:  $\neg \text{inf } [] \ s$ 
   $\langle \text{proof} \rangle$ 

lemma [iff]:  $\neg \text{inf } [\text{Do } f] \ s$ 
   $\langle \text{proof} \rangle$ 

lemma [iff]:  $\text{inf } ((c1; c2) \# cs) \ s = \text{inf } (c1 \# c2 \# cs) \ s$ 
   $\langle \text{proof} \rangle$ 

lemma [iff]:  $\text{inf } ((\text{IF } b \ \text{THEN } c1 \ \text{ELSE } c2) \# cs) \ s =$ 
   $\text{inf } ((\text{if } b \ s \ \text{then } c1 \ \text{else } c2) \# cs) \ s$ 
   $\langle \text{proof} \rangle$ 

```

**lemma** [simp]:  
 $\inf((\text{WHILE } b \text{ DO } c) \# cs) s = (\text{if } b s \text{ then } \inf(c \# (\text{WHILE } b \text{ DO } c) \# cs) s \text{ else } \inf cs s)$   
 $\langle \text{proof} \rangle$

**lemma** [iff]:  $\inf(\text{CALL}\# cs) s = \inf(\text{body}\# cs) s$   
 $\langle \text{proof} \rangle$

**lemma** [iff]:  $\inf((\text{LOCAL } f; c; g) \# cs) s = \inf(c \# \text{Do}(\lambda t. \{g s t\}) \# cs) (f s)$   
 $\langle \text{proof} \rangle$

**lemma** exec1-only1-aux:  $(ccs, s) \rightarrow (cs', t) \implies \forall c \in cs. ccs = c \# cs \implies (\exists cs1. cs' = cs1 @ cs)$   
 $\langle \text{proof} \rangle$

**lemma** exec1-only1:  $(c \# cs, s) \rightarrow (cs', t) \implies \exists cs1. cs' = cs1 @ cs$   
 $\langle \text{proof} \rangle$

**lemma** exec1-drop-suffix-aux:  
 $(cs12, s) \rightarrow (cs1'2, s') \implies \forall cs1 \in cs2. cs12 = cs1 @ cs2 \& cs1'2 = cs1' @ cs2 \& cs1 \neq [] \implies (cs1, s) \rightarrow (cs1', s')$   
 $\langle \text{proof} \rangle$

**lemma** exec1-drop-suffix:  
 $(cs1 @ cs2, s) \rightarrow (cs1' @ cs2, s') \implies cs1 \neq [] \implies (cs1, s) \rightarrow (cs1', s')$   
 $\langle \text{proof} \rangle$

**lemma** execs-drop-suffix[rule-format(no-asm)]:  
 $\llbracket f 0 = (c \# cs, s); \forall i. f(i) \rightarrow f(\text{Suc } i) \rrbracket \implies (\forall i < k. p[i] \neq [] \& \text{fst}(f i) = p[i @ cs] \implies \text{fst}(f k) = p[k @ cs]) \rightarrow ([c], s) \rightarrow^* (p[k], \text{snd}(f k))$   
 $\langle \text{proof} \rangle$

**lemma** execs-drop-suffix0:  
 $\llbracket f 0 = (c \# cs, s); \forall i. f(i) \rightarrow f(\text{Suc } i); \forall i < k. p[i] \neq [] \& \text{fst}(f i) = p[i @ cs]; \text{fst}(f k) = cs; p[k] = [] \rrbracket \implies ([c], s) \rightarrow^* ([] @ \text{snd}(f k))$   
 $\langle \text{proof} \rangle$

**lemma** skolemize1:  $\forall x. P x \implies (\exists y. Q x y) \implies \exists f. \forall x. P x \implies Q x (f x)$   
 $\langle \text{proof} \rangle$

**lemma** least-aux:  $\llbracket f 0 = (c \# cs, s); \forall i. f(i) \rightarrow f(\text{Suc } i); \text{fst}(f k) = cs; \forall i < k. \text{fst}(f i) \neq cs \rrbracket \implies \forall i \leq k. (\exists p. (p \neq []) = (i < k) \& \text{fst}(f i) = p @ cs)$   
 $\langle \text{proof} \rangle$

**lemma** least-lem:  $\llbracket f 0 = (c \# cs, s); \forall i. f(i) \rightarrow f(\text{Suc } i); \exists i. \text{fst}(f i) = cs \rrbracket \implies \exists k. \text{fst}(f k) = cs \& ([c], s) \rightarrow^* ([] @ \text{snd}(f k))$

$\langle proof \rangle$

**lemma** *skolemize2*:  $\forall x. \exists y. P x y \implies \exists f. \forall x. P x (f x)$   
 $\langle proof \rangle$

**lemma** *inf-cases*:  $\text{inf } (c \# cs) s \implies \text{inf } [c] s \vee (\exists t. s - c \rightarrow t \wedge \text{inf } cs t)$   
 $\langle proof \rangle$

**lemma** *termi-impl-not-inf*:  $c \downarrow s \implies \neg \text{inf } [c] s$   
 $\langle proof \rangle$

**lemma** *termi-impl-no-inf-chain*:  
 $c \downarrow s \implies \neg (\exists f. f 0 = ([c], s) \wedge (\forall i: \text{nat}. (f i, f(i+1)) : \text{exec1}^\wedge))$   
 $\langle proof \rangle$

**primrec** *cseq* ::  $(\text{nat} \Rightarrow \text{state}) \Rightarrow \text{nat} \Rightarrow \text{com list}$  **where**  
 $cseq S 0 = []$   
 $| cseq S (Suc i) = (\text{SOME } cs. ([\text{body}], S i) \rightarrow^* (\text{CALL } \# cs, S(i+1))) @ cseq S i$

**lemma** *wf-termi-call-steps*: *wf termi-call-steps*  
 $\langle proof \rangle$

**lemma** *CALL-lemma*:  
 $\{(\lambda z s. (z=s \wedge \text{body} \downarrow s) \wedge (s, t) \in \text{termi-call-steps}, \text{CALL}, \lambda z s. z - \text{body} \rightarrow s)\} \vdash_t$   
 $\{\lambda z s. (z=s \wedge \text{body} \downarrow t) \wedge (\exists cs. ([\text{body}], t) \rightarrow^* (c \# cs, s))\} c \{\lambda z s. z - c \rightarrow s\}$   
 $\langle proof \rangle$

**lemma** *CALL-cor*:  
 $\{(\lambda z s. (z=s \wedge \text{body} \downarrow s) \wedge (s, t) \in \text{termi-call-steps}, \text{CALL}, \lambda z s. z - \text{body} \rightarrow s)\} \vdash_t$   
 $\{\lambda z s. (z=s \wedge \text{body} \downarrow s) \wedge s = t\} \text{ body } \{\lambda z s. z - \text{body} \rightarrow s\}$   
 $\langle proof \rangle$

**lemma** *MGT-CALL*:  $\{\} \vdash_t MGT_t \text{ CALL}$   
 $\langle proof \rangle$

**theorem**  $\{\} \vdash_t \{P\} c \{Q\} \implies \{\} \vdash_t \{P\} c \{Q::\text{state assn}\}$   
 $\langle proof \rangle$

**end**

## 4 Hoare Logics for Mutually Recursive Procedure

**theory** *PsLang* **imports** *Main* **begin**

### 4.1 The language

**typedec** *state*  
**typedec** *pname*

**type-synonym**  $bexp = state \Rightarrow bool$

**datatype**

$$\begin{aligned} com &= Do\ state \Rightarrow state\ set \\ &\mid Semi\ com\ com\ (\langle\cdot; \rightarrow [60, 60] 10) \\ &\mid Cond\ bexp\ com\ com\ (\langle IF - THEN - ELSE \rightarrow 60) \\ &\mid While\ bexp\ com\ (\langle WHILE - DO \rightarrow 60) \\ &\mid CALL\ pname \\ &\mid Local\ (state \Rightarrow state)\ com\ (state \Rightarrow state \Rightarrow state) \\ &\quad (\langle LOCAL\ \cdot; \cdot; \rightarrow [0,0,60] 60) \end{aligned}$$

**consts**  $body :: pname \Rightarrow com$

We generalize from a single procedure to a whole set of procedures following the ideas of von Oheimb [3]. The basic setup is modified only in a few places:

- We introduce a new basic type  $pname$  of procedure names.
- Constant  $body$  is now of type  $pname \Rightarrow com$ .
- The  $CALL$  command now has an argument of type  $pname$ , the name of the procedure that is to be called.

**inductive**

$$exec :: state \Rightarrow com \Rightarrow state \Rightarrow bool \quad (\langle\cdot / \dashrightarrow / \rightarrow [50,0,50] 50)$$

**where**

$Do: t \in fs \implies s - Do f \rightarrow t$

$| Semi: [s0 - c1 \rightarrow s1; s1 - c2 \rightarrow s2] \implies s0 - c1; c2 \rightarrow s2$

$| IfTrue: [b s; s - c1 \rightarrow t] \implies s - IF b THEN c1 ELSE c2 \rightarrow t$   
 $| IfFalse: [\neg b s; s - c2 \rightarrow t] \implies s - IF b THEN c1 ELSE c2 \rightarrow t$

$| WhileFalse: \neg b s \implies s - WHILE b DO c \rightarrow s$

$| WhileTrue: [b s; s - c \rightarrow t; t - WHILE b DO c \rightarrow u] \implies s - WHILE b DO c \rightarrow u$

$| Call: s - body p \rightarrow t \implies s - CALL p \rightarrow t$

$| Local: f s - c \rightarrow t \implies s - LOCAL f; c; g \rightarrow g s t$

**lemma** [iff]:  $(s - Do f \rightarrow t) = (t \in fs)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s - c; d \rightarrow u) = (\exists t. s - c \rightarrow t \wedge t - d \rightarrow u)$   
 $\langle proof \rangle$

**lemma** [iff]:  $(s - IF b THEN c ELSE d \rightarrow t) =$

$(s - \text{if } b \text{ s then } c \text{ else } d \rightarrow t)$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - \text{CALL } p \rightarrow t) = (s - \text{body } p \rightarrow t)$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - \text{LOCAL } f; c; g \rightarrow u) = (\exists t. f s - c \rightarrow t \wedge u = g s t)$   
 $\langle \text{proof} \rangle$

**inductive**

$\text{execn} :: \text{state} \Rightarrow \text{com} \Rightarrow \text{nat} \Rightarrow \text{state} \Rightarrow \text{bool}$  ( $\langle \cdot \rangle / \dots / \rightarrow [50,0,0,50] 50$ )

**where**

$Do: t \in f s \implies s - Do f - n \rightarrow t$

| *Semi:*  $\llbracket s0 - c0 - n \rightarrow s1; s1 - c1 - n \rightarrow s2 \rrbracket \implies s0 - c0; c1 - n \rightarrow s2$

| *IfTrue:*  $\llbracket b s; s - c0 - n \rightarrow t \rrbracket \implies s - \text{IF } b \text{ THEN } c0 \text{ ELSE } c1 - n \rightarrow t$

| *IfFalse:*  $\llbracket \neg b s; s - c1 - n \rightarrow t \rrbracket \implies s - \text{IF } b \text{ THEN } c0 \text{ ELSE } c1 - n \rightarrow t$

| *WhileFalse:*  $\neg b s \implies s - \text{WHILE } b \text{ DO } c - n \rightarrow s$

| *WhileTrue:*  $\llbracket b s; s - c - n \rightarrow t; t - \text{WHILE } b \text{ DO } c - n \rightarrow u \rrbracket$   
 $\implies s - \text{WHILE } b \text{ DO } c - n \rightarrow u$

| *Call:*  $s - \text{body } p - n \rightarrow t \implies s - \text{CALL } p - \text{Suc } n \rightarrow t$

| *Local:*  $f s - c - n \rightarrow t \implies s - \text{LOCAL } f; c; g - n \rightarrow g s t$

**lemma [iff]:**  $(s - Do f - n \rightarrow t) = (t \in f s)$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - c1; c2 - n \rightarrow u) = (\exists t. s - c1 - n \rightarrow t \wedge t - c2 - n \rightarrow u)$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - \text{IF } b \text{ THEN } c \text{ ELSE } d - n \rightarrow t) =$   
 $(s - \text{if } b \text{ s then } c \text{ else } d - n \rightarrow t)$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - \text{CALL } p - 0 \rightarrow t) = \text{False}$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - \text{CALL } p - \text{Suc } n \rightarrow t) = (s - \text{body } p - n \rightarrow t)$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $(s - \text{LOCAL } f; c; g - n \rightarrow u) = (\exists t. f s - c - n \rightarrow t \wedge u = g s t)$   
 $\langle \text{proof} \rangle$

```

lemma exec-mono[rule-format]:  $s - c - m \rightarrow t \implies \forall n. m \leq n \rightarrow s - c - n \rightarrow t$ 
⟨proof⟩

lemma exec-iff-execn:  $(s - c \rightarrow t) = (\exists n. s - c - n \rightarrow t)$ 
⟨proof⟩

lemma while-lemma[rule-format]:
 $s - w - n \rightarrow t \implies \forall b c. w = WHILE b DO c \wedge P s \wedge$ 
 $(\forall s s'. P s \wedge b s \wedge s - c - n \rightarrow s' \rightarrow P s') \rightarrow P t \wedge \neg b t$ 
⟨proof⟩

lemma while-rule:
 $\llbracket s - WHILE b DO c - n \rightarrow t; P s; \bigwedge s s'. \llbracket P s; b s; s - c - n \rightarrow s' \rrbracket \implies P s' \rrbracket \implies P t \wedge \neg b t$ 
⟨proof⟩

end

```

```
theory PsHoare imports PsLang begin
```

## 4.2 Hoare logic for partial correctness

**type-synonym**  $'a assn = 'a \Rightarrow state \Rightarrow bool$

**type-synonym**  $'a ctxt = ('a assn \times com \times 'a assn) set$

**definition**

$valid :: 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool (\models \{(1-)\}/ (-)/ \{(1-)\} \ 50) \text{ where}$   
 $\models \{P\}c\{Q\} \equiv (\forall s t z. s - c \rightarrow t \rightarrow P z s \rightarrow Q z t)$

**definition**

$valids :: 'a ctxt \Rightarrow bool (\models \rightarrow 50) \text{ where}$   
 $\models D \equiv (\forall (P, c, Q) \in D. \models \{P\}c\{Q\})$

**definition**

$nvalid :: nat \Rightarrow 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool (\models \neg \{(1-)\}/ (-)/ \{(1-)\} \ 50)$

**where**

$\models_n \{P\}c\{Q\} \equiv (\forall s t z. s - c - n \rightarrow t \rightarrow P z s \rightarrow Q z t)$

**definition**

$nvalids :: nat \Rightarrow 'a ctxt \Rightarrow bool (\models \neg \rightarrow 50) \text{ where}$   
 $\models_n C \equiv (\forall (P, c, Q) \in C. \models_n \{P\}c\{Q\})$

We now need an additional notion of validity  $C \models D$  where  $D$  is a set as well. The reason is that we can now have mutually recursive procedures whose correctness needs to be established by simultaneous induction. Instead of sets of Hoare triples we may think of conjunctions. We define both  $C \models D$  and its relativized version:

**definition**

*cvalids* :: ' $a\ ctxt \Rightarrow 'a\ ctxt \Rightarrow \text{bool} (\cdot \cdot / \cdot \cdot 50)$ ' **where**  
 $C \Vdash D \longleftrightarrow \Vdash C \rightarrow \Vdash D$

**definition**

*cnvalids* :: ' $a\ ctxt \Rightarrow \text{nat} \Rightarrow 'a\ ctxt \Rightarrow \text{bool} (\cdot \cdot \cdot \cdot / \cdot \cdot 50)$ ' **where**  
 $C \Vdash_n D \longleftrightarrow \Vdash_n C \rightarrow \Vdash_n D$

Our Hoare logic now defines judgements of the form  $C \Vdash D$  where both  $C$  and  $D$  are (potentially infinite) sets of Hoare triples;  $C \vdash \{P\}c\{Q\}$  is simply an abbreviation for  $C \Vdash \{(P,c,Q)\}$ .

**inductive**

*hoare* :: ' $a\ ctxt \Rightarrow 'a\ ctxt \Rightarrow \text{bool} (\cdot \cdot \cdot \cdot / \cdot \cdot 50)$ '  
**and** *hoare3* :: ' $a\ ctxt \Rightarrow 'a\ assn \Rightarrow \text{com} \Rightarrow 'a\ assn \Rightarrow \text{bool} (\cdot \cdot \vdash / (\{\{1-\}\} / (\{-\}) / \{(1-\)\}) / 50)$ '

**where**

$C \vdash \{P\}c\{Q\} \equiv C \Vdash \{(P,c,Q)\}$   
| *Do*:  $C \vdash \{\lambda z s. \forall t \in f s . P z t\} Do f \{P\}$   
| *Semi*:  $\llbracket C \vdash \{P\}c\{Q\}; C \vdash \{Q\}d\{R\} \rrbracket \implies C \vdash \{P\} c;d \{R\}$   
| *If*:  $\llbracket C \vdash \{\lambda z s. P z s \wedge b s\}c\{Q\}; C \vdash \{\lambda z s. P z s \wedge \neg b s\}d\{Q\} \rrbracket \implies$   
 $C \vdash \{P\} \text{ IF } b \text{ THEN } c \text{ ELSE } d \{Q\}$   
| *While*:  $C \vdash \{\lambda z s. P z s \wedge b s\} c \{P\} \implies$   
 $C \vdash \{P\} \text{ WHILE } b \text{ DO } c \{\lambda z s. P z s \wedge \neg b s\}$   
  
| *Conseq*:  $\llbracket C \vdash \{P'\}c\{Q'\};$   
 $\forall s t. (\forall z. P' z s \rightarrow Q' z t) \rightarrow (\forall z. P z s \rightarrow Q z t) \rrbracket \implies$   
 $C \vdash \{P\}c\{Q\}$   
  
| *Call*:  $\llbracket \forall (P,c,Q) \in C. \exists p. c = \text{CALL } p;$   
 $C \vdash \{(P,b,Q). \exists p. (P, \text{CALL } p, Q) \in C \wedge b = \text{body } p\} \rrbracket \implies \{\} \vdash C$   
  
| *Asm*:  $(P, \text{CALL } p, Q) \in C \implies C \vdash \{P\} \text{ CALL } p \{Q\}$   
  
| *ConjI*:  $\forall (P,c,Q) \in D. C \vdash \{P\}c\{Q\} \implies C \Vdash D$   
| *ConjE*:  $\llbracket C \Vdash D; (P,c,Q) \in D \rrbracket \implies C \vdash \{P\}c\{Q\}$   
  
| *Local*:  $\llbracket \forall s'. C \vdash \{\lambda z s. P z s' \wedge s = f s'\} c \{\lambda z t. Q z (g s' t)\} \rrbracket \implies$   
 $C \vdash \{P\} \text{ LOCAL } f;c;g \{Q\}$

**monos** *split-beta*

**lemmas** *valid-defs* = *valid-def* *valids-def* *cvalids-def*  
*nvalid-def* *nvalids-def* *cnvalids-def*

**theorem**  $C \Vdash D \implies C \Vdash D$

As before, we prove a generalization of  $C \Vdash D$ , namely  $\forall n. C \Vdash_n D$ , by induction on  $C \Vdash D$ , with an induction on  $n$  in the *CALL* case.

*(proof)*

```

definition MGT :: com  $\Rightarrow$  state assn  $\times$  com  $\times$  state assn where
  [simp]: MGT c = ( $\lambda z. z = s, c, \lambda z. z - c \rightarrow t$ )

lemma strengthen-pre:
   $\llbracket \forall z. P' z s \longrightarrow P z s; C \vdash \{P\}c\{Q\} \rrbracket \implies C \vdash \{P'\}c\{Q\}$ 
  <proof>

lemma MGT-implies-complete:
   $\{\} \vdash \{MGT\}c \implies \vdash \{P\}c\{Q\} \implies \{\} \vdash \{P\}c\{Q::state\ assn\}$ 
  <proof>

lemma MGT-lemma:  $\forall p. C \vdash \{MGT(CALL\ p)\} \implies C \vdash \{MGT\}c$ 
  <proof>

lemma MGT-body:  $(P, CALL\ p, Q) = MGT(CALL\ pa) \implies C \vdash \{MGT\}(body\ p)$ 
  <proof>

declare MGT-def[simp del]

lemma MGT-CALL:  $\{\} \vdash \{mgt. \exists p. mgt = MGT(CALL\ p)\}$ 
  <proof>

theorem Complete:  $\vdash \{P\}c\{Q\} \implies \{\} \vdash \{P\}c\{Q::state\ assn\}$ 
  <proof>

end

theory PsTermi imports PsLang begin

### 4.3 Termination

inductive
    termi :: com  $\Rightarrow$  state  $\Rightarrow$  bool (infixl  $\downarrow$  50)
  where
    Do[iff]:  $f s \neq \{\} \implies Do f \downarrow s$ 
    | Semi[intro!]:  $\llbracket c1 \downarrow s0; \bigwedge s1. s0 - c1 \rightarrow s1 \implies c2 \downarrow s1 \rrbracket$ 
       $\implies (c1;c2) \downarrow s0$ 
    | IfTrue[intro,simp]:  $\llbracket b\ s; c1 \downarrow s \rrbracket \implies IF\ b\ THEN\ c1\ ELSE\ c2 \downarrow s$ 
    | IfFalse[intro,simp]:  $\llbracket \neg b\ s; c2 \downarrow s \rrbracket \implies IF\ b\THEN\ c1\ ELSE\ c2 \downarrow s$ 
    | WhileFalse:  $\neg b\ s \implies WHILE\ b\ DO\ c \downarrow s$ 
    | WhileTrue:  $\llbracket b\ s; c \downarrow s; \bigwedge t. s - c \rightarrow t \implies WHILE\ b\ DO\ c \downarrow t \rrbracket$ 
       $\implies WHILE\ b\ DO\ c \downarrow s$ 
    | body:  $p \downarrow s \implies CALL\ p \downarrow s$ 

```

```

| Local:  $c \downarrow f s \implies LOCAL f; c; g \downarrow s$ 

lemma [iff]:  $(Do f \downarrow s) = (f s \neq \{\})$ 
⟨proof⟩

lemma [iff]:  $((c1; c2) \downarrow s0) = (c1 \downarrow s0 \wedge (\forall s1. s0 - c1 \rightarrow s1 \longrightarrow c2 \downarrow s1))$ 
⟨proof⟩

lemma [iff]:  $(IF b THEN c1 ELSE c2 \downarrow s) =$ 
 $((if b s then c1 else c2) \downarrow s)$ 
⟨proof⟩

lemma [iff]:  $(CALL p \downarrow s) = (body p \downarrow s)$ 
⟨proof⟩

lemma [iff]:  $(LOCAL f; c; g \downarrow s) = (c \downarrow f s)$ 
⟨proof⟩

lemma termi-while-lemma[rule-format]:
 $w \downarrow f k \implies$ 
 $(\forall k b c. fk = f k \wedge w = WHILE b DO c \wedge (\forall i. f i - c \rightarrow f(Suc i))$ 
 $\longrightarrow (\exists i. \neg b(f i)))$ 
⟨proof⟩

lemma termi-while:
 $\llbracket (WHILE b DO c) \downarrow f k; \forall i. f i - c \rightarrow f(Suc i) \rrbracket \implies \exists i. \neg b(f i)$ 
⟨proof⟩

lemma wf-termi:  $wf \{(t, s). WHILE b DO c \downarrow s \wedge b s \wedge s - c \rightarrow t\}$ 
⟨proof⟩

end

```

**theory** *PsHoareTotal* imports *PsHoare* *PsTermi* **begin**

#### 4.4 Hoare logic for total correctness

**definition**

$tvalid :: 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool (\cdot \models_t \{(1-\}) / (-) / \{(1-\}) \rightsquigarrow 50)$  **where**  
 $\models_t \{P\} c \{Q\} \longleftrightarrow \models \{P\} c \{Q\} \wedge (\forall z s. P z s \longrightarrow c \downarrow s)$

**definition**

$valids :: 'a ctxt \Rightarrow bool (\cdot \models_t \rightsquigarrow 50)$  **where**  
 $\models_t D \longleftrightarrow (\forall (P, c, Q) \in D. \models_t \{P\} c \{Q\})$

**definition**

$ctvalid :: 'a ctxt \Rightarrow 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool$

$(\langle \langle \cdot / \models_t \{(1)\} / (\cdot) / \{(1)\} \rangle \rangle 50) \text{ where}$   
 $C \models_t \{P\}c\{Q\} \longleftrightarrow \models_t C \longrightarrow \models_t \{P\}c\{Q\}$

**definition**

$\text{evalids} :: 'a ctxt \Rightarrow 'a ctxt \Rightarrow \text{bool} (\langle \langle \cdot / \models_t / \rightarrow 50) \text{ where}$   
 $C \parallel_t D \longleftrightarrow \models_t C \longrightarrow \models_t D$

**inductive**

$\text{thoare} :: 'a ctxt \Rightarrow 'a ctxt \Rightarrow \text{bool} (\langle \langle \cdot / \models_t / \neg 50)$   
**and**  $\text{thoare}' :: 'a ctxt \Rightarrow 'a assn \Rightarrow \text{com} \Rightarrow 'a assn \Rightarrow \text{bool}$   
 $(\langle \langle \cdot / \models_t / \{(1)\} / (\cdot) / \{(1)\} \rangle \rangle [50,0,0,0] 50)$

**where**

- $C \vdash_t \{P\}c\{Q\} \equiv C \models_t \{(P,c,Q)\}$
- |  $\text{Do: } C \vdash_t \{\lambda z s. (\forall t \in f s . P z t) \wedge f s \neq \{\}\} Do f \{P\}$
- |  $\text{Semi: } \llbracket C \vdash_t \{P\}c1\{Q\}; C \vdash_t \{Q\}c2\{R\} \rrbracket \implies C \vdash_t \{P\} c1;c2 \{R\}$
- |  $\text{If: } \llbracket C \vdash_t \{\lambda z s. P z s \wedge b s\}c\{Q\}; C \vdash_t \{\lambda z s. P z s \wedge \neg b s\}d\{Q\} \rrbracket \implies C \vdash_t \{P\} \text{ IF } b \text{ THEN } c \text{ ELSE } d \{Q\}$
- |  $\text{While: }$   
 $\llbracket wf r; \forall s'. C \vdash_t \{\lambda z s. P z s \wedge b s \wedge s' = s\} c \{\lambda z s. P z s \wedge (s,s') \in r\} \rrbracket$   
 $\implies C \vdash_t \{P\} \text{ WHILE } b \text{ DO } c \{\lambda z s. P z s \wedge \neg b s\}$
- |  $\text{Call: }$   
 $\llbracket wf r;$   
 $\forall q \text{ pre.}$   
 $(\bigcup p. \{(\lambda z s. P p z s \wedge ((p,s),(q,\text{pre})) \in r, \text{CALL } p, Q p)\})$   
 $\vdash_t \{\lambda z s. P q z s \wedge s = \text{pre}\} \text{ body } q \{Q q\} \llbracket$   
 $\implies \{\} \models_t \bigcup p. \{(P p, \text{CALL } p, Q p)\}$
- |  $\text{Asm: } (P, \text{CALL } p, Q) \in C \implies C \vdash_t \{P\} \text{ CALL } p \{Q\}$
- |  $\text{Conseq: }$   
 $\llbracket C \vdash_t \{P'\}c\{Q'\};$   
 $(\forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t)) \wedge$   
 $(\forall s. (\exists z. P z s) \longrightarrow (\exists z. P' z s)) \llbracket$   
 $\implies C \vdash_t \{P\}c\{Q\}$
- |  $\text{ConjI: } \forall (P,c,Q) \in D. C \vdash_t \{P\}c\{Q\} \implies C \models_t D$   
|  $\text{ConjE: } \llbracket C \models_t D; (P,c,Q) \in D \rrbracket \implies C \vdash_t \{P\}c\{Q\}$
- |  $\text{Local: } \llbracket \forall s'. C \vdash_t \{\lambda z s. P z s' \wedge s = f s'\} c \{\lambda z t. Q z (g s' t)\} \rrbracket \implies$   
 $C \vdash_t \{P\} \text{ LOCAL } f;c;g \{Q\}$

**monos split-beta**

**lemma strengthen-pre:**

$\llbracket \forall z s. P' z s \longrightarrow P z s; C \vdash_t \{P\}c\{Q\} \rrbracket \implies C \vdash_t \{P'\}c\{Q\}$   
*(proof)*

**lemma weaken-post:**

$\llbracket C \vdash_t \{P\}c\{Q\}; \forall z s. Q z s \longrightarrow Q' z s \rrbracket \implies C \vdash_t \{P\}c\{Q'\}$

$\langle proof \rangle$

**lemmas**  $tvalid-defs = tvalid-def\ ctvalid-def\ valids-def\ cvalids-def\ valid-defs$

**lemma** [iff]:

$$(\models_t \{\lambda z s. \exists n. P n z s\} c\{Q\}) = (\forall n. \models_t \{P n\} c\{Q\})$$

$\langle proof \rangle$

**lemma** [iff]:

$$(\models_t \{\lambda z s. P z s \wedge P'\} c\{Q\}) = (P' \rightarrow \models_t \{P\} c\{Q\})$$

$\langle proof \rangle$

**lemma** [iff]:  $(\models_t \{P\} CALL p\{Q\}) = (\models_t \{P\} body p\{Q\})$

$\langle proof \rangle$

**lemma** unfold-while:

$$\begin{aligned} (s - WHILE b DO c \rightarrow u) = \\ (s - IF b THEN c; WHILE b DO c ELSE Do(\lambda s. \{s\}) \rightarrow u) \end{aligned}$$

$\langle proof \rangle$

**theorem**  $C \models_t D \implies C \Vdash_t D$

$\langle proof \rangle$

**definition**  $MGT_t :: com \Rightarrow state assn \times com \times state assn$  where

$$[simp]: MGT_t c = (\lambda z s. z = s \wedge c \downarrow s, c, \lambda z t. z - c \rightarrow t)$$

**lemma**  $MGT$ -implies-complete:

$$\{\} \Vdash_t \{MGT_t c\} \implies \{\} \models_t \{P\} c\{Q\} \implies \{\} \vdash_t \{P\} c\{Q::state assn\}$$

$\langle proof \rangle$

**lemma** while-termiE:  $\llbracket WHILE b DO c \downarrow s; b s \rrbracket \implies c \downarrow s$

$\langle proof \rangle$

**lemma** while-termiE2:  $\llbracket WHILE b DO c \downarrow s; b s; s - c \rightarrow t \rrbracket \implies WHILE b DO c \downarrow t$

$\langle proof \rangle$

**lemma**  $MGT$ -lemma:  $\forall p. \{\} \models_t \{MGT_t(CALL p)\} \implies \{\} \Vdash_t \{MGT_t c\}$

$\langle proof \rangle$

**inductive-set**

$exec1 :: ((com list \times state) \times (com list \times state)) set$

**and**  $exec1' :: (com list \times state) \Rightarrow (com list \times state) \Rightarrow bool$  ( $\leftarrow \rightarrow \rightarrow [81,81]$   
100)

**where**

$cs0 \rightarrow cs1 \equiv (cs0, cs1) : exec1$

```

| Do[iff]:  $t \in f s \implies ((Do\ f)\#cs,s) \rightarrow (cs,t)$ 
| Semi[iff]:  $((c1;c2)\#cs,s) \rightarrow (c1\#c2\#cs,s)$ 
| IfTrue:  $b\ s \implies ((IF\ b\ THEN\ c1\ ELSE\ c2)\#cs,s) \rightarrow (c1\#cs,s)$ 
| IfFalse:  $\neg b\ s \implies ((IF\ b\ THEN\ c1\ ELSE\ c2)\#cs,s) \rightarrow (c2\#cs,s)$ 
| WhileFalse:  $\neg b\ s \implies ((WHILE\ b\ DO\ c)\#cs,s) \rightarrow (cs,s)$ 
| WhileTrue:  $b\ s \implies ((WHILE\ b\ DO\ c)\#cs,s) \rightarrow (c\#(WHILE\ b\ DO\ c)\#cs,s)$ 
| Call[iff]:  $(CALL\ p\#cs,s) \rightarrow (body\ p\#cs,s)$ 
| Local[iff]:  $((LOCAL\ f;c;g)\#cs,s) \rightarrow (c\ \#\ Do(\lambda t.\ \{g\ s\ t\})\#cs,f\ s)$ 

abbreviation
exectr ::  $(com\ list \times state) \Rightarrow (com\ list \times state) \Rightarrow bool \ (\langle - \rightarrow^* \rightarrow [81,81] \ 100 \rangle)$ 
where  $cs0 \rightarrow^* cs1 \equiv (cs0,cs1) : exec1 \hat{\rightarrow}^*$ 

inductive-cases exec1E[elim!]:
 $([],s) \rightarrow (cs',s')$ 
 $(Do\ f\#cs,s) \rightarrow (cs',s')$ 
 $((c1;c2)\#cs,s) \rightarrow (cs',s')$ 
 $((IF\ b\ THEN\ c1\ ELSE\ c2)\#cs,s) \rightarrow (cs',s')$ 
 $((WHILE\ b\ DO\ c)\#cs,s) \rightarrow (cs',s')$ 
 $(CALL\ p\#cs,s) \rightarrow (cs',s')$ 
 $((LOCAL\ f;c;g)\#cs,s) \rightarrow (cs',s')$ 

lemma [iff]:  $\neg ([] ,s) \rightarrow u$ 
⟨proof⟩

lemma app-exec:  $(cs,s) \rightarrow (cs',s') \implies (cs@cs2,s) \rightarrow (cs'@cs2,s')$ 
⟨proof⟩

lemma app-execs:  $(cs,s) \rightarrow^* (cs',s') \implies (cs@cs2,s) \rightarrow^* (cs'@cs2,s')$ 
⟨proof⟩

lemma exec-impl-execs[rule-format]:
 $s - c \rightarrow s' \implies \forall cs. (c\#cs,s) \rightarrow^* (cs,s')$ 
⟨proof⟩

inductive
execs ::  $state \Rightarrow com\ list \Rightarrow state \Rightarrow bool \ (\langle - / = - \Rightarrow / \rightarrow [50,0,50] \ 50 \rangle)$ 
where
 $s = [] \Rightarrow s$ 
|  $s - c \rightarrow t \implies t = cs \Rightarrow u \implies s = c\#cs \Rightarrow u$ 

inductive-cases [elim!]:
 $s = [] \Rightarrow t$ 
 $s = c\#cs \Rightarrow t$ 

```

**theorem** *exec1s-impl-execs*:  $(cs, s) \rightarrow^* ([], t) \implies s = cs \Rightarrow t$   
 $\langle proof \rangle$

**theorem** *exec1s-impl-exec*:  $([c], s) \rightarrow^* ([], t) \implies s - c \rightarrow t$   
 $\langle proof \rangle$

**primrec** *termis* :: *com list*  $\Rightarrow$  *state*  $\Rightarrow$  *bool* (**infixl**  $\Downarrow$  60) **where**  
 $\Downarrow s = True$   
 $| c \# cs \Downarrow s = (c \Downarrow s \wedge (\forall t. s - c \rightarrow t \longrightarrow cs \Downarrow t))$

**lemma** *exec1-pres-termis*:  $(cs, s) \rightarrow (cs', s') \implies cs \Downarrow s \longrightarrow cs' \Downarrow s'$   
 $\langle proof \rangle$

**lemma** *execs-pres-termis*:  $(cs, s) \rightarrow^* (cs', s') \implies cs \Downarrow s \longrightarrow cs' \Downarrow s'$   
 $\langle proof \rangle$

**lemma** *execs-pres-termi*:  $\llbracket ([c], s) \rightarrow^* (c' \# cs', s'); c \Downarrow s \rrbracket \implies c' \Downarrow s'$   
 $\langle proof \rangle$

**definition** *termi-call-steps* ::  $((pname \times state) \times (pname \times state))set$  **where**  
*termi-call-steps* =  
 $\{((q, t), (p, s)). body p \Downarrow s \wedge (\exists cs. ([body p], s) \rightarrow^* (CALL q \# cs, t))\}$

**lemma** *lem*:  
 $\forall y. (a, y) \in r^+ \longrightarrow P a \longrightarrow P y \implies ((b, a) \in \{(y, x). P x \wedge (x, y) \in r^+\}^+) = ((b, a) \in \{(y, x). P x \wedge (x, y) \in r^+\})$   
 $\langle proof \rangle$

**lemma** *renumber-aux*:  
 $\llbracket \forall i. (a, f i) \in r^* \wedge (f i, f(Suc i)) : r; (a, b) : r^* \rrbracket \implies b = f 0 \longrightarrow (\exists f. f 0 = a \wedge (\forall i. (f i, f(Suc i)) : r))$   
 $\langle proof \rangle$

**lemma** *renumber*:  
 $\forall i. (a, f i) : r^* \wedge (f i, f(Suc i)) : r \implies \exists f. f 0 = a \wedge (\forall i. (f i, f(Suc i)) : r)$   
 $\langle proof \rangle$

**definition** *inf* :: *com list*  $\Rightarrow$  *state*  $\Rightarrow$  *bool* **where**  
 $inf cs s \longleftrightarrow (\exists f. f 0 = (cs, s) \wedge (\forall i. f i \rightarrow f(Suc i)))$

**lemma** [*iff*]:  $\neg inf [] s$   
 $\langle proof \rangle$

**lemma** [*iff*]:  $\neg inf [Do f] s$

$\langle proof \rangle$

**lemma** [iff]:  $\inf ((c1;c2)\#cs) s = \inf (c1\#c2\#cs) s$   
 $\langle proof \rangle$

**lemma** [iff]:  $\inf ((IF b THEN c1 ELSE c2)\#cs) s =$   
 $\quad \inf ((if b s then c1 else c2)\#cs) s$   
 $\langle proof \rangle$

**lemma** [simp]:  
 $\inf ((WHILE b DO c)\#cs) s =$   
 $\quad (if b s then \inf (c\#(WHILE b DO c)\#cs) s \text{ else } \inf cs s)$   
 $\langle proof \rangle$

**lemma** [iff]:  $\inf (CALL p\#cs) s = \inf (\text{body } p\#cs) s$   
 $\langle proof \rangle$

**lemma** [iff]:  $\inf ((LOCAL f;c;g)\#cs) s =$   
 $\quad \inf (c\#Do(\lambda t. \{g s t\})\#cs) (f s)$   
 $\langle proof \rangle$

**lemma** exec1-only1-aux:  $(ccs,s) \rightarrow (cs',t) \implies$   
 $\forall c \in cs. \ ccs = c\#cs \implies (\exists cs1. \ cs' = cs1 @ cs)$   
 $\langle proof \rangle$

**lemma** exec1-only1:  $(c\#cs,s) \rightarrow (cs',t) \implies \exists cs1. \ cs' = cs1 @ cs$   
 $\langle proof \rangle$

**lemma** exec1-drop-suffix-aux:  
 $(cs12,s) \rightarrow (cs1'2,s') \implies \forall cs1 \in cs2. \ cs1'.$   
 $cs12 = cs1 @ cs2 \ \& \ cs1'2 = cs1' @ cs2 \ \& \ cs1 \neq [] \implies (cs1,s) \rightarrow (cs1',s')$   
 $\langle proof \rangle$

**lemma** exec1-drop-suffix:  
 $(cs1 @ cs2,s) \rightarrow (cs1' @ cs2,s') \implies cs1 \neq [] \implies (cs1,s) \rightarrow (cs1',s')$   
 $\langle proof \rangle$

**lemma** execs-drop-suffix[rule-format(no-asm)]:  
 $\llbracket f 0 = (c\#cs,s); \forall i. f(i) \rightarrow f(Suc i) \rrbracket \implies$   
 $(\forall i < k. \ p i \neq [] \ \& \ fst(f i) = p i @ cs) \implies fst(f k) = p k @ cs$   
 $\implies ([c],s) \xrightarrow{*} (p k, snd(f k))$   
 $\langle proof \rangle$

**lemma** execs-drop-suffix0:  
 $\llbracket f 0 = (c\#cs,s); \forall i. f(i) \rightarrow f(Suc i); \forall i < k. \ p i \neq [] \ \& \ fst(f i) = p i @ cs;$   
 $fst(f k) = cs; \ p k = [] \rrbracket \implies ([c],s) \xrightarrow{*} ([] , snd(f k))$   
 $\langle proof \rangle$

**lemma** skolemize1:  $\forall x. P x \implies (\exists y. Q x y) \implies \exists f. \forall x. P x \implies Q x (f x)$

$\langle proof \rangle$

**lemma** *least-aux*:  $\llbracket f 0 = (c \# cs, s); \forall i. f i \rightarrow f (\text{Suc } i);$   
 $f\text{st}(f k) = cs; \forall i < k. f\text{st}(f i) \neq cs \rrbracket$   
 $\implies \forall i \leq k. (\exists p. (p \neq \emptyset) = (i < k) \& f\text{st}(f i) = p @ cs)$   
 $\langle proof \rangle$

**lemma** *least-lem*:  $\llbracket f 0 = (c \# cs, s); \forall i. f i \rightarrow f (\text{Suc } i); \exists i. f\text{st}(f i) = cs \rrbracket$   
 $\implies \exists k. f\text{st}(f k) = cs \& ([c], s) \rightarrow^* (\emptyset, \text{snd}(f k))$   
 $\langle proof \rangle$

**lemma** *skolemize2*:  $\forall x. \exists y. P x y \implies \exists f. \forall x. P x (f x)$   
 $\langle proof \rangle$

**lemma** *inf-cases*:  $\text{inf} (c \# cs) s \implies \text{inf} [c] s \vee (\exists t. s - c \rightarrow t \wedge \text{inf} cs t)$   
 $\langle proof \rangle$

**lemma** *termi-impl-not-inf*:  $c \downarrow s \implies \neg \text{inf} [c] s$   
 $\langle proof \rangle$

**lemma** *termi-impl-no-inf-chain*:  
 $c \downarrow s \implies \neg (\exists f. f 0 = ([c], s) \wedge (\forall i :: \text{nat}. (f i, f(i+1)) : \text{exec1}^\wedge))$   
 $\langle proof \rangle$

**primrec** *cseq* ::  $(\text{nat} \Rightarrow \text{pname} \times \text{state}) \Rightarrow \text{nat} \Rightarrow \text{com list}$  **where**  
 $cseq S 0 = \emptyset$   
 $| \quad cseq S (\text{Suc } i) = (\text{SOME } cs. ([\text{body}(\text{fst}(S i))], \text{snd}(S i)) \rightarrow^*$   
 $(\text{CALL}(\text{fst}(S(i+1))) \# cs, \text{snd}(S(i+1)))) @ cseq S i$

**lemma** *wf-termi-call-steps*: *wf termi-call-steps*  
 $\langle proof \rangle$

**lemma** *CALL-lemma*:  
 $(\bigcup p. \{(\lambda z. (z = s \wedge \text{body } p \downarrow s) \wedge ((p, s), (q, \text{pre})) \in \text{termi-call-steps}, \text{CALL } p,$   
 $\lambda z. z - \text{body } p \rightarrow s)\}) \vdash_t$   
 $\{\lambda z. (z = s \wedge \text{body } q \downarrow \text{pre}) \wedge (\exists cs. ([\text{body } q], \text{pre}) \rightarrow^* (c \# cs, s))\} c$   
 $\{\lambda z. z - c \rightarrow s\}$   
 $\langle proof \rangle$

**lemma** *CALL-cor*:  
 $(\bigcup p. \{(\lambda z. (z = s \wedge \text{body } p \downarrow s) \wedge ((p, s), (q, \text{pre})) \in \text{termi-call-steps}, \text{CALL } p,$   
 $\lambda z. z - \text{body } p \rightarrow s)\}) \vdash_t$   
 $\{\lambda z. (z = s \wedge \text{body } q \downarrow s) \wedge s = \text{pre}\} \text{ body } q \{\lambda z. z - \text{body } q \rightarrow s\}$   
 $\langle proof \rangle$

**lemma** *MGT-CALL*:  $\{\} \Vdash_t (\bigcup p. \{MGT_t(\text{CALL } p)\})$   
 $\langle proof \rangle$

**lemma** *MGT-CALL1*:  $\forall p. \{\} \Vdash_t \{MGT_t(\text{CALL } p)\}$

```

⟨proof⟩
theorem {} ⊨t {P}c{Q}  $\implies$  {} ⊢t {P}c{Q::state assn}
⟨proof⟩
end

```

## References

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